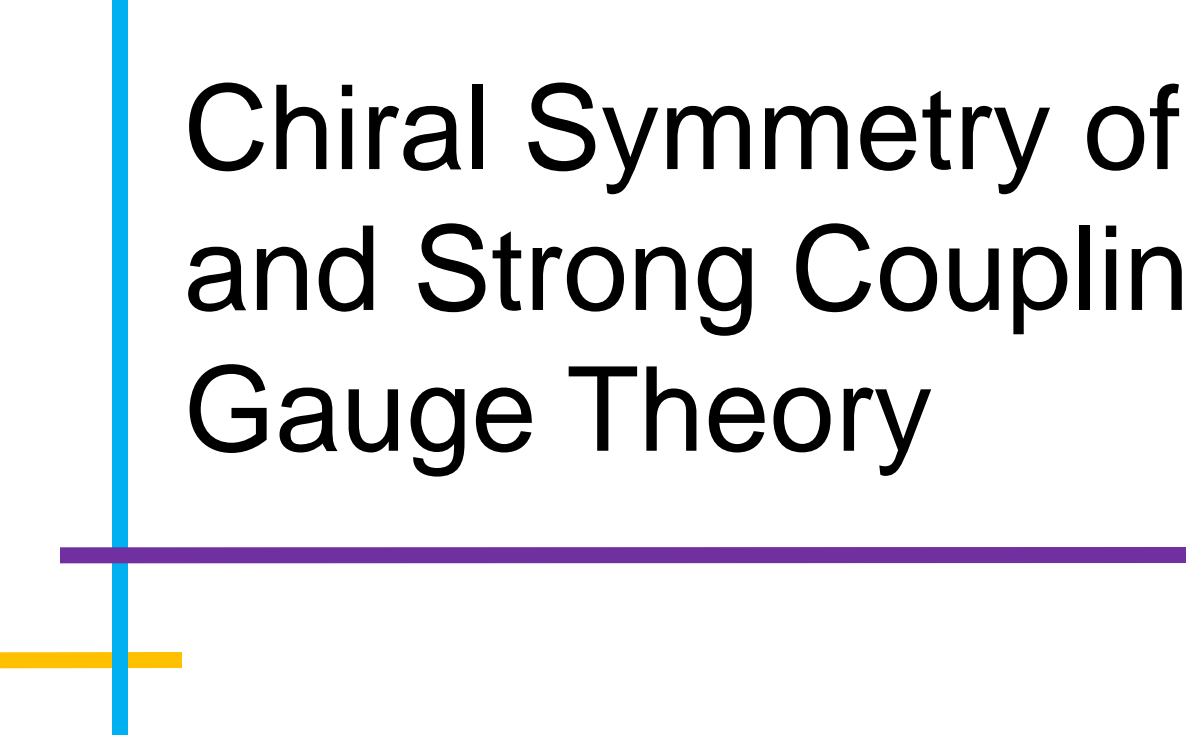


Chiral Symmetry of Graphene and Strong Coupling Lattice Gauge Theory



[arXiv:1003.1769 \[cond-mat.str-el\]](https://arxiv.org/abs/1003.1769)

Yasufumi Araki, Tetsuo Hatsuda

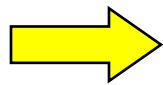
(Univ. of Tokyo)

June 17, 2010 @Lattice2010 (Villasimius)

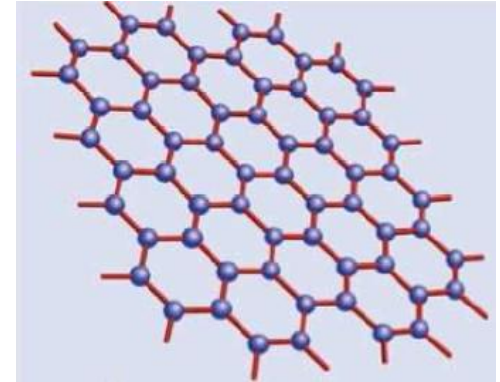
What is graphene?

- ▶ **Graphene** = Single atomic layer of carbon atoms

Honeycomb lattice structure



Building block of many kinds of carbon materials.

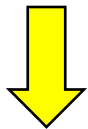


[Castro Neto et al., 2009]

- ▶ Electronic features of graphene:

Linear dispersion around two “Dirac points”. [Wallace, 1947]

$$E(\mathbf{K}_{\pm} + \mathbf{p}) = \pm v_F |\mathbf{p}| + O((p/K)^2) \quad (\text{gapless})$$



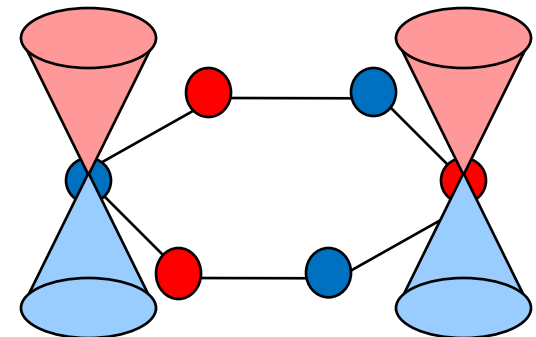
“Fermi velocity” $\sim c/300$

Description as

Massless Dirac fermions

[Semenoff, 1984]

“Chiral symmetry” = sublattice symmetry



Effective gauge theory

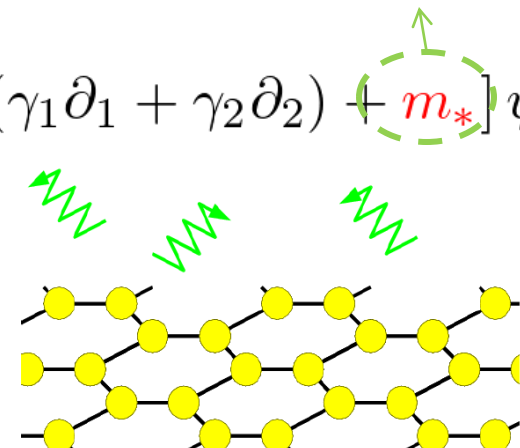
- ▶ Euclidean action with **Coulomb (U(1) gauge) interaction**:
 (“braneworld”, “reduced QED”) [Gorbar *et al.*,2002]

• Fermions in **3-dim.**

$$S_F = \sum_f \int dx^{(3)} \bar{\psi}_f [\gamma_4(\partial_4 + iA_4) + (\gamma_1\partial_1 + \gamma_2\partial_2) + m_*] \psi_f$$
 • U(1) gauge field in **4-dim.**

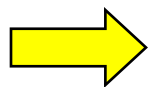
$$S_G = \frac{\beta}{2} \sum_{j=1,2,3} \int dx^{(4)} (\partial_j A_4)^2$$

Induced by lattice distortion



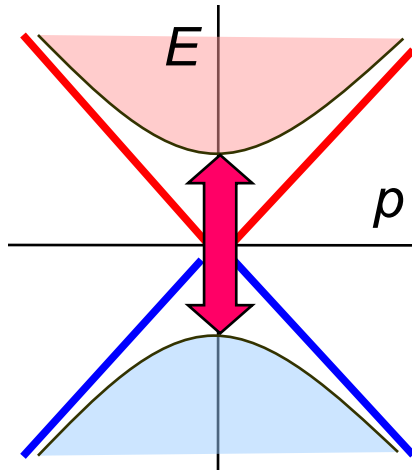
- ▶ Small Fermi velocity \Rightarrow **Effectively strong Coulomb coupling**

$$g_*^2 \equiv \frac{1}{\beta} = \frac{g_{\text{QED}}^2}{v_F} (\sim 300 g_{\text{QED}}^2) \quad (\beta \sim 0.04)$$



