

## R&D needed for SiPM:

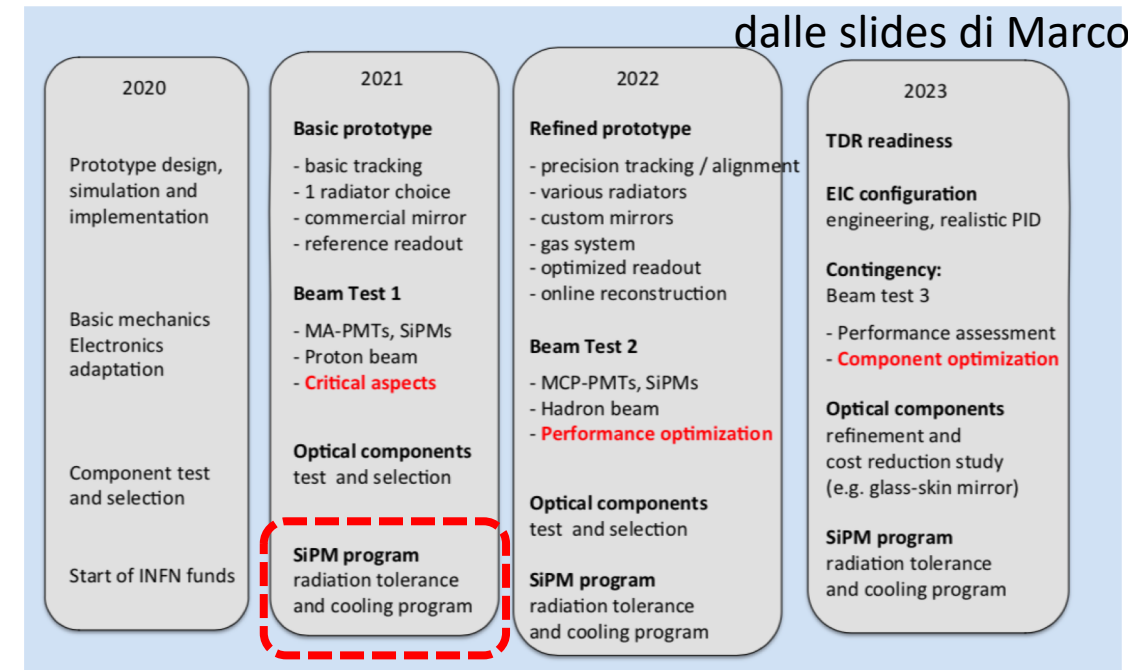
1. Proof of "feasibility": DCR & operating conditions, single photon detection etc.
2. Readout electronics: ASIC (+ streaming readout)
3. Radiation tolerance

Note these three R&D items are deeply interlinked!

Groups involved: Fe, LNF, RM1, CT, Bo, To, Ts

- "dRICH based" for test beams and parameters selection, but expected R&D outcome might extend beyond

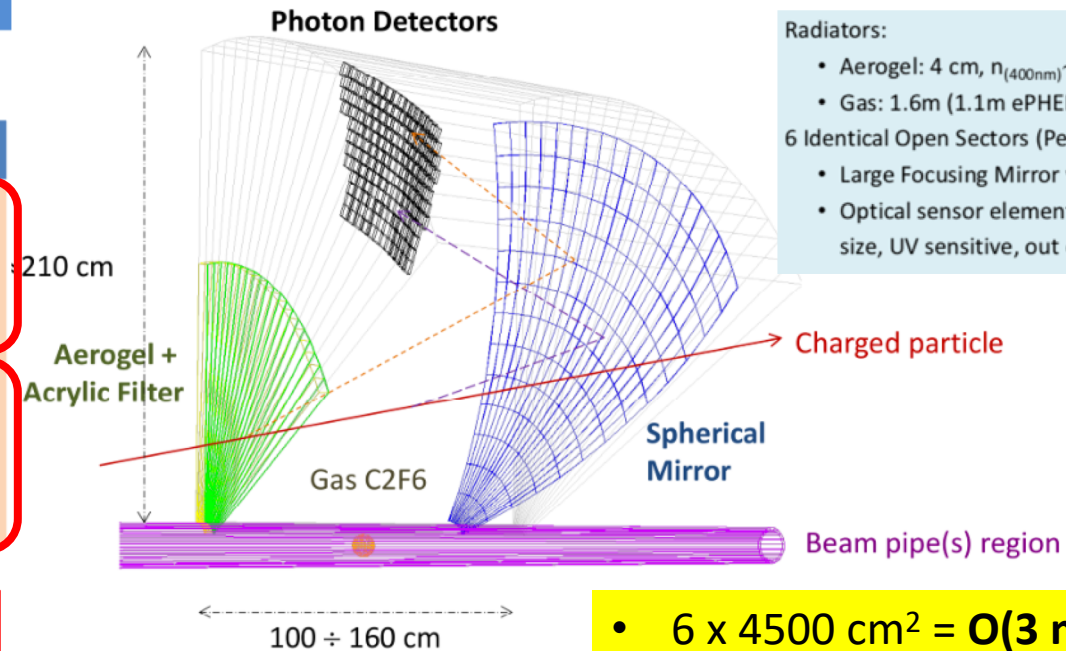
dalle slides di Marco



# dRICH and SiPM: "an option to be explored"

## dRICH Key Hardware Components 2/2

Component	Function	Specs/ Requirements	Risk	Mitigation
Photon Detector	Single photon spatial detection	Magnetic field tolerant and radiation hardness; ~ few mm spatial resolution	MCP-PMT is likely duable, but expensive. Need to find alternatives	LAPPD may represent an alternative. <b>R&amp;D on SiPM</b> : a promising, quickly improving, worldwide pursued, and cheap technology.
Electronics	Amplify and shape single photon analog signal, convert to digital, transfer to DAQ nodes	Low noise Time res. ~ 0.5 ns $\mu$ s signal latency; High density	No major risk but need to be tailored to photon sensors	MAROC3 based readout available for prototyping; final choice will depend on sensor. <b>ASIC development for optimised streaming readout (discrimination vs sampling)</b>



### Radiators:

- Aerogel: 4 cm,  $n_{(400nm)} \sim 1.02$  + 3 mm acrylic filter
- Gas: 1.6m (1.1m ePHENIX),  $n_{C_2F_6} \sim 1.0008$

### 6 Identical Open Sectors (Petals):

- Large Focusing Mirror with R ~ 2.9m (~2.0m ePHENIX)
- Optical sensor elements: ~4500 cm<sup>2</sup>/sector, 3 mm pixel size, UV sensitive, out of charged particles acceptance

- 6 x 4500 cm<sup>2</sup> = **O(3 m<sup>2</sup>)** active sensors
- **3x3 mmxmm** pixel size

- Single Photon Detection (**PDE** ↑)
- **Temperature** vs DCR ("not cryogenic")
- Use **timing** to help filter DCR
- **Radiation** tolerance
- Sampling vs **discrim.** + **TOT**

EIC R&D committee explicitly asked for such a program

In-depth EIC R&D Review Report (11/25/2019): "An important remaining issue is the SiPM noise rate after irradiation which should be clarified. We expect that it will take 2-3 years to fully understand if SiPMs can be used in RICH detectors at EIC".

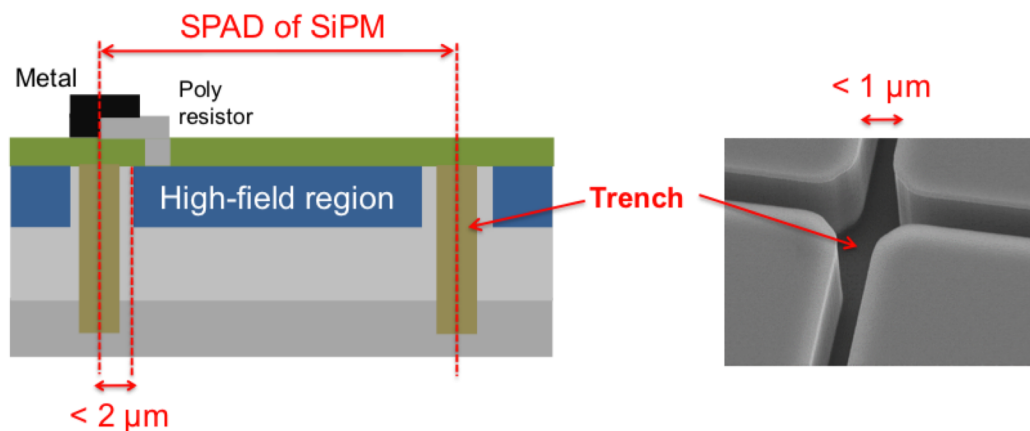
18th EIC R&D Meeting Report (01/30/2020): "The committee again recommends the group to re-examine options that do not rely on waveform sampling, e.g., a TOT-based design like the TOPFET2 ASIC, which is radiation hard, has low power consumption and has achieved a very good resolution per single photon with SiPMs."

