Quinto Incontro Nazionale di Fisica Nucleare Laboratori Nazionali del Gran Sasso, 9-11 maggio 2022



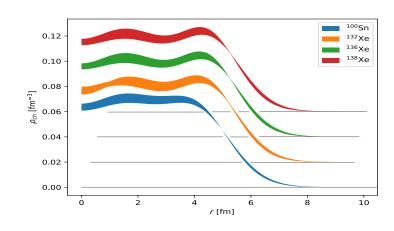
UNIVERSITÀ DEGLI STUDI DI MILANO DIPARTIMENTO DI FISICA

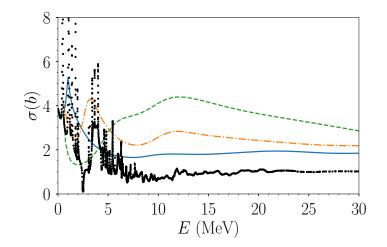


Ab Initio Computations of Ground States and Optical Potentials in Nuclei

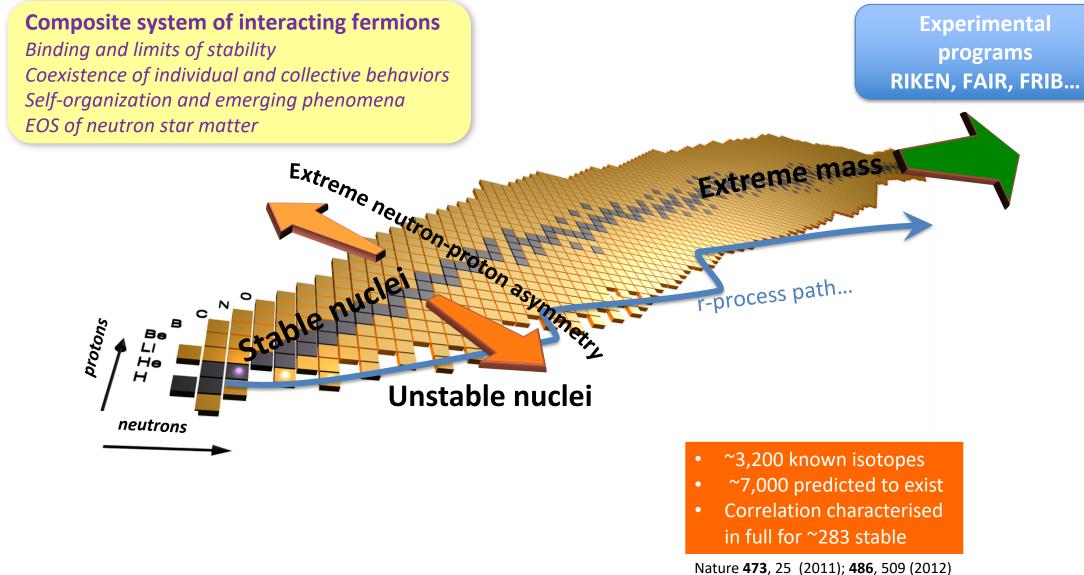
Carlo Barbieri







Current Status of low-energy nuclear physics





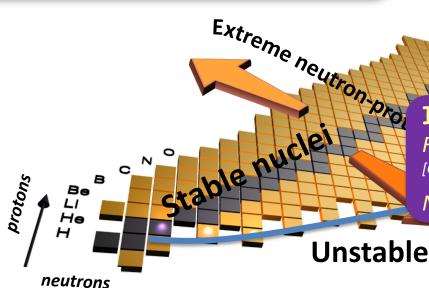


Current Status of low-energy nuclear physics

Composite system of interacting fermions

Binding and limits of stability *Coexistence of individual and collective behaviors* Self-organization and emerging phenomena EOS of neutron star matter

Experimental programs RIKEN, FAIR, FRIB, ISAC...



II) Nuclear correlations Fully known for stable isotopes [C. Barbieri and W. H. Dickhoff, Prog. Part. Nucl. Phys 52, 377 (2004)]

Neutron-rich nuclei; Shell evolution (far from stability)

Extreme mass

Unstable nuclei

I) Understanding the nuclear force QCD-derived; 3-nucleon forces (3NFs) *First principle (ab-initio) predictions*



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~3.200 ~7.000 t

III) Interdisciplinary character *Astrophysics*

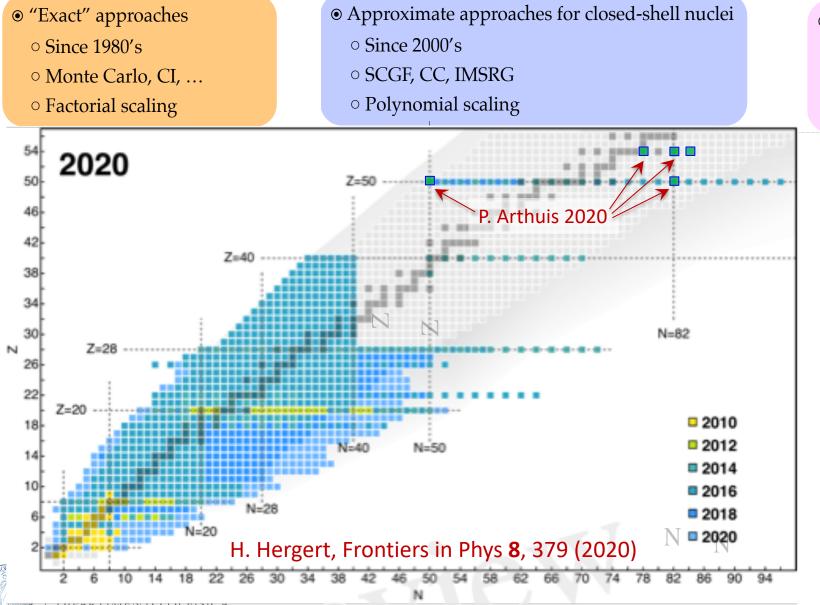
ultracold gasses; molecules;

INFN

Tests of the standard model Correlati Other fermionic systems: in full for

Nature **473**, 25

Reach of ab initio methods across the nuclear chart



- Approximate approaches for open-shells
 - \circ Since 2010's
 - GGF, BCC, MR-IMSRG
 - Polynomial scaling

Key developments in SCGF: [V. Somà, Front. Phys. 8, 340 (2020)]

Dyson ADC(2-5) Schirmer 1983 (formalism)

Particle-vibration coupling, FRPA(3) CB 2000, 2007

Gorkov ADC(2): open shells! Somà 2011, 2013

3-nucleon forces basic formalism Carbone, Cipollone 2013 Raimondi 2018

Gorkov ADC(3) and higher orders (automatic) Raimoindi, Arthuis 2019

Deformation, Symmetry restoration ???



THE FUTURE OF NUCLEAR STRUCTURE: CHALLENGES AND OPPORTUNITIES IN THE MICROSCOPIC DESCRIPTION OF NUCLEI

EDITED BY: Luigi Coraggio, Saori Pastore and Carlo Barbieri PUBLISHED IN: Frontiers in Physics

Frontiers Research Topics

Editors: L. Coraggio, S. Pastore, CB

FRONTIERS topical review (doi: 10.3389/fphy.2020.626976):

H. Hergert, Frontiers in Phys. 8, 379 (2020)

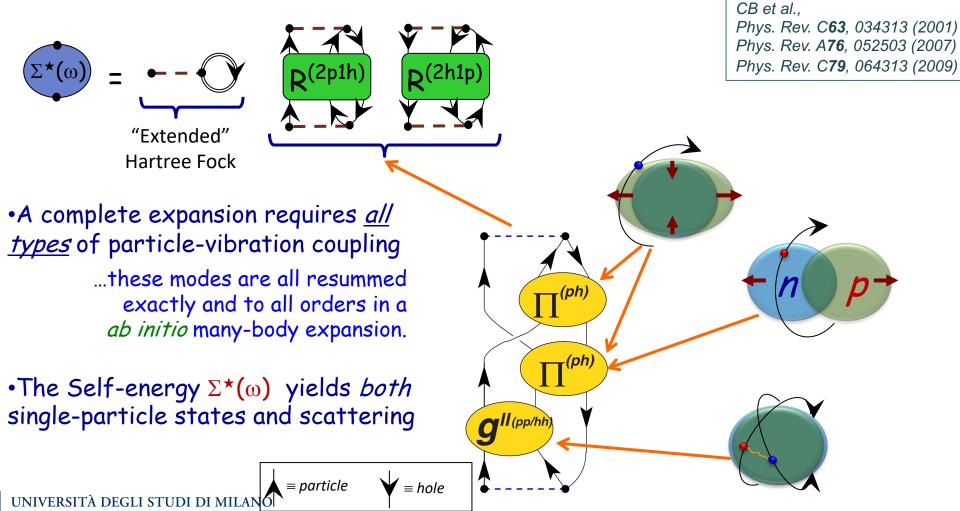
V. Somà, Frontiers in Phys. 8, 340 (2020)





