# Quantum Computation of Thermal Averages in the Presence of a Sign Problem

Marco Cardinali marco.cardinali@pi.infn.it

for the QUBIPF collaboration\*





#### Università di Pisa

INFN Pisa 17 January 2020

\*Quantum Bari-Pisa-Ferrara collaboration (QUBIPF):

C. Bonati, E. Calore, M. Cardinali, G. Clemente, L. Cosmai, M. D'Elia, A. Gabbana, D. Rossini, S. F. Schifano, R. Tripiccione and D. Vadacchino

#### Outline

Motivations

The Quantum Metropolis Sampling

The Frustrated Triangle: Numerical Results

Summary

The **sign problem** hinders classical computational methods for QCD at finite density (e.g., nuclei and neutron stars physics):

Euclidean action  $S \notin \mathbb{R} \implies \text{weight} \not > 0$  in the path-integral.

The **sign problem** hinders classical computational methods for QCD at finite density (e.g., nuclei and neutron stars physics):

Euclidean action  $S \notin \mathbb{R} \implies \text{weight} \not > 0$  in the path-integral.

**Quantum Computing** (QC) is often popularized as a solution, since the Hamiltonian formulation do not show sign problems.

The **sign problem** hinders classical computational methods for QCD at finite density (e.g., nuclei and neutron stars physics):

Euclidean action  $S \notin \mathbb{R} \implies \text{weight} \not > 0$  in the path-integral.

**Quantum Computing** (QC) is often popularized as a solution, since the Hamiltonian formulation do not show sign problems.

**However**, most of the work in literature focuses on real-time quantum evolution, not directly useful for computing thermal averages or studying the phase diagram.

The **sign problem** hinders classical computational methods for QCD at finite density (e.g., nuclei and neutron stars physics):

Euclidean action  $S \notin \mathbb{R} \implies \text{weight} \not > 0$  in the path-integral.

**Quantum Computing** (QC) is often popularized as a solution, since the Hamiltonian formulation do not show sign problems.

**However**, most of the work in literature focuses on real-time quantum evolution, not directly useful for computing thermal averages or studying the phase diagram.

Our goal is to generate Gibbs ensembles, **but** simultaneously trying to overcome the sign problem by QC techniques.

#### Computing Gibbs ensembles

Many approaches have been proposed, to mention a few:

- approaches based on variational methods; J. Whitfield et al. (2011)
- quantum simulated annealing; R. D. Somma et al. (2008)
- quantum metropolis methods; B. Terhal, D. Di Vincenzo (2000)
- others...

#### Computing Gibbs ensembles

Many approaches have been proposed, to mention a few:

- approaches based on variational methods; J. Whitfield et al. (2011)
- quantum simulated annealing; R. D. Somma et al. (2008)
- quantum metropolis methods; B. Terhal, D. Di Vincenzo (2000)
- others...

We focus our analysis to the **Quantum Metropolis Sampling** (QMS) algorithm, presented in [K. Temme *et al.*, Nature **471** (2011) 87], showing its application to a system affected by sign problem and analyzing sources of systematical errors. [arXiv:2001.05328]

#### Computing Gibbs ensembles

Many approaches have been proposed, to mention a few:

- approaches based on variational methods; J. Whitfield et al. (2011)
- quantum simulated annealing; R. D. Somma et al. (2008)
- quantum metropolis methods; B. Terhal, D. Di Vincenzo (2000)
- others...

We focus our analysis to the **Quantum Metropolis Sampling** (QMS) algorithm, presented in [K. Temme *et al.*, Nature **471** (2011) 87], showing its application to a system affected by sign problem and analyzing sources of systematical errors. [arXiv:2001.05328]

**Disclaimer**: we studied only universal properties of the algorithm using our Simulator for Universal Quantum Algorithms (SUQA), excluding from the analysis machine-specific quantum errors.

[ K. Temme et al., Nature 471, (2011) 87, arXiv:0911.3635 [quant-ph]].

Philosophy: sample a Gibbs ensamble of energy eigenstates, i.e., weighted as  $\rho(\beta) \propto \mathrm{e}^{-\beta H}$ , via a quantum-driven **Markov Chain** which satisfies a properly modified version of Detailed Balance.

[ K. Temme et al., Nature 471, (2011) 87, arXiv:0911.3635 [quant-ph]].

