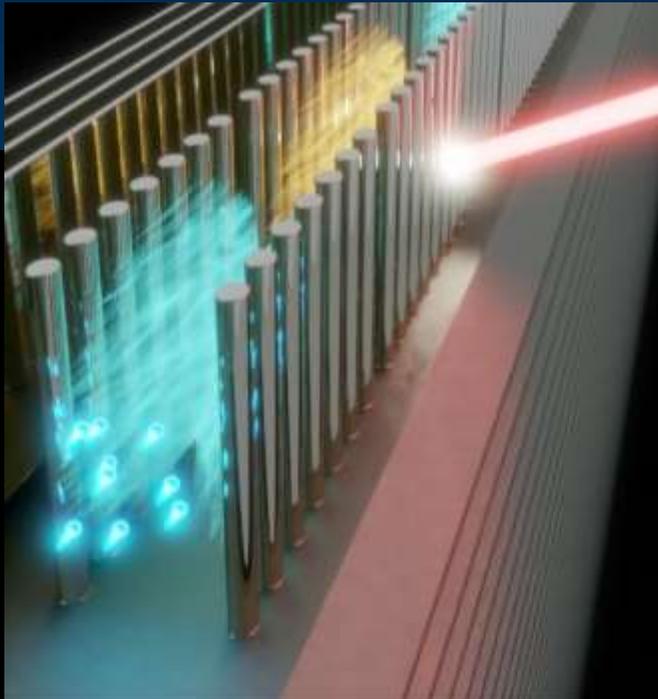


# Free electron net energy gain and phase space control in photonic chip based accelerators



S. Kraus, R. Shiloh, T. Chloubá, J. Illmer, L. Brückner, J. Litzel, P. Hommelhoff

Friedrich-Alexander-Universität Erlangen-Nürnberg (FAU)

Steffi.Kraus@fau.de

# Particle accelerators

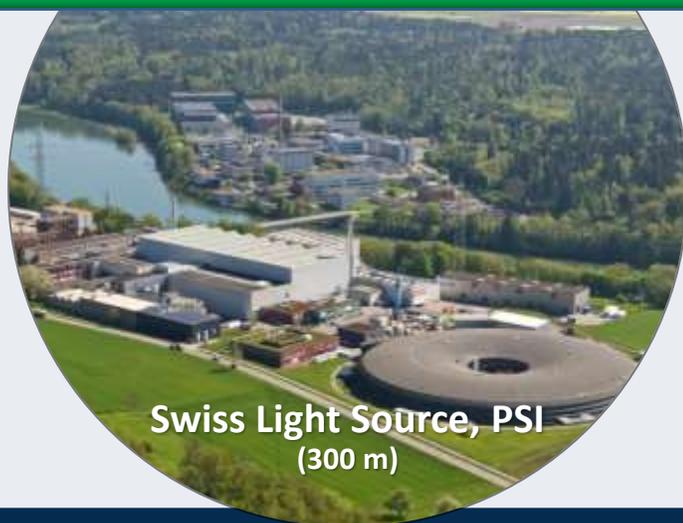
SLAC  
(3.2 km)



European XFEL, DESY  
(3.4 km)

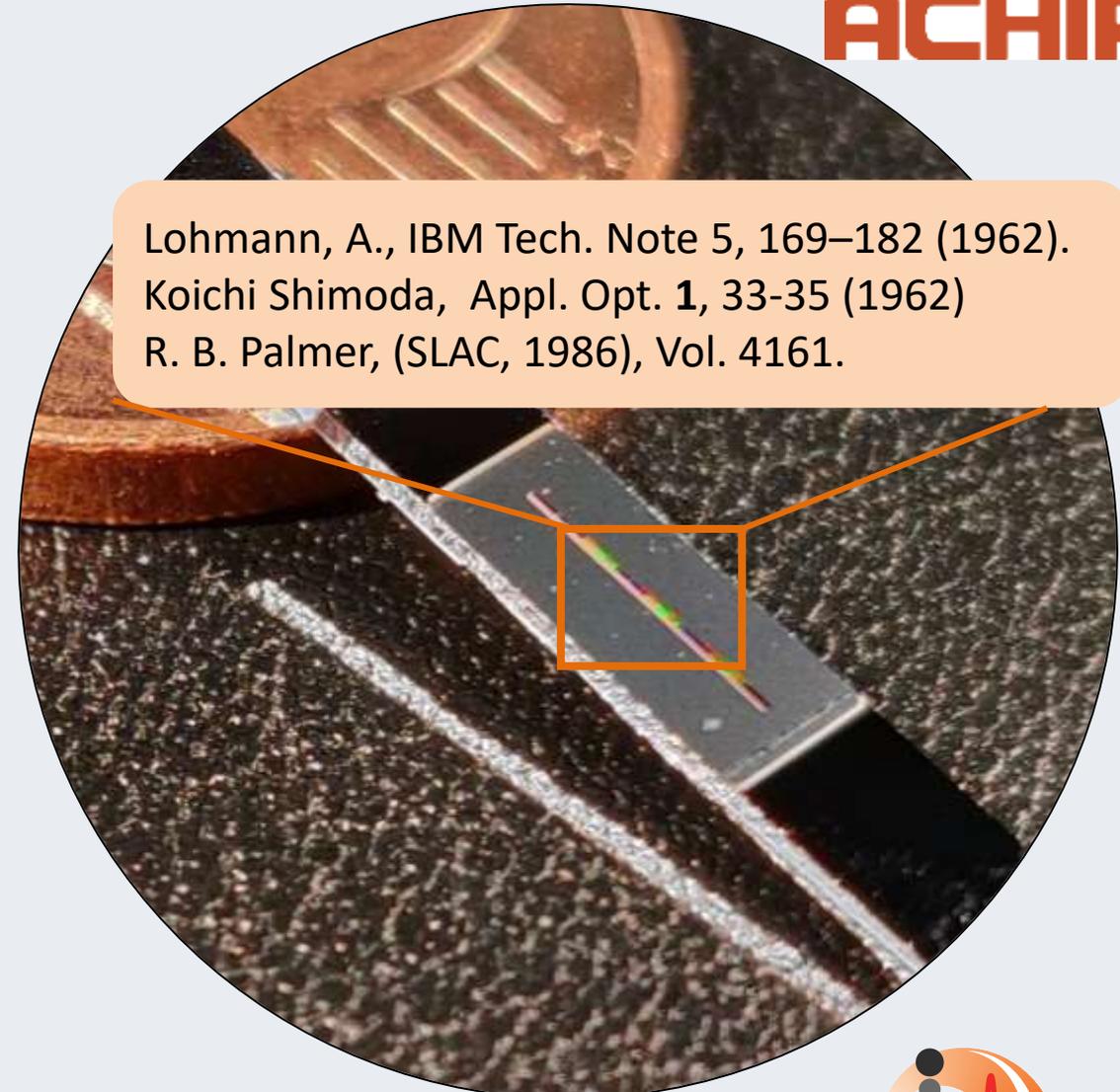


16:30 – 16:50: sub-relativistic electrons ( - keV)  
16:50 – 17:10: relativistic electrons ( - GeV)

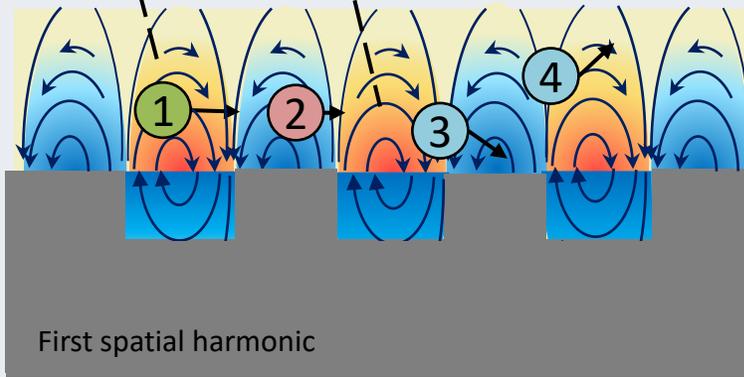
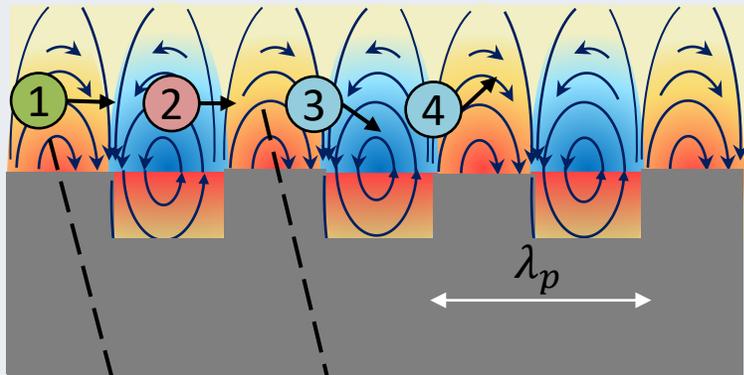


Swiss Light Source, PSI  
(300 m)

Lohmann, A., IBM Tech. Note 5, 169–182 (1962).  
Koichi Shimoda, Appl. Opt. **1**, 33-35 (1962)  
R. B. Palmer, (SLAC, 1986), Vol. 4161.



# Operation principle



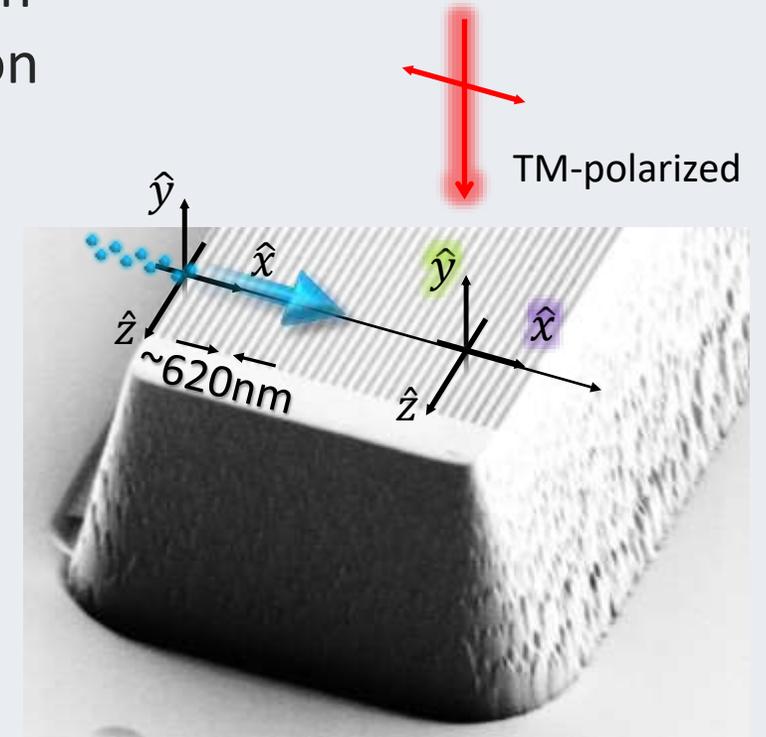
Deceleration      0      Acceleration

Depending on particles position:

- ① Acceleration
- ② Deceleration
- ③ ④ Deflection

$$\beta = \lambda_p / m\lambda$$

m: order number



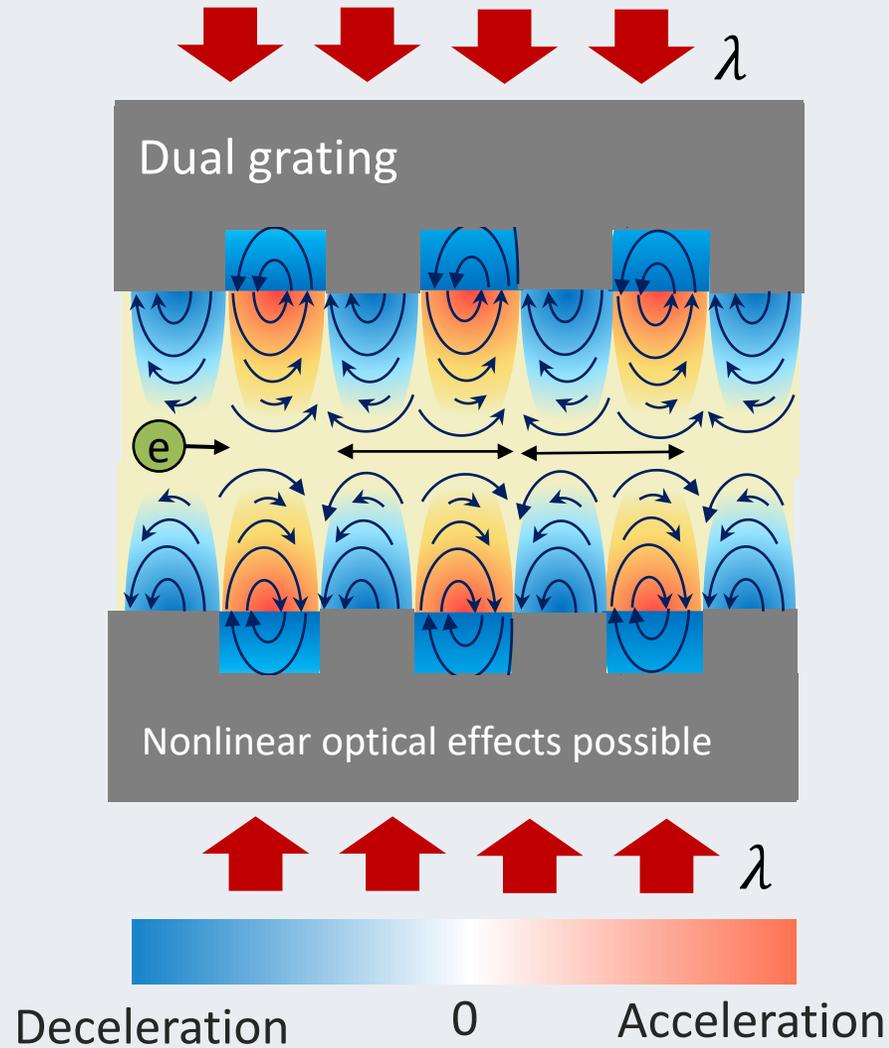
**Proof of concept:**

$\beta = 0.3$ : 25 MeV/m: J. Breuer, P. Hommelhoff, PRL 111, 134803 (2013)

$\beta = 0.7$ : 250MeV/m: Peralta, E. A., Soong, K., England, R. J., Colby, E. R., Wu, Z., Montazeri, B., ... & Byer, R. L. (2013). *Nature*, 503(7474), 91-94.

