

This work is part of a project that has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement STRONG-2020 - No 824093



Sardinian Workshop on Spin Studies - Cagliari, September 6-8, 2021

### TMD fragmentation functions from electron-positron annihilation: experimental results



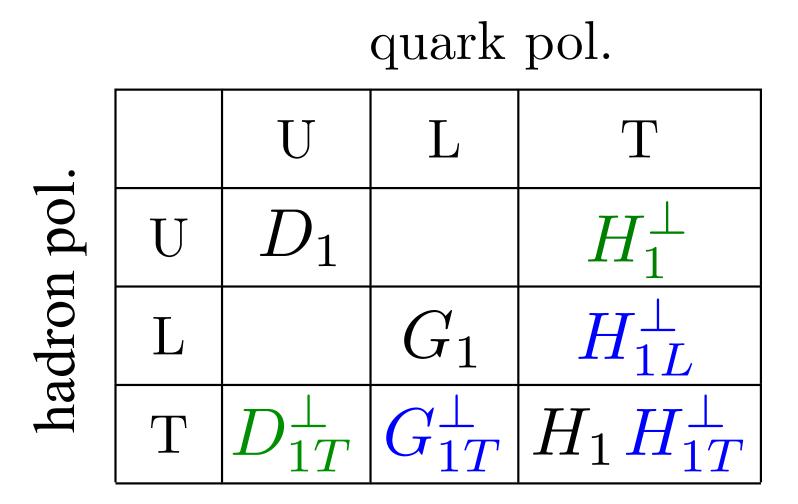








### single-hadron\*) (TMD) fragmentation functions

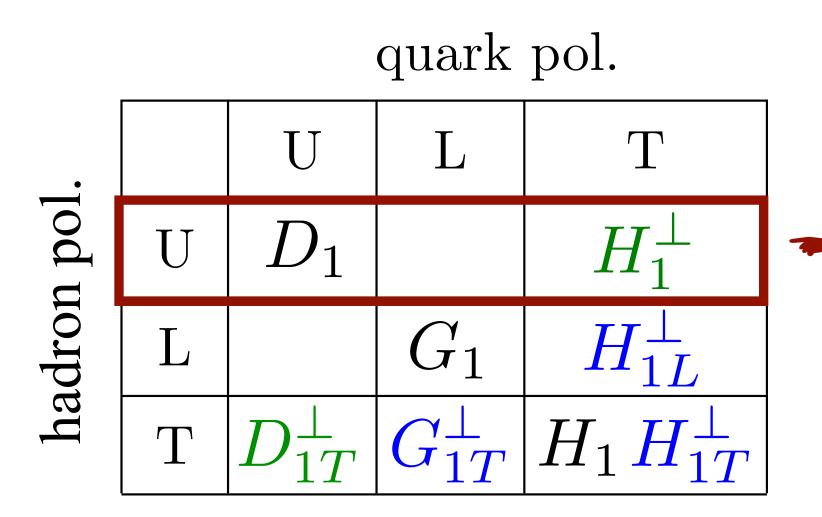


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\*) complemented by rich world of di-hadron FFs



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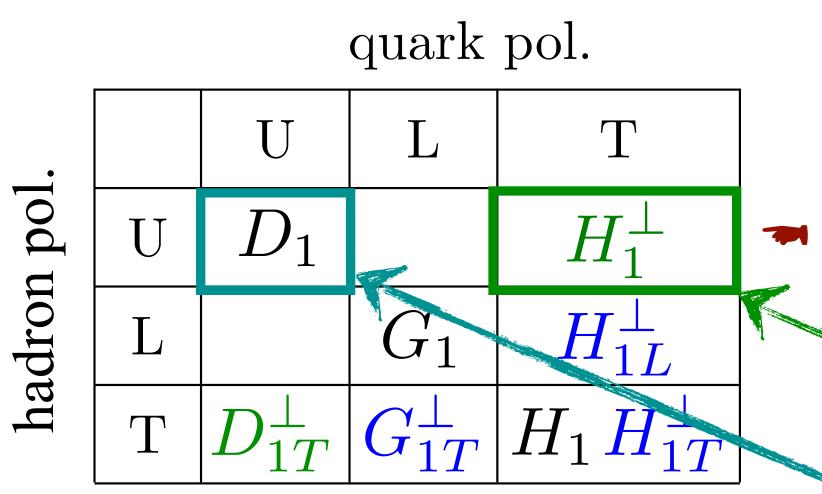
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\*) complemented by rich world of di-hadron FFs

- relevant for unpolarized final state



### single-hadron<sup>\*)</sup> (TMD) fragmentation functions



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relevant for unpolarized final state

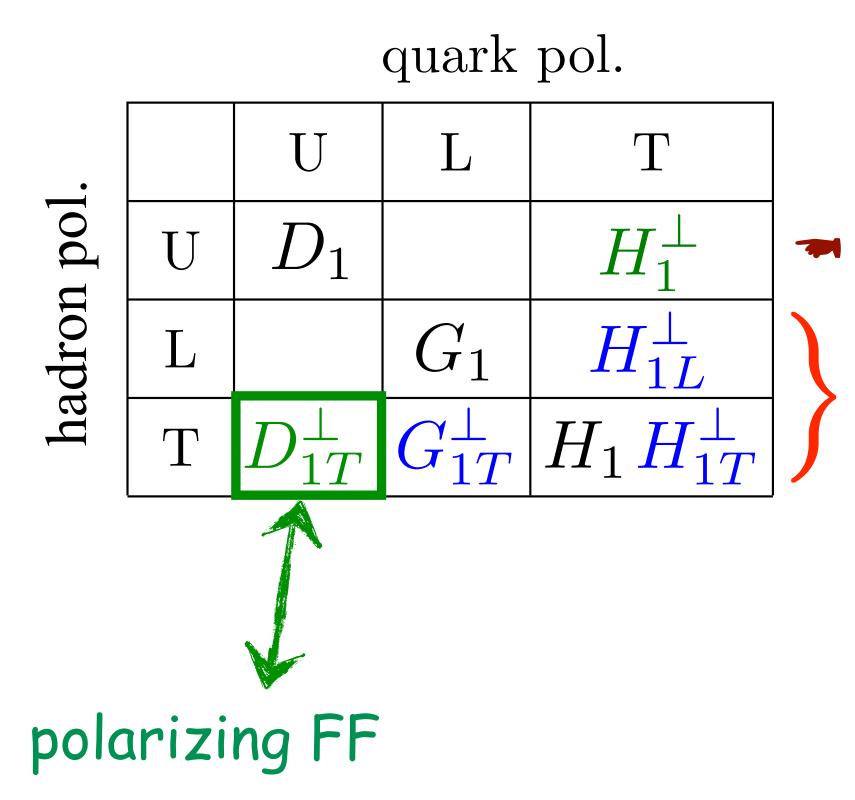
Collins FF:  $H_1^{\perp,q \to h}$ ordinary FF:  $D_1^{q \rightarrow h}$ 

FF ... fragmentation function





### single-hadron<sup>\*)</sup> (TMD) fragmentation functions



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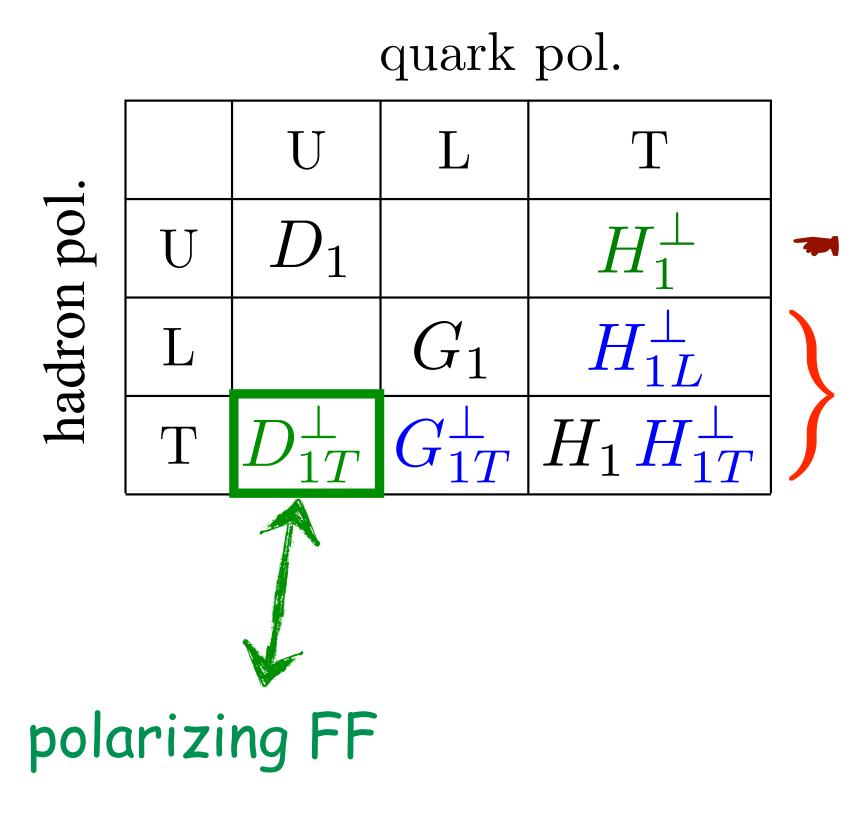
- relevant for unpolarized final state

polarized final-state hadrons





### single-hadron<sup>\*)</sup> (TMD) fragmentation functions



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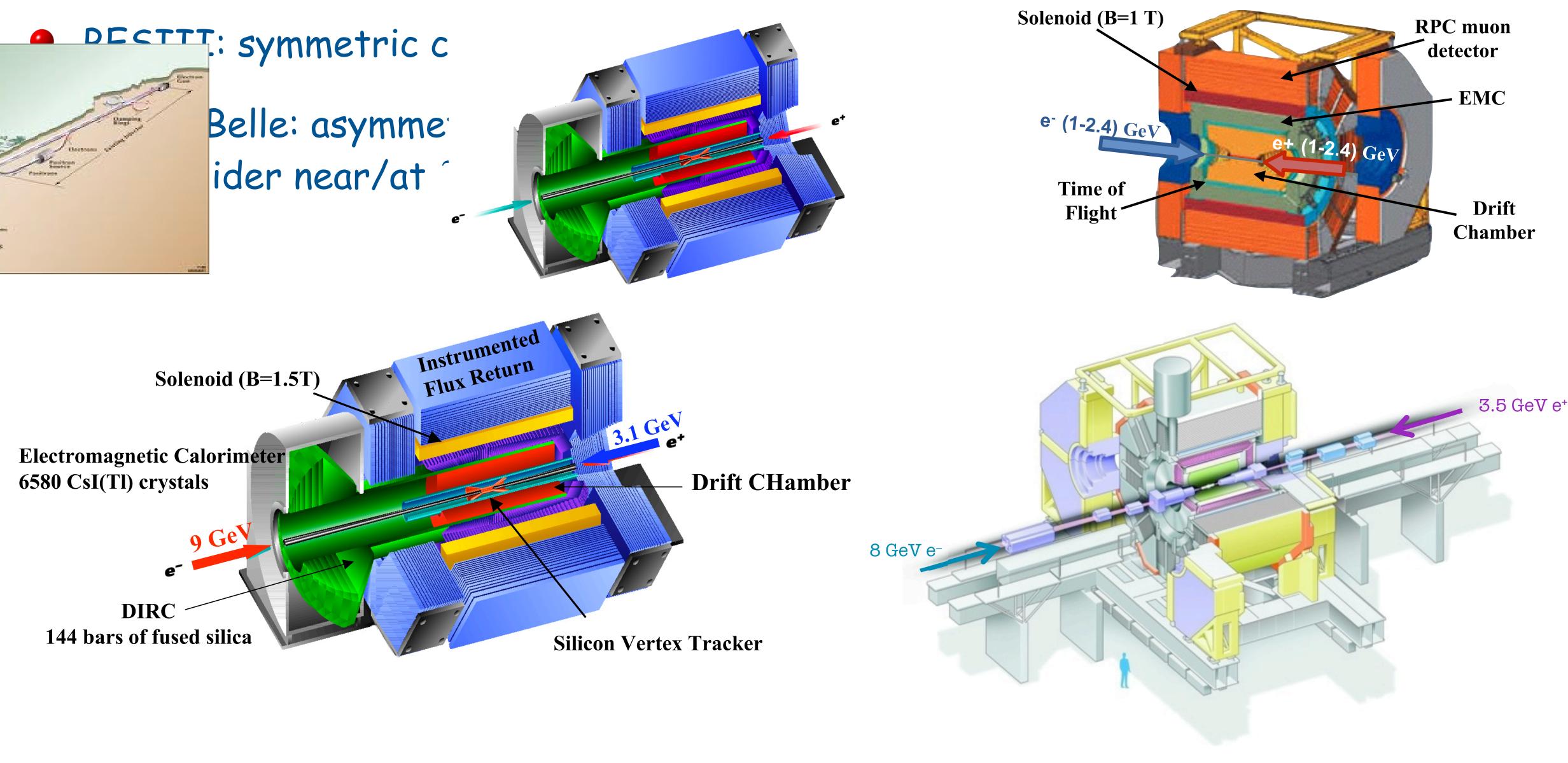
polarized final-state hadrons

FF ... fragmentation function









## e<sup>+</sup>e<sup>-</sup> annihilation at BESIII, BaBar & Belle





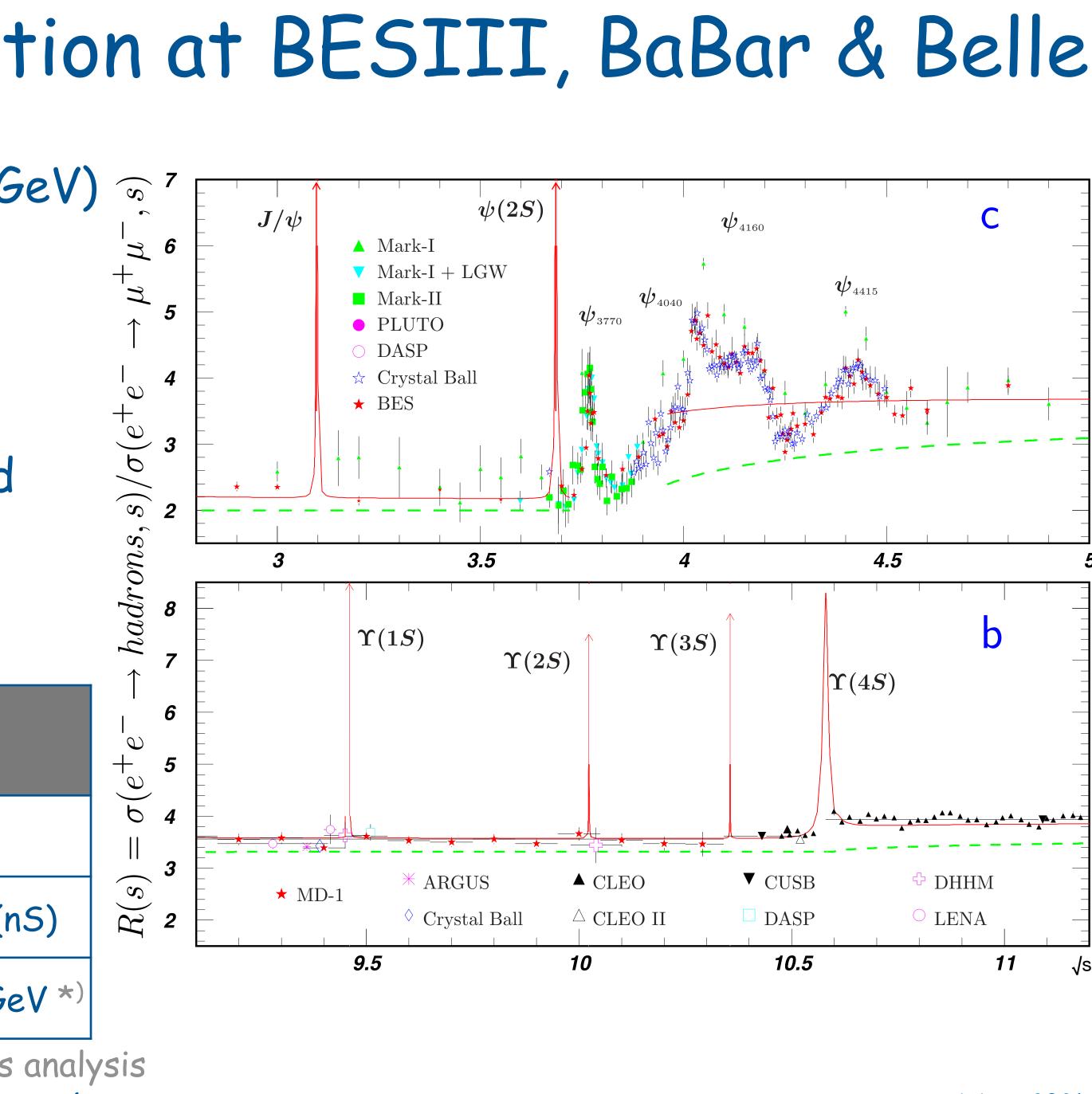
### e<sup>+</sup>e<sup>-</sup> annihilation at BESIII, BaBar & Belle

- BESIII: symmetric collider ( $E_e=1...2.4$  GeV)
- BaBar/Belle: asymmetric beam-energy  $e^+e^-$  collider near/at  $\Upsilon(4S)$  resonance
- different scales ( QCD evolution) and sensitivities to quark flavor
- Integrated lumi used for FF analyses:

	$\Upsilon(4S)$ on resonance	$\Upsilon(4S)$ off resonance	other
BaBar	424.2 fb <sup>-1</sup>	43.9 fb <sup>-1</sup>	
Belle	(140+571) fb <sup>-1</sup>	(15.6+73.8) fb <sup>-1</sup>	~180 fb⁻¹ @Ƴ(r
BESIII			~62 pb <sup>-1</sup> @3.65 Ge

\*) used for the Collins analysis

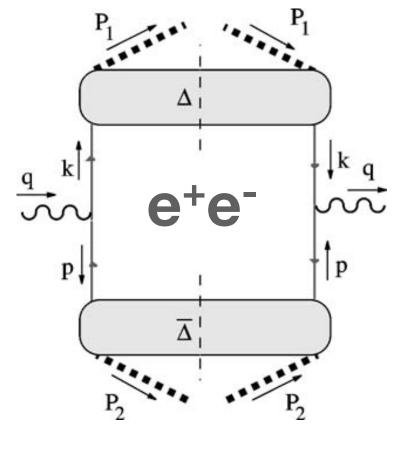
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## fragmentation in $e^+e^-$ annihilation

- single-inclusive hadron production,  $e^+e^- \rightarrow hX$ 
  - D<sub>1</sub> fragmentation function
  - $(D_{1T} \perp spontaneous transv. polarization)$



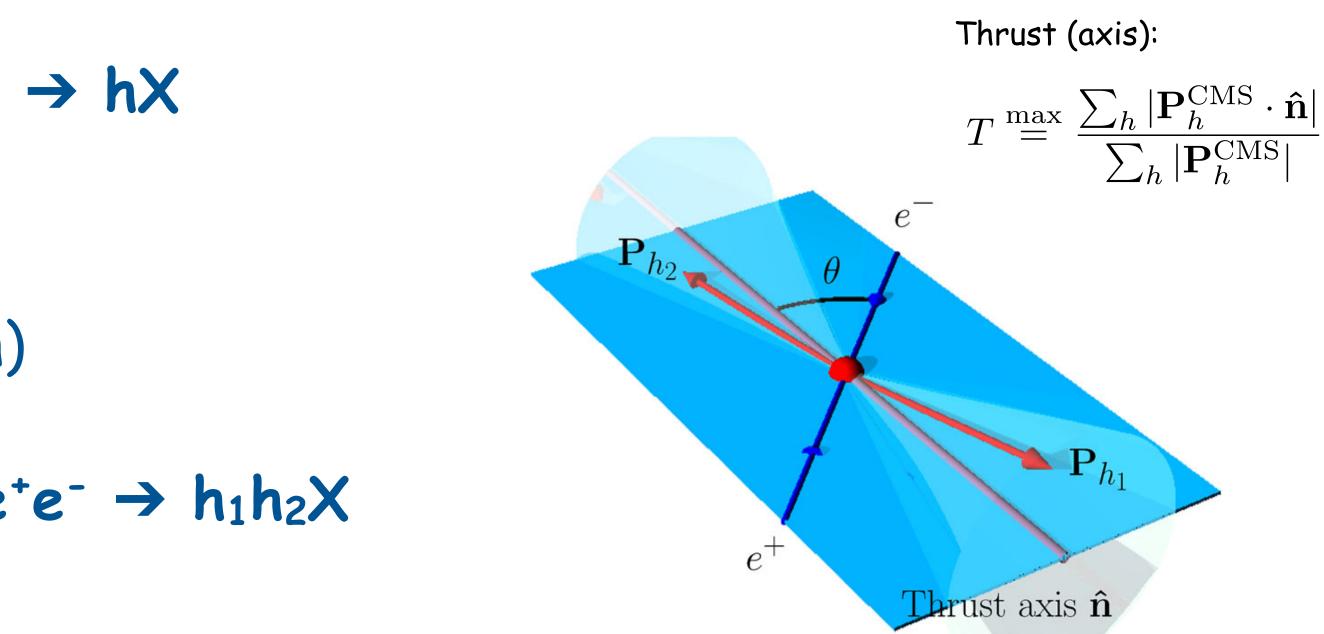






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  - product of fragmentation functions
  - flavor, transverse-momentum, and/or polarization tagging

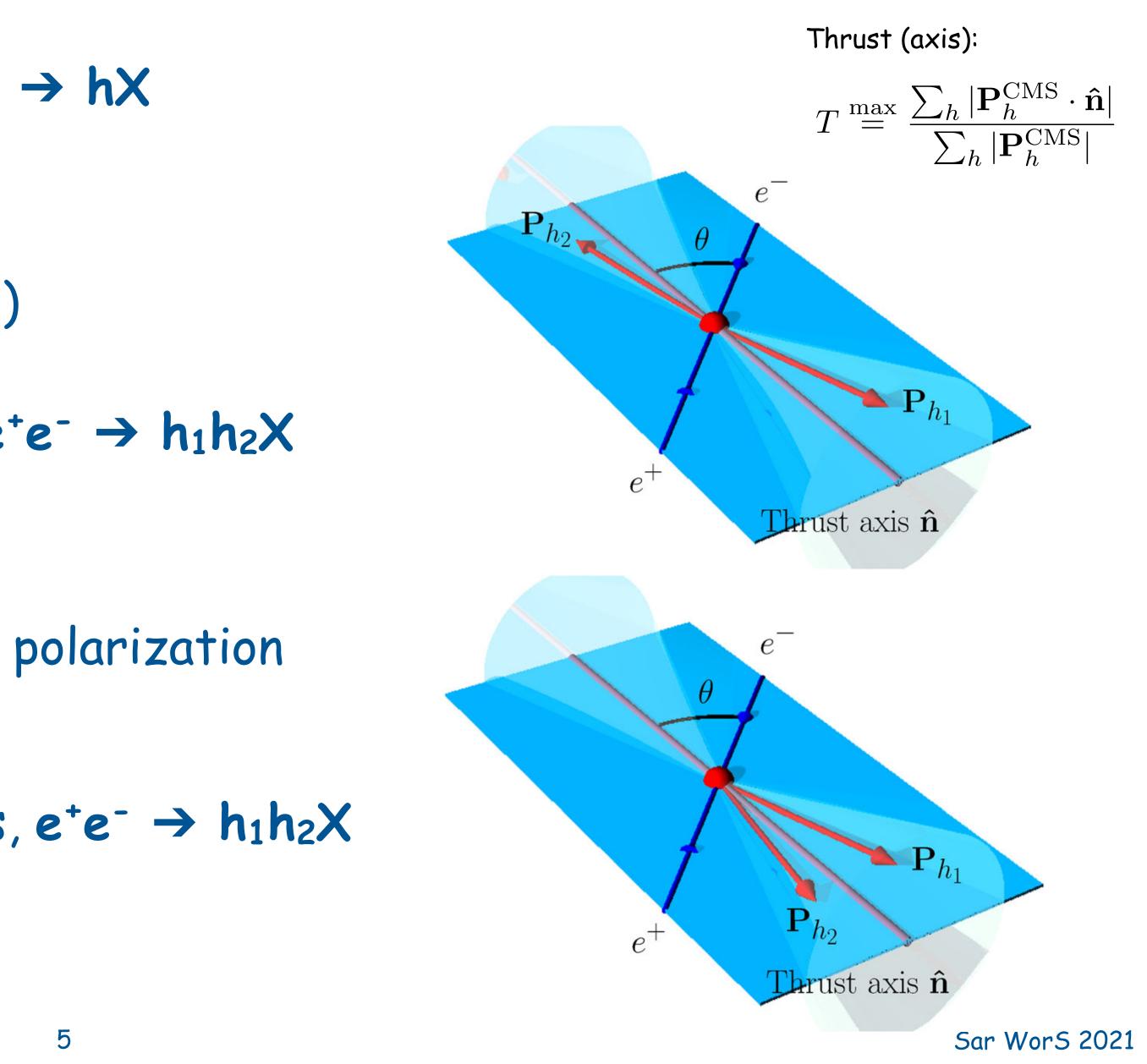






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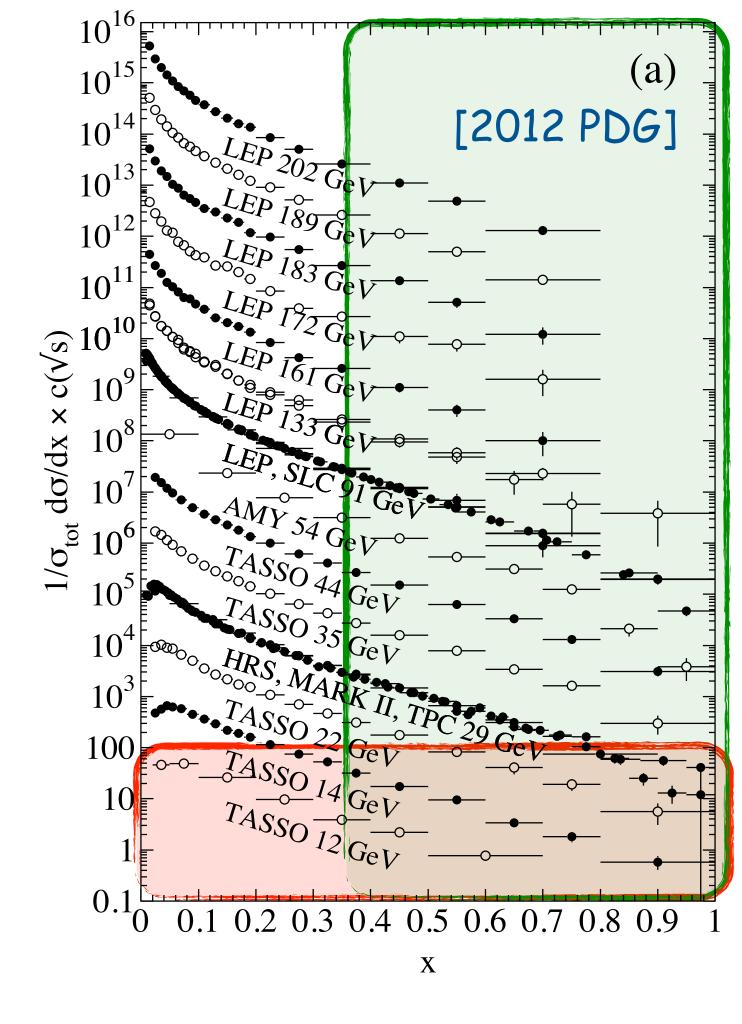
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  - flavor, transverse-momentum, and/or polarization tagging
- inclusive same-hemisphere hadron pairs,  $e^+e^- \rightarrow h_1h_2X$ 
  - di-hadron fragmentation





the collinear case

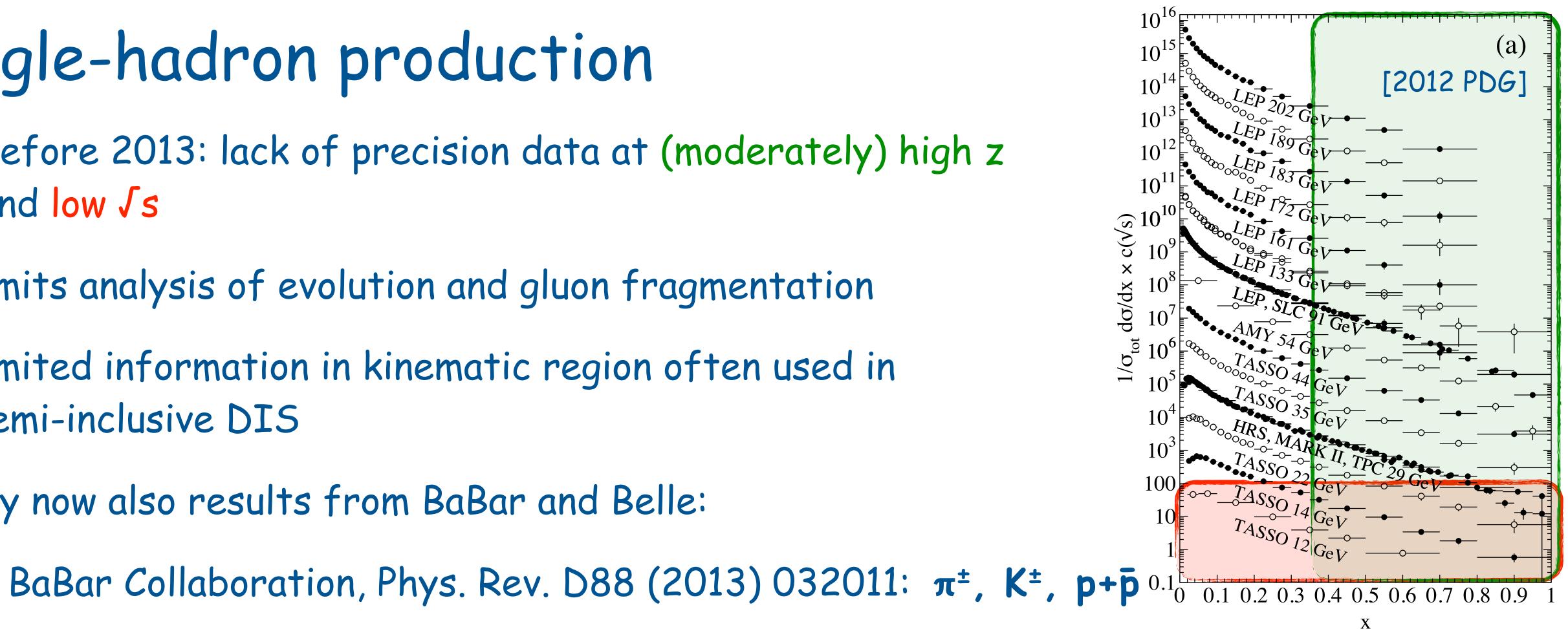
- before 2013: lack of precision data at (moderately) high z and low *Js*
- Imits analysis of evolution and gluon fragmentation
- Imited information in kinematic region often used in semi-inclusive DIS





