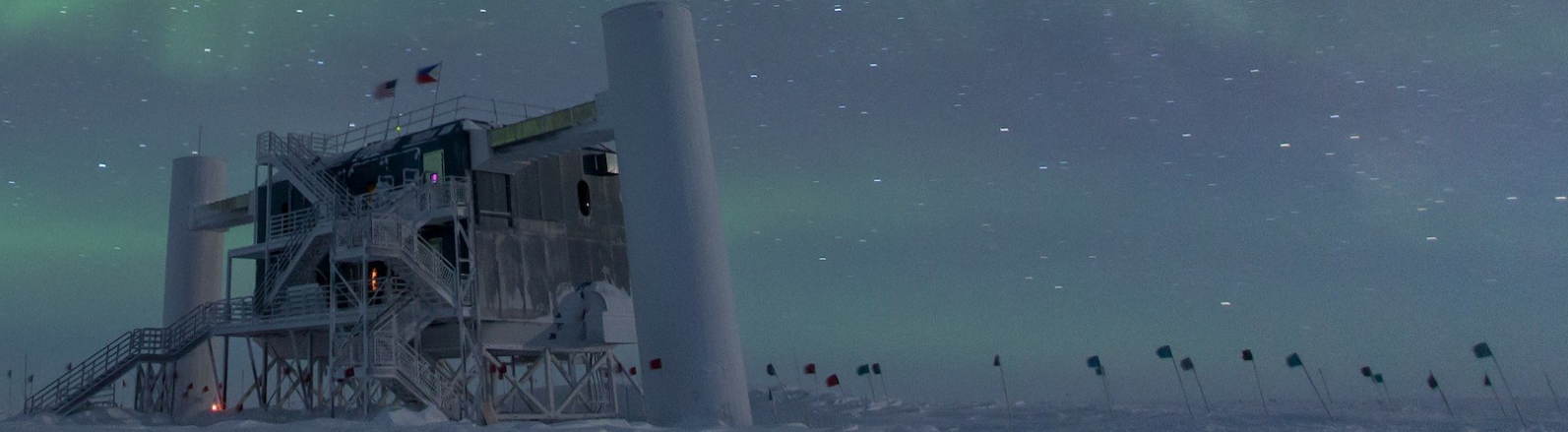


Search for Heavy Neutral Lepton Production and Decay with IceCube DeepCore



Leander Fischer
ICHEP Bologna, 09.07.2022

HELMHOLTZ



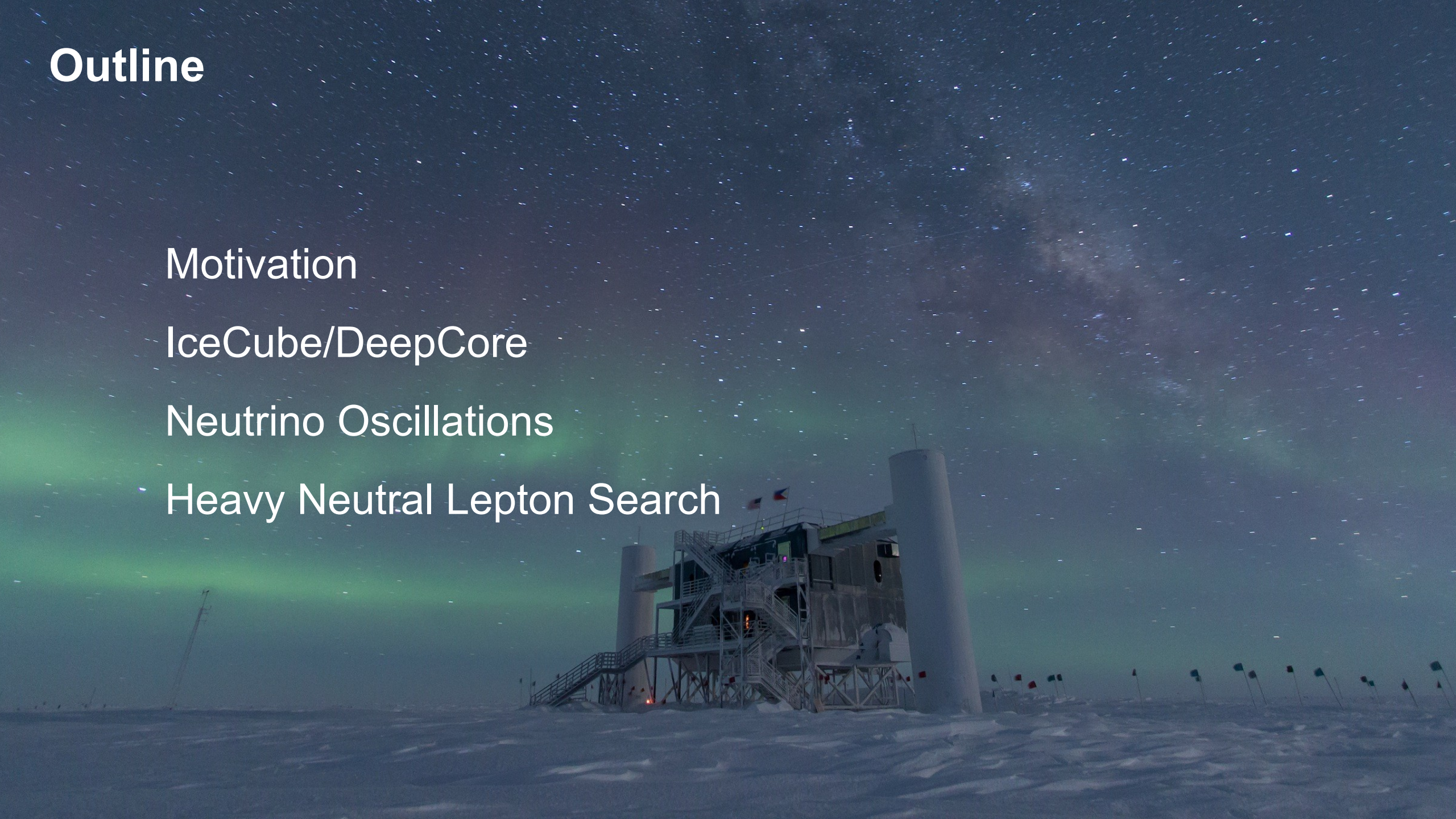
Outline

Motivation

IceCube/DeepCore

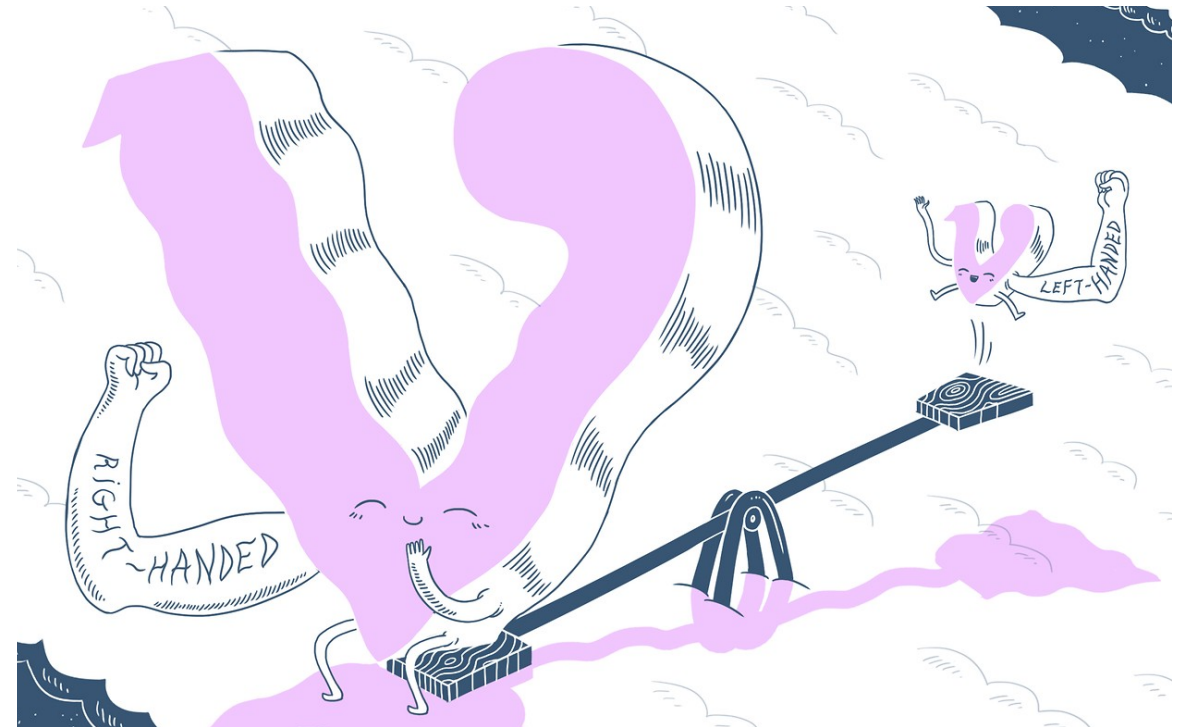
Neutrino Oscillations

Heavy Neutral Lepton Search

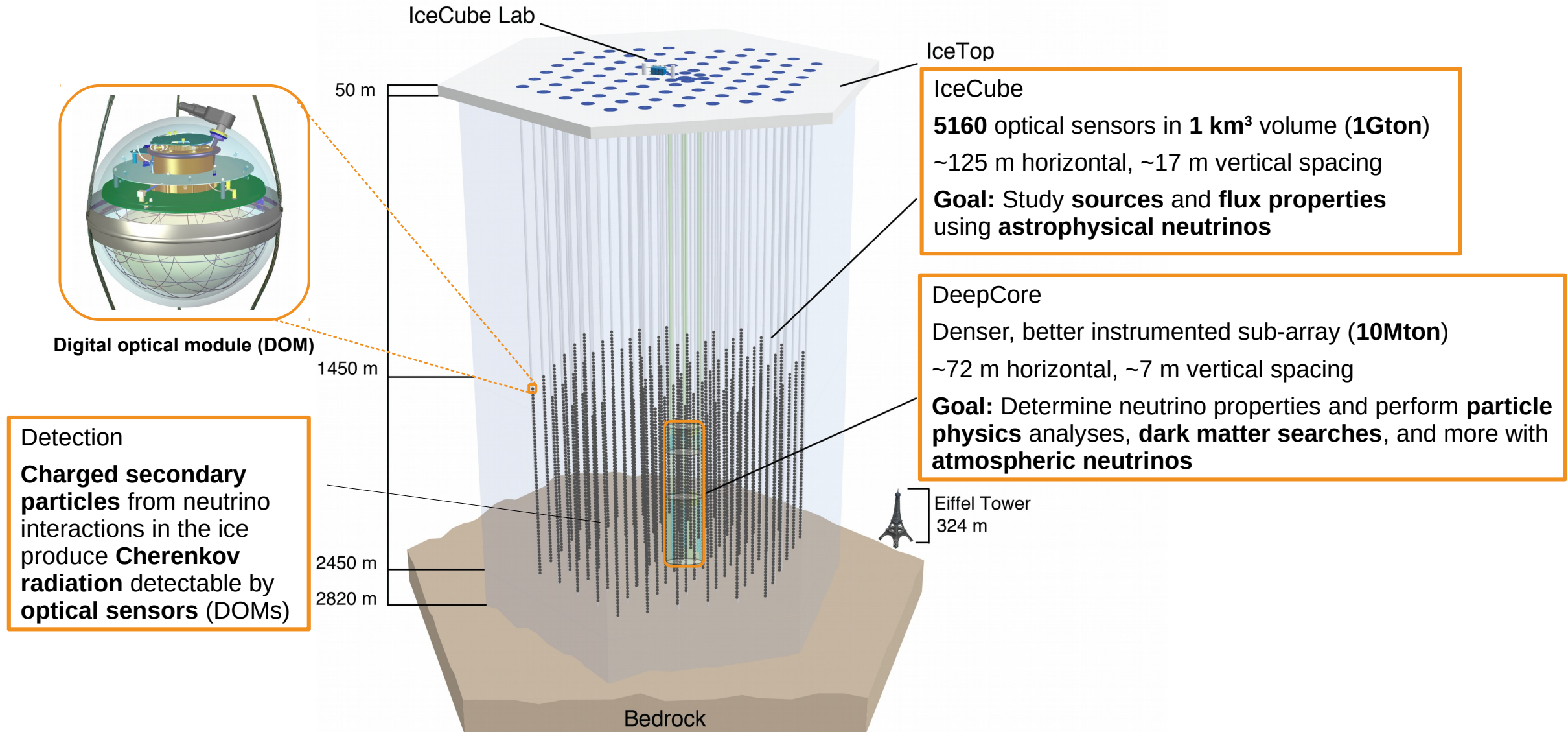


Motivation

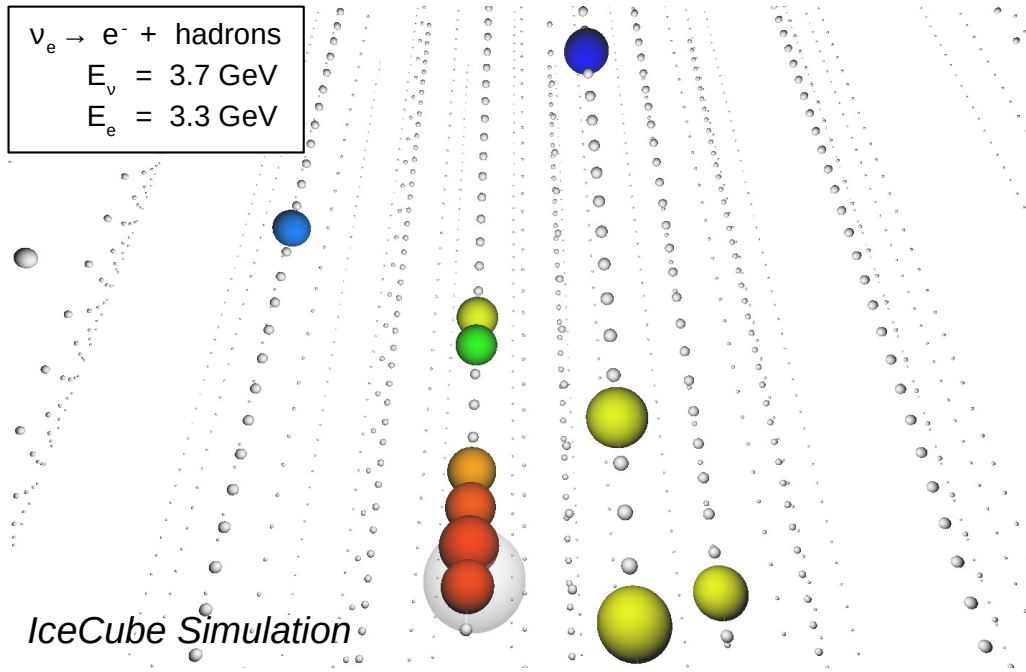
- Observation of neutrino oscillations proves that (at least two) neutrinos have mass:
 - SM extensions including **RH (sterile) neutrinos** provide a good explanation to the origin of neutrino masses (e.g. through seesaw mechanism)
- These **Heavy Neutral Leptons (HNLs)** could also explain many other open questions in cosmology and particle physics
 - Matter-antimatter asymmetry (leptogenesis) [arxiv:10.1142](https://arxiv.org/abs/10.1142)