Strong coupling expansion around $\beta=0$ will work well.
 (If **not screened** by substrate)

Physics at strong coupling



Strong coupling \longrightarrow **spontaneous chiral symmetry breaking**
e.g.) [Drut & Lahde, 2008-2010]

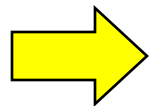
Graphene: Dynamical gap generation
(Semimetal-insulator transition)

Similar mechanism \Updownarrow

QCD: Dynamical quark mass

This work:

Strong coupling expansion of $U(1)$ lattice gauge theory ("reduced QED")



analytic calculations of

- ▶ Fermion dynamical gap at/around
 $\beta=0$ (strong coupling), $m=0$ (chiral limit), $V=\infty$ (infinite volume)
- ▶ Collective excitations

e.g.) (pseudo-)NG mode (\sim pion)

Regularization on a **square** lattice

► Fermions:

- ♦ Described by **a single staggered fermion** χ

[Hands & Strouthos, 2008]

[Drut & Lahde, 2008-2010]

$$S_F = \sum_{x^{(3)}} \left[\frac{1}{2} \sum_{\mu=1,2,4} \left(V_{\mu}^{+}(x) - V_{\mu}^{-}(x) \right) + m_* M(x) \right]$$

(2+1) dim.
hopping (kinetic) term
Bare (external) mass

$\bar{\chi}(x) \quad U_{\mu}(x) \quad \chi(x + \hat{\mu})$

$\bar{\chi}(x) \quad \chi(x)$

► Gauge field:

U(1) Link variables: $U_4(x) = e^{i\theta(x)}$ $\vec{U}(x) = 1$
 $(-\pi \leq \theta < \pi)$ (instantaneous approx.)

- ♦ Two types of formulation:

Compact:

$$S_G^{\text{C}} = \beta \sum_{x^{(4)}} \sum_{j=1,2,3} \left[1 - \text{Re} \left(U_4(x) U_4^{\dagger}(x + \hat{j}) \right) \right]$$

plaquette

Non-compact: $S_G^{\text{NC}} = \frac{\beta}{2} \sum_{x^{(4)}} \sum_{j=1,2,3} \left[\theta(x) - \theta(x + \hat{j}) \right]^2$

⇒ difference ...?

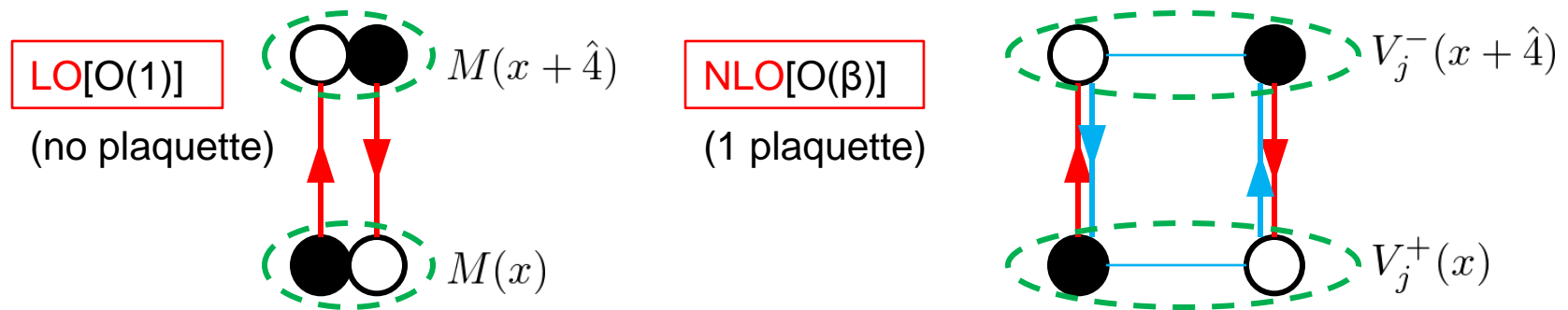
Strong coupling expansion

Expansion parameter: $\beta \equiv 1/g_*^2$ (inverse effective coupling)

➔ **Link integration** is performed order by order: $[S_G \sim O(\beta)]$

$$Z = \int [d\chi d\bar{\chi}] [d\theta] \left[\sum_{n=0}^{\infty} \frac{(-S_G)^n}{n!} e^{-S_F} \right] = \int [d\chi d\bar{\chi}] e^{-S_x}$$

► **4-fermi couplings** are induced by the link integration.



► Introduce **auxiliary fields**: $\phi(x) \equiv \phi_\sigma + i\epsilon(x)\phi_\pi$

(order parameter of chiSB)

Scalar

$$M(x) = \bar{\chi}(x)\chi(x)$$

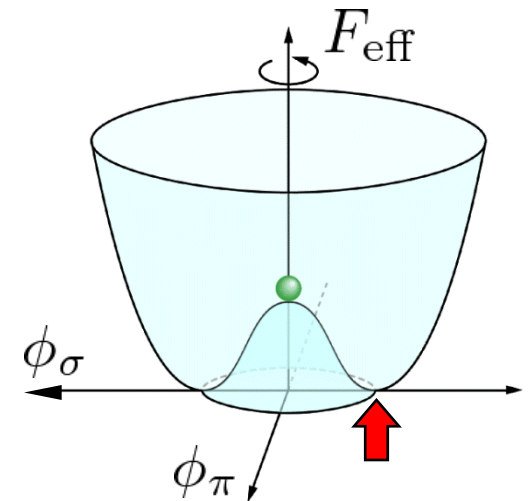
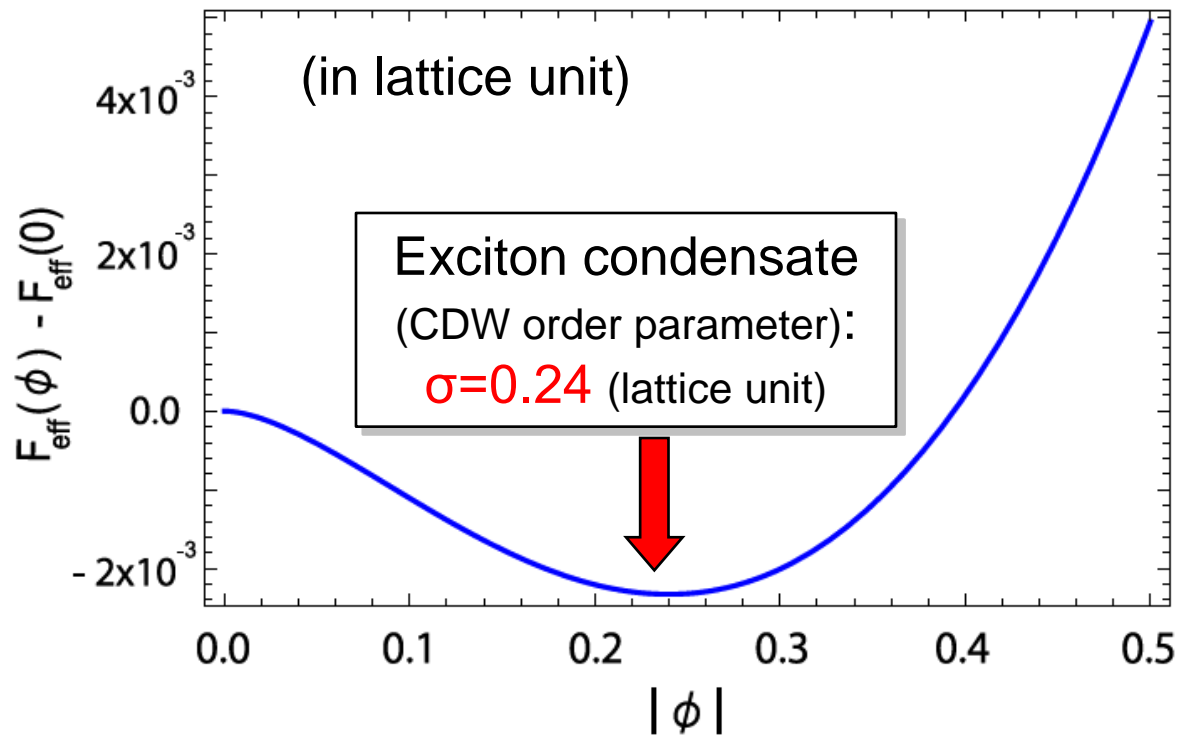
Pseudoscalar

$$P(x) = \bar{\chi}(x)i\epsilon(x)\chi(x)$$

Strong coupling limit (LO term)

