

Rare K Decays

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The Goal

- find New Physics (**NP**)
- understand the NP

Kaon FCNC
processes



suppressed within SM

sensitive to NP

○ $K_L \rightarrow \pi^0 e^+ e^-$

$K_L \rightarrow \pi^0 \mu^+ \mu^-$

$\sim 40\% \text{ SD}$

How precise?

Are long distance effects under control?

○ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

$K_L \rightarrow \pi^0 \nu \bar{\nu}$

$\sim 95\% \text{ SD}$

What can rare K decays tell us about NP?

General SM Structure

FCNC
processes

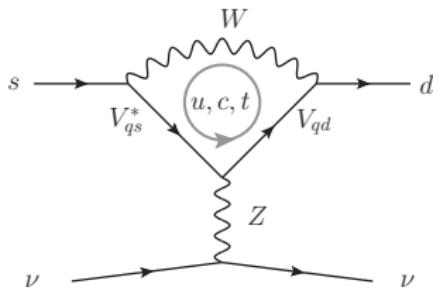
loop-induced within
SM

$K \rightarrow \pi \nu \bar{\nu}$
in the parton
picture

u,c,t-Loop

CKM-Factors

non-perturbative
parts



- charm-loop $\propto \frac{m_c^2}{M_W^2}$
- top-loop $\propto \frac{m_t^2}{M_W^2}$

quadratic suppression
of lower scales

Hard Quadratic GIM

General SM Structure

FCNC
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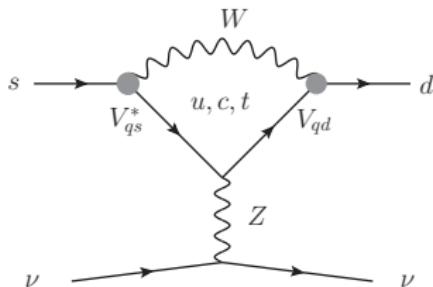
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- $\mathcal{A}_{q\text{-contrib.}} \propto V_{qs}^* V_{qd} \equiv \lambda_q$
- $\lambda_u + \lambda_c + \lambda_t = 0$
- parametrised by $\lambda \approx \sin \theta_{\text{Cabibbo}}$

CPC : $\lambda_t \sim \lambda^5$ $\lambda_c \sim \lambda$

\mathcal{CP} : $\text{Im} \lambda_t \sim \lambda^5$ $\text{Im} \lambda_c \sim \lambda^5$

General SM Structure

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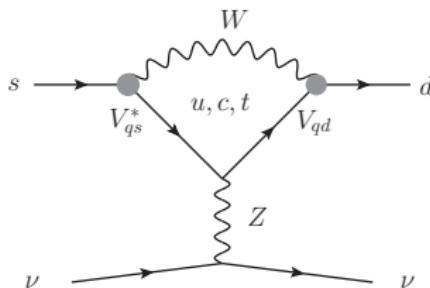
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u,c,t-Loop

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GIM mechanism
+
CKM structure

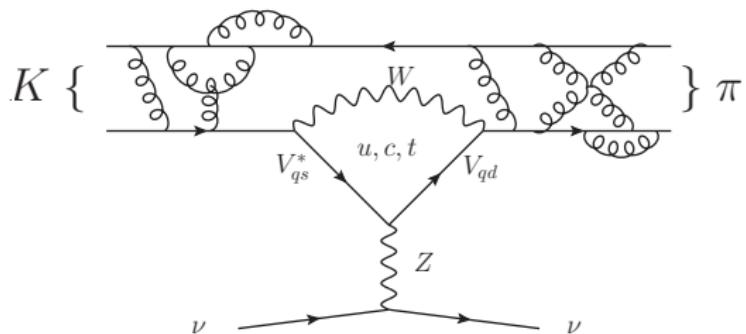
\implies charm pollution

General SM Structure

FCNC
processes

loop-induced within
SM

the involved
reality



u, c, t -Loop

CKM-Factors

non-perturbative
parts

Separate scales
(OPE)

- Lattice calculations
- Chiral perturbation theory (χ PT)
- Data, which should not be sensitive to SD

Master-Amplitude in SM

$$\mathcal{A}_{\text{decay}} = \sum_i \langle Q_i \rangle \ V_{\text{CKM}}^i \ F_i(\text{high energy parameters})$$

valid for
B,D,K -Decays

F_i : Loop Functions (SD) : different in BSM

V_{CKM}^i : CKM factors : change in MFV

$\langle Q_i \rangle$: Matrix elements of operators (LD)

Additional operators can be generated by NP

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Motivations

Master-Amplitude in SM

$$\mathcal{A}_{\text{decay}} = \sum_i \langle Q_i \rangle \ V_{\text{CKM}}^i \ F_i(\text{high energy parameters})$$