- before 2013: lack of precision data at (moderately) high z and low *Js*
- Imits analysis of evolution and gluon fragmentation
- Imited information in kinematic region often used in semi-inclusive DIS
- by now also results from BaBar and Belle:

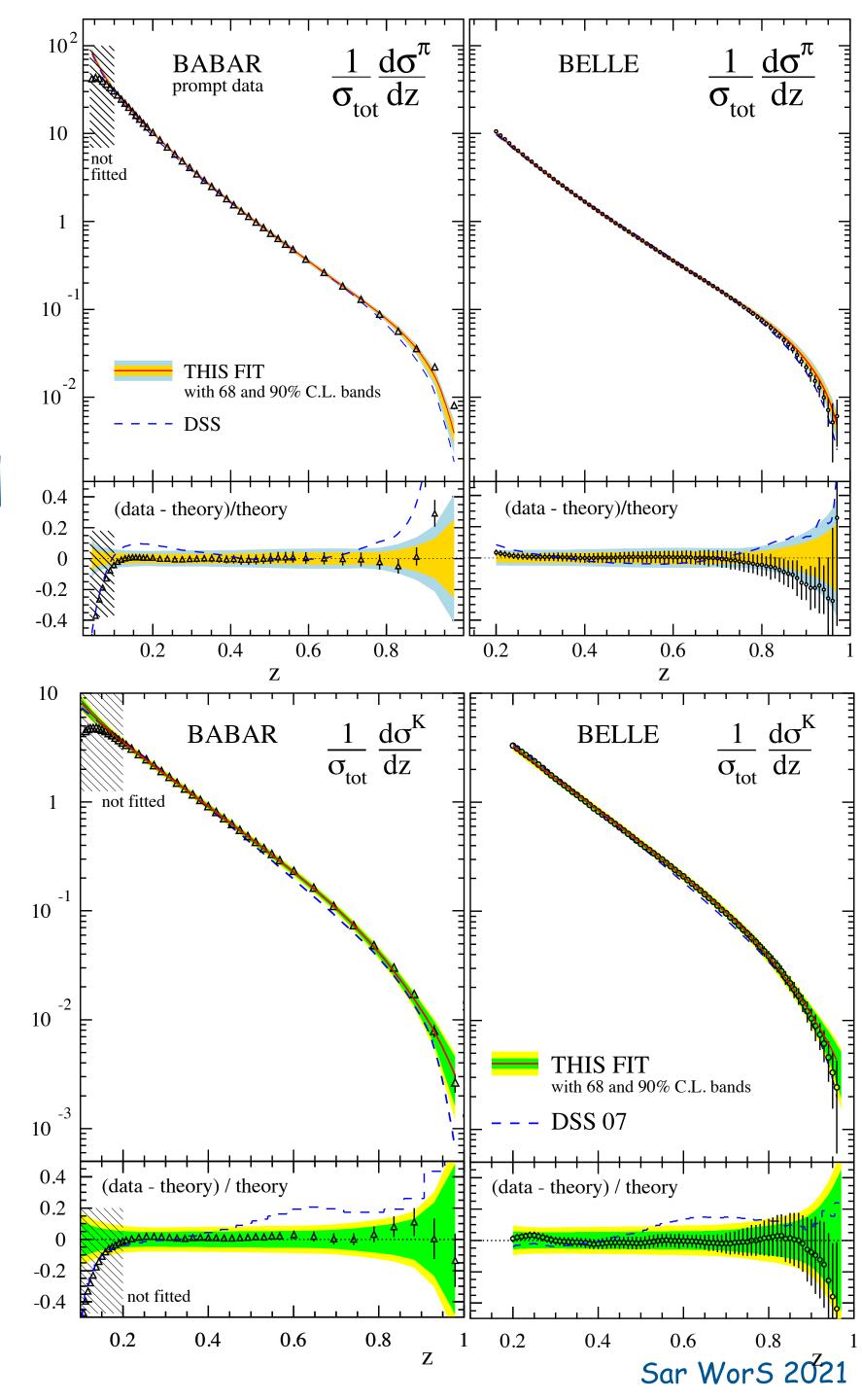
  - Belle Collaboration, Phys. Rev. Lett. 111 (2013) 062002:  $\pi^{\pm}$ , K<sup>±</sup>
  - Belle Collaboration, Phys. Rev. D92 (2015) 092007:  $\pi^{\pm}$ , K<sup>±</sup>, p+ $\bar{p}$
  - NEW: Belle Collaboration, Phys. Rev. D101 (2020) 092004:  $\pi^{\pm}$ , K<sup>±</sup>, p+p



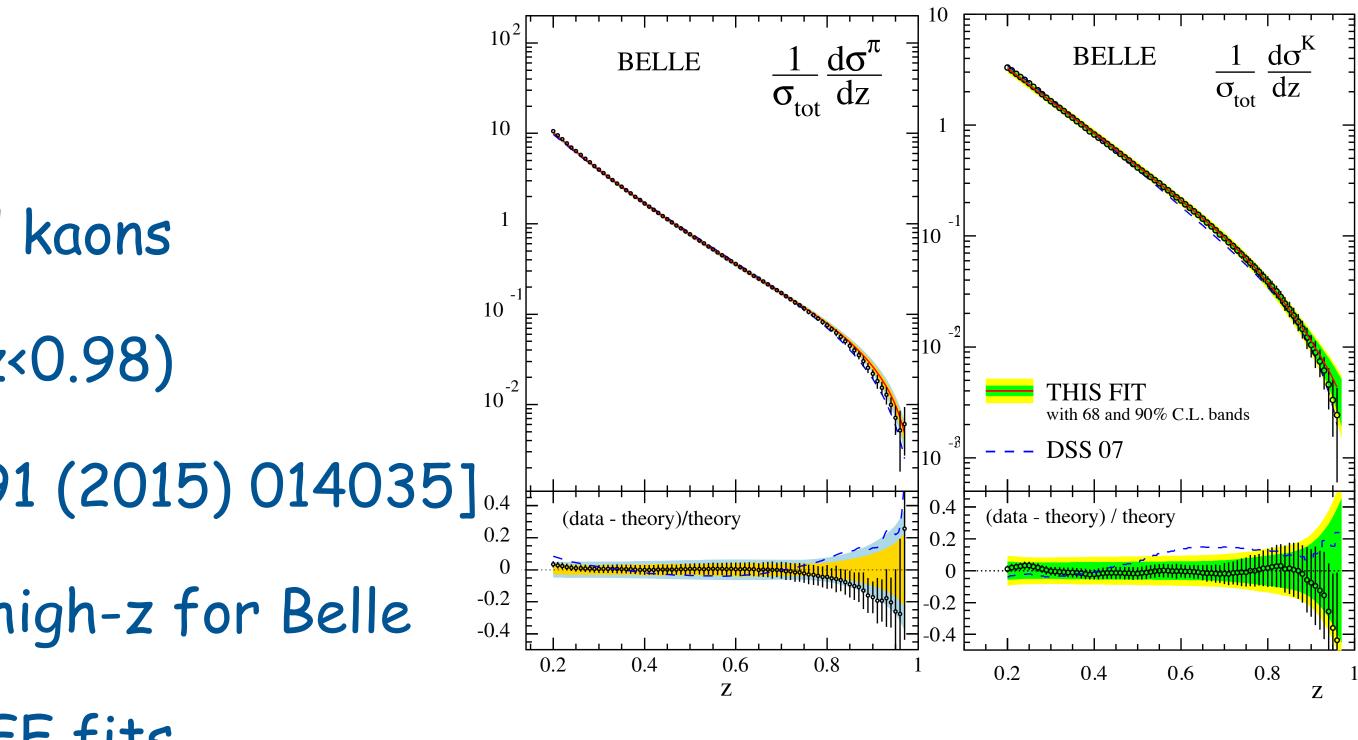


- very precise data for charged pions and kaons
- Belle data available up to very large z (z<0.98)</p>
- included in 2015 DEHSS fits [e.g., PRD91 (2015) 014035]
  - slight tension at low-z for BaBar and high-z for Belle

# kaons <0.98) 1 (2015) 014035] high-z for Belle

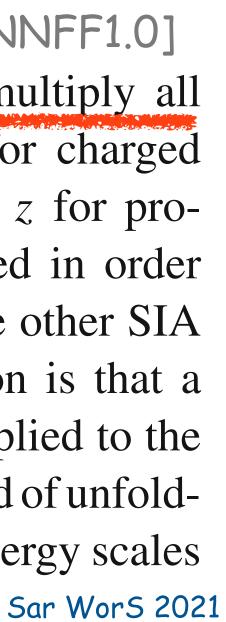


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- Belle radiative corrections "undone" in FF fits



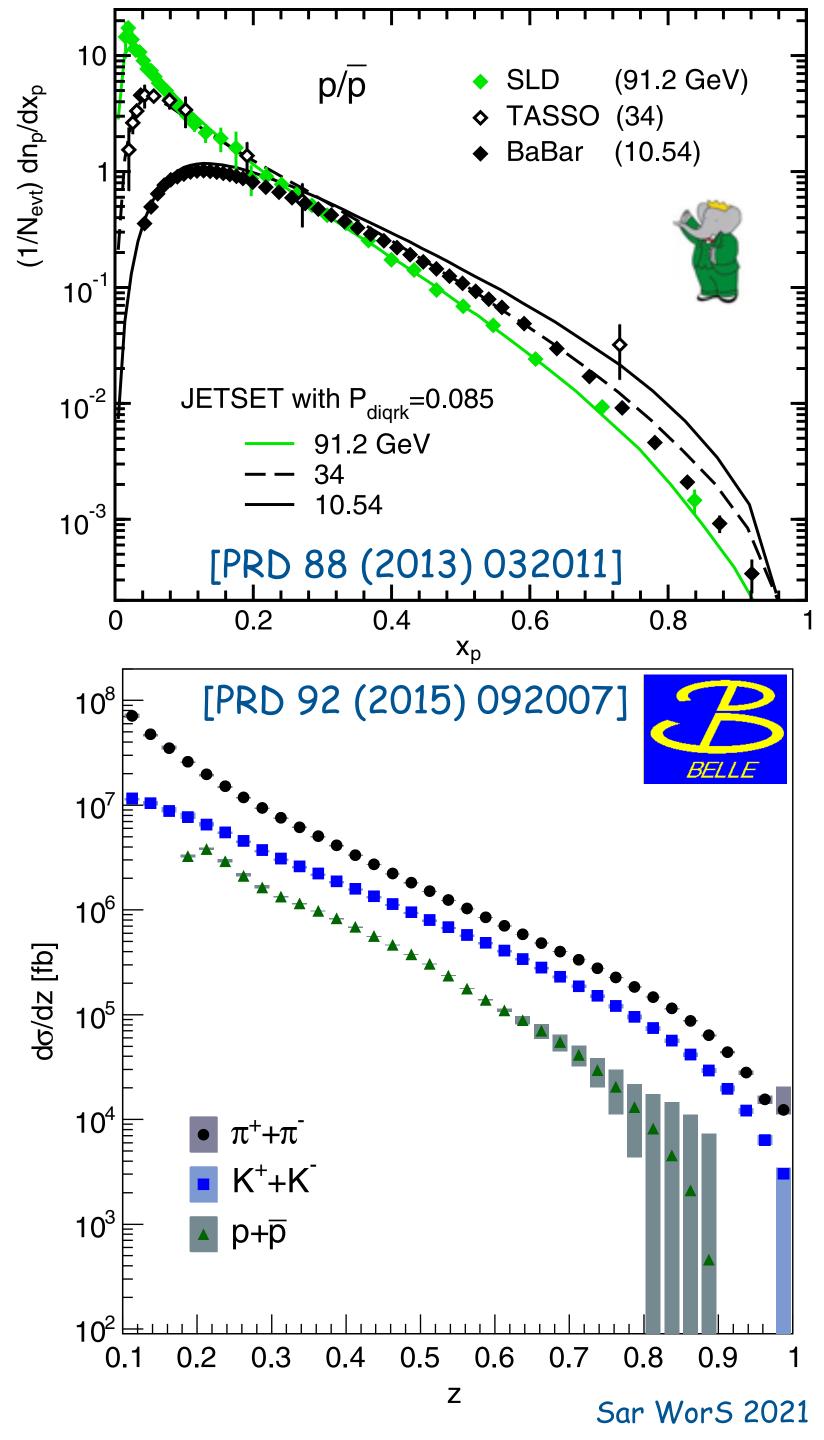
### [EPJC 77 (2017) 516, NNFF1.0]

In the case of the BELLE experiment we multiply all data points by a factor 1/c, with c = 0.65 for charged pions and kaons [69] and with c a function of z for protons/antiprotons [53]. This correction is required in order to treat the BELLE data consistently with all the other SIA measurements included in NNFF1.0. The reason is that a kinematic cut on radiative photon events was applied to the BELLE data sample in the original analysis instead of unfolding the radiative QED effects. Specifically, the energy scales



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- data available for (anti)protons
  - not (yet) included in DEHSS, but in NNFF 1.0 [EPJC 77 (2017) 516]
  - similar z dependence as pions
  - about  $\sim \frac{1}{5}$  of pion cross sections



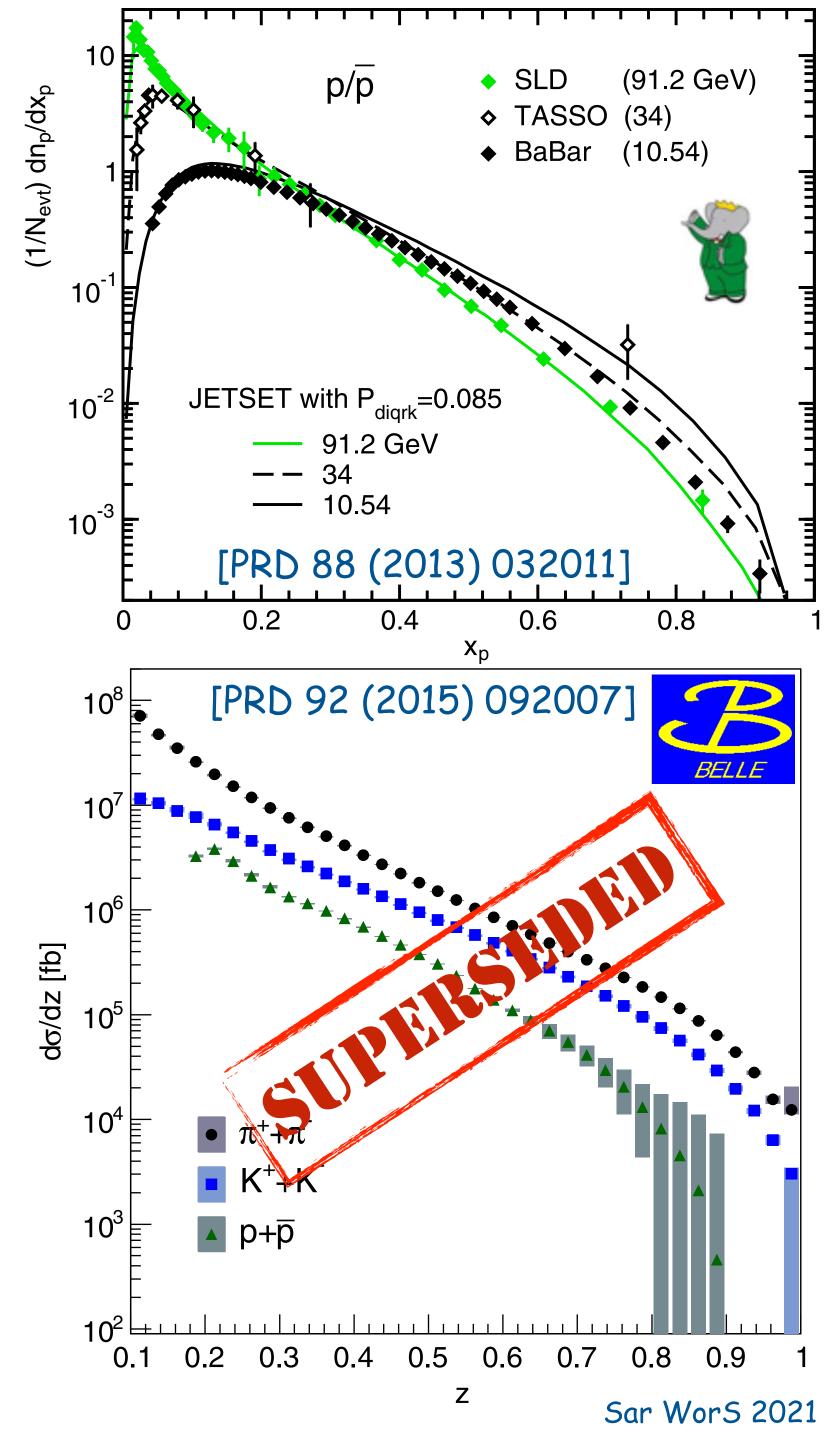


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### Belle re-analysis presented in PRD 101 (2020) 092004 Gunar Schnell







- what to do with hadrons that have (somewhere) an ISR photon
  - nothing! leave it to phenomenology to deal with QED corrections
  - reject all events that have an isolated photon?
    - detectors almost never fully hermetic, many ISR photons travel down the beam pipe
    - still fully inclusive reaction?
  - use some Monte Carlo to estimate event fraction with an ISR photon that carries away more than x% of total available energy (e.g., 0.5% as in earlier Belle analyses)
    - what is a reasonable choice for x?
    - ISR treatment model dependent, indeed depends on annihilation cross section (imagine sitting on 2-pion threshold, no phase space to radiate ISR photon and produce hadrons at then lower s)
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    - again model dependent: number of hadrons produced at given z for different s depends on differential cross section (e.g., from evolution)









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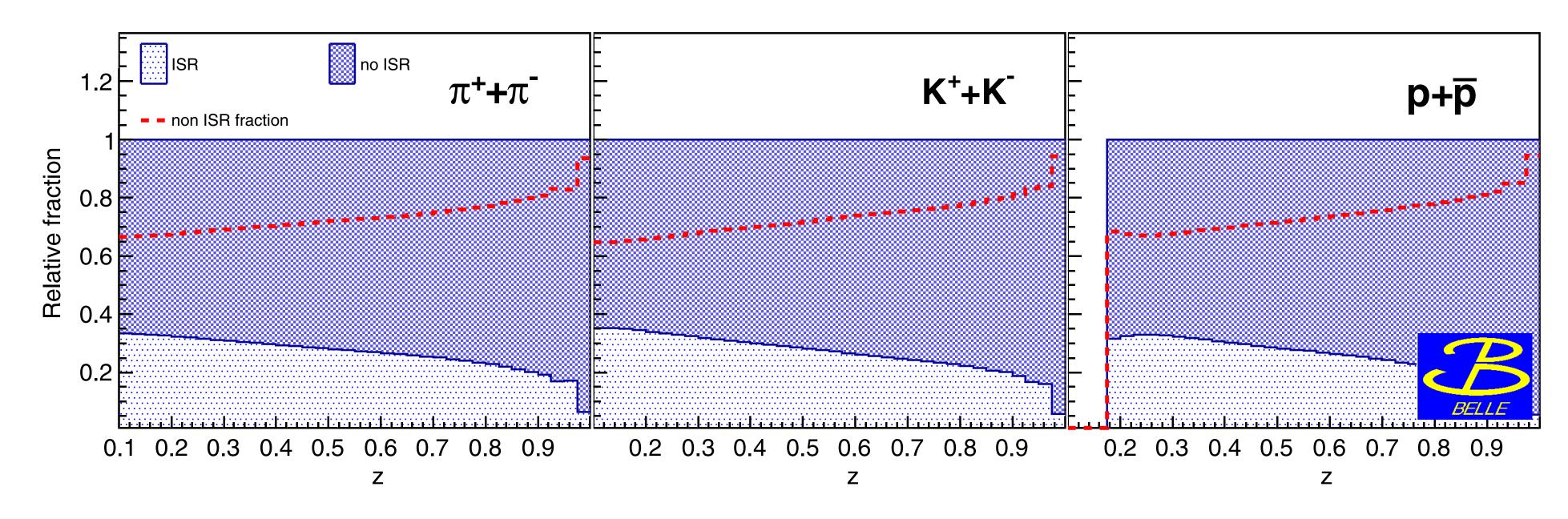
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# ISR corrections - PRD 92 (2015) 092007



- $(\equiv \text{energy loss less than } 0.5\%)$ 
  - $z = E_h / 0.5 \sqrt{s_{nominal}}$

keep only fraction of the events -> strictly speaking not single-inclusive annihilation

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relative fractions of hadrons as a function of z originating from ISR or non-ISR events

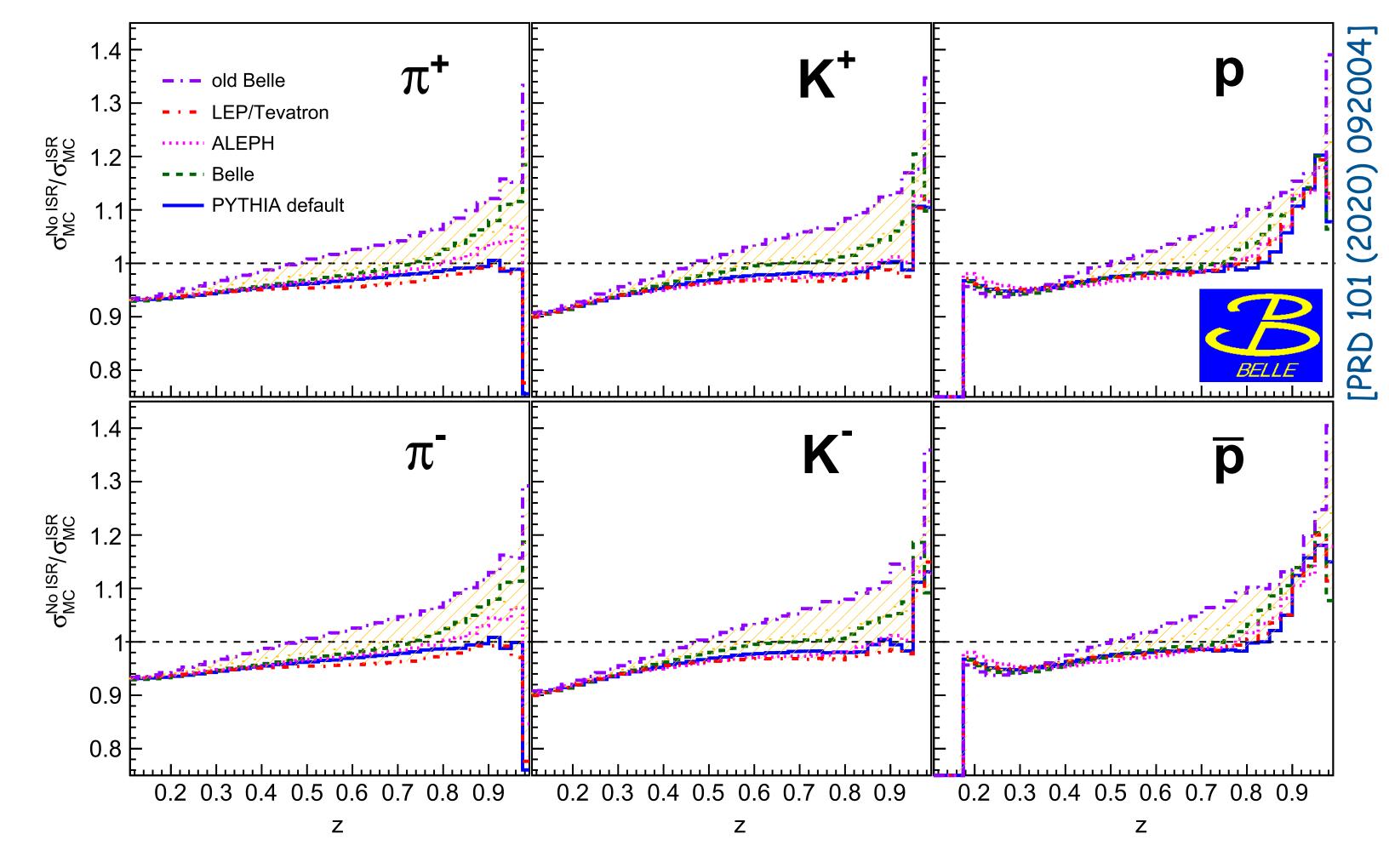
large non-ISR fraction at large z, as otherwise not kinematically reachable (remember







### ISR corrections - PRD 101 (2020) 092004

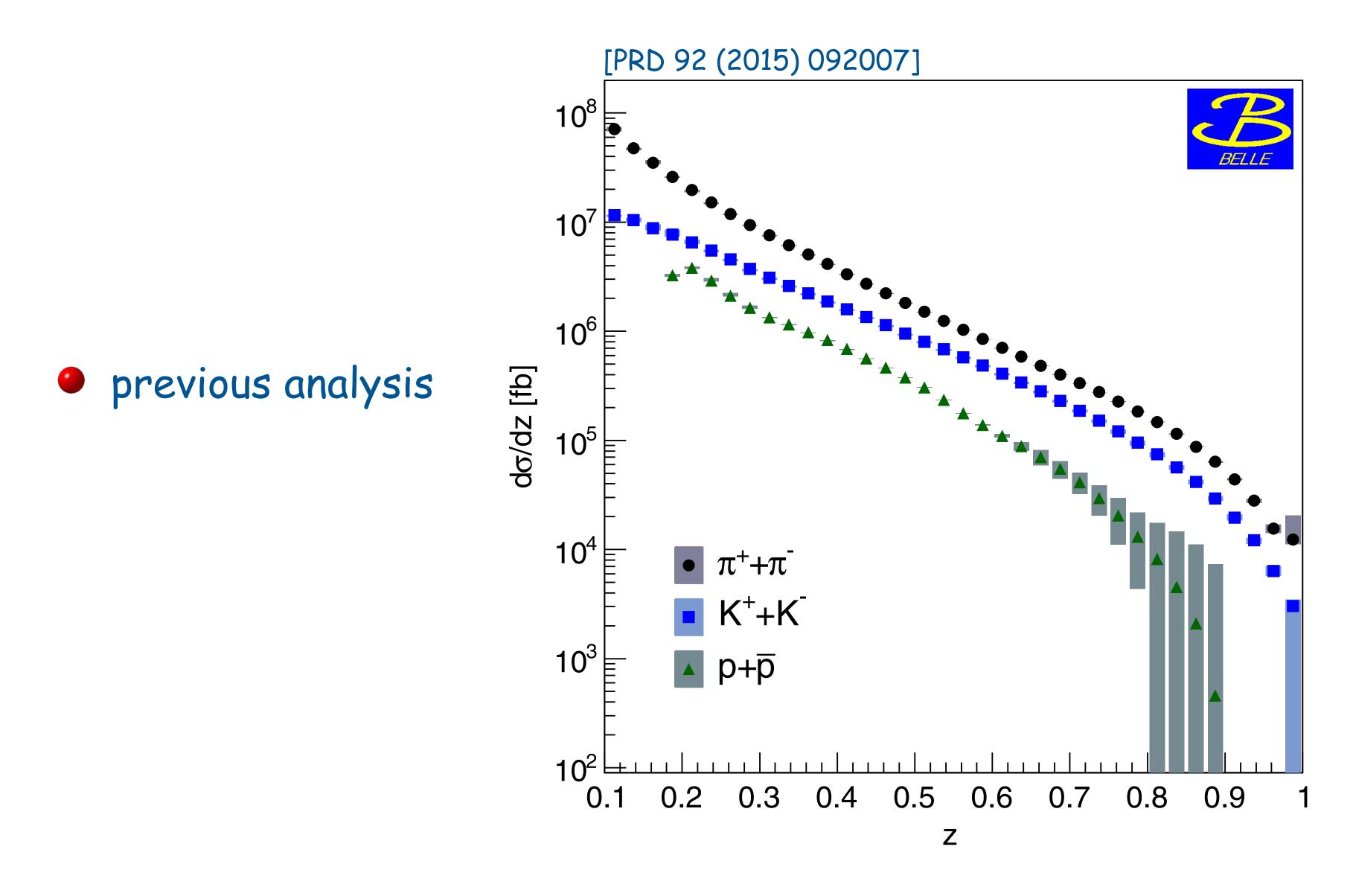


• non-ISR / ISR fractions based on PYTHIA switch MSTP(11)

• PYTHIA model dependence; absorbed in systematics by variation of tunes



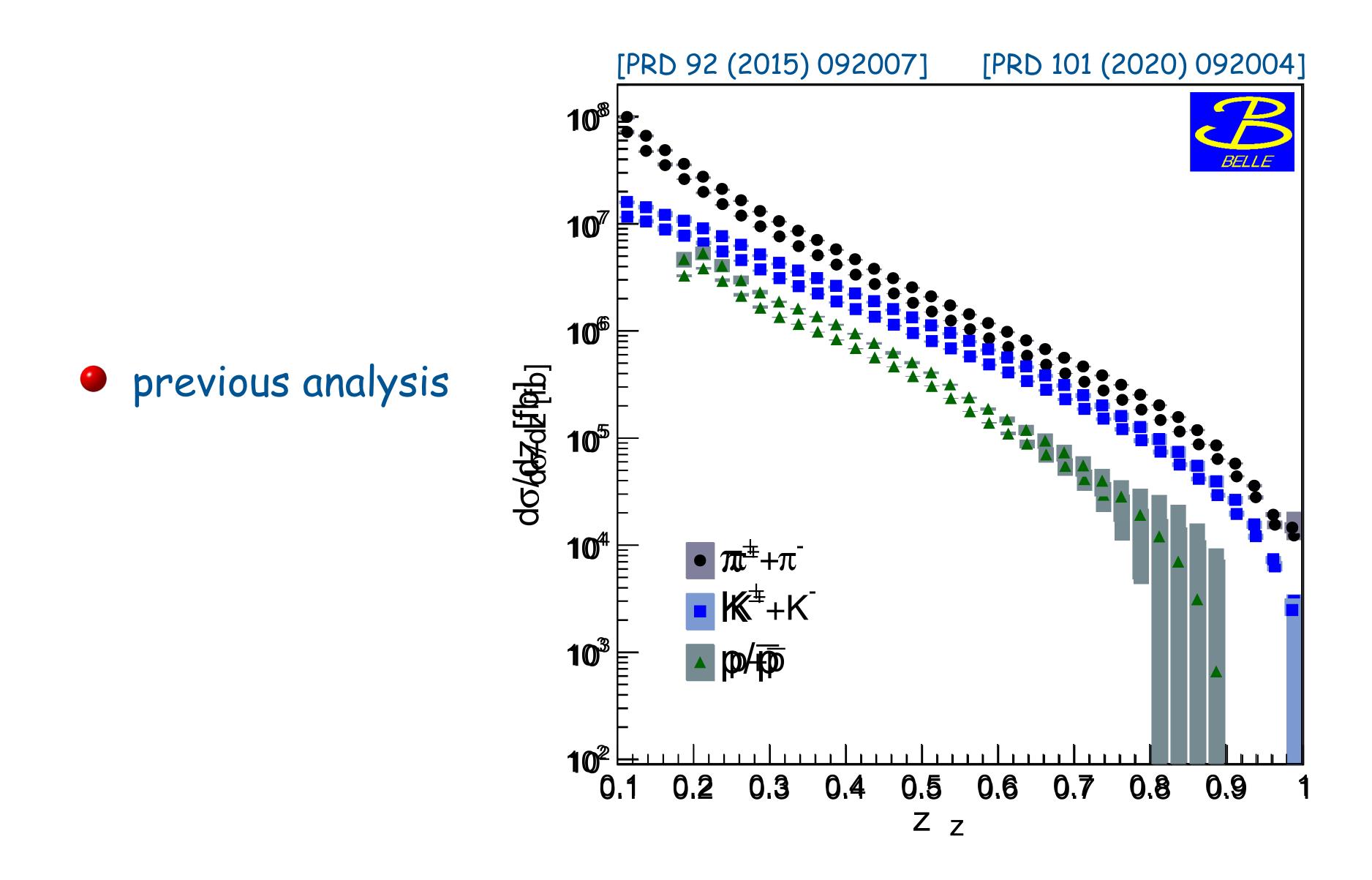
## comparison old&new Belle single-hadron cross sections