- ▶ **Strong coupling limit** ($\beta=0$) & **chiral limit** ($m=0$)

▶ The gauge term does not contribute at $\beta=0$: $\sigma^{\text{C}}(\beta=0) = \sigma^{\text{NC}}(\beta=0)$

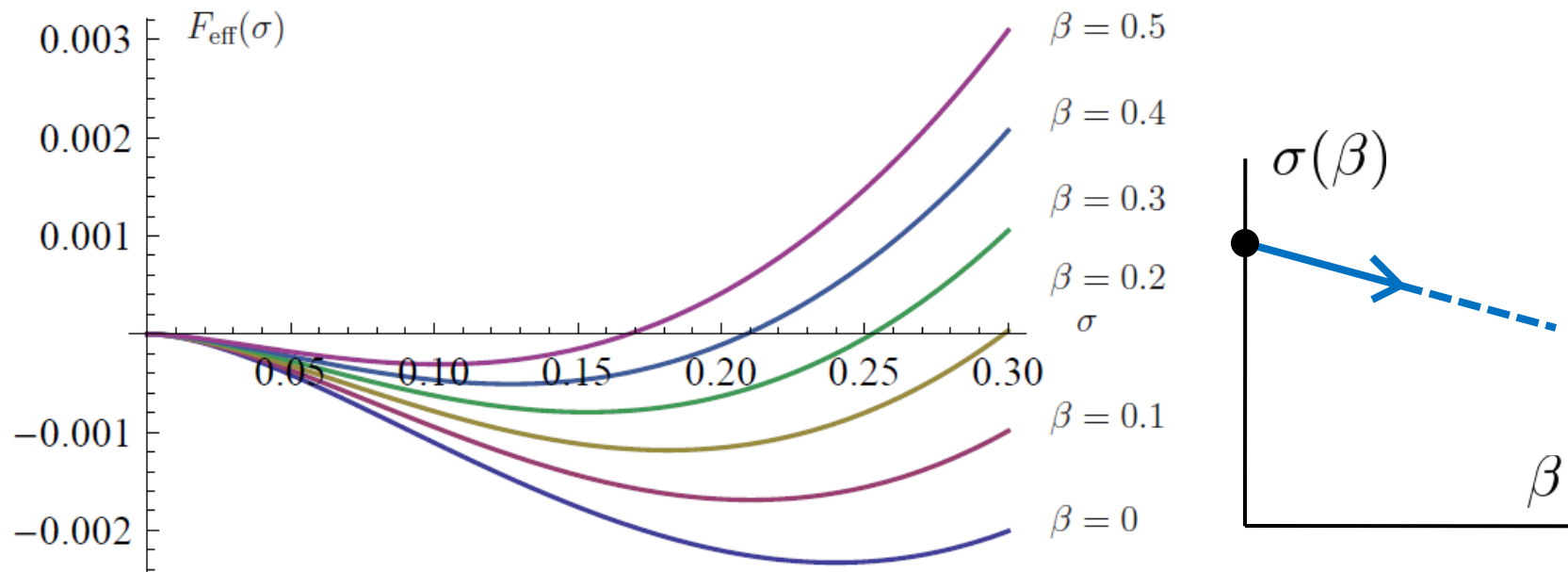


Dynamical mass gap:

$$M_F = \frac{\sigma}{2} \left[\rightarrow \frac{v_F}{a} \frac{\sigma a^2}{2} \right]$$

- ▶ “Chiral symmetry” is **spontaneously broken** in the strong coupling limit.
(sublattice symmetry)

NLO effect: compact formulation



- **Exciton condensate** (in lattice unit):

$$|\langle \bar{\chi} \chi \rangle| = \sigma = 0.240 - 0.297\beta$$

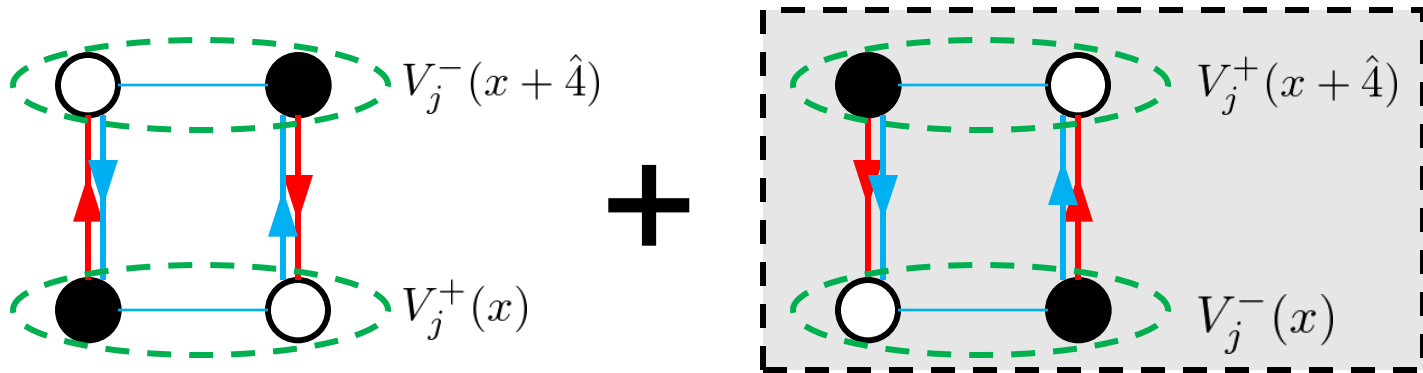
- By setting the lattice spacing $a \sim a_{\text{honeycomb}} = 1.42\text{\AA}$

➔ Dynamical gap $M_F \equiv \frac{v_F}{a} \frac{\sigma a^2}{2} \simeq (0.523 - 0.623\beta)\text{eV}$

NLO effect: **non-compact** form.

In the link integration,

One plaquette also works as its c.c.



