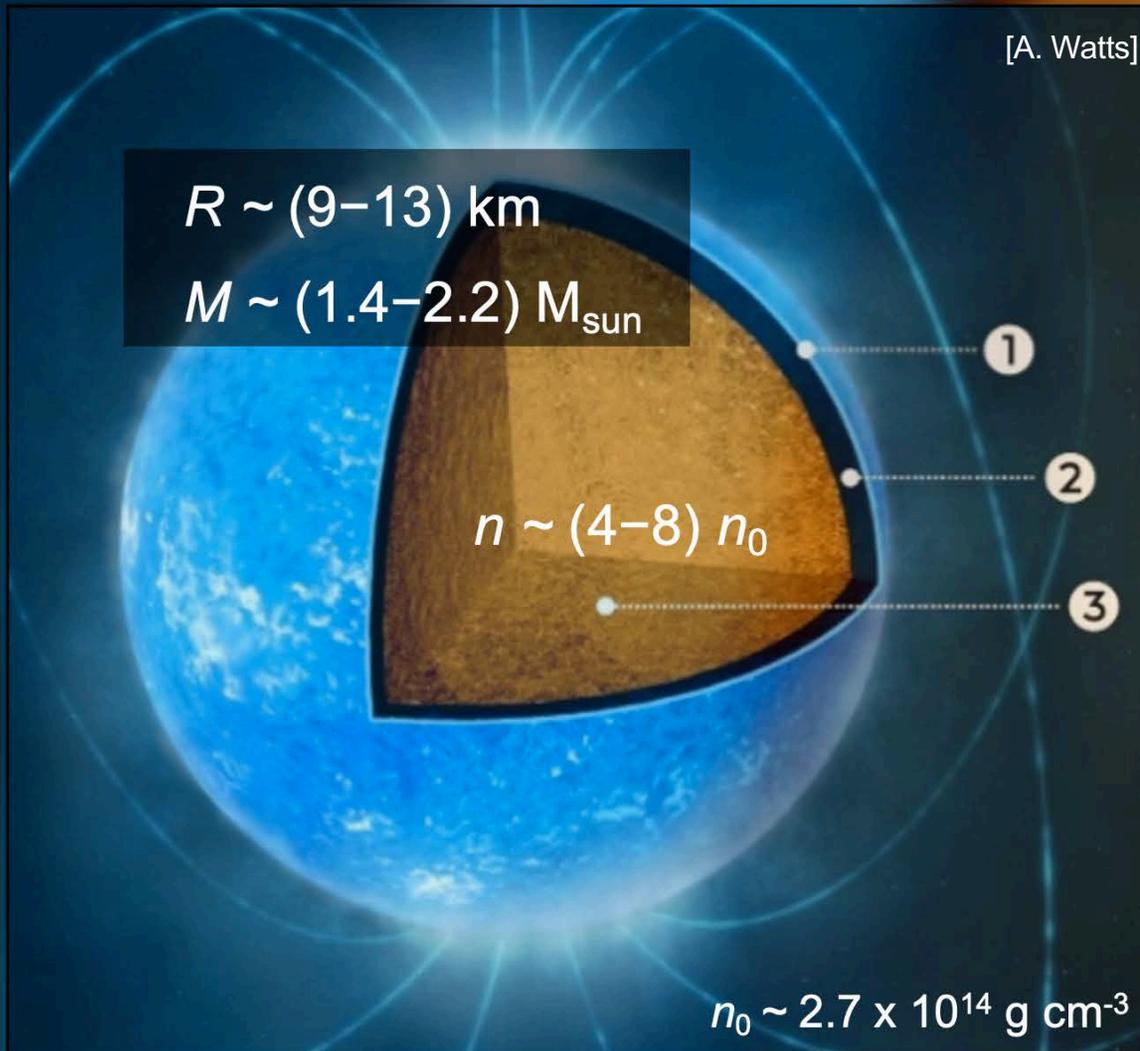


Equation of state constraints from chiral effective field theory and astrophysics

MICHIGAN STATE
UNIVERSITY

Christian Drischler

September 23, 2021 | International Symposium on Nuclear Symmetry Energy



Keywords:

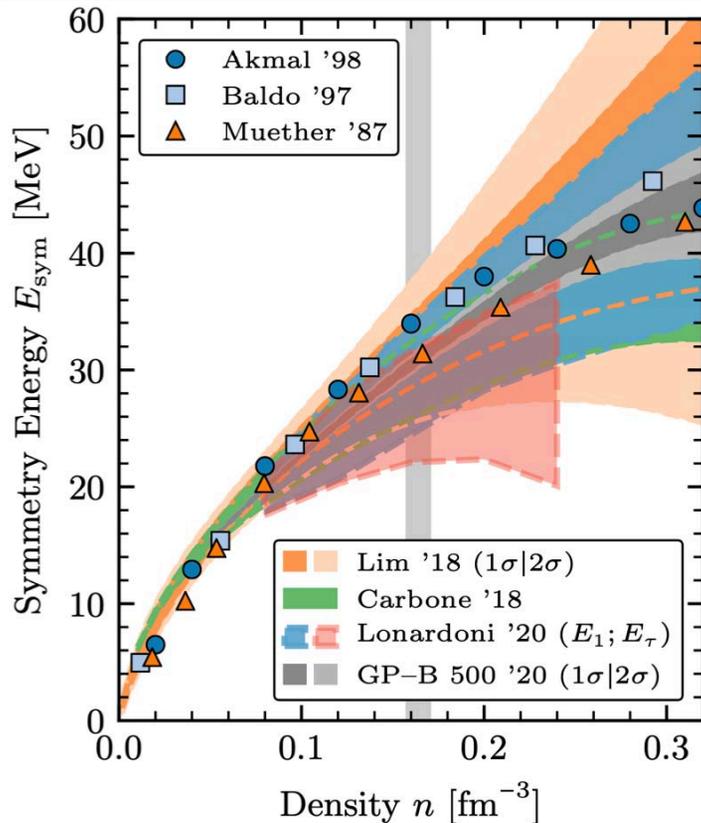
- + **Chiral EFT**
- + infinite nuclear matter
- + Bayesian UQ
- + **symmetry energy**
- + $N^3\text{LO NN} + 3\text{N}$ forces
- + nuclear saturation
- + ...

Equation of state constraints from chiral effective field theory and astrophysics

MICHIGAN STATE
UNIVERSITY

Recent review article

CD, Holt, and Wellenhofer, ARNPS 71, 403



Chiral Effective Field Theory and the High-Density Nuclear Equation of State

C. Drischler,^{1,2,3} J. W. Holt,⁴ and C. Wellenhofer,^{5,6}

¹Department of Physics, University of California, Berkeley, California 94720, USA

²Nuclear Science Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA

³Facility for Rare Isotope Beams, Michigan State University, Michigan 48824, USA; email: drischler@frib.msu.edu

⁴Cyclotron Institute and Department of Physics and Astronomy, Texas A&M University, College Station, Texas 77843, USA; email: holt@physics.tamu.edu

⁵Institut für Kernphysik, Technische Universität Darmstadt, 64289 Darmstadt, Germany; email: wellenhofer@theorie.ikp.physik.tu-darmstadt.de

⁶ExtreMe Matter Institute EMMI, GSI Helmholtzzentrum für Schwerionenforschung GmbH, 64291 Darmstadt, Germany

invited contribution to *Annu. Rev. Nucl. Part. Sci.* **71**, 403
see also: Lattimer, *Annu. Rev. Nucl. Part. Sci.* **71**, 433

See also:
Burgio, Schulze, Vidaña & Wei,
PPNP **120**, 103879

Annu. Rev. Nucl. Part. Sci. 2021. 71:1–30

This article's doi:
10.1146/annurev-nucl-102419-041903

Copyright © 2021 by Annual Reviews.
All rights reserved

Annu. Rev. Nucl. Part. Sci. in press.

Keywords

chiral effective field theory, nuclear matter, neutron stars, many-body perturbation theory, bayesian uncertainty quantification

Abstract

Equation of state constraints from chiral effective field theory and astrophysics

MICHIGAN STATE
UNIVERSITY

Multi-messenger astronomy

ligo.caltech.edu



Binary neutron star merger
GW170817

- + Virgo
- + GEO600
- + KAGRA
- + ...

What is the secondary object
in GW190425 and GW190814



$$R_{1.4} \lesssim 13.6 \text{ km}$$

$$M_{\text{max}} \lesssim 2.3 M_{\odot}$$

e.g., see:

Margalit, Metzger, APJ **850**, 19

Rezzolla *et al.*, APJ **852**, L25

De *et al.*, PRL **121**, 091102

Lim and Holt, EPJ A **55**, 209

Capano *et al.*, NA **4**, 625

Al-Mamun *et al.*, PRL **126**, 061101

...

Equation of state constraints from chiral effective field theory and astrophysics

MICHIGAN STATE
UNIVERSITY

Recent neutron star observations

NASA

$$R_{2.0} = 12.39^{+1.30}_{-0.98} \text{ km}$$

$$R_{2.0} = 13.70^{+2.6}_{-1.5} \text{ km}$$

Riley *et al.*, arXiv:2105.06980
Miller *et al.*, arXiv:2105.06979

$$R_{1.4} = 12.71^{+1.14}_{-1.19} \text{ km}$$

$$R_{1.4} = 13.02^{+1.24}_{-1.19} \text{ km}$$

Riley *et al.*, APJL **887**, L21
Miller *et al.*, APJL **887**, L24

NICER

+ STROBE-X
+ eXTP
+ ...

see Cole Miller's talk
Neutron Star Measurements with NICER

precise mass measurements

$$M_{\text{max}} \gtrsim 2 M_{\odot}$$

Cromartie *et al.*, Nat. Astron. **4**, 72
Antoniadis *et al.*, Science **340**, 6131
Demorest *et al.*, Nature **467**, 1081

PSR J0740+6620

PSR J0030+0451

Equation of state constraints from chiral effective field theory and astrophysics

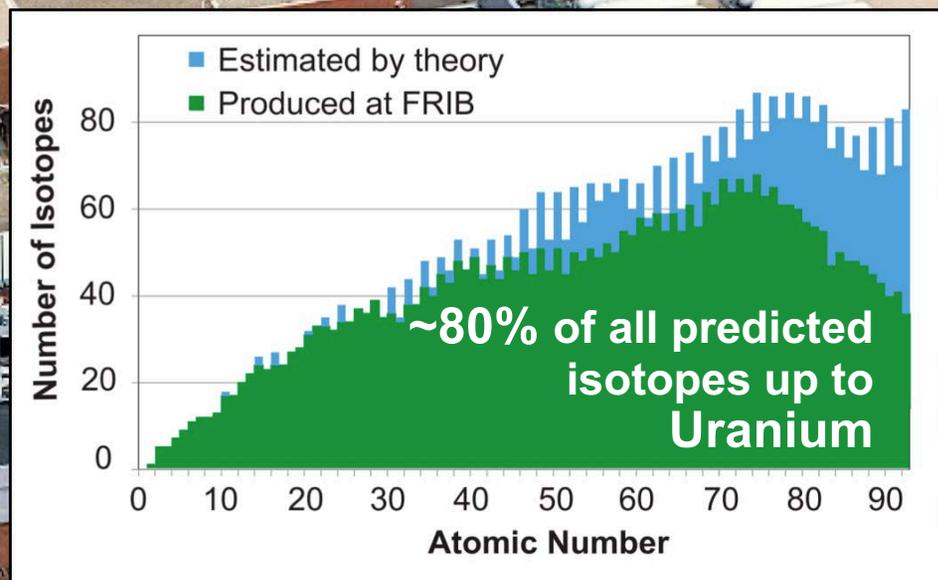
MICHIGAN STATE
UNIVERSITY

Nuclear experiments: neutron-rich nuclei, collisions...

frib.msu.edu



Facility for Rare Isotope Beams
at Michigan State University

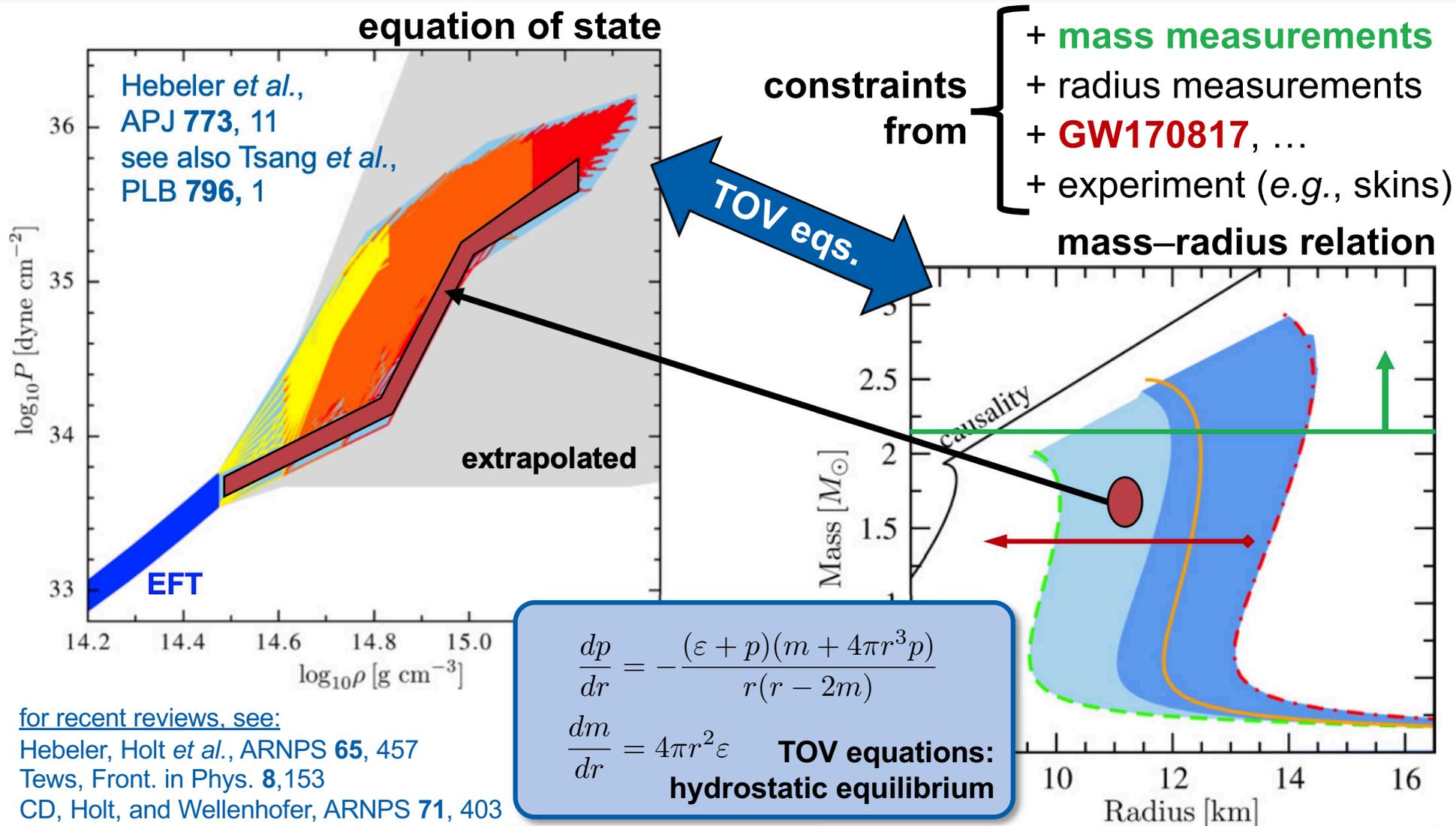


+ FAIR
+ RHIC
+ GANIL
+

Gade, Sherrill, Phys. Scripta **91**, 053003
Balantekin, Carlson *et al.*, Mod. Phys. Lett. A **29**, 1430010