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# comparison old&new Belle single-hadron cross sections

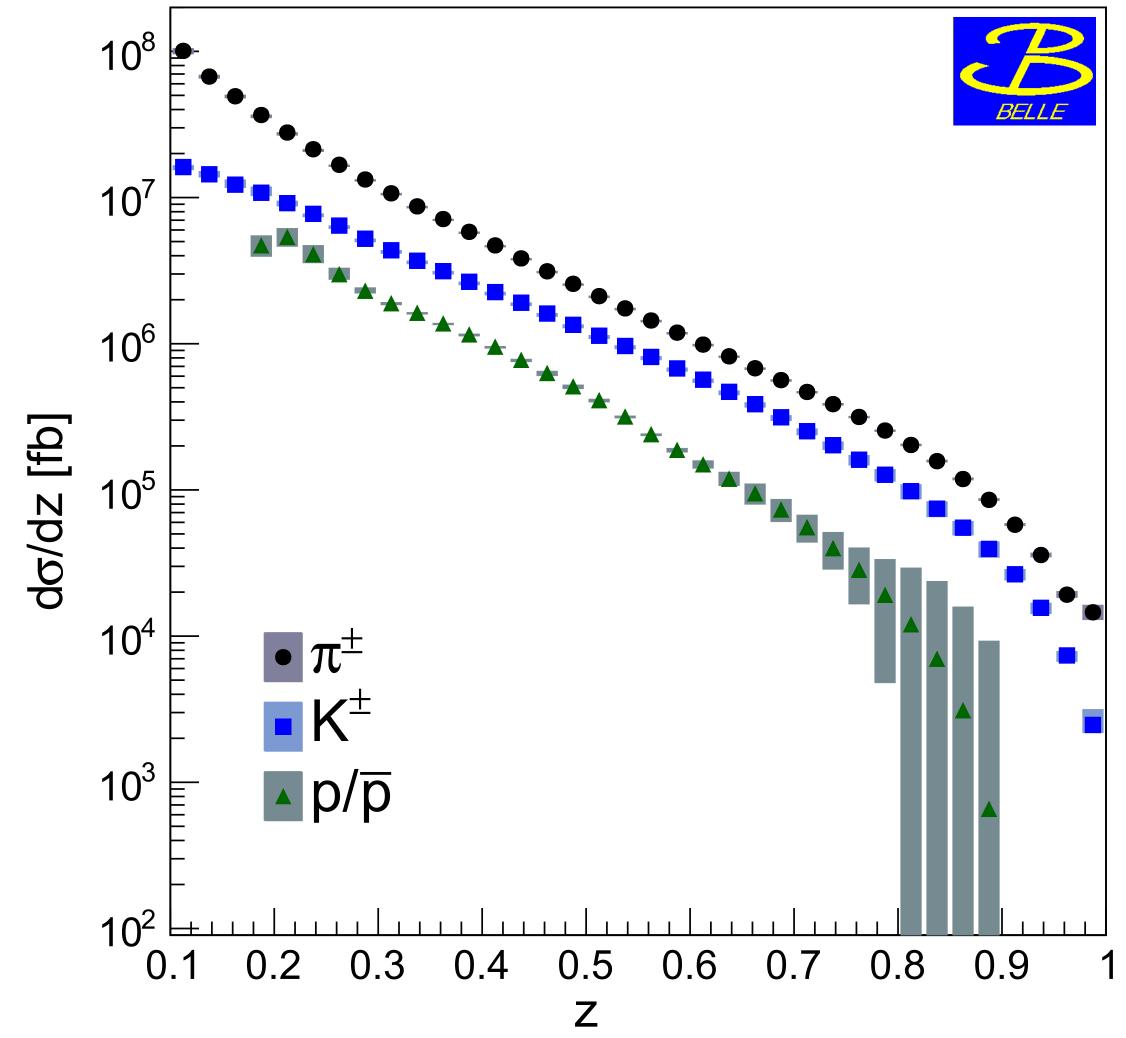


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### updated analysis



# comparison old&new Belle single-hadron cross sections



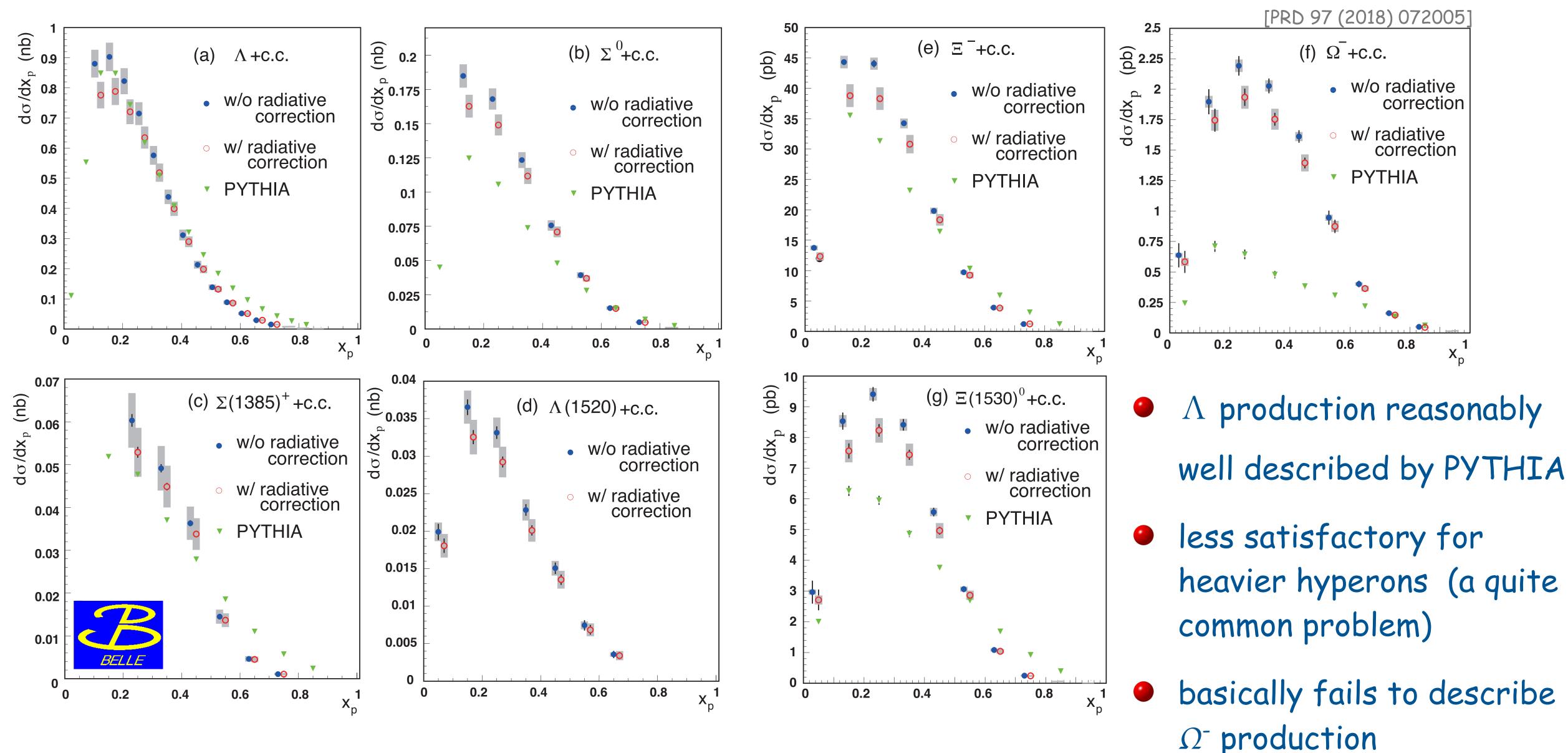
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### [PRD 101 (2020) 092004]

### updated analysis



# single-hadron production: hyperons.

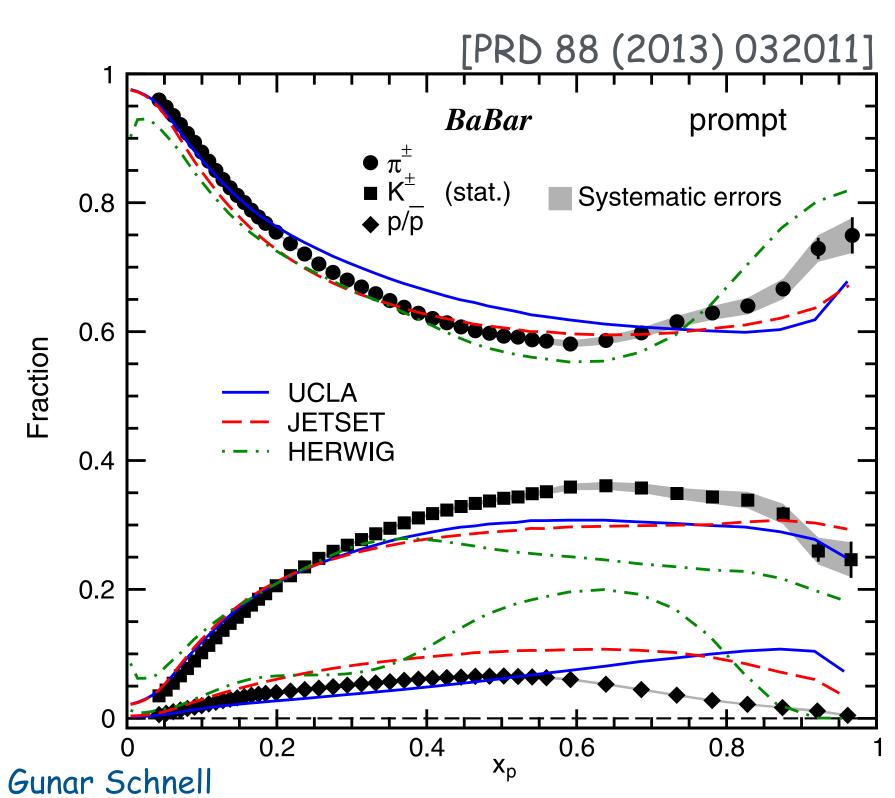


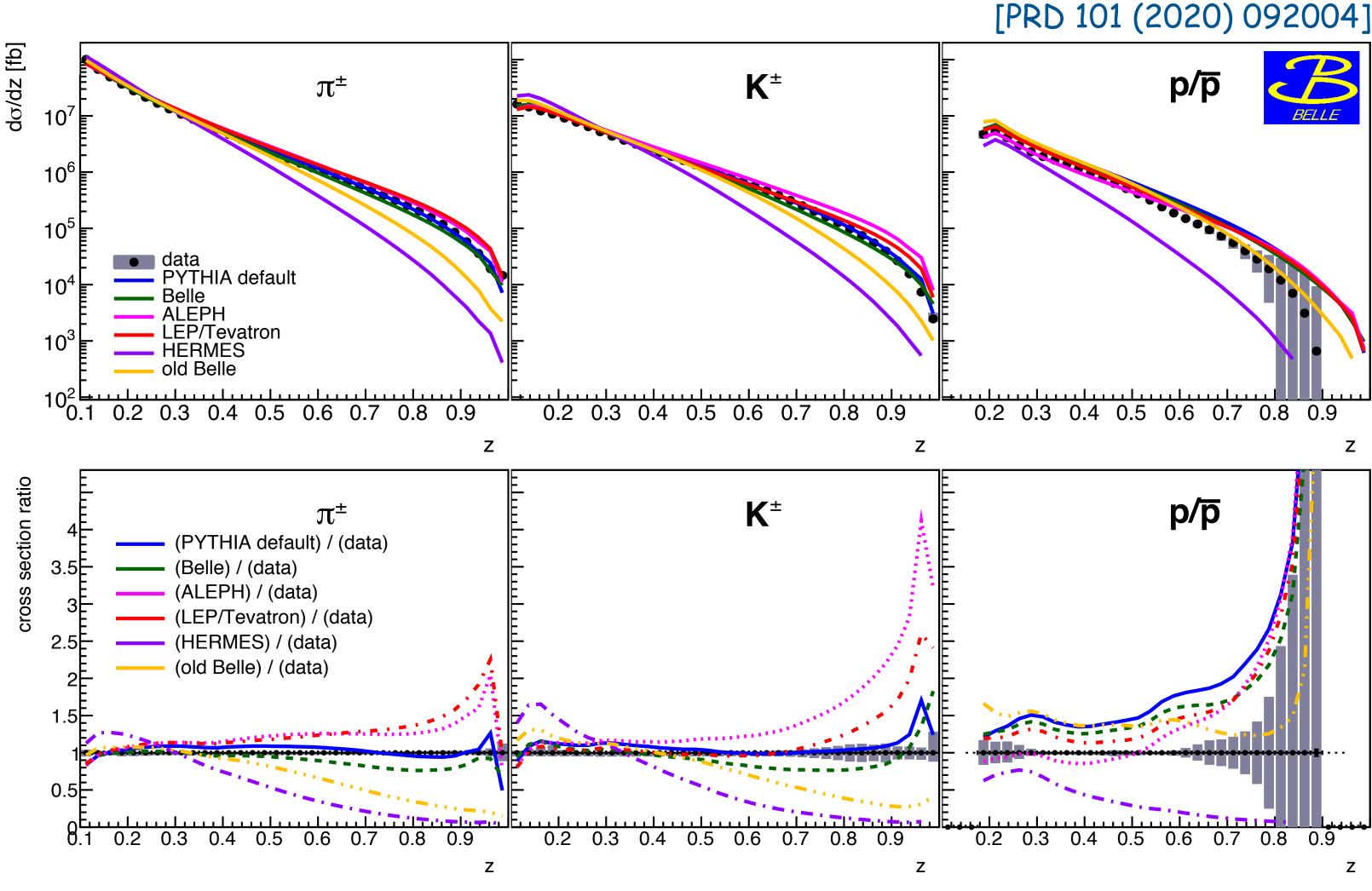




## single-hadron production: data-MC comparison

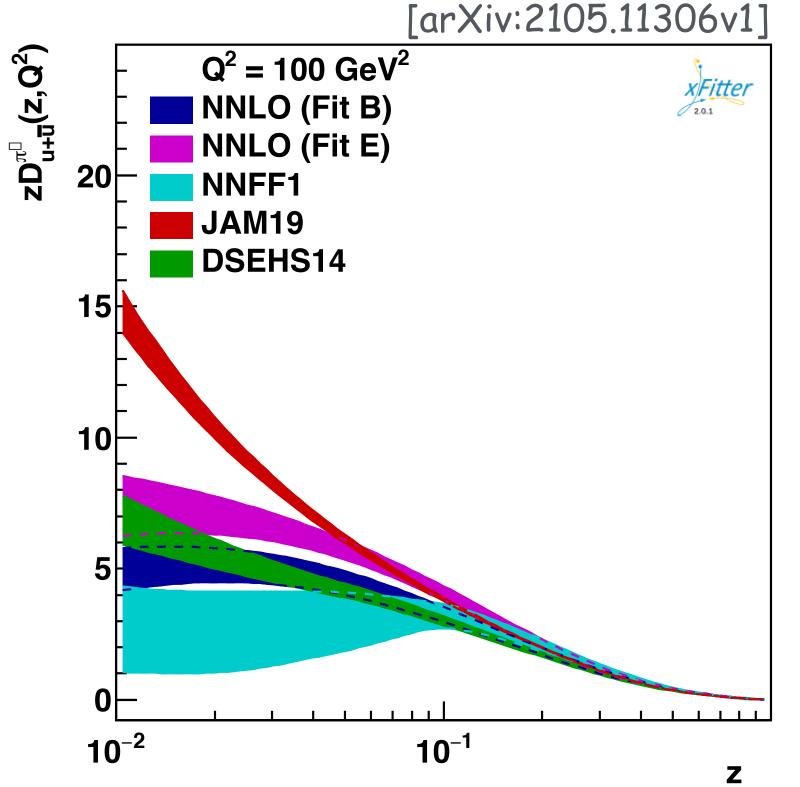
- pion and(?) kaon data reasonably well described by Jetset
- protons difficult to reproduce, especially at large z
  - MC overshoots data



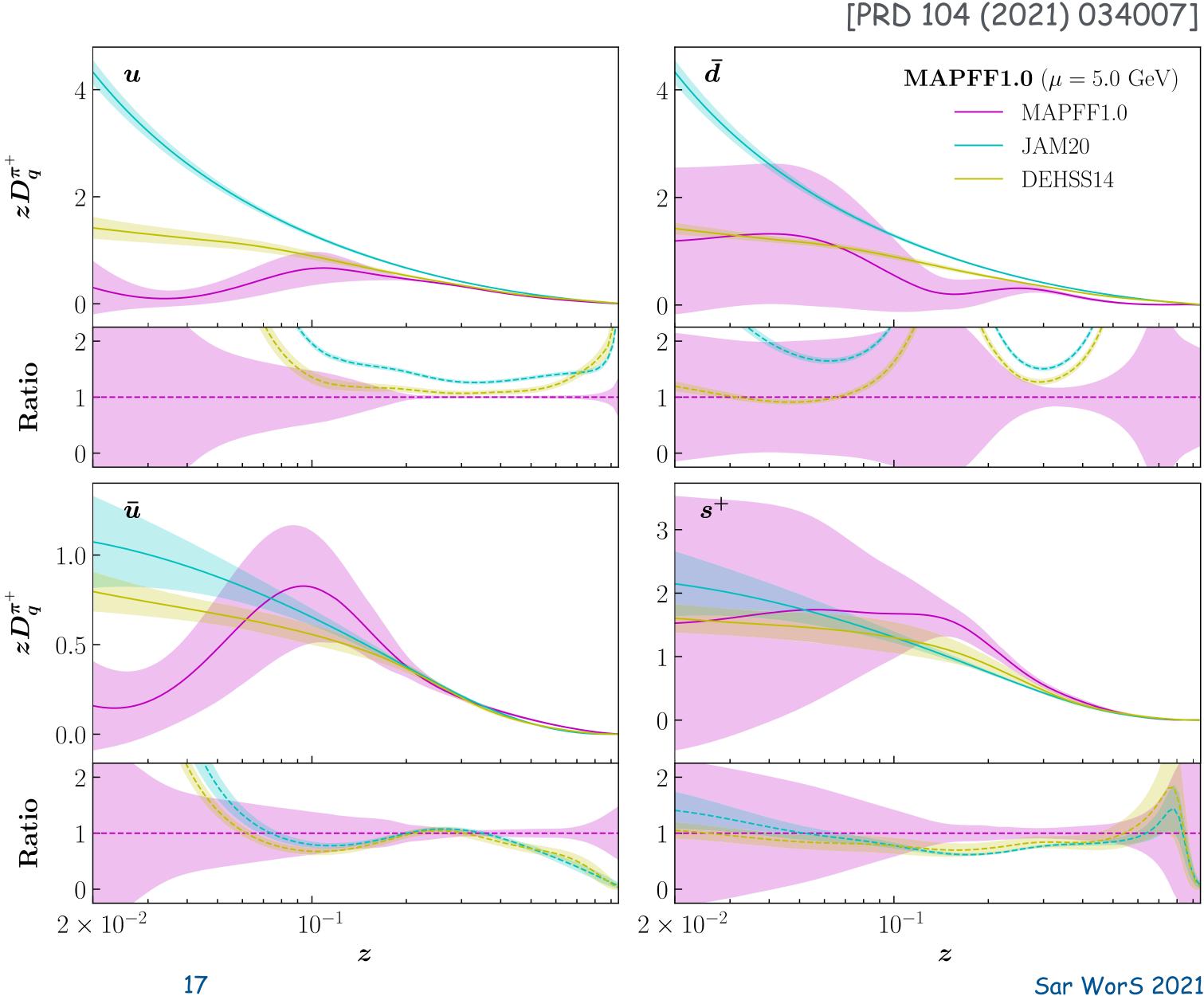


# pion fragmentation functions: fit comparisons





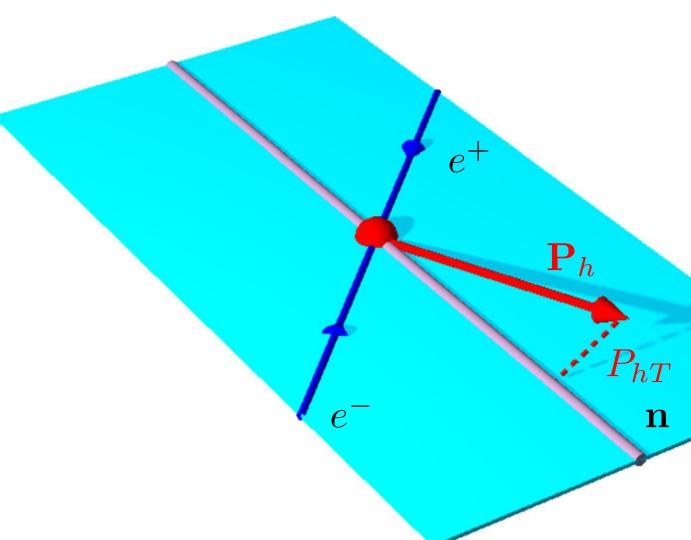
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### inclusive hadrons - transverse momentum

- quasi-inclusive hadron production gives access to transverse momentum in fragmentation
- transverse momentum measured with respect to thrust axis n
  - involves sum over all final-state particles in event
  - event selection and hadron distributions dependent on thrust value T required
    - Iow thrust -> more spherical
    - high thrust -> highly collimated



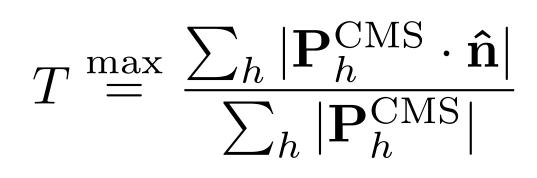
$$T \stackrel{\text{max}}{=} \frac{\sum_{h} |\mathbf{P}_{h}^{\text{CMS}} \cdot \hat{\mathbf{n}}|}{\sum_{h} |\mathbf{P}_{h}^{\text{CMS}}|}$$

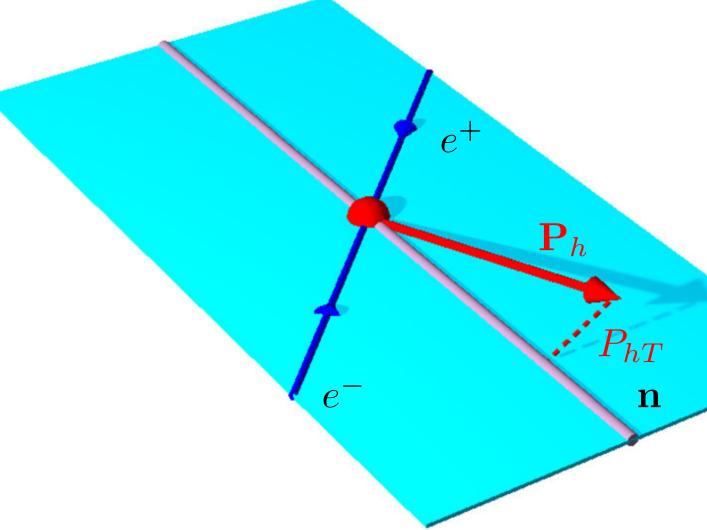




### inclusive hadrons - transverse momentum

- quasi-inclusive hadron production gives access to transverse momentum in fragmentation
- transverse momentum measured with respect to thrust axis n
- analysis performed differential in z &  $P_{hT}$ , in various slices in thrust T (m 18x20x6 bins)
- correction steps similar as for  $P_{hT}$ -integrated cross sections
- Gaussian fits to transverse-momentum distribution provided for all hadrons in (z,T)-bins

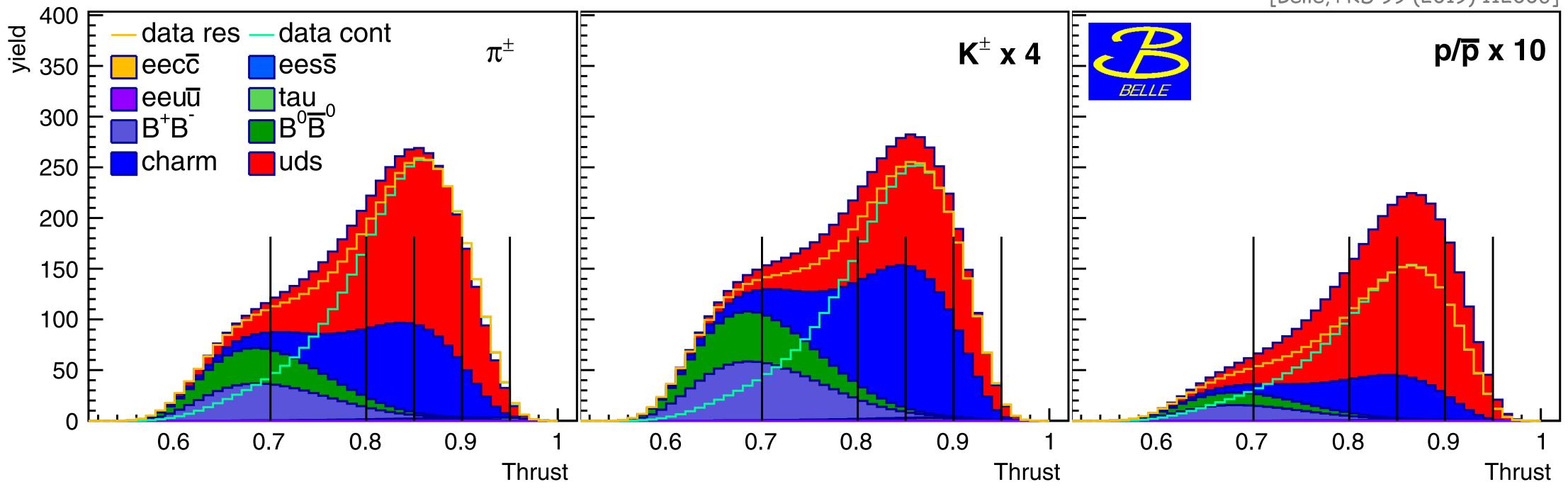








### thrust distribution: process contributions



large contribution from BB at lower thrust

large thrust dominated by uds and charm fragmentation

will concentrate mainly on 0.85<T<0.9 bin, though others available as well</p>

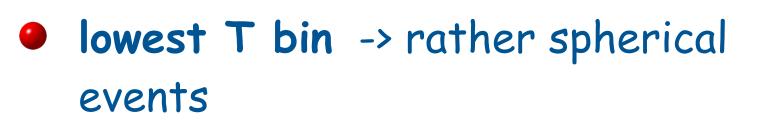
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[Belle, PRD 99 (2019) 112006]

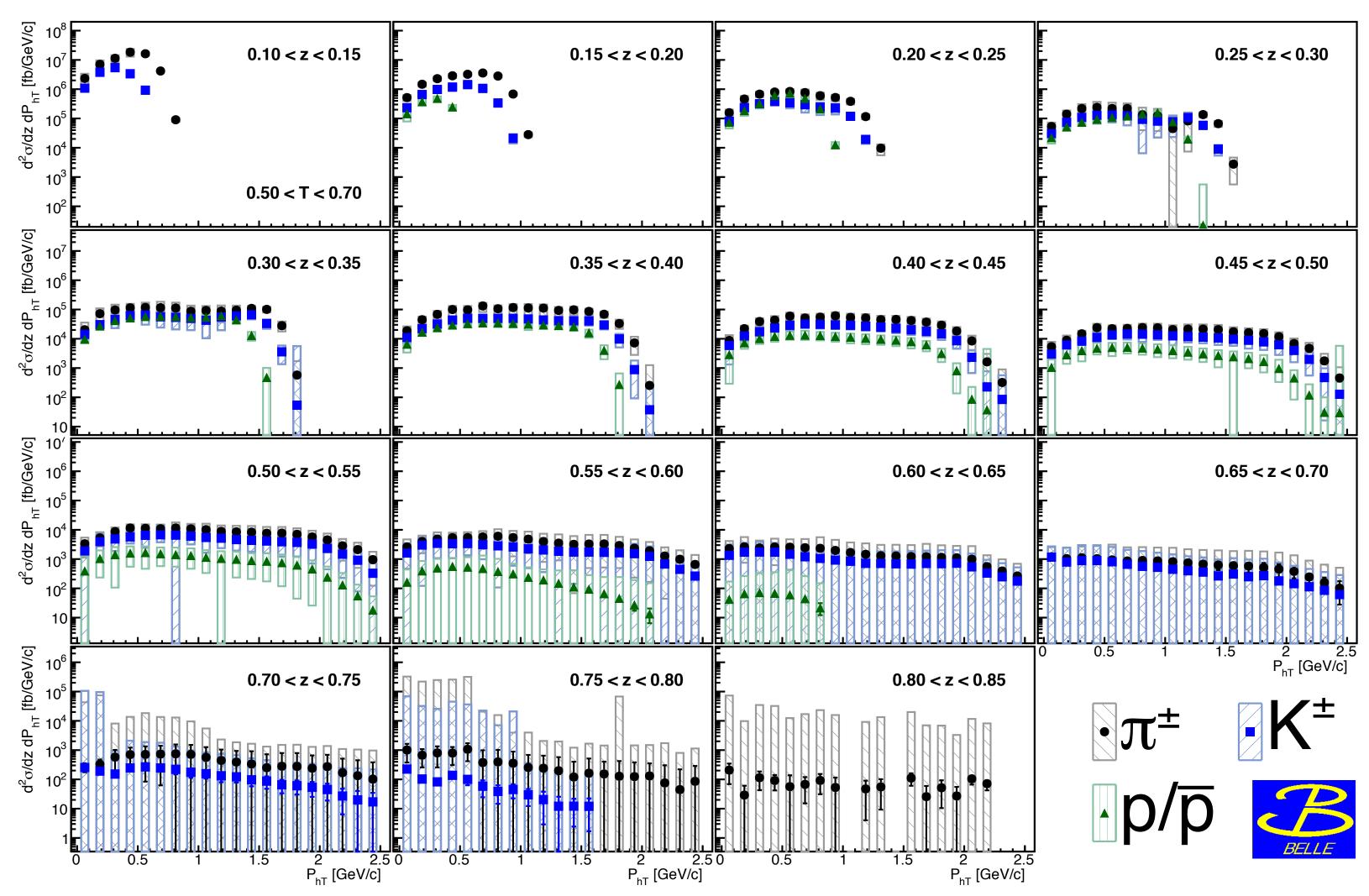
(at very large T significant  $\tau$  contribution for pions, not visible here)