**PDE**

NUV-HD technology from FBK with SPTR could be an interesting candidate (peak PE@400 nm)



**NUV-HD: technology**



- p-on-n junction → higher Pt for UV light
- Narrow dead border region → Higher Fill Factor
- Trenches between cells → Lower Cross-Talk
- Make it simple: 9 lithographic steps

TABLE II  
NUV-HD CELL SIZES

Cell pitch ( $\mu\text{m}$ )	Cells/ $\text{mm}^2$	Fill factor (%)
15	4500	55
20	2500	65
25	1600	72
30	1100	77

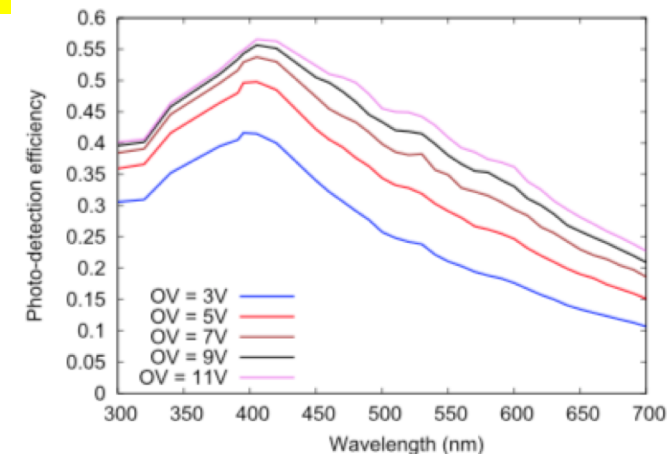


Fig. 2. PDE spectrum of the  $30 \times 30 \mu\text{m}^2$  cell (78% FF) at different overvoltages. The measurement error is estimated to be 4%.

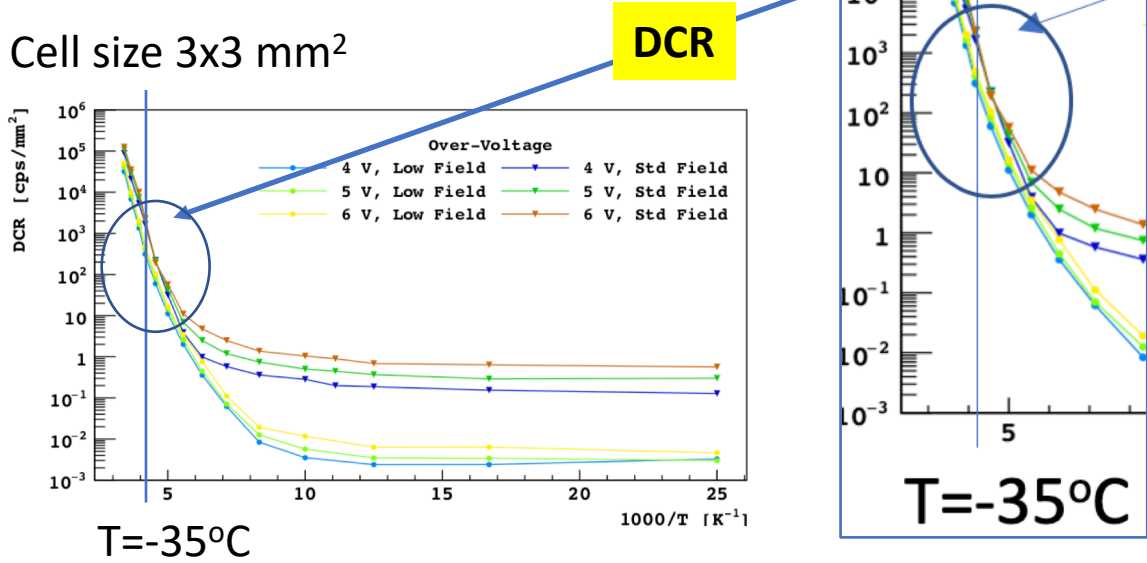
In this paper, we presented the main technological characteristics as well as the performance of the novel NUV-HD SiPM technology. It features a very high FF at the cell level, which allows production of devices with a high dynamic range while retaining the same PDE as other devices with lower cell densities. The electro-optical performance improved significantly from the previous FBK NUV technology: for a  $30 \times 30 \mu\text{m}^2$  cell pitch, the PDE exceeds 50% with an optical CT of  $\sim 25\%$  and a DCR of  $\sim 200 \text{ kHz}/\text{mm}^2$  (at  $20 \text{ }^\circ\text{C}$ ). These features have a direct impact on the perfor-

Ref: NUV-HD FBK technology

C. Piemonte et al., IEEE TRANSACTIONS ON ELECTRON DEVICES, VOL. 63, NO. 3, MARCH 2016

NUV-HD technology from FBK with SPTR could be an interesting candidate (peak PE@400 nm)

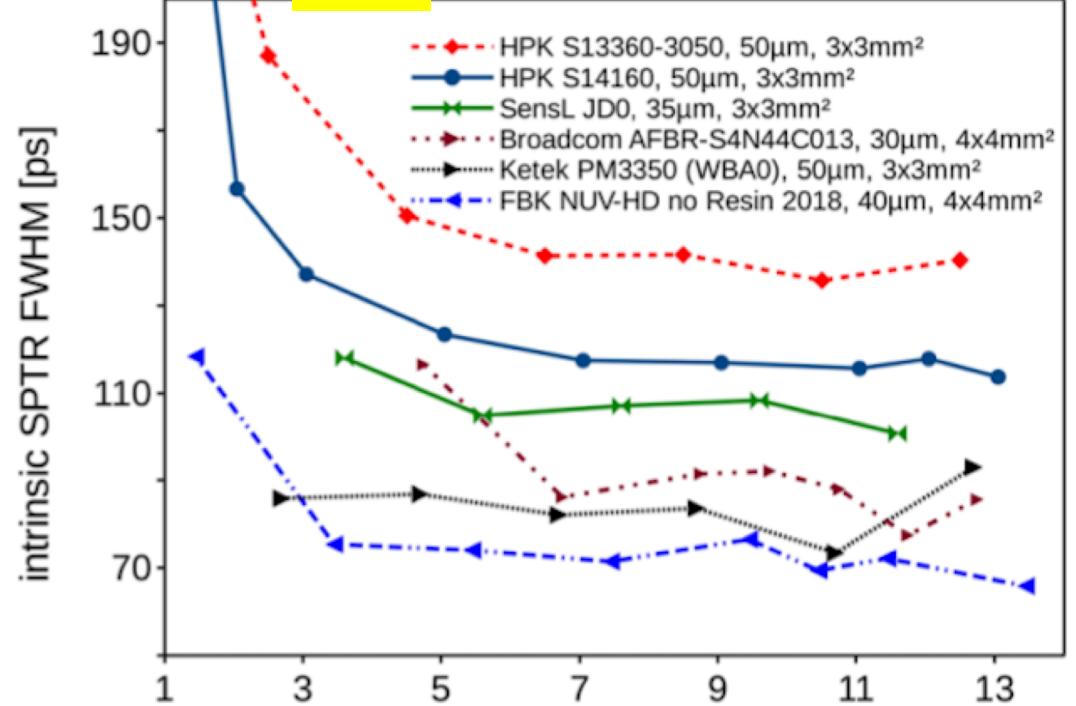
Cell size 3x3 mm<sup>2</sup>



With  $3 \times 10^2 \text{ Hz/mm}^2 \rightarrow 2.7 \text{ KHz/sensor} \rightarrow 15 \text{ GHz/sector}$   
(comparable to Hamamatsu S13360-1350CS)

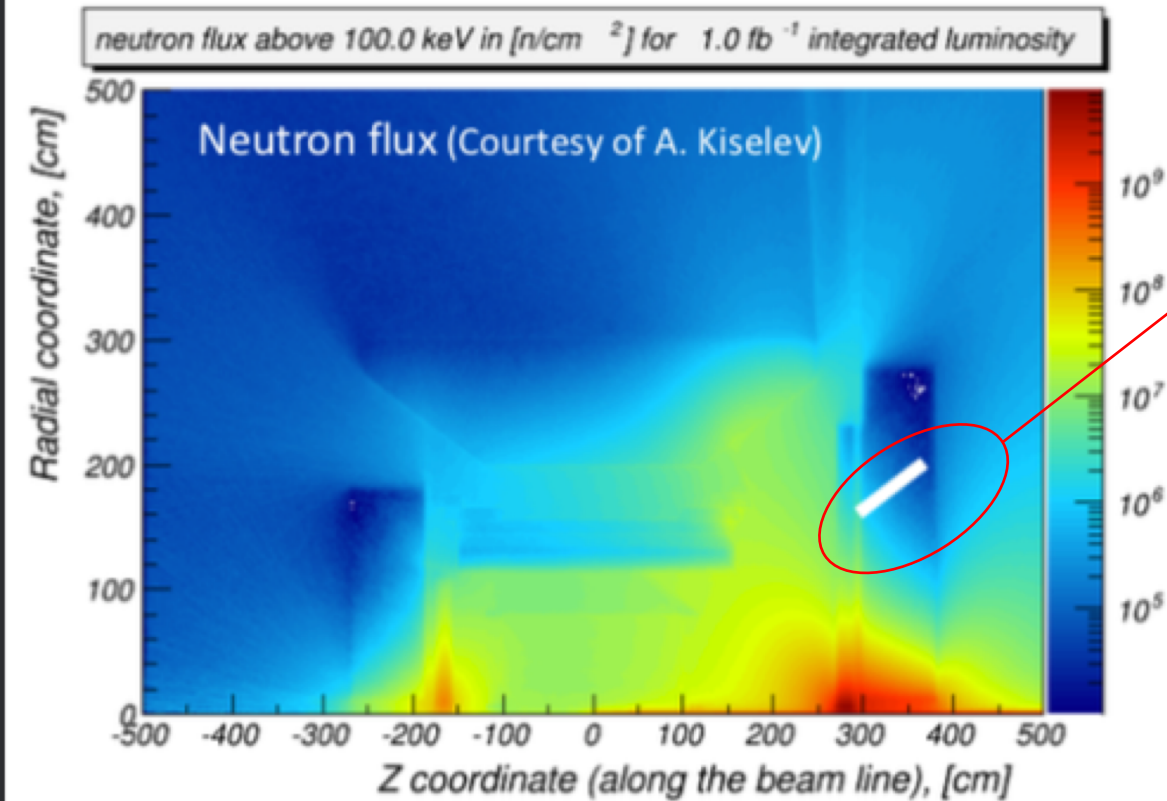
Note with PMT estimated for dRICH  $10^5 \text{ Gbit/s}$  (@1MHz DCR/sensor and 0.5 ns sampling). Assuming 64-bit word/hit this brings to  **$10^3 \text{ Gbit/s /sector}$**

