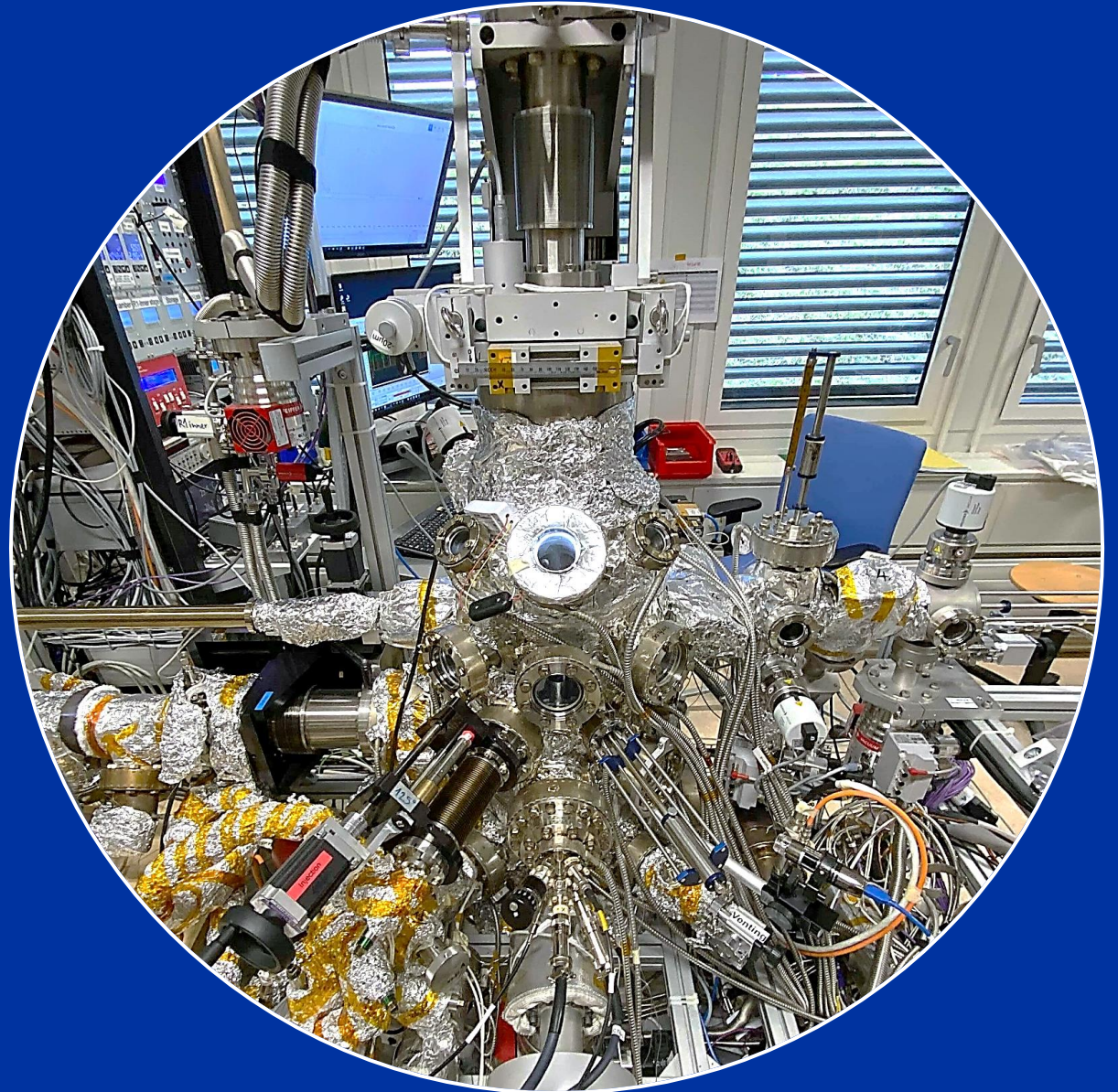


SEY and ESD of ices and technical surfaces at cryogenic temperatures

Michal Haubner
TE-VSC-VSM, CERN
CTU in Prague, CZ

V. Baglin, B. Henrist
TE-VSC-VSM, CERN



Research motivation

Technical-grade metal surfaces

Polycrystalline, oxidized, porous, contaminated

Electron irradiation

Thermionic e^-

Multipacting e^-

Runaway e^-

Cosmic radiation

...

Cryogenic temperatures

Low diffusion rates

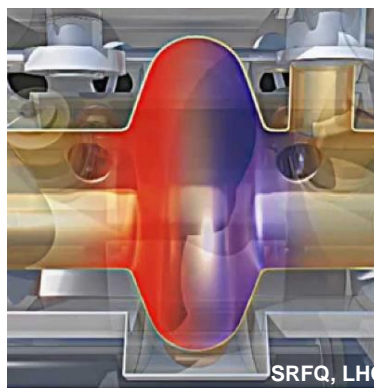
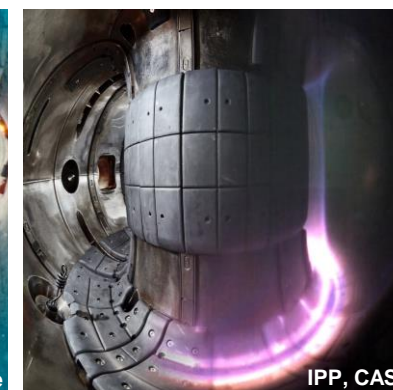
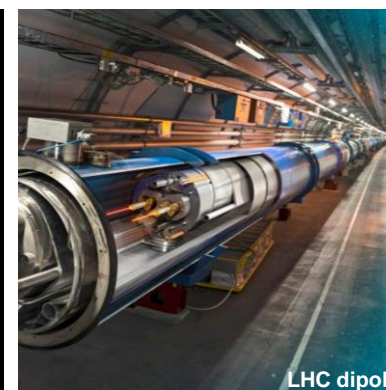
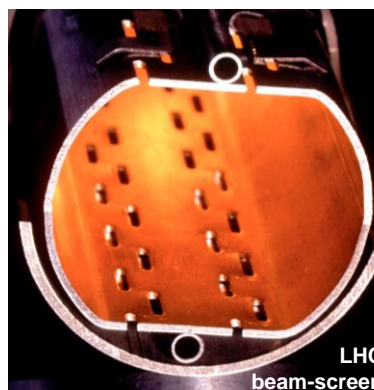
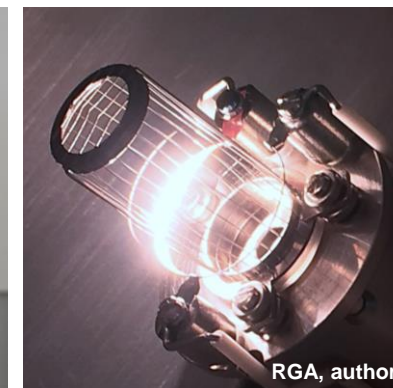
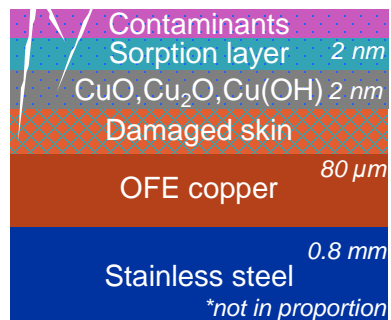
Cryosorbed gases

Cross-disciplinary interest

LHC-oriented

Other machines & applications

As received:



Dynamic vacuum effect in LHC

Electron cloud

Photoelectrons
Multipacting

Electrodesorption

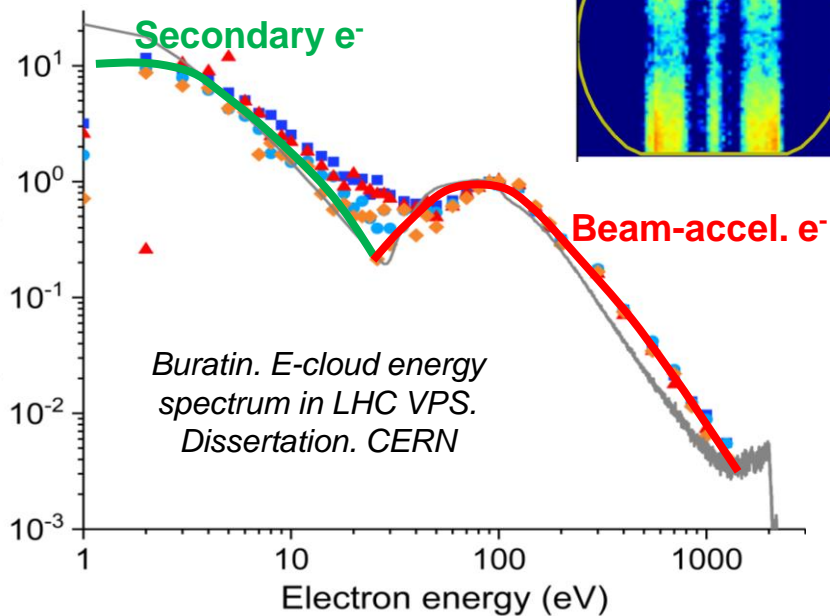
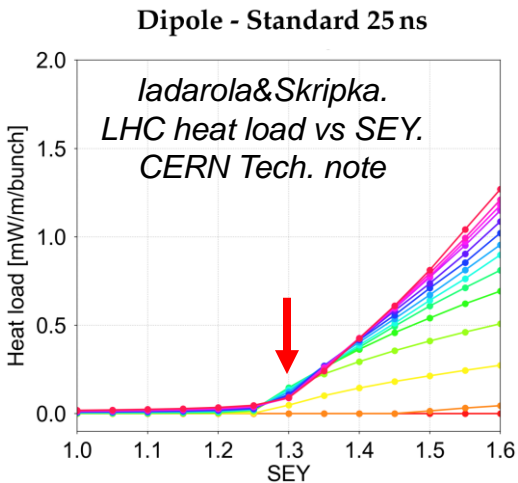
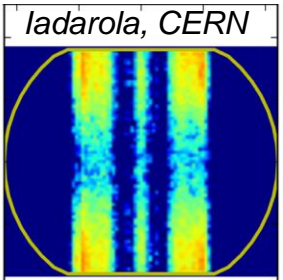
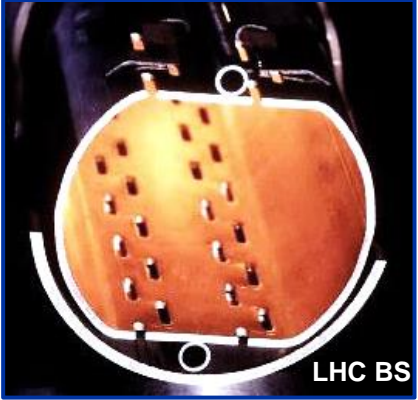
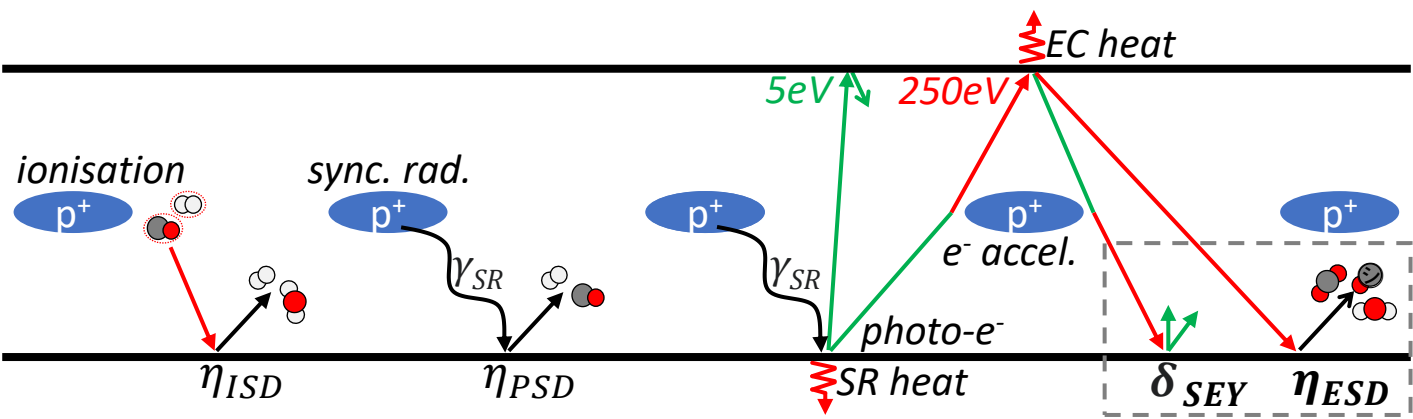
Electrodesorption (ESD) yield: η_{e-}

Pressure rise: $\Delta p_{dyn.} \propto \frac{\eta_{e-} \cdot \dot{\Gamma}_{e-}}{S_{eff}}$

Consequences

- Beam instabilities, lifetime decrease
- Heat load → temperature, pressure rise
- Electrical breakdown in RF devices
- BG in detectors, Material activation

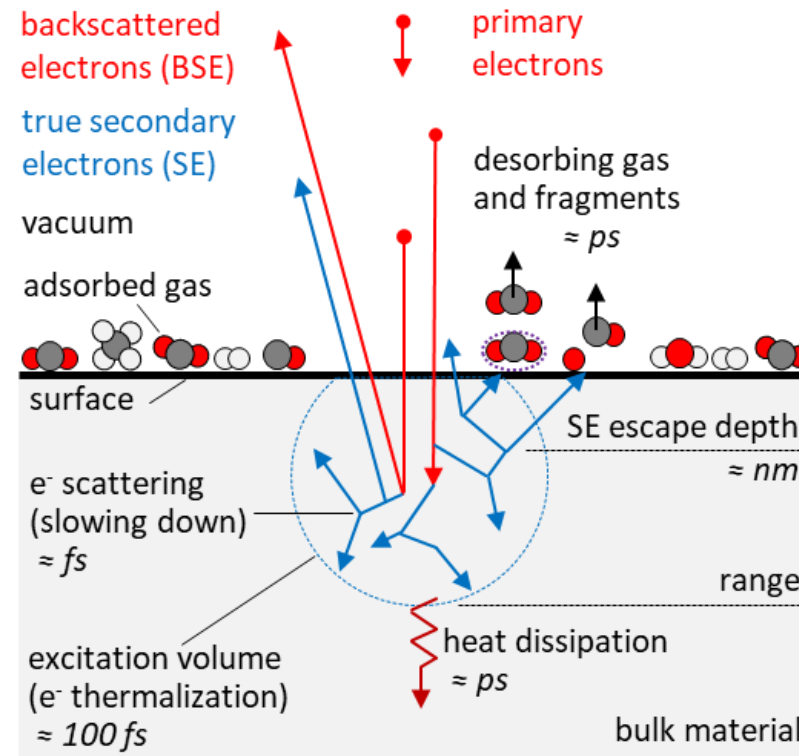
Performance limitation



Parameters influencing the SEY and ESD

Material surface state:

- Surface composition
- Treatments & Coatings
- Cleaning
- Contamination
- Storage
- ...