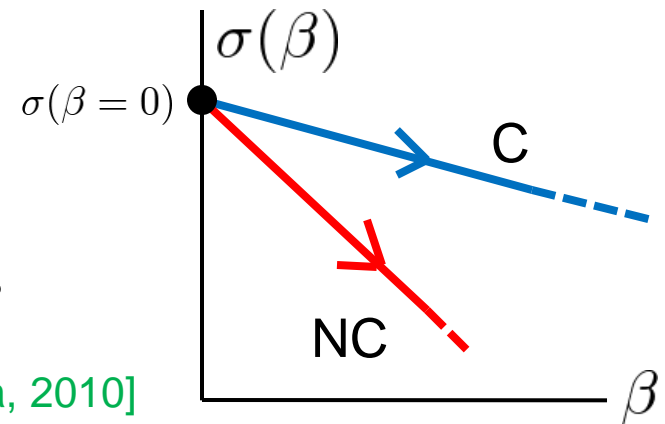
The NLO effect is **doubled**: $\Delta S_{\chi}^{NC} = 2 \times \Delta S_{\chi}^C$

➔ $\sigma^{NC}(\beta)$ drops **twice** as fast as $\sigma^C(\beta)$.

$$\sigma^{NC}(\beta) < \sigma^C(\beta)$$

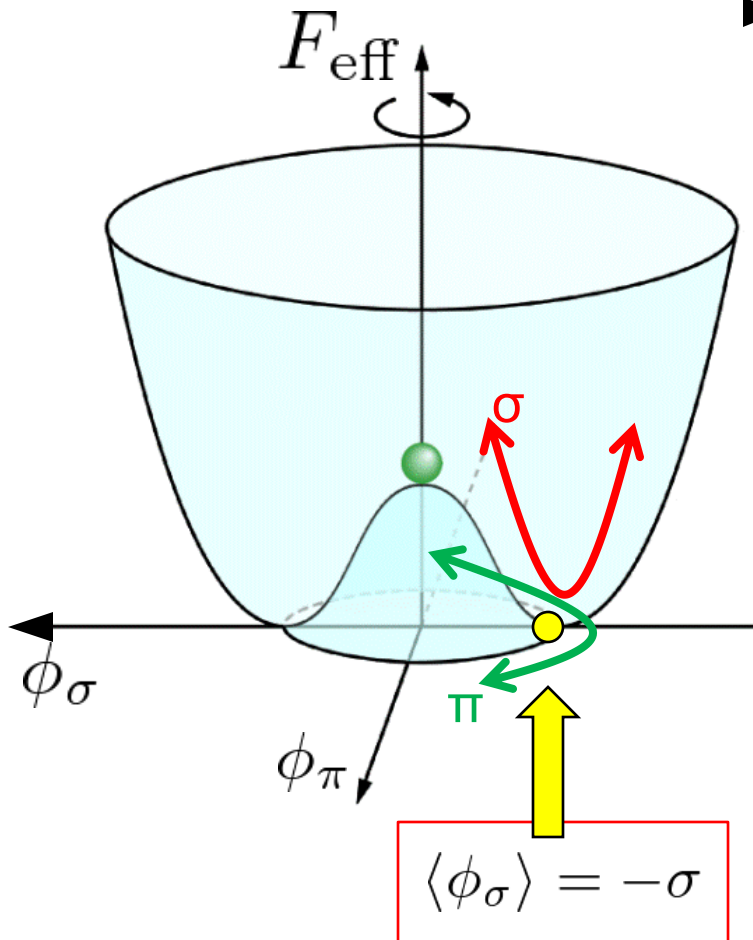
Also seen in lattice Monte Carlo results
(extrapolated to $\beta \sim 0$).

[Drut, Lahde & Suoranta, 2010]



Collective excitations (excitons)

- ▶ Two excitation modes: **fluctuations of the order parameter φ**



- ▶ **π -mode** (phase fluctuation mode)

$$M_\pi \simeq \frac{2v_F}{a} \sqrt{\frac{m_{\text{bare}}}{M_F(m=0)}} \xrightarrow{m=0} 0$$

$$\left(= 8.40 \sqrt{\frac{m}{M_F(m=0)}} \text{eV} \right)$$

➔ “NG boson” from chiSB
Light mode (pion-like)

Similar to pions in QCD (**GMOR relation**):

$$M_\pi = \sqrt{m_{\text{bare}} \sigma} / f_\pi$$

- ▶ **σ -mode** (amplitude fluctuation mode)

$$M_\sigma \simeq (5.47 - 1.97\beta) \text{eV}$$

Quite a **heavy** mass (Higgs-like)

Conclusion

- ▶ Monolayer graphene \implies “reduced QED” model (gapless)
 \implies Lattice strong coupling expansion (analytic)
- ▶ Strong coupling: spontaneous chiral symmetry breaking (chiral symmetry breaking)
 \implies Dynamical spectral gap: $M_F = \frac{\sigma}{2} \left[\rightarrow \frac{v_F \sigma a^2}{a \cdot 2} \right]$
- ▶ Compact vs. Non-compact formulation:
 \implies Difference starts from NLO: $\sigma^{NC}(\beta) < \sigma^C(\beta)$
- ▶ Collective excitation modes:
 π (phase fluctuation) \implies Pion-like behavior (GMOR rel.)

Future prospects:

- NNLO effect? (now being investigated)
- Finite T? (KT transition in (2+1)-D?)
- Taste breaking? (compare with overlap fermions, ...)
- Anisotropy effects? (graphene under uniaxial strain)
- Gauge theory on the original honeycomb lattice? etc.



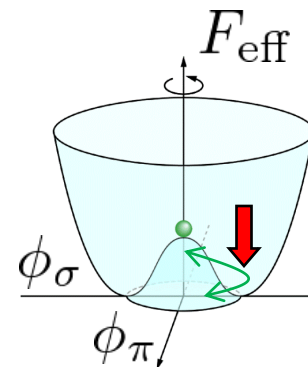
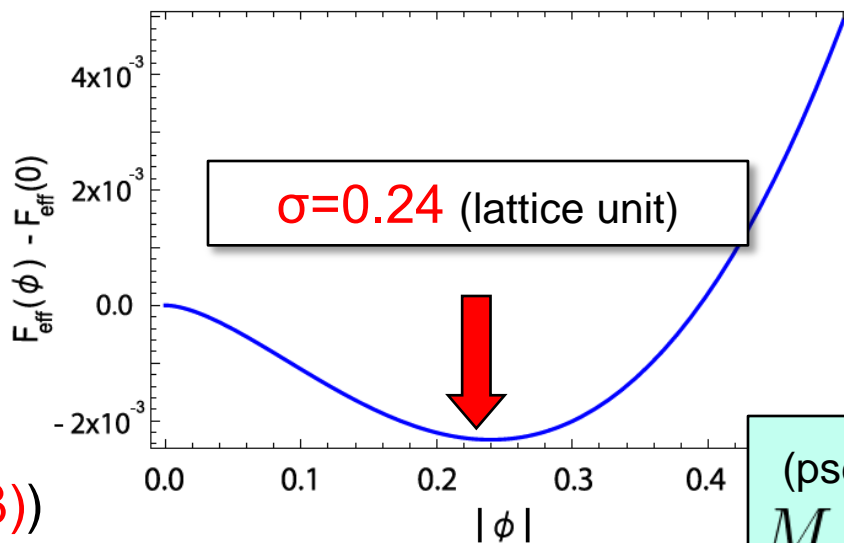
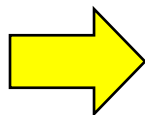
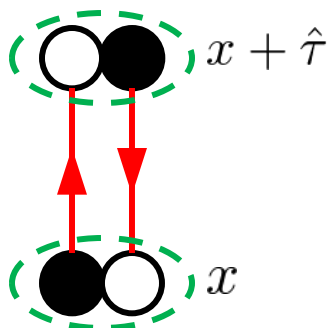
Thank you.

