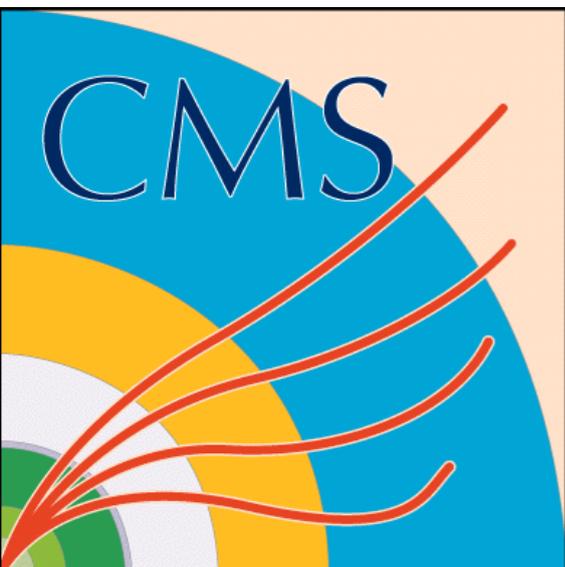


W^+W^- boson pair production at 13 TeV using CMS data

**La Thuile 2021 - Les Rencontres de
Physique de la Vallée d'Aoste**

Pedro J. Fernández Manteca

IFCA (University of Cantabria, CSIC)
9-11 of March, 2021



Introduction

- WW cross section measurements at 13 TeV using the CMS full 2016 dataset
- Two different approaches:
 - **Sequential** analysis: **SEQ**
 - **Random Forest** analysis (multivariate technique): **RF**
- **Goals:**
 - Measure the total WW cross section in the dileptonic channel (**SEQ** & **RF**)
 - Measure fiducial & differential cross sections in $m_{\ell\ell}$, $p_{T\ell 1}$, $p_{T\ell 2}$, $\Delta\phi_{\ell\ell}$ (**SEQ**) + njets (**RF**)
 - Set limits on Wilson coefficients (**SEQ**)

Phys. Rev. D 102, 092001 (2020)

High Energy Physics – Experiment

[Submitted on 31 Aug 2020]

W^+W^- boson pair production in proton–proton collisions at $\sqrt{s} = 13$ TeV

CMS Collaboration

A measurement of the W^+W^- boson pair production cross section in proton–proton collisions at $\sqrt{s} = 13$ TeV is presented. The data used in this study are collected with the CMS detector at the CERN LHC and correspond to an integrated luminosity of 35.9 fb^{-1} . The W^+W^- candidate events are selected by requiring two oppositely charged leptons (electrons or muons). Two methods for reducing background contributions are employed. In the first one, a sequence of requirements on kinematic quantities is applied allowing a measurement of the total production cross section: $117.6 \pm 6.8 \text{ pb}$, which agrees well with the theoretical prediction. Fiducial cross sections are also reported for events with zero or one jet, and the change in the zero–jet fiducial cross section with the jet transverse momentum threshold is measured. Normalized differential cross sections are reported within the fiducial region. A second method for suppressing background contributions employs two random forest classifiers. The analysis based on this method includes a measurement of the total production cross section and also a measurement of the normalized jet multiplicity distribution in W^+W^- events. Finally, a dilepton invariant mass distribution is used to probe for physics beyond the standard model in the context of an effective field theory, and constraints on the presence of dimension–6 operators are derived.

Comments: Submitted to Phys. Rev. D. All figures and tables can be found at [this http URL](#) (CMS Public Pages)

Subjects: High Energy Physics – Experiment (hep-ex)

Last public result: **CMS-PAS-SMP-16-006 (2.3 fb⁻¹)**

WW total Xsec = 115.3 ± 11.0 pb

This result: (35.9 fb⁻¹)

WW total Xsec = 117.6 ± 6.8 pb

- The talk will be focus on the **SEQ** approach

- **RF** analysis results and details can be found in the backup

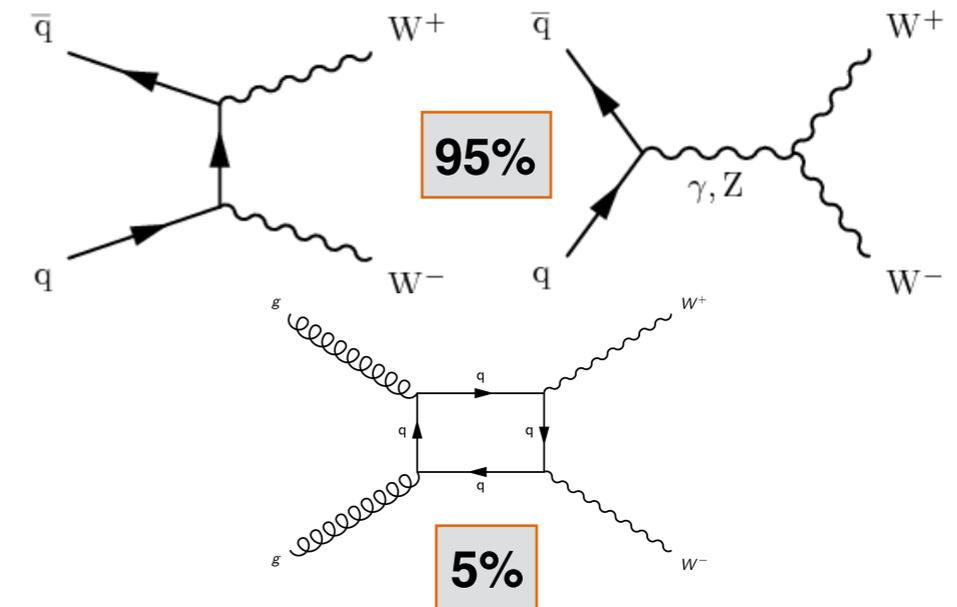
Sequential analysis

- The sequential selection relies mainly on a set of **discrete requirements** on kinematic variables and on a multivariate analysis tool to suppress Drell-Yan background in same-flavour channel

Signal Region definition:

| Quantity | Sequential Cut | |
|---|------------------|-------------|
| | Different-flavor | Same-flavor |
| Number of leptons | Strictly 2 | |
| Lepton charges | Opposite | |
| $p_T^{l \max}$ | >25 | |
| $p_T^{l \min}$ | >20 | |
| $m_{\ell\ell}$ | >20 | >40 |
| Additional leptons | 0 | |
| $ m_{\ell\ell} - m_Z $ | — | |
| $p_T^{\ell\ell}$ | >30 | >30 |
| p_T^{miss} | >20 | >55 |
| * $p_T^{\text{miss,proj}}$, $p_T^{\text{miss,track proj}}$ | >20 | >20 |
| Number of jets | ≤ 1 | |
| Number of b-tagged jets | 0 | |
| DYMVA score | — | >0.9 |

Target signature: two opposite charged isolated leptons, and large transverse missing energy from the neutrinos



