

# *QCD and Baryon Polarization*

*Lecture 6:*

## *Hyperon and Heavy Flavor Baryon Polarization II*

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# *How to measure polarization of unstable baryons—basic concepts*

- Look at *angular distribution* of weak decay products
- Angular distribution of the decay products depends on *both* the original baryon polarization *and* the parity-violating decay asymmetry for the particular decay channel
- $\Lambda^0 \rightarrow p\pi^-$  two-body decay has 64% branching fraction and large decay asymmetry parameter  $\alpha = 0.750 \pm 0.009 \pm 0.004$  from PDG 2019
  - Was  $\alpha = 0.642 \pm 0.013$  in PDG 2018! New measurements by BES III and CLAS have shifted the value significantly! In principle would change values of extracted  $\Lambda^0$  polarization in earlier publications
  - Possible to go back and re-evaluate, e.g. 1976 discovery paper used  $\alpha = 0.647 \pm 0.013$



# *How to measure polarization of unstable baryons—basic concepts*

- Two-body decay of  $\Lambda^0$ : In the rest frame of the  $\Lambda^0$ , the proton angular distribution is

$$\frac{dN}{d\Omega} \propto (1 + \alpha P \cos \theta)$$

where  $\theta$  is the angle between the proton momentum and the  $\Lambda^0$  spin



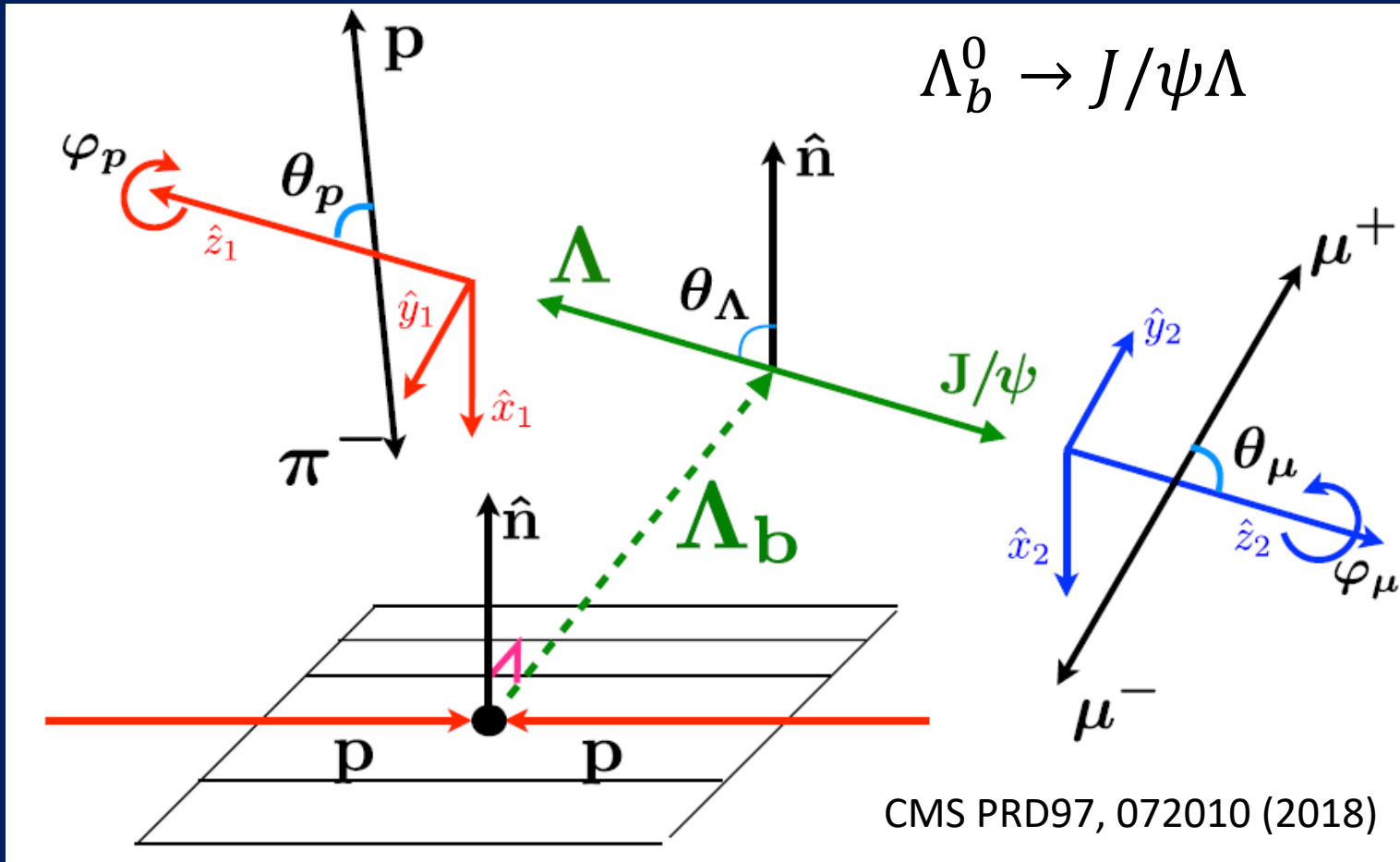
# *The more particles in the final state, the more complicated the angular analysis!*

- “The kinematics of the  $\Lambda_b^0 \rightarrow J/\psi\Lambda$  decay, including the subsequent decays of the  $J/\psi$  meson and the  $\Lambda$  baryon, can be parameterized by five decay angles and a unit vector in the direction transverse to the production plane,  $\hat{n}$ , against which the polarization is measured.” (LHCb, arXiv:2004.10563)
  - See also Blake and Kreps, “Angular distribution of polarized  $\Lambda_b^0$  baryons decaying to  $\Lambda l^+ l^-$ ,” JHEP 11, 138 (2017)
- Need to consider each decay mode separately!

