# Explainable Artificial Intelligence (XAI) in mammography

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**A**rtificial Intelligence in **M**edicine



### Introduction:



1) Get an explanation for a classifier:

"An explanation is a local linear approximation of the model's behaviour around the vicinity of a particular instance."

Result: we can obtain a vector which points out the most relevant features the classifier uses to perform the classification.

2) Allow the interpretation of models

We can interpret the model if the input is interpretable  $\rightarrow$  PROBLEM FOR CNN



(a) Husky classified as wolf

(b) Explanation

Marco Tulio Ribeiro, Sameer Singh, and Carlos Guestrin. "Why Should I Trust You?": Explaining the Predictions of Any Classifier. arXiv:1602.04938 [cs, stat], February 2016. arXiv: 1602.04938

### **Problem characterization in mammography:**

### Previously on AIM3.T2 Predictive models for mammography and CESM:



Classe A: Seno prevalentemente composto di grasso





Classe C: Seno eterogeneamente denso

Classe D: Seno estremamente denso

We trained and evaluated a Convolutional Neural Network classifier for classifing breast density (4-class) using labeled mammograms.



Residual CNN model with 41 layers. About 1500 mammographic exams (four images) used to train, validate and test. Accuracy: 77.3% Recall: 77.1% Precision: 78,6%

Lizzi, F. et al. (2019). Residual Convolutional Neural Networks for Breast Density Classification:. In Proceedings of the 12th International Joint Conference on Biomedical Engineering Systems and Technologies, pages 258–263.

Lizzi, F., Laruina, F., Oliva, P., Retico, A., and Fantacci, M. E. (2019). Residual Convolutional Neural Networks to Automatically Extract Significant Breast Density Features. In Computer Analysis of Images and Patterns, pages 28–35. Springer International Publishing.

### **Problem characterization in mammography:**

Previously on AIM3.T2:

We trained and evaluated a Convolutional Neural Network based classifier for classifing

#### CAN WE TRUST THIS CLASSIFIER?

IS THIS CLASSIFIER LOOKING AT THE RIGHT PART OF THE IMAGE? CAN WE EXPLAIN WHY AND HOW THE CLASSIFIER WORKS? CAN WE AVOID THE RADIOLOGIST SEGMENTATION TO VALIDATE RESULTS?



Classe C: Seno eterogeneamente denso

Classe D: Seno estremamente denso



Residual CNN model with 41 layers. About 1800 mammographic exams (about 7200 images) used to train, validate and test. Accuracy: 77.3% Recall: 77.1% Precision: 78,6%

Lizzi, F. et al. (2019). Residual Convolutional Neural Networks for Breast Density Classification:. In Proceedings of the 12th International Joint Conference on Biomedical Engineering Systems and Technologies, pages 258–263.

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### **Problem characterization in mammography:**

Aggregating data from different mammographic systems

Classifier transferability on different datasets

#### **Dataset distribution**

**Pre-processing evaluation** 

Aggregating data from different health institutions

We took two approaches to the problem:

1) Train and evaluate a model that can be interpreted with explainable algorithms:

#### SVM $\rightarrow$ LIME $\rightarrow$ INTERPRETATION

2) Train, evaluate and test methodology to validate a CNN trained with mammograms (Camilla):

#### $\textbf{CNN} \rightarrow \textbf{grad-CAM} \rightarrow \textbf{INTERPRETATION}$







Features design:

About 1500 mammographic cases from Senograph About 230 cases from GIOTTO

12-bit images, 4 images per patient (4 standard mammographic projection)

Background removal with marching square algorithm

Cranio-caudal projection:



Masks for computing the features on mammograms

#### Medio-lateral oblique projection:



Preliminary results:

We computed the first-order statistical features with pyradiomics:

10Percentile, 90Percentile, Energy, Entropy, Interquartile Range, Kurtosis, Maximum, Mean Absolute Deviation, Mean, Median, Minimum, Range, Robust Mean Absolute Deviation, Root Mean Squared, Skewness, Total Energy, Uniformity and Variance.

#### 112 features $\rightarrow$ SVM training: scikit-learn package, linear kernel, one-versus-rest decision function:

Results: (BREAST DENSITY CLASSIFICATION SENOGRAPH) (BREAST DENSITY CLASSIFICATION SENOGRAPH) RIGHT: I FFT: ACCURACY: 0.72 (+/- 0.05) ACCURACY: 0.71 (+/- 0.07) (10 fold CROSS-VALIDATION) (10 fold CROSS-VALIDATION) precision recall f1-score support precision recall f1-score support 0.78 0.77 0.78 245 0.75 0.75 245 macro avg macro avg 077 weighted avg 0.78 0.78 0.78 245 weighted avg 0.76 0.75 0.75 245

#### **Preliminary results:**

To do:

- Statistical tests (Mann-Whitney, ...)
- Feature selection: LDA, PCA, ...
- Model selection.
- Test on other mammographic systems and images with malignant masses.

