

2. Modern methods and applications

Recap of lecture 1

$$V_{\text{eff}}^{(0)} + V_{\text{eff}}^{(1)} = -\frac{1}{2}m^2\bar{\phi}^2 + \frac{1}{4}\lambda\bar{\phi}^4 + \int_k \left[\frac{\varepsilon_k}{2} + T \ln \left(1 - e^{-\beta\varepsilon_k} \right) \right] \quad \varepsilon_k = \sqrt{k^2 + m_{\text{eff}}^2}.$$

Problems of this formula:

- * recalling $m_{\text{eff}}^2 = -m^2 + 3\lambda\bar{\phi}^2$, ε_k can be complex for $\bar{\phi}^2 < \frac{m^2}{3\lambda}$, and $V_{\text{eff}}^{(1)}$ is ill-defined, at any temperature.
- * as discussed on p.4, even positive values of m_{eff}^2 are problematic, because $V_{\text{eff}}^{(0)} \sim V_{\text{eff}}^{(1)} \sim V_{\text{ess}}$ for $m_{\text{eff}} \lesssim \frac{\lambda T}{\pi}$.

Thermal field theory as an imaginary-time path integral

On p.3 we already showed the recipe for an imaginary-time path integral, and let us now give some justifications.

Starting point: Feynman's path integral, generalized to field theory.

In these lectures
we use the metric
 $\eta^{\mu\nu} = (+---)$.

$$\langle \phi_b | e^{-\frac{i}{\hbar} \hat{H}(t_b - t_a)} | \phi_a \rangle = \int d\phi \exp \left\{ \frac{i}{\hbar} \int_{t_a}^{t_b} dt \int d^3x \left[\frac{1}{2} \partial^\mu \phi \partial_\mu \phi - V(\phi) \right] \right\}.$$

What interests us:

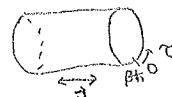
$$Z_\phi = \text{Tr}(e^{-\beta \hat{H}}) = \int_{-\infty}^{\infty} d\phi_a \langle \phi_a | e^{-\beta \hat{H}} | \phi_a \rangle.$$

The two can be related by a Wick rotation: $t_a \rightarrow 0$, $it_b \rightarrow \beta\hbar$, $it \rightarrow \gamma$

$$\Rightarrow Z_\phi = \int d\phi \exp \left\{ \frac{1}{\hbar} \int_0^{\beta\hbar} dt \int d^3x \left[-\frac{1}{2} \partial_\gamma \phi \partial_\gamma \phi - \frac{1}{2} \partial_i \phi \partial_i \phi - V(\phi) \right] \right\}$$

$\equiv L_E$

Periodicity implies that fields live in a compact time direction:



In the classical limit: $\frac{1}{\hbar} \int_0^{\beta\hbar} dt \rightarrow \beta$;

$$\exp \left\{ -\frac{1}{\hbar} \int_0^{\beta\hbar} dt \int d^3x L_E \right\} \rightarrow \exp \left\{ -\beta \int d^3x L_E \right\}.$$

"Boltzmann weight".

Momentum space (now with $\hbar \rightarrow 1$):

$$\phi(r, \vec{x}) = T \sum_{w_n} \int_{\mathbb{R}^d} \phi(k) e^{ik \cdot x}, \quad k \cdot x \equiv w_n r - \vec{k} \cdot \vec{x}.$$

$$\Rightarrow \int_{\vec{x}} \frac{1}{2} (\partial_r \phi \partial_r \phi + \partial_i \phi \partial_i \phi + m^2 \phi^2) = \sum_k \frac{1}{2} \phi(-k) (w_n^2 + k^2 + m^2) \phi(k).$$

Propagator:

$$\phi(k) \phi(a) = \frac{\delta(k+q)}{w_n^2 + \varepsilon_k^2}, \quad \delta(k) = \int_x e^{ik \cdot x} = \beta \delta_{w_n, 0} (2\pi)^d \delta^{(d)}(k),$$

where d is space dimension.

Origin of infrared (IR) problem

The problems listed on p.5 are related to small values of $m_{\text{eff}}^2 \lesssim (\frac{\lambda T}{\pi})^2$, and are therefore known as an IR problem.

For small λ , $(\frac{\lambda T}{\pi})^2 \ll (2\pi T)^2$. In momentum space, this implies that $m_{\text{eff}}^2 \ll \omega_n^2$, whenever $n \neq 0$. Therefore IR problems (sensitivity to m_{eff}) can only concern the zero-mode $\omega_0 = 0$; otherwise IR physics is "shielded" by $\omega_n \neq 0$.

Effective field theory approach

The general idea is to "turn the tables" and consider the "problematic" Matsubara zero modes as the key degree of freedom. Since zero modes do not depend on τ , the fields live in $d=3$ dimensions, and the procedure is called dimensional reduction (DR). The unproblematic modes $\omega_n \neq 0$ are "integrated out".

