

Experimental results on heavy flavour baryons in pp and Pb-Pb

Mattia Faggin – University and INFN, Padova (Italy)

Terzo incontro sulla fisica con ioni pesanti alle alte energie

26th November 2021 – Padova (Italy)

Heavy quarks: a unique probe

- Mass of the order of $\sim \text{GeV}/c^2$
→ charm and beauty quarks mainly produced in hard-scattering processes among partons
- Pb-Pb collisions: produced before the QGP formation
 $\tau_{\text{QGP}} \sim 1 \text{ fm}/c$ (production timescale: $\Delta\tau \sim 1/Q \sim 1/2m$)
- Full evolution of the system experienced

Measurement of charm and beauty hadrons: access to charm and beauty quarks dynamics

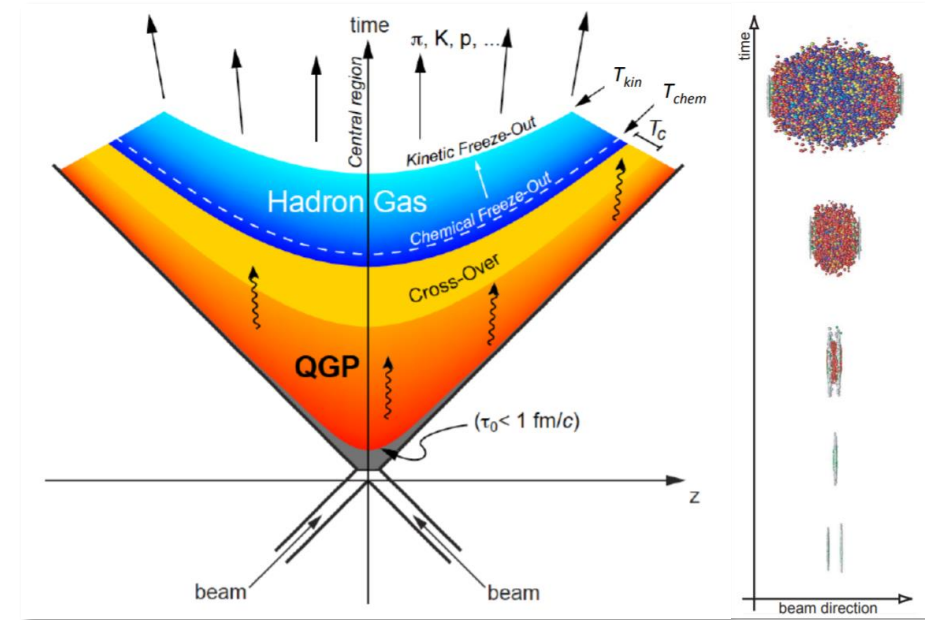
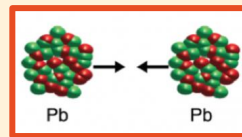
pp collisions

- Tests of pQCD calculations
- Reference for heavy-ion collisions



Pb-Pb collisions

- Hot nuclear matter effects
 - Energy loss in the QGP
 - Collective motion of the system
 - Modification of hadronization mechanisms



CHARM

- $m_c \approx 1.3 \text{ GeV}/c^2$
- $\Delta\tau_c \approx 0.08 \text{ fm}/c$



BEAUTY

- $m_b \approx 4.2 \text{ GeV}/c^2$
- $\Delta\tau_b \approx 0.03 \text{ fm}/c$

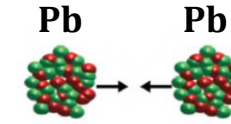
Charm and beauty hadron formation in e^+e^- and Pb-Pb collisions



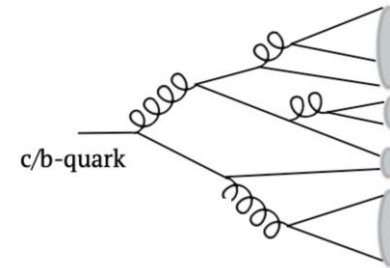
- “Point-like” object interaction
- Fragmentation



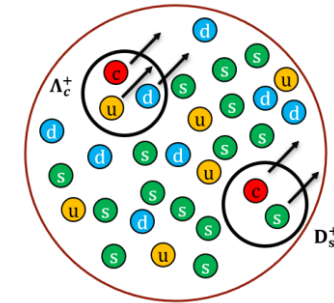
Increasing “point-like” object interactions



- QGP: complex large-size system
- Parton degrees of freedom
- Modification of hadronization mechanisms



Fragmentation



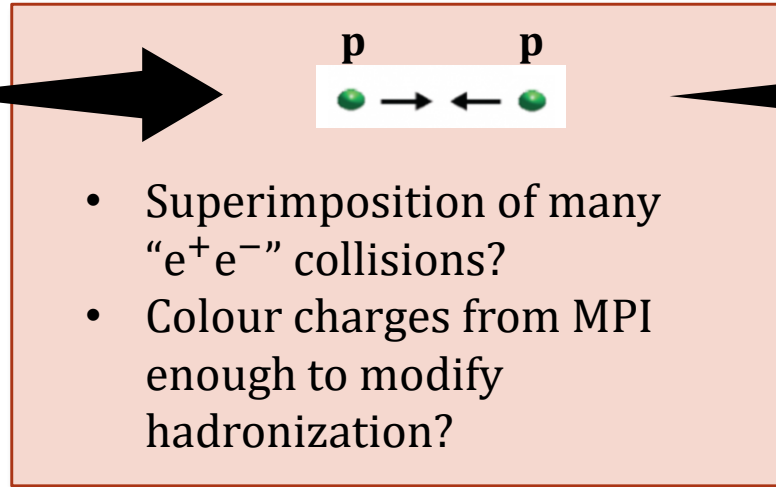
Coalescence

More on F. Bellini' talk "[Experimental overview: hadronization and coalescence in light and heavy flavour sector](#)"

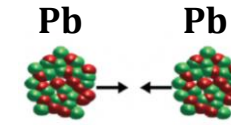
Charm and beauty hadron formation in pp collisions



- “Point-like” object interaction
- Fragmentation



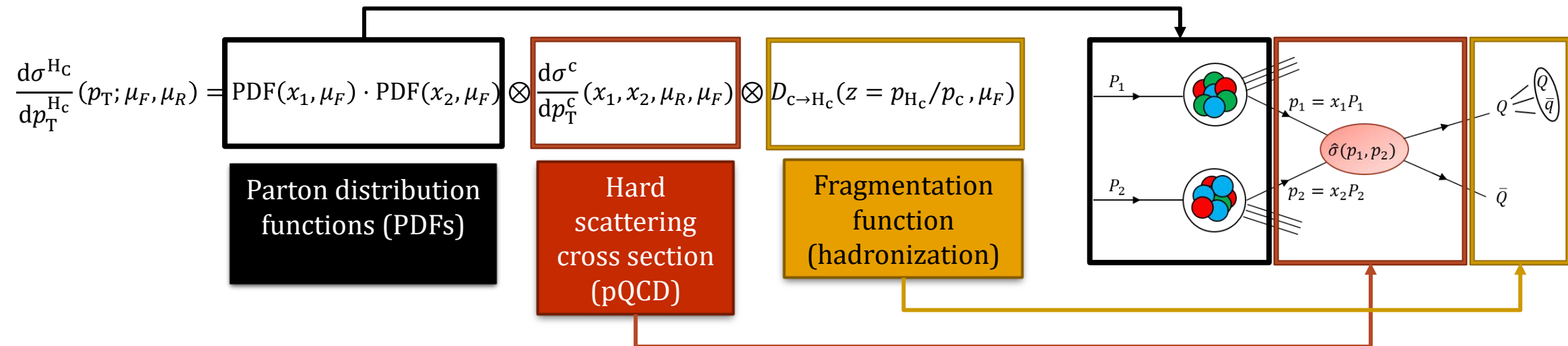
- Superimposition of many “ e^+e^- ” collisions?
- Colour charges from MPI enough to modify hadronization?



