

# Giornate in ricordo di Milla Baldo Ceolin



## Neutrino oscillations

# The era of neutrinos !



## BreakThrough Prize 2015



### New Evidence for Flavor-Switching Neutrinos

by Gregory Mone

An accelerator experiment confirms that neutrinos can mysteriously morph from one type to another.

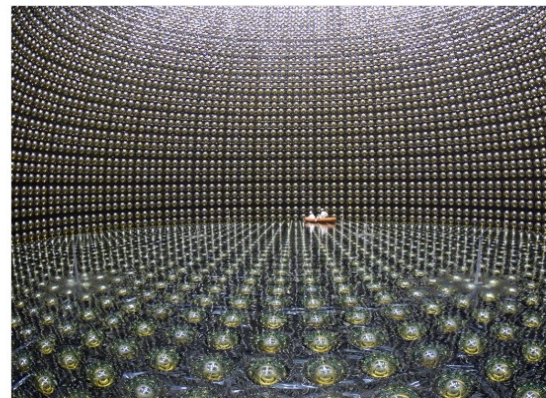


#### NATURE | NEWS

### Morphing neutrinos provide clue to antimatter mystery

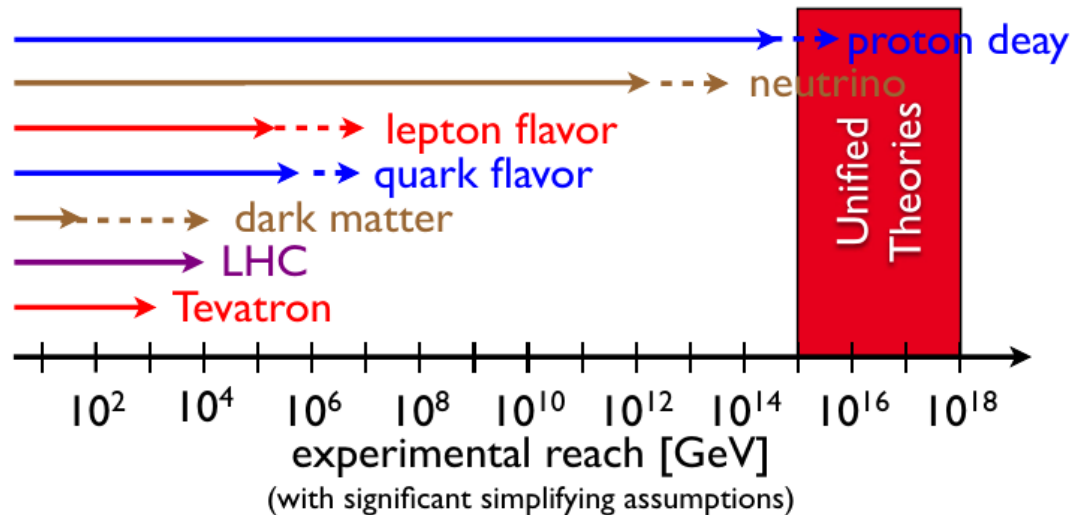
Excitement rises over chance of new physics from *particle-du-jour*.

Elizabeth Gibney



# Why neutrinos?

+ Similarly, to the discovery of Fermi scale with nuclear  $\beta$ -decays, we are now on a **fishing expedition to the next energy scale of the (necessary!) New Physics:**



Hitoshi Murayama (Berkley, Kavli)  
@ Higgs workshop 2013  
(arXiv:1401.0966)

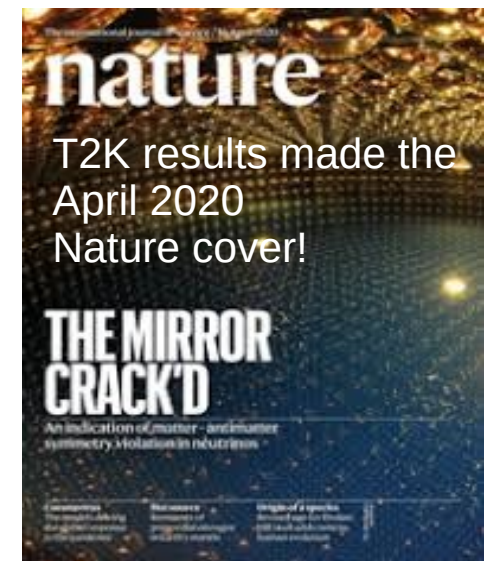
Neutrino oscillation are sensitive to very tiny effects similarly to **interferometry**.  
Unique tool to study very high energy scale (today  $\Lambda \sim 10^{14}$  GeV)

+ Search of **Charge-Parity violation in the leptonic sector**  
(related with matter/antimatter asymmetry in the Universe)

Independently on model: a new fundamental source of CP violation!

→ **Major next discovery of HEP**

+ What is the **New Symmetry** hidden behind the mass and flavour mixing?



# Neutrinos as door to New Physics

- **Expansion of Lagrangian in terms of NP energy scale ( $\Lambda_{UV}$ ):**  $\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{\Lambda_{UV}} \mathcal{L}_5 + \dots$   
 $\mathcal{L}_{SM}$  SM as effective theory valid until UV cutoff

$$\frac{1}{\Lambda_{UV}} \mathcal{L}_5 = \frac{v^2}{\Lambda_{UV}} \nu\nu. \quad \frac{246^2}{10^{15}} \text{GeV} \approx 10^{-2} \text{eV}$$

The only 5<sup>th</sup> order operator possible according to fundamental symmetries: **neutrino (Majorana!) mass is the first order effect of NP**

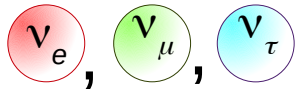
- **New type of fundamental particle**
  - Discovery of **lepton number violation** (accidental conservation in SM: no symmetry supporting it)
  - Naturally emerging in **leptogenesis scenarios to create matter/antimatter asymmetry**
- 
- Peculiar nature of  $\nu$  and being in direct contact with  $\Lambda_{UV}$ : natural to expect **new type of interactions for neutrinos: Non Standard Interactions**

$$G_F \epsilon_{NSI} (\bar{\nu} \nu) (\bar{f} f)$$

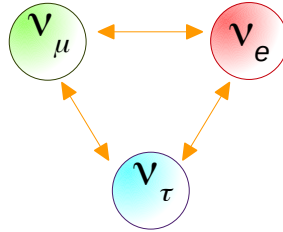


# A neutrino life

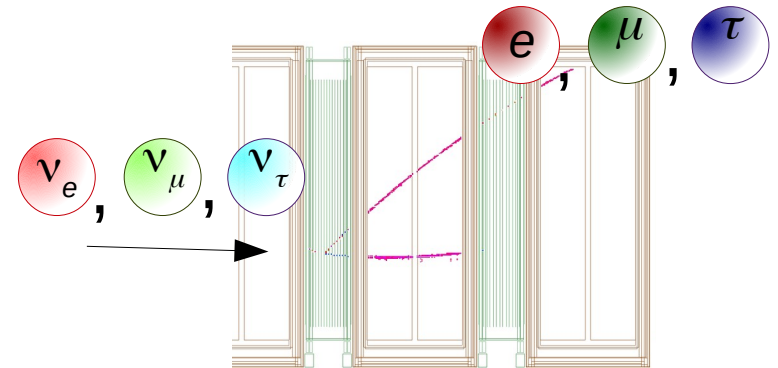
## 1 - production



## 2 - oscillations

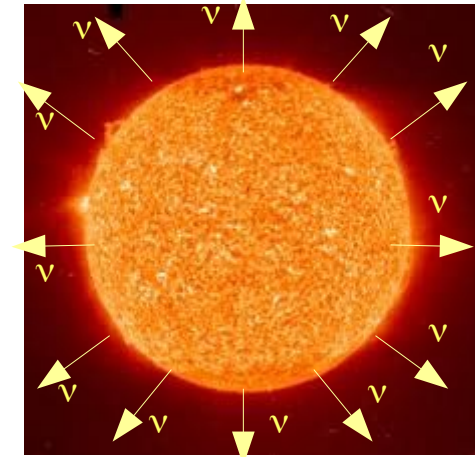


## 3 - detection



## Neutrino sources

- astrophysics : sun, cosmos rays



- artificial : nuclear reactors, accelerators

*The topic I will focus on*

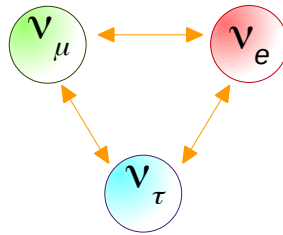


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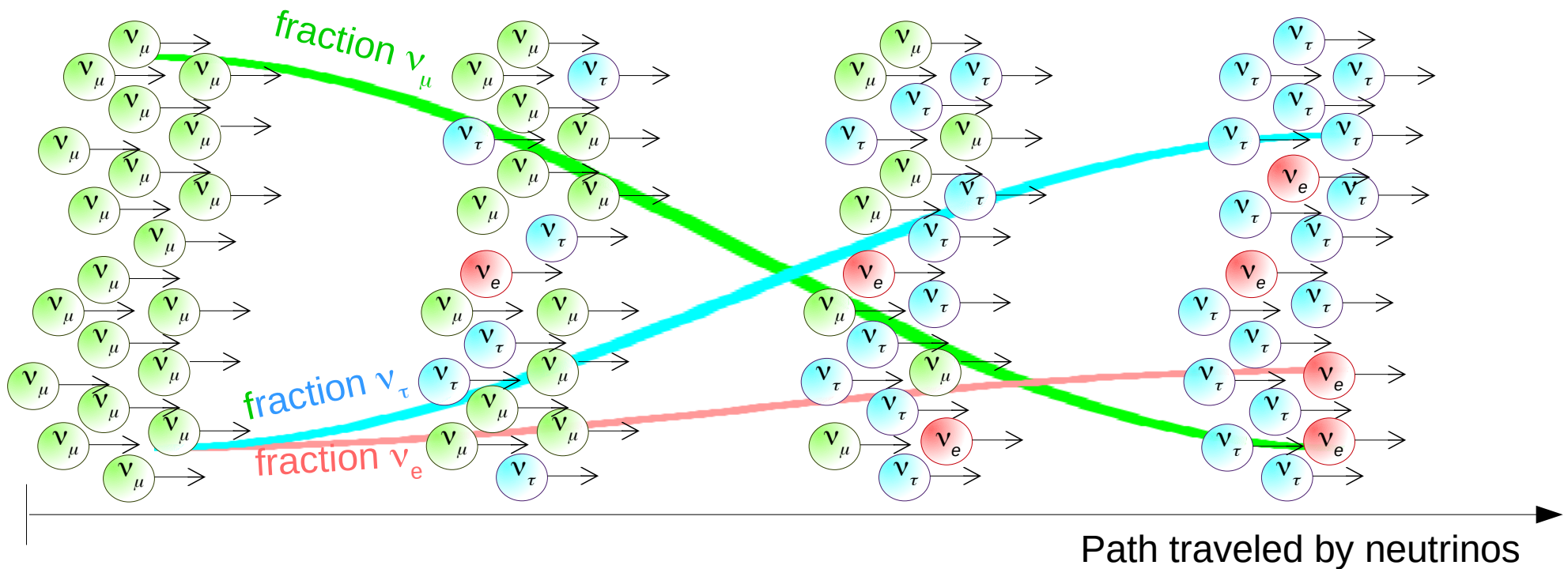
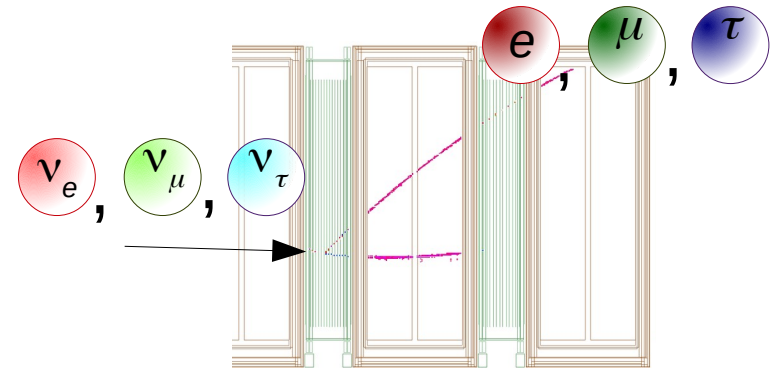
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## 2 - oscillations



## 3 - détection

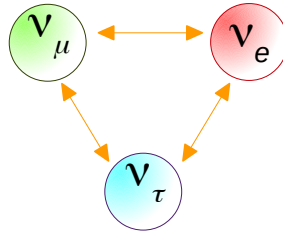


# A neutrino life

## 1 - production



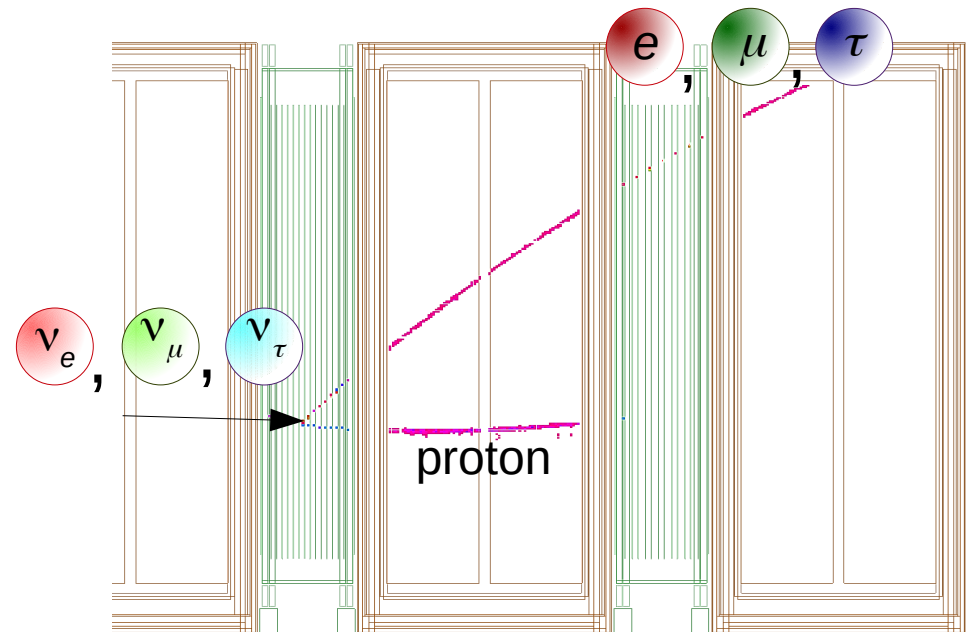
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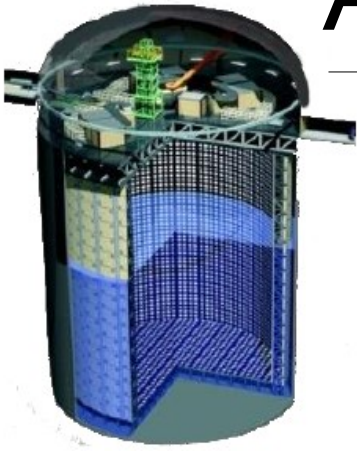
**Charged current interactions used to identify the flavor of the neutrinos**

- Detectors typically composed of
- active targets (large mass needed)
  - possibly additional detectors (eg Time Projection Chambers) to identify and measure outgoing particles
  - external veto to reject background



With neutrino from accelerators measure oscillation by comparing  $\nu$  flavor at **near detector nearby the source (before oscillation)** and at **far detectors far away (after oscillation)**

# A bit of (recent) history...

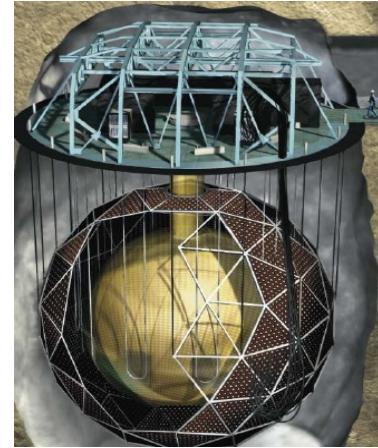


**SuperKamiokande**  
**1996 – today!**

**1998 Discovery of  $\nu$  oscillation**  
from zenith angle dependence  
of atmospheric  $\nu_\mu$  rate

**Sudbury Neutrino Observatory (SNO)**  
**1999 – today!**

**2001 Solution of solar  
puzzle:  $\nu_e / \Sigma \nu_\alpha \sim 1/3$**





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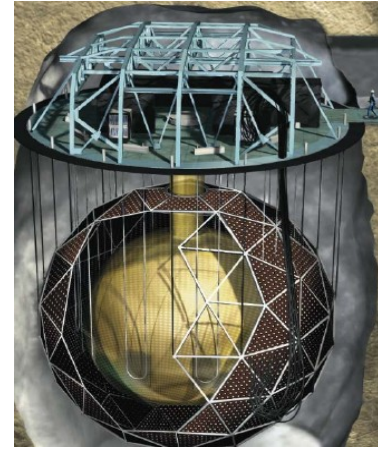


