



ALICE upgrade and physics prospectives

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Istituto Nazionale di Fisica Nucleare, Sezione di Bari
on behalf of the ALICE Collaboration

ALICE 2 - LS2 upgrade for Run 3

ALICE 2 - LS3 upgrade for Run 4

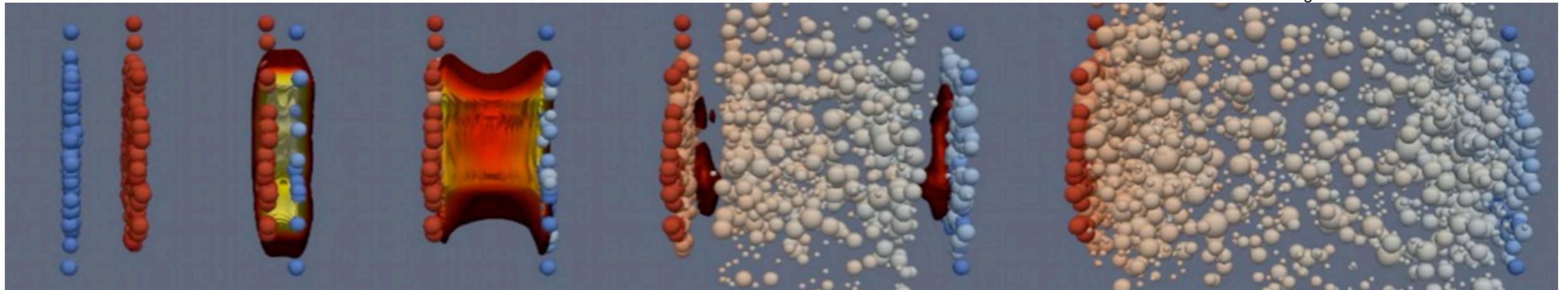
ALICE 3 (post-Run 4)



© Klaus Barth



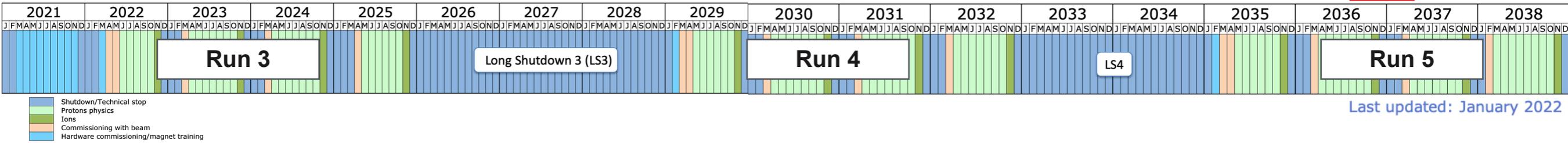
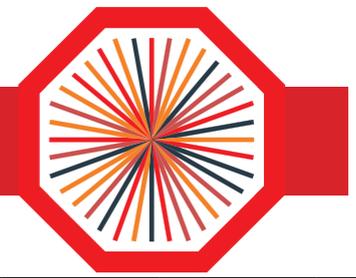
Figure Credit: MADAI collaboration



Future physics opportunities for high-density QCD with ions and proton beams

- » Characterising the macroscopic long-wavelength QGP properties (transport properties, temperature, new phenomena related to strong EM fields)
- » Accessing the microscopic parton dynamics underlying QGP properties
- » Developing a unified picture of particle production from small (pp) to larger (p–A and A–A) systems
- » Probing parton densities in nuclei at small x and searching for the possible onset of parton saturation

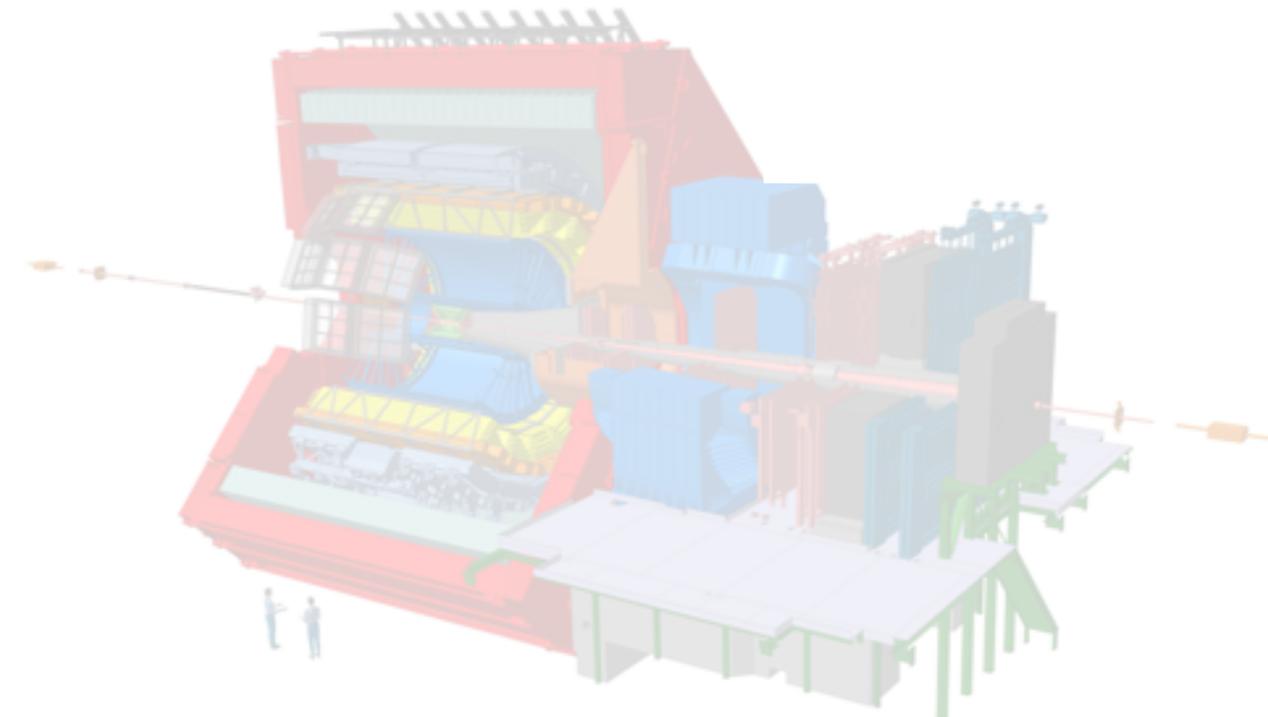
ALICE 2 in Run 3 and Run 4



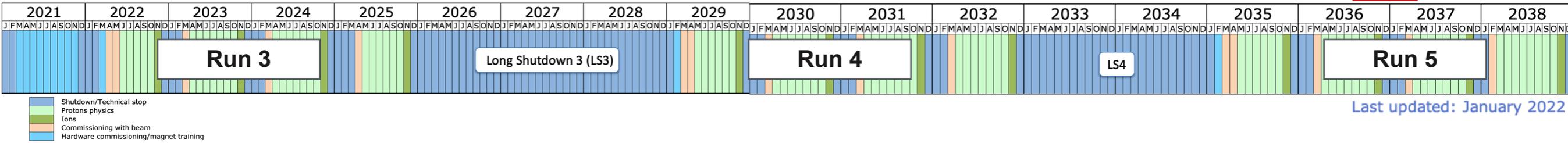
Last updated: January 2022

Physics goals

» Focus on high precision measurements of **rare probes at low p_T**



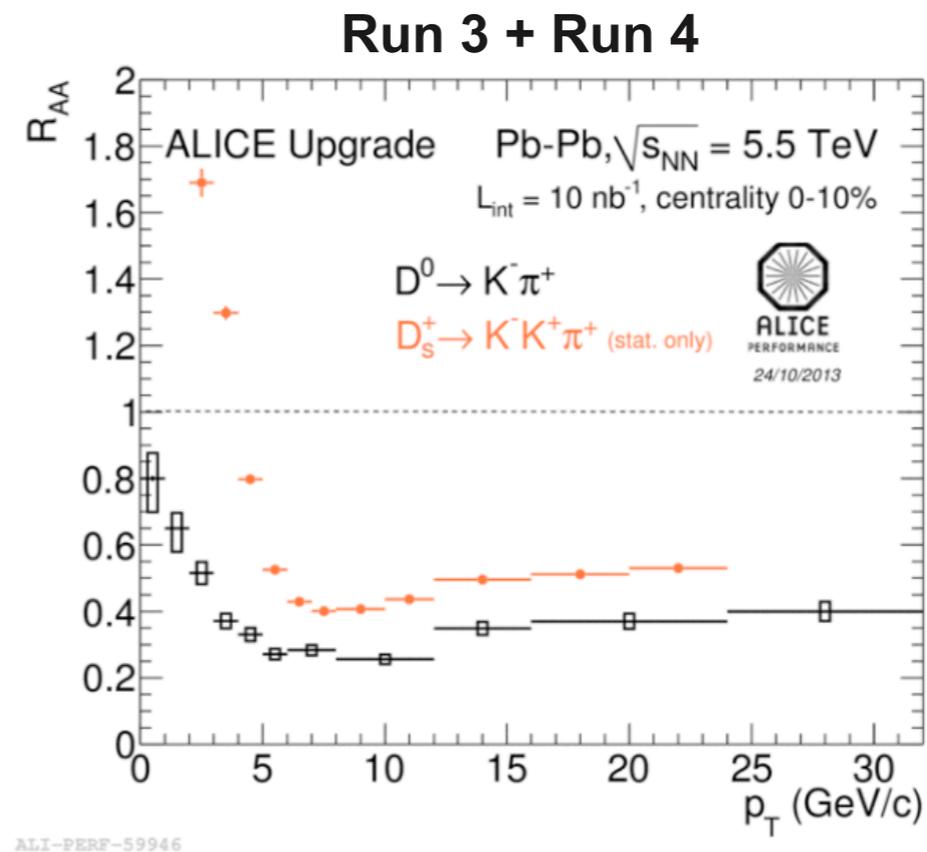
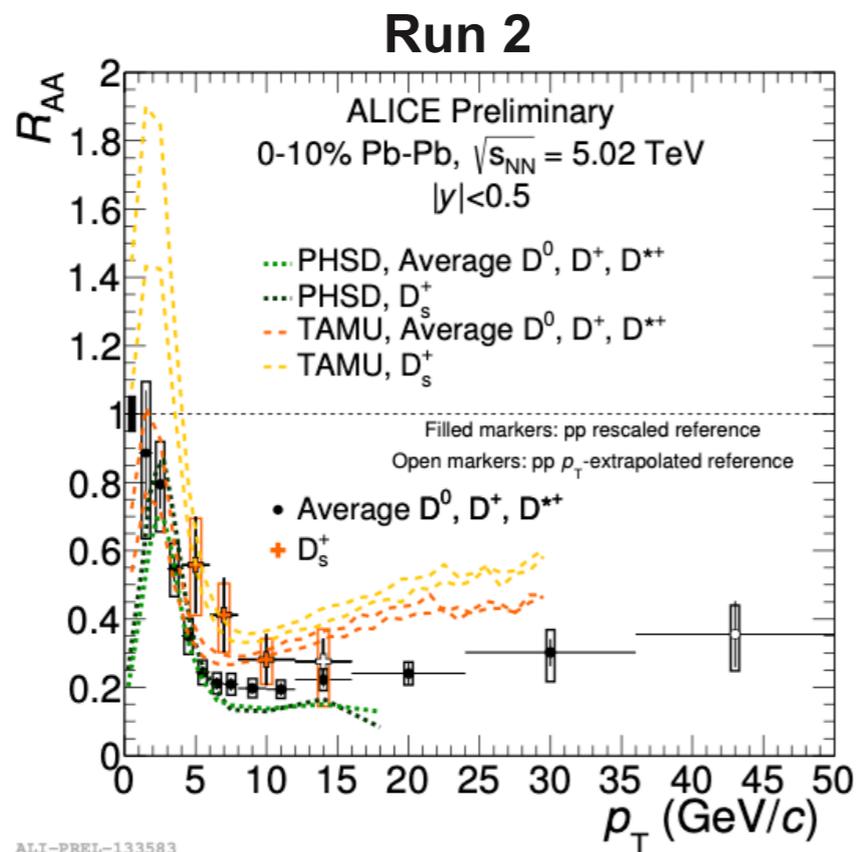
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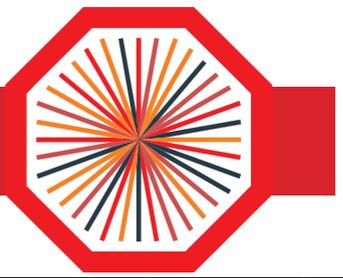
Physics goals

- » Focus on high precision measurements of rare probes at low p_T
 - Heavy-flavour mesons and baryons (down to very low p_T)

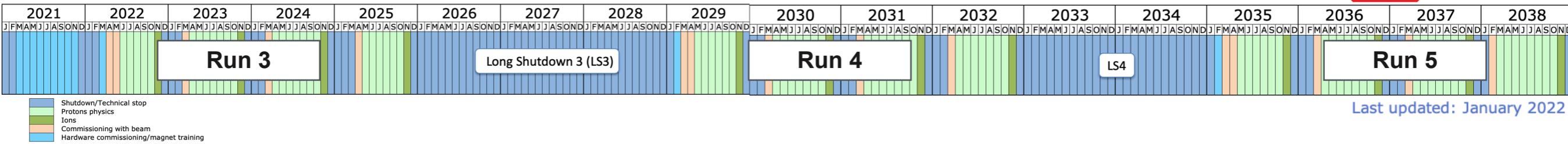


Charmed D^0, D^\pm, D_s mesons $|\eta| < 0.9$

Comparison of different D-mesons is sensitive to the hadronization process of c quarks in the QGP

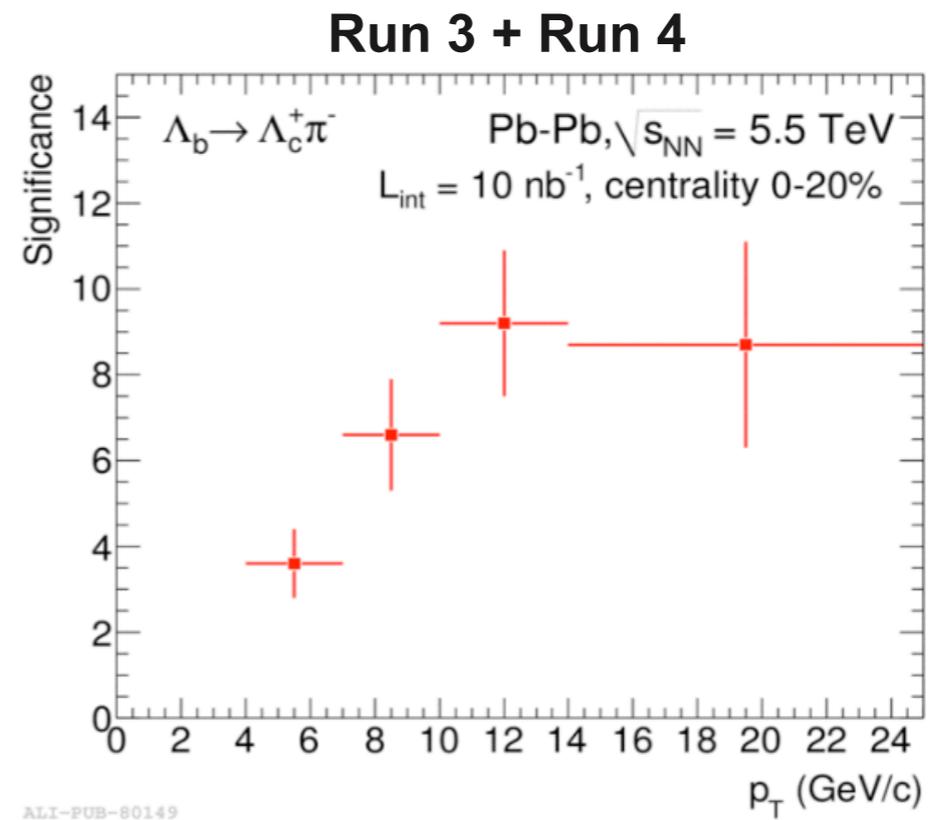
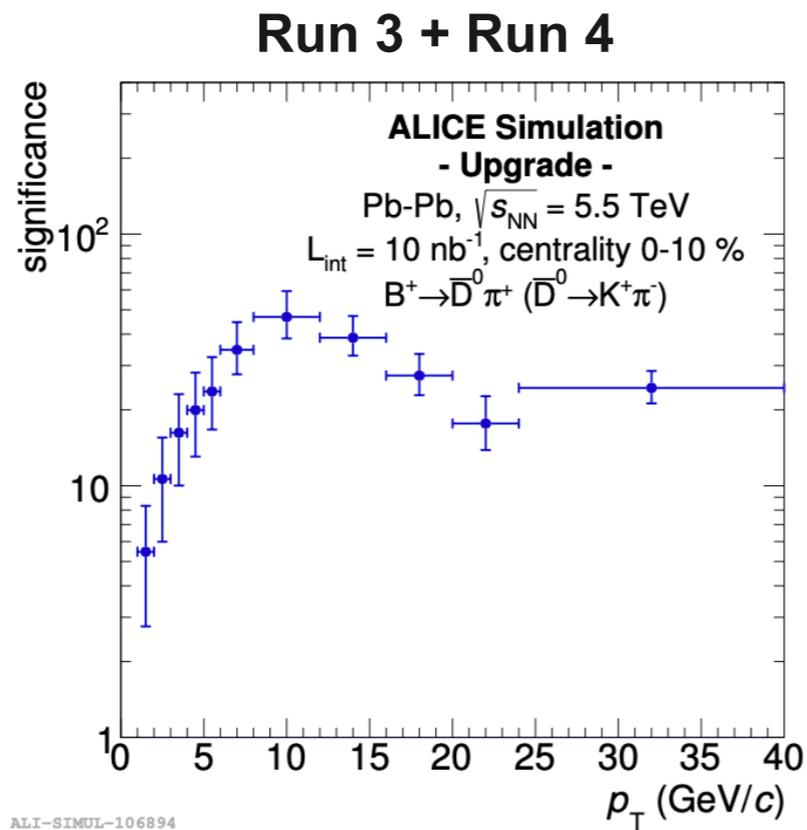


ALICE 2 in Run 3 and Run 4



Physics goals

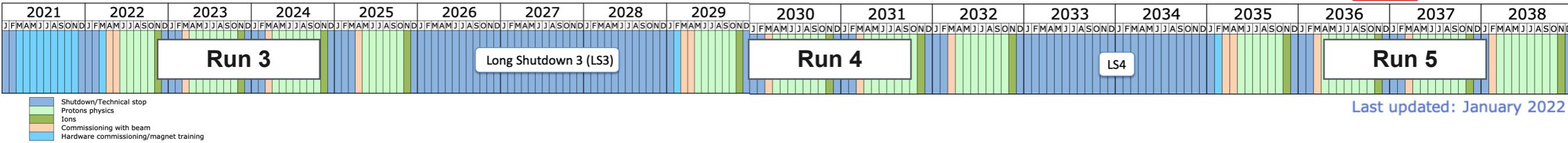
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Charmed and Beauty baryons $|\eta| < 0.9$

New observables in Pb-Pb: baryon production in the charm and beauty sector!
 For the moment, only observed in pp and p-Pb collisions.

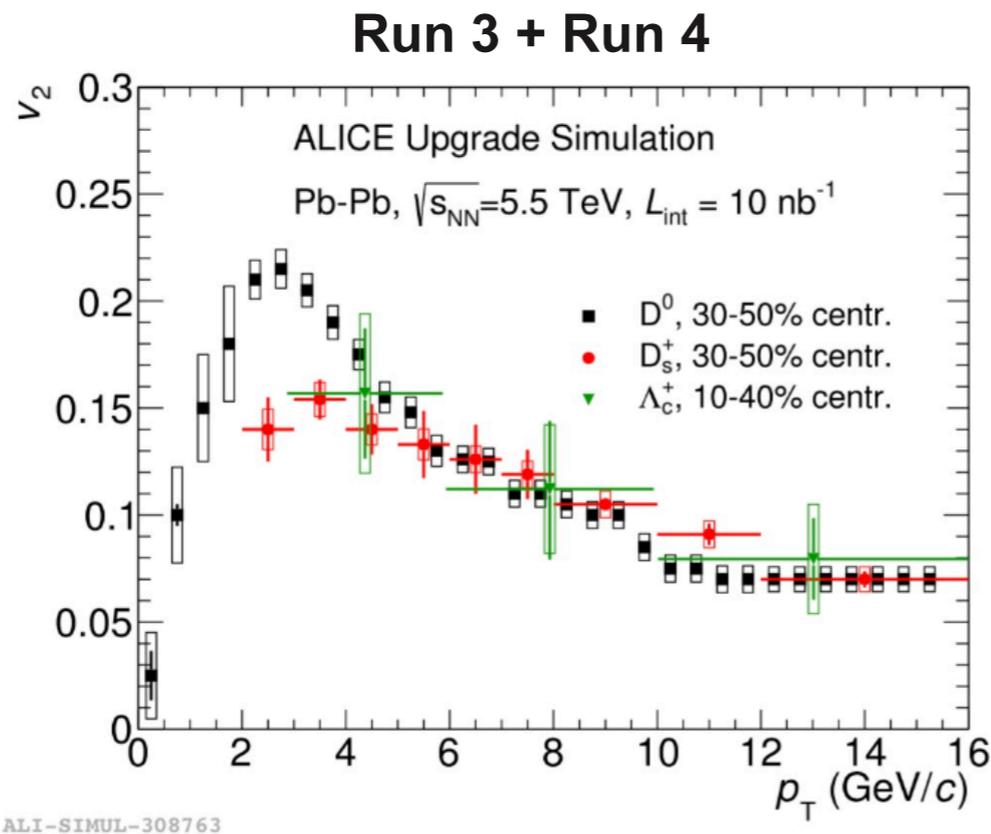
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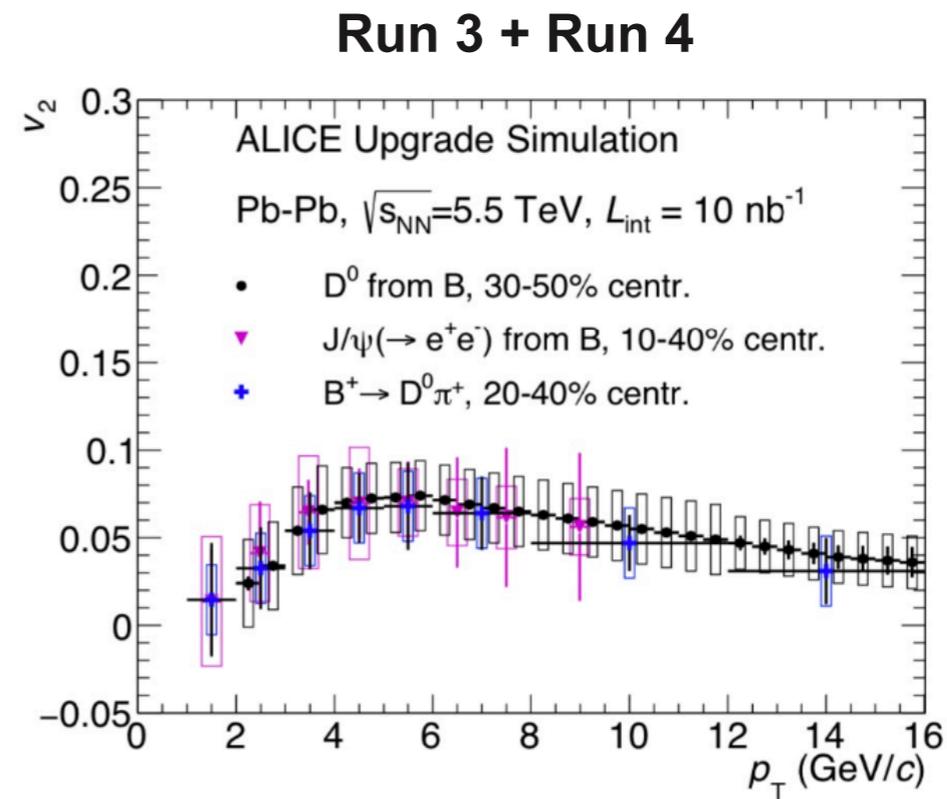
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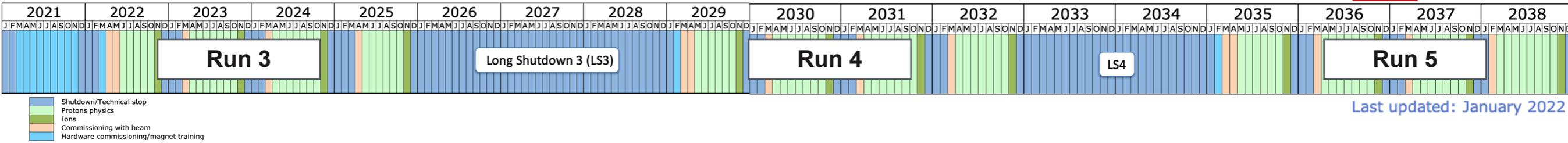
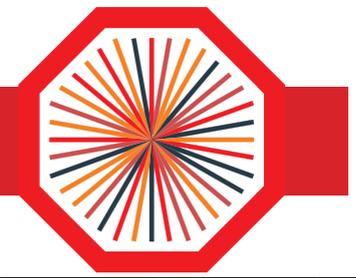
ALI-SIMUL-308763



Charmed and Beauty baryons $|\eta| < 0.9$

Insight into the interactions with light quarks of the medium and reveal transport properties of the medium

ALICE 2 in Run 3 and Run 4

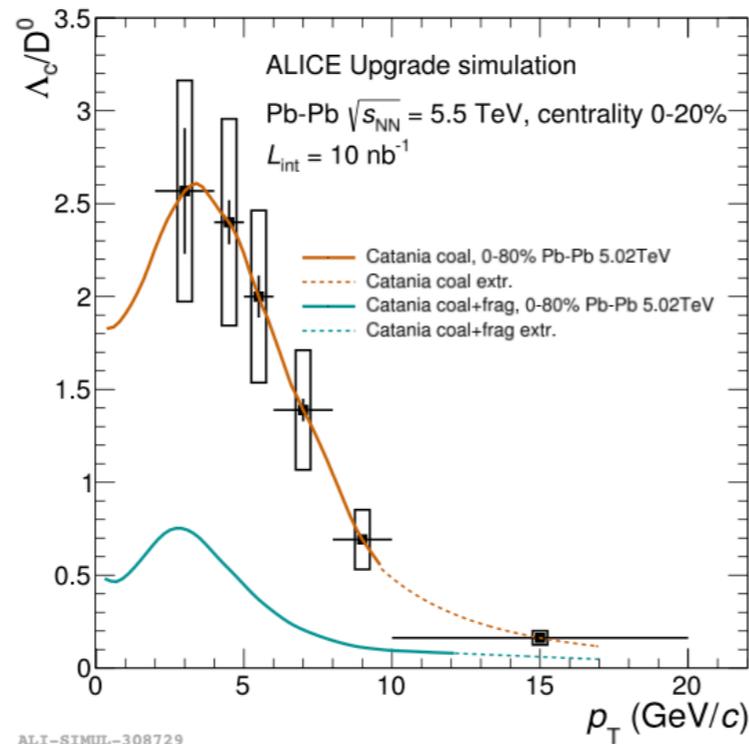


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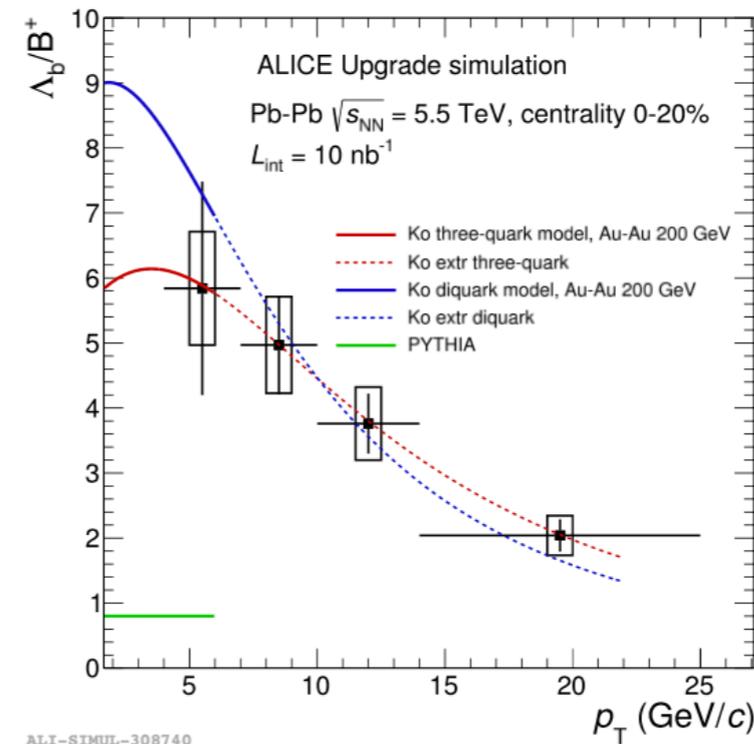
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Run 3 + Run 4



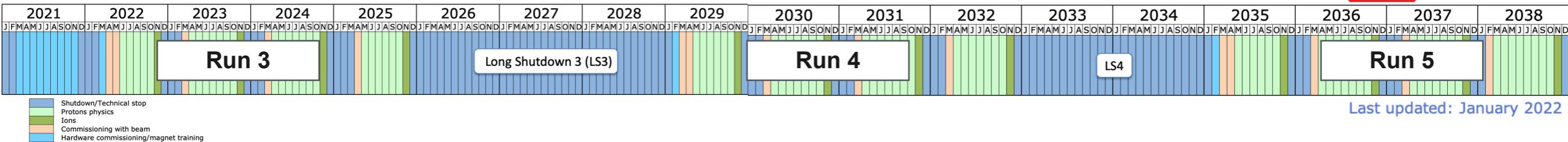
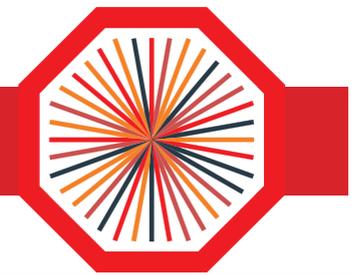
Run 3 + Run 4



Charmed and Beauty baryons $|\eta| < 0.9$

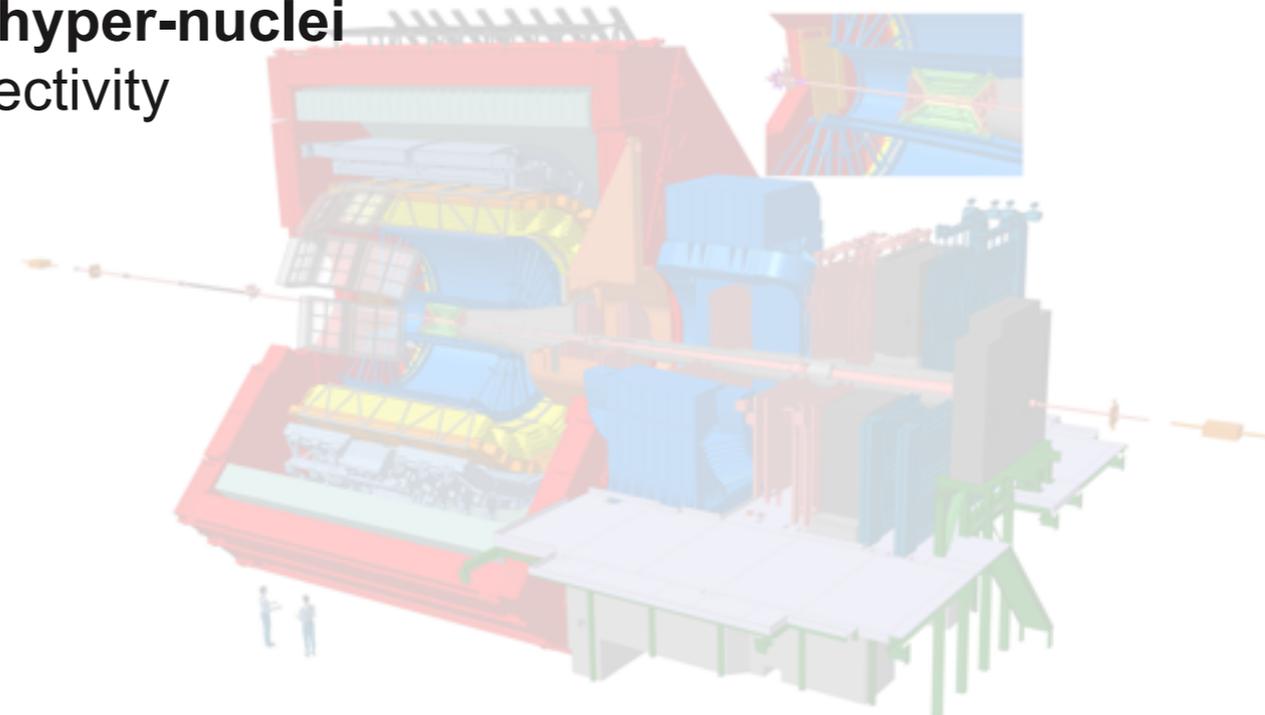
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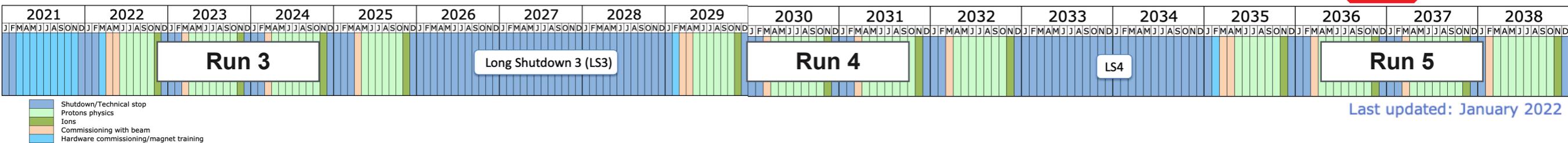
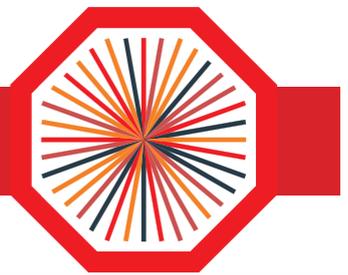