**SPTR**



S. Gundacker *et al* 2020 *Phys. Med. Biol.* **65** 025001

Ref:  
Alberto Gola



- Likely position of RICH sensors
- Values to be confirmed by further simulations when BNL will design more precisely IP
- Reference value  $\sim 10^{11} \text{ neq/cm}^2$   
"for several years at max lumi ( $10^{34} \text{ /s/cm}^2$ )"

### We are Interested for a R&D program to check:

- 1) radiation tolerance (DCR degradation and loss of baseline as result of irradiation + SPTR)
- 2) annealing procedure to recover baseline + mitigate DCR degradation

- Bologna has experience of irradiation campaigns @TIFPA (200 MeV protons) (Centro di Protonterapia), Ferrara and Frascati irradiated already SiPM (Hamamatsu 12572 and 13360) @ENEA.
- Devoted ASIC might be part of the solution for a "rad tolerant SiPM" (add high-pass filter)

Some relevant literature:

E. Garutti and Y. Musienko, NIM, A 926 (2019) 69-84 Review of SiPM radiation damages

- T. Tsang et al., (Phenix) JINST (2016) 11 P12002, one of first publications reporting "recovery" after annealing at high temperatures for SiPM
- I. Balossino et al. (CLAS12), NIMA 876 (2017) 89
- M. Calvi et al. (Mi Bicocca/CMS-BTL), NIM, A 922 (2019) 243–249 CMS-BTL R&D for  $10^{14}$   $n_{eq}/cm^2$  fluences. Annealing @  $175^\circ C$

Hamamatsu

S12572 standard technology  
S13360 trench technology

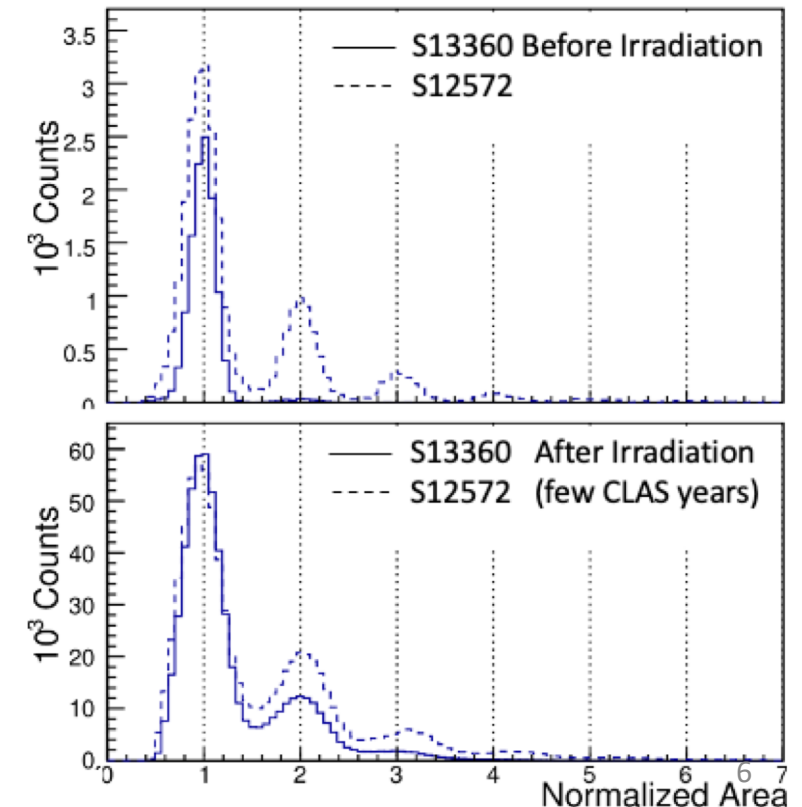
$10^9$

@  $0^\circ C$

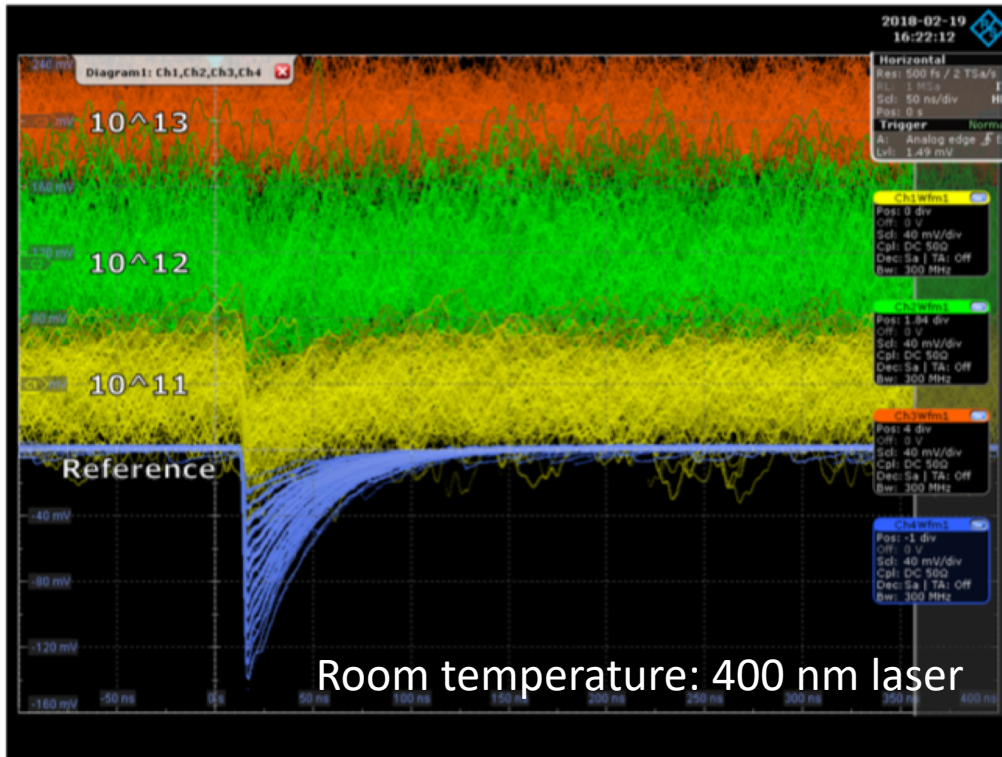


Neutrons produced isotropically through  
 $d(230keV) t \rightarrow n \alpha$   
 $\alpha$  particles measured to monitor the intensity

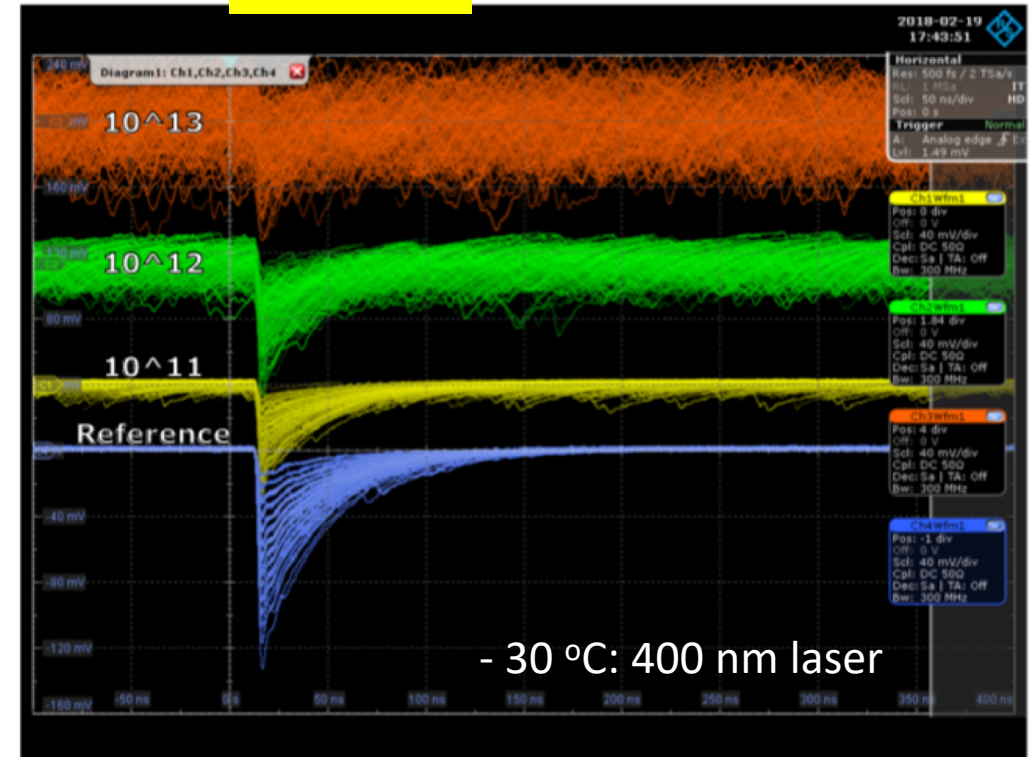
- max flux  $10^{11} s^{-1}$  in  $4\pi$
- max neutron energy 14.6 MeV



**Radiation tolerance**



Room temperature: 400 nm laser



- 30 °C: 400 nm laser

Hamamatsu S13360-1325CS (1.3x1.3 mm<sup>2</sup> – 25 μm)

