

# First Results from MicroBooNE's Low Energy Excess Search and Constraints on eV-Scale Sterile Neutrino Oscillations

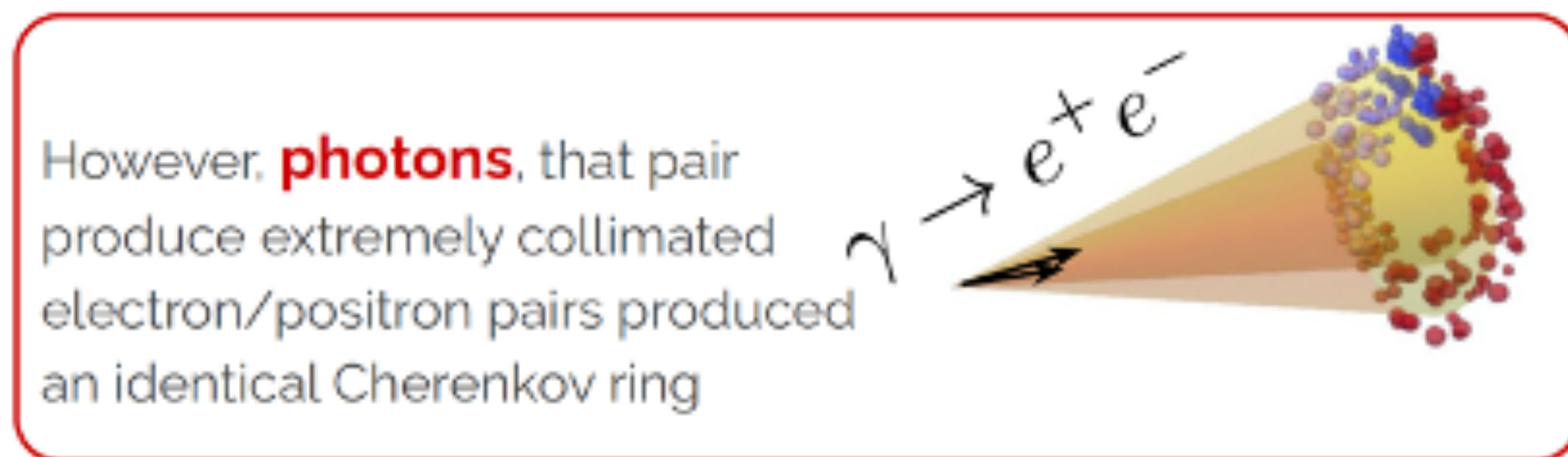
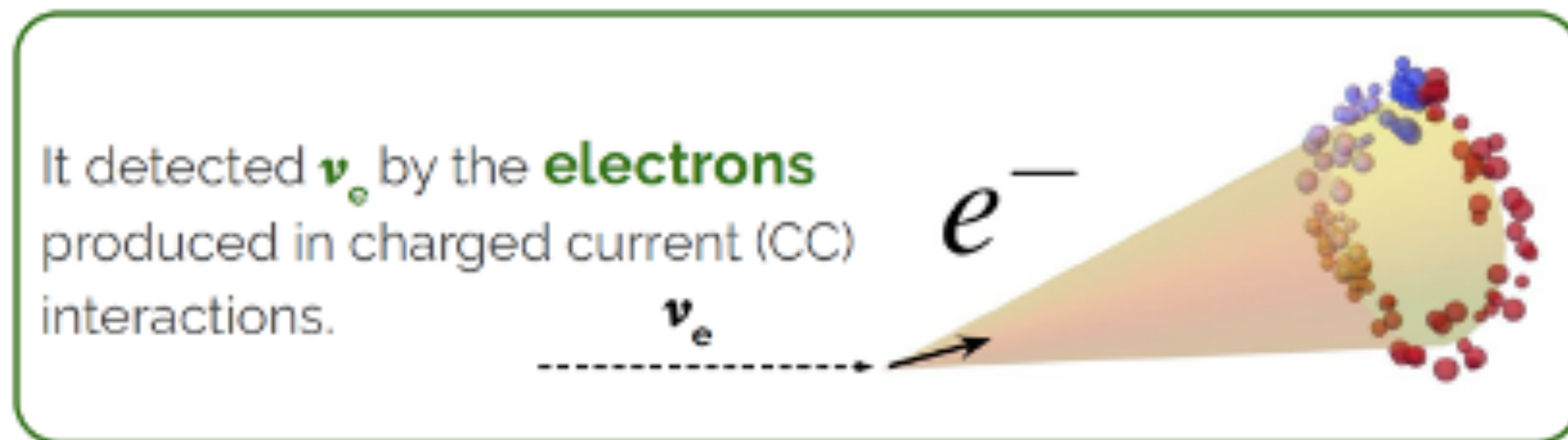
**Jay Hyun Jo**, Yale University  
*on behalf of the MicroBooNE collaboration*

July 8, 2022

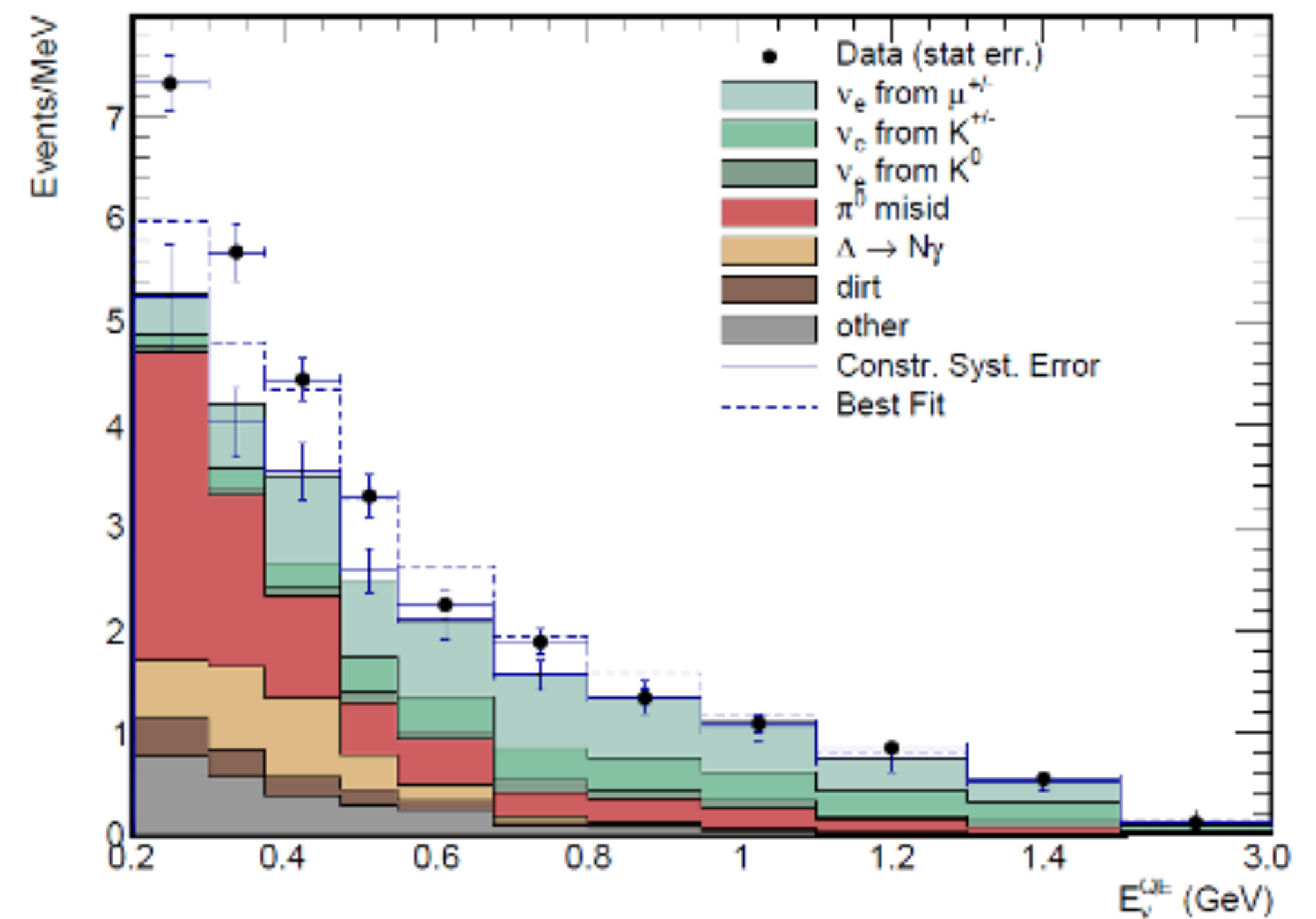
International Conference on High Energy Physics, Bologna, Italy



- MiniBooNE (2002-2019) observed a low energy excess (LEE) of electromagnetic events with  $4.8\sigma$  significance



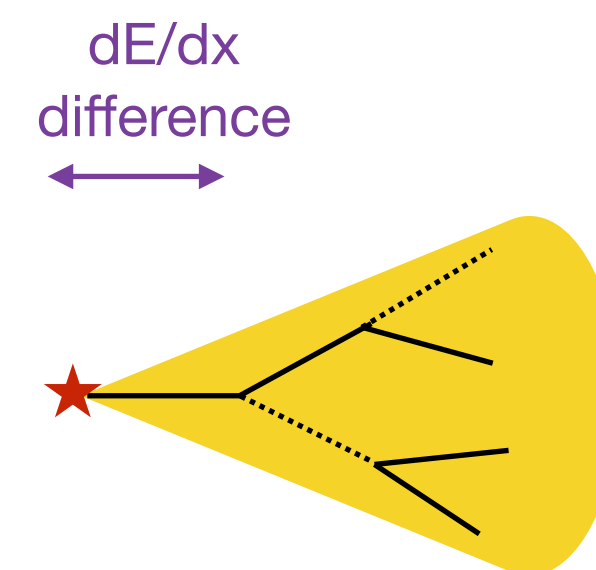
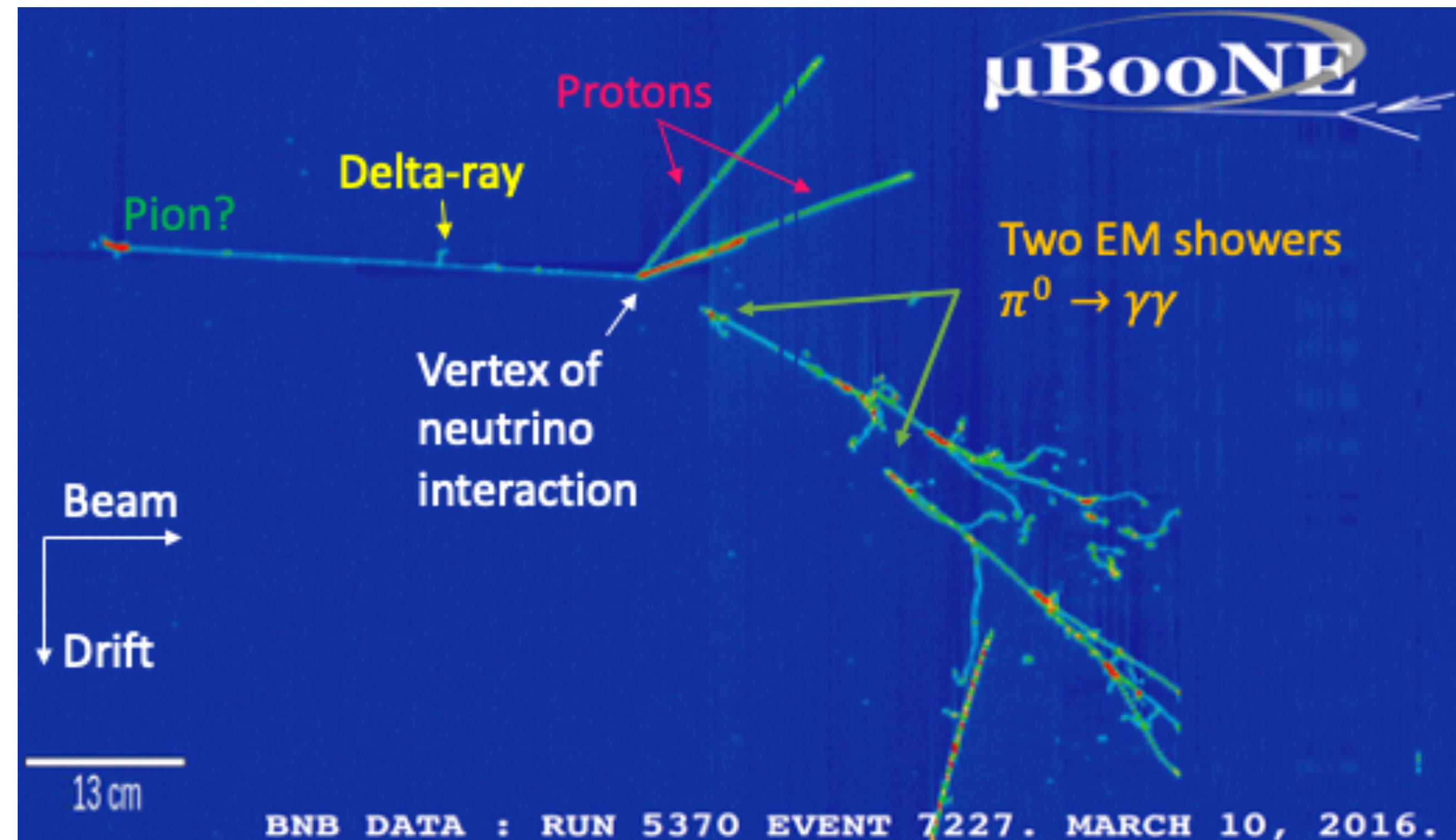
Phys. Rev. D **103**, 052002



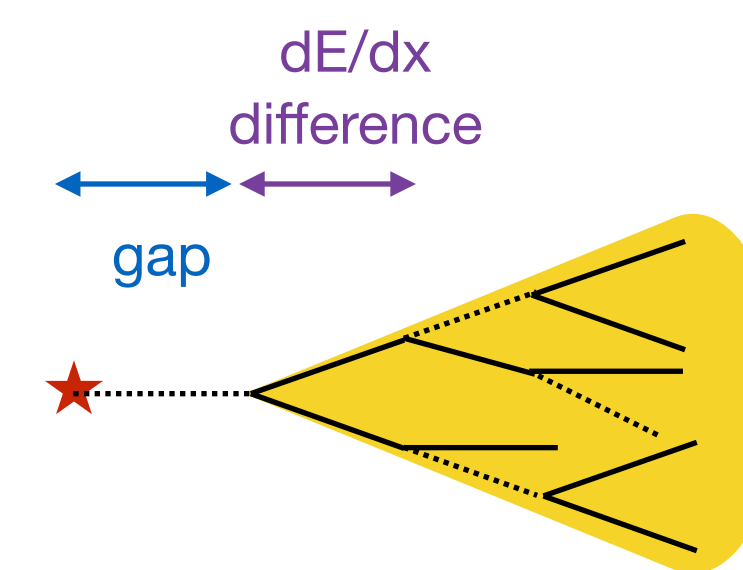
- MiniBooNE Cherenkov detector unable to distinguish between **electrons** and **photons**
- MiniBooNE also unable to detect hadronic final-state particles below Cherenkov threshold



- LArTPC is capable of identifying different species of particles and reconstructing 3D images with fine-grained information
  - neutrino vertex
  - particle flow (mother-daughter particle relationship)
  - track vs. shower separation
  - **electron** vs. **photon** ( $e^+e^-$  pair production) separation



electron shower



photon shower

|   |           |
|---|-----------|
| ★ | vertex    |
| — | $e^-/e^+$ |
| ⋯ | $\gamma$  |