for DY suppression

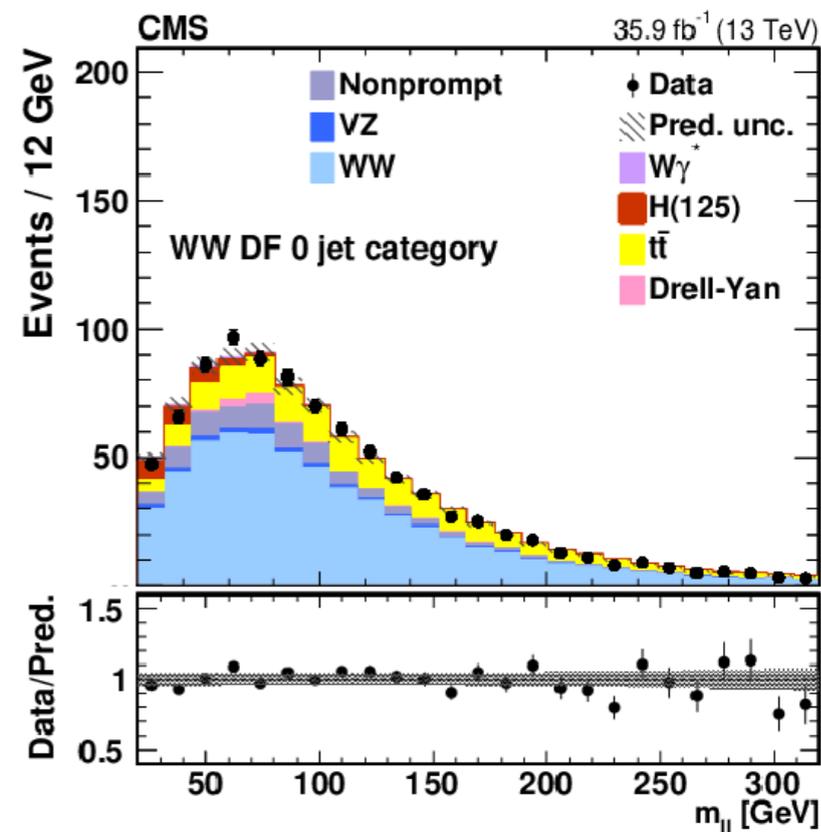
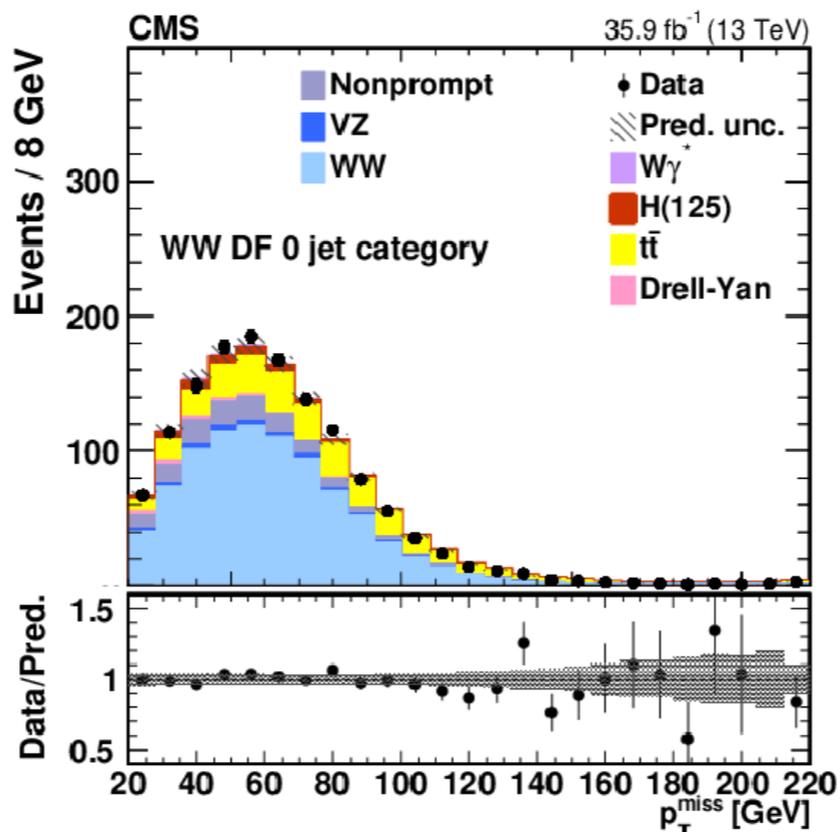
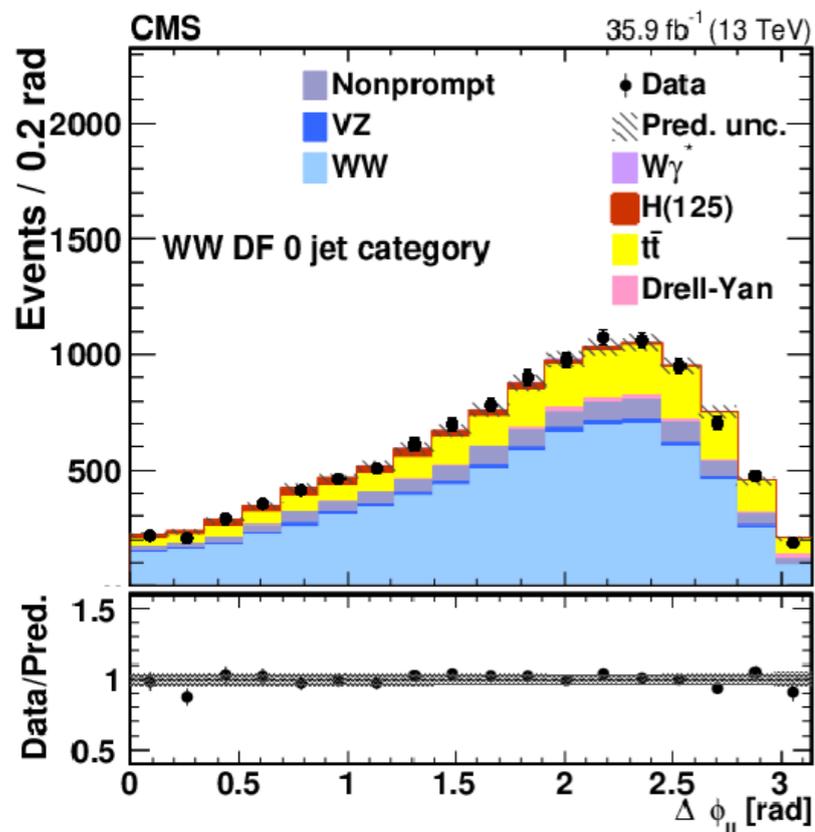
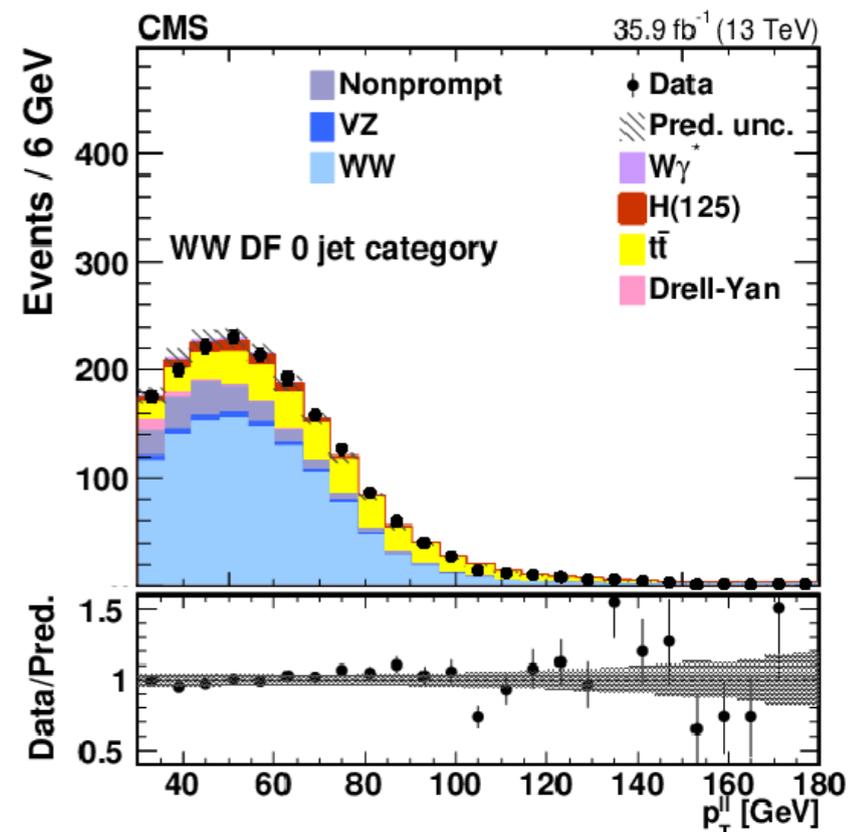
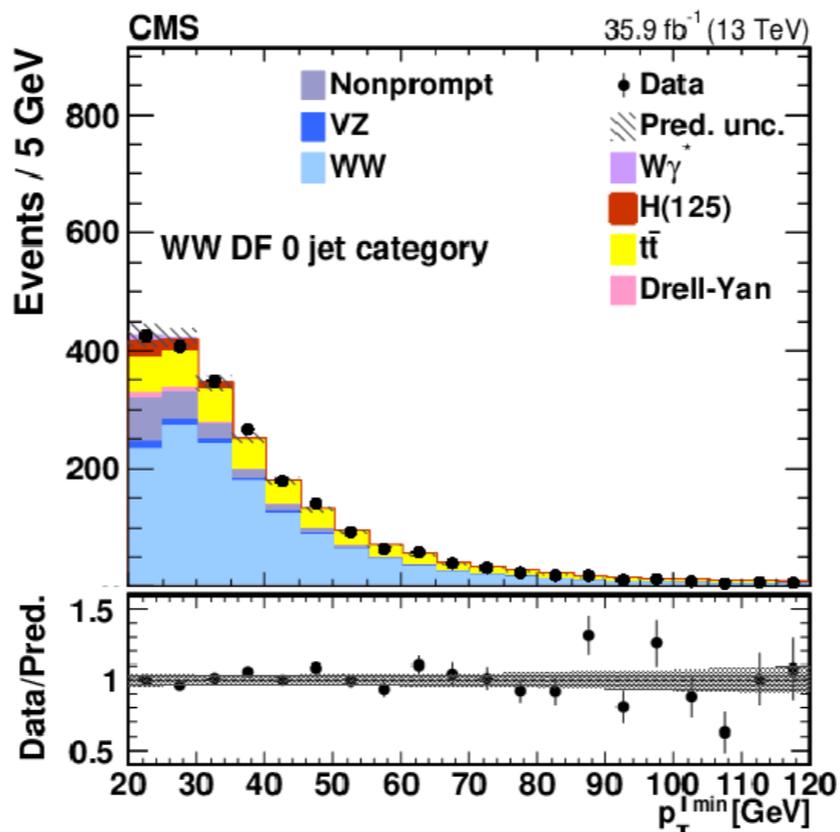
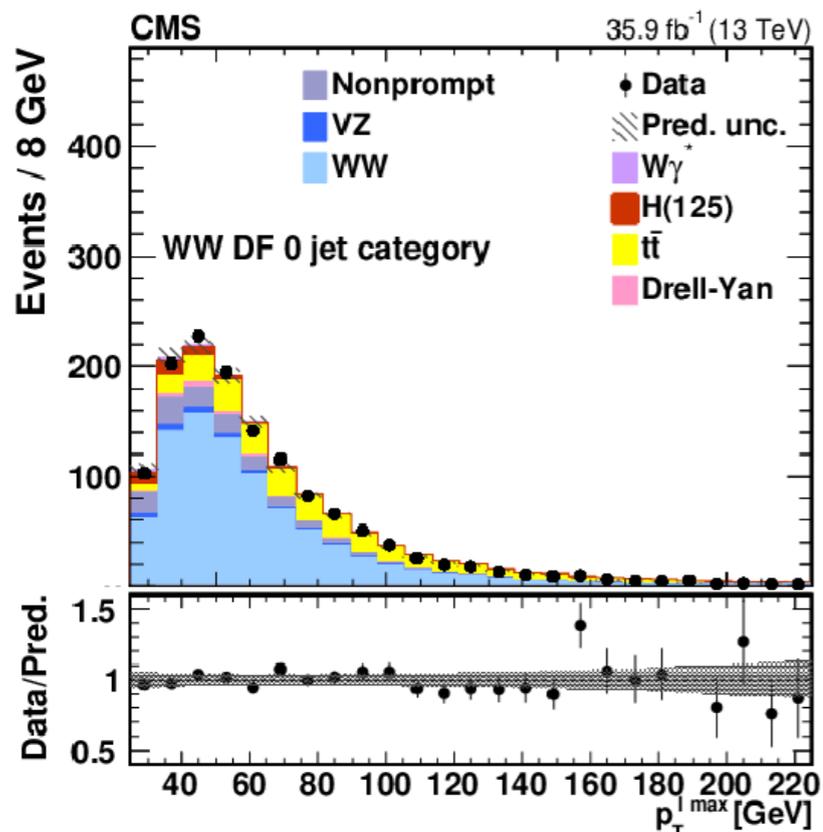
suppress ttbar

DYMVA: Developed for the CMS HWW analysis (arXiv:1806.05246)

