



# Lockloss Prediction

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## Prediction Stage

Use recorded data streams to build a model that can predict a lockloss ahead of time.

### Challenges:

1. Extract **relevant features** from a **set of channels**.
2. Choose the appropriate **SEGMENT LENGTH** and **SEGMENT BUFFER** before event for each channel.

## Diagnostics Stage

Use the trained model to find lockloss witnesses

### Challenges:

1. **Down-select** a set of channels having features strongly correlated with a lockloss event.
2. **Follow-up** on corresponding channels.

## Intervention stage

### Traditional route:

Transition to earthquake mode? (takes 60-260 seconds)

### ML route:

Reconstruct motion of mirrors for lock acquisition.

### Challenges:

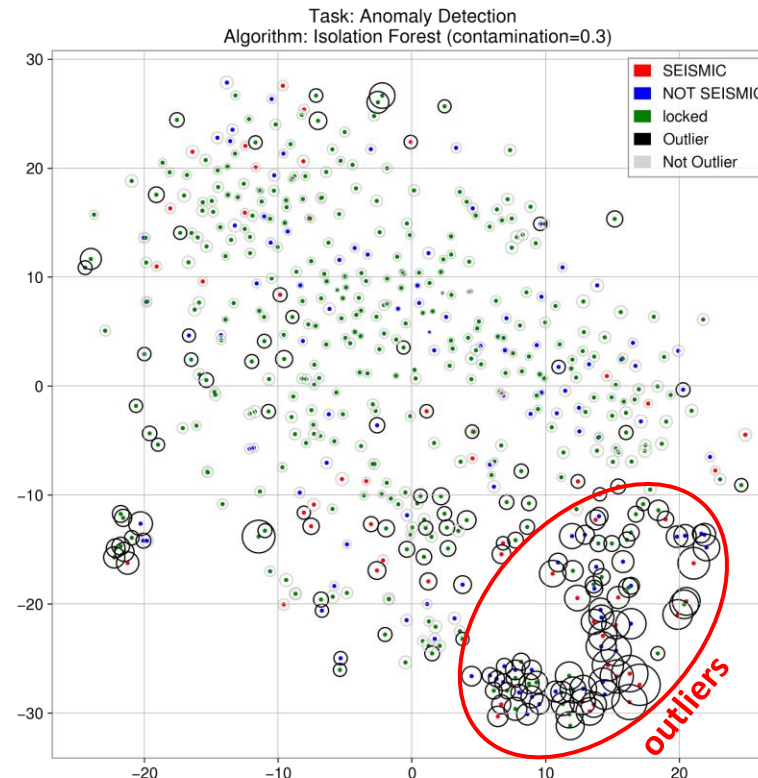
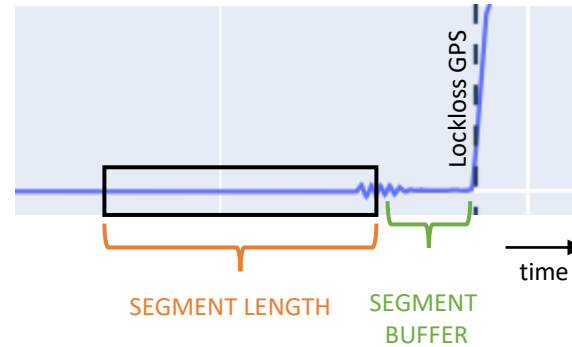
#### Traditional route:

How close to the actual lockloss event is an early warning effective?

#### ML route:

Status of RNN-based TM motion reconstruction unknow.

## Dataset Creation



2-D projection of 276-D data using t-SNE  
(for visualization only)

**Channels:** 23 Length and Angular DoF channels

### Features:

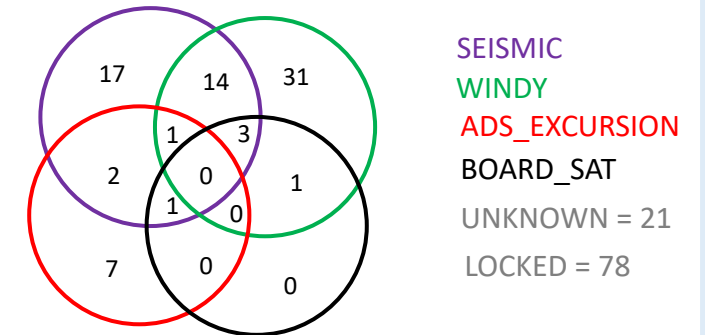
12 summary statistics\*23 channels = **276 features**  
{energy, difference, complexity, mean, standard deviation, variance, kurtosis, skewness, sample entropy, approximate entropy, absolute sum of changes, mean absolute change}