Hamamatsu S13360-1350CS (1.3x1.3 mm<sup>2</sup> – 50 μm)

Nuclear Inst. and Methods in Physics Research, A 922 (2019) 243–249

Effect of radiation (**10<sup>11</sup>** **10<sup>12</sup>** **10<sup>13</sup>**):

- loss of baseline

- room temperature not an option

Ref:  
M. Calvi et al. <sup>1/09/20</sup>  
Nuclear Inst. and Methods in Physics Research, A 922 (2019) 243–249



Contents lists available at ScienceDirect

Nuclear Inst. and Methods in Physics Research, A

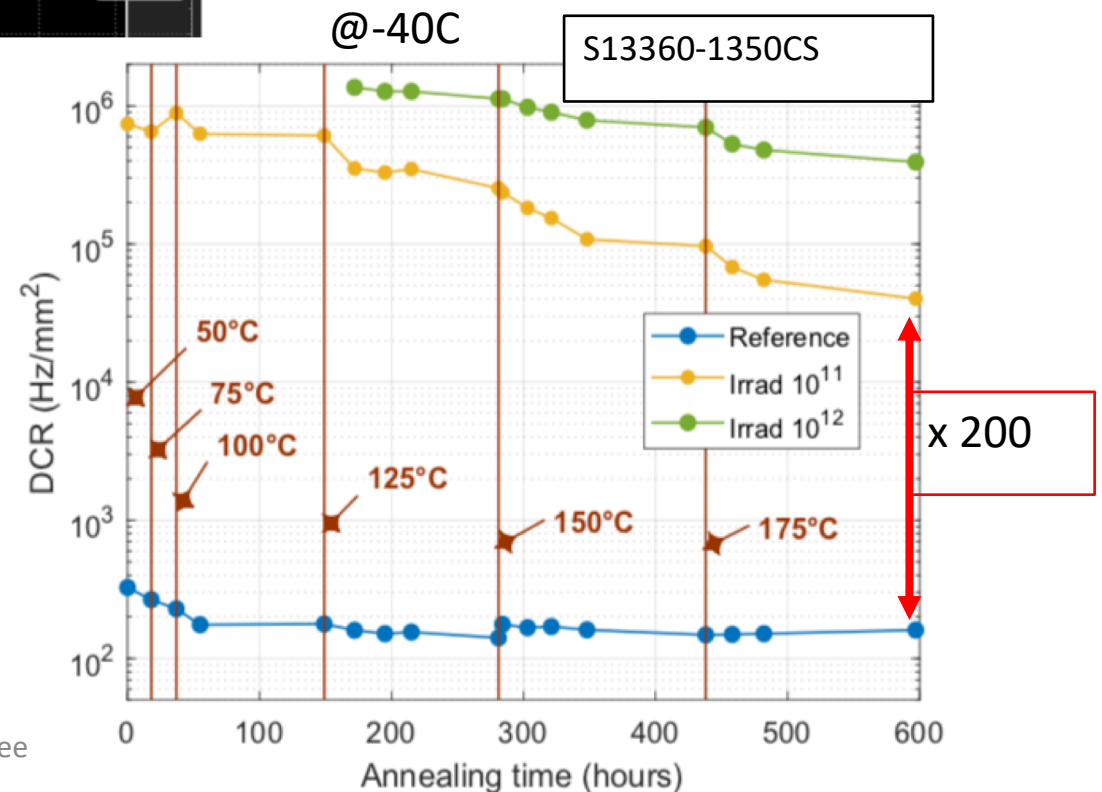
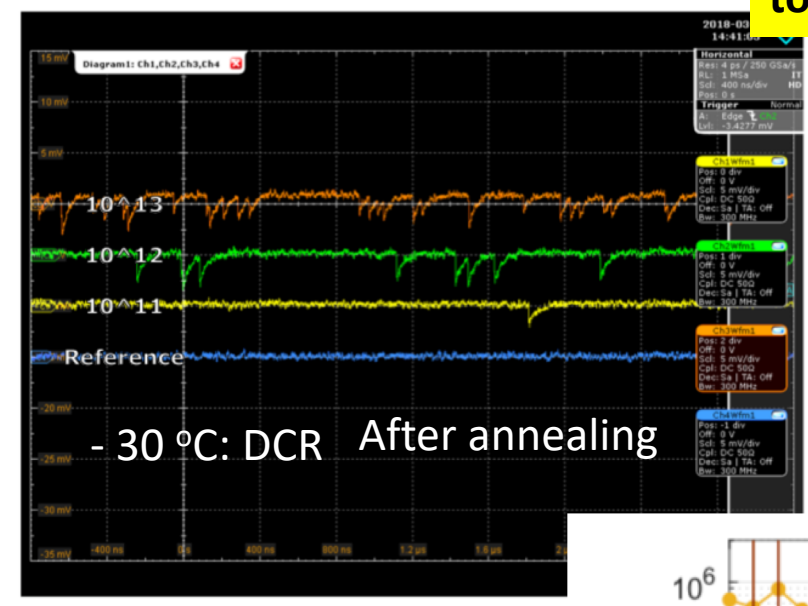
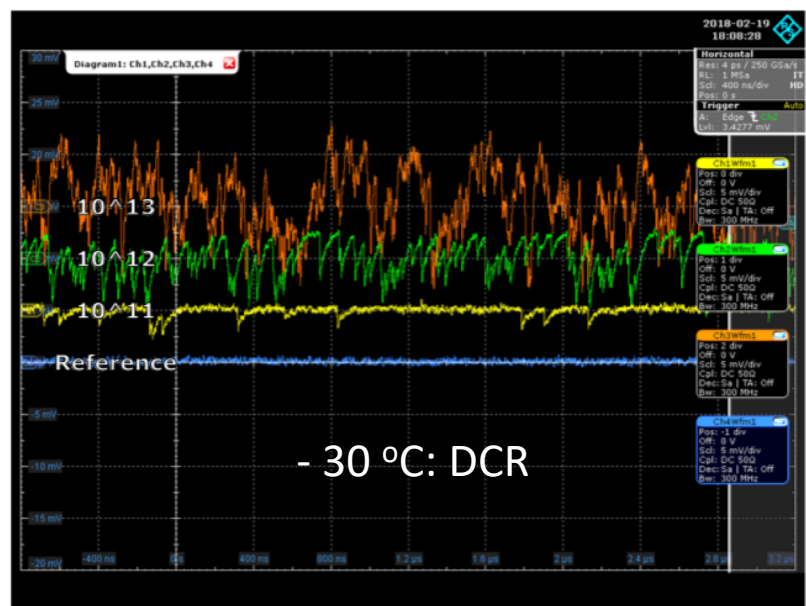
journal homepage: [www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)

Single photon detection with SiPMs irradiated up to 10<sup>14</sup> cm<sup>-2</sup>  
1-MeV-equivalent neutron fluence

M. Calvi <sup>a,b</sup>, P. Carniti <sup>a,b,\*</sup>, C. Gotti <sup>a,b,\*</sup>, C. Matteuzzi <sup>a</sup>, G. Pessina <sup>a</sup>

<sup>a</sup> INFN, Sezione di Milano Bicocca, Piazza della Scienza 3, Milano 20126, Italy

<sup>b</sup> Università di Milano Bicocca, Dipartimento di Fisica G. Occhialini, Piazza della Scienza 3, Milano 20126, Italy

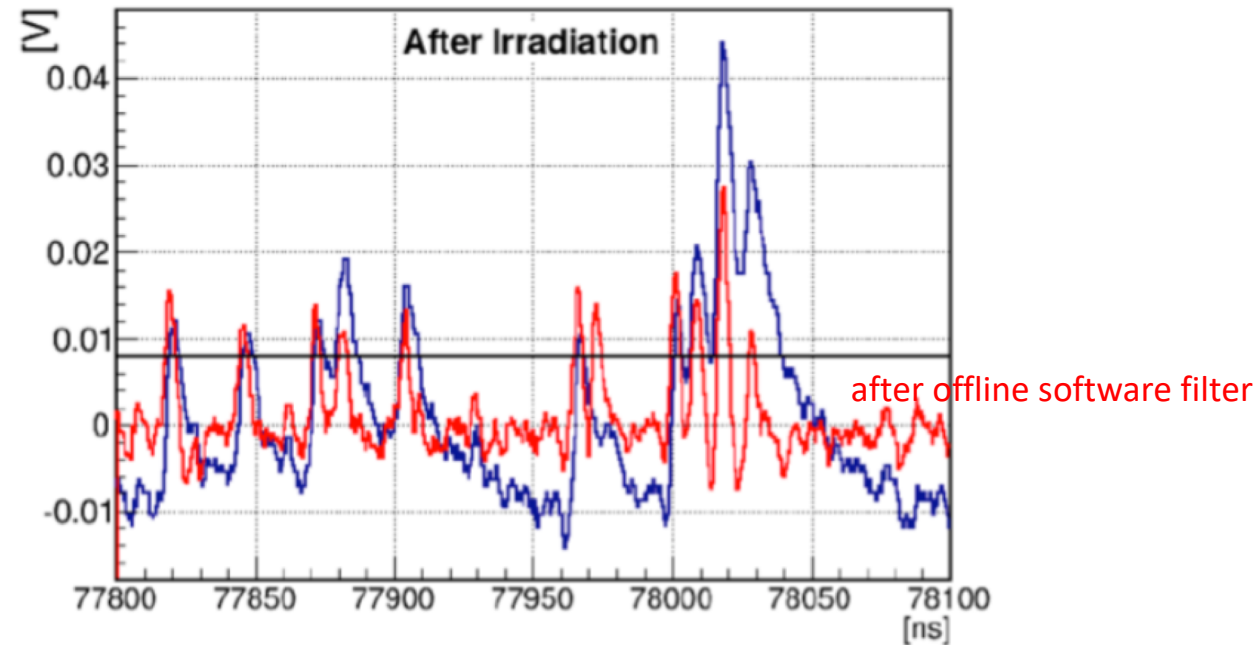
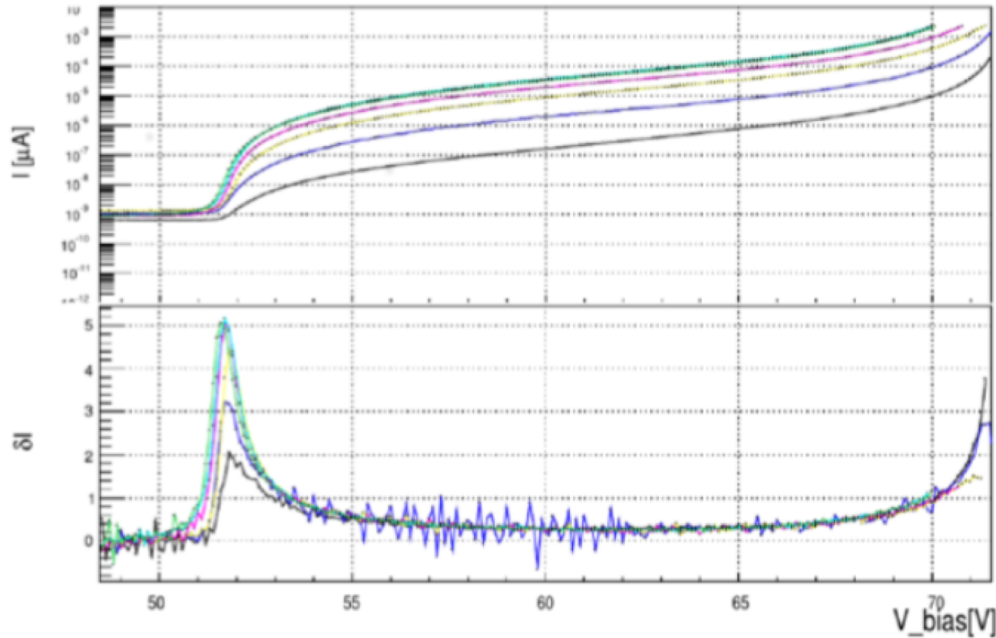