### transverse-momentum distributions



- transverse momenta almost uniformly distributed in medium-z bins
- faster drop for heavier  $\bigcirc$ hadrons



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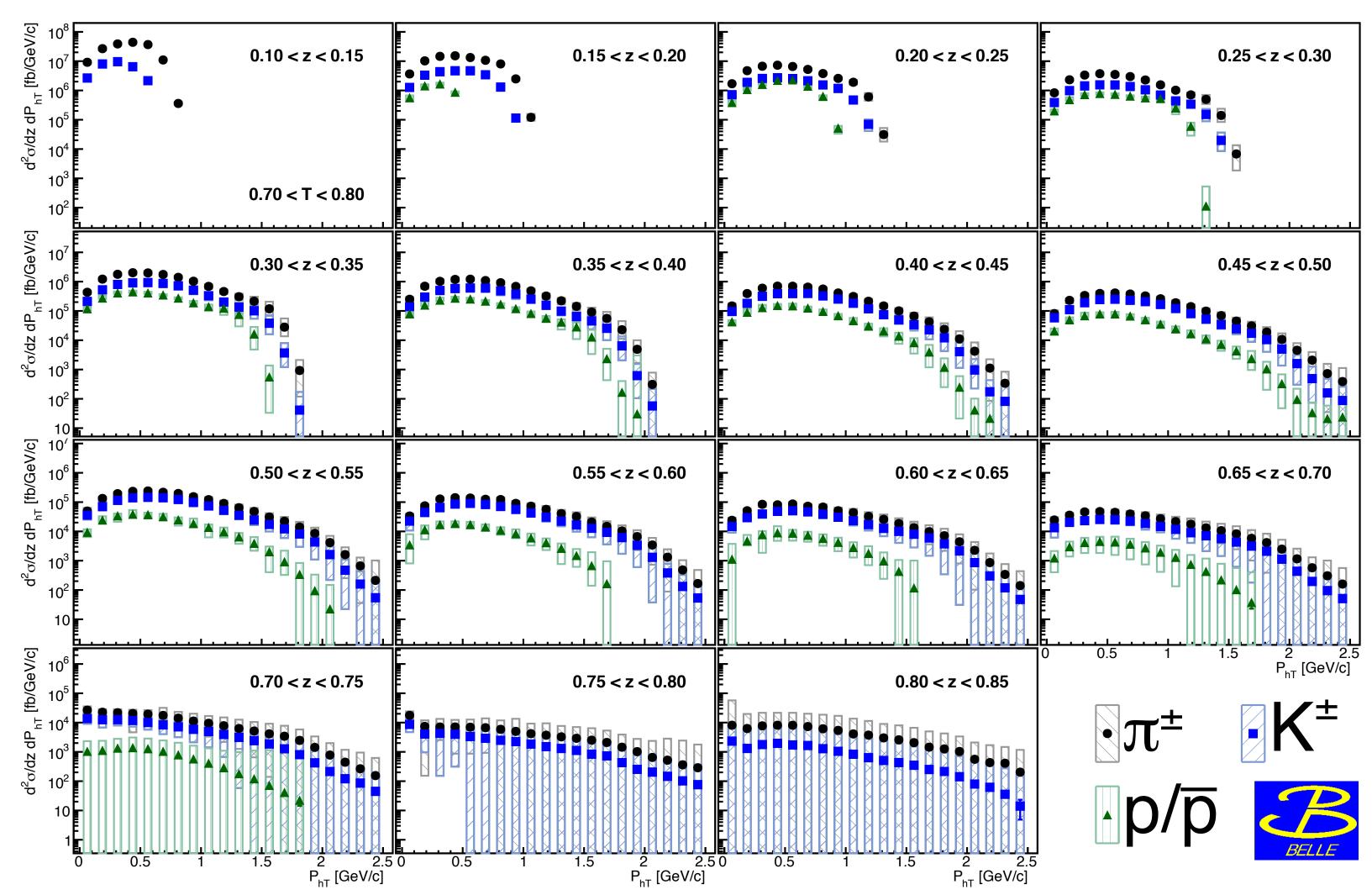


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### transverse-momentum distributions



- transverse momenta more Gaussian distributed
- Iarge-z region with large uncertainties





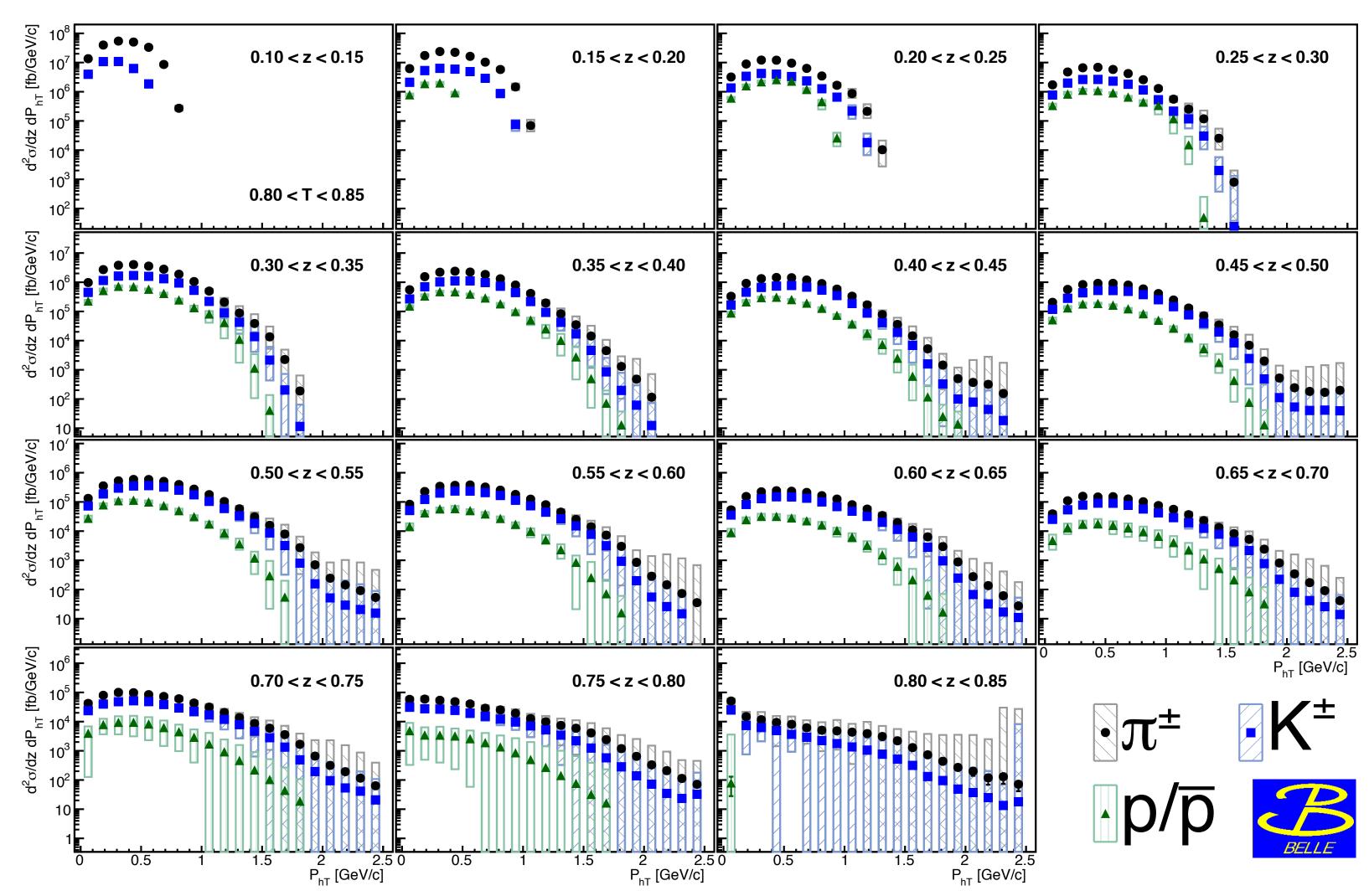
(2019) 112006 66

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### transverse-momentum distributions

### • 0.8<T<0.85

- transverse momenta mostly 0 Gaussian distributed
- possible deviations for large-Pht tails [but also larger uncertainties]



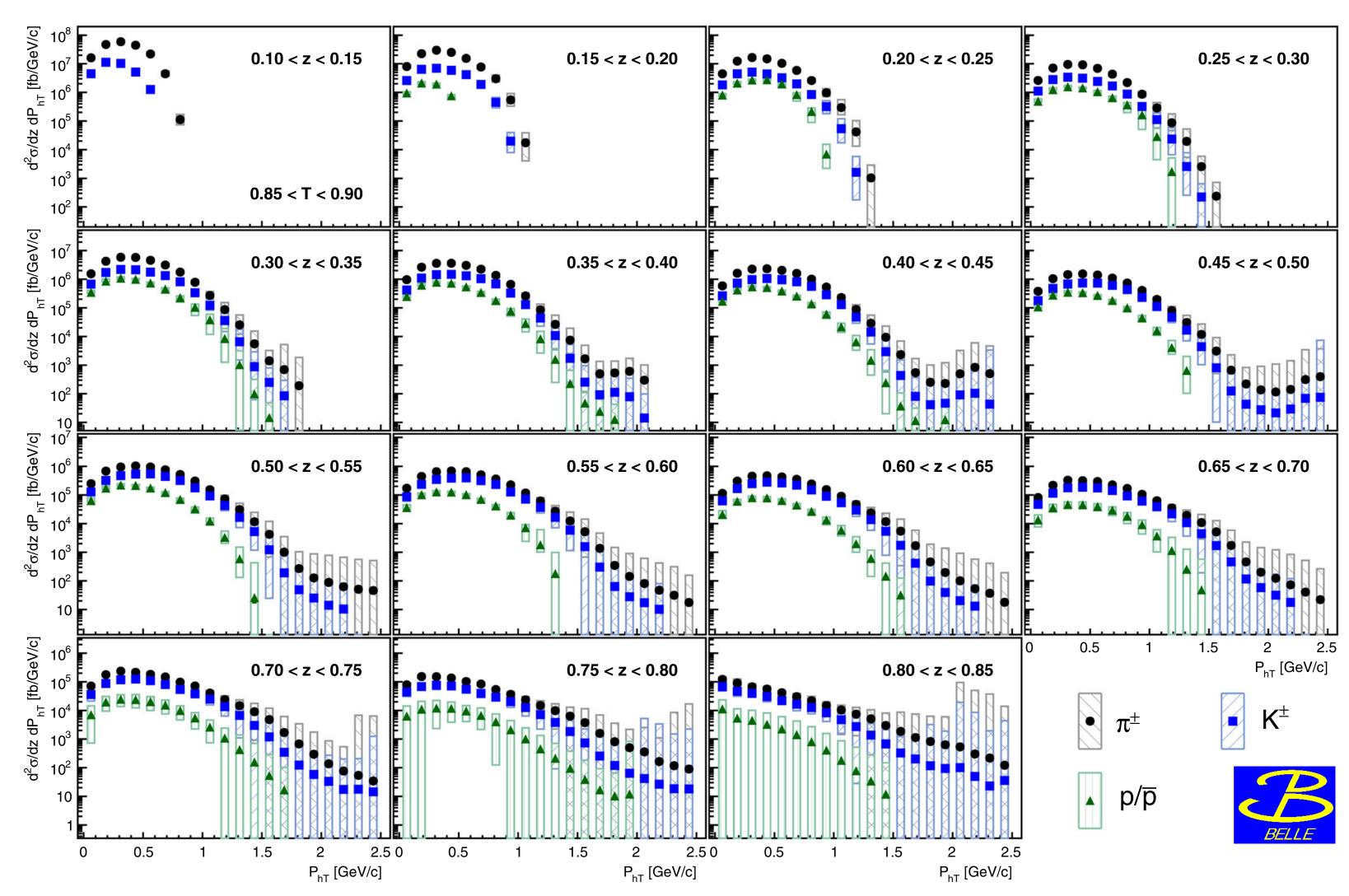




### transverse-momentum distributions

#### • 0.85<T<0.9

- transverse momenta mostly  $\mathbf{O}$ Gaussian distributed; widths narrowing
- possible deviations for  $\mathbf{O}$ large-Pht tails [but also larger uncertainties]



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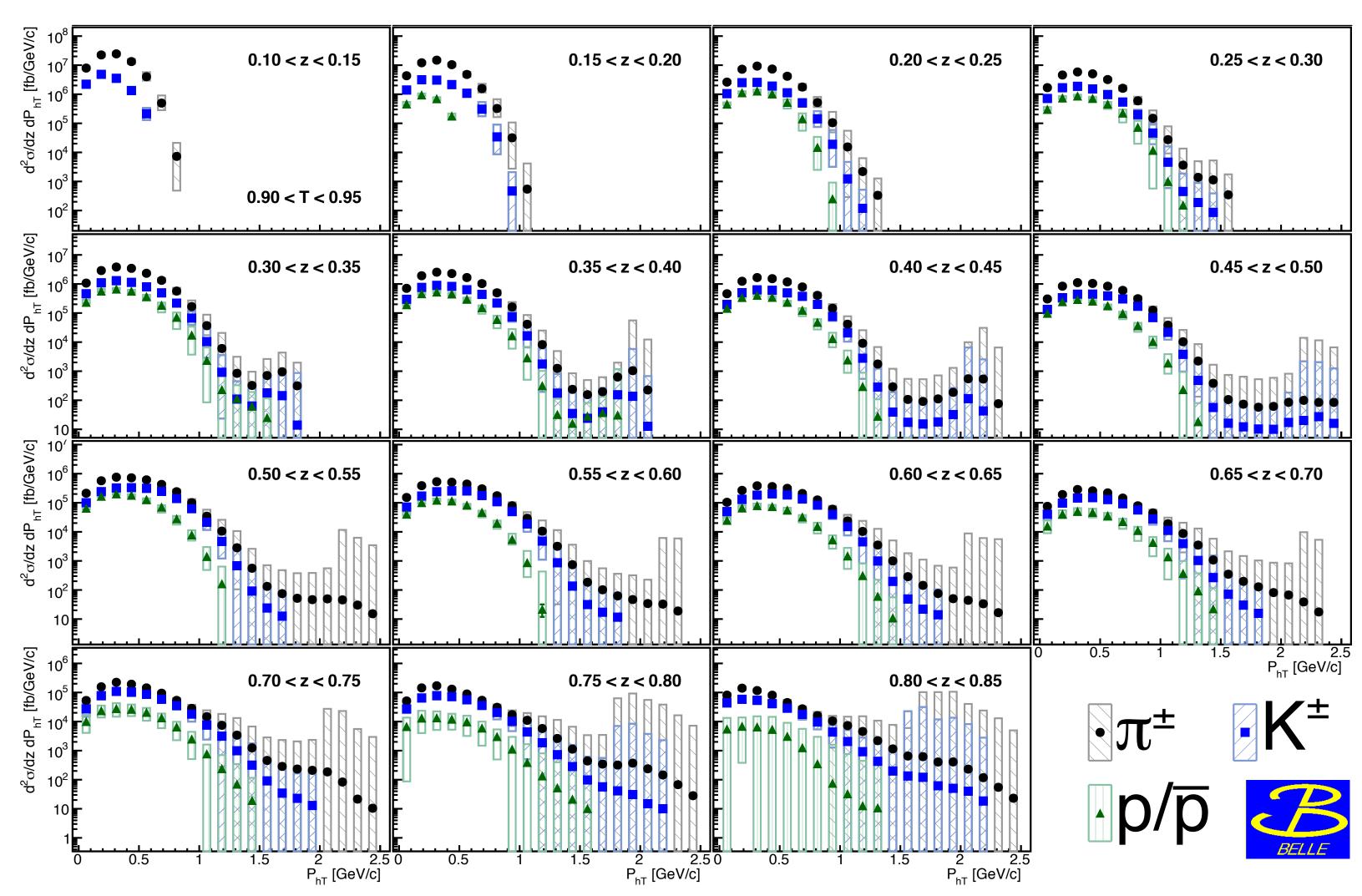




### transverse-momentum distributions

#### • 0.9<T<0.95

- transverse momenta mostly Gaussian distributed; widths even narrower
- possible deviations for  $\mathbf{O}$ large-Pht tails [but also larger uncertainties]



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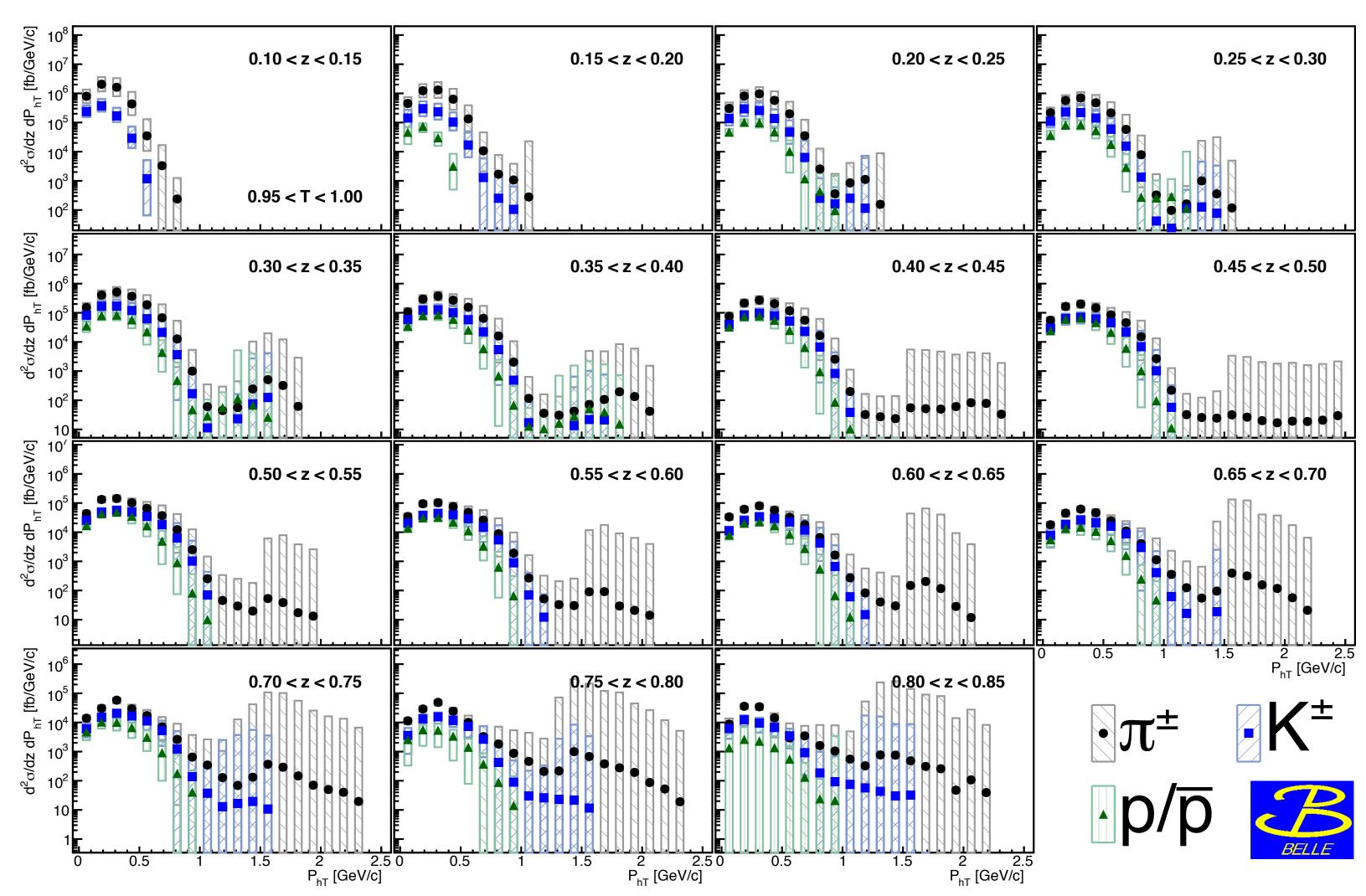
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### transverse-momentum distributions

#### • 0.95<T<1.0

- transverse momenta mostly  $\mathbf{O}$ Gaussian distributed
- widths very narrow as particles now very collimated



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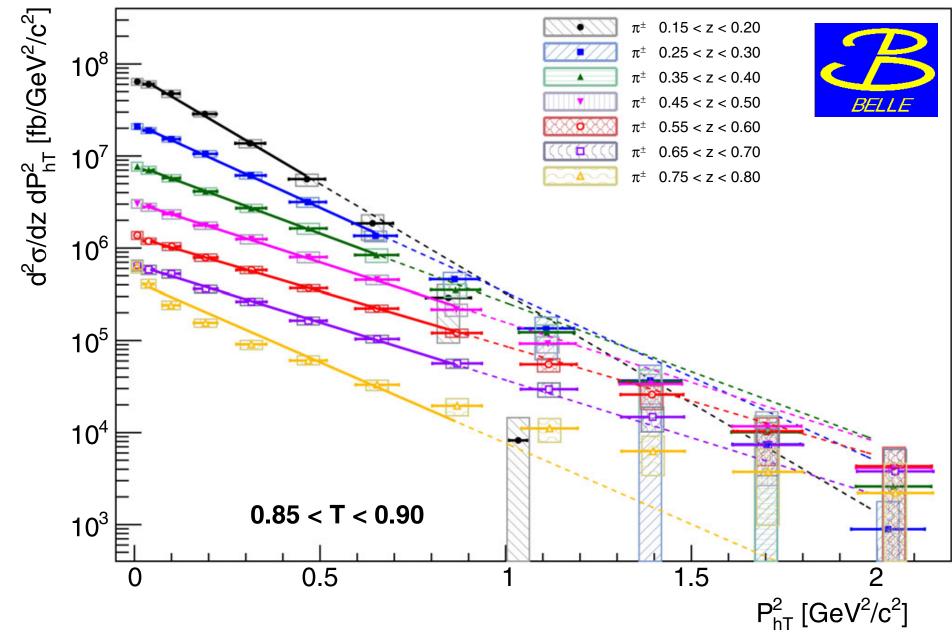






### transverse-momentum: Gaussian widths

- 0.85<T<0.90
  - fit Gauss to low-Pht data
  - mostly well described with possible exception at high z
  - deviation from Gauss at large PhT
  - clear increase of width with z for low values of z



	$\pi^{\pm}$	0.15 < z < 0.20
V// <b>=</b> ///	$\pi^{\pm}$	0.25 < z < 0.30
	$\pi^{\pm}$	0.35 < z < 0.40
	$\pi^{\pm}$	0.45 < z < 0.50
8332 <b>9</b> 3323	$\pi^{\pm}$	0.55 < z < 0.60
$\langle \langle \langle \mathbf{t} \rangle \rangle \rangle$	$\pi^{\pm}$	0.65 < z < 0.70
~~d~~	$\pi^{\pm}$	0.75 < z < 0.80

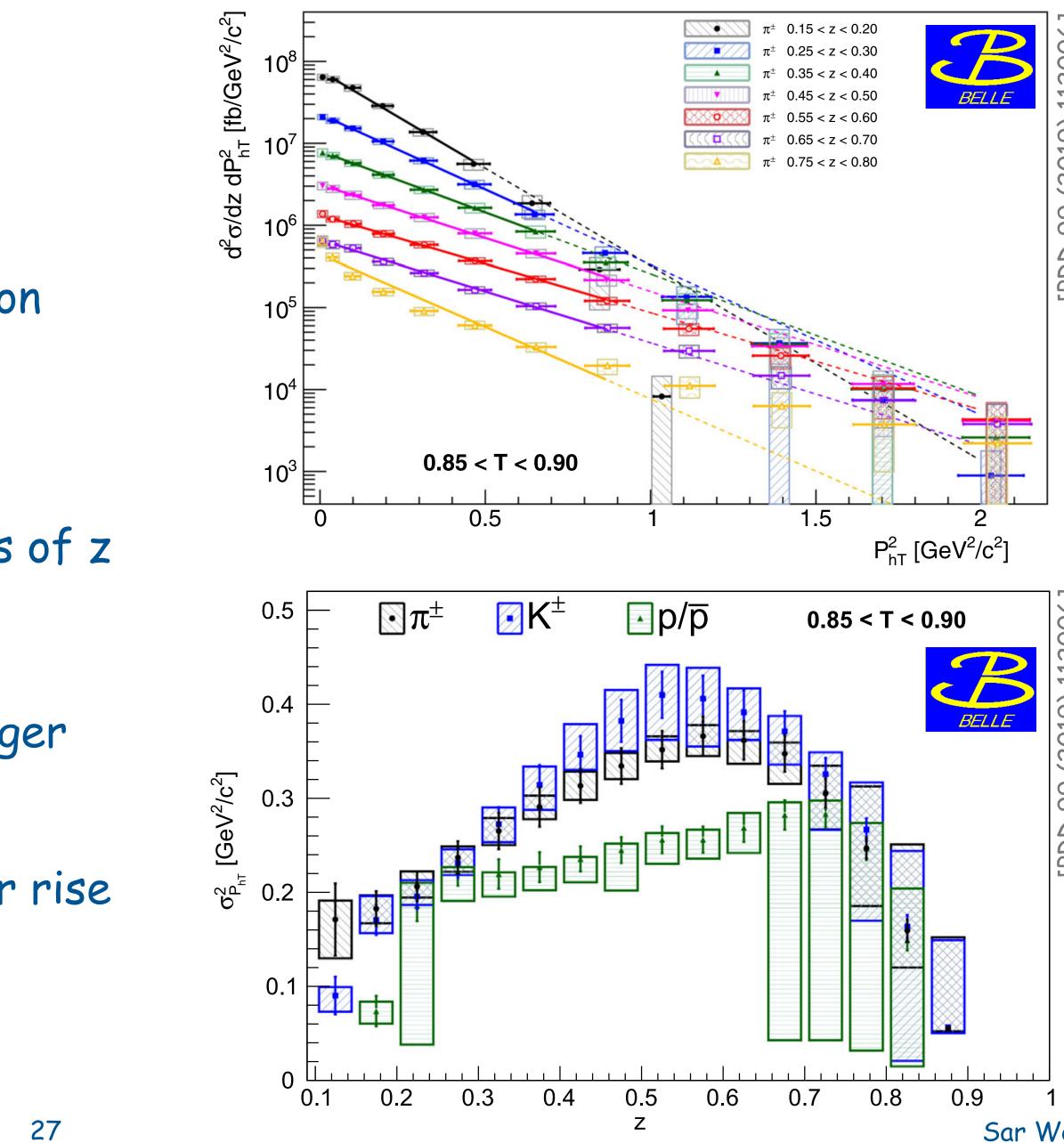
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### transverse-momentum: Gaussian widths

- 0.85<T<0.90
  - fit Gauss to low-Pht data
  - mostly well described with possible exception at high z
  - deviation from Gauss at large  $P_{hT}$
  - clear increase of width with z for low values of z
- Gaussian widths as function of z
  - general increase with z with turnover at larger values of z for mesons
  - protons with smaller width and a more linear rise with z



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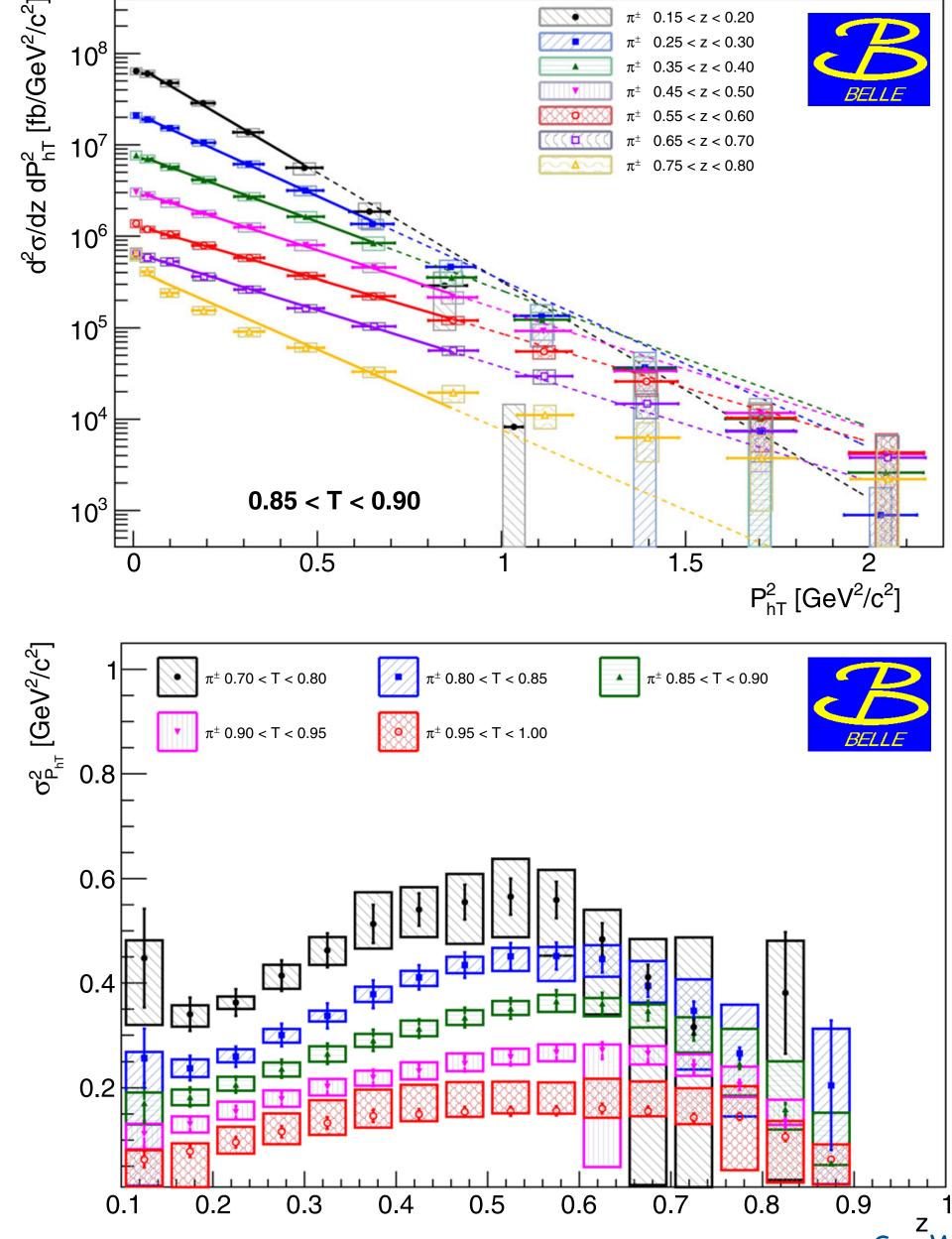
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- 0.85<T<0.90
  - fit Gauss to low-Pht data
  - mostly well described with possible exception at high z
  - deviation from Gauss at large PhT
  - clear increase of width with z for low values of z
- Gaussian widths depend on z and T
  - general increase with z with turnover at larger values of z
  - clear decrease of widths with increase of T
    - particles more and more collimated

