

# On a common origin of gauge interactions and flavour structure of the Standard Model

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The SM is a tremendously successful theory that explains  
“boringly” well all its predictions!

However, it fails to...

- Explain neutrino masses
- Explain dark matter
- Explain CP violation and matter/anti-matter assymetry
- Explain the observed flavour structure

What Grand Unification can teach us about these problems?

# Top-down approach: the story of Trinification

- The trinification gauge group (Glashow, '84)

$$[\mathrm{SU}(3)_L \times \mathrm{SU}(3)_R \times \mathrm{SU}(3)_C] \rtimes \mathbb{Z}_3^{(\mathrm{LRC})}$$

↓

$$\mathrm{SU}(3)_C \times \mathrm{SU}(2)_L \times \mathrm{SU}(2)_R \times \mathrm{U}(1)_{L+R}$$

↓

$$\mathrm{SU}(3)_C \times \mathrm{SU}(2)_L \times \mathrm{U}(1)_Y$$

- Subgroup of  $E_6 \supset [\mathrm{SU}(3)]^3$
- SM fields sit in chiral superfields that are bi-fundamental representations of the gauge group:  $\mathbf{L} \sim (\mathbf{3}, \bar{\mathbf{3}}, \mathbf{1})$ ,  $\mathbf{Q}_L \sim (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3})$ , and  $\mathbf{Q}_R \sim (\mathbf{1}, \mathbf{3}, \bar{\mathbf{3}})$ :

$$(\mathbf{L}^i)^I{}_r = \begin{pmatrix} \mathbf{H}_{11} & \mathbf{H}_{12} & \nu_L \\ \mathbf{H}_{21} & \mathbf{H}_{22} & \mathbf{e}_L \\ \nu_R^c & \mathbf{e}_R^c & \phi \end{pmatrix}^i, \quad (\mathbf{Q}_L^i)^x{}_I = (\mathbf{u}_L^x \quad \mathbf{d}_L^x \quad \mathbf{D}_L^x)^i, \\ (\mathbf{Q}_R^i)^r{}_x = (\mathbf{u}_{Rx}^c \quad \mathbf{d}_{Rx}^c \quad \mathbf{D}_{Rx}^c)^T{}^i,$$

- Each family can be arranged into an  $E_6$  27-plet:

$$\mathbf{27}^i = (\mathbf{3}, \bar{\mathbf{3}}, \mathbf{1})^i \otimes (\mathbf{1}, \mathbf{3}, \bar{\mathbf{3}})^i \otimes (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3})^i$$

# Why Trinification

## Positives:

- The model accommodates any quark and lepton masses and mixings (Sayre et al 2016)
- Naturally light neutrinos via radiative see-saw with split-SUSY (Cauet et al 2011)
- Gauge symmetry preserve baryon number, i.e. no gauge-mediated proton decay (Achiman&Stech'78; Glashow&Kang'84)
- Motivated as a low-energy version of E8xE8 heterotic string theory (Gross et al 1985)
  - GUT scale fermion masses through  $L \cdot L' \cdot L''$  type operators
    - Higher dimensional operators needed (**Cauet et al. 2011**)

## Negatives:

- Considerable amount of particles and many couplings involved
  - Realistic calculations cumbersome
- Unmotivated Hierarchy between the trinification and the EW breaking scales (common to most GUTs)

Trinification-based models were left as the least developed GUT scenarios

# “Flavoured” T-GUT approach

Build a SUSY GUT-scale framework in the top-down approach that:

- > Features all **the basic advantages of the trinification GUTs** and resolves their major issues;
- > Addresses **the  $\mu$ -problem** of conventional MSSM-based approaches;
- > Generates **larger masses** and **Cabibbo mixing** at tree-level;
- > **Full CKM and light fermion masses** to be **radiatively generated**;
- > **Adopts a seesaw mechanism** for **light active neutrinos, with no strong PMNS hierarchies**;
- > **Unifies gauge interactions** and reduces parametric freedom in the Yukawa sector (**Yukawa unification**).