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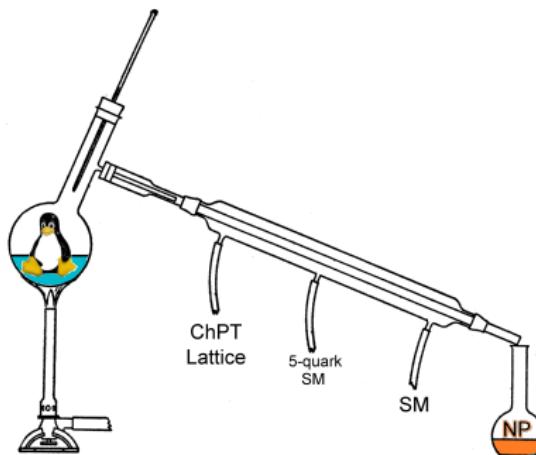
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Master-Amplitude in SM

$$\mathcal{A}_{\text{decay}} = \sum_i \langle Q_i \rangle \ V_{\text{CKM}}^i \ F_i(\text{high energy parameters})$$

valid for
B,D,K -Decays

NP may hide everywhere



tower of **EFTs**
essential for the NP
distillation

Motivations for K-Physics

Master-Amplitude

$$\mathcal{A}_{\text{decay}} = \sum_i \langle Q_i \rangle \ V_{\text{CKM}}^i \ F_i$$

F_i :

B-Physics: $b \rightarrow s \quad \propto \lambda^2$
 $b \rightarrow d \quad \propto \lambda^3$

K-Physics: $s \rightarrow d \quad \propto \lambda^5 \quad (\text{top})$

Extreme Suppression



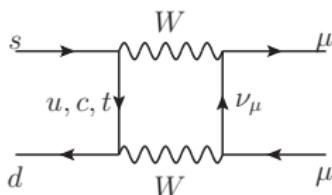
High Sensitivity to
deviations from MFV

1. Contribution :

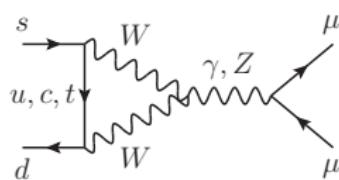
direct \mathcal{CP}

DCPV

$$\mathcal{H}_{\text{eff}}^{V,A} = -\frac{G_F \alpha}{\sqrt{2}} \lambda_t \left[y_{7V} (\bar{s}\gamma_\mu d)(\bar{l}\gamma^\mu l) + y_{7A} (\bar{s}\gamma_\mu d)(\bar{l}\gamma^\mu \gamma_5 l) \right] + h.c.$$

 y_{7V}, y_{7A} 

- effective coupling for vector- and axial-vector operators
- perturbative calculation
- + γ -Penguin \rightarrow logarithmic GIM



\rightarrow NLO QCD (Buras, Lautenbacher, Misiak, Münz 94)
 $\approx \pm 5\%$ uncertainty

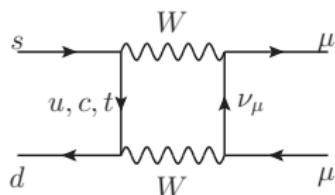
1. Contribution :

direct CP

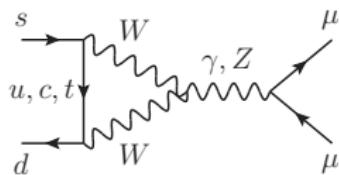
DCPV

$$\mathcal{H}_{\text{eff}}^{V,A} = -\frac{G_F \alpha}{\sqrt{2}} \lambda_t \left[y_{7V} (\bar{s}\gamma_\mu d)(\bar{l}\gamma^\mu l) + y_{7A} (\bar{s}\gamma_\mu d)(\bar{l}\gamma^\mu \gamma_5 l) \right] + h.c.$$

Matrix Elements



- $\langle \pi^0 e^+ e^- | Q_A | K^0(P) \rangle$ suppressed by m_e
- extracted from $K \rightarrow \pi l \nu$ (K_{l3}) decays including isospin breaking effects



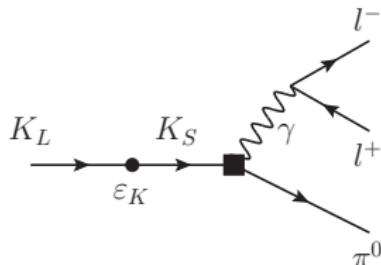
few % uncertainty

(Mescia, Smith 07)

2. Contribution :

indirect \mathcal{CP}

ICPV



- extract with χPT
- $K_L \rightarrow \varepsilon_K K_1 [\rightarrow \pi^0 l^+ l^-]$
- 2 relevant parameters
 - ε_K (see talk by J. Brod)
 - $|a_S|$ (main theory error, only absolute value known from $K_L \rightarrow l^+ l^-$)