**Hanford O3a : 169 (lockloss) + 364 (locked) samples**

Lockloss tags	Count
SEISMIC	56
BOARD_SAT	10
WINDY	41
ADS_EXCURSION	32
BRS_GLITCH	2

### Results:

**160 samples predicted as outliers**



None of the BRS\_GLITCH related locklosses predicted as outliers using **100 second segments 300 seconds away** from locklosses using Length and Angular DoF channels (mean, s-trend).

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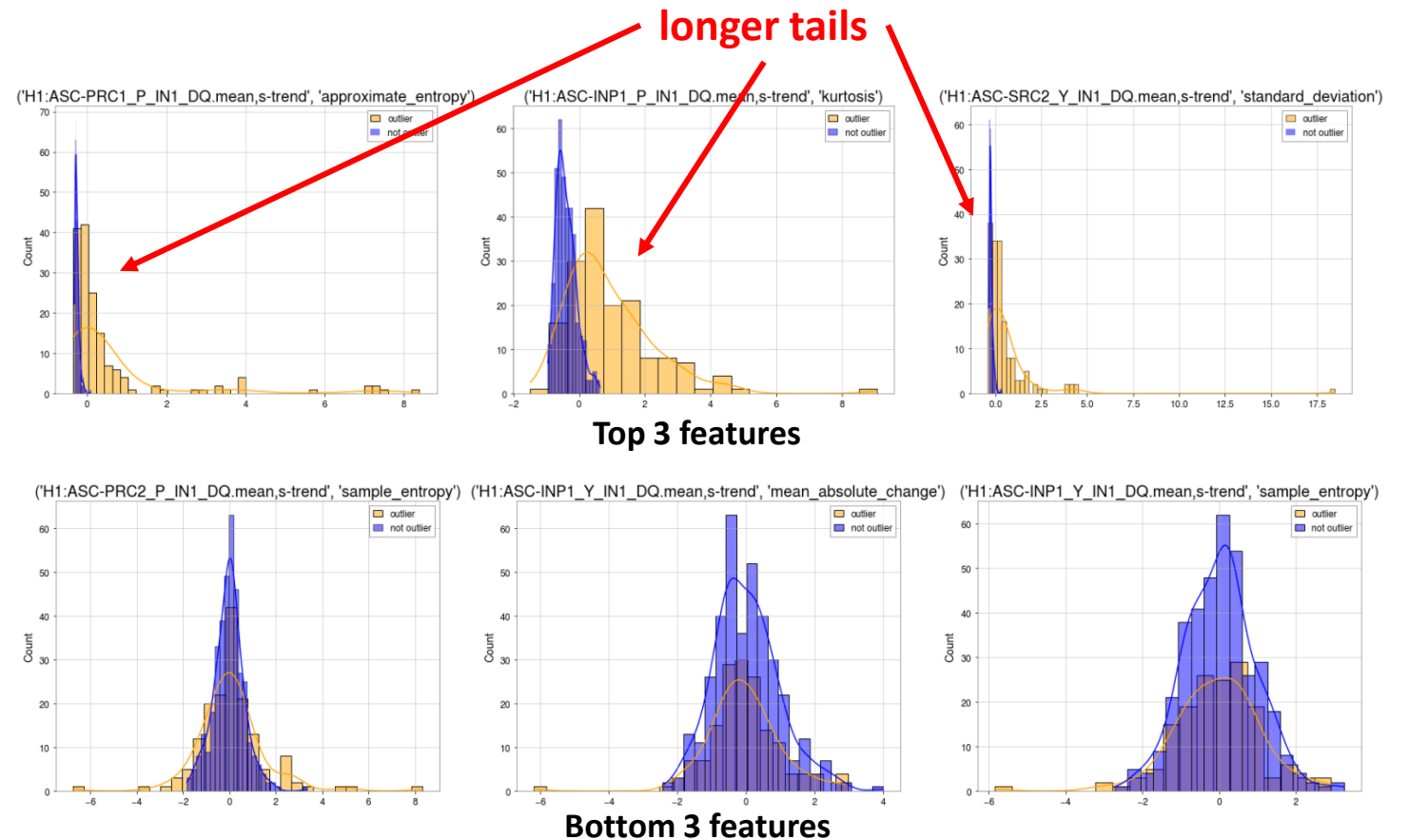
## Determine important channels using a surrogate model