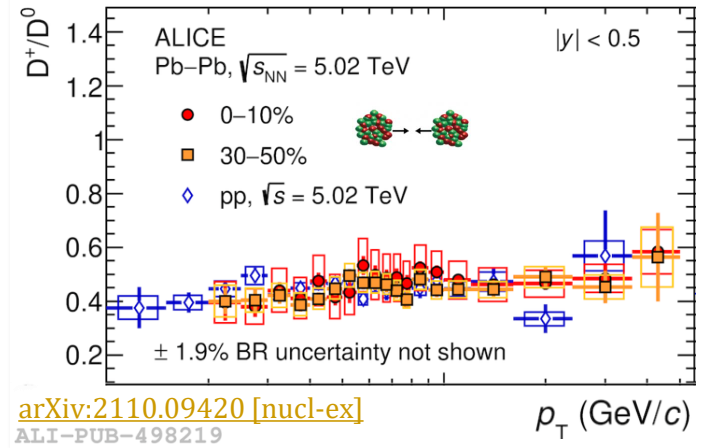
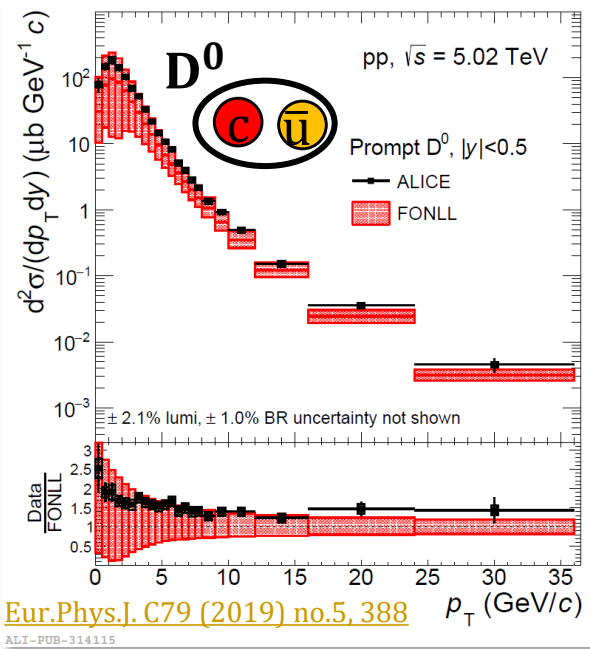
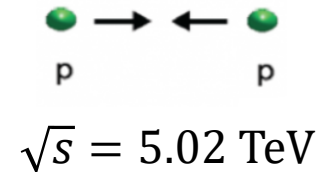
- QGP: complex large-size system
- Parton degrees of freedom
- Modification of hadronization mechanisms

Standard description of heavy-quark hadronization based on the factorization theorem

- Fragmentation functions assumed universal and constrained from e^+e^- and e^-p measurements

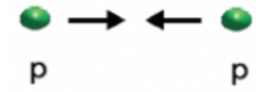


Heavy flavour (HF) production in pp collisions

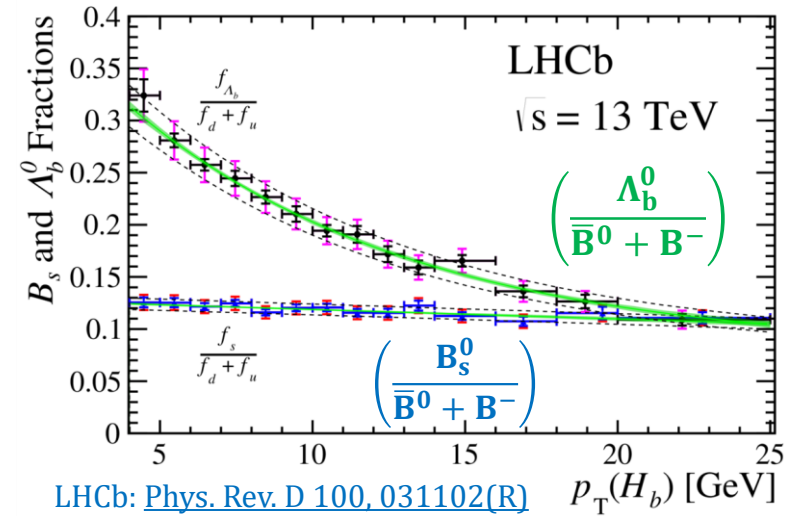
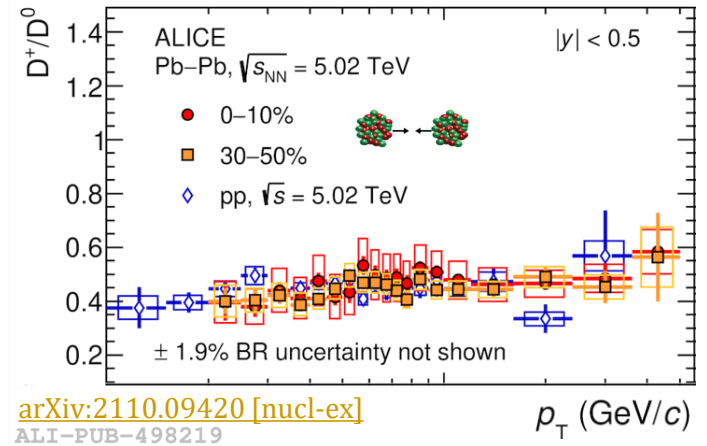
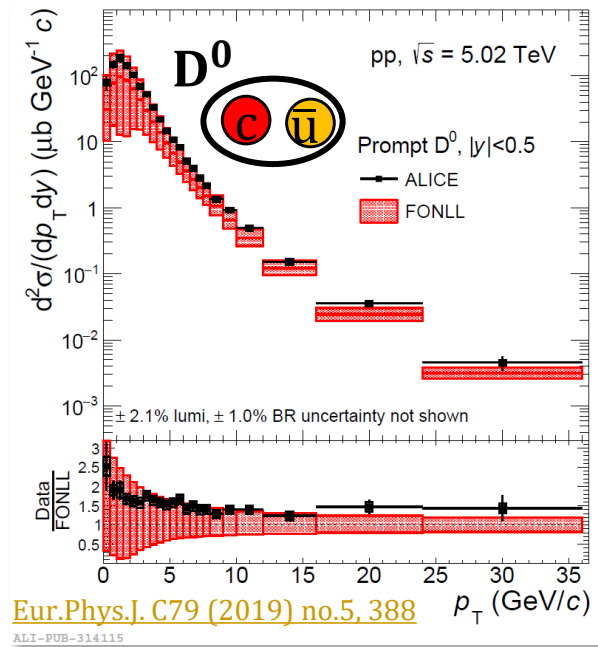
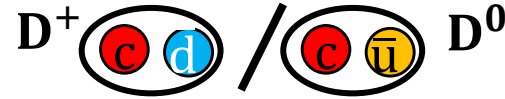


