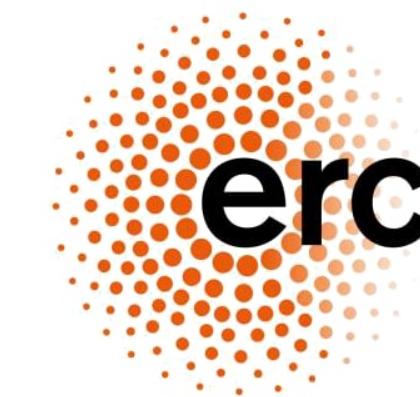


# Light nuclei production and connections to astrophysics



CosmicAntiNuclei



European Research Council  
Established by the European Commission

**Luca Barioglio**

Technische Universität München

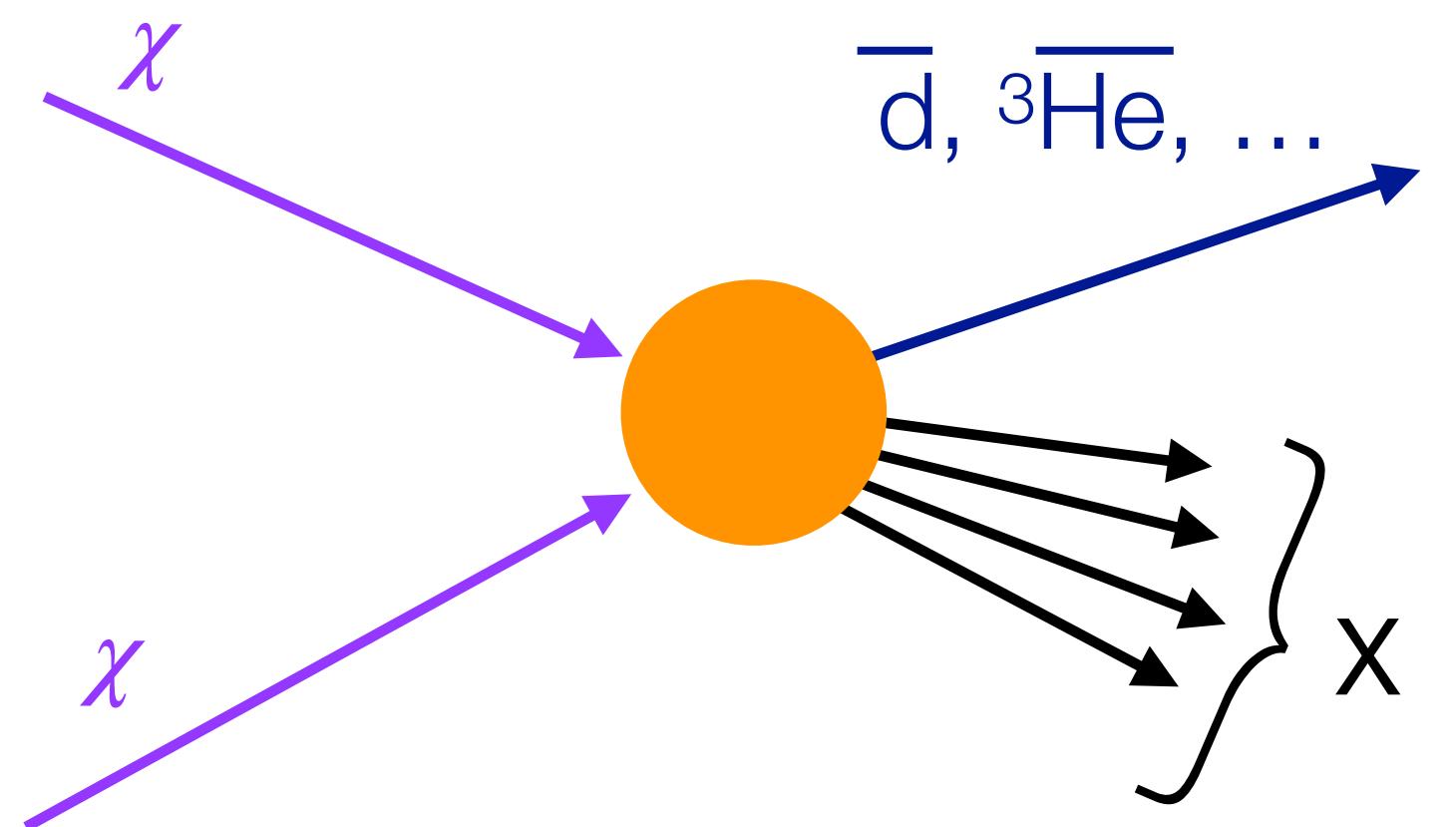


Terzo Incontro di Fisica con Ioni Pesanti alle Alte Energie 2021

Padova - 26/11/2021

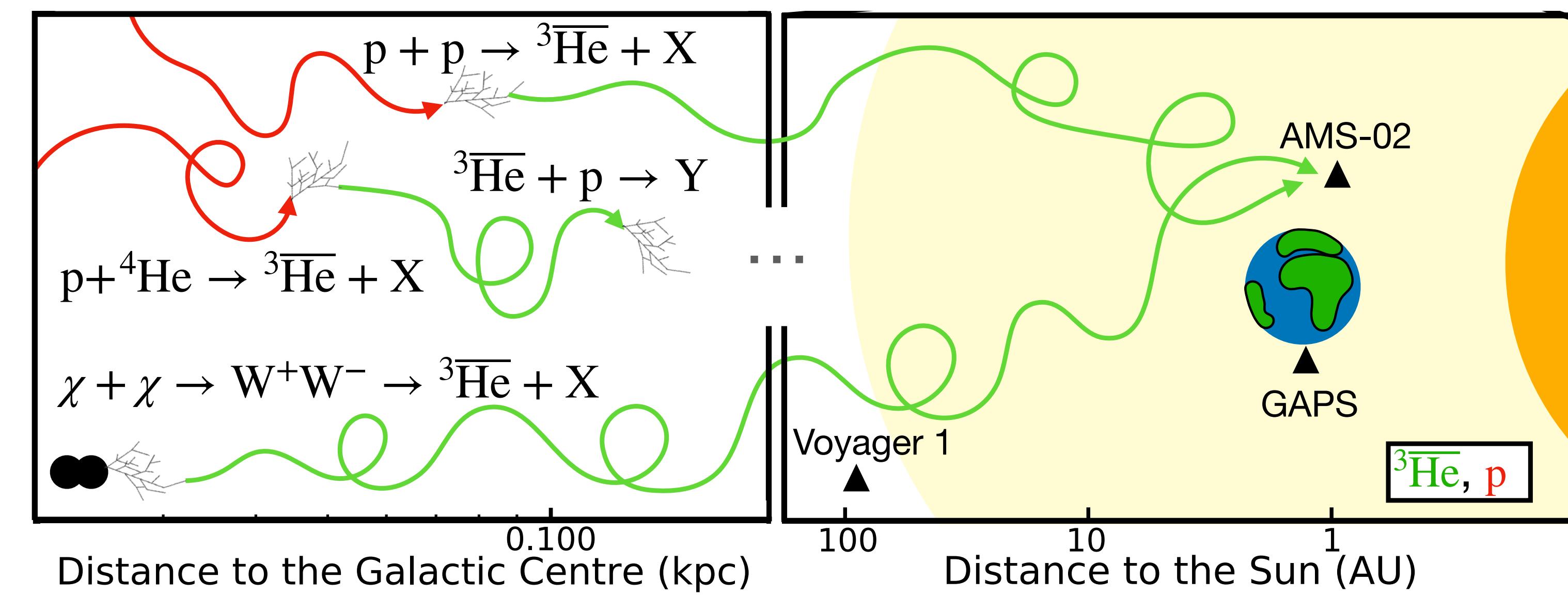
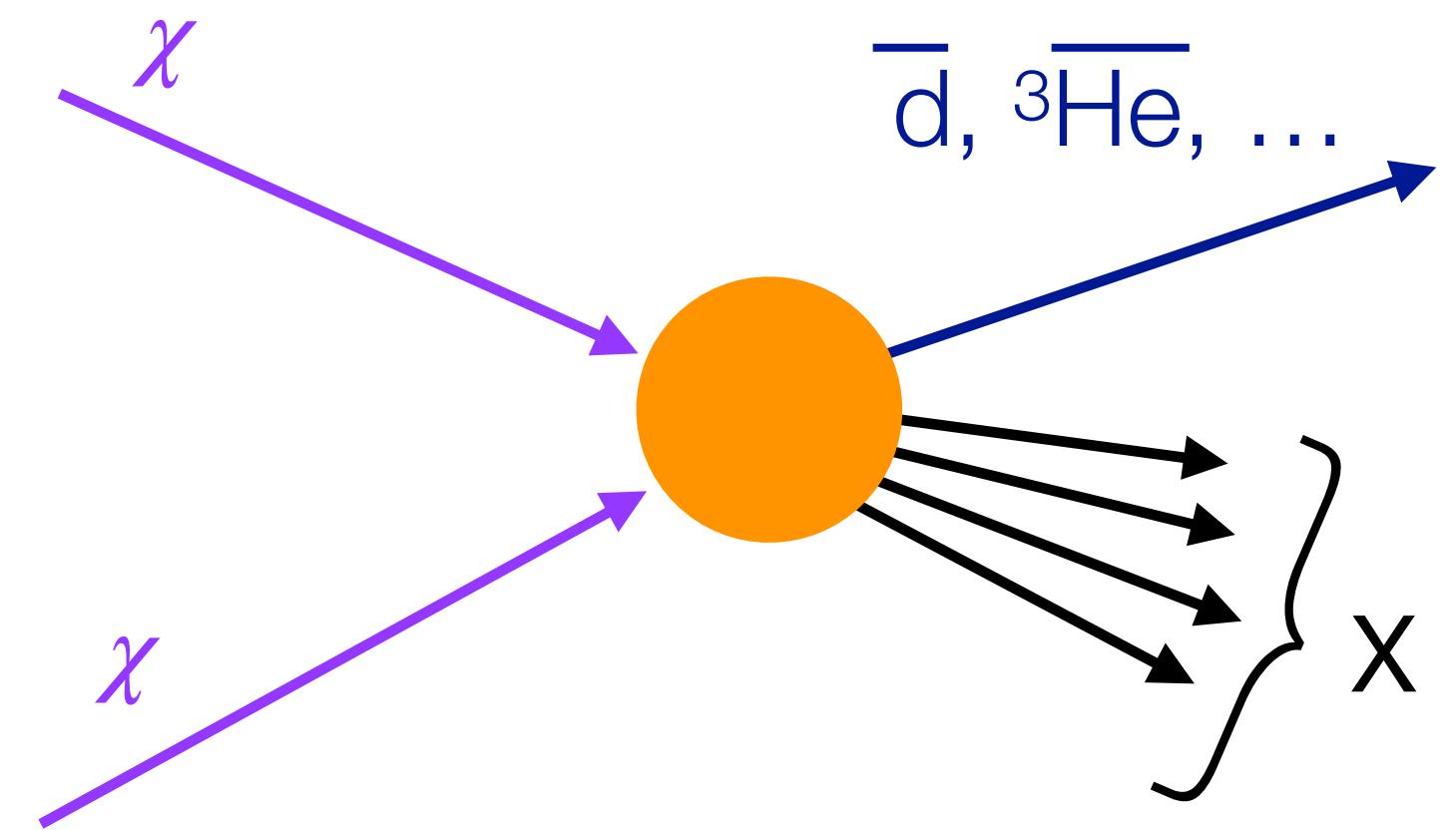
# Antinuclei as dark matter probe

- Antinuclei are scarcely produced in space:
  - they have been considered for a long time a smoking gun of dark matter annihilation



# Antinuclei as dark matter probe

- Antinuclei are scarcely produced in space:
  - they have been considered for a long time a smoking gun of dark matter annihilation
- Background is present:
  - Secondary production in the interaction of CRs with the ISM (pp, p-A collisions with small  $Z$ )
- Production, propagation and annihilation of antinuclei must be properly described



# Antinuclei in cosmic rays

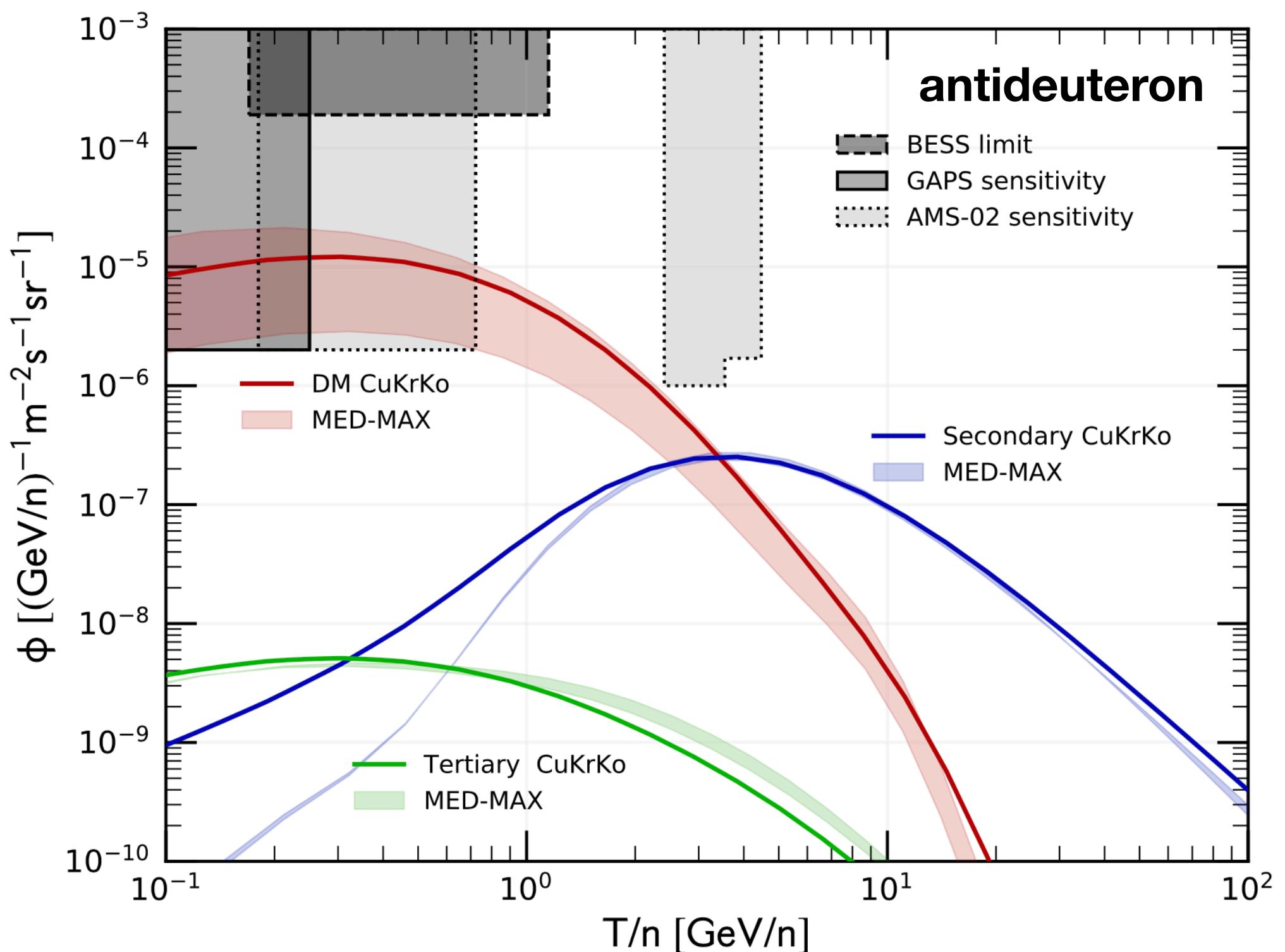
$$q_{\bar{A}} = \nabla \cdot (-K \nabla N_{\bar{A}} + V_c N_{\bar{A}}) + \partial_t (b_{tot} N_{\bar{A}} - K_{EE} \partial_t N_{\bar{A}}) + \Gamma_{ann} N_{\bar{A}}$$

## Source

- **Low-energy** is the most interesting region:
  - Signal/Background  $\sim 10^4$
- Each term of the transport equation has uncertainties:
  - **Source**: from production mechanism of antinuclei
  - **Propagation**: convection, diffusion, magnetic fields, solar modulation
  - **Annihilation**: absorption cross section of antinuclei

## Propagation

## Annihilation



[Phys.Rev.D 97 \(2018\) 10, 103011](#)

# Antinuclei in cosmic rays

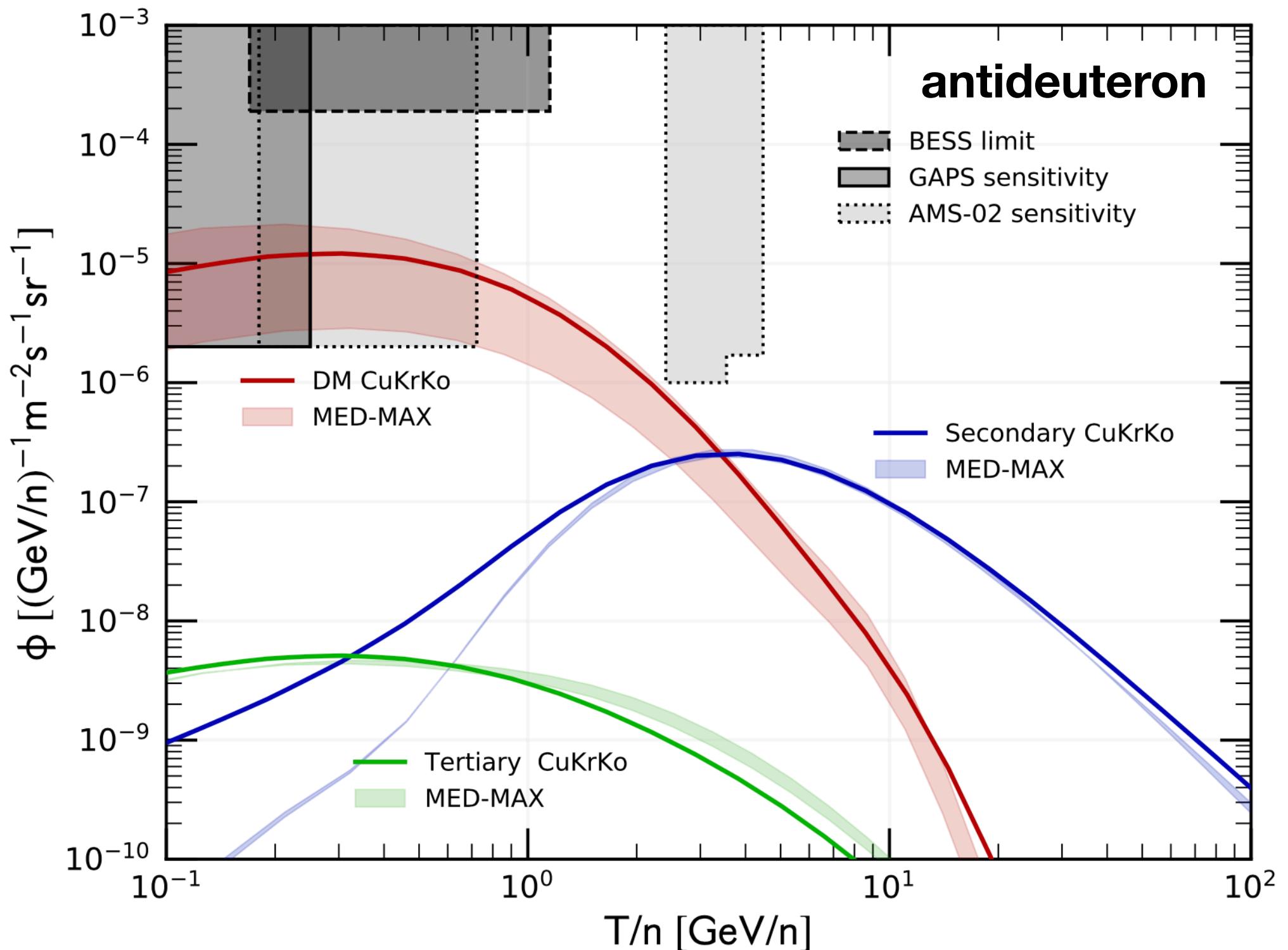
$$q_{\bar{A}} = \nabla \cdot (-K \nabla N_{\bar{A}} + V_c N_{\bar{A}}) + \partial_t (b_{tot} N_{\bar{A}} - K_{EE} \partial_t N_{\bar{A}}) + \Gamma_{ann} N_{\bar{A}}$$

## Source

- **Low-energy** is the most interesting region:
  - Signal/Background  $\sim 10^4$
- Each term of the transport equation has uncertainties:
  - **Source**: from **production mechanism** of antinuclei
  - **Propagation**: convection, diffusion, magnetic fields, solar modulation
  - **Annihilation**: **absorption cross section** of antinuclei
- Production and annihilation can be **constrained with experiments** at Earth

## Propagation

## Annihilation



[Phys.Rev.D 97 \(2018\) 10, 103011](#)

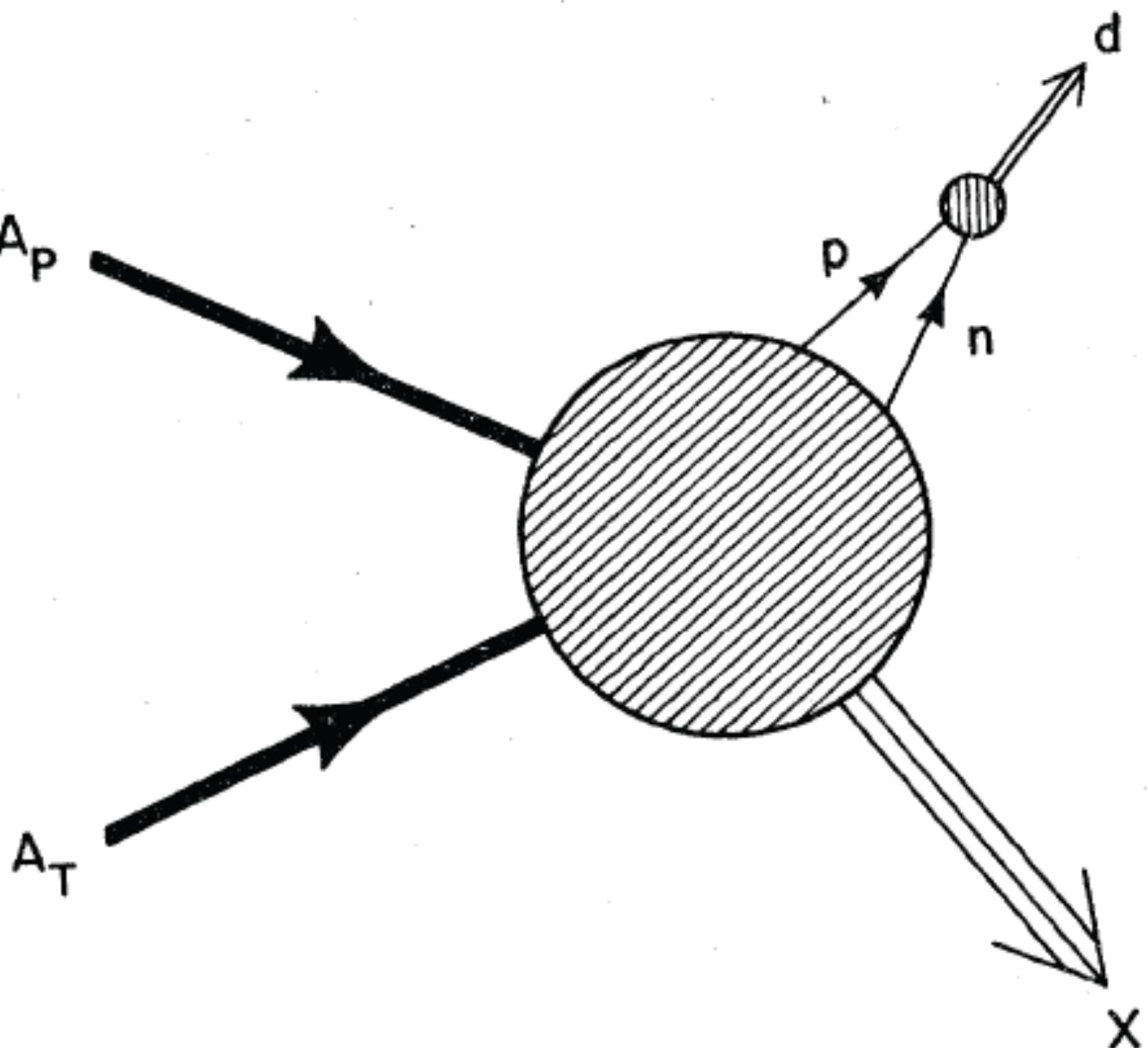
# Coalescence model

- Concept introduced in the 60s:
  - ▶ Butler & Pearson, Phys. Rev. 129, 836 (1963),  
Schwarzschild & Zupančič, Phys. Rev. 129, 854 (1963)
- Nucleons **close in phase space** at the **freeze-out** can form a nucleus via **coalescence**
- Coalescence parameter  $\mathbf{B}_A$ :

$$B_A = E_A \frac{d^3 N_A}{dp_A^3} \Bigg/ \left( E_p \frac{d^3 N_p}{dp_p^3} \right)^A$$

where:

- A is the mass number of the nucleus
- $p_p = p_A / A$



J. I. Kapusta, Phys.Rev.C 21 (1980)

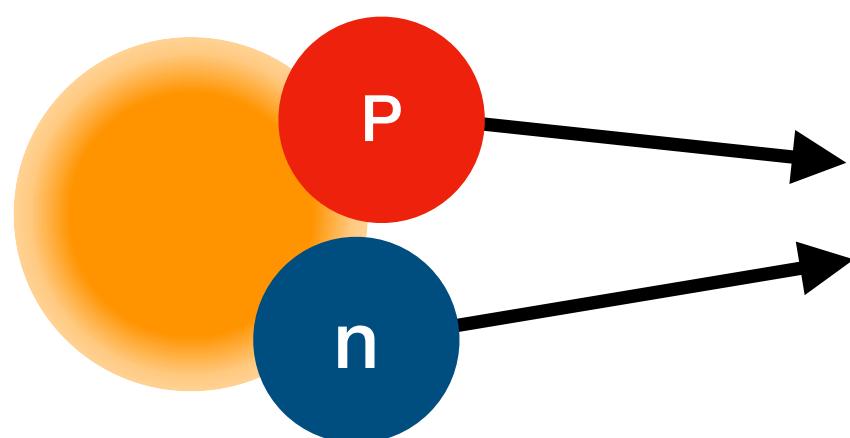
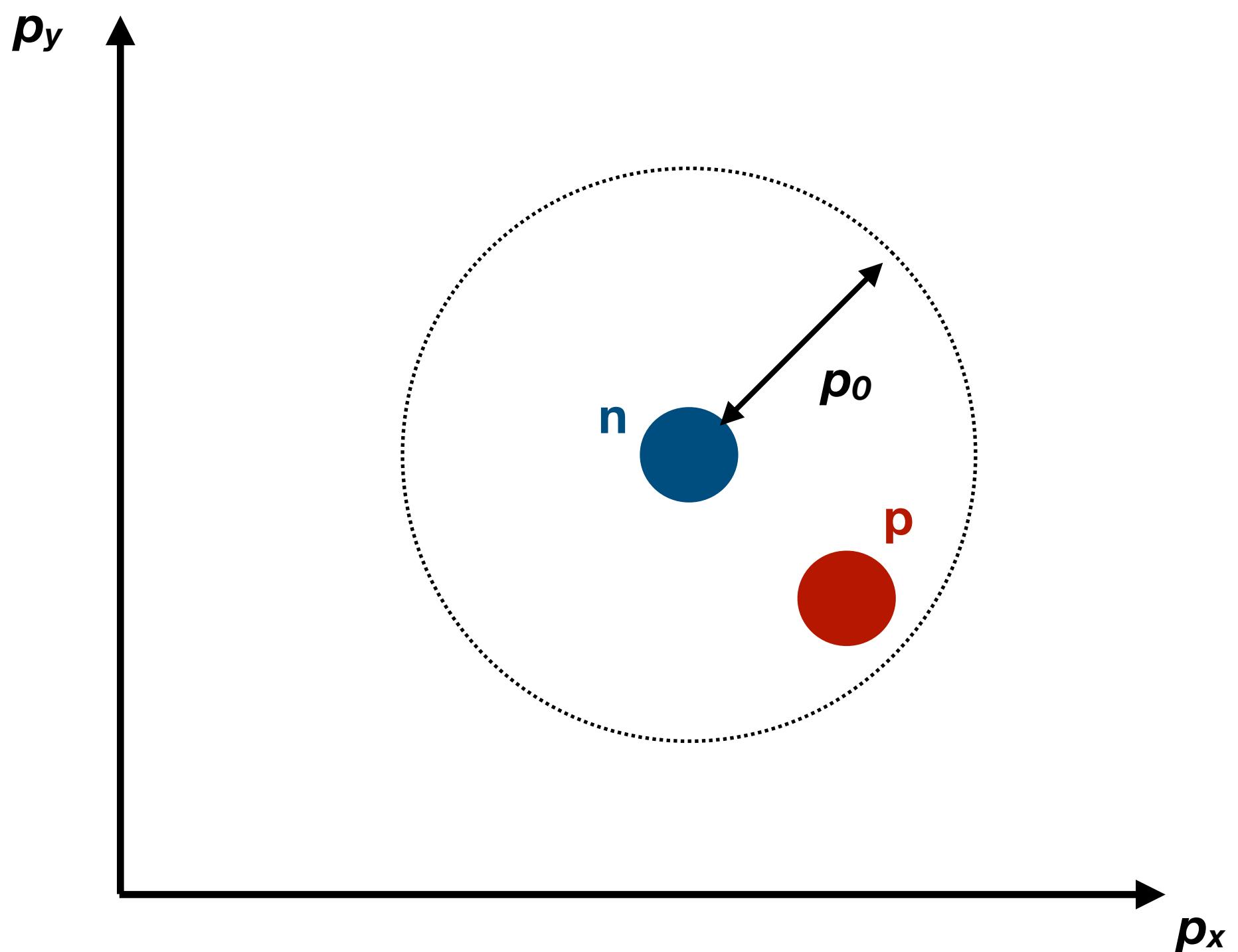
- $\mathbf{B}_A$  is related to the **probability** to form a nucleus via coalescence
- **Different implementations** of coalescence model

# Simple coalescence model

- Hypotheses:
  - ▶ **No space-time** distribution of the nucleons considered
  - ▶ Nucleons with similar momentum ( $\Delta p < p_0$ ) form a nucleus

$$B_A = \left( \frac{4\pi}{3} p_0^3 \right)^{A-1} \frac{m_A}{m_p^A}$$

- Consequences:
  - ▶  $B_A$  vs  $p_T$  is **flat**
- Applications:
  - ▶ **pp collisions:** small volume (comparable with nucleus size)  
→ nucleons are always close to each other

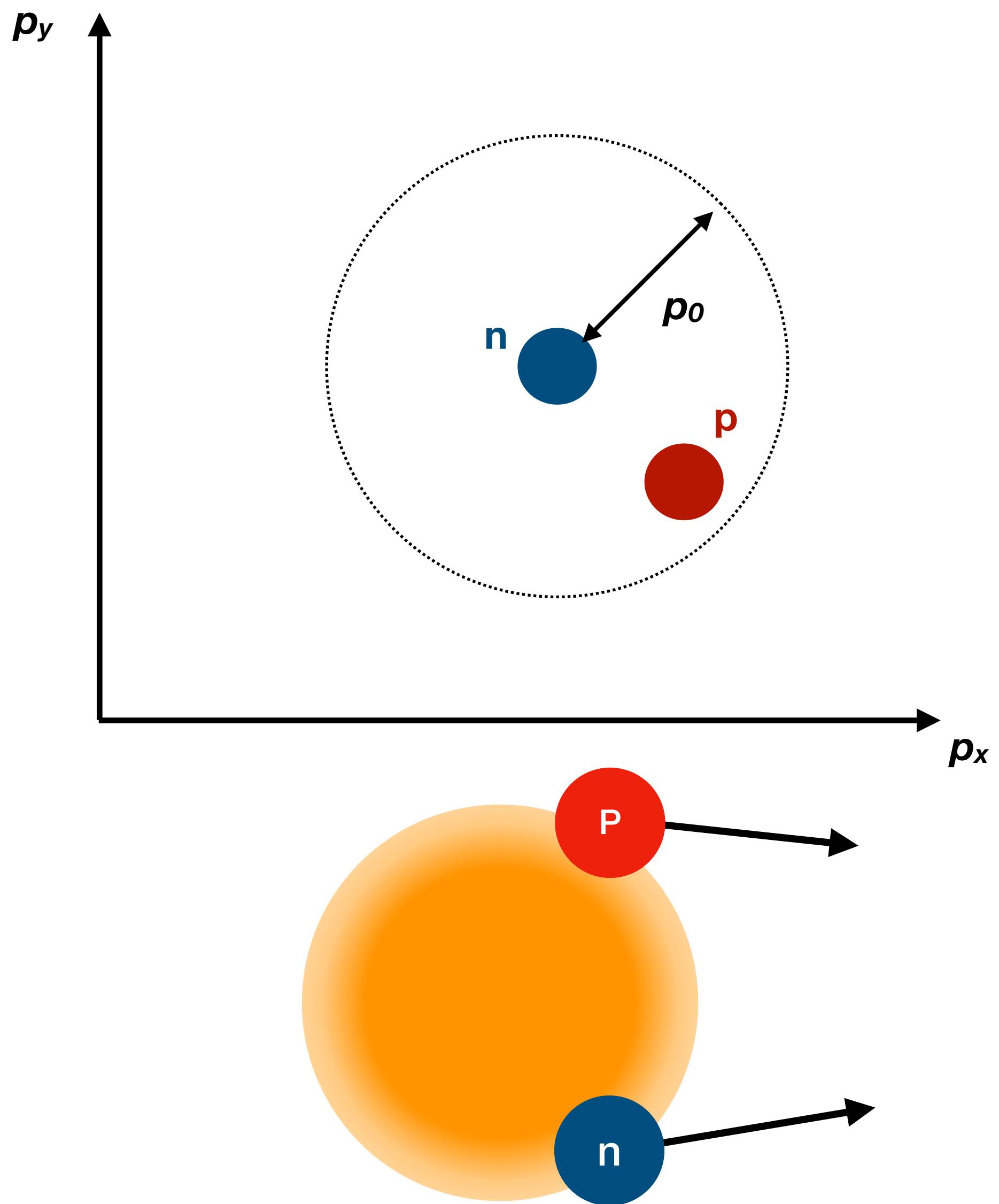


# Simple coalescence model

- Hypotheses:
  - ▶ **No space-time** distribution of the nucleons considered
  - ▶ Nucleons with similar momentum ( $\Delta p < p_0$ ) form a nucleus

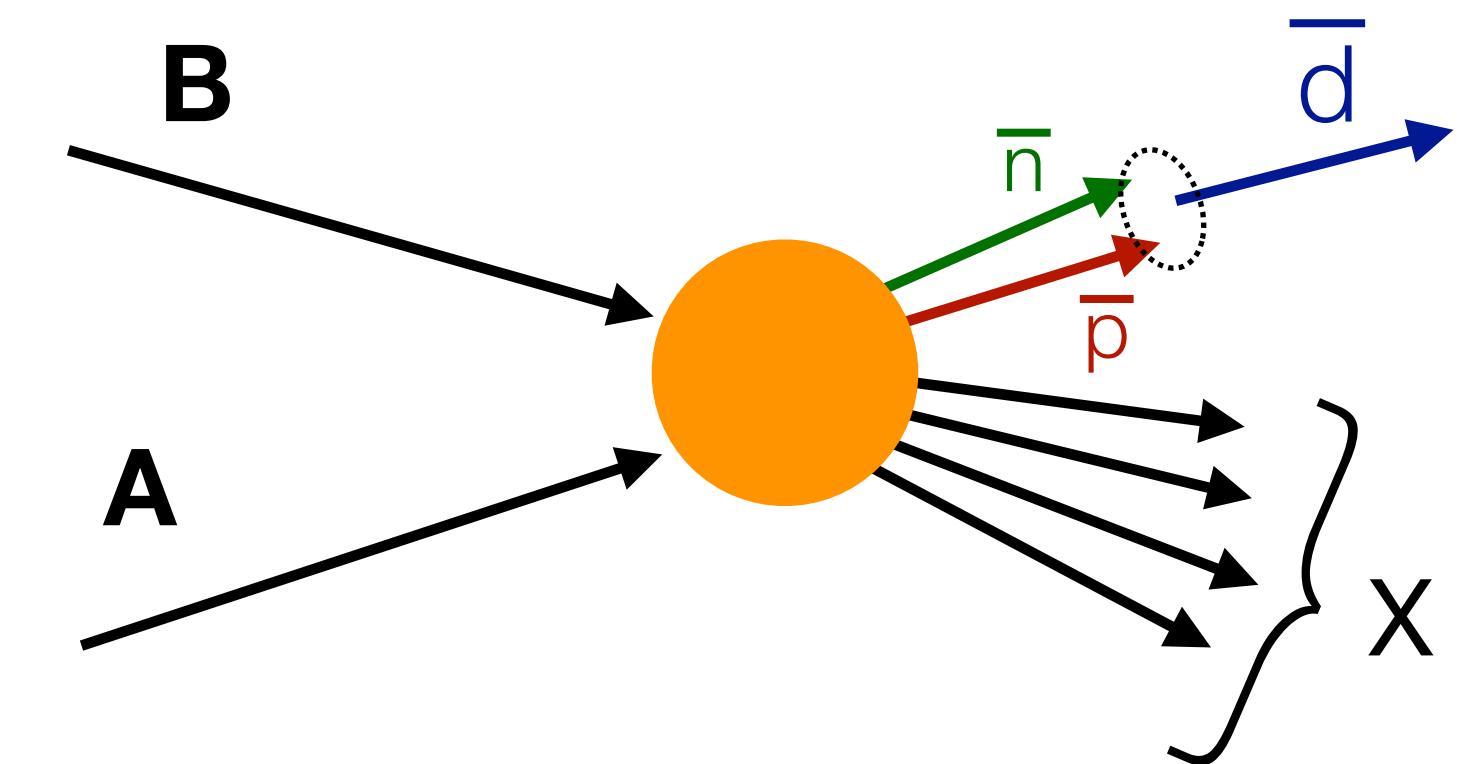
$$B_A = \left( \frac{4\pi}{3} p_0^3 \right)^{A-1} \frac{m_A}{m_p^A}$$

- Consequences:
  - ▶  $B_A$  vs  $p_T$  is **flat**
- Applications:
  - ▶ **pp collisions:** small volume (comparable with nucleus size)  
→ nucleons are always close to each other
  - ▶ **X Pb-Pb collisions:** large volume → space-time distribution must be considered



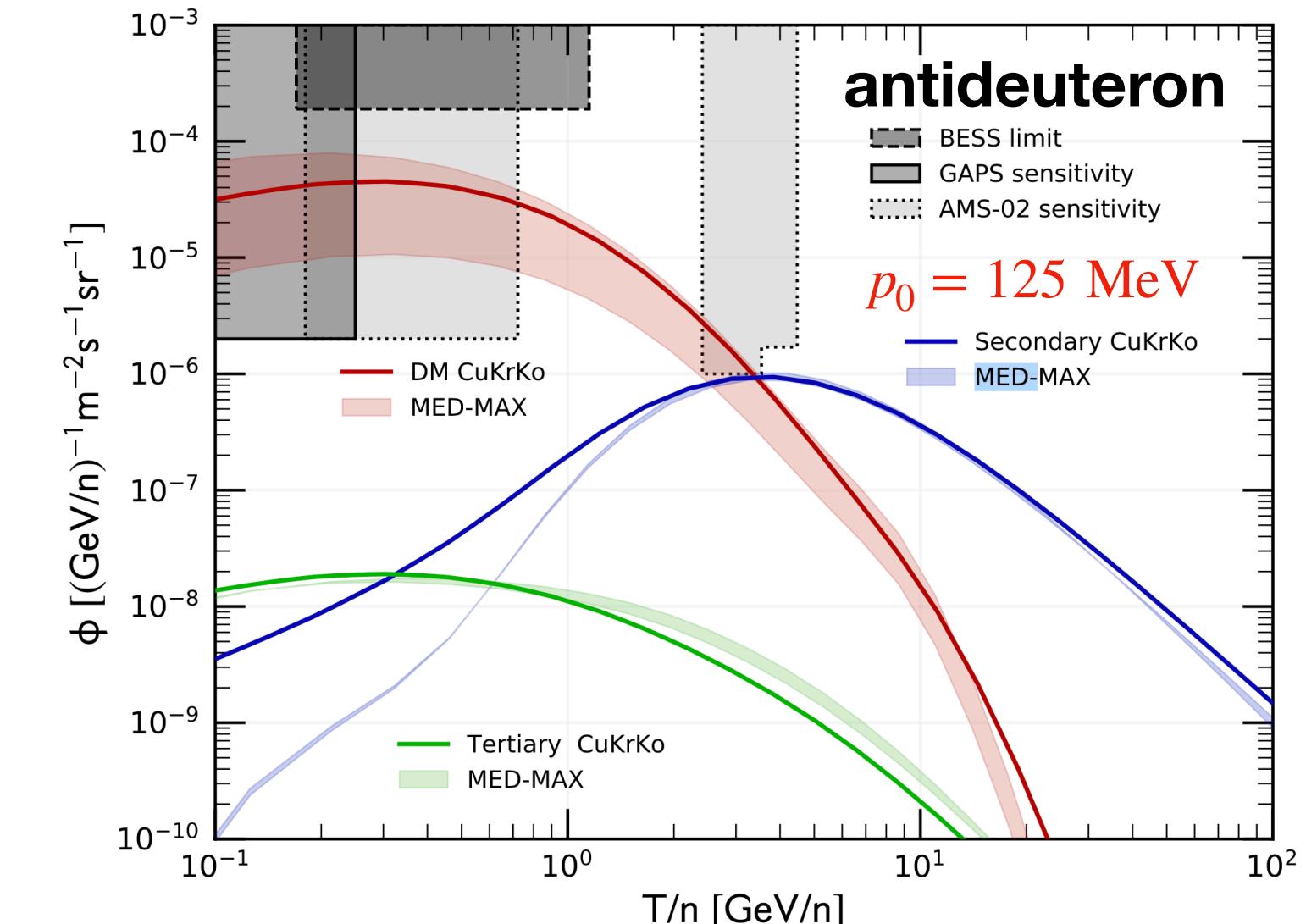
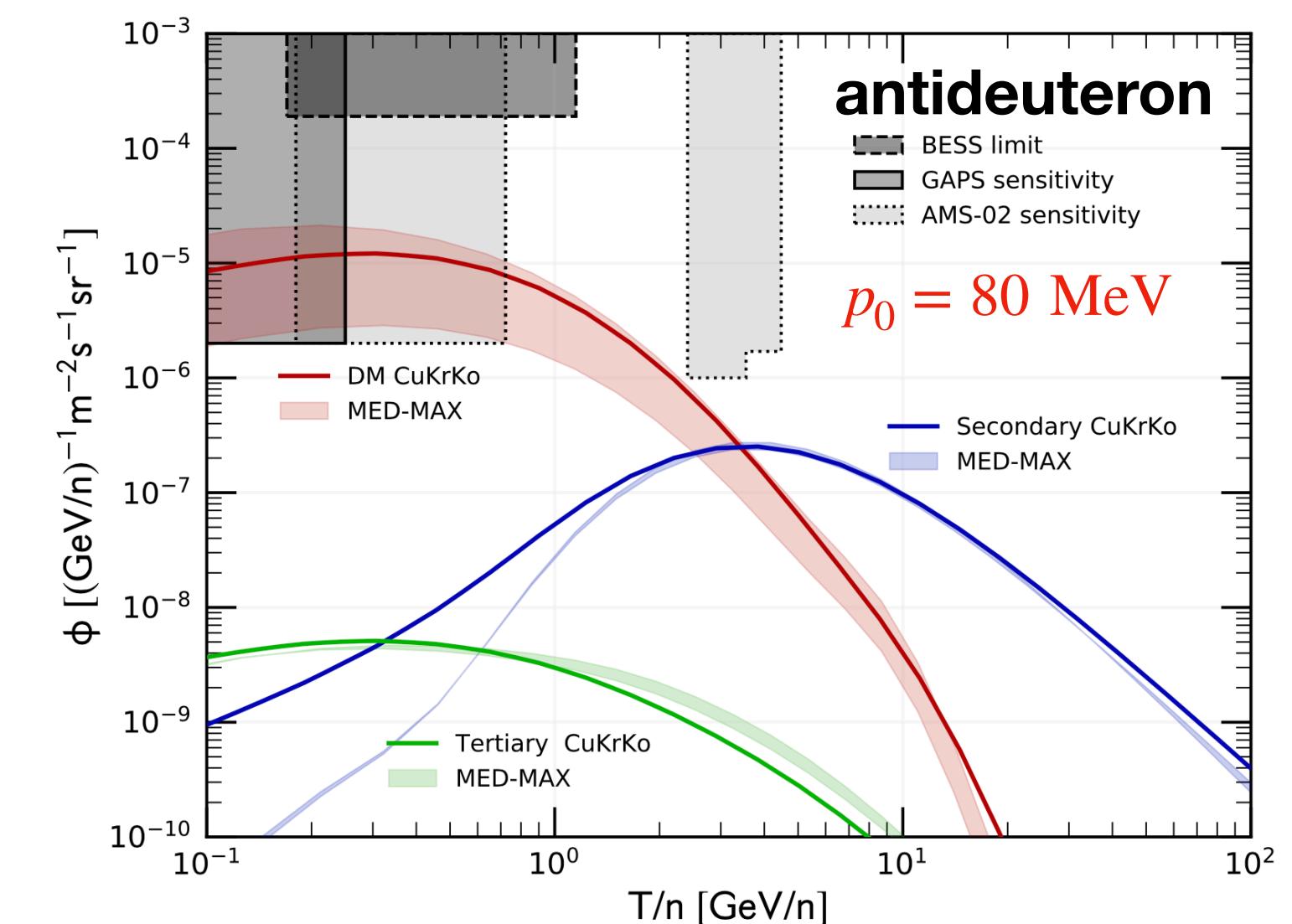
# Application of simple coalescence

- Production of antinuclei (both from DM and secondary):
  - antinucleons in final state can form antinuclei via coalescence