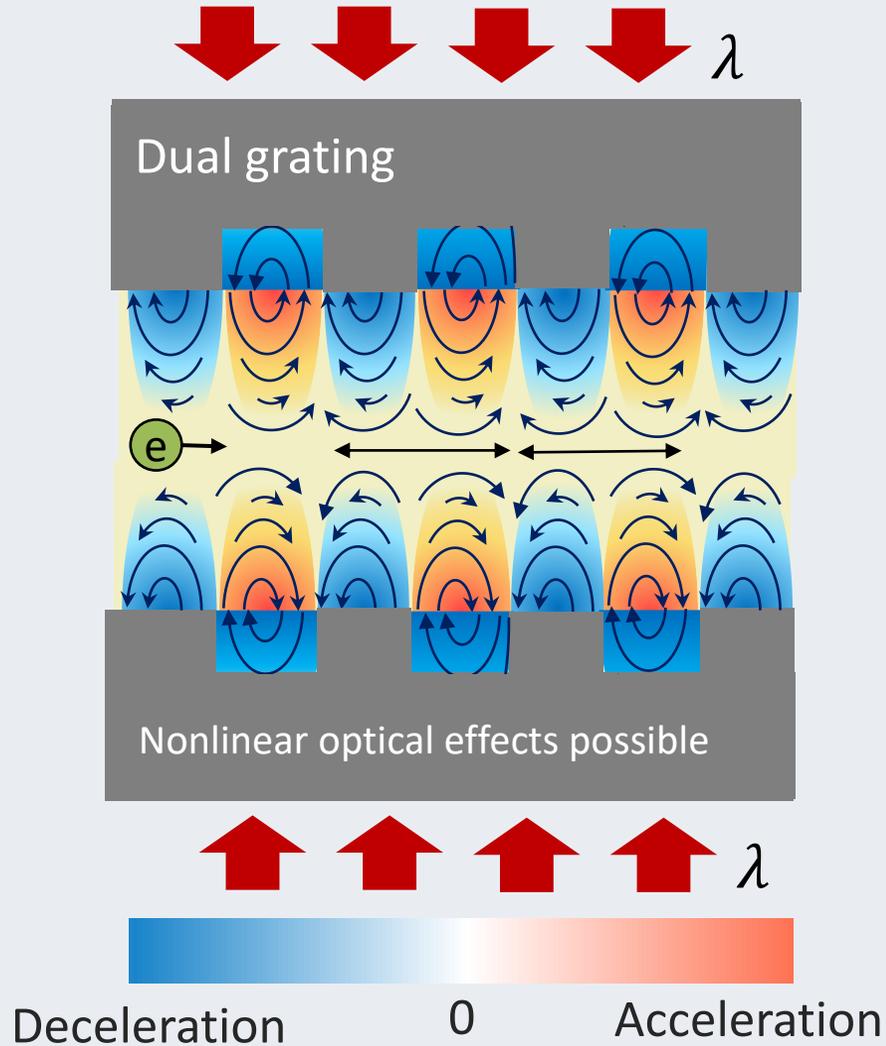
# Operation principle

First spatial harmonic



# Operation principle

First spatial harmonic

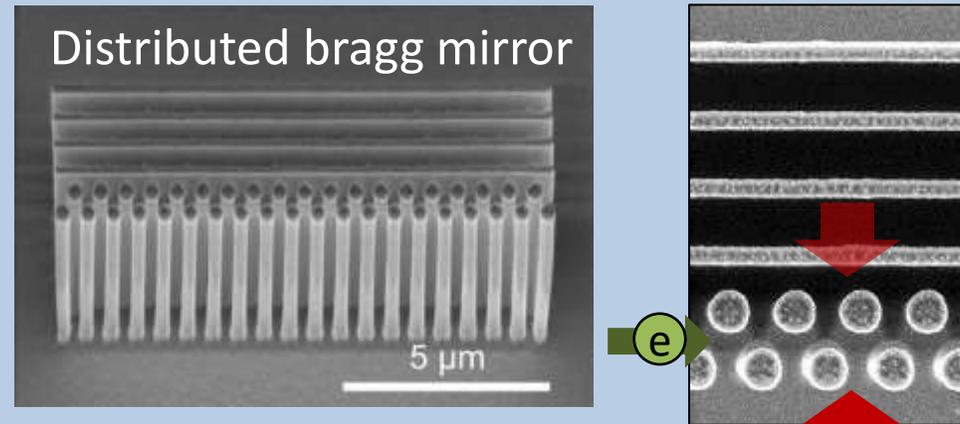


## Dual-pillar grating (2015) (1986)

- Driving laser interacts with little material
- Fabrication recipe relatively simple
- Special alignment not required
- Symmetric field profile

Peyman, Y., [Doctoral dissertation, FAU, Erlangen]

## Distributed bragg mirror

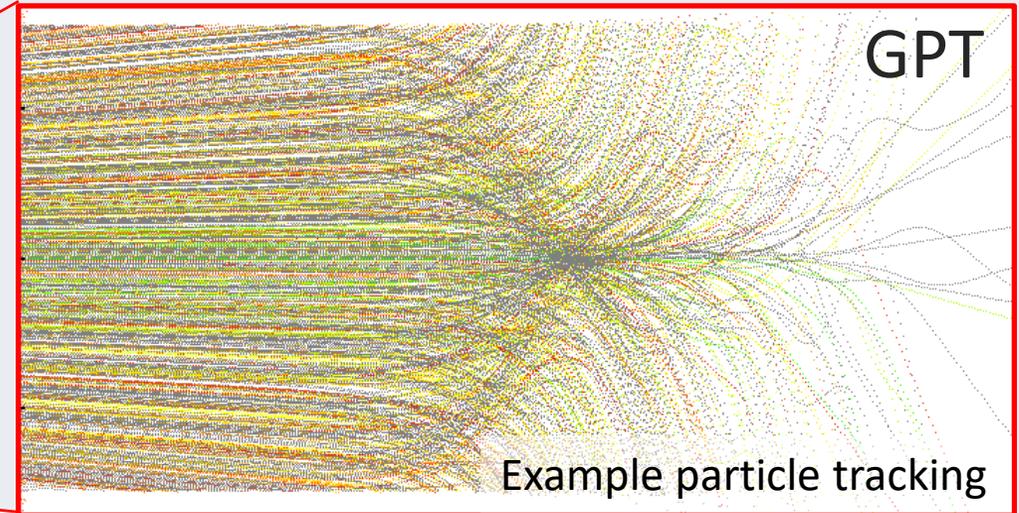
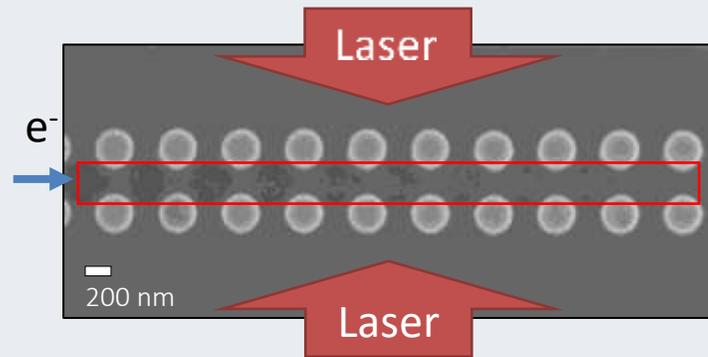


**All but the idealized, perfectly on-axis electrons, are lost**

R. B. Palmer, (SLAC, 1986), Vol. 4161., Leedle et al., Opt. Lett. 40, 18 (2015)  
Leedle et al., Opt. Lett. 43, 9 (2018), Yousefi et al., Opt. Lett. 44, 6 (2019)

# Dual pillar structures – Sub relativistic electron sources (30keV)

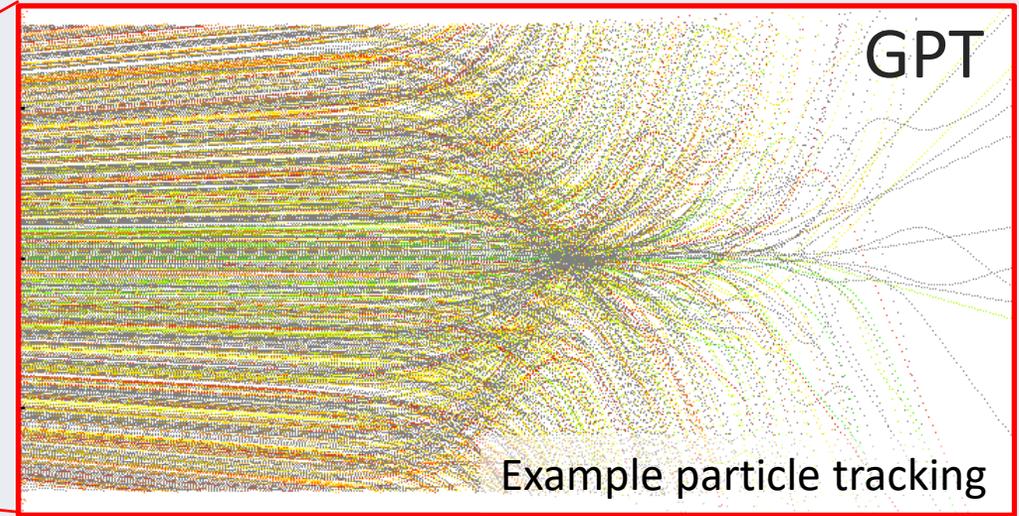
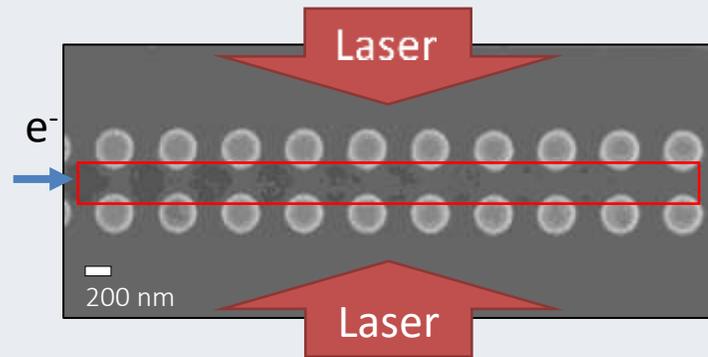
1. The forces depend on the injection phase
2. Result: at least half the electrons crash into the structure first, then the other half



5

# Dual pillar structures – Sub relativistic electron sources (30keV)

1. The forces depend on the injection phase
2. Result: at least half the electrons crash into the structure first, then the other half

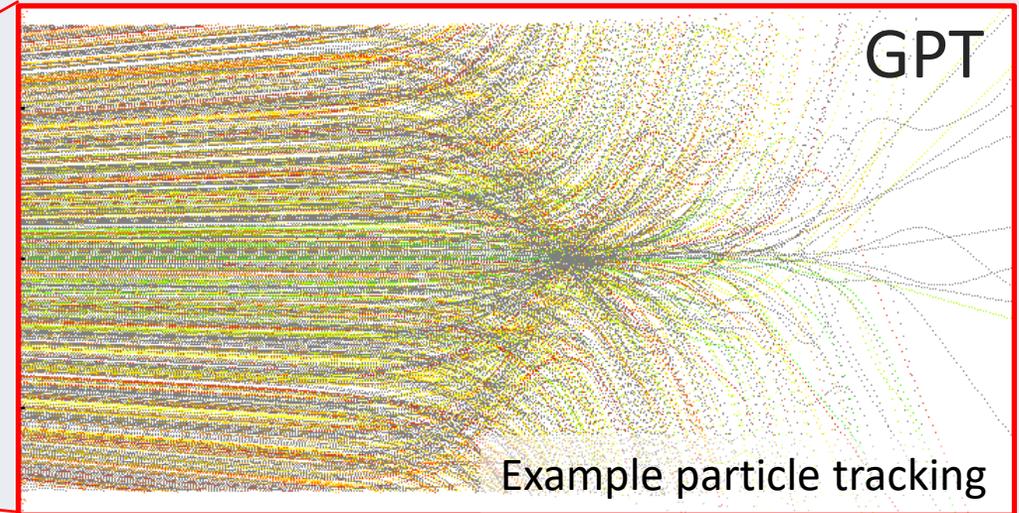
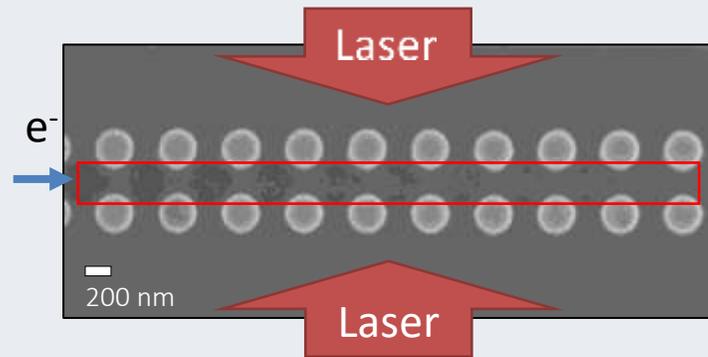


- Recall: optical field strengths  $\sim 1\text{GV/m}$
- Conventional elements (quadrupoles, solenoids, etc.) cannot compensate the optical defocusing forces

6

# Dual pillar structures – Sub relativistic electron sources (30keV)

1. The forces depend on the injection phase
2. Result: at least half the electrons crash into the structure first, then the other half



- Recall: optical field strengths  $\sim 1\text{GV/m}$
- Conventional elements (quadrupoles, solenoids, etc.) cannot compensate the optical defocusing forces



A solution: **use engineered dielectric structures!**

Niedermayer, U., Egenolf, T., & Boine-Frankenheim, O. (2020). *PRL*, 125(16), 164801.

Niedermayer, U., Egenolf, T., Boine-Frankenheim, O., & Hommelhoff, P. (2018). *PRL*, 121(21), 214801.