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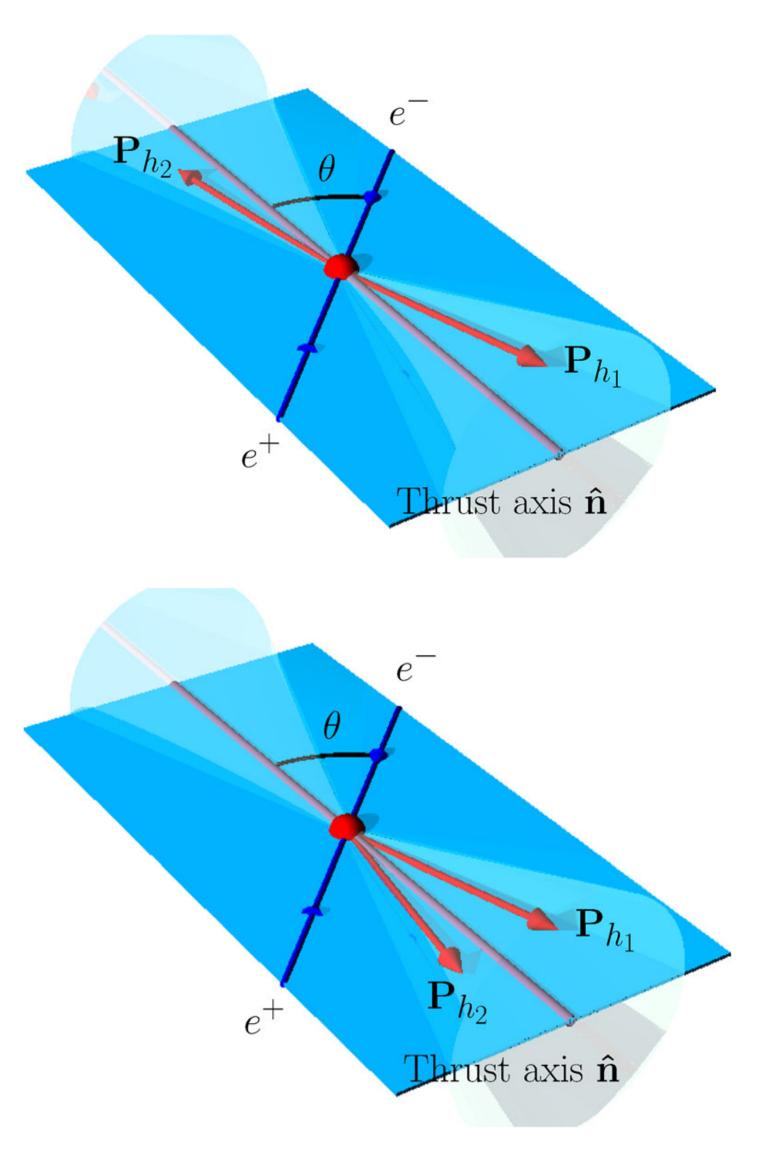


#### single-hadron production has low discriminating power for parton flavor

- can use 2<sup>nd</sup> hadron in opposite hemisphere to "tag" flavor, transverse momentum, as well as polarization
  - mainly sensitive to product of single-hadron FFs
- If hadrons in same hemisphere: dihadron fragmentation
  - a la de Florian & Vanni [Phys. Lett. B 578 (2004) 139]
  - a la Collins, Heppelmann & Ladinsky [NPB 420 (1994) 565]; Boer, Jacobs & Radici [PRD 67 (2003) 094003]
- raises question of defining hemispheres
  - common choices: separation by plane normal to i) thrust axis or to ii) one of the two hadrons (back-to-back case)
  - alternatively, via relevant kinematic variables

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### hadron-pair production



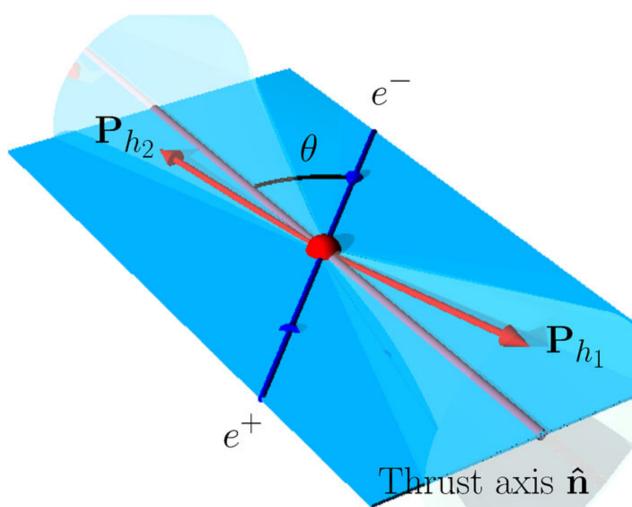




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- can use 2<sup>nd</sup> hadron in opposite hemisphere to "tag" flavor, transverse momentum, as well as polarization
  - mainly sensitive to product of single-hadron FFs
- various definitions for scaling variable
  - traditional z ("std"):
  - Altarelli et al. ("AEMP"): **(**)  $z_1 =$ [Nucl. Phys. B160 (1979) 301]
  - Mulders & van Hulse ("MVH"): [PRD 100 (2019) 034011]

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### hadron-pair production



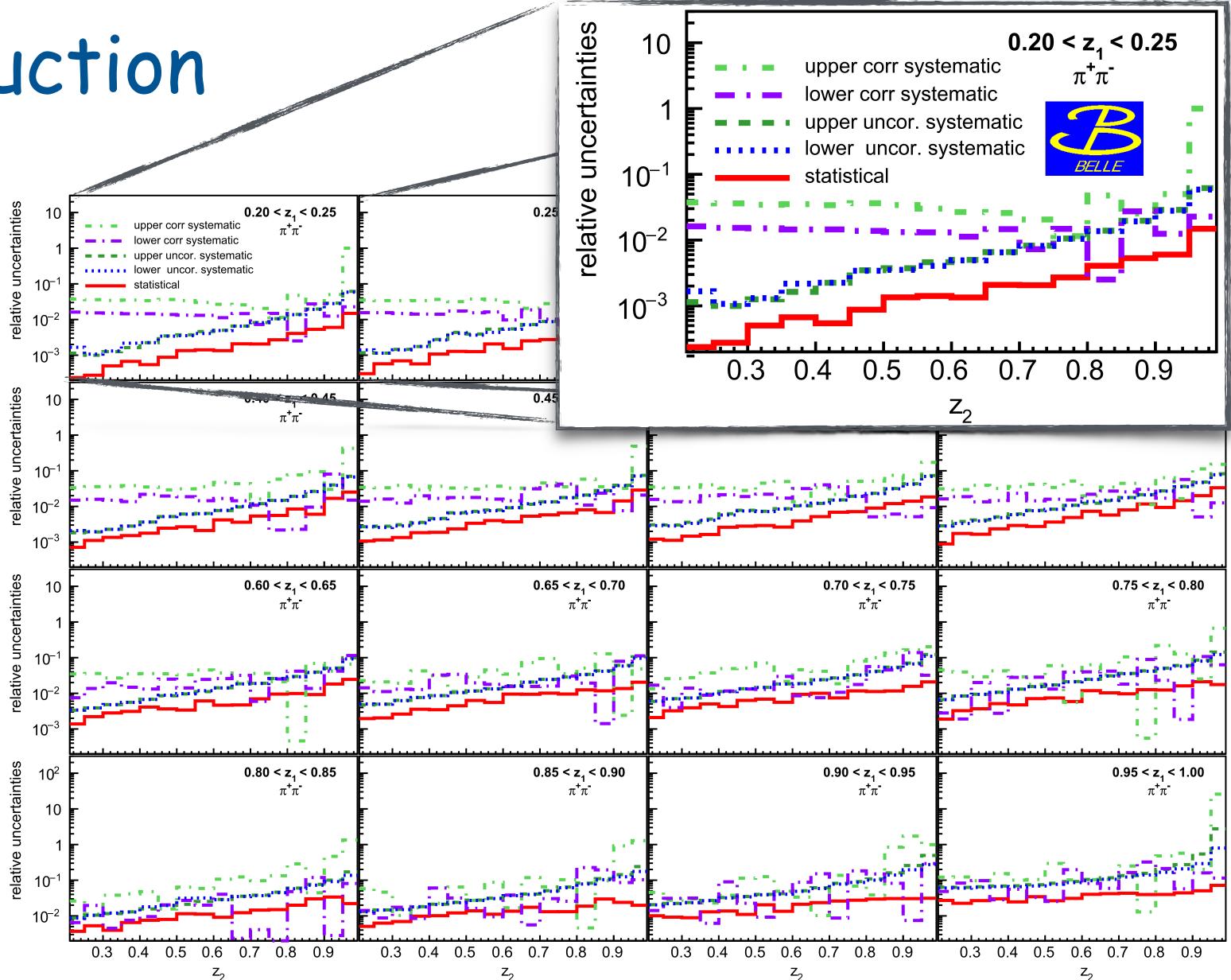
$$z_{i} = \frac{2P_{i} \cdot q}{q^{2}} \qquad (i = 1, 2)$$

$$z_{1} = \frac{2P_{1} \cdot q}{q^{2}} \qquad z_{2} = \frac{P_{1} \cdot P_{2}}{P_{1} \cdot q}$$

$$z_{1} = \left(P_{1} \cdot P_{2} - \frac{M_{h1}^{2} M_{h2}^{2}}{P_{1} \cdot P_{2}}\right) \frac{1}{P_{2} \cdot q - M_{h2}^{2} \frac{P_{1} \cdot q}{P_{1} \cdot P_{2}}}$$

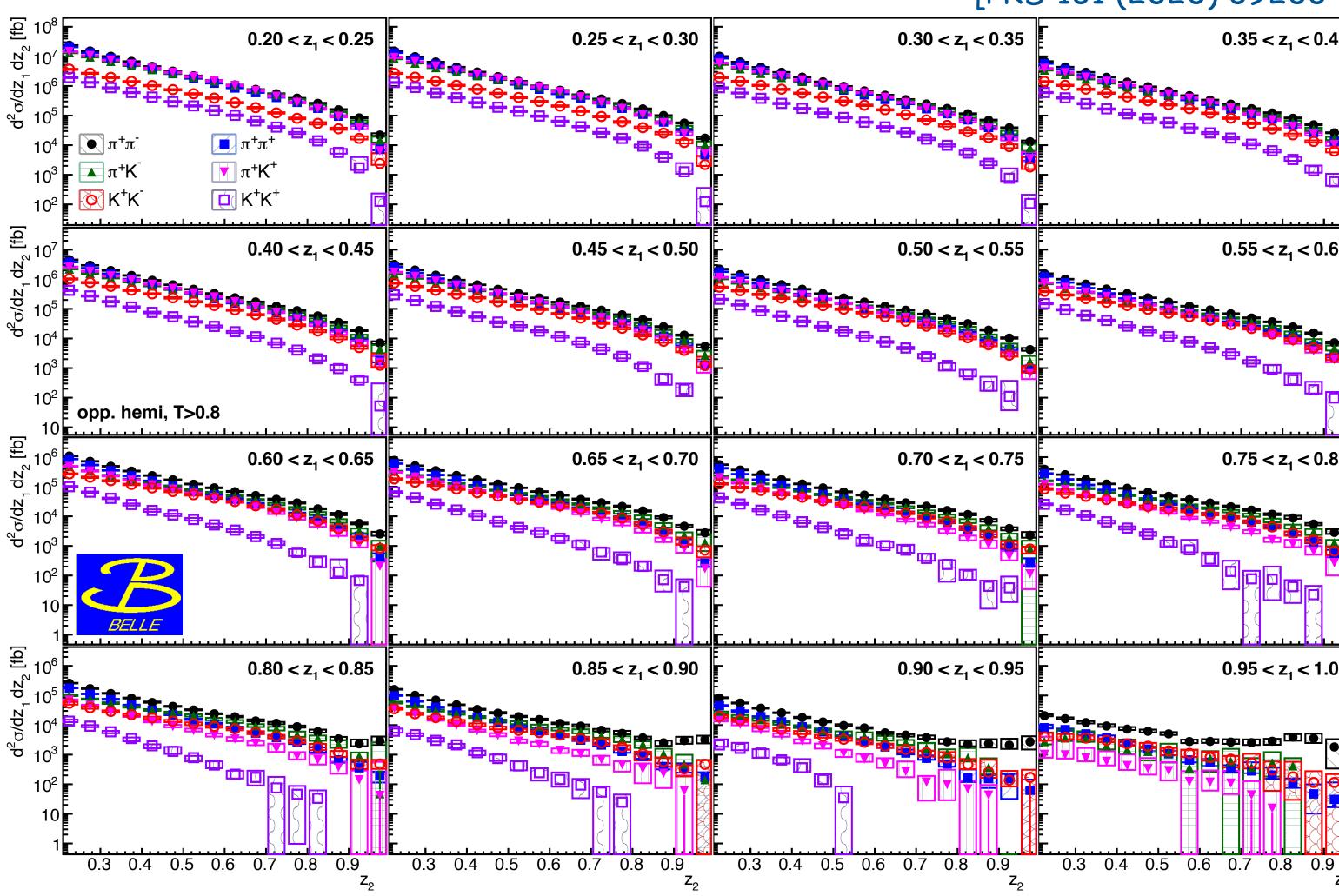


- systematics dominated over entire kinematic range
- strongly asymmetric systematics
  - no straightforward use in fits
- systematics dominated over entire kinematic range

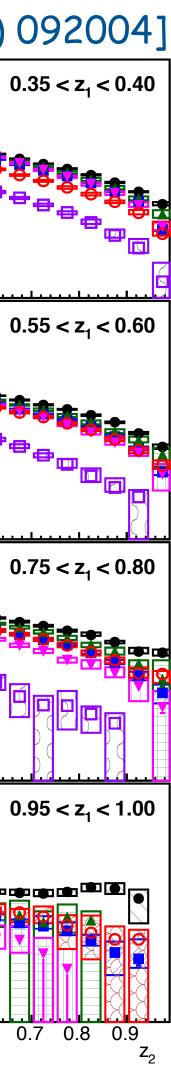


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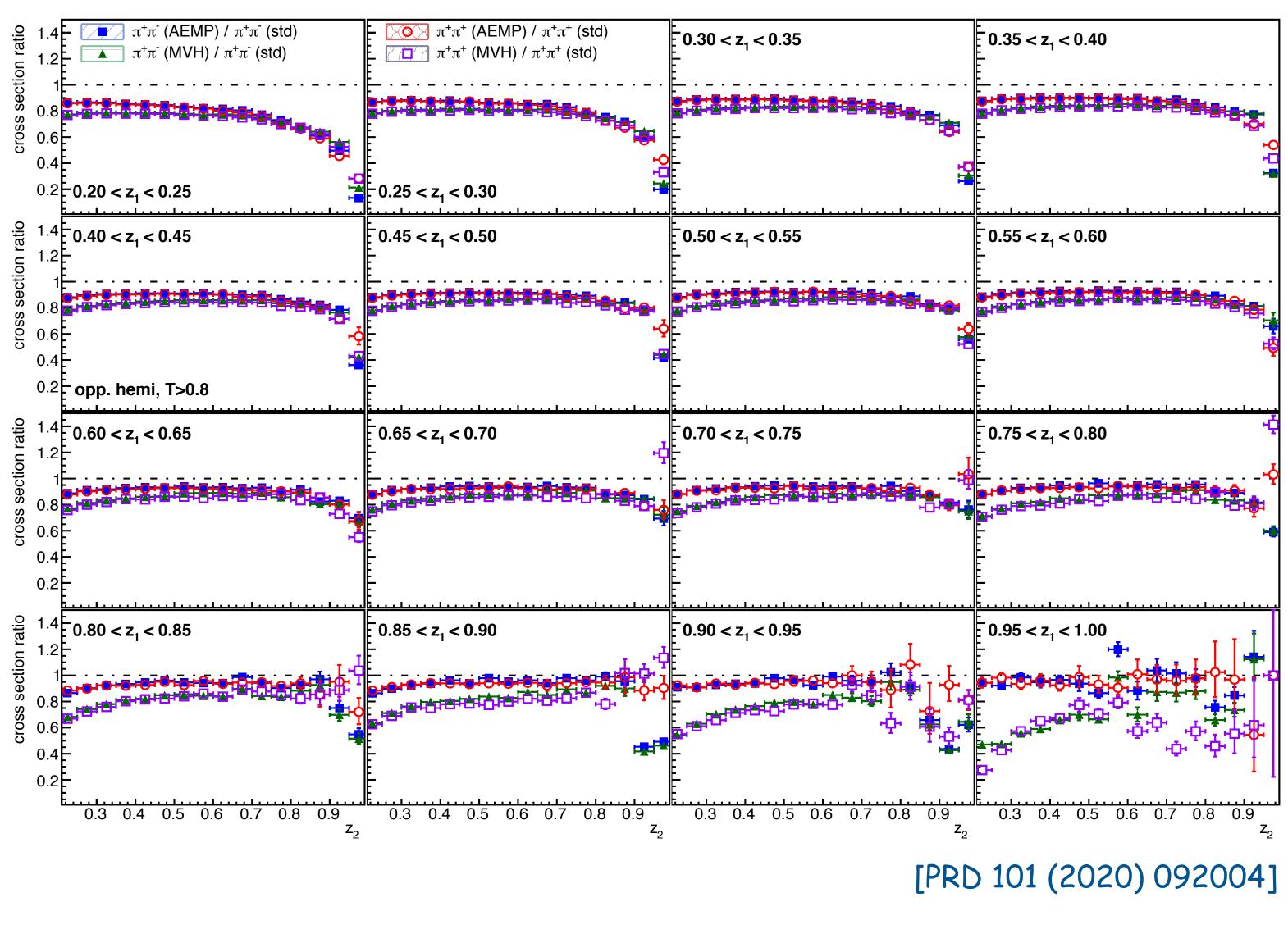
- systematics dominated over entire kinematic range
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  - suppression of like-sign pairs
  - suppression of kaons
  - more pronounced at large z (stronger flavor sensitivity)

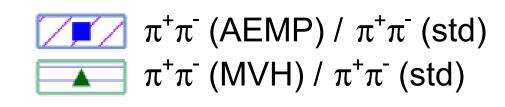


[PRD 101 (2020) 092004]



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- similar behavior for different z definitions when imposing T>0.8







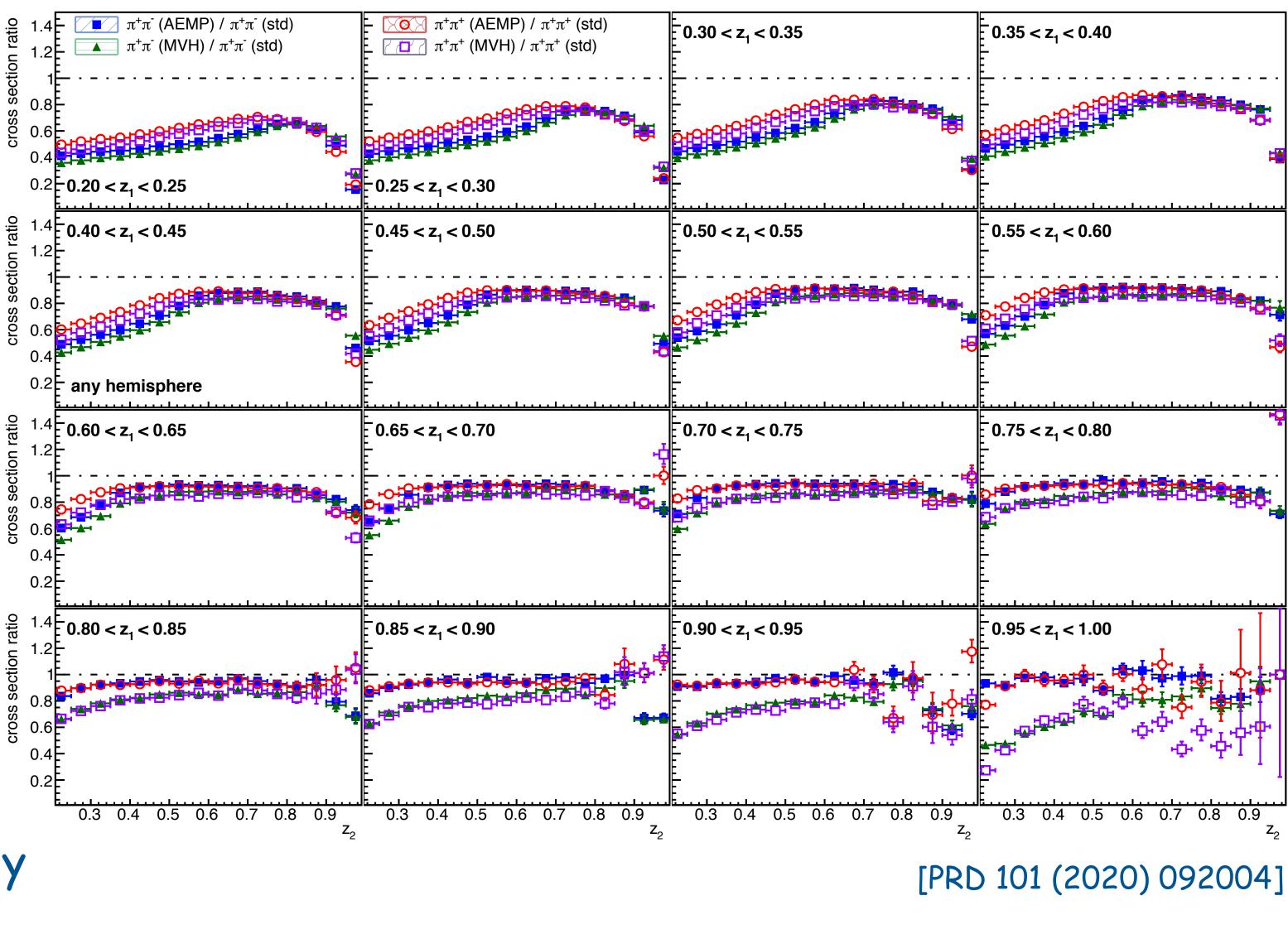
33

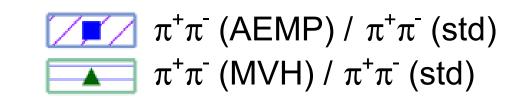




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- similar behavior for different z definitions when imposing T>0.8

larger suppression (low z) for fully inclusive pairs ("any hemisphere") Gunar Schnell



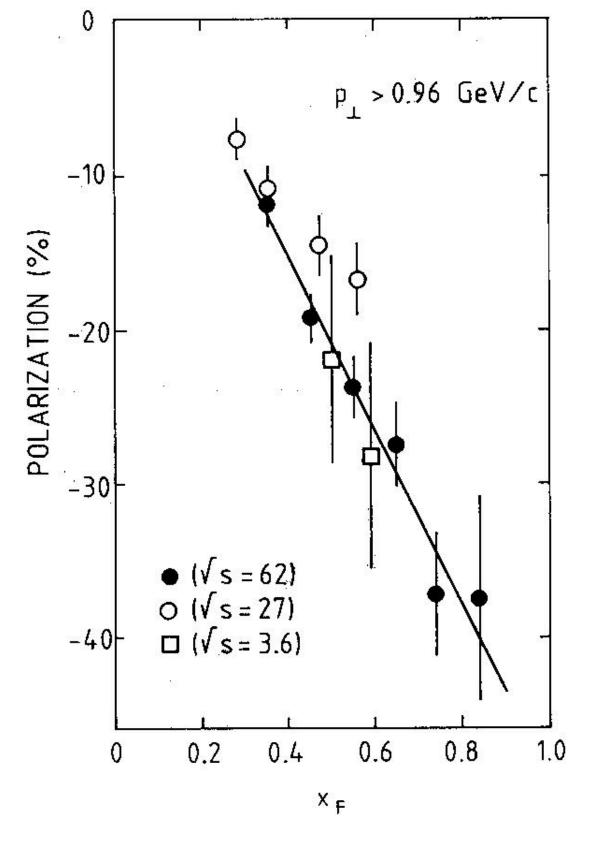




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# polarization despite unpolarized initial state



# polarizing fragmentation

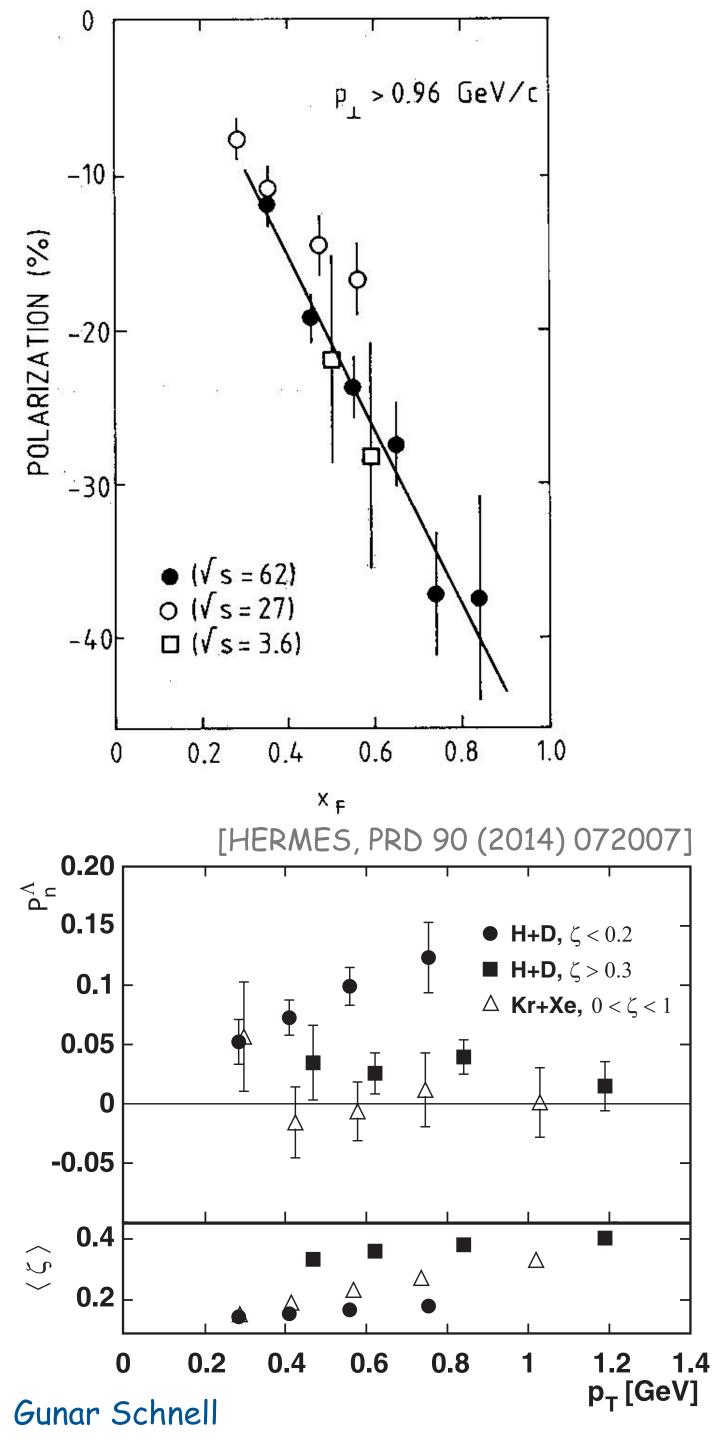
#### Gunar Schnell



p

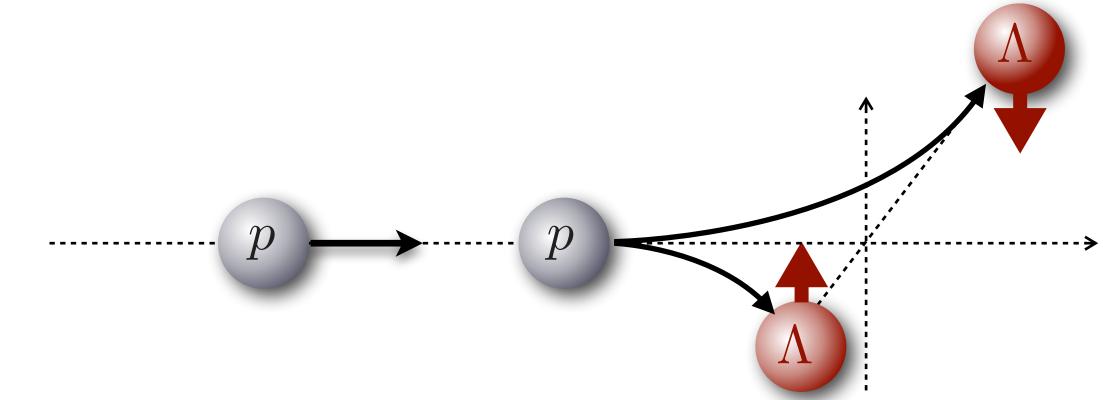






# polarizing fragmentation

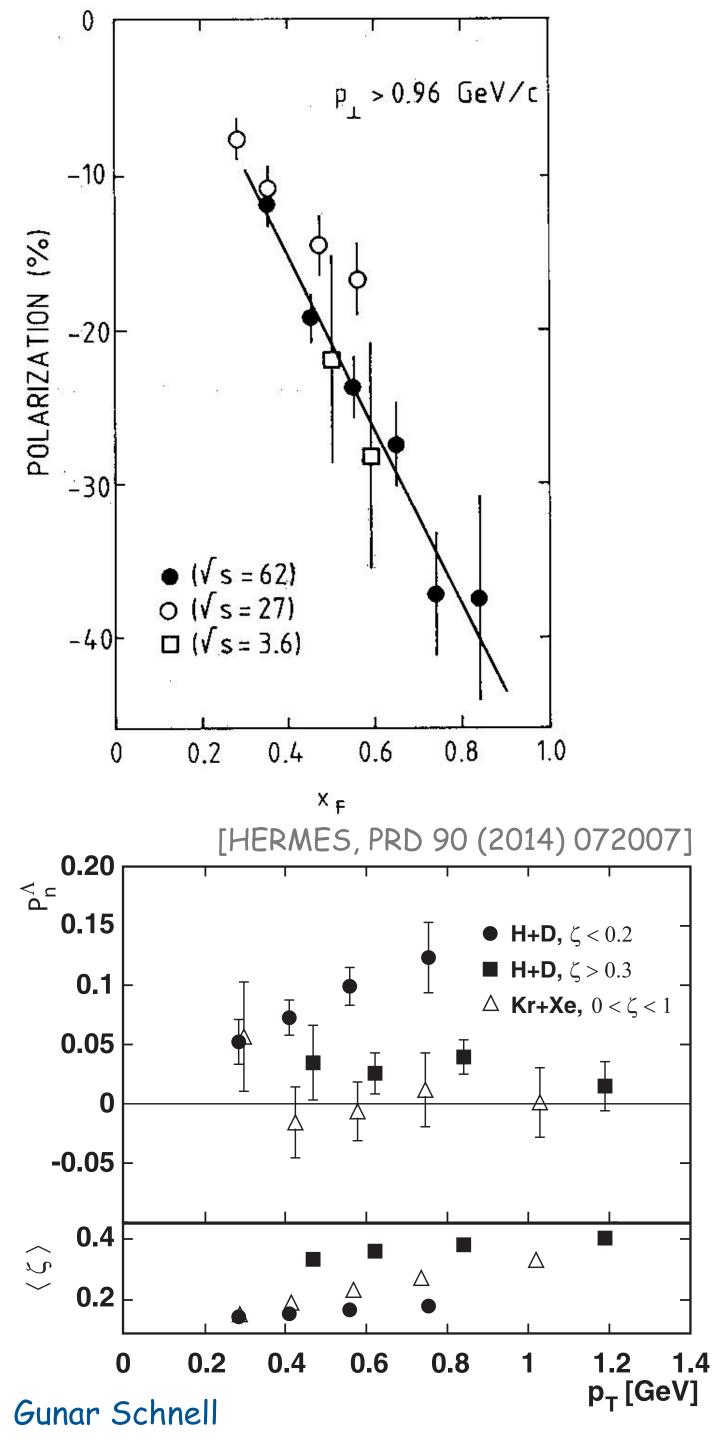




- Iarge hyperon polarization in unpolarized hadron collision observed
- ... as well as in inclusive lepto-production

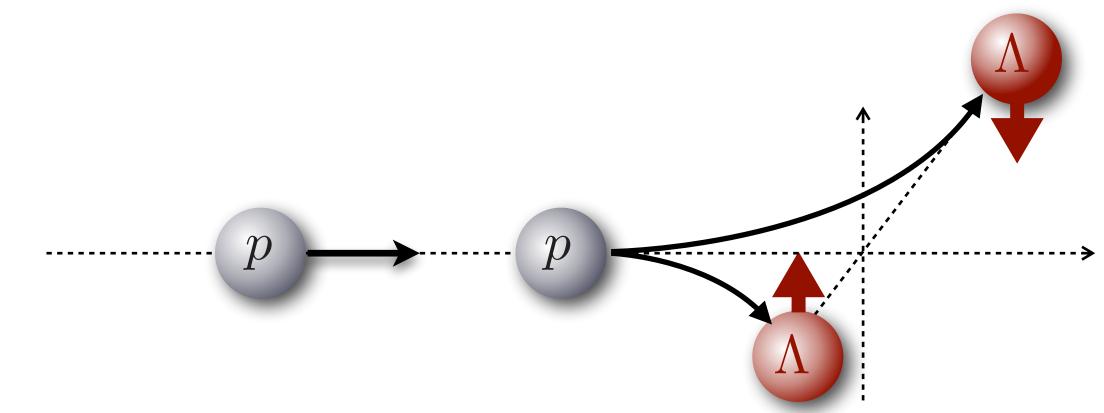


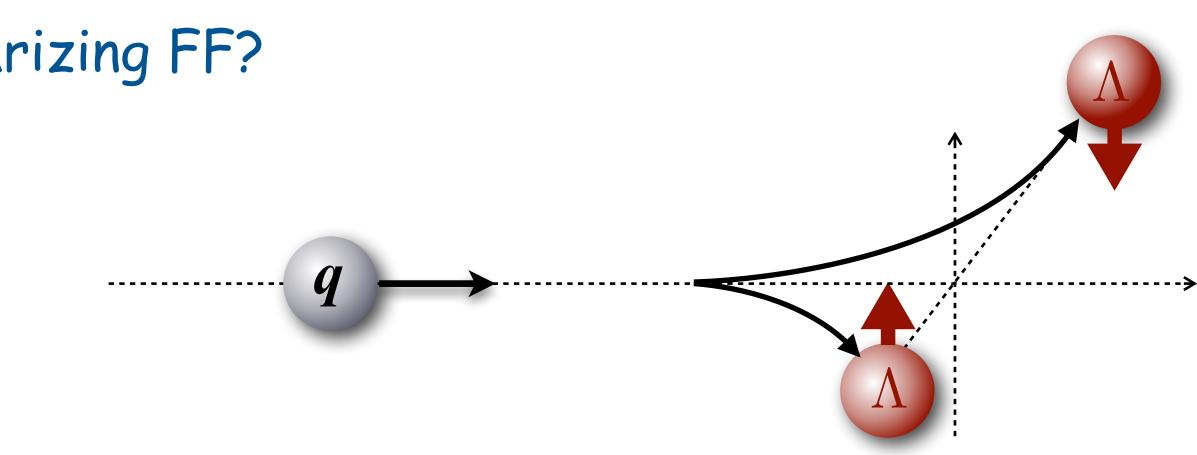




# polarizing fragmentation

- Iarge hyperon polarization in unpolarized hadron collision observed
- ... as well as in inclusive lepto-production
- caused by polarizing FF?



