

Critical review of isospin observables for the determination of the symmetry energy

Olivier LOPEZ

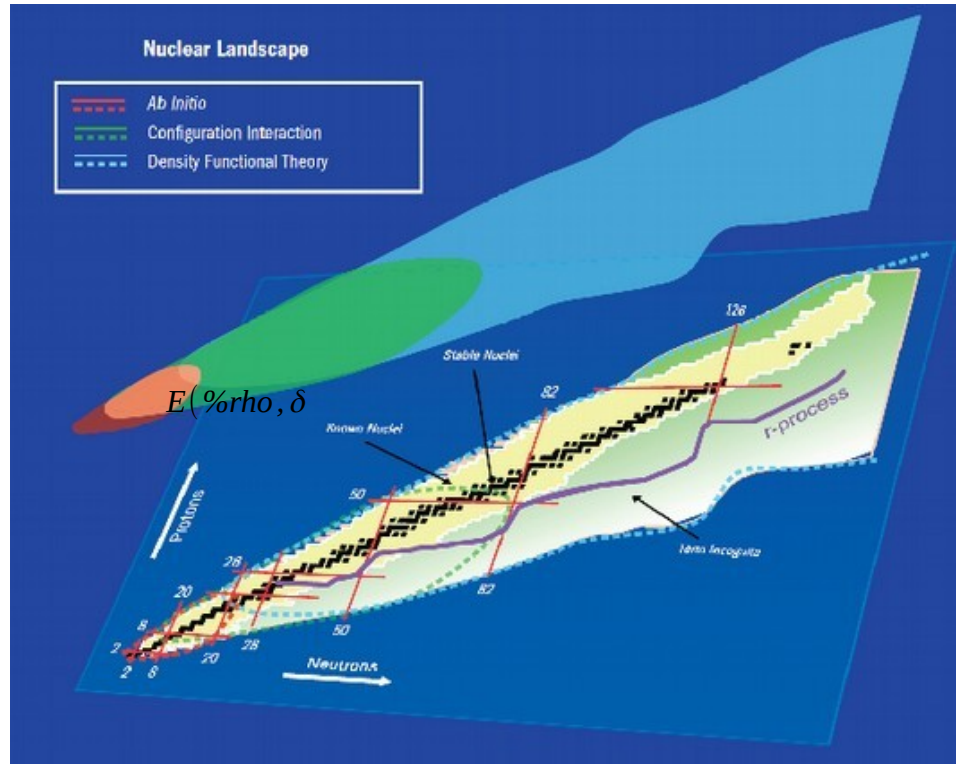
LPC Caen, France

Normandie Université, ENSICAEN, CNRS/IN2P3

NUSYM'21, October 13th, 2021

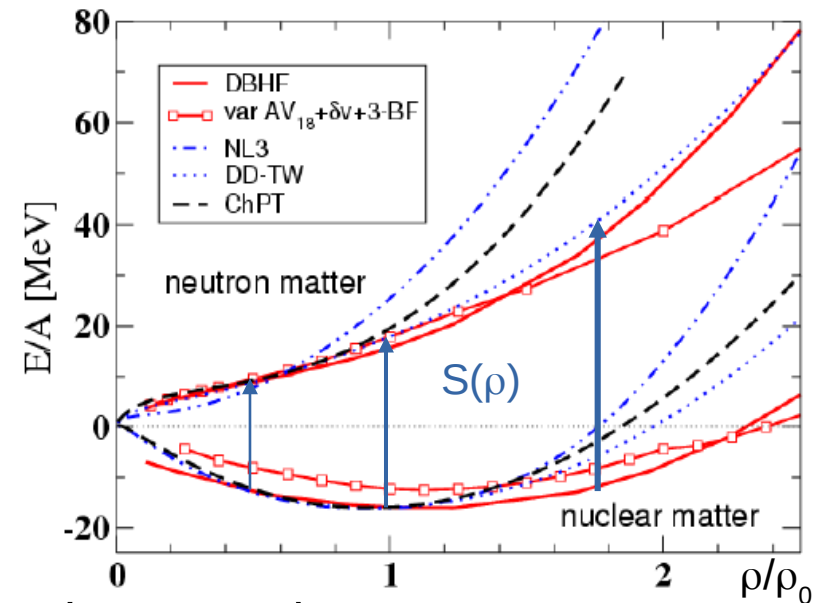


Energy-Density Functional theory is currently the most efficient theoretical framework to understand the structure and dynamics of medium and heavy nuclei.



Energy-Density Functionals

$$H_{eff} = E[\rho]$$



$$E(\rho, \delta)/A = E_{is}(\rho) + S(\rho)\delta^2 + O(\delta^4) \text{ (quadratic approx.)}$$

Taylor expansions up to 4th order around $\delta=0$ ($N=Z$) and $x=0$ ($\rho=\rho_0$)

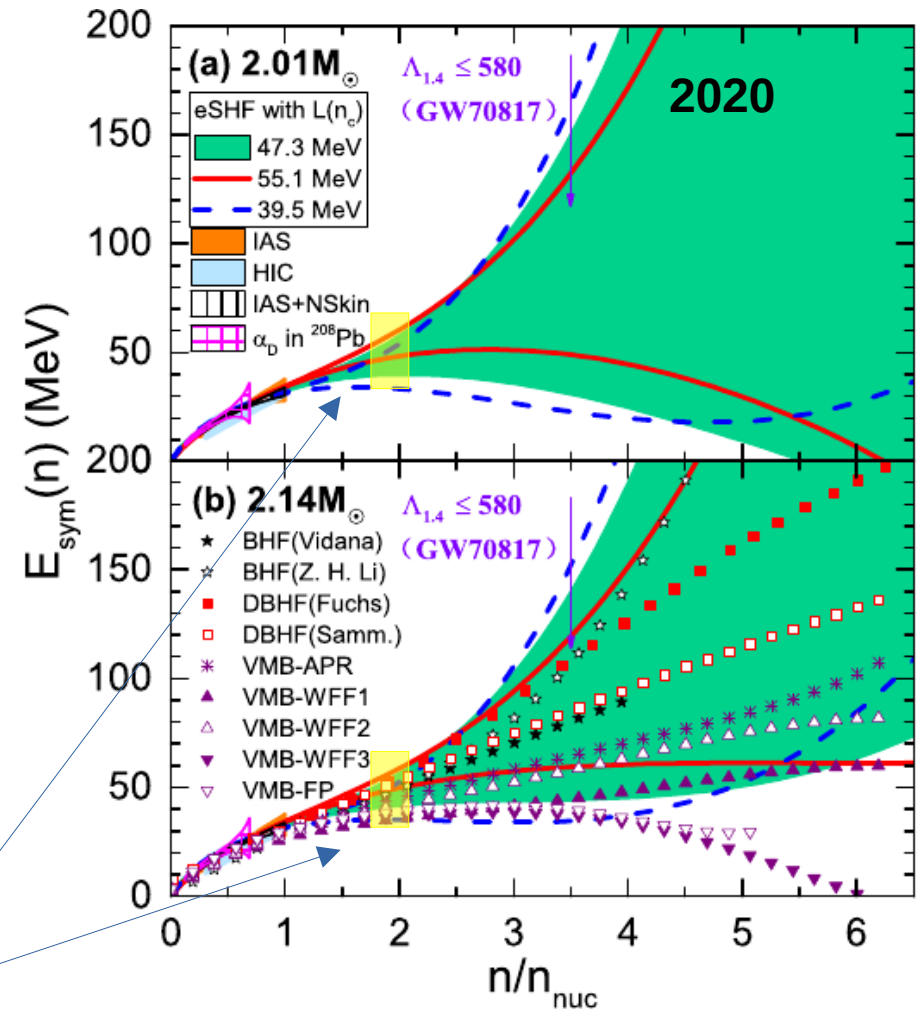
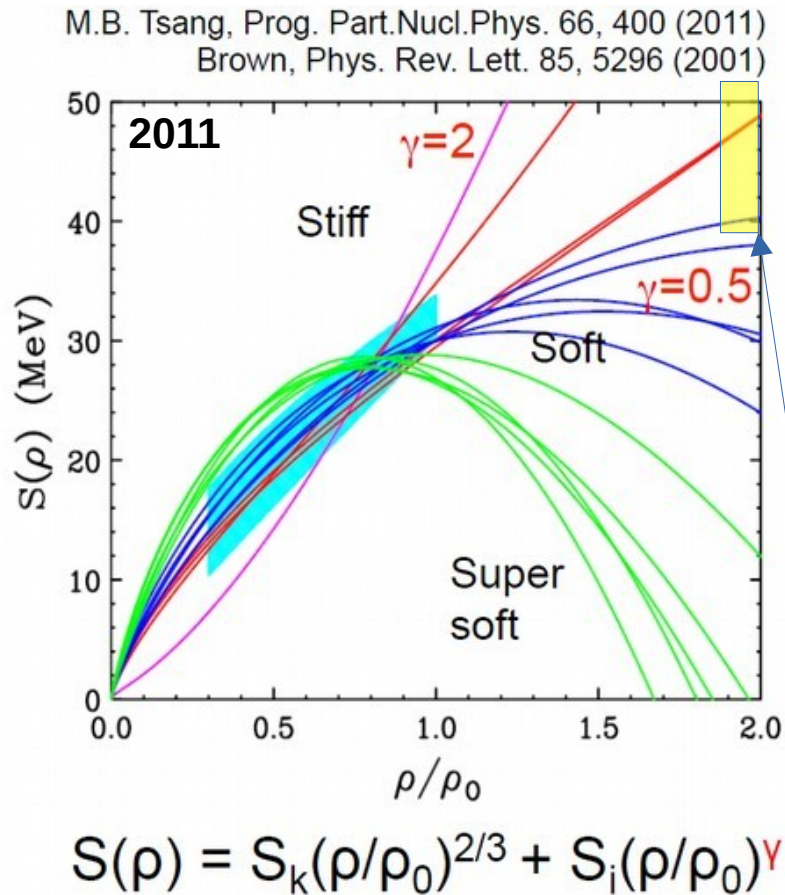
$$x = (\rho - \rho_0)/3\rho_0$$

$$\delta = (\rho_n - \rho_p)/\rho$$

Isoscalar $E_{is}(\rho) = E_0 + K_0 x^2/2! + Q_0 x^3/3! + Z_0 x^4/4! + O(x^5)$

Isovector $S(\rho) = S_0 + L_{sym}x + K_{sym}x^2/2! + Q_{sym}x^3/3! + Z_{sym}x^4/4! + O(x^5)$

Density dependence of Symmetry Energy



Super-soft EOS is ruled out by ASY-EOS 1/2 and high NS masses ($>2.1 M_\odot$)

PSR J0740+6620, PSR J0348+0432

P. Russotto, et al., PLB **697**, 471 (2011)

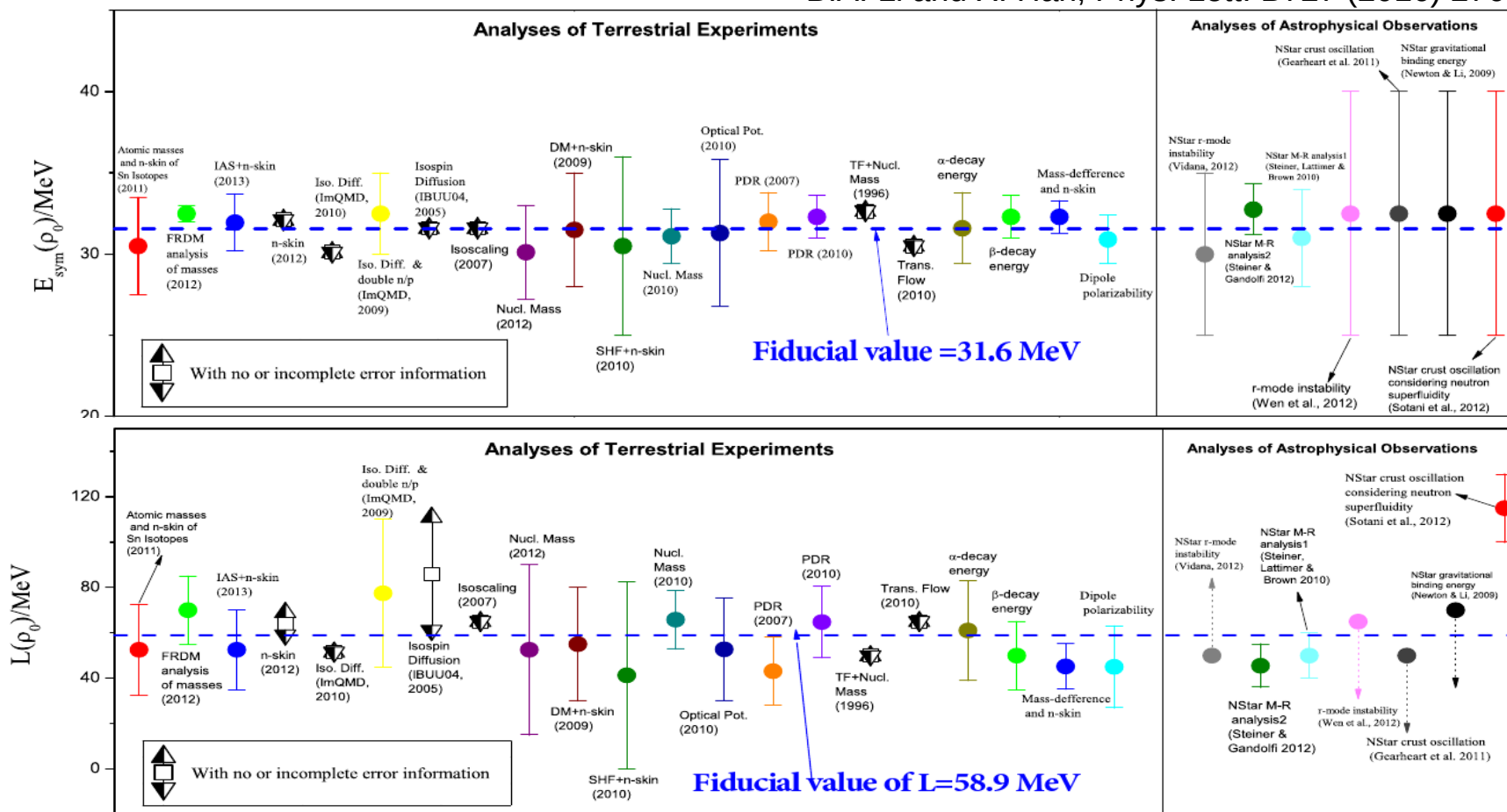
P. Russotto, et al., PRC **94**, 034608 (2016)

Y. Zhou and L.-W. Chen, Astroph. J. **886** (1) (2019) 52

See next session on relativistic energies tomorrow afternoon

Evaluations for E_{sym} , slope L , curvature K_{sym} , ...