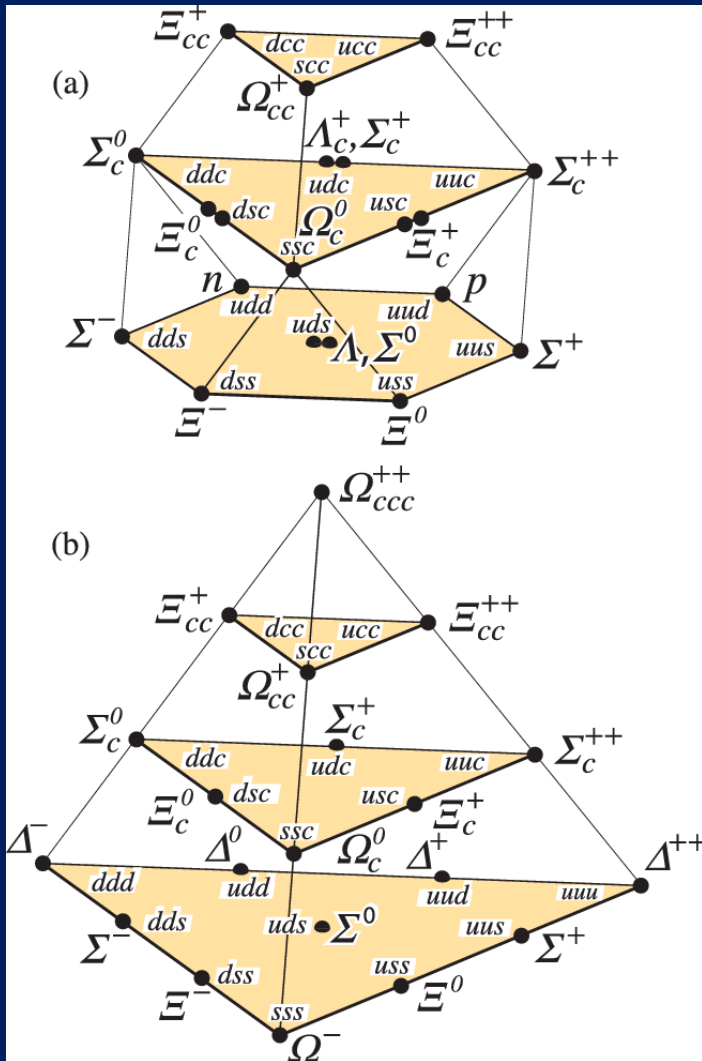
$$\hat{n} = \frac{\vec{p}_{\text{beam}} \times \vec{p}_{\Lambda_b}}{|\vec{p}_{\text{beam}} \times \vec{p}_{\Lambda_b}|}$$



*The more particles in the final state, the more complicated the angular analysis!*



# Charmed baryons



## Nomenclature:

- 1c plus 2u, ud, or 2d -  $\Lambda_c^+$  (isospin 0) or charmed Sigmas (isospin 1)
- 1c, 1s plus 1u or 1d – charmed Xis (isospin 1/2)
- 1c, 2s -  $\Omega_c^0$  (isospin 0)
- Also have double charmed Xis and Omega, triple charmed Omega

SU(4) baryons (can contain u,d,s,c)  
 J. Phys. G46, 065014 (2019),  
 adapted from PDG

# Charmed baryons

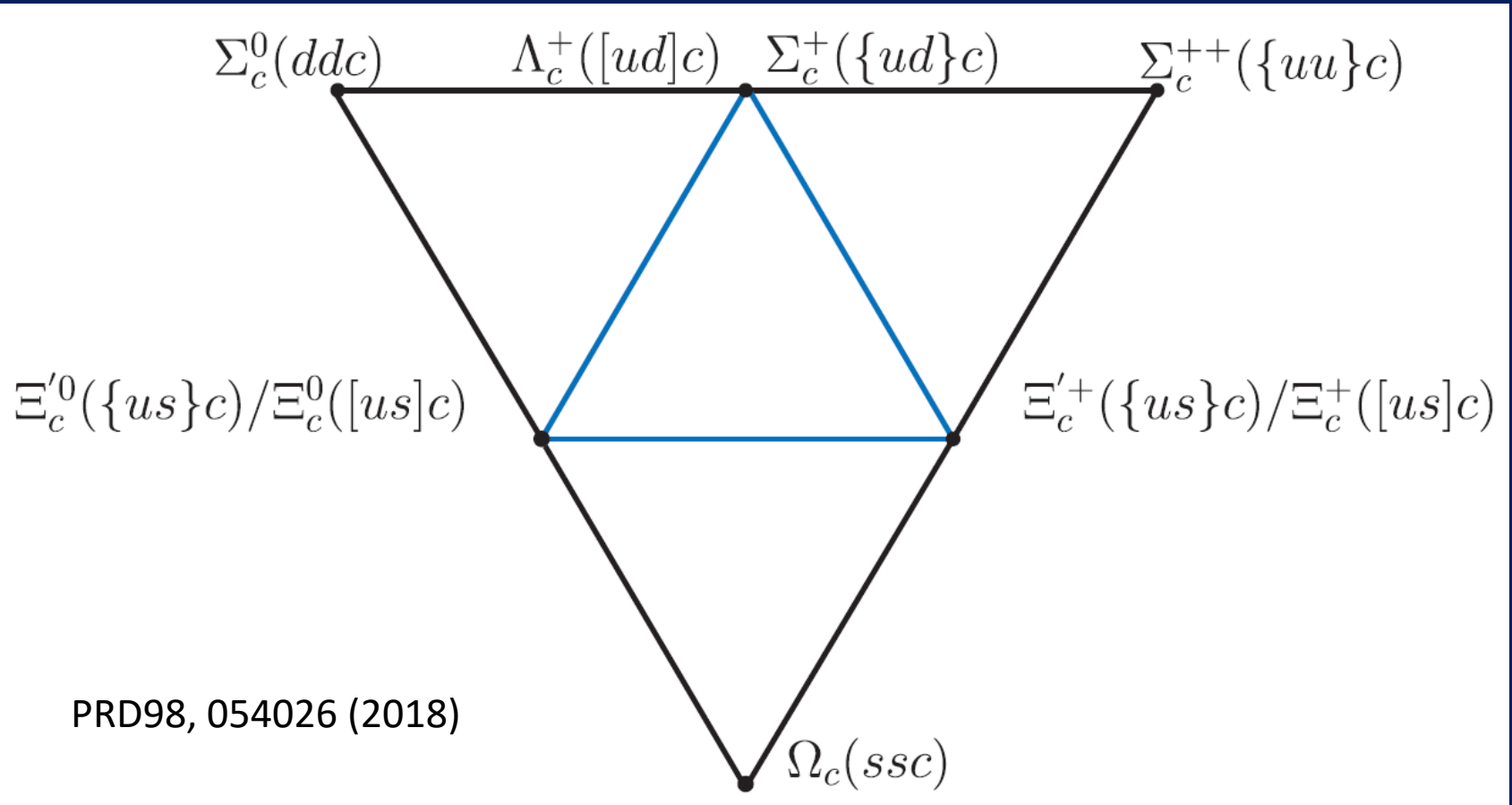


FIG. 1. The blue and black triangles denote the heavy baryons in the  $\bar{3}_f$  and the  $6_f$  flavor representations, respectively. [...] and {...} denotes the two light quarks are antisymmetric and symmetric in the flavor space, respectively.

