



University of Antwerp
Faculty of Science

A parton branching with transverse momentum dependent splitting functions

ICHEP 2022

L. Keersmaekers¹, F. Hautmann^{1,2,3}, M. Hentschinski⁴,
A. Kusina⁵, K. Kutak⁵, A. Lelek¹

¹University of Antwerp, ²CERN, ³University of Oxford,

⁴Universidad de las Americas Puebla, ⁵Institute of Nuclear Physics, Polish
Academy of Sciences

arXiv:2205.15873

Motivation

- Development of Monte Carlo (MC) generators is essential for
 - experimental analyses at current colliders
 - planning of future experimental programs such as HL-LHC, EIC, FCC
- Recently, several studies have started to investigate the impact of the physics of transverse momentum dependent (TMD) parton distributions on MC, e.g.
 - parton branching (PB) formulation of TMD evolution
[\[arXiv:1708.03279\]](#)
 - TMD perturbative resummation and NNLO matching
[\[arXiv:1805:05916\]](#)
 - multi-jet merging with TMD parton showers [\[arXiv:2107.01224\]](#)
 - ...
- We investigated transverse momentum dependence at level of partonic splitting functions - an aspect not explored so far in PB MC

Strategy

- We use TMD Splitting functions defined through high-energy factorization [[arXiv:hep-ph/9405388](#)]
- We extend the PB approach [[arXiv:1704.01757](#), [arXiv:1708.03279](#)], using "unitarity", to introduce TMD splitting kernels and new TMD Sudakov form factors
- First step toward a full generator that extends PB approach to the small- x phase space

TMD splitting functions

$$P_{qg}(\alpha_s, z, k'_\perp, \tilde{q}_\perp) = \frac{\alpha_s T_F}{2\pi} \frac{\tilde{q}_\perp^2 z(1-z)}{(\tilde{q}_\perp^2 + z(1-z)k'_\perp{}^2)^2} \times$$

$$\left[\frac{\tilde{q}_\perp^2}{z(1-z)} + 4(1-2z)\tilde{q}_\perp \cdot k'_\perp - 4 \frac{(\tilde{q}_\perp \cdot k'_\perp)^2}{k'_\perp{}^2} + 4z(1-z)k'_\perp{}^2 \right]$$

[arXiv:hep-ph/9405388]

$$\tilde{q}_\perp = k_\perp - zk'_\perp$$

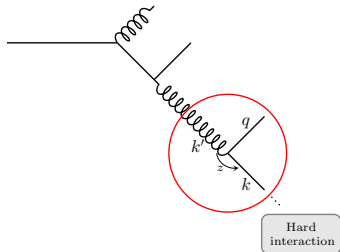
For $k'_\perp{}^2 \ll k_\perp{}^2$ after angular averaging:

DGLAP splitting function

For $k'_\perp{}^2 \sim \mathcal{O}(k_\perp{}^2)$:

Series expansion $(k'_\perp{}^2/\tilde{q}_\perp{}^2)^n$

Resummation $\ln(1/z)$



Other partonic channels studied in [1511.08439, 1607.01507, 1711.04587]

The splitting functions are positive definite and interpolate consistently between the collinear limit and the high-energy limit

Unitarity

TMD evolution equations:

$\tilde{\mathcal{A}}$: Momentum weighted TMD

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu^2) &= \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu_0^2) - \int \frac{d^2 \mu'_{\perp}}{\pi \mu'_{\perp}{}^2} F_a(\mu'_{\perp}, k_{\perp}^2) \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu'_{\perp}{}^2) \Theta(\mu'_{\perp}{}^2 - \mu_0^2) \Theta(\mu^2 - \mu'_{\perp}{}^2) + \\ &+ \sum_b \int \frac{d^2 \mu'_{\perp}}{\pi \mu'_{\perp}{}^2} \int_x^{z_M} dz \tilde{P}_{ab}^R(z, k_{\perp} + (1-z)\mu'_{\perp}, \mu'_{\perp}) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_{\perp} + (1-z)\mu'_{\perp})^2, \mu'_{\perp}{}^2\right) \Theta(\mu'_{\perp}{}^2 - \mu_0^2) \Theta(\mu^2 - \mu'_{\perp}{}^2) \end{aligned}$$