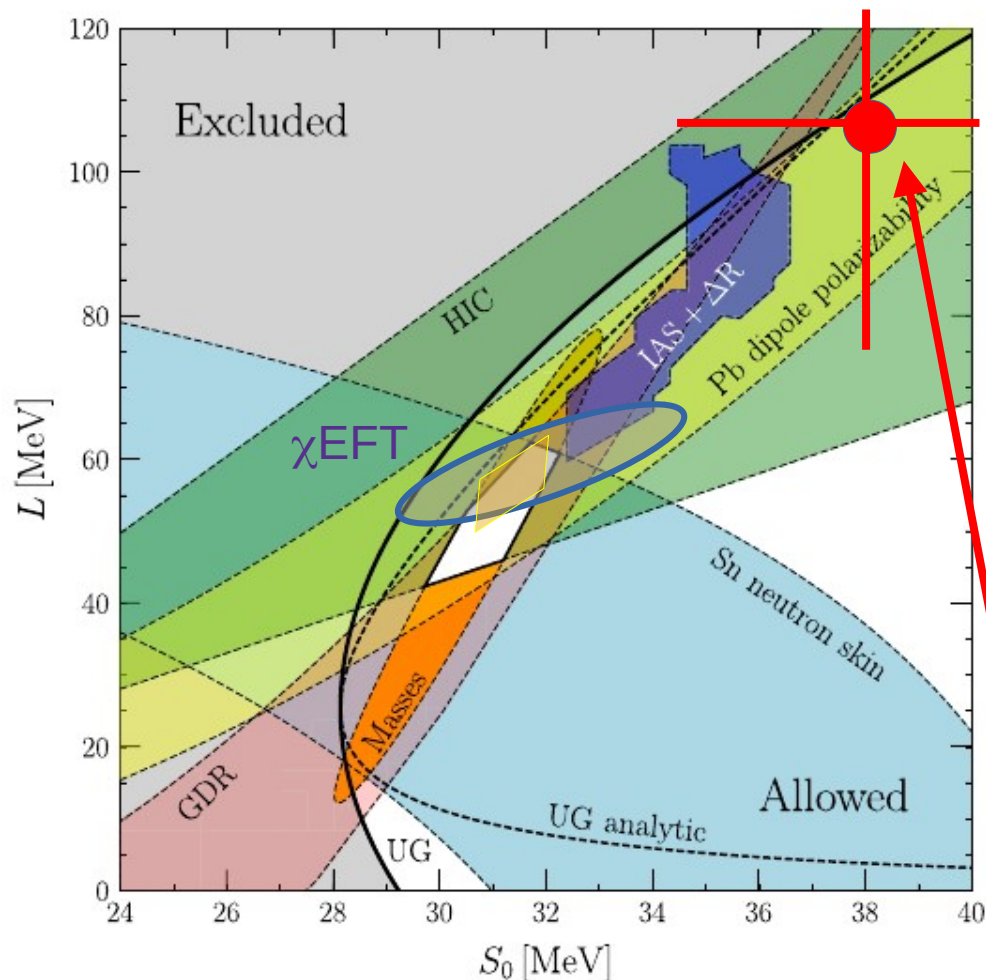
B.A. Li and X. Han, Phys. Lett. B727 (2016) 276



P_α	E_{sat}	E_{sym}	ρ_0	L_{sym}	K_{sat}	K_{sym}	Q_{sat}	Q_{sym}	Z_{sat}	Z_{sym}
	MeV	MeV	fm^{-3}	MeV	MeV	MeV	MeV	MeV	MeV	MeV
$\langle P_\alpha \rangle$	-15.8	32	0.155	60	230	-100	300	0	-500	-500
σ_{P_α}	± 0.3	± 2	± 0.005	± 15	± 20	± 100	± 400	± 400	± 1000	± 1000

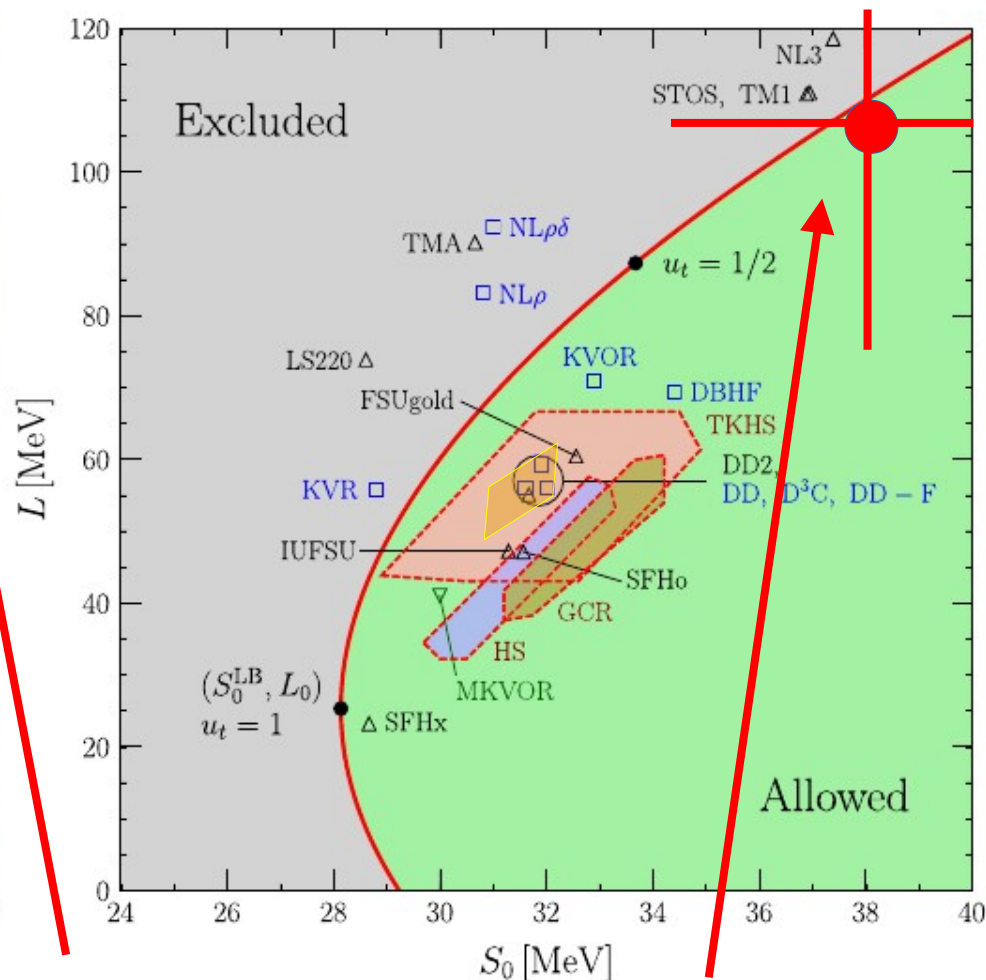
Margueron PRC 97 (2018) 025805

Experimental constraints



I. Tews *et al.*, *Astroph. J.* **848** (2017) 105
 C. Drischler *et al.*, *PRL* **125** (2020) 202702

Model predictions



PREX-2, B. T. Reed *et al.*, *PRL* **126** (2021) 172503
 $S_0 = 38.1 \pm 4.7$ MeV
 $L_{sym} = 106 \pm 37$ MeV

Tension between PREX-2 and other experimental and theoretical evaluations

Nuclear matter at $\rho > \rho_0$

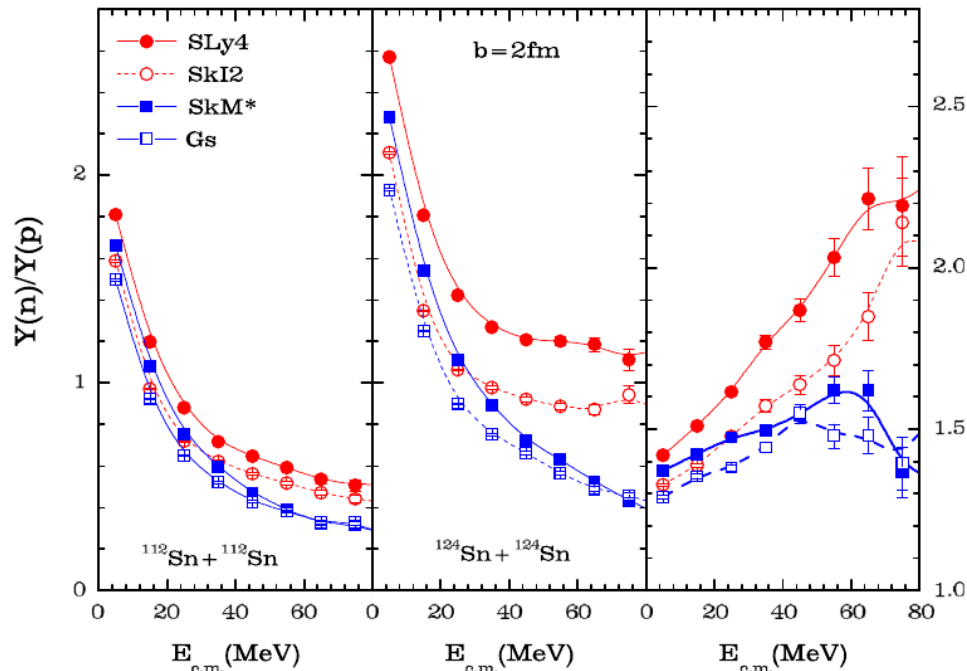
Flows

DDSE : pre-equilibrium probes (I)

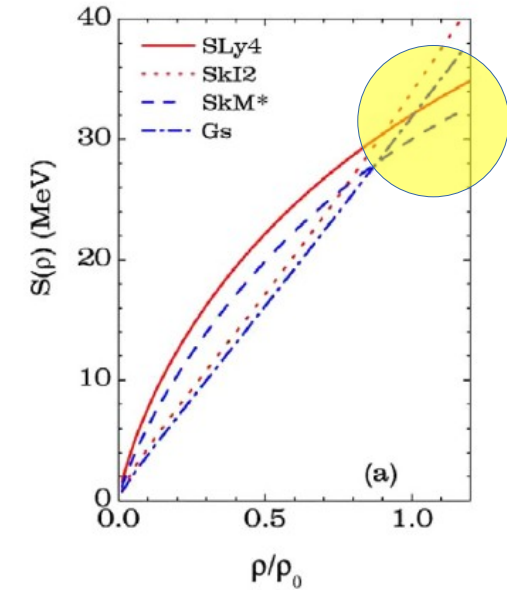
Neutron and proton flows

$^{112,124}\text{Sn} + ^{112,124}\text{Sn}$ at $E/A=50, 120$ MeV

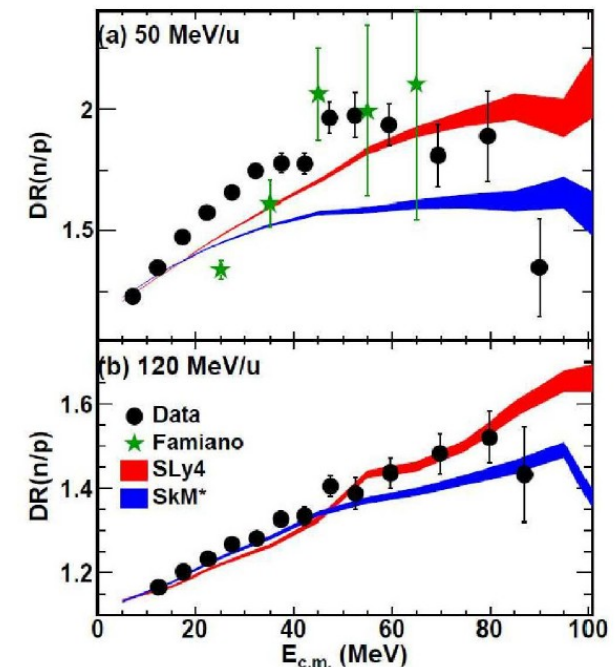
Y. Zhang, *et al.*, PLB 732 (2014) 186



- Sensitivity to the EOS above ρ_{sat}
- but also effective masses



D.D.S. Coupland, *et al.*, PRC 94, 011601 (2014)