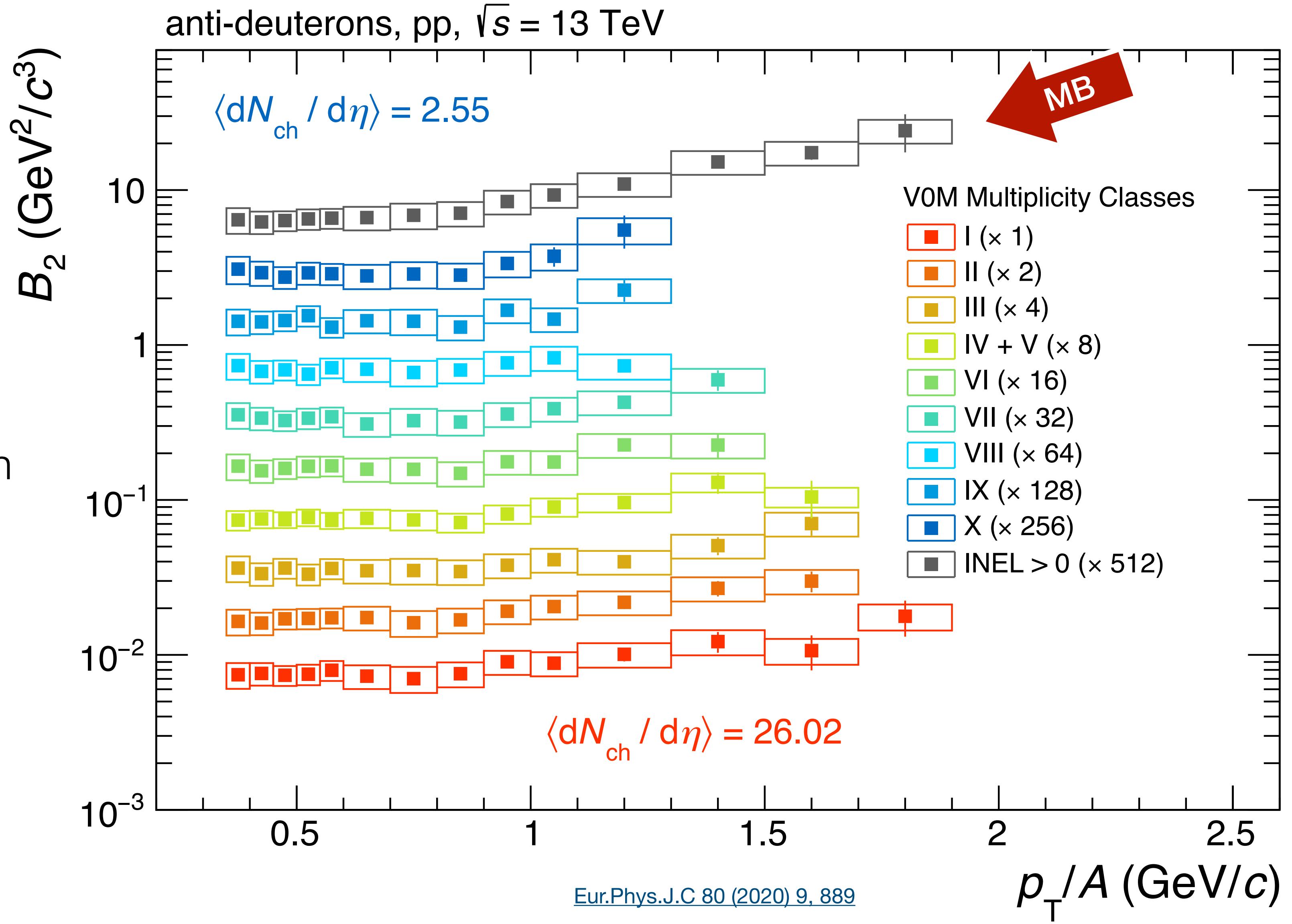
- Simple coalescence:
  - easy to implement in MC afterburners
- $\times$   $p_0$  must be constrained from experiments
- The production model has a large effect on fluxes
  - Important to provide a precise description

$$B_A = \left( \frac{4\pi}{3} p_0^3 \right)^{A-1} \frac{m_A}{m_p^A}$$



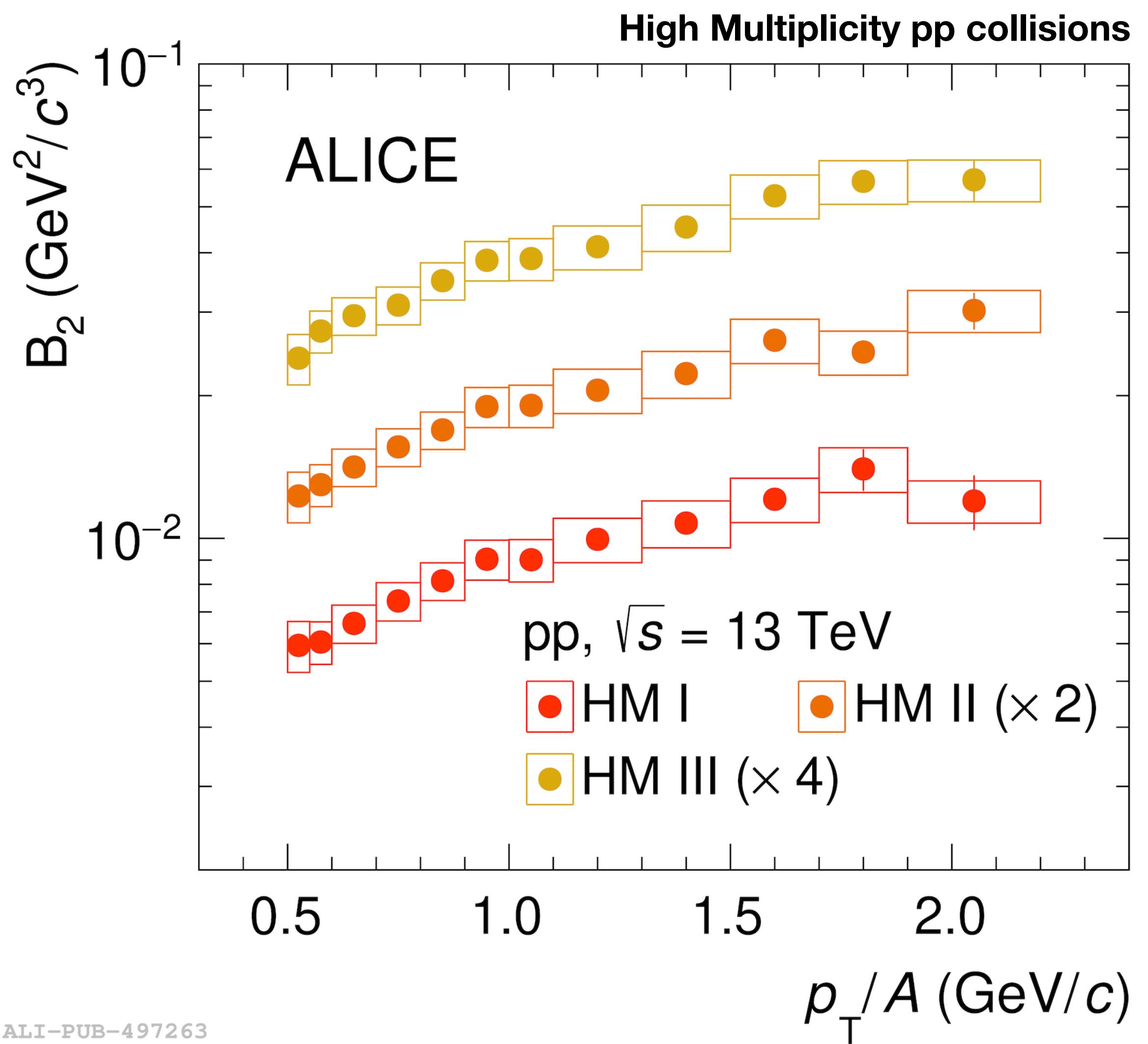
# $B_2$ vs $p_T$ in pp collisions

- $B_2$  vs  $p_T$  in **multiplicity** classes:
  - No significant deviations from a **flat** behaviour
- $B_2$  vs  $p_T$  in **MB** analysis:
  - **rise** with  $p_T$
  - due to the hardening of the proton spectra with multiplicity



# $B_2$ vs $p_T$ in pp collisions

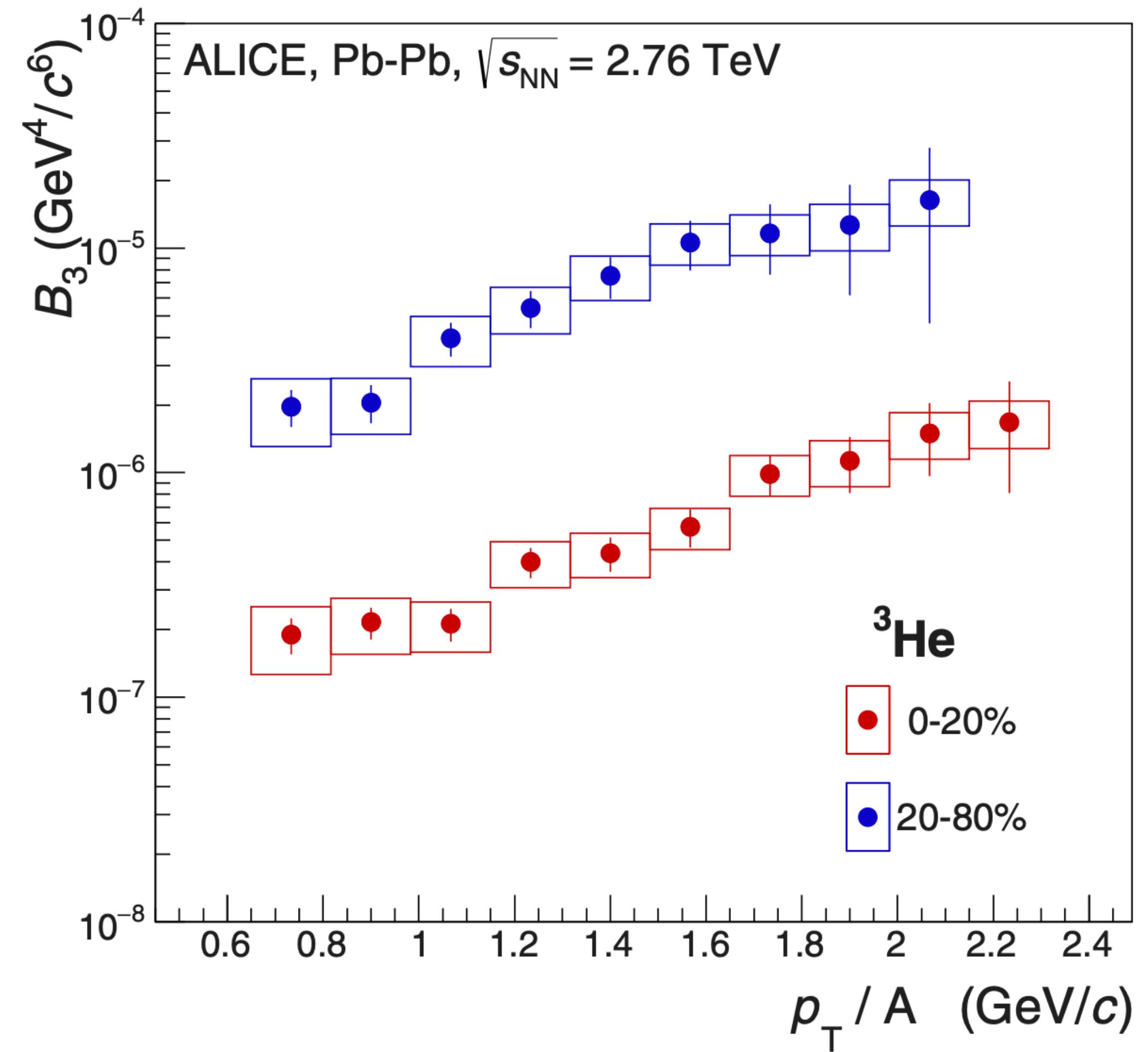
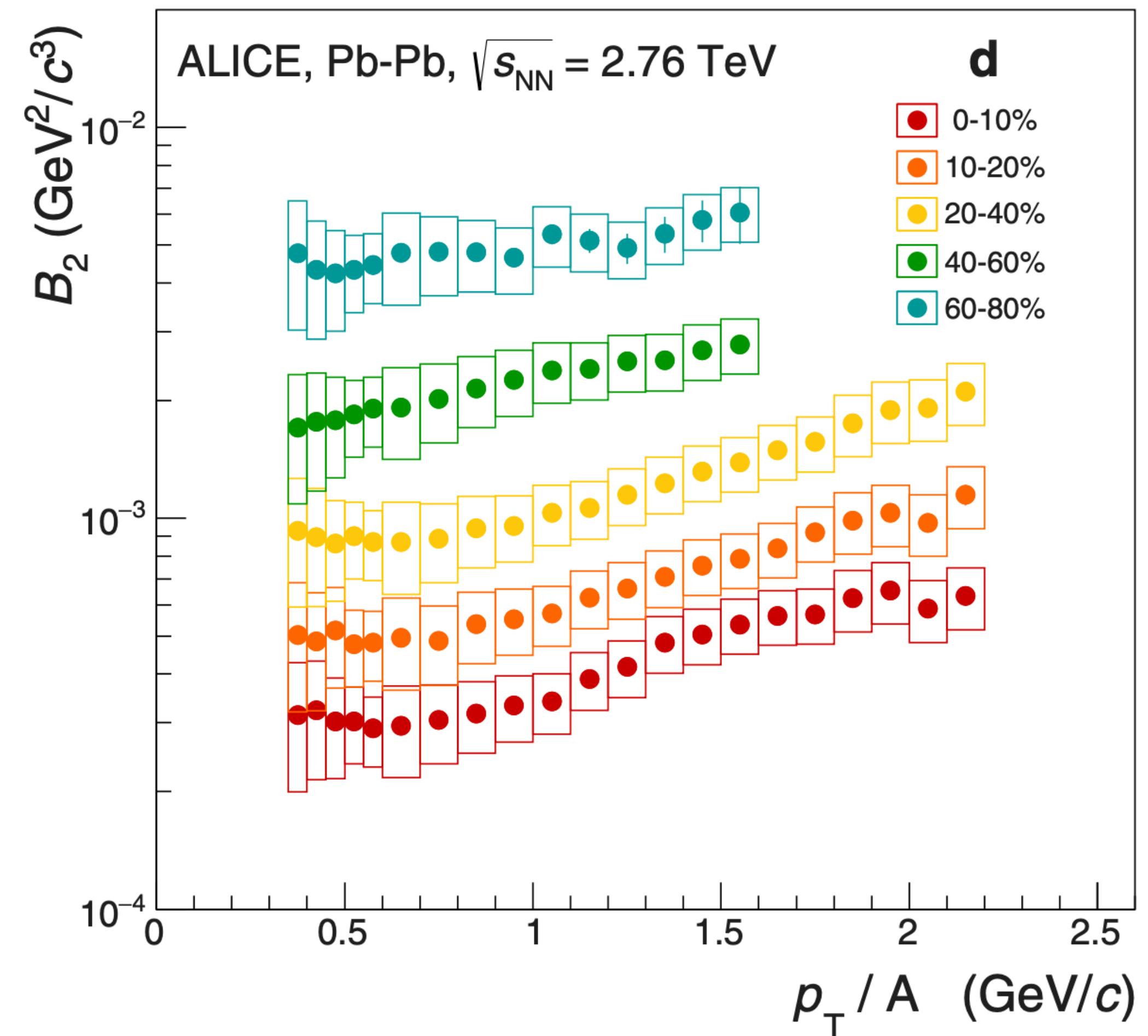
- $B_2$  vs  $p_T$  in **multiplicity** classes:
  - No significant deviations from a **flat** behaviour
- $B_2$  vs  $p_T$  in **MB** analysis:
  - **rise** with  $p_T$
  - due to the hardening of the proton spectra with multiplicity
- $B_2$  vs  $p_T$  in **HM** pp collisions
  - **rise** with  $p_T$ , even in fine multiplicity classes



ALI-PUB-497263

<https://arxiv.org/abs/2109.13026>

# $B_A$ in Pb-Pb collisions



- $B_A$  increases with  $p_T$  in Pb-Pb collisions

[Phys.Rev.C 93 \(2016\) 2, 024917](#)

# An advanced coalescence model

- **Space-time** distribution of nucleons must be considered
- Theoretical predictions<sup>1</sup> consider the correlation between antinucleons

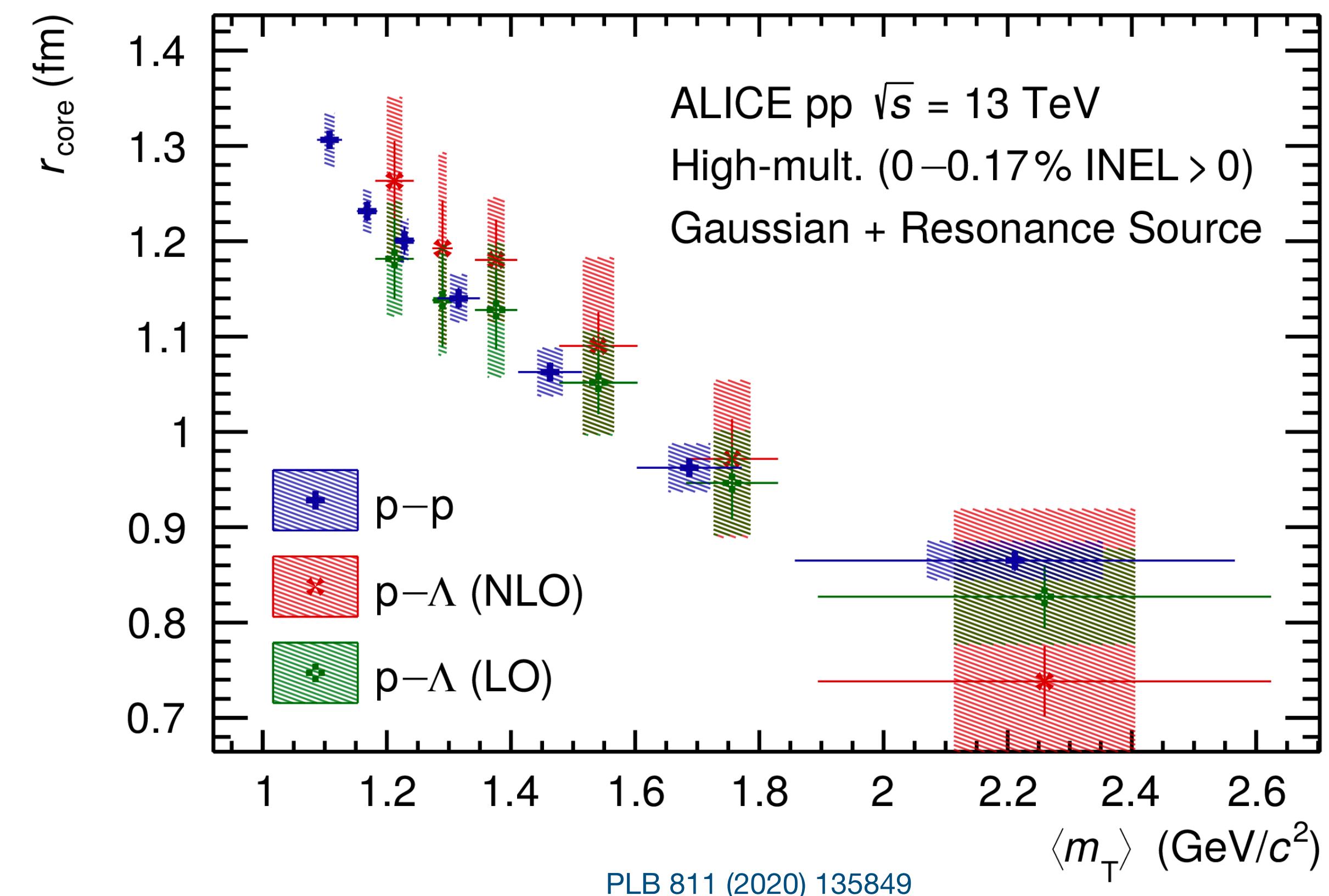
$$B_2(R) \approx \frac{3}{2m} \int d^3q D(\vec{q}) e^{-R(p_T)^2 q^2}$$

<sup>1</sup>[PRC 99 \(2019\) 044913](#)

- ▶  $D(\vec{q}) = \int d^3r |\phi_d(\vec{r})|^2 e^{-i\vec{q}\cdot\vec{r}}$  is the **antideuteron density**
  - $\phi_d(\vec{r})$  is the antideuteron **wave function**
- ▶ The source size  $R$  is a function of the antideuteron  $p_T$
- ALICE measured the **source size** and  $B_A$  in the same data sample (HM pp collisions)

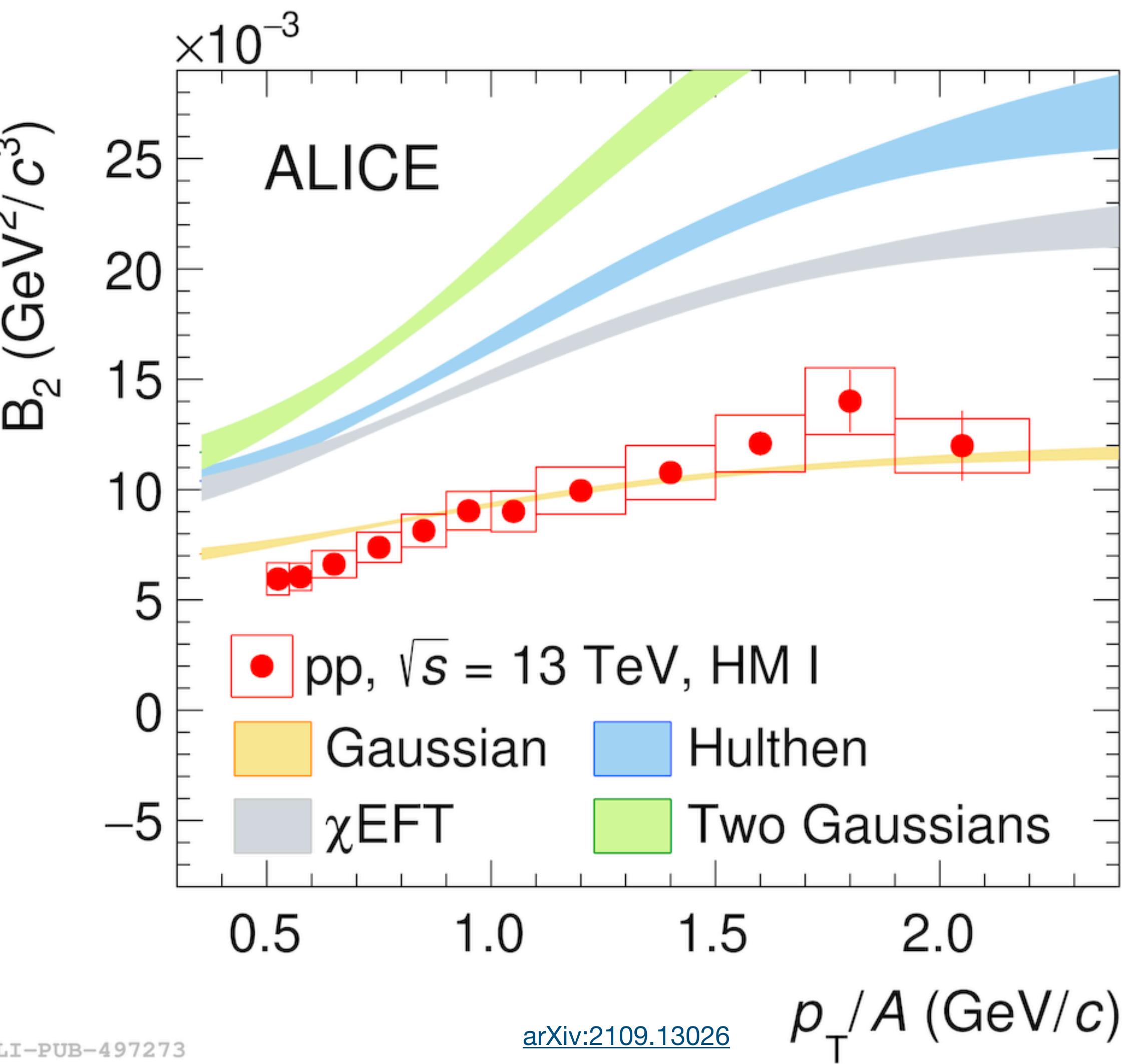
# $B_2$ vs $p_T/A$

- Through **femtoscopy**, one can obtain  $\mathbf{R}(p_T)$



# $B_2$ vs $p_T/A$

- Through **femtoscopy**, one can obtain  $\mathbf{R}(p_T)$
- Putting pieces together, it is possible to get  $\mathbf{B}_2(p_T)$ 
  - test for different wave function hypotheses
- A simple **Gaussian** provides the best description of the data
  - Hulthen is expected to provide a better description



