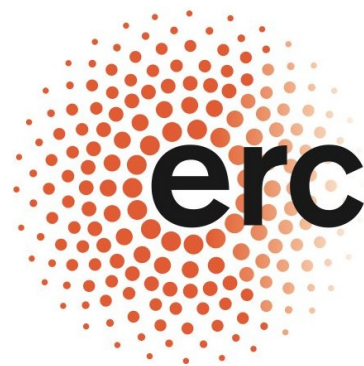


# H L M E S

**Angelo Nucciotti**

*Università di Milano-Bicocca e INFN - Sezione di Milano-Bicocca*



**DIRECT NEUTRINO  
MASS MEASUREMENTS**

Università di Roma "La Sapienza", July 8<sup>th</sup>, 2020

# HOLMES collaboration



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<https://holmes0.mib.infn.it/>



- $^{163}\text{Ho}$  decay calorimetry and neutrino mass measurement
- HOLMES status
  - isotope production and chemical purification
  - isotope mass separation and implantation
  - single detector R&D
  - detector array fabrication
  - detector read-out and DAQ
  - background measurements
- short and mid term program: 2020-2023
- beyond HOLMES: future of  $^{163}\text{Ho}$  experiments
- **PTOLEMY-0 as neutrino mass experiment: a quick overview**

# Electron capture calorimetric experiments

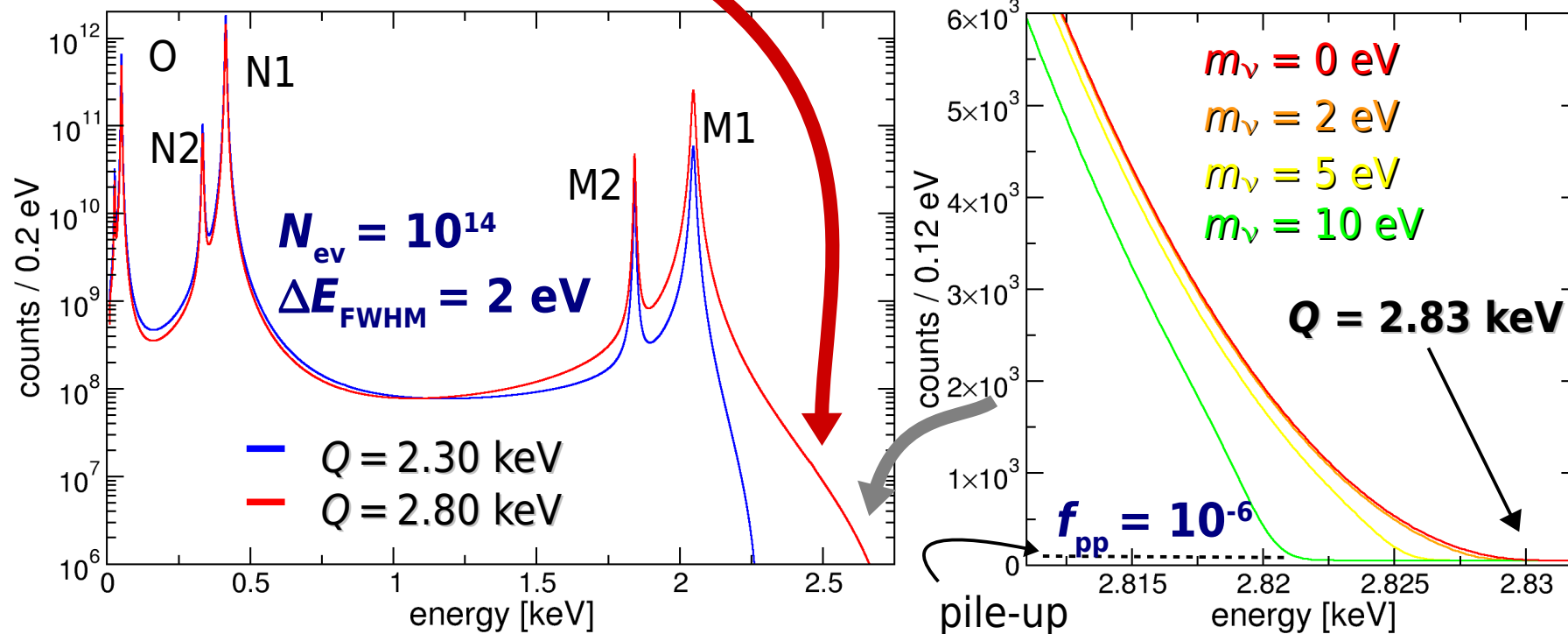


electron capture from shell  $\geq$  M1

A. De Rújula and M. Lusignoli, Phys. Lett. B 118 (1982) 429

- calorimetric measurement of Dy atomic de-excitations (mostly non-radiative)
- **Q = 2.83 keV** (determined with Penning trap in 2015)
  - ▶ end-point rate and  **$\nu$  mass sensitivity** depend on  **$Q - E_{M1}$**
- **$\tau_{1/2} \approx 4570$  years**  $\rightarrow 2 \times 10^{11}$   $^{163}\text{Ho}$  nuclei  $\leftrightarrow$  1Bq

$$N(E_c) = \frac{G_\beta^2}{4\pi^2} (Q - E_c) \sqrt{(Q - E_c)^2 - m_\nu^2} \times \sum_i n_i C_i \beta_i^2 B_i \frac{\Gamma_i}{2\pi} \frac{1}{(E_c - E_i)^2 + \Gamma_i^2/4}$$

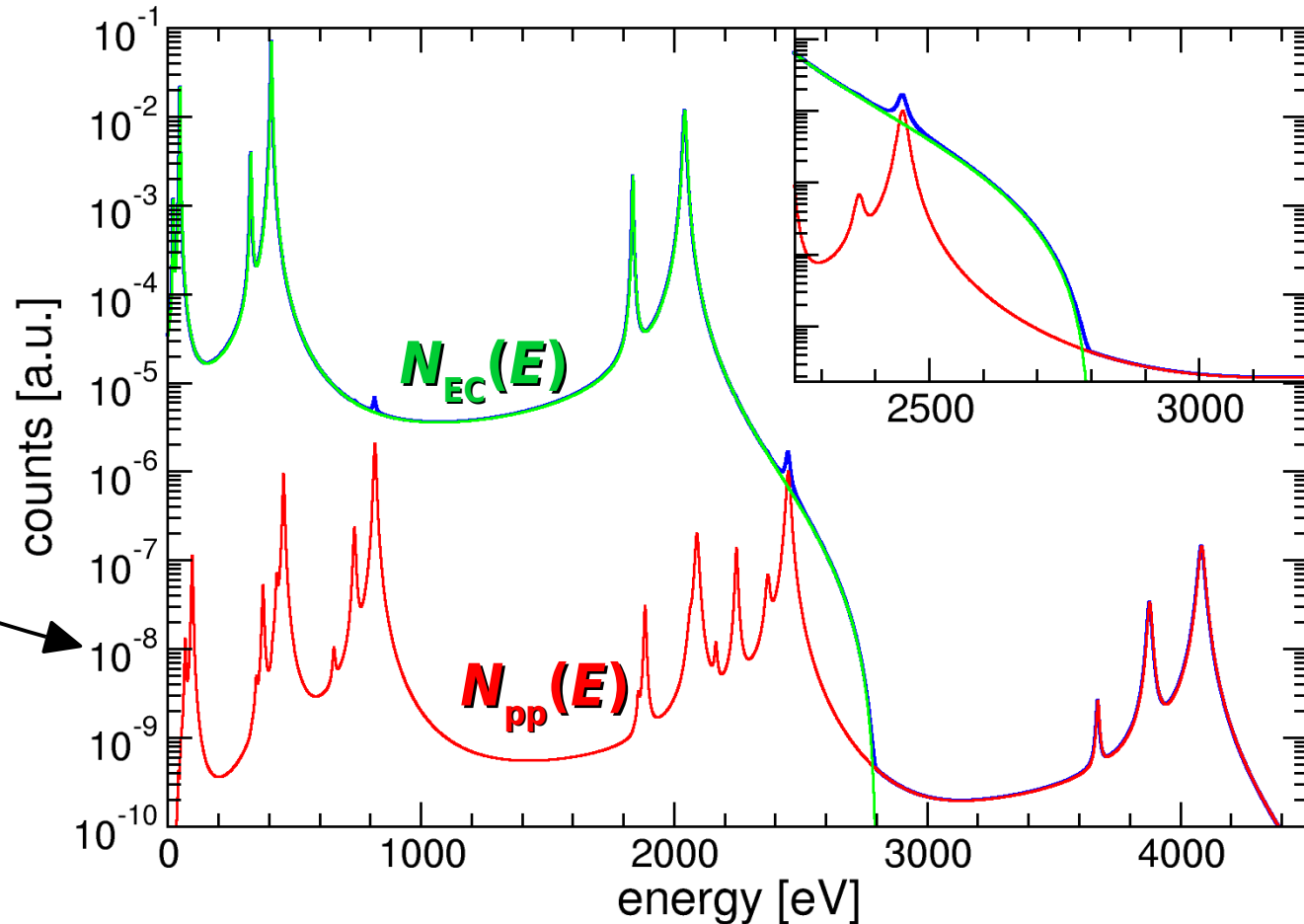


# Electron capture calorimetric experiments



- calorimetric measurement  $\leftrightarrow$  **detector speed is critical**
  - accidental coincidences  $\rightarrow$  complex pile-up spectrum
- $N_{pp}(E) = f_{pp} N_{EC}(E) \otimes N_{EC}(E)$  with  $f_{pp} \approx A_{EC} \tau_R$

$A_{EC}$  EC activity per detector  
 $\tau_R$  time resolution ( $\approx$  rise time)



$Q = 2800$  eV

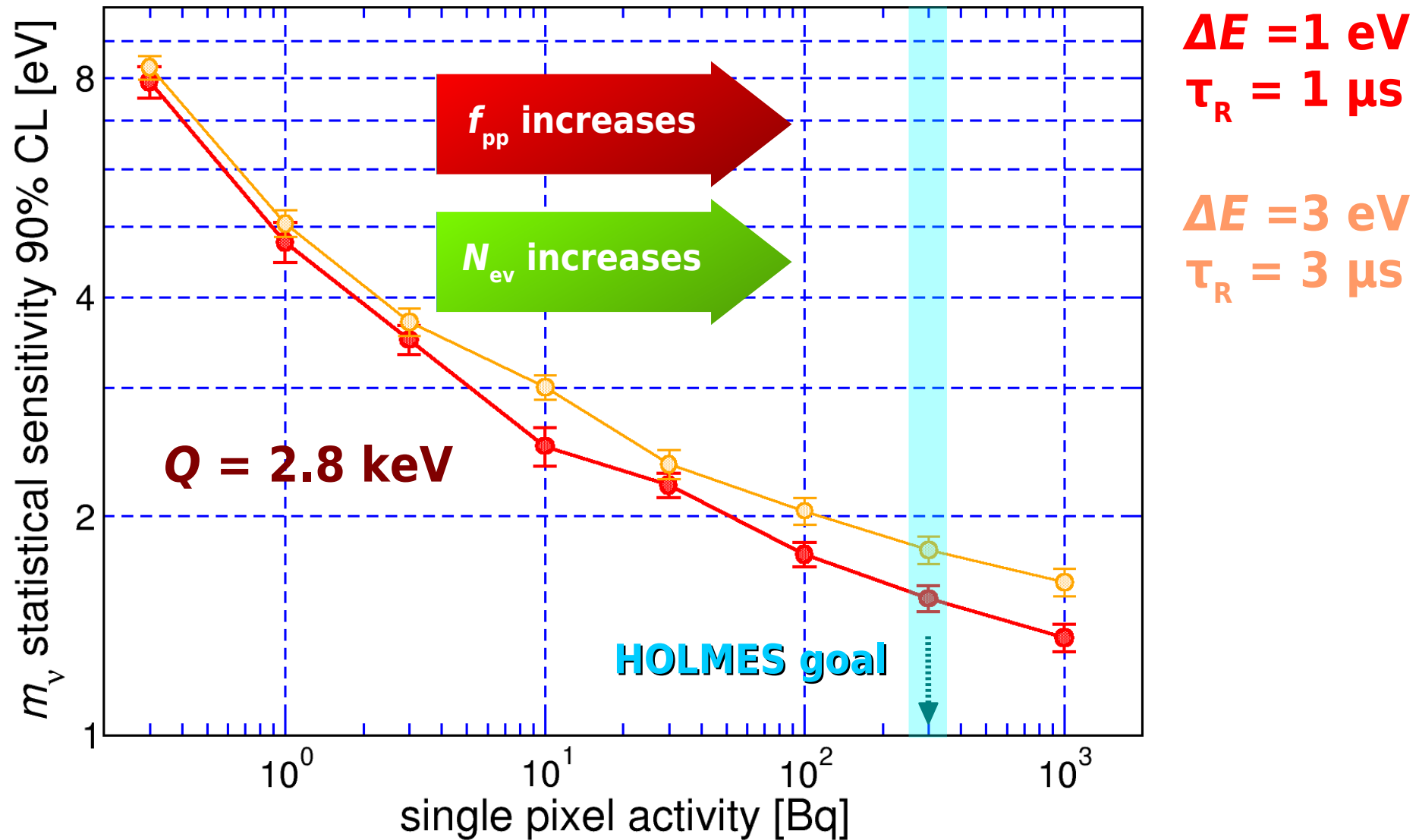
$f_{pp} = 10^{-4}$

$N_{EC}(E)$  without higher order processes (shake up / shake off)

# Statistical sensitivity and single pixel activity



exposure  $N_{\text{det}} t_M = 1000 \text{ det} \times 3 \text{ y}$



high activity  $\rightarrow$  robustness against (flat) background

$A_{EC} = 300 \text{ Bq} \rightarrow bkg < \approx 0.1 \text{ counts/eV/day/det}$

# Statistical sensitivity and single pixel performances



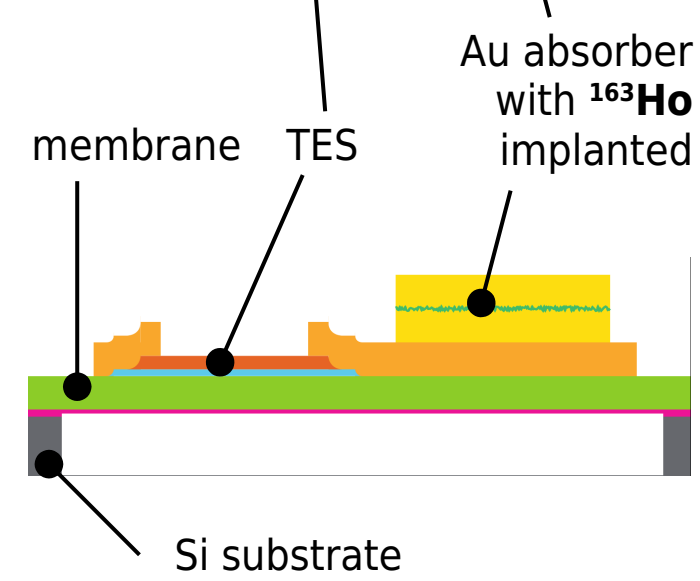
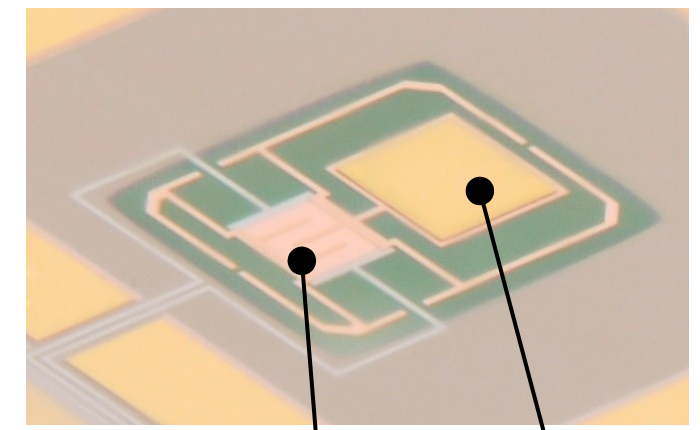
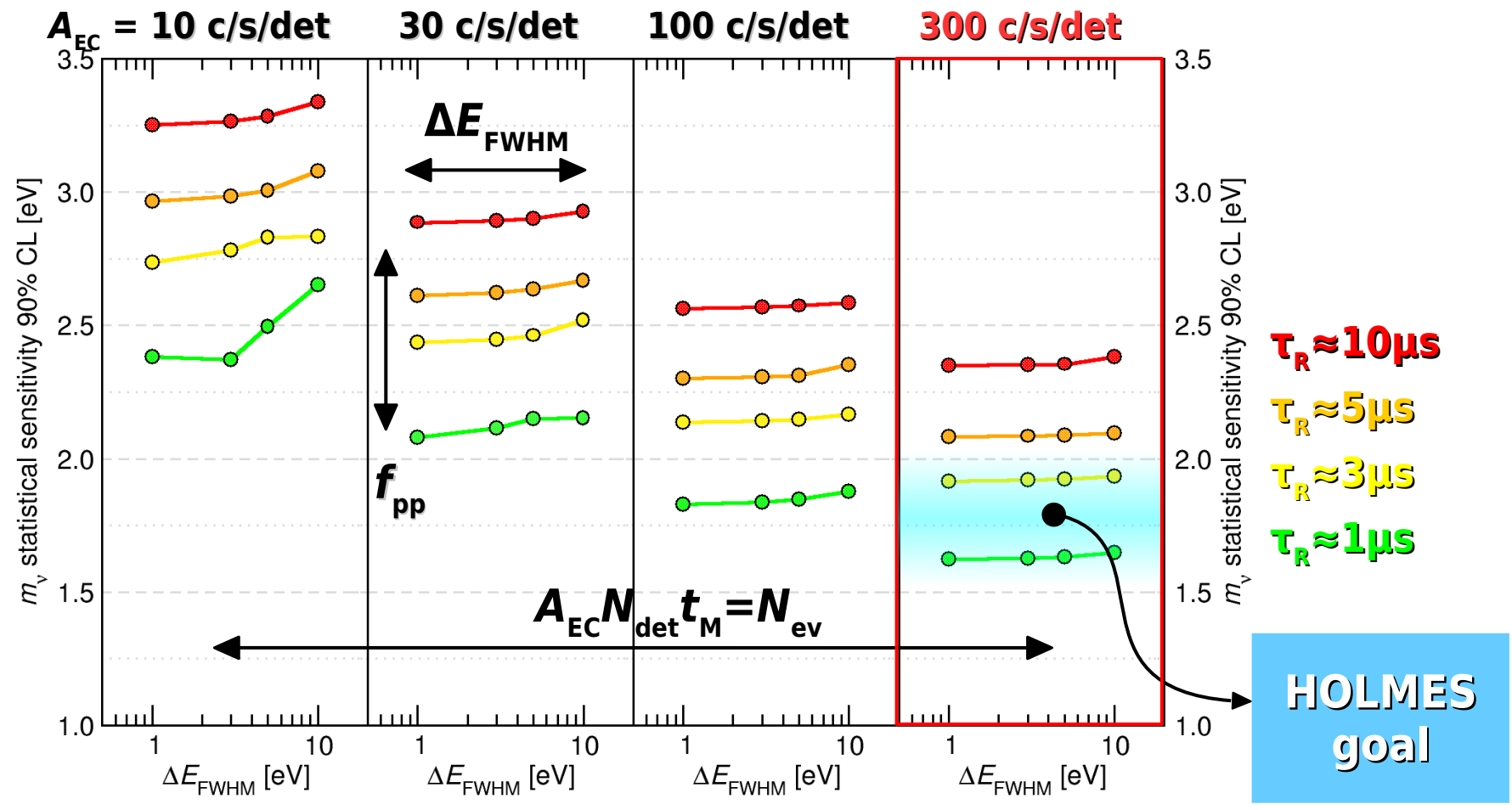
## low $T$ microcalorimeters with implanted $^{163}\text{Ho}$

- ▶  $6.5 \times 10^{13}$  atom/det  $\rightarrow A_{\text{EC}} = 300$  c/s/det
- ▶  $\Delta E \approx 1$  eV and  $\tau_R \approx 1 \mu\text{s}$

## 1000 detector array

- ▶  $6.5 \times 10^{16}$   $^{163}\text{Ho}$  nuclei  $\rightarrow \approx 18 \mu\text{g}$
- ▶  $A_{\text{tot}} = 300$  kBq
- ▶  $3 \times 10^{13}$  events in 3 years

exposure  $N_{\text{det}} t_M = 1000 \text{ det} \times 3 \text{ y}$

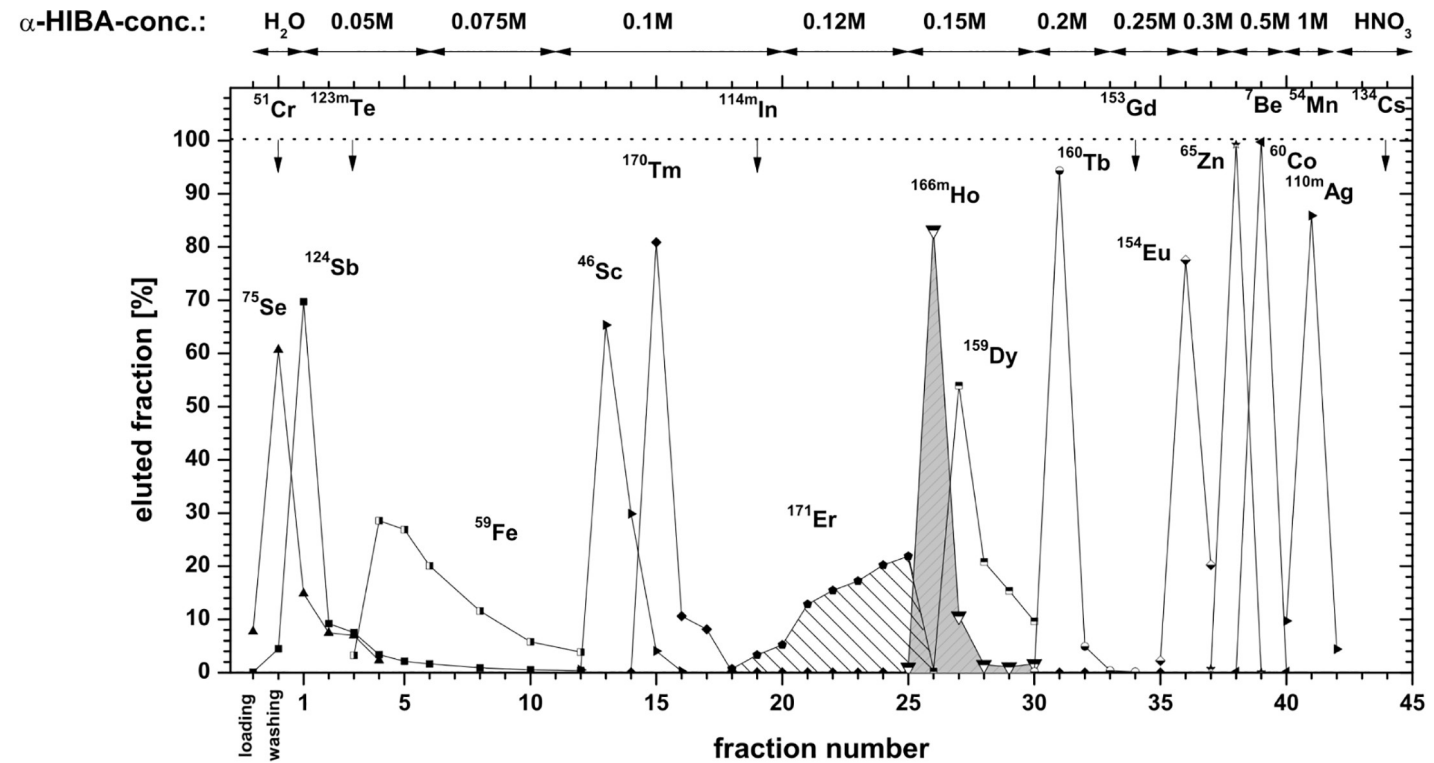


# <sup>163</sup>Ho production and purification



<b>Tm 163</b> 1.81 h ε β <sup>+</sup> ... γ 104; 69; 241; 1434; 1397...	<b>Tm 164</b> 5.1 m 2.0 m ε β <sup>+</sup> 2.9... γ 91; 1155; 208; 315...	<b>Tm 165</b> 30.06 h ε β <sup>+</sup> ... γ 243; 47; 297; 807...	<b>Tm 166</b> 7.70 h ε β <sup>+</sup> 1.9... γ 779; 2052; 184; 1274...	<b>Tm 167</b> 9.25 d ε γ 532... m	<b>Tm 168</b> 93.1 d ε; β <sup>+</sup> ... β <sup>-</sup> ... γ 198; 816; 447...
<b>Er 162</b> 0.139 σ <sub>n, α</sub> < 0.011	<b>Er 163</b> 75 m β <sup>+</sup> ... γ (1114...) g	<b>Er 164</b> 1.601 σ <sub>n, α</sub> < 0.0012	<b>Er 165</b> 10.3 h ε no γ	<b>Er 166</b> 33.503 σ <sub>n, α</sub> < 7E-5	<b>Er 167</b> 2.3 s 22.869 ε γ 208 σ <sub>n, α</sub> 3E-6
<b>Ho 161</b> 6.7 s 2.5 h ε γ 26; 78... e <sup>-</sup> I <sub>γ</sub> 211	<b>Ho 162</b> 68 m 15 m I <sub>γ</sub> 58; 38... ε γ 185; 1220; 283; 937... e <sup>-</sup>	<b>Ho 163</b> 1.1 4570 a ε no γ	<b>Ho 164</b> 37 m 29 m ε β <sup>-</sup> 1.0... γ 91; 73... e <sup>-</sup>	<b>Ho 165</b> 100 σ <sub>n, α</sub> < 2E-5	<b>Ho 166</b> 1200 a 26.80 h ε 0.07... β <sup>-</sup> ... γ 184; 1.9... 810; 712 γ 81... σ <sub>n, α</sub> 3100 e <sup>-</sup>
<b>Dy 160</b> 2.329 σ <sub>n, α</sub> < 0.0003	<b>Dy 161</b> 18.889 σ <sub>n, α</sub> < 1E-6	<b>Dy 162</b> 25.475 σ 170	<b>Dy 163</b> 24.896 σ 120 σ <sub>n, α</sub> < 2E-5	<b>Dy 164</b> 28.260 σ 1610 + 1040	<b>Dy 165</b> 1.3 m 2.35 h I <sub>γ</sub> 108; e <sup>-</sup> β <sup>-</sup> ... 1.3... 1.0... γ 95; 515... (362...) σ 2000 σ 3500

