

Scalar leptoquarks: motivation and phenomenology

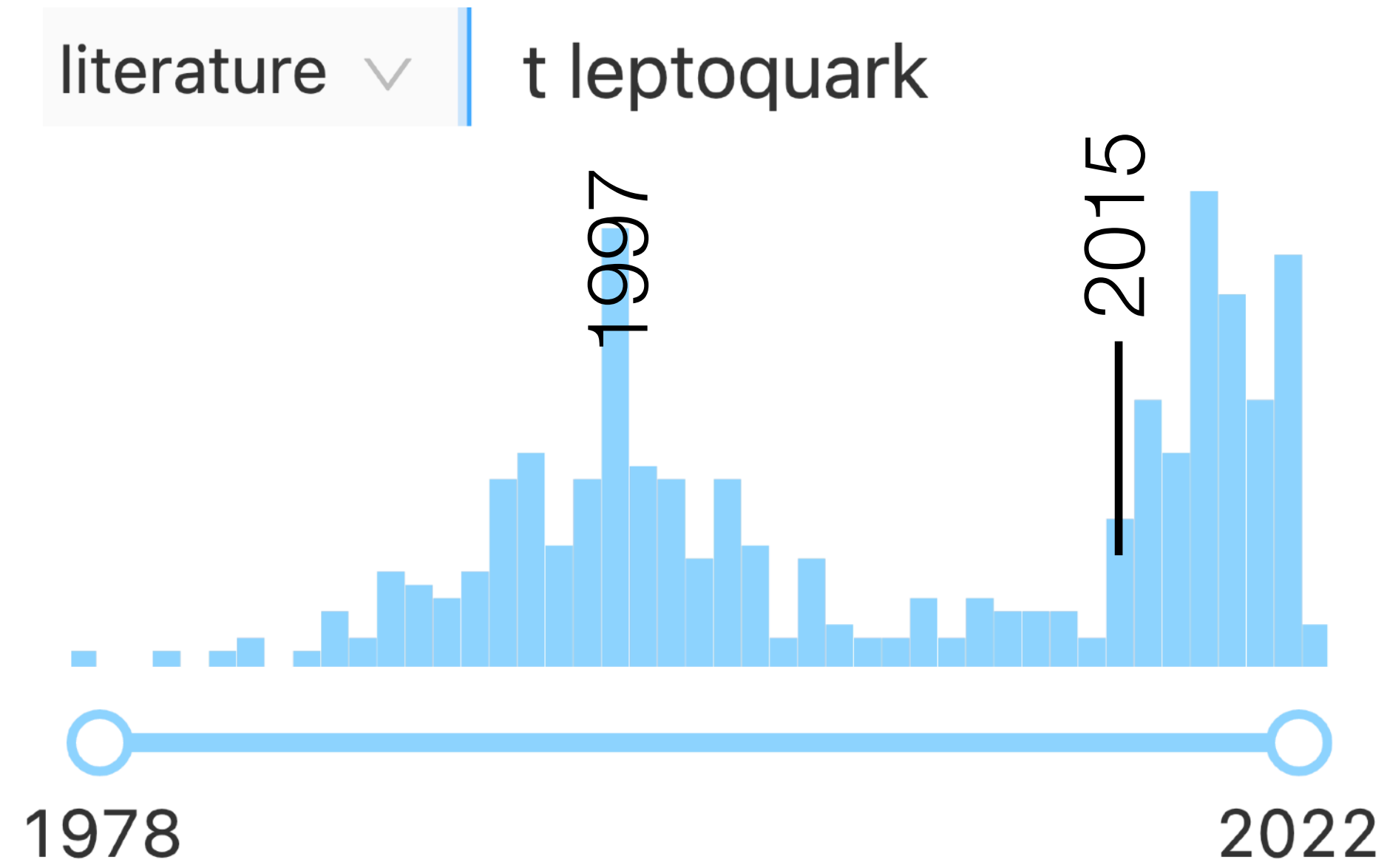
David Marzocca
INFN Trieste



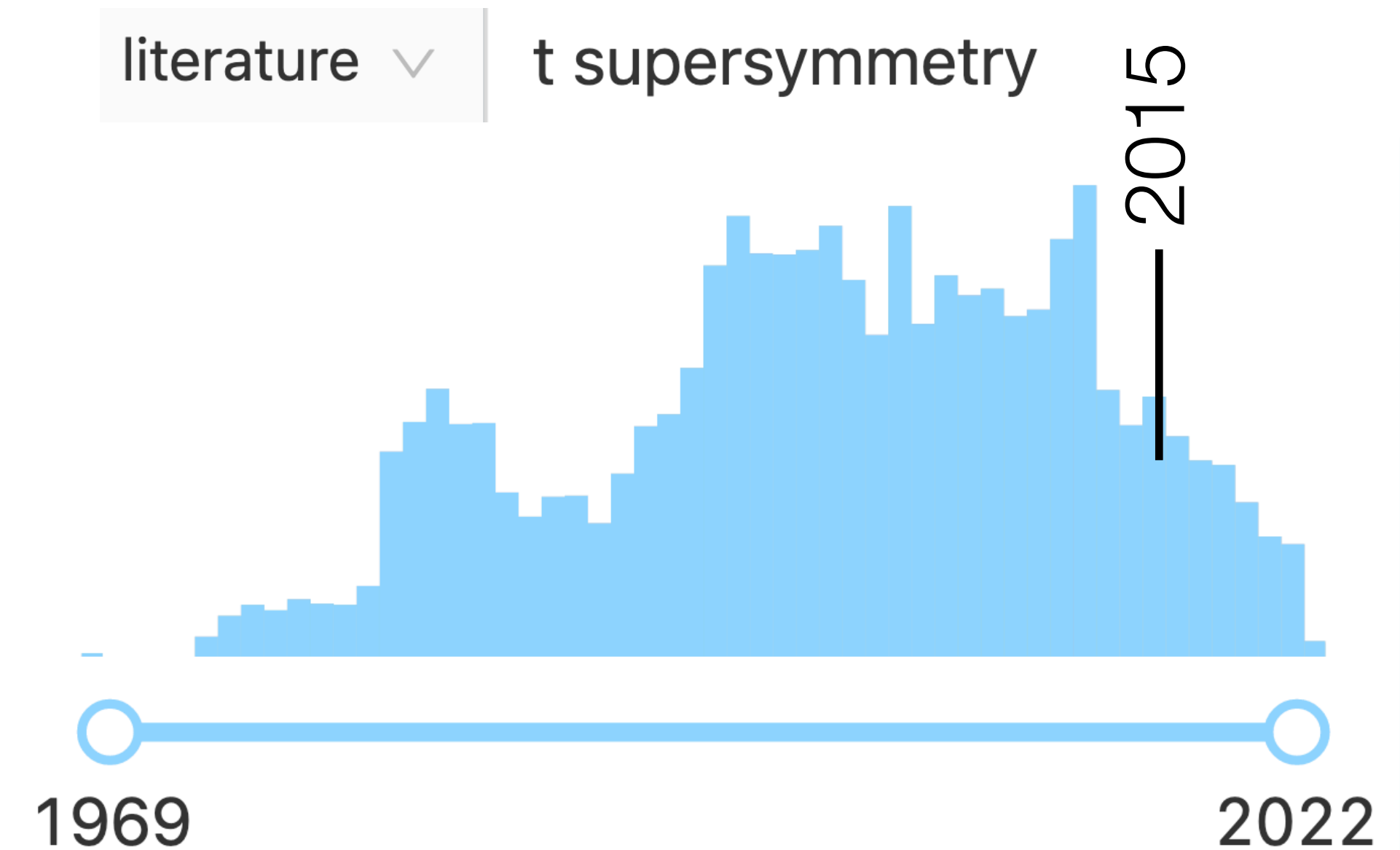
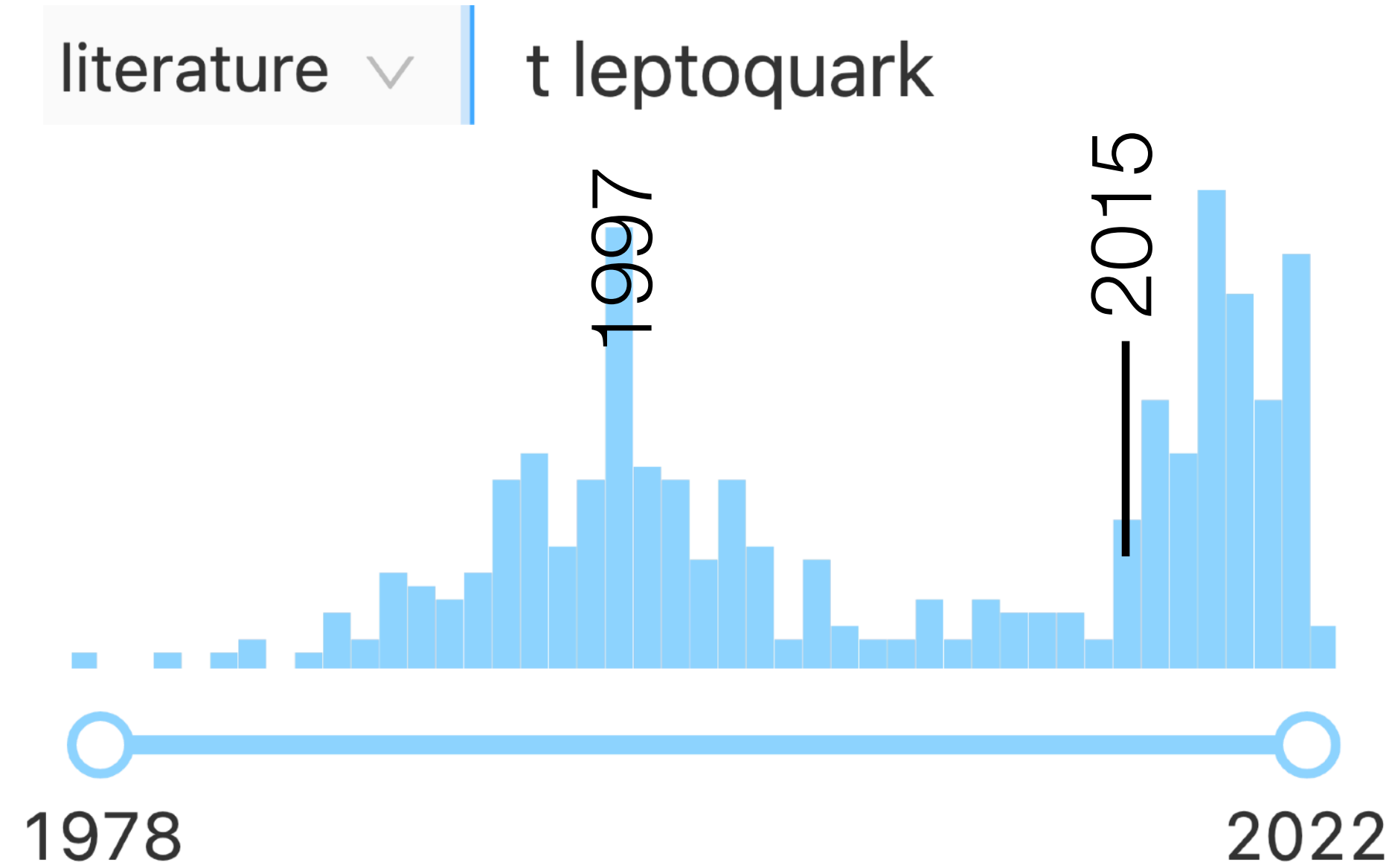
La Thuile - 11/03/2022

Leptoquark is the new SUSY

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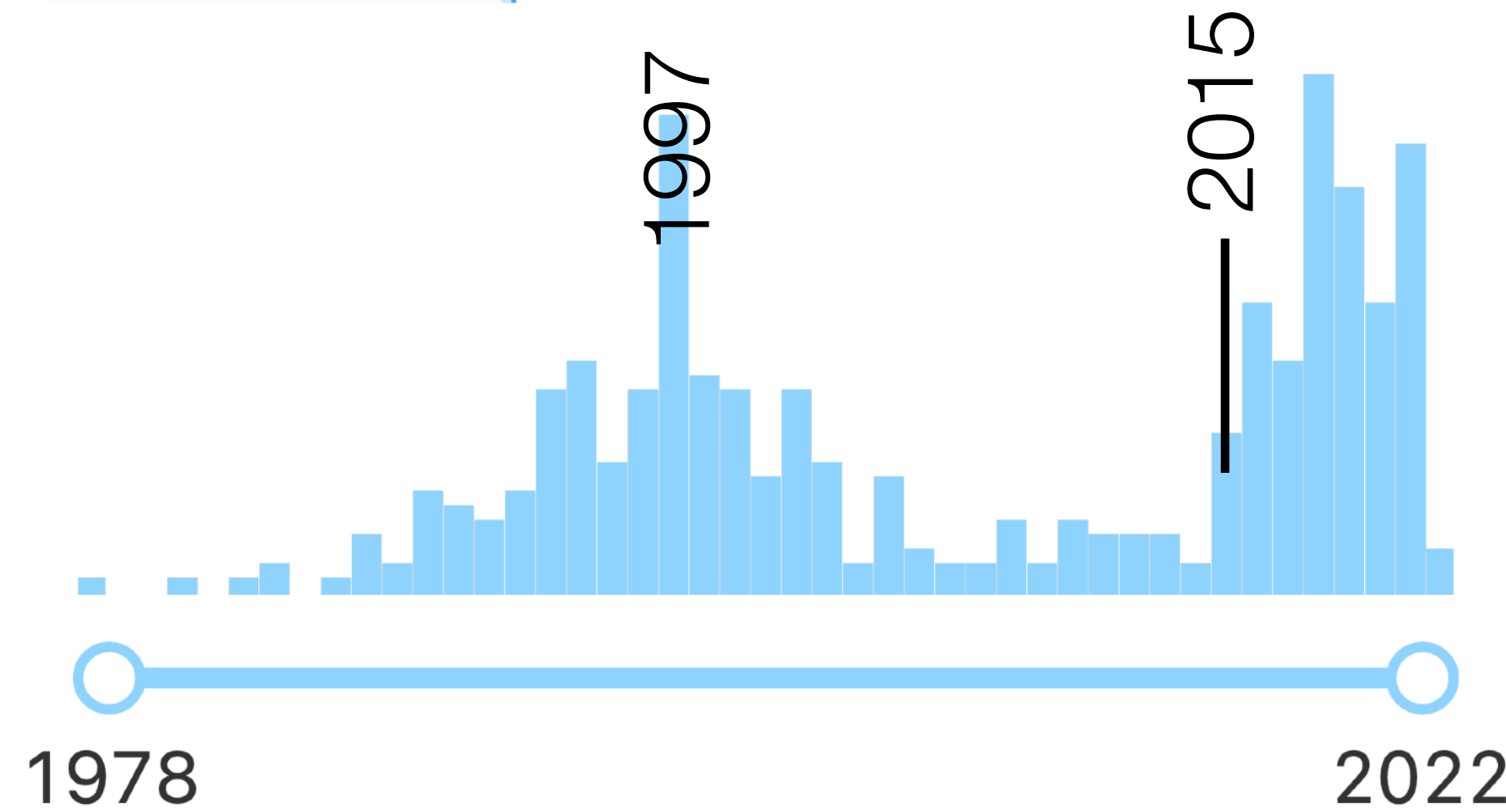


Leptoquark is the new SUSY



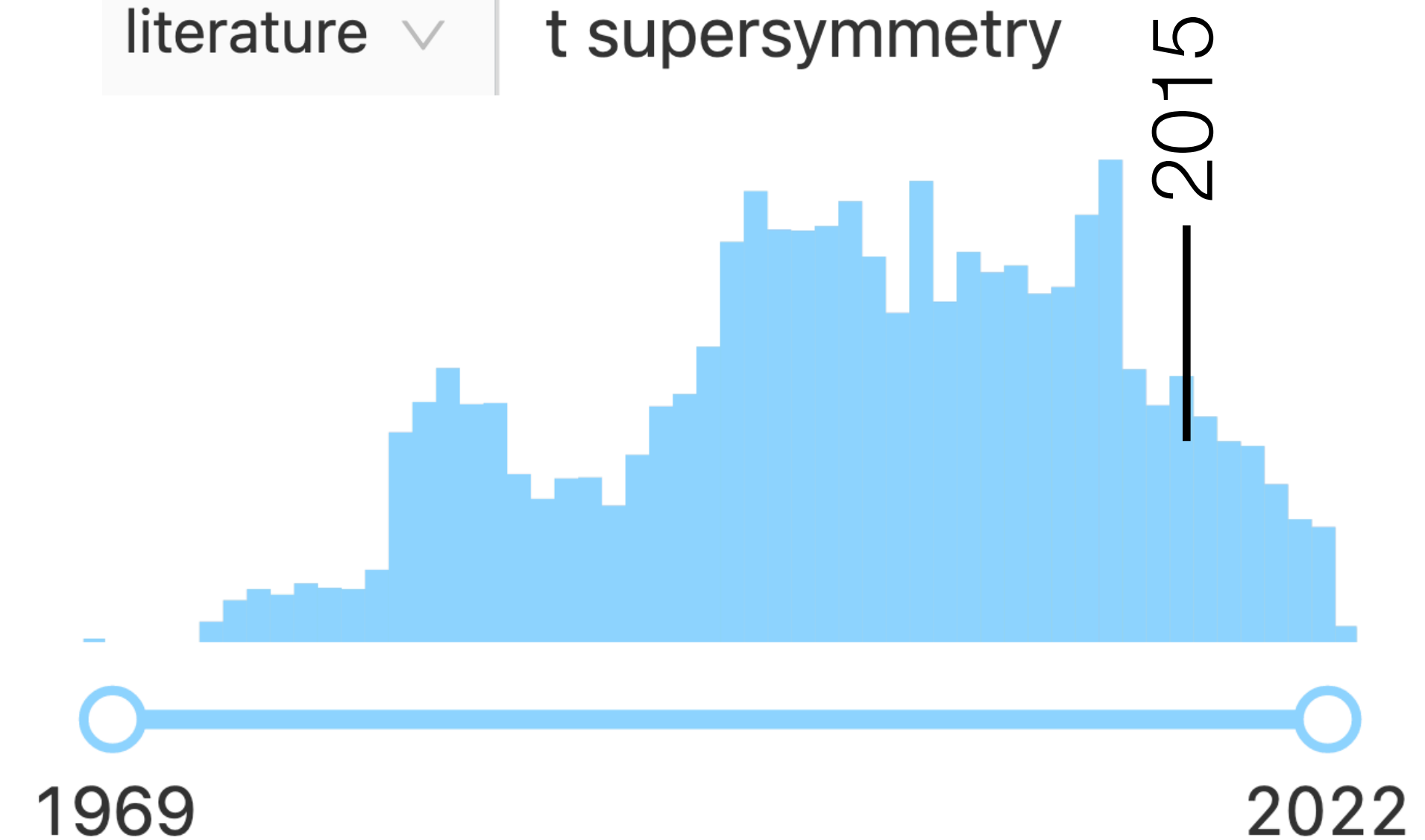
literature ▾

t leptoquark



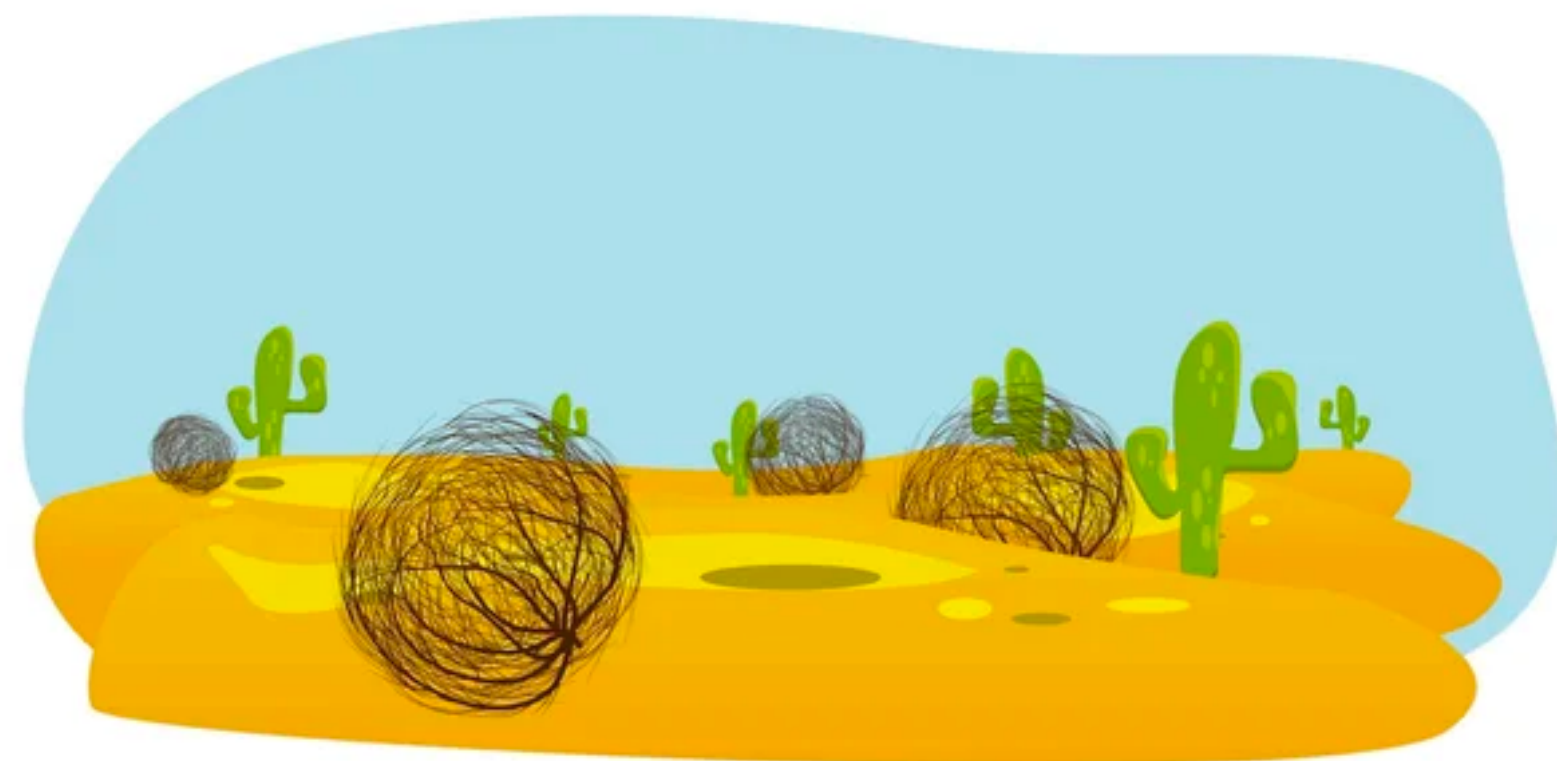
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t supersymmetry



Before ~ 2015

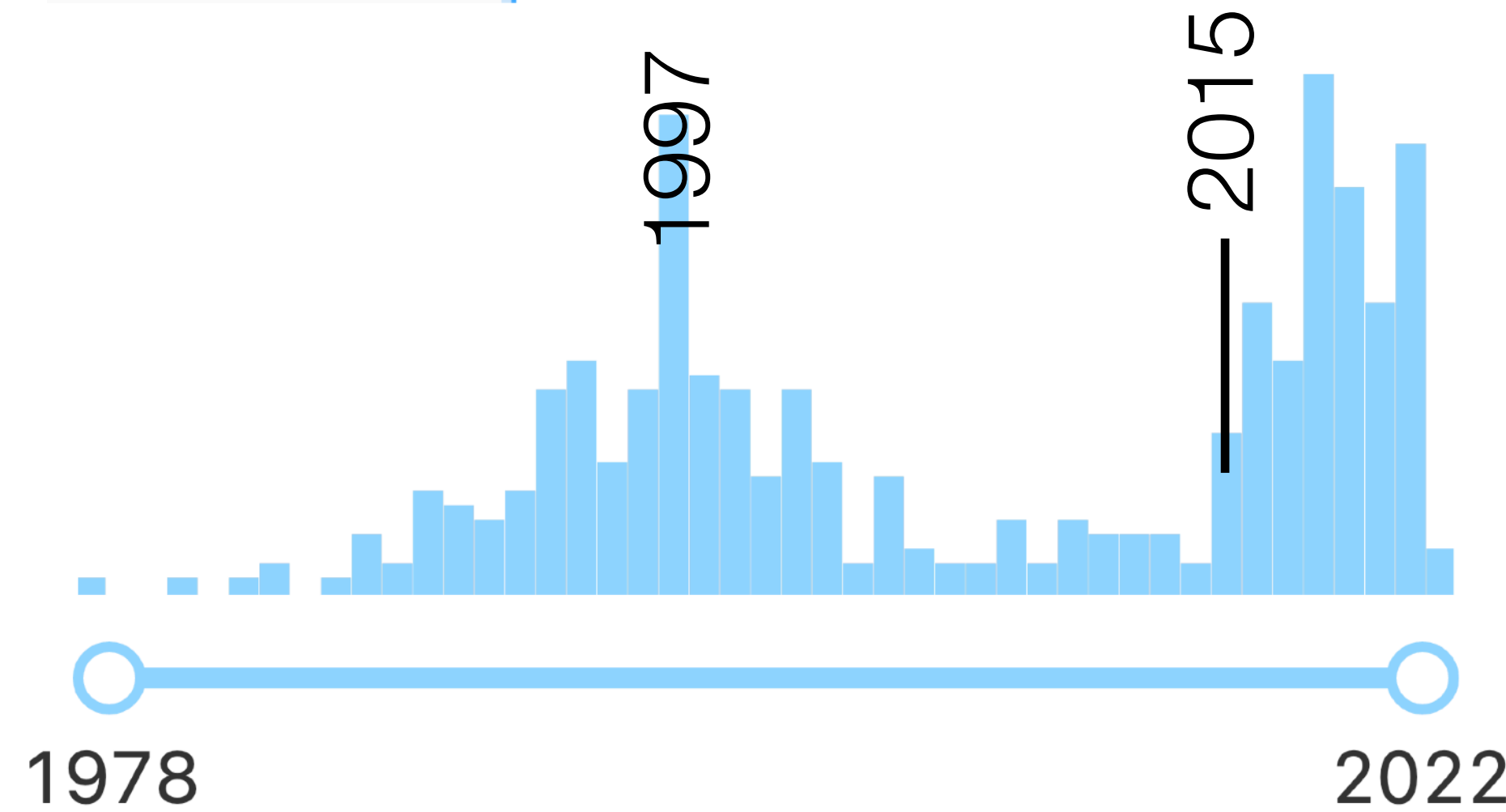
After ~ 2015



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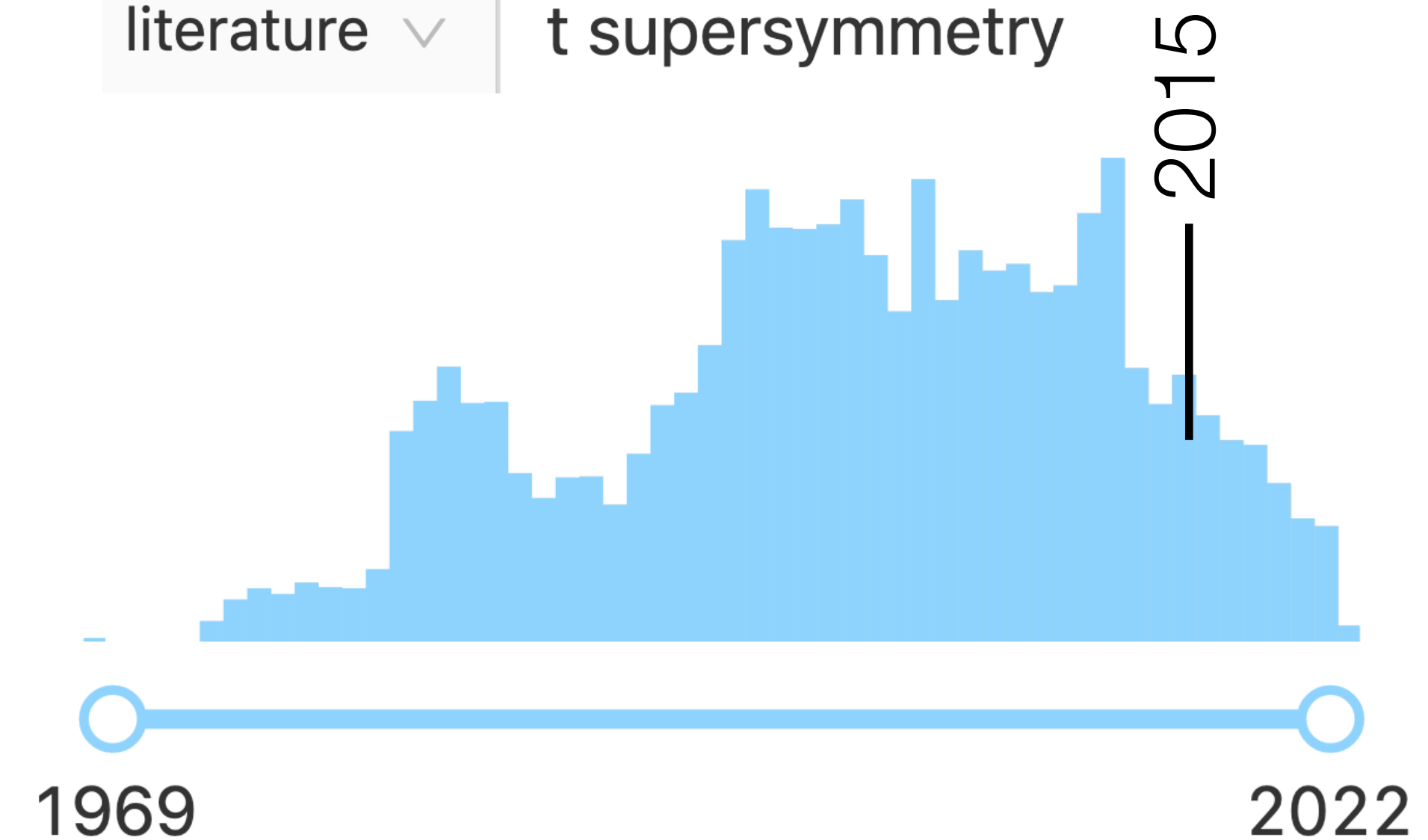


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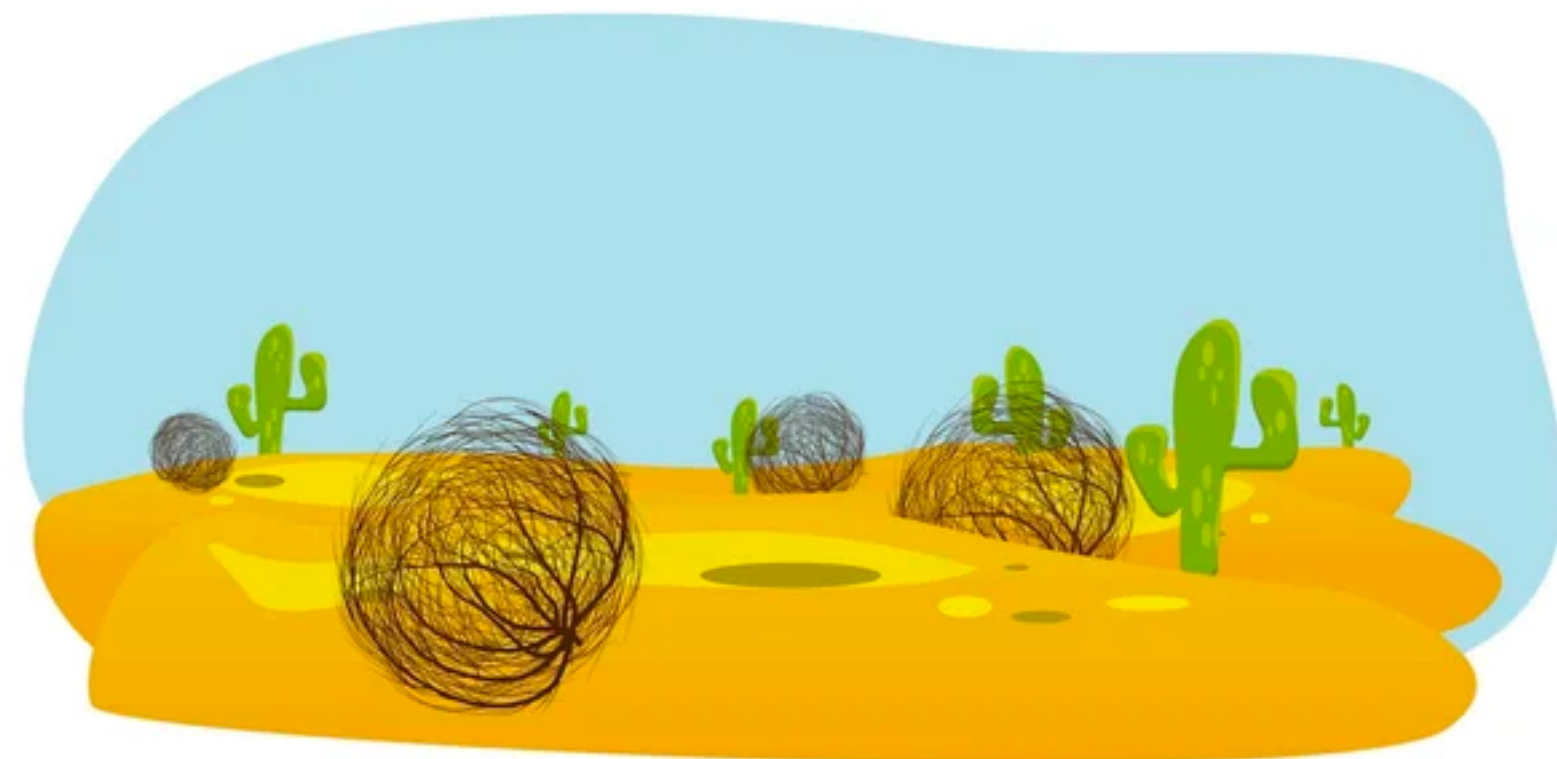


Before ~ 2015

literature ▾ t supersymmetry



After ~ 2015



A clear trend...