 - Dark matter [arxiv:9303287v1](https://arxiv.org/abs/9303287v1)
 - Anomalies in oscillation experiments [arxiv:1906.02106v3](https://arxiv.org/abs/1906.02106v3)



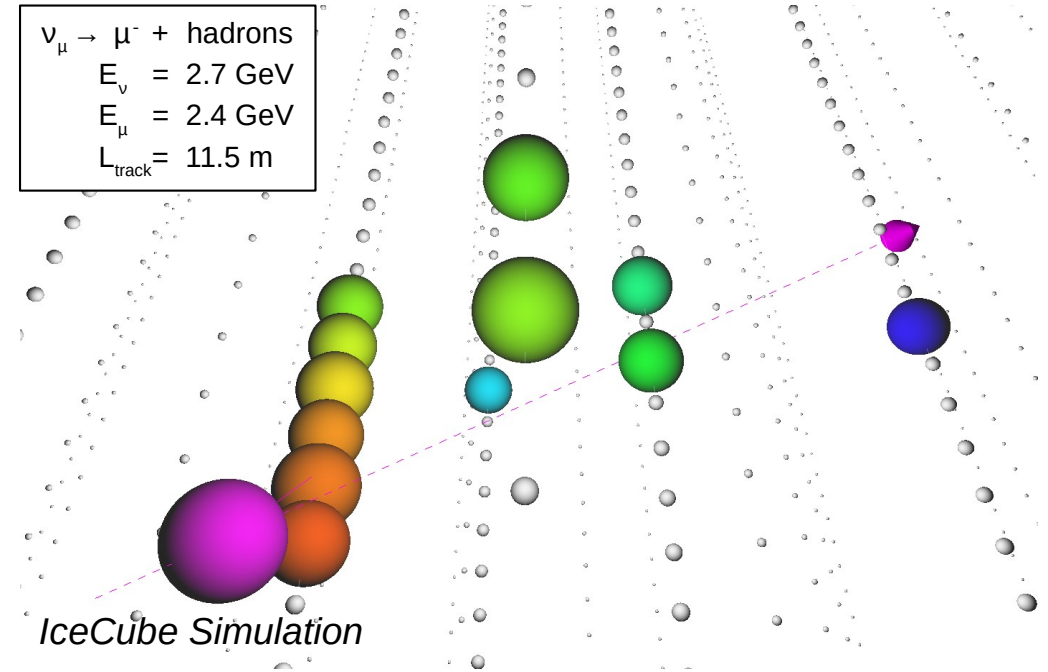
IceCube and DeepCore



(Very) Low Energy Event Topologies



Cascade
(ν_e/ν_τ Charged- and all ν Neutral-Current)



Track
(ν_μ Charged-Current)

3 Flavor Neutrino Oscillations

- Flavor eigenstates (ν_α) are superposition of mass eigenstates (ν_κ)

$$U_{PMNS}^{3 \times 3} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

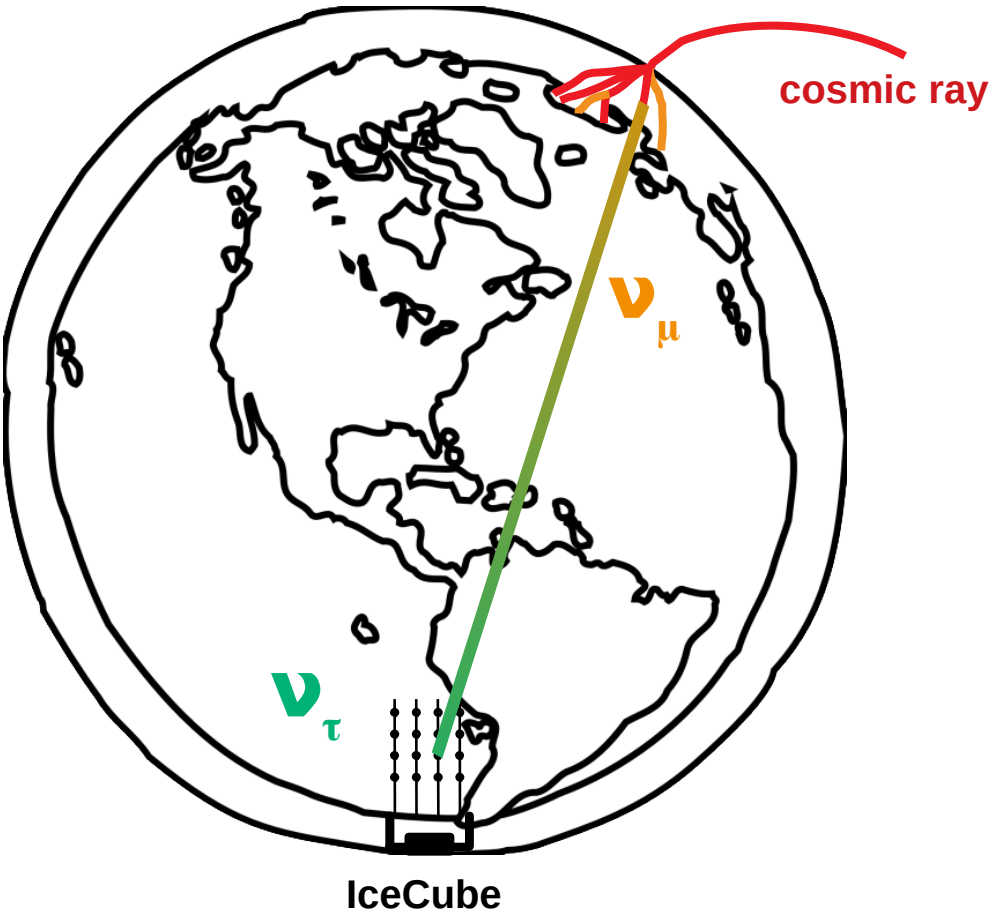
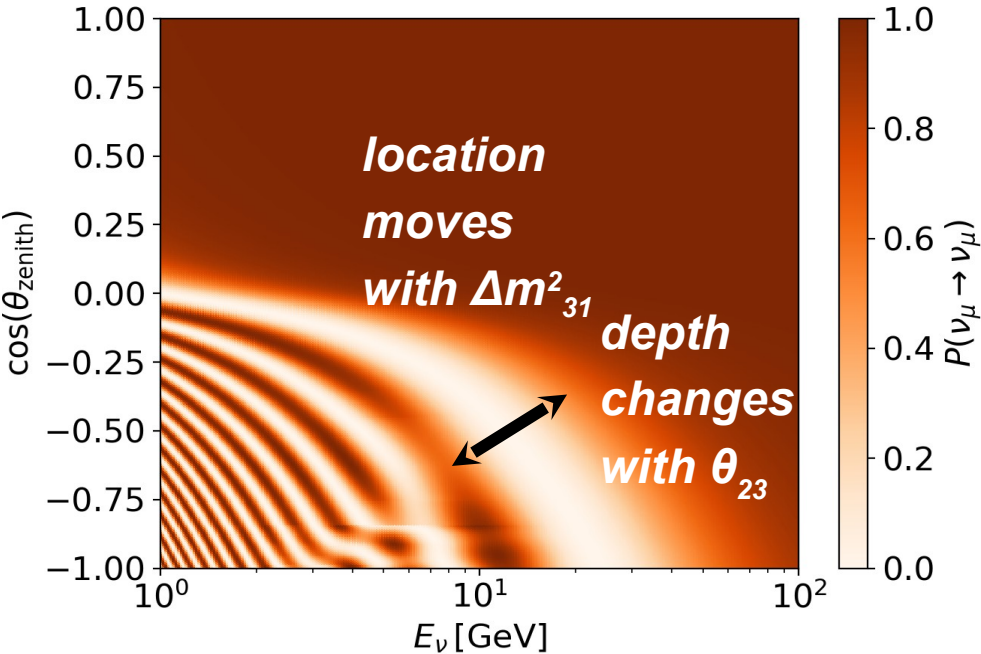
Can be parameterized as 3 mixing angles and 1 CP phase

Flavor (e, μ , τ)

$|\nu_\alpha\rangle = \sum U_{\alpha\kappa}^* |\nu_\kappa\rangle$

Mass (1, 2, 3)

- Neutrinos produced in the atmosphere propagate through earth and oscillate into other flavors (depending on squared mass splittings and mixings)



