



SM&FT - The XIX Workshop on Statistical Mechanics  
and nonperturbative Field Theory  
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**SM&FT 2022**

THE XIX WORKSHOP ON  
STATISTICAL MECHANICS AND  
NON PERTURBATIVE FIELD THEORY

# Work fluctuations in the harmonic active Ornstein-Uhlenbeck particle model

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# Outline

- Introduction
- Active Matter
- Active Work
- Dynamical Phase Transitions
  
- Harmonically trapped AOUP
- Scaled Cumulant Generating Function
- Effective vs primary domain
- Singular rate function
- Trajectory characterisation
  
- Take home messages

# Introduction

## Non equilibrium systems

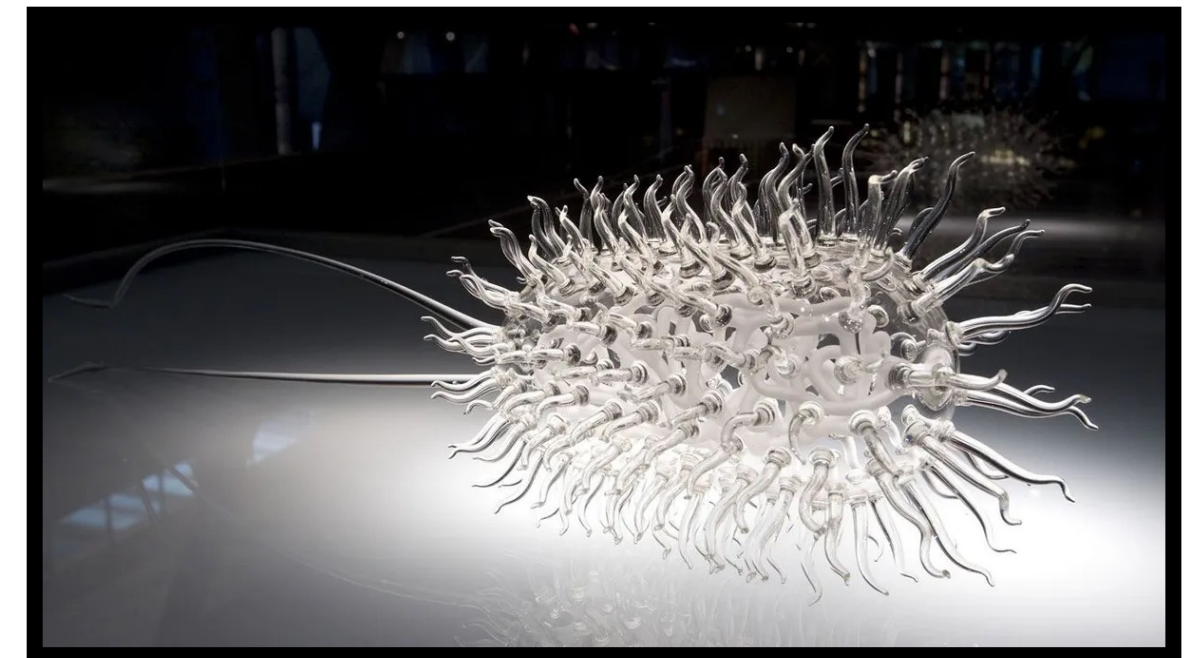
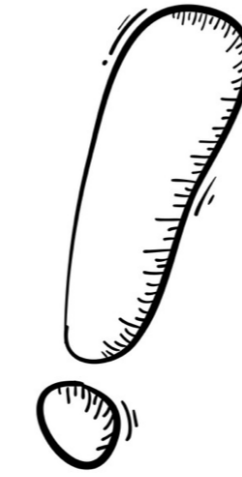
net macroscopic flows of matter or energy within a system or between systems

## Relevant non equilibrium system

- Multi-bath systems
- Ratchet systems
- Active matter

## Important observables

the most significant observable to monitor are the (trajectory-wise defined) entropy production and active work



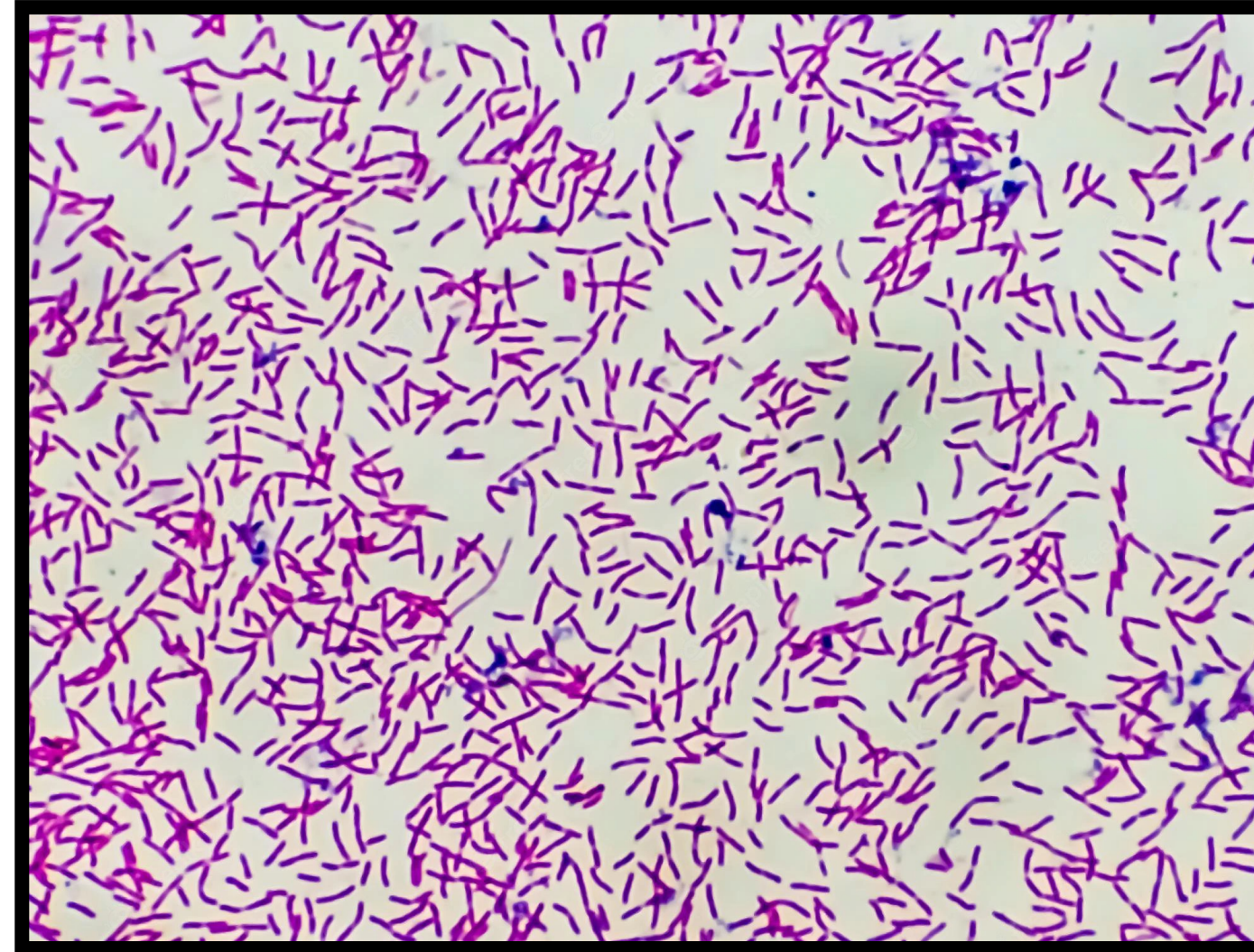
# Active matter systems

- each single component continuously transforms energy from internal reservoirs or from the surroundings to self-propel
- Examples