Manufacturer Giotto:

(BREAST DENSITY CLASSIFICATION GIOTTO)
LEFT:
ACCURACY: 0.68 (+/- 0.12)
(3 fold CROSS-VALIDATION)
precision recall f1-score support

	precision	recai	IT-SCOLE	Suppor
macro avg	0.78	0.76	0.77	110
weighted avg	0.78	0.77	0.77	110

(BREAST DENSITY CLASSIFICATION GIOTTO) RIGHT: ACCURACY: 0.64 (+/- 0.15) (3 fold CROSS-VALIDATION)

	precision	recall	f1-score	support
macro avg	0.78	0.76	0.77	110
weighted avg	0.78	0.77	0.77	110

#### Preliminary results (LIME):

Classifier approximation (around an instance)  $\rightarrow$  perturbed samples generation.

Variance\_2\_MLO > 0.04, 0.10 Variance\_2 > 0.03, 0.09 Entropy\_1 <= -0.64, -0.08 10Percentile\_2 <= -0.28, -0.08 Robu...viation\_2\_MLO <= -0.48, ( Kurtosis\_1\_MLO > 0.59, 0.07 Uniformity\_1\_MLO > 0.31, -0.06 Energy\_2\_MLO <= -0.73, -0.06 Energy\_2 <= -0.71, 0.06 Robu...viation\_3 > -0.01, -0.05

Class A:



Class B:

Median\_1\_MLO <= -0.36, 0.16 Entropy\_2\_MLO <= -0.76, -0.09, Entropy\_1 <= -0.64, -0.08, Inter...Range\_3\_MLO <= -0.48, 0.07 Variance\_2 <= -0.36, -0.06 Median\_2\_MLO <= -0.38, 0.06 Uniformity\_1\_MLO > 0.31, -0.06 90Percentile\_1 <= -0.62, -0.05 Inter...Range\_2\_MLO <= -0.49, 0.05 Kurtosis\_1\_MLO > 0.59, 0.05

For each patient in the test set (correctly classified), we computed an explaination with LIME algorithm.

#### Output: FEATURE NAME, CLASSIFICATION CONDITIONS AND FEATURE IMPORTANCE

Variance\_2\_MLO > 0.04, 0.12 Median\_1\_MLO > 0.56, -0.12 Entropy\_2\_MLO > 0.90, 0.08, 90Percentile\_3\_MLO > 0.60, 0.( 90Percentile\_2\_MLO > 0.80, 0.( Variance\_4 > -0.05, 0.05 Maximum\_1\_MLO > 0.71, 0.05 Kurtosis\_2\_MLO <= -0.71, -0.05 Energy\_2\_MLO <= -0.73, -0.04 Range\_2\_MLO > 0.66, 0.04

Class C:

Class D:

10Percentile\_3\_MLO <= -0.30, -0.13 Inter...Range\_2 > 0.50, 0.11 Median\_4 <= -0.59, 0.11 Variance\_1\_MLO > 0.05, 0.10 Uniformity\_1\_MLO <= -0.76, -0.09 10Percentile\_1\_MLO <= -0.17, -0.09 90Percentile\_3\_MLO > 0.60, -0.08 Inter...Range\_3\_MLO > 0.27, 0.07 Entropy\_2 > 0.89, 0.07 Variance\_3\_MLO > 0.08, 0.07

**Conclusions:** 

- Linear SVM classifier seems to be promising in performing breast density classification.
- We can obtain an explaination but it is not so easy to be interpreted.



- We need to implement the features selection (making input more interpretable)

- We are going to train a mixed classifier (Convolutional Neural Network and SVM) to increase explainability and performances.

(to be continued...)