3 Flavor Neutrino Oscillations

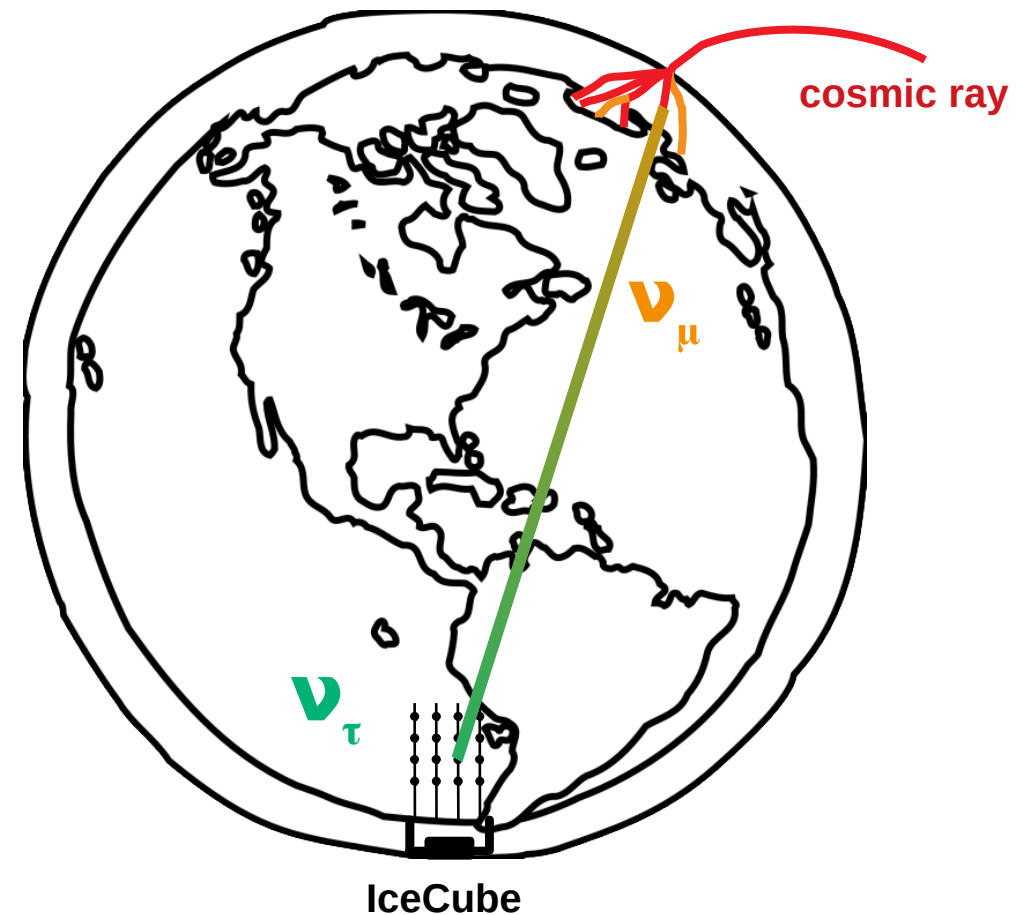
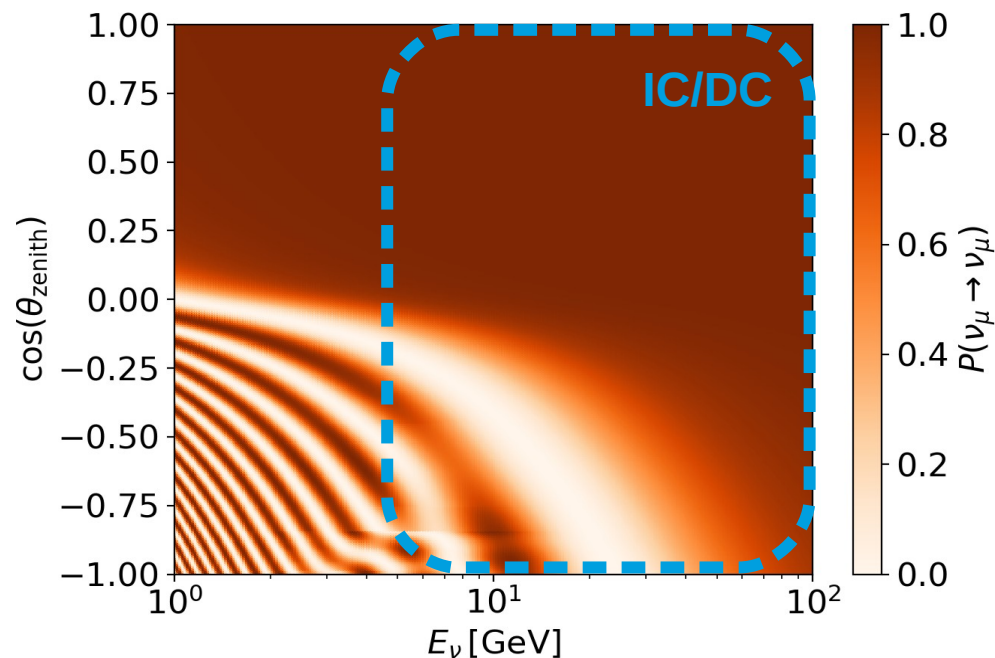
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Flavor (e, μ , τ) \rightarrow $|\nu_\alpha\rangle = \sum U_{\alpha\kappa}^* |\nu_\kappa\rangle$ \leftarrow Mass (1, 2, 3)

$$U_{PMNS}^{3 \times 3} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

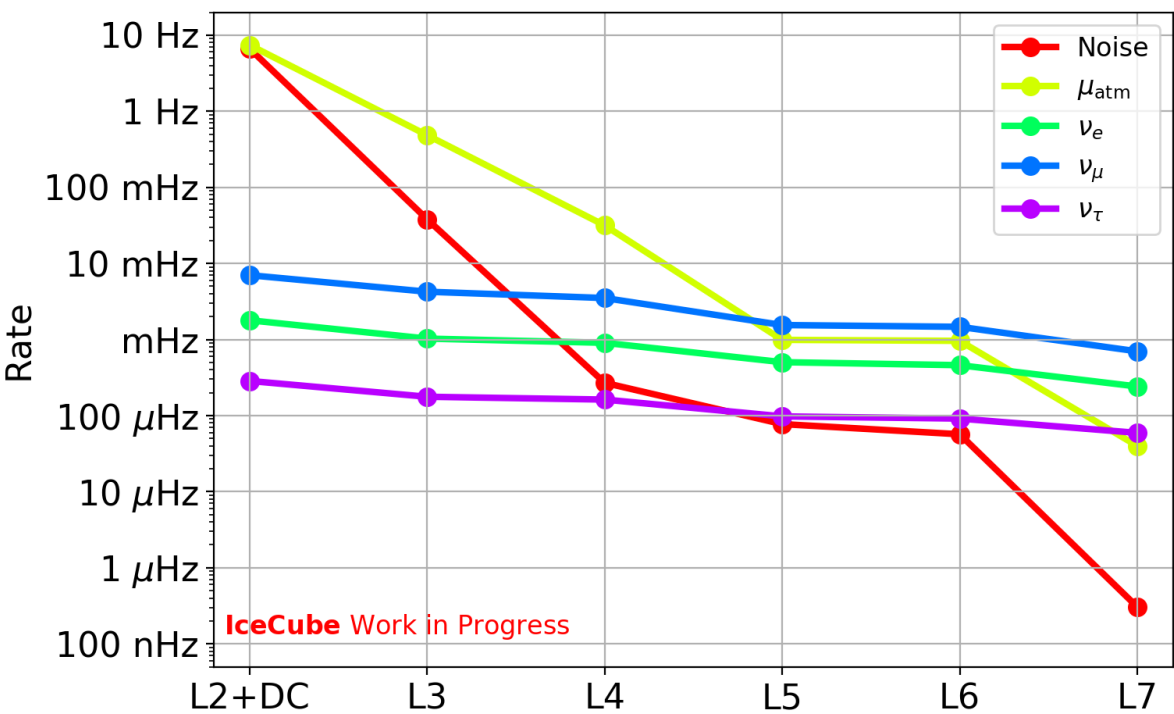
Can be parameterized as 3 mixing angles and 1 CP phase

- Neutrinos produced in the atmosphere propagate through earth and oscillate into other flavors (depending on squared mass splittings and mixings)



10 Year Data Sample

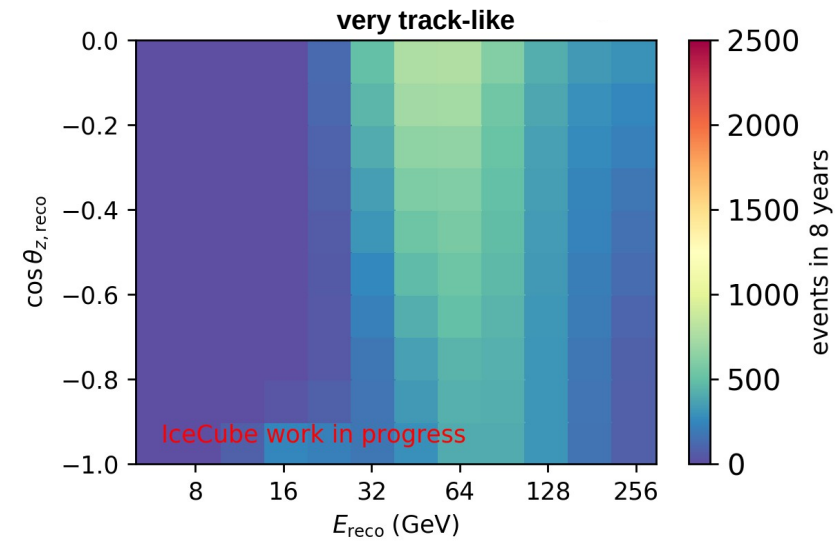
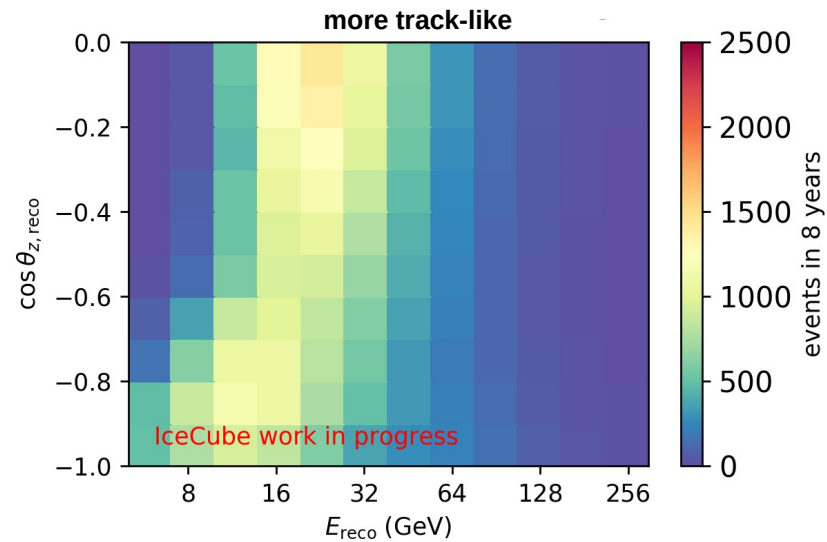
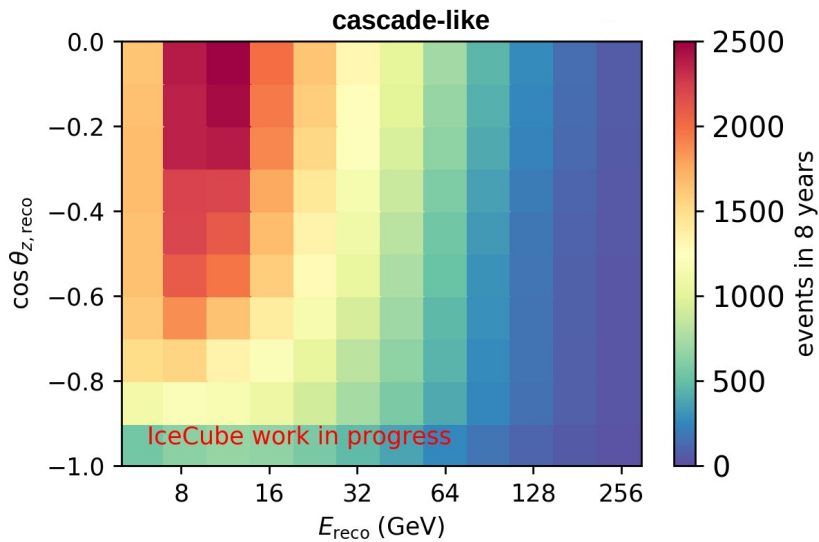
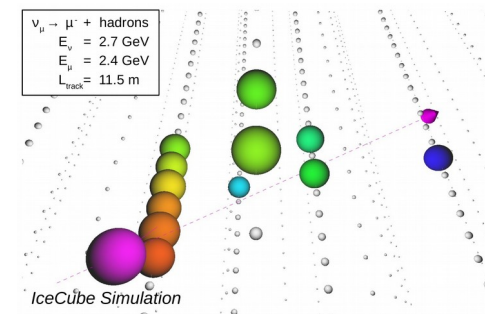
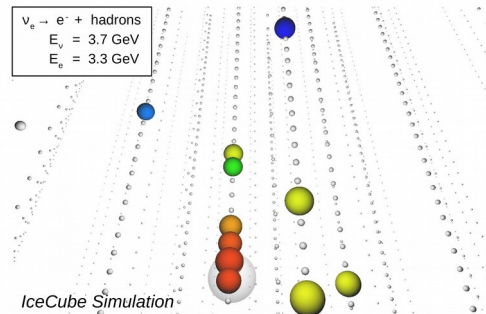
- Energy in range **5 GeV – 300 GeV**, mostly coming through earth, **up-going** ($\cos(\theta) < 0.3$)
- **Very low** atmospheric muon and noise contamination



Event type	Events (10 years)	Fraction
ν_e -CC	48234 ± 75	23%
ν_μ -CC	127725 ± 129	61%
ν_τ -CC	9678 ± 21	4.6%
ν -NC	22245 ± 51	10.6%
$\mu_{\text{atm.}}$	1463 ± 87	0.7%
Noise	~ 0	<0.03%
Total	209346 ± 182	

