

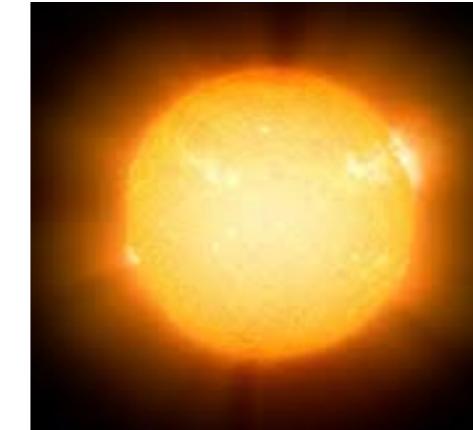
Solar Neutrinos from CNO cycle and most recent results from Borexino

Virtual La Thuile via ZOOMLand
Mar. 9th, 2021

*Marco Pallavicini
Università degli Studi di Genova and INFN*

• Science

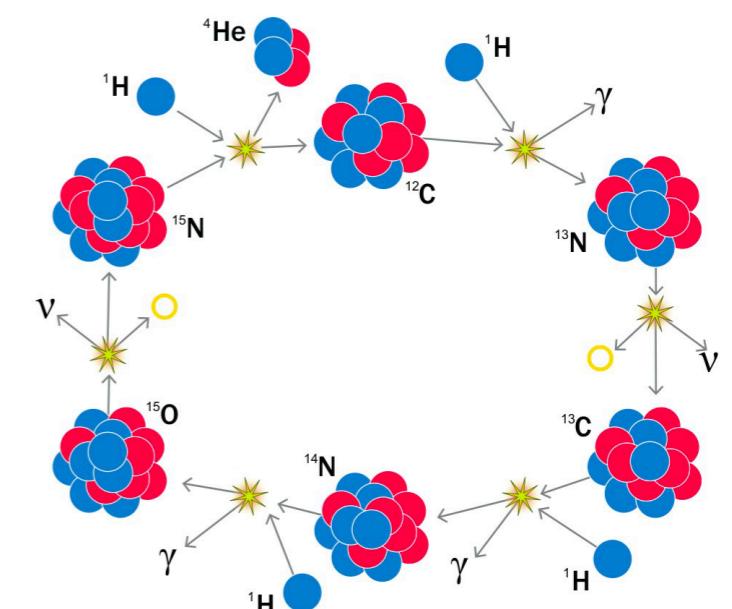
- Solar (and geo) neutrinos
Not covered, sorry



• Technology: the most radio-pure detector ever built

• Results

- The *pp chain* and the **MSW mechanism**
- The *CNO cycle*
 - Challenges, Strategy, Results



• Conclusions and prospectives

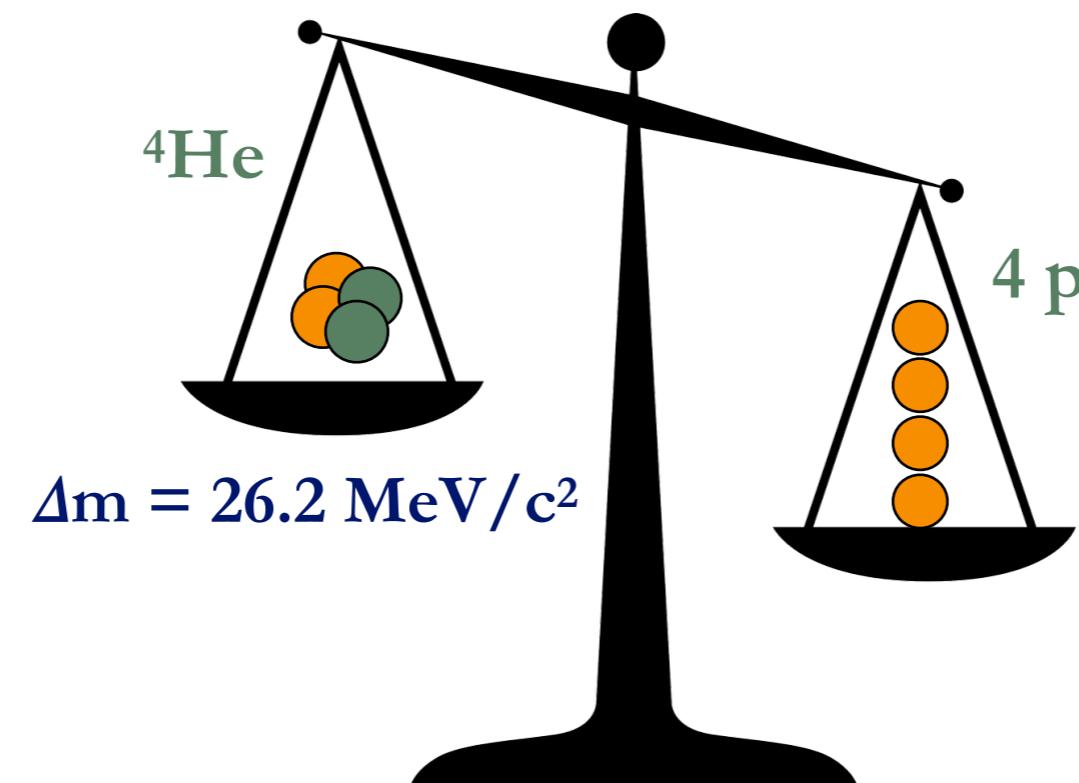


- The long standing debate about **solar** (and other stars) **energy source** was *theoretically* solved in 1938

F. W. Aston - 1920
A.S. Eddington Observatory 43 (1920), Nature (1920)



Carl F. von Weizsäcker



Hans Bethe (Nobel 1967)

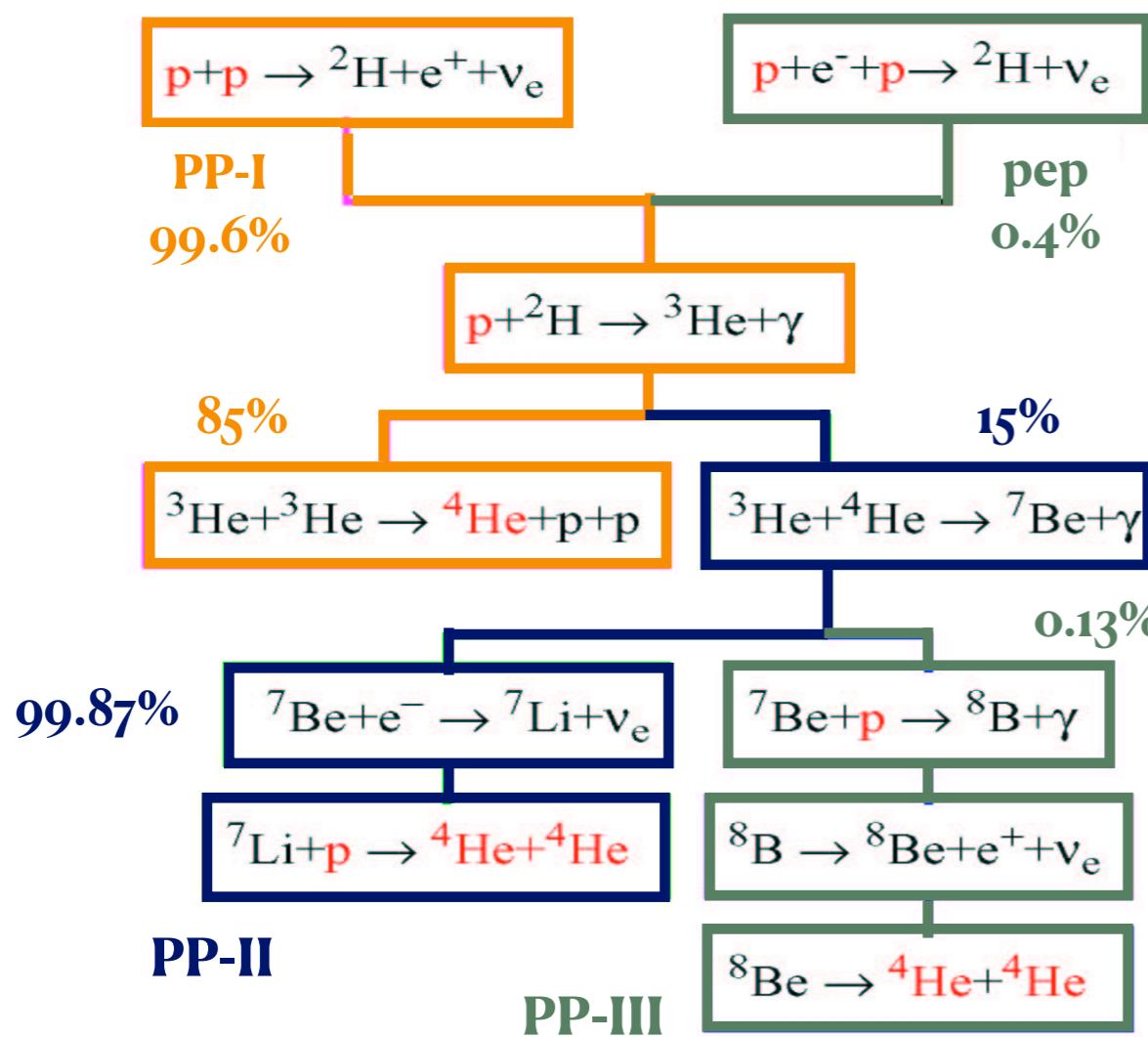
- Hydrogen burning through two fusion paths:
 - The pp chain, initiated by direct pp fusion
 - The CNO cycle, catalysed by the presence of C, N and O in the core
- Initially, CNO was (wrongly) believed to be dominant in the Sun because of the poorly known solar core temperature



Bethe &
Critchfield 1938

pp chain
(99% energy)

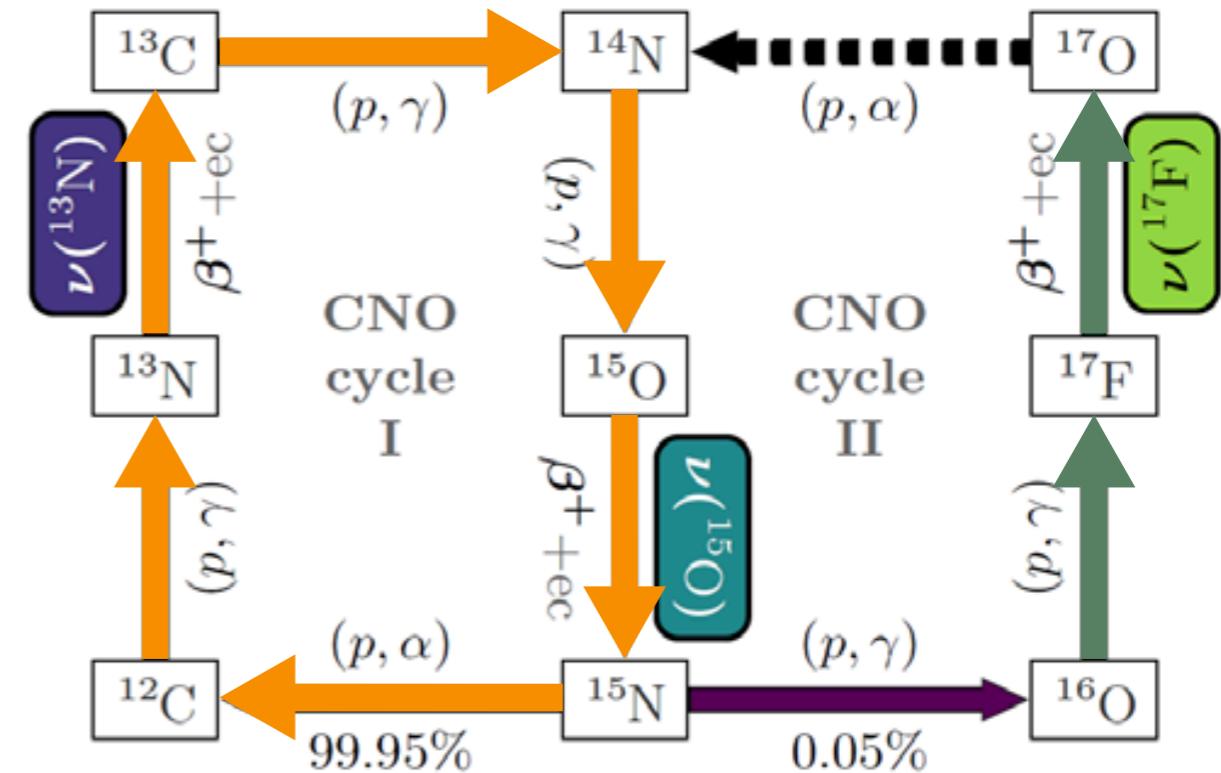
Bethe &
Critchfield 1938



CNO cycle

(~ 1% energy)

Weizsäcker (1937, 1938),
Bethe (1939)



^{12}C is the main catalyst
CNO-II is suppressed in the Sun

REACTION



ENERGY YIELD

$$24.7 \text{ MeV} + 2m_e c^2$$

2% of E in NEUTRINOS

$$\langle E_\nu \rangle = 0.53 \text{ MeV}$$



The Standard Solar Model

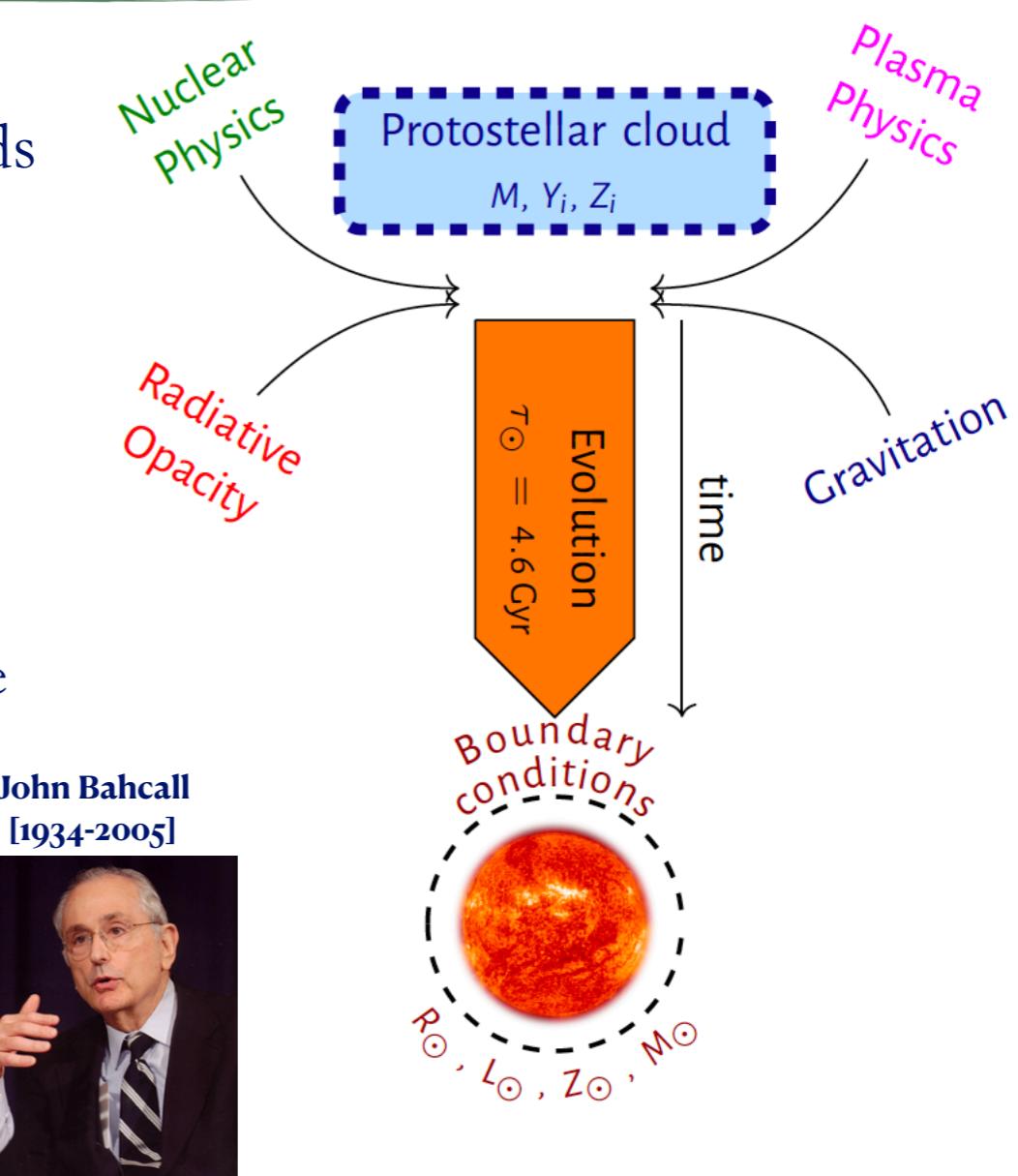
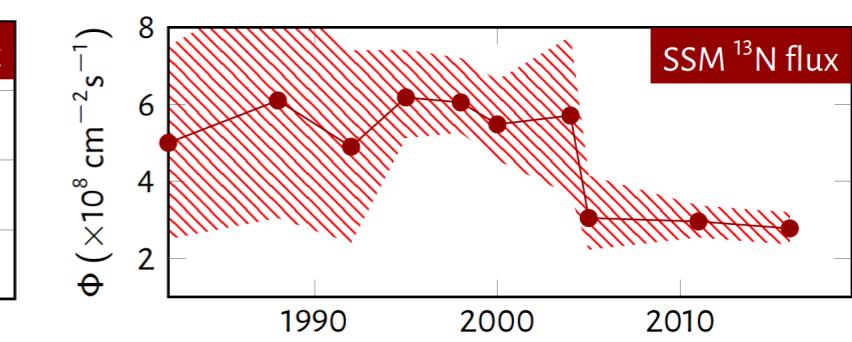
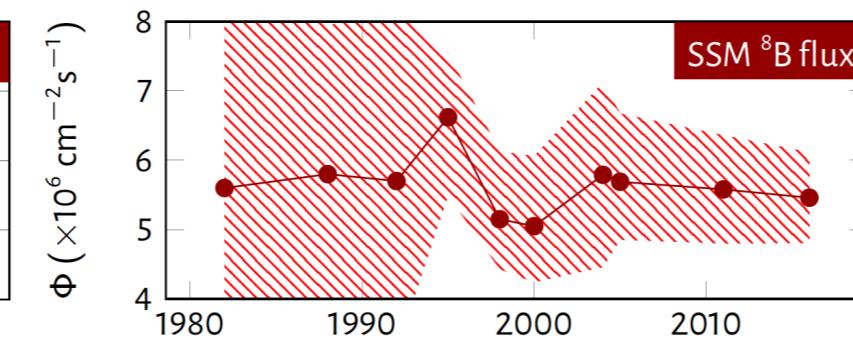
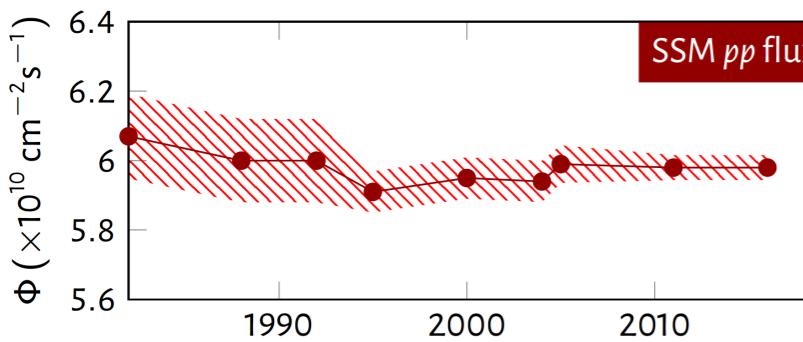
- The observable is the Sun we see now, which depends on a complex evolution process

- Gravity
- Composition: X (hydrogen), Y (helium), , Z (“metals”)
- Radiative opacity and plasma physics
- Temperature and density profiles
- Energy transport: radiative until $0.71 R_{\odot}$, then convective

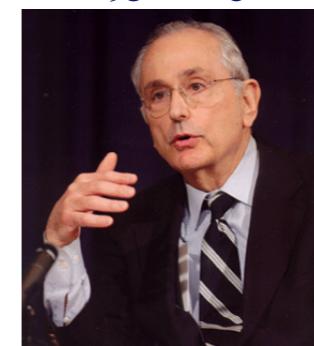
- Today's conditions act as boundary conditions

