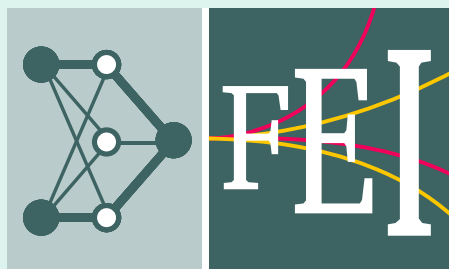




University of
Zurich^{UZH}

A Deep-learning based Full-Event Interpretation (DFEI) algorithm

for the identification and hierarchical reconstruction of heavy-hadron decay chains
in proton-proton collisions



Julián García Pardiñas¹, Andrea Mauri², Marta Calvi¹,
Jonas Eschle³, Simone Meloni¹, Nicola Serra³

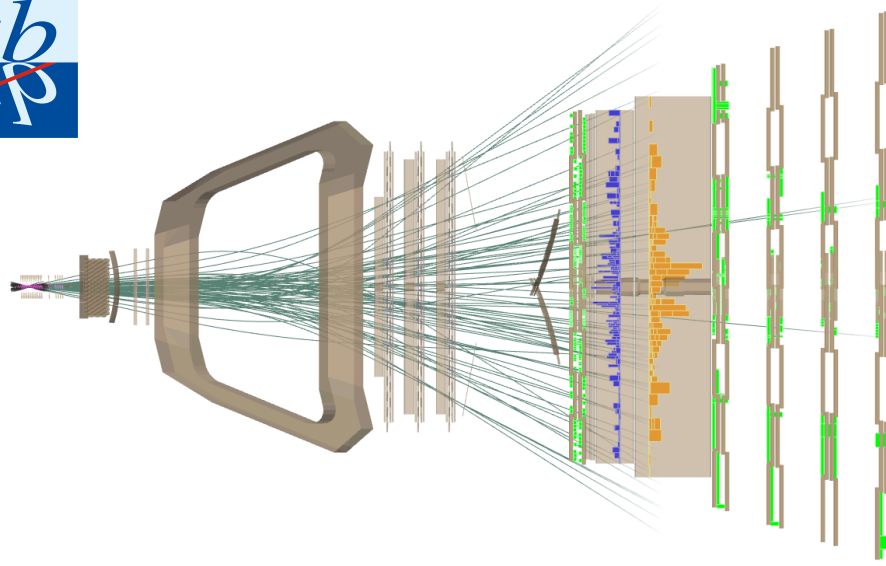
¹ University and INFN Milano-Bicocca, Italy

² NIKHEF, The Netherlands

³ University of Zürich, Switzerland

Bologna, Italy
8th of July 2022

The LHCb trigger: entering a new era

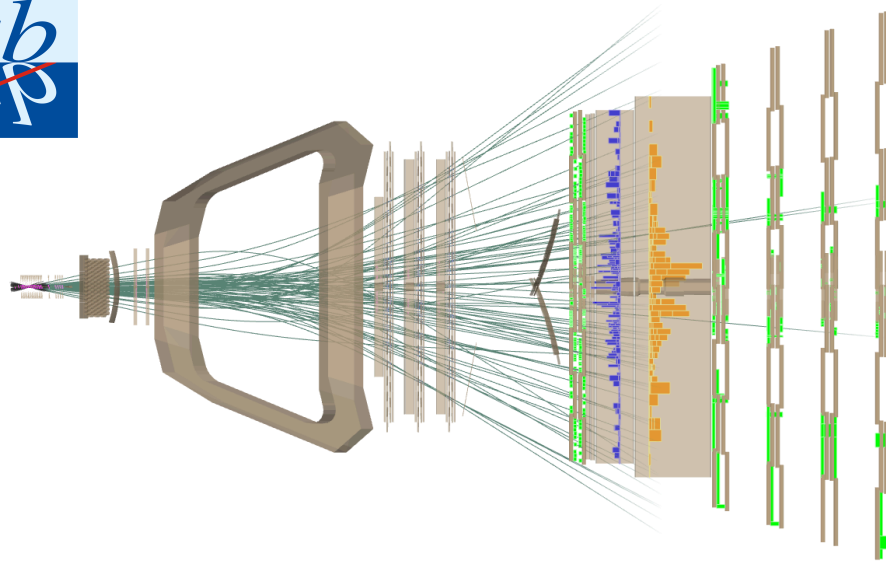


The LHCb detector

Forward spectrometer,
studying the decays of
beauty and charm hadrons.

Current trigger strategy: **signal based**.

The LHCb trigger: entering a new era



The LHCb detector

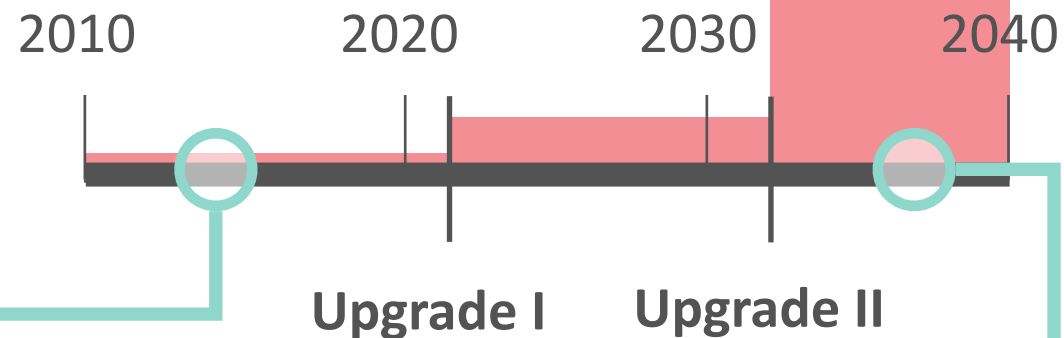
Forward spectrometer,
studying the decays of
beauty and charm hadrons.

Current trigger strategy: **signal based**.

<< 1 signal
~ 50 tracks

Which events are
interesting?

Instantaneous
luminosity

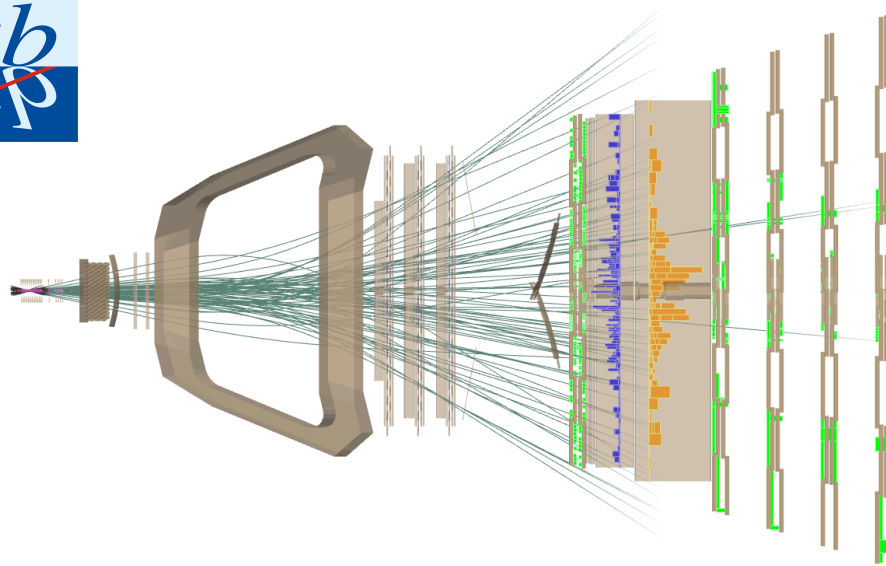


~ 5 signals
~ 1000 tracks

Which parts of the
event are interesting?

[\[LHCb-PUB-2014-027\]](#)

The LHCb trigger: entering a new era



The LHCb detector

Forward spectrometer,
studying the decays of
beauty and charm hadrons.

Current trigger strategy: **signal based**.

<< 1 signal
~ 50 tracks

Which events are
interesting?

Instantaneous
luminosity

2010 2020 2030 2040



Upgrade I

Upgrade II

~ 5 signals
~ 1000 tracks

Which parts of the
event are interesting?

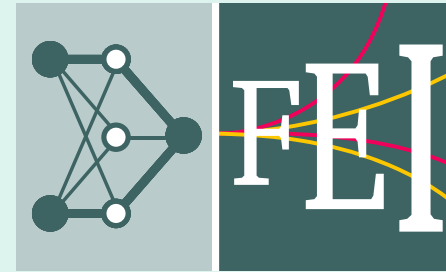
[\[LHCb-PUB-2014-027\]](#)

~ 0.5 signals
~ 140 tracks

- **Fully software trigger, CPU + GPU** [\[JINST 14 \(2019\) 04, P04006\]](#).
- Data buffer to enlarge the time window for online processing.
➔ Online alignment and calibration, **offline-quality online reconstruction**.

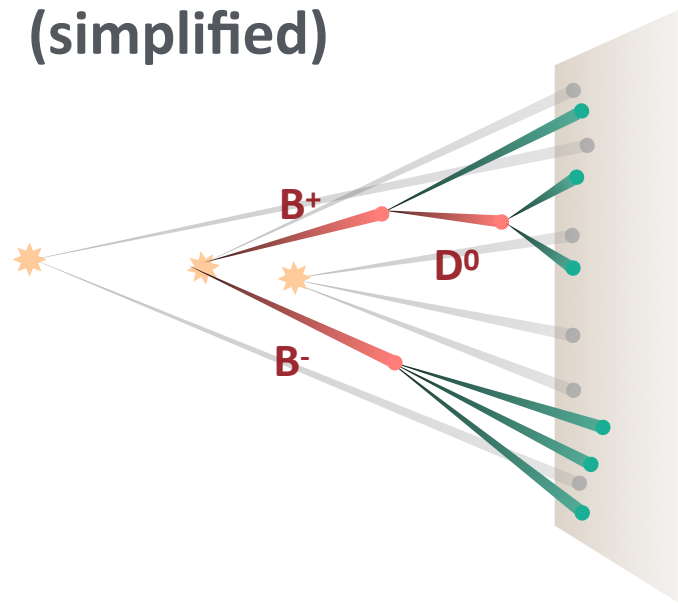
Facing the new era with machine learning

Novel approach
proposed

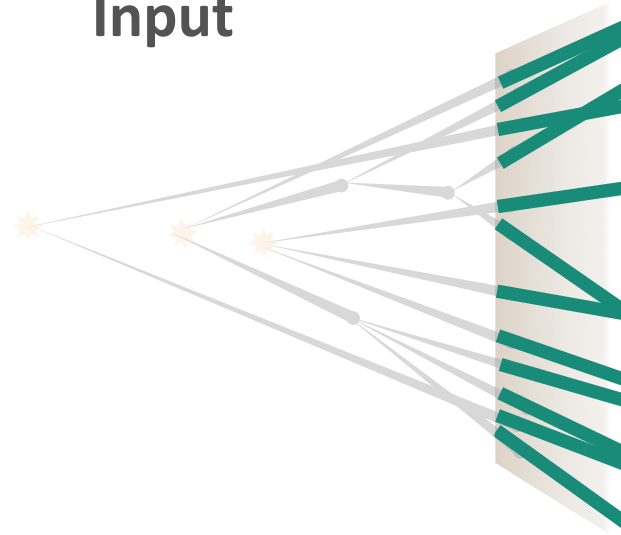


DFEI:
Deep-learning based
Full Event Interpretation

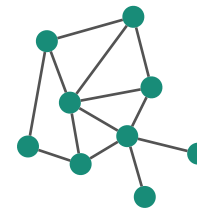
LHCb event
(simplified)



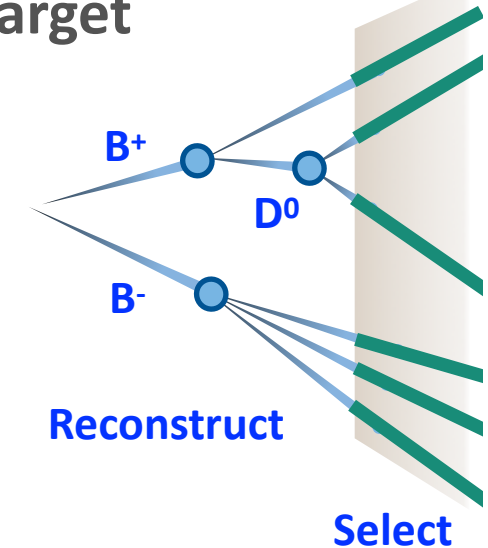
Input



Graph
neural
network



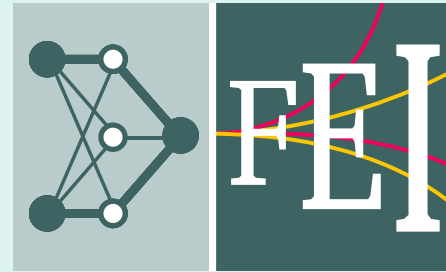
Target



“Maximally efficient” trigger.

