

# New ideas on the hierarchy problem

Tevong You



# Outline

- **Motivation**
- **Cosmological Relaxation**
- **Cosmological Self-Organised Criticality**
- **Cosmological Censorship**
- **Conclusion**

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# Introduction

- Empirical fact **(1)**: descriptions of nature are **self-contained** within their respective scales
- Empirical fact **(2)**: as we go to **smaller scales**, these descriptions *unify more and more* into increasingly tightly knit, **rigid frameworks**
- *This did not have to be so*, but appears to be **how nature is organised**
- The **hierarchy problem** is a *deep conflict* between (1) and (2): if the Higgs emerges from a more fundamental theory, as expected from (2), then it violates (1) if the scale of new physics is too high

# Effective Field Theory

$$\mathcal{L} = \Lambda^4 + \Lambda^2 \mathcal{O}^{(2)} + m \mathcal{O}^{(3)} + \mathcal{O}^{(4)} + \frac{1}{\Lambda^2} \mathcal{O}^{(6)} + \frac{1}{\Lambda^4} \mathcal{O}^{(8)} + \dots$$

- Incredibly successful
- Explains many features of our theories
- **Natural expectations** for sizes of parameters
- *Sound reasoning*, vindicated many times in the past
  
- However: **hierarchy problem** and **cosmological constant** *defy EFT logic*

# The Hierarchy Problem

- Hierarchy problem *is still a problem*:  $(m_h)_{\text{tree}}^2 + (m_h)_{\text{radiative}}^2 = (m_h)_{\text{v}}^2$

$$\delta m_\phi^2 \propto m_{\text{heavy}}^2, \quad \delta m_\psi \propto m_\psi \log\left(\frac{m_{\text{heavy}}}{\mu}\right)$$

[If Higgs mass is *calculable* in underlying UV theory]

## Historical precedent

- Earliest example of an unnatural, **arbitrary** feature of a fundamental theory:

$$m_{\text{inertial}} = q_{\text{gravity}}$$

- Classical electromagnetism **fine-tuning**:

$$(m_e c^2)_{\text{obs}} = (m_e c^2)_{\text{bare}} + \Delta E_{\text{coulomb}}, \quad \Delta E_{\text{coulomb}} = \frac{e^2}{4\pi\epsilon_0 r_e}$$

- Pions, GIM mechanism, etc.

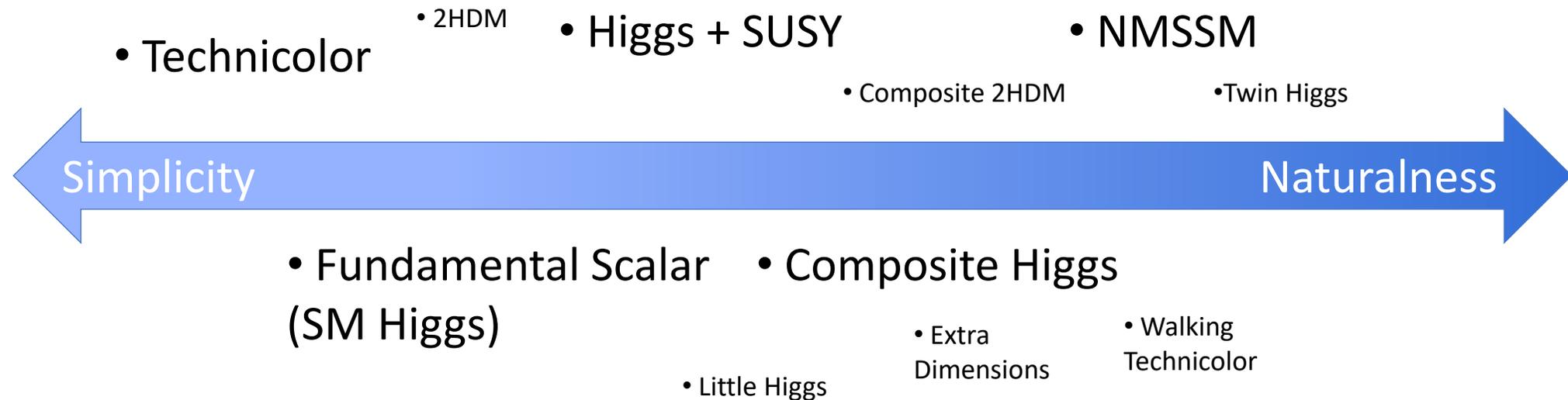
- Higgs? *Expect new physics close to weak scale*

# Understanding the origin of EWSB

- The SM has many *arbitrary* features put in by hand which hint at **underlying structure**
  - *Pattern of Yukawa couplings, CKM*
  - *QCD Theta term*
  - *Neutrino mass*
  - *Higgs potential*
  - ...
- Maybe it just is what it is  $\_ ( \_ ) \_ / \_$
- but we would like a **deeper understanding** i.e. an *explanation* for why things are the way they are
  - *e.g. PQ axion for Theta term, see-saw for neutrino mass, Froggat-Nielsen for Yukawas...*
- In SM, **no understanding** of Higgs sector: Higgs potential and couplings *put in by hand and unexplained*
- We feel there must be some underlying system that **explains the origin of EWSB**
- In any such theory *in which the Higgs potential is calculable*, there is a **UV sensitivity** to the Higgs mass (*that is no longer a free parameter*) which requires fine-tuned cancellations

# Natural electroweak symmetry breaking?

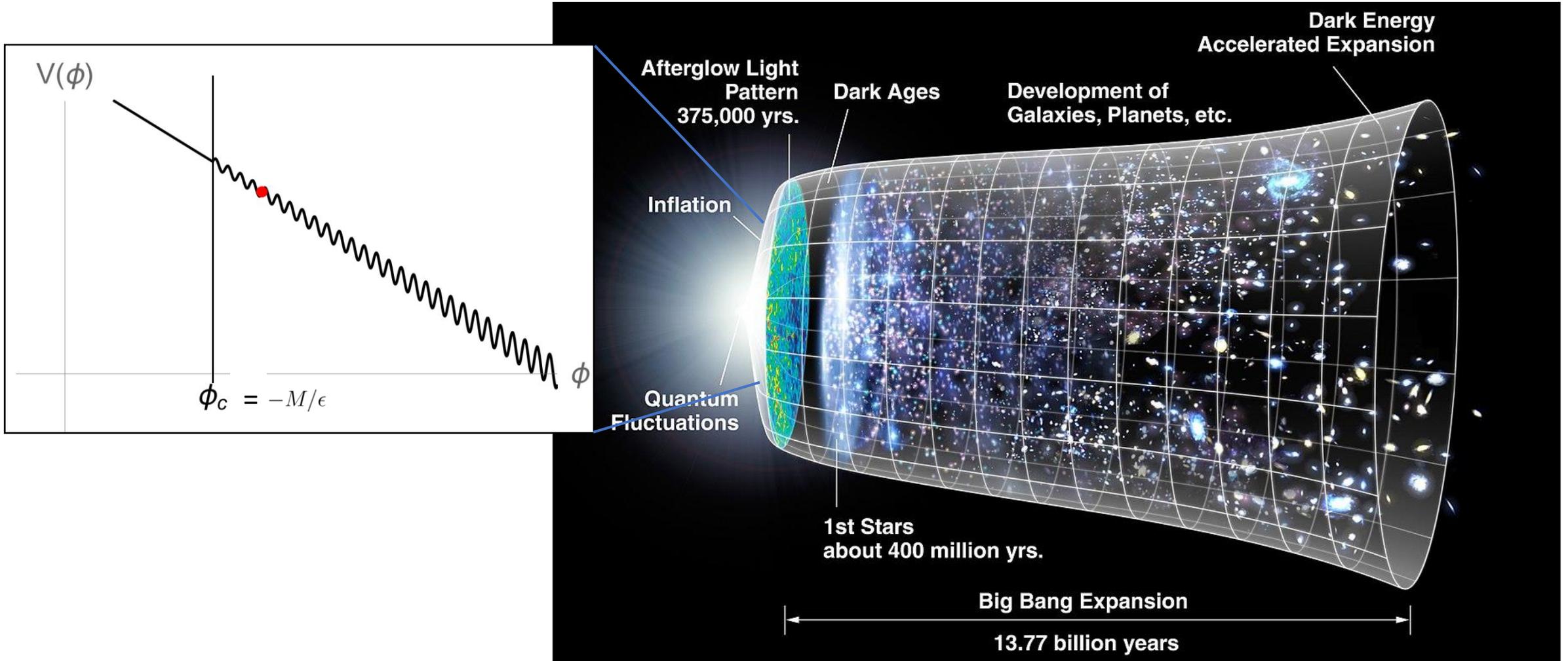
- A priori **many ways** to break electroweak symmetry



- **Tension** between *simplicity* and *naturalness*
- Driven by **lack of new physics** at weak scale
- How to reconcile this with naturalness?

# Natural electroweak symmetry breaking?

- **Cosmological evolution** may play a key role!



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# Cosmological relaxation

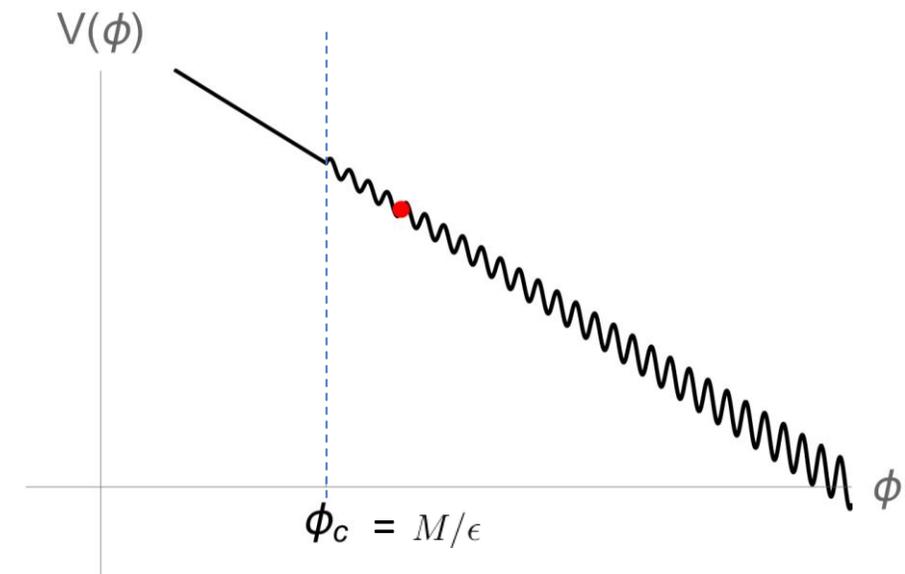
P. W. Graham, D. E. Kaplan and S. Rajendran,  
[arXiv:1504.07551]

L. F. Abbott, Phys. Lett. B 150  
(1985) 427

- Assume Higgs mass is naturally large at cut-off  $M$

$$\mathcal{L} \supset (M^2 + \epsilon M \phi) |h|^2 + \epsilon M^3 \phi + \dots + \Lambda_p^{4-n} v^n \cos\left(\frac{\phi}{f_p}\right)$$

- Higgs quadratic term scanned by axion-like field  $\phi$  during inflation
- $\phi$  protected by shift symmetry, explicitly broken by small parameter  $\epsilon$
- Backreaction when  $\langle h \rangle \sim v$  stops  $\phi$  evolution at small electroweak scale  $v$



$$\epsilon M^3 \simeq \frac{\Lambda_p^{4-n} v^n}{f_p}$$

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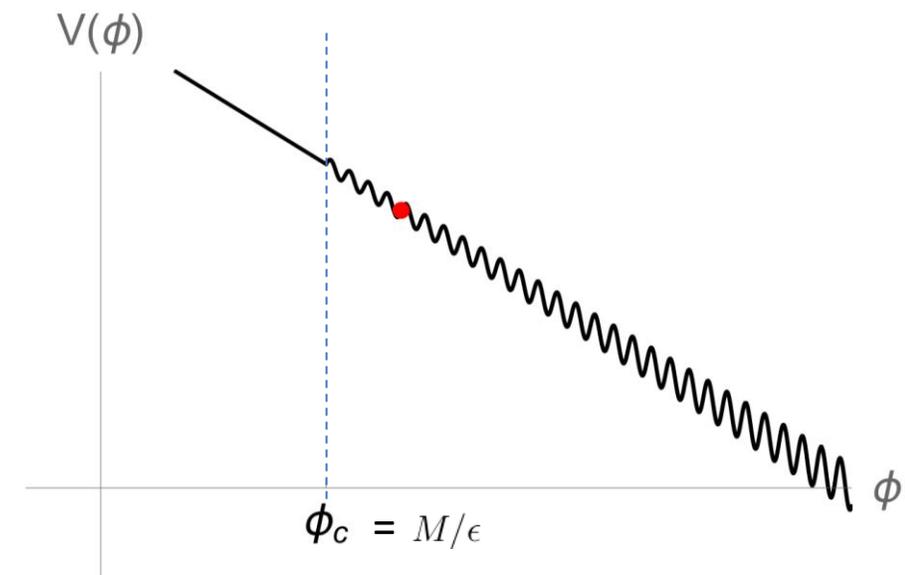
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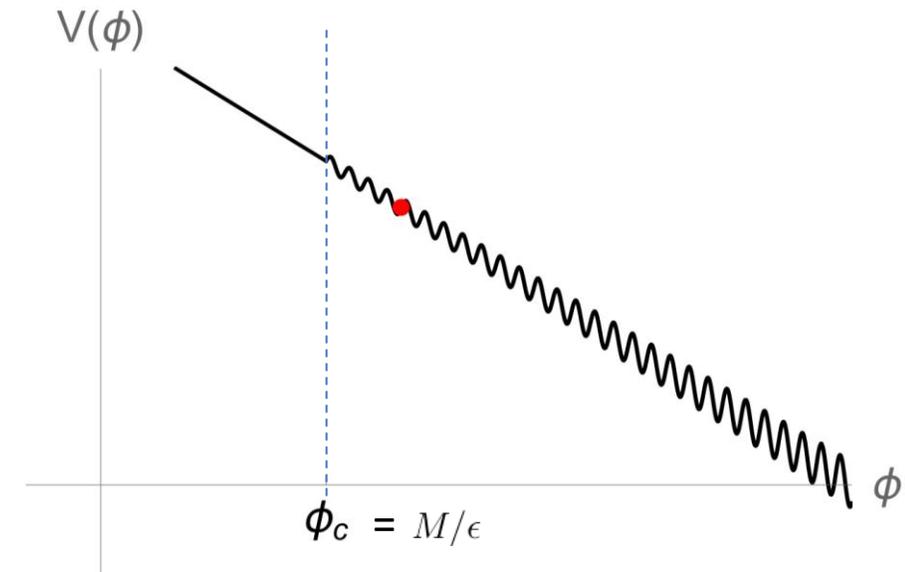
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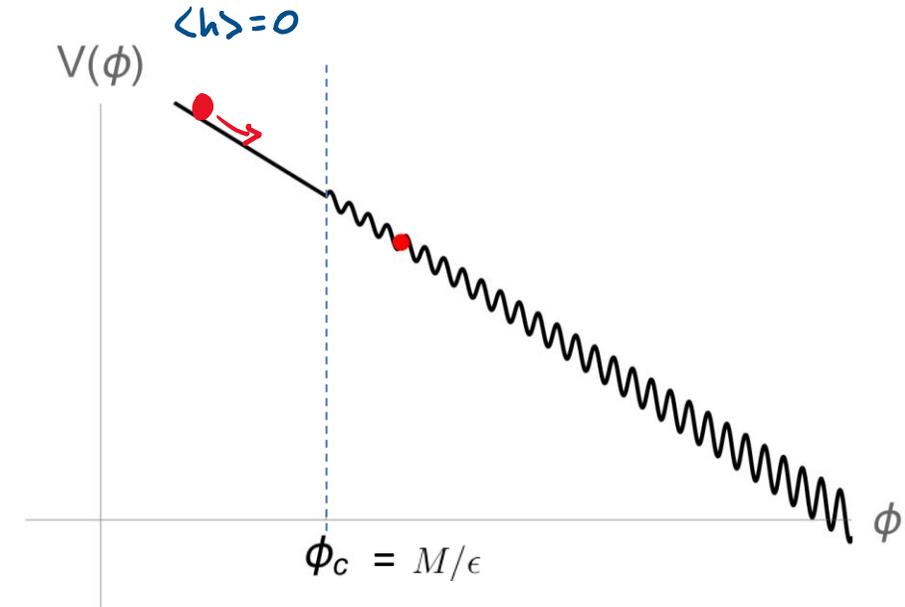
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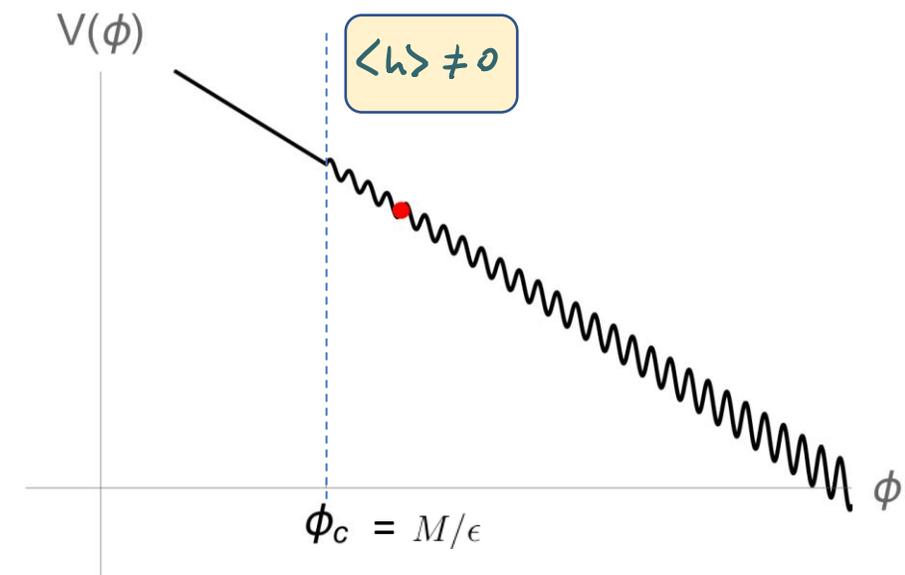
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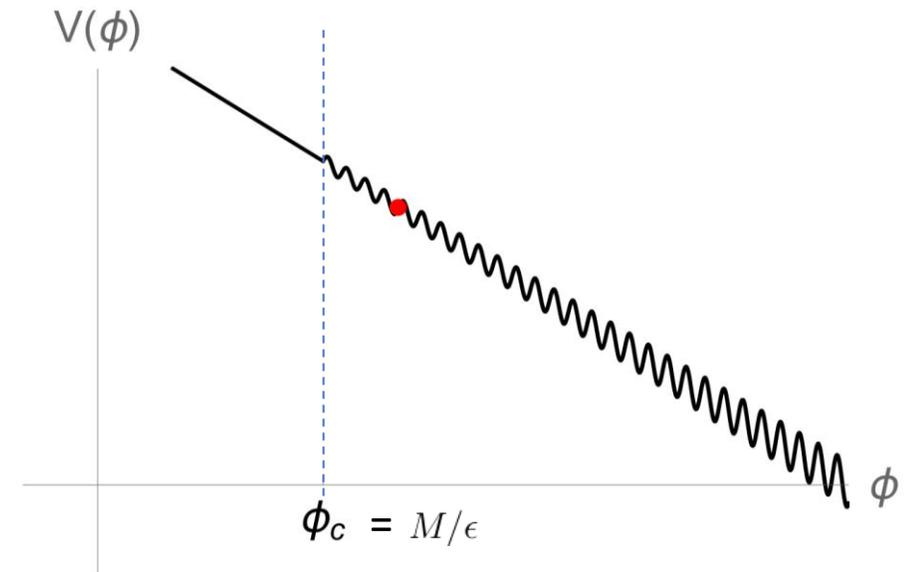
Constraints:  $H < v$ , classical rolling vs quantum, inflaton energy density dominates relaxion, etc.