Results from Strong coupling expansion

Y. Araki & T. Hatsuda, arXiv:1003.1769

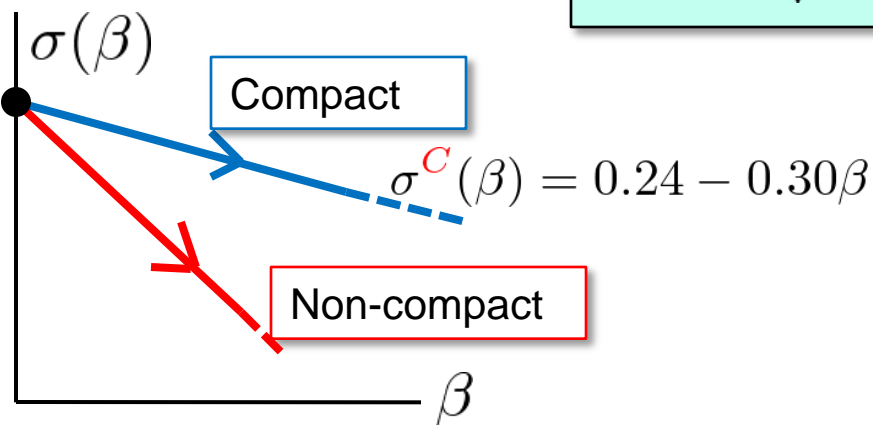
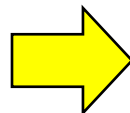
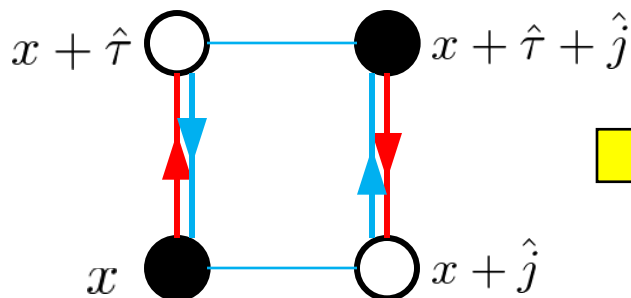
► Strong coupling limit ($\beta=0$)

induced 4-fermi:



(pseudo-)NG mode
 $M_\pi \propto \sqrt{m_{\text{bare}}}$

► Next-leading order ($O(\beta)$)

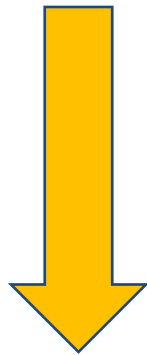


NC drops twice faster than C at $O(\beta)$.

Low-energy effective theory

Electrons/holes on graphene show **linear dispersion** around two “**Dirac points**”:

$$E(\vec{K}_{\pm} + \vec{p}) = \pm v_F |\vec{p}| + O((p/K)^2) \quad [\text{Wallace, 1947}]$$

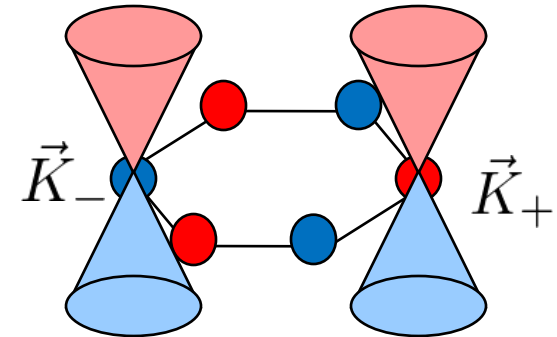


“Fermi velocity”

$$v_F = (3/2)a_{hc}t \sim c/300$$

$$a_{hc} = 1.42 \text{ \AA} \text{ (interatomic spacing)}$$

$$t = 2.8 \text{ eV (hopping parameter)}$$



Electrons/holes are described as **massless Dirac fermions**.

$$4 = 2 \times 2$$

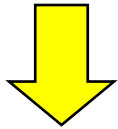
components DPs sublattices

$$\psi_{\sigma}(\vec{p}) = \begin{pmatrix} a_{\sigma}(\vec{K}_{+} + \vec{p}) \\ b_{\sigma}(\vec{K}_{+} + \vec{p}) \\ b_{\sigma}(\vec{K}_{-} + \vec{p}) \\ a_{\sigma}(\vec{K}_{-} + \vec{p}) \end{pmatrix}$$

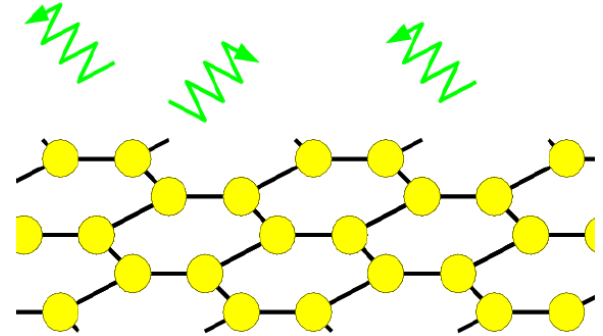
(Spin up/down is considered as “flavor”: $N_f=2$)

Mixed dimension model

Incorporate **Coulomb interaction**.



Low-energy effective action [Son, 2007]



(2+1)-dim. fermionic field:

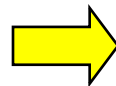
$$S_F = \sum_f \int dx^{(3)} \bar{\psi}_f [\gamma_4(\partial_4 + iA_4) + v_F(\gamma_1\partial_1 + \gamma_2\partial_2) + m] \psi_f$$

(3+1)-dim. U(1) gauge (electric) field:

$$S_G = \frac{1}{2g^2} \sum_{j=1,2,3} \int dx^{(4)} (\partial_j A_4)^2$$

$$g^2 = \frac{e^2}{\epsilon_0} \text{ (for suspended graphene)}$$

Assume “instantaneous”
Coulomb interaction



**Magnetic components (A_i)
neglected**

Effectively strong coupling

Scale transformation in the temporal direction:



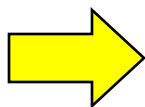
$$\tau \rightarrow \tau / v_F \quad A_4 \rightarrow v_F A_4$$

$$S_F = \sum_f \int dx^{(3)} \bar{\psi}_f [\gamma_4 (\partial_4 + i A_4) + (\gamma_1 \partial_1 + \gamma_2 \partial_2) + m_*] \psi_f$$

$$S_G = \frac{1}{2g_*^2} \sum_{j=1,2,3} \int dx^{(4)} (\partial_j A_4)^2 \quad m_* = m/v_F$$

Effectively **strong Coulomb coupling**.

$$g_*^2 = \frac{e^2}{v_F \epsilon_0} = \frac{g_{QED}^2}{v_F} (\sim 300 g_{QED}^2)$$



Perturbative approach cannot be applied.

Treated as **strong coupling U(1) gauge theory**.

Strong coupling nature

What will occur in the strong coupling regime?

Strong Coulomb coupling



Spontaneous chiral symmetry breaking ...?

e-h pair: exciton condensate $\langle \bar{\psi}\psi \rangle$

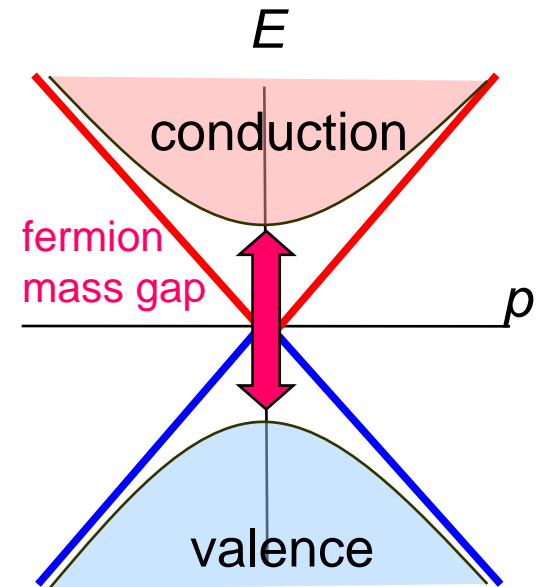


Dynamical mass gap ...?

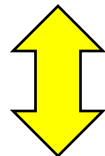


Insulator ...?

?