- SPD looks possible at -30°C/-40°C after annealing!
- 10<sup>11</sup> seems a manageable fluence for annealing
- DCR penalty factor (pre-irradiation – post-annealing)@10<sup>11</sup>: **200**
- Further lowering temperature is another option to explore
- Note, however, that with a 200 penalty factor we would have a 2·10<sup>5</sup> Gbit/sector throughput, still manageable... (and close to what "declared" @Temple)



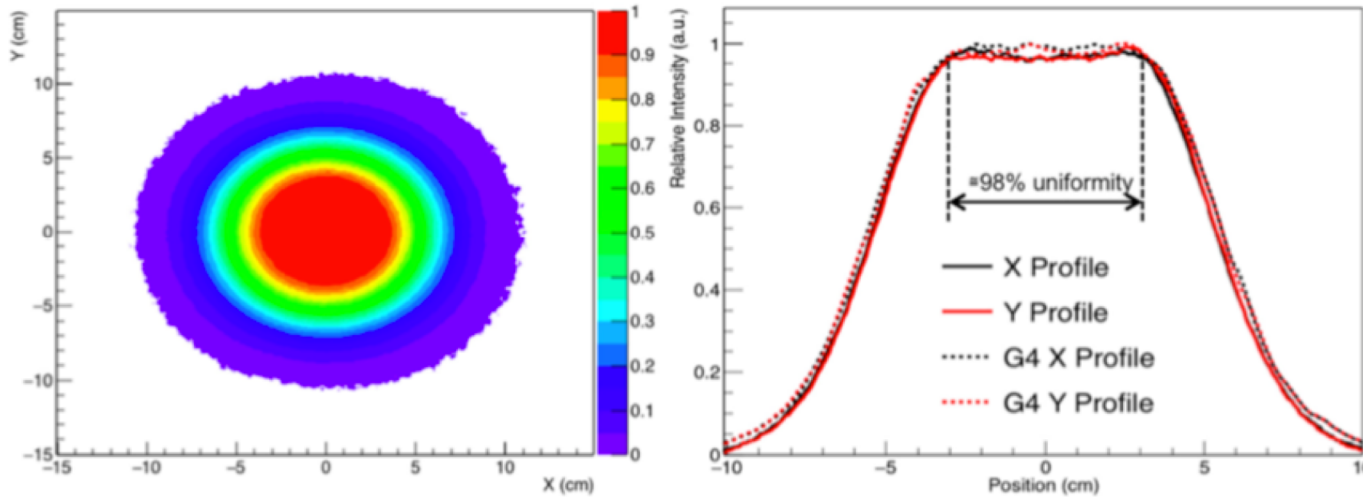
metodologia già utilizzata da gruppo Ferrara

caratterizzazione I-V e valutazione restore baseline per ricupero single photon counting + DCR



- misure saranno effettuate pre-irraggiamento, post-irraggiamento e post-annealing (vedi Calvi et al.)
- read-out ALCOR based (quando operiamo a  $T=-30\text{ }^{\circ}\text{C}$ )

Centro di protonterapia @Trento via TIFPA  
beam fino a 200 MeV proton



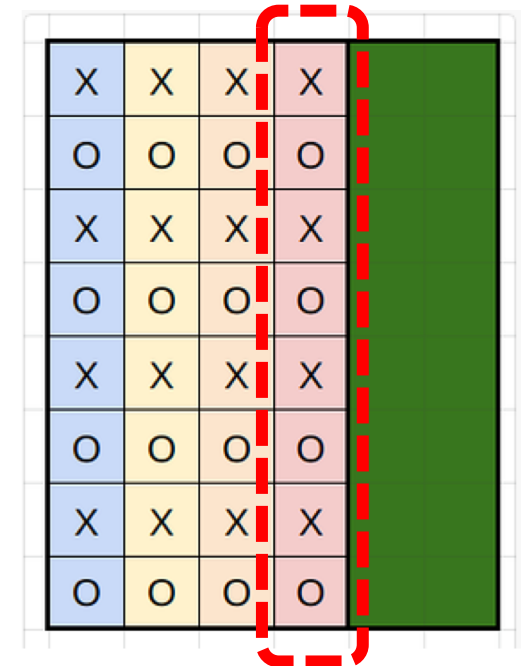
- 6 matrici
- 3 produttori SiPM
- 2 tipologie sensori per matrice

6x6 cm<sub>x</sub>cm "uniform area"

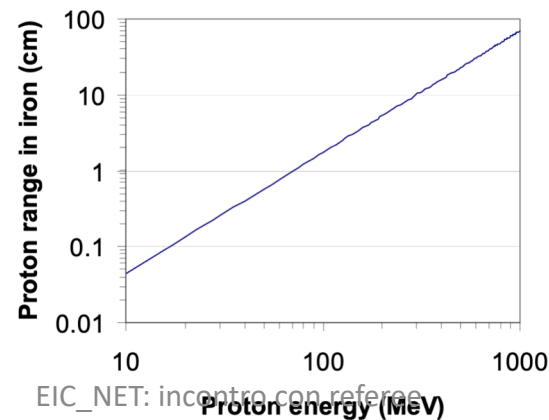
Otherwise beam can be with Gaussian sigma ~ 0.58 cm or 1.12 cm

Irraggiamento con schermi opportuni e finestra 2.4 cm x 3 mm

- Domanda sottomessa a PAC TIFPA il 20/7
- Richiesta per 10 h (gio-ven-sab)
- Febbraio-Marzo 2021