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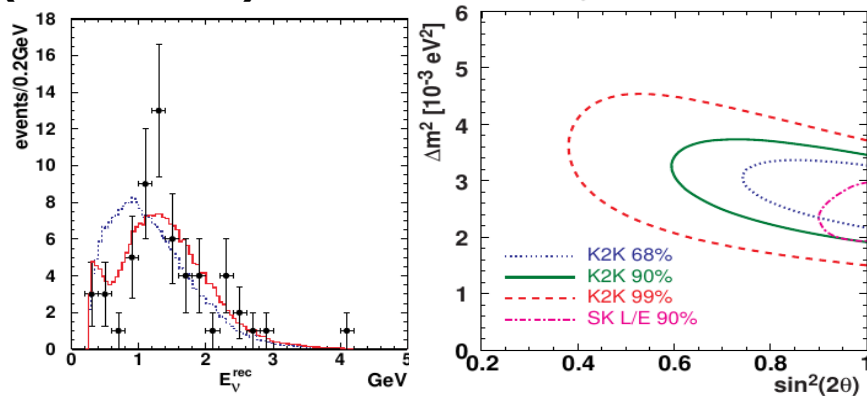
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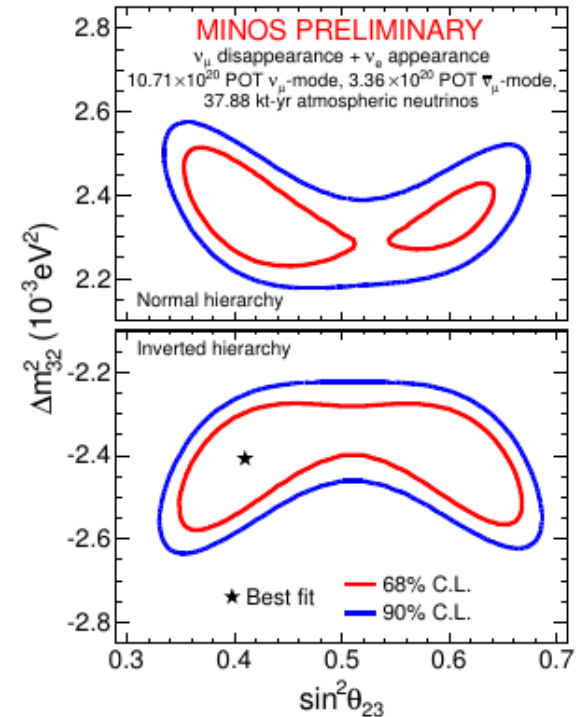
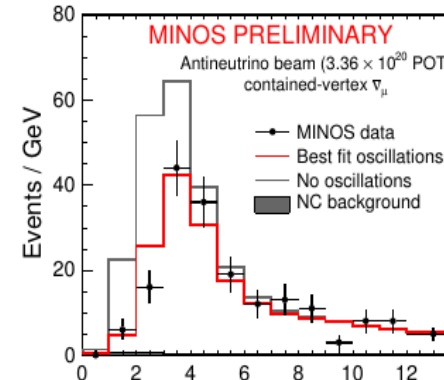
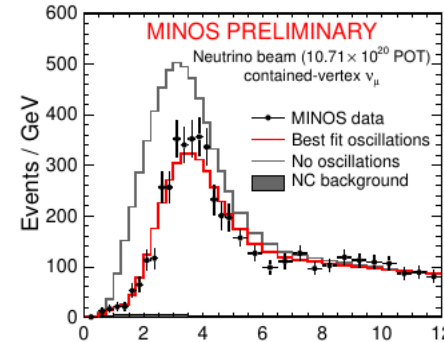
Need confirmation from accelerator experiment:  
high purity and tunable neutrino flux

**(1999-2006) K2K**



**(2008-2012) OPERA : 5  $\nu_\mu \rightarrow \nu_\tau$  events obs.**

**2003 – 2015 MINOS (→ MINOS+)**



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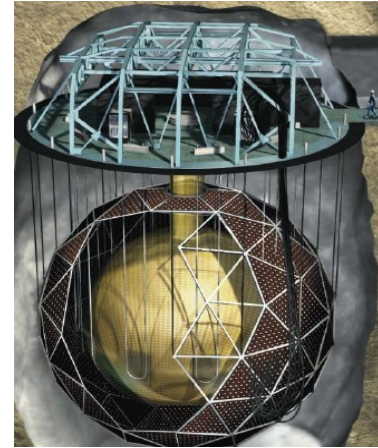


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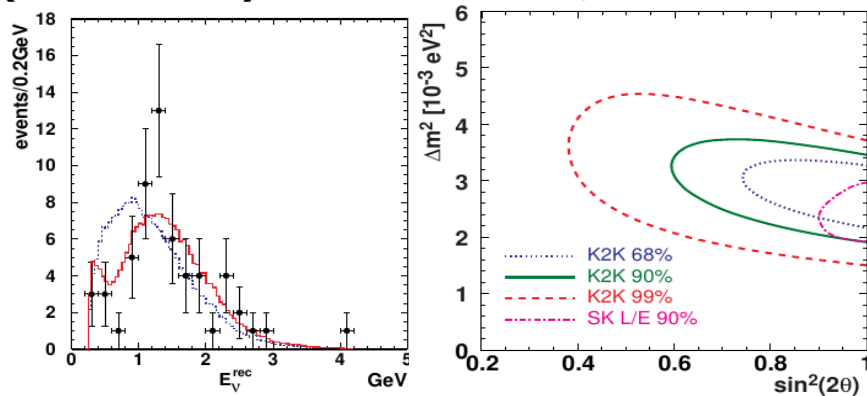
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Beyond  $\nu_\mu$  disappearance:

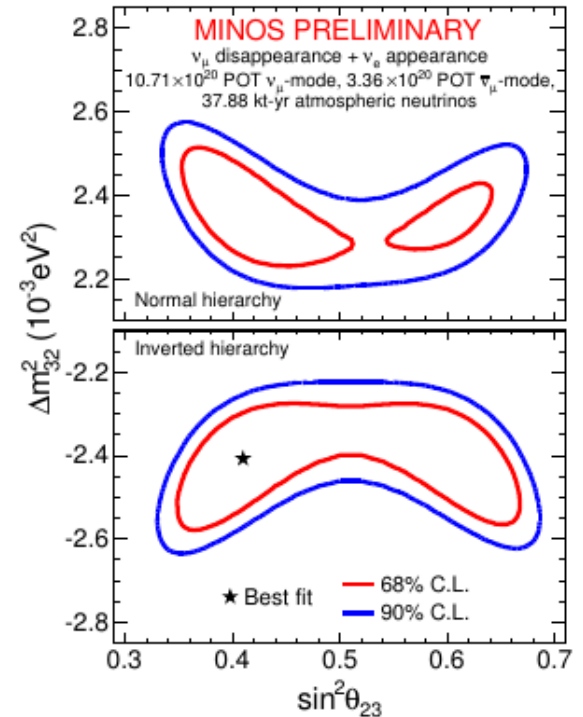
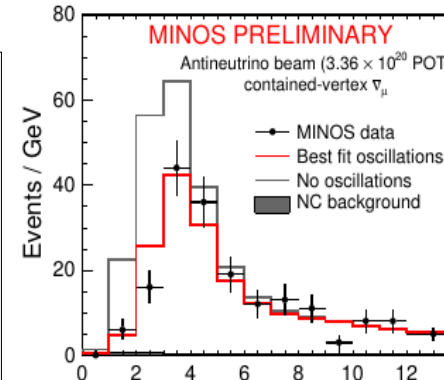
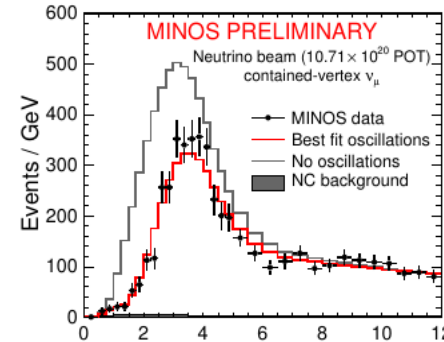
**T2K (2010 - today)**

- observation of  $\nu_e$  appearance
- first results on CP violation

**NOVA (2013 - today)**

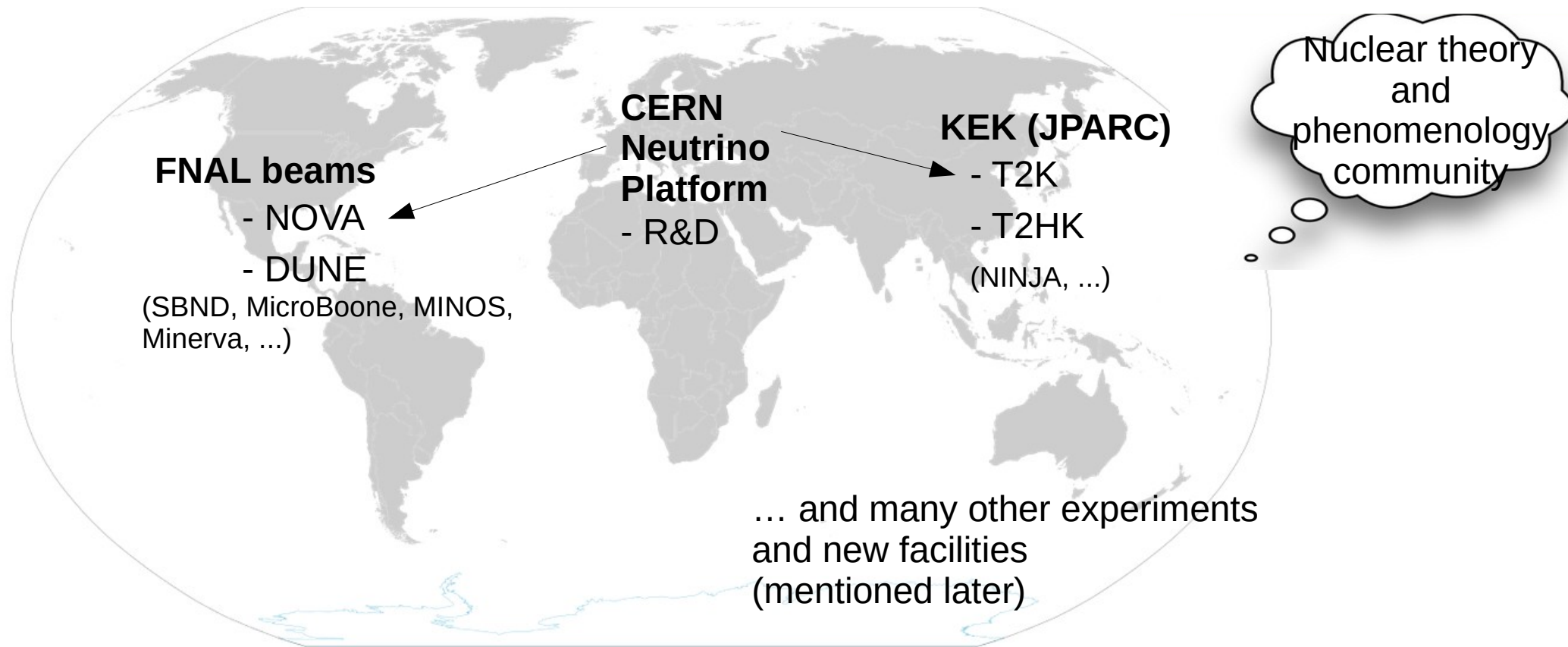
- first hints on Mass Ordering

**2003 – 2015 MINOS (→ MINOS+)**



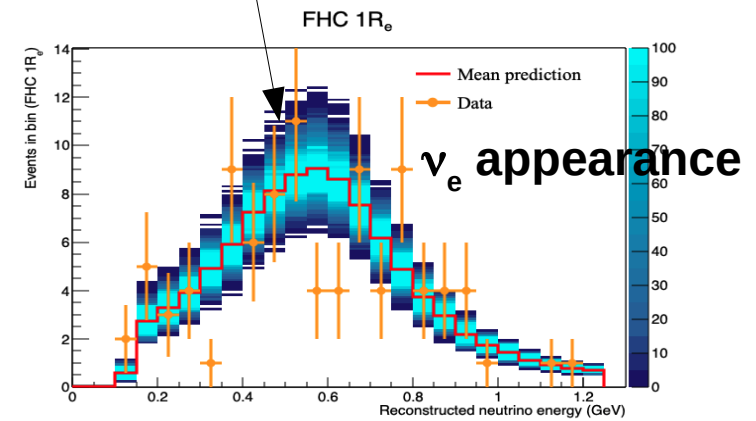
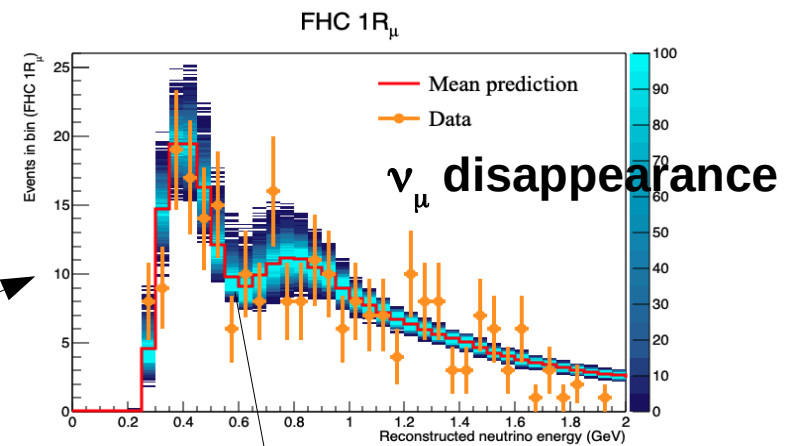
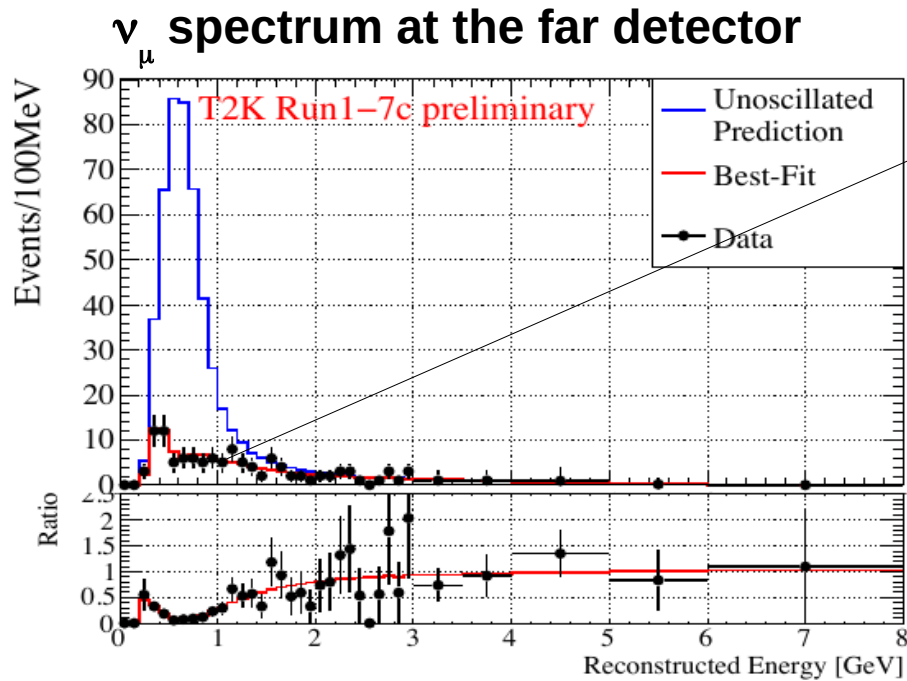
# Neutrinos with beams around the world

Neutrino oscillation physics with accelerators entered the **precision era with NOVA and T2K** → **next generation experiments will be worldwide efforts** comparable to collider experiments



**Neutrino physics has a rich present and a bright future!**

# Neutrino oscillations



$$P(\nu_\alpha \rightarrow \nu_\beta) = \underbrace{\sin^2(2\theta)}_{\text{amplitude}} \underbrace{\sin^2\left(1.27 \frac{\Delta m_{ji}^2 [\text{eV}^2] L [\text{km}]}{E_\nu [\text{GeV}]}\right)}_{\text{frequency}} \quad (\text{simplified 2-flavors approximation})$$

Full 3-flavors formalism: Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle \quad \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu 1}^* & U_{\mu 2}^* & U_{\mu 3}^* \\ U_{\tau 1}^* & U_{\tau 2}^* & U_{\tau 3}^* \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$U_{\alpha i}$  are expressed in terms of 3 mixing angles ( $\theta_{13}$ ,  $\theta_{23}$ ,  $\theta_{12}$ ) and a phase  $\delta_{\text{CP}}$

3 mass states  $\rightarrow$  two  $\delta m^2$ : solar (small) and atmospheric (large)