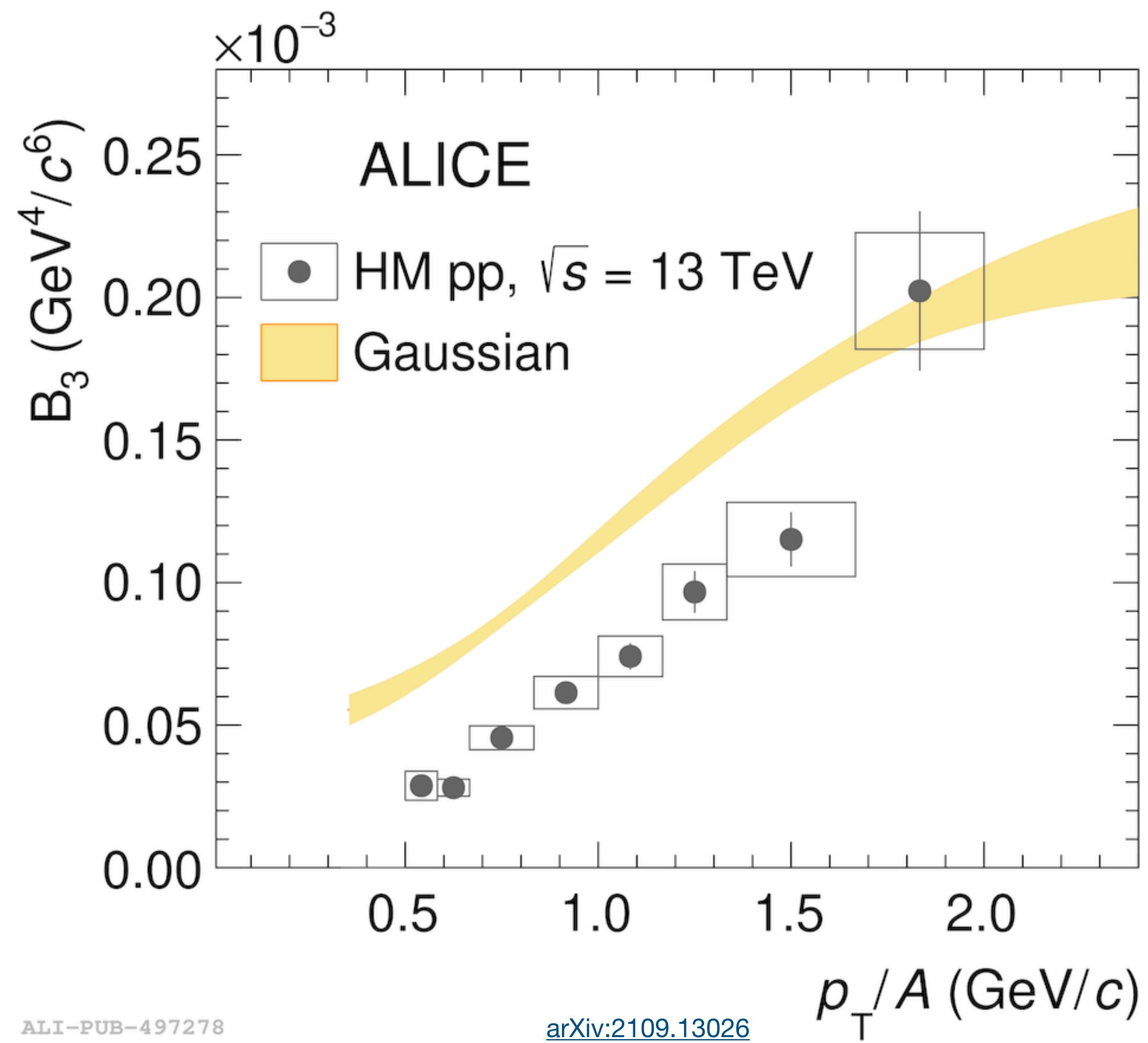
ALI-PUB-497273

[arXiv:2109.13026](https://arxiv.org/abs/2109.13026)

$p_T/A (\text{GeV}/c)$

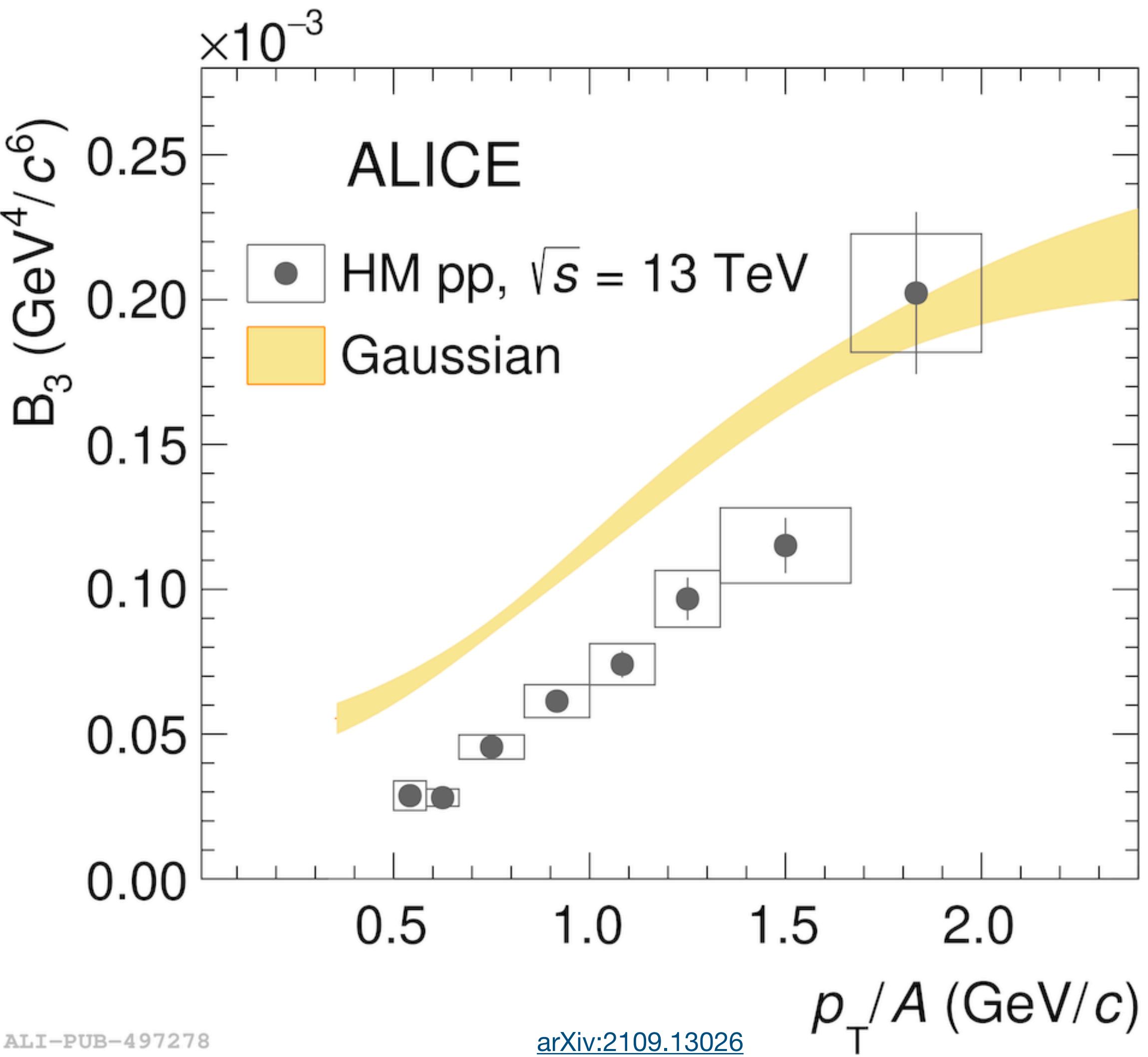
# $B_2$ vs $p_T/A$

- Through **femtoscopy**, one can obtain  $\mathbf{R}(p_T)$
- Putting pieces together, it is possible to get  $\mathbf{B}_2(p_T)$ 
  - test for different wave function hypotheses
- A simple **Gaussian** provides the best description of the data
  - Hulthen is expected to provide a better description
- The same approach can be used to obtain predictions for  $\mathbf{B}_3(p_T)$ 
  - reasonably good description with a Gaussian wave function



# $B_2$ vs $p_T/A$

- Through **femtoscopy**, one can obtain  $\mathbf{R}(p_T)$
- Putting pieces together, it is possible to get  $\mathbf{B}_2(p_T)$ 
  - test for different wave function hypotheses
- A simple **Gaussian** provides the best description of the data
  - Hulthen is expected to provide a better description
- The same approach can be used to obtain predictions for  $\mathbf{B}_3(p_T)$ 
  - reasonably good description with a Gaussian wave function
- Using an **advanced coalescence** model could help in **reducing production uncertainties** in the predictions for **fluxes!**

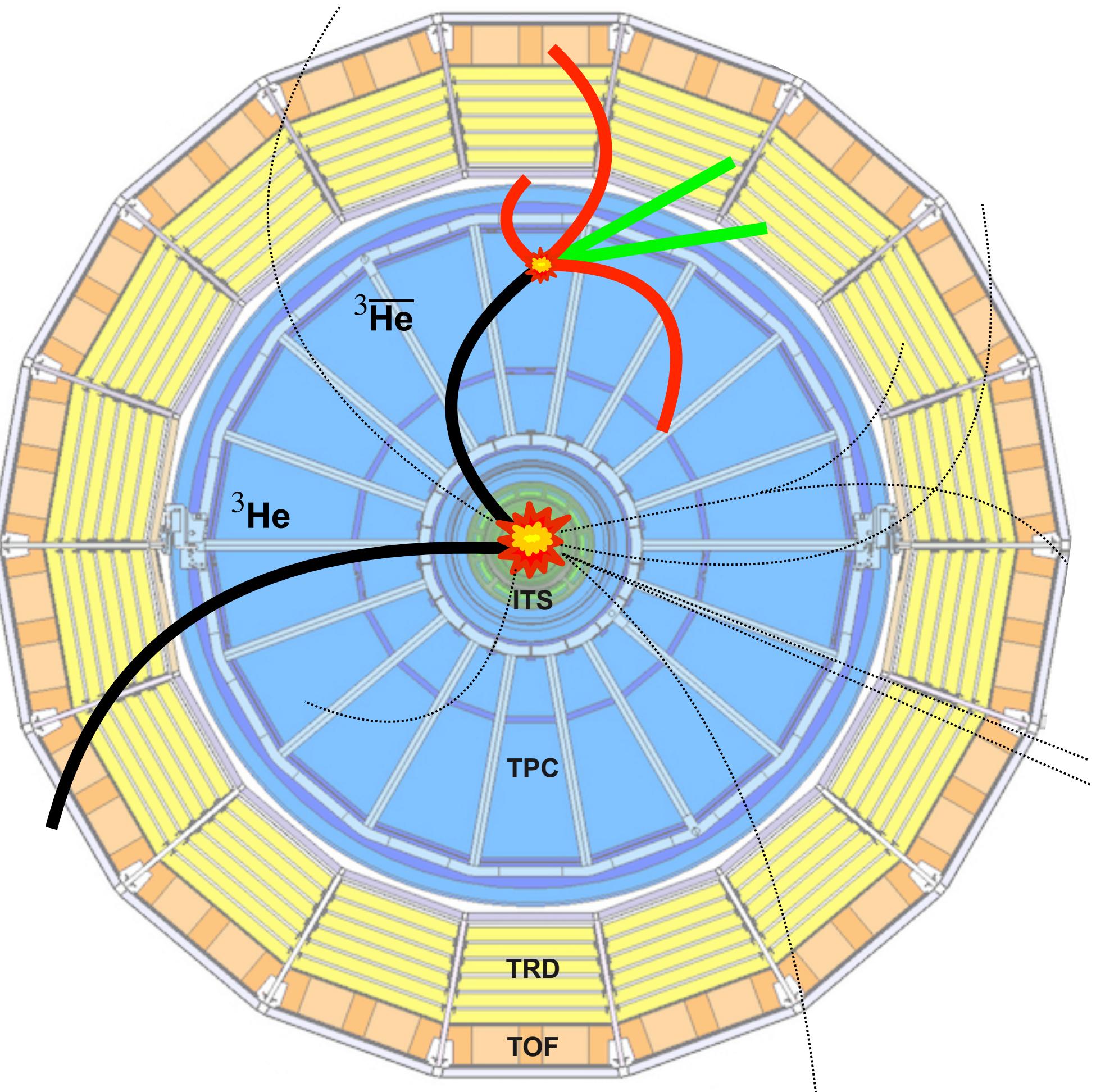


ALI-PUB-497278

[arXiv:2109.13026](https://arxiv.org/abs/2109.13026)

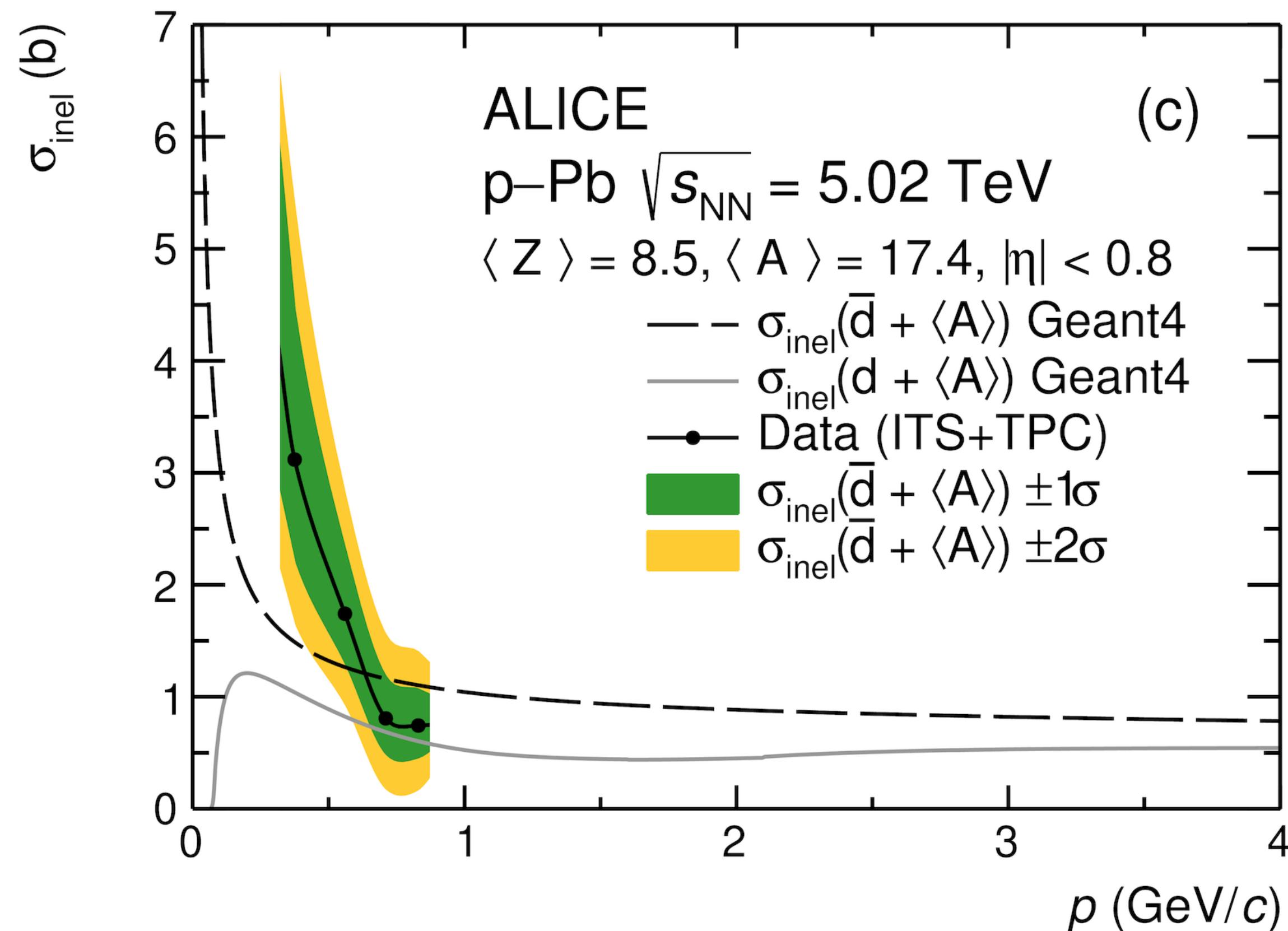
# Annihilation of antinuclei

- ALICE measured the **inelastic cross section** for **antinuclei** using the detector as a target
- Two methods are used:
  - Measurement of **antinuclei/nuclei** ratio and **comparison with MC**
  - Measurement of the **absorption in the TRD** detector and **comparison with MC**
- The **inelastic cross section** can be **constrained** by scaling its value in MC until it matches the data

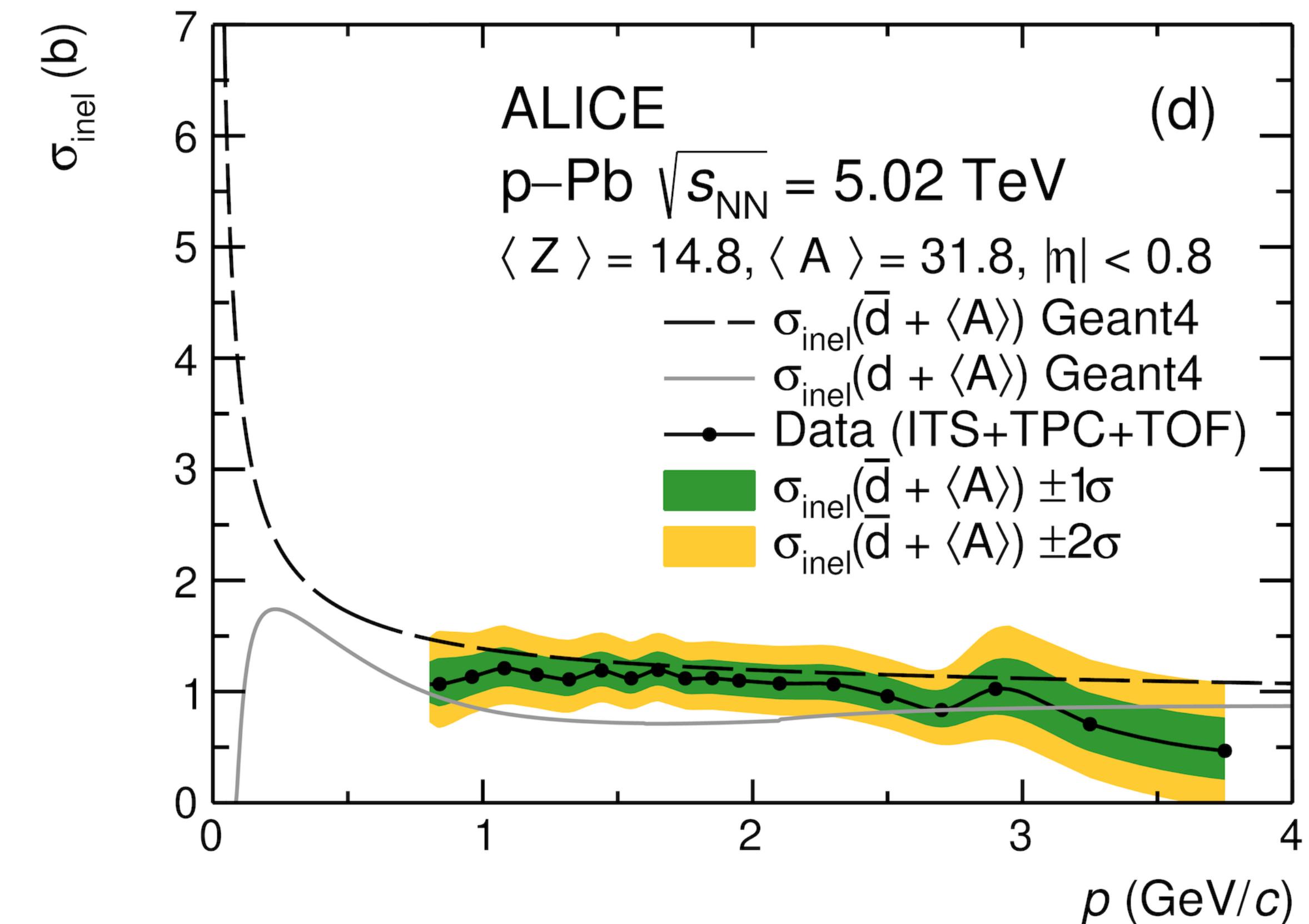


# Antiprotons and antideuterons

- Cross section have been measured for different species:
  - **Antideuterons:** good agreement with GEANT4