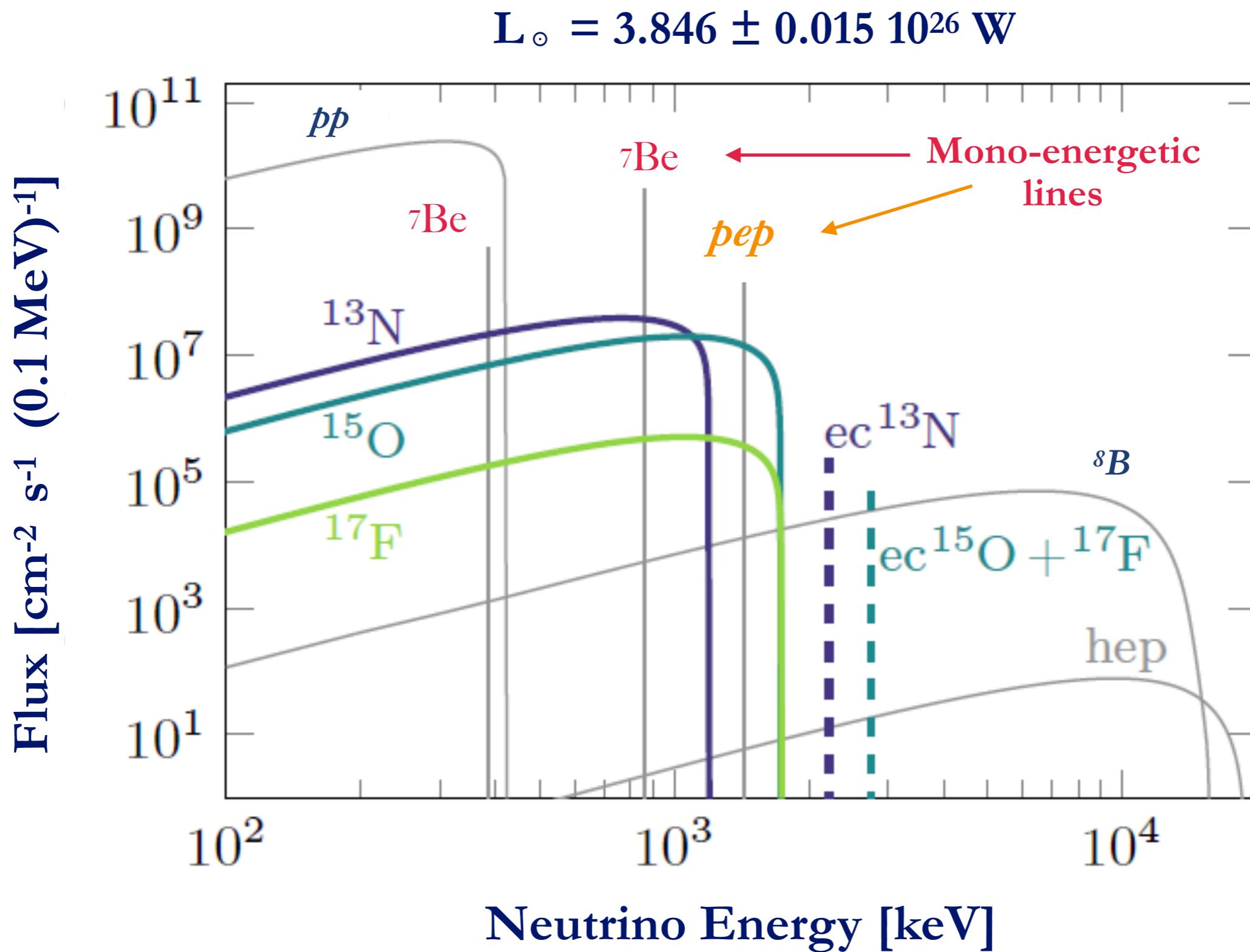
- Two crucial observables:
 - Elio-seismology
 - Solar neutrinos

- The model as well has evolved (better cross sections, opacity and diffusion models)

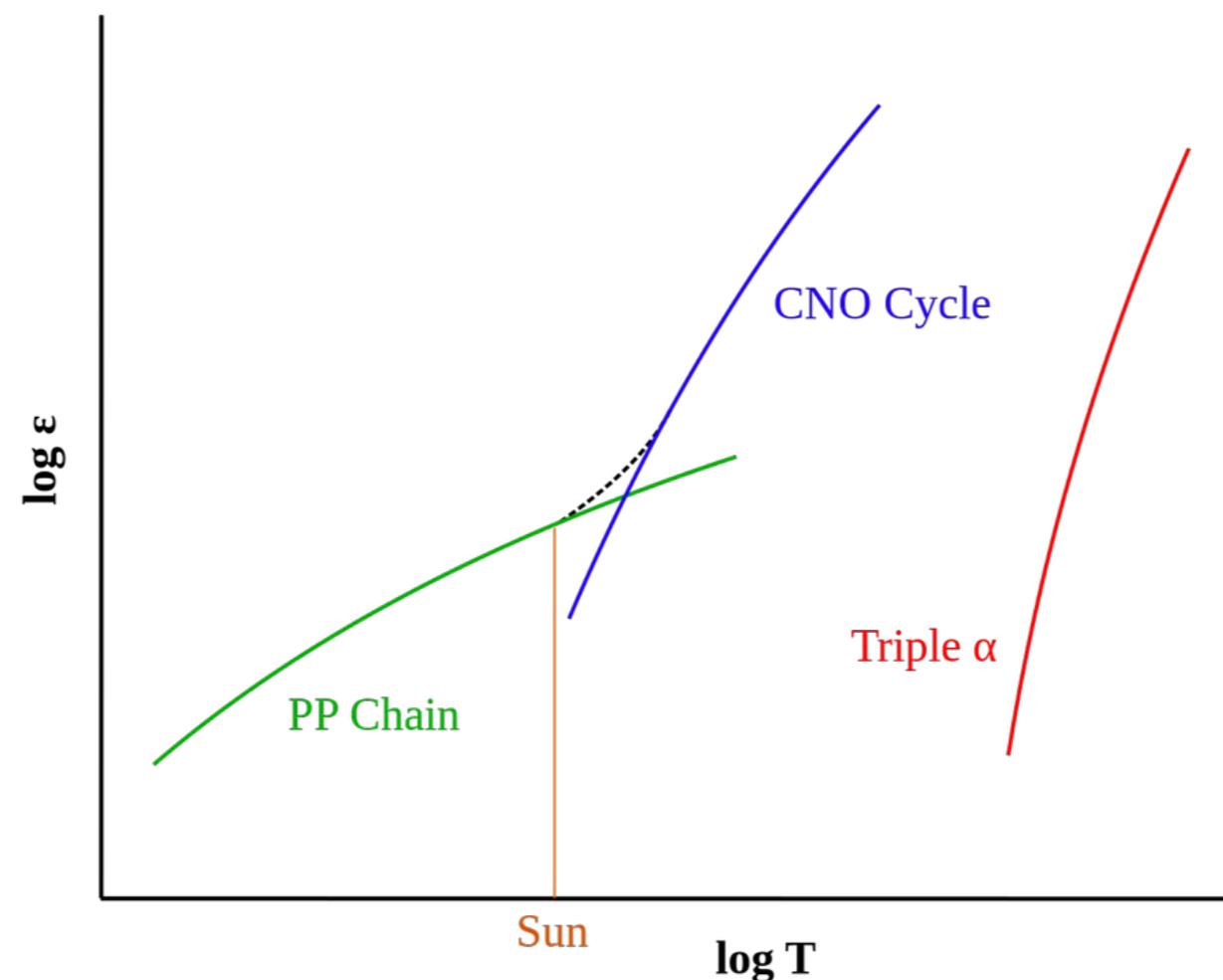
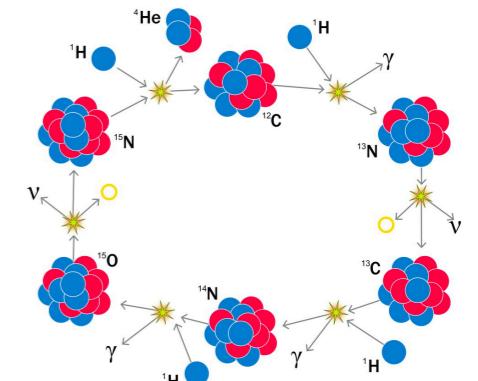


John Bahcall
[1934-2005]





- CNO is dominant in stars heavier than $1.3 M_{\odot}$
 - Never directly observed before Borexino
 - Crucial for stellar evolution and nucleosynthesis in the Universe
- Also, a unique probe of core's chemical composition
 - CNO role in the Sun poorly understood (“metallicity problem”)

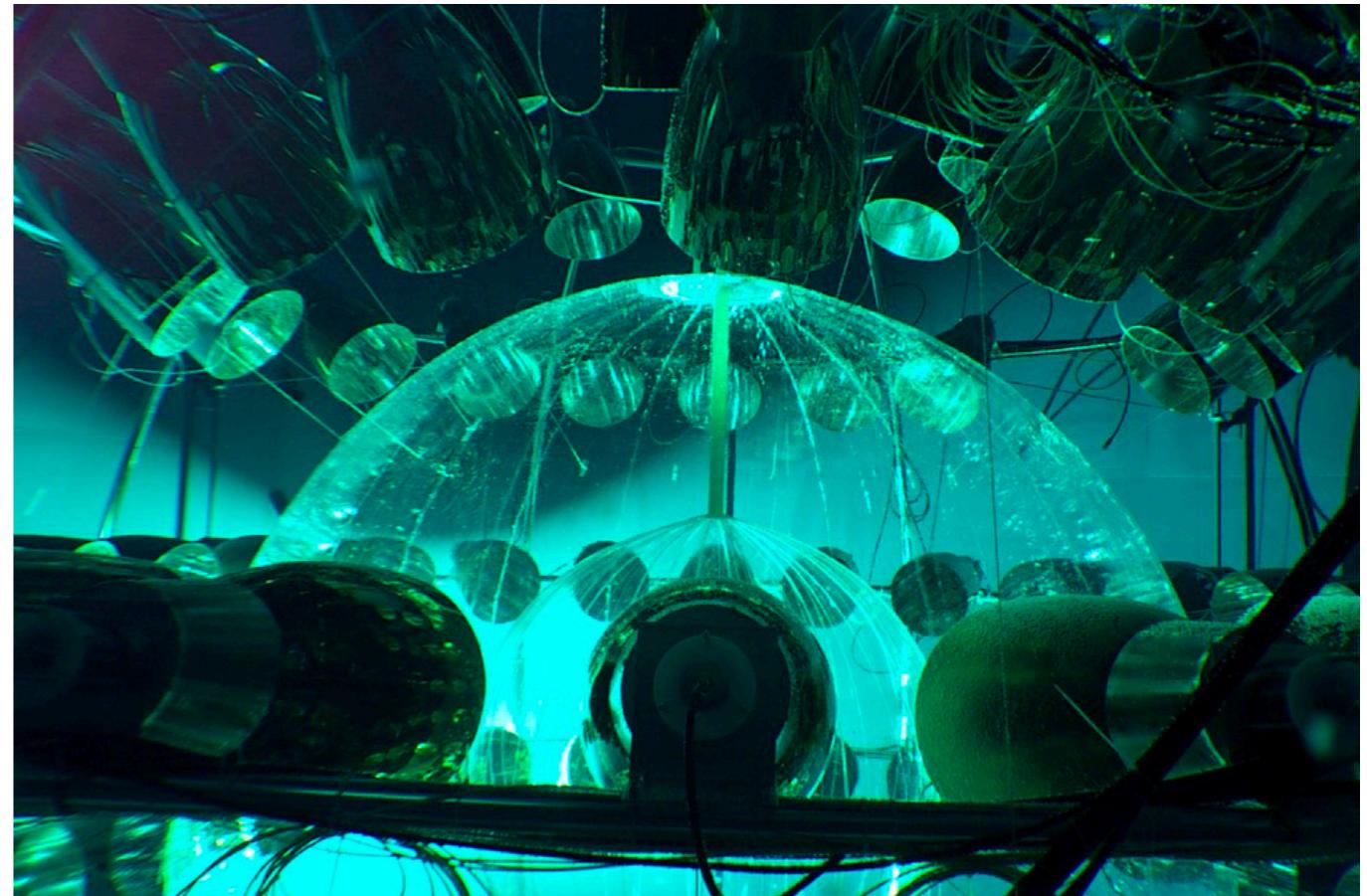


The CNO cycle is the most important mechanism of hydrogen burning of the Universe



- 1990: BOREX idea abandoned, in favour of **elastic scattering on e⁻**
 - A **smaller** detector was needed, **BOREX(ino)** was born!

The Counting Test Facility at LNGS



- 1992-1995: prototype and R&D

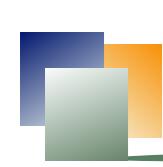
- 1995: the CTF at LNGS proves that Borexino can be done !

- Record radio-purity was measured (at that time):
 - ^{238}U and ^{232}Th below 10^{-16} g/g
 - $^{14}\text{C}/^{12}\text{C}$ below 10^{-18}

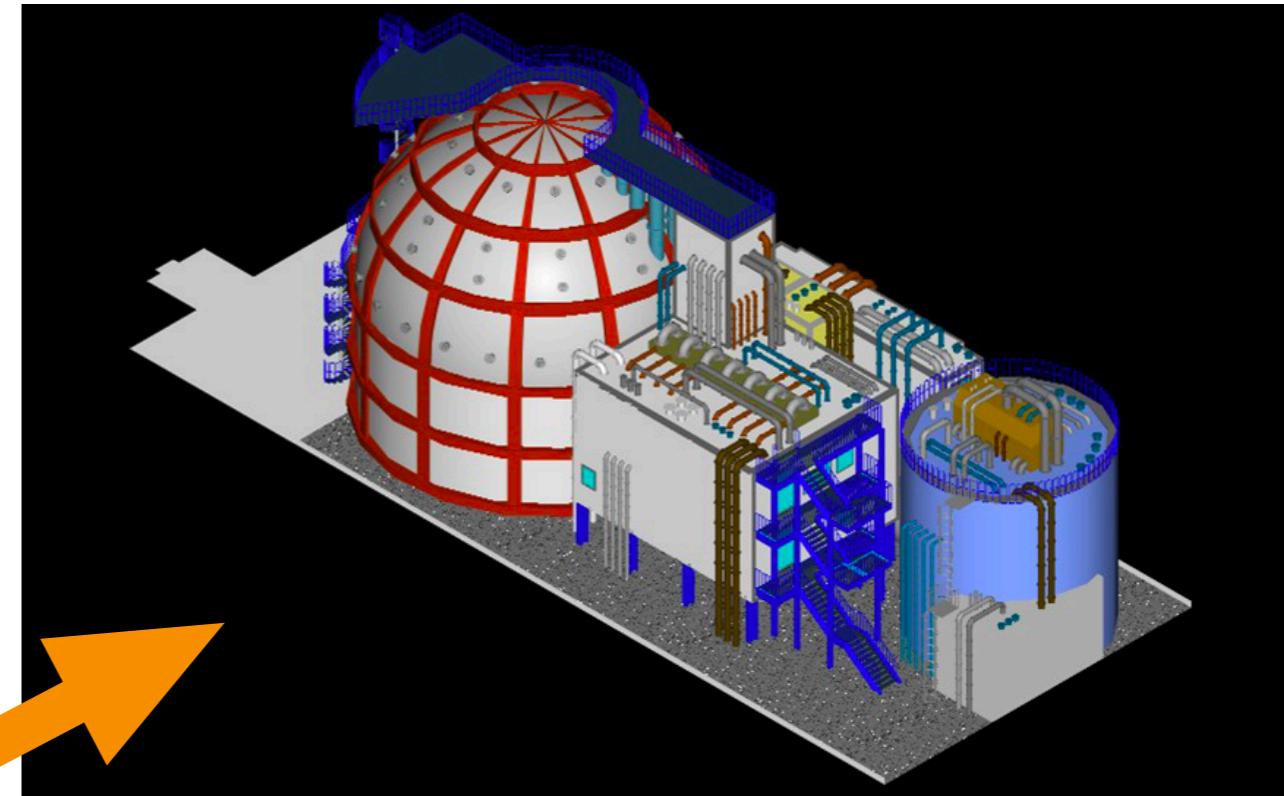
- 1996-2007: construction, with great care, and some environmental hurdles...
- 2007: data taking begins. Still continuing today, with no stops.







Borexino location

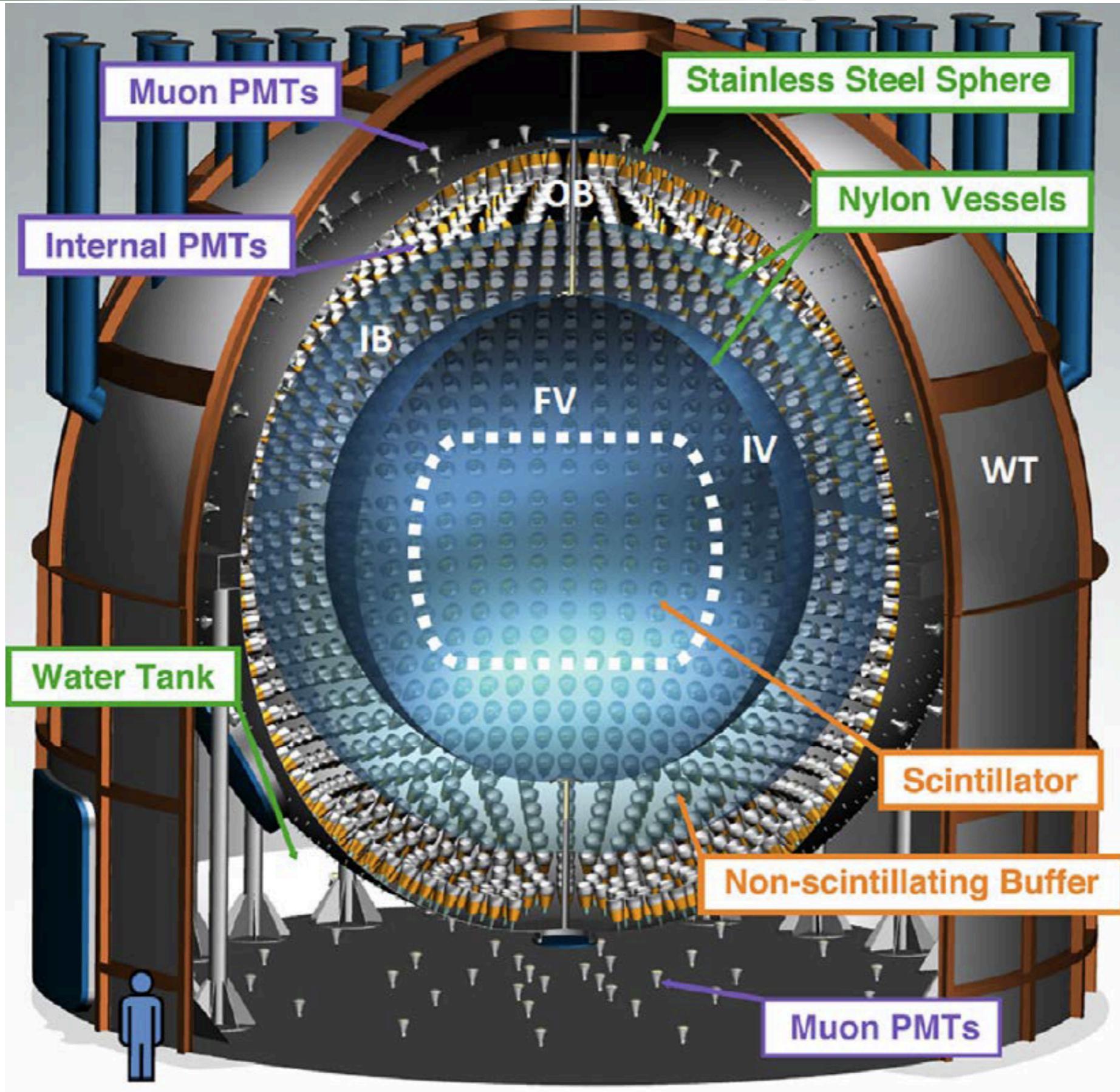


Laboratori Nazionali del Gran Sasso
(Hall C)

