

**Laboratori Nazionali di Legnaro**  
**IV French-Italian LEA-COLLIGA meeting**  
**18-19 November 2010**

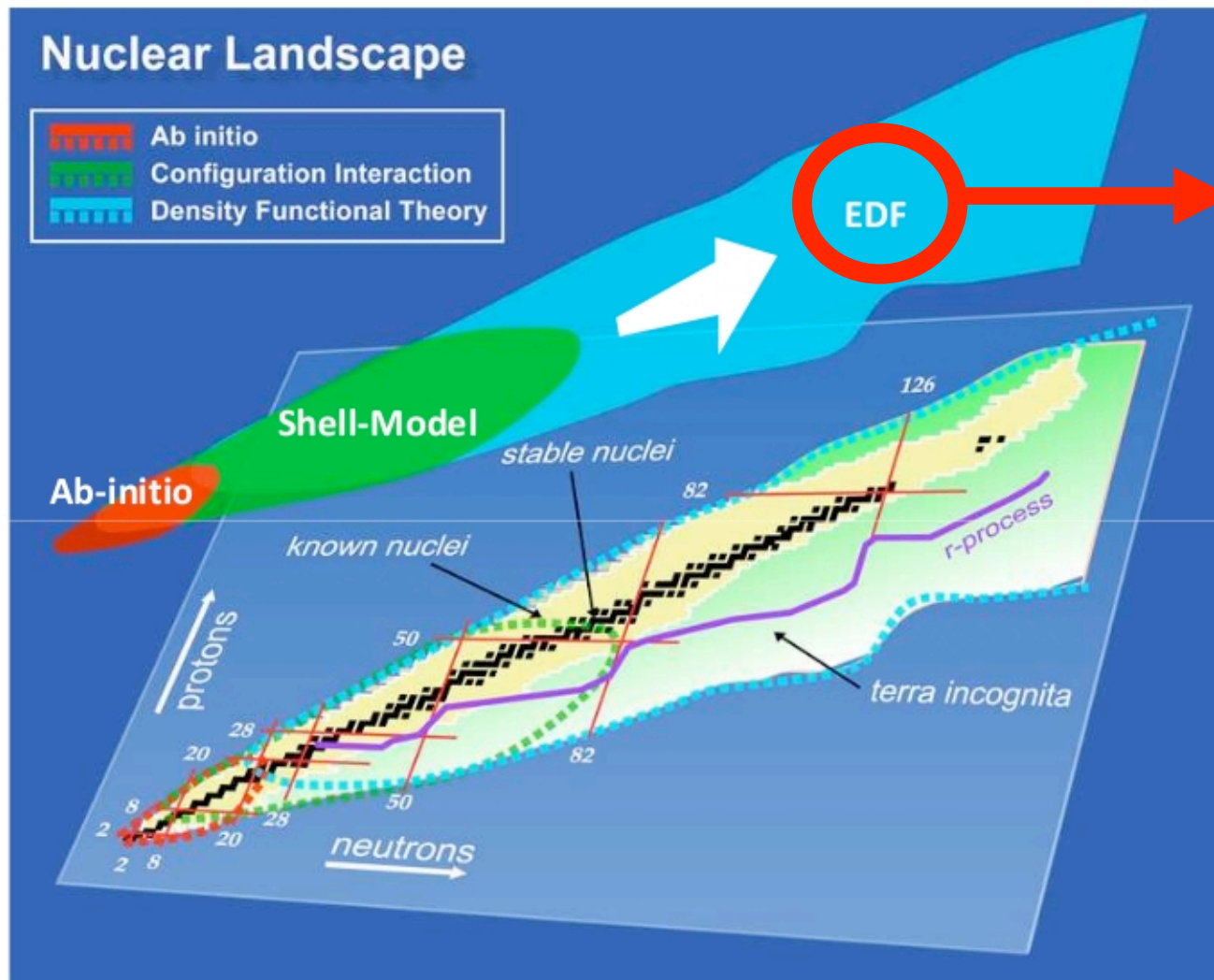
# **Nuclear structure with beyond-mean-field models**

**Marcella Grasso**



# A unified theory for nuclear structure, reactions and stars

## The Energy Density Functional (EDF) Concept



- Mean field for ground-state nuclear structure (HF, HFB,...)
- RPA and QRPA for small-amplitude oscillations
- Beyond small-amplitude oscillations: time-dependent mean field for dynamics (TDHF, TDHFB,...)
- Beyond-mean-field models (correlations).
  - Describing complex phenomena
  - Improving the predictive power

# Beyond-mean-field models.

## Some examples

- **Single-particle and collective degrees of freedom are coupled** (generator-coordinate method, particle-vibration coupling,...)
- **Single-particle and multi-particle degrees of freedom are coupled** (variational multiparticle-multihole configuration mixing, **second RPA, ..**)
- **Correlations are explicitly included in the ground state** (extensions of RPA, generator-coordinate method, variational multiparticle-multihole configuration mixing,...)