- Fragmentation functions constrained from e^+e^- measurements
- Theoretical models based on a factorisation approach describe the meson production within large uncertainties
- D-meson cross section on the upper edge of FONLL prediction
- D-meson ratios independent among collision systems and vs. p_T

Heavy flavour (HF) production in pp collisions



$\sqrt{s} = 5.02, 13 \text{ TeV}$

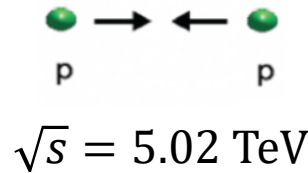


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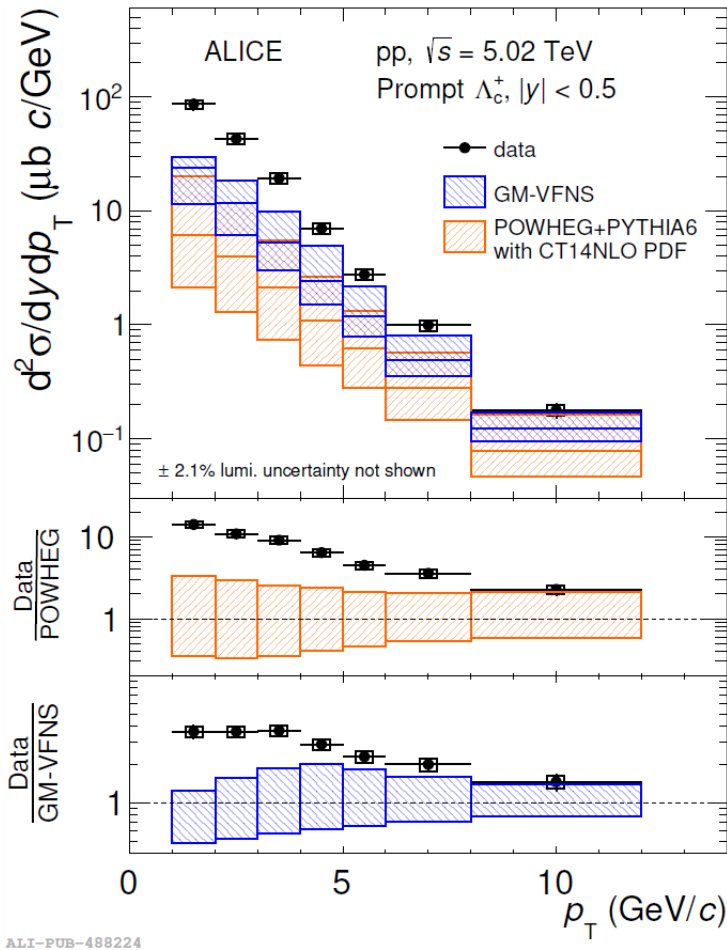
- Beauty baryon-to-meson:
 - Decreasing trend vs. p_T
 - Enhancement at low p_T with respect to $B_s^+ / (\bar{B}^0 + B^-)$



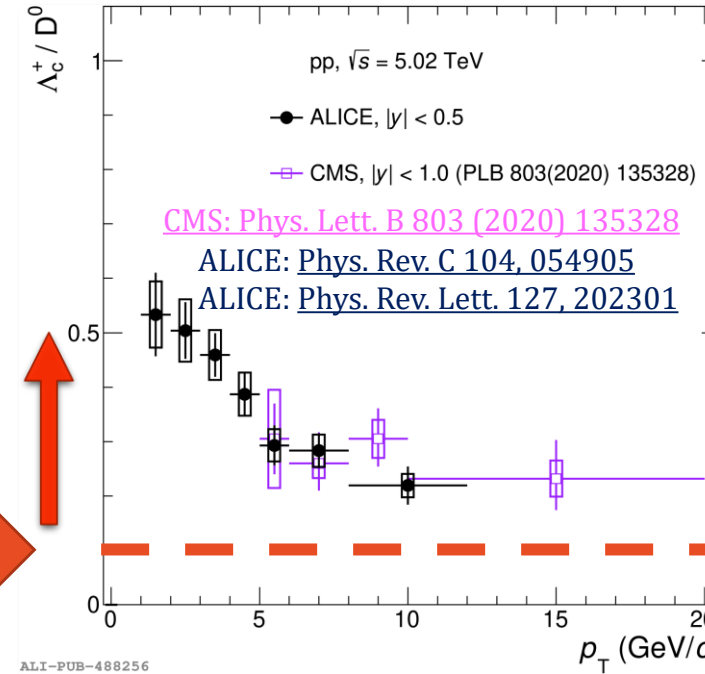
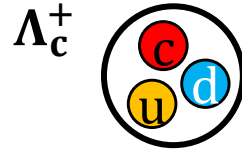
Heavy flavour (HF) production in pp collisions



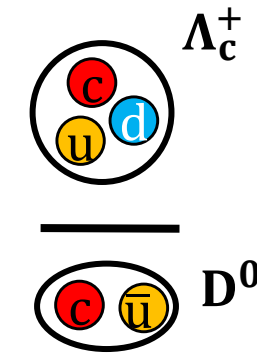
ALICE: [Phys. Rev. C 104, 054905](#) ALICE: [Phys. Rev. Lett. 127, 202301](#)



ALI-PUB-488224



ALI-PUB-488256



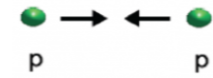
- **Theoretical models** based on the same approach **significantly underestimate the baryon production**
- Λ_c^+/D^0 ratio:
 - Significant decrease with p_T
 - $\times 2.5 - 5$ enhancement in pp collisions compared to e^+e^-



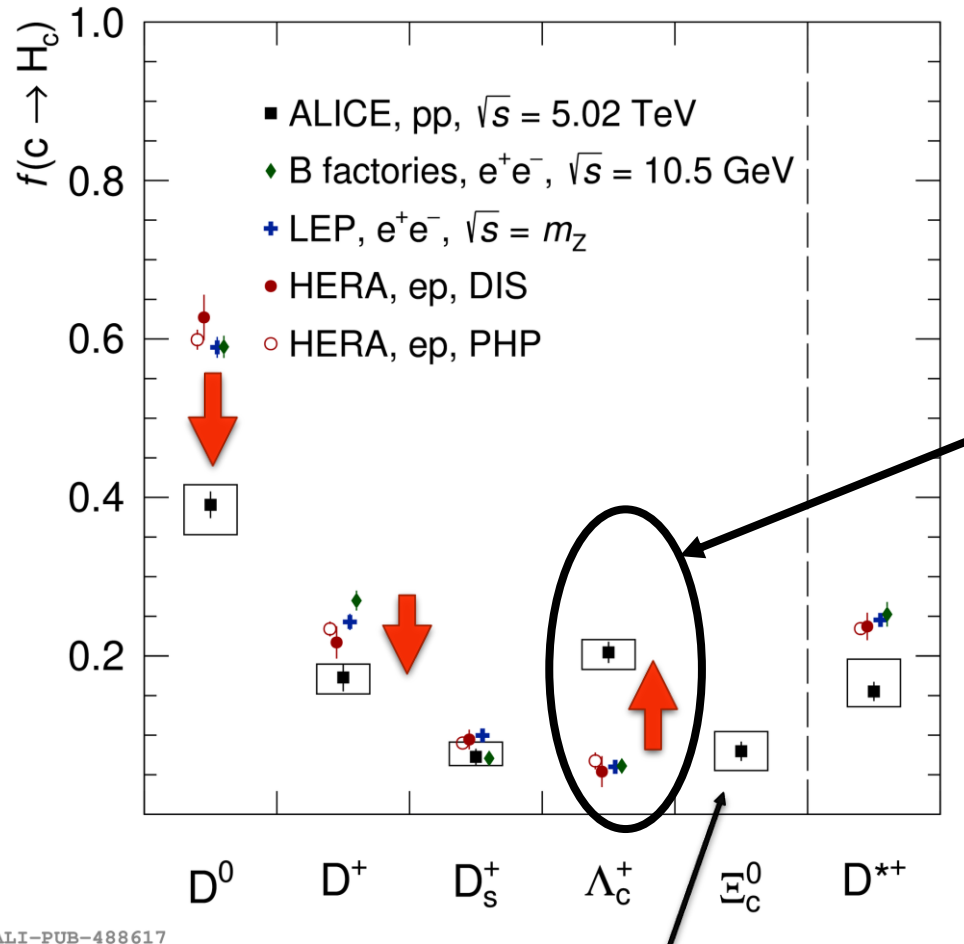
→ Further mechanisms playing a role? → Non-universality of fragmentation functions?