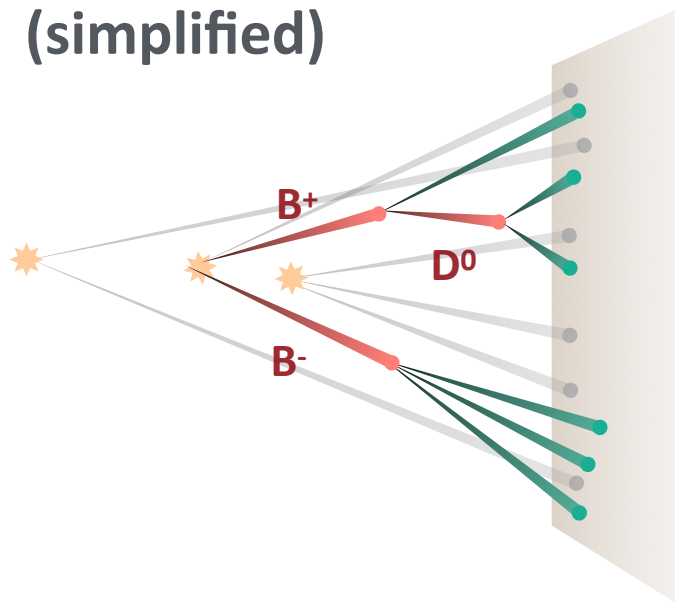
Facing the new era with machine learning

Novel approach
proposed

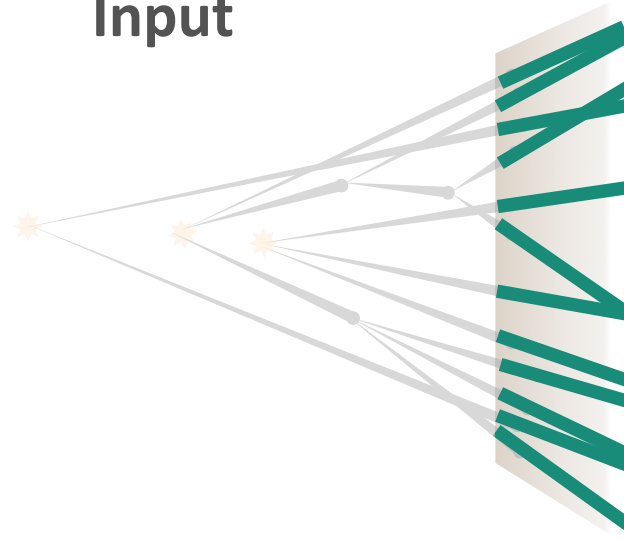


DFEI:
Deep-learning based
Full Event Interpretation

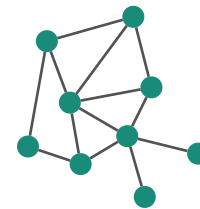
LHCb event
(simplified)



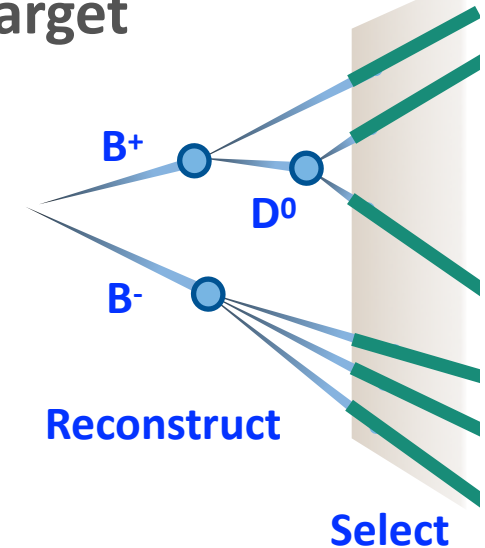
Input



Graph
neural
network



Target



“Maximally efficient” trigger.

Similar developments in other experiments



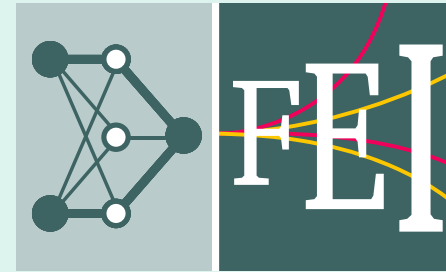
Full Event Interpretation algorithm at an e⁺e⁻ collider
[[Comput.Softw.Big Sci. 3 \(2019\) 1 6](#)], [BELLE2-MTHESIS-2020-006](#)].



GNNs for trigger purposes
[see e.g. [Eur.Phys.J.C 81 \(2021\) 5, 381](#), [Frontiers in Big Data 3 \(2021\) 44](#)].

Facing the new era with machine learning

Novel approach
proposed



DFEI:
Deep-learning based
Full Event Interpretation

LHCb event
(simplified)

Input

Graph

Target

Next slides: first prototype of DFEI,
focused on b-hadron decays and charged stable particles.

Select

“Maximally efficient” trigger.

Similar developments in other experiments



Full Event Interpretation algorithm at an e+e- collider
[[Comput.Softw.Big Sci. 3 \(2019\) 1 6](#), [BELLE2-MTHESIS-2020-006](#)].



GNNs for trigger purposes
[see e.g. [Eur.Phys.J.C 81 \(2021\) 5, 381](#), [Frontiers in Big Data 3 \(2021\) 44](#)].

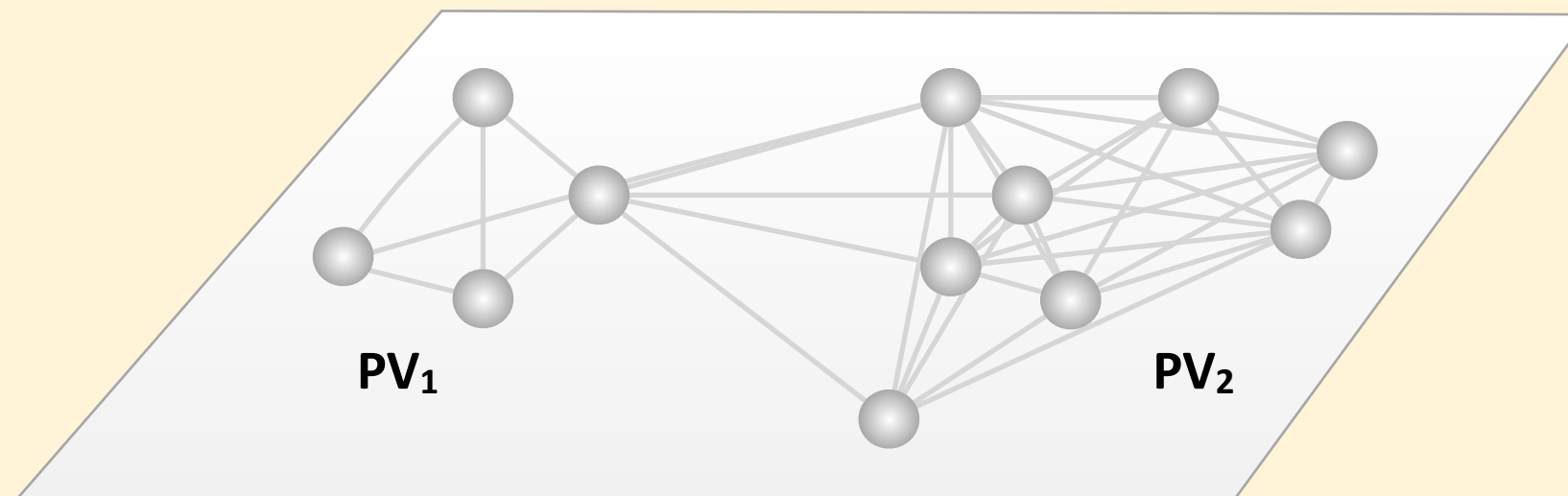
Input graph construction

Nodes: all the charged particles in the event.

➡ On average **~140**.

Edges: connect particles which are topologically close (see backup for details).

➡ On average **~10 000**.



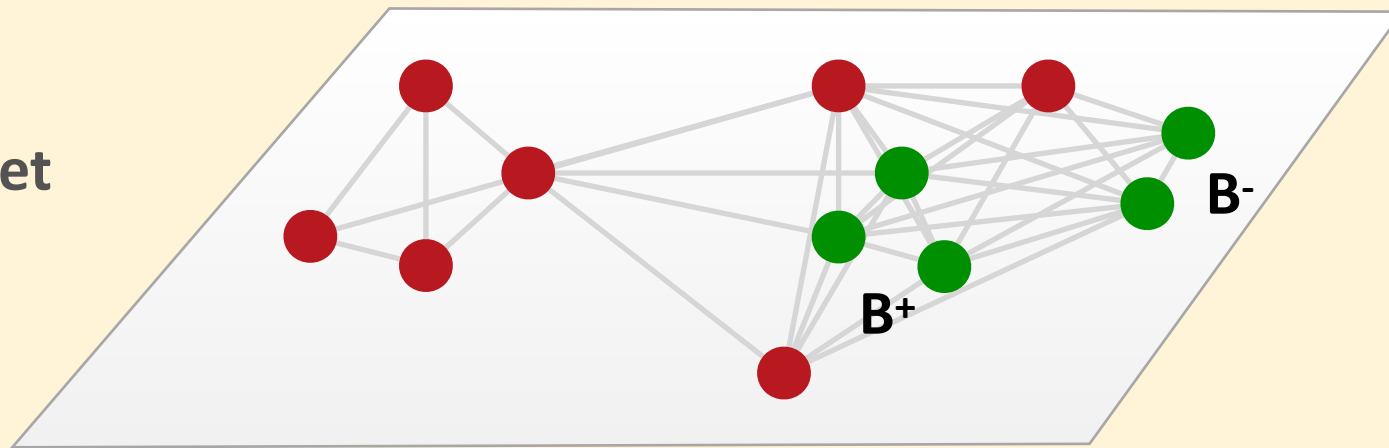
PV_1 , PV_2 : different proton-proton primary vertices.

1st module: node pruning

Signal nodes: particles from a b-hadron (any of them)

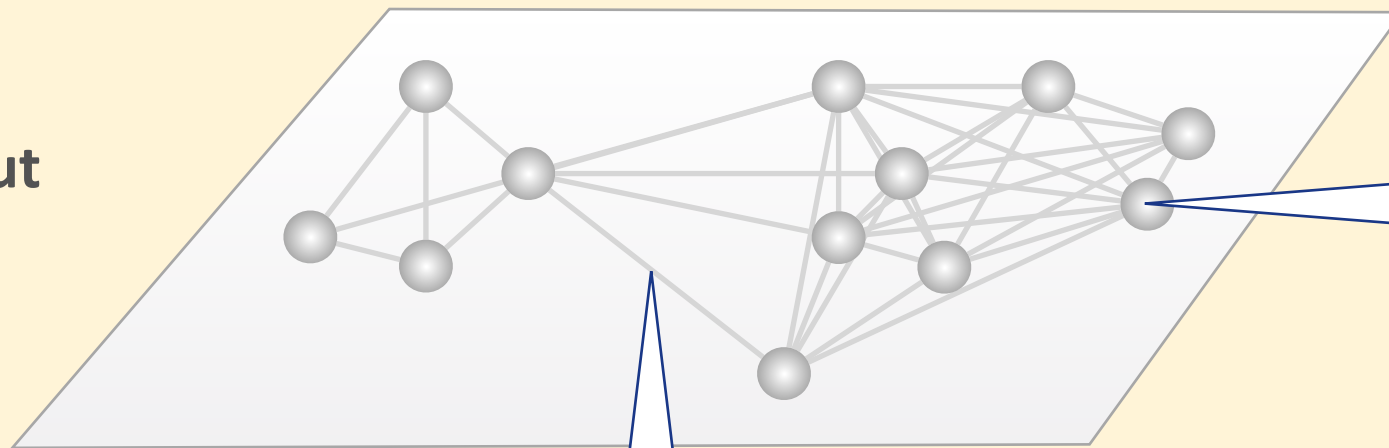
Background nodes: particles from the rest of the event

Target



pT: transverse momentum
ETA: pseudorapidity
PV: associated primary vertex
IP: impact parameter with
respect to the PV
q: charge

Input



pT, ETA, IP, q.

