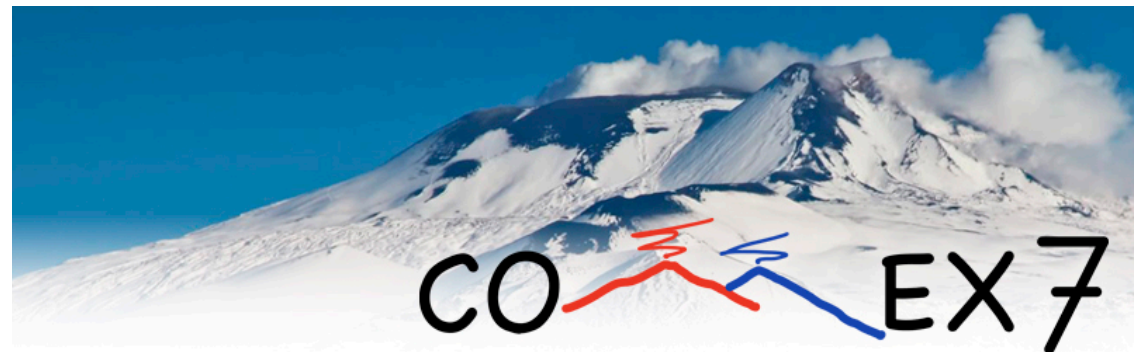
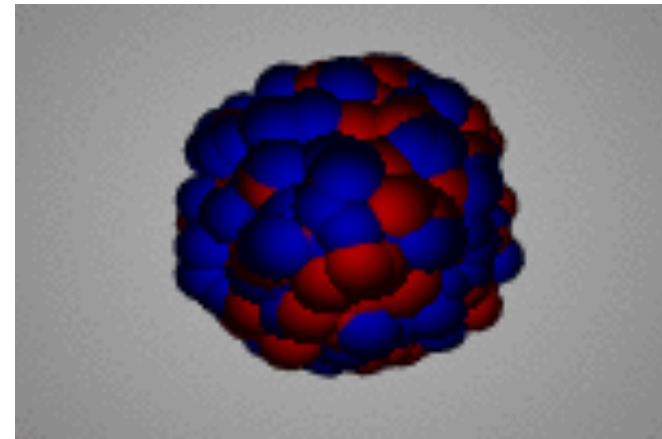


DFT calculations for collective states and application to the monopole resonances

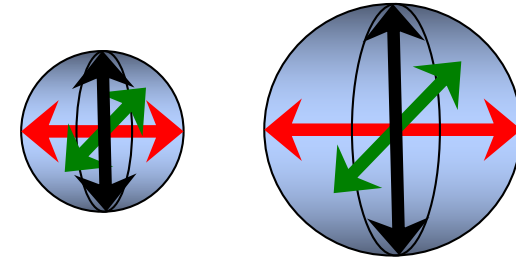
Gianluca Colò
U. Milano and INFN



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Nuclear incompressibility and the ISGMR

Isoscalar Giant Monopole Resonance or “breathing mode”: its energy should be correlated with the incompressibility of nuclear matter.



$$K_{\infty} = 9\rho_0^2 \frac{d^2}{d\rho^2} \left(\frac{E}{A} \right)_{\rho=\rho_0}$$

$$\chi \equiv -\frac{1}{\Omega} \left(\frac{\partial P}{\partial \Omega} \right)^{-1}$$

$$\chi^{-1} = \rho^3 \frac{d^2}{d\rho^2} \left(\frac{E}{A} \right)$$

Impact on astrophysics: supernova explosion, neutron star merging



SN1987a

PHYSICAL REVIEW LETTERS **129**, 032701 (2022)

Probing the Incompressibility of Nuclear Matter at Ultrahigh Density through the Prompt Collapse of Asymmetric Neutron Star Binaries

Albino Perego^{1,2,*} Domenico Logoteta^{3,4} David Radice^{5,6,7} Sebastiano Bernuzzi⁸ Rahul Kashyap^{5,6}
Abhishek Das^{5,6} Surendra Padamata^{5,6} and Aviral Prakash^{5,6}



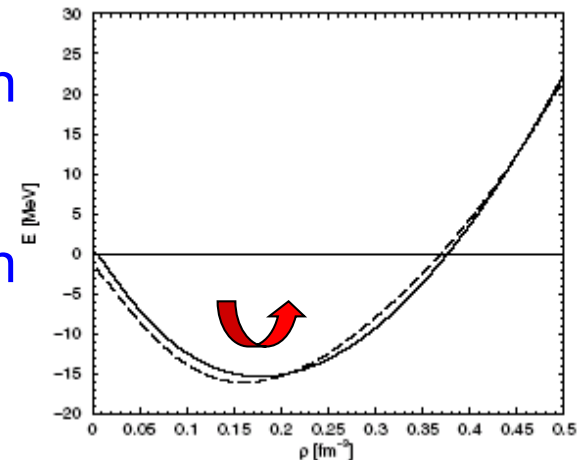
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(Q)RPA using EDFs in a nutshell

$$E = \langle \Psi | \hat{H} | \Psi \rangle = \langle \Phi | \hat{H}_{eff} | \Phi \rangle = E[\hat{\rho}]$$

$|\Phi\rangle$ Slater determinant $\Leftrightarrow \hat{\rho}$ 1-body density matrix

If V_{eff} is well designed, the g.s. (minimum) energy can match experiment at best. Hartree-Fock or Kohn-Sham.



- Within a time-dependent theory (TDHF), one can describe oscillations around the minimum.

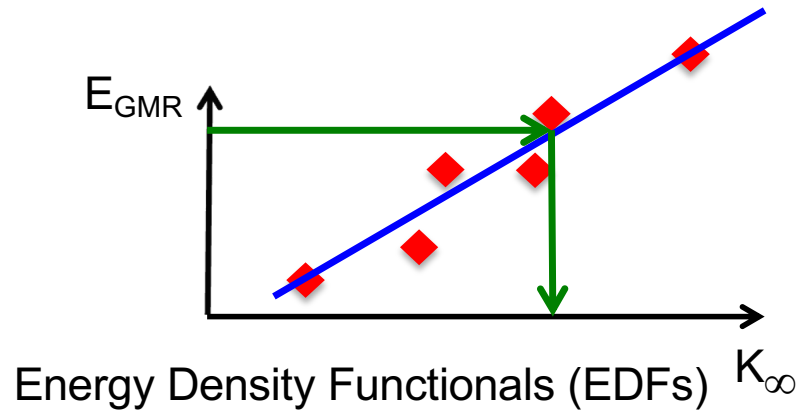
- The restoring force is:
$$v \equiv \frac{\delta^2 E}{\delta \rho^2} \quad X_{ph} |ph^{-1}\rangle - Y_{ph} |hp^{-1}\rangle$$

- The linearization of the equation of the motion leads to RPA¹. ¹Random Phase Approximation.