## References:

- [2004.114550](#),  
[2001.06383](#), [2001.04804](#),  
[1711.05199](#), [1610.03642](#),  
[1606.03492](#)

# “Flavoured” T-GUT with gauged family symmetry

Consider embedding Trinification into E6:

$$\begin{aligned} \mathcal{G} &\xrightarrow{M_{\text{GUT}}} E_6 \times \text{SU}(2)_F \times \text{U}(1)_F & \xrightarrow{M_6} & [\text{SU}(3)]^3 \times \text{SU}(2)_F \times \text{U}(1)_F \\ &\xrightarrow{M_3} & \text{SU}(3)_C \times [\text{SU}(2) \times \text{U}(1)]^2 \\ && \times \text{SU}(2)_F \times \text{U}(1)_F & \xrightarrow{M_S} \dots \end{aligned}$$

Scale hierarchy:

$$M_{\text{GUT}} \gtrsim M_6 \gtrsim M_3 \quad M_S \ll M_3$$

Anomaly-free content:

$\mathbb{Z}_2$ -even	$\mathbb{Z}_2$ -odd	<u>possible source for Dark Matter</u>
$\psi^{\mu i} = (27, 2)_{(1)}, \quad \psi^{\mu 3} = (27, 1)_{(-2)}$ $\mathcal{H}_{\mathcal{U}} = (1, 2)_{(-1)}, \quad \mathcal{H}_{\mathcal{D}} = (1, 2)_{(+1)}$ $\mathcal{A} = (78, 1)_{(0)}$ $\Sigma, \Sigma' = (650, 1)_{(0)}$ $\Psi = (2430, 1)_{(0)}$	$\mathcal{L}_k = (1, 2)_{(-1)}$ $\mathcal{E}_k = (1, 1)_{(+2)}$ $\mathcal{N}_k = (1, 1)_{(0)}$	

Massless sector dim-3 superpotential with universal Yukawa coupling:

$$W_{27} = \frac{1}{2} \lambda_{27} \textcolor{green}{d}_{\mu\nu\lambda} \textcolor{red}{\varepsilon_{ij}} \psi^{\mu i} \psi^{\nu j} \psi^{\lambda 3} = 0$$

$d_{\mu\nu\lambda}$  – completely symmetric       $\varepsilon_{ij}$  – totally anti-symmetric

$$(27, 2)_{(1)} \equiv \psi^{\mu i}, \quad (27, 1)_{(-2)} \equiv \psi^{\mu 3} \quad \mu = 1, \dots, 27 \quad i = 1, 2$$

Effects from higher dimensional operators become dominant!

$$W_{\psi} = \frac{\varepsilon_{ij} \psi^{\mu i} \psi^{\nu j} \psi^{\lambda 3}}{2M_{\text{GUT}}} \left[ \tilde{\lambda}_1 \Sigma_{\mu}^{\alpha} d_{\alpha\nu\lambda} + \tilde{\lambda}_2 \Sigma_{\nu}^{\alpha} d_{\alpha\mu\lambda} + \tilde{\lambda}_4 \Sigma'_{\mu}^{\alpha} d_{\alpha\nu\lambda} + \tilde{\lambda}_5 \Sigma'_{\nu}^{\alpha} d_{\alpha\mu\lambda} \right]$$

# E6 and Trinification breaking

$$\mathcal{L}_{5D} = -\frac{\xi}{M_{GUT}} \left[ \frac{1}{4C} \text{Tr}(F_{\mu\nu} \cdot \tilde{\Phi}_{E_6} \cdot F^{\mu\nu}) \right] \quad \tilde{\Phi}_{E_6} \in (78 \otimes 78)_{\text{sym}} = \mathbf{1} \oplus \mathbf{650} \oplus \mathbf{2430}$$

$\Sigma, \Sigma'$  and  $\Psi$  allow quadratic and cubic superpotential interactions

$$W_{E_6} \supset M_\Sigma \text{Tr}\Sigma^2 + M_{\Sigma'} \text{Tr}\Sigma'^2 + M_\Psi \text{Tr}\Psi^2 + \lambda_\Sigma \text{Tr}\Sigma^3 + \lambda_{\Sigma'} \text{Tr}\Sigma'^3 + \lambda_\Psi \text{Tr}\Psi^3 \\ + \text{crossed terms}$$

and can develop VEVs obeying the relation

$$v_{E_6}^2 = v_\Sigma^2 + v_{\Sigma'}^2 + v_\Psi^2 \equiv (k_\Sigma^2 + k_{\Sigma'}^2 + k_\Psi^2) v_{E_6}^2, \quad k_\Sigma^2 + k_{\Sigma'}^2 + k_\Psi^2 = 1$$

$$k_\Psi \propto \frac{\langle \mathbf{2430} \rangle}{M_6}, \quad k_\Sigma \propto \frac{\langle \mathbf{650} \rangle}{M_6}, \quad k_{\Sigma'} \propto \frac{\langle \mathbf{650}' \rangle}{M_6}$$