Physics goals

- » Focus on high precision measurements of **rare probes at low p_T**
 - **Heavy-flavour mesons and baryons (down to very low p_T)**
 - **Charmonium states**
 - dissociation/regeneration as tool to study de-confinement and medium temperature
 - **Dileptons from QGP radiation and low-mass vector mesons**
 - χ symmetry restoration, initial temperature
 - **High precision measurement of light and hyper-nuclei**
 - production mechanism and degree of collectivity



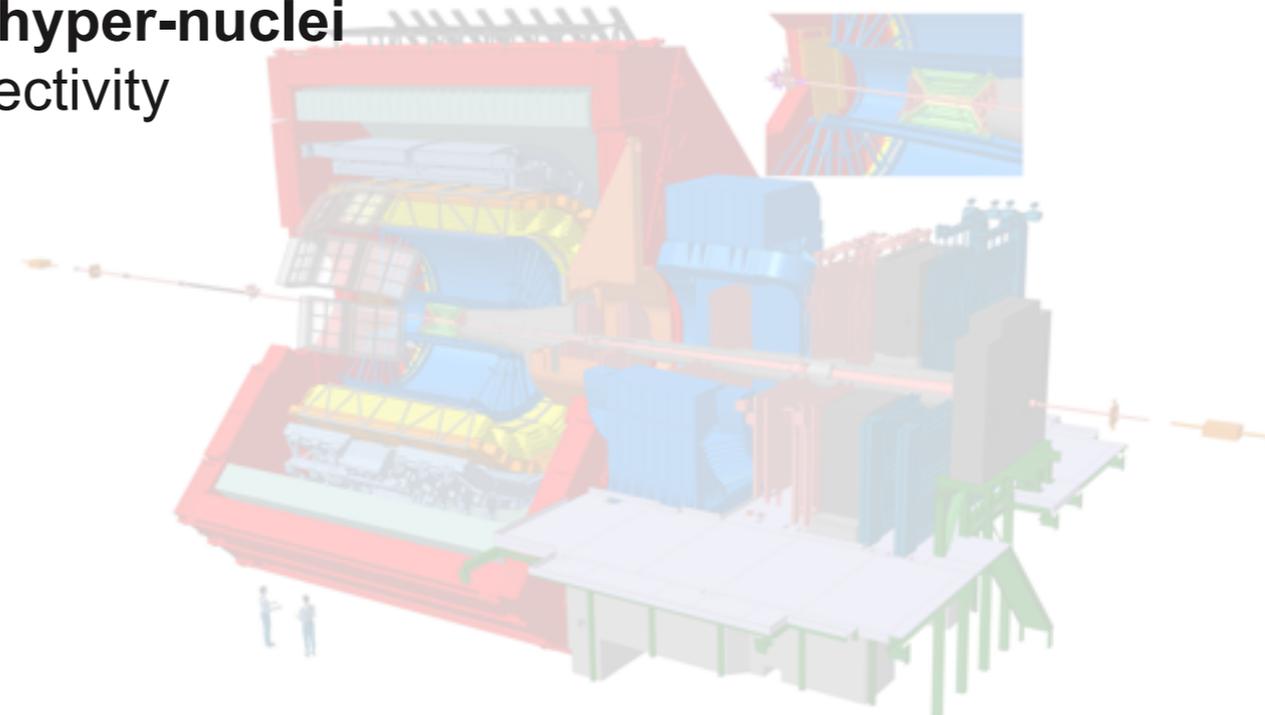
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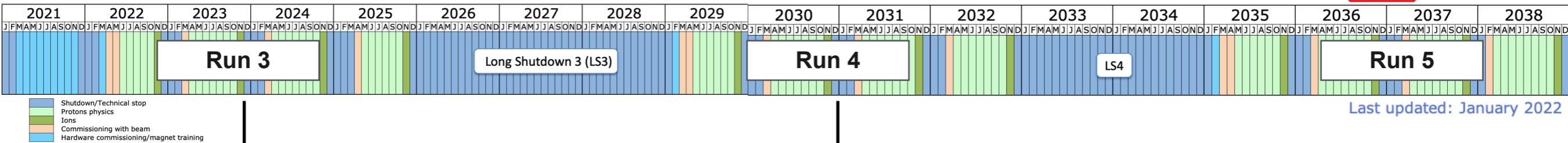
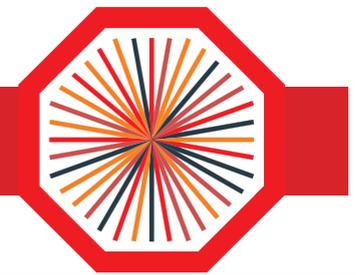
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Very low S/B ratio prevents selection with hardware trigger



ALICE 2 in Run 3 and Run 4



Run 3: $\mathcal{L}_{\text{Pb-Pb}} = 6.0 \text{ nb}^{-1}$

Run 4: $\mathcal{L}_{\text{Pb-Pb}} = 7.0 \text{ nb}^{-1}$

Data taking strategy

» Record large minimum-bias data sample

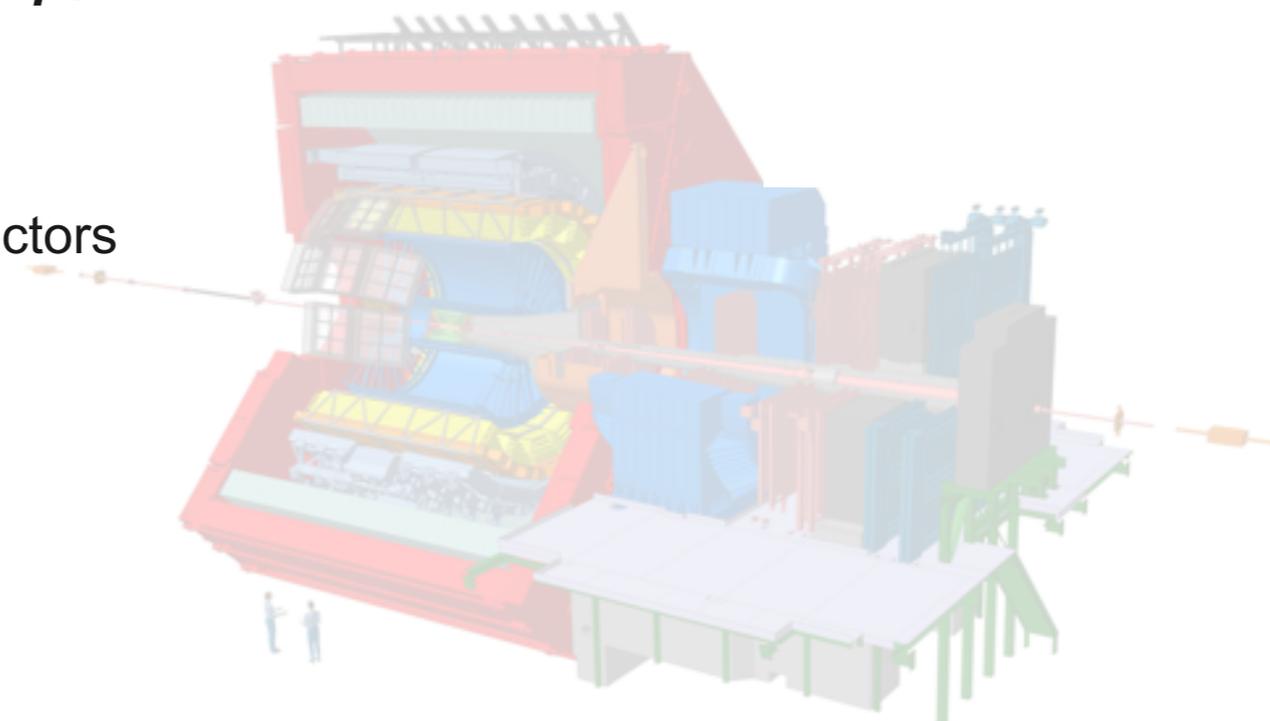
- read out all Pb-Pb interactions up to maximum LHC collision rate of 50 kHz
- collect $\mathcal{L}_{\text{Pb-Pb}} = 13 \text{ nb}^{-1}$ (increase Run 2 minimum-bias sample by factor 50-100)

» Improve tracking efficiency and resolution at low- p_T

- increase tracking granularity
- reduce material thickness

» Preserve Particle IDentification (PID)

- Consolidate and speed-up main ALICE PID detectors



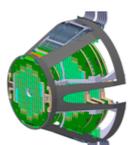


ALICE 2 in Run 3 and Run 4

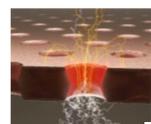
LS2 upgrades



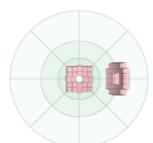
New Inner Tracking System (ITS2)



New Muon Forward Tracker (MFT)



New TPC Readout Chambers (ROCs)



New Fast Interaction Trigger (FIT) detector

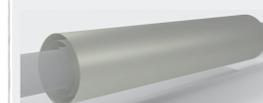


Integrated Online-Offline system (O²)

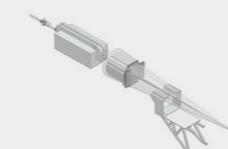


Readout upgrade for other detectors

LS3 upgrades



New Inner Tracking System (ITS3)



New Forward Calorimeter (FoCal)



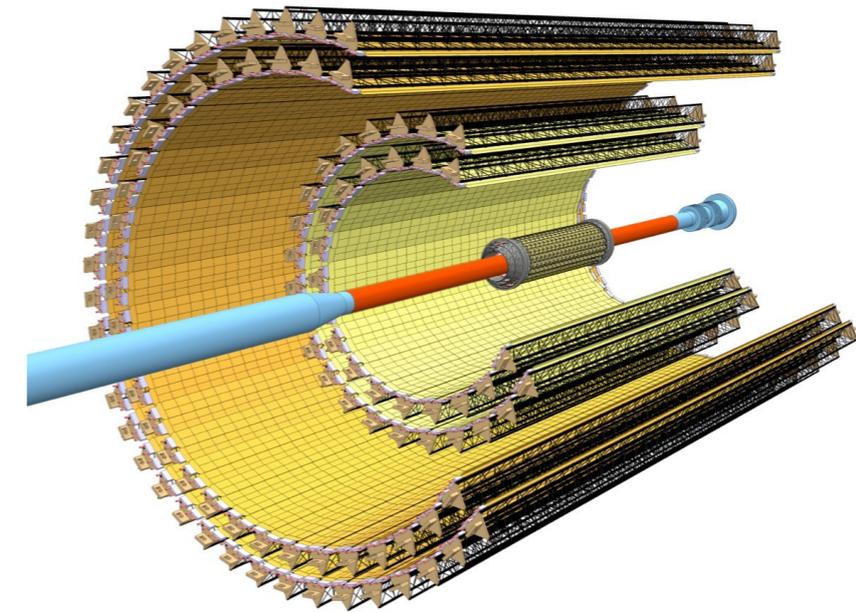
ALICE 2 - LS2 upgrade



New Inner Tracking System (ITS2)

ITS upgrade requirements

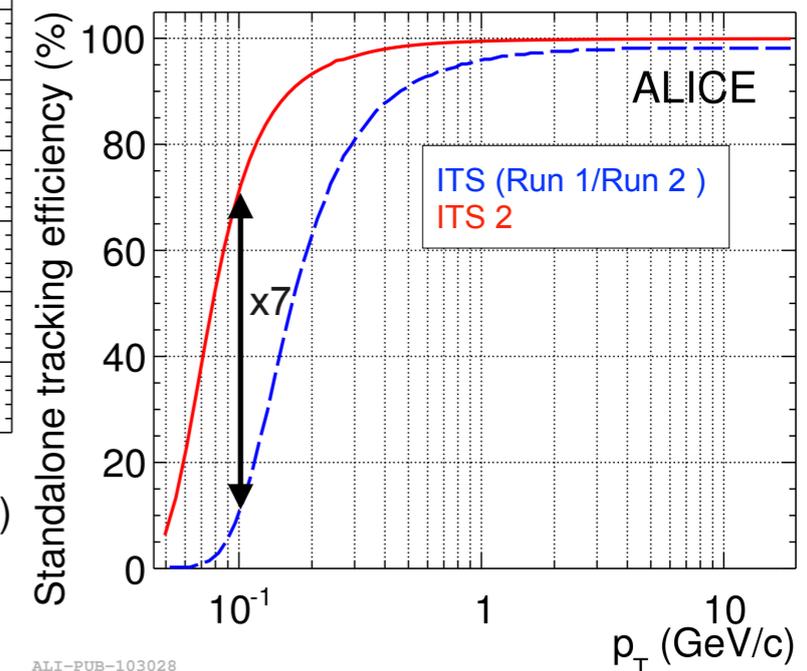
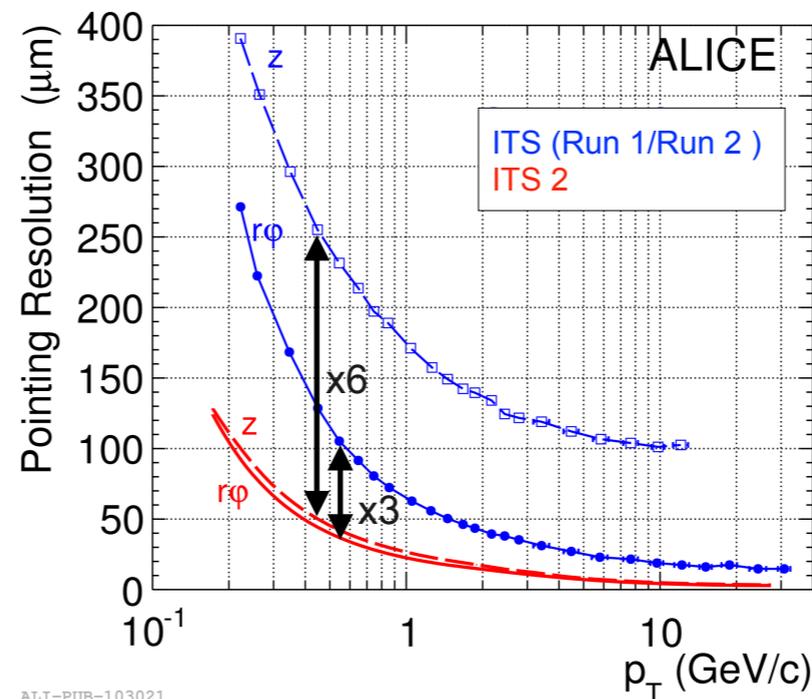
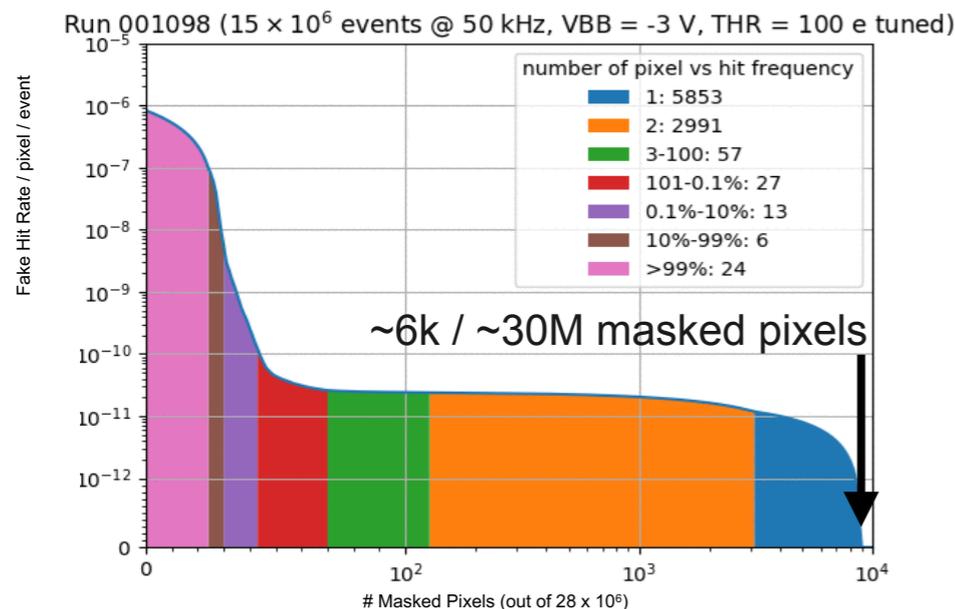
- » Improve impact parameter resolution
 - Reduce IP-to-first layer distance (new beam pipe) → 22 mm
 - Reduce material budget → 0.35% X_0 (Innermost layers)
 - Reduce pixel size → $\sim 30 \times 30 \mu\text{m}^2$
- » Improve tracking efficiency and p_T resolution at low p_T
 - Increase granularity → from 6 to 7 layers, all pixels
 - Increase readout capabilities → 100 kHz



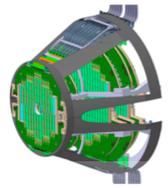
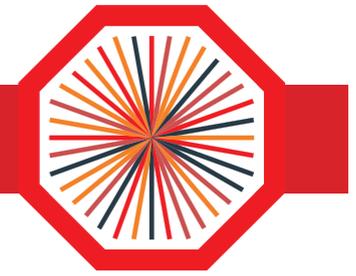
10 m² active silicon area
12.5×10⁹ pixels

ALPIDE Monolithic Active Pixel Sensor

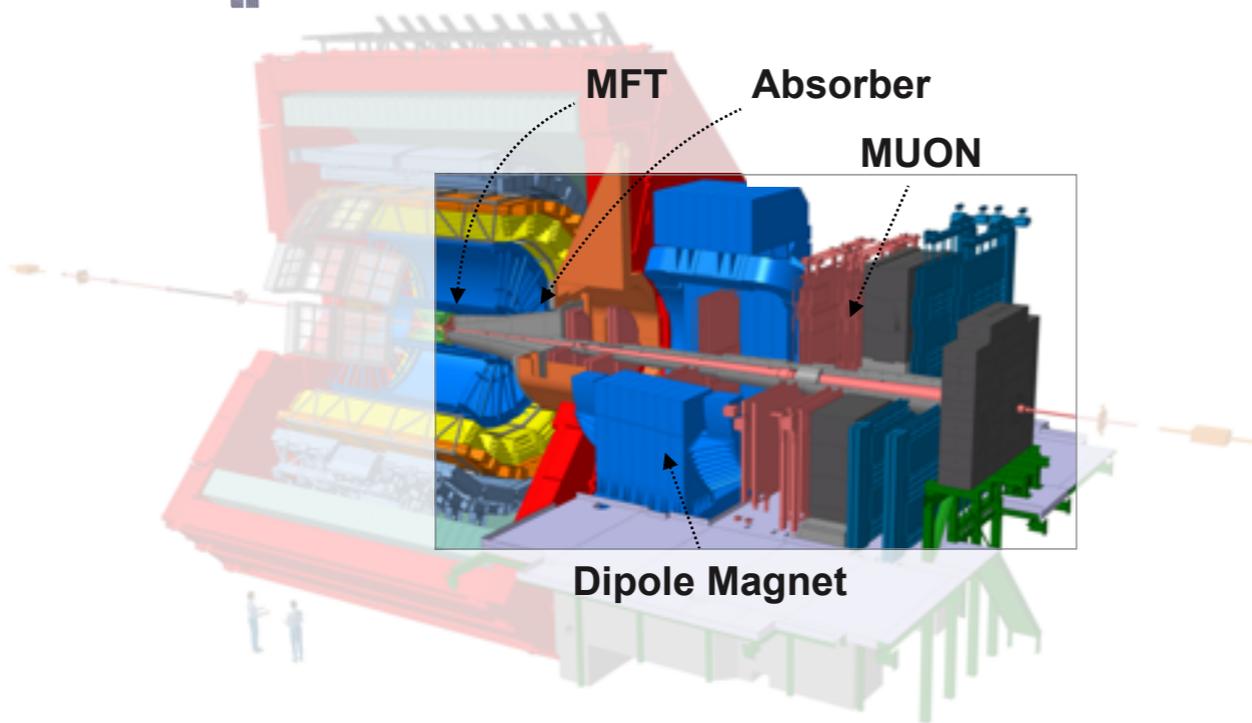
- » High detection efficiency (>99%)
- » Low fake-hit rate ($\ll 10^{-6}$ /pixel/event)



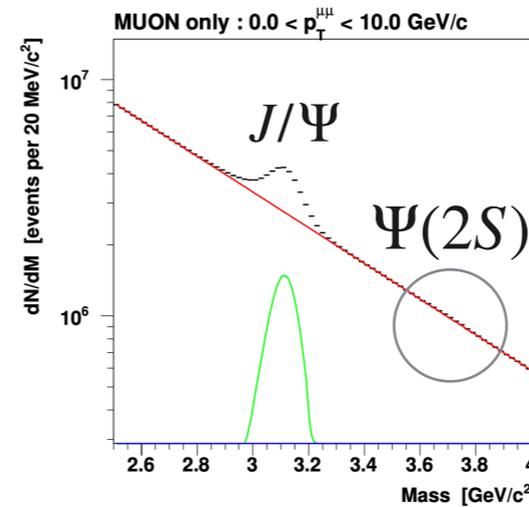
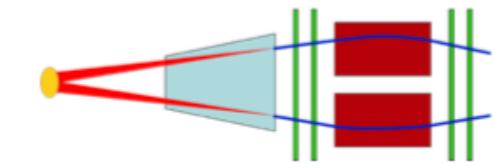
ALICE 2 - LS2 upgrade



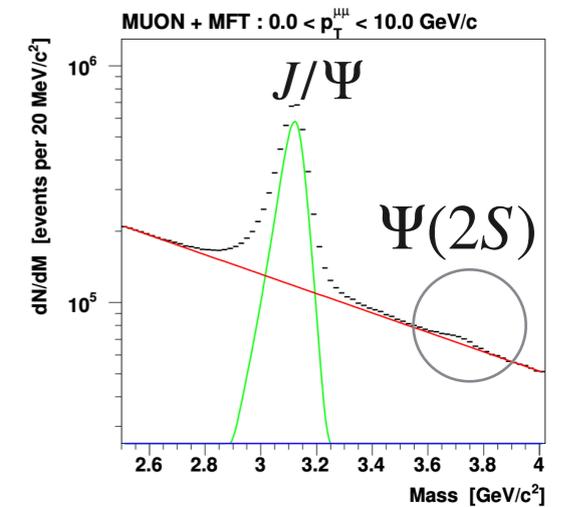
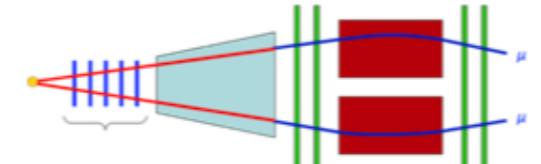
New Muon Forward Tracker (MFT)



MUON Spectrometer Only



MUON + MFT



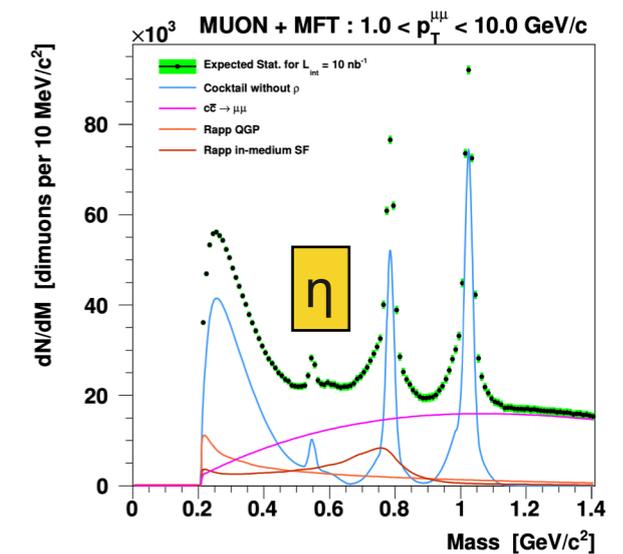
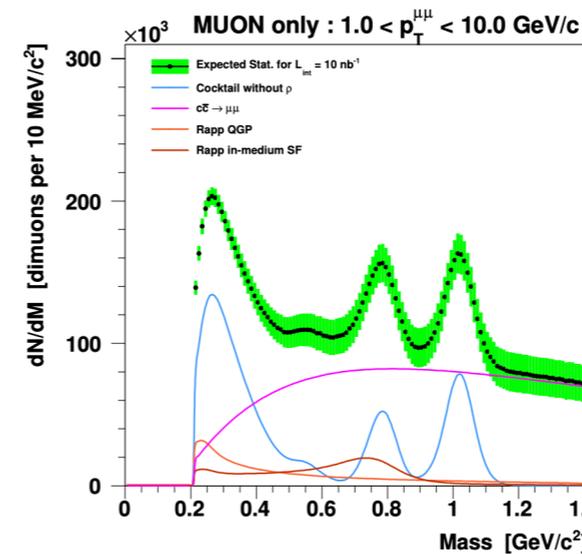
→ J/ψ background reduced by a factor 6-7
 → ψ(2S) visible even in central Pb-Pb

Muon Spectrometer (MUON)

- » Absorber suppresses all particles except muons
- » **Limitation:** multiple scattering in absorber smears track information at vertex

Muon Forward Tracker (MFT)

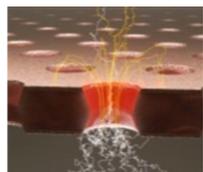
- » Add vertexing and tracking capabilities to MUON
- matching muon track with MFT tracks
- prompt and displaced J/ψ disentangling
- precise measurement of low-mass dimuons



→ Low-mass region: mass resolution improved
 → η peak visible

Fully based on ALPIDE MAPS

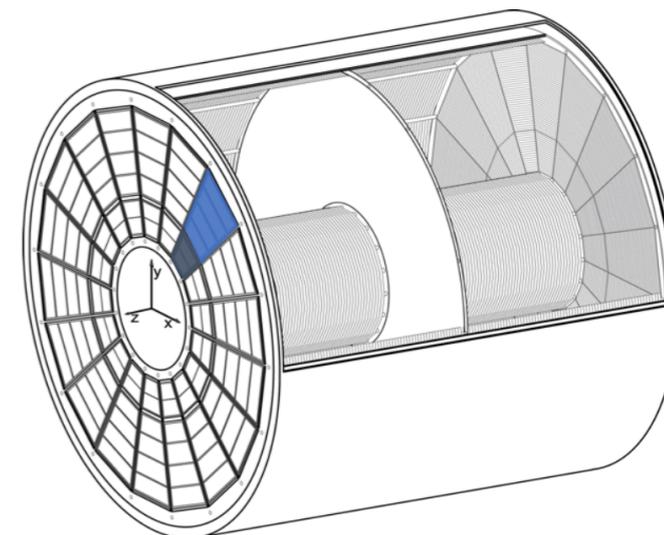
ALICE 2 - LS2 upgrade



New TPC Readout Chambers (ROCs)

Readout chambers replacement: MWPC → GEM stack

- » Removes rate restriction (due to the usage of Gating Grid)
- » Reduces ion back-flow to under 1% → no Gating Grid
- » Space charge distortions are minimised preserving PID capabilities



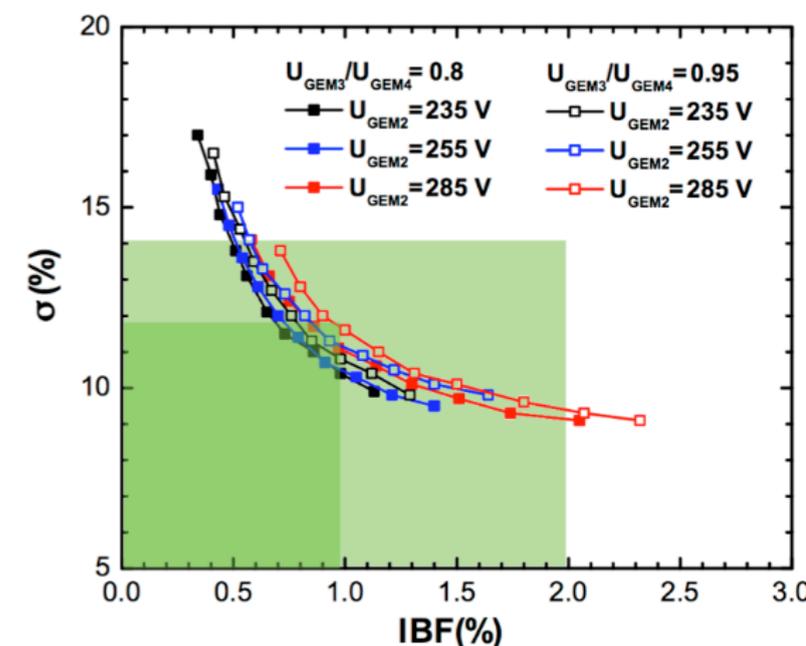
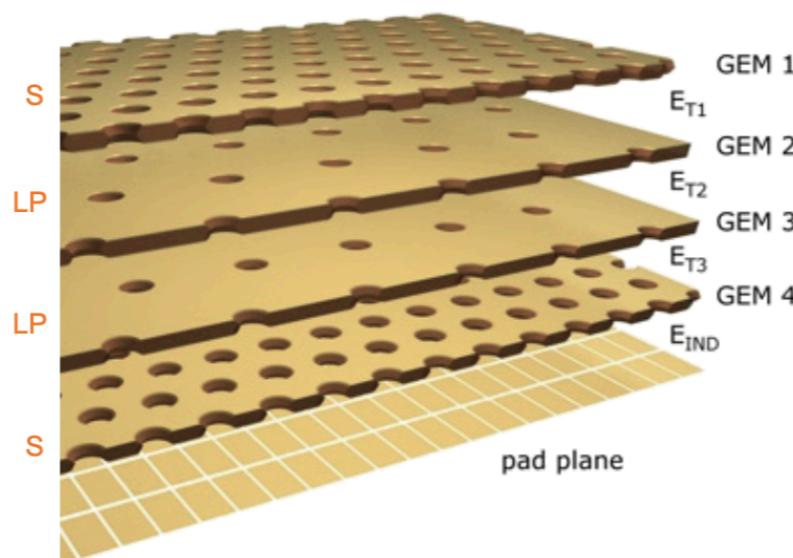
- » Diameter/Length: 5 m/5 m
- » Gas: Ne-CO₂-N₂, Ar-CO₂
- » Max. drift time: ~100 μs

Continuous readout TPC at 50 kHz → average pileup of 5 events

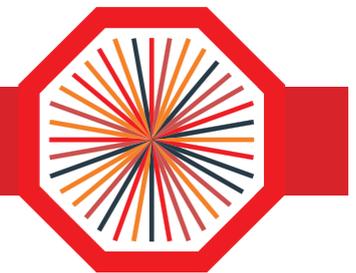
- more than 3 TB/s → GPU-based data reduction

New readout chambers: 4-GEM stack

- » Combination of standard (S) and large pitch (LP) GEM foils
- » Highly optimised HV configuration



Conservative operational limits: IBF < 1 %, local energy resolution < 12 %
 Extended operational range: IBF < 2 %, energy resolution < 14 %



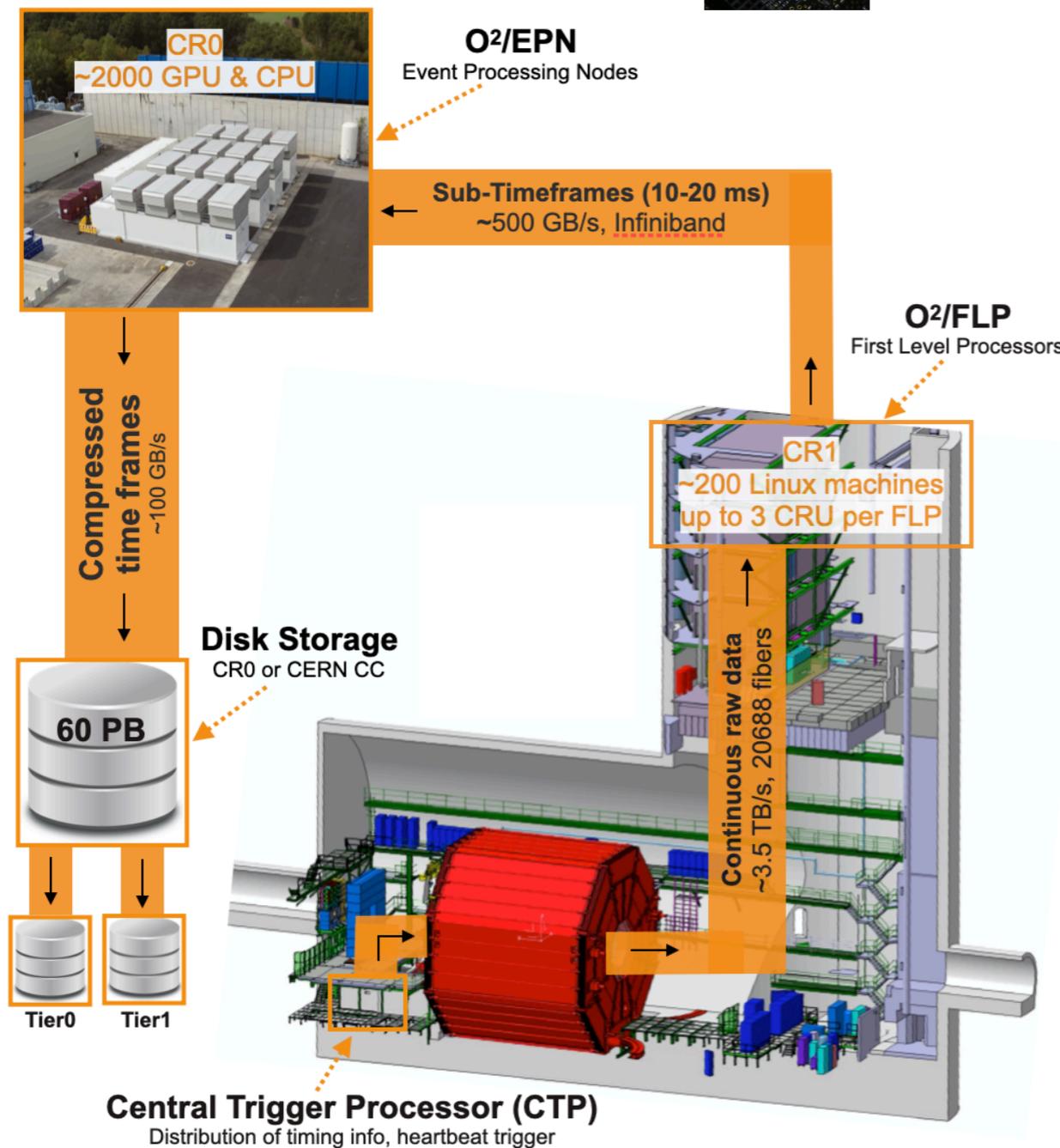
ALICE 2 - LS2 upgrade



Integrated Online-Offline system (O²)