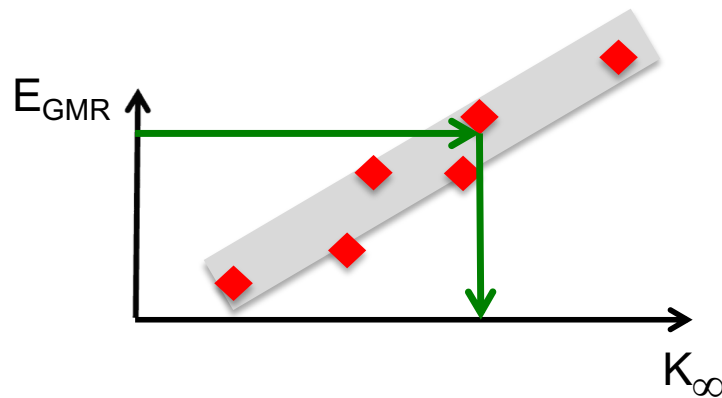
$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = \hbar\omega \begin{pmatrix} X \\ Y \end{pmatrix}$$

How much correlated are E_{GMR} and K_{∞} ?



Only **self-consistent DFT calculations** that treat **uniform matter** and the **response of finite nuclei** on equal footing allow extracting K_{∞}

J.P. Blaizot, *Phys. Rep.* 64, 171 (1980)



There are different sources of model dependence in this procedure.

One **key point** is that different EDFs have different assumptions for the density dependence.

GC *et al.*, *Phys. Rev.* C70 (2004) 024307.



- **Sensitivity to the choice of the nucleus?**

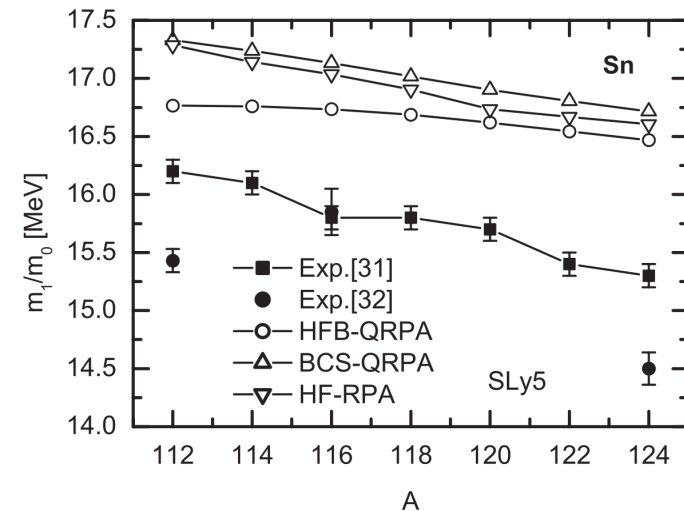
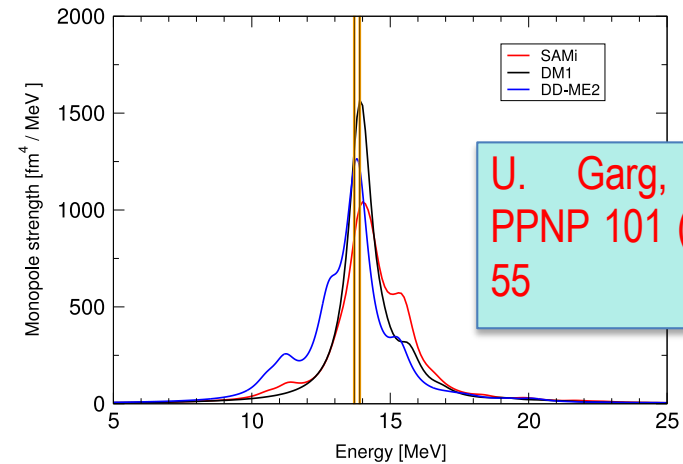
From the ISGMR measured in ^{208}Pb one extracts:

$$K_\infty = 240 \pm 20 \text{ MeV}$$

However, in even-even $^{112-124}\text{Sn}$, the ISGMR centroid energy is overestimated by about 1 MeV by the same models, which reproduce the ISGMR energy well in ^{208}Pb .

Why is Tin so soft?

Pairing can partly explain the problem but with some remaining ambiguity.



J. Li et al., PRC 78, 064304 (2008)



- Our solution to the “softness” puzzle



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(Q)RPA + (Q)PVC

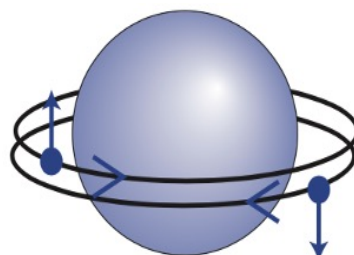
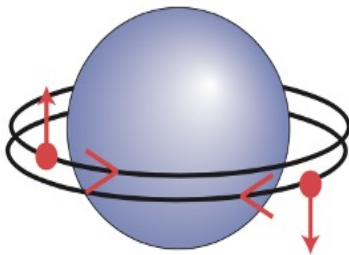
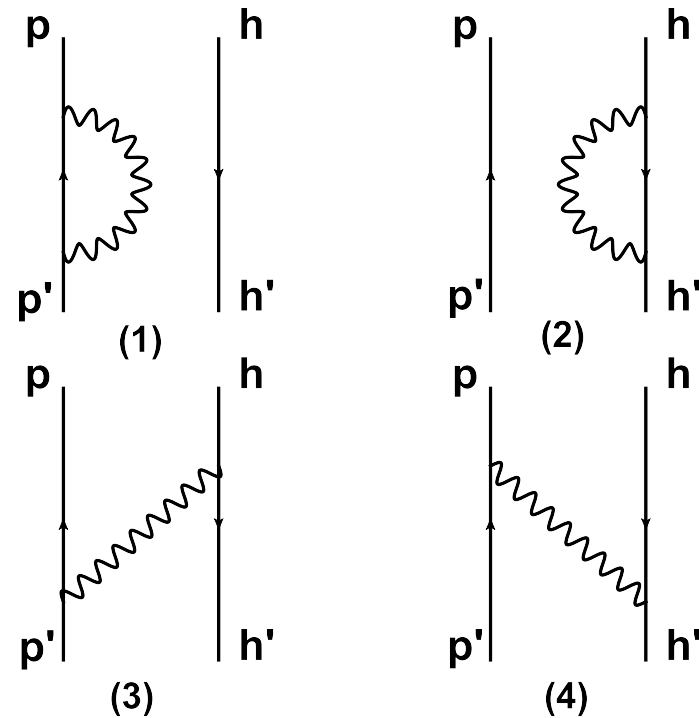
$$\begin{pmatrix} A + \Sigma(E) & B \\ -B & -A - \Sigma^*(-E) \end{pmatrix} \Sigma_{php'h'}(E) = \sum_{\alpha} \frac{\langle ph|V|\alpha\rangle \langle \alpha|V|p'h'\rangle}{E - E_{\alpha} + i\eta}$$

The state α is 1p-1h plus one phonon.