# Charmed baryons

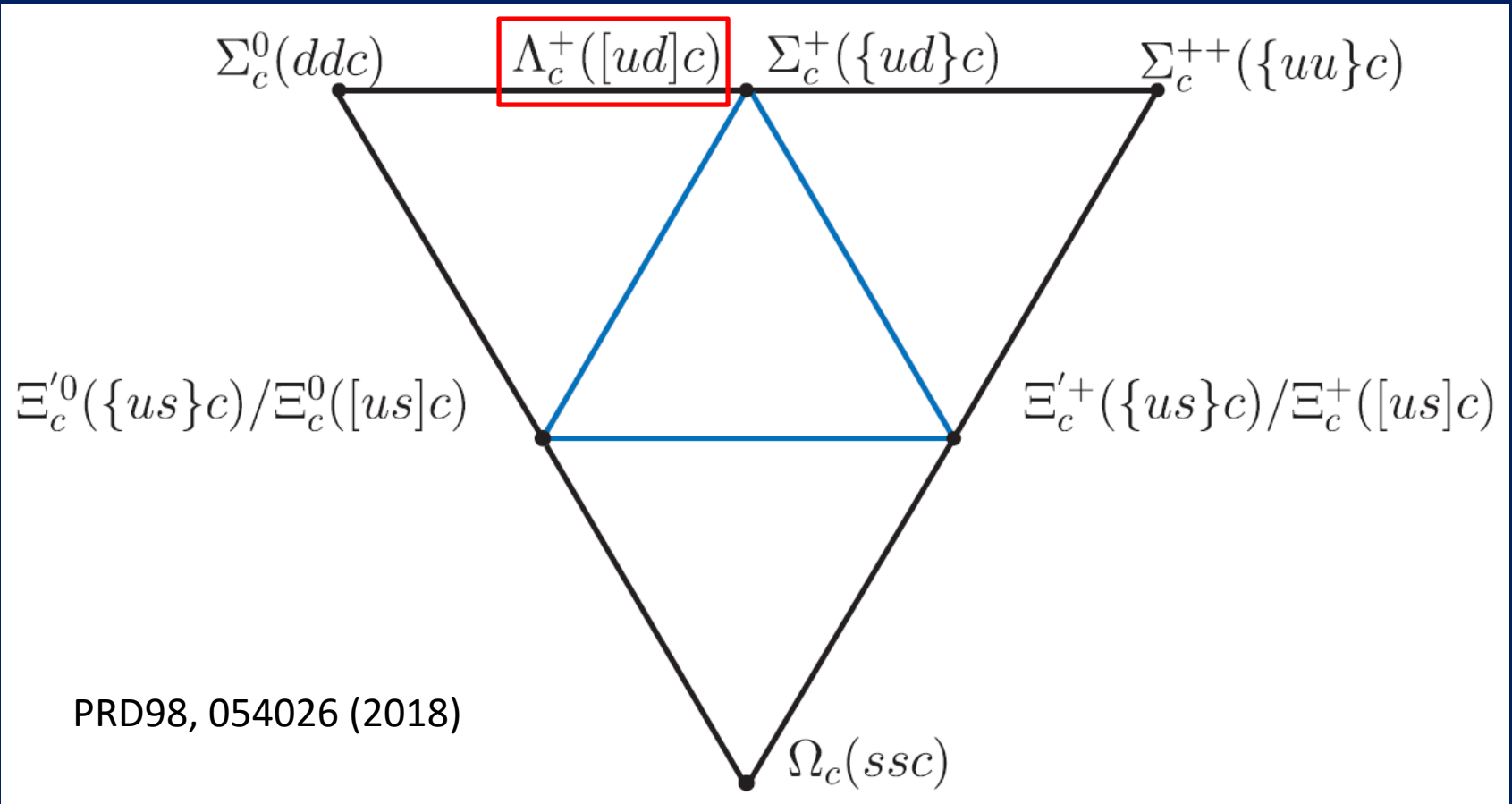
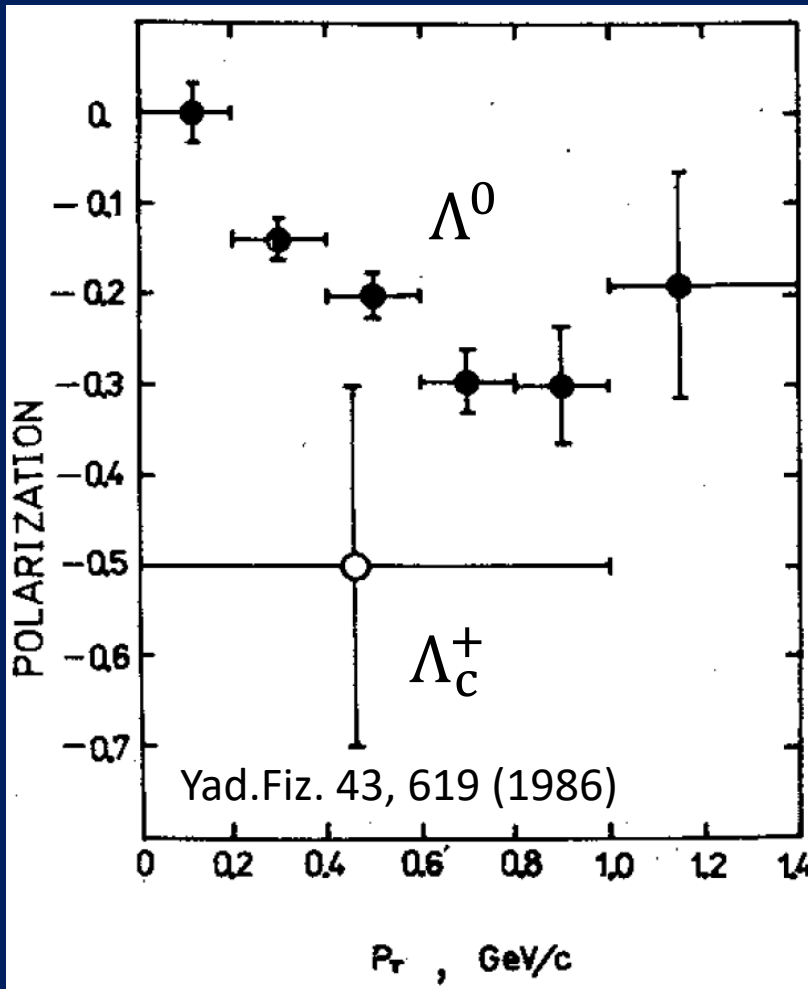


