

# Rare charm decays at LHCb

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# Outline

- Introduction
- Full angular analysis of  $D^0 \rightarrow h^+ h^- \mu^+ \mu^-$  decays  
[Phys. Rev. Lett. 128 221801]
- Search for  $D^0$  decays into two muons  
[LHCb-PAPER-2022-029 in preparation] **NEW**
- Conclusion



# Rare charm decays

Mod. Phys. Lett. A 36 (2021) 2130002

- Unique probe of up-type quark FCNC and complementary to B and K physics
- Very suppressed in the SM due to GIM and CKM suppressions
- Precise theoretical predictions are difficult
- SM can be tested exploiting (approximate) asymmetries with clean null-tests
  - Searches for extremely rare and forbidden decays
  - Angular and CP asymmetries of resonance-dominated decays
  - Lepton flavour universality measurements

$D^0 \rightarrow \mu^+ e^-$	$D_{(s)}^+ \rightarrow \pi^+ l^+ l^-$	$D^0 \rightarrow \pi^- \pi^+ V(\rightarrow ll)$	$D^0 \rightarrow K^{*0} \gamma$
$D^0 \rightarrow p e^-$	$D_{(s)}^+ \rightarrow K^+ l^+ l^-$	$D^0 \rightarrow \rho^- V(\rightarrow ll)$	$D^0 \rightarrow (\phi, \rho, \omega) \gamma$
$D_{(s)}^+ \rightarrow h^+ \mu^+ e^-$	$D^0 \rightarrow K^- \pi^+ l^+ l^-$	$D^0 \rightarrow K^+ K^- V(\rightarrow ll)$	$D_s^+ \rightarrow \pi^+ \phi(\rightarrow ll)$
	$D^0 \rightarrow K^{*0} l^+ l^-$	$D^0 \rightarrow \phi^- V(\rightarrow ll)$	

LFV, LNV, BNV	FCNC							VMD	Radiative			
0	$10^{-15}$	$10^{-14}$	$10^{-13}$	$10^{-12}$	$10^{-11}$	$10^{-10}$	$10^{-9}$	$10^{-8}$	$10^{-7}$	$10^{-6}$	$10^{-5}$	$10^{-4}$
$D_{(s)}^+ \rightarrow h^- l^+ l^+$				$D^0 \rightarrow \mu\mu$	$D^0 \rightarrow \pi^- \pi^+ l^+ l^-$		$D^0 \rightarrow K^+ \pi^- V(\rightarrow ll)$	$D^+ \rightarrow \pi^+ \phi(\rightarrow ll)$				
$D^0 \rightarrow X^0 \mu^+ e^-$				$D^0 \rightarrow ee$	$D^0 \rightarrow \rho^- l^+ l^-$		$D^0 \rightarrow \bar{K}^{*0} V(\rightarrow ll)$	$D^0 \rightarrow K^- \pi^+ V(\rightarrow ll)$				
$D^0 \rightarrow X^- l^+ l^+$					$D^0 \rightarrow K^+ K^- l^+ l^-$		$D^0 \rightarrow \gamma\gamma$	$D^0 \rightarrow K^{*0} V(\rightarrow ll)$				
						$D^0 \rightarrow \phi^- l^+ l^-$						

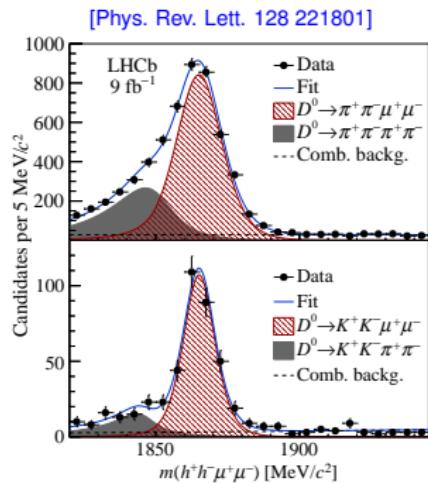
# $D^0 \rightarrow h^+ h^- \mu^+ \mu^-$ decays