The scheme is very effective to produce GR widths. It also produces a downward shift of the GRs.

$$\Sigma(E) \approx \int dE' \frac{V^2}{E - E' + i\epsilon}$$

$$\frac{1}{E - E' + i\epsilon} \rightarrow \frac{1}{E - E'} - i\pi\delta(E - E')$$



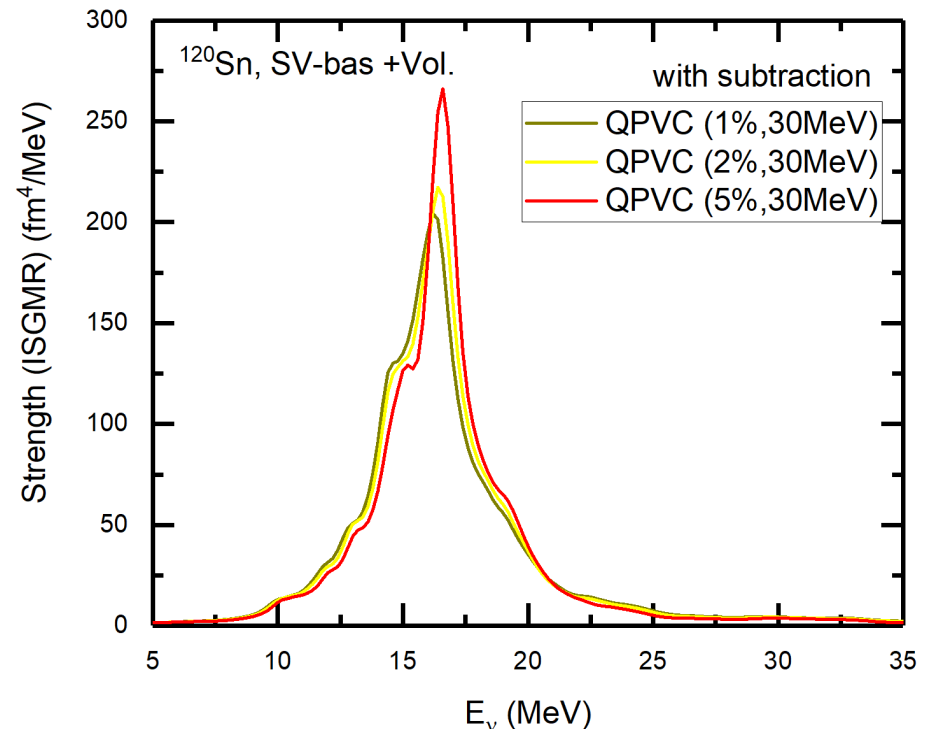
WE HAVE BUILT A NEW SCHEME INCLUDING PAIRING

Some detail + the subtraction scheme

All QRPA calculations are performed in a model space which is large enough so that the EWSR is satisfied.

We calculate natural-parity phonons with 0^+ , 1^- , 2^+ ... 5^- and select those having energy less than 30 MeV and strength larger than 2% of the total strength.

The convergence of the results with respect to the choice of the model space has been carefully assessed.

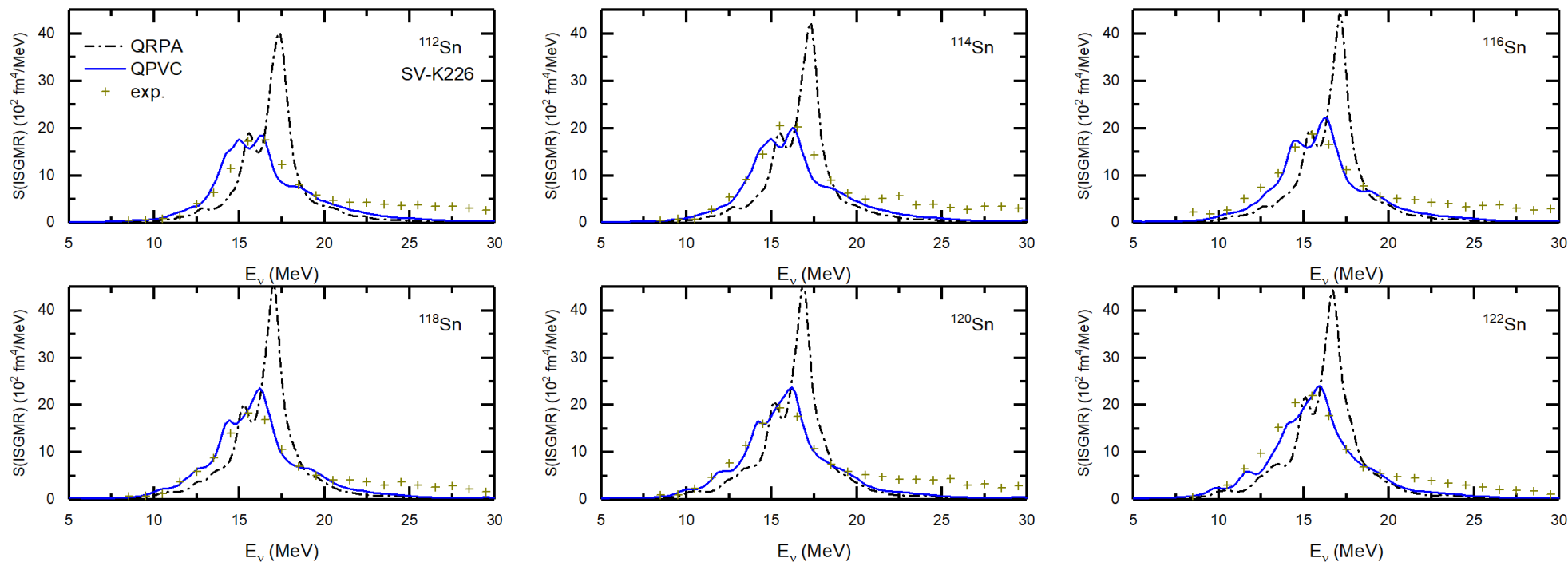


Subtraction: $\Sigma(E) \rightarrow \Sigma(E) - \Sigma(E = 0)$



THIS PRESCRIPTION KEEPS THE VALUE OF THE m_1 SUM RULE AS IN QRPA

ISGMR in Sn isotopes



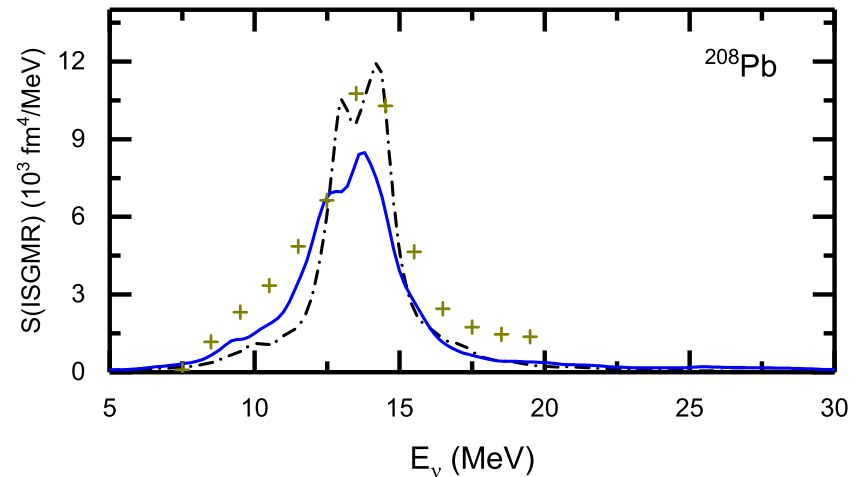
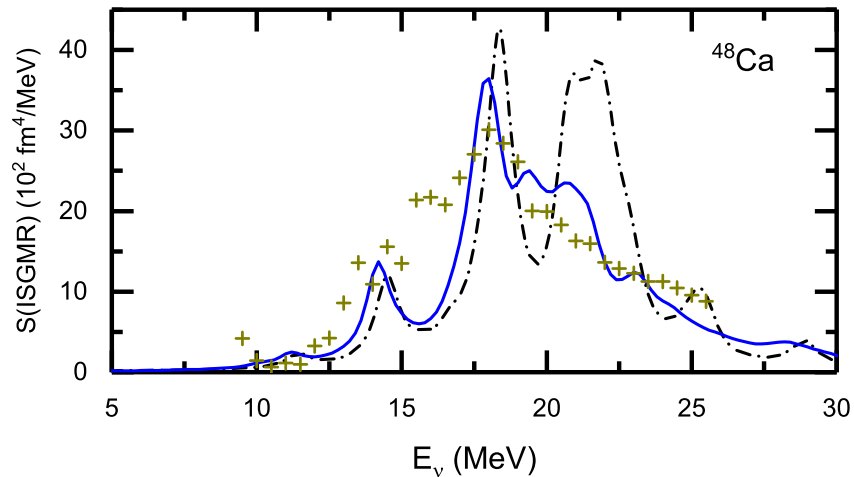
- Exp. data from D. Patel *et al.*, Phys. Lett. B726, 178 (2013)
- QPVC reproduces the experimental data quite well.
- The best description is obtained with the Skyrme EDF SV-K226.