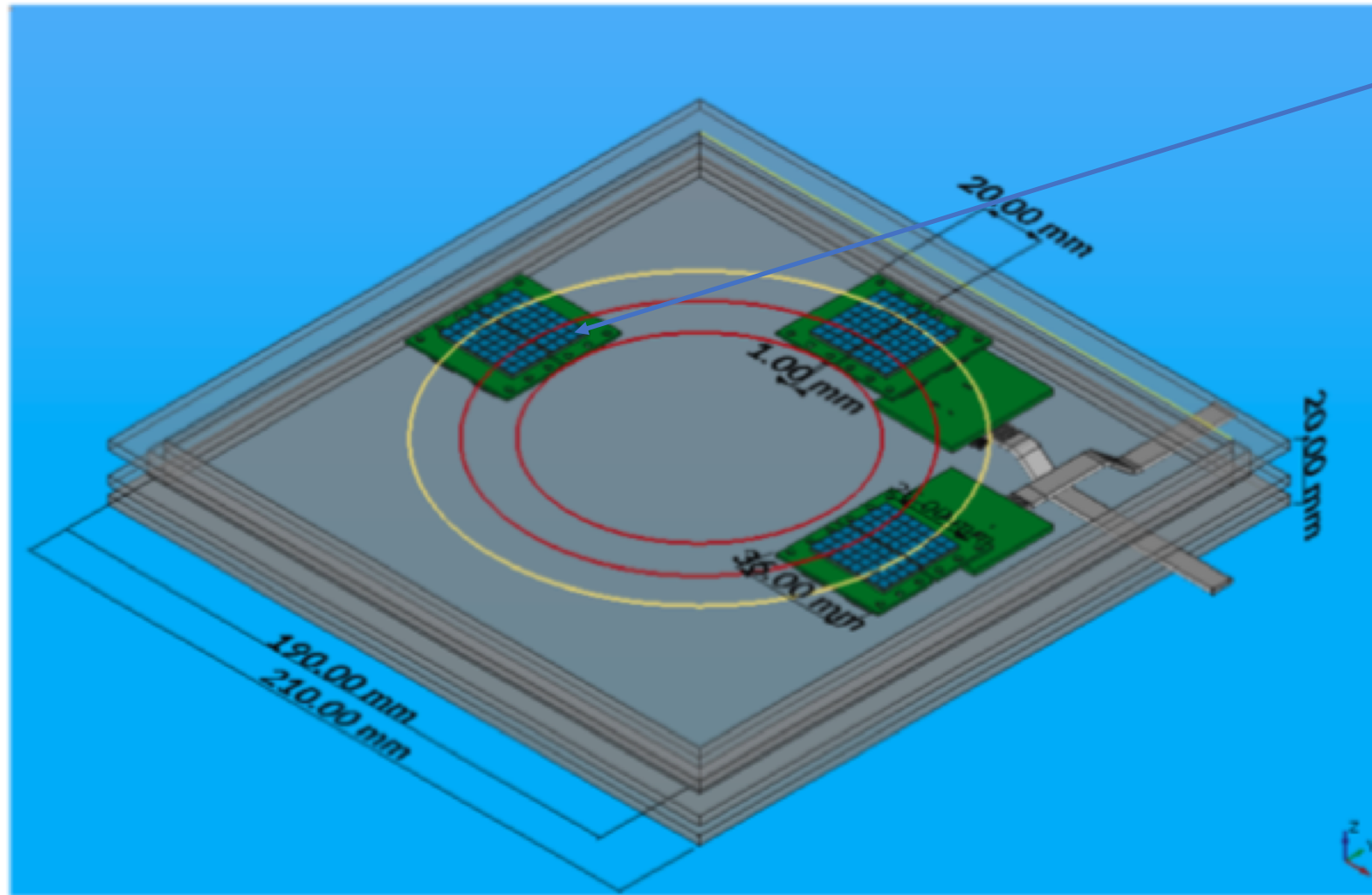
X-O: "diversa microcella"  
colori: "diverso irraggiamento"  
3 matrici NON irraggiate



EIC\_NET: incontro con referenze

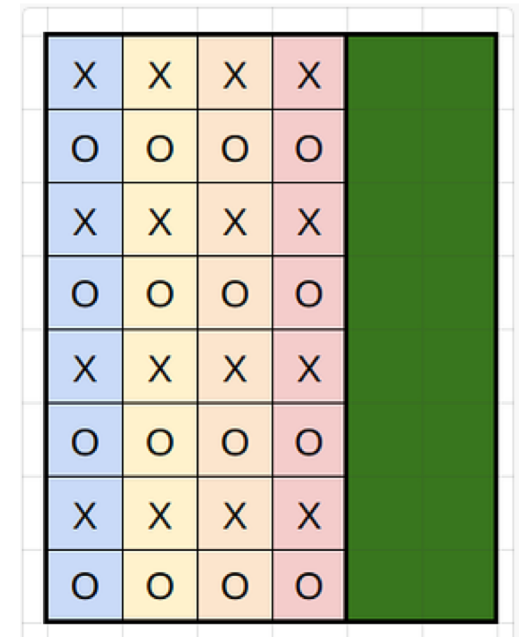
irradiation	0	e9	e10	e11
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# post-irraggiamento e test beam setup



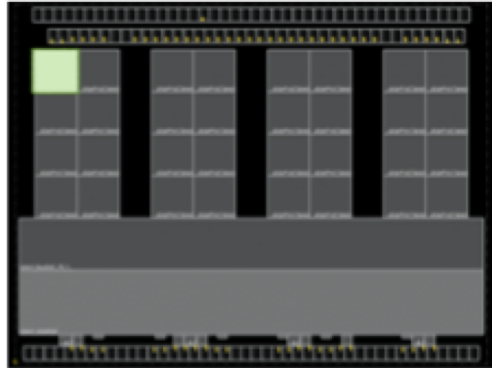
minimal sensor unit:  
4x8 matrix

- 6 matrici
- 3 produttori SiPM
- 2 tipologie sensori per matrice

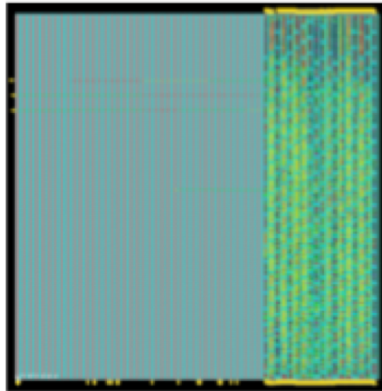


X-O: "diversa microcella"  
colori: "diverso irraggiamento"  
3 matrici NON irraggiate 11

## ALCOR - A Low Power Chip for Optical Sensor Readout



- 32-pixel matrix mixed signal ASIC
- the chip performs amplification, signal conditioning and event digitisation, and features fully digital I/O.
- each pixel reads an SiPM (up to  $1 \text{ cm}^2$ , compatible with smaller pixels)
- Pixel hosts SiPM VFE, leading-edge discriminator, 4 TDCs, charge integrator, digital control and interface
- Single-photon time tagging mode or time and charge measurement
- 64-bit (32-bit on time tagging mode) event and status data is generated on-pixel and propagated down the column
- Up to 4 LVDS TX data links used, SPI configuration
- operation from 10 MHz up to 320 MHz (TDC binning down to 50 ps)
- 10 MHz clock, 500 ps r.m.s. time resolution on single photon

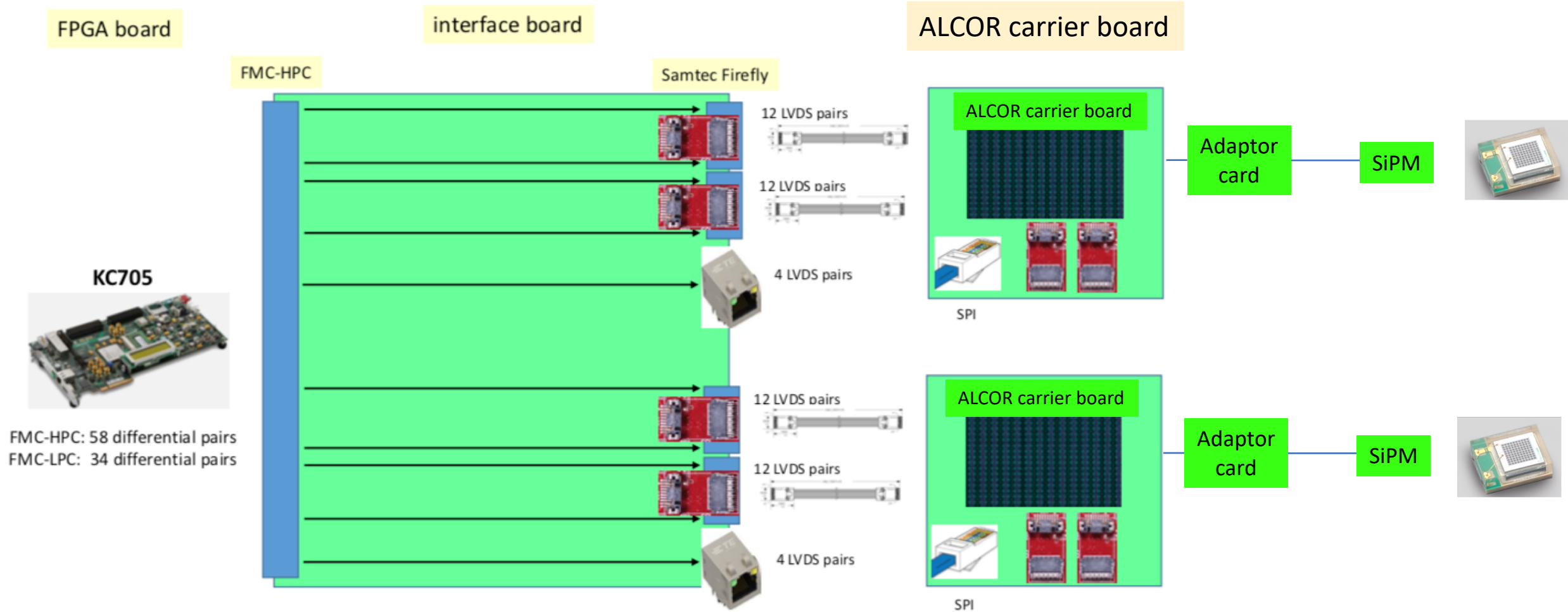


## ALCOR:

- Developed by INFN Torino originally for a Darkside application
- Optimized for cryo operation
- First samples received from foundry, first qualification with carrier board starting literally these days!
- Specs would match – at first order – requirements for EIC, but an ALCOR++ optimised for RICH application will be next step
- INFN Torino → characterization with a FBK SiPM sensor
- INFN Bologna → development of readout card FPGA-based (connected to ASIC carrier via fast serial links)
- Test of the ASIC expected to end by ~2020
- Based on 2020 results and coupling with SiPM → 2022 for an ALCOR v2.0 better tailored for EIC requirements

Good synergies with respect to other on-going collaborations between INFN Torino & Bologna (on microelectronics + readout, ALCOR, ARCADIA, DUNE, DarkSide)

R. Kugathasan, "A Low-Power Mixed-Signal ASIC for SiPM Readout at Low Temperature," *2019 IEEE Nuclear Science Symposium and Medical Imaging Conference (NSS/MIC)*, Manchester, United Kingdom, 2019, pp. 1-3.