- 4-body decays have measurable BFs and rich set of observables
- First observation of the **rarest charm decays** to date using 2012 data ( $2\text{fb}^{-1}$ )

$$\begin{aligned}\mathcal{B}(D^0 \rightarrow \pi^- \pi^+ \mu^+ \mu^-) &\sim 9.6 \times 10^{-7} \\ \mathcal{B}(D^0 \rightarrow K^- K^+ \mu^+ \mu^-) &\sim 1.5 \times 10^{-7}\end{aligned}$$

[Phys. Rev. Lett. 119 (2017) 181805]

- First **full angular analysis** with Run 1+2 data
- The regions dominated by resonances can be used to perform SM null tests, due to interference between short- and long-distance contributions



$$\begin{aligned}N(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) &\sim 3500 \\ N(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) &\sim 300\end{aligned}$$

# Observables

[Phys. Rev. Lett. 128 221801]

- The differential decay rate is expressed as the sum of nine angular coefficients  $I_{1-9}$
- Measure  $p^2$ ,  $\cos\theta_h$  integrated  $\langle I_i \rangle$  ( $i = 2 - 9$ ) for  $D^0$  and  $\bar{D}^0$
- Flavour average and CP asymmetries**

$$\begin{aligned} \langle S_i \rangle &= \frac{1}{2} [\langle I_i \rangle + (-) \langle \bar{I}_i \rangle] \quad \langle S_{5,6,7}^{SM} \rangle = 0 \\ \langle A_i \rangle &= \frac{1}{2} [\langle I_i \rangle - (+) \langle \bar{I}_i \rangle] \quad \langle A_i^{SM} \rangle = 0 \end{aligned}$$

**CP even (CP odd)** coefficients

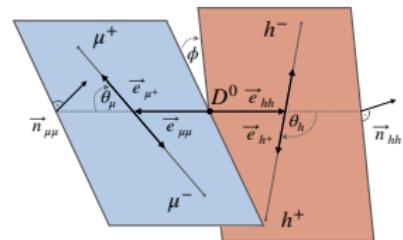
- CP asymmetry**

$$A_{CP} = \frac{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) - \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) + \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}$$

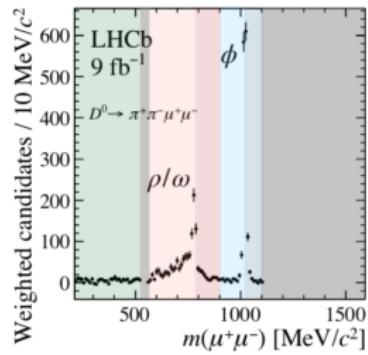
- Measurements in  $q^2$  bins

Decay mode	$m(\mu^+ \mu^-)$ [MeV/c <sup>2</sup> ]				
	low mass	$\eta$	$\rho/\omega$	$\phi$	high mass
$D^0 \rightarrow K^+ K^- \mu^+ \mu^-$	< 525	NS	> 565	NA	NA
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	< 525	NS	565-780 780-950	950-1020 1020-1100	NA NS

NA = not available, NS = no signal



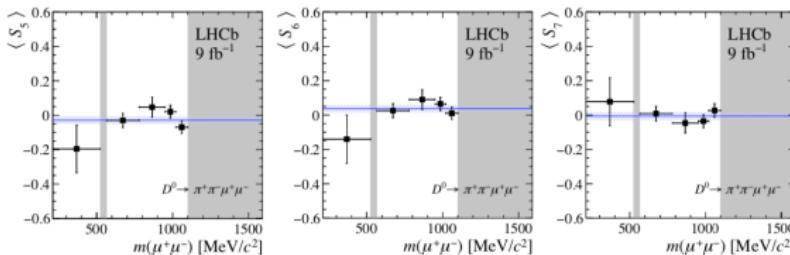
$$\begin{aligned} p^2 &= m^2(h^+ h^-) \\ q^2 &= m^2(\mu^+ \mu^-) \end{aligned}$$



# Results

[Phys. Rev. Lett. 128 221801]