Philosophy: sample a Gibbs ensamble of energy eigenstates, i.e., weighted as  $\rho(\beta) \propto \mathrm{e}^{-\beta H}$ , via a quantum-driven **Markov Chain** which satisfies a properly modified version of Detailed Balance.

Assumption: an energy eigenstate must be build to start the chain.

[ K. Temme et al., Nature 471, (2011) 87, arXiv:0911.3635 [quant-ph]].

Philosophy: sample a Gibbs ensamble of energy eigenstates, i.e., weighted as  $\rho(\beta) \propto \mathrm{e}^{-\beta H}$ , via a quantum-driven **Markov Chain** which satisfies a properly modified version of Detailed Balance.

Assumption: an energy eigenstate must be build to start the chain.

#### Resources:

The global state of the QMS algorithm is encoded in four registers:

- state of the system (n qubits); (digitalization)
- energy before MC step (r qubits); (incommensurability)
- energy after MC step (r qubits); (as above)
- acceptance (1 qubit).

[ K. Temme et al., Nature 471, (2011) 87, arXiv:0911.3635 [quant-ph]].

Philosophy: sample a Gibbs ensamble of energy eigenstates, i.e., weighted as  $\rho(\beta) \propto \mathrm{e}^{-\beta H}$ , via a quantum-driven **Markov Chain** which satisfies a properly modified version of Detailed Balance.

Assumption: an energy eigenstate must be build to start the chain.

#### Resources:

The global state of the QMS algorithm is encoded in four registers:

- state of the system (n qubits); (digitalization)
- energy before MC step (r qubits); (incommensurability)
- energy after MC step (r qubits); (as above)
- acceptance (1 qubit).
- $\implies$  basis elements:  $|acc, E^{new}, E^{old}, \psi\rangle$

**Initialization**: prepare  $|0,0,0,\psi_k\rangle$ , with  $|\psi_k\rangle$  any eigenstate.

**Initialization**: prepare  $|0,0,0,\psi_k\rangle$ , with  $|\psi_k\rangle$  any eigenstate.

Phase estimation (PE) on  $E^{old}$ :  $|0,0,0,\psi_k\rangle \xrightarrow{\Phi^{(old)}} |0,0,E_k,\psi_k\rangle$ 

M. Troyer and U. J. Wiese (2005) (Trotterization)

**Initialization**: prepare  $|0,0,0,\psi_k\rangle$ , with  $|\psi_k\rangle$  any eigenstate.

Phase estimation (PE) on  $E^{old}$ :  $|0,0,0,\psi_k\rangle \xrightarrow{\Phi^{(old)}} |0,0,E_k,\psi_k\rangle$ 

M. Troyer and U. J. Wiese (2005) (Trotterization)

**Quantum Metropolis trial**: draw classically and apply an unitary operator C to the state qubits followed by a PE on  $E^{new}$ 

$$|0,0,E_k,\psi_k\rangle \xrightarrow{C} \sum_{p} x_{k,p}^{(C)} |0,0,E_k,\psi_p\rangle \xrightarrow{\Phi^{(new)}} \sum_{p} x_{k,p}^{(C)} |0,E_p,E_k,\psi_p\rangle.$$

**Initialization**: prepare  $|0,0,0,\psi_k\rangle$ , with  $|\psi_k\rangle$  any eigenstate.

Phase estimation (PE) on  $E^{old}$ :  $|0,0,0,\psi_k\rangle \xrightarrow{\Phi^{(old)}} |0,0,E_k,\psi_k\rangle$ M. Troyer and U. J. Wiese (2005) (Trotterization)

**Quantum Metropolis trial**: draw classically and apply an unitary operator C to the state qubits followed by a PE on  $E^{new}$ 

$$|0,0,E_k,\psi_k\rangle \xrightarrow{\mathcal{C}} \sum_{p} x_{k,p}^{(\mathcal{C})} |0,0,E_k,\psi_p\rangle \xrightarrow{\Phi^{(new)}} \sum_{p} x_{k,p}^{(\mathcal{C})} |0,E_p,E_k,\psi_p\rangle.$$

**Acceptance evaluation**: apply an appropriate operator  $W(E_p, E_k)$  to the acceptance qubit

$$\begin{split} \sum_{p} x_{k,p}^{(C)} \left| 0, E_{p}, E_{k}, \psi_{p} \right\rangle & \xrightarrow{W} \\ \sum_{p} x_{k,p}^{(C)} \left( f(\Delta E_{p,k}) \left| 1 \right\rangle + \sqrt{1 - f(\Delta E_{p,k})} \left| 0 \right\rangle \right) \otimes \left| E_{p}, E_{k}, \psi_{p} \right\rangle, \end{split}$$

where 
$$f(\Delta E_{p,k}) \equiv \min (1, e^{-\beta(E_p - E_k)/2})$$
.