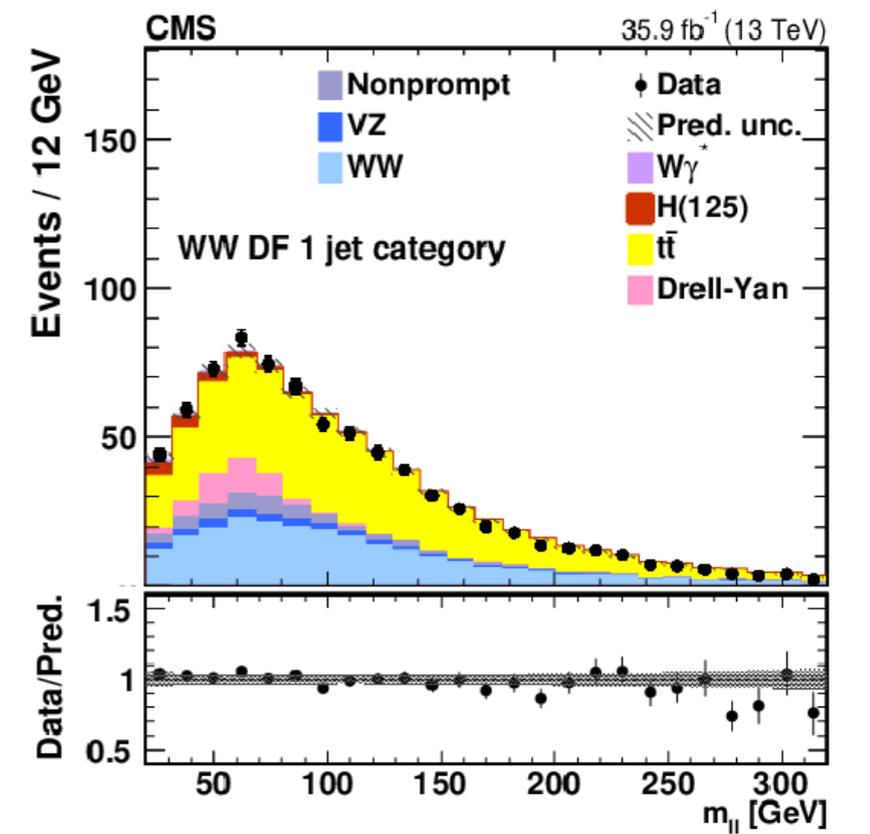
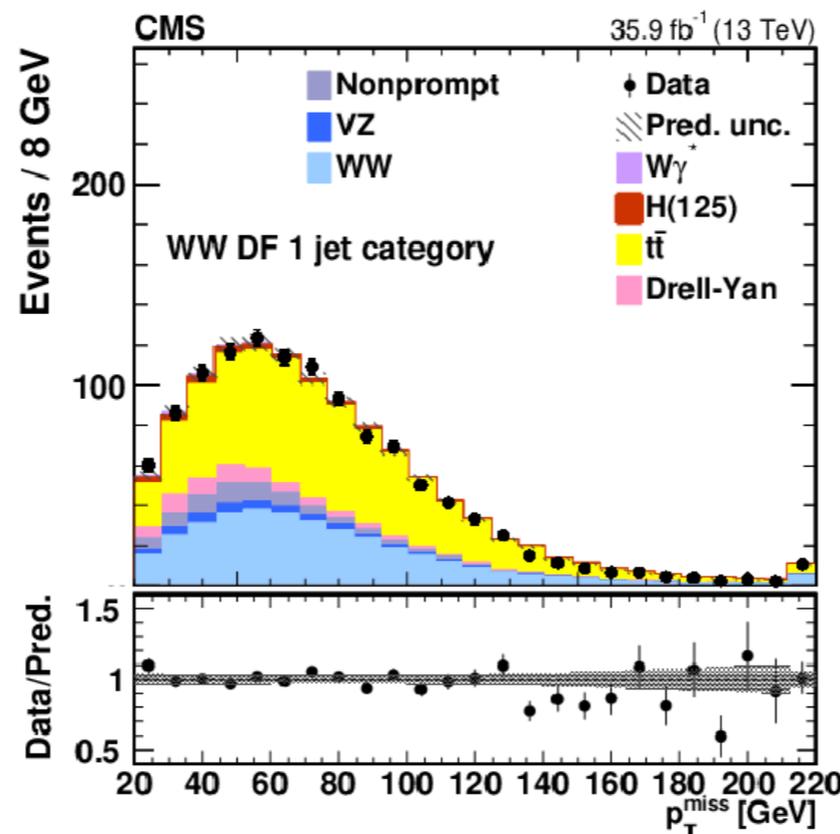
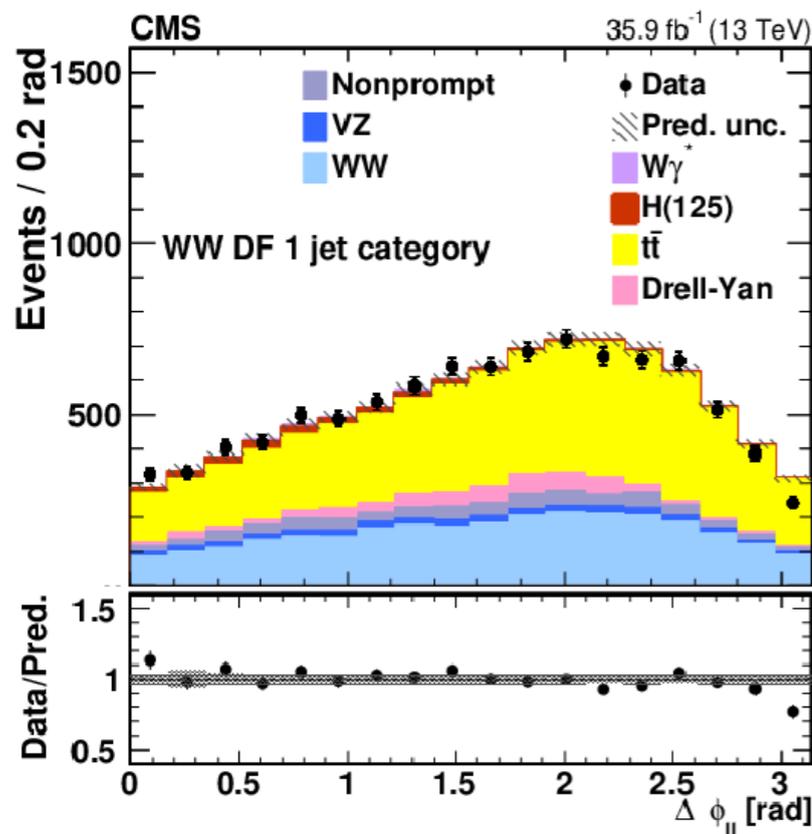
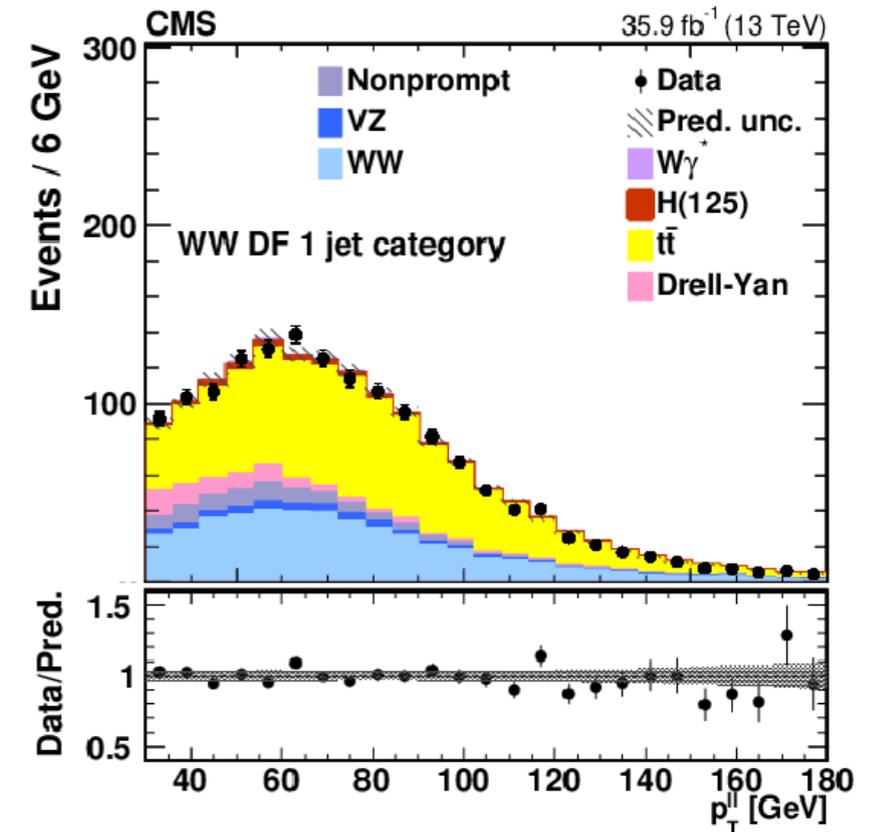
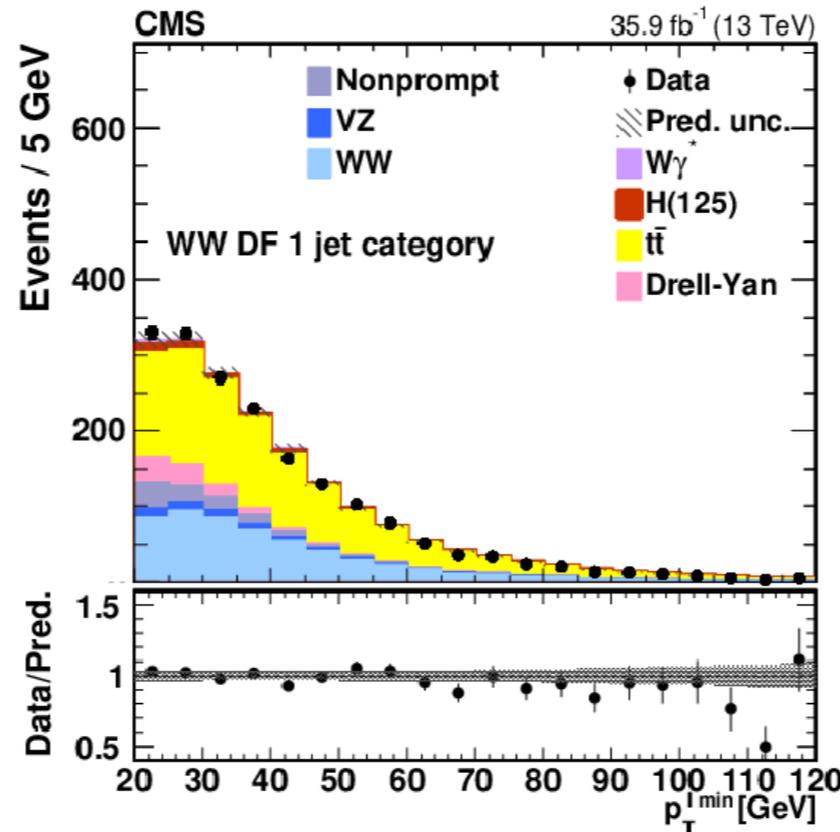
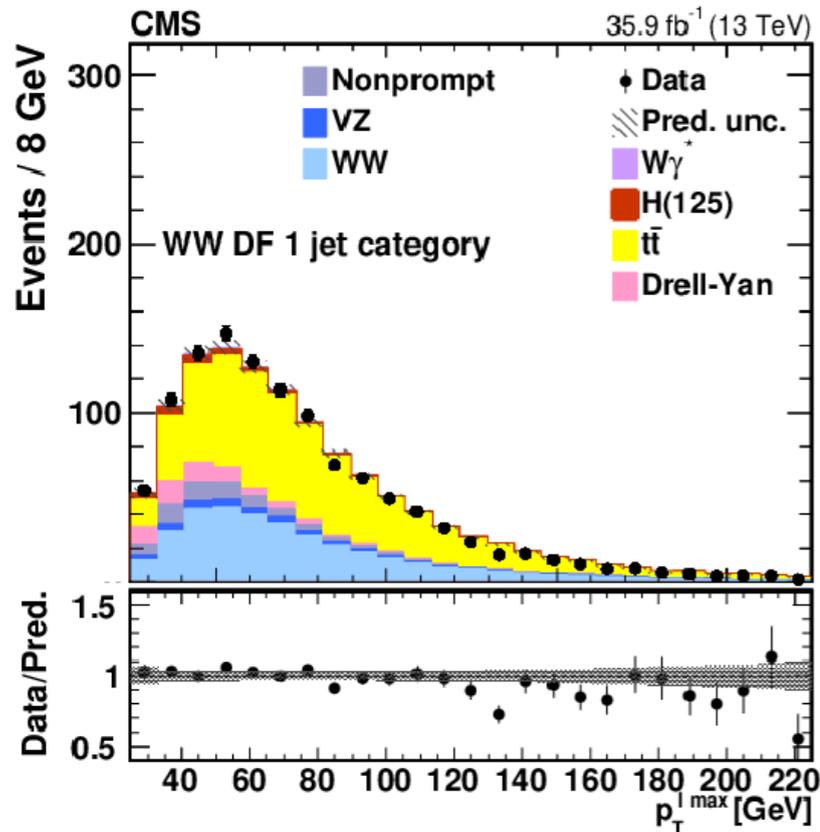
*
$$\text{projected } \text{Trk}E_T^{\text{miss}} = \begin{cases} \text{Trk}E_T^{\text{miss}} & \Delta\phi_{\min}(\text{leptons}, \text{Trk}E_T^{\text{miss}}) \geq \pi/2 \\ \text{Trk}E_T^{\text{miss}} \sin \Delta\phi_{\min} & \Delta\phi_{\min}(\text{leptons}, \text{Trk}E_T^{\text{miss}}) \leq \pi/2 \end{cases}$$

*
$$\text{projected } E_T^{\text{miss}} = \begin{cases} E_T^{\text{miss}} & \Delta\phi_{\min}(\text{leptons}, E_T^{\text{miss}}) \geq \pi/2 \\ E_T^{\text{miss}} \sin \Delta\phi_{\min} & \Delta\phi_{\min}(\text{leptons}, E_T^{\text{miss}}) \leq \pi/2 \end{cases}$$

Sequential analysis



Sequential analysis



Total Xsec measurements

- In both approaches the signal strength is extracted by fitting the predicted yields to the observed events (1-bin distribution). Information from the control regions is included in the fit
 - Sequential fit:** 4 Signal Regions, 4 Top Control Regions (2 flavour categories x 2 njets categories)
 - RF fit:** 1 SR, 1 TopCR, 1 DYCR, 1 Same-SignedCR

Theoretical prediction: $\sigma_{\text{tot}}^{\text{NNLO}} = 118.8 \pm 3.6 \text{ pb}$

Sequential analysis result:

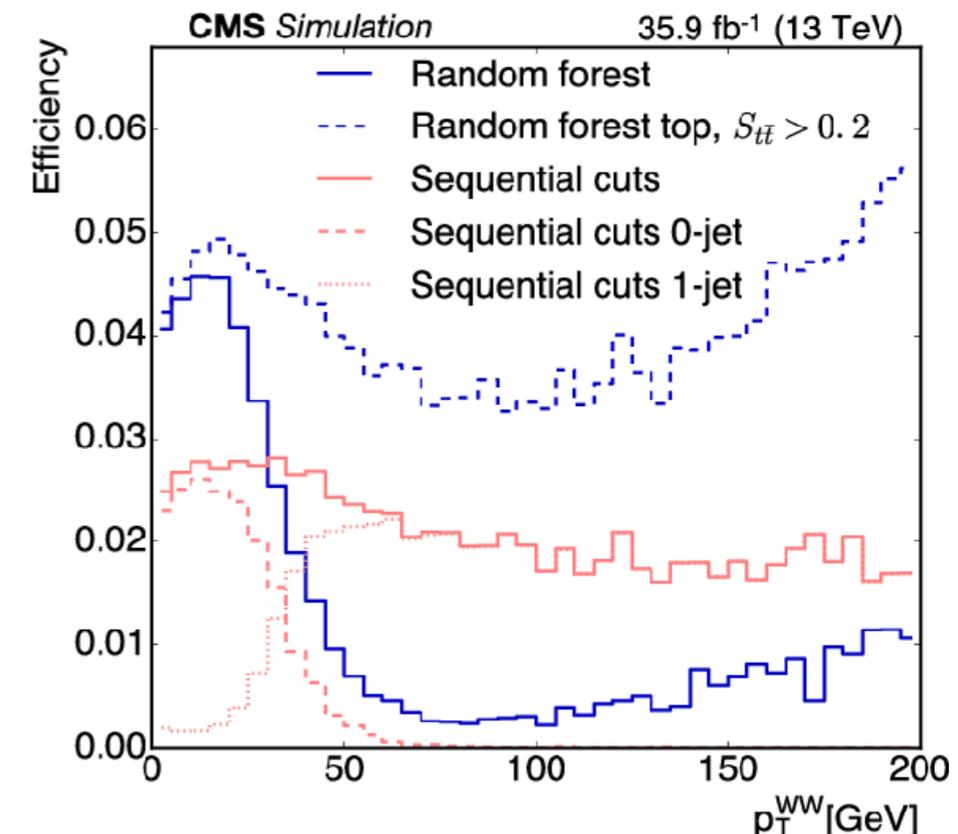
| Category | | Signal strength | Cross section [pb] |
|---------------|---------|-------------------|--------------------|
| 0-jet | DF | 1.054 ± 0.083 | 125.2 ± 9.9 |
| 0-jet | SF | 1.01 ± 0.16 | 120 ± 19 |
| 1-jet | DF | 0.93 ± 0.12 | 110 ± 15 |
| 1-jet | SF | 0.76 ± 0.20 | 89 ± 24 |
| 0-jet & 1-jet | DF | 1.027 ± 0.071 | 122.0 ± 8.4 |
| 0-jet & 1-jet | SF | 0.89 ± 0.16 | 106 ± 19 |
| 0-jet & 1-jet | DF & SF | 0.990 ± 0.057 | 117.6 ± 6.8 |

$$\sigma_{\text{tot}}^{\text{SEQ}} = 117.6 \pm 1.4 \text{ (stat)} \pm 5.5 \text{ (syst)} \pm 1.9 \text{ (theo)} \pm 3.2 \text{ (lumi)} \text{ pb} = \mathbf{117.6 \pm 6.8 \text{ pb}}$$