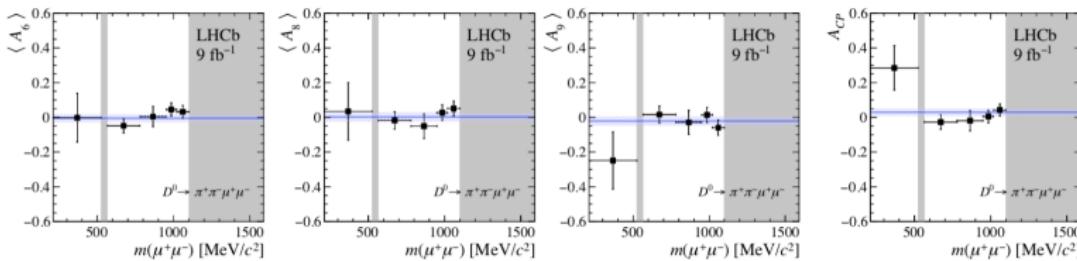
- Examples of SM null tests  $\langle S_{5,6,7} \rangle$  [ $\langle S_6 \rangle \sim A_{FB}$ ]



Compatible with SM predictions

$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ : 0.3  $\sigma$   
 $D^0 \rightarrow K^+ K^- \mu^+ \mu^-$ : 2.7  $\sigma$   
[\[JHEP 04 135 \(2013\)\]](#)  
[\[PRD 98, 035041\(2018\)\]](#)

- Examples of  $\langle A_6 \rangle$  [ $\langle A_6 \rangle \sim A_{FB}^{CP}$ ],  $\langle A_{8,9} \rangle$  (Triple Product Asym.),  $A_{CP}$



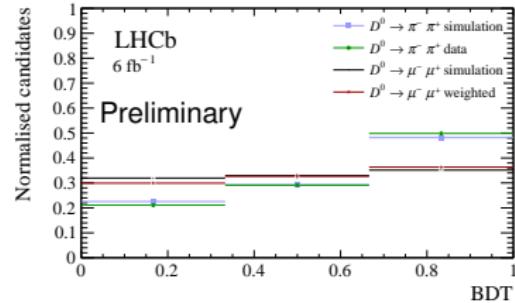
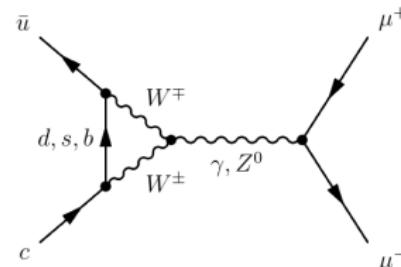
# The $D^0 \rightarrow \mu^+ \mu^-$ decay

NEW

- Very rare decay: FCNC + helicity suppression
- Very clean experimental signature
- Minimal hadronic uncertainties
- Key in constraining NP: different kind of leptoquarks explaining the B anomalies contribute at loop level for B but tree for D
- Receives two contributions within the SM
  - Short Distance:  $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \sim 10^{-18}$
  - Long Distance:  $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) \sim 10^{-11}$   
[\[arXiv: 1510.00311\]](https://arxiv.org/abs/1510.00311)
- Current upper limit ( $1 \text{ fb}^{-1}$ )  
 $\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \times 10^{-9} @ 90\% \text{ CL}$   
[\[Phys. Lett. B \(2013\) 725\]](https://doi.org/10.1016/j.physlettb.2013.02.025)

LHCb-PAPER-2022-029

in preparation



## Analysis strategy

- Run 1 (2011-2012)+2 (2015-2018) ( $9 \text{ fb}^{-1}$ )
- Tagged  $D^{*+} \rightarrow D^0 \pi^+$  decay
- BDT against combinatorial background
- PID to suppress  $hh \rightarrow \mu\mu$  misID
- Fit simultaneously in three BDT intervals

# Normalisation

LHCb-PAPER-2022-029

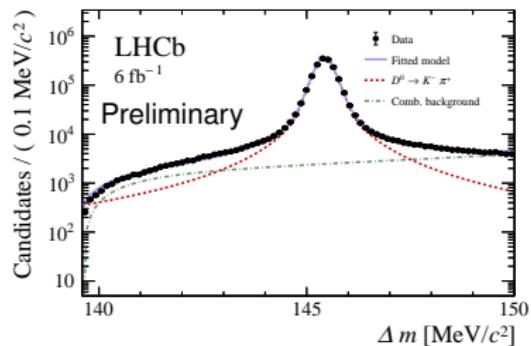
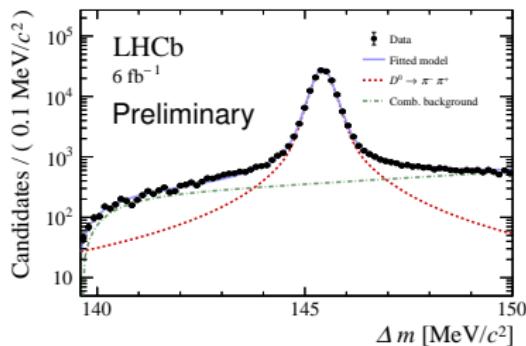
in preparation