Readout upgrade for other detectors



» Continuous readout

- Upgrade of all detector readout boards
- Heartbeat from CTP
- Timeframe (instead of events)

» Multi-step reconstruction chain

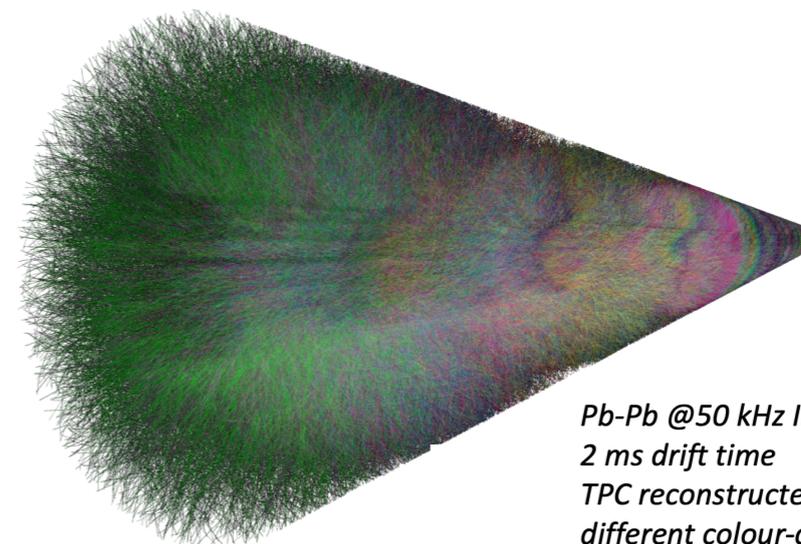
- Detector → FLP → EPN → Storage

» Synchronous processing (EPN farm)

- Data volume reduction (factor 35)
- Online calibration
- Clusterization and tracking (using GPUs) → Compressed Time Frames (CTF)

» Asynchronous processing (EPN farm/T0/T1)

- Final refined reconstruction → Analysis Object Data (AOD)

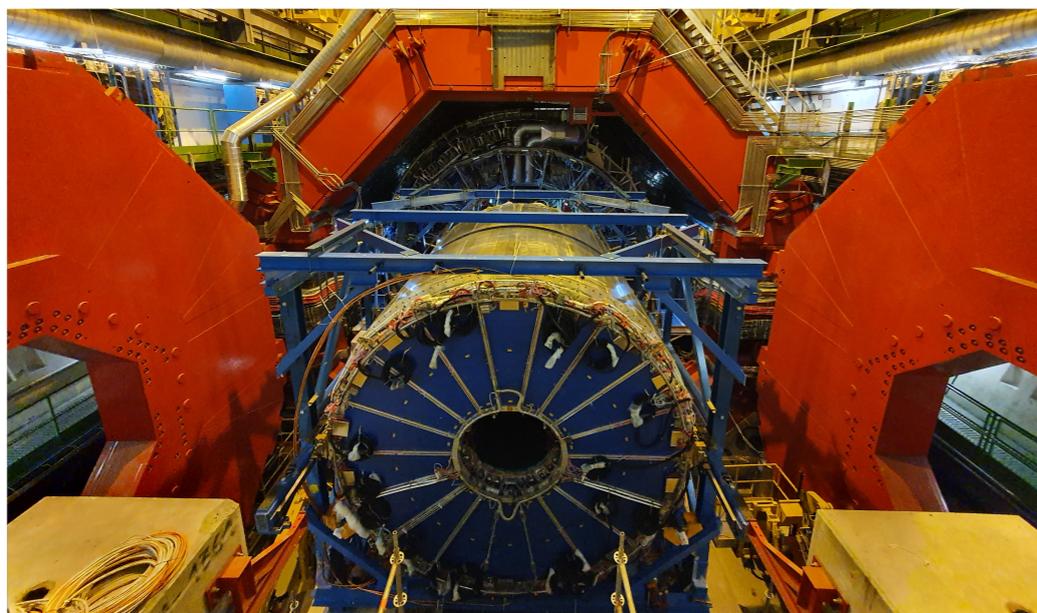


Pb-Pb @50 kHz IR
 2 ms drift time
 TPC reconstructed tracks from different colour-coded events

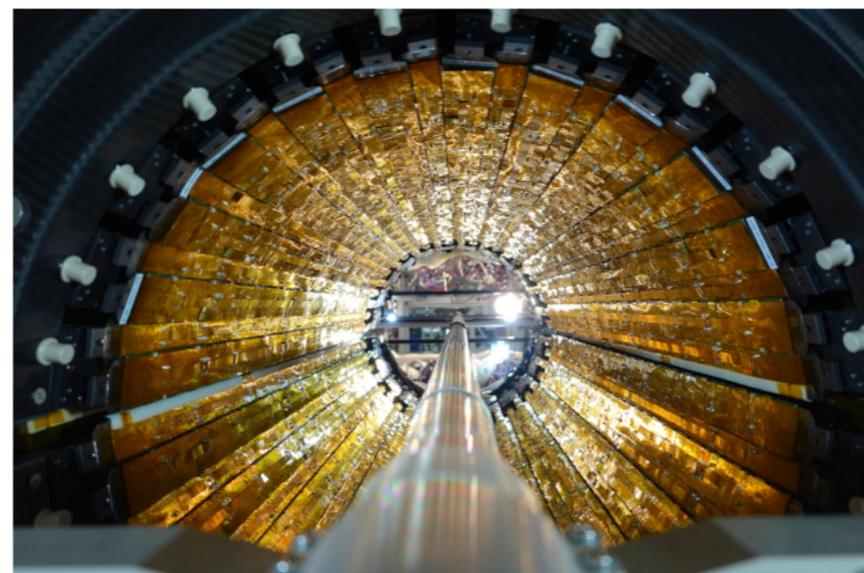
ALICE 2 - LS2 upgrade



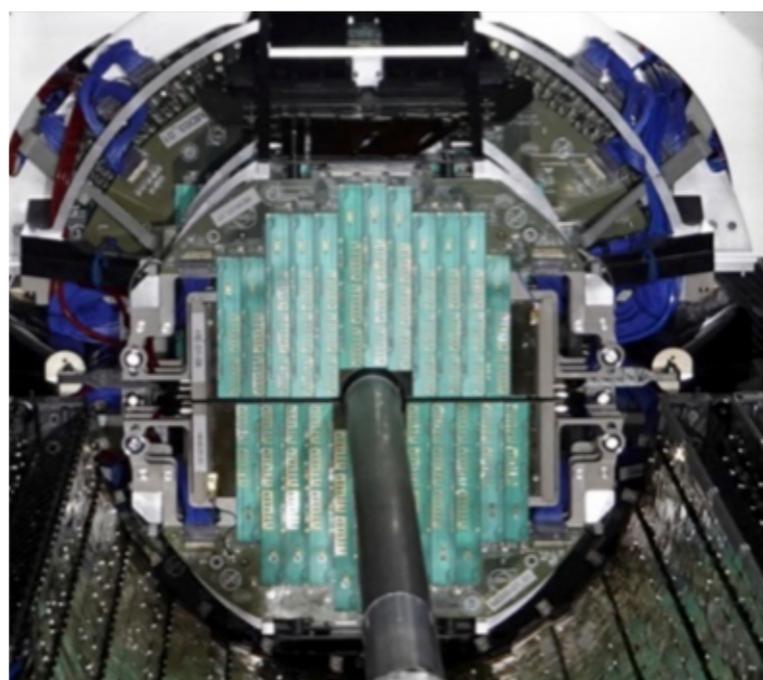
TPC installation (Aug 2020)



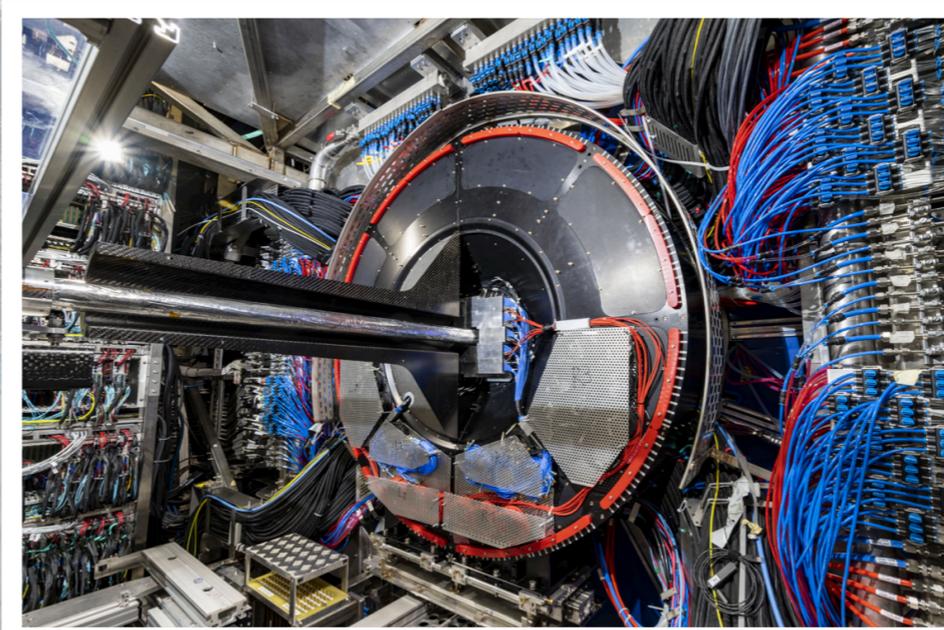
ITS installation (Feb-Jun 2021)



MFT installation (Jan 2021)



FIT installation (Jan-Jul 2021)



ALICE 2 - LS2 upgrade

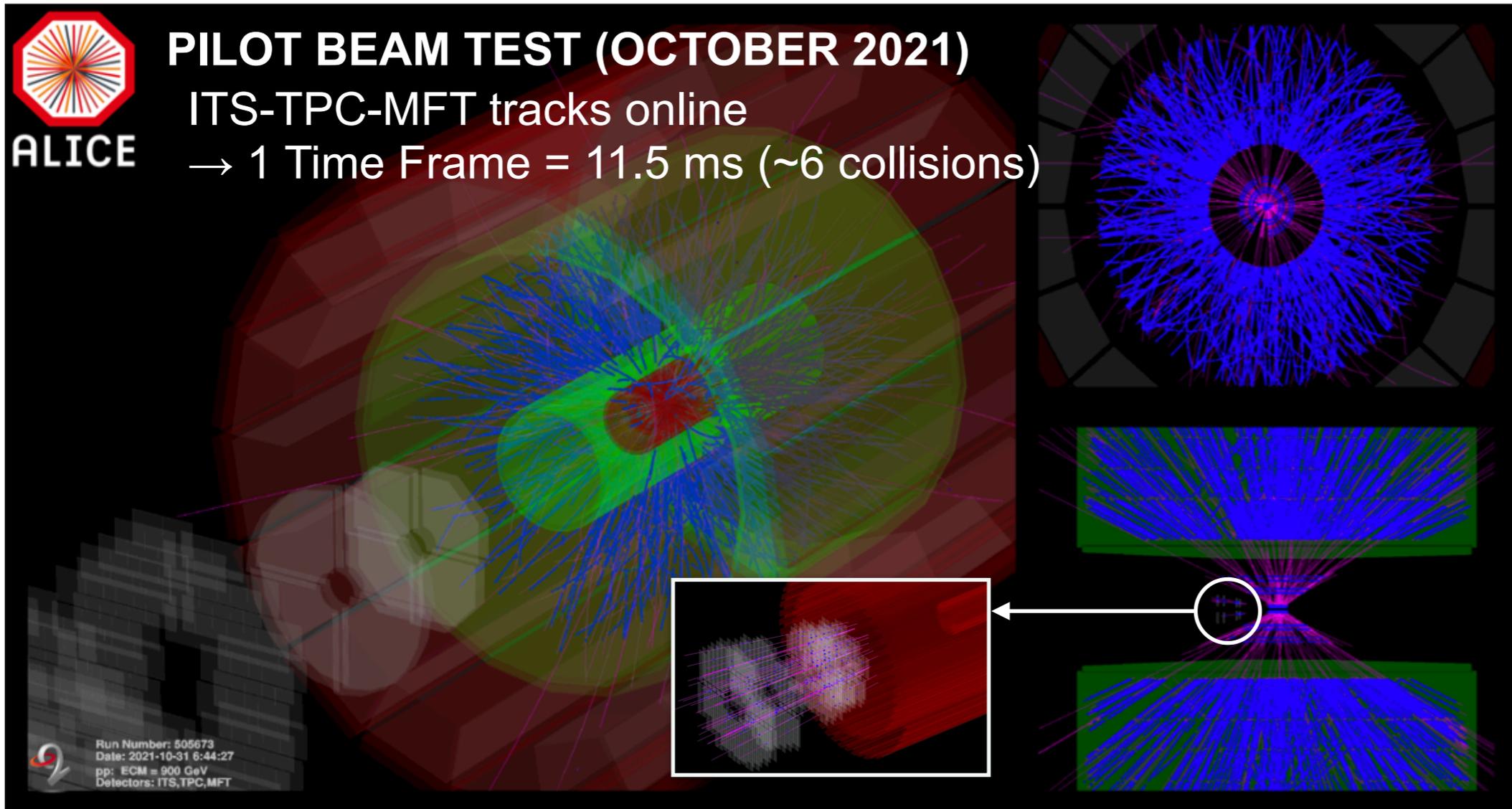


ALICE

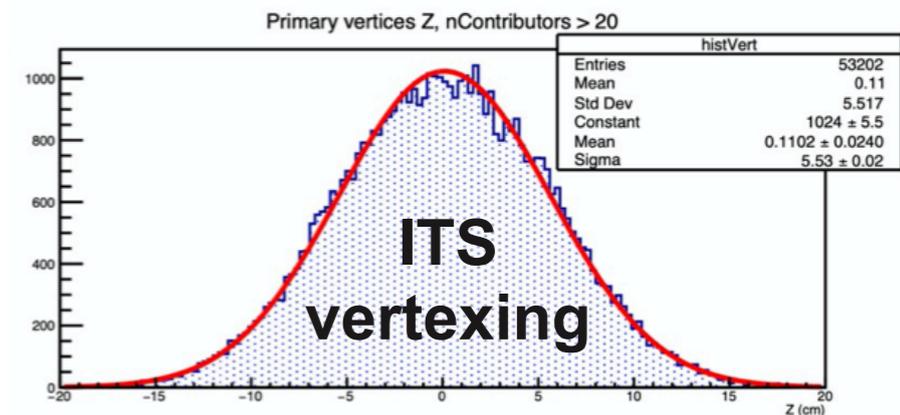
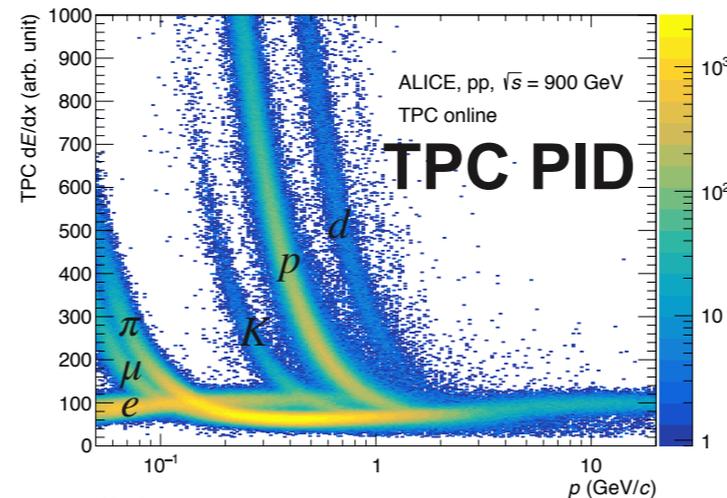
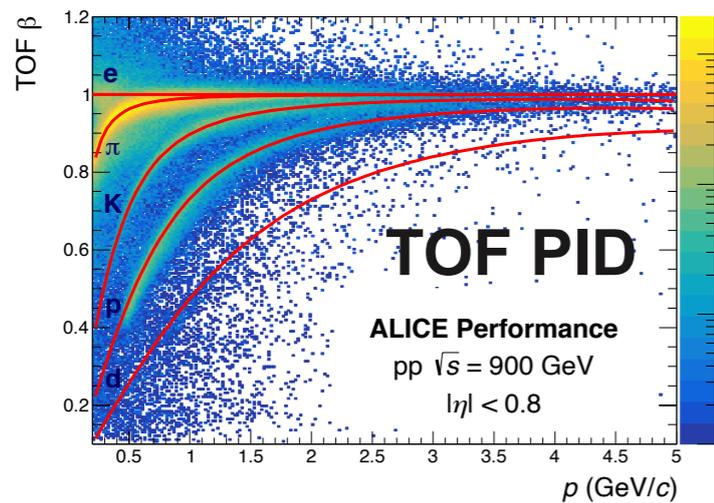
PILOT BEAM TEST (OCTOBER 2021)

ITS-TPC-MFT tracks online

→ 1 Time Frame = 11.5 ms (~6 collisions)

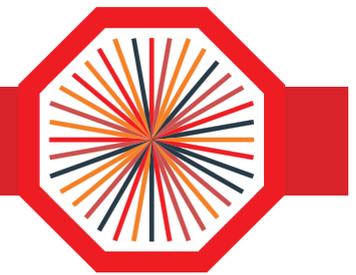


Run Number: 505673
Date: 2021-10-31 6:44:27
pp: ECM = 900 GeV
Detectors: ITS,TPC,MFT



ALI-PERF-499091

ALI-PERF-498613



ALICE 2 in Run 3 and Run 4

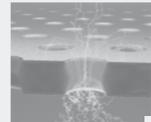
LS2 upgrades



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New Muon Forward Tracker (MFT)



New TPC Readout Chambers (ROCs)



New Fast Interaction Trigger (FIT) detector

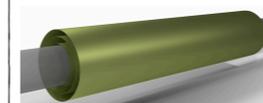


Integrated Online-Offline system (O²)

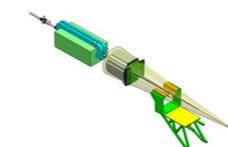


Readout upgrade for other detectors

LS3 upgrades



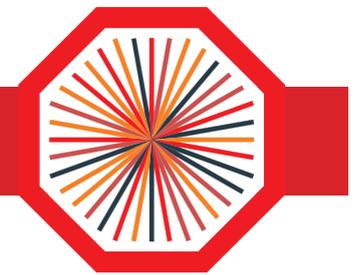
New Inner Tracking System (ITS3)



New Forward Calorimeter (FoCal)

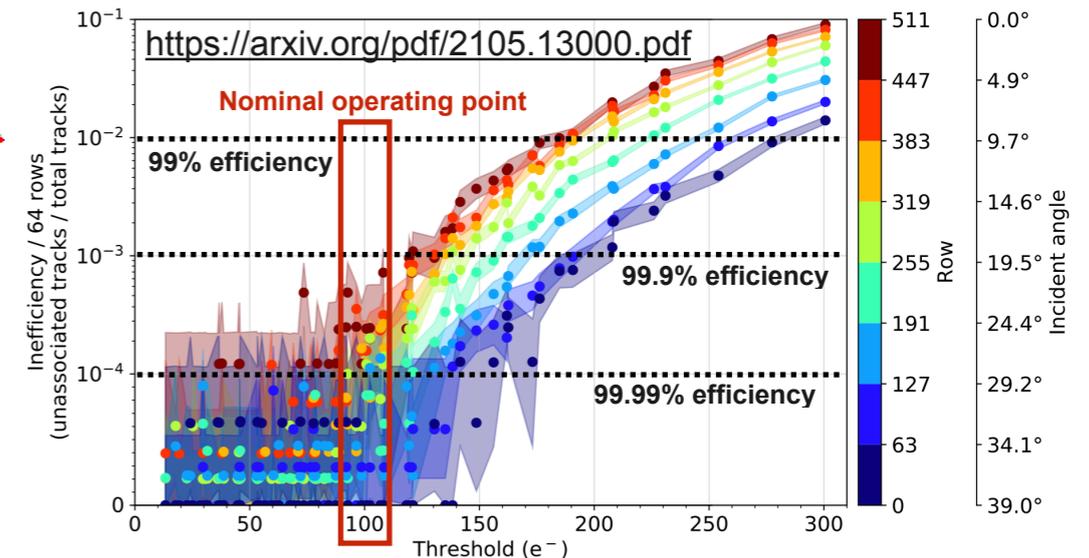
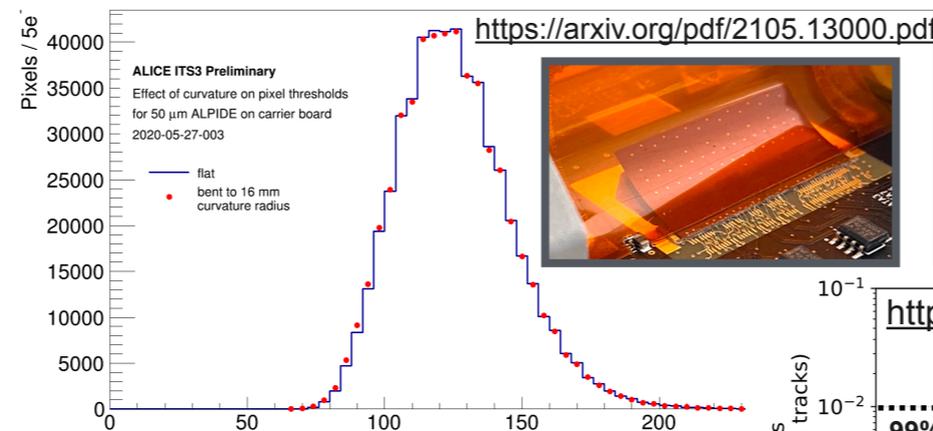
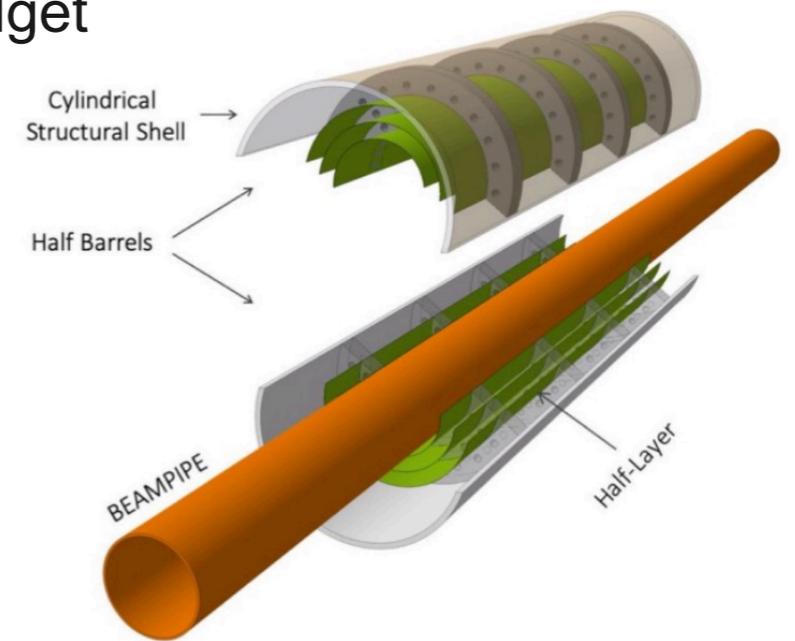
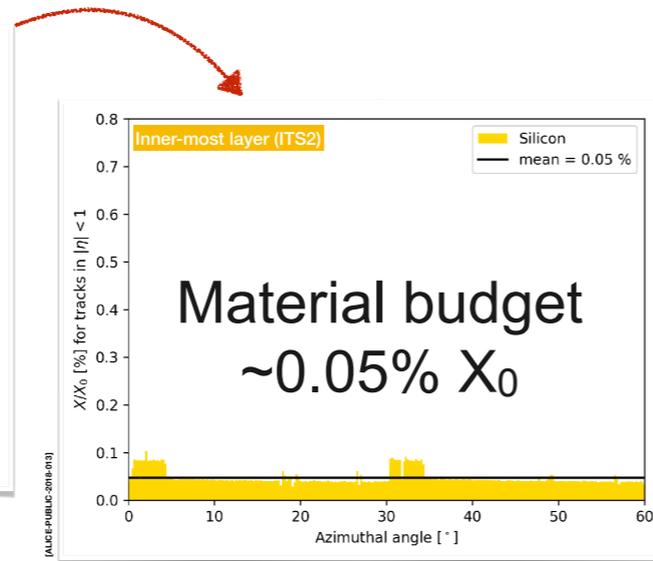
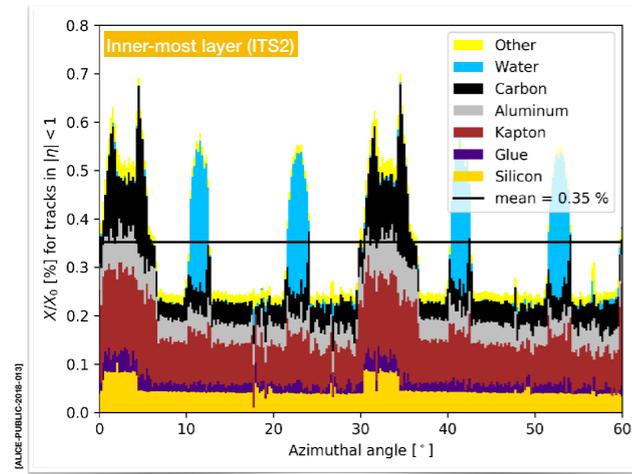


ALICE 2 - LS3 upgrade



New Inner Tracking System (ITS3)

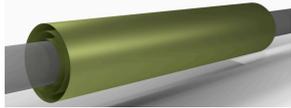
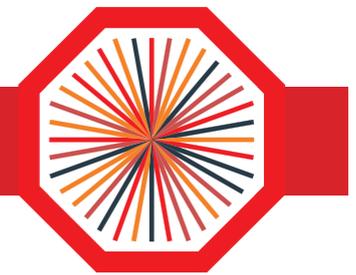
Truly cylindrical, wafer-size sensors for homogeneous inner tracker with ultra-low material budget



Well advanced R&D

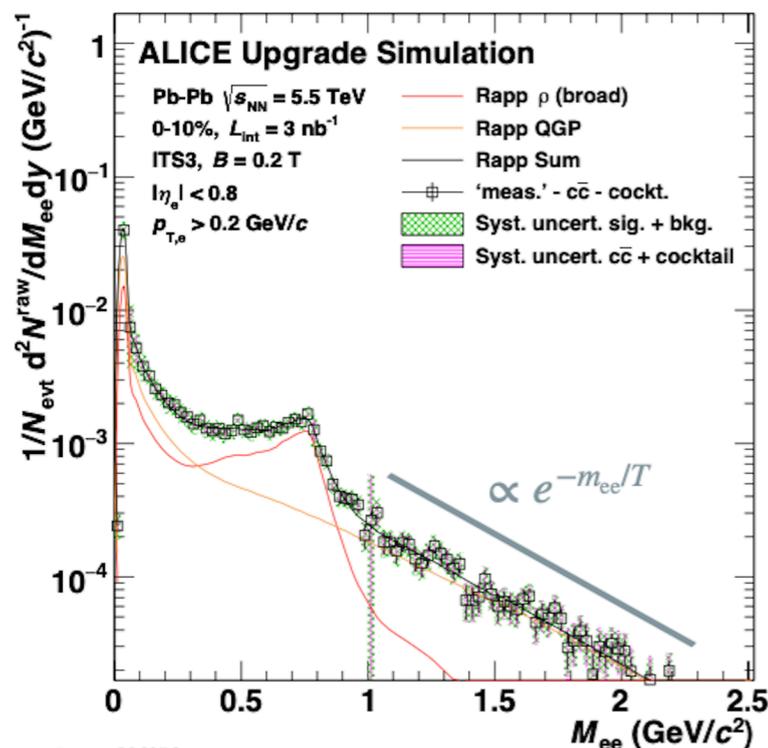
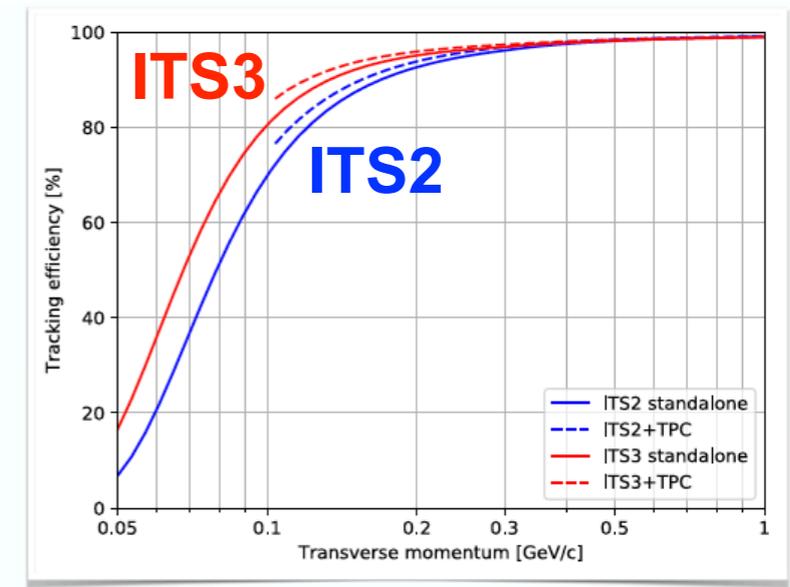
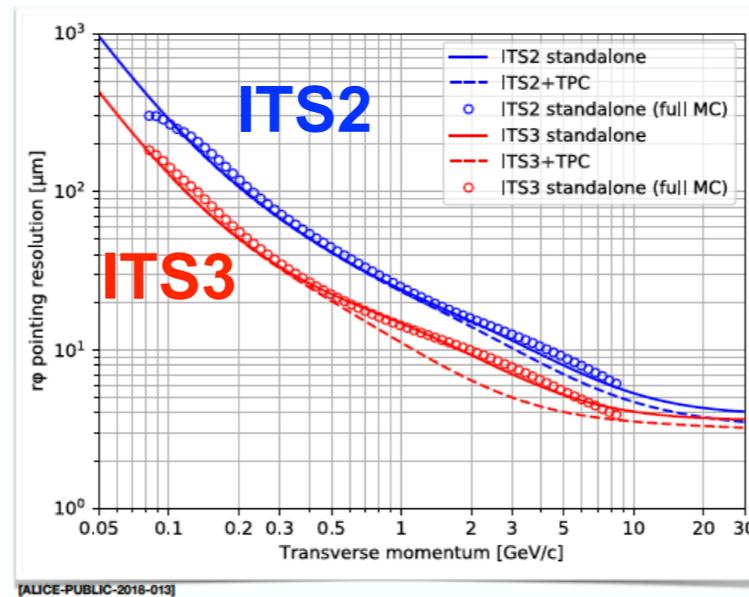
- » Bent ALPIDE (18 mm radius) as efficient as when flat
- » Silicon bending procedure developed (thickness <50 μm)
- » New chip (65 nm technology)
 - First digital/analog structures under characterisation
 - First stitching application submitted for production

ALICE 2 - LS3 upgrade

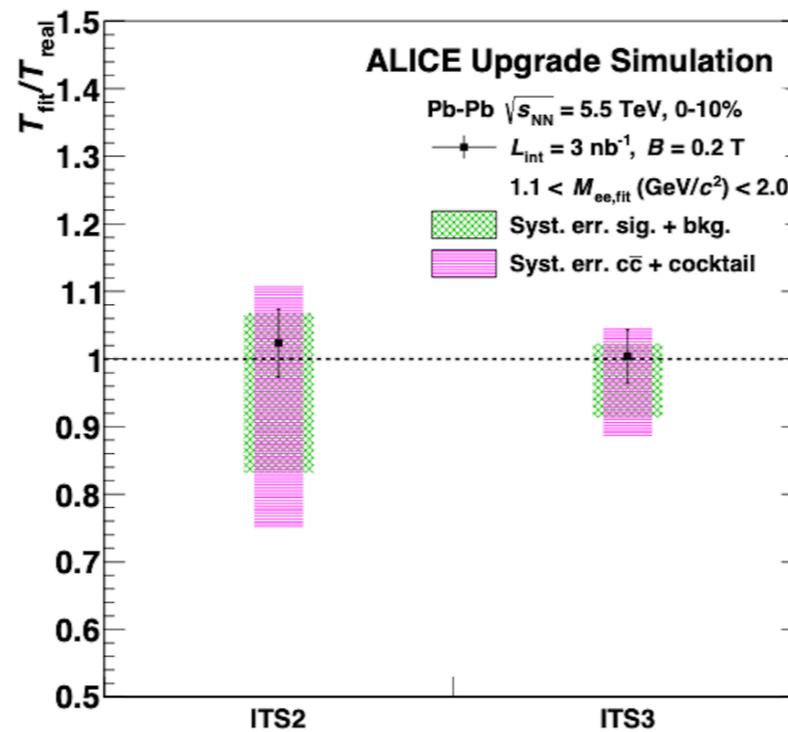


New Inner Tracking System (ITS3)

» Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)



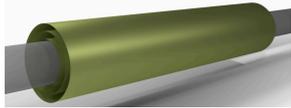
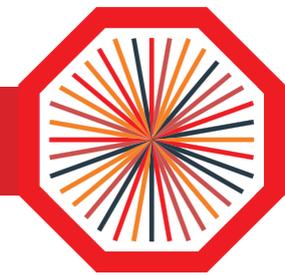
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ALI-SIMUL-306864

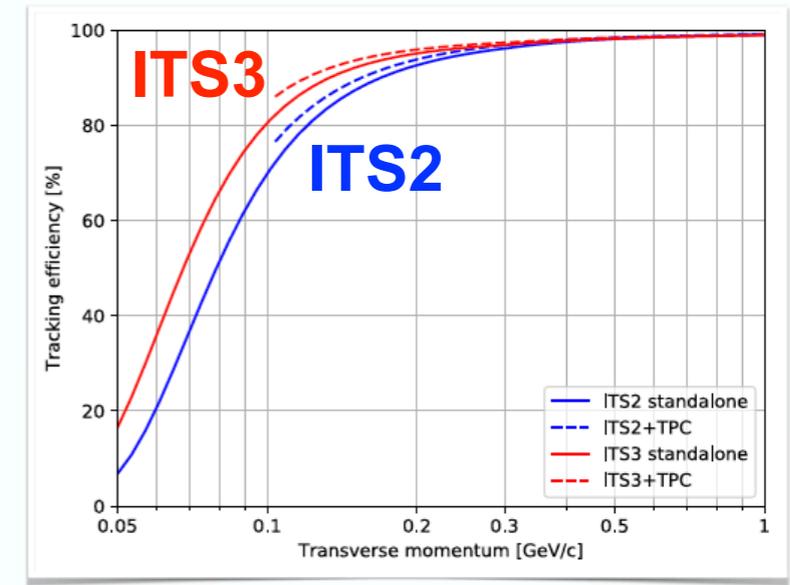
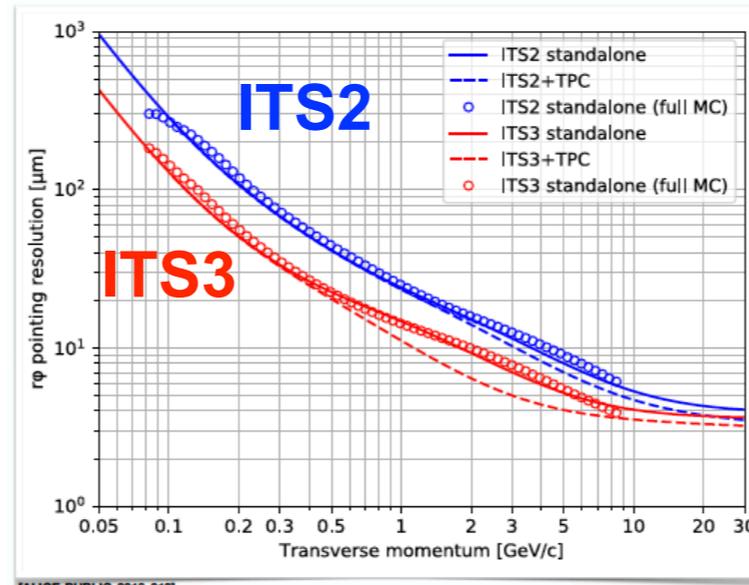
Low-mass dileptons and thermal radiation

- » Better charm rejection (vertexing)
- » Reduced contribution from conversion (low material budget)
- » Reduced systematic uncertainties by a factor of 2
- » Reduced statistical uncertainties by a factor of 1.3



New Inner Tracking System (ITS3)

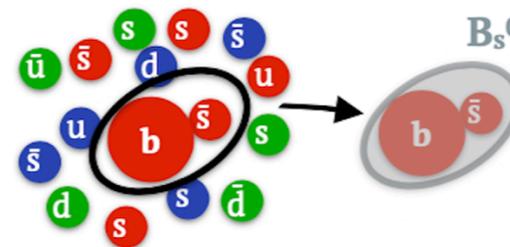
» Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)



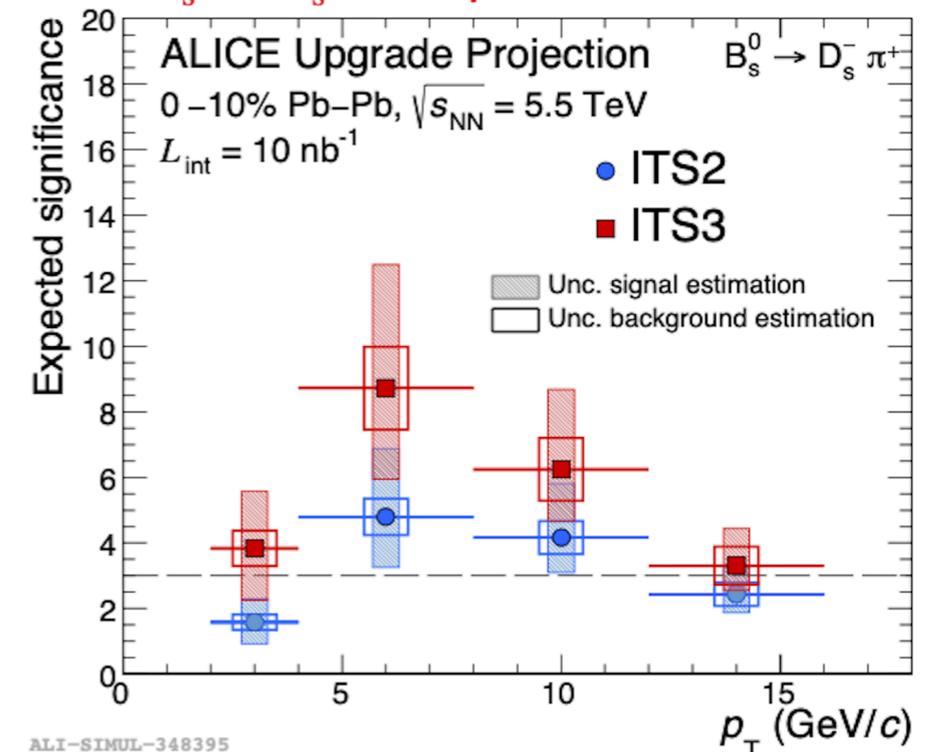
Beauty-quark hadronisation

» B_S^0 production expected to be enhanced

- hadronisation of beauty quarks via recombination + enhanced strange-quark production in the QGP

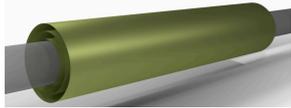


» Significance improvement by a factor 2 with ITS3
 \rightarrow access to B_S^0 at very low p_T



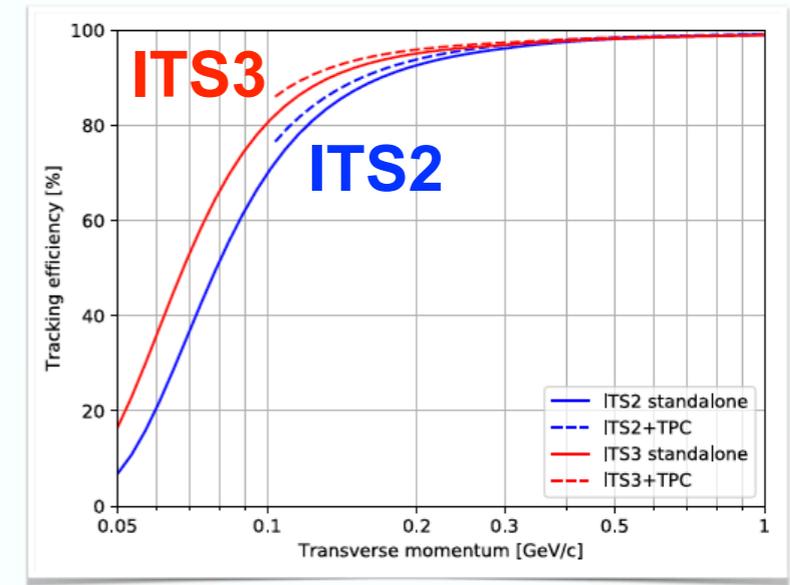
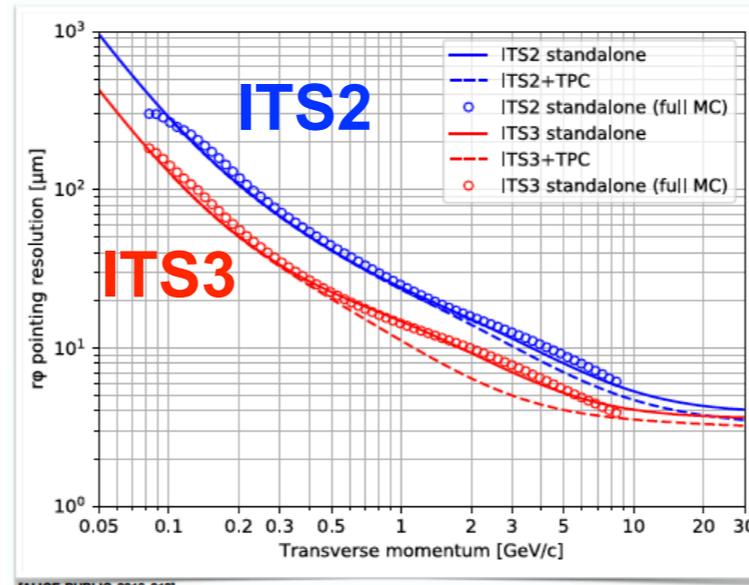
ALI-SIMUL-348395

ALICE 2 - LS3 upgrade

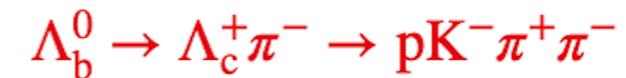
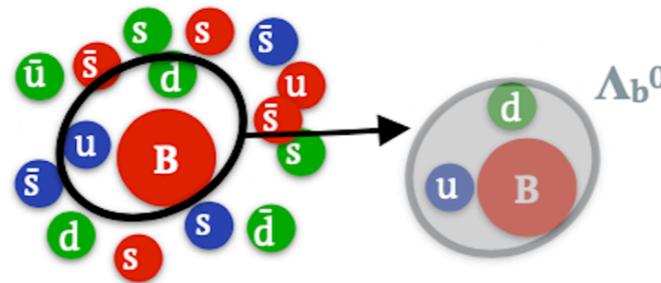


New Inner Tracking System (ITS3)

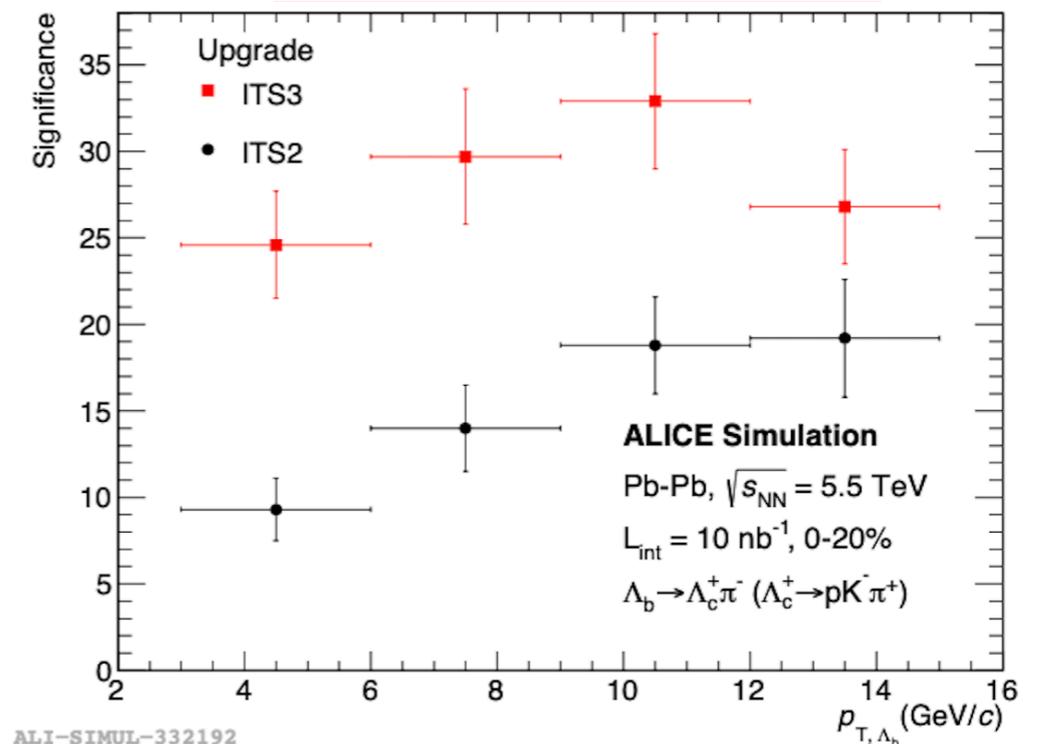
» Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)



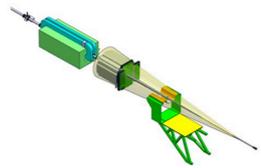
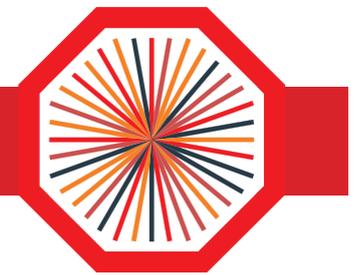
Λ_b^0 baryons



- » Measurement of Λ_b^0 in Pb-Pb collisions already accessible with ITS2
- » Expected improvement of statistical significance up to factor 2.5 with ITS3



ALI-SIMUL-332192



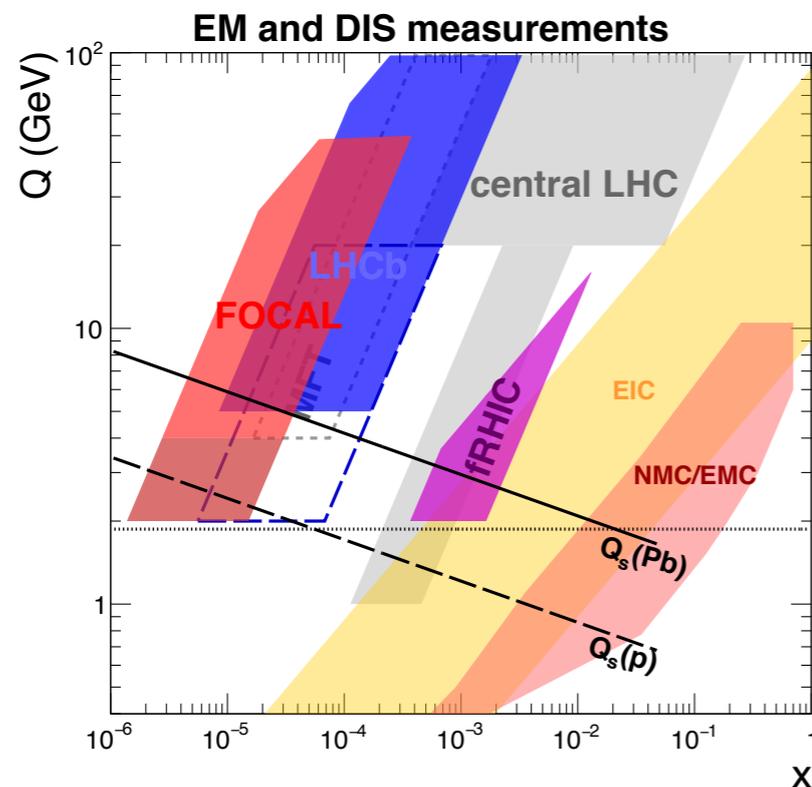
New Forward Calorimeter (FoCal)

Main goal

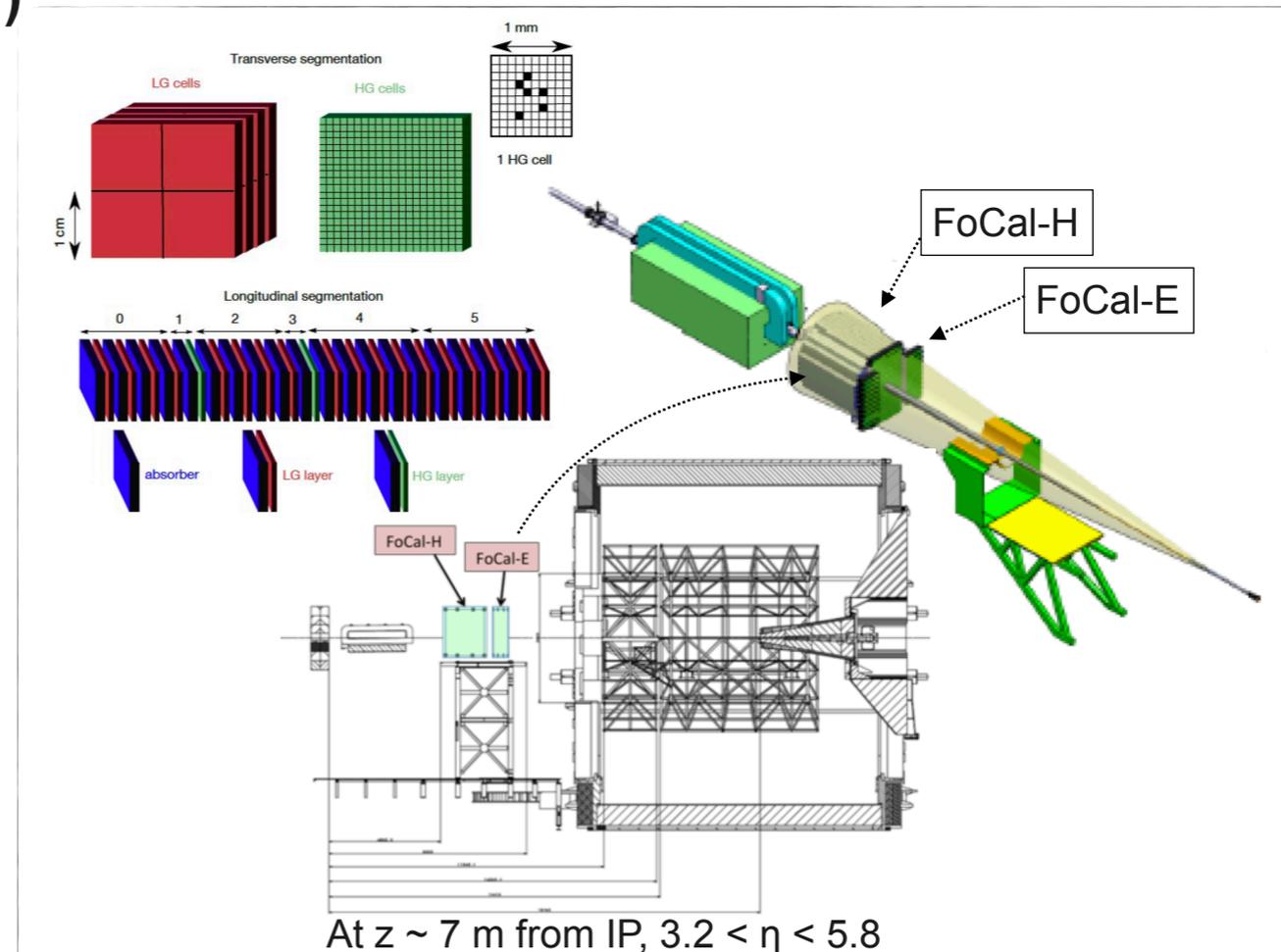
- » Constrain gluon nuclear PDF at small Bjorken-x
- Measure isolated photons at forward rapidity

Main challenge

- » Separate photons and π^0 at high energy
- two photon separation from π^0 decay ~ 2 mm
- small Molière radius and high granularity readout



LoI (CERN-LHCC-2020-009):
<https://cds.cern.ch/record/2719928?ln=en>



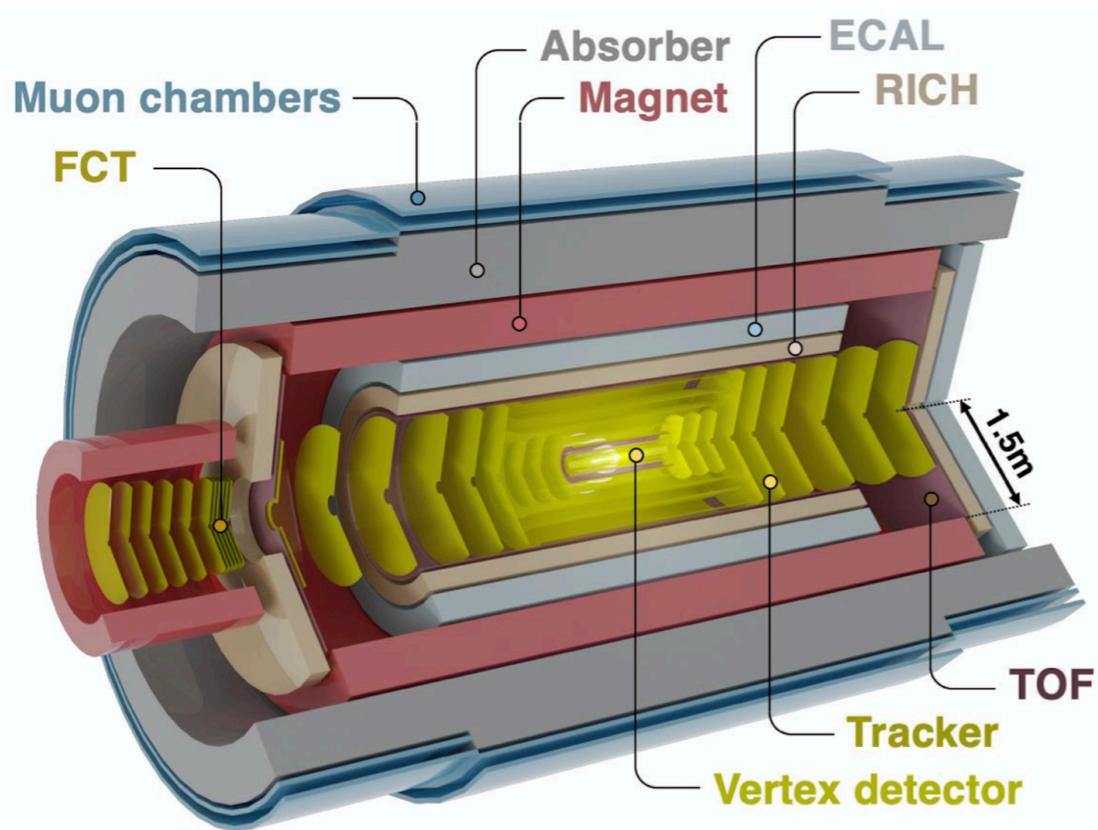
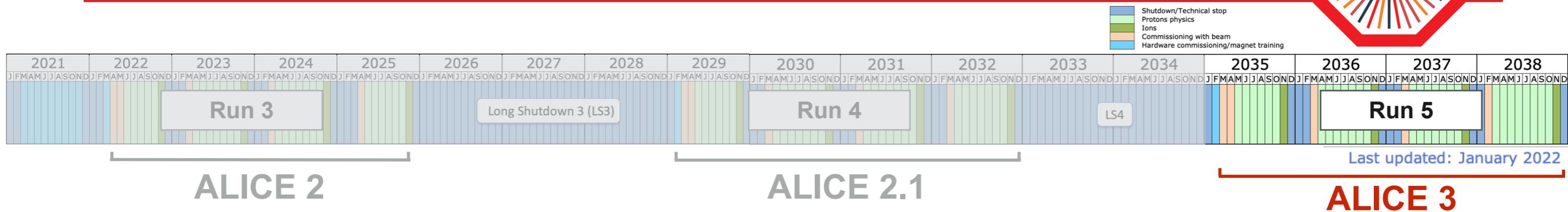
FoCal-E

- high granularity electromagnetic calorimeter for γ and π^0
- Tungsten alloy plates (3.5 mm $\sim 1 X_0$)
- Silicon sensors with hybrid design
 - Si-pads (~ 1 cm²) for energy measurement
 - MAPS ($\sim 30 \times 30$ μ m²) for two-shower separation

FoCal-H

- conventional Pb-Sc sampling hadronic calorimeter for photon isolation and jets

ALICE 3



“Ambition to design a new experiment to continue with a rich heavy-ion programme at the HL-LHC” mentioned in the [Update of the European strategy for particle physics](#)

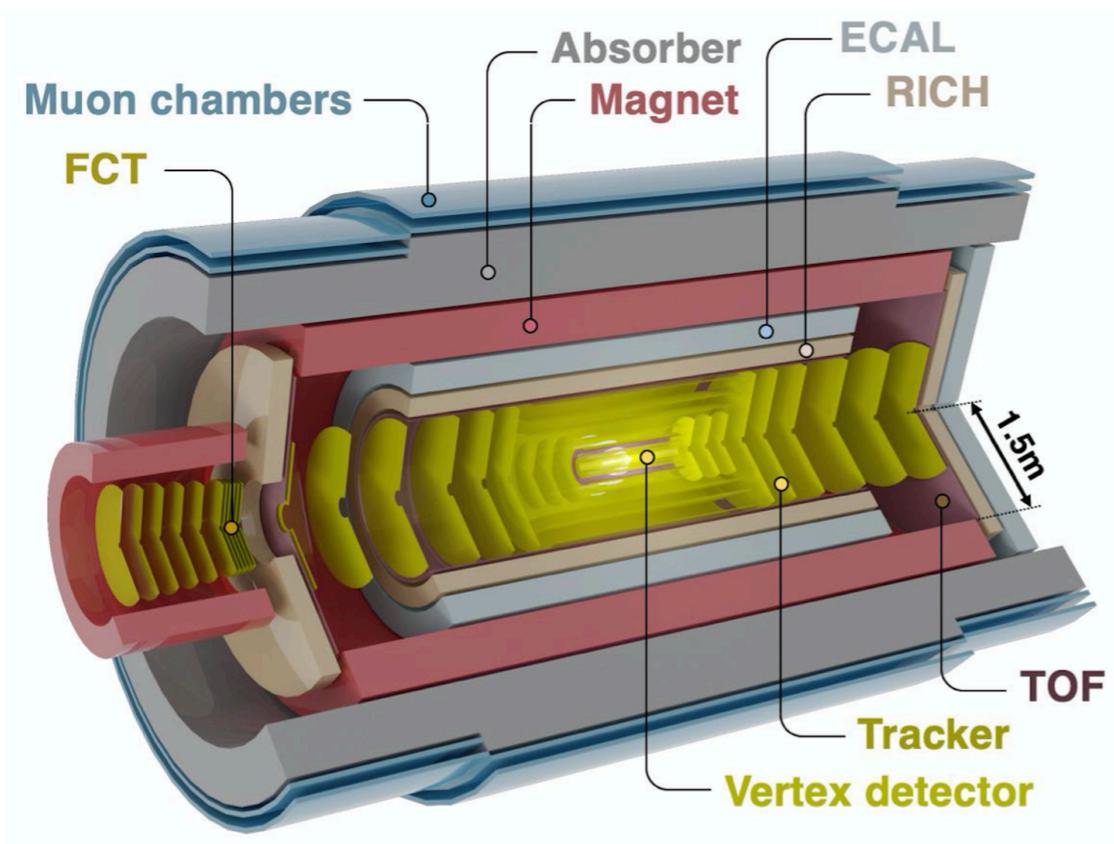
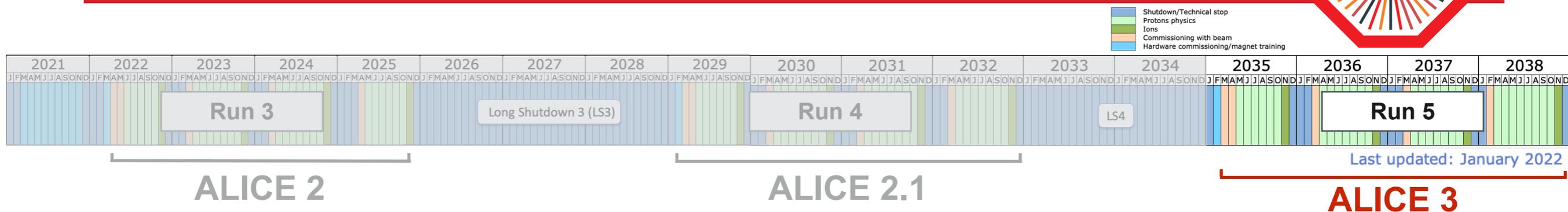
A next-generation LHC HI (soft-QCD) experiment

- » Compact, all-silicon “nearly massless” detector with excellent low- p_T tracking performance
- » Increase rate capabilities: luminosities x20 - x50 higher than in ALICE 2
- » **Unprecedented insight into QGP**

Expression of Interest (2019): <https://arxiv.org/abs/1902.01211>

LoI (2022) [LHCC-2022-009]: <https://cds.cern.ch/record/2803563?ln=fr>

ALICE 3

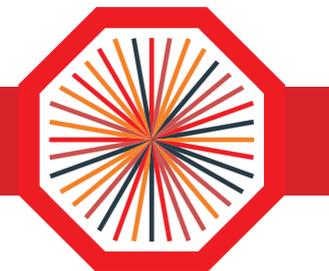


Physics program

- » **Charm and beauty hadrons correlation** over a wide rapidity range
- » Systematic measurements of **multiply heavy-flavoured hadrons** (expected enhanced production from the QGP)
- » Production and behaviour of the **charmed exotic states** in the QGP and their structure
- » **Multi-differential measurements of electromagnetic radiation** from the QGP (probe early evolution and restoration of chiral symmetry)
- » Measurements of **net-quantum number fluctuations** over a wide rapidity range (constrain susceptibilities of QGP and to test the realisation of a cross-over phase transition)

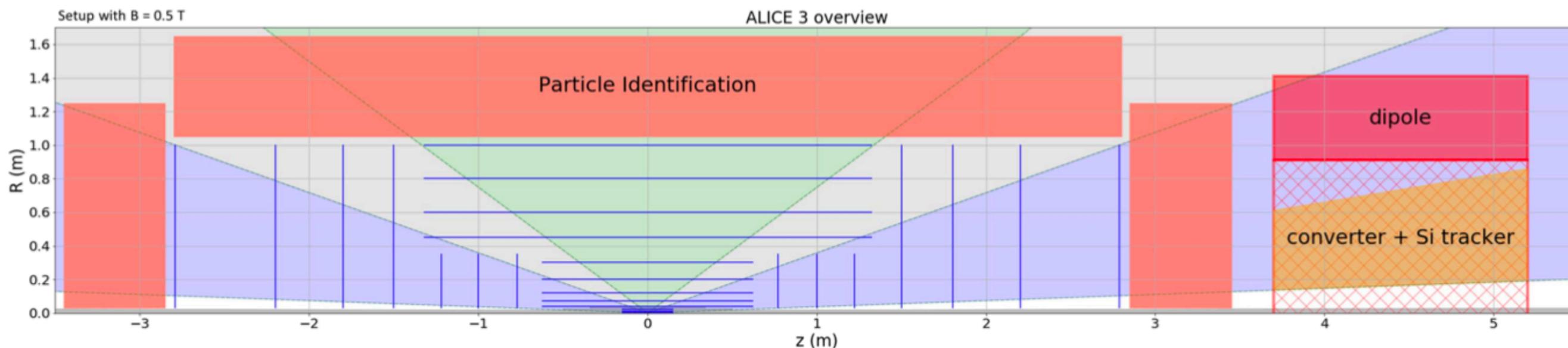
Expression of Interest (2019): <https://arxiv.org/abs/1902.01211>

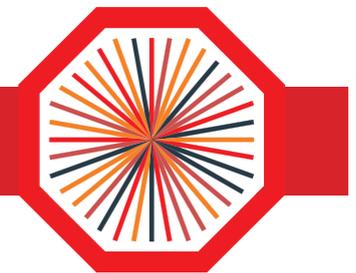
LoI (2022) [LHCC-2022-009]: <https://cds.cern.ch/record/2803563?ln=fr>



A next-generation Heavy Ion experiment

- » Ultra-lightweight silicon tracker with excellent vertexing
 - 12 tracking barrel layers + disks based on MAPS
- » Particle identification
 - TOF determination (20 ps time resolution), Cherenkov, pre-shower/calorimeter
- » Dedicated forward detector for soft photons (conversion + Si tracker)
- » Fast to profit from higher luminosity (x20 - x50 higher than in ALICE 2)
- » Kinematic range down to very low p_T : 50 MeV/c (central barrel), 10 MeV/c (forward dedicated detector)
- » Transverse momentum resolution : $\sigma_p/p \sim 1\%$
- » Large acceptance: barrel + end-caps $\Delta\eta = 8$





Main R&D challenges

» Inner tracker

- ultra-thin layout: flexible wafer-scale sensors (MAPS/ITS3)
- minimal distance from IP requires retractable detector
- position resolution $O(1 \mu m)$ requires small pixel pitch

» Outer tracker

- large areas to instrument $O(100 \text{ m}^2)$: develop cost-effective sensors
- low material budget requires low-weight support and services

» Time of Flight

- TOF resolution $< 20 \text{ ps}$ needed on the system level requires advances both on sensors and microelectronics
- large areas to instrument: develop cost-effective sensors

Inner tracker

Position resolution	$\sim 1 \mu m$
X/X_0 per layer	$\sim 0.1\%$
MAPS pitch	$< 10 \mu m$
Innermost radius	5 mm