Unitarity

TMD evolution equations:

$\tilde{\mathcal{A}}$: Momentum weighted TMD

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu^2) &= \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu_0^2) - \int \frac{d^2 \mu'_{\perp}}{\pi \mu'_{\perp}{}^2} F_a(\mu'_{\perp}{}^2, k_{\perp}^2) \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu'_{\perp}{}^2) \Theta(\mu'_{\perp}{}^2 - \mu_0^2) \Theta(\mu^2 - \mu'_{\perp}{}^2) + \\ + \sum_b \int \frac{d^2 \mu'_{\perp}}{\pi \mu'_{\perp}{}^2} \int_x^{z_M} dz \tilde{\mathcal{P}}_{ab}^R(z, k_{\perp} + (1-z)\mu'_{\perp}, \mu'_{\perp}) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_{\perp} + (1-z)\mu'_{\perp})^2, \mu'_{\perp}{}^2\right) \Theta(\mu'_{\perp}{}^2 - \mu_0^2) \Theta(\mu^2 - \mu'_{\perp}{}^2) \end{aligned}$$

■ Real emissions

Unitarity

TMD evolution equations:

$\tilde{\mathcal{A}}$: Momentum weighted TMD

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu^2) &= \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu_0^2) - \int \frac{d^2 \mu'_{\perp}}{\pi \mu'^2_{\perp}} F_a(\mu'^2_{\perp}, k_{\perp}^2) \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu'^2_{\perp}) \Theta(\mu'^2_{\perp} - \mu_0^2) \Theta(\mu^2 - \mu'^2_{\perp}) + \\ + \sum_b \int \frac{d^2 \mu'_{\perp}}{\pi \mu'^2_{\perp}} \int_x^{z_M} dz \tilde{P}_{ab}^R(z, k_{\perp} + (1-z)\mu'_{\perp}, \mu'_{\perp}) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_{\perp} + (1-z)\mu'_{\perp})^2, \mu'^2_{\perp}\right) \Theta(\mu'^2_{\perp} - \mu_0^2) \Theta(\mu^2 - \mu'^2_{\perp}) \end{aligned}$$

- Real emissions
- Virtual/Non-resolvable emissions

Unitarity

TMD evolution equations:

$\tilde{\mathcal{A}}$: Momentum weighted TMD

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu^2) &= \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu_0^2) - \int \frac{d^2 \mu'_{\perp}}{\pi \mu'^2} F_a(\mu'^2, k_{\perp}^2) \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu'^2) \Theta(\mu'^2 - \mu_0^2) \Theta(\mu^2 - \mu'^2) + \\ + \sum_b \int \frac{d^2 \mu'_{\perp}}{\pi \mu'^2} \int_x^{z_M} dz \bar{P}_{ab}^R(z, k_{\perp} + (1-z)\mu'_{\perp}, \mu'_{\perp}) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_{\perp} + (1-z)\mu'_{\perp})^2, \mu'^2\right) \Theta(\mu'^2 - \mu_0^2) \Theta(\mu^2 - \mu'^2) \end{aligned}$$

- Real emissions
- Virtual/Non-resolvable emissions
 \Rightarrow Fix with momentum conservation:

$$0 = \sum_a \int_0^1 dx \int dk_{\perp}^2 \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu^2) - \sum_a \int_0^1 dx \int dk_{\perp}^2 \tilde{\mathcal{A}}_a(x, k_{\perp}^2, \mu_0^2).$$

$$\Rightarrow F_a(\mu'^2, k_{\perp}^2) = \sum_b \int_0^{z_M} dz z \bar{P}_{ba}^R(z, k_{\perp}^2, \mu'^2).$$