Very small  $\epsilon$  and natural scanning range lead to super-planckian field excursions, exponential e-foldings...

- Assume Higgs mass is naturally l

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# Cosmological relaxation

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- **n=1** models Graham et al [1504.07551]
  - Confining gauge group G=QCD: Need additional ingredients to overcome **strong-CP problem**
  - New gauge group G: new physics at weak scale + **coincidence problem**
- **n=2** models Espinosa et al [1506.09217]
  - G can be at higher scales, raises M cut-off too
  - **Requires second scalar** to relax relaxion barriers: double-scanning mechanism
- **n=0** models Hook and Marques-Tavares [1607.01786], TY [1701.09167]
  - **More promising**, make use of axial gauge coupling
  - Connection to **dark photons** Domcke, Schmitz, TY [2108.11295]

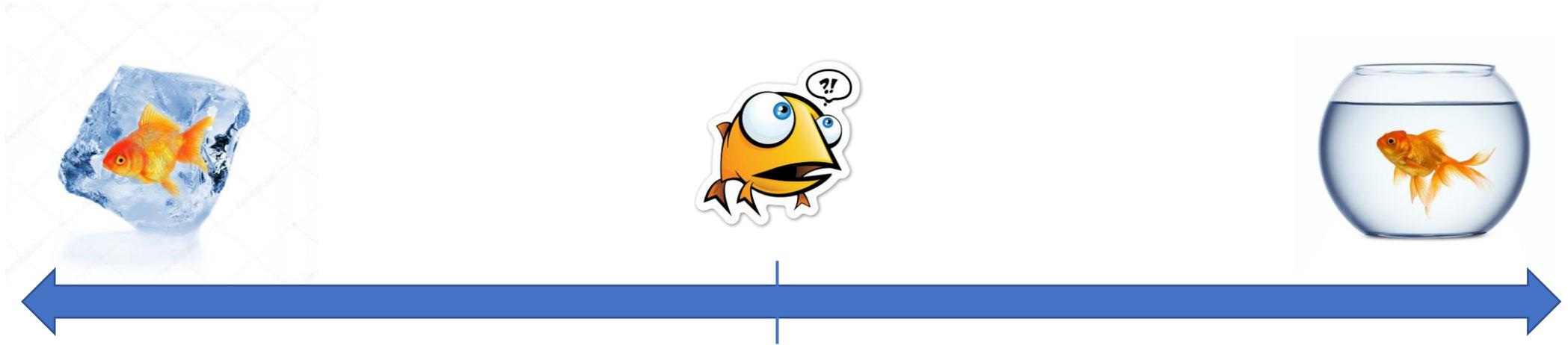
$$\mathcal{L} = \frac{1}{32\pi^2} \frac{a}{f} \epsilon^{\mu\nu\rho\sigma} \text{Tr} G_{\mu\nu} G_{\rho\sigma}$$

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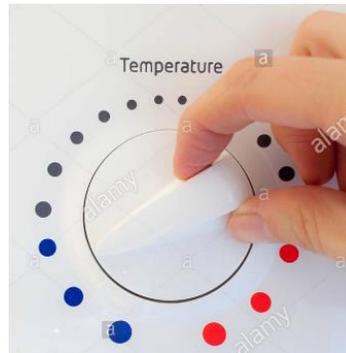
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# Critical points

- To be at the **critical point** of a classical phase transition **requires tuning**

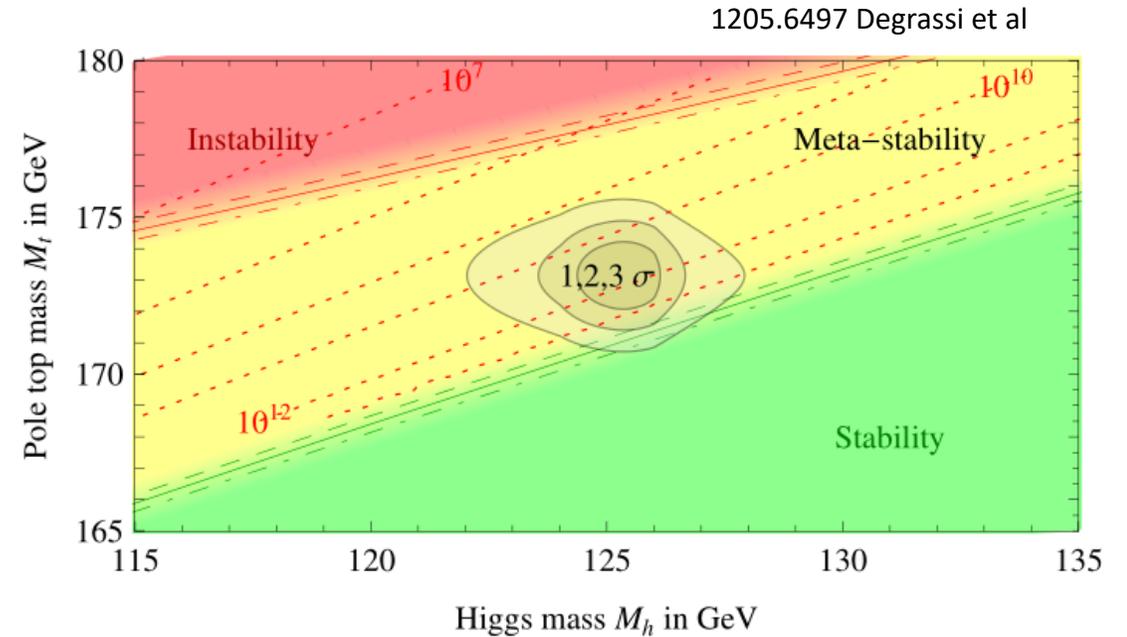
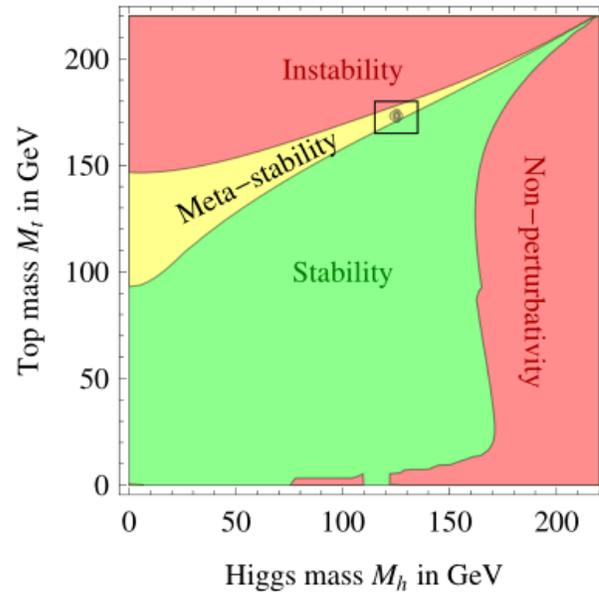
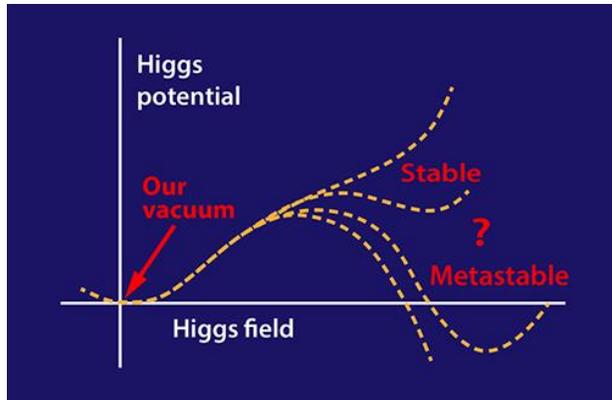


- Living **near criticality** is highly **non-generic!**



# 3 hints for near-criticality of our Universe

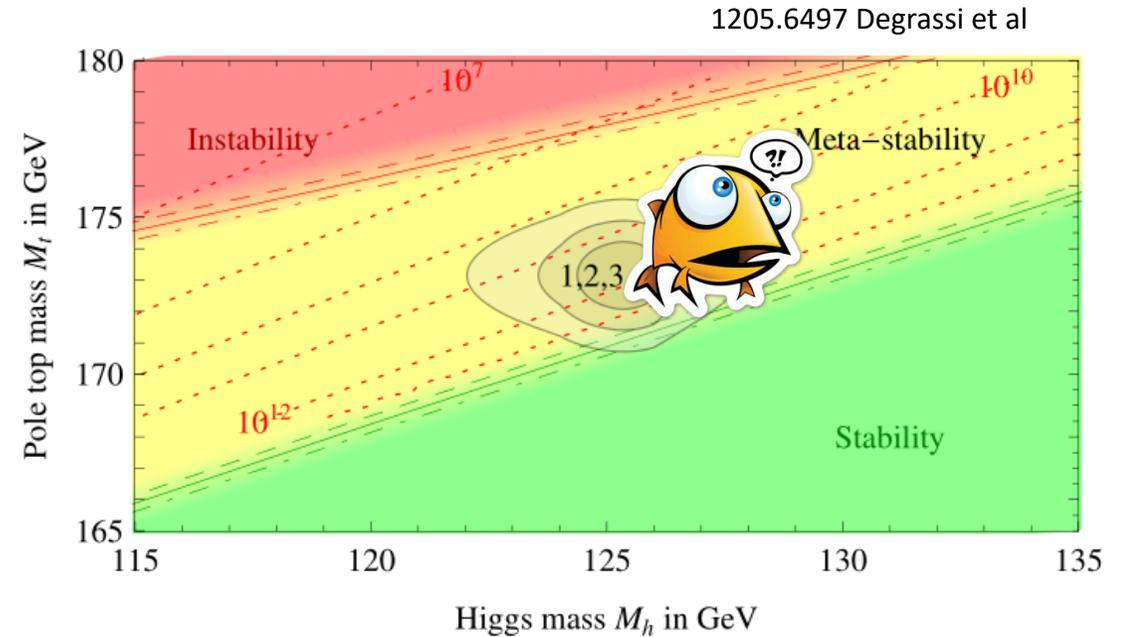
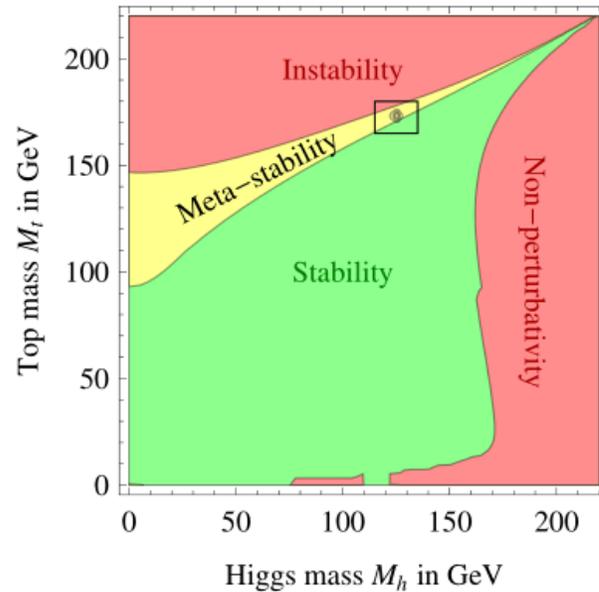
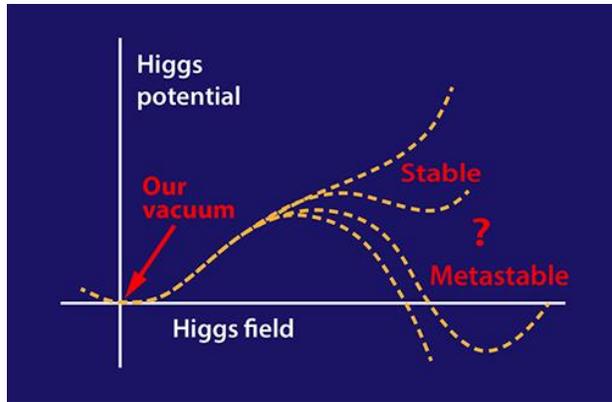
- 1) Higgs potential **metastability** in SM