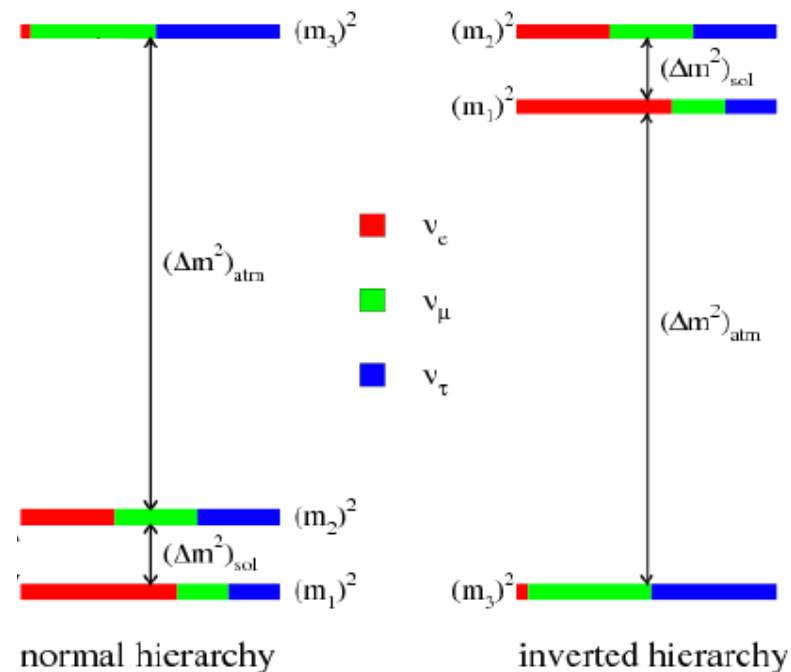
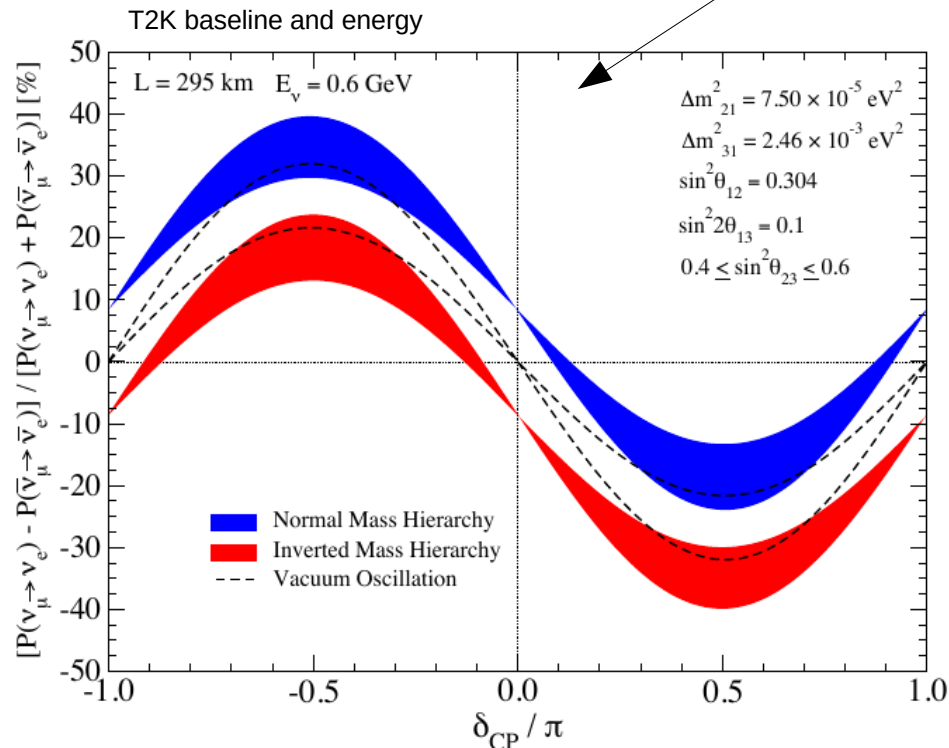
# $\nu_e/\bar{\nu}_e$ appearance: $\delta_{CP}$ and MH

$\delta_{CP}$  parametrizes **different oscillations for  $\nu$  and  $\bar{\nu}$**  → new fundamental source of CP violation (and first in leptonic sector!)

**Mass Hierachy** : is the mass ordering the same for charged and neutral leptons? (→ what is the fundamental symmetry hidden behind neutrino oscillation)

$$\mathcal{A}_{CP} \equiv \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq -\frac{\sin 2\theta_{12} \sin \delta}{\sin \theta_{13} \tan \theta_{23}} \Delta_{21} + \text{matter effects}$$

longer the baseline → larger MH sensitivity



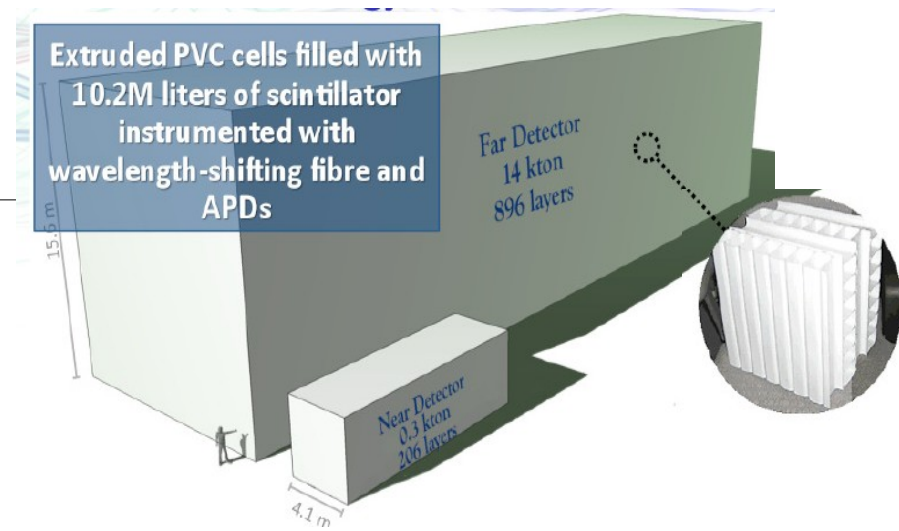


# NOVA

Far: 14 kT on the surface

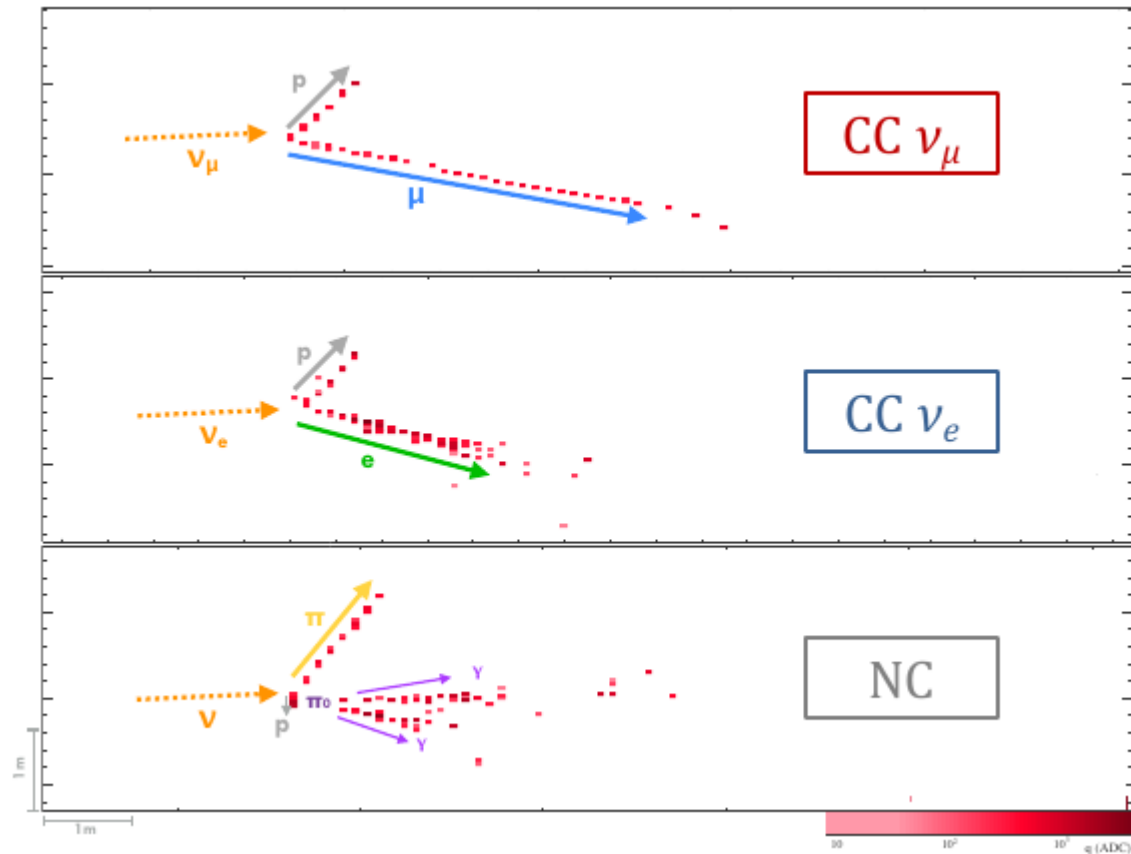
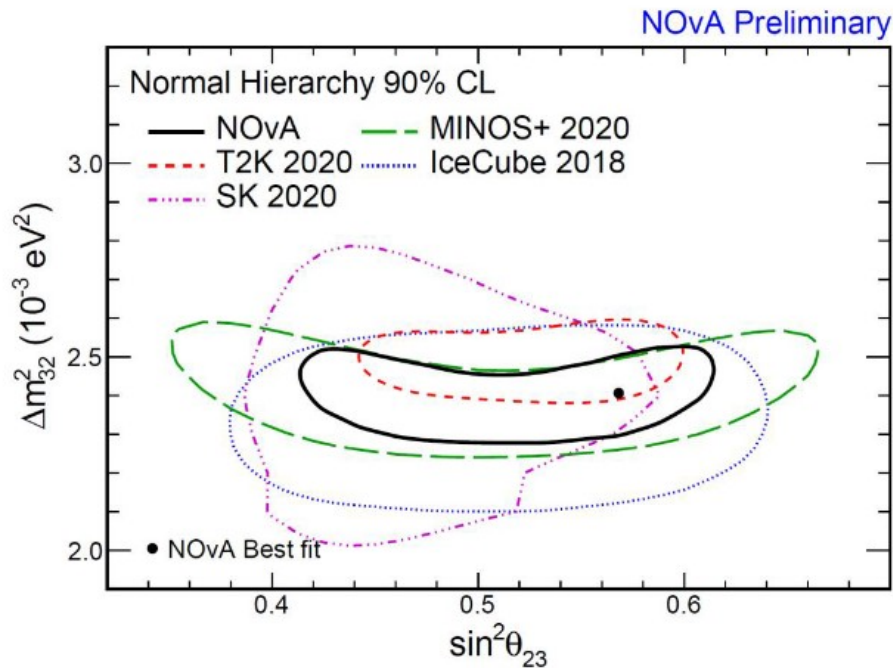
**Baseline: 810km**

NUMI beam at FNAL  
Near Detector: 300T  
underground

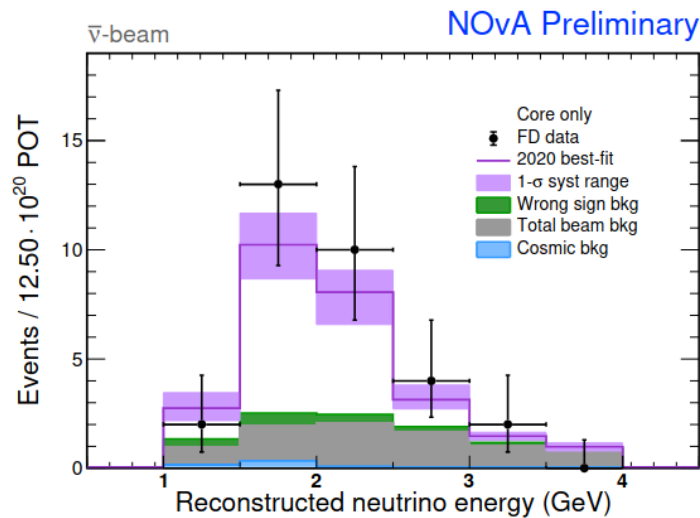
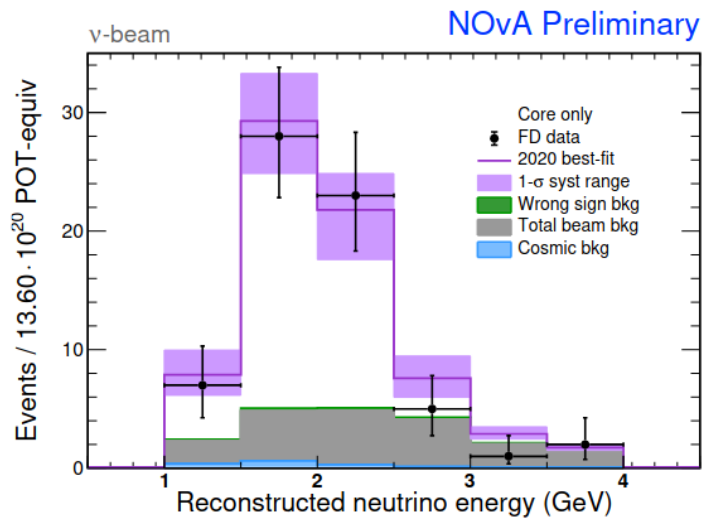


– Functionally identical near and far detectors

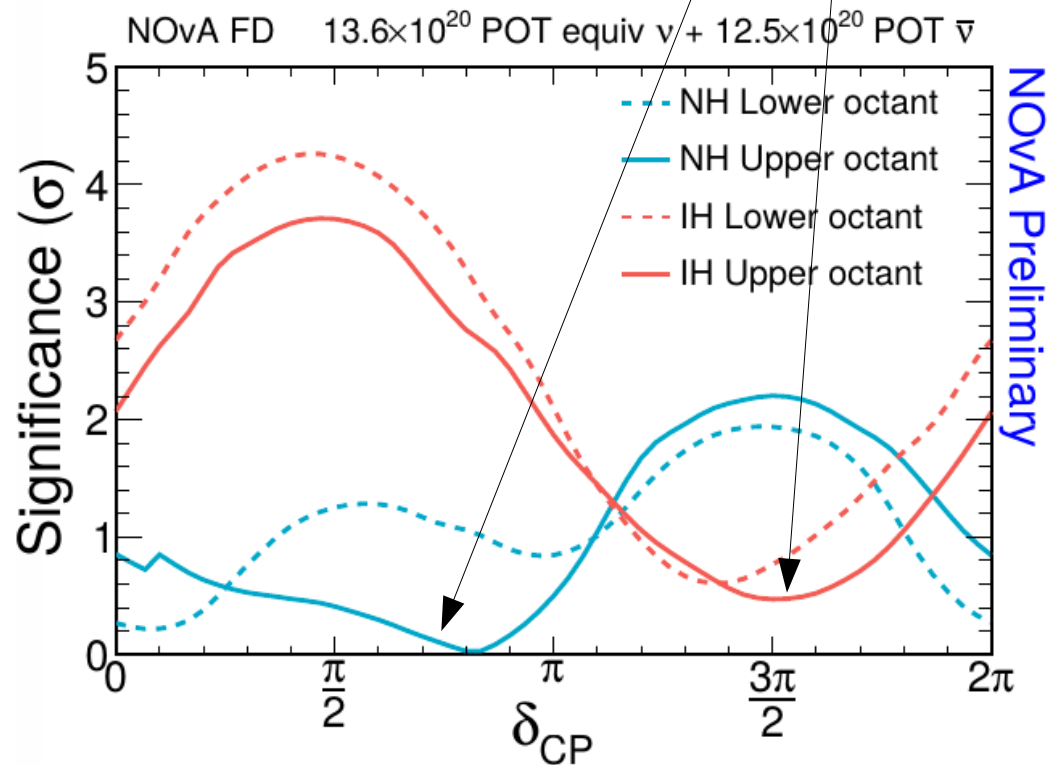
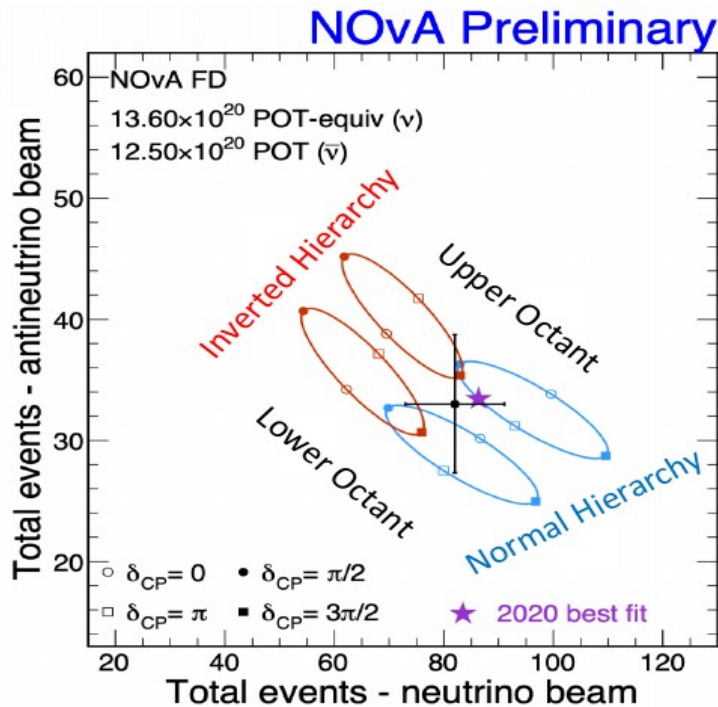
– Placed 14mrad off-axis to produce a narrow-band spectrum



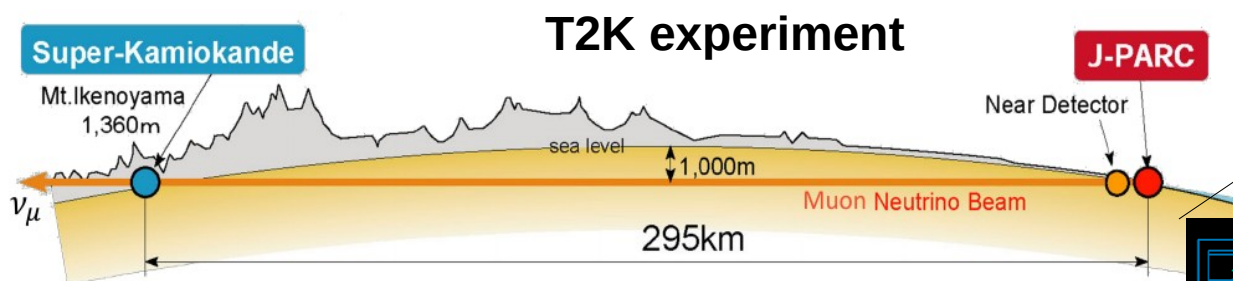
# NOVA: $\delta_{CP}$ and MH



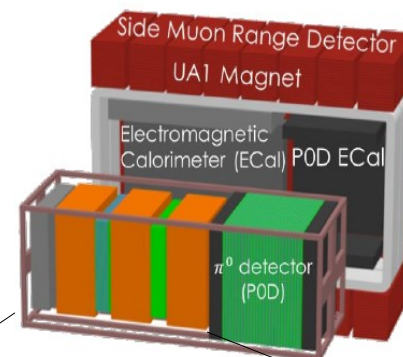
Sensitive to both  $\delta_{CP}$  and MH with some degeneracies