≈ 20% uncertainty

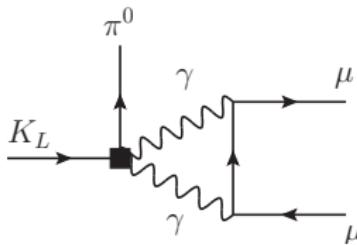
(Buchalla, D'Ambrosio, Isidori 03; Friot, Greynat, De Rafael 04)

- possible to extract sign from lepton asymmetry
 - (Mescia, Smith, Trine 06)

3. Contribution :

CP conserving

CPC



- NOT divergent as in $K_L \rightarrow \mu^+ \mu^-$
- NO amplitude saturation
- helicity suppressed (0 for e -mode)
- 30% uncertainty

(Isidori, Smith, Unterdorfer 04)

DCPV : ICPV : CPC compete

$$\begin{aligned}\text{Br}^{theo}(K_L \rightarrow \pi^0 e^+ e^-) &= 3.54_{-0.85}^{+0.98} \quad (1.56_{-0.49}^{+0.62}) \times 10^{-11} \\ \text{Br}^{theo}(K_L \rightarrow \pi^0 \mu^+ \mu^-) &= 1.41_{-0.26}^{+0.28} \quad (0.95_{-0.21}^{+0.22}) \times 10^{-11}\end{aligned}$$

(Mescia, Smith, Trine, 06)

$$\begin{aligned}\text{Br}^{exp}(K_L \rightarrow \pi^0 e^+ e^-) &< 2.8 \times 10^{-10} \quad [\text{KTeV } 04] \\ \text{Br}^{exp}(K_L \rightarrow \pi^0 \mu^+ \mu^-) &< 3.8 \times 10^{-10} \quad [\text{KTeV } 04]\end{aligned}$$

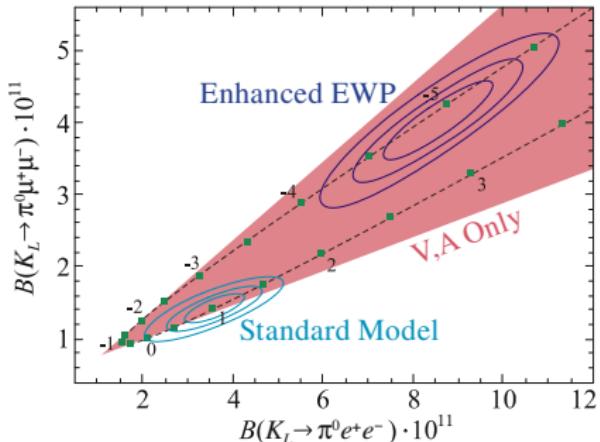
What can we do with $K_L \rightarrow \pi^0 l^+ l^-$?

$\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)/\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)$ -plane

ideal for discriminating NP models

(Isidori, Smith, Unterdorfer 04; Mescia, Smith,Trine 06)

Model Independent Analysis



1. Scenario

- NP changes only y_{7A}, y_{7V} (SD Wilson Coefficients)
- No new operators
- $e^+ e^- VS \mu^+ \mu^-$
→ different phase-space
→ $K_L \rightarrow \gamma\gamma$ contribution

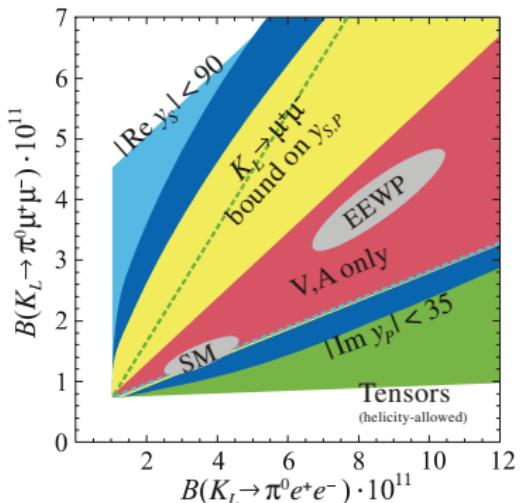
(Mescia, Smith,Trine 06)

$\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)/\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)$ -plane

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Model Independent Analysis



2. Scenario

- New Operators generated
- Scalar- Pseudoscalar Operators
 - $(\bar{s}d)(\bar{l}l)$
 - $(\bar{s}d)(\bar{l}\gamma_5 l)$
- Tensor- Pseudotensor- Operators
 - $(\bar{s}\sigma_{\mu\nu}d)(\bar{l}\sigma^{\mu\nu}l)$
 - $(\bar{s}\sigma_{\mu\nu}d)(\bar{l}\sigma^{\mu\nu}\gamma_5 l)$
- With & Without Helicity Suppression