FMC-HPC: 58 differential pairs  
FMC-LPC: 34 differential pairs

- Similar scheme under development between TO-BO for other chip (ARCADIA, Gruppo5)
- Can be scaled up to provide readout to SiPM matrix in test beam
- Supports for simple DAQ (UDP) or optical links: "streaming readout ready"

## Piano attività per 2021 e richieste

- testare pre/post irraggiamento e poi su test beam varie tipologie di SiPM
  - selezione SiPM
  - montaggio su matrici (8x4 vedi talk Marco)
  - caratterizzazione pre-irraggiamento
  - irraggiamento a Centro di Protonterapia (**Trento**, sala gestita da TIFPA)
- effettuare su **test beam** lettura con ASIC ALCOR matrice SiPM
  - cooling
  - matrici vs irraggiamento
  - readout (6 matrici: 192 canali acquisiti con "streaming readout")

Struttura	missioni		consumo		altri_cons		trasporti	
BA.DTZ	14.00		2.00					
BO.DTZ	9.00	2.00	23.00					
CT.DTZ	7.00	2.00						
FE.DTZ	3.50	2.00	17.00				2.00	
GE.DTZ	6.50	2.00	6.00					
LNF.DTZ	1.00							
LNS.DTZ	2.50							
PD.DTZ	2.50							
RM1.DTZ	3.50							
RM2.DTZ	5.00							
TO.DTZ	6.00	2.00						
TS.DTZ	36.00	2.00	14.50					
<b>Totale</b>	<b>96.50</b>	<b>12.00</b>	<b>62.50</b>				<b>2.00</b>	

3 KEU accesso TIFPA (10 h)  
 5 KEU schede ALCOR (To)  
 3 KEU break-out boards Firefly (Bo)  
 4 KEU SiPM sensors (Broadcom/OnSemi)  
 8 KEU SiPM carrierboards  
**23 KEU**

2 KEU SiPM sensors (Hamamatsu)  
 4 KEU SiPM carrierboards

**6 KEU**

È stata fatta ricerca estesa su mercato tra principali produttori in preparazione preventivi

supplier	model	vendor	price [10 pcs, matrix] (EUR)	type	pixel (mm)	cell (um)	mount / connector	window	PDE (%) peak	DCR (kHz/mm <sup>2</sup> )	PDE / sqrt(DCR)	package fill factor (%)	x-talk (%)	after-pulse (%)	Vop (V)	CTR (ps)	rise time (ps)
Ketek	<a href="#">PM3325-WB-D0</a>	self	159	single	3	25	smt	glass	45	125	4.02	82	26	5	30	70	110
Ketek	<a href="#">PM3315-WB-C0</a>	self	159	single	3	15	smt	glass	31	125	2.77	82	18	5	30		630
Ketek	<a href="#">PA3325-WB-0404</a>	self	310	4x4	3	25	Samtec	glass	45	125	4.02	80	26	5	30		110
Hamamatsu	<a href="#">S13360-3025CS</a>	self		single	3	25	ceramic	silicone	25	45	3.73	23	1				60
Hamamatsu	<a href="#">S13360-3025PE</a>	self		single	3	25	smt	epoxy	25	45	3.73	54	1				60
Hamamatsu	<a href="#">S13360-3050CS</a>	self		single	3	50	ceramic	silicone	40	55	5.39	23	3				60
Hamamatsu	<a href="#">S13360-3025PE</a>	self		single	3	50	smt	epoxy	40	55	5.39	54	3				60
Hamamatsu	<a href="#">S13360-3050VE</a>	self		single	3	50	smt	epoxy	40	55	5.39	78	3				60
Hamamatsu	<a href="#">S13361-3050NE-04</a>	self		4x4	3	50	smt	epoxy	40	55	5.39	85	3				60
Hamamatsu	<a href="#">S14160-3050HS</a>	self	290	single	3	50	smt	silicone	50	165	3.89	78	7		40	60	
Hamamatsu	<a href="#">S14161-3050HS-04</a>	self		4x4	3	50	smt	silicone	50	165	3.89	85	7		40	60	
Hamamatsu	<a href="#">S14520-3050VS</a>	self	320	single	3	50	smt	silicone	49	133	4.25	78	5		41		
Hamamatsu	<a href="#">S14160-3015PS</a>	self	480	single	3	15	smt	silicone	32	78	3.62	54	< 1		45		
Hamamatsu	<a href="#">S13362-3050DQ</a>	self		single	3	50	metal	glass	40	25	8.00	4	3		55		
SensL	<a href="#">C-Series_30050</a>			single	3	50	smt	compound	35	33	6.09	56	10	0.6	25		600
SensL	<a href="#">ARRAYC-30035-16P-PCB</a>			4x4	3	35	Hirose	compound	31	33	5.40	56	7	0.2	25		600
SensL	<a href="#">MICROFJ-30035-TSV-TR</a>	<a href="#">Mouser</a>	211	single	3	35	smt	glass	38	50	5.37	94	8	0.75	25		90
SensL	<a href="#">MICROFJ-30035-TSV-TR1</a>	<a href="#">Mouser</a>	523														
SensL	<a href="#">MICROFJ-30020-TSV-TR1</a>	<a href="#">Mouser</a>	475	single	3	20	smt	glass	30	50	4.24						
SensL	<a href="#">ARRAYJ-30035-16P-PCB</a>			4x4	3	35	Hirose	glass	38	50	5.37	86	8	0.75	25		90
AdvanSid	<a href="#">ASD-NUV3S-P</a>				3	40		epoxy	43	100	4.30	65		4	26		
Broadcom	<a href="#">AFBR-S4N44P163</a>	<a href="#">Mouser</a>	312	4x4	3	30	smt	glass	55	255	3.44	92		1	10		
Broadcom	<a href="#">AFBR-S4N33C013</a>	<a href="#">Mouser</a>		single	3	30	smt	glass	54	255	3.38	91					
Broadcom	<a href="#">AFBR-S4N44C013</a>	<a href="#">Mouser</a>	202	single	3.72	30	smt	glass	55	270	3.35	92					

## Guidelines:

### dimensions and packages

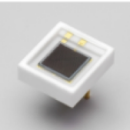
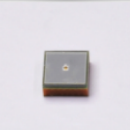
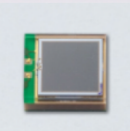



- single pixel sensors
- 3x3 mm<sup>2</sup> active area
- high active/device area
- surface mount

### SPAD size and PDE

PDE is surely a very important parameter vs usability as photosensor after radiation is more important (DCR, baseline)  
 progress in smaller SPADs with larger PDE (worth trying them, PDE might improve further)

### multiple manufacturers

- differences in architecture,  $V_{bd}$  and electric fields
- keep Hamamatsu, leading producer of photosensors
- keep Broadcom, possible future R&D within FBK-INFN collaboration agreement
- choose between Ketek (25/15  $\mu$ m, cheaper) and SensL (30/20  $\mu$ m, timing)

model	uCell (μm)	V <sub>bd</sub> (V)	PDE (%)	DCR (kHz/mm <sup>2</sup> )	window	notes
 S13360 3050CS	50	53	40	55	silicone	legacy model Calvi et. al not for beam
 S14160 3050HS	50	38	50		silicone	newer model lower V <sub>bd</sub>
 S14160 3015PS	15	38	32	78	silicone	smaller SPADs radiation hardness
 MICROFJ 30035	35	24.5	38	50	glass	different producer and lower V <sub>bd</sub>
 MICROFJ 30020	20	24.5	30	50	glass	the smaller SPAD version
 AFBR S4N33C013	30	27	43	111	glass	commercially available FBK-NUVHD

PHOTON IS OUR BUSINESS  
**HAMAMATSU**

2000 EU



ON Semiconductor

3000 EU

 BROADCOM

1000 EU

+ FBK prototype (small uCell 15 μm: NUV-HD-RH)



## R&D needed for SiPM:

1. Proof of "feasibility": DCR & operating conditions, single photon detection etc.
2. Readout electronics: ASIC (+ streaming readout)
3. Radiation tolerance (& annealing)

Note these three R&D items  
are deeply interlinked!

il programma proposto e' intenso ma permette di fornire una importante "proof of principle" con l'insieme dati da irraggiamento, annealing, readout e test beam per l'uso SiPM per readout per dRICH (e piu' in generale per RICH-based detector a EIC, almeno fino alle fluenze di radiazione studiate)

# Backup

<https://doi.org/10.1016/j.nima.2020.163804>

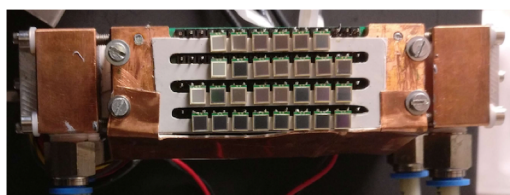
## 7. Summary

Semiconductor sensors for single photons, in particular SiPMs, are a novel device for RICH. Their advantages, operation in the magnetic field, high quantum efficiency, low supply voltage, fast response, flexible granularity, make them an almost ideal sensor for ring imaging Cherenkov detectors. The main challenge, a high occupancy due to dark counts, can be overcome by a narrow time window and by using light collecting elements to increase the ratio of the light collection area and the SiPM sensor area. The remaining issue for operation in experimental environments with high radiation exposure, in particular by neutrons, is under intense study for the next generation of experiments.