Rock: 3.800 m w.e. – muon flux $\sim 25 \text{ m}^{-2} \text{ d}^{-1}$

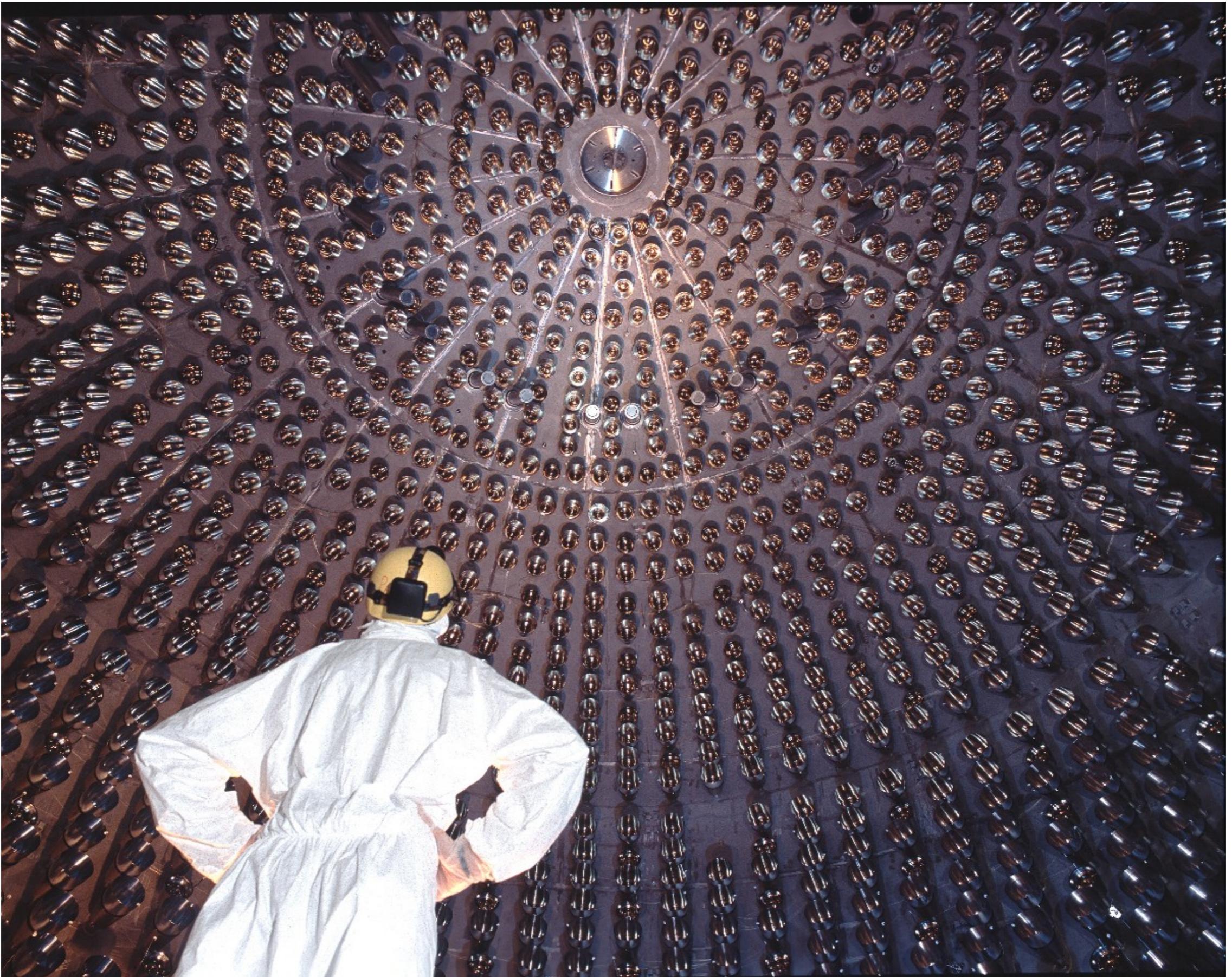


The detector





Internal view, empty

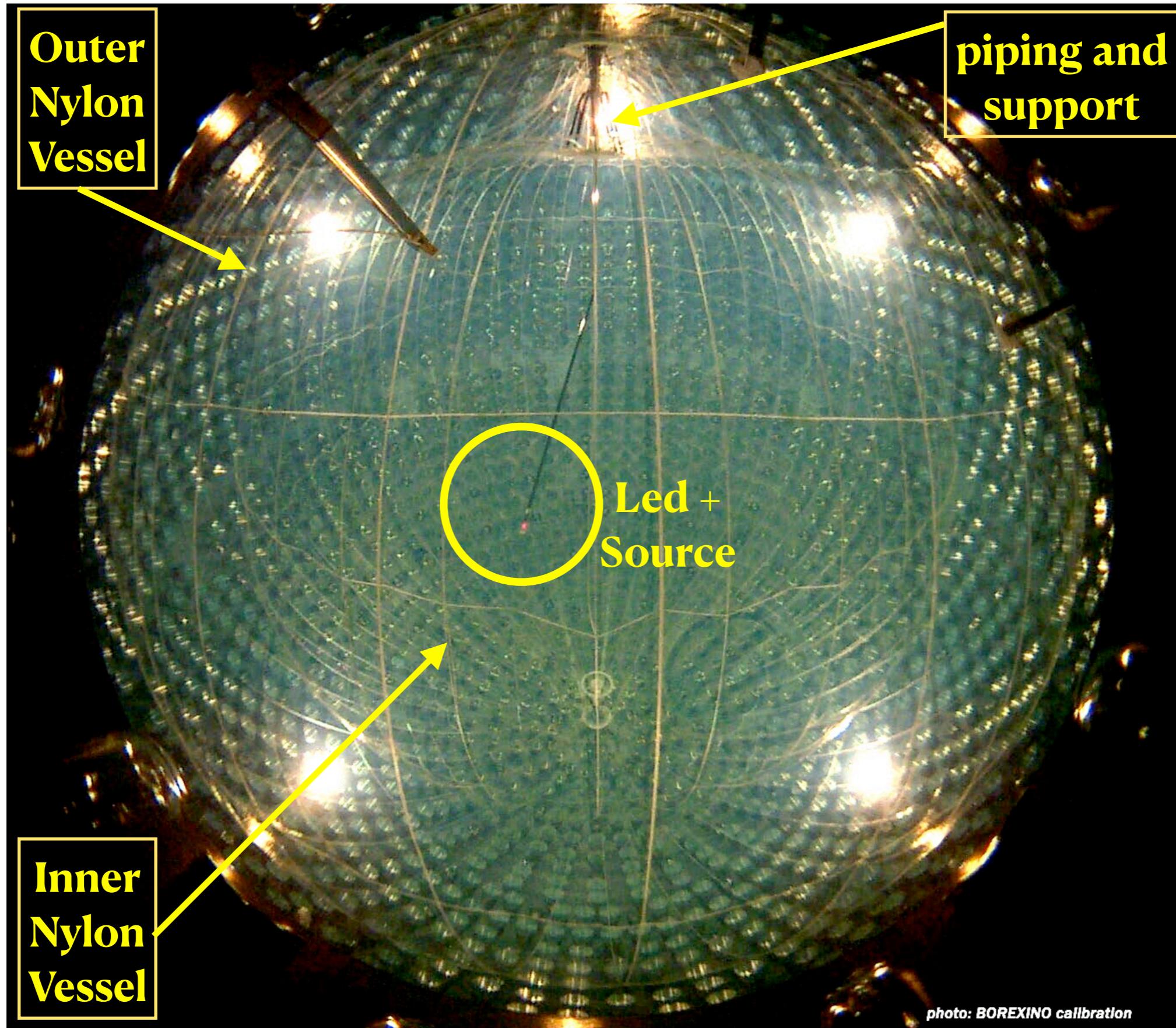




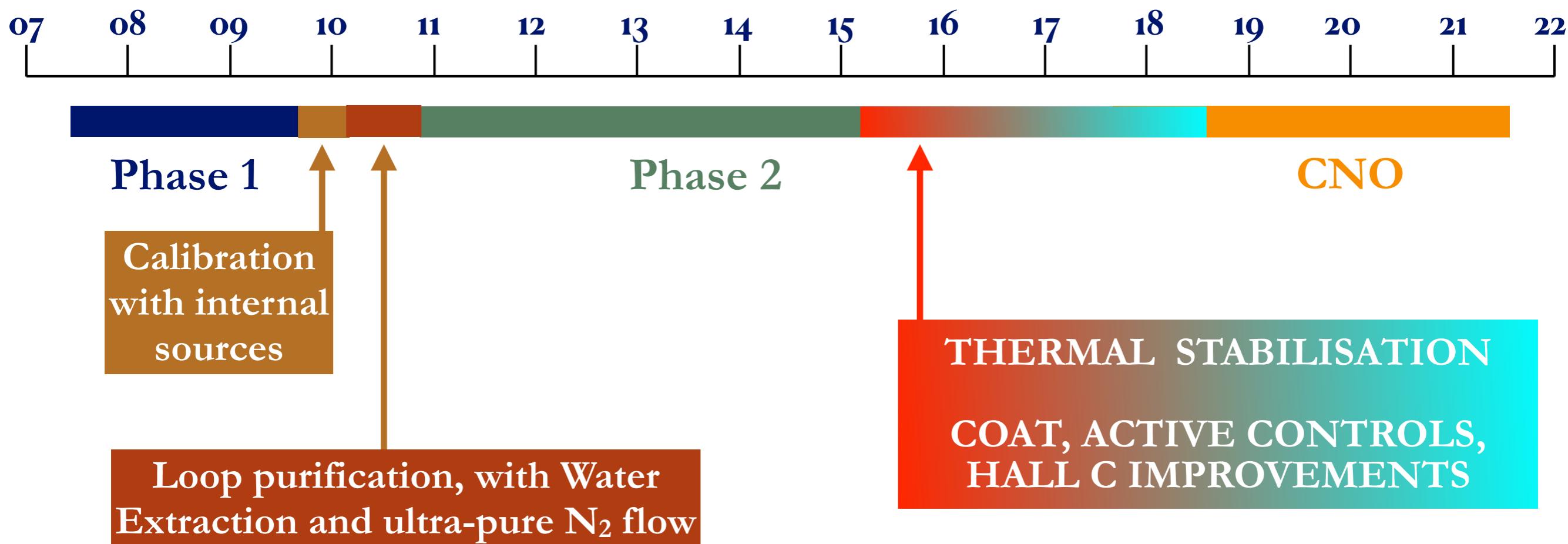
Internal view: inflated vessels (with N₂)



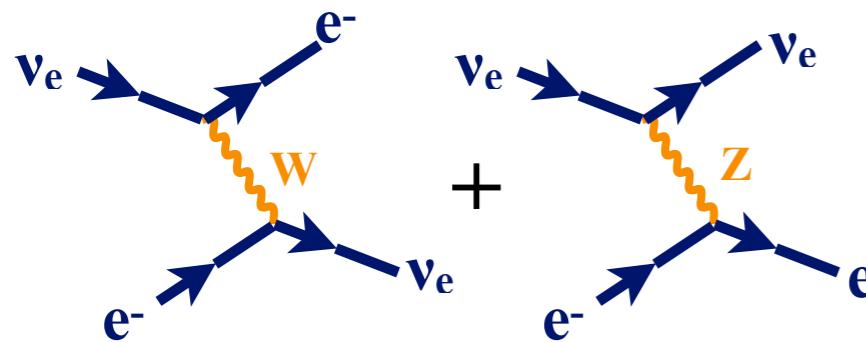
Internal view, filled, during calibration in 2009



- 3 data taking periods
 - **Phase I** (2007-2009): first detections
 - **Phase II** (2011-2017): pp chain precise measurements
 - **Phase III** (2018-2020): **CNO measurement**



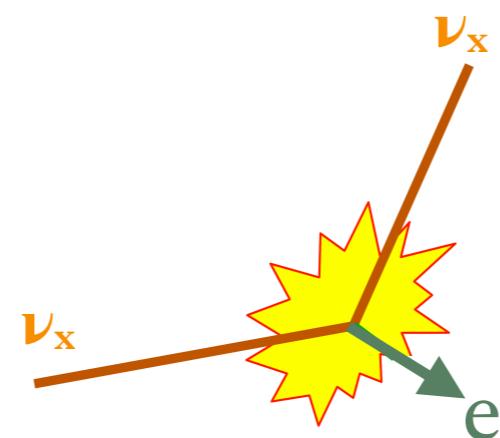
- Elastic scattering on e^- : detects **all** ν flavours, with a **larger cross-section for ν_e**



$$\xi = \sin^2 \theta_W \simeq 0.23$$

$$\sigma(\nu_e e^-) = \frac{G_F^2 s}{\pi} \left[\left(\frac{1}{2} + \xi \right)^2 + \frac{\xi^2}{3} \right]$$

9.5 10^{-45} cm 2 @ 1 MeV



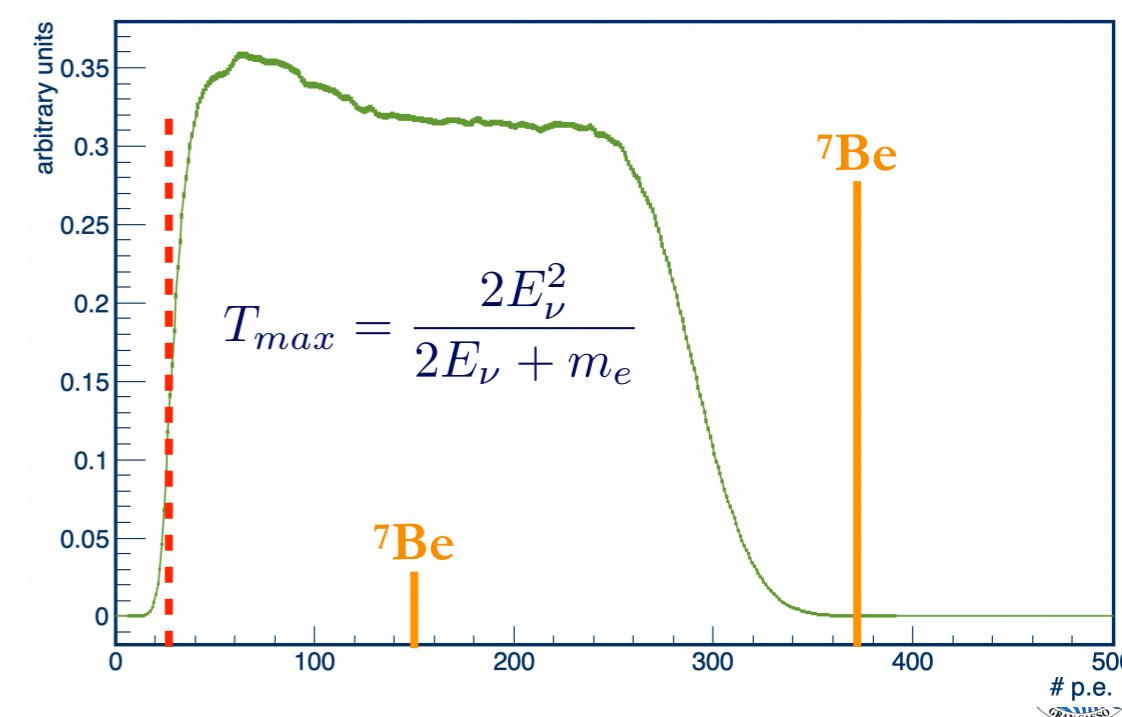
$$\sigma(\nu_x e^-) = \frac{G_F^2 s}{\pi} \left[\left(\frac{1}{2} - \xi \right)^2 + \frac{\xi^2}{3} \right]$$

1.8 10^{-45} cm 2 @ 1 MeV

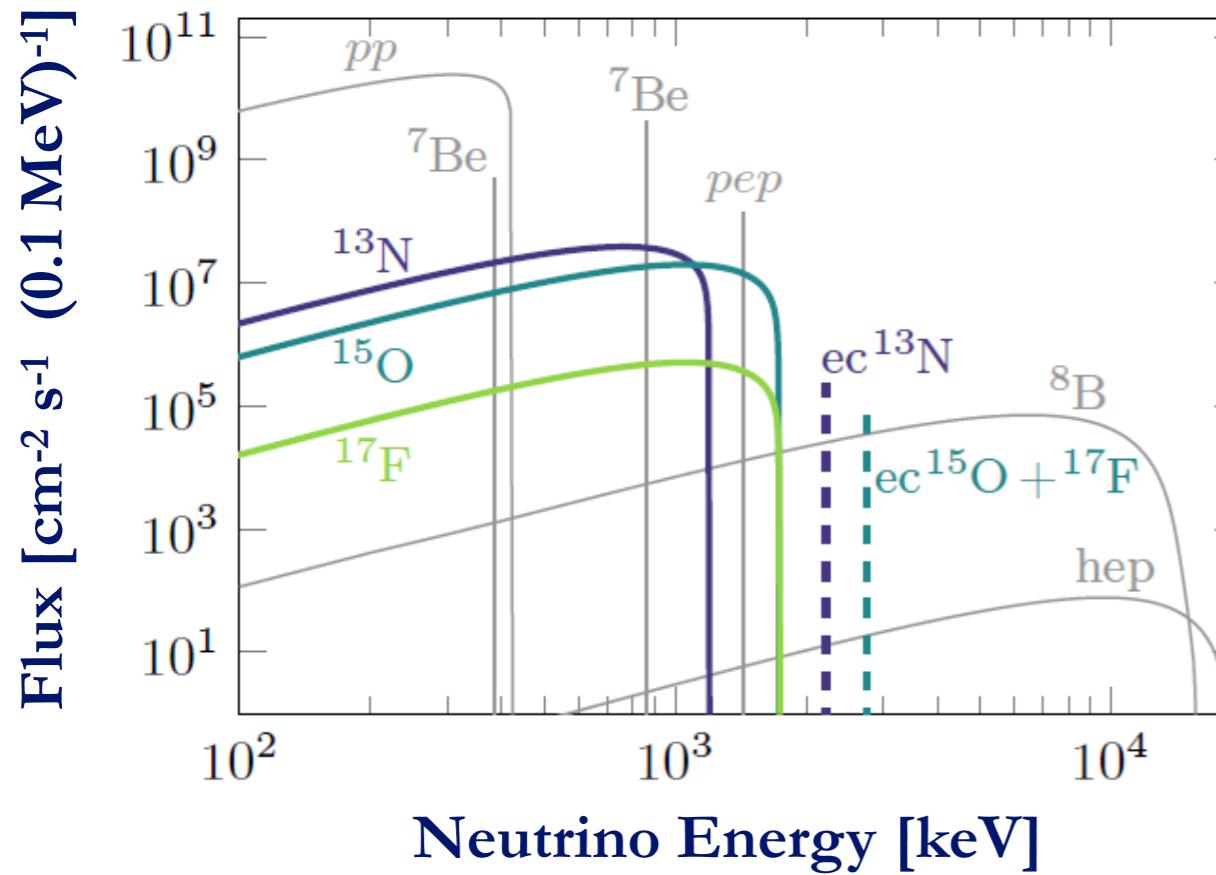
- The e^- is scattered in the **liquid scintillator**:

- path: **few mm**
- physics thresh.: **very small**
- triggering thresh.: **~40 keV** (dep.)
- analysis thresh.: **~ 200 keV**