ALI-PUB-490977

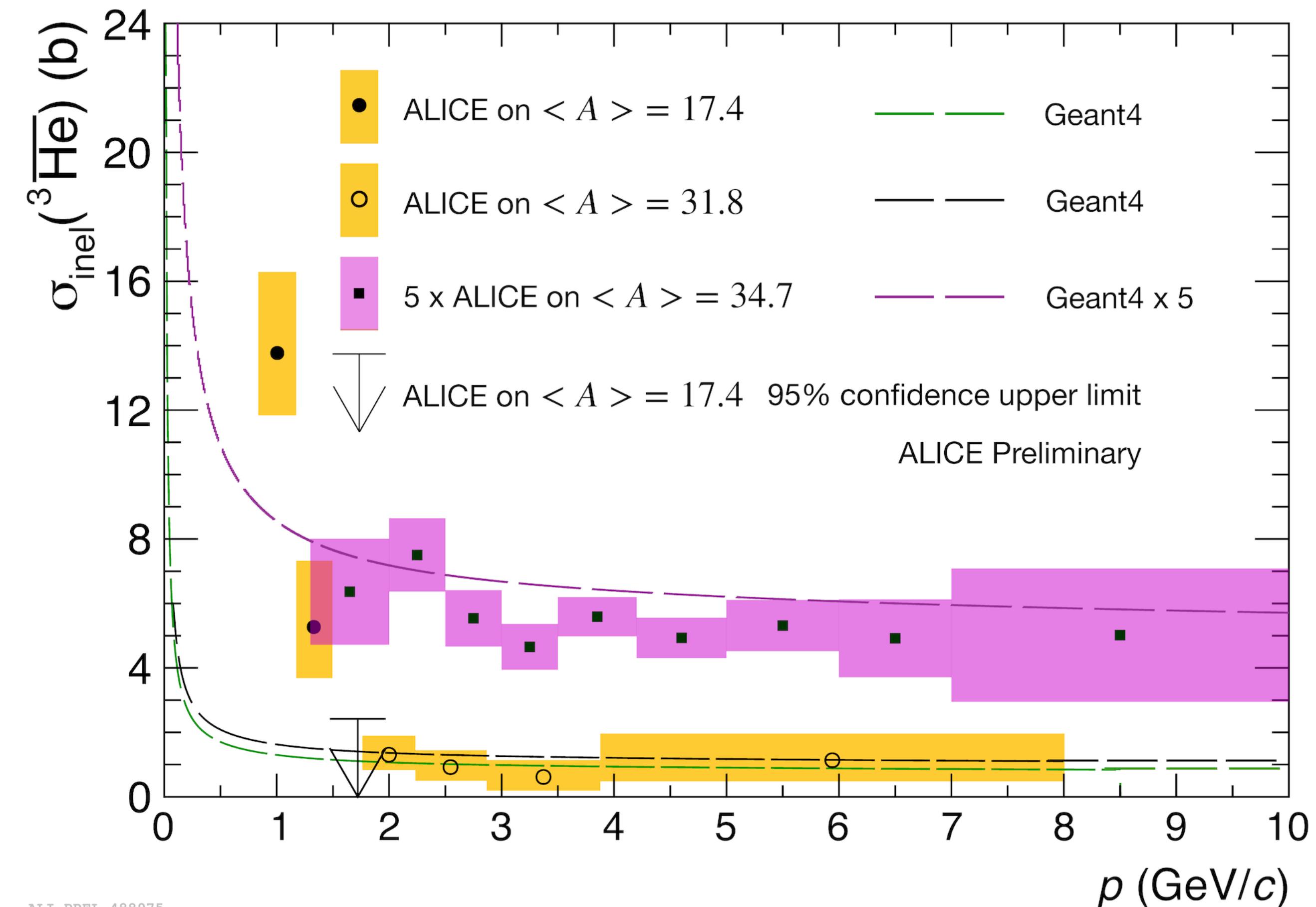


ALI-PUB-490982

<http://alice.cern.ch>

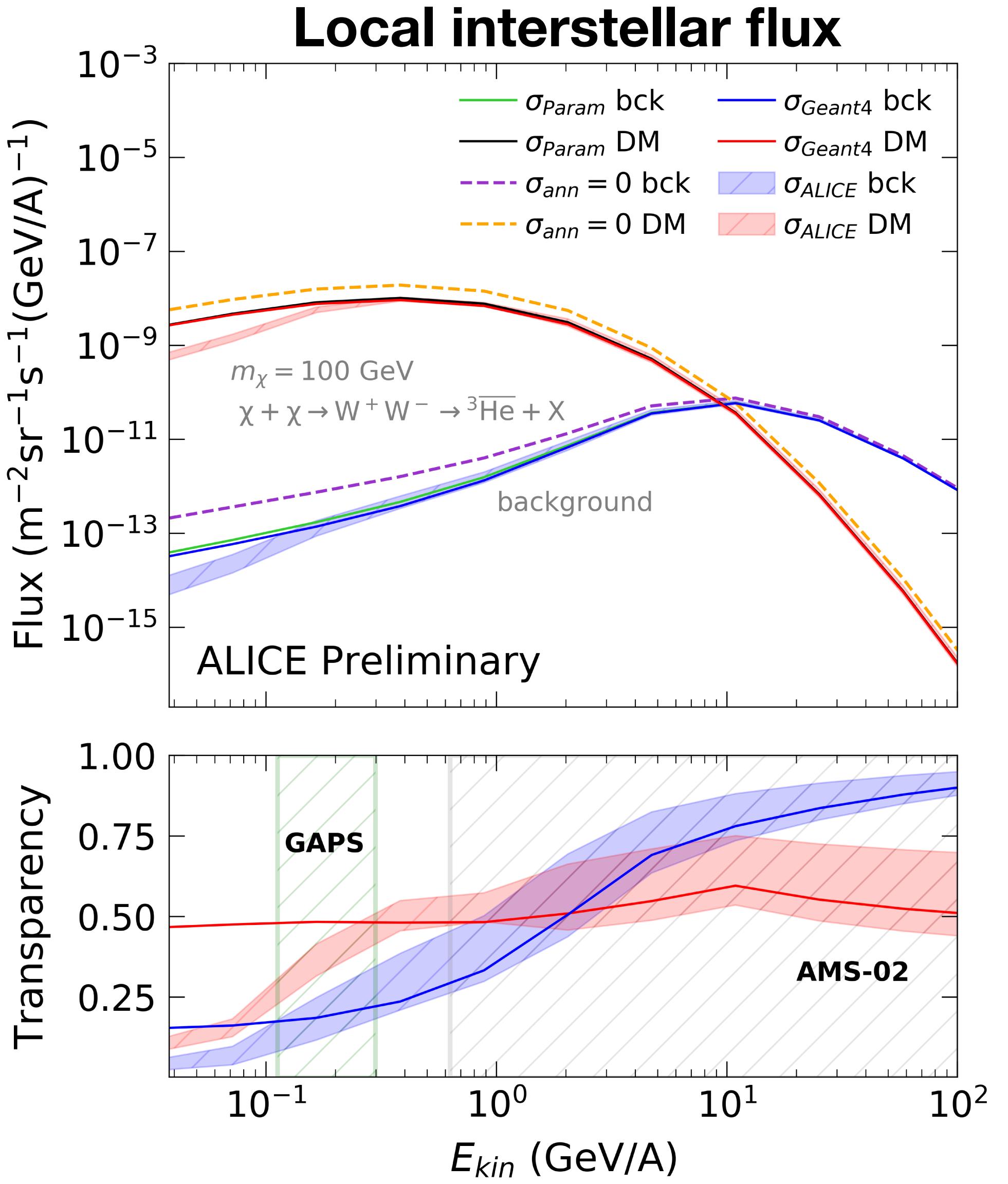
# Antiprotons and antideuterons

- Cross section have been measured for different species:
  - **Antideuterons:** good agreement with GEANT4
  - **Antihelions:** rise at low momentum, otherwise compatible with GEANT4



# Effect on antihelion fluxes

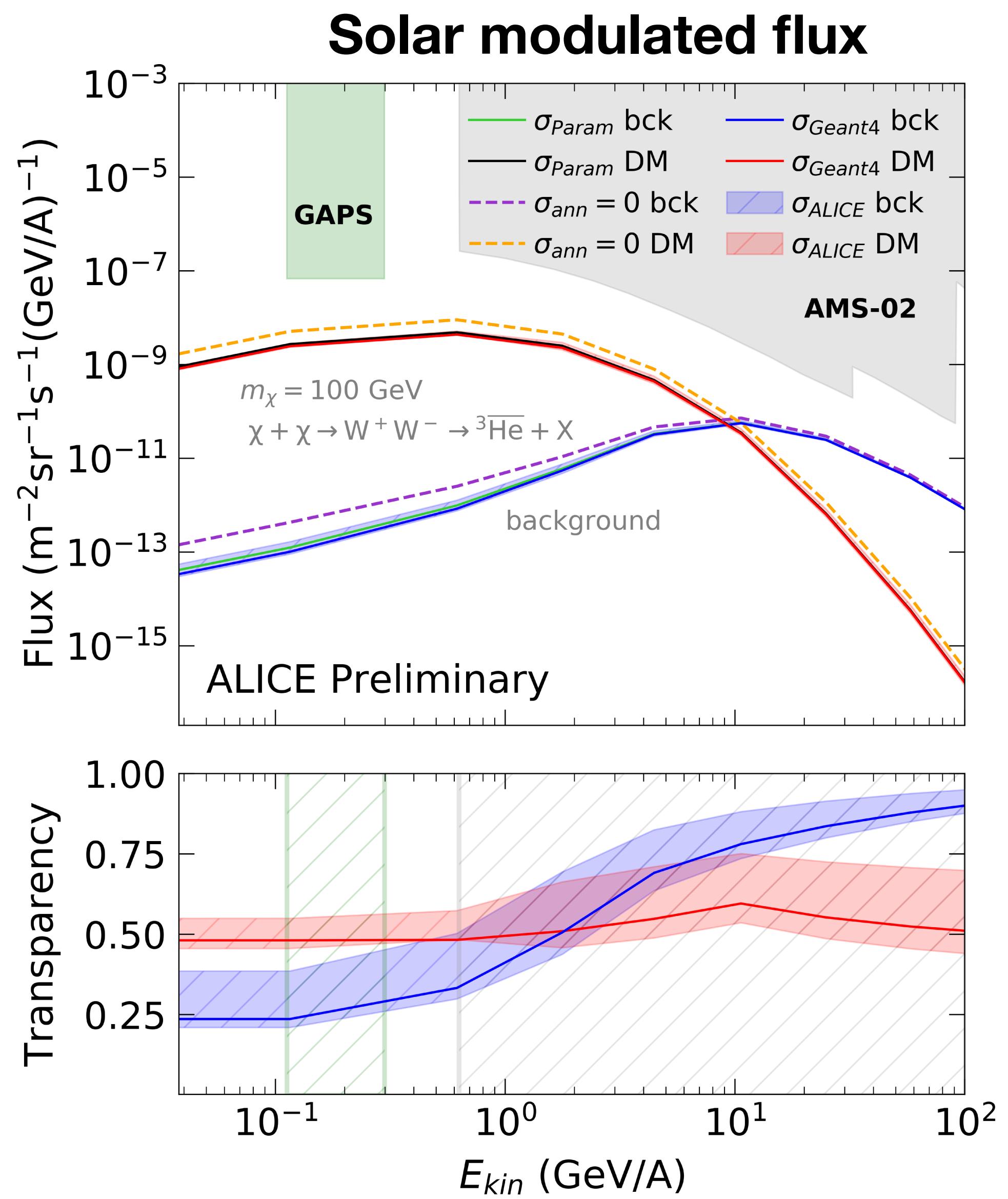
- The inelastic cross section affects  ${}^3\overline{\text{He}}$  fluxes
  - Difference between GEANT4 cross section and the cross section by ALICE
  - Only uncertainties on the cross section are shown (small wrt to the other uncertainties)



ALI-PREL-486164

# Effect on antihelion fluxes

- The inelastic cross section affects  ${}^3\overline{\text{He}}$  fluxes
  - Difference between GEANT4 cross section and the cross section by ALICE
  - Only uncertainties on the cross section are shown (small wrt to the other uncertainties)
- After solar modulation, the difference between GEANT4 and ALICE measurement disappears
  - Rather constant transparency of the galaxy to  ${}^3\overline{\text{He}}$  nuclei
  - About 50% of  ${}^3\overline{\text{He}}$  nuclei are absorbed



ALI-PREL-486179

# Summary and conclusions

- Antinuclei in cosmic rays can be a probe for DM annihilation
- The flux of cosmic antinuclei depends on the nuclear production mechanisms and on the inelastic cross sections
- Thanks to a modern approach to the coalescence model, it is possible to test different hypotheses on the nuclear wave function
- From the measurement of inelastic cross section, about 50% of antihelions are absorbed and the transparency is rather constant wrt the kinetic energy

# Summary and conclusions

- Antinuclei in cosmic rays can be a probe for DM annihilation
- The flux of cosmic antinuclei depends on the nuclear production mechanisms and on the inelastic cross sections
- Thanks to a modern approach to the coalescence model, it is possible to test different hypotheses on the nuclear wave function
- From the measurement of inelastic cross section, about 50% of antihelions are absorbed and the transparency is rather constant wrt the kinetic energy

**Thank you for your attention!**

# Backup

# Antinuclei in cosmic rays

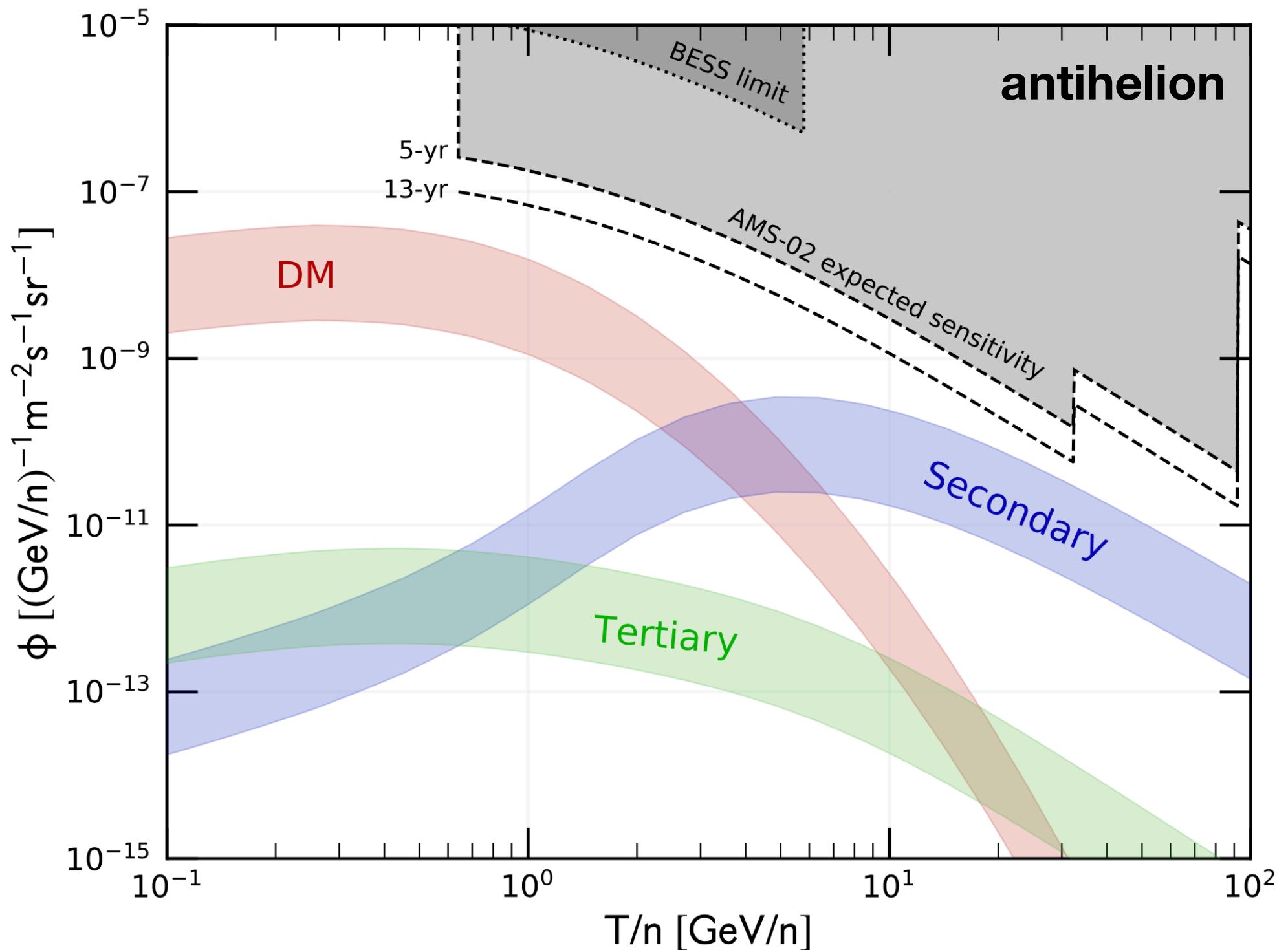
$$q_{\bar{A}} = \nabla \cdot (-K \nabla N_{\bar{A}} + V_c N_{\bar{A}}) + \partial_t (b_{tot} N_{\bar{A}} - K_{EE} \partial_t N_{\bar{A}}) + \Gamma_{ann} N_{\bar{A}}$$

**Source**

- **Low-energy** is the most interesting region:
  - Signal/Background  $\sim 10^{-4}$
- Each term of the transport equation has uncertainties:
  - **Source**: from production mechanism of antinuclei
  - **Propagation**: model, solar modulation
  - **Annihilation**: absorption cross section of antinuclei

**Propagation**

**Annihilation**



[Phys.Rev.D 97 \(2018\) 10, 103011](#)

# $B_2$ vs $B'_2$

- An **increasing  $B'_2$**  can be obtained from a **flat  $B_2$**  in each **multiplicity** class:

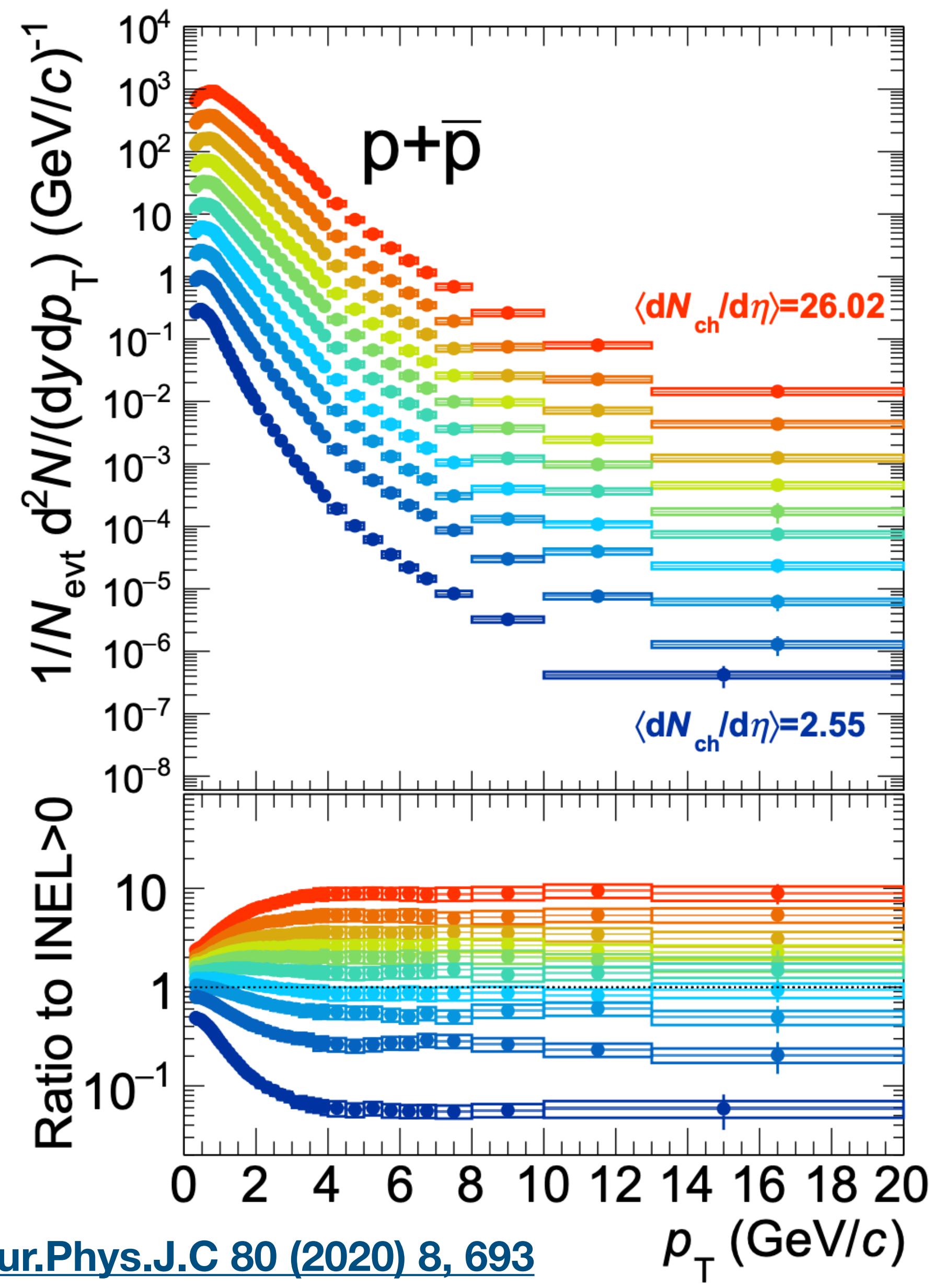
$$S_{d,i} = B_2 S_{p,i}^2$$

$$S_d = \sum_i (N_i/N) S_{d,i} = B_2 \sum_i (N_i/N) S_{p,i}^2$$

$$S_d = B'_2 S_p^2 = B'_2 \left( \sum_i (N_i/N) S_{p,i} \right)^2$$

$$B'_2 = B_2 \frac{\sum_i (N_i/N) S_{p,i}^2}{\left[ \sum_i (N_i/N) S_{p,i} \right]^2}$$

- Consequence of the **hardening** of the **proton spectra** with increasing multiplicity



# $B_2$ vs $B'_2$

- An **increasing  $B'_2$**  can be obtained from a **flat  $B_2$**  in each **multiplicity** class:

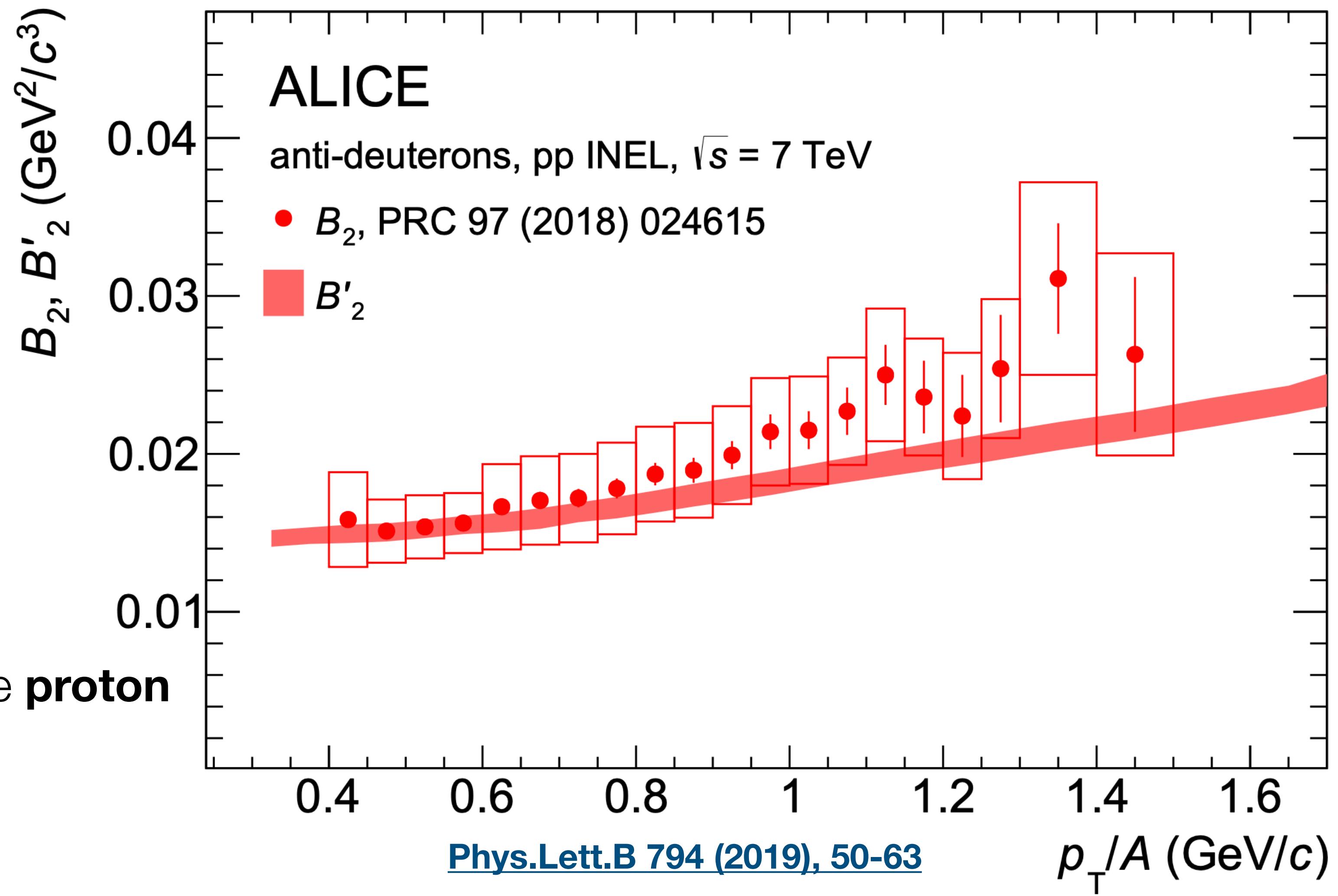
$$- S_{d,i} = B_2 S_{p,i}^2$$

$$- S_d = \sum_i (N_i/N) S_{d,i} = B_2 \sum_i (N_i/N) S_{p,i}^2$$

$$- S_d = B'_2 S_p^2 = B'_2 \left( \sum_i (N_i/N) S_{p,i} \right)^2$$

$$- B'_2 = B_2 \frac{\sum_i (N_i/N) S_{p,i}^2}{\left[ \sum_i (N_i/N) S_{p,i} \right]^2}$$