Random Forest analysis result:

$$\sigma_{\text{tot}}^{\text{RF}} = 131.4 \pm 1.3 \text{ (stat)} \pm 6.0 \text{ (syst)} \pm 5.1 \text{ (theo)} \pm 3.5 \text{ (lumi)} \text{ pb} = \mathbf{131.4 \pm 8.7 \text{ pb}}$$

- Random forest gets a purer signal region. However, its sensitivity is concentrated at low p_{T}^{WW} due to jet-multiplicity related variables used in the training \rightarrow more sensitive to theoretical uncertainties of p_{T}^{WW} spectrum corrections than the sequential analysis



Fiducial Xsec measurement

- **Fiducial region definition at gen level:** two dressed electrons or muons in the event with $p_T > 20$ GeV and $|\eta| < 2.5$, $m_{\ell\ell} > 20$ GeV, $p_{T\ell\ell} > 30$ GeV and $E_T^{\text{Miss}} > 20$ GeV
- **Results:** (Different-Flavour + Same-Flavour combination)

Theoretical prediction: $\sigma_{\text{fid}}^{\text{NNLO}} = 1.531 \pm 0.043$ pb

$\sigma_{\text{fid}}^{\text{tot}} = 1.529 \pm 0.0020$ (stat) ± 0.069 (syst) ± 0.028 (theo) ± 0.041 (lumi) pb = **1.529 ± 0.087 pb**

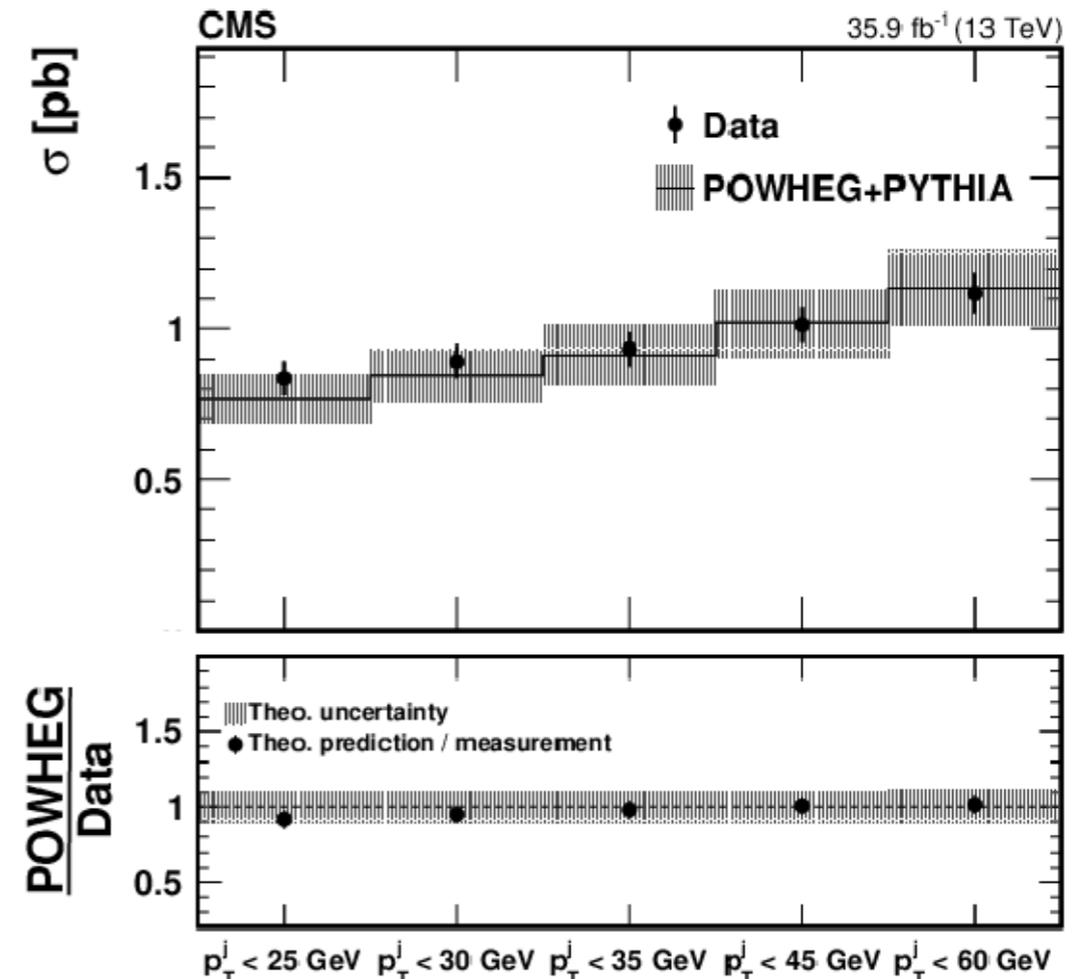
$\sigma_{\text{fid}}^{\text{tot}}$ (based on 0 reco jets subset only) = 1.61 ± 0.10 pb

$\sigma_{\text{fid}}^{\text{tot}}$ (based on 1 reco jets subset only) = 1.35 ± 0.11 pb

**Fid WW+0 AK4 gen jets
jet pT thres. varied**

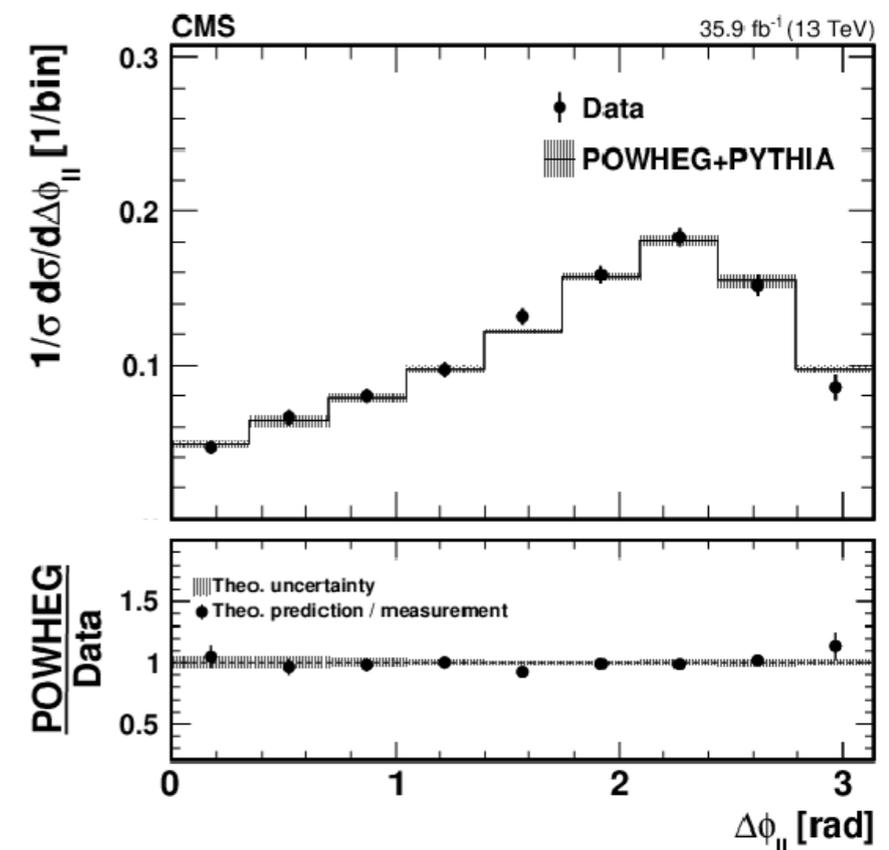
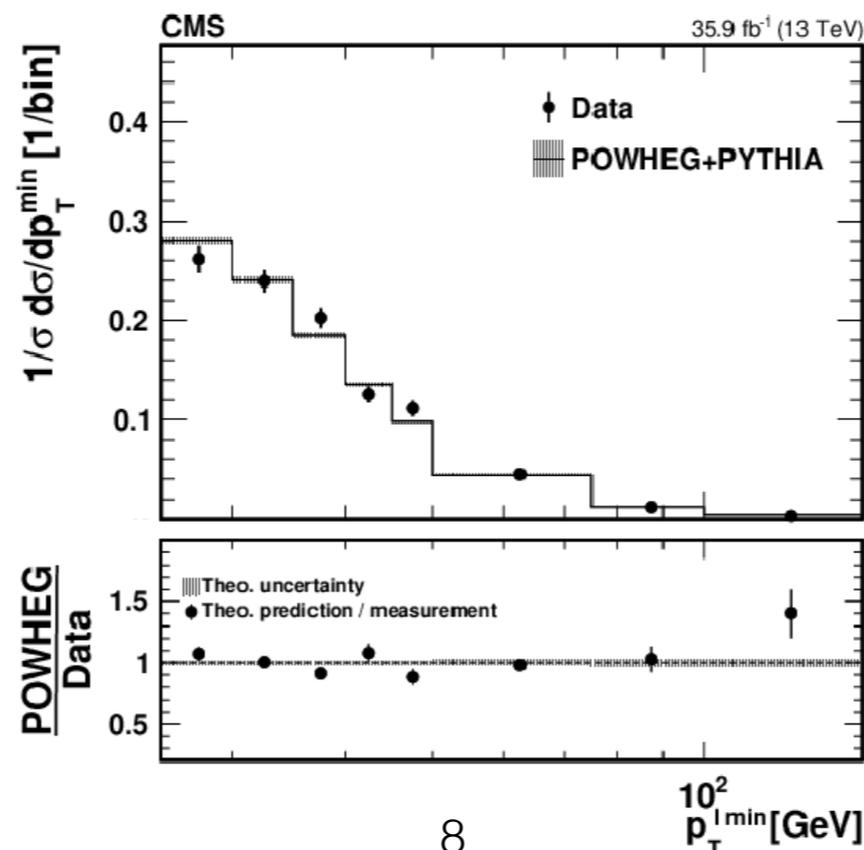
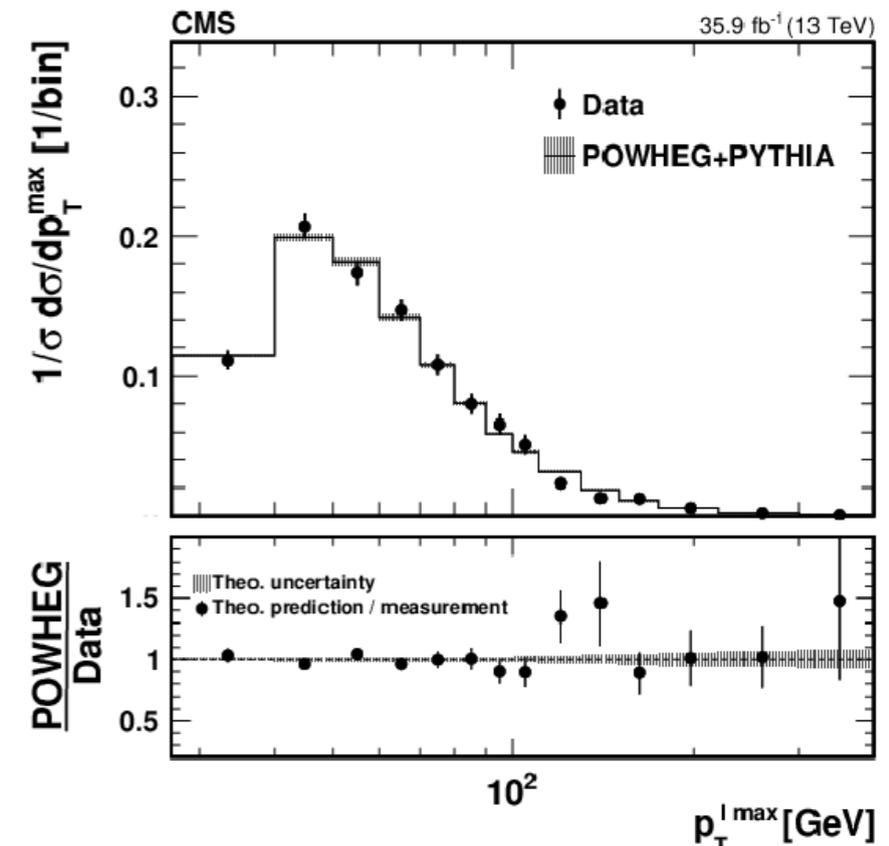
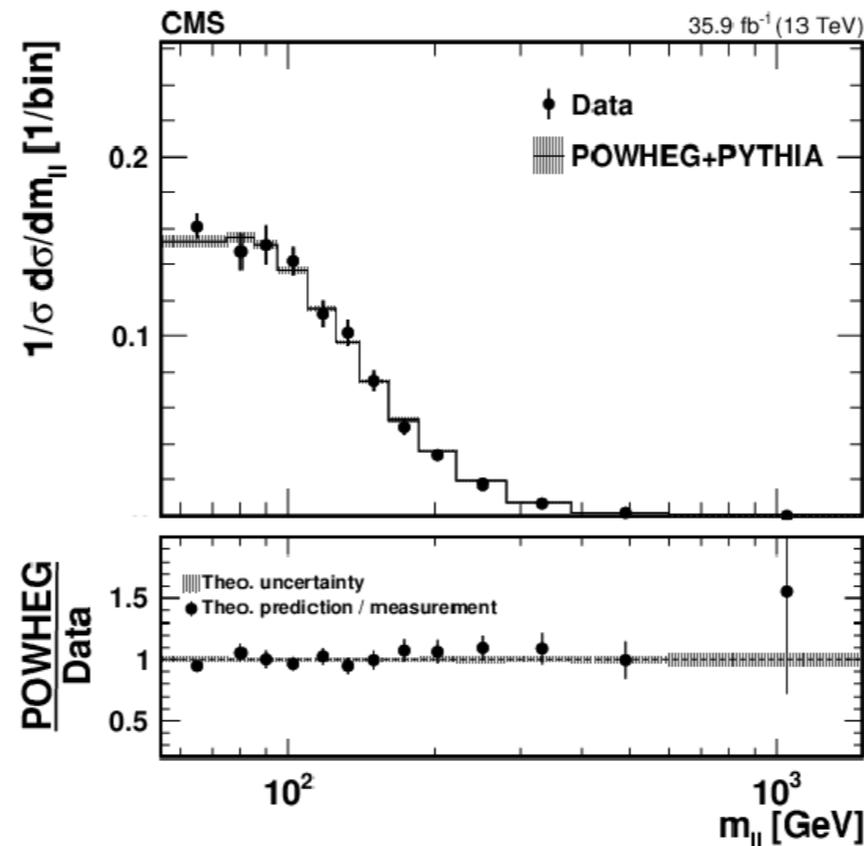
Fiducial WW+0 AK4 gen jets, pT thres. varied