- **HOLMES** might need **≈300 MBq** of <sup>163</sup>Ho (for conservative 0.1% global embedding efficiency)
- <sup>162</sup>Er neutron irradiation at ILL nuclear reactor
- <sup>163</sup>Ho chemical purification at PSI
- **≈110 MBq** of purified <sup>163</sup>Ho available at Genova
- **≈250 kBq** of co-produced <sup>166m</sup>Ho
- more <sup>162</sup>Er available to produce other **80 MBq** of <sup>163</sup>Ho



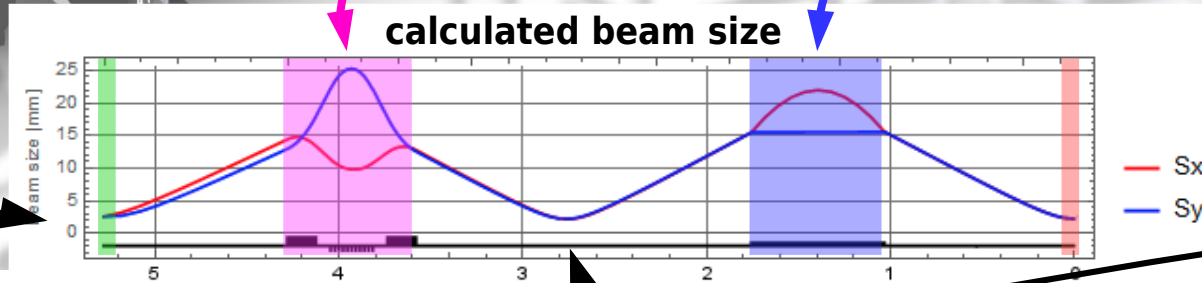
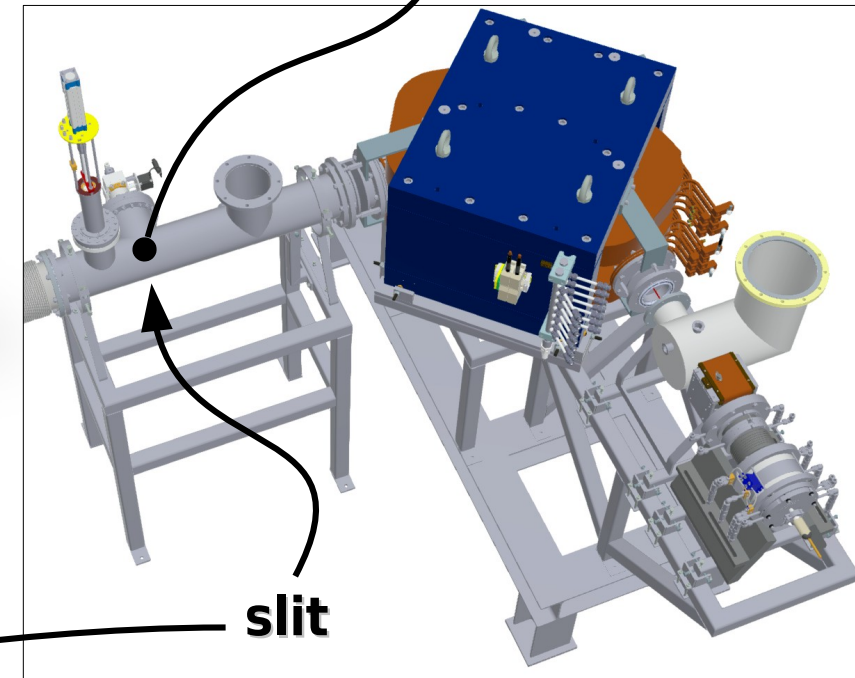
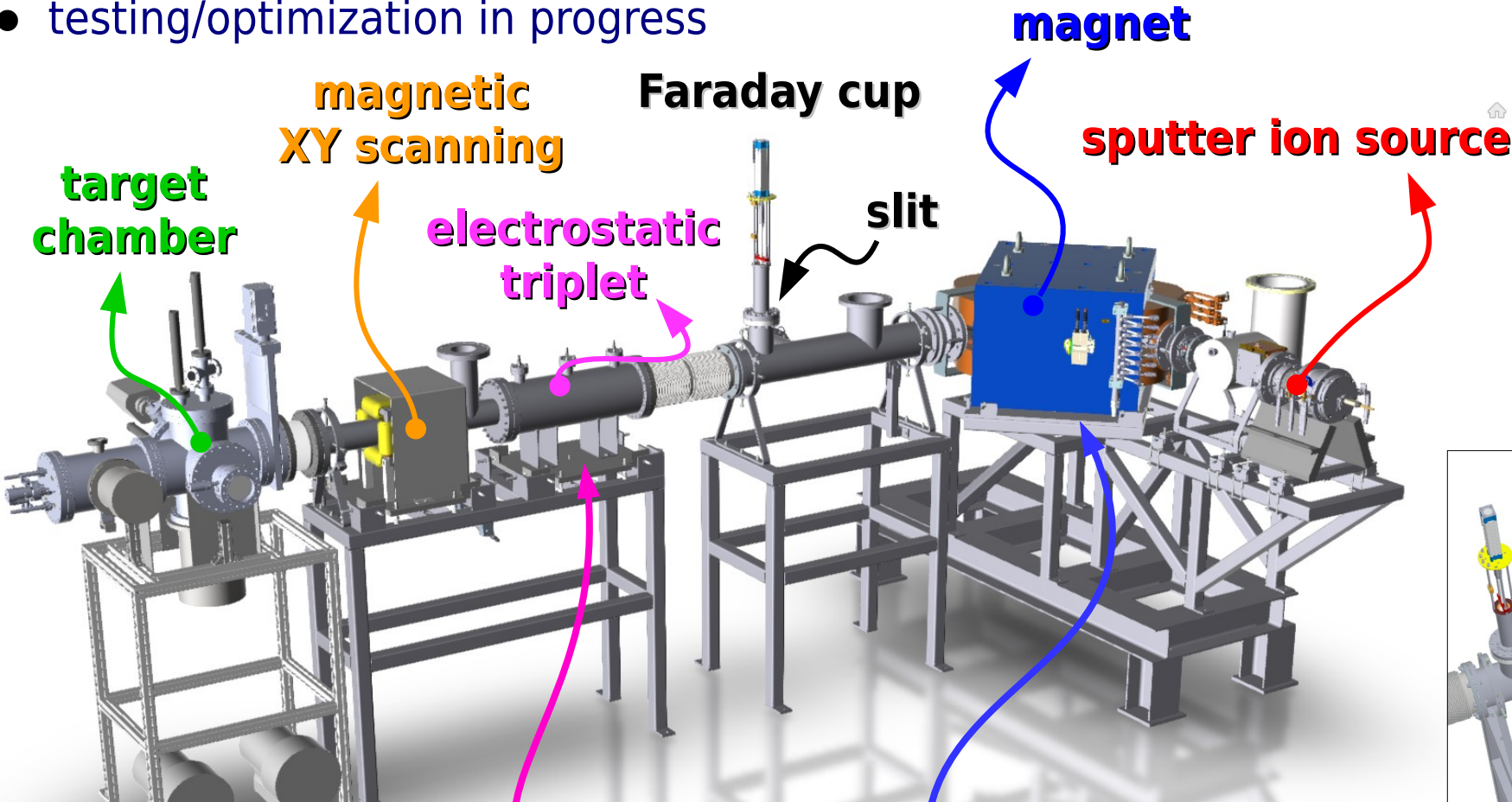
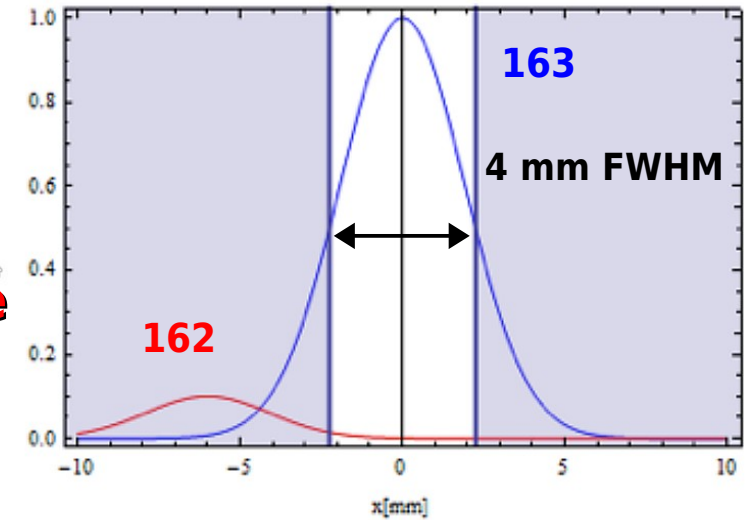
S. Heinitz et al., PLoS ONE 13(8): e0200910



# HOLMES mass separation and ion implantation



- extraction voltage 30-50 kV → 10-100 Å implant depth
- $^{163}\text{Ho}$  /  $^{166\text{m}}\text{Ho}$  separation better than  $10^5$
- testing/optimization in progress



≈ 4 mm FWHM beam size

# HOLMES ion implantation system / 1



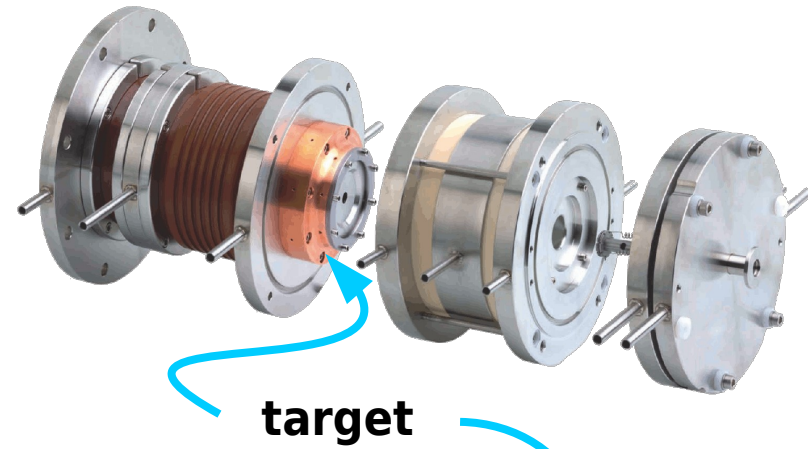
- HV power supply tested up to 50kV
- HV safety & optical fiber remote control
- tests with Cu ion beam in progress
- target from metallic  $^{nat}\text{Ho}$  ready
  - ▶ intermetallic  $\text{Ti}_2\text{Ni}_2\text{Sn}/\text{HoNiSn}$
  - ▶ high pressure and temperature sintering



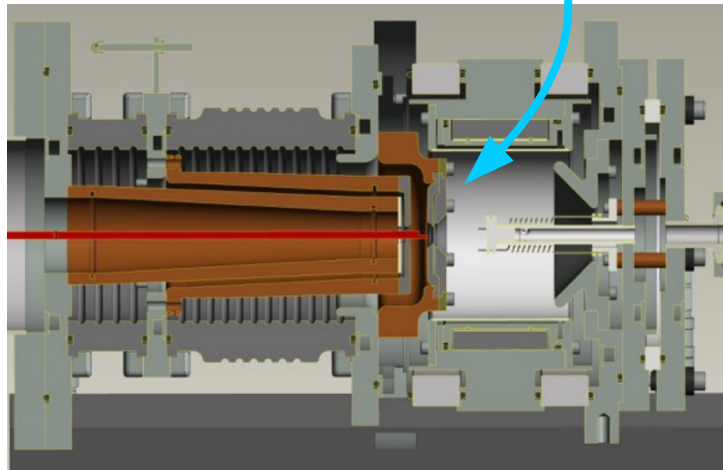
# HOLMES ion implantation system / 2



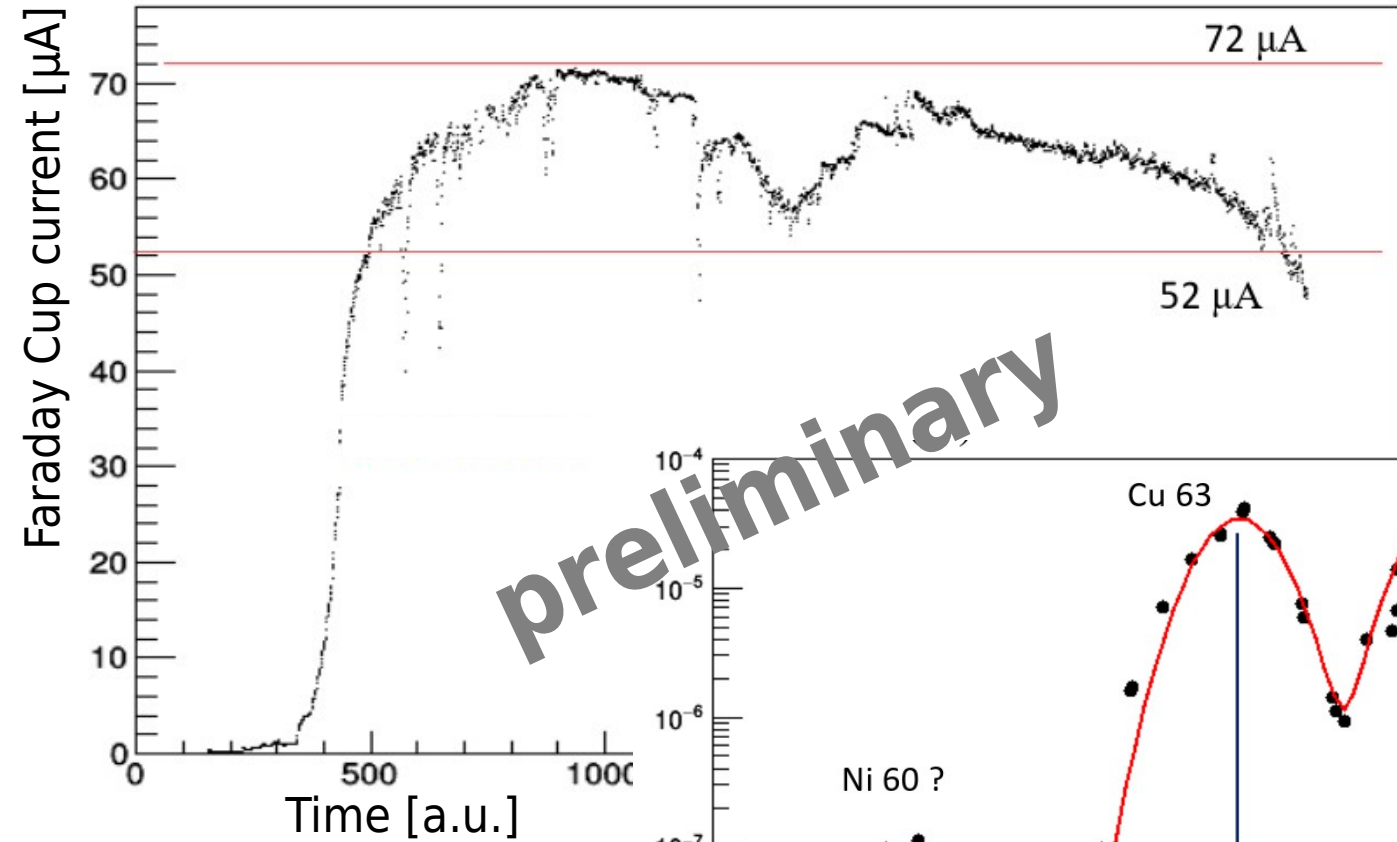
- first ion beam tests with Cu target
- $\approx 2$  hours measurement of ion beam current