$$\alpha_{3C}^{-1}(1 + \zeta\delta_C)^{-1} = \alpha_{3L}^{-1}(1 + \zeta\delta_L)^{-1} = \alpha_{3R}^{-1}(1 + \zeta\delta_R)^{-1}, \quad \zeta \sim 1$$

$$\alpha_{3A}^{-1} = \frac{4\pi}{g_A^2}, \quad \delta_C = -\frac{1}{\sqrt{2}}k_\Sigma - \frac{1}{\sqrt{26}}k_\Psi, \quad \delta_{L,R} = \frac{1}{2\sqrt{2}}k_\Sigma \pm \frac{3}{2\sqrt{2}}k_{\Sigma'} - \frac{1}{\sqrt{26}}k_\Psi$$

**Chakrabortty, Raychaudhuri Phys.Lett. B673 (2009) 57-62**

**Below E6 breaking scale:**

$$W_{78} = \sum_{A=L,R,C} \left[ \frac{1}{2} \mu_{78} \text{Tr}\Delta_A^2 + \frac{1}{3!} \mathcal{Y}_{78} \text{Tr}\Delta_A^3 \right] + \mu_{78} \text{Tr}(\Xi\Xi') + \sum_{A=L,R,C} \mathcal{Y}_{78} \text{Tr}(\Xi\Xi'\Delta_A)$$

	SU(3) <sub>L</sub>	SU(3) <sub>R</sub>	SU(3) <sub>C</sub>	SU(2) <sub>F</sub>	U(1) <sub>F</sub>
$\Delta_L$	8	1	1	1	0
$\Delta_R$	1	8	1	1	0
$\Delta_C$	1	1	8	1	0
$\Xi$	3	3	3	1	0
$\Xi'$	3	3	3	1	0

$$\text{SU}(3)_L \times \text{SU}(3)_R \xrightarrow{v_{L,R}} \text{SU}(2)_L \times \text{SU}(2)_R \times \text{U}(1)_L \times \text{U}(1)_R$$

$$v_L = v_R \equiv M_3$$

# Trinification EFT: Yukawa sector

$E_6$  27-plet contains three trinification  $SU(3)_L \times SU(3)_R \times SU(3)_C$  bi-triplets:

$$\mathbf{27} \supset (\mathbf{3}, \bar{\mathbf{3}}, \mathbf{1}) \oplus (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{3}) \oplus (\mathbf{1}, \mathbf{3}, \bar{\mathbf{3}}) \equiv \mathbf{L} \oplus \mathbf{Q}_L \oplus \mathbf{Q}_R$$

After  $\langle \Sigma \rangle$  and  $\langle \Sigma' \rangle$  VEVs the massless superpotential reduces to

<u>Accidental symmetries</u>		
	$U(1)_W$	$U(1)_B$
$L$	+1	0
$Q_L$	-1/2	+1/3
$Q_R$	-1/2	-1/3

$$W_{\text{eff}} = \varepsilon_{ij} (\mathcal{Y}_1 \mathbf{L}^i \cdot \mathbf{Q}_L^3 \cdot \mathbf{Q}_R^j - \mathcal{Y}_2 \mathbf{L}^i \cdot \mathbf{Q}_L^j \cdot \mathbf{Q}_R^3 + \mathcal{Y}_2 \mathbf{L}^3 \cdot \mathbf{Q}_L^i \cdot \mathbf{Q}_R^j)$$

$$\mathcal{Y}_1 = \zeta \frac{k_{\Sigma'}}{\sqrt{6}} \tilde{\lambda}_{45}, \quad \mathcal{Y}_2 = \zeta \frac{k_\Sigma}{2\sqrt{2}} (\tilde{\lambda}_{21} - \tilde{\lambda}_{45}) \quad \tilde{\lambda}_{ij} \equiv \tilde{\lambda}_i - \tilde{\lambda}_j \quad \zeta \simeq M_6/M_{3F}$$

$$\zeta \sim 1 \quad k_\Sigma \simeq -k_{\Sigma'} \quad \tilde{\lambda}_{21} \simeq \tilde{\lambda}_{45}$$

**Compressed hierarchy + steep E6 RG evolution suggest:**

$$\mathcal{Y}_2 \ll \mathcal{Y}_1 \sim 1$$

**tree-level quark hierarchies are secured!**

$$\frac{\mathcal{Y}_1}{\mathcal{Y}_2} = \frac{m_t}{m_c} \approx \frac{m_b}{m_s} \approx \frac{m_B}{m_{D,S}} \sim \mathcal{O}(100)$$