# T2K

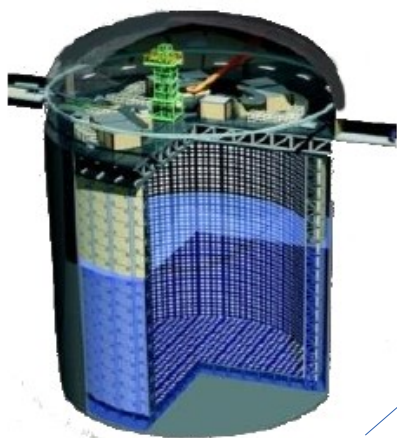


## ND280 near detector

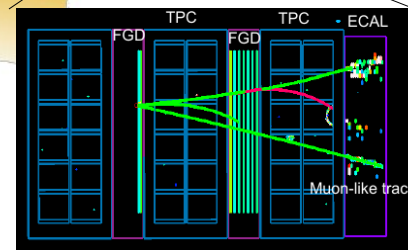


- Full tracking and particle reconstruction (**magnetized!**): measure precisely neutrino and antineutrino rate before oscillation

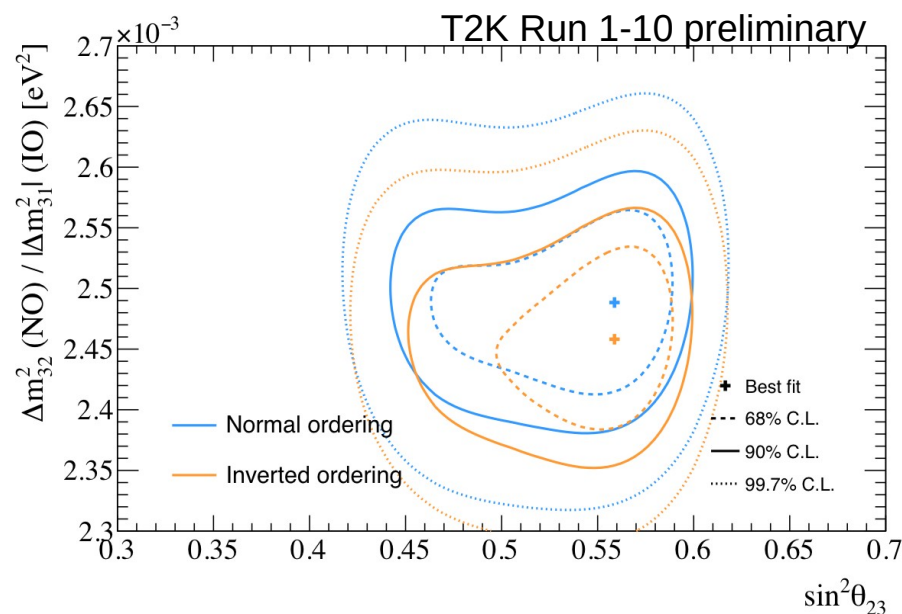
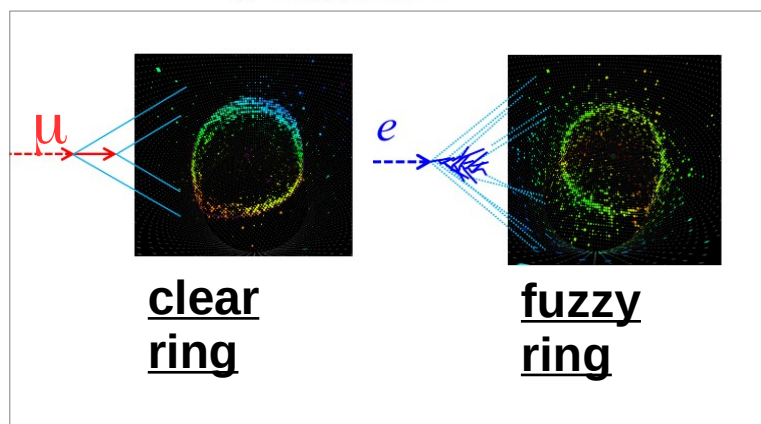
## Super-Kamiokande



- Huge **water cherenkov** detector (50 kTon) with optimal  $\mu/e$  identification to distinguish  $\nu_e$ ,  $\nu_\mu$



- Placed 2.5deg off-axis to produce narrow-band flux





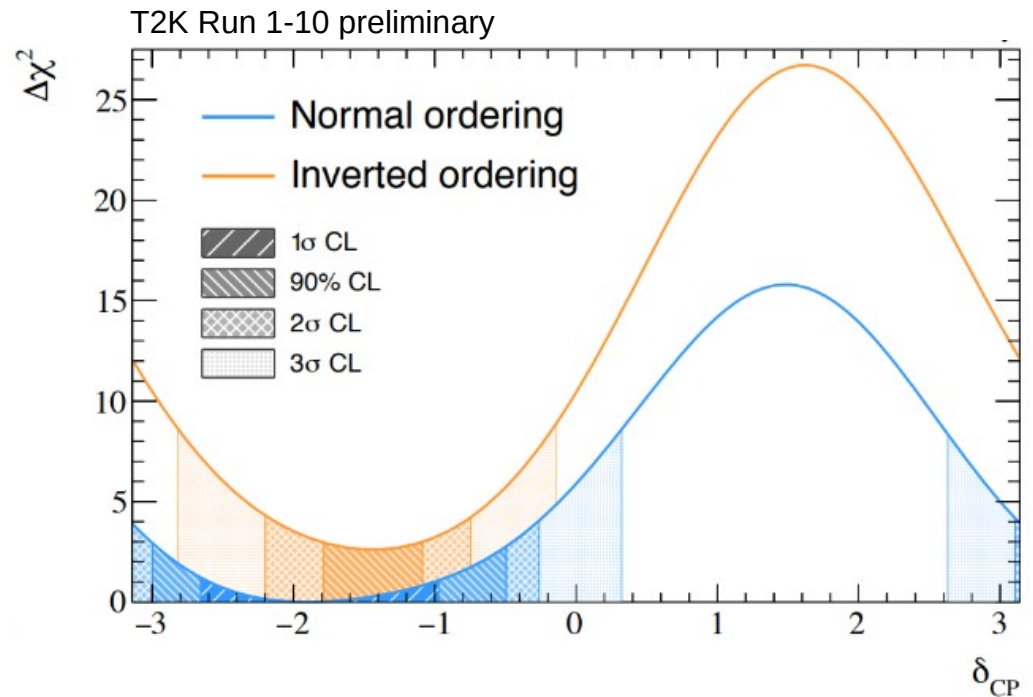
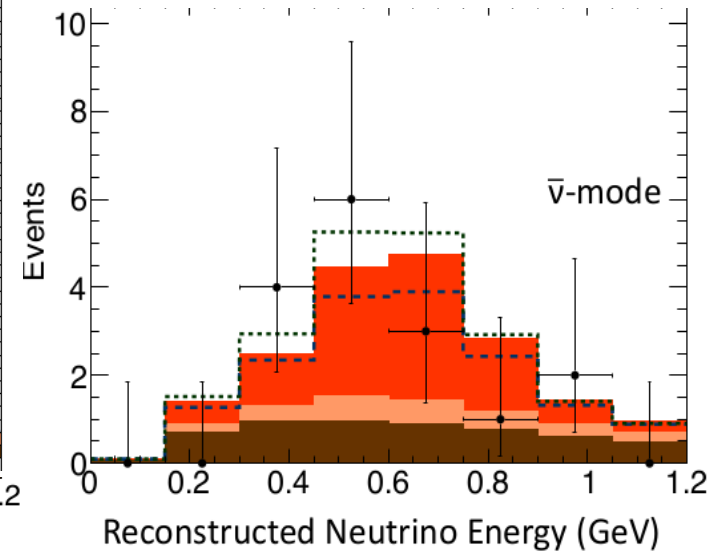
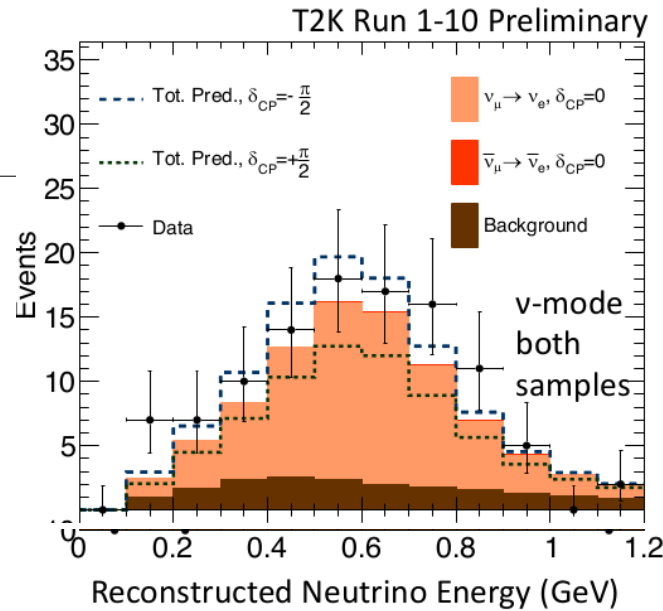
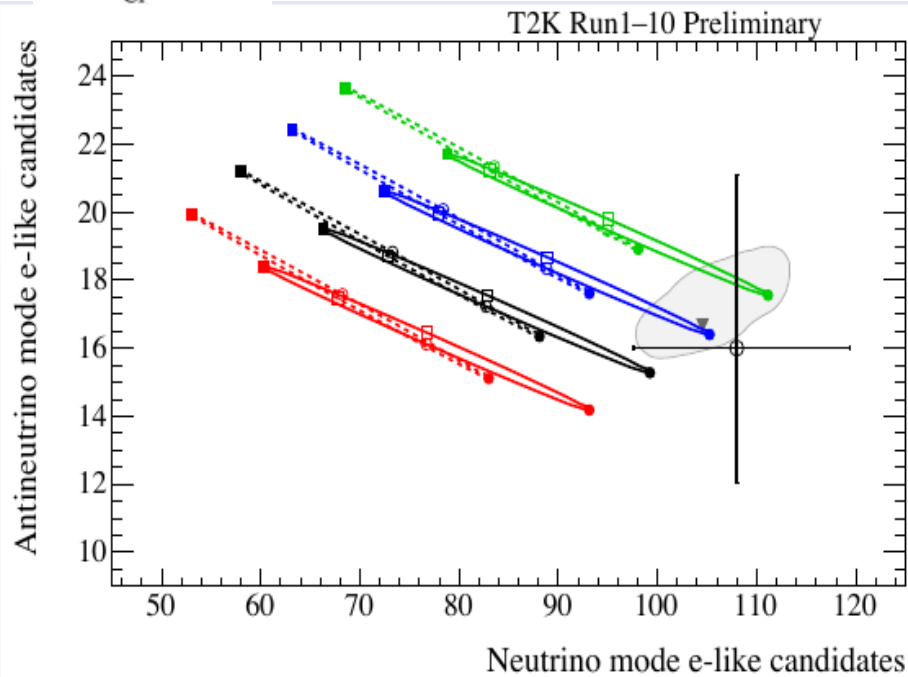
# T2K: $\delta_{CP}$

Small MH sensitivity  $\rightarrow$   
clean measurement of  $\delta_{CP}$

$\sin^2 \theta_{23} = 0.45, 0.50, 0.55, 0.60$   
 $\Delta m_{32}^2 = 2.49 \times 10^{-3} \text{ eV}^2$   
 $\Delta m_{31}^2 = -2.46 \times 10^{-3} \text{ eV}^2$

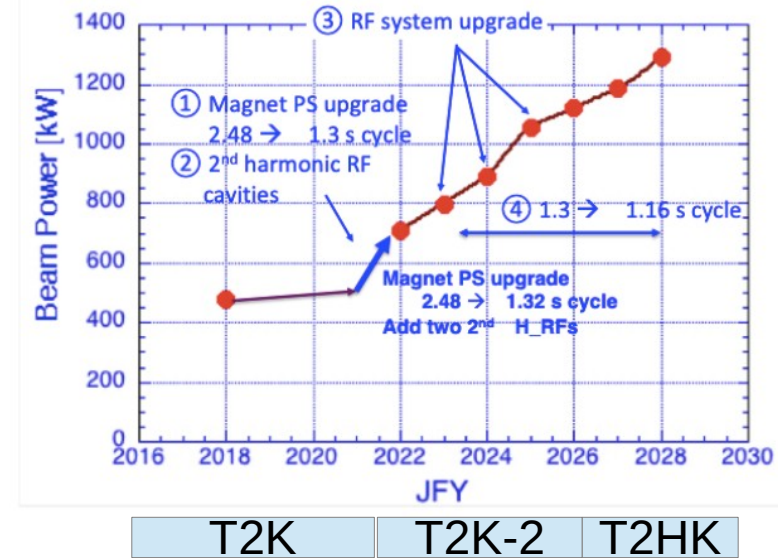
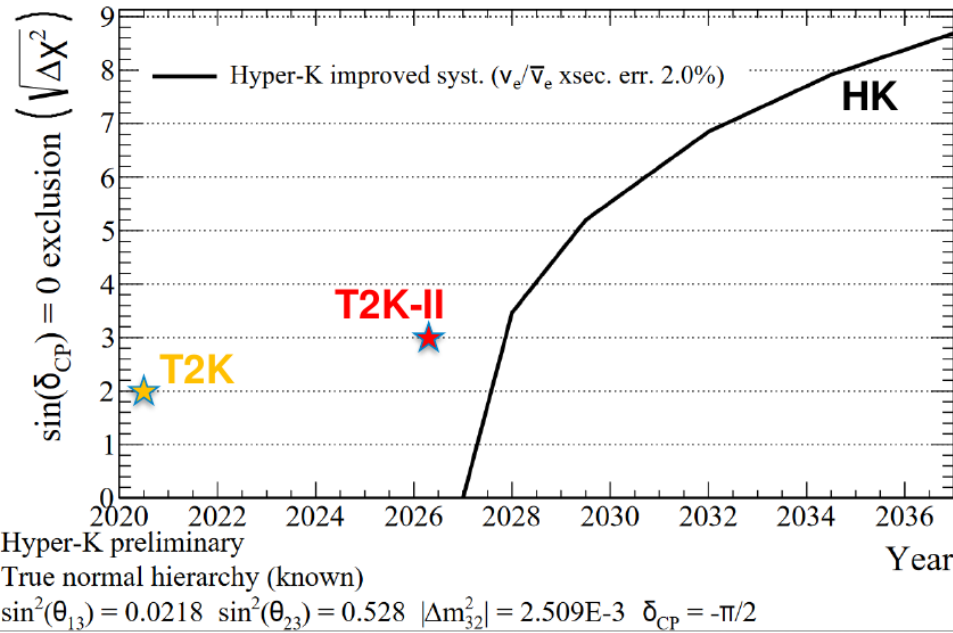
- $\circ \delta_{CP} = \pi$
- $\blacksquare \delta_{CP} = +\pi/2$
- $\square \delta_{CP} = 0$
- $\bullet \delta_{CP} = -\pi/2$

- $\square$  68% syst err. at best-fit
- $\blacktriangledown$  Best-fit
- $\text{---}\circ\text{---}$  Data (68% stat err.)