$\bar{P}_{ba}^R(z, k_{\perp}^2, \mu'^2)$: Angular averaged TMD splitting functions

Unitarity (2)

Introduce TMD Sudakov form factors:

$$\Delta_a(\mu^2, k_\perp^2) = \exp\left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_M} dz z \bar{P}_{ba}^R(z, k_\perp^2, \mu'^2)\right)$$

Rewrite the evolution equation:

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_\perp^2, \mu^2) &= \Delta_a(\mu^2, k_\perp^2) \tilde{\mathcal{A}}_a(x, k_\perp^2, \mu_0^2) + \sum_b \int \frac{d^2\mu'_\perp}{\pi\mu'^2_\perp} \Theta(\mu'^2_\perp - \mu_0^2) \Theta(\mu^2 - \mu'^2_\perp) \\ &\times \int_x^{z_M} dz \frac{\Delta_a(\mu^2, k_\perp^2)}{\Delta_a(\mu'^2_\perp, k_\perp^2)} \tilde{P}_{ab}^R(z, k_\perp + (1-z)\mu'_\perp, \mu'_\perp) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_\perp + (1-z)\mu'_\perp)^2, \mu'^2_\perp\right) \end{aligned}$$

Unitarity (2)

Introduce TMD Sudakov form factors:

$$\Delta_a(\mu^2, k_\perp^2) = \exp\left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_M} dz z \bar{P}_{ba}^R(z, k_\perp^2, \mu'^2)\right)$$

Rewrite the evolution equation:

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, k_\perp^2, \mu^2) &= \Delta_a(\mu^2, k_\perp^2) \tilde{\mathcal{A}}_a(x, k_\perp^2, \mu_0^2) + \sum_b \int \frac{d^2\mu'_\perp}{\pi\mu'^2_\perp} \Theta(\mu'^2_\perp - \mu_0^2) \Theta(\mu^2 - \mu'^2_\perp) \\ &\times \int_x^{z_M} dz \frac{\Delta_a(\mu^2, k_\perp^2)}{\Delta_a(\mu'^2_\perp, k_\perp^2)} \bar{P}_{ab}^R(z, k_\perp + (1-z)\mu'_\perp, \mu'_\perp) \tilde{\mathcal{A}}_b\left(\frac{x}{z}, (k_\perp + (1-z)\mu'_\perp)^2, \mu'^2_\perp\right) \end{aligned}$$

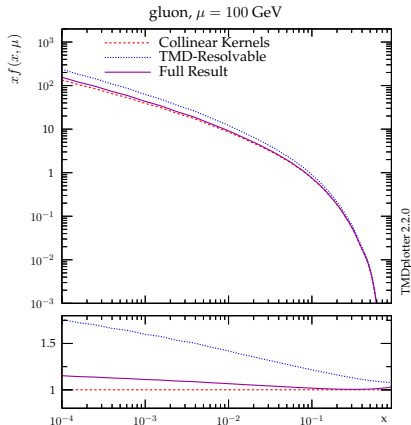
Equation has similar structure to other Parton Branching equations

[arXiv:1704.01757, arXiv:1708.03279] \rightarrow similar MC

Except for scale generation according to TMD Sudakov form factor:

VETO algorithm [arXiv:hep-ph/0603175]

Numerical results: integrated TMDs



Take fixed starting distribution at scale μ_0 .