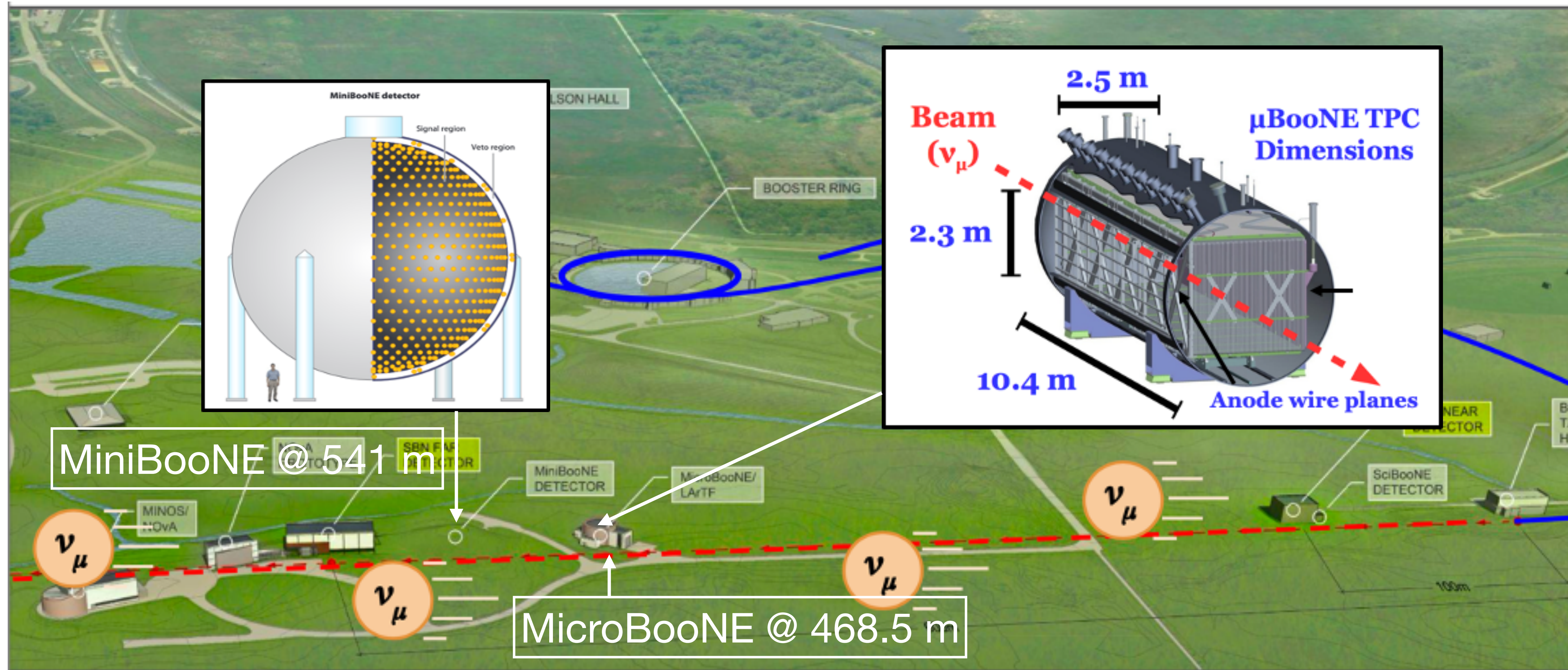




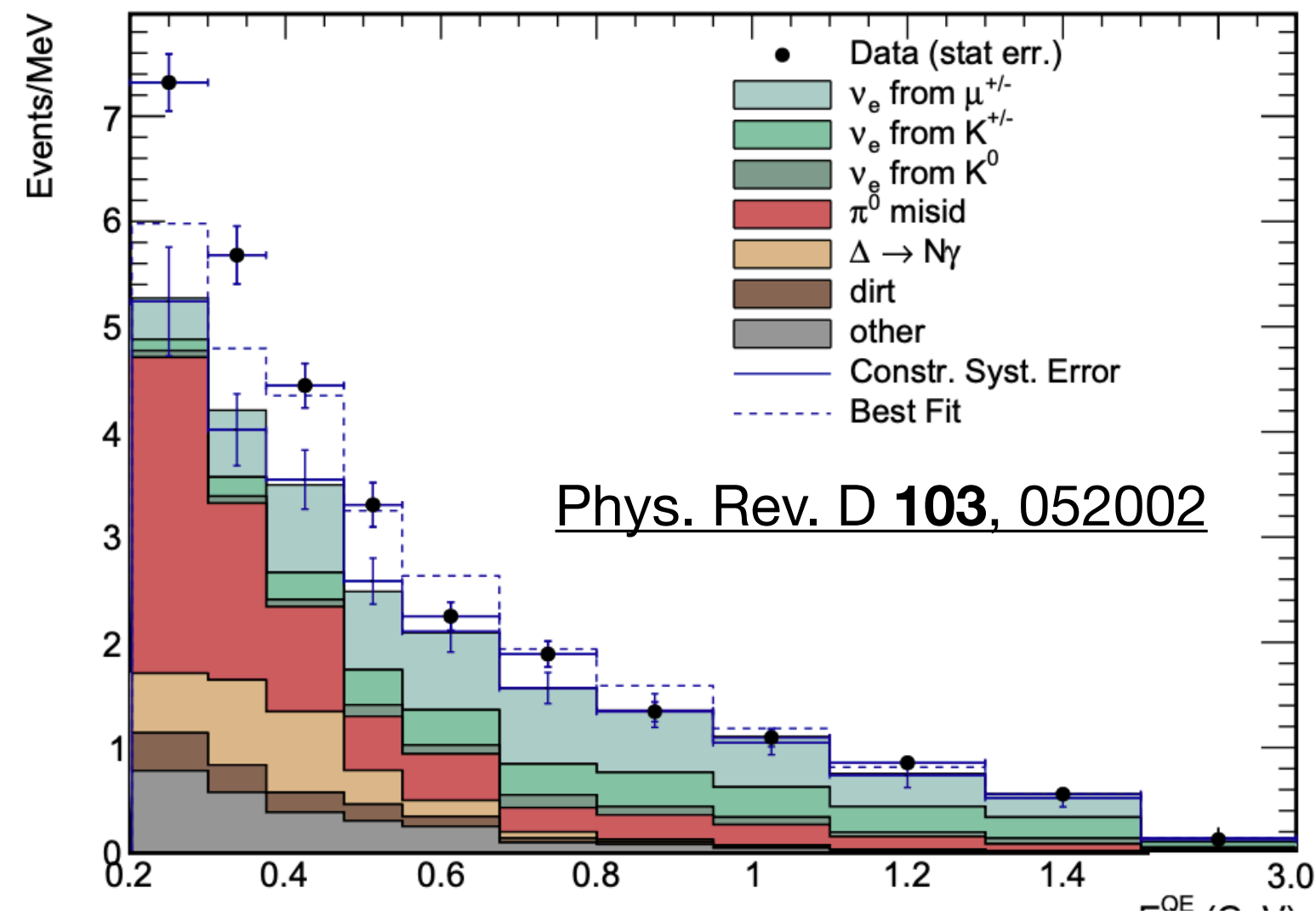


Cherenkov detector with 820 tonne mineral oil

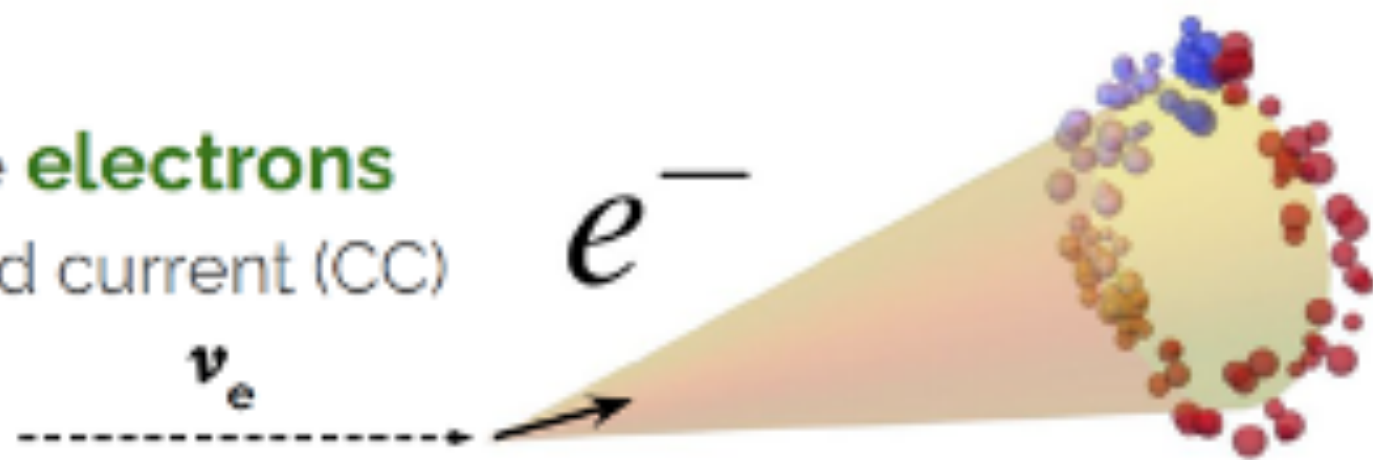
170 (85) tonne liquid argon in cryostat (TPC)



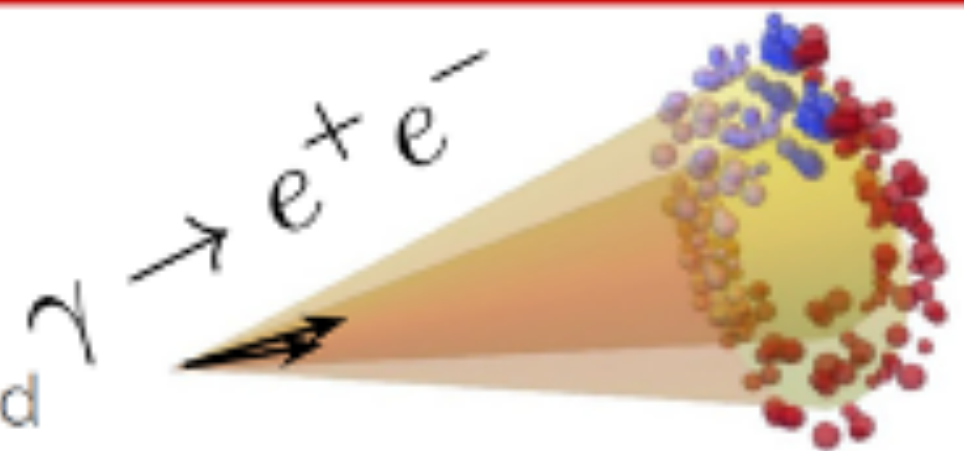




It detected  $\nu_e$  by the **electrons** produced in charged current (CC) interactions.



However, **photons**, that pair produce extremely collimated electron/positron pairs produced an identical Cherenkov ring

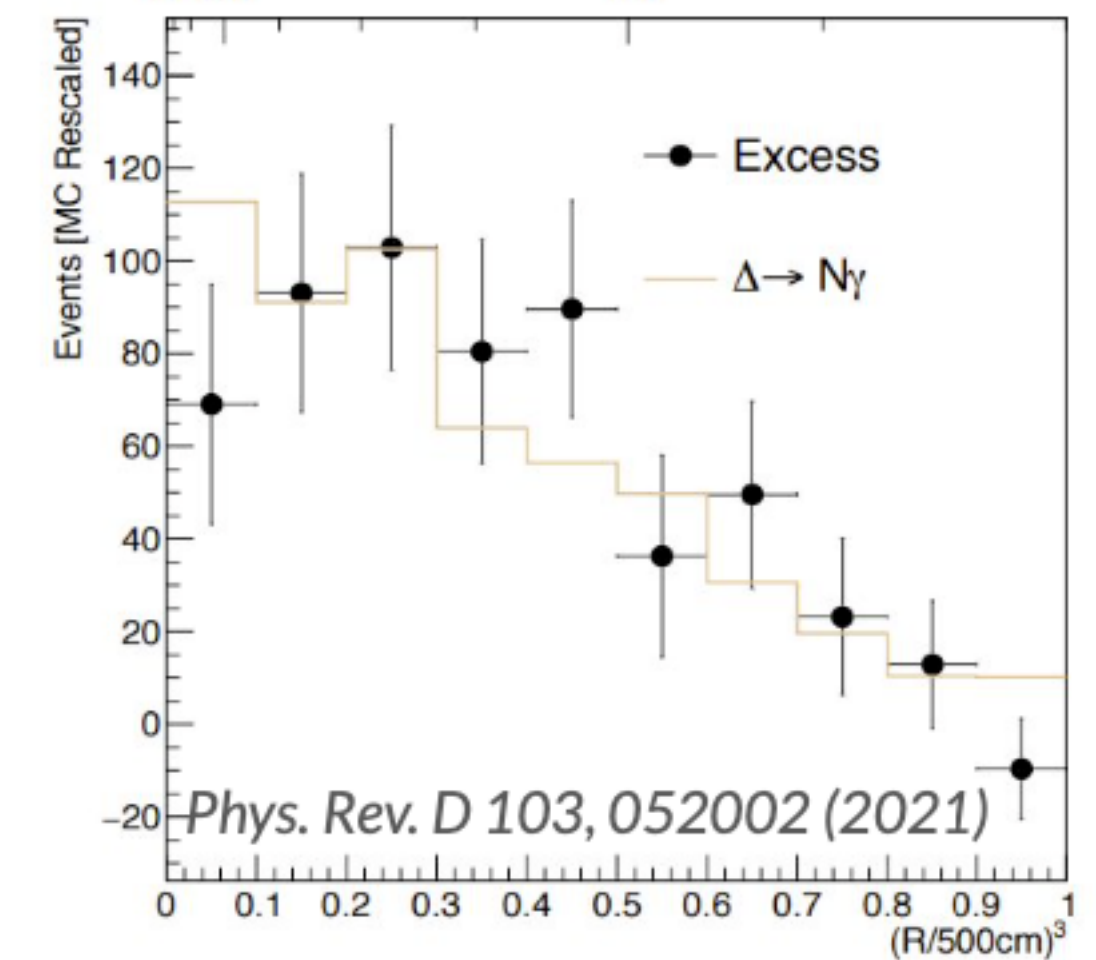
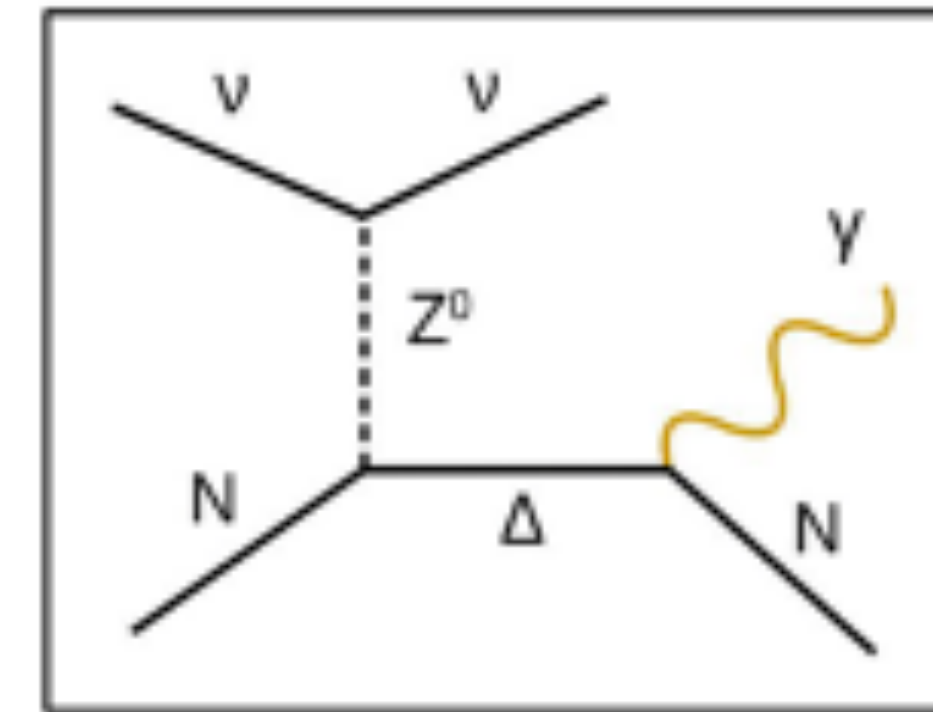