# T2K → T2K-"2" → T2HK

- Beam upgrade from 500kW to 750kW in 2022 for T2K → 1.3MW in HyperKamiokande era



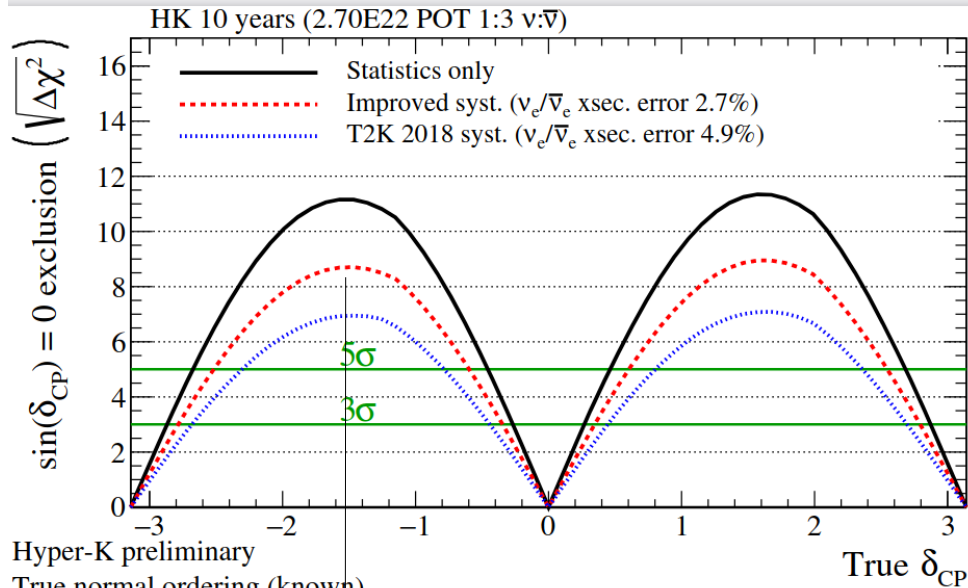
- **Hyperkamiokande: huge water cherenkov detector on JPARC beam**
- 190kTon fiducial mass (x8.4 SuperKamiokande)
- PMTs with double sensitivity of SuperKamiokande  
→ more than **x20 SuperKamiokande beam neutrino rate**

- **Seamless program of neutrino beam**
- T2K-"2" will push further the study of systematics at % level with upgrade of near detector ND280.
- ND280 upgrade will be ported from T2K to HK: **robust path to calibration/systematic understanding from day 1 of HK**

→ **enabling very fast CP-violation discovery**

# HyperKamiokande sensitivity

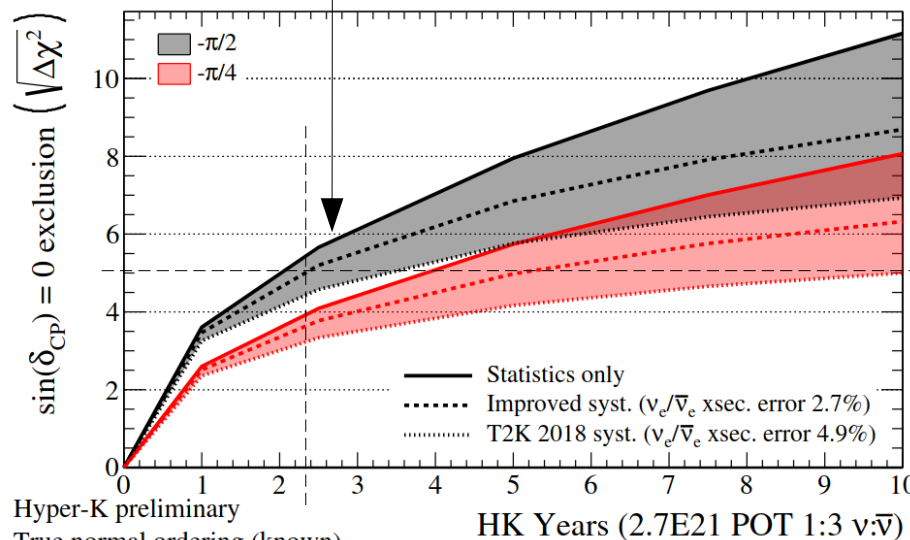
CP-violation sensitivity with known mass hierarchy:



Hyper-K preliminary

True normal ordering (known)

$$\sin^2(\theta_{13}) = 0.0218 \quad \sin^2(\theta_{23}) = 0.528 \quad |\Delta m_{21}^2| = 2.509 \times 10^{-3}$$



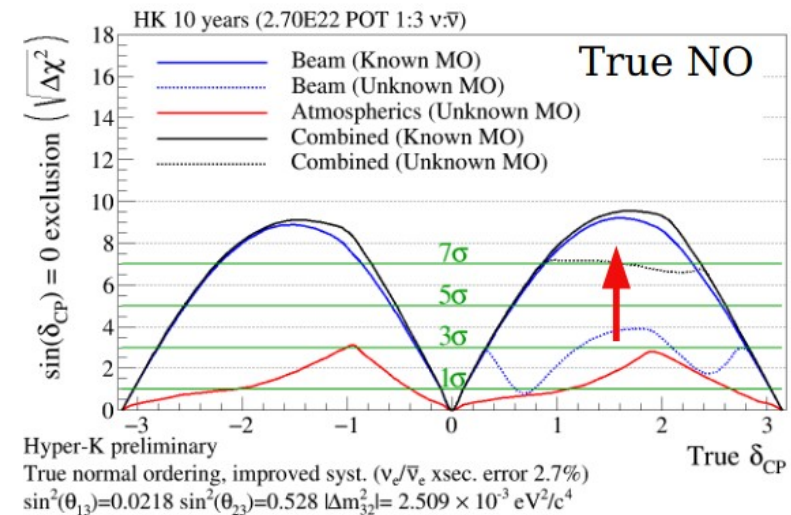
Hyper-K preliminary

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Unknown MH: combination of atm and beam neutrinos to measure  $\delta_{CP}$  and MH

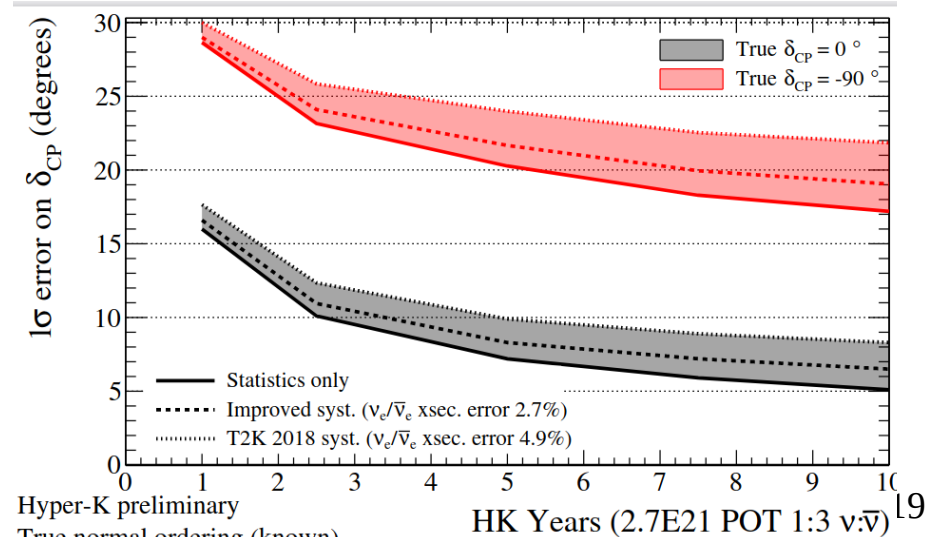
→ x8 SuperKamiokande natural neutrino rate



Hyper-K preliminary

True normal ordering, improved syst. ( $\nu_e/\bar{\nu}_e$  xsec. error 2.7%)

$$\sin^2(\theta_{13}) = 0.0218 \quad \sin^2(\theta_{23}) = 0.528 \quad |\Delta m_{21}^2| = 2.509 \times 10^{-3} \text{ eV}^2/c^4$$



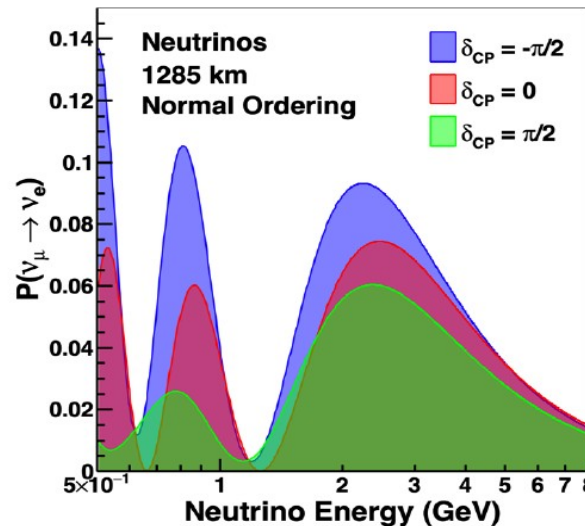
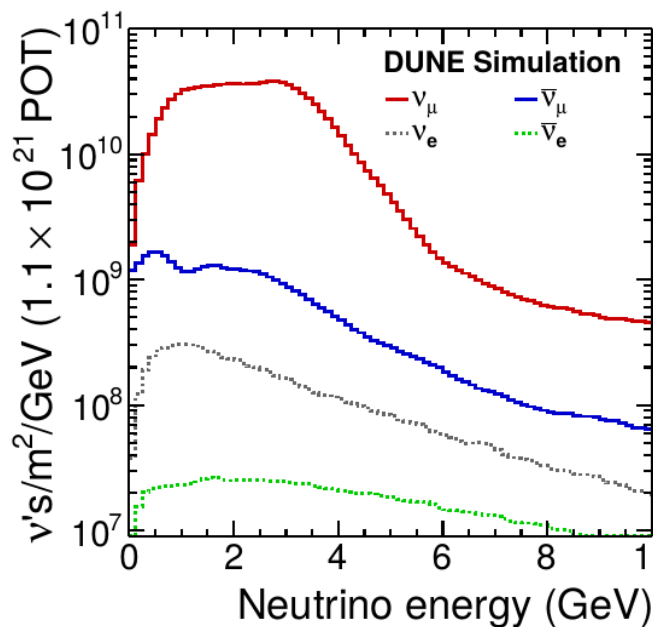
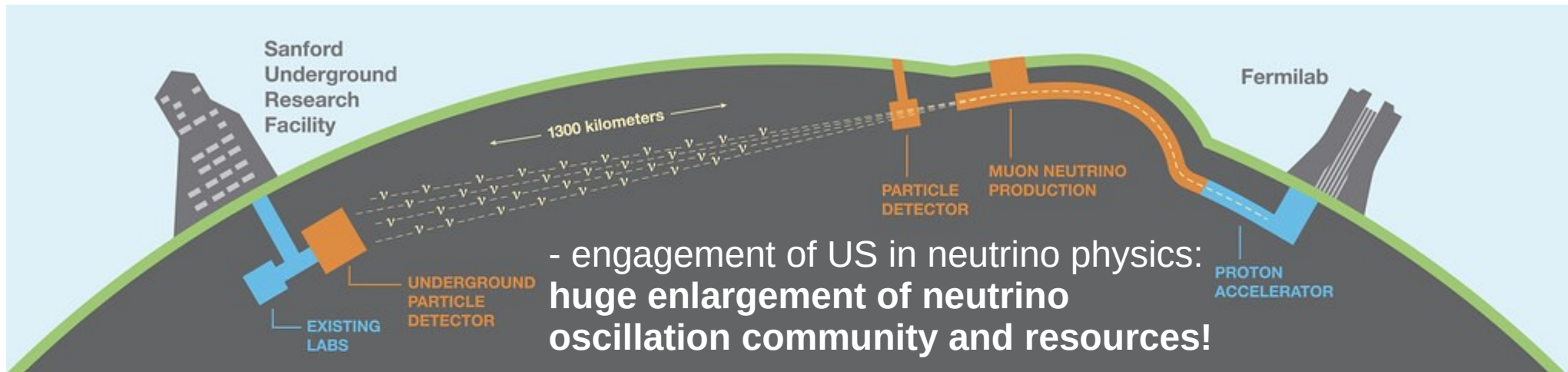
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# DUNE

New wide-band neutrino beam at Fermilab: 1.2MW → 2.4MW with a 1300km baseline



- Cover two oscillation maxima → a lot of **shape information to exploit for precision physics** on PMNS paradigm

- To exploit full sensitivity a shape analysis is needed  
→ **need extremely good resolution on neutrino energy reconstruction**



# DUNE technology

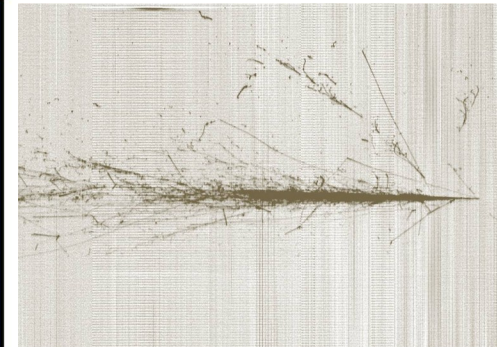
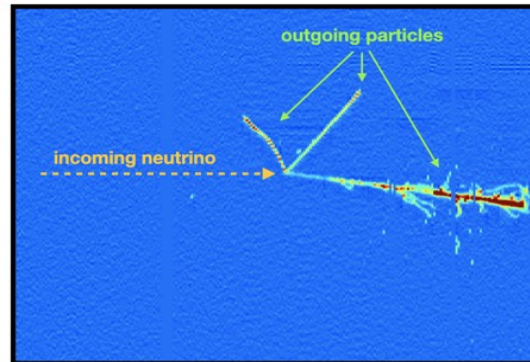
(Relatively) new technology to be deployed to unprecedented scale:  
huge LAr TPCs with charge readout

- **4 LAr TPC:** 4 x 10kTon fiducial mass  
with staged approach

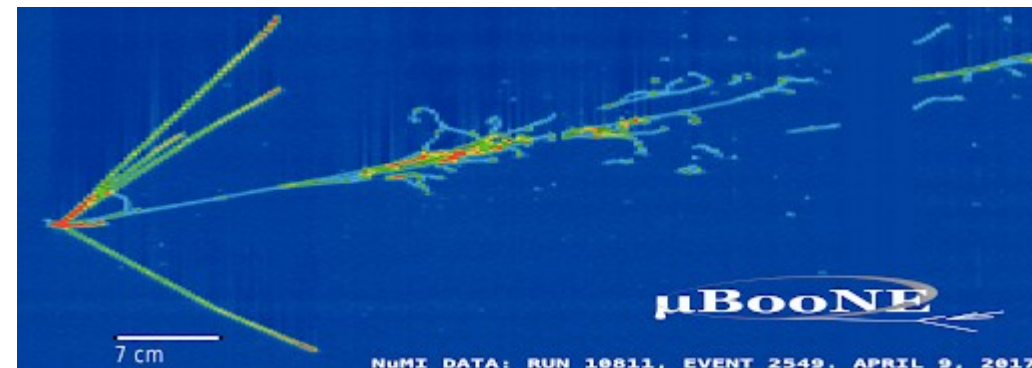
- Full reconstruction of final state particles  
(~bubble chamber)

ArgoNeut (~250 kg LAr)

ICARUS (~500 Ton LAr)



MicroBoone (~170 Ton LAr)



Long-Baseline Neutrino Facility  
South Dakota Site

Ross Shaft  
1.5 km to surface

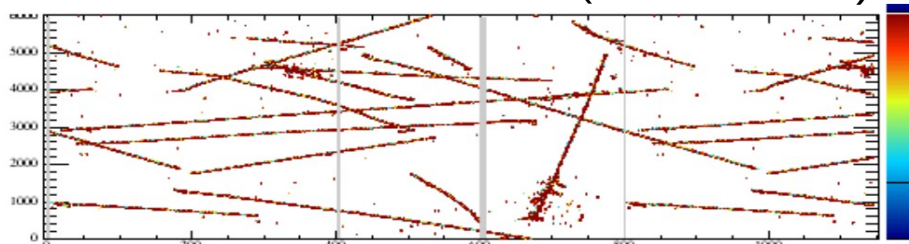
Neutrinos from  
Fermi National  
Accelerator Laboratory  
in Illinois

Facility and cryogenic  
support systems

4850 Level of  
Sanford Underground  
Research Facility

One of four detector modules of the  
Deep Underground  
Neutrino Experiment

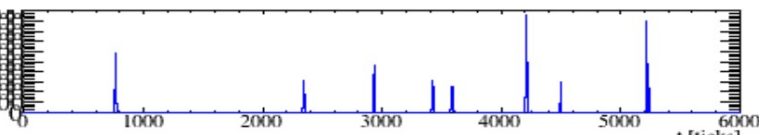
ProtoDUNE-SP demonstrator (17.5 kTon LAr)



LArSoft

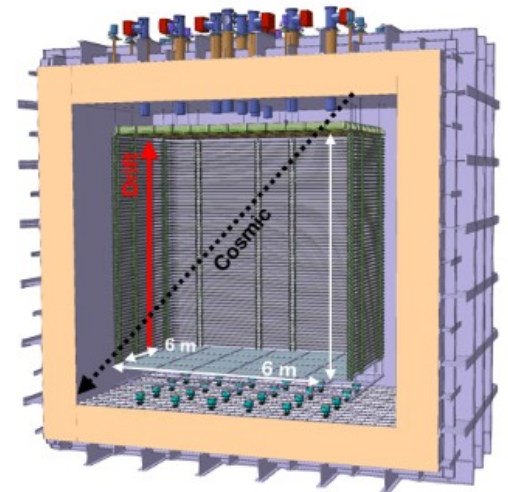
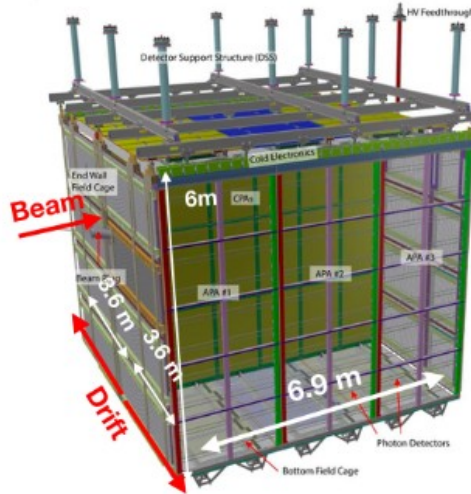
Run: 5449/1  
Event: 20926