Charm fragmentation fractions in pp collisions

$\sqrt{s} = 5.02 \text{ TeV}$



ALICE: [arXiv:2105.06335](https://arxiv.org/abs/2105.06335)



ALI-PUB-488617

First measurement of $f(c \rightarrow \Xi_c)$

Single species cross section normalised by the sum of all ground states charm hadrons

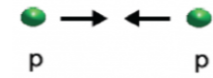
Fragmentation fractions $f(c \rightarrow H_c)$ not universal
 → significant baryon enhancement in pp collisions

H_c	$f(c \rightarrow H_c)[\%]$
D^0	$39.1 \pm 1.7(\text{stat})^{+2.5}_{-3.7}(\text{syst})$
D^+	$17.3 \pm 1.8(\text{stat})^{+1.7}_{-2.1}(\text{syst})$
D_s^+	$7.3 \pm 1.0(\text{stat})^{+1.9}_{-1.1}(\text{syst})$
Λ_c^+	$20.4 \pm 1.3(\text{stat})^{+1.6}_{-2.2}(\text{syst})$
Ξ_c^0	$8.0 \pm 1.2(\text{stat})^{+2.5}_{-2.4}(\text{syst})$
D^{*+}	$15.5 \pm 1.2(\text{stat})^{+4.1}_{-1.9}(\text{syst})$

also for Ξ_c^+

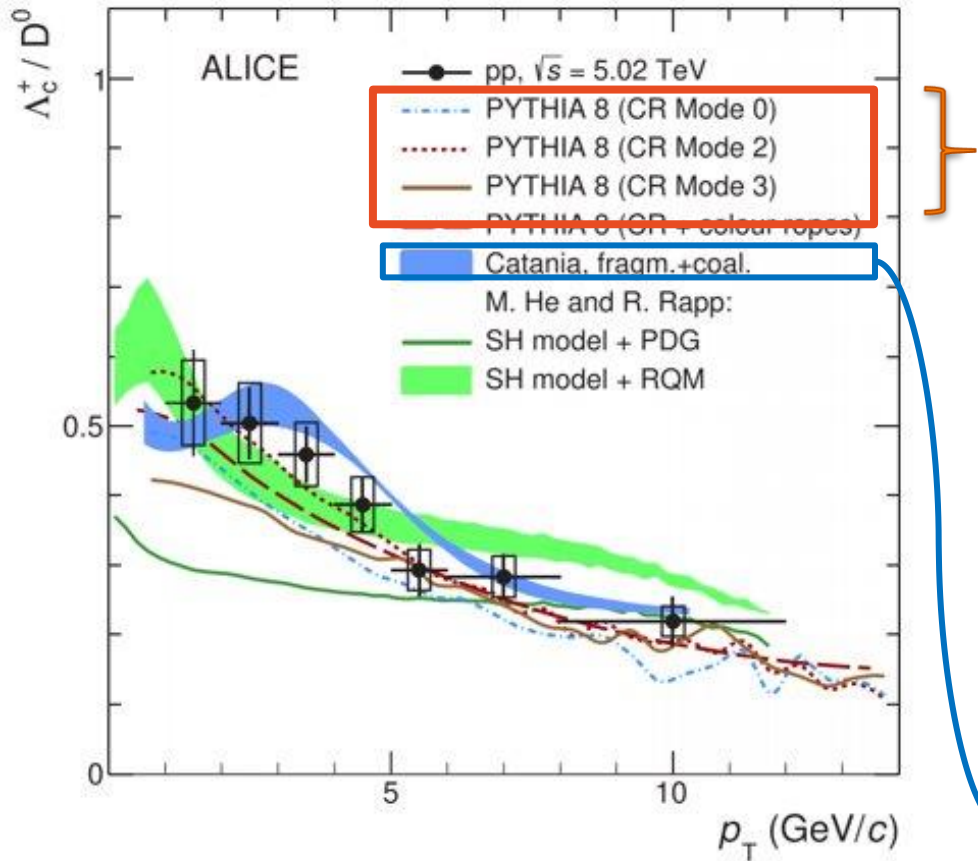
Baryon enhancement – models

$\sqrt{s} = 5.02 \text{ TeV}$

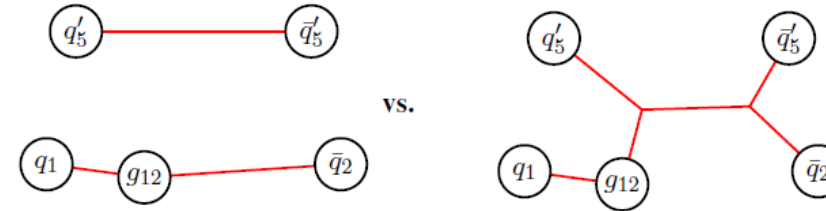


ALICE: [Phys. Rev. C 104, 054905](#) ALICE: [Phys. Rev. Lett. 127, 202301](#)

J.P. Christiansen, P. Z. Skands: [JHEP 1508 \(2015\) 003](#)



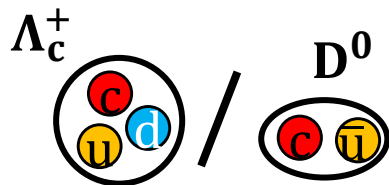
1. [PYTHIA 8 with improved Colour Reconnection \(CR\)](#)
 → **“junction”** topology **enhances charm baryon** production



V. Minissale, S. Plumari, V. Greco: [arXiv:2012.12001](#)

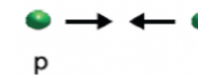
2. [Catania model](#)

- **Thermalised system** of u,d,s and gluons assumed
- Mixed hadron formation
 - a. **fragmentation**
 - b. **coalescence**
 → imposed to be the only mechanism for $p \rightarrow 0$