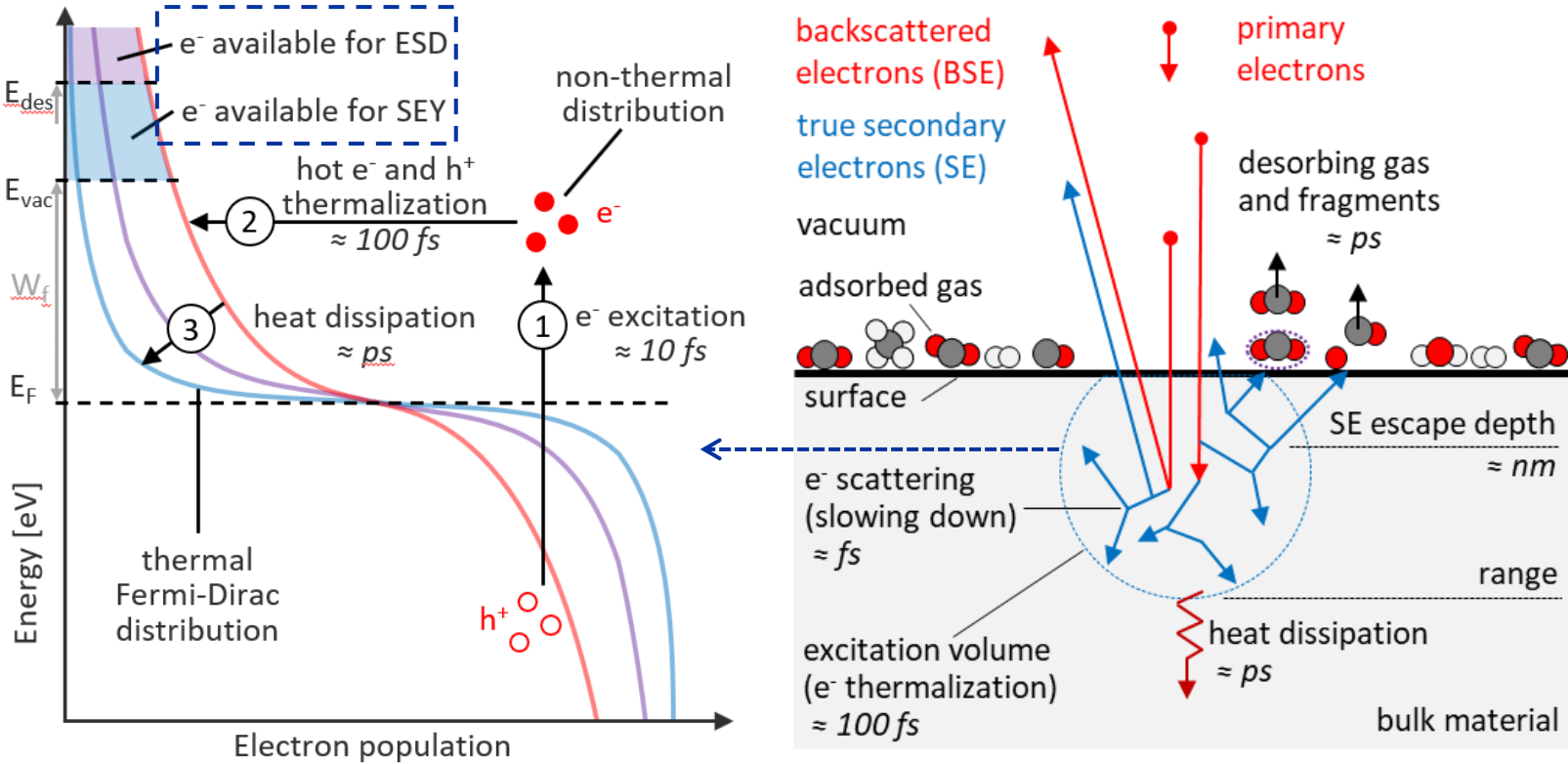
Electron irradiation:

- Energy
- Dose
- Angle

Environment:

- Temperature
- Adsorbed gases

Electron and molecule emission



e^- impact energy is quickly redistributed:
first to e^- Fermi gas, then atoms

Born-Oppenheimer

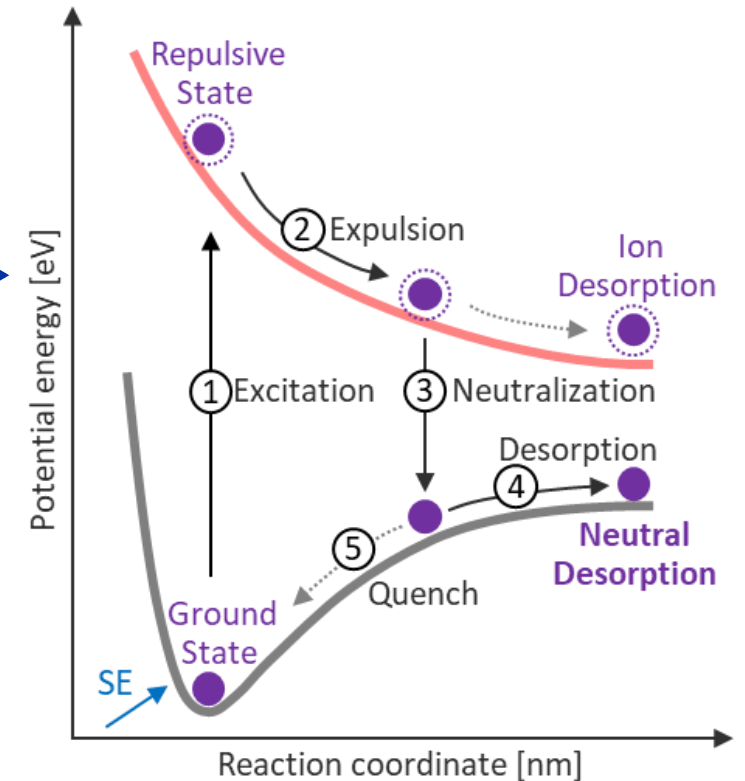
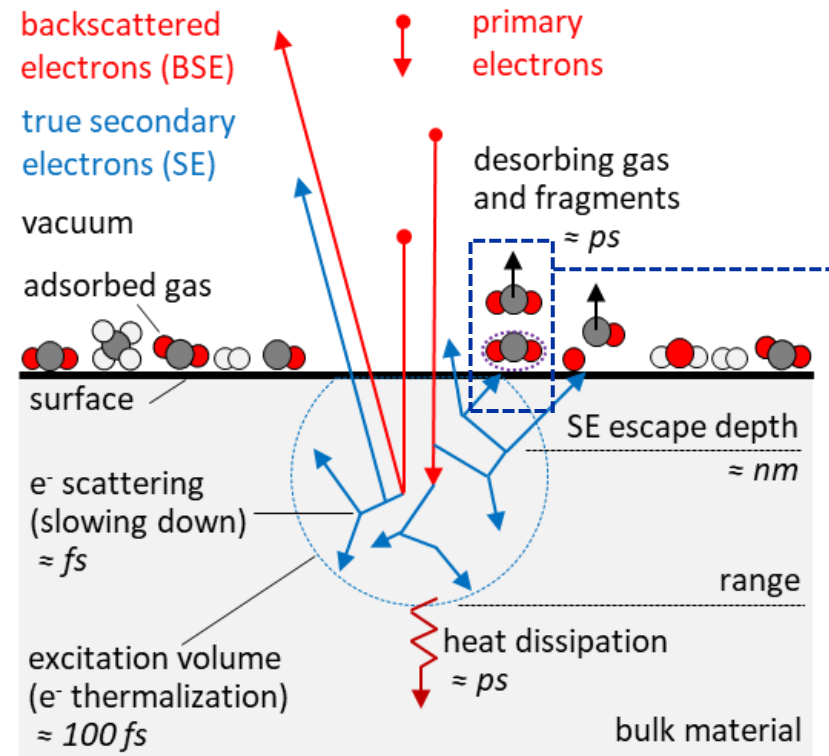
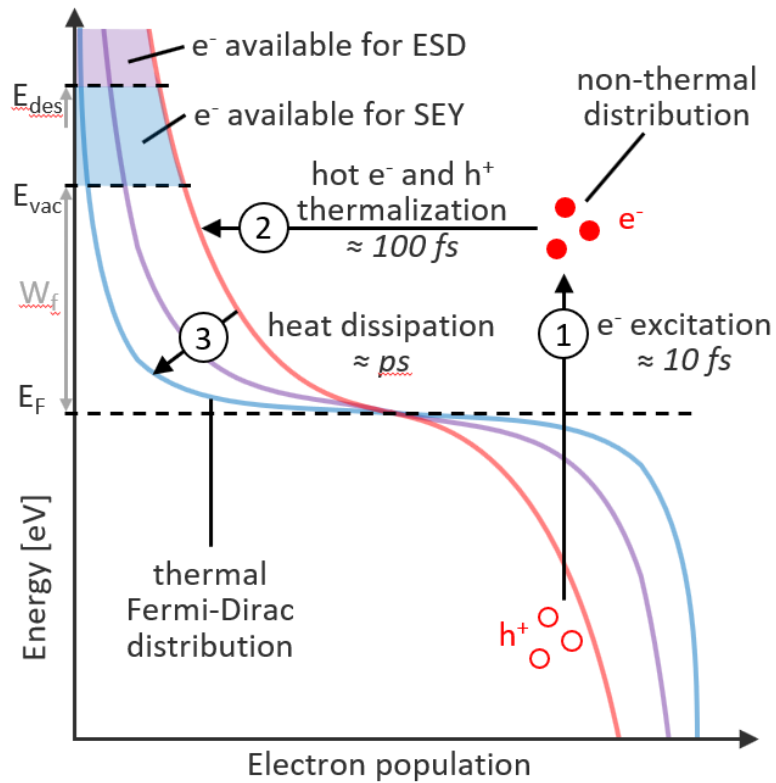
Indirect energy transfer mechanism
from primary e^- to secondary particles

Energy invariance of emitted particles

Only fraction of e^- (over E_{vac}, E_{des} thresholds)
can possibly contribute to SEY and ESD

$$\eta_{e^-} \propto 1 / E_{BOND}$$

Electron and molecule emission



Experimental setup description

SEY, ESD, TPD capability at cold

Controllably reproduce LHC-like conditions:

10 K - 300 K

$T_{BS} = 5 - 20 \text{ K}$

10^{-11} mbar

hours till 1 Langmuir (10^{-6} Torr.s)

0 - 1.5 keV

E-cloud spectrum

no B-field

μ -metal chamber

Experimental targets:

$10^{-6} - 10^3 \text{ molecule/e}^-$

ESD sensitivity

Cu, SS, Al, ...

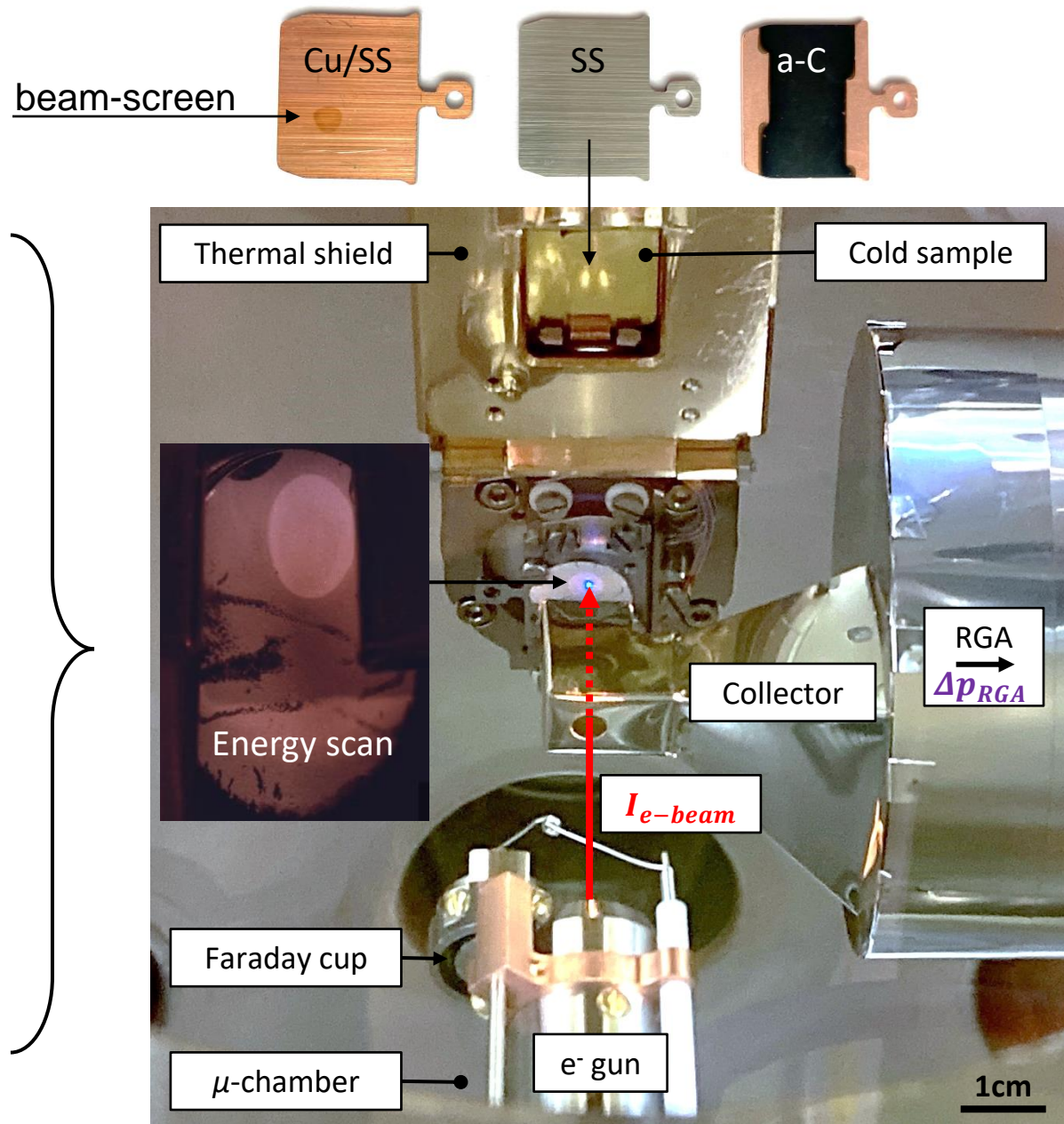
Unbaked metals

a-Carbon, LESS, NEG

Coatings and treatments

N_2 , CO, CO_2 , CH_4 , Ar, ...