Klüpfel, Reinhard, *et al.*, PRC 79, 034310 (2009)



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ISGMR in ^{48}Ca and ^{208}Pb

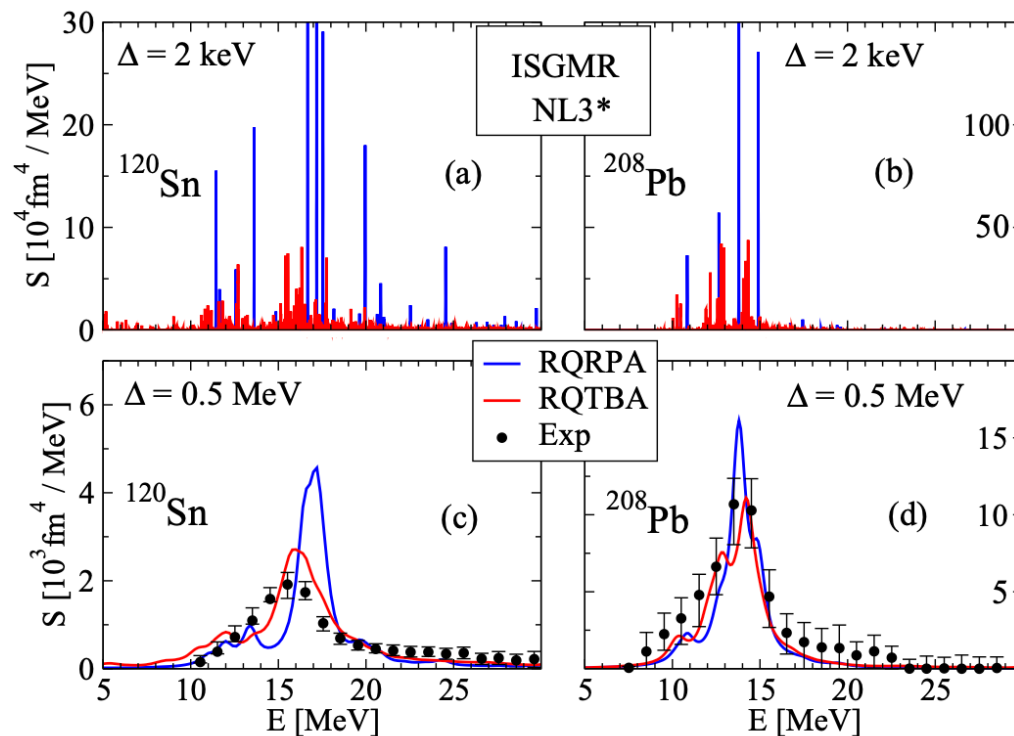


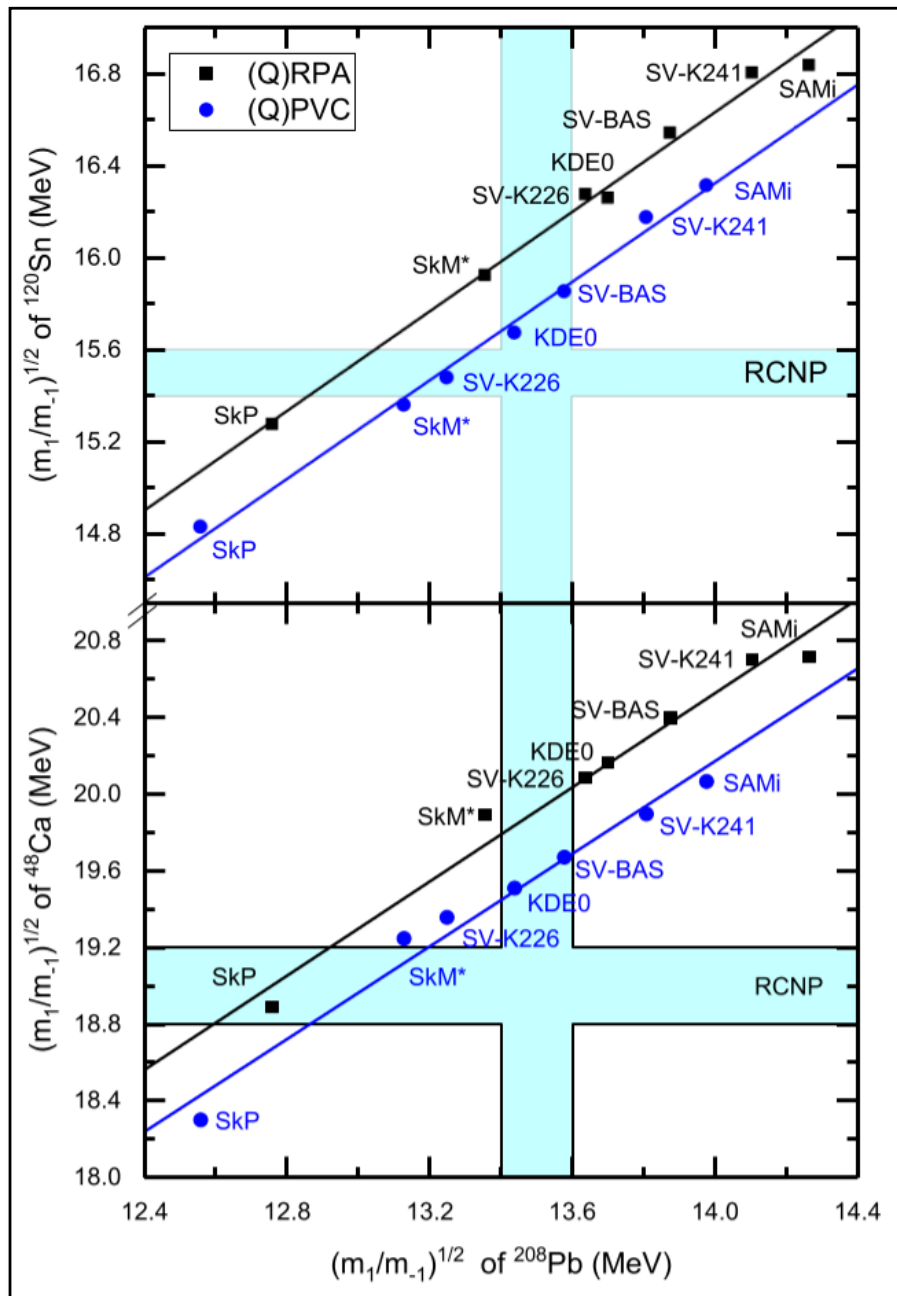
- Exp. data from T. Li *et al.*, Phys. Rev. Lett. 99, 162503 (2007) and S.D. Olorunfunmi, Phys. Rev. C 105, 054319 (2022).
- In these two cases there is no pairing.