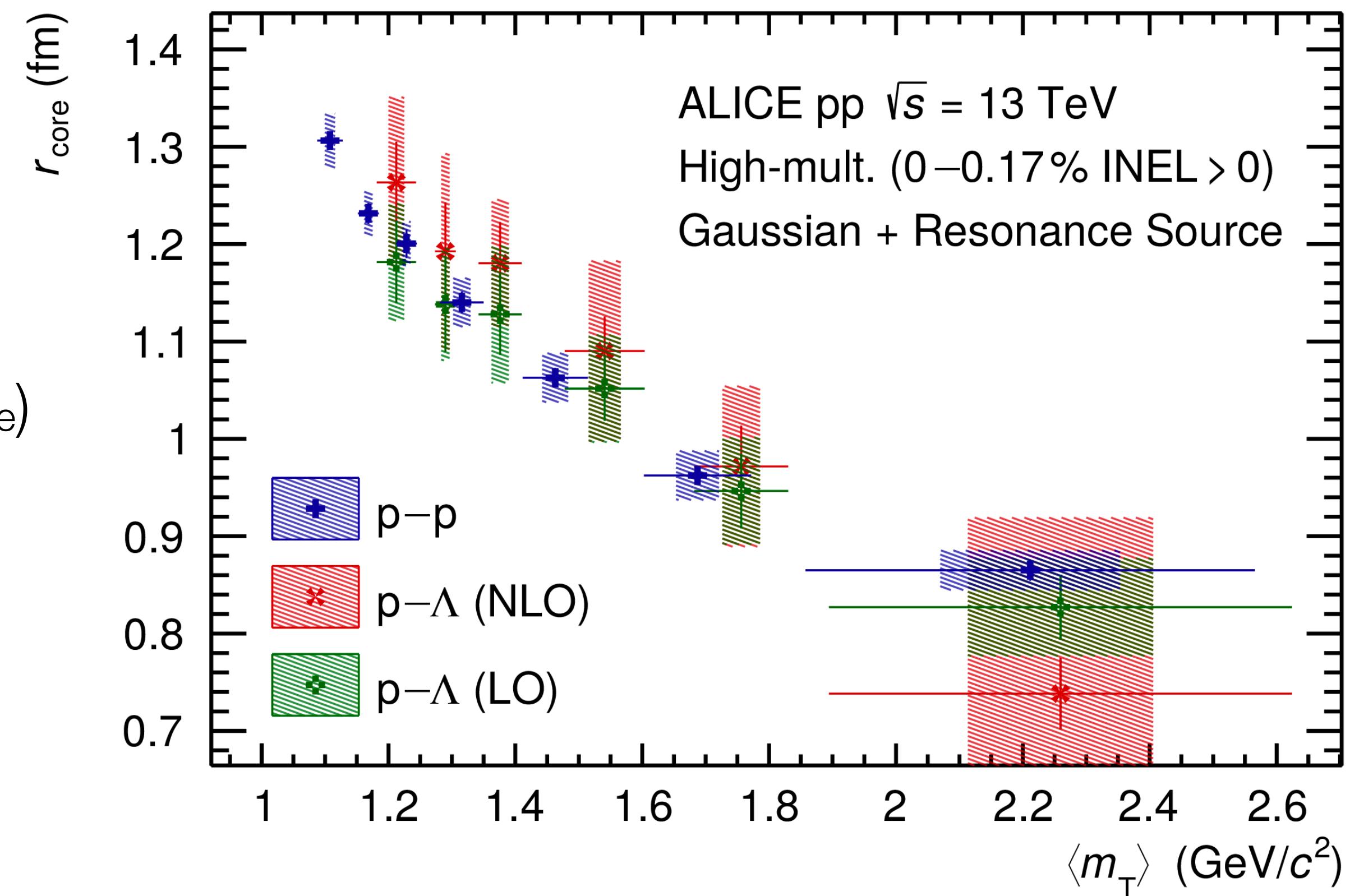
- Consequence of the **hardening** of the **proton spectra** with increasing multiplicity



# Measure of the source size

- If the **interaction** is very **well known**, the CF can be used to constrain the **source function**
  - p-p and p- $\Lambda$
- Assumptions
  - Particle emission from a **Gaussian core** source
- Short-lived strongly decaying **resonances** ( $c\tau \approx r_{\text{core}}$ ) effectively increase the source radius
  - e.g.  $\Delta$ -resonances for protons
- **Universal source model**
  - $r_{\text{core}}$  fixed for each pair based on  $\langle m_T \rangle$

$$C(k^*) = \int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3r^*$$



[PLB 811 \(2020\) 135849](#)

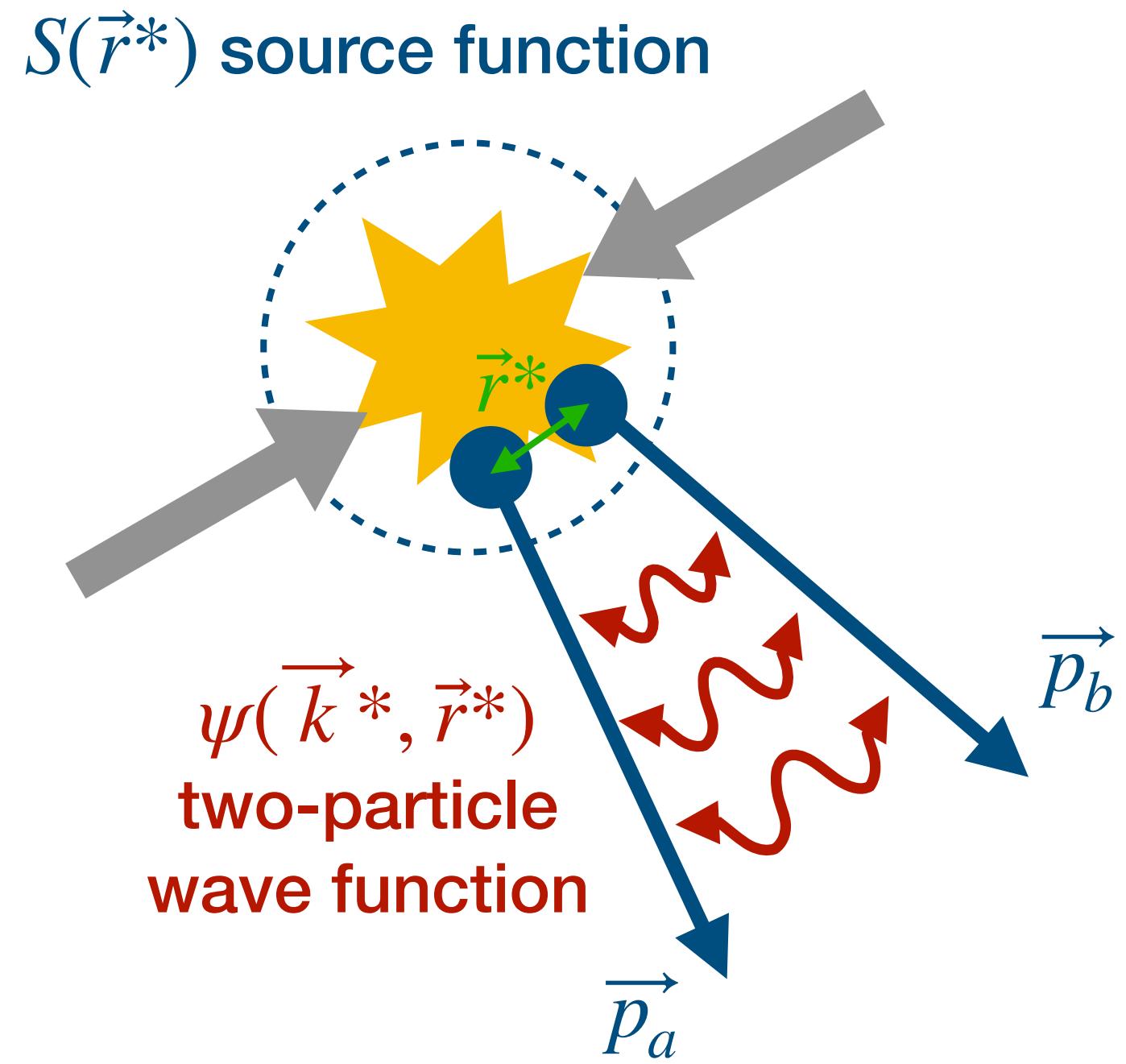
# The correlation function

- The **correlation function** is defined as:

$$C(k^*) = \boxed{\int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3 r^*} = \boxed{\mathcal{N} \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)}}$$

where  $\vec{k}^* = \frac{\vec{p}_a - \vec{p}_b}{2}$  in the pair rest-frame

- Two ingredients:
    - **Emitting source**: hypersurface at kinematic freeze-out of final-state particles
    - **Two-particle wave function**: express the interaction between particles



The theoretical CF is obtained using **CATS** (Correlation Analysis Tool using the Schrödinger equation):

- exact solution of the Schrödinger equation for a wave function

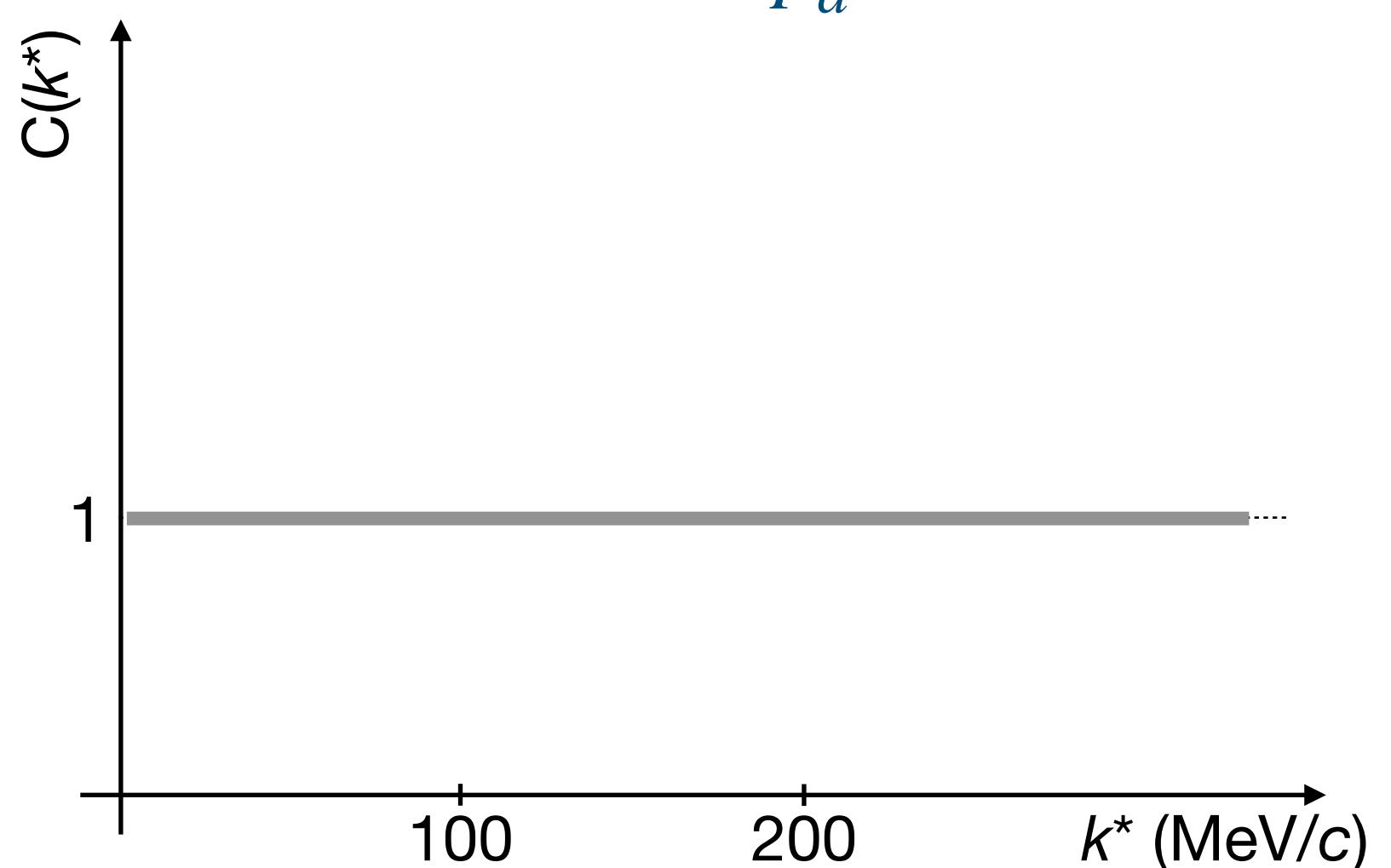
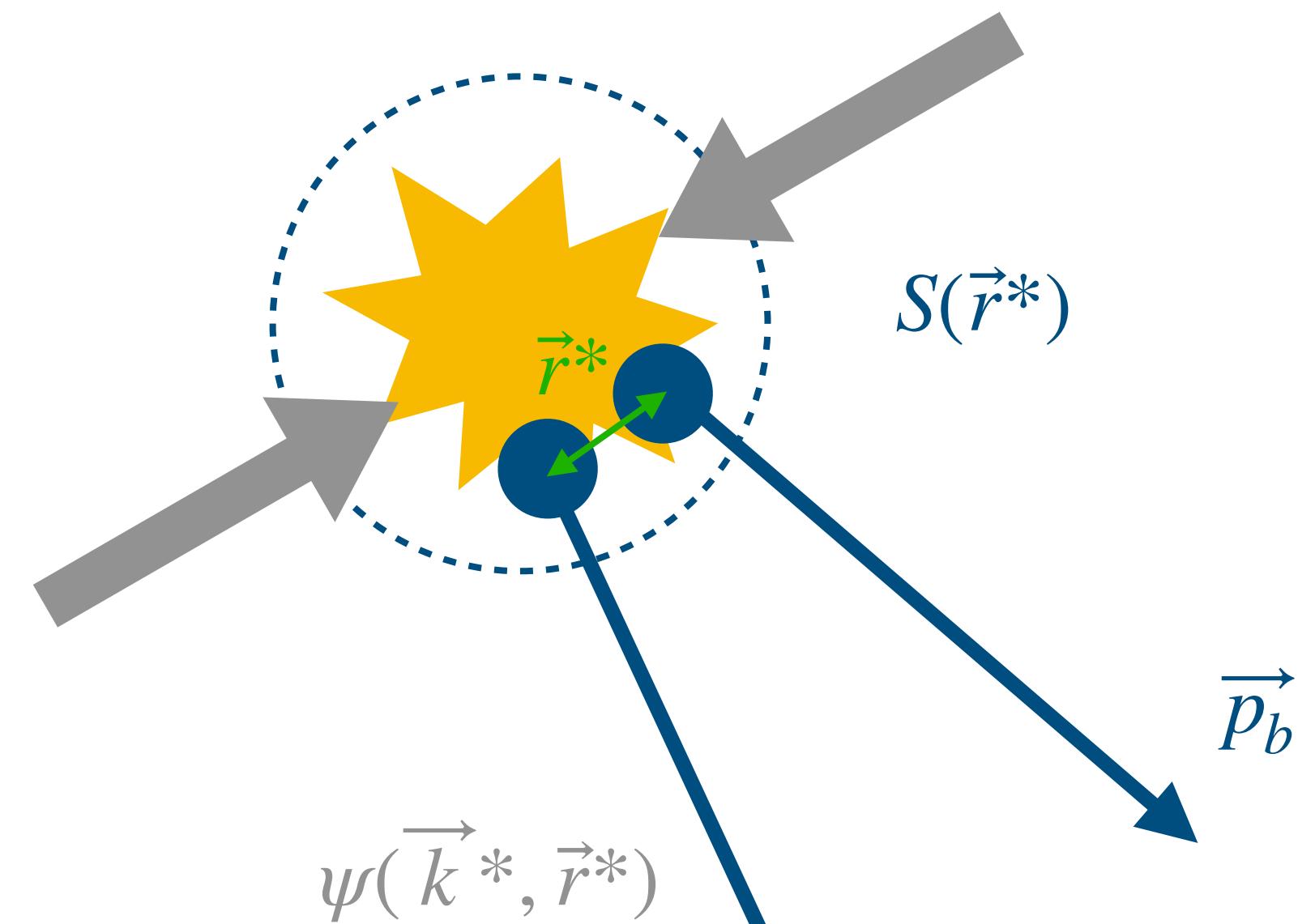
D.L. Mihaylov et al., EPJC 78 (2018) 5, 394

# The correlation function

- The **correlation function** reflects the interaction:

- Absence of interaction:  $C(k^*) = 1$

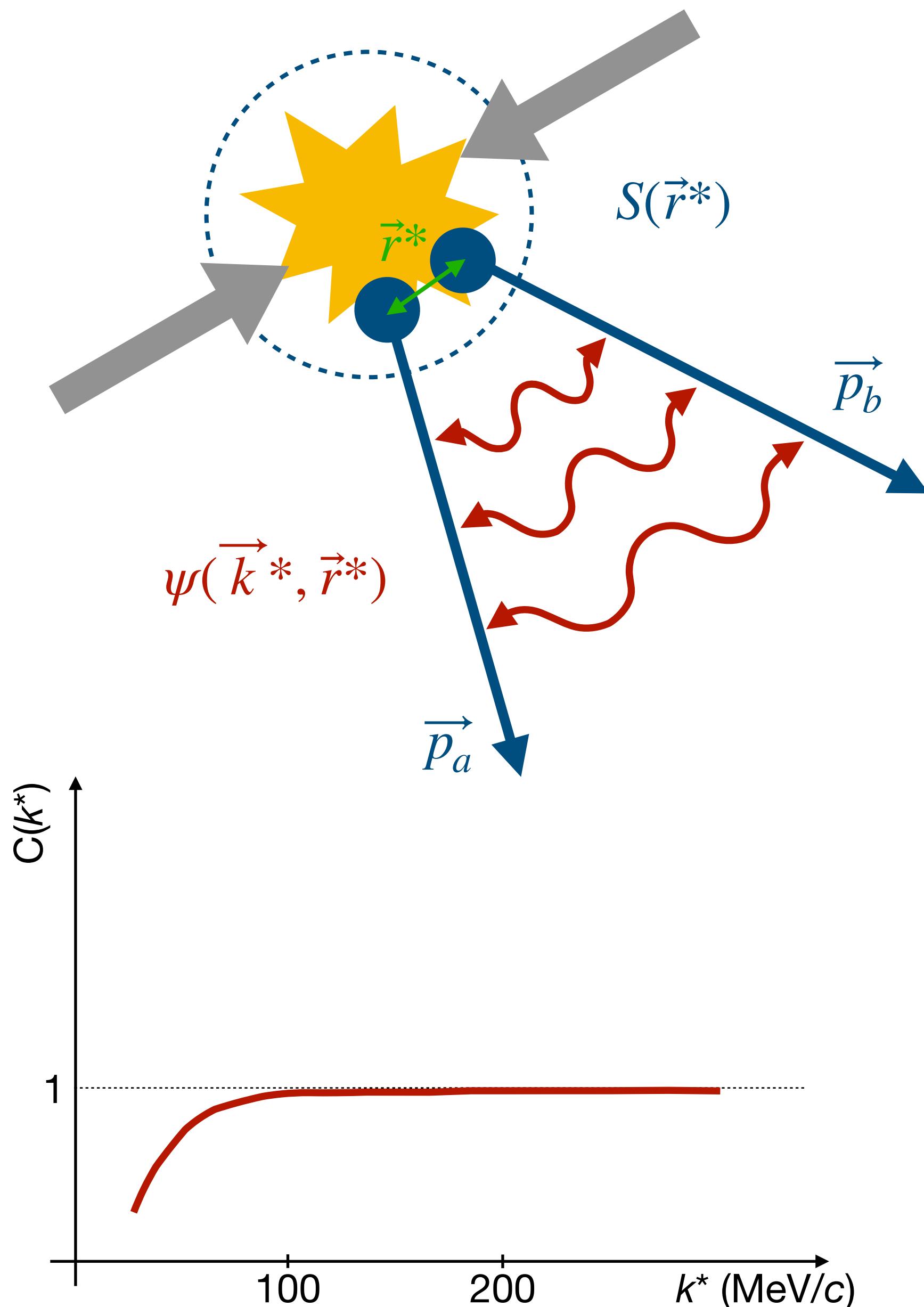
$$C(k^*) = \int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3 r^* = \mathcal{N} \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)} = 1$$



# The correlation function

- The **correlation function** reflects the interaction:
  - Absence of interaction:  $C(k^*) = 1$
  - Repulsive interaction:  $C(k^*) < 1$

$$C(k^*) = \int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3 r^* = \mathcal{N} \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)} < 1$$