- SUSY unifies Higgs and Leptons in  $\mathbf{L}$
- Only two universal Yukawa couplings at trinification scale
- Only two quark generations acquire tree-level masses

# Quark spectrum: more details

**The most generic VeV setting:**

$$\left\langle \tilde{L}^1 \right\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} u_1 & 0 & 0 \\ 0 & d_1 & e_1 \\ 0 & \omega & s_1 \end{pmatrix}, \quad \left\langle \tilde{L}^2 \right\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} u_2 & 0 & 0 \\ 0 & d_2 & e_2 \\ 0 & s_2 & f \end{pmatrix}, \quad \left\langle \tilde{L}^3 \right\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} u_3 & 0 & 0 \\ 0 & d_3 & e_3 \\ 0 & s_3 & p \end{pmatrix}$$

**Up-quark sector:**

$$M_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & u_3 \mathcal{Y}_2 & u_2 \mathcal{Y}_2 \\ -u_3 \mathcal{Y}_2 & 0 & -u_1 \mathcal{Y}_2 \\ -u_2 \mathcal{Y}_1 & u_1 \mathcal{Y}_1 & 0 \end{pmatrix} \quad m_u = 0$$

**Down-quark sector (before EWSB):**

$$M_d^{6 \times 6} \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & p \mathcal{Y}_2 & f \mathcal{Y}_2 \\ 0 & 0 & -\omega \mathcal{Y}_2 & -p \mathcal{Y}_2 & 0 & 0 \\ 0 & w \mathcal{Y}_1 & 0 & -f \mathcal{Y}_1 & 0 & 0 \end{pmatrix}$$

**Down-quark sector (after EWSB):**

$$M_d^{6 \times 6} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & d_3 \mathcal{Y}_2 & d_2 \mathcal{Y}_2 & 0 & 0 & 0 \\ -d_3 \mathcal{Y}_2 & 0 & -d_1 \mathcal{Y}_2 & 0 & 0 & 0 \\ -d_2 \mathcal{Y}_1 & d_1 \mathcal{Y}_2 & 0 & 0 & 0 & 0 \\ 0 & s_3 \mathcal{Y}_2 & s_2 \mathcal{Y}_2 & 0 & p \mathcal{Y}_2 & f \mathcal{Y}_2 \\ -s_3 \mathcal{Y}_2 & 0 & -\omega \mathcal{Y}_2 & -p \mathcal{Y}_2 & 0 & -s_1 \mathcal{Y}_2 \\ -s_2 \mathcal{Y}_1 & w \mathcal{Y}_1 & 0 & -f \mathcal{Y}_1 & s_1 \mathcal{Y}_1 & 0 \end{pmatrix}$$

**light up-type quarks!**

$$m_c^2 = \frac{1}{2} \mathcal{Y}_2^2 (u_1^2 + u_2^2 + u_3^2) \quad m_t^2 = \frac{1}{2} [\mathcal{Y}_1^2 (u_1^2 + u_2^2) + \mathcal{Y}_2^2 u_3^2]$$

**vector-like  
quarks!**

$$(d_L^i \ D_L^i)^\top M_d \ (d_R^i \ D_R^i)$$

$$m_{D/S}^2 \simeq \frac{1}{2} (f^2 + p^2) \mathcal{Y}_2^2, \quad m_{S/D}^2 \simeq \frac{\omega^2 (f^2 + p^2 + \omega^2)}{2(f^2 + \omega^2)} \mathcal{Y}_2^2,$$

$$m_B^2 \simeq \frac{1}{2} (f^2 + \omega^2) \mathcal{Y}_1^2 + \frac{f^2 p^2}{2(f^2 + \omega^2)} \mathcal{Y}_2^2.$$