- More details can be found in
[Z.Z. Li, Y.F. Niu, GC, arXiv:2211.01264 \[nucl-th\]](#)
 submitted on 2 Nov 2022

- A later work by E. Litvinova confirms the importance of PVC correlations
[arXiv:2212.14766 \[nucl-th\]](#), submitted on 30 Dec 2022





In our work, we have been able, for the first time, to analyse in a **systematic manner** the consistency between ISGMR energies in different nuclei.

We have used many Skyrme EDFs.

With the inclusion of QPVC effects, a big improvement is achieved.

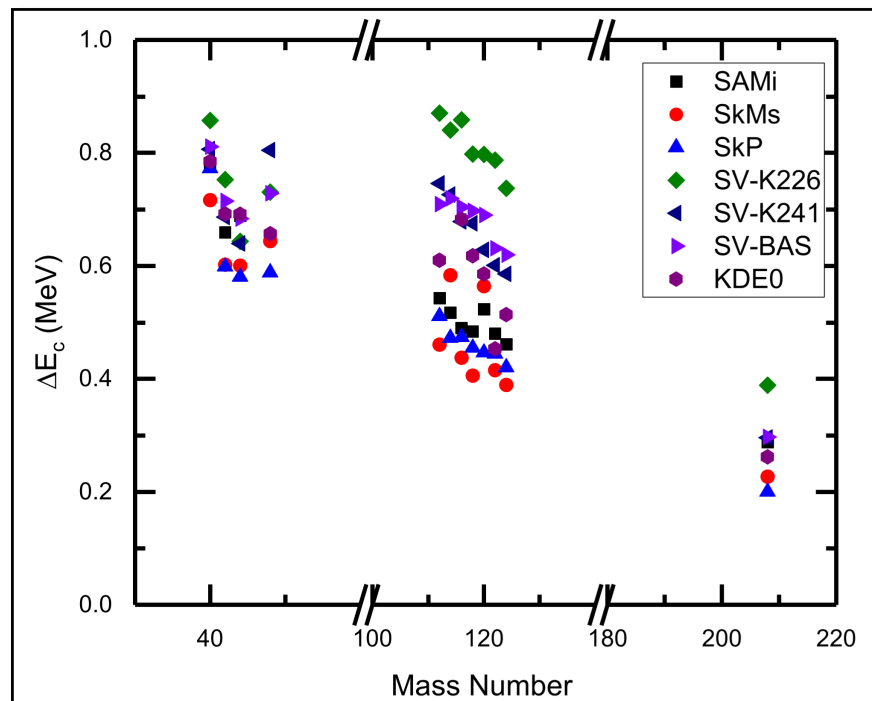
Within QPVC, the ISGMR energy in ^{208}Pb is consistent with ^{120}Sn .



Z.Z. Li, Y.F. Niu, GC, arXiv:2211.01264

The energy shift from QRPA to QPVC

In general, the coupling with the vibrations shifts the mean energies downward.



$$\Delta E_c = E_c(\text{QRPA}) - E_c(\text{QPVC})$$

$$E_c = \sqrt{m_1/m_{-1}}$$

For monopole, the shift is not large (less than 1 MeV).

Still, the shift in ^{208}Pb is smaller than for Sn and Ca isotopes.



The mechanism behind the energy shift

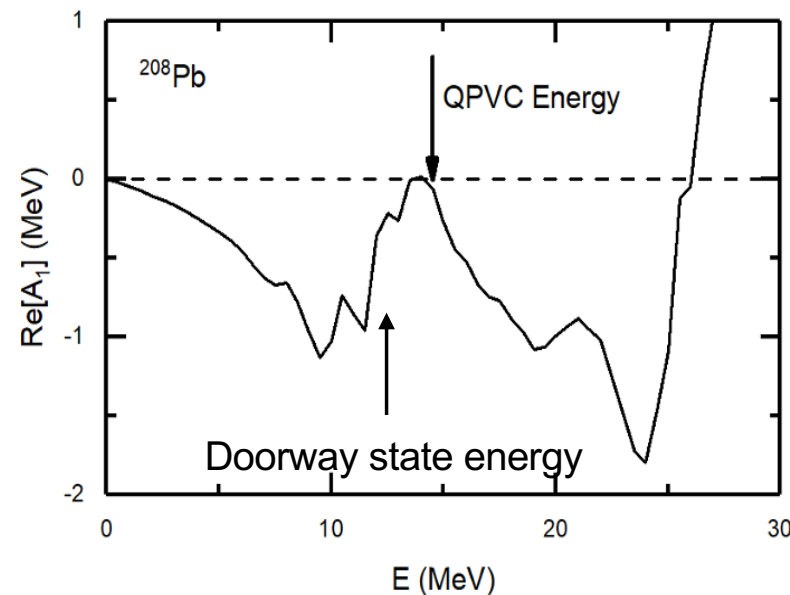
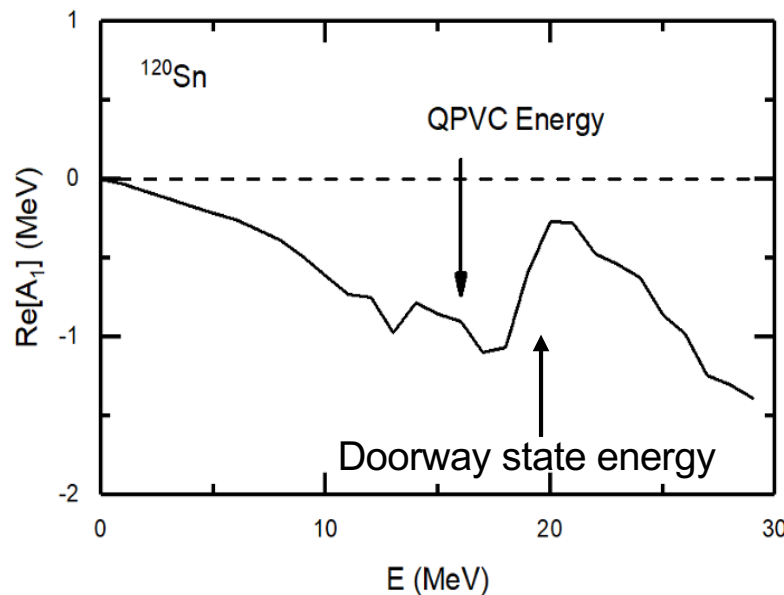
$$\Sigma(E) \approx \int dE' \frac{V^2}{E - E' + i\epsilon}$$

$$\frac{1}{E - E' + i\epsilon} \rightarrow \frac{1}{E - E'} - i\pi\delta(E - E')$$