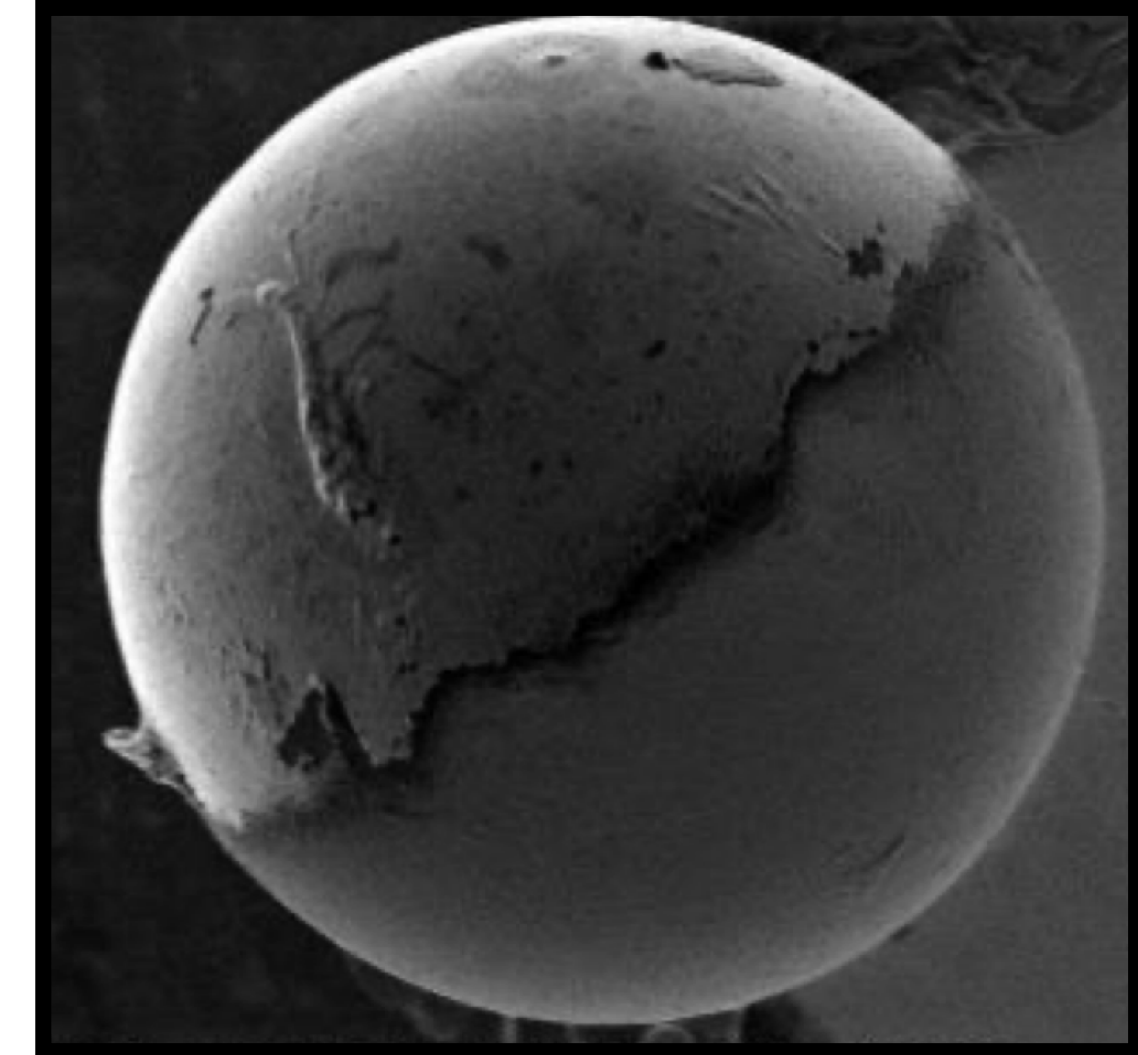
flock of birds



bacteria



janus particles



- active matter systems are inherently out of equilibrium

# Active Work

- Active particle dynamics

$$m\ddot{r}_i(t) = -\gamma\dot{r}_i + \boxed{F_i^a(t)} - \nabla \cdot U(x(t), t) + \eta_i(t)$$

- Active Work

$$W_\tau = \frac{1}{\tau} \int_0^\tau dt F_i^a(t) \cdot \dot{r}_i(t)$$

- Large deviation theory

$$P(W_\tau) \approx e^{-\tau I(W_\tau)}$$

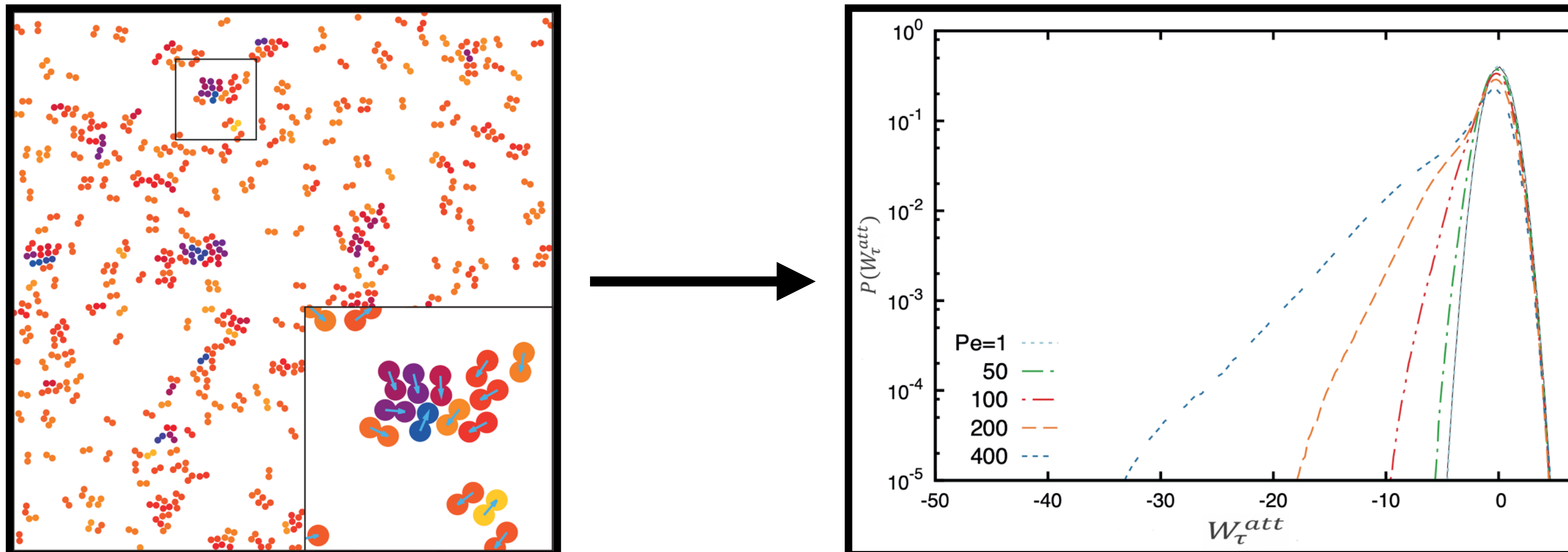
$I(W_\tau)$  rate function

# Dynamical phase transitions

- Singularities in  $I(W_\tau)$   $\longrightarrow$  Dynamical Phase Transitions!
- Single free particle model (AOUP)  $\longrightarrow$  no singularities
- System of interacting particles (ABPs)  $\longrightarrow$  singularities

J Stat Mech 2021,  
Semeraro et al

PRL 2017,  
Cagnetta et al



# Harmonically trapped AOUP

soon on arXiv,  
Semeraro et al

- System equations
 
$$\begin{cases} \gamma \dot{r}(t) = a(t) - kr(t) + \sqrt{2\gamma k_B T} \xi(t) \\ \dot{a}(t) = -\nu a(t) + F\sqrt{2\nu} \eta(t) \end{cases}$$
- $W_\tau$  distribution evaluated through path integral techniques ( $\gamma = k = T = \nu = 1$ )

$$P(W_\tau = w\tau) = \int \delta(W_\tau - w\tau) P_\tau \mathcal{D}r \mathcal{D}a$$

$$P_\tau \propto \left\{ -\frac{1}{2} (r(0) \ a(0)) \Sigma_0^{-1} \begin{pmatrix} r(0) \\ a(0) \end{pmatrix} \right\}$$

$$\exp \left\{ -\frac{1}{4} \int_0^\tau [\dot{r}(t) - a(t) + kr(t)]^2 dt \right\}$$

$$\exp \left\{ -\frac{1}{4Pe^2} \int_0^\tau [\dot{a}(t) + a(t)]^2 dt \right\}$$

$$Pe = \frac{Fd}{k_B T}$$

$$\kappa = \frac{kd^2}{k_B T}$$

- Direct approach fails, discretised version of the problem  $W_\tau \longrightarrow W_N$

arXiv:2204.08059,  
Zamparo et al.