# Explainability of a Residual Convolutional Neural Network for breast density assessment

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3 Febbraio 2020

### lssues

### Deep Residual CNN architecture

- Multi-layer nonlinear structure
- Millions of mathematical operations
- About 2 millions learnable parameters



#### Lack of transparency



# Goals and Methods



Explain the classifier behaviour and interpret its internal processes



Assess trust for a potential application in clinical practice



- 1. Train the classifier with different specifications to investigate some factors that influence the classifier performance
- 2. Visual representation of class activation maps (CAM) to understand the reasons behind the classifier predictions

## Data preparation



- 8-bit conversion
- Normalization
- Background removal
- Images inspection to exclude problematic images

	Original data	Pre-processed data
test accuracy (%)	75.3	83.1
recall (%)	72.1	80.1
precision (%)	76.4	87.9





# Model fine-tuning

Dropout

Insertion of a Dropout layer at the end of the network as an additional regularization technique to prevent the model from overfitting

		No Dropout	With Dropout
	test accuracy (%)	77.1	83.1
450x450	recall (%)	71.7	80.1
	precision (%)	84.6	87.9
	test accuracy (%)	77.1	78.8
650x650	recall (%)	76.3	77.4
	precision (%)	74.5	79.3
	test accuracy (%)	72.9	79.7
850x850	recall (%)	72.1	76.4
	precision (%)	72.4	84.4



# Transferability in dataset distribution



AOUP (Azienda Ospedaliera Universitaria Pisana) distribution : A: 12%, B:28%, C:50%, D:10% BIRADS distribution: A: 10%, B:40%, C:40%, D:10% Uniform distribution: A: 25%, B:25%, C:25%, D:25%

Training on BIRADS probability distribution and test on different probability distributions



#### **BIRADS training set**

		AOUP Test set	BIRADS Test set	Uniform Test set
	test accuracy (%)	75.3	77.1	65.3
1 channel - No dropout	recall (%)	66.7	71.7	65.3
_	precision (%)	83.8	84.6	74.7
	test accuracy (%)	76.6	80.5	75.0
3 channels - No dropout	recall (%)	71.9	76.9	75.0
-	precision (%)	78.3	81.2	80.4
	test accuracy (%)	79.1	83.1	73.6
1 channel - Dropout	recall (%)	75.2	80.1	73.6
	precision (%)	82.6	87.9	79.0

# From grayscale to RGB



- CNN models are designed to work on RGB images
- Our grayscale images have been fed to the network as RGB images by pasting the grayscale information to all three channels





		1 channel	3 channels
	test accuracy (%)	77.1	80.5
450x450	recall (%)	71.7	76.9
	precision (%)	84.6	81.2
	test accuracy (%)	77.1	77.1
650x650	recall (%)	76.3	77.9
	precision (%)	74.5	75.2
	test accuracy (%)	72.9	78.8
850x850	recall (%)	72.1	78.9
	precision (%)	72.4	79.2

### Data augmentation



Without Data		right CC	right MLO	All
augmentation 🔶 (924 images)	test accuracy (%)	79.9	69.4	78.4
	recall (%)	76.8	64.9	77.1
	precision (%)	83.1	66.6	76.9

With Data		CC	MLO	All
augmentation 📫 (1848 images)	test accuracy (%) recall (%) precision (%)	77.2 75.8 78.3	72.0 65.9 70.3	76.1 73.7 76.1

# Test on a different mammographic system



Classifier performance tested on mammograms acquired with a different mammographic system

- Small dataset size (232 images per projection)
- Mammograms with a different appearance from the ones used in training





	GIOTTO
test accuracy (%)	59.1
recall (%)	47.1
precision (%)	49.7

# Visualization



A heatmap for a particular category indicates exactly which regions of an image are being used by the model for discrimination among classes

**Gradient based Class Activation Map (Grad-CAM)**: gradient calculation of the final classification score with respect to the final convolutional layer. The places where this gradient is large let us exactly define the region that has a large impact on the final score decision



- *c* : predicted class
- $A^k$ : feature maps of the last conv layer



Maps have been evaluated observing if they activate at the densest areas of the breast

For the A class the classifier does not recognize any dense region and the maps activate almost always at the edge of the breast



#### Grad-CAM visualization to compare two different types of image normalization



#### Grad-CAM visualization before and after muscle segmentation in MLO projections

#### Non-segmented mammograms

#### Segmented mammograms



#### **Grad-CAM** visualization for a specific class at different convolutional layers



$$\alpha_k^c = \frac{1}{Z} \sum_i \sum_j \frac{\partial y^c}{\partial A_{ij}^k}$$

- The last convolutional layers have the best compromize between high-level semantics and detailed spatial information
- Better resolution at the previous convolutional layers



Where do we stop?

# Conclusions

- Why explainability in mammography and in medicine
- Two different approches:
  - Semi-interpretable SVM
  - CNN interpreted through grad-CAM
- Outlook:
  - Mixed and controlled classifier to maximize accuracy results
  - Grad-CAM as region proposal for features computation in SVM
  - We are collecting a new longitudinal dataset from ATNO screening, with cases and controls. Can we use the grad-CAM to track breast density for a very early diagnosis of breast cancer?

### Thank you for your attention !

### Questions?

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Grad-CAM visualization to compare two different types of image normalization



norm\_2

norm\_1