Equation of state constraints from chiral effective field theory and astrophysics

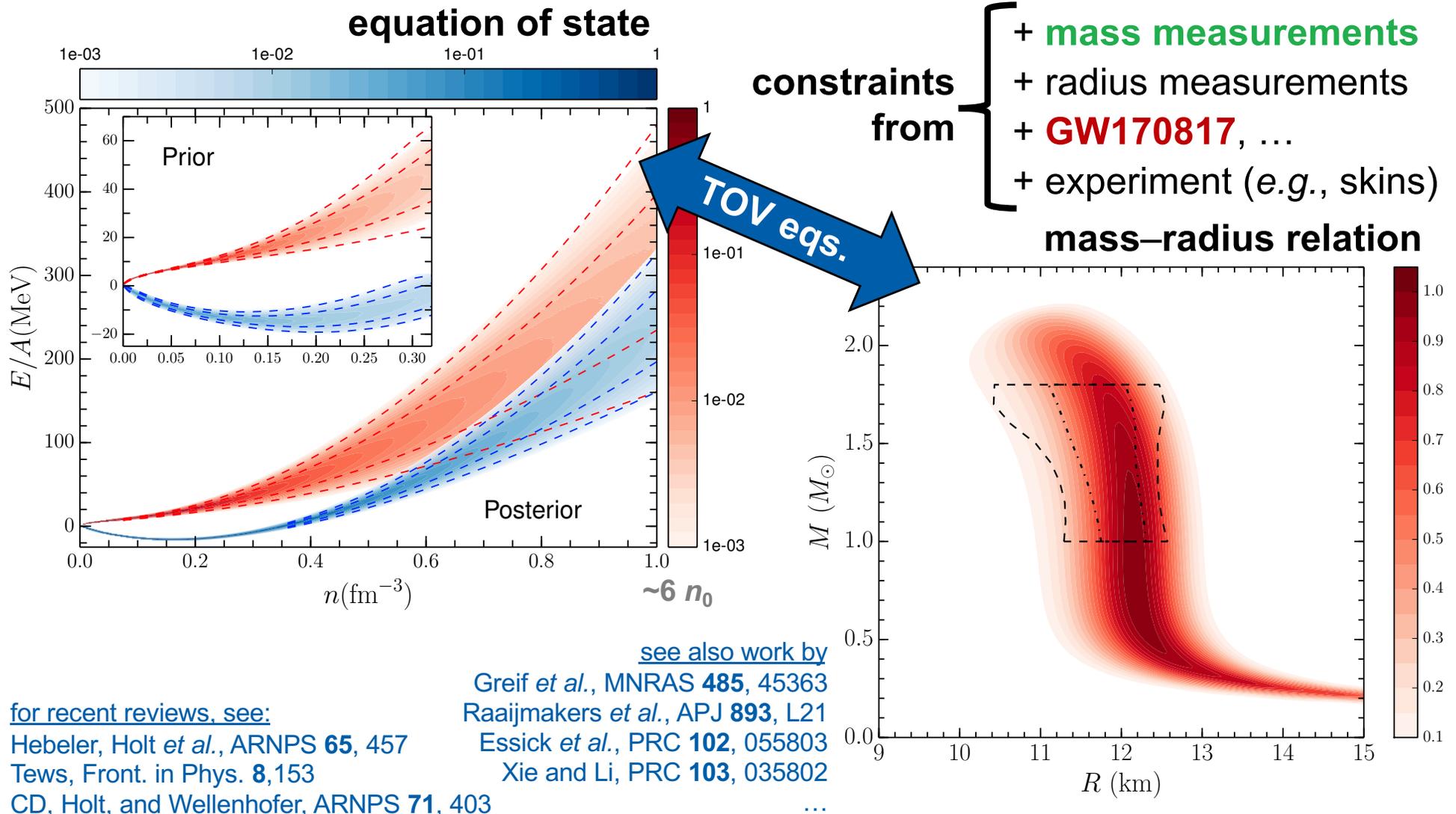
Direct correspondence: M – R relation and EOS



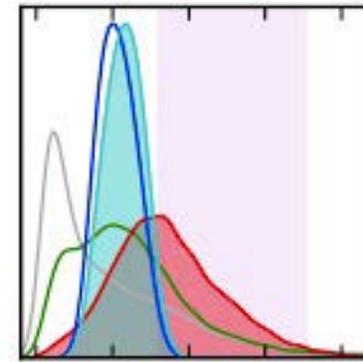
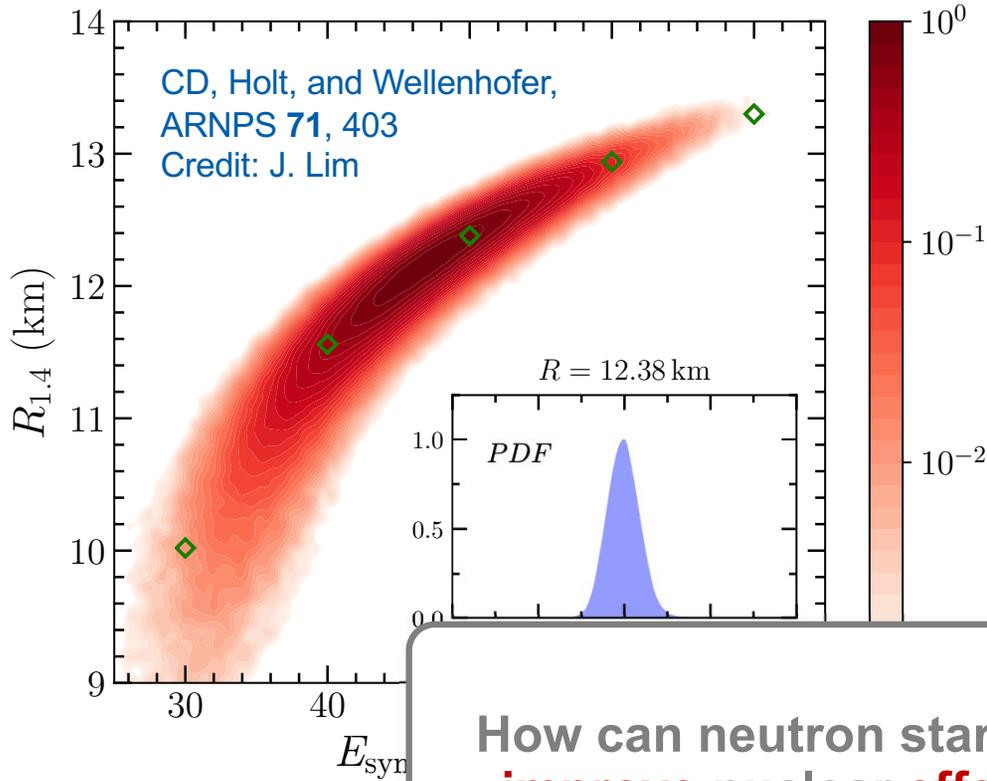
Equation of state constraints from chiral effective field theory and astrophysics

Bayesian modeling of the EOS

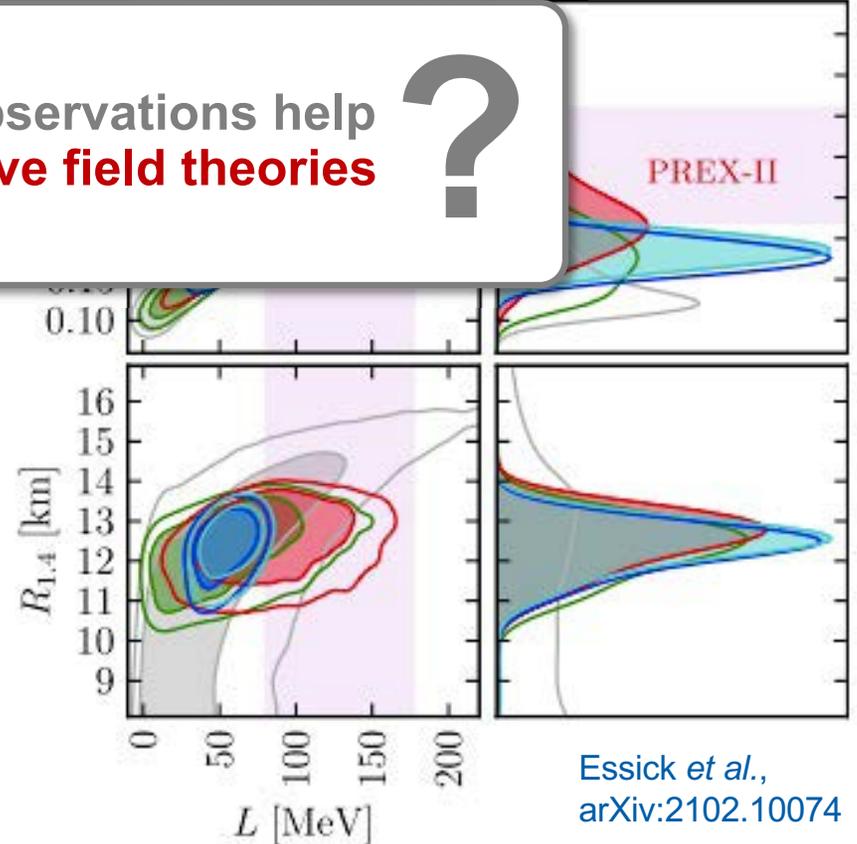
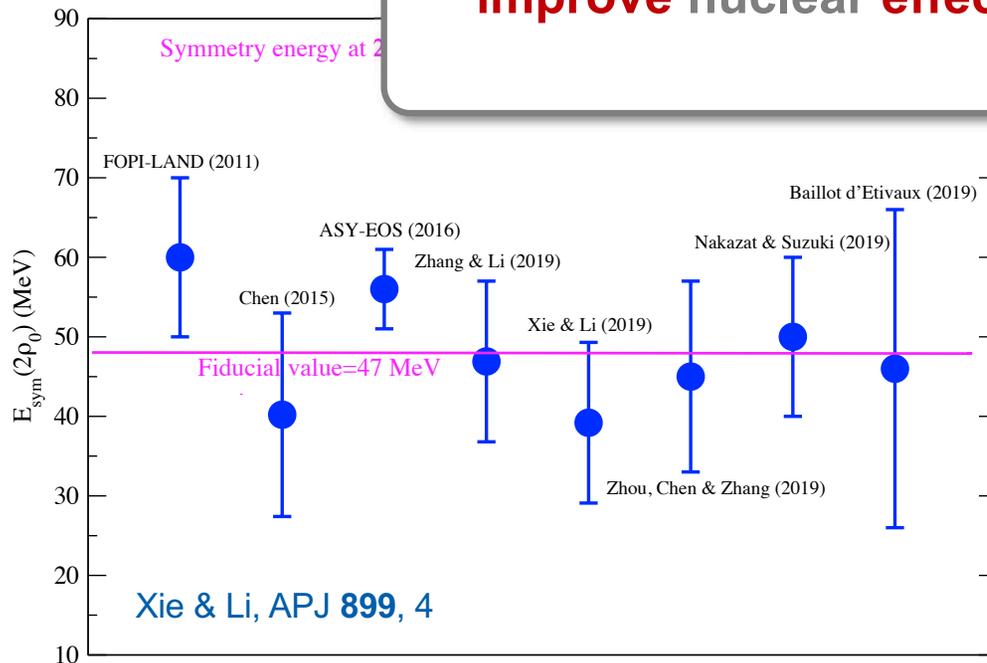
Lim & Holt, PRL 121, 062701