Why?

Leptoquark Renaissance

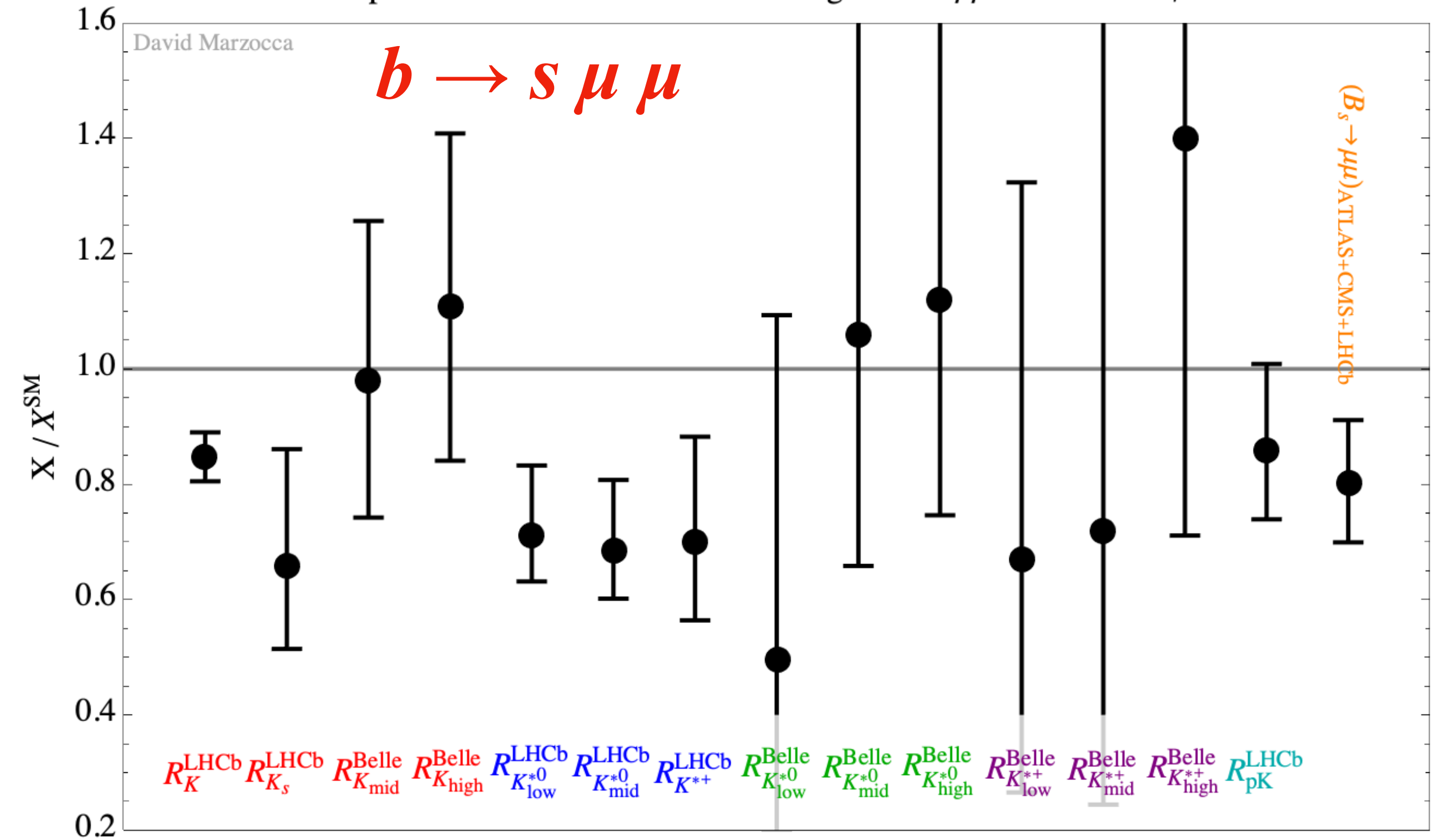
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(see talks by V. Lisovskyi and L. Silvestrini)

Compilation of clean observables testing the $b \rightarrow s \mu \mu$ transition. 10/2021

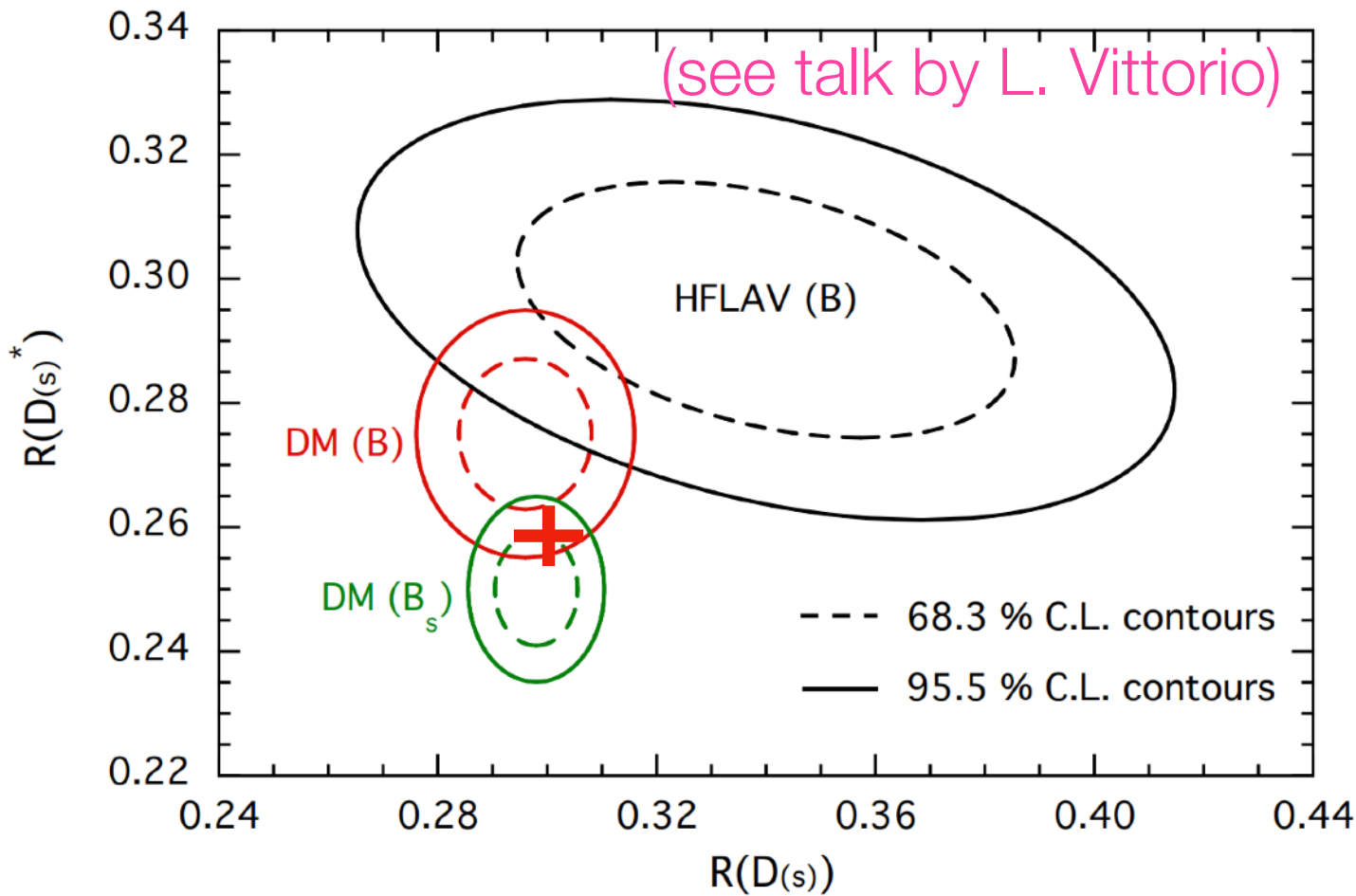
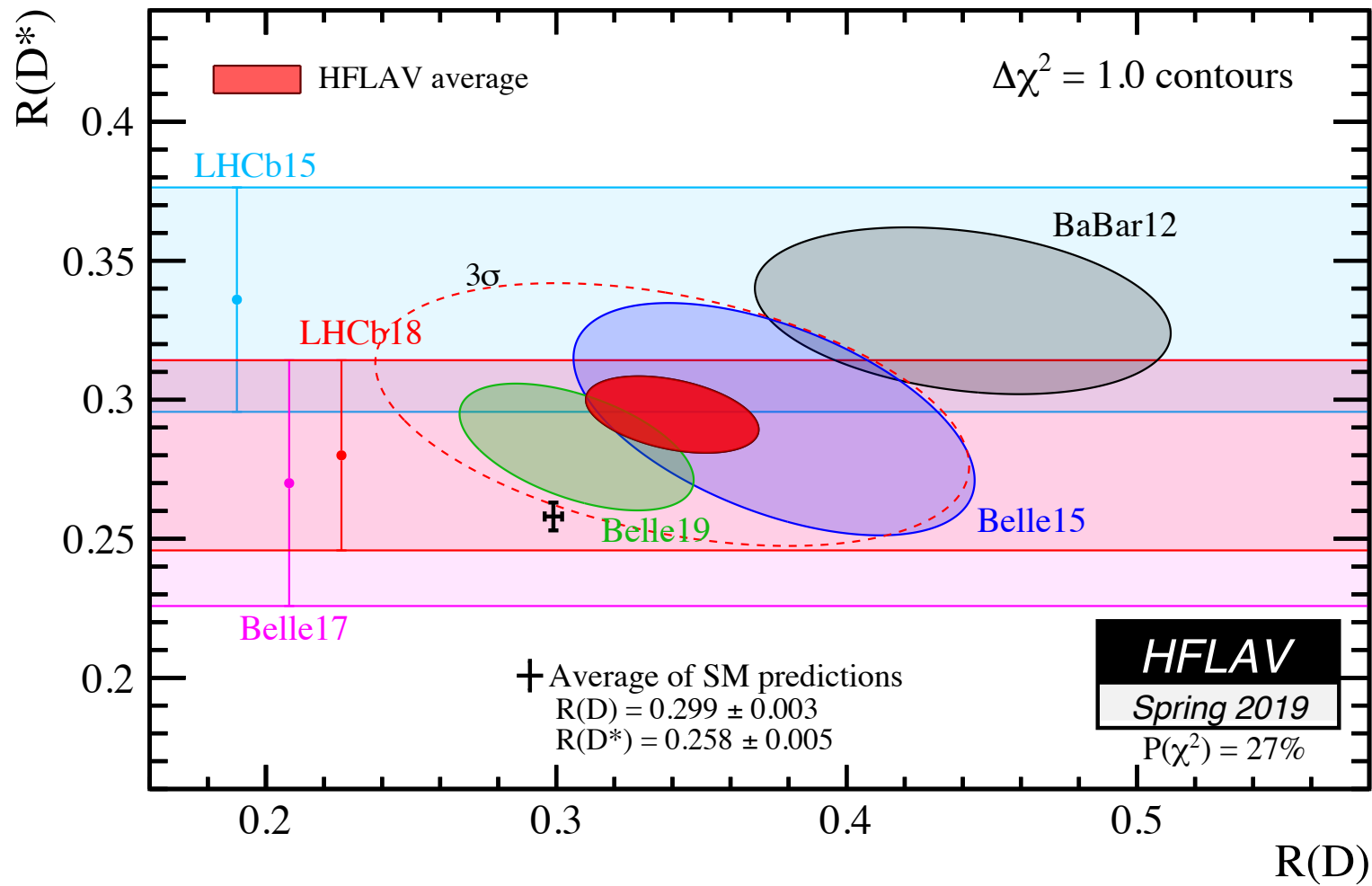


Leptoquark Renaissance

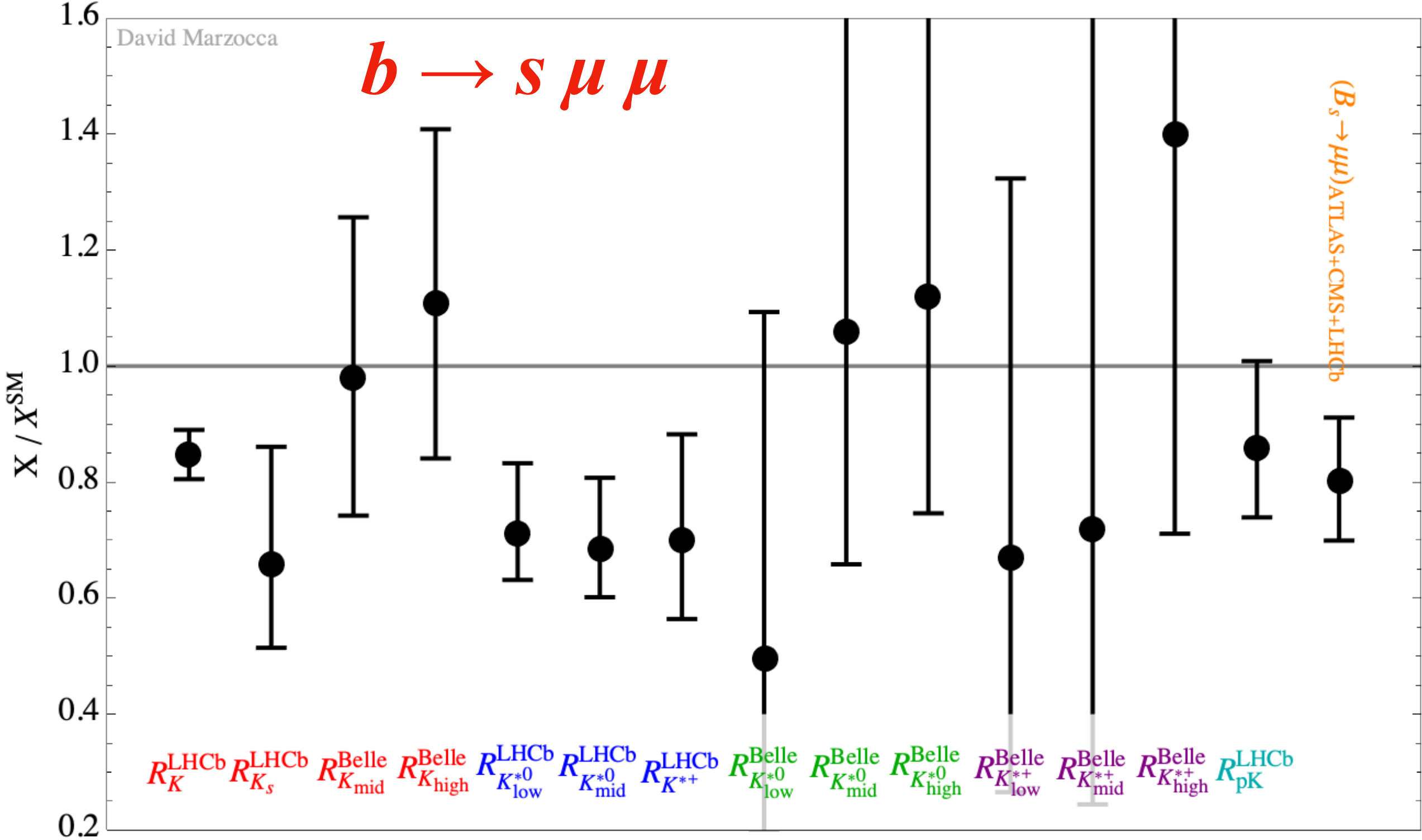
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This renewed interest is mostly data-driven!

$R(D^{(*)}): b \rightarrow c \tau \nu$



Compilation of clean observables testing the $b \rightarrow s \mu \mu$ transition. 10/2021



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$R(D^*)$ vs $R(D)$ plot showing various constraints and the HFLAV average.

Legend:

- HFLAV average
- $\Delta\chi^2 = 1.0$ contours

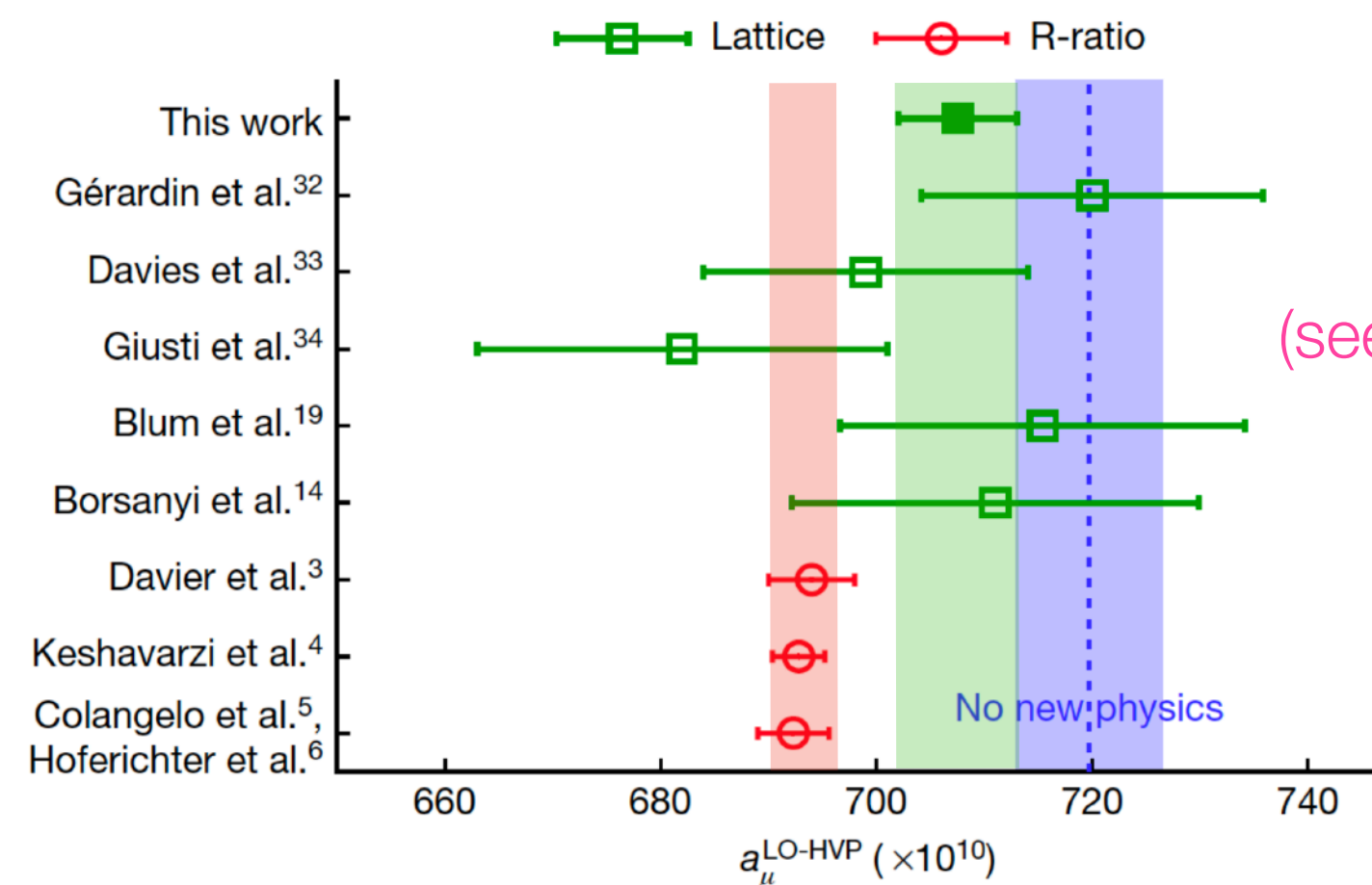
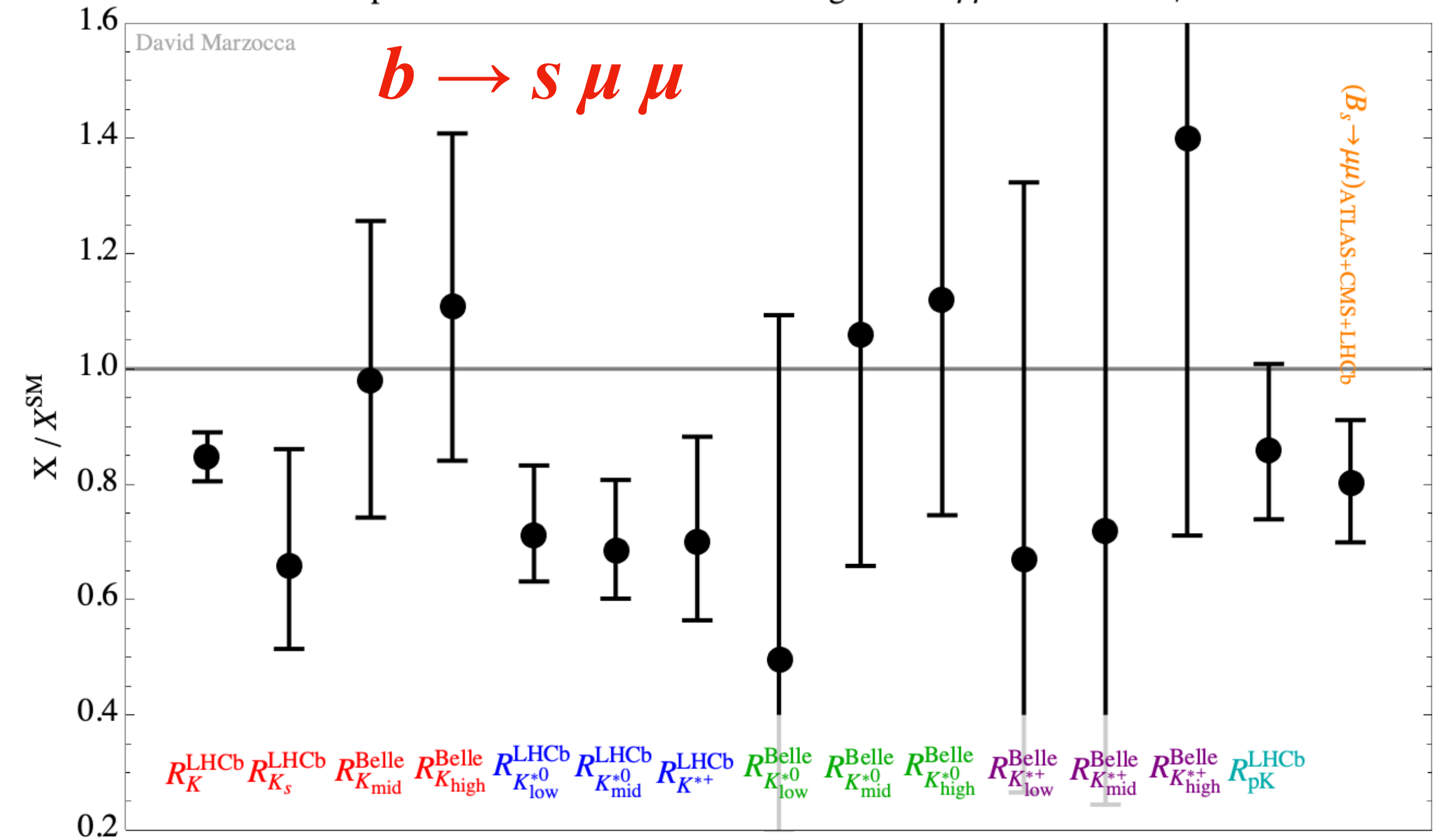
Experimental constraints (shaded regions):

- LHCb15 (light blue)
- LHCb18 (red)
- Belle15 (blue)
- Belle17 (magenta)
- Belle19 (green)
- BaBar12 (grey)

Theoretical predictions:

- $+$ Average of SM predictions
 $R(D) = 0.299 \pm 0.003$
 $R(D^*) = 0.258 \pm 0.005$

HFLAV Spring 2019
 $P(\chi^2) = 27\%$

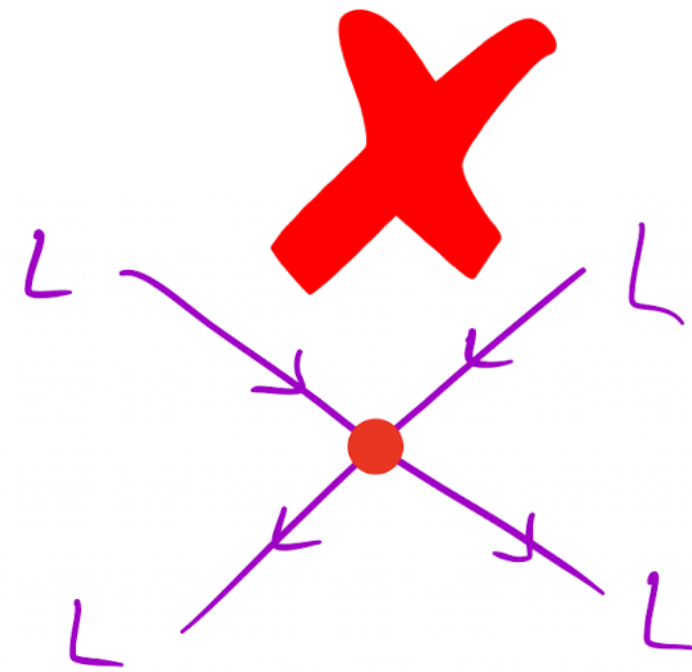
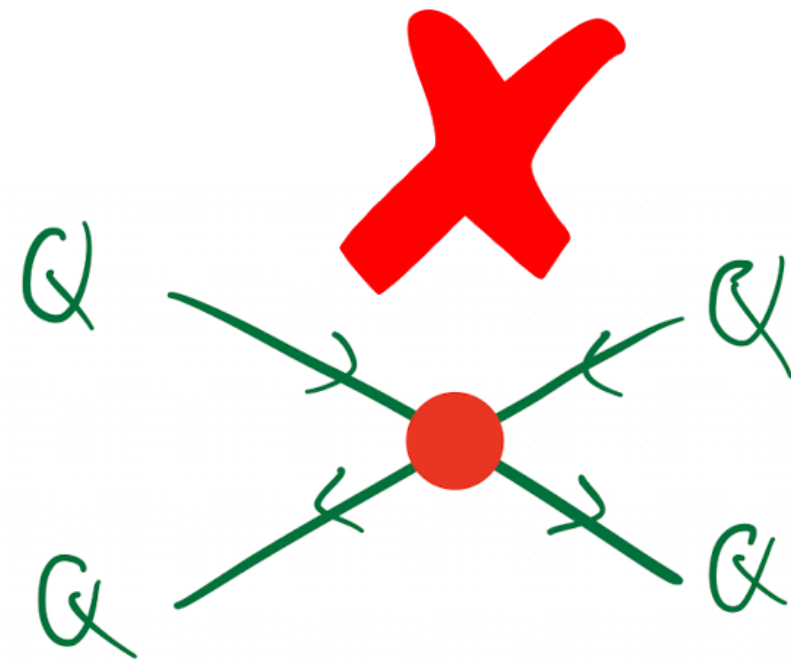
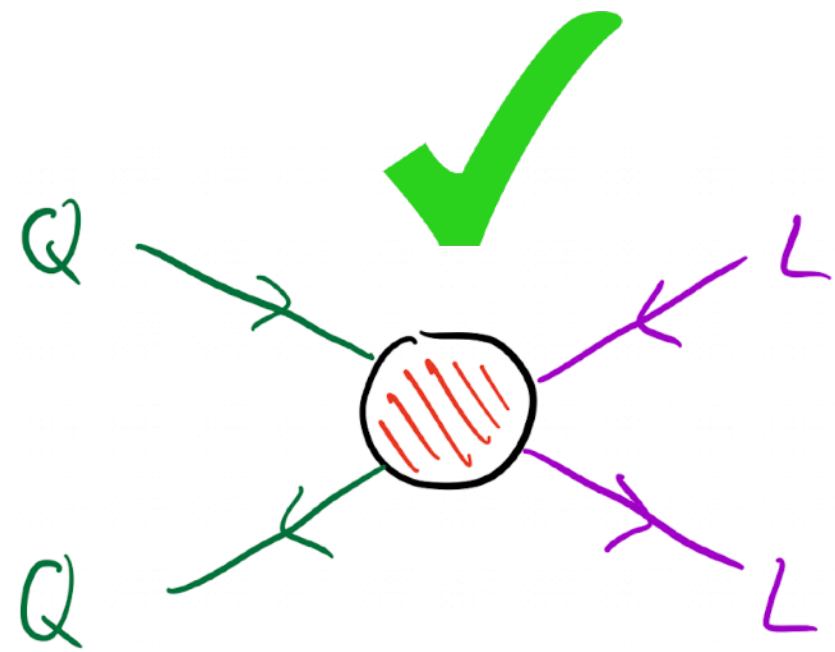


$(g-2)_\mu$

(see talks by M. Passera and A. Crivellin)