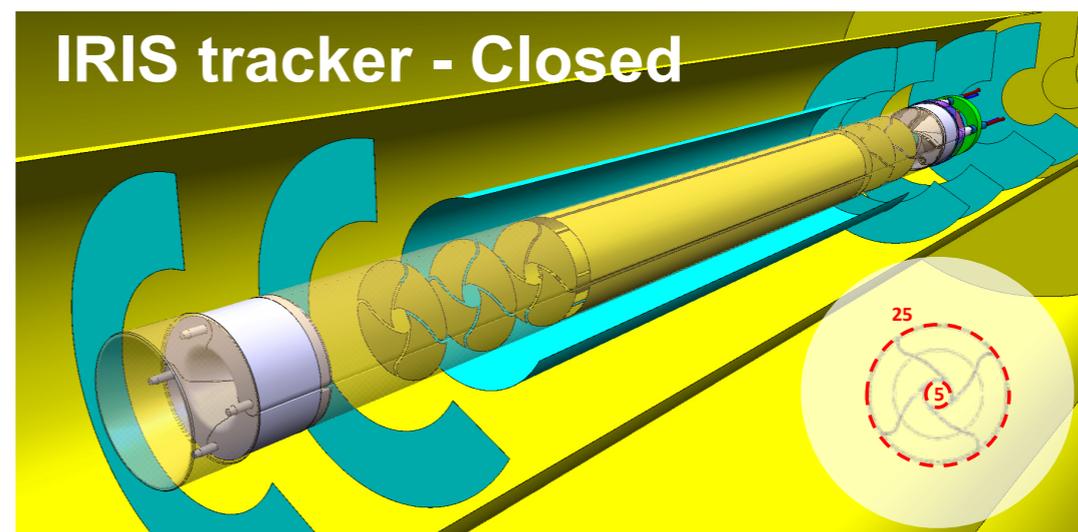
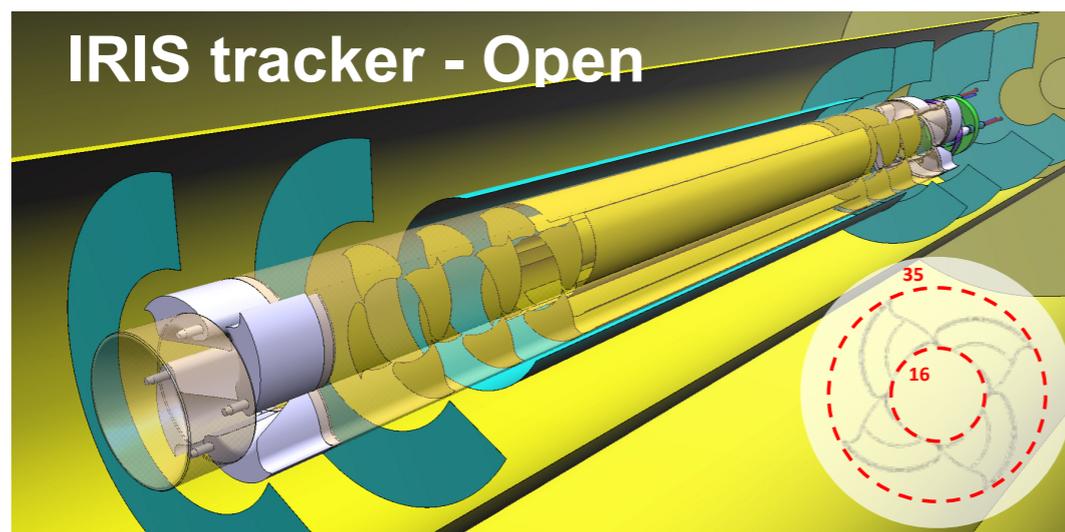
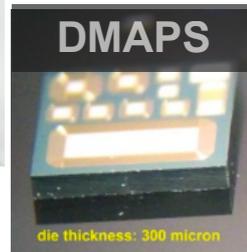
Outer tracker

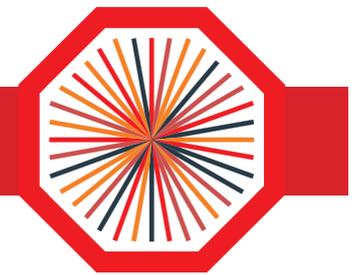
Position resolution	$\sim 10 \mu m$
X/X_0 per layer	$\sim 1\%$
MAPS pitch	30 - 50 μm



Time of Flight

Radial position	20 - 100 cm
Time resolution	$\sim 20 \text{ ps}$
X/X_0 per layer	1 - 3%
Cell pitch	$\sim 1 \text{ mm}$

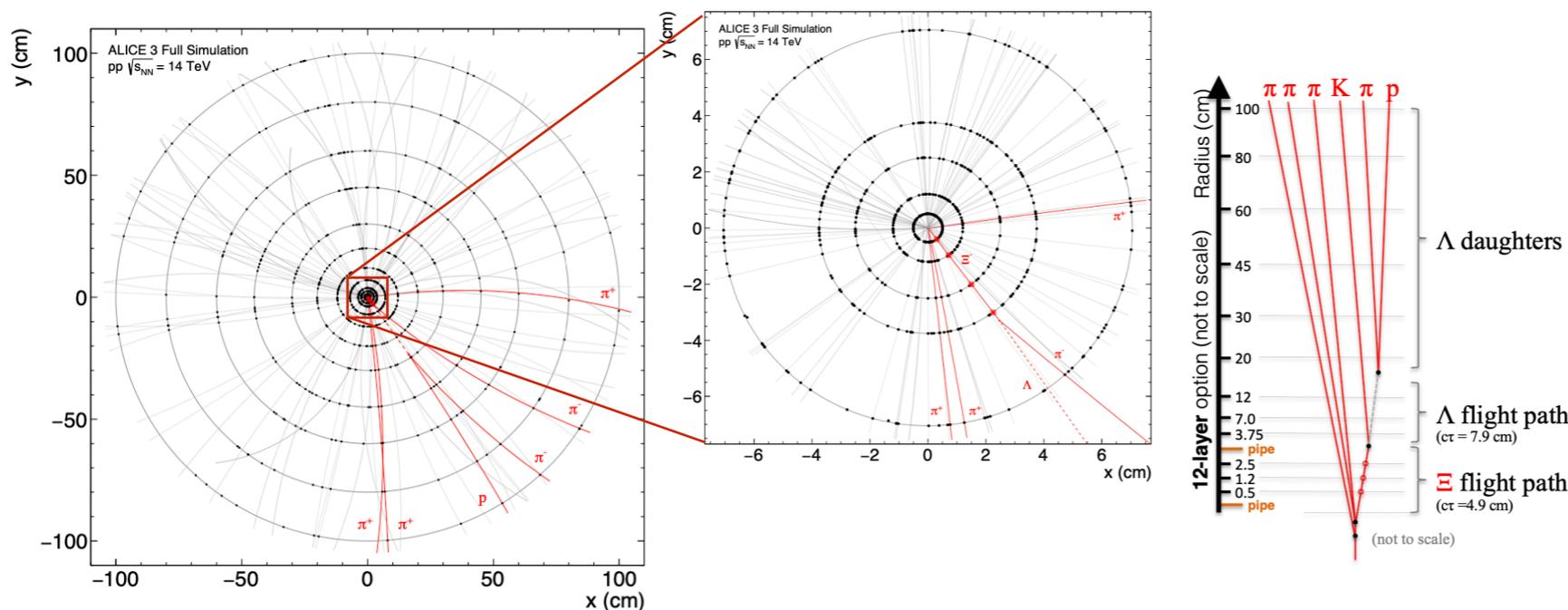




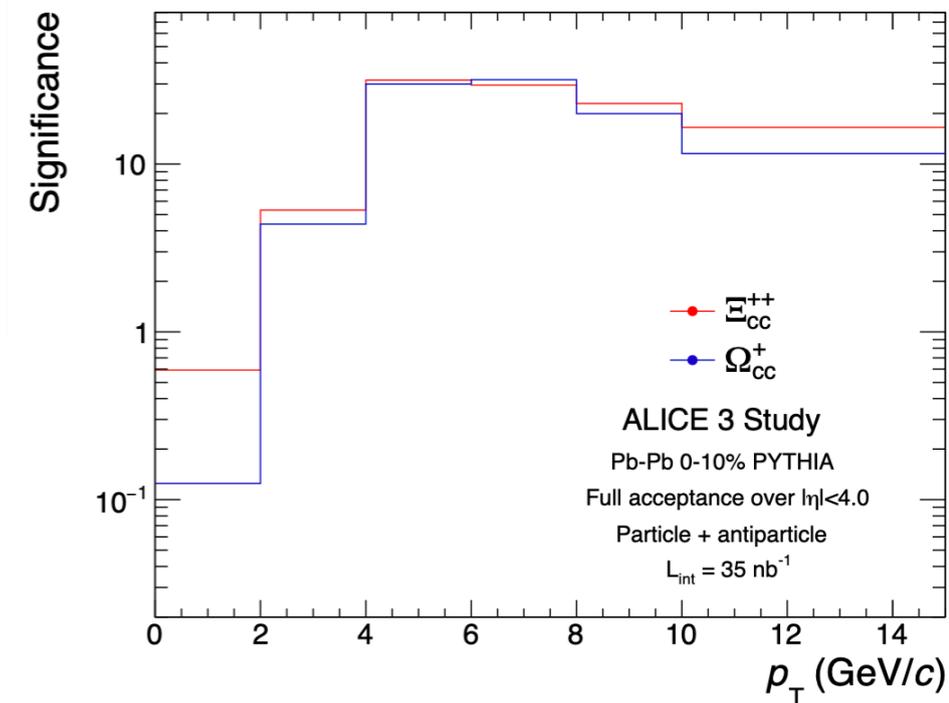
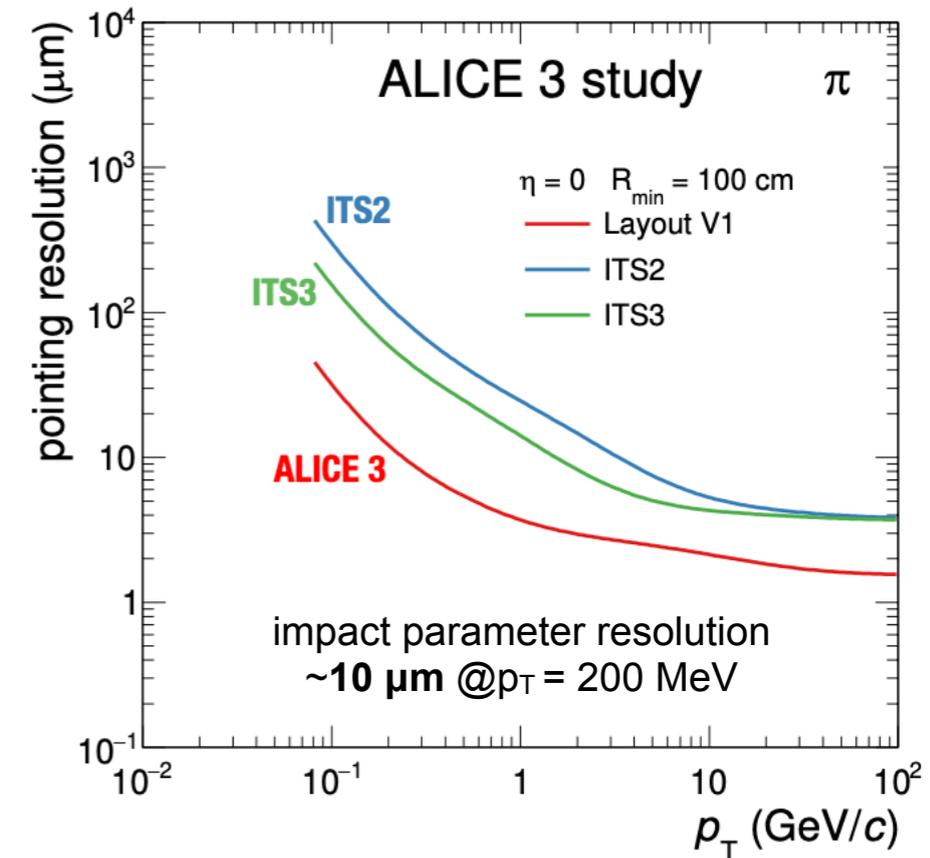
Multi-charm baryons

- » Extreme benchmarks for hadronization mechanisms in small and large systems
 - Large enhancement (up to x1000) w.r.t. SPS if formed by coalescence of uncorrelated charm quarks
- » Ω_{CC} and Ω_{CCC} not yet observed

Identification through topological reconstruction



Impact parameter, momenta resolution and PID are key technologies to be pushed at the state of art





ALICE 2 - LS2 (now) → Upgrade of ALICE on track

ALICE 2 - LS3 (2029) → New upgrades for Run 4

- » FoCal: photons, π^0 , jets in the forward region to constrain gluon nPDF at low Bjorken-x
- » ITS3: truly cylindrical silicon layers made of ultra-thin wafer-size MAPS
 - low-mass dielectrons (→ QGP temperature)
 - improve HF-particle performance + search for exotic charm nuclei

ALICE 3 (beyond 2035) → Continue heavy-ion programme in HL-LHC era

- » Possibility of a “nearly-massless” silicon detector
 - multi-HF particles
 - low-mass dielectrons and soft photons

Plasma di quark e gluoni II

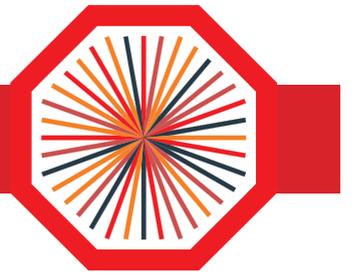
- Inspecting the charm hadronization via measurements of charm baryon production in hadronic collisions with the ALICE experiment at the LHC - M. Faggin (Tuesday 11:05)
- Strange hadron production in and out of jets in proton-proton collisions with ALICE - C. De Martin (Tuesday 11:25)
- Charged particle production as a function of underlying event-activity and search for jet-like modifications in small systems with ALICE - S. Tripathy (Tuesday 11:45)
- Measurement of light (anti)nuclei production with ALICE - A. Albino (Tuesday 12:05)
- Strangeness production in pp as a function of particle multiplicity and effective energy with ALICE - F. Ercolessi (Tuesday 12:25)

Poster session

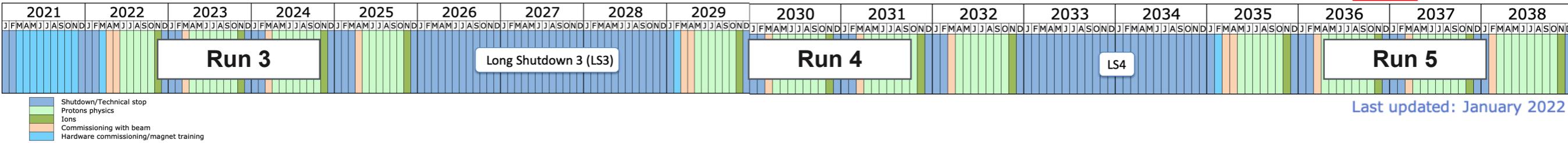
- ALICE Muon Spectrometer upgrade and commissioning for the LHC Run 3 - L. Terlizzi
- First bent wafer-scale sensor in truly-cylindrical geometry for the ALICE ITS3 detector - A. G. Torres Ramos
- Light flavour production at the LHC: latest results from Run 2 and towards Run 3 - N. Jacazio
- Measuring μ_B at the LHC with ALICE via antiparticle-over-particle ratios - M. Ciacco
- Hypertriton production in large and small systems - F. Mazzaschi
- Non-prompt D_s^+ mesons production in pp and Pb-Pb collisions with ALICE - S. Politano
- Charged K^* multiplicity dependent analysis in pp collisions at $\sqrt{s} = 13 \text{ TeV}$ with ALICE - A. Rosano
- Produzione di lesioni D in collisioni pp con ALICE a $\sqrt{s} = 13 \text{ TeV}$ in LHC in funzione della molteplicità - M. Giacalone
- Investigating heavy-flavour fragmentation and hadronization with jets and correlation measurements with ALICE - A. Palasciano
- Inclusive muon elliptic flow measurement in pp collision at $\sqrt{s} = 13 \text{ TeV}$ with ALICE experiment - S. Boi

**More from ALICE at
this conference**

Backup

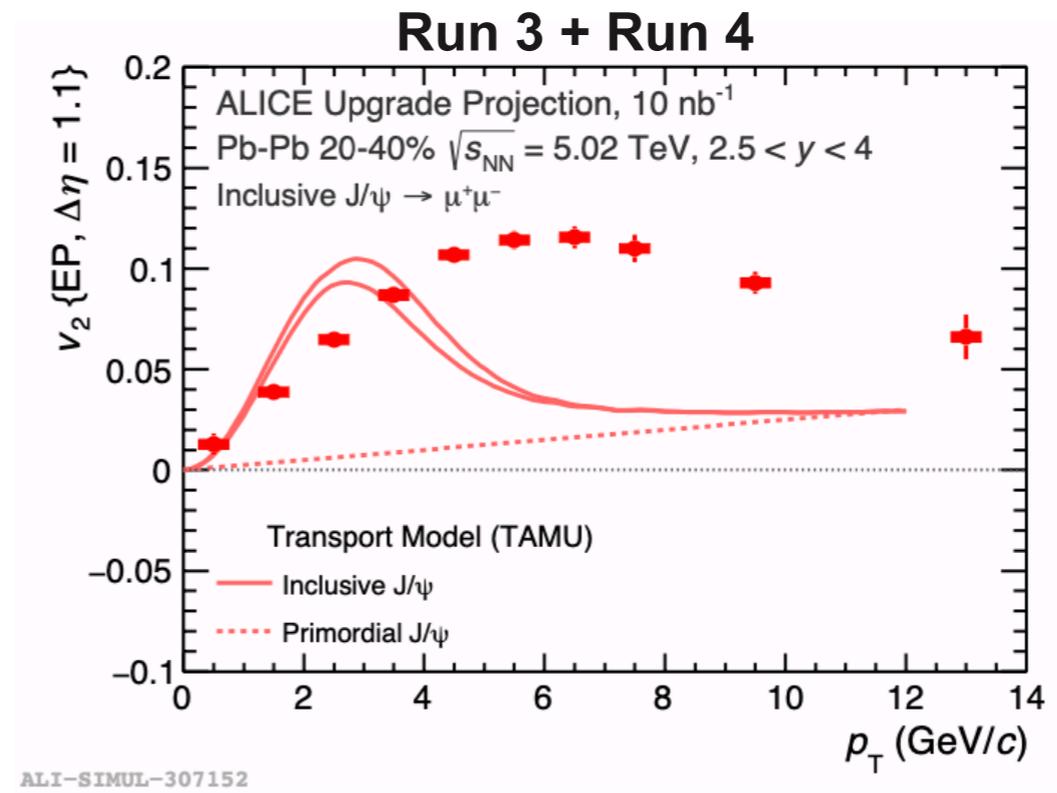
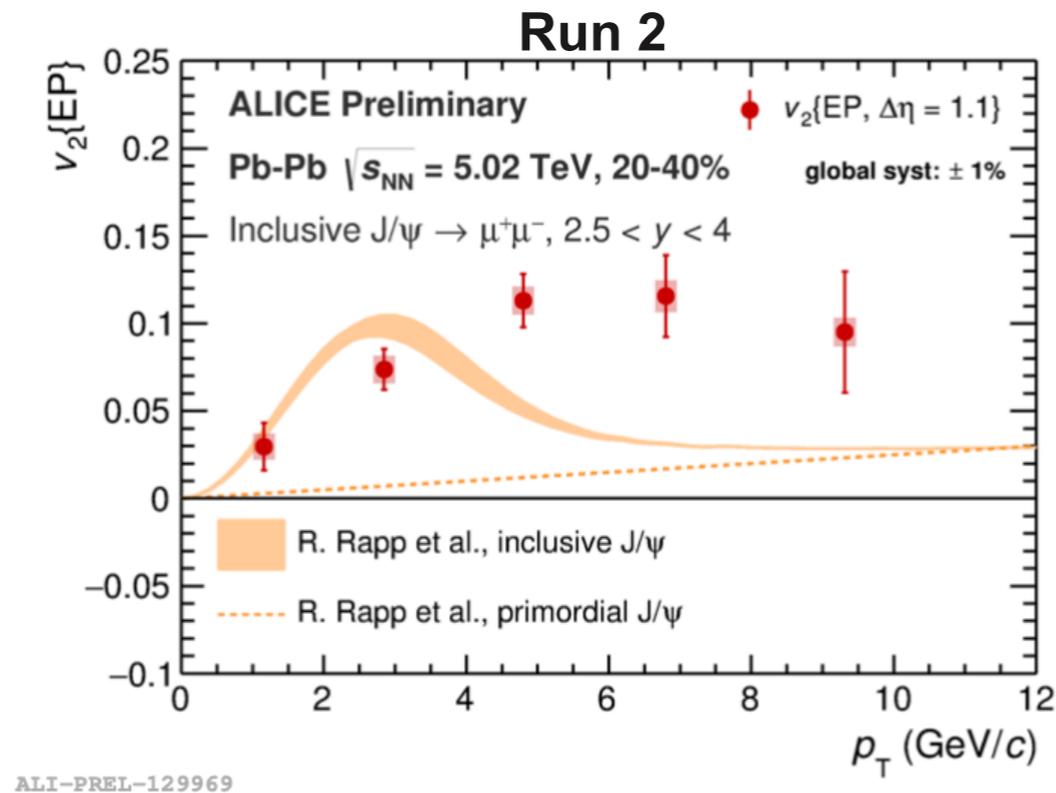


ALICE 2 in Run 3 and Run 4



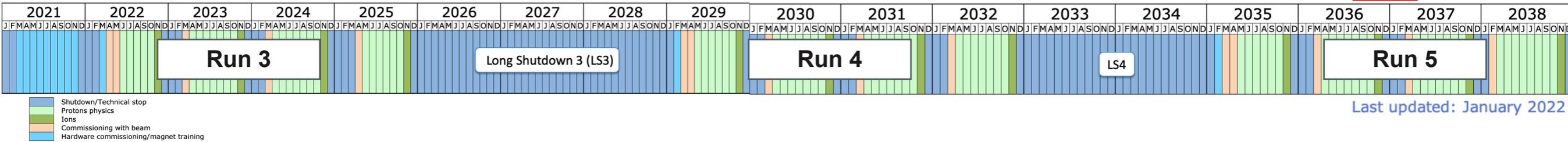
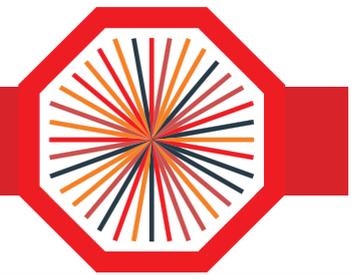
Physics goals

- » Focus on high precision measurements of **rare probes at low p_T**
 - **Heavy-flavour mesons and baryons (down to very low p_T)**
 - **Charmonium states**



J/Ψ prompt - decay separation thanks to improved vertexing capabilities

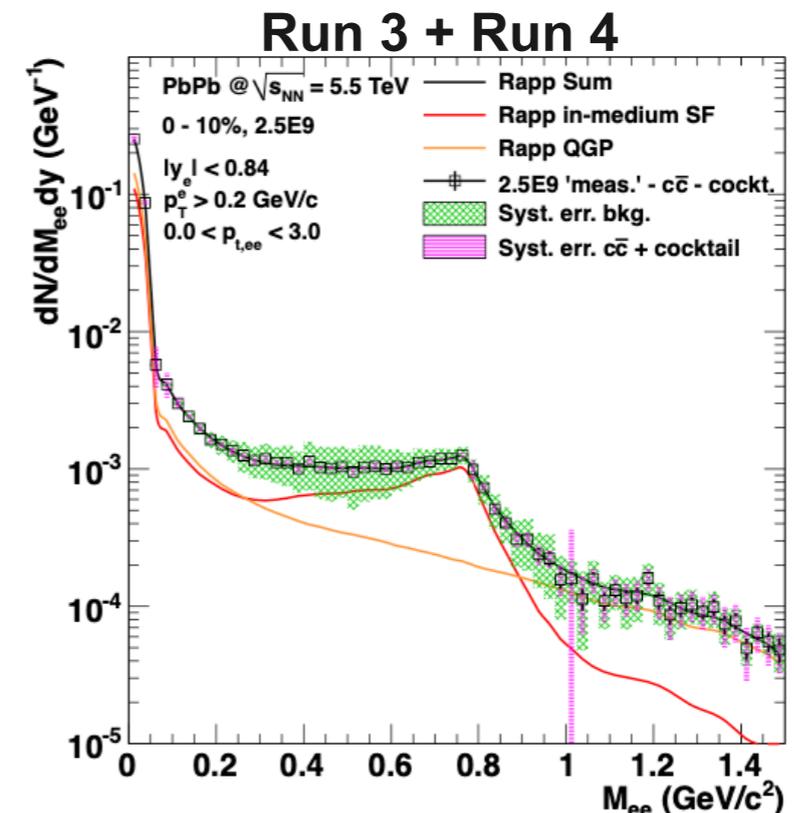
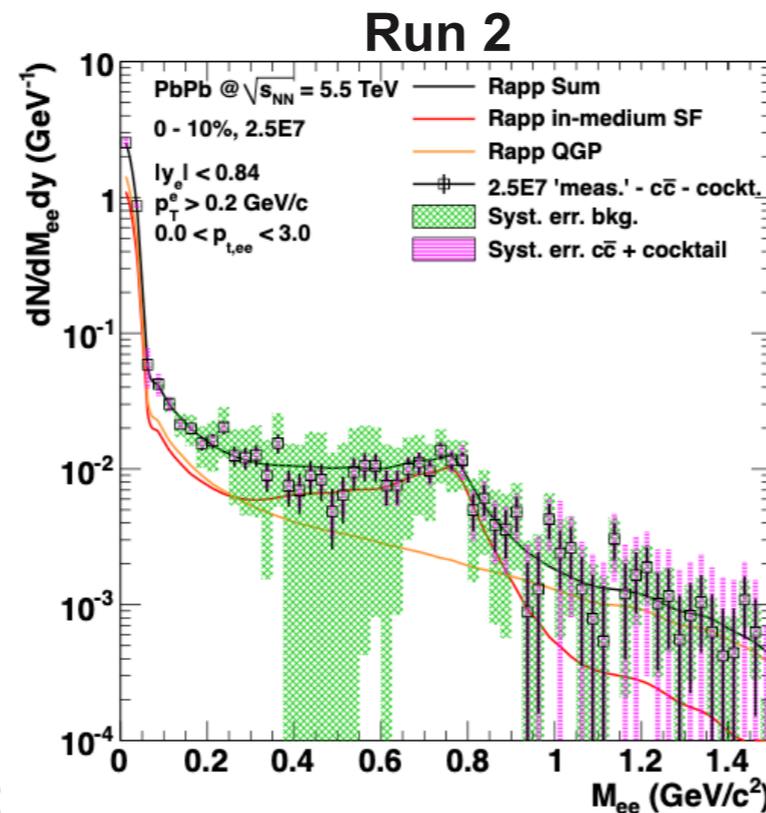
ALICE 2 in Run 3 and Run 4



Last updated: January 2022

Physics goals

- » Focus on high precision measurements of rare probes at low p_T
 - Heavy-flavour mesons and baryons (down to very low p_T)
 - Charmonium states
 - Di-leptons from QGP radiation and low-mass vector mesons



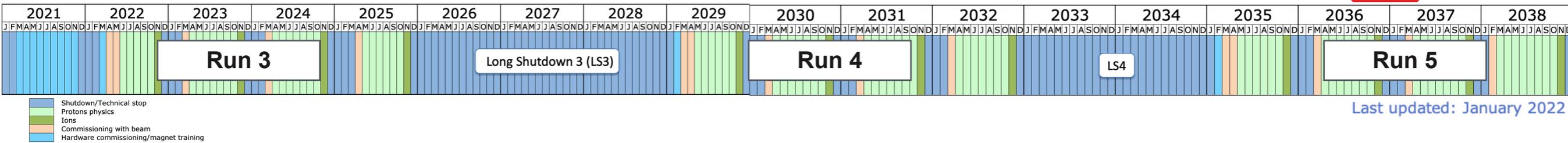
Low Mass Dielectrons $|\eta| < 0.9$

Observable sensitive to:

- » The modification of the ρ meson spectral function due to chiral symmetry restoration
- » Thermal radiation from QGP



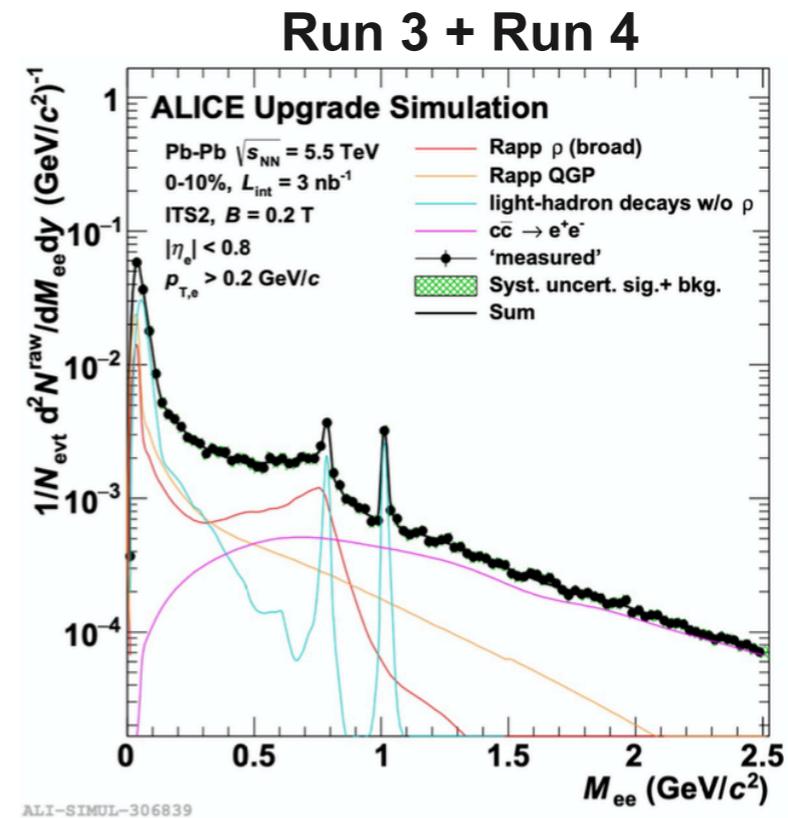
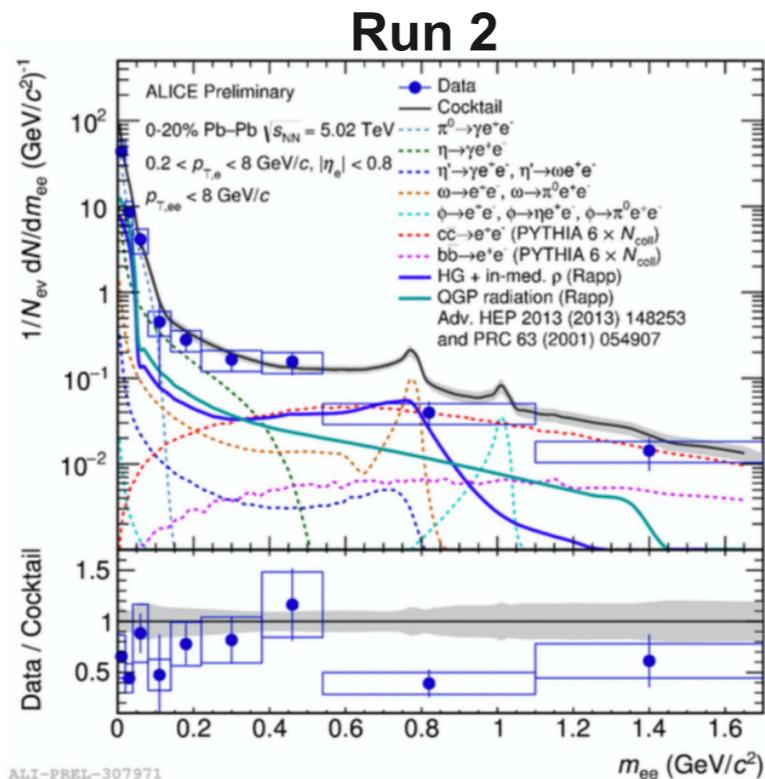
ALICE 2 in Run 3 and Run 4



Last updated: January 2022

Physics goals

- » Focus on high precision measurements of rare probes at low p_T
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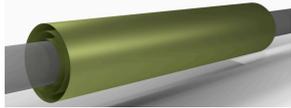
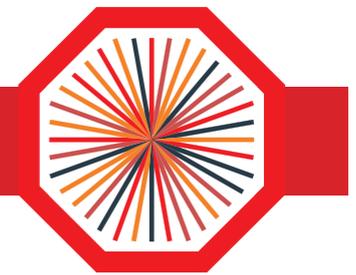