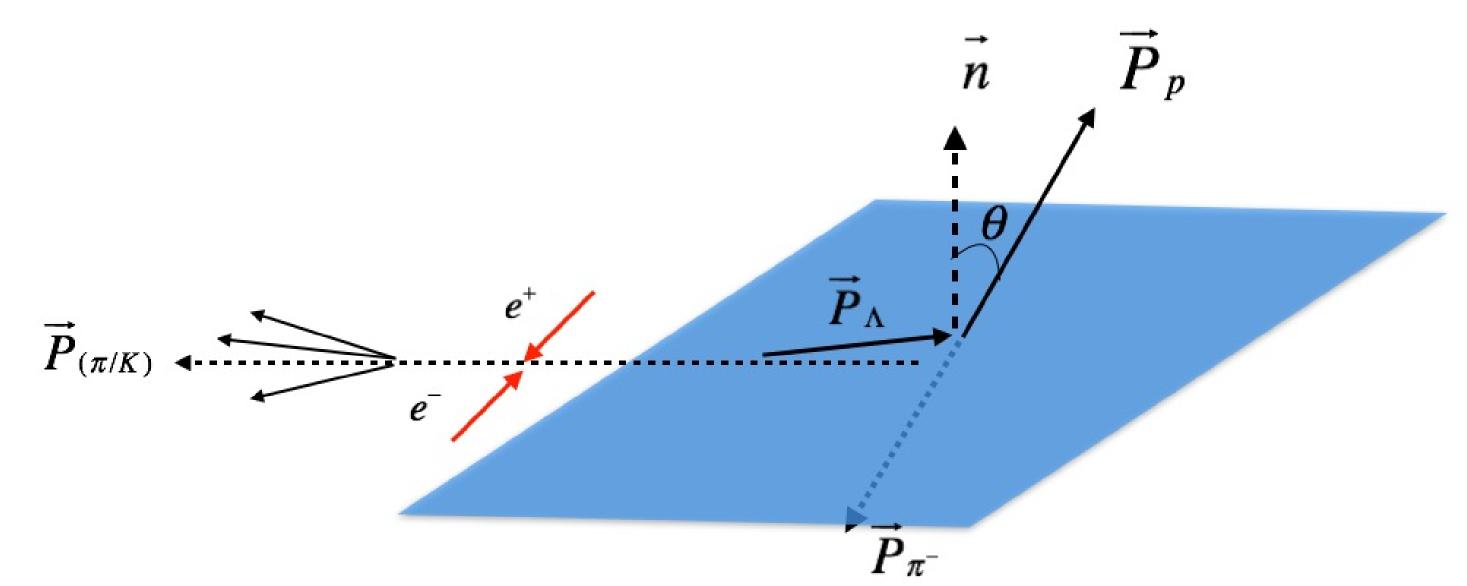






# polarizing fragmentation function

#### polarization measured normal to production plane, i.e. $\propto$ ("P<sub>q</sub>" × P<sub>A</sub>)



reference axis to define transverse momentum:

"hadron frame" - use momentum direction of "back-to-back" hadron

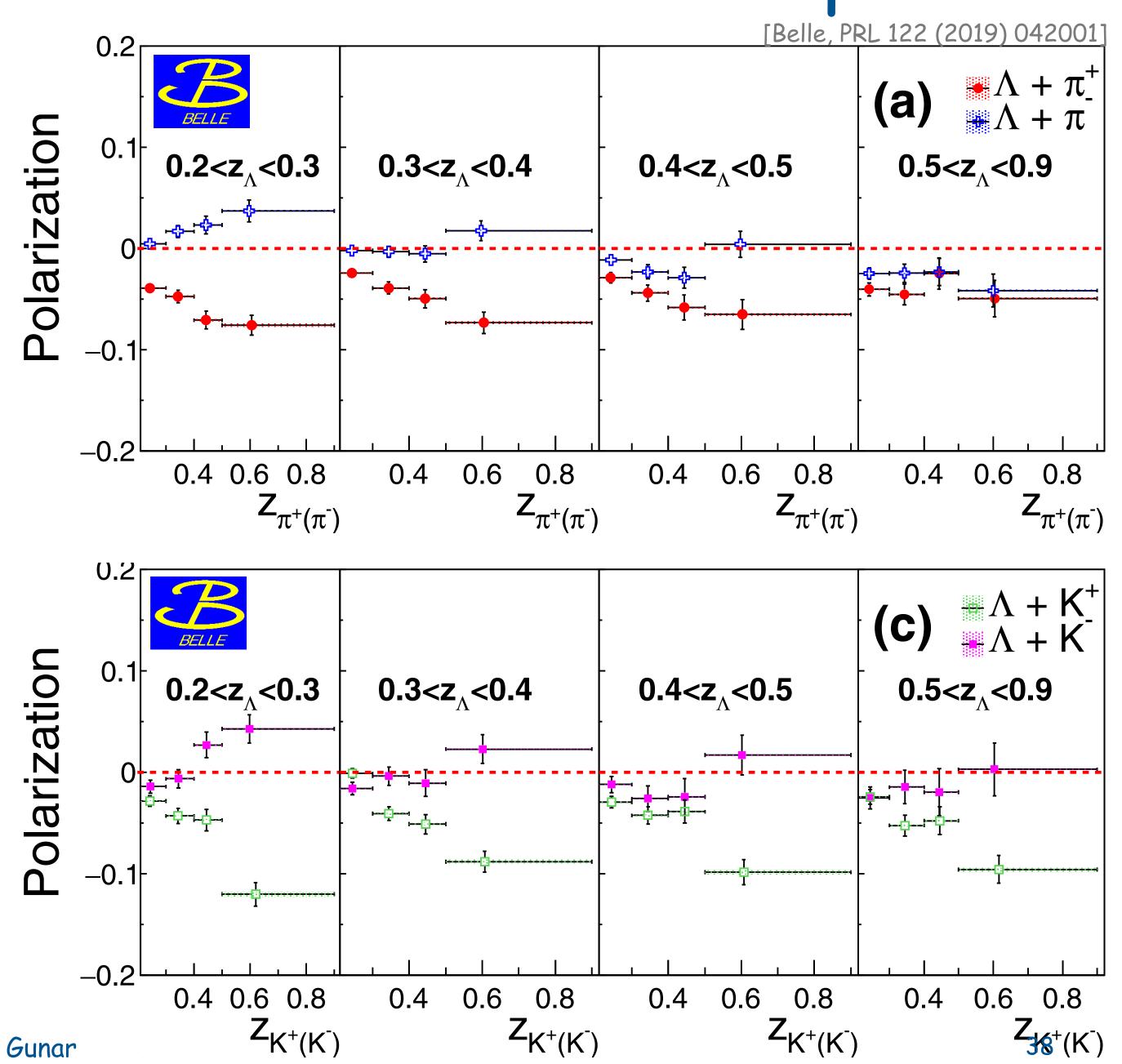
"thrust frame" - use thrust axis

exploit self-analyzing weak decay of  $\Lambda$  to determine polarization Gunar Schnell

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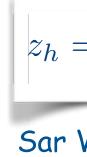






# polarizing fragmentation function

- flavor tagging through hadrons in opposite hemisphere:
  - Iarge-z<sub>h</sub> hadrons tag quark flavor more efficiently
    - enlarges differences between oppositely charged hadrons
  - MC-based quark-flavor decomposition in backup

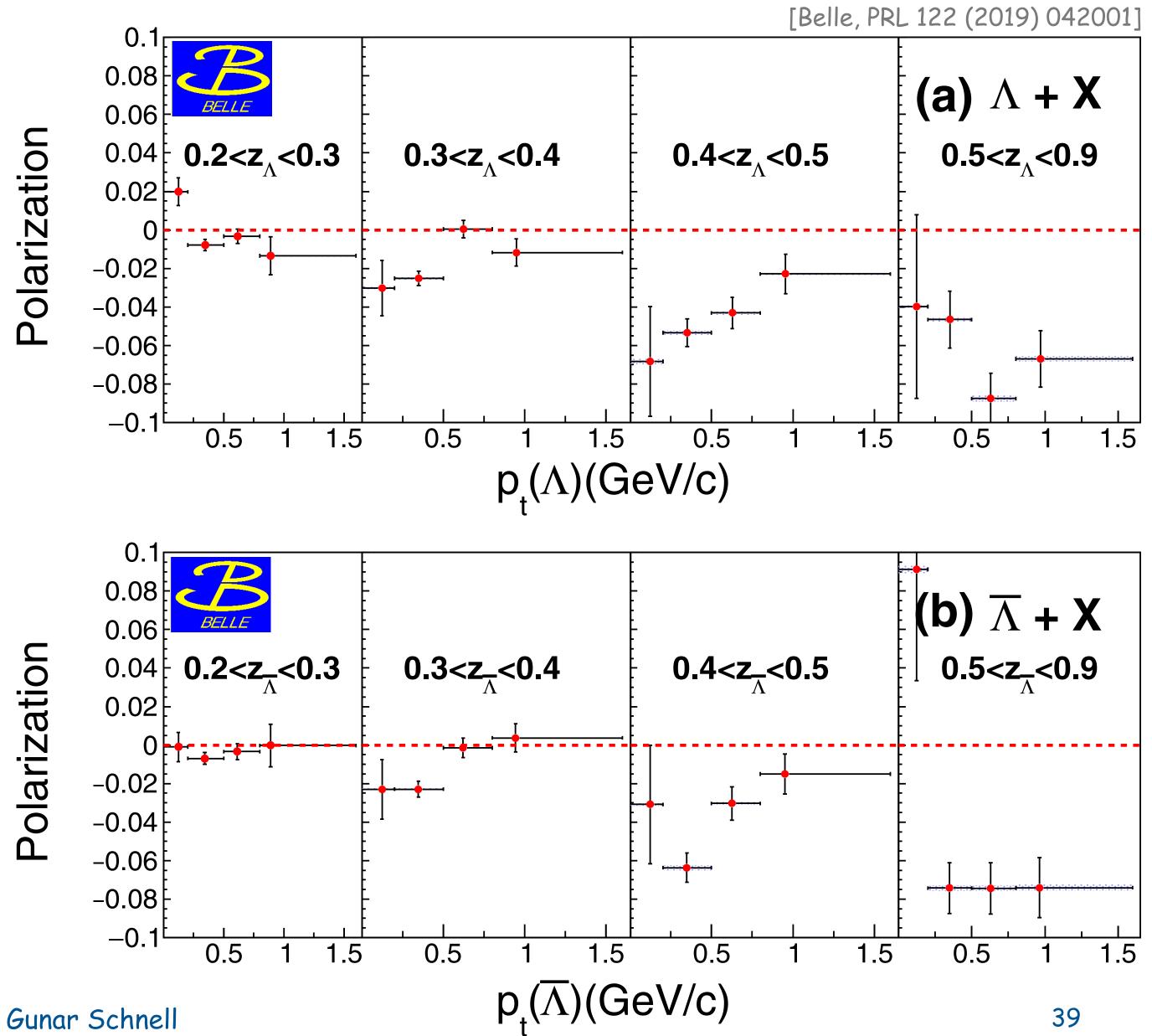






 $\sqrt{s}/2$ Sar WorS 2021

# polarizing fragmentation function



polarization measured as function of z and  $p_T$ 

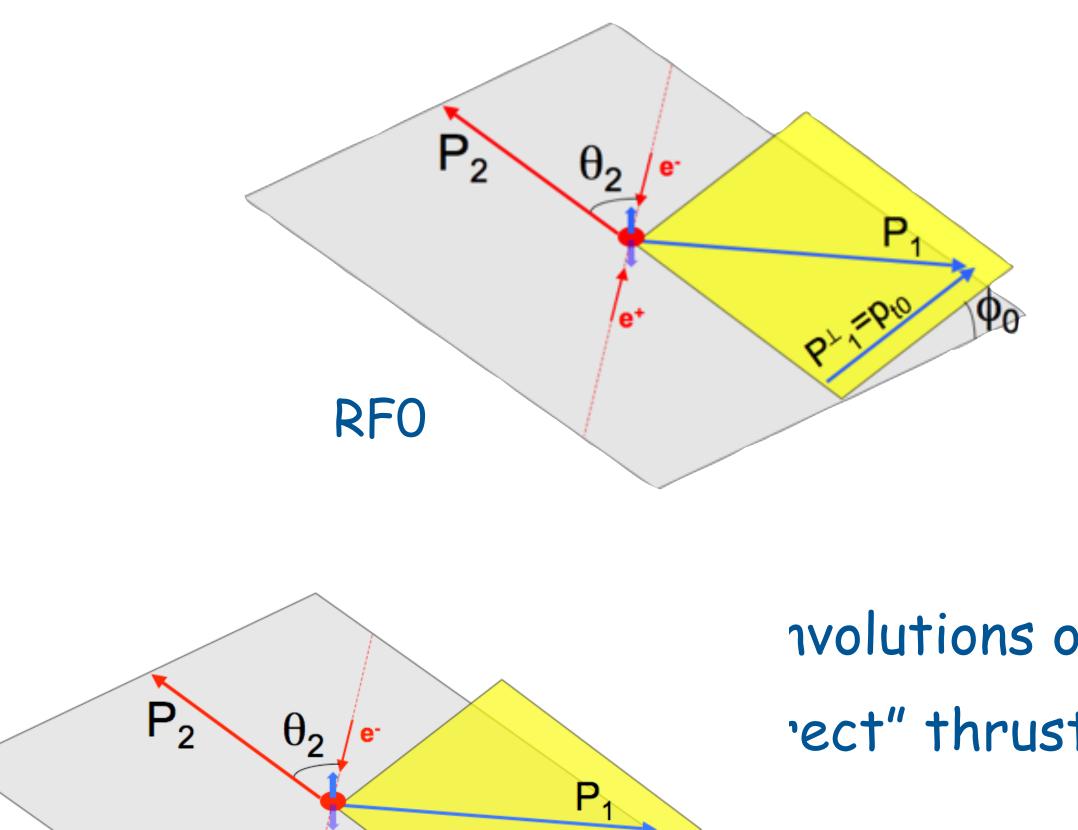
- strong dependence on both kinematics
  - somewhat unexpected behavior for p<sub>T</sub> -> 0



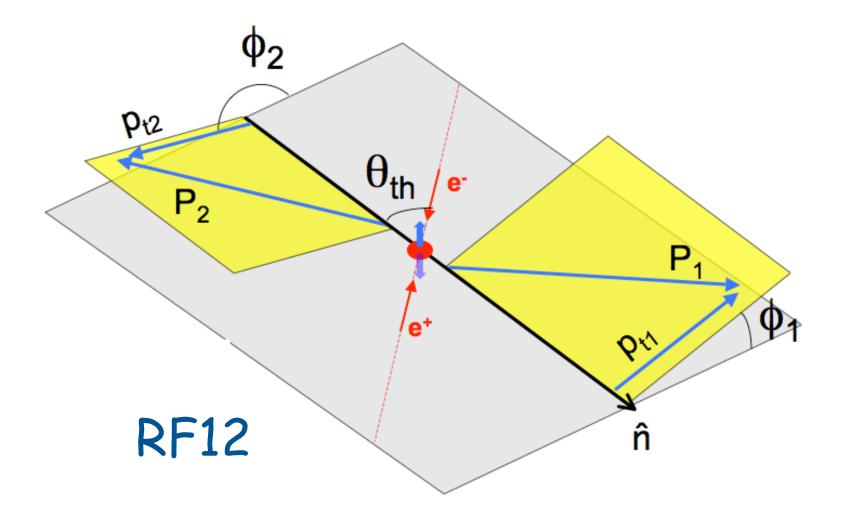


# hadron pairs: angular correlations

- polarization -> Collins fragmentation functions
  - RFO: one hadron as reference axis  $\rightarrow cos(2\phi_0)$  modulation
  - RF12: thrust (or similar) axis  $\rightarrow cos(\phi_1 + \phi_2)$  modulation



• angular correlations between nearly back-to-back hadrons used to tag transverse quark



- ivolutions over transverse momenta
- 'ect" thrust axis to qq axis

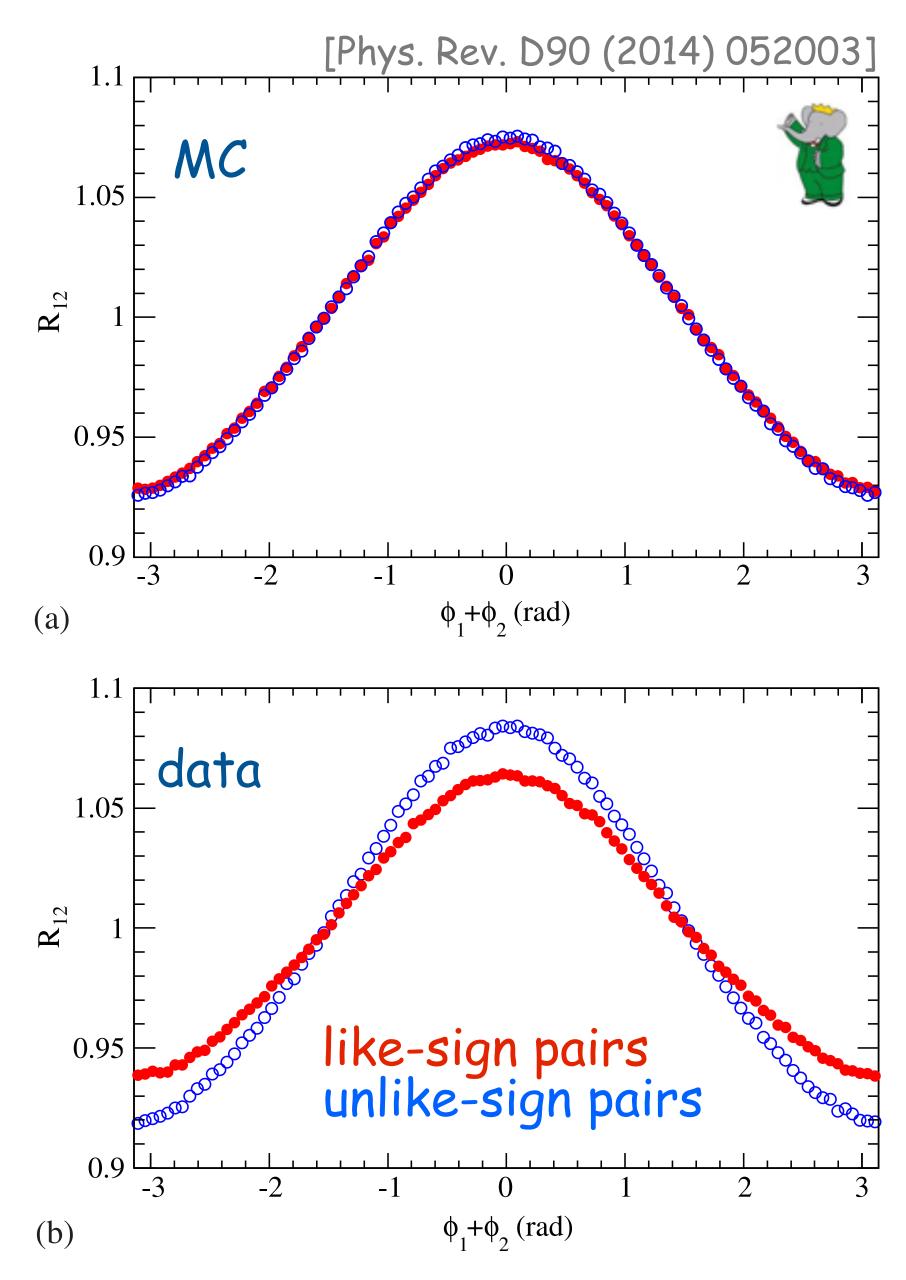


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# hadron pairs: angular correlations

challenge: large modulations even without Collins effect (e.g., in PYTHIA MC)

Gunar Schnell





# hadron pairs: angular correlations

- challenge: large modulations even without Collins effect (e.g., in PYTHIA MC)
- construct double ratio of normalized-yield distributions R<sub>12</sub>, e.g. unlike-/like-sign:

$$\begin{split} \frac{R_{12}^U}{R_{12}^L} &\simeq \frac{1 + \langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \rangle G^U \cos(\phi_1 + \phi_2)}{1 + \langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \rangle G^L \cos(\phi_1 + \phi_2)} \\ &\simeq 1 + \langle \frac{\sin^2 \theta_{\text{th}}}{1 + \cos^2 \theta_{\text{th}}} \rangle \{G^U - G^L\} \cos(\phi_1 + \phi_2)\} \end{split}$$

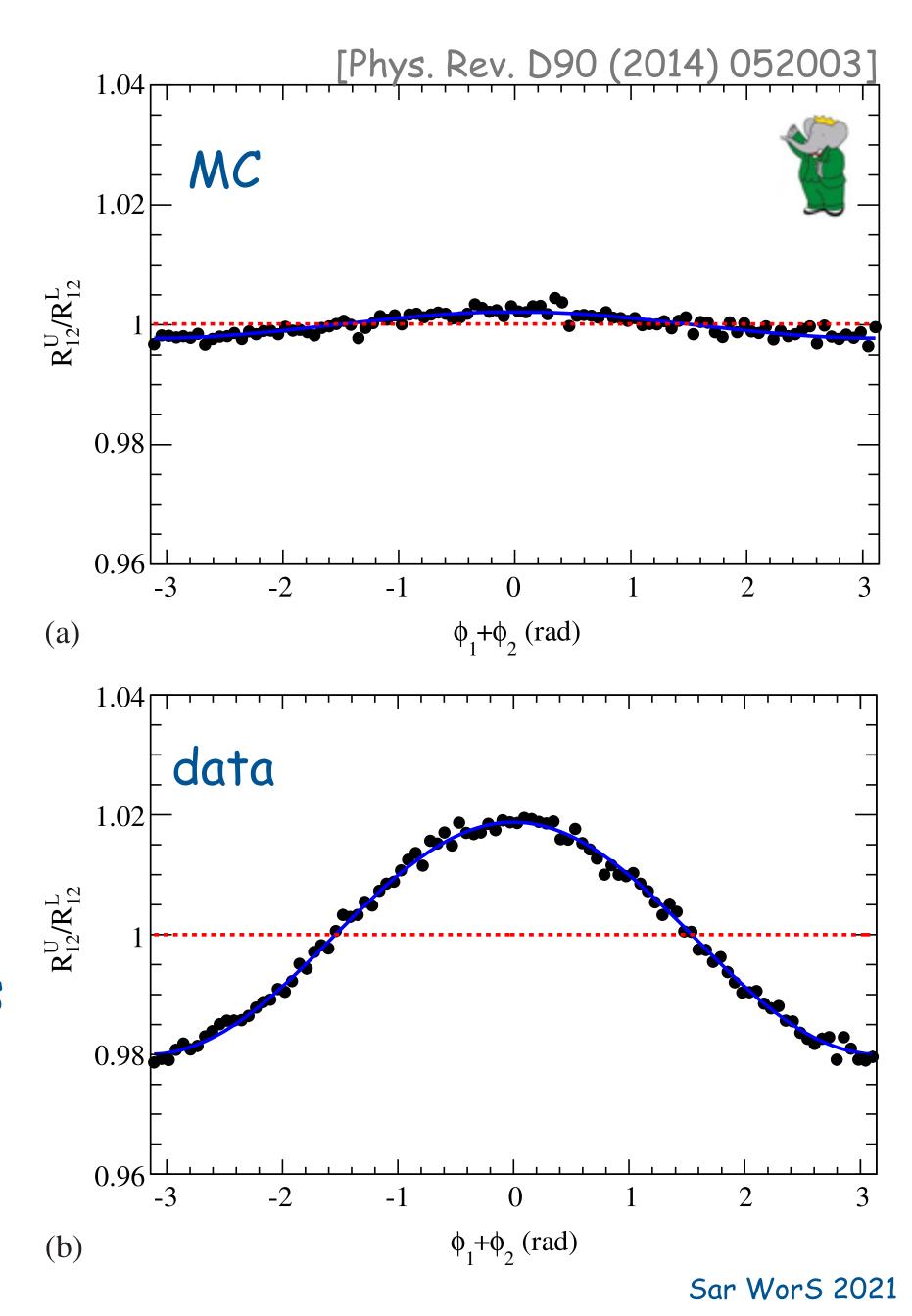
- suppresses flavor-independent sources of modulations
- $G^{U/L}$ : specific combinations of FFs
- remaining MC asymmetries **systematics**

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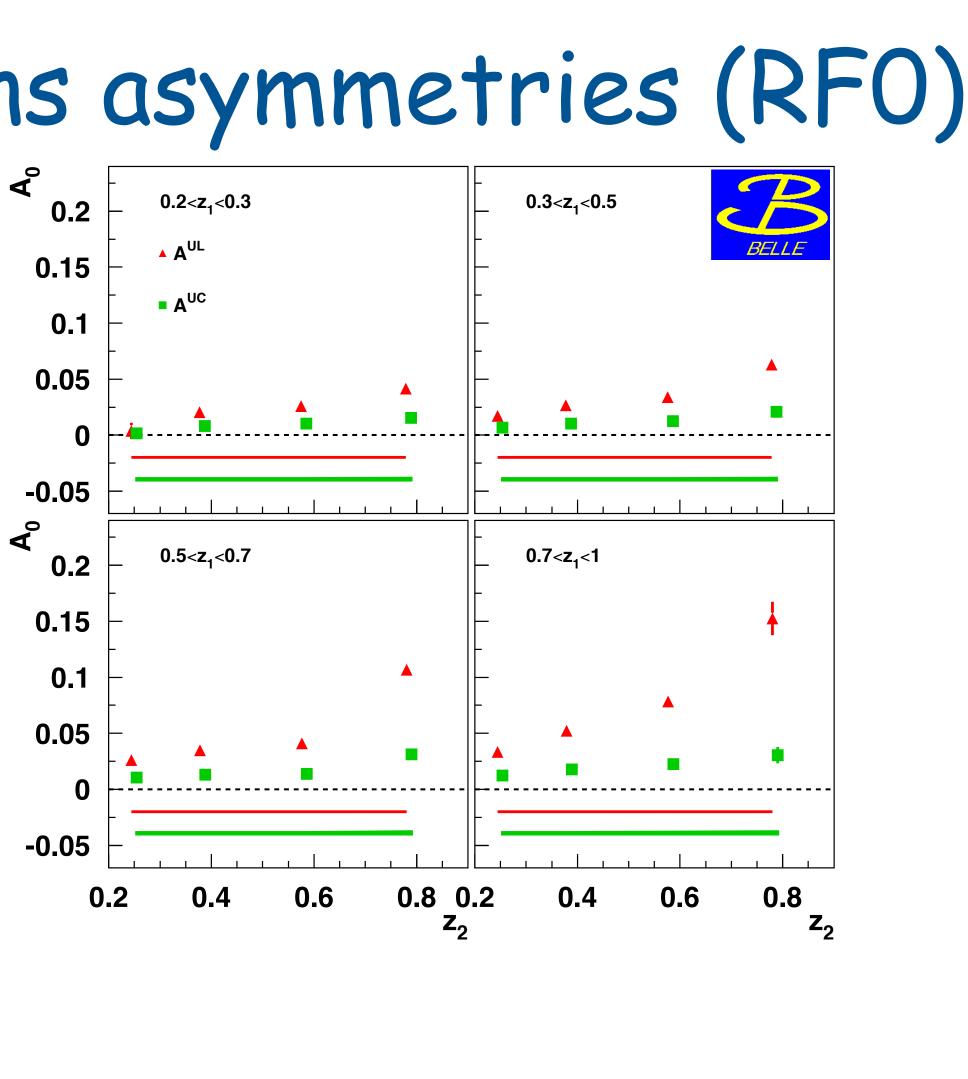