- Living on critical boundary of **two phases coexisting**

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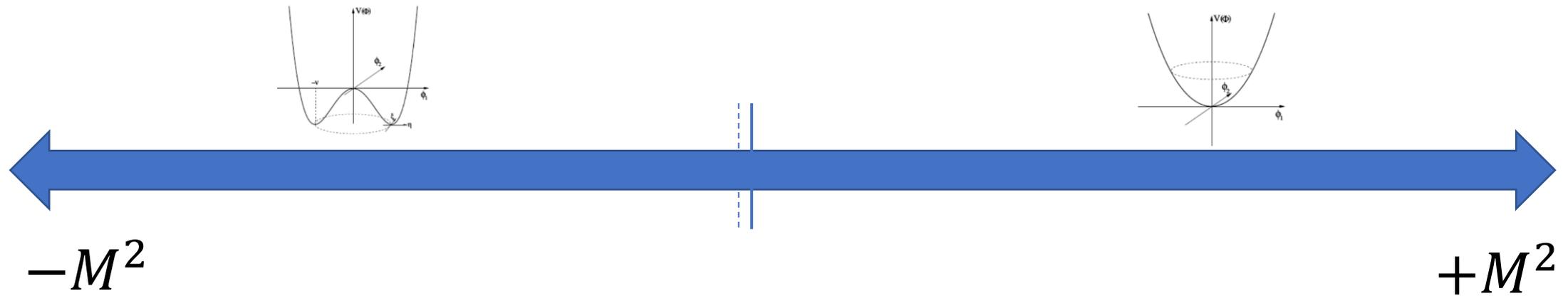
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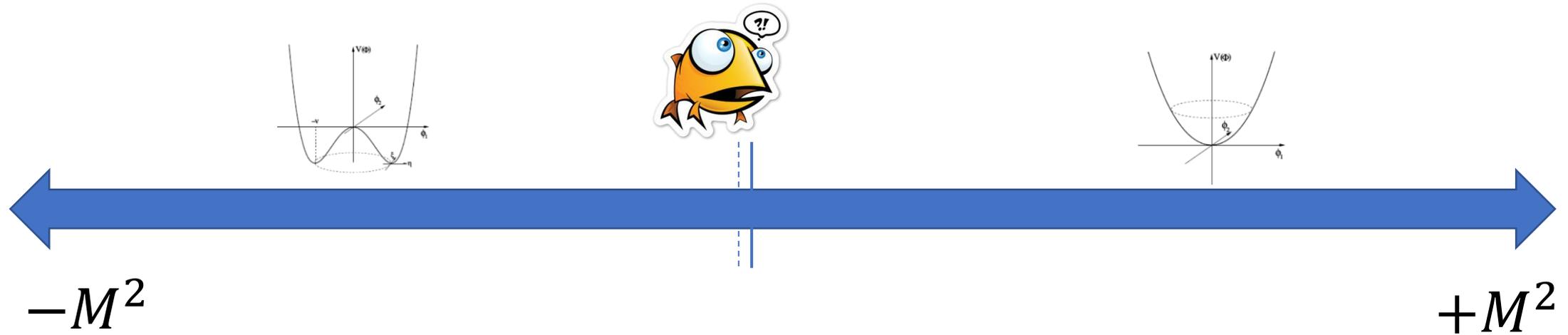
- 2) Higgs mass



- Tuned close to boundary between **ordered** and **disordered** phase

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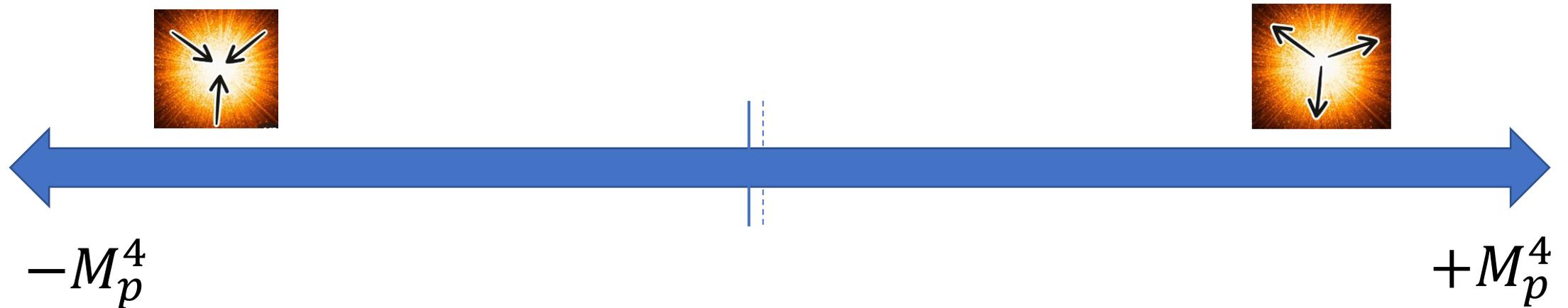
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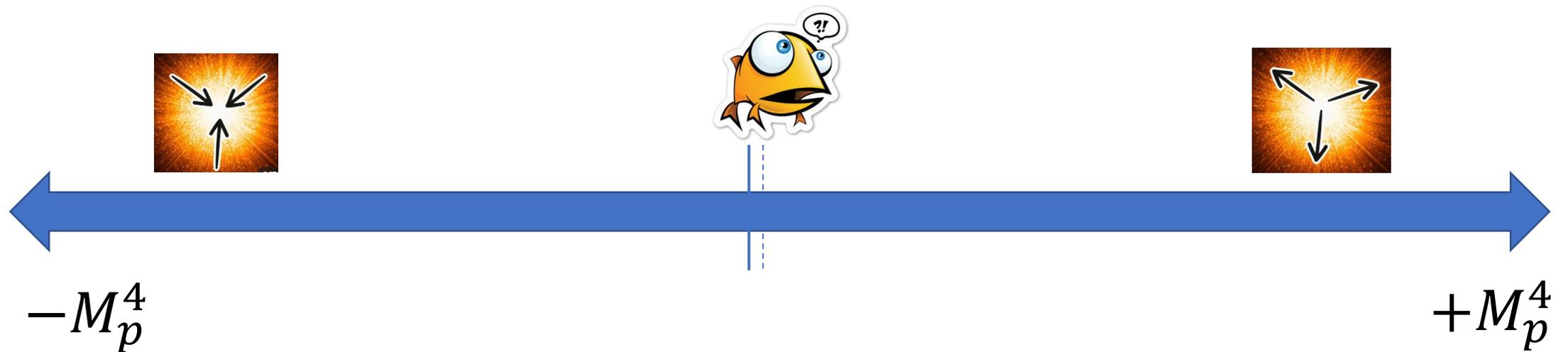
- 3) **Cosmological constant**



- Tuned close to boundary between **implosion** and **explosion**

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- 3) **Cosmological constant**



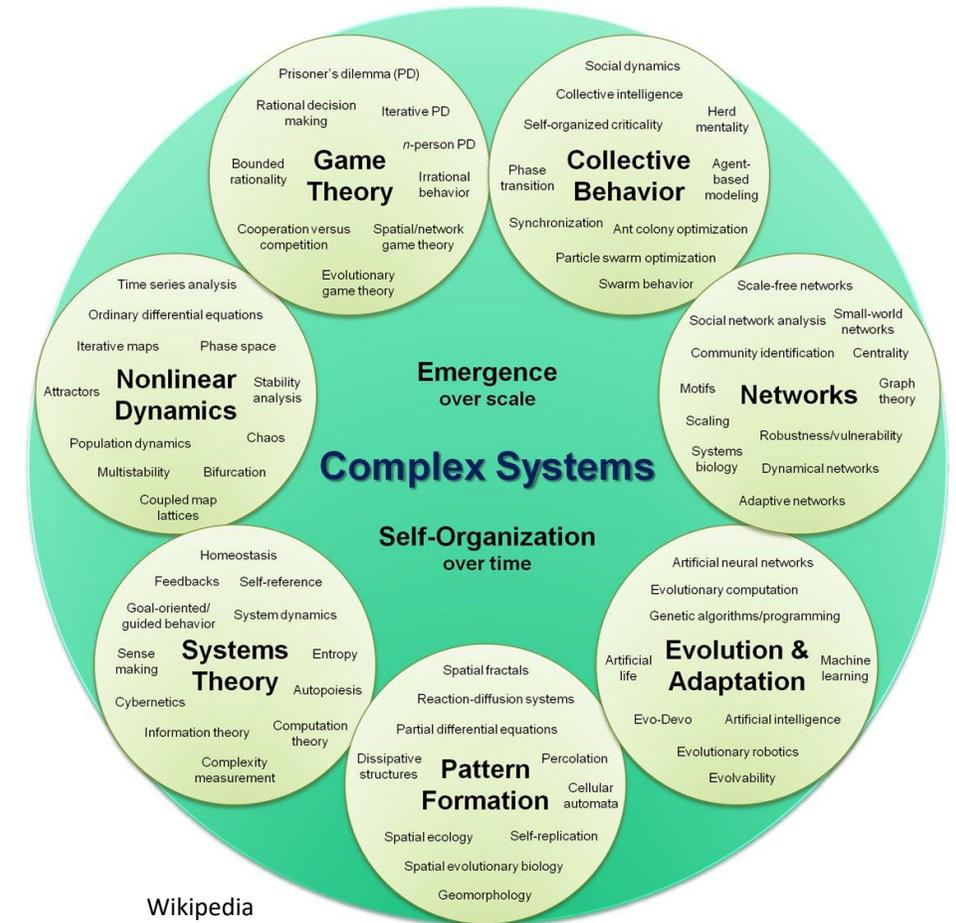
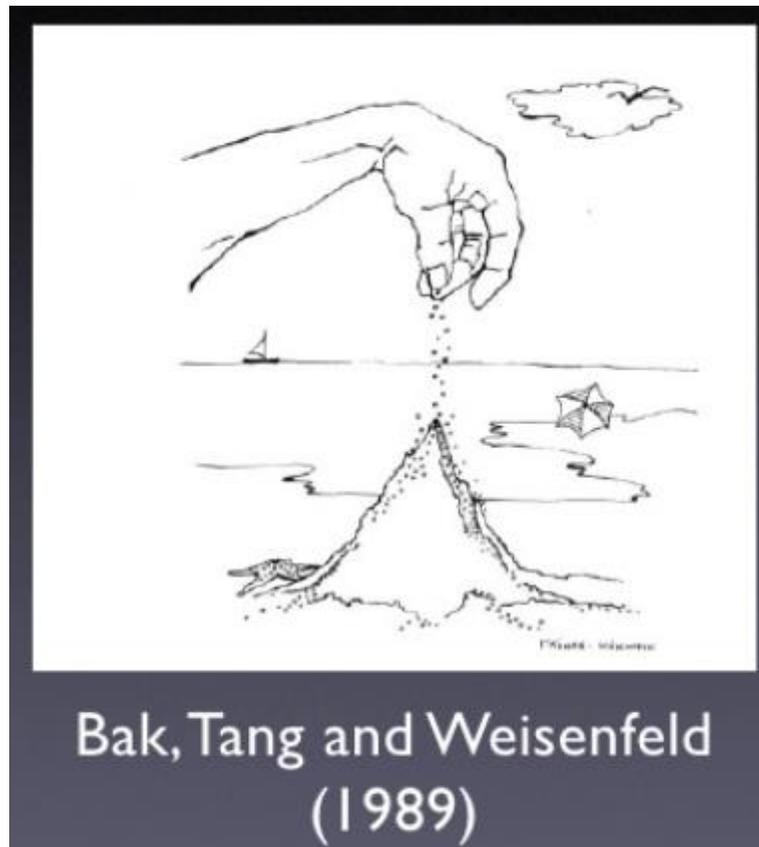
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# 3 hints for **near-criticality** of our Universe

- Why do we appear to live at a **special point** close to criticality?
- Hints of a **new principle** *beyond EFT expectations* at play?

# Self-Organised Criticality

- Many systems in nature **self-tuned** to live near criticality



Wikipedia

# Self-Organised Criticality

- **Fundamental** self-organised criticality in our universe?
- Need a **mechanism** for self-organisation of **fundamental parameters** determined by scalar fields

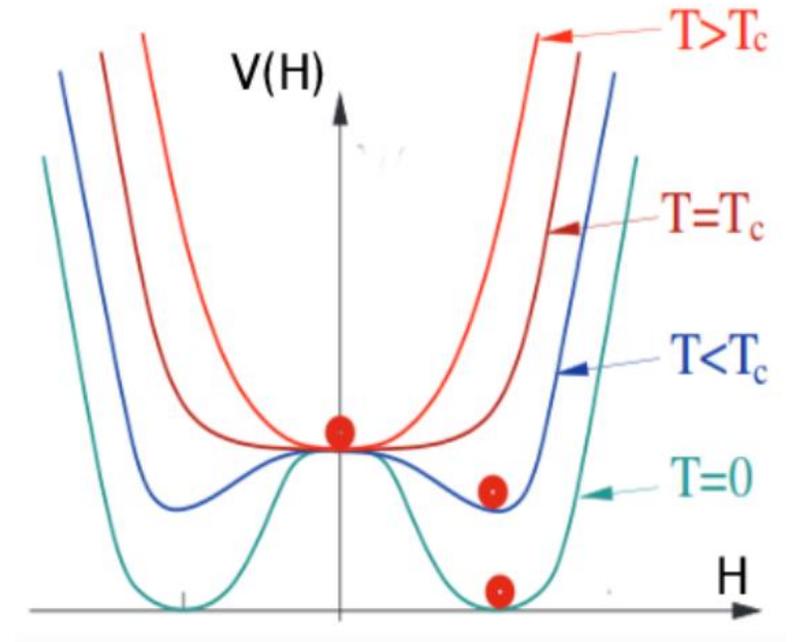
e.g. Self-Organized Criticality in eternal inflation landscape: J. Khoury et al [1907.07693, 1912.06706, 2003.12594]

- **Self-Organised Localisation (SOL):**
  - cosmological **quantum phase transitions** localise fluctuating scalar fields during inflation at critical points

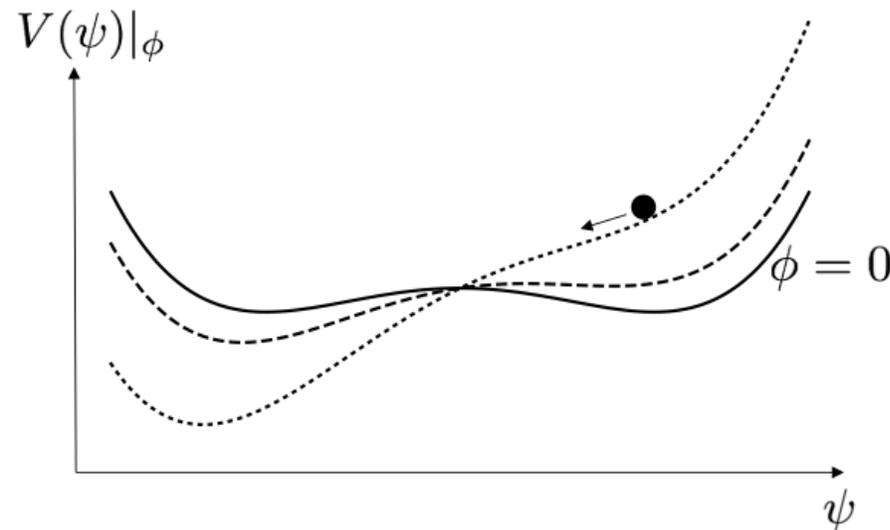
Giudice, McCullough, TY [2105.08617]