Key concepts:

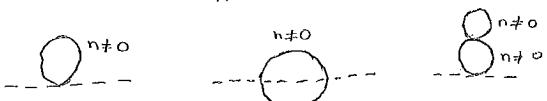
- * scale hierarchy: $m_{\text{eff}} \ll 2\pi T = \min\{\omega_n, n \neq 0\}$.
- * factorization: treat different scales with different methods.
- * IR side: write down most general L_E for zero modes.
- * UV side: determine parameters of L_E by "matching", so that the contributions from $\omega_n \neq 0$ are also included.

Implementation:

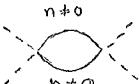
$$S_{\text{eff}} = \frac{1}{T} \int d^3x \left\{ \frac{1}{2} (\partial_i \phi_3)^2 + \frac{1}{2} m_3^2 \phi_3^2 + \frac{1}{4} \lambda_3 \phi_3^4 + \dots \right\}$$

from $\int \frac{d^3k}{(2\pi)^3} \delta(\omega - \omega_{n,k})$
 only spatial derivatives, for $\partial_\tau \phi_3 = 0$
 $m_3^2 \approx -m^2 + \frac{\lambda T^2}{4}$
 truncated higher-dimensional operators

- * for normalization of ϕ_3 and for mass parameter m_3^2 :



- * for λ_3 :



- * tricky: is not $\text{---} \circ \text{---} = \text{---} \overset{\circ}{\text{---}}$ already accounted for by λ_3 ? Not completely, as there is a left-over yielding $8m_3^2$! Mixed diagrams require always care, also $\text{---} \overset{\circ}{\text{---}}$ and $\text{---} \overset{\circ}{\text{---}}$.

Example: 1-loop contribution to m_3^2

$\text{---} \bigcirc_{n \neq 0}$

$$\overbrace{\phi\phi}^3 \frac{\lambda}{4} \overbrace{\phi\phi\phi\phi}^4 \rightarrow \delta m_3^2 = 3\lambda \int_{K, w_n \neq 0} \frac{1}{w_n^2 + k^2 + m^2}$$

can be omitted
 $f \ll (g\pi T)^2$

$$\text{Sums (p. 2): } T \sum_{w_n \neq 0} \frac{1}{w_n^2 + k^2} = \frac{1}{k} \left[\frac{1}{2} + \frac{1}{e^{k/T} - 1} \right] \sim \frac{T}{k^2} .$$

$$\text{Integral: } \int \frac{d^d k}{(2\pi)^d} \frac{1}{k} \left[\frac{1}{2} + \frac{1}{e^{k/T} - 1} - \frac{T}{k} \right]$$

$$= \sum_{n=1}^{\infty} \frac{2}{(4\pi)^{d/2} \Gamma(d/2)} \int_0^{\infty} dk k^{d-2} \left[e^{-\frac{kn}{T}} + \frac{1}{2} - \frac{T}{k} \right]$$

no scale \rightarrow vanish
in dimensional regularization!

$$\frac{d^d k}{(2\pi)^d} = \frac{2 dk k^{d-1}}{(4\pi)^{d/2} \Gamma(d/2)}$$

$$\frac{1}{e^{k/T} - 1} = \frac{e^{-k/T}}{1 - e^{-k/T}} = \sum_{n=1}^{\infty} e^{-\frac{kn}{T}}$$

$$= \sum_{n=1}^{\infty} \frac{2 T^{d-1}}{(4\pi)^{d/2} \Gamma(d/2) n^{d-1}} \int_0^{\infty} dx x^{d-2} e^{-x}$$

$$= \frac{2 T^{d-1} \Gamma(d-1) \zeta(d-1)}{(4\pi)^{d/2} \Gamma(d/2)}$$

$$\stackrel{d=3}{=} \frac{2 T^2 \zeta(2)}{4\pi \sqrt{4\pi} \Gamma(3/2)} = \frac{2 T^2 \frac{\pi^2}{6}}{4\pi^2 \sqrt{\pi} \frac{1}{2} \Gamma(\pi)} = \frac{T^2}{12} .$$

$$\text{So } \delta m_3^2 = \frac{\lambda T^2}{4} , \text{ as we saw on p. 4.}$$

* Here the temporal gauge fields A_0^a, B_0 have been omitted; we return to the justification on p. 18.