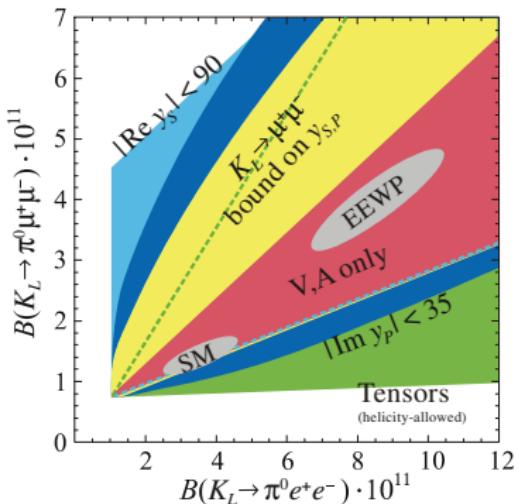
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$\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)/\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)$ -plane

ideal for discriminating NP models

(Isidori, Smith, Unterdorfer 04; Mescia, Smith, Trine 06)

Model Independent Analysis



(Mescia, Smith, Trine 06)

2. Scenario

Pick your favourite Model:

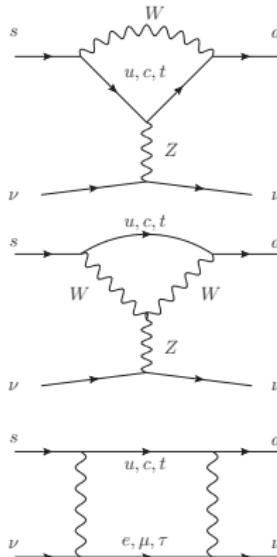
- MSSM large $\tan \beta$
- SUSY without R -parity
- leptoquarks
- ...

disentangled on the

$\mathcal{B}(K_L \rightarrow \pi^0 \mu^+ \mu^-)/\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)$

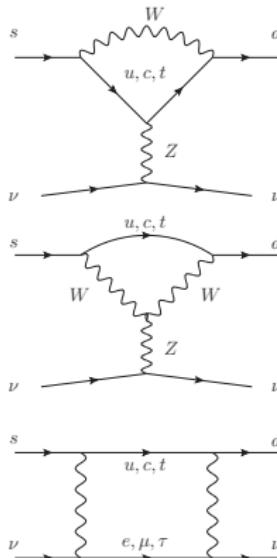
$$K \rightarrow \pi \nu \bar{\nu}$$

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \theta_W} \sum_{q=u,c,t} \lambda_q X(x_q) (\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_L \gamma^\mu \nu_L) + h.c.$$



- 2 modes:
 - $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ some charm pollution
 - $K_L \rightarrow \pi^0 \nu \bar{\nu}$ only top contribution
- one dominant dim-6 operator
- no γ Penguin
- no $\gamma\gamma$ contribution

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \theta_W} \sum_{q=u,c,t} \lambda_q X(x_q) \left[(\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_l L \gamma^\mu \nu_l L) \right] + h.c.$$



Matrix Elements

- extracted from K_{l3} decays
- isospin breaking effects included
(Mescia, Smith 07)
- κ_ν^+ and κ_ν^L
- only 8% and 21% of total theory error!

NLO perturbative calculations relevant

Why EW corrections on X_t ?

$$\mathcal{H}_{\text{eff}} = \frac{2}{\pi\sqrt{2}} G_F \frac{\alpha}{\sin^2 \theta_W} \lambda_t X_t \left(\frac{m_t^2}{M_W^2} \right) + \dots \text{charm...} + h.c.$$

$$X_t = \underbrace{X^{(0)}}_{\text{LO}} + \underbrace{\frac{\alpha_s}{4\pi} X^{(1)}}_{\text{NLO QCD}} + \underbrace{\frac{\alpha_s^2}{(4\pi)^2} X^{(2)}}_{\text{NNLO QCD}} + \underbrace{\frac{\alpha_s^3}{(4\pi)^3} X^{(3)}}_{\text{NNNLO QCD}} + \dots$$

LO EW parameters still NOT fixed

- ambiguity from EW renormalisation scheme (on-shell VS \overline{MS})

$$X_t = \underbrace{X^{(0)}}_{\text{LO}} + \underbrace{\frac{\alpha}{4\pi} X^{(EW)}}_{\text{NLO EW}} + \dots$$

- only fixed after calculating $X^{(EW)}$

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Table 10.2: Notations used to indicate the various schemes discussed in the text. Each definition of $\sin^2 \theta_W$ leads to values that differ by small factors depending on m_t and M_H . Approximate values are also given for illustration.

o ambi

Scheme	Notation	Value
On-shell	s_W^2	0.2233
NOV	$s_{M_Z}^2$	0.2311
$\overline{\text{MS}}$	\hat{s}_Z^2	0.2313
$\overline{\text{MS}}$ ND	\hat{s}_{ND}^2	0.2315
Effective angle	\hat{s}_f^2	0.2316

; \overline{MS})o only fixed after calculating $X^{(EW)}$

(PDG 10)