# Phase Transitions (PT)

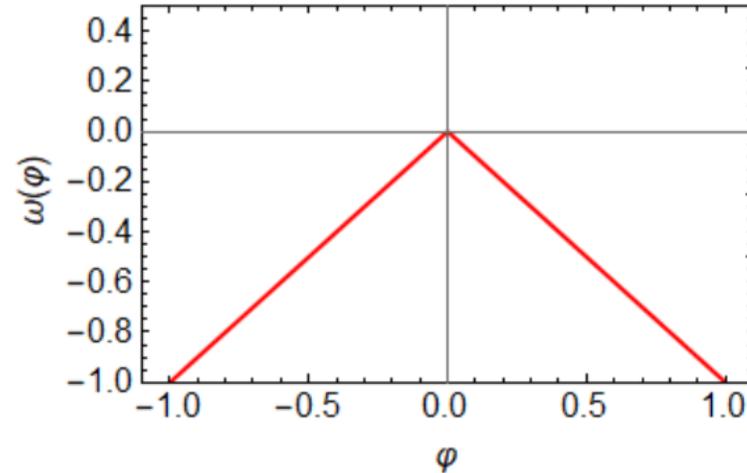
- **Classical PT:** varying background temperature
- **Quantum PT:** varying background field



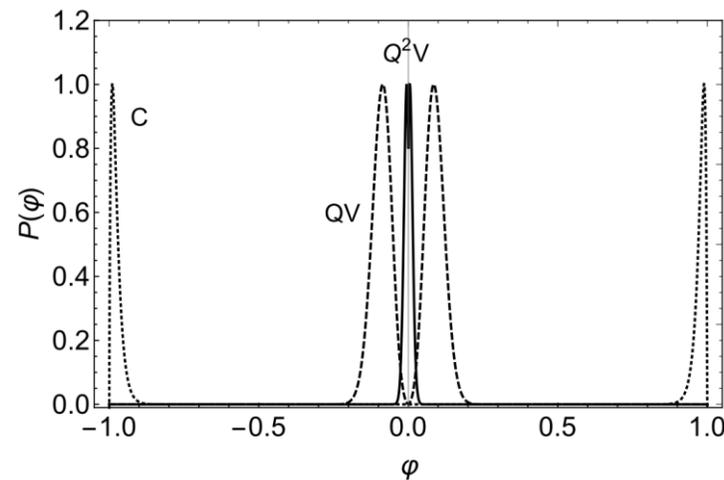
$$V = \frac{\lambda}{4} (\psi^2 - \rho^2)^2 + \kappa \phi \psi$$



# Toy example

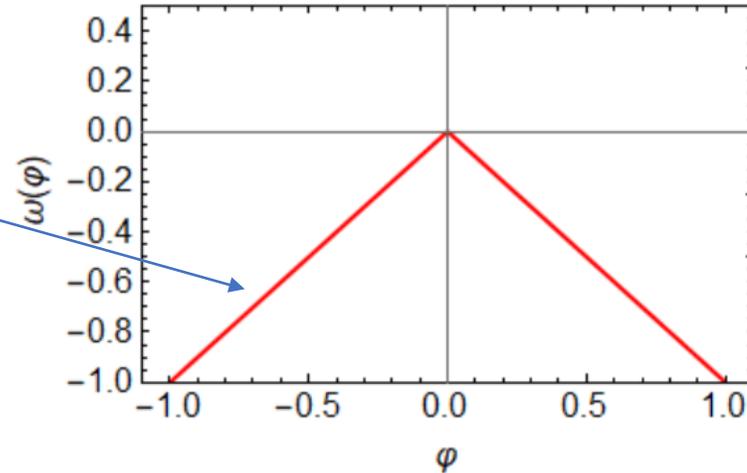
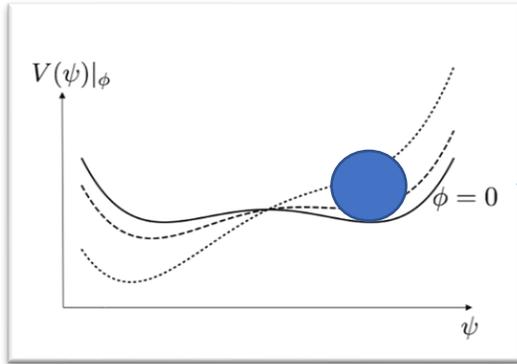


- $\phi$  triggers 1<sup>st</sup> order **quantum phase transition** of another field at  $\phi_c$
- Distribution of  $\phi$  values **peaked at critical point**:

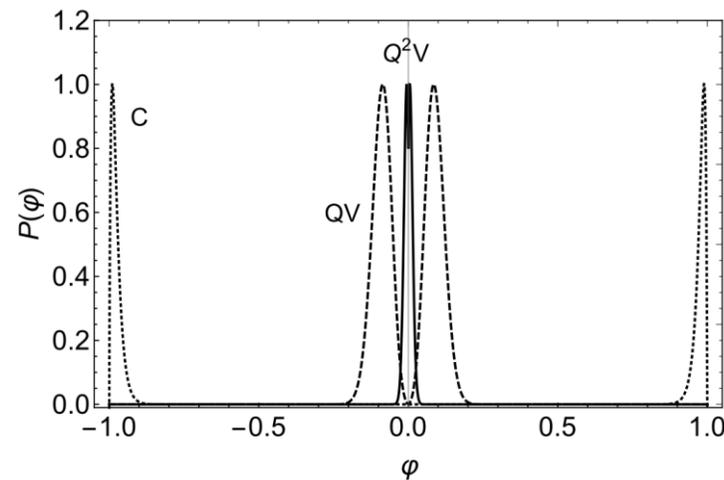


Of all the possible parameter values being scanned by  $\phi$ , the value at the critical point is the attractor!

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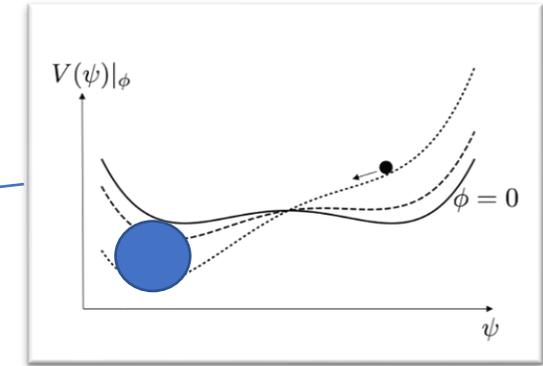
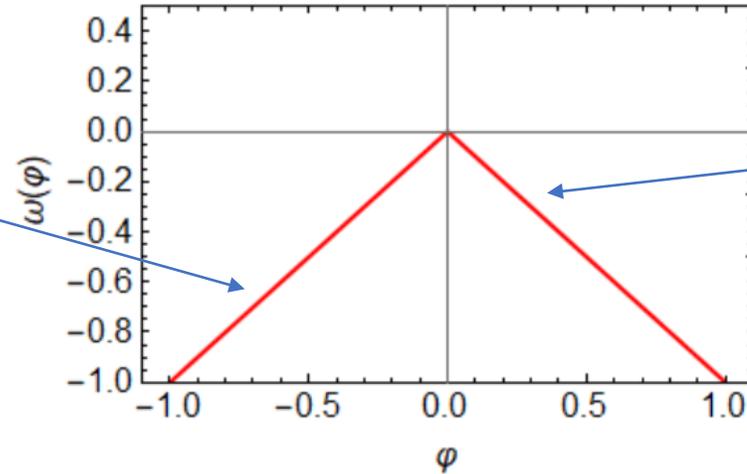
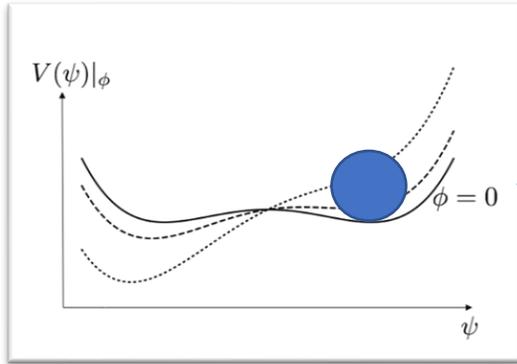


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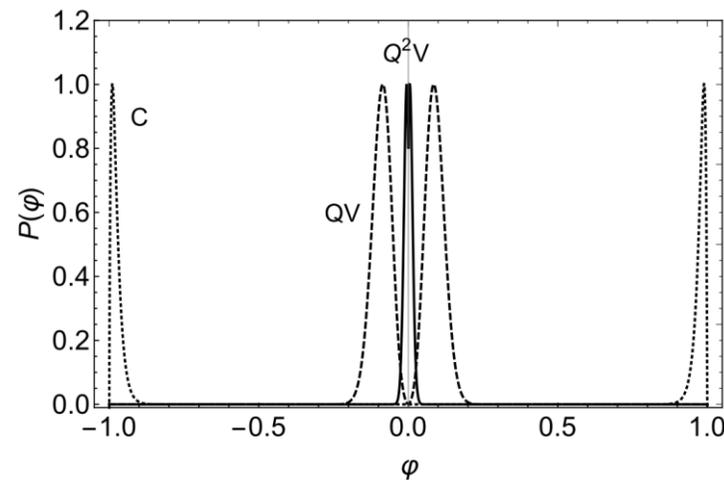


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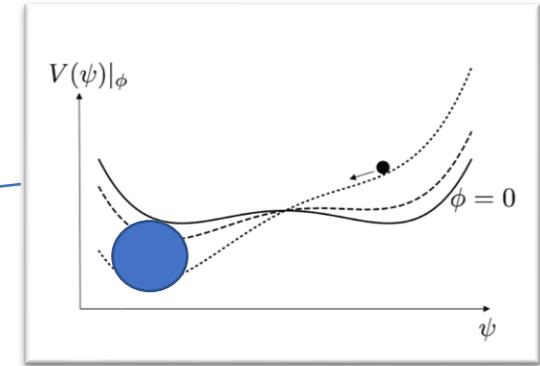
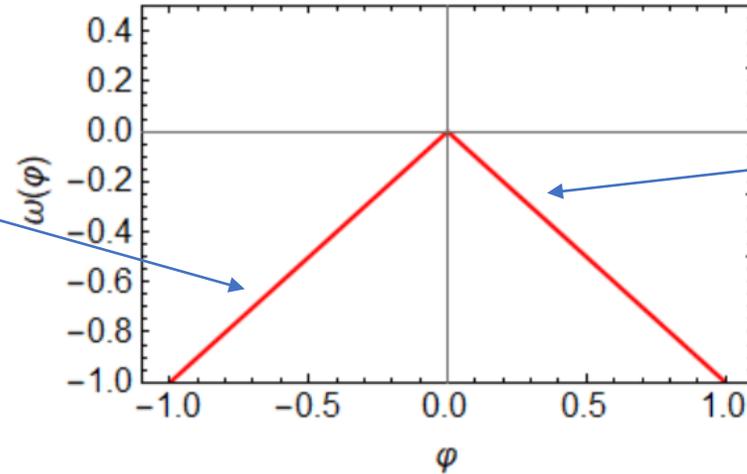
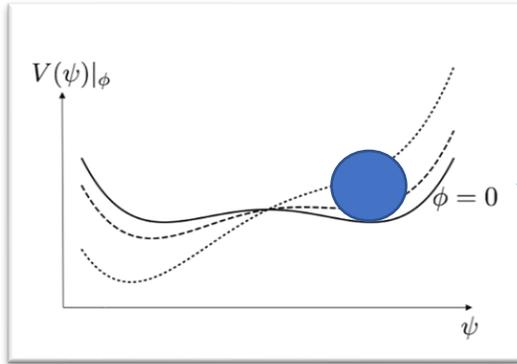


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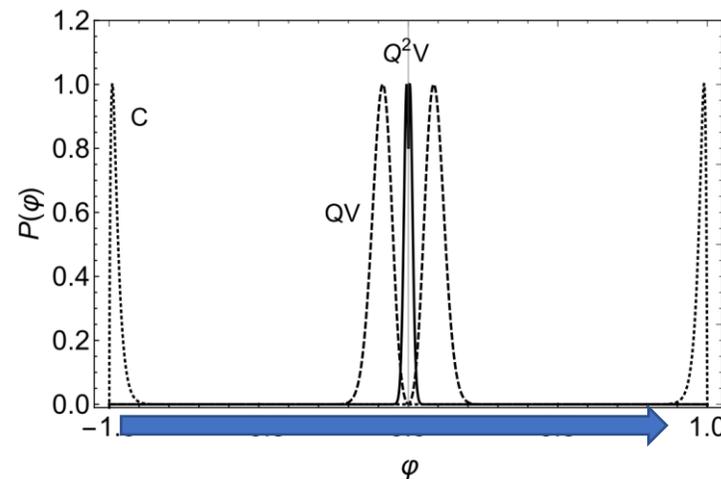


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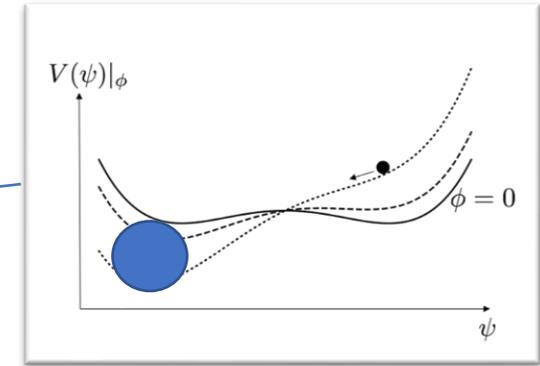
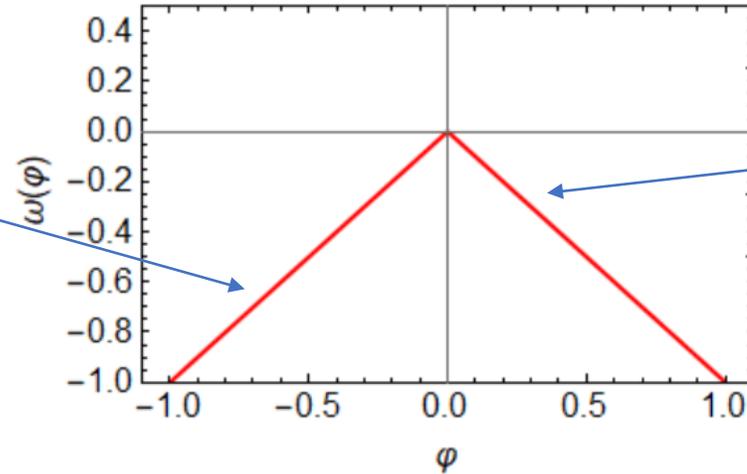
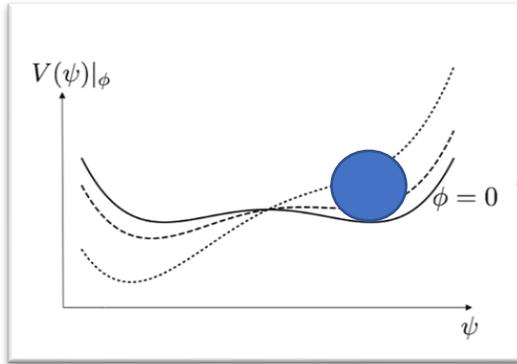


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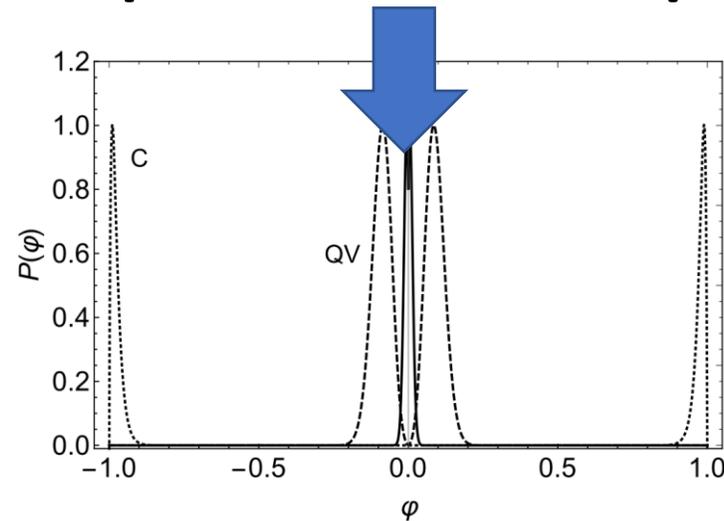


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# Cosmological Censorship

- Weinberg's **anthropic argument**: censor all cosmological constant values leading to expansion rate *incompatible with life*
- **Sliding naturalness**: censor all parameter values leading to **vacuum crunch** *incompatible with life*  
D'Agnolo, Teresi [2106.04591, 2109.13249]
- **N-naturalness**: censor all Higgs mass values **too large to reheat** universe  
Arkani-Hamed et al [1607.06821]
- Many more ideas, cosmological and non-cosmological...