# Scaled cumulant generating function

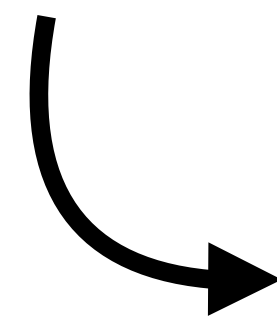
- Scaled cumulant generating function

$$\phi(\lambda) = \frac{1 + \kappa}{2} - \frac{1}{2} \sqrt{(1 + \kappa)^2 - 4Pe^2 \lambda(1 + \lambda)}$$

- Primary domain  $(\tilde{\lambda}_-, \tilde{\lambda}_+)$

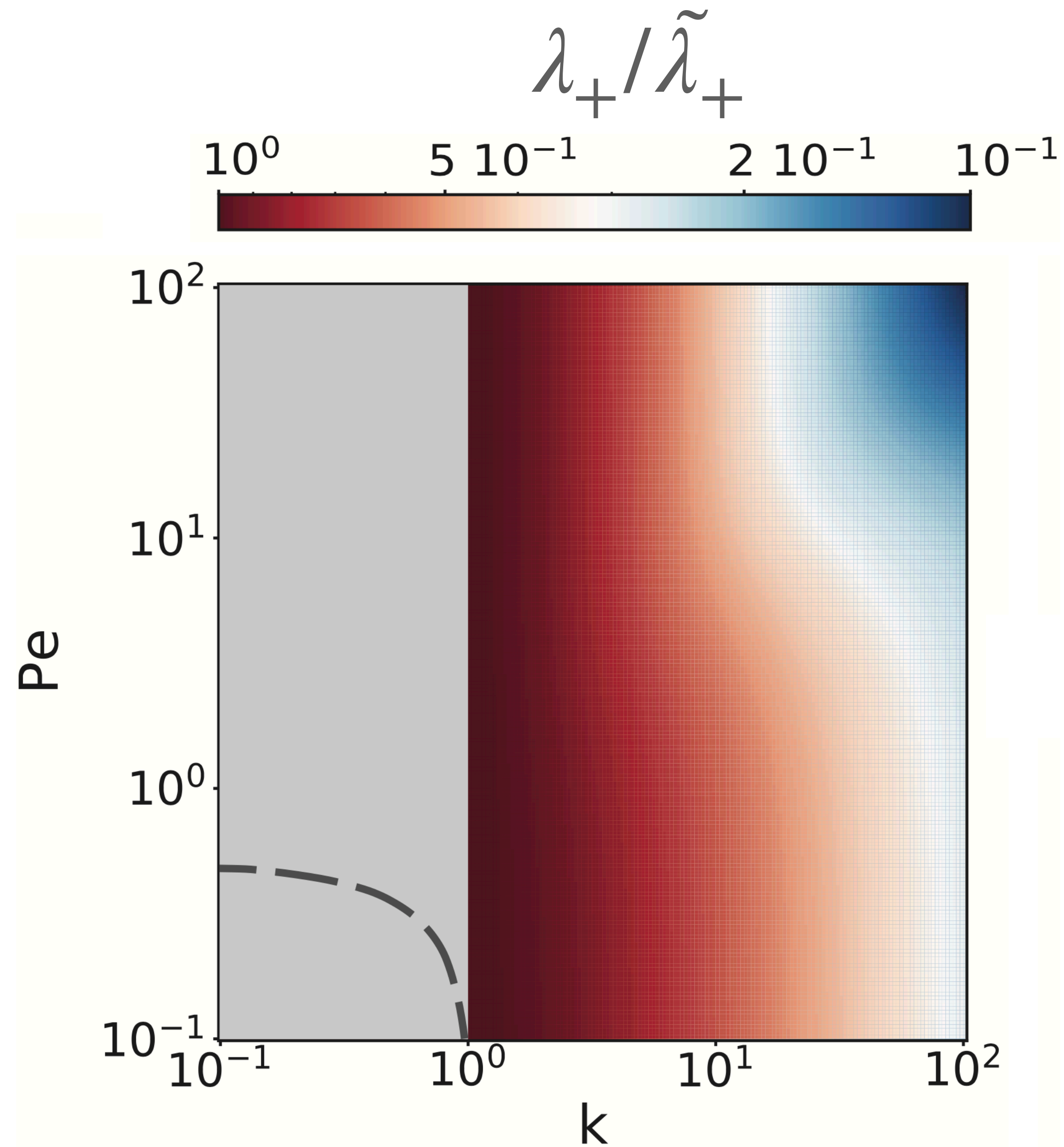
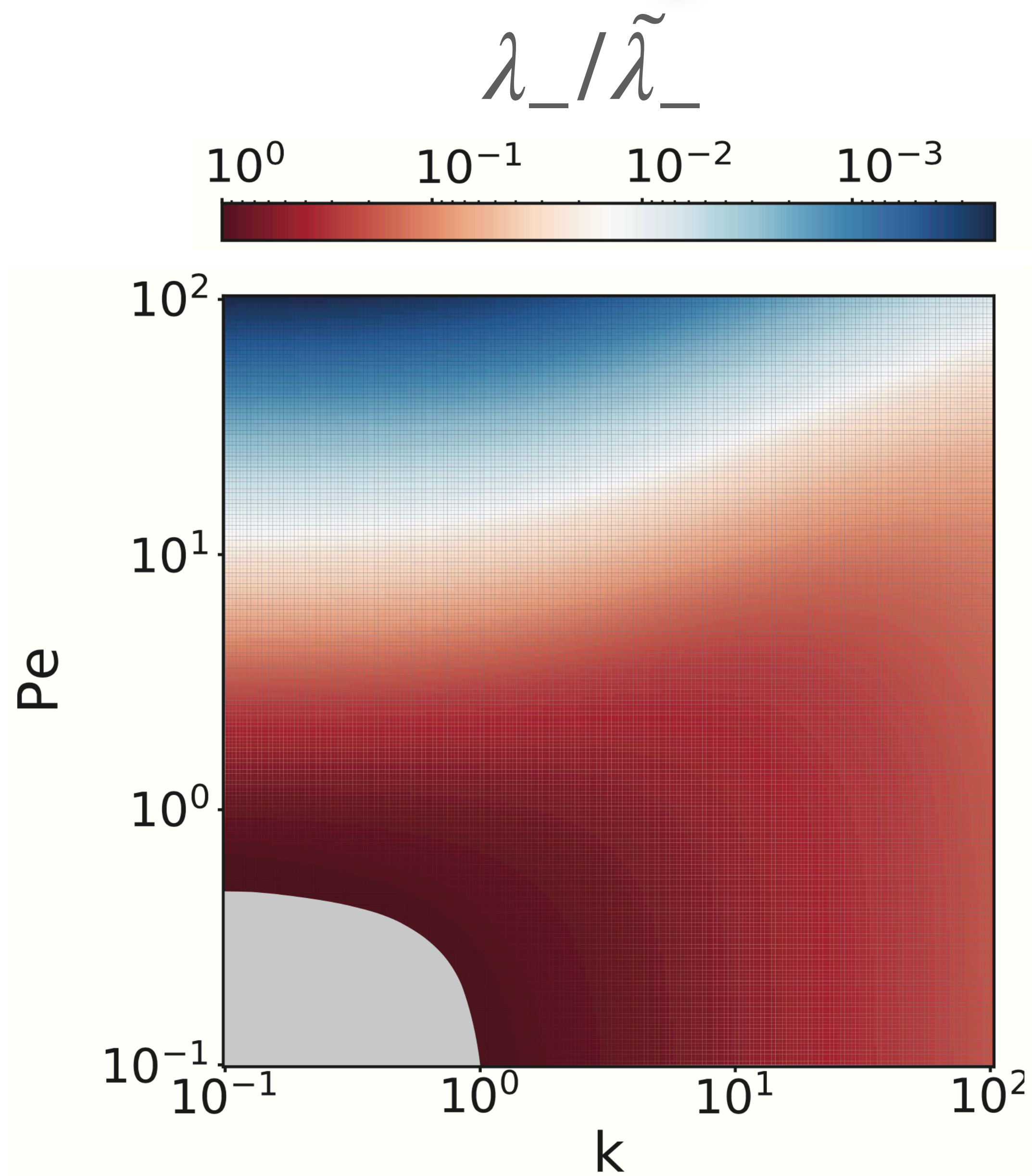
$$\tilde{\lambda}_{\pm} = -\frac{1}{2} \pm \sqrt{1 + \left(\frac{1 + \kappa}{Pe}\right)^2}$$

- Effective domain  $(\lambda_-, \lambda_+)$  not always coinciding with the primary one  $(\tilde{\lambda}_-, \tilde{\lambda}_+)$