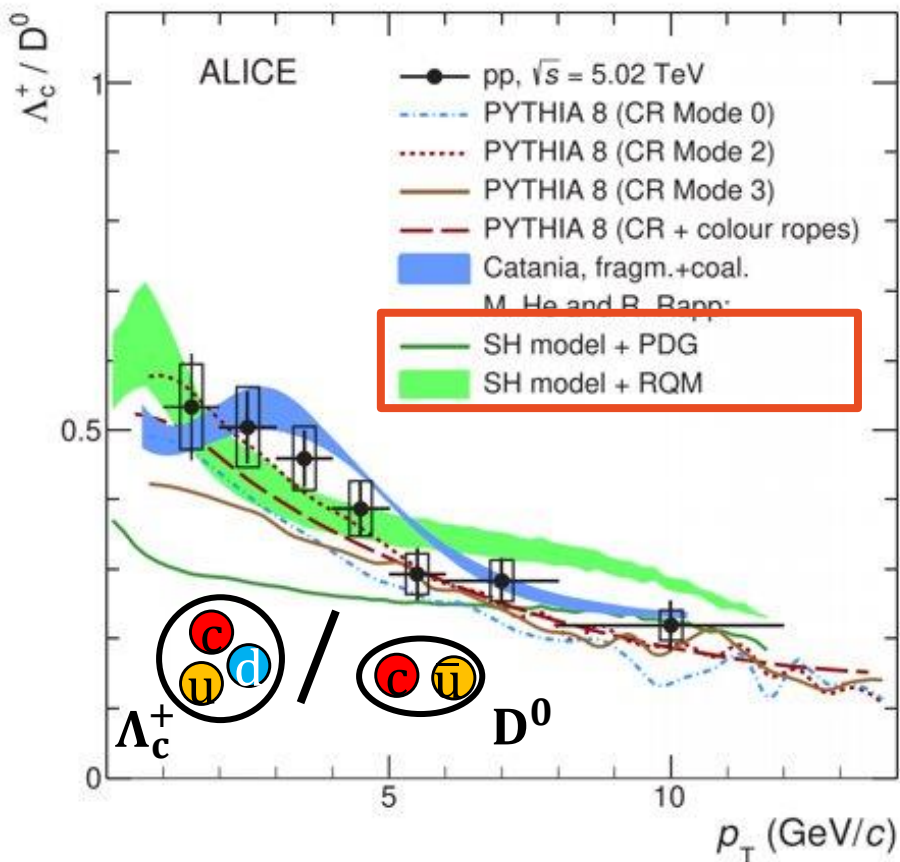
Baryon enhancement – models

$\sqrt{s} = 5.02$ TeV



M. He, R. Rapp: [PLB 795 \(2019\) 117-121](#)

ALICE: [Phys. Rev. C 104, 054905](#) ALICE: [Phys. Rev. Lett. 127, 202301](#)



3. [Statistical Hadronization Model and Relativistic Quark Model \(SHM + RQM\)](#)

- **Hadronization** ruled by thermo-statistical weights **governed by hadron masses** ($n_i \sim m_i^2 T_H K_2(m_i/T_H)$) at a universal hadronization temperature T_H
- Strong **feed-down** from an **augmented set of excited charm baryons**
 - PDG: 5 Λ_c , 3 Σ_c , 8 Ξ_c , 2 Ω_c
 - RQM: additional 18 Λ_c , 42 Σ_c , 62 Ξ_c , 34 Ω_c

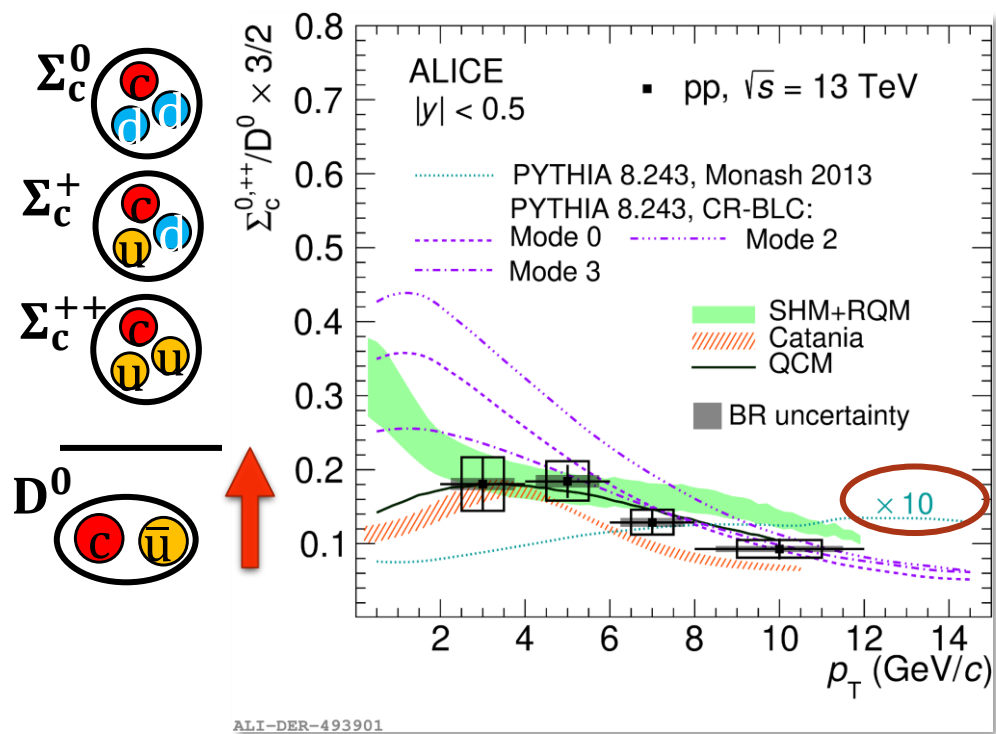
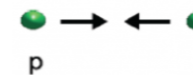
Can further baryon measurements help understanding the mechanisms underlying the baryon enhancement?

$n_i [\cdot 10^{-4} \text{ fm}^{-3}] (T_H [\text{MeV}])$	D^0	D^+	D^{*+}	D_s^+	Λ_c^+	$\Xi_c^{0,+}$	Ω_c^0
PDG (170)	1.161	0.5098	0.5010	0.3165	0.3310	0.0874	0.0064
RQM (170)	1.161	0.5098	0.5010	0.3165	0.6613	0.1173	0.0144

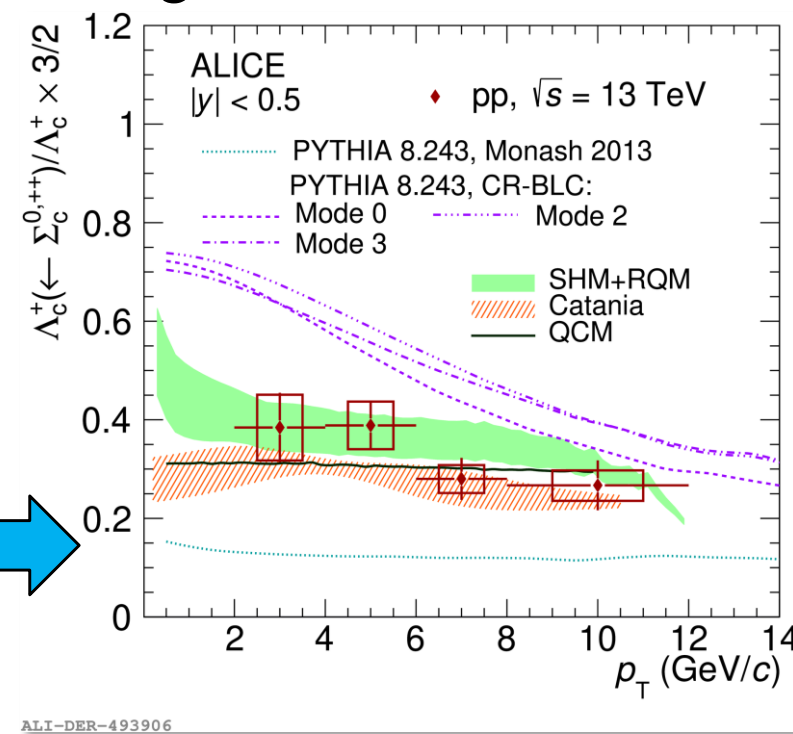
Heavier charmed baryons: $\Sigma_c^{0,+,++}$

