



Istituto Nazionale di Fisica Nucleare  
SEZIONE DI FIRENZE

# Gravitational waves from Supercool Axions

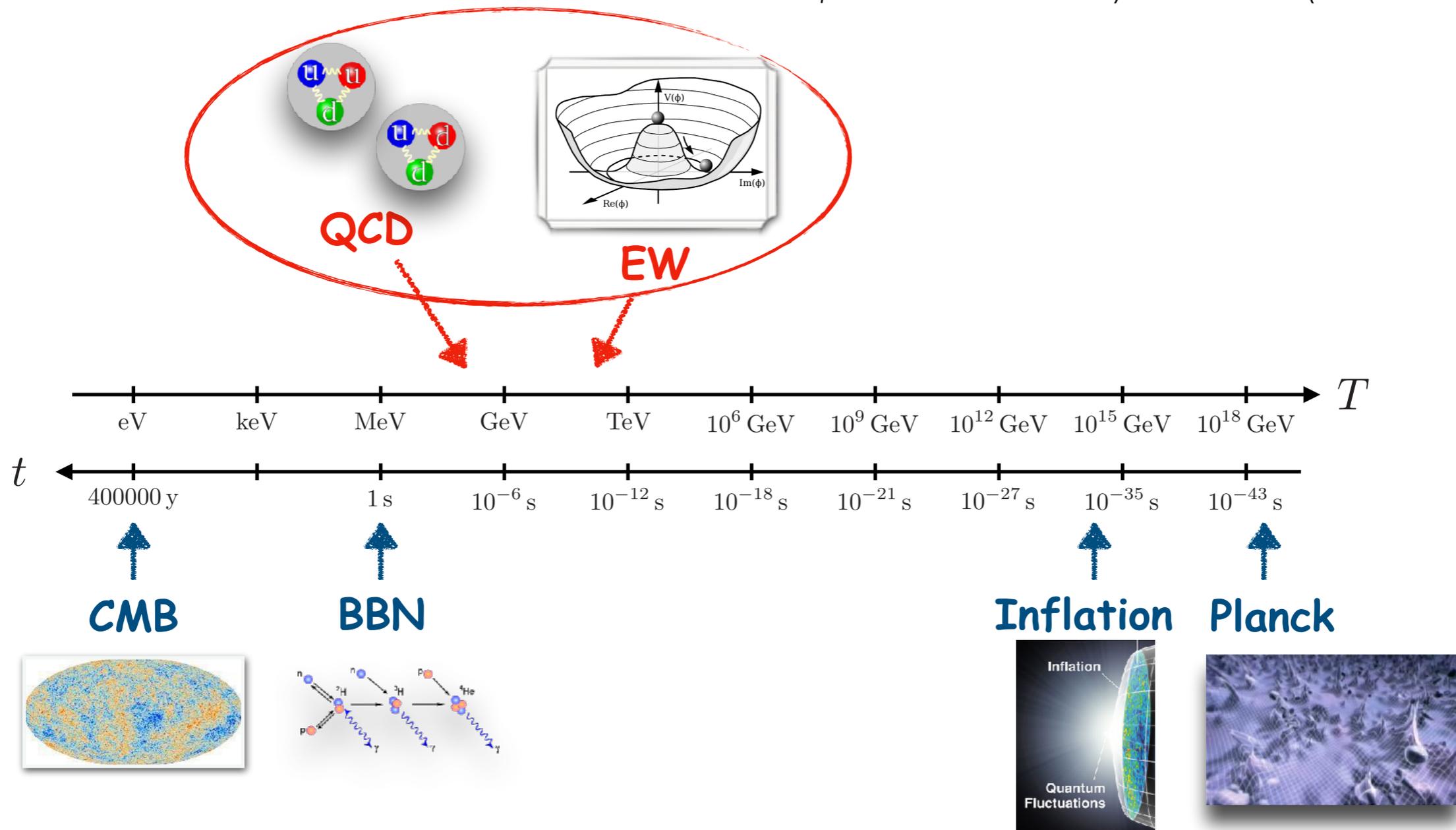
Luigi Delle Rose

*with G. Panico, M. Redi and A. Tesi*  
*arXiv:1912.06139*

# Thermal History of the Universe

**Phase transitions** are important events in the evolution of the Universe

- ▶ the SM predicts two of them (QCD confinement and EW symmetry breaking)  
*the two phases are smoothly connected (cross over)*

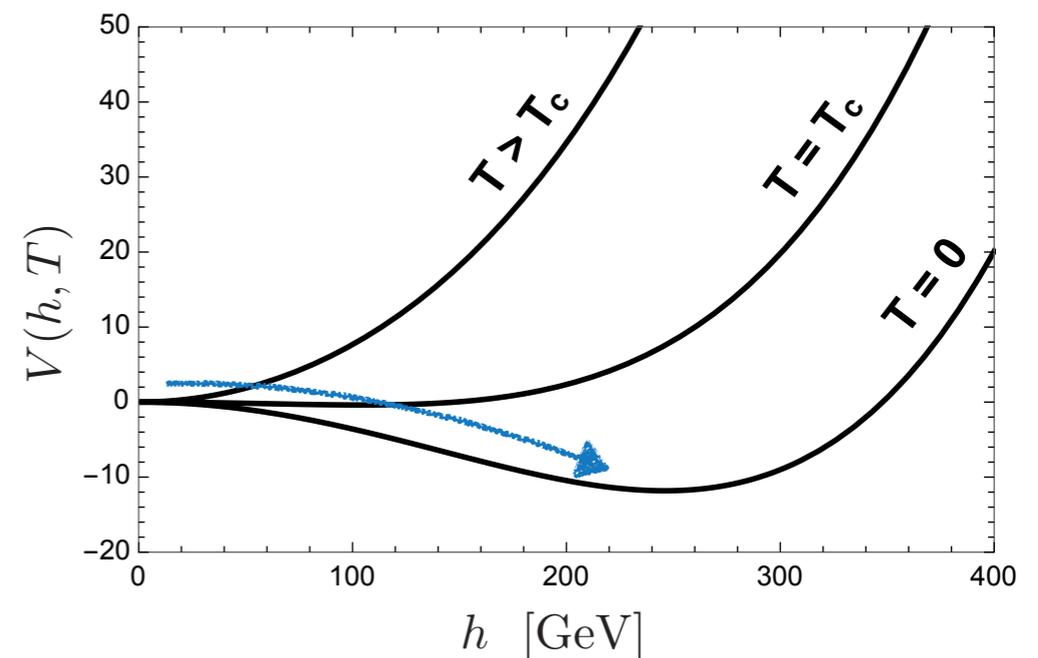


# Phase transitions in the SM

In the SM the QCD and EW PhT are extremely weak

→ the two phases are smoothly connected (cross over)

- no barrier is present in the effective potential
- the field gently “rolls down” towards the global minimum when  $T < T_c$