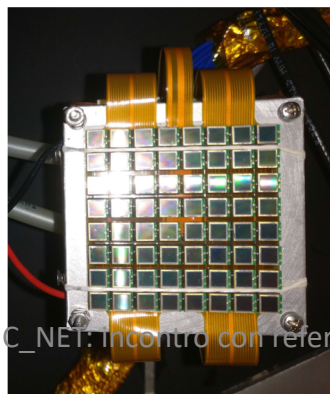
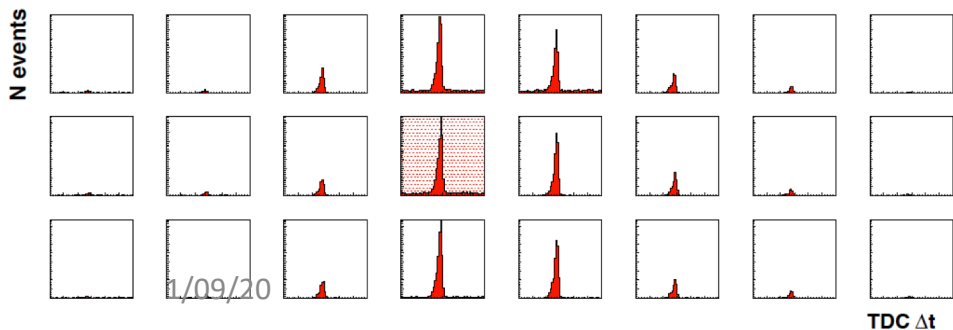
Interesting review, also quoting recent results presented by mRICH at INSTR20

<https://indico.inp.nsk.su/event/20/session/6/contribution/153/material/slides/0.pdf>

And don't forget CLAS12 testing also SiPM option back in 2014!



M. Contalbrigo et al., NIM A766 (2014) 22



EIC\_NET: incontro con referee

## ARTICLE IN PRESS

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## Solid state single photon sensors for the RICH application

S. Korpar<sup>a,b</sup>, P. Križan<sup>c,b,\*</sup>

<sup>a</sup> Faculty of Chemistry and Chemical Engineering, University of Maribor, Maribor, Slovenia

<sup>b</sup> J. Stefan Institute, Ljubljana, Slovenia

<sup>c</sup> Faculty of Mathematics and Physics, University of Ljubljana, Ljubljana, Slovenia

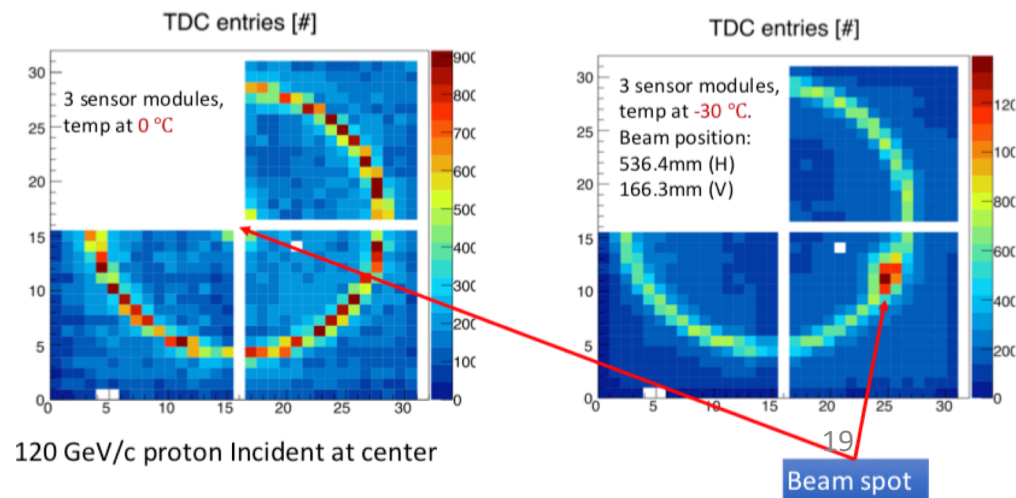
### ARTICLE INFO

**Keywords:**  
Cherenkov detectors  
RICH  
Solid state light sensor  
Silicon photomultipliers

### ABSTRACT

Silicon photomultipliers, arrays of avalanche photodiodes operated in the Geiger mode, are exciting novel light sensors for RICH detectors. In the present review, we discuss the motivation for employing solid-state single-photon sensors, describe their principles of operation and challenges of their use. We review the current state of development and the progress made with semiconductor sensors. We also discuss applications in ongoing and planned future experiments.

## mRICH readout with SiPM matrix sensors



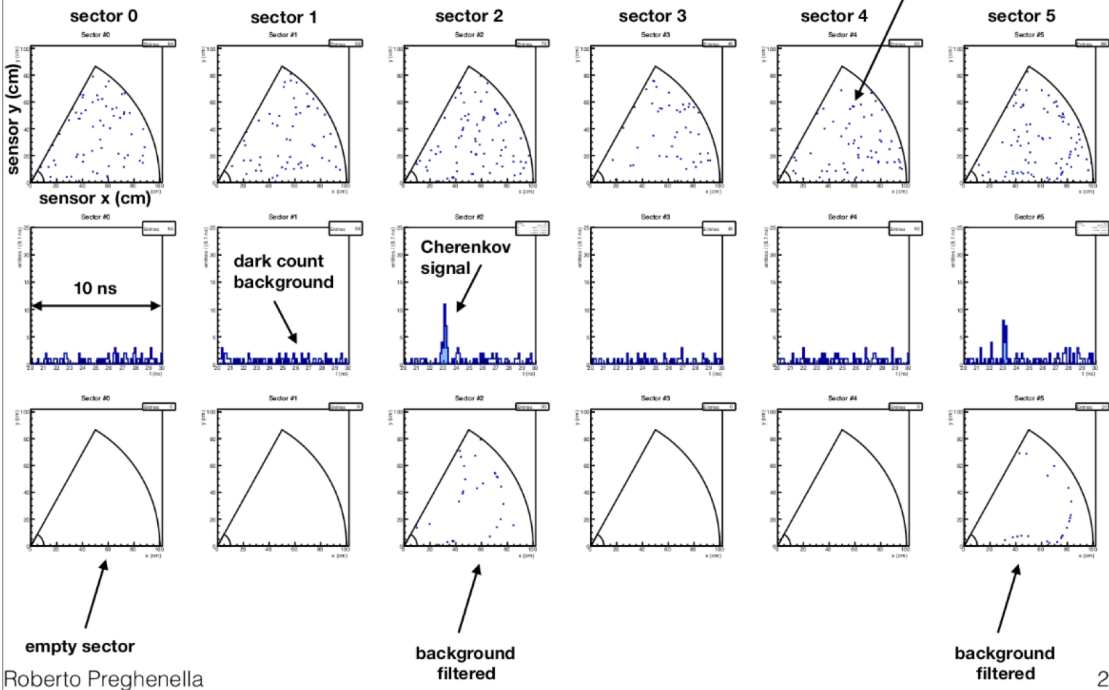
120 GeV/c proton Incident at center

Beam spot

~60k SiPM 3x3 mm<sup>2</sup> per sector (0.5 m<sup>2</sup>)  
 ~10kHz dark count rate (100kHz / sensor)  
 realistic single-photon PDE  
 100 ps single-photon resolution  
 10 ns readout snapshots

# 4dRICH

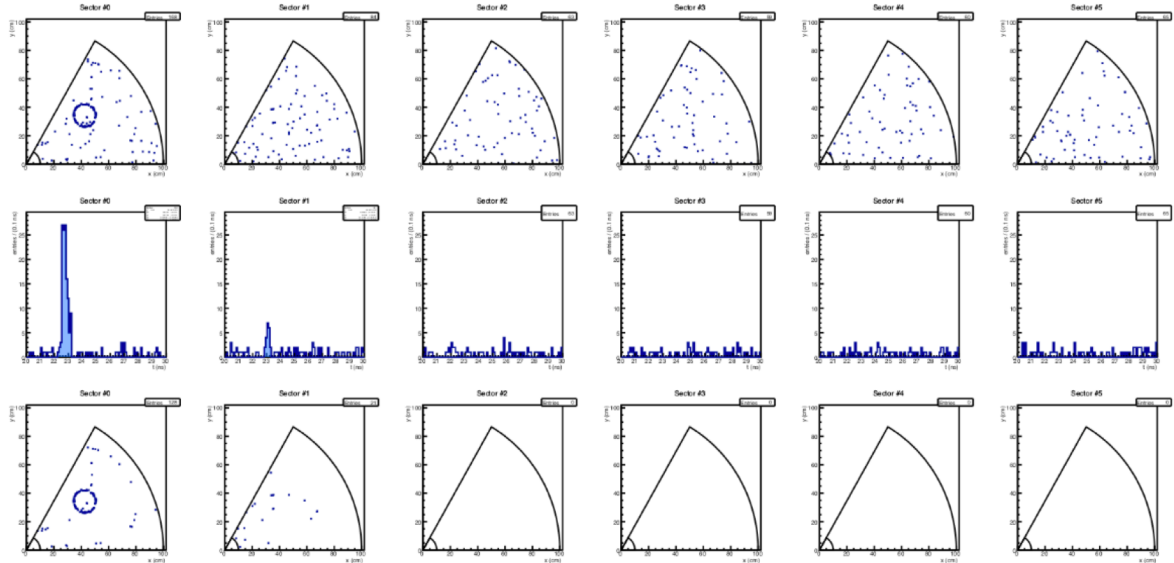
BO



~60k SiPM 3x3 mm<sup>2</sup> per sector (0.5 m<sup>2</sup>)  
 ~10kHz dark count rate (100kHz / sensor)  
 realistic single-photon PDE  
 100 ps single-photon resolution  
 10 ns readout snapshots

# 4dRICH

BO



How much narrow? Initial studies on dRICH reconstruction performance: no degradation with a 150 ps time resolution sensor with up to 20 kHz/mm<sup>2</sup> DCR  
 And:  $\sigma = 150$  ps conservative, better resolution -> sustain higher noise rates"

Ref:  
 RobertoPreghenella@EIC meeting/Bari  
<https://agenda.infn.it/event/20360/contributions/103553/attachments/68342/84348/eicnetBari.pdf>