ALICE: [arXiv:2106.08278 \[hep-ex\]](https://arxiv.org/abs/2106.08278)

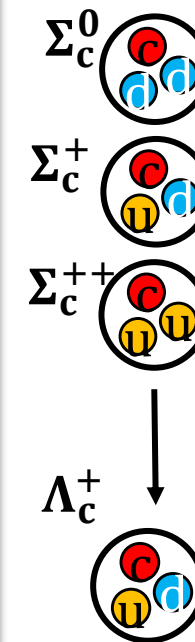
$\sqrt{s} = 13 \text{ TeV}$



ALI-DER-493901



ALI-DER-493906



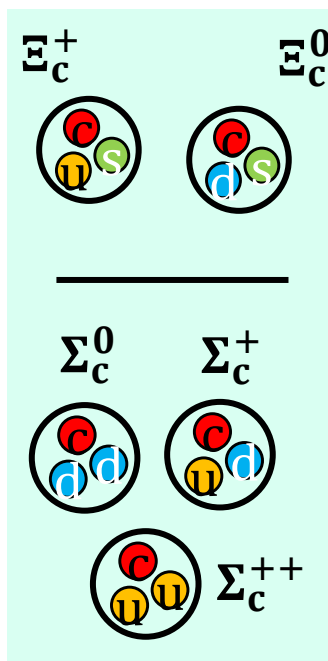
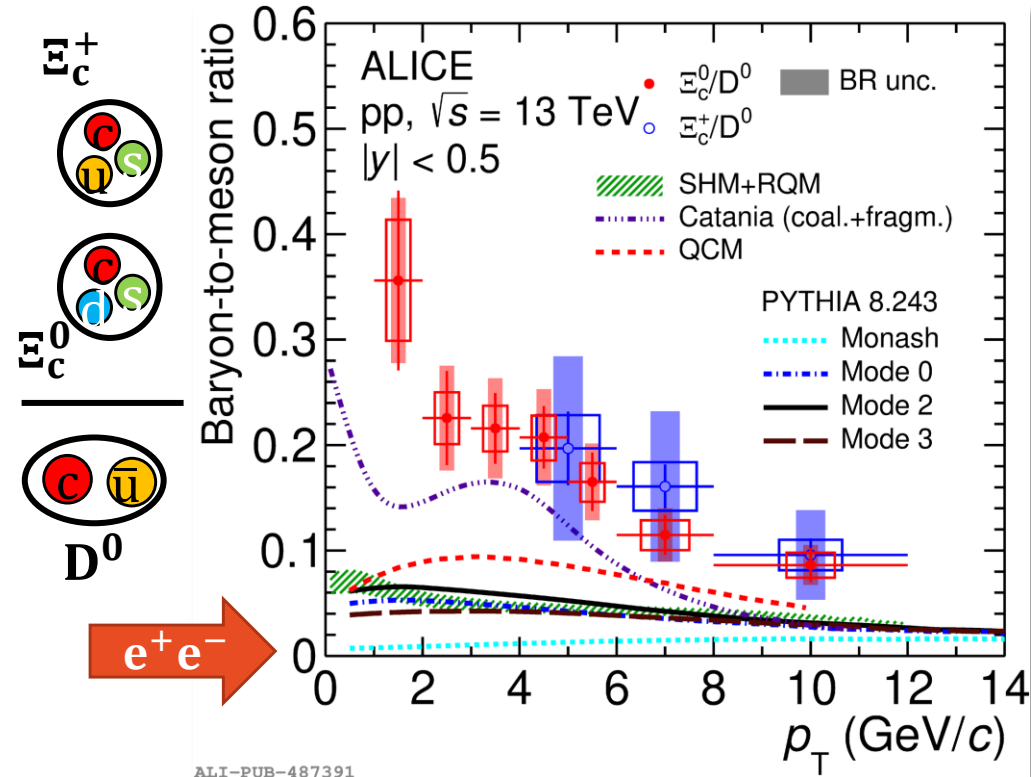
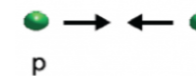
- **Larger** than e^+e^- results (\leftrightarrow Monash)
→ **larger relative enhancement than Λ_c/D^0**
- $\Sigma_c^{0,+,++}/D^0$ partially accounts for larger Λ_c^+/D^0
- Well **described** by **SHM + RQM** and **QCM** (c coalescence with equal-velocity light quarks, thermal weights for abundances) predictions

- Measurement of **Λ_c feed-down from Σ_c**
 $\Lambda_c^+ \leftarrow \Sigma_c / \Lambda_c^+ = 0.38 \pm 0.06(\text{stat.}) \pm 0.06(\text{syst.})$
- **Overestimated** by **CR modes**
→ some parameter to be tuned to describe the direct Λ_c production?

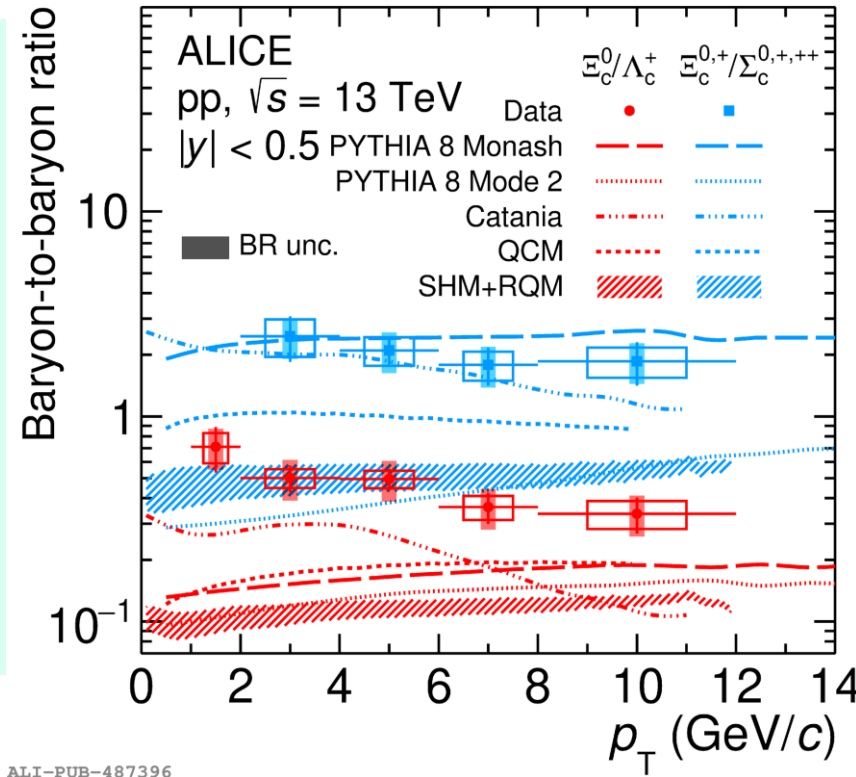
QCM: J. Song, H. Li, F. Shao: [Eur. Phys. J. C \(2018\) 78: 344](https://arxiv.org/abs/1803.09812)