Recent constraints on the nuclear symmetry energy: a few examples



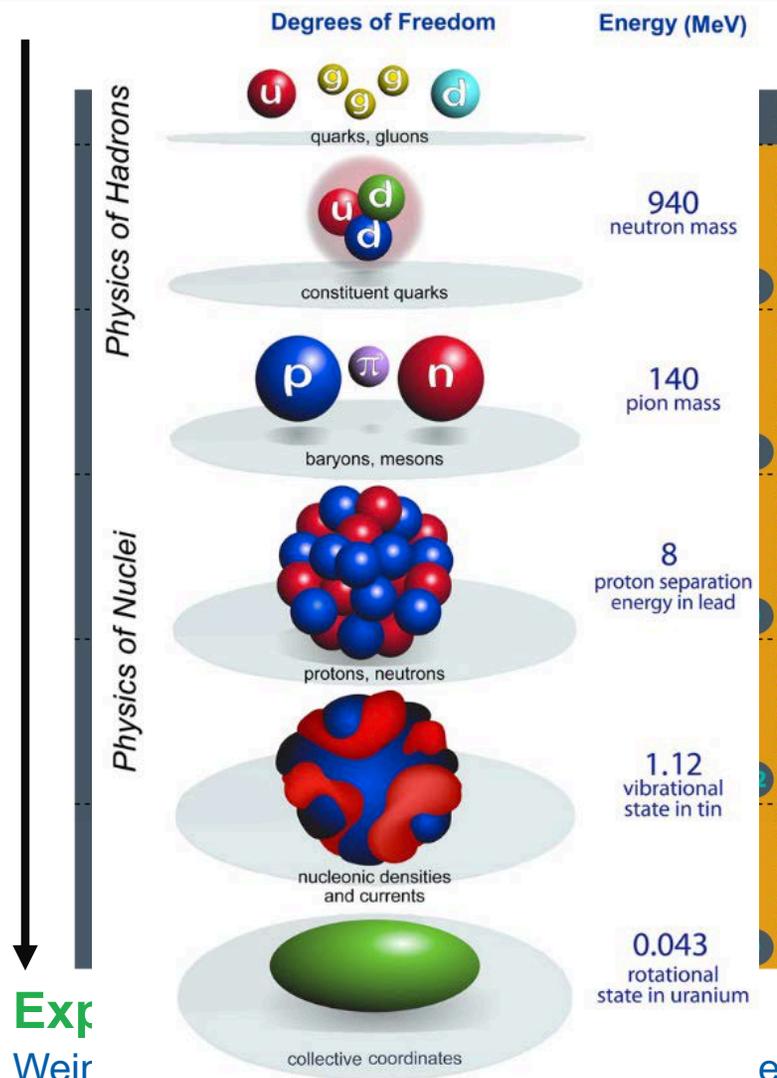
How can neutron star observations help **improve nuclear effective field theories** ?



Equation of state constraints from chiral effective field theory and astrophysics

Microscopic nuclear forces

e.g., Machleidt, Entem, Phys. Rep. 503, 1



Chiral EFT: modern approach to deriving *microscopic* nuclear forces consistent with the symmetries of low-energy QCD

- use relevant instead of the fundamental degrees of freedom: e.g., **nucleons** and **pions**
- **pion exchanges** and short-range **contact interactions** (\propto LECs)
- **systematic expansion** enables improvable **uncertainty estimates**

$$Q = \max \left(\frac{p}{\Lambda_b}, \frac{m_\pi}{\Lambda_b} \right) \geq \frac{1}{3}$$

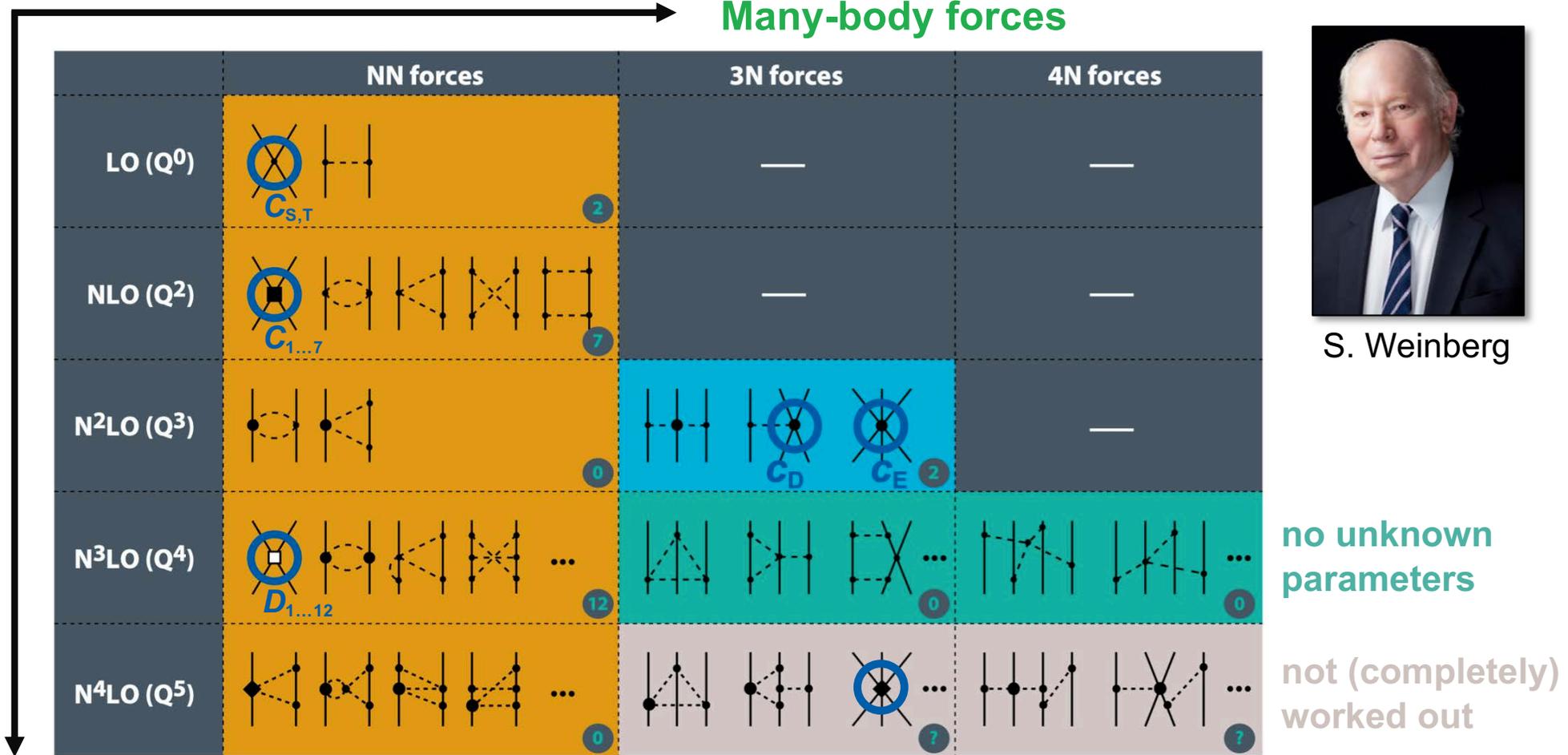
e, Epelbaum, Kaiser, Krebs, Machleidt, Meißner, ...

Equation of state constraints from chiral effective field theory and astrophysics

Hierarchy of nuclear forces in chiral EFT

e.g., Machleidt, Entem, Phys. Rep. 503, 1

Many-body forces



S. Weinberg

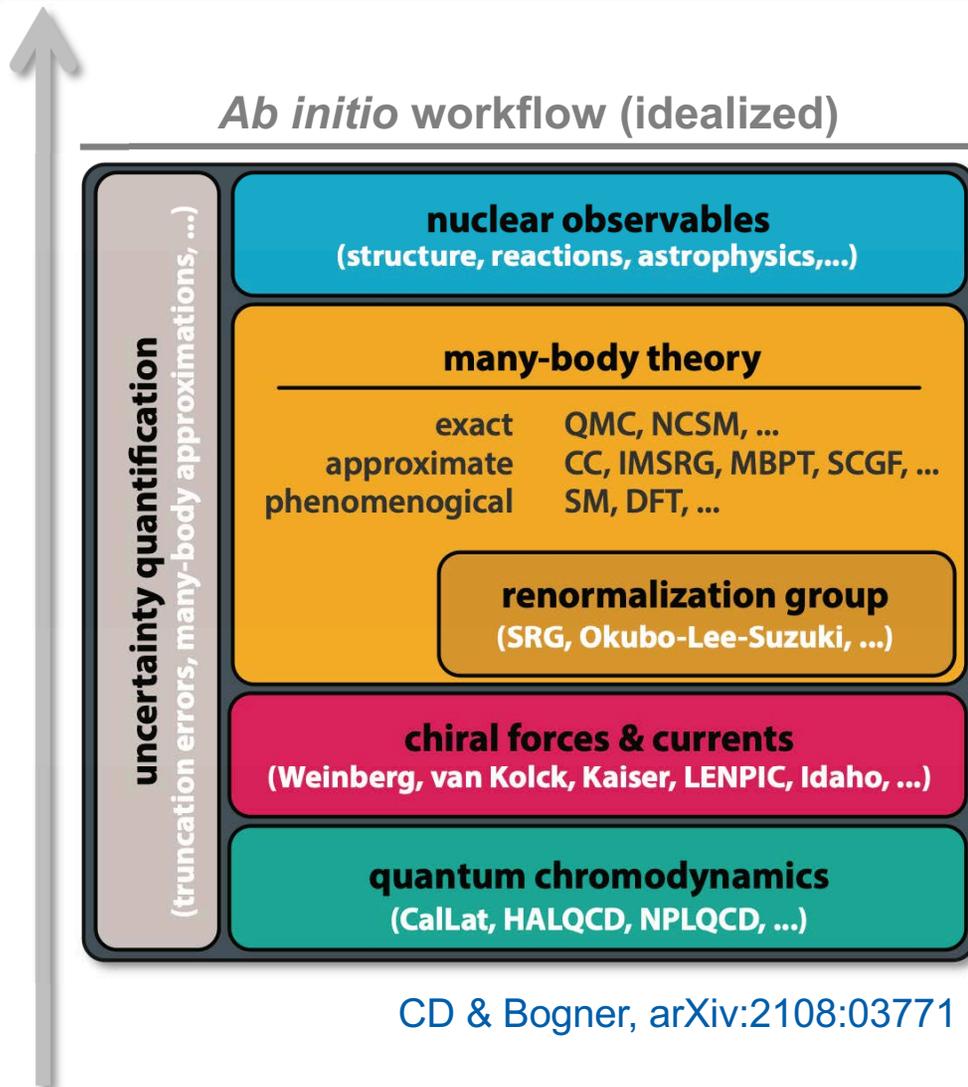
Expansion

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum, Kaiser, Krebs, Machleidt, Meißner, ...

Equation of state constraints from chiral effective field theory and astrophysics

Microscopic calculations of the nuclear EOS

e.g., Hebeler, Holt *et al.*, ARNP 65, 457



great progress in predicting the **EOS** of infinite matter and the structure of **neutron stars** at densities $\lesssim 2n_0$

1

Many-body frameworks

treatment of 3N forces
improved order-by-order calculations

2

Uncertainty quantification

development of Bayesian methods
quantification of EFT truncation errors

Hebeler, Lattimer *et al.*, APJ 773, 11

Chiral Effective Field Theory, PRC 88, 044802

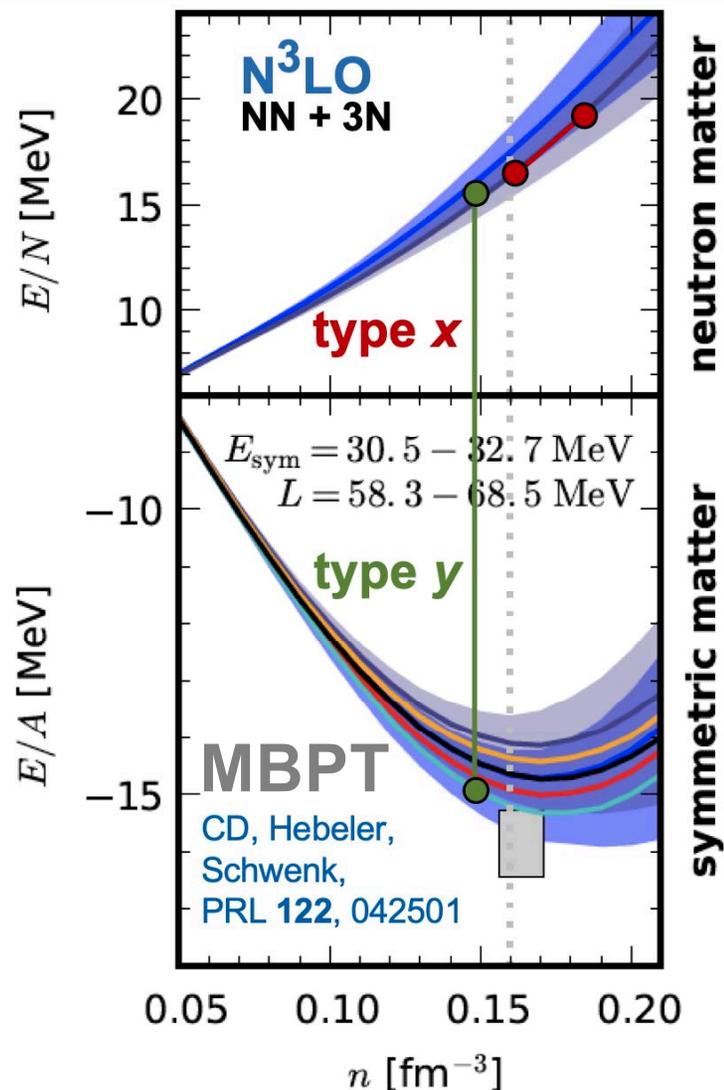
see also Anthea Fantina's talk
Modelling inhomogeneous matter at finite temperature in compact stars

Lonardoni, Tews *et al.*, PRR 2, 022033(R)