Why EW corrections on X_t ?

$$\mathcal{H}_{\text{eff}} = \frac{2}{\pi\sqrt{2}} G_F \frac{\alpha}{\sin^2 \theta_W} \lambda_t X_t \left(\frac{m_t^2}{M_W^2} \right) + \dots \text{charm...} + h.c.$$

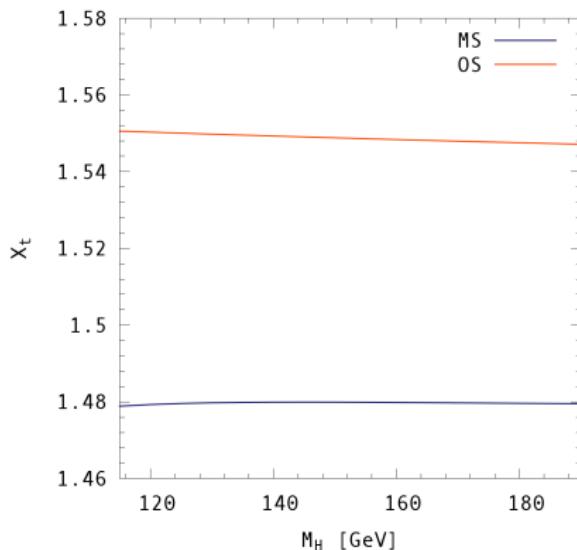
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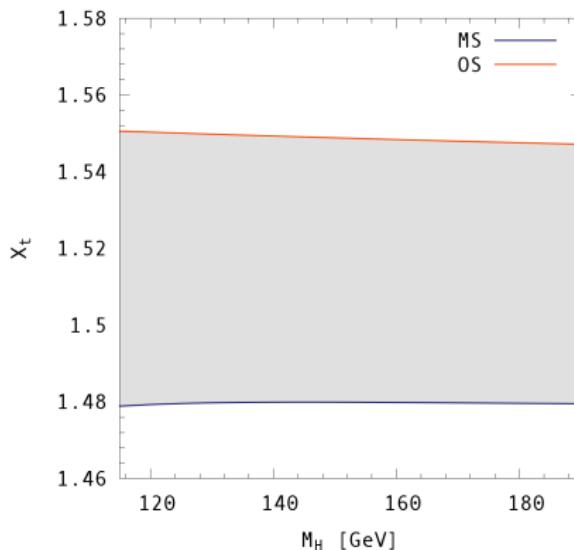
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on-shell

 \overline{MS}

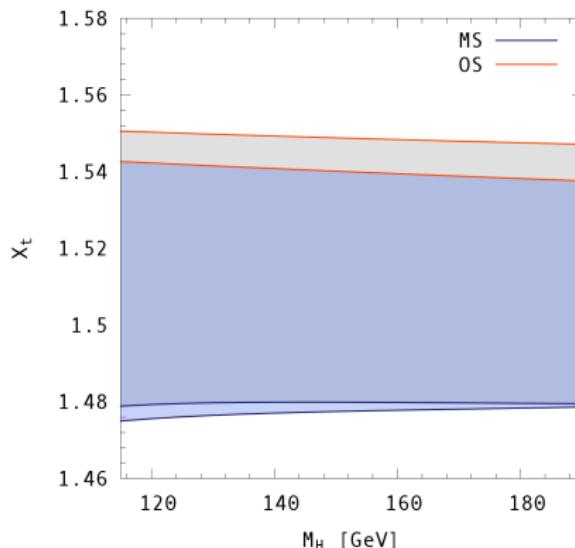
- $X^{(EW)}$ known for large- m_t limit
- large- m_t known to be a bad approximation
(Buchalla, Buras 98)
- $\pm 2\%$ uncertainty in X_t scales to $\pm 4\%$ uncertainty in Branching Ratios



on-shell

 \overline{MS}

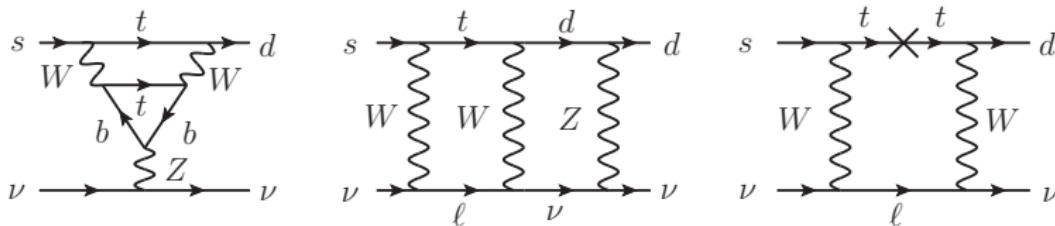
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on-shell