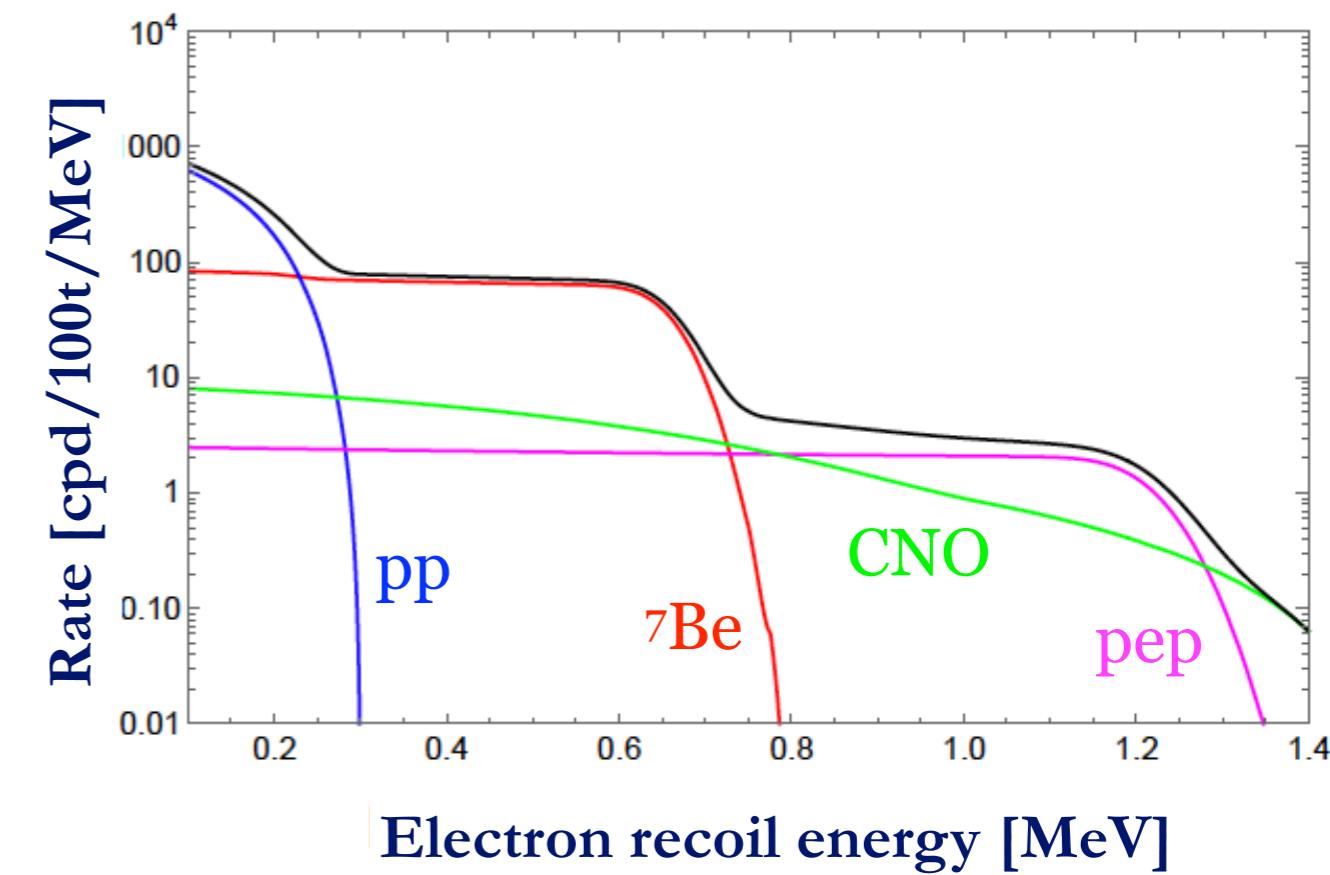
SIGNATURE: 'Compton' shoulders



SOLAR NEUTRINO SPECTRUM



DETECTION RATE IN BOREXINO



Source	Count Rate [cpd/100t/d]	Comments on detection	First detection in BX
^7Be	~ 48	Clear signature on the shoulder	2007
^8B	< 1	Small, but high energy, low background	2010
pep	~ 3	Weak signature on top of ^{11}C	2012
pp	~ 140	Low energy, partially covered by ^{14}C	2014
CNO	~ 5	Small signal, migrating background (see talk)	2020
hep	Not measurable today	Signal too low, mostly covered by ^8B	never



• Quasi-point-like energy deposits mimic neutrino events

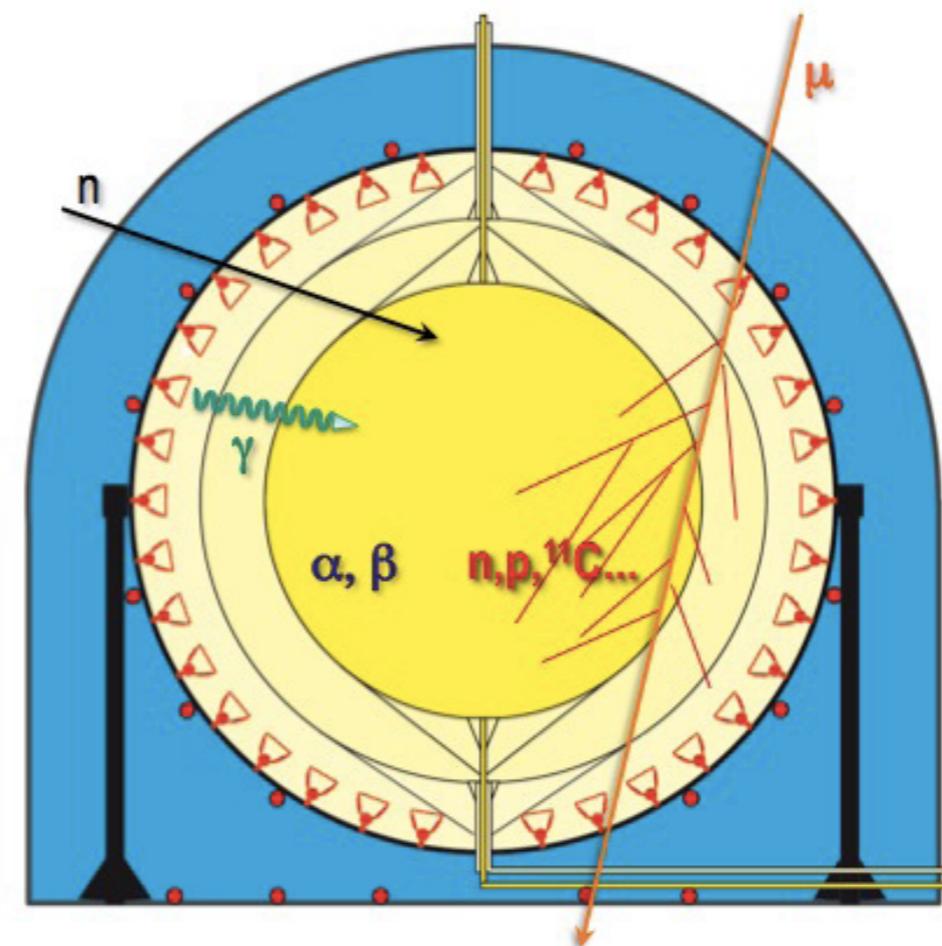
EXTERNAL

- γ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

INTERNAL

- α and β emitters dissolved in the scintillator
- ^{14}C , ^{238}U , ^{232}Th , ^{40}K , ^{39}Ar , ^{7}Be , ...
 ^{85}Kr , ^{210}Pb , ^{210}Po



COSMOGENIC

- Residual muons produce long living isotopes (μs to days range)

^{11}C , ^8He , ^9C , ^9Li ,

MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

^{210}Po , ^{222}Rn



- Quasi-point-like energy deposits mimic neutrino events

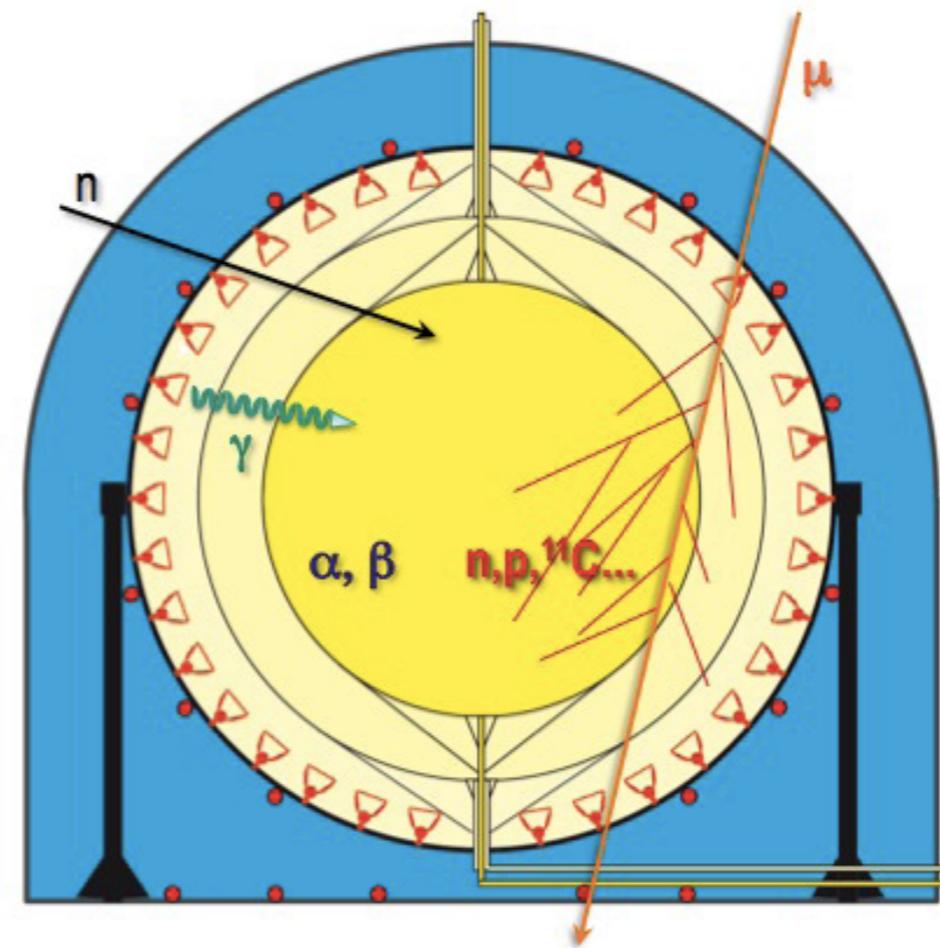
EXTERNAL

- γ s (and n) from environment
- and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

INTERNAL

- α and β emitters dissolved in the scintillator
- ^{14}C , ^{238}U , ^{232}Th , ^{40}K , ^{39}Ar , ^{7}Be , ...
 ^{85}Kr , ^{210}Pb , ^{210}Po



COSMOGENIC

- Residual muons produce long living isotopes (μs to days range)

^{11}C , ^{8}He , ^{9}C , ^{9}Li ,

MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

^{210}Po , ^{222}Rn

FIGHTING STRATEGY

- Shielding, muon tagging and tracking
- Material selection (steel, PMTs, nylon)
- Nylon vessel (material selection, clean construction, no air exposure)



• Quasi-point-like energy deposits mimic neutrino events

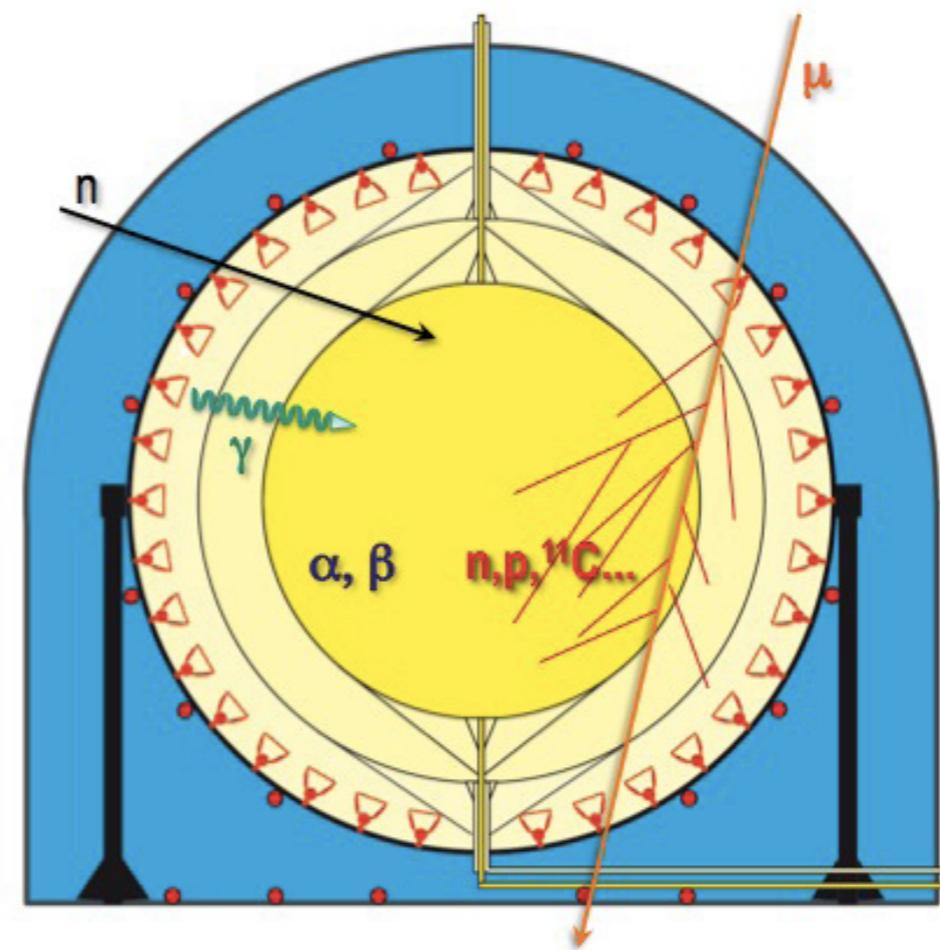
EXTERNAL

- γ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

INTERNAL

- α and β emitters dissolved in the scintillator
- ^{14}C , ^{238}U , ^{232}Th , ^{40}K , ^{39}Ar , ^{7}Be , ...
 ^{85}Kr , ^{210}Pb , ^{210}Po



COSMOGENIC

- Residual muons produce long living isotopes (μs to days range)

^{11}C , ^{8}He , ^{9}C , ^{9}Li ,

MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

^{210}Po , ^{222}Rn

A long story made short!

FIGHTING STRATEGY

- Selection of PC vendor for low ^{14}C , dedicated plant, and custom transportation
- **Distillation of PC, Water Extraction of PC+PPO solution**
- Development of **low Ar and Kr** N_2 to remove dissolved contaminants
- Extreme cleanliness of plants, carefully designed filling procedures



- Quasi-point-like energy deposits mimic neutrino events

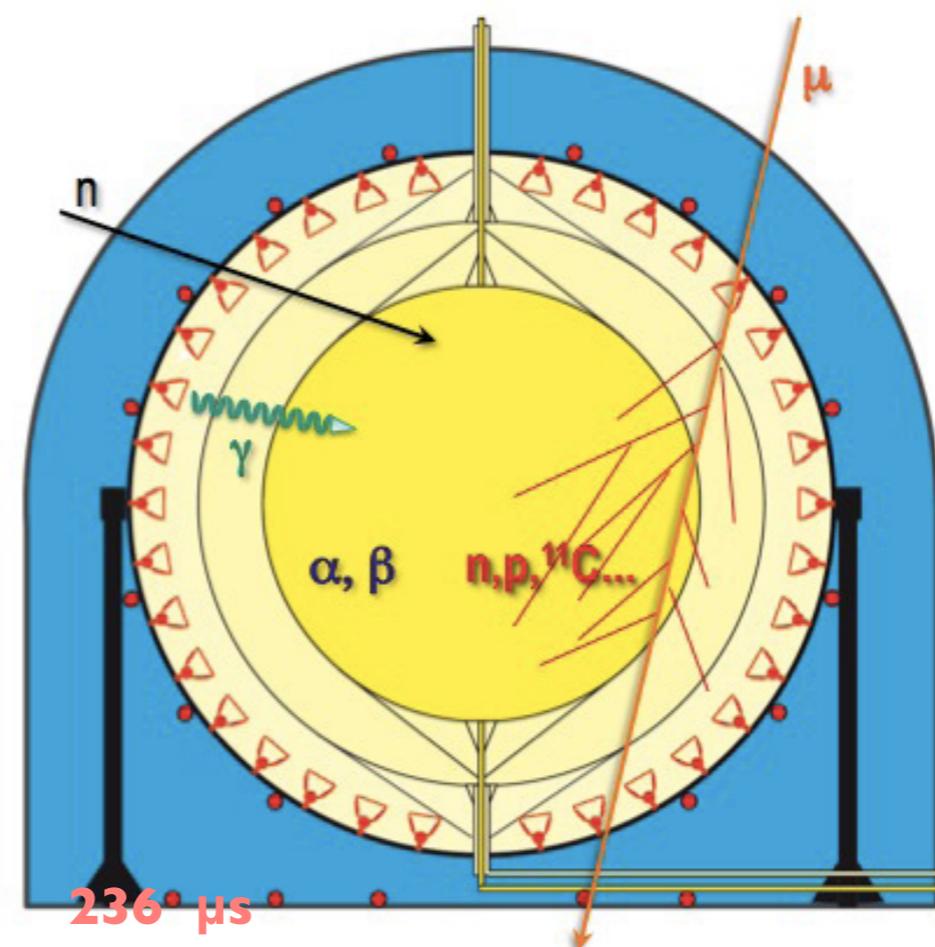
EXTERNAL

- γ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

INTERNAL

- α and β emitters dissolved in the scintillator
- ^{14}C , ^{238}U , ^{232}Th , ^{40}K , ^{39}Ar , ^{7}Be , ...
 ^{85}Kr , ^{210}Pb , ^{210}Po



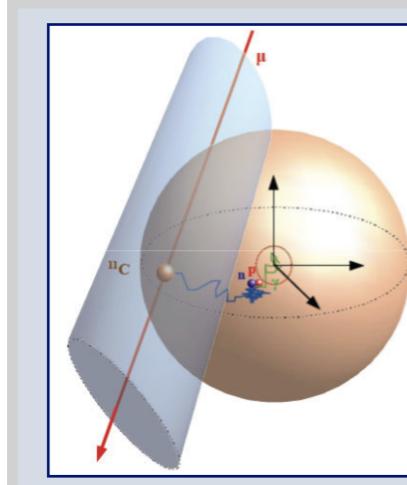
COSMOGENIC

- Residual muons produce long living isotopes (μs to days range)

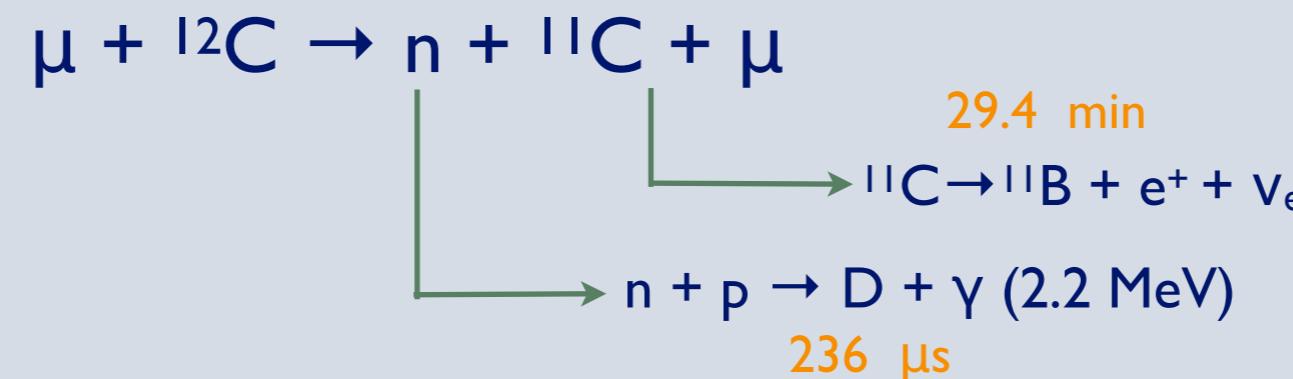
^{11}C , ^8He , ^9C , ^9Li ,

MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV
- ^{210}Po , ^{222}Rn



FIGHTING STRATEGY



Other isotopes:
removed by
“after muon”
veto cuts



- Quasi-point-like energy deposits mimic neutrino events

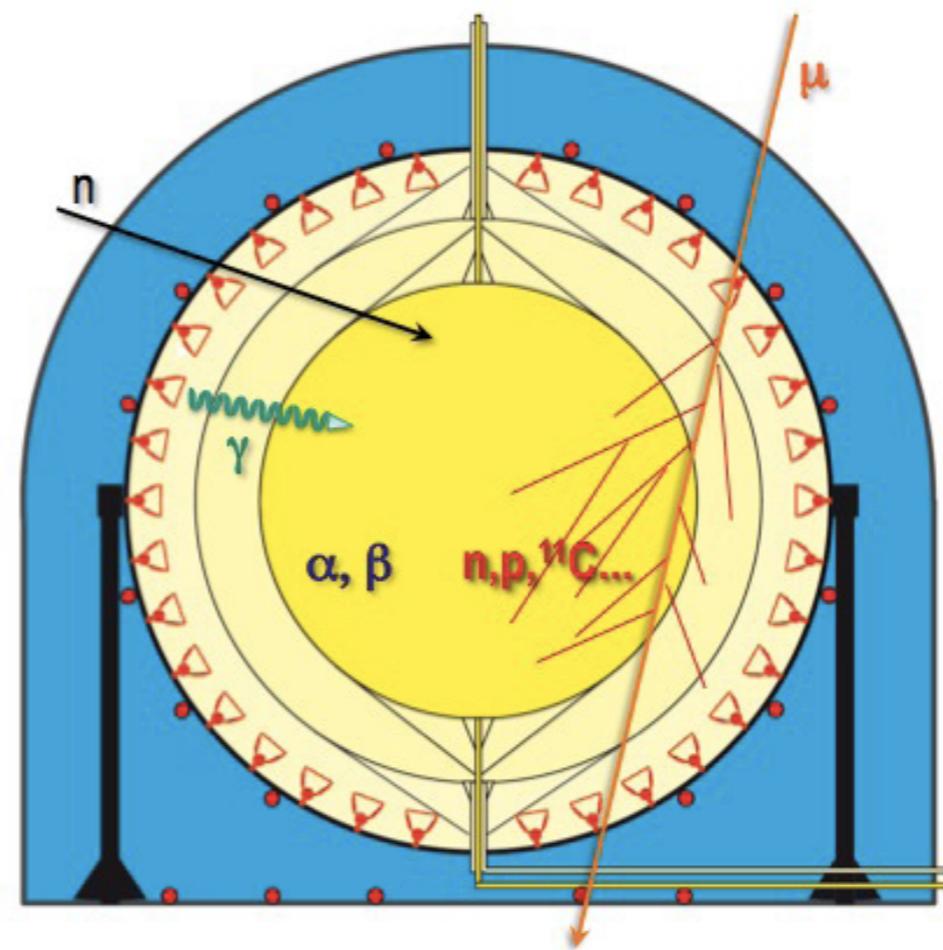
EXTERNAL

- γ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

INTERNAL

- α and β emitters dissolved in the scintillator
- ^{14}C , ^{238}U , ^{232}Th , ^{40}K , ^{39}Ar , ^{7}Be , ...
 ^{85}Kr , ^{210}Pb , ^{210}Po



COSMOGENIC

- Residual muons produce long living isotopes (μs to days range)

^{11}C , ^{8}He , ^{9}C , ^{9}Li ,

MIGRATING

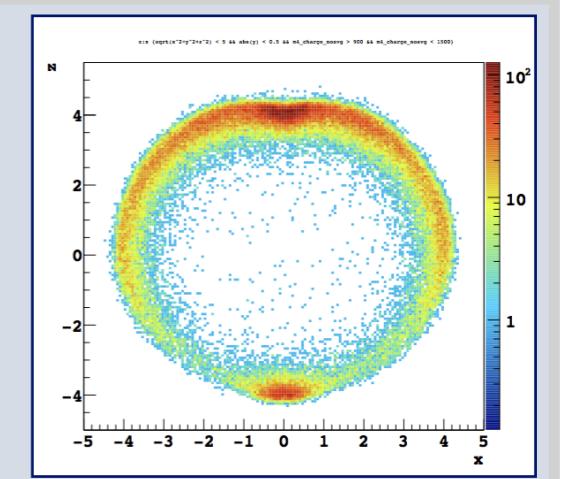
- Detaching from Nylon Vessel and transported by convection into the FV

^{210}Po , ^{222}Rn

FIGHTING STRATEGY

- Isotopes detaching from IV may reach the FV
 - ^{210}Po (chiefly) and ^{222}Rn daughters
- Leaching rate (chemistry) and speed (convection currents)
 - Only if they live long enough!