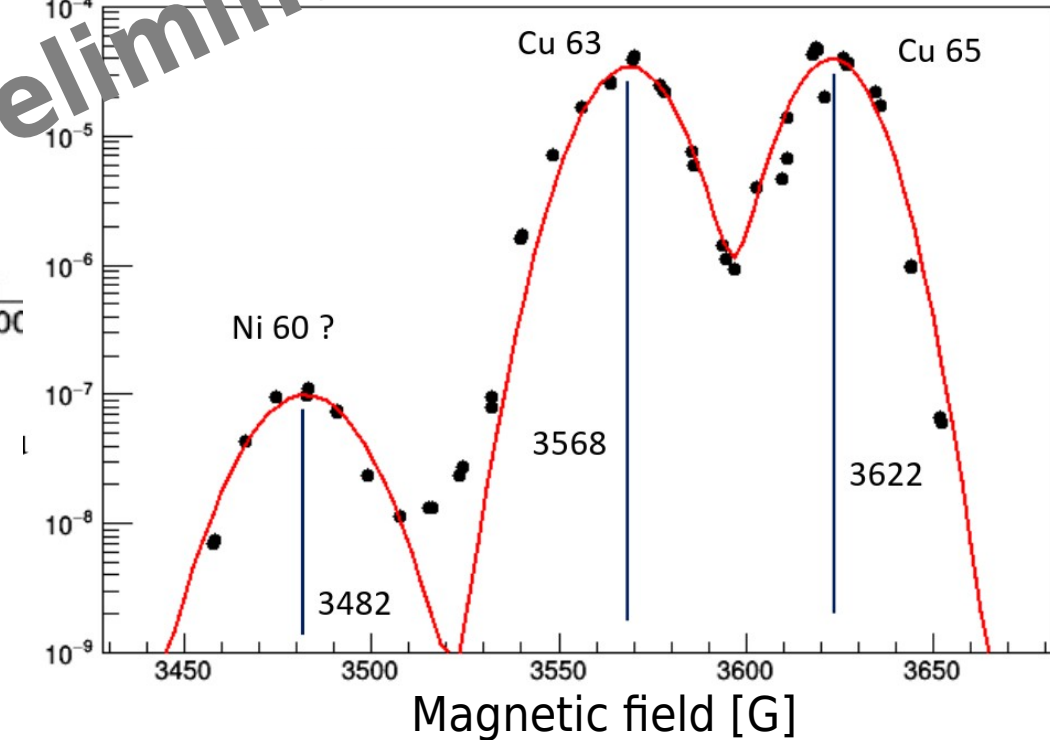
target



ion source



preliminary

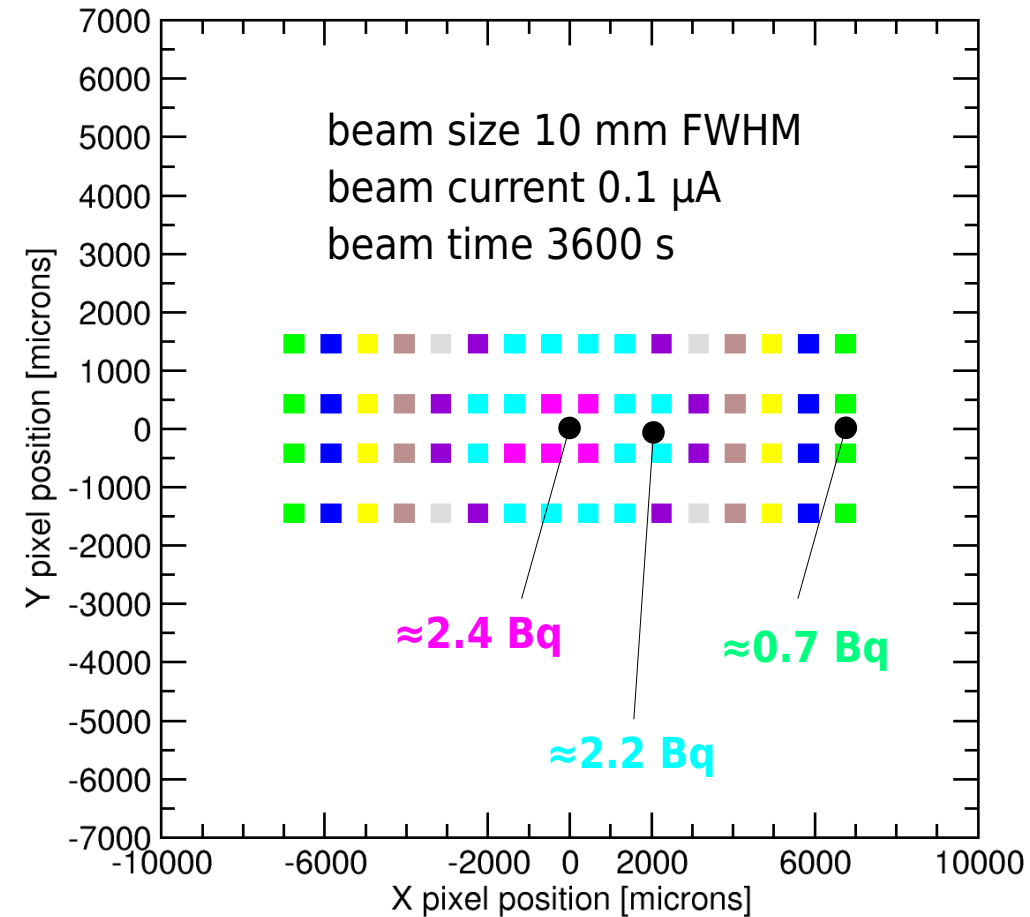
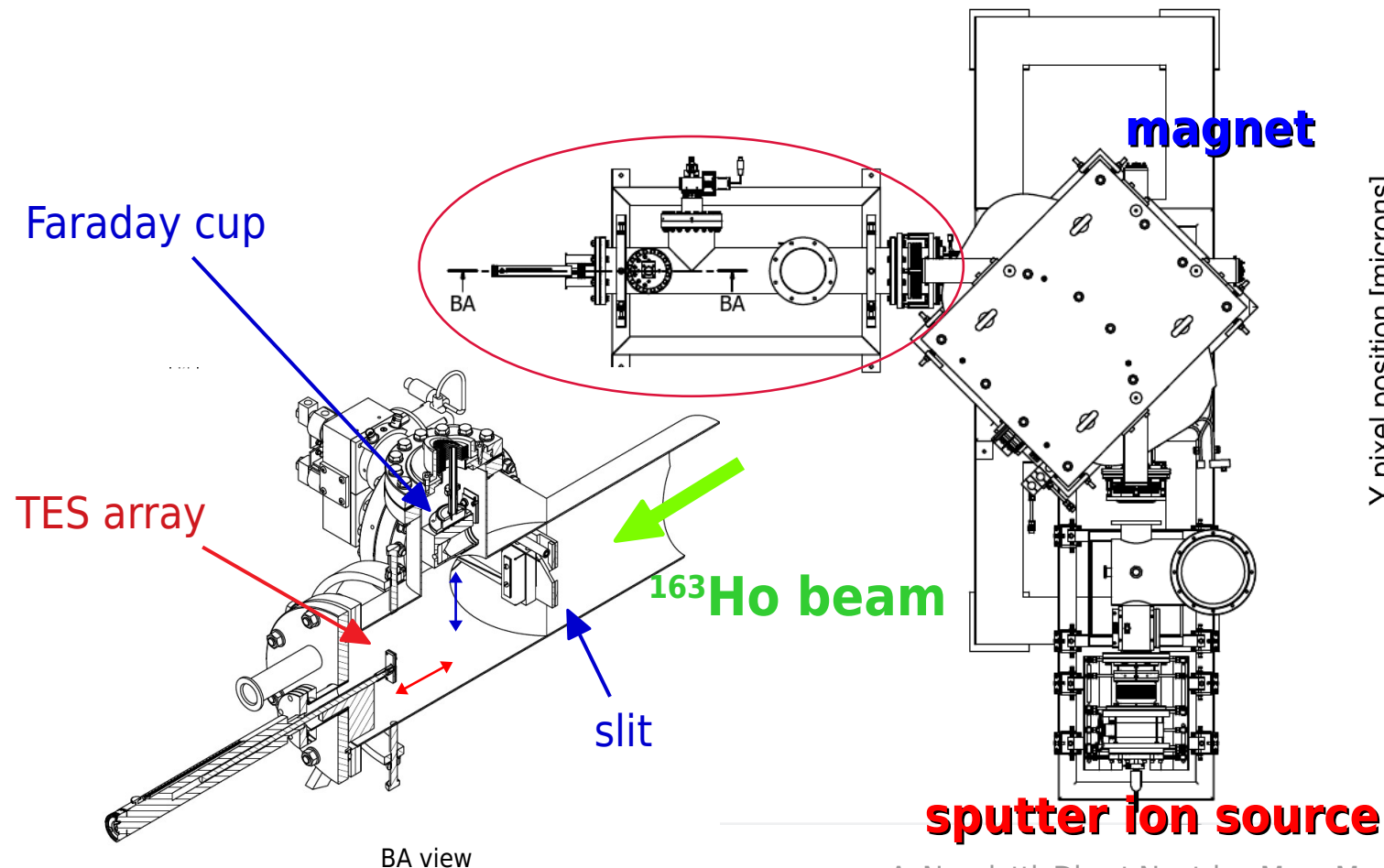


HOLMES needs 1-10  $\mu\text{A}$   $^{163}\text{Ho}$  beam current

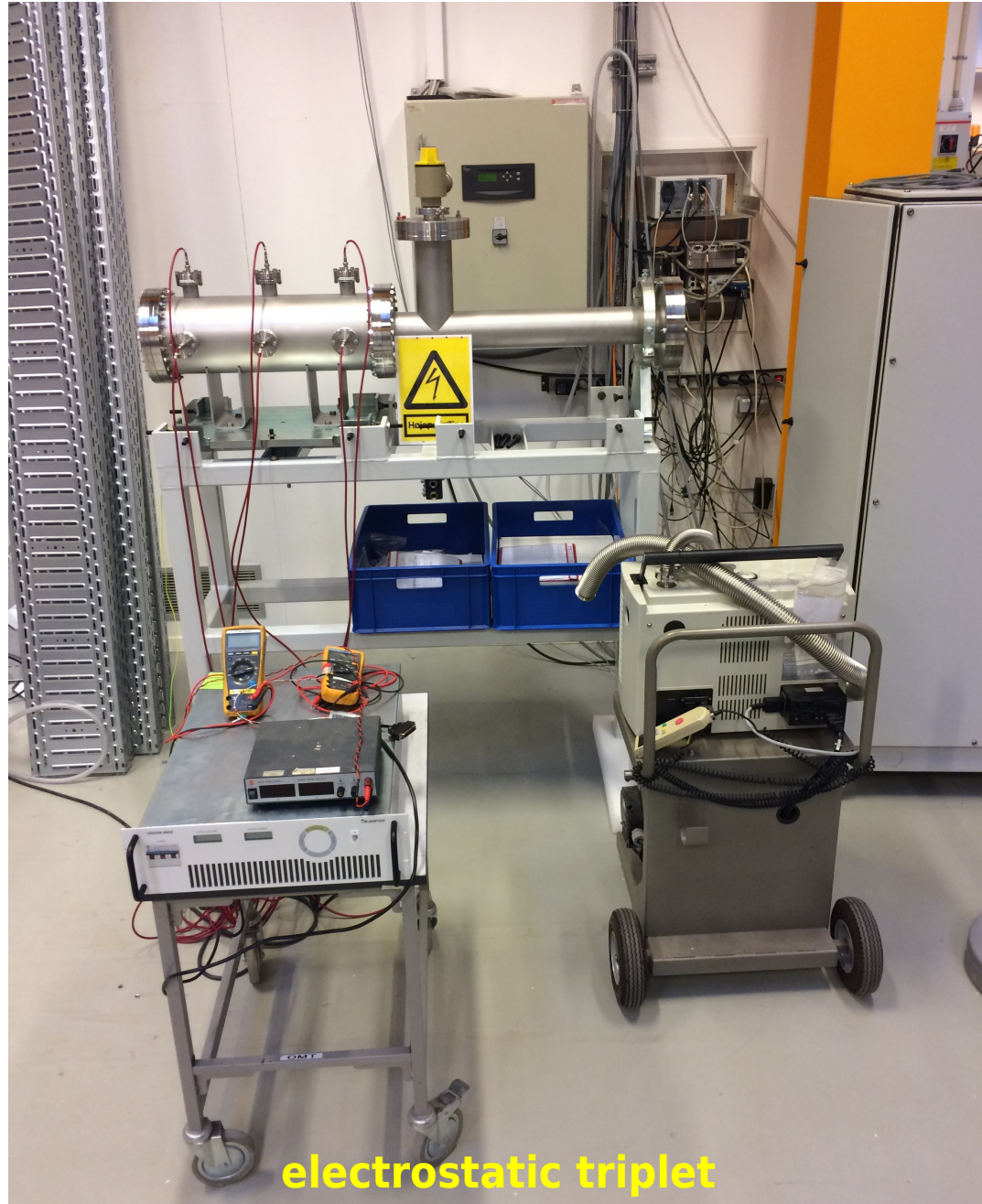
# HOLMES ion implantation system / 3



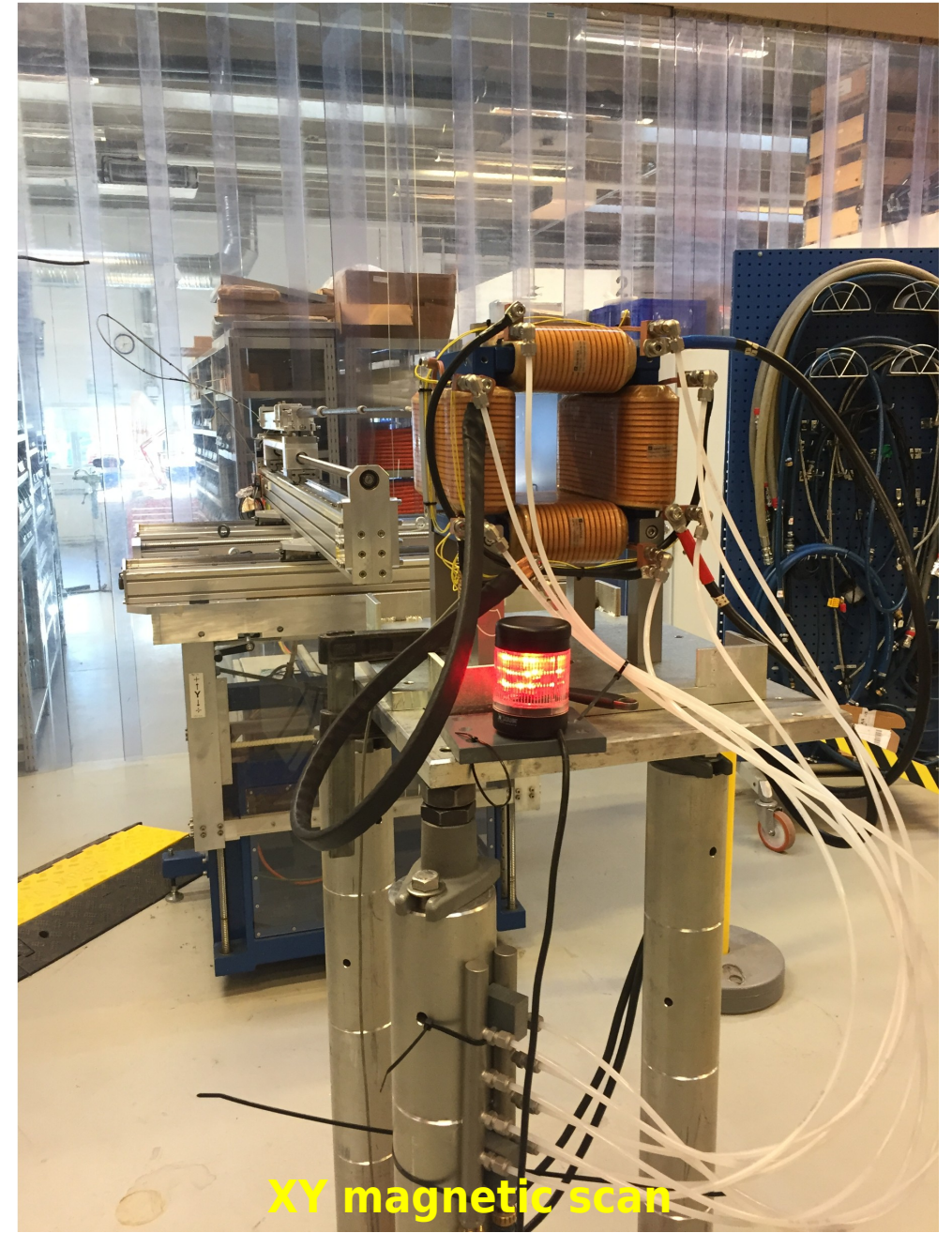
- next steps with present ion implanter configuration
  - ▶ optimize  $^{nat}\text{Ho}$  ion beam and assess efficiency
  - ▶ test different ion source sputter targets with  $^{nat}\text{Ho}$  (sintered in Ge and molecular plated from PSI)
  - ▶ switch to enriched  $^{163}\text{Ho}$  target
  - ▶ array low dose  $^{163}\text{Ho}$  implantation ( $\approx 1\text{Bq/det}$ )



# HOLMES ion implantation system extension



electrostatic triplet

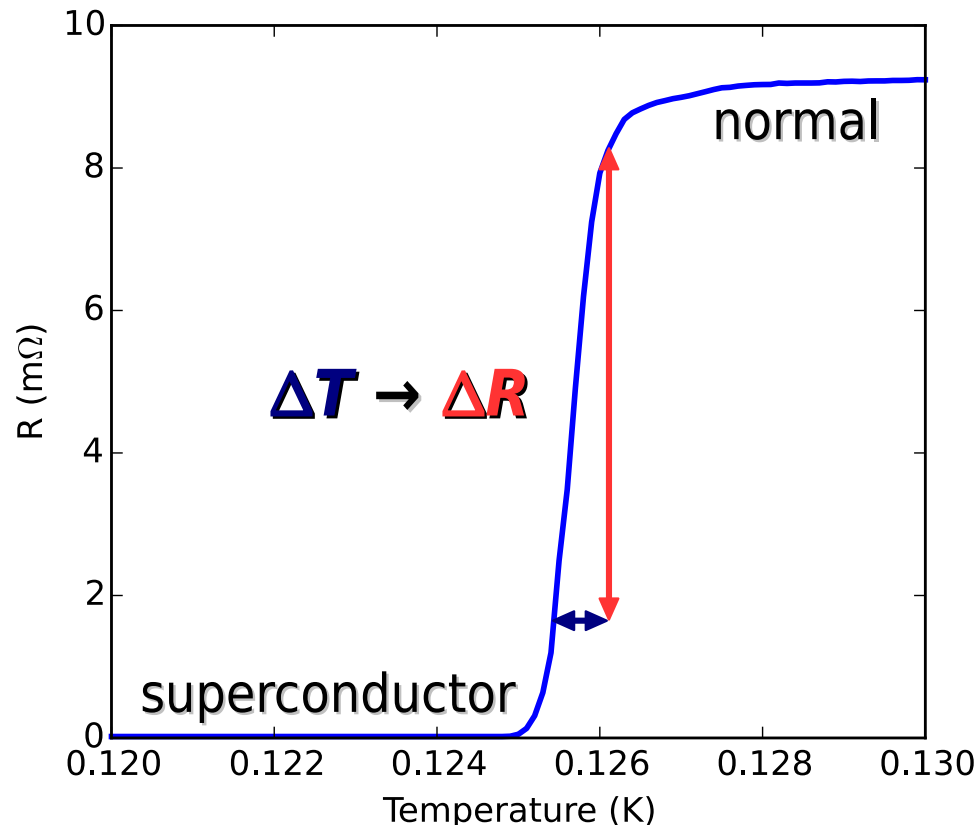
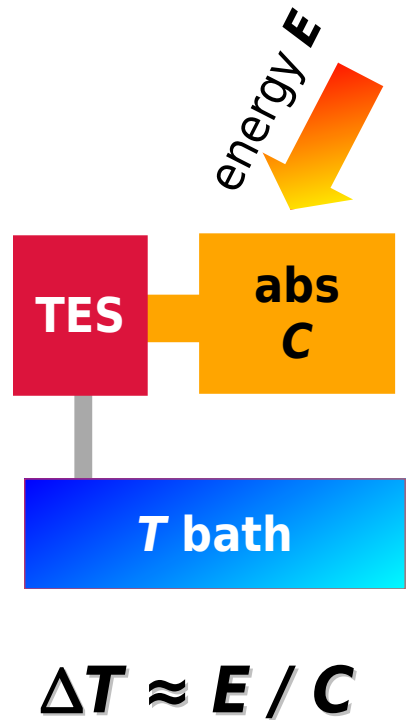


XY magnetic scan

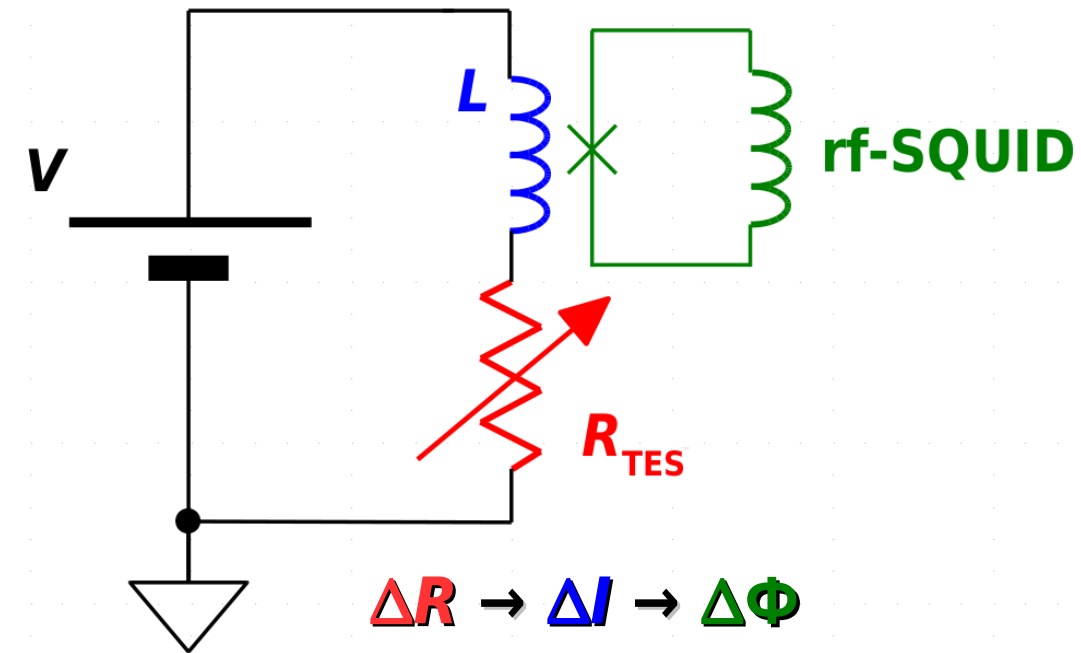
# Superconducting transition edge sensors (TES)



- superconducting thin films operated inside the phase transition at  $T_c$ 
  - ▶ Mo/Cu bilayers → tunable  $T_c$  (20÷200 mK)
- high sensitivity  $TdR/(RdT) \approx 100$  → **high energy resolution**
  - ▶ as thermal sensors → thermodynamical fluctuation limited →  $\sigma_E^2 \approx \xi^2 k_B T^2 C$
- strong electron-phonon coupling → **high intrinsic speed**
- low impedance → SQUID read-out → **multiplexing for large arrays**