**ALTERNATING PHASE FOCUSING**

Ia. B. FAINBERG 1956

Ukrainian Academy of Sciences, Kharkov

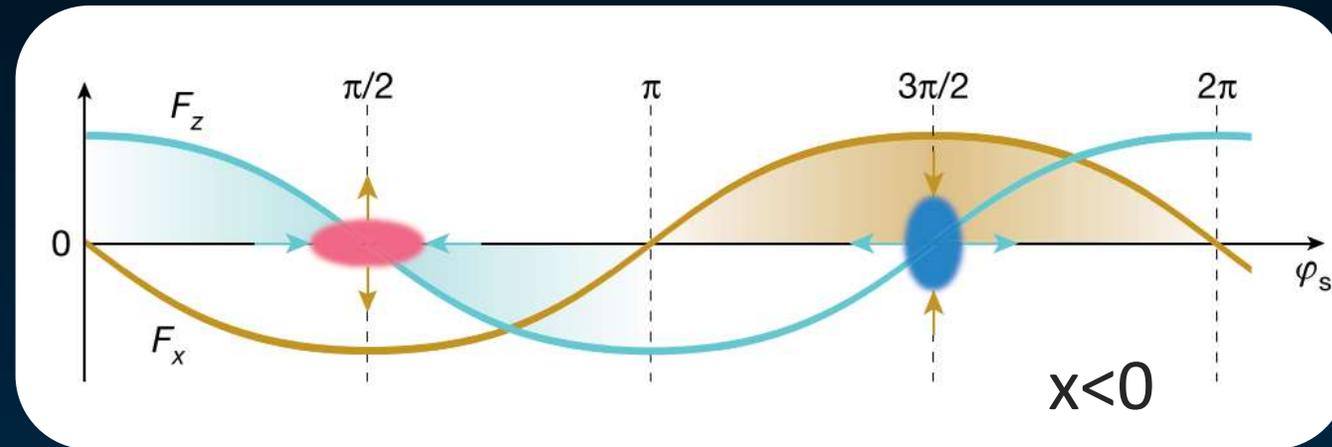
# Guiding with Alternating Phase Focusing

In a nutshell: once the electron beam begins to defocus, flip the laser's phase, so **alternate between focusing forces and defocusing forces**

$C_c$  excitation coefficient  
 $k_x$  the fundamental wave number of structure  
 $\varphi_s$  the synchronous phase

$$F_x = \frac{qc}{\beta\gamma} C_c \frac{1}{\gamma} \sinh(k_x x) \sin(\varphi_s)$$

$$F_z = \frac{qc}{\beta\gamma} C_c \cosh(k_x x) \cos(\varphi_s)$$



Niedermayer, U., Egenolf, T., Boine-Frankenheim, O., Hommelhoff P., *Physical review letters* 121.21 (2018): 214801.

Shiloh, R., Illmer, J., Chloubá, T., Yousefi, P., Schönenberger, N., Niedermayer, U., Mittelbach, A., & Hommelhoff, P. (2021). *Nature*, 597(7877), 498-502.

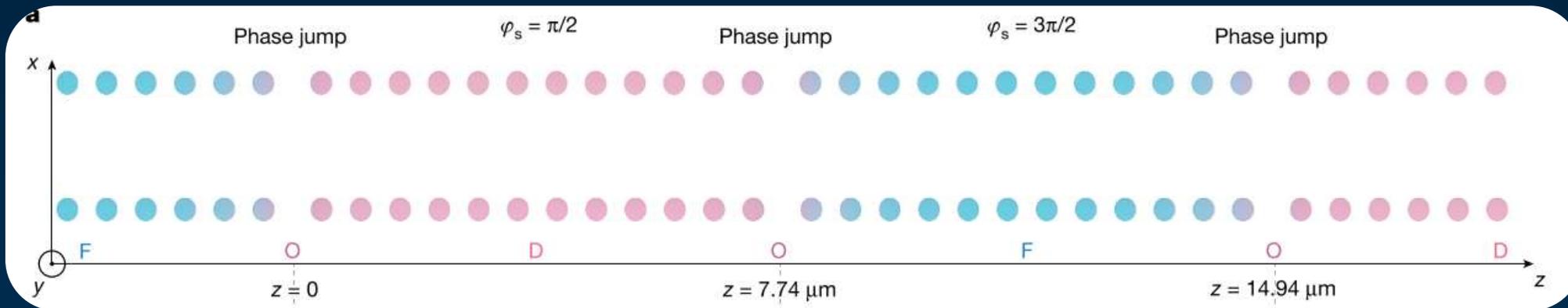
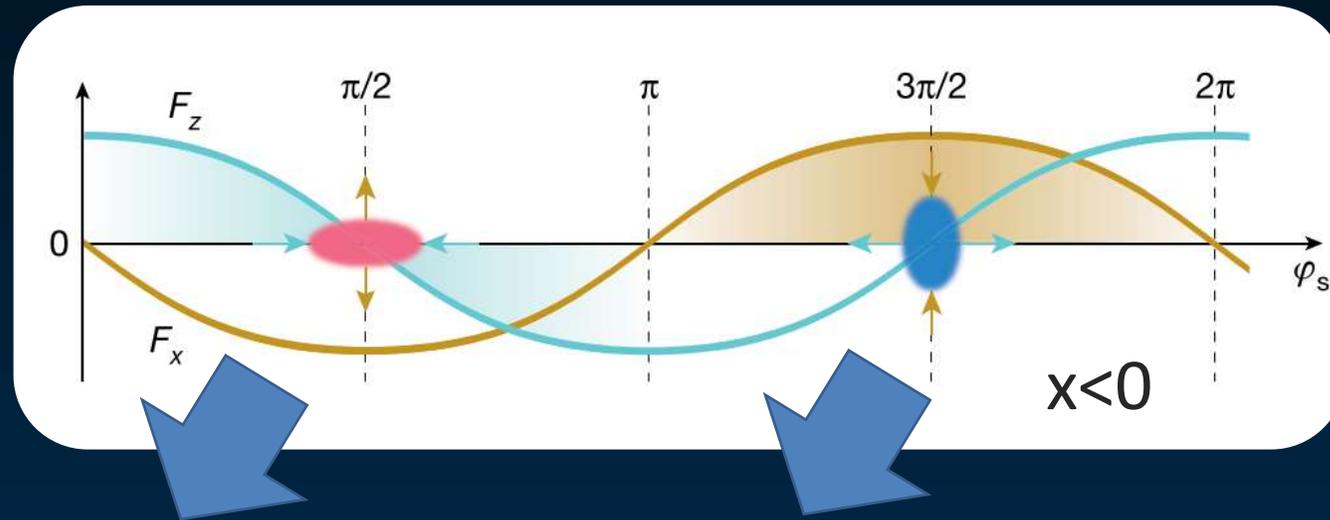
# Guiding with Alternating Phase Focusing

In a nutshell: once the electron beam begins to defocus, flip the laser's phase, so **alternate between focusing forces and defocusing forces**

$C_c$  excitation coefficient  
 $k_x$  the fundamental wave number of structure  
 $\varphi_s$  the synchronous phase

$$F_x = \frac{qc}{\beta\gamma} C_c \frac{1}{\gamma} \sinh(k_x x) \sin(\varphi_s)$$

$$F_z = \frac{qc}{\beta\gamma} C_c \cosh(k_x x) \cos(\varphi_s)$$



Niedermayer, U., Egenolf, T., Boine-Frankenheim, O., Hommelhoff P., *Physical review letters* 121.21 (2018): 214801.

Shiloh, R., Illmer, J., Chlouba, T., Yousefi, P., Schönerberger, N., Niedermayer, U., Mittelbach, A., & Hommelhoff, P. (2021). *Nature*, 597(7877), 498-502.

# Experimental setup

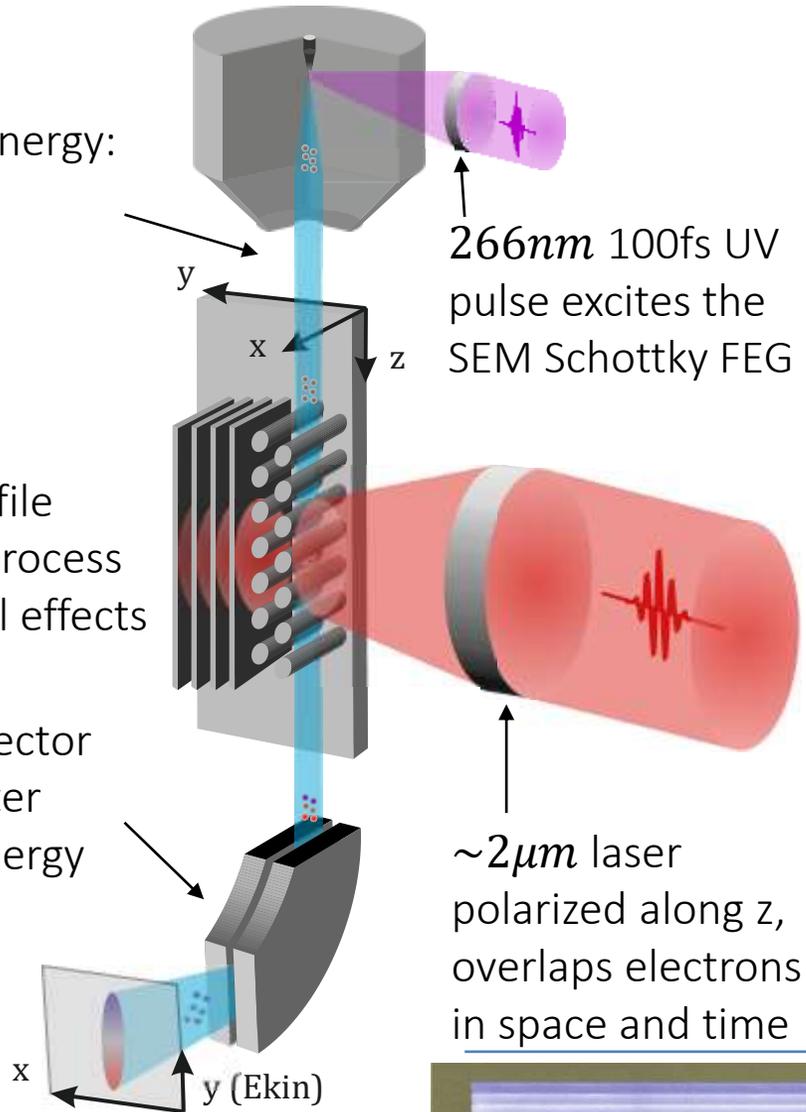
Kozák, M., McNeur, J., Schönenberger, N., Illmer, J., Li, A., Tafel, A., ... & Hommelhoff, P. (2018). *Journal of Applied Physics*, 124(2), 023104.

Electron energy:  
 $\sim 30\text{keV}$

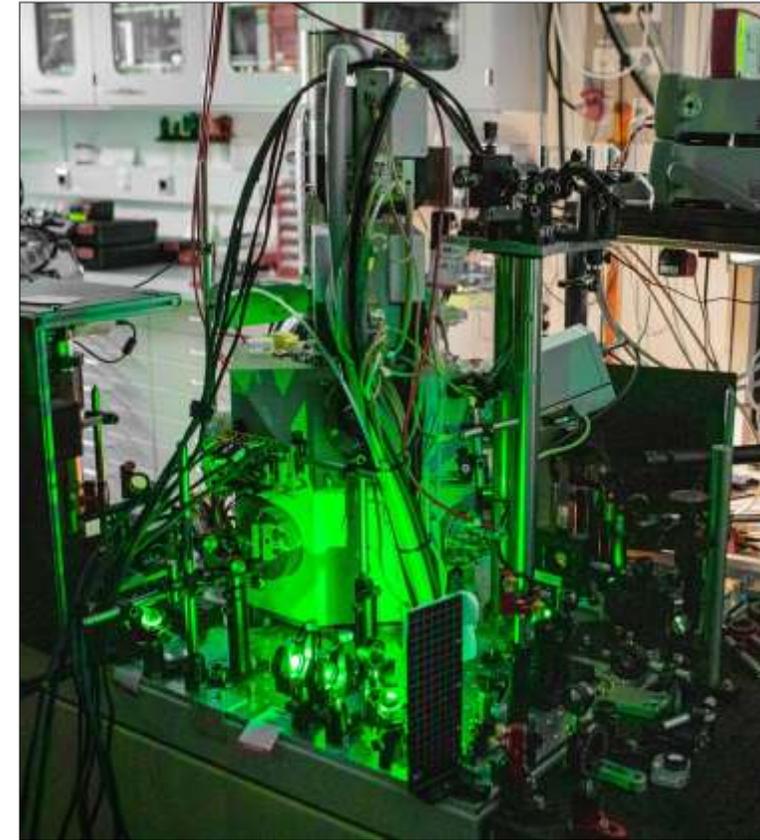
## „Dual pillar“ structure:

- ✓ Symmetric field profile
- ✓ Simple fabrication process
- ✓ No nonlinear optical effects

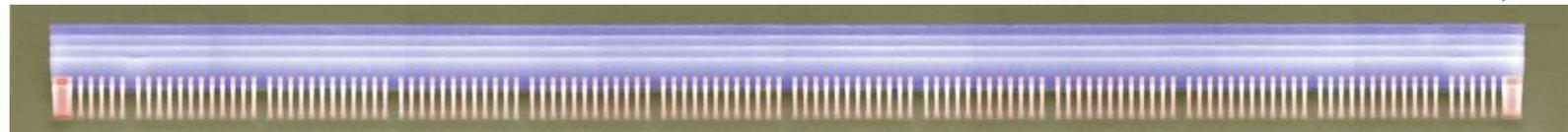
Magnetic sector spectrometer analyzes energy spectra



## Ultrafast scanning electron microscope

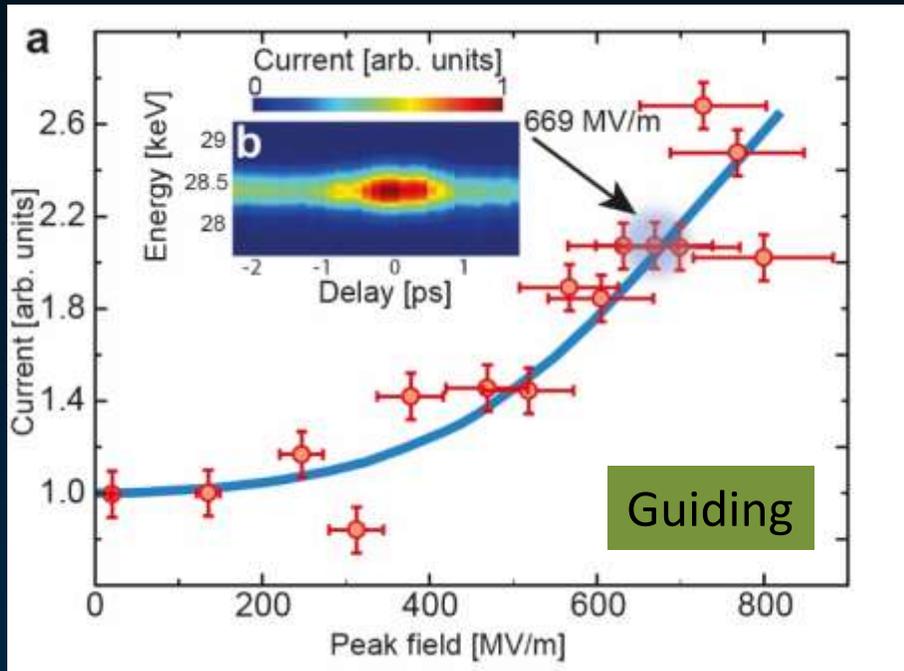


$77\mu\text{m}$

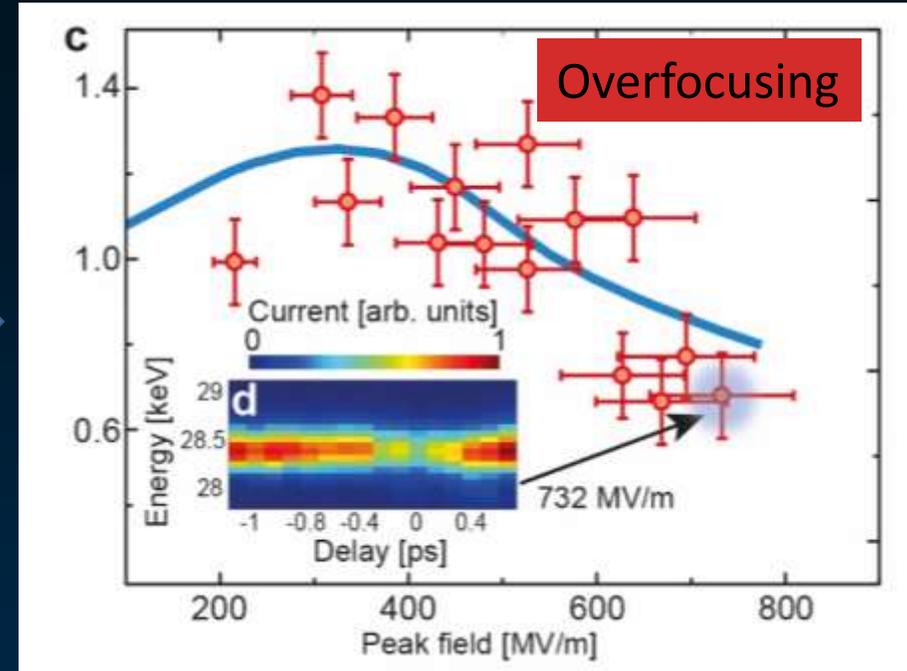


Yousefi et al., *Opt. Lett.* 44, 6 (2019)