- Normalisation to  $D^0 \rightarrow \pi^- \pi^+$ ,  $K^- \pi^+$  decays

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) = \frac{N_{D^0 \rightarrow \mu^+ \mu^-}}{N_{D^0 \rightarrow h^+ h^-}} \cdot \frac{\varepsilon_{h^+ h^-}}{\varepsilon_{\mu^+ \mu^-}} \cdot p \cdot \mathcal{B}(D^0 \rightarrow h^+ h^-) \equiv \alpha N_{D^0 \rightarrow \mu^+ \mu^-}$$

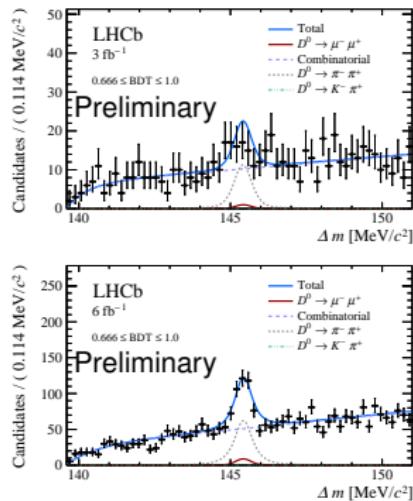
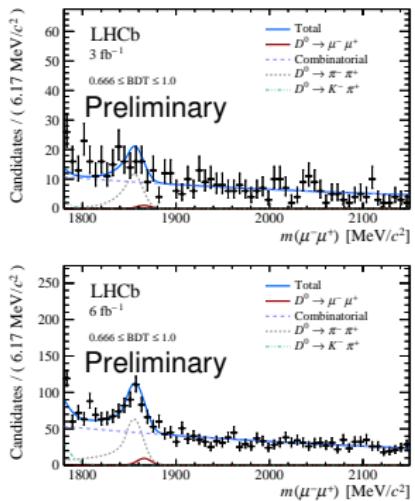
- $\varepsilon$  is the efficiency
- $N$  yield of a given channel
- $p$  is the trigger prescale of the normalisation channel
- $\alpha = (2.15 \pm 0.34) \times 10^{-11}$  is the single event sensitivity

- Normalisation yield extracted through a ML fit to  $\Delta m = m(D^{*+}) - m(D^0)$  distribution



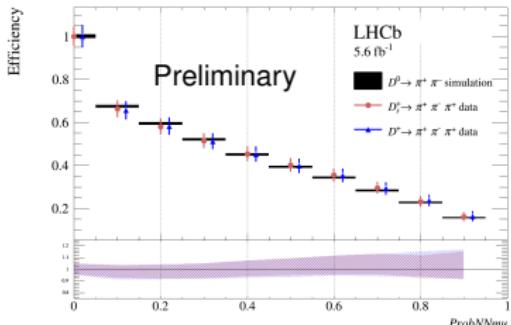
## Fit

- Signal yield extracted with a ML fit to  $m(D^0)$  and  $\Delta m$  distributions
- Fit simultaneously in three BDT intervals
- Constraints on the expected number of misID backgrounds decays
- Systematic uncertainties related to the normalisation, and the background shapes and yields, are included in the fit as Gaussian constraints to the relevant parameters
- Dominant systematic uncertainty coming from the calibration of the hadronic trigger efficiency



# Cross-check and results

- $\pi\pi \rightarrow \mu\mu$  PID efficiency obtained from simulation, cross-checked using control samples in data
- Agreement over the full range of the muon identification discriminant variable