- **electron-like excess**
  - due to mis-modeled/unknown process?
  - oscillation-driven excess?
- **photon-like excess**
  - due to mis-modeled/unknown process producing photons, *such as Neural-Current (NC)  $\Delta$  resonance radiative decay?*

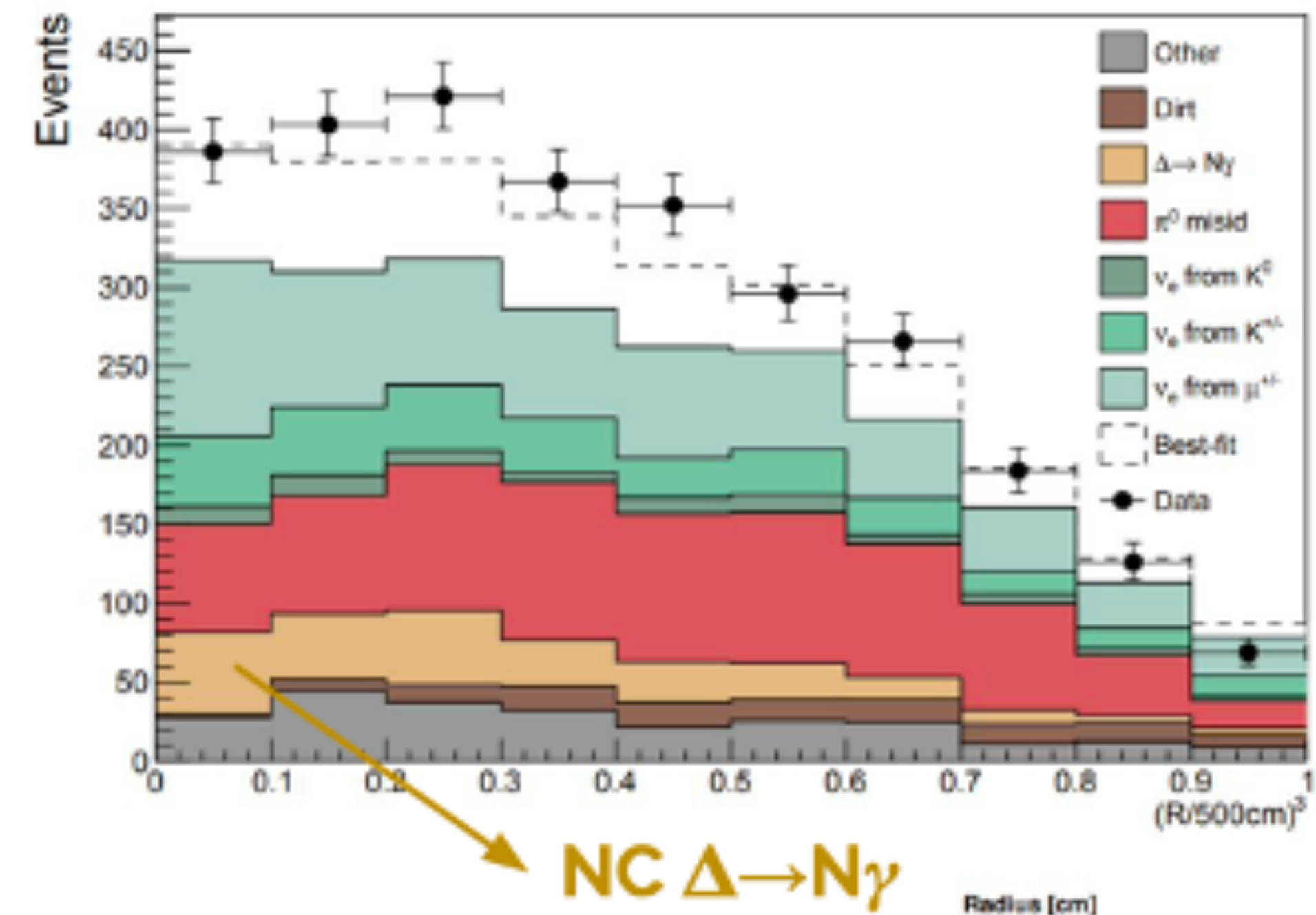


# Single-photon low energy excess (gLEE) search

- targeting NC  $\Delta$  resonance radiative decay ( $\Delta \rightarrow N\gamma$ )
  - standard model process
  - never been directly observed in neutrino scattering
- an enhancement in NC  $\Delta \rightarrow N\gamma$  by a factor of **3.18** would give good agreement with the observed MiniBooNE LEE

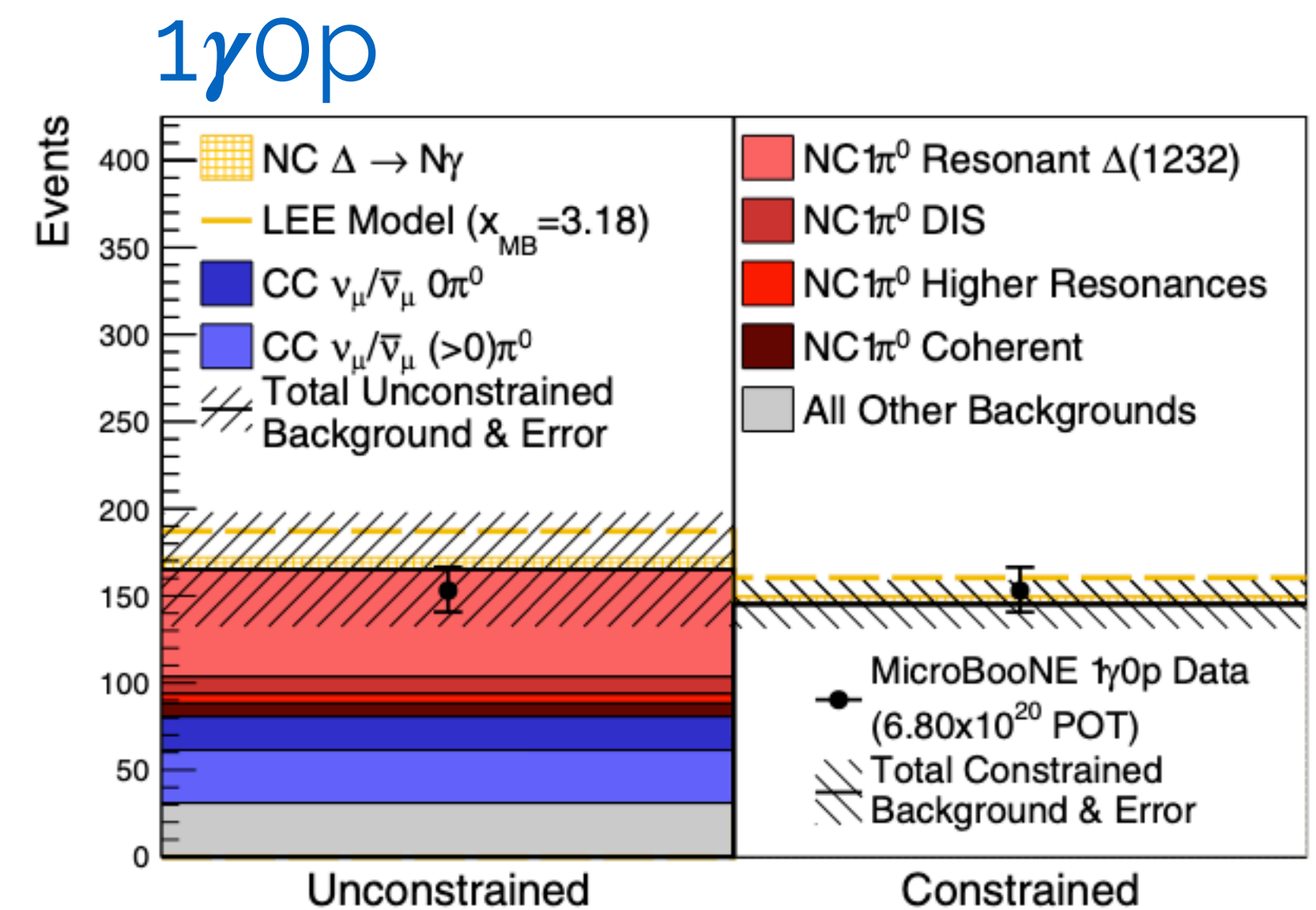
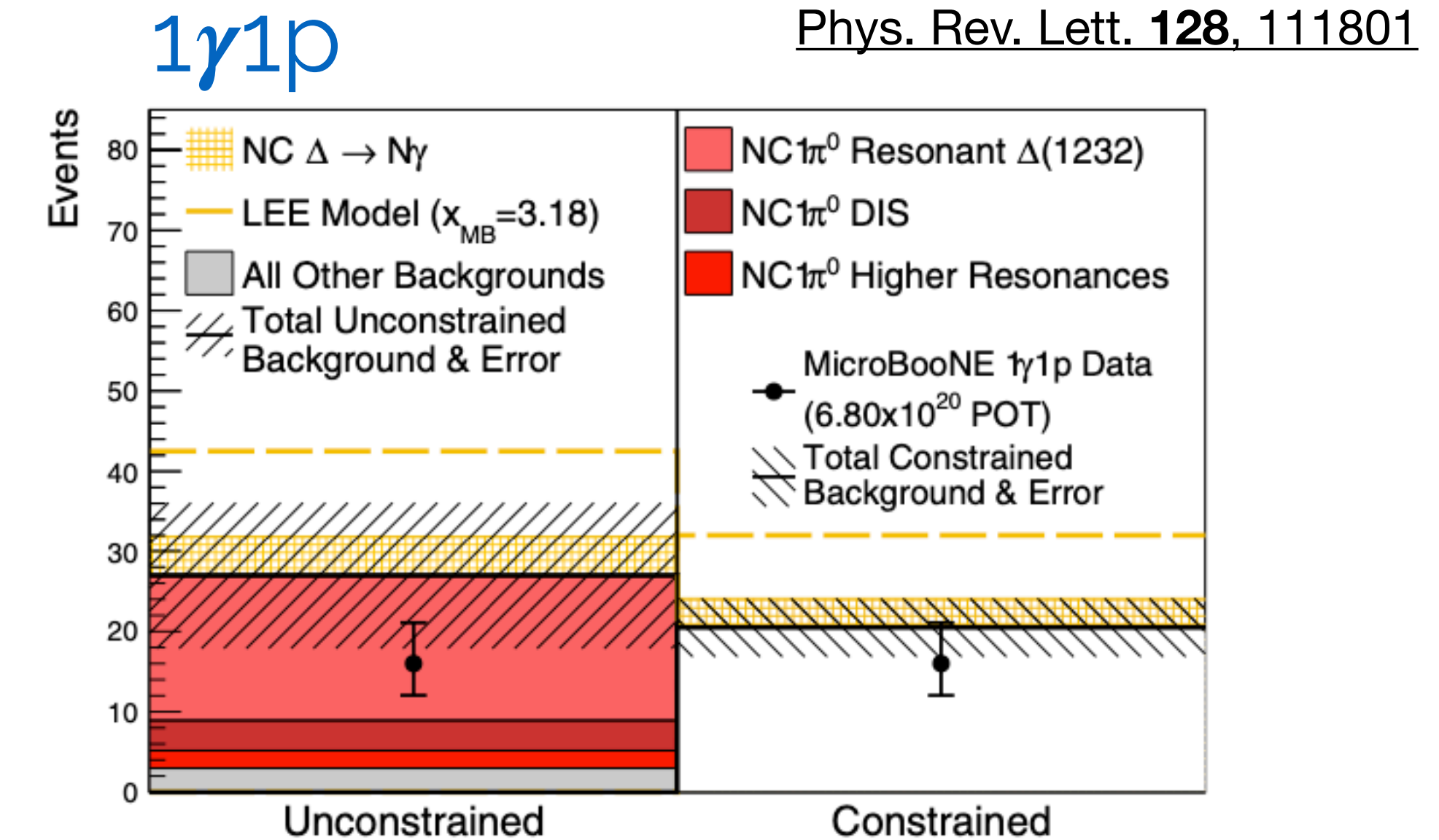


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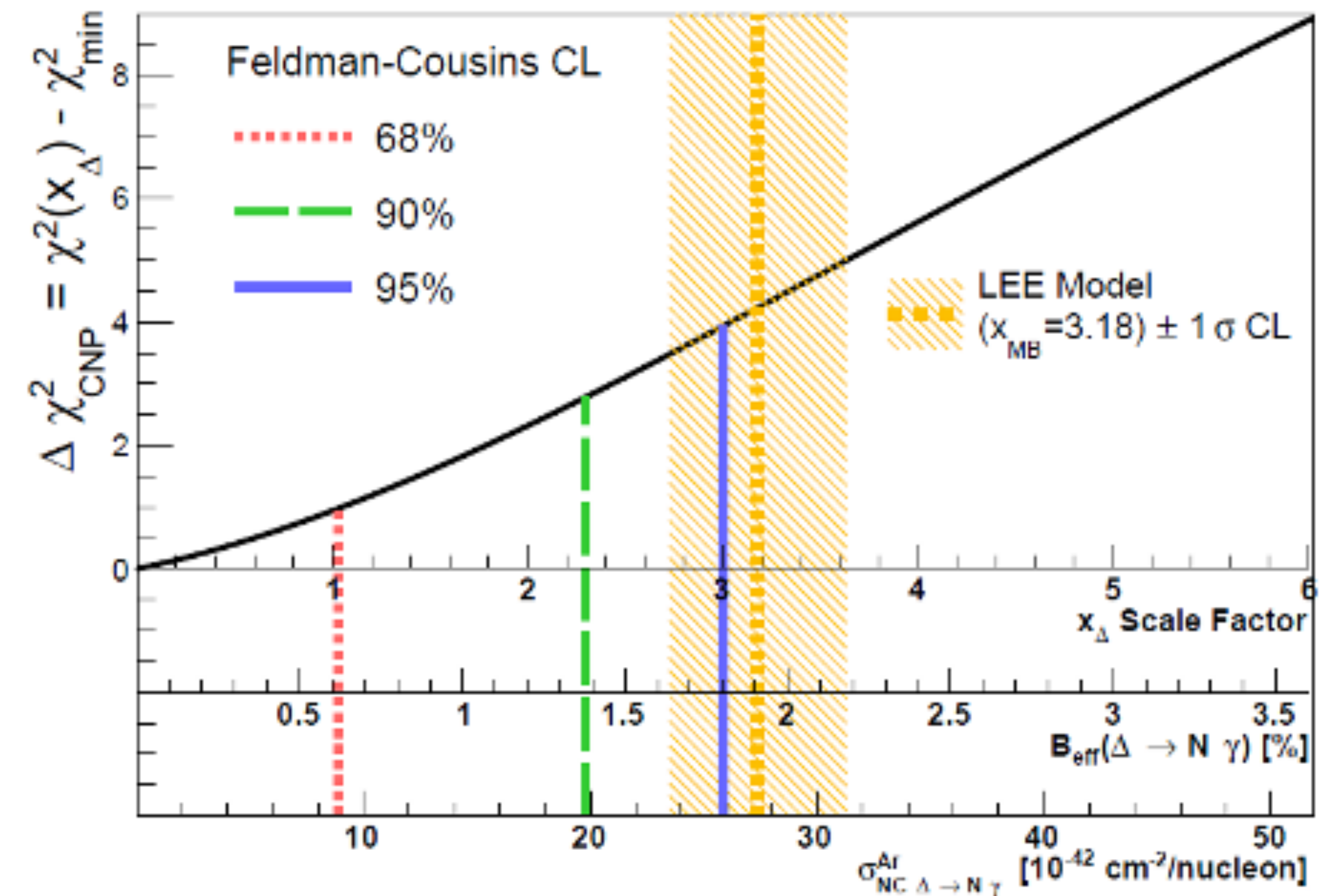
- the analysis selects two NC  $\Delta$  rich single-photon channels:  $1\gamma 1p$  &  $1\gamma 0p$
- the result disfavors the most suspected single-photon background as a sole source of the MiniBooNE excess at 94.8% C.L.**
- bound on the normalization of  $\Delta \rightarrow N\gamma$  was determined to be **2.3 at 90% C.L.**