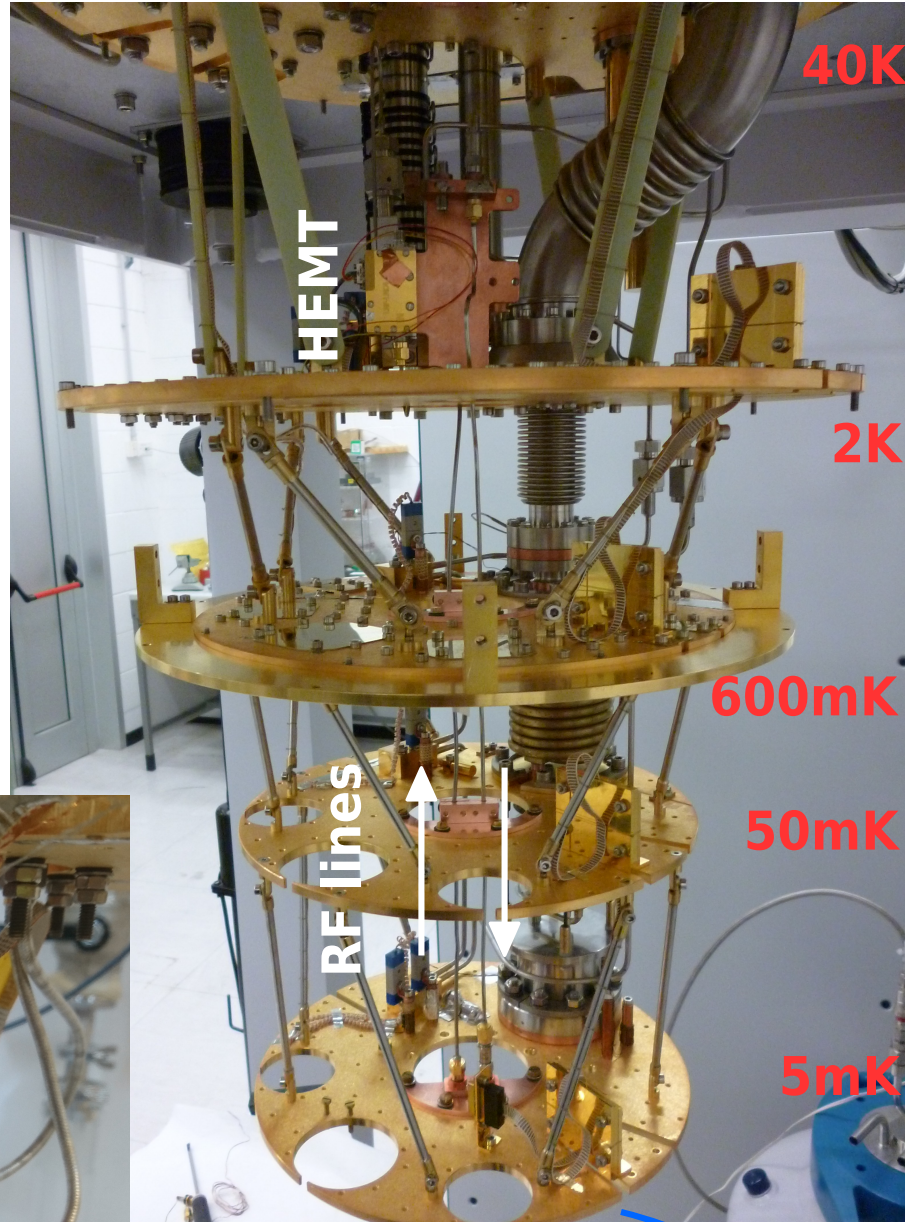
## TES read-out: constant voltage bias



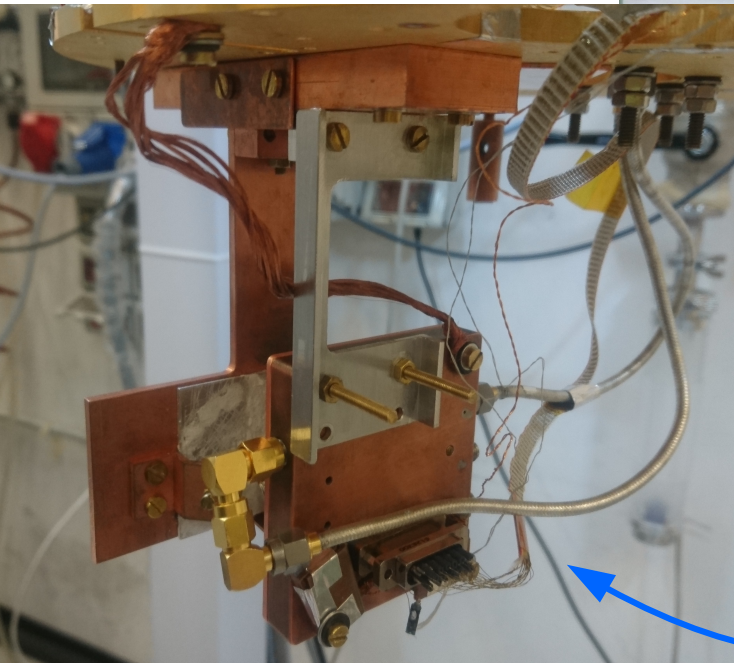
# Cryogenic set-up



**LHe-free  
dilution fridge**



**detector holder  
mounted with  
calibration source**

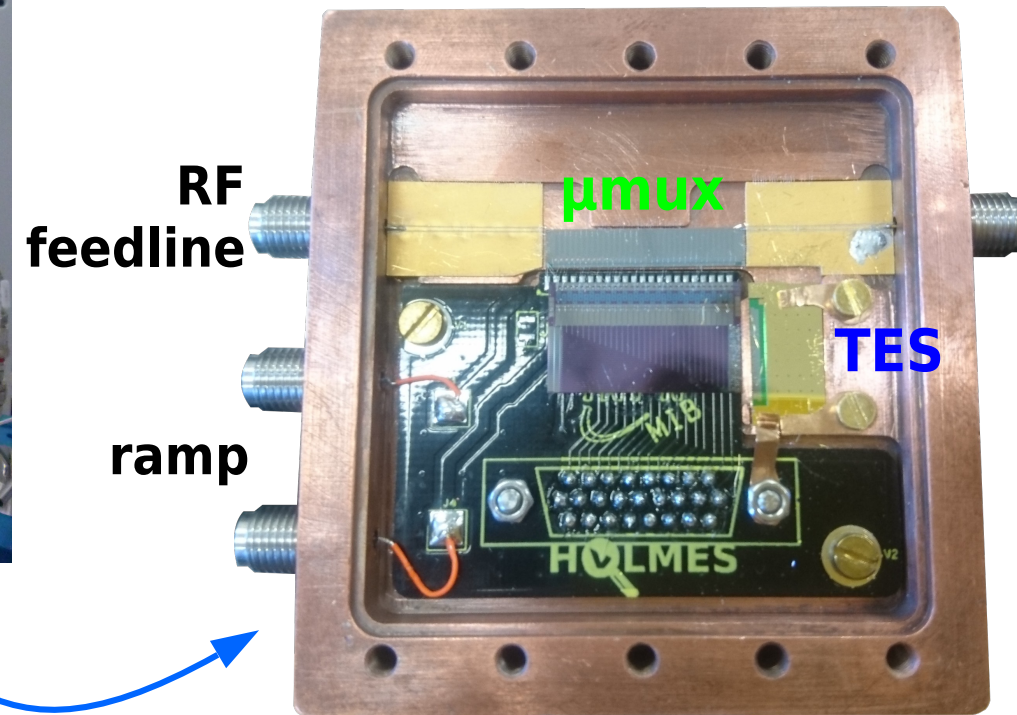


instrumented for  
**microwave multiplexed  
readout of rf-SQUIDs**

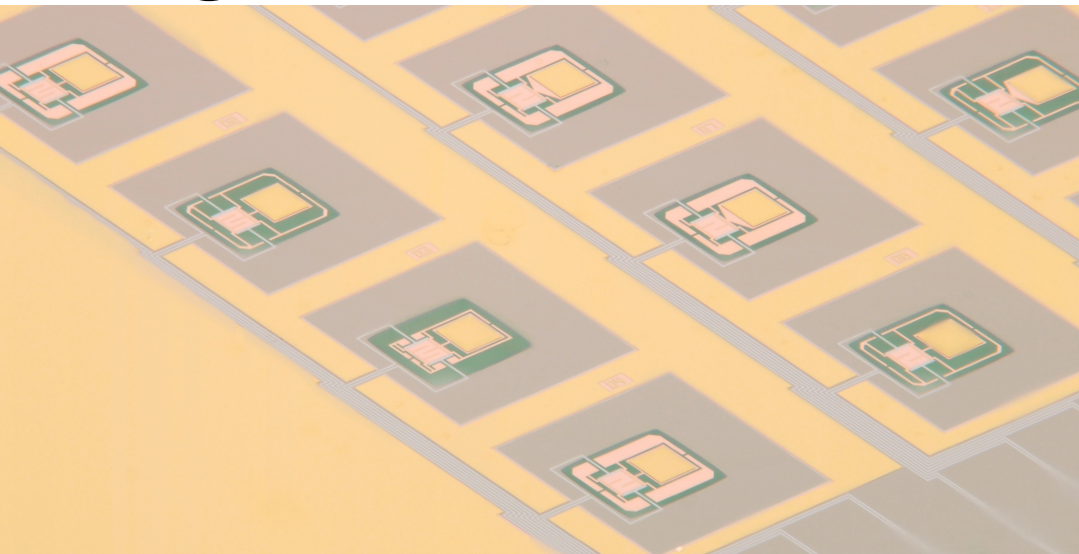
- 1 HEMT + 2 coax RF lines
- **8**  $\mu$ wave multiplexing chips
- **256** detectors

**4 HEMTs available → 1024 ch**

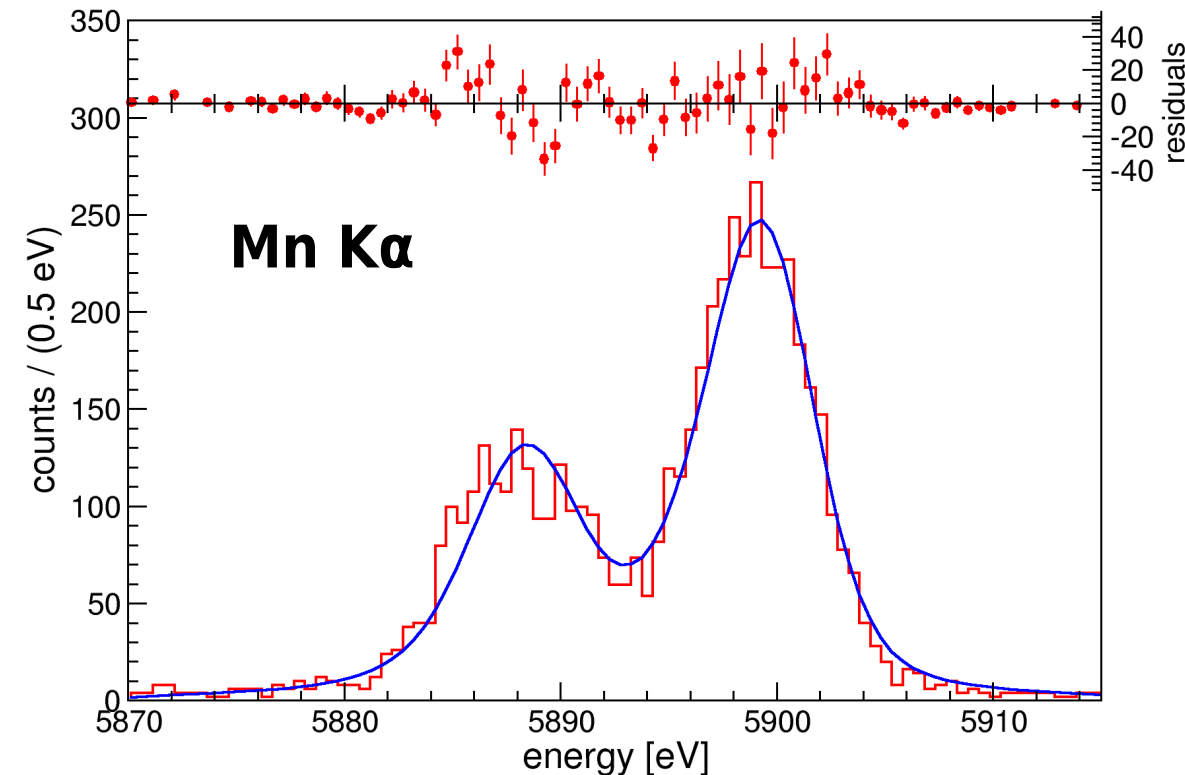
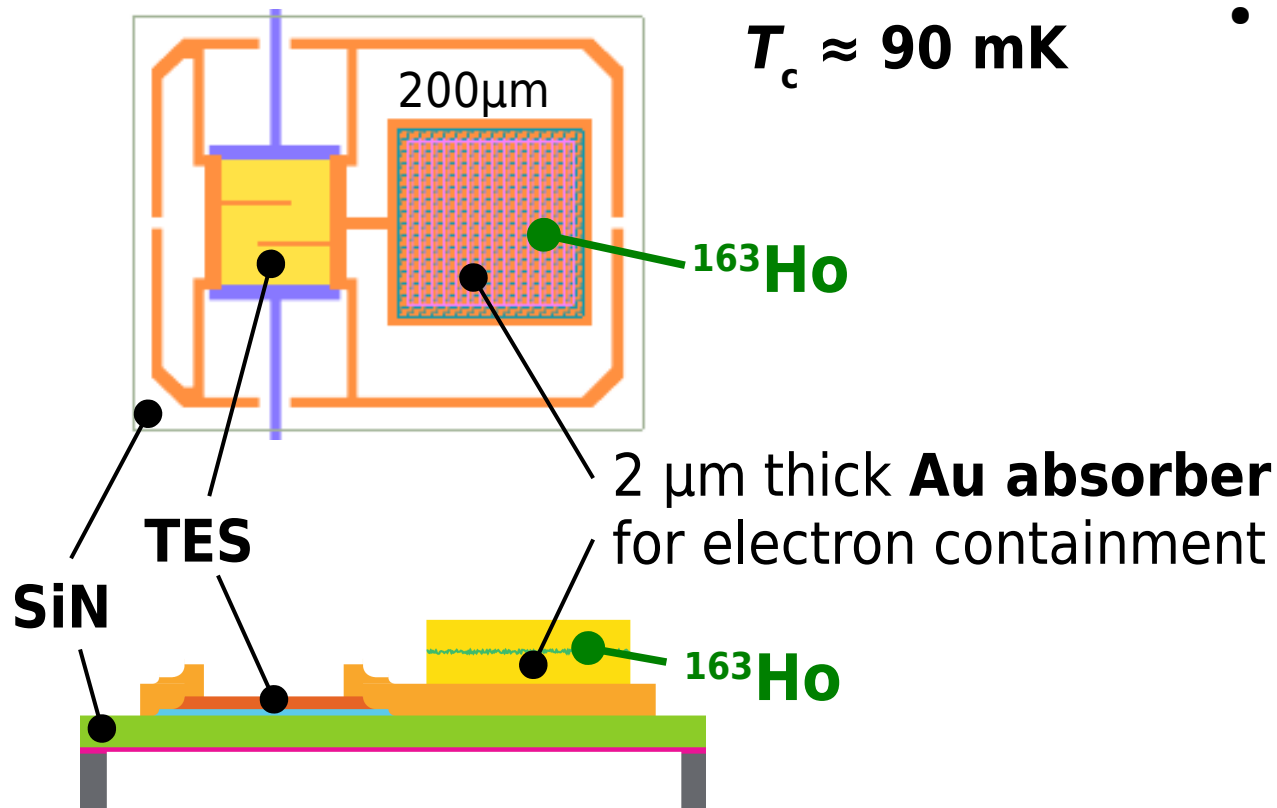
**detector holder**



# Single TES detector R&D



- prototypes w/o  $^{163}\text{Ho}$
- $\Delta E_0 \approx 3.3 \text{ eV}$
- $\Delta E_{\text{FWHM}} = 4.5 \pm 0.1 \text{ eV @ 6 keV}$
- $\tau_{\text{rise}} \approx 13 \mu\text{s}$  (limited to match read-out)
- $\tau_{\text{decay}} \approx 54 \mu\text{s}$
- **pile-up detection algorithms (work in progress):**
  - for  $f_{\text{samp}} = 0.5\text{MHz}$ ,  $\tau_{\text{rise}} \approx 20\mu\text{s}$
  - Singular Value Decomposition  $\rightarrow \tau_R \approx 1.8 \mu\text{s}$

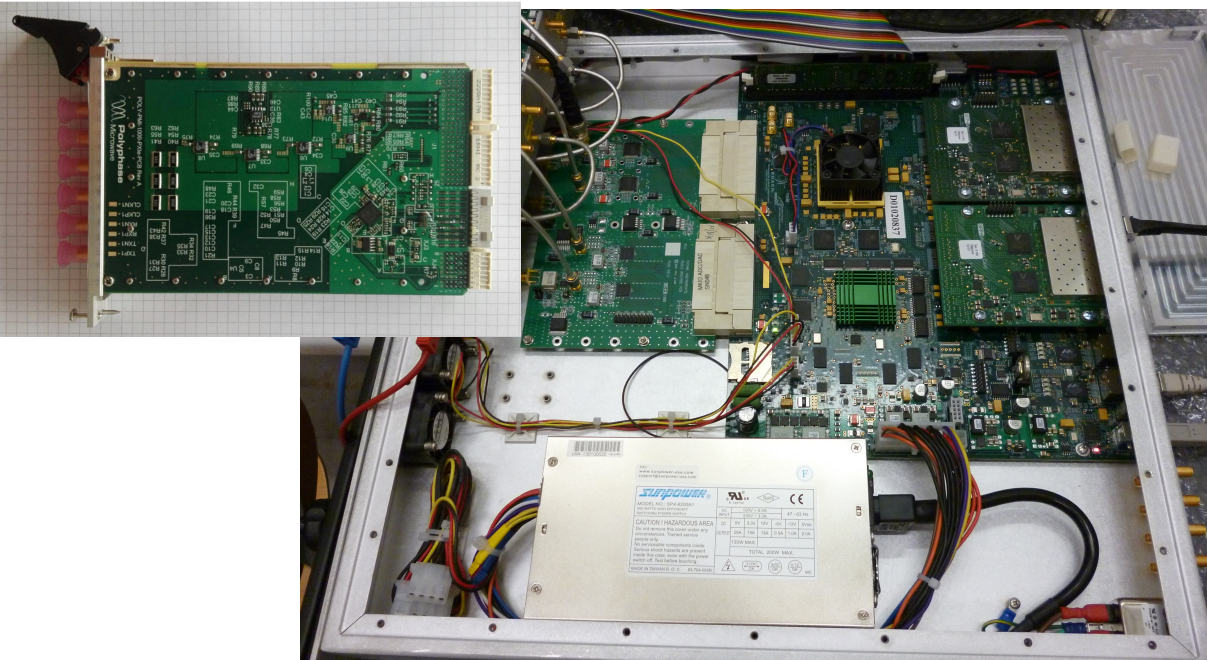
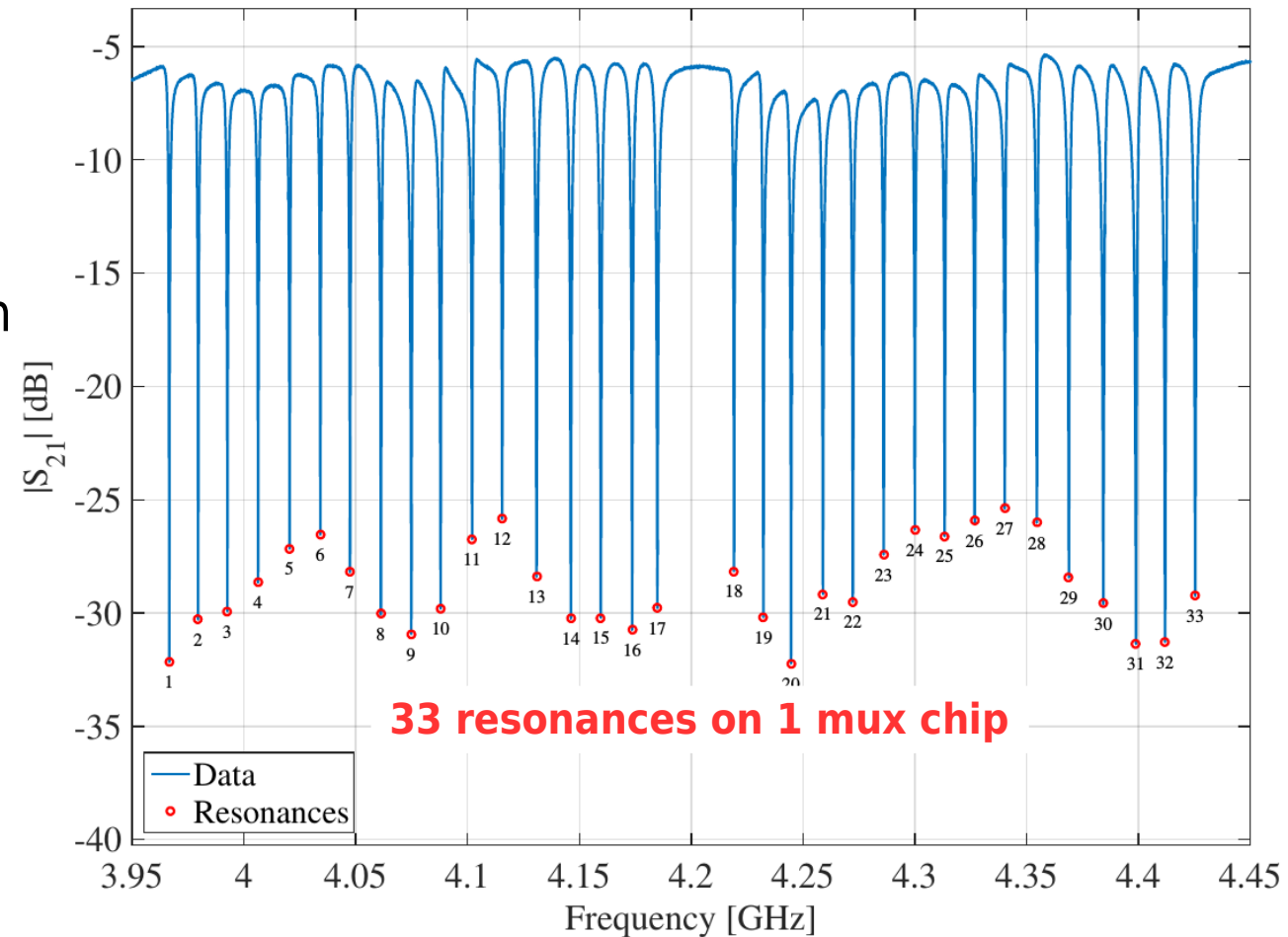
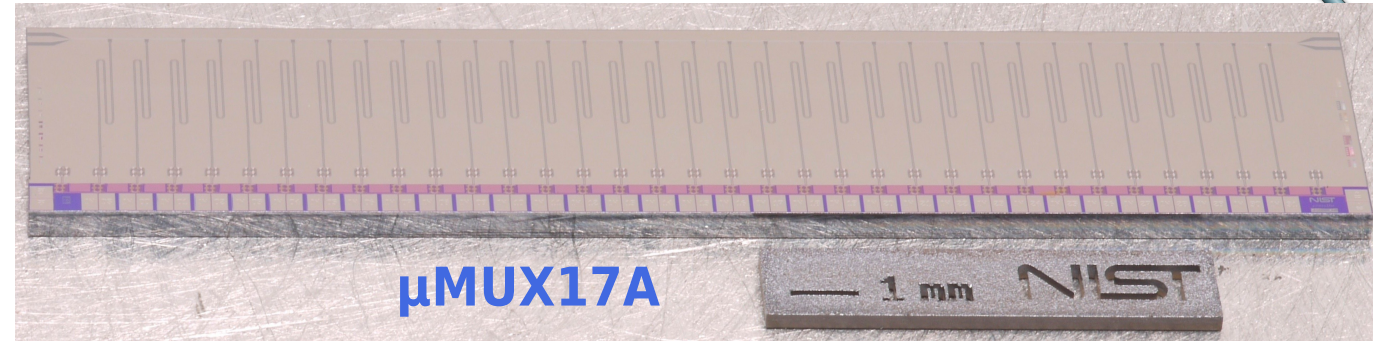




# Detector read-out and DAQ

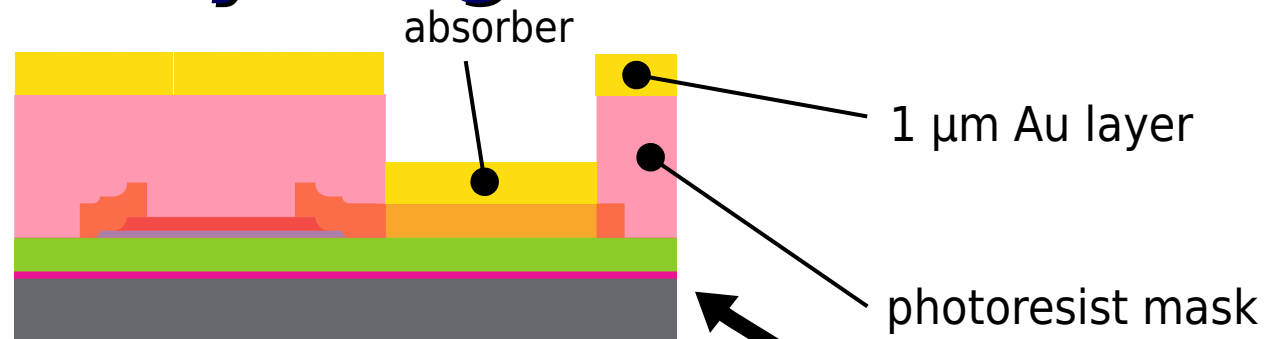
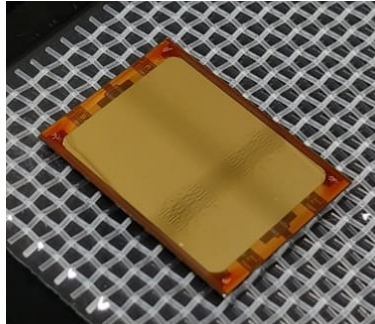


- read-out:  **$\mu$ wave rf-SQUID multiplexing**
- $\mu$ MUX17A optimized for HOLMES
  - ▶ 33 resonances in 500 MHz (4→8 GHz band)
- DAQ: **Software Defined Radio**
- ROACH2/ADC (32 channel fw)
  - ▶ base-band tone generation (0-512MHz)
  - ▶ base-band tone IQ de-modulation (0-512MHz)
  - ▶ rf-SQUID phase signal de-modulation
- custom IF-board → C-band up- / down-conversion
- **read-out / DAQ ready for 64 channels**