UTC Mon Oct 22, 2018  
20:40:7.115441848



# LAr urements

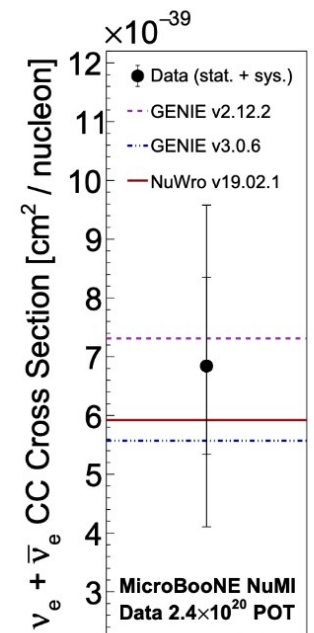
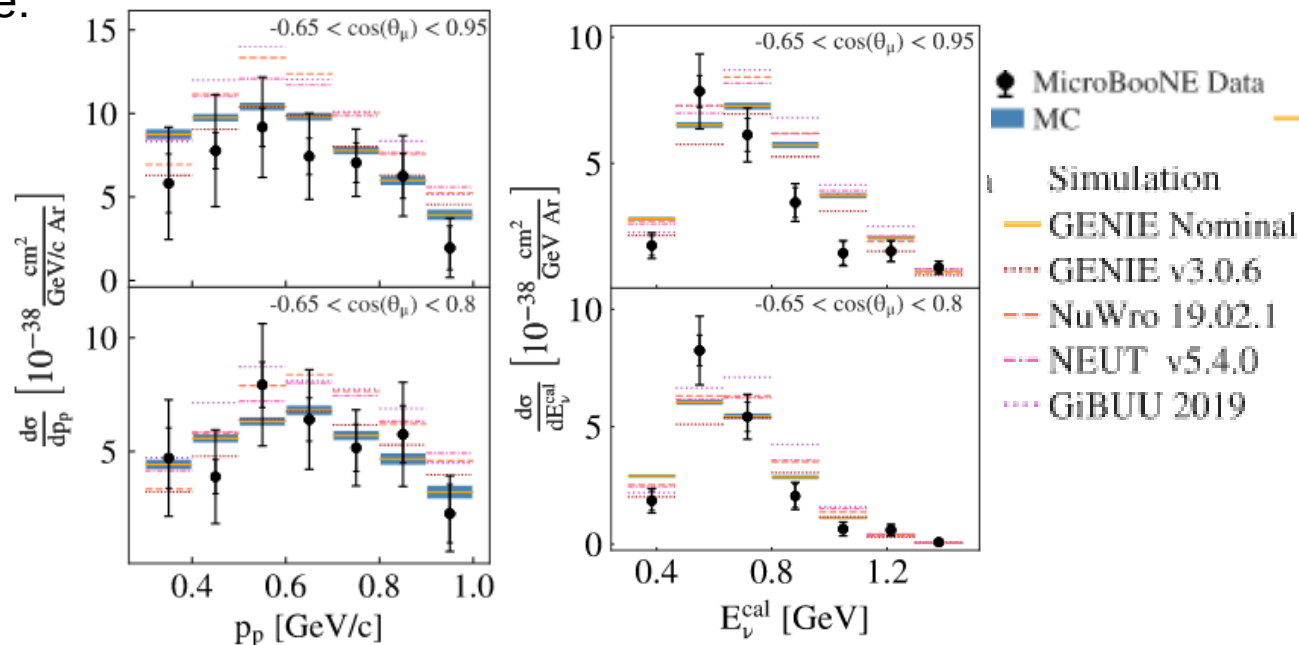
## Proto-DUNE prototypes at CERN to validate the technology on large scale (1:20 scale to the final detector)



**Not only R&D for technology but also measurements to control nuclear model in Argon**

- MicroBoone:

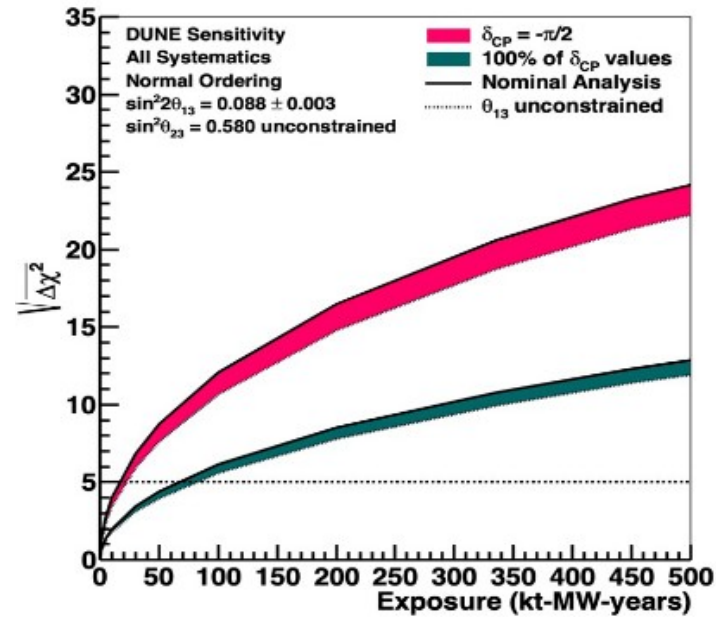
PHYSICAL REVIEW LETTERS125,201803 (2020)



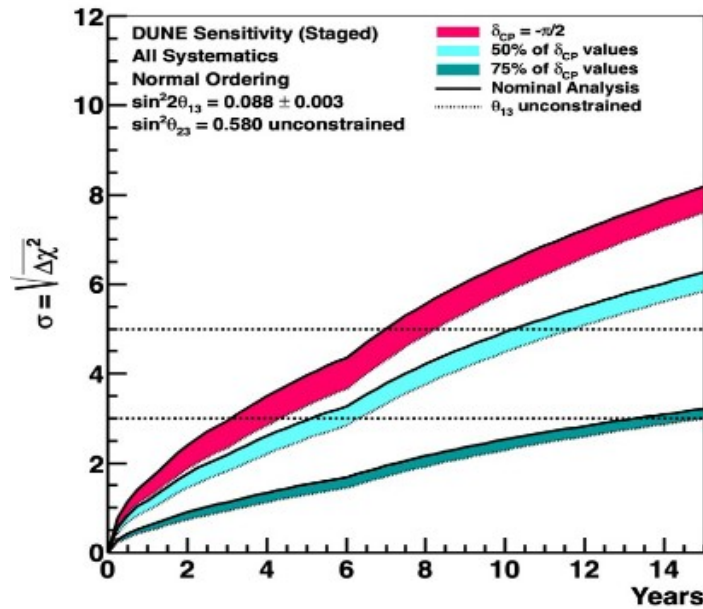
[arXiv:2101.04228 \[hep-ex\]](#)

# DUNE sensitivity

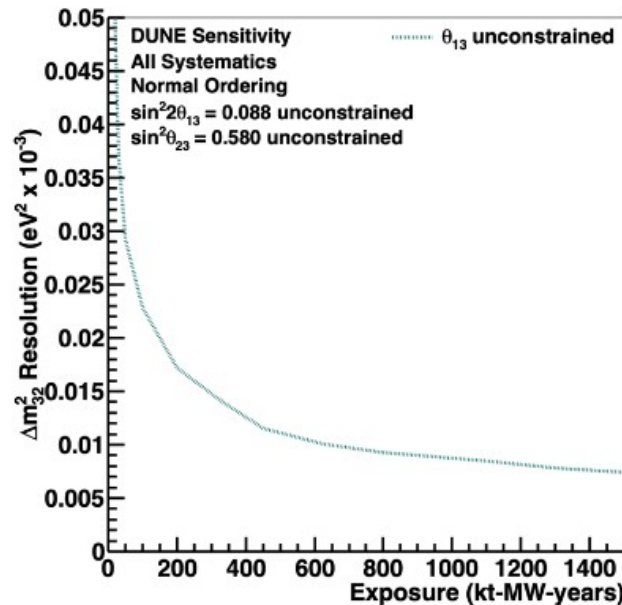
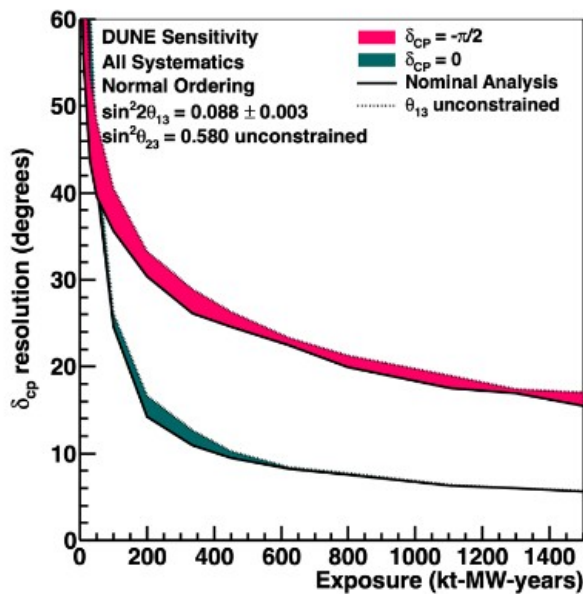
Mass Ordering Sensitivity



CP Violation Sensitivity



- Very fast MH determination at  $5\sigma$
- Precision physics: prospects for  $\delta_{CP}$ ,  $\Delta m^2$  resolution





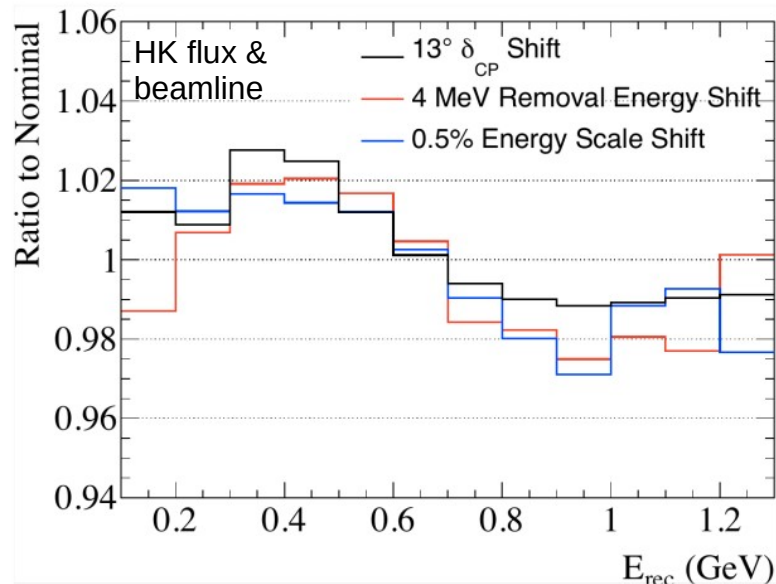
# Importance of systematics

## □ Precision physics will be dominated by systematics

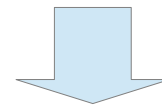
-  $\sim 2000$  of  $\nu_e$  ( $\bar{\nu}_e$ ) and  $\sim 10000$  events  $\nu_\mu$  ( $\bar{\nu}_\mu$ )

→ **first order systematic is the normalization of  $\nu_e / \bar{\nu}_e$  for CPV and MH**

→ precision measurements require very good control of **neutrino energy spectrum shape**



Measurement of  $\delta_{CP} < 15^\circ$  and of  $\Delta m^2 \sim 1\%$  require  
control of energy scale (calibration + nuclear effects)  $< 1\%$



□ Crucial role of **present experiments (T2K – NOVA) to open the road to % systematics** and indicating analysis strategies and detector design enabling such precision

□ Crucial role of **near detectors**

Without forgetting **crucial ancillary measurements like EMPHATIC, ANNIE, electron-scattering at JLab...**



# Near detectors and nuclear theory

ND measures rate vs neutrino energy before oscillation  
→ characterize flux and xsec

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

$$R_{FD}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) P_{osc}^{\nu \rightarrow \nu'}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

~same flux at ND and FD

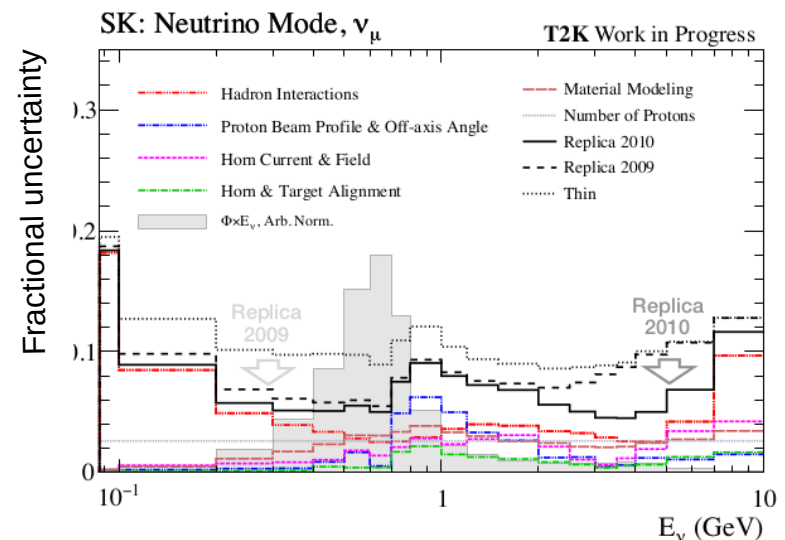
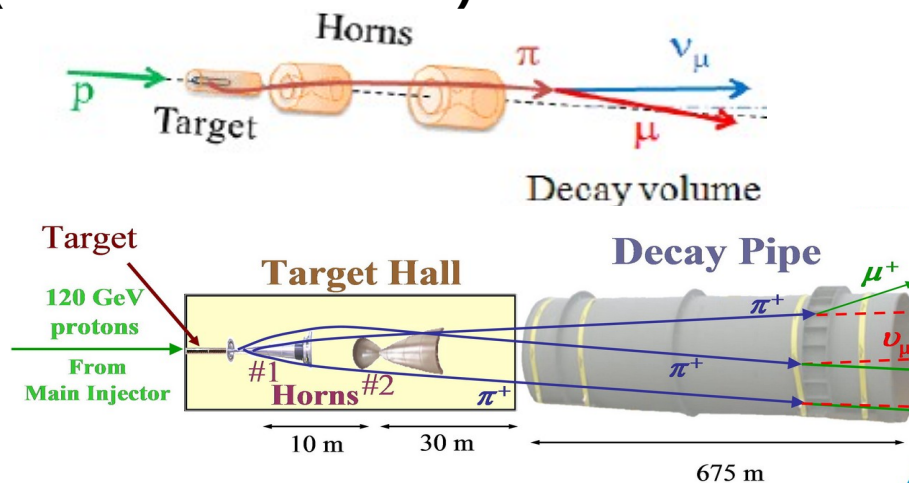
what we want to measure:  
oscillation probability

cross-section must be extrapolated from ND to FD:

- different neutrino energy distribution
- ND measure flux times xsec

**Need nuclear theory models!**

## Flux simulation and tuning (NA61/SHINE + MIPP)



# Near detectors and nuclear theory

ND measures rate vs neutrino energy before oscillation  
 → characterize flux and xsec

$$R_{ND}^{\nu'} = \int \Phi^{\nu}(E_{\nu}) \frac{d\sigma^{\nu'}}{dE_{\nu}} dE_{\nu}$$

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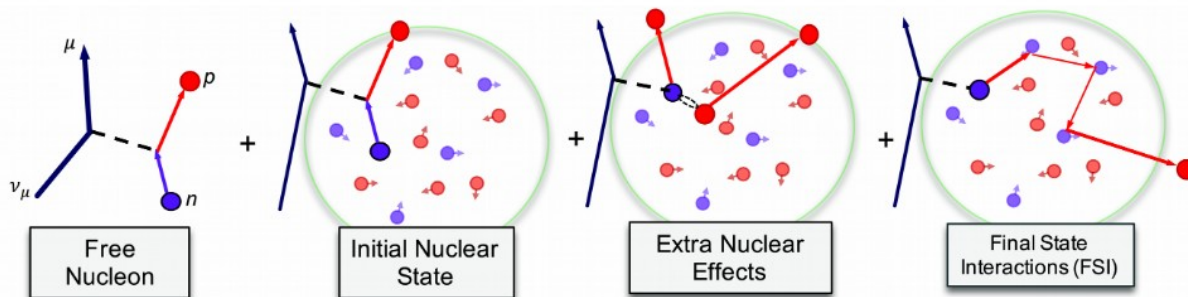
what we want to measure:  
 oscillation probability

cross-section must be extrapolated from  
 ND to FD:

- different neutrino energy distribution
- ND measure flux times xsec

**Need nuclear theory models!**

**$\nu$ -nucleus interaction  
 modeling and tuning**



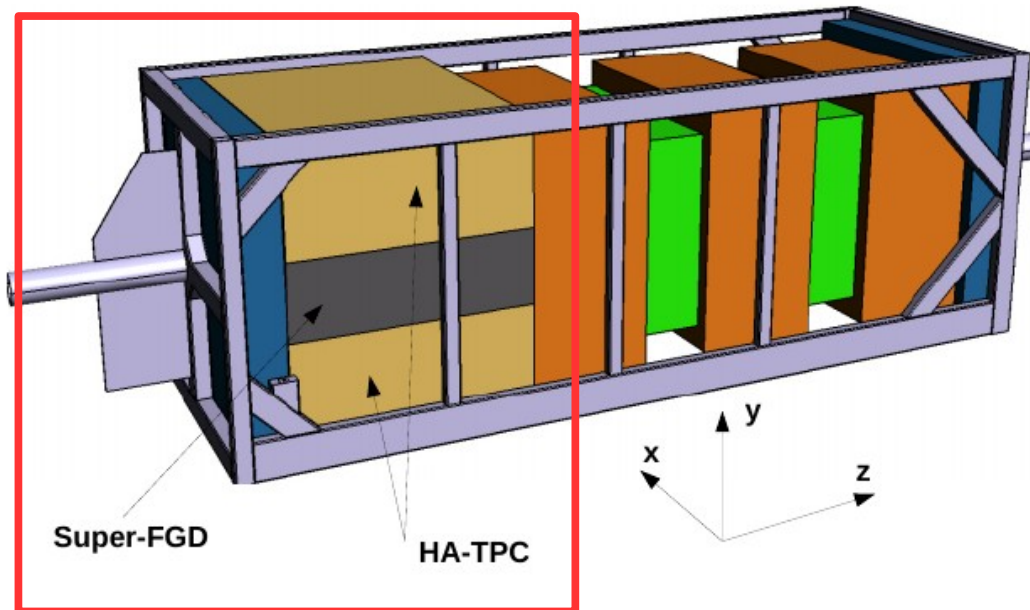
- Nuclear theory
- External data (eg e-scattering)
- $\nu$ -nucleus xsec measurements at near detectors and dedicated experiments (Minerva, ArgoNeuT, ..)