Phys. Rev. Lett. **128**, 111801

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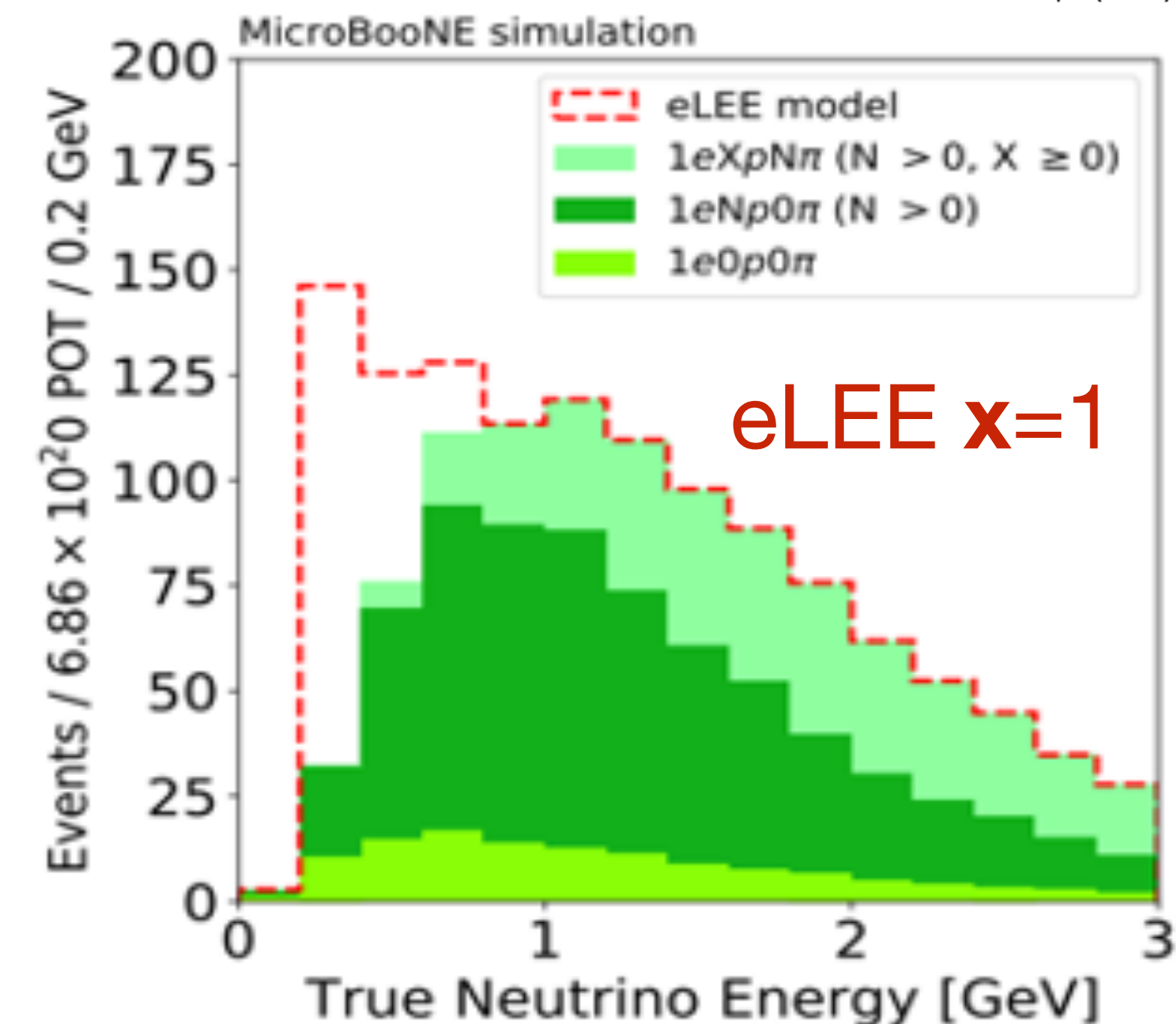
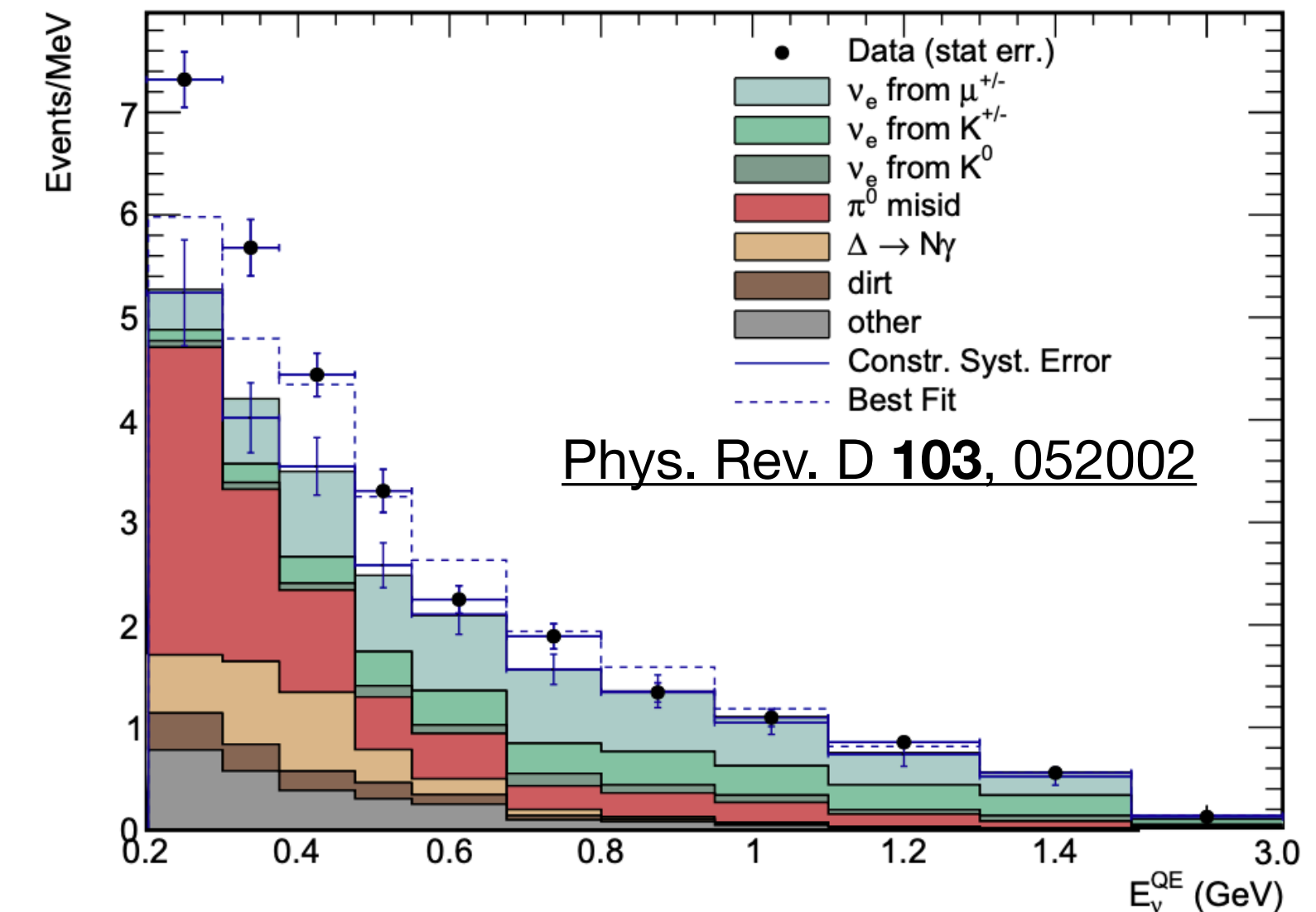




- assumption about the excess:  
an energy-dependent enhancement of intrinsic  $\nu_e$  events in the beam at low energy
- empirical eLEE model is derived from MiniBooNE, by unfolding detector response, acceptance, efficiency

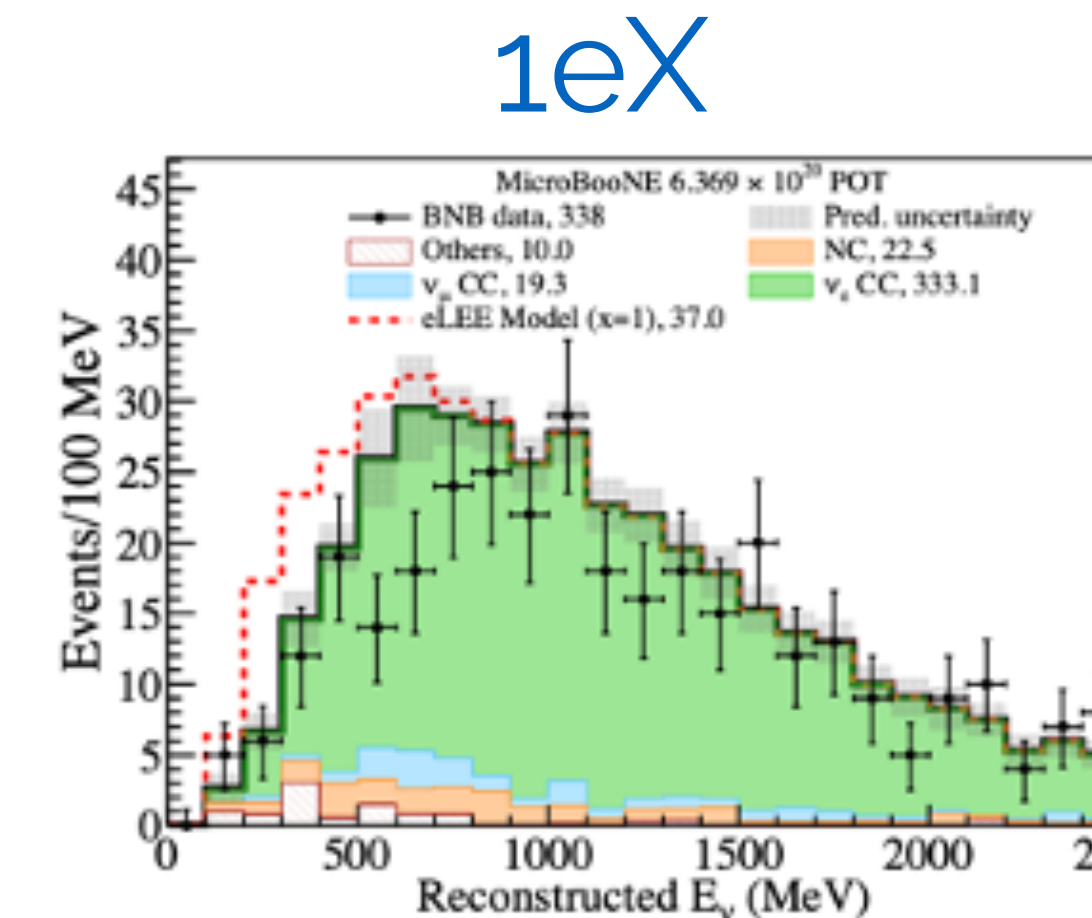
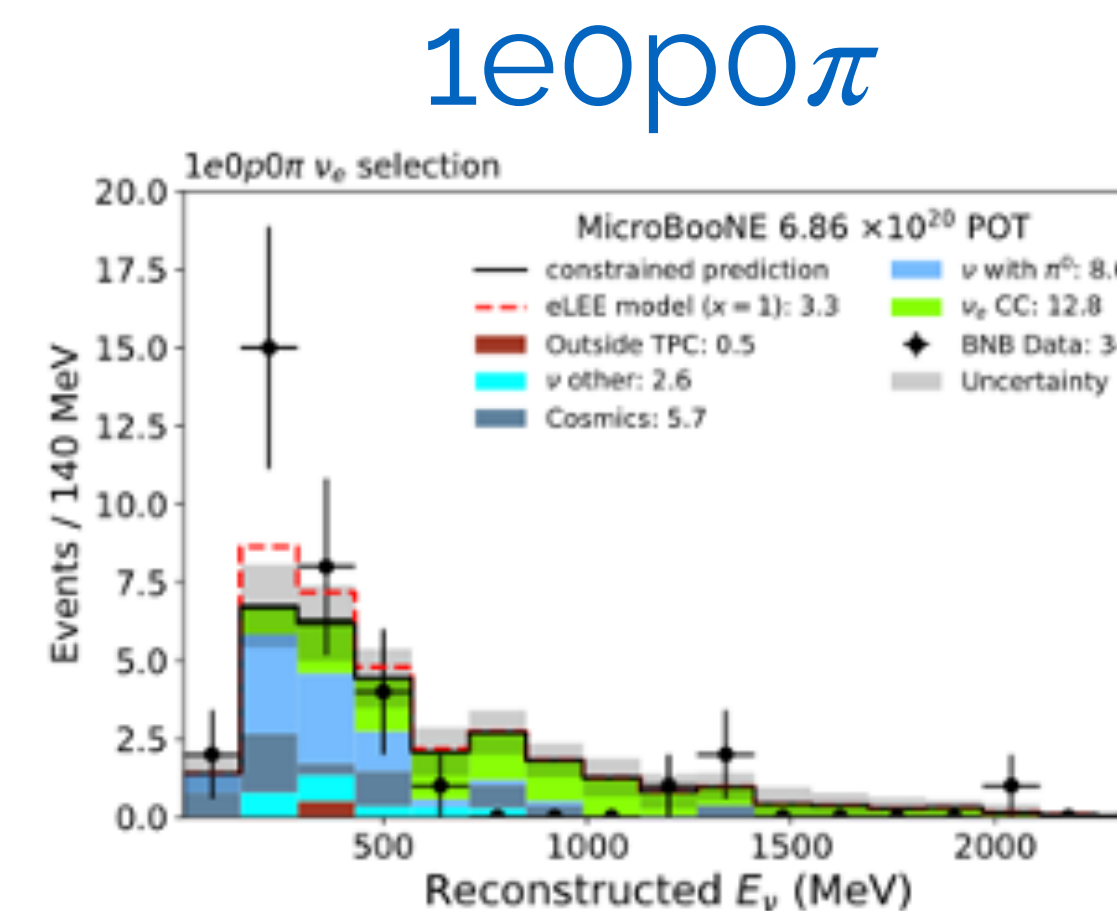
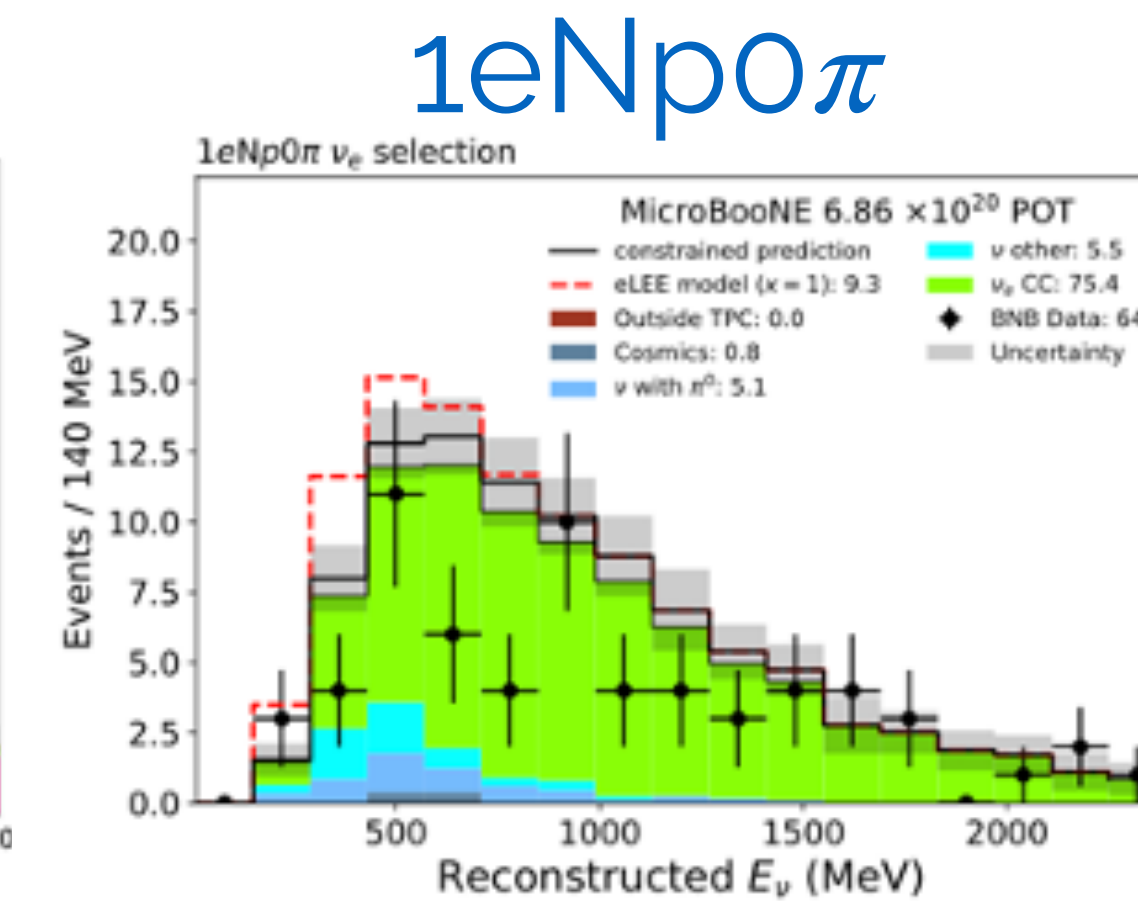
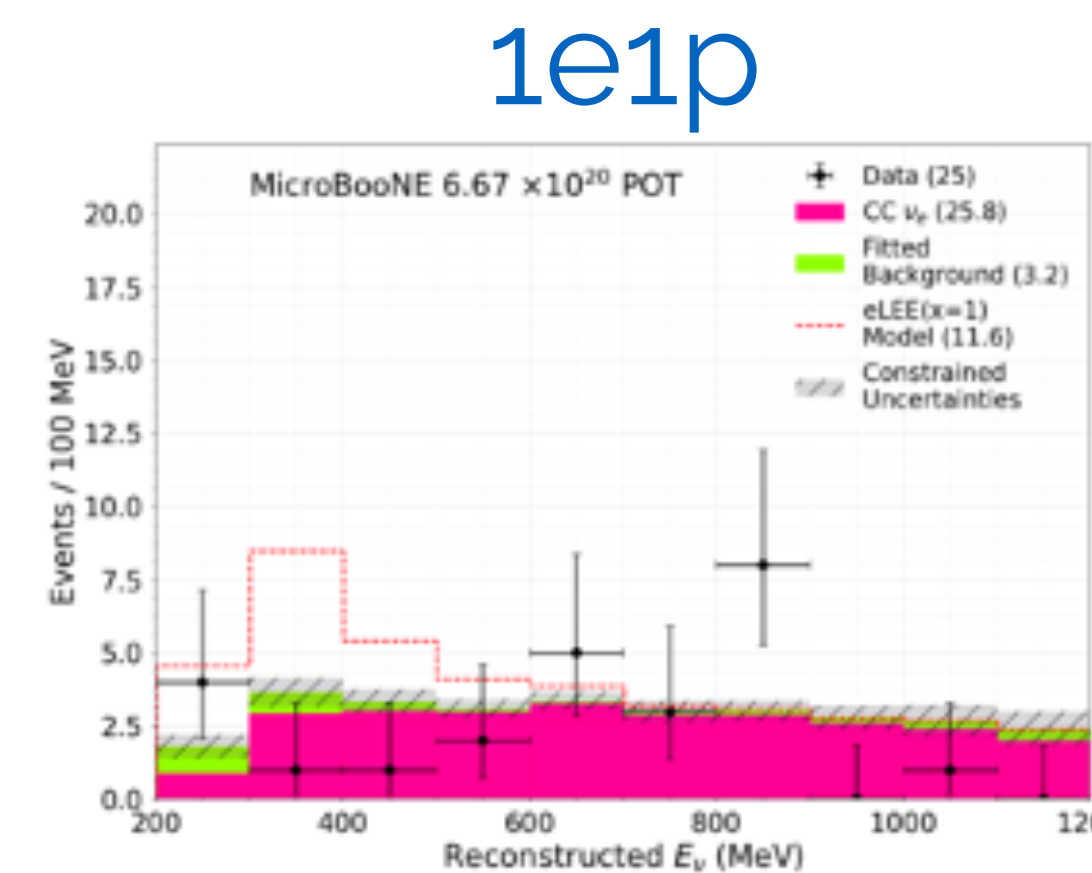
unfolding