Cryosorbed gases



ESD and SEY measurement

ESD yield:

$$\eta_{e,j}(E, D) = \underbrace{\frac{C_j \cdot \Delta p_j}{k_B \cdot T} / \frac{I_B}{q_e}}_{\text{Signal}} + \underbrace{\frac{C_j \cdot \Delta p_{j,BG}}{k_B \cdot T} / \frac{I_C}{q_e}}_{\text{+ Dynamic BG}}$$

SEY:

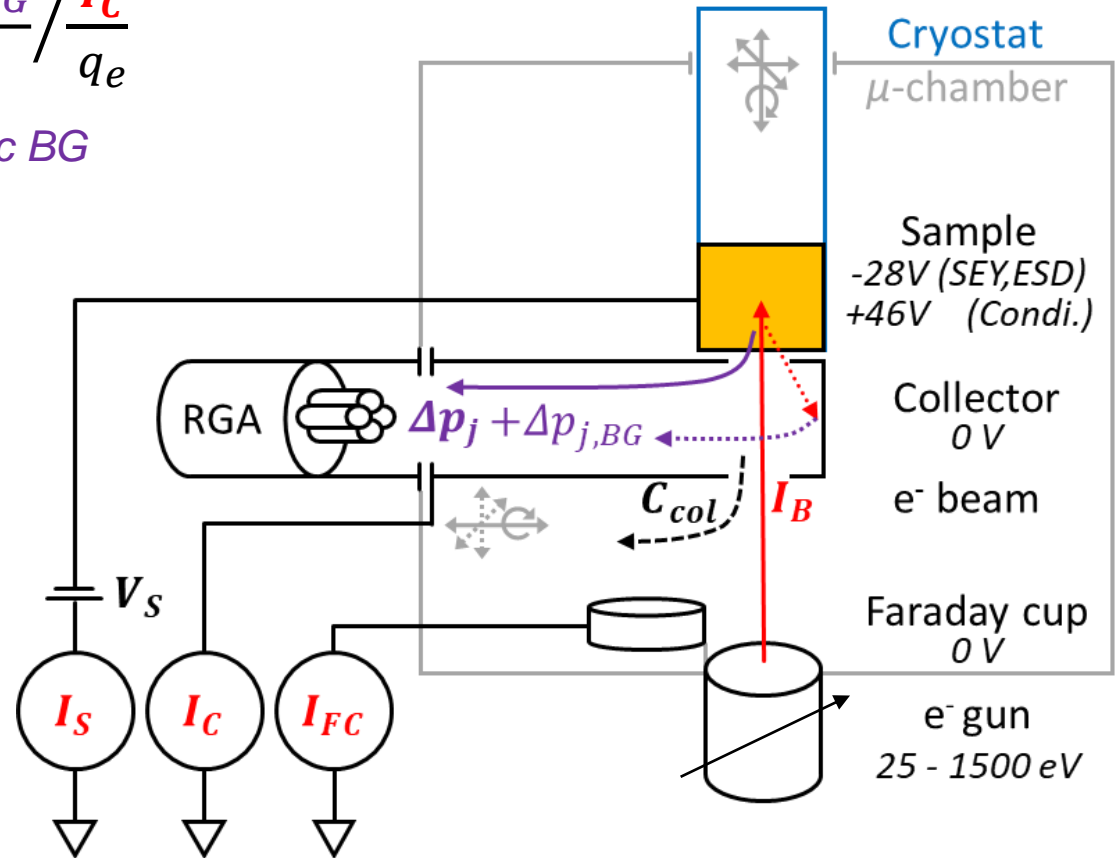
$$\delta(E, D) = \frac{I_{SE}}{I_B} = \frac{I_C}{I_C + I_S}$$

Collector

Closed geometry to capture molecules and e⁻
 Simultaneous SEY and ESD measurement
 Conductance-limited pumping, defined by geometry
 Gas dosing possibility

Low-energy

Retarding sample bias to reach 0 eV



SEY measurement

Secondary electron yield:

$$\delta(E, D) = \frac{I_{SE}}{I_B} = \frac{I_C}{I_C + I_S}$$

Engineering relevance

LHC e⁻ multipacting limit

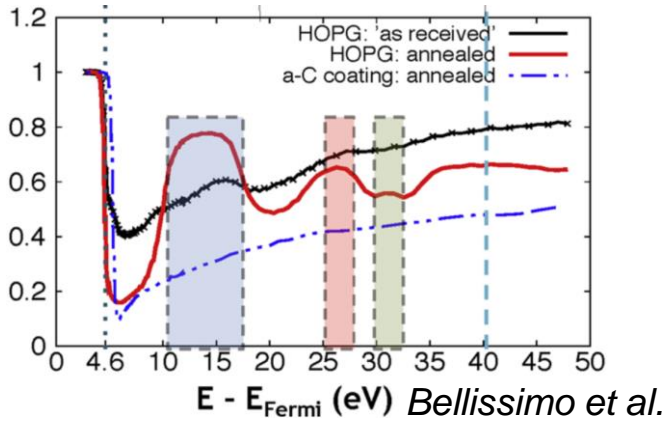
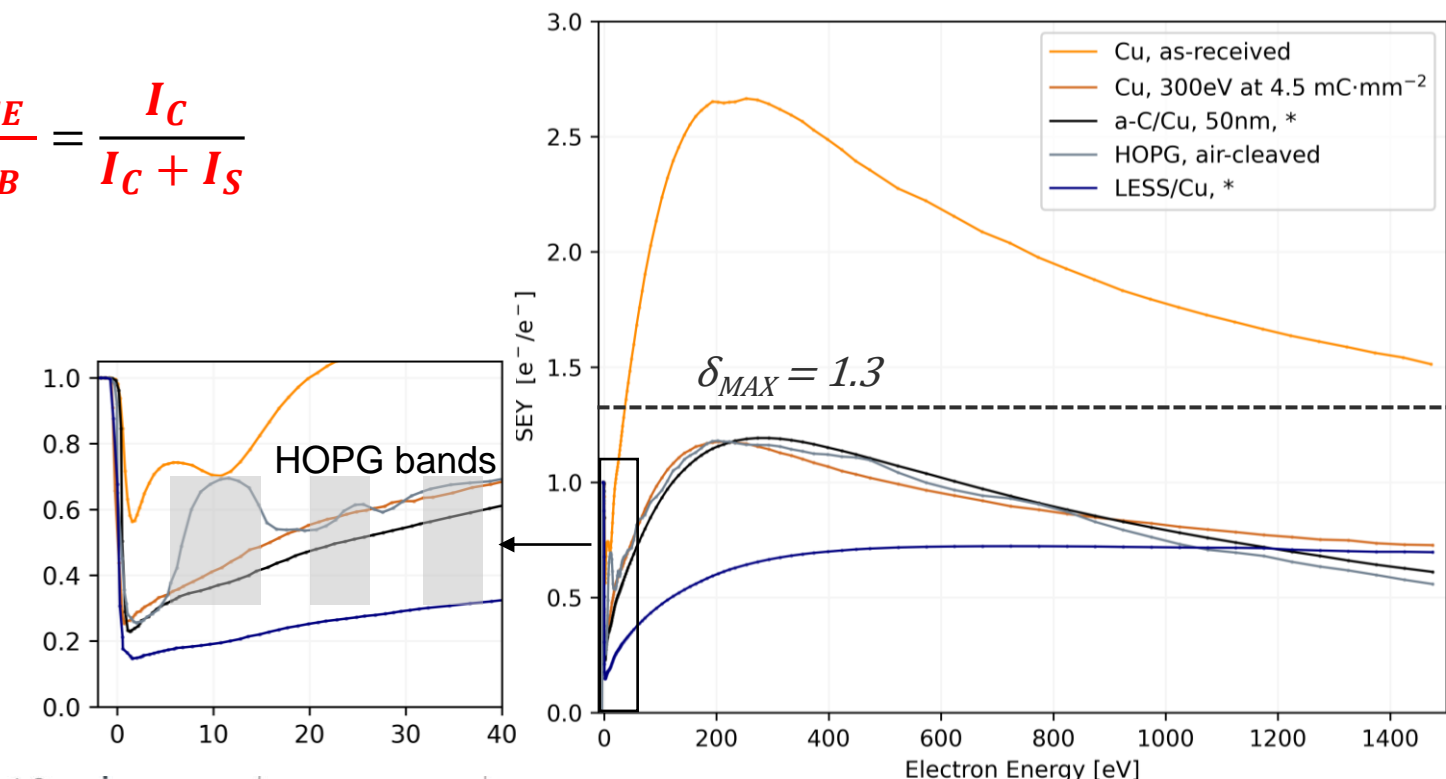
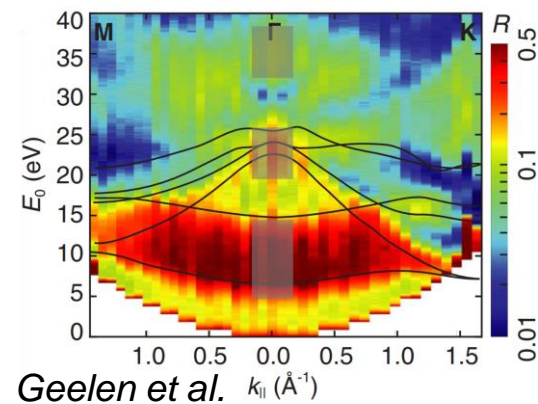
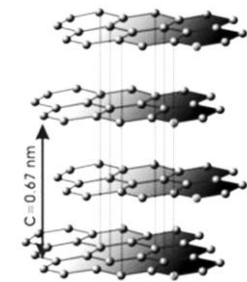
Similar SEY shape between conditioned Cu, a-C & HOPG

Mean of calibration

Set energy scale, verify resolution

HOPG reference – Checks with other labs

HOPG bands imprint onto the LE-SEY



SEY: Cold Cu conditioning at low and high energy

Conditioning

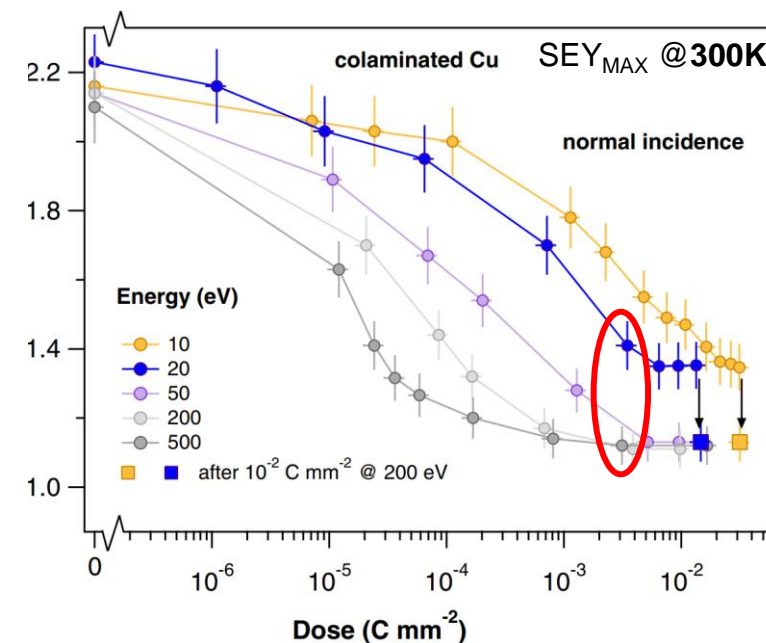
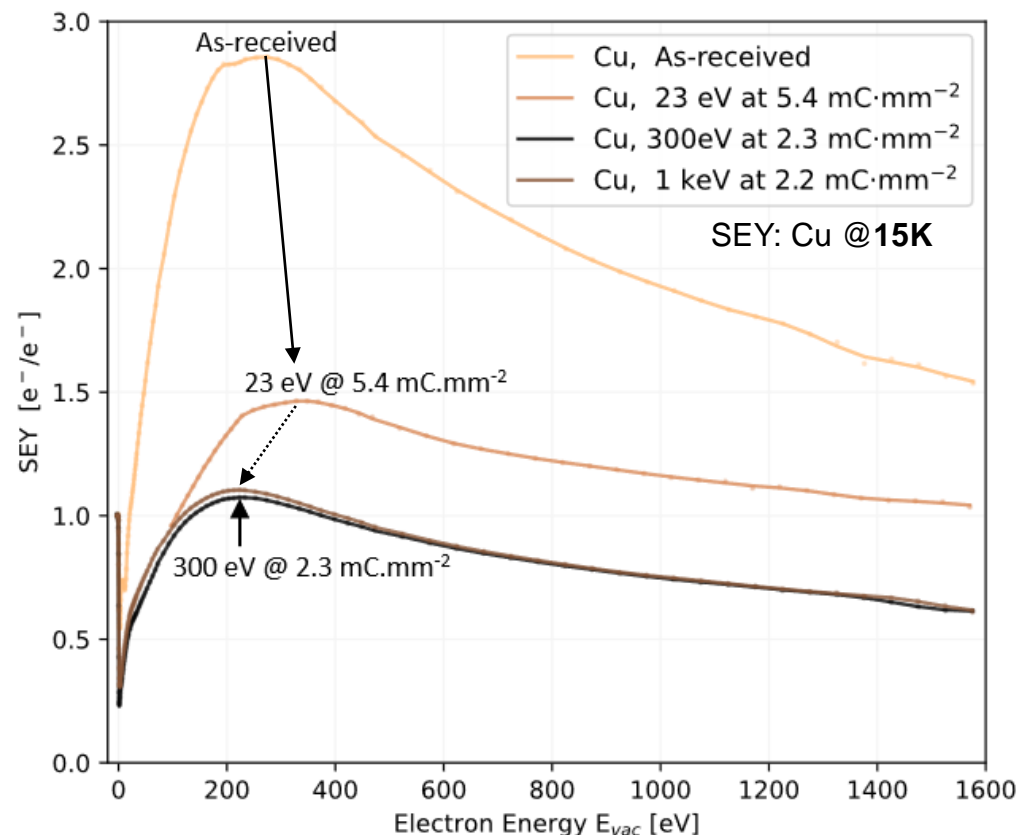
Decrease of SEY and ESD with e^- dose

SEY scrubbing:

Depletion of adsorbed gas & formation of a graphitic layer

High energy electrons are necessary for graphitization.