 \overline{MS}

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Calculation

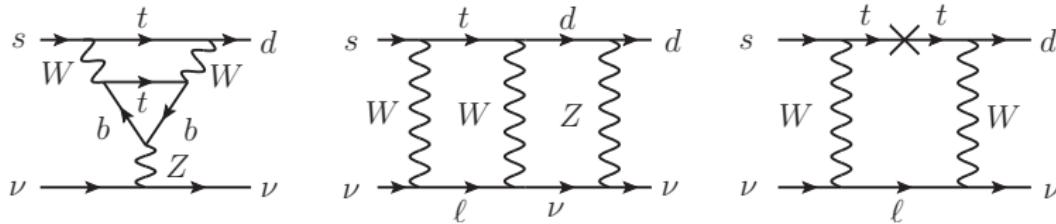
- full two-loop calculation $\mathcal{O}(1000)$ diagrams
- matching on 5-quark effective theory at μ_t
- \overline{MS} renormalisation for calculation

Details

- HV -scheme for diagrams with anomalies

Checks

- 2 independent calculations (FORM, MATHEMATICA)
- reproduced large- m_t limit



Error Estimation

3 schemes

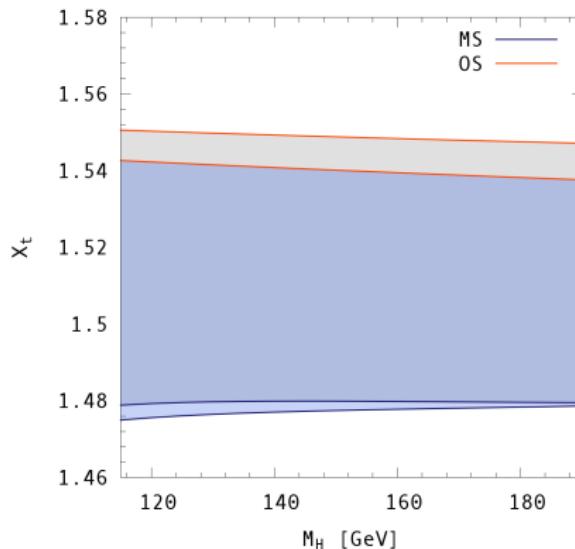
on-shell: G_F, M_Z, M_t, M_H , and α

\overline{MS} : g_1, g_2, v, λ , and y_t

one-loop running and fit for initial conditions

→ introduces residual M_H dependence

mix: on-shell masses, but \overline{MS} couplings

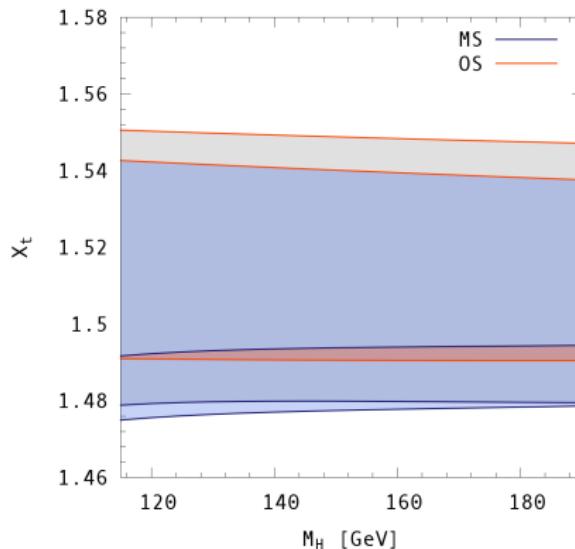


on-shell

 \overline{MS}

completely fixes the renormalisation scheme
dependence
 $\pm 2\% \rightarrow \pm 0.3\%$

(arXiv:1009.0947v1 (hep-ph))