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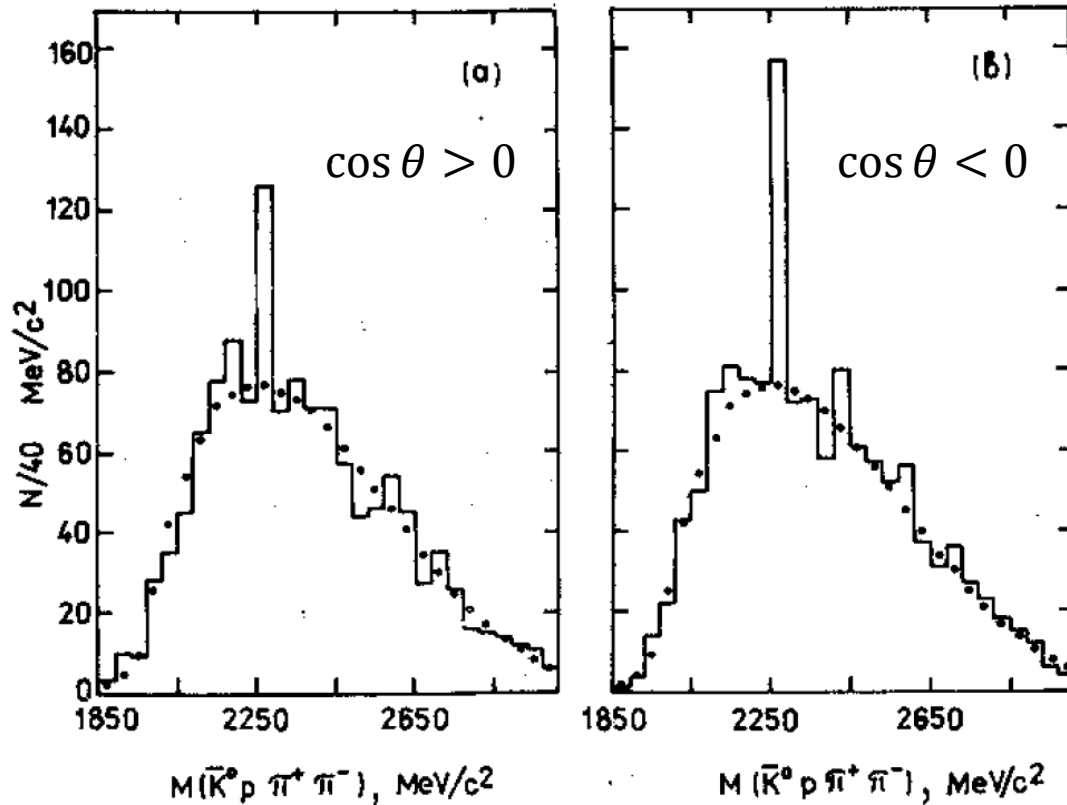


# $\Lambda_c^+$ polarization measurements



- BIS-2 experiment at Serpukhov
  - 40-70 GeV neutron beam on carbon
  - 57  $\Lambda_c^+ \rightarrow \Lambda^0 \pi^+ \pi^+ \pi^-$
  - 130  $\Lambda_c^+ \rightarrow \bar{K}^0 p \pi^+ \pi^-$  ( $\bar{K}^0$  should be  $K_S^0$ ??)
- Found 0.5  $\pm$  0.2 transverse polarization, same sign as for  $\Lambda^0$  polarization

# $\Lambda_c^+ \rightarrow \bar{K}^0 p \pi^+ \pi^-$ : Proton direction



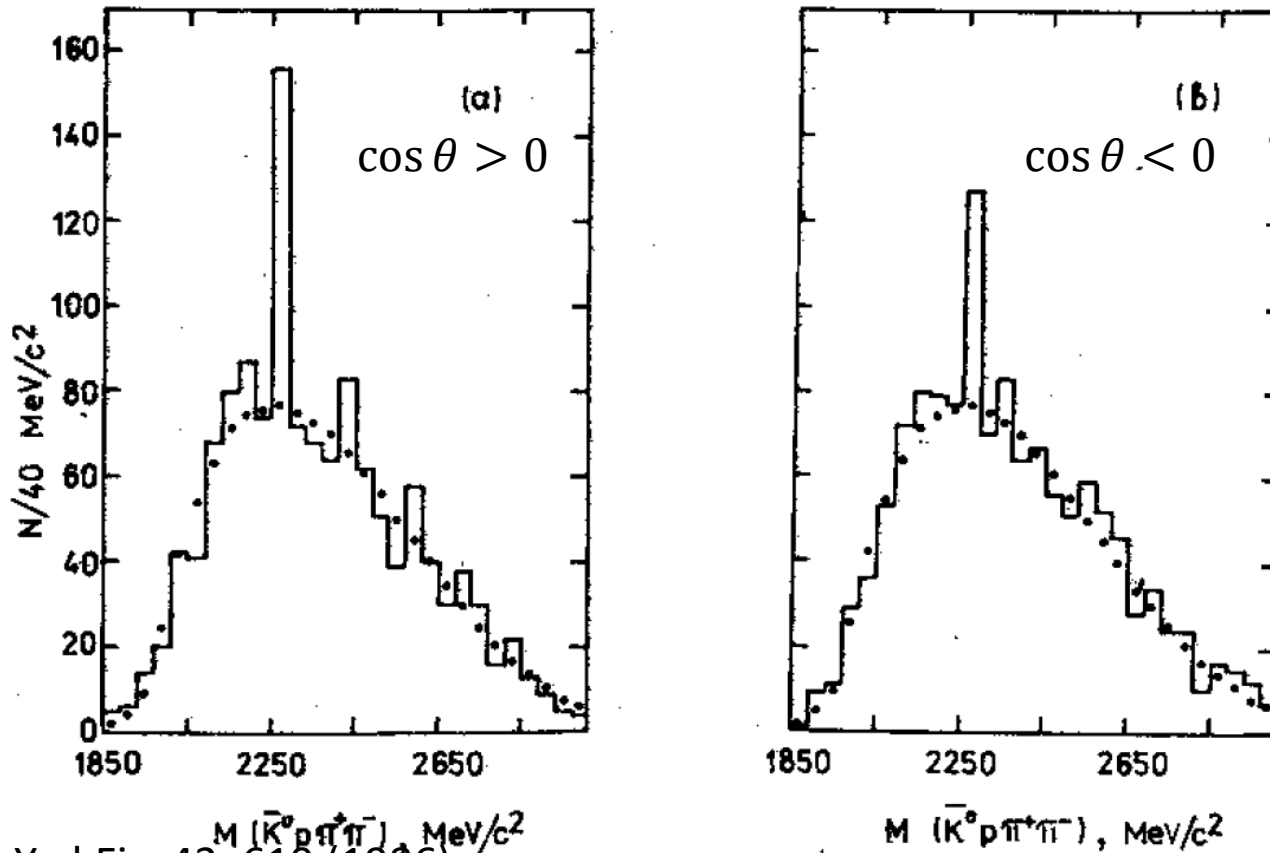
BIS-2  
experiment,  
neutron beam.