 $(\phi_1 + \phi_2)$ 



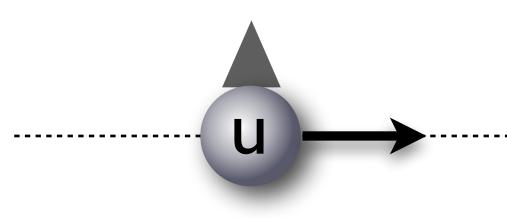
- first measurement of Collins asymmetries by Belle [PRL 96 (2006) 232002, PRD 78 (2008) 032011, PRD 86 (2012) 039905(E)]
  - significant asymmetries rising with z
  - used for first transversity and Collins FF extractions

### Collins asymmetries (RFO)

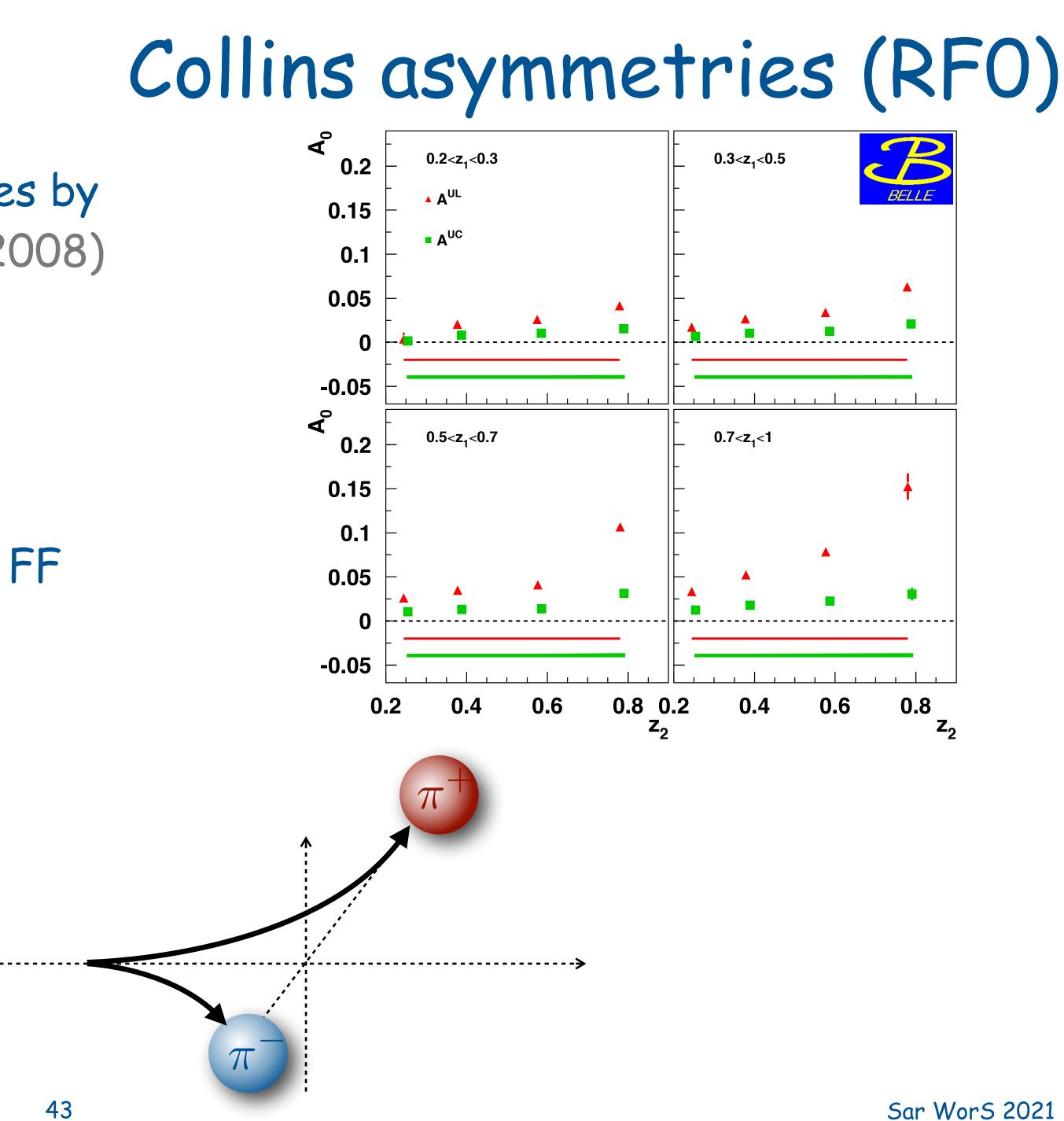


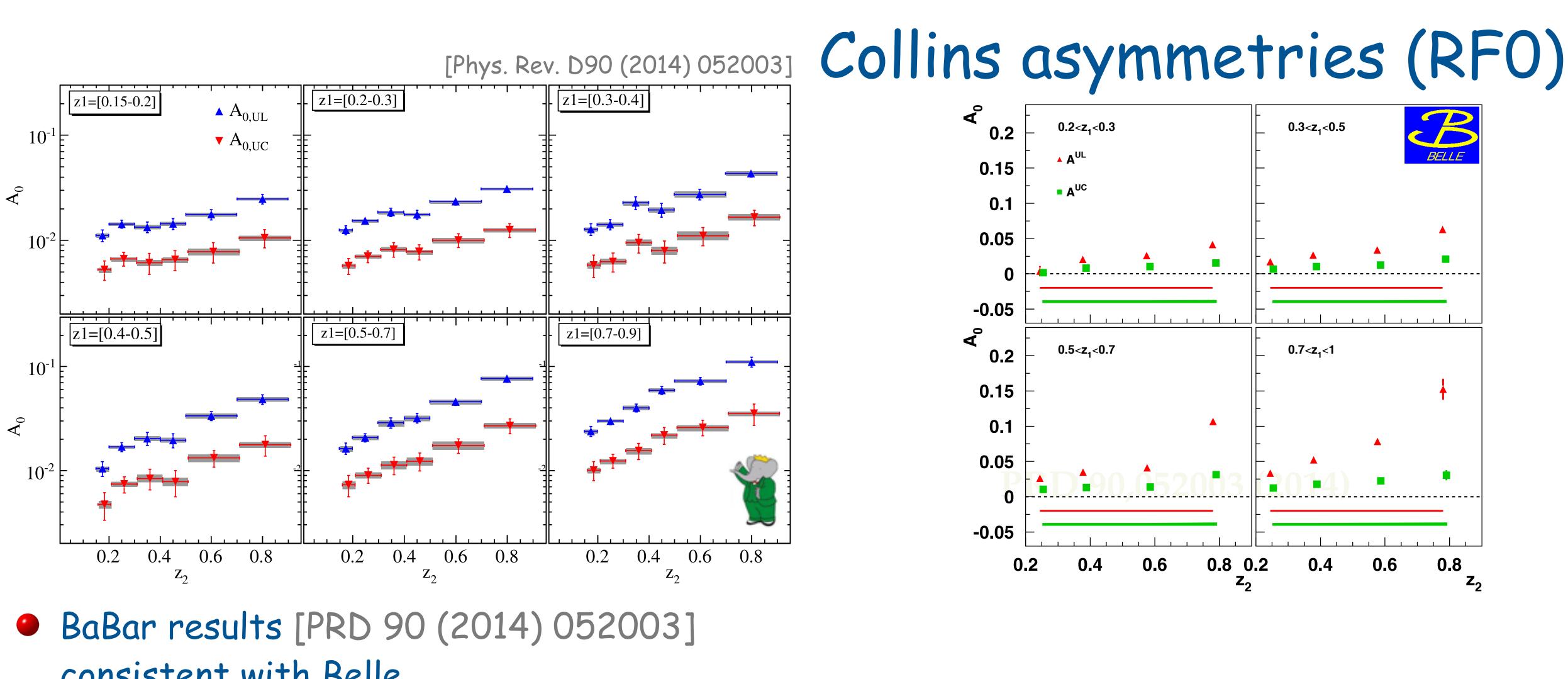
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Gunar Schnell

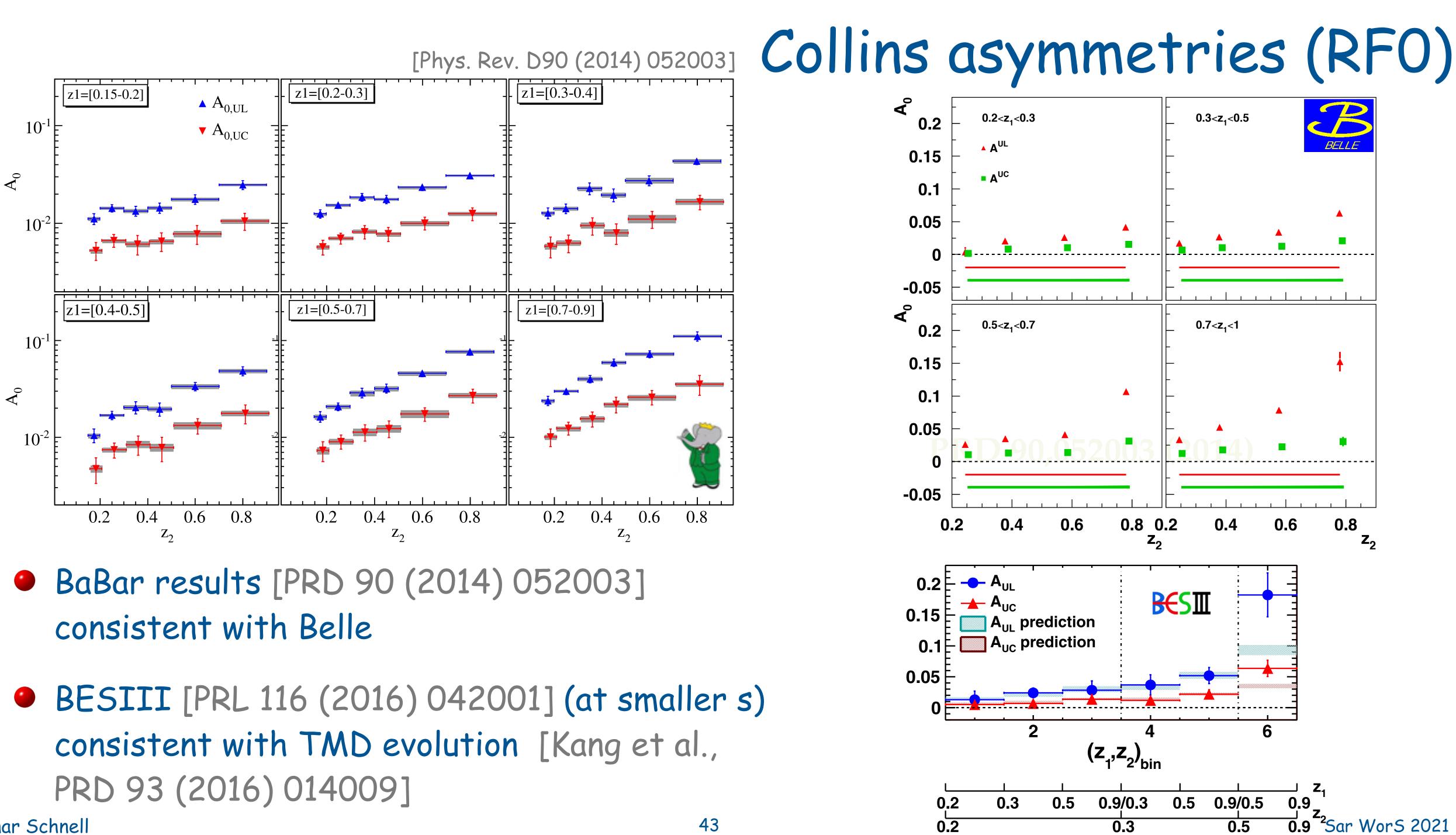




consistent with Belle

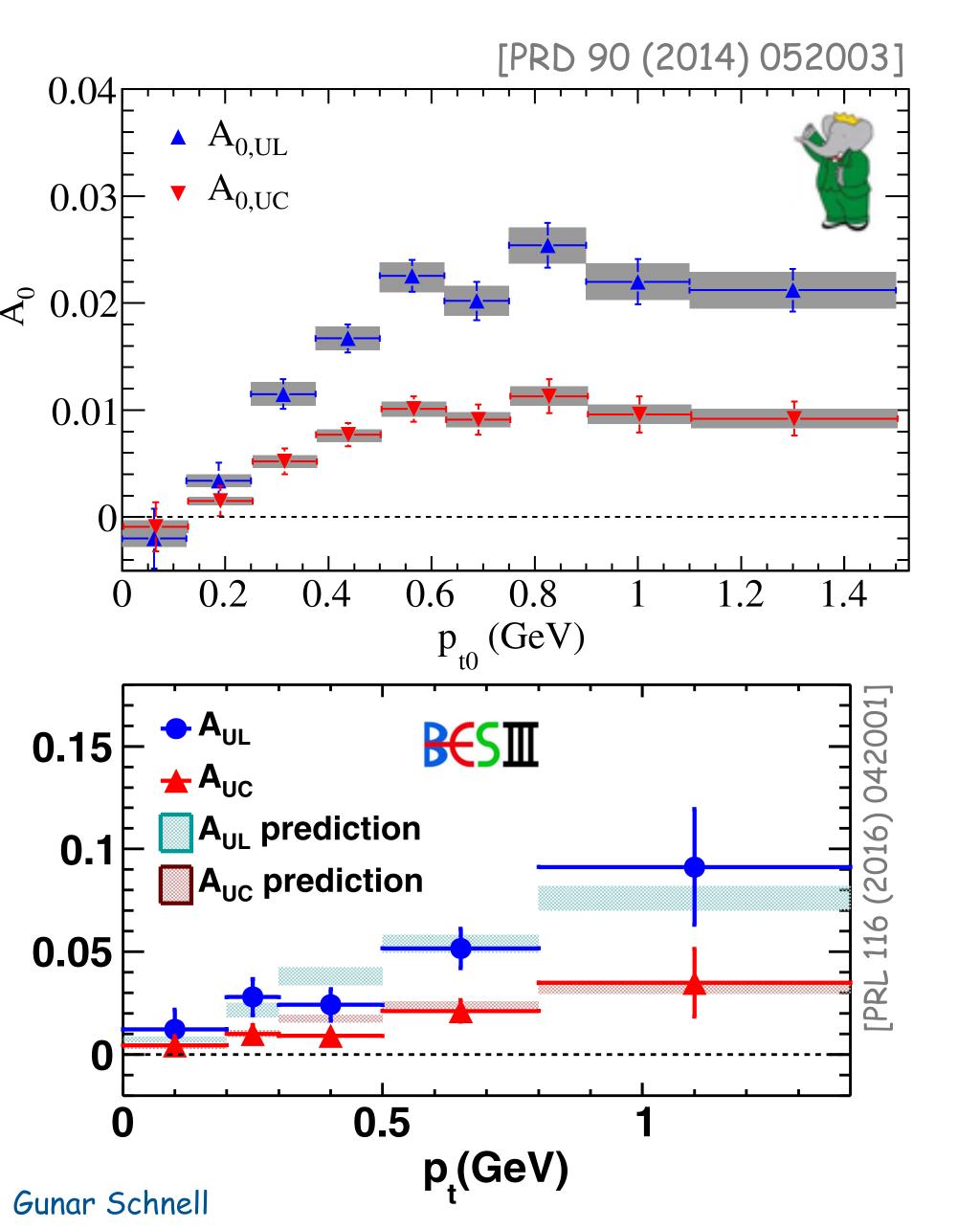
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Gunar Schnell

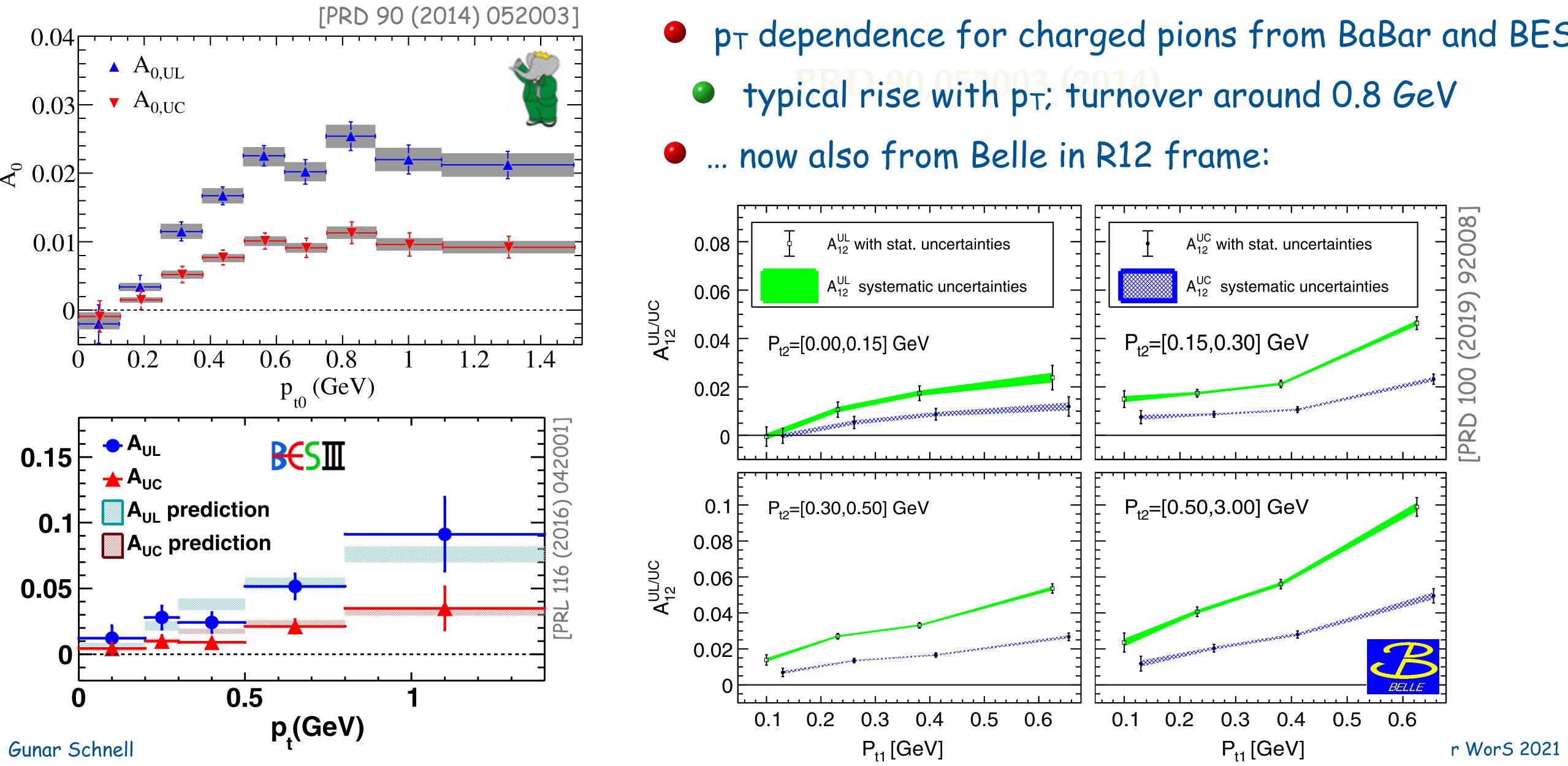
43



p<sub>T</sub> dependence for charged pions from BaBar and BES
 typical rise with p<sub>T</sub>; turnover around 0.8 GeV

arXiv:1507.06824







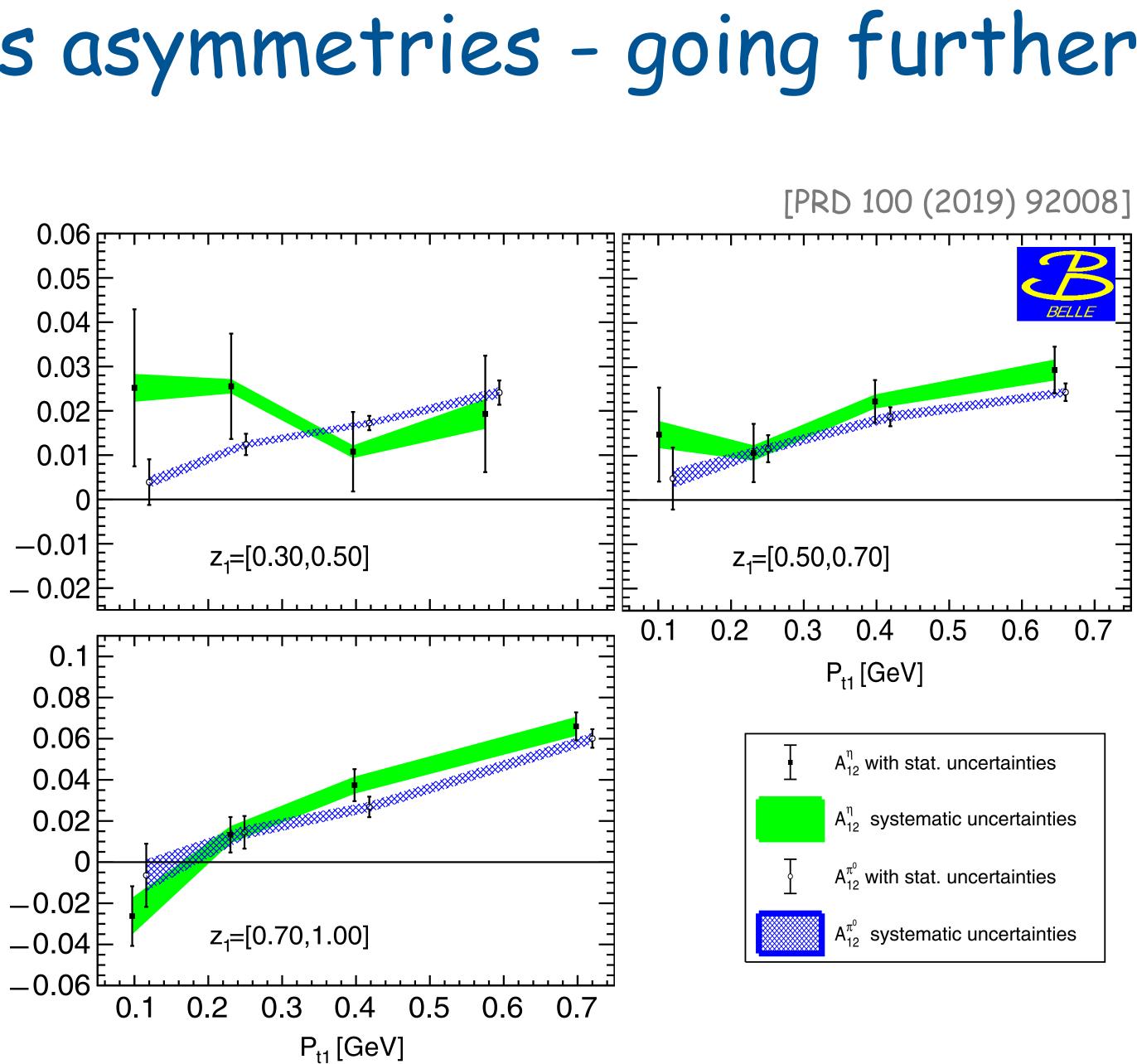


#### • ... as well as for neutral pion and eta

$$R_{12}^{\pi^{0}} = \frac{R_{12}^{0\pm}}{R_{12}^{L}} = \frac{\pi^{0}\pi^{+} + \pi^{0}\pi^{-}}{\pi^{+}\pi^{+} + \pi^{-}\pi^{-}}$$
$$R_{12}^{\eta} = \frac{R_{12}^{\eta\pm}}{R_{12}^{L}} = \frac{\eta\pi^{+} + \eta\pi^{-}}{\pi^{+}\pi^{+} + \pi^{-}\pi^{-}}$$

no significant differences observed

#### Gunar Schnell

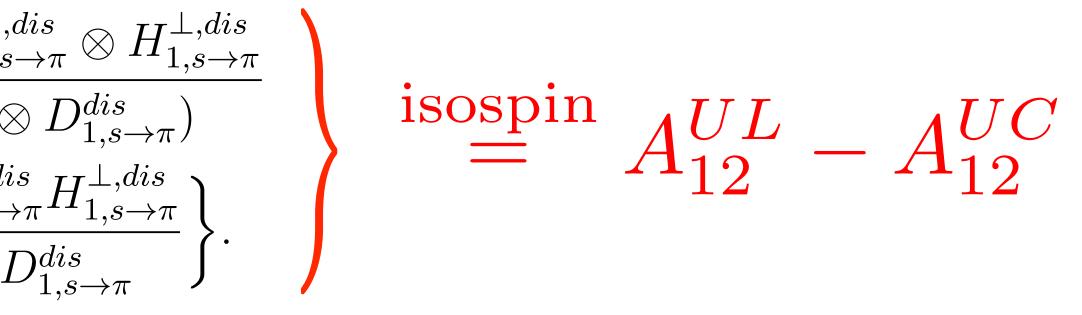


 $A_{12}$ 

 $A_{12}$ 

$$R_{12}^{\pi^{0}} = \frac{R_{12}^{0\pm}}{R_{12}^{L}} \approx 1 + \cos(\phi_{12}) \frac{\sin^{2}(\theta)}{1 + \cos^{2}(\theta)}$$

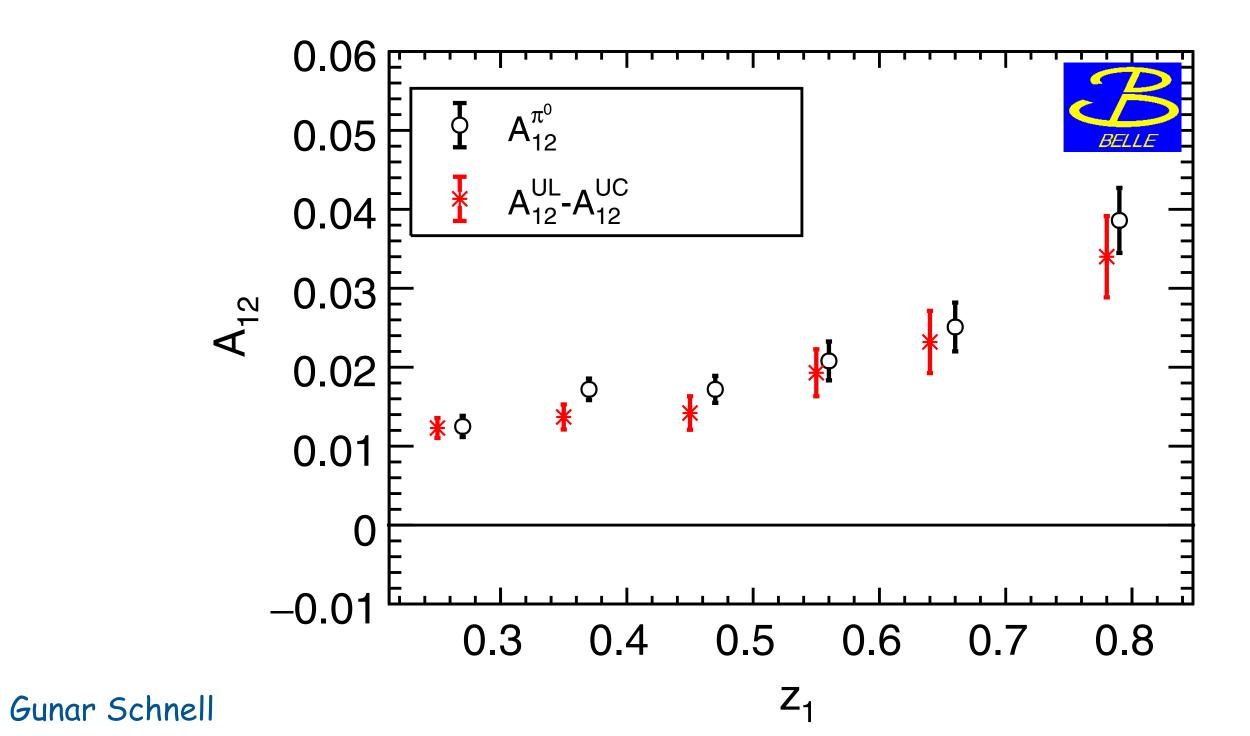
$$\times \left\{ \frac{5(H_{1}^{\perp,fav} + H_{1}^{\perp,dis}) \otimes (H_{1}^{\perp,fav} + H_{1}^{\perp,dis}) + 4H_{1,s-}^{\perp,dis}}{5(D_{1}^{fav} + D_{1}^{dis}) \otimes (D_{1}^{fav} + D_{1}^{dis}) + 4D_{1,s\to\pi}^{dis} \otimes H_{1,s\to\pi}^{\perp,dis}} - \frac{5(H_{1}^{\perp,fav} \otimes H_{1}^{\perp,dis} + H_{1}^{\perp,dis} \otimes H_{1}^{\perp,fav}) + 2H_{1,s\to\pi}^{\perp,dis}}{5(D_{1}^{fav} \otimes D_{1}^{dis} + D_{1}^{dis} \otimes D_{1}^{fav}) + 2D_{1,s\to\pi}^{dis} \otimes D_{1}^{dis}} \otimes D_{1}^{fav}} \right\}$$