Known at 300K → **Same story at 15K**, confirmed by C_{1s} peak on XPS



Cimino et al. Nature of the Decrease of SEY by Electron Bombardment and its Energy Dependence. 2012

SEY: Warm Cu conditioning vs initial surface state

Conditioning

Decrease of SEY and ESD with e^- dose

SEY scrubbing:

Depletion of adsorbed gas & **formation of a graphitic layer**

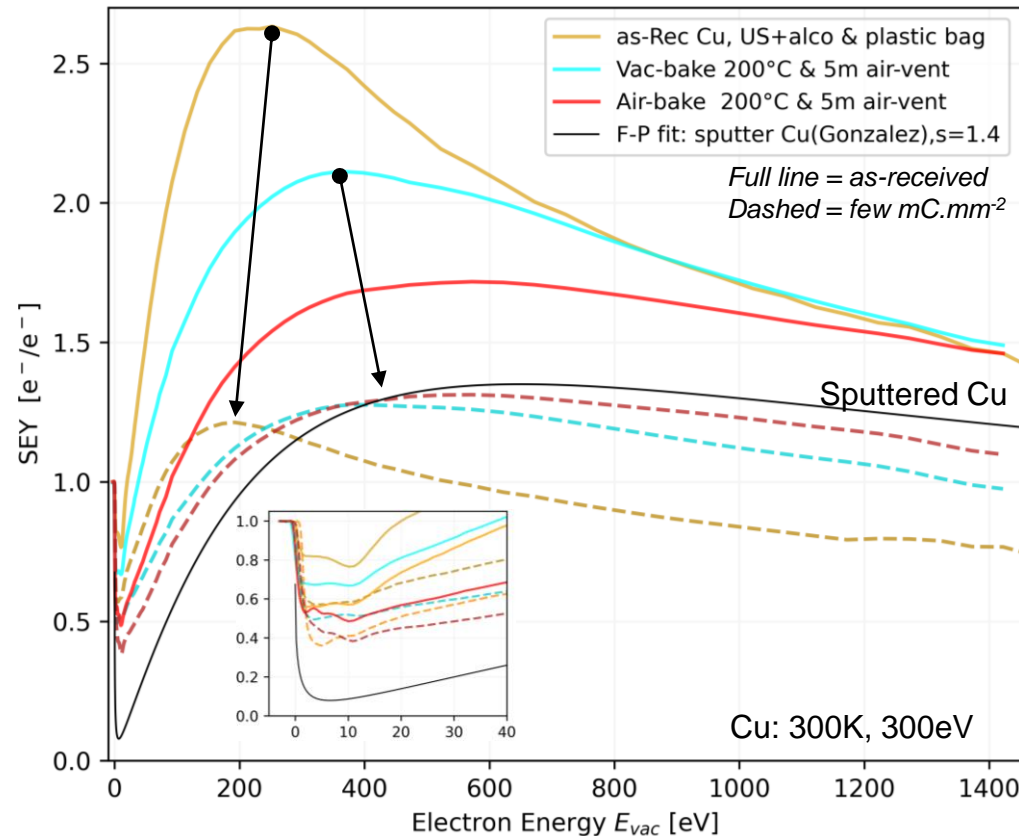
Surface-bound carbon is necessary for graphitization

As-received shape indicates a graphitization potential, $E_{MAX} \approx 200$ eV

"Carbon c'est bon" Nishiwaki & Kato. (2009).
Graphitization of inner surface of copper
beam duct of KEKB positron ring. Vacuum.



Scheuerlein et al. 2002. An AES study of the
room temperature conditioning of
technological metal surfaces by electron
irradiation. Applied Surface Science.



SEY: Cold Cu conditioning vs residual gas composition

Conditioning

Decrease of SEY and ESD with e^- dose

SEY scrubbing:

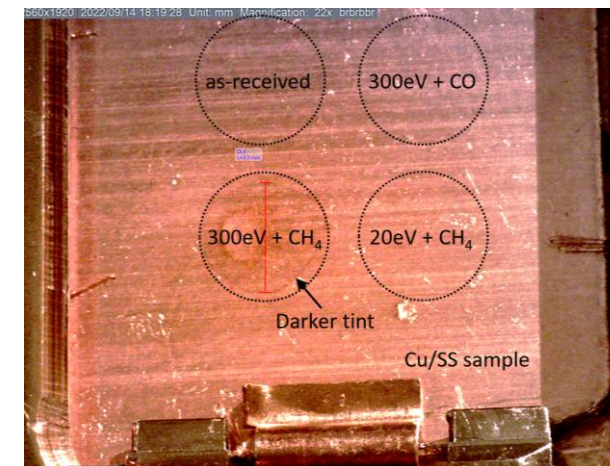
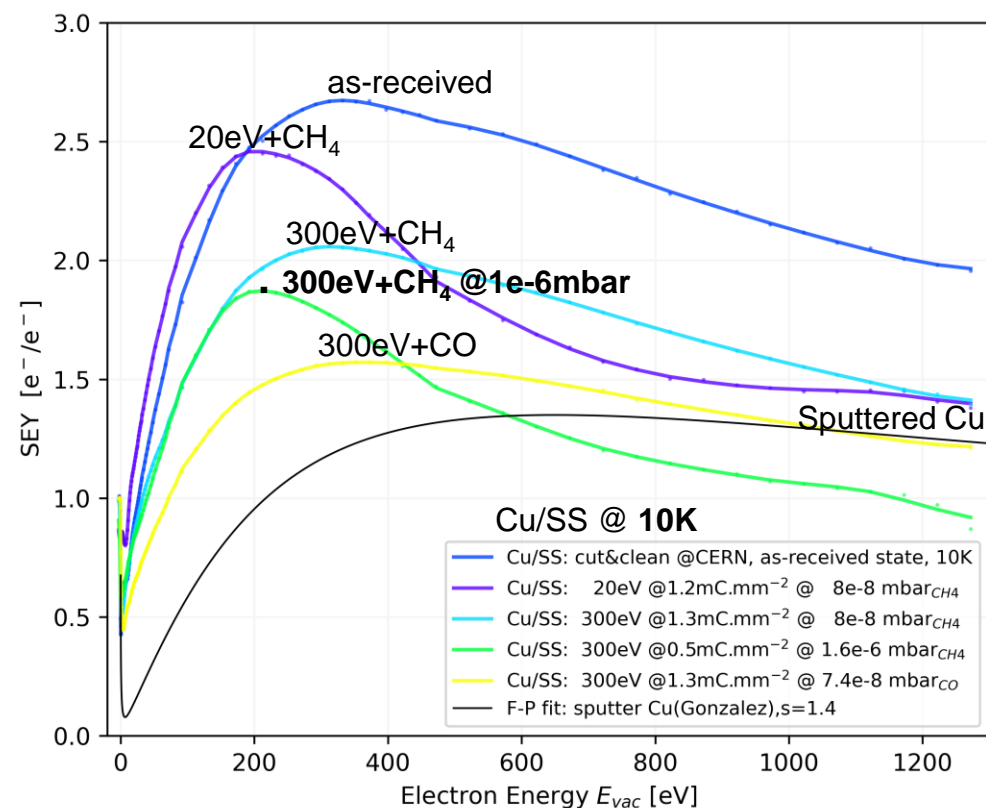
Depletion of adsorbed gas & **formation of a graphitic layer**

The carbon-rich residual gas is insufficient to aid graphitization at this setting (at UHV and 10K)

"Carbon c'est bon" Nishiwaki & Kato.. (2009).
Graphitization of inner surface of copper
beam duct of KEKB positron ring. Vacuum



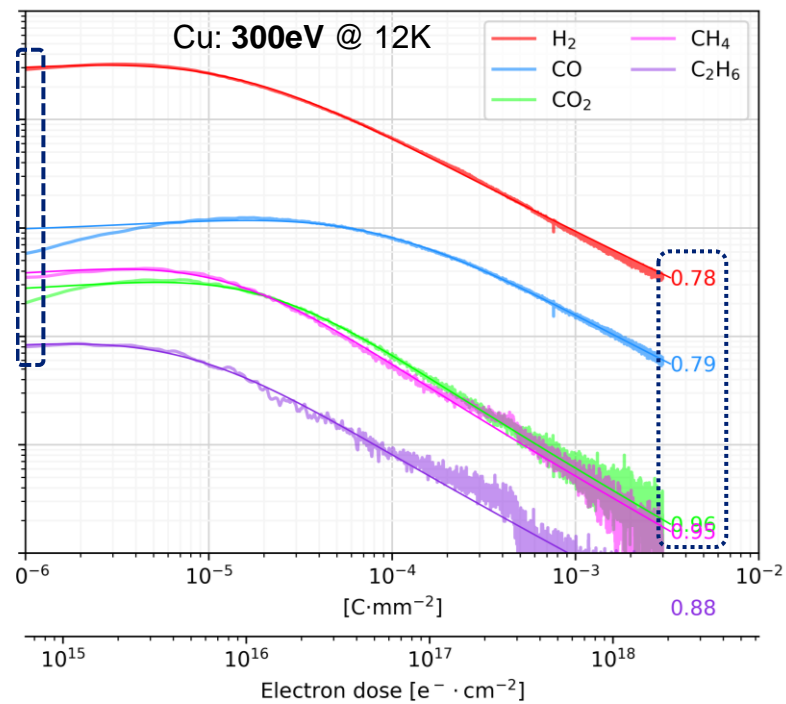
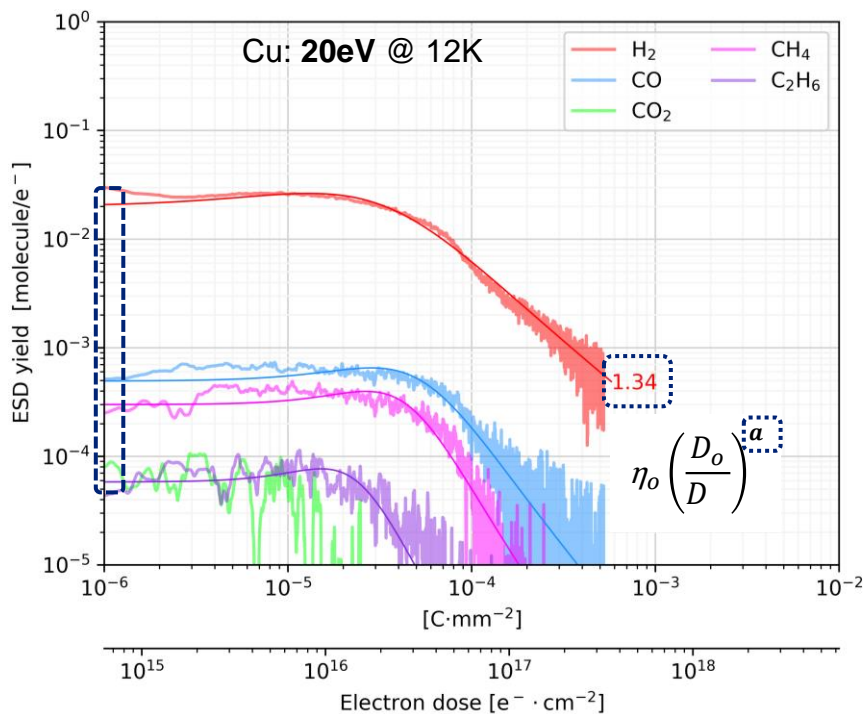
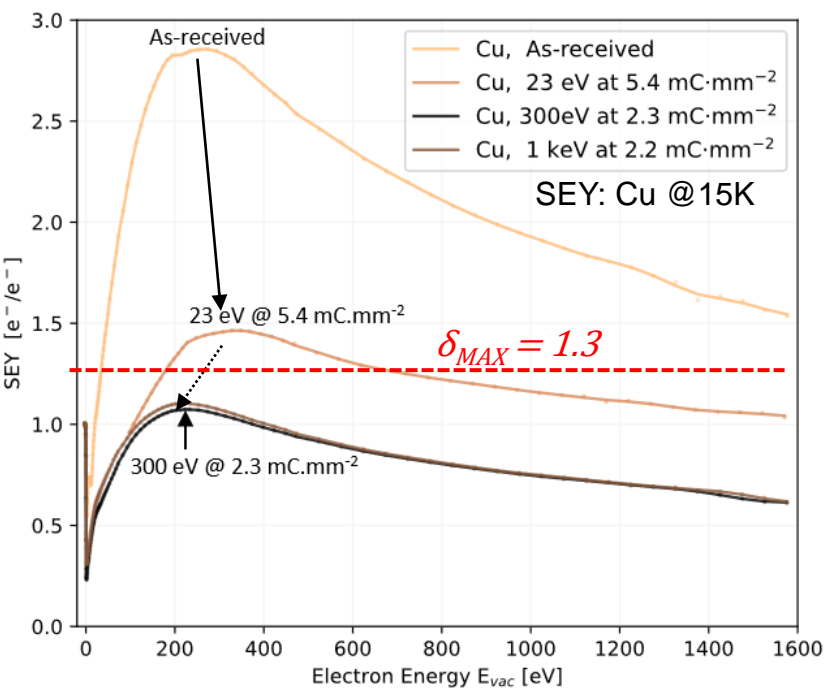
Scheuerlein et al. 2002. An AES study of the
room temperature conditioning of
technological metal surfaces by electron
irradiation. Applied Surface Science



Loose follow-up on a 300K study:
Scheuerlein & Taborelli. Electron stimulated
carbon adsorption in ultrahigh vacuum
monitored by AES. *JVST-A*, 2002.