More protons go  
"down"

Yad.Fiz. 43, 619 (1986)

Fig. 1. The invariant  $\bar{K}^0 p \pi^+ \pi^-$  mass spectra obtained for events with the proton emitted in the "up" (a) and "down" (b) directions. The dotted curves represent the fit to the spectra by the background function.

# $\Lambda_c^+ \rightarrow \bar{K}^0 p \pi^+ \pi^-$ : Kaon direction



Yad.Fiz. 43, 619 (1986)

Fig. 2. The invariant  $\bar{K}^0 p \pi^+ \pi^-$  mass spectra obtained for events with the  $\bar{K}^0$  emitted in the "up" (a) and "down" (b) directions. The dotted curves represent the fit to the spectra by the background function.

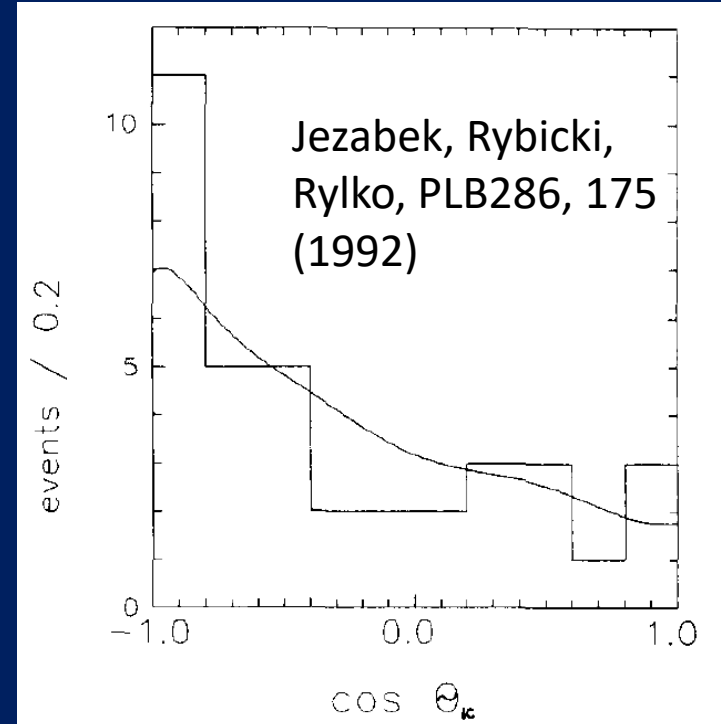
BIS-2  
experiment,  
neutron beam

More kaons go  
"up"

# $\Lambda_c^+$ polarization measurements

- CERN NA32 (ACCOR) data
  - 230 GeV  $\pi^-$  beam on Cu
  - 160  $\Lambda_c^+ \rightarrow pK^-\pi^+$
- Claimed first observation of  $\Lambda_c^+$  polarization in hadroproduction!
  - Disconnect between physics in Soviet Union and Europe... (?)
- Kaon preferentially emitted in direction opposite to normal of production plane
- Transverse production polarization of  $\Lambda_c^+$  observed
  - Larger magnitude with larger  $p_T$

Product of (negative) decay asymmetry parameter and polarization. (Opposite sign convention from others)

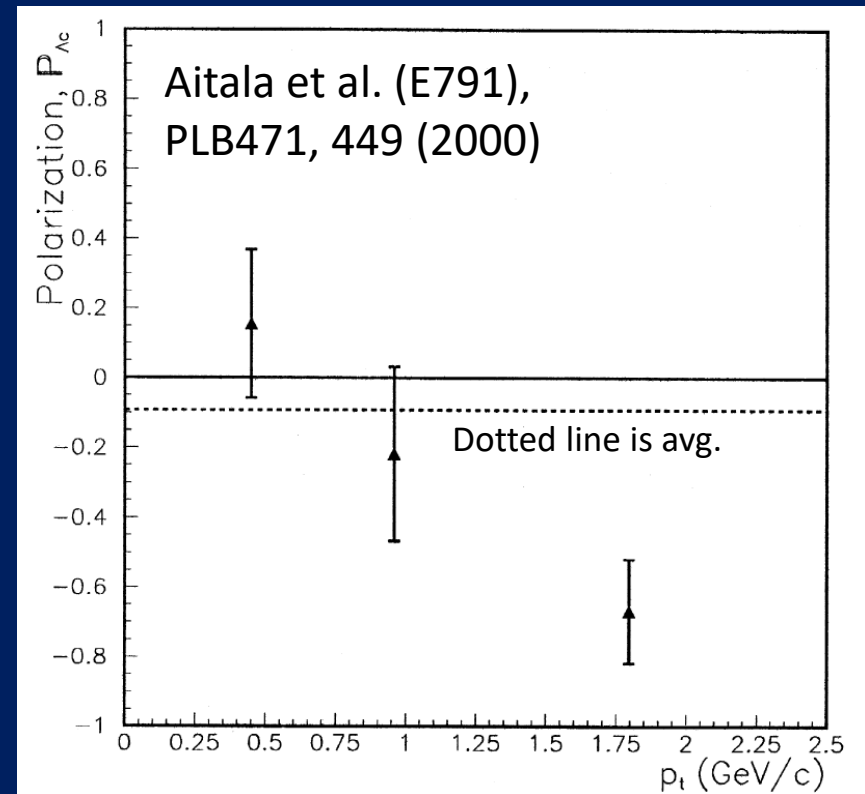


$p_T$ cut (GeV/c)	$\langle p_T \rangle$ (GeV/c)	Number of events	$d_1$ moment ( $\cos \theta_K$ distribution)	$\chi^2$
all $p_T$	0.90	121	$-0.22 \pm 0.15$	9.8
$p_T < 0.7$	0.44	51	$0.04 \pm 0.27$	9.5
$p_T > 0.7$	1.24	70	$-0.36 \pm 0.18$	5.9
$p_T < 1.1$	0.62	84	$0.08 \pm 0.20$	15.9
$p_T > 1.1$	1.54	37	$-0.65 \pm 0.22$	6.3



# $\Lambda_c^+$ polarization measurements

- Fermilab E791
  - 500 GeV  $\pi^-$  beam on Pt and C
  - 946  $\Lambda_c^+ \rightarrow pK^-\pi^+$
- First 5-D resonant amplitude analysis
  - However, incorrect amplitude model employed! D. Marangotto, arXiv:2004.12318
- Measured transverse polarization
  - Sign in agreement with NA32 analysis and model by G. Goldstein
  - But some concern about reliability of results given incorrect amplitude model...