[Apologies for incomplete references]

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# Conclusion

- Naturalness is an **aesthetic argument** but an *important piece of the puzzle*
- **Symmetry-based solutions** don't seem to be enough
- Keep an open mind for **new principles** e.g. cosmological dynamics, landscape selection rules, UV-IR mixing...
- **Exciting times**—may be analogous to early 20<sup>th</sup> century revolution

# Conclusion

- **1900:** Almost all data agree spectacularly with the fundamental framework of the time, *no reason to doubt its universal applicability or completeness.*
- **1920s:** A combination of **precision measurements** (Mercury), **aesthetic arguments** (relativity) supported by **null experimental results** (Michelson-Morley), and **theoretical inconsistencies** (Rayleigh-Jeans UV catastrophe) lead to an overhaul of the fundamental picture at **smaller scales** and **higher energies** after *pushing the frontiers of technology and theory into new regimes.*

# Conclusion

- **2020:** Almost all data agree spectacularly with the fundamental framework of the time, *no reason to doubt its universal applicability or completeness.*
- **2050s:** A combination of **precision measurements** (B mesons, Hubble), **aesthetic arguments** (naturalness) supported by **null experimental results** (LHC), and **theoretical inconsistencies** (black hole information paradox) lead to an overhaul of the fundamental picture at **smaller scales** and **higher energies** after *pushing the frontiers of technology and theory into new regimes.*

Backup

# Outline

- Motivation
  - EFT
  - Criticality
  - Quantum phase transitions (QPT)
- **Fokker-Planck Volume (FPV) equation**
  - FPV dynamics
- FPV + QPT = SOL
  - Discontinuity
  - Flux conservation
- SOL solutions
  - Metastability
  - Higgs mass
  - Cosmological constant
- Conclusion
  - Measure problem

# Fokker-Planck Volume (FPV) equation

- **Langevin equation:** classical slow-roll + Hubble quantum fluctuations

$$\phi(t + \Delta t) = \phi(t) - \frac{V'}{3H} \Delta t + \eta_{\Delta t}(t)$$

- Volume-averaged Langevin trajectories: **FPV for volume distribution**  $P(\phi, t)$

$$\frac{\partial}{\partial \phi} \left[ \frac{\hbar}{8\pi^2} \frac{\partial(H^3 P)}{\partial \phi} + \frac{V' P}{3H} \right] + 3HP = \frac{\partial P}{\partial t}$$

$$H(\phi) = \sqrt{\frac{V(\phi)}{3M_p^2}}$$

Quantum  
diffusion term

Classical drift  
term

Volume term

# Fokker-Planck Volume (FPV) equation

- **Langevin equation:** classical slow-roll + Hubble quantum fluctuations

$$\phi(t + \Delta t) = \phi(t) - \frac{V'}{3H} \Delta t + \eta_{\Delta t}(t)$$

- Volume-averaged Langevin trajectories: **FPV for volume distribution**  $P(\phi, t)$

$$\frac{\partial}{\partial \phi} \left[ \frac{\hbar}{8\pi^2} \frac{\partial(H^{2+\xi} P)}{\partial \phi} + \frac{V' P}{3H^{2-\xi}} \right] + 3H^\xi P = H_0^{\xi-1} \frac{\partial P}{\partial t_\xi}$$

- **Ambiguity** in choosing time “gauge”  $dt_\xi/dt = (H/H_0)^{1-\xi}$

# FPV dynamics

- $\phi$  is *not* the inflaton: **apeiron** field scanning parameters

- Restrict to **EFT** field range  $f$   $\varphi \equiv \frac{\phi}{f}$   $V = 3H_0^2 M_p^2 + g_\epsilon^2 f^4 \omega(\varphi)$ ,  $\omega(\varphi) = \sum_{n=1}^{\infty} \frac{c_n}{n!} \varphi^n$

- Assume sub-dominant energy density

- Expand around constant inflationary background  $H_0$   $H(\varphi) \simeq H_0 \left( 1 + \frac{\epsilon^2 f^4 \omega(\varphi)}{6M_p^2 H_0^2} \right)$

- FPV becomes

$$\frac{\alpha}{2} \frac{\partial^2 P}{\partial \varphi^2} + \frac{\partial(\omega' P)}{\partial \varphi} + \beta \omega P = \frac{\partial P}{\partial T}$$

$$\alpha \equiv \frac{3\hbar H_0^4}{4\pi^2 \epsilon^2 f^4}, \quad \beta \equiv \frac{3\xi f^2}{2M_p^2}, \quad T \equiv \frac{t}{t_R}, \quad t_R \equiv \frac{3H_0}{g_\epsilon^2 f^2} = \frac{\alpha\beta S_{ds}}{3\xi H_0} \quad S_{ds} = \frac{8\pi^2 M_p^2}{\hbar H^2}$$

Quantum diffusion

Volume

Classical drift

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- **Maximum** number of e-folds for **non-eternal** inflation:  $N_{\text{e-folds}} < S_{ds} = \frac{8\pi^2 M_p^2}{\hbar H^2}$

# FPV dynamics

- **Stationary** FPV distributions  $P(\varphi, T) = \sum_{\lambda} e^{\lambda T} p(\varphi, \lambda)$

$$\frac{\alpha}{2} p'' + \omega' p' + (\omega'' + \beta\omega - \lambda) p = 0$$

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- Largest eigenvalue  $\lambda = \lambda_{\max}$  inflates most
- **Eigenvalue** determines **peak location**
- Note: **boundary conditions** necessary input for solution

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$$\frac{\alpha}{2} p'' + \cancel{\omega' p'} + (\omega'' + \beta\omega - \lambda) p = 0 \quad \Rightarrow \quad \lambda = \beta\omega(\bar{\varphi}) + \omega''(\bar{\varphi}) - \frac{\alpha}{2\sigma^2}$$

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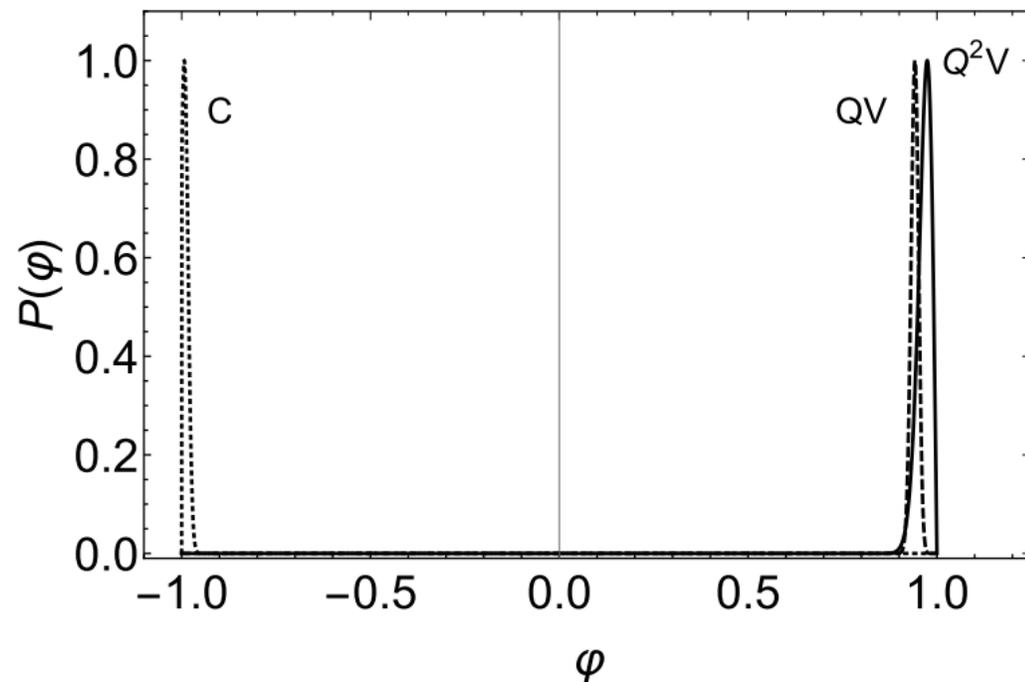
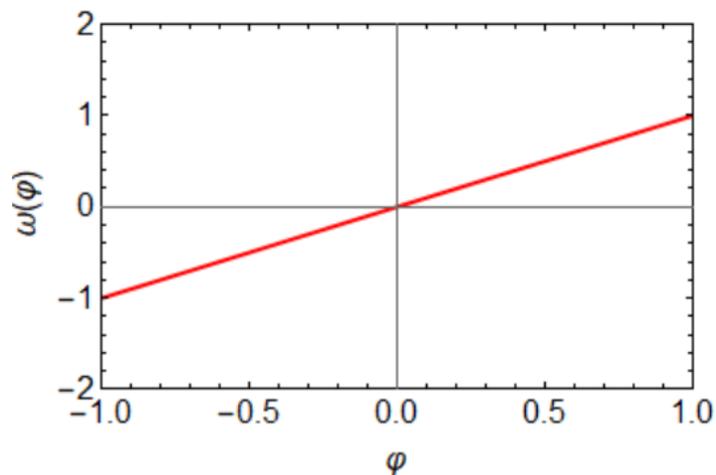
e.g.  $D=0$  at  $\varphi = 1 \rightarrow \lambda_{\max} = \beta - \frac{\omega_1'^2}{2\alpha}$

$$\frac{\alpha}{2} p'' + \cancel{\omega' p'} + (\omega'' + \beta\omega - \lambda) p = 0 \rightarrow \lambda = \beta\omega(\bar{\varphi}) + \omega''(\bar{\varphi}) - \frac{\alpha}{2\sigma^2} \rightarrow \omega(\bar{\varphi}) = 1 - \frac{\omega_1'^2}{2\alpha\beta}$$

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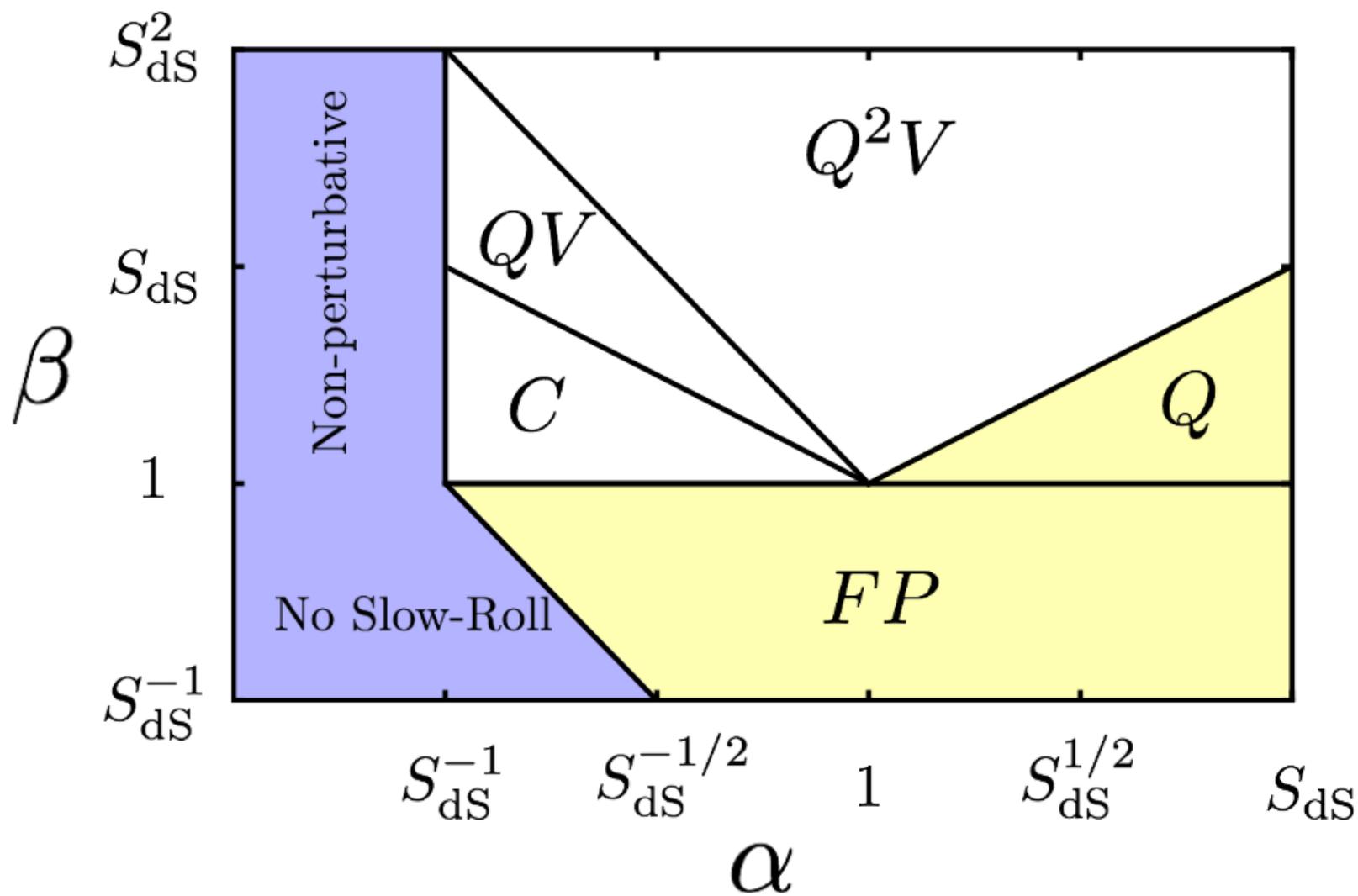


- $C$  regime:  $\alpha\beta \ll 1$ . Peak is located as far down the potential as allowed by boundary condition.
- $QV$  regime:  $\alpha\beta \gg 1$ ,  $\alpha^2\beta \ll 1$ . Peak is a distance  $1/(\alpha\beta)$  from the top with width  $\sigma \simeq 1/\sqrt{\beta}$ .
- $Q^2V$  regime:  $\alpha^2\beta \gg 1$ . Peak as close to the top as possible, with a distance comparable to the width  $\sigma \simeq (\alpha/\beta)^{1/3}$ .

