

# Electroweak phase transition in the nearly aligned Higgs EFT

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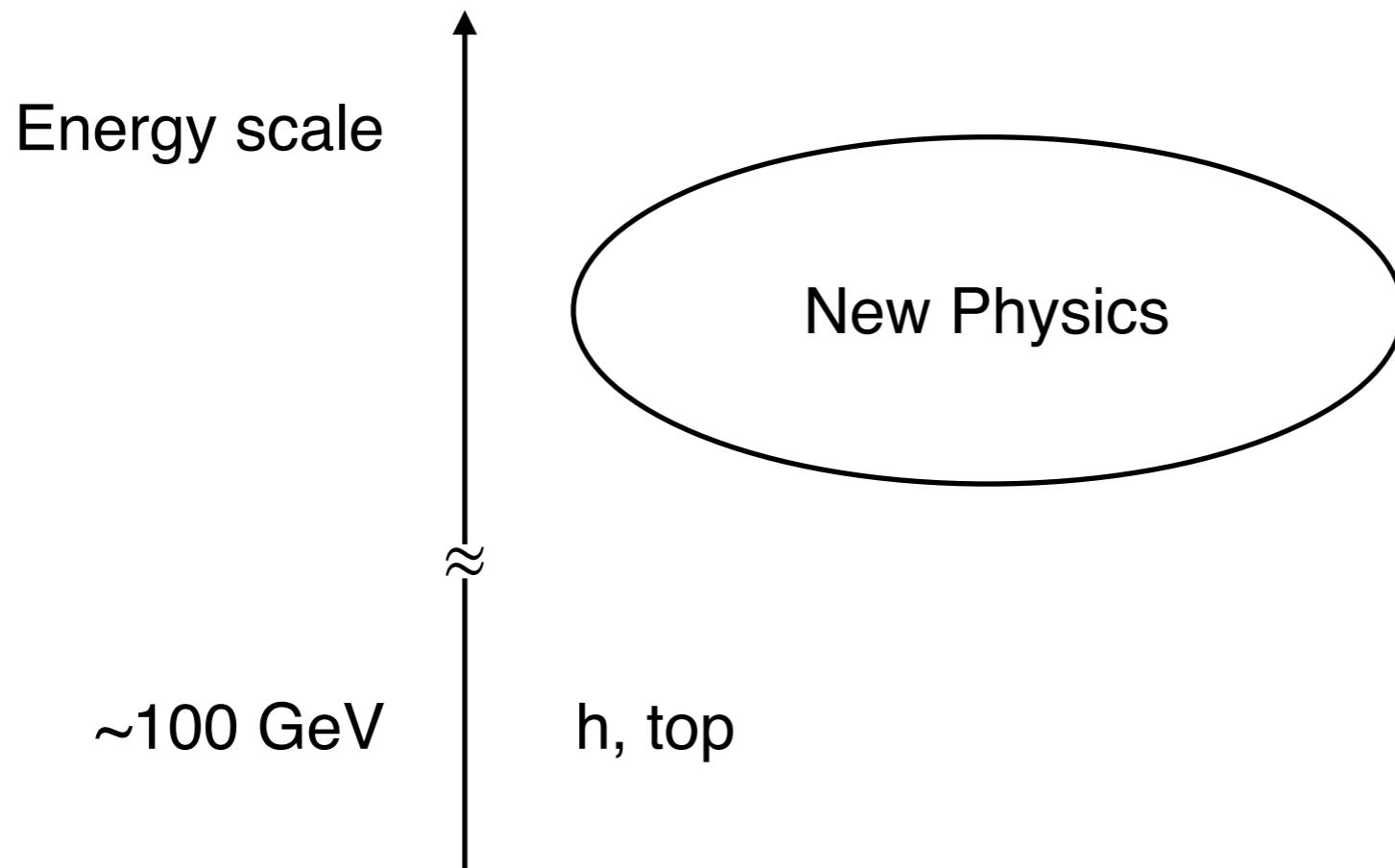
S. Kanemura, R. Nagai and M. Tanaka: JHEP 06 (2022)

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# Introduction

Standard Model (SM) is consistent with the result in LHC

Unsolved problems: baryon asymmetry of the Universe, dark matter etc...



Contributions from heavy new particles can be described by EFT frameworks

e.g., Standard Model Effective Field Theory (SMEFT), Higgs EFT (HEFT)

[Buchmuller and Wyler: Nucl. Phys. B268 (1986)]  
[Grzadkowski et al.: JHEP 10 (2010)]

[Feruglio: Int. J. Mod. Phys. A 8 (1993)]

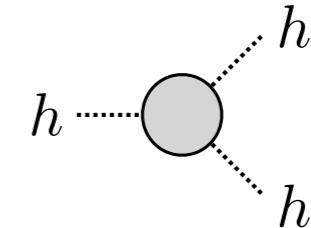
# Introduction

- The framework of the SMEFT is often used
    - SMEFT is a good EFT framework for the decoupling new physics
  - Heavy particles can cause large quantum effects (non-decoupling effects)
    - SMEFT does not work well in such the case
  - HEFT can describe the new physics with the large quantum effects
- [Buchmuller and Wyler: Nucl. Phys. B268 (1986)]  
[Grzadkowski et al.: JHEP 10 (2010)]
- [Appelquist and Carazzone, PRD 11 (1975)]
- [Kanemura et al.: PRD 70 (2004)]
- [Falkowski, Rattazzi, JHEP 10 (2019), Cohen et. al, JHEP 03 (2021)]
- [Feruglio: Int. J. Mod. Phys. A 8 (1993)]

We discuss an development of the HEFT to discuss the non-decoupling effects

# Non-decoupling effects in hhh coupling

$$\left. \frac{\partial^3 V_{\text{eff}}(\varphi)}{\partial \varphi^3} \right|_{\varphi=v} = \lambda_{hhh}^{\text{SM}} \left( 1 + \frac{\Delta \lambda_{hhh}^{\text{new}}}{\lambda_{hhh}^{\text{SM}}} \right), \quad \Delta \lambda_{hhh}^{\text{new}} = \lambda_{hhh}^{\text{new}} - \lambda_{hhh}^{\text{SM}}$$



Eg) Two Higgs doublet model (2HDM)

[Kanemura et al.: PRD 70 (2004)]

$$m_\Phi^2 \simeq M^2 + \lambda_\Phi v^2$$

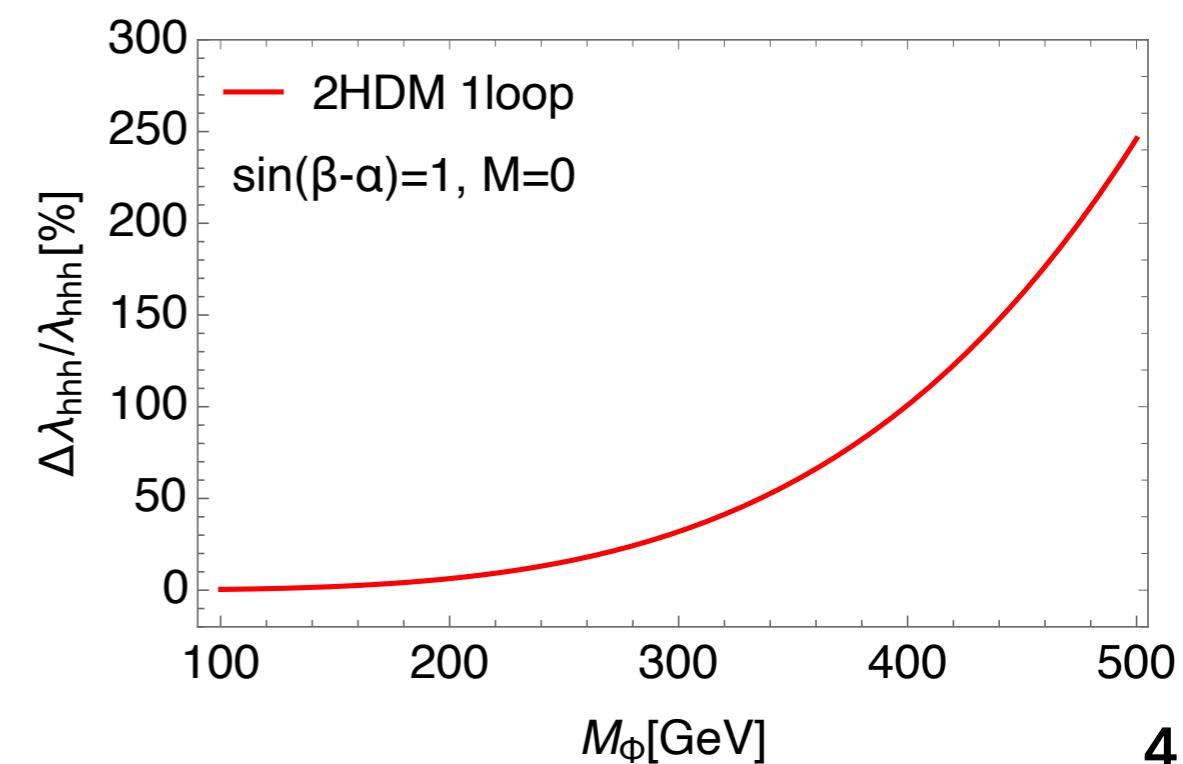
$$\frac{\Delta \lambda_{hhh}^{\text{2HDM}}}{\lambda_{hhh}^{\text{SM}}} \simeq \sum_{\Phi=H,A,H^\pm} \frac{n_\Phi m_\Phi^4}{12\pi^2 m_h^2 v^2} \left( 1 - \frac{M^2}{m_\Phi^2} \right)^3 \simeq \begin{cases} \sum_\Phi \frac{n_\Phi \lambda_\Phi^3 v^4}{12\pi^2 m_h^2 \cancel{m_\Phi^2}} & (\lambda_\Phi v^2 \ll M^2) \text{ Decoupling} \\ \boxed{\sum_\Phi \frac{n_\Phi \cancel{m_\Phi^4}}{12\pi^2 m_h^2 v^2}} & (\lambda_\Phi v^2 \gtrsim M^2) \text{ Non-decoupling} \end{cases}$$

Large hhh coupling is required to realize the strongly 1st order phase transition

[Kanemura, Okada and Senaha, PLB606 (2005)]

$$\frac{\Delta \lambda_{hhh}^{\text{2HDM}}}{\lambda_{hhh}^{\text{SM}}} > 20 - 30 \%$$

The non-decoupling effect is very important



# Non-decoupling effects and new EFT

- Loop corrections to the effective potential [Coleman and Weinberg: PRD 7 (1973)]

$$V_{\text{CW}}(\varphi) = \frac{[M^2(\varphi)]^2}{64\pi^2} \ln \frac{M^2(\varphi)}{Q^2}$$