Extension of the effective theory to the Standard Model*

$$L_{\text{eff}} = \frac{1}{4} G_{ij}^a G_{ij}^a + \frac{1}{4} F_{ij} F_{ij} + (\partial_i \phi_3)^+ (\partial_i \phi_3) + m_3^2 \phi_3^+ \phi_3 + \lambda_3 (\phi_3^+ \phi_3)^2 + \dots$$

(SU(2))

(U(1))

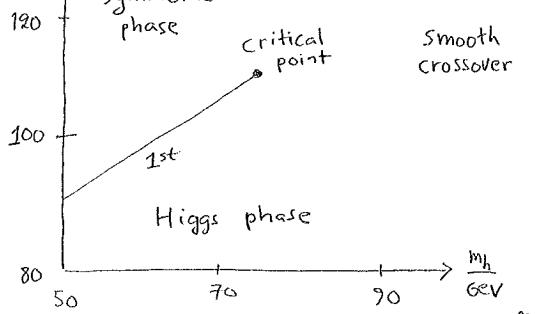
$-\frac{m_h^2}{2} + \mathcal{O}(T^2)$

$\frac{g_w^2 m_h^2}{8 m_0^2} + \dots$

Upshot: * UV side: parameters determined up to 2-loop level

* IR side: L_{eff} studied with lattice simulations

* phase diagram: T_{GeV}



real world
is here

Example of a strong first-order phase transition

Consider a theory with two real scalar fields:

$$\mathcal{L}_M = \frac{1}{2} \partial^\mu \phi \partial_\mu \phi + \frac{1}{2} \partial^\mu x \partial_\mu x - V(\phi, x); \quad V(\phi, x) = -\frac{m^2 \phi^2}{2} + \frac{\lambda \phi^4}{4} - \frac{M^2 x^2}{2} + \frac{\kappa x^4}{4} + \frac{\gamma \phi^2 x^2}{2};$$

$$\mathcal{L}_E = -\mathcal{L}_M (t \rightarrow -ix);$$

$$\mathcal{L}_{\text{eff}} = \frac{1}{2} \partial_i \phi_3 \partial^i \phi_3 + \frac{1}{2} \partial_i x_3 \partial^i x_3 + V_3(\phi_3, x_3); \quad V_3(\phi_3, x_3) = \frac{m_3^2 \phi_3^2}{2} + \frac{\lambda_3 \phi_3^4}{4} + \frac{M_3^2 x_3^2}{2} + \frac{\kappa_3 x_3^4}{4} + \frac{\gamma_3 \phi_3^2 x_3^2}{2}.$$

Thermal masses can be computed like on p. 7:

$$\begin{array}{c} \phi \\ \text{---} \end{array} \stackrel{n \neq 0}{\textcircled{1}}$$

$$\begin{array}{c} \overset{4}{\phi \phi} \overset{\lambda}{\phi \phi \phi \phi} \overset{3}{\phi \phi} \\ \boxed{\phi \phi} \quad \boxed{\phi \phi} \end{array} \Rightarrow m_3^2 \approx 3\lambda \cdot \frac{T^2}{12}$$

$$\begin{array}{c} \overset{3}{x \ x} \overset{\frac{\lambda}{2}}{\phi \phi x x} \\ \boxed{\phi \phi} \quad \boxed{x \ x} \end{array} \Rightarrow m_3^2 \approx \gamma \frac{T^2}{12}$$

And similarly for $x \Rightarrow \left\{ \begin{array}{l} m_3^2 \approx -m^2 + \frac{(3\lambda + \gamma)T^2}{12}, \\ M_3^2 \approx -M^2 + \frac{(3\kappa + \gamma)T^2}{12}. \end{array} \right.$

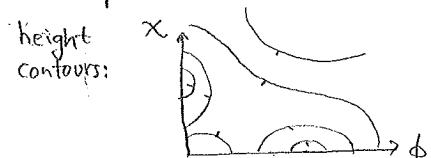
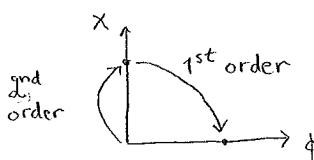
Therefore symmetries tend to get restored at $\left\{ \begin{array}{l} T_\phi^2 \approx \frac{12m^2}{3\lambda + \gamma}, \\ T_x^2 \approx \frac{12M^2}{3\kappa + \gamma}. \end{array} \right.$

Idea: two-step transition

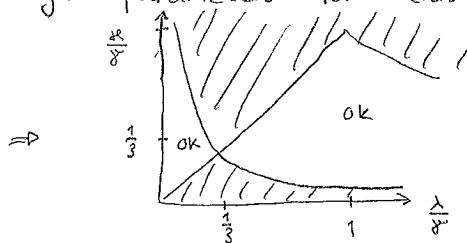
* suppose that symmetry first gets broken in x -direction ($T_x > T_\phi$)

* however at low T we return to ϕ_{\min} ($V_{\text{eff}}(\phi_{\min}, 0) < V_{\text{eff}}(0, x_{\min})$)

* then there could be a strong "tree-level" transition, proceeding through a saddle point:



Are there enough parameters for this? Exercise!



Summary: first order transitions are possible in extensions of the Standard Model.