DDSE : pre-equilibrium probes (II)

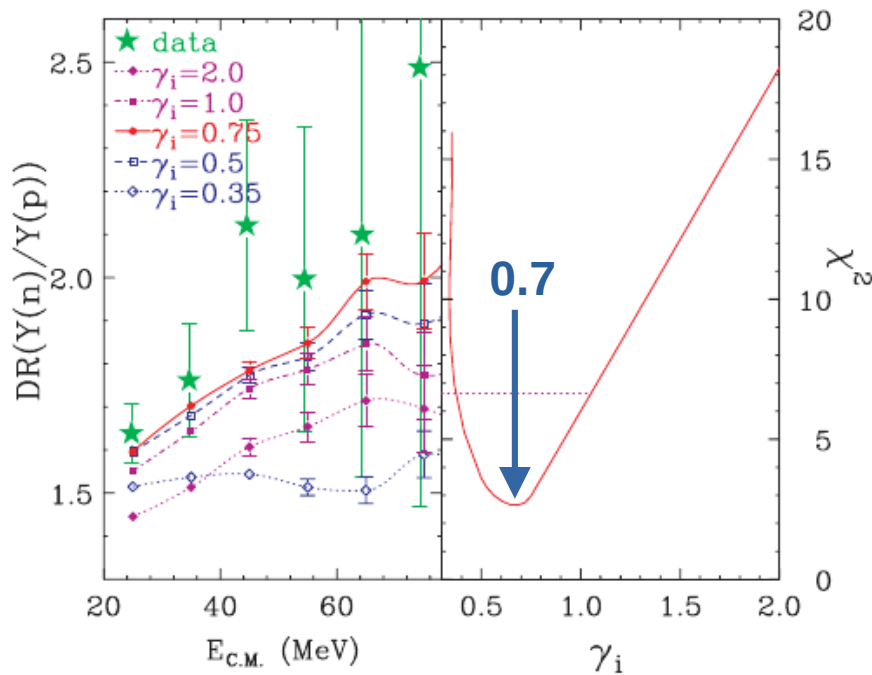
M. B. Tsang, *et al.*, PRL **102** (2009) 122701

Double n/p ratio

$$DR(Y(n)/Y(p)) = R_{n/p}(A)/R_{n/p}(B)$$

$$= \frac{dM_n(A)/dE_{c.m.}}{dM_p(A)/dE_{c.m.}} \cdot \frac{dM_p(B)/dE_{c.m.}}{dM_n(B)/dE_{c.m.}},$$

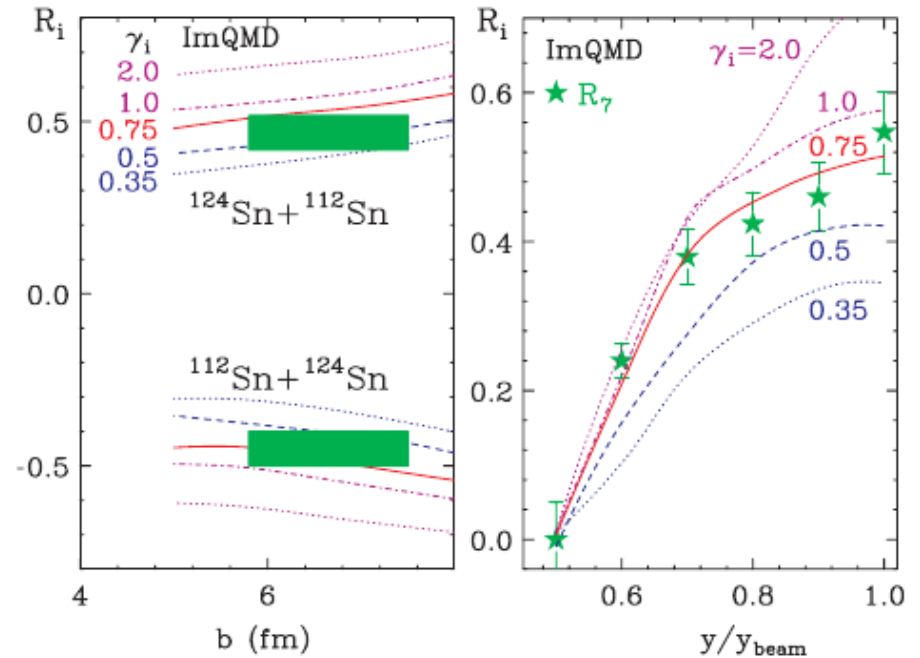
$^{112,124}\text{Sn} + ^{112,124}\text{Sn}$ at $E/A=50$ MeV



Soft SE with $\gamma \approx 0.7$ is obtained

ImQMD simulations

Isobaric ratio : mirror nuclei yields: $^7\text{Li}/^7\text{Be}$



$$E_{sym}(\rho) = C_{k,sym}\rho^{2/3} + C_{p,sym}\rho^\gamma$$

γ governs the stiffness of the potential term

- $\gamma > 1$: asy-stiff
- $\gamma < 1$: soft
- $\gamma \ll 1$: supersoft

Ad-hoc SE parametrization, no theoretical justification

DDSE : pre-equilibrium probes (II)

SMF + GEMINI calculations $^{112,124,132}\text{Sn} + ^{112,124,132}\text{Sn}$ at $E/A=50$ MeV and $b=2$ fm

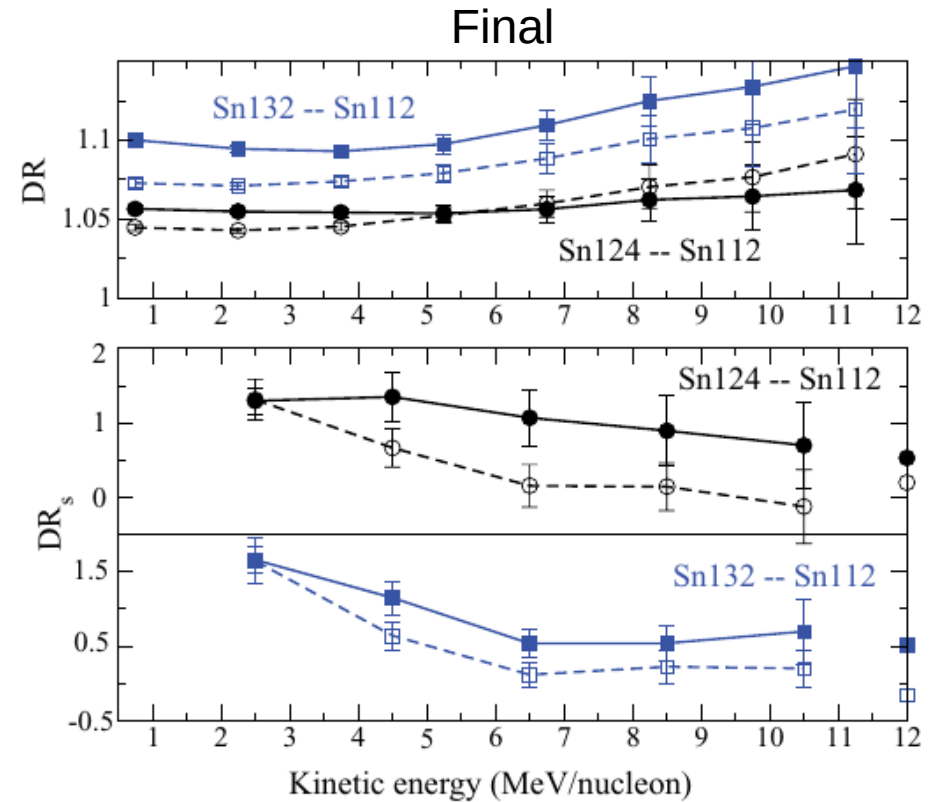
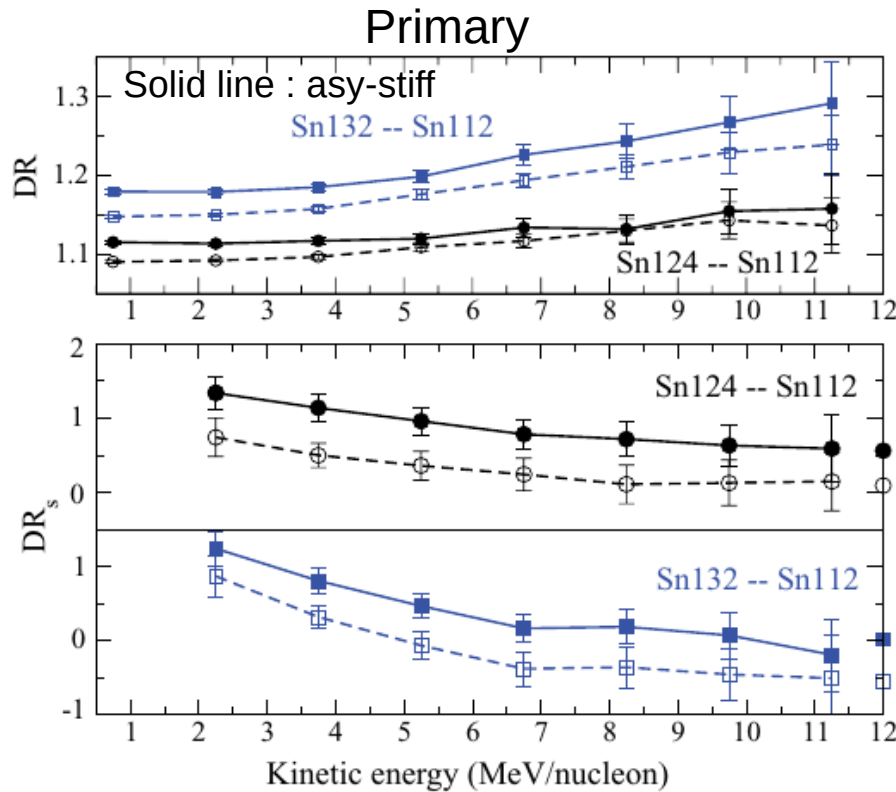
Double Ratio with energy scaling

$$\text{DR}(E_{\text{kin}}) = (N/Z)_2 / (N/Z)_1.$$

$$(N/Z)_s(E_{\text{kin}}) = \frac{N}{Z}(E_{\text{kin}}) - \frac{N}{Z}(E_0).$$

- Small sensitivity
- More robust to secondary emissions for energetic products

$$\text{DR}_s(E_{\text{kin}}) = ((N/Z)_s)_2 / ((N/Z)_s)_1.$$



M. Colonna, *et al.*, PRC **78**, 064618 (2008)

Binary dissipative collisions

Isospin transport

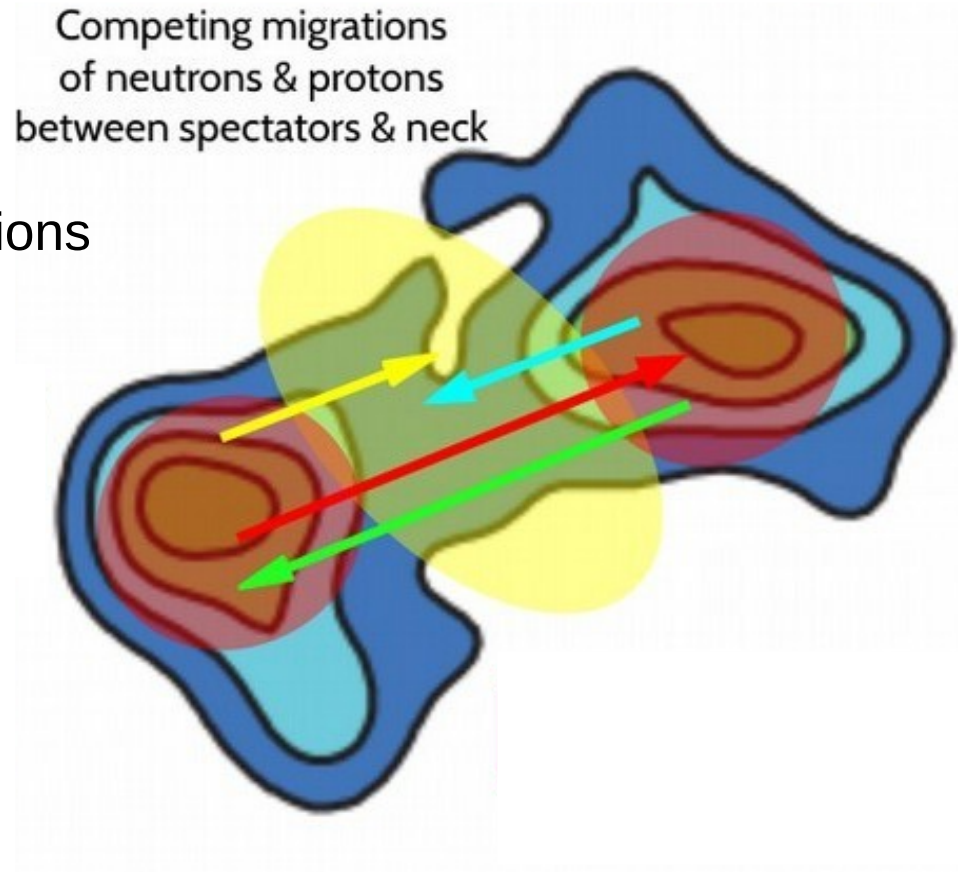
Isospin transport (flux) :

$$j_n - j_p \propto E_{sym}(\rho) \nabla I - I \left(\frac{\partial E_{sym}}{\partial \rho} \right) \nabla \rho$$