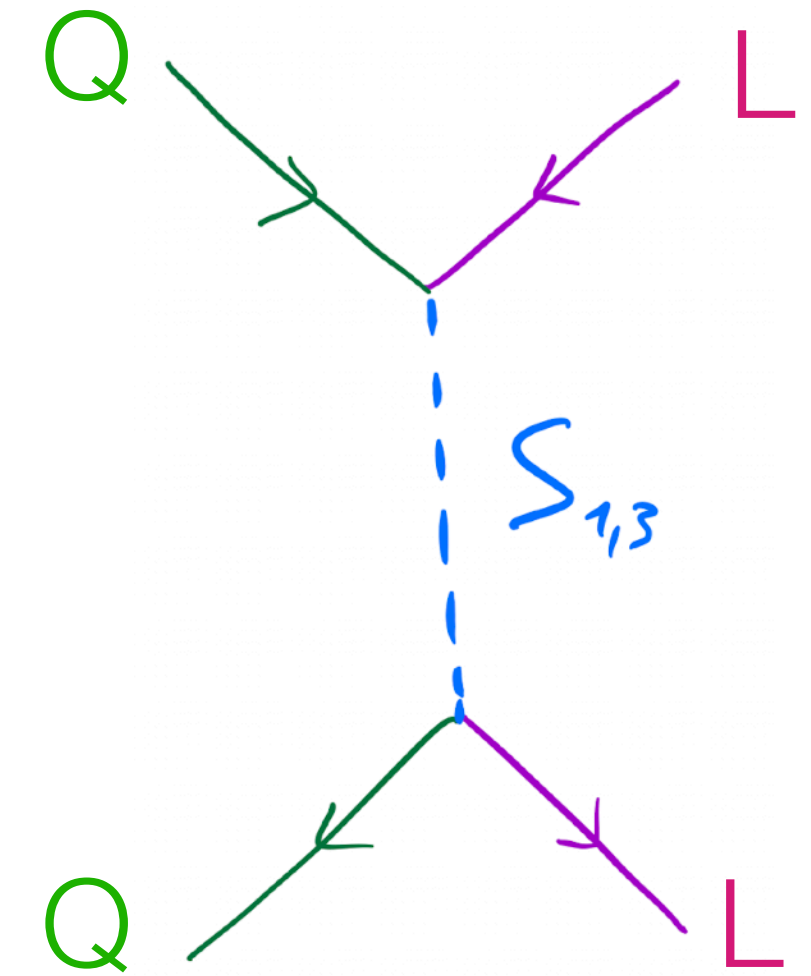
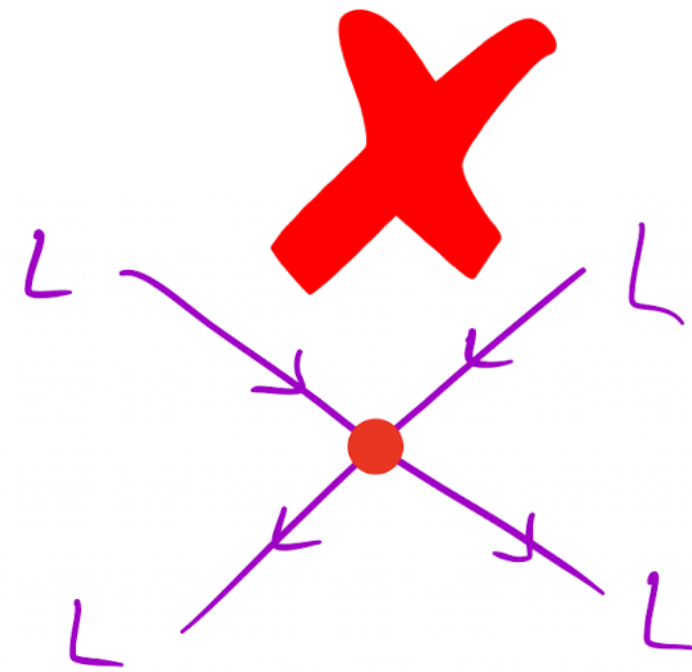
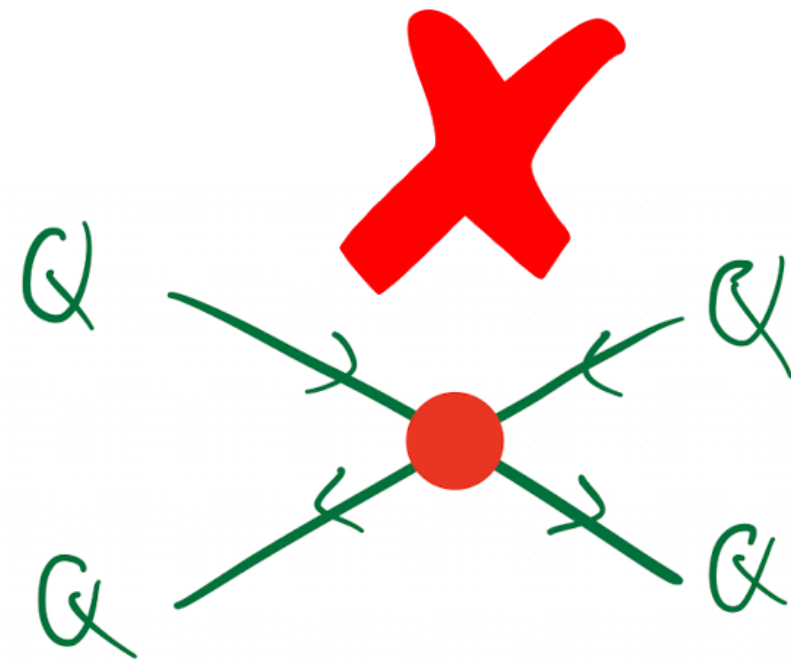
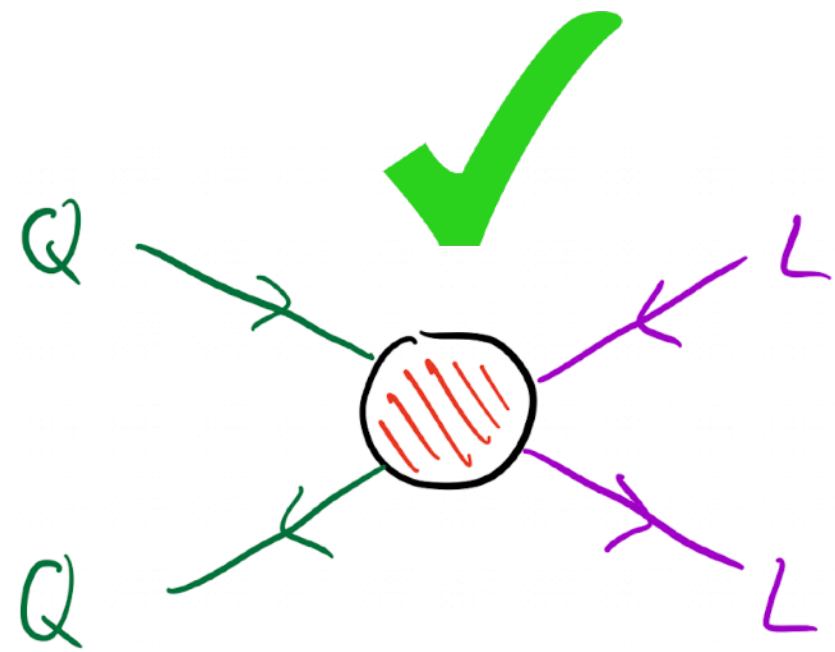
Leptoquark Renaissance

Deviations in **semileptonic** processes,
strong bounds from $\Delta F=2$ & **CLFV** processes.



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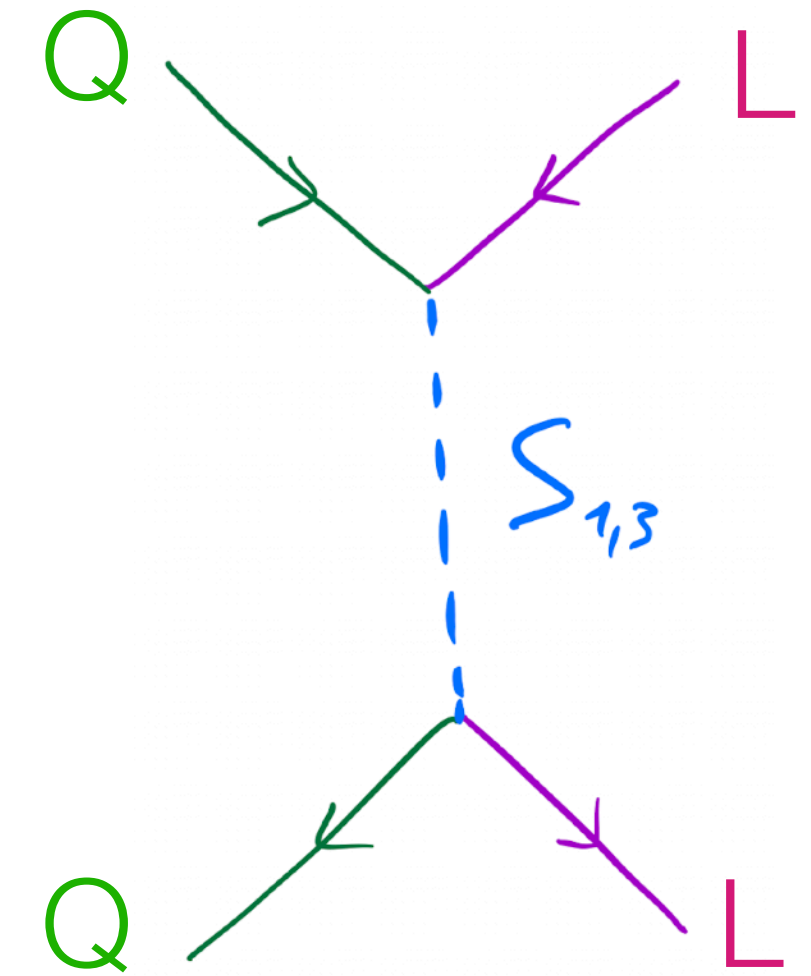
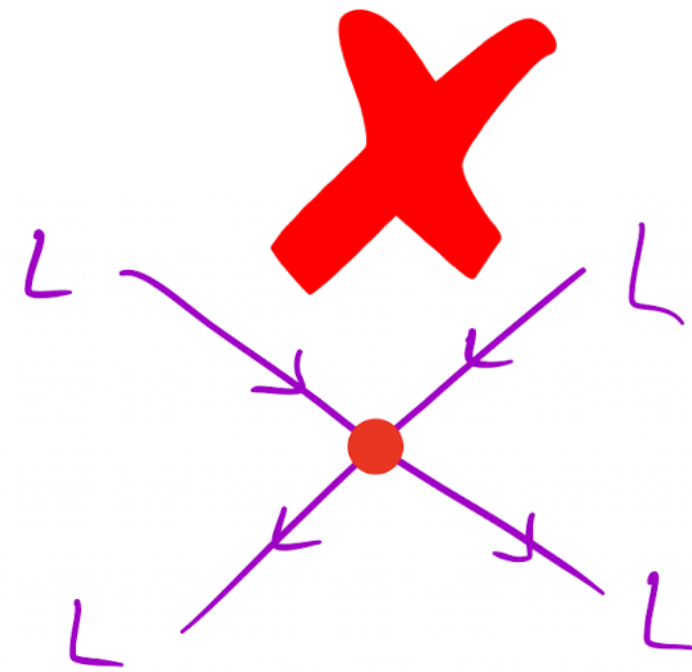
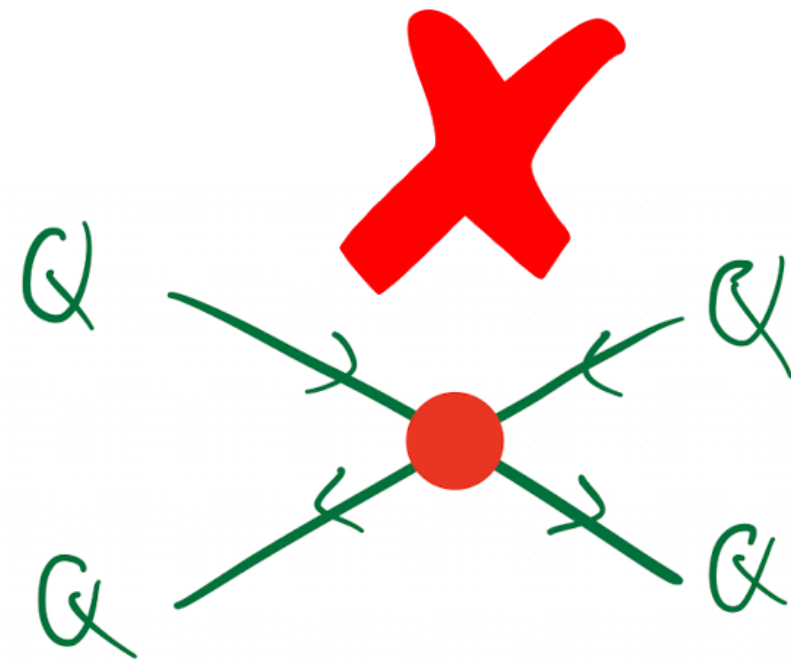
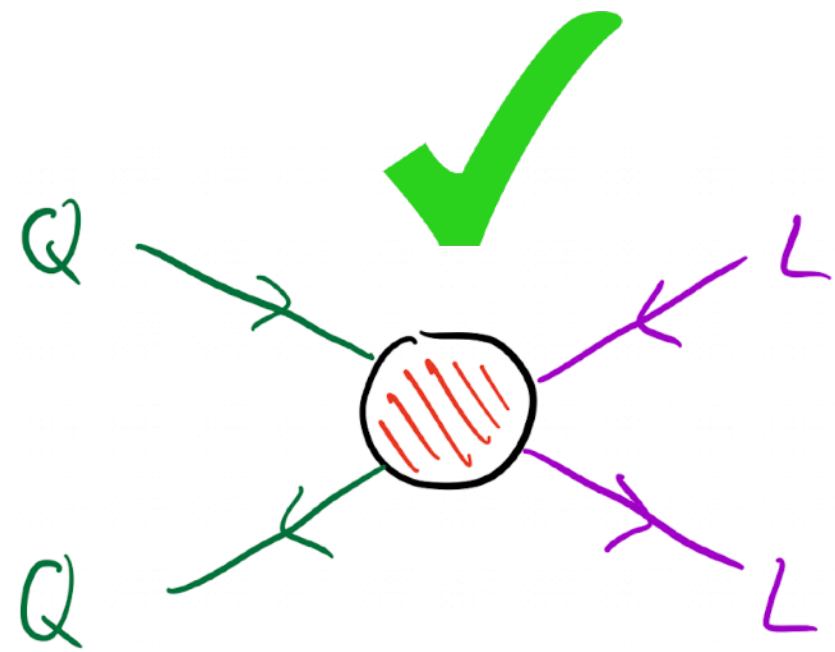
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LQ induce semileptonic @ tree level,
4-quark & 4-fermion only at loop level.

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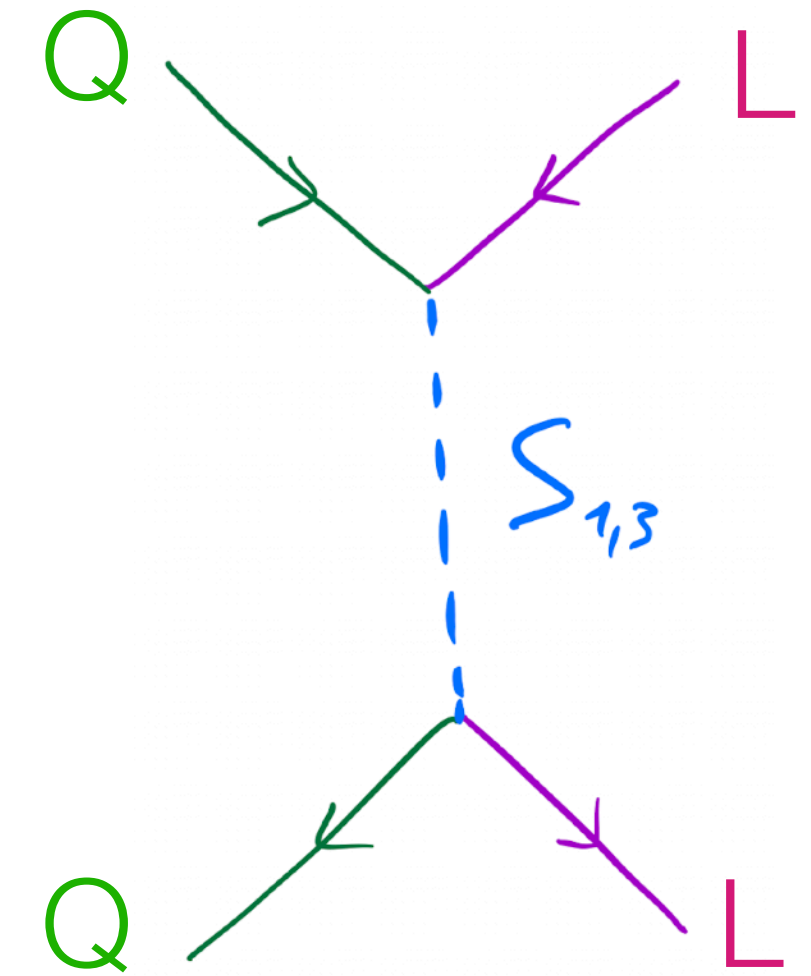
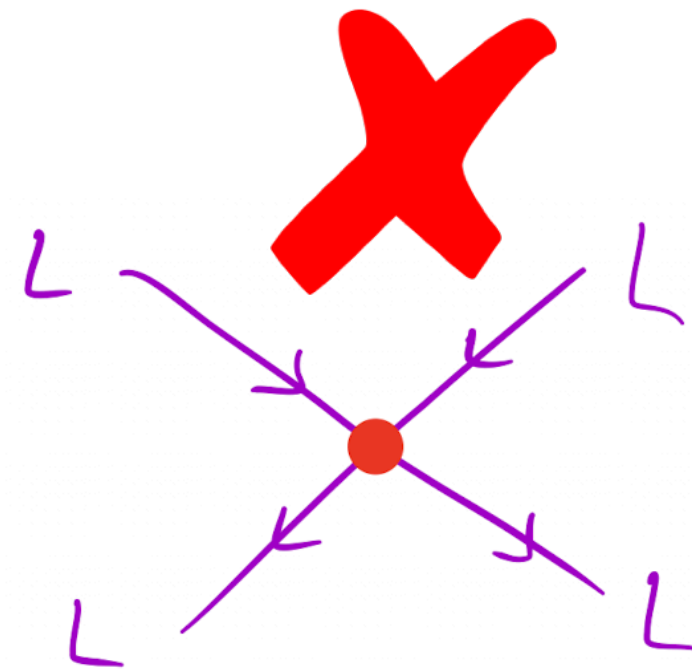
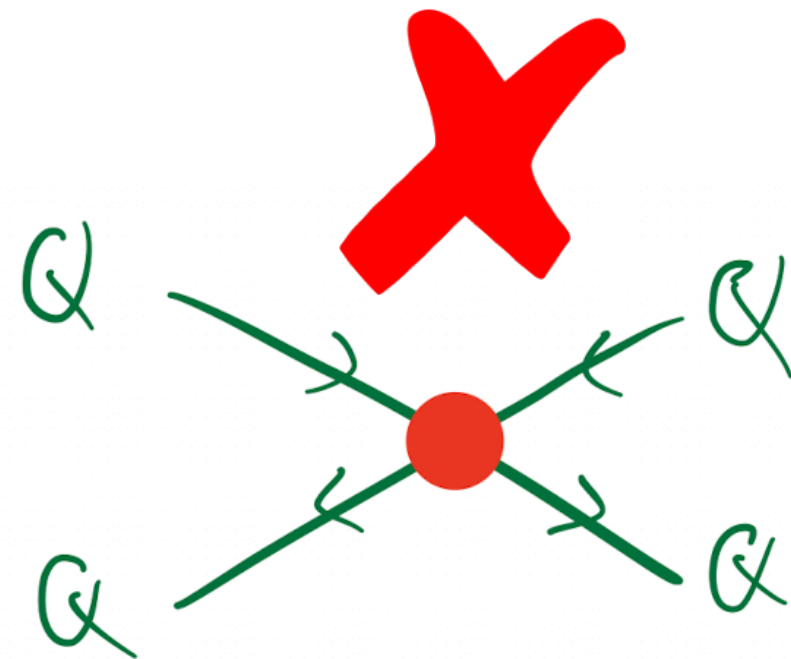
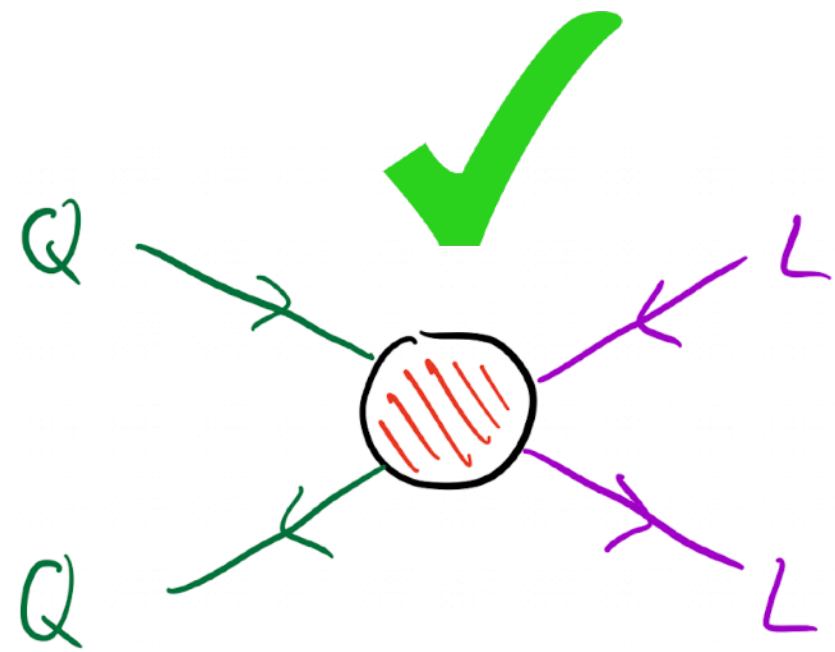


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>> Very strong bounds on LQ couplings to 1st generation fermions, e.g. $K_L \rightarrow \mu e$, etc..

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To address both B-anomalies:

(see talk by C. Cornella)

TeV-scale leptoquark coupled to **3rd** and **2nd** generation
 $g(3rd) > g(2nd) > g(1st)$

From Leptoquarks to the Higgs, and back

From B-anomalies

$$M_{LQ} \sim \text{TeV}$$

Hierarchical couplings to SM fermions

$$g(\mathbf{3rd}) > g(\mathbf{2nd}) > g(\mathbf{1st})$$

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Higgs & EW hierarchy

$$M_{\text{BSM-Higgs hierarchy problem}} \sim \text{TeV}$$

Hierarchical Yukawa couplings

$$y(\mathbf{3rd}) > y(\mathbf{2nd}) > y(\mathbf{1st})$$

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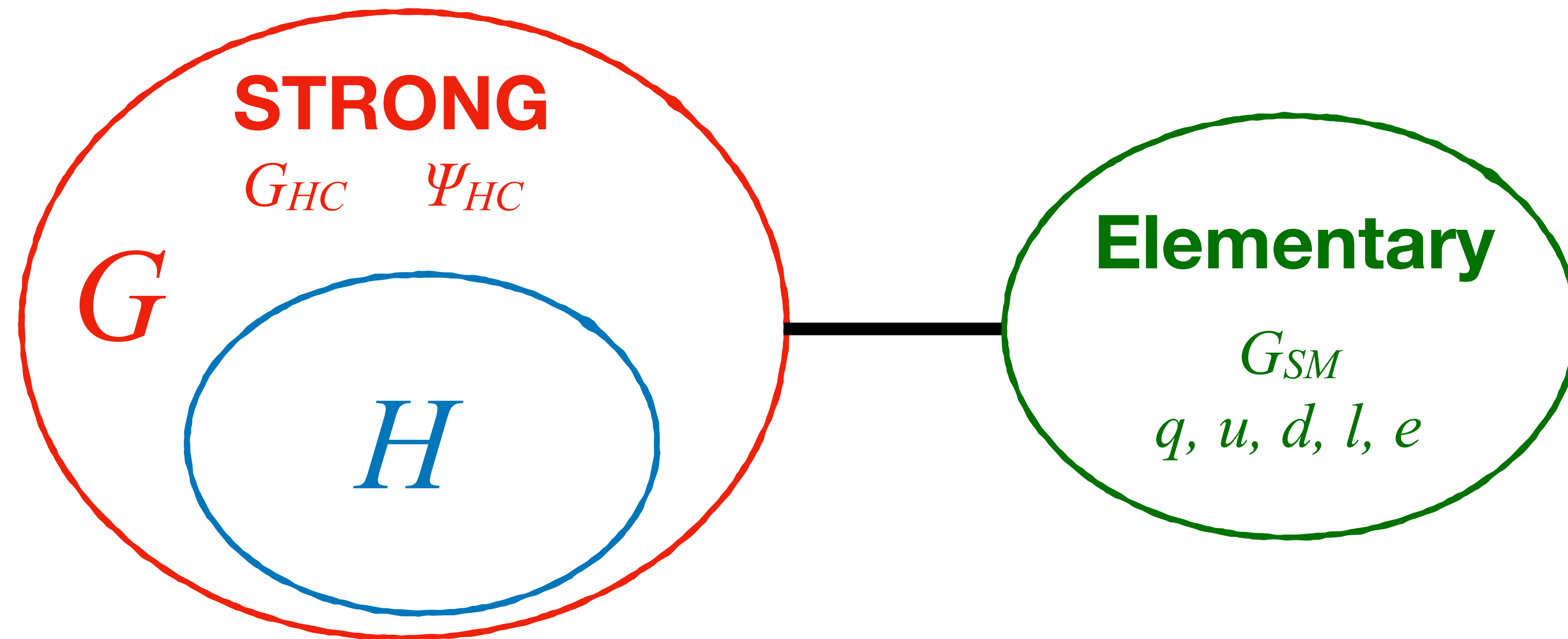
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LQ from same UV responsible for the EW scale,
connection between LQ couplings and Yukawa couplings.

Scalar LQ & Higgs: both pseudo-Goldstones?

In Composite Higgs models the Higgs arises as a pseudo-Goldstone (pNGB) of a spontaneously broken global symmetry $G \rightarrow H$ of a TeV-scale strong sector



Spontaneous global symmetry breaking
at the $f \sim 1 \text{ TeV}$ scale

$$G \rightarrow H$$

One obtains naturally

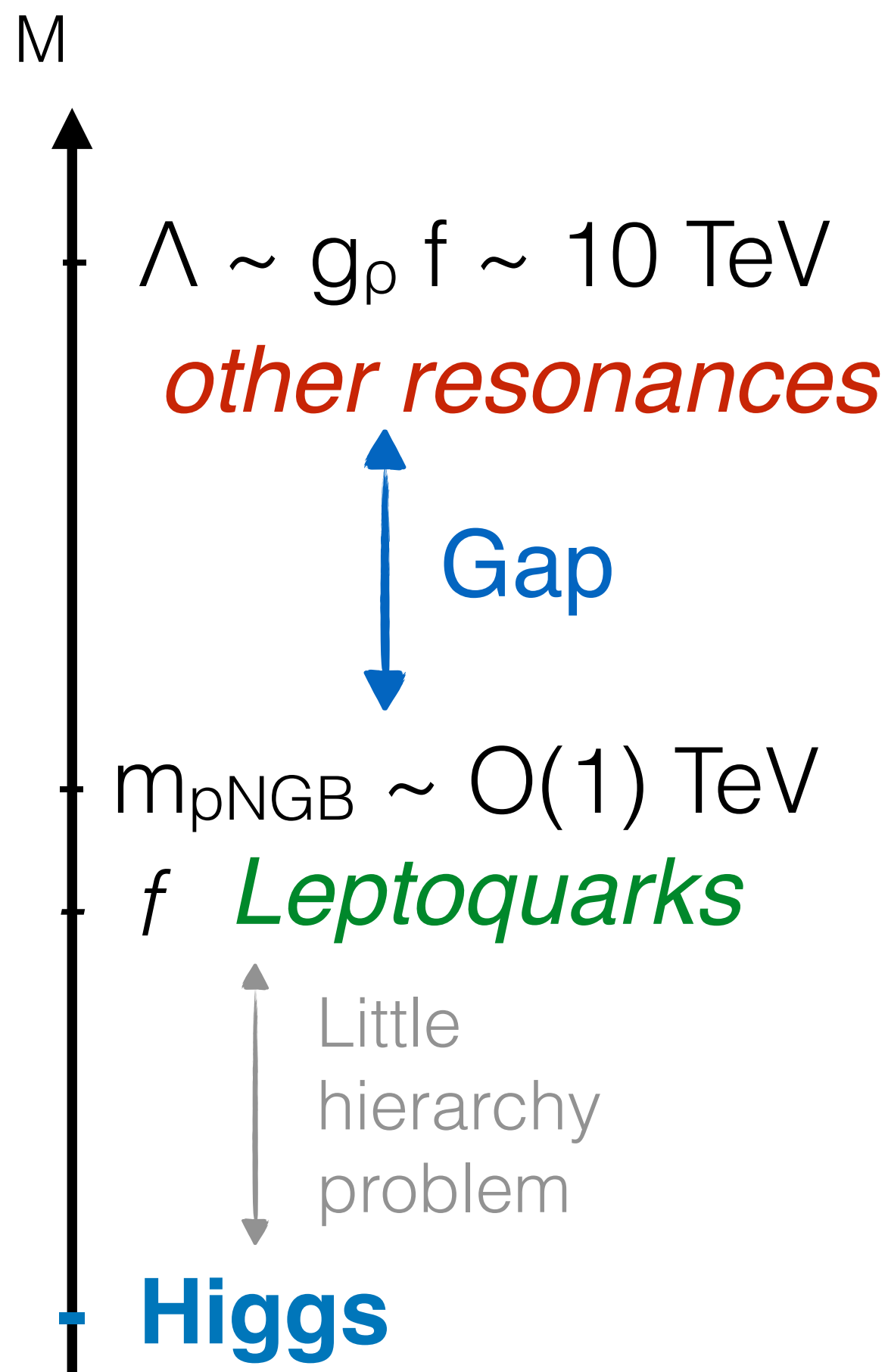
$$m_{\text{PNGB}} \ll M_{\text{Resonances}}$$

Scalar LQ & Higgs: both pseudo-Goldstones?



Scalar LQs could arise as pNGB together with the Higgs from the same G/H of the strong sector.

[Gripaios 0910.1789, Gripaios, Nardecchia, Renner 1412.1791]



Low-energy phenomenology dominated by the LQs

$$m_{SLQ} \ll \Lambda$$

Having the same origin, it is expected that LQ couplings have same structure as Higgs Yukawa couplings:

possible connection with flavour structure

A Fundamental Composite Higgs + LQ Model

D.M. 1803.10972

Gauge group: $SU(N_{HC}) \times SU(3)_c \times SU(2)_w \times U(1)_Y$
"HyperColor"

Extra vectorlike
fermions charged
under $SU(N_{HC})$:

QCD-like!!