For Surrogate modelling –

Task: Binary Classification

Classifier: Random Forest

Targets: Isolation Forest predictions



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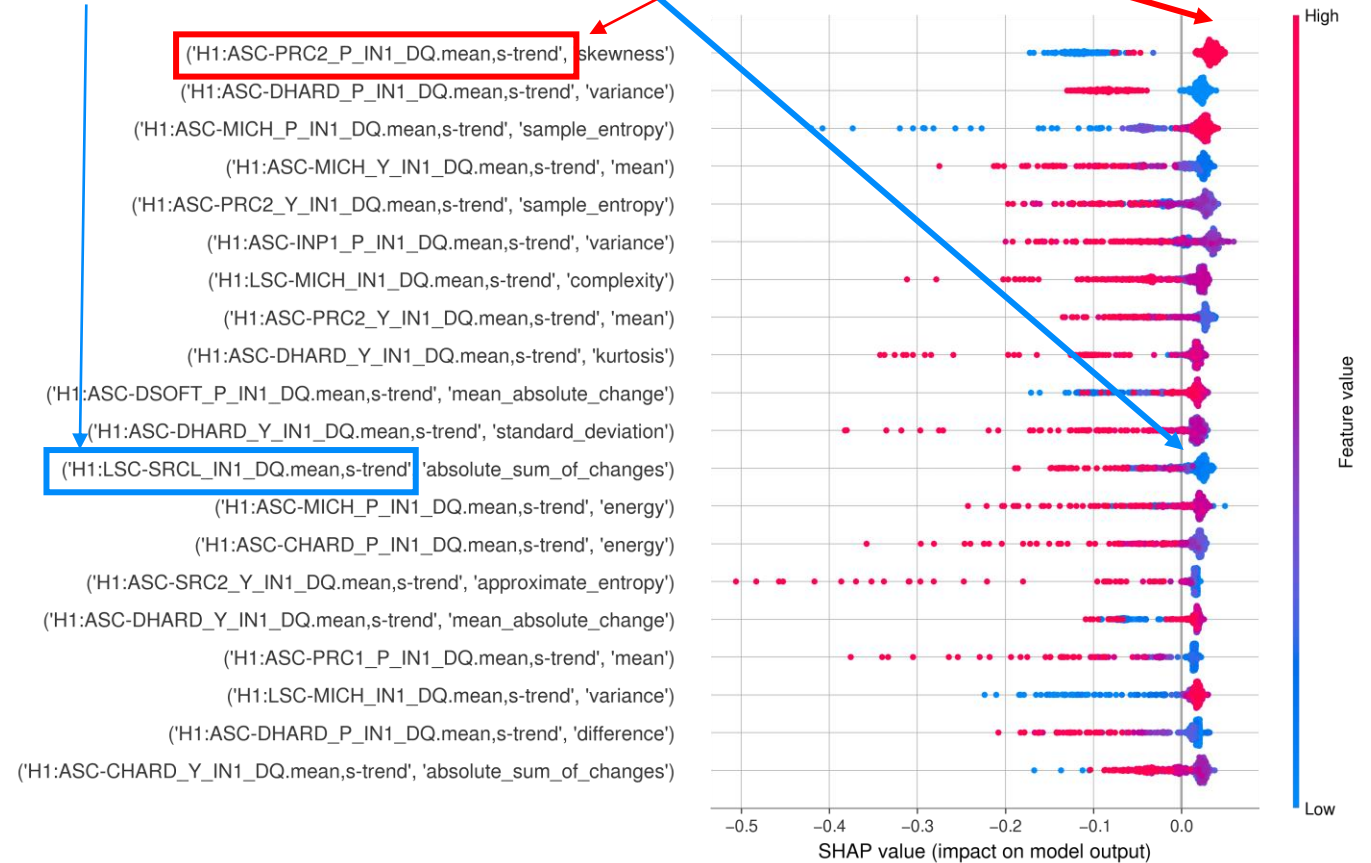
#### ML route:

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## Determine important channels using Shapley values

Low values of this channel's absolute sum of changes has +ve impact on model prediction

High values of this channel's skewness has +ve impact on model prediction



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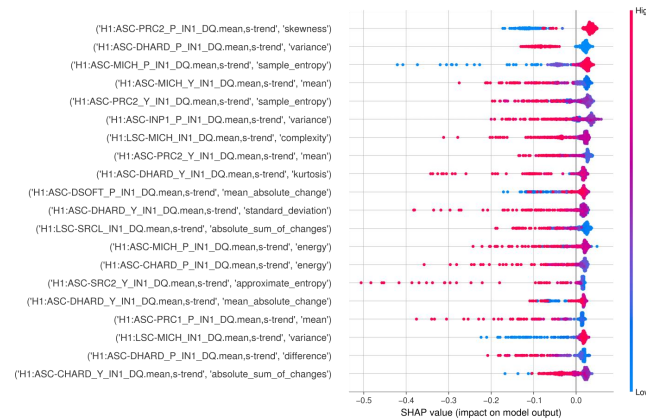
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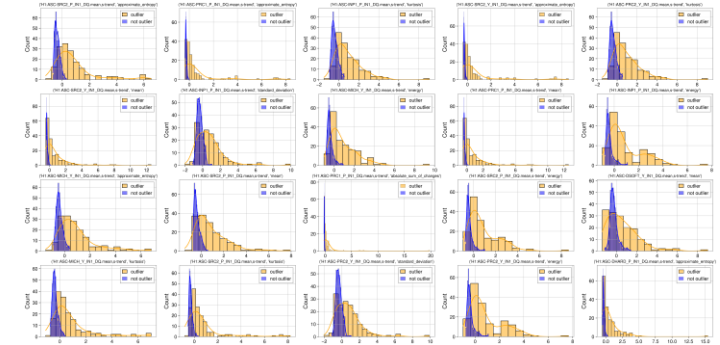
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## Common important channels for Isolation Forest:

### Shapley values



### Surrogate Modelling



top 20 channels + features

H1:ASC-PRC2\_Y\_IN1\_DQ.mean,s-trend  
H1:ASC-INP1\_P\_IN1\_DQ.mean,s-trend  
H1:ASC-DHARD\_P\_IN1\_DQ.mean,s-trend  
H1:ASC-SRC2\_Y\_IN1\_DQ.mean,s-trend  
H1:ASC-MICH\_Y\_IN1\_DQ.mean,s-trend  
H1:ASC-PRC1\_P\_IN1\_DQ.mean,s-trend

## Common important channels between LOF and Isolation Forest (via Surrogate Modelling):

H1:ASC-MICH\_Y\_IN1\_DQ.mean,s-trend  
H1:ASC-PRC1\_P\_IN1\_DQ.mean,s-trend  
H1:ASC-SRC2\_P\_IN1\_DQ.mean,s-trend  
H1:ASC-SRC2\_Y\_IN1\_DQ.mean,s-trend

**(Follow-up on channels pending)**

# Preliminary Remarks

- **Channels** + **Features** + **Data Segments** used are not enough to predict ALL types of locklosses that occurred at Hanford in O3a
  1. **Summary statistics** of a data segment of the mean, s-trend of a channel may wash out finer resolution features.
  2. **23 length and angular degrees of freedom** channels used may not witness all locklosses.
  3. **SEGMENT\_LENGTH** and **SEGMENT\_BUFFER** used may miss predictive features outside that window.

# Future Directions

- The search across {**SEGMENT LENGTH**, **SEGMENT BUFFER**} combinations is expensive
  - Matrix Completion to the rescue?
- Appropriate pre-processing
  - Corner frequencies for each channel?
- Better features
  - Hand-crafted vs **DNN for feature extraction**
  - **Continuous Wavelet Transform Scalogram** to capture 'bursty' and slow information from each segment of each channel.
- Silver Bullet or Ensemble?
  - Expand channels list
  - BUT...
  - Silver Bullet model using all channels?
  - OR
  - Smaller models ensembled together?