| p_T threshold (GeV) | Signal strength | Cross section (pb) |
|-----------------------|-------------------|--------------------|
| 25 | 1.091 ± 0.073 | 0.836 ± 0.056 |
| 30 | 1.054 ± 0.065 | 0.892 ± 0.055 |
| 35 | 1.020 ± 0.060 | 0.932 ± 0.055 |
| 45 | 0.993 ± 0.057 | 1.011 ± 0.058 |
| 60 | 0.985 ± 0.059 | 1.118 ± 0.067 |



Normalized differential Xsecs

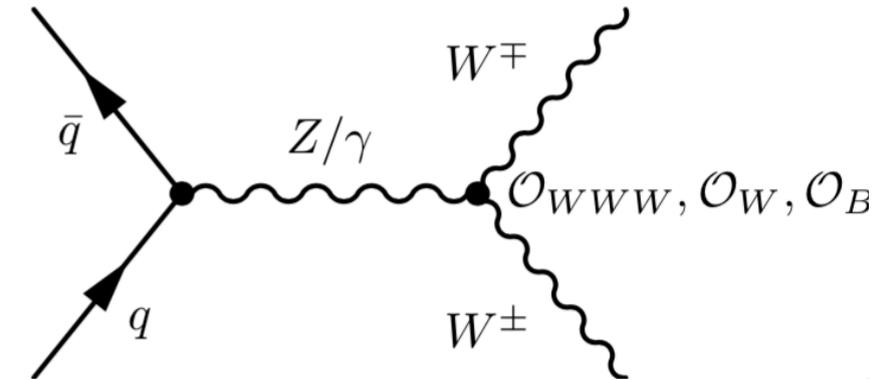
- Differential cross section measurement in $m_{\ell\ell}$, $p_{T\ell 1}$, $p_{T\ell 2}$, $\Delta\phi_{\ell\ell}$ bins
- Using the same fiducial definition
- Approach:** several signal strengths (bins categorized at GEN level) are fitted in RECO bins
 - The simultaneous fit to all bins in a given histogram takes all the correlations into account



Limits on Wilson coefficients

- In the electroweak sector of the SM, the first higher-dimensional operators containing only massive boson fields are dimension-6

- Set limits on the 3 corresponding coefficients affecting WW production: **EFT effects simulated with Madgraph5 aMC@NLO**

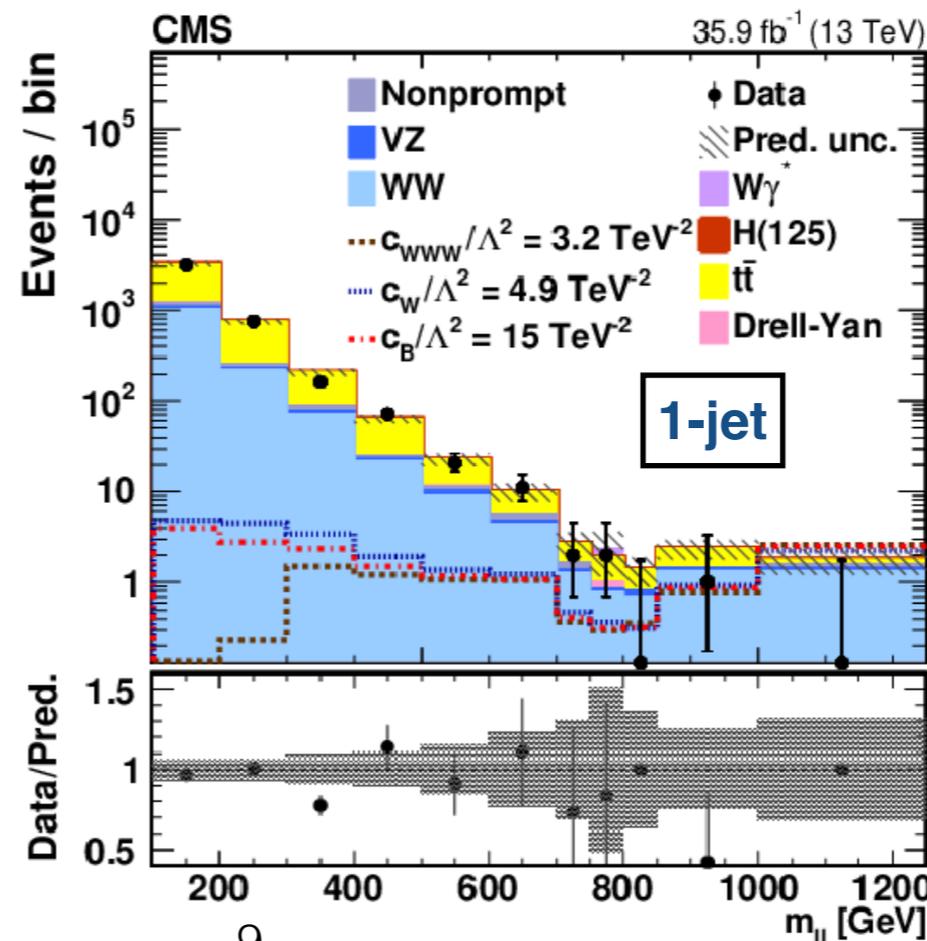
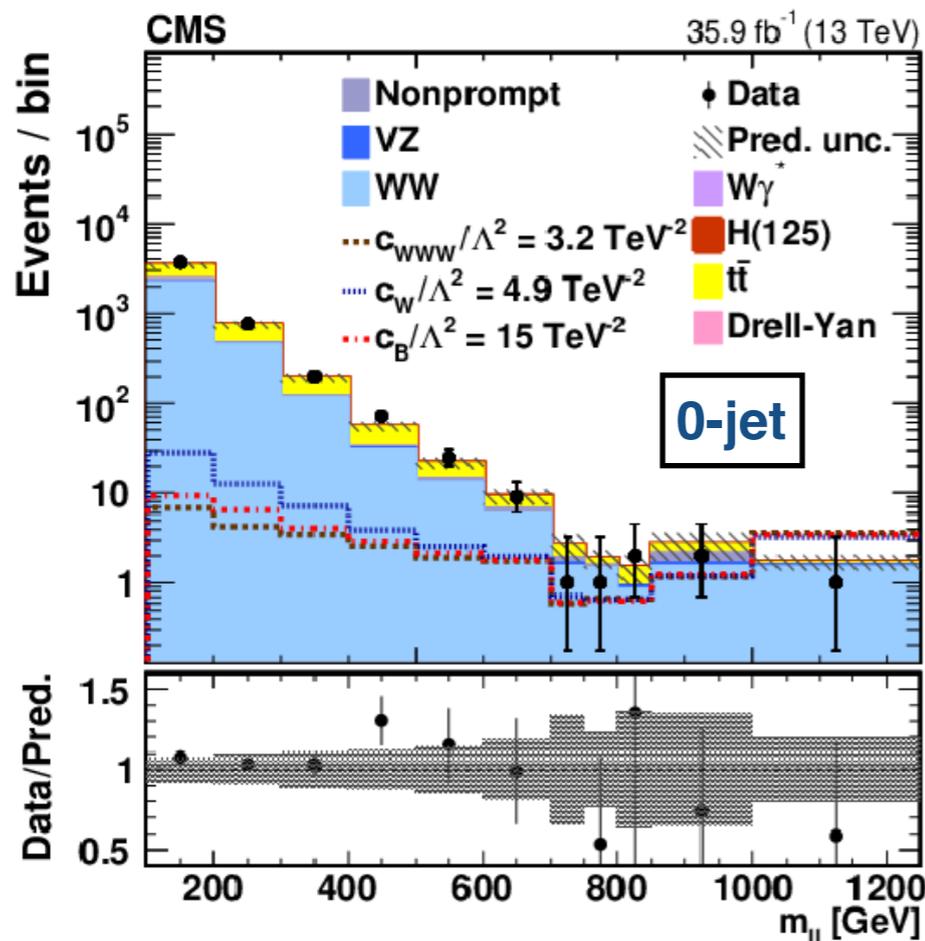


$$\mathcal{O}_{WWW} = \frac{c_{WWW}}{\Lambda^2} W_{\mu\nu} W^{\nu\rho} W_{\rho}{}^{\mu},$$

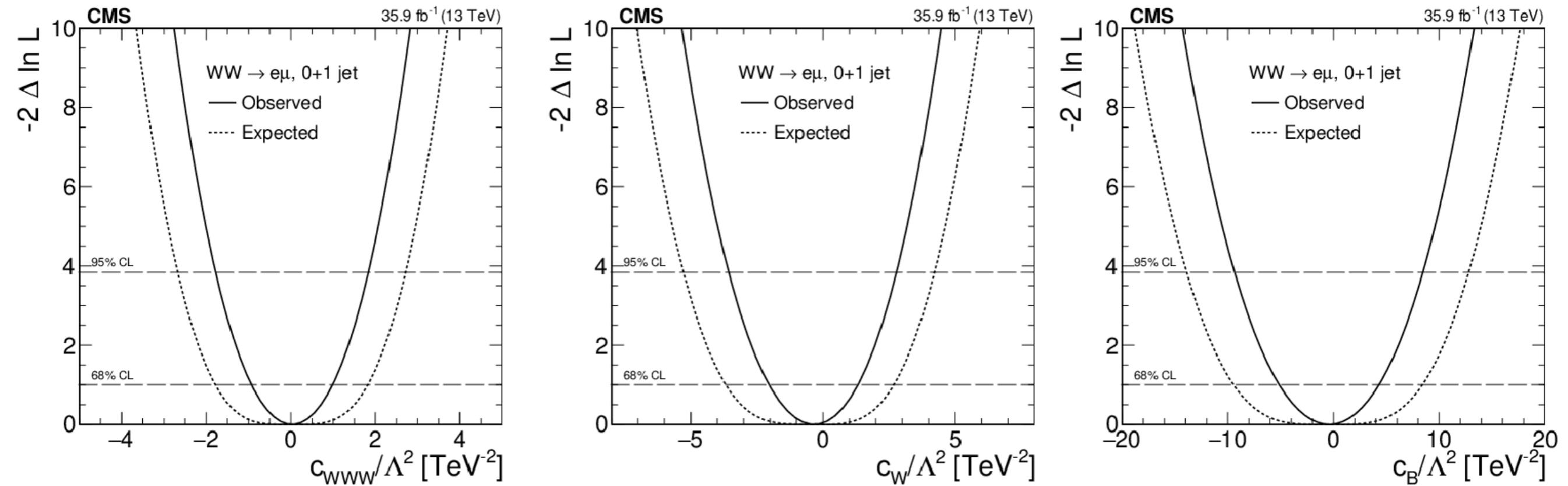
$$\mathcal{O}_W = \frac{c_W}{\Lambda^2} (D^\mu \Phi)^\dagger W_{\mu\nu} (D^\nu \Phi),$$

$$\mathcal{O}_B = \frac{c_B}{\Lambda^2} (D^\mu \Phi)^\dagger B_{\mu\nu} (D^\nu \Phi),$$