	$SU(N_{HC})$	$SU(3)_c$	$SU(2)_w$	$U(1)_Y$
Ψ_L	\mathbf{N}_{HC}	$\mathbf{1}$	$\mathbf{2}$	Y_L
Ψ_N	\mathbf{N}_{HC}	$\mathbf{1}$	$\mathbf{1}$	$Y_L + 1/2$
Ψ_E	\mathbf{N}_{HC}	$\mathbf{1}$	$\mathbf{1}$	$Y_L - 1/2$
Ψ_Q	\mathbf{N}_{HC}	$\mathbf{3}$	$\mathbf{2}$	$Y_L - 1/3$

For similar constructions see:
 Shmaltz et al 1006.1356,
 Vecchi 1506.00623,
 Ma, Cacciapaglia 1508.07014

$SU(N_{HC})$ confines at $\Lambda_{HC} \sim 10 \text{ TeV}$

Approximate **global symmetry, spontaneously broken** (as chiral symm. in QCD)

$$G = SU(10)_L \times SU(10)_R \times U(1)_V \xrightarrow[f \sim 1\text{TeV}]{\langle \bar{\Psi}_i \Psi_j \rangle = -B_0 f^2 \delta_{ij}} H = SU(10)_V \times U(1)_V$$

A Fundamental Composite Higgs + LQ Model

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Several states are present at the **TeV scale** as pNGB, including

Two Higgs doublets: $H_{\text{SM}}, \tilde{H}_2 \sim (\mathbf{1}, \mathbf{2})_{1/2}$

Singlet and Triplet LQ: $S_1 \sim (\mathbf{3}, \mathbf{1})_{-1/3} + S_1 \sim (\mathbf{3}, \mathbf{3})_{-1/3}$

H and LQ are close partners!!

$$H_1 \sim i\sigma^2 (\bar{\Psi}_L \Psi_N)$$

$$H_2 \sim (\bar{\Psi}_E \Psi_L)$$

$$S_1 \sim (\bar{\Psi}_Q \Psi_L)$$

$$S_3 \sim (\bar{\Psi}_Q \sigma^a \Psi_L)$$

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Coupling with SM fermions from 4-fermion operators

$$\mathcal{L}_{4\text{-Fermi}} \sim \frac{c_{\psi\Psi}}{\Lambda_t^2} \bar{\psi}_{\text{SM}} \psi_{\text{SM}} \bar{\Psi} \Psi \xrightarrow{E \lesssim \Lambda_{HC}} \sim y_{\psi\phi} \bar{\psi}_{\text{SM}} \psi_{\text{SM}} \phi + \dots$$

Yukawas &
LQ couplings

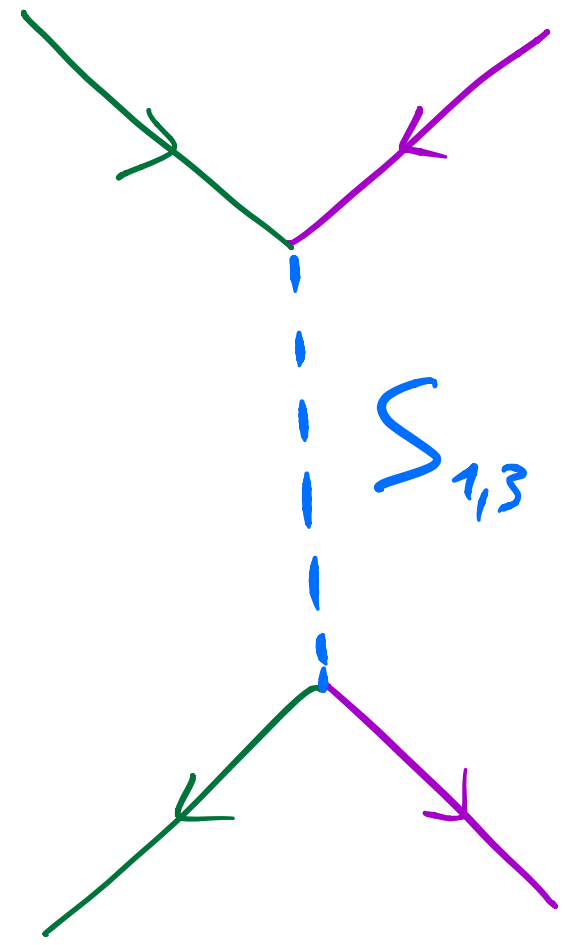
+ approximate $\text{U}(2)^5$ flavor symmetry to protect from unwanted flavor violation

Phenomenology of S_1 and S_3

Scalar Leptoquarks

$$S_1 = (\bar{\mathbf{3}}, \mathbf{1}, 1/3),$$

$$S_3 = (\bar{\mathbf{3}}, \mathbf{3}, 1/3),$$



$$\mathcal{L}_{\text{int}} \sim \left(\lambda_{ij}^{1L} q_L^i \varepsilon l_L^j + \lambda_{ij}^{1R} u_R^i e_R^j \right) S_1 + \lambda_{ij}^{3L} q_L^i \varepsilon \sigma^A l_L^j S_3^A + \text{h.c.}$$

1) Match **SM + S_1+S_3** to **SMEFT** @ 1-loop

(SMEFT RGE, SMEFT-LEFT 1-loop matching, LEFT RGE already done in literature)

V. Gherardi, E. Venturini, D.M. [2003.12525]

(see talk by F. Wilsch)

[Alonso, Jenkins, Manohar, Trott '13]

[Dekens, Stoffer 1908.05295]

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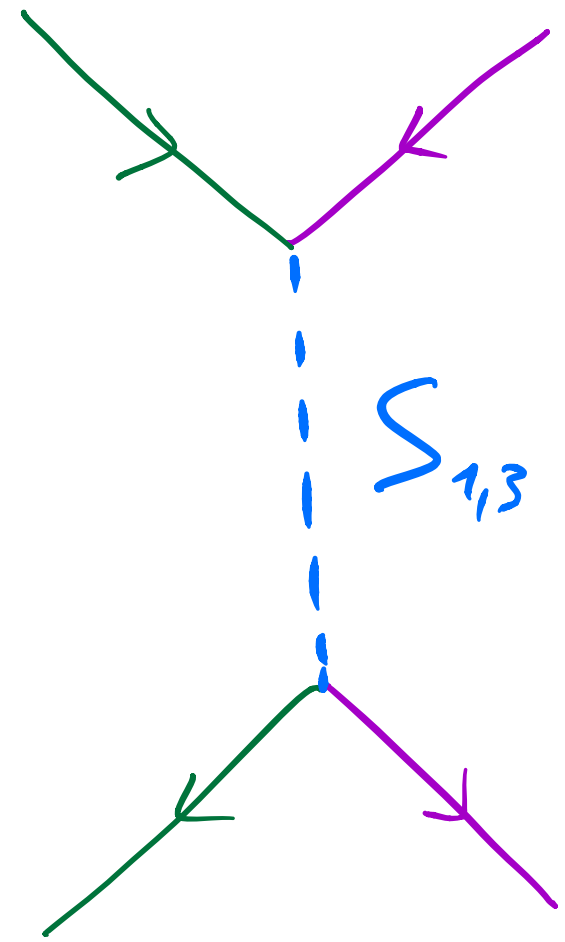
Crivellin et al. 1703.09226; Buttazzo, Greljo, Isidori, DM 1706.07808; D.M. 1803.10972; Arnan et al. 1901.06315; Bigaran et al. 1906.01870; Crivellin et al. 1912.04224; Saad 2005.04352; V. Gherardi, E. Venturini, D.M. 2003.12525, 2008.09548; Bordone, Catà, Feldmann, Mandal 2010.03297; Crivellin et al. 2010.06593, 2101.07811; S. Trifinopoulos, E. Venturini, D.M. [2106.15630]; ETC...

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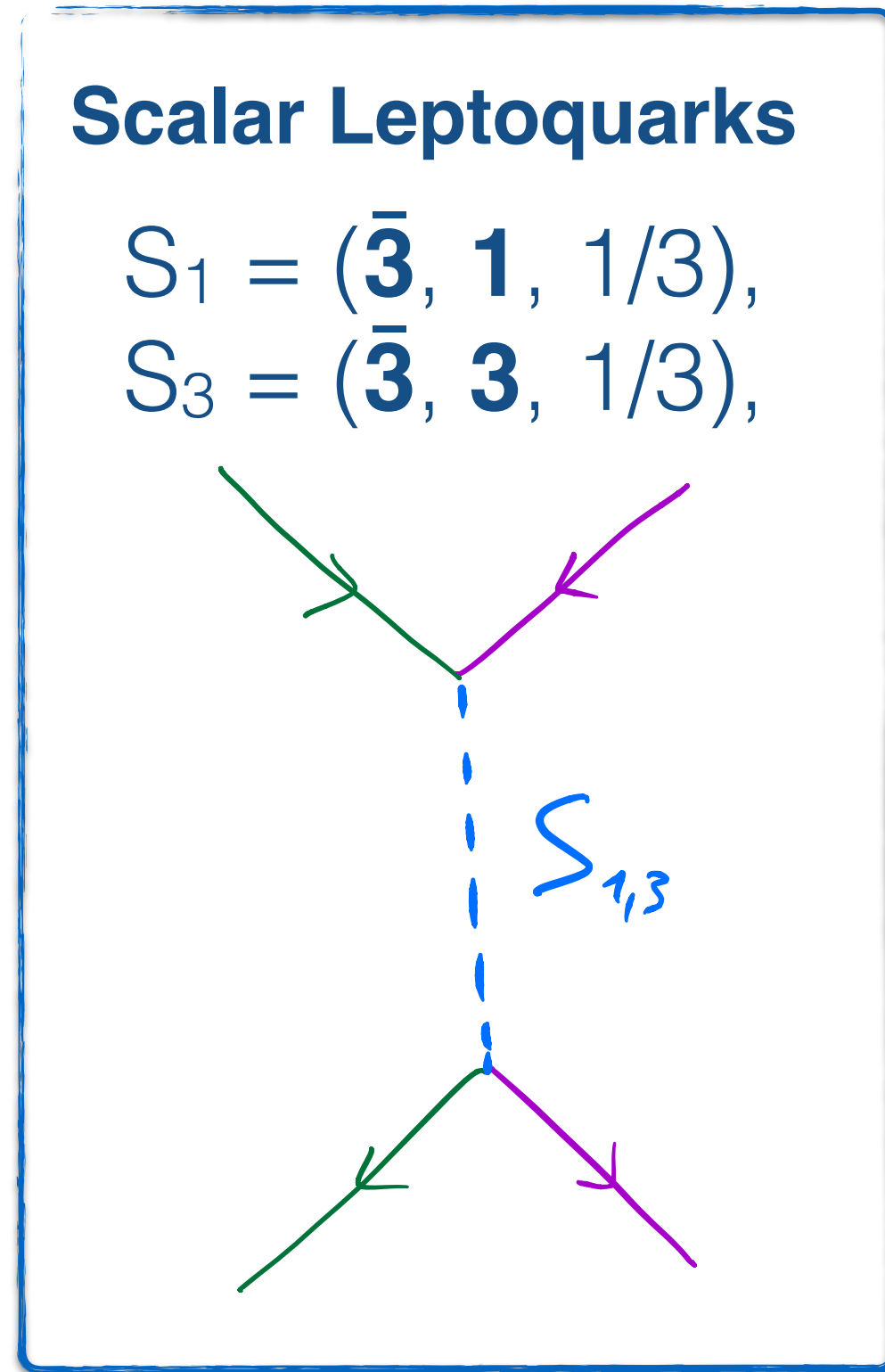
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2) Global analysis of B-anomalies + all relevant observables

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 (see talk by F. Wilsch)
- 2) Global analysis of B-anomalies + all relevant observables
 V. Gherardi, E. Venturini, D.M. [2008.09548]
- 3) Include **1st gen couplings** and study **Kaon** & $\mu \rightarrow e$ observables assuming **$U(2)^5$ flavor symmetry**.
 S. Trifinopoulos, E. Venturini, D.M. [2106.15630]

Crivellin et al. 1703.09226; Buttazzo, Greljo, Isidori, DM 1706.07808; D.M. 1803.10972; Arnan et al 1901.06315; Bigaran et al. 1906.01870; Crivellin et al. 1912.04224; Saad 2005.04352; V. Gherardi, E. Venturini, D.M. 2003.12525, 2008.09548; Bordone, Catà, Feldmann, Mandal 2010.03297; Crivellin et al. 2010.06593, 2101.07811; S. Trifinopoulos, E. Venturini, D.M. [2106.15630]; ETC...

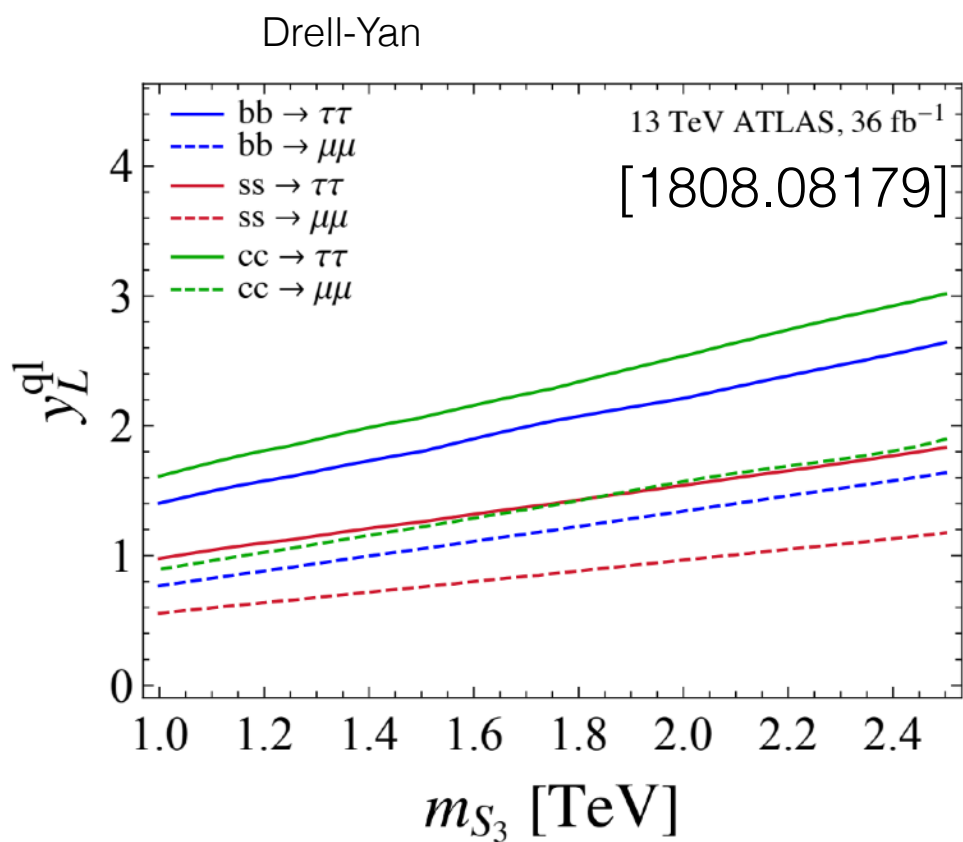
S₁ and S₃ - global analysis

Using the complete one-loop matching to SMEFT, we include in our analysis the following observables.

All these are used to build a **global likelihood**.

$$-2\log \mathcal{L} \equiv \chi^2(\lambda_x, M_x) = \sum_i \frac{(\mathcal{O}_i(\lambda_x, M_x) - \mu_i)^2}{\sigma_i^2}$$

Observable	Experimental bounds
Z boson couplings	App. A.12
$\delta g_{\mu L}^Z$	$(0.3 \pm 1.1)10^{-3}$ [99]
$\delta g_{\mu R}^Z$	$(0.2 \pm 1.3)10^{-3}$ [99]
$\delta g_{\tau L}^Z$	$(-0.11 \pm 0.61)10^{-3}$ [99]
$\delta g_{\tau R}^Z$	$(0.66 \pm 0.65)10^{-3}$ [99]
δg_{bL}^Z	$(2.9 \pm 1.6)10^{-3}$ [99]
δg_{cR}^Z	$(-3.3 \pm 5.1)10^{-3}$ [99]
N_ν	2.9963 ± 0.0074 [100]



Observable	SM prediction	Experimental bounds
$b \rightarrow s\ell\ell$ observables		[37]
$\Delta\mathcal{C}_9^{sb\mu\mu}$	0	-0.43 ± 0.09 [79]
$\mathcal{C}_9^{\text{univ}}$	0	-0.48 ± 0.24 [79]
$b \rightarrow c\tau(\ell)\nu$ observables		[37]
R_D	0.299 ± 0.003 [12]	$0.34 \pm 0.027 \pm 0.013$ [12]
R_D^*	0.258 ± 0.005 [12]	$0.295 \pm 0.011 \pm 0.008$ [12]
$P_\tau^{D^*}$	-0.488 ± 0.018 [80]	$-0.38 \pm 0.51 \pm 0.2 \pm 0.018$ [7]
F_L	0.470 ± 0.012 [80]	$0.60 \pm 0.08 \pm 0.038 \pm 0.012$ [81]
$\mathcal{B}(B_c^+ \rightarrow \tau^+\nu)$	2.3%	$< 10\%$ (95% CL) [82]
$R_D^{\mu/e}$	1	0.978 ± 0.035 [83, 84]
$b \rightarrow s\nu\nu$ and $s \rightarrow d\nu\nu$		[37]
R_K^ν	1 [85]	< 4.7 [86]
$R_{K^*}^\nu$	1 [85]	< 3.2 [86]
$b \rightarrow d\mu\mu$ and $b \rightarrow dee$		App. A.5
$\mathcal{B}(B^0 \rightarrow \mu\mu)$	$(1.06 \pm 0.09) \times 10^{-10}$ [87, 88]	$(1.1 \pm 1.4) \times 10^{-10}$ [89, 90]
$\mathcal{B}(B^+ \rightarrow \pi^+\mu\mu)$	$(2.04 \pm 0.21) \times 10^{-8}$ [87, 88]	$(1.83 \pm 0.24) \times 10^{-8}$ [89, 90]
$\mathcal{B}(B^0 \rightarrow ee)$	$(2.48 \pm 0.21) \times 10^{-15}$ [87, 88]	$< 8.3 \times 10^{-8}$ [51]
$\mathcal{B}(B^+ \rightarrow \pi^+ee)$	$(2.04 \pm 0.24) \times 10^{-8}$ [87, 88]	$< 8 \times 10^{-8}$ [51]
B LFV decays		[37]
$\mathcal{B}(B_d \rightarrow \tau^\pm\mu^\mp)$	0	$< 1.4 \times 10^{-5}$ [91]
$\mathcal{B}(B_s \rightarrow \tau^\pm\mu^\mp)$	0	$< 4.2 \times 10^{-5}$ [91]
$\mathcal{B}(B^+ \rightarrow K^+\tau^-\mu^+)$	0	$< 5.4 \times 10^{-5}$ [92]
$\mathcal{B}(B^+ \rightarrow K^+\tau^+\mu^-)$	0	$< 3.3 \times 10^{-5}$ [92] $< 4.5 \times 10^{-5}$ [93]

Observable	SM prediction	Experimental bounds
D leptonic decay		[37] and App. A.4
$\mathcal{B}(D_s \rightarrow \tau\nu)$	$(5.169 \pm 0.004) \times 10^{-2}$ [94]	$(5.48 \pm 0.23) \times 10^{-2}$ [51]
$\mathcal{B}(D^0 \rightarrow \mu\mu)$	$\approx 10^{-11}$ [95]	$< 7.6 \times 10^{-9}$ [96]
$\mathcal{B}(D^+ \rightarrow \pi^+\mu\mu)$	$\mathcal{O}(10^{-12})$ [97]	$< 7.4 \times 10^{-8}$ [98]
Rare Kaon decays ($\nu\nu$)		App. A.1
$\mathcal{B}(K^+ \rightarrow \pi^+\nu\nu)$	8.64×10^{-11} [99]	$(11.0 \pm 4.0) \times 10^{-11}$ [100]
$\mathcal{B}(K_L \rightarrow \pi^0\nu\nu)$	3.4×10^{-11} [99]	$< 3.6 \times 10^{-9}$ [101]
Rare Kaon decays ($\ell\ell$)		App. A.3 and A.2
$\mathcal{B}(K_L \rightarrow \mu\mu)_{SD}$	8.4×10^{-10} [102]	$< 2.5 \times 10^{-9}$ [76]
$\mathcal{B}(K_S \rightarrow \mu\mu)$	$(5.18 \pm 1.5) \times 10^{-12}$ [76, 103, 104]	$< 2.5 \times 10^{-10}$ [105]
$\mathcal{B}(K_L \rightarrow \pi^0\mu\mu)$	$(1.5 \pm 0.3) \times 10^{-11}$ [106]	$< 4.5 \times 10^{-10}$ [107]
$\mathcal{B}(K_L \rightarrow \pi^0ee)$	$(3.2^{+1.2}_{-0.8}) \times 10^{-11}$ [108]	$< 2.8 \times 10^{-10}$ [109]
LFV in Kaon decays		App. A.3 and A.2
$\mathcal{B}(K_L \rightarrow \mu e)$	0	$< 4.7 \times 10^{-12}$ [110]
$\mathcal{B}(K^+ \rightarrow \pi^+\mu^-e^+)$	0	$< 7.9 \times 10^{-11}$ [111]
$\mathcal{B}(K^+ \rightarrow \pi^+e^-\mu^+)$	0	$< 1.5 \times 10^{-11}$ [112]
CP-violation		App. A.8
ϵ'_K/ϵ_K	$(15 \pm 7) \times 10^{-4}$ [113]	$(16.6 \pm 2.3) \times 10^{-4}$ [51]

Observable	SM prediction	Experimental bounds
$\Delta F = 2$ processes		[37]
$B^0 - \bar{B}^0: C_{B_d}^1 $	0	$< 9.1 \times 10^{-7}$ TeV ⁻² [114, 115]
$B_s^0 - \bar{B}_s^0: C_{B_s}^1 $	0	$< 2.0 \times 10^{-5}$ TeV ⁻² [114, 115]
$K^0 - \bar{K}^0: \text{Re}[C_K^1]$	0	$< 8.0 \times 10^{-7}$ TeV ⁻² [114, 115]
$K^0 - \bar{K}^0: \text{Im}[C_K^1]$	0	$< 3.0 \times 10^{-9}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Re}[C_D^1]$	0	$< 3.6 \times 10^{-7}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Im}[C_D^1]$	0	$< 2.2 \times 10^{-8}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Re}[C_D^4]$	0	$< 3.2 \times 10^{-8}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Im}[C_D^4]$	0	$< 1.2 \times 10^{-9}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Re}[C_D^5]$	0	$< 2.7 \times 10^{-7}$ TeV ⁻² [114, 115]
$D^0 - \bar{D}^0: \text{Im}[C_D^5]$	0	$< 1.1 \times 10^{-8}$ TeV ⁻² [114, 115]
LFU in τ decays		[37]
$ g_\mu/g_e ^2$	1	1.0036 ± 0.0028 [116]
$ g_\tau/g_\mu ^2$	1	1.0022 ± 0.0030 [116]
$ g_\tau/g_e ^2$	1	1.0058 ± 0.0030 [116]
LFV observables		[37]
$\mathcal{B}(\tau \rightarrow \mu\phi)$	0	$< 1.00 \times 10^{-7}$ [117]
$\mathcal{B}(\tau \rightarrow 3\mu)$	0	$< 2.5 \times 10^{-8}$ [118]
$\mathcal{B}(\tau \rightarrow \mu\gamma)$	0	$< 5.2 \times 10^{-8}$ [119]
$\mathcal{B}(\tau \rightarrow e\gamma)$	0	$< 3.9 \times 10^{-8}$ [119]
$\mathcal{B}(\mu \rightarrow e\gamma)$	0	$< 5.0 \times 10^{-13}$ [120]
$\mathcal{B}(\mu \rightarrow 3e)$	0	$< 1.2 \times 10^{-12}$ [121]
$\mathcal{B}_{\mu e}^{(\text{Ti})}$	0	$< 5.1 \times 10^{-12}$ [122]
$\mathcal{B}_{\mu e}^{(\text{Au})}$	0	$< 8.3 \times 10^{-13}$ [123]
EDMs		[37]
$ d_e $	$< 10^{-44}$ e · cm [124, 125]	$< 1.3 \times 10^{-29}$ e · cm [126]
$ d_\mu $	$< 10^{-42}$ e · cm [125]	$< 1.9 \times 10^{-19}$ e · cm [127]
d_τ	$< 10^{-41}$ e · cm [125]	$(1.15 \pm 1.70) \times 10^{-17}$ e · cm [37]
d_n	$< 10^{-33}$ e · cm [128]	$< 2.1 \times 10^{-26}$ e · cm [129]
Anomalous Magnetic Moments		[37]
$a_e - a_e^{SM}$	$\pm 2.3 \times 10^{-13}$ [130, 131]	$(-8.9 \pm 3.6) \times 10^{-13}$ [132]
$a_\mu - a_\mu^{SM}$	$\pm 43 \times 10^{-11}$ [42]	$(279 \pm 76) \times 10^{-11}$ [40, 42]
$a_\tau - a_\tau^{SM}$	$\pm 3.9 \times 10^{-8}$ [130]	$(-2.1 \pm 1.7) \times 10^{-7}$ [133]

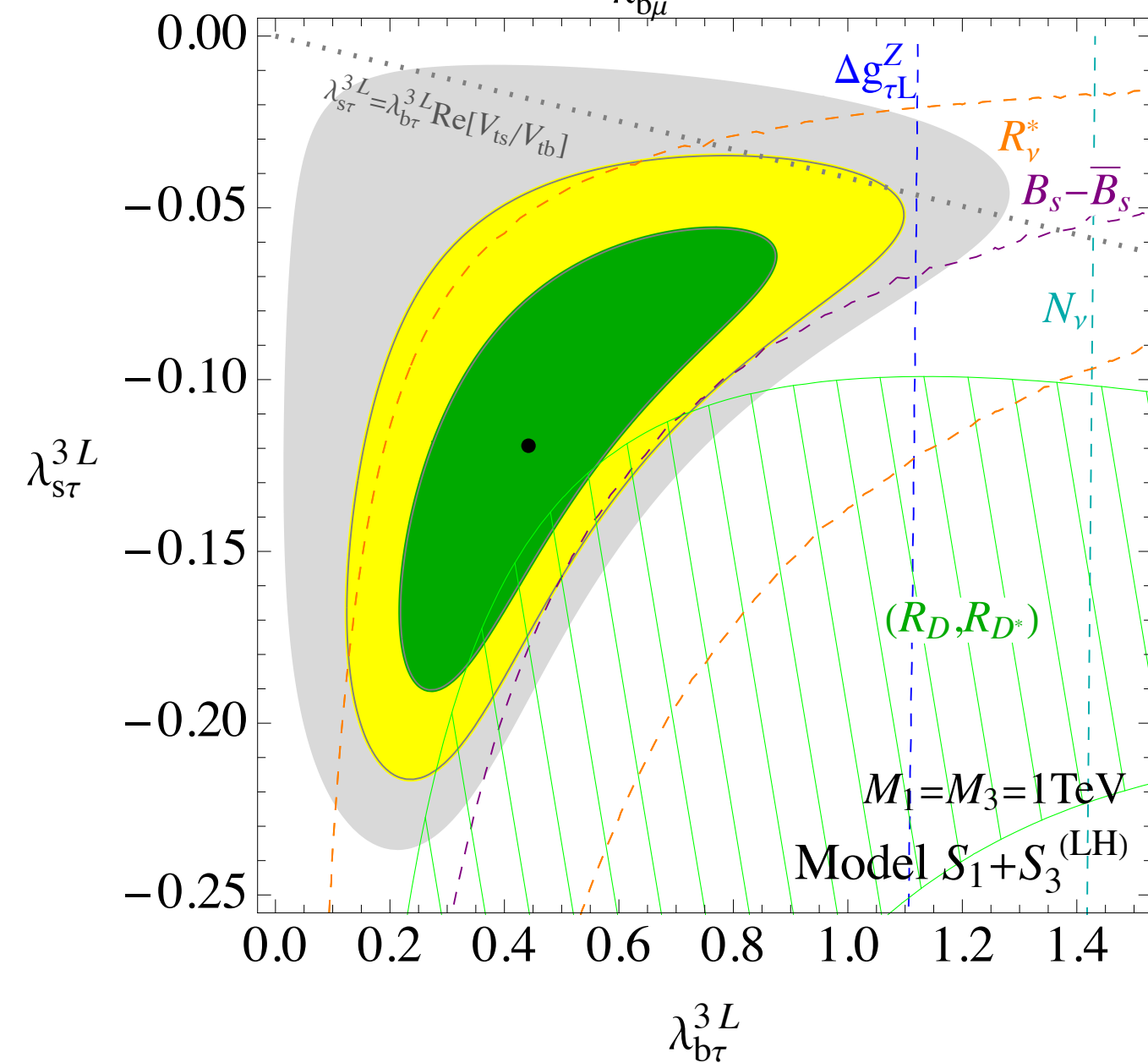
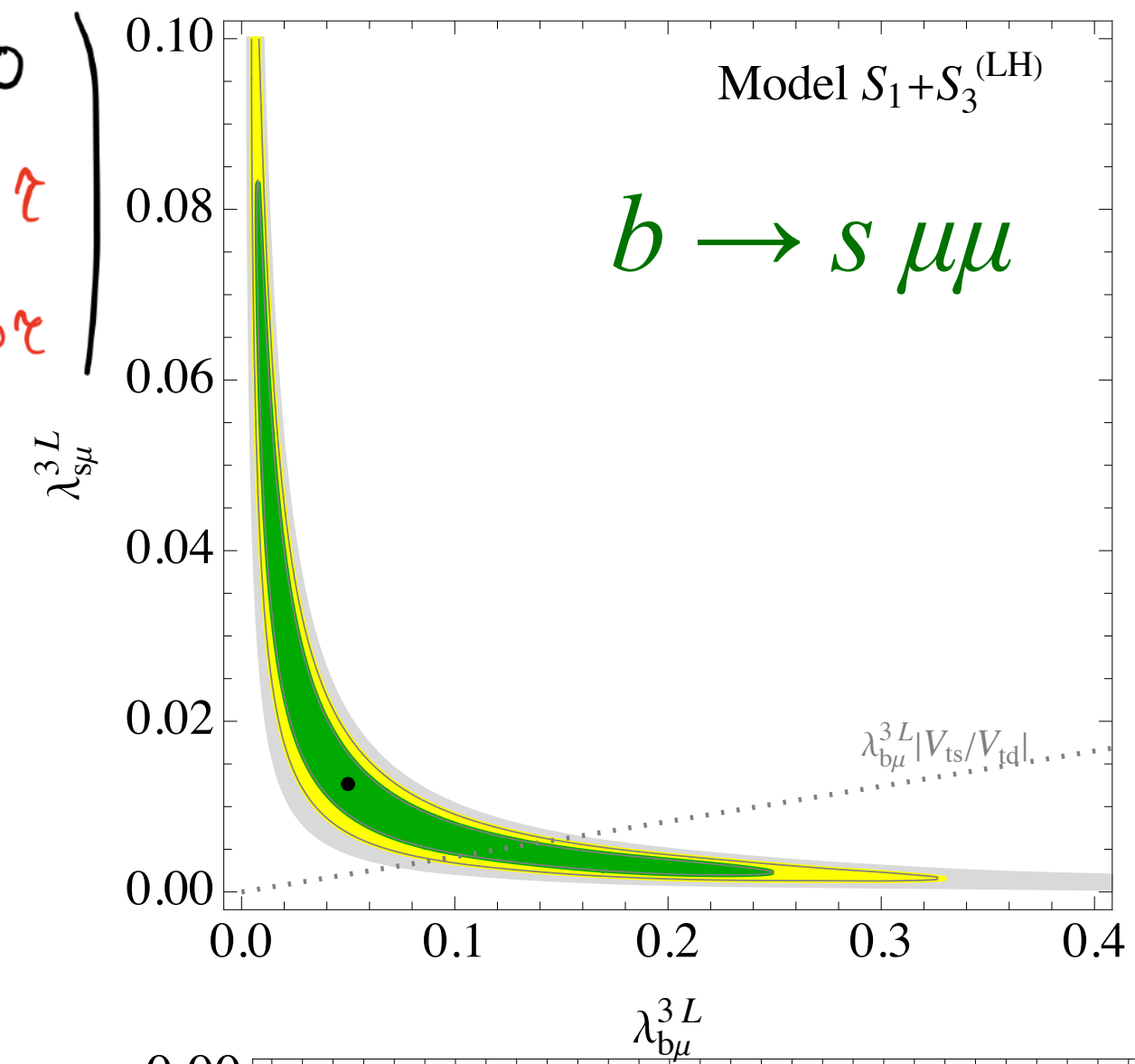
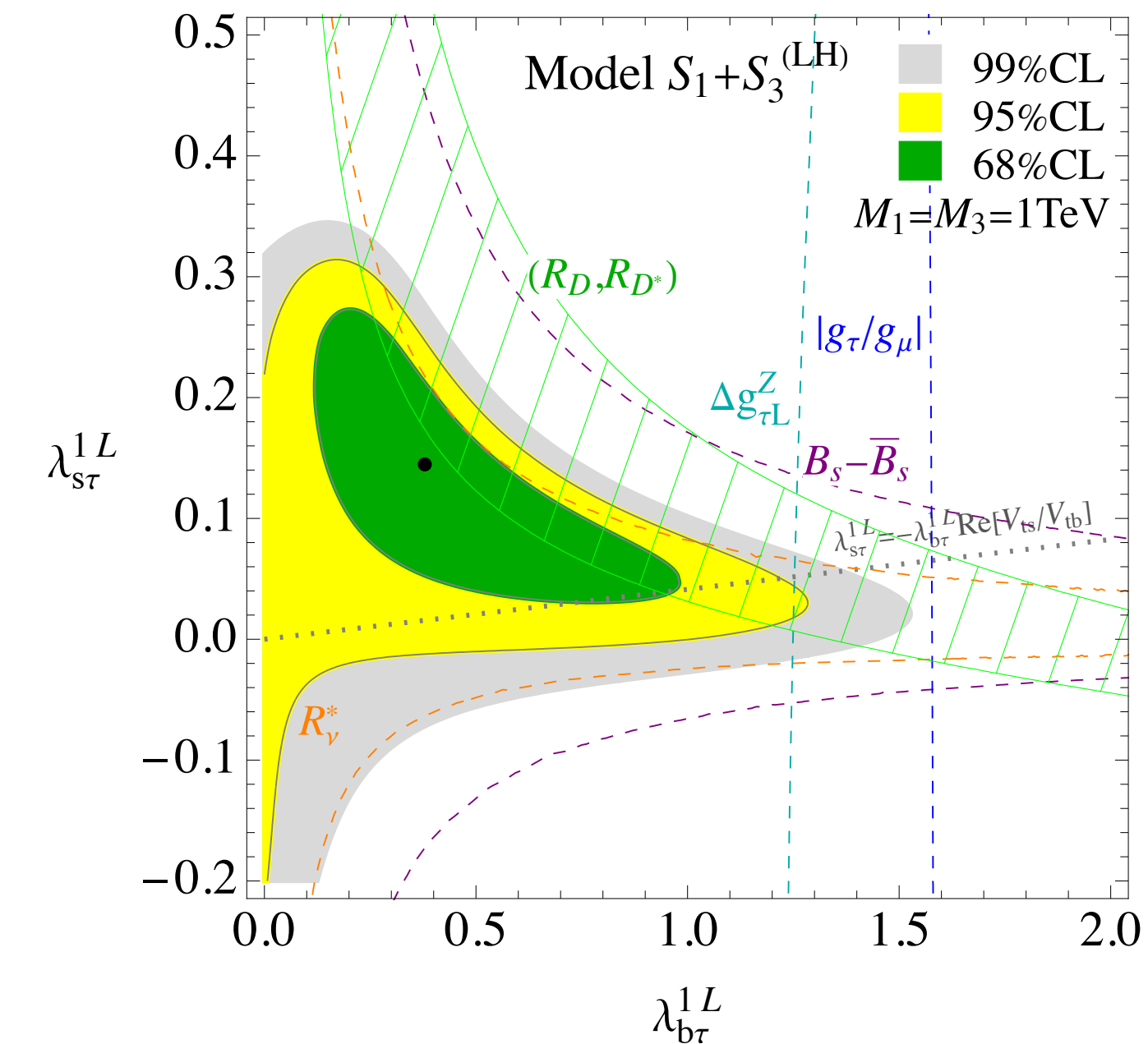
S_1 and S_3 — only LH couplings

$$\lambda^{1L} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & s\tau \\ 0 & 0 & b\tau \end{pmatrix} \quad \lambda^{3L} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & s\mu & s\tau \\ 0 & b\mu & b\tau \end{pmatrix}$$