Semimetal-insulator transition (in graphene)



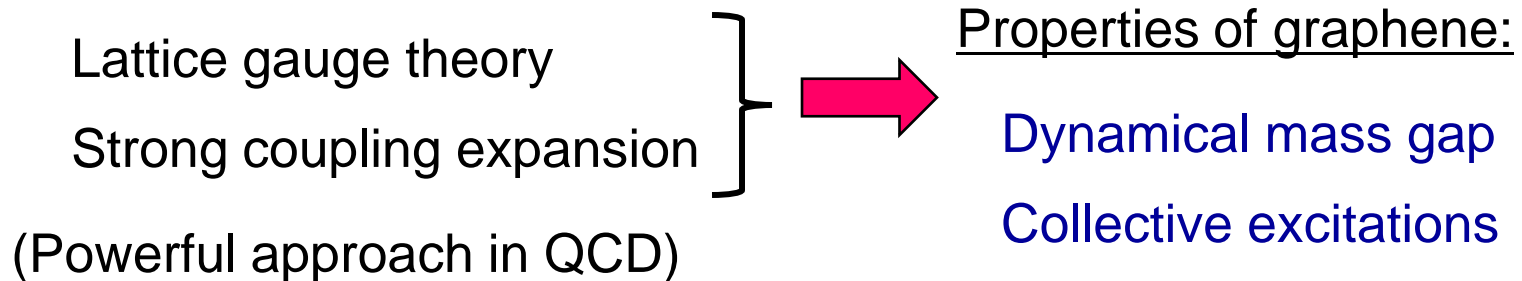
Similar mechanism

Dynamical mass generation (of quarks [QCD])

Correspondence

<i>Strong Coupling gauge theory (e.g. QCD)</i>		<i>Graphene (low-energy)</i>	
Gauge field	A_μ^a	Electric field	A_μ
Coupling constant	g^2	Effective coupling	g_*^2
Quarks $q (= u, d, \dots)$		Quasi-electrons	ψ
Chiral condensate	$\langle \bar{q}q \rangle$	Exciton condensate	$\langle \bar{\psi}\psi \rangle$
Flavors		Layers x Spin d.o.f.	

Our approach



Symmetries

Continuum theory is invariant under $U(4)$ transf. generated by

$$\{1, \vec{\sigma}\} \otimes \{1, \gamma_3, \gamma_5, \gamma_3 \gamma_5\}$$

Subgroups:

global gauge transf.

$$U(1)_V : \quad \psi \rightarrow e^{i\theta_V} \psi, \quad \bar{\psi} \rightarrow \bar{\psi} e^{-i\theta_V}$$

chiral transf. (at chiral limit $m=0$)

$$U(1)_A : \quad \psi \rightarrow e^{i\gamma_5 \theta_A} \psi, \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\gamma_5 \theta_A}$$

Lattice regularized theory is invariant only under

global gauge transf.

$$U(1)_V : \quad \chi(x) \rightarrow e^{i\theta_V} \chi(x), \quad \bar{\chi}(x) \rightarrow \bar{\chi}(x) e^{-i\theta_V}$$

“chiral” transf. (at chiral limit $m=0$)

$$U(1)_A : \quad \chi(x) \rightarrow e^{i\epsilon(x)\theta_A} \chi(x), \quad \bar{\chi}(x) \rightarrow \bar{\chi}(x) e^{i\epsilon(x)\theta_A}$$

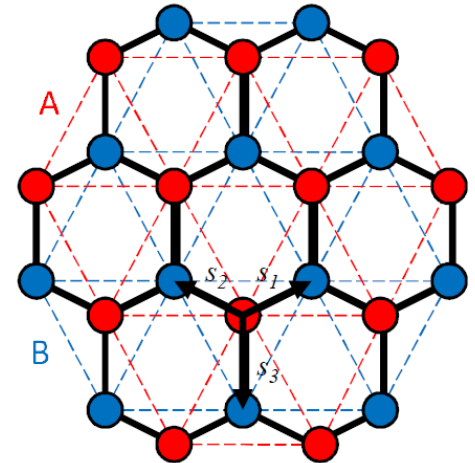
Staggered fermion preserves $U(1)_V \times U(1)_A$ symmetry.

Electron spectrum

To describe the behavior of the electrons, we introduce the **tight-binding Hamiltonian**.

$$H_0 = -t \sum_{\vec{r} \in A} \sum_{i=1,2,3} [a^\dagger(\vec{r})b(\vec{r} + \vec{s}_i) + b^\dagger(\vec{r} + \vec{s}_i)a(\vec{r})]$$

$t \sim 2.8\text{eV}$ $a \sim 1.42 \text{ \AA}$ (interatomic spacing)

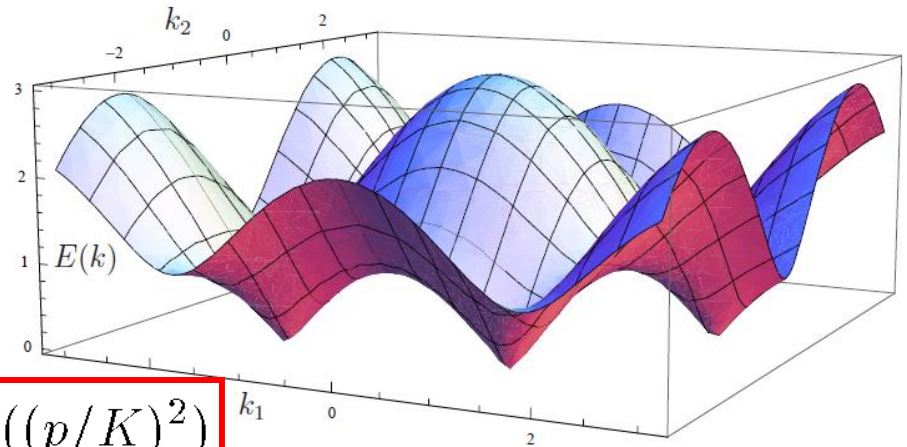


The energy eigenvalue vanishes at two **Fermi (Dirac) points**.

$$\vec{K}_\pm = \left(\pm \frac{4\pi}{3\sqrt{3}a}, 0 \right)$$

“Fermi velocity” $= (3/2)at \sim 0.00302c$

$$E(\vec{K}_\pm + \vec{p}) = \pm v|\vec{p}| + O((p/K)^2)$$



The dispersion relation is **linearized** around the Dirac points.

Low-energy effective theory

Expanding the operators around the Dirac points,

the Hamiltonian is given as

$$H_0 = v \sum_{\vec{p}} \psi^\dagger(\vec{p}) (\gamma_0 \vec{\gamma} \cdot \vec{p}) \psi(\vec{p})$$

$$\psi(\vec{p}) \equiv \begin{pmatrix} a(\vec{K}_+ + \vec{p}) \\ b(\vec{K}_+ + \vec{p}) \\ b(\vec{K}_- + \vec{p}) \\ a(\vec{K}_- + \vec{p}) \end{pmatrix}$$

Lagrangian form:

$$L_0 = i \int d^2 r \bar{\psi}(\vec{r}) \left[\gamma^0 \partial_t - v \vec{\gamma} \cdot \vec{\partial} \right] \psi(\vec{r})$$



Low-energy electrons on graphene can be described as (2+1)-D **massless Dirac fermions**. (except Fermi velocity)

Dirac points \rightarrow “pseudospin”

Spin d.o.f. \rightarrow “flavors”

Strong coupling nature

$$S = i \int dt d^2x \left[\bar{\psi} \gamma^0 (\partial_0 - iA_0) \psi - v \bar{\psi} \vec{\gamma} \cdot \vec{\partial} \psi \right] + \frac{1}{2g^2} \int dt d^3x (\partial_i A_0)^2$$