- No significant signal observed
- Upper limit put on the branching fraction

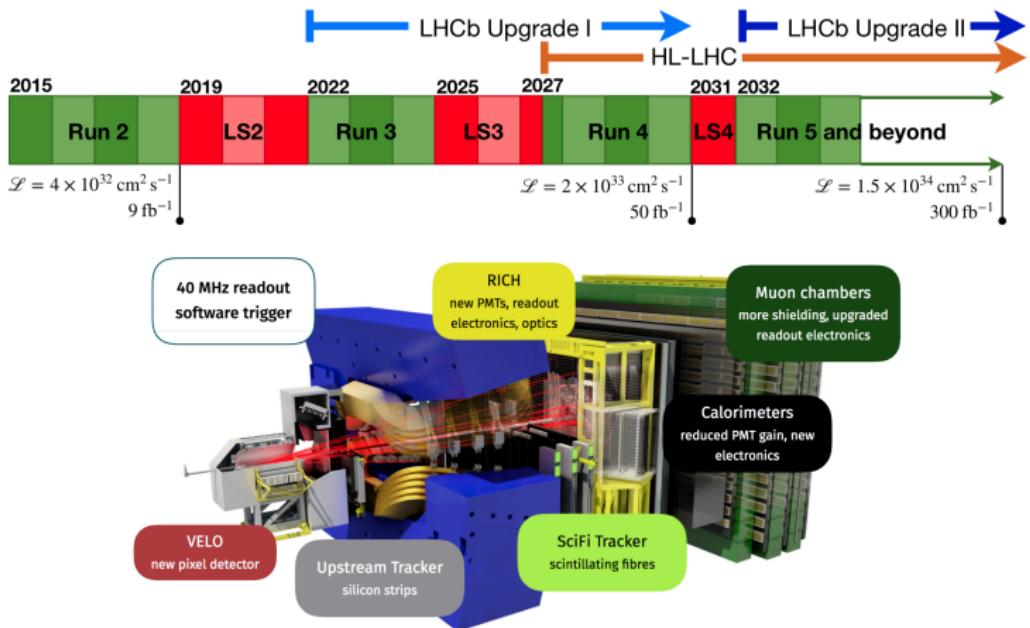
$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 2.9 (3.3) \times 10^{-9} @ 90 (95)\% \text{ CL}$$

- Improvement of more than a factor two with respect to the previous LHCb result

**Most stringent limit of FCNC in the charm sector**

# Towards ultimate precision

- The charm rare analyses are statistically limited
- The LHCb upgrades will largely improve many measurements
- Upgrade I: 40 MHz software trigger replaced 1 MHz Run 2 trigger



# Prospects for existing measurements

Limits on BFs (away from resonances for multibody)

Mode	Upgrade ( $50 \text{ fb}^{-1}$ )	Upgrade II ( $300 \text{ fb}^{-1}$ )
$D^0 \rightarrow \mu^+ \mu^-$	$4.2 \times 10^{-10}$	$1.3 \times 10^{-10}$
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$10^{-8}$	$3 \times 10^{-9}$
$D_s^+ \rightarrow K^+ \mu^+ \mu^-$	$10^{-8}$	$3 \times 10^{-9}$
$\Lambda_c \rightarrow p \mu^+ \mu^-$	$1.1 \times 10^{-8}$	$4.4 \times 10^{-9}$
$D^0 \rightarrow e^\pm \mu^\mp$	$10^{-9}$	$4.1 \times 10^{-9}$

Statistical precision on asymmetries (phase space integrated)

Mode	Upgrade ( $50 \text{ fb}^{-1}$ )	Upgrade II ( $300 \text{ fb}^{-1}$ )
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	0.2%	0.08%
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	1%	0.4%
$D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$	0.3%	0.13%
$D^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$	12%	5%
$D^0 \rightarrow K^- K^+ \mu^+ \mu^-$	4%	1.7%

A. Contu - Towards ultimate precision in Flavor Physics, Durham (2-4 April 2019)

# Conclusion

- Rare and forbidden charm decays constitutes a **unique environment to look for NP**
- LHCb is giving major contributions in the charm rare sector
- Many LHCb measurements are world's best, but there is still space for improvement wrt SM predictions and to reach NP sensitivity
- New studies are expected for Run 2 data
  - Update the current search measurements ( $\Lambda_c^+ \rightarrow p\mu^+\mu^-$ , ..)
  - Dielectron modes will also follow soon
  - Radiative decays should be possible as well, although background rejection is non-trivial
- LHCb Upgrade I (Run 3-4) is currently taking data and many new measurements will come in the next few years
- The full potential of the detector in flavour physics will be exploited with the Upgrade II (Run 5 and beyond)

Thanks for your attention!