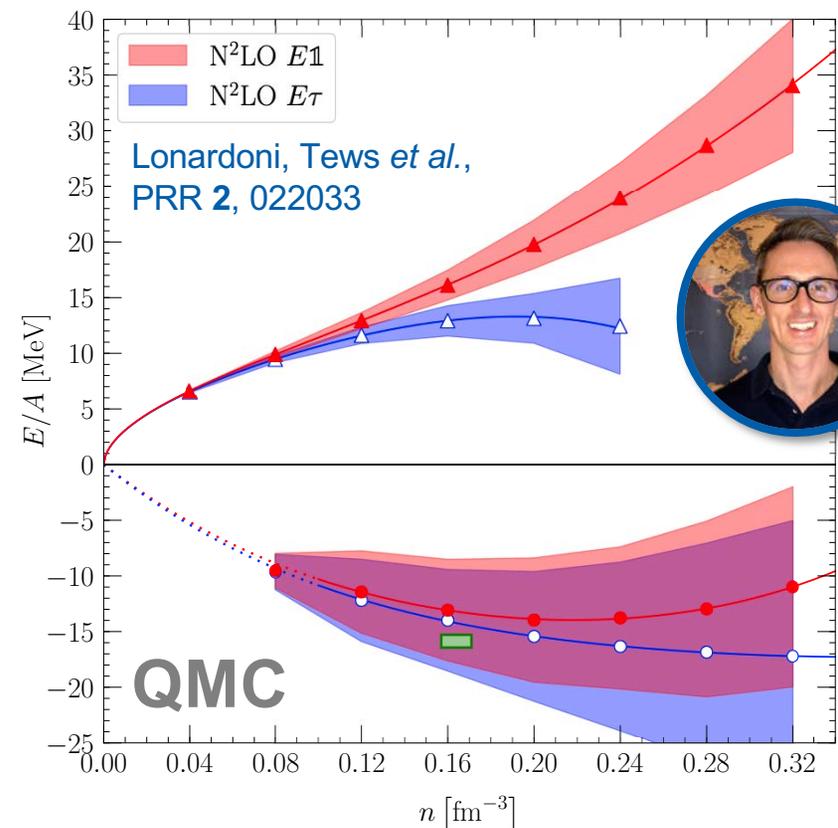
Piarulli, Bombaci *et al.*, PRC 101, 045801

Equation of state constraints from chiral effective field theory and astrophysics

Improved many-body calculations

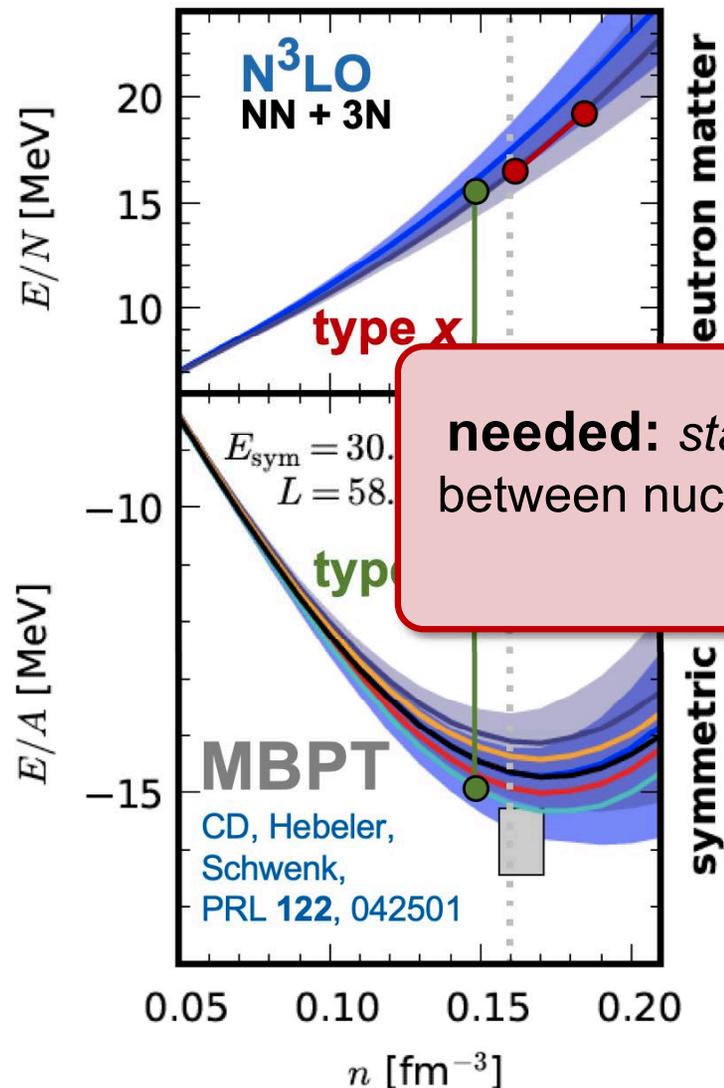


great progress in predicting the EOS of infinite matter and the structure of neutron stars at densities $\lesssim 2n_0$



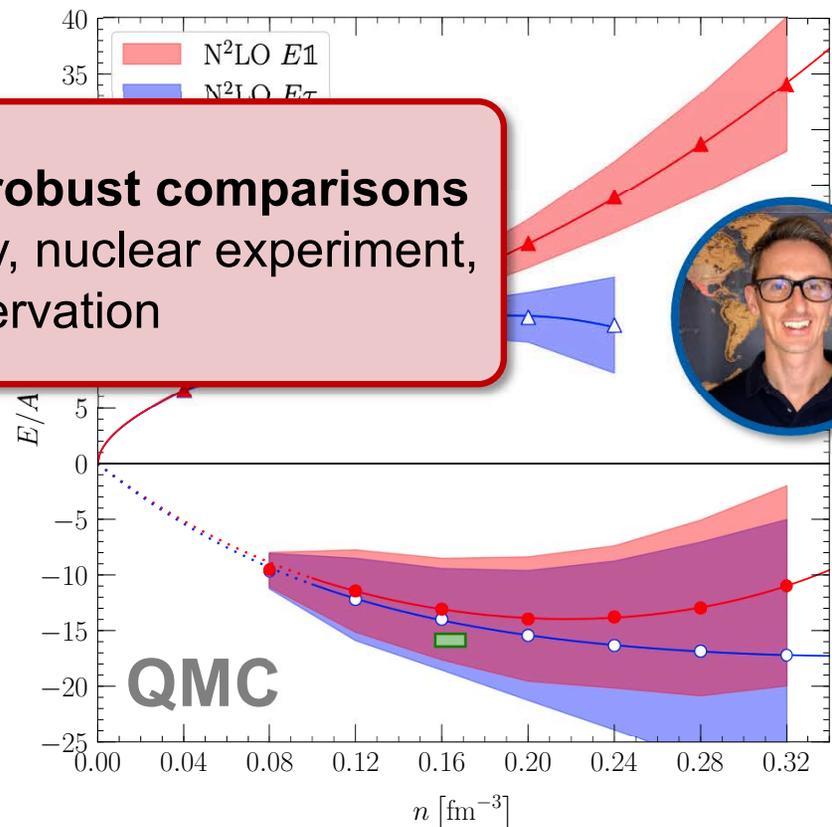
Equation of state constraints from chiral effective field theory and astrophysics

Improved many-body calculations



needed: statistically robust comparisons between nuclear theory, nuclear experiment, and observation

great progress in predicting the EOS of infinite matter and the structure of neutron stars at densities $\lesssim 2n_0$



Equation of state constraints from chiral effective field theory and astrophysics

Efficient Monte Carlo framework

CD, Hebeler, Schwenk, PRL 122, 042501

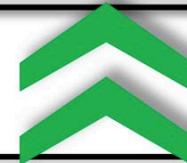


efficient evaluation of **MBPT diagrams**
with **NN**, **3N**, and **4N forces** (single-particle basis)

- implementing diagrams has become straightforward (incl. particle-hole terms)
- multi-dimensional momentum integrals: (improved) VEGAS algorithm
- acceleration: openMP, MPI, and CUDA
- **controlled computation** of arbitrary interaction and many-body diagrams



EOS up to
high orders



automatic code
generation



analytic form
of diagrams/forces

Equation of state constraints from chiral effective field theory and astrophysics

High-order MBPT

Stevenson, Int. J. Mod. Phys. C 14, 1135

The number of diagrams increases rapidly!

1, 3, 39, 840, 27 300, 1 232 280, ...

$n =$ 2 3 4 5 6 7

Integer sequence A064732:

Number of labeled Hugenholtz diagrams with n nodes.



ADG: Automated generation and evaluation of many-body diagrams I. Bogoliubov many-body perturbation theory

Pierre Arthuis, Thomas Duguet, Alexander Tichai, Raphaël-David Lasserri, Jean-Paul Ebran
Comput. Phys. **240**, 202

**fully automated
approach to MBPT**

Equation of state constraints from chiral effective field theory and astrophysics

MICHIGAN STATE
UNIVERSITY

New framework for UQ of EFT calculations

buqeye.github.io



CD, Furnstahl, Melendez, and Phillips

How well do we know the neutron matter equation of state for neutron stars? Uncertainty quantification:

Correlated EFT truncation errors are important!

statistically **robust uncertainty estimates** for key quantities of **neutron stars**

CD, Melendez, Phillips

Effective Field Theory Convergence Pattern of Infinite Nuclear Matter, PRC **102**, 054315

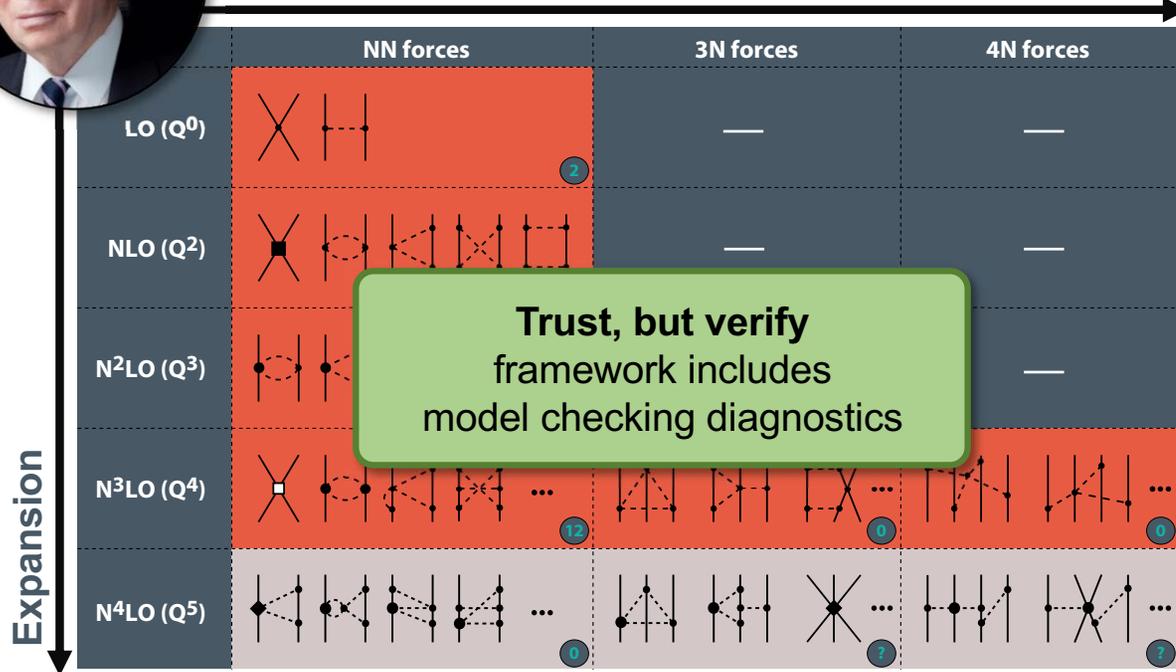
See also: Melendez *et al.*, PRC **100**, 044001
Wesolowski *et al.*, JPG **43**, 074001

UQ framework available at
<https://buqeye.github.io>



S. Weinberg
(1933–2021)

Multi-nucleon forces



predict observable y_k
order by order in EFT

$$y_k = y_{\text{ref}} \sum_{n=0}^k c_n Q^n$$

c_n are *not* the EFT's LEC

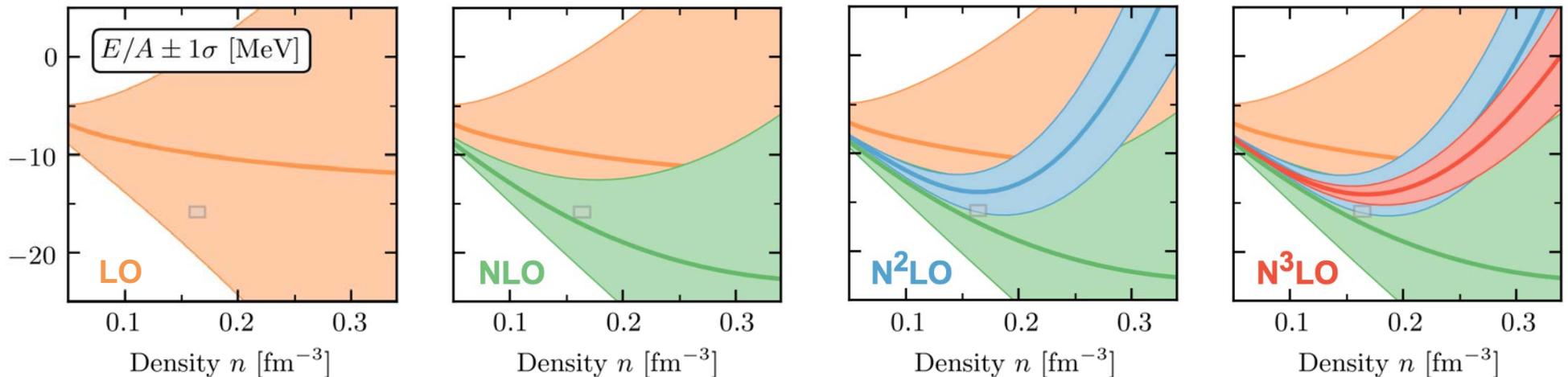
treat all c_n as
independent draws from
a **Gaussian Process**

learn GP's hyperparameters
& infer **EFT truncation error**

$$\delta y_k = y_{\text{ref}} \sum_{n=k+1}^{\infty} c_n Q^n$$

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum,
Kaiser, Krebs, Machleidt, Meißner, ...