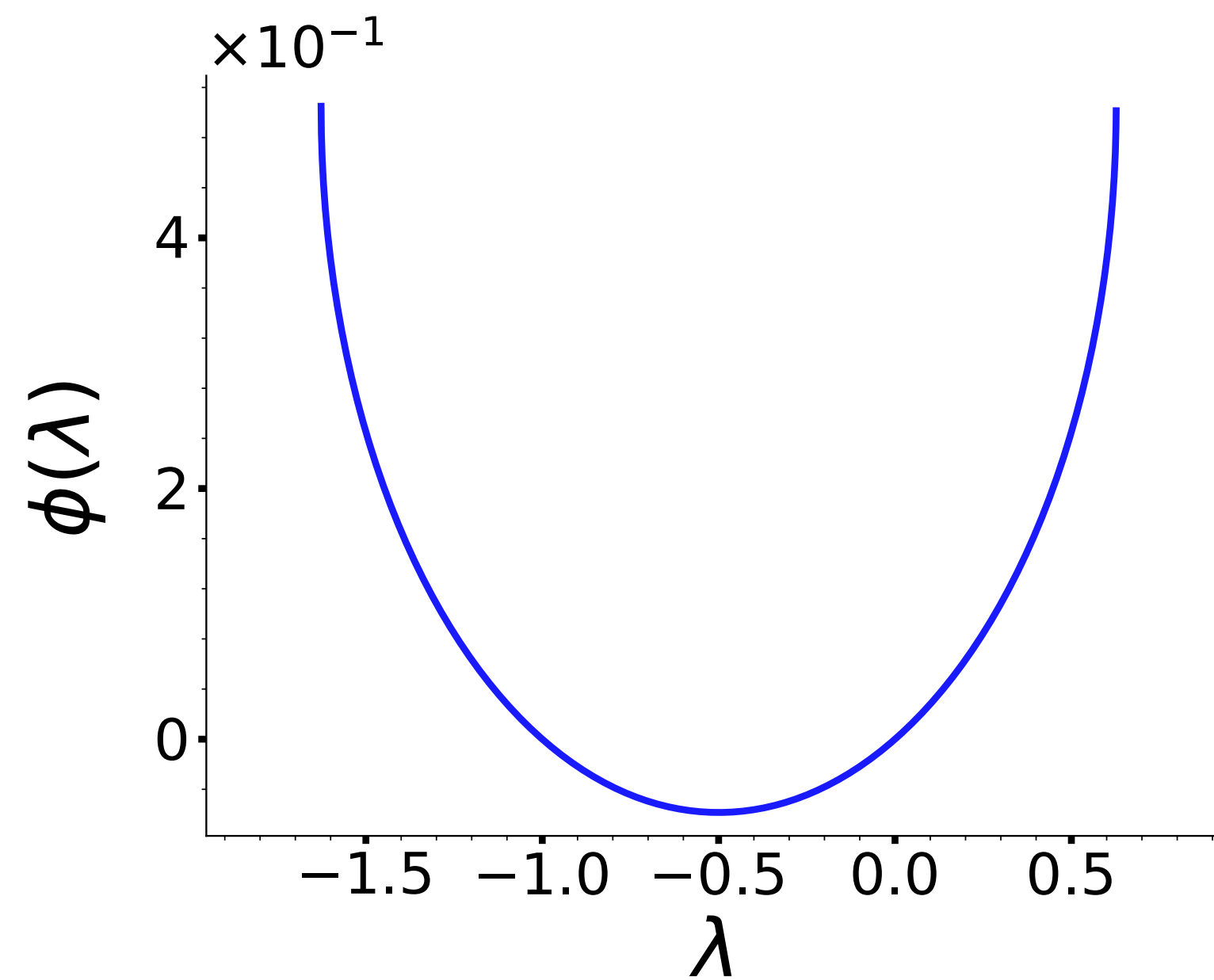
**Dynamical Phase Transition!**

# Effective vs primary domain

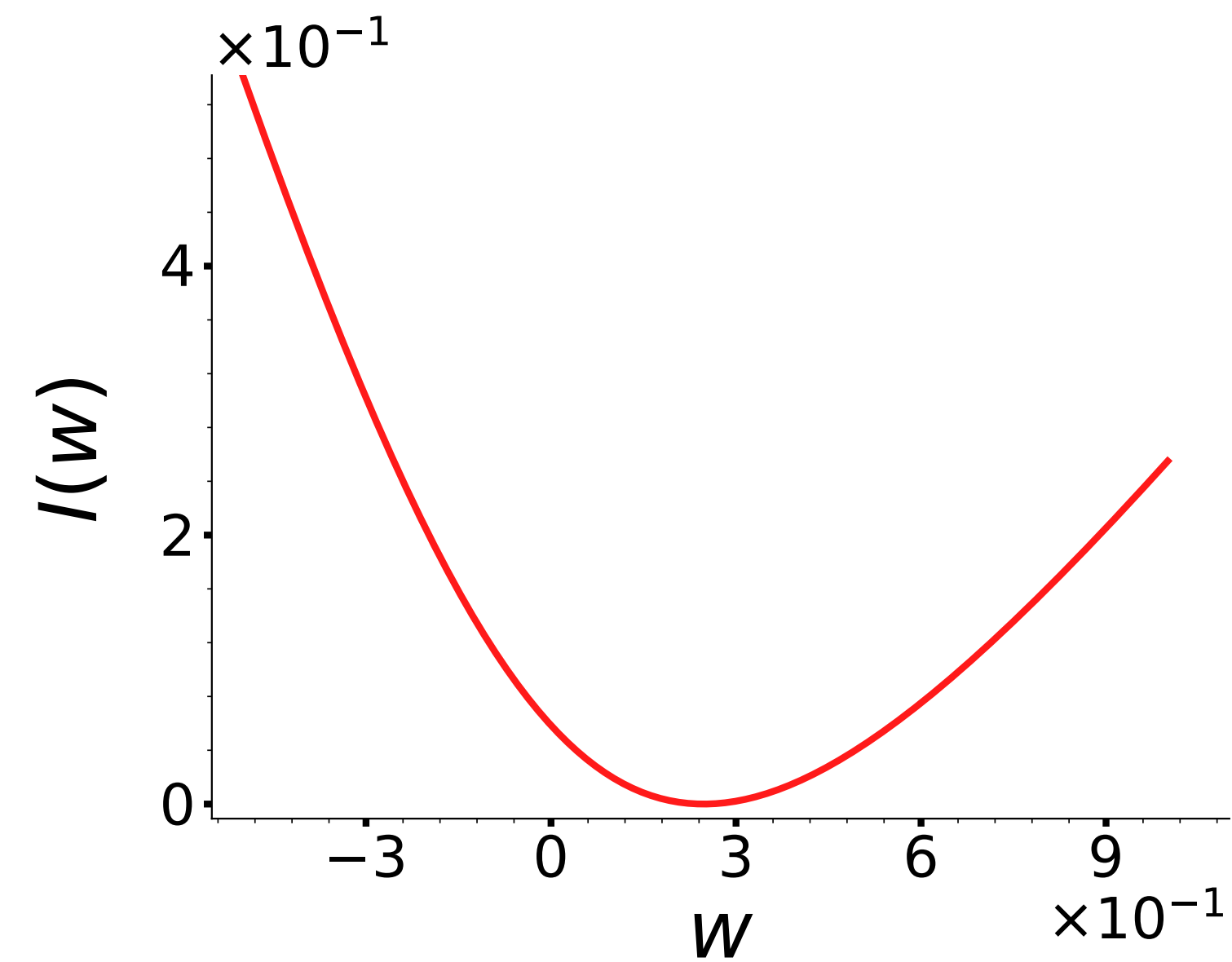


# Singular rate function

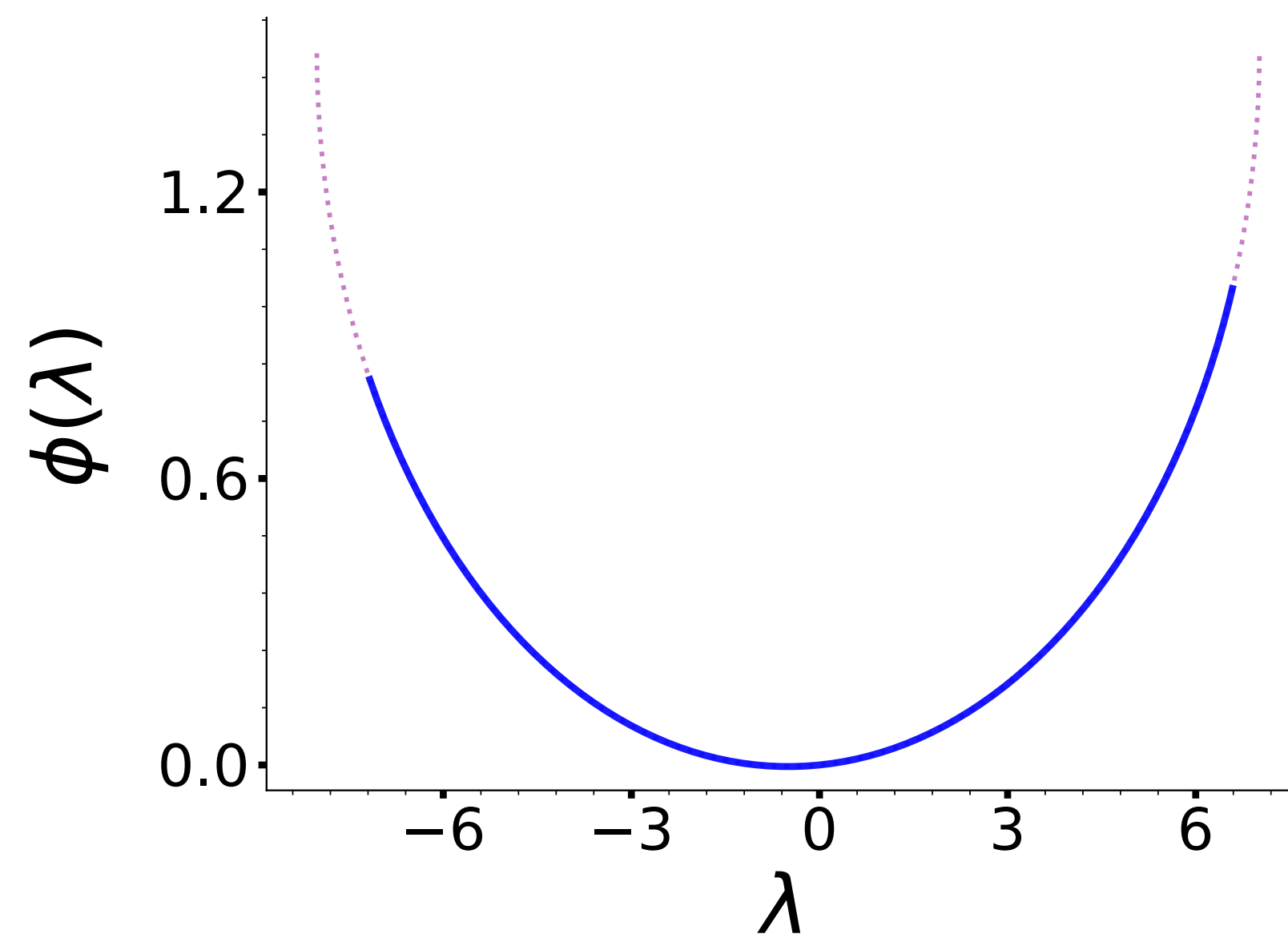
- $Pe = 0.5, \kappa = 0.01$   
stationary