Scenarios	$\omega$ [TeV]	$f$ [TeV]	$p$ [TeV]	$m_D$ [TeV]	$m_S$ [TeV]	$m_B$ [TeV]
$\omega \sim f \sim p$	100 – 1000	100 – 1000	100 – 1000	1 – 10	1 – 10	100 – 1000
$\omega \sim f \ll p$	10 – 100	10 – 100	100 – 1000	1 – 10	1 – 10	10 – 100
$\omega \ll f \sim p$	100	1000	1000	1	10	1000

$$M_d \approx \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & \mathcal{Y}_2 \frac{d_3 f - d_2 p}{\sqrt{f^2 + p^2 + \omega^2}} \\ -d_3 \mathcal{Y}_2 & 0 & d_1 \mathcal{Y}_2 \frac{p}{\sqrt{f^2 + p^2 + \omega^2}} \\ -d_2 \mathcal{Y}_1 & 0 & d_1 \mathcal{Y}_1 \frac{f}{\sqrt{f^2 + p^2 + \omega^2}} \end{pmatrix}$$

**light down-type  
quarks!**

$$m_d = 0, \quad m_s^2 = \frac{(d_3 f - d_2 p)^2}{2(f^2 + p^2 + \omega^2)} \mathcal{Y}_2^2, \quad m_b^2 = \frac{1}{2} (d_2^2 \mathcal{Y}_1^2 + d_3^2 \mathcal{Y}_2^2)$$

# Quark mixing

$$d_1 = 0$$

**CKM mixing:**

$$V_{\text{CKM}} \equiv L_u L_d^\dagger = \begin{pmatrix} \frac{d_2 u_2 \mathcal{Y}_1^2 + d_3 u_3 \mathcal{Y}_2^2}{\sqrt{\mathcal{A}\mathcal{B}}} & -\frac{u_1 \mathcal{Y}_1}{\sqrt{\mathcal{A}}} & \frac{(d_2 u_3 - d_3 u_2) \mathcal{Y}_1 \mathcal{Y}_2}{\sqrt{\mathcal{A}\mathcal{B}}} \\ -\frac{d_2 u_1 \mathcal{Y}_1}{\sqrt{\mathcal{B}\mathcal{C}}} & -\frac{u_2}{\sqrt{\mathcal{C}}} & \frac{d_3 u_1 \mathcal{Y}_2}{\sqrt{\mathcal{B}\mathcal{C}}} \\ \frac{(\mathcal{C}d_3 - d_2 u_2 u_3) \mathcal{Y}_1 \mathcal{Y}_2}{\sqrt{\mathcal{A}\mathcal{B}\mathcal{C}}} & \frac{u_1 u_3 \mathcal{Y}_2}{\sqrt{\mathcal{A}\mathcal{C}}} & \frac{\mathcal{C}d_2 \mathcal{Y}_1^2 + d_3 u_2 u_3 \mathcal{Y}_2^2}{\sqrt{\mathcal{A}\mathcal{B}\mathcal{C}}} \end{pmatrix}$$

$$\mathcal{A} = \mathcal{C} \mathcal{Y}_1^2 + u_3^2 \mathcal{Y}_2^2, \quad \mathcal{B} = d_2^2 \mathcal{Y}_1^2 + d_3^2 \mathcal{Y}_2^2, \quad \mathcal{C} = u_1^2 + u_2^2.$$

**For consistency with the up-quark spectrum, we require**  $\mathcal{Y}_2 \ll \mathcal{Y}_1$

$$V_{tb} \simeq 1 - \left( \frac{\mathcal{Y}_2}{\mathcal{Y}_1} \right)^2 \frac{d_3^2 \mathcal{C} + d_2 u_3 (d_2 u_3 - 2 d_3 u_2)}{2 d_2^2 \mathcal{C}}$$

**Minimal 3HDM limit:**

$$u_3 \rightarrow 0 \quad d_3 \rightarrow 0 \quad |V_{\text{CKM}}| = \begin{pmatrix} \cos \theta_C & \sin \theta_C & 0 \\ \sin \theta_C & \cos \theta_C & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \theta_C = \arctan \left( \frac{u_1}{u_2} \right)$$