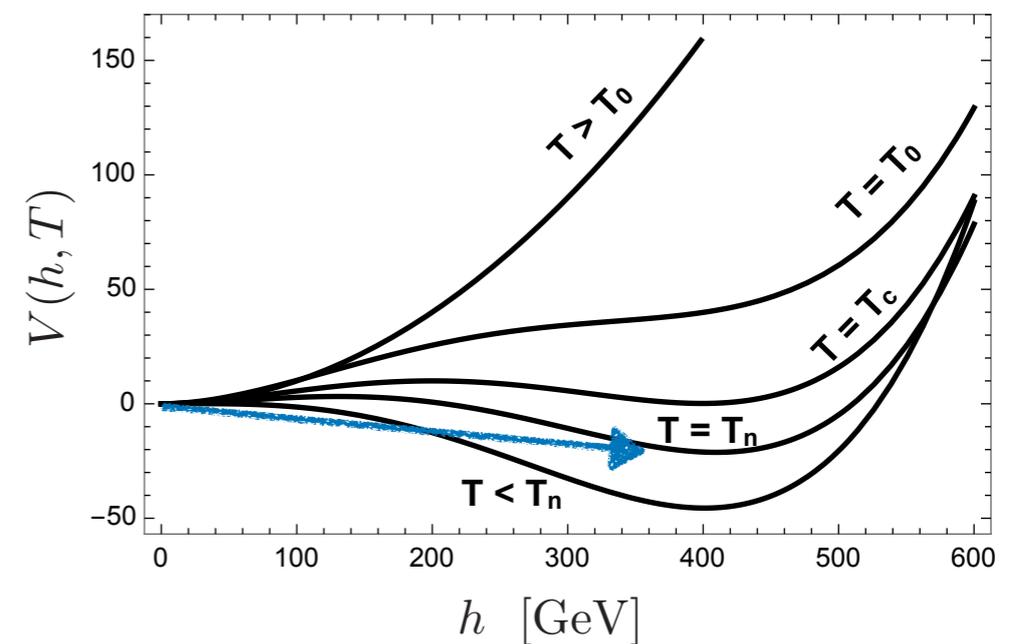


- ▶ no strong breaking of thermal equilibrium
- ▶ no distinctive experimental signatures

# Phase transitions beyond the SM

New physics may provide **first order** phase transitions

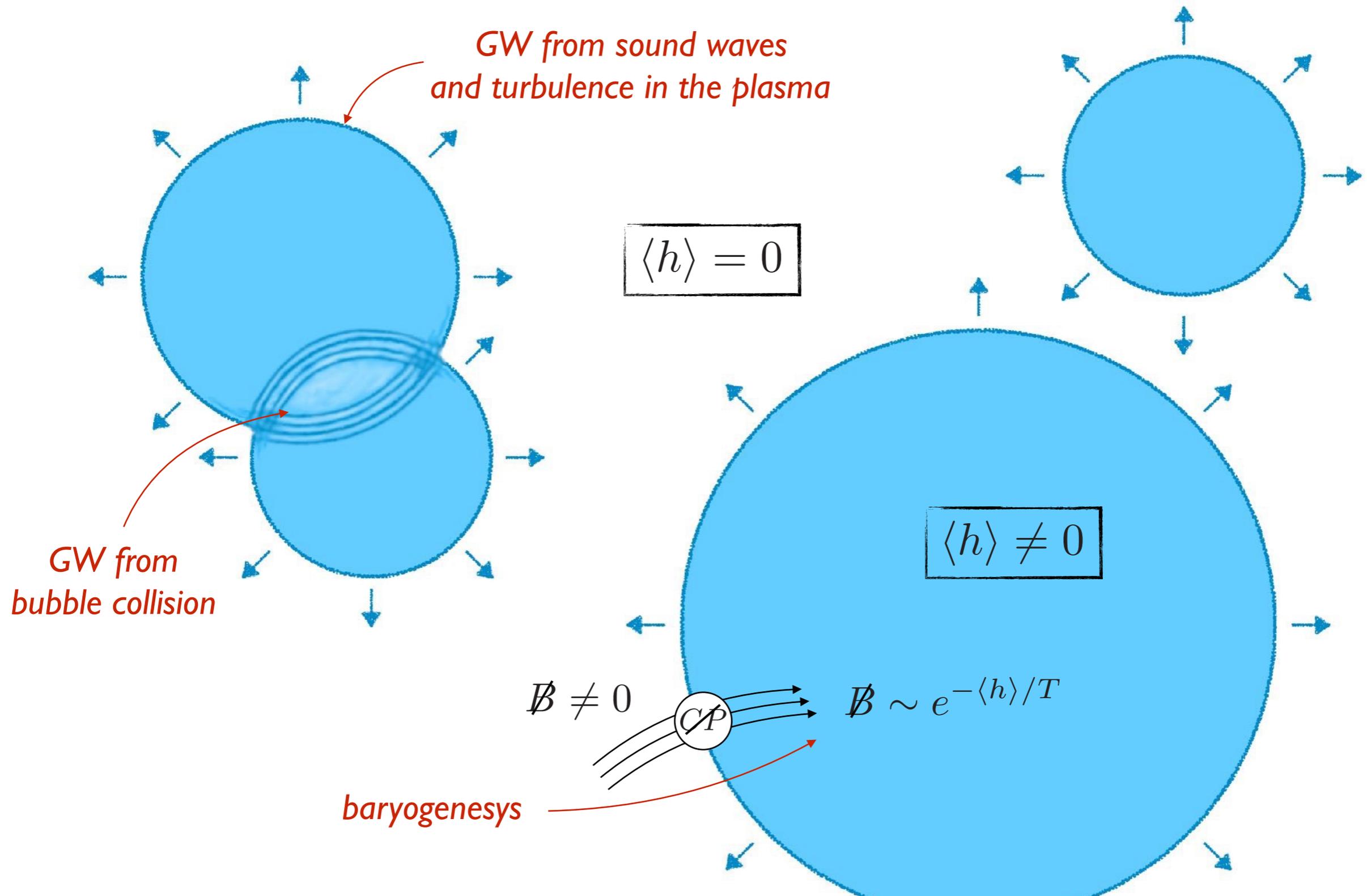
- a barrier in the potential may be generated from tree-level deformations, thermal or quantum effects
- the field tunnels from false to true minimum at  $T = T_n < T_c$



- ▶ the transition proceeds through bubble nucleation
- ▶ significant breaking of thermal equilibrium
- ▶ interesting experimental signatures (eg. gravitational waves)