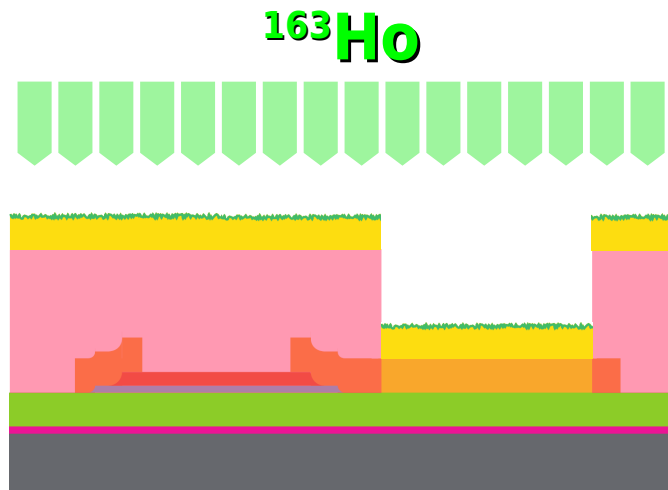
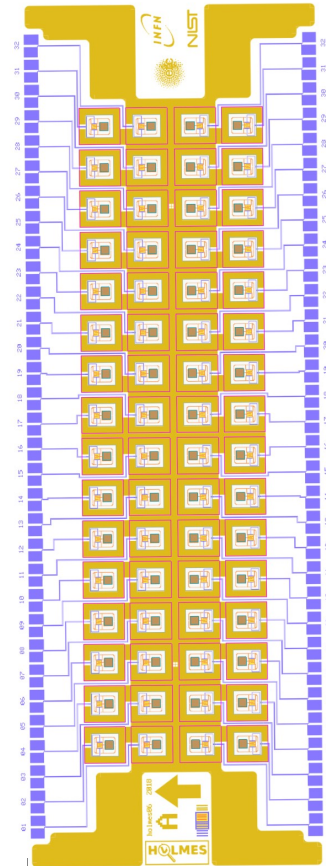
D.T. Becker et al, JINST 14 (2019) P10035

# HOLMES detector array design and fabrication

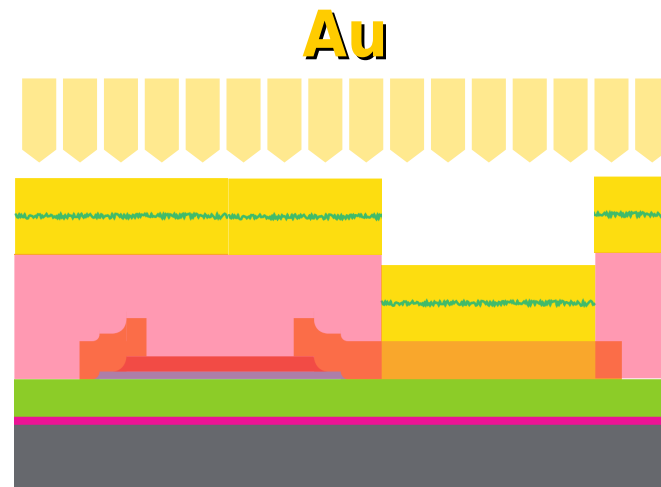


- TES array fabrication after first steps at **NIST**
- $^{163}\text{Ho}$  implantation and final 1  $\mu\text{m}$  **Au** layer deposition
- final micromachining step definition in progress
- **4×16 sub-array** for low parasitic  $L$  and high implant efficiency

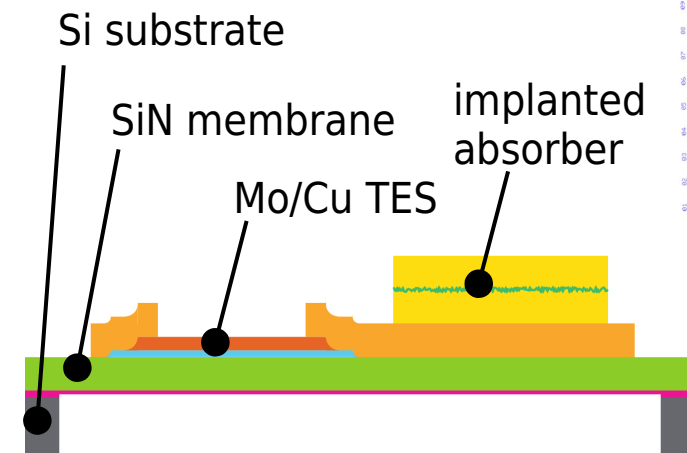
calculated  $^{163}\text{Ho}$   
beam width



**ion implantation**



**full encapsulation by sputtering**



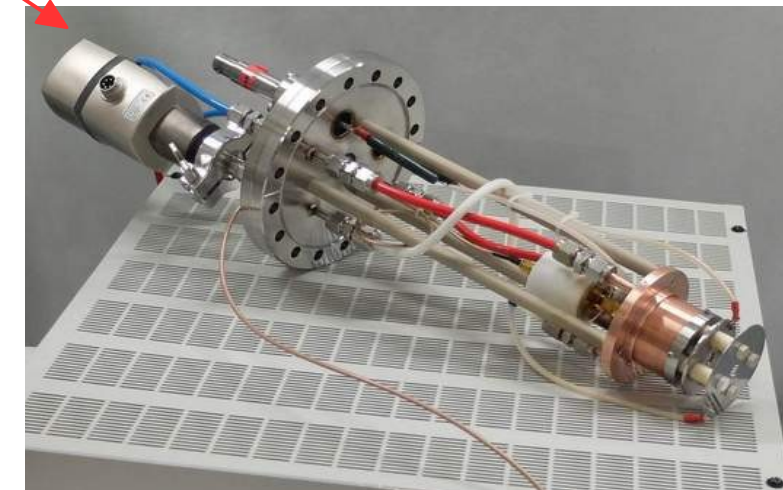
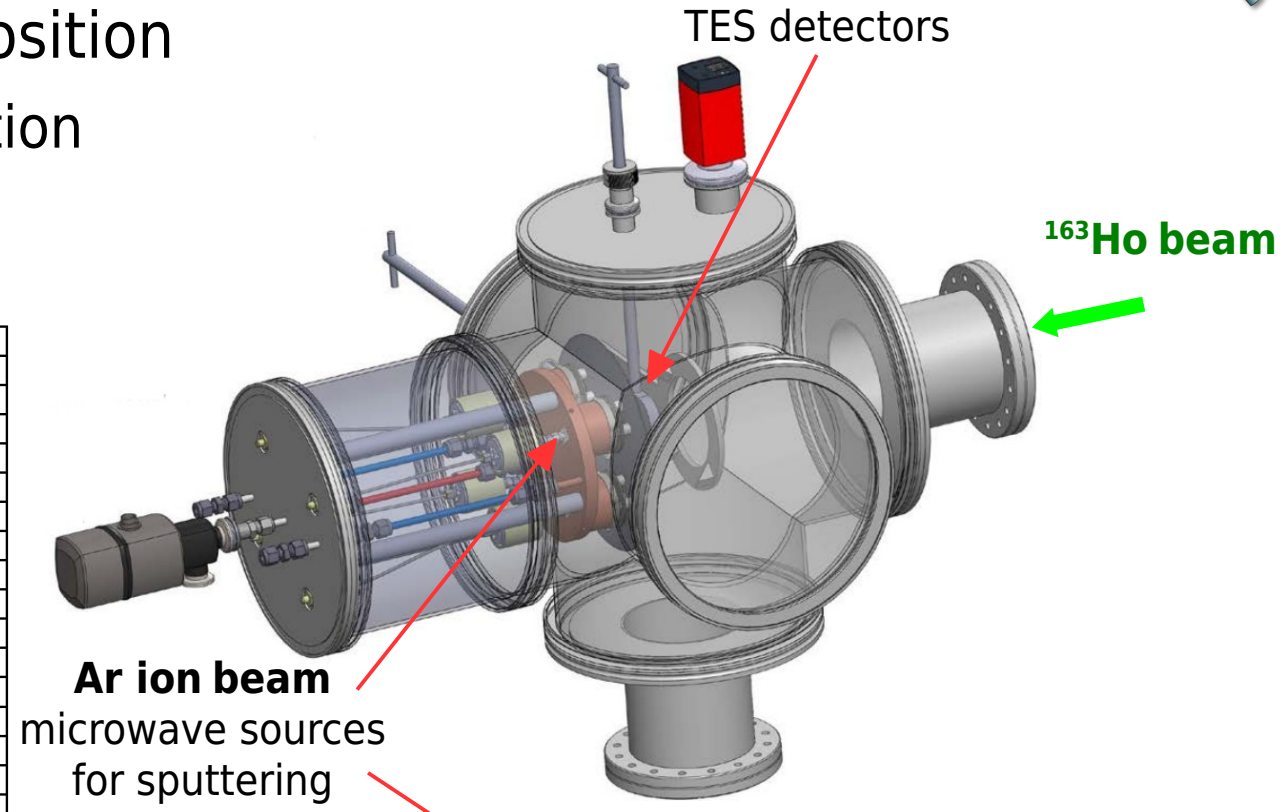
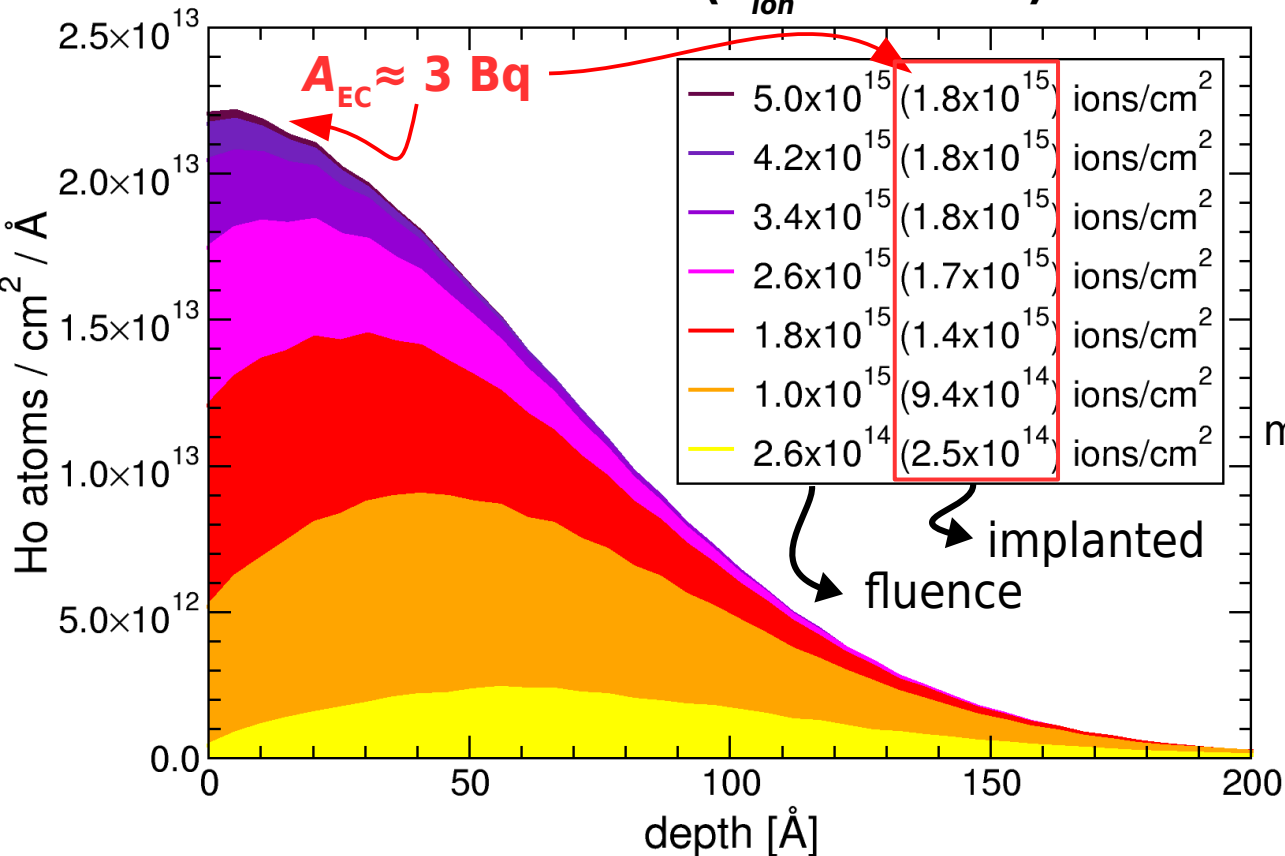
**photoresist lift-off and  
SiN membrane release**

# Target chamber for absorber fabrication / 1



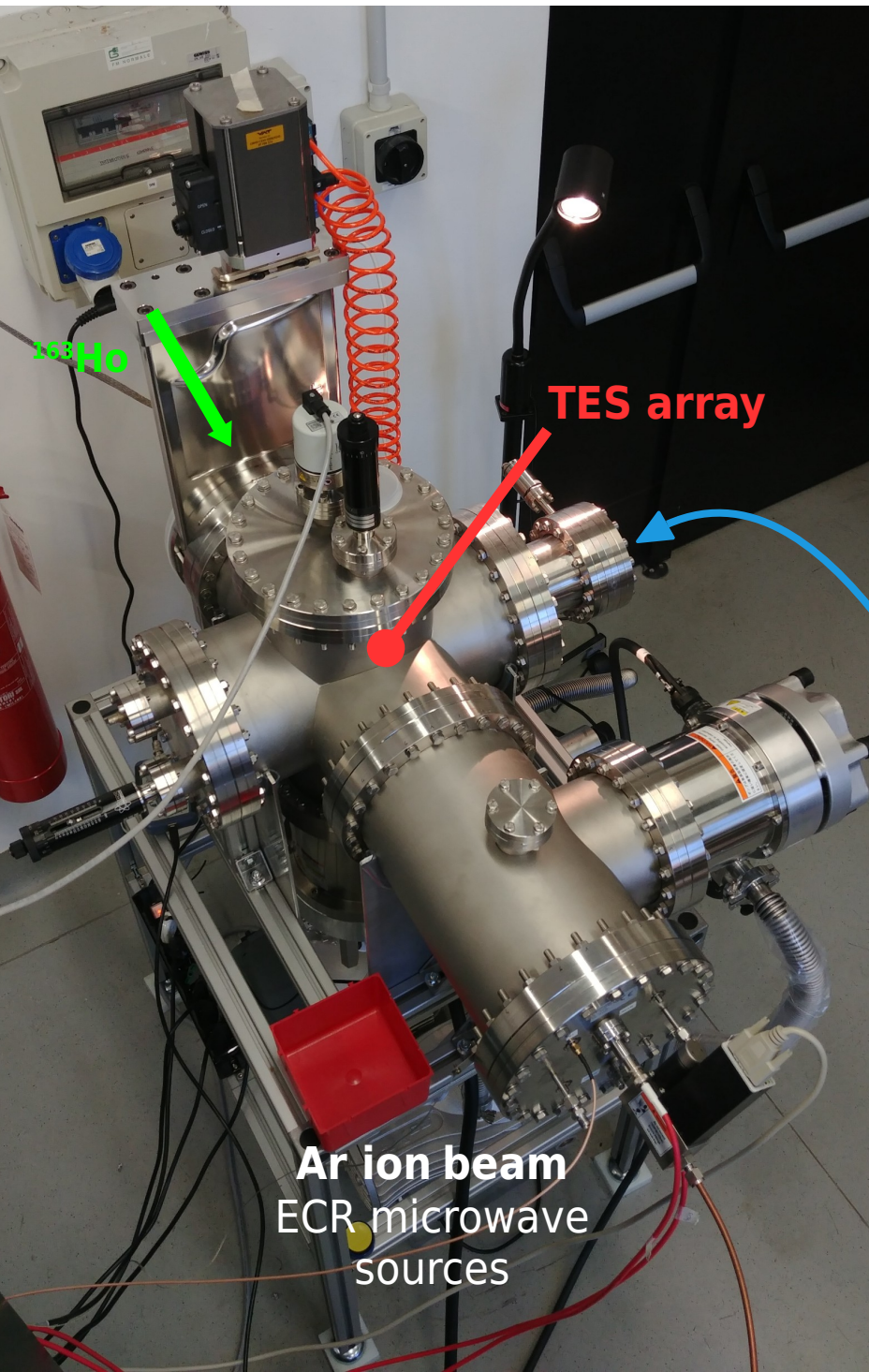
- final 1  $\mu\text{m}$  Au capping layer in situ deposition
  - ▶ for calorimetry and to prevent Ho oxidization

ion implant simulation with SRIM2013  
 $^{163}\text{Ho}$  ions on Au ( $E_{\text{ion}} = 50 \text{ keV}$ )

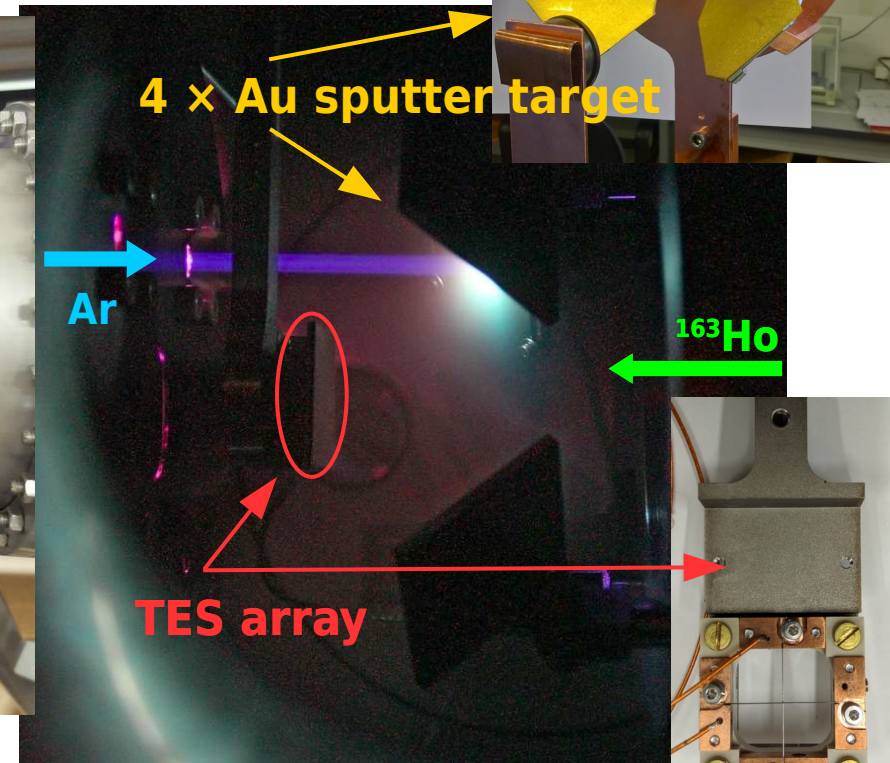
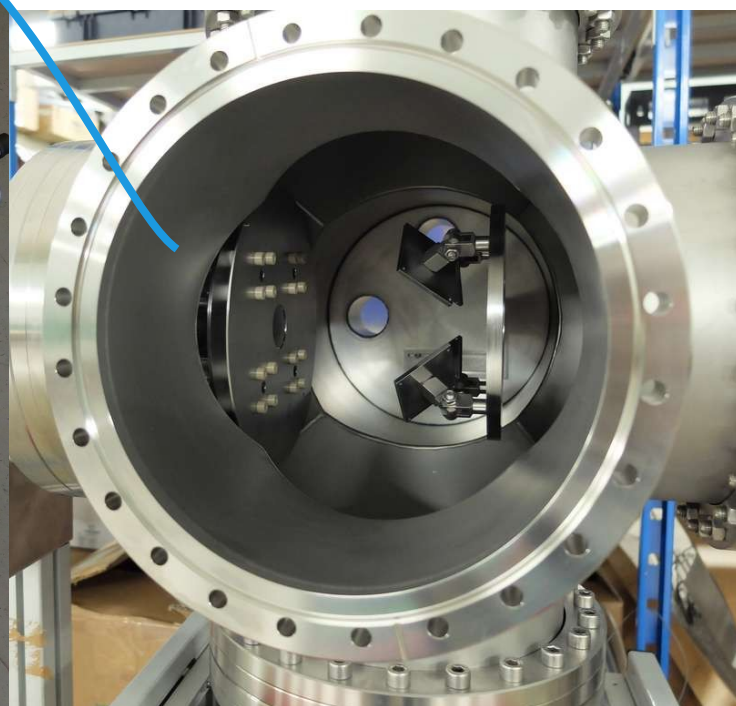


- $^{163}\text{Ho}$  ion beam sputters off Au from absorber ( $\approx 26 \text{ Au/Ho}$ )
  - ▶ implanted  $^{163}\text{Ho}$  concentration in absorber saturates
  - ▶ compensate by Au co-evaporation

# Target chamber for absorber fabrication / 2



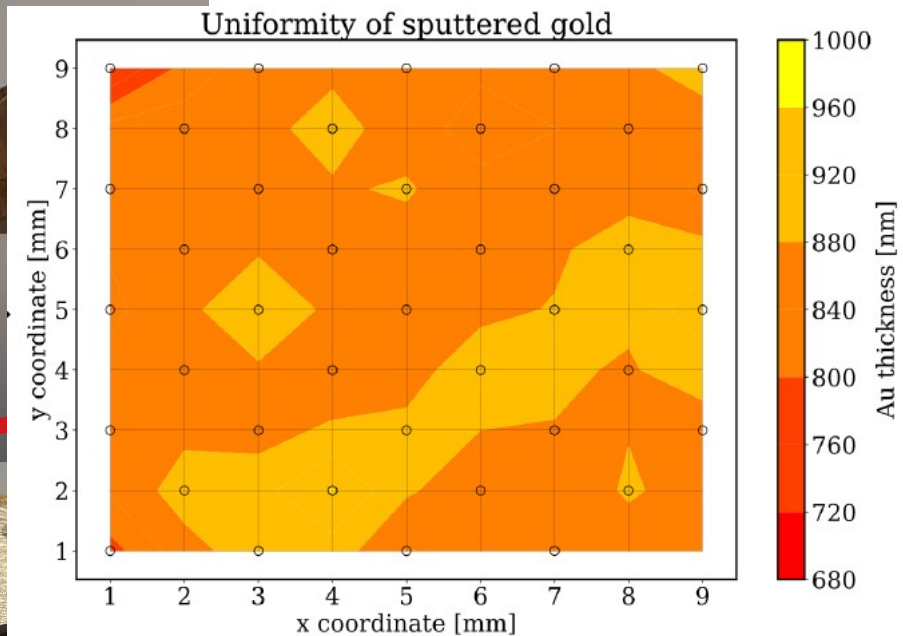
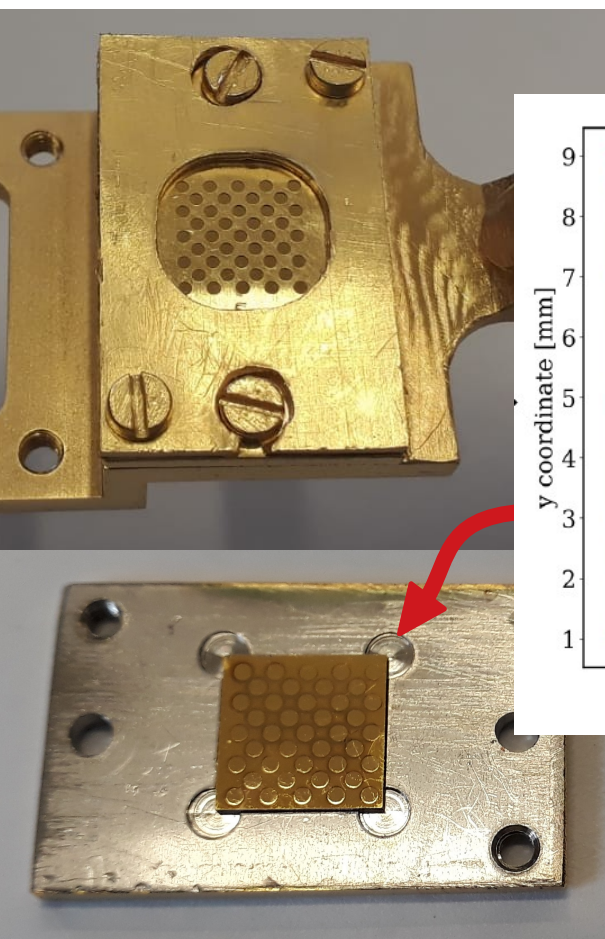
- 4 ECR ion beam sources
- background pressure  $\approx 10^{-8}$  mbar
- Ar ion current  $\approx 175 \mu\text{A}/\text{source}$  (without water cooling)
  - ▶ Au deposition rate with 4 ion sources **> 100nm/h**
- remote control for use with ion-implanter
- $^{163}\text{Ho}$  beam diagnostic:
  - ▶ wire cross + Faraday cup