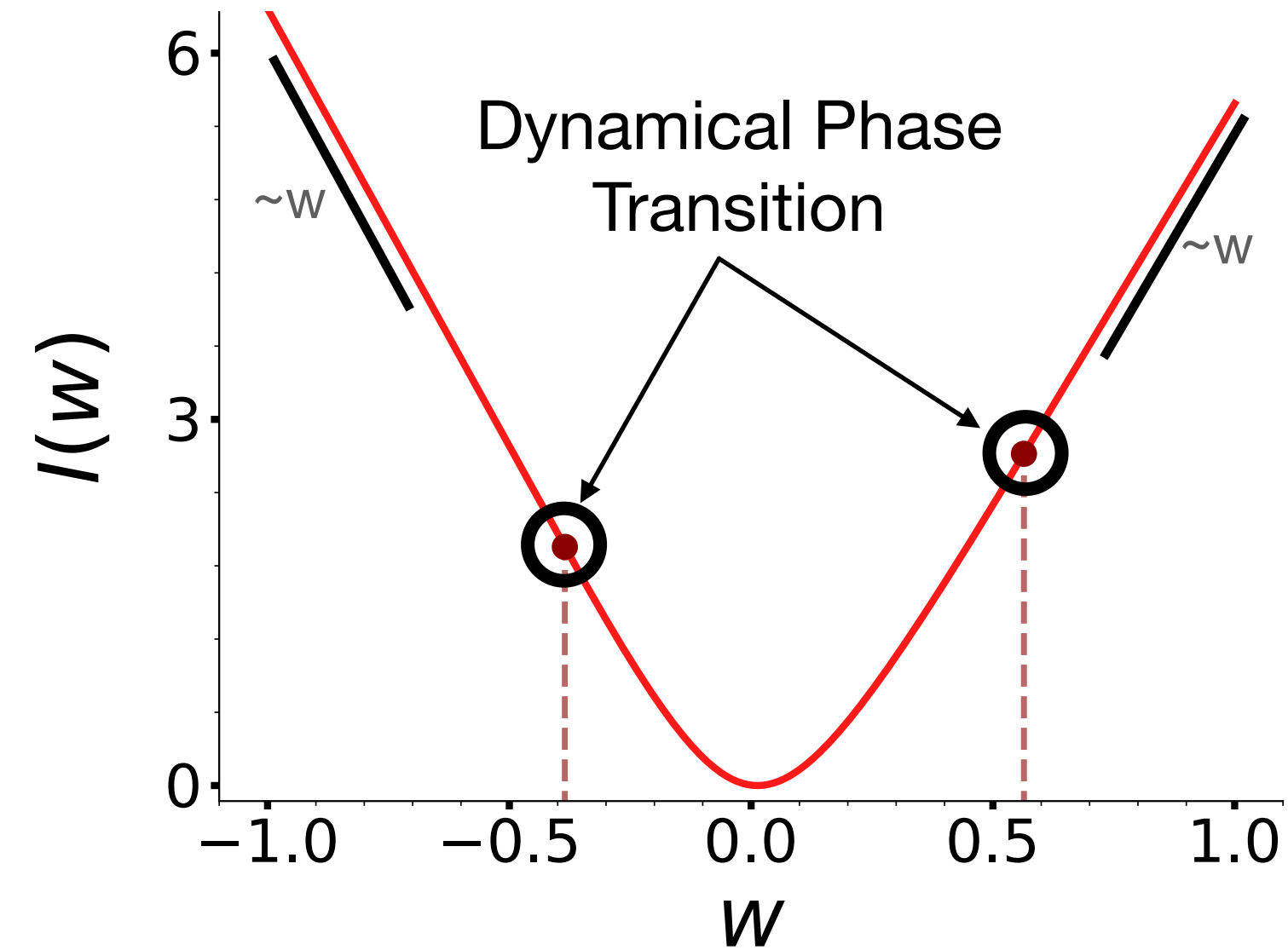
LFT →



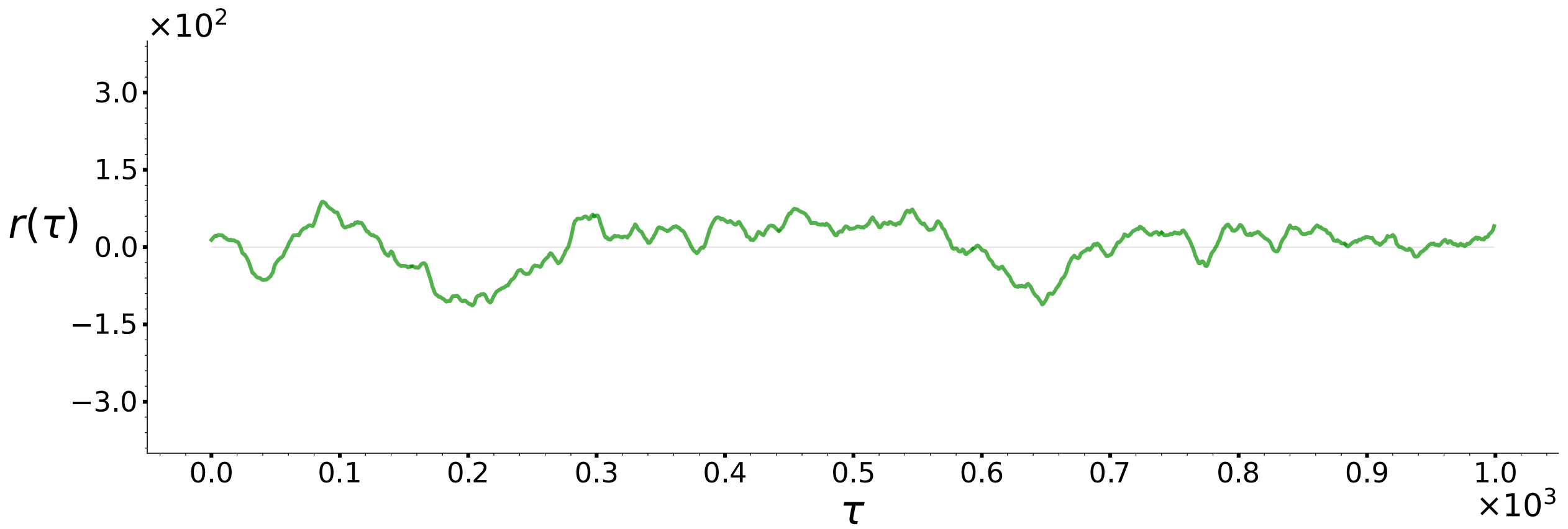
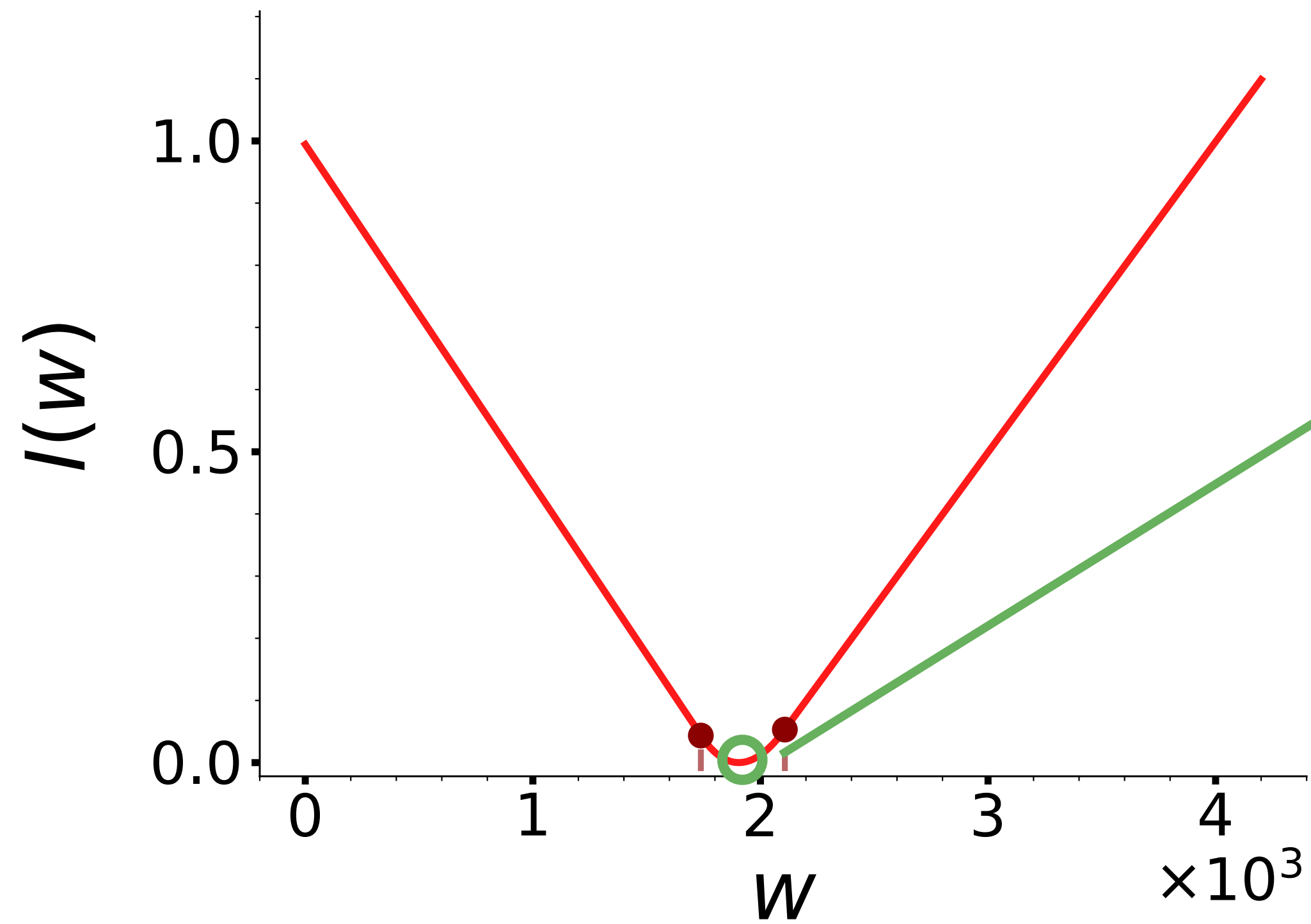
- $Pe = 0.2, \kappa = 2.0$   
stationary