Important to describe the non-decoupling effects

- Assuming  $M^2(\varphi) = M^2 + \lambda_\Phi \varphi^2$  with  $M^2 \gg \lambda_\Phi v^2$

$$V_{\text{CW}}(\varphi) \ni \frac{\lambda_\Phi^3}{64\pi^2 M^2} \varphi^6 = \frac{1}{\Lambda^2} \varphi^6 \Rightarrow \text{SMEFT is a good approximation}$$

- In the case with  $M^2 \lesssim \lambda_\Phi v^2$ , we cannot expand  $V_{\text{CW}}$  in terms of  $\varphi$

$\Rightarrow$  SMEFT is not appropriate to describe the non-decoupling effects

[Falkowski, Rattazzi, JHEP 10 (2019), Cohen et. al, JHEP 03 (2021)]

We need a new EFT framework  $\rightarrow$  Nearly aligned Higgs EFT (naHEFT)

# Nearly aligned Higgs EFT (naHEFT)

The naHEFT can describe the non-decoupling effects independent of models

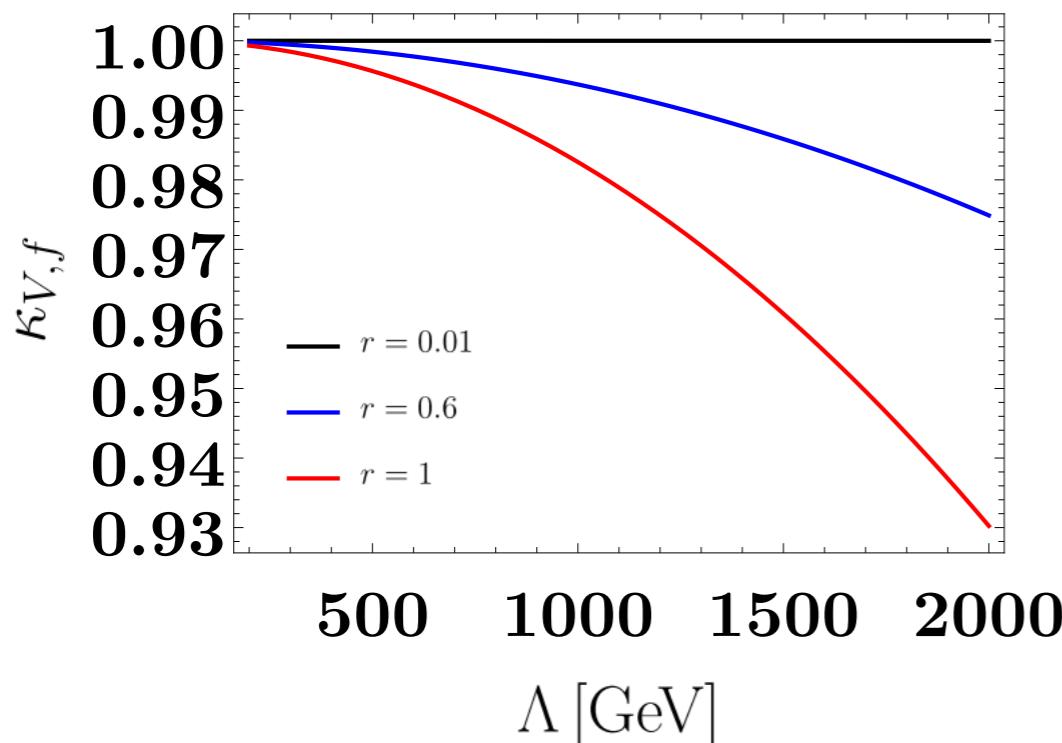
$$\begin{aligned} \mathcal{L}_{\text{BSM}} = & \frac{1}{(4\pi)^2} \left[ -\frac{\kappa_0}{4} [\mathcal{M}^2(h)]^2 \ln \frac{\mathcal{M}^2(h)}{\mu^2} \right. \\ & + \frac{v^2}{2} \mathcal{F}(h) \text{Tr} [D_\mu U^\dagger D^\mu U] + \frac{1}{2} \mathcal{K}(h) (\partial_\mu h) (\partial^\mu h) \\ & \left. - v \left( \bar{q}_L^i U \left[ \mathcal{Y}_q^{ij}(h) + \hat{\mathcal{Y}}_q^{ij}(h) \tau^3 \right] q_R^j + h.c. \right) - v \left( \bar{l}_L^i U \left[ \mathcal{Y}_l^{ij}(h) + \hat{\mathcal{Y}}_l^{ij}(h) \tau^3 \right] l_R^j + h.c. \right) \right] \end{aligned}$$

[Kanemura and Nagai, JHEP 03 (2022)]

$$U = \exp \left( \frac{i}{v} \pi^a \tau^a \right)$$

$$\mathcal{M}^2(h), \mathcal{F}(h), \mathcal{K}(h), \mathcal{Y}_\psi^{ij}(h), \hat{\mathcal{Y}}_\psi^{ij}(h)$$

polynomial in terms of  $h$



What is the meaning of “nearly aligned”?

The naHEFT can describe extended Higgs models without alignment ( $\kappa_{V,f} \neq 1$ )

$$\kappa_V = \frac{g_{hVV}^{\text{new}}}{g_{hVV}^{\text{SM}}}, \kappa_f = \frac{g_{hff}^{\text{new}}}{g_{hff}^{\text{SM}}}$$

# naHEFT vs. SMEFT: hhh coupling

- Non-decouplingness:

$$r = \frac{\kappa_p v^2}{2} / \Lambda^2$$

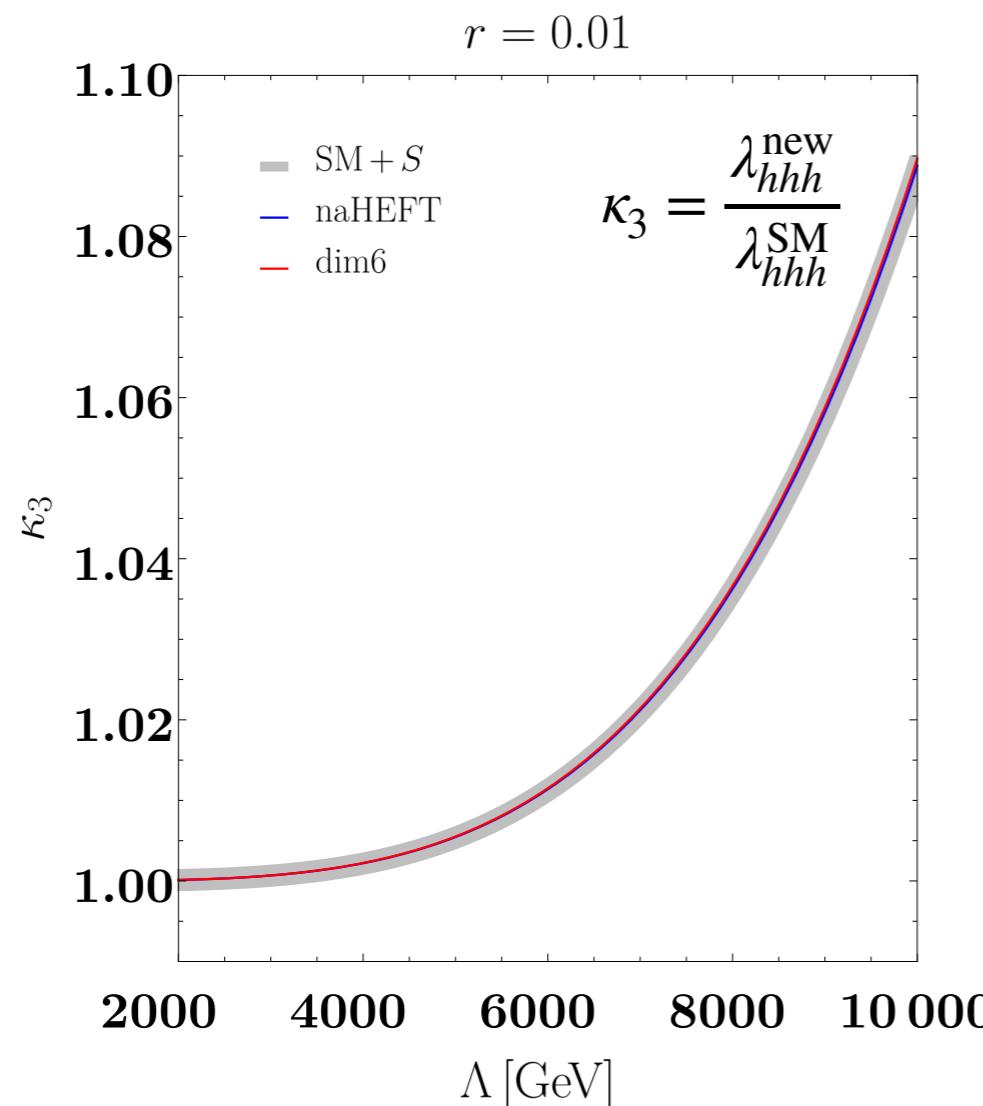
[Kanemura and Nagai, JHEP 03 (2022)]