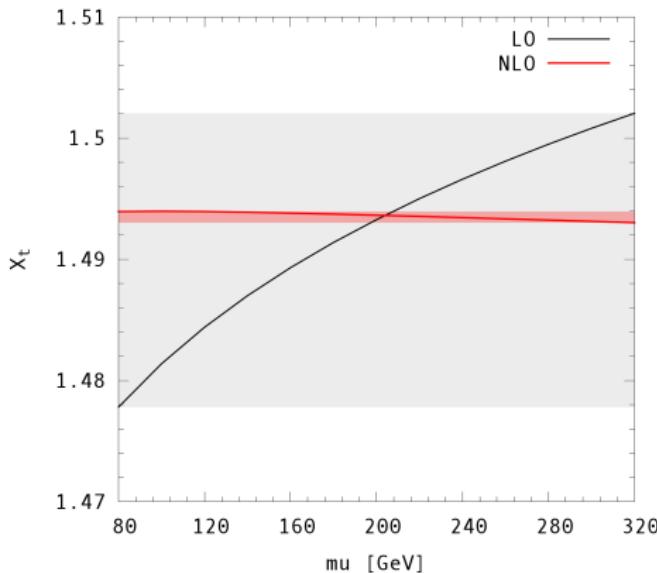


on-shell

 \overline{MS}

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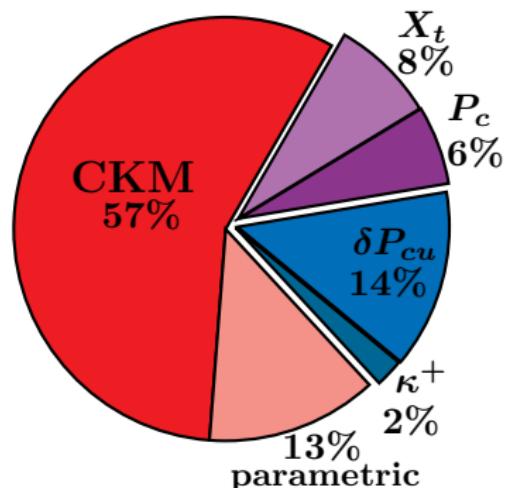


removed the
remaining scale
dependence

Loop-functions are universal:

Correction applies also to:
 $B \rightarrow X_{d,s} \nu \bar{\nu}$

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = |\kappa_\nu^+| \left| \lambda_t X_t + \text{Re} \lambda_c \left(P_c + \delta P_{c,u} \right) \right|^2$$

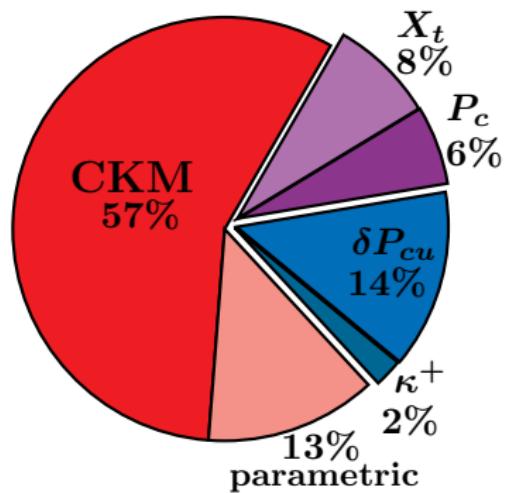


Long Distance

- κ_ν^+ with isospin corrections
- QED radiative corrections
(Mescia, Smith 07)
- $\delta P_{c,u}$: dim-8 operators below μ_c
(Falk, Lewandowski, Petrov 01)
- $\delta P_{c,u}$: light quark contributions
(Isidori, Mescia, Smith 05)
- Improvement by lattice possible!
(Isidori, Martinelli, Turchetti 06)

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_\nu^+ \left| \lambda_t X_t + \text{Re} \lambda_c \left(P_c + \delta P_{c,u} \right) \right|^2$$

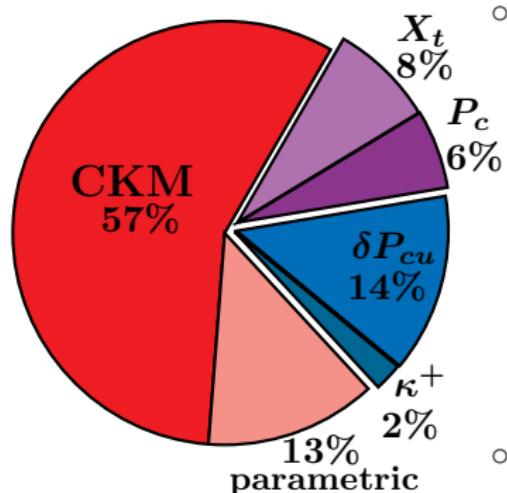
Short Distance



- P_c : NNLO QCD
(Buras, Gorbahn, Haisch, Nierste 05)
- P_c : NLO EW
(Brod, Gorbahn 08)
- X_t : NLO QCD
(Misiak, Urban; Buchalla, Buras 99)
- X_t : NLO EW
(Brod, Gorbahn, ES 10)

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_\nu^+ \left| \lambda_t X_t + \text{Re} \lambda_c \left(P_c + \delta P_{c,u} \right) \right|^2$$

Numbers



o 7 events at E787/949

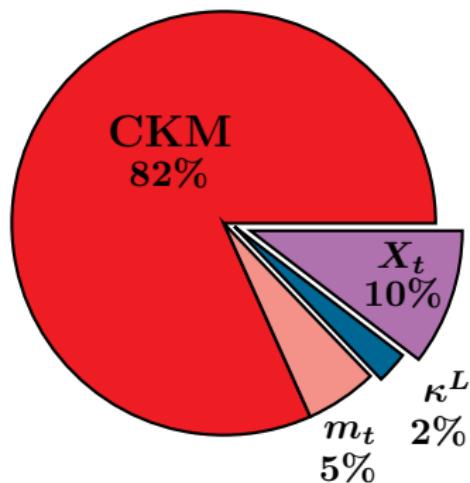
$$\text{Br}^{exp} = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$$

$$\text{Br}^{theo} = (7.81^{+0.80}_{-0.71} \pm 0.29) \times 10^{-11}$$

(Brod, Gorbahn, ES 10)

o NA62@CERN aims at $\mathcal{O}(100)$ events!