The FRPA Method in Two Words

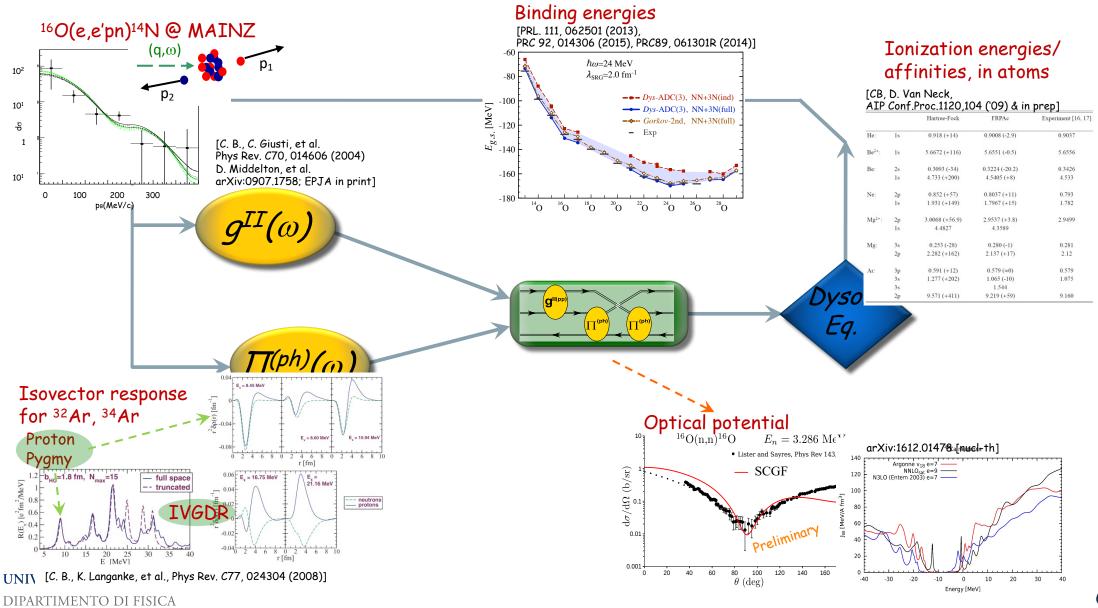
Particle vibration coupling is the main mechanism driving the redistribution and fragmentation of particle strength—expecially in the quasielastic regions around the Fermi surface...





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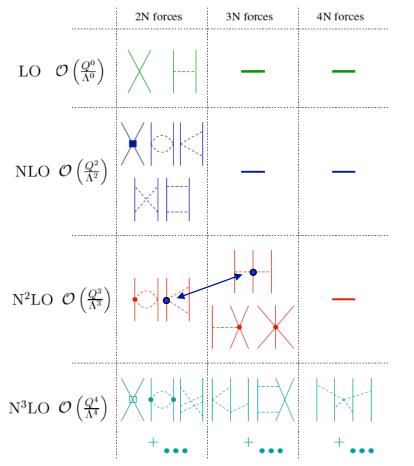
Self-Consistent Green's Function Approach





Realistic nuclear forces form Chiral EFT

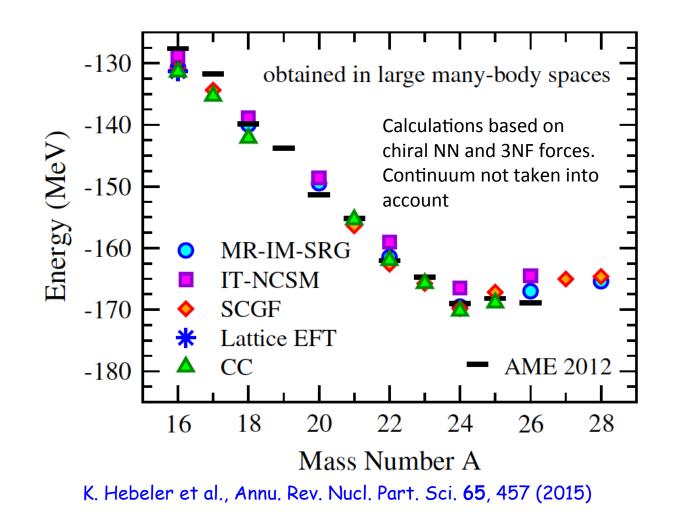
Chiral EFT for nuclear forces:



(3NFs arise naturally at N2LO)



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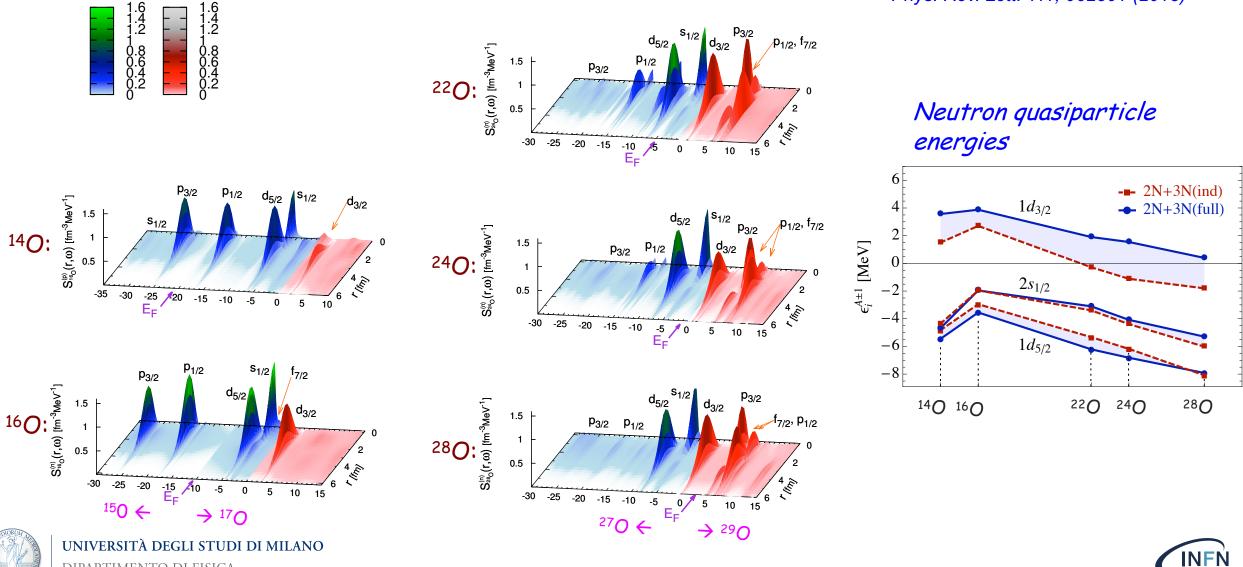


See also:

A. Cipollone, CB, P. Navrátil, Phys. Rev. Lett. 111, 062501 (2013)

Neutron spectral function of Oxygens

A. Cipollone, CB, P. Navrátil, *Phys. Rev. C* **92**, 014306 (2015); *Phys. Rev. Lett.* 111, 062501 (2013)

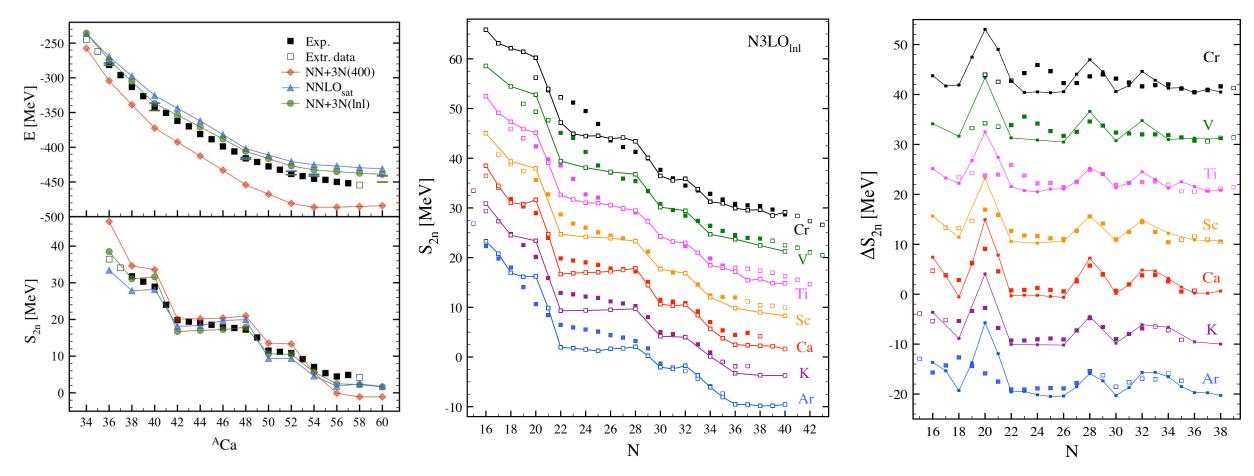


DIPARTIMENTO DI FISICA



N3LO-Inl: a *second-generation* Chiral EFT Hamiltonian

Computations w/ SCGF – Gorkov-ADC(2)



V. Somà, P. Navrátil, F. Raimondi, CB, T. Duguet, Phys Rev C101, 014318 (2020); Eur. Phys. J. A57, 135 (2021)



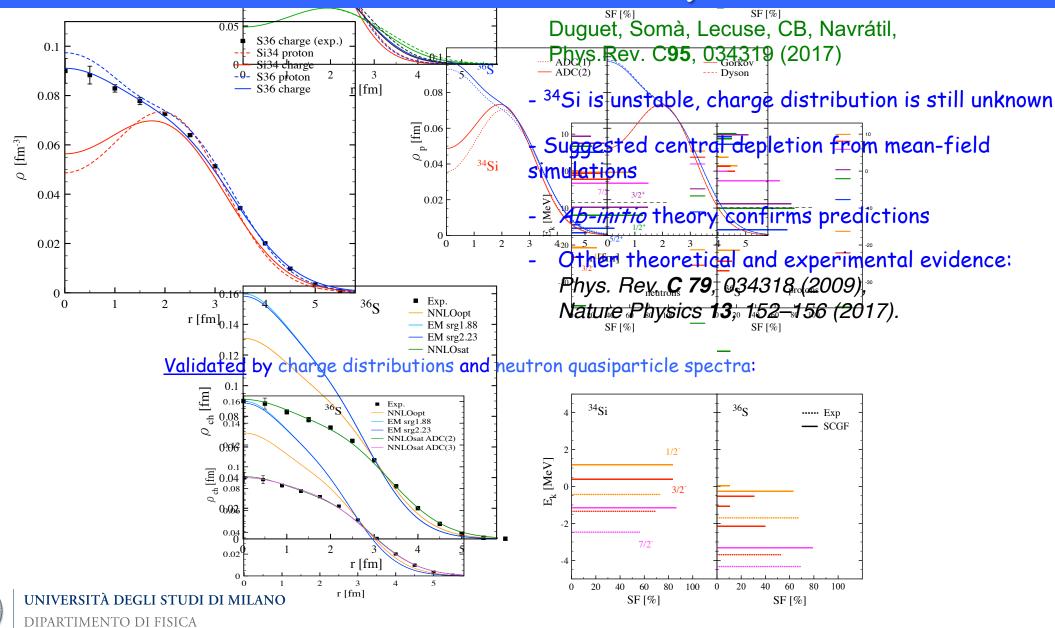
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Bubble nuclei...