See later



- A key step toward pp and CNO ν

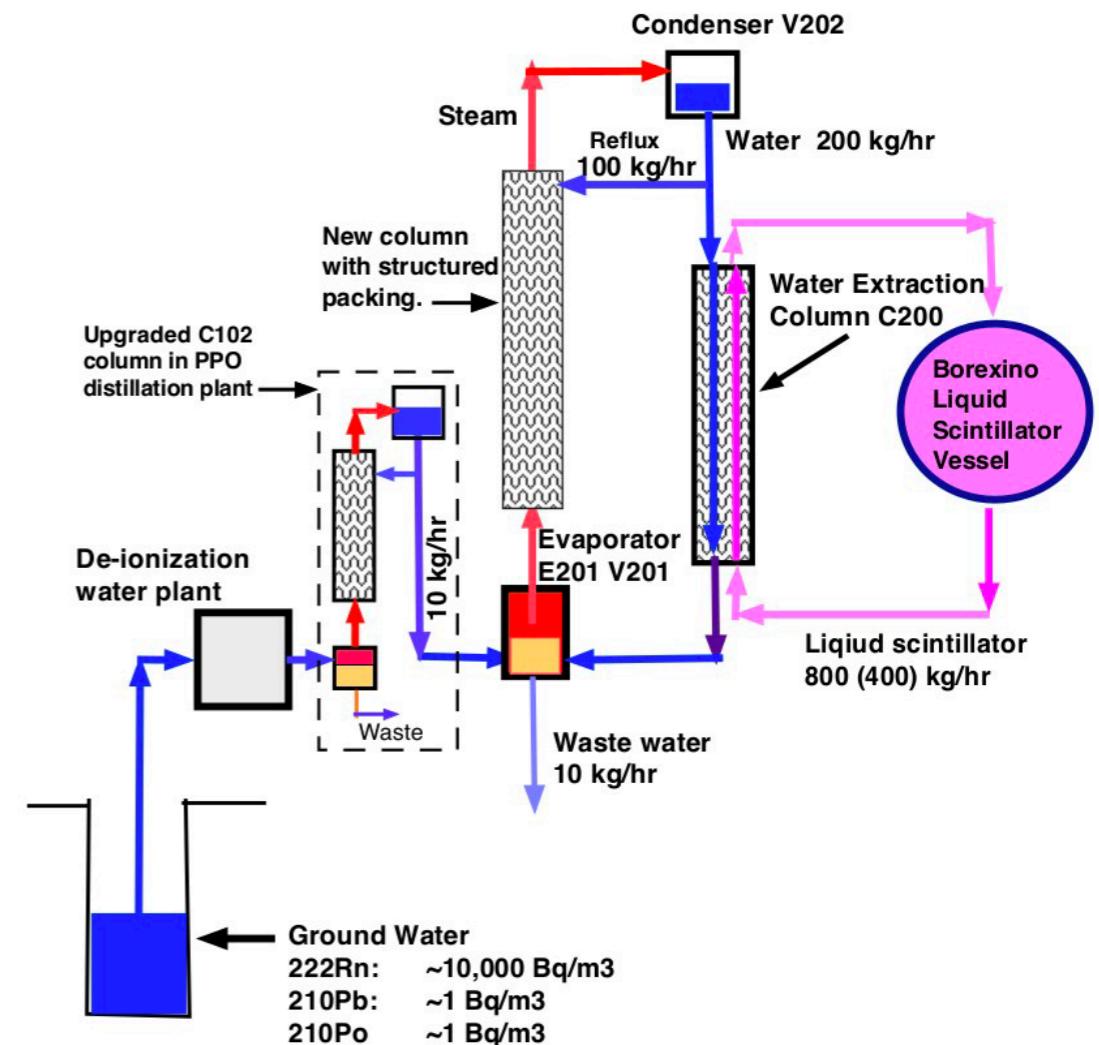
- Water Extraction

- Turbulent mixing with ultra-clean water to remove ions, much more soluble in water

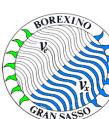
- Low Ar-Kr N₂ bubbling

- Turbulent mixing with N₂ flow, to remove dissolved gasses

- Very successful on ²³⁸U, ²³²Th (chain heads), ²¹⁰Bi and ⁸⁵Kr

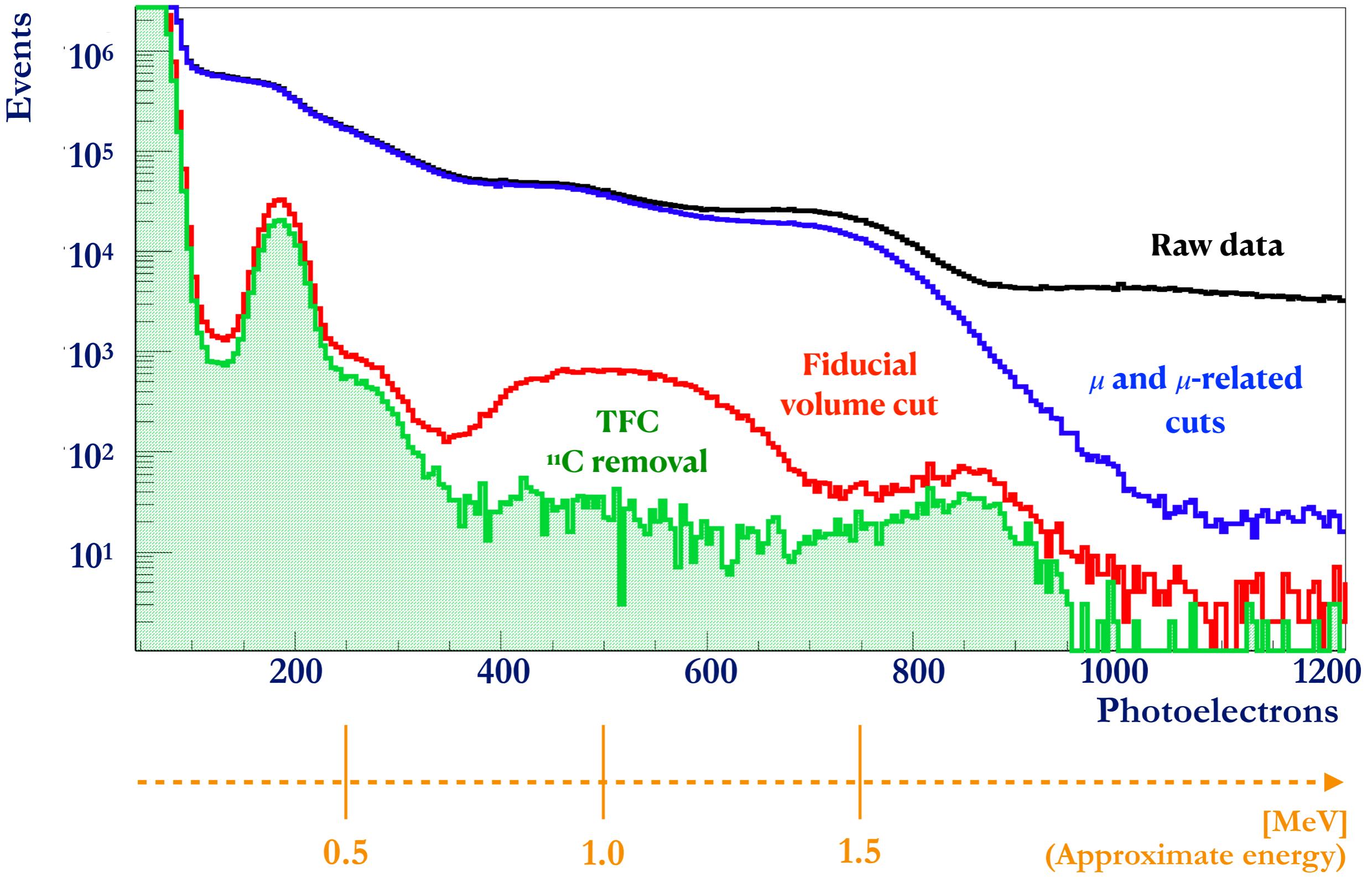


Isotope	2007-2010	2012-2020
²³⁸ U	$1.6 \pm 0.1 \text{ } 10^{-17} \text{ g/g}$	$< 9.4 \text{ } 10^{-20} \text{ g/g}$
²³² Th	$5.1 \pm 1 \text{ } 10^{-18} \text{ g/g}$	$< 5.7 \text{ } 10^{-19} \text{ g/g}$
⁸⁵ Kr	$\sim 30 \text{ cpd}/100 \text{ t}$	$\sim 5 \text{ cpd}/100 \text{ t}$
²¹⁰ Bi	$\sim 40 \text{ cpd}/100 \text{ t}$	$\sim 10 \text{ cpd}/100 \text{ t}$