Going **beyond mean-field models** with  
**second random-phase approximation (SRPA):**  
**coupling with 2 particle - 2 hole configurations**

Catania-Orsay collaboration

# Content

- A natural extension of RPA. Second RPA (SRPA): richer form of the excitation operators (formal aspects)
- Residual interaction in the case of SRPA with density-dependent interactions
- First applications:  $^{16}\text{O}$  and  $^{48}\text{Ca}$
- Conclusions and Perspectives

# SRPA equations. The formal scheme is well established since many years

- Equations of motion method (Yannouleas, PRC 35, 1159 (1986))
- Small amplitude limit of Time Dependent Density Matrix (Tohyama and Gong, Z. Phys. A 332, 269 (1989); Lacroix et al., Prog. Part. Nucl. Phys. 52, 497 (2004))
- Variational procedure (Providencia, Nucl. Phys. 61, 87 (1965))

## Currently employed approximations

- Second Tamm-Dancoff
- Diagonal approximation

### RECENTLY:

- Closed-shell nuclei with a realistic interaction derived from the Argonne V18 potential (Unitary Correlation Operator Method) (Papakonstantinou and Roth, Phys. Lett. B 671, 356 (2009))
- Small metallic clusters (Gambacurta and Catara, Phys. Rev. B 79, 085403 (2009))

# Formal scheme

$$Q_{\nu}^{\dagger} = \sum_{ph} (X_{ph}^{\nu} a_p^{\dagger} a_h - Y_{ph}^{\nu} a_h^{\dagger} a_p) \\ + \sum_{p < p', h < h'} (X_{php'h'}^{\nu} a_p^{\dagger} a_h a_{p'}^{\dagger} a_{h'} - Y_{php'h'}^{\nu} a_h^{\dagger} a_p a_{h'}^{\dagger} a_{p'}).$$

**Excitation  
operators**

The  $X$ 's and  $Y$ 's are solutions of the equations,

$$\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ -\mathcal{B}^* & -\mathcal{A}^* \end{pmatrix} \begin{pmatrix} \mathcal{X}^{\nu} \\ \mathcal{Y}^{\nu} \end{pmatrix} = \omega_{\nu} \begin{pmatrix} \mathcal{X}^{\nu} \\ \mathcal{Y}^{\nu} \end{pmatrix},$$

where:

$$\mathcal{A} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \mathcal{B} = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}, \quad \text{1 and 2: short-hand notation for 1p1h and 2p2h}$$

$$\mathcal{X}^{\nu} = \begin{pmatrix} X_1^{\nu} \\ X_2^{\nu} \end{pmatrix}, \quad \mathcal{Y}^{\nu} = \begin{pmatrix} Y_1^{\nu} \\ Y_2^{\nu} \end{pmatrix}.$$

# 1p1h and 2p2h configurations are mixed

The SRPA ground state  $|\Psi\rangle = e^{\hat{S}}|\Phi\rangle$ , where  $|\Phi\rangle$  is the HF ground state is:

$$\text{RPA} \quad \hat{S} = \sum_{ph} C_{ph}(t) a_p^\dagger a_h$$

$$\text{SRPA} \quad S = \sum_{ph} C_{ph} a_p^\dagger a_h + \frac{1}{2} \sum_{pp'hh'} C_{pp'hh'} a_p^\dagger a_{p'}^\dagger a_{h'} a_h$$

The quasiboson approximation is used also in SRPA



## Some technical details. Calculations for $^{16}\text{O}$

- HF in coordinate space (20 fm box)
- First  $n = 7$  states for each  $l$  (up to 6)
- s.p. wave functions are expressed as superposition of **square well** ones
- In principle, EWSR are satisfied in SRPA (Yannouleas 1986). Coulomb and spin-orbit are not taken into account in the residual interaction. Violations: 5%
- **1p1h configurations fixed in order to have stable EWSR (100-150 configurations); cutoff for 2p2h configurations: unperturbed energy lower than 120 MeV (2 - 3  $10^4$  configurations)**
- Diagonalization of **matrices** of the order of **5 - 6  $10^4$**
- **50 - 70 hours of CPU** for each calculation (looking at energies up to 50 MeV)
- Skyrme interaction. Contact interaction: **ultraviolet divergence**. Numerical check of the stability of the results