,E

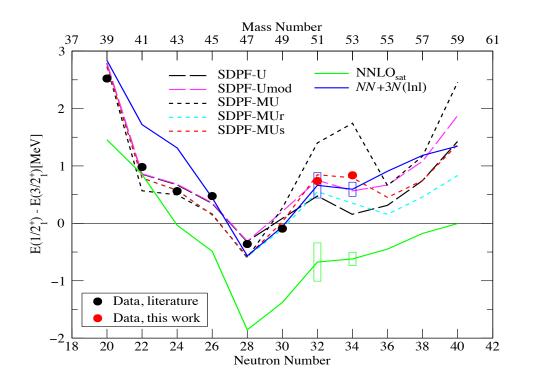
³⁴Si prediction



-20 - 1/2

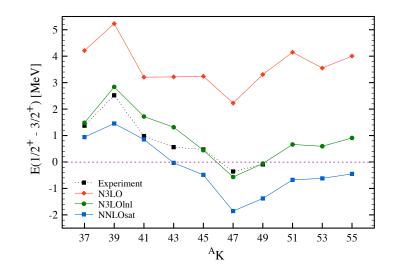


$d_{3/2} - s_{1/2}$ inversion in K isotopes and bubbles at N=28

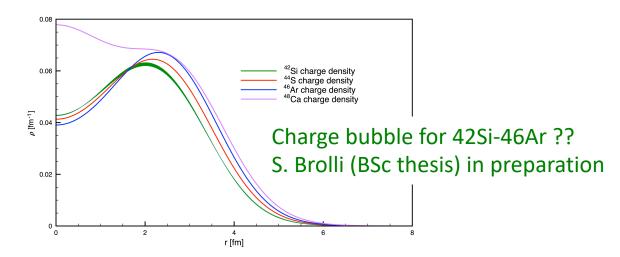


Papuga et al., PRL110, 172503 (2013); PRC90, 034321 (2014)

RIKEN, SEASTAR coll., Phys. Lett. B802 135215 (2020)



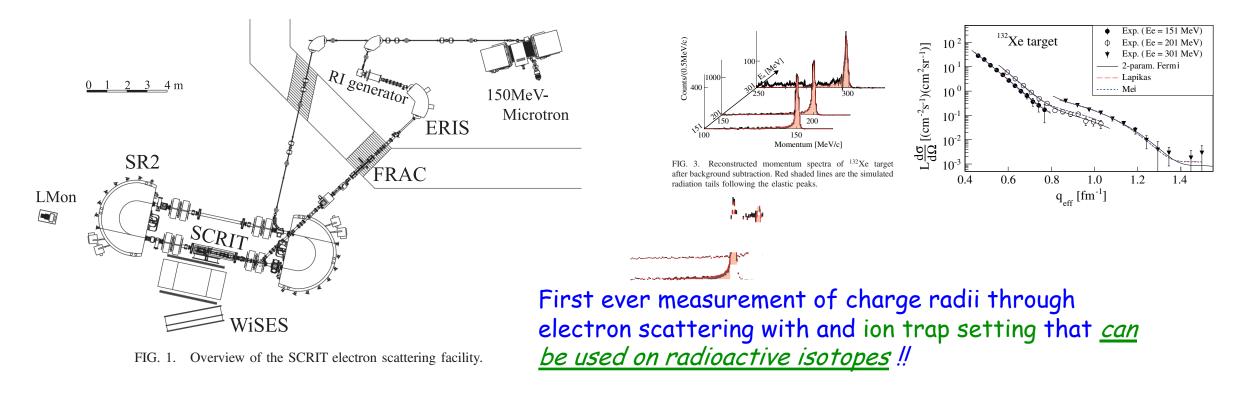
V. Somà, et al., Phys. Rev. C101, 014318 (2020)







Electron-Ion Trap colliders...



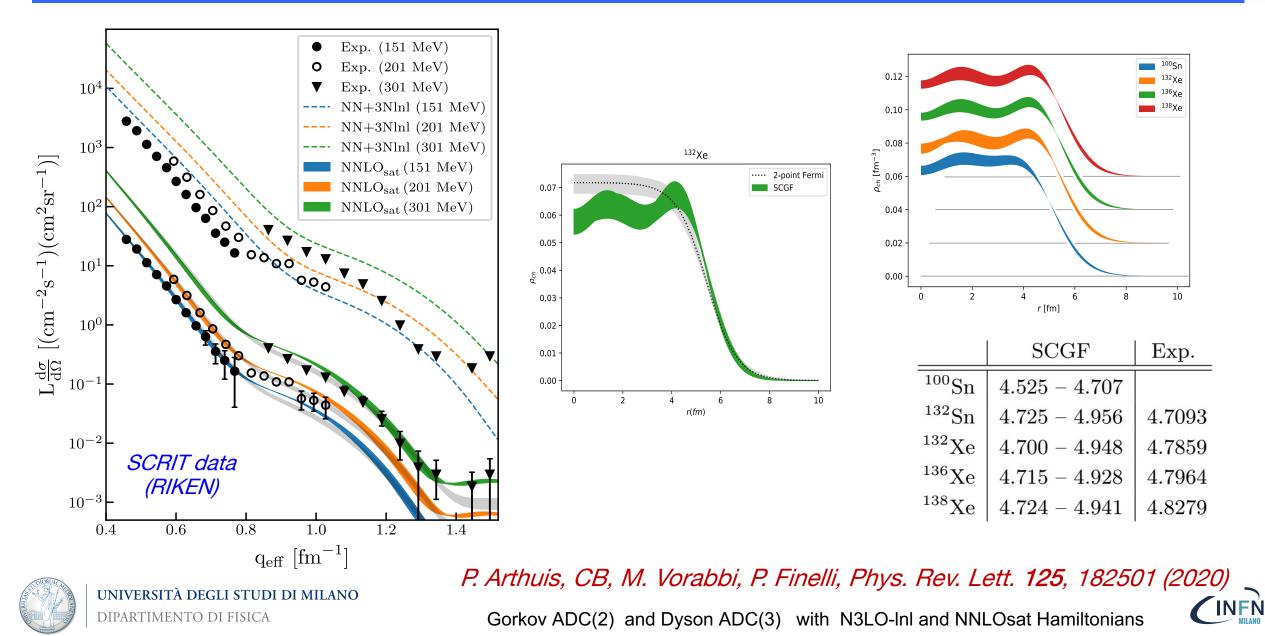
K. Tsukada et al., Phy rev Lett 118, 262501 (2017)



P. Arthuis, CB, M. Vorabbi, P. Finelli, Phys. Rev. Lett. **125**, 182501 (2020)



Charge density for Sn and Xe isotopes



Nuclear Density Functional Theory



PHYSICAL REVIEW C 104, 024315 (2021)

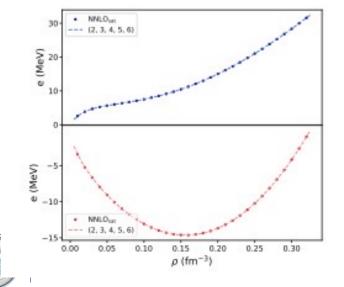
Nuclear energy density functionals grounded in *ab initio* calculations

 F. Marino^{1,2,*} C. Barbieri^{1,2} A. Carbone,³ G. Colò^{1,2} A. Lovato^{1,4,5} F. Pederiva,^{6,5} X. Roca-Maza^{1,2} and E. Vigezzi²
 ¹Dipartimento di Fisica "Aldo Pontremoli," Università degli Studi di Milano, 20133 Milano, Italy
 ²Istituto Nazionale di Fisica Nucleare, Sezione di Milano, 20133 Milano, Italy
 ³Istituto Nazionale di Fisica Nucleare_CNAE Viale Carlo Berti Pichat 6/2, 40127 Bologna, Italy

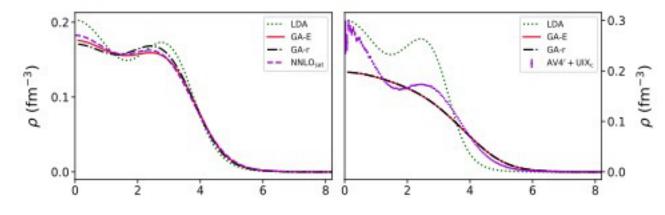
DFT is in principle exact – but the energy density functional (EDF) is not known

For nuclear physics this is even more demanding: need to link the EDF to theories rooted in QCD!