$$r \sim 0 \Rightarrow M^2 \gg \frac{\kappa_p}{2} v^2 \quad \text{Decoupling}$$

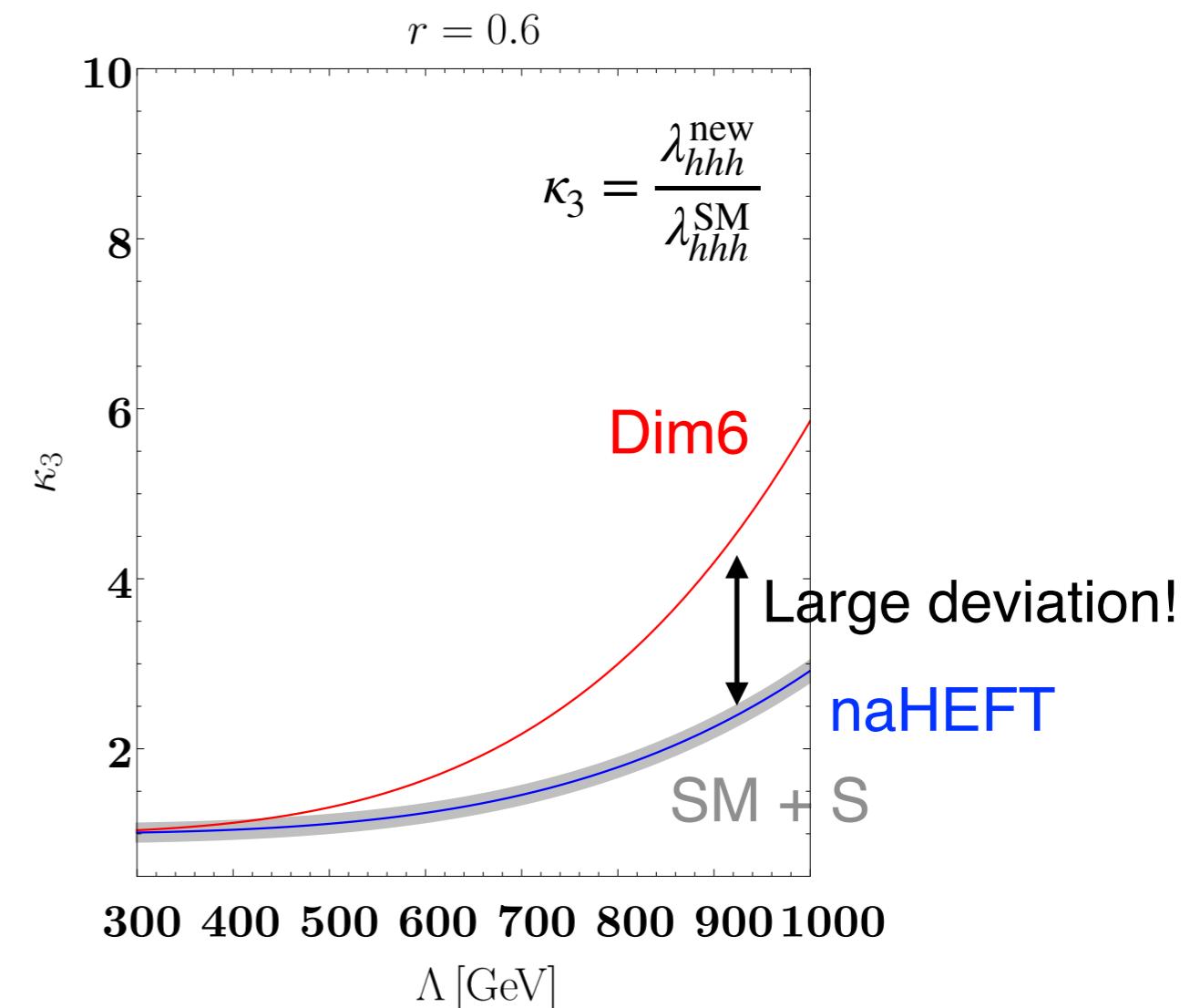
$$\Lambda^2 = \mathcal{M}^2(h=0) = M^2 + \frac{\kappa_p}{2} v^2,$$

$$r \sim 1 \Rightarrow M^2 \ll \frac{\kappa_p}{2} v^2 \quad \text{Non-decoupling}$$

## Decoupling



## Non-decoupling



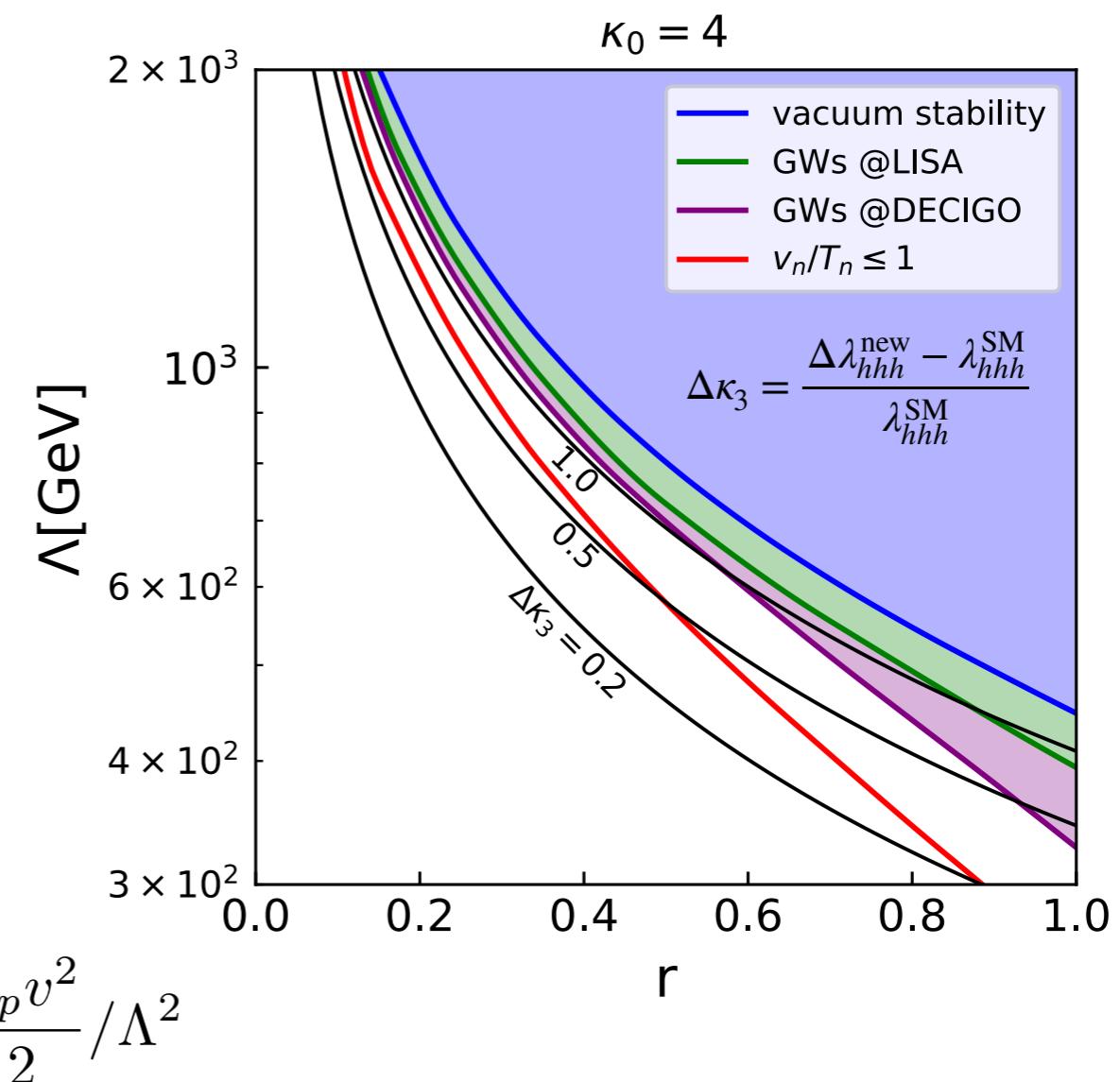
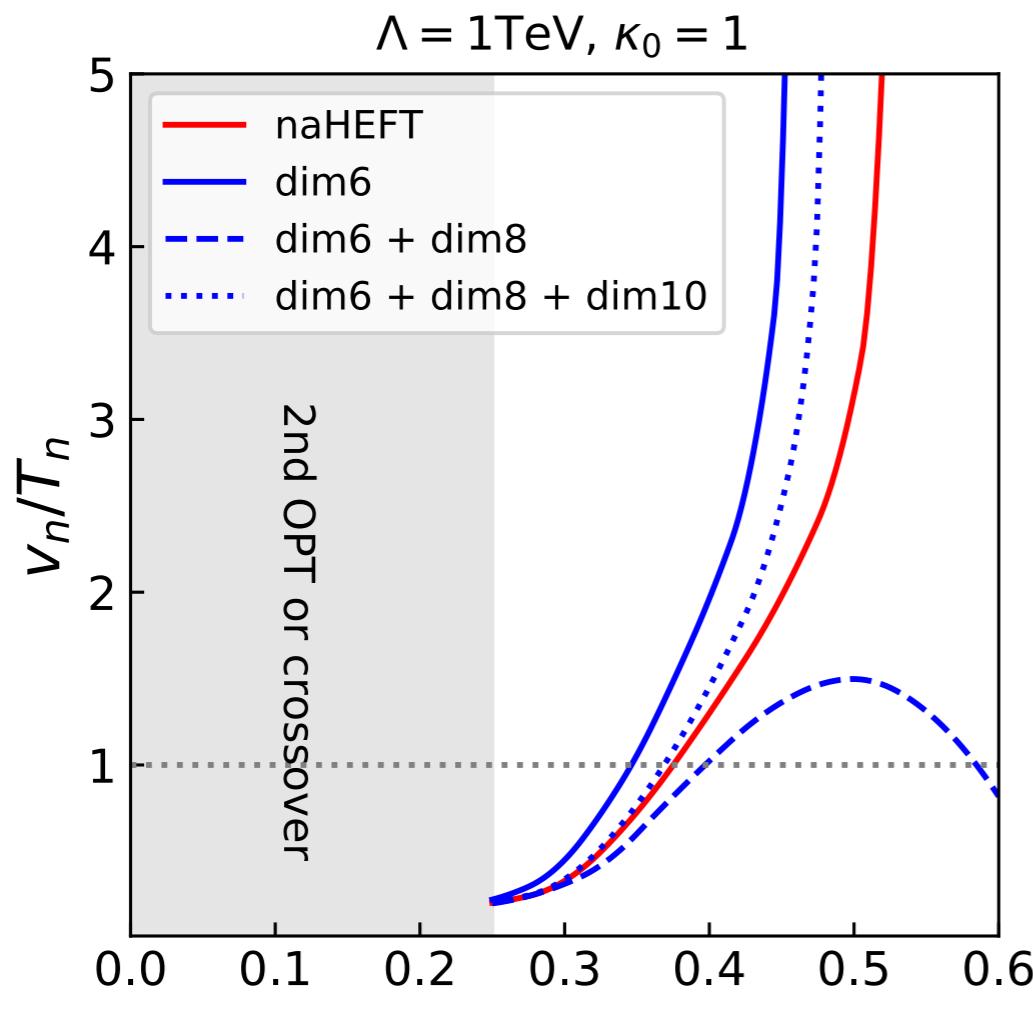
# naHEFT at the finite temperature

- We extend the naHEFT at finite temperature systems

[Kanemura, Nagai and Tanaka,  
JHEP 06 (2022)]

$$V_{\text{EFT}} = V_{\text{SM}} + \frac{\kappa_0}{64\pi^2} \left[ \mathcal{M}^2(\phi) \right]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2} + \frac{\kappa_0}{2\pi^2} T^4 J_{\text{BSM}} \left( \frac{\mathcal{M}^2(\phi)}{T^2} \right)$$

$$J_{\text{BSM}}(a^2) = \int_0^\infty dk^2 k^2 \ln \left[ 1 - \text{sign}(\kappa_0) e^{-\sqrt{k^2+a^2}} \right] \quad \mathcal{M}^2(\phi) = M^2 + \frac{\kappa_p}{2} \phi^2$$



$$r = \frac{\kappa_p v^2}{2} / \Lambda^2$$

# Summary

- We proposed the nearly aligned Higgs EFT

$$V_{\text{EFT}} = V_{\text{SM}} + \frac{\kappa_0}{64\pi^2} [\mathcal{M}^2(\phi)]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2} + \frac{\kappa_0}{2\pi^2} T^4 J_{\text{BSM}} \left( \frac{\mathcal{M}^2(\phi)}{T^2} \right)$$

- The naHEFT can describe models with the non-decoupling effects
- We discussed the  $hhh$  coupling and the gravitational waves by first-order phase transitions in the naHEFT
  - SMEFT is not appropriate when we discuss phenomena related to the non-decoupling quantum effects
- We can test extended models with the non-decoupling effects via the  $hhh$  coupling measurement and the gravitational wave observation