# SRPA with density-dependent forces (Skyrme or Gogny). Rearrangement terms in the residual interaction (derivative with respect to the density of the mean-field hamiltonian)

$$\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ -\mathcal{B}^* & -\mathcal{A}^* \end{pmatrix} \begin{pmatrix} \chi^\nu \\ \gamma^\nu \end{pmatrix} = \omega_\nu \begin{pmatrix} \chi^\nu \\ \gamma^\nu \end{pmatrix},$$

$$\mathcal{A} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \mathcal{B} = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix},$$

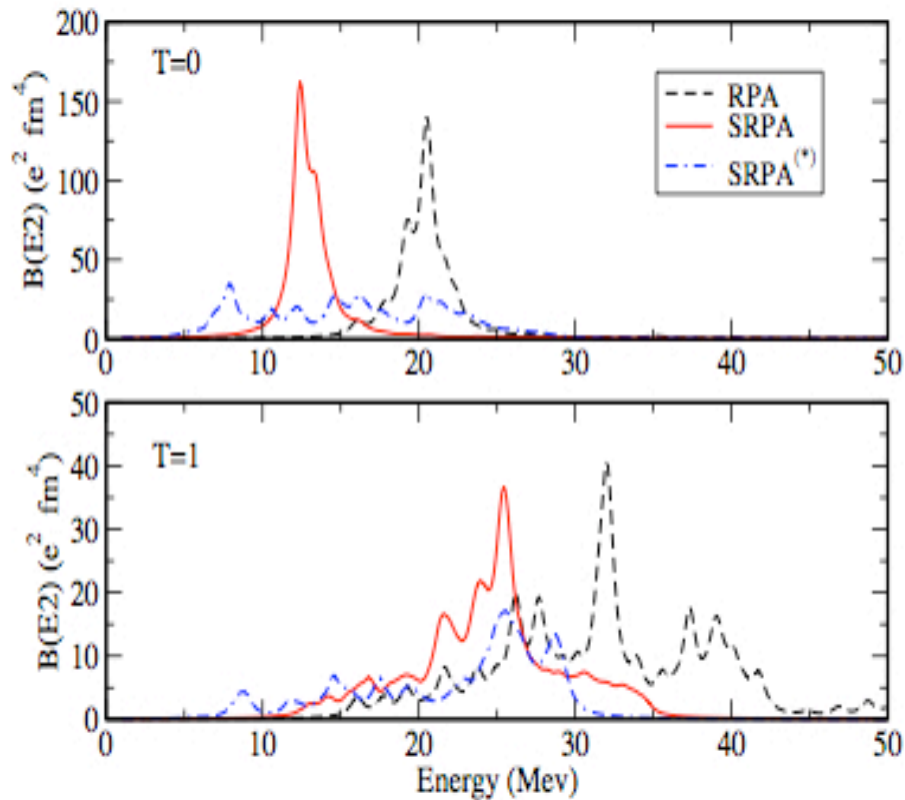
Two approximations:

- Neglecting the new rearrangement terms
- Treating them as in RPA

# Quadrupole response

Gambacurta, Grasso, Catara, PRC 81, 054312 (2010)

SGII  $^{16}\text{O}$



--- RPA

— SRPA (without rearr.  
terms)

- - - SRPA (rearr. terms as  
in RPA)

# Low-lying $0^+$ and $2^+$ states

SGII

$^{16}\text{O}$

Low Lying $0^+$ energy (MeV)					
Exp	RPA	SRPA	SRPA-D	SRPA*	SRPA*-D
$\sim 6$	16.19	6.43	11.23	5.29	Imm.

Low Lying $2^+$ energy (MeV)					
Exp	RPA	SRPA	SRPA-D	SRPA*	SRPA*-D
$\sim 7$	16.03	7.16	12.44	4.70	Imm.