Machine-learn DFT functional on the nuclar equation of state



Benchmark in finite systems





Ab initio optical potentials from propagator theory

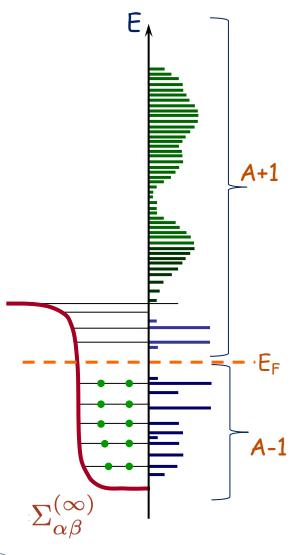
Relation to Fesbach theory: Mahaux & Sartor, Adv. Nucl. Phys. 20 (1991) Escher & Jennings Phys. Rev. C**66**, 034313 (2002)

Previous SCGF work: CB, B. Jennings, Phys. Rev. C**72**, 014613 (2005) S. Waldecker, CB, W. Dickhoff, Phys. Rev. C**84**, 034616 (2011) A. Idini, CB, P. Navrátil, Phys. Rv. Lett. **123**, 092501 (2019) M. Vorabbi, CB, et al., in preparation



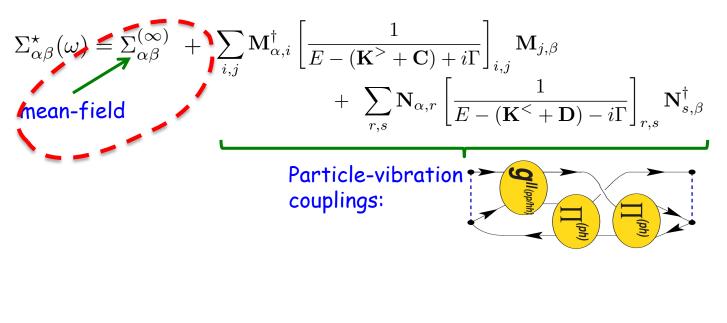


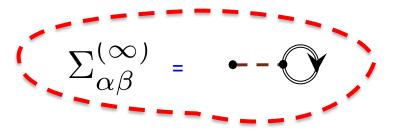
Microscopic optical potential



UNIVERSITÀ DEGLI STUDI DI MILANO DIPARTIMENTO DI FISICA Nuclear self-energy $\Sigma^{\star}(\mathbf{r},\mathbf{r}';\varepsilon)$:

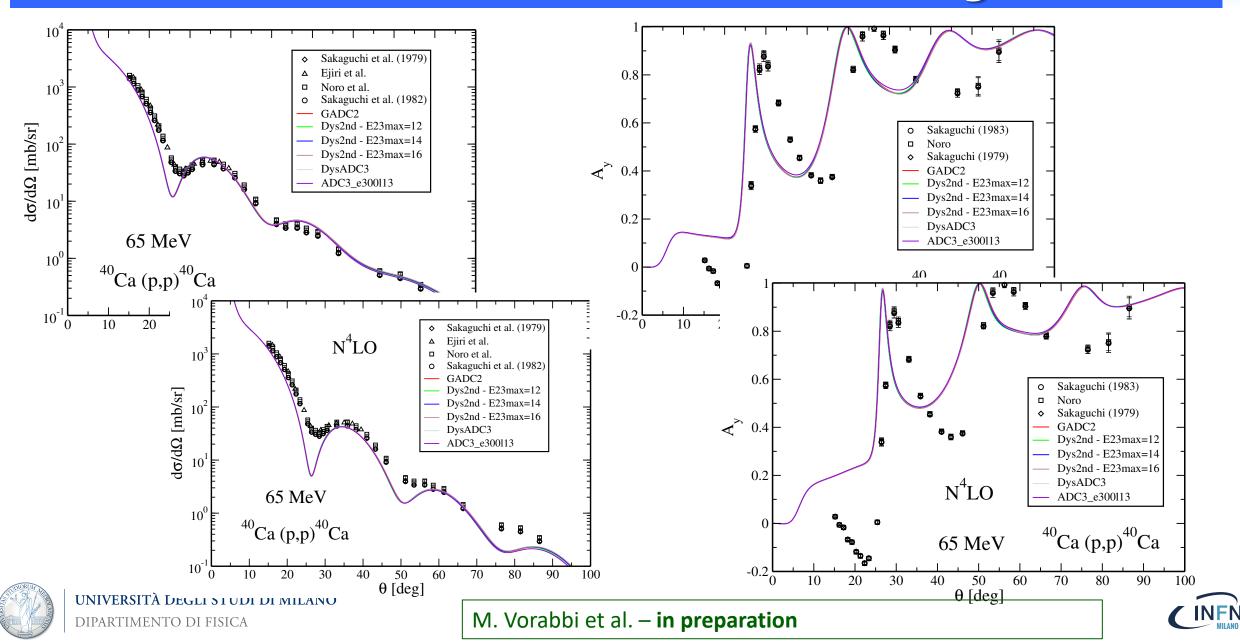
- contains both particle and hole props.
- it is proven to be a Feshbach opt. pot → in general it is non-local !



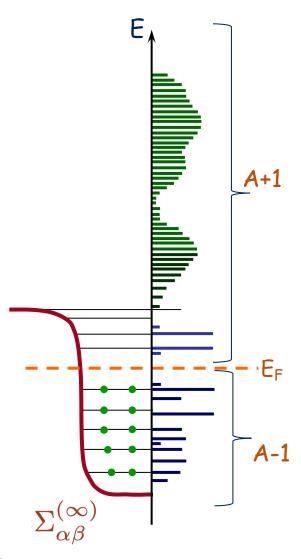




Elastic nucleon nucleus scattering



Microscopic optical potential





Nuclear self-energy $\Sigma^{\star}(\mathbf{r},\mathbf{r}';\varepsilon)$:

- contains both particle and hole props.
- it is proven to be a Feshbach opt. pot \rightarrow in general it is *non-local* !

$$\Sigma_{\alpha\beta}^{\star}(\omega) = \Sigma_{\alpha\beta}^{(\infty)} + \sum_{i,j} \mathbf{M}_{\alpha,i}^{\dagger} \left[\frac{1}{E - (\mathbf{K}^{>} + \mathbf{C}) + i\Gamma} \right]_{i,j} \mathbf{M}_{j,\beta}$$

mean-field
$$+ \sum_{r,s} \mathbf{N}_{\alpha,r} \left[\frac{1}{E - (\mathbf{K}^{<} + \mathbf{D}) - i\Gamma} \right]_{r,s} \mathbf{N}_{s,\beta}^{\dagger}$$

Particle-vibration
couplings:

Solve scattering and overlap functions directly in momentum space:

$$\Sigma^{\star l,j}(k,k';E) = \sum_{n,n'} R_{n\,l}(k) \Sigma^{\star l,j}_{n,n'} R_{n\,l}(k')$$
$$\frac{k^2}{2\mu} \psi_{l,j}(k) + \int dk' \, k'^2 \, \Sigma^{\star l,j}(k,k';E_{c.m.}) \psi_{l,j}(k') = E_{c.m.} \psi_{l,j}(k)$$

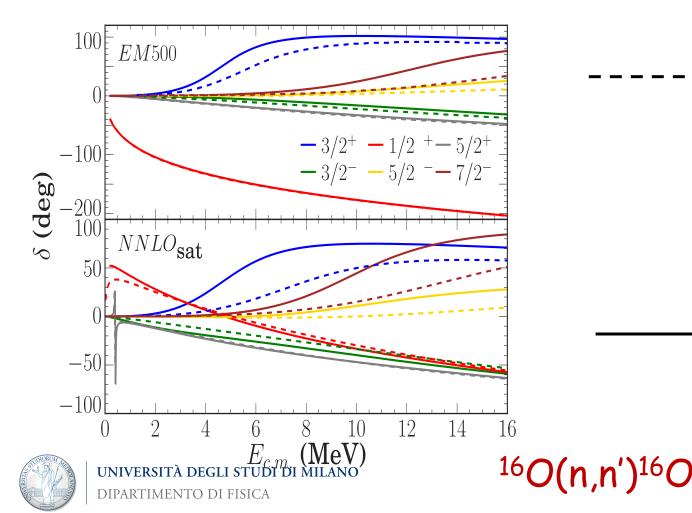


Low energy scattering - from SCGF

Benchmark with NCSM-based scattering.