The **real part of the self-energy** produces the energy shift

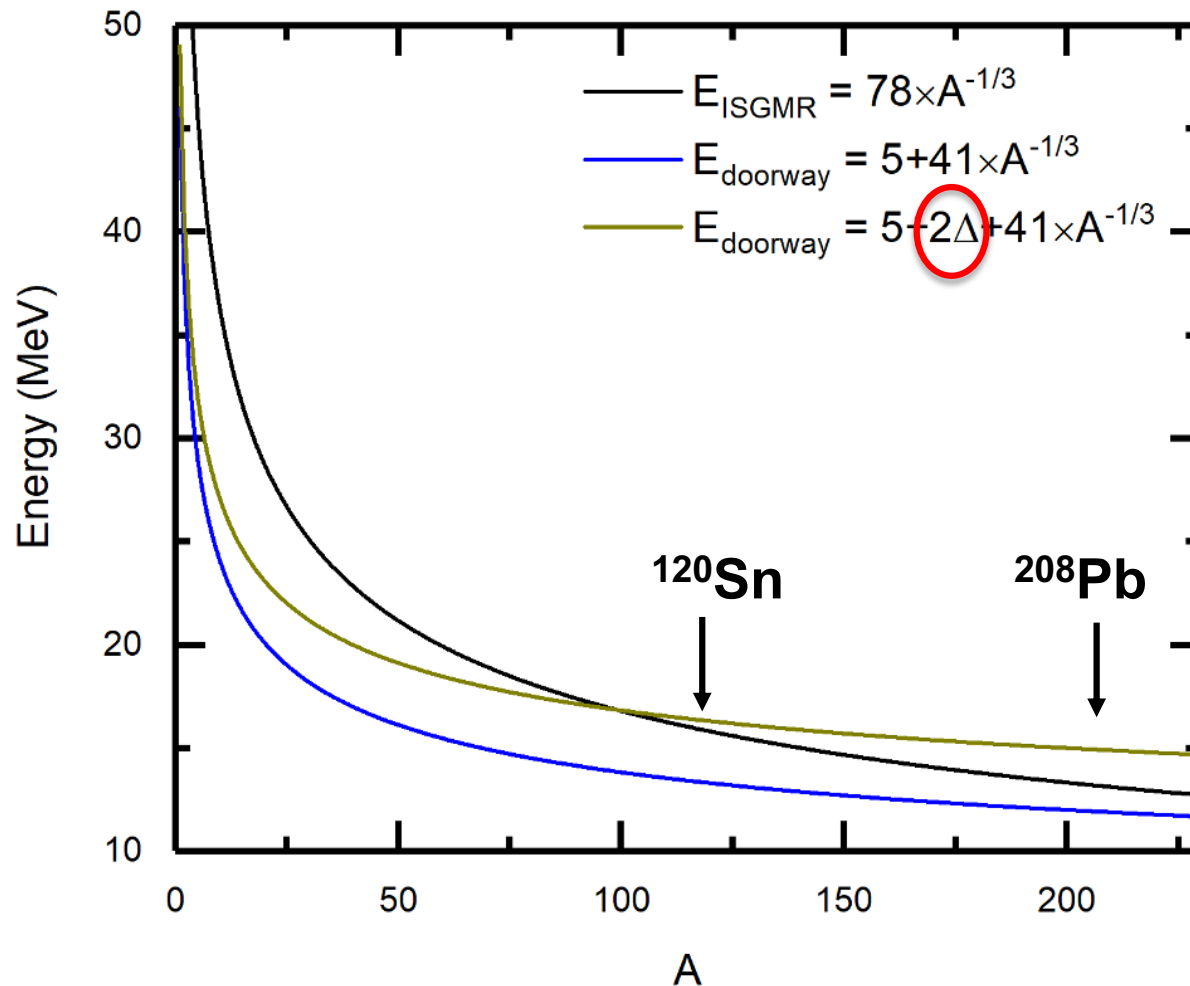
E = **QPVC** energy of the GMR
 E' = energy of the **doorway states**

2 q.p. \otimes 1 phonon



The QPVC energy is not very different in the two nuclei but doorway state energies are higher in Sn than in Pb





The pairing gap Δ makes the relative energy position of the ISGMR and of the doorway states different!



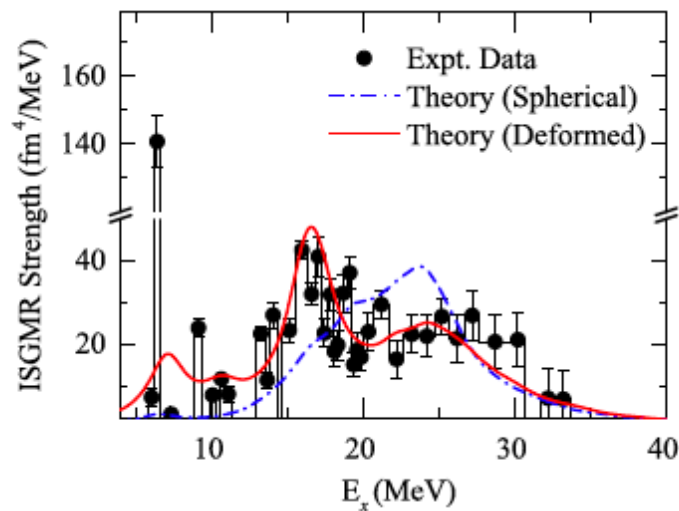
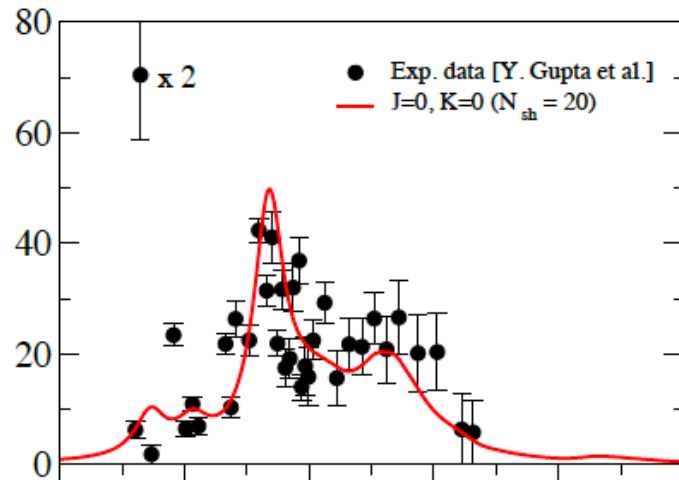
- Deformed nuclei



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Well-deformed nuclei

^{24}Mg , SKM*



We compare with RCNP data from Y. Gupta *et al.*, PRC 93, 044324 (2016).

The two-peak structure is evident.

Thanks to K. Howard.

Calculations by K. Yoshida were used to show that the double peak is related to deformation.



Other deformed QRPA schemes

- Either **HFB** or **HF-BCS** equations with a Skyrme force and a pairing force are solved (HFBTHO / SKYAX).

M. Stoitsov *et al.*, *Comp. Phys. Comm.* 184 (2013) 1592;
P.G. Reinhard *et al.*, *Comp. Phys. Comm.* 258 (2021) 107603

- This allows to study the potential energy surfaces (PESs).

$$E = E(\beta)$$

- The **QRPA** equations are solved at β_{\min} on a basis with **good \mathbf{K}^π** .