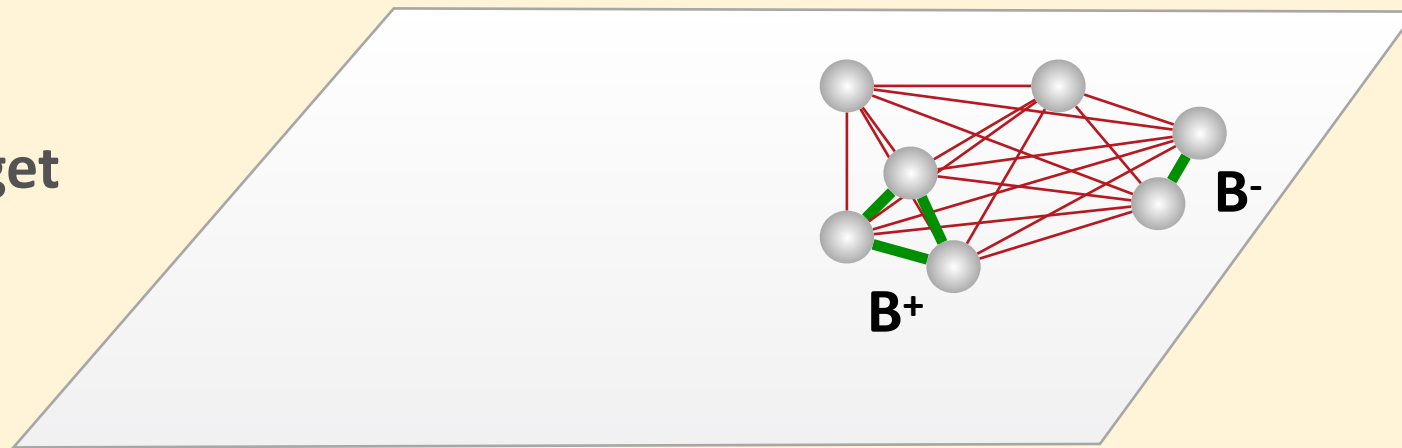
Opening angle, distance (between origins) along the beam axis,
“transverse distance” (see backup), from same PV (boolean).

2nd module: edge pruning

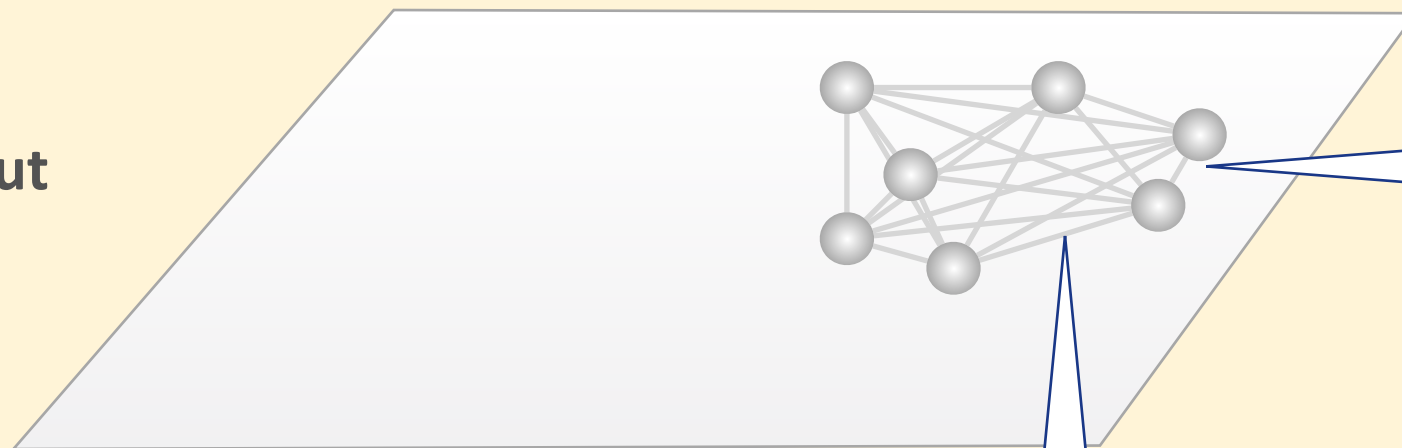
Signal edges: pairs of particles with the same b-hadron ancestor

Background edges: any other pair of particles

Target



Input

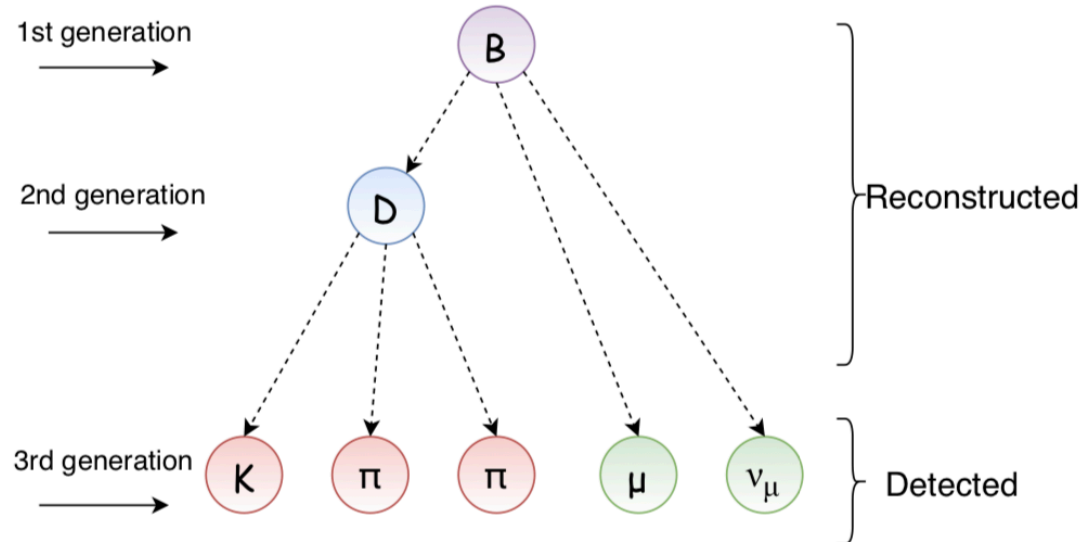


Same as before.

Same as before.

3rd module: Lowest Common Ancestor (LCA) inference

From [\[BELLE2-MTHESIS-2020-006\]](#):



Adjacency Matrix

	B	D	K	π	π	μ	ν_μ
B	0	1	0	0	0	1	1
D	1	0	1	1	1	0	0
K	0	1	0	0	0	0	0
π	0	1	0	0	0	0	0
π	0	1	0	0	0	0	0
μ	1	0	0	0	0	0	0
ν_μ	1	0	0	0	0	0	0

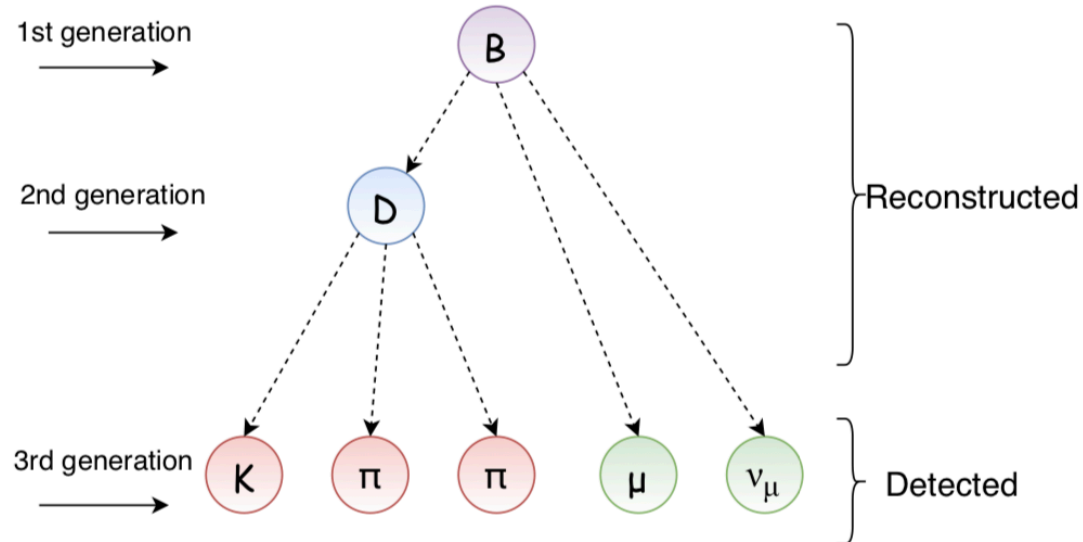
LCA Matrix

	K	π	π	μ	ν_μ
K	0	1	1	2	2
π	1	0	1	2	2
π	1	1	0	2	2
μ	2	2	2	0	2
ν_μ	2	2	2	2	0

Problem reduced to **multi-class classification on edges**.

3rd module: Lowest Common Ancestor (LCA) inference

From [\[BELLE2-MTHESIS-2020-006\]](#):



Adjacency Matrix

	B	D	K	π	π	μ	ν_μ
B	0	1	0	0	0	1	1
D	1	0	1	1	1	0	0
K	0	1	0	0	0	0	0
π	0	1	0	0	0	0	0
π	0	1	0	0	0	0	0
μ	1	0	0	0	0	0	0
ν_μ	1	0	0	0	0	0	0

LCA Matrix

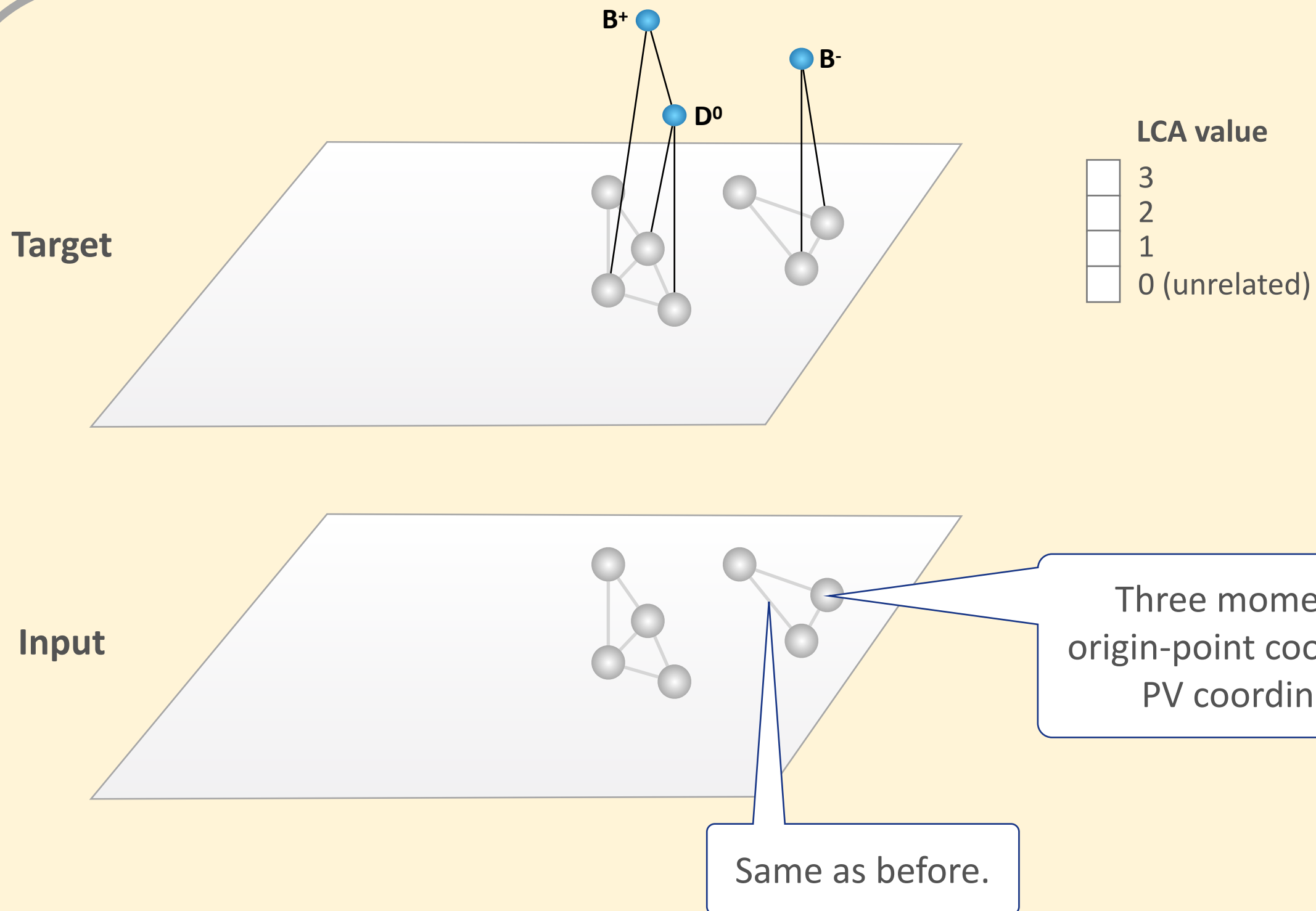
	K	π	π	μ	ν_μ
K	0	1	1	2	2
π	1	0	1	2	2
π	1	1	0	2	2
μ	2	2	2	0	2
ν_μ	2	2	2	2	0

Problem reduced to **multi-class classification on edges**.