[A. Idini, CB, Navratil, Phys. Rev. Lett. **123**, 092501 (2019)]

Scattering from mean-field only:



--- NCSM/RGM [without core excitations]

EM500: NN-SRG λ_{SRG} = 2.66 fm⁻¹, Nmax=18 (IT) [PRC82, 034609 (2010)]

NNLOsat: Nmax=8 (IT-NCSM)

— SCGF [$\Sigma^{(\infty)}$ only], always Nmax=13

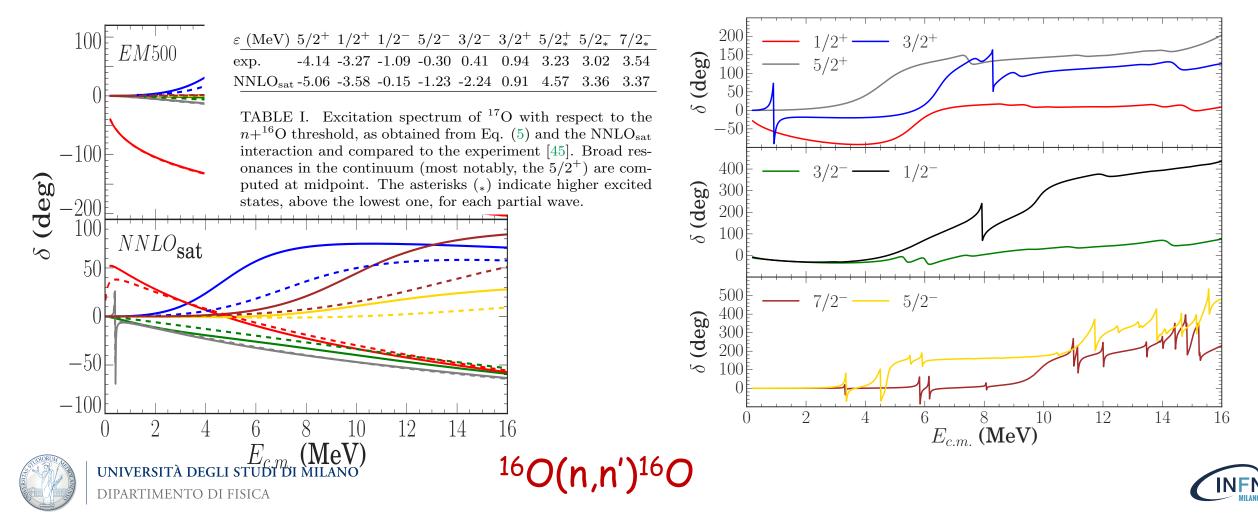


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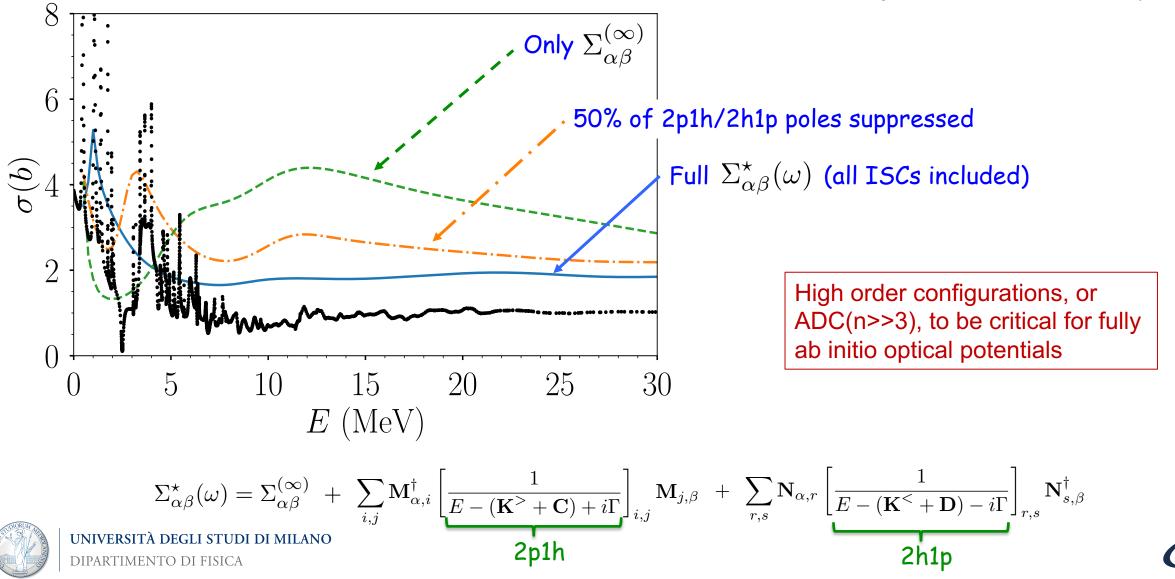
Full self-energy from SCGF:

Role of intermediate state configurations (ISCs)

n-16O, total elastic cross section

[A. Idini, CB, Navratil, Phys. Rev. Lett. **123**, 092501 (2019)]

INFŃ



Current challenges:

- Pushing ab-initio methods to medium energies not just g.s.
- Poor description of correlations at intermediate energies...
- C.O.M. problems ... maybe not so critical at large A.

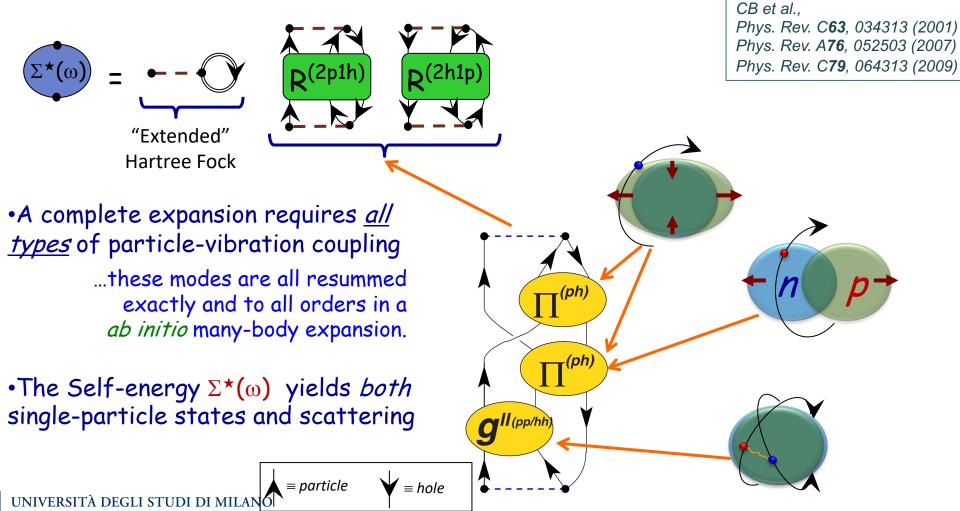
Need for an efficient sampling of collective configurations and diagrammatic expansion.





The FRPA Method in Two Words

Particle vibration coupling is the main mechanism driving the redistribution and fragmentation of particle strength—expecially in the quasielastic regions around the Fermi surface...





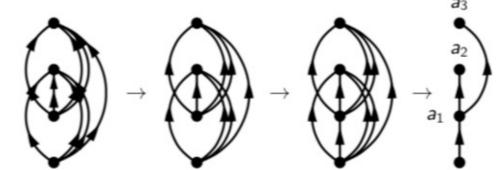
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Automatic Diagrammatic Generation (ADG) of the self-energy

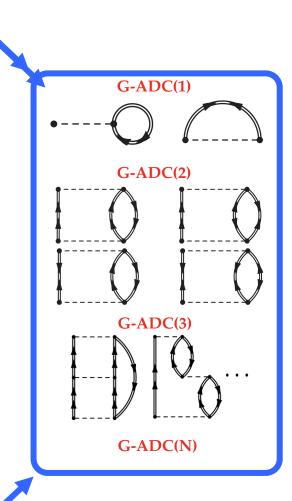
Goal: <u>Drawing</u> of self-energy Feynman diagrams and <u>derivation</u> of corresponding algebraic expressions are performed automatically

Background: ADG of the BMBPT expansion (P. Arthuis et al Comp. Phys. Comm. 240, 202 (2019))

Tree structure of B-MBPT diagrams:



Order		0	1	2	3	4	5
0/2/4-leg vertex	General	1	2	8	59	568	6 805
	HFB vacuum	1	1	1	10	82	938
0/2/4/6-leg vertex	General	1	3	23	396	10716	+ 100 000
	HFB vacuum	1	2	8	77	5 055	+ 100 000



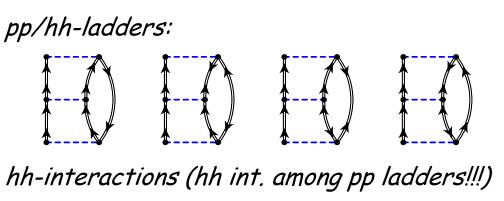
Work in progress by **F. Raimondi**, CEA, Saclay

Reaching (Gorkov - 3NF - higher ordes...) is a mess

Gorkov at 2nd order and ONLY NN forces:



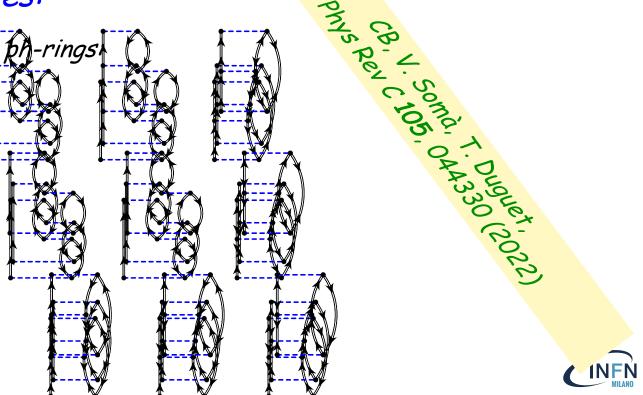
Gorkov at 3rd order and ONLY NN forces:



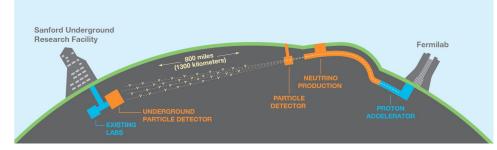




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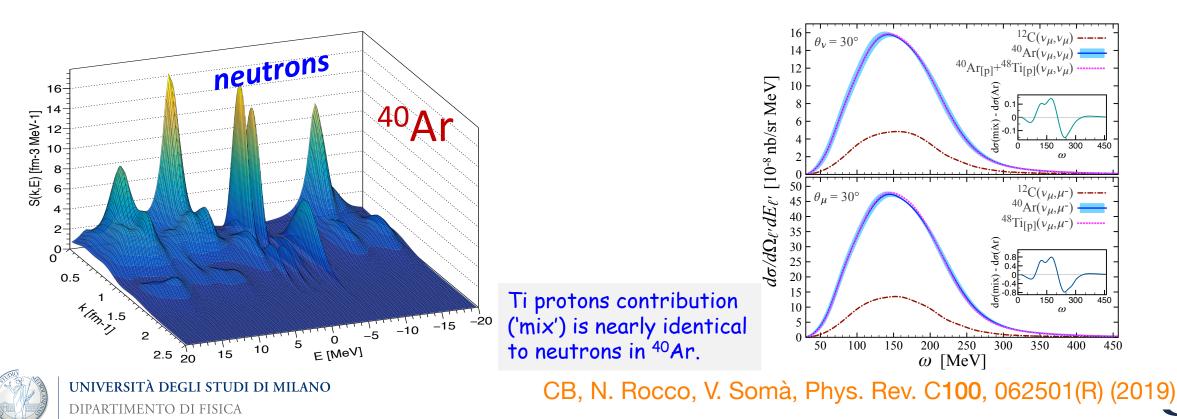


Electron and v scattering on ⁴⁰Ar and Ti



Liquid Argon projection chamber is being used. It will require one order of magnitude ($20\% \rightarrow 2\%$) improvement in theoretical prediction for v-⁴⁰Ar cross sections to achieve proper event reconstruction.

➔ Need good knowledge of ⁴⁰Ar spectral functions and consistent structurescattering theories.

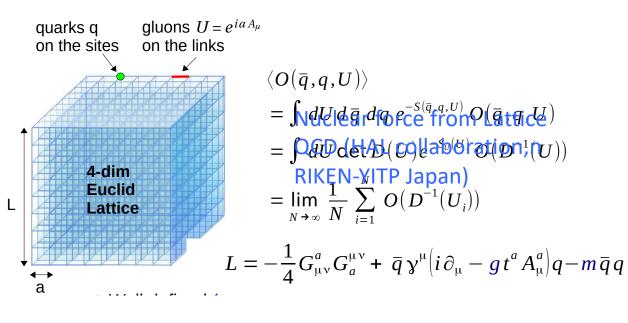


NFŇ

HAL-QCD and application for Ys in nuclei now possible

- AV4' + UIX requires very large with phenomenological hypernuclear forces requires large ANN 3-baryon force
- Physical mass now under reach ($m_{\pi} \approx 145 \text{ MeV}$) for hyperons
- HALQCD AN 3-baryon force is already very close to experiment

$$L = -\frac{1}{4}G^a_{\mu\nu}G^{\mu\nu}_a + \bar{q}\gamma^{\mu}(i\partial_{\mu} - gt^aA^a_{\mu})q - m\bar{q}q$$





UNIVERSITÀ DEGLI STUDI DI MILANO DIPARTIMENTO DI FISICA $\langle O(\bar{q}, q, U) \rangle$ = $\int dU d\bar{a} da e^{-S(\bar{q}, q, U)} O(\bar{a} a U)$

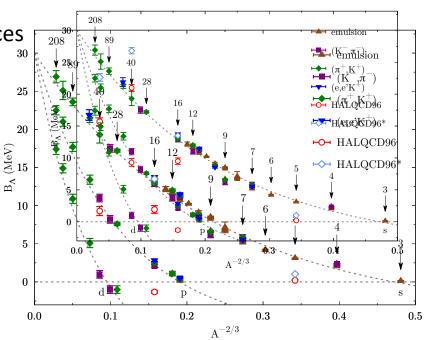


Table 1: Λ separation energies (in MeV) for different hypernuclei with the hyperon in different single-particle states. Second column reports the AFDMC results using the original HALQCD96 ΛN potential. Third column shows the results for the modified HALQCD96 ΛN potential (see text for details). In the last column, the available experimental data [] are reported.

$^{A}_{\Lambda}$ Z	J^{π} (state)	HALQCD96	HALQCD96*	Exp
$^{5}_{\Lambda}$ He	$1/2^{+}(s)$	0.21(5)	1.02(3)	3.12(2)
$^{16}_{\Lambda}\text{O}$	$1^{-}(s)$	9.5(5)	13.5(2)	13.4(4)
	$2^+(p)$	-1.3(2)	0.5(1)	2.5(2)
$^{40}_{\Lambda}$ Ca	$2^{+}(s)$	21.0(5)	26.8(5)	19.3(1.1)
	$3^{-}(p)$	9.3(6)	13.7(6)	11.0(5)

D. Lonardoni, A. Lovato, CB, T. Inoue, HALQCD coll

Summary and outlook

Ab initio applications to structure and reactions are becoming increasingly powerful:

- → Nuclear forces being advanced (through EFT) and challenges on many-body theory
- → Systematic applications beyond testing forces and structure becoming available

ne Self-Consistent Green's Function method SCGF):

ADC(n) and FRPA diagrammatic expansions (particle-vibration coupling)

Automatization of diagram generation and sampling

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Applications:

- Mixed Local-Nonlocal cutoffs in chiral interactions (standard WPC) [Somà, Navratil, Raimondi, CB, Duguet, Phys Rev C101, 014318 (2020); EPJA in press (arXiv:2009.01829)]
- Optical potentials from ab initio [A. Idini, CB, P. Navratil, Phys. Rev. Lett. 123, 092501 (2019); Vorabbi et al. in prep]
 - Reaching A≈132 mass [P. Arthuis, CB, M. Vorabbi, P. Finelli – Phys, Rev, Lett. 125, 182501 (2020)]
- (Hyper)nuclear forces from LQCD [Lonardoni et al. in prep]
- Neutrino Nucleus scattering (@ GeV energies)

[CB, N. Rocco, V. Somà, Phys. Rev. C100, 062501(R) (2019)]



And thanks to my collaborators (over the years...)





A. Cipollone, A. Rios, A. Idini, **P. Arthuis, M. Drissi**

E. Vigezzi, G. Colò, X. Roca-Maza,



V. Somà, T. Duguet, A. Scalesi

Argonne













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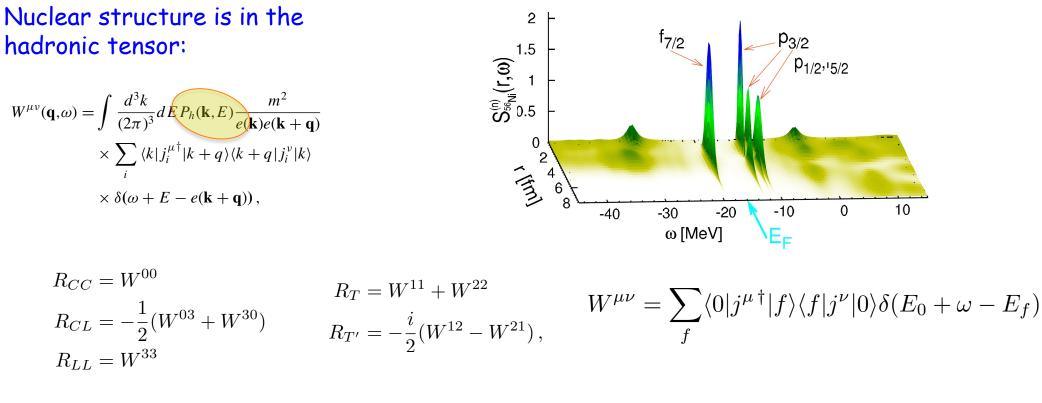
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Lepton-nucleon cross section

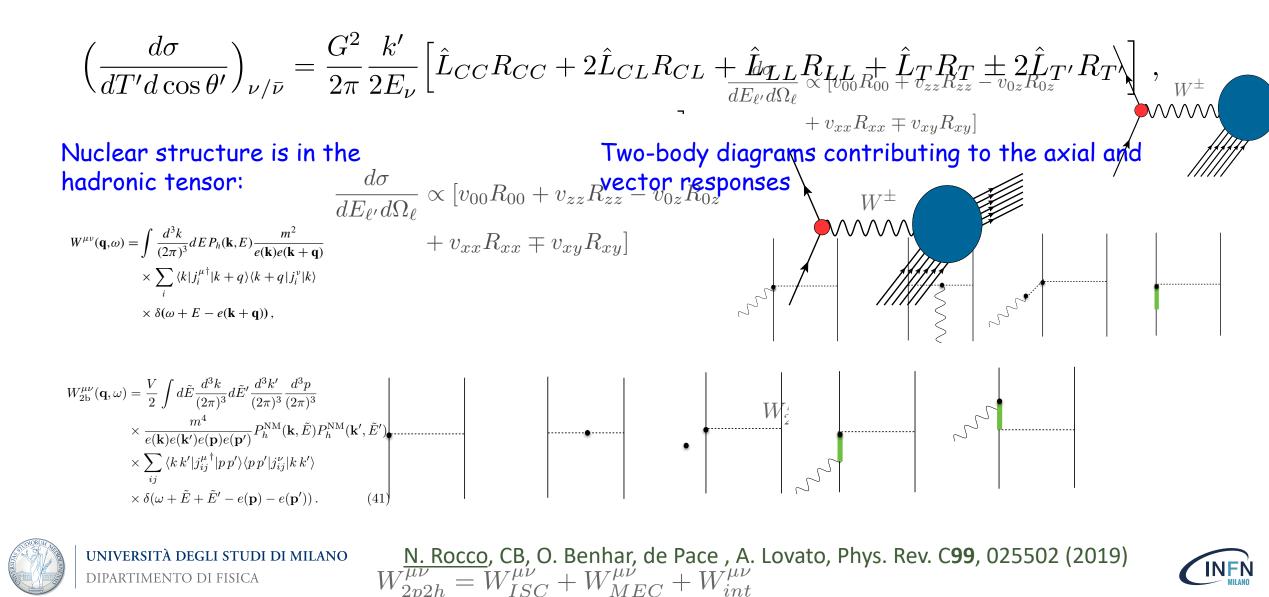
$$\left(\frac{d\sigma}{dT'd\cos\theta'}\right)_{\nu/\bar{\nu}} = \frac{G^2}{2\pi} \frac{k'}{2E_{\nu}} \left[\hat{L}_{CC}R_{CC} + 2\hat{L}_{CL}R_{CL} + \hat{L}_{LL}R_{LL} + \hat{L}_TR_T \pm 2\hat{L}_{T'}R_{T'} \right] \,,$$