# QMS: sketch of the algorithm (cont.d)

accept/reject: measure on the acceptance qubit; two possibilities:

- 1 means **accept**: we proceed with measuring on the  $E^{new}$  register, so we obtain a new eigenstate on the state register.
- 0 means **reject**: we need to *revert* the system to the initial state by trying to project back until  $E^{new} == E^{old}$ . (threshold on reversal steps)

# QMS: sketch of the algorithm (cont.d)

accept/reject: measure on the acceptance qubit; two possibilities:

- 1 means **accept**: we proceed with measuring on the  $E^{new}$  register, so we obtain a new eigenstate on the state register.
- 0 means **reject**: we need to *revert* the system to the initial state by trying to project back until  $E^{new} == E^{old}$ . (threshold on reversal steps)

Energy measures are taken at each MC step, without cost. Measuring non-H-commuting observables breaks the chain: a certain number of rethermalization steps are required.

#### QMS: sketch of the algorithm (cont.d)

accept/reject: measure on the acceptance qubit; two possibilities:

- 1 means **accept**: we proceed with measuring on the  $E^{new}$  register, so we obtain a new eigenstate on the state register.
- 0 means **reject**: we need to *revert* the system to the initial state by trying to project back until  $E^{new} == E^{old}$ . (threshold on reversal steps)

Energy measures are taken at each MC step, without cost. Measuring non-*H*-commuting observables breaks the chain: a certain number of rethermalization steps are required.

Let's see the QMS algorithm in action on a toy model with sign problem: the *Frustrated Triangle*.

# Minimal Model with Sign Problem: the Frustrated Triangle

Hamiltonian for an antiferromagnetic (J > 0) Ising triangle

$$H = J(\sigma_X \otimes \sigma_X \otimes \mathbb{1} + \sigma_X \otimes \mathbb{1} \otimes \sigma_X + \mathbb{1} \otimes \sigma_X \otimes \sigma_X),$$

The path-integral with a finite number N of layers with 3-qubits states  $|\alpha_i\rangle$  in the computational basis reads:

$$Z[\beta] = Tr\left[e^{-\beta H}\right] = \sum_{\{\alpha_i\}} \prod_{i=1}^{N} \langle \alpha_{i+1} | e^{-\frac{\beta H}{N}} | \alpha_i \rangle,$$

where  $T \equiv e^{-\frac{\beta H}{N}}$  is the **transfer matrix**.

Here the sign-problem comes from non positive off-diagonal elements in the transfer matrix (e.g.  $\langle 011|\,\mathrm{e}^{-\frac{\beta H}{N}}\,|000\rangle < 0$ ).

#### Numerical Results of the QMS algorithm

Tested with non-diagonal, non-H-commuting observables, e.g.:

0.2

-0.1 -0.2 8×10<sup>-4</sup>

 $4 \times 10^{-4}$ 0  $-4 \times 10^{-4}$   $-8 \times 10^{-4}$ 

0.2

0.4

0.6

0.8

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

D. C. Hackett et al. (2019)

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

```
D. C. Hackett et al. (2019)
```

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

```
D. C. Hackett et al. (2019)
```

- Finite Trotter step-size in the phase-estimation procedure.

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

```
D. C. Hackett et al. (2019)
```

- Finite Trotter step-size in the phase-estimation procedure.
- Threshold in the number of reversal attempts in case of reject;

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

```
D. C. Hackett et al. (2019)
```

- Finite Trotter step-size in the phase-estimation procedure.
- Threshold in the number of reversal attempts in case of reject;
- Rethermalization steps after a measure;

 Digitalization: representing physics of continuum d.o.f. with a finite number of qubits n;

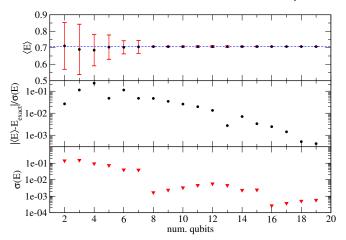
```
D. C. Hackett et al. (2019)
```

- Finite Trotter step-size in the phase-estimation procedure.
- Threshold in the number of reversal attempts in case of reject;
- Rethermalization steps after a measure;

These systematics are manageable, at least for small to medium scale simulations.