ESD: Cold Cu conditioning at low and high energy

Conditioning	Decrease of SEY and ESD with e ⁻ dose
SEY scrubbing	Depletion of adsorbed gas & formation of graphitic layer
ESD conditioning:	Depletion of adsorbed gas
	Lower ESD yield at high e ⁻ dose → Lower pressure rise due to e-cloud



ESD: Cold Cu conditioning at low and high energy

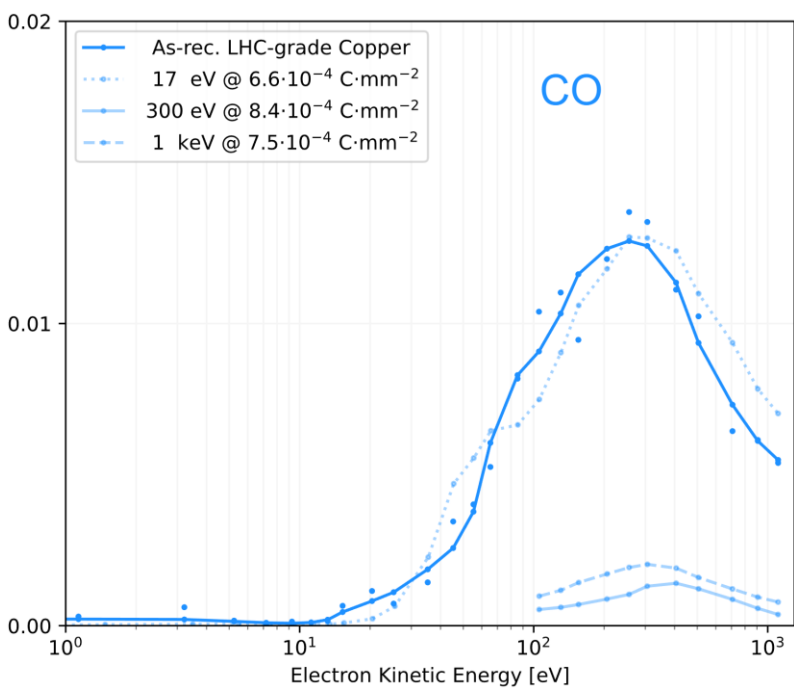
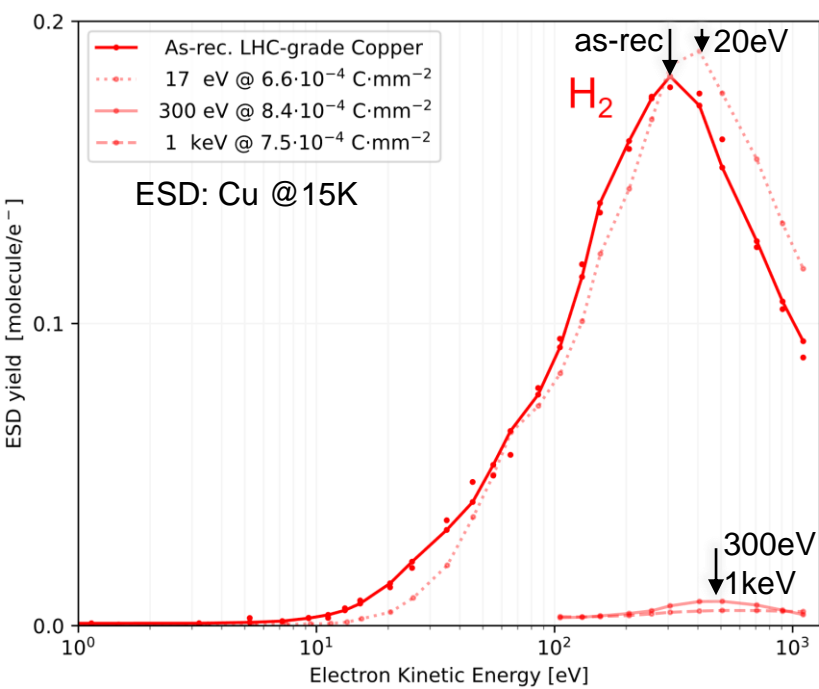
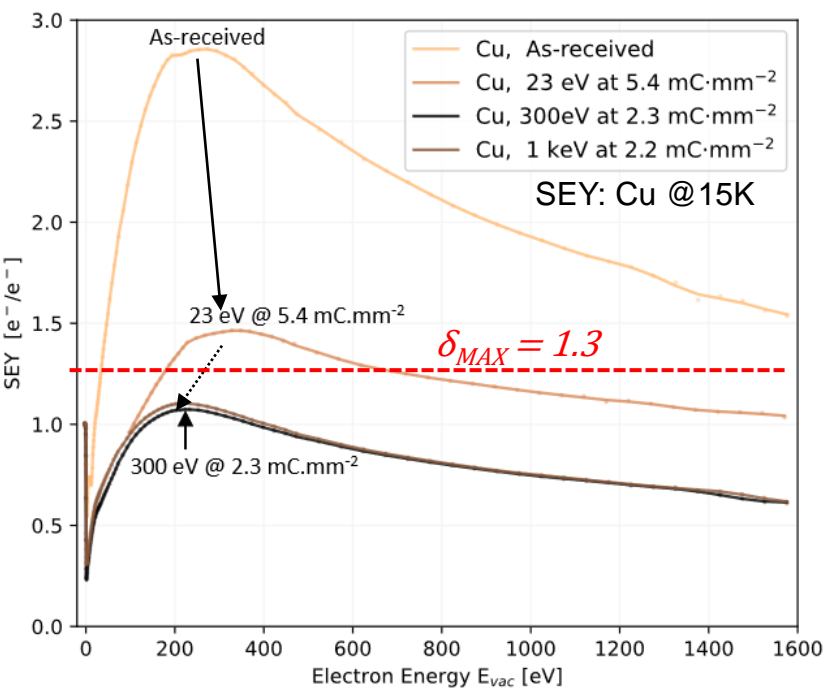
Conditioning Decrease of SEY and ESD with e^- dose

SEY scrubbing Depletion of adsorbed gas & formation of graphitic layer

ESD conditioning: **Depletion of adsorbed gas**

Lower ESD yield at high e^- dose → Lower pressure rise due to e-cloud

20 eV do little - 300 eV conditions best - 1 keV is not proportionally more efficient



ESD energy dependence: Warm Cu

Main desorbing gases: H_2 , CO , CO_2 , CH_4 , C_2H_6 , Ar , H_2O

Threshold around 10eV

zero for: $E_{\text{KIN}, e^-} < E_{\text{THR}}$

Linear until ~200 eV

$$D_{\text{DEPO}} \ll D_{\text{ESC}}$$

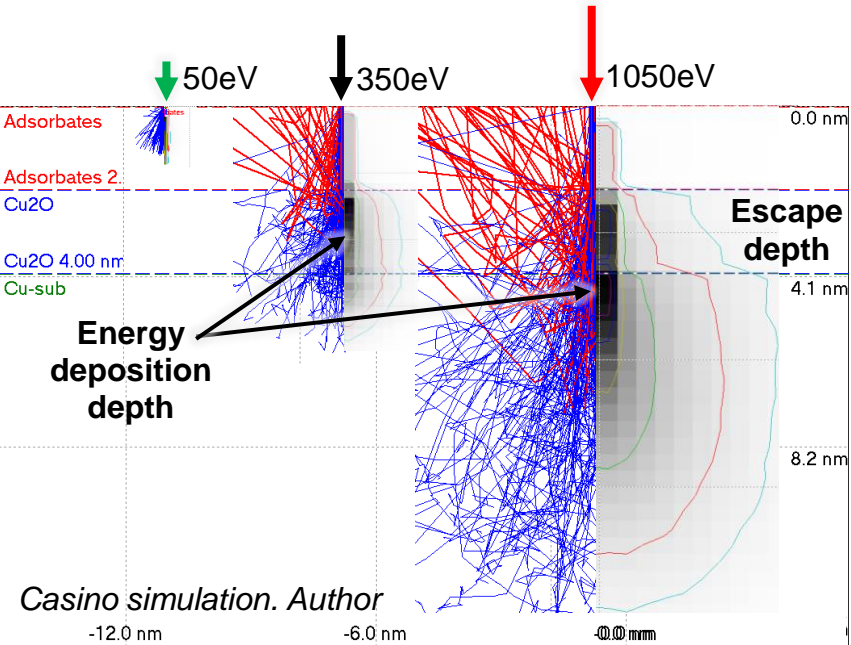
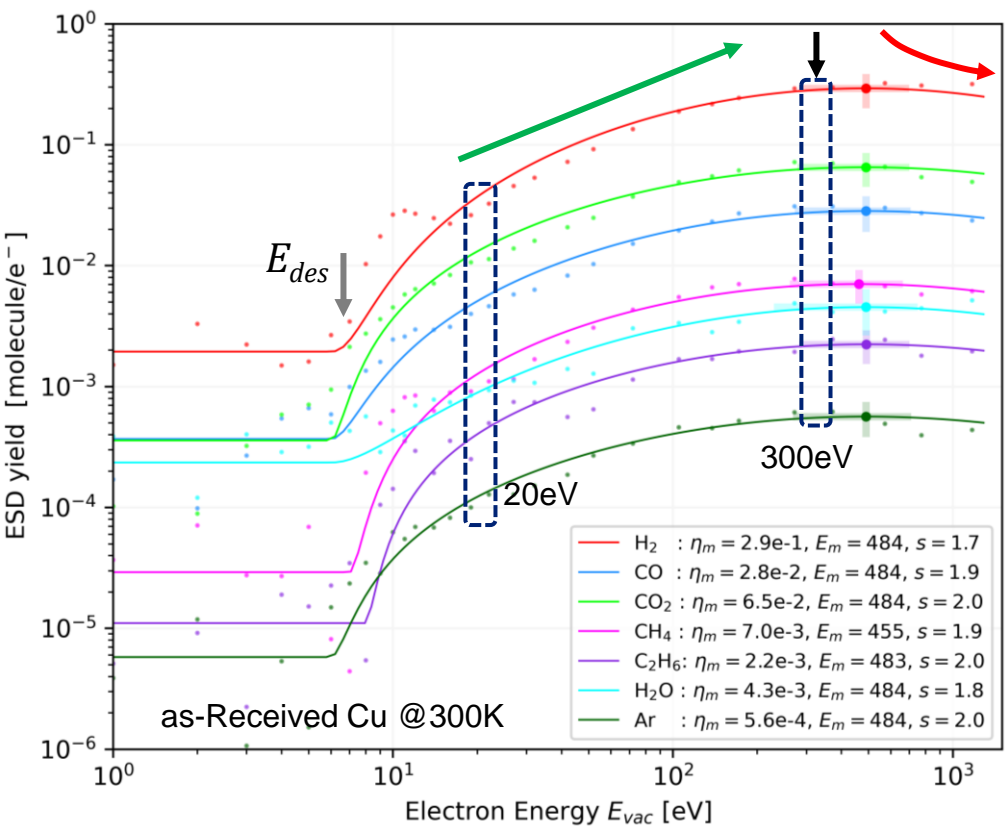
Peak at few hundred eV

$$D_{\text{DEPO}} \approx D_{\text{ESC}}$$

Decay above ~1 keV

$$D_{\text{DEPO}} \gg D_{\text{ESC}}$$

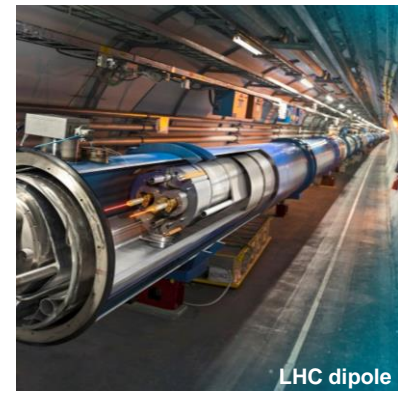
Follows the DIET theory



From SEY & ESD to Δp_j

Knowing the SEY \leftrightarrow EC \leftrightarrow ESD interplay allows calculating the dynamic pressure rise due to ESD

Most of Δp_j is caused by the beam-accelerated e^-



LHC dipole

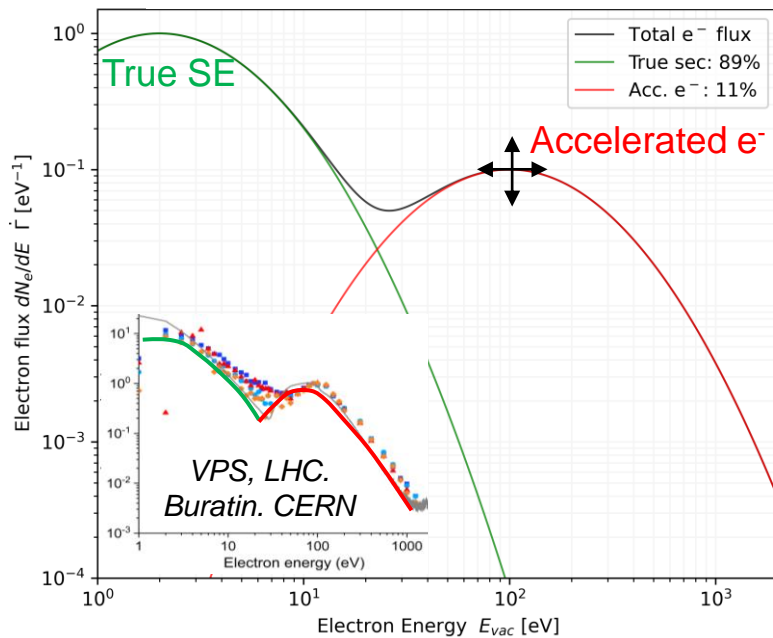
$$\dot{r}_{e-}(E,D)$$

*

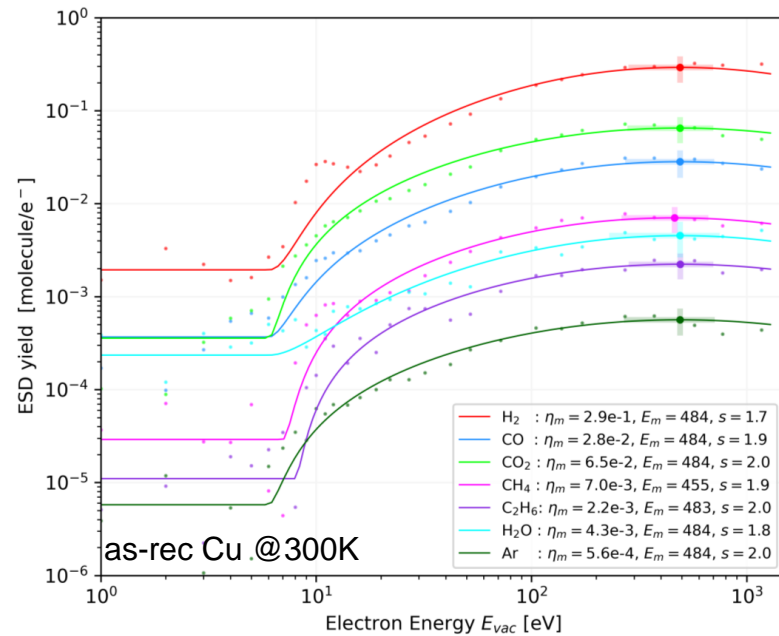
$$\eta_{e-j}(E,D)$$

$$\propto Q_j/S_j = \Delta p_j$$

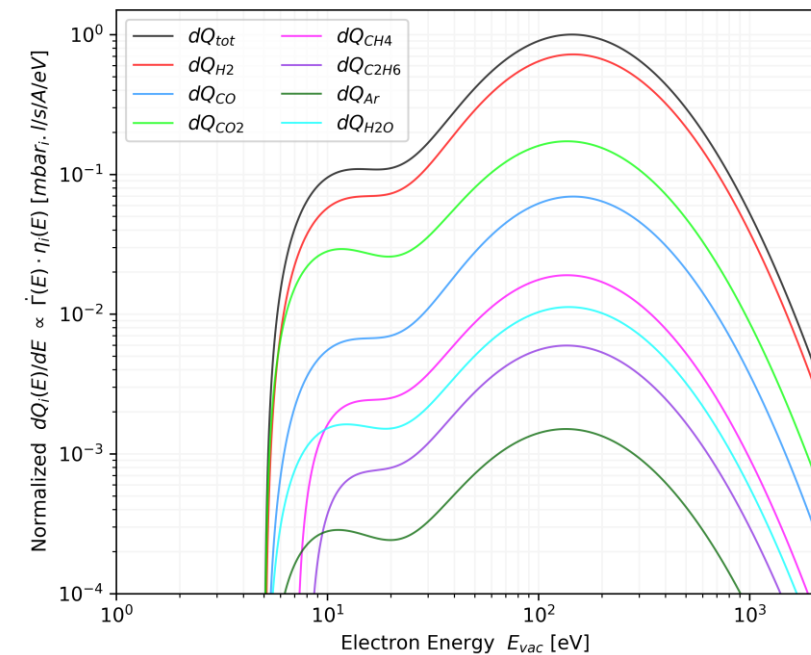
$$2x: \dot{r}_{e-}(E) = \dot{r}_{max} \cdot \exp\left(\frac{-\ln^2(E/E_{max})}{2\sigma^2}\right)$$



$$\eta(E) = \eta_{max} \cdot \exp\left(\frac{-\ln^2((E-E_{thr})/E_{max})}{2\sigma^2}\right) + \eta_{BG}$$