Gambacurta, Grasso, Catara, PRC 81, 054312 (2010)

# Residual interaction. Rearrangement terms for SRPA matrix elements (variational procedure as in Providencia's work)

Density-dependent interaction expanded around the HF density  $\rho_0$  up to quadratic order

$$\hat{V}_{\alpha\beta\gamma\delta}(\rho) \sim \hat{V}_{\alpha\beta\gamma\delta}(\rho_0) + \sum_{ab} \left[ \frac{\delta \hat{V}_{\alpha\beta\gamma\delta}}{\delta \rho_{ab}} \right]_{\rho=\rho_0} \delta \rho_{ab} + \frac{1}{2} \sum_{abcd} \left[ \frac{\delta^2 \hat{V}_{\alpha\beta\gamma\delta}}{\delta \rho_{ab} \delta \rho_{cd}} \right]_{\rho=\rho_0} \delta \rho_{ab} \delta \rho_{cd} ;$$

$\delta \rho_{ab}$  is the variation of the density around  $\rho_0$ :

$$\begin{aligned} \rho_{\alpha\beta} &= \rho_{0,\alpha\beta} + \delta \rho_{\alpha\beta} = \langle \Psi | a_{\beta}^{\dagger} a_{\alpha} | \Psi \rangle = \langle \Phi | e^{S^{\dagger}} a_{\beta}^{\dagger} a_{\alpha} e^S | \Phi \rangle \\ &= \langle \Phi | (1 + S^{\dagger} + \frac{1}{2} S^{\dagger 2} + \dots) a_{\beta}^{\dagger} a_{\alpha} (1 + S^2 + \frac{1}{2} S^2 + \dots) | \Phi \rangle \\ &\sim \rho_{0,\alpha\beta} + \langle \Phi | a_{\beta}^{\dagger} a_{\alpha} S + S^{\dagger} a_{\beta}^{\dagger} a_{\alpha} | \Phi \rangle + \\ &\quad \langle \Phi | \frac{1}{2} a_{\beta}^{\dagger} a_{\alpha} S^2 + S^{\dagger} a_{\beta}^{\dagger} a_{\alpha} S + \frac{1}{2} S^{\dagger 2} a_{\beta}^{\dagger} a_{\alpha} | \Phi \rangle , \end{aligned}$$

$$\delta\rho_{ph}^{(1)} = C_{ph} ;$$

$$\delta\rho_{hp}^{(1)} = C_{ph}^* ;$$

**linear**

$$\delta\rho_{ph}^{(2)} = \sum_{mi} C_{mi}^* \hat{C}_{pmhi} ;$$

$$\delta\rho_{hp}^{(2)} = \sum_{mi} C_{mi} \hat{C}_{pmhi}^* ;$$

**quadratic**

$$\delta\rho_{hh'}^{(2)} = - \sum_m C_{mh}^* C_{mh'} + \frac{1}{2} \sum_{mni} \hat{C}_{mnih}^* \hat{C}_{mnh'i} ;$$

$$\delta\rho_{pp'}^{(2)} = \sum_i C_{p'i}^* C_{pi} + \frac{1}{2} \sum_{mij} \hat{C}_{p'mij}^* \hat{C}_{pmij} .$$

The mean value of the Hamiltonian in  $|\Psi\rangle$ ,  $\langle H \rangle$ , can be written as

$$\langle H \rangle = \langle \Phi | H | \Phi \rangle + \text{linear and quadratic terms in } C \text{ and } C^*$$

The usual RPA rearrangement terms are found for the RPA sub-matrices  $A_{11}$  and  $B_{11}$  by calculating

$$A_{mi,pk} = \left[ \frac{\delta^2 \langle H \rangle}{\delta C_{mi}^* \delta C_{pk}} \right]_{C=C^*=0} \quad B_{mi,pk} = \left[ \frac{\delta^2 \langle H \rangle}{\delta C_{mi}^* \delta C_{pk}^*} \right]_{C=C^*=0}$$