Measuring Oscillations with IceCube/DeepCore

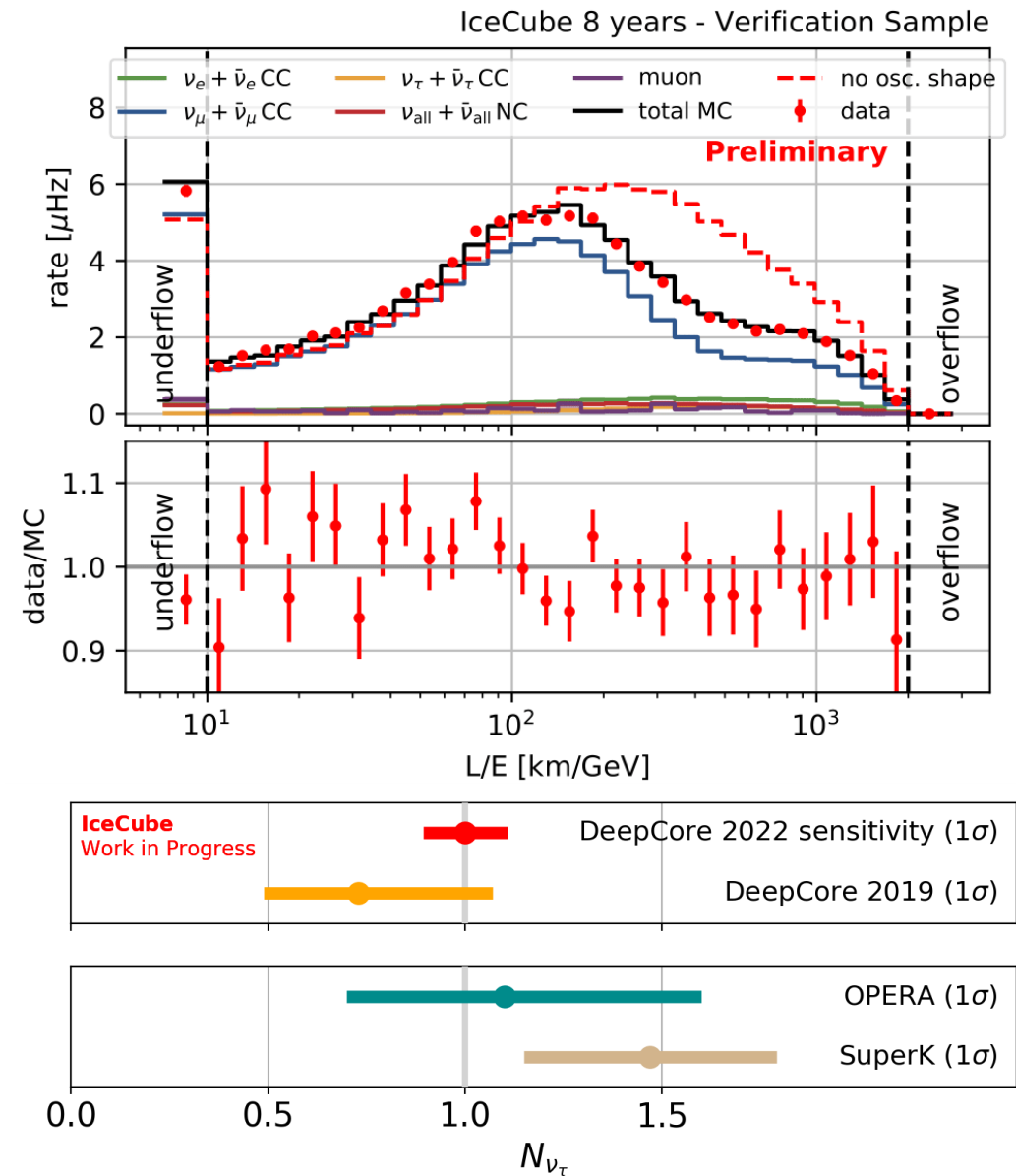
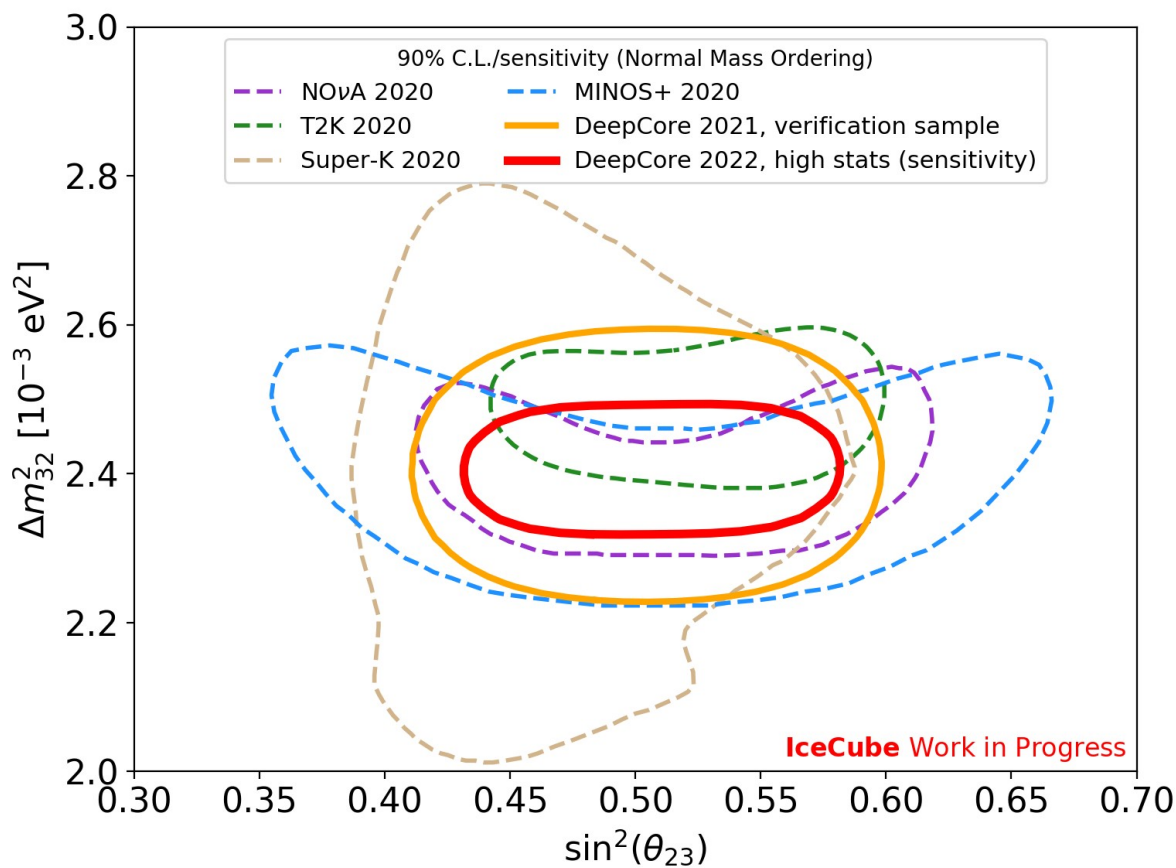
- Observe distortions in 3-d analysis bins (energy, zenith, PID)
- PID aims to distinguish ν_μ -CC (tracks) from other events (cascades)



Measuring Oscillations: Latest and Upcoming Results

- Latest atmospheric neutrino mixing results using “golden” sub-sample (yellow):

$\sin^2(\Theta_{23}) = 0.505, \Delta m_{32}^2 = 2.41\text{e-3 eV}^2$



Heavy Sterile Neutrino (HNL) Model

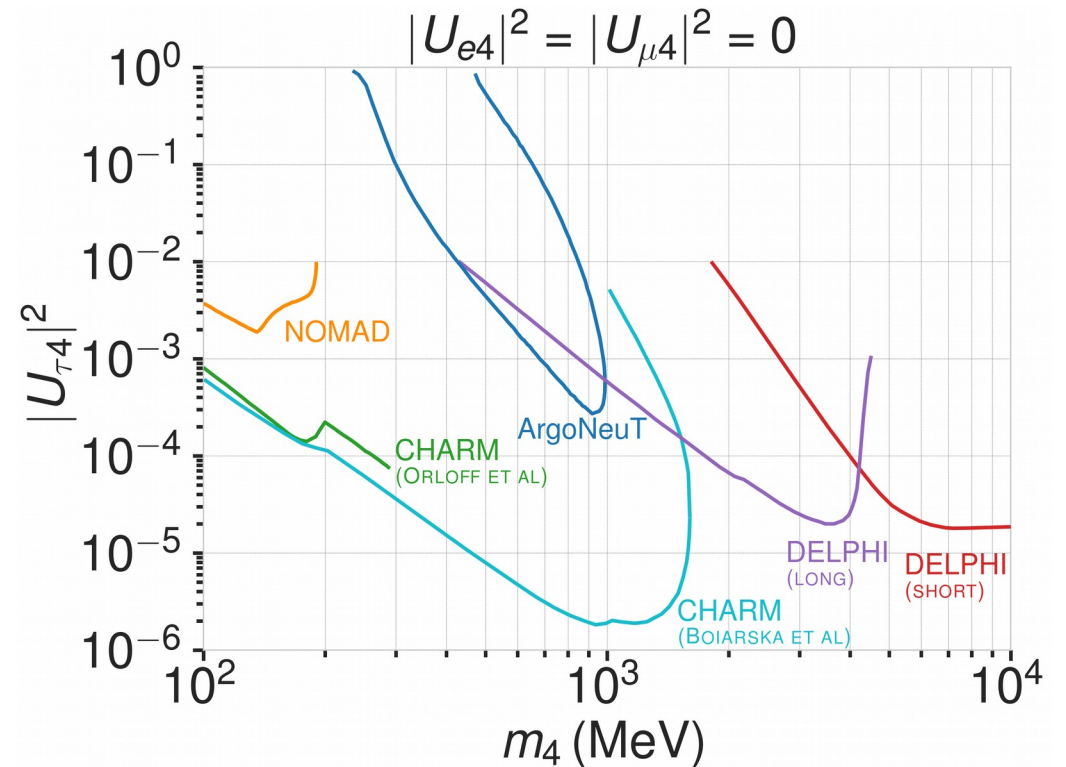
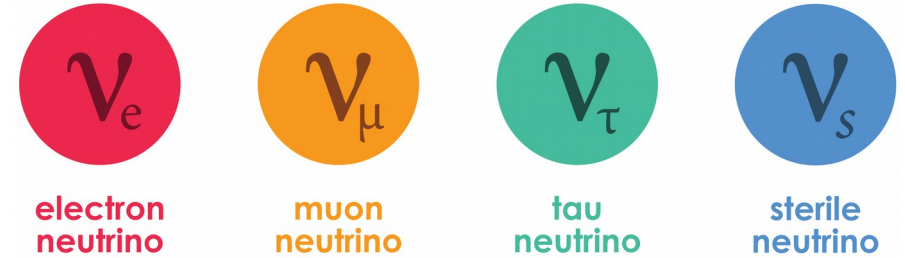
- Additional fourth **heavy mass state** ν_4

Flavor (e, μ , τ , s) Mass (1, 2, 3, 4)

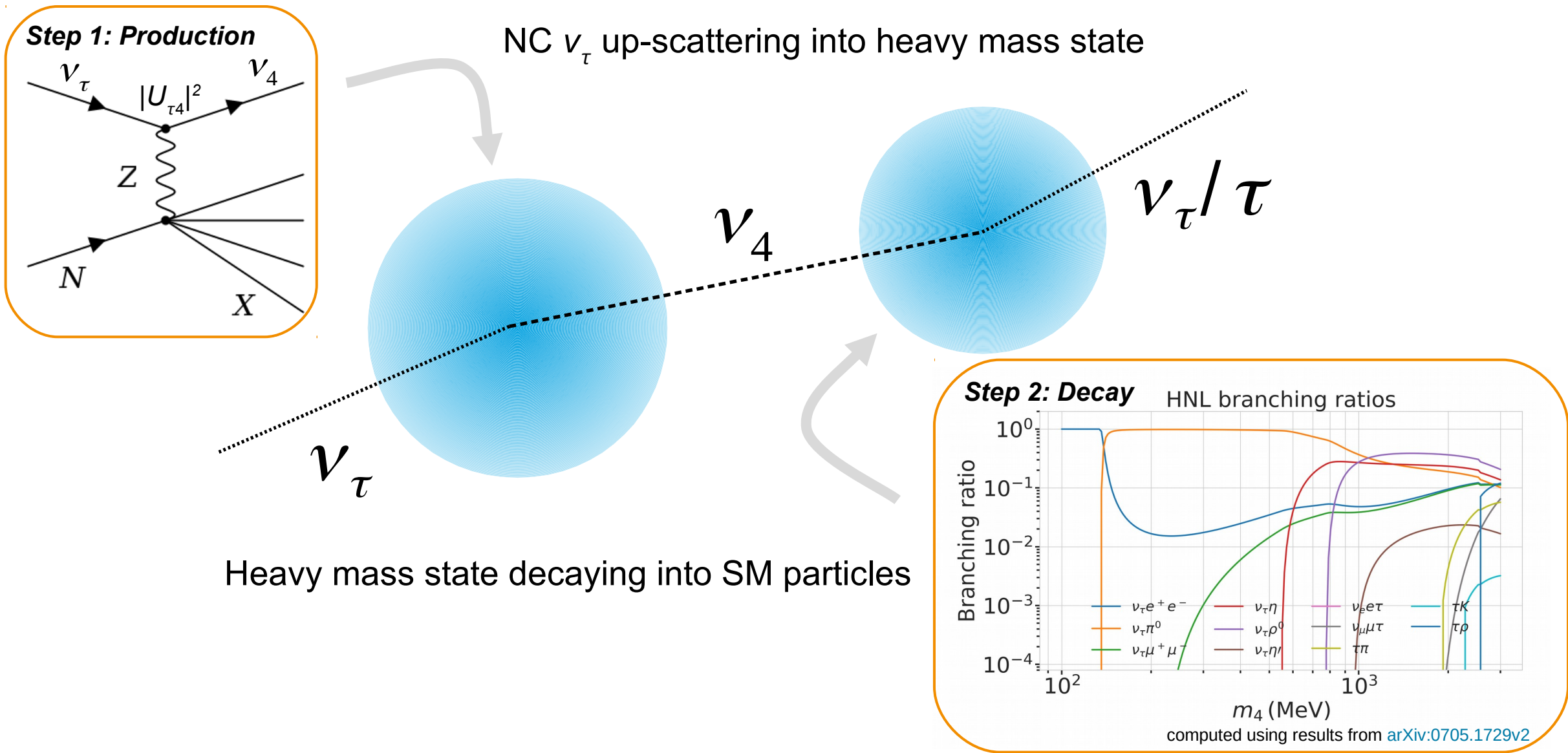
$$|\nu_\alpha\rangle = \sum U_{\alpha\kappa}^* |\nu_\kappa\rangle$$