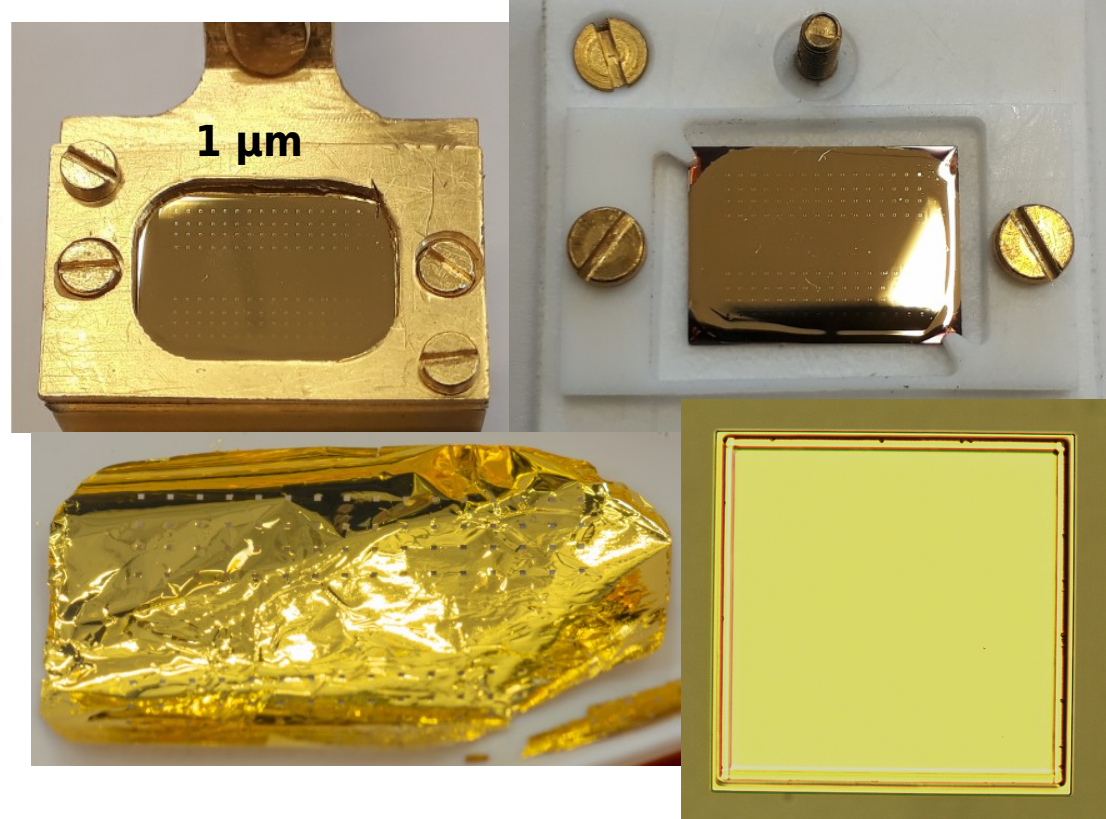
# Detector array fabrication / 1



- 1  $\mu\text{m}$  Au final layer deposition in Target Chamber
  - deposition rate calibrated
  - uniformity tested with 4 sources
- Au layer patterned by lift-off
- full fabrication process successfully tested on 2 arrays
  - arrays characterized at low temperature  $\rightarrow$  Au quality and sticking are OK

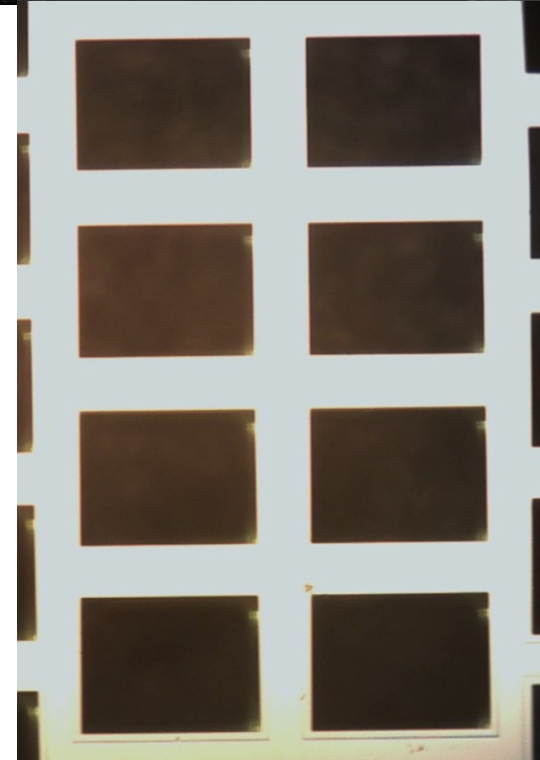
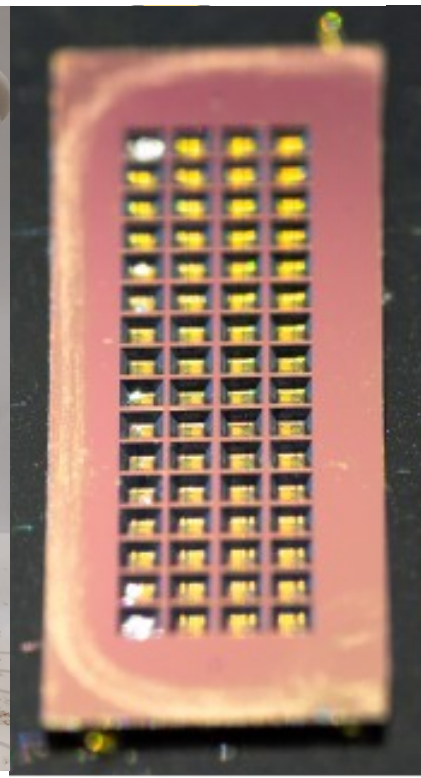
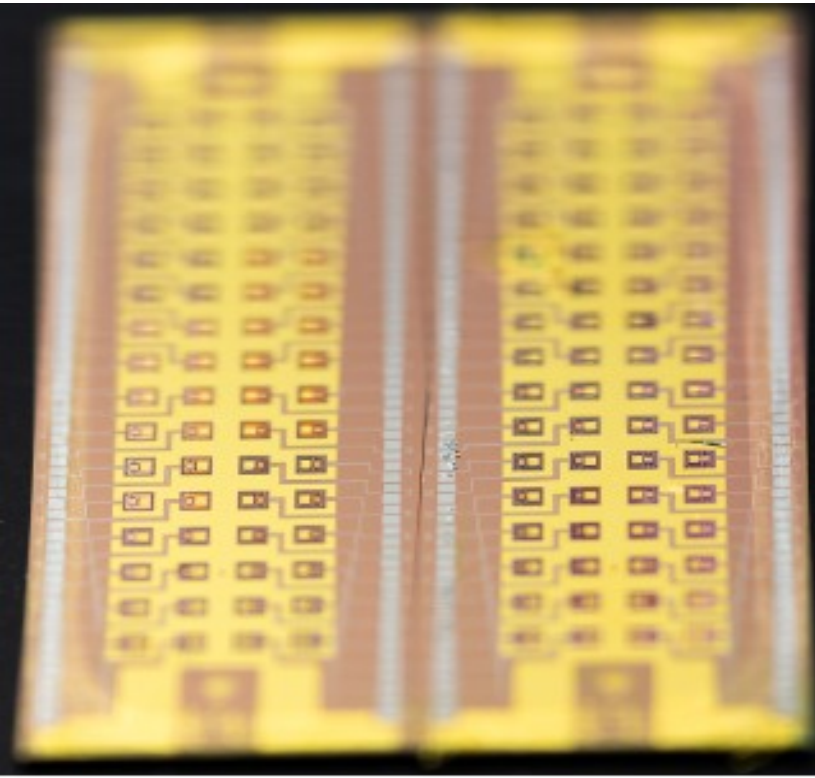
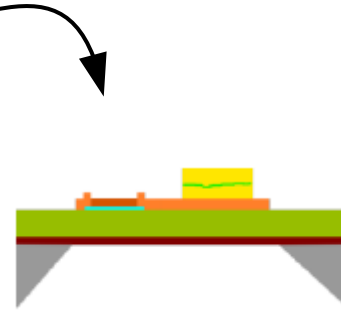
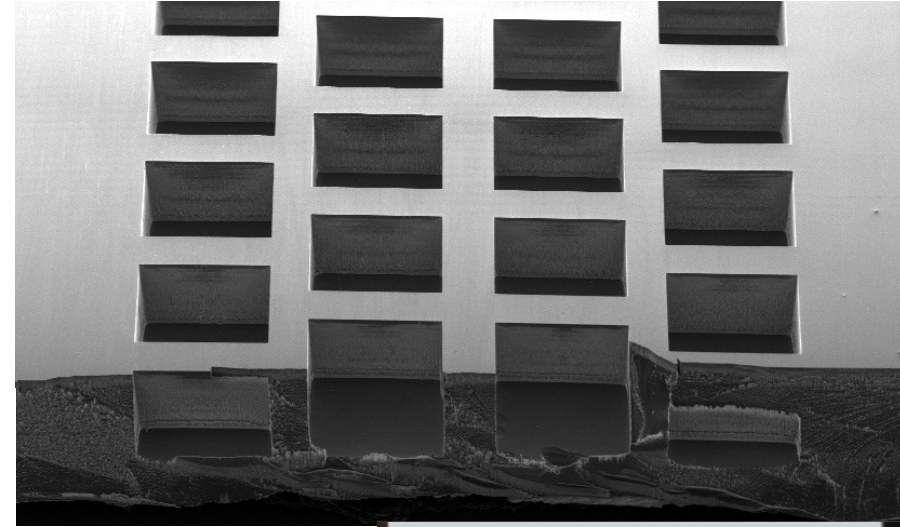
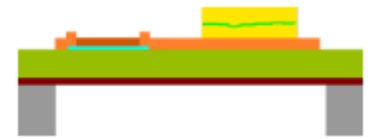


$$d = 865 \pm 40 \text{ nm}$$



# Detector array fabrication / 2

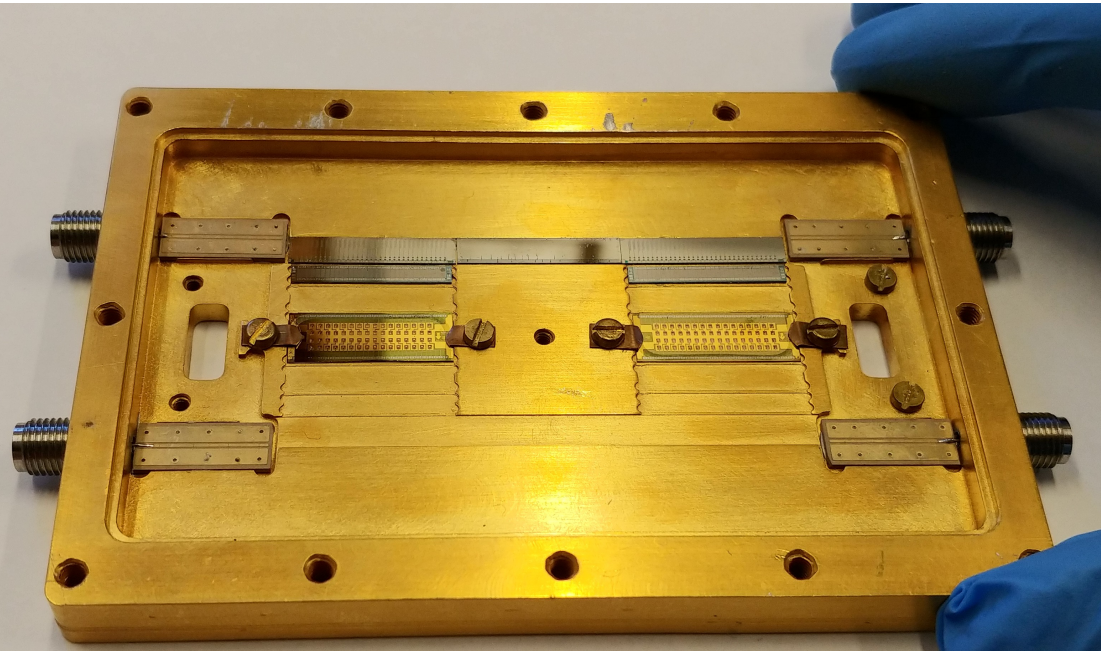
- two options for membrane release (i.e. final array fabrication step)
- **Silicon Deep Reactive Ion Etching (DRIE)**
  - best for close packing and high implant efficiency
  - R&D almost complete
- **Silicon KOH anisotropic wet etching**
  - requires more spacing between pixels
  - successfully tuned → **HOLMES baseline**



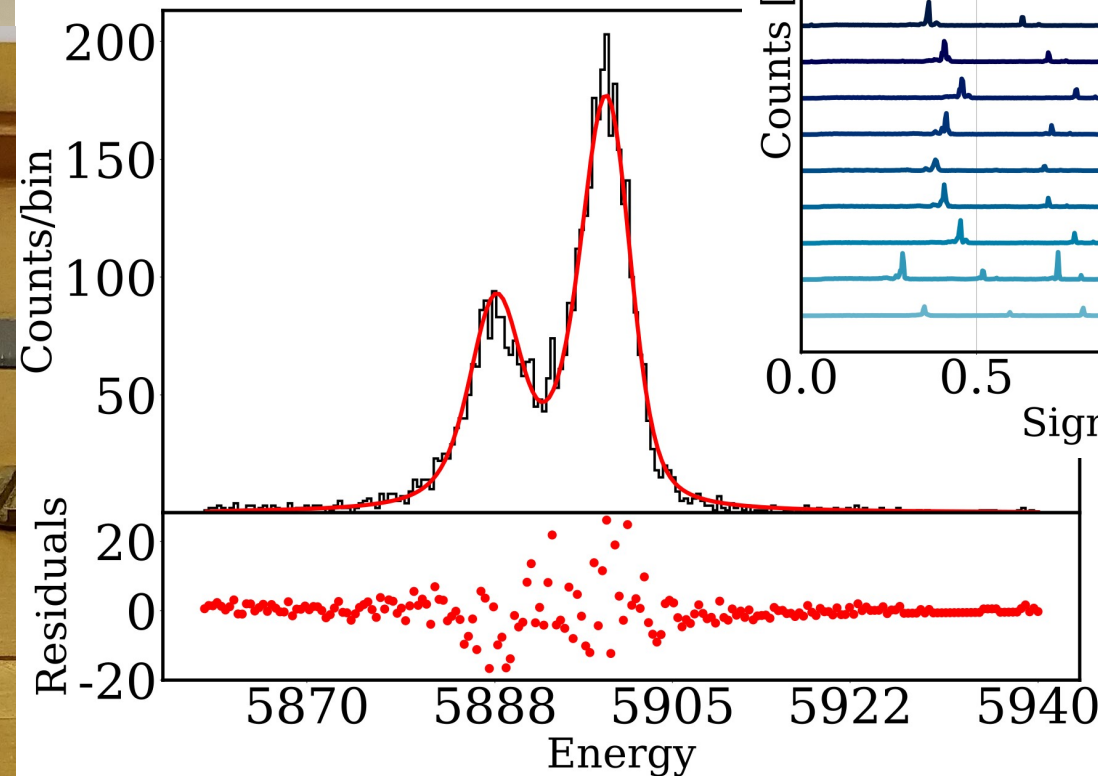
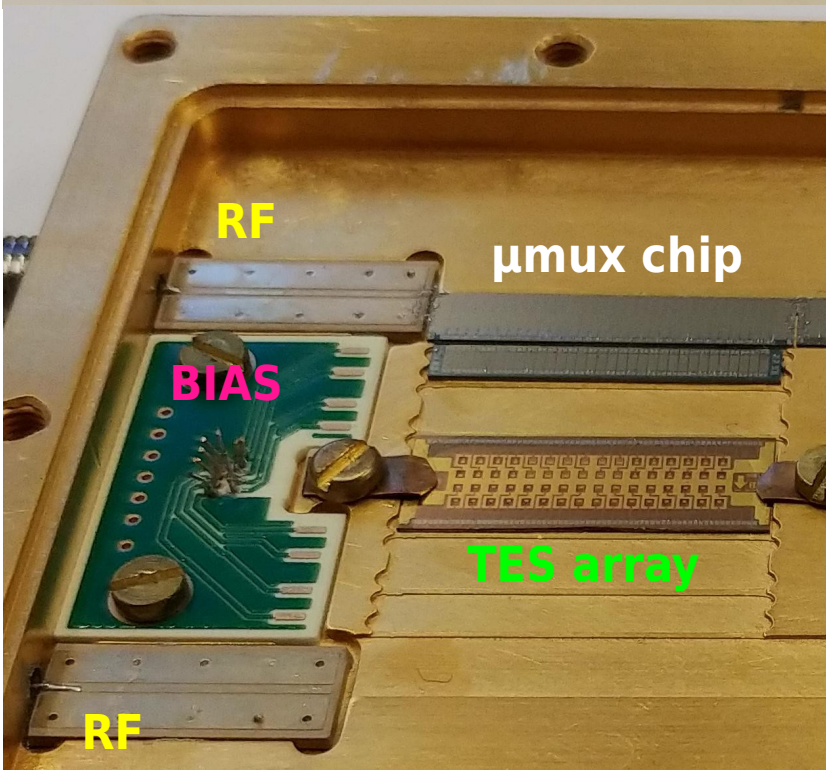
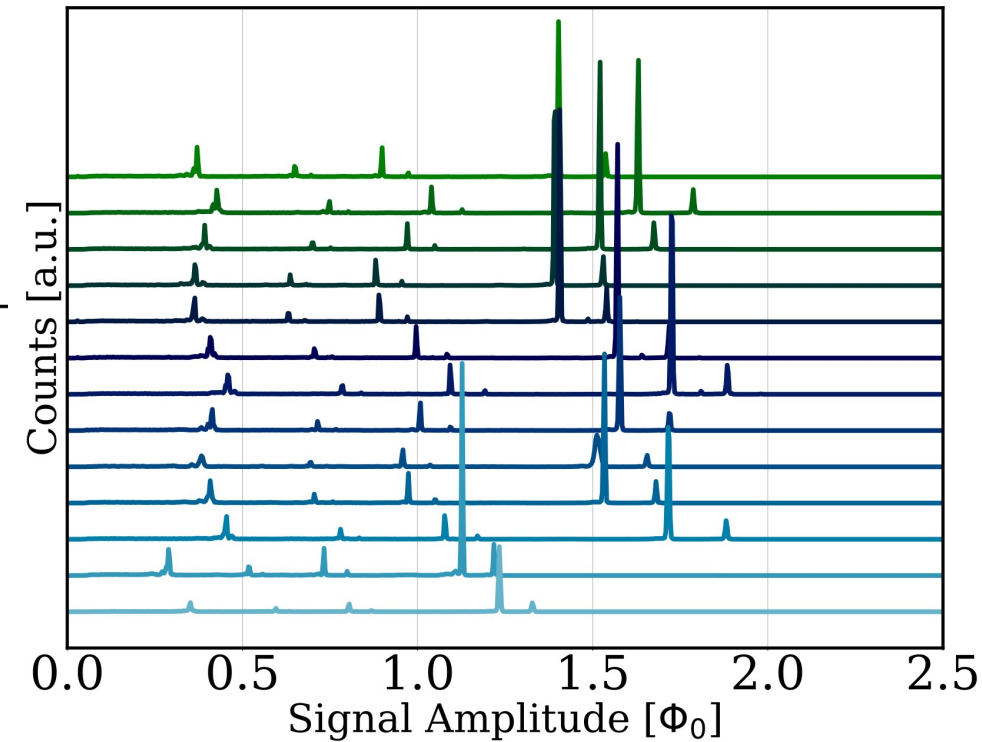
# Fully processed detector array testing