# **Backup**

# Introduction

- Baryon asymmetry of the Universe

$$\frac{n_b - n_{\bar{b}}}{n_\gamma} = 5.8 - 6.5 \times 10^{-10} \quad [\text{PDG 2021}]$$

[Sakharov, Pisma Zh.Eksp.Teor.Fiz. 5 (1967)]

[Kuzmin, et al. : PLB155 (1985)]

## Sakharov's condition

- ① Baryon # violation
- ② C and CP violation
- ③ Departure from equilibrium

- Electroweak baryogenesis (EWBG)

- ① Sphaleron process
- ② new CP phase in extended Higgs sectors
- ③ 1st order phase transition (1st OPT)

- EW phase transition in the SM is crossover

[Kajantie et al, Nucl. Phys. B493 (1997); Laine and Rummukainen, Nucl. Phys. B73 (1999)]

- CKM phase is not sufficient to explain the observed baryon asymmetry

[Gavela et al., Nucl. Phys. B430 (1994); Huet and Sather, PRD 51 (1995)]

**Extension of the Higgs sector is needed**

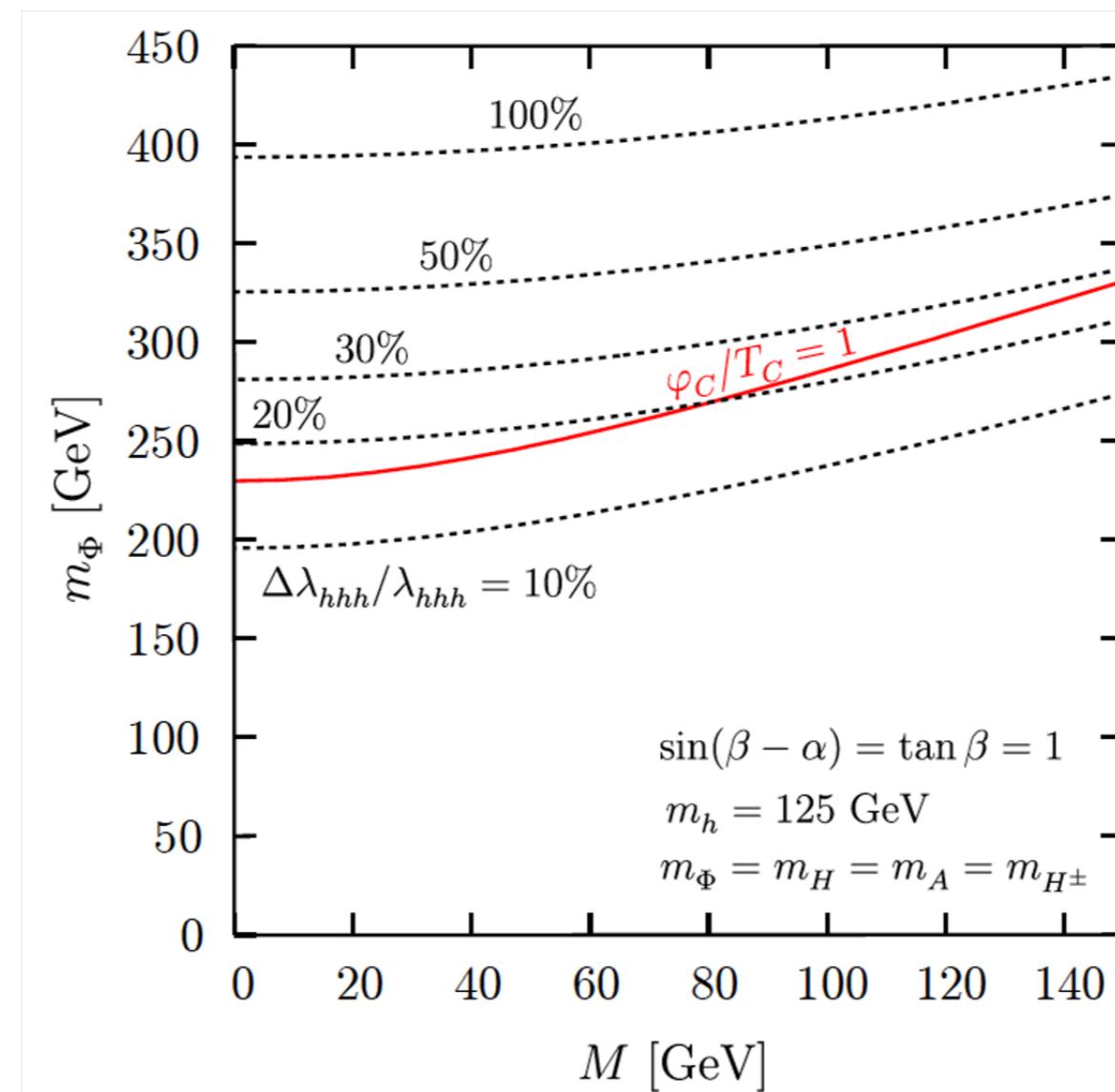
# The strongly 1st OPT and hhh coupling

Large deviation in the hhh coupling is important to realize the strongly 1st OPT

[Grojean, Servant and Wells, PRD 71 (2005)], [Kanemura, Okada and Senaha, PLB606 (2005)]

Eg) Two Higgs doublet model (2HDM)

[Kanemura, Okada and Senaha, PLB606 (2005)]



$$m_\Phi^2 \simeq M^2 + \lambda_\Phi v^2$$

$$\frac{\Delta\lambda_{hhh}}{\lambda_{hhh}} \equiv \frac{\lambda_{hhh}^{\text{new}} - \lambda_{hhh}^{\text{SM}}}{\lambda_{hhh}^{\text{SM}}}$$

# Strongly 1st order phase transition

- Effective potential (High temperature expansion)

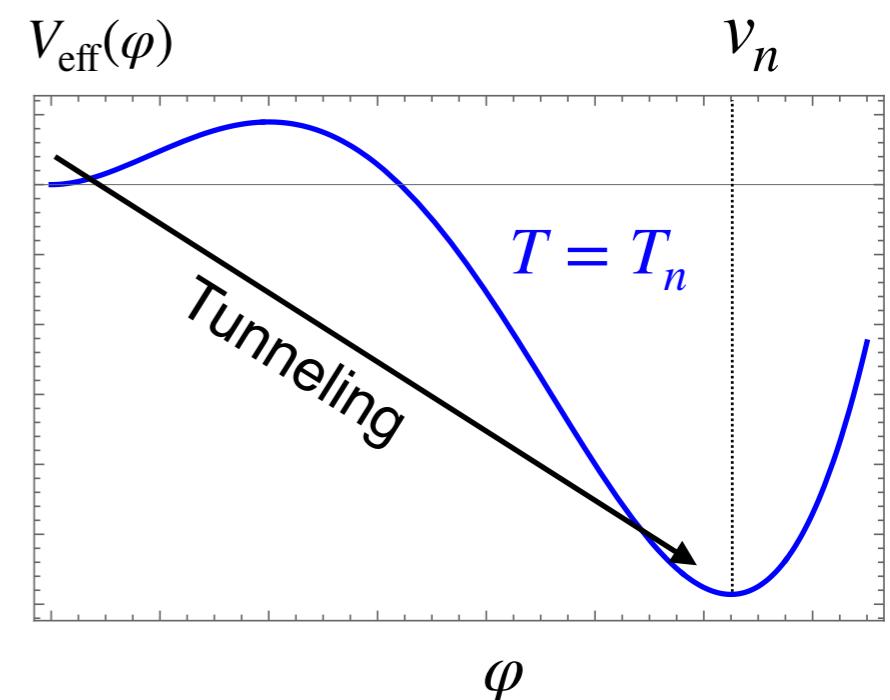
[Anderson and Hall, PRD 45 (1992)]

$$V_{\text{eff}}(\varphi, T) \simeq D(T^2 - T_0^2)\varphi^2 - ET\varphi^3 + \frac{\lambda(T)}{4}\varphi^4$$

Only boson loop contributions

- Strength of the 1st OPT:

$$\frac{v_n}{T_n} \sim \frac{v_c}{T_c} \sim \frac{2E}{\lambda(T_c)}$$



- Large  $E$ : extended Higgs models with **non-decoupling quantum effects**

[Kanemura, Okada and Senaha, PLB606 (2005)]

- Small  $\lambda$ : Standard model effective field theory (SMEFT)

[Grojean, Servant and Wells, PRD 71 (2005)]

- Sphaleron decoupling condition

$$\Gamma_{\text{sph}}^{(b)}(T_n) < H_{\text{Hubble}}(T_n) \Rightarrow$$

$$\frac{v_n}{T_n} > \zeta_{\text{sph}}(T_n) \simeq 1$$

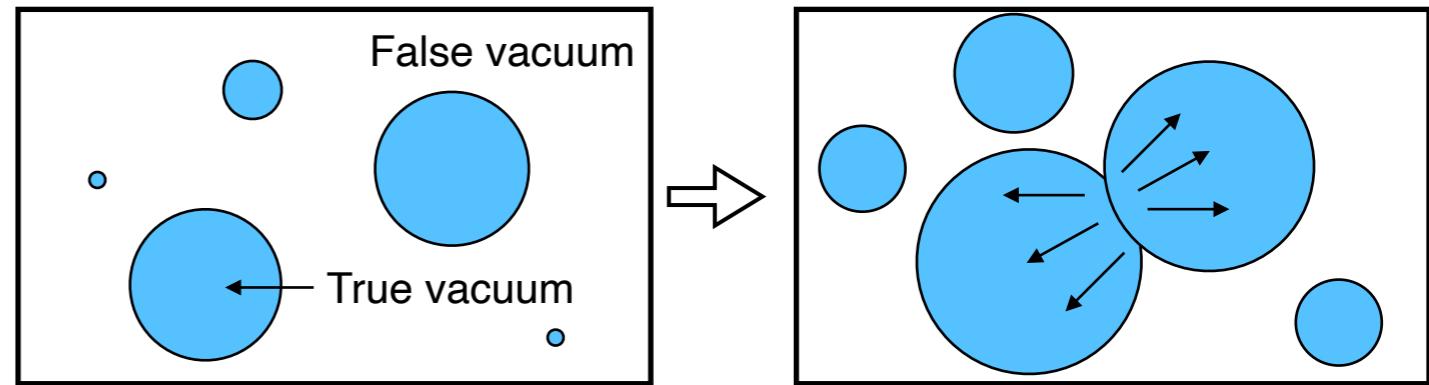
[Bochkarev et al., PRD 43 (1991)]

[Funakubo and Senaha, PRD 79 (2009)]

# Gravitational waves from 1st OPT

Origin of the gravitational waves (GWs) from 1st OPT [Caprini et al., JCAP 04 (2016)]

- ① Bubble collisions
- ② Compression wave of plasma
- ③ Plasma turbulence



Eg) Compression wave (leading contribution)

PTPlot used [Caprini et al., JCAP 03 (2020) 024]