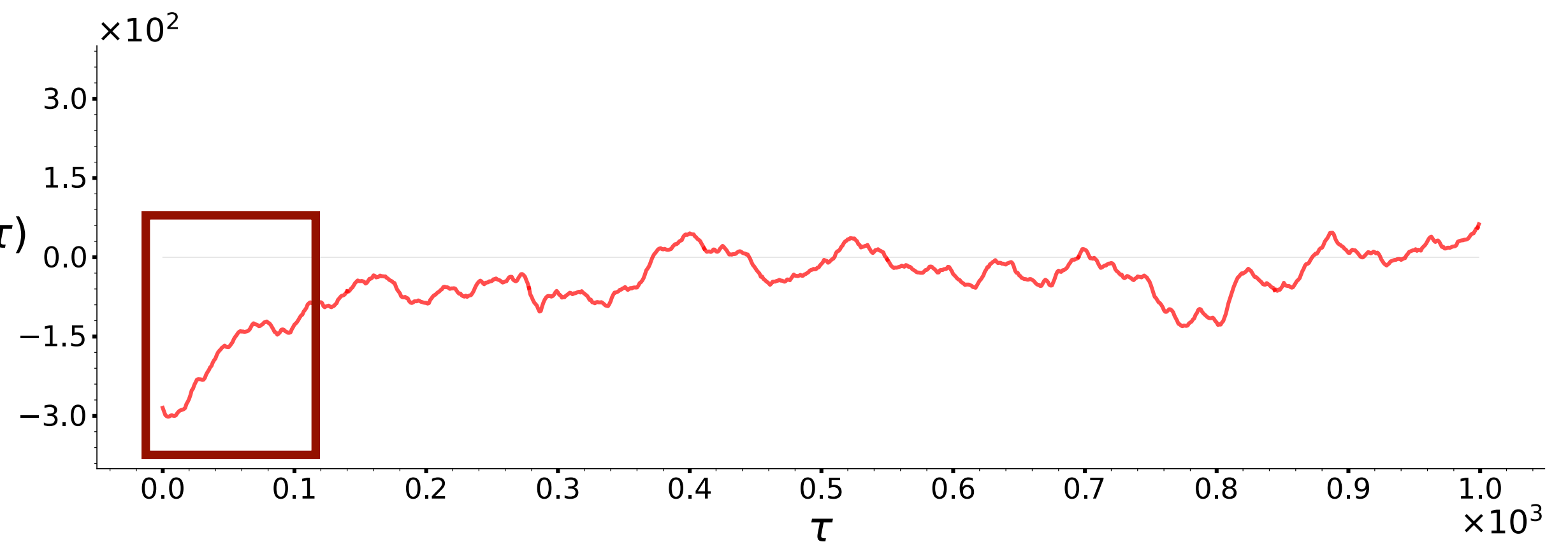
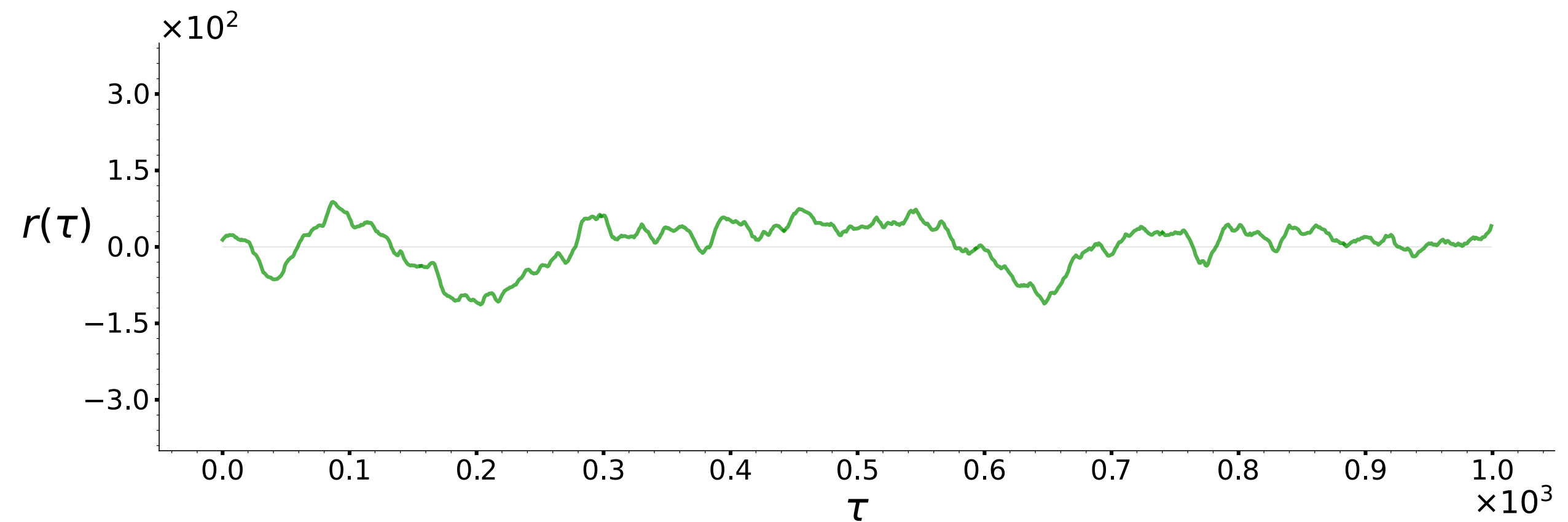
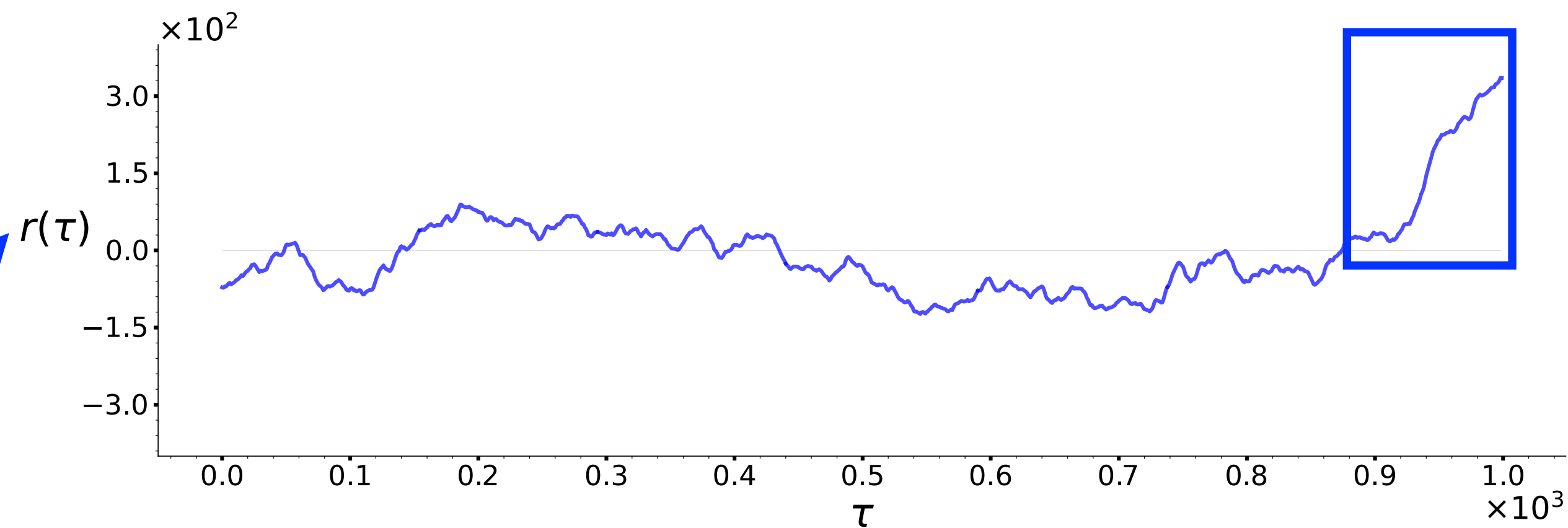
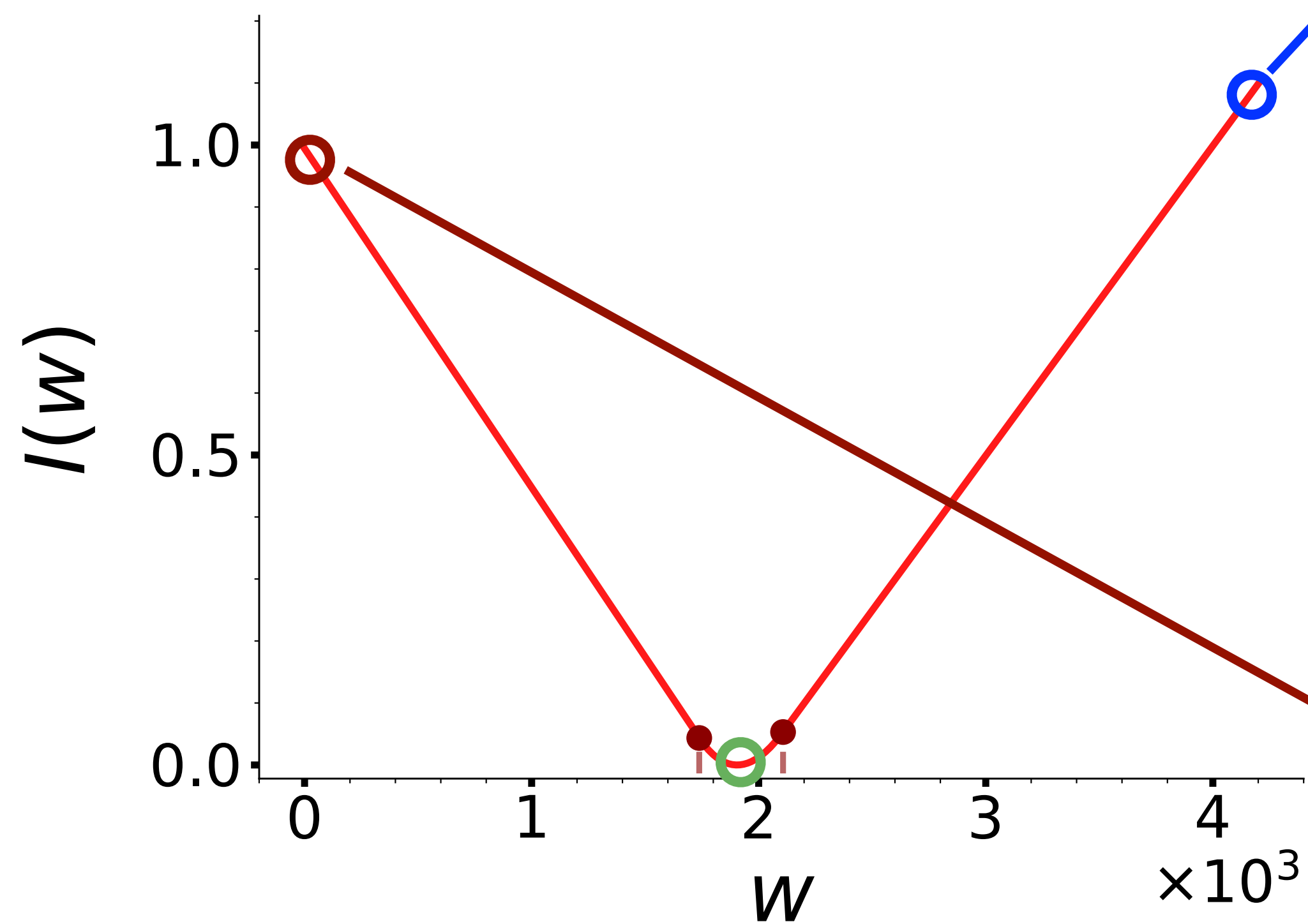
LFT →