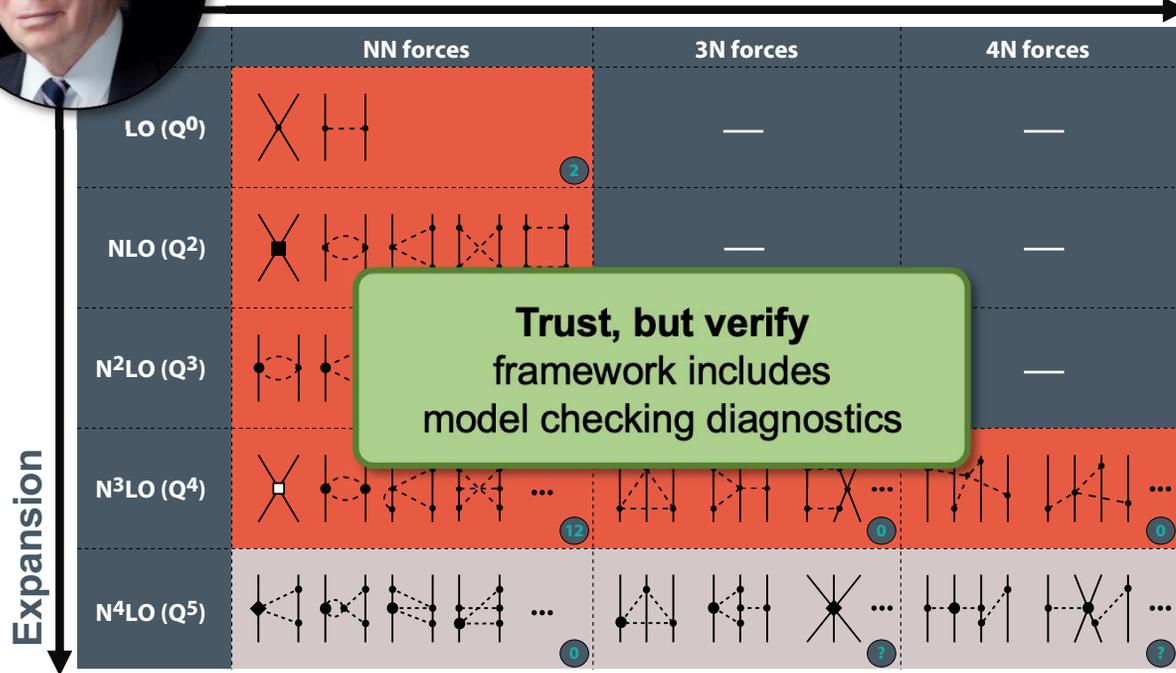
For example: $y_k = E/A$ in SNM at chiral order k





S. Weinberg
(1933–2021)

Multi-nucleon forces



predict observable y_k
order by order in EFT

$$y_k = y_{\text{ref}} \sum_{n=0}^k c_n Q^n$$

c_n are *not* the EFT's LEC

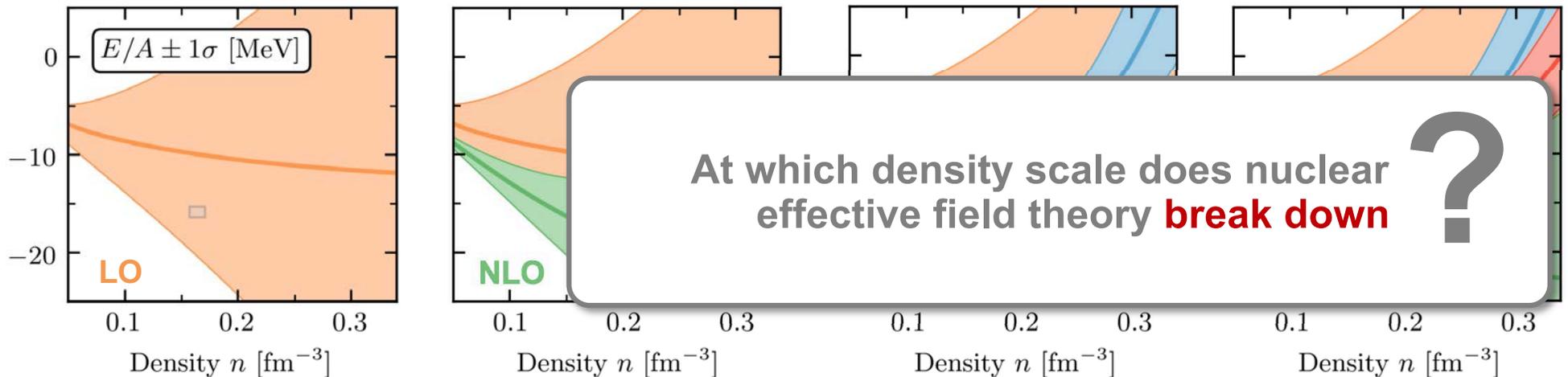
treat all c_n as
independent draws from
a **Gaussian Process**

learn GP's hyperparameters
& infer **EFT truncation error**

$$\delta y_k = y_{\text{ref}} \sum_{n=k+1}^{\infty} c_n Q^n$$

Weinberg, van Kolck, Kaplan, Savage, Wise, Epelbaum,
Kaiser, Krebs, Machleidt, Meißner, ...

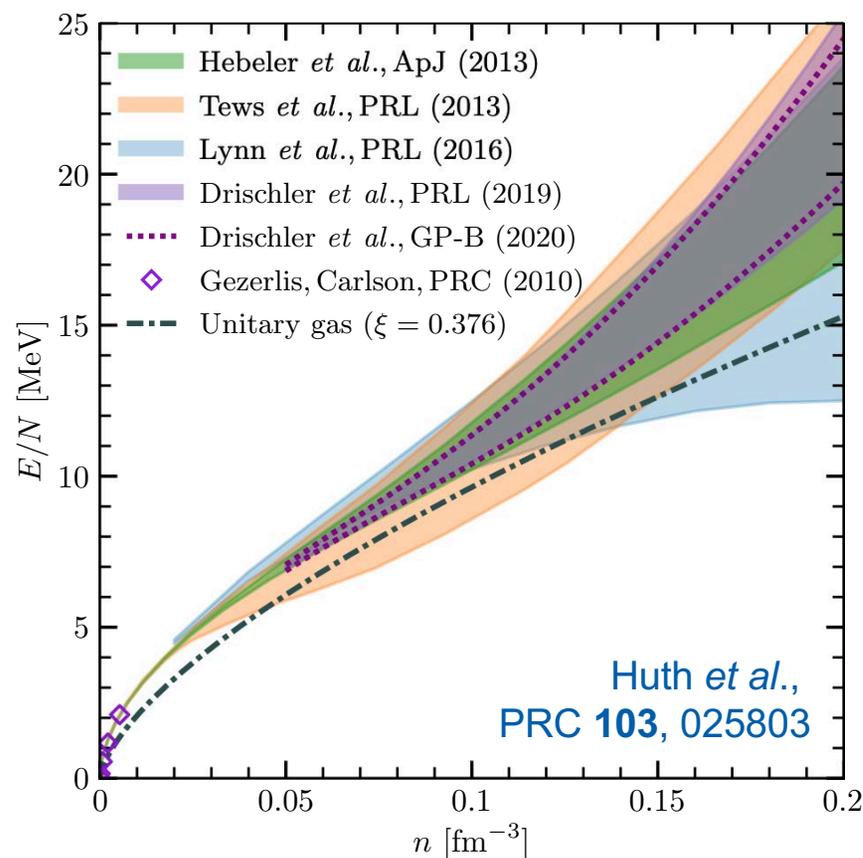
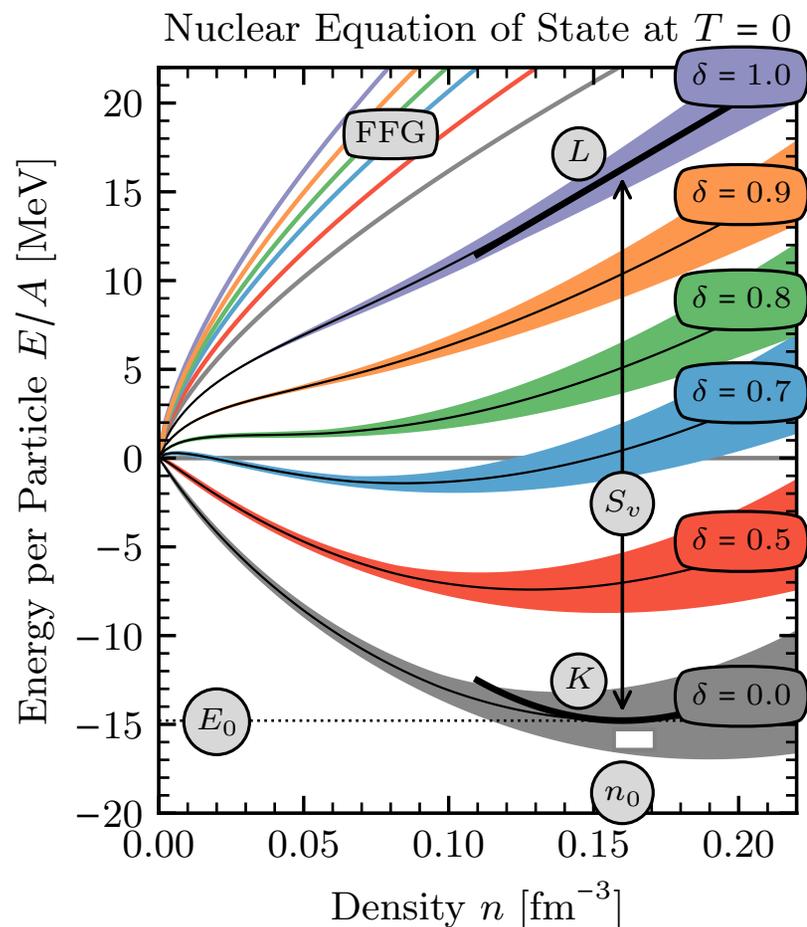
For example: $y_k = E/A$ in SNM at chiral order k



Equation of state constraints from chiral effective field theory and astrophysics

Parameters of the low-density EOS

CD, Holt, and Wellenhofer, ARNPS. 71, 403



FFG: free Fermi gas; $\delta = (n_n - n_p)/n$: isospin asymmetry

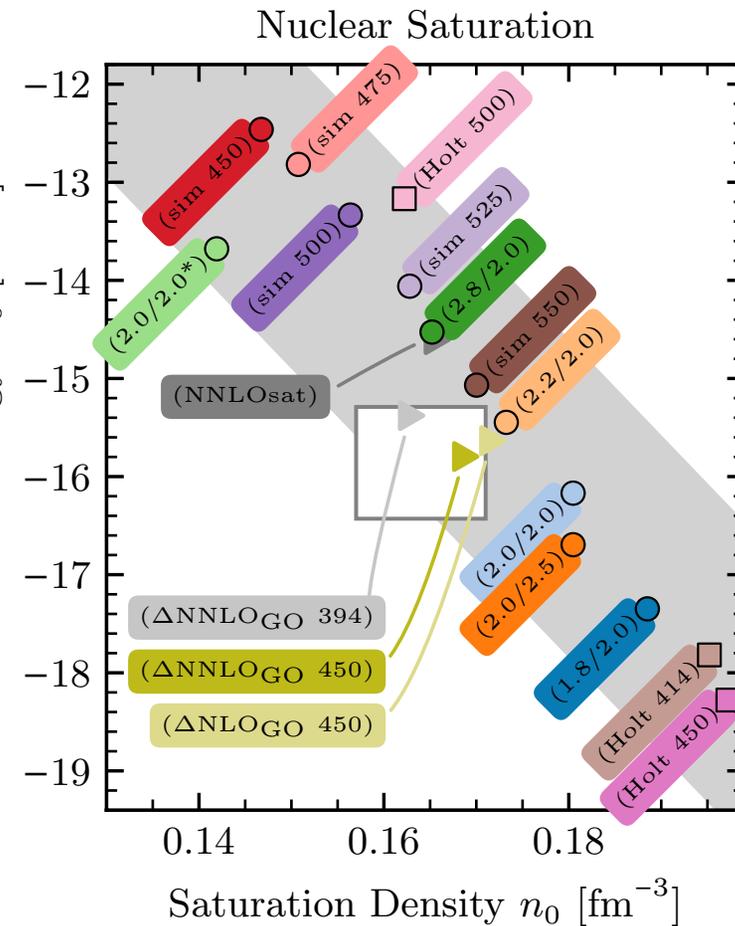
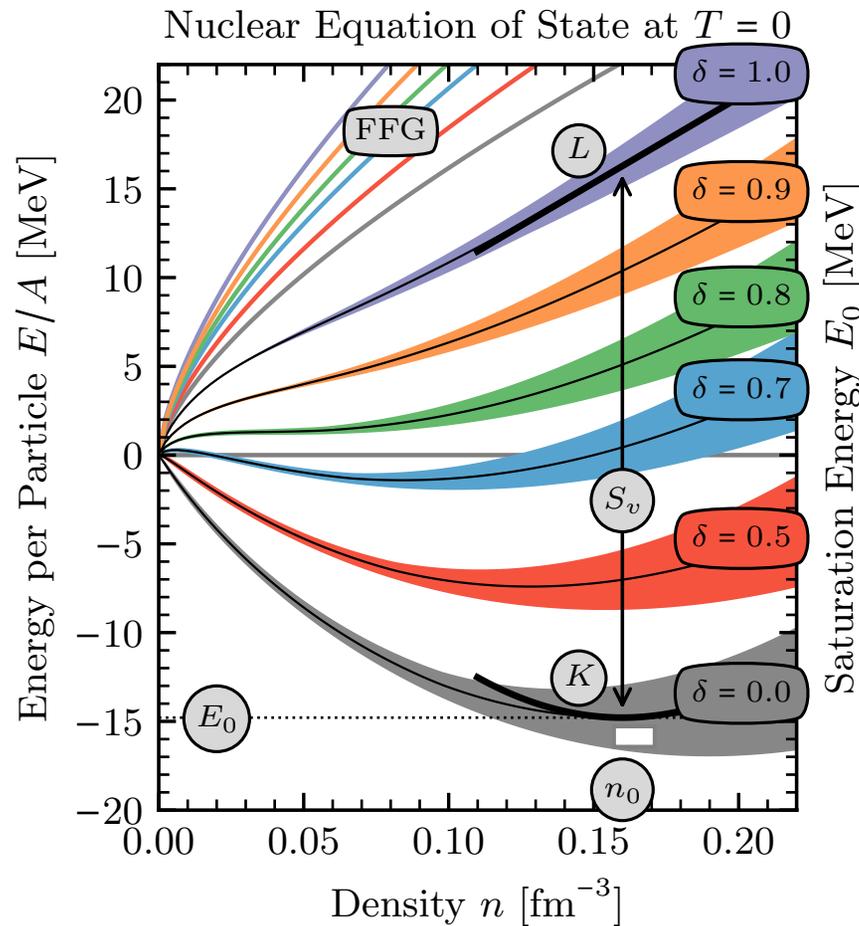
Annotations: (λ / Λ_{3N}) in fm^{-1} or (Λ) in MeV