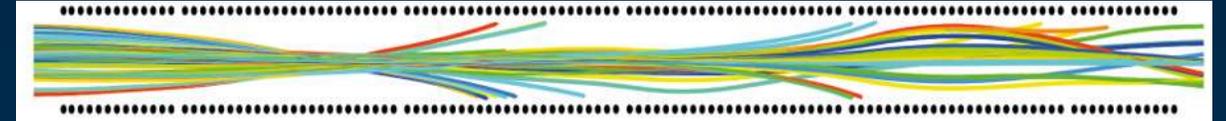
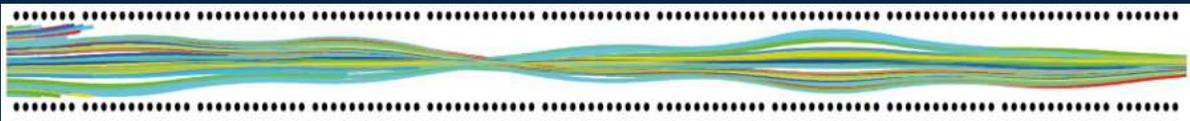
# Alternating phase focusing effect: proof of principle



2.7 larger electron current at optimal transport field strength (~700 MV/m)



Overfocusing effect (>400 MV/m)  
Different structure geometry with larger macrocells here

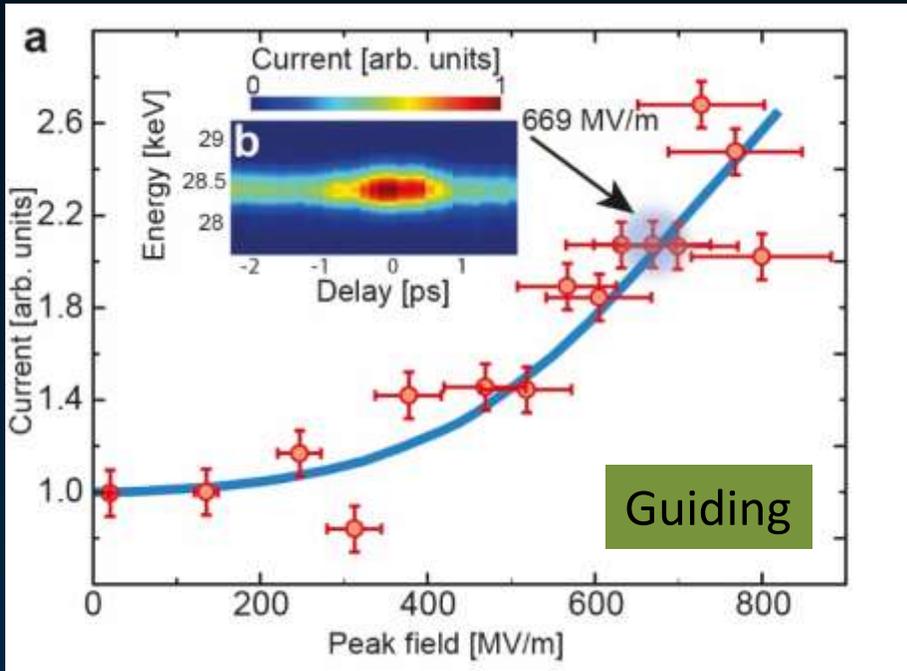


R. Shiloh, J. Illmer, T. Chlouba, P. Yousefi, N. Schönenberger, U. Niedermayer, A. Mittelbach, P. Hommelhoff, *Nature* 597, 498 (2021)

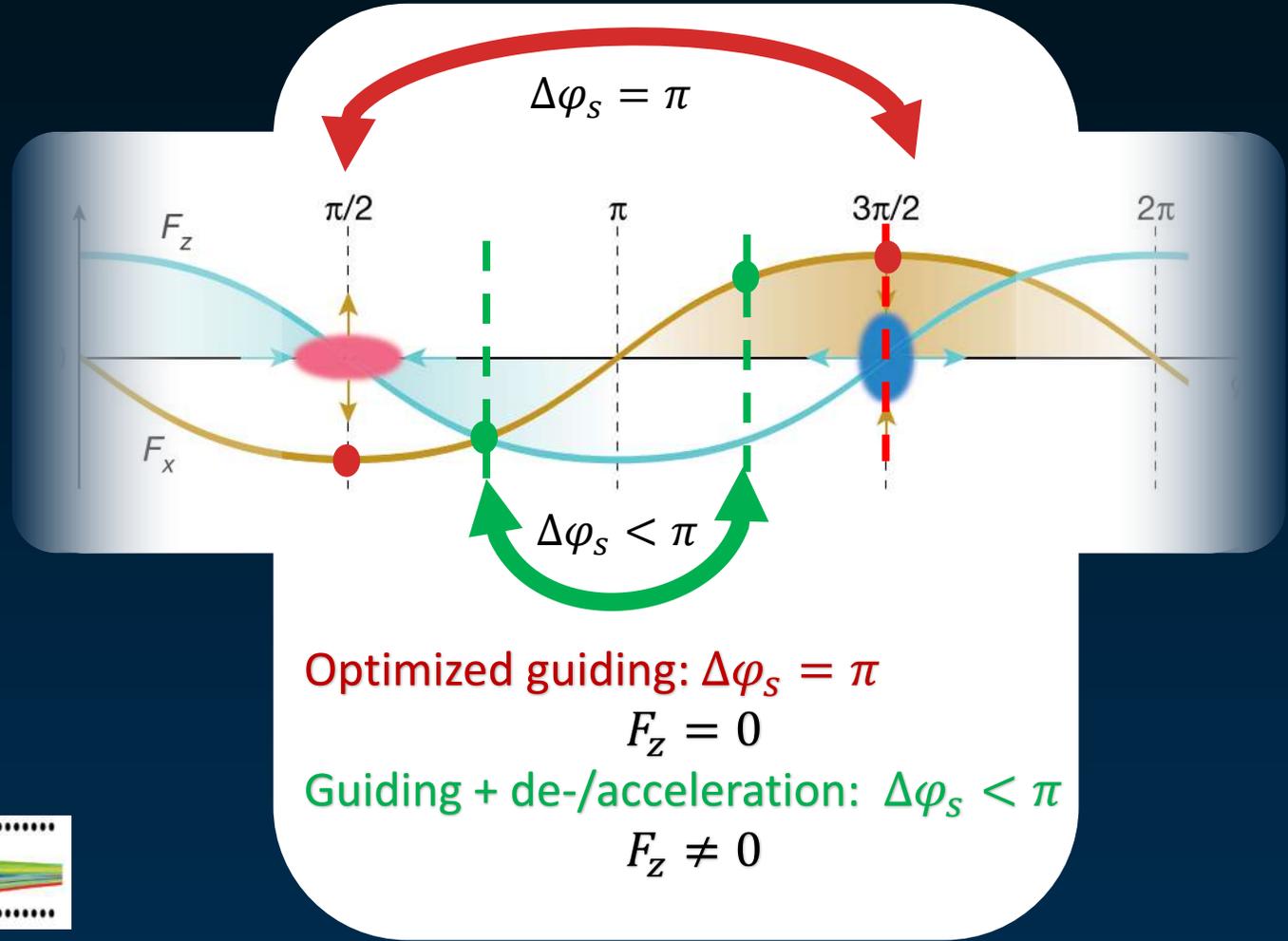
Niedermayer, U., Egenolf, T., Boine-Frankenheim, O., Hommelhoff P., *Physical review letters* 121.21 (2018): 214801.

Next step: Acceleration AND guiding

# Guiding and acceleration ?



2.7 larger electron current at optimal transport field strength (~700 MV/m)



Optimized guiding:  $\Delta\varphi_s = \pi$

$$F_z = 0$$

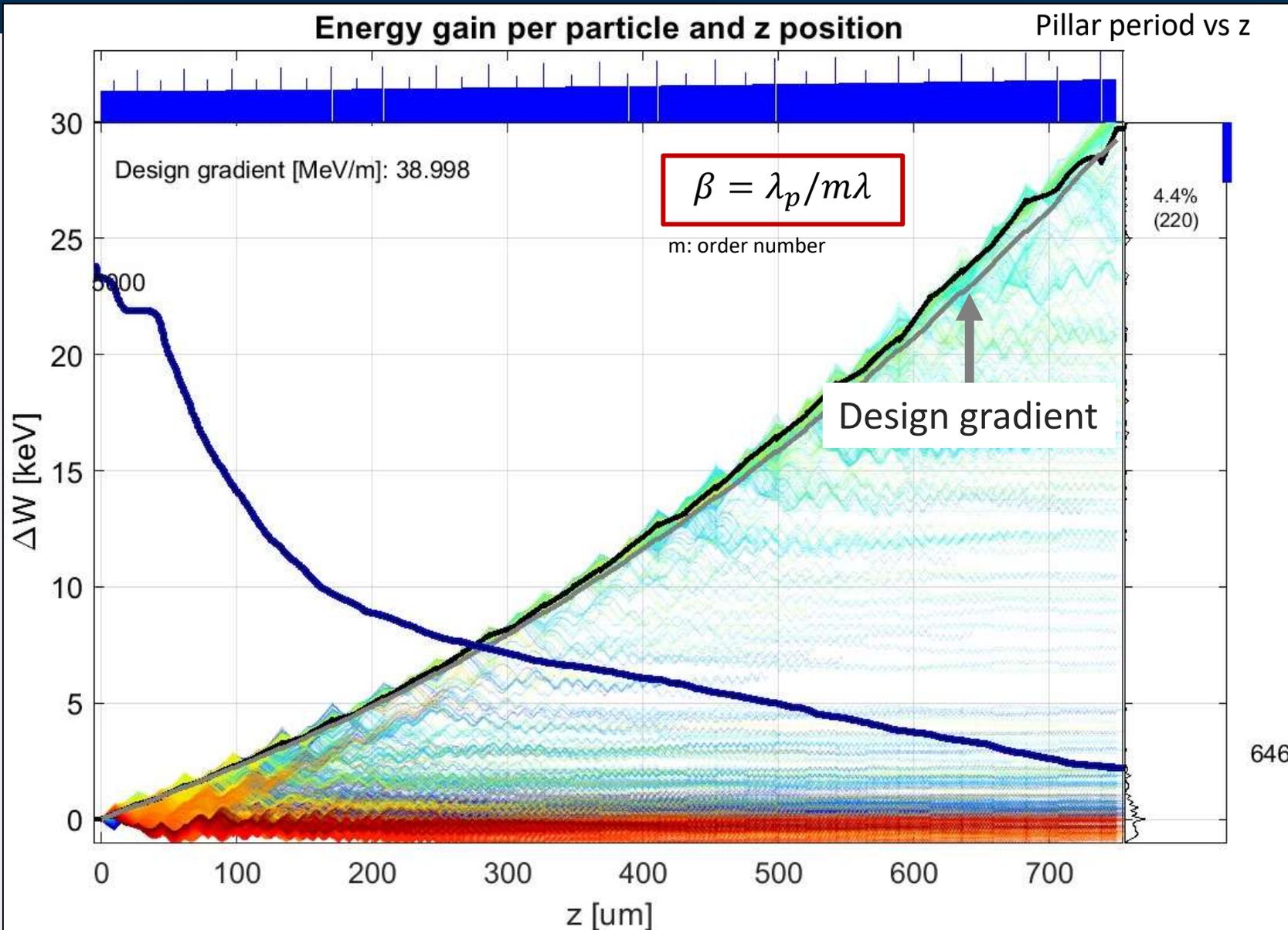
Guiding + de-/acceleration:  $\Delta\varphi_s < \pi$

$$F_z \neq 0$$

R. Shiloh, J. Illmer, T. Chlouba, P. Yousefi, N. Schönenberger, U. Niedermayer, A. Mittelbach, P. Hommelhoff, Nature 597, 498 (2021)

Niedermayer, U., Egenolf, T., Boine-Frankenheim, O., Hommelhoff P., *Physical review letters* 121.21 (2018): 214801.