# Bubble nucleation

Bubble dynamics can produce **gravitational waves** and **baryogenesis**

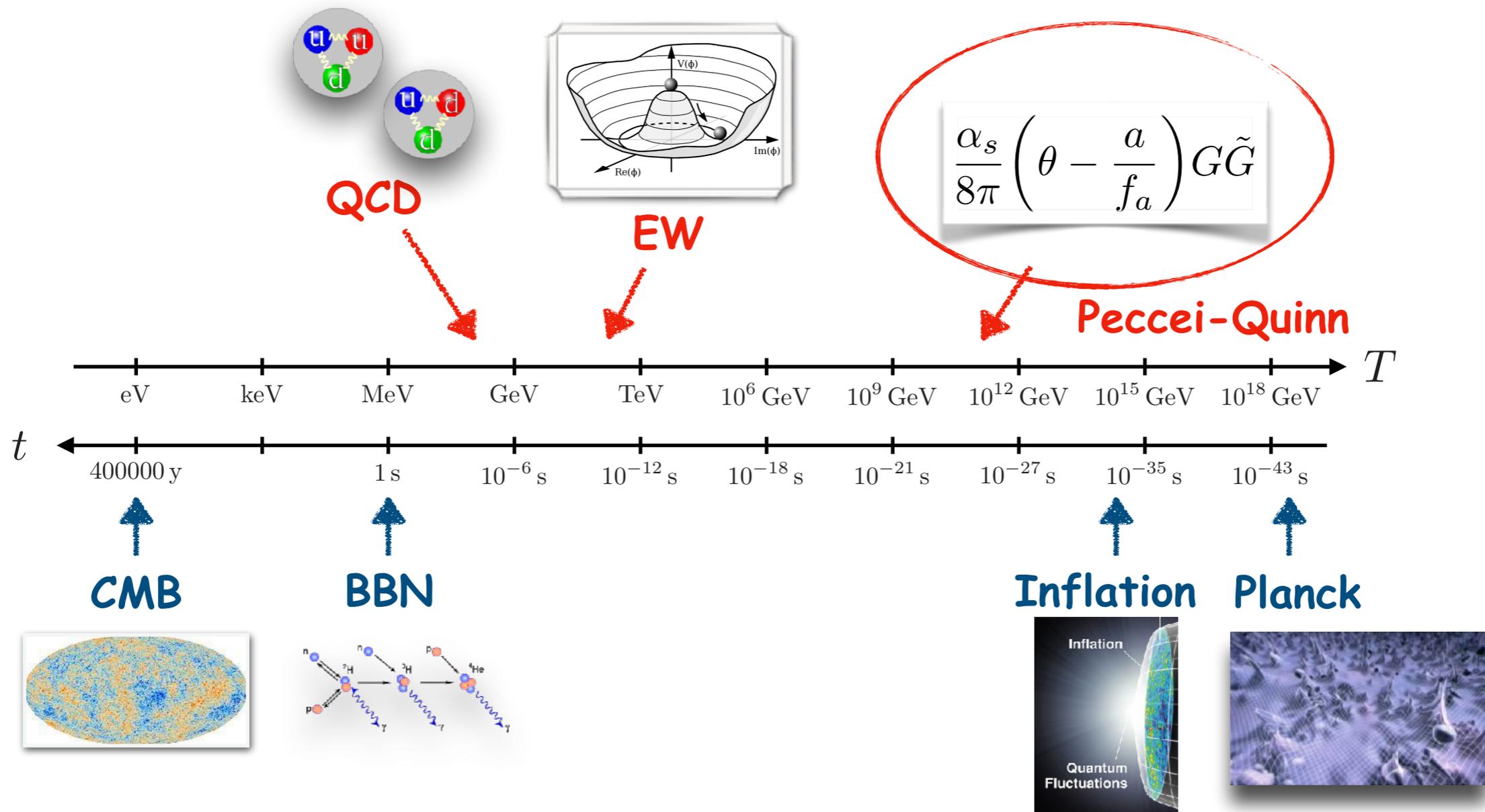


# Thermal History of the Universe

Additional phase transitions could be present due to **new-physics**

well motivated example:

- ▶ Peccei-Quinn symmetry breaking connected to QCD axion



# The axion

The **axion** offers an elegant solution to the strong CP problem

$$\mathcal{L} \supset -\frac{\alpha_s}{8\pi} \left( \frac{a}{f_a} - \theta \right) G_{\mu\nu}^A \tilde{G}^{A\mu\nu}$$

[Peccei-Quinn; Weinberg-Wilczek]

Small size of  $\theta$  angle explained dynamically

- ▶ Goldstone boson of a spontaneously broken U(1) anomalous under QCD
- ▶ symmetry breaking at very high scale  $f_a \gtrsim 10^9 \text{ GeV}$
- ▶ Is the phase transition of the PQ symmetry first order?
- ▶ Is there any signal of gravity waves?

# The minimal PQ model

Single scalar field (the **axion**) coupled to coloured fermions

$$\mathcal{L} = \lambda_X (|X|^2 - f^2/2)^2 + (yXQQ^c + \text{h.c.})$$

It displays a **second order** phase transition for several reasons:

- I. No massless bosonic states coupled to  $X$  where PQ is restored
- II. Fermion contribution to 1-loop Coleman-Weinberg has “wrong” sign
- III. Potential is always well approximated by  $m^2(T)|X|^2 + \lambda(T)|X|^4$

Peccei-Quinn breaking must be **non-minimal**  
to have first-order phase transition

exploiting the portal coupling with the Higgs is not enough!

*Radiative PQ breaking at weak coupling*

# Radiative PQ breaking

Collection of scalar fields (some of which charged under PQ)

[Gildener, Weinberg '76]

$$V = \frac{\lambda_{ijkl}}{4} \phi_i \phi_j \phi_k \phi_l$$

Flat direction in the potential at scale  $\Lambda$  (generic feature due to RG running)

$$\lambda_{\text{eff}}(\mu) = \lambda_{ijkl}(\mu) n_i n_j n_k n_l, \quad \lambda_{\text{eff}}(\Lambda) = 0, \quad \phi_i = n_i \sigma$$

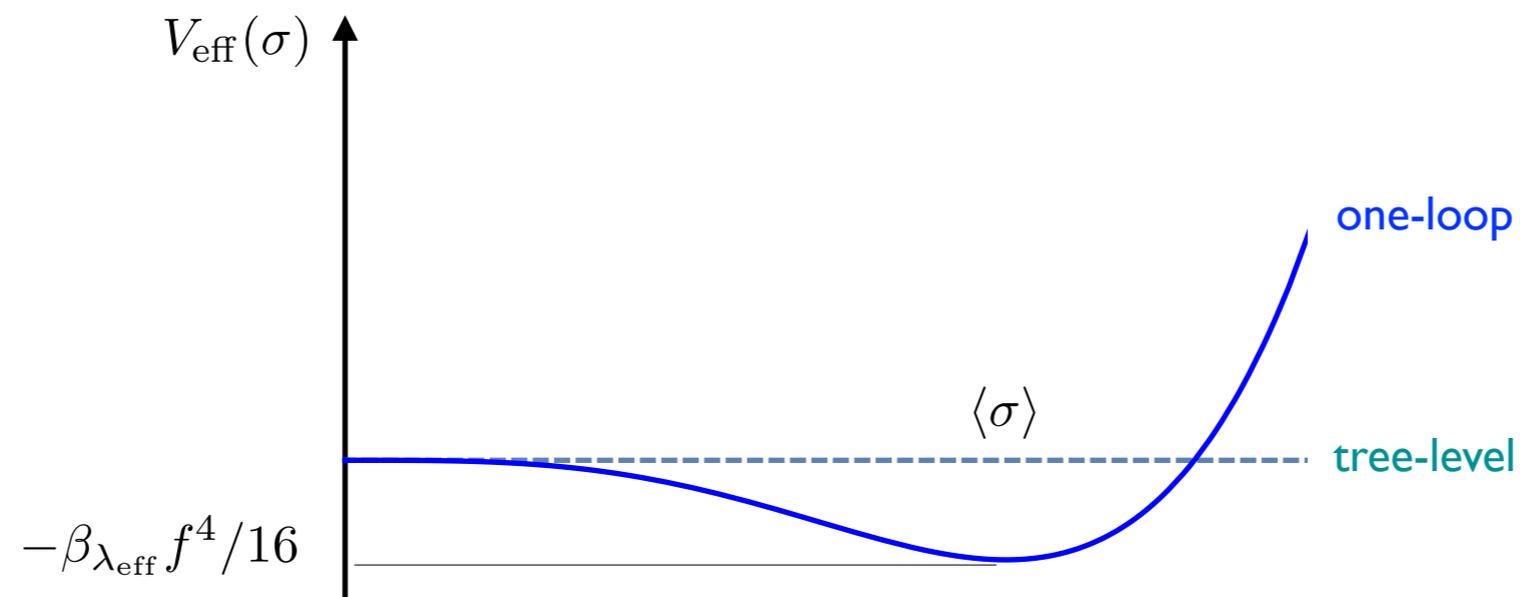
Dynamics mainly controlled by field  $\sigma$