$U_{PMNS}^{4 \times 4}$

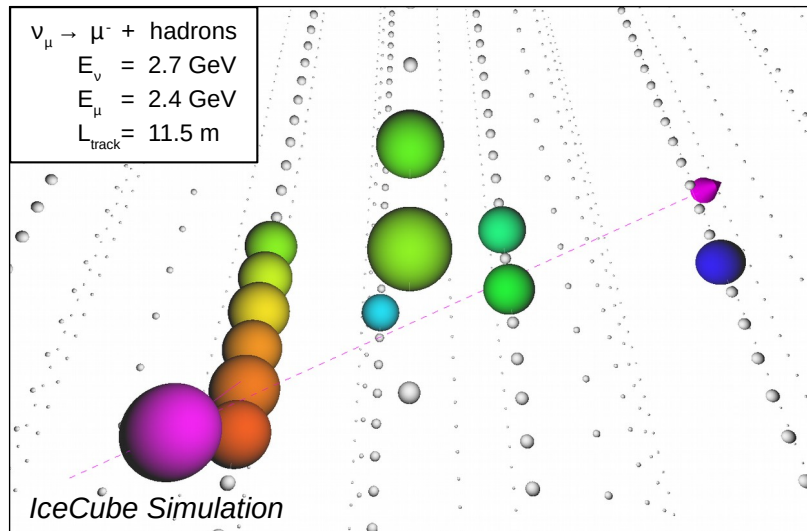
- Mixing with Standard Model neutrinos through extended **4x4 mixing matrix** (3 new mixing angles, 2 new CP phases)
- Neutrino oscillations deliver atmospheric ν_τ beam
 - focus on $|U_{\tau 4}|^2$ mixing, other parameters already very well constrained: $O_e(10^{-5}-10^{-7})$, $O_\mu(10^{-6}-10^{-9})$ around 1 GeV mass



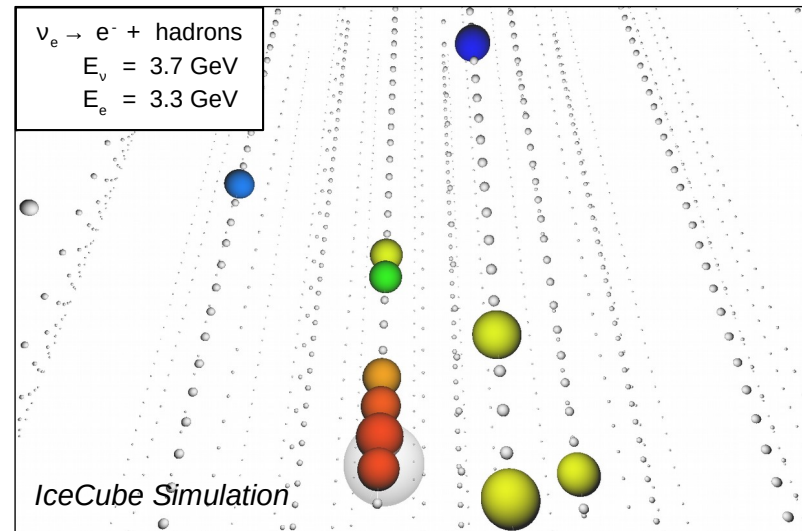
HNL Event Signature – Double Cascade



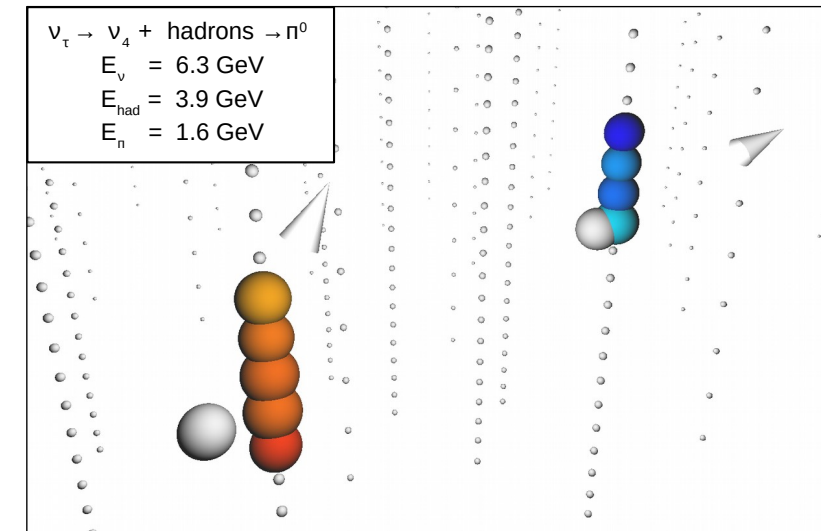
(Very) Low Energy Event Topologies



Track
(ν_μ -CC)

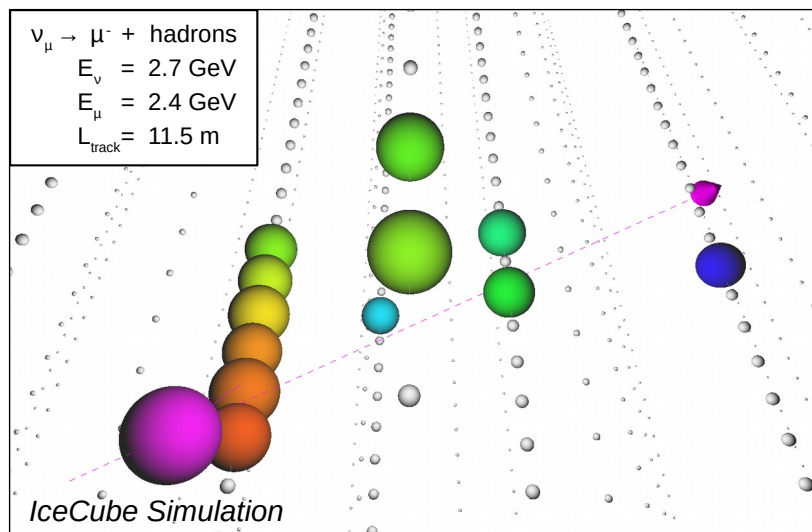


Cascade
(ν_e/ν_τ -CC and all ν NC)

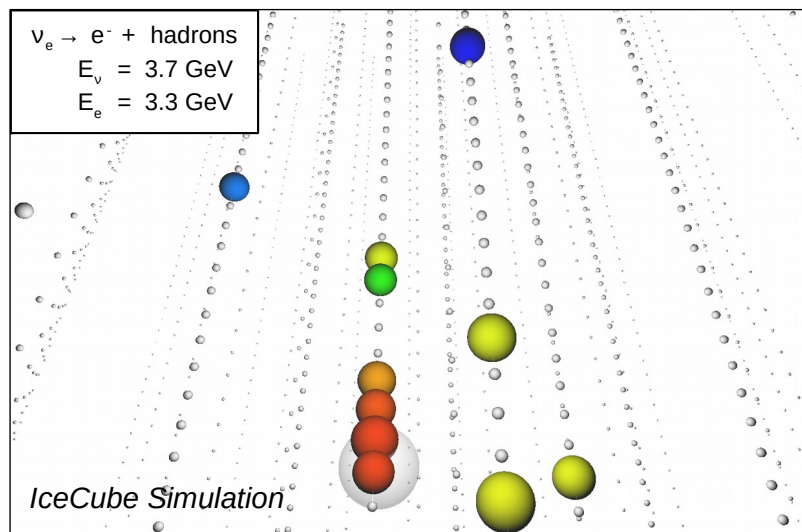


Double Cascade
(HNL)

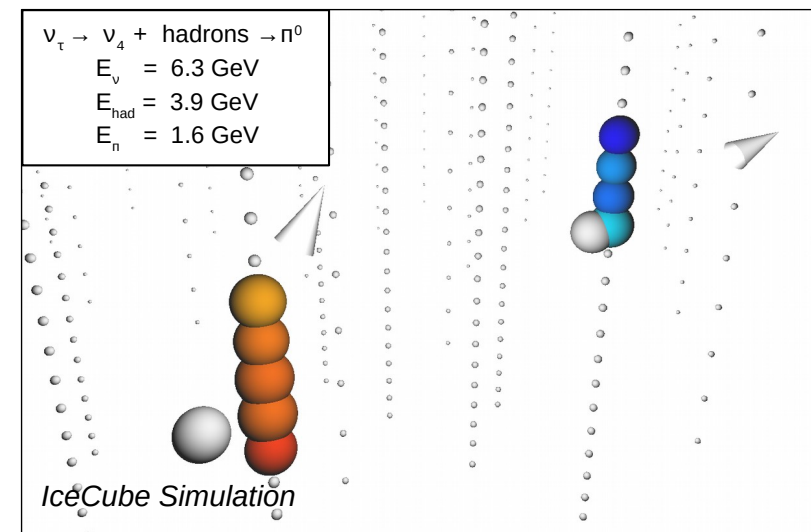
(Very) Low Energy Event Topologies



Track
(ν_μ -CC, ideal case)



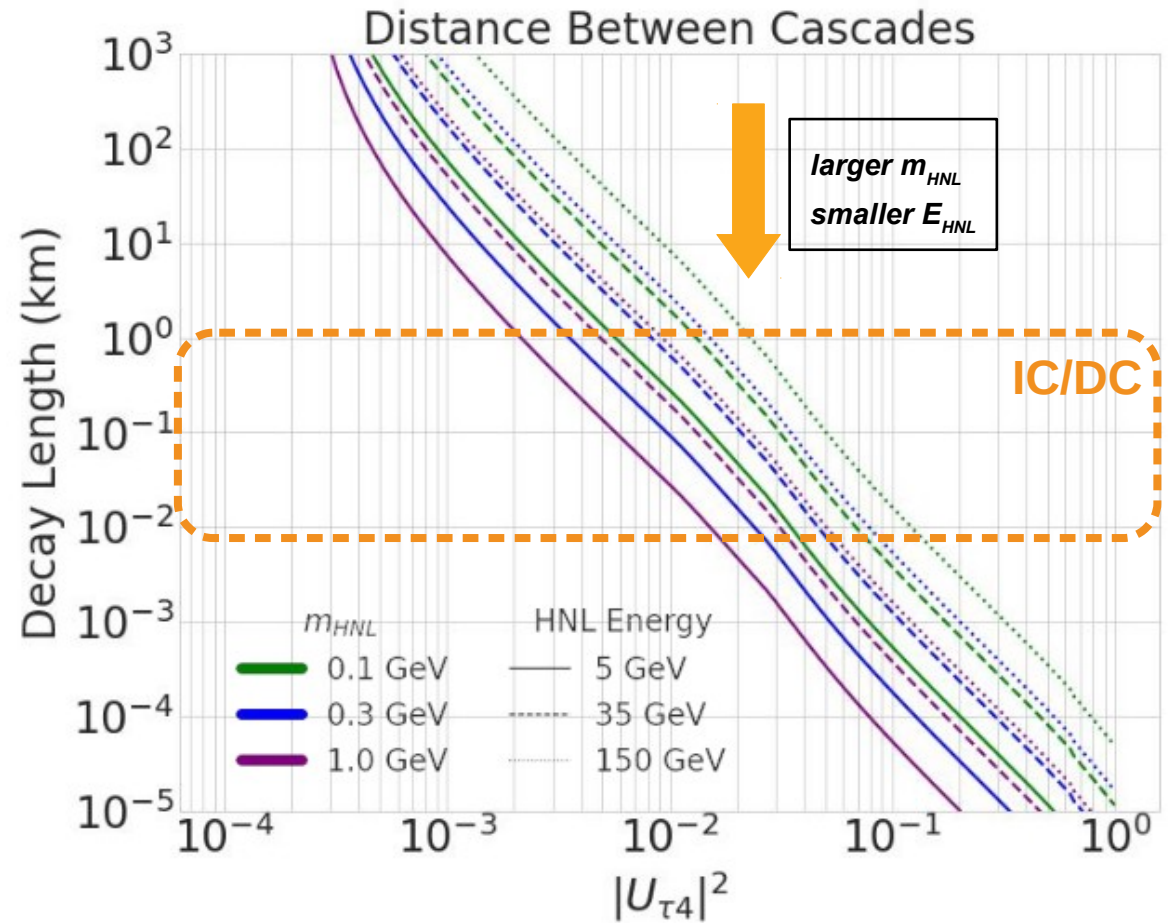
Cascade
(ν_e/ν_τ -CC, all ν NC,
some ν_μ -CC, many HNL)