# $\Lambda_c^+$ polarization measurements

- Fermilab E831
  - $\sim 180$  GeV  $\gamma$  beam on BeO – photon beam!
  - $\Lambda_c^+ \rightarrow pK_S^0$  and  $\Lambda_c^+ \rightarrow \Lambda^0\pi^+$  and corresponding  $\bar{\Lambda}_c^+$  modes
- Polarization consistent with zero, but almost  $2\sigma$  positive for  $\bar{\Lambda}_c^+$
- Product of  $\alpha_{\Lambda_c} P$  given for both decay modes, but  $P$  only extracted for  $\Lambda_c^+ \rightarrow \Lambda^0\pi^+$  because need more study of decay asymmetry parameter for other mode
- Only preliminary results in conference proceedings: Carrillo, Castromonte, Oropeza, AIP Conf. Proc. 1026, 303 (2008)



# $\Lambda_c^+$ polarization measurements

- Fermilab E831 – Photon beam!
- AIP Conf. Proc. 1026, 303 (2008)

**TABLE 1.** Preliminary  $\alpha_{\Lambda_c}P$  values for both decay modes.

	$\alpha_{\Lambda_c}P$ -Particles	$\alpha_{\Lambda_c}P$ -Antiparticles
$\Lambda_0\pi$	$0.030 \pm 0.131$	$0.278 \pm 0.139$
$K_s p$	$0.061 \pm 0.096$	$0.276 \pm 0.099$

**TABLE 2.** Preliminary results for  $\Lambda_c \rightarrow \Lambda_0\pi$  polarization.

		$P_{\Lambda_c}$ -Particles	$P_{\Lambda_c}$ -Antiparticles
$\alpha_{PDG}^+$	$= -0.98 \pm 0.19$	$-0.0308 \pm 0.1339$	$0.2839 \pm 0.1521$
$\alpha_{FOCUS}^+$	$= -0.78 \pm 0.16 \pm 0.19$	$-0.0386 \pm 0.1679 \pm 0.1106$	$0.3566 \pm 0.1926 \pm 0.1485$



# $\Lambda_c^+$ polarization: Status

- $\Lambda_c^+$  polarization measured in four independent experiments:
  - Serpukhov BIS-2 (neutron beam)
  - CERN NA 32 ( $\pi^-$  beam)
  - Fermilab E791 ( $\pi^-$  beam)
  - Fermilab E831 (photon beam)
- All results suggest negative polarization, but with relatively large uncertainties
- Need new measurements!





# $\Lambda_b^0$ polarization measurements

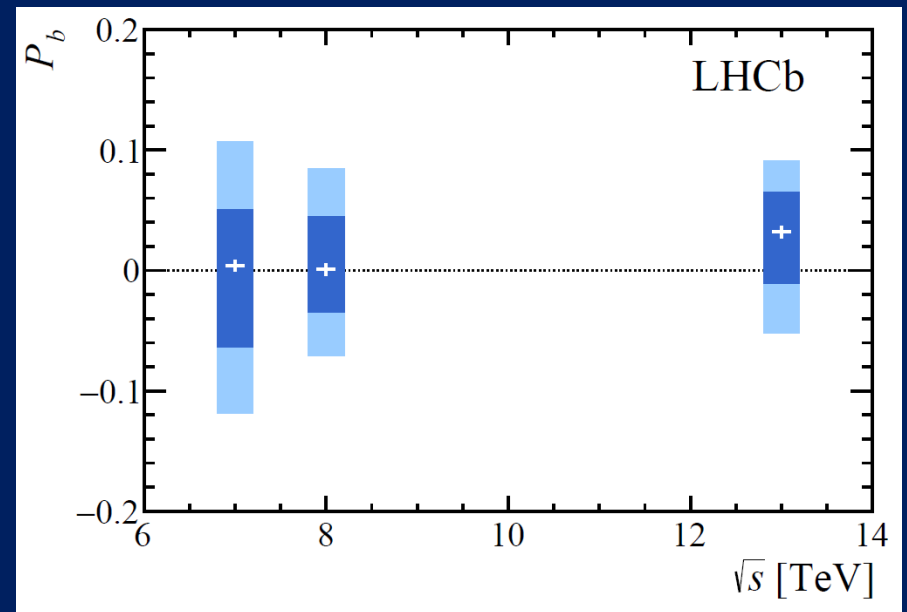
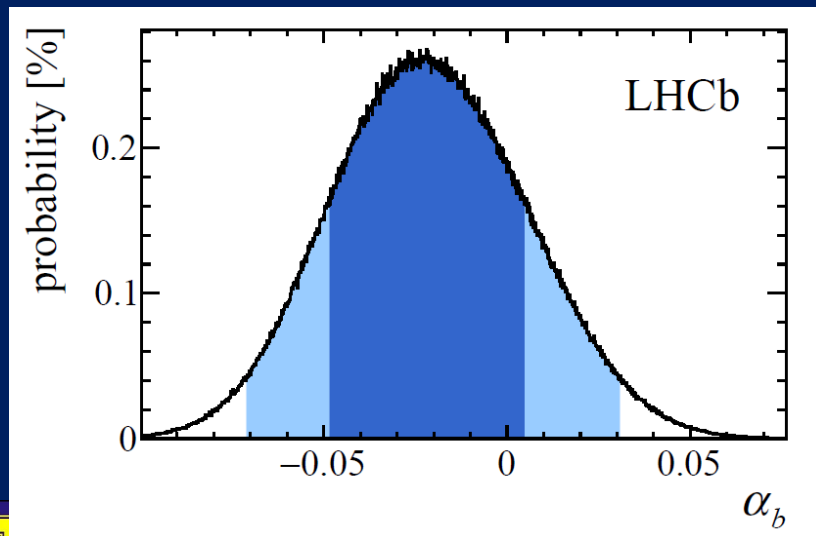
- LHCb 7 TeV p+p measured transverse production polarization of 7200  $\Lambda_b^0 \rightarrow J/\psi\Lambda$  consistent with zero ( $x_F \approx 0.02$ ):  
 $P_b = 0.06 \pm 0.07 \pm 0.02$ ;  $\alpha = 0.05 \pm 0.17 \pm 0.07$   
– PLB724, 27 (2013)
- ATLAS *assumed* zero  $\Lambda_b^0$  polarization and extracted  $\alpha = 0.30 \pm 0.16 \pm 0.06$  for  $\Lambda_b^0 \rightarrow J/\psi\Lambda$   
– PRD 89, 092009 (2014)
- CMS 7 and 8 TeV p+p:  $\Lambda_b^0 \rightarrow J/\psi\Lambda$ :  
 $P_b = 0.00 \pm 0.06 \pm 0.06$ ;  $\alpha = 0.14 \pm 0.14 \pm 0.10$   
– PRD97, 072010 (2018)



# $\Lambda_b^0$ polarization measurements

- New! LHCb 7, 8, 13 TeV p+p  $\Lambda_b^0 \rightarrow J/\psi\Lambda$ , 1-20 GeV  $p_T$ . Best measurement of decay asymmetry parameter, and new measurements of polarization (consistent w/zero).