For example the matrix  $A_{12}$ . By calculating

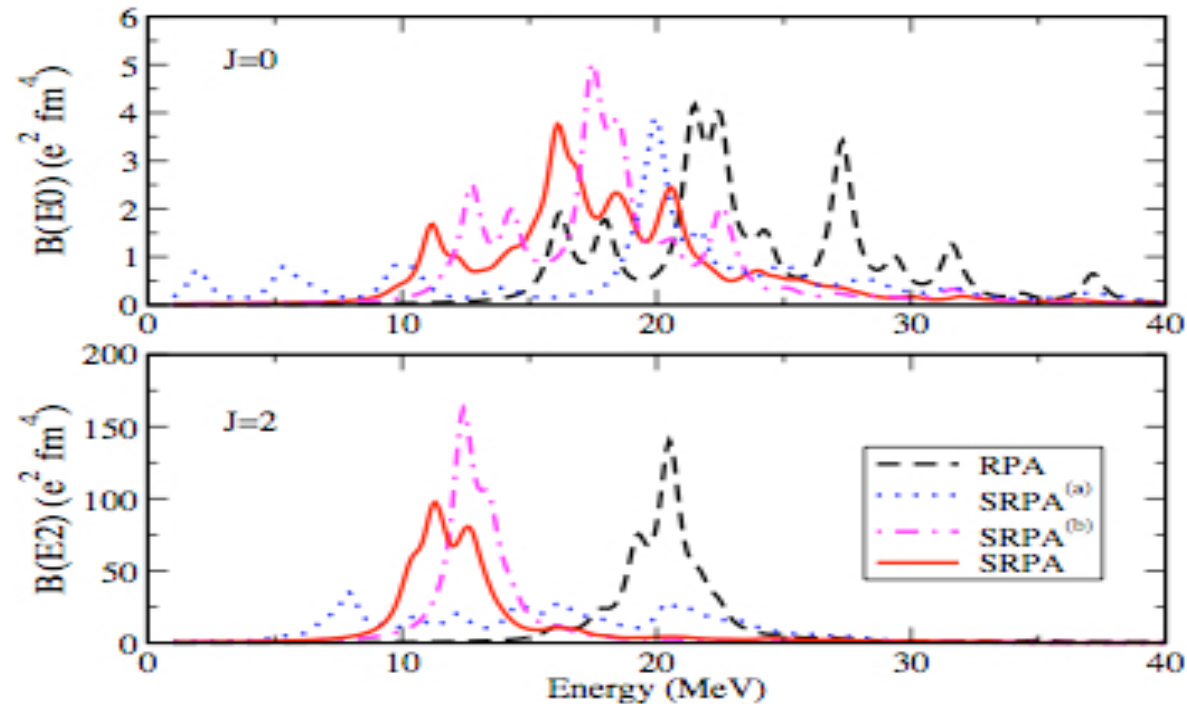
$$A_{mi,pqkl} = \left[ \frac{\delta^2 \langle H \rangle}{\delta C_{mi}^* \delta \hat{C}_{pqkl}} \right]_{C=C^*=0}$$

one obtains a rearrangement term of the type:

$$\langle kl | \left[ \frac{\delta \hat{V}(\rho)}{\delta \rho_{im}} \right]_{\rho=\rho_0} \rho_{im} | pq \rangle$$

# Rearrangement terms in SRPA

Isoscalar monopole (top) and quadrupole (bottom) strength distributions for  $^{16}\text{O}$ . **DIFFERENT FRAGMENTATION**



Gambacurta, Grasso, Catara, submitted J. Phys.

— — — —

RPA

————

SRPA (correct rearrangement terms)

— . — .

SRPA (neglecting new rearrangement terms)

.....

SRPA (treating all rearrangement terms like in RPA)