Fully compressed  $\omega \sim f \sim p$  scenario

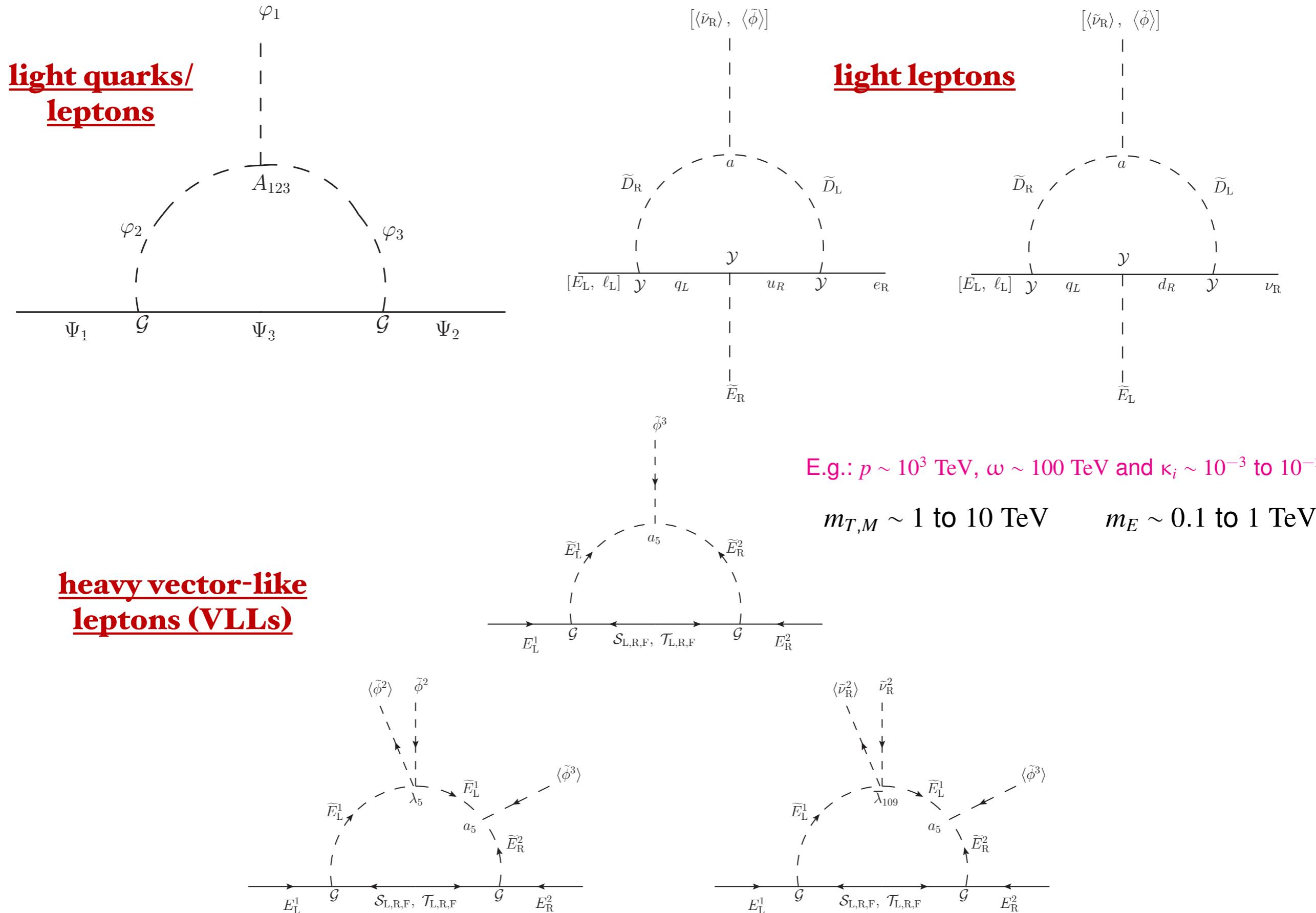
$$p = 220 \text{ TeV}, \quad f = 210 \text{ TeV}, \quad \omega = 200 \text{ TeV}$$

$$m_s = 0.017 \text{ GeV}, \quad m_b = 4.15 \text{ GeV}, \quad m_D = 1.3 \text{ TeV}, \quad m_S = 1.5 \text{ TeV}, \quad m_B = 211.0 \text{ TeV}$$