– arXiv:2004.10563



# *Theoretical ideas regarding heavy baryon production*

- Heavy quark fragmentation into a heavy hadron has been studied using a variety of techniques
  - Operator Product Expansion techniques
  - Light cone quantization
  - QCD perturbation theory (especially for multi-heavy hadrons)
  - Heavy-quark effective theory
  - . . . .



# Heavy-Quark Effective Theory (HQET)

- Effective theories in QCD – typically limit yourself to a particular (approximate) regime where certain things simplify with respect to full QCD
- HQET: Because  $m_Q \gg \Lambda_{QCD}$ , take limit as  $m_Q \rightarrow \infty$ 
  - An approximation, but *model-independent!*
  - Radiative and nonperturbative corrections to the  $m_Q \rightarrow \infty$  limit can be systematically investigated

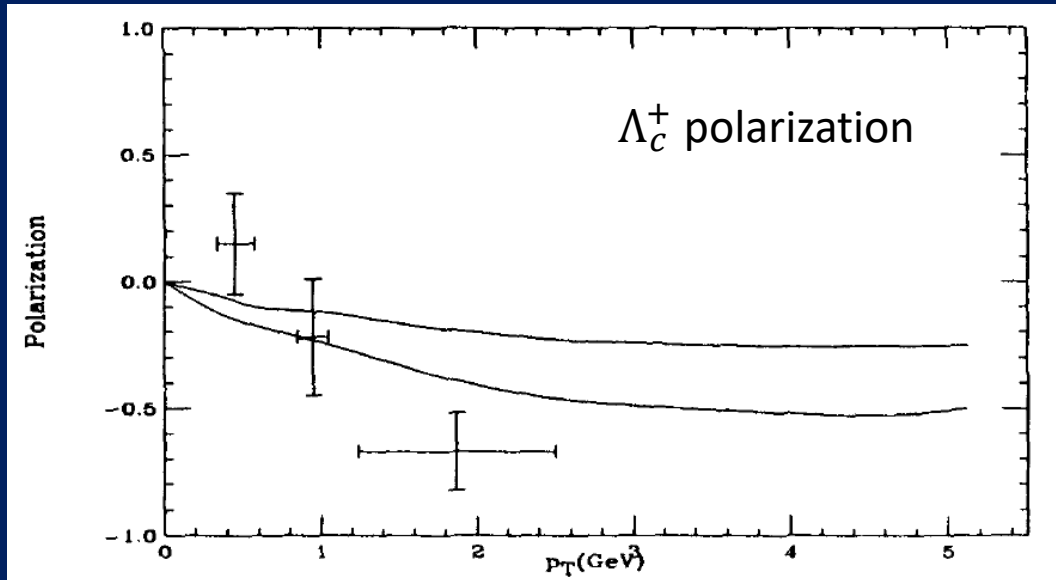


# Heavy-Quark Effective Theory (HQET)

- HQET predicts that heavy baryons coming from energetic heavy quarks retain a large fraction of the heavy quark polarization
  - See e.g. Mannel and Schuler, PLB279, 194 (1992); Falk and Peskin, PRD49, 3320 (1994)
- Supported by LEP  $e^+e^-$  annihilation data using  $Z \rightarrow \bar{b}b$  decays with observed  $\Lambda_b^0$  baryons
  - In Standard Model, decay of  $Z$  produces  $b$  quarks with large average *longitudinal* polarization of  $-0.94$
  - E.g. OPAL measured nonzero *longitudinal* polarization of  $\Lambda_b^0$  from  $Z$  decay:  $-0.56 + 0.20 - 0.13 \pm 0.09$ 
    - PLB444, 539 (1998)



# Heavy flavor baryon phenomenology



G. Goldstein, Spin Praha proceedings 2001.  
Two curves are range of calculation.

- So far little phenomenology!
- Comparison to E791  $\Lambda_c^+$  polarization measurement by Gary Goldstein.
- Uses a hybrid model with perturbatively generated c quark polarization in the hard scattering process, followed by hadronization via recombination with a (ud) diquark system
- Polarization of the c quark retained by the baryon

# *Summary: Lecture 6*

- Spin can be a powerful tool, and spin effects in QCD have led to multiple surprises over the past 45 years



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- A wealth of measurements exist showing large “spontaneous” transverse hyperon polarization, based on angular distributions of decay products
  - The more particles in the final state, the more complex the angular analysis!
  - Need both nonzero polarization and nonzero decay asymmetry parameter for the particular decay channel in order to perform such measurements





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- Some experimental indications that  $\Lambda_c^+$  also produced polarized
- There’s a general theoretical expectation that the spin of a heavy quark can be transferred to a heavy flavor baryon in the hadronization process
  - Experimental evidence in support of this from  $Z \rightarrow \bar{b}b$  decays that produce  $\Lambda_b^0$  baryons



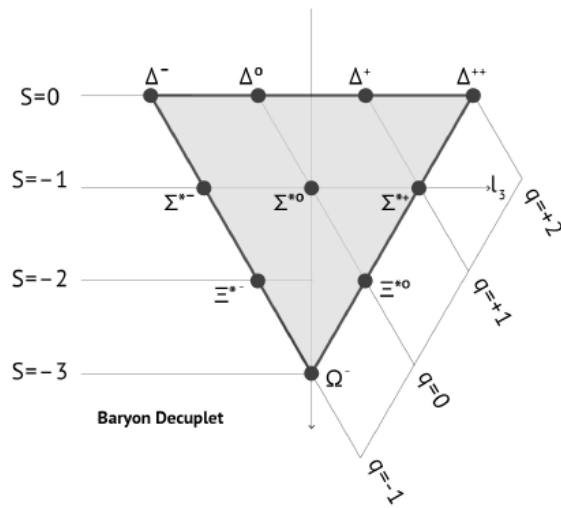
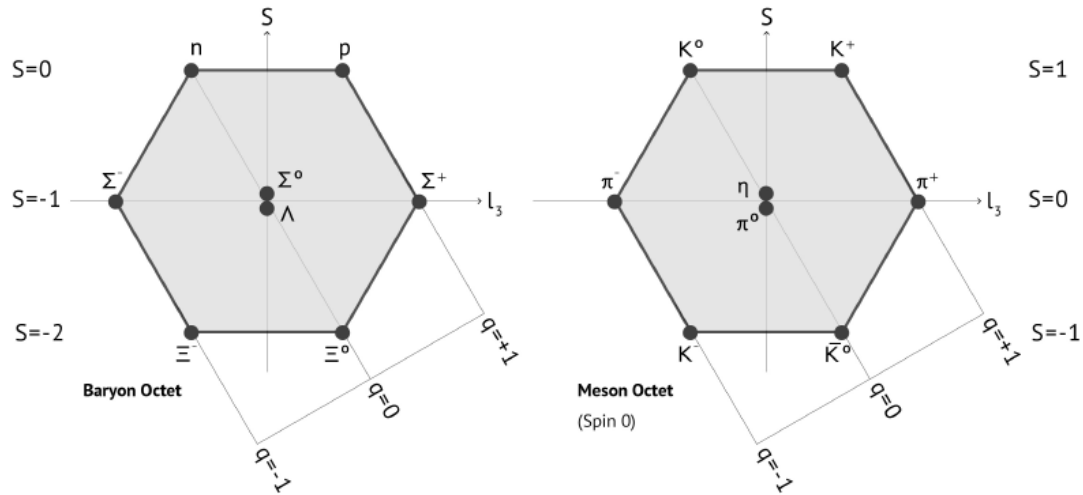
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- Polarized heavy flavor baryons provide opportunities to measure their electric and magnetic dipole moments
- More experimental data needed, and on its way! Opportunities at the LHC and Belle II.