# FPV dynamics

$$\alpha \equiv \frac{3\hbar H_0^4}{4\pi^2 \epsilon^2 f^4} \quad , \quad \beta \equiv \frac{3\xi f^2}{2M_p^2}$$

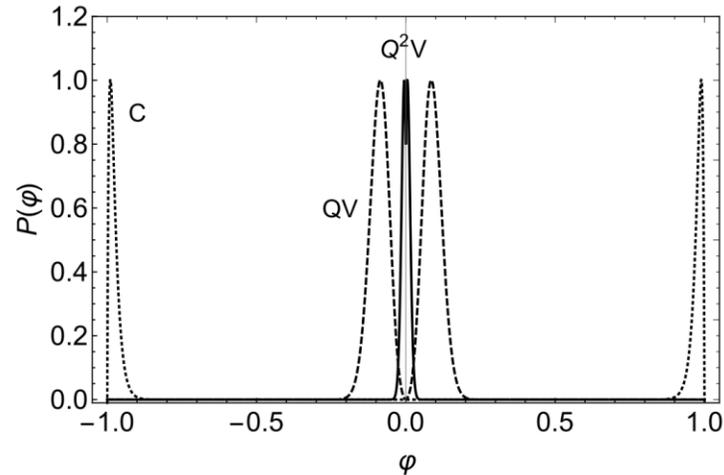
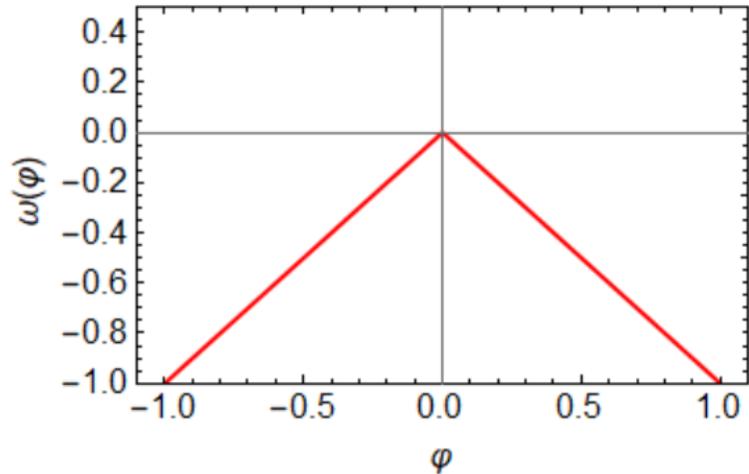
$$S_{ds} = \frac{8\pi^2 M_p^2}{\hbar H^2}$$



# Outline

- Motivation
  - EFT
  - Criticality
  - Quantum phase transitions (QPT)
- Fokker-Planck Volume (FPV) equation
  - FPV dynamics
- **FPV + QPT = SOL**
  - Discontinuity
  - Flux conservation
- SOL solutions
  - Metastability
  - Higgs mass
  - Cosmological constant
- Conclusion
  - Measure problem

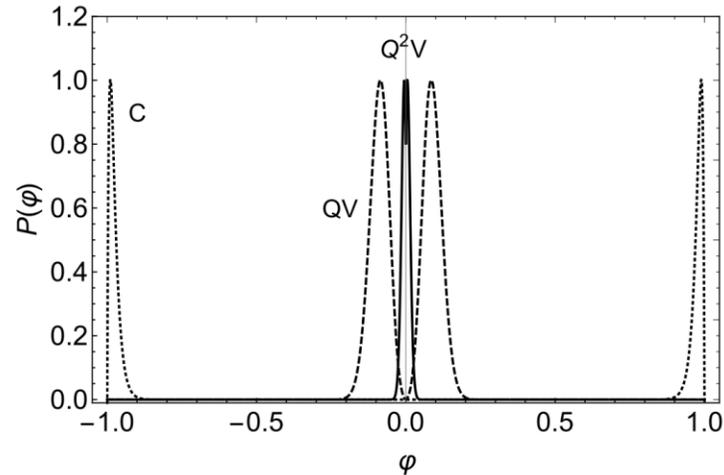
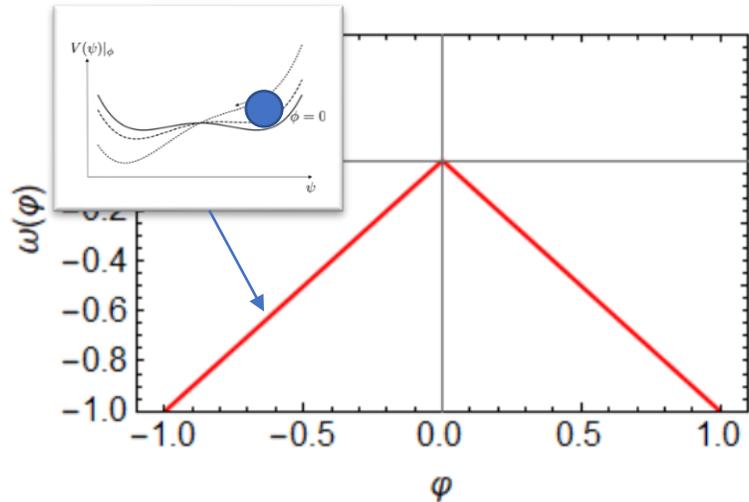
# Junction conditions at phase transitions



- $\phi$  triggers 1<sup>st</sup> order **quantum phase transition** at  $\phi_c$
- **Discontinuity** in  $V'$  leads to discontinuous  $P'$
- Requiring **continuity of FPV** across the critical point gives a **junction condition** to satisfy

$$\lim_{\epsilon \rightarrow 0} \int_{\phi_c - \epsilon}^{\phi_c + \epsilon} d\phi \frac{\partial}{\partial \phi} \left[ \frac{V'P}{3H} + \frac{\hbar}{8\pi^2} \frac{\partial}{\partial \phi} (H^3 P) \right] = 0 \quad \Rightarrow \quad \frac{\Delta P'}{P(\phi_c)} = -\frac{2\Delta\omega'}{\alpha}$$

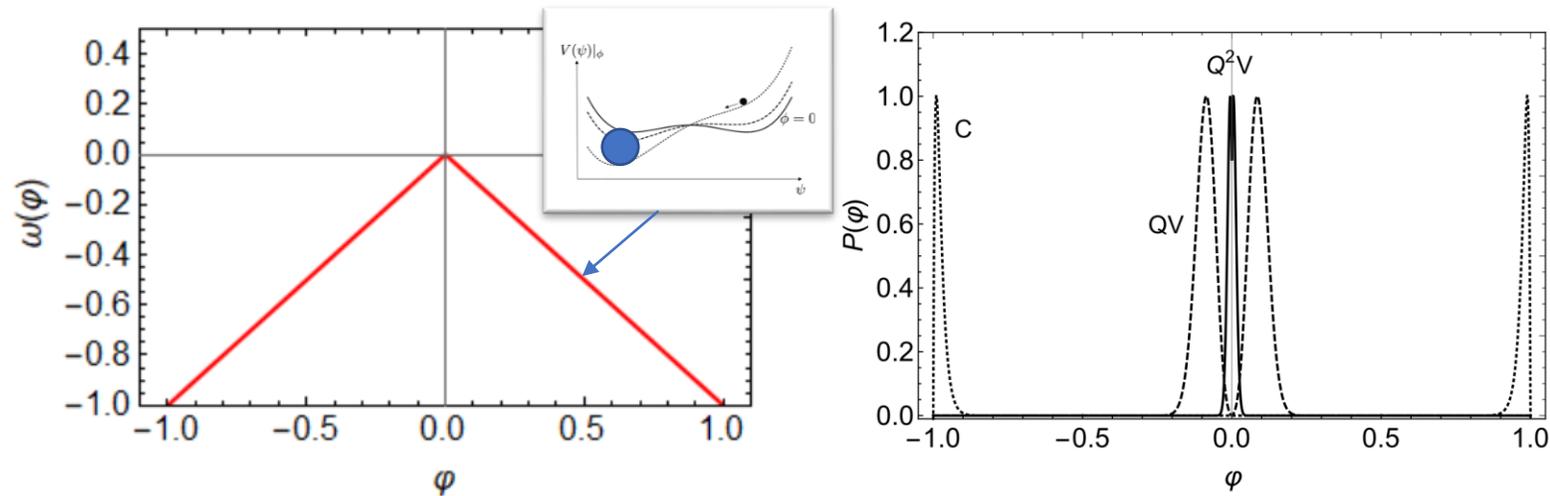
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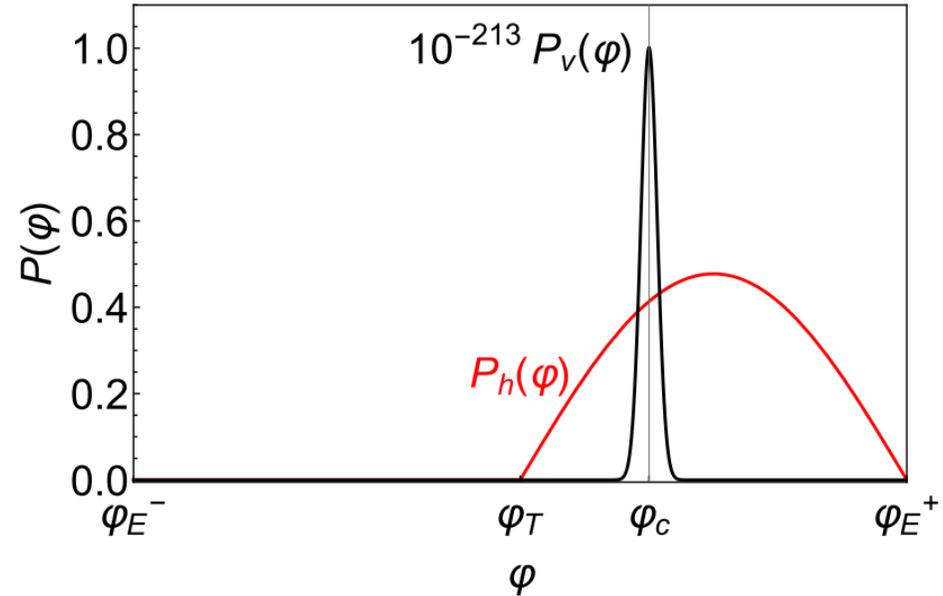
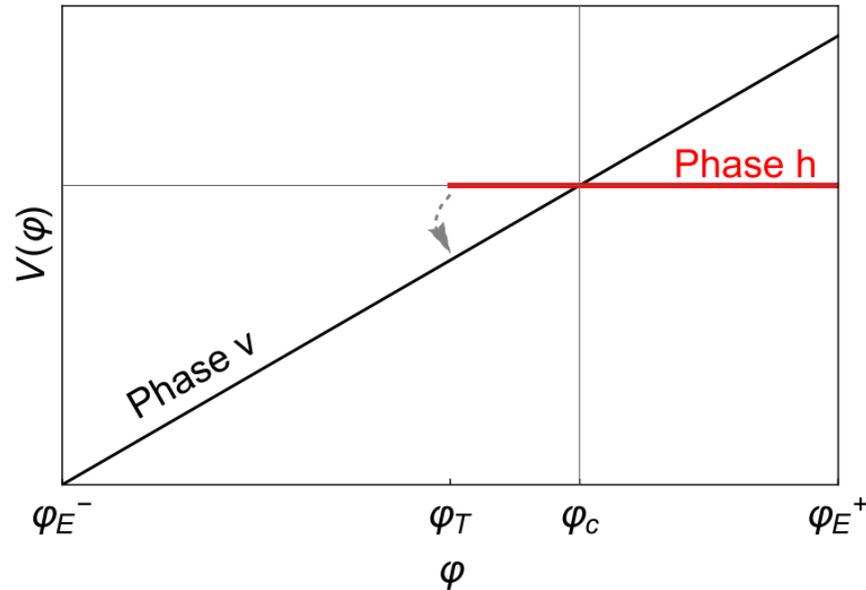
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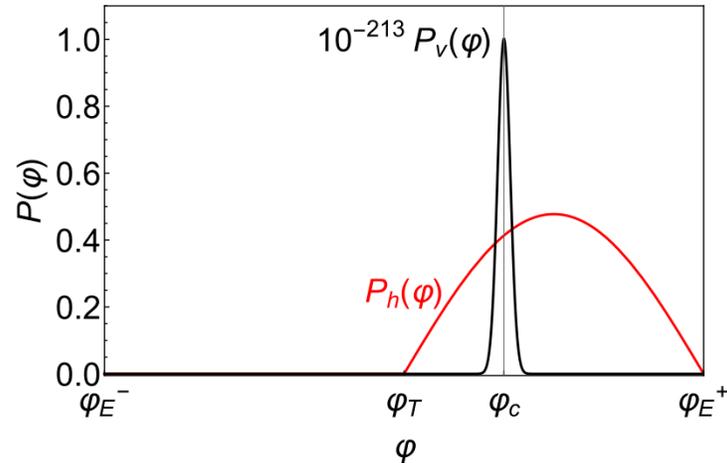
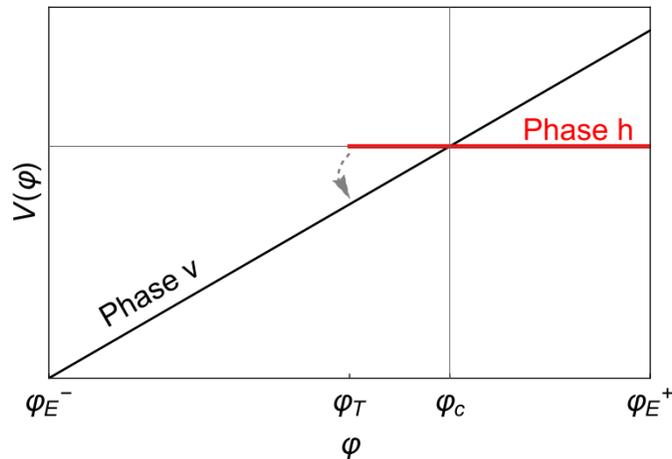
# Junction conditions at phase transitions



- **Coexistence** of branches of different phases, require continuity of  $P_V$  and  $P_V + P_h$  in FPV at  $\phi_T$ : **flux conservation** junction conditions

$$P_h(\phi_T) = 0 \quad \Delta P'_v = -P'_h(\phi_T) \quad \Delta P_v = 0$$

# Junction conditions at phase transitions



- **Phase v** must be in *C* regime

- **Boundary conditions** pick out diffusionless solution over Gibbs solution

- Require **flux at boundary**

Solve FPV:  $\frac{\alpha}{2} p'' + \omega' p' + (\omega'' + \beta\omega - \lambda)p = 0, \quad \omega_h(\varphi) = 0, \quad \omega_v(\varphi) = \varphi.$

## Phase h:

$$p_h(\varphi_E^+) = 0, \quad p_h(\varphi_T) = 0, \quad p_h'(\varphi_T) = \kappa_h.$$

$$p_h(\varphi) = \frac{(\varphi_E^+ - \varphi_T)}{\pi} \kappa_h \sin\left(\frac{\pi(\varphi - \varphi_T)}{\varphi_E^+ - \varphi_T}\right), \quad (\varphi > \varphi_T).$$

$$\lambda = -\frac{\alpha}{2} \frac{\pi^2}{(\varphi_E^+ - \varphi_T)^2}.$$

## Phase v:

$$1) P_v^-( -1) = 0, \quad 2) P_v^{+'}(1) = -k_v,$$

$$3) P_v^+(\varphi_T) = P_v^-(\varphi_T), \quad 4) P_v^{+'}(\varphi_T) = P_v^{-'}(\varphi_T) - k_h,$$

$$P_v^\pm(\varphi, \lambda) = e^{-\frac{x}{\alpha}} [g_a^\pm(\lambda) Ai(x) + g_b^\pm(\lambda) Bi(x)],$$