Scale transformation

$$g^2 = e^2 / \epsilon_0$$

$$t \rightarrow t/v \quad A_0 \rightarrow v A_0$$

$$S = i \int dt d^2x \left[\bar{\psi} \gamma^0 (\partial_0 - iA_0) \psi - \bar{\psi} \vec{\gamma} \cdot \vec{\partial} \psi \right] + \frac{v}{2g^2} \int dt d^3x (\partial_i A_0)^2$$

Coupling strength (“fine structure constant”)
becomes

$$\alpha_g = \frac{e^2}{4\pi v \epsilon_0} \sim 300\alpha \sim 2 \quad \longrightarrow$$

*Perturbative approach
cannot be applied.*

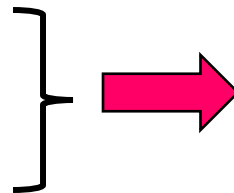
*Treated as strong coupling
U(1) gauge theory.*

Correspondence

<i>SC gauge theory</i> (e.g. QCD)	<i>Graphene</i> (low-energy)
Gauge field A_μ^a	Electromagnetic field A_μ
Coupling constant g^2	$e^2/v\epsilon$
Fermions	Electrons
Chiral condensate $\langle \bar{q}q \rangle$	Exciton cond. $\langle \bar{\psi}\psi \rangle$
Flavors N_f	Layers x Spin d.o.f.

Our approach

Lattice gauge theory
Strong coupling expansion



Properties of graphene:

Dynamical mass gap
Collective excitations

(Powerful approach in QCD)

[Nishida, Fukushima & Hatsuda, 2004]

[Miura, Nakano & Ohnishi, 2009]

Lattice regularization

Euclidean Low-energy effective theory [Son,2007]

$$S_F = \int dt d^2x \bar{\psi}_a \left[\gamma_0 (\partial_0 + iA_0) + \vec{\gamma} \cdot \vec{\partial} + m_0 \right] \psi_a$$

$$S_G = \frac{\beta}{2} \int dt d^3x (\partial_i A_0)^2$$

UV cutoff: $\Lambda = \pi/a \sim 4.36\text{keV}$

➔ Discretize on the square lattice. (with **staggered fermions**)

$$S_F = -\frac{1}{2} \sum_{x,t} \eta_0(x,t) \left[\bar{\chi}_a(x,t) e^{i\theta(x,t)} \chi_a(x,t+1) - \bar{\chi}_a(x,t+1) e^{-i\theta(x,t)} \chi_a(x,t) \right]$$

$$-\frac{1}{2} \sum_{x,t} \sum_{j=1,2} \eta_j(x,t) \left[\bar{\chi}_a(x,t) \chi_a(x+\hat{j},t) - \bar{\chi}_a(x+\hat{j},t) \chi_a(x,t) \right]$$

$$-m_0 \sum_{x,t} \bar{\chi}_a(x,t) \chi_a(x,t) \quad \mathbf{a=1,\dots,N: \text{layer index}}$$

$$S_G = \beta \sum_{x,t} \sum_{j=1,2,3} \left[1 - \frac{1}{2} \left(e^{i\theta(x,t)} e^{-i\theta(x+\hat{j},t)} + e^{-i\theta(x,t)} e^{i\theta(x+\hat{j},t)} \right) \right]$$

Link variable (compact form): $e^{i\theta} \sim 1 + iA_0$

Formulation of fermions

Tight-binding Hamiltonian:

Creation/annihilation operators $a_\sigma(\vec{r}), b_\sigma(\vec{r})$

$\sigma = \pm$: original spin d.o.f.

Low energy effective theory:

Dirac spinor form
$$\psi_\sigma(\vec{p}) = \begin{pmatrix} a_\sigma(\vec{K}_+ + \vec{p}) \\ b_\sigma(\vec{K}_+ + \vec{p}) \\ b_\sigma(\vec{K}_- + \vec{p}) \\ a_\sigma(\vec{K}_- + \vec{p}) \end{pmatrix}$$

Dirac point d.o.f.
= “*pseudospin*”

Square lattice regularization:

Staggered fermion χ

2^3 doublers = 4 (spinor d.o.f.) x 2 (spin d.o.f.)

Monolayer graphene is described by a single staggered fermion.

Leading order: $O(1)$

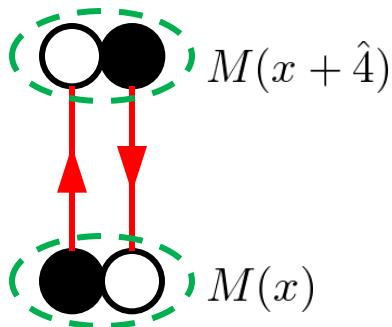
e.g.) Integration over $\theta(x)$

$$\int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \exp \left[-\frac{1}{2} (V_4^+(x) - V_4^-(x)) \right]$$

$$= \int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \left[1 - \frac{1}{2} \bar{\chi}(x) U_4(x) \chi(x + \hat{4}) \right] \left[1 + \frac{1}{2} \bar{\chi}(x + \hat{4}) U_4^\dagger(x) \chi(x) \right]$$

$$= 1 - \frac{1}{4} \bar{\chi}(x) \chi(x + \hat{4}) \bar{\chi}(x + \hat{4}) \chi(x) = \exp \left[\frac{1}{4} M(x) M(x + \hat{4}) \right]$$

$$S_\chi^0 = -\frac{1}{4} \sum_{x^{(3)}} M(x) M(x + \hat{4}) + \sum_{x^{(3)}} \left[\frac{1}{2} \sum_{j=1,2} (V_j^+(x) - V_j^-(x)) + m_* M(x) \right]$$



4-fermi term:

Local in spatial directions

Non-local in temporal direction

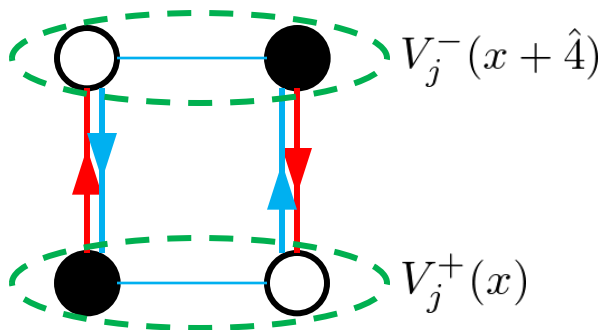
Next-leading order: $O(\beta)$

e.g.) Integration over $\theta(x)$ and $\theta(x + \hat{j})$

$$\begin{aligned}
 & \int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \int_{-\pi}^{\pi} \frac{d\theta(x + \hat{j})}{2\pi} \exp \left[-\frac{1}{2} \left(V_4^+(x) - V_4^-(x) + V_4^+(x + \hat{j}) - V_4^-(x + \hat{j}) \right) \right] \overbrace{U_4^\dagger(x) U_4(x + \hat{j})}^{\text{from plaquette}} \\
 = & \int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \int_{-\pi}^{\pi} \frac{d\theta(x + \hat{j})}{2\pi} U_4^\dagger(x) U_4(x + \hat{j}) \times \left[1 - \frac{1}{2} \bar{\chi}(x) U_4(x) \chi(x + \hat{4}) \right] \left[1 + \frac{1}{2} \bar{\chi}(x + \hat{4}) U_4^\dagger(x) \chi(x) \right] \\
 & \times \left[1 - \frac{1}{2} \bar{\chi}(x + \hat{j}) U_4(x + \hat{j}) \chi(x + \hat{j} + \hat{4}) \right] \left[1 + \frac{1}{2} \bar{\chi}(x + \hat{j} + \hat{4}) U_4^\dagger(x + \hat{j}) \chi(x + \hat{j}) \right] \\
 = & -\frac{1}{4} \bar{\chi}(x) \chi(x + \hat{4}) \bar{\chi}(x + \hat{j} + \hat{4}) \chi(x + \hat{j}) = \frac{1}{4} V_j^+(x) V_j^-(x + \hat{4})
 \end{aligned}$$

$$\Delta S_\chi = \frac{\beta}{8} \sum_{x^{(3)}} \sum_{j=1,2} \left[V_j^+(x) V_j^-(x + \hat{4}) + V_j^-(x) V_j^+(x + \hat{4}) \right]$$

$j=3$ does not contribute.