[LISA: arXiv:1702.00786]

$$\Omega_{\text{SW}}(f)h^2 = \tilde{\Omega}_{\text{SW}}^{\text{peak}} h^2 \times (f/\tilde{f}_{\text{SW}})^3 \left( \frac{7}{4 + 3(f/\tilde{f}_{\text{SW}})^2} \right)^{7/2}$$

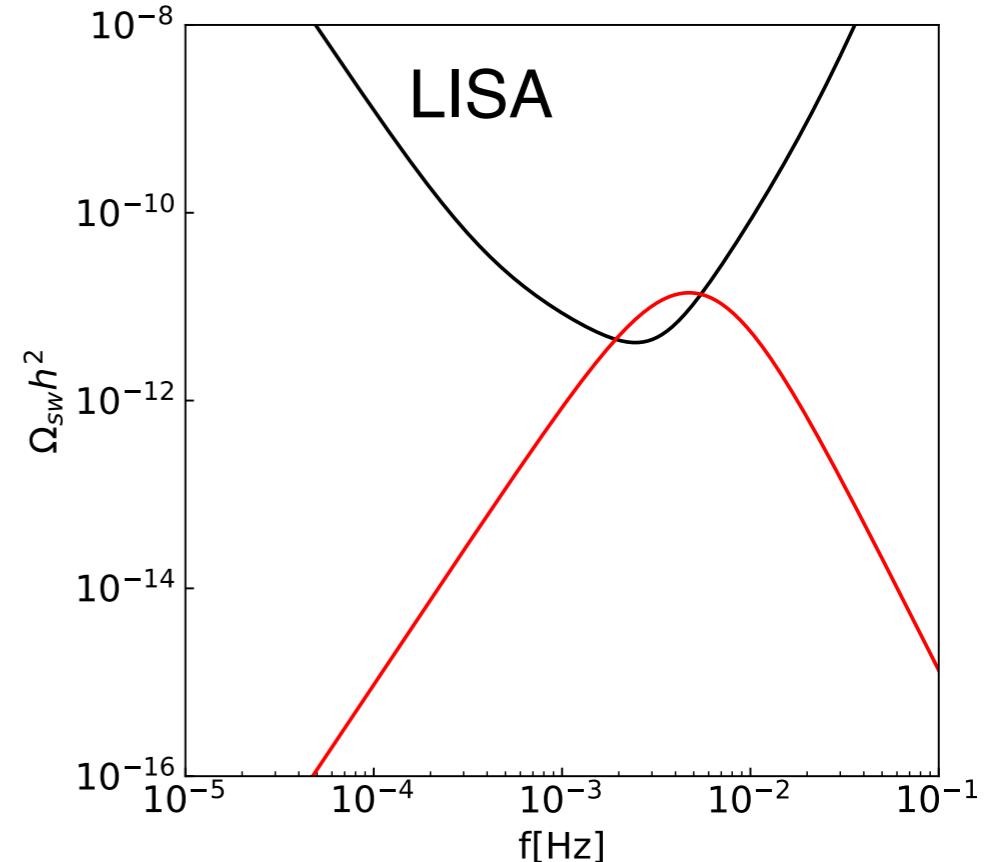
The peak height

$$\tilde{\Omega}_{\text{SW}}^{\text{peak}} h^2 \simeq 2.65 \times 10^{-6} v_b \tilde{\beta}_{\text{GW}}^{-1} \left( \frac{\kappa_{\text{sw}} \alpha_{\text{GW}}}{1 + \alpha_{\text{GW}}} \right)^2 \left( \frac{100}{g_*} \right)^{1/3}$$

The peak frequency

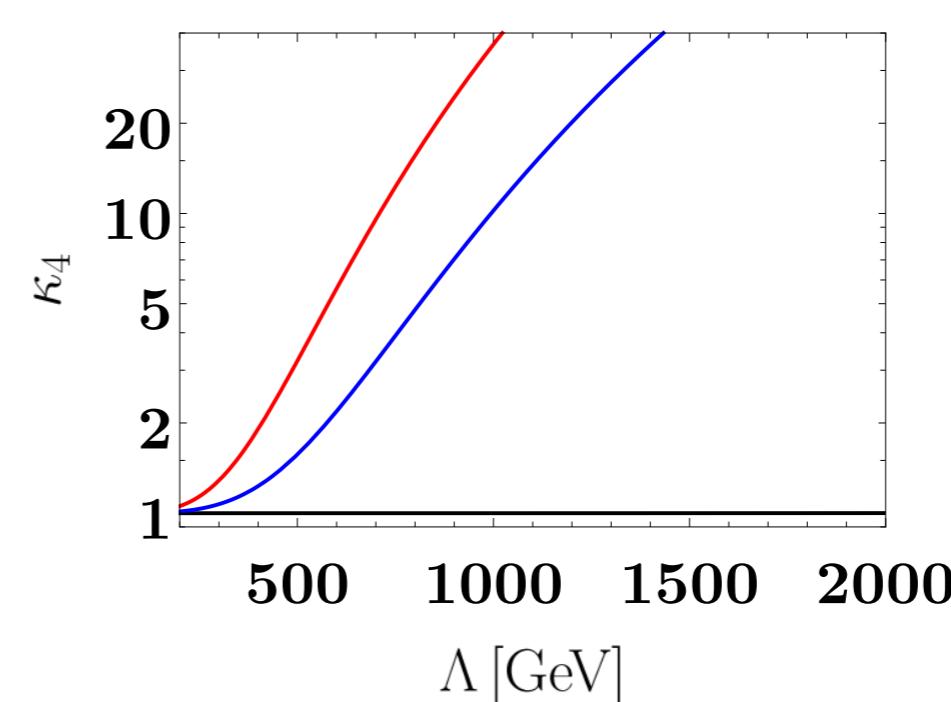
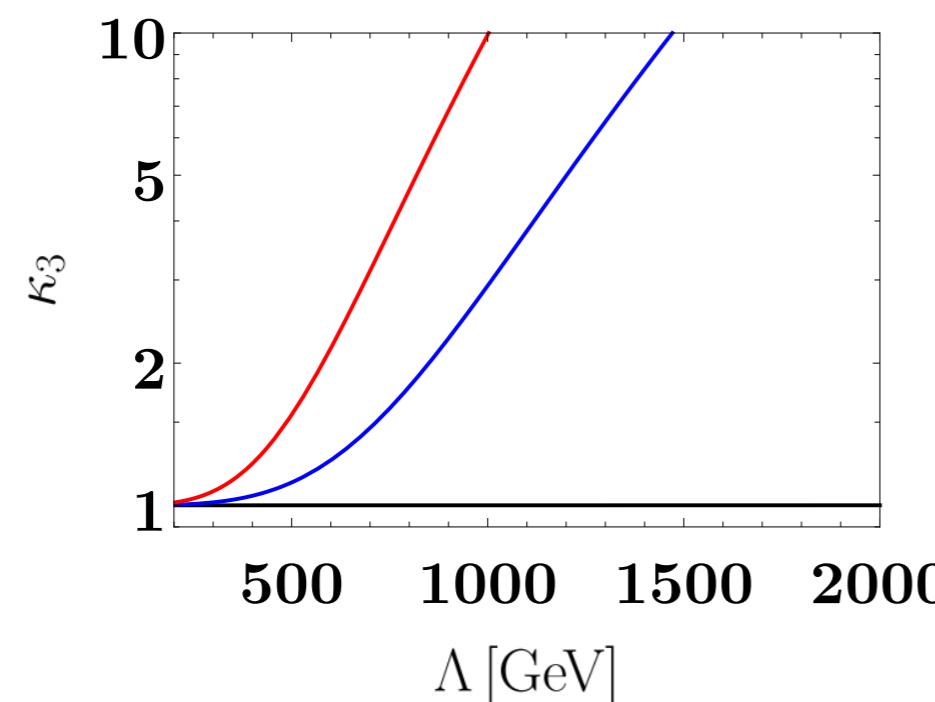
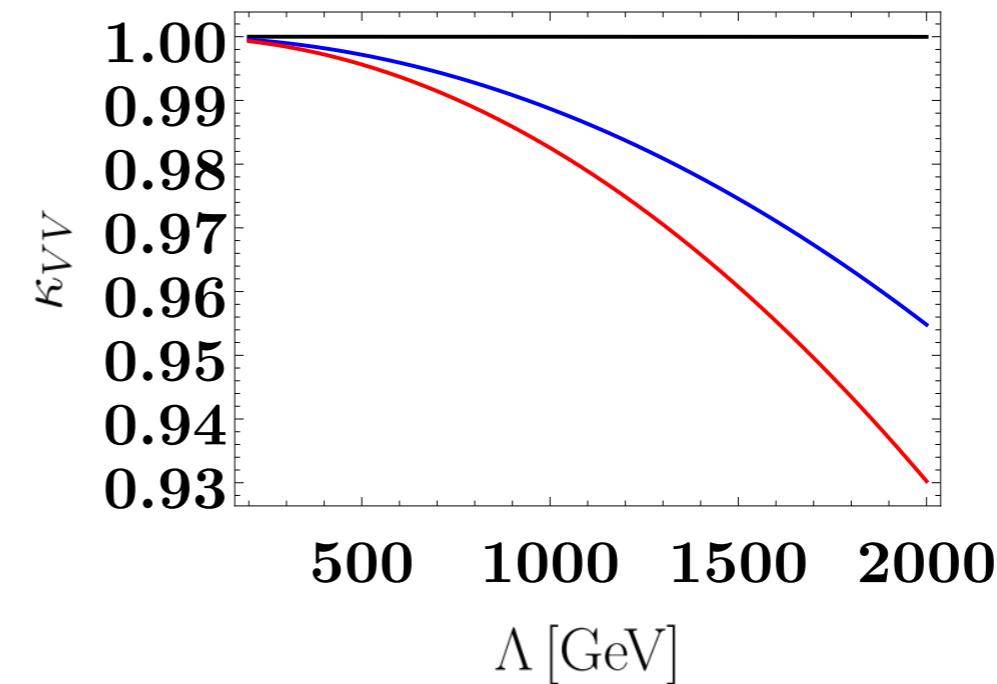
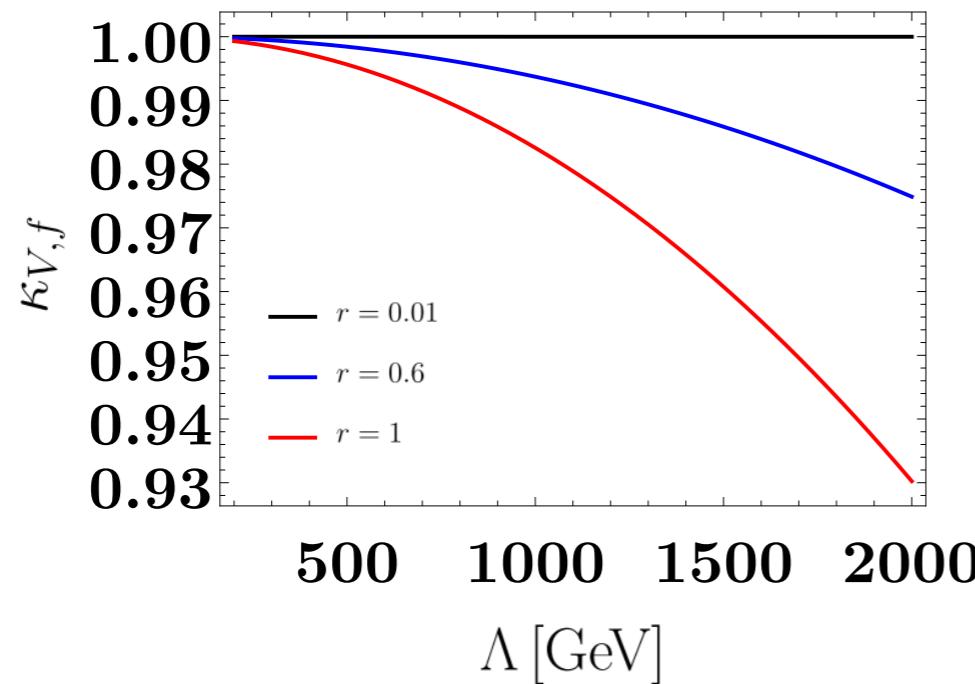
$\kappa_{\text{sw}}$ : efficiency factor