Physics Letters B 811 (2020) 135940



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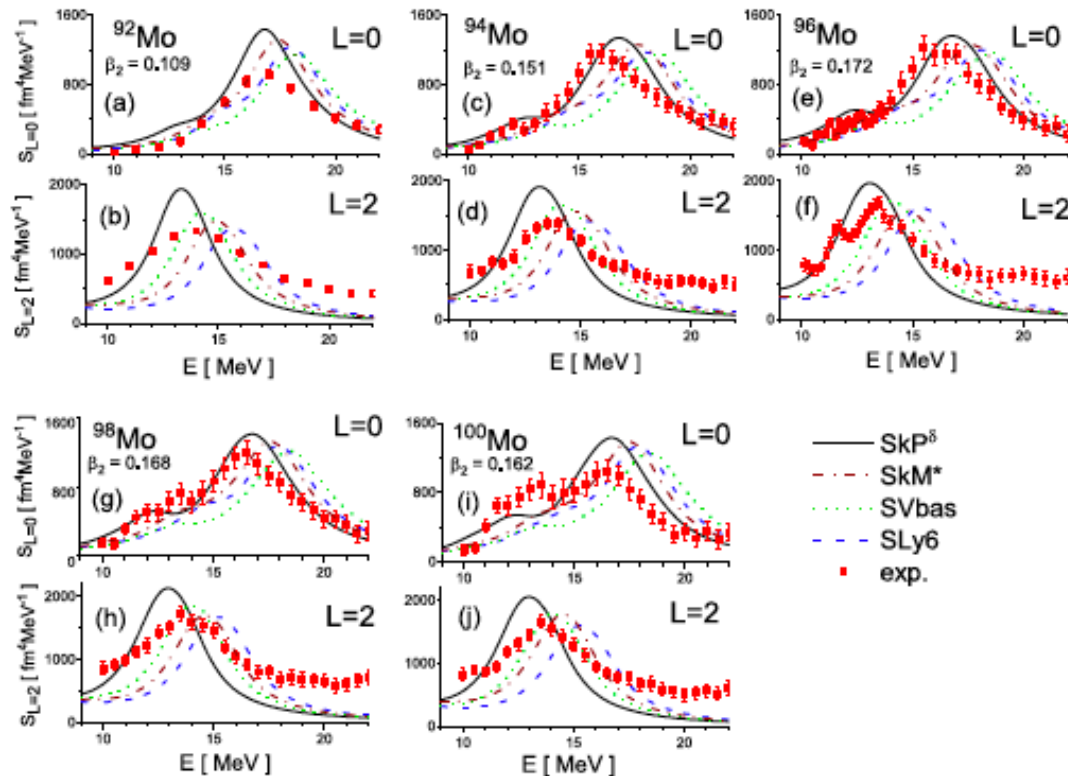


Isoscalar monopole and quadrupole modes in Mo isotopes:
Microscopic analysis

Gianluca Colò^{a,b,*}, Danilo Gambacurta^{c,d}, Wolfgang Kleinig^e, Jan Kvasil^f,
Valentin O. Nesterenko^{e,g,h}, Alessandro Pastoreⁱ



Monopole and quadrupole strength in ^AMo



The “shoulder” is due to the monopole-quadrupole coupling.

The Skyrme EDF that better reproduces the GMR (GQR) results is SkP^δ (SVbas).

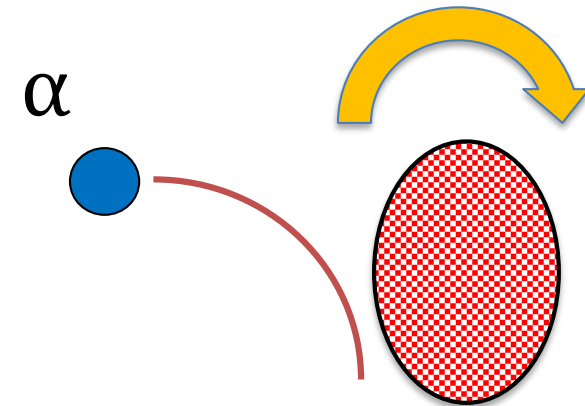
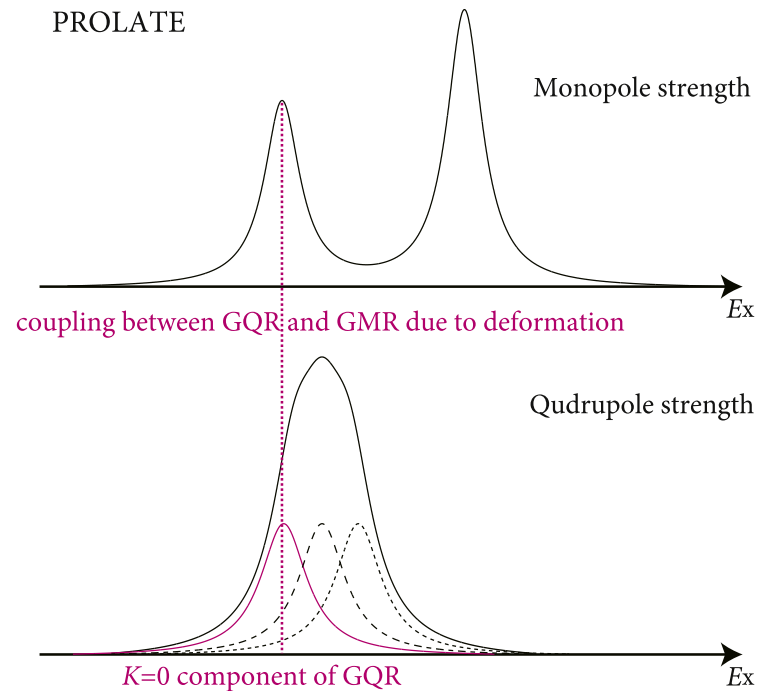
Warning, warning ...

Incompressibility K_∞ and isoscalar effective mass m^*/m for the Skyrme forces SVbas, SLy6, SkM*, and SkP $^\delta$.

	SVbas	SLy6	SkM*	SkP $^\delta$
K_∞ [MeV]	234	230	217	202
m^*/m	0.9	0.69	0.79	1



Need of angular momentum projected QRPA



Nevertheless, the external monopole field in the lab must be transformed into the intrinsic frame.

Or, analogously, we should **project the intrinsic states into states with good J.**

In axially deformed nuclei, K is the good quantum number in the intrinsic frame and there is monopole-quadrupole coupling.