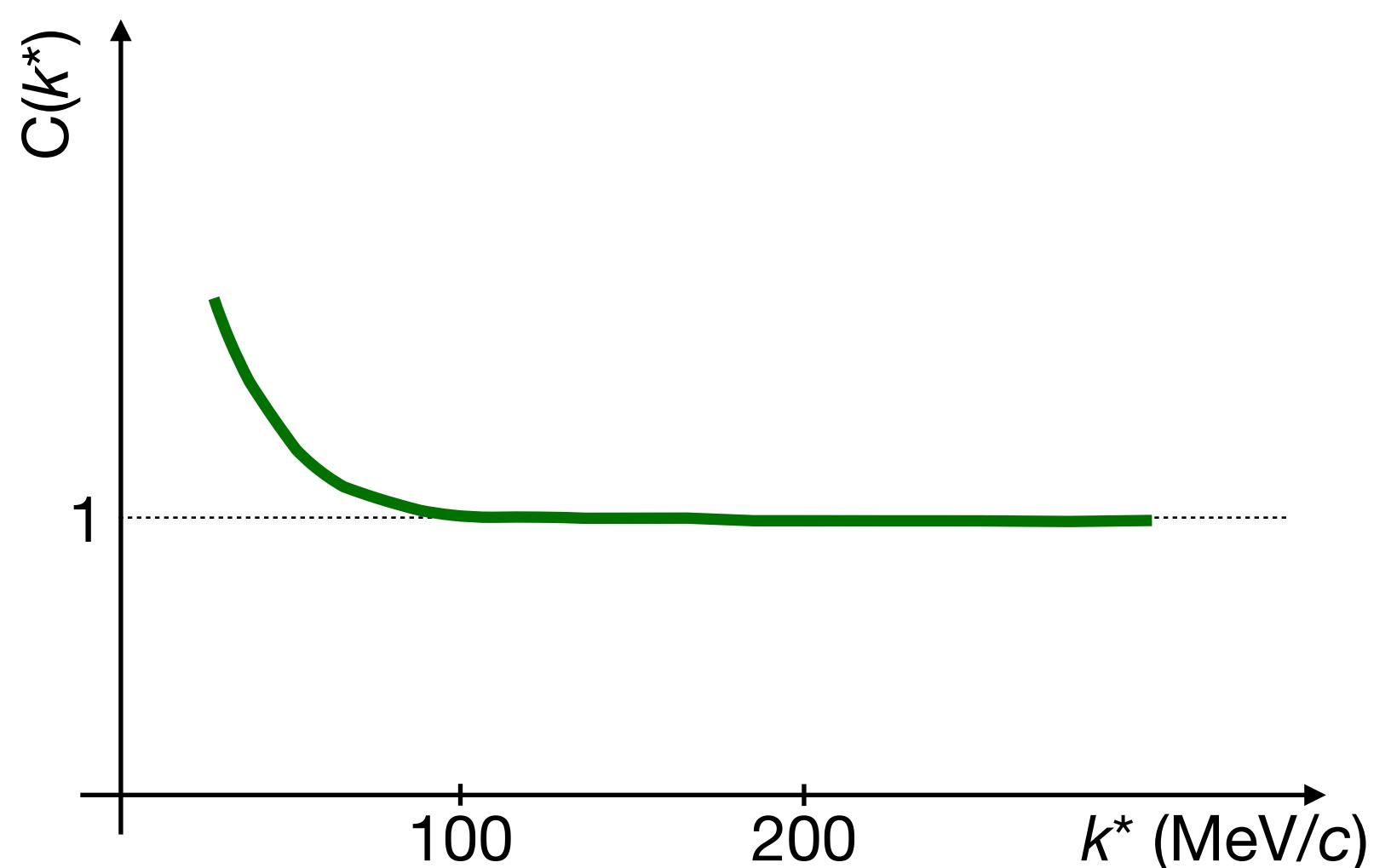
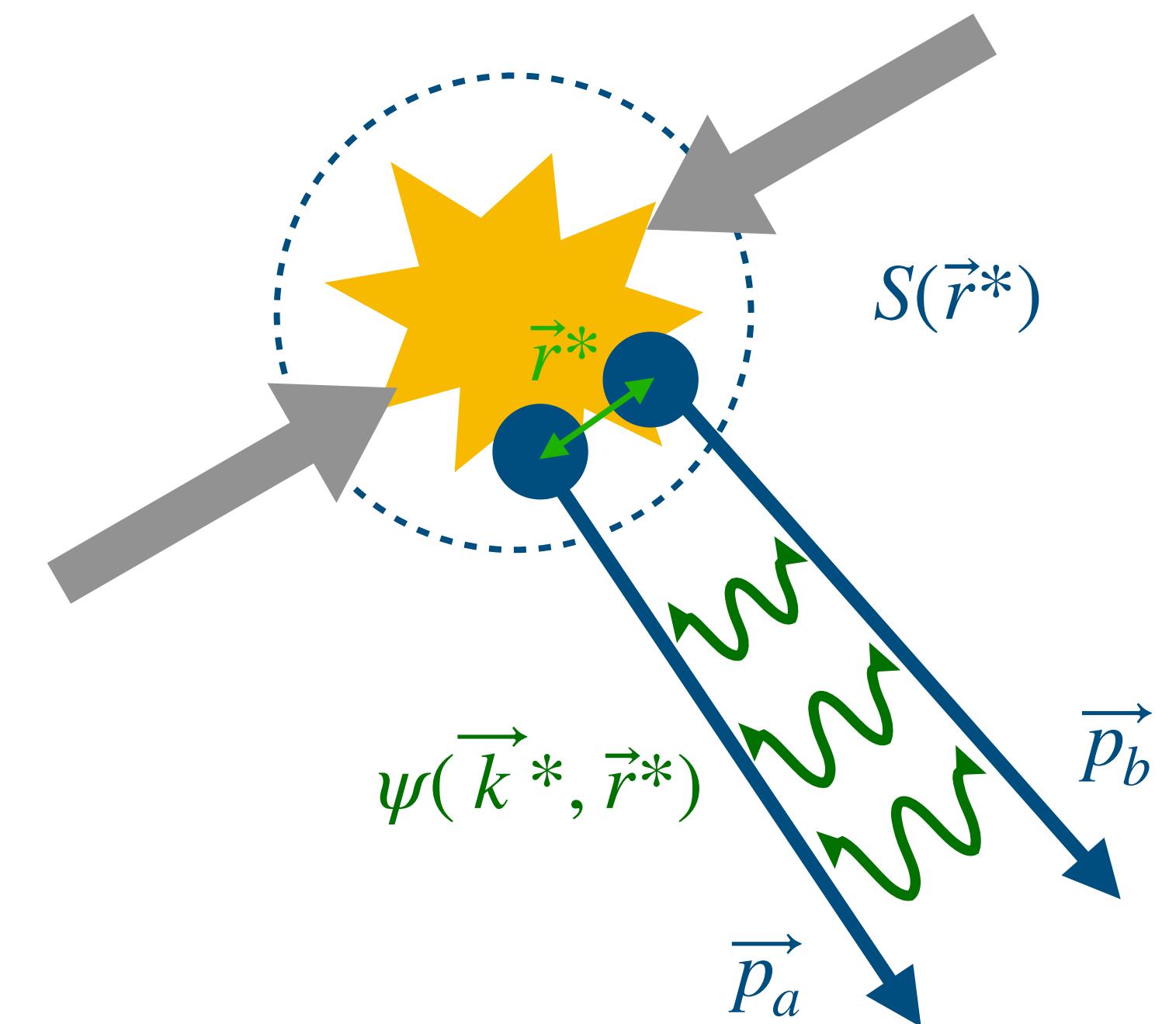


# The correlation function

- The **correlation function** reflects the interaction:

- Absence of interaction:  $C(k^*) = 1$
- Repulsive interaction:  $C(k^*) < 1$
- Attractive interaction:  $C(k^*) > 1$

$$C(k^*) = \int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3 r^* = \mathcal{N} \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)} > 1$$

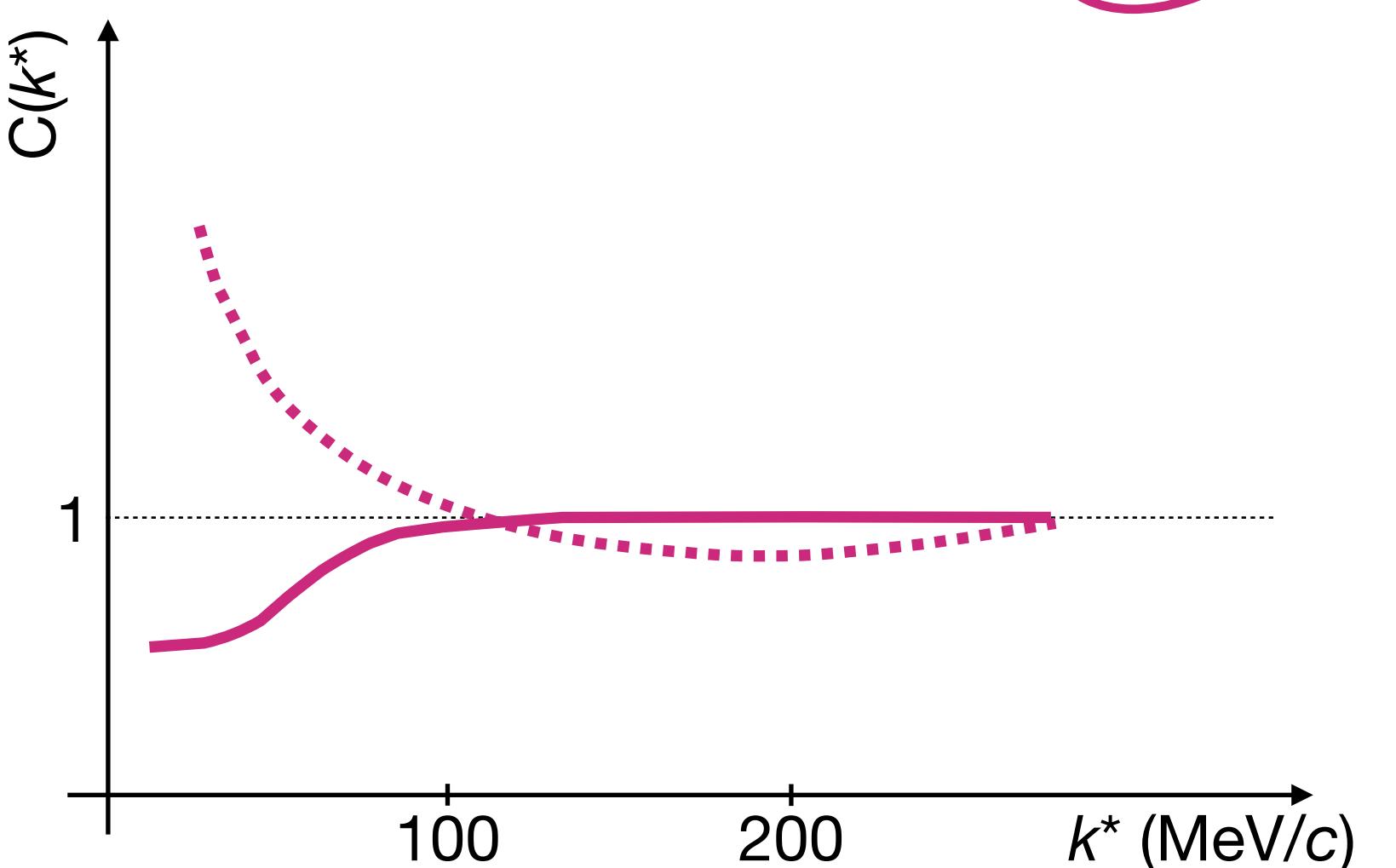
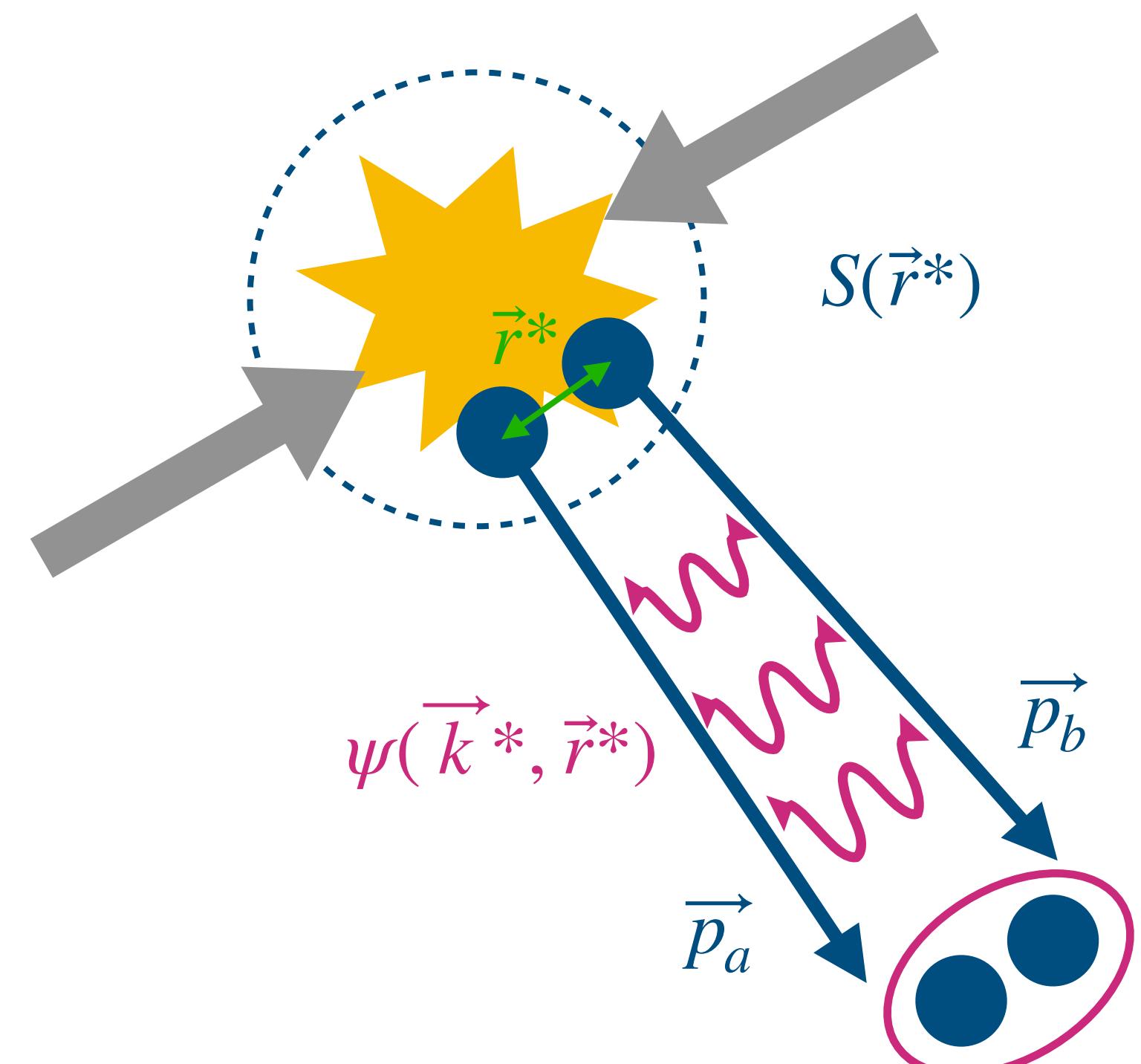


# The correlation function

- The **correlation function** reflects the interaction:

- Absence of interaction:  $C(k^*) = 1$
- Repulsive interaction:  $C(k^*) < 1$
- Attractive interaction:  $C(k^*) > 1$
- Bound state:  $C(k^*) \leq 1$

$$C(k^*) = \int S(\vec{r}^*) |\psi(\vec{k}^*, \vec{r}^*)|^2 d^3 r^* = \mathcal{N} \frac{N_{\text{same}}(k^*)}{N_{\text{mixed}}(k^*)} \leq 1$$

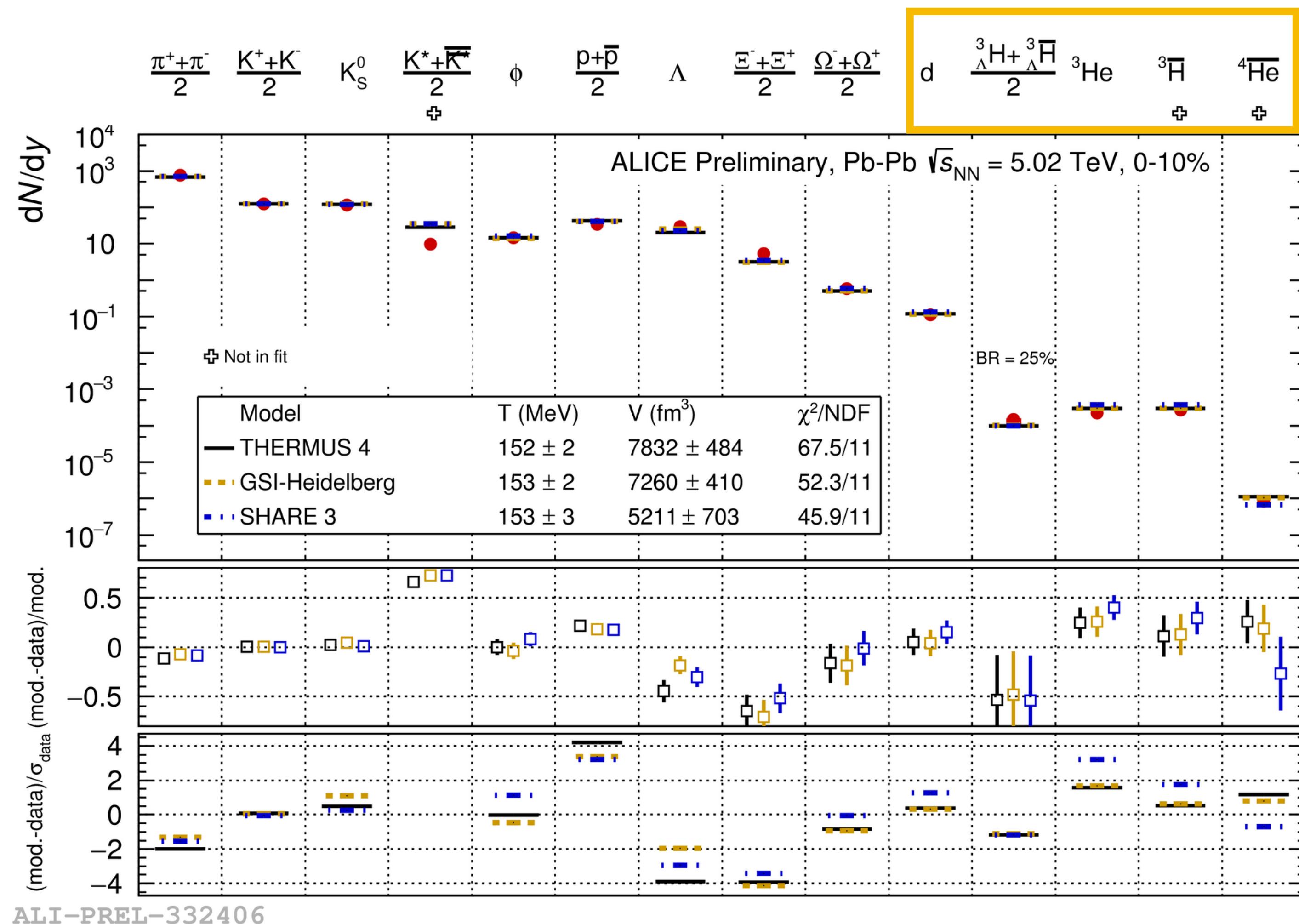


# The Statistical Hadronisation Model (SHM)

- It assumes hadron abundances from **statistical equilibrium** at the **chemical freeze-out**
- The chemical freeze-out temperature ( $T_{ch}$ ) is a key parameter:

$$dN/dy \propto \exp\left(-\frac{m}{T_{ch}}\right)$$

- Large reaction volume ( $VT^3 > 1$ ) in Pb-Pb collisions
  - ▶ **grand canonical ensemble**



THERMUS 4: [Comput.Phys.Commun. 180 \(2009\) 84-106](#)

GSI-Heidelberg: [Nucl.Phys.A 772 \(2006\) 167-199](#)

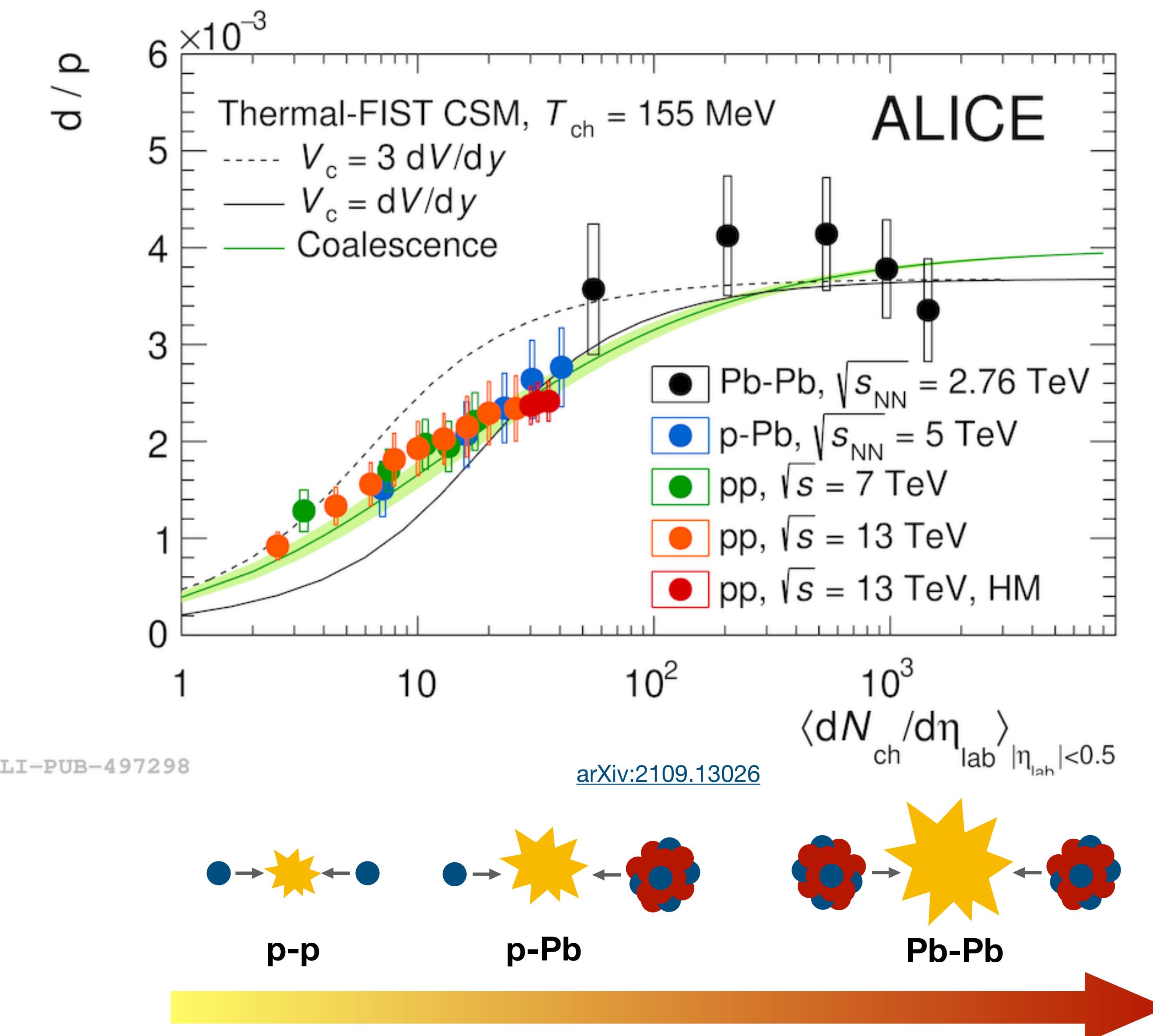
SHARE 3: [Comput.Phys.Commun. 167 \(2005\) 229-251](#)