# Towards significant acceleration – APF + Tapering



## Electron guiding and acceleration

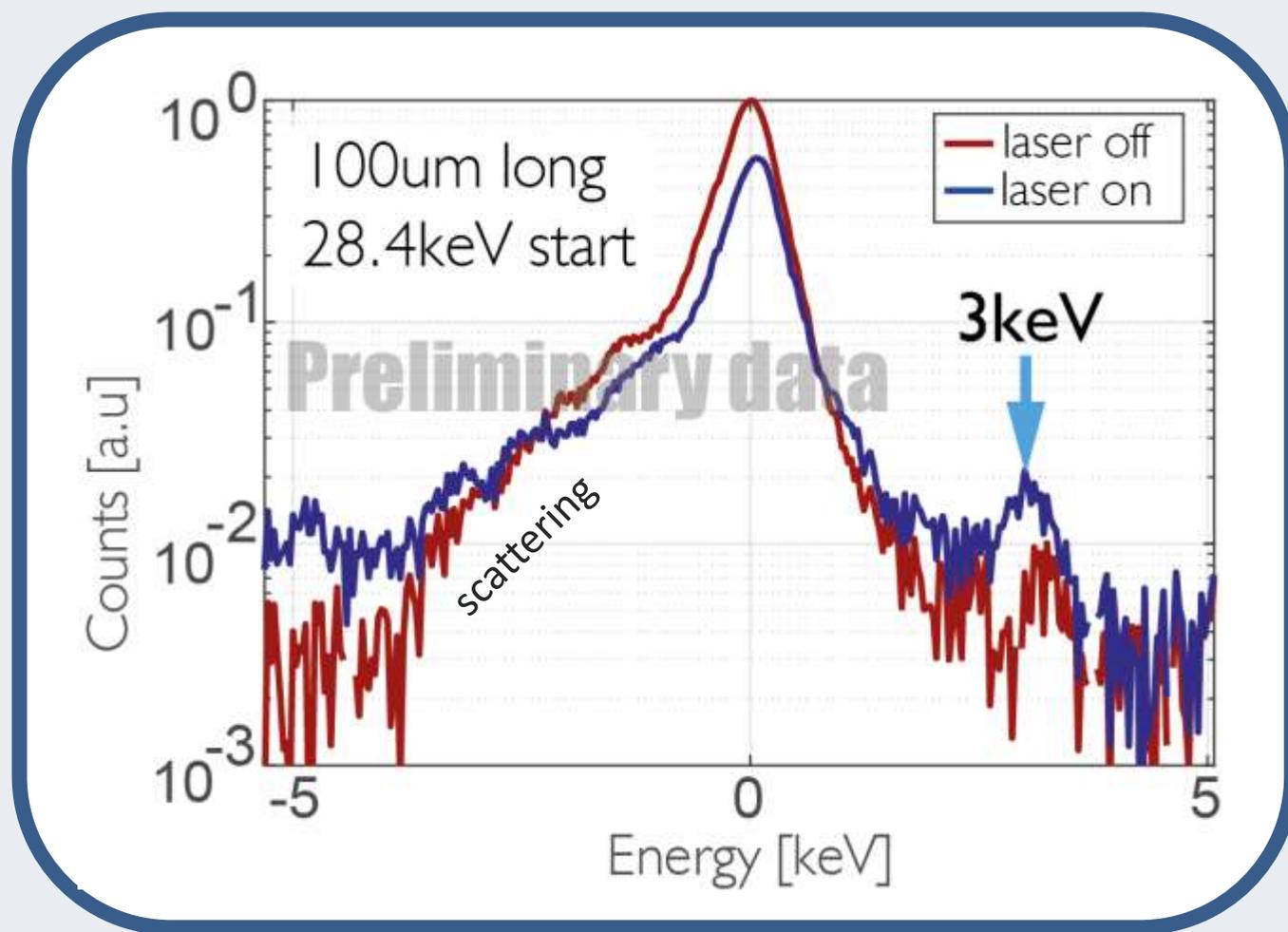
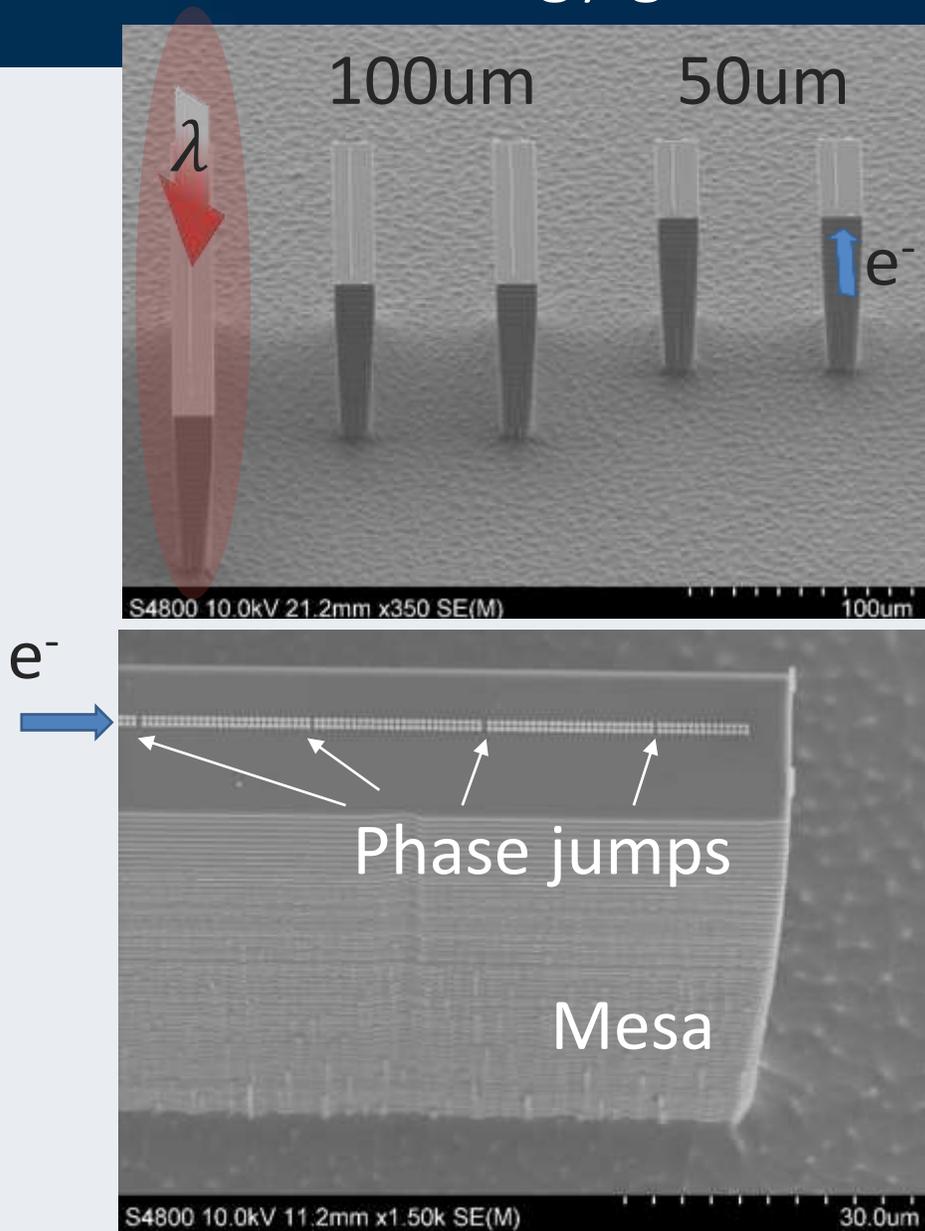
Features included:

- APF effect
- Tapered
- Numerically optimized
- Longer interaction with tilted laser pulse front (PFT)

With a 800um long structure we expect an energy gain of approx. 30keV for 4.4% of the electrons

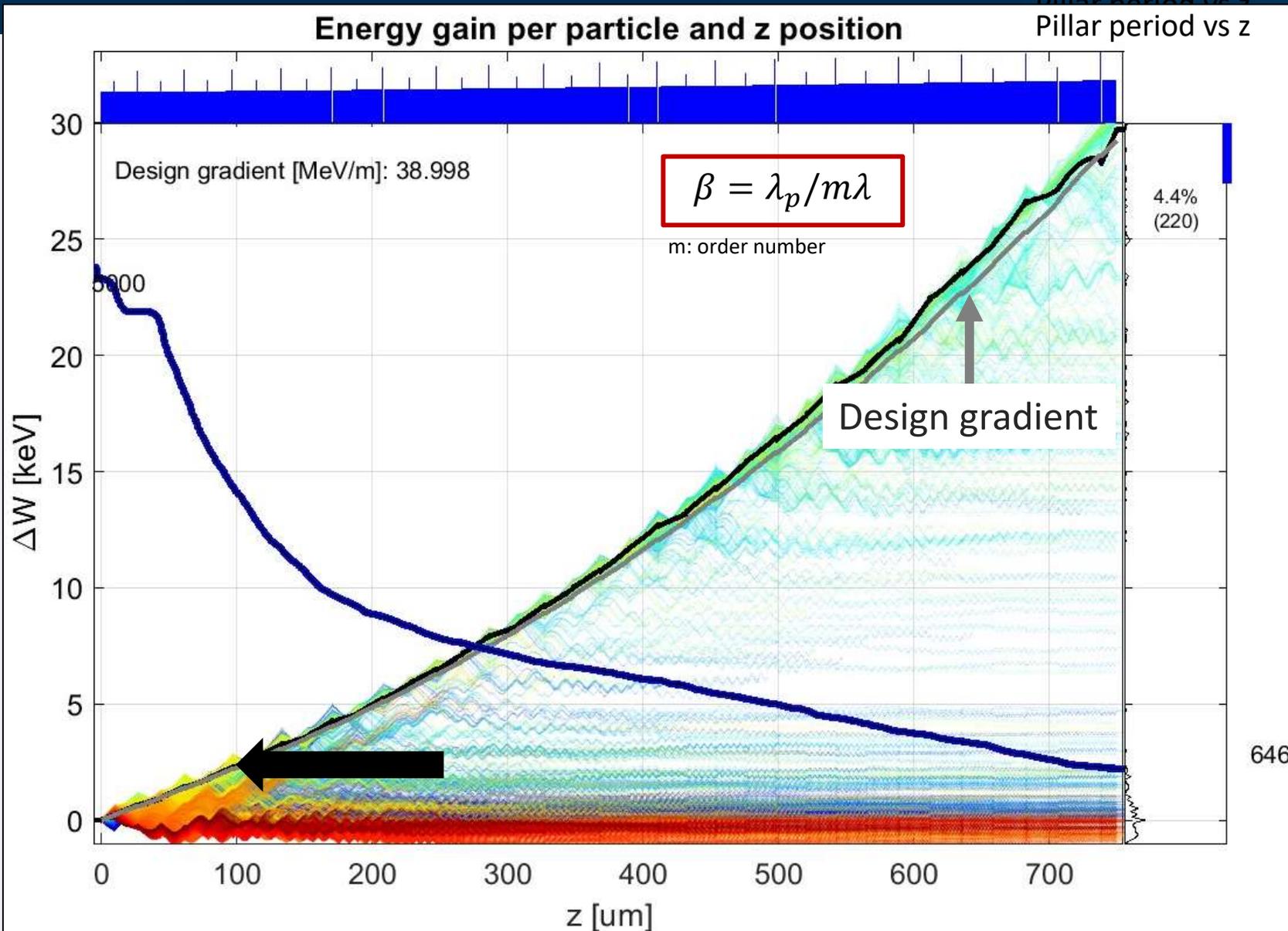
Energy doubler

# First net energy gain in 100 $\mu\text{m}$ long structure



$E_{\text{Peak}} = 600\text{MV/m} - 700\text{MV/m}$   
Expected gradient: 30MeV/m

# Towards significant acceleration – APF + Tapering



## Electron guiding and acceleration

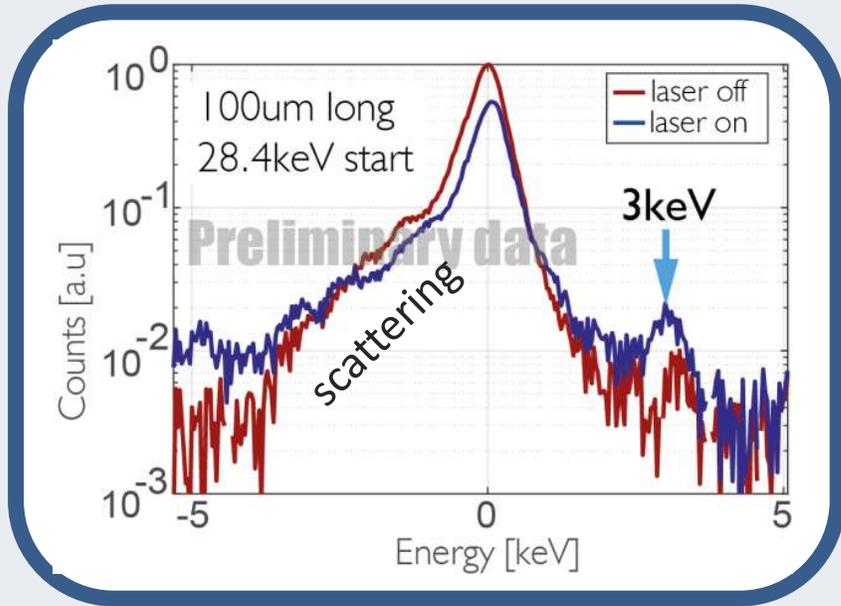
Features included:

- APF effect
- Tapered
- Numerically optimized
- Longer interaction with tilted laser pulse front (PFT)

With a 800um long structure we expect an energy gain of approx. 30keV for 4.4% of the electrons

Energy doubler

# Conclusion and outlook



## Nanophotonic-based particle acceleration

- Complex electron phase space control demonstrated over 77 $\mu\text{m}$  long structure: alternating phase focusing
- **First 3keV (10%) energy gain**

Discrete energy peaks  
Quantum-coherent interaction

Shiloh, R., Chloubá, T., & Hommelhoff, P. (2022). PRL, 128(23), 235301.

## Alternating phase focusing and approaching large net energy gain in photonic chip-based particle acceleration

Stefanie Kraus, Roy Shiloh, Johannes Illmer, Tomas Chloubá,

Leon Brückner, Julian Litzel, Peter Hommelhoff

Chair of laserphysics, Friedrich-Alexander University Erlangen-Nürnberg (FAU), Staudtstr. 1, 91058 Erlangen, Germany  
laserphysik@fau.de email: steffi.kraus@fau.de



FAU Friedrich-Alexander-Universität Erlangen-Nürnberg

### 1. Motivation

Accelerators	Damage threshold	Average gradient
Radiofrequency accelerators	200MV/m electric field amplitude	150MeV/m
Dielectric based accelerators	up to 9 GV/m [1]	> 1GeV/m

The goal of dielectric laser acceleration (DLA) is a miniaturized MeV electron source on a chip.

Acceleration concept:  
All in free space, interaction averages to zero

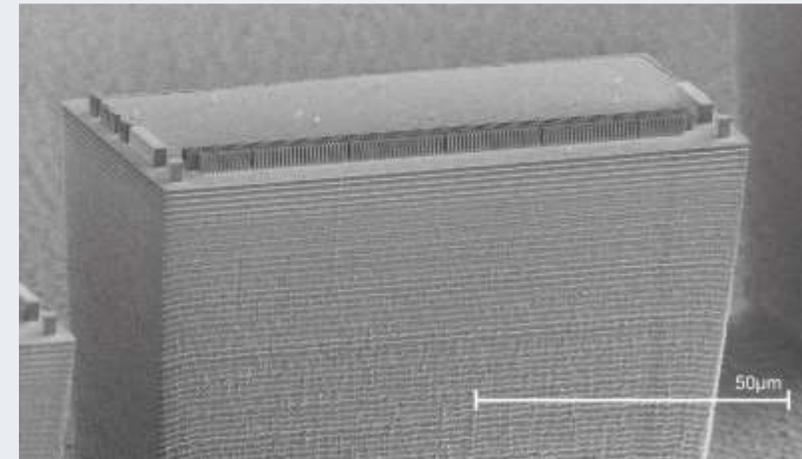
Applications:

### 2. Acceleration, deceleration and deflection

- Excitation of spatial evanescent harmonics by a laser with wavelength  $\lambda$  and  $m$  times per grating period  $\lambda_g$  oscillation.