$$\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_\nu^L \left(1 - \sqrt{2} |\epsilon_K| \frac{1+P_c(X)/A^2 X_t - \rho}{\eta}\right) \left(\frac{\text{Im} \lambda_t}{\lambda^5} X_t\right)^2$$

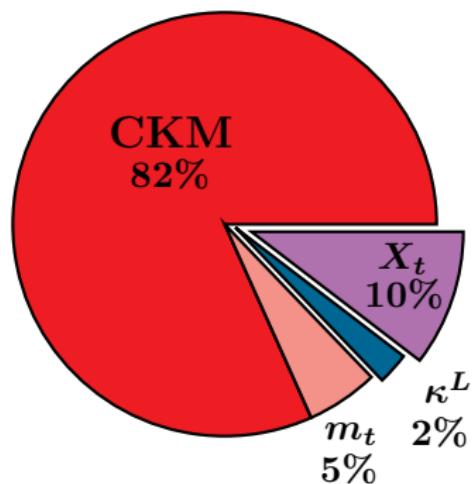


- CPC contribution negligible
(Buchalla, Isidori 98)
- small 1% effect from ICPV
(Buchalla, Buras 96)
- \mathcal{CP} : only top contribution
- very clean and sensitive to SD

$$\text{Br} (K_L \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_\nu^L \left(1 - \sqrt{2} |\epsilon_K| \frac{1 + P_c(X)/A^2 X_t - \rho}{\eta} \right) \left(\frac{\text{Im} \lambda_t}{\lambda^5} X_t \right)^2$$

Numbers

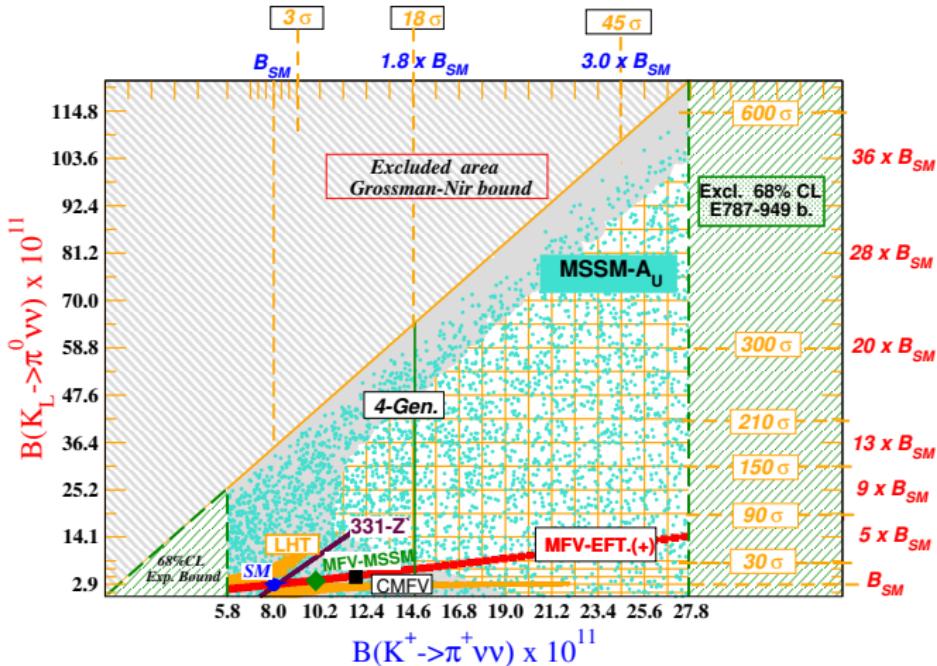
- upper bound from E391a



$$\text{Br}^{exp} < 6.7 \times 10^{-8}$$

$$\text{Br}^{theo} = (2.43^{+0.40}_{-0.37} \pm 0.06) \times 10^{-11}$$

- (Brod, Gorbahn, ES 10)
- KOTO@JPARC $\mathcal{O}(100)$ events ?

The $\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) / \mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ -plane

(<http://www.lnf.infn.it/wg/vus/content/Krare.html>)

Conclusions

Even after 60 years of intensive Kaon studies (and 2 Nobel prizes)

Kaons are still exciting!

Together with ε_K , rare K decays:

- probe high energy regimes
- provide stringent bounds on NP models
- discriminate between models BSM (e.g. $K_L \rightarrow \pi^0 l^+ l^-$)
- can measure NP parameters (e.g. $K \rightarrow \pi \nu \bar{\nu}$)

Interesting times are ahead!