Low Mass Dielectrons $|\eta| < 0.9$

Improvement of dielectron mass spectrum

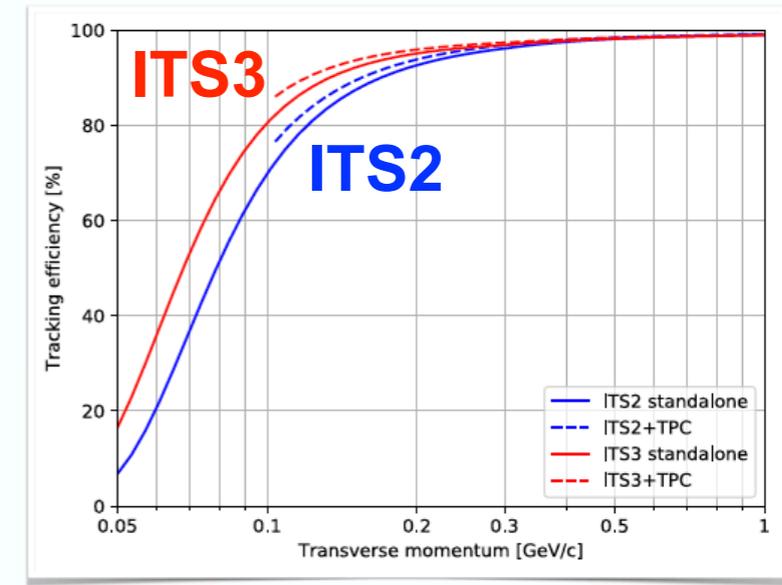
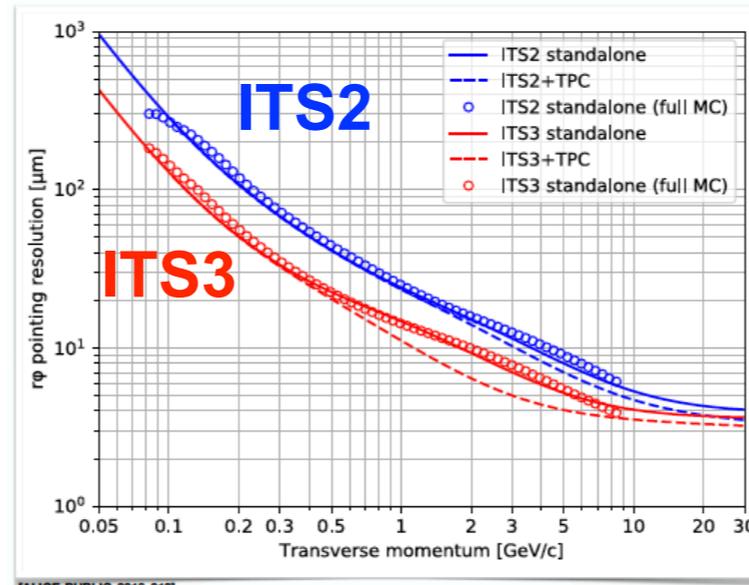
Background yields from known hadronic + HF decays can be subtracted precisely

ALICE 2 - LS3 upgrade

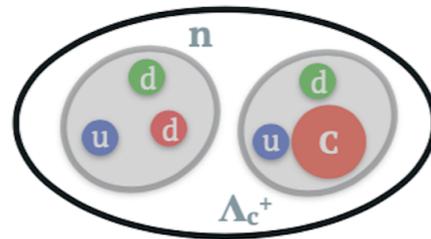


New Inner Tracking System (ITS3)

» Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)

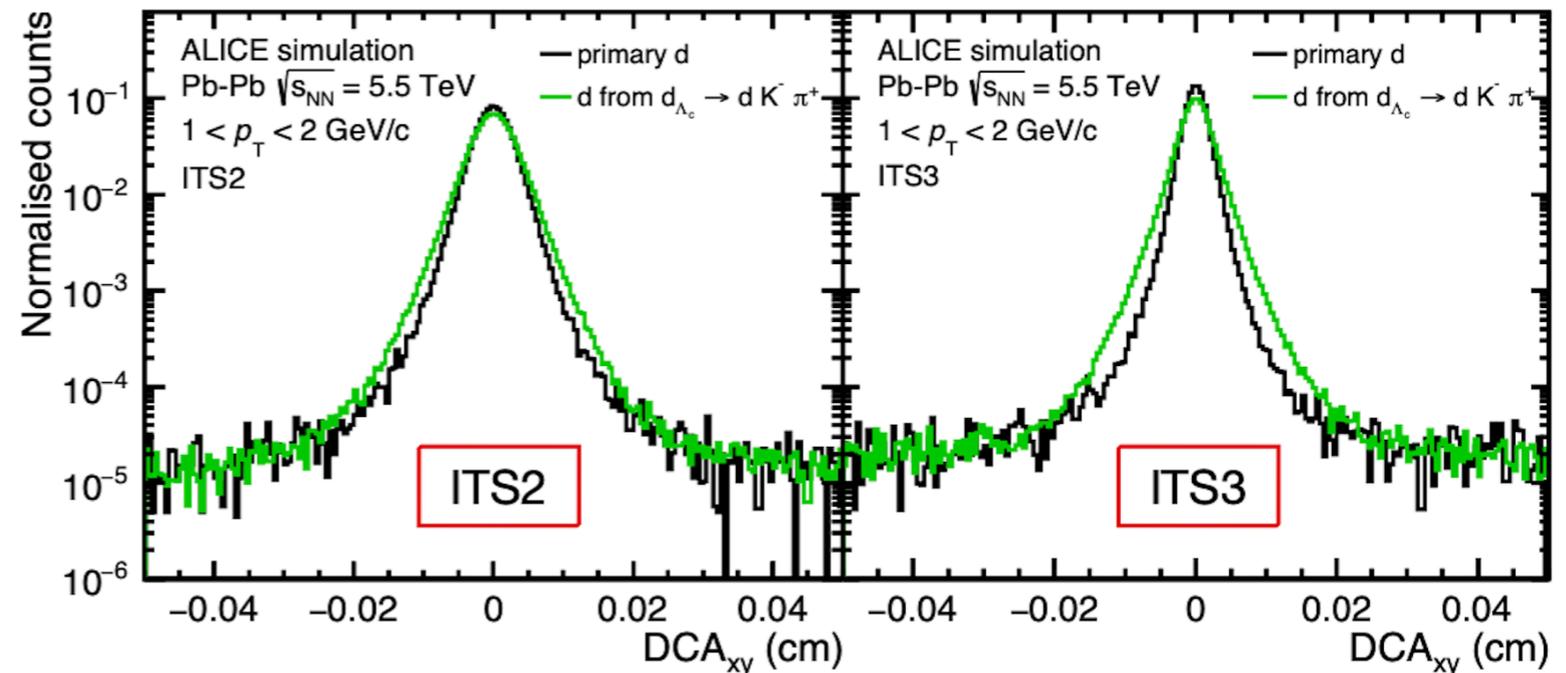


c -deuteron



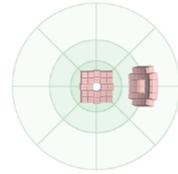
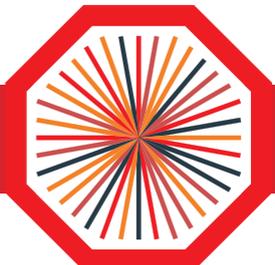
- » Predicted in '70 [PRL 39, 1506 (1977)]
→ Never observed
- » Bound state of Λ_c^+ and a neutron
- » Impact parameter distribution of decay deuteron crucial to discriminate signal from background

$$(\Lambda_c^+ n) \rightarrow d K^- \pi^+$$



ALI-SIMUL-350149

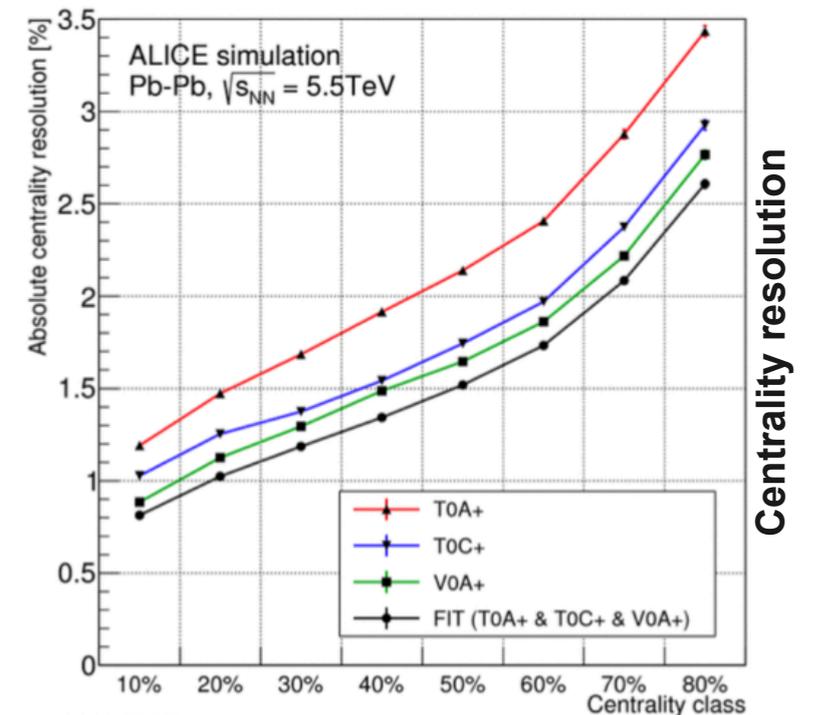
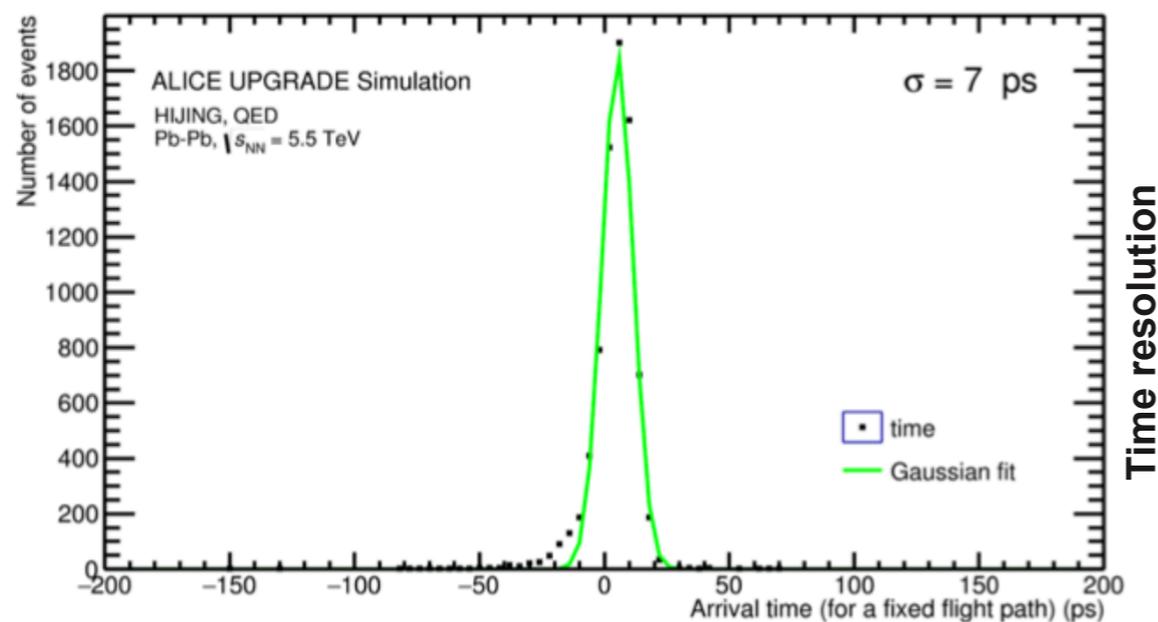
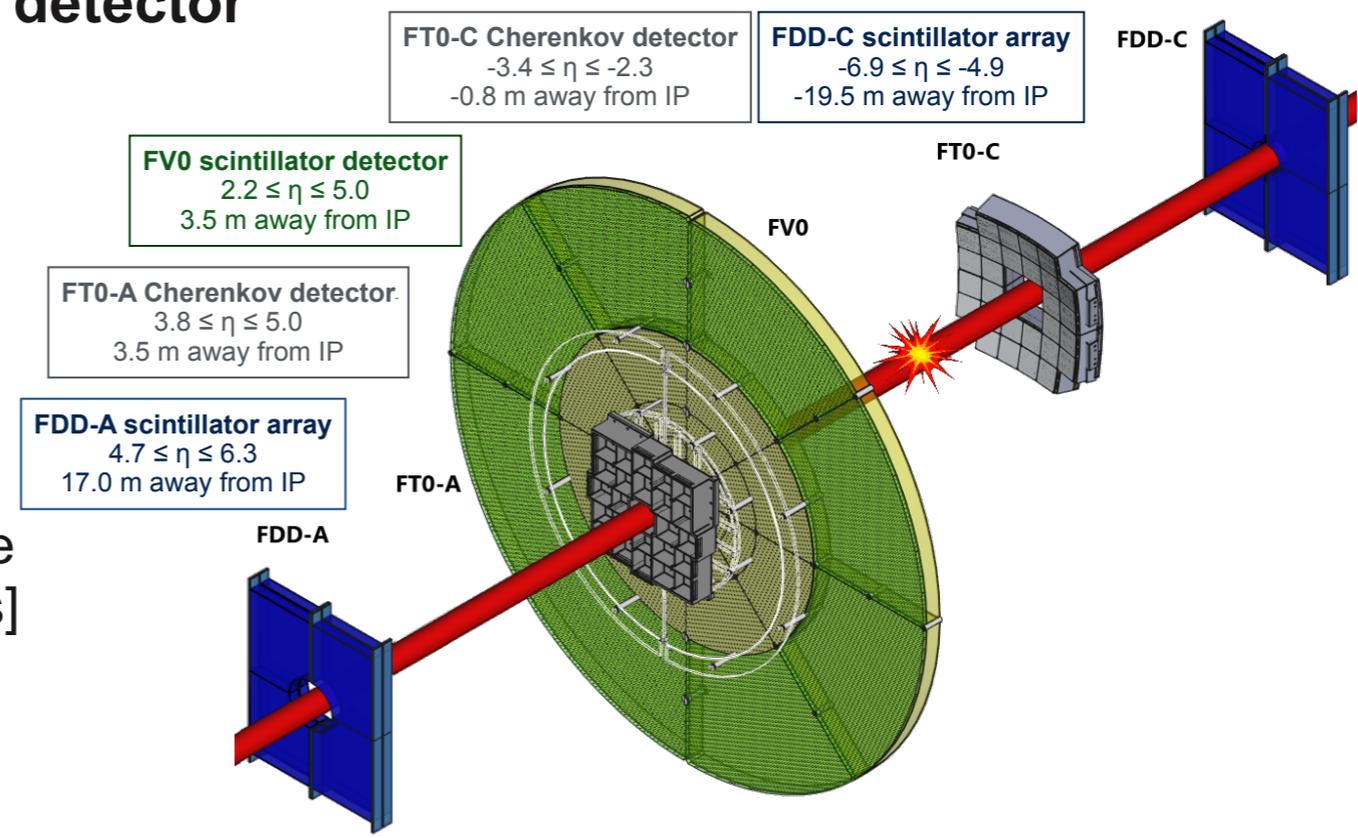
ALICE 2 - LS2 upgrade



New Fast Interaction Trigger (FIT) detector

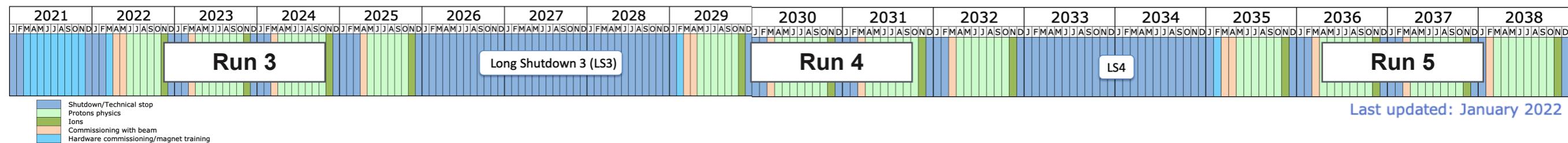
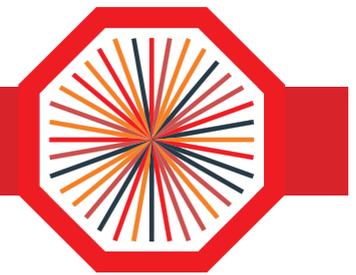
FIT is the upgrade of the **T0**, **V0** and **AD** detectors: triggers, luminosity monitoring, background reduction, collision time for PID, centrality and event plane determination

- » Cherenkov radiators (quartz) + Micro-channel plate PMTs [latency < 425 ns and time resolution < 20 ps]
- » Large area scintillators [latency < 425 ns and time resolution ~250 ps]



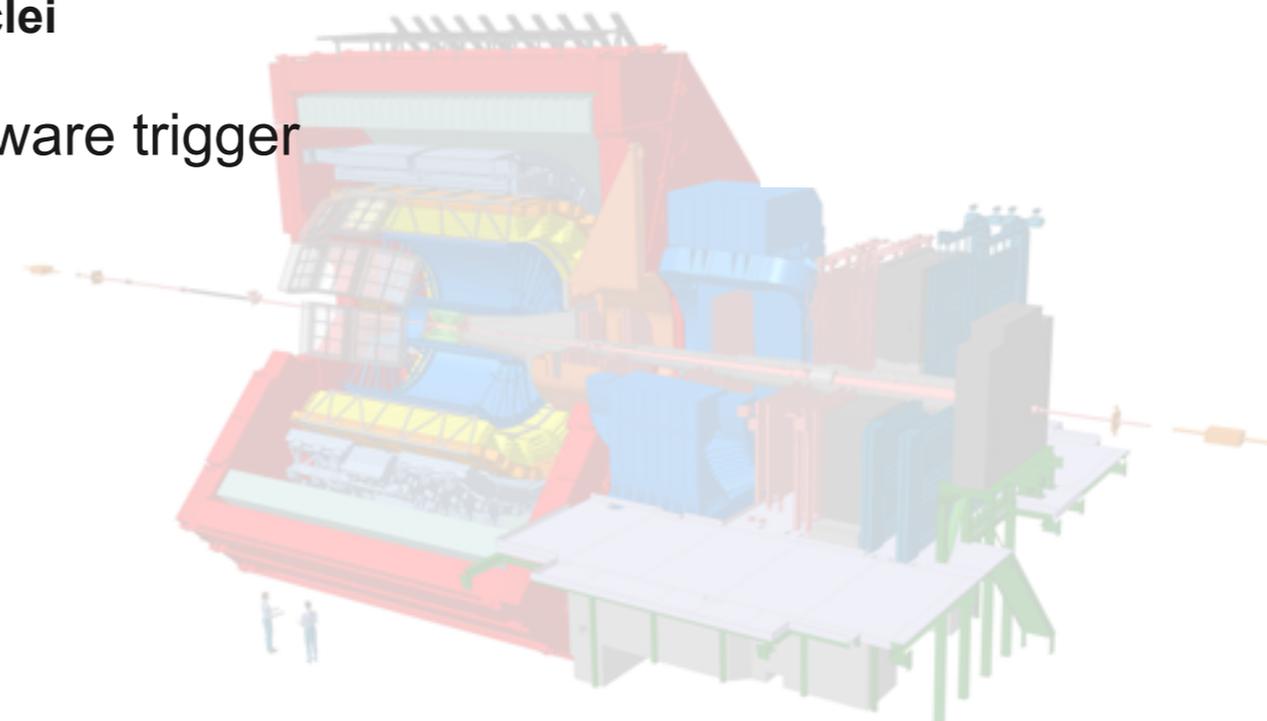
ALI-SIMUL-312128

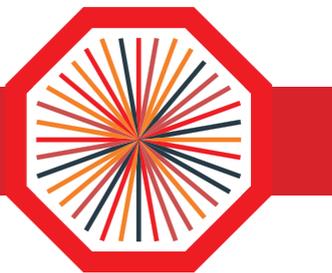
ALICE 2 in Run 3 and Run 4



Physics goals

- » Focus on high precision measurements of **rare probes at low p_T**
 - **Heavy-flavour mesons and baryons (down to very low p_T)**
 - mechanisms of quark-medium interaction
 - **Charmonium states**
 - dissociation/regeneration as tool to study de-confinement and medium temperature
 - **Di-leptons from QGP radiation and low-mass vector mesons**
 - χ symmetry restoration, initial temperature
 - **High precision measurement of light and hyper-nuclei**
 - production mechanism and degree of collectivity
- » **Very low S/B ratio** prevents selection with hardware trigger





ALICE 2 - LS 2 upgrade

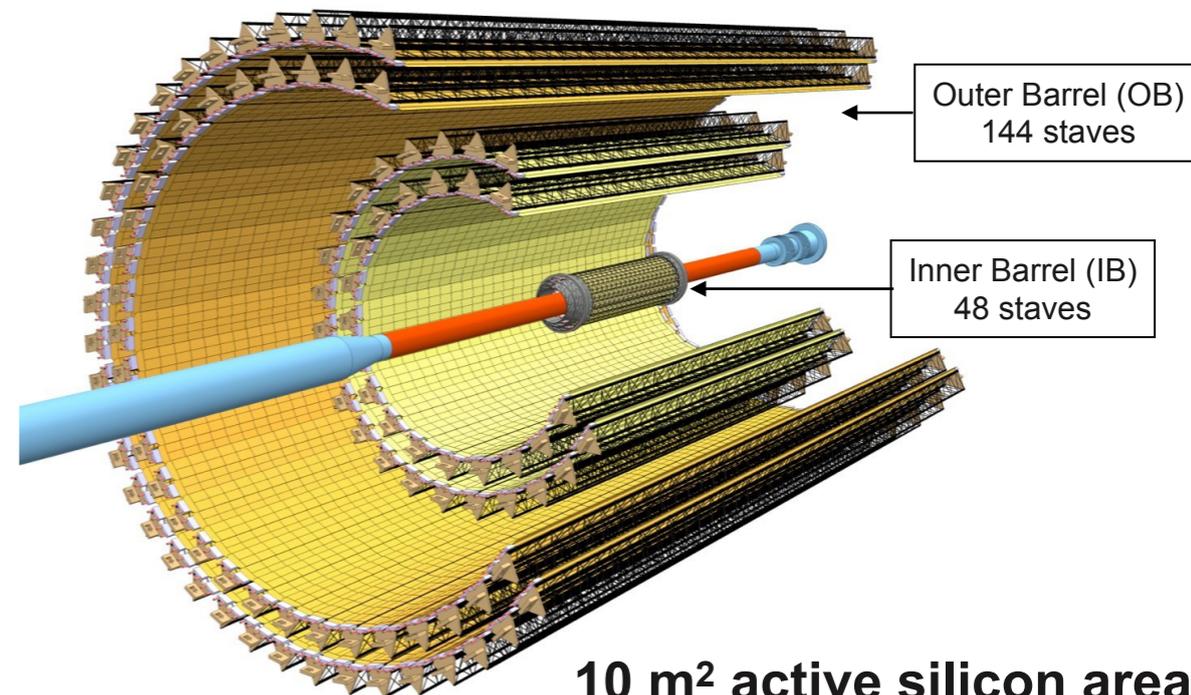
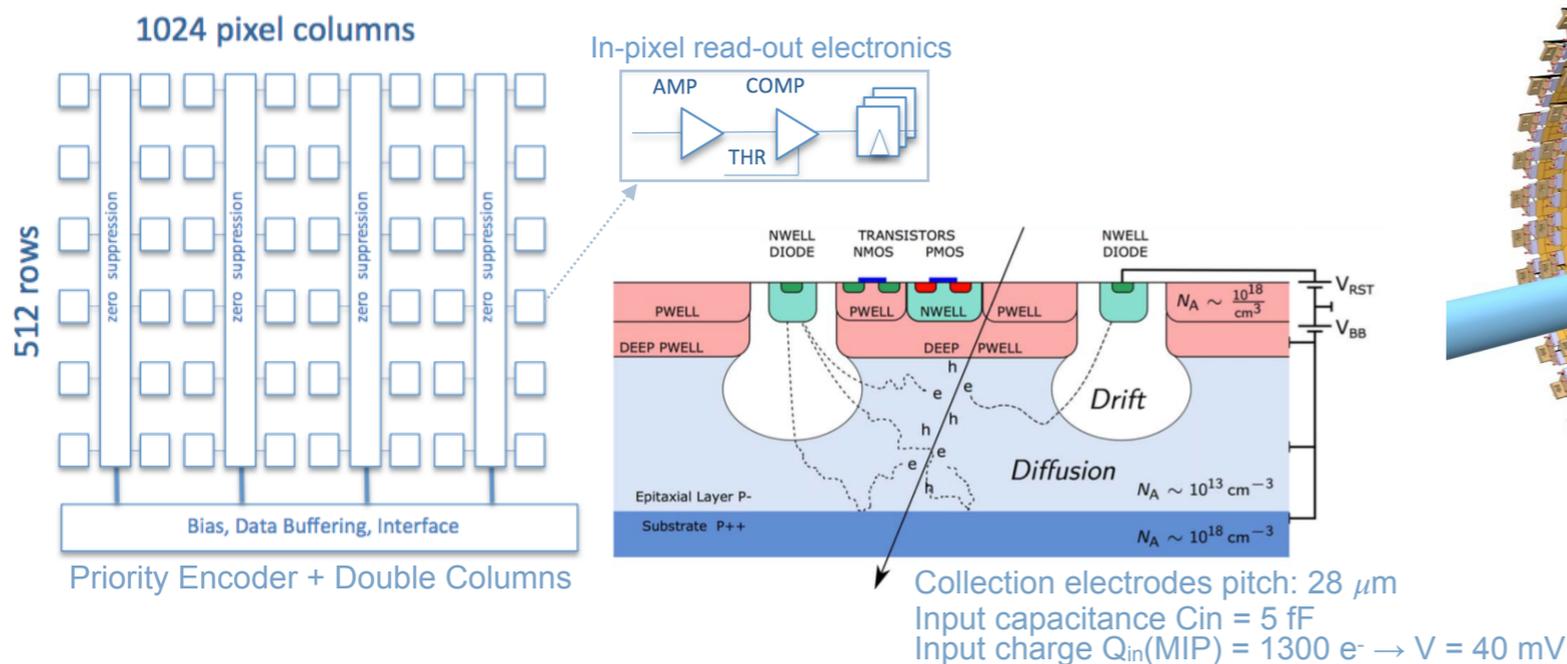


New Inner Tracking System (ITS 2)

Based on the ALPIDE Monolithic Active Pixel Sensor

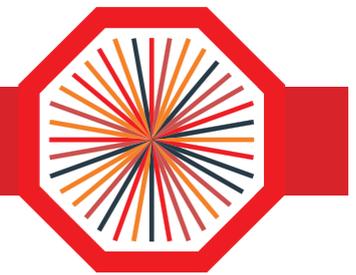
- » In-pixel amplification, shaping discrimination and Multiple-Event Buffers (MEB)
- » In-matrix data sparsification
- » High detection efficiency (>99%) and low fake-hit rate ($\ll 10^{-6}$ /pixel/event)
- » Radiation tolerant:
 - > 270 krad TID
 - > 1.7×10^{12} 1 MeV/n_{eq} NIEL
- » Low power consumption ~ 40 mW/cm²

	ITS (Run 1/Run 2)	ITS 2
Number of layers	6 (pixel, drift, μ strip)	7 (MAPS)
Rapidity range	$ \eta < 0.9$	$ \eta < 1.3$
Material budget per layer	1.14% (SPD)	0.35% (IB)
Distance to interaction point	39 mm	22 mm
Pixel size	$50 \times 425 \mu\text{m}^2$	$29 \times 27 \mu\text{m}^2$
Spatial resolution	$12 \mu\text{m} \times 100 \mu\text{m}$	$5 \mu\text{m} \times 5 \mu\text{m}$
Max. readout speed Pb-Pb	1 kHz	100 kHz



10 m² active silicon area
12.5 × 10⁹ pixels

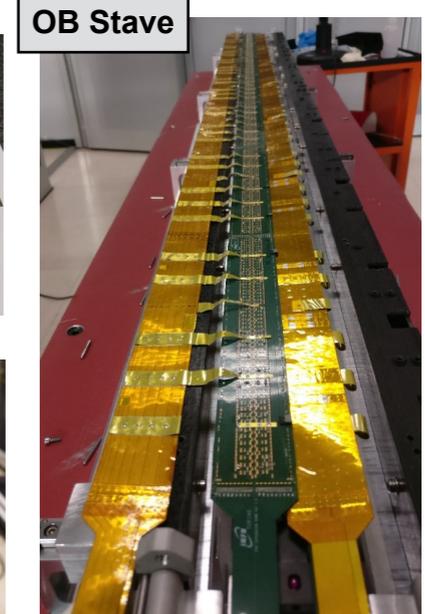
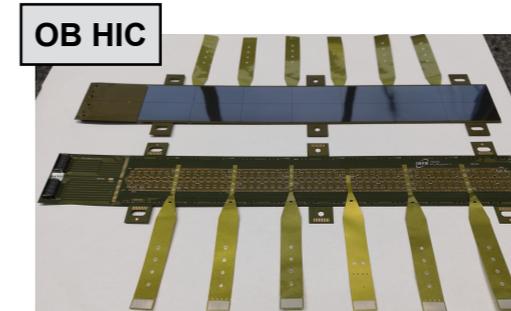
ALICE 2 - LS 2 upgrade



New Inner Tracking System (ITS 2)

Detector Construction and Assembly

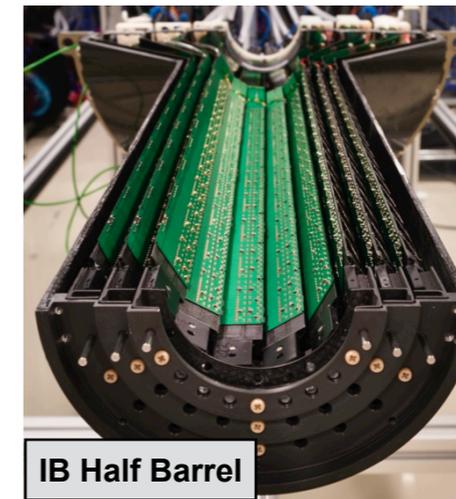
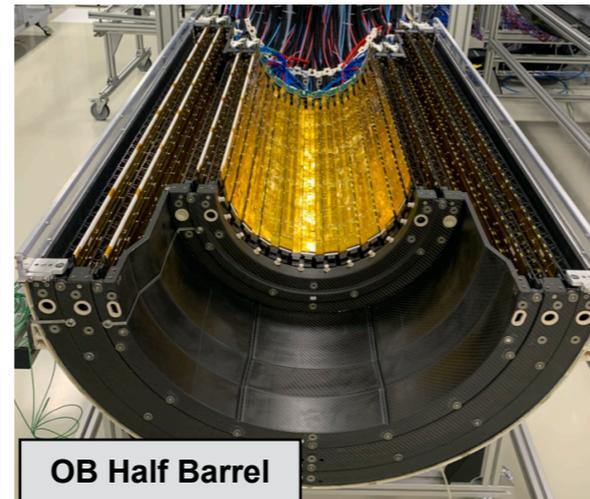
- » ~72000 chips → ~2600 Hybrid Integrated Circuits (HIC) → ~280 Staves (chip yield ~ 65%, HIC yield ~ 85%, Stave yield ~ 95%)
- » >10 production sites in Asia, Europe and United States of America
- » Stave integration completed in January 2020



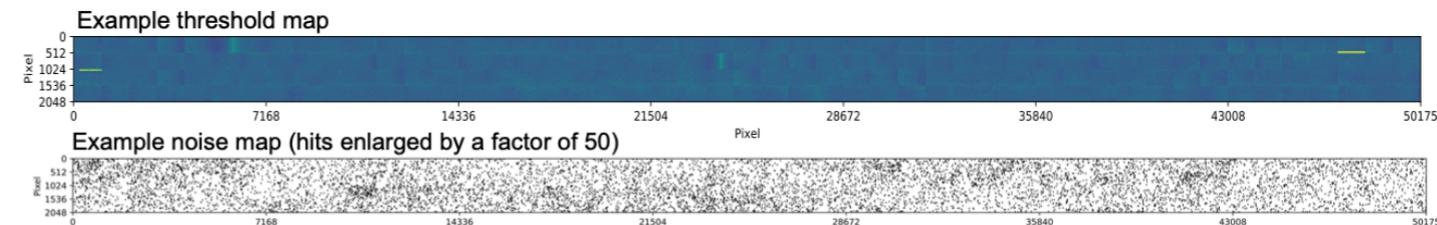
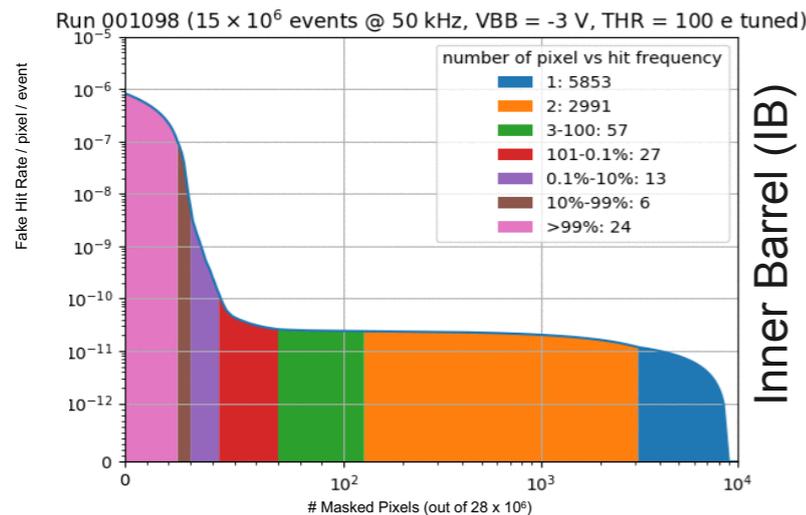
On-surface commissioning with final services ongoing until December 2020

Installation in ALICE cavern

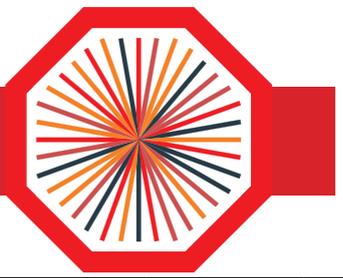
Global commissioning in ALICE



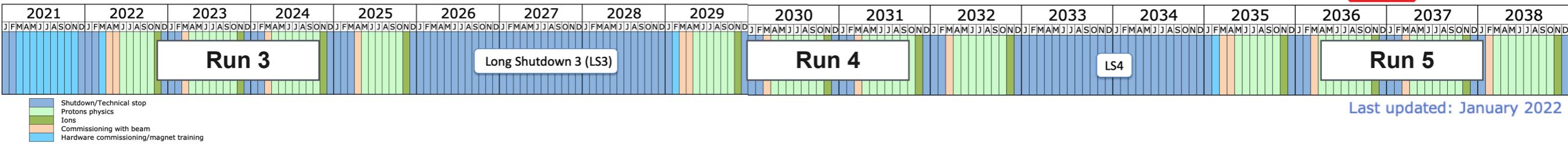
Performance



Fake-hit rate < 10⁻⁹ /pixel/event masking less than 200 pixel/chip (average ~50 pixel/chip)
 Threshold tuning to 100 e⁻ working to 2 e⁻ precision (on-chip spread: 20 e⁻)