#### Phase estimation in general

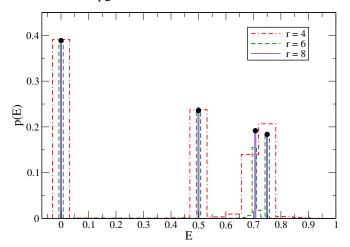
Energy estimate for an eigenstate with exact energy  $\frac{1}{\sqrt{2}}$ .



Error decreases as  $2^{-(\text{num. qbits})}$ , while the discrepancy stays of the same order of magnitude of the error.

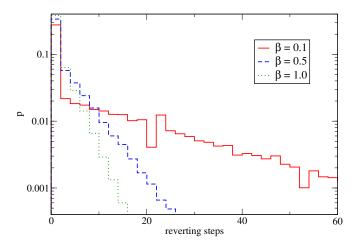
#### Phase estimation: QMS with incommensurable levels

Energy levels: 0,  $\frac{1}{2}$ ,  $\frac{1}{\sqrt{2}}$  and  $\frac{3}{4}$ .



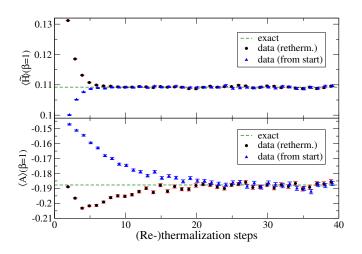
The measured energy distribution seems to converge to the exact result for increasing energy qbits.

#### Reversal steps in the QMS algorithm



The typical number of steps needed for reverting back the state is relatively small. Small  $\beta$  behave worse.

#### Re-thermalization process



Non H-commuting observables need more re-thermalization steps.

#### Summary and Perspectives

#### Sum up:

- the sign problem, and the role of Quantum Computing as a solution, have been discussed;
- we briefly overviewed the Quantum Metropolis Sampling (K. Temme et al. (2011)), showing sources of systematical errors;
- we applied the QMS algorithm to a minimal model with sign problem, the frustrated triangle, obtaining results in good agreement with the exact ones.

#### Work in progress:

- we are extending the analysis to increasingly complex systems, taking care of systematical errors;
- in particular, we are implementing codes for non-abelian gauge systems, for which some modification are in order, and the phase estimation needs an evolution procedure which keeps gauge-invariance. [NuQS Collaboration, PRD 11, 114501 (2019)]

#### Summary and Perspectives

#### Sum up:

- the sign problem, and the role of Quantum Computing as a solution, have been discussed;
- we briefly overviewed the Quantum Metropolis Sampling (K. Temme et al. (2011)), showing sources of systematical errors;
- we applied the QMS algorithm to a minimal model with sign problem, the frustrated triangle, obtaining results in good agreement with the exact ones.

#### Work in progress:

- we are extending the analysis to increasingly complex systems, taking care of systematical errors;
- in particular, we are implementing codes for non-abelian gauge systems, for which some modification are in order, and the phase estimation needs an evolution procedure which keeps gauge-invariance. [NuQS Collaboration, PRD 11, 114501 (2019)]

#### Thank you for the attention!

# Additional slides

#### The Frustrated Triangle: transfer matrix

From the Hamiltonian:

$$H = J(\sigma_X \otimes \sigma_X \otimes \mathbb{1} + \sigma_X \otimes \mathbb{1} \otimes \sigma_X + \mathbb{1} \otimes \sigma_X \otimes \sigma_X),$$

straightforward calculations bring us to the following formula for the transfer matrix:

$$e^{-\frac{\beta H}{N}} = \frac{1}{4} \left[ \left( e^{-3\frac{\beta J}{N}} + 3e^{+\frac{\beta J}{N}} \right) \mathbb{1} + \left( e^{-3\frac{\beta J}{N}} - e^{+\frac{\beta J}{N}} \right) \frac{H}{J} \right].$$

Clearly,  $\left(e^{-3\frac{\beta J}{N}}-e^{+\frac{\beta J}{N}}\right)<0$  for  $\beta J>0$ ; this is the origin of the **sign problem**.