Heavier charmed baryons: $\Xi_c^{0,+}$

$\sqrt{s} = 13 \text{ TeV}$



ALICE: [arXiv:2105.05187](https://arxiv.org/abs/2105.05187)
 ALICE: [arXiv:2105.05616](https://arxiv.org/abs/2105.05616)



ALI-PUB-487396

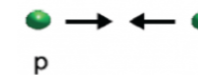
- $\Xi_c^{0,+}/D^0$ shows clear p_T dependence and is **larger** than e^+e^-
- Significantly **underestimated** by models
 - Something anomalous with strange quarks?
 - $D_s^+/(D^0 + D^+)$ compatible with expectations from e^+e^- → **baryons are 'strange'**
- Catania (fragm. + coal.) works better

- $\Xi_c^0/\Sigma_c^{0,+,++}$ in **agreement** with **Monash**
 → similar enhancement for the two baryons w.r.t e^+e^- collisions?



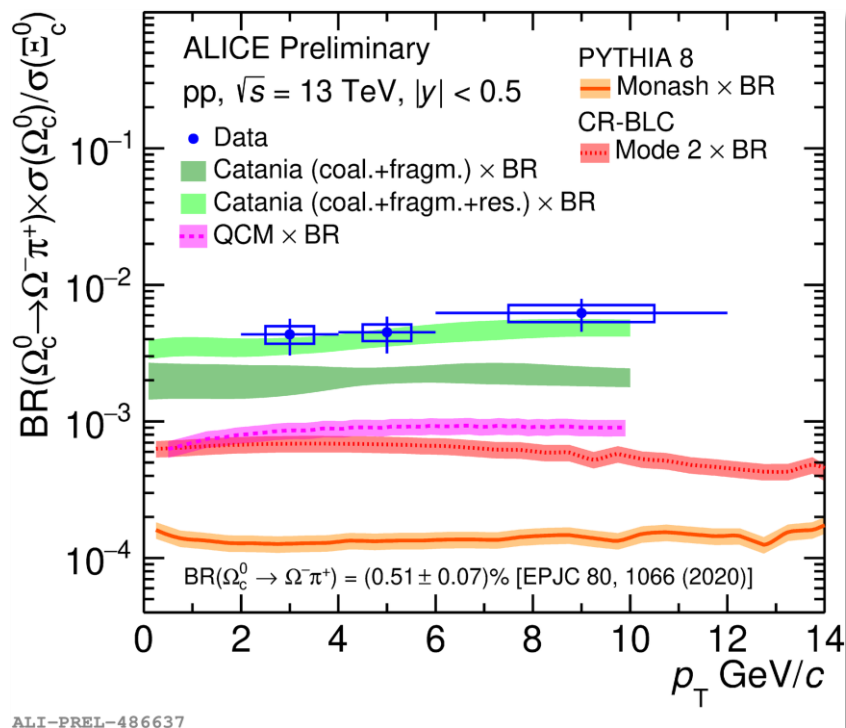
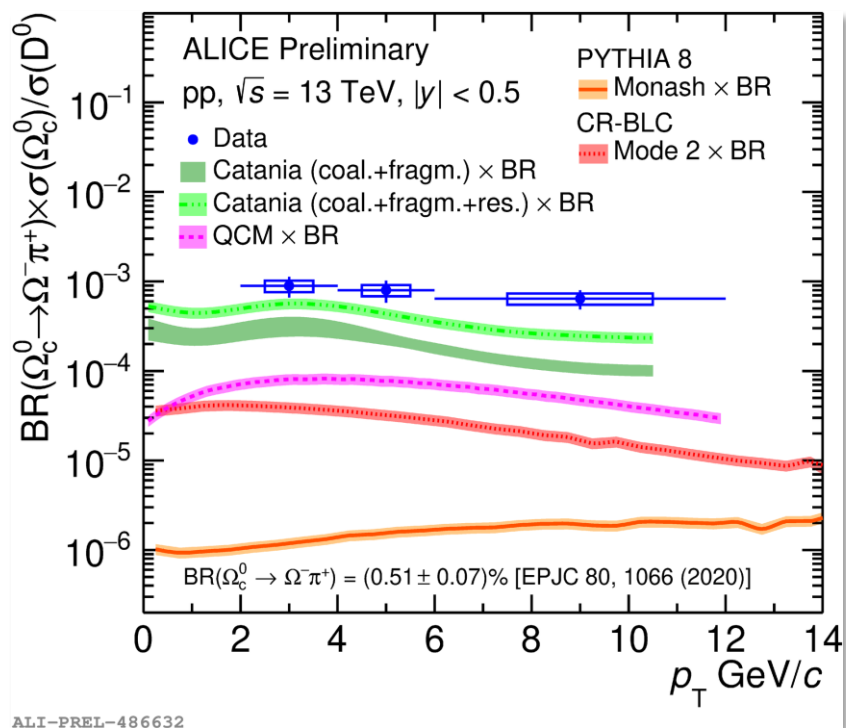
Heavier charmed baryons: Ω_c^0

$\sqrt{s} = 13 \text{ TeV}$



26th November 2021

Mattia Faggin - University and INFN, Padova (Italy)



$$\frac{\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \times \Omega_c^0}{D^0}$$

$$\frac{\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \times \Omega_c^0}{\Xi_c^0}$$