- low temperature test of **fully processed arrays**
- 32+32 pixels bonded
- analysis in progress:  $\Delta E_{\text{FWHM}}$  4~5 eV
- still w/o  $^{163}\text{Ho}$



raw data, no cuts, no gain corrections



$$\Delta E_{\text{FWHM}} = 4.2 \pm 0.1 \text{ eV}$$

# Low energy background



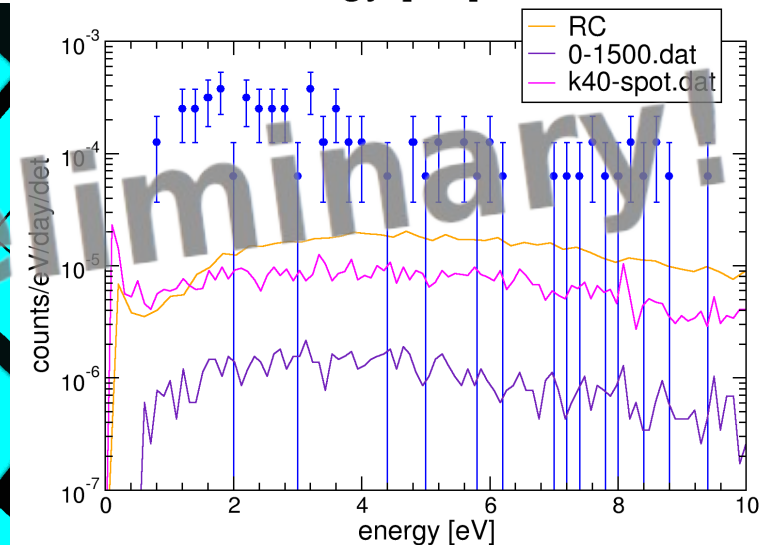
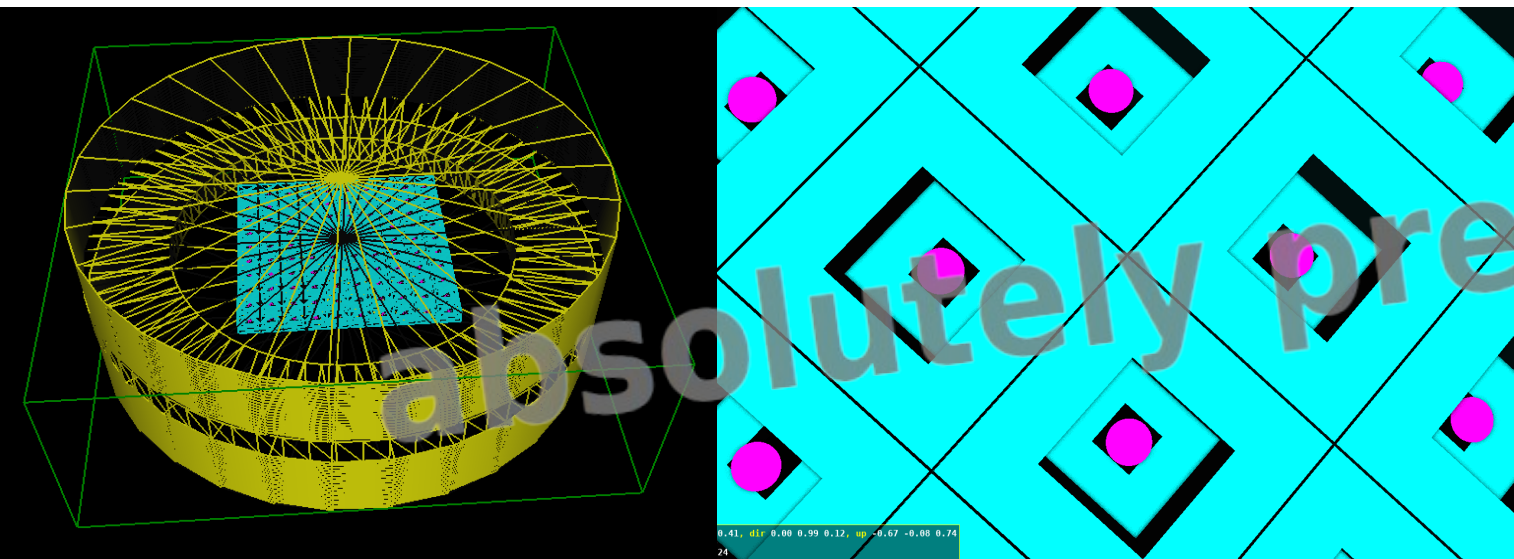
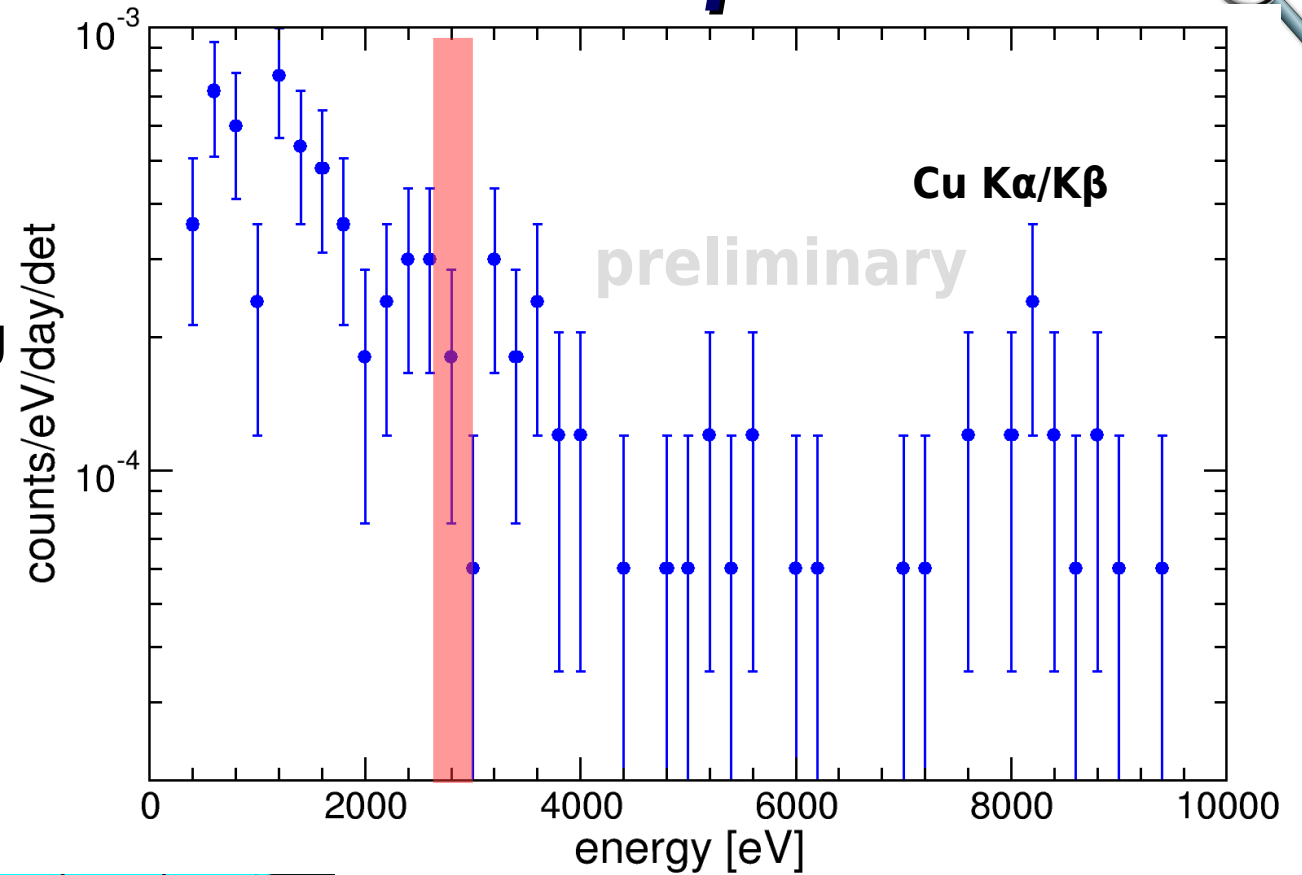
- environmental  $\gamma$  radiation
- $\gamma$ , X and  $\beta$  from close surroundings
- cosmic rays
  - ▷ GEANT4  $\rightarrow$   **$bkg \approx 10^{-5}$  c/eV/day/det** (0 - 4 keV)
- internal radionuclides
  - ▷  $^{166m}\text{Ho}$  ( $\beta^-$ ,  $Q = 1.8$  MeV,  $\tau_{1/2} = 1200$  y, produced along with  $^{163}\text{Ho}$ )
  - ▷ GEANT4  $\rightarrow$   **$bkg \approx 0.5$  c/eV/day/det/Bq( $^{166m}\text{Ho}$ )**
  - ▷  $A(^{163}\text{Ho}) = 300\text{Bq/det}$  (  $\leftrightarrow \approx 6.5 \times 10^{13}$  nuclei/det)
  - $bkg(^{166m}\text{Ho}) < 0.1$  c/eV/day/det  $\rightarrow A(^{163}\text{Ho})/A(^{166m}\text{Ho}) > 1500$**   
 **$\rightarrow N(^{163}\text{Ho})/N(^{166m}\text{Ho}) > 6000$**



# Background measurement in HOLMES set-up



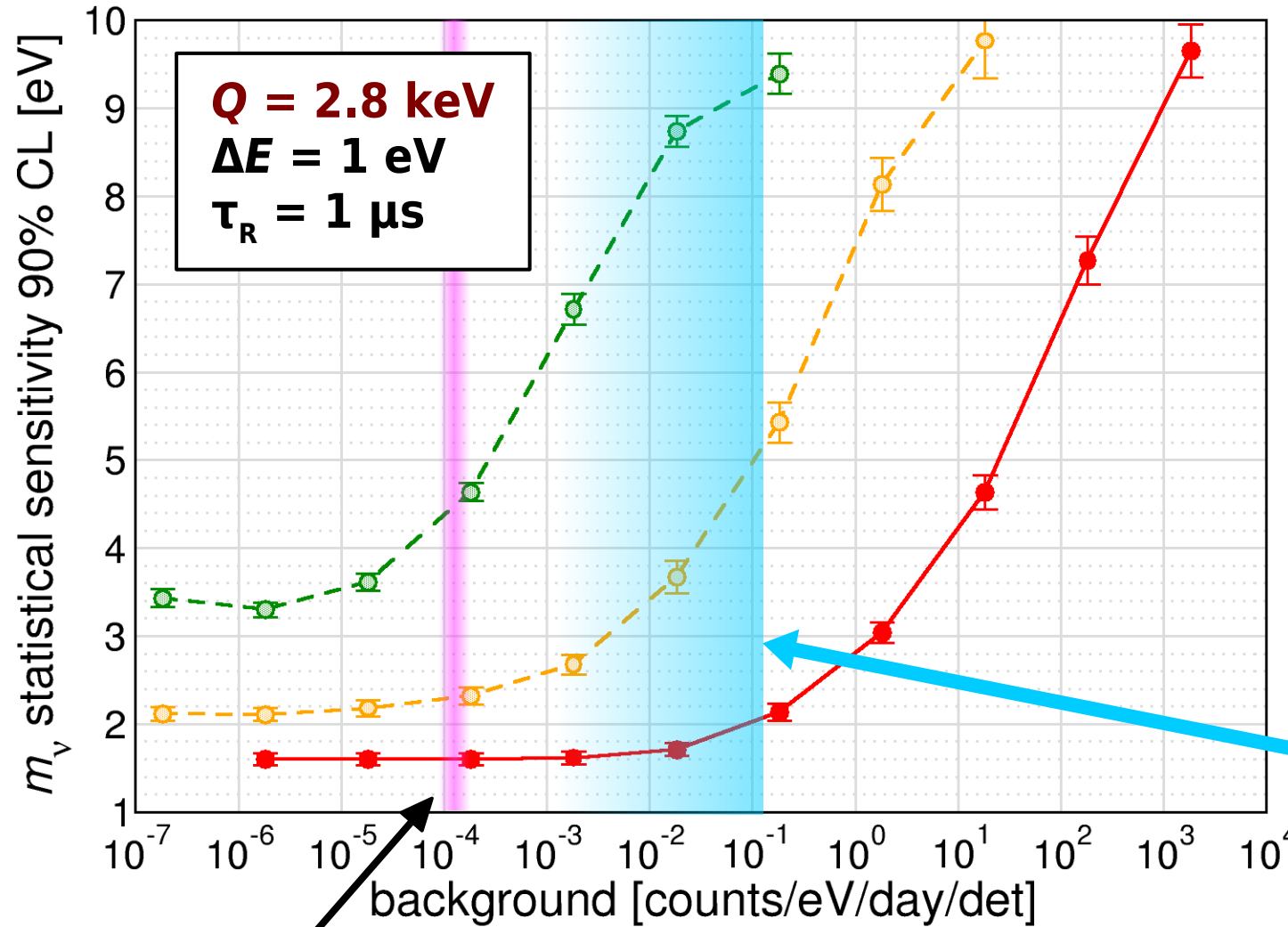
- **HOLMES** detectors ( $\approx 90$  day $\times$ det)
  - 200 $\times$ 200 $\times$ 2  $\mu\text{m}^3$  Au absorbers
  - vertical placement ( $\rightarrow \approx$ no RC?)
  - sea level no material selection, no shielding
  - **$bkg(4-10\text{keV}) \approx 1.1 \times 10^{-4}$  c/eV/day/det**
- Geant4 simulations are in progress
  - cosmic rays (only muons),  
 $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ , radon, environmental  $\gamma$ , ...
- on-site  $\gamma$  measurements with HPGe detector
- more background measurement (w/o  $^{163}\text{Ho}$ )



# Effect of flat background on sensitivity



exposure  $N_{\text{det}} t_M = 1000 \text{ det} \times 3 \text{ y}$



$Q = 2.8 \text{ keV}$   
 $\Delta E = 1 \text{ eV}$   
 $\tau_R = 1 \mu\text{s}$

$A_{\text{EC}} = 3 \text{ Bq/det}$   
 $f_{\text{pp}} = 3 \times 10^{-6}$

$A_{\text{EC}} = 30 \text{ Bq/det}$   
 $f_{\text{pp}} = 3 \times 10^{-5}$

$A_{\text{EC}} = 300 \text{ Bq/det}$   
 $f_{\text{pp}} = 3 \times 10^{-4}$

**HOLMES target**  
for  $A_{\text{EC}} = 300 \text{ Bq}$   
 $bkg < \approx 0.1 \text{ c/eV/day/det}$

**HOLMES preliminary background measurement**

# Detector time resolution



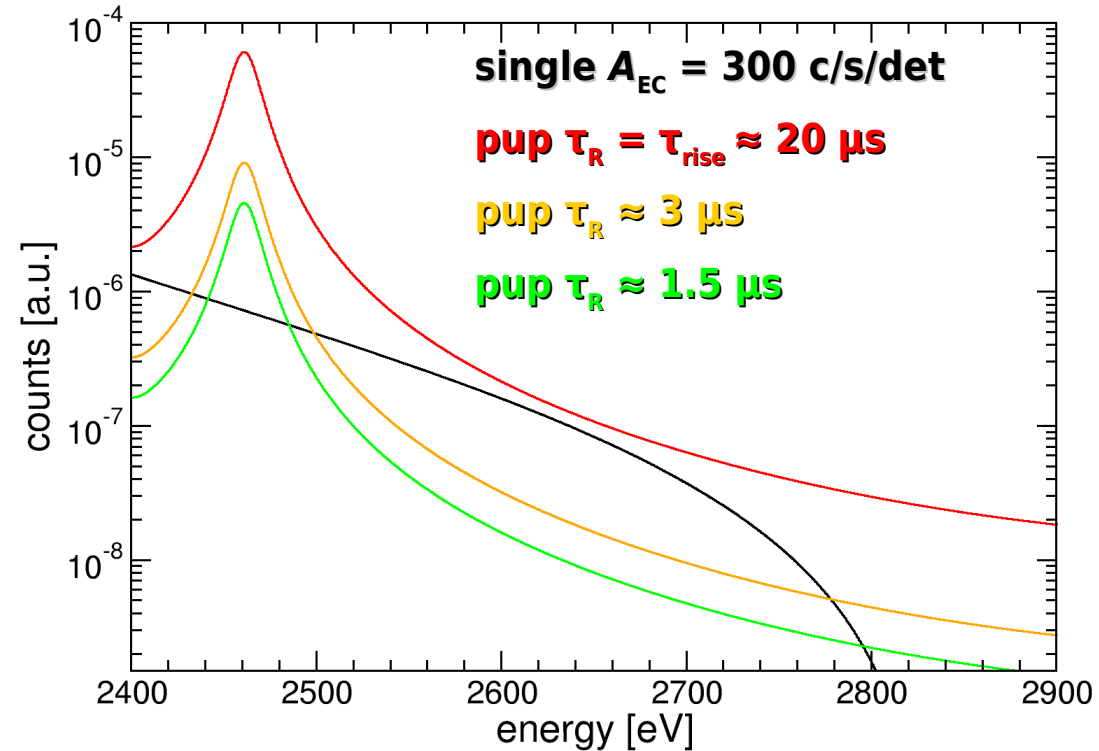
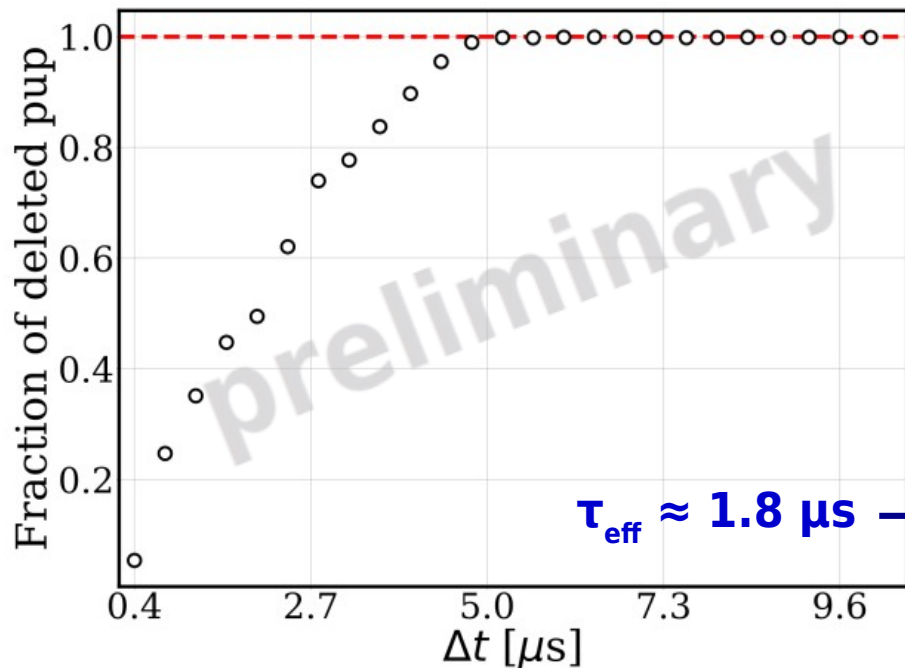
- for subsequent ( $\Delta t$ ) events with energy  $E_1$  and  $E_2$ : time resolution  $\tau_R = \tau_R(E_1, E_2)$

$$N_{pp}(E) = A_{EC} \int_0^{\infty} \tau_R(E, \epsilon) N_{EC}(\epsilon) N_{EC}(E - \epsilon) d\epsilon$$

- **Montecarlo pile-up spectrum simulations**

- ▷ event pairs with  $E_1 + E_2 \in [2.6 \text{ keV}, 2.9 \text{ keV}]$  (drawn from  $^{163}\text{Ho}$  spectrum),  $\Delta t \in [0, 10\mu\text{s}]$
- ▷ pulse shape and noise from NIST TES model, sampled with  $f_{\text{samp}}$ , record length, and  $n$  bit
- ▷  $f_{\text{samp}} = 0.5\text{MHz}$ ,  $\tau_{\text{rise}} \approx 20\mu\text{s}$

- **mycroft** a tool to discriminate **pile-up** based on PCA, SVD and multidimensional linear regression



sub-sample time resolution

# HOLMES status summary

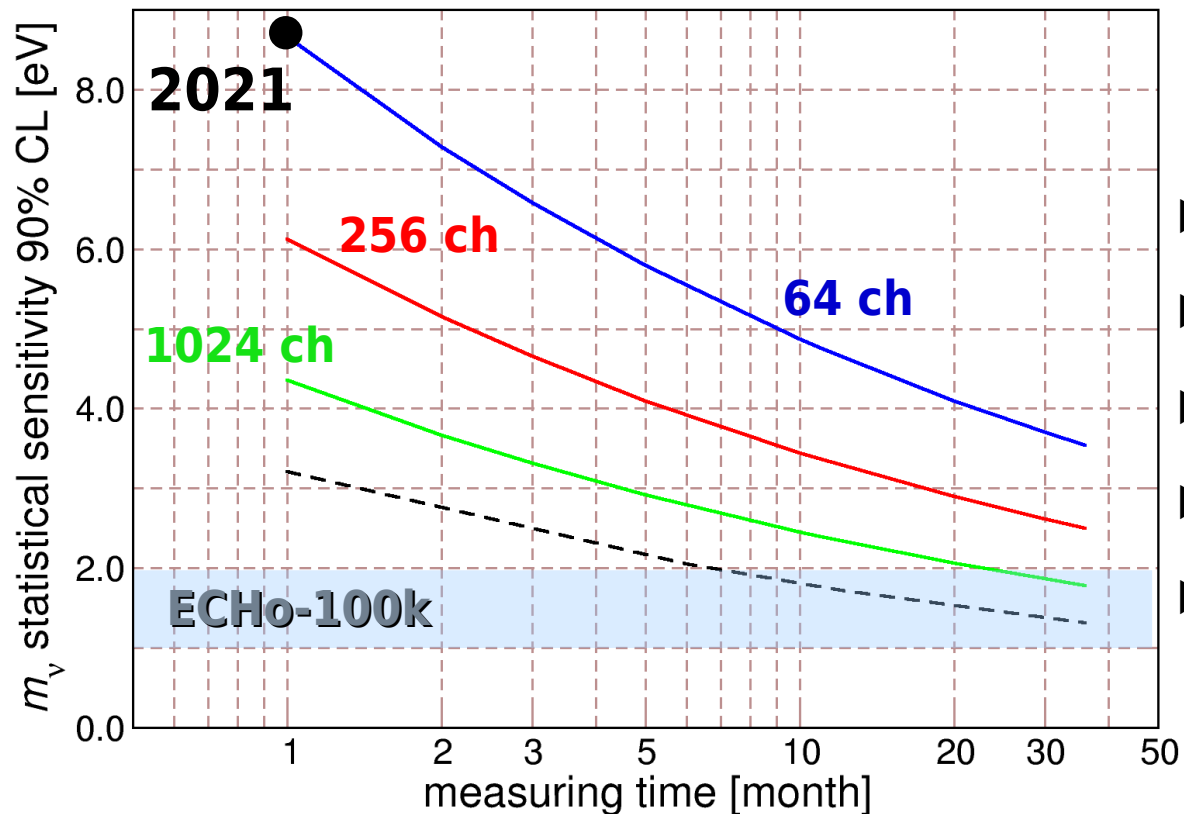


- ✓ purified  $^{163}\text{Ho}$  to ion implant 300Bq in  $\approx 300$  detectors (+ tests)
- ✓ ion implanting system
  - ✓ ion source and magnetic mass separation
  - ✓ ion source optimization with Ho → 2020
  - ✓ implanter/focusing/target chamber integration for high dose ion implantation → 2021
- ✓ single TES pixel suitable for HOLMES
- ✓ 64 pixel array fabrication
  - ✓ first wafer produced by NIST → 22 arrays
  - ✓ KOH backside etching (R&D on DRIE in progress)
  - ✓ target chamber for Au co-deposition
  - ✓ full array fabrication without ion implantation
  - ✓ array fabrication with implanted  $^{163}\text{Ho}$  → low dose in 2020, high dose in 2021
- ✓ MUX & DAQ
  - ✓ SDR firmware for 32 channels
  - ✓ HW for 64 channels (mux chip, HEMT/coax, IF board, ROACH2)
- ✓ analysis tools

# HOLMES short and mid term program (2020-2023)



- optimize  $^{\text{nat}}\text{Ho}$  ion beam with different targets
- first low dose  $^{163}\text{Ho}$  implantation ( $\approx 1$  Bq) in array (w/o focusing) → 2020
  - ▶ 1 month data taking can provide a  $m_\nu$  statistical sensitivity  $\approx 10$  eV
- focusing stage and target chamber integration
- optimize high dose  $^{163}\text{Ho}$  implantation ( $\approx 300$  Bq?) → 2021
  - ▶ start high statistics measurement with 64 channels → 2021



## 2021 → 2023 program

- ▶ increase number of deployed arrays
- ▶ end-point measurement  $\approx 1$  eV sensitivity
- ▶ compare HOLMES vs. ECHo (high vs. low activity)
- ▶ check shape and enhancements above M1 peak
- ▶ high statistics systematic effects analysis

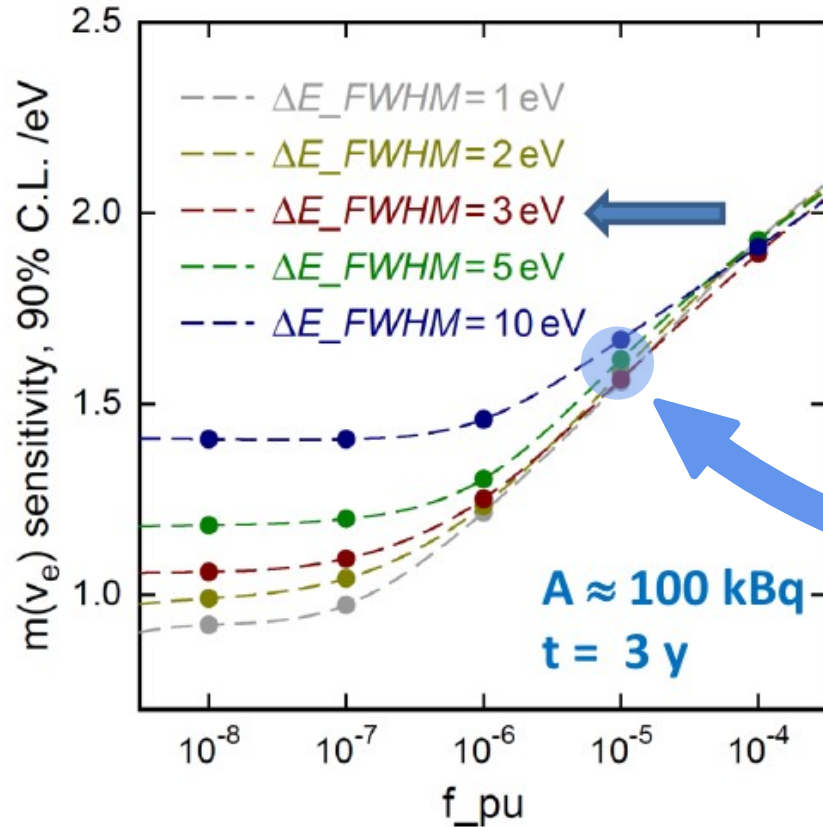
# ECHO-100k vs. HOLMES (Montecarlo simulations)



$$N_{\text{det}} t_M = 1000 \text{ det} \times 3 \text{ y}$$

$$A_{\text{EC}} = 300 \text{ c/s/det}$$

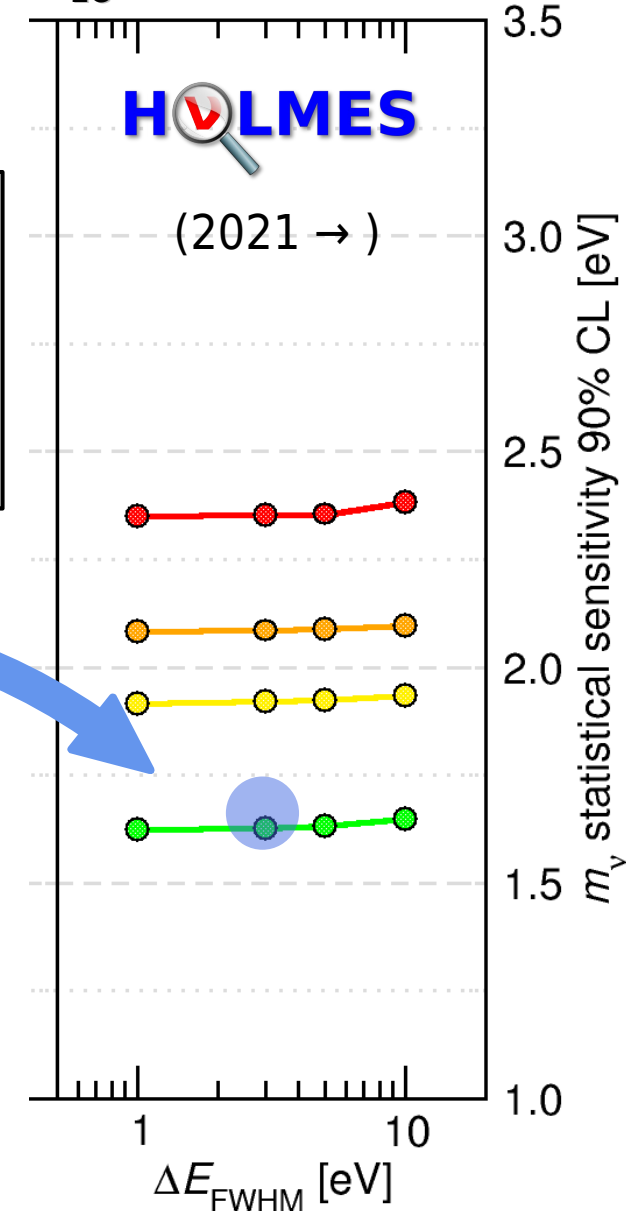
ECHO-100k (2018 – 2021)



$m(\nu_e) < 1.5 \text{ eV}$  90% C.L.