## Backup

# The LHCb experiment

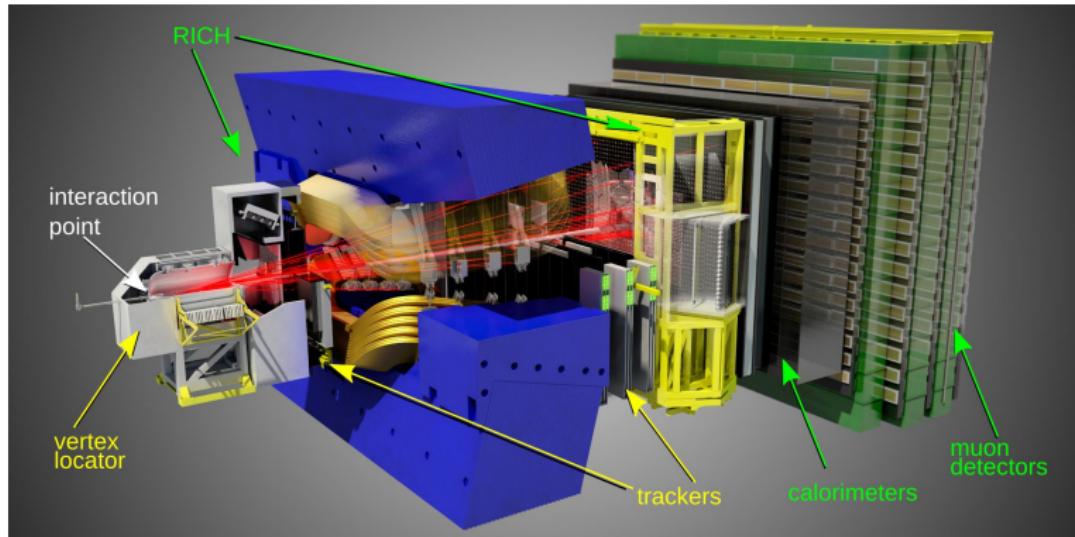
JINST 3 (2008) S080005

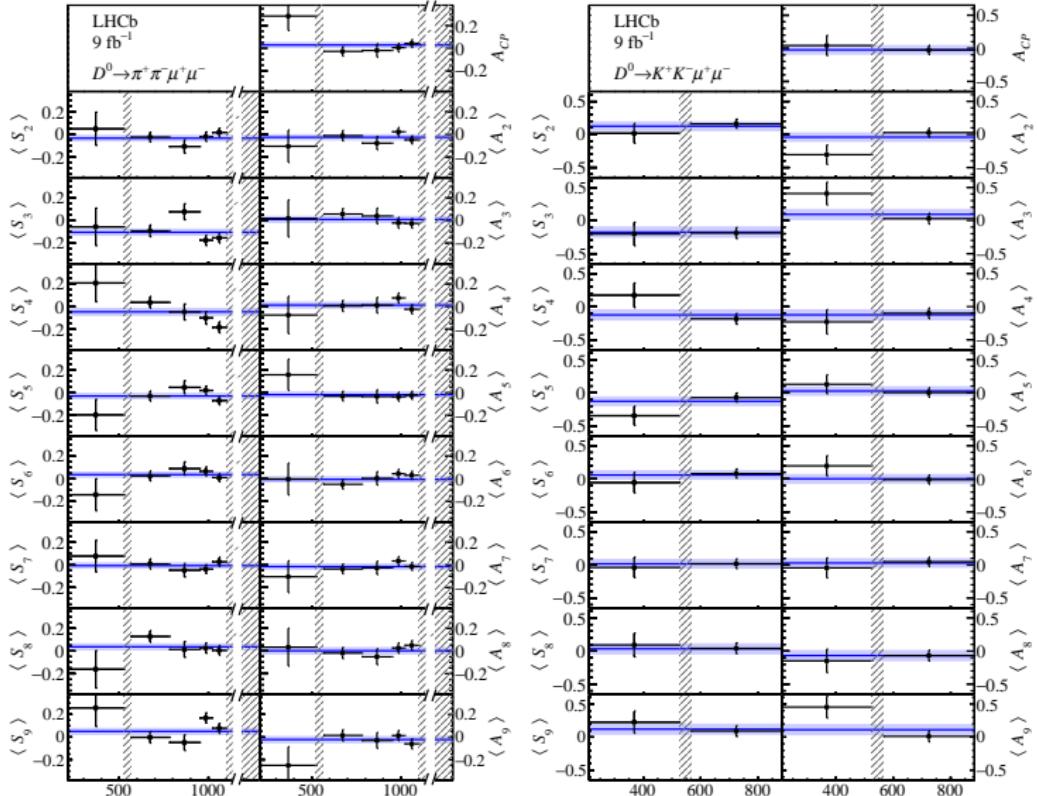
- Single arm forward spectrometer
- Optimized for  $b$ - and  $c$ -physics
- Good vertex resolution and tracking
- Excellent particle identification
- Fast, efficient and flexible high bandwidth trigger system