MiniBooNE  $x$ :  
 $1 \pm 0.08$  (stat.)  
 $1 \pm 0.21$  (full)



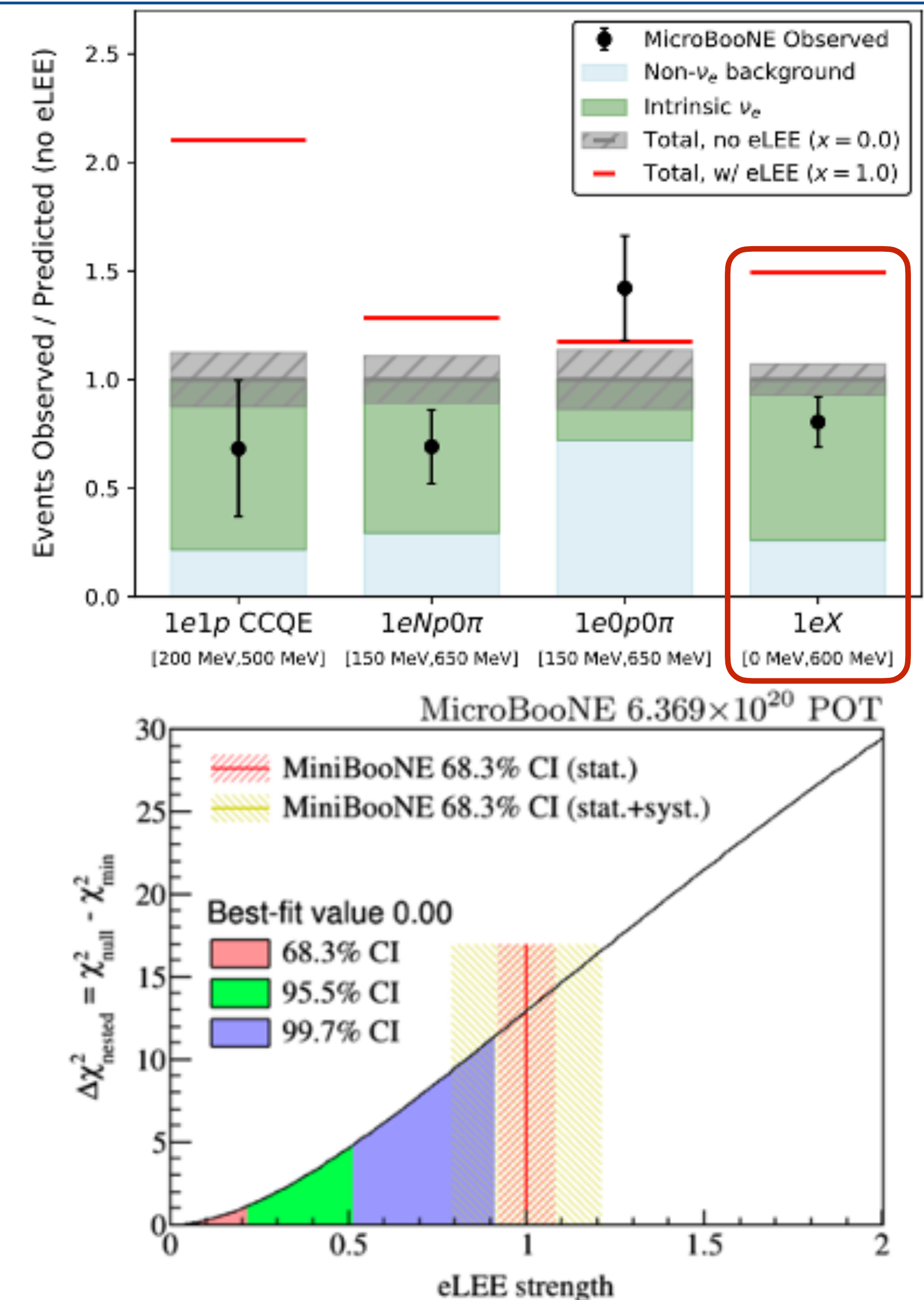


- three independent eLEE searches, targeting four different final states:  $1e1p$ ,  $1eNp0\pi$ ,  $1e0p0\pi$ ,  $1eX$
- observed  $\nu_e$  candidate rates are statistically consistent with the predicted background rates in the LEE region
- with exception of the low  $\nu_e$  purity channel  $1e0p0\pi$ , the hypothesis that  $\nu_e$  events are **fully responsible** for the median MiniBooNE LEE is rejected at 97% C.L. and  $>3\sigma$  in the inclusive channel
  - however, **the existence of sterile neutrinos cannot be ruled out** by the MicroBooNE eLEE result, which is a generic low-energy  $\nu_e$  excess search





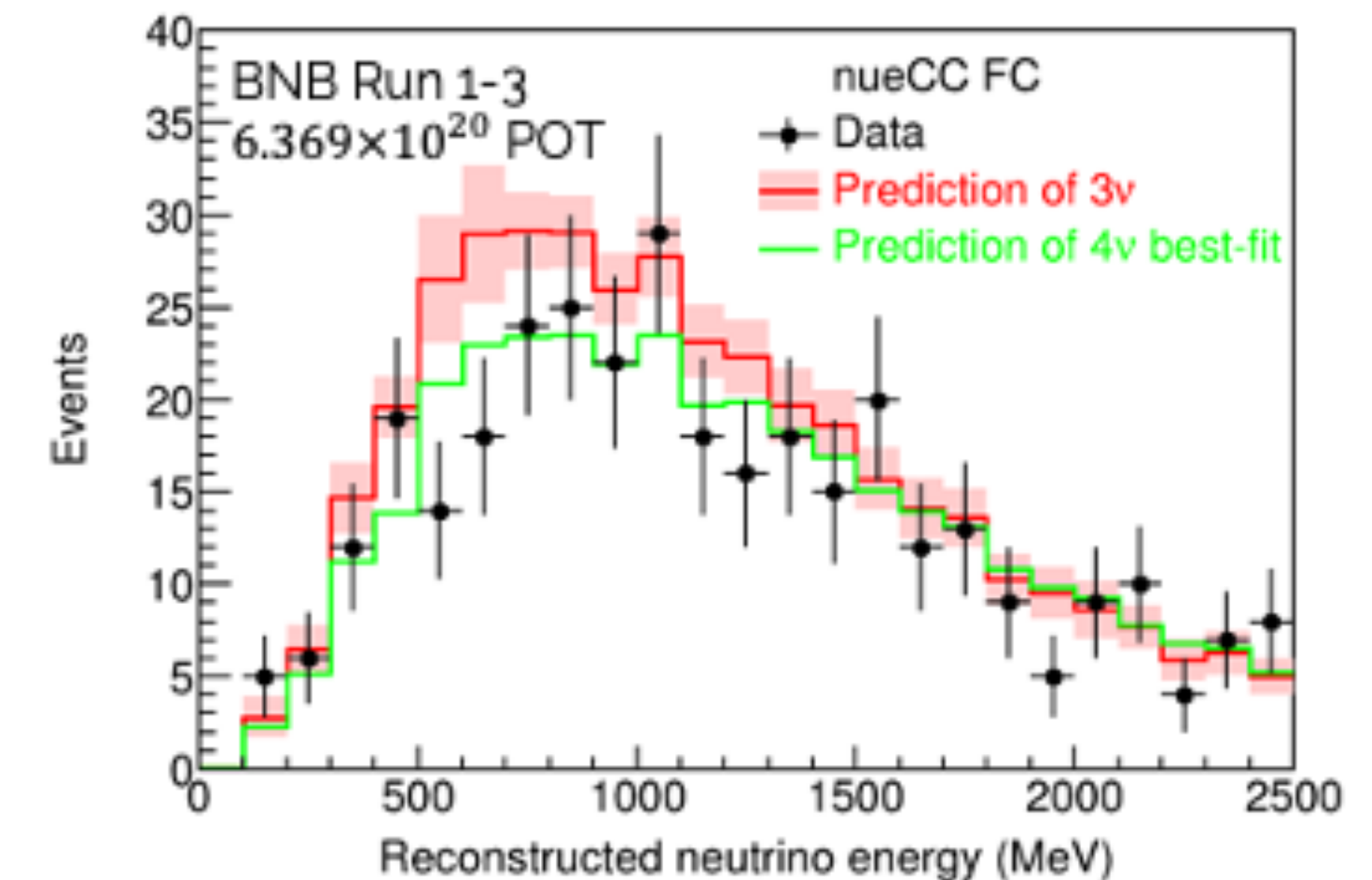
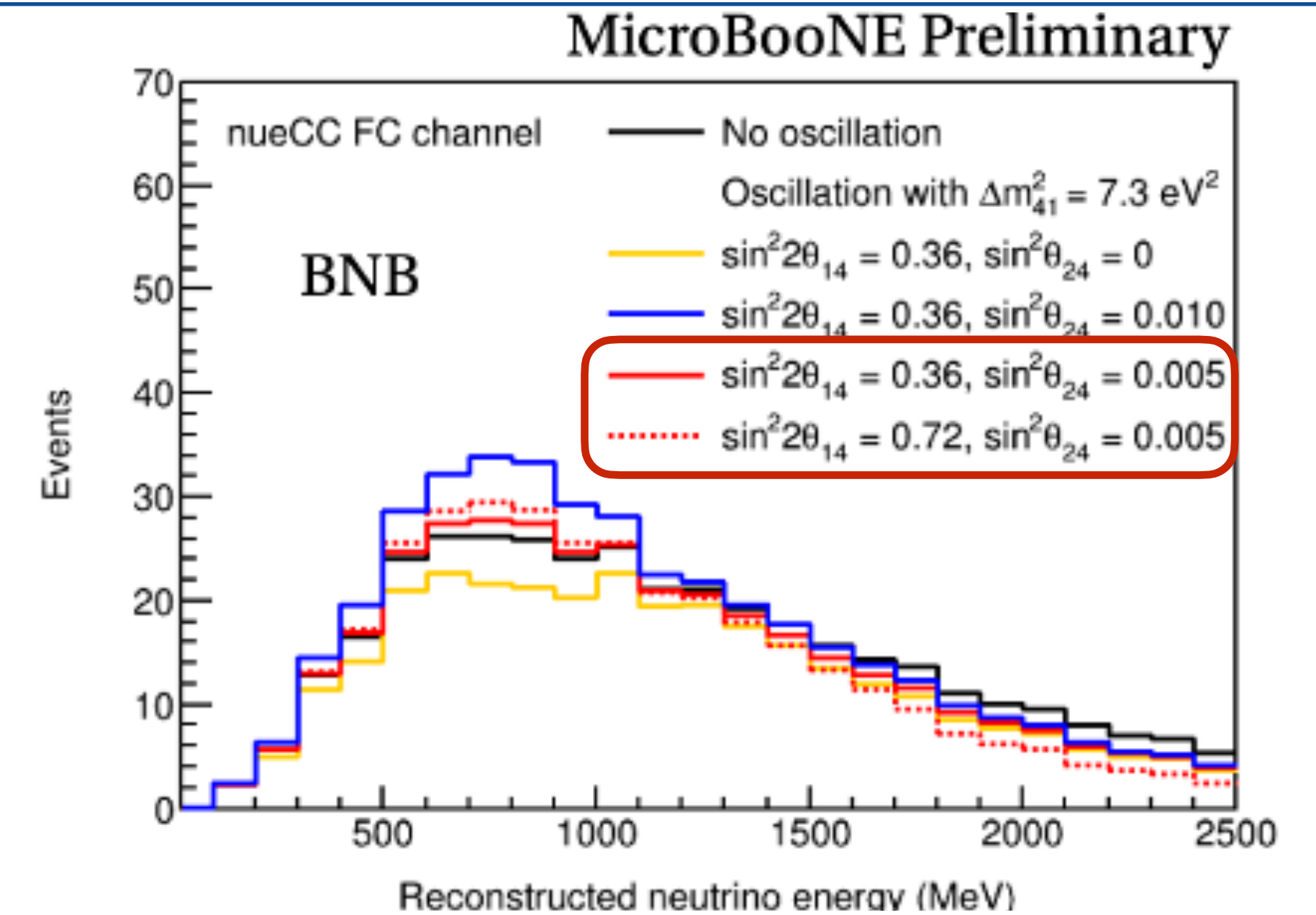
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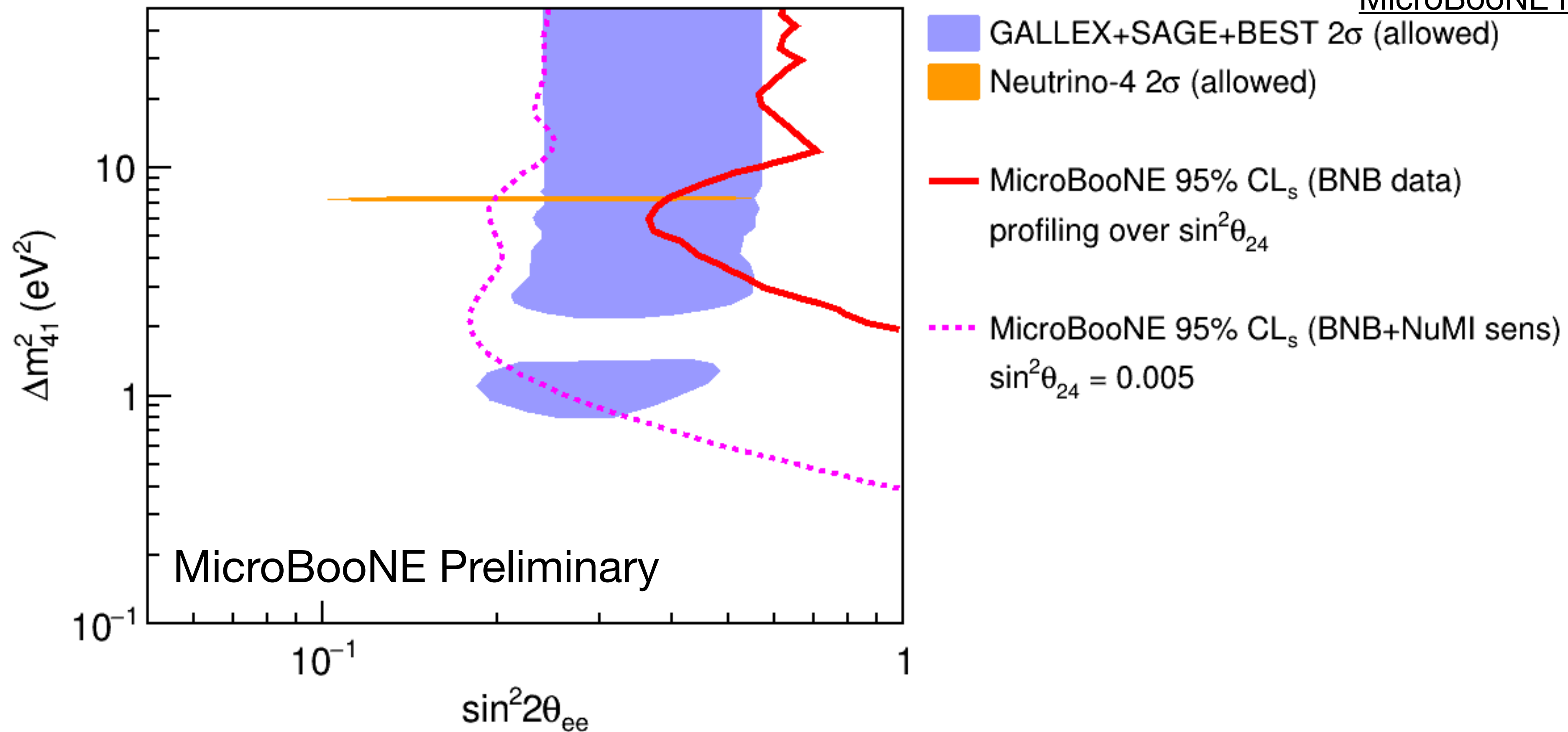