Used $e\mu$ final state from the sequential analysis



Limits on Wilson coefficients

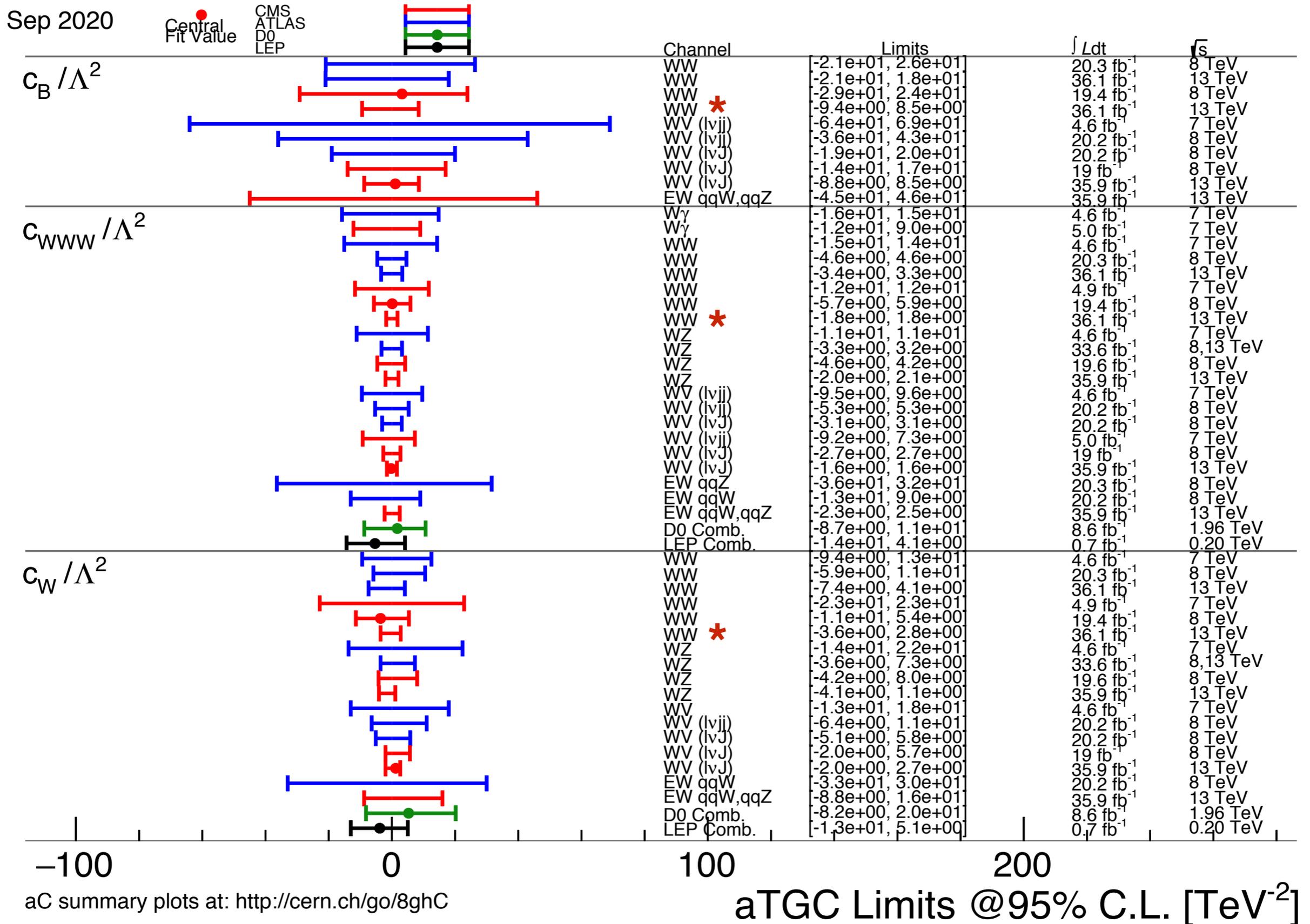


| Coefficients (TeV^{-2}) | 68% confidence interval | | 95% confidence interval | |
|---------------------------------------|-------------------------|-----------------|-------------------------|---------------|
| | expected | observed | expected | observed |
| c_{WWW}/Λ^2 | $[-1.8, 1.8]$ | $[-0.93, 0.99]$ | $[-2.7, 2.7]$ | $[-1.8, 1.8]$ |
| c_W/Λ^2 | $[-3.7, 2.7]$ | $[-2.0, 1.3]$ | $[-5.3, 4.2]$ | $[-3.6, 2.8]$ |
| c_B/Λ^2 | $[-9.4, 8.4]$ | $[-5.1, 4.3]$ | $[-14, 13]$ | $[-9.4, 8.5]$ |

W.r.t. Run-I (Observed): [arXiv:1507.03268](https://arxiv.org/abs/1507.03268)

| Coupling constant | This result (TeV^{-2}) | Its 95% CL interval (TeV^{-2}) |
|---------------------|--------------------------------------|--|
| c_{WWW}/Λ^2 | $0.1^{+3.2}_{-3.2}$ | $[-5.7, 5.9]$ |
| c_W/Λ^2 | $-3.6^{+5.0}_{-4.5}$ | $[-11.4, 5.4]$ |
| c_B/Λ^2 | $-3.2^{+15.0}_{-14.5}$ | $[-29.2, 23.9]$ |

Limits on Wilson coefficients



Summary & plans

- The WW production at 13 TeV results using the CMS full 2016 dataset experiment have been shown, including:
 - Total WW cross-section measurement
 - Fiducial & differential cross-section measurements
 - Limits on Wilson coefficients
- **Future plans of the analysis:**
 - Differential + aTGCs analysis using the full Run2 dataset (2016+2017+2018 CMS data)

Backup

Systematic uncertainties

Sequential analysis

| Uncertainty source | (%) |
|--|-----|
| Statistical | 1.2 |
| $t\bar{t}$ normalization | 2.0 |
| Drell–Yan normalization | 1.4 |
| $W\gamma^*$ normalization | 0.4 |
| Nonprompt leptons normalization | 1.9 |
| Lepton efficiencies | 2.1 |
| b tagging (b/c) | 0.4 |
| Mistag rate (q/g) | 1.0 |
| Jet energy scale and resolution | 2.3 |
| Pileup | 0.4 |
| Simulation and data control regions sample size | 1.0 |
| Total experimental systematic | 4.6 |
| QCD factorization and renormalization scales | 0.4 |
| Higher-order QCD corrections and p_T^{WW} distribution | 1.4 |
| PDF and α_S | 0.4 |
| Underlying event modeling | 0.5 |
| Total theoretical systematic | 1.6 |
| Integrated luminosity | 2.7 |
| Total | 5.7 |

Random Forest selection

- Alternative approach: **Random Forest** (RF) multivariate analysis
 - Each individual tree is allowed to use only a random subset of variables. This approach **mitigates overfitting**
- **Pre-selection:** $m_{\ell\ell} > 30$ GeV, third loose lepton veto ($p_T > 10$ GeV), bVeto ($p_{Tj} > 20$ GeV), $|m_{\ell\ell} - m_Z| > 15$ GeV for same-flavour leptons
- After the preselection, the largest contamination comes from Drell-Yan and $t\bar{t}$ → Two independent RF have been trained
 - **anti-Drell-Yan:** WW vs DY
 - **anti-top:** WW vs $t\bar{t}$
- Hyperparameters of the RFs are optimized by evaluating the RF performance in a multidimensional grid, taking into account all possible combinations between several choices for parameter values

| Feature | Classifier | |
|---|------------|-----------|
| | Drell–Yan | Top quark |
| Lepton flavor | ✓ | |
| Number of jets | | ✓ |
| $p_T^{\ell \text{ min}}$ | ✓ | |
| p_T^{miss} | ✓ | ✓ |
| $p_T^{\text{miss,proj}}$ | ✓ | |
| $p_T^{\ell\ell}$ | ✓ | ✓ |
| $m_{\ell\ell}$ | ✓ | |
| $m_{\ell\ell p_T^{\text{miss}}}$ | ✓ | |
| $\Delta\phi_{\ell\ell p_T^{\text{miss}}}$ | ✓ | ✓ |
| $\Delta\phi_{\ell j}$ | | ✓ |
| $\Delta\phi_{p_T^{\text{miss}} j}$ | | ✓ |
| $\Delta\phi_{\ell\ell}$ | ✓ | |
| H_T | | ✓ |
| Recoil | ✓ | ✓ |

RF optimized architecture:
 ntrees= 50, max_depth = 20
 min_events_per_split = 50
 min_events_in_leaf = 1
 max_features_per_tree = sqrt(total_variables)