$\lambda^{1R} = \mathbf{0} \rightarrow$ Cannot fit $(g-2)_\mu$

(see backup slides for a S_1+S_3 scenario that addresses also the muon magnetic moment)

$R(D^*)$



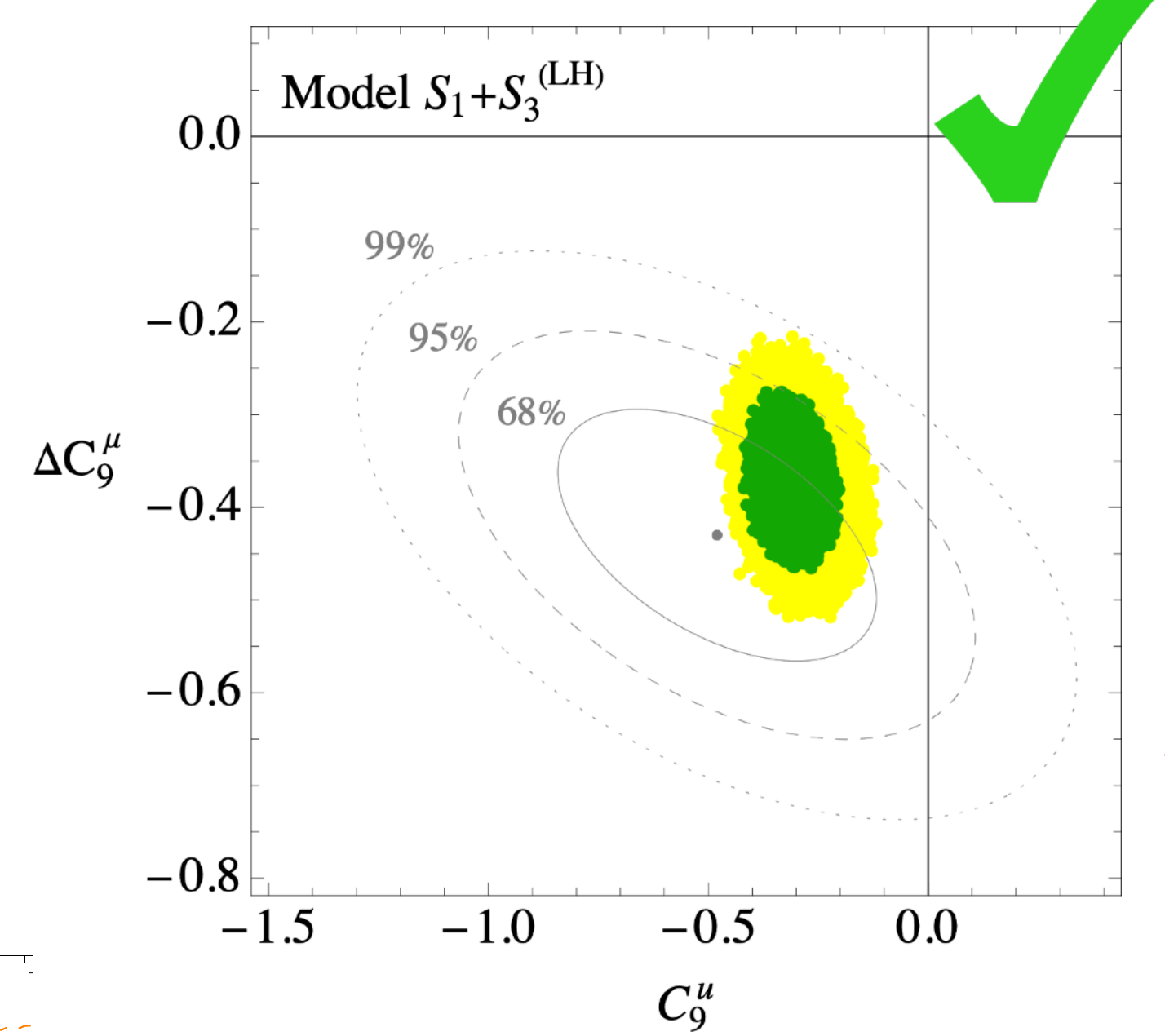
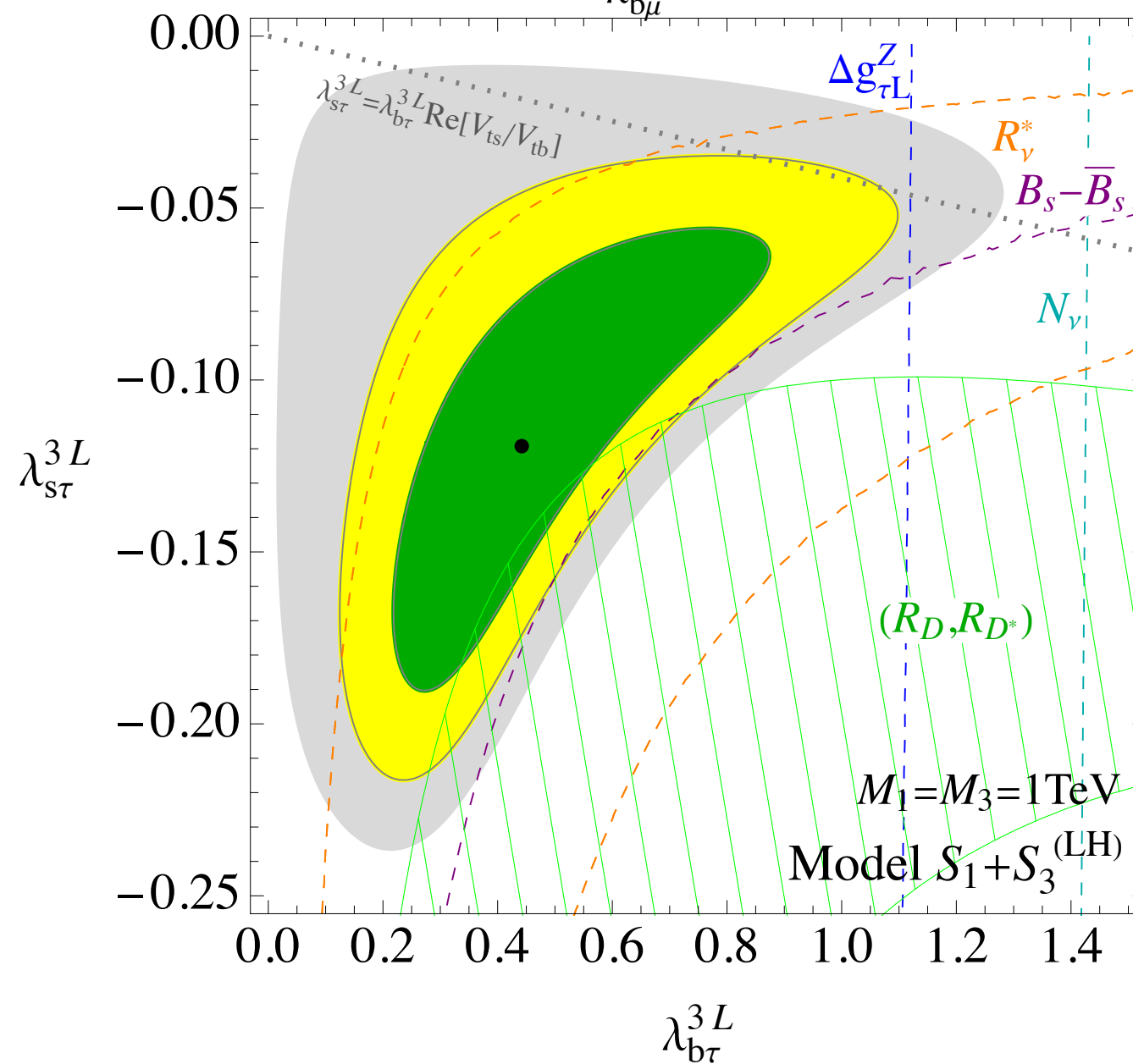
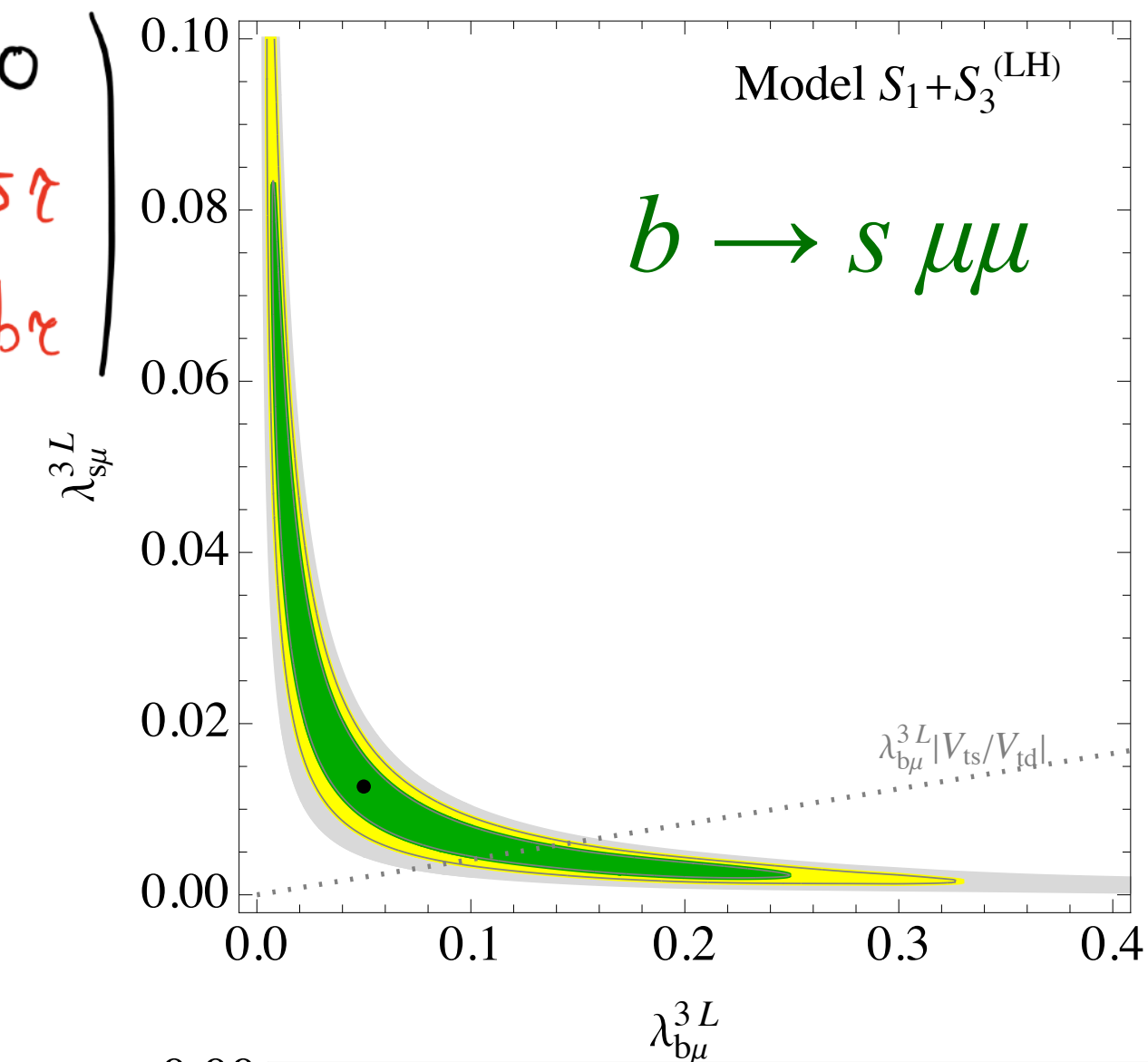
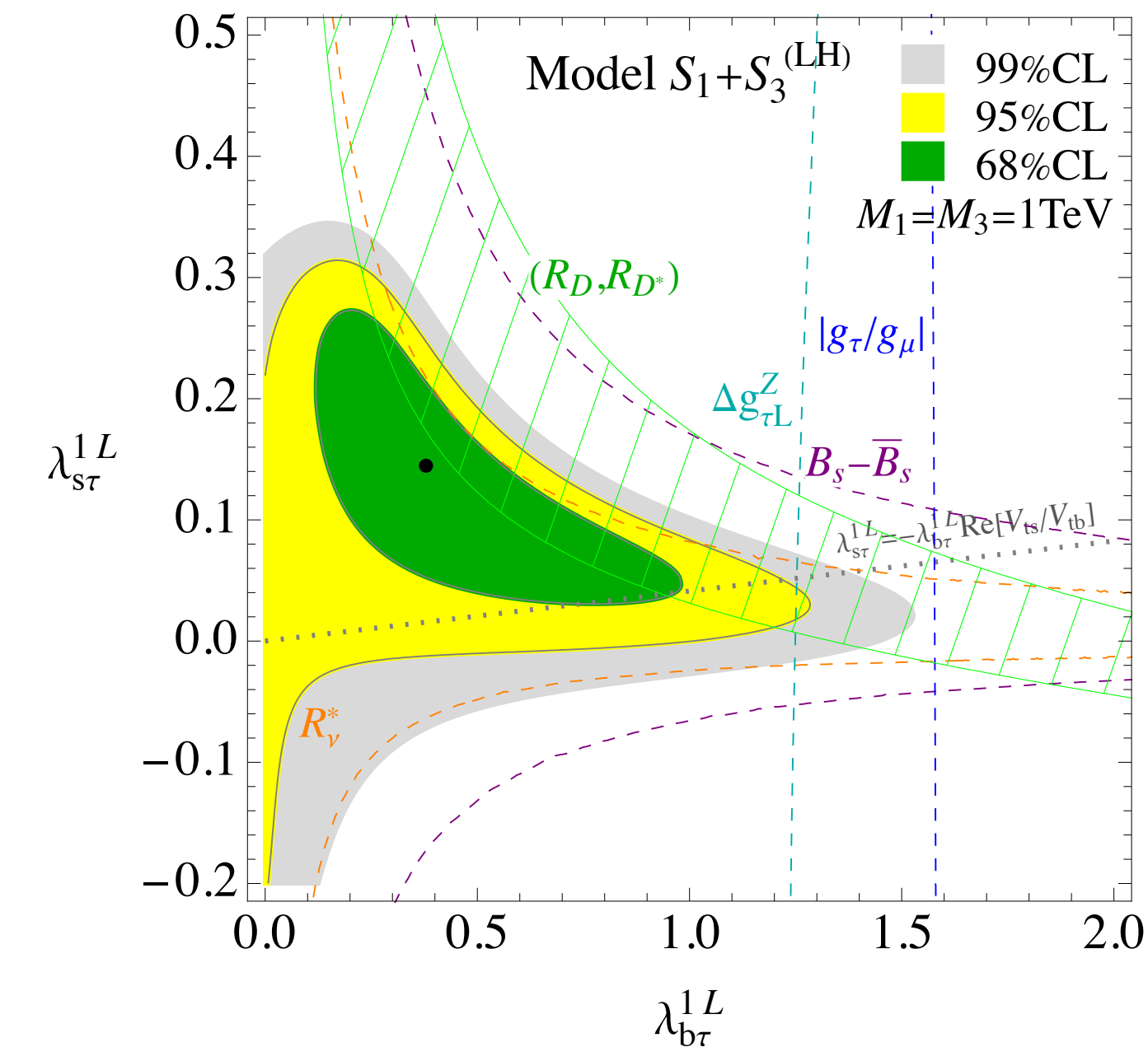
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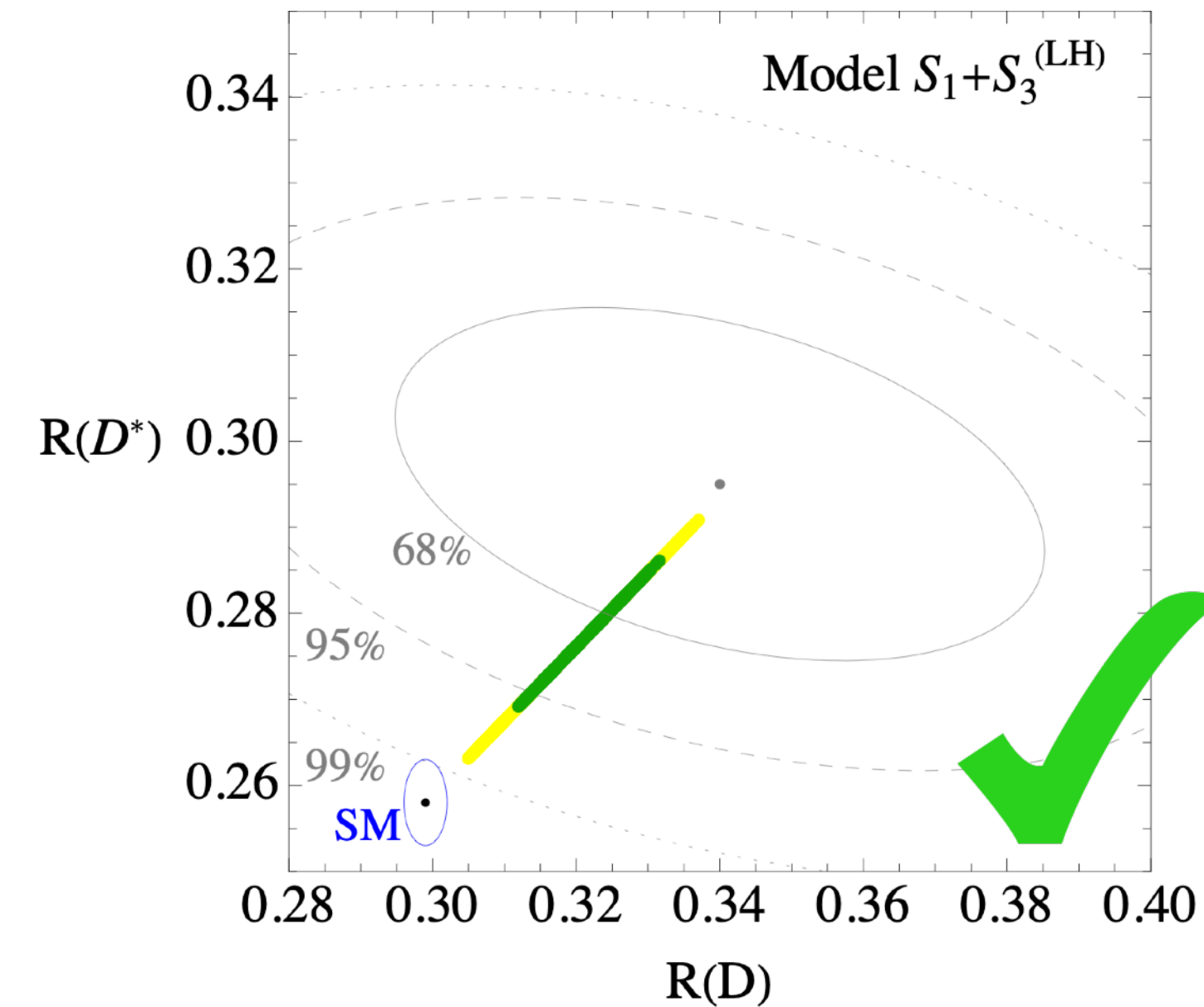
$\lambda^{1R} = 0 \rightarrow$ Cannot fit $(g-2)_\mu$

(see backup slides for a S_1+S_3 scenario that addresses also the muon magnetic moment)

$R(D^{(*)})$



very good fit of B-anomalies



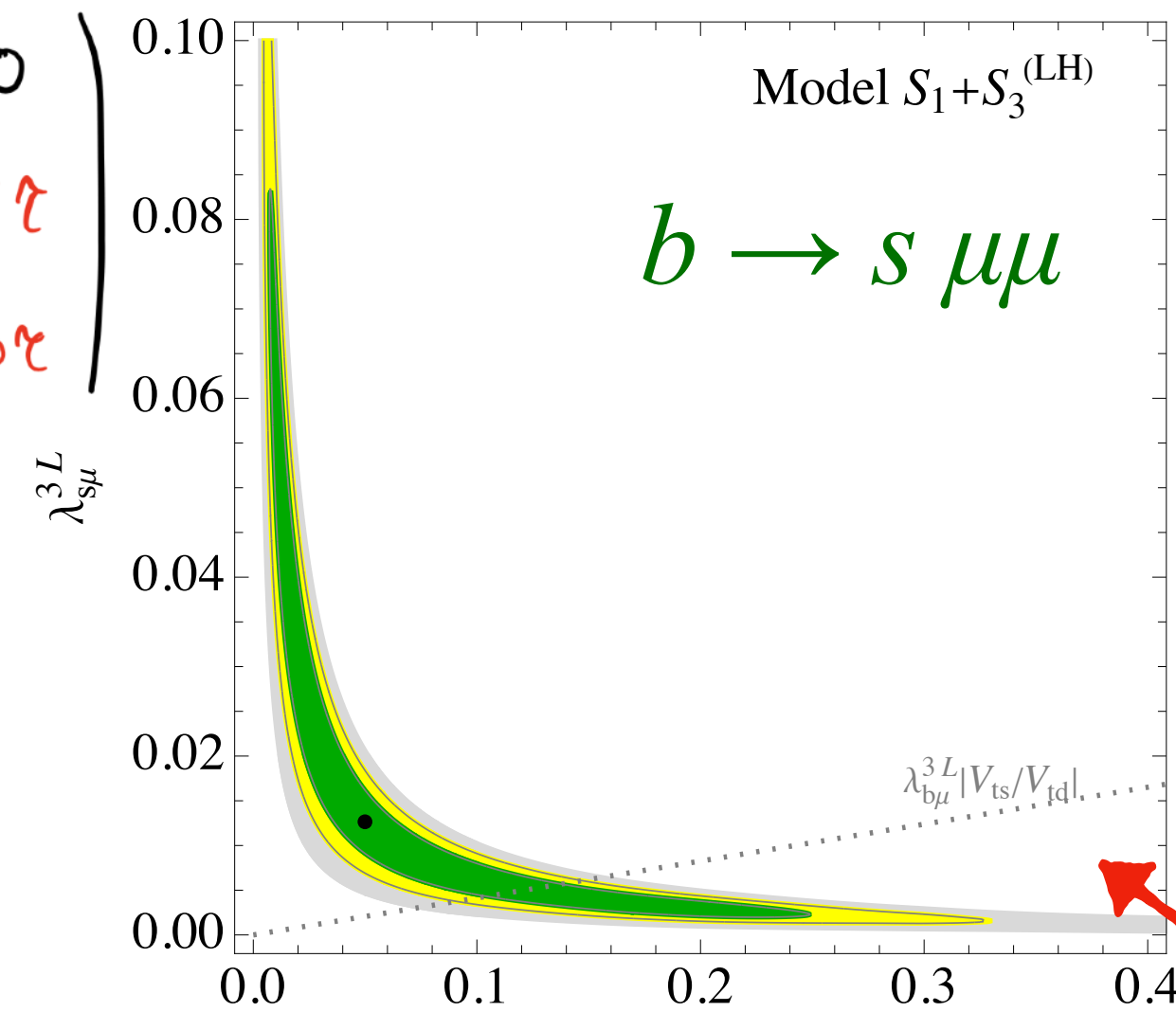
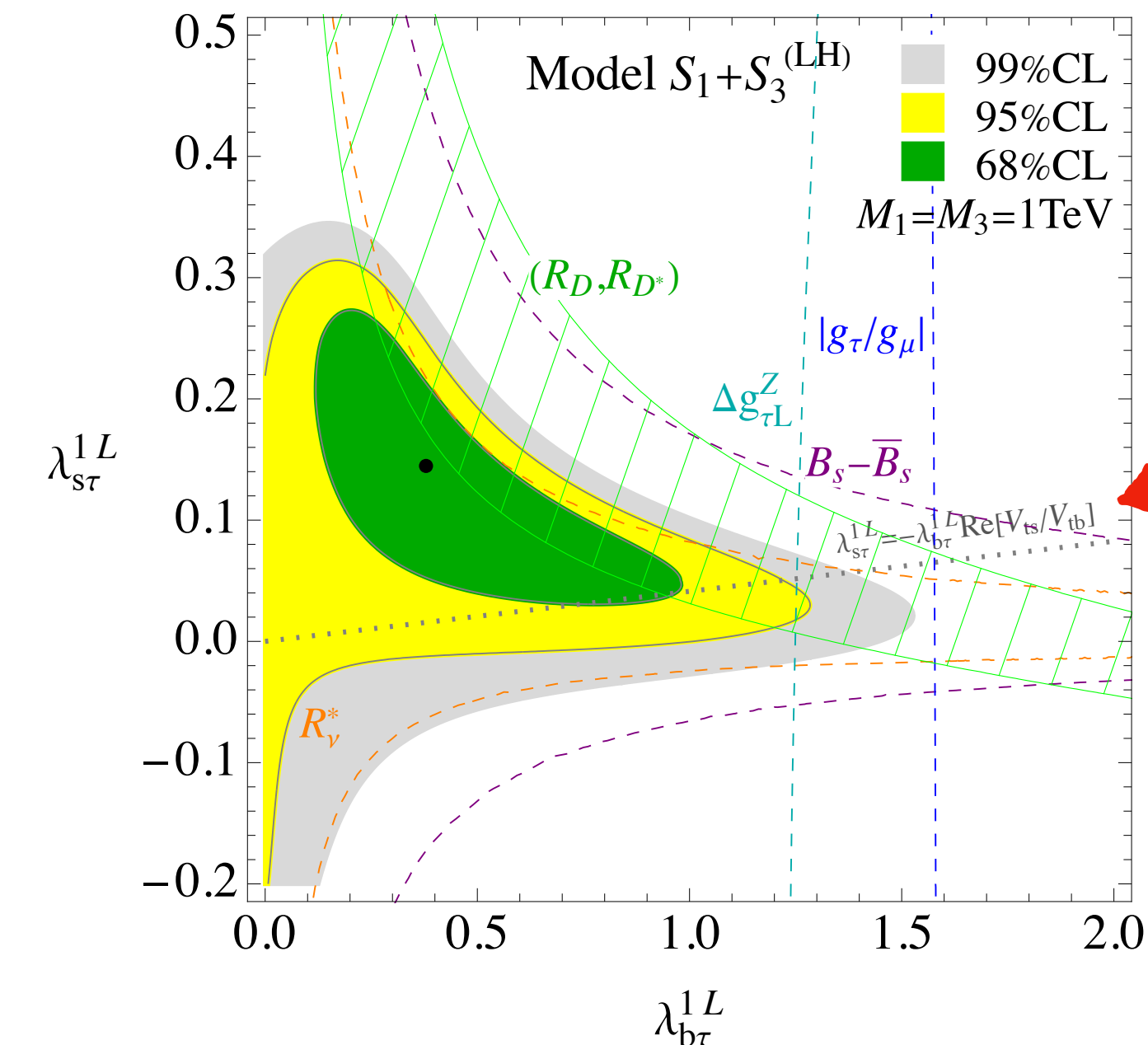
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$R(D^*)$



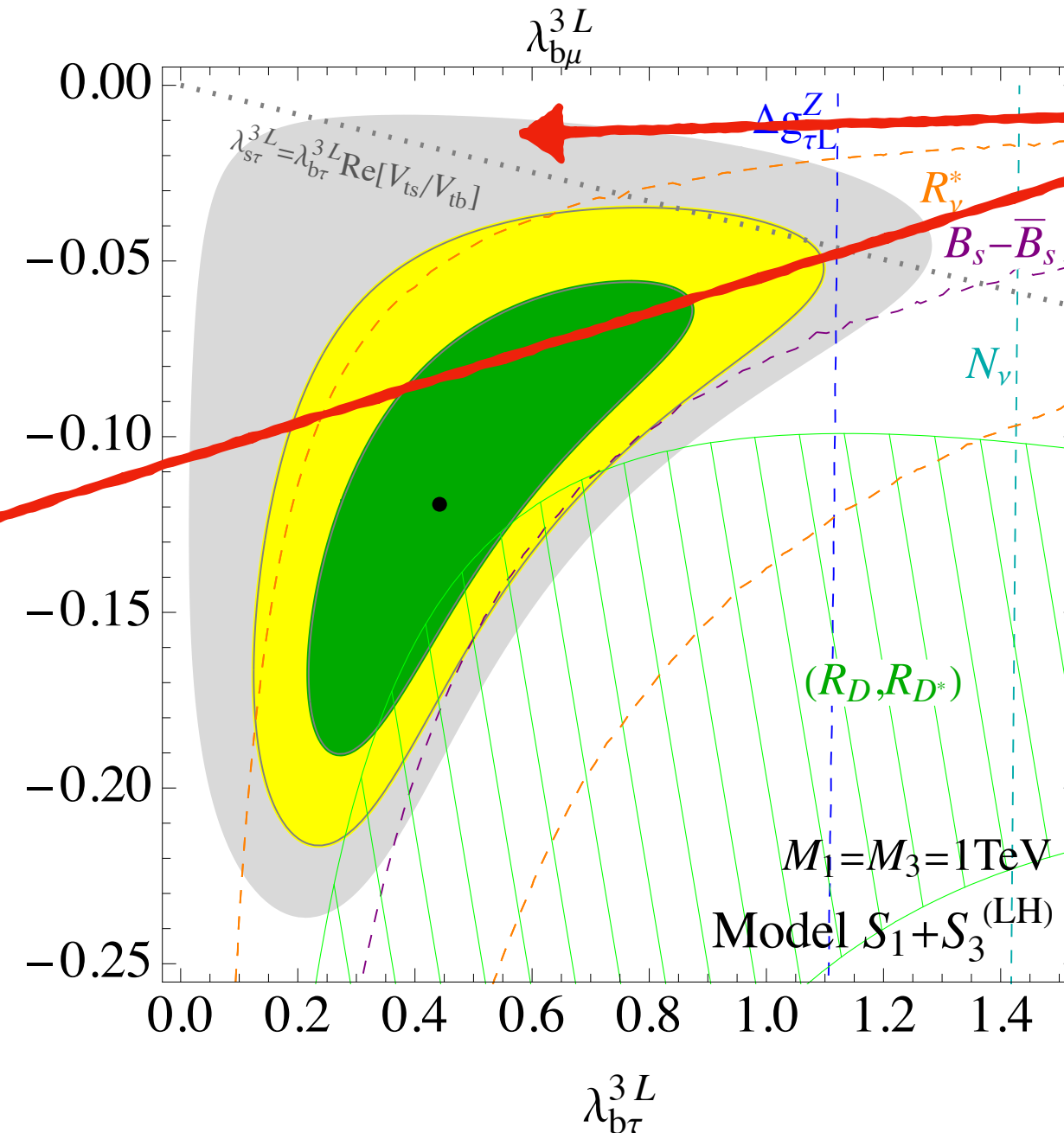
The relation between couplings to s -quark and b -quark is compatible with a $U(2)^5$ flavour symmetry, that would predict:

$$\lambda_{s\alpha} = c_{U(2)} V_{ts} \lambda_{b\alpha}$$

$c_{U(2)} = 1$

$$c_{U(2)} \sim \mathcal{O}(1) \quad \text{e.g. } 3 - 5$$

See also Buttazzo, Greljo, Isidori, D.M. 1706.07808



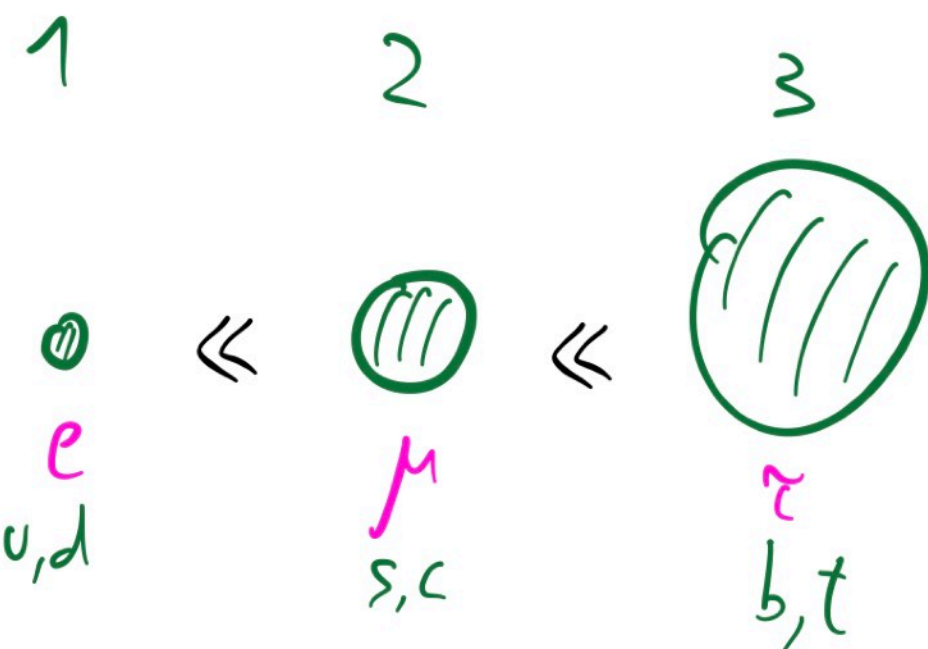
A hint for a flavor structure: $U(2)^5$

In first approximation only the 3rd generation couples to the Higgs.