# The Statistical Hadronisation Model (SHM)

- It assumes hadron abundances from **statistical equilibrium** at the **chemical freeze-out**
- The chemical freeze-out temperature ( $T_{ch}$ ) is a key parameter:

$$dN/dy \propto \exp\left(-\frac{m}{T_{ch}}\right)$$

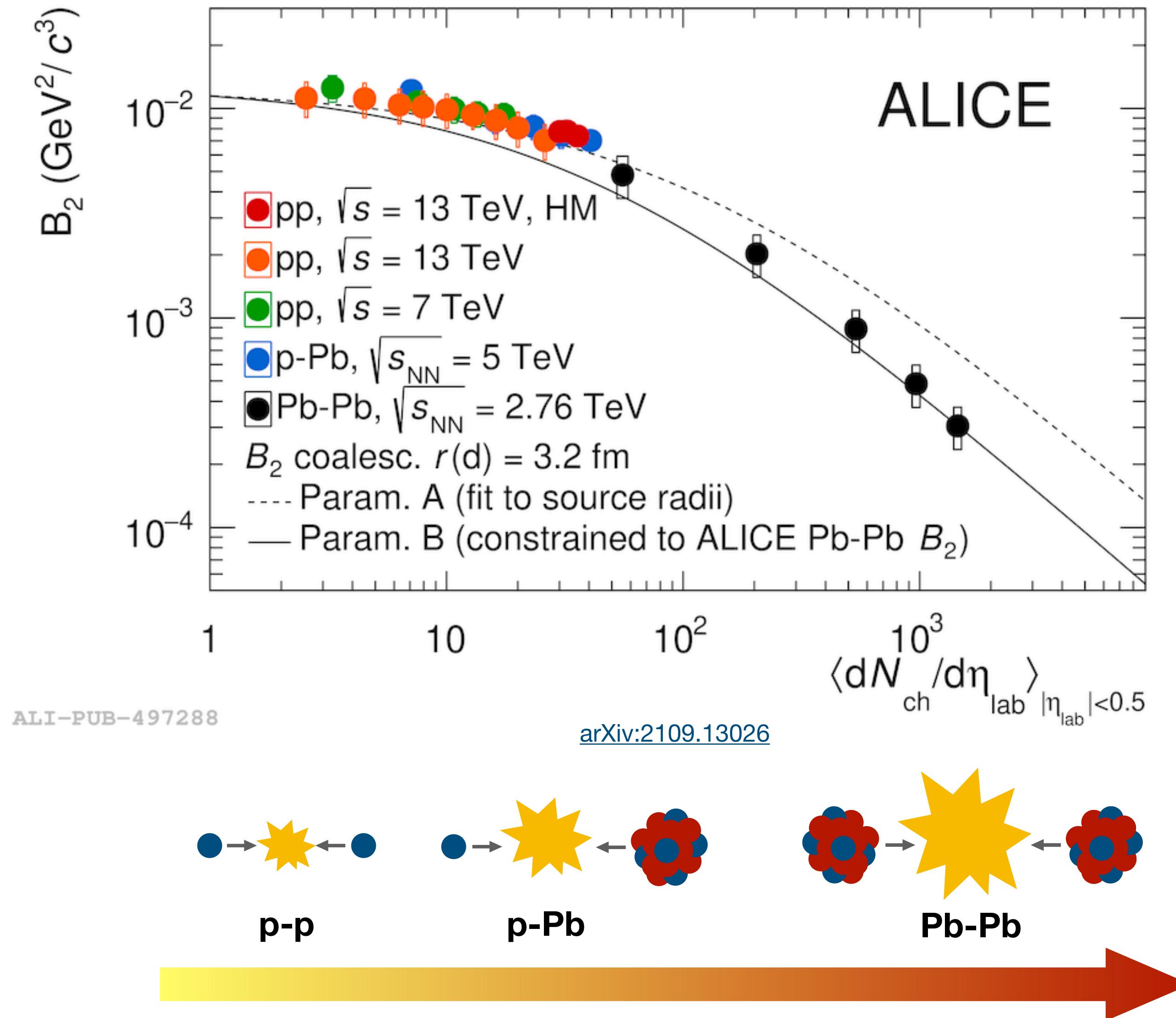
- Large reaction volume ( $VT^3 > 1$ ) in Pb-Pb collisions
  - ▶ **grand canonical ensemble**
- In **small systems** ( $VT^3 < 1$ ) a local conservation of quantum numbers ( $S$ ,  $Q$  and  $B$ ) is necessary
  - ▶ **canonical ensemble (CSM)**



# The coalescence parameter $B_A$

- Measuring  $B_A$  as a function of  $dN_{ch}/d\eta$  at fixed  $p_T$  it is possible to study the dependence on the **system volume**.
  - $V \propto dN_{ch}/d\eta$
- $B_A$  evolves **smoothly** with **multiplicity**
  - production mechanism depends only on the system size**

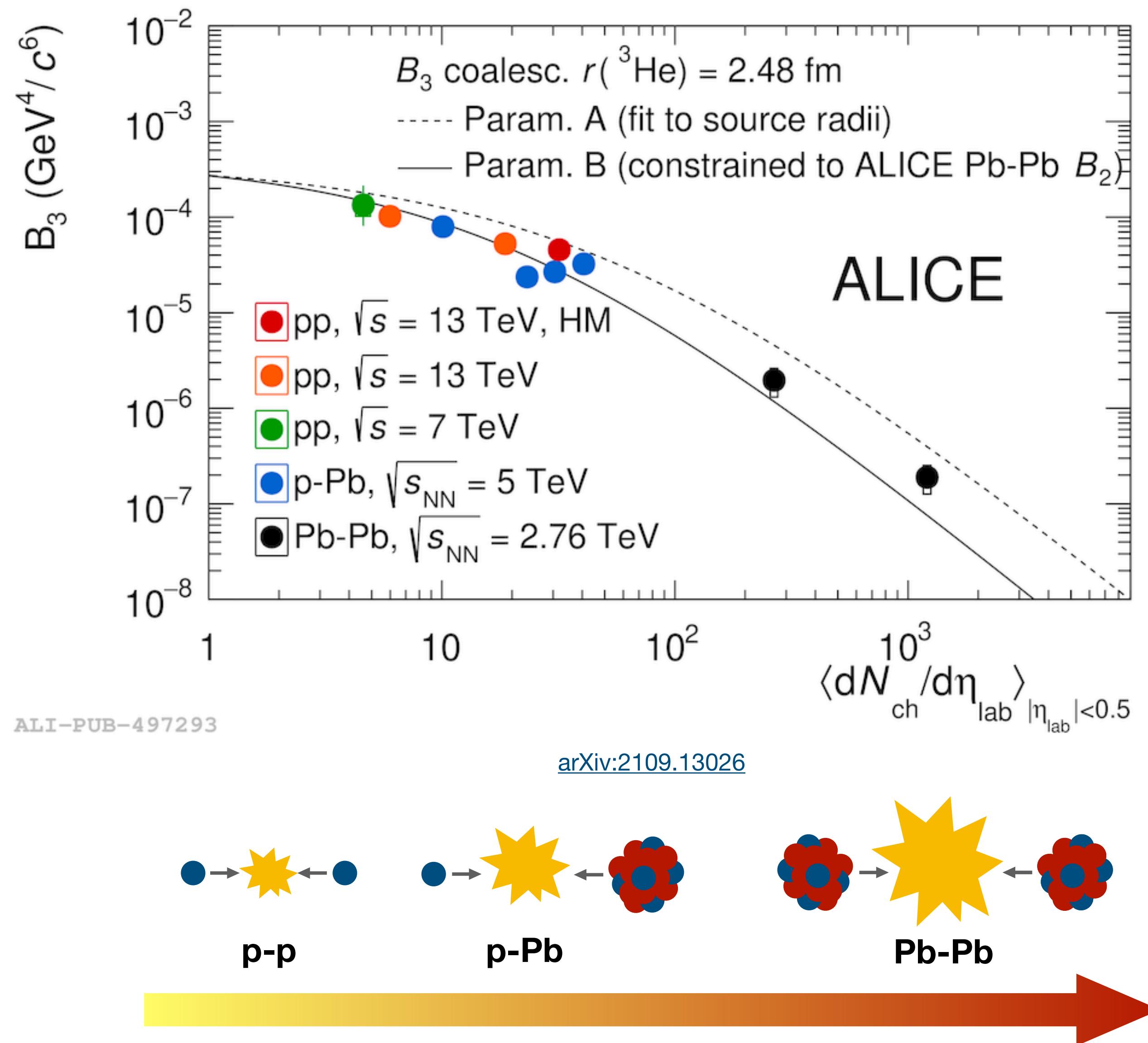
$$B_A = \frac{2J_A + 1}{2^A \sqrt{A}} \frac{1}{m^{A-1}} \left[ \frac{2\pi}{R^2(m_T) + (r_A/2)^2} \right]^{\frac{3}{2}(A-1)}$$



# The coalescence parameter $B_A$

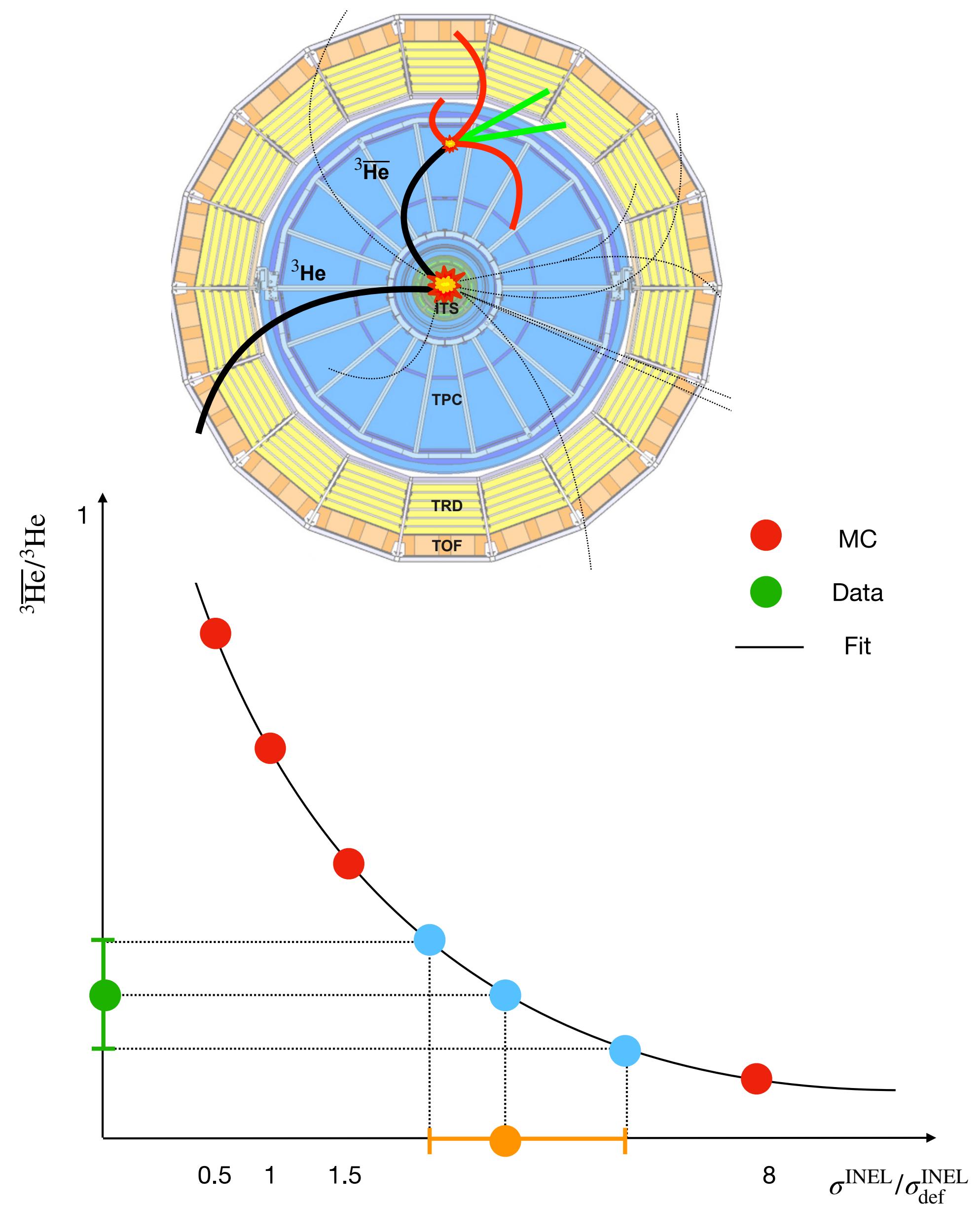
- Measuring  $B_A$  as a function of  $dN_{ch}/d\eta$  at fixed  $p_T$  it is possible to study the dependence on the **system volume**.
  - $V \propto dN_{ch}/d\eta$
- $B_A$  evolves **smoothly** with **multiplicity**
  - production mechanism depends only on the system size**

$$B_A = \frac{2J_A + 1}{2^A \sqrt{A}} \frac{1}{m^{A-1}} \left[ \frac{2\pi}{R^2(m_T) + (r_A/2)^2} \right]^{\frac{3}{2}(A-1)}$$



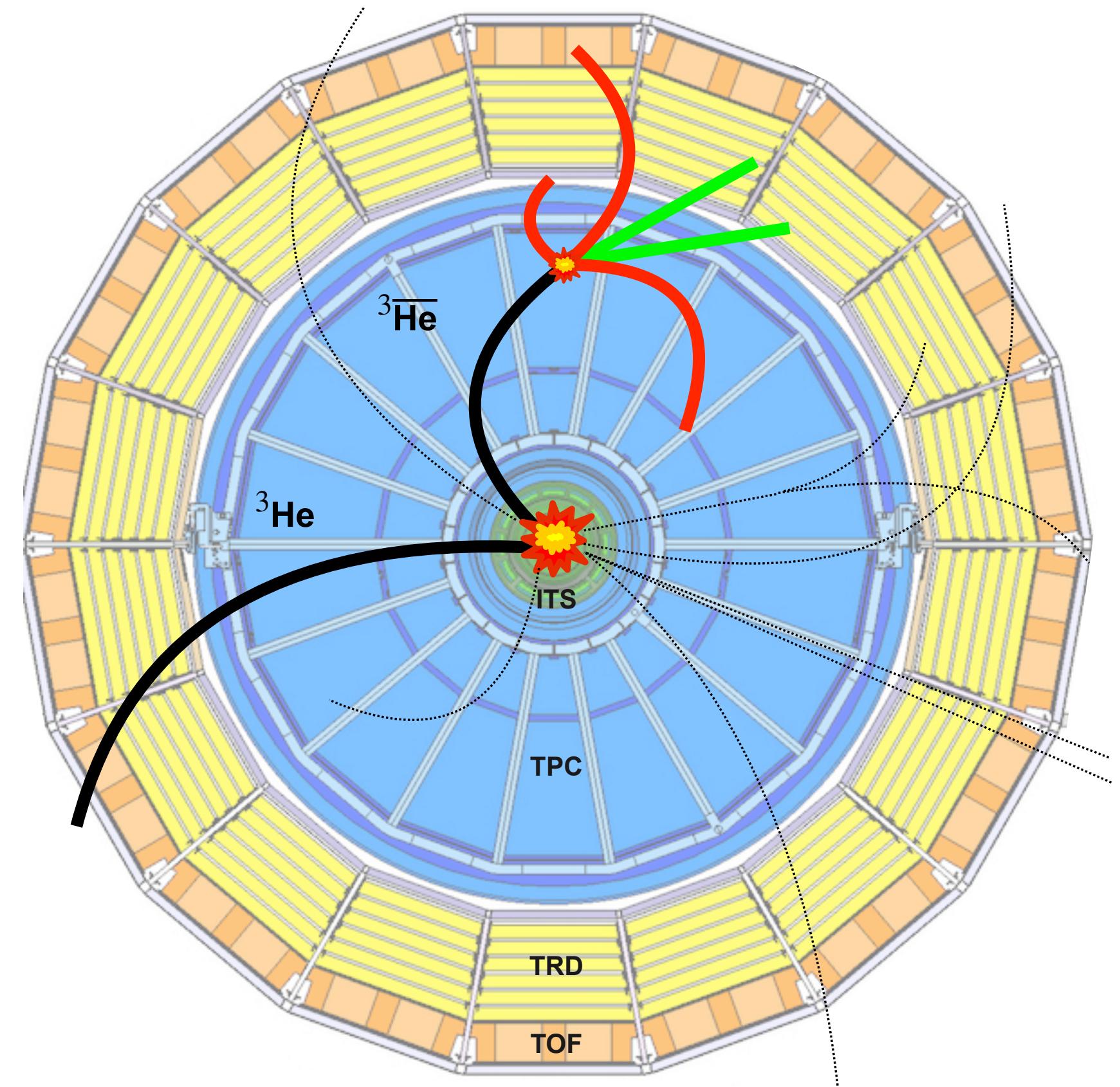
# Inelastic cross section of antinuclei

- ALICE measured the **inelastic cross section** for antinuclei using the detector as a target
- Two approaches:
  1. measure the **reconstructed antimatter/matter** ratio and compare it with **MC**, in which  $\sigma$  is **varied**



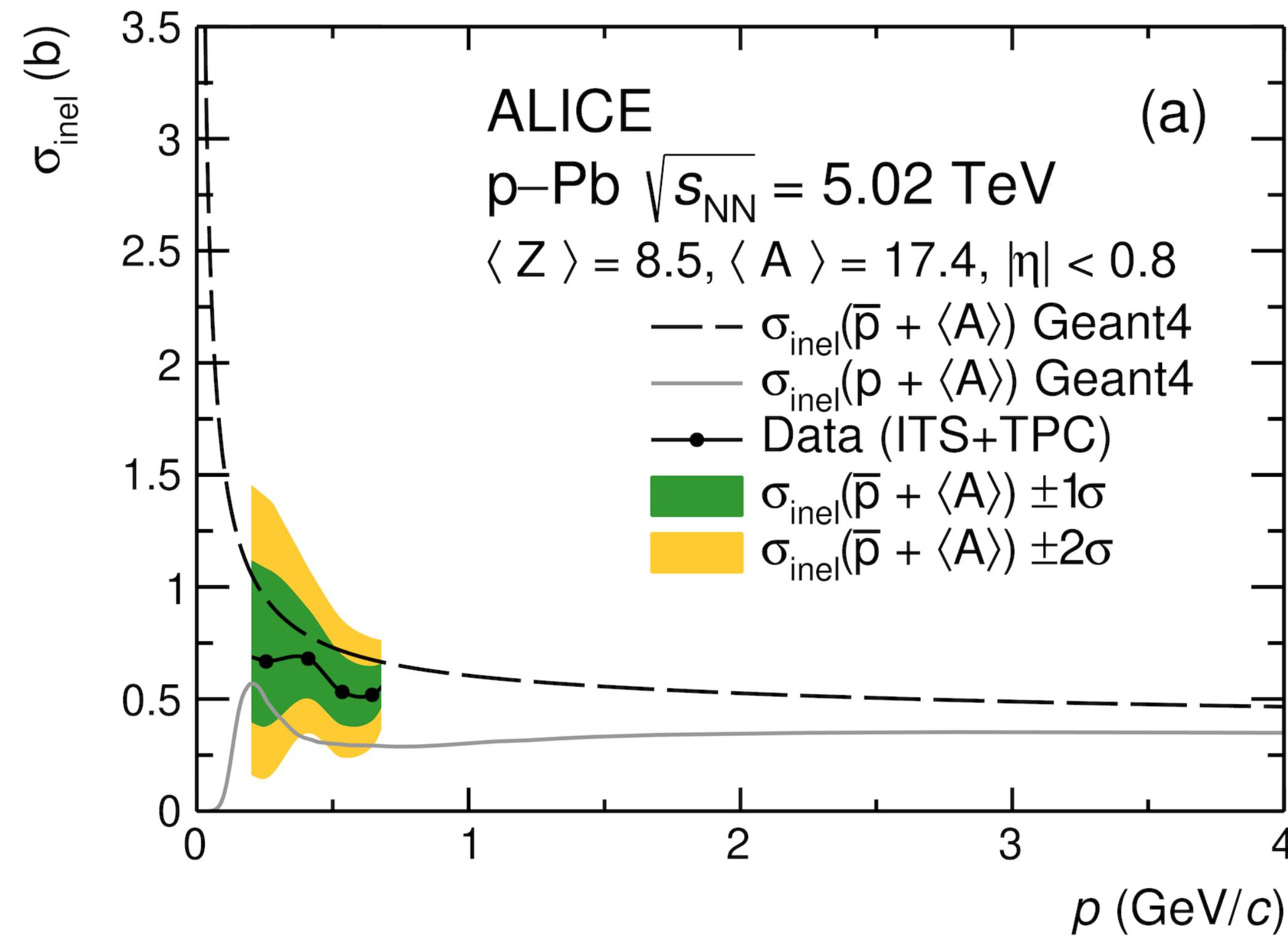
# Inelastic cross section of antinuclei

- ALICE measured the **inelastic cross section** for antinuclei using the detector as a target
- Two approaches:
  1. measure the **reconstructed antimatter/matter** ratio and compare it with **MC**, in which  $\sigma$  is **varied**
  2. measure the **TPC/TOF ratio** for antimatter and compare it with **MC**
- The measurement was carried out for antiprotons, antideuterons and antihelions

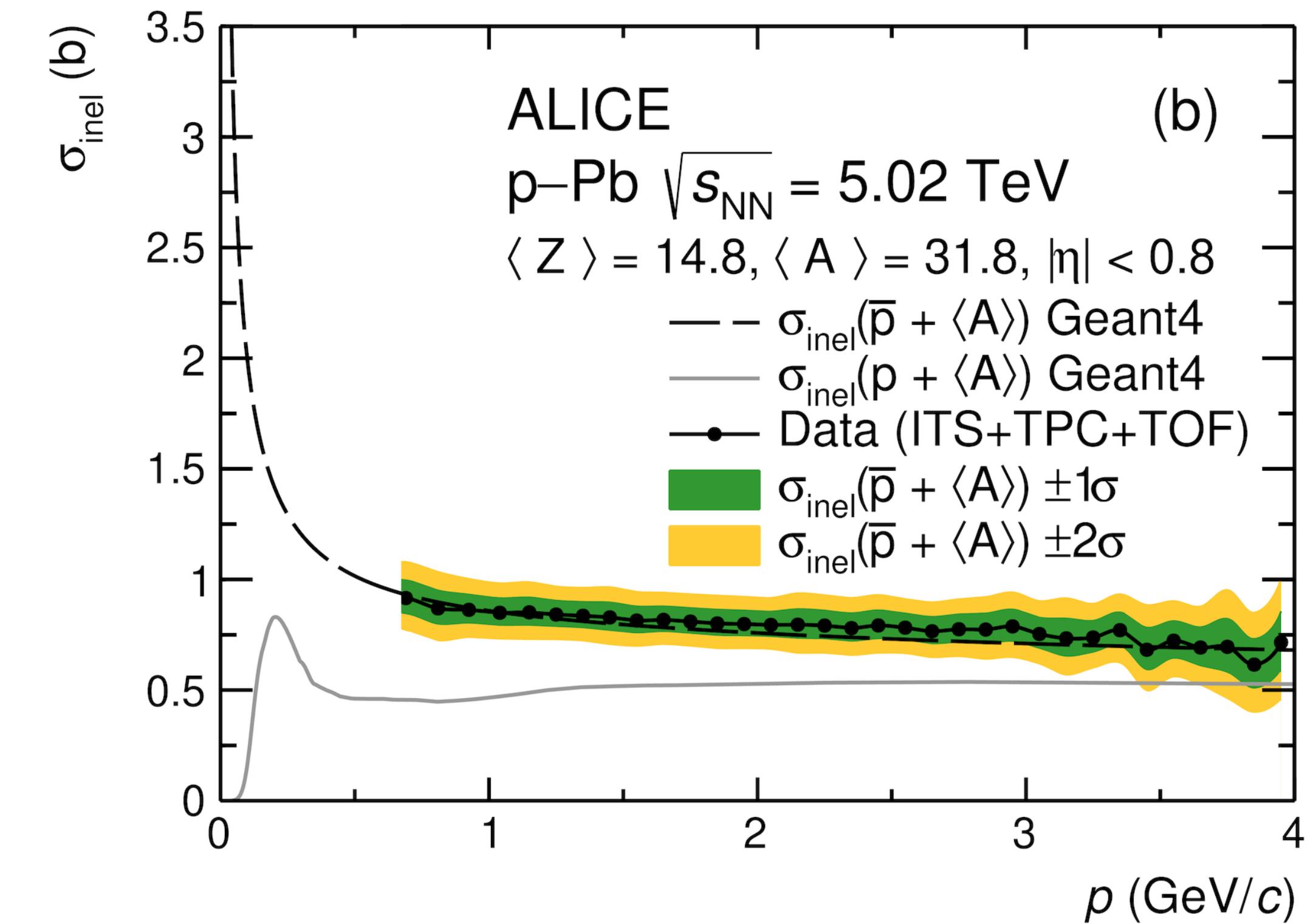


# Antiprotons and antideuterons

- Cross section have been measured for different species:
  - **Antiprotons:** good agreement with GEANT4



ALI-PUB-490967



ALI-PUB-490972

PRL 125, 162001 (2020)