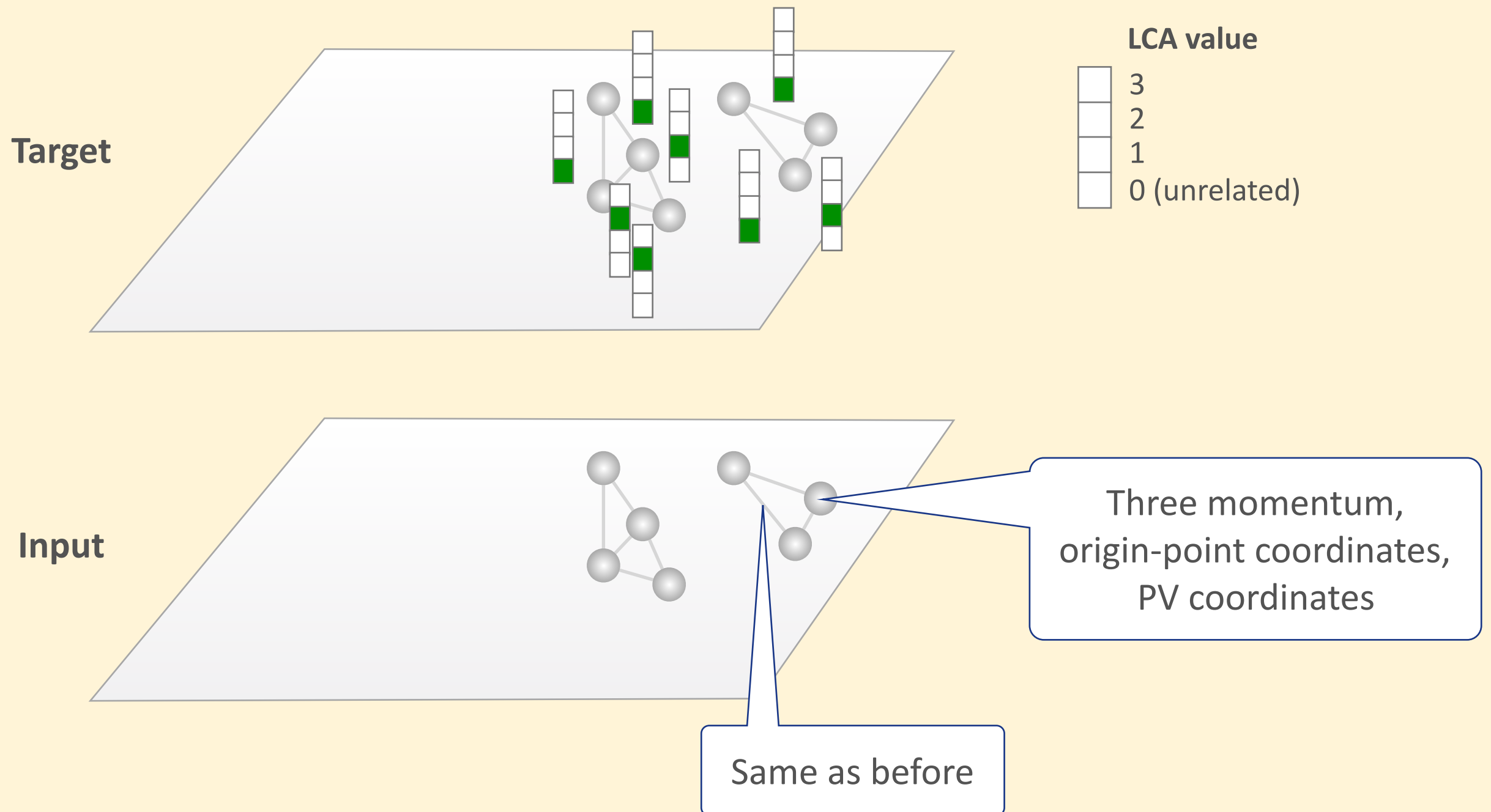
For the prototype, use as target a **simplified version of the decay chain, based on the reconstructible vertices**.

- Very-short-lived resonances merged with the previous ancestor.
- Resonances with less than two charged descendants merged with the previous ancestor.

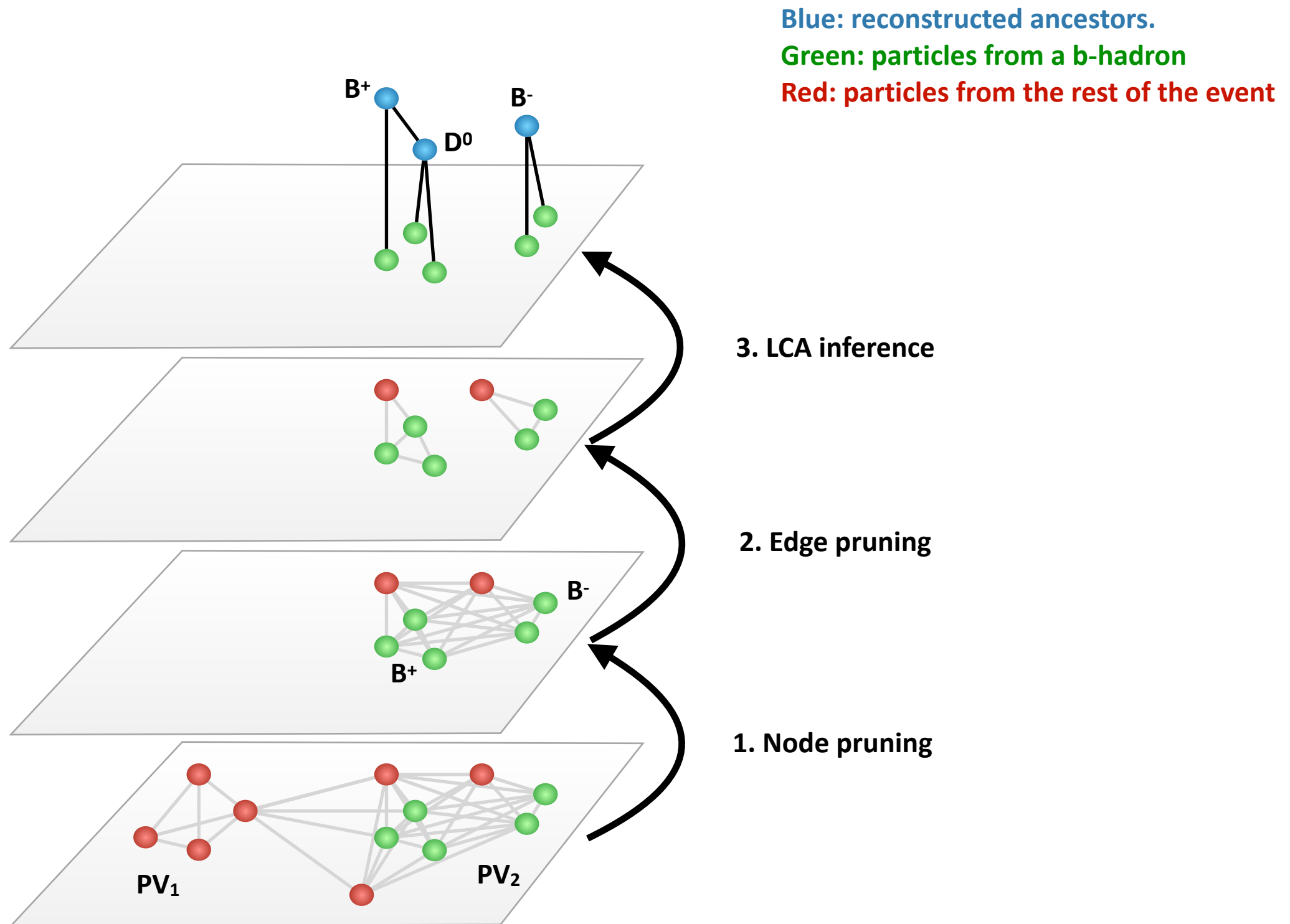
3rd module: Lowest Common Ancestor (LCA) inference



3rd module: Lowest Common Ancestor (LCA) inference



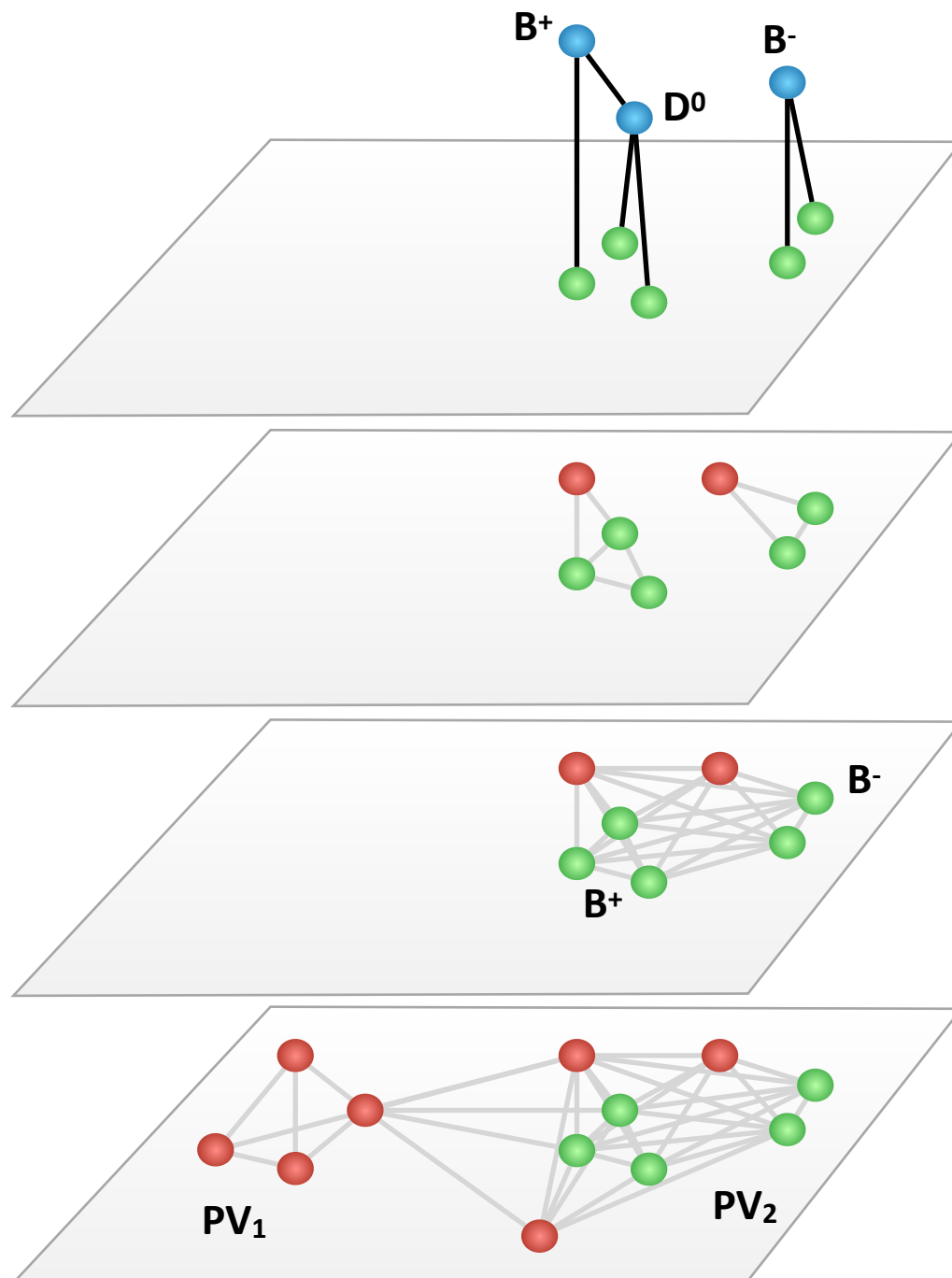
Global overview of the algorithm



Training

Dataset:

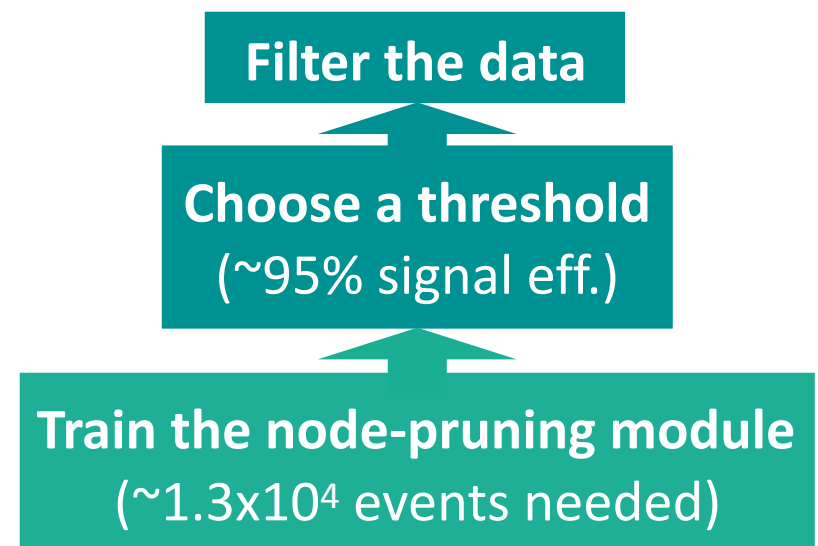
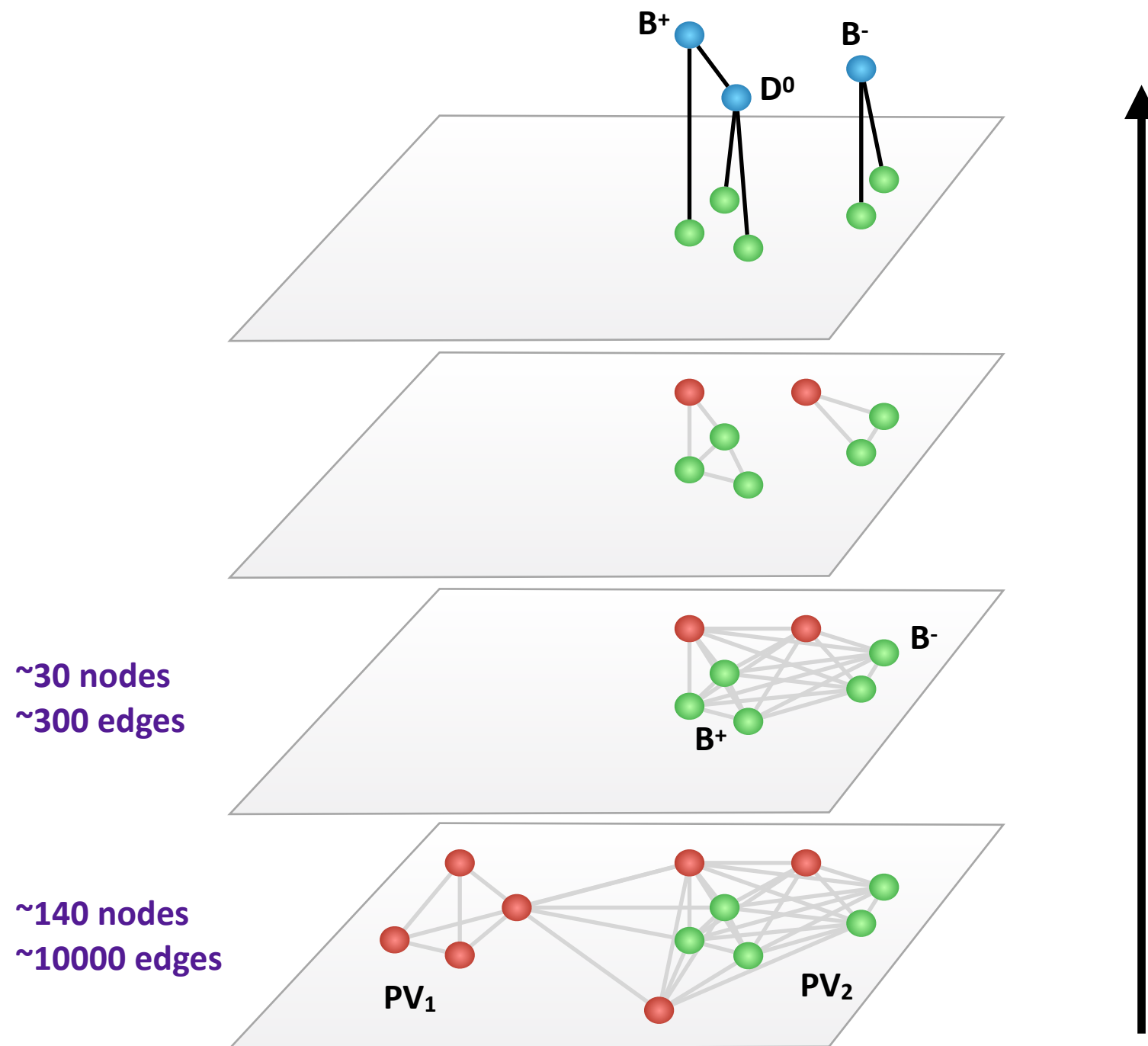
- PYTHIA-based simulation, Run 3 conditions, approximated emulation of LHCb reconstruction.
- Events required to contain at least one b-hadron (inclusive decay).



Training

Dataset:

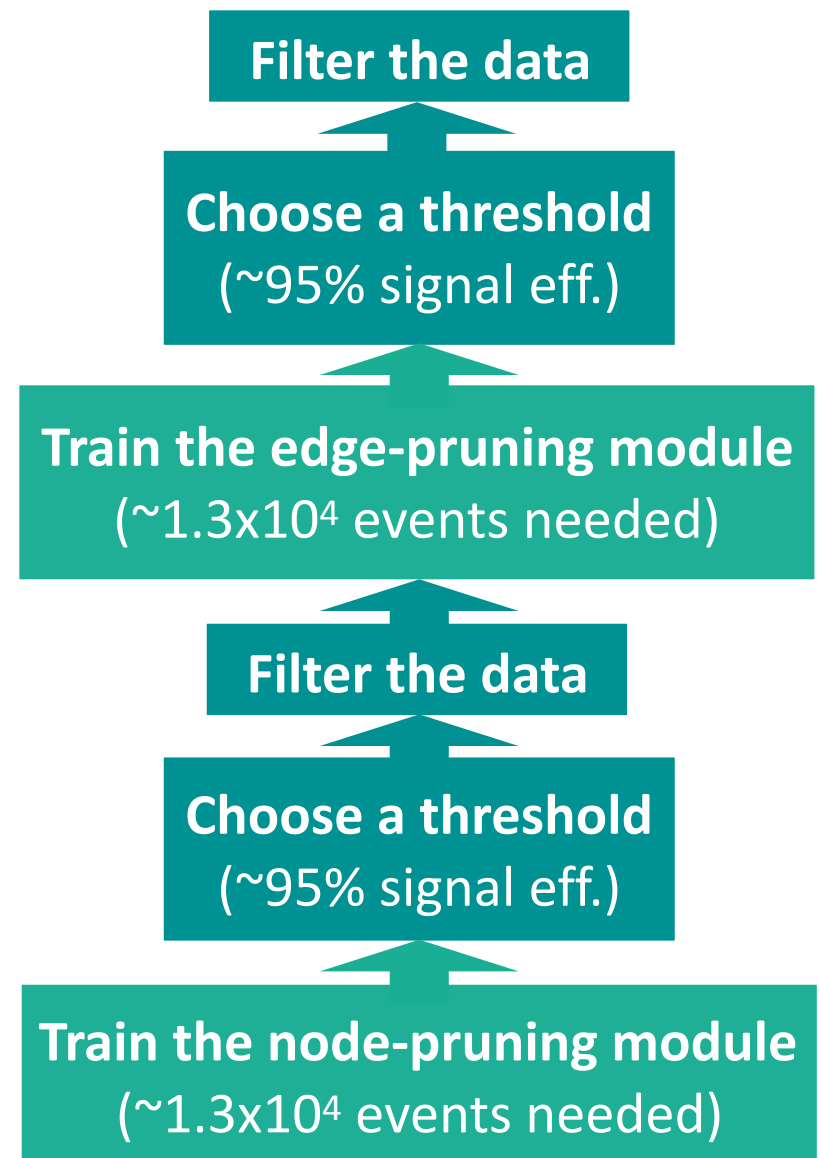
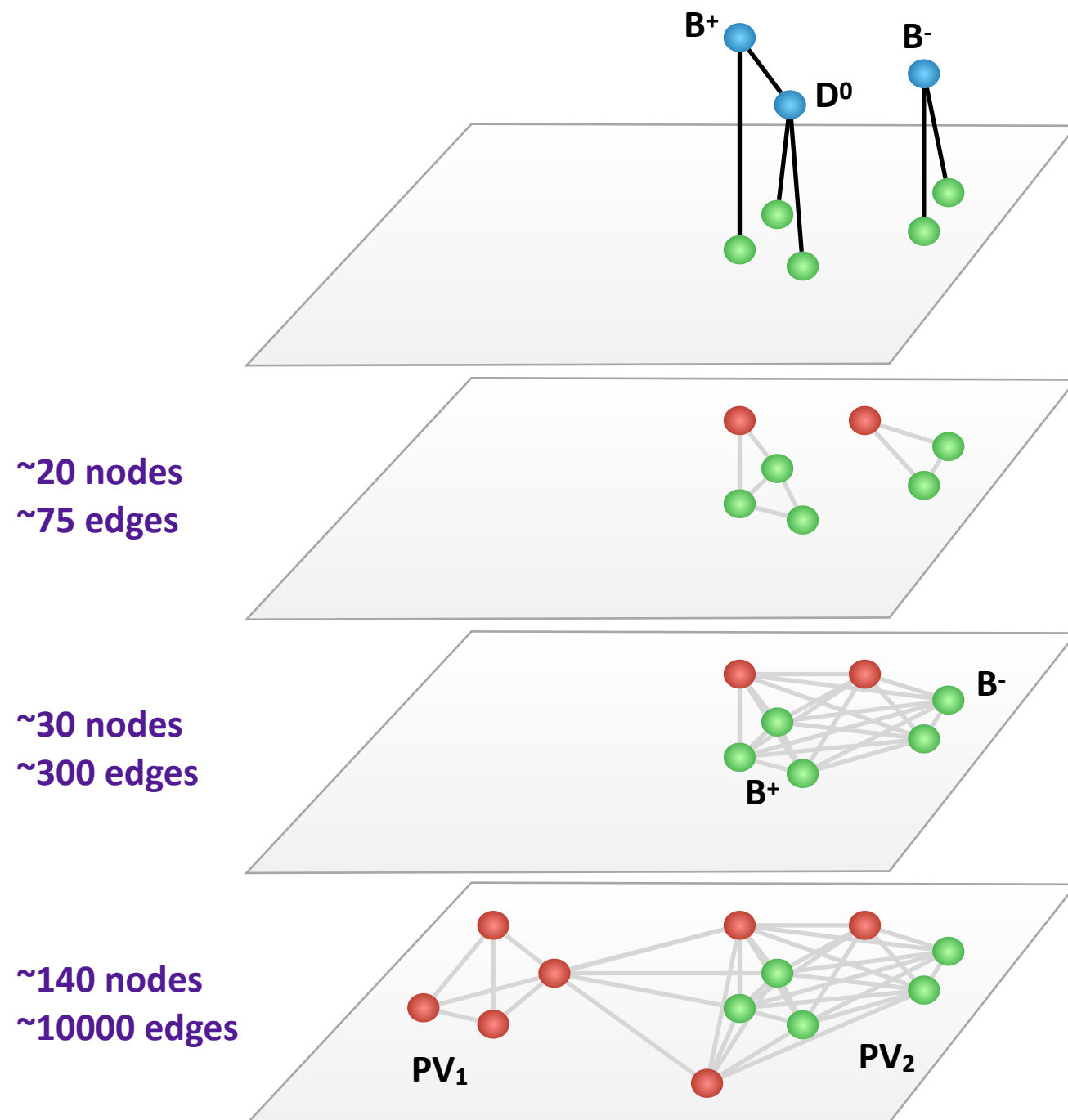
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Training

Dataset:

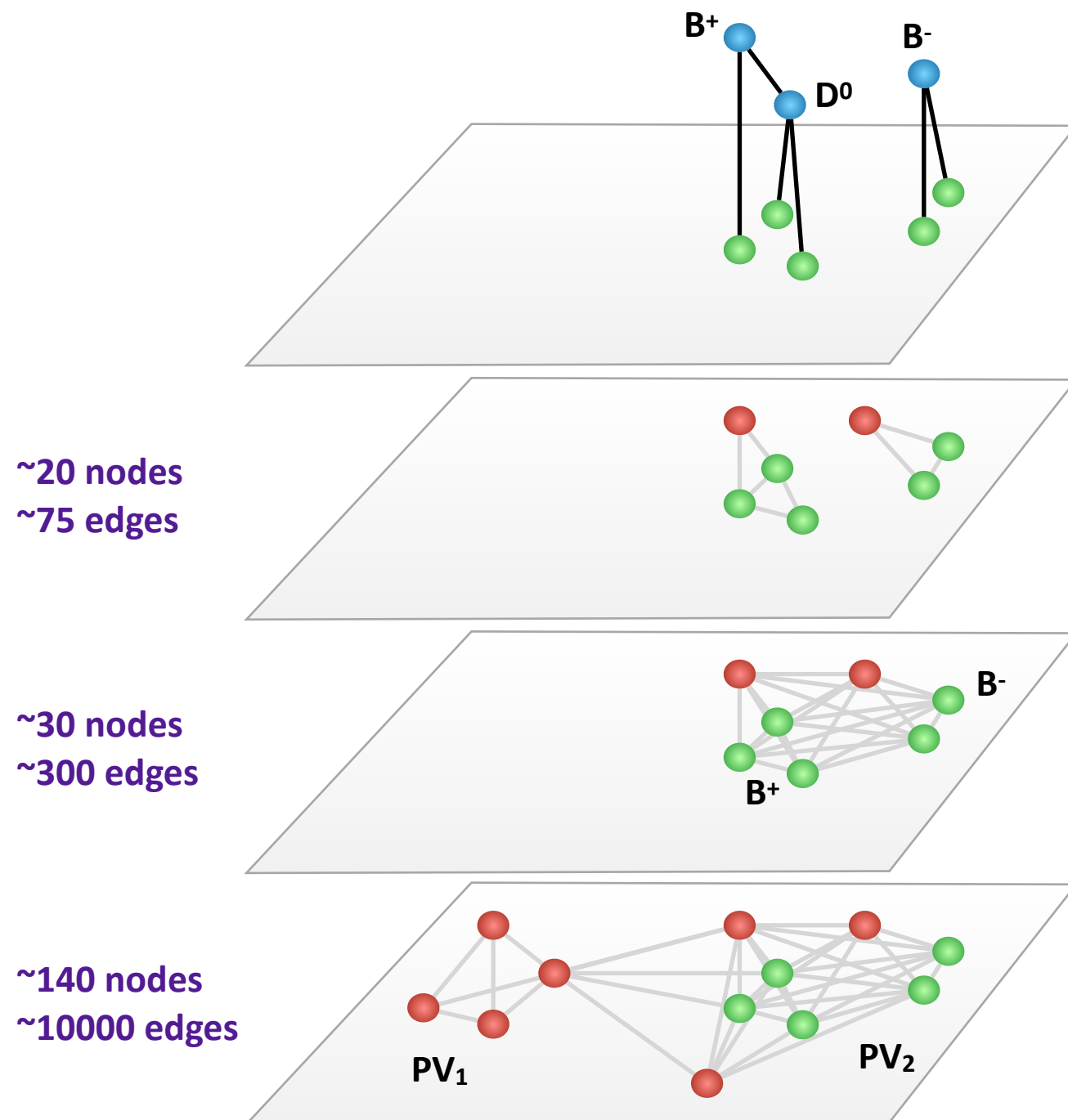
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Training

Dataset:

- PYTHIA-based simulation, Run 3 conditions, approximated emulation of LHCb reconstruction.
- Events required to contain at least one b-hadron (inclusive decay).



Train the LCA inference module
($\sim 3.1 \times 10^6$ events needed)

Filter the data

Choose a threshold
($\sim 95\%$ signal eff.)

Train the edge-pruning module
($\sim 1.3 \times 10^4$ events needed)

Filter the data

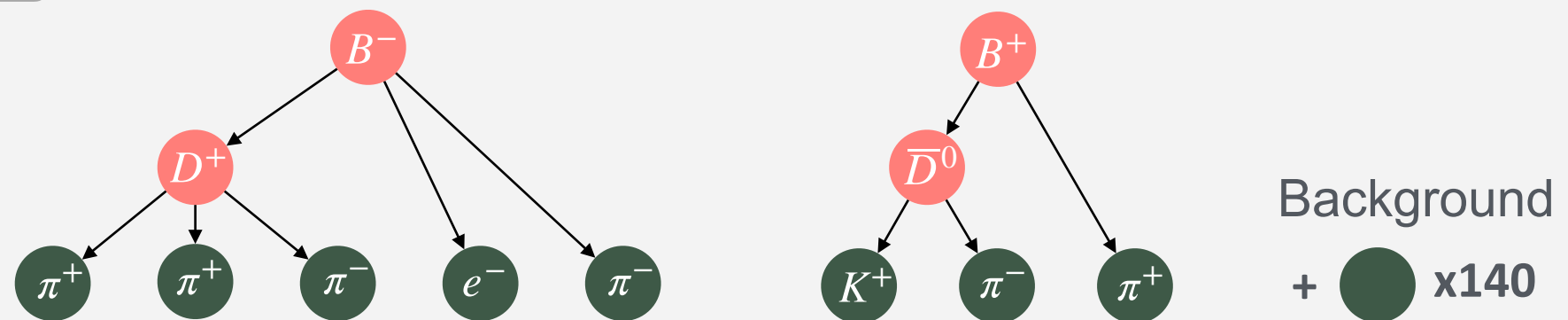
Choose a threshold
($\sim 95\%$ signal eff.)

Train the node-pruning module
($\sim 1.3 \times 10^4$ events needed)

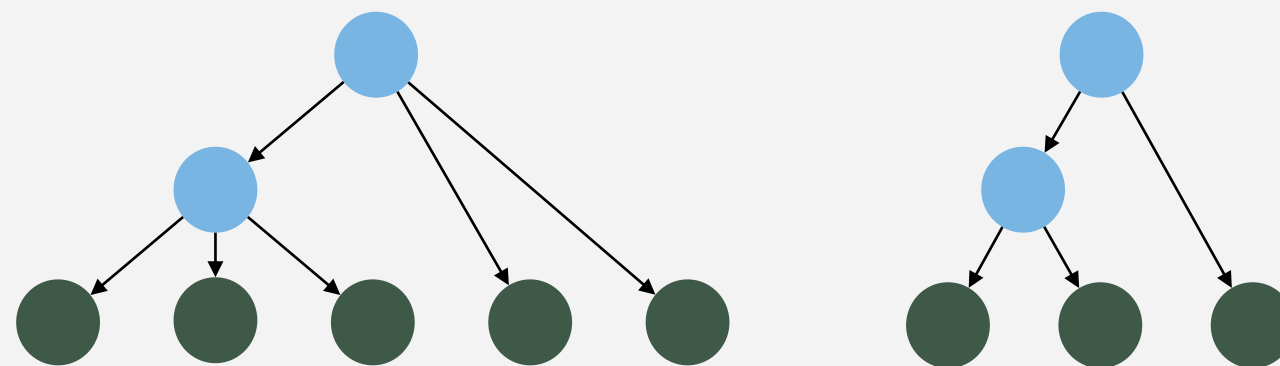
Preliminary performance: single chosen event

Example of a perfectly reconstructed simulation event.

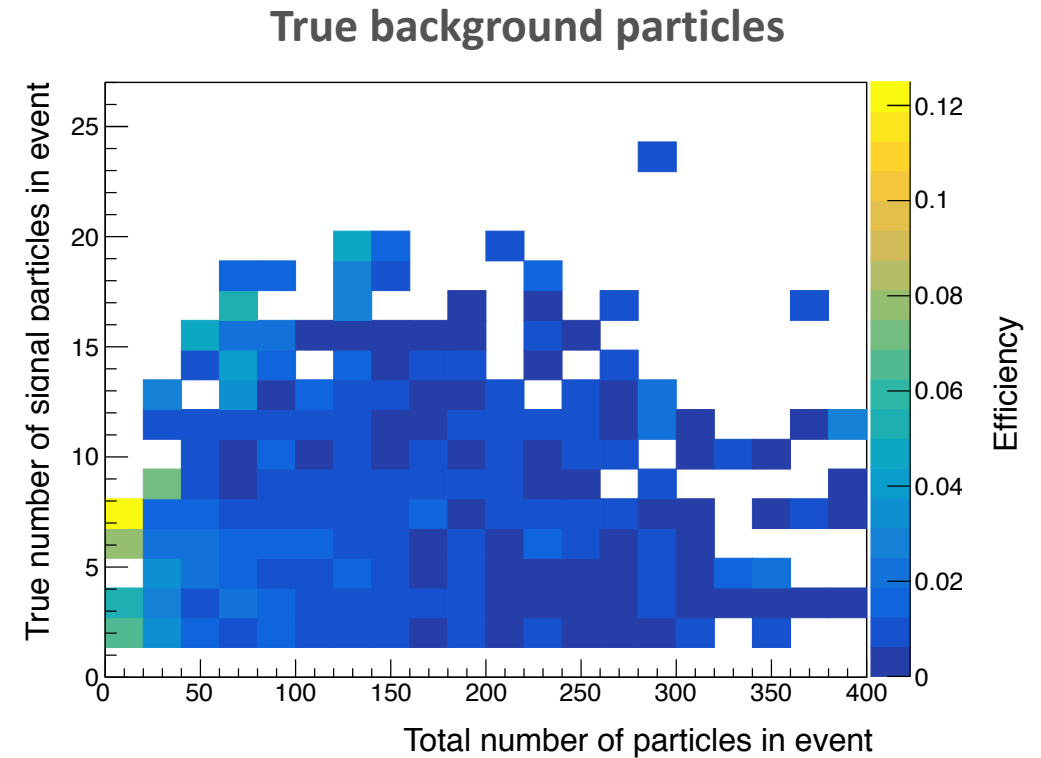
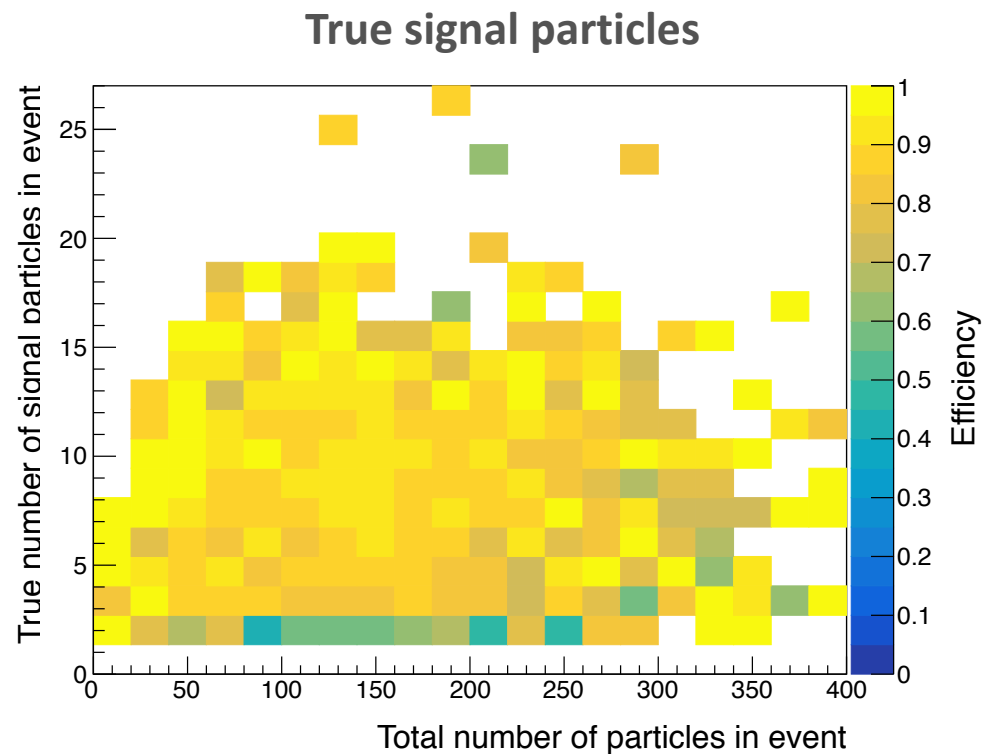
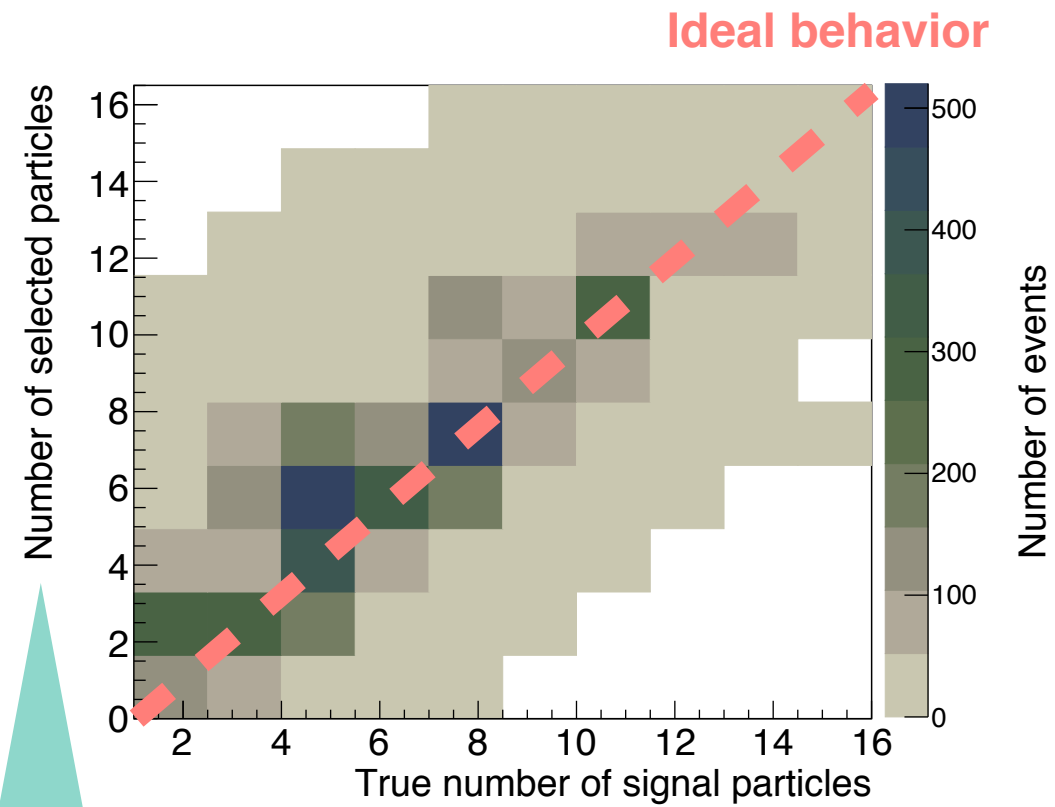
Simulated event



DFEI output



Preliminary performance: average over events



Summary and outlook

Unprecedented computational challenges for the future **Upgrade II of LHCb**.
Paradigm-change needed: from “**which events are interesting?**” to “**which parts of the event are interesting?**”.