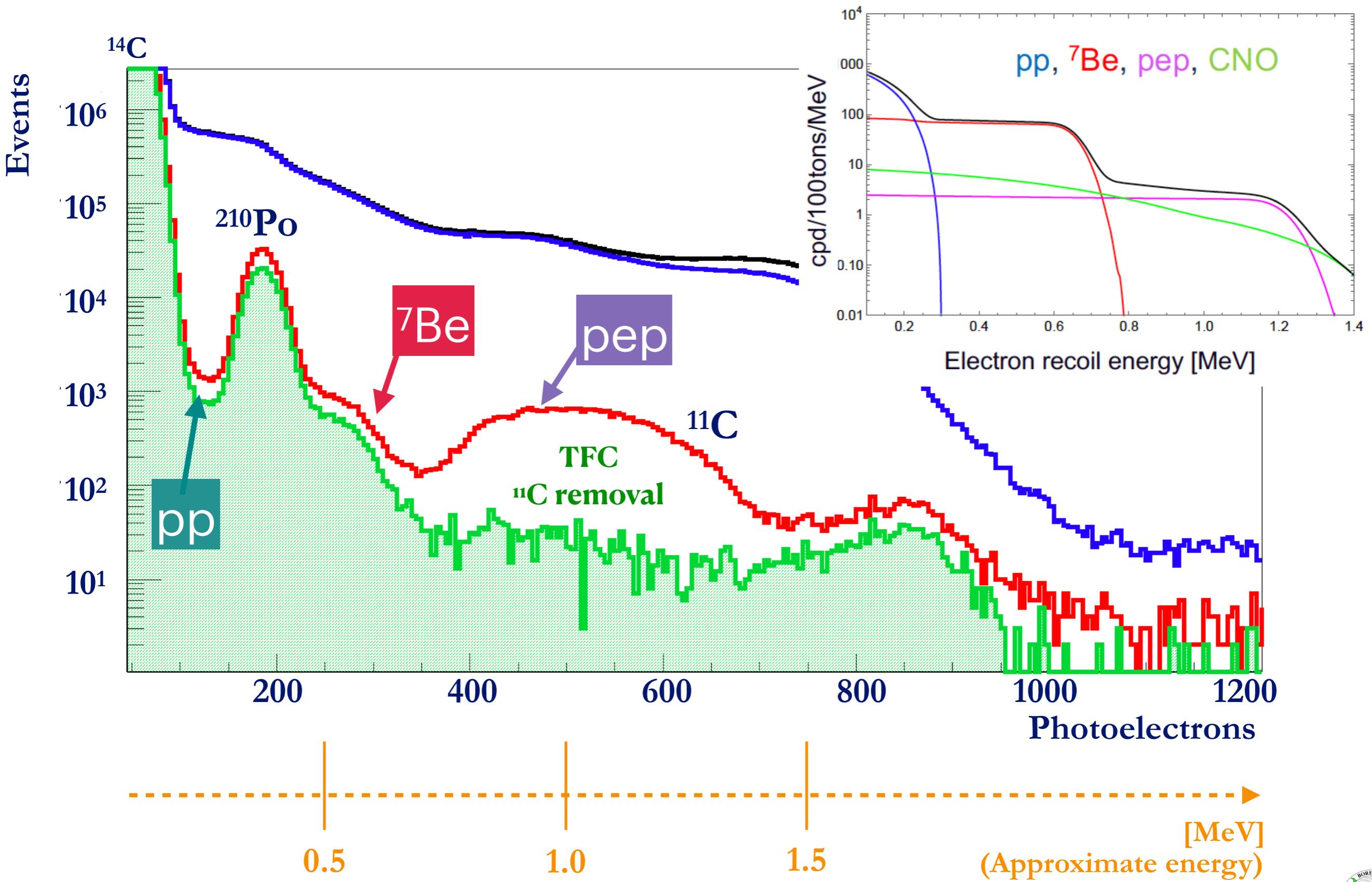


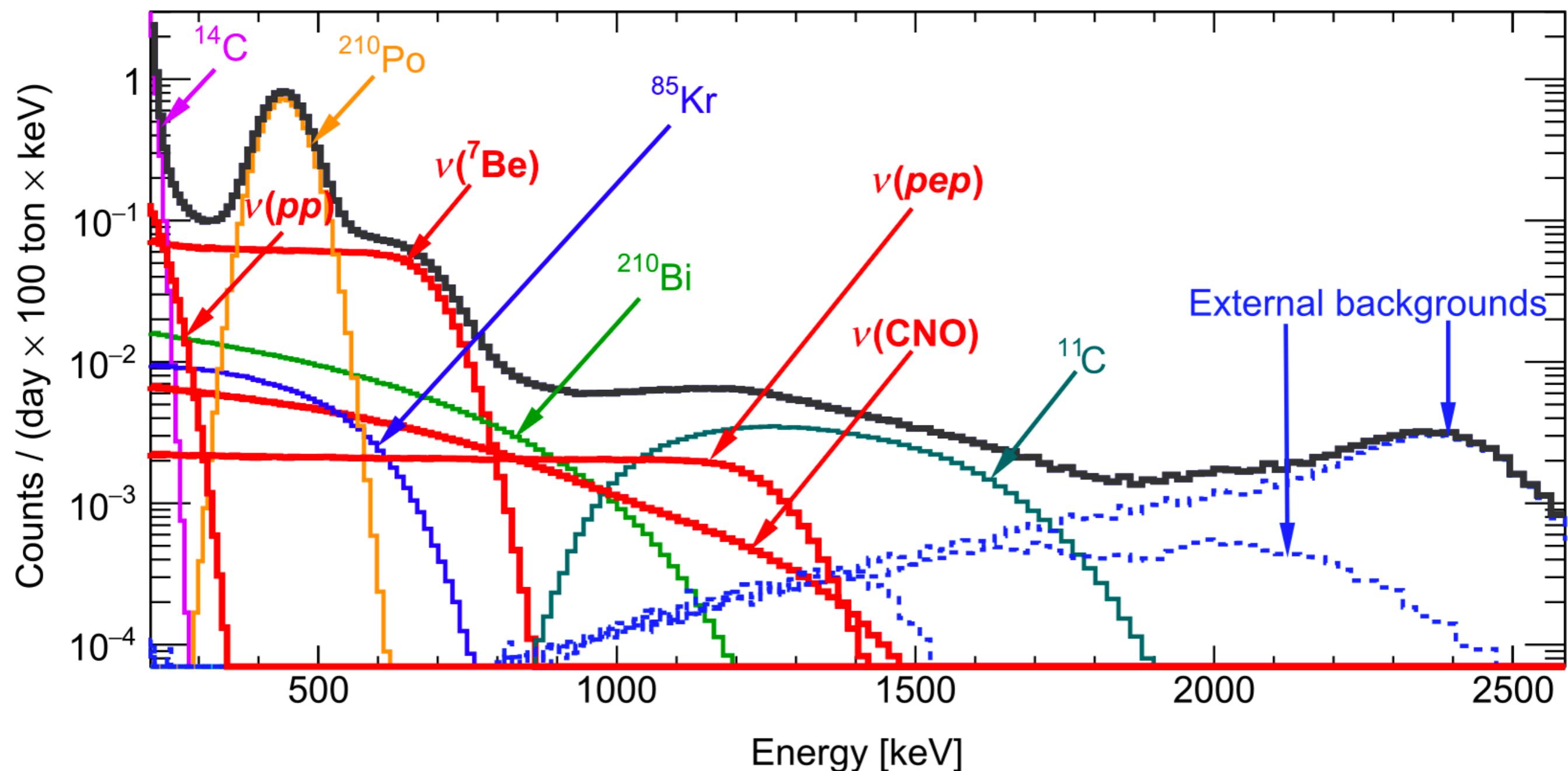
Understanding the data

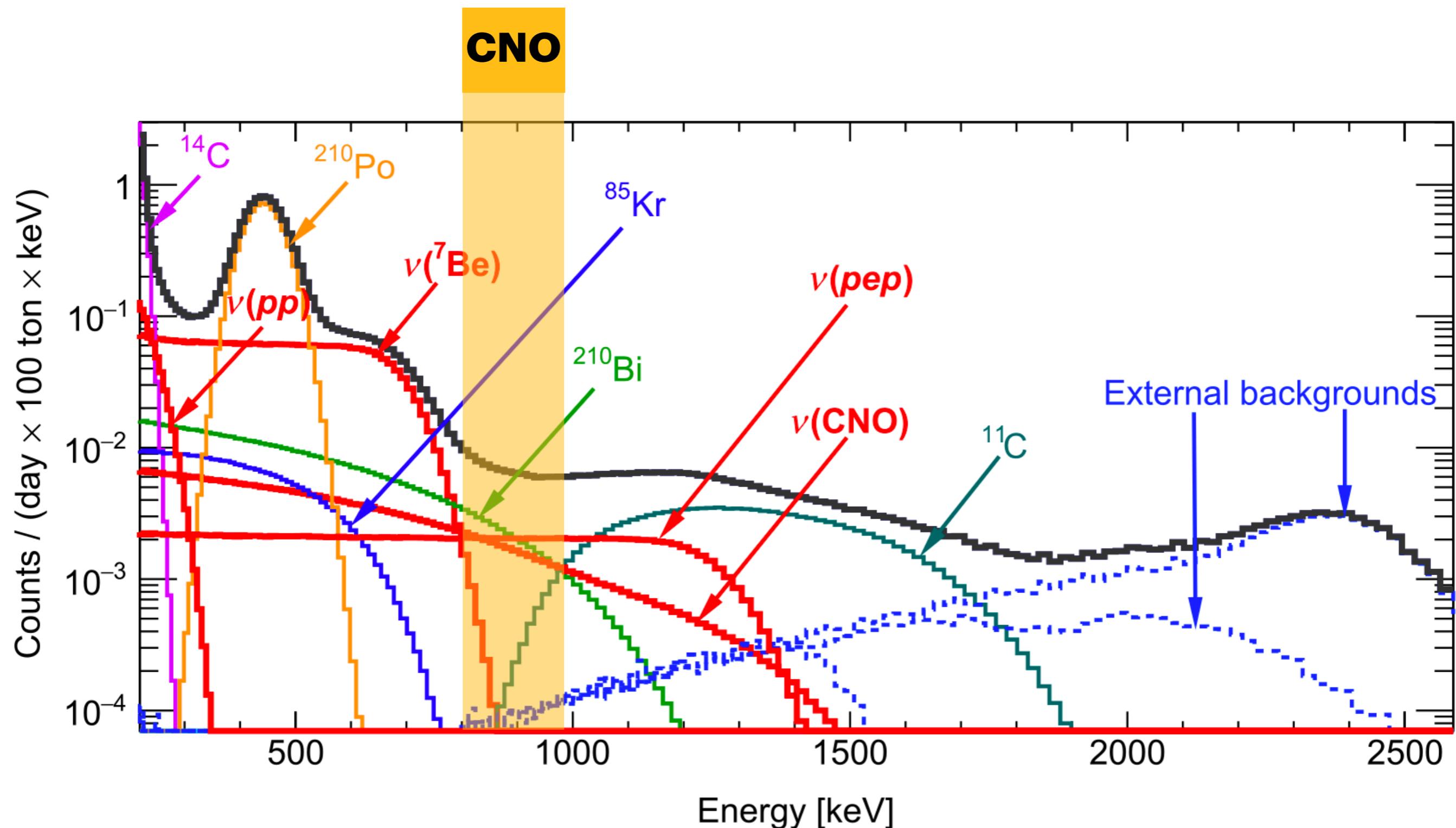


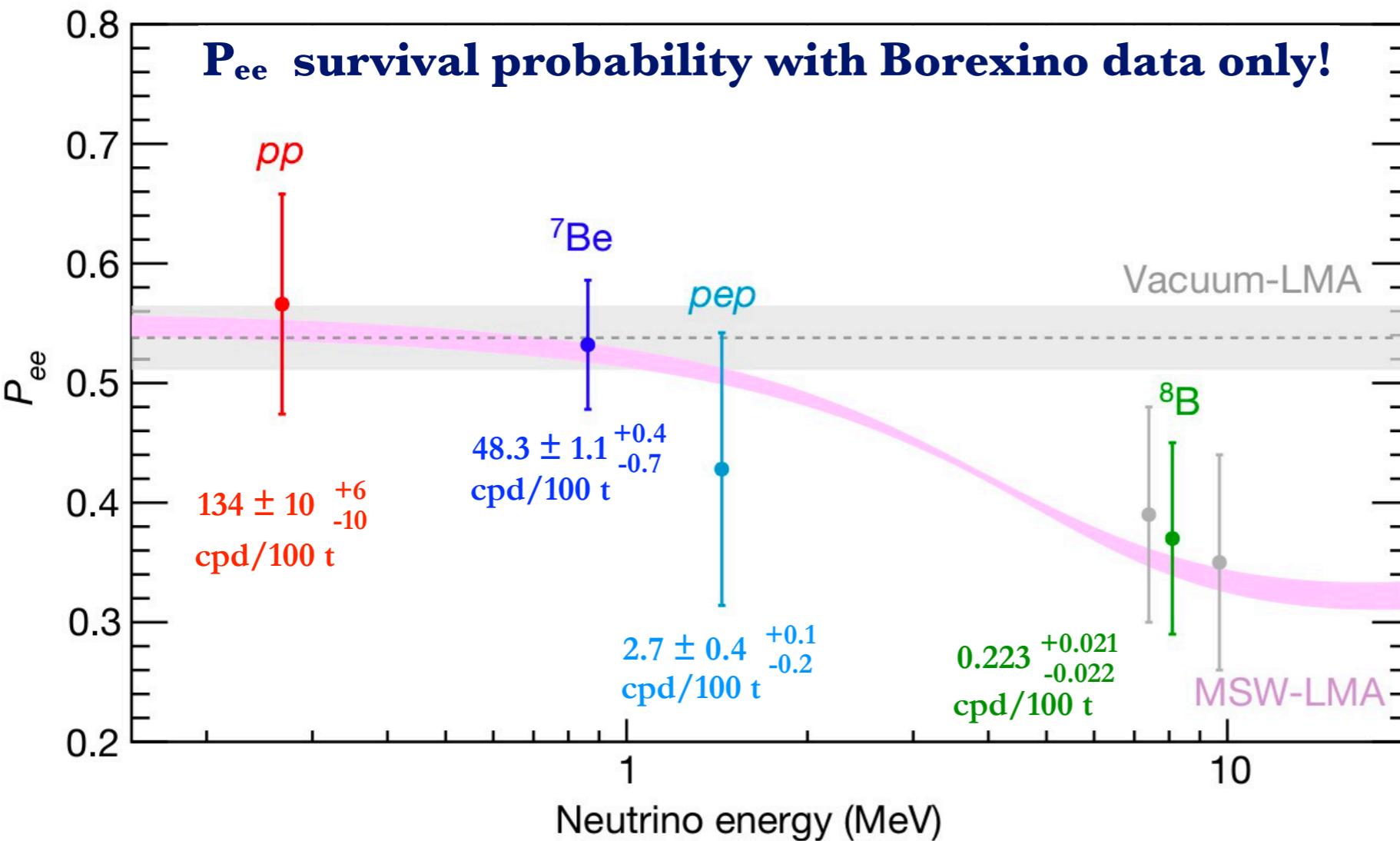


Understanding the data



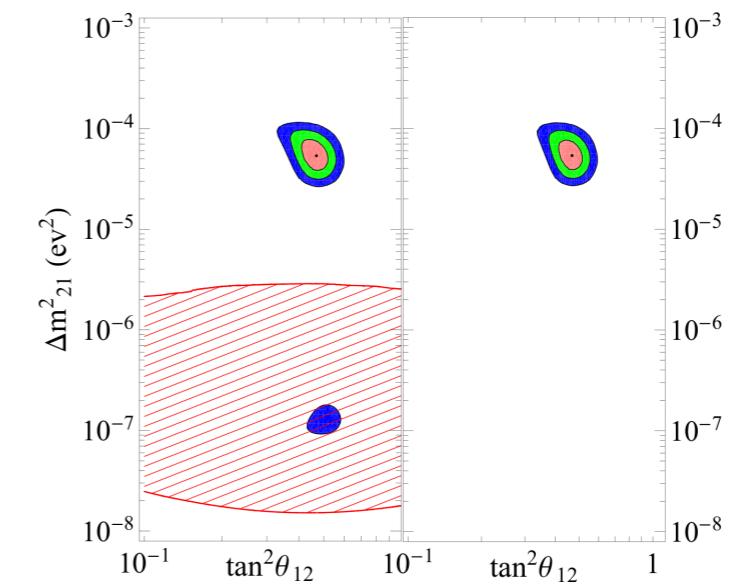
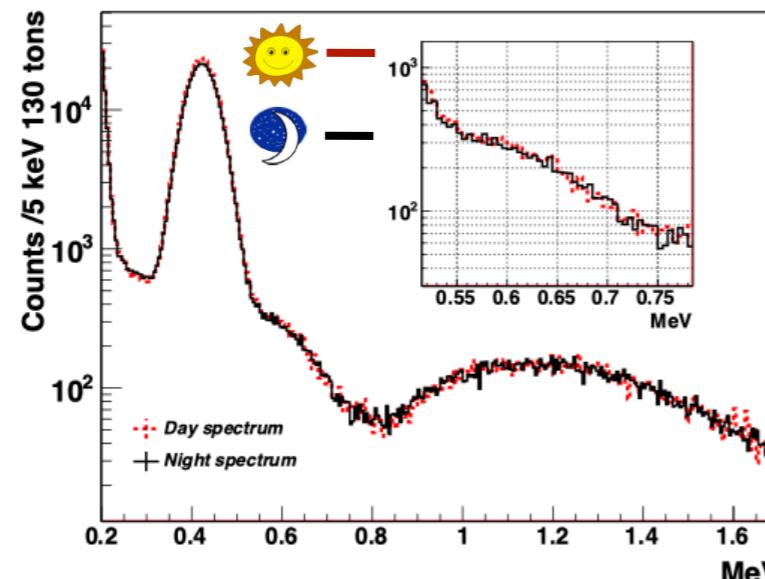






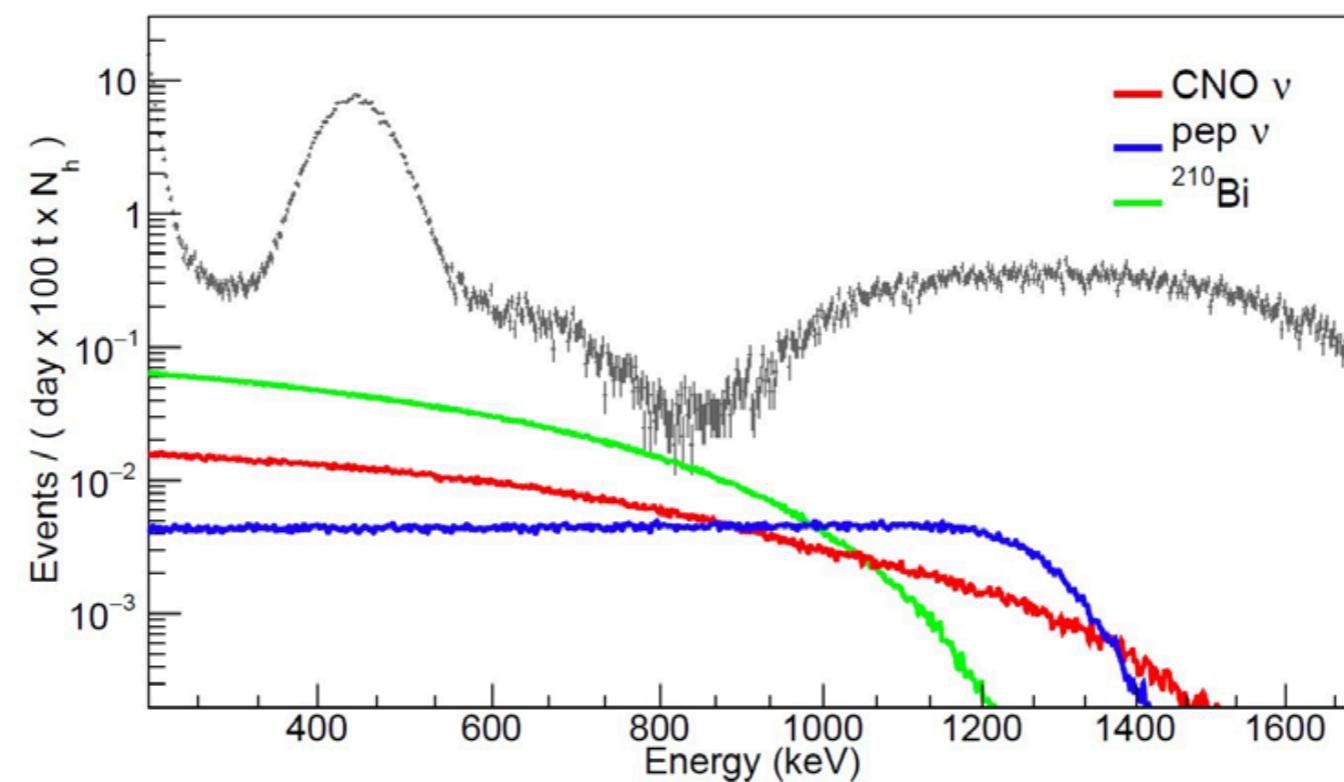
- Comprehensive chain:**
 Nature 562 (2018) 7728, 505.
 Phys. Rev. D (2019)
- pp:** Nature 512 (2014) 7515, 383.
 - 7Be:** Phys. Lett. B658 (2008) 101
PRL 107 (2011) 141302
 - pep:** PRL 108 (2012) 051302
 - 8B:** Phys. Rev. D82 (2010) 033006

No day-night ⁷Be: confirmation of LMA [Phys. Lett. B707 (2012) 401.]



- CNO measurement was hard:
 - The signal is much smaller than pp and ^7Be
 - CNO, pep, and ^{210}Bi are highly correlated in the fit
 - Spectral fit gives CNO+ ^{210}Bi , if both left free
- To measure CNO, you must independently fix the other two
 - pep: determined at 1.4 % by luminosity; very solid constraint
 - ^{210}Bi : the key point. You must measure it independently.

J. Bergström et al.,
JHEP, 2016:132, 2016



- Decay chain: $^{210}\text{Pb} \xrightarrow[32y]{\beta^-[63 \text{ keV}]} {}^{210}\text{Bi} \xrightarrow[7.23d]{\beta^-[1161 \text{ keV}]} {}^{210}\text{Po} \xrightarrow[199.1d]{\alpha [5.40 \text{ MeV}]} {}^{206}\text{Pb}$
 ^{238}U end

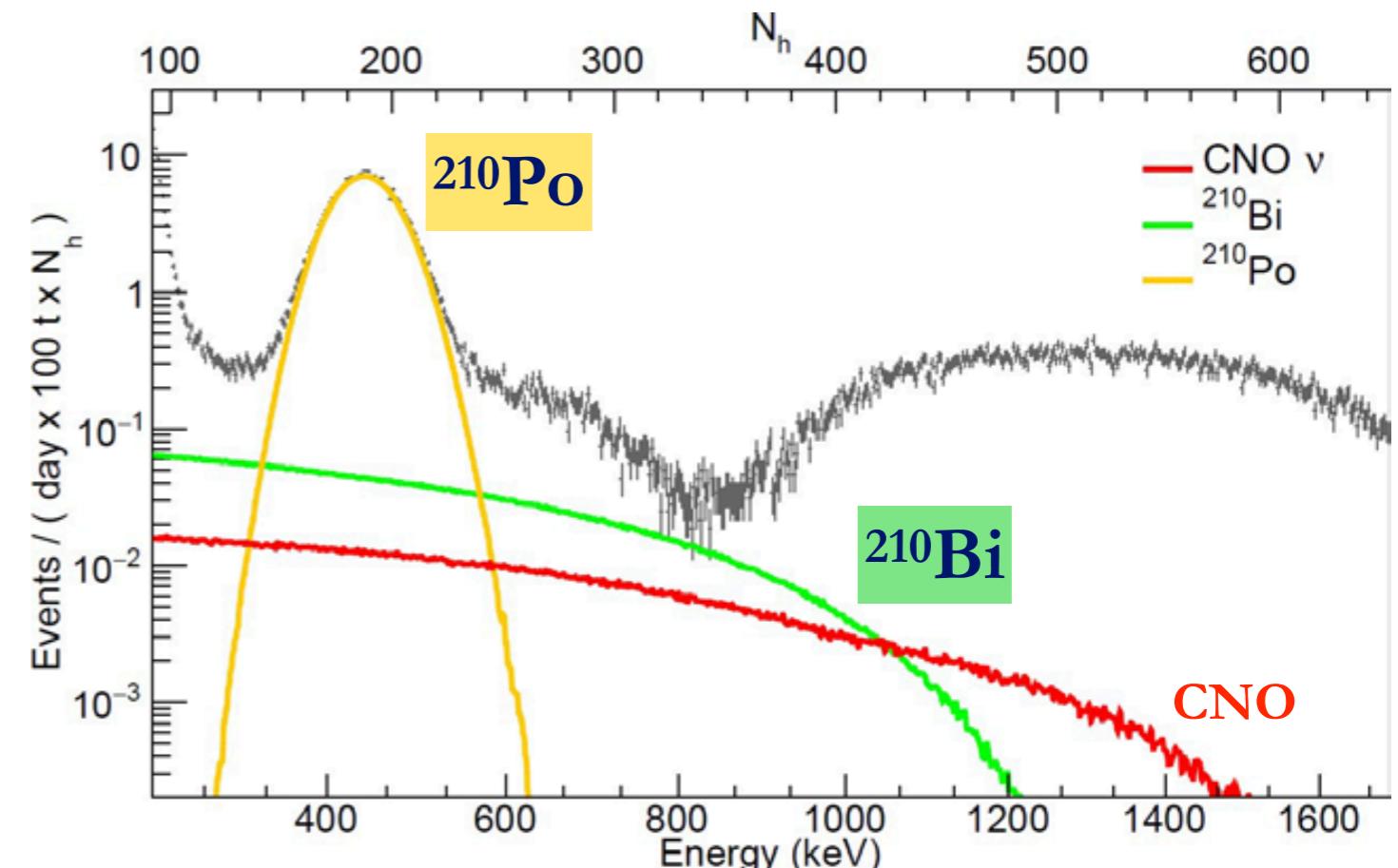
- In principle, it is easy:
you measure ${}^{210}\text{Po}$ peak
and you get ${}^{210}\text{Bi}$

- BUT:

- ${}^{210}\text{Po}$ peak was **much higher** than measured ${}^{210}\text{Bi}$
 - **Out-of-equilibrium ${}^{210}\text{Po}$** background in the scintillator
 - ${}^{210}\text{Po}$ count rate was also not a pure exponential with the expected life-time: there was **evidence of ${}^{210}\text{Po}$ migrating from the vessel surface into the FV by convection**

- Key questions:

- Is ${}^{210}\text{Bi}$ (i.e. ${}^{210}\text{Pb}$) also migrating? (N.B. ${}^{210}\text{Pb}$ is not visible)
- Can you stop ${}^{210}\text{Po}$ migration or make it slow enough?



Fighting migrating background

- Basic idea:

$$\bullet \text{ diffusion is slow: } \dot{\rho}(\vec{r}, t) = D \nabla^2 \rho(\vec{r}, t) - \frac{\rho_0}{\tau_{Po}} \quad \lambda = \sqrt{D\tau_{Po}} \simeq 20 \text{ cm}$$

- With no convection (i.e. by diffusion only) ^{210}Po decays before reaching FV
- if you slow down **convective currents** enough, all ^{210}Po will decay before reaching FV and affect ^{210}Bi determination

DIFFUSION LENGTH

- Strategy:

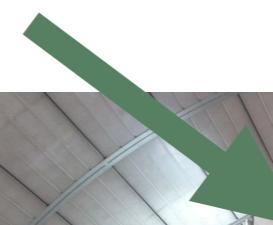
- Allow ^{210}Po out-of-equilibrium to decay
 - 2010 purification campaign did not remove all ^{210}Po
 - It DID reduce ^{210}Bi , i.e. parent ^{210}Pb
- Act with passive and active systems on the thermodynamics of the detector to slow down convective currents
 - **A coat** (2015): Double layer of mineral wool. Thermal conductivity down to 0.03 W/m/K
 - **Active system controlling the top temperature** (ACTS, 2016)
 - Fine tuning in early 2019
 - Thermal control of **Hall C as well** (late 2019)