# Radiative PQ breaking

**Radiative corrections** can lift the flat direction and stabilize the field

$$V_{\text{eff}}(\sigma) \approx \frac{\beta_{\lambda_{\text{eff}}}}{4} \sigma^4 \left( \log \frac{\sigma}{\langle \sigma \rangle} - \frac{1}{4} \right) \quad \langle \sigma \rangle \approx \Lambda$$

- ▶ beta function needs to be positive at the reference scale



# Thermal corrections

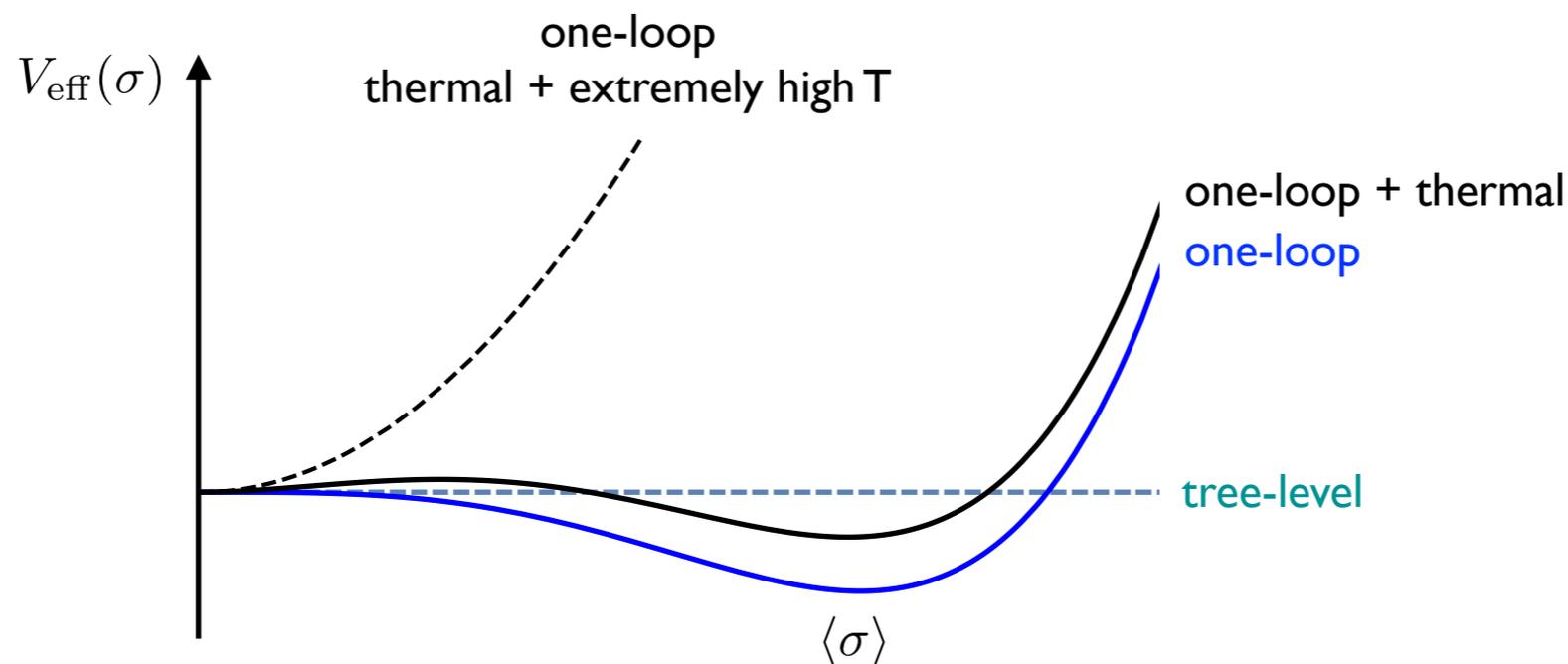
Due to flatness of the potential thermal corrections are always important

[Witten '81]

$$F(\sigma; T) \simeq \frac{N}{24} \hat{g}^2 \sigma^2 T^2 + \sum_i \frac{m_i^4}{64\pi^2} \log \frac{T^2}{m_i^2} + V_{\text{eff}}(\sigma)$$

even for  $T \ll f$   
one can formally expand at high-T  
close to the origin

$m_i \sim \hat{g}\sigma$   
where  $\hat{g}$  is a typical coupling of  $\sigma$   
to other light fields



barrier lasts for arbitrarily low temperatures!

# Nucleation and supercooling

Due to small deviation from conformal invariance we expect **significant supercooling**

- ▶ the integral of the bounce action can be done exactly

[Brézin, Parisi '78]

$$\frac{S_3}{T} \approx 18.9 \frac{\sqrt{N/12}}{\hat{g}^3} \frac{16\pi^2/b_{\text{eff}}}{\log(M/T)}, \quad \beta \equiv b_{\text{eff}} \hat{g}^4 / (16\pi^2)$$

$S_3/T$  scales logarithmically  
with the temperature

- ▶ given the peculiar form of the bounce action  $S_3/T = \# / \log(M/T)$   
we find **lower bound** on the nucleation temperature

$$T_n \gtrsim \sqrt{MH_I} \sim 0.1f \left( \frac{f}{M_{\text{Pl}}} \right)^{\frac{1}{2}}$$

- ▶ the beta parameter is minimized for large supercooling

$$\beta/H = \# / \log^2(M/T)$$

**this scenario has the maximal effect on the amplitude of gravitational wave power spectrum generated during the bubble collisions**