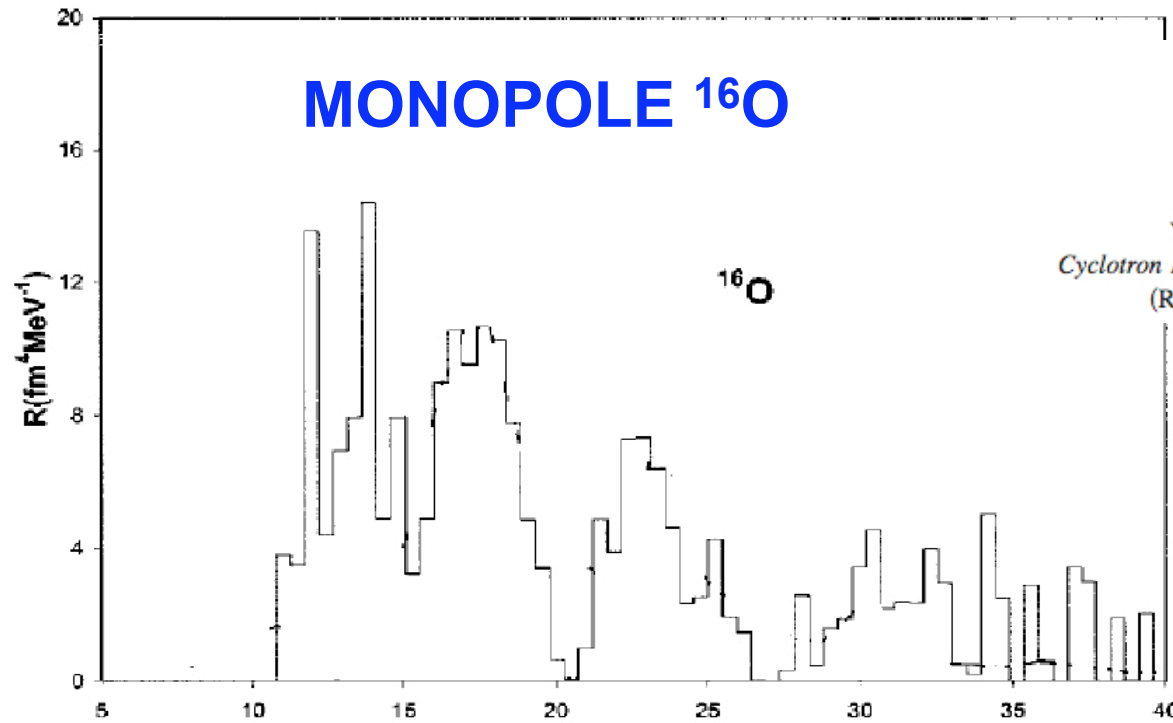


# MONOPOLE $^{16}\text{O}$

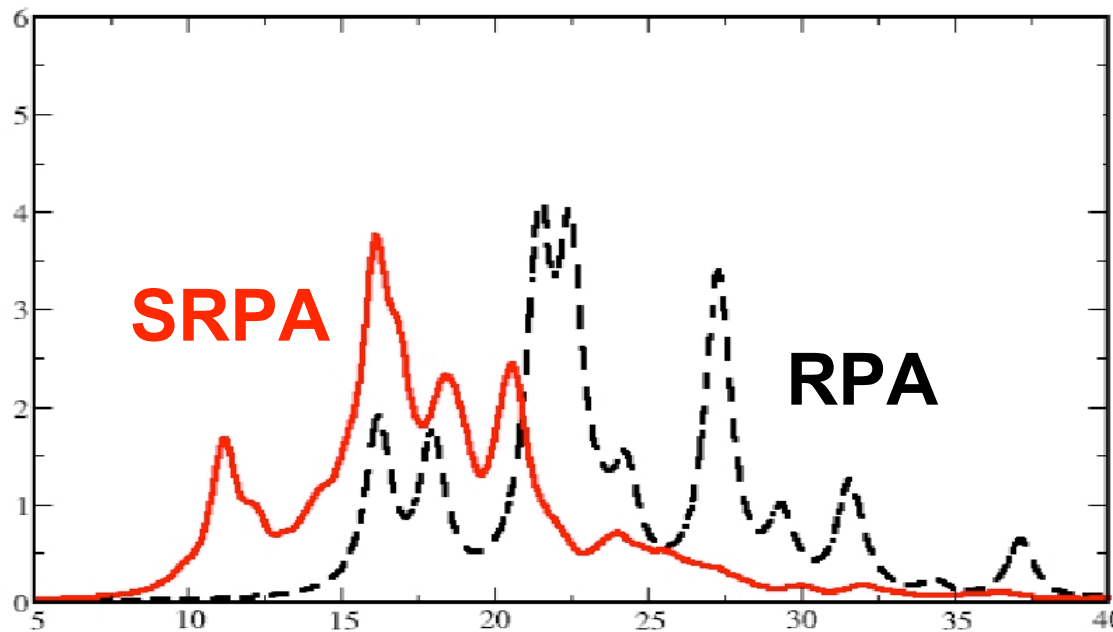
PHYSICAL REVIEW C, VOLUME 64, 064308

## Giant resonances in $^{16}\text{O}$

Y.-W. Lui, H. L. Clark, and D. H. Youngblood  
Cyclotron Institute, Texas A&M University, College Station, Texas 77843  
(Received 31 May 2001; published 16 November 2001)