As a solution, we propose a novel approach: change from the signal-based trigger strategy to a **Deep-learning based Full Event Interpretation**.

- ➡ Automatic and accurate identification and reconstruction of all the heavy-hadron decay chains per event.
- ➡ Allows to discard the rest of the event, with minimal loss for offline analyses.

We have developed the first prototype of the DFEI algorithm, focused on b-hadron decays and charged stable particles.

- ➡ **Very promising performance in realistic conditions!**

Future plans:

- ➡ Optimisation, expansion of functionality.
- ➡ Tests on Run 3 data.
- ➡ Full deployment in the Upgrade II.

Backup slides

Training dataset: emulating Run3 conditions

Particle collision&decay

The training and performance studies are currently done using **PYTHIA**, with the following configuration:

- Proton-proton collisions at 13 TeV.
- Average number of collisions per event: 7.6.
- Selecting **events with at least one b-hadron produced (inclusive decay)**.

“Detection and reconstruction”

We require all the tracks and the b-hadrons to be **inside the LHCb geometrical acceptance**.

In addition, we **emulate the reconstruction of the following quantities**, using publicly available expectations for the LHCb performance in Run3 (see backup):

- **Origin point of the tracks** (first measurement in the Vertex Locator).
- **Three-momentum of the tracks**.
- **Position of the primary vertices**.

Further bibliography

The LHCb Upgrades for Run3 and Run4: https://indico.cern.ch/event/868940/contributions/3813743/attachments/2081057/3495477/200725_ICHEP_LHCbUpgrades_v3.pdf

Performance estimates for Run3 conditions, used in our private simulation:

- Smearing of the true PV positions: https://indico.cern.ch/event/831165/contributions/3717129/attachments/2022791/3382986/ctd_2020_freiss.pdf
- Smearing of different reconstructed quantities: <https://twiki.cern.ch/twiki/bin/view/LHCb/ConferencePlots> and [Computer Physics Communications 265, 108026 \(2021\)](https://arxiv.org/abs/2108.10802).
- Geometry of the Vertex Locator: https://cds.cern.ch/record/2147229/files/10.1016_j.nima.2016.04.077.pdf

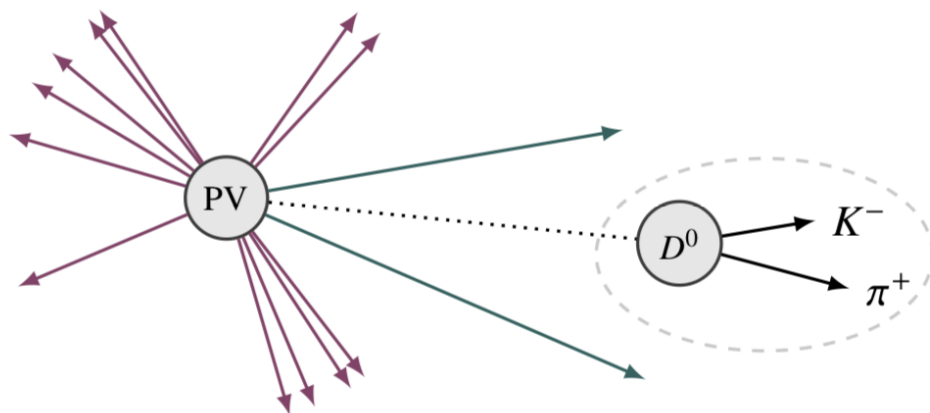
Signal-based trigger vs Full Event Interpretation (FEI)

Signal based

The current LHCb trigger is an **OR between many decay-mode selection lines**.

Since Run2, to reduce the event size, some lines **store only parts of the event which are related** to the specific signal. [\[JINST 14 \(2019\) 04, P04006\]](#)

E.g.: store the signal + the tracks in the same primary vertex (PV).



FEI

New proposal: try to **reconstruct the b- and c- hadron decay chains in the event**, in a hierarchical-clustering manner (cluster → unstable particle), **and discard the rest**.

Advantages:

- **Exploit extra correlations** between objects in the event.
- **Bandwidth oriented**: focus on storing as much “useful” information as possible.
 - Case of several signals per event as an integral part of the approach.
 - Establishment of a basis for an expanded functionality of the trigger: inclusive selections, study of anomalous events ...

Differences between Belle II and LHCb

Belle II

LHCb

Only B^0/B^\pm hadrons → All b-hadron species (+ c-hadrons)

List of possible decays large but limited and well identified. → Enormous list of possible decay chains.

e^+e^- collisions: clean environment. → pp collisions: important contamination from the rest of the event.

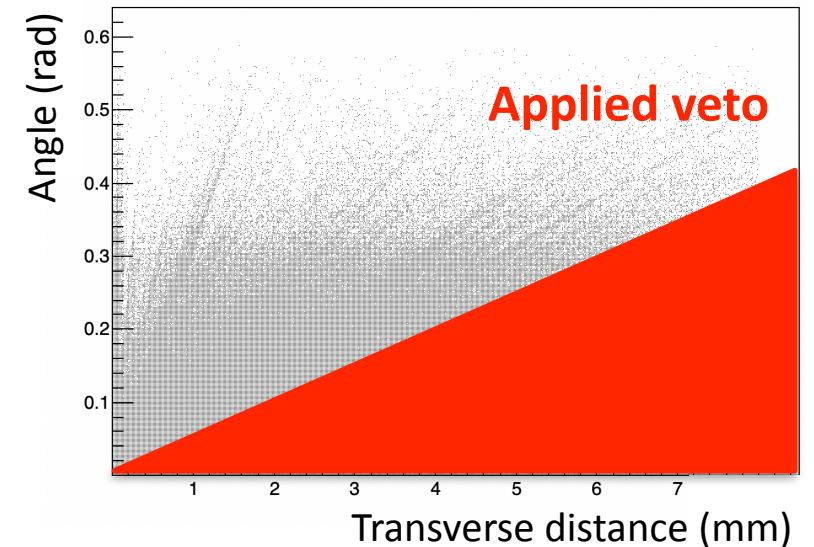
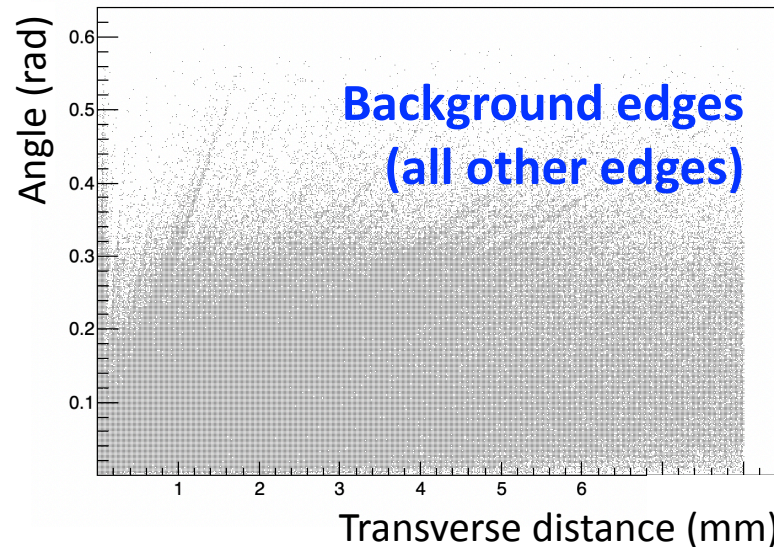
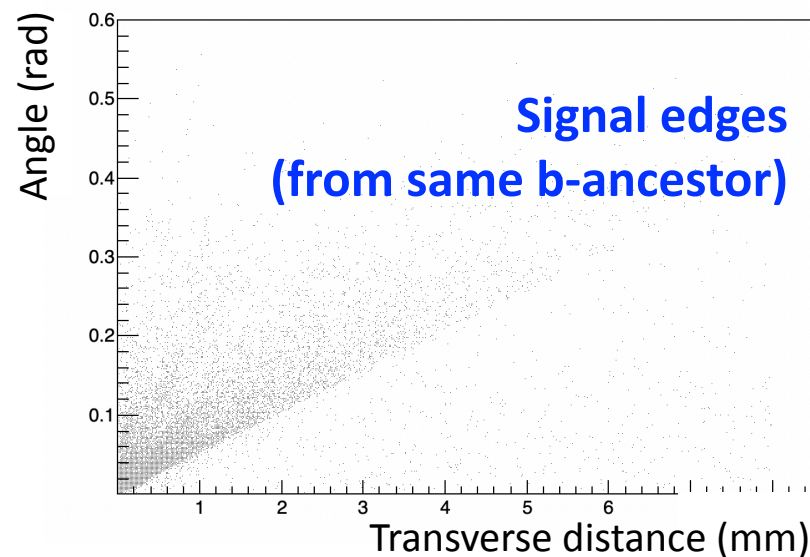
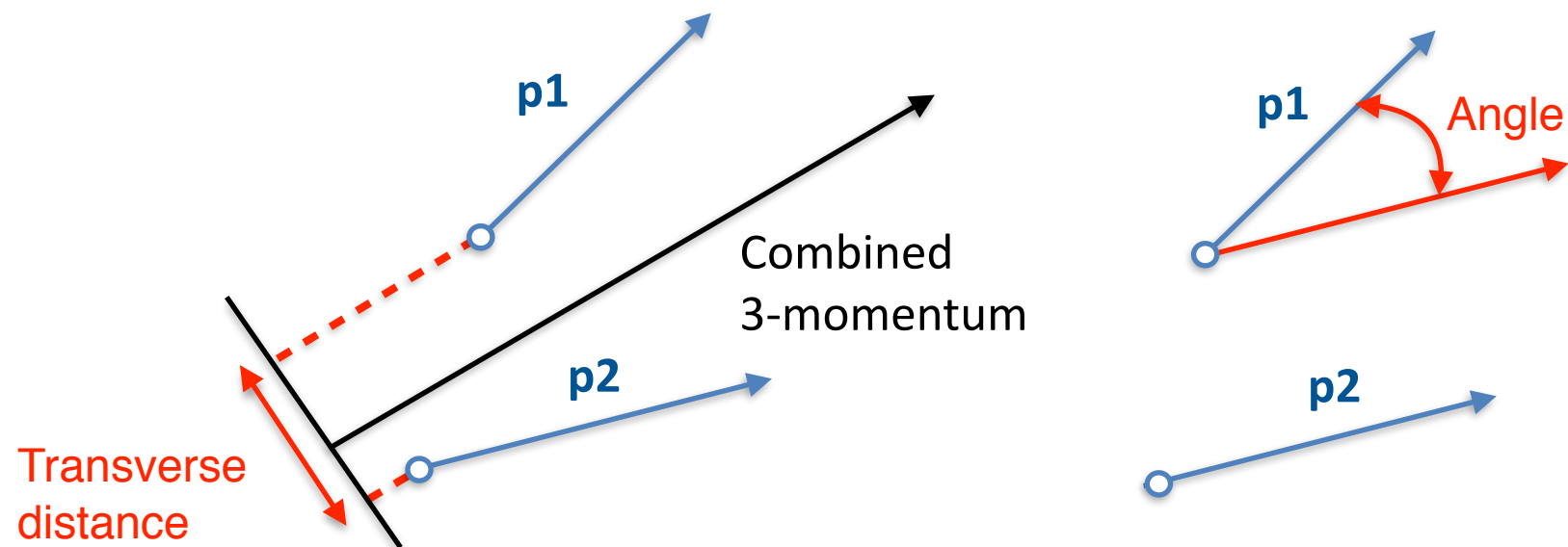
Hermetic detector: all the information (except for neutrinos) available. → Non-hermetic detector: partially-reconstructible decays in many cases.