# An explicit realisation

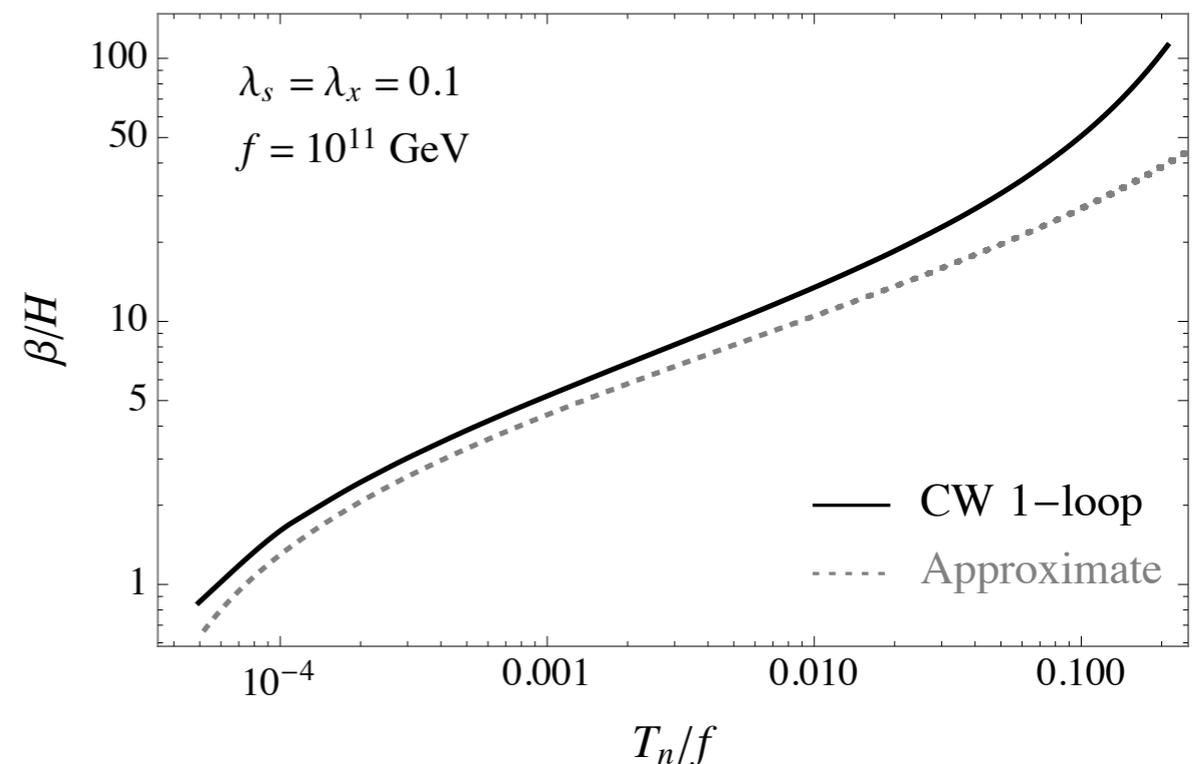
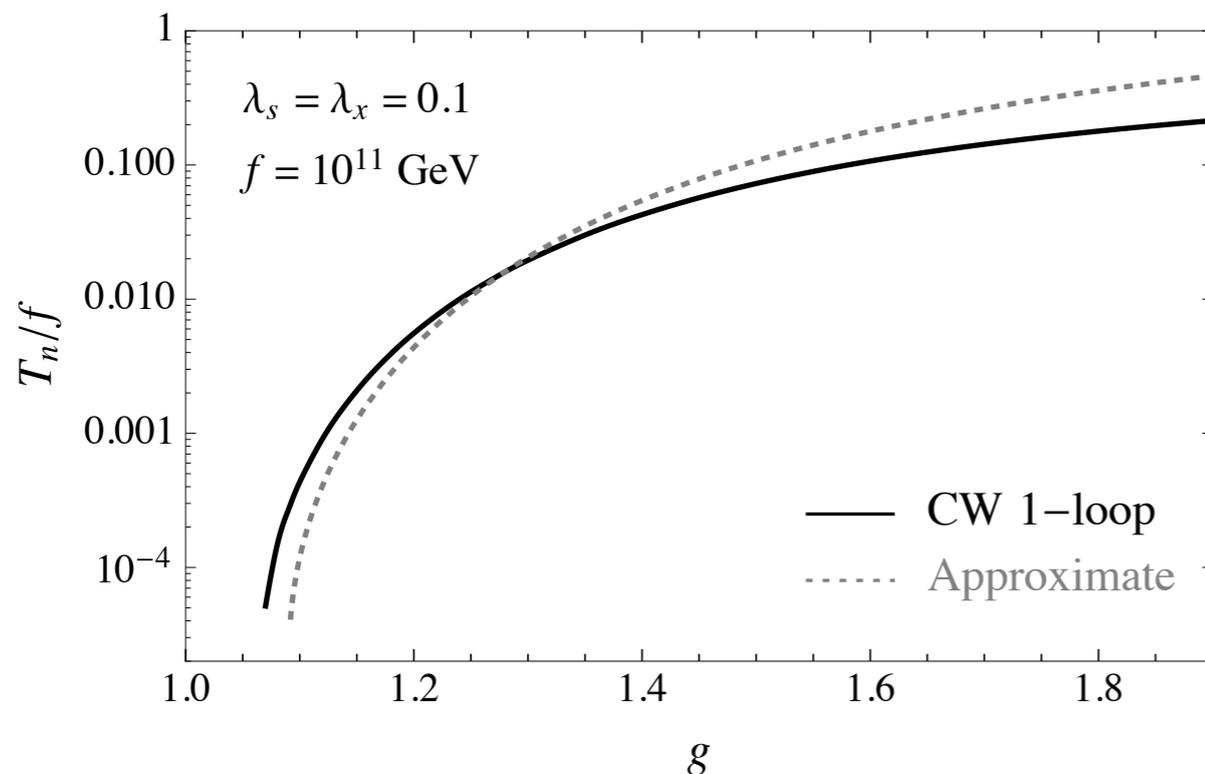
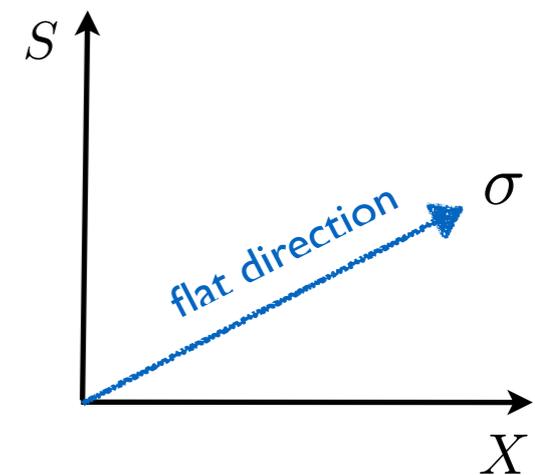
Two complex scalars: one charged under PQ and one with U(1) gauge charge

$$\mathcal{L} = -\frac{1}{4g^2}F^2 + |D_\mu S|^2 + |\partial_\mu X|^2 + (yXQQ^c + \text{h.c.}) - \lambda_S|S|^4 - \lambda_X|X|^4 - \lambda_{XS}|S|^2|X|^2$$