Large charm x-section ( $p_T < 8 \text{ GeV}/c$ ,  $2.0 < y < 4.5$ )

$$\sigma(c\bar{c}, \sqrt{s} = 7 \text{ TeV}) = (1419 \pm 133)\mu\text{b} \quad [\text{Nucl.Phys.B } 871(2013) 1-20]$$

$$\sigma(c\bar{c}, \sqrt{s} = 13 \text{ TeV}) = (2940 \pm 240)\mu\text{b} \quad [\text{JHEP03(2016)159}]$$



$D^0 \rightarrow h^+ h^- \mu^+ \mu^-$ PAPER-2021-035  
arXiv:2111.03327

# Search for $D_{(s)}^+ \rightarrow h^\pm \ell^+ \ell^-$ decays

JHEP 06 (2021) 044

- Analysed 25 decays  $D_{(s)}^+ \rightarrow h\ell\ell$ 
  - $h$  is a charged kaon or pion
  - $\ell$  is an electron or muon
  - Includes LFV and LNV decays

$$D^+ \rightarrow \pi^+ \mu^+ \mu^-$$

$$D^+ \rightarrow \pi^- \mu^+ \mu^+$$

$$D^+ \rightarrow \pi^+ \mu^+ e^-$$

$$D^+ \rightarrow \pi^- \mu^+ e^+$$

$$D^+ \rightarrow \pi^+ e^+ \mu^-$$

$$D^+ \rightarrow \pi^+ e^+ e^-$$

$$D^+ \rightarrow \pi^- e^+ e^+$$

$$D^+ \rightarrow K^+ \mu^+ \mu^-$$

$$D^+ \rightarrow K^+ \mu^+ e^-$$

$$D^+ \rightarrow K^+ e^+ \mu^-$$

$$D^+ \rightarrow K^+ e^+ e^-$$

$$D_s^+ \rightarrow \pi^+ \mu^+ \mu^-$$

$$D_s^+ \rightarrow \pi^- \mu^+ \mu^+$$

$$D_s^+ \rightarrow \pi^- e^+ e^+$$

$$D_s^+ \rightarrow \pi^- \mu^+ e^+$$

$$D_s^+ \rightarrow \pi^+ e^+ \mu^-$$

$$D_s^+ \rightarrow \pi^- e^+ e^-$$

$$D_s^+ \rightarrow K^- \mu^+ e^+$$

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$$D_s^+ \rightarrow K^+ \mu^+ e^-$$