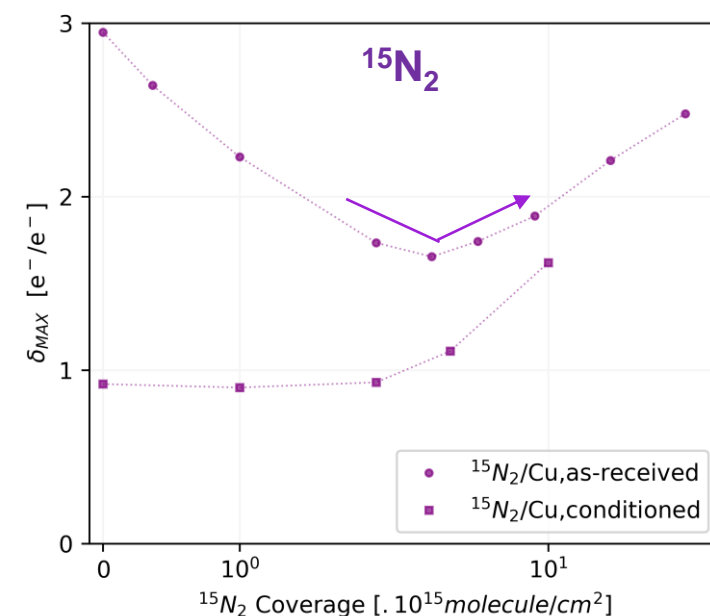
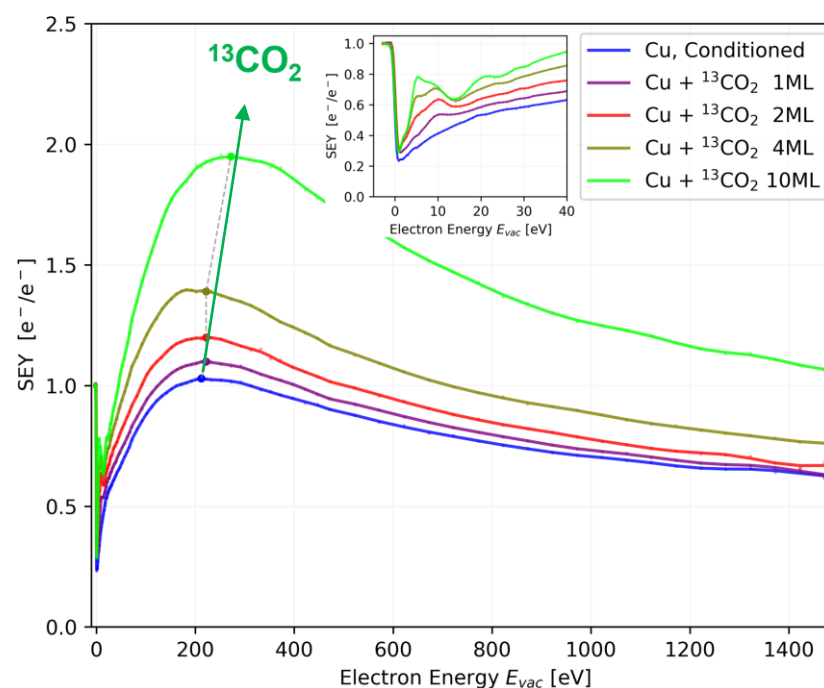
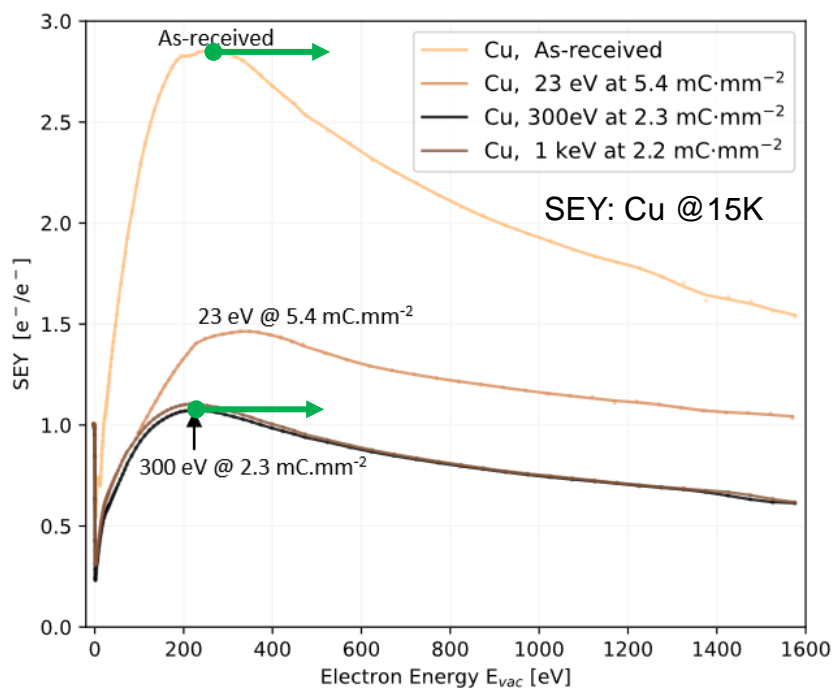
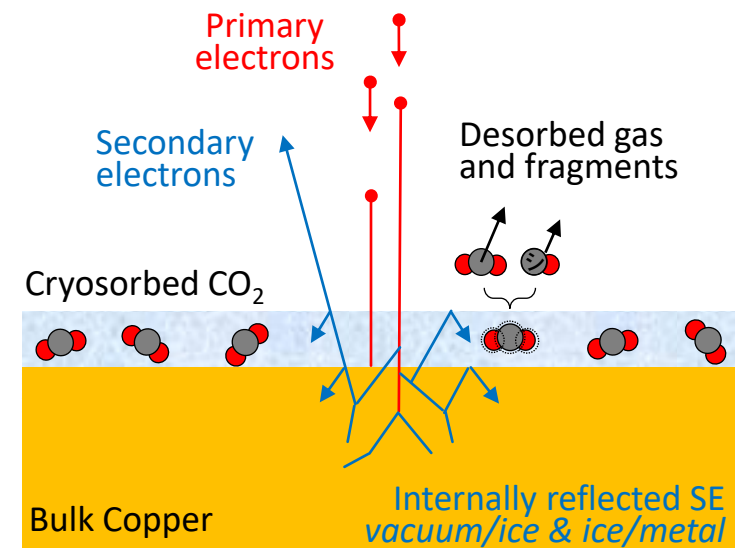
ESD gas load per eV



SEY: gas on conditioned Cu

Ice = cryosorbed gas, weakly-bound

SEY is substrate-agnostic @10ML (non-porous)



SEY: gas on conditioned Cu

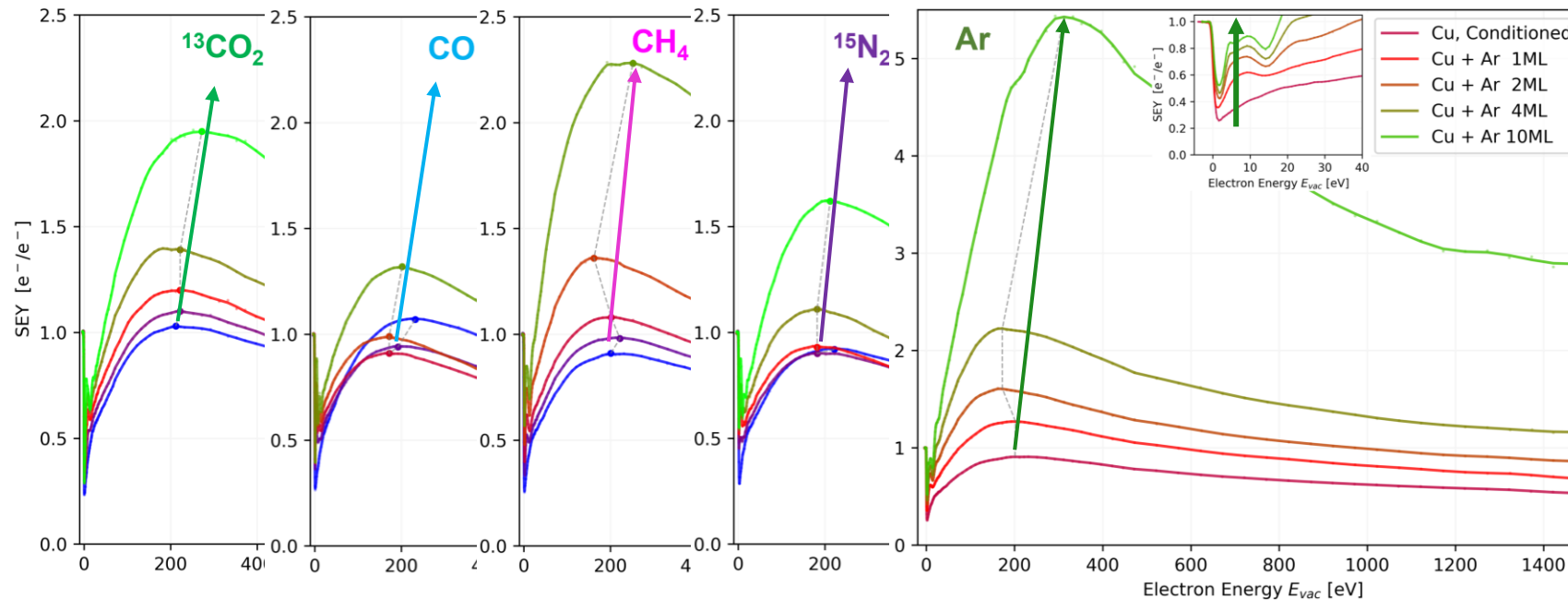
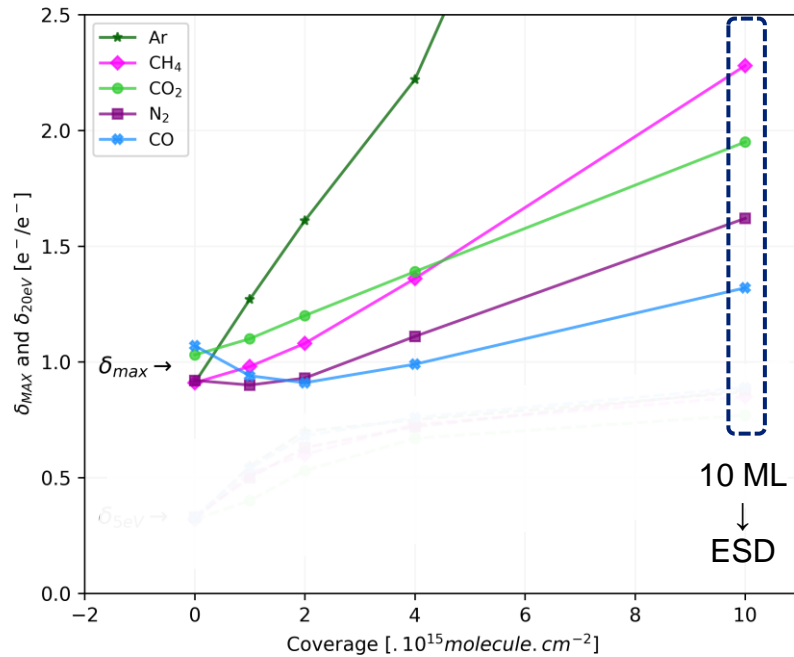
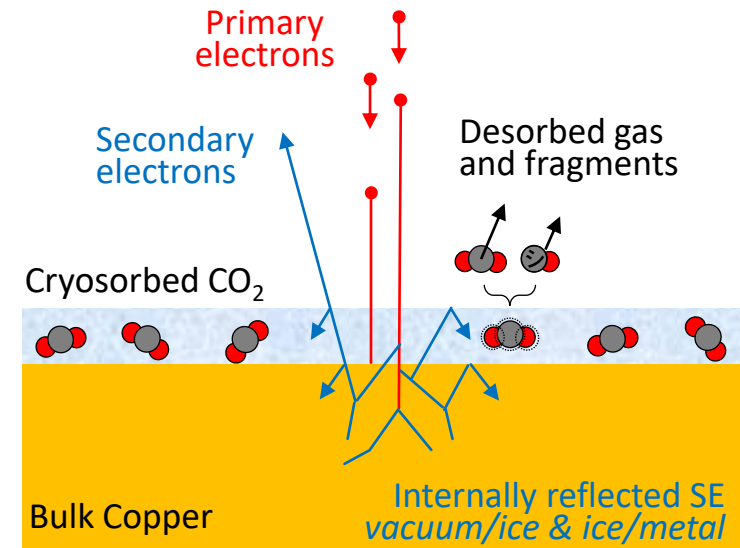
Ice = cryosorbed gas, weakly-bound

SEY is substrate-agnostic @10ML

LE-SEY is particularly sensitive, even low coverages

Higher δ_{MAX} and $E_{MAX} \rightarrow e^-$ multipacting

Higher reflectivity at LE-SEY $\rightarrow e^-$ survival \rightarrow faster EC build-up transients