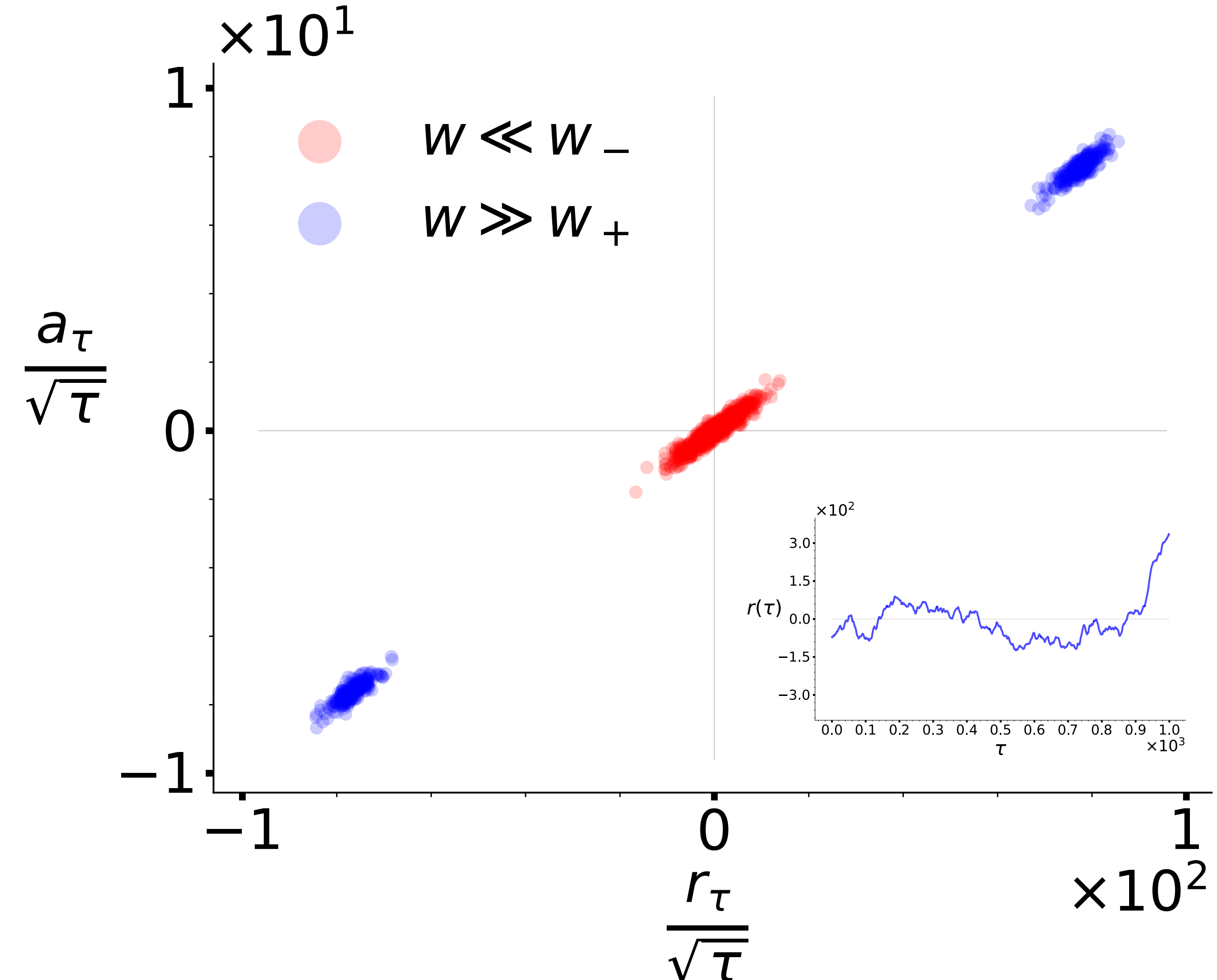
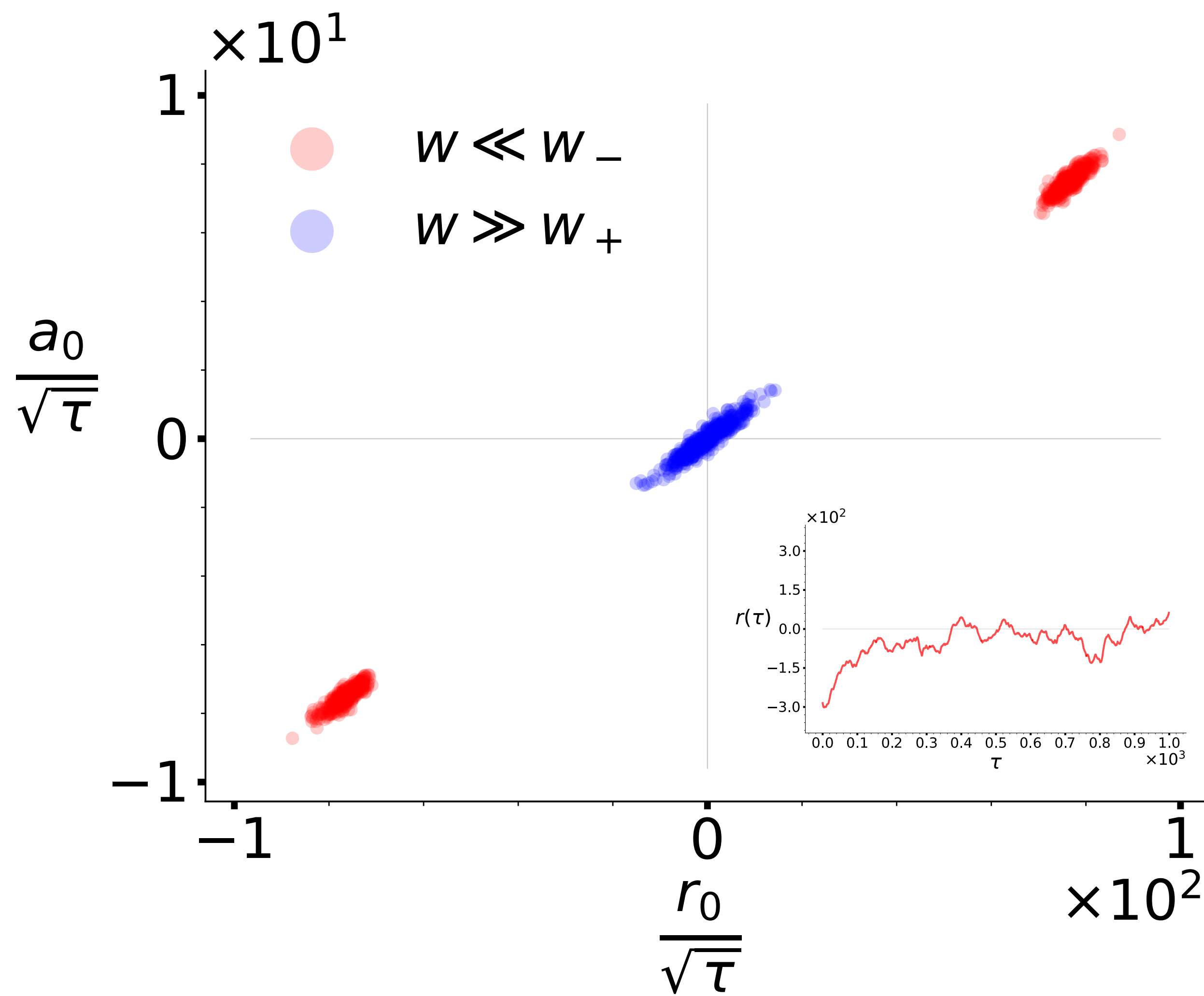
# Trajectory characterisation