Synchronicity condition for acceleration,  
 $\beta = \lambda_g / (m\lambda)$

→ Maintaining of phase synchronicity by adjusting the structure period  
Depending on the timing between the laser field and the particle, the force on the electron acts



R. Shiloh, J. Illmer, T. Chloubá, P. Yousefi, N. Schönenberger, U. Niedermayer, A. Mittelbach, P. Hommelhoff, Nature 597, 498 (2021)

# Thank you for your attention!



ACHIP Collaboration Meeting 2021 in Erlangen

# Conclusion and outlook

## Nanophotonic-based particle acceleration

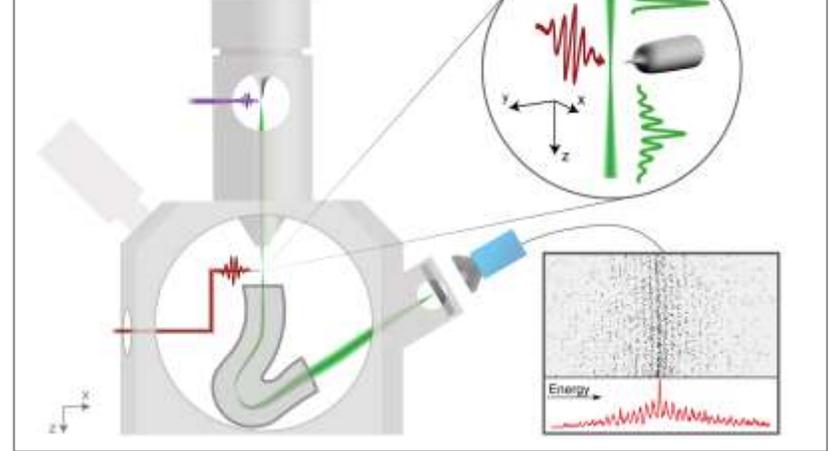
- Complex electron phase space control demonstrated over  $77\mu\text{m}$  long structure: alternating phase focusing
- **First 3keV (10%) energy gain**

scattering

## Nanophotonic-based particle acceleration

- Complex electron phase space control demonstrated over  $77\mu\text{m}$  long structure: alternating phase focusing
- **First 3keV (10%) energy gain**

Discrete energy peaks  
Quantum-coherent interaction



Shiloh, R., Chloubá, T., & Hommelhoff, P. (2022). PRL, 128(23), 235301.

## Alternating phase focusing and approaching large net energy gain in photonic chip-based particle acceleration

Stefanie Kraus, Roy Shiloh, Johannes Illmer, Tomas Chloubá,  
Leon Brückner, Julian Litzel, Peter Hommelhoff

Chair of laserphysics, Friedrich-Alexander University Erlangen-Nürnberg (FAU), Staudtstr. 1, 91058 Erlangen, Germany  
laserphysik.nat.fau.eu email: steffi.kraus@fau.de



### 1. Motivation

Accelerators	Damage threshold	Average gradient
Radiofrequency accelerators	200MV/m electric field amplitude	150MeV/m
Dielectric based accelerators	up to 9 GV/m [1]	> 1GeV/m

The goal of dielectric laser acceleration (DLA) is a miniaturized MeV electron source on a chip.

Acceleration concept:  
All in free space, interaction averages to zero

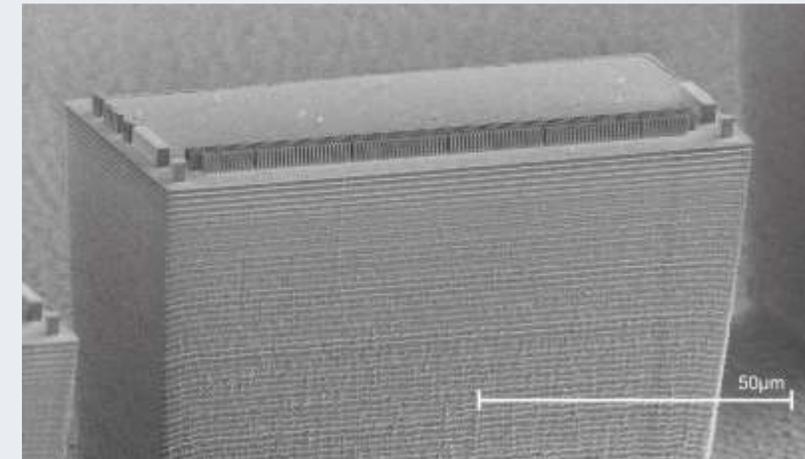
Applications:

### 2. Acceleration, deceleration and deflection

- Excitation of spatial evanescent harmonics by a laser with wavelength  $\lambda$  and  $m$  times per grating period  $\lambda_g$  oscillation.

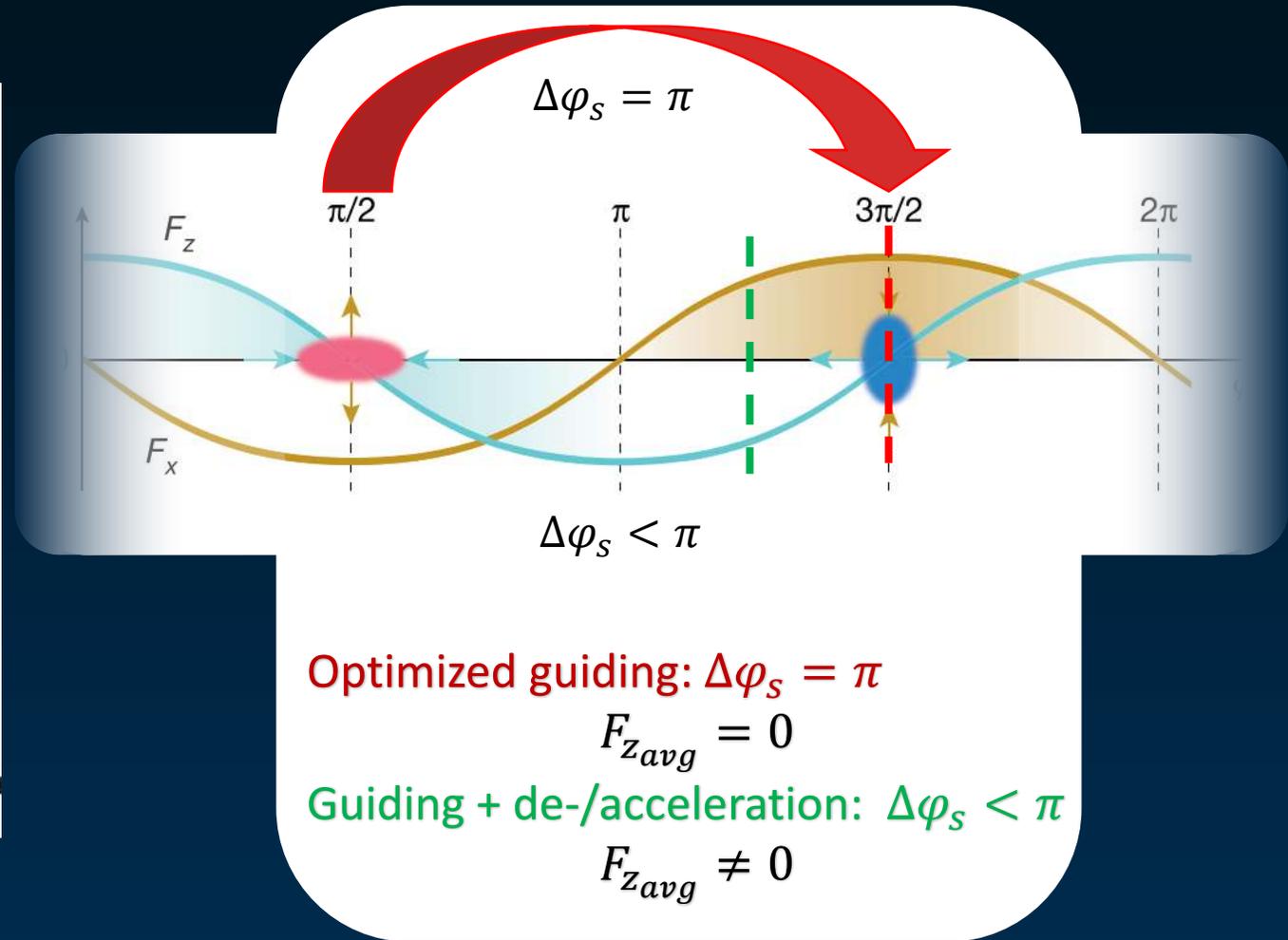
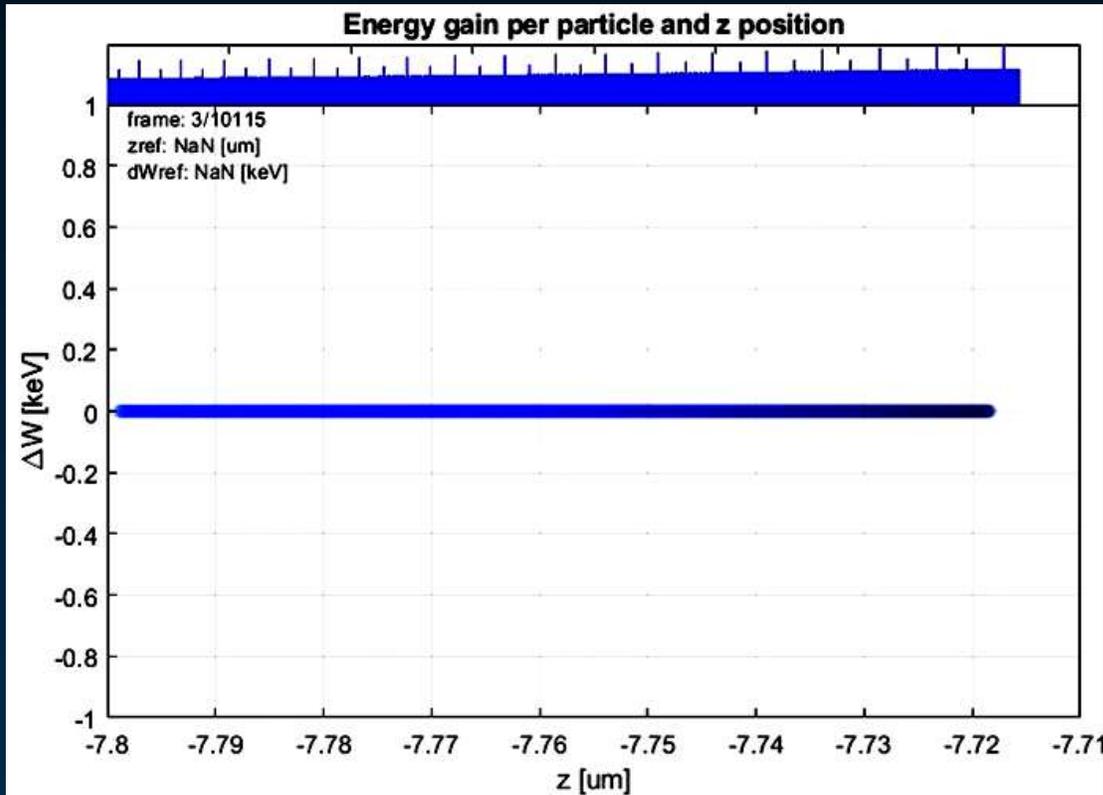
Synchronicity condition for acceleration,  
 $\beta = \lambda_g / (m\lambda)$

→ Maintaining of phase synchronicity by adjusting the structure period  
Depending on the timing between the laser field and the particle, the force on the electron acts



R. Shiloh, J. Illmer, T. Chloubá, P. Yousefi, N. Schönenberger, U. Niedermayer, A. Mittelbach, P. Hommelhoff, Nature 597, 498 (2021)

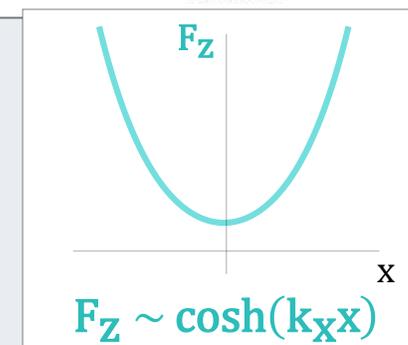
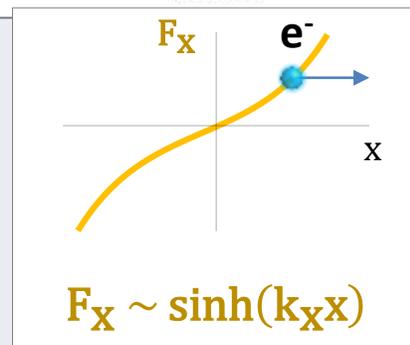
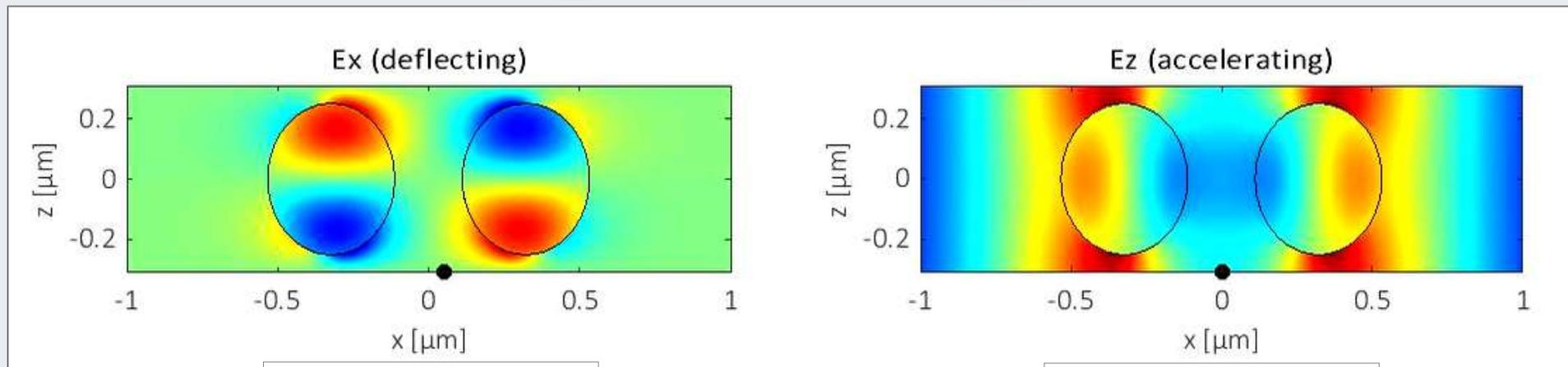
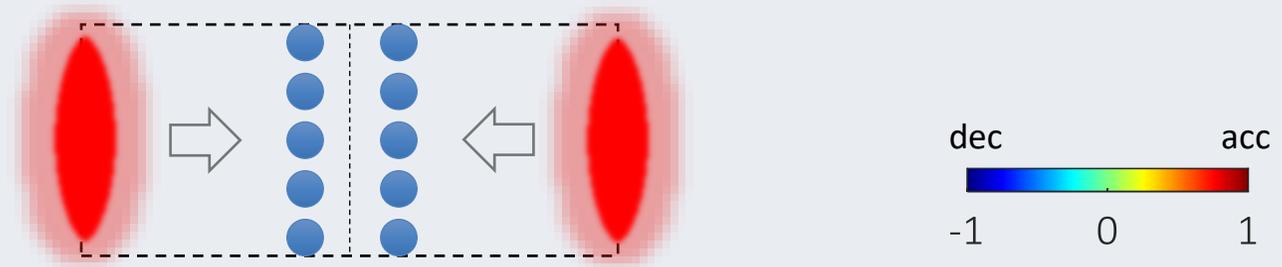
# Guiding and acceleration ?