# *Extra*





arXiv:1909.07354



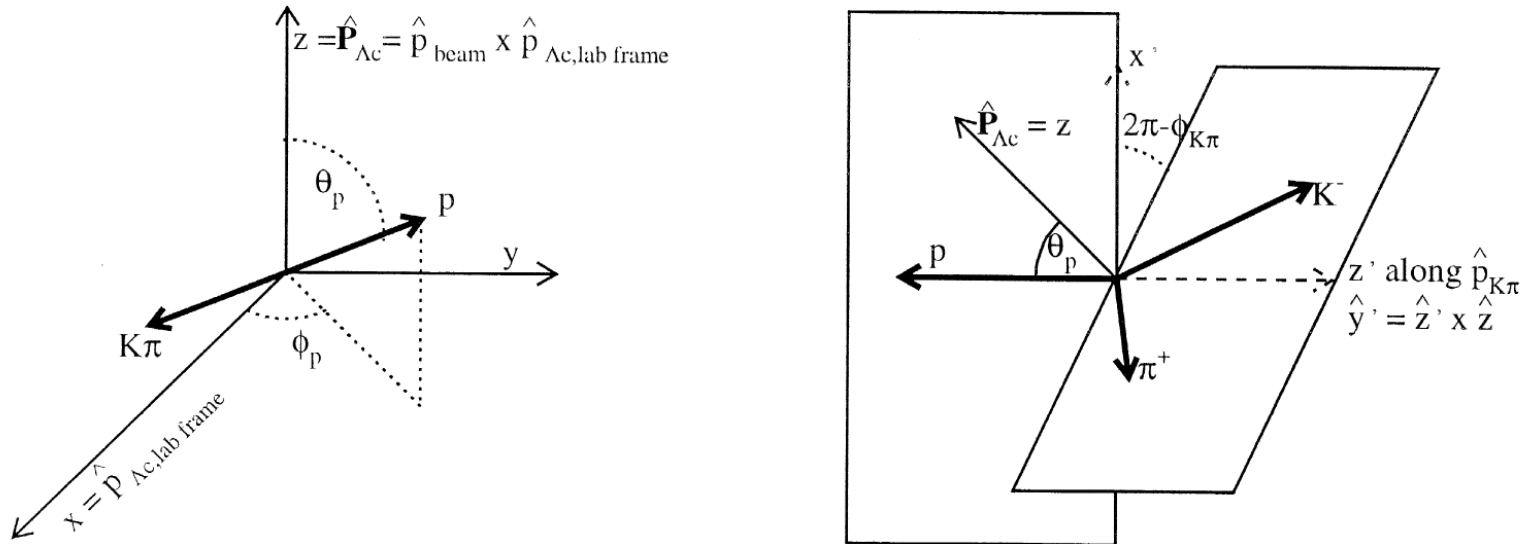


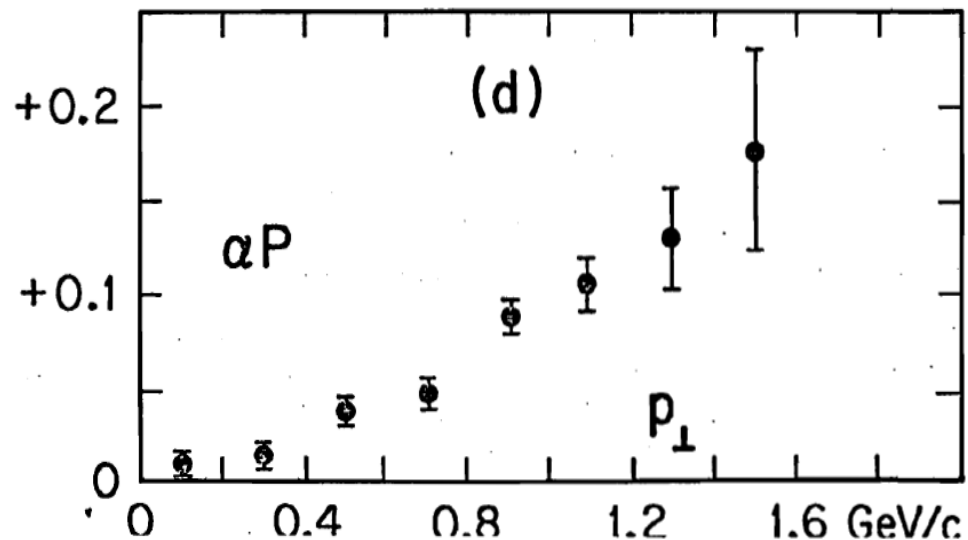
Fig. 1. Definition of angles using  $\Lambda_c^+ \rightarrow p\bar{K}^{*0} \rightarrow pK^-\pi^+$  as an example. In both figures the  $\Lambda_c^+$  is at rest. In the first figure, which defines  $(\theta_p, \phi_p)$ , the  $x$ -axis is along the direction of motion of the  $\Lambda_c^+$  in the lab frame and the  $z$ -axis is the polarization axis, normal to the plane of production. In the second figure we define  $\phi_{K\pi}$  as the angle between the plane containing the  $\bar{K}^{*0}$  decay products and the plane containing the proton and the  $x$ -axis.

Aitala et al. (E791), PLB471, 449 (2000)



# Hyperon polarization from unpolarized collisions

$\Lambda^0$  Hyperon Polarization  
in Inclusive Production  
by 300 GeV Protons on  
Beryllium  
PRL36, 1113 (1976)



- 1976 lambda polarization discovery: p+Be, 300 GeV beam
- Polarization transverse to production plane up to  $\sim 20\%$  for forward-angle lambda production
- Confirmed 1977 at CERN, p+Pt, 24 GeV beam (and by various proton-nucleus and proton-proton experiments afterwards . . .)