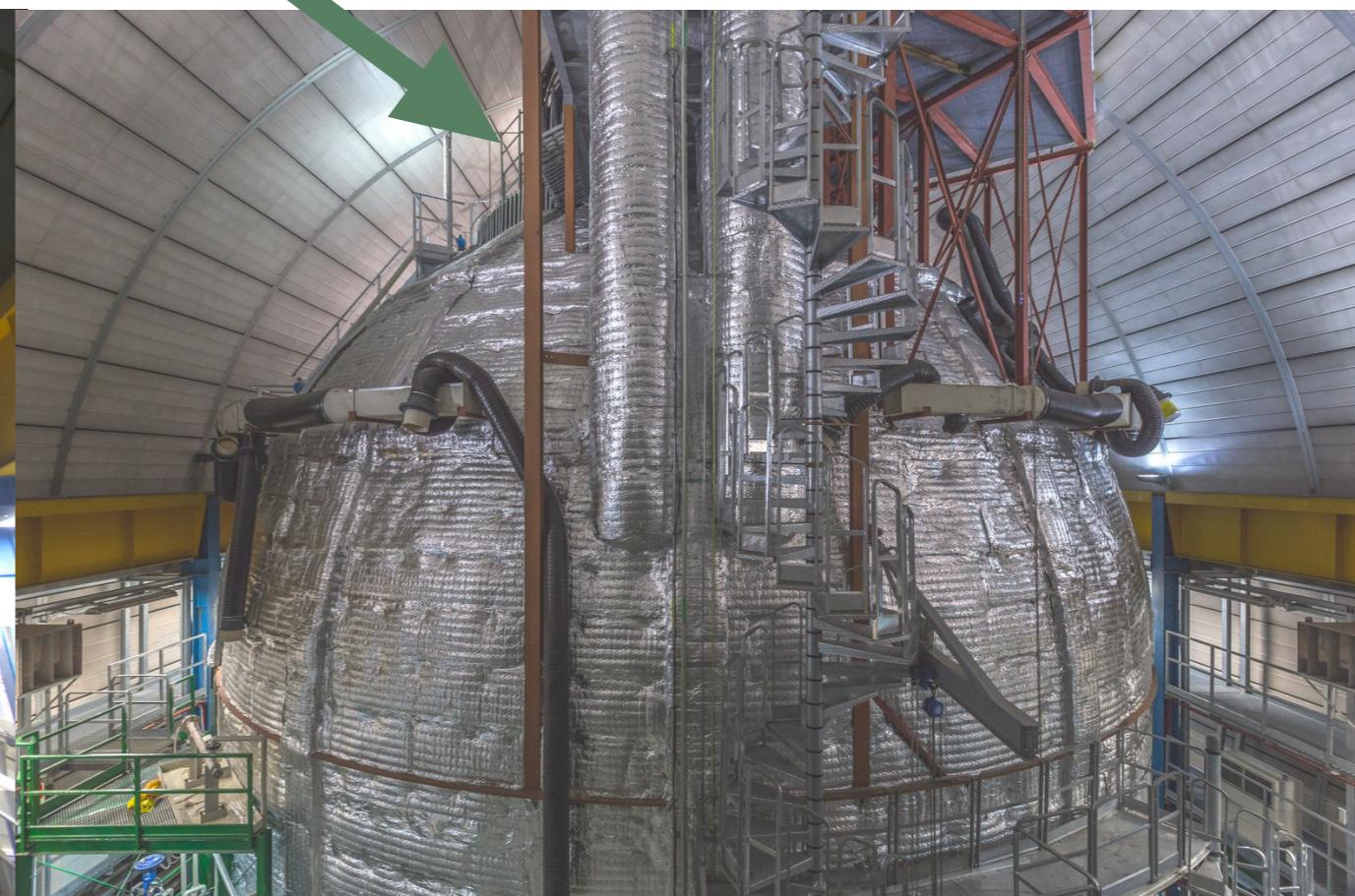
Before



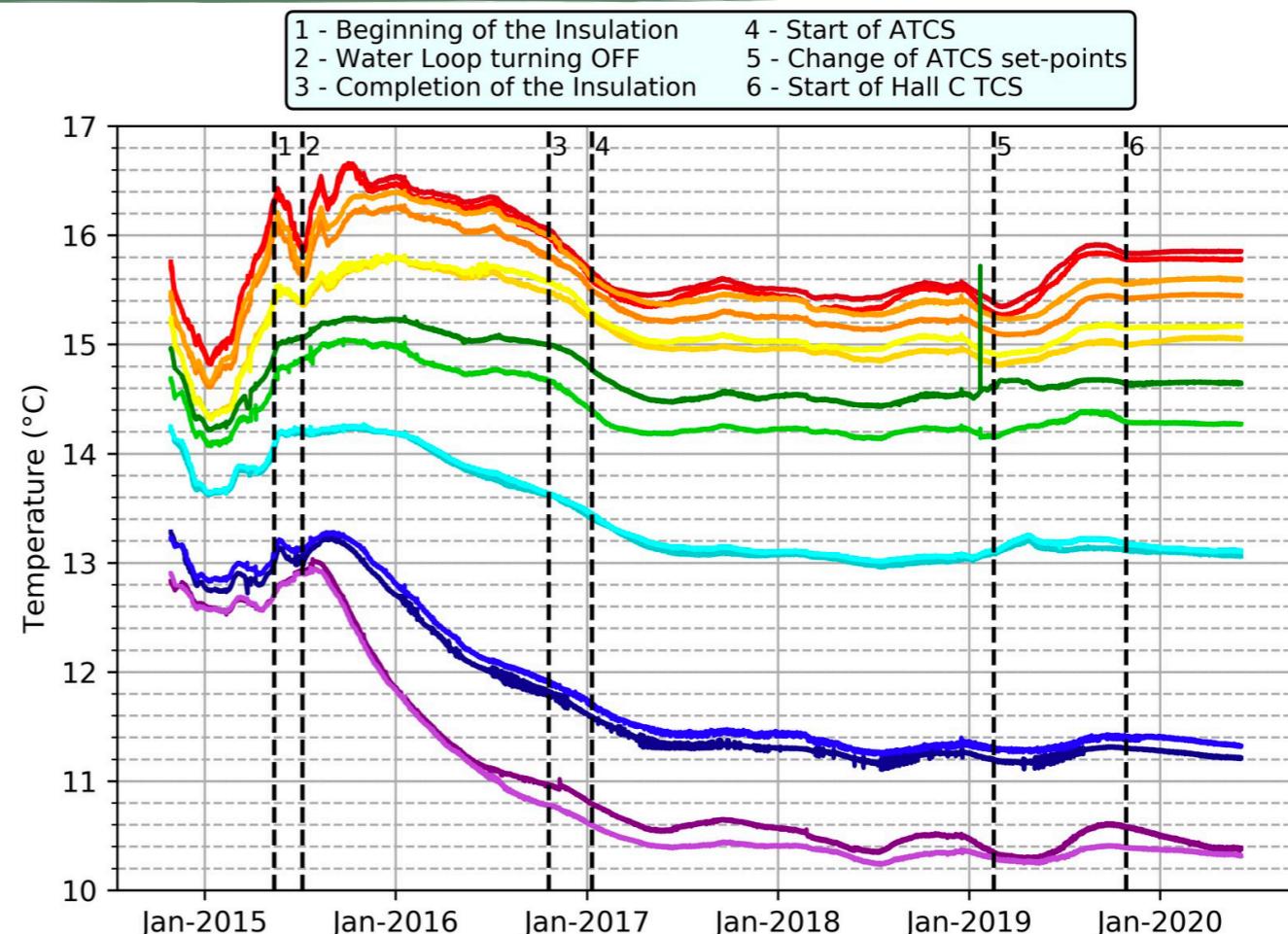
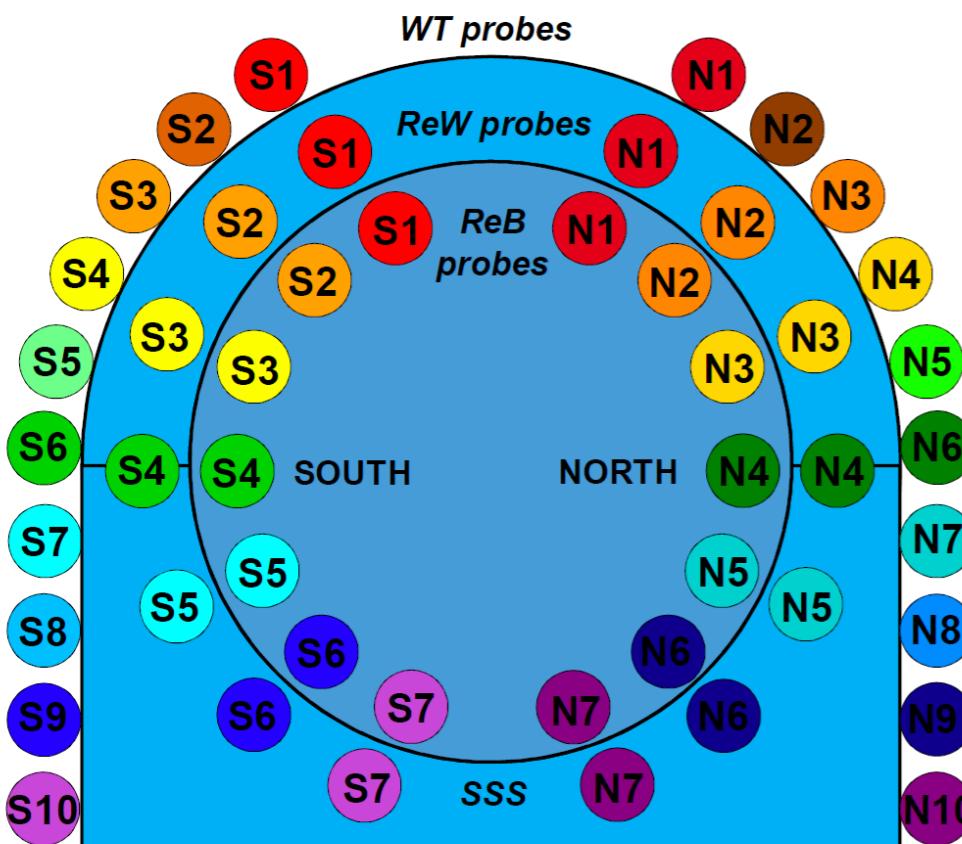
On top: copper coils under insulation with controlled water flow (2016)



After



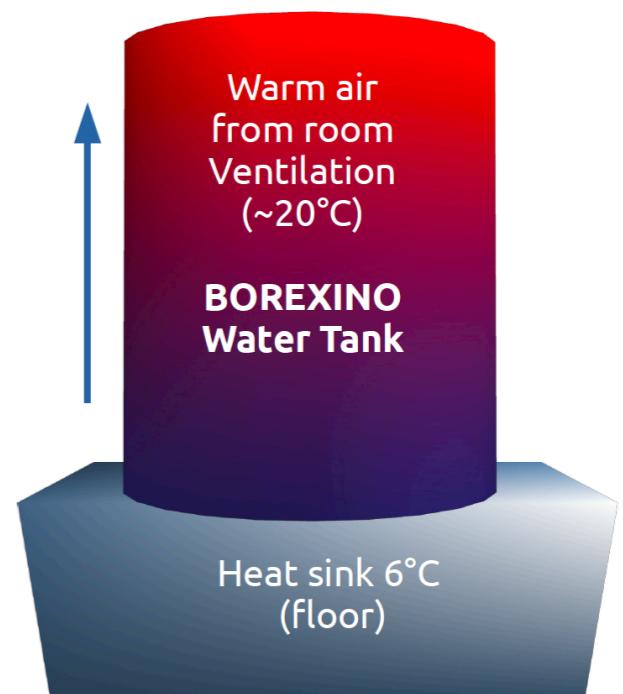
Effect on temperatures



Distribution of T probes

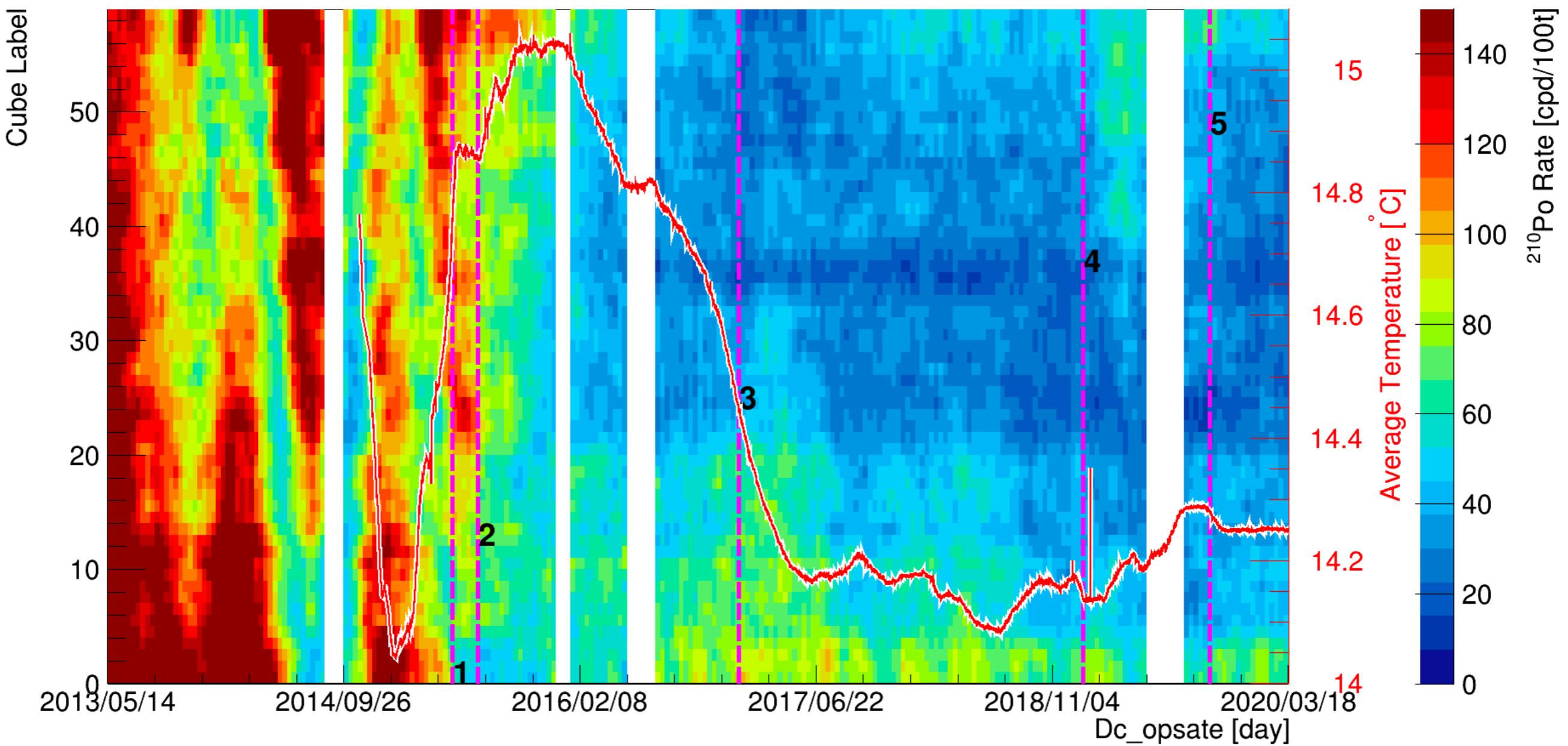
T vs Time

- Two important notes:
 - stability was achieved because the **LNGS floor is colder ($\sim 6^{\circ}\text{C}$) than air ($\sim 20^{\circ}\text{C}$ in Hall C)**
 - This yields the **right vertical gradient** to stop convection
 - the time constant is very slow. Years to get stability, still in progress.

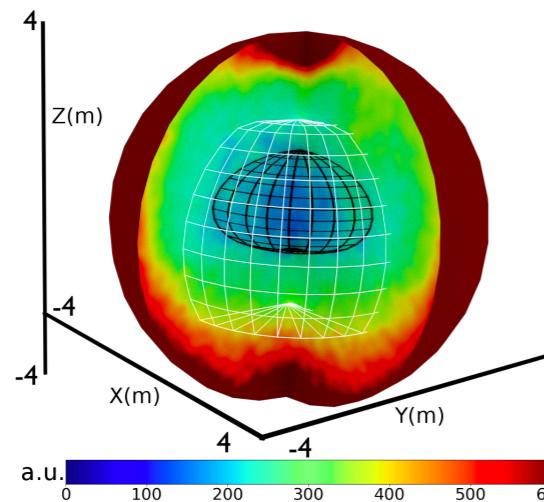




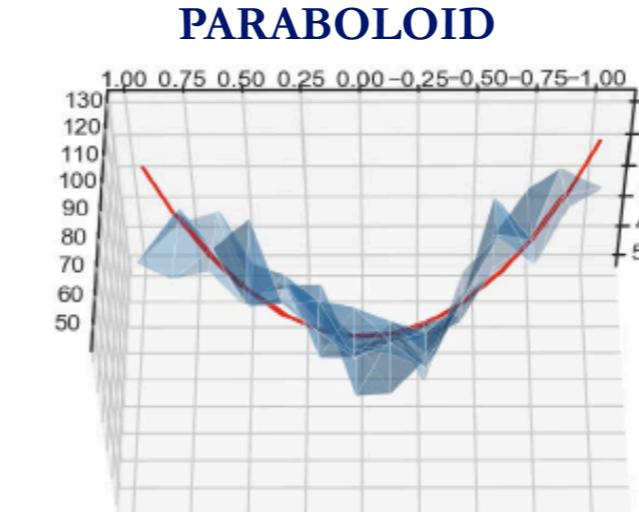
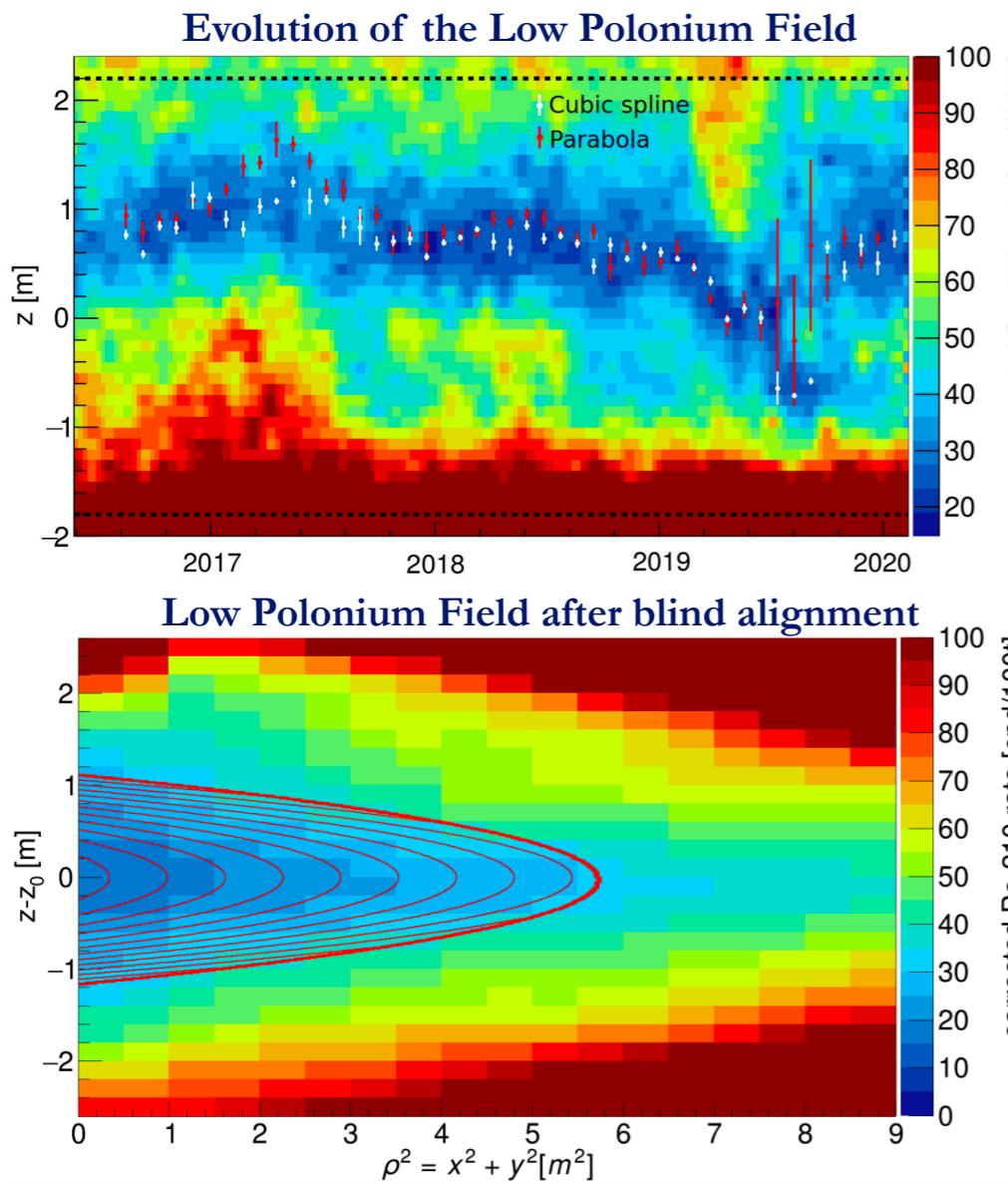
Evolution of ^{210}Po



The low ^{210}Po “field” and ^{210}Bi upper limit

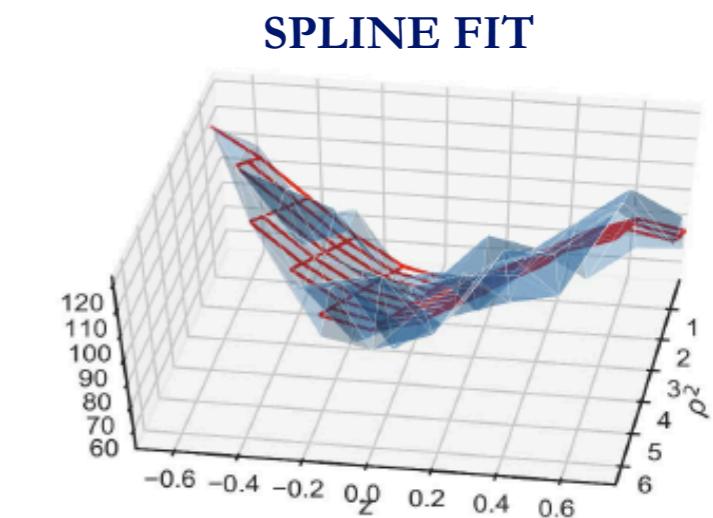


- The **minimum** is at $z = + 80 \text{ cm}$
- From this minimum, we get an **upper limit on ^{210}Bi**
- To get a measurement, we would need to know that is a “true” minimum, which we cannot claim yet
- **But we can claim observation !**



$$R_{Po} = R_{min} \epsilon \left[1 + \frac{\rho^2}{a^2} + \frac{(z - z_0)^2}{b^2} \right]$$