Particle is copropagating with the optical nearfield mode  
 $\varphi_s$  particle's position inside of the mode

# Full fields of a dual-pillar structure

- Particle experiences on average only an accelerating field



# Dephasing

Write the electric field as a Fourier series w.r.t. to the grating (period  $\Lambda$ ):

$$E_z(x, y, z) = \sum_{m=-\infty}^{\infty} e_m(x, y) e^{-im2\pi z/\Lambda}$$

For our structures:  
 $|e_1|/E_{incident} \approx 0.1$

The energy gain  $\Delta W$  of an electron in such a field, assuming only one dominant Fourier order  $m$  with amplitude  $|e_m|$  and phase  $\varphi_m$  is,

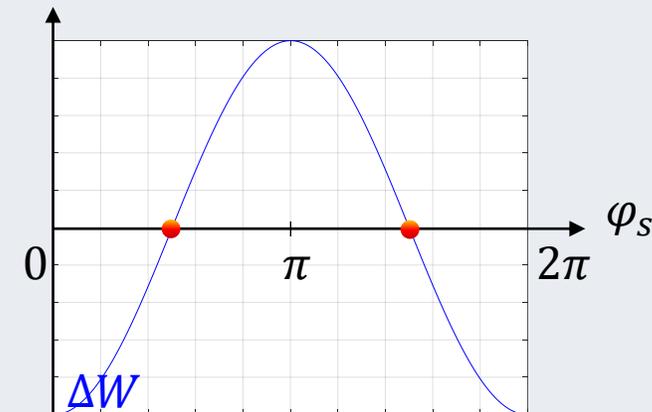
$$\Delta W = q\Lambda |e_m| \cos(\varphi_s), \quad \varphi_s = 2\pi s/\beta\lambda + \varphi_m$$

Where  $s$  is the distance of this particle from some arbitrarily-defined reference particle moving at constant velocity,  $z = vt$ .

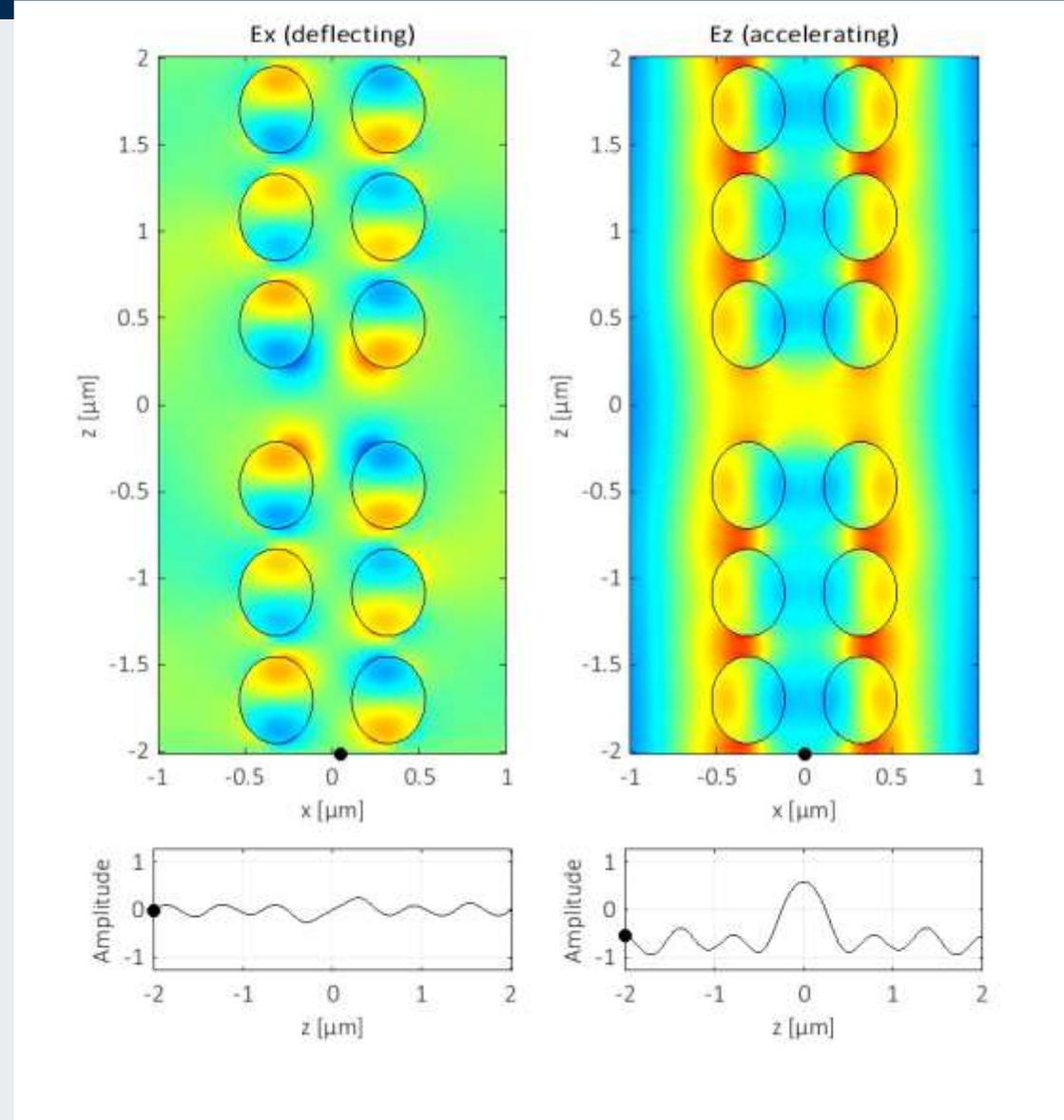
## Designing energy gain per cell

$$\Delta z^{(n+1)} = q|e_1|\lambda^2 \cos(\varphi_s^{(n)}) / \gamma^{(n)^3} m_e c^2$$

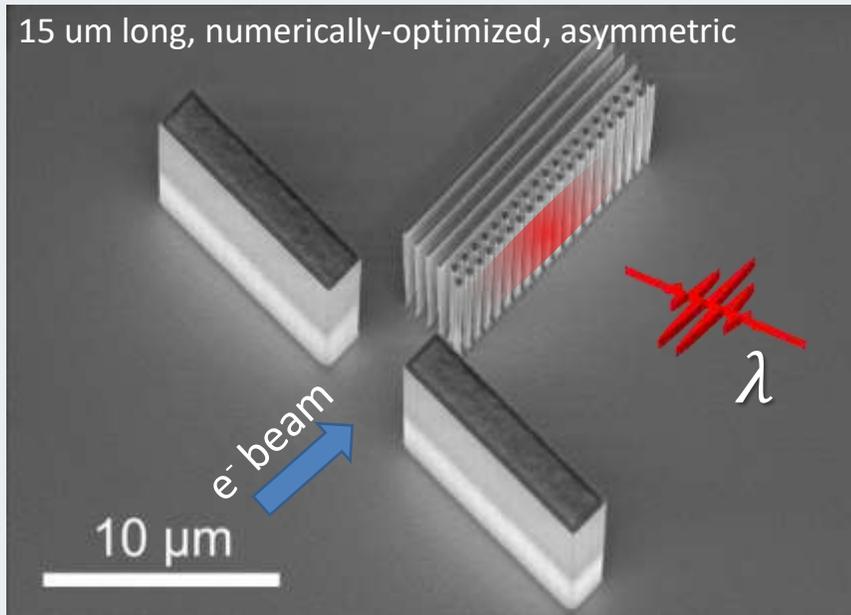
$$\beta^{(n+1)} = \beta^{(n)} + \Delta z^{(n+1)} / \lambda$$



# Full fields – APF

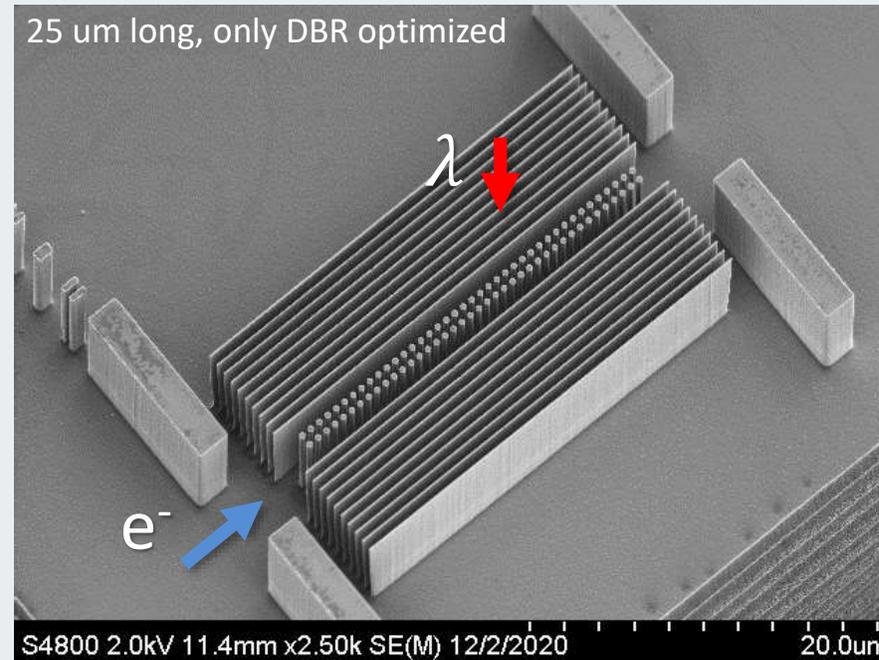


## Side illumination



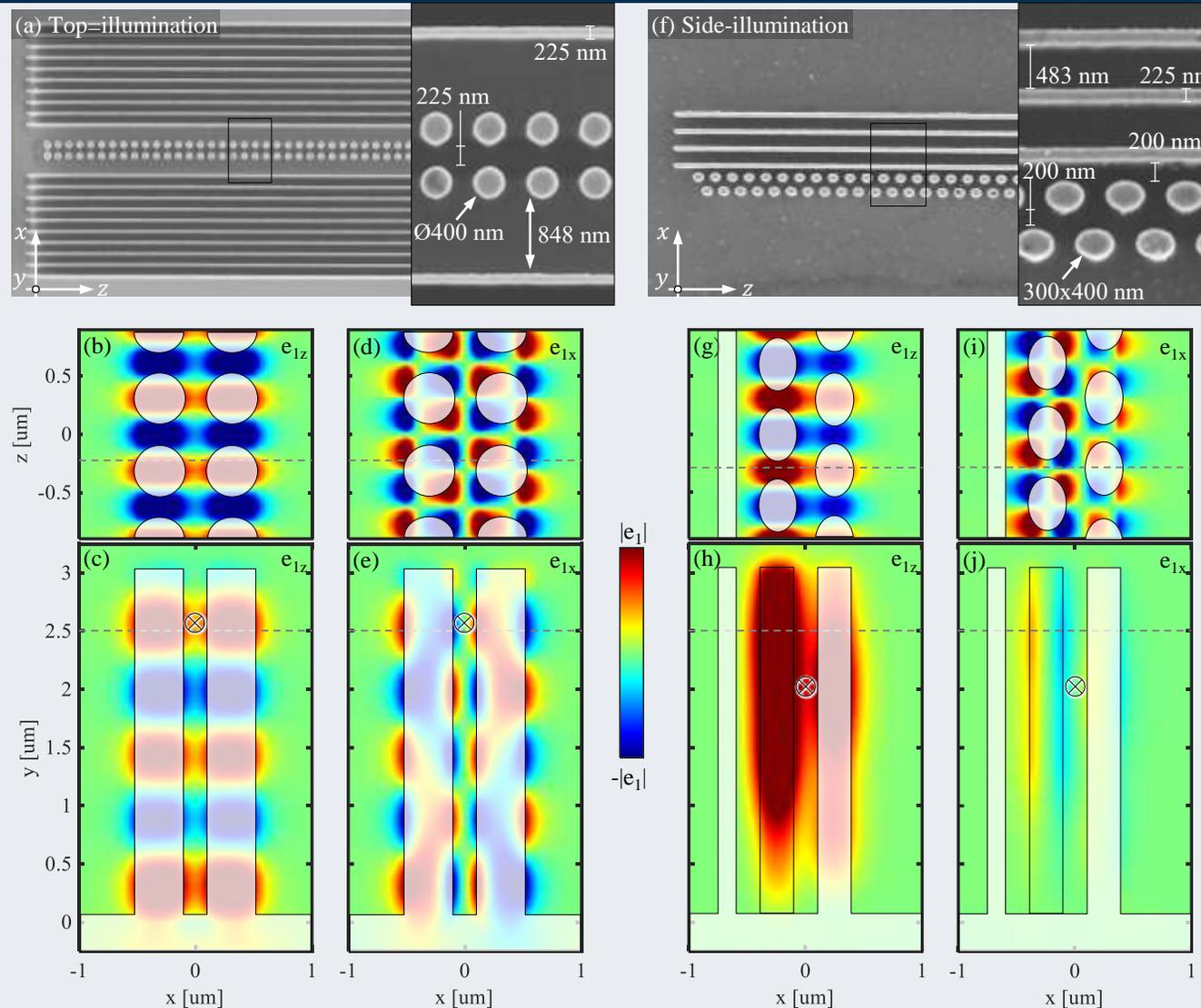
- ❌ DBR only mimics two-sided illumination
- ❌ Experimentally, can only work with point-reflection from sample

## Top illumination



- ✅ Transverse symmetry guaranteed
- ✅ Experimentally, structure shadow easily seen from reflection
- ❓ Additional effect in the vertical direction?