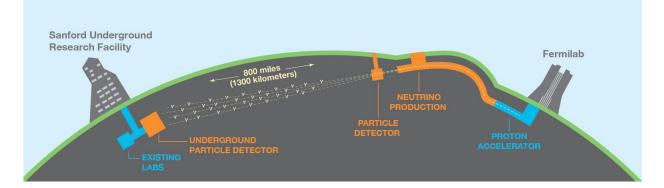
UNIVERSITÀ DEGLI STUDI DI MILANO DIPARTIMENTO DI FISICA N. Rocco, CB, O. Benhar, de Pace , A. Lovato, Phys. Rev. C99, 025502 (2019)



Lepton-nucleon cross section



Neutrino Oscillations - next generation experiments



DUNE experiment will measure long base line neutrino oscillations to:

- Resolve neutrino mass hierarchy
- Search for CP violation in weak interaction
- Search for other physics beyond SM



Liquid Argon projection chamber is being used. It will require one order of magnitude ($20\% \rightarrow 2\%$) improvement in theoretical prediction for v-⁴⁰Ar cross sections to achieve proper event reconstruction.

➔ Need good knowledge of ⁴⁰Ar spectral functions and consistent structure-scattering theories.

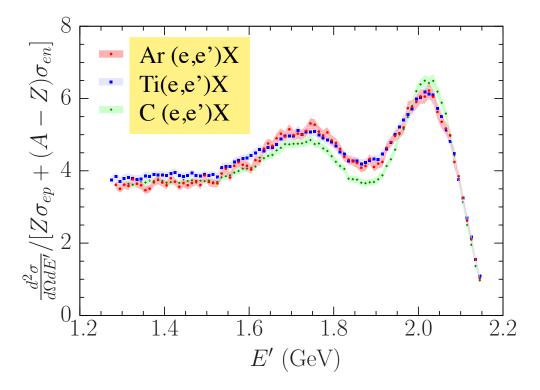


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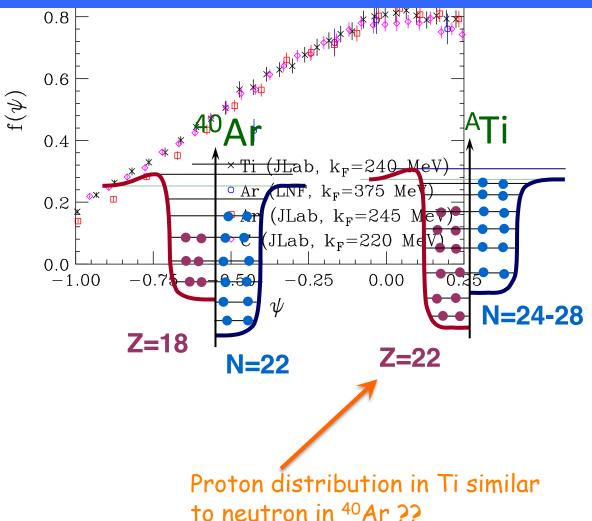
Spectral function for ⁴⁰Ar and Ti

Jlab experiment E12-14-012 (Hall A) Phys. Rev. C 98, 014617 (2018); arXiv:1810.10575



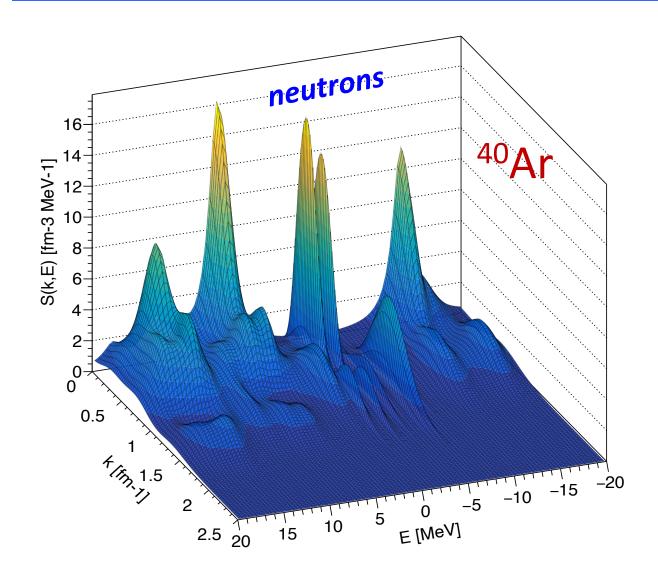
⁴⁰Ar(e,e'p) and Ti(e,e'p) data being analyzed







Spectral function for ⁴⁰Ar



- Experimental datat now available from Jlab: H. Dai et al., arXiv:1803.01910/ 1810.10575
- Ab initio simulations based on the ADC(2) truncation of the N2LO-sat Hamiltoninan

→ Want validation of initial state correlation <u>before</u> they are implementer in neutrino-⁴⁰Ar simulations

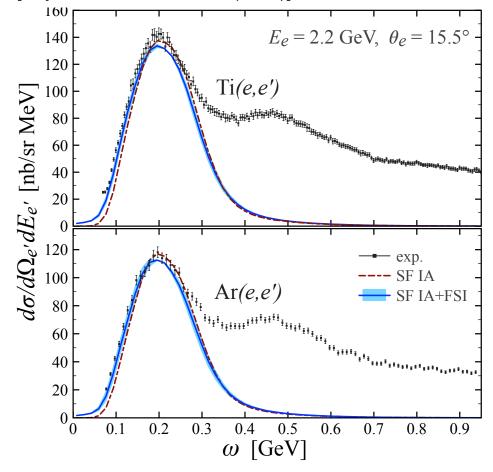


CB, N. Rocco, V. Somà, arXiv:1907.01122

Electron and v scattering on ⁴⁰Ar and Ti

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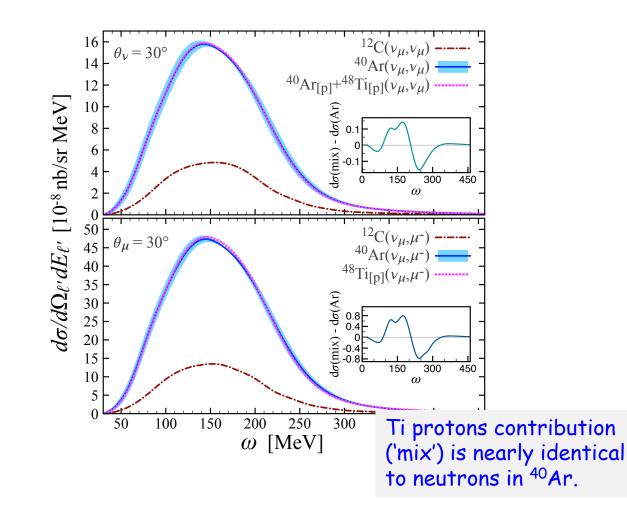
[Phys. Rev. C 98, 014617 (2018)]



 40 Ar(e,e'p) and Ti(e,e'p) data being analyzed

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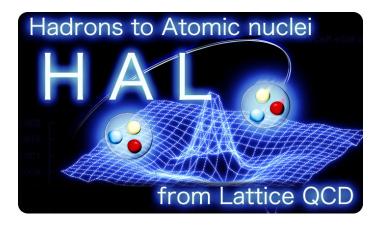


CB, N. Rocco, V. Somà, Phys. Rev. C100, 062501(R) (2019)

Study of nuclear interactions from Lattice QCD

C. McIlroy, CB et al. Phys. Rev. C**97**, 021303(R) (2018) D. Lonardoni et al. - in preparation

In collaboration with:

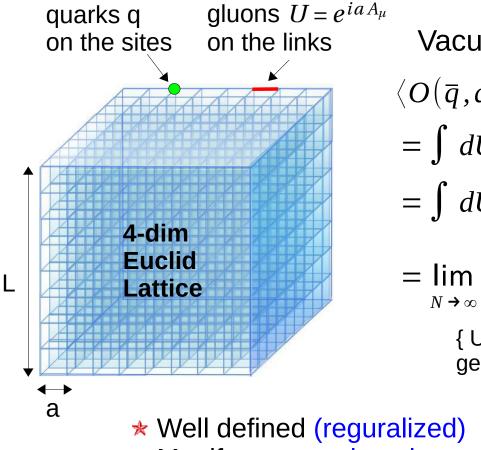








$$L = -\frac{1}{4} G^a_{\mu\nu} G^{\mu\nu}_a + \bar{q} \gamma^{\mu} (i \partial_{\mu} - g t^a A^a_{\mu}) q - m \bar{q} q$$



* Manifest gauge invariance



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Vacuum expectation value $\langle O(\bar{q}, q, U) \rangle \qquad \text{path integral} \\
= \int dU d\bar{q} dq e^{-S(\bar{q}, q, U)} O(\bar{q}, q, U) \\
= \int dU \det D(U) e^{-S_{U}(U)} O(D^{-1}(U)) \\
= \lim_{N \to \infty} \frac{1}{N} \sum_{i=1}^{N} O(D^{-1}(U_{i})) \qquad \text{quark propagator}$

{ U_i } : ensemble of gauge conf. U generated w/ probability det $D(U) e^{-S_U(U)}$

Fully non-perturvativeHighly predictive



Slide, courtesy of T. Inoue (YITP talk, Oct. 8th 2015)



Two-Nucleon HAL potentials in flavour SU(3) symm.