Binary dissipative collisions
around **Fermi energy**

Neck and QP formation
Competition between

- **Diffusion** ∇I (QP)
- **Migration** $\nabla \rho$ (neck)



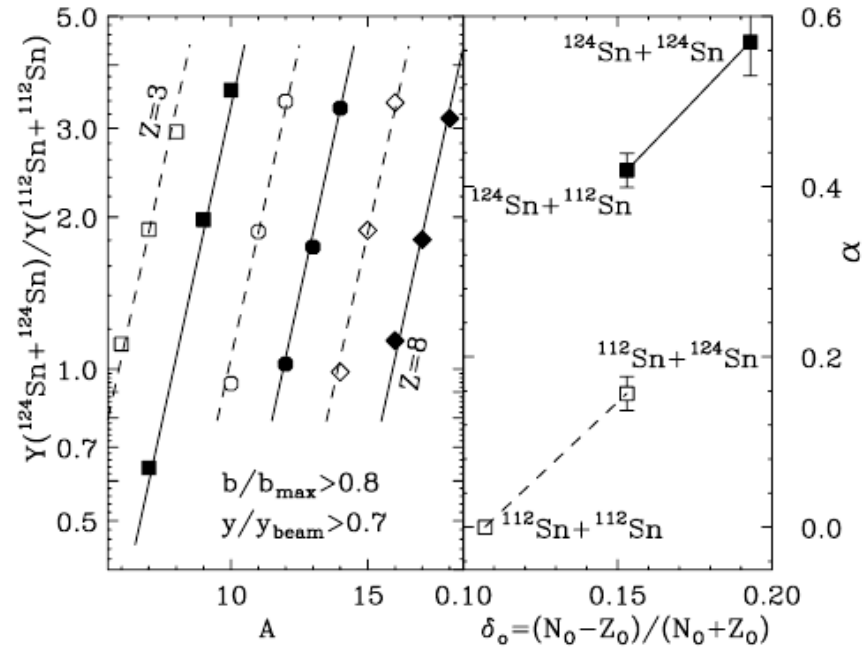
Isospin transport for semi-peripheral collisions (I)

Ratio of isotopic yields: $R_{21}(N,Z) = Y_2(N,Z)/Y_1(N,Z)$

Peripheral collisions (M_{tot} -gated) $b/b_{\text{max}} > 0.8$

Isoscaling $R_{21}(N, Z) = C \exp(\alpha N + \beta Z)$,

$^{112,124}\text{Sn} + ^{112,124}\text{Sn}$ at $E/A = 50$ MeV

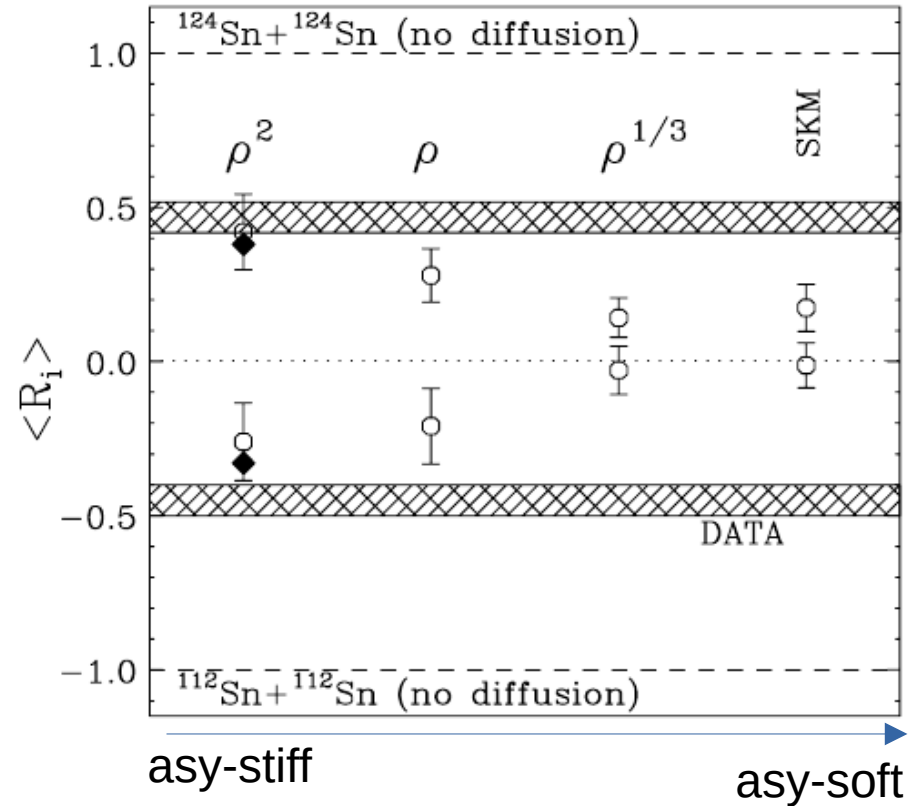


M. B. Tsang, *et al.*, PRL **92** (2004) 062701

Isospin transport ratio

$$R_i = \frac{2x - x_{124+124} - x_{112+112}}{x_{124+124} - x_{112+112}}$$

BUU model with $K_{\text{sat}} = 210$ MeV, MI

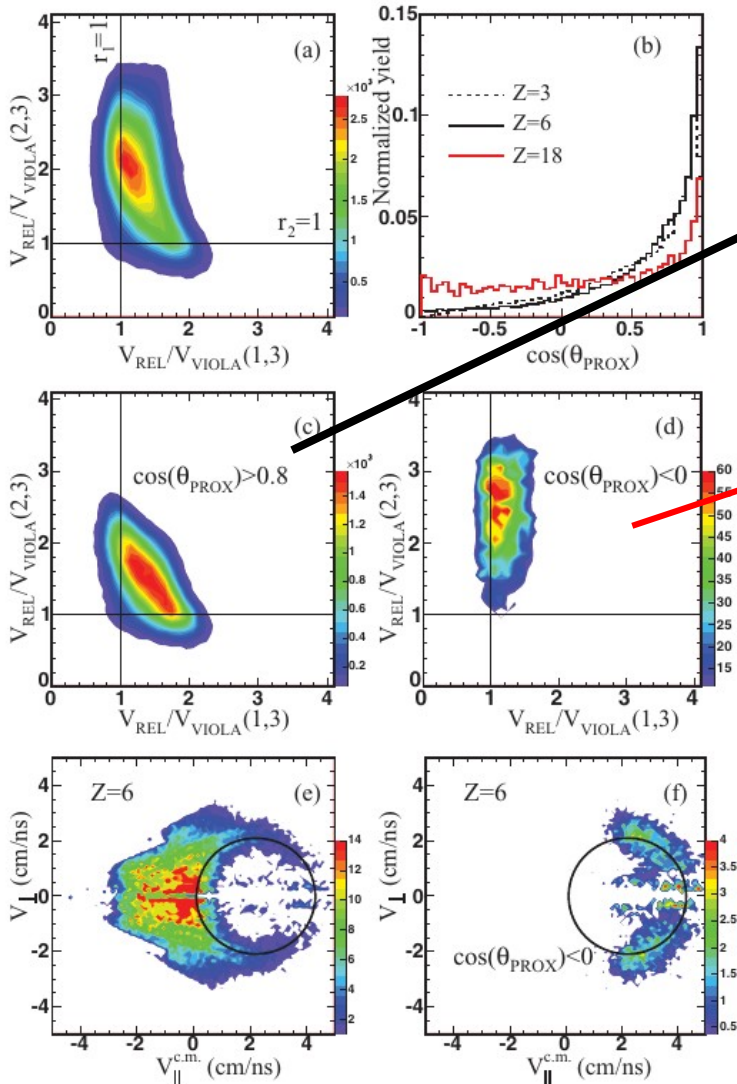


**Asy-stiff (ρ^2) parametrization seems favoured
but K_{sat} and momentum-independent (MI) interaction are used**

Isospin transport for semi-peripheral collisions (III)

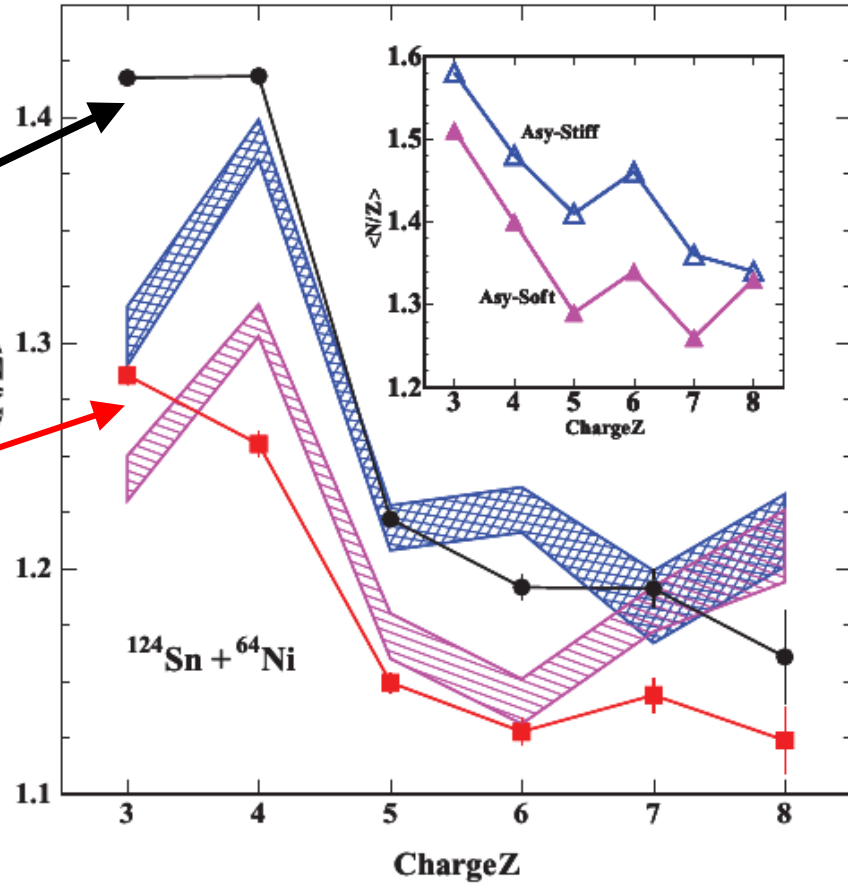
Neck isospin content

$^{112,124}\text{Sn} + ^{58,64}\text{Ni}$ at $E/A = 35$ MeV



CHIMERA data
SMF+GEMINI calculations

Dynamical
Statistical



- Larger neutron enrichment for dynamical emission
- Asy-stiff param. with $L_{sym} = 80$ MeV is favoured

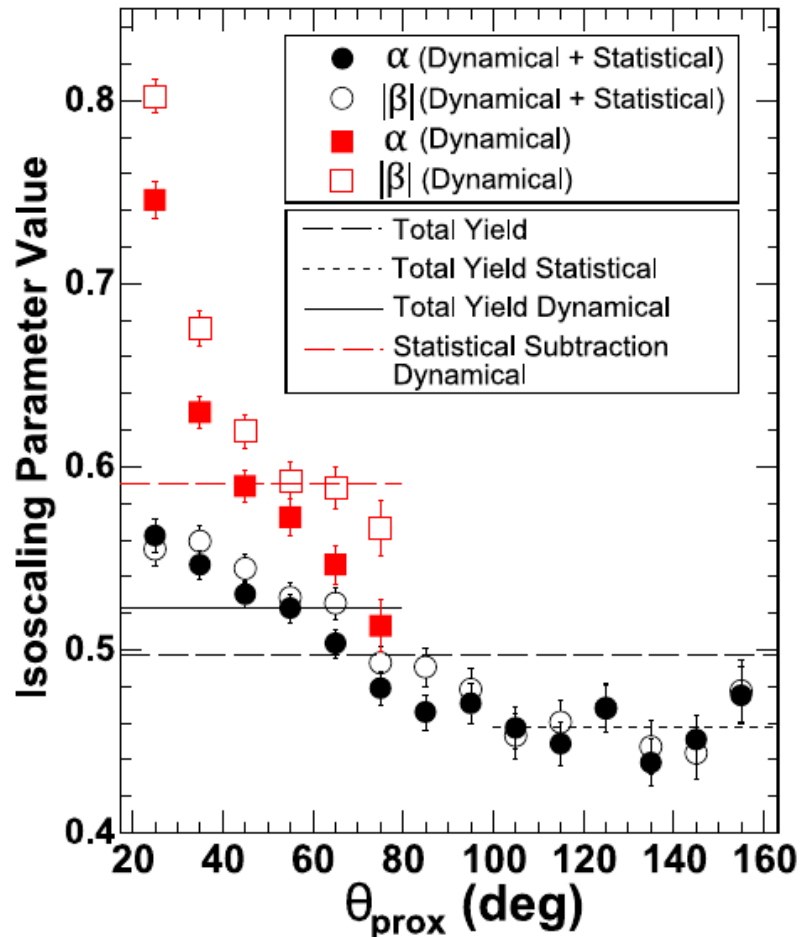
Agreement remains qualitative, esp. $Z=3-4$

E. De Filippo, *et al.*, PRC 86, 014610 (2012)

Isospin transport for semi-peripheral collisions (IV)