(and similarly for pion(s) production)

→ **fundamentally the name of the game: precise  $E_{\nu}$  reconstruction**

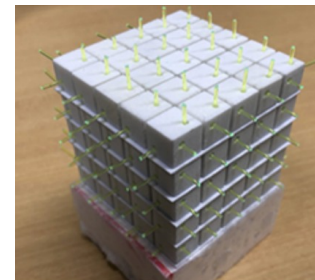
# New generation of near detectors

- T2K is preparing an **upgrade of ND280** to be installed in 2022 to cope with increased statistics after beam upgrade and for HyperKamiokande



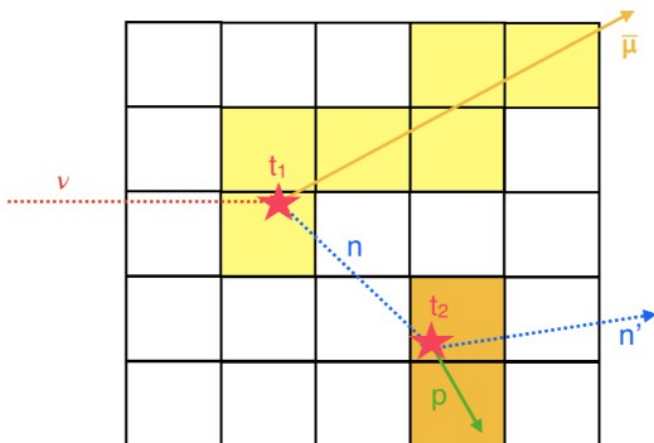
**Horizontal TPCs** to enlarge angular acceptance

**Scintillator with 3D track reconstruction capabilities**



→ low threshold on proton, pion momentum

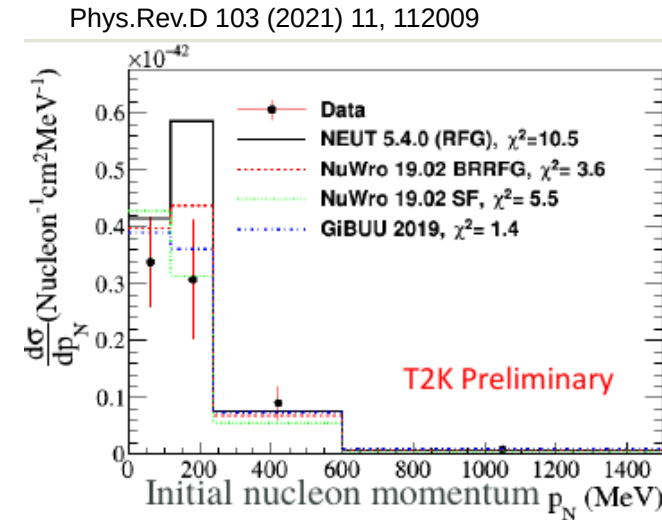
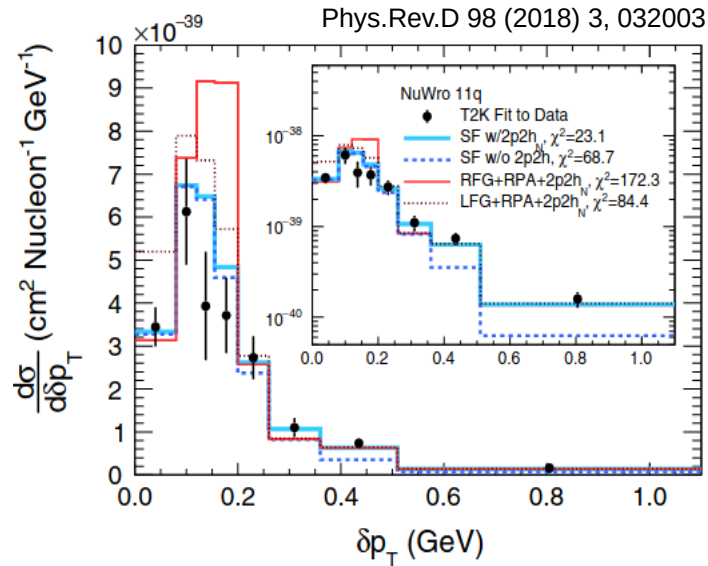
→ measurement of neutrons with ToF



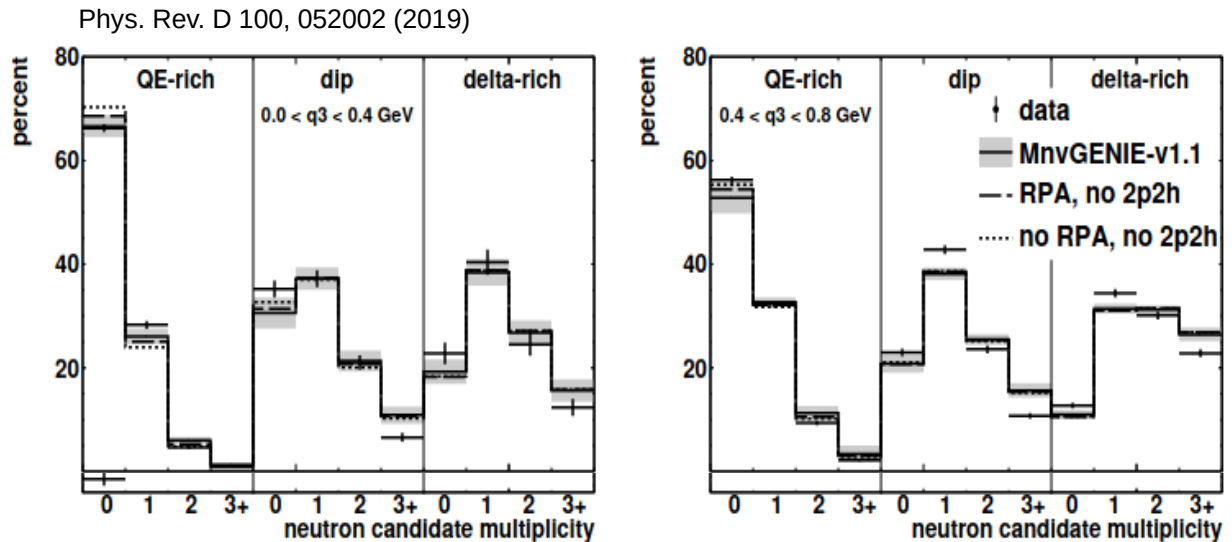
- Full **exclusive reconstruction of final state for best neutrino energy 'reconstruction'** from outgoing interaction particles  
→ for the first time neutron reconstruction event by event!

# Opening the road...

- Hadron-muon transverse momentum unbalance for 'direct' measuring of nuclear effects (ND280)



- First usage of neutrons in neutrino-nucleus scattering (Minerva)

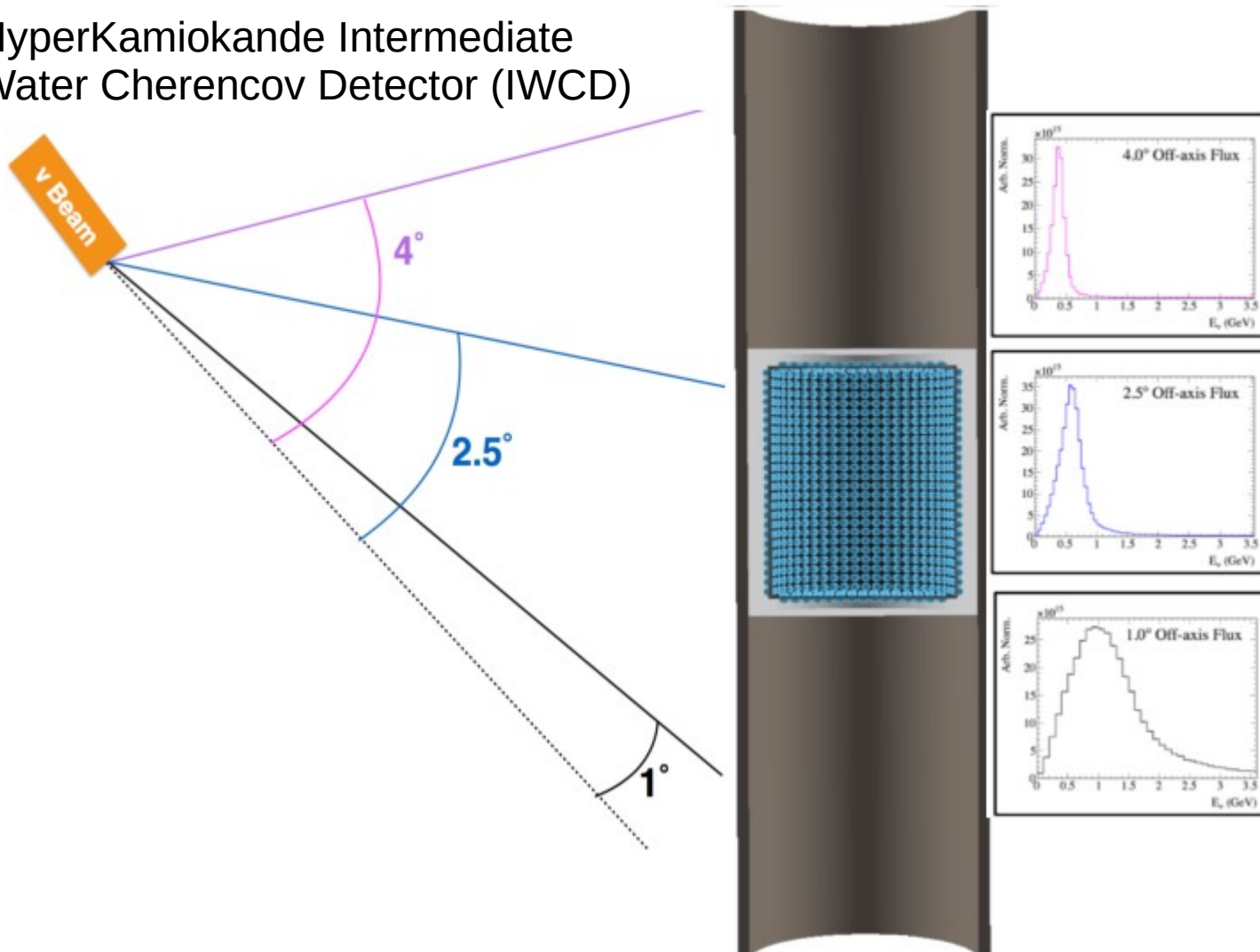




# New approach to near to far extrapolation

Extract  $E_\nu$  dependence from off-axis angle

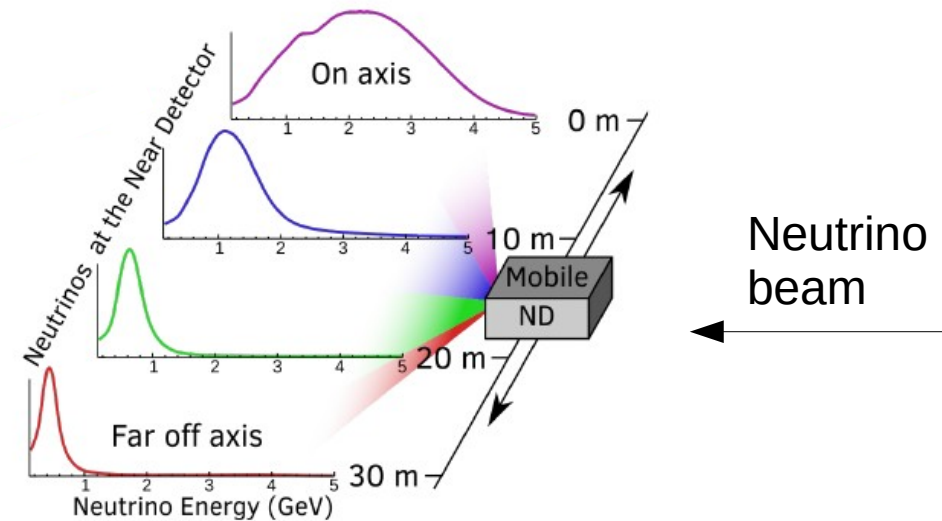
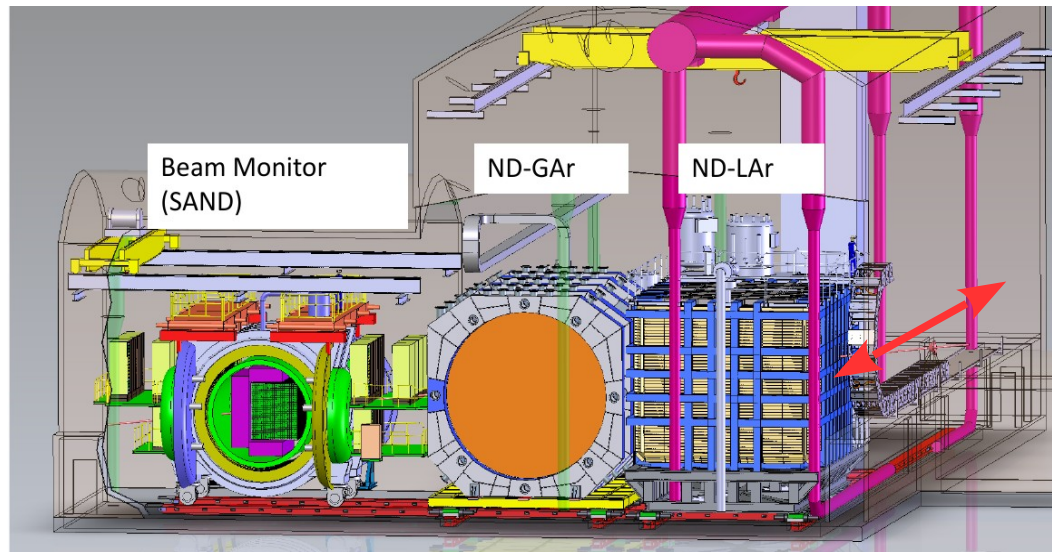
HyperKamiokande Intermediate  
Water Cherenkov Detector (IWCD)



# New approach to near to far extrapolation

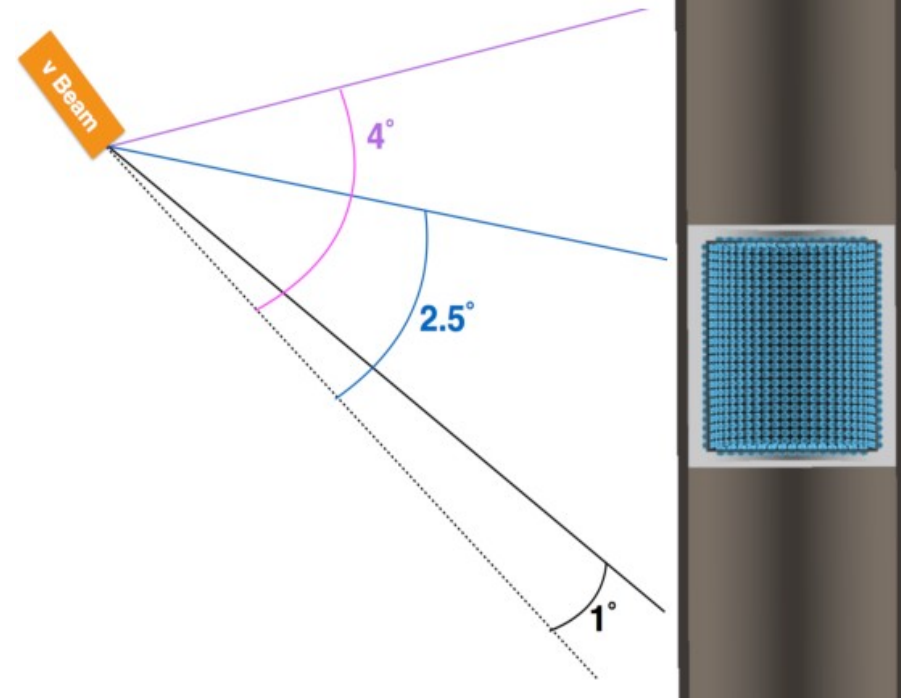
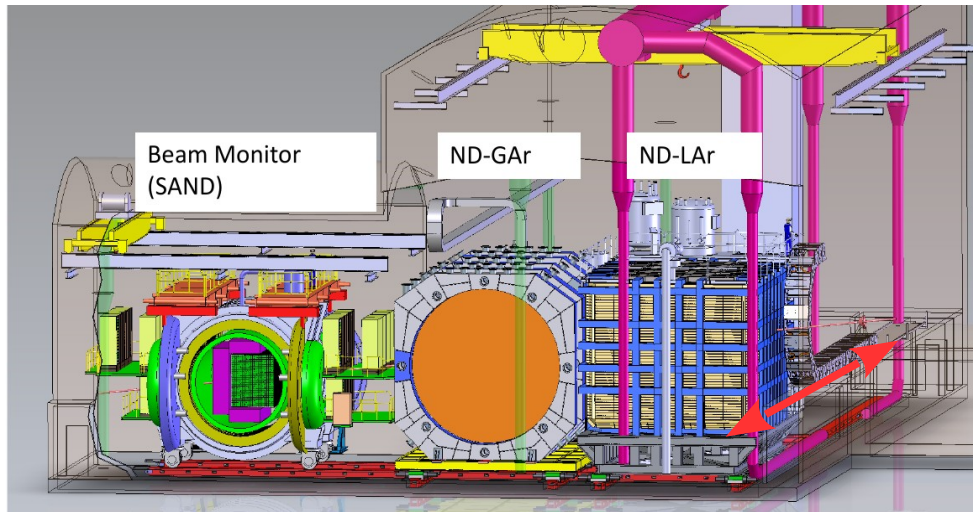
Extract  $E_\nu$  dependence from off-axis angle

DUNE LAr and GAr TPCs as movable near detectors: DUNE-Prism



# New approach to near to far extrapolation

Extract  $E_\nu$  dependence from off-axis angle



- Nuclear-level systematics becomes 'second order'  
→ quantification on-going (acceptance, finite statistics, ...)
- Need to control well flux systematic uncertainties vs angle and flux stability vs time (DUNE SAND, T2(H)K INGRID)

# Beyond PMNS

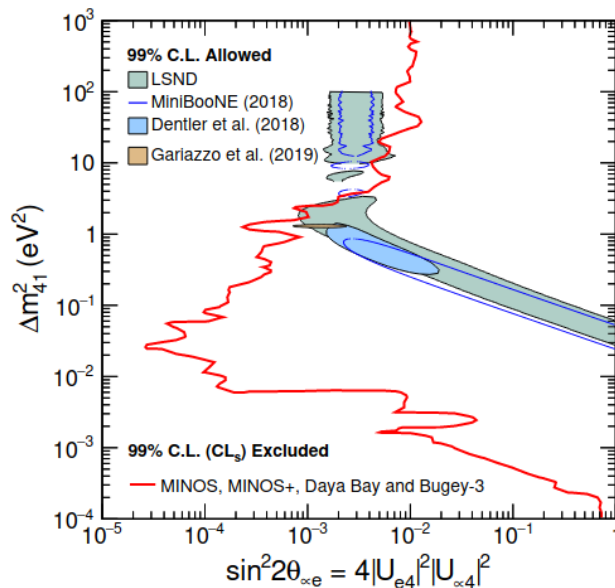
- The 'standard' oscillation paradigm (PMNS-based) is very strict and not motivated by fundamental symmetries (mixing angles and neutrino masses are 'accidental' numbers).