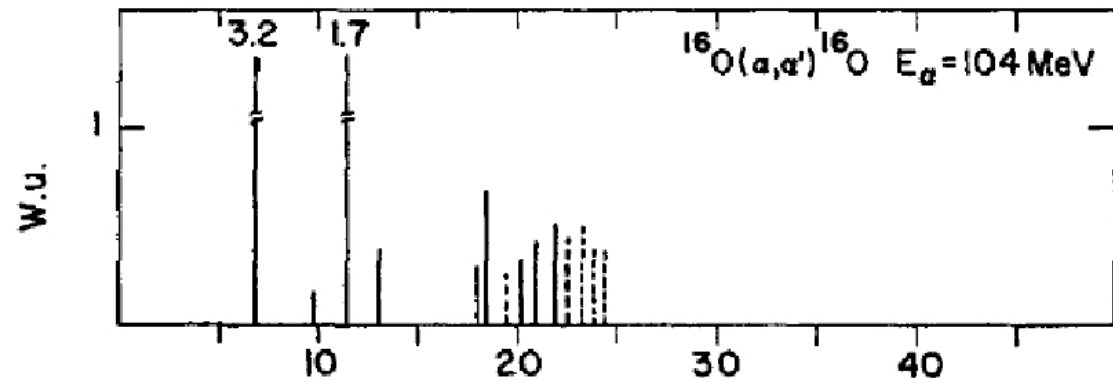


Inelastic scattering  
of 240 MeV alpha  
particles

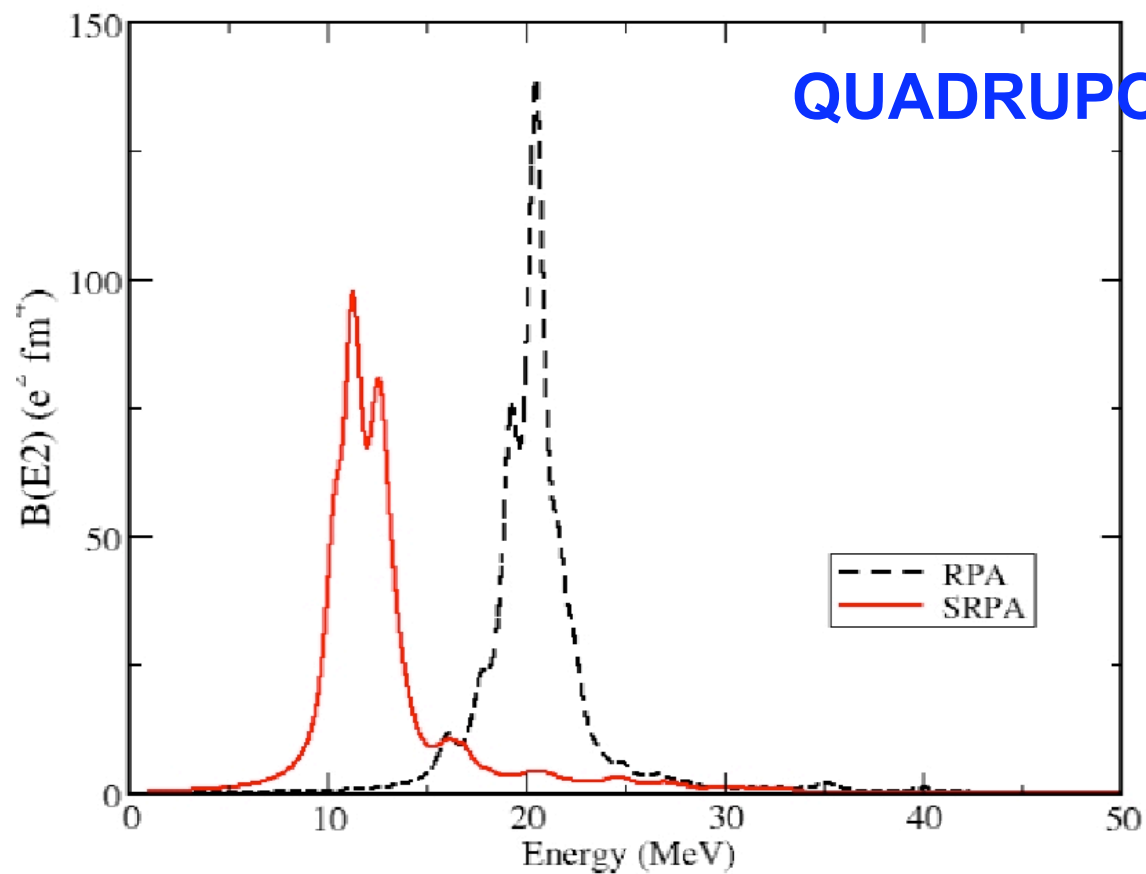


Gambacurta, Grasso,  
in preparation

$B(E0)$  ( $\text{e}^2 \text{ fm}^4$ )



Harakeh et al., NPA  
265, 189 (1976)