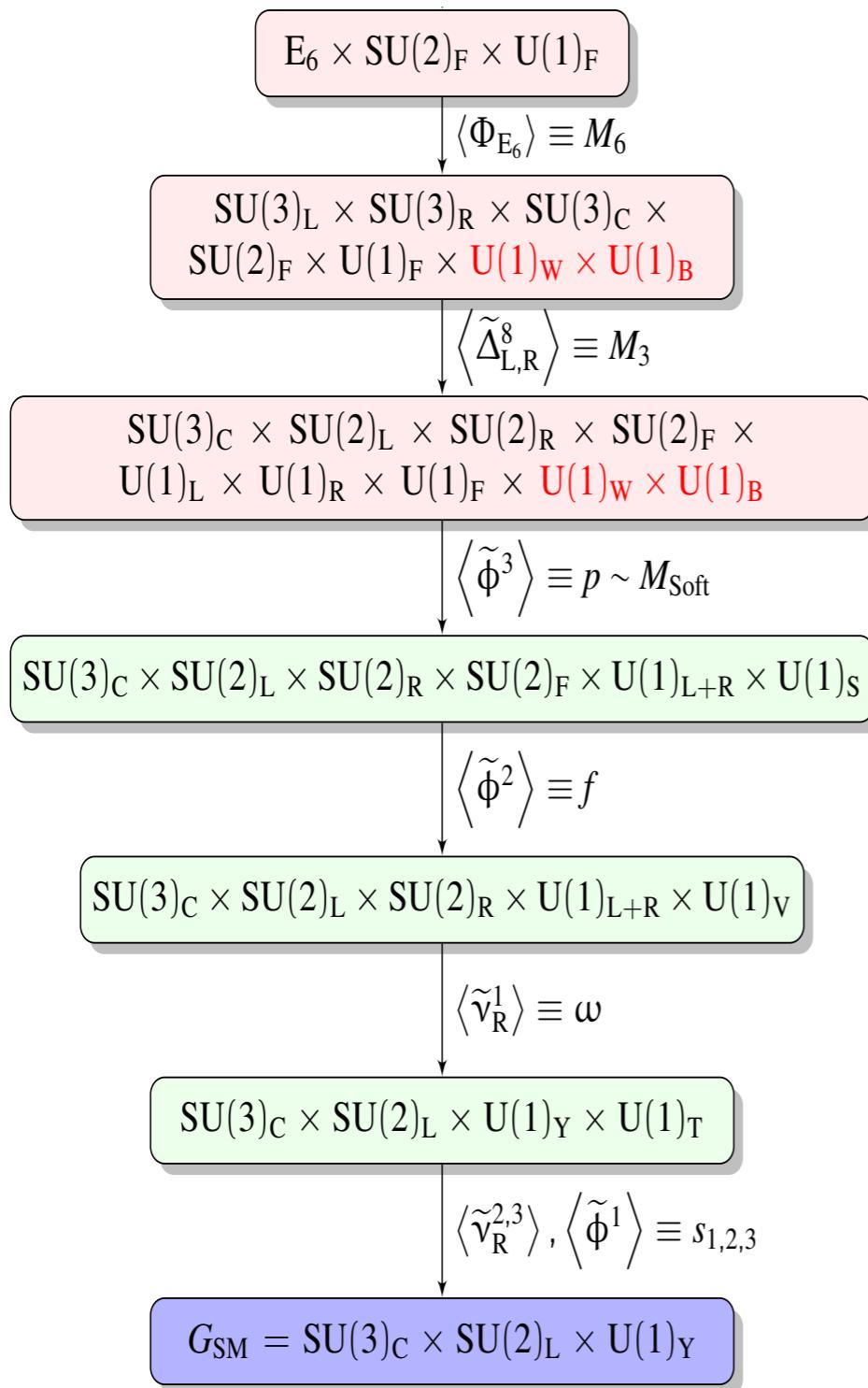
$$|V_{\text{CKM}}| \simeq \begin{pmatrix} 0.97 & 0.24 & 2.31 \times 10^{-5} & 4.36 \times 10^{-6} & 7.29 \times 10^{-7} & \sim 0 \\ 0.24 & 0.97 & 9.23 \times 10^{-5} & 1.74 \times 10^{-5} & 2.92 \times 10^{-6} & \sim 0 \\ 0 & 9.51 \times 10^{-5} & 1 & 5.55 \times 10^{-5} & 1.15 \times 10^{-5} & 6.47 \times 10^{-7} \end{pmatrix}$$