# 3+1 neutrino oscillation analysis

- eLEE results are re-interpreted under a sterile neutrino oscillation hypothesis: a combination of short-baseline  $\nu_e$  appearance,  $\nu_e$  disappearance, and  $\nu_\mu$  disappearance
- $\nu_e$  disappearance can cancel the appearance of  $\nu_e$  events:  
*degeneracy of oscillation parameters*
- considering full 3+1 oscillation, BNB Run1-3 data was found to be **consistent with the 3 $\nu$  hypothesis within 1 $\sigma$**  following the Feldman-Cousins approach

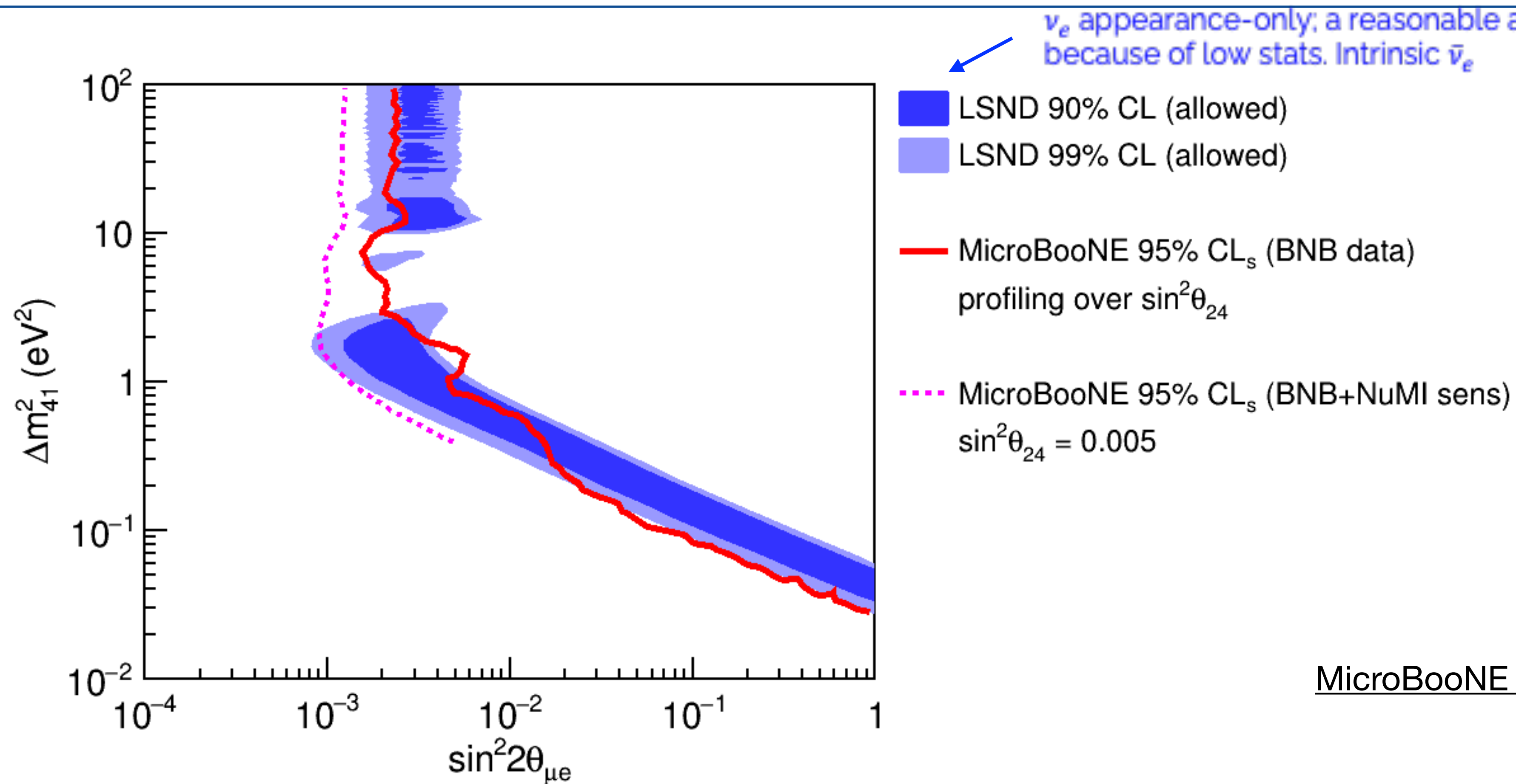






- **competitive limit** on the eV-scale  $\nu_e$  disappearance, first  $\nu$ -Ar scattering data limit
- sensitivity significantly improved **when combining both BNB and NuMI**, mainly due to  $\nu_e$  appearance-disappearance degeneracy mitigation





MicroBooNE Public Note #1116

- part of the LSND allowed region is excluded by the MicroBooNE 95% C.L.
- sensitivity significantly improved when combining both BNB and NuMI, mainly due to  $\nu_e$  appearance-disappearance degeneracy mitigation



- MicroBooNE's first searches for low energy excess **found no evidence of excessive  $\nu_e$  or NC  $\Delta$  radiative decay to explain the MiniBooNE excess**
- full 3+1 oscillation analyses were carried out to interpret the MicroBooNE eLEE results under a sterile neutrino oscillation hypothesis
  - the data (50% BNB dataset) was found to be **consistent with 3-flavor hypothesis**
- further investigation on MiniBooNE excess, searches for other BSM particles/processes (e.g.  $e^+e^-$ ), and oscillation analysis with BNB & NuMI data are underway







$\mu$ BoONE

Thank You!