Compare evolved integrated TMDs:

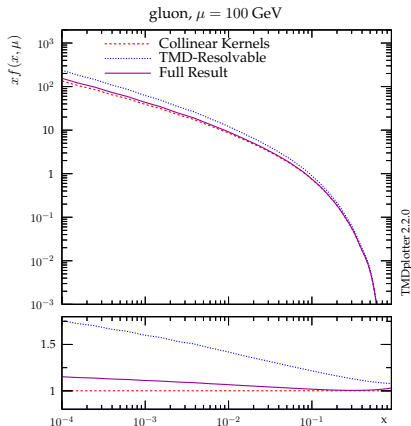
Purple curve: Full result

Red dashed curve: Evolution with collinear kernels

Significant differences especially for low x , not washed out after integration over k_{\perp}

- Differences between red and purple due to dynamical effects from TMD splitting functions

Numerical results: integrated TMDs



Take fixed starting distribution at scale μ_0 .

Compare evolved integrated TMDs:

Purple curve: Full result

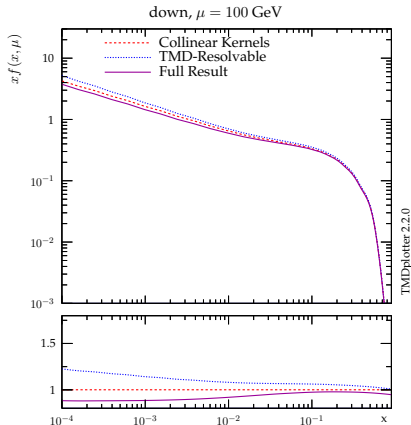
Red dashed curve: Evolution with collinear kernels

Blue dotted curve: Model with TMD splitting functions only in resolvable emissions

Significant differences especially for low x , not washed out after integration over k_{\perp}

- Differences between red and purple due to dynamical effects from TMD splitting functions
- Large differences between Full result and TMD-Resolvable due to violation of momentum conservation in TMD-Resolvable

Numerical results: integrated TMDs



Take fixed starting distribution at scale μ_0 .

Compare evolved integrated TMDs:

Purple curve: Full result

Red dashed curve: Evolution with collinear kernels

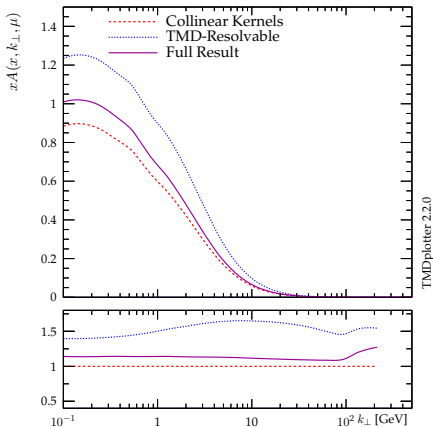
Blue dotted curve: Model with TMD splitting functions only in resolvable emissions

Significant differences especially for low x , not washed out after integration over k_{\perp}

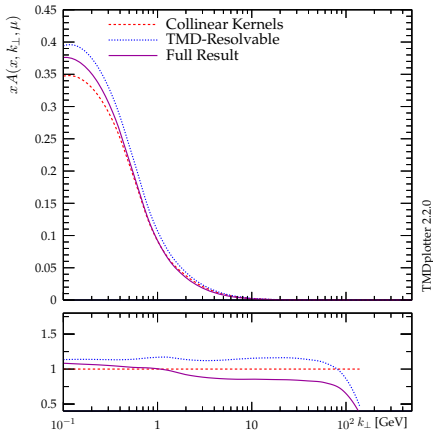
- Differences between red and purple due to dynamical effects from TMD splitting functions
- Large differences between Full result and TMD-Resolvable due to violation of momentum conservation in TMD-Resolvable

Numerical results: TMDs

gluon, $x = 0.001, \mu = 100 \text{ GeV}$



down, $x = 0.001, \mu = 100 \text{ GeV}$



Differences in whole k_{\perp} -region

Momentum conservation check

Full Result			
μ^2 (GeV ²)	$\alpha_s(\mu^2)$, fix. z_M	$\alpha_s(q_{\perp}^2)$, fix. z_M	$\alpha_s(q_{\perp}^2)$, dyn. z_M
3	1.000	1.000	1.000
10	0.999	0.999	0.999
10 ²	0.997	0.996	0.997
10 ³	0.994	0.992	0.994
10 ⁴	0.991	0.987	0.991
10 ⁵	0.984	0.978	0.983