Leverage the full power of **deep-learning** and try to reconstruct as much as possible.
➡ **DFEI: Deep-learning based FEI for LHCb.**

Cut-based edge pruning

Define two adequate topological variables for each edge (pair of particles)



This veto reduces on average 60% of the total number of edges in the graph.
It also reduces connections between signal tracks, but it only leaves $\sim 2\%$ of the signal tracks fully disconnected.

Example of decay-tree simplification used in the prototype

Original chain of ancestors:

$$\pi^+ \leftarrow \rho(770)^0 \leftarrow \phi(1020) \leftarrow D^+ \leftarrow B^0 \leftarrow B^{*0}$$



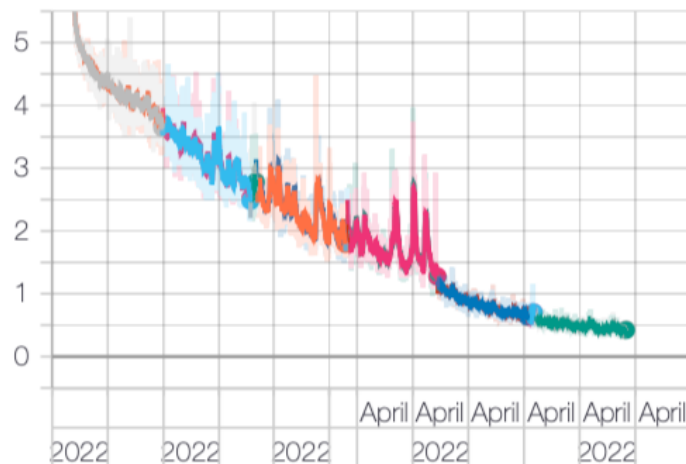
Simplified chain of ancestors (based on reconstructible vertices):

$$\pi^+ \leftarrow D^+ \leftarrow B^0$$

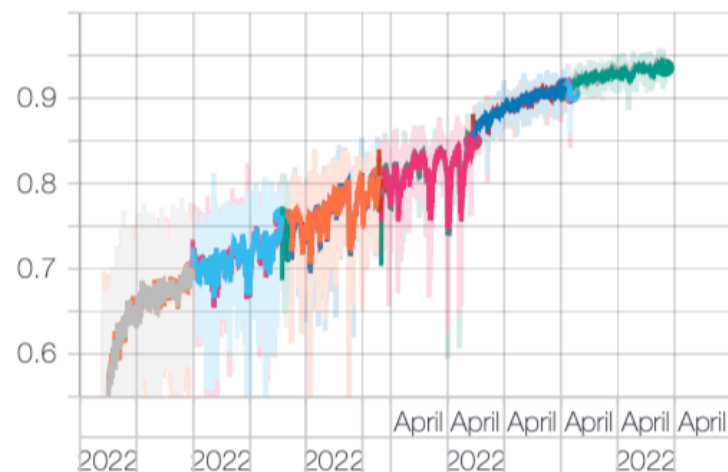
Training of the LCA reconstruction

Training split in 6 steps, each of them doing 4000 iterations in batches of 128 events.

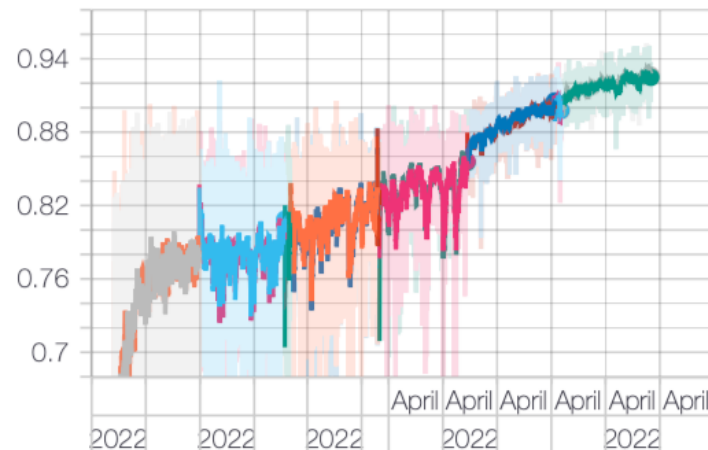
Loss



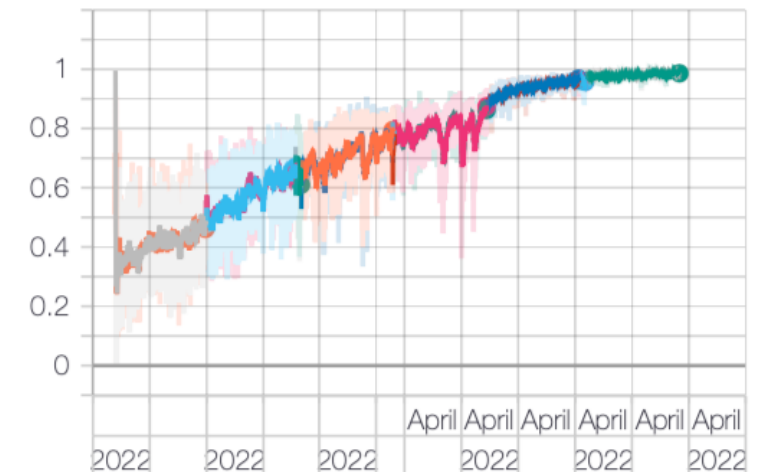
Average accuracy



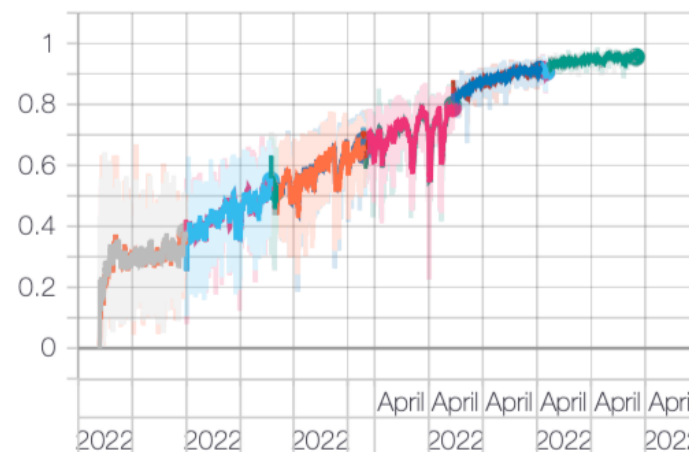
Accuracy (true LCA = 0)



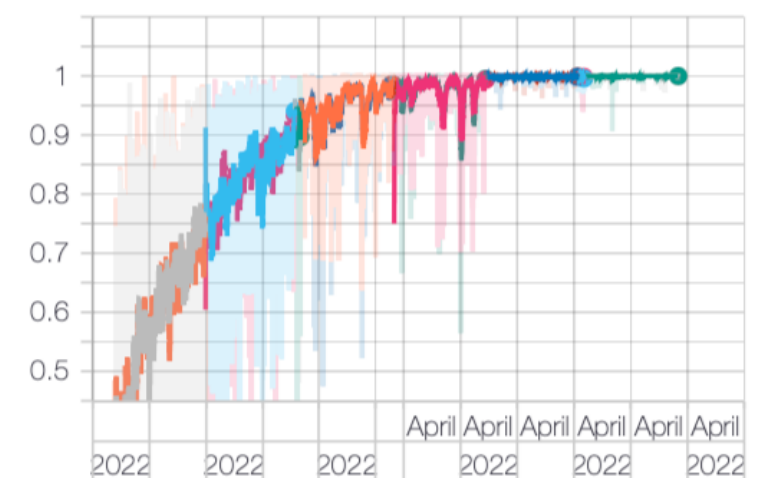
Accuracy (true LCA = 1)



Accuracy (true LCA = 2)



Accuracy (true LCA = 3)

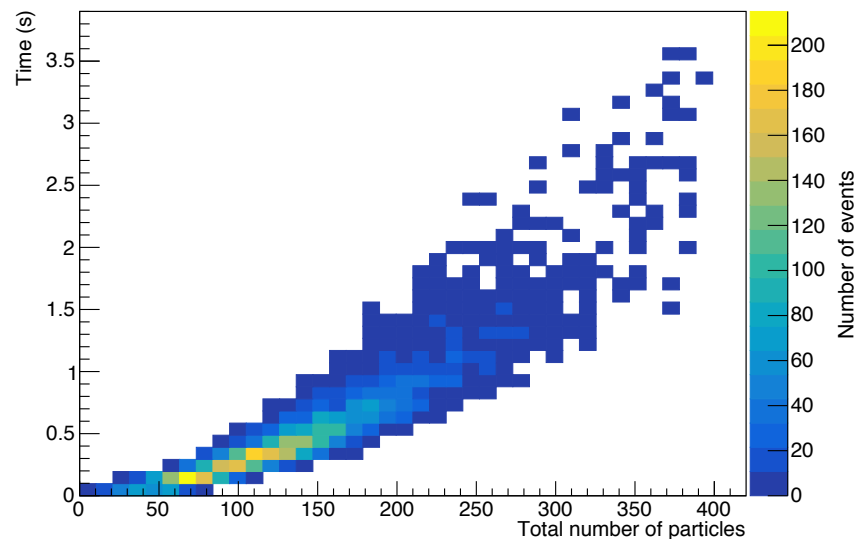


No signs of overtraining (training and test curves always ~overlapping).

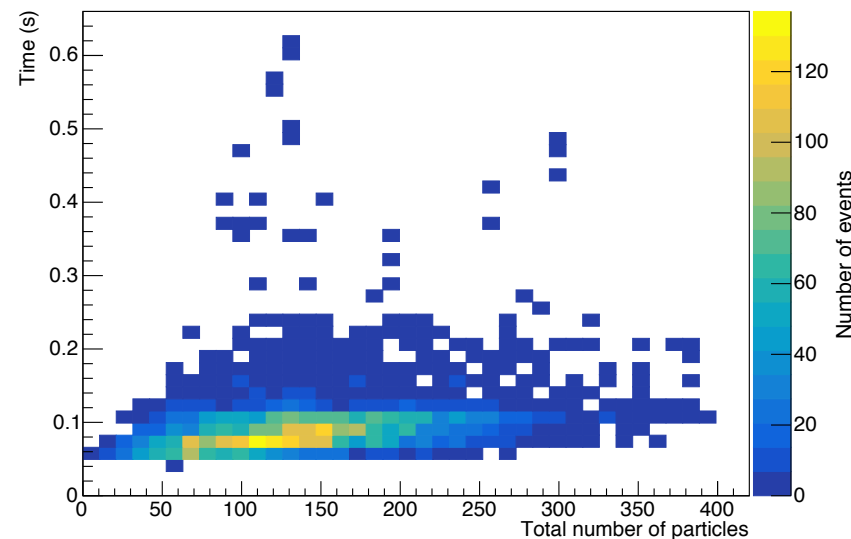
Performance: timing

Simplistic study (no parallelisation, no hardware accelerators*, algorithm to be further optimised), to **understand which are the slowest parts of the algorithm and how they scale with the total number of particles per event.**

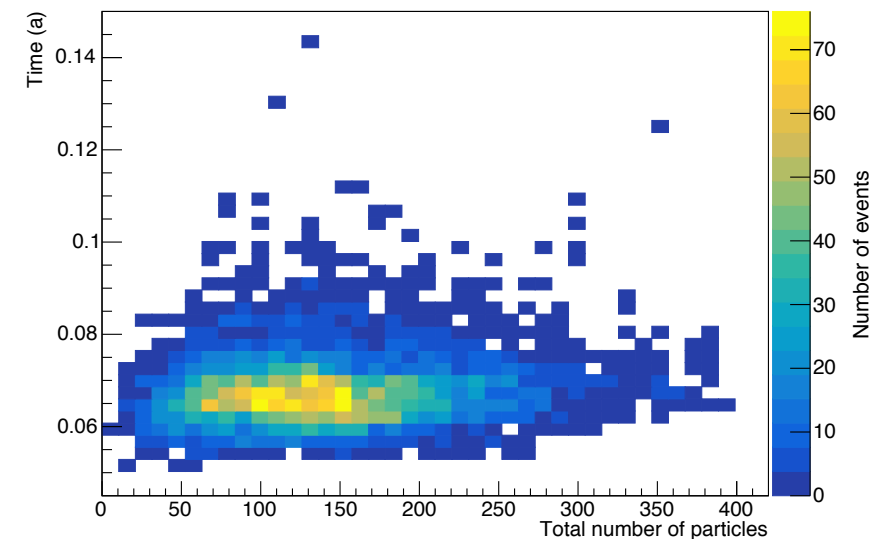
Node pruning



Edge pruning



LCA reconstruction



The slowest part is the node pruning, which also has the strongest dependency on the number of particles. → Many possible ways of optimisation.

The processing time of the subsequent algorithms is quite stable regarding changes in event complexity.

(*) Study done on a darwin-x86_64 architecture with a 2.8 GHz Intel Core i7 processor.