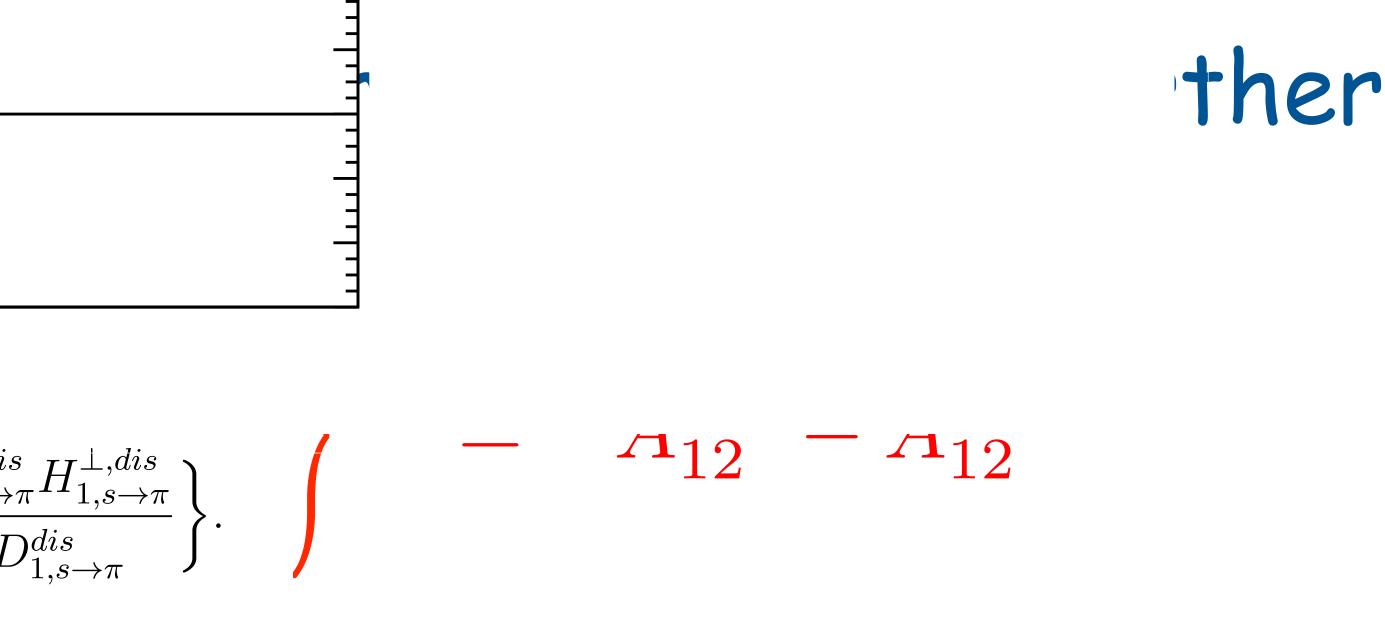






$$R_{12}^{\pi^{0}} = \frac{R_{12}^{0\pm}}{R_{12}^{L}} \approx 1 + \cos \left\{ \frac{5(H_{1}^{\perp,fav} + H_{1})}{5(D_{1}^{fav} + I_{1})} - \frac{5(H_{1}^{\perp,fav} \otimes H_{1}^{\perp,dis} + H_{1}^{\perp,dis} \otimes H_{1}^{\perp,fav}) + 2H_{1,s \to \pi}^{\perp,dis}}{5(D_{1}^{fav} \otimes D_{1}^{dis} + D_{1}^{dis} \otimes D_{1}^{fav}) + 2D_{1,s \to \pi}^{dis} \otimes D_{1}^{dis}} \otimes D_{1}^{fav}} \right\}$$



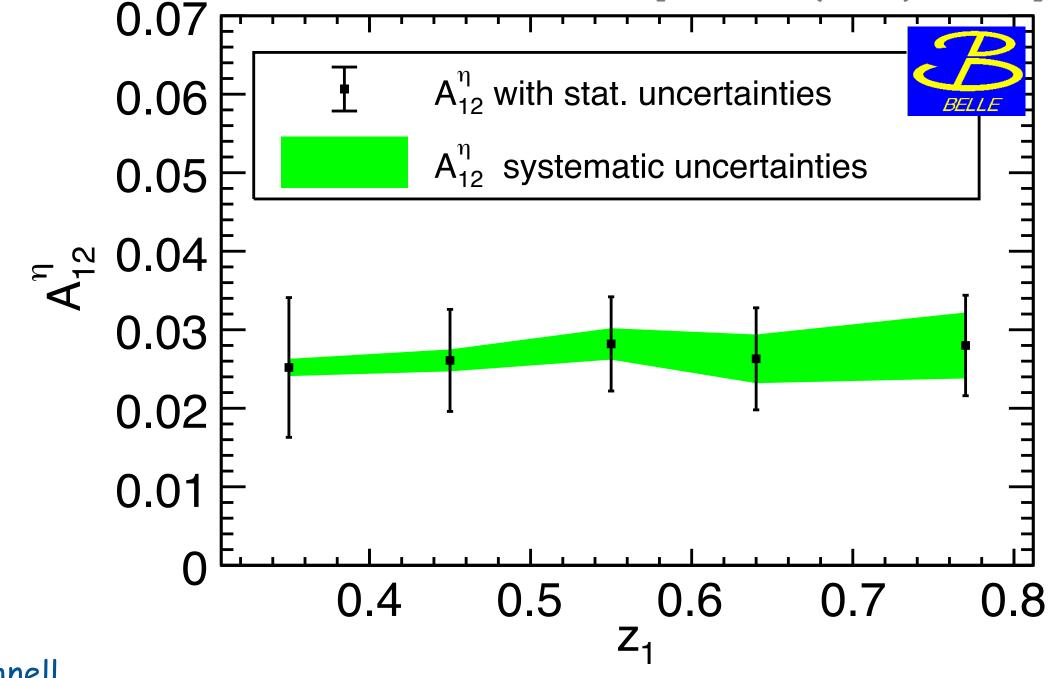


- consistency between neutral and charged pions
  - typical rise with z also seen for neutral pions

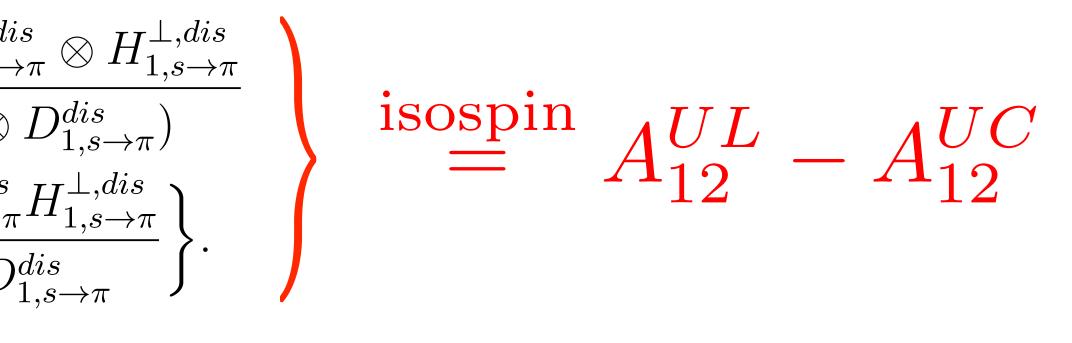
$$R_{12}^{\pi^{0}} = \frac{R_{12}^{0\pm}}{R_{12}^{L}} \approx 1 + \cos(\phi_{12}) \frac{\sin^{2}(\theta)}{1 + \cos^{2}(\theta)}$$

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#### [PRD 100 (2019) 92008]



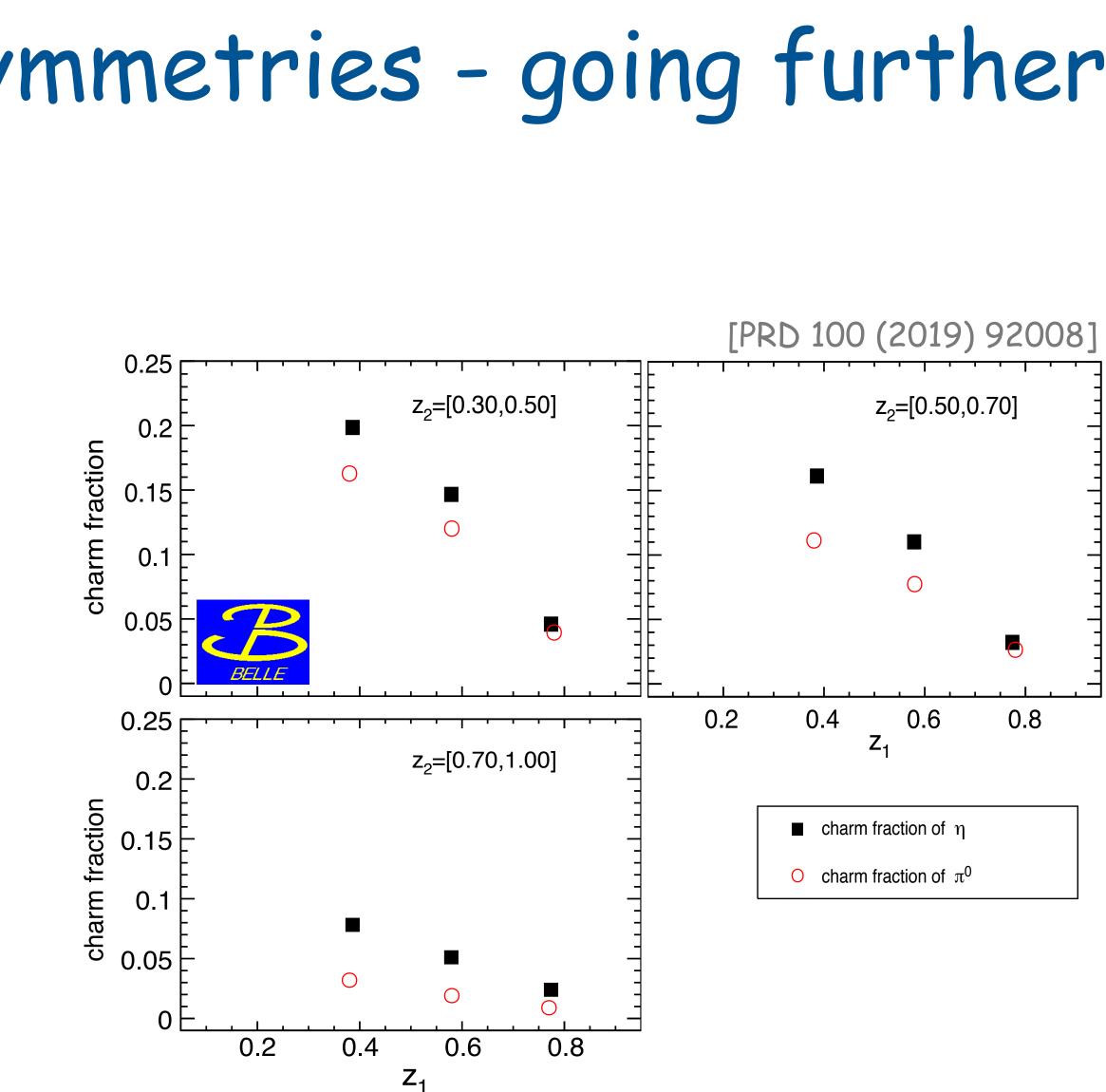
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- consistency between neutral and charged pions
  - typical rise with z also seen for neutral pions
  - ... while basically flat for eta



- qualitative changes in 2019 Belle analysis w.r.t. previous Belle analyses:
  - no correction to qq axis;
  - upper limit on opening angle imposed
  - no correction for charm contribution; provide charm fraction





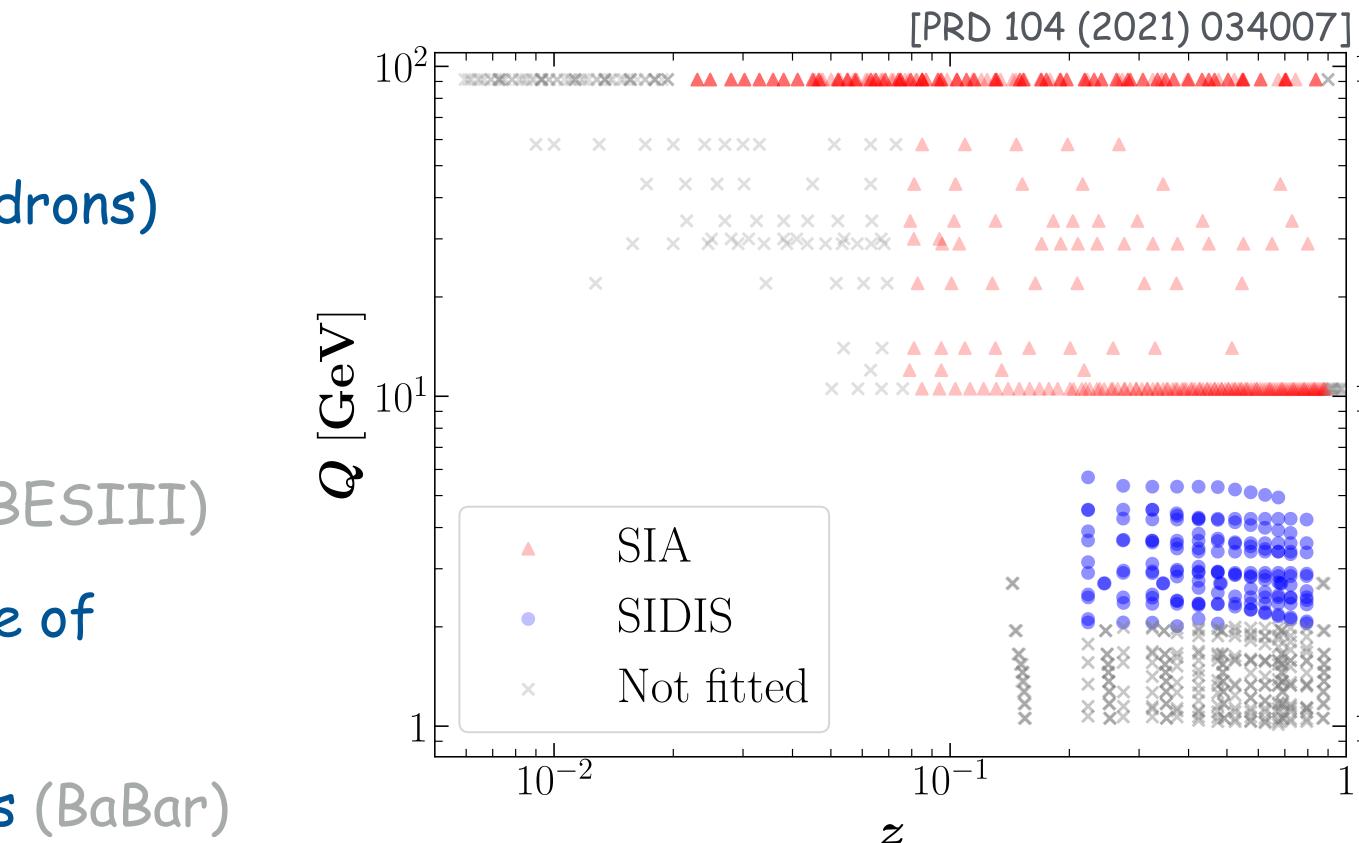
- k\_-dependent D1 FFs (back-to-back hadrons) (Belle, possibly BESIII & BaBar)
- Collins asymmetries:
  - pion update w/ increased statistics (BESIII) **(**)
  - kaon & pion-kaon pairs; k<sub>T</sub> dependence of Collins asymmetries (Belle, BESIII)
  - Collins asymmetries w/o double ratios (BaBar)
- single-hadron production
  - Iower-s data (BESIII)

#### the future



- k\_-dependent D1 FFs (back-to-back hadrons) (Belle, possibly BESIII & BaBar)
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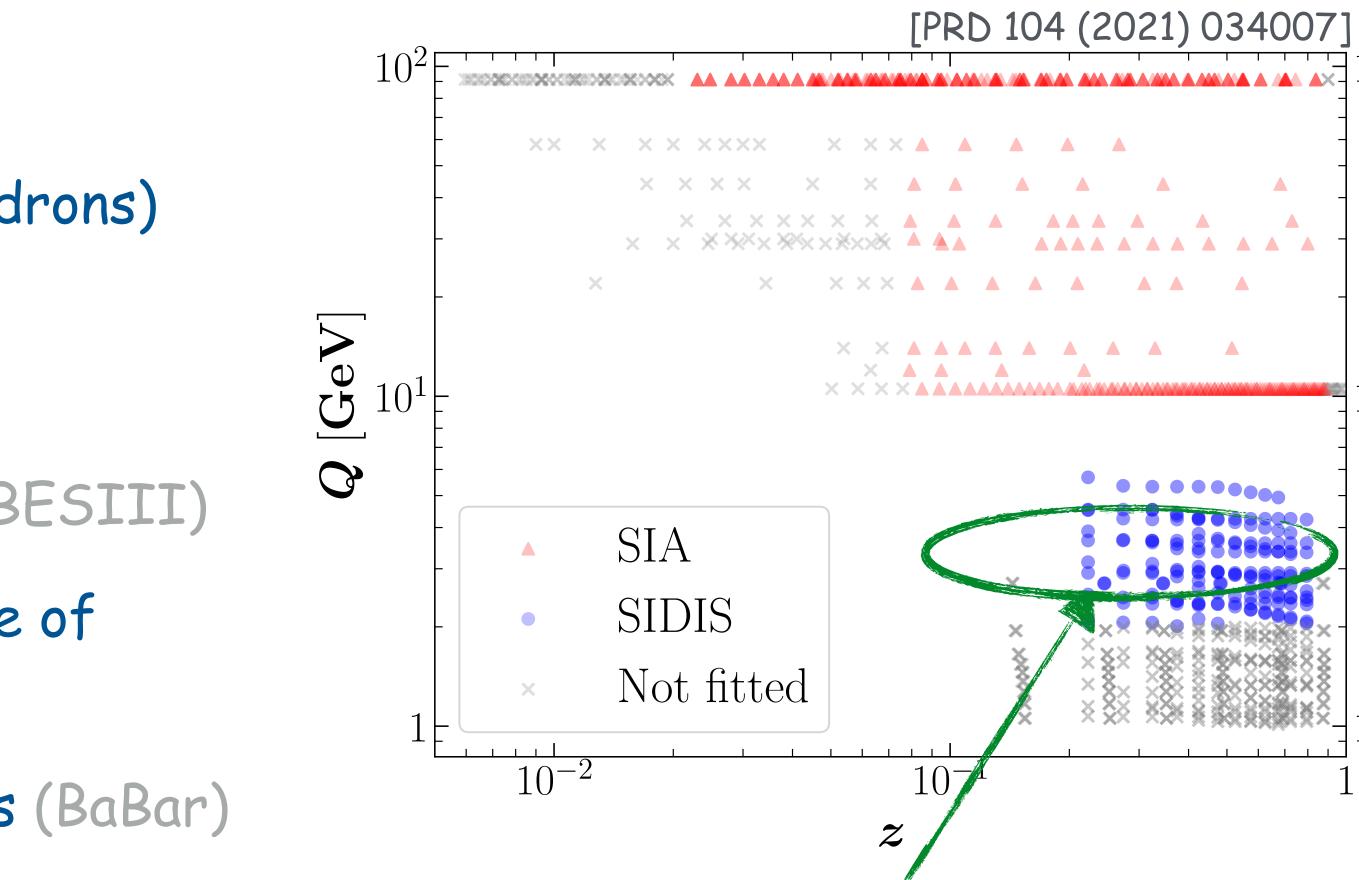
# the future



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### the future



#### **BESIII** region

~62pb<sup>-1</sup> @3.52 GeV used for Collins asym's aim at 250pb<sup>-1</sup> data set



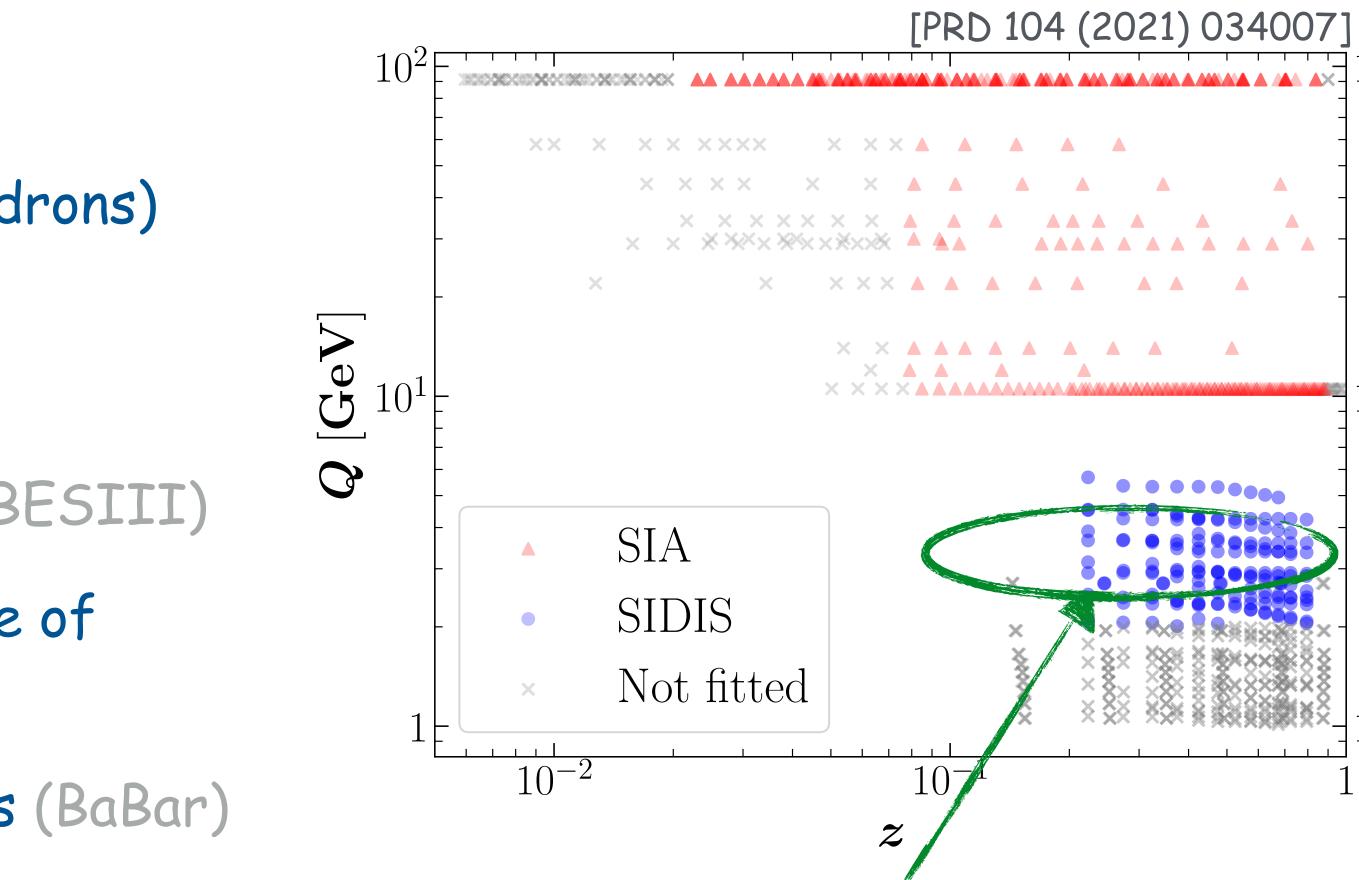




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  - Collins asymmetries w/o double ratios (BaBar)
- single-hadron production
  - Iower-s data (BESIII)
- new data from Belle II

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### the future



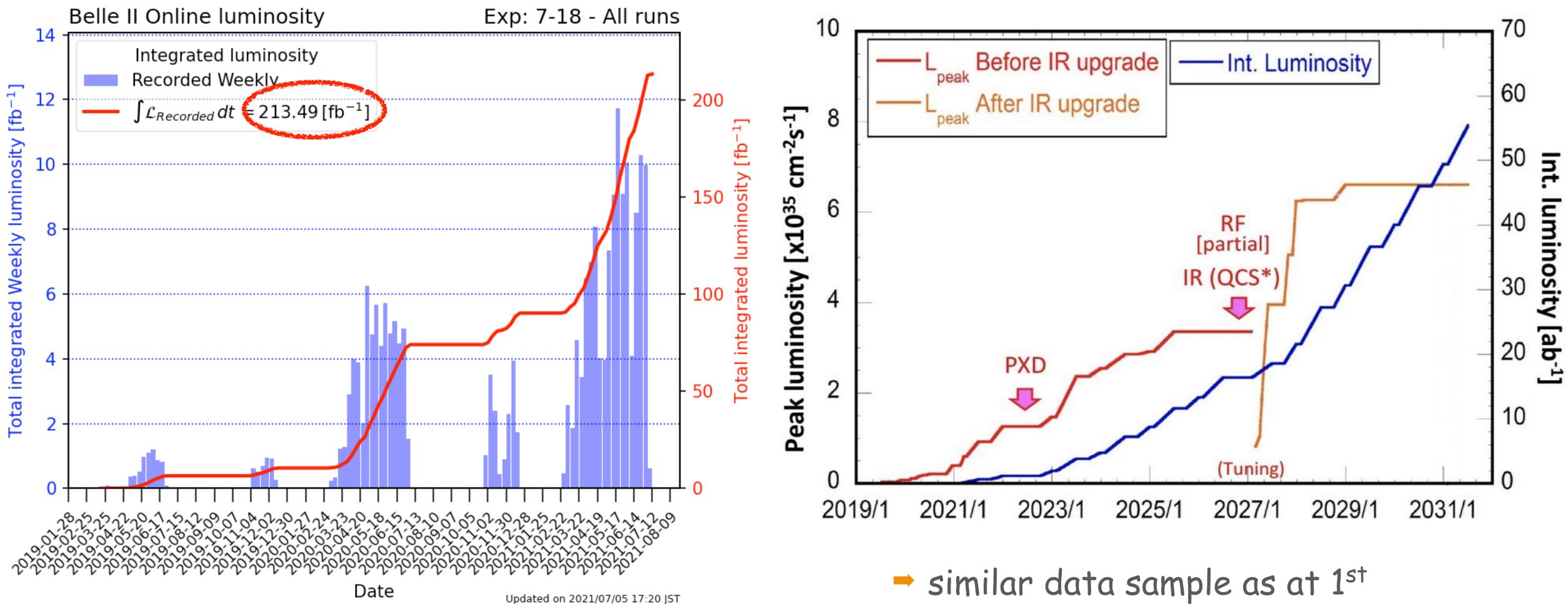
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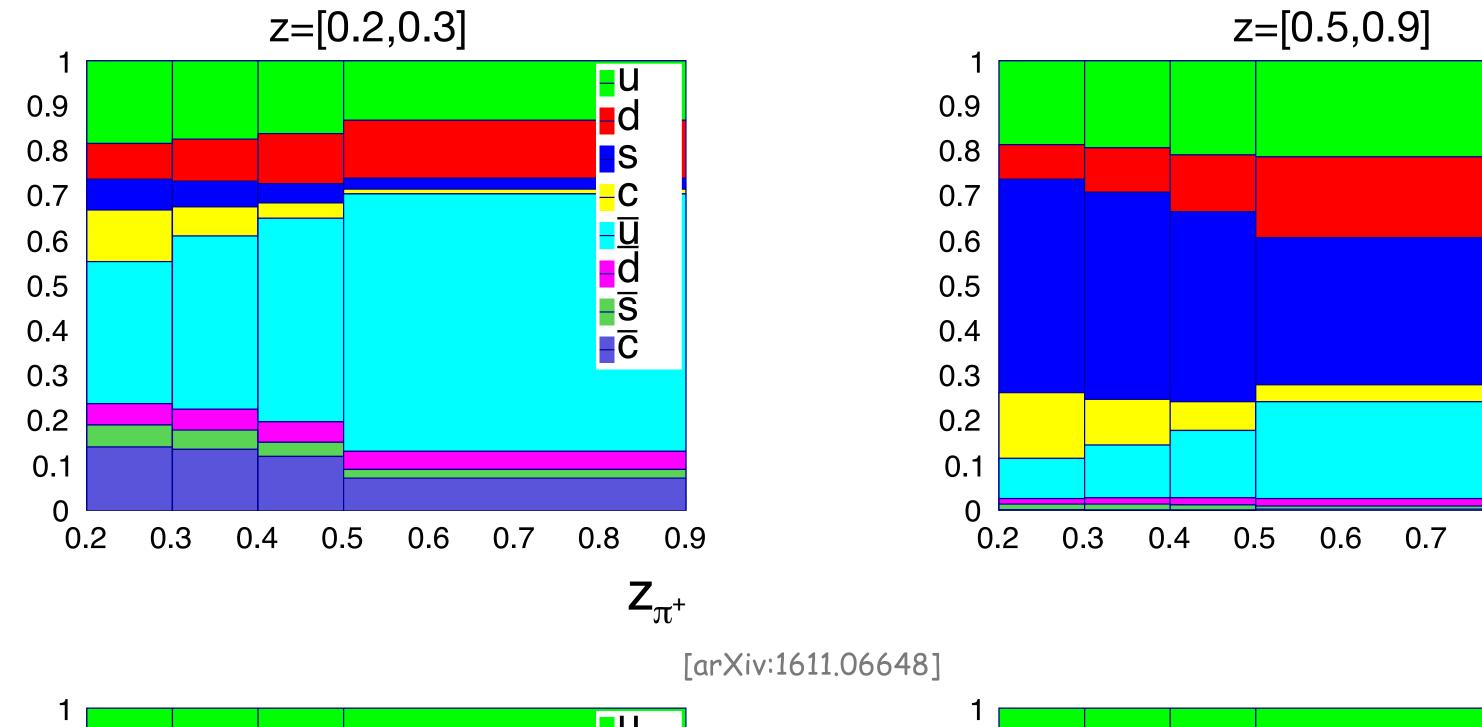
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### the future

similar data sample as at 1<sup>st</sup> generation B-factories by 2022

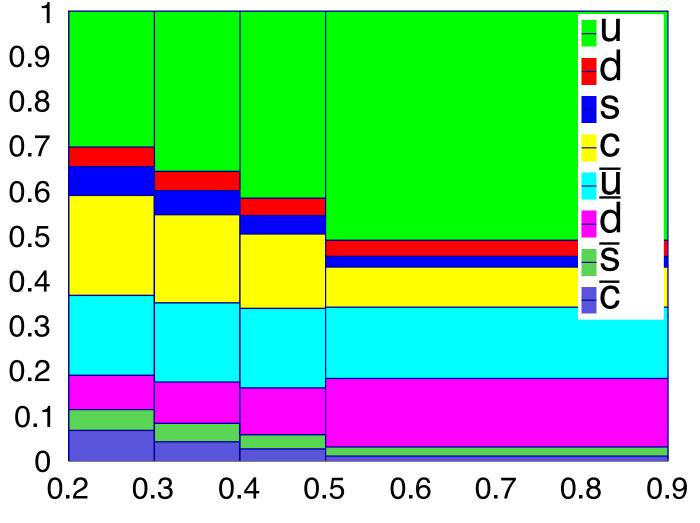


### quark-flavor contributions to Lambda prod.

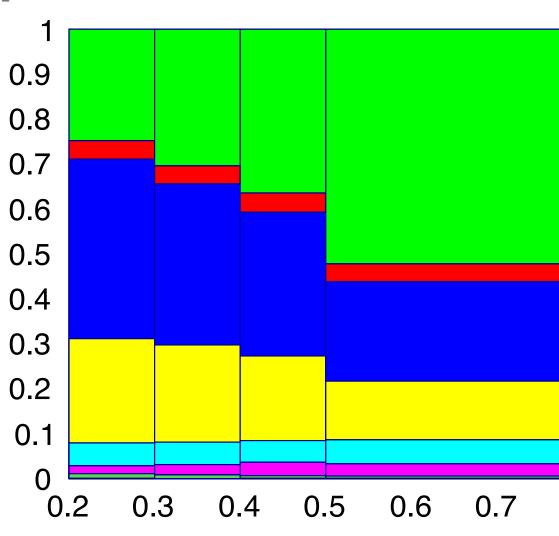


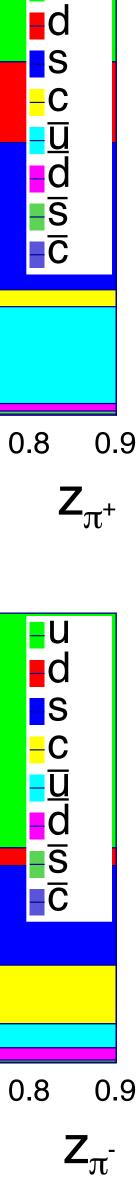
 $Z_{\pi}$ -

#### flavor tagging through opposite-hemisphere hadrons



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