Isoscaling $R_{21}(N, Z) = C \exp(\alpha N + \beta Z)$,

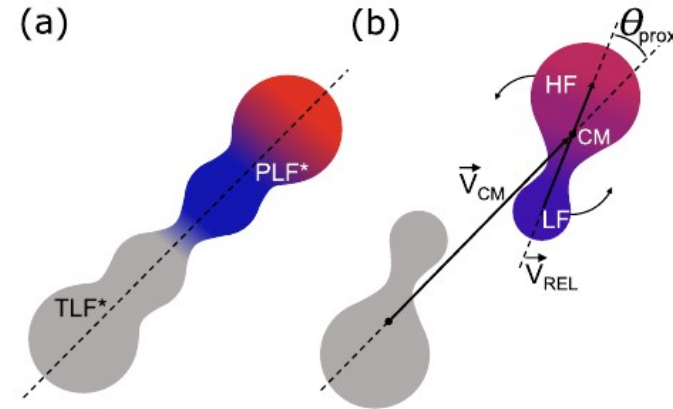
Increasing equilibration \rightarrow



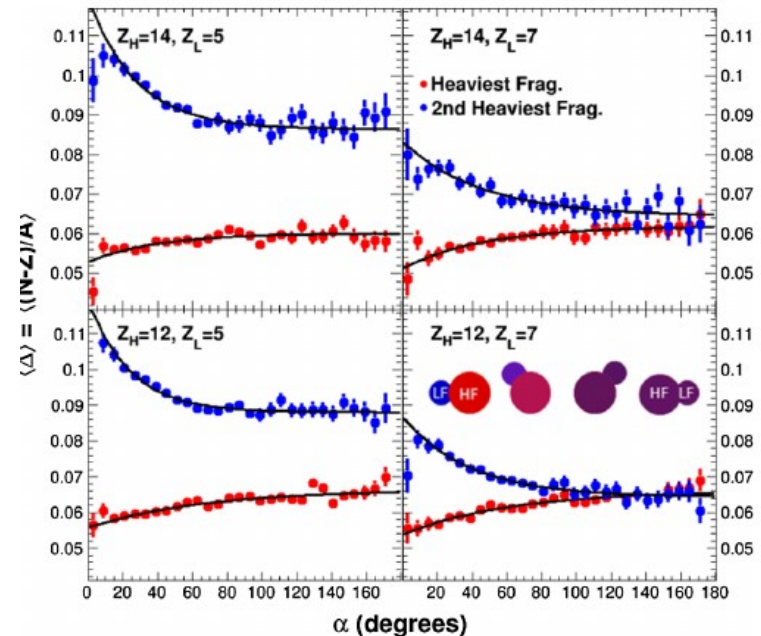
α and β isoscaling coefficients are sensitive to θ_{prox}

A. Hannaman, *et al.*, PRC **101**, 034605 (2020)

A. Jedele, *et al.*, PRL **118**, 062501 (2017)



$^{64,70}\text{Zn} + ^{64,70}\text{Zn}$ at $E/A = 35$ MeV



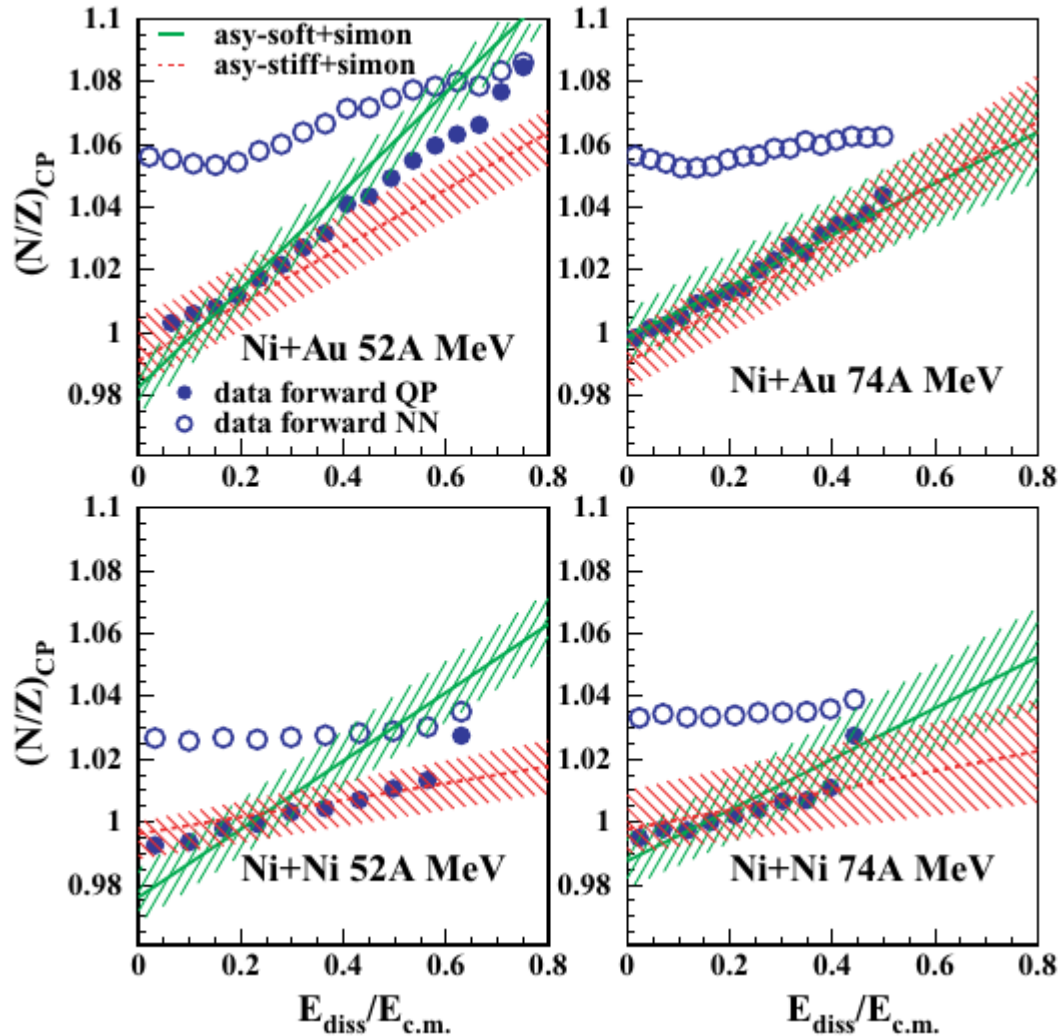
- Isospin equilibration ≈ 100 fm/c
- Commensurate to fragment production
- Much shorter than fission

Link to E_{sym} still to quantify

Isospin transport for semi-peripheral collisions (V)

Isospin content for Quasi-Projectile

INDRA data, $^{58}\text{Ni}+^{58}\text{Ni}/^{197}\text{Au}$ at $E/A=52,74$ MeV
 BNV simulations at $b=5$ fm



- Quasi-Ni neutron-enrichment as a function of dissipation/centrality
- Asy-stiff ($\gamma=1$) case better matches
- Isospin equilibration time:

$$t_{eq} = 130 \pm 10 \text{ fm/c}$$

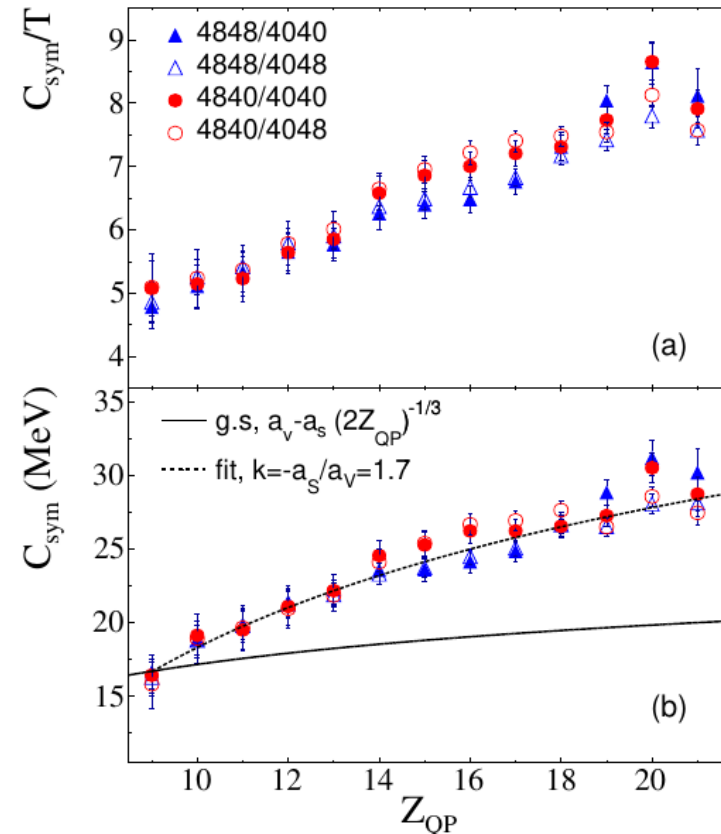
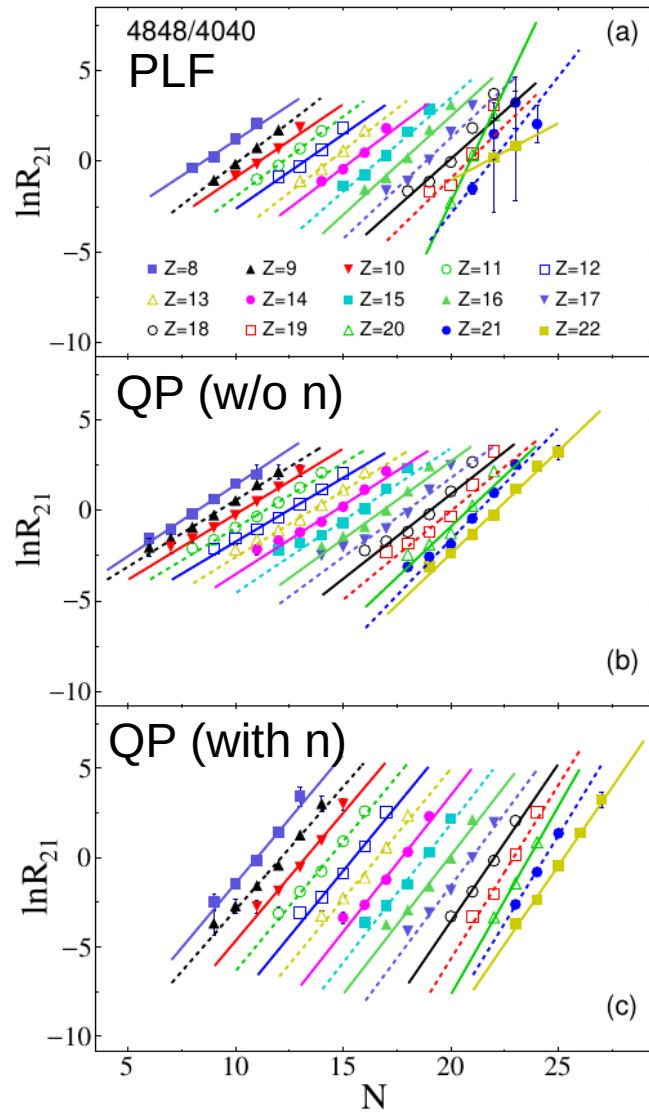
Small sensitivity
Impact parameter mixing

E. Galichet *et al.*, PRC **79**, 064615 (2009)

Isospin transport for semi-peripheral collisions (VI)

Isoscaling for projectile

INDRA-VAMOS data



- C_{sym} from isoscaling analysis
- From AMD simulations: $\rho / \rho_{sat} = 0.33-0.84$
- Surface-to-volume ratio: $|a_s/a_v| = 1.7$

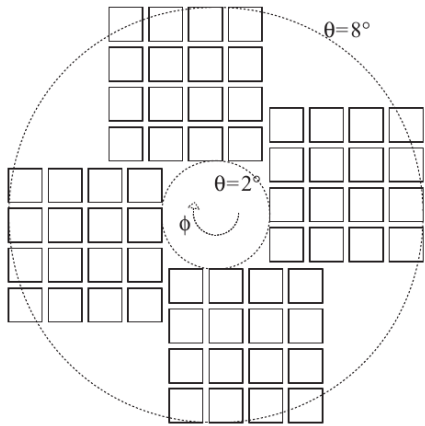
$$C_{sym}(A) = a_v - a_s A^{1/3}$$

Link to density to elucidate

Q. Fable et al., to be submitted (2021)

Isospin transport for semi-peripheral collisions (VII)