for nuclear saturation, see also Atkinson *et al.*, PRC 102, 044333; Dewulf *et al.*, PRL 90, 152501

Equation of state constraints from chiral effective field theory and astrophysics

Parameters of the low-density EOS

CD, Holt, and Wellenhofer, ARNPS. 71, 403



FFG: free Fermi gas; $\delta = (n_n - n_p)/n$: isospin asymmetry

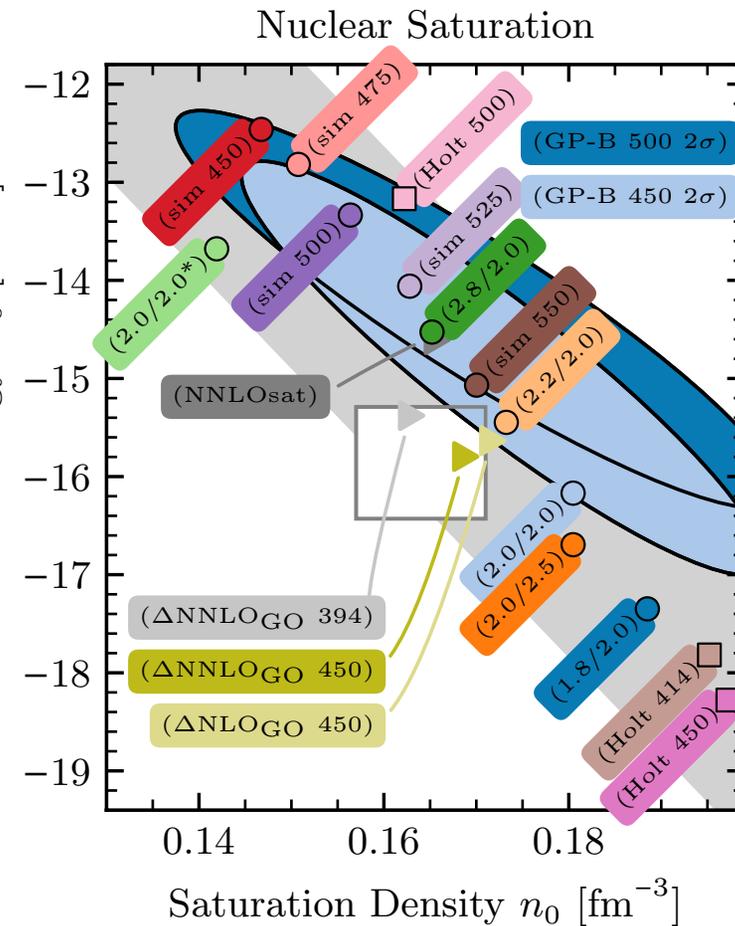
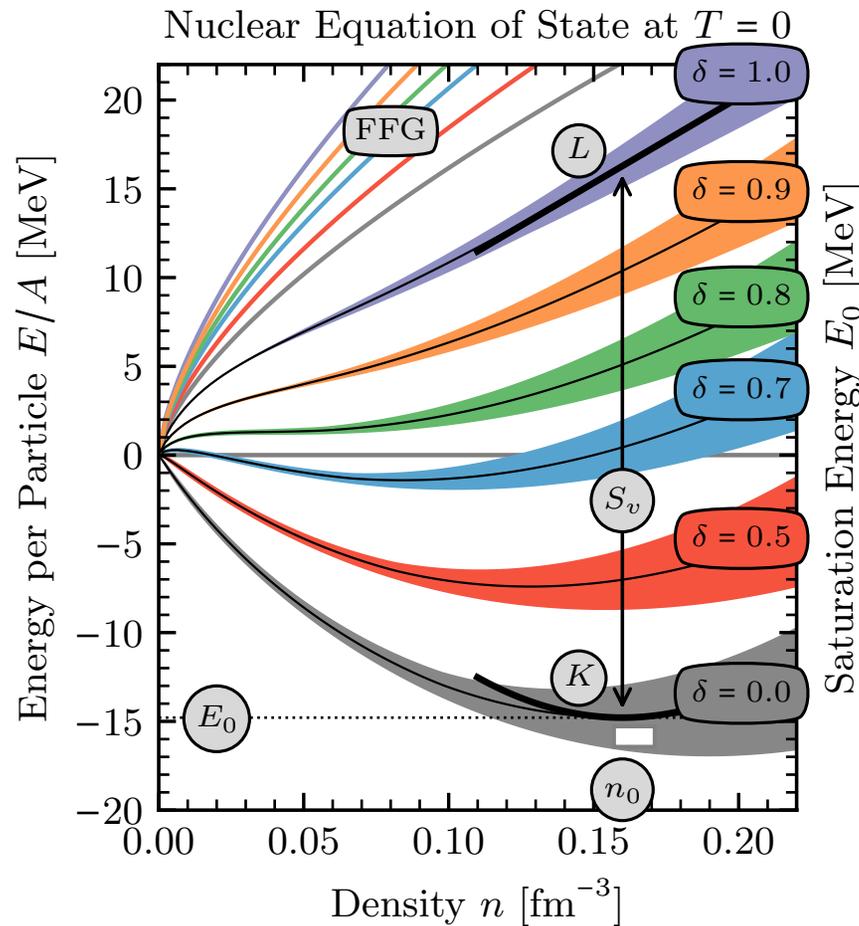
Annotations: (λ / Λ_{3N}) in fm^{-1} or (Λ) in MeV

for nuclear saturation, see also Atkinson *et al.*, PRC **102**, 044333; Dewulf *et al.*, PRL **90**, 152501

Equation of state constraints from chiral effective field theory and astrophysics

Parameters of the low-density EOS

CD, Holt, and Wellenhofer, ARNPS. 71, 403



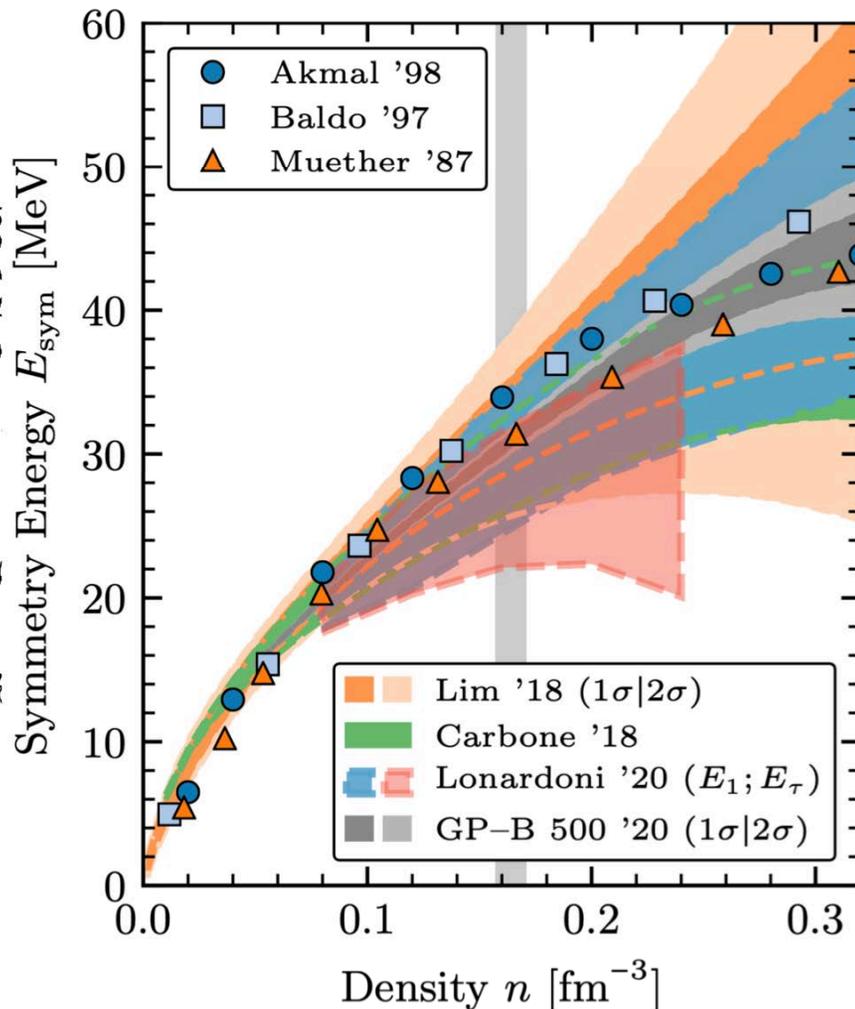
FFG: free Fermi gas; $\delta = (n_n - n_p)/n$: isospin asymmetry

for nuclear saturation, see also Atkinson *et al.*, PRC 102, 044333; Dewulf *et al.*, PRL 90, 152501

Annotations: (λ / Λ_{3N}) in fm^{-1} or (Λ) in MeV

Equation of state constraints from chiral effective field theory and astrophysics

Confronting chiral EFT with empirical constraints CD, Furnstahl *et al.*, PRL 125, 202702



$$S_2(n) \equiv S_2(n) \approx \frac{E}{N}(n) - \frac{E}{A}(n)$$

Excellent agreement with experiment
Lattimer and Lim, APJ 771, 51

$$\text{pr}(S_v, L | \mathcal{D}) = \int dn_0 \text{pr}(S_2, L | n_0, \mathcal{D}) \text{pr}(n_0 | \mathcal{D})$$

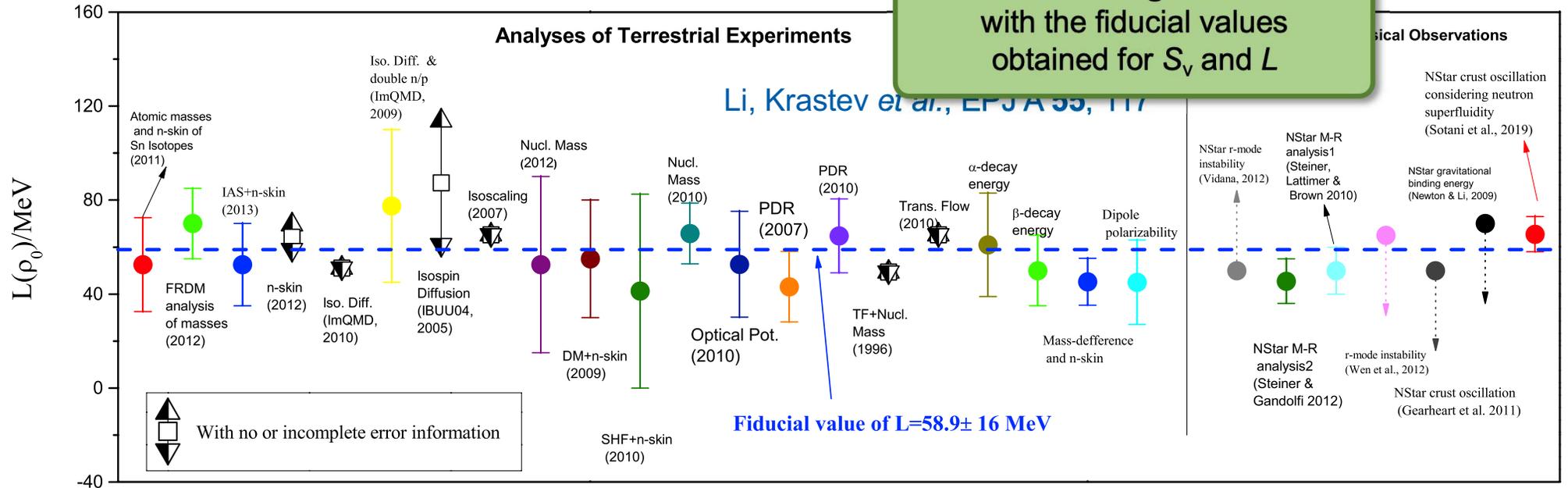
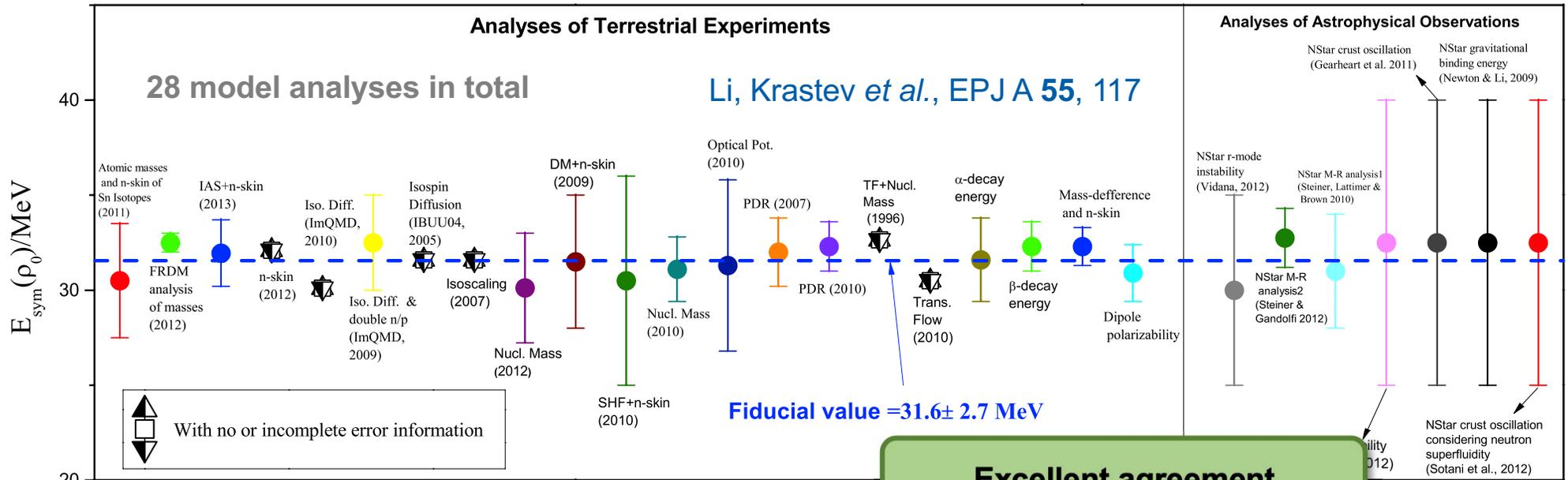
$$\text{pr}(n_0 | \mathcal{D}) \approx 0.17 \pm 0.01 \text{ fm}^{-3}$$