$$\tilde{f}_{\text{sw}} \simeq 1.9 \times 10^{-2} \frac{1}{v_b} \tilde{\beta}_{\text{GW}} \left( \frac{T_n}{100 \text{GeV}} \right) \left( \frac{g_*}{100} \right)^{1/6} \text{ mHz}$$



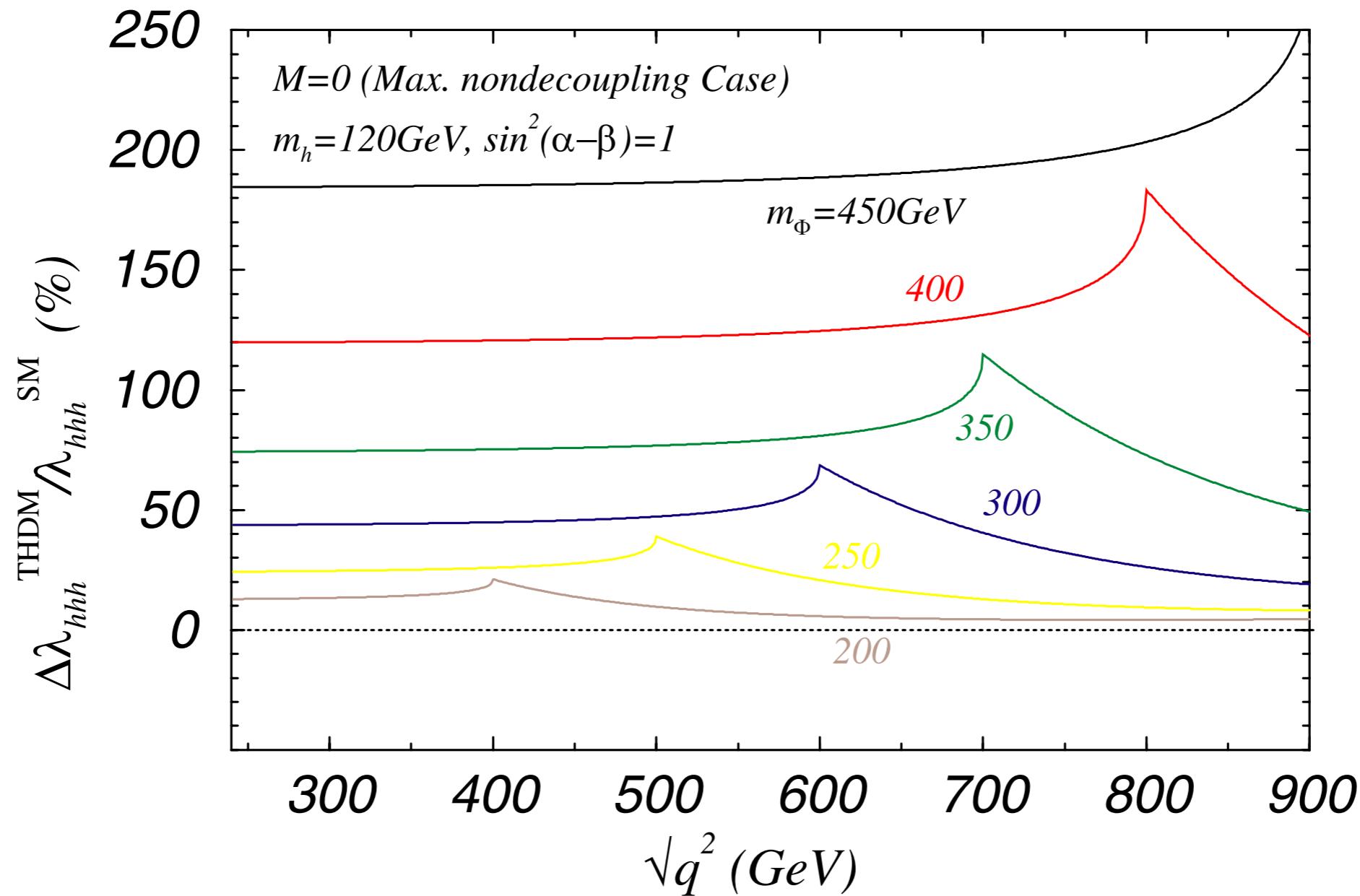
# Higgs couplings in the naHEFT

[Kanemura and Nagai, JHEP 03 (2022)]



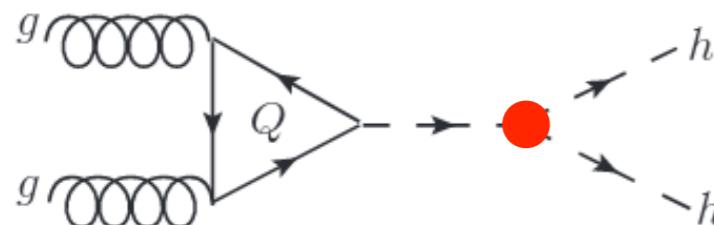
# Momentum dependence on hhh coupling

[Kanemura, Okada, Senaha and Yuan, PRD 70 (2004)]



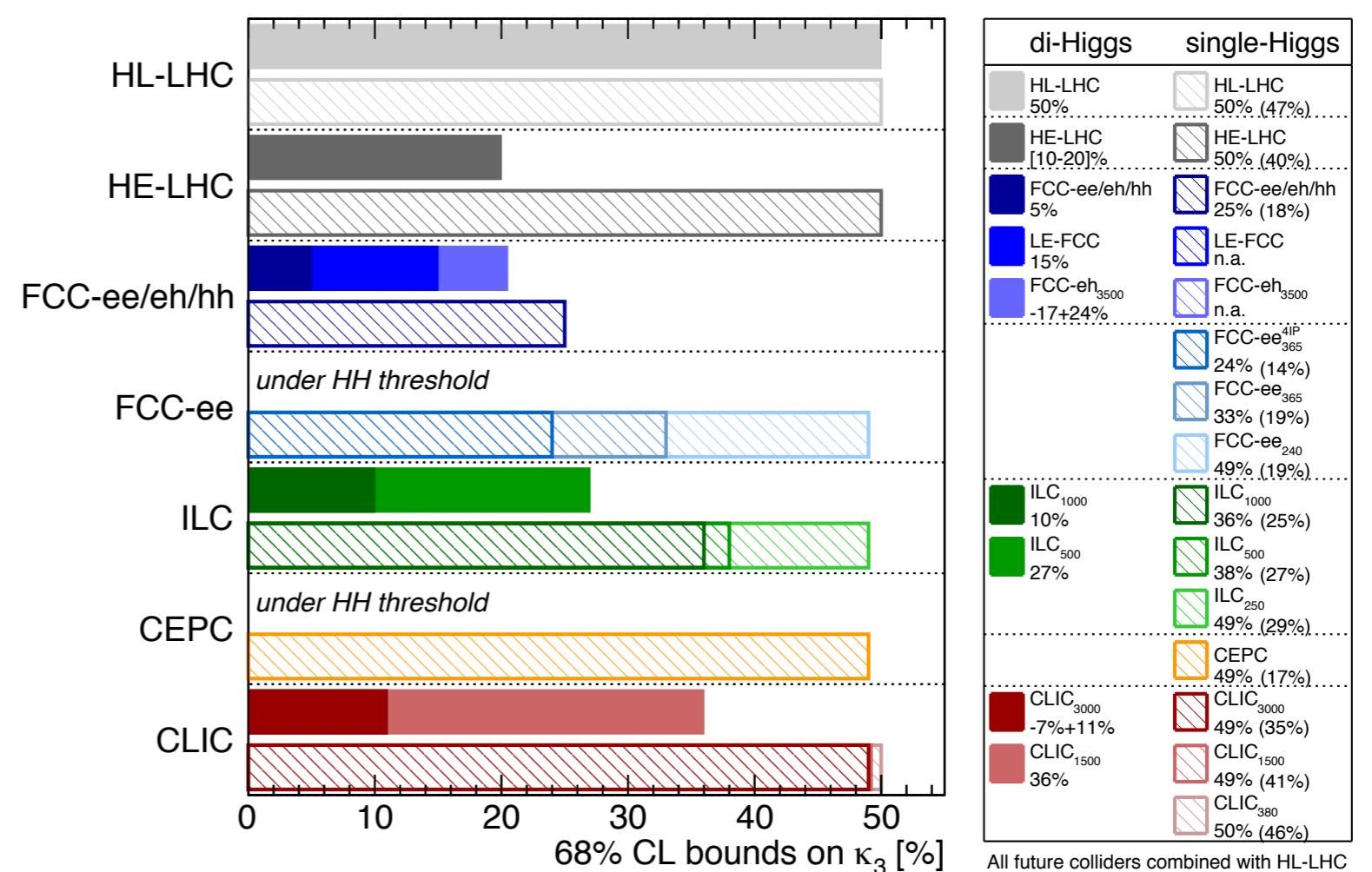
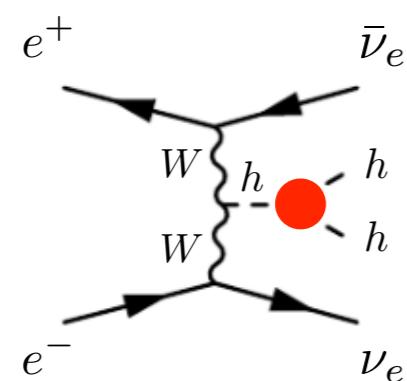
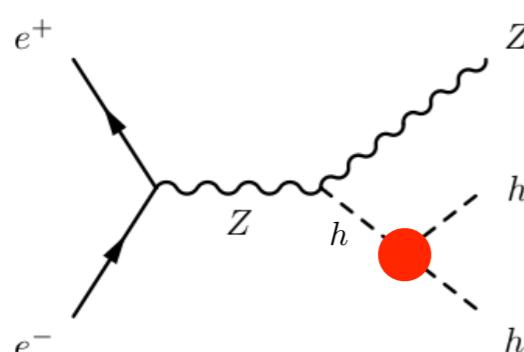
# hhh measurement at future colliders