Double Cascade
(HNL, ideal case)

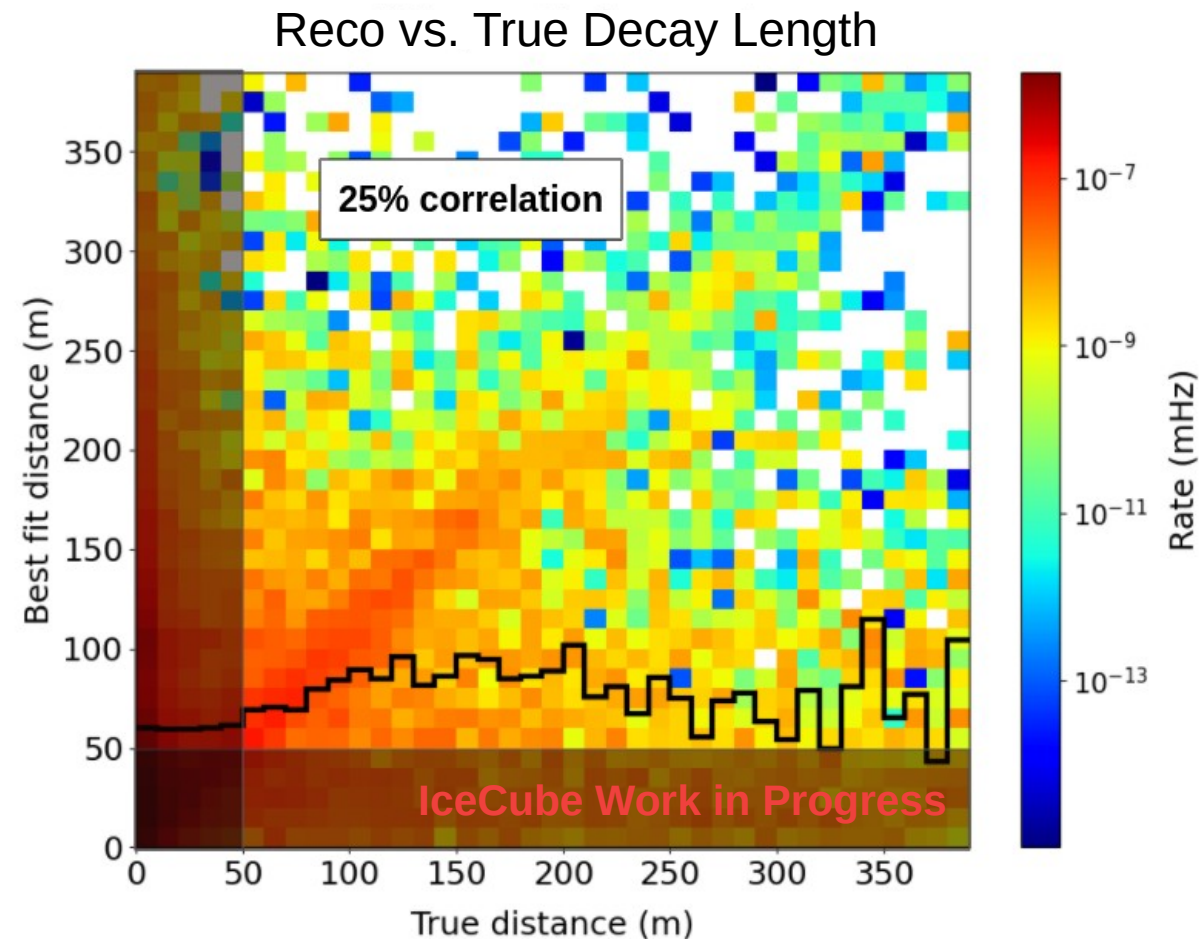
HNL Analysis

- Oscillated atmospheric ν_τ events (~ 2.5 k in 10 years) provide opportunity to look for HNL events
- Dedicated HNL signal simulation (ν_τ -production channel) is being refined
- Low energy double cascade reconstruction is being optimized

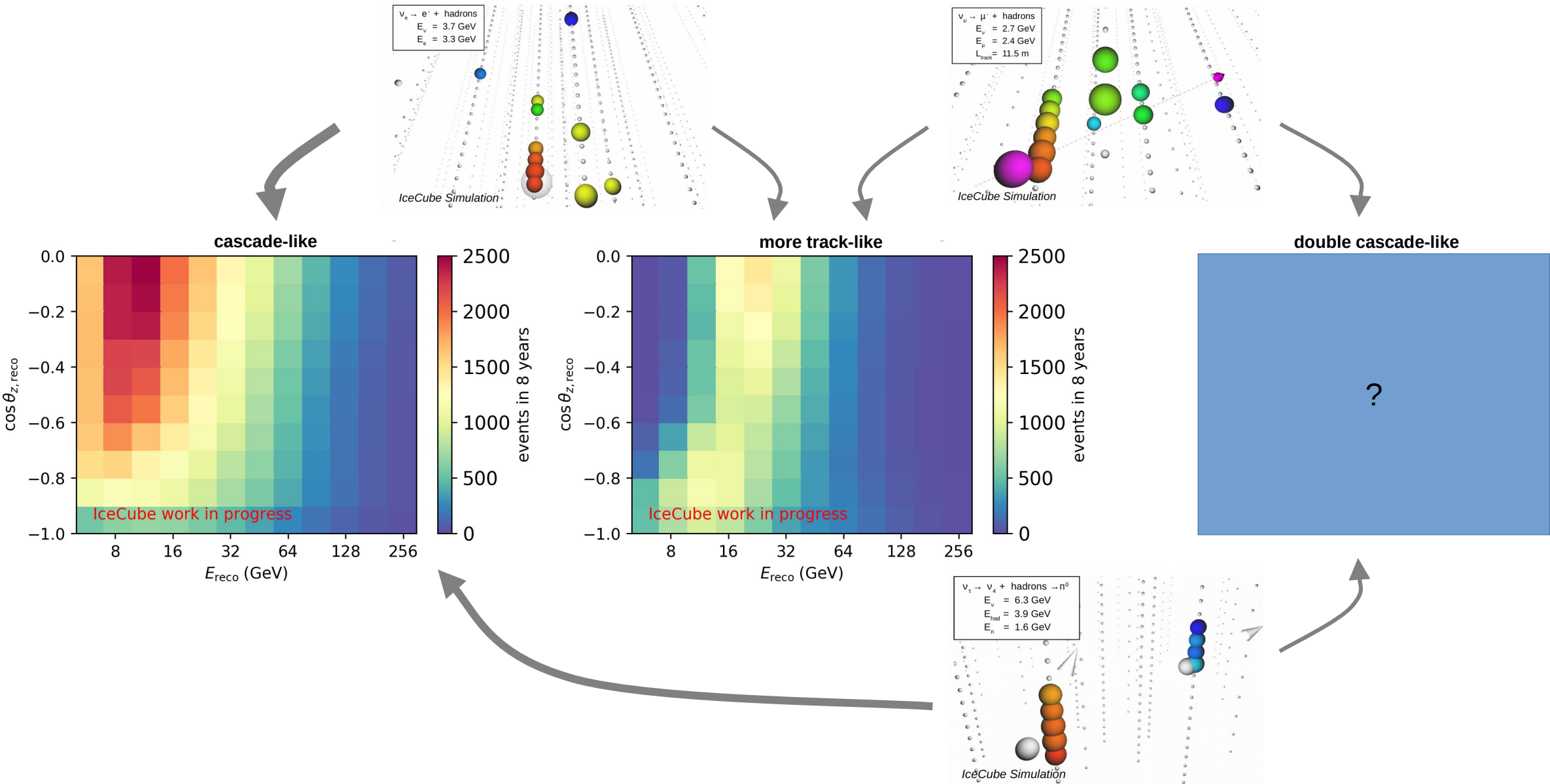


HNL Analysis

- Oscillated atmospheric ν_τ events (~ 2.5 k in 10 years) provide opportunity to look for HNL events
- Dedicated HNL signal simulation (ν_τ -production channel) is being refined
- Low energy double cascade reconstruction is being optimized
- Takeaway:
 - Few HNLs resolvable as double cascades (model effects/low energy/detector sparsity)
 - Intrinsic background (other flavors) can mimic double cascade signature (detector sparsity)
 - Isolating pure double-cascade is quite challenging



HNL Analysis Principle

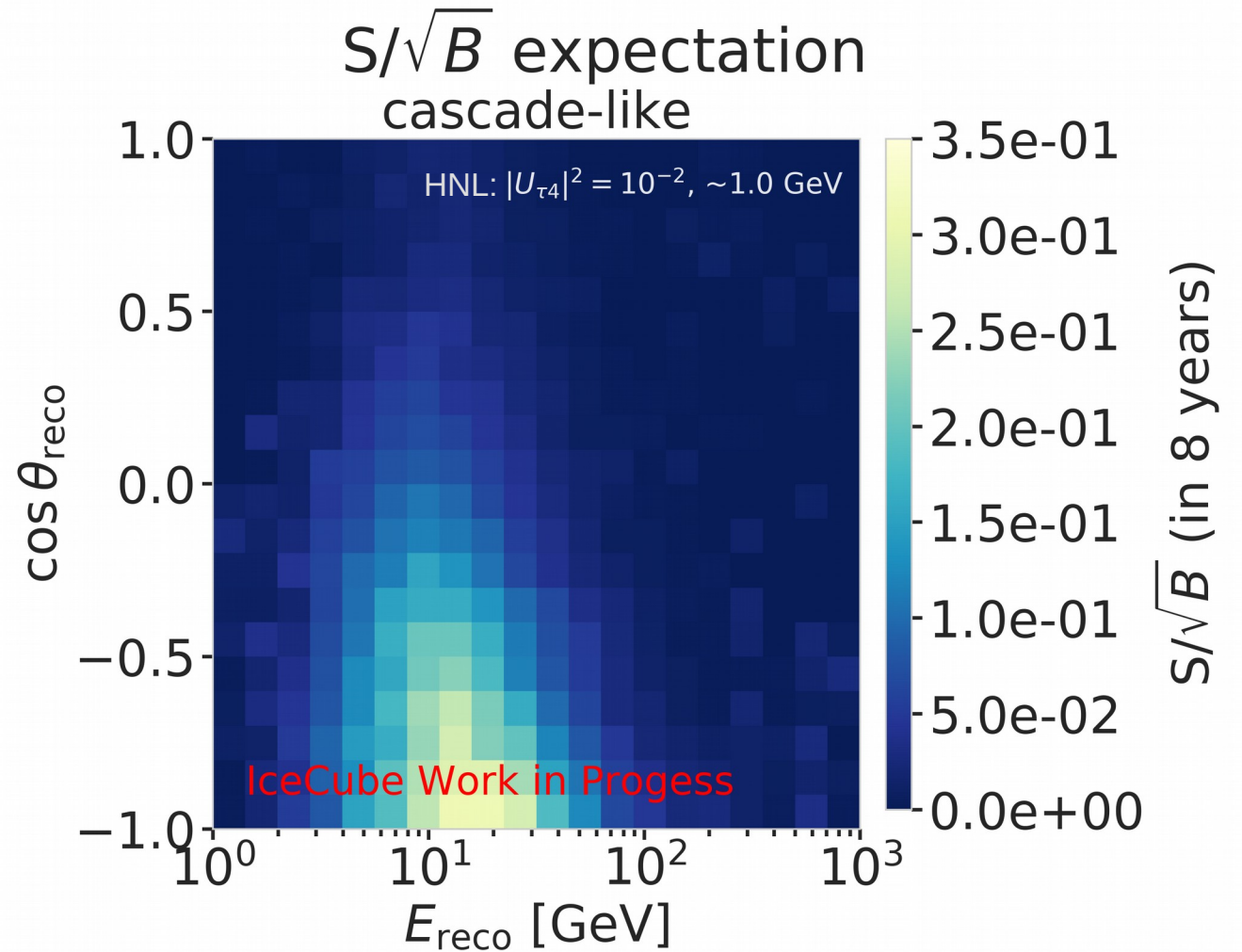


HNL Analysis Outlook

- Increasing $|U_{\tau 4}|^2$ increases HNL *signal* events on top of SM neutrino *background*
 - test $|U_{\tau 4}|^2$ for a few discrete HNL masses
 - produce first (ever) IceCube HNL result using 10 years of data

HNL Analysis Outlook

- Increasing $|U_{\tau 4}|^2$ increases HNL *signal* events on top of SM neutrino *background*
 - test $|U_{\tau 4}|^2$ for a few discrete HNL masses
 - produce first (ever) IceCube HNL result using 10 years of data
- Preliminary expectation for $|U_{\tau 4}|^2=10^{-2}$, $m_{\text{HNL}}=1$ GeV:
 - Approximately 73%, 25%, and 2% would end up in the standard oscillation cascade-, more track-, and very track-like bin