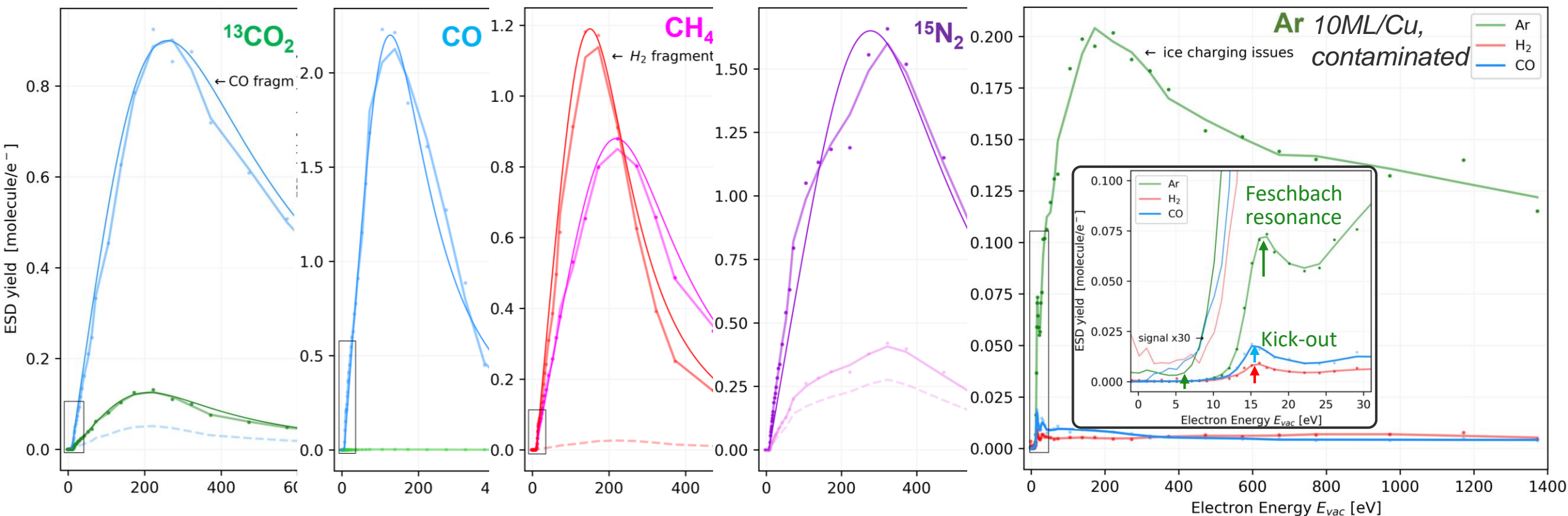
ESD of ices at 10ML

Ice = cryosorbed gas, weakly-bound

→ high ESD yields

→ significant cracking, induced by SE

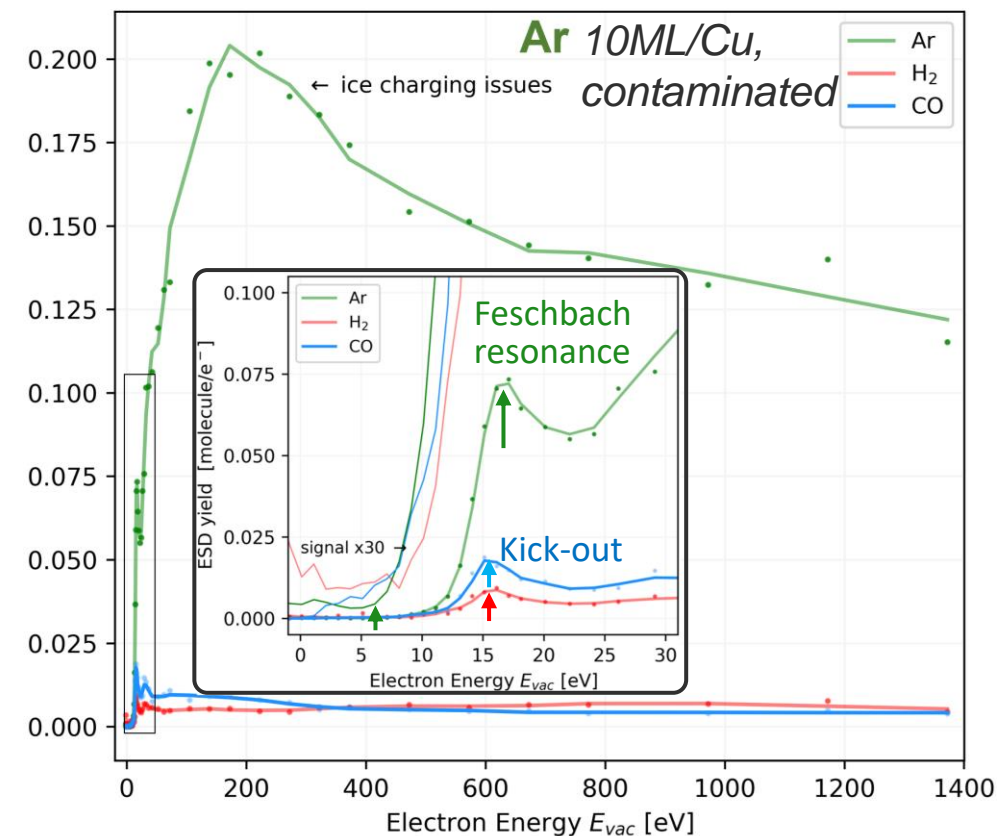
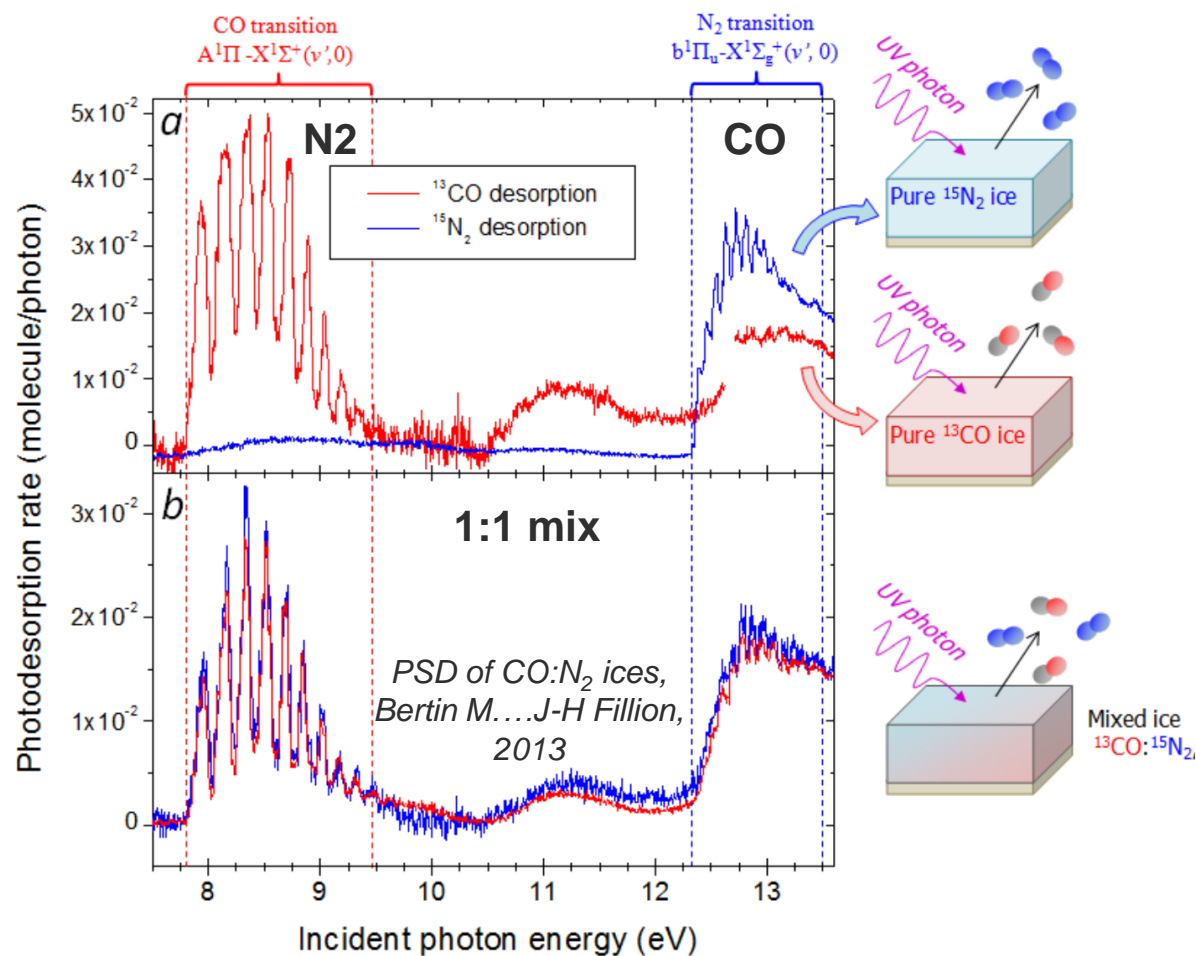
$$\eta(E) = \eta_{max} \cdot \exp\left(\frac{-\ln^2((E-E_{thr})/E_{max})}{2\sigma^2}\right)$$



ESD of ices at 10ML

Mutual ESD yield influence in a mixture

Both enhancement and quench are possible



Binary ices: SEY & ESD at 10ML

Non-linear mapping of SEY & ESD with composition

Linear averaging leads to factor ~2 errors

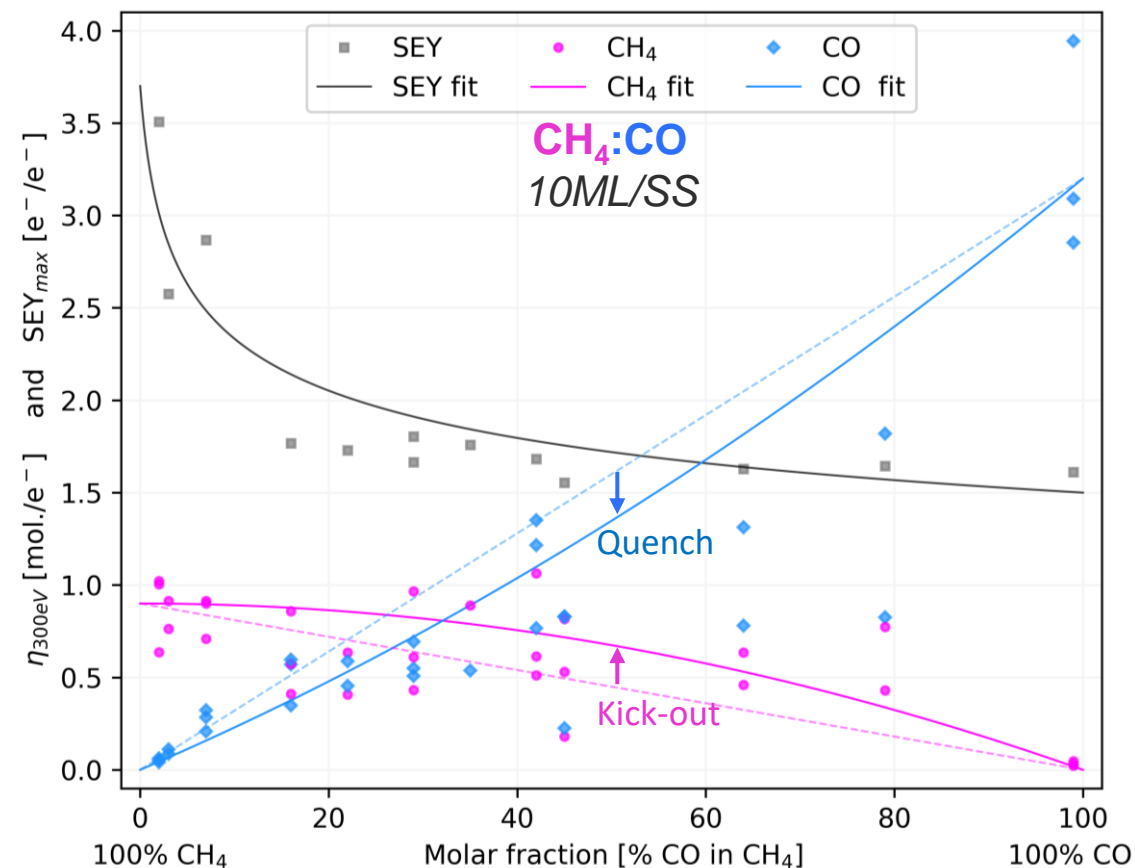
Mutual influence of desorption yields also observed in PSD:

- Bertin, M., Fayolle, E. C., Romanzin, C., Poderoso, H. A., Michaut, X., Philippe, L., ... & Fillion, J. H. (2013). Indirect Ultraviolet Photodesorption From CO: N2 Binary Ices—an Efficient Grain-gas Process. *The Astrophysical Journal*, 779(2), 120.

Quenching behaviour is also known:

- Dupuy, R., Haubner, M., Henrist, B., Fillion, J. H., & Baglin, V. (2020). Electron-stimulated desorption from molecular ices in the 0.15–2 keV regime. *Journal of Applied Physics*, 128(17), 175304.
- Reimann, C. T., W. L. Brown, and R. E. Johnson. Electronically stimulated sputtering and luminescence from solid argon. *Physical Review B* 37.4 (1988): 1455.