2σ ellipse (light yellow) is completely within the *conjectured* unitary gas limit

predicted range in S_v agrees with other **theoretical constraints**; but ~15 MeV stronger density-dependence of $S_2(n_0)$

GP-B (500): two-dimensional Gaussian

$$\begin{bmatrix} \mu_{S_v} \\ \mu_L \end{bmatrix} = \begin{bmatrix} 31.7 \\ 59.8 \end{bmatrix} \quad \Sigma = \begin{bmatrix} 1.11^2 & 3.27 \\ 3.27 & 4.12^2 \end{bmatrix}$$



GP-B (500): two-dimensional Gaussian

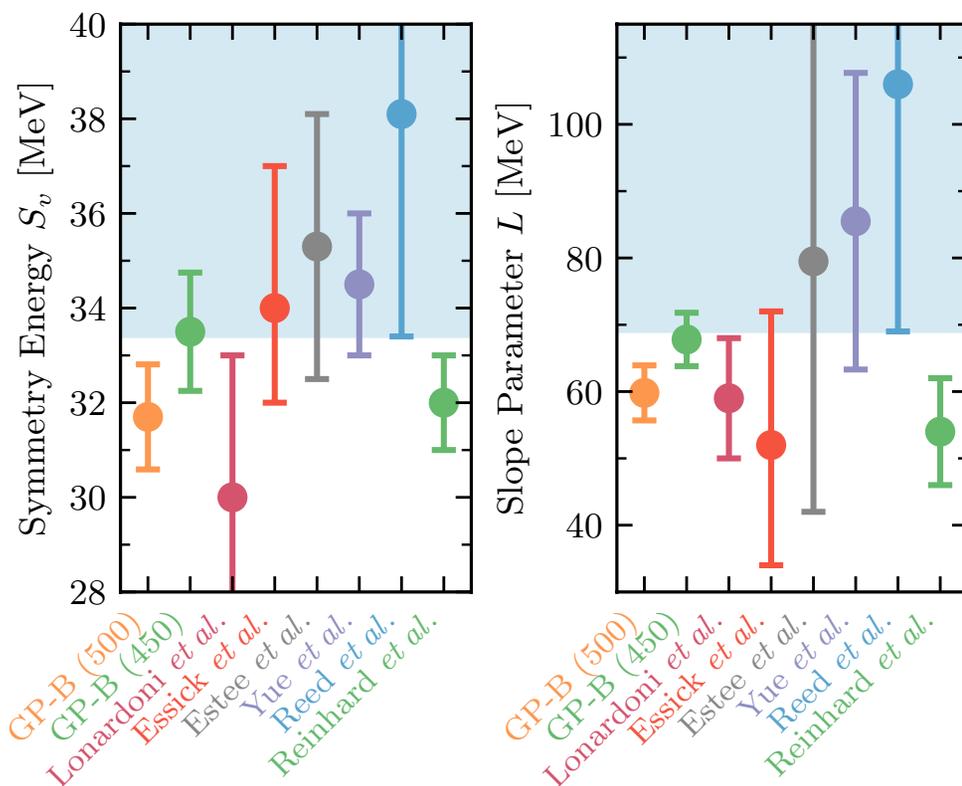
Compilation of recent terrestrial and astrophysical constraints on S_v and L

$$\begin{bmatrix} \mu_{S_v} \\ \mu_L \end{bmatrix} = \begin{bmatrix} 31.7 \\ 59.8 \end{bmatrix} \quad \Sigma = \begin{bmatrix} 1.11^2 & 3.27 \\ 3.27 & 4.12^2 \end{bmatrix}$$

Equation of state constraints from chiral effective field theory and astrophysics

PREX-II vs theory and observation

see also Yue *et al.*, arXiv:2102.05267



Parity violating elastic e scattering

$$R_{\text{skin}}(^{208}\text{Pb}) = 0.283 \pm 0.071 \text{ fm}$$

PREX collaboration, PRL **126**, 172502

Exploiting strong correlations (EDFs)

$$S_v = 38.1 \pm 4.7 \text{ MeV}$$

$$L = 105.9 \pm 36.9 \text{ MeV}$$

Reed *et al.*, PRL **126**, 172503

Astron. data + chiral EFT only (incl. GP-B)

$$R(^{208}\text{Pb}) = 0.18^{+0.04}_{-0.04} \text{ fm}$$

$$S_v = 34^{+3}_{-2} \text{ MeV} \quad L = 52^{+20}_{-18} \text{ MeV}$$

Essick *et al.*, arXiv:2102.10074

Take away from PREX-II-informed results:

- **uncertainties are still large**
- allows for stiffer EOS at $\sim n_0$, but within the large uncertainties consistent with chiral EFT
- **tension between A_{PV} and α_D**

Different set of modern EDFs

$$R(^{208}\text{Pb}) = 0.19 \pm 0.02 \text{ fm}$$

$$S_v = 32 \pm 1 \text{ MeV} \quad L = 54 \pm 8 \text{ MeV}$$

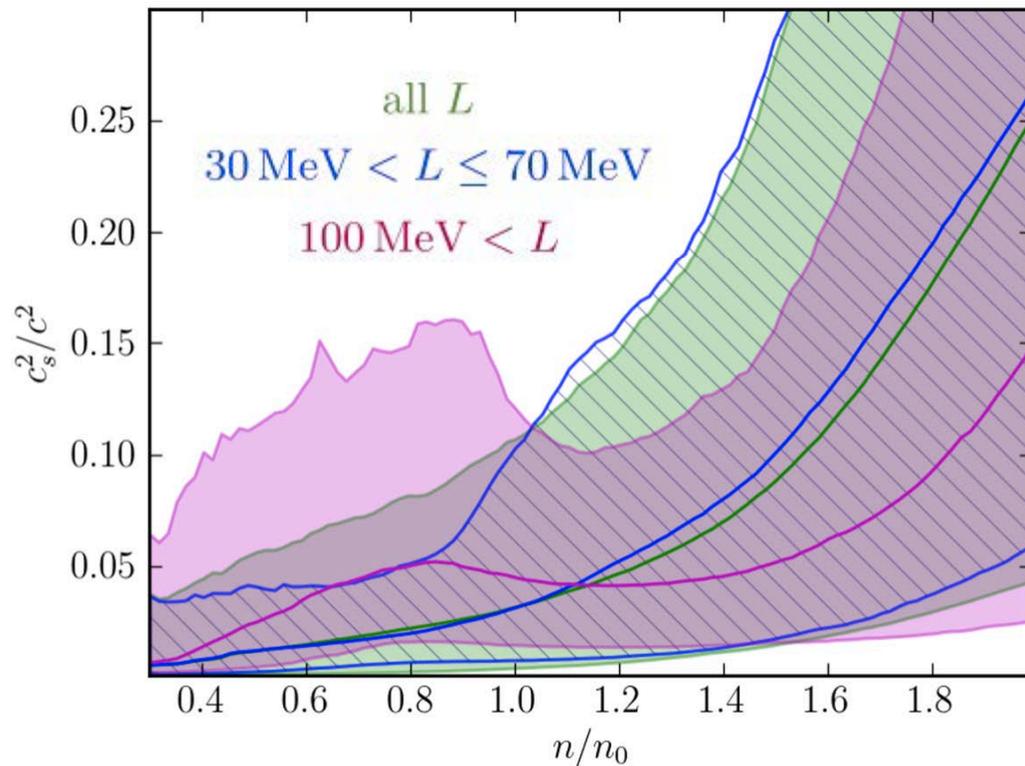
Reinhard, Roca-Maza *et al.*, arXiv:2105.15050

see also: Piekarewicz, PRC **104**, 024329

Equation of state constraints from chiral effective field theory and astrophysics

PREX-II vs theory and observation

see also Yue *et al.*, arXiv:2102.05267



Parity violating elastic e scattering

$$R_{\text{skin}}(^{208}\text{Pb}) = 0.283 \pm 0.071 \text{ fm}$$

PREX collaboration, PRL **126**, 172502

Exploiting strong correlations (EDFs)

$$S_v = 38.1 \pm 4.7 \text{ MeV}$$

$$L = 105.9 \pm 36.9 \text{ MeV}$$

Reed *et al.*, PRL **126**, 172503

Astron. data + chiral EFT only (incl. GP-B)

$$R(^{208}\text{Pb}) = 0.18^{+0.04}_{-0.04} \text{ fm}$$

$$S_v = 34^{+3}_{-2} \text{ MeV} \quad L = 52^{+20}_{-18} \text{ MeV}$$

Essick *et al.*, arXiv:2102.10074

Take away from PREX-II-informed results:

- **uncertainties are still large**
- allows for stiffer EOS at $\sim n_0$, but within the large uncertainties consistent with chiral EFT
- **tension between A_{PV} and α_{D}**

Different set of modern EDFs

$$R(^{208}\text{Pb}) = 0.19 \pm 0.02 \text{ fm}$$

$$S_v = 32 \pm 1 \text{ MeV} \quad L = 54 \pm 8 \text{ MeV}$$

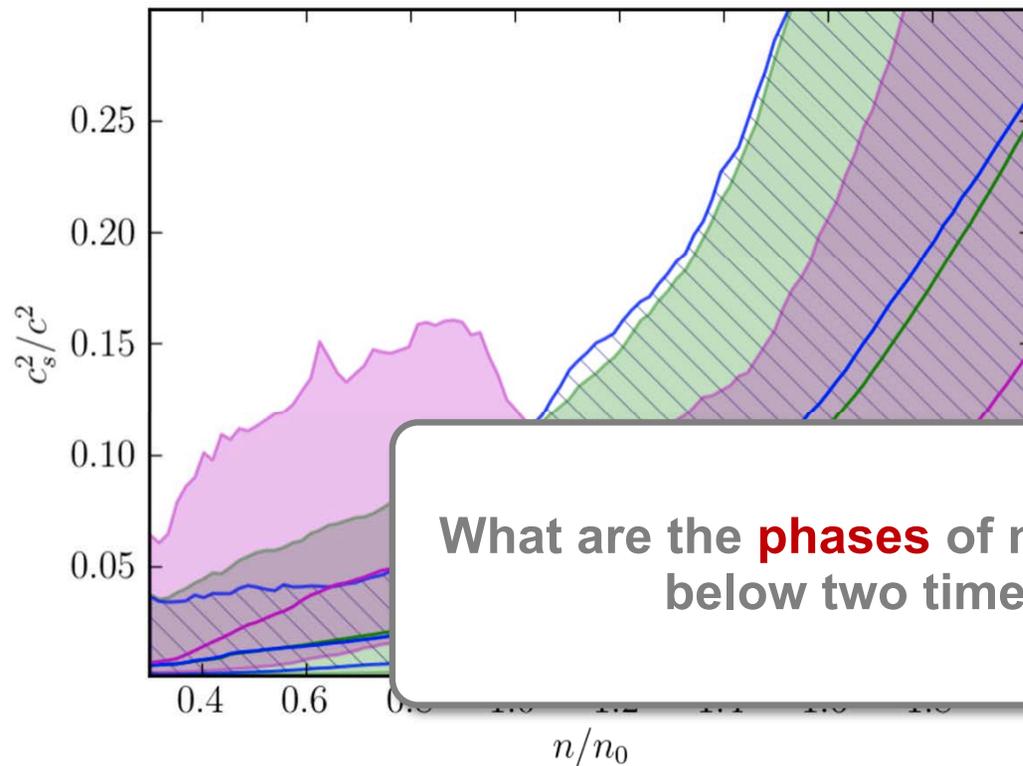
Reinhard, Roca-Maza *et al.*, arXiv:2105.15050

see also: Piekarewicz, PRC **104**, 024329

Equation of state constraints from chiral effective field theory and astrophysics

PREX-II vs theory and observation

see also Yue *et al.*, arXiv:2102.05267



What are the **phases** of neutron star matter below two times normal densities ?