In particular it assumes

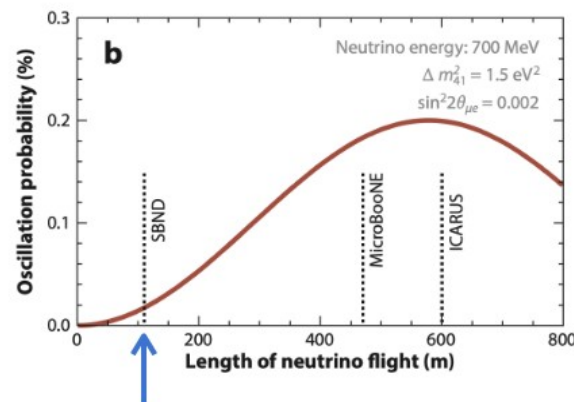
- minimal 3-flavour scenario
- standard neutrino interactions for production and detection
- standard matter effects along propagation

**Steriles: new neutrino states with different  $\Delta m^2 \rightarrow$  oscillations at different L/E**

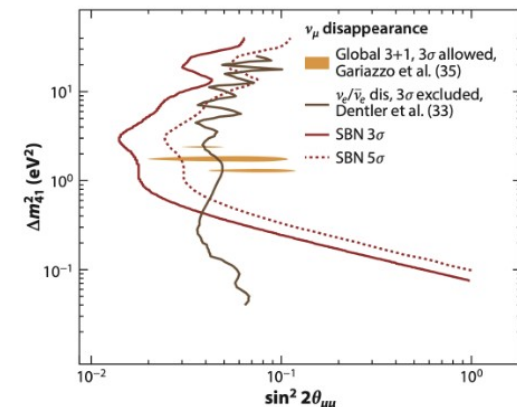
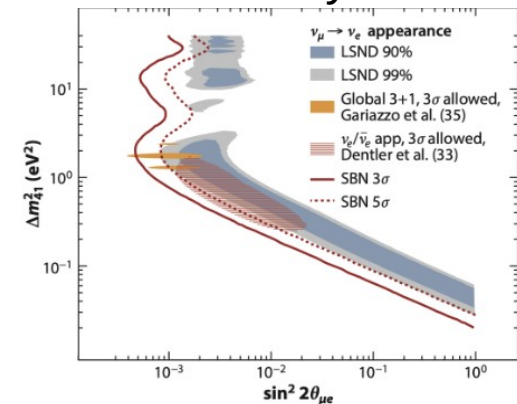
MINOS/MINOS+/reactors results



Short Baseline Neutrino program at FNAL.



Sensitivity:

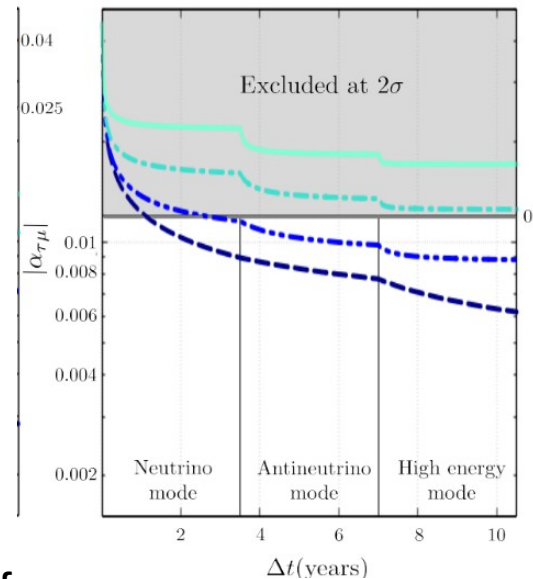




# BSM surprises?

**Steriles** (of many different types) → **inventive ways of use near detectors**

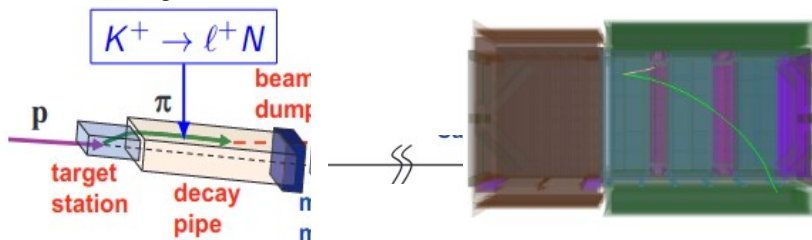
- DUNE **tau  $\nu$**  appearance at near detectors



Sensitivity depending on energy shape uncertainty



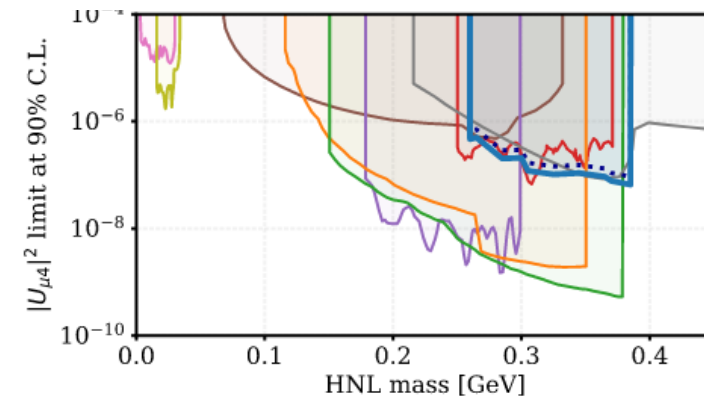
- **Heavy Neutral Leptons** from K decays in the beam



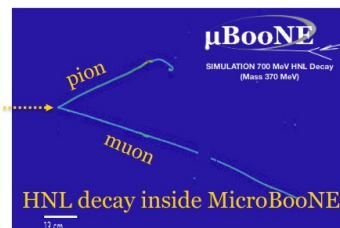
- ND280: decay of N in TPC gas volume (~no background)

Phys. Rev. D 100, 052006 (2019)

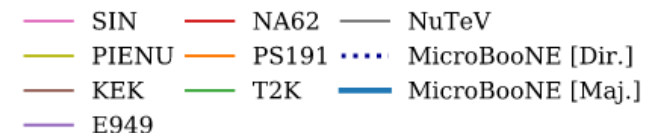
Phys. Rev. D 101, 052001



Kaon decay in BNB target or decay volume  
HNL production  
 $K^+ \rightarrow \ell^+ N$   
(Extended PMNS matrix element)



- MicroBooNE: delayed N decays



# Beyond PMNS

- The ‘standard’ oscillation paradigm (PMNS-based) is very strict and not motivated by fundamental symmetries (mixing angles and neutrino masses are ‘accidental’ numbers).

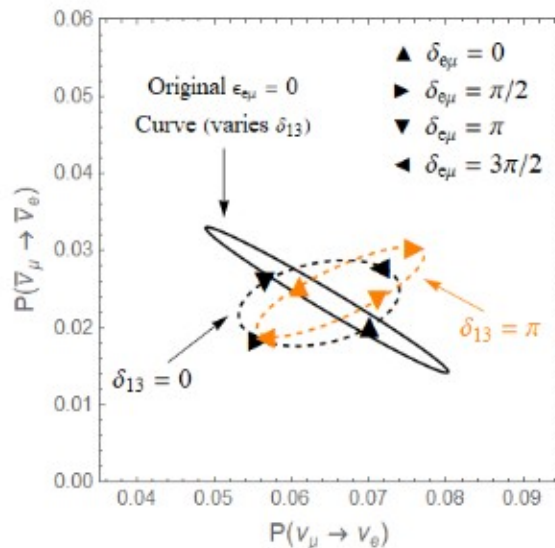
In particular it assumes

- minimal 3-flavour scenario
- standard neutrino interactions for production and detection
- standard matter effects along propagation

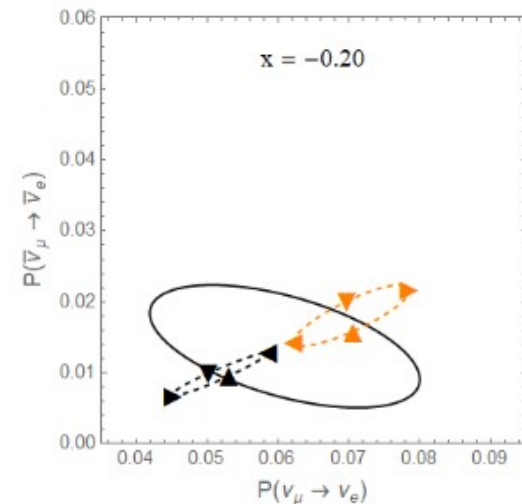
**Non Standard Interactions:** a door to new physics. (And more: CPT-violation, ...)

Need to be able to disentangle from “standard” oscillation effects

Eg: new sources of CP-violation in NSI from non-diagonal terms in matter potential



moving to  
different  
(L/E)



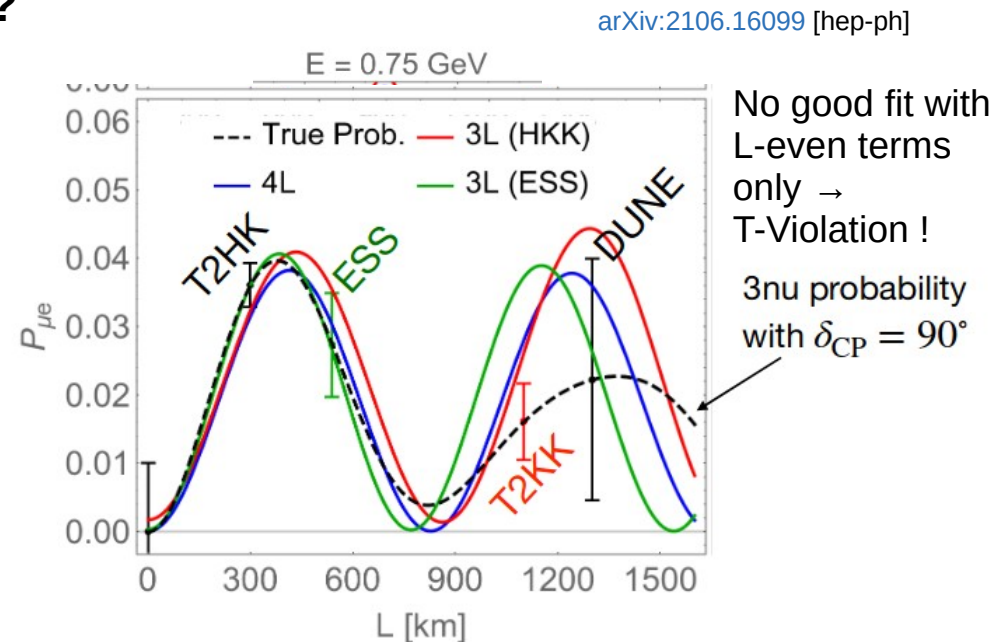
# Most general paradigm

- Expand the oscillation study with a **more general paradigm: with next generation of experiments we will look at oscillations with a much more open-mind approach:** we want to characterize the L/E dependency of flavour mixing

Eg: can we search for **fundamental CP violation in a more model-independent way?**

- allow for arbitrary (non-standard) matter effect -
- allow for arbitrary (non-unitary) mixing between flavour and energy eigenstates (even different for production and detection)

→ **search for T-violation** → **look for L dependency of oscillations at fixed energy**



# New ideas and new facilities

- Improved beams for more precise control of neutrino flux

- **EvBET**: instrumented decay tunnel for precise (1%) measurement of  $\nu_e$  from K decays

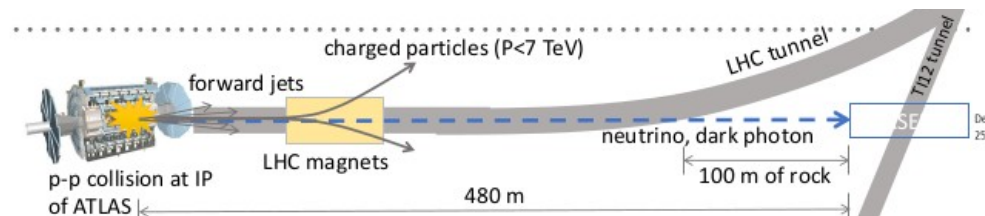
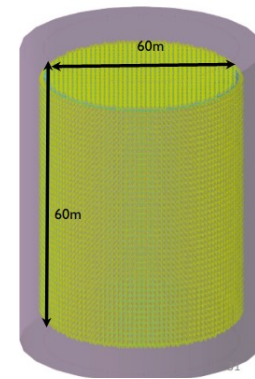
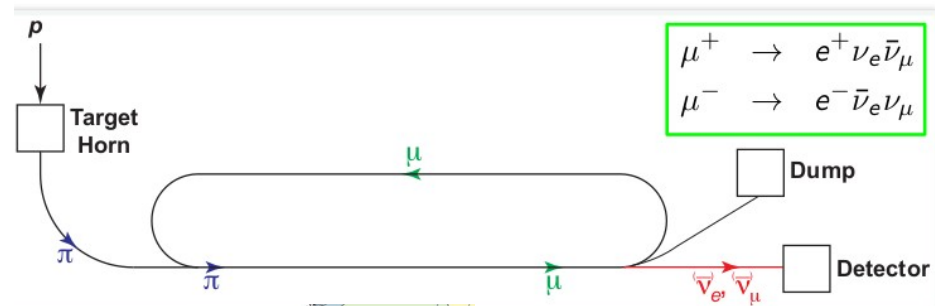
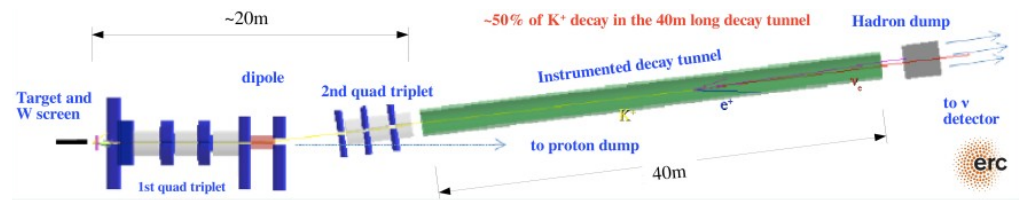
- **$\nu$ STORM**: muon storage ring giving very well known  $\nu_e$  and  $\nu_\mu$  fluxes (R&D toward Neutrino Factories)

- **ESS $\nu$ SuperBeam**: 2<sup>nd</sup> oscillation + HIFI (demonstrator for low energy  $\nu$ STORM)

- Next-to-next generation detectors:

- **THEIA**: water based (doped) optical detector for comprehensive neutrino program (scintillation + Cherenkov)

- Neutrinos at LHC: **FASER** in forward region after defocusing charged particles  $\rightarrow E_\nu \sim \text{TeV}$





# Summary

- Oscillation measurements made the cover of Nature in April 2020 with a statistically limited measurement: join us for interesting physics ahead!
- Neutrino oscillation physics with “neutrino beams” **entering the precision era with NOVA and T2K** → **next generation experiments are worldwide efforts** comparable to collider experiments
- Next generation of experiments (**DUNE, HK**) **relies on control of systematics at % level** → **crucial role of near detectors**: a new generation coming
  - **T2K and NOVA** are opening to road to exercise new near detectors, new analyses techniques, ...
  - ... long term work in collaboration with **nuclear theory community**
  - Important **R&D** involved (CERN Neutrino Platform)
- A vibrant community **ready to react to the ‘unexpected’: new systematics and/or BSM signs** → **inventive in the usage of near detectors and in the exploration of complementarity between HK and DUNE**