TMD-Resolvable			
μ^2 (GeV ²)	$\alpha_s(\mu^2)$, fix. z_M	$\alpha_s(q_{\perp}^2)$, fix. z_M	$\alpha_s(q_{\perp}^2)$, dyn. z_M
3	1.029	1.038	1.000
10	1.087	1.139	1.007
10 ²	1.156	1.304	1.045
10 ³	1.195	1.413	1.091
10 ⁴	1.219	1.478	1.129
10 ⁵	1.229	1.507	1.148

Collinear Kernels			
μ^2 (GeV ²)	$\alpha_s(\mu^2)$ fix. z_M	$\alpha_s(q_{\perp}^2)$, fix. z_M	$\alpha_s(q_{\perp}^2)$, dyn. z_M
3	1.000	1.000	1.000
10	0.999	0.999	0.999
10 ²	0.997	0.997	0.997
10 ³	0.995	0.993	0.995
10 ⁴	0.992	0.989	0.992
10 ⁵	0.986	0.981	0.984

In table:

$$\sum_a \int_{x_0}^1 dx \int dk_{\perp}^2 \tilde{A}_a(x, k_{\perp}^2, \mu^2)$$

$x_0 = 10^{-5}$

Studied for different scales of α_s , soft gluon resolution scales z_M

As expected: Our full result and the result with collinear kernels conserve momentum. When we use TMD splitting function only in resolvable branchings, there is violation of momentum conservation.

Conclusions

- First parton branching approach that takes into account both z and k_{\perp} -dependence of splitting functions
- These TMD splitting functions have well-prescribed collinear and high-energy limits
- We introduced new TMD Sudakov form factors
- Our approach describes resolvable and non-resolvable branchings
- We presented its MC implementation
- Applied it to obtain numerical results on the evolution of TMDs and to verify numerical momentum conservation

Outlook:

- Fitted TMDs with our method
- A full MC generator that incorporates the method
- Including CCFM phase space

Back-up

Using TMD Sudakov in a MC

Scale of a branching is generated according to Sudakov:

$$R = 1 - \frac{\Delta_a(\mu_i^2)}{\Delta_a(\mu_{i-1}^2)} \Leftrightarrow \mu_i^2 = \Delta_a^{-1}((1 - R)\Delta_a(\mu_{i-1}^2))$$

VETO algorithm: [\[arXiv:hep-ph/0603175\]](https://arxiv.org/abs/hep-ph/0603175)

$$\Delta_a(\mu^2, k_\perp^2) = \exp\left(-\int_{\mu_0^2}^{\mu^2} (d\mu'^2/\mu'^2) F_a(\mu'^2, k_\perp^2)\right)^1$$

Select g'_a , such that $g'_a(\mu^2) \geq F_a(\mu^2, k_\perp^2)$ ²

1. start with $j = 0$, $p_{j=0}^2 = \mu_{i-1}^2$,
2. add one to j . Select $p_j^2 > p_{j-1}^2$ according to
$$R = 1 - \exp\left(-\int_{p_{j-1}^2}^{p_j^2} (dp'^2/p'^2) g'_a(p'^2)\right),$$
3. if $F(p_j^2, k_\perp^2)/g'_a(p_j^2) \leq$ (newly generated) R go to 2,
4. else: $\mu_i^2 = p_j^2$ is the generated scale.

¹ $F_a(\mu^2, k_\perp^2) = \sum_b \int_0^{z_M} dz z \bar{P}_{ba}^R(z, k_\perp^2, \mu'^2)$

² $g'_a(\mu^2) = \sum_b \int_0^{z_M} dz z (P_{ba}^R(z, \mu^2) + h_{ba}(z, \mu^2))$