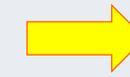
# Top illumination



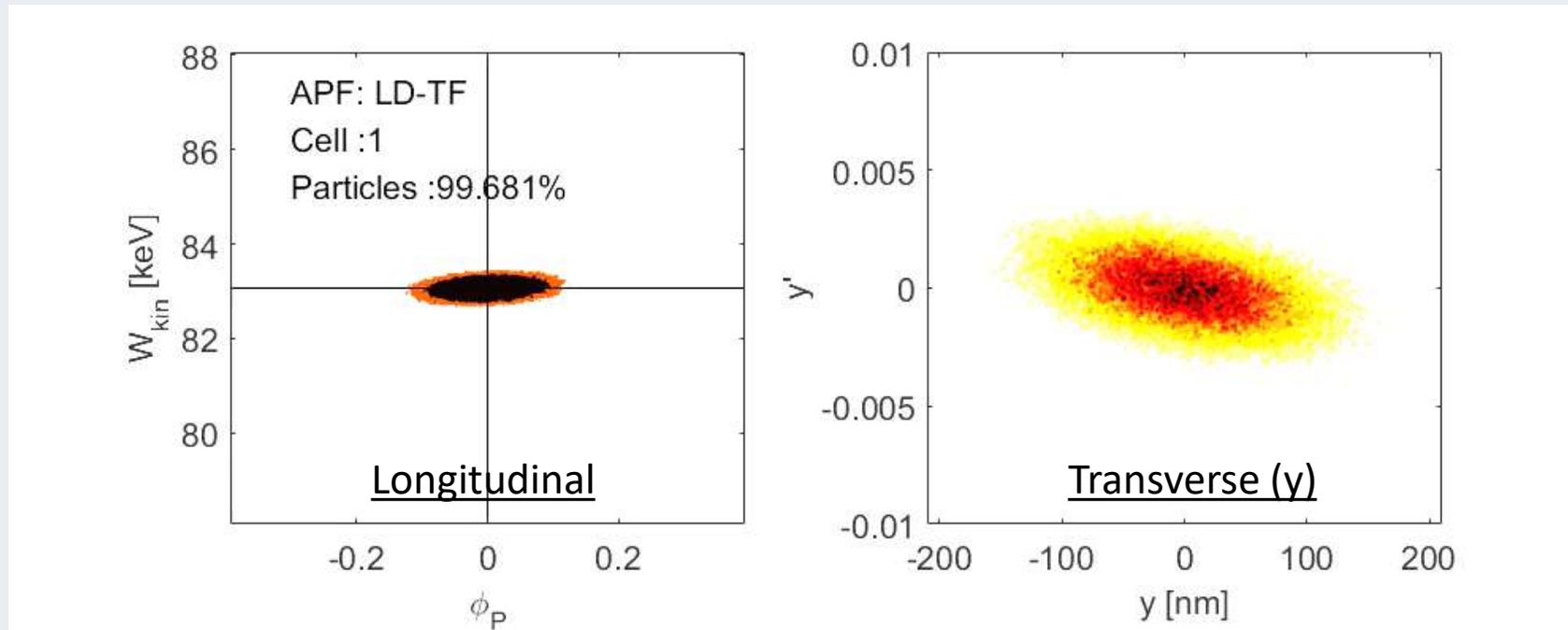
Shiloh, R., Chlouba, T., Yousefi, P., & Hommelhoff, P. (2021, *Optics Express*, 29(10), 14403-14411.

# Alternating phase focusing

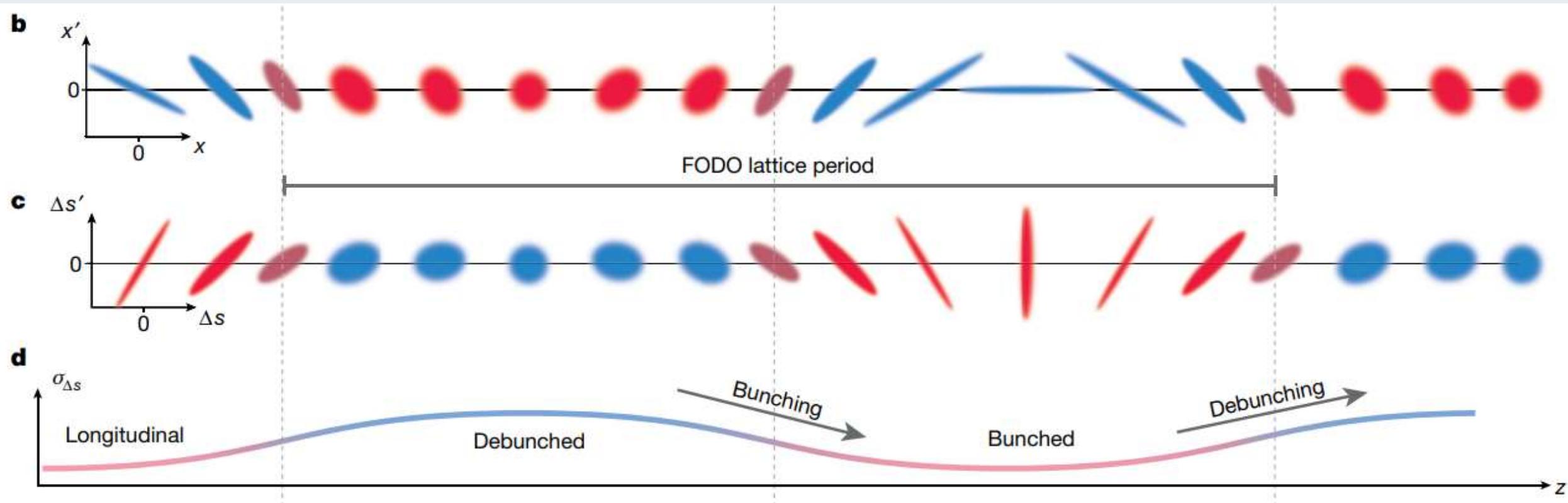
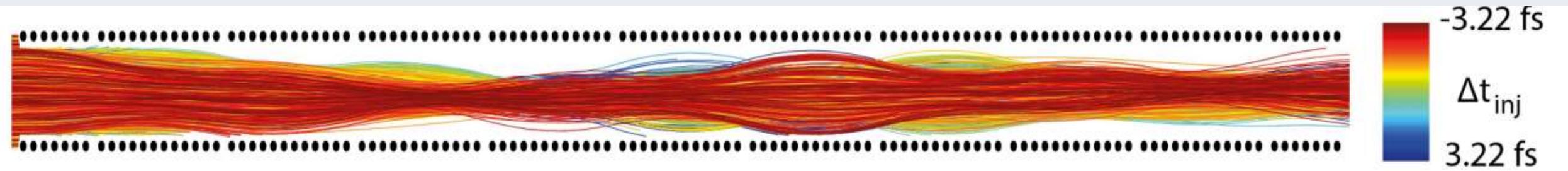
Alternate the transverse and longitudinal directions



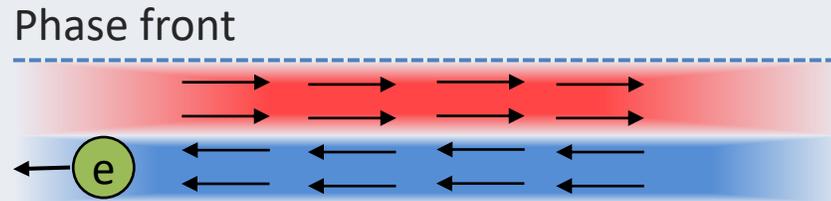
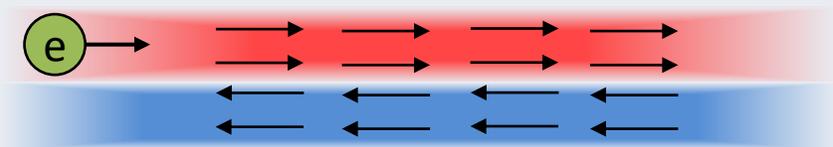
**Net focusing in both dimensions!**



First structure design that allows building the accelerator on a chip with initial energy **83 keV** to more than **1 MeV**:  
56% transmission for 100pm rad,  
93% for 25pm rad emittance

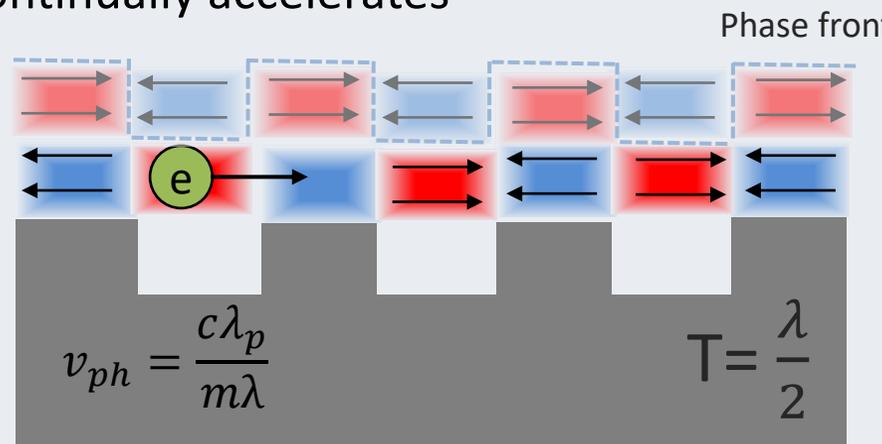
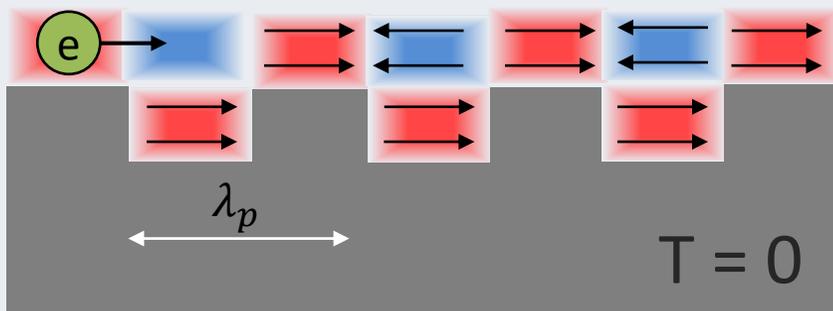


In free space: Interaction averages to zero



Dielectric structure necessary to reach synchronicity condition

With dielectric structure: synchronized electron continually accelerates

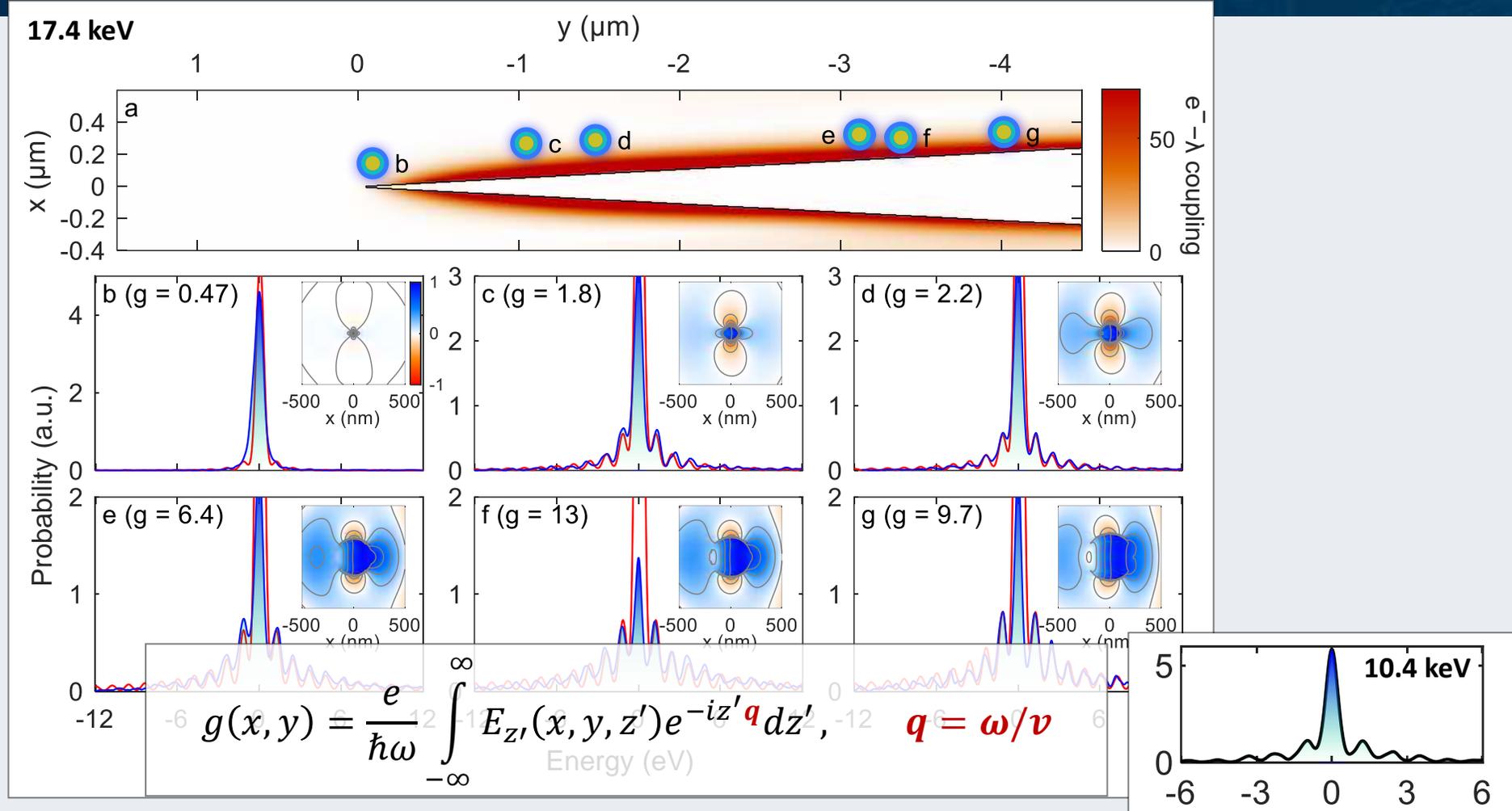


$$\beta = \lambda_p / m\lambda$$

m: order number



# PINEM in an SEM



Shiloh, R., Chlouba, T., & Hommelhoff, P. (2022). PRL, 128(23), 235301.