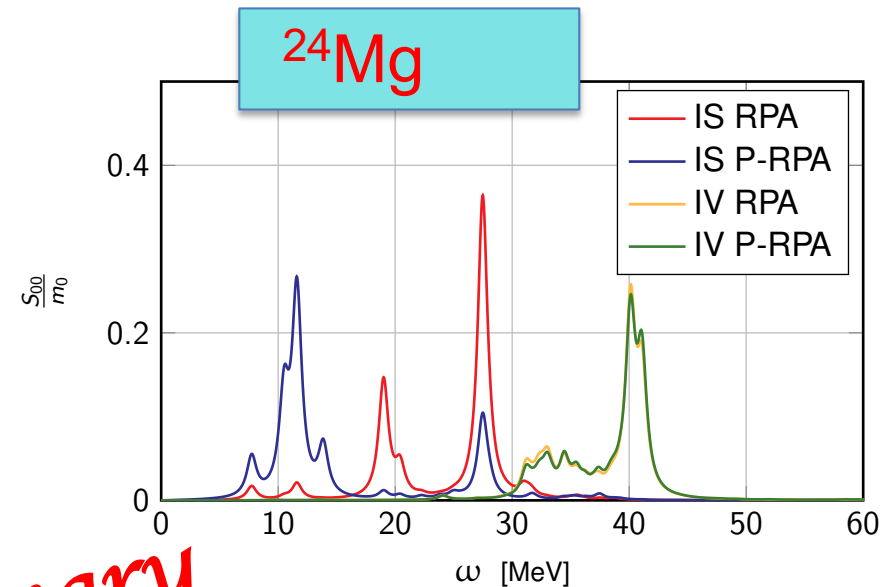
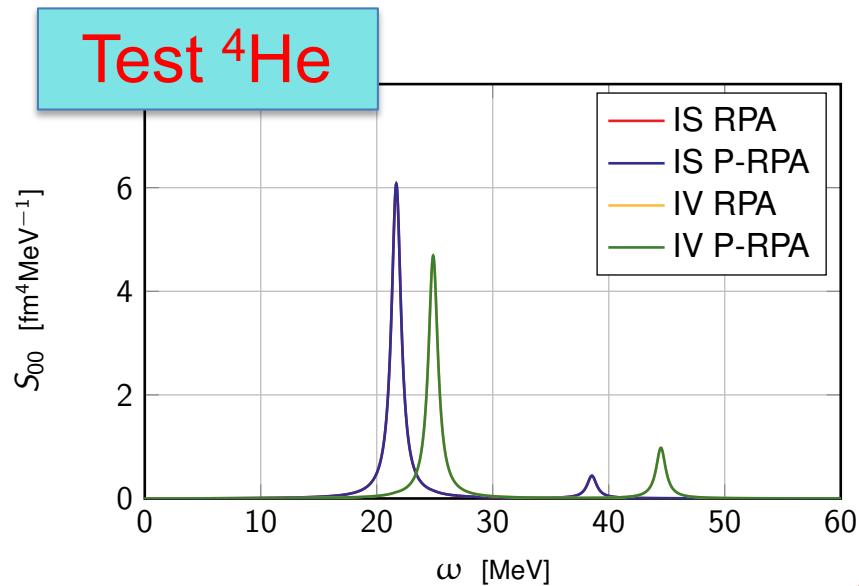
$$|KM\rangle \rightarrow |JKM\rangle = P_{KM}^J |KM\rangle = \int d\Omega \mathcal{D}_{KM}^{\dagger J}(\Omega) R(\Omega) |KM\rangle$$

$$\langle \text{RPA} || T_\lambda || \omega \rangle = N_0 N_\omega (2J_0 + 1) (-1)^{J_0 - K_0} \sum_{ph} \sum_{\mu = -\lambda}^{+\lambda} \left\{ X_{ph}^\omega + (-1)^\mu Y_{ph}^\omega \right\} \begin{pmatrix} J_0 & \lambda & J_\omega \\ -K_0 & \mu & K_0 - \mu \end{pmatrix} \langle \text{HF} | T_{\lambda\mu} P_{K_0 - \mu, K_{ph}}^J c_p^\dagger c_h | \text{HF} \rangle$$

$$N_i^{-1} = \sqrt{\langle \Phi_i | P_{K_i K_i}^{J_i} | \Phi_i \rangle}$$

$$P_{MK}^J = \frac{2J + 1}{2} \int_{-1}^{+1} d(\cos \beta) d_{MK}^J(\beta) e^{-i\beta J_y}$$

In the $J=0$ case $d_{00}^0 = 1$: we are superimposing configurations that are simply rotated.



Preliminary



Conclusions

- Since the 1980s, there has been big progress in our understanding of the ISGMR (e.g., regarding model dependence, relativistic vs. nonrelativistic etc.)
- We have developed a fully self-consistent QRPA+QPVC model in which the “puzzle” of Sn vs. Pb appears to be solved.
- The EDFs that reproduce the ISMGR energies in Ca, Zr, Sn and Pb have K_{∞} equal to 226 MeV and 229 MeV.
- We are dealing with deformed nuclei by implementing projection on top of QRPA.



- Danilo Gambacurta (LNS, Catania, Italy)
- Andrea Porro (CEA, Saclay, France)
- Yifei Niu, **Z.Z. Li** (Lanzhou University, China)

*Thanks to
Collaborators*



Backup slides



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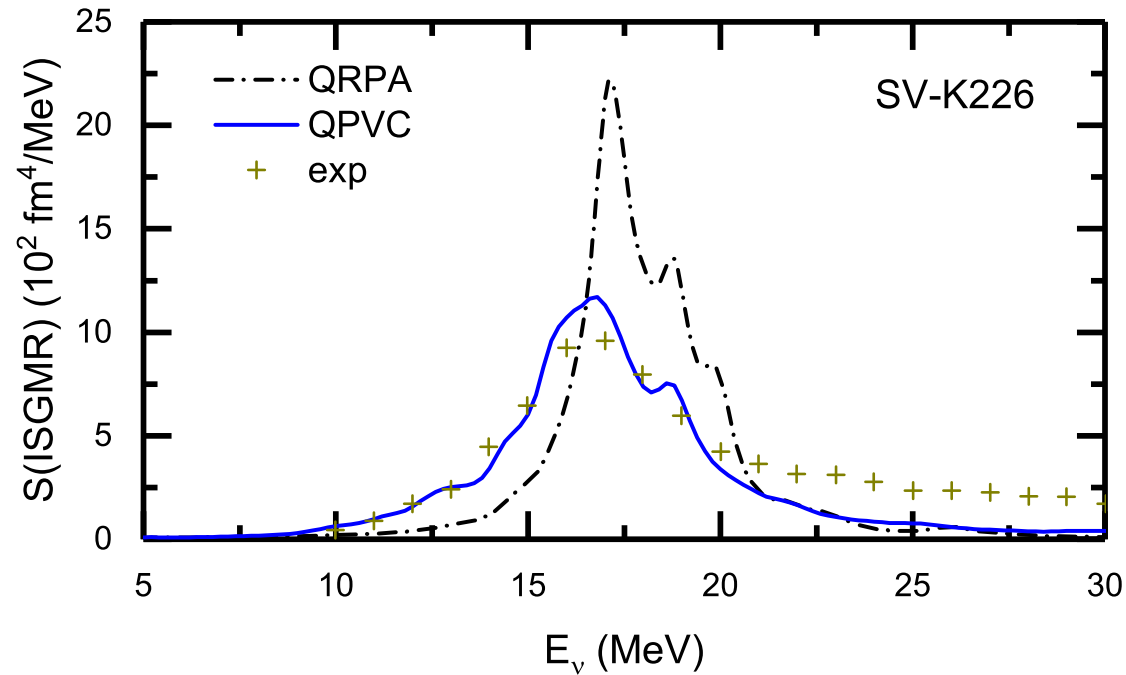


FIG. 5. (Color online) ISGMR strength functions in ^{90}Zr calculated either by (Q)RPA using a smoothing with Lorentzian functions having a width of 1 MeV (dash dot [black] line), or in (Q)RPA+(Q)PVC (solid [blue] line). The SV-K226 Skyrme force is used. The experimental data are given by green crosses [4].