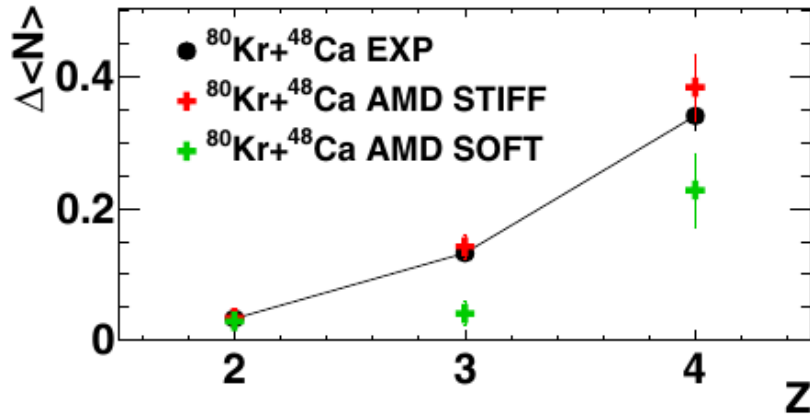
Isospin content of PLF



$$R(X) = \frac{2X - X^{4848} - X^{4040}}{X^{4848} - X^{4040}},$$

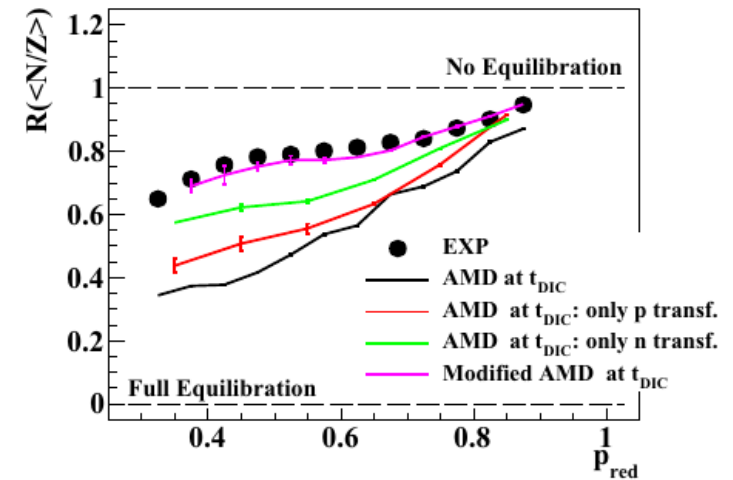
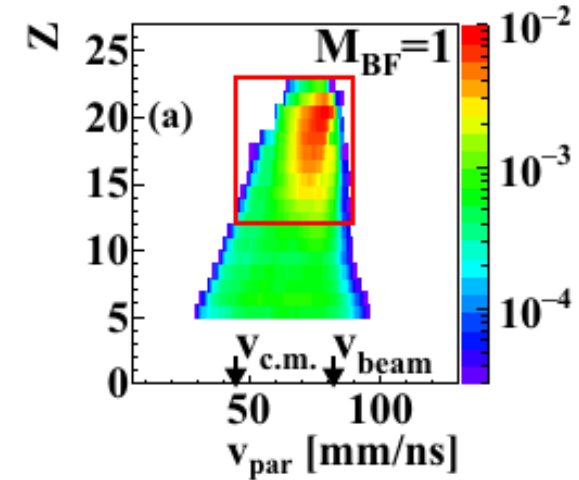
with: $X = (N/Z)_{max}$

FAZIA R&D setup
4 blocks $\theta_{lab} = 2-8 \text{ deg.}$



- Difference between $\langle N \rangle$ of backward/forward particles for the QP residue in $^{80}\text{Kr} + ^{48}\text{Ca}$ at $E/A = 35 \text{ MeV}$
- Favours a stiff symmetry energy dependence: $L_{sym} = 108 \text{ MeV}$

Limited acceptance (4 FAZIA blocks)



- **AMD+GEMINI** fails to reproduce $R(N/Z)$

- **modified proton (p_p) and neutron (p_n)** transfert probabilities with:
 $p_p = 0.60 \pm 0.05$, $p_n = 0.3 \pm 0.1$

A. Camaiani, *et al.*, PRC **103**, 014605 (2021)
S. Piantelli, *et al.*, PRC **103**, 014603 (2021)

Nuclear matter at low density

Isospin distillation

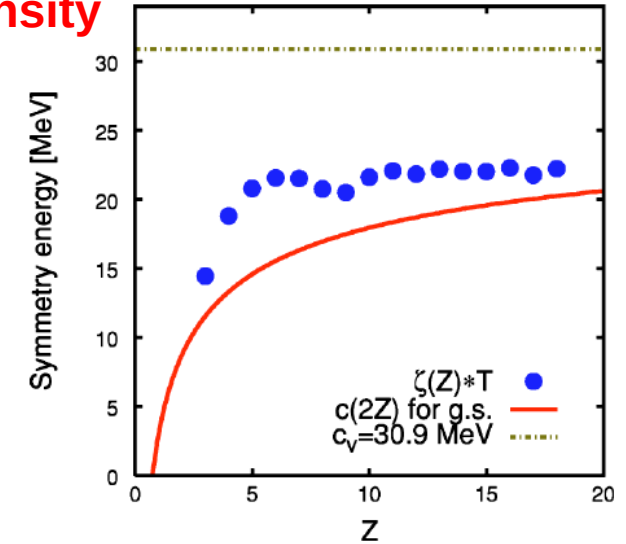
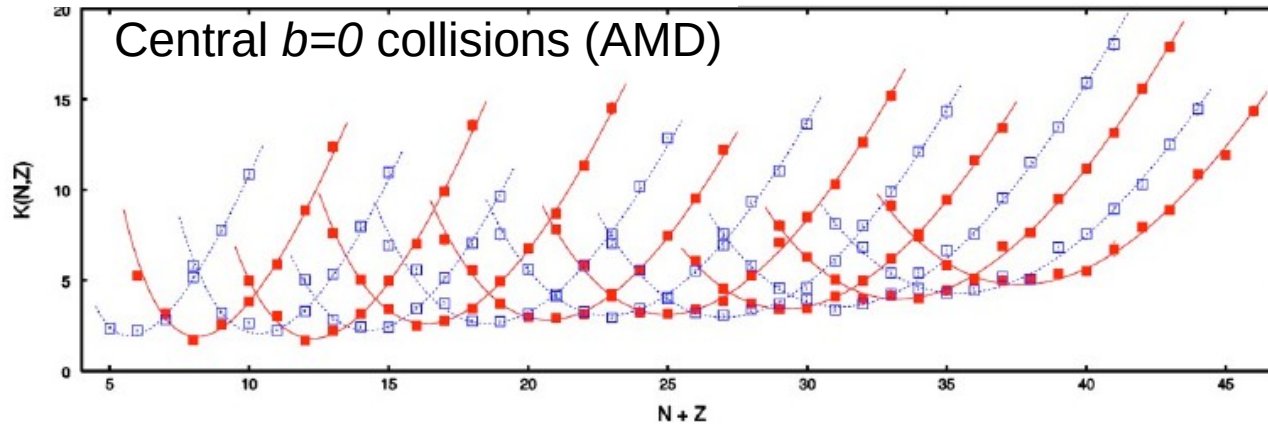
DDSE at low density : isospin distillation

Scaled yields from all $^{40,48,60}\text{Ca}+^{40,48,60}\text{Ca}$ and $^{46}\text{Fe}+^{46}\text{Fe}$ at $E/A=35$ MeV

$$K(N,Z) = \sum_4 w_i(N,Z) [-\ln Y_i(N,Z) + \alpha_i N + \gamma_i(Z)],$$

$$K(N,Z) = \xi(Z)N + \eta(Z) + \zeta(Z) \frac{(N-Z)^2}{N+Z},$$

Cross-check of different estimations
Link to density



A. Ono, *et al.*, PRC **70**, 041604(R) (2004)

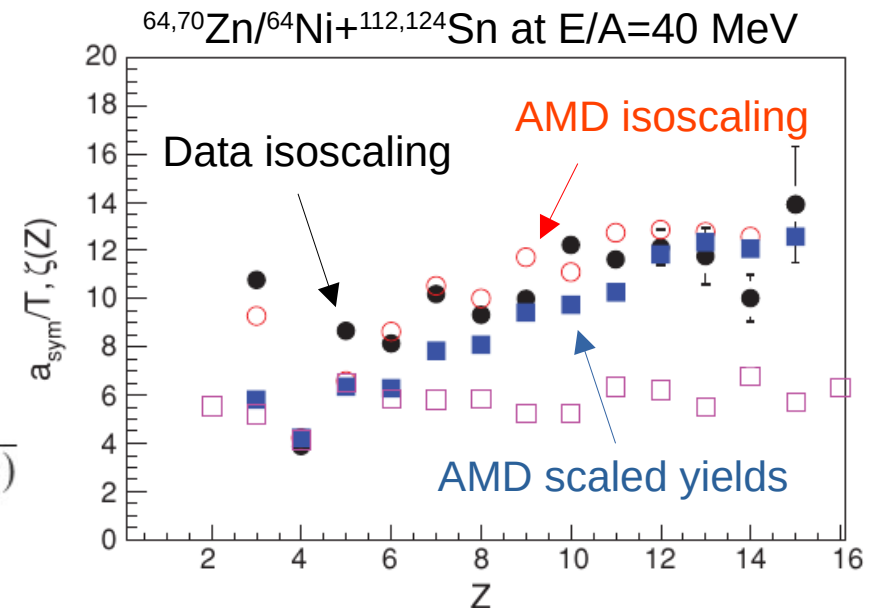
- Isotopic fragment yields over a wide range of N, Z provide an estimation of E_{sym} with surface contribution

- $E_{sym} \approx 20-22$ MeV for $\rho \approx \rho_{sat}/2 = 0.08$ fm $^{-3}$

Fragmentation regime :

$$4 \frac{c_{sym}(Z)}{T} = \frac{\alpha(Z)}{(Z^2/\langle A \rangle_1^2) - (Z^2/\langle A \rangle_2^2)}$$

Z. Chen, *et al.*, PRC **81**, 064613 (2010)

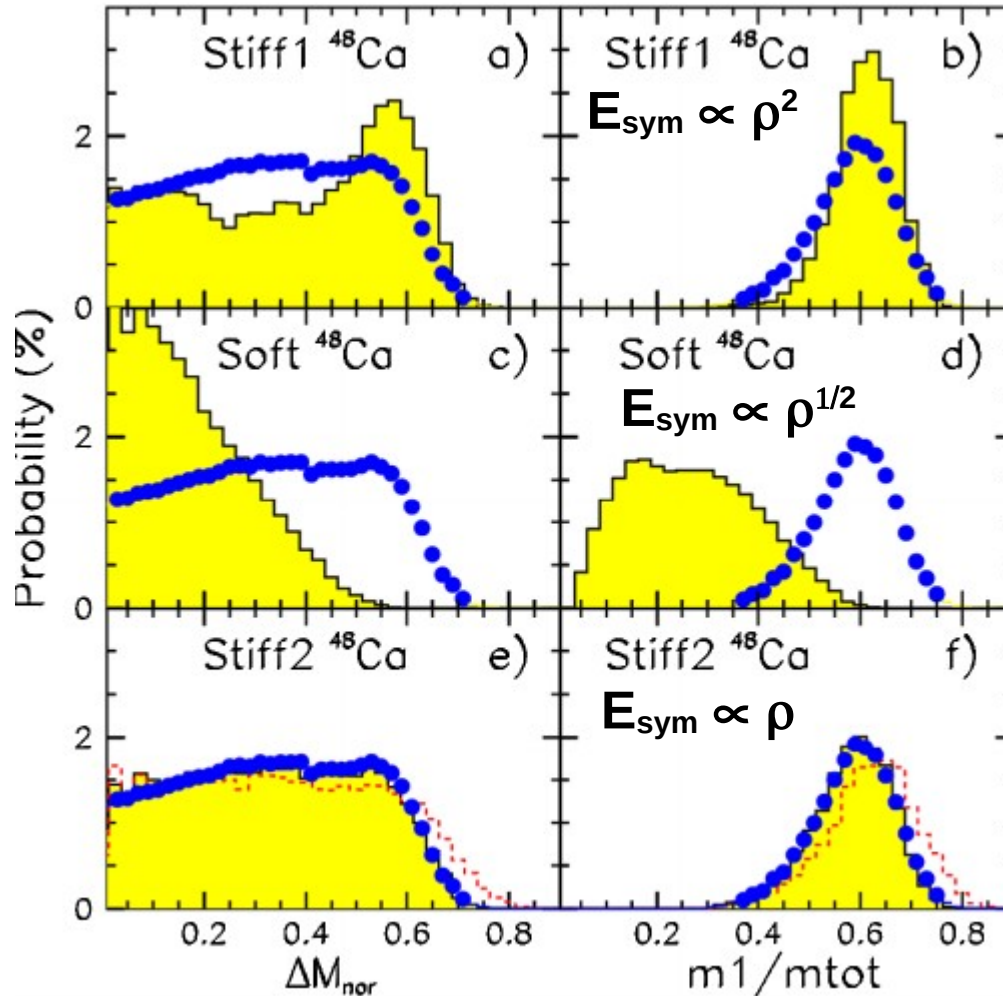


Nuclear matter at ρ_0

Incomplete fusion

Incomplete fusion : isospin dependence (I)

CHIMERA Collaboration
 $^{40}\text{Ca}+^{40,48}\text{Ca}$ at $E/A=25$ MeV CoMD-II + GEMINI



$$\Delta m_{\text{nor}} = (m_1 - m_2) / m_{\text{tot}}$$

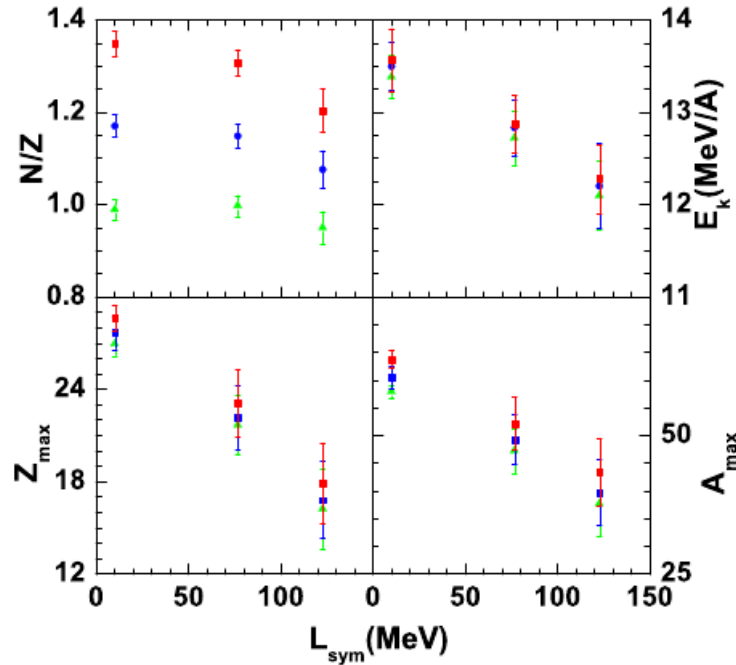
F. Amorini *et al.*, PRL 102, 112701 (2009)
 G. Cardella *et al.*, PRC 85, 064609 (2012)