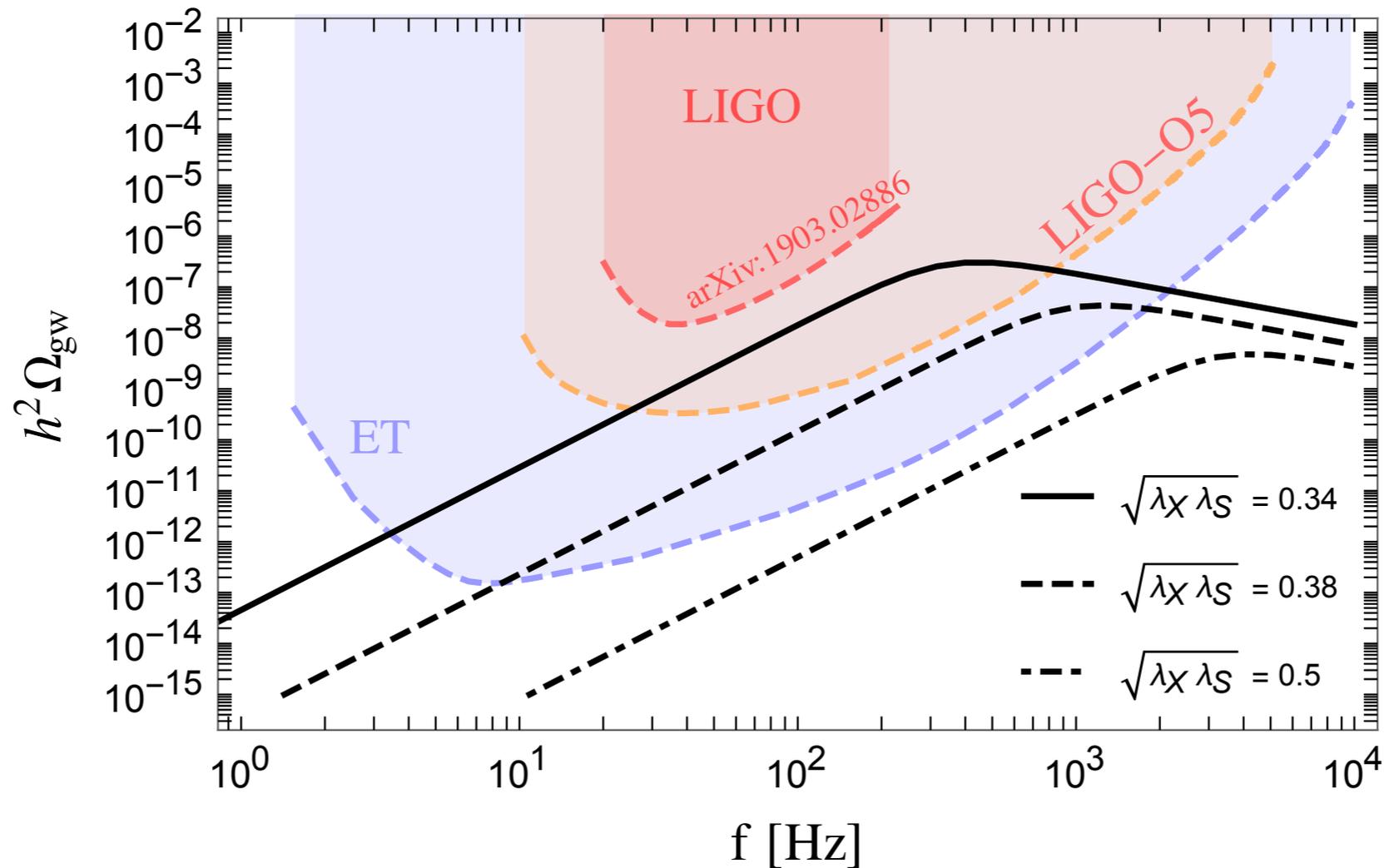
[see related Hambye, Strumia, Teresi '18]

A tree-level flat direction is realized for  $\lambda_{XS} = -2\sqrt{\lambda_S\lambda_X}$

... lifted by the running induced by the quartic couplings and by the gauge interactions



# Gravitational waves



For large supercooling spectrum within the range of ground based experiments  
 Portion of the parameter space accessible at LIGO

$$h^2 \Omega_{\text{gw}}|_{\text{peak}} \simeq 1.27 \times 10^{-10} \left( \frac{100}{\beta/H} \right)^2 \quad f_{\text{peak}} \simeq 3.83 \times 10^5 \text{ Hz} \left( \frac{\beta/H}{100} \right) \left( \frac{T}{10^{11} \text{ GeV}} \right)$$

*Radiative PQ breaking at strong coupling*

# Confinement phase transition

We consider a model with the **axion** together with a **dilaton**:

PQ breaking linked to **confinement PhT**

strongly coupled large- $N$  CFT at finite temperature with global Peccei-Quinn  $U(1)$

tiny deviation from scale invariance realises a 1st order phase transition with  
a large amount of supercooling  
*(in the same spirit as in the weakly coupled case)*

breaking of scaling invariance at a scale  $f$  also triggers PQ breaking

$$\langle 0 | j_{\text{PQ}}^\mu(p) | a \rangle \sim \frac{N}{4\pi} f p^\mu$$

Explicit realization in 5D through AdS/CFT duality

[Creminelli, Nicolis, Rattazzi;  
Randall, Servant; ...]

# The dilaton potential

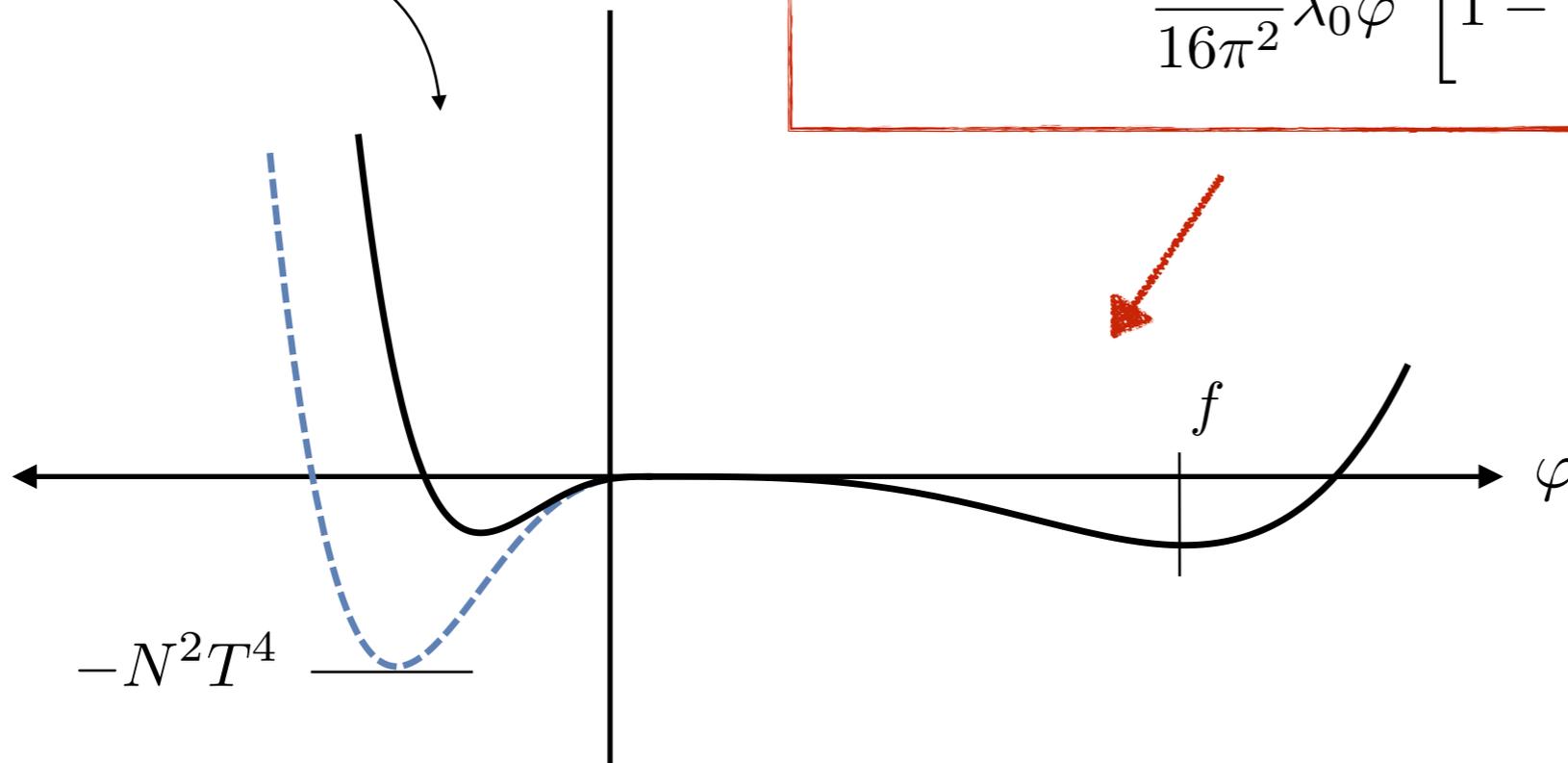
CFT explicitly broken by (almost) marginal deformation

$$\text{CFT} + \frac{g}{\Lambda^\epsilon} \mathcal{O} \quad \longrightarrow \quad \beta_g = \epsilon g + a N \frac{g^3}{16\pi^2} + \dots$$