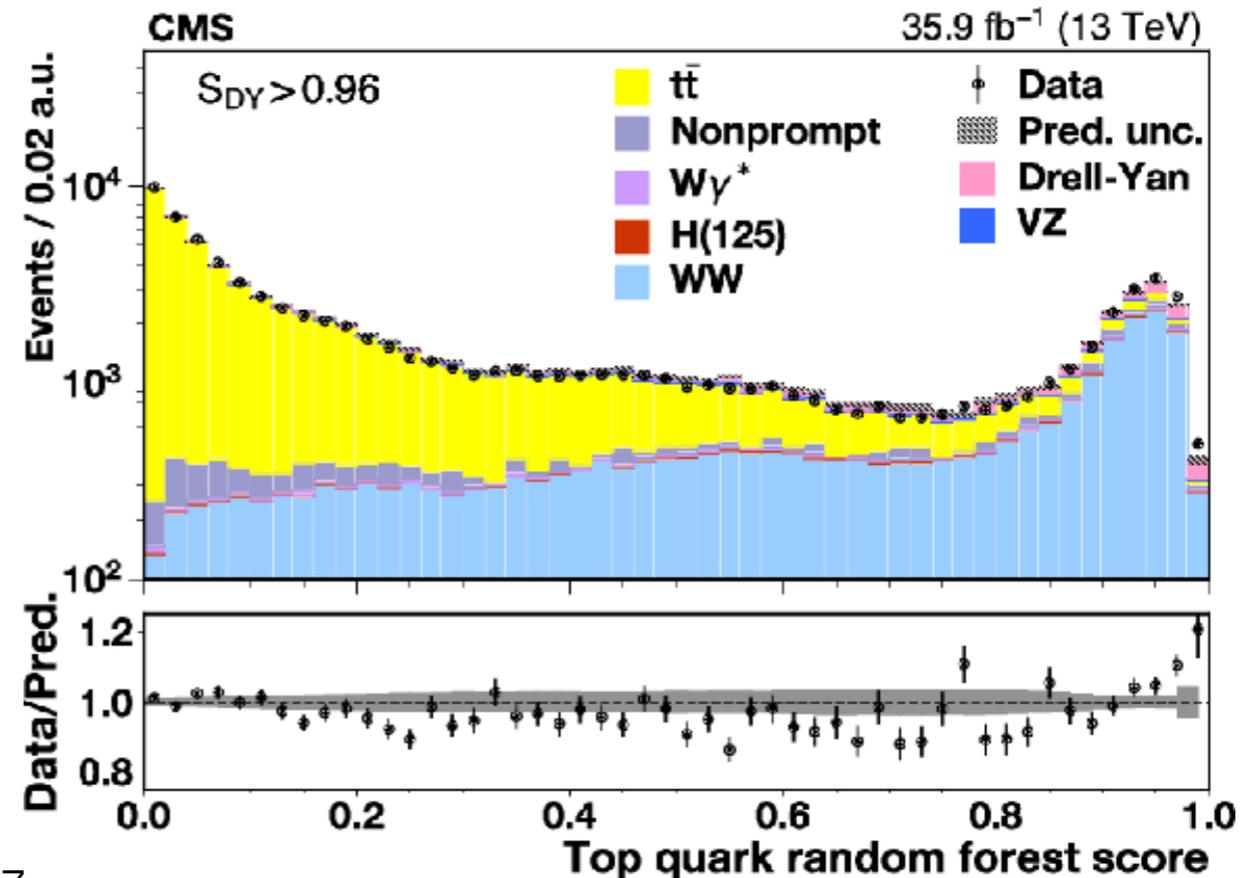
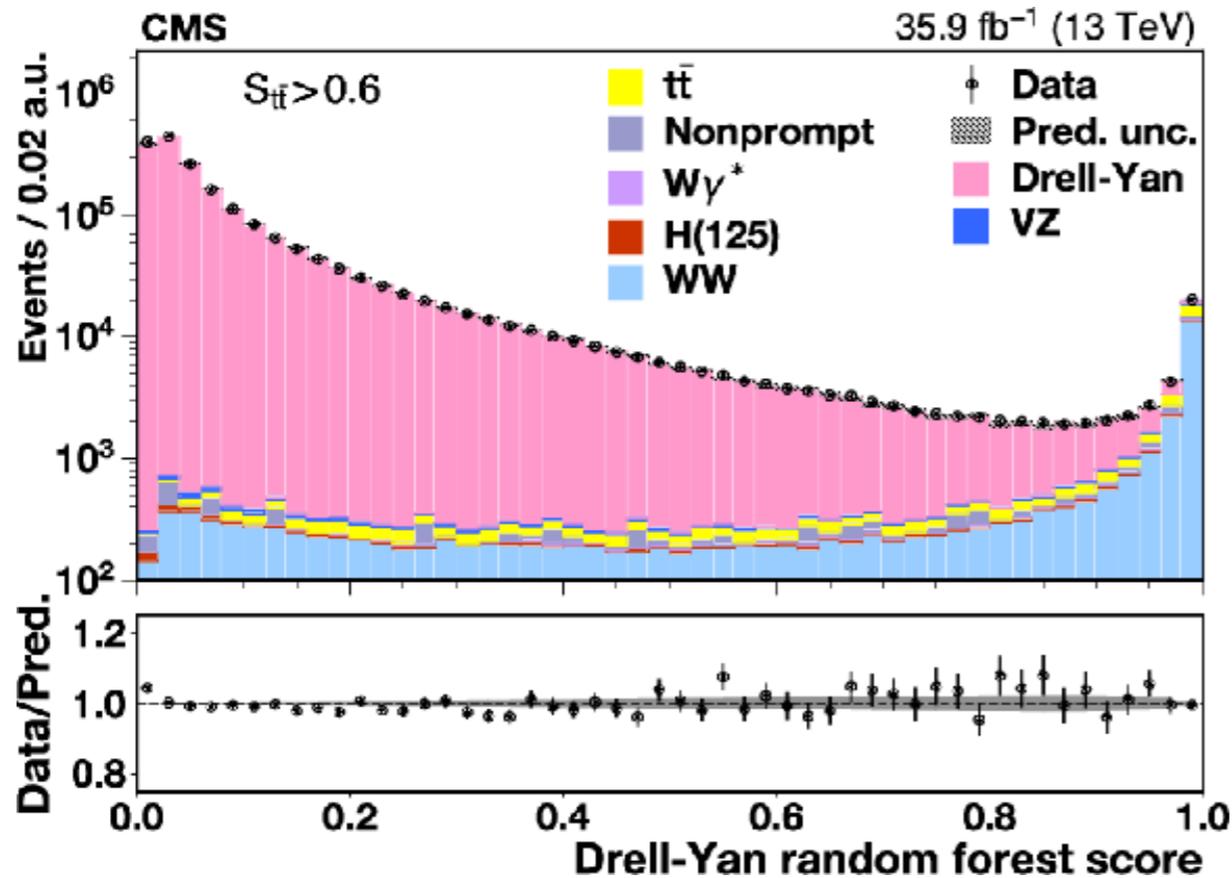
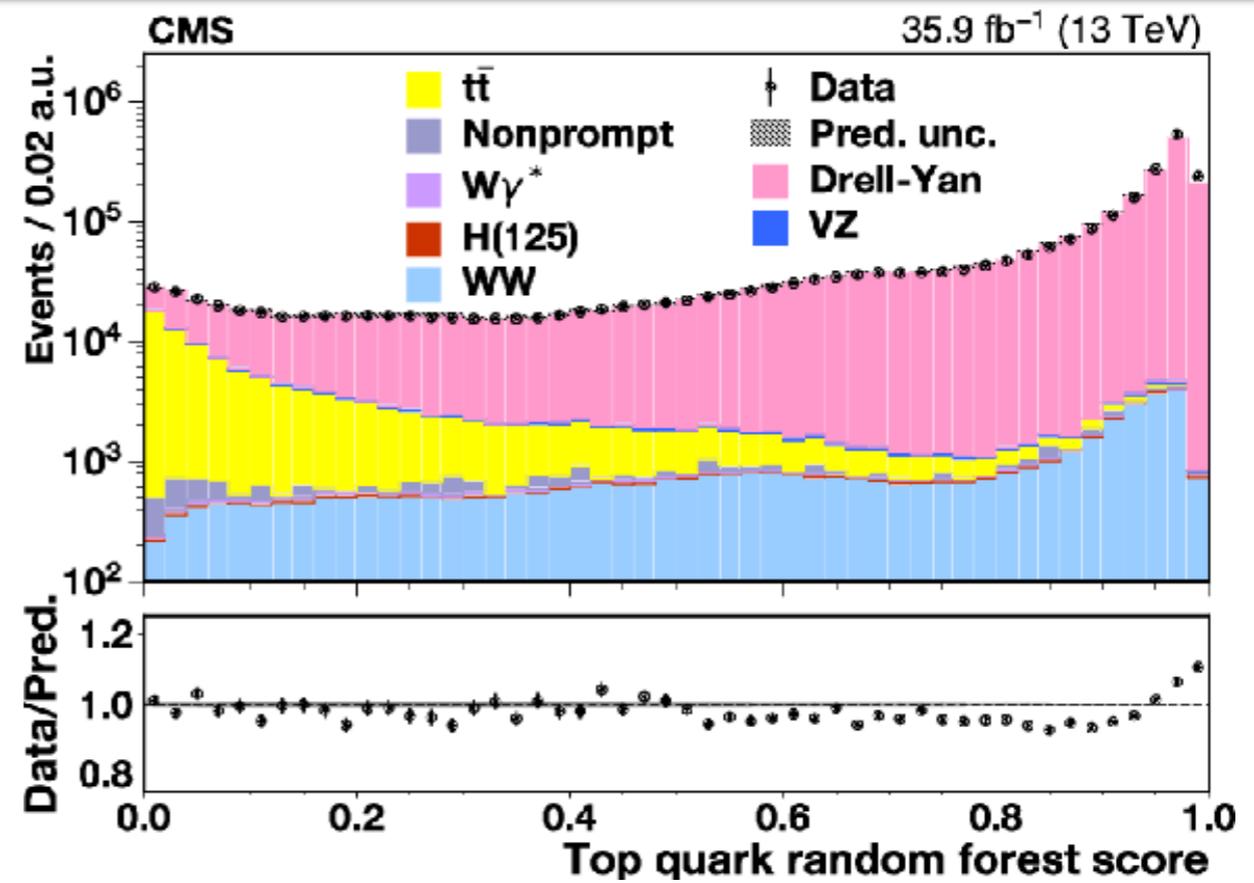
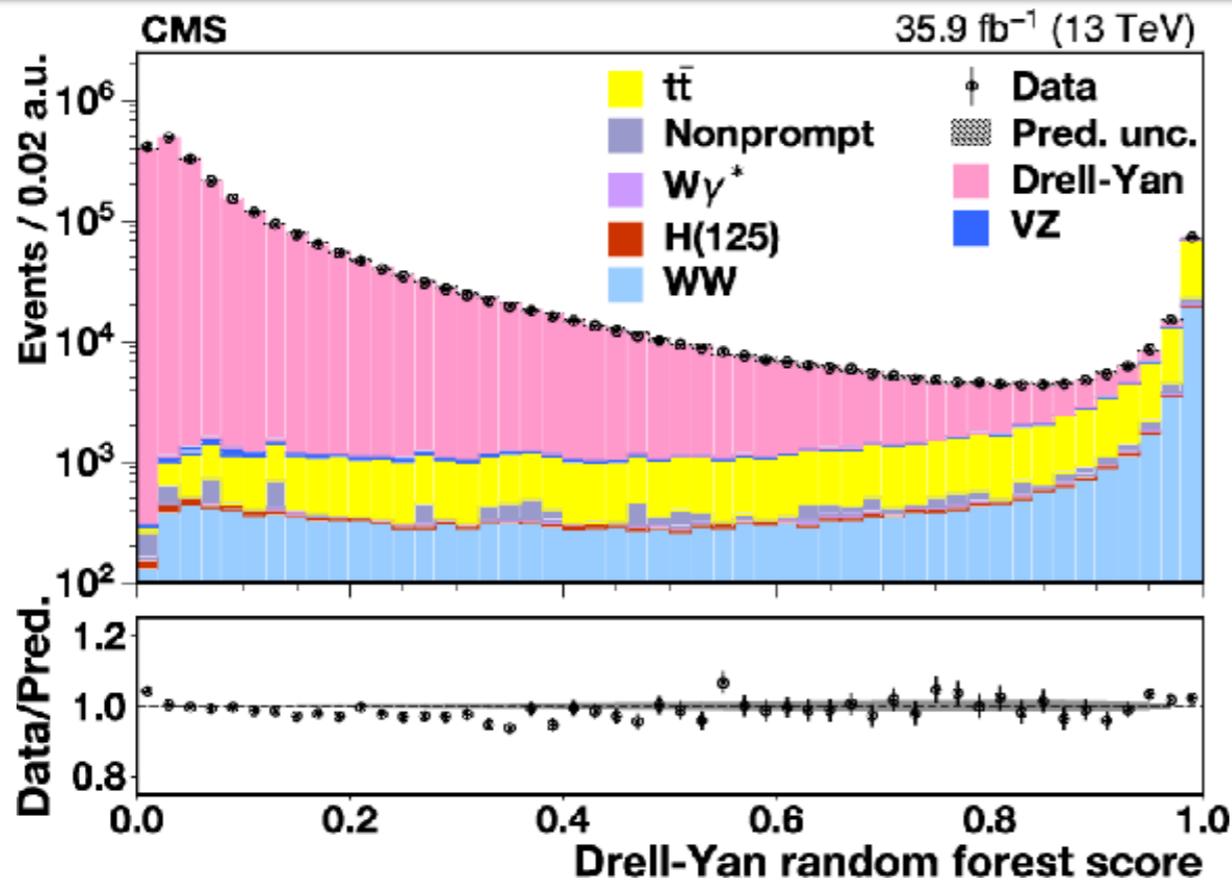
Random Forest selection

Signal Region definition:

| Quantity | Random Forest | |
|------------------------------------|------------------|-------------|
| | Different-flavor | Same-flavor |
| Number of leptons | Strictly 2 | |
| Lepton charges | Opposite | |
| $p_T^{\ell \max}$ | >25 | |
| $p_T^{\ell \min}$ | >20 | |
| $m_{\ell\ell}$ | >30 | >30 |
| Additional leptons | 0 | |
| $ m_{\ell\ell} - m_Z $ | — | >15 |
| Number of b-tagged jets | 0 | |
| Drell-Yan RF score S_{DY} | >0.96 | |
| $t\bar{t}$ RF score $S_{t\bar{t}}$ | >0.6 | |

- Selections on RF scores have been optimized by simultaneously **minimizing the uncertainty** in the cross section and **maximizing the signal purity**

Random Forest selection



Jet multiplicity measurement

- Relaxed cut on S_{tt} ($S_{tt} > 0.2$) to increase the efficiency for WW events with jets

Efficiency for RF selection w.r.t. preselection

| Number of jets | 0 | 1 | ≥ 2 |
|----------------|-------------------|-------------------|-------------------|
| Efficiency | 0.555 ± 0.003 | 0.448 ± 0.004 | 0.290 ± 0.004 |

$$\vec{v} = R_{PU} R_{DET} \vec{t}$$

$$\vec{u} = R_{PU}^{-1} R_{DET}^{-1} \vec{v}$$

$$\vec{\omega} = \vec{u} / |\vec{u}|$$

- Unfolding:** Gen jets reconstructed from stable gen particles excluding neutrinos with $p_{Tj} > 30$ GeV and $|\eta| < 2.4$, separated from leptons by $\Delta R > 0.4$
 - Reconstructed and generated jets are said to match if $\Delta R_{gen, reco} < 0.4$

$$R_{PU} = \begin{pmatrix} 0.986 & 0 & 0 \\ 0.013 & 0.985 & 0 \\ 0.001 & 0.015 & 1 \end{pmatrix}$$