$$x = \frac{1 + 2\alpha\lambda - 2\alpha\beta\varphi}{(2\alpha^2\beta)^{2/3}}.$$

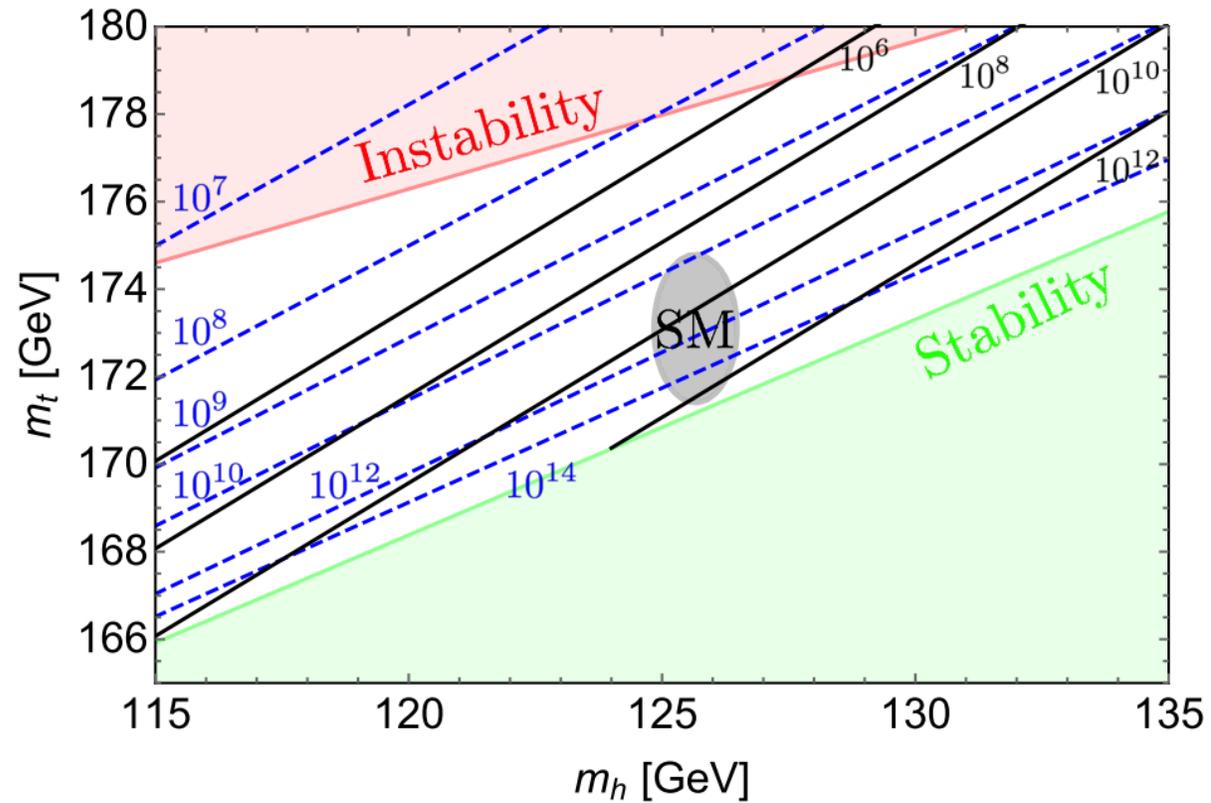
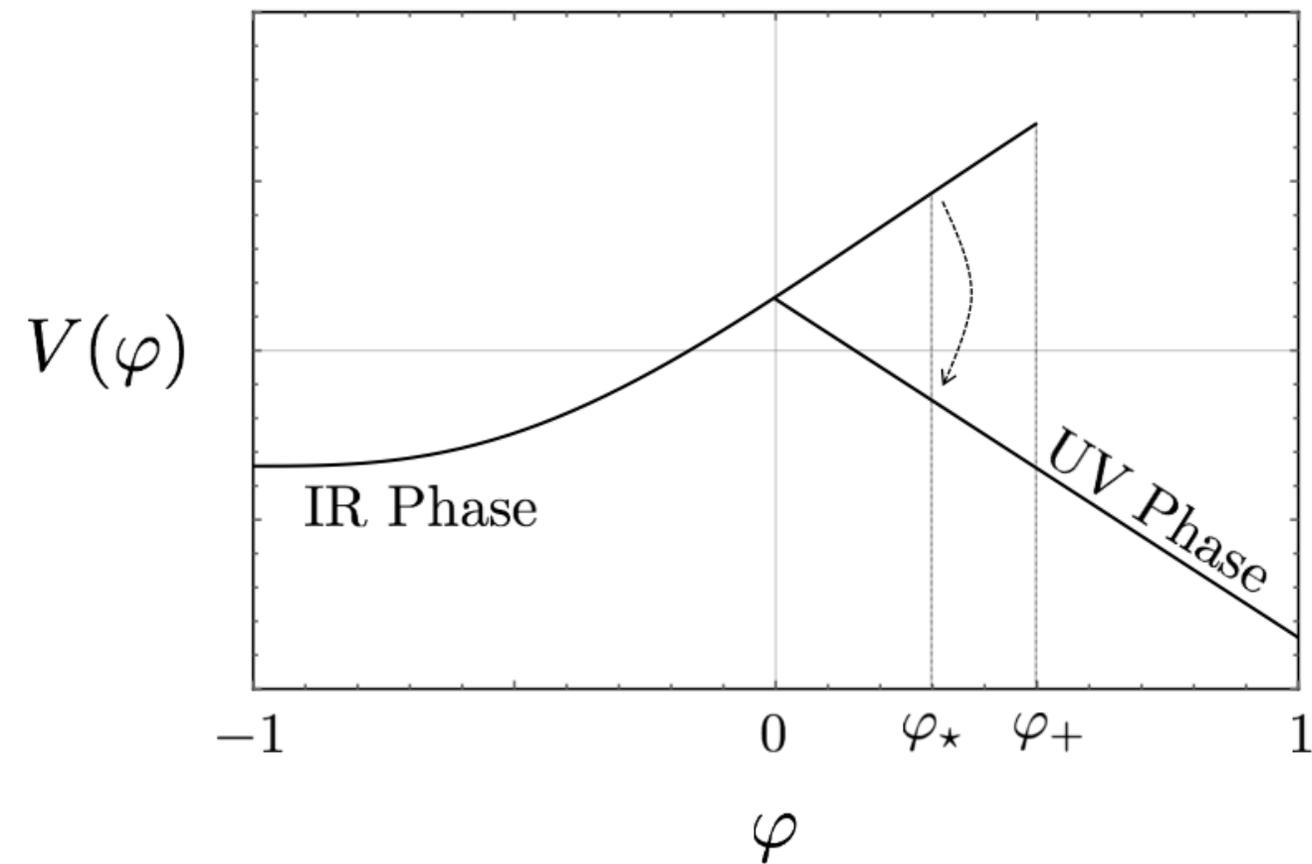
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  - Flux conservation
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  - Metastability
  - Higgs mass
  - Cosmological constant
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# Higgs metastability

$$V(\varphi, h) = \frac{M^4}{g_*^2} \omega(\varphi) + \frac{\lambda(\varphi, h)}{4} (h^2 - v^2)^2$$

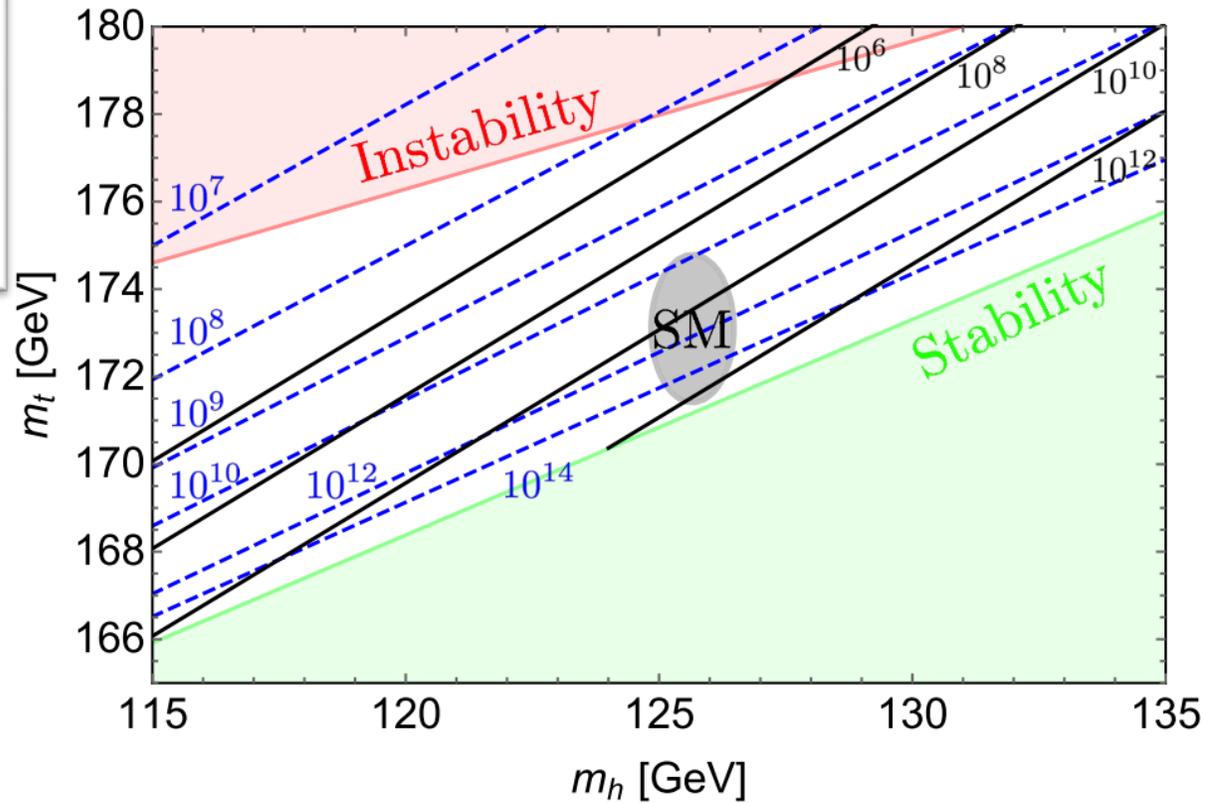
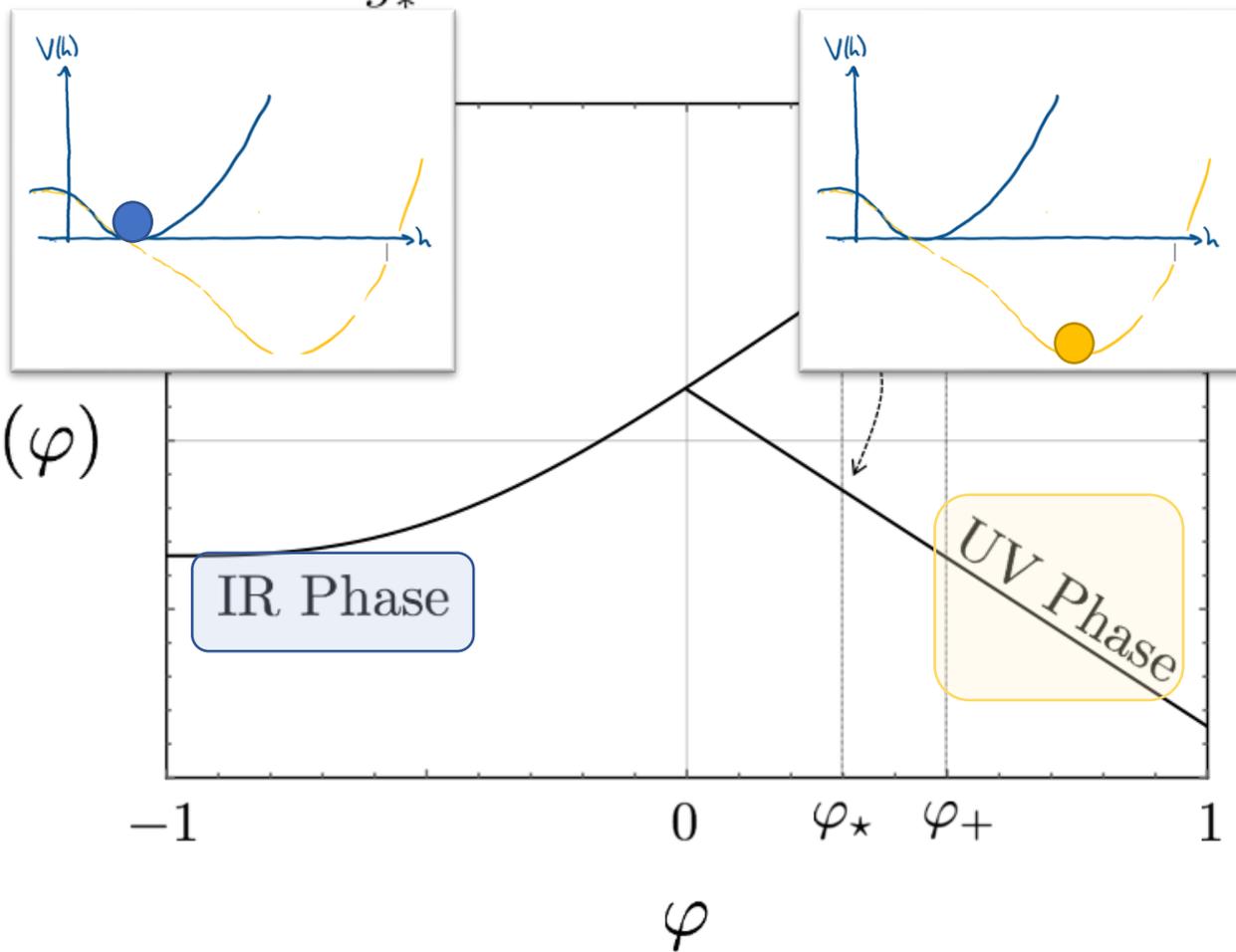
$$\lambda(\varphi, M/g_*) = -g_*^2 \varphi$$