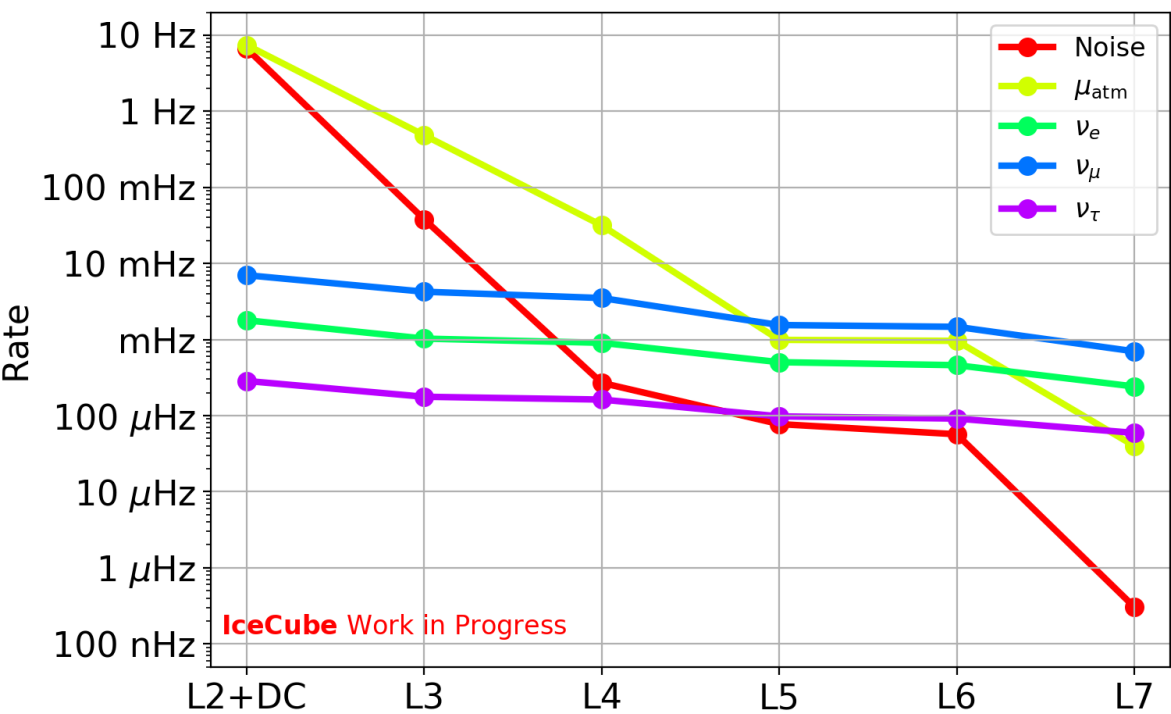


Backup



10 Year Data Sample

- Energy in range **5 GeV – 300 GeV**, mostly up-going e.g. coming through earth (**$\cos(\theta) < 0.3$**)
- **Very low** atmospheric muon and noise contamination

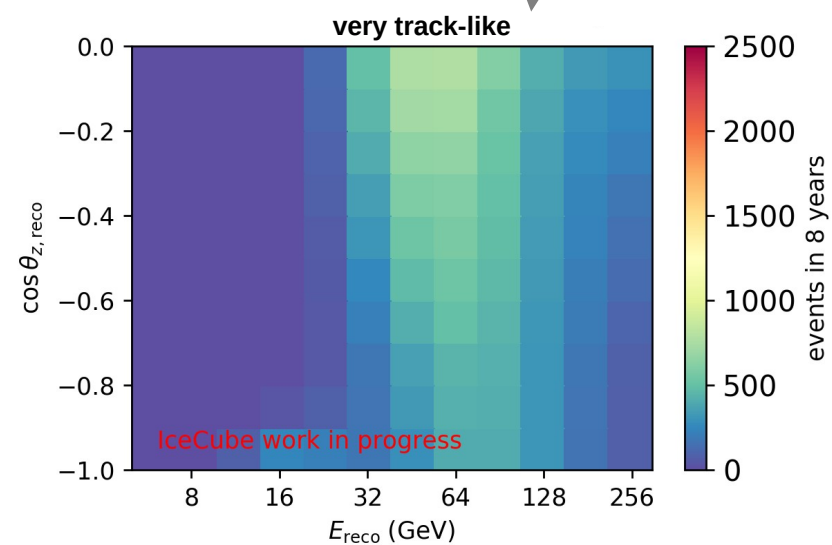
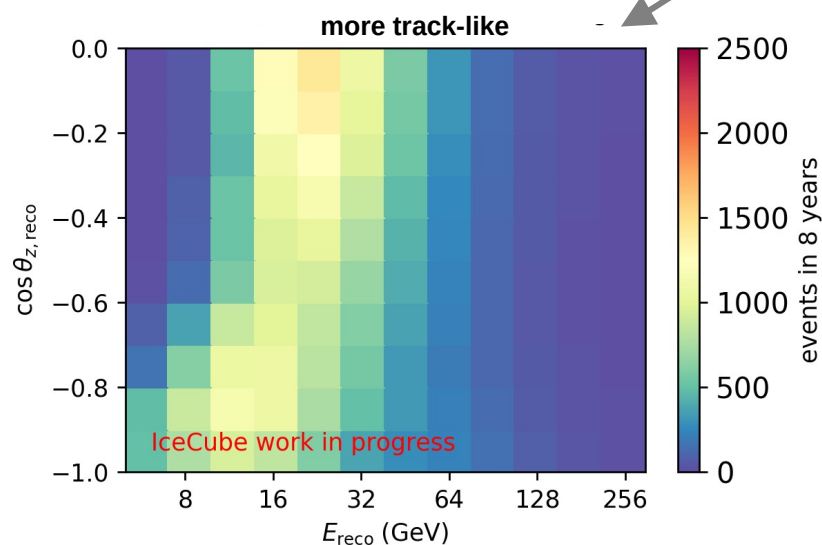
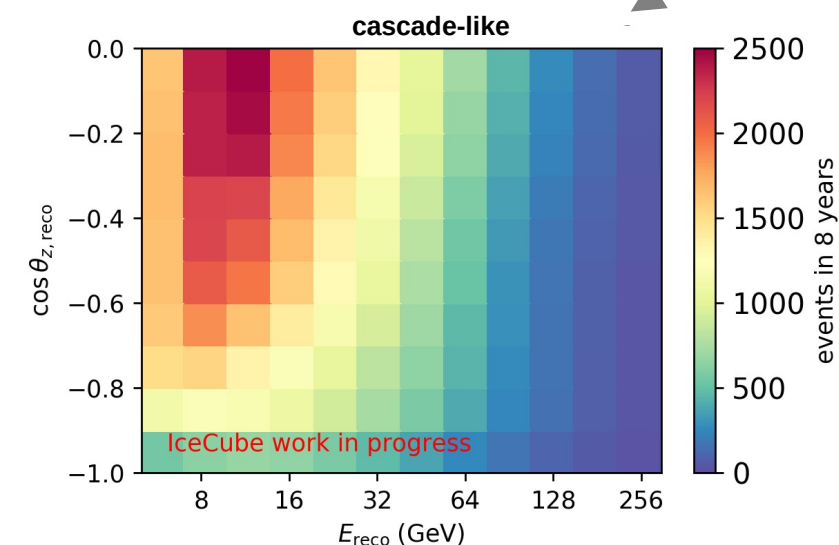
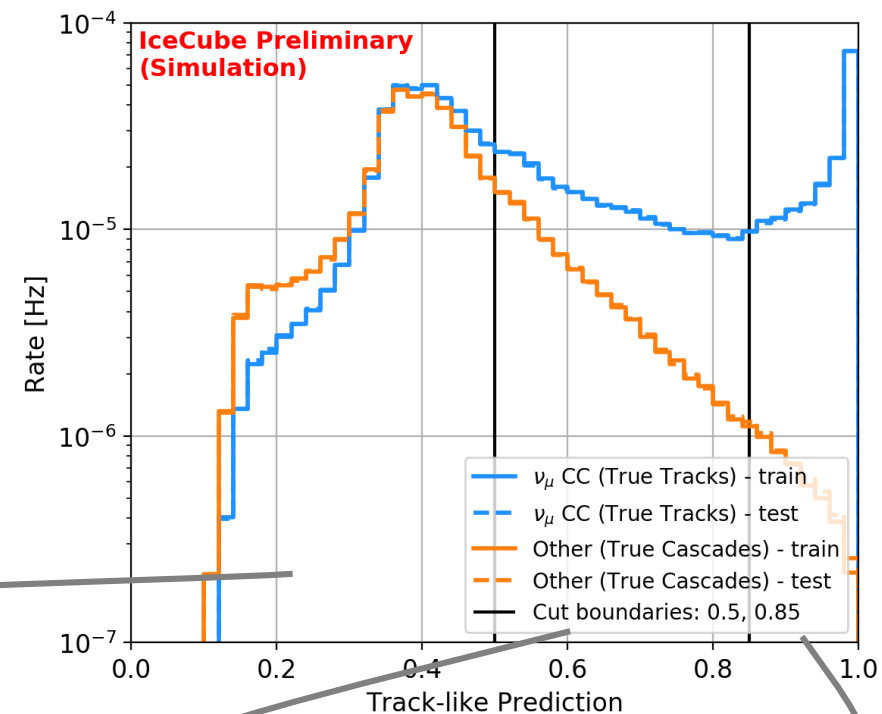


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$\mu_{\text{atm.}}$	1463 ± 87	0.7%
Noise	~ 0	<0.03%
Total	209346 ± 182	

16%/72%/12% from $\nu_e/\nu_\mu/\nu_\tau$

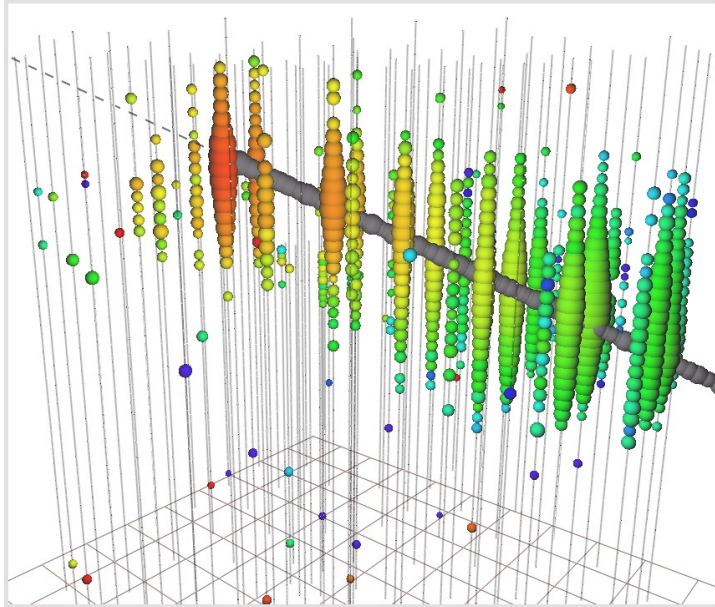
Measuring Oscillations – PID

- BDT to distinguish tracks and cascades
 - Trained on reconstructed quantities like energy, tracklength and track, cascade
 - Trained on up-going, un-oscillated events



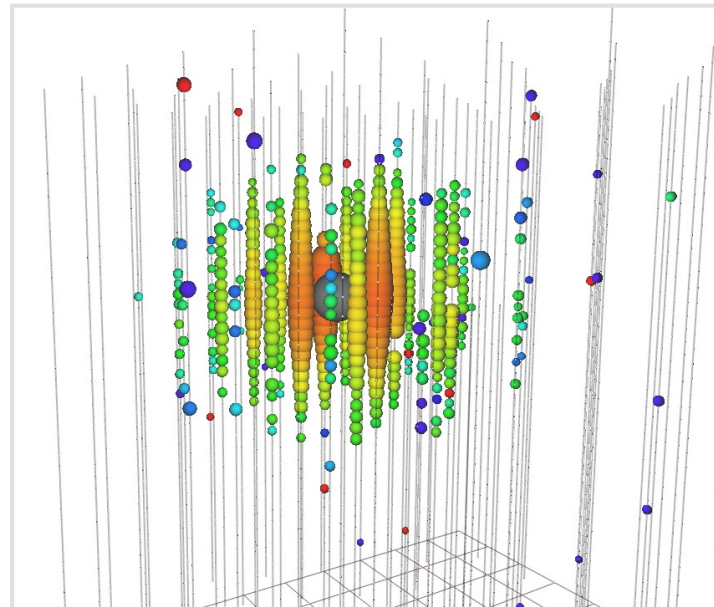
Measuring Oscillations – PID

~PeV energies!!