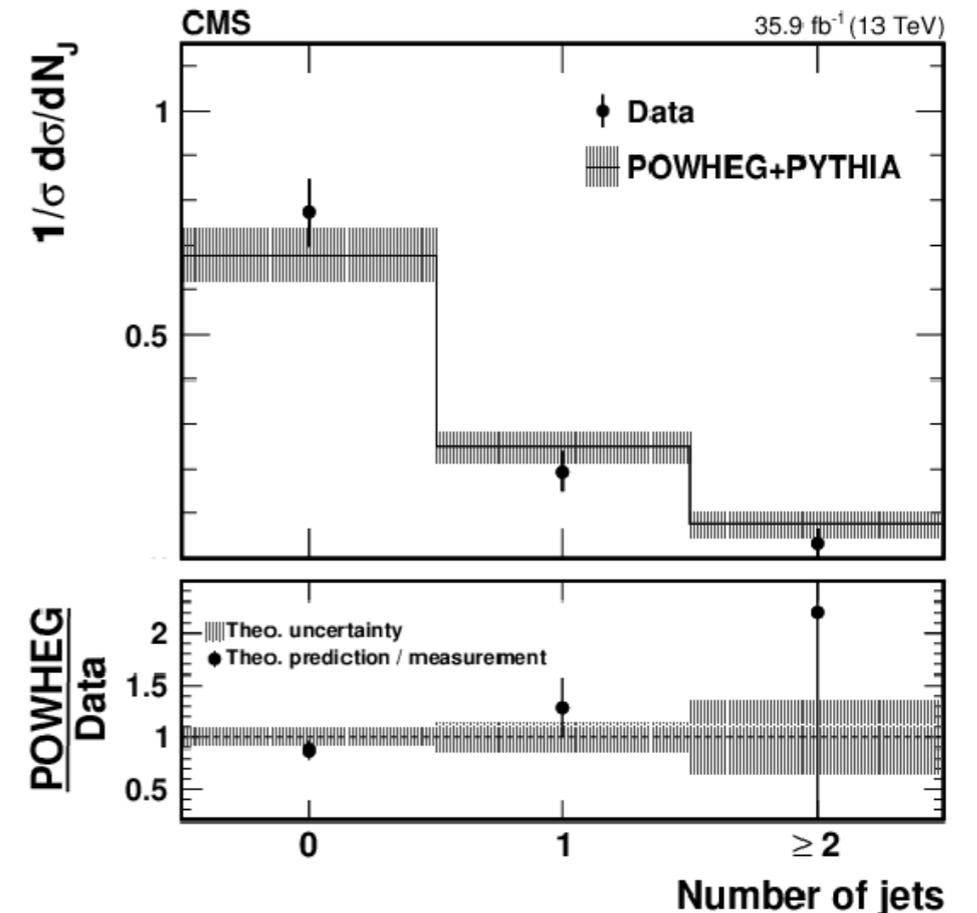
Total relative uncert.

$$\begin{pmatrix} 0.011 & 0.193 & 0.374 \\ 0.210 & 0.007 & 0.140 \\ 0.305 & 0.181 & 0.015 \end{pmatrix}$$

$$R_{DET} = \begin{pmatrix} 0.963 & 0.060 & 0.003 \\ 0.036 & 0.891 & 0.090 \\ 0.001 & 0.049 & 0.906 \end{pmatrix}$$

Fraction of events

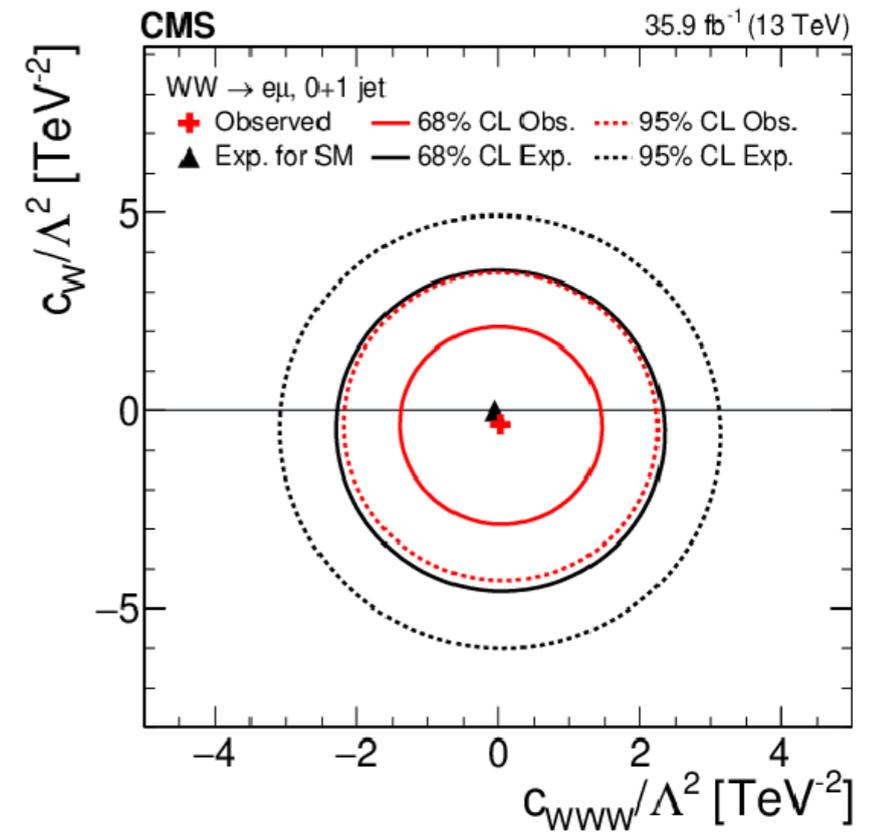
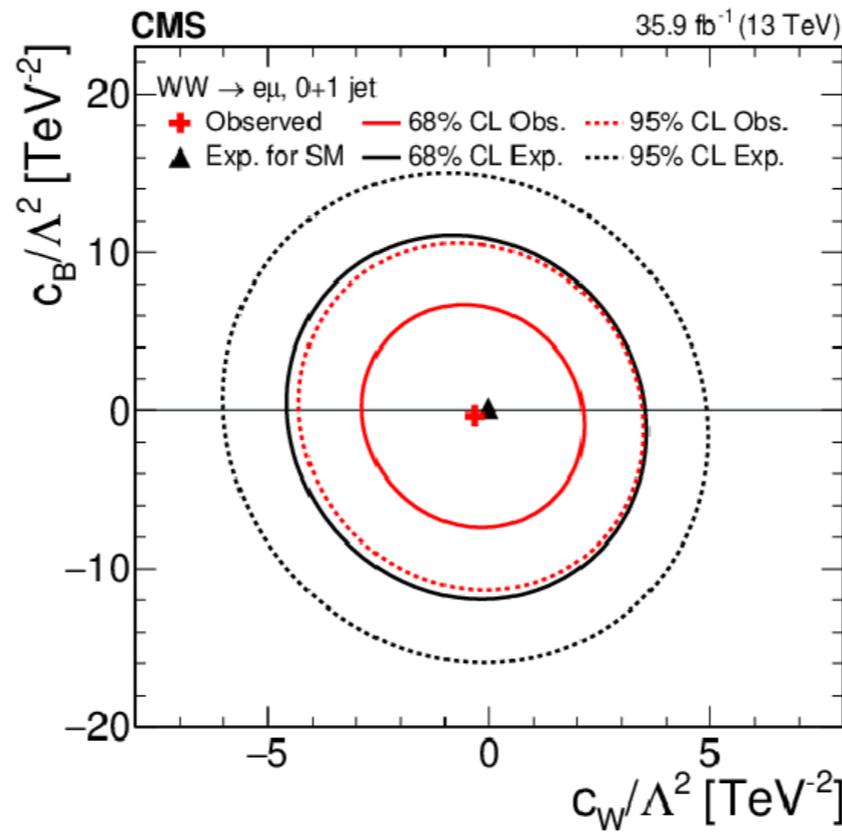
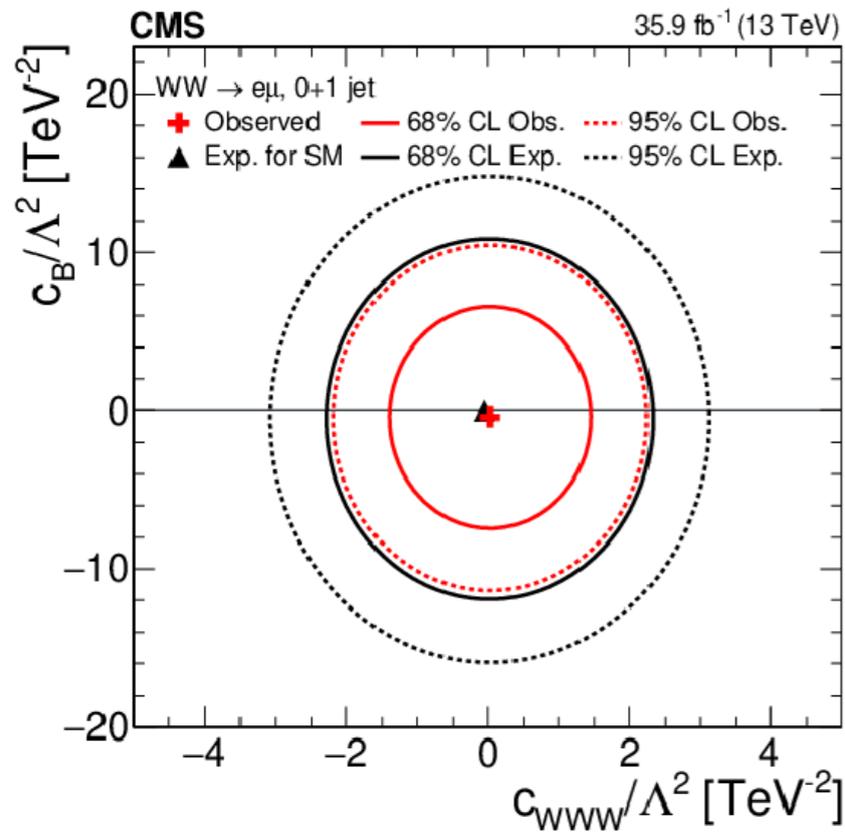
| Number of jets | 0 | 1 | ≥ 2 |
|------------------|-----------------------------|-----------------------------|-----------------------------|
| Before unfolding | $0.795 \pm 0.007 \pm 0.053$ | $0.180 \pm 0.006 \pm 0.039$ | $0.025 \pm 0.005 \pm 0.018$ |
| After unfolding | $0.773 \pm 0.008 \pm 0.075$ | $0.193 \pm 0.007 \pm 0.043$ | $0.034 \pm 0.006 \pm 0.033$ |
| Predicted | $0.677 \pm 0.007 \pm 0.058$ | $0.248 \pm 0.007 \pm 0.033$ | $0.075 \pm 0.006 \pm 0.026$ |



Postfit yields

| Process | Sequential Cut | | | | Random Forest | |
|-------------------------------|-------------------|----------------|----------------|----------------|------------------------|----------------|
| | DF | | SF | | DF | SF |
| | 0-jet | 1-jet | 0-jet | 1-jet | all jet multiplicities | |
| Top quark | 2110 ± 110 | 5000 ± 120 | 1202 ± 66 | 2211 ± 69 | 3450 ± 340 | 830 ± 82 |
| Drell–Yan | 129 ± 10 | 498 ± 38 | 1230 ± 260 | 285 ± 86 | 1360 ± 130 | 692 ± 72 |
| VZ | 227 ± 13 | 270 ± 12 | 192 ± 12 | 110 ± 7 | 279 ± 29 | 139 ± 10 |
| V V V | 11 ± 1 | 29 ± 2 | 4 ± 1 | 6 ± 1 | 13 ± 4 | 3 ± 2 |
| $H \rightarrow W^+W^-$ | 269 ± 41 | 150 ± 25 | 50 ± 2 | 27 ± 1 | 241 ± 26 | 90 ± 10 |
| $W\gamma^{(*)}$ | 147 ± 17 | 136 ± 13 | 123 ± 5 | 58 ± 6 | 305 ± 88 | 20 ± 6 |
| Nonprompt leptons | 980 ± 230 | 550 ± 120 | 153 ± 39 | 127 ± 32 | 940 ± 300 | 183 ± 59 |
| Total background | 3870 ± 260 | 6640 ± 180 | 2950 ± 270 | 2820 ± 120 | | |
| | $10\,510 \pm 310$ | | 5780 ± 300 | | 6600 ± 480 | 1960 ± 120 |
| $q\bar{q} \rightarrow W^+W^-$ | 6430 ± 250 | 2530 ± 140 | 2500 ± 180 | 1018 ± 71 | $12\,070 \pm 770$ | 2820 ± 180 |
| $gg \rightarrow W^+W^-$ | 521 ± 66 | 291 ± 38 | 228 ± 32 | 117 ± 15 | 693 ± 44 | 276 ± 17 |
| Total W^+W^- | 6950 ± 260 | 2820 ± 150 | 2730 ± 190 | 1136 ± 72 | | |
| | 9780 ± 300 | | 3860 ± 200 | | $12\,770 \pm 820$ | 3100 ± 200 |
| Total yield | $10\,820 \pm 360$ | 9460 ± 240 | 5680 ± 330 | 3960 ± 360 | | |
| | $20\,280 \pm 430$ | | 9640 ± 490 | | $19\,360 \pm 950$ | 5060 ± 240 |
| Purity | 0.64 | 0.30 | 0.48 | 0.29 | | |
| | | 0.48 | | 0.40 | 0.66 | 0.61 |
| Observed | 10 866 | 9404 | 5690 | 3914 | 19 418 | 5210 |

Limits on Wilson coefficients



W.r.t. Run-I results: [arXiv:1507.03268](https://arxiv.org/abs/1507.03268)