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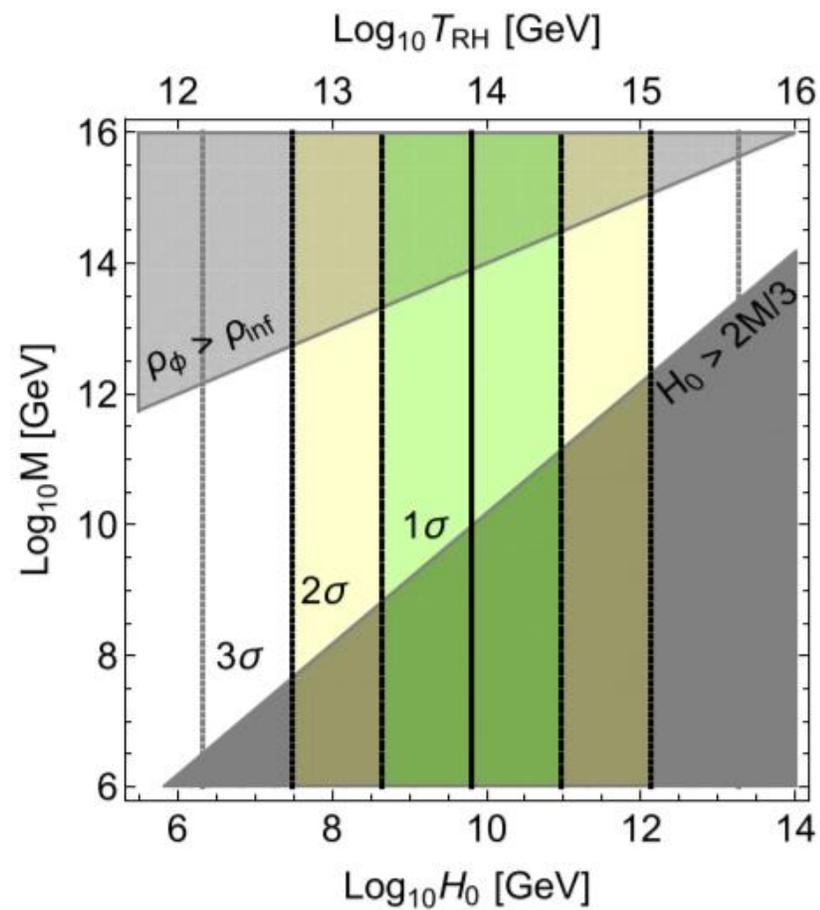
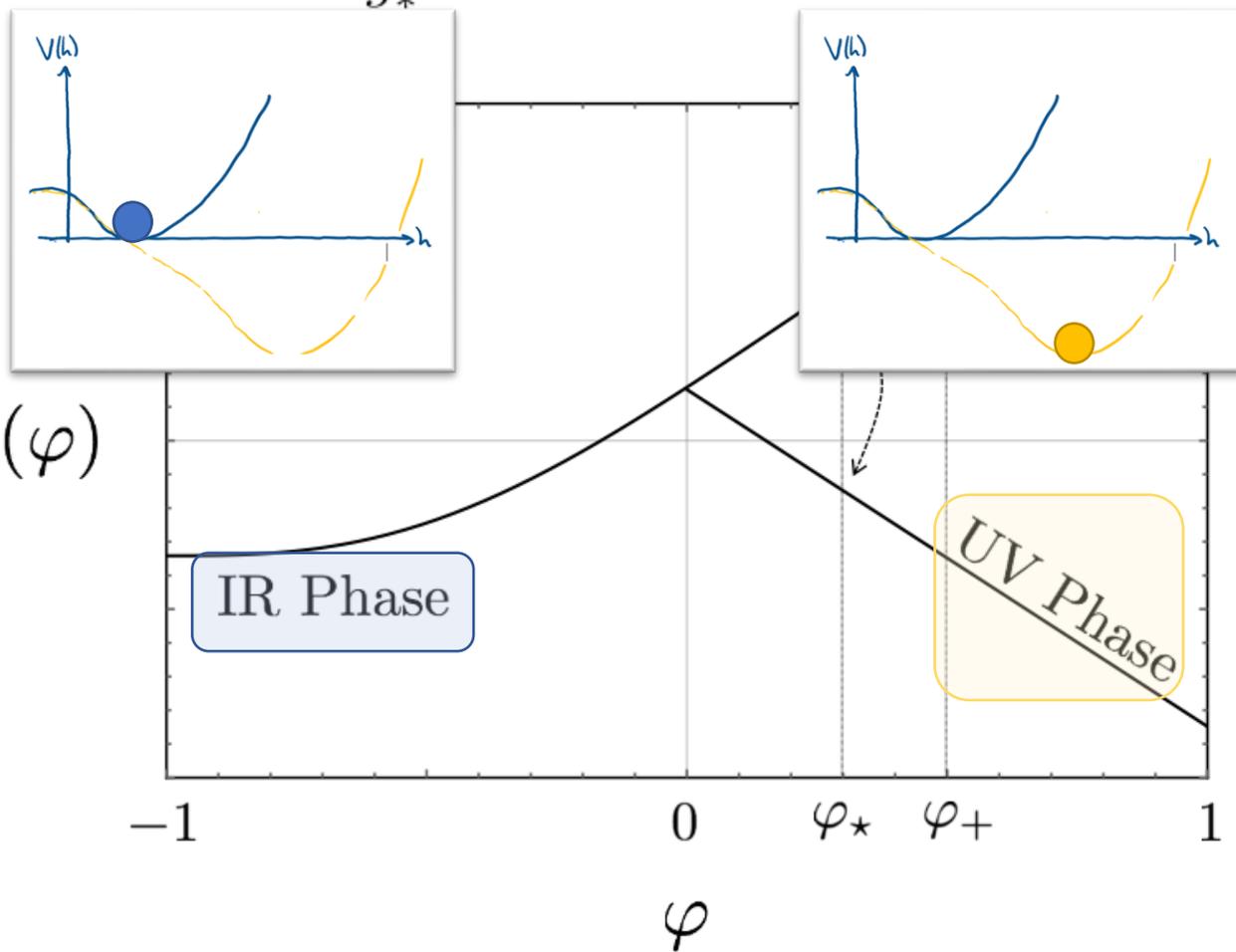
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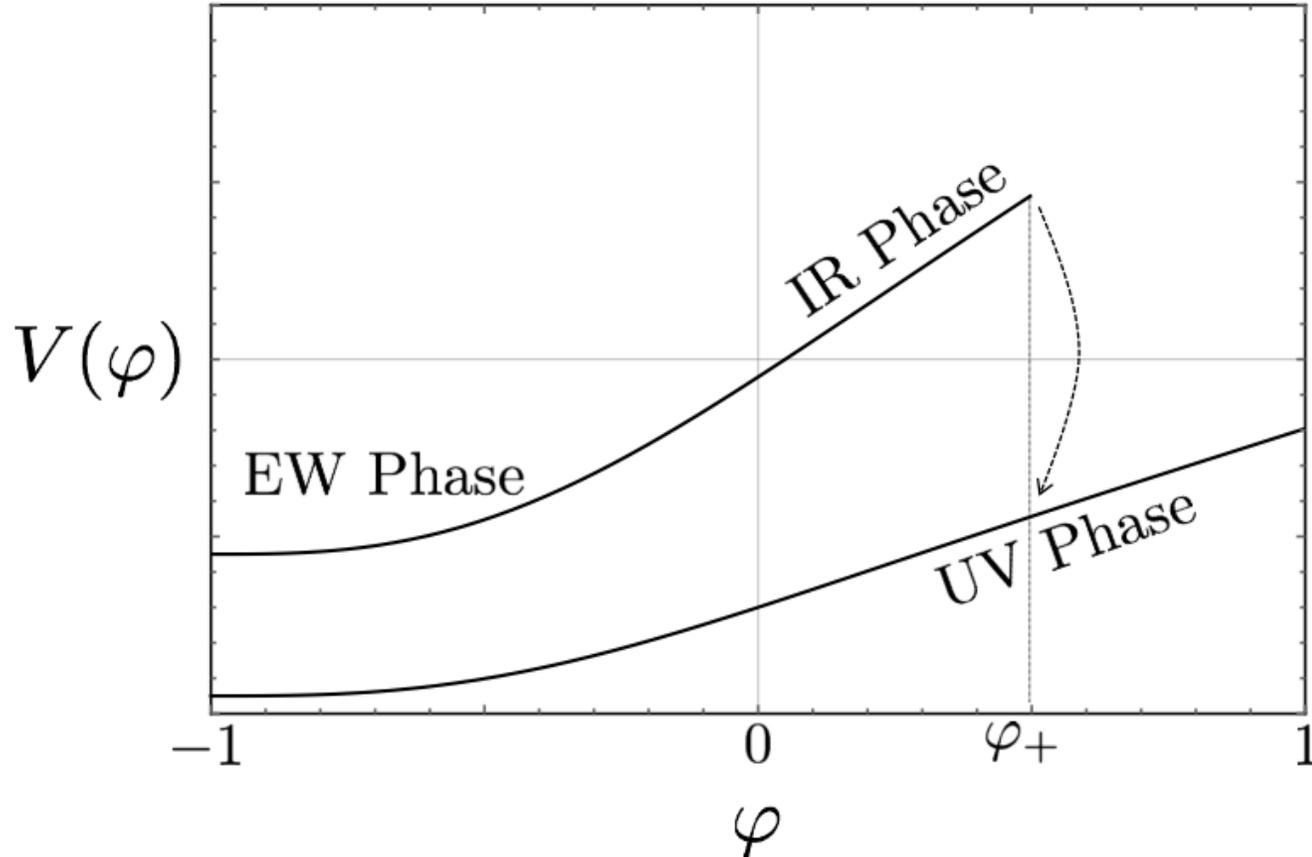


# Higgs mass naturalness

$$V(\varphi, h) = \frac{M^4}{g_*^2} \omega(\varphi) - \frac{\varphi M^2 h^2}{2} + \frac{\lambda(h) h^4}{4}$$

$$\frac{V(\varphi, \langle h \rangle)}{M^4} = \begin{cases} \kappa_{\text{EW}}\varphi + \kappa_2\varphi^2 + \dots & \text{for } \varphi < 0 & (\text{unbroken EW: } \langle h \rangle = 0) \\ \kappa_{\text{EW}}\varphi + \kappa_{\text{IR}}\varphi^2 + \dots & \text{for } 0 < \varphi < \varphi_+ & (\text{IR phase: } \langle h \rangle = v) \\ -\kappa_0 + \kappa_{\text{UV}}\varphi + \kappa_2\varphi^2 + \dots & \text{for any } \varphi & (\text{UV phase: } \langle h \rangle = c_{\text{UV}}M) \end{cases}$$

$$\kappa_{\text{EW}} = \frac{\omega'(0)}{g_*^2}, \quad \kappa_2 = \frac{\omega''(0)}{2g_*^2}, \quad \kappa_{\text{IR}} = \kappa_2 - \Delta\kappa, \quad \kappa_0 = \frac{-\lambda_{\text{UV}}c_{\text{UV}}^4}{4}, \quad \kappa_{\text{UV}} = \kappa_{\text{EW}} - \frac{c_{\text{UV}}^2}{2}$$



- Unbroken to broken transition **not sufficient**
- Use **broken IR to broken UV** phase transition

$$\varphi_+ = \frac{-\beta_I e^{-\frac{3}{2}} \Lambda_I^2}{M^2} \quad \longrightarrow \quad v = e^{-\frac{3}{4}} \Lambda_I$$

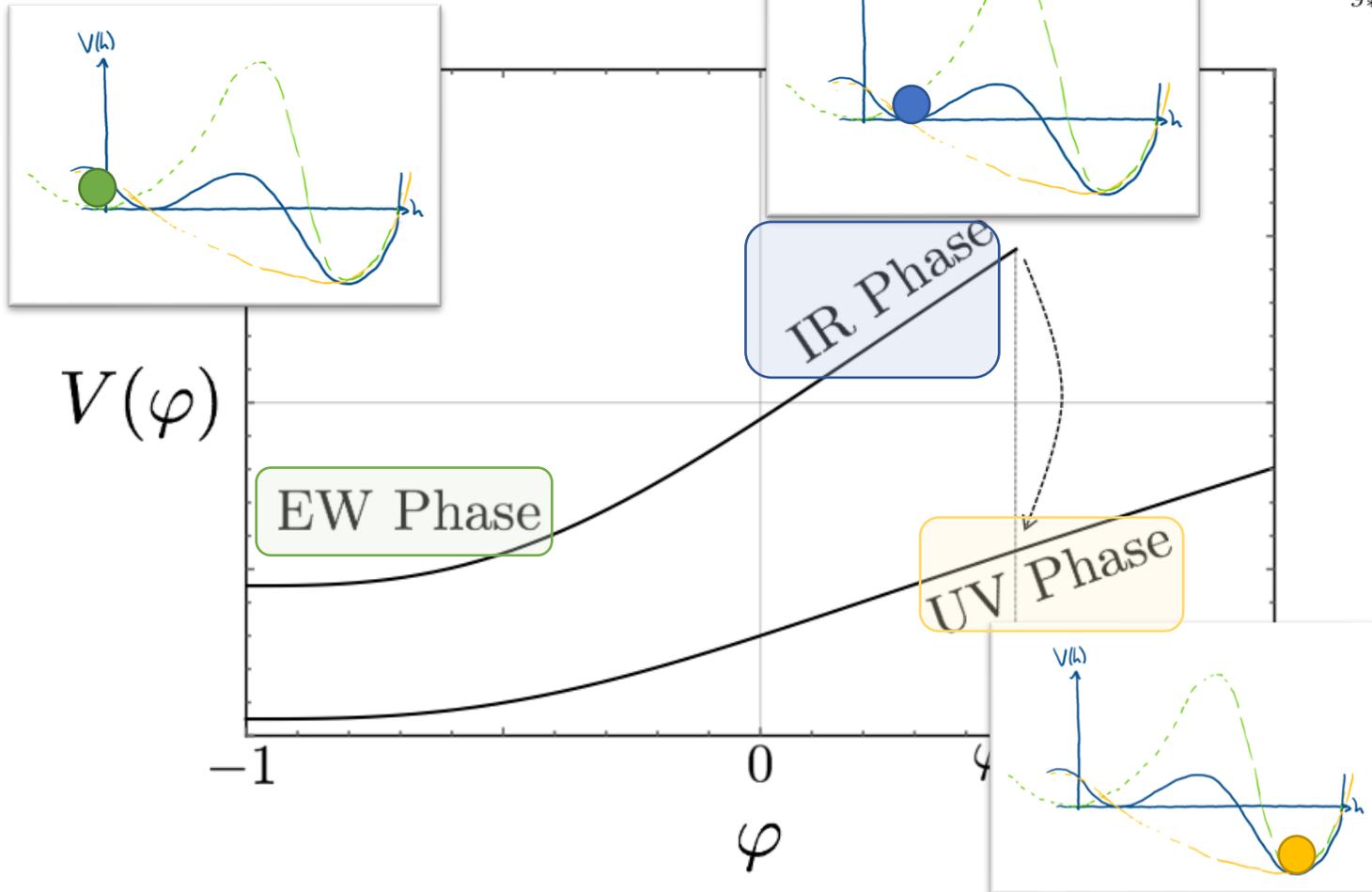
- Need **lower instability scale  $\Lambda_I$** :  $\sim \text{TeV}$  through VL fermions
- (Naturalness motivation: scalars and vectors heavy, **only VL fermions at TeV scale**)

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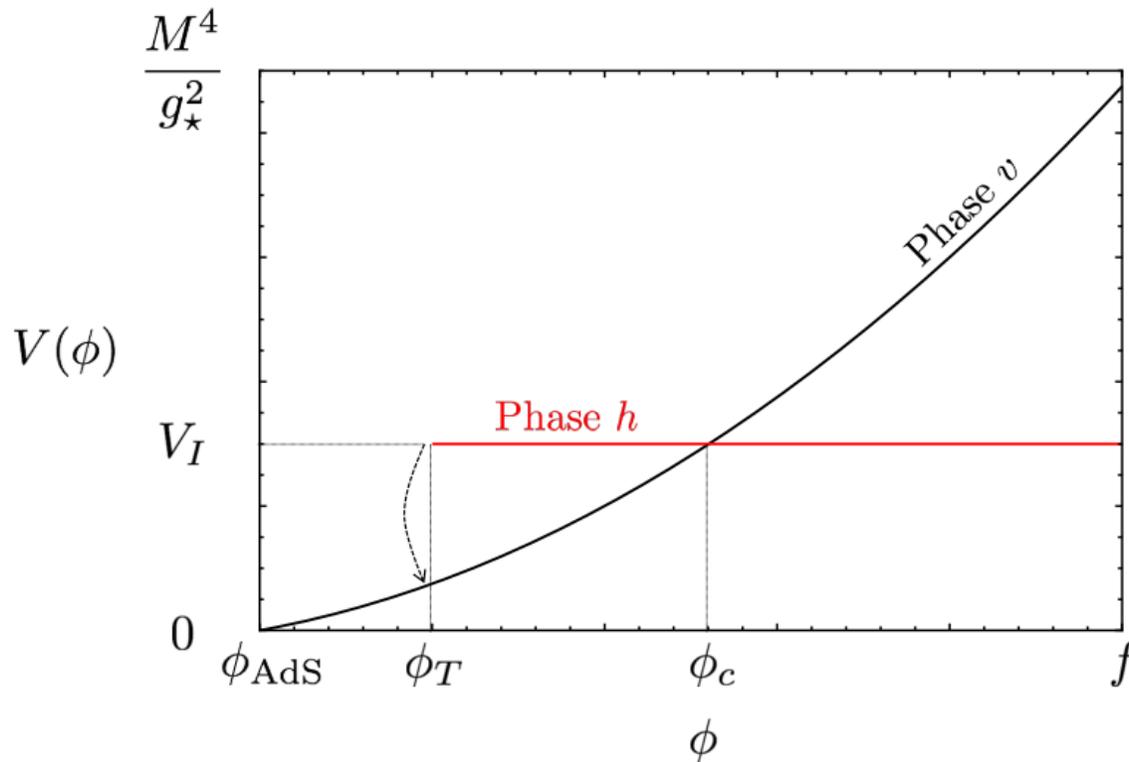
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# Cosmological constant

- **Hidden phase:** vanishing cosmological constant by R-symmetry
- **Visible phase:** SOL localises at vacuum degeneracy point



$$p_h(\phi) = \sin \left[ \sqrt{\frac{6(1 - \lambda_H)}{\hbar}} \frac{2\pi(\phi - \phi_T)}{H_I} \right]$$

$$\lambda_H = 1 - \frac{\hbar H_I^2}{24(f - \phi_T)^2}$$

$$V_v(\bar{\phi}) = V_I \lambda_H^{2/\xi}, \quad \sigma = \sqrt{\frac{2}{3\xi}} M_P$$

➔  $V_v(\bar{\phi}) = V_I \left( 1 - \frac{\hbar H_I^2}{12\xi f^2} \right)$

- Solution must be in **C regime** with appropriate **boundary conditions**

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# Take-home message

- Scalar fields undergoing quantum fluctuations during inflation can be **localised at the critical points** of quantum phase transitions: **SOL**

- SOL suggests **our Universe lives at the critical boundary** of coexistence of phases

- **Measure problem**: ambiguous choice of time parametrisation (recall  $\beta \equiv \frac{3 \xi f^2}{2 M_p^2}$ )
- Related to regularisation of **infinite reheating surface**
- We have **not specified** the inflaton sector: decoupled from our scalar
- SOL prediction is quantitative but dependent on chosen solution of measure problem: **exponential localisation can remain a feature**

# Introduction