$$D_s^+ \rightarrow K^- \mu^+ e^+$$

$$D_s^+ \rightarrow K^+ e^+ \mu^-$$

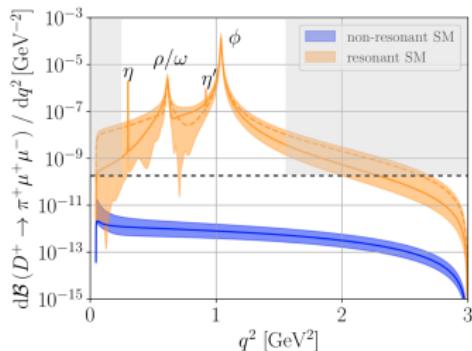
$$D_s^+ \rightarrow K^+ e^+ e^-$$

$$D_s^+ \rightarrow K^- e^+ e^+$$

Allowed in the SM, Forbidden in the SM

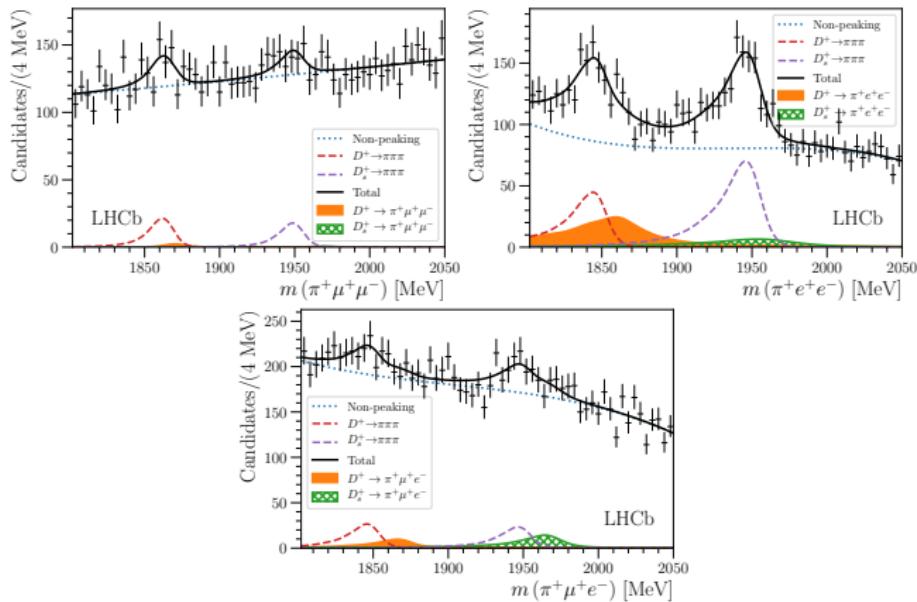
- Analysis performed with 2016 dataset ( $1.7 \text{ fb}^{-1}$ )
- Normalisation with  $D_{(s)}^+ \rightarrow \phi(\ell\ell)\pi^+$
- Regions dominated by resonances in dilepton mass are vetoed when fitting for the signal

Mod. Phys. Lett. A 36 (2021) 2130002



# Analysis strategy

- PID is used to suppress the hadronic misidentified backgrounds
- Fit to the three-body invariant mass to measure signal yields
- Peaking background modelled using fast simulation [Comput. Phys. Comm. 214C (2017) pp. 239-246]



# Results

- Results consistent with background only hypothesis
- Limits set between  $1.4 \times 10^{-8}$  and  $6.4 \times 10^{-6}$
- Results improve upon the prior world's best constraints by up to a factor of 500

Decay	Branching fraction upper limit [ $10^{-9}$ ]								
	$D^+$			$D_s^+$			SES	90 % CL	95 % CL
	SES	90 % CL	95 % CL	SES	90 % CL	95 % CL			
$D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$	0.6	67	74	2.4	180	210			
$D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$	0.3	14	16	1.8	86	96			
$D_{(s)}^+ \rightarrow K^+ \mu^+ \mu^-$	1.2	54	61	3.8	140	160			
$D_{(s)}^+ \rightarrow K^- \mu^+ \mu^+$	-	-	-	1.2	26	30			
$D_{(s)}^+ \rightarrow \pi^+ e^+ \mu^-$	0.6	210	230	3.1	1100	1200			
$D_{(s)}^+ \rightarrow \pi^+ \mu^+ e^-$	0.4	220	220	2.2	940	1100			
$D_{(s)}^+ \rightarrow \pi^- \mu^+ e^+$	0.4	130	150	2.0	630	710			
$D_{(s)}^+ \rightarrow K^+ e^+ \mu^-$	0.7	75	83	3.7	790	880			
$D_{(s)}^+ \rightarrow K^+ \mu^+ e^-$	0.5	100	110	2.5	560	640			
$D_{(s)}^+ \rightarrow K^- \mu^+ e^+$	-	-	-	2.4	260	320			
$D_{(s)}^+ \rightarrow \pi^+ e^+ e^-$	1.9	1600	1800	8.1	5500	6400			
$D_{(s)}^+ \rightarrow \pi^- e^+ e^+$	0.9	530	600	4.1	1400	1600			
$D_{(s)}^+ \rightarrow K^+ e^+ e^-$	4.4	850	1000	14.8	4900	5500			
$D_{(s)}^+ \rightarrow K^- e^+ e^+$	-	-	-	4.1	770	840			

SES = single event sensitivities, i.e. the BF corresponding to a single observed signal event