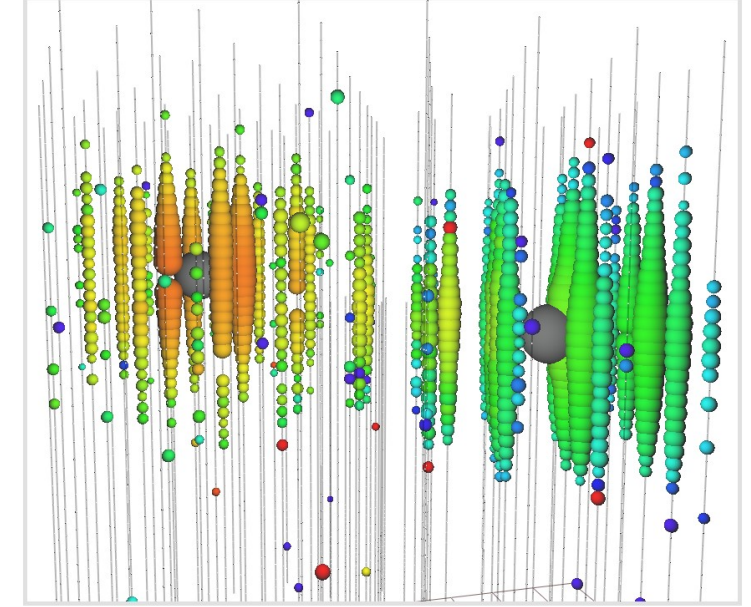
$$\nu_{\mu} + N \rightarrow \mu^{-} + X$$

Track



$$\begin{aligned} \nu_x + N &\rightarrow \nu_x + X \\ \nu_e + N &\rightarrow e^{-} + X \end{aligned}$$

Cascade

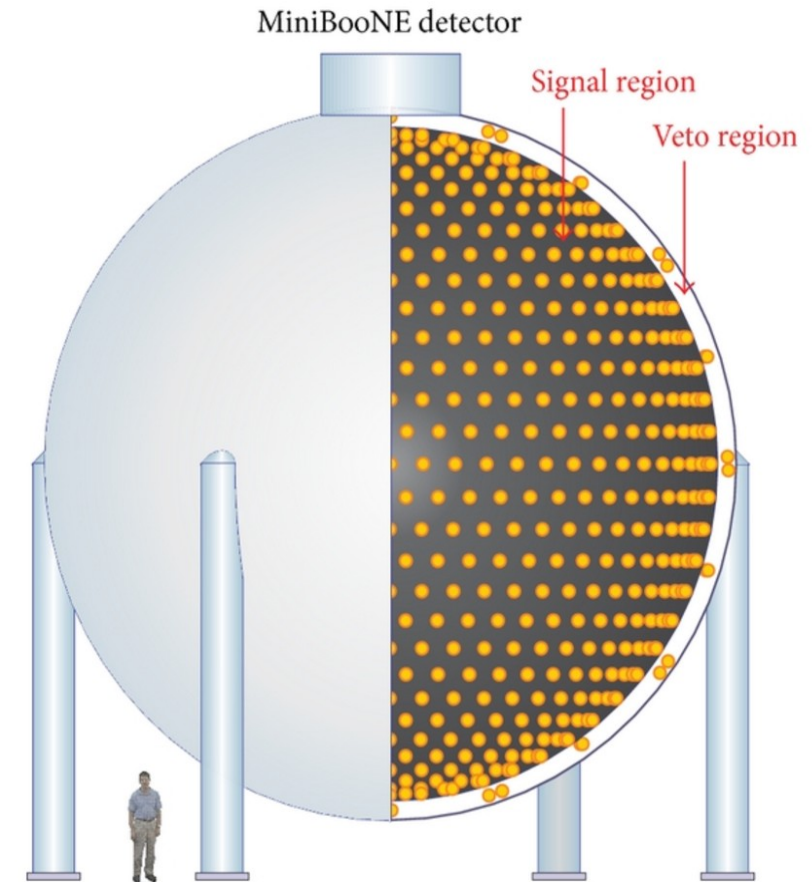


$$\begin{aligned} \nu_{\tau} + N &\rightarrow \tau^{-} + X \\ \tau^{-} &\rightarrow \nu_{\mu,e} + \mu^{-}/e^{-} + \nu_{\tau} \text{ or} \\ \tau^{-} &\rightarrow \nu_{\tau} + \pi^{-}/K^{-} + X \end{aligned}$$

Double Cascade

MiniBooNE

- Most experimental results are consistent with oscillations in three neutrinos
 - **But:** There are unexplained experimental anomalies like the MiniBooNE low energy excess (LEE)
- **MiniBooNE:**
 - Intense neutrino beam (Booster Neutrino Beam, Fermilab)
 - Pure mineral oil (CH_2) Cherenkov/scintillation detector
 - Measured $\nu_e/\bar{\nu}_e$ CCQE events from ν_μ beam



MiniBooNE Detector

MiniBooNE low energy excess

- 18.75×10^{20} protons-on-target (neutrino mode)
- Total excess of 638.0 ± 132.8 electron-like events (4.8σ)
- Energy range: $200 \text{ MeV} < E < 1250 \text{ MeV}$

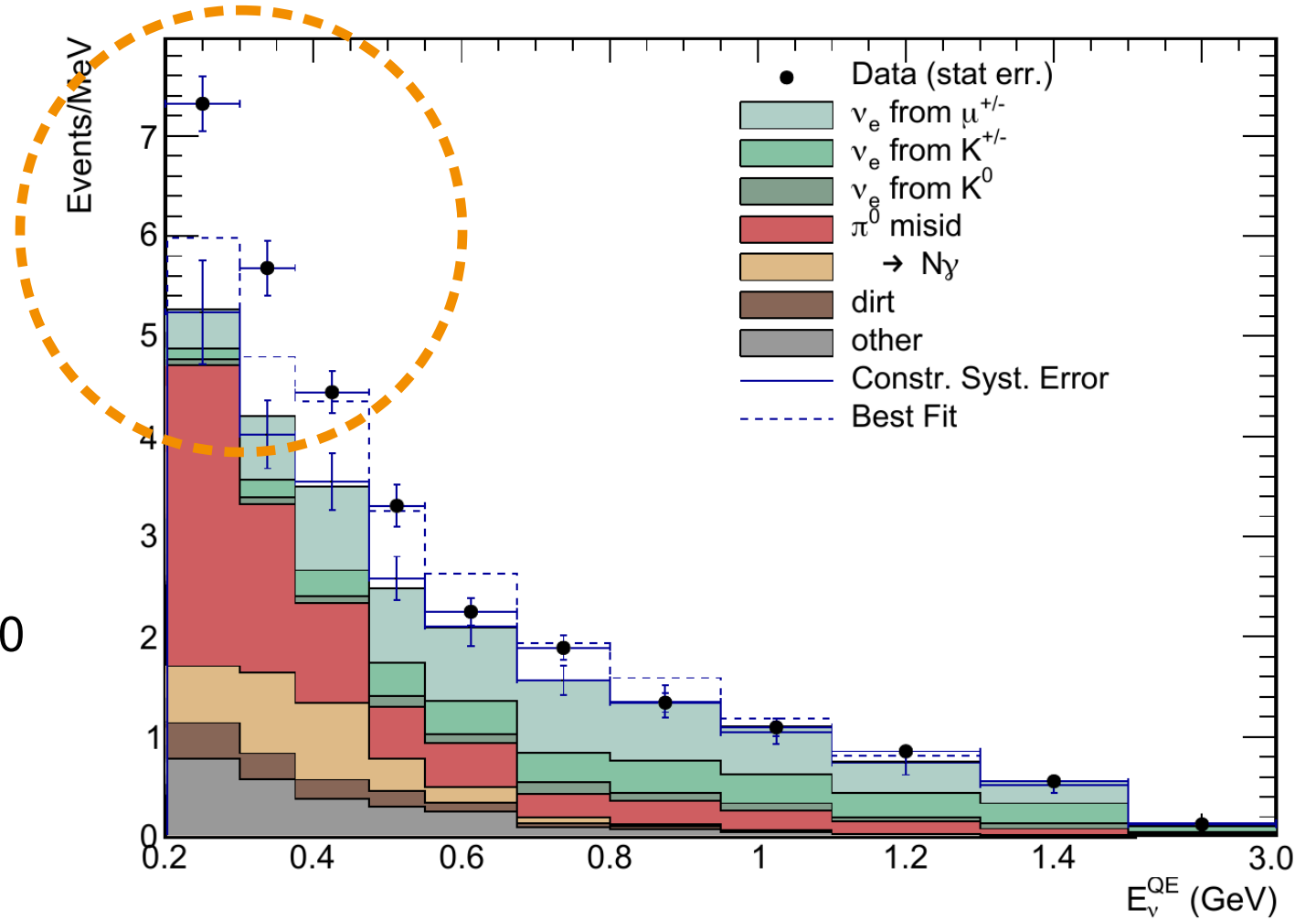
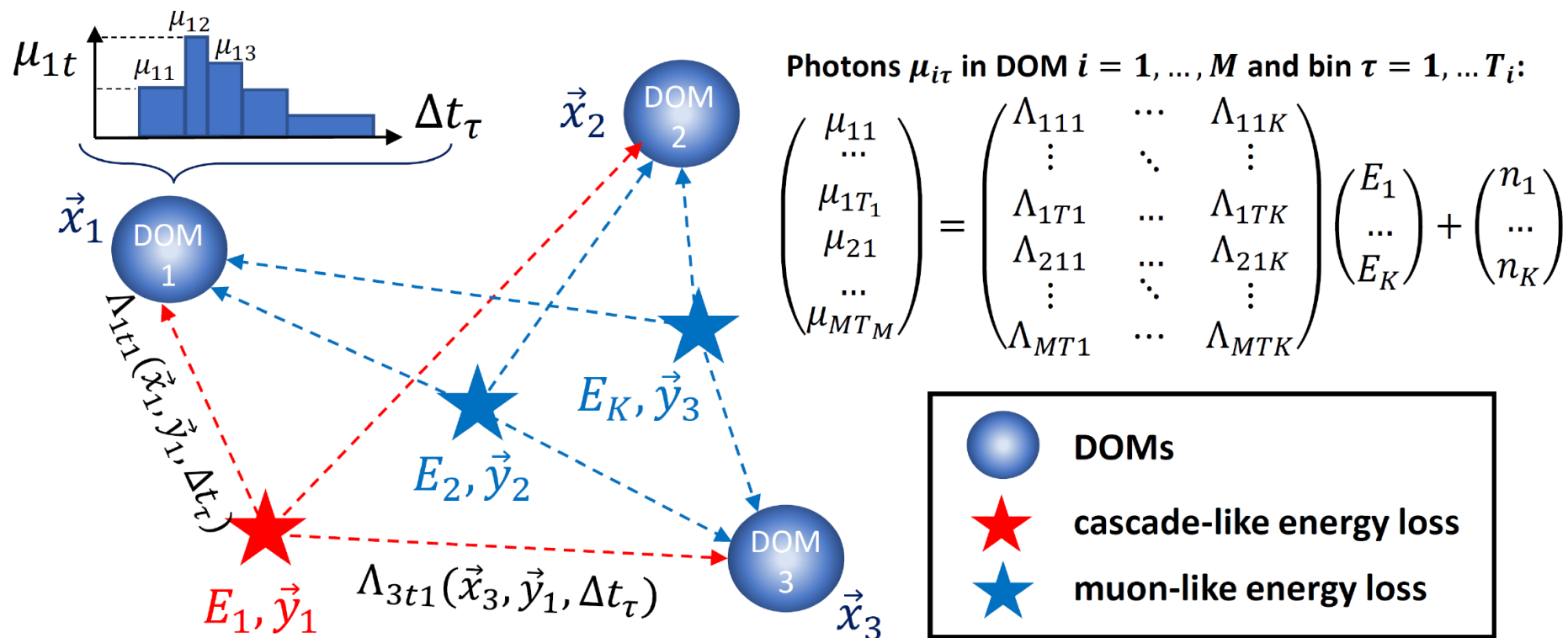


Table based reconstruction

- Poissonian likelihood:

$$\text{LLH}_{\text{mp}} = \sum_i^M \sum_{\tau}^{T_i} [N_{i\tau} \cdot \log(\mu_{i\tau}) - \mu_{i\tau} + \log(\Gamma(N_{i\tau} + 1))]$$

DOMs exp. γ
 times obs. γ



Likelihood

- **Poissonian** likelihood:

- Compare number of observed PE (**n**) per DOM per time slice to expected number (**y**) for given event hypothesis

$$p(n/\mu) = \frac{\mu^n \cdot e^{-\mu}}{n!}$$



total expected charge = expected + noise

$$L = \prod_{i \in DOMs} \prod_{j \in time\ bins} \frac{(\mu_{i,j} + \rho_{i,j})^{n_{i,j}} \cdot e^{-(\mu_{i,j} + \rho_{i,j})}}{n_{i,j}!}$$

Negative Logarithm (function to minimize)



$$\ln(L) = \sum_{i \in DOMs} \sum_{j \in time\ bins} n_{i,j} \cdot \ln(\mu_{i,j} + \rho_{i,j}) - \mu_{i,j} - \rho_{i,j} - \ln(n_{i,j}!)$$