- Hadron colliders



[arXiv: 1905.03764]

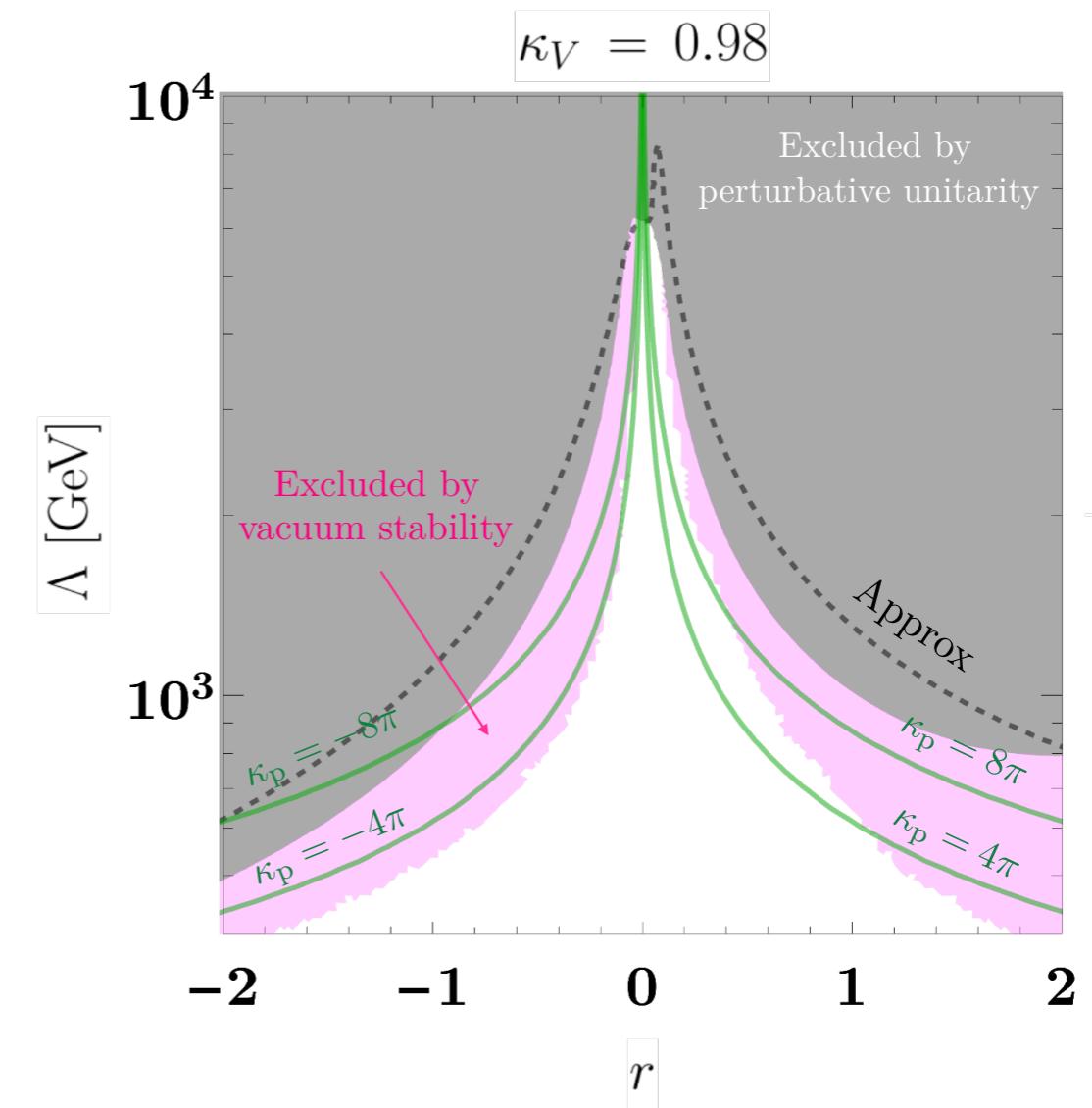
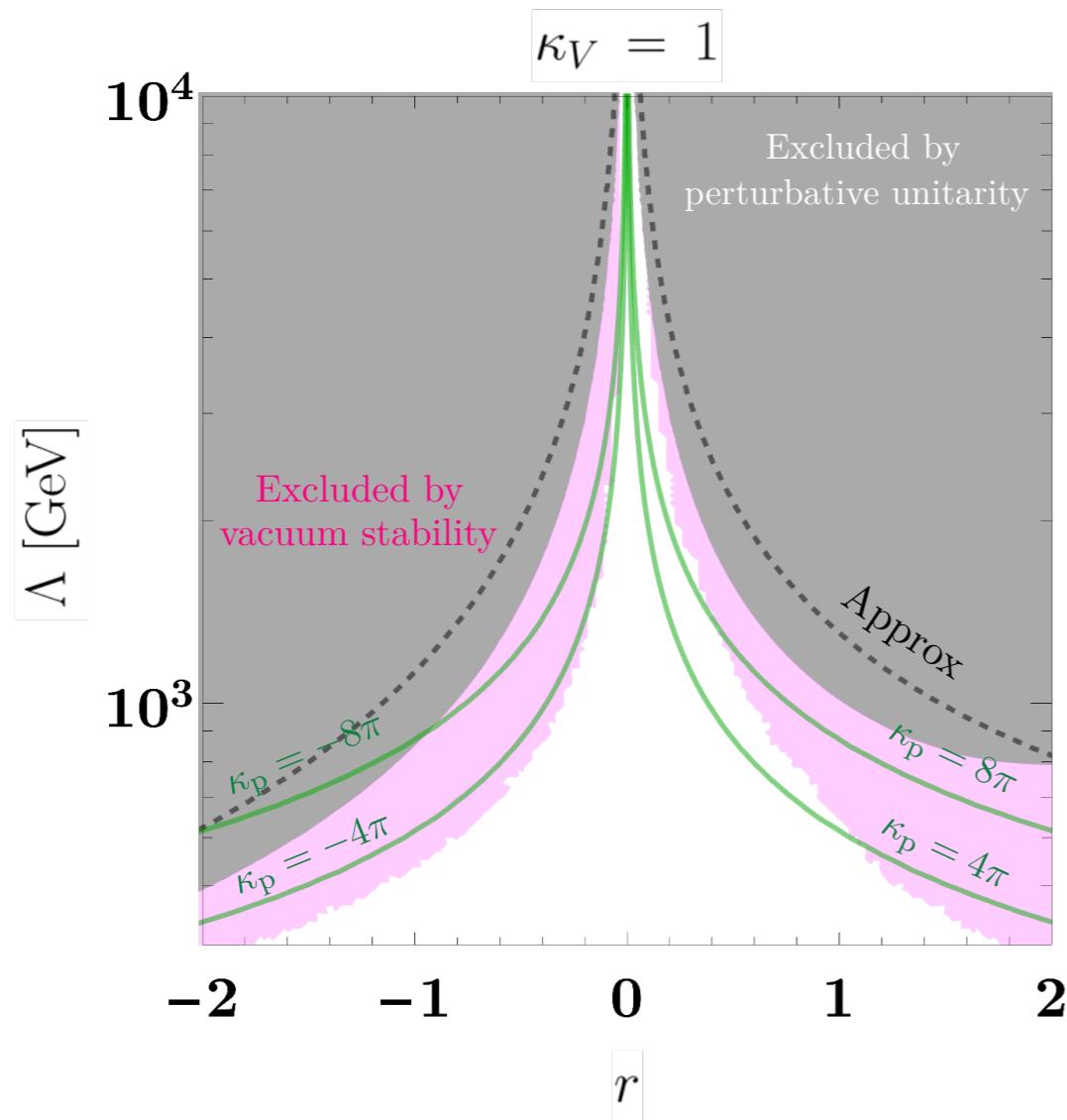
- Lepton colliders



# Theoretical bounds in the naHEFT

$$g_{hVV}^{\text{new}}/g_{hVV}^{\text{SM}} = \kappa_v$$

[Kanemura and Nagai, JHEP 03 (2022)]



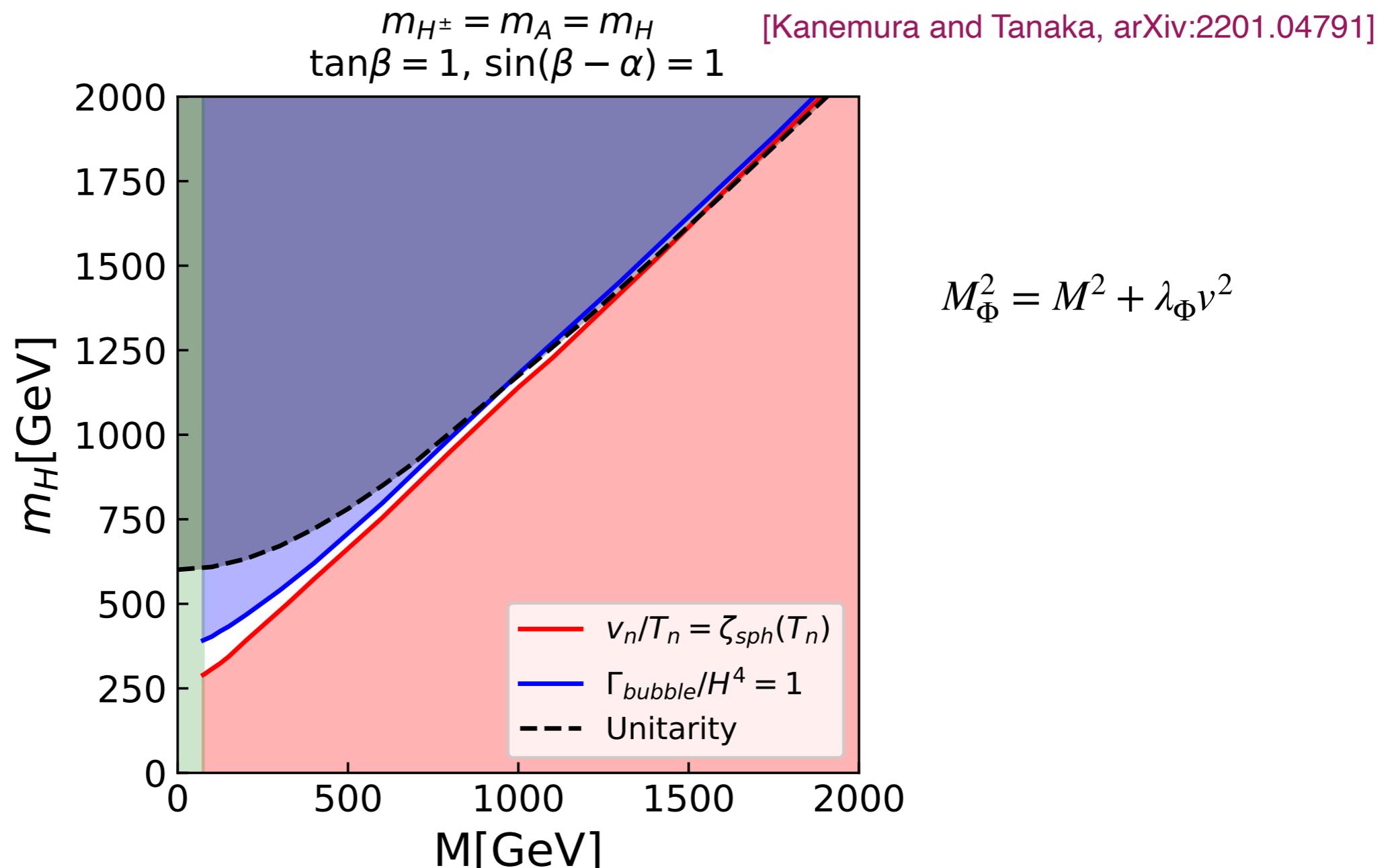
Mass upper bound exists in models without alignment