Parity violating elastic e scattering

$$R_{\text{skin}}(^{208}\text{Pb}) = 0.283 \pm 0.071 \text{ fm}$$

PREX collaboration, PRL **126**, 172502

Exploiting strong correlations (EDFs)

$$S_v = 38.1 \pm 4.7 \text{ MeV}$$

$$L = 105.9 \pm 36.9 \text{ MeV}$$

PRL **126**, 172503

...ly (incl. GP-B)

$$S_v = 34_{-2}^{+2} \text{ MeV} \quad L = 52_{-18}^{+20} \text{ MeV}$$

Essick *et al.*, arXiv:2102.10074

Take away from PREX-II-informed results:

- **uncertainties are still large**
- allows for stiffer EOS at $\sim n_0$, but within the large uncertainties consistent with chiral EFT
- **tension between A_{PV} and α_D**

Different set of modern EDFs

$$R(^{208}\text{Pb}) = 0.19 \pm 0.02 \text{ fm}$$

$$S_v = 32 \pm 1 \text{ MeV} \quad L = 54 \pm 8 \text{ MeV}$$

Reinhard, Roca-Maza *et al.*, arXiv:2105.15050

see also: Piekarewicz, PRC **104**, 024329

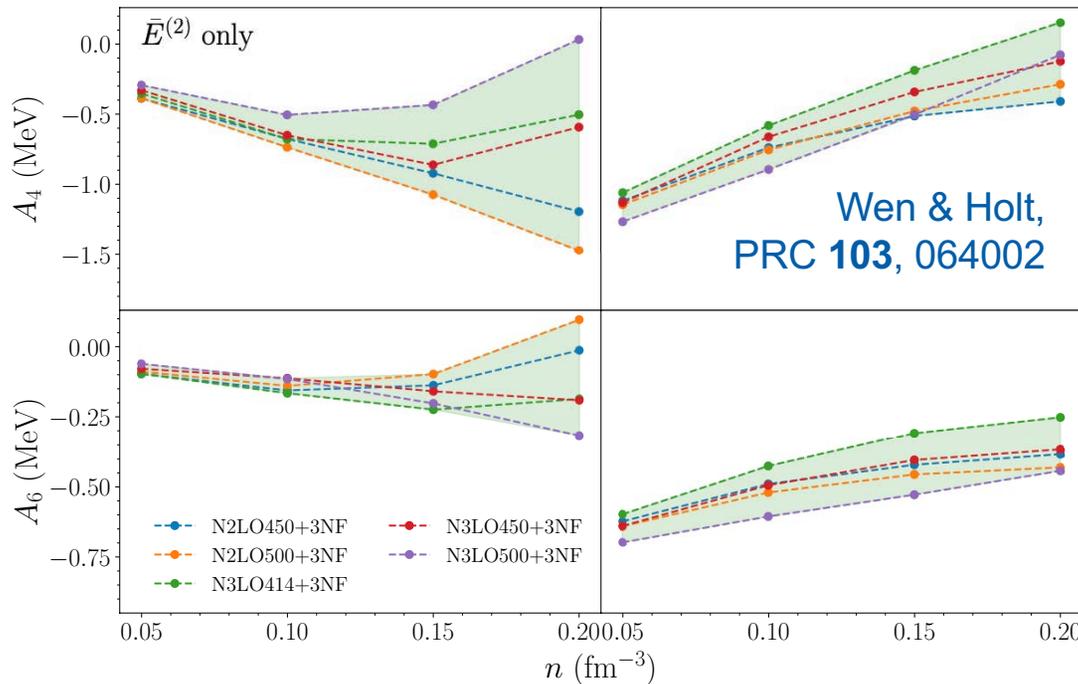
Nonquadratic contributions to the nuclear symmetry energy

Kaiser, PRC **91**, 065201

Wellenhofer, Holt, and Kaiser, PRC **93**, 055802

Somasundaram, CD, Tews *et al.*, PRC **103**, 045803

$$\frac{E}{A}(n, \delta) = \frac{E}{A}(n, \delta = 0) + S_2(n)\delta^2 + \sum_{i>1} (A_{2i}(n) + A_{2i,l}(n) \log |\delta|) \delta^{2i}$$



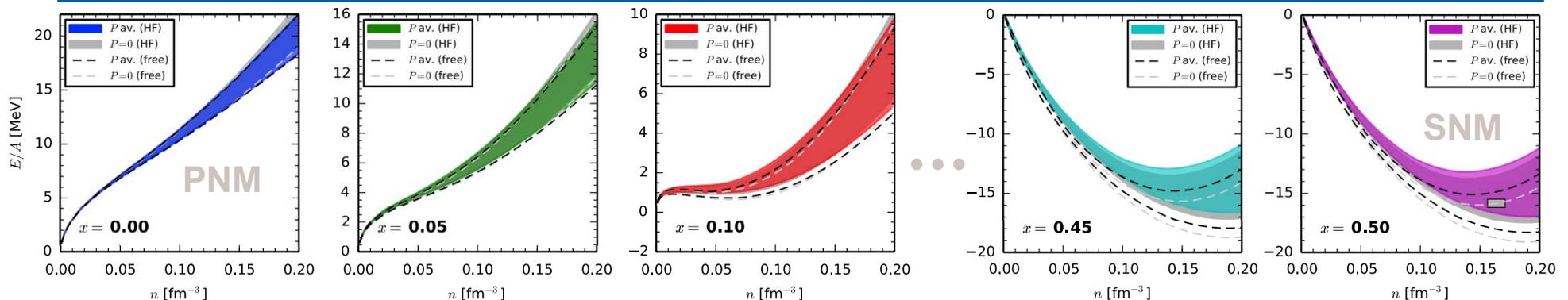
MBPT beyond Hartree-Fock gives rise to (nonanalytic) **logarithmic contributions**

Precision MBPT calculations can **extract** high-order symmetry energy **coefficients**

Overall small contribution from nonquadratic terms (but can impact β -equilibrium)

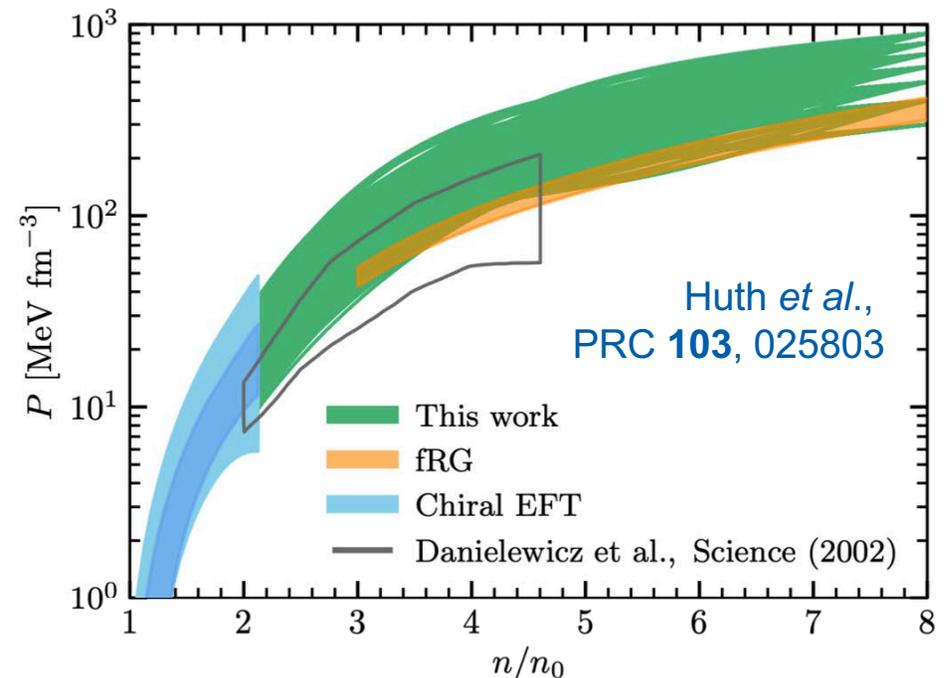
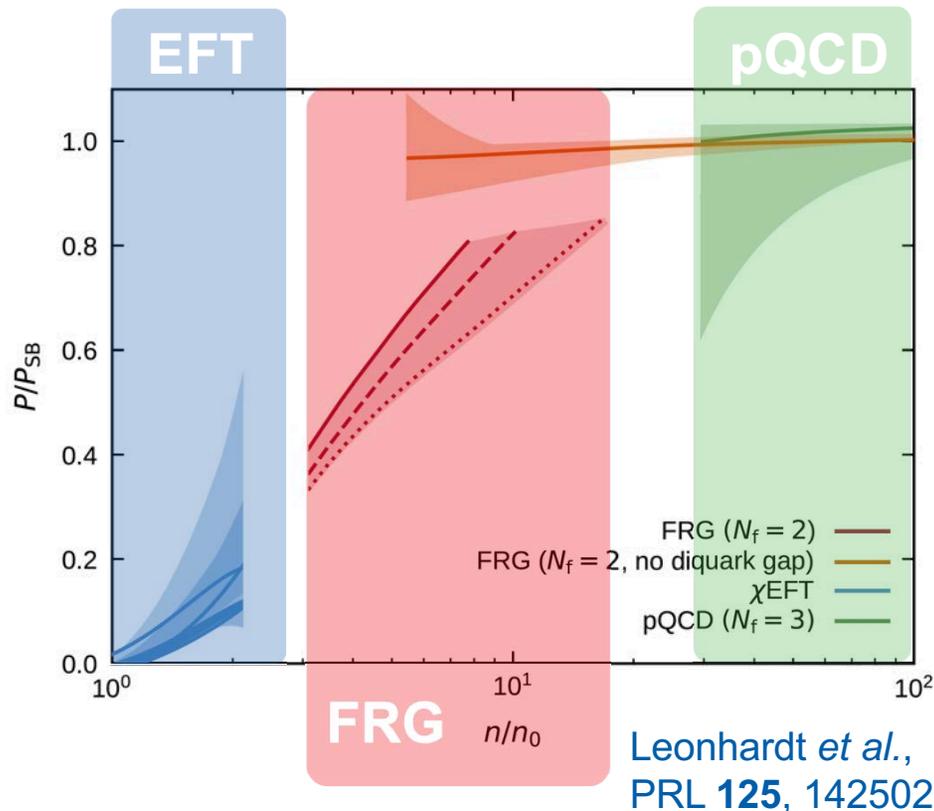
CD, Hebeler, and Schwenk, PRC **93**, 054314

(explicit) asymmetric matter calculations



Equation of state constraints from chiral effective field theory and astrophysics

New developments: symmetric nuclear matter

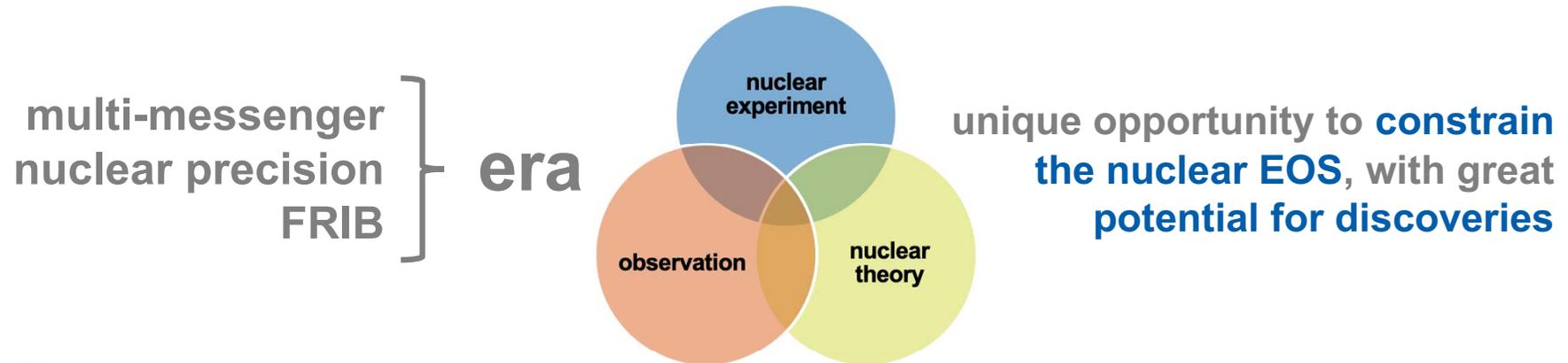


Functional Renormalization Group:
complementary constraints at $> 3n_0$
(beyond the range of chiral EFT) from
the QCD action

New insights into the high-density EOS:
remarkable consistency between the
constraints, which suggests that they can
be combined via **simple extrapolations**

Equation of state constraints from chiral effective field theory and astrophysics

Conclusion



1 Microscopic EOS constraints *statistically* robust uncertainties

- excellent agreement of predicted S_V - L correlation with experiment
- PNM and SNM show a regular EFT convergence pattern with increasing order
- extracted Λ_b is consistent with NN scattering • N^2 LO coefficient may be an outlier

2 full Bayesian UQ: sample over LECs & hyperparameters

- in future: consistently include uncertainties in the LECs of chiral interactions
- promising: new potentials up to N^2 LO by Wesolowski *et al.*, arXiv:2104.04441



thanks to my collaborators:

R. Furnstahl J. Melendez K. McElvain D. Phillips
S. Han J. Lattimer M. Prakash S. Reddy T. Zhao