Quark mass dependence of V(r) for NN partial wave $({}^{1}S_{0}, {}^{3}S_{1}, {}^{3}S_{1} - {}^{3}D_{1})$

> \rightarrow Potentials become stronger m_{π} as decreases.

160

120

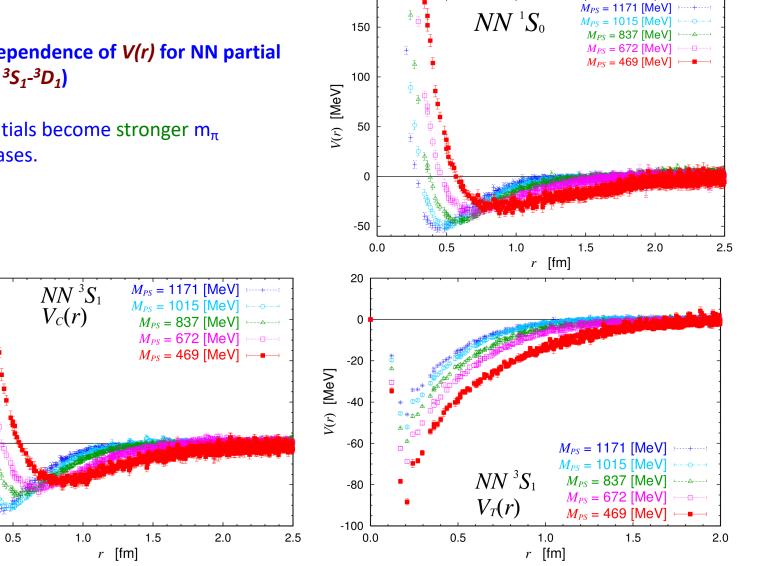
80

40

-40

-80 0.0

V(r) [MeV]

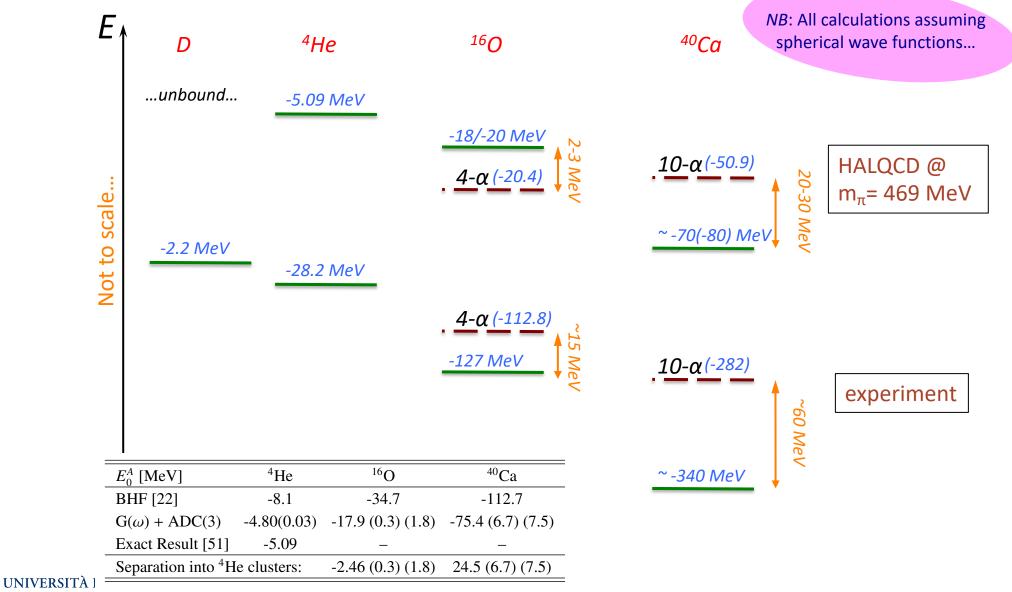




Prog. Theor. Exp. Phys. 01A105 (2012)



Results for binding





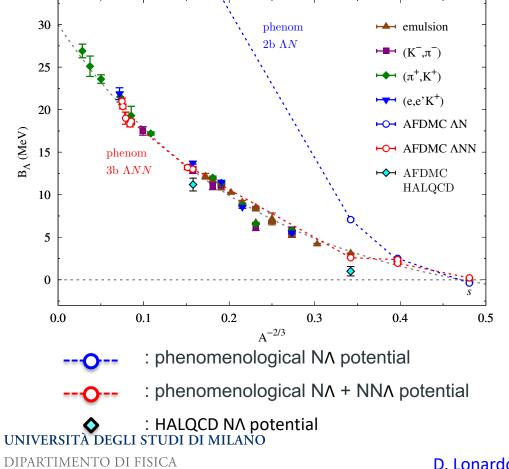
DIPARTIMENTO DI FISICA

C. McIlroy, CB, et al., Phys. Rev. C97, 021303(R) (2018)



Quantum MC calculations for Ys

- AV4' + UIX with phenomenological hypernuclear forces requires large ANN 3-baryon force
- Physical mass now under reach ($m_{\pi} \approx 145$ MeV) for hyperons
- HALQCD AN 3-baryon force is already very close to experiment



$$H = -\frac{\hbar^2}{2m_N}\sum_i \nabla_i^2 + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk} - \frac{\hbar^2}{2m_\Lambda}\nabla_\Lambda^2 + \sum_i v_{i\Lambda}$$

Argonne v'_4 (AV4') nucleon-nucleon (*NN*) interaction $v_{ij} = v_{ij}$

 $v_{ij} = \sum_{p=1,4} v^p(r_{ij}) O_{ij}^p$

central component of the Urbana IX (UIX_c) $V_{ijk} = A_R \sum_{cyc} T^2(m_{\pi}r_{ij}) T^2(m_{\pi}r_{ik})$

The hyperon-nucleon (YN) potential

$$v_{i\Lambda} = \sum_{p=c,\sigma,t} v^p(r_{i\Lambda}) O^p_{i\Lambda}$$

Diffusion Monte Carlo:

$$\langle X|\Psi_T\rangle = \langle X| \left(\prod_{i < j < k} U_{ijk}\right) \left(\prod_{i < j} F_{ij}\right) \left(\prod_i F_{i\Lambda}\right) |\Phi_{J^{\pi}, J_z, T_z}\rangle, \qquad |\Psi_0\rangle = e^{-(H - E_0)\tau} |\Psi_T\rangle$$

AFDMC: $e^{-\lambda O^2 \delta \tau/2} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dx \, e^{-x^2/2} \, e^{x \sqrt{-\lambda \delta \tau} O}$

D. Lonardoni, A. Lovato, et al, Phys. Rev. Lett. 114, 092301 (2015) & arXiv:1506.04042

Future application for Ys in nuclei now possible

- AV4' + UIX requires very large with phenomenological hypernuclear forces requires large ANN 3-baryon forde
- Physical mass now under reach ($m_{\pi} \approx 145 \text{ MeV}$) for hyperons
- HALQCD AN 3-baryon force is already very close to experiment

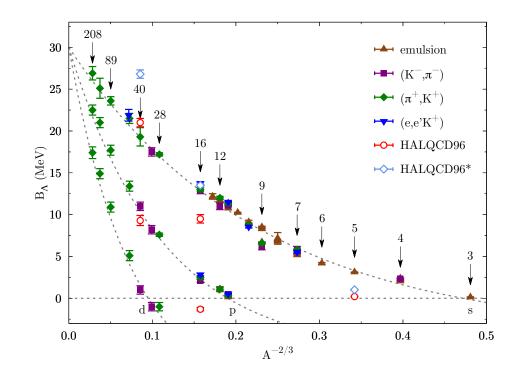


Table 1: Λ separation energies (in MeV) for different hypernuclei with the hyperon in different single-particle states. Second column reports the AFDMC results using the original HALQCD96 ΛN potential. Third column shows the results for the modified HALQCD96 ΛN potential (see text for details). In the last column, the available experimental data [] are reported.

 $\mathrm{A}^{-2/3}$

0.2

0.3

0.4

0.5

0.1

$^{A}_{\Lambda}$ Z	J^{π} (state)	HALQCD96	HALQCD96*	Exp
$^{5}_{\Lambda}$ He	$1/2^{+}(s)$	0.21(5)	1.02(3)	3.12(2)
$^{16}_{\Lambda}\mathrm{O}$	$1^{-}(s)$	9.5(5)	13.5(2)	13.4(4)
	$2^{+}(p)$	-1.3(2)	0.5(1)	2.5(2)
$^{40}_{\Lambda}$ Ca	$2^{+}(s)$	21.0(5)	26.8(5)	19.3(1.1)
	3 ⁻ (<i>p</i>)	9.3(6)	13.7(6)	11.0(5)