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# Trajectory characterisation



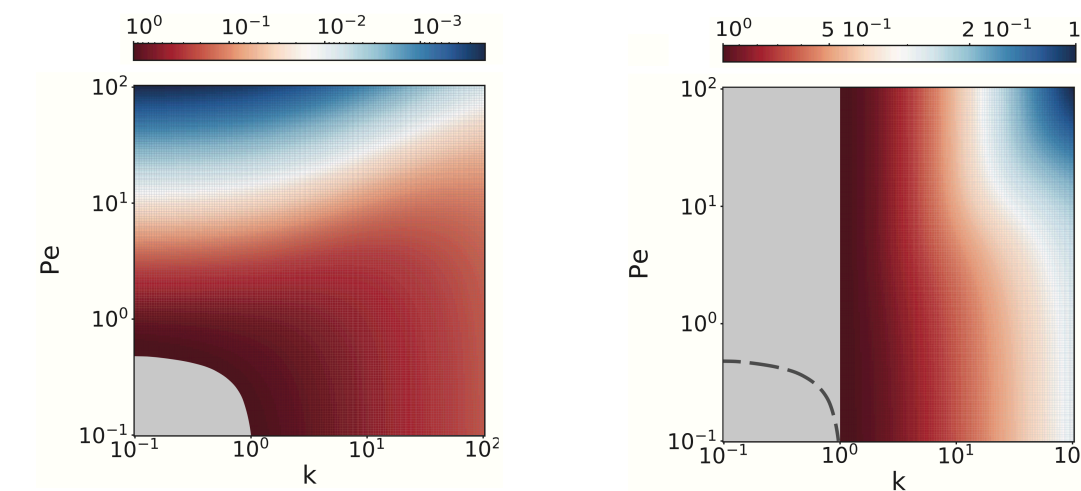
# Take home messages

- Analytical evaluation of the scgf for a single harmonically trapped AOUP

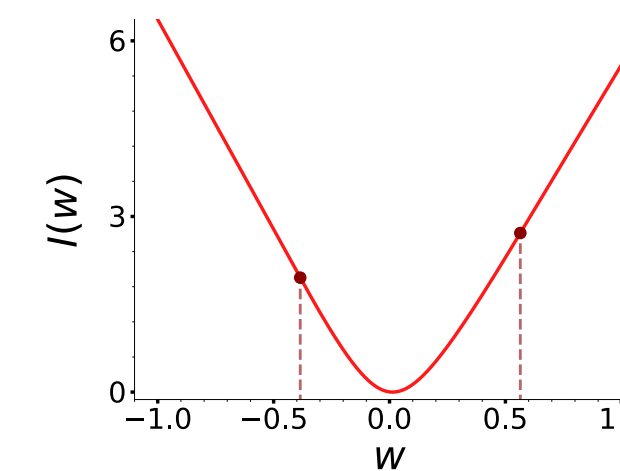
$$\phi(\lambda) = \frac{1 + \kappa}{2} - \frac{1}{2} \sqrt{(1 + \kappa)^2 - 4Pe^2 \lambda(1 + \lambda)}$$

$$\tilde{\lambda}_{\pm} = -\frac{1}{2} \pm \sqrt{1 + \left(\frac{1 + \kappa}{Pe}\right)^2}$$

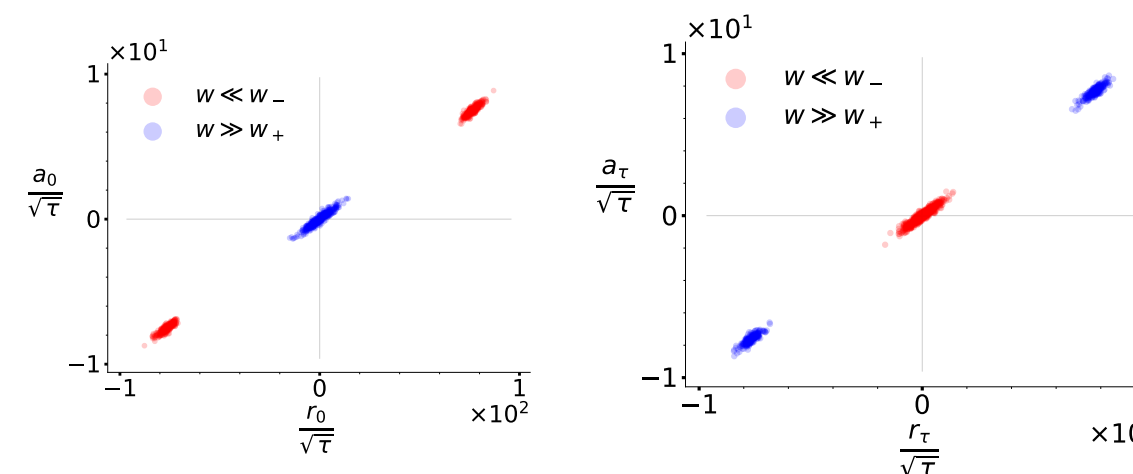
- System parameters and initial conditions influence the scgf effective domain



- Appearance of singularities in the rate function



- Trajectory characterisation



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Istituto Nazionale di Fisica Nucleare





**Thank you for your attention!**