Dilaton potential from running of quartic coupling

$$\frac{N^2}{16\pi^2} \lambda_0 \varphi^4 \left[ 1 - \frac{4}{4 + \epsilon} \left( \frac{\varphi}{f} \right)^\epsilon \right]$$

shape of potential  
for CFT unknown

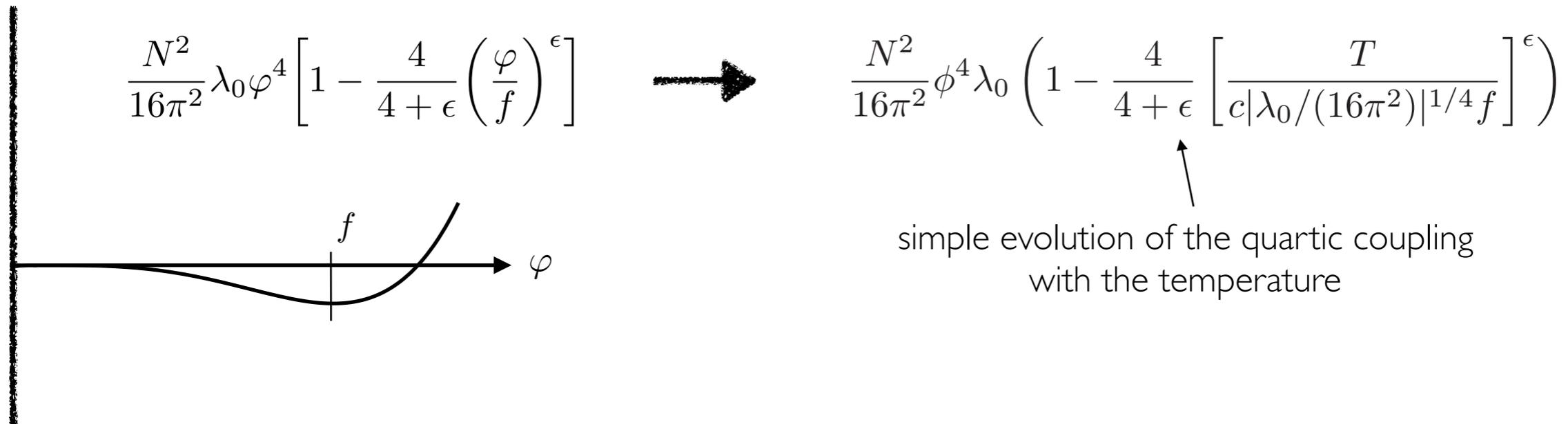


*deconfined phase  
unbroken PQ*

*confined phase  
spontaneously broken PQ*

# Analytic approximations

At large supercooling tunnelling happens very close to the origin



▶ the 3D bounce action is given by

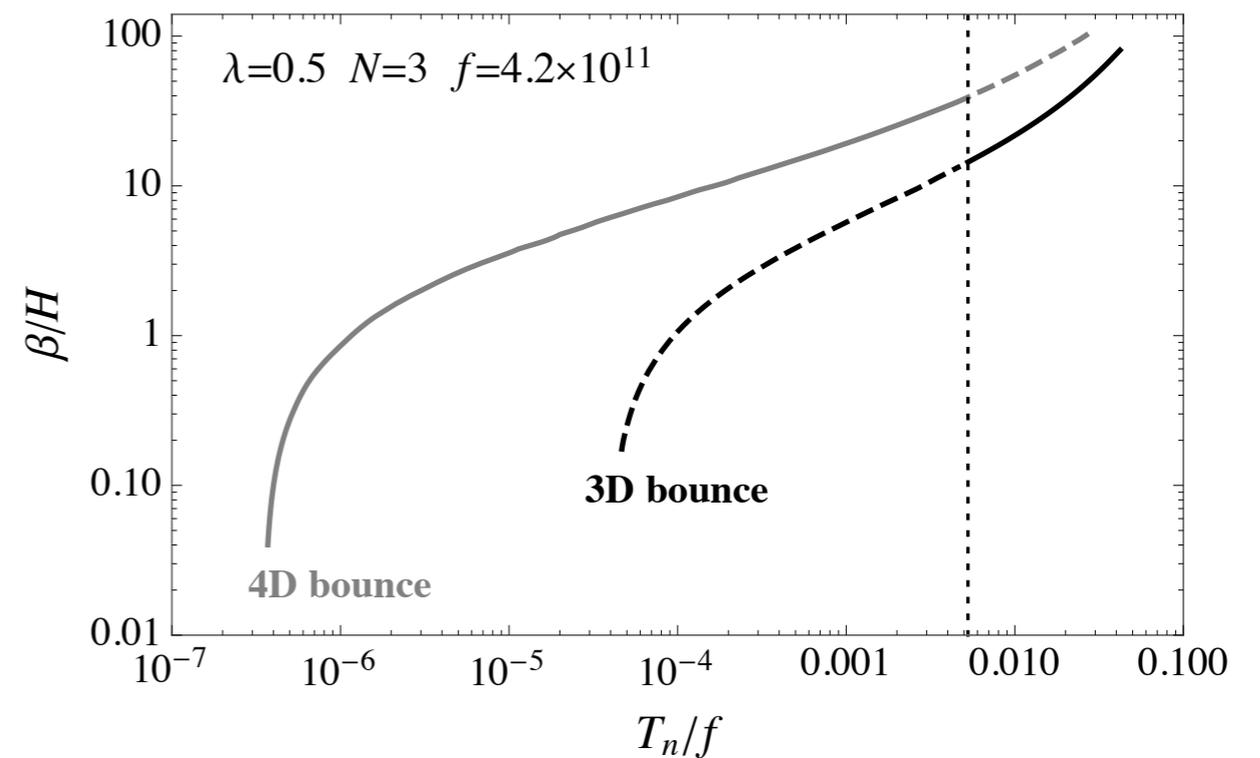
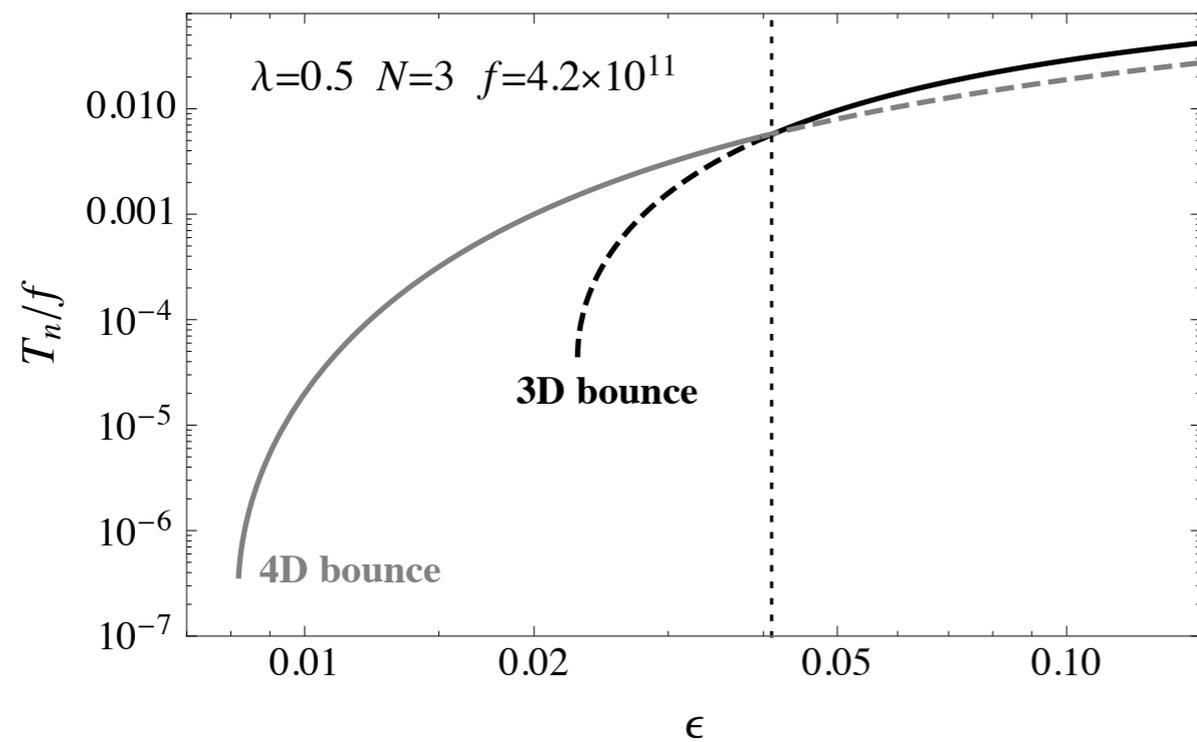
$$\frac{S_3}{T} = 28.5 \frac{N^2}{16\pi^2} \times \frac{(16\pi^2)^{1/4}}{|\lambda_0|^{3/4}} \times \frac{1}{|g(T, \epsilon)|^{3/4}}$$