→ New no-lose theorem

# EW Phase transition in the 2HDM

To realize the strongly 1st OPT while satisfying the unitarity bound,

Masses of additional Higgs bosons < 1.6-2 TeV



# Nearly aligned Higgs EFT

- Lagrangian for the nearly aligned Higgs EFT (naHEFT)

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{BSM}}$$

[Kanemura and Nagai, JHEP 03 (2022)]

- BSM part in the naHEFT

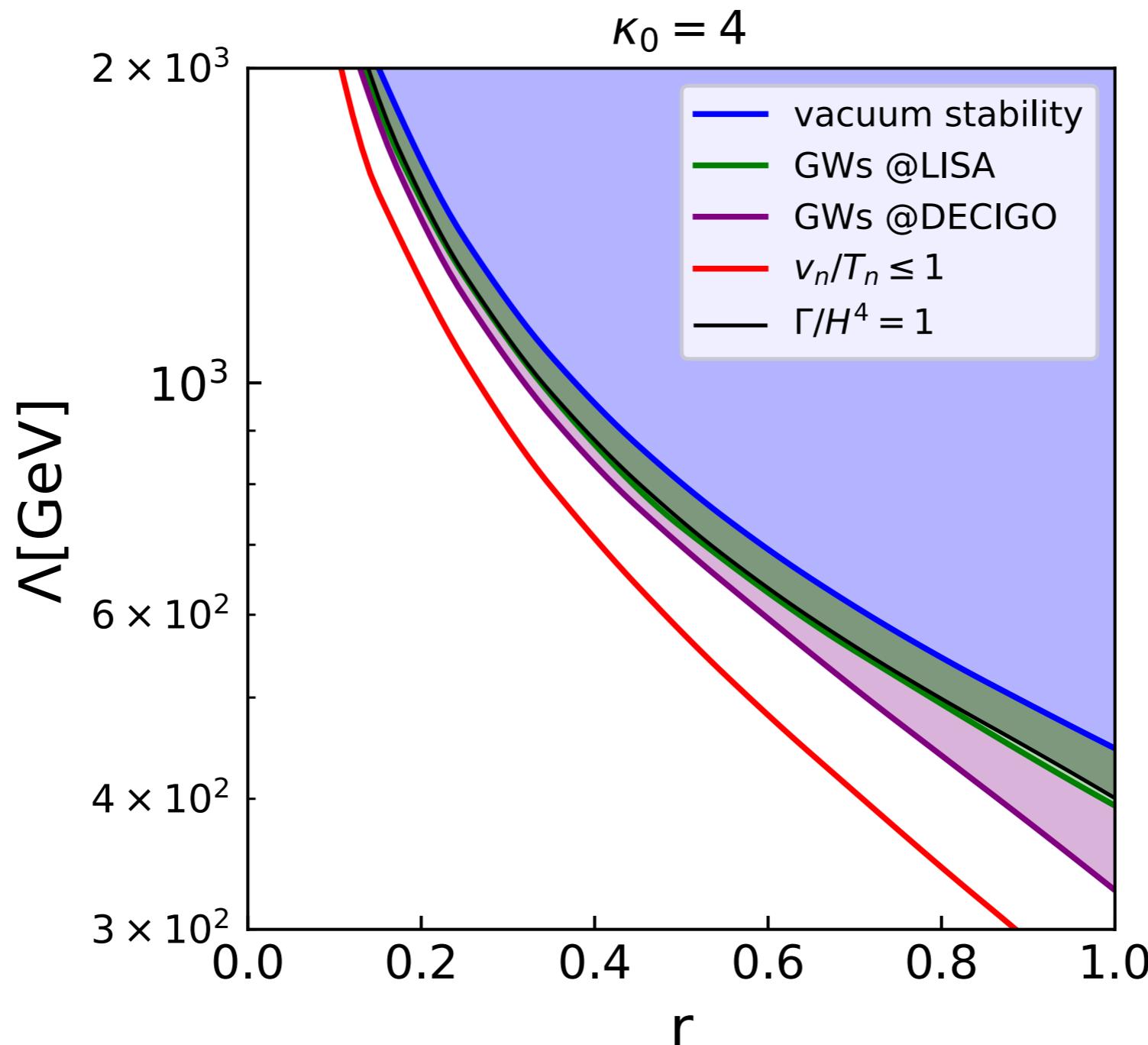
$$\begin{aligned}\mathcal{L}_{\text{BSM}} = & \frac{1}{(4\pi)^2} \left[ -\frac{\kappa_0}{4} [\mathcal{M}^2(h)]^2 \ln \frac{\mathcal{M}^2(h)}{\mu^2} \right. \\ & + \frac{v^2}{2} \mathcal{F}(h) \text{Tr} [D_\mu U^\dagger D^\mu U] + \frac{1}{2} \mathcal{K}(h) (\partial_\mu h) (\partial^\mu h) \quad U = \exp \left( \frac{i}{v} \pi^a \tau^a \right) \\ & - v \left( \bar{q}_L^i U \left[ \mathcal{Y}_q^{ij}(h) + \hat{\mathcal{Y}}_q^{ij}(h) \tau^3 \right] q_R^j + h.c. \right) \\ & \left. - v \left( \bar{l}_L^i U \left[ \mathcal{Y}_l^{ij}(h) + \hat{\mathcal{Y}}_l^{ij}(h) \tau^3 \right] l_R^j + h.c. \right) \right]\end{aligned}$$

$\mathcal{M}^2(h), \mathcal{F}(h), \mathcal{K}(h), \mathcal{Y}_\psi^{ij}(h), \hat{\mathcal{Y}}_\psi^{ij}(h)$  : polynomial in terms of  $h$

- The custodial symmetry breaking term  $\mathcal{F}_Z(h) (\text{Tr}[U^\dagger D_\mu U \tau^3])^2$

# Nearly aligned Higgs effective field theory

[Kanemura, Nagai and Tanaka, arXiv: 2202.12774]



# Nearly aligned Higgs EFT

- Since we are interested in the phase transition, we focus on the potential part

$$V_{\text{BSM}} = \frac{\kappa_0}{64\pi^2} [\mathcal{M}^2(\phi)]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2}$$

d.o.f of BSM particle

← field dependent mass of BSM particle

← renormalization scale

- For simplicity, we take  $\mathcal{M}^2(\phi) = M^2 + \frac{\kappa_p}{2}\phi^2$ ,  $\phi = h + v$
  - The square mass of the BSM particle (cutoff scale):  $\mathcal{M}^2(v) \equiv \Lambda^2$

Important parameter in the naHEFT: **Non-decouplingness**

$$r = \frac{\frac{\kappa_p v^2}{2}}{\Lambda^2}$$

$r \sim 0 \Rightarrow M^2 \gg \frac{\kappa_p}{2} v^2 \quad \text{Decoupling region}$

$r \sim 1 \Rightarrow M^2 \ll \frac{\kappa_p}{2} v^2 \quad \text{Non-decoupling region}$

# SMEFT and naHEFT

$$V_{\text{EFT}} = V_{\text{SM}} + \frac{\kappa_0}{64\pi^2} [\mathcal{M}^2(\phi)]^2 \ln \frac{\mathcal{M}^2(\phi)}{\mu^2}$$

$$\mathcal{M}^2(\phi) = M^2 + \frac{\kappa_p}{2} \phi^2,$$

$$\mathcal{M}^2(v) \equiv \Lambda^2 \quad r = \frac{\frac{\kappa_p v^2}{2}}{\Lambda^2}$$

Expand the logarithmic part in terms of  $\phi$

- Up to dimension six

$$V_{\text{BSM}}(\Phi) = \frac{1}{f^2} \left( |\Phi|^2 - \frac{v^2}{2} \right)^3, \quad \frac{1}{f^2} = \frac{2}{3} \xi \kappa_0 \frac{\Lambda^4}{v^6} \frac{r^3}{1-r}.$$

- Up to dimension eight

$$\xi = \frac{1}{16\pi^2}$$

$$V_{\text{BSM}}(\Phi) = \frac{1}{f_6^2} \left( |\Phi|^2 - \frac{v^2}{2} \right)^3 - \frac{1}{f_8^4} \left( |\Phi|^2 - \frac{v^2}{2} \right)^4$$

$$\frac{1}{f_6^2} = \frac{1}{f^2} \frac{1-2r}{1-r}, \quad \frac{1}{f_8^4} = \frac{\xi}{3} \kappa_0 \frac{\Lambda^4}{v^8} \frac{r^4}{(1-r)^2}.$$

The log part cannot be expanded in terms of  $\phi$  in  $r > 1/2$

# Difference b/w naHEFT and SMEFT

- SMEFT

$$V(\Phi) = \mu^2 |\Phi|^2 + \lambda |\Phi|^4 + \frac{c_6}{\Lambda^2} |\Phi|^6 + \frac{c_8 v^2}{\Lambda^4} |\Phi|^8$$

$c_6$  and  $c_8$  are independent

- naHEFT

$$V_{\text{BSM}}(\Phi) = \frac{1}{f_6^2} \left( |\Phi|^2 - \frac{v^2}{2} \right)^3 - \frac{1}{f_8^4} \left( |\Phi|^2 - \frac{v^2}{2} \right)^4$$

$$\frac{1}{f_6^2} = \frac{1}{f^2} \frac{1-2r}{1-r}, \quad \frac{1}{f_8^4} = \frac{\xi}{3} \kappa_0 \frac{\Lambda^4}{v^8} \frac{r^4}{(1-r)^2} \quad \frac{1}{f^2} = \frac{2}{3} \xi \kappa_0 \frac{\Lambda^4}{v^6} \frac{r^3}{1-r}.$$

$c_6$  and  $c_8$  are NOT independent