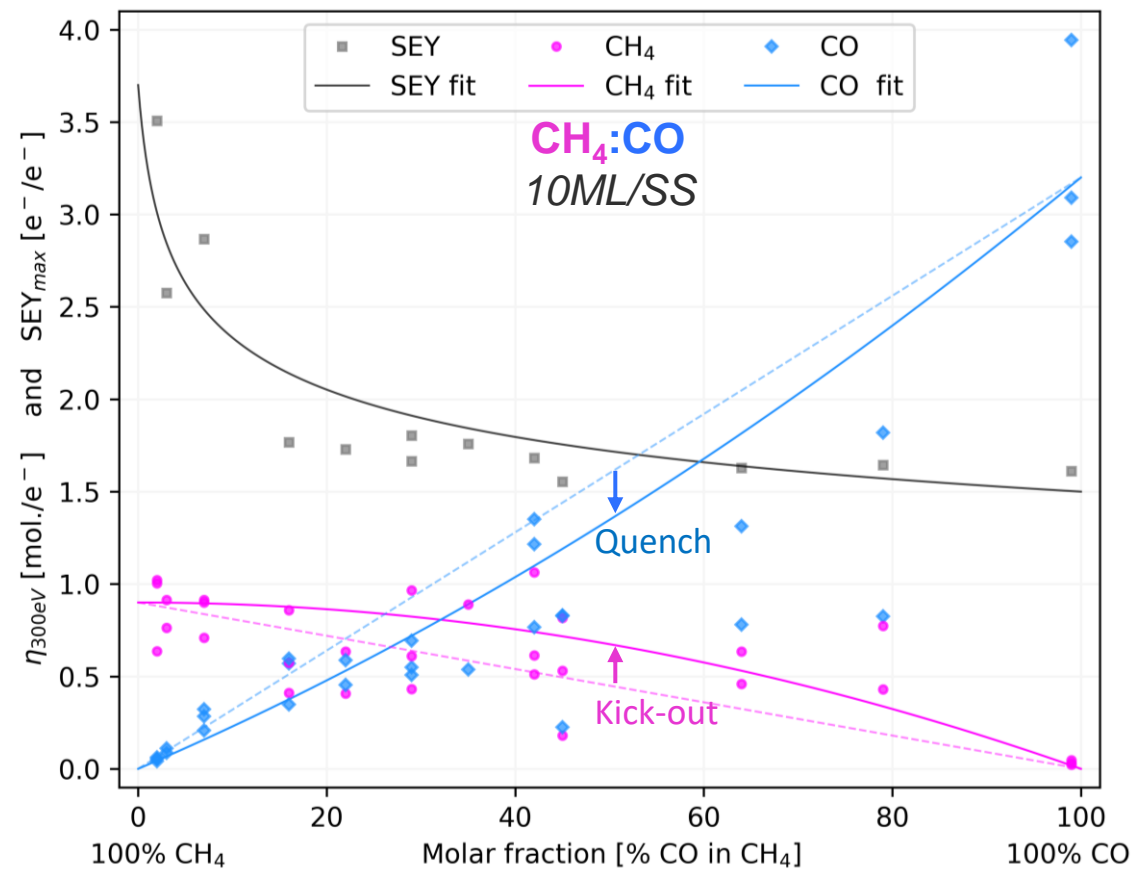
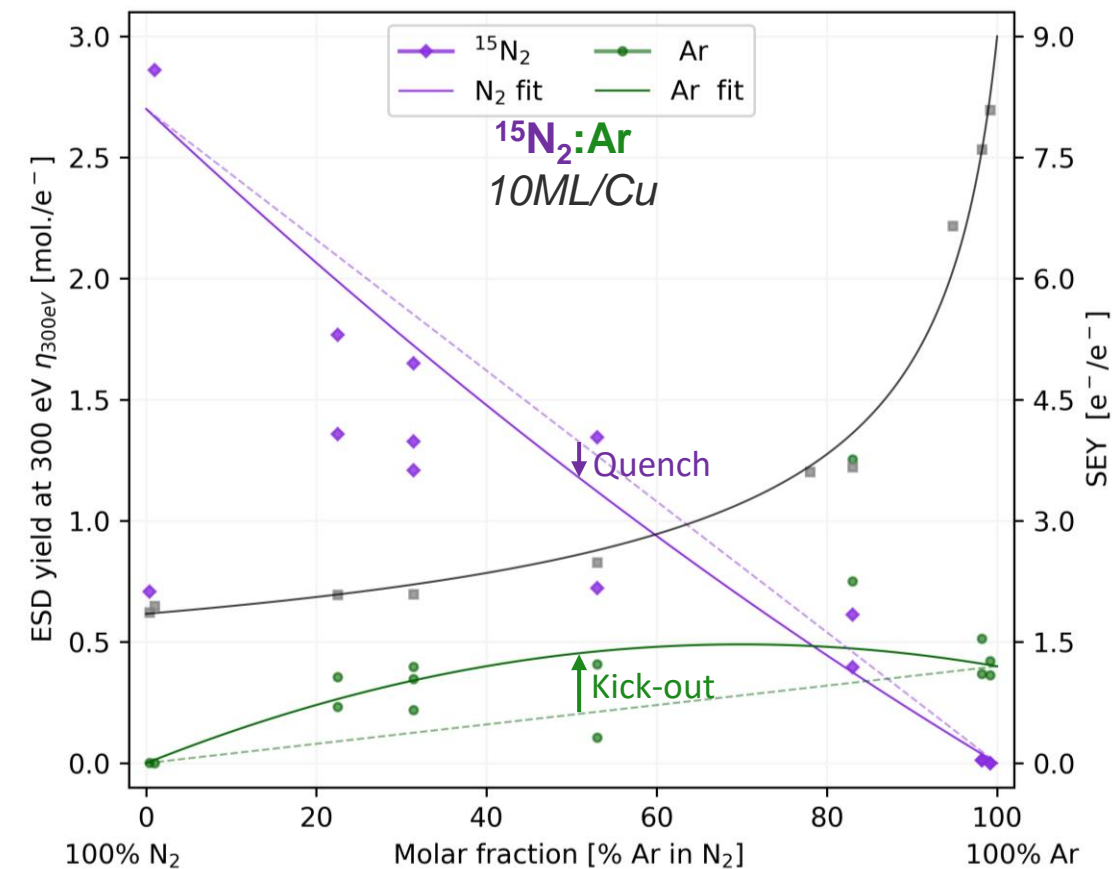
ESD and SEY often anticorrelate in thick ices (!)



Binary ices: SEY & ESD at 10ML

Non-linear mapping of SEY & ESD with composition

Linear averaging leads to factor ~2 errors



Binary ices: simple model for SEY & ESD

Simple model: $k \approx 0.5$, $n = 3 \sim 5$

$$\text{SEY: } \delta_{A:B,max}(x) = \sqrt[n]{(x-1) \cdot \eta_{A,max}^n + x \cdot \delta_{B,max}^n}$$

$$\text{ESD: } \eta_{A:B \rightarrow A}(x) = (x-1) \cdot \eta_A + \eta_B \cdot x(x-1) \cdot \frac{\eta_B - \eta_A}{\eta_A + \eta_B} \cdot k^{\text{sign}(B-A)}$$

$$\eta_{A:B \rightarrow B}(x) = x \cdot \eta_B + \eta_A \cdot x(x-1) \cdot \frac{\eta_A - \eta_B}{\eta_A + \eta_B} \cdot k^{\text{sign}(A-B)}$$

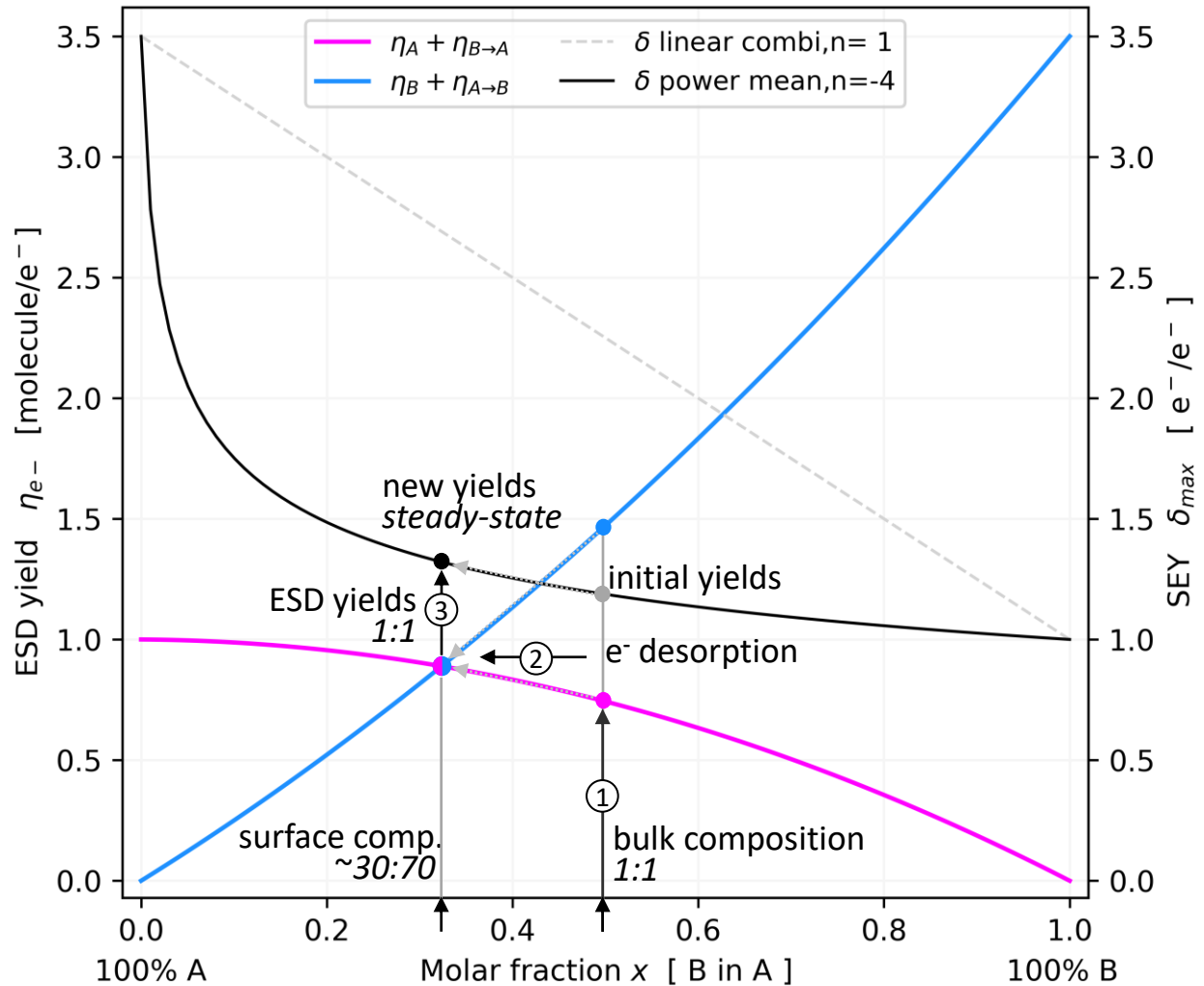
Possible generalization to n -components

If similar to multicomponent sputtering:

(Ion Implantation and Synthesis of materials, Nastasi & Mayer, 2006)

e^- irradiation pushes the SEY and ESD to a new steady state, where the ESD yield ratio = bulk composition ratio

New SEY appears, following the newly established ESD ratio on the e^- irradiated thick ice surface.



Conclusions

New collector-based setup for cold ESD, SEY & TPD

Developed methods to probe low-energy region of ESD and SEY in conditions relevant to HL-LHC and other cold machines & applications

New data for technical-grade surfaces & coatings

ESD yield, threshold, conditioning rate and SEY as a function of energy, dose, temperature and cryosorbed gases for Copper and other materials, coatings & treatments

Insights into LHC vacuum and EC-induced dynamic vacuum effect: Conditioning is linked to ESD and SEY reduction, also at cold

Fraction of E-cloud effectively contributes to the conditioning

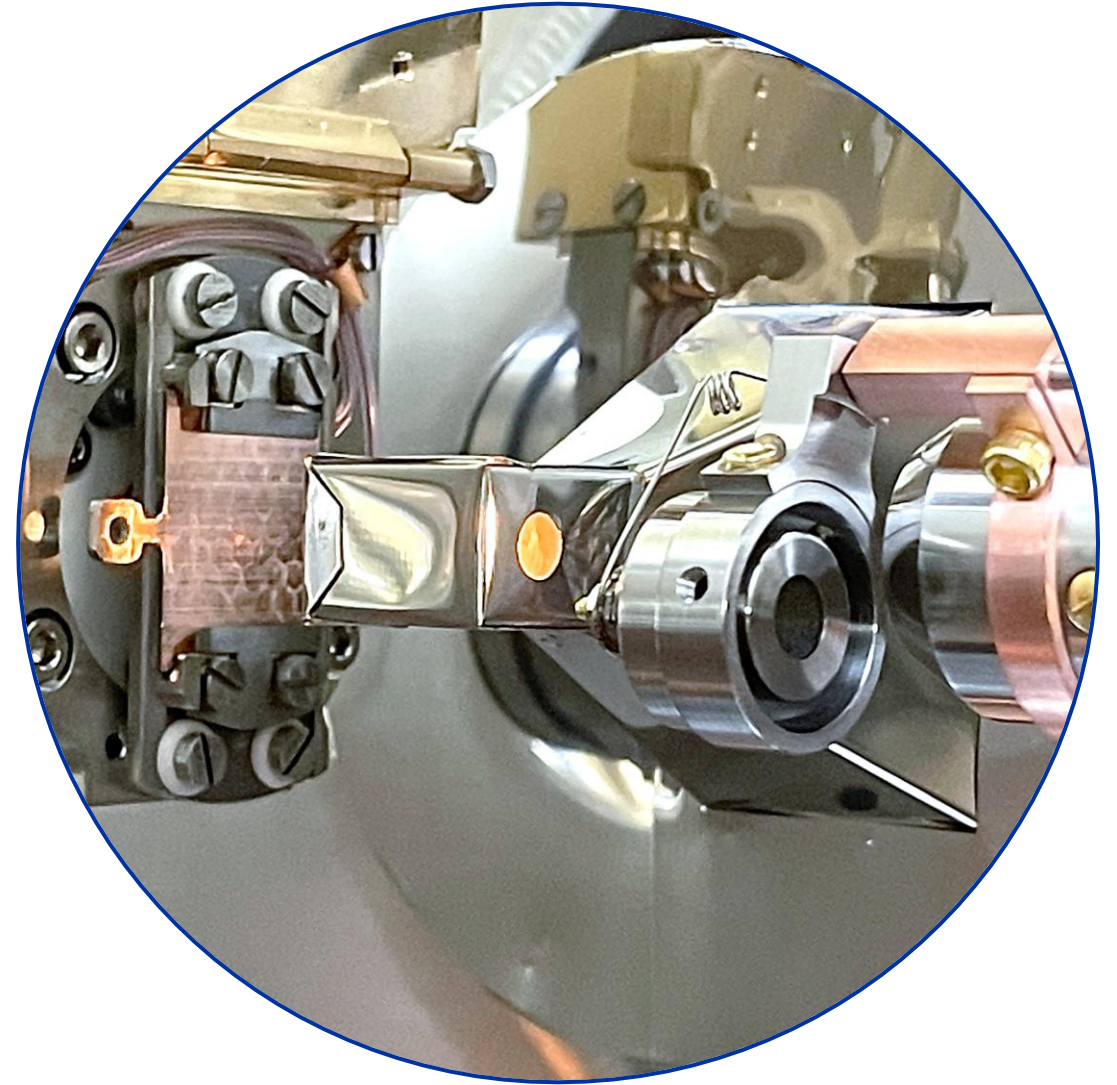
Electrons fragment molecules: different gas dynamics and chemistry

Mixed ices have a strongly nonlinear behavior

Next steps

Explore the parameter space and better understand the processes

Generalize the results for further use: semi-empirical fits to data for simulations



Acknowledgements

Research supported by the **HL-LHC project**



Researcher supported by **CERN** and **CTU in Prague**

Thanks to my colleagues for support

Thanks to the **ECLLOUD'22** organizers

Papers to read:



CZECH
TECHNICAL
UNIVERSITY
IN PRAGUE



Technical paper: Haubner, Baglin, Henrist. (2022). Collector-based measurement of gas desorption and secondary electron emission induced by 0-1.4 keV electrons from LHC-grade copper at 15 K. *Accepted at NIM-B*.



First data paper: Haubner, Baglin, Henrist, (2022). Electron conditioning of technical surfaces at cryogenic and room temperature in the 0-1 keV energy range. *Accepted at Vacuum*.



Thick Ices: Dupuy, R., Haubner, M., Henrist, B., Fillion, J. H., & Baglin, V. (2020). Electron-stimulated desorption from molecular ices in the 0.15–2 keV regime. *Journal of Applied Physics*, 128(17), 175304..