In this case the theory enjoys a $U(2)^5$ **global symmetry**

$$G_F = U(2)_q \times U(2)_\ell \times U(2)_u \times U(2)_d \times U(2)_e$$

Barbieri et al. [1105.2296, 1203.4218, 1211.5085]



The **minimal breaking** of this symmetry to reproduce the SM Yukawas is described by a set of **spurions**:

$$Y_{u,d} \sim \begin{pmatrix} \boxed{\Delta_{u,d}} & \boxed{V_q} \\ 0 & 0 & \textcircled{1} \end{pmatrix} \quad Y_e \sim \begin{pmatrix} \boxed{\Delta_e} & \boxed{V_\ell} \\ 0 & 0 & \textcircled{1} \end{pmatrix}$$

Diagonalizing quark masses, the **V_q doublet spurion is fixed** to be $\mathbf{V}_q = \kappa_q (V_{td}^*, V_{ts}^*)^T$
See also Fuentes-Martin, Isidori, Pagès, Yamamoto [1909.02519] $\kappa_q \sim O(1)$

$U(2)^5$ flavour symmetry and leptoquarks

Applying the same symmetry assumptions to the leptoquark couplings to SM fermions we get a structure:

$$\lambda^{1(3)L} \sim \left(\begin{array}{c} \boxed{V_q^*} \times \boxed{V_\ell} \quad \boxed{V_q^*} \\ \boxed{V_\ell} \quad \textcircled{1} \end{array} \right) \quad \text{i.e.} \quad \lambda^{1(3)L} = \lambda^{1(3)} \left(\begin{array}{ccc} \text{e}_L & \mu_L & \tau_L \\ X_{q\ell}^{1(3)} \zeta_e V_\ell V_{td} & X_{q\ell}^{1(3)} V_\ell V_{td} & X_q^{1(3)} V_{td} \\ X_{q\ell}^{1(3)} \zeta_e V_\ell V_{ts} & X_{q\ell}^{1(3)} V_\ell V_{ts} & X_q^{1(3)} V_{ts} \\ X_\ell^{1(3)} \zeta_e V_\ell & X_\ell^{1(3)} V_\ell & 1 \end{array} \right) \begin{array}{l} \text{d}_L \\ \text{s}_L \\ \text{b}_L \end{array}$$

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V_ℓ : leptonic doublet spurion

$s_e = \sin \vartheta_e$: rotation diagonalizing electrons and muon masses

$x^{1(3)}$: $O(1)$ arbitrary complex parameters.

} **Arbitrary parameters**

$U(2)^5$ flavour symmetry and leptoquarks

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$\textcolor{blue}{V_\ell}$: leptonic doublet spurion

$\textcolor{blue}{s_e} = \sin \vartheta_e$: rotation diagonalizing electrons and muon masses

$\textcolor{black}{x}^{1(3)}$: $\mathbf{O(1)}$ arbitrary complex parameters.

} **Arbitrary parameters**

The leptoquark **couplings to first generations**
are now **fixed** in terms of couplings
to the second generation:

$$\lambda_{d\alpha}^{1(3)L} = \lambda_{s\alpha}^{1(3)L} \frac{V_{td}}{V_{ts}}$$

$$\lambda_{ie}^{1(3)L} = \lambda_{i\mu}^{1(3)L} \sin \vartheta_e$$

**Exact relations
(selection rules)**

We can now **correlate Kaon physics** observables **to B-anomalies**!

Global analysis with $U(2)^5$

S. Trifinopoulos, E. Venturini, D.M. [[2106.15630](#)]

We perform a **global fit in the $U(2)^5$** flavour structure.

The parameters are consistent with the symmetry: **all x 's are $O(1)$** , $V_\ell \sim 0.1$, $|s_e| \lesssim 0.02$

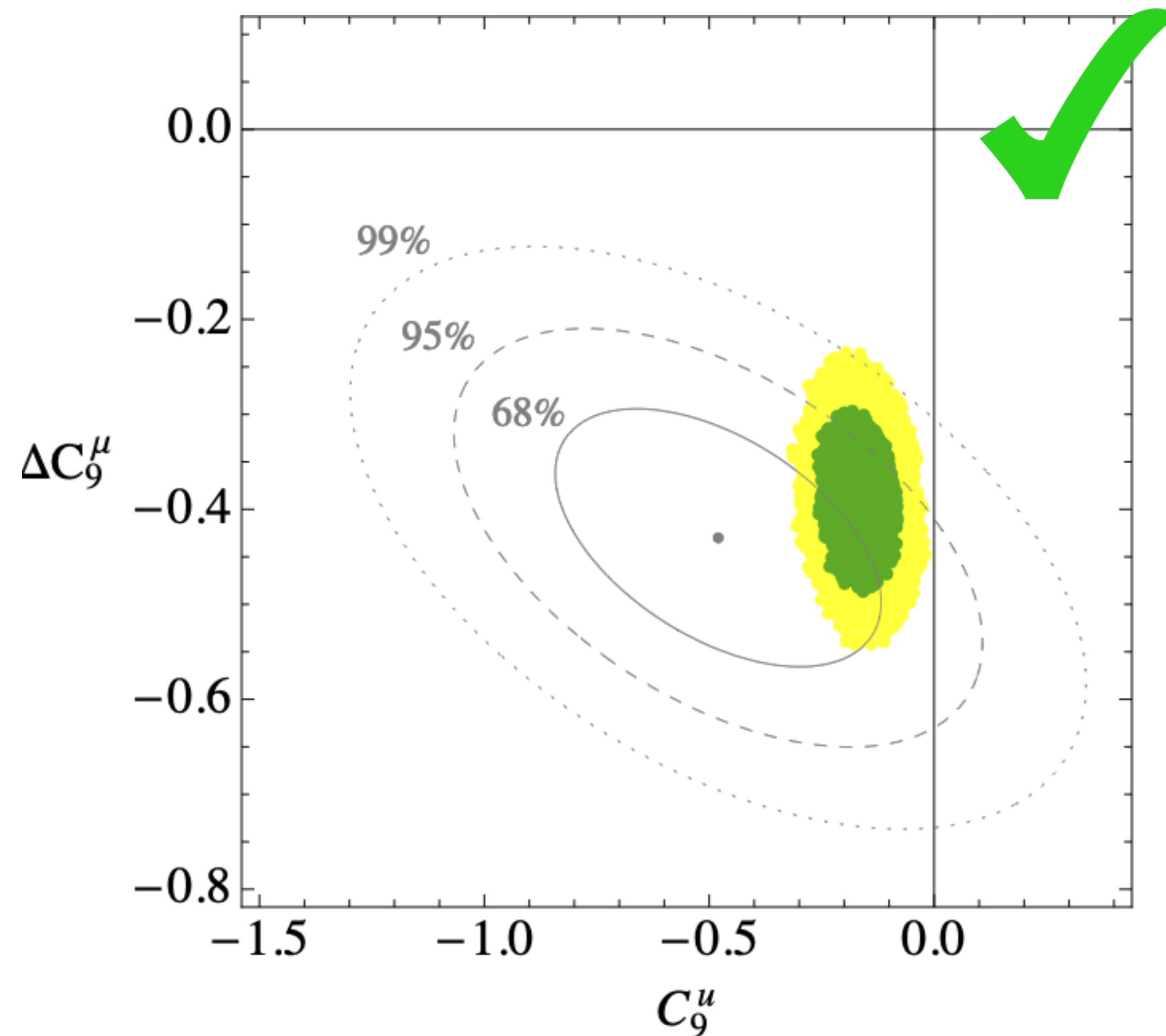
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$b \rightarrow s\mu\mu$ can be addressed:



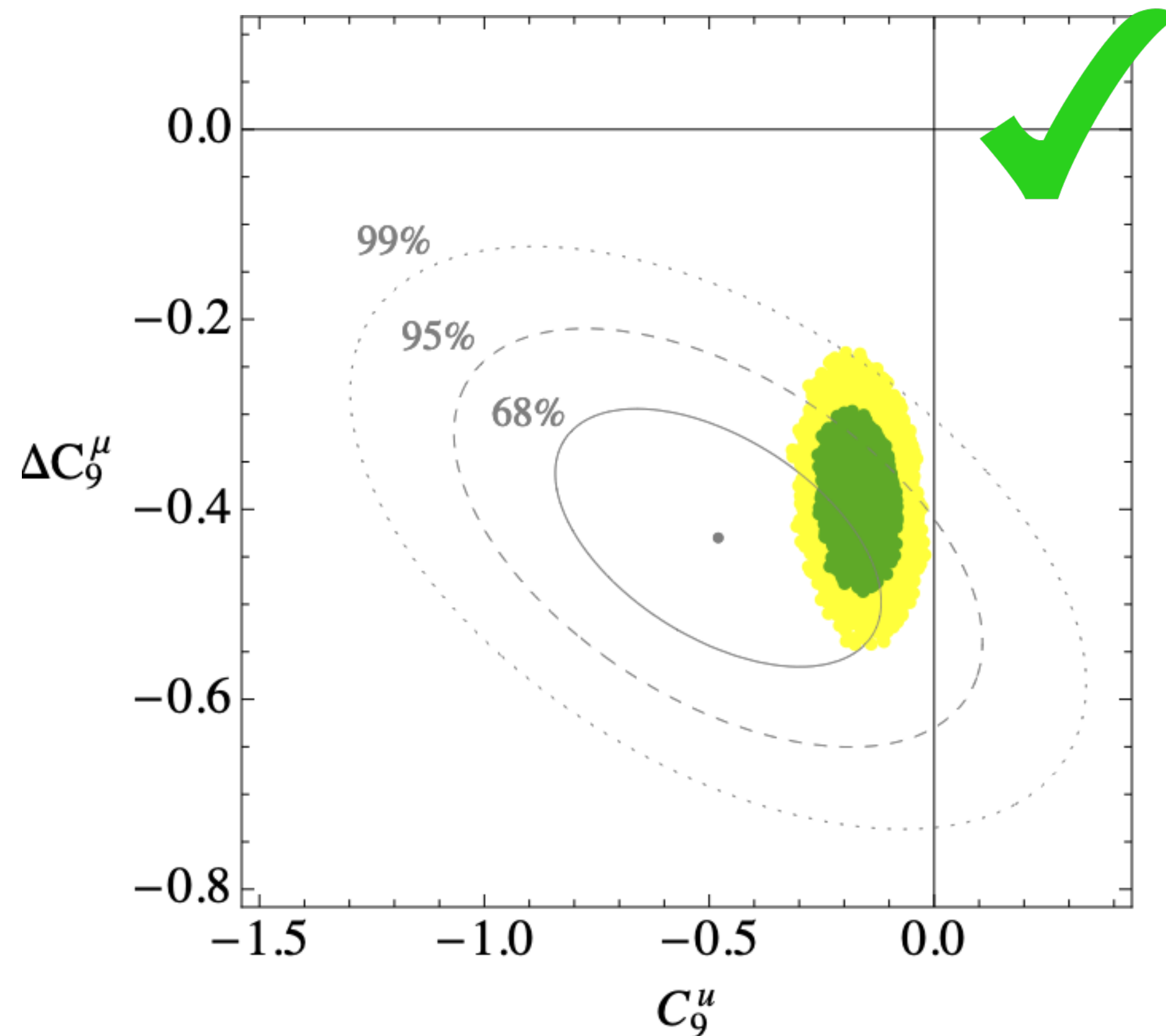
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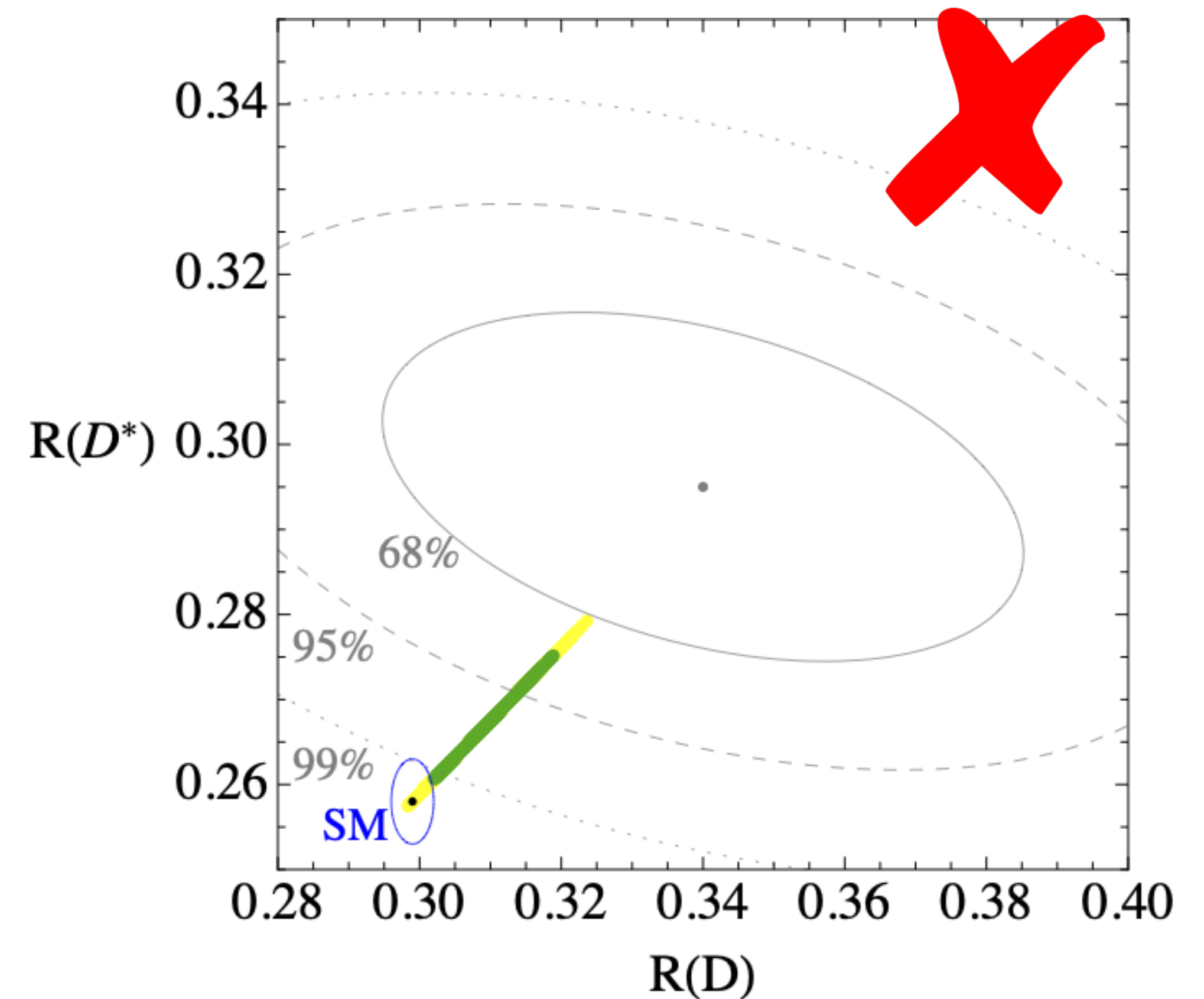
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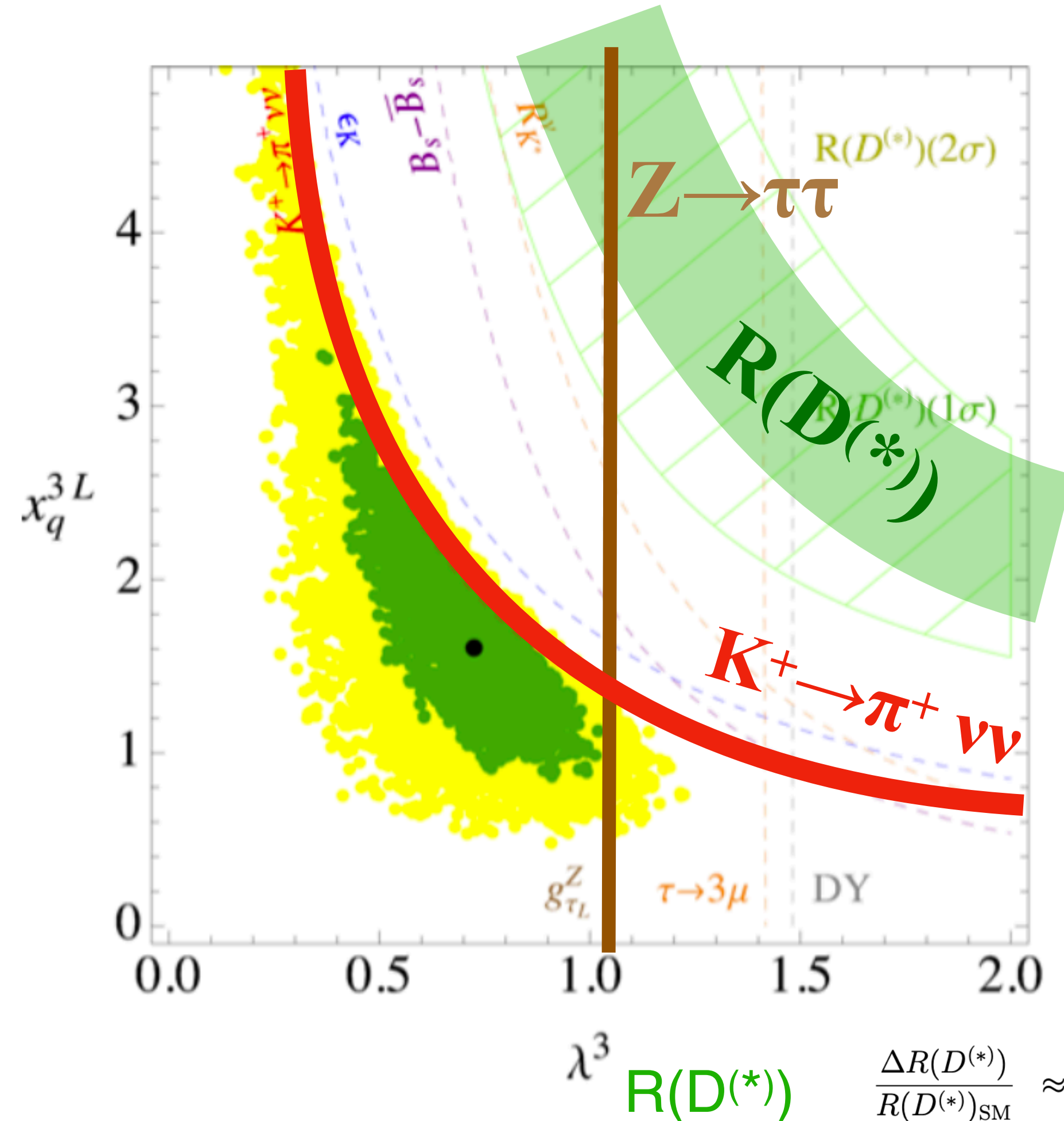
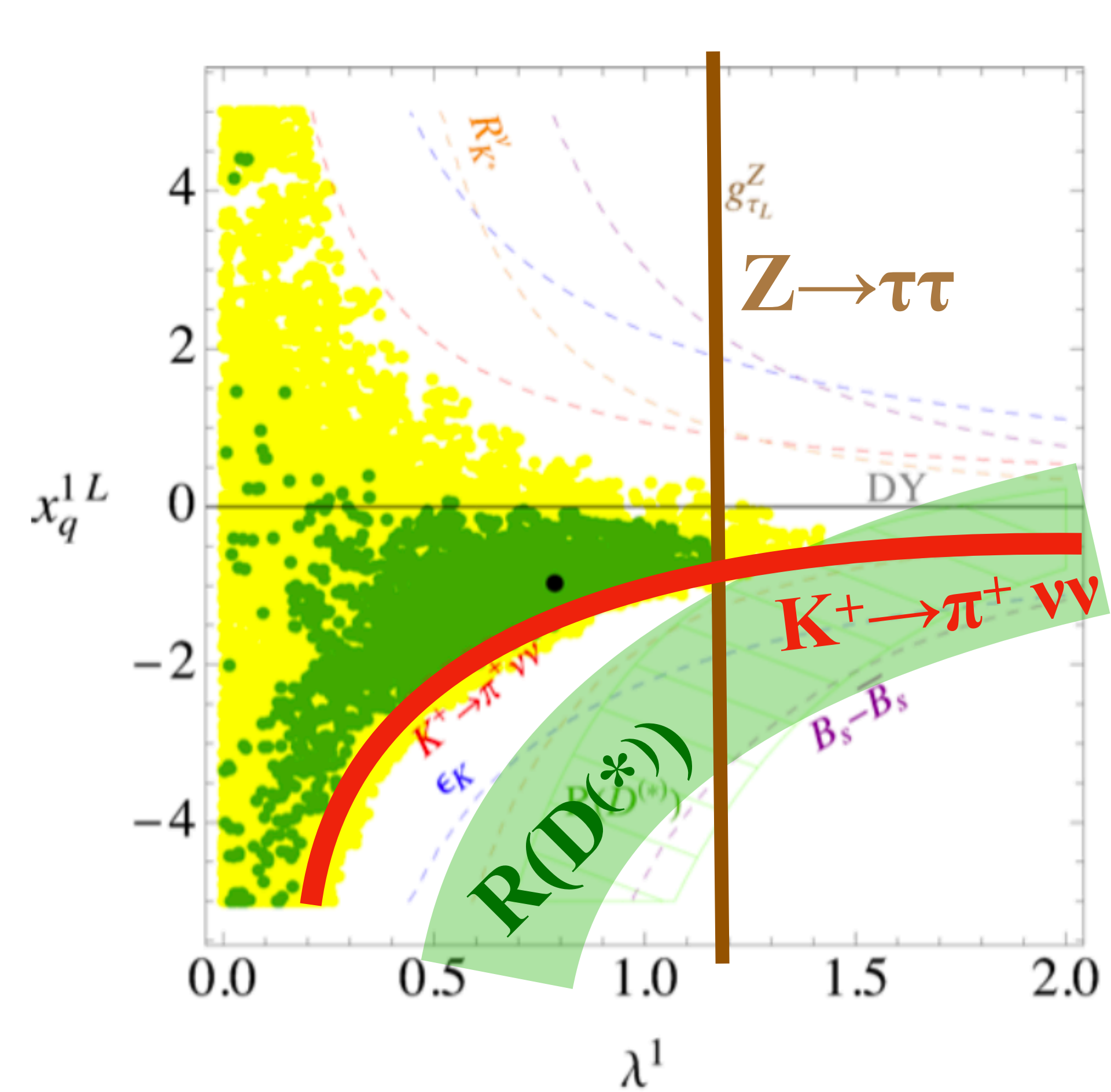


$R(D^{(*)})$ instead can only be addressed at 2σ :



Global analysis with $U(2)^5$

This is due to the **combination** of the **constraints from $Z \rightarrow \tau\tau$ and $K^+ \rightarrow \pi^+ \nu\nu$**

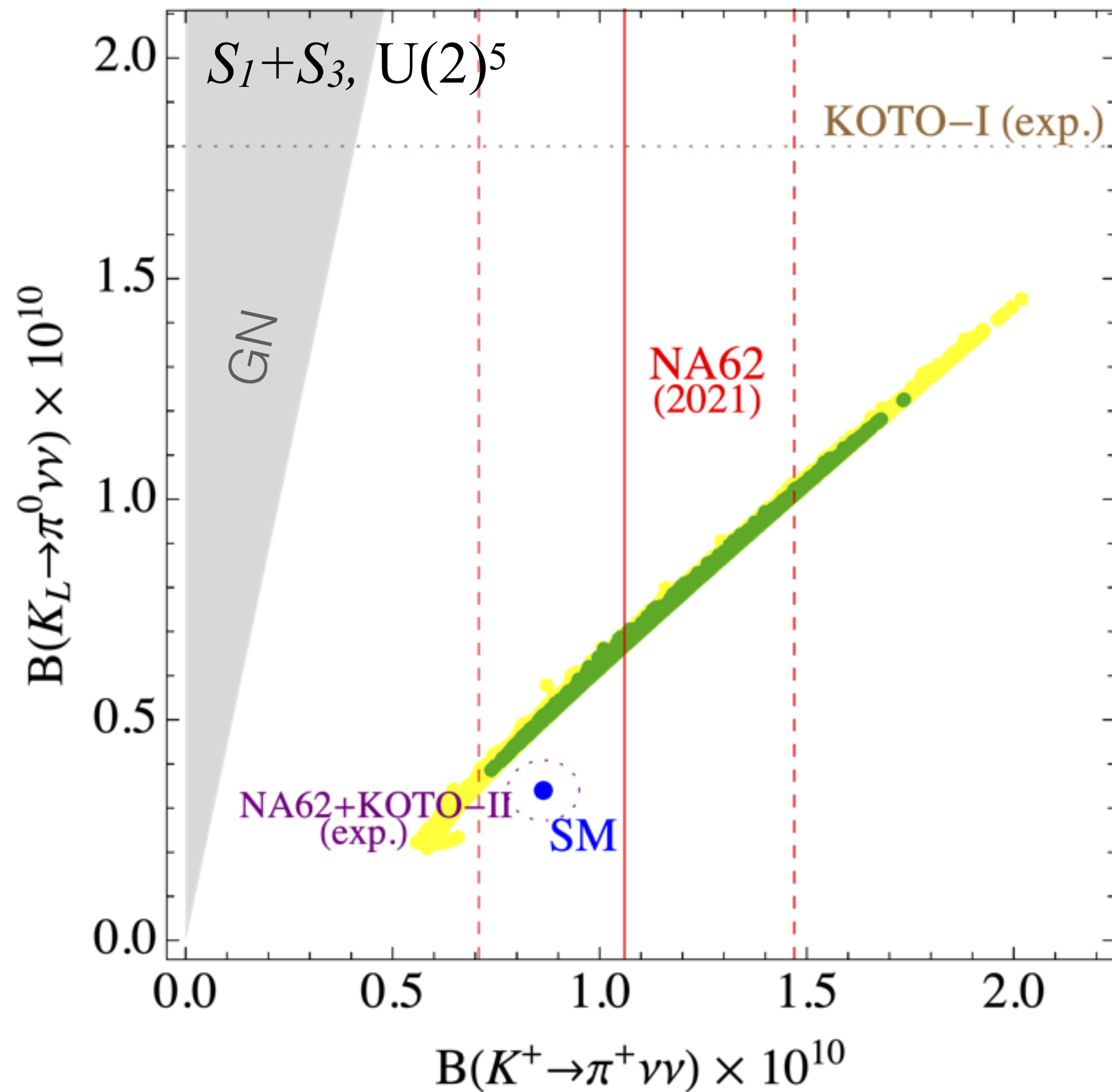


$$R(D^{(*)}) \quad \frac{\Delta R(D^{(*)})}{R(D^{(*)})_{\text{SM}}} \approx v^2 \left(1.09 \frac{|\lambda^1|^2 (1 - x_q^{1*} V_{tb}^*)}{2M_1^2} - 1.02 \frac{|\lambda^3|^2 (1 - x_q^{3*} V_{tb}^*)}{2M_3^2} \right)$$

$$K^+ \rightarrow \pi^+ \nu\nu \quad C_{S\ell\nu_\ell\nu_\ell} \approx V_{td}^* V_{ts} \left(\frac{|\lambda^1|^2 |x_q^1|^2}{2M_1^2} + \frac{|\lambda^3|^2 |x_q^3|^2}{2M_3^2} \right)$$

$$Z \rightarrow \tau\tau \quad 10^3 \delta g_{\tau_L}^Z \approx 0.59 \frac{|\lambda^1|^2}{M_1^2 / \text{TeV}^2} + 0.80 \frac{|\lambda^1|^2}{M_1^2 / \text{TeV}^2}$$

Leading effect in Kaon physics



$$K \rightarrow \pi \nu \nu$$

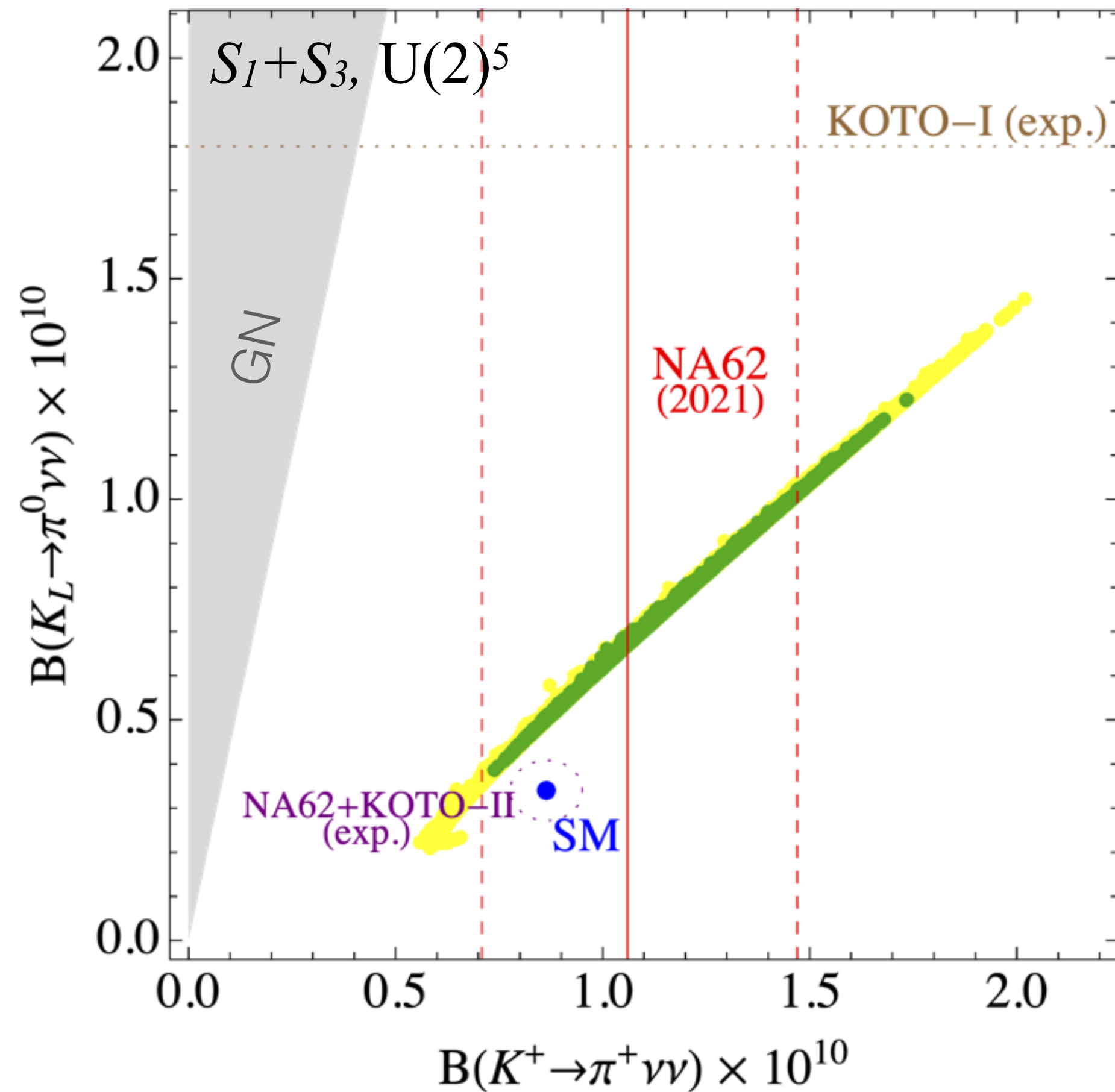
Dominated by **tau neutrinos**, due to largest couplings.