- Neutron-rich system enhances the Incomplete Fusion mechanism
- Binary reactions are at variance favoured for $N=Z$ system
- “Moderately” stiff symmetry energy reproduces IF data

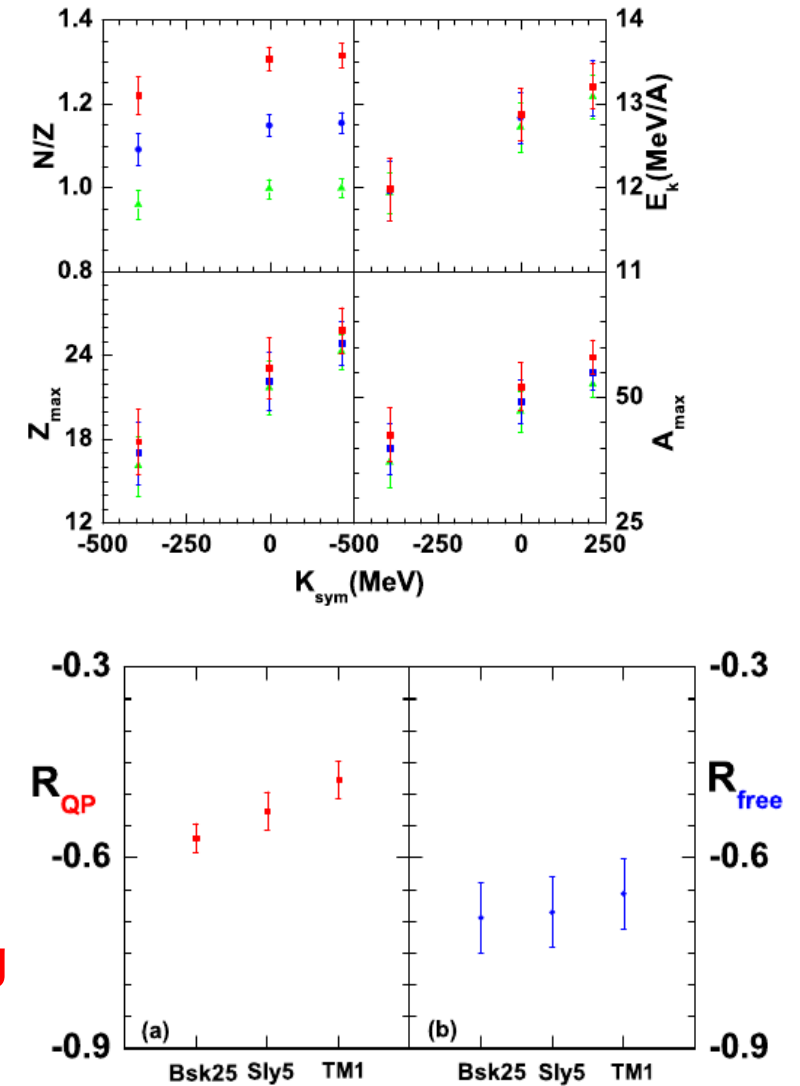
Sensitivity to EOS ?
Density around saturation

Incomplete fusion : isospin dependence (II)

BUU@VECC-MacGill + meta-modelling*

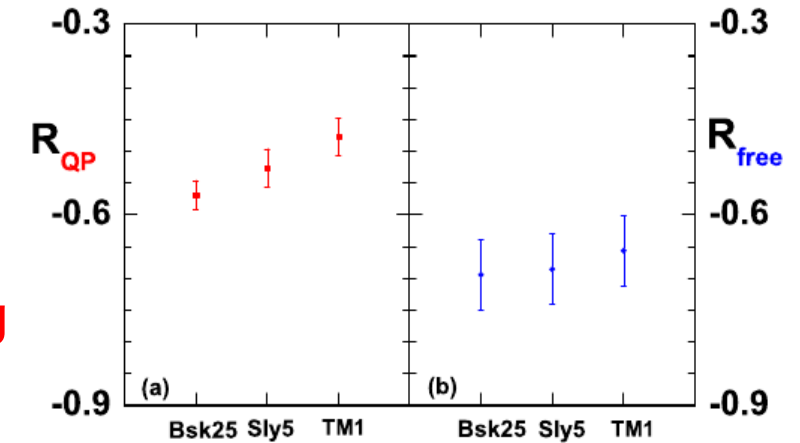


$^{58,64}\text{Ni} + ^{58,64}\text{Ni}$ at $E/A = 52$ MeV



Residue $Z_{\text{max}}, A_{\text{max}}$ sensitive to $L_{\text{sym}}, K_{\text{sym}}$

$R_{QP} = (N/Z)_{QP,1} / (N/Z)_{QP,2}$ robust for discriminating among different EoS parametrizations?



S. Mallik, G. Chaudhuri and F. Gulminelli, *PRC* **100**, 024611 (2019)

S. Mallik and F. Gulminelli, [*nucl-th*] arXiv:2009.00985 (2020)

* J. Margueron, R. Casali and F. Gulminelli, *PRC* **97**, 025805 (2018)

DDSE: towards new probes

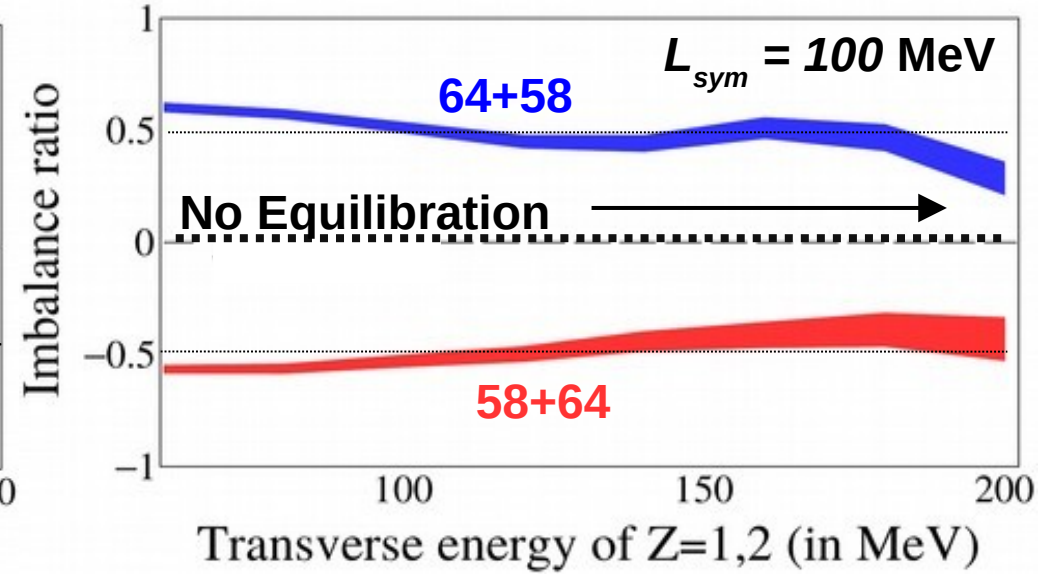
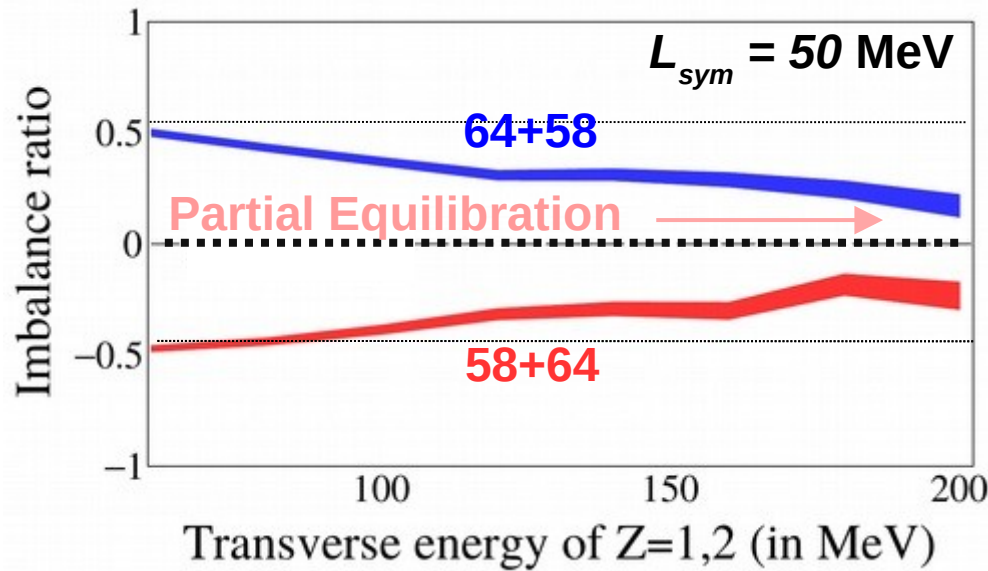
DDSE: toward new probes (I), isospin imbalance ratio

AMD+GEMINI++ filtered simulations : $2 \cdot 10^6$ events

Imbalance ratio R for $\delta_{PLF} = (N_{PLF} - Z_{PLF})/A_{PLF}$

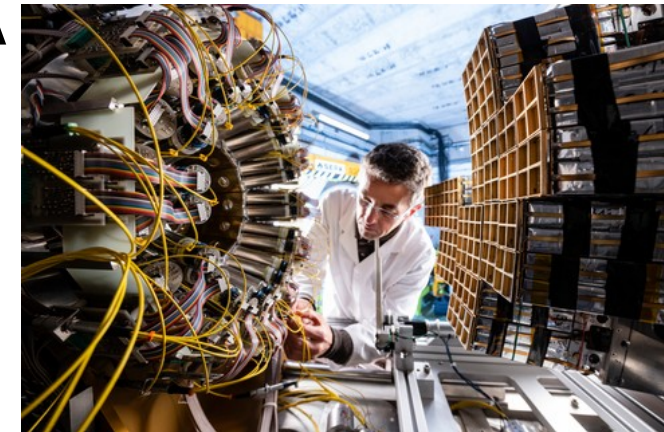
$$R_x = (2X - X_1 - X_2)/(X_1 - X_2)$$

$^{58,64}\text{Ni} + ^{58,64}\text{Ni}$ @ 32A MeV

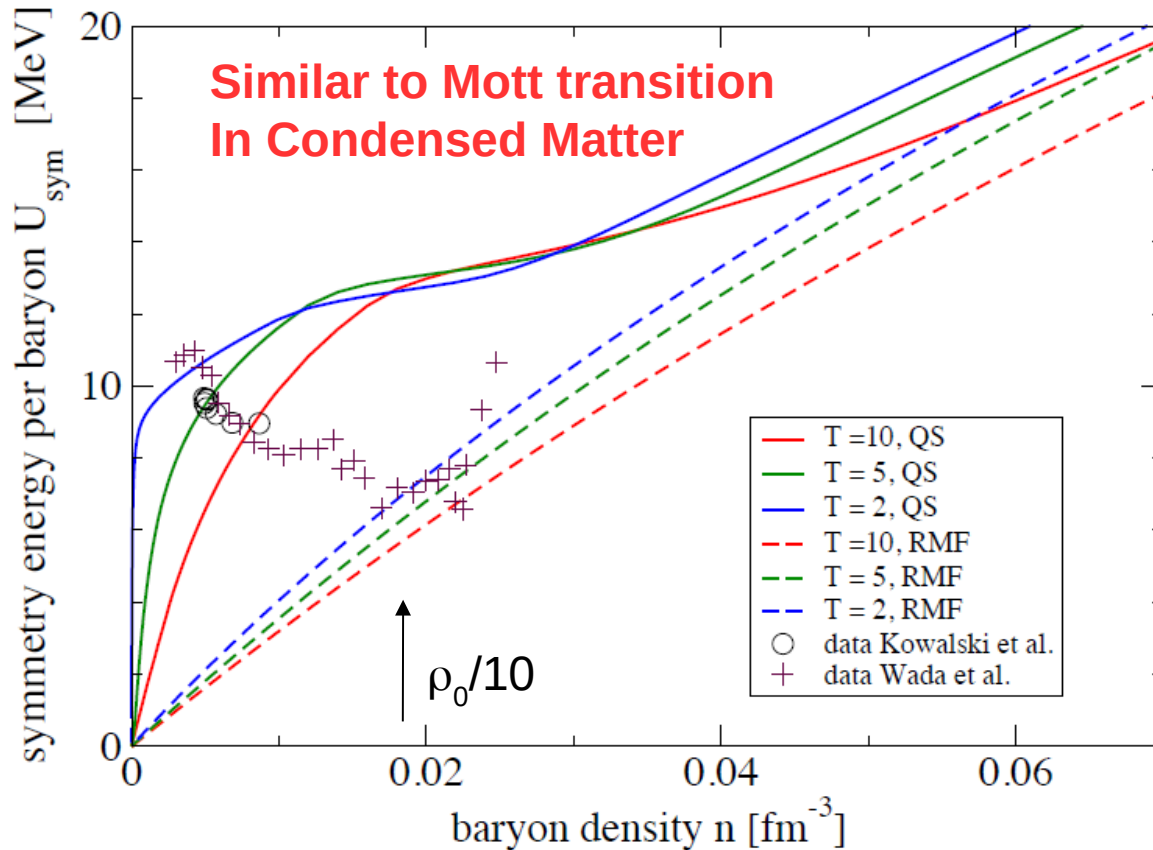


Transverse energy of Z=1,2 : measured by INDRA
 N/Z of PLF : measured by FAZIA

E789 : performed in April-May 2019 at GANIL
Results to be released soon !