Two consistent methods for fitting the aligned LPoF and find the minimum

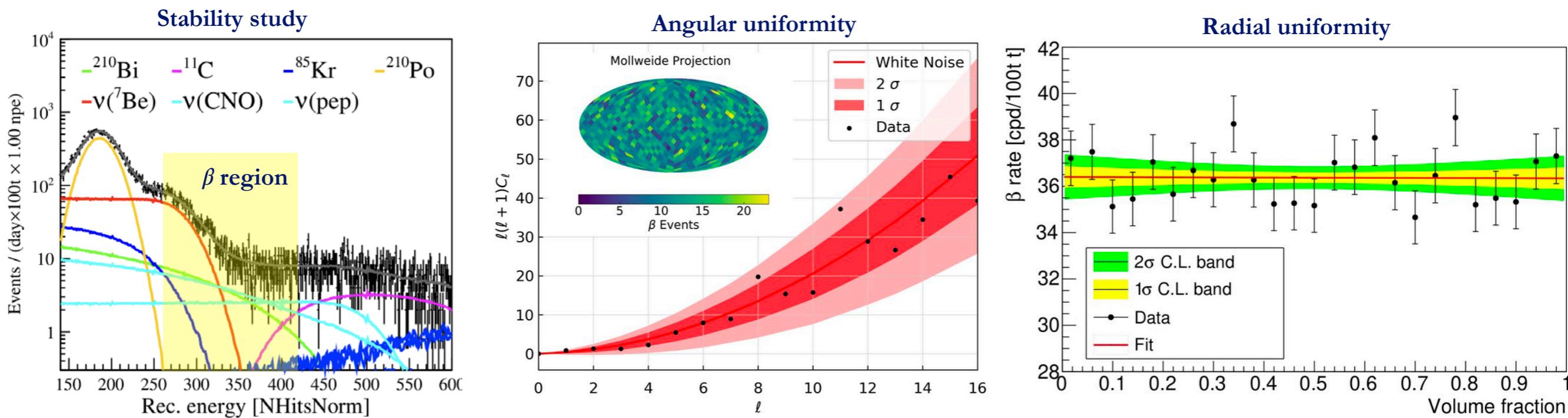
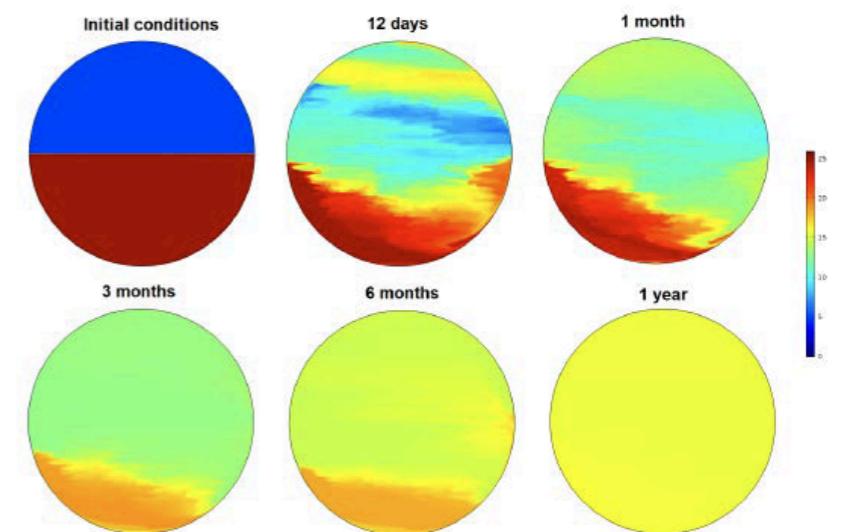


$^{210}\text{Bi} < 11.5 \pm 1.04 \text{ cpd}/100\text{t} (\text{stat+sys})$



- We got the ^{210}Bi upper limit in the LPoF over a short period of time
 - BUT: **CNO fit must be done on the whole FV and more data**
- OK if you prove that ^{210}Bi (i.e. ^{210}Pb) is **stable** and **uniform**
 - **Stability:** checked by looking at “ β region” over time
 - No evidence of change of ^{210}Bi component (i.e. ^{210}Pb leaching from IV)
 - Small variations attributed conservatively to ^{210}Bi
 - **Uniformity:** angular and radial distributions carefully studied
 - Uniform within error. **0.78 cpd/100t** added to error.
 - Fluid dynamics confirms it

Full uniformity reached
in 1 y [3D simulation]



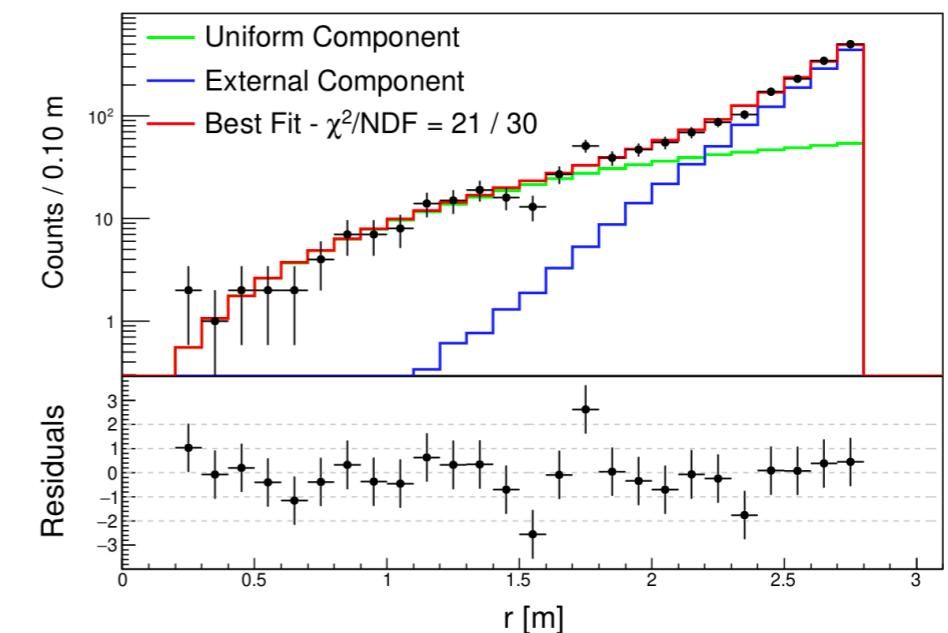
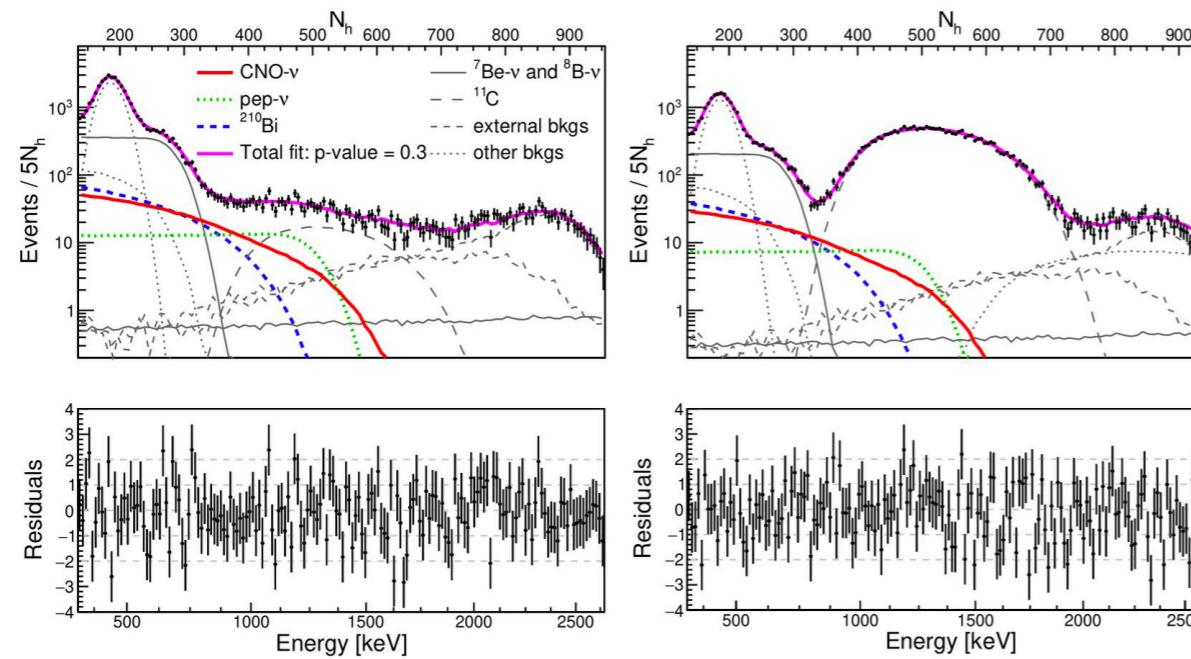
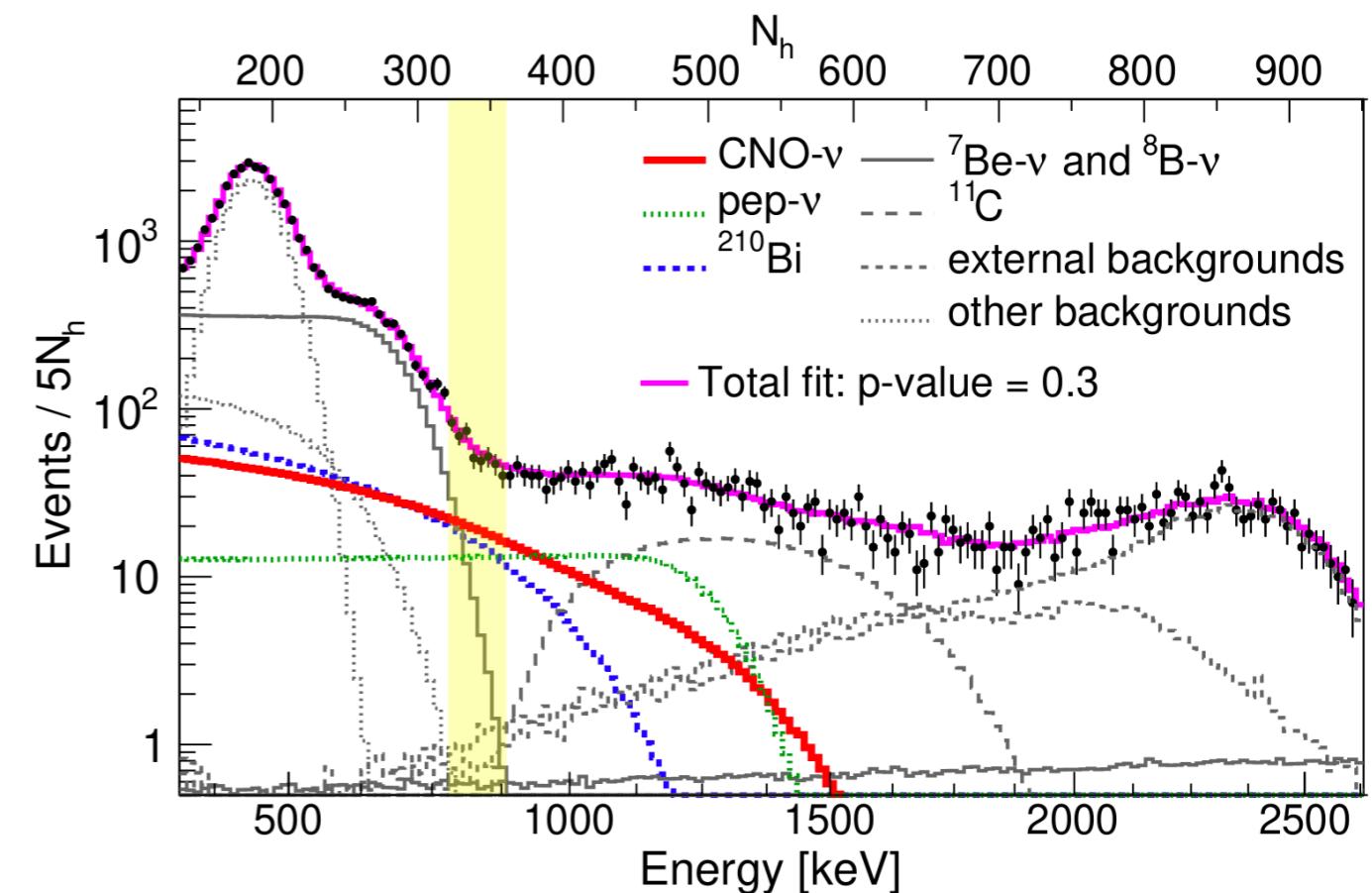
- The final constraints that **breaks degeneracy** with CNO:

$$^{210}\text{Bi} < 11.5 \pm 1.3 \text{ cpd/100t}$$



- Key elements

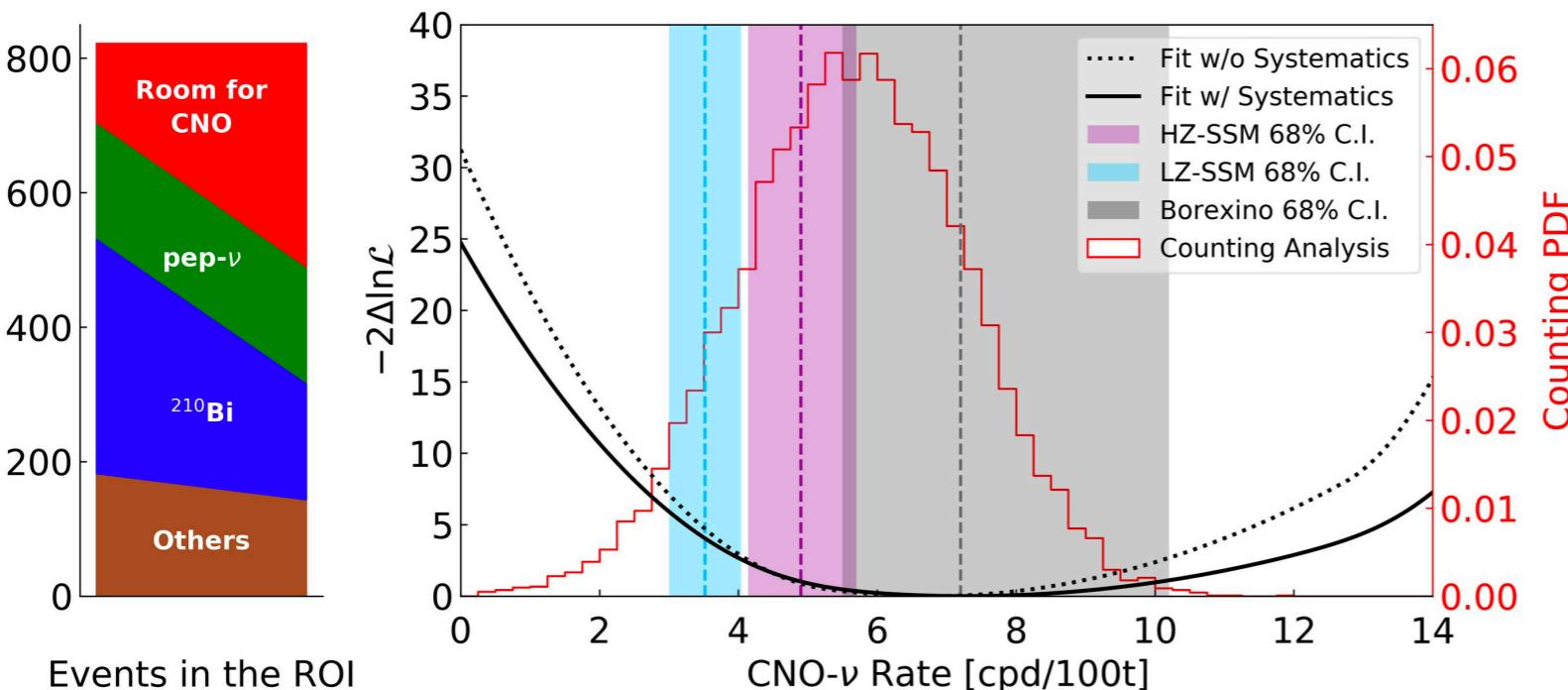
- pep symmetric penalty from luminosity: $\pm 1.4\%$
- ^{210}Bi asymmetric penalty (upper limit): 11%
- Multivariate fit on MC distributions using:
 - Spectrum
 - TFC subtracted spectrum
 - Radial distribution
- Cross check with simple counting analysis and analytical fits (confirming results)



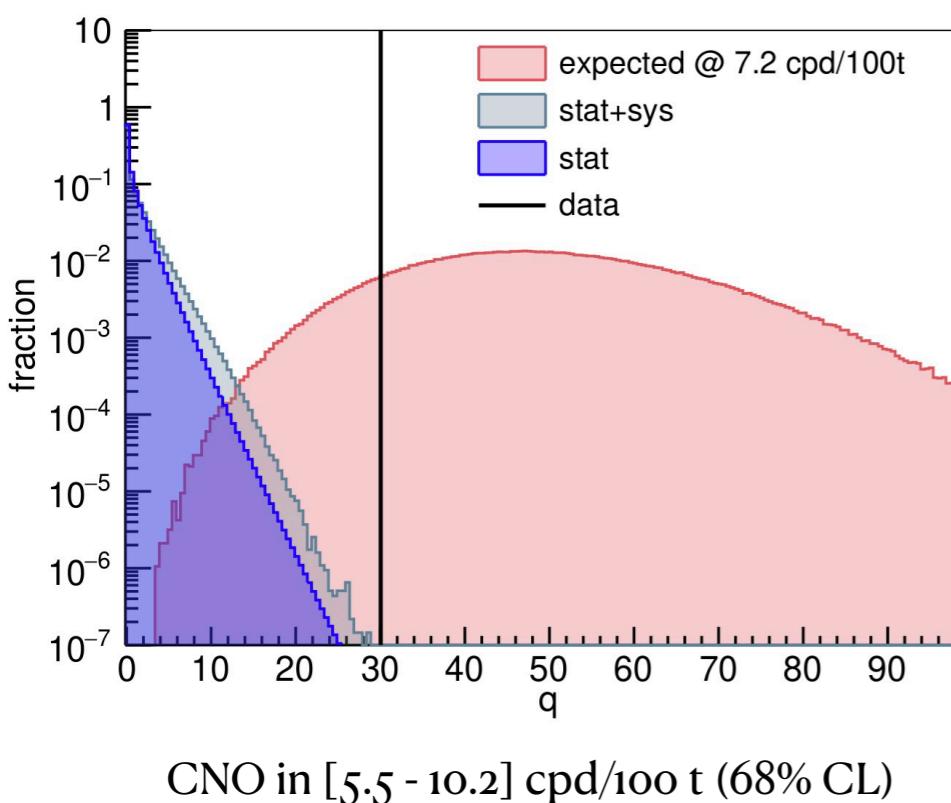
Result (68% CL stat) $7.2 - 1.7 + 2.9$ cpd/100t



Final result: observation of CNO



Result (68% CL stat + sys) = 7.2 - 1.7 + 3.0 cpd/100t



- Systematics:
 - Response, resolution, spectral shapes and
 - LY: $\sigma L = -0.5, \sigma R +0.6$ (5.1σ significance)
- Significance
 - Hypothesis test with 13.8×10^6 pseudo-experiments
 - Hypothesis $\text{CNO}=0$ excluded at 5.0σ (99%CL)
- Model compatibility
 - - 0.5σ (HZ)
 - - 1.3σ (LZ)
 - - LZ disfavoured at 2.1σ including other
- Fluxes from pp-chain (Borexino only)



- It's been a **long journey**, with a hard beginning, a nice “crescendo” of beautiful results, and a great final!
 - All solar neutrino components (except *hep*) either discovered or measured
 - **MSW test** through the *pp chain*
 - Precise test of the standard solar model
 - **CNO neutrinos!**
 - A clean measurement of **geo-neutrinos**, free of reactor background
 - Some interesting upper limits of rare events (not covered in this talk)
- After **30 years** since the beginning, Borexino will be drained in 2021
 - **Leaving a precious heritage**, as I hope I did convince you today.
- We could not make SOX, very unfortunately, but that is science.
- **It was a fantastic journey**

THANKS !!!