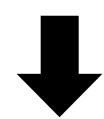
# Backup



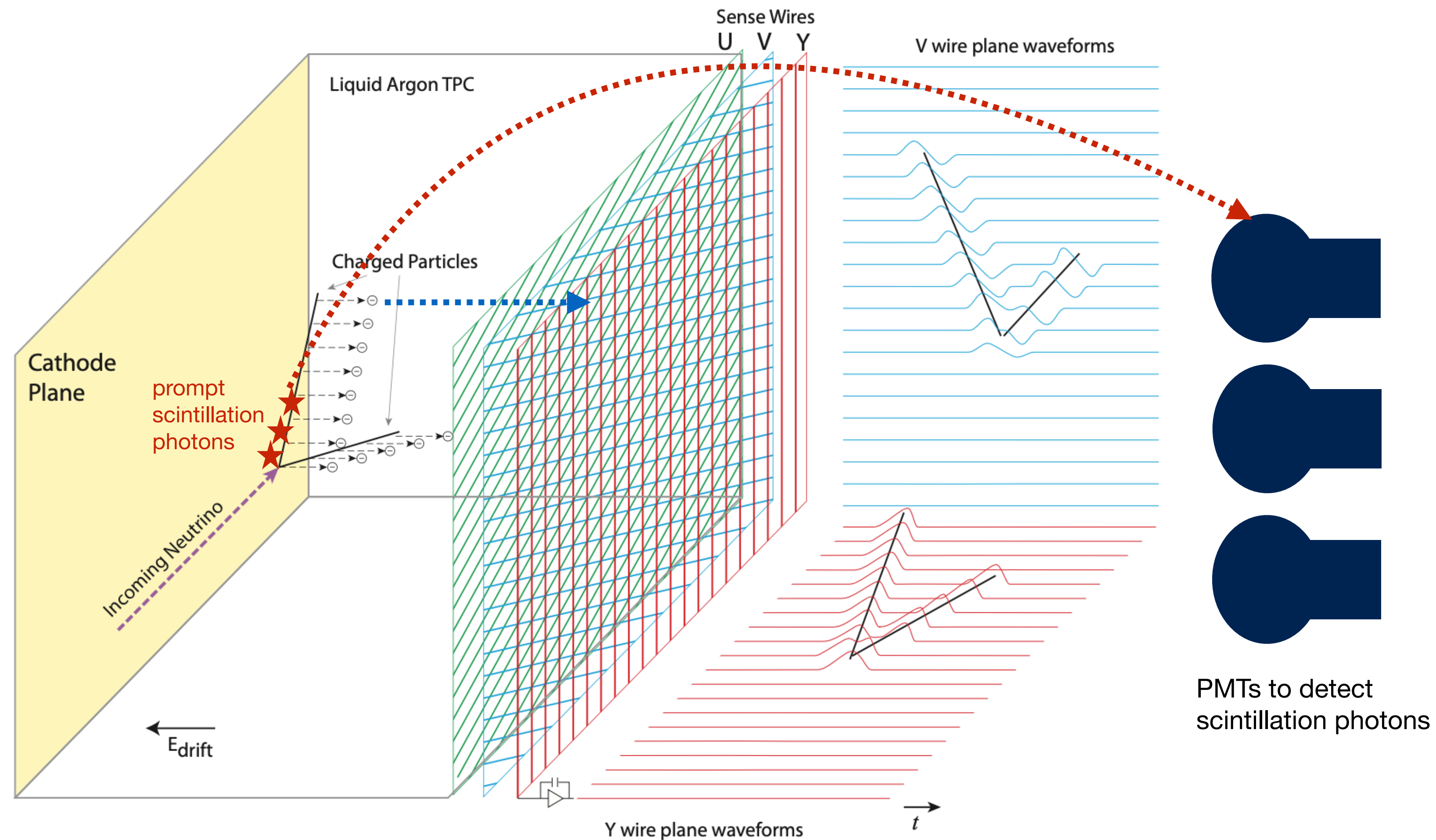
charged particle enters detector



scintillation light emitted by excited Ar, detected by PMTs

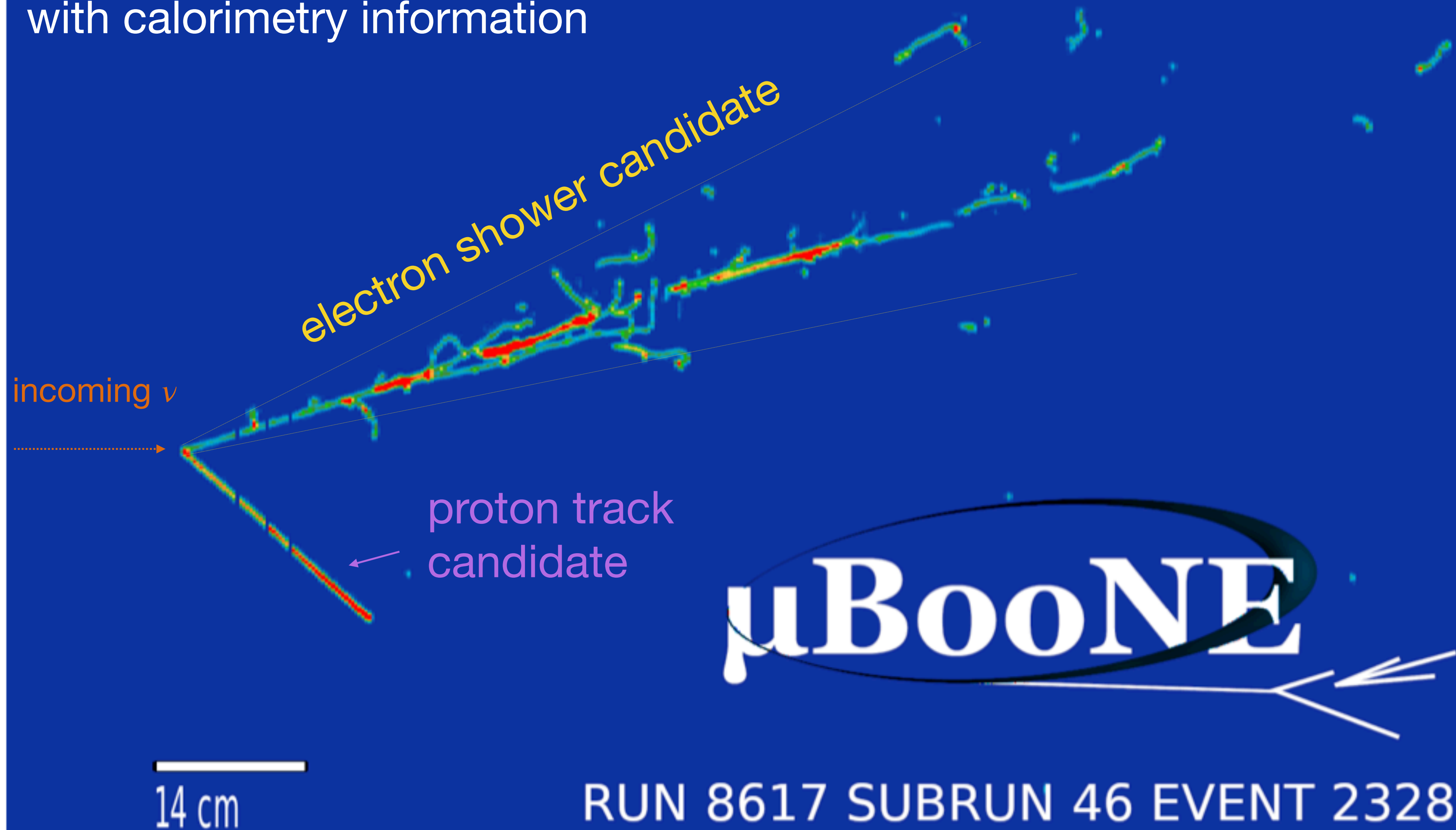


ionization electrons drift to anode plane, detected by sense wires





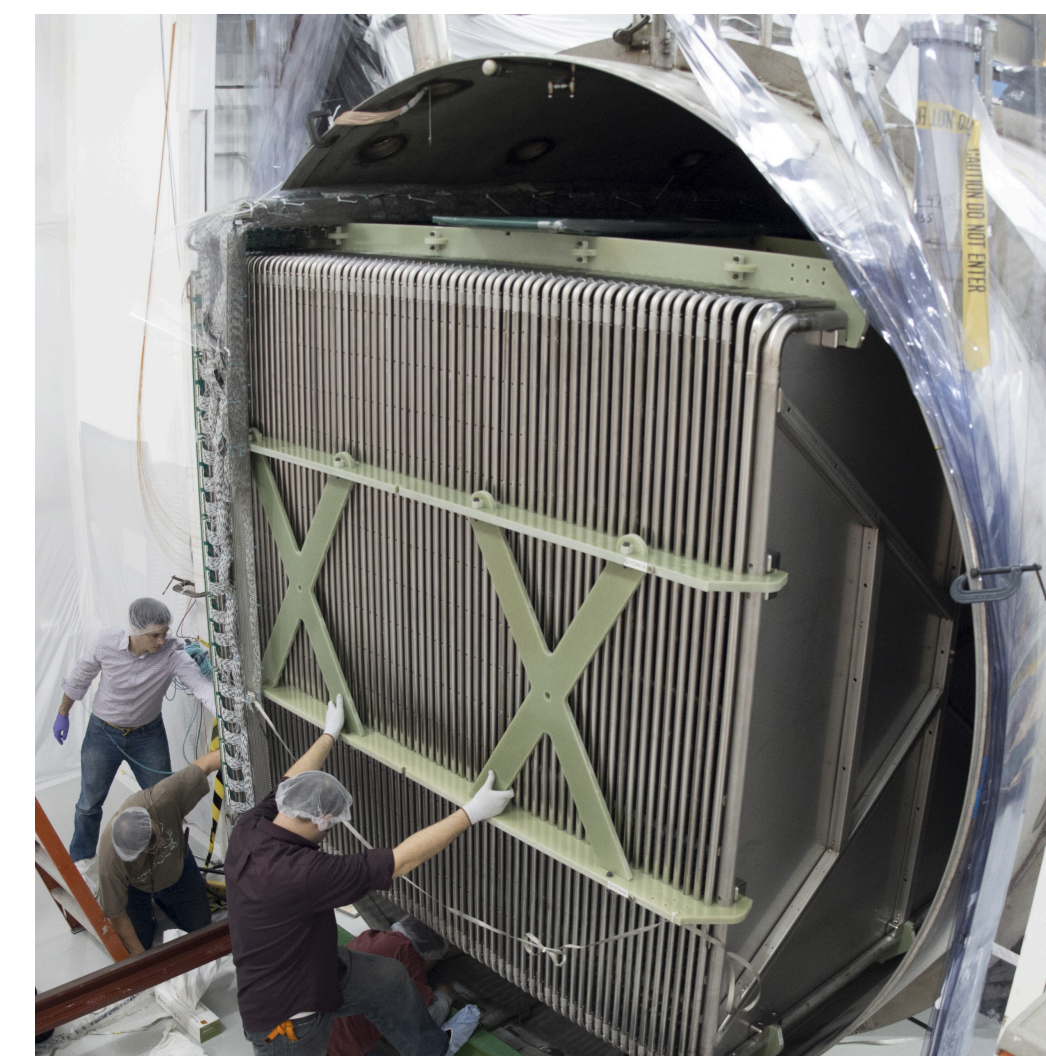
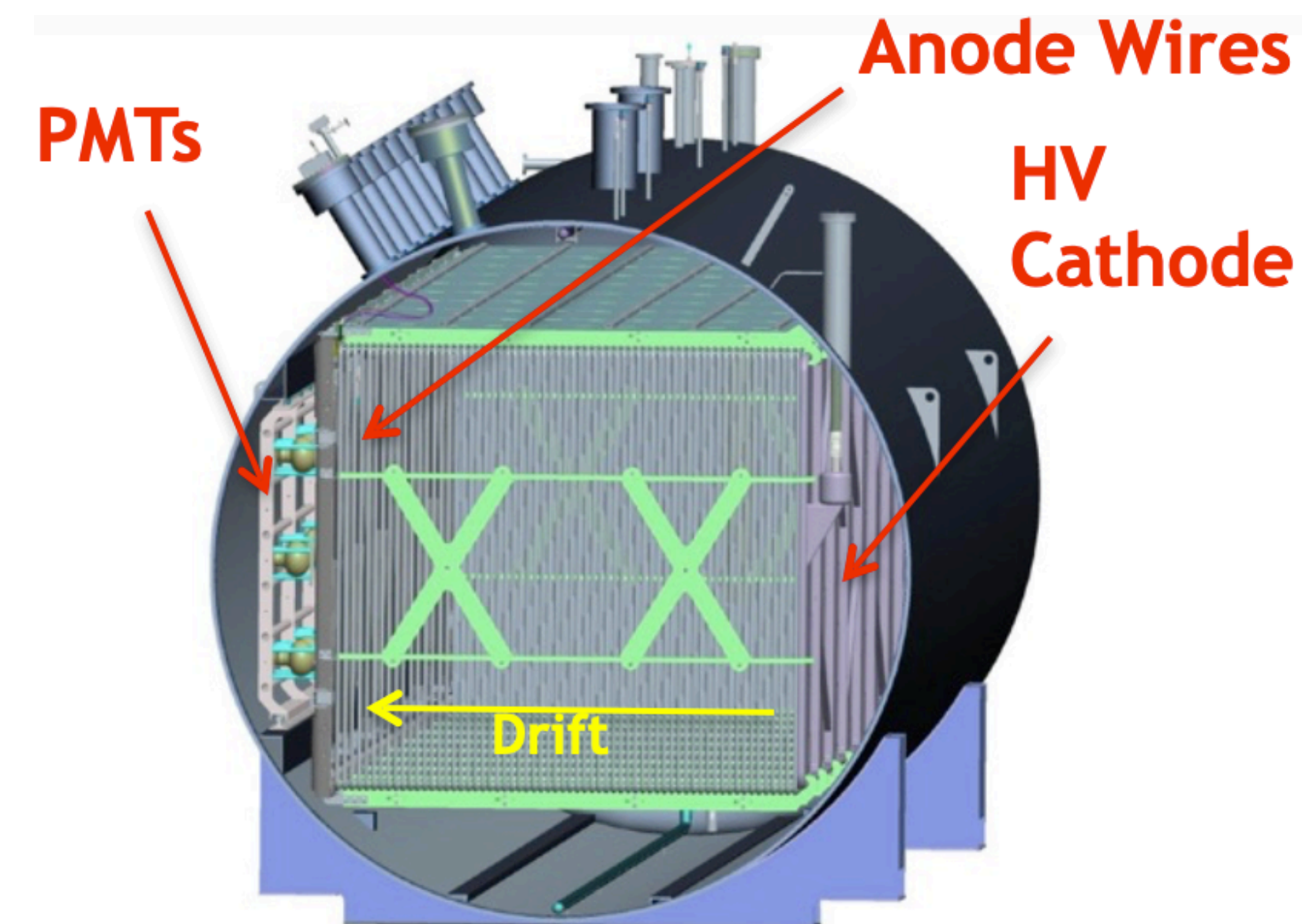
result in fine-grained 3D images,  
with calorimetry information





# MicroBooNE experiment

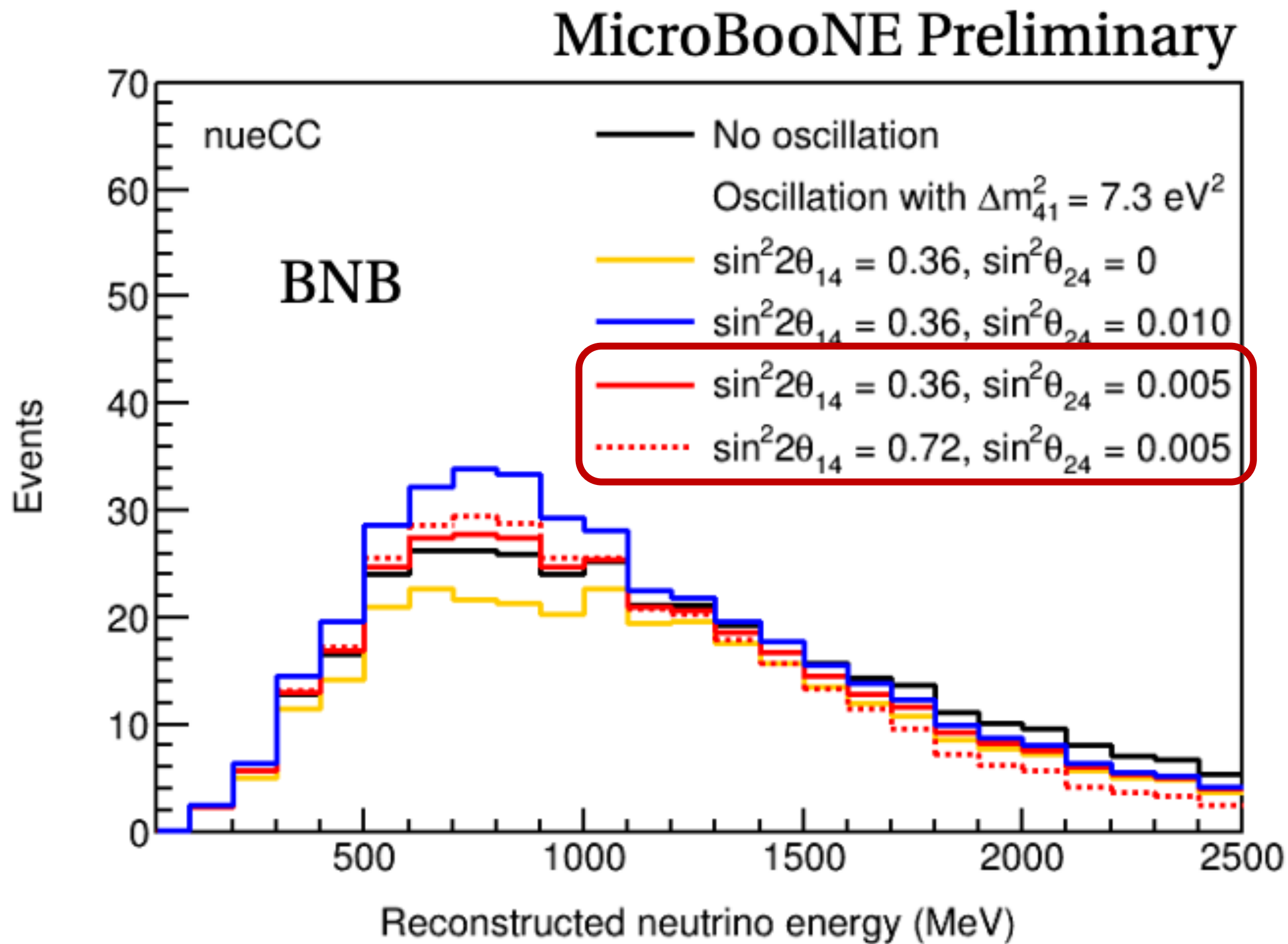
- LArTPC Detector
  - 85 tons of LAr active volume
  - TPC: 8192 anode sense wires in 3 planes  
PMT: 32 8-inch PMTs
  - CRT (cosmic ray tagger) is installed around TPC
  - located at BNB beamline in Fermilab, started taking data since Oct. 2015
- physics goal
  - strong understanding of the detector and highly developed event reconstruction, paving the way to future LAr detectors (SBN & DUNE)
  - neutrino interaction measurements
  - towards low-energy excess: definitively address the MiniBooNE anomaly





# Cancellation of $\nu_e$ appearance and $\nu_e$ disappearance

## -- degeneracy of oscillation parameters



Different degeneracy points:  
degeneracy mitigation utilizing both

$\nu_e$  disappearance     $\nu_e$  appearance

$$N_{\nu_e} = N_{\text{intrinsic } \nu_e} \cdot P_{\nu_e \rightarrow \nu_e} + N_{\text{intrinsic } \nu_\mu} \cdot P_{\nu_\mu \rightarrow \nu_e}$$
$$= N_{\text{intrinsic } \nu_e} \cdot \left[ 1 + \left( R_{\nu_\mu/\nu_e} \cdot \sin^2 \theta_{24} - 1 \right) \cdot \sin^2 2\theta_{14} \cdot \sin^2 \Delta_{41} \right]$$

- **Degeneracy** when  $\sin^2 \theta_{24}$  approaches  $R_{\nu_e/\nu_\mu}$  which is the ratio of intrinsic  $\nu_e$  and  $\nu_\mu$  in the neutrino flux
- **Sensitivity/exclusion limits gets much worse around the degeneracy point**

|                    | $R_{\nu_e/\nu_\mu}$ (degeneracy $\sin^2 \theta_{24}$ value) |
|--------------------|---|
| MicroBooNE w. BNB  | ~0.005 (average)  |
| MicroBooNE w. NuMI | ~0.04 (average)   |



This LEE search proceeds with a simultaneous side-by-side fit of four topologically distinct samples