Prediction for the nuclear EOS : symmetry energy at subsaturation density ($\rho/\rho_0 \sim 1/10$) and finite temperature ($T=1-10$ MeV)

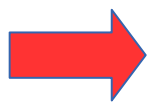


Data versus

- **Relativistic Mean Field** (*RMF* without cluster)
- **Quantum Statistical Model (QSM)**

K. Hagel, J.B. Natowitz, G. Röpke
Eur. Phys. Journal A **50** (2014) 39

S. Kowalski, *et al.*, PRC **75**, 014601 (2007)
R. Wada, *et al.*, PRC **85**, 064618 (2012)



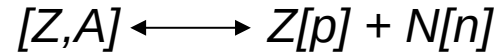
Relativistic Mean field (no clusters): linear decrease of E_{sym}
QSM : formation of clusters leads to an increase of E_{sym} at (very) low densities

In-medium cluster properties as a function of ρ, T and N/Z

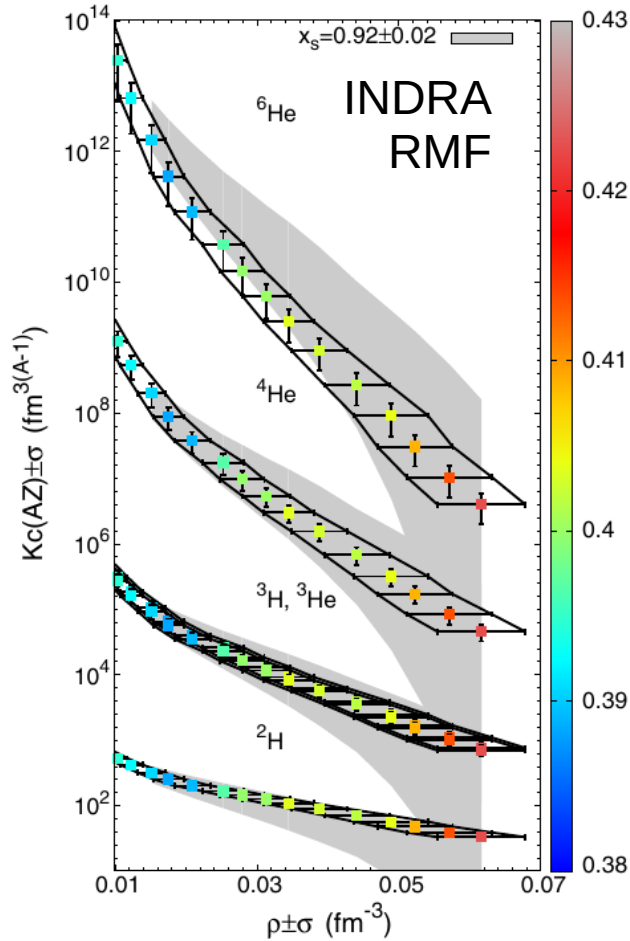
DDSE : towards new probes (II), at $\rho \ll \rho_0$, vaporisation

From L. Qin *et al.*, PRL **108** (2012), 172701

$$\text{Equilibrium constant } K_c = \frac{\rho(A,Z)}{\rho_p^Z \rho_n^N}$$



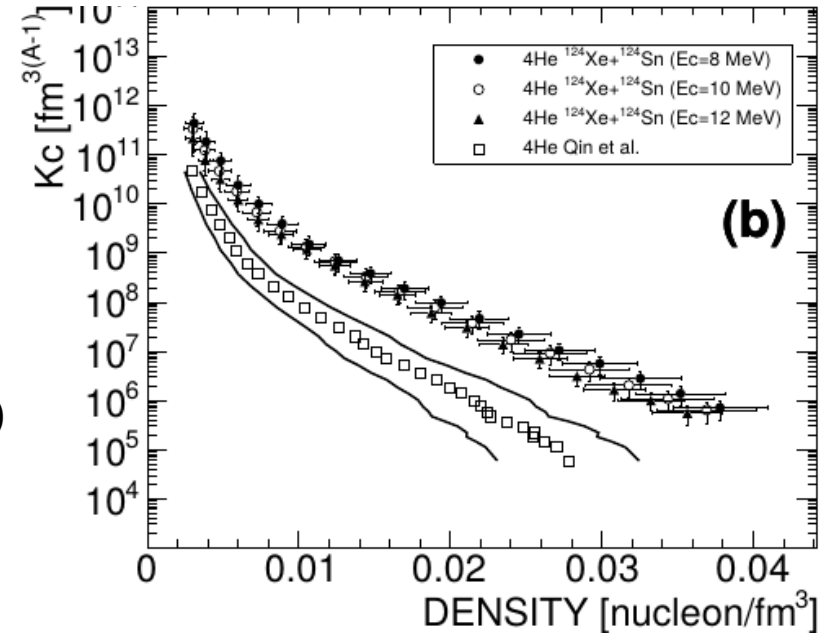
$$\frac{d^3 M(A,Z)}{d^3 p_A} = R_{np}^N \frac{(2s+1) e^{B(A,Z)/T}}{2^A} \left(\frac{h^3}{V_0}\right)^{A-1} \left(\frac{d^3 M(1,1)}{d^3 p}\right)^A$$



Modification of cluster-scalar coupling

$$g_s(A) = x_s A g_s$$

H. Pais, *et al.*, PRC **97**, 045805 (2018)



Differences between Texas A&M and INDRA :
- Thermodynamical path (ρ, T) not the same

But also : cluster binding energies : in-medium effects

H. Pais, *et al.*, PRL **25**, 012701 (2020)

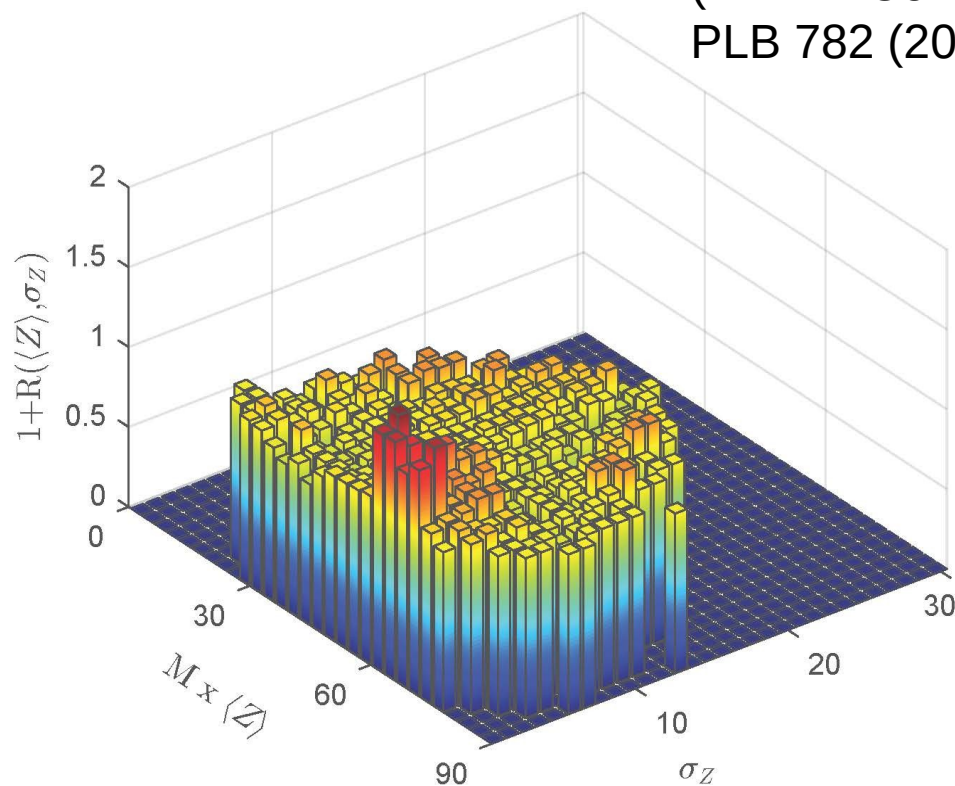
Motivations for a new INDRA+FAZIA experiment at GANIL
 $^{58,64}\text{Ni} + ^{58,64}\text{Ni}$ at $E/A = 52, 74$ MeV, E818 accepted and performed in 2022 with extension to heavier cluster ($Z > 2$)

Analysis done for QF events

$^{124}\text{Xe} + ^{112}\text{Sn}$ @ 32A, 45A MeV

$^{136}\text{Xe} + ^{124}\text{Sn}$ @ 32A, 45A MeV

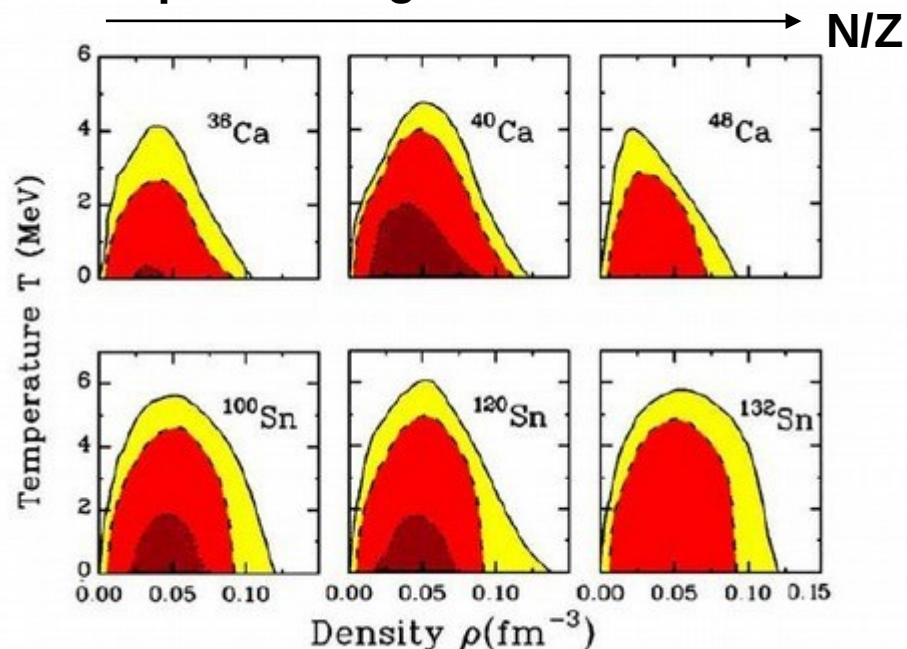
B. Borderie *et al.*
(INDRA Coll.)
PLB 782 (2018)



- 1st order LG Phase transition
- Equal-sized fragments are over-produced
- Statistical confidence is largely enhanced (10x statistics) to overcome the 5σ limit ...

Spinodal instabilities are indeed reduced in neutron-rich matter !

Spinodal region is reduced



Better with **INDRA+FAZIA** :
size correlations (A)

- Large variety of phenomena taking place around Fermi energy
- Incomplete fusion, binary dissipative collisions, neck emission, multifragmentation, Vaporisation, ...
- Production of very different nuclear systems from very low densities up to saturation and excited over a broad range of temperatures, typically $T=1-20$ MeV
- Constitute a good environment to study DDSE at moderate densities in order to contribute to solve the tension brought by PREX-2
- Lot of results published so far but the consistency between them is not clear
- Interplay of different timescales for pre-equilibrium, fragment production, isospin and thermal equilibration is a great challenge for transport models

Perspectives

- Need of large scale studies to check the consistency of the results: true for both experimental AND theoretical levels
- Correlate the isospin and density information in a multi-particle framework by looking at intra-event correlations : PLF, neck, TLF, Incomplete Fusion, Multifragmentation, ...
- Need of a better evaluation of the sensitivity of the isospin observables: bayesian analyses, sensitivity tests, cross-checks, ...

IWM-EC 2021

International Workshop on Multi-facets
of the Equation of state and Clustering

November 23-26, 2021 | GANIL, Caen

Nuclear dynamics, from fission to multifragmentation
Isospin effects and Equation of State in nuclear reactions
Clustering phenomena and multi-particle decay
Nuclear Equation of State and Astrophysics
New experimental tools, detection techniques and facilities

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Diego Gruyer (LPC Caen)
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Olivier Lopez (LPC Caen)
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Giuseppe Politi (Univ./INFN Catania)

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Alan B. McIntosh (Texas A&M)
Bao An Li (Texas A&M)
Haik Simon (GSI)
Akira Ono (Tohoku Univ.)
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