The **NA62 bound is already very constraining** for this setup, future updated will put even more tension with $R(D^{(*)})$, or eventually a signal could be observed.

The correlation in the full model is stronger than just in EFT.

[see: Bordone, Buttazzo, Isidori, Monnard [1705.10729],
Borsato, Gligorov, Guadagnoli, Martinez Santos, Sumensari [1808.02006], Fajfer, Kosnik, Vale-Silva [1802.00786]]

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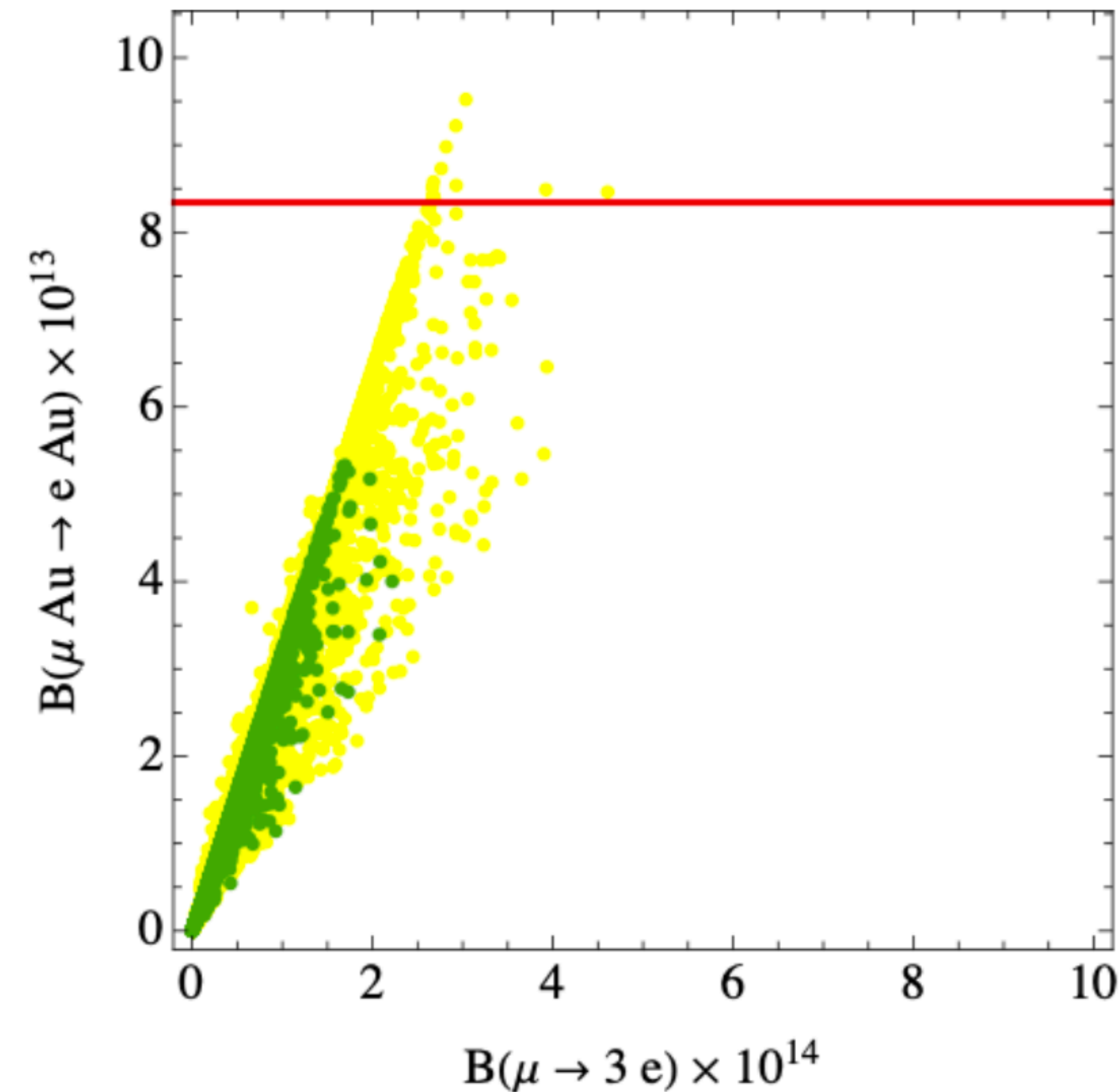
The **phase of NP** contribution is **fixed** to be SM-like:

$$C_{Sd\nu_\tau\nu_\tau} \approx V_{td}^* V_{ts} \left(\frac{|\lambda^1|^2 |x_q^1|^2}{2M_1^2} + \frac{|\lambda^3|^2 |x_q^3|^2}{2M_3^2} \right)$$

$$C_{Sd\nu_\tau\nu_\tau} \left(\bar{\nu}_\tau \gamma_\mu \nu_\tau \right) \left(\bar{d}_L \gamma^\mu s_L \right)$$

As consequence, the **$K_L \rightarrow \pi^0$ mode is fully correlated** and below the KOTO stage-I final sensitivity.

$\mu \rightarrow e$ conversion

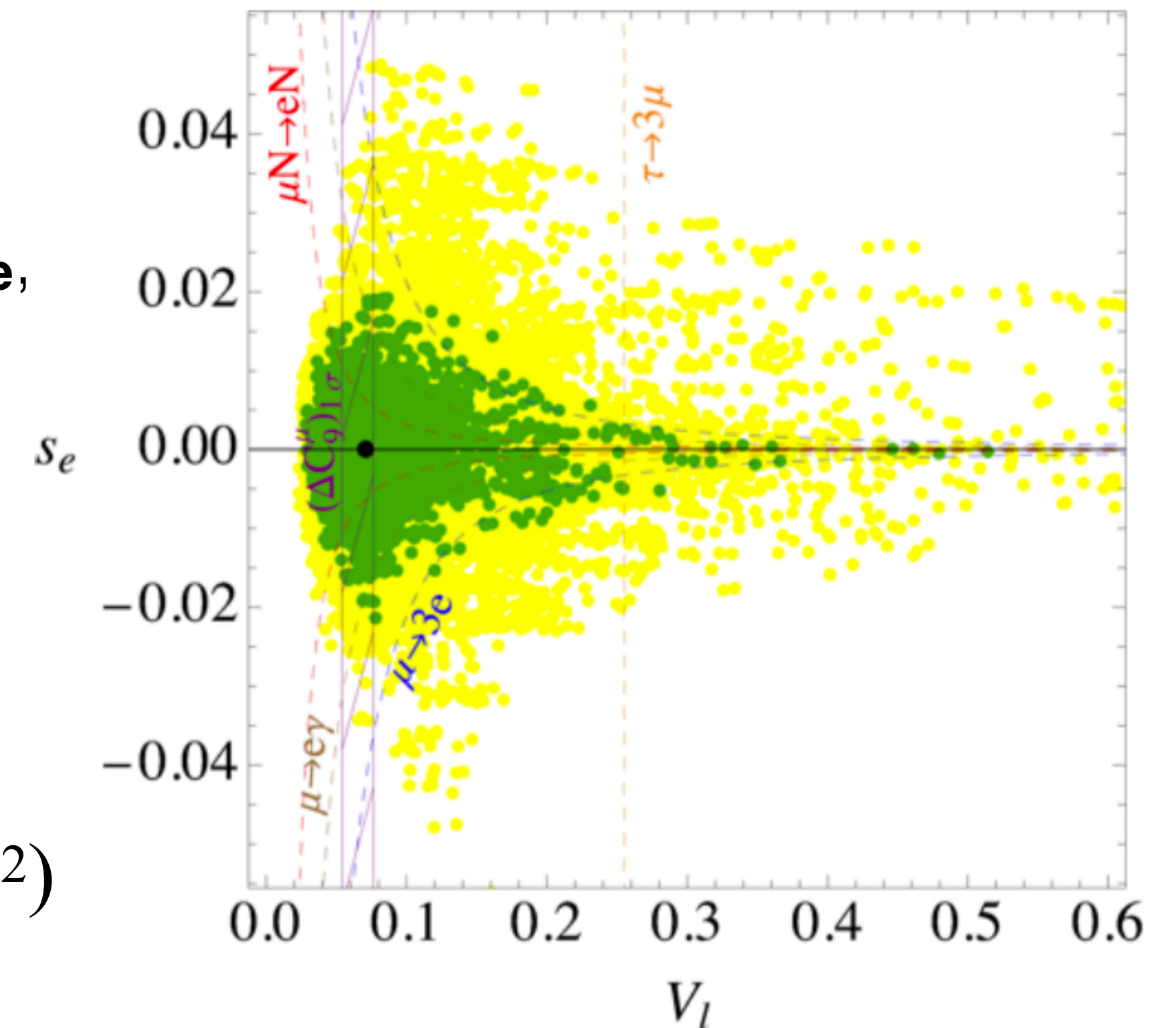


$\mu \rightarrow e$ conversion in gold nuclei sets the **strongest constraint on s_e** .

COMET and *Mu2e* will push this bound to $\sim 10^{-16}$, while *Mu3e* at PSI will push the limit on **$\text{Br}(\mu \rightarrow 3e)$** to $\sim 10^{-16}$.

These will set much stronger **bounds on s_e** , or could see a New Physics effect.

Naive expectation would be $s_e \sim \sqrt{m_e/m_\mu} \sim \mathcal{O}(10^{-2})$



Conclusions

- **Flavor anomalies** still require data (and theory) to give us a definitive picture.
This could potentially be our **threshold to an unexpected New Physics sector!**
- **S_1+S_3 scalar leptoquarks** offer good solutions to **B anomalies** (and $(g-2)_\mu$),
 - > simplified model is **fully calculable**
 - > possible UV origin from a **Composite Higgs model** as pNGB partners of the Higgs.
- In order to understand the **underlying flavour structure**
we need to **connect B-anomalies with other observables**.
 - > Rare **Kaon decays** and $\mu \rightarrow e$ probes stand out and offer exceptional prospects.
- The **minimally broken $U(2)^5$ flavor symmetry** creates **tension** between **B-anomalies** and **the present NA62 bound on $K^+ \rightarrow \pi^+ \nu \bar{\nu}$** .

Thank you!

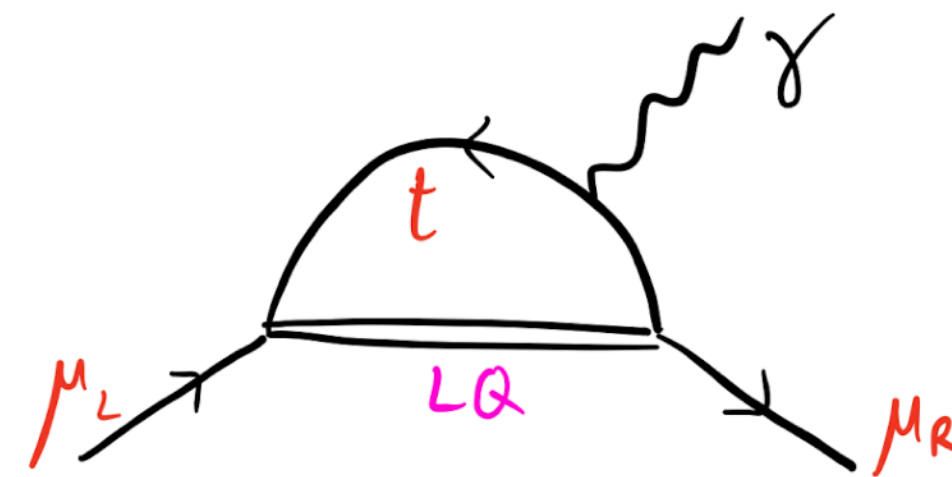
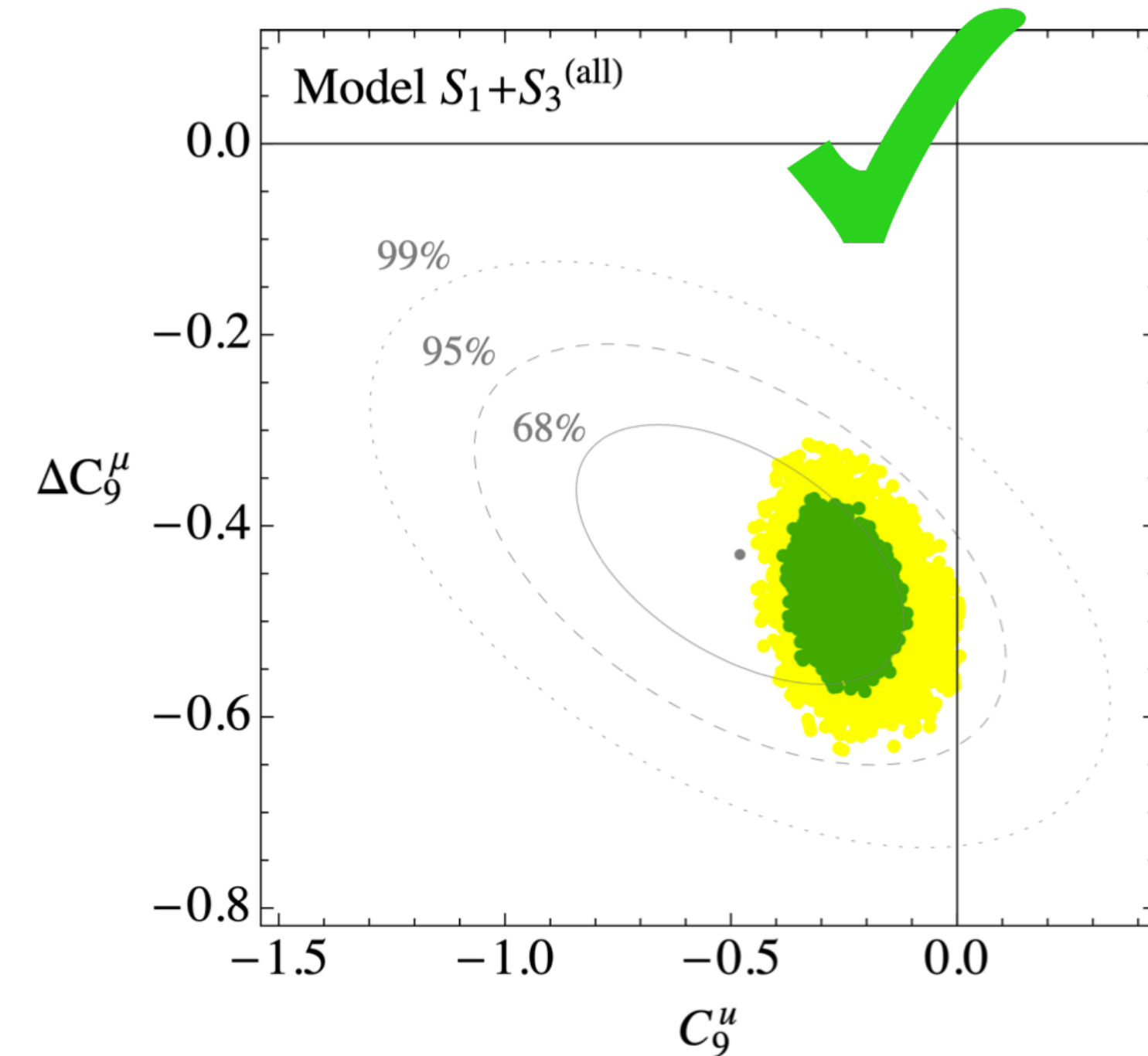
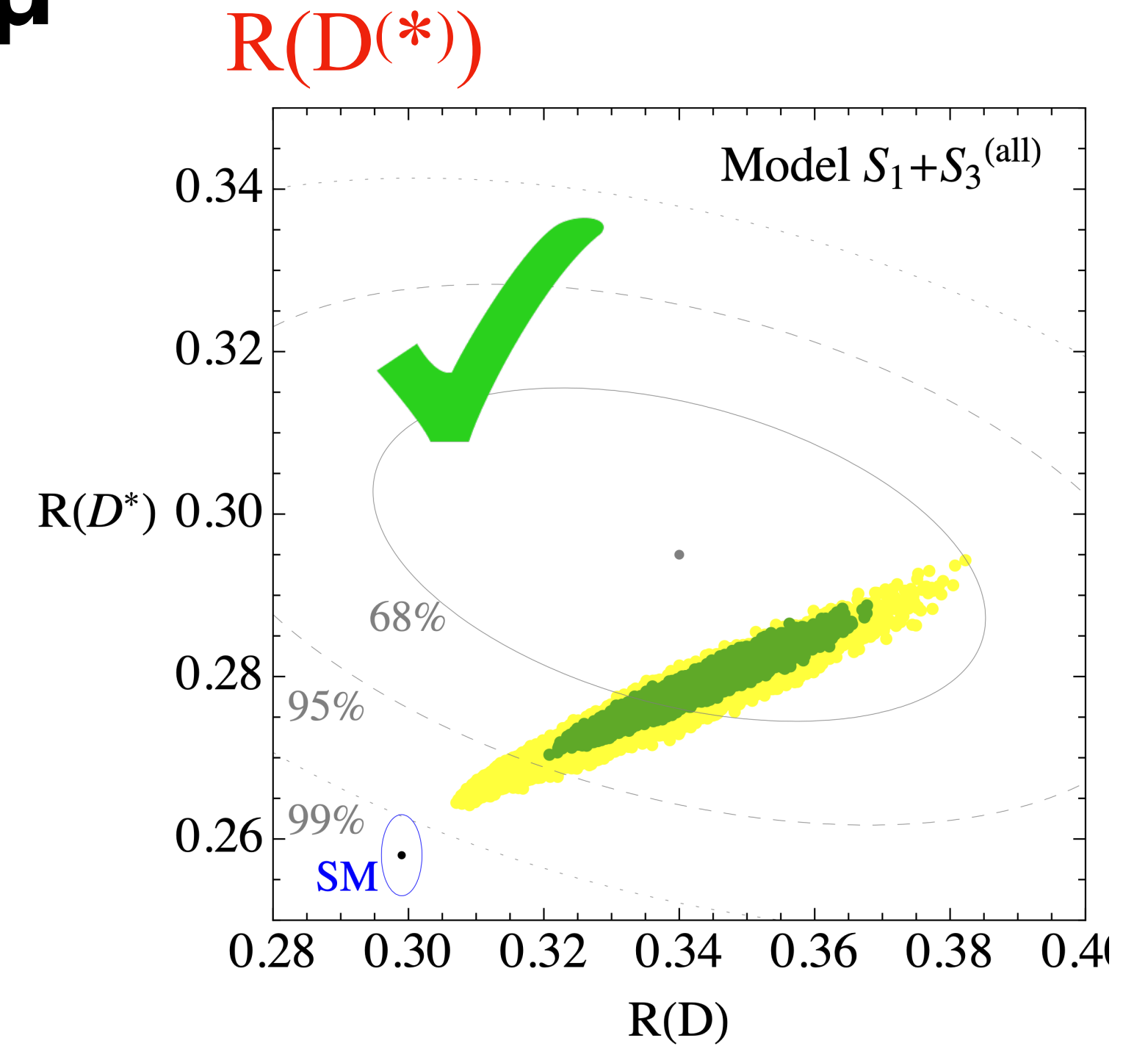
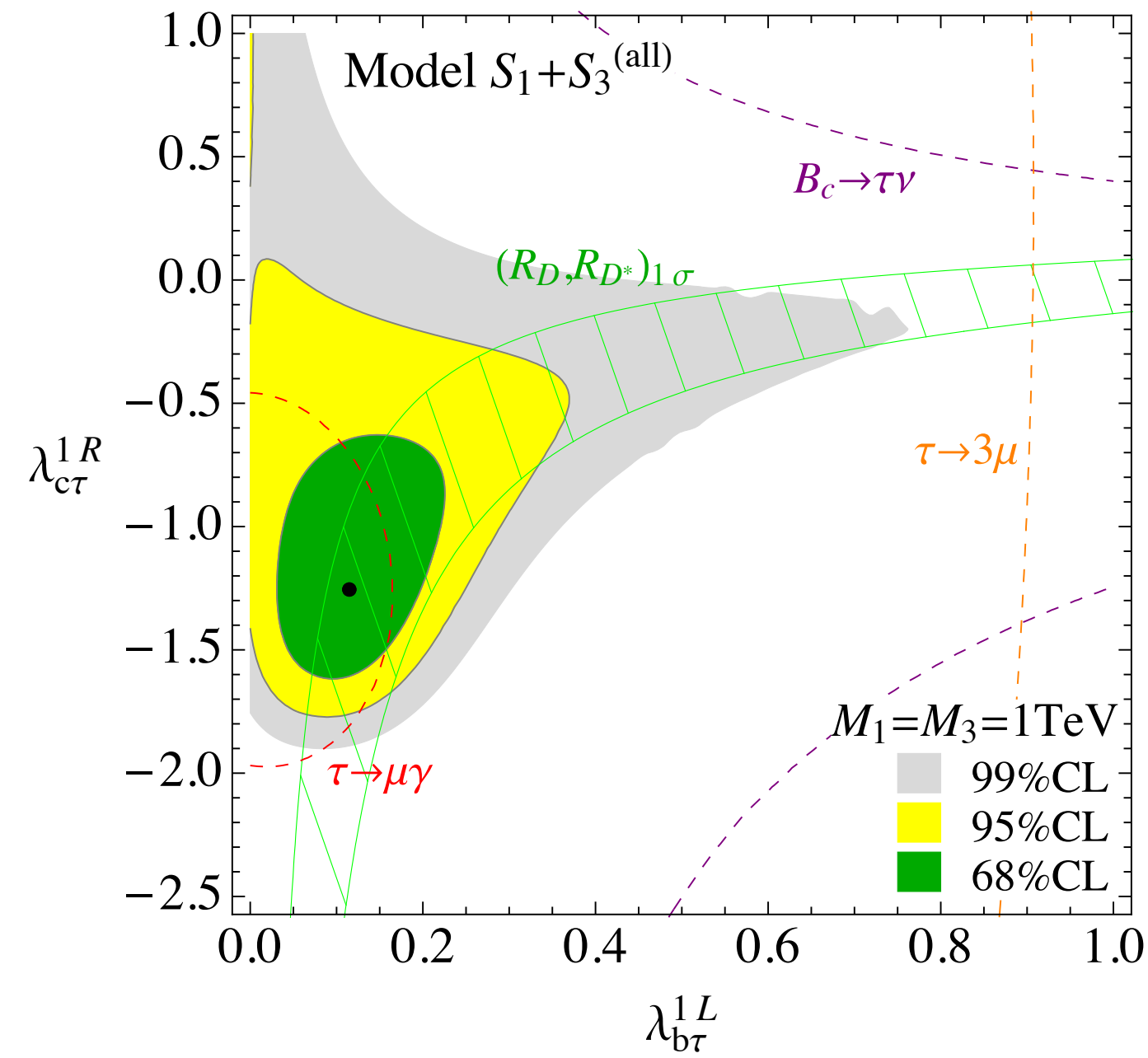
Backup

S_1 and S_3 : $R(K^{(*)}) + R(D^{(*)}) + (g-2)_\mu$

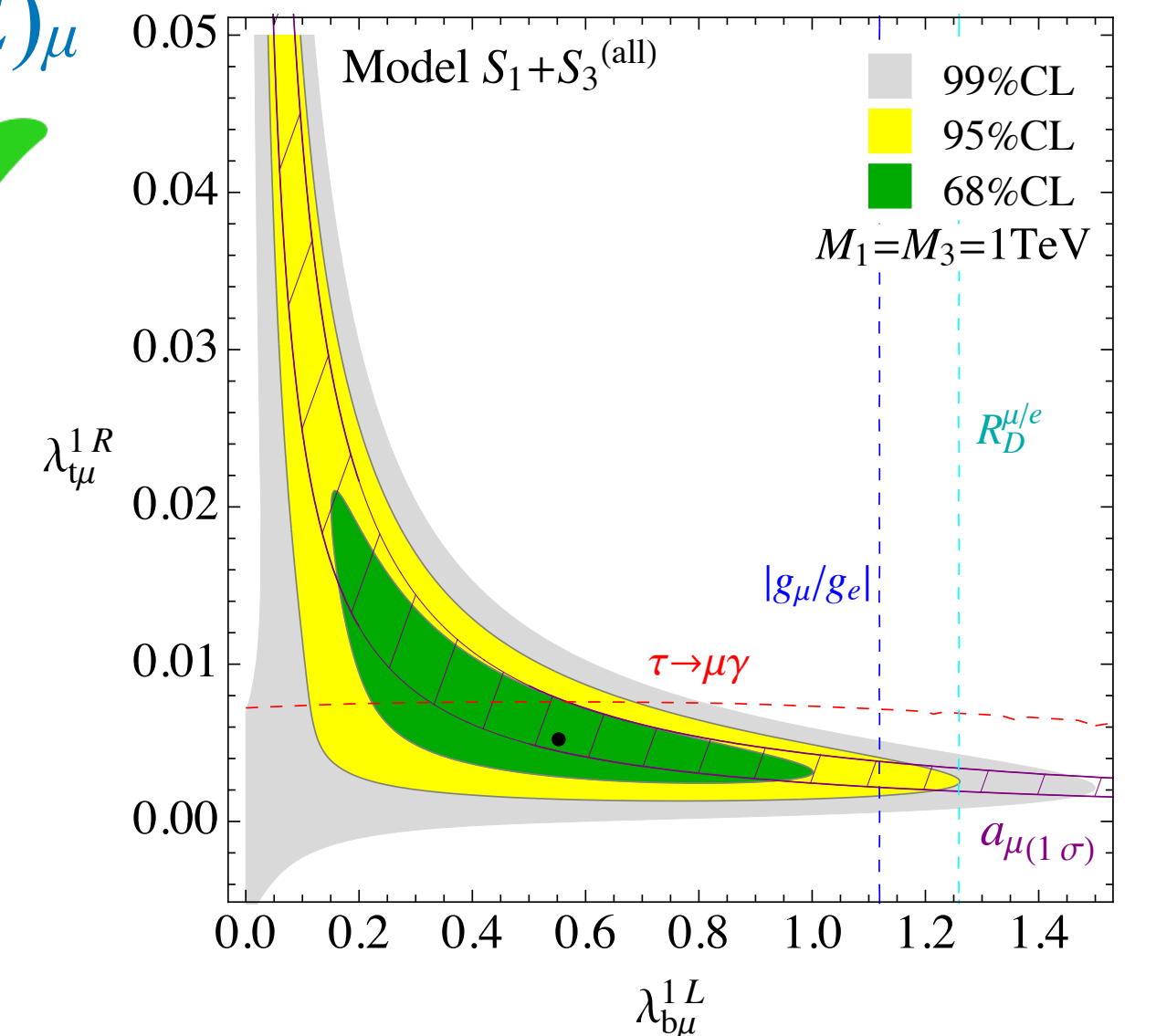
No a-priori flavour structure imposed

$$\lambda^{1L} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & s_\tau \\ 0 & b_\mu & b_\tau \end{pmatrix} \quad \lambda^{3L} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & s_\mu & s_\tau \\ 0 & b_\mu & b_\tau \end{pmatrix}$$

$$\lambda^{1R} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & c_\tau \\ 0 & t_\mu & t_\tau \end{pmatrix}$$



$(g-2)_\mu$

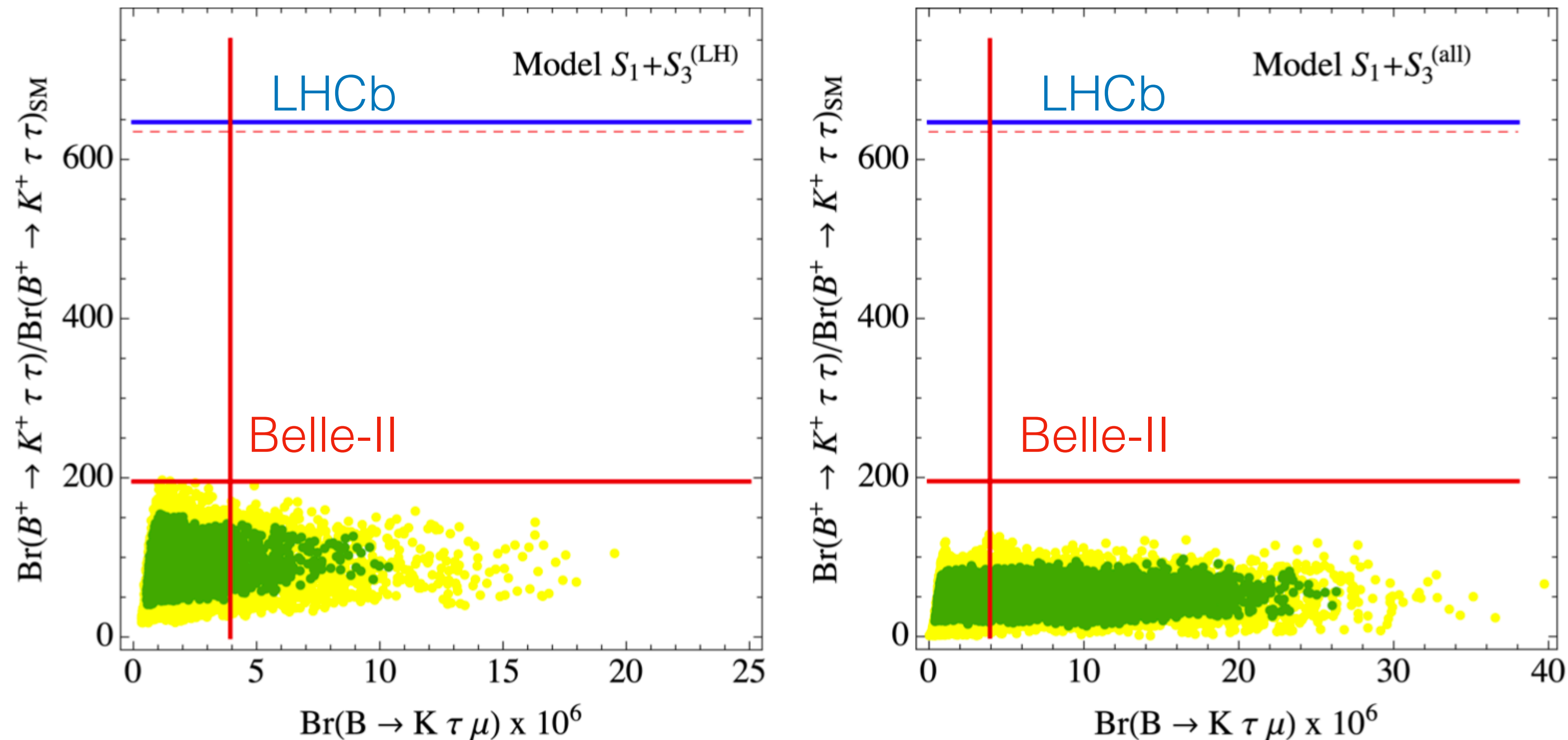


Very good fit of all anomalies!