Activity per pixel 10 Bq  
 Number of detectors 12000  
 Readout: microwave SQUID multiplexing

possibly similar  
 statistical  
 sensitivity ( $\approx 2 \text{ eV}$ )  
 by 2022?



$$f_{pp} \approx 3 \times 10^{-3}$$

$$f_{pp} \approx 1.5 \times 10^{-3}$$

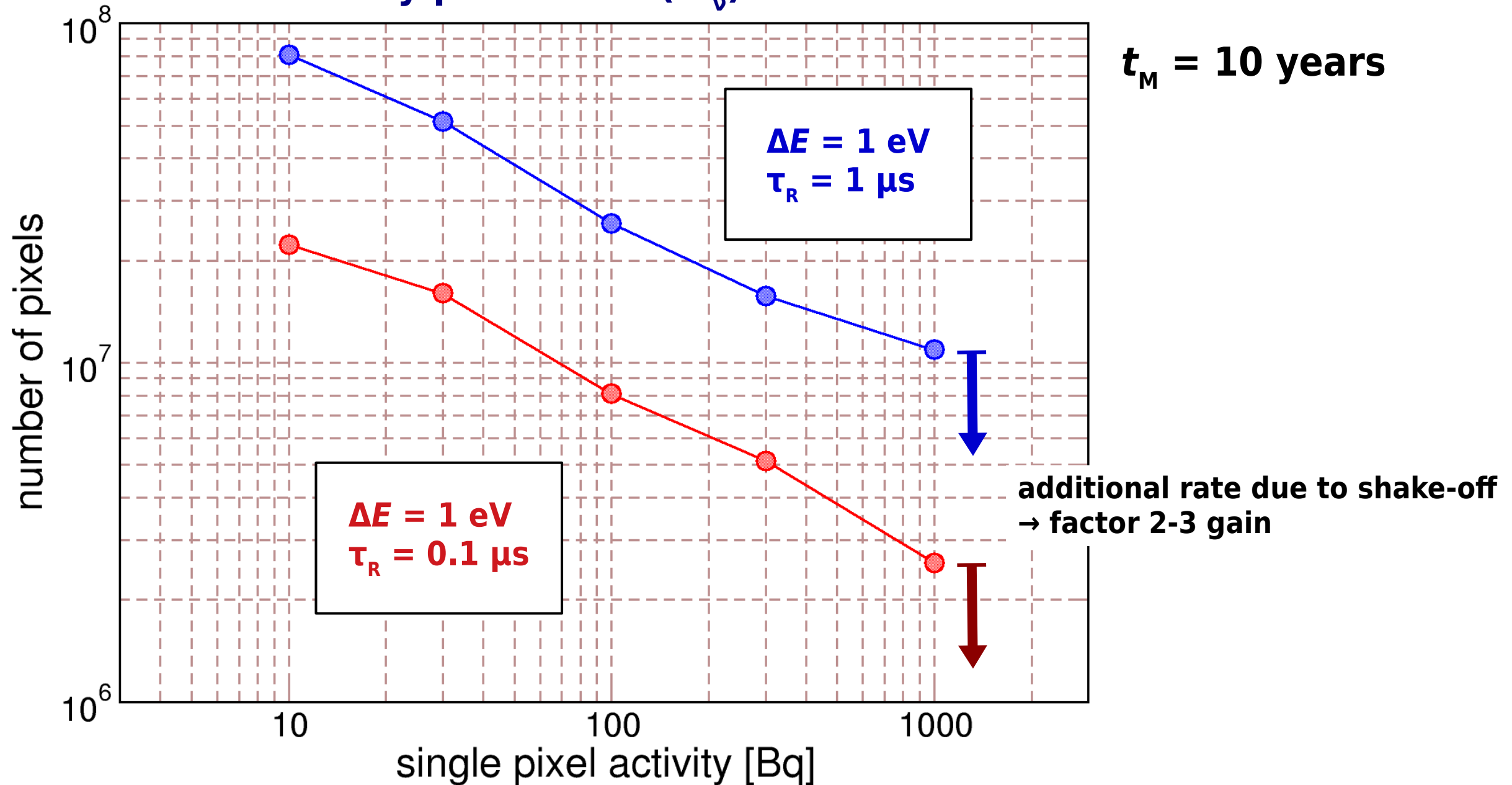
$$f_{pp} \approx 1 \times 10^{-3}$$

$$f_{pp} \approx 3 \times 10^{-4}$$

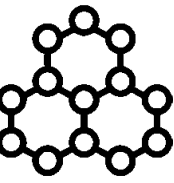
# From HOLMES to a 0.1eV experiment



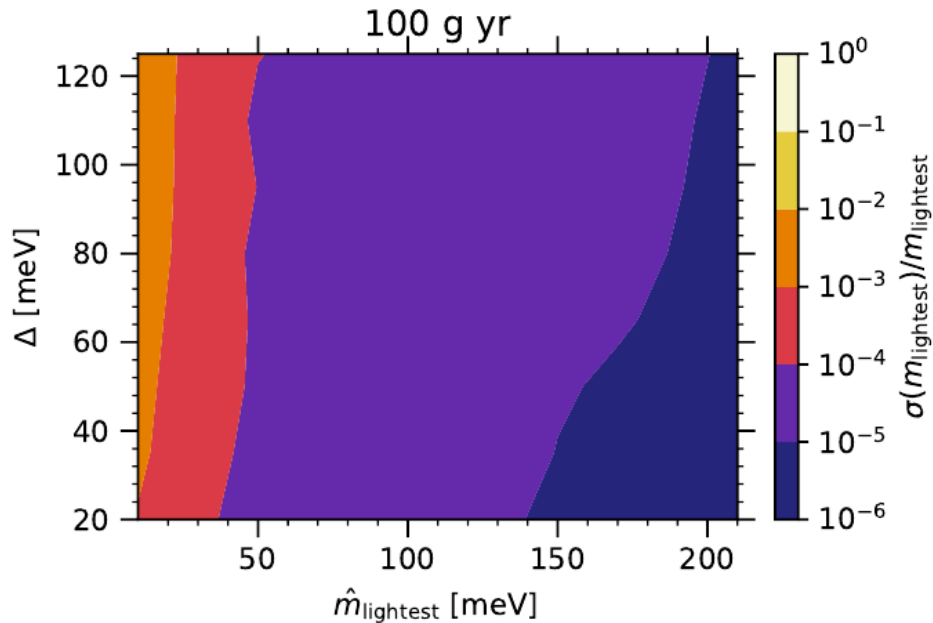
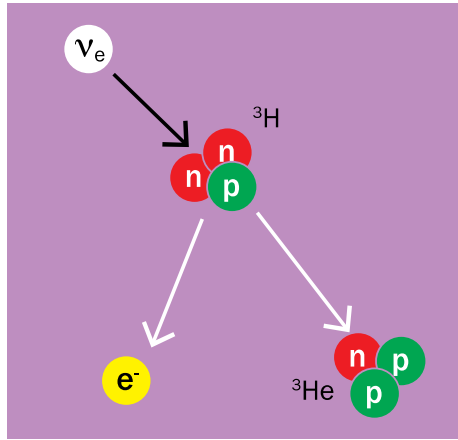
how many pixels for  $\Sigma(m_\nu) \leq 0.1$  eV ?



# PTOLEMY project

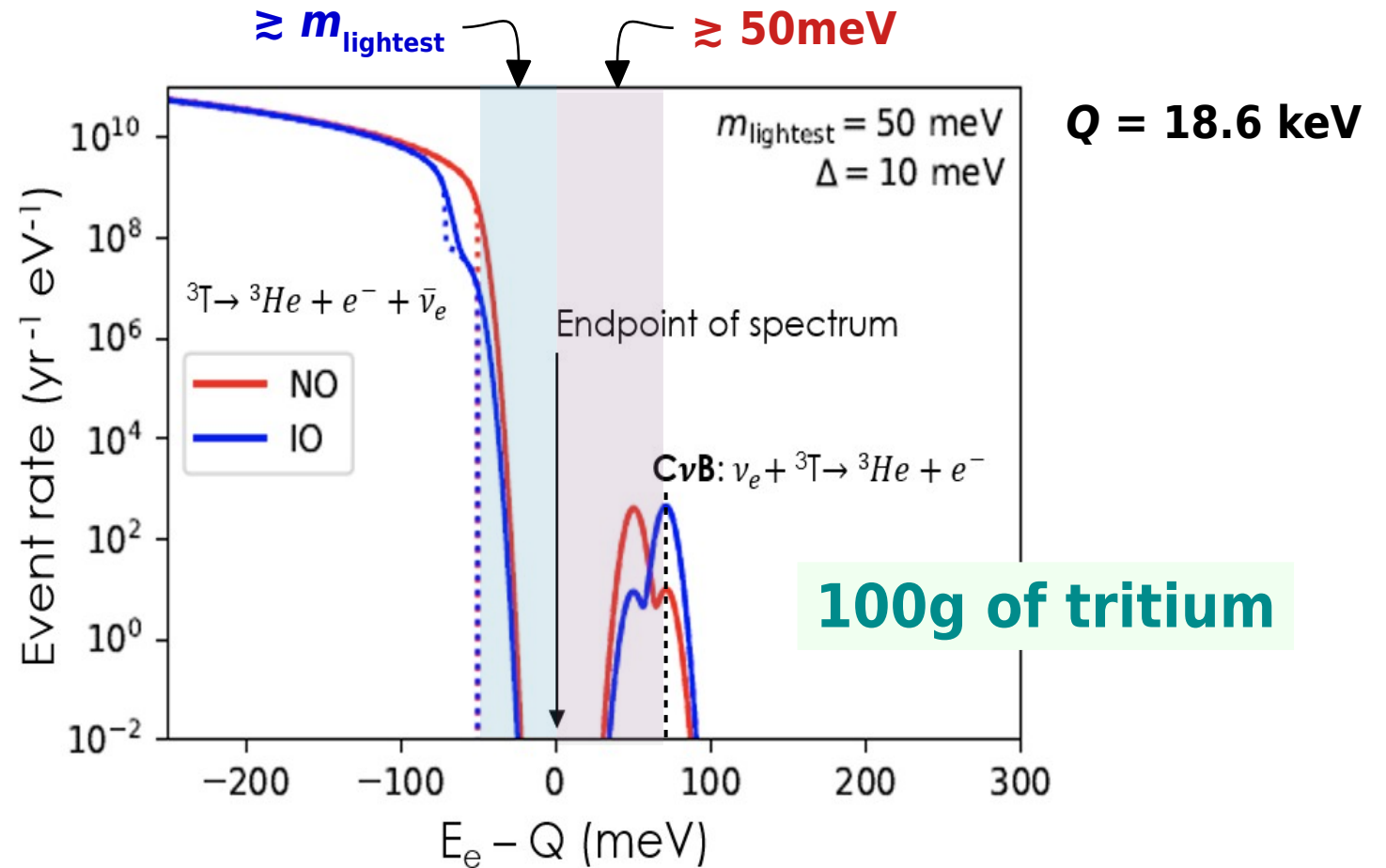


- **PonTecorvo Observatory for Light, Early-universe, Massive-neutrino Yield**
  - PTOLEMY concept: Relic Neutrino Capture on Tritium Nuclei
  - S. Weinberg in 1962 [Phys. Rev. 128:3, 1457] and Cocco, Mangano, Messina in 2007 [JCAP06(2007)015]
- <https://ptolemy.lngs.infn.it/>



M.G. Betti et al., JCAP 1907 (2019).

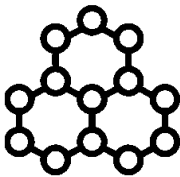
from oscillations



→ potentially a very sensitive neutrino mass experiment



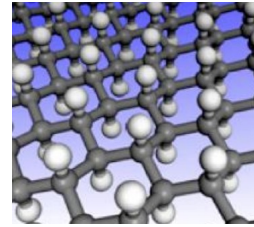
# PTOLEMY demonstrator: PTOLEMY-0



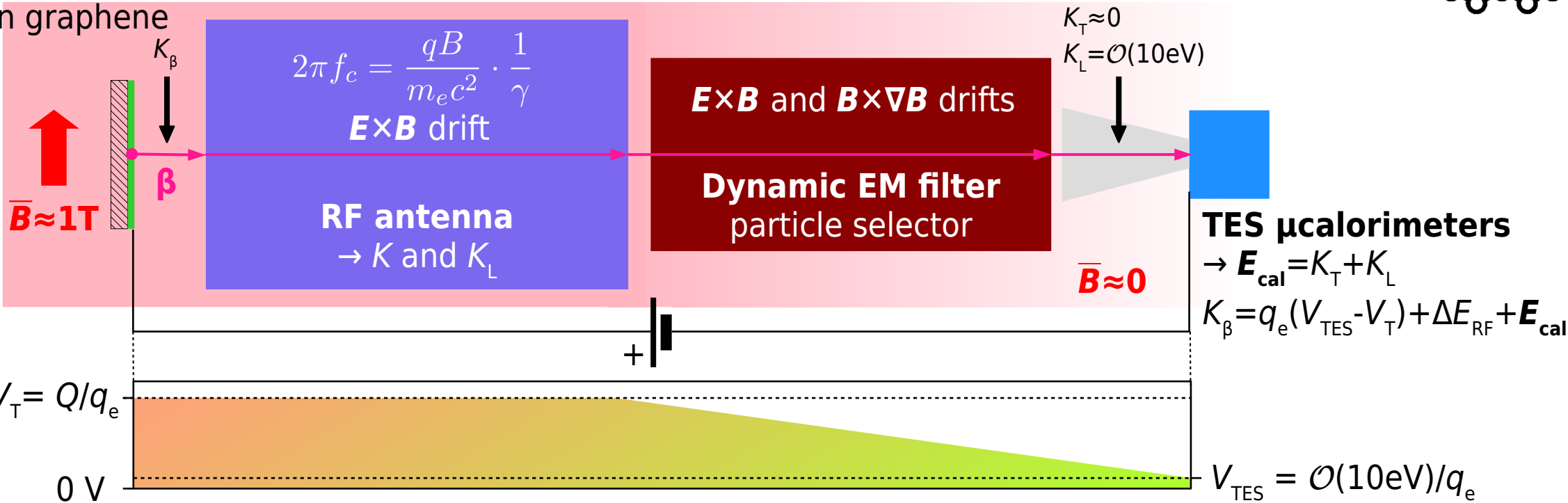
M.G. Betti et al., Prog. Part. Nucl. Phys, 106 (2019)

monoatomic T

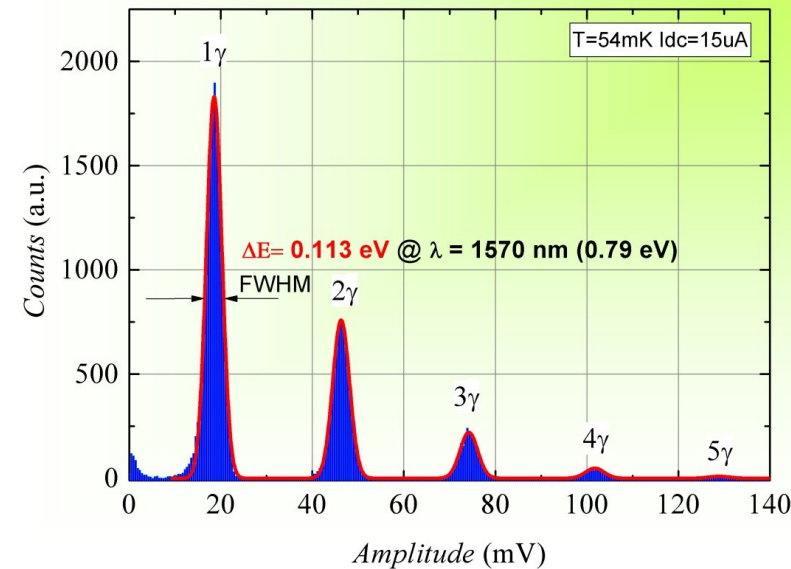
on graphene



1 μg T monolayer on graphene → 370MBq



- Electrons from weakly-bound tritium originate from a cold target surface.
- Electrons drift through the RF Antenna region  
→ electron momentum components are measured to  $\mathcal{O}(eV)$  resolution by Cyclotron Radiation Electron Spectroscopy in 1T
- EM Filter electrodes are set  $\sim 1$  msec before electrons enter
- Kinetic energy of electrons drained as they climb a potential under  $E \times B$  and  $B \times \nabla B$  drifts.
- Electrons with energy  $> q_e (V_{TES} - V_T) = Q - \mathcal{O}(10eV)$  in low B field region are transported into TES μcalorimeters with  $\Delta E \approx 0.05$  eV

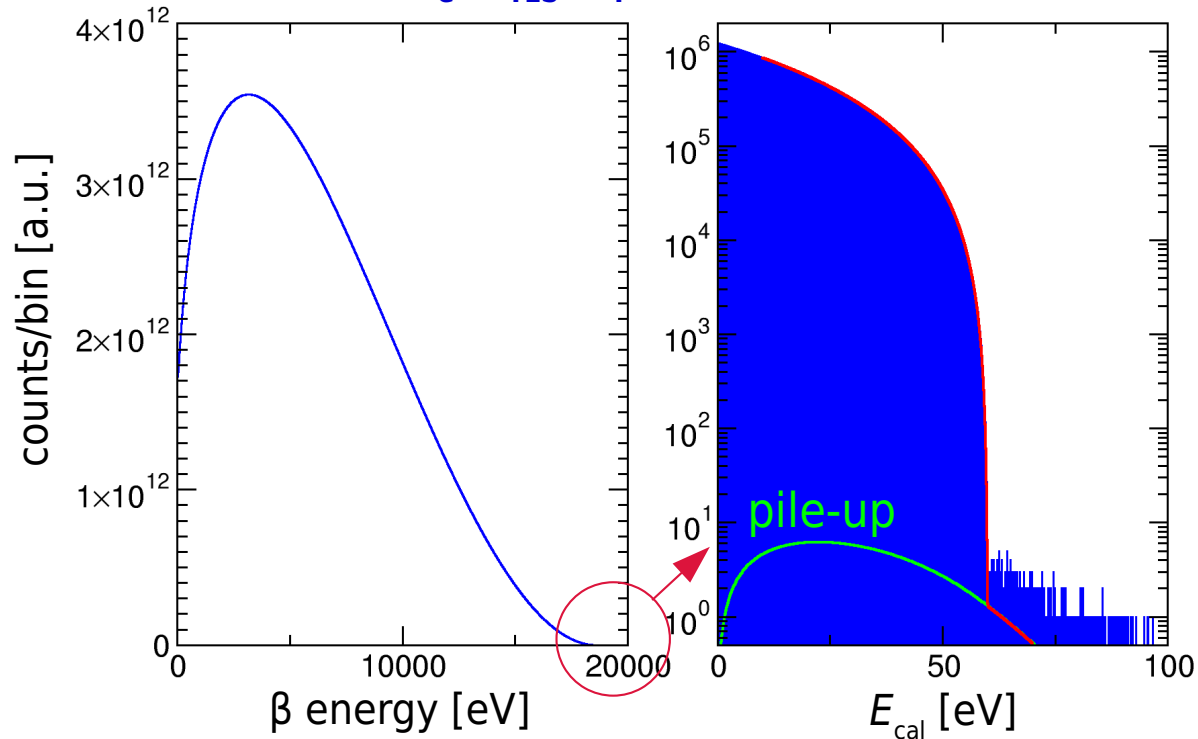


L. Lolli et al. Appl. Phys. Lett. 103, 041107 (2013)

# PTOLEMY-0 and neutrino mass sensitivity



$$q_e(V_{\text{TES}} - V_T) = Q - 60 \text{ eV}$$



- 1  $\mu\text{g}$  tritium  $\rightarrow$  370MBq
- 3 years measurement  $\rightarrow N_{\text{ev}} = 3.5 \times 10^{16}$  decays
- 18  $\beta$ /s on  $\mu$ calorimeters  $\rightarrow N'_{\text{ev}} = 1.8 \times 10^9$  counts
- 32 pixel array,  $\tau_R = 10 \mu\text{s} \rightarrow f_{\text{pp}} \approx 10^{-5}$
- $\Delta E_{\text{FWHM}} = 0.1 \text{ eV}$

## Montecarlo simulation