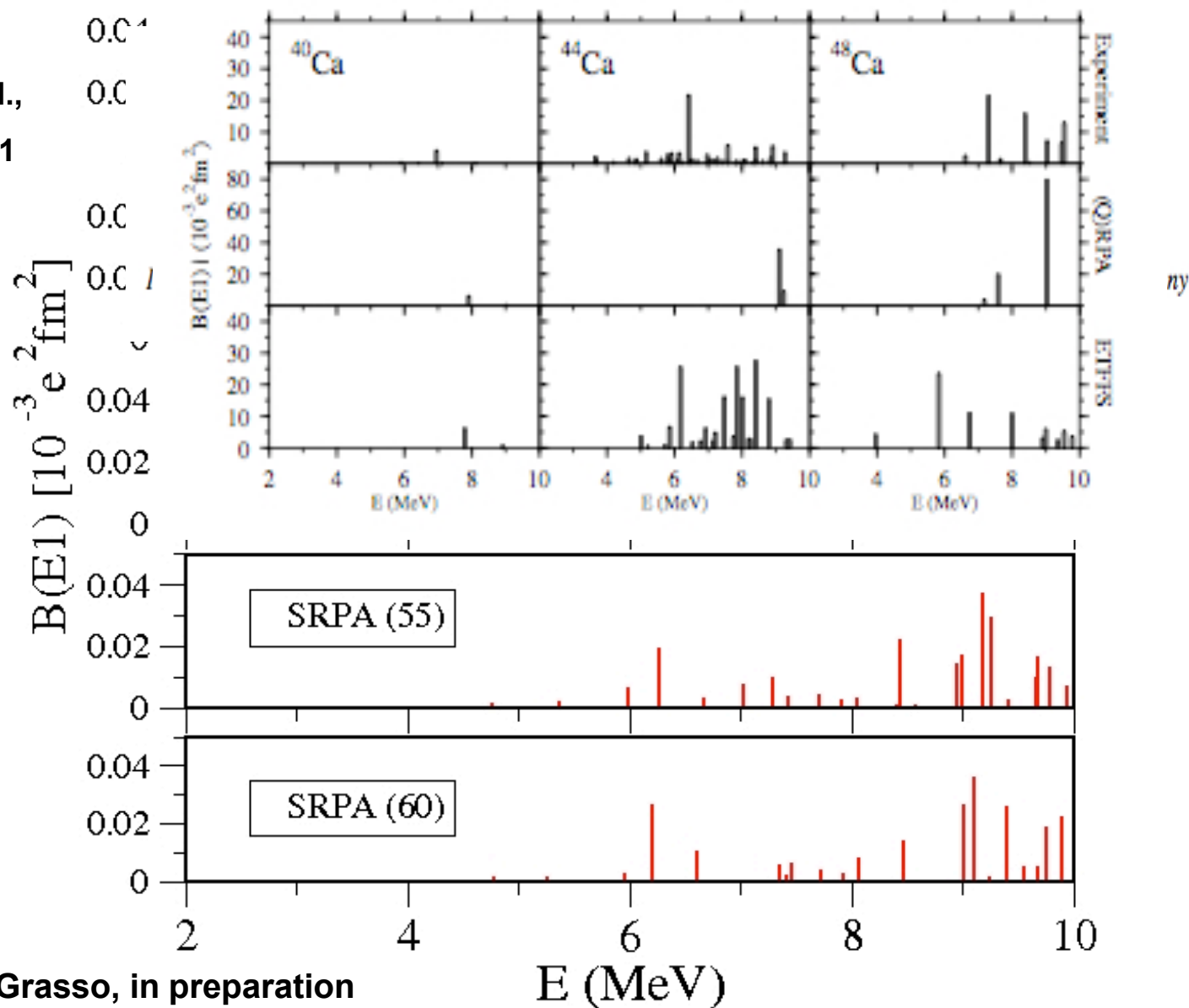
QUADRUPOLE  $^{16}\text{O}$

Gambacurta, Grasso,  
in preparation

# Preliminary: pygmy dipole resonance in $^{48}\text{Ca}$

$^{48}\text{Ca}$

Hartmann, et al.,  
PRL 93, 192501  
(2004)



Gambacurta, Grasso, in preparation

# Conclusions and perspectives

- **General conclusions:** 1) width of the modes; 2) shift of the strength to lower energies by several MeV with respect to RPA.

## PERSPECTIVES

- 1) Interaction fitted for this kind of calculations (also pppp and hhhh matrix elements are present)
- 2) QBA is a serious problem (violations of Pauli principle)... using renormalized SRPA to better treat correlations?
- 3) Ultraviolet divergence (exploratory study: Moghrabi, Grasso, Colò, Van Giai, in preparation)