Predictions

The large couplings to τ imply signatures in **DY tails of $pp \rightarrow \tau \tau$** , deviations in **τ LFU** tests and **$\tau \rightarrow \mu$ LFV** tests (Belle-II).

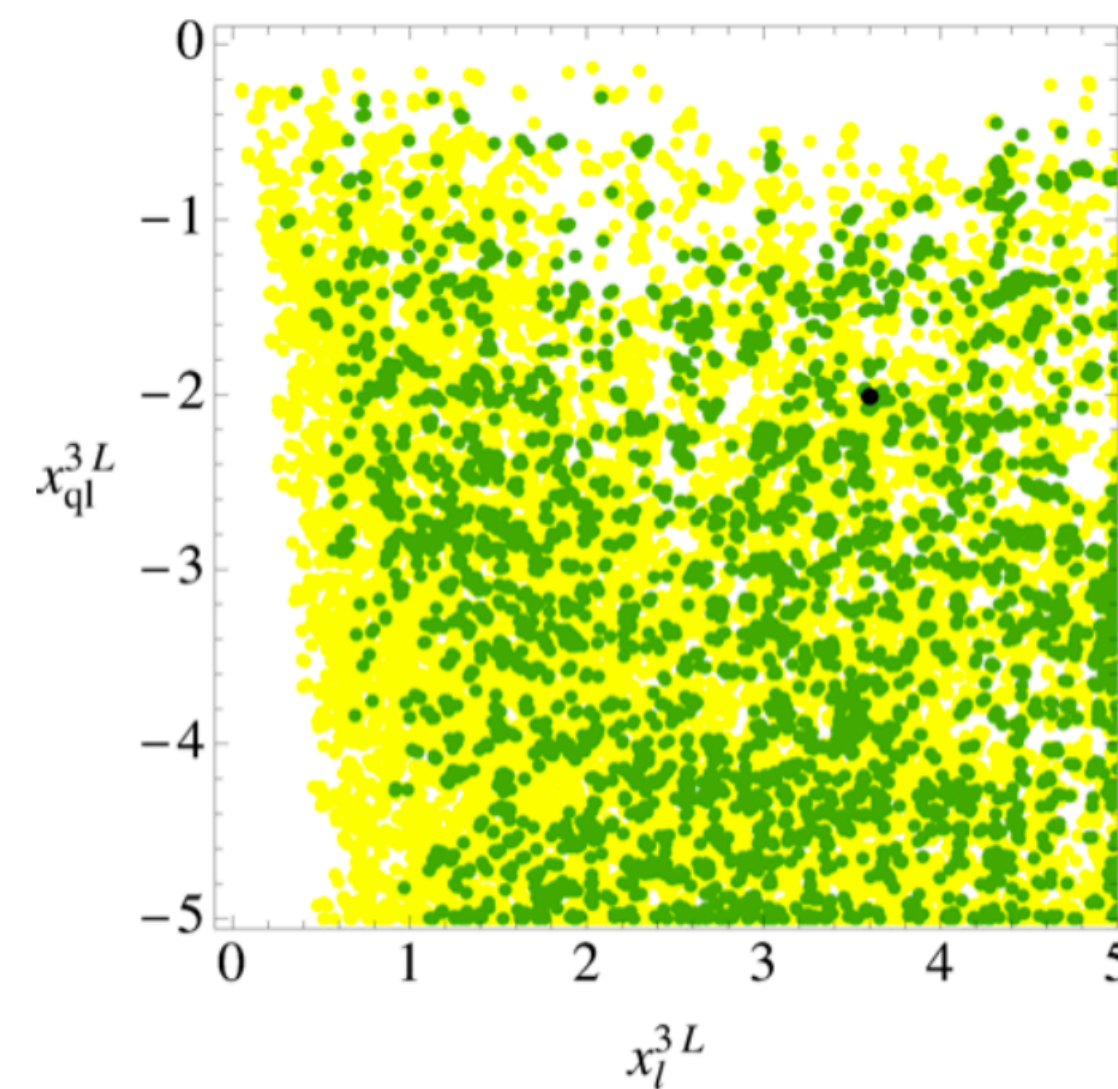
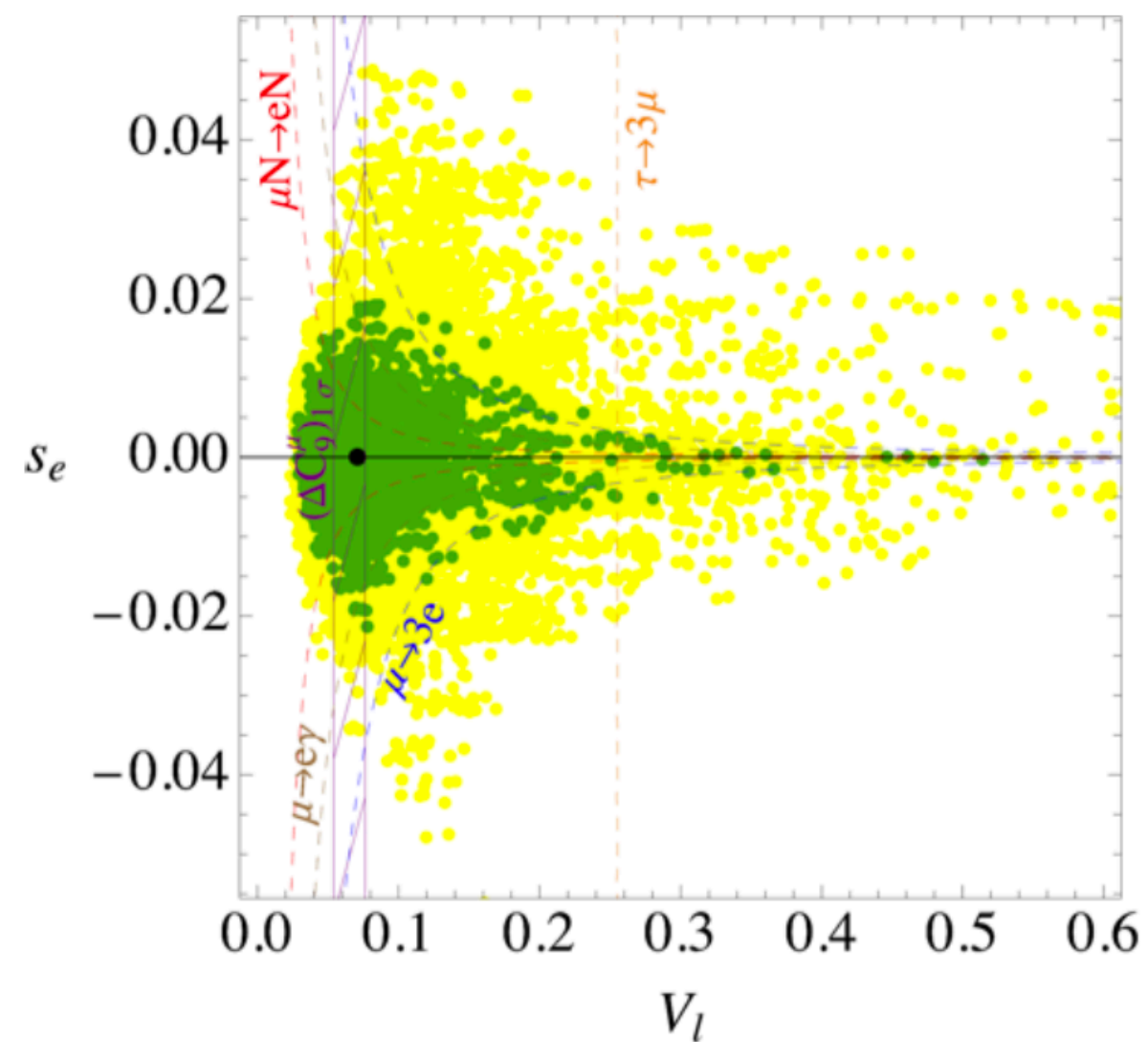
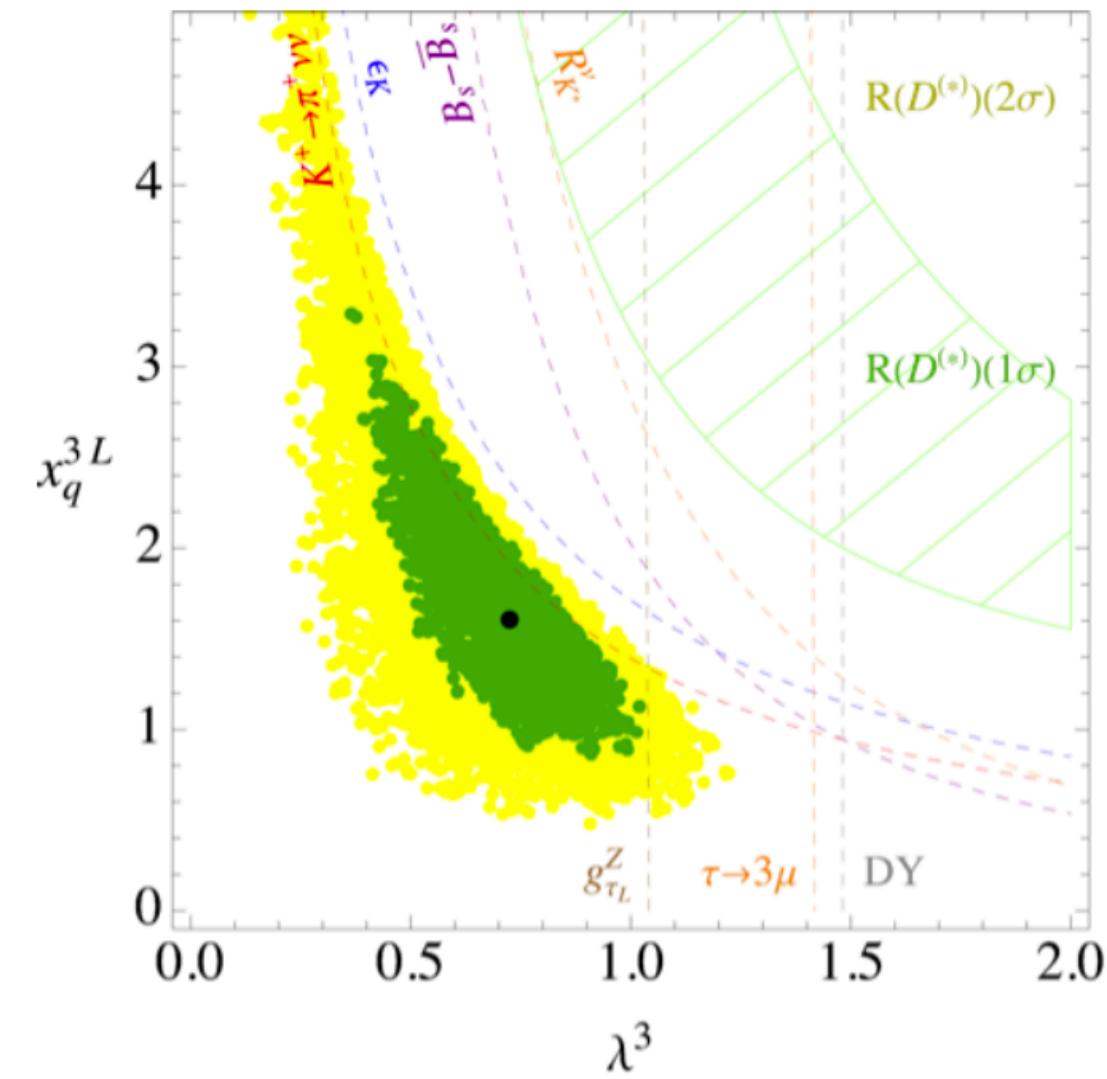
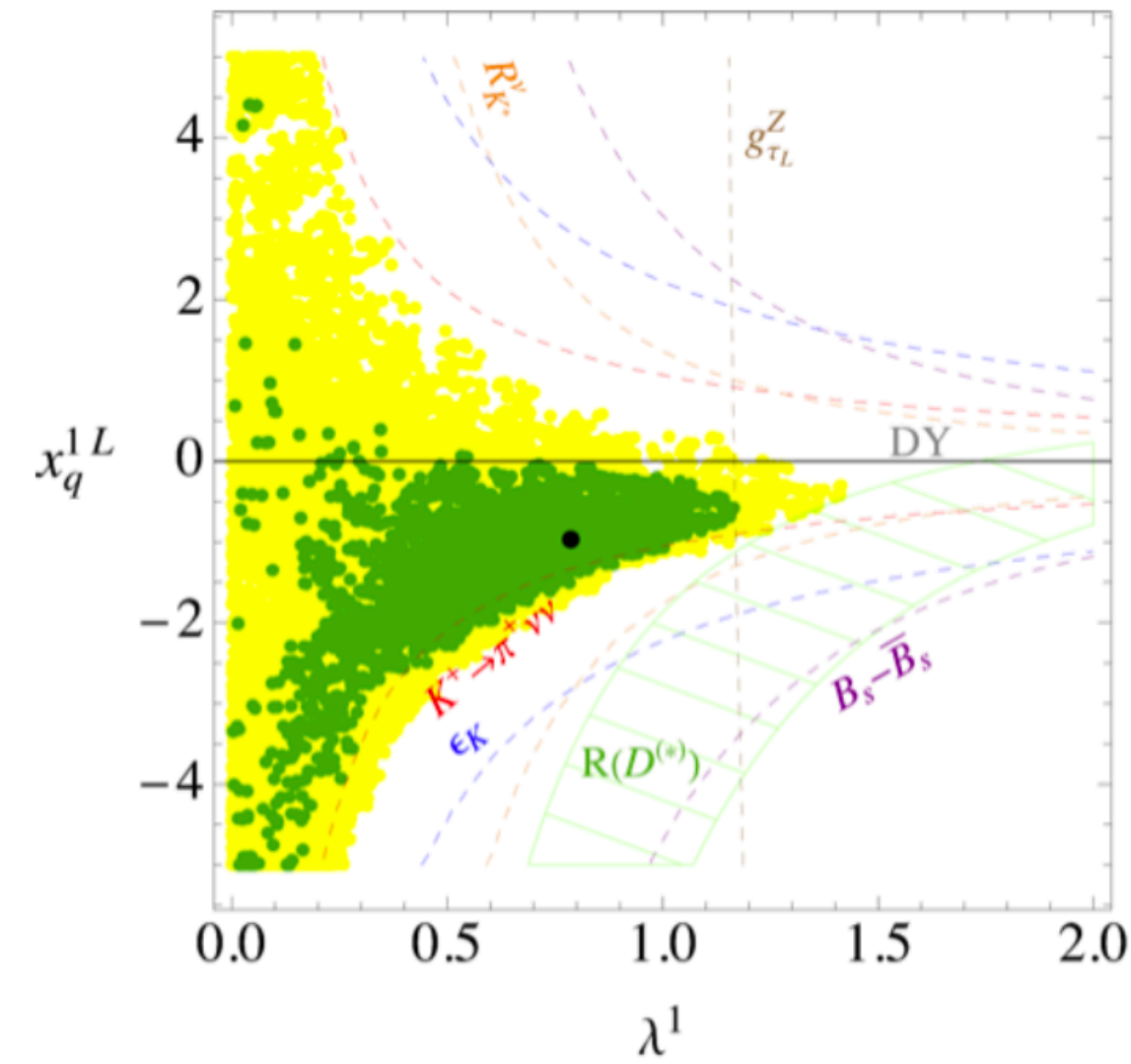
Large effects are also expected in **$b \rightarrow s \tau \tau$** and **$b \rightarrow s \tau \mu$** transitions:



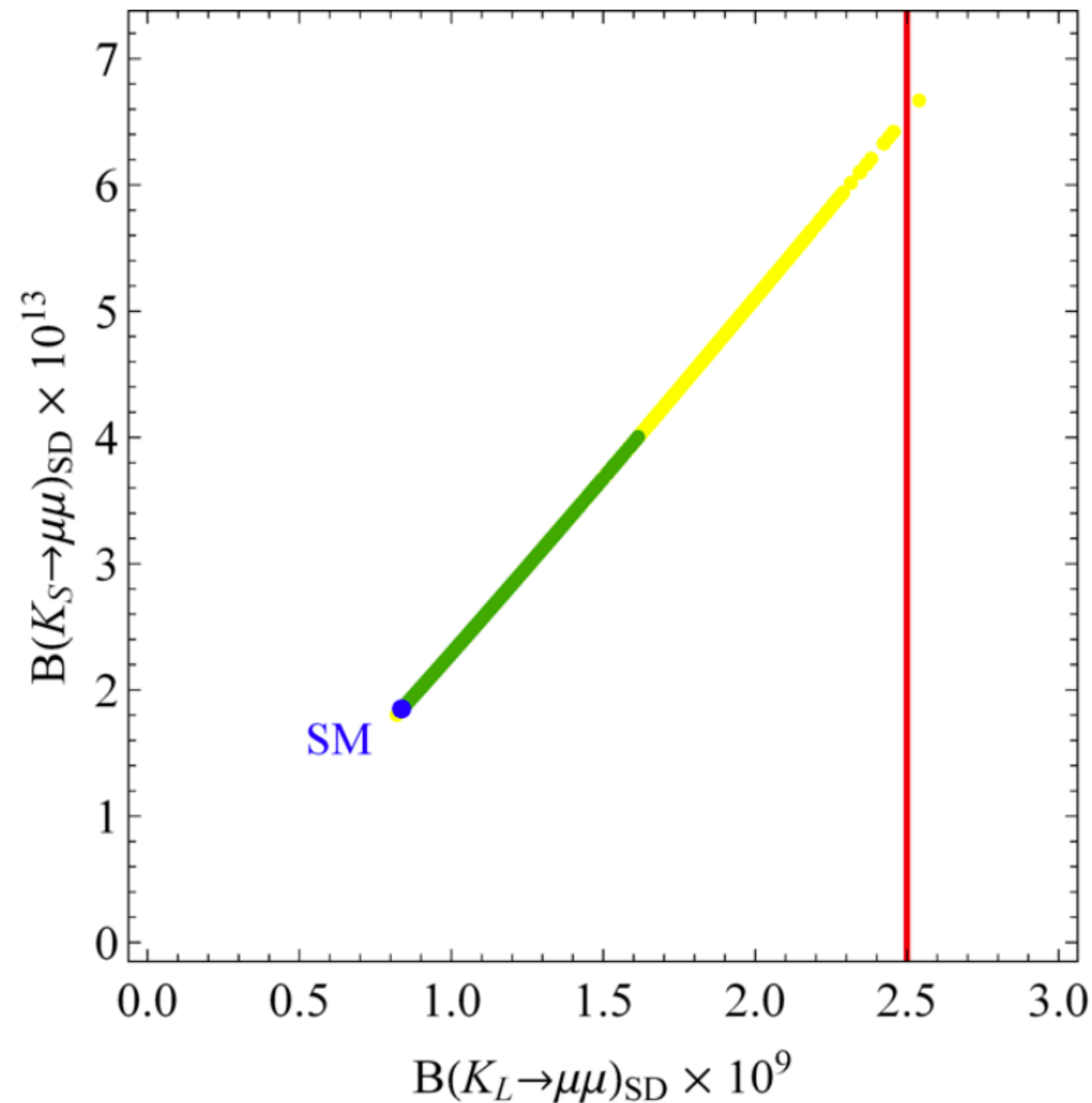
From B to K with LQ and $U(2)^5$

S. Trifinopoulos, E. Venturini, D.M. [[2106.15630](#)]

We perform a global fit in the $U(2)^5$ flavour structure. $M_1 = M_3 = 1.1$ TeV



Leading effects in Kaon physics



Also in this case **the phase of NP contribution is fixed** to be SM-like

$$\Delta C_9^{sd\mu\mu} = -\Delta C_{10}^{sd\mu\mu} \approx \frac{\pi V_{ts}^* V_{td}}{\sqrt{2} G_F \alpha} \frac{|\lambda^3|^2 |V_\ell|^2 |x_{q\ell}^3|^2}{M_3^2}$$

The two channels are fully correlated.

- In **K_L** the model **saturates the present bound**

Isidori, Unterdorfer [hep-ph/0311084]

- in **K_S** the effect is $\sim \mathbf{10^{-13}}$, below the SM long-distance contribution ($\sim 5 \times 10^{-12}$).

D'Ambrosio, Kitahara [1707.06999]

About other Kaon decays:

We also obtain $\text{Br}(K_L \rightarrow \mu e) \sim 10^{-15}$ and $\text{Br}(K^+ \rightarrow \pi^+ \mu e) \sim 10^{-18}$.

Goldstone Bosons

D.M. 1803.10972

$$G = \text{SU}(10)_L \times \text{SU}(10)_R \times \text{U}(1)_V \xrightarrow{\langle \bar{\Psi}_i \Psi_j \rangle = -B_0 f^2 \delta_{ij}} H = \text{SU}(10)_V \times \text{U}(1)_V$$

Like QCD pions, the pNGB are **composite states** of HC-fermion bilinears: $\bar{\Psi} \Psi$

In terms of SM representations

Two Higgs doublets: $H_{1,2} \sim (\mathbf{1}, \mathbf{2})_{1/2}$

Singlet and Triplet LQ: $S_1 \sim (\mathbf{3}, \mathbf{1})_{-1/3} + S_3 \sim (\mathbf{3}, \mathbf{3})_{-1/3}$

Three singlets: $\eta_{1,2,3} \sim (\mathbf{1}, \mathbf{1})_0$

Other **electroweak** states: $\omega \sim (\mathbf{1}, \mathbf{1})_1 + \Pi_{L,Q} \sim (\mathbf{1}, \mathbf{3})_0$

Other **coloured** states: $R_2 \sim (\mathbf{3}, \mathbf{2})_{1/6} + T_2 \sim (\mathbf{3}, \mathbf{2})_{-5/6}$

$\tilde{\pi}_1 \sim (\mathbf{8}, \mathbf{1})_0 + \tilde{\pi}_3 \sim (\mathbf{8}, \mathbf{3})_0$

H and LQ are close partners!!

$$H_1 \sim i\sigma^2 (\bar{\Psi}_L \Psi_N)$$

$$H_2 \sim (\bar{\Psi}_E \Psi_L)$$

$$S_1 \sim (\bar{\Psi}_Q \Psi_L)$$

$$S_3 \sim (\bar{\Psi}_Q \sigma^a \Psi_L)$$

For energies $E \ll \Lambda_{\text{HC}}$ the theory is described by a weakly coupled **effective chiral Lagrangian**.

Structure driven by the symmetries and spurions.

Yukawas & LQ couplings

Coupling with SM fermions from 4-Fermi operators

$$\mathcal{L}_{4\text{-Fermi}} \sim \frac{c_{\psi\Psi}}{\Lambda_t^2} \bar{\psi}_{\text{SM}} \psi_{\text{SM}} \bar{\Psi} \Psi \xrightarrow{E \lesssim \Lambda_{HC}} \sim y_{\psi\phi} \bar{\psi}_{\text{SM}} \psi_{\text{SM}} \phi + \dots$$

$$\Lambda_t \gtrsim \Lambda_{HC}$$

SM Yukawas + LQ couplings arise from the same UV dynamics

A **new sector** responsible for these operators is necessary (as Extended Technicolor)

Scalar operators allowed by gauge-invariance

Higgses Yukawas

$$\begin{aligned} &(\bar{q}_L u_R + \bar{d}_R q_L + \bar{e}_R l_L) (\bar{\Psi}_N \Psi_L) \\ &(\bar{q}_L u_R + \bar{d}_R q_L + \bar{e}_R l_L) (\bar{\Psi}_L \Psi_E) \end{aligned}$$

S_1 and S_3 couplings

$$\begin{aligned} &(\bar{q}_L^c l_L + \bar{e}_R^c u_R) (\bar{\Psi}_Q \Psi_L) \\ &(\bar{q}_L^c \sigma^a l_L) (\bar{\Psi}_Q \sigma^a \Psi_L) \end{aligned}$$

S_1 coupling to diquark

~~$$(\bar{q}_L^c q_L + \bar{u}_R^c d_R) (\bar{\Psi}_L \Psi_Q)$$~~

ω coupling to dilepton

~~$$(\bar{l}_L^c l_L) (\bar{\Psi}_E \Psi_N)$$~~

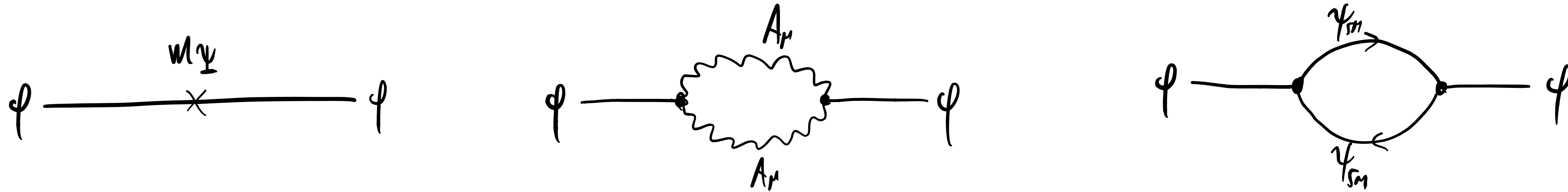
\tilde{R}_2 coupling

~~$$(\bar{d}_R l_L) (\bar{\Psi}_E \Psi_Q)$$~~

Assuming conservation of this symmetry $F_+ = 3B + L$ so that Yukawas and LQ coupl. allowed
all other couplings are forbidden. $F_+(\Psi_L) = F_+(\Psi_N) = F_+(\Psi_E) = F_L$, $F_+(\Psi_Q) = F_L + 2$

Scalar Potential: NDA + symmetry

The pNGB potential arises at 1-loop from all the explicit breaking terms



NDA + spurion analysis

$$m_{(\bar{\Psi}_i \Psi_j)}^2 = B_0(m_i + m_j)$$

$$V_G = -\frac{3f^2\Lambda_{HC}^2}{16\pi^2} \sum_X c_X \text{Tr} [\mathcal{G}_X^L U \mathcal{G}_X^R U^\dagger]$$

$$V_t = -\frac{c_t y_t^2 N_c \Lambda_{HC}^2}{16\pi^2} |H_1 - H_2|^2$$

$$V_{LQ} = -\frac{(c_1 g_1^2 + c_1^u g_1^{u2}) \Lambda_{HC}^2}{8\pi^2} |S_1|^2 - \frac{c_3 g_3^2 \Lambda_{HC}^2}{8\pi^2} |S_3|^2$$

The gauge contribution is positive and is larger for colored states.
EW charges give subleading corrections.

$$\Delta m_\omega^2 \approx (0.05\Lambda_{HC})^2, \quad \Delta m_{H_{1,2}}^2 \approx (0.08\Lambda_{HC})^2, \quad \Delta m_{\Pi_{L,Q}}^2 \approx (0.13\Lambda_{HC})^2, \quad \sim \mathbf{1} \text{ of } \text{SU}(3)_c$$

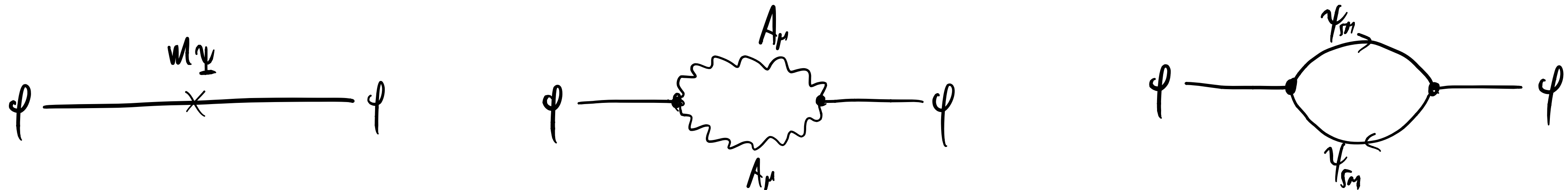
$$\Delta m_{S_1}^2 \approx (0.17\Lambda_{HC})^2, \quad \Delta m_{S_3}^2 \approx (0.21\Lambda_{HC})^2, \quad \Delta m_{\tilde{R}_{2,T_2}}^2 \approx (0.19\Lambda_{HC})^2, \quad \sim \mathbf{3} \text{ of } \text{SU}(3)_c$$

$$\Delta m_{\tilde{\pi}_1}^2 \approx (0.26\Lambda_{HC})^2, \quad \Delta m_{\tilde{\pi}_3}^2 \approx (0.28\Lambda_{HC})^2, \quad \sim \mathbf{8} \text{ of } \text{SU}(3)_c$$

$$\Lambda_{HC} \sim 4\pi f \gtrsim 10 \text{ TeV}$$

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The pNGB potential arises at 1-loop from all the explicit breaking terms



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$$V_t = -\frac{c_t y_t^2 N_c \Lambda_{HC}^2}{16\pi^2} |H_1 - H_2|^2$$

$$V_{LQ} = -\frac{(c_1 g_1^2 + c_1^u g_1^{u2}) \Lambda_{HC}^2}{8\pi^2} |S_1|^2 - \frac{c_3 g_3^2 \Lambda_{HC}^2}{8\pi^2} |S_3|^2$$

valence	irrep.	valence	irrep.
$H_1 \sim i\sigma^2(\bar{\Psi}_L \Psi_N)$	$(\mathbf{1}, \mathbf{2})_{1/2}$	$H_2 \sim (\bar{\Psi}_E \Psi_L)$	$(\mathbf{1}, \mathbf{2})_{1/2}$
$S_1 \sim (\bar{\Psi}_Q \Psi_L)$	$(\bar{\mathbf{3}}, \mathbf{1})_{1/3}$	$S_3 \sim (\bar{\Psi}_Q \sigma^a \Psi_L)$	$(\bar{\mathbf{3}}, \mathbf{3})_{1/3}$
$\omega^\pm \sim (\bar{\Psi}_N \Psi_E)$	$(\mathbf{1}, \mathbf{1})_{-1}$	$\Pi_L \sim (\bar{\Psi}_L \sigma^a \Psi_L)$	$(\mathbf{1}, \mathbf{3})_0$
$\tilde{R}_2 \sim (\bar{\Psi}_E \Psi_Q)$	$(\mathbf{3}, \mathbf{2})_{1/6}$	$T_2 \sim (\bar{\Psi}_Q \Psi_N)$	$(\bar{\mathbf{3}}, \mathbf{2})_{5/6}$
$\tilde{\pi}_1 \sim (\bar{\Psi}_Q T^A \Psi_Q)$	$(\mathbf{8}, \mathbf{1})_0$	$\tilde{\pi}_3 \sim (\bar{\Psi}_Q T^A \sigma^a \Psi_Q)$	$(\mathbf{8}, \mathbf{3})_0$
$\Pi_Q \sim (\bar{\Psi}_Q \sigma^a \Psi_Q)$	$(\mathbf{1}, \mathbf{3})_0$	$\eta_i \sim 3 \times c_i^a (\bar{\Psi}_a \Psi_a)$	$(\mathbf{1}, \mathbf{1})_0$

pNGB spectrum: example