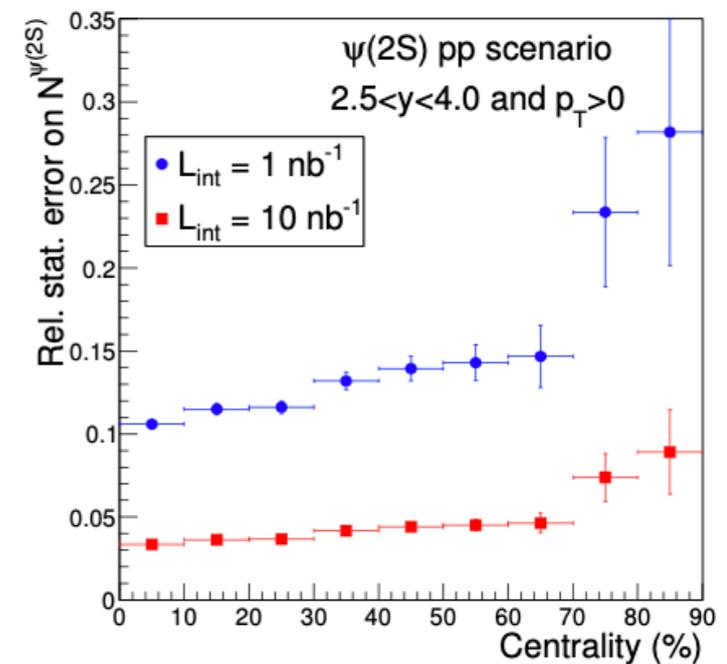
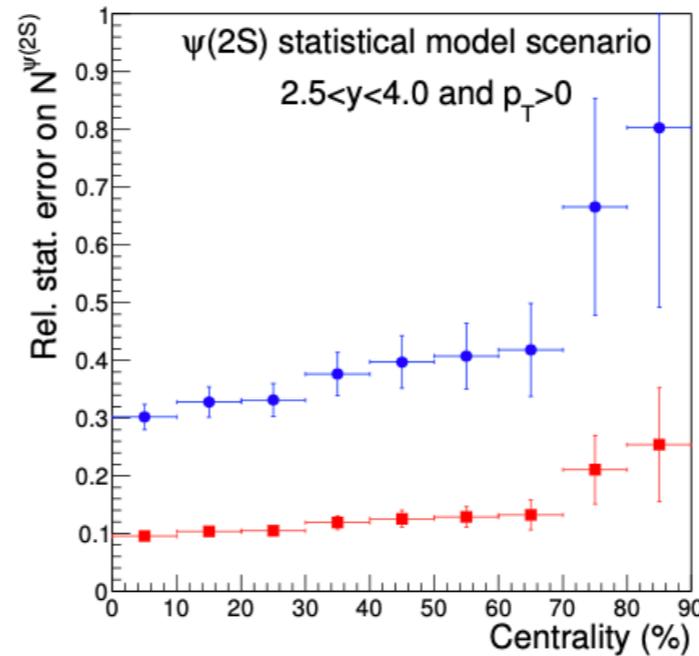
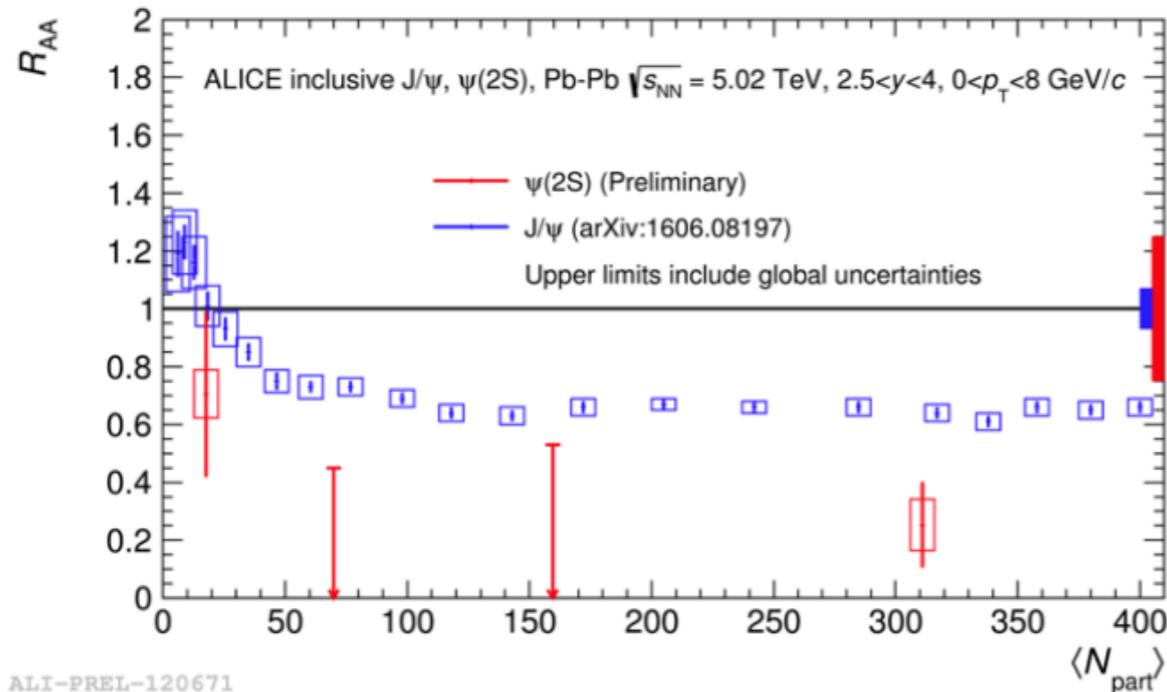


ALICE 2 in Run 3 and Run 4



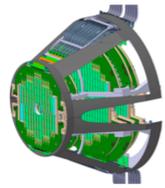
Physics goals

- » Focus on high precision measurements of **rare probes at low p_T**
 - **Heavy-flavour mesons and baryons (down to very low p_T)**
 - **Charmonium states**



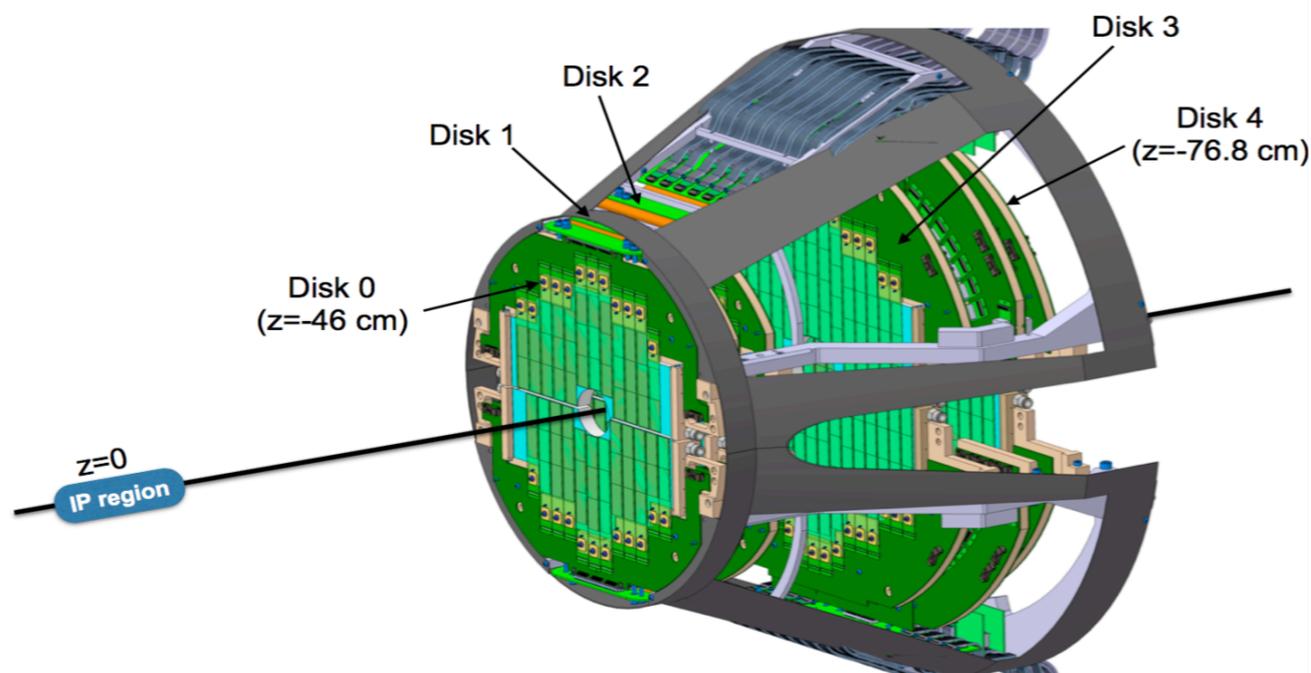


ALICE 2 - LS 2 upgrade

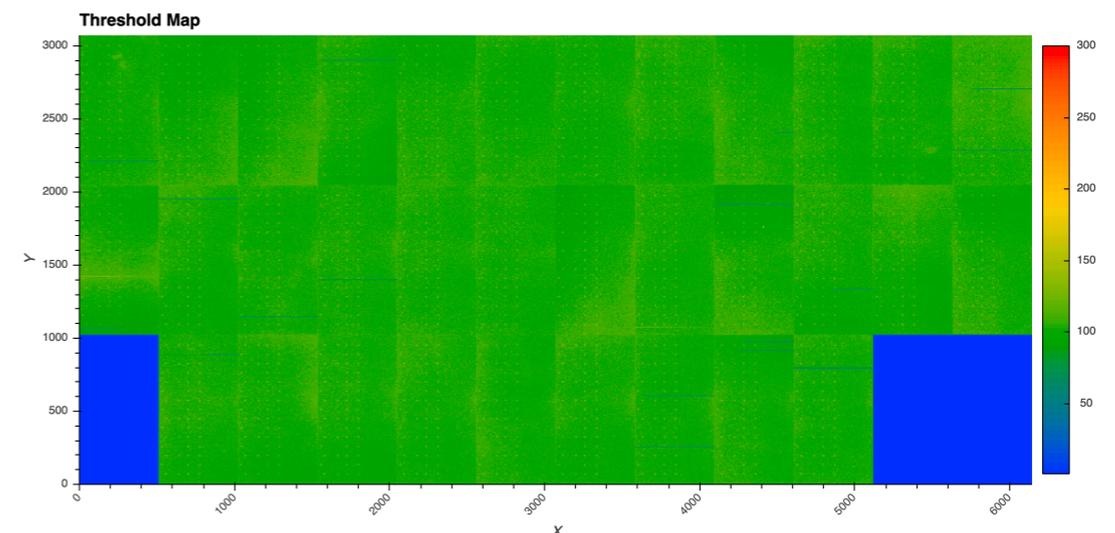
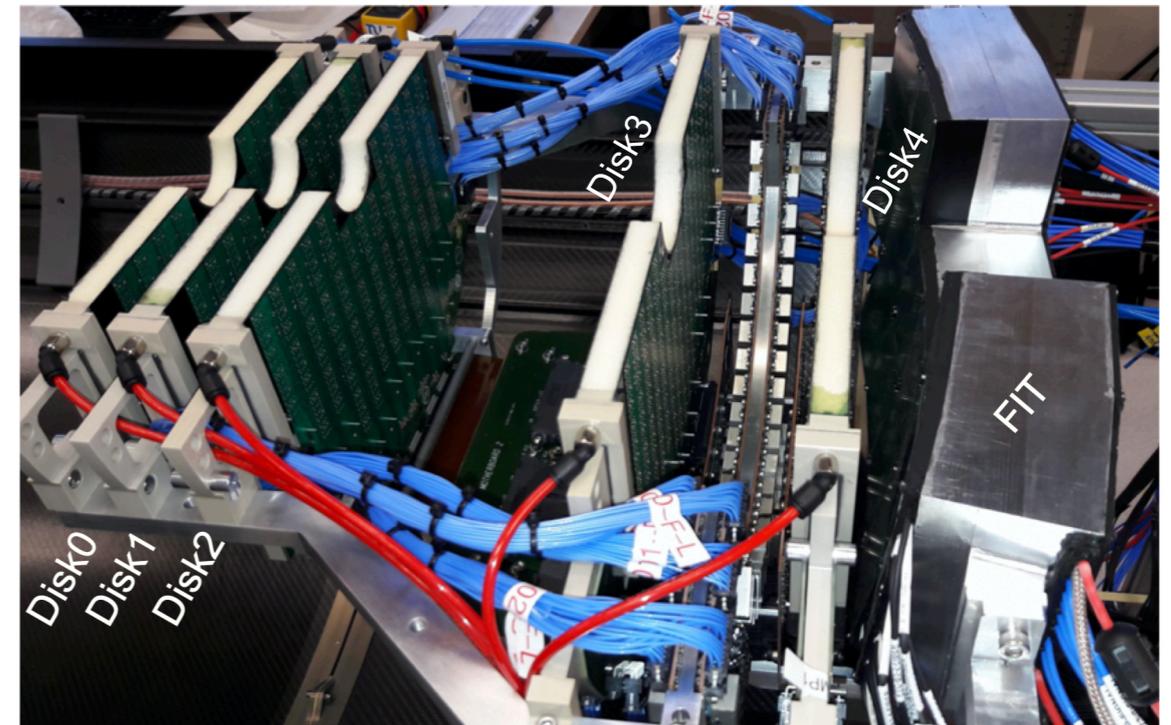


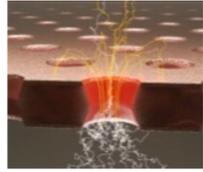
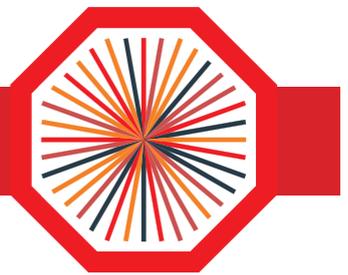
New Muon Forward Tracker (MFT)

- » Based on ALPIDE chips
 - 920 chips assembled on 280 ladders
 - 10 half-disks, 2 detection planes each
 - total surface = 0.4 m²
- » Pseudorapidity coverage: $-3.6 < \eta < -2.45$
- » Expected doses:
 - < 300 krad TID
 - < 2×10^{12} 1 MeV/n_{eq} NIEL



Assembly and integration completed

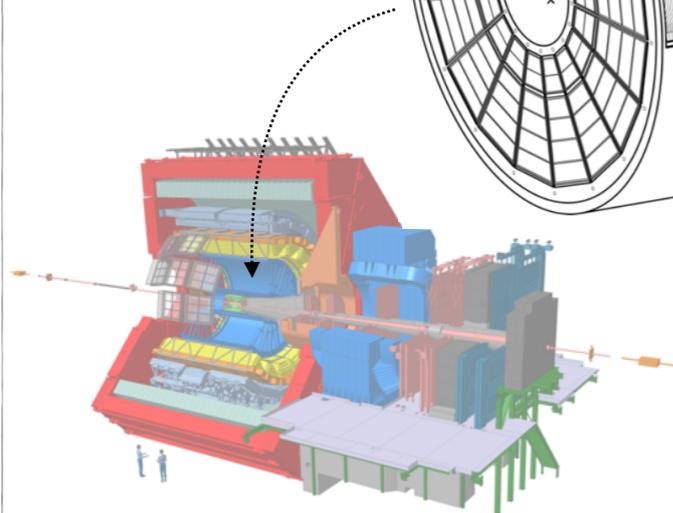
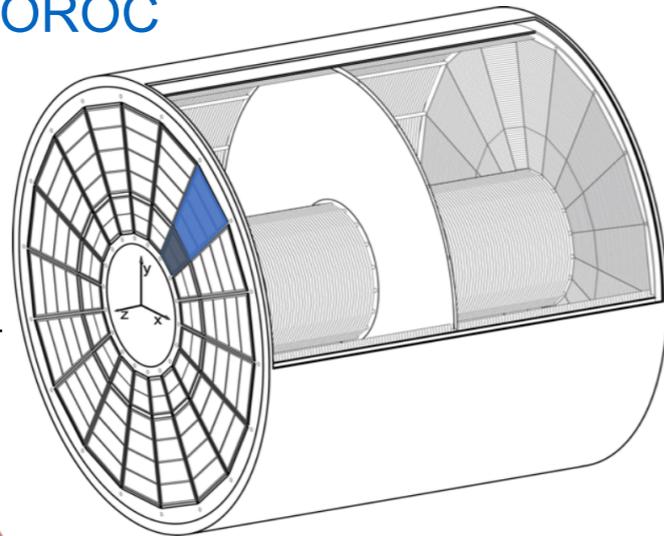




New TPC Readout Chambers (ROCs)

Time Projection Chamber

- » Diameter/Length: 5 m/5 m
- » Gas: Ne-CO₂-N₂, Ar-CO₂
- » Max. drift time: $\sim 100 \mu\text{s}$
- » 18 sectors on each side
- » Inner/outer readout chamber:
IROC, **OROC**



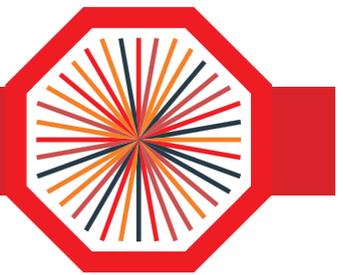
Previous detector (Run 1 and Run 2)

- 72 MWPCs
- ~ 550000 readout pads
- Wire Gating Grid to minimize Ion Back-Flow (IBF)
- Rate limited to few kHz

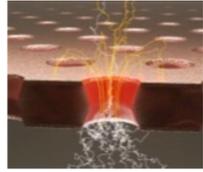
TPC Upgrade requirements

- Nominal gain = 2000 in Ne-CO₂-N₂ (90-10-5)
- Ion Back-Flow (IBF) $< 1\%$ ($\epsilon = 20$)
- Energy resolution: $\sigma_E/E < 12\%$ for X-ray from ³³Fe
- Stable operation under LHC Run 3 condition
- Unprecedented challenges in terms of loads and performance

Operate the new TPC at 50 kHz \rightarrow no Gating Grid



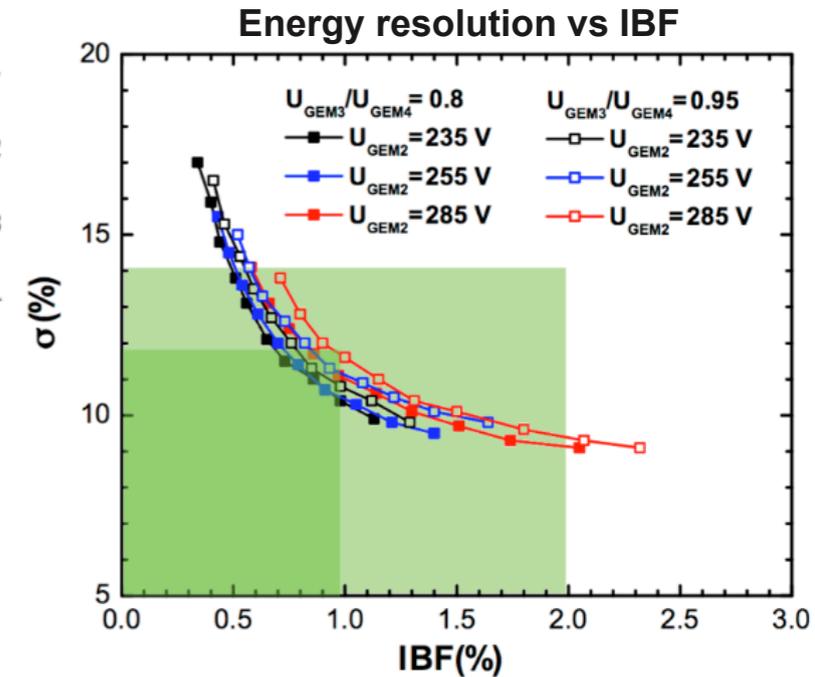
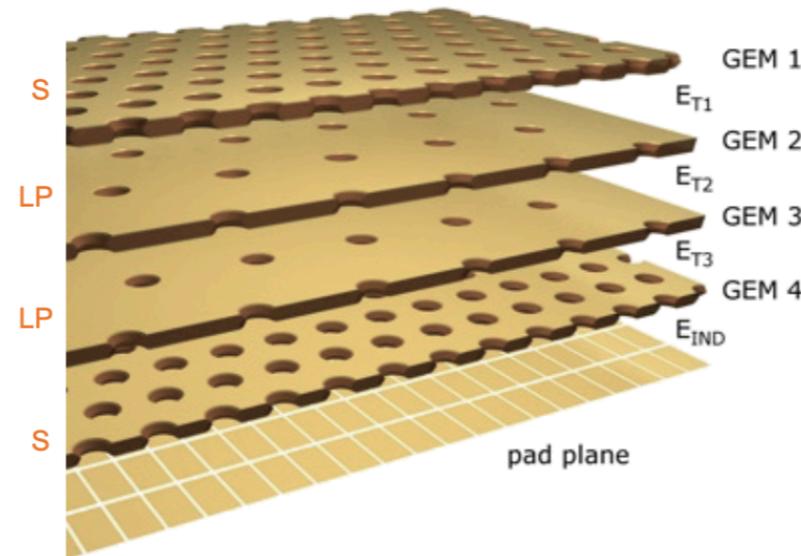
ALICE 2 - LS 2 upgrade



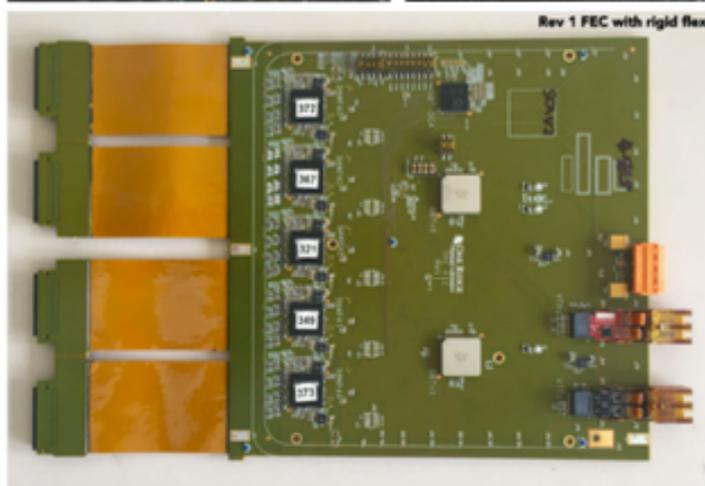
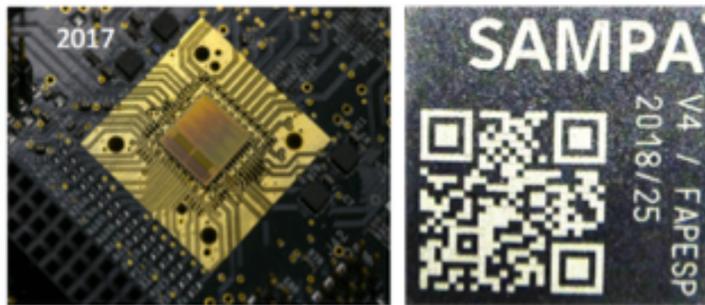
New TPC Readout Chambers (ROCs)

New readout chambers: 4-GEM stack

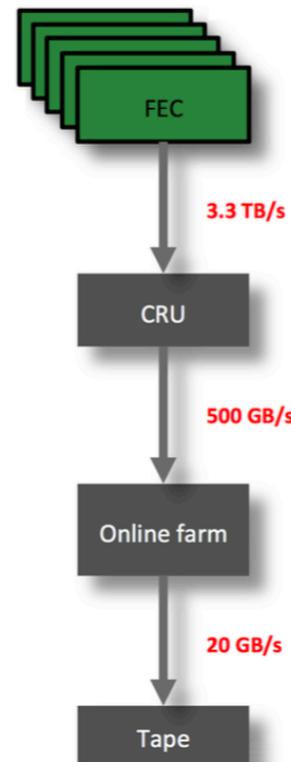
- Combination of standard (S) and large pitch (LP) GEM foils
- Highly optimised HV configuration
- Result of intensive R&D



Conservative operational limits: IBF < 1 %, local energy resolution < 12 %
 Extended operational range: IBF < 2 %, energy resolution < 14 %



Yield = 97.4%

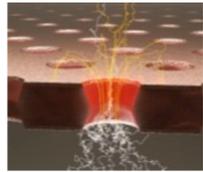


Readout Electronics

- Newly developed FE SAMPA ASIC (130 nm CMOS by TSMC)
 - 32 channels, PASA pre-amplifier + 10-bit ADC
 - Programmable conversion gain and peaking times
 - Readout mode: continuous or triggered
- Front-End Cards (FECs)
 - 5 SAMPA chips per FEC (3276 FECs in total)
 - System continuously digitises signals at 5 MHz
 - All ADC values read at 3.3 TB/s and sent to CRU



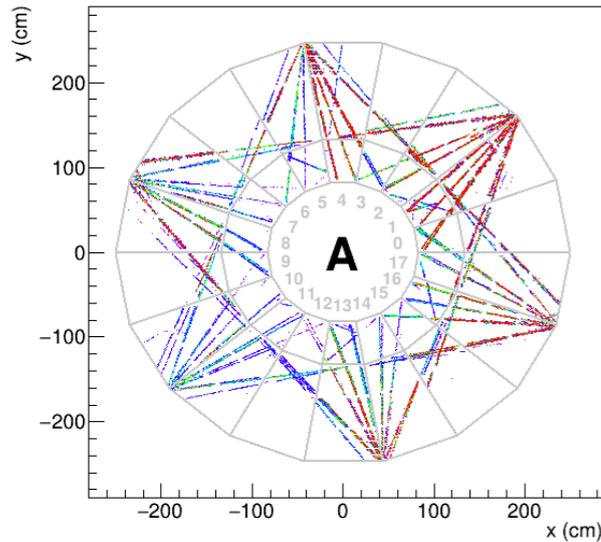
ALICE 2 - LS 2 upgrade



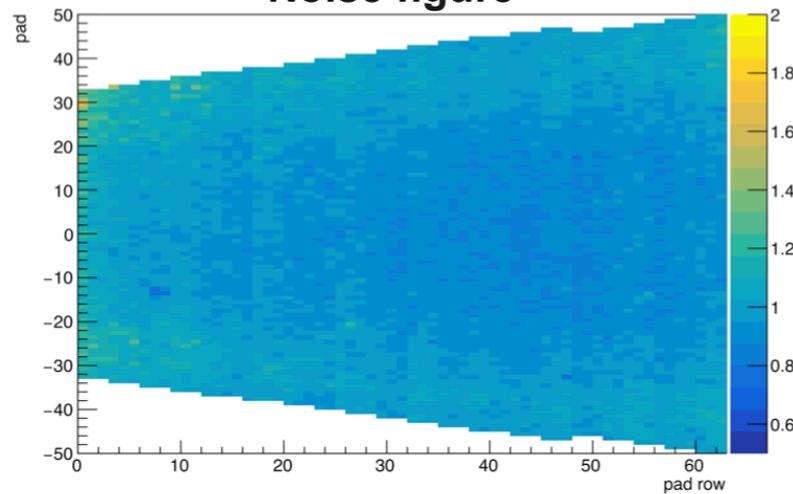
New TPC Readout Chambers (ROCs)

Performance

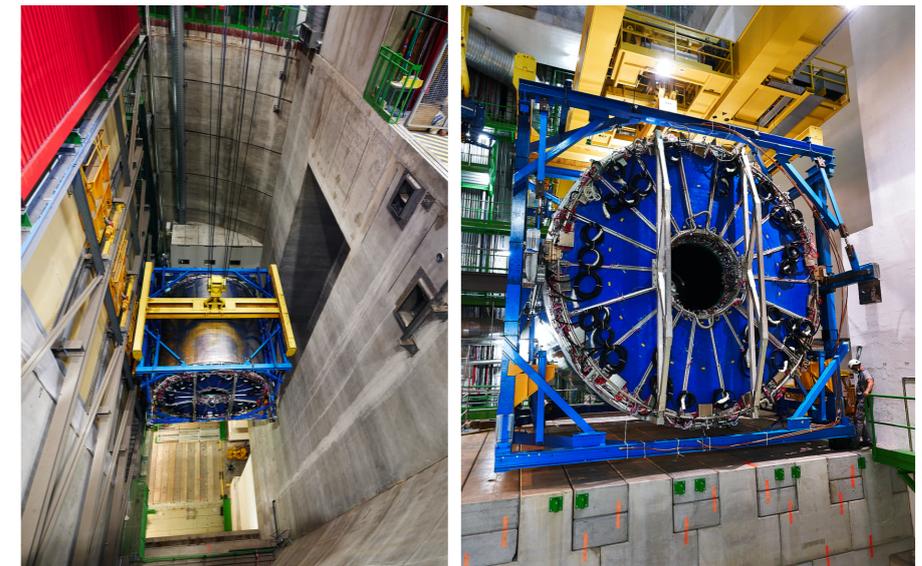
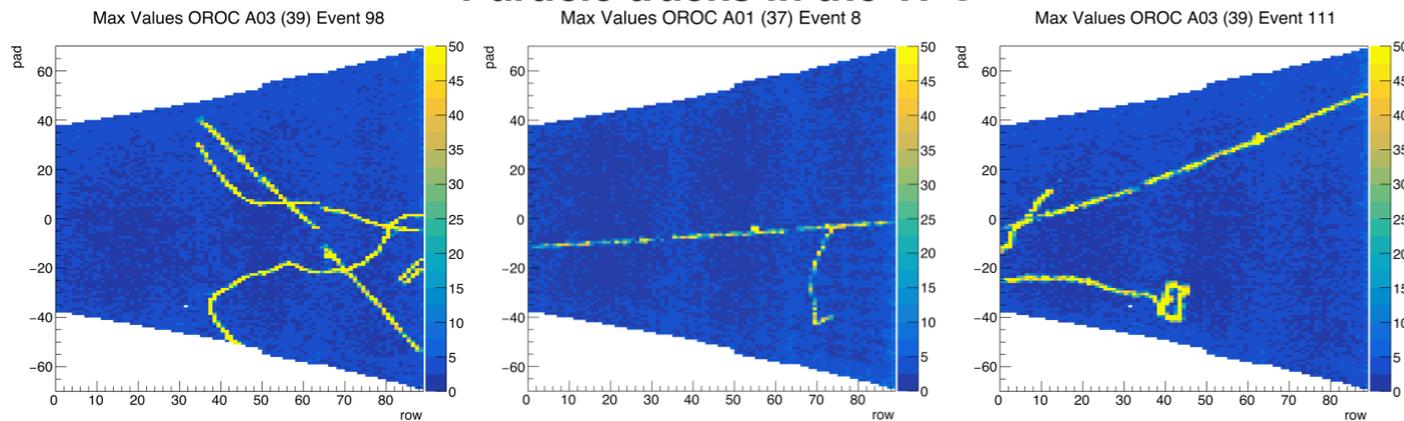
Laser tracks in the TPC



Noise figure



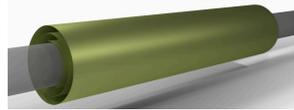
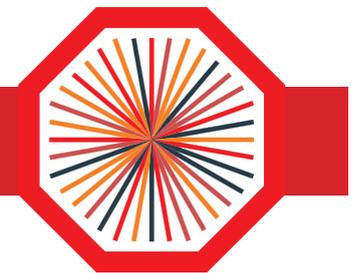
Particle tracks in the TPC



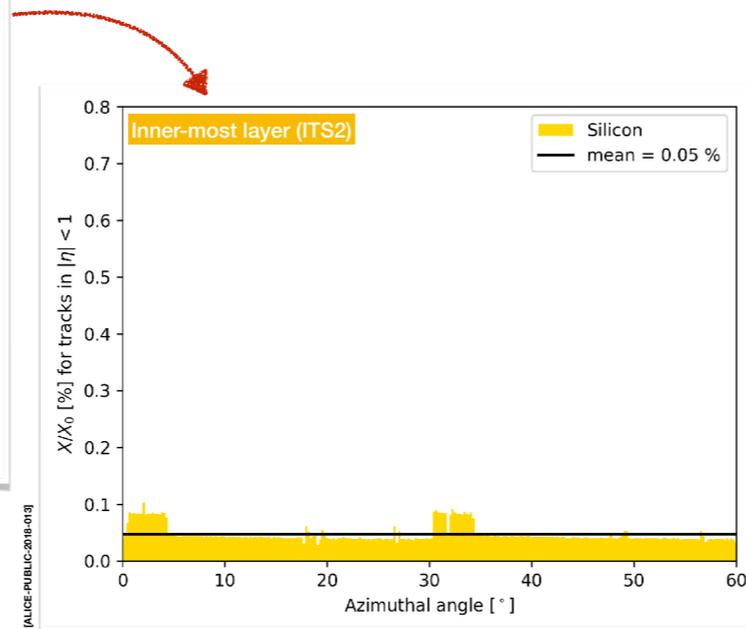
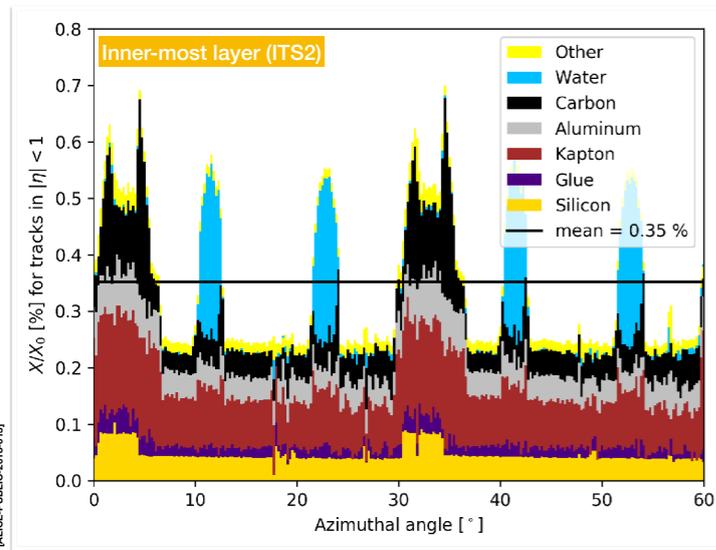
TPC installed in the Cavern