Good opportunity to probe the model at the LHC or future colliders

# Radiative generation of charge lepton spectra



# Emergence of SM-like EFT



**No proton decay below E6 scale!**

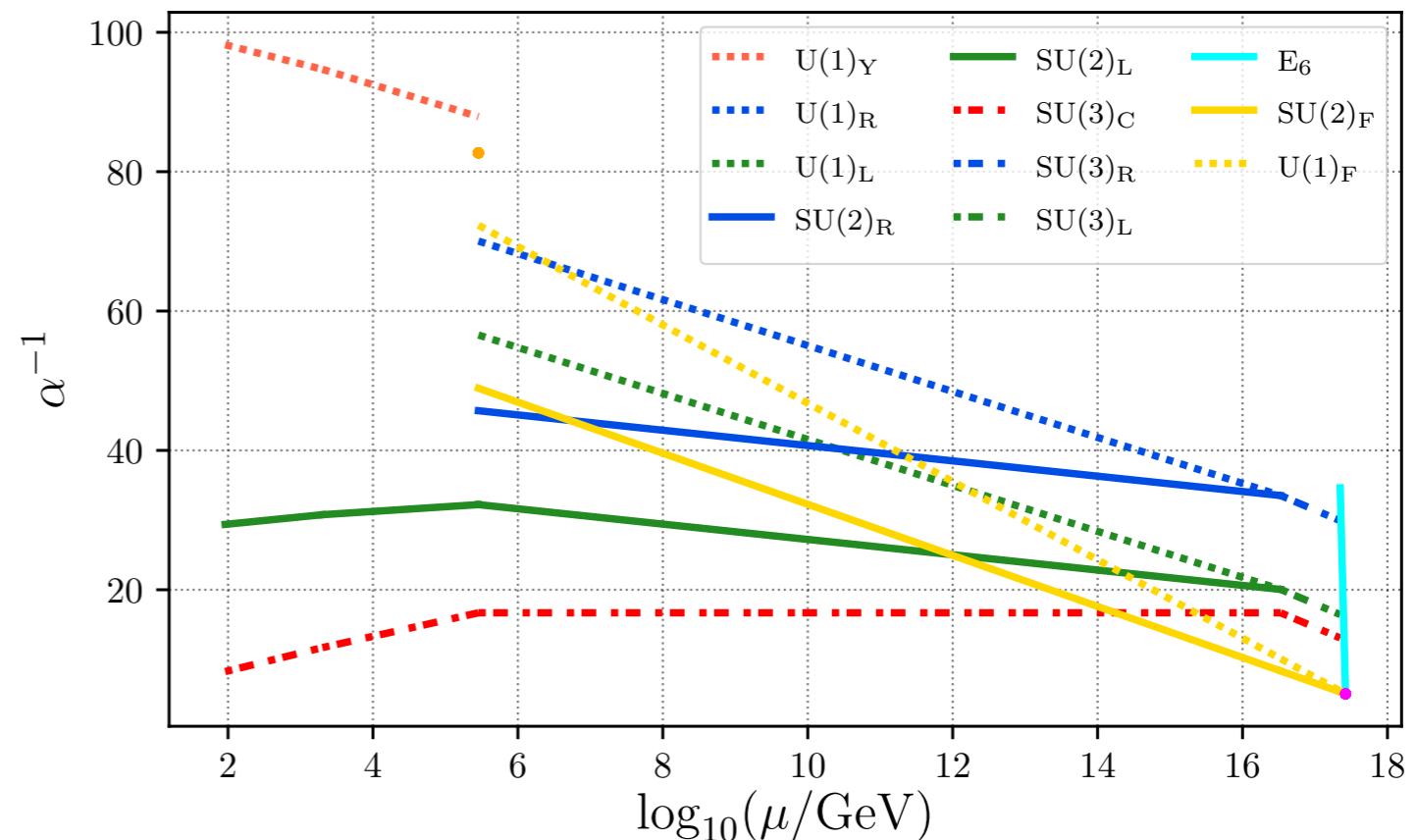
$$\mathbb{P}_B\text{-parity} \quad \mathbb{P}_B = (-1)^{2W+2S} = (-1)^{3B+2S}$$

- SUSY theory, broken SUSY, broken flavour
- Between  $M_6$  and  $M_3$ : Steep running due to  $\Psi, \Sigma, \Sigma'$
- Between  $M_3$  and  $M_{\text{Soft}}$ : Trinification running including  $L, Q_L, Q_R, \Delta_{L,R,C}$
- Soft scales compressed  $s = \omega = f = p$

## Low scale $G_{\text{SM}}$ theory

- 1 Three Higgs doublets - 3HDM
- 2 Two generations of VLQ below the soft scale
- 3 Three generations of VLL below the soft scale

$$M_S \lesssim 10^3 \text{ TeV}, \quad M_{\text{GUT}} = 10^{16} - 10^{18} \text{ GeV} \quad M_{\text{EW}} \ll M_S$$



## Concluding remarks

- We developed a novel Flavoured Trinification GUT framework giving rise to a SM-like EFT, with a realistic flavour structure in charged fermion and neutrino sectors
- The framework offers interesting implications for flavour and collider physics, primarily through vector-like fermions and scalar leptoquarks (LQs)