▶ 4D bounce can also be relevant (dominant at low T)

$$S_4 \sim 26 \frac{N^2}{16\pi^2} \times \frac{1}{|\lambda_0|} \frac{1}{|g(T, \epsilon)|}$$

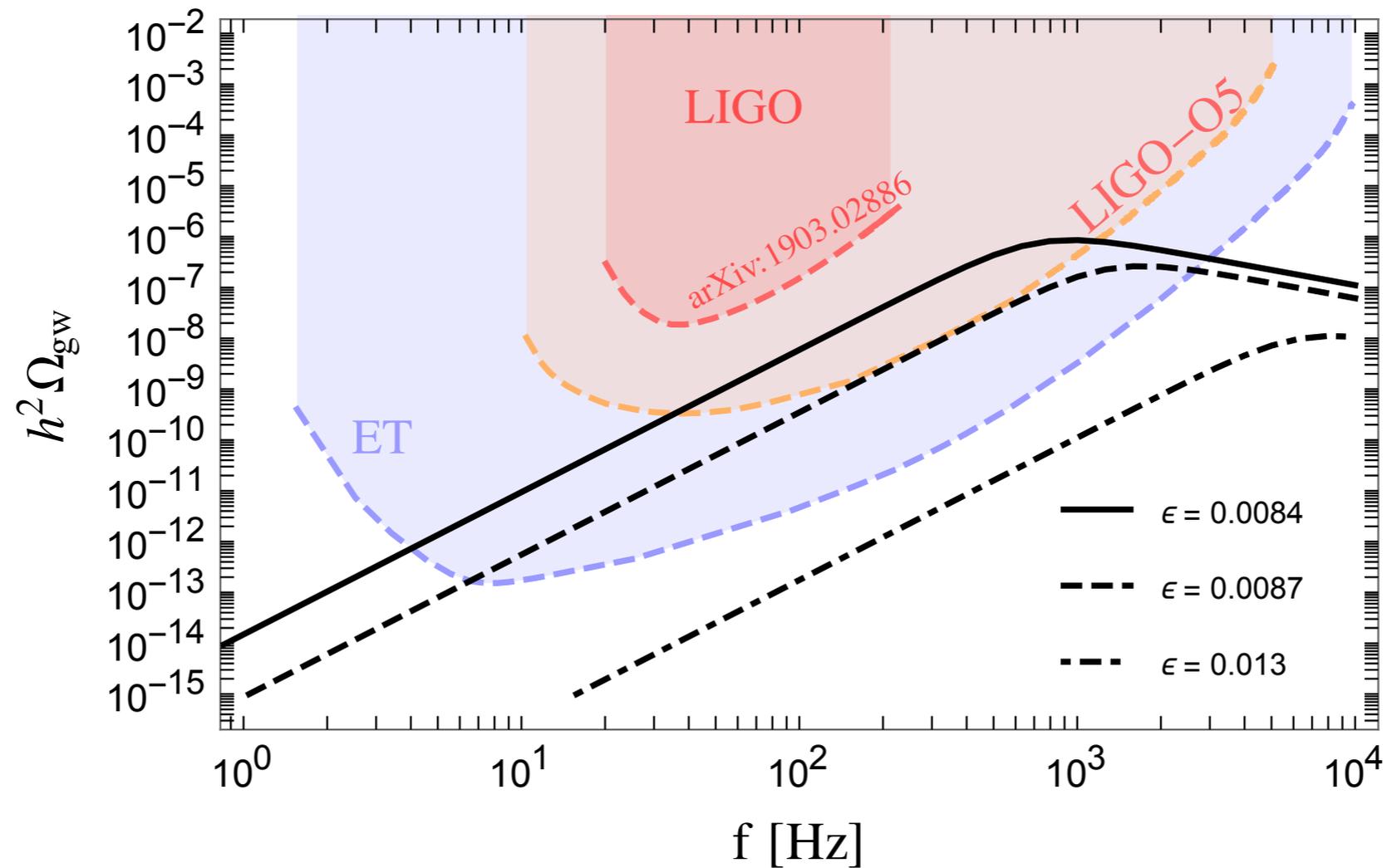
# Properties of the phase transition

Most of the effects controlled by the size of the free energy  
(shape of the CFT potential almost irrelevant)



- ▶  $\beta/H \sim \text{few}$  can be obtained but only in small portion of the parameter space

# Gravitational waves



Portion of the parameter space accessible at LIGO

# Conclusions

## Peccei-Quinn phase transition:

- ▶ minimal scenarios predict a second-order phase transition
- ▶ possible first order phase transitions with large supercooling in (axion, scalar) and (axion, dilaton) systems
- ▶ detectable gravitational waves at ground-based interferometers