3-16 August 2020

ALICE 2 - LS 3 upgrade



New Inner Tracking System (ITS3)



Observations

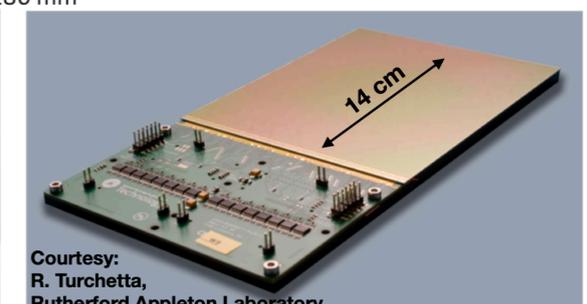
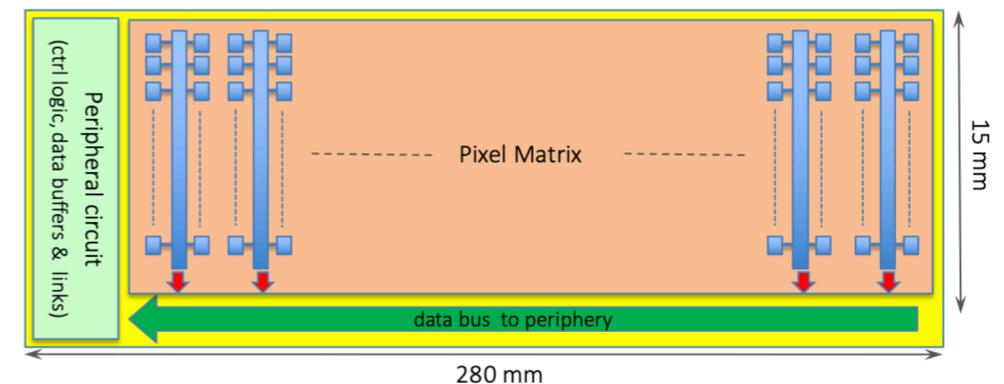
- » Silicon makes only about 15% of total material
- » Irregularities due to support/cooling and overlap

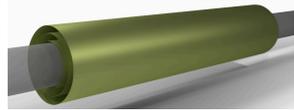
Improvements

- » Removal of water cooling
 - **possible** if power consumption stays below 20 mW/cm²
- » Removal circuit board (power+data)
 - **possible** if integrated on chip
- » Removal of mechanical support
 - **benefit** from increased stiffness by rolling Si wafers

Implementation

- » Air cooling
 - possible below 20 mW/cm² and if peripheral outside fiducial volume
- » Wafer-scale chip
 - Stitching to overcome reticle size limit
 - Neither support structure nor electrical substrate necessary
- » Thinning and bending
 - Currently 50 μm (25 μm active area)
 - Below 50 μm Si wafers become flexible, “paper like”
 - Smaller pixels would allow shallower active volume

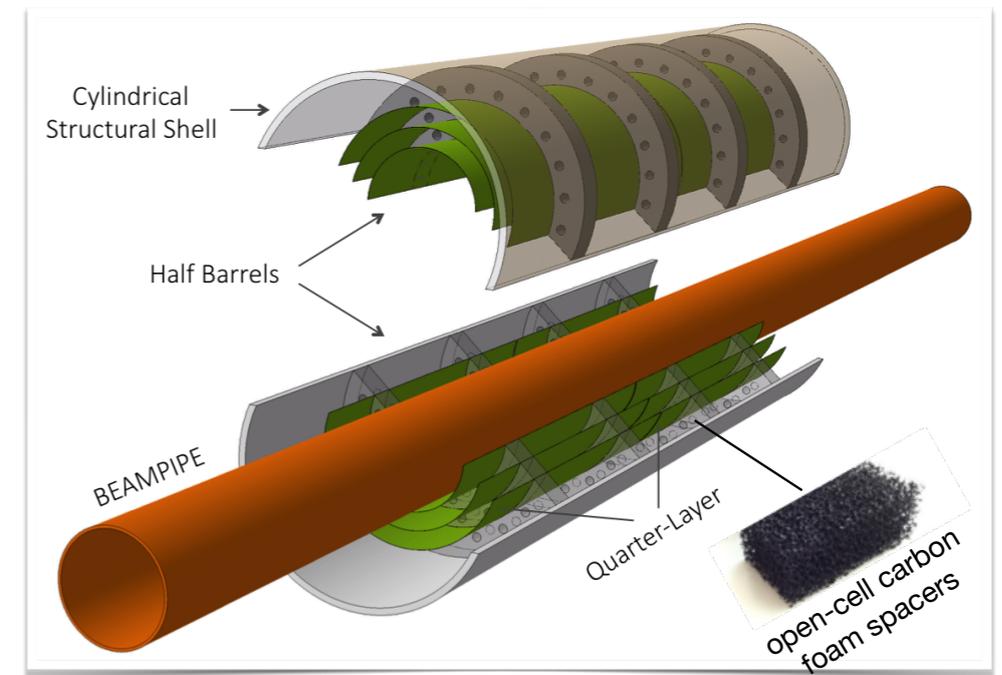




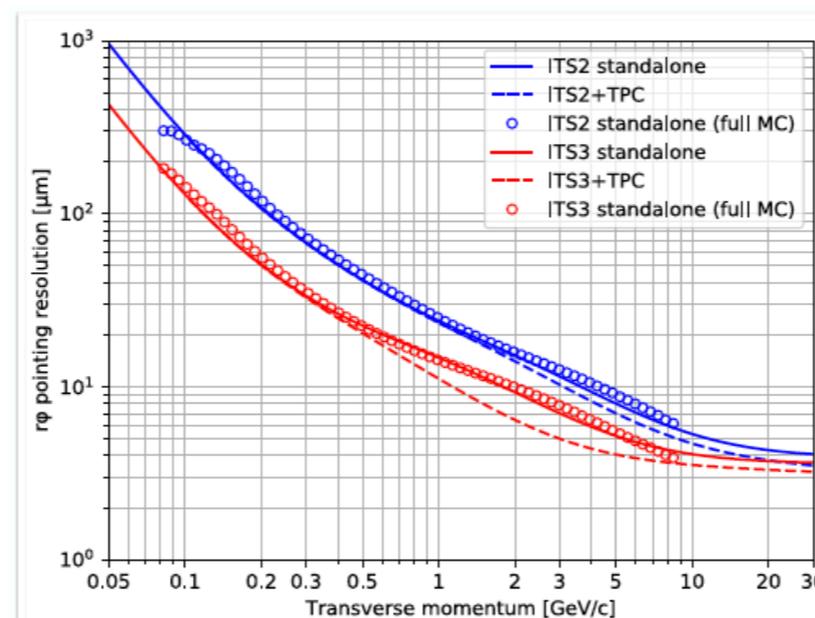
New Inner Tracking System (ITS3)

Layout and Mechanics

- » Smaller beam pipe diameter and wall thickness (0.14% X_0)
- » Sensor thickness 20-40 μm (0.02 - 0.04% X_0)
- » Total material reduced by a factor 3
- » Material homogeneously distributed
→ essentially zero systematic error from material distribution
- » Sensors held in place by low-density carbon foam
- » Cooling at the extremities (chip peripheries)

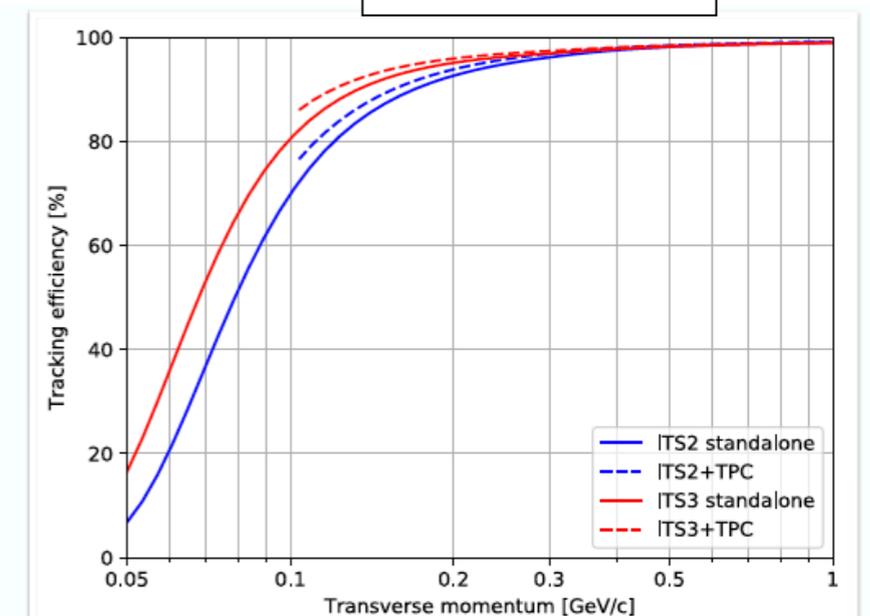


- » Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)
- » Improved physics measurements for heavy-flavour baryons and low-mass dielectrons



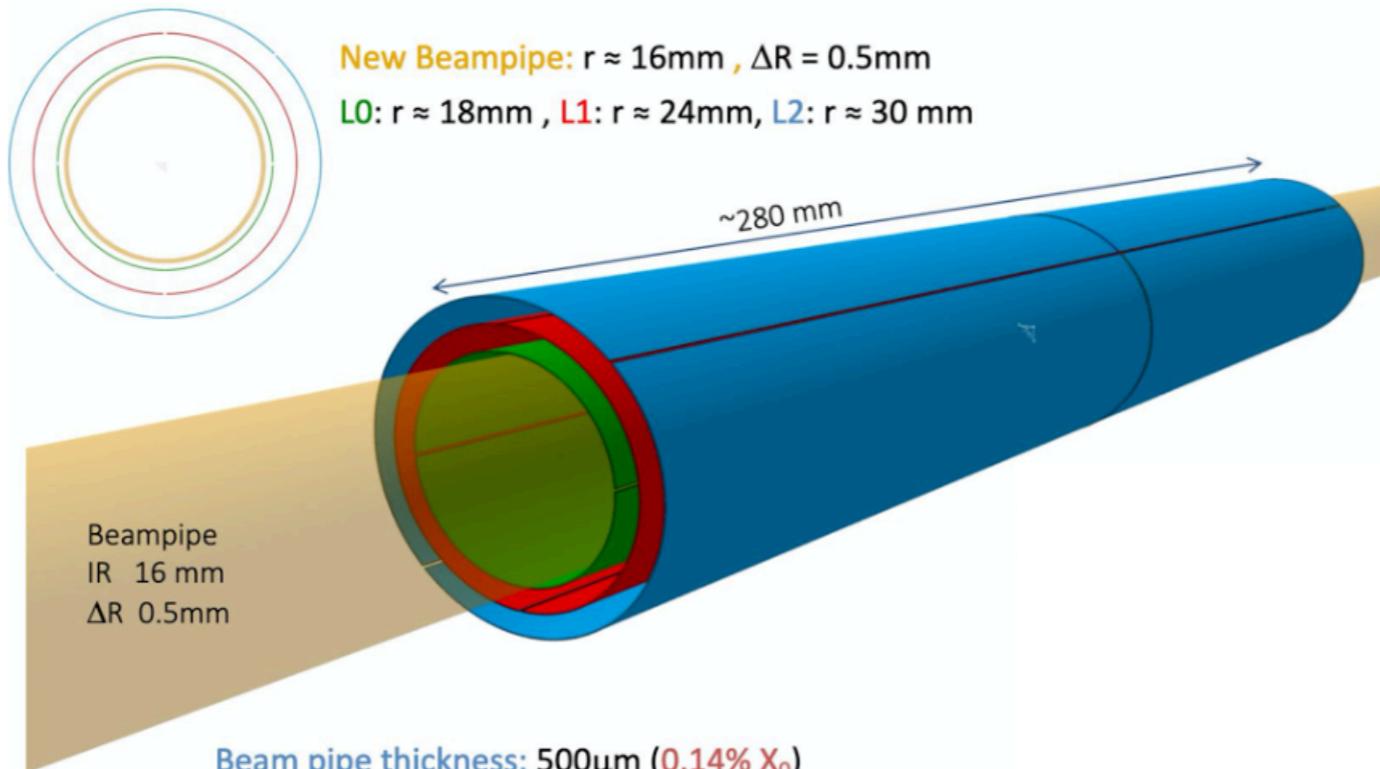
[ALICE-PUBLIC-2018-013]

Performance





Backup - ITS 3 details



New Beampipe: $r \approx 16\text{mm}$, $\Delta R = 0.5\text{mm}$
L0: $r \approx 18\text{mm}$, **L1:** $r \approx 24\text{mm}$, **L2:** $r \approx 30\text{mm}$

Beampipe
 IR 16 mm
 ΔR 0.5mm

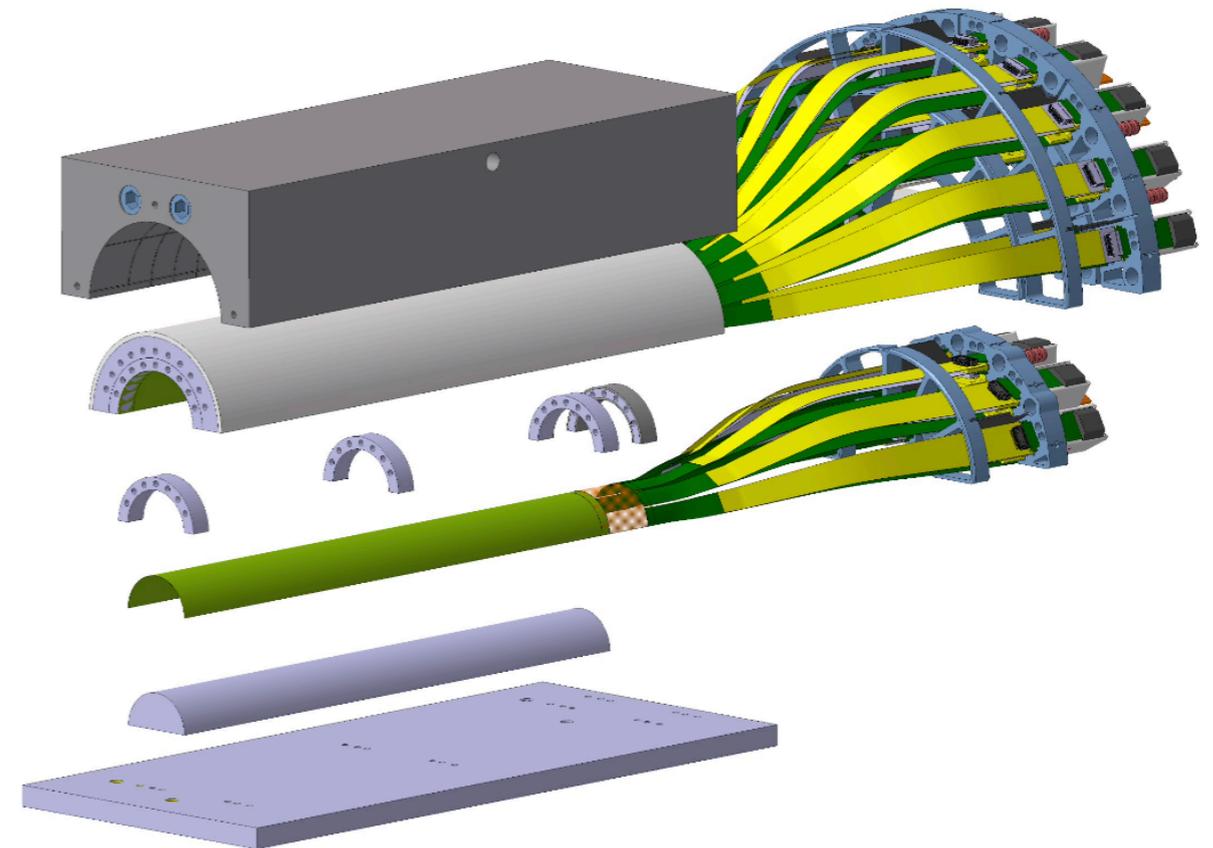
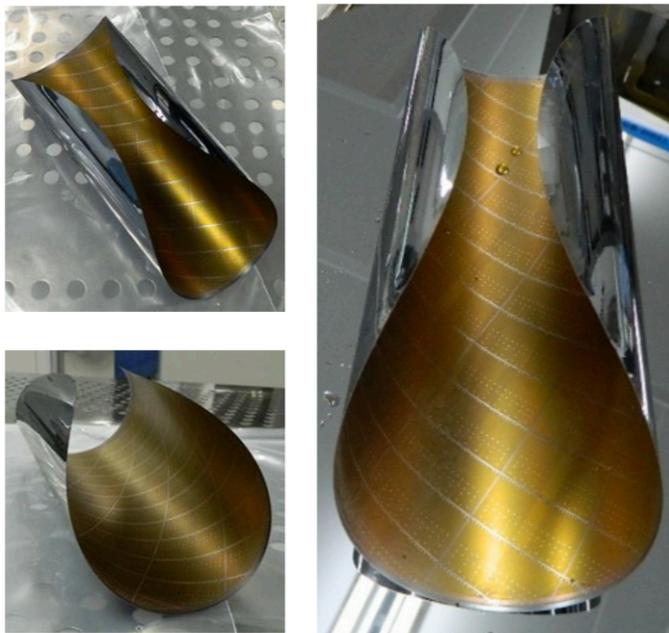
Beam pipe thickness: $500\mu\text{m}$ (0.14% X_0)

Sensor thickness: $20 - 40\mu\text{m}$ (0.03 - 0.05% X_0)

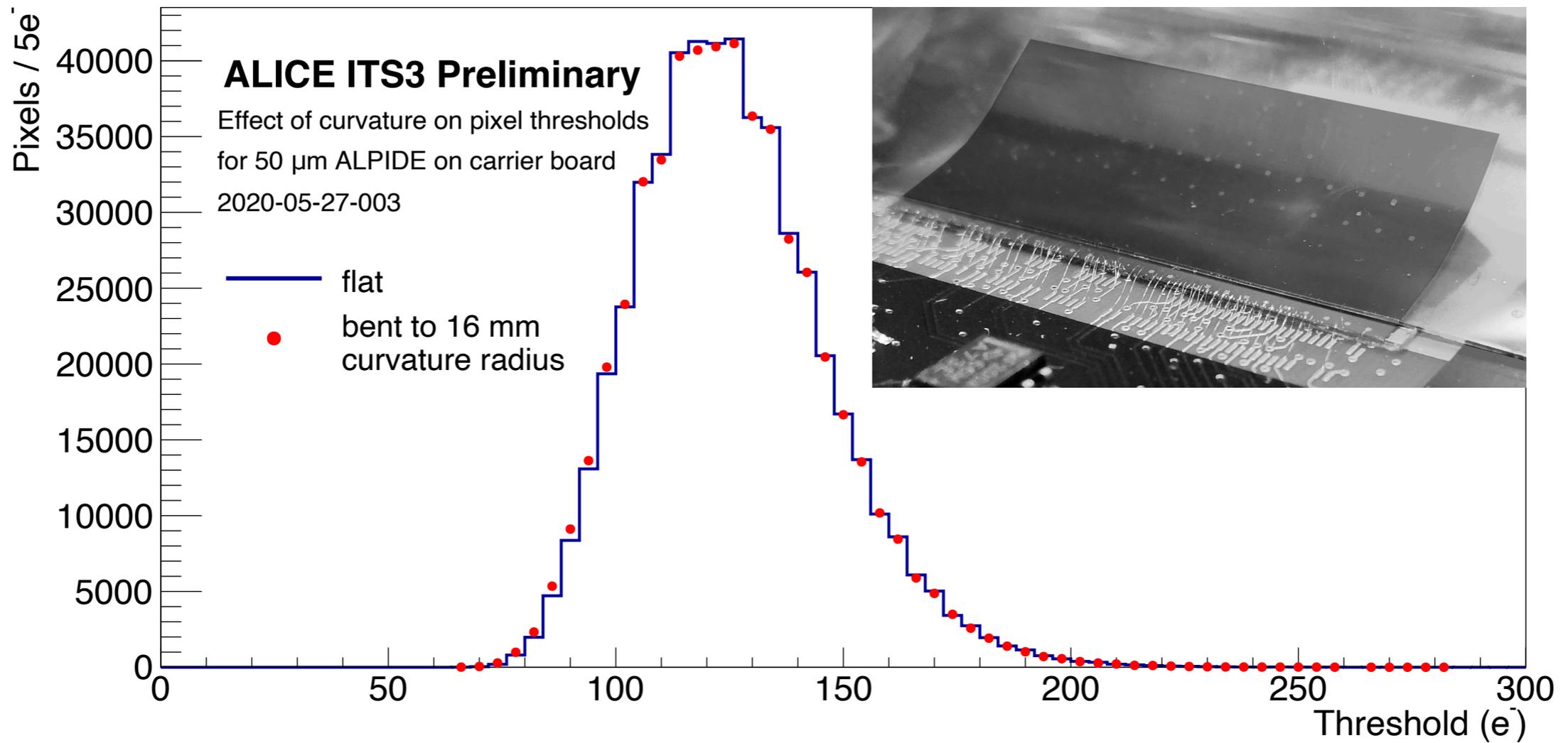
Beampipe inner/outer radius (mm)	16.0/16.5		
IB Layer parameters	Layer 0	Layer 1	Layer 2
Radial position (mm)	18.0	24.0	30.0
Length (sensitive area) (mm)	270	270	270
Pseudo-rapidity coverage ^a	± 2.5	± 2.3	± 2.0
Active area (cm ²)	305	408	508
Pixel sensors dimensions (mm ²)	280×56.5	280×75.5	280×94
Number of pixel sensors / layer	2		
Pixel size (μm^2)	$O(15 \times 15)^b$		

^a The pseudorapidity coverage of the detector layers refers to tracks originating from a collision at the nominal interaction point ($z = 0$).

^b For the fallback solution the pixel size is about a factor two larger ($O(30 \times 30)\mu\text{m}^2$).

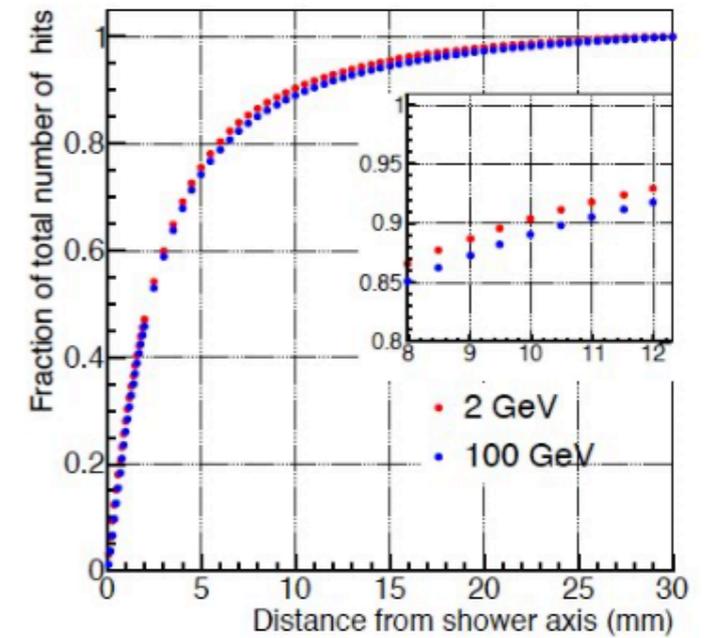
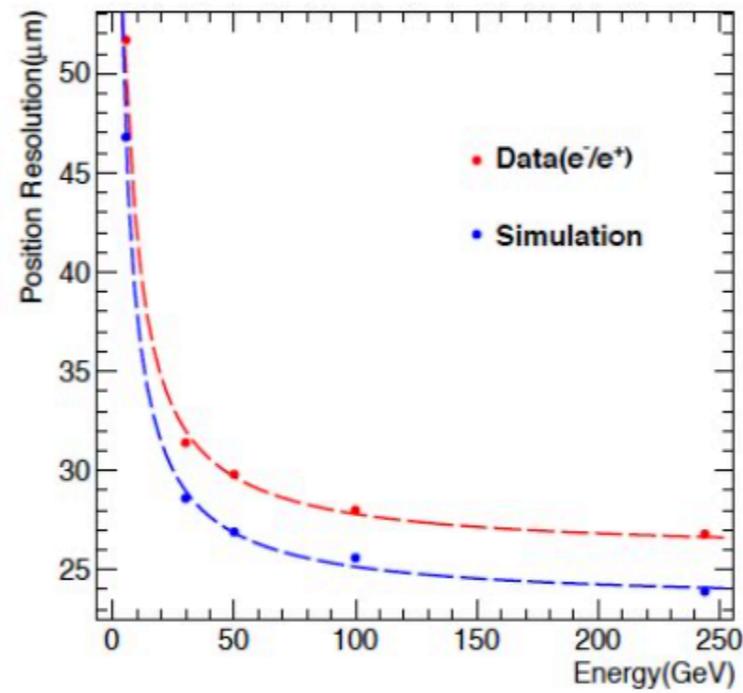
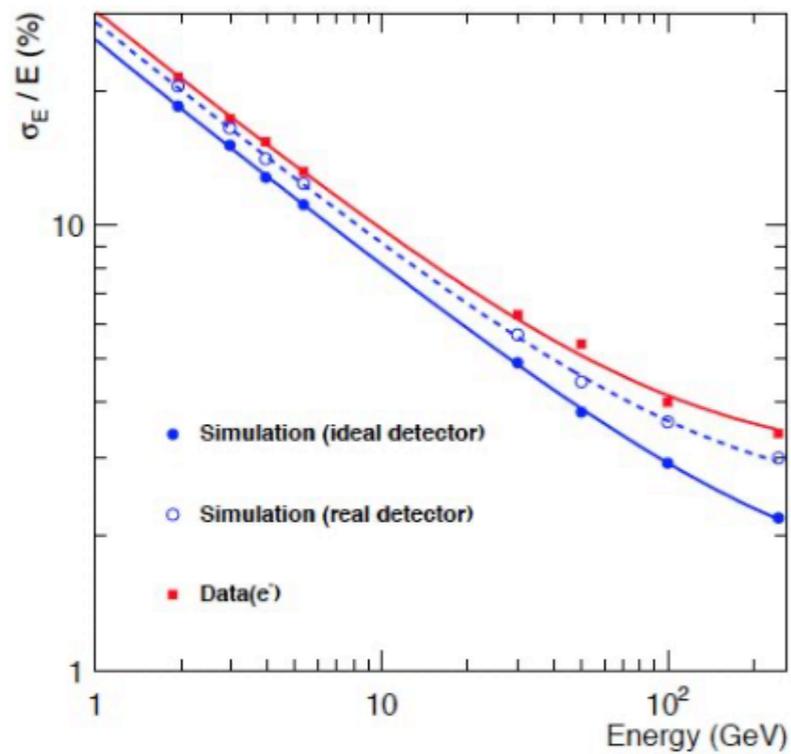


Backup - ITS 3 details

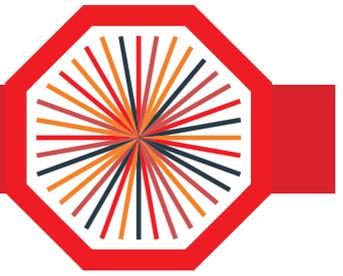




Backup - FOCAL-E performance



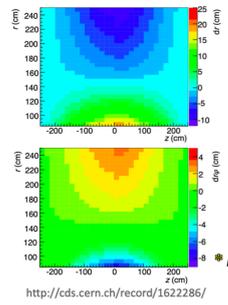
Backup - ALICE 2 Tracking in central barrel ($|\eta| < 0.9$)



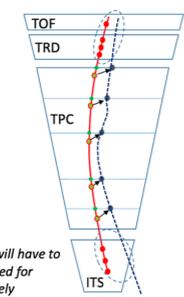
Space-Charge Distortions in the TPC

TPC GEM configuration designed to reduce to the minimum the ion backflow (< 1%)
 • Still, positive charge accumulating and moving in the TPC → modified E-field → distortions in the TPC

Expectations for Pb-Pb @ 50 kHz:



Run 2 strategy for average (*) distortions:



- Interpolation of refitted ITS, TRD and TOF **track segments** to the TPC as **reference points** for the **true track position**
- Collect $\Delta y, \Delta z$ between **distorted clusters** and **references** in TPC sub-volumes (voxels)
- Extract 3D distortion vector in every voxel
- Use during asynchronous reconstruction

Full ITS-TPC-TRD-TOF reconstruction of a fraction (~0.6%) of the tracks at synchronous stage

sync
sync
offline
async

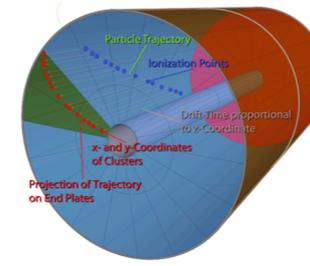
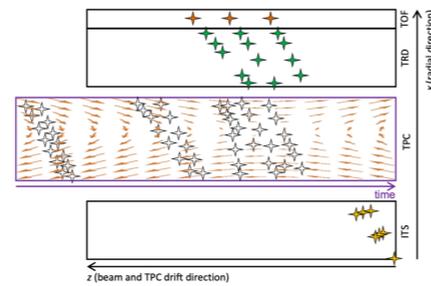
Fluctuations will have to be accounted for separately

<http://cds.cern.ch/record/1622286/>

Tracking in the central barrel

Challenge in Run 3 + 4!

- **overlap** of multiple collisions (5 collisions in the TPC drift time @50 kHz Pb-Pb)
- with TPC clusters without a well-defined z coordinate, but **just a time** (t)
- presence of **distortion** corrections that are position dependent

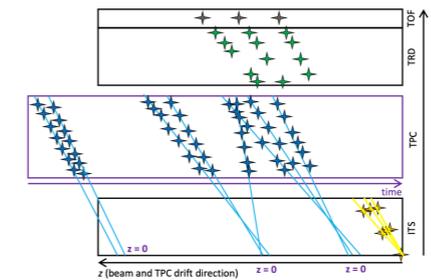


$$z = (t - t_{\text{vertex}}) * v_{\text{drift}}$$

Tracking in the central barrel

Challenge in Run 3 + 4!

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- with TPC clusters without a well-defined z coordinate, but **just a time** (t)
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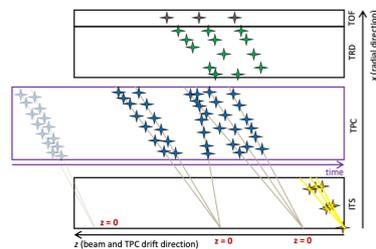


- Standalone ITS tracking
- Standalone TPC tracking, scaling t linearly to an arbitrary z.
- Extrapolate to x = 0, define z = 0 as if the track was primary → good enough at this stage (sync!)
- Track following to find missing clusters

Tracking in the central barrel

Challenge in Run 3 + 4!

- **overlap** of multiple collisions (5 collisions in the TPC drift time @50 kHz Pb-Pb)
- with TPC clusters without a well-defined z coordinate, but **just a time** (t)
- presence of **distortion** corrections that are position dependent

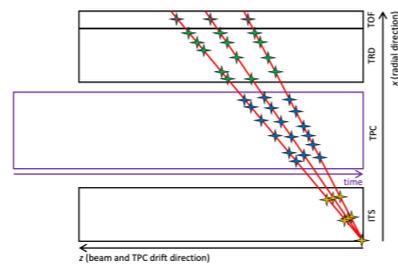


- Standalone ITS tracking
- Standalone TPC tracking, scaling t linearly to an arbitrary z.
- Extrapolate to x = 0, define z = 0 as if the track was primary → good enough at this stage (sync!)
- Track following to find missing clusters
- Refine z = 0 estimate, refit track with best precision
- Find ITS-TPC track compatibility using times

Tracking in the central barrel

Challenge in Run 3 + 4!

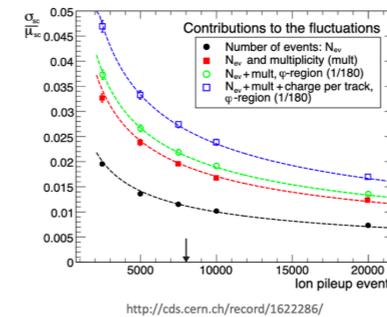
- **overlap** of multiple collisions (5 collisions in the TPC drift time @50 kHz Pb-Pb)
- with TPC clusters without a well-defined z coordinate, but **just a time** (t)
- presence of **distortion** corrections that are position dependent



- Standalone ITS tracking
- Standalone TPC tracking, scaling t linearly to an arbitrary z.
- Extrapolate to x = 0, define z = 0 as if the track was primary → good enough at this stage (sync!)
- Track following to find missing clusters
- Refine z = 0 estimate, refit track with best precision
- Find ITS-TPC track compatibility using times
- Match TPC track to ITS track, fixing z-position and t of the TPC track
- Refit ITS + TPC track outwards and inwards
- Prolong into TRD / TOF

More on TPC Space-Charge Distortions

Ion Back Flow
 $t_{\text{drift, ion}} = 160 - 200$ ms
 IR = 50 kHz
 Ions from 8000 – 10000 events in the TPC drift volume
 Space-charge fluctuations ~2 – 3% (5 – 7 mm >> intrinsic resolution, 200 μ m)



Synchronous processing
 Will use: Average distortion map, scaled to occupancy. Fluctuations corrected in 1D.
 Will produce: History of digital currents (charge at the readout plane) integrated over 1 ms.

Asynchronous processing
 Will use: Distortion map from ITS-TPC-TRD-TOF interpolation. Fluctuations corrected in 3D every 5 ms with the digital currents' history from previous 160 ms.