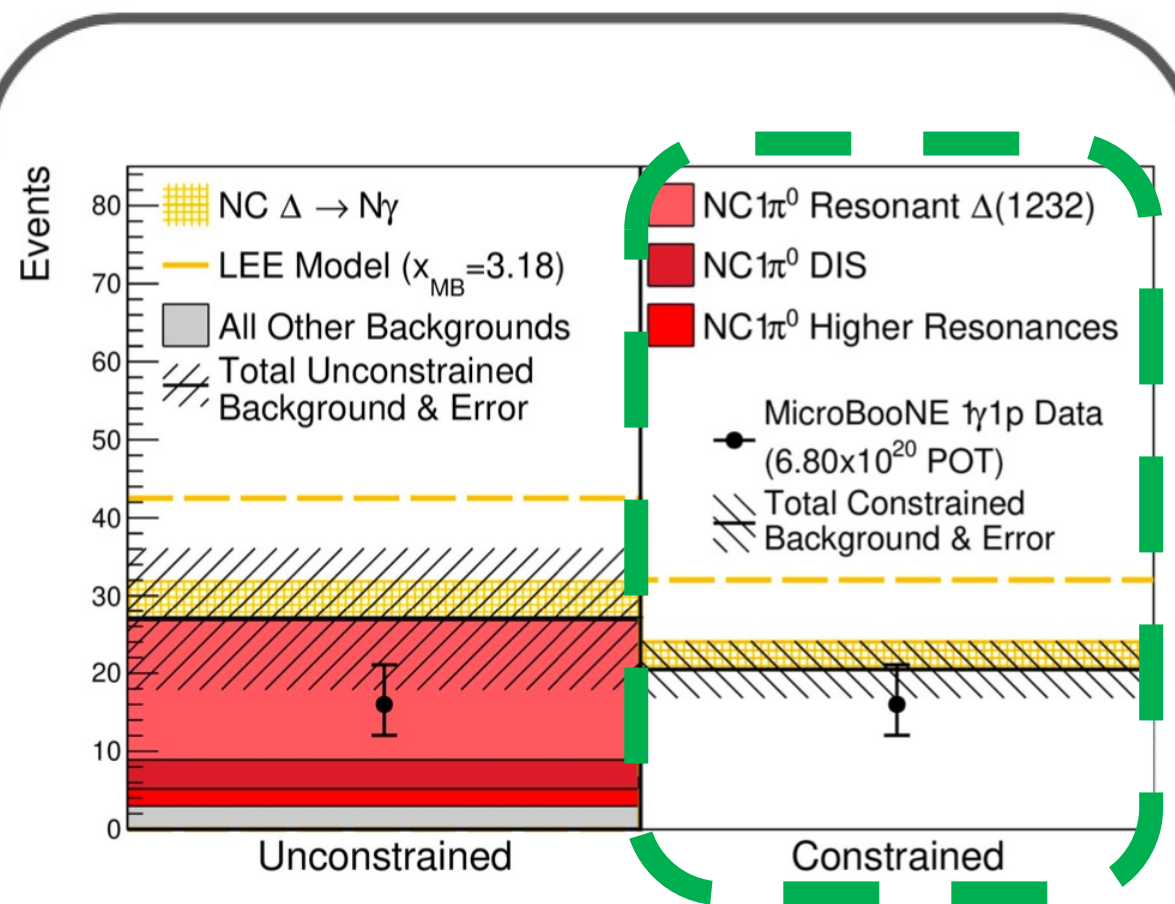
Two **NC  $\Delta \rightarrow N\gamma$**  rich  
**single-photon** selections



Two high-statistics **NC  $\pi^0$**  rich  
**two-photon** selections

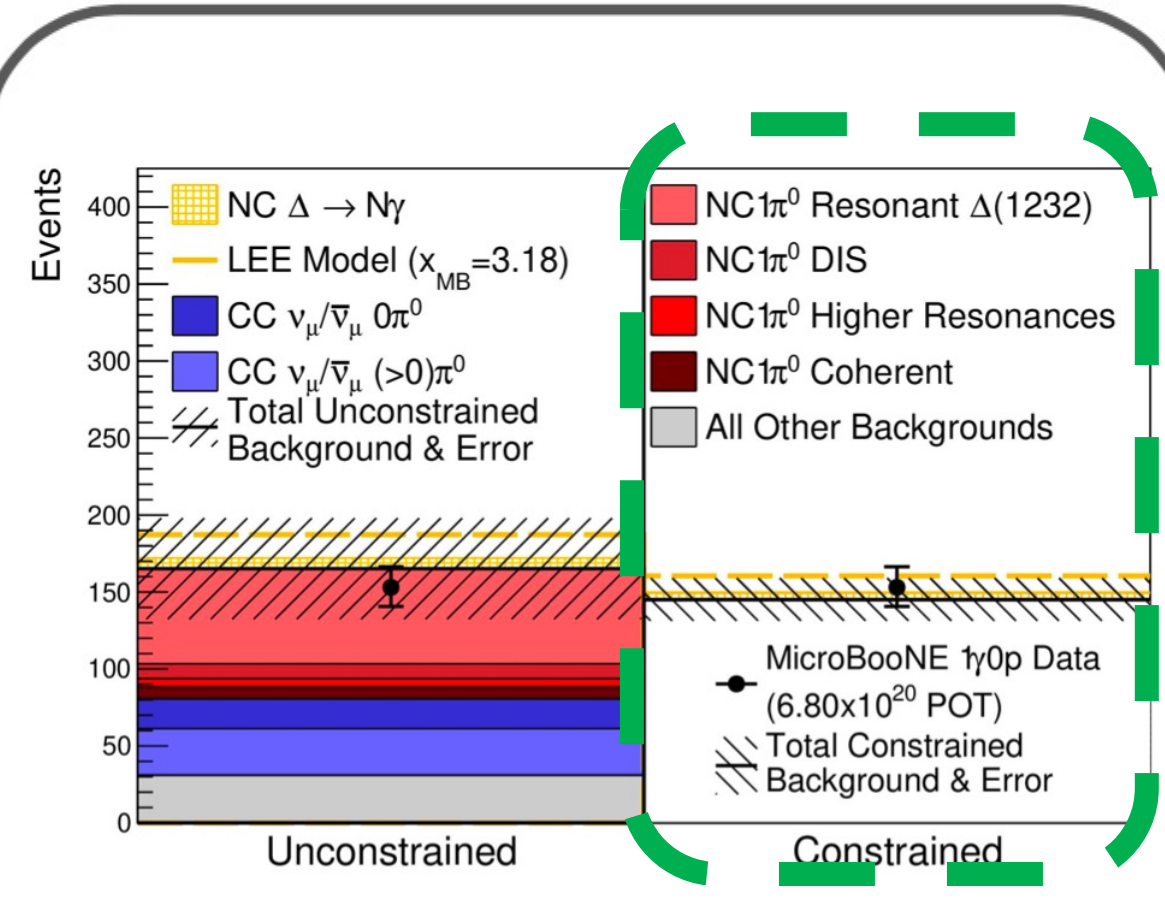
[Phys. Rev. Lett. 128, 111801](#)

**1 $\gamma$ 1p**



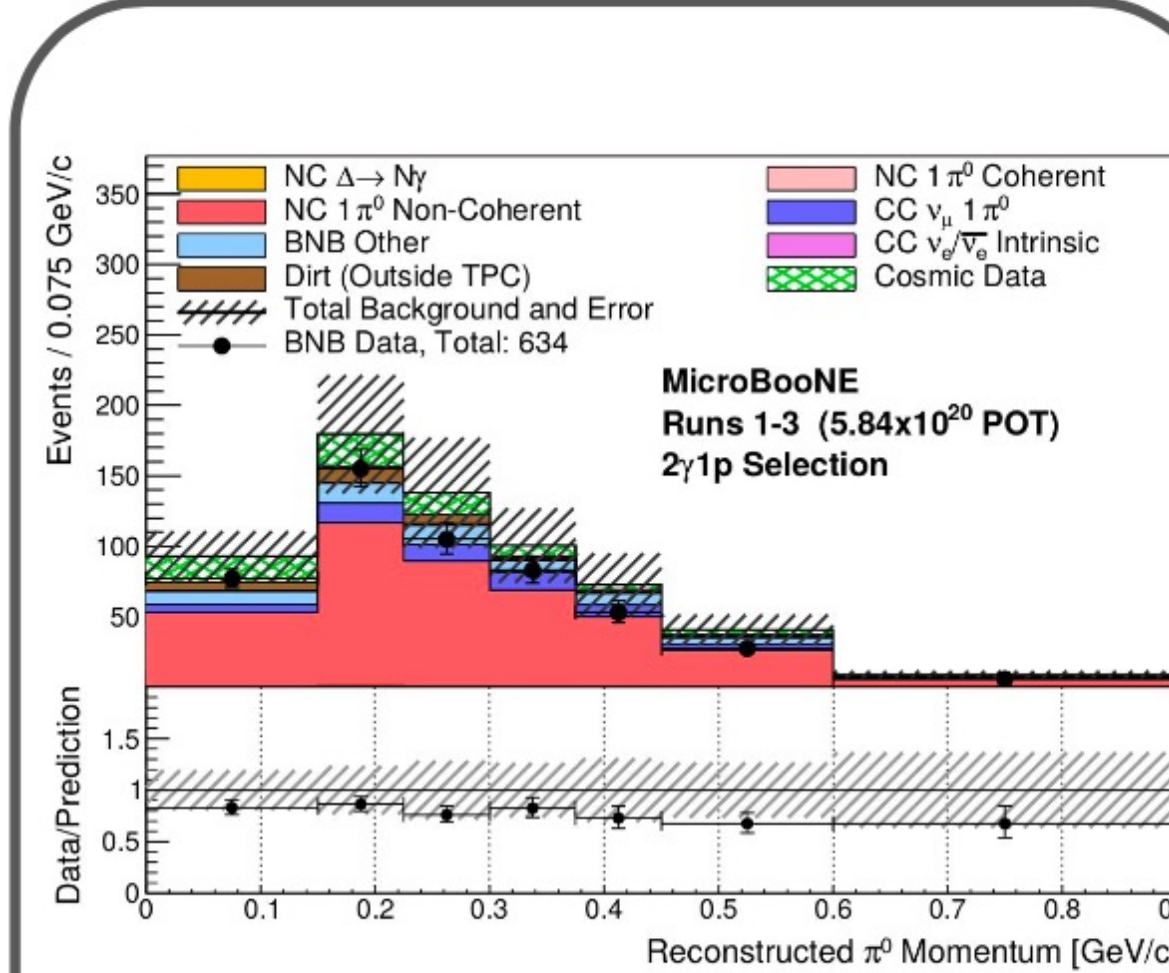
One-bin, Shower Energy  
from 0-0.6 GeV

**1 $\gamma$ 0p**



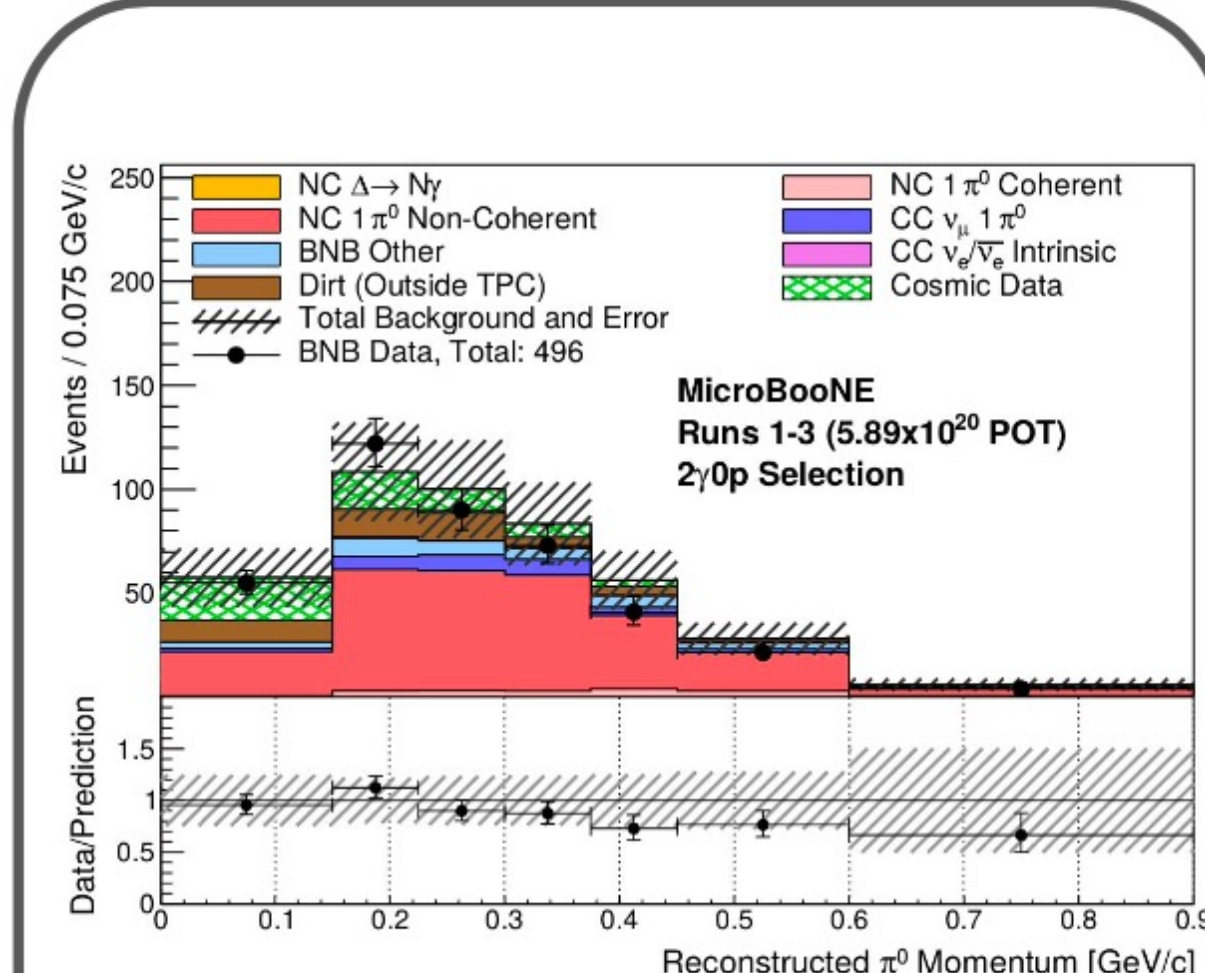
One-bin, Shower Energy  
from 0.1-0.7 GeV

**2 $\gamma$ 1p**



7-bins,  $\pi^0$  Momentum  
from 0-0.9 GeV/c

**2 $\gamma$ 0p**

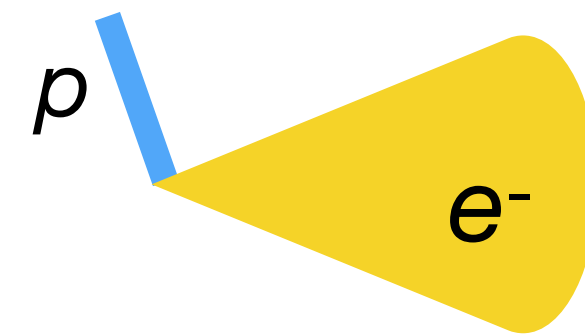


7-bins,  $\pi^0$  Momentum  
from 0-0.9 GeV/c



three independent searches across multiple single electron final states

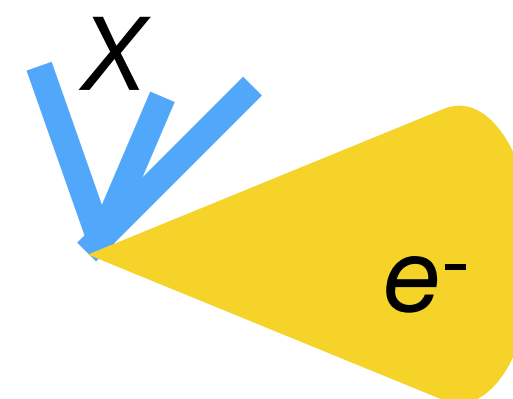
- exclusive two-body charged-current quasi-elastic (CCQE)  $\nu_e$  scattering [1e1p]



- semi-inclusive  $\nu_e$  scattering without final state pions [1eNp0 $\pi$  ( $N \geq 1$ ) + 1e0p0 $\pi$ ]



- inclusive  $\nu_e$  scattering [1eX]





- the MicroBooNE eLEE result disfavors the MB excess originating from a pure  $\nu_e$  excess
- the existence of sterile neutrinos cannot be ruled out by the MicroBooNE eLEE result, which is a generic low-energy  $\nu_e$  excess search
- the MicroBooNE eLEE results can be re-interpreted under a sterile neutrino oscillation hypothesis: a combination of short-baseline  $\nu_e$  appearance and  $\nu_e$  disappearance
- 3+1 oscillation searches using the selections in the MicroBooNE eLEE searches are performed