4-fermi term:

Non-local both in spatial
and temporal directions

Mean-field approximation

Mean-field approximation:

$$\phi(x) \equiv \phi_\sigma + i\epsilon(x)\phi_\pi$$

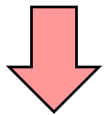
Scalar

$$M(x) = \bar{\chi}(x)\chi(x)$$

Pseudoscalar

$$P(x) = \bar{\chi}(x)i\epsilon(x)\chi(x)$$

Integrate out fermion bilinear.



Effective potential:

$$F_{\text{eff}}(\phi, \lambda) = -\frac{1}{N_s^2 N_\tau} \ln Z(\phi, \lambda)$$

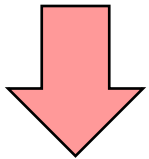
$$= \frac{1}{4}|\phi|^2 + \frac{\beta}{2}|\lambda|^2 - \frac{1}{2} \int_{\vec{k}} \ln \left[\left| \frac{\phi}{2} - m_* \right|^2 + \left(1 + \frac{\beta\lambda_2}{2} \right)^2 \sum_{j=1,2} \sin^2 \left(k_j - \frac{\beta\lambda_1}{2} \right) \right]$$

Stationary condition

By solving the **stationary condition** for λ , it is rewritten as a function of ϕ :

$$\frac{\partial F_{\text{eff}}(\phi, \lambda)}{\partial \lambda_1} = 0 \quad \Rightarrow \quad \lambda_1 = 0 + O(\beta)$$

$$\frac{\partial F_{\text{eff}}(\phi, \lambda)}{\partial \lambda_2} = 0 \quad \Rightarrow \quad \lambda_2 = \int_{\vec{k}} \frac{\sin^2 k_i}{|\phi/2 - m_*|^2 + \sum_j \sin^2 k_j} + O(\beta)$$



Effective potential:

$$F_{\text{eff}}(\phi) = -\frac{1}{N_s^2 N_\tau} \ln Z(\phi)$$

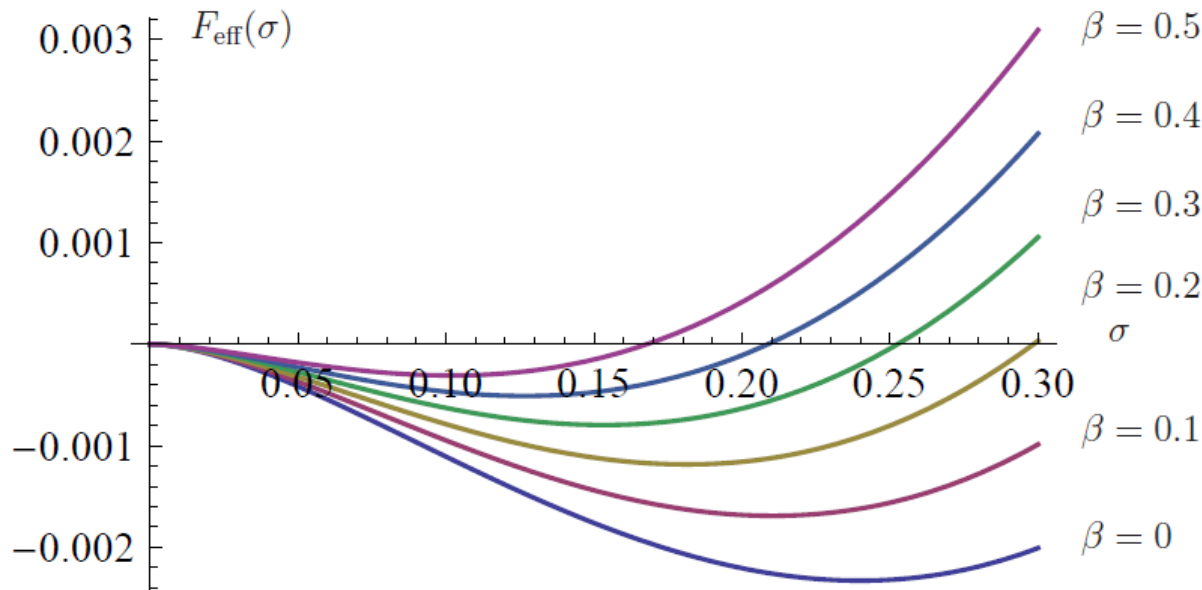
$$G^{-1}(\vec{k}; \phi) \equiv \sum_{j=1,2} \sin^2 k_j + \underbrace{\left| m_* - \frac{\phi}{2} \right|^2}_{\text{“effective mass”}}$$

$$= \frac{1}{4} |\phi|^2 - \frac{1}{2} \int_{\vec{k}} \ln \left[G^{-1}(\vec{k}; \phi) \right] - \frac{\beta}{4} \sum_{j=1,2} \left[\int_{\vec{k}} G(\vec{k}; \phi) \sin^2 k_j \right] + O(\beta^2)$$

Effective potential with varying β

At the chiral limit, the effective potential is

$$F_{\text{eff}} = \frac{\sigma^2}{4} - \frac{2}{L^2} \sum_k \ln \left[\frac{\sigma^2}{4} + \sum_j \sin^2 k_j \right] - \frac{\beta}{2} \left[\frac{4}{L^2} \sum_k \frac{\sin^2 k_1}{(\sigma/2)^2 + \sum_j \sin^2 k_j} \right]^2$$



Solving the stationary condition analytically, we obtain

$$\sigma(\beta) = 0.24 - 0.30\beta + O(\beta^2) \quad (\beta \ll 1)$$

Non-compact link integration

e.g.) Integration over $\theta(x)$ and $\theta(x + \hat{j})$

From non-compact plaquette

$$\begin{aligned}
 & \int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \int_{-\pi}^{\pi} \frac{d\theta(x + \hat{j})}{2\pi} \exp \left[-\frac{1}{2} \left(V_4^+(x) - V_4^-(x) + V_4^+(x + \hat{j}) - V_4^-(x + \hat{j}) \right) \right] \overbrace{\theta(x)\theta(x + \hat{j})} \\
 &= \int_{-\pi}^{\pi} \frac{d\theta(x)}{2\pi} \theta(x) \left[\cancel{1} - \frac{1}{2} \bar{\chi}(x) e^{i\theta(x)} \chi(x + \hat{4}) + \frac{1}{2} \bar{\chi}(x + \hat{4}) e^{-i\theta(x)} \chi(x) + \cancel{\frac{1}{4} M(x) M(x + \hat{4})} \right] \\
 & \quad \times \int_{-\pi}^{\pi} \frac{d\theta(x + \hat{j})}{2\pi} \dots \quad \left(\int_{-\pi}^{\pi} \frac{d\theta}{2\pi} \theta e^{\pm i\theta} = \pm i \right) \\
 &= \frac{1}{4} [V_j^+(x) V_j^-(x + \hat{4}) + V_j^-(x) V_j^+(x + \hat{4})] + (\text{irrelevant terms})
 \end{aligned}$$

One plaquette also works as its c.c.


 The NLO effect is **doubled**: $\Delta S_{\chi}^{NC} = 2 \times \Delta S_{\chi}^C$

With non-compact formulation, $\sigma(\beta)$ drops twice as fast as that with compact formulation.