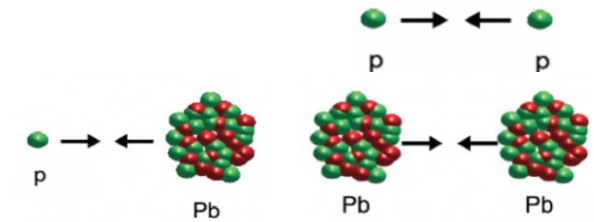
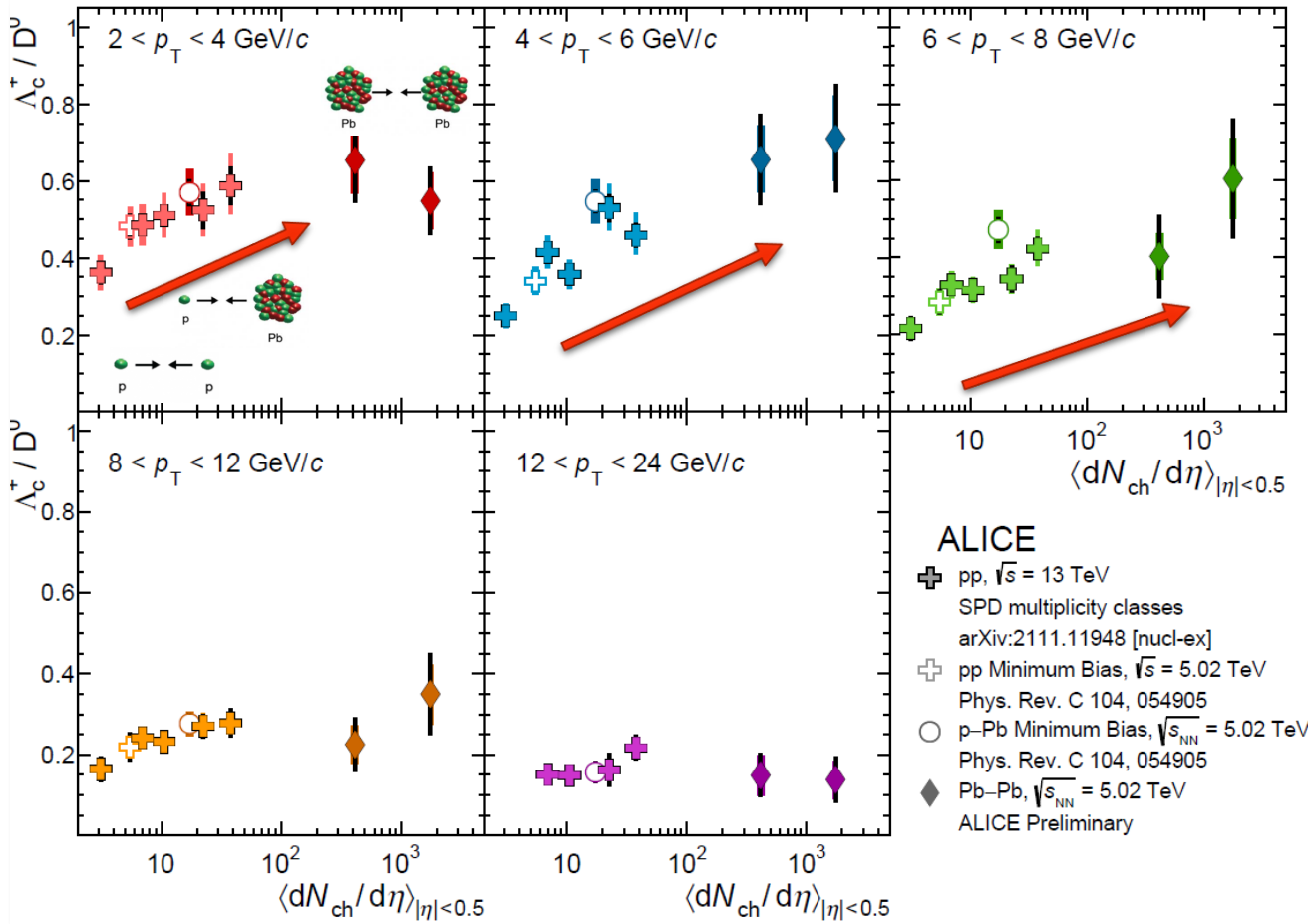
- **Pythia 8** with **CR underestimates** data
- **Coalescence models** get **closer** to Ω_c^0/D^0 , but still not enough (**higher-mass resonance** decays included)

$\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) = (0.51 \pm 0.07)\%$
 (Y. Hsiao et al. EPJC 80, 1066 (2020)) used to scale model predictions \rightarrow not measured

Ω_c^0 : sizeable contribution to charm production at LHC energies?

Charm production vs. multiplicity

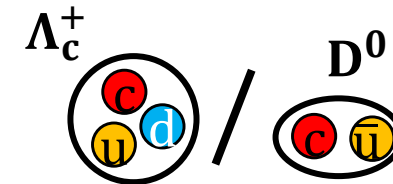
$\sqrt{s_{NN}} = 5.02, 13 \text{ TeV}$



- pp, p-Pb, Pb-Pb colliding **systems** compared through **common multiplicity estimators**
- Λ_c^+ / D^0 ratio smoothly **increasing** at intermediate p_T from pp to Pb-Pb

- **Same underlying processes ruling the HF production in different colliding systems?**

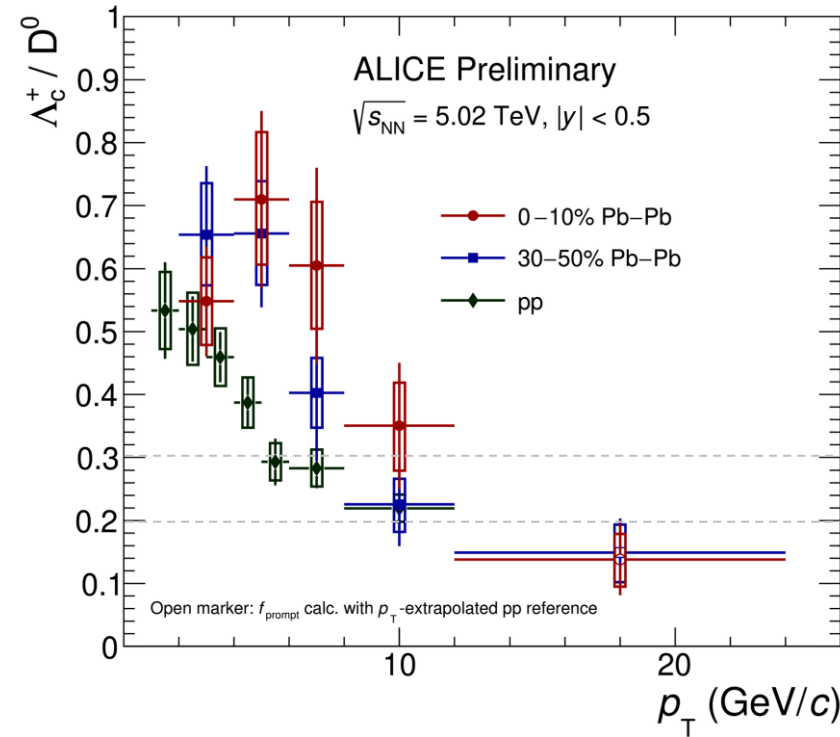
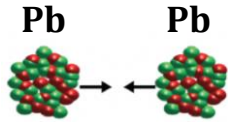
→ Increasing event multiplicity



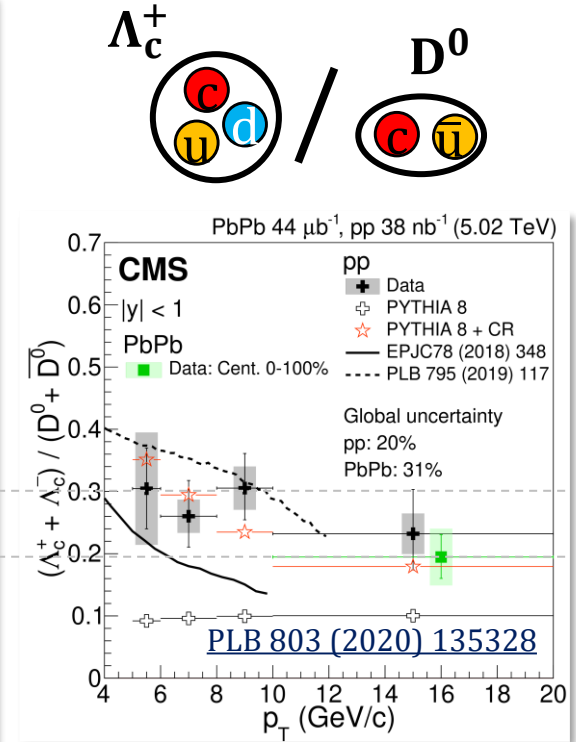
More on C. Terrevoli's talk: ["Experimental results on open heavy flavour vs. multiplicity"](#)

Λ_c^+ / D^0 ratio in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

- Hint of higher Λ_c^+ / D^0 ratio at intermediate p_T in Pb-Pb collisions than in pp
- Radial-flow push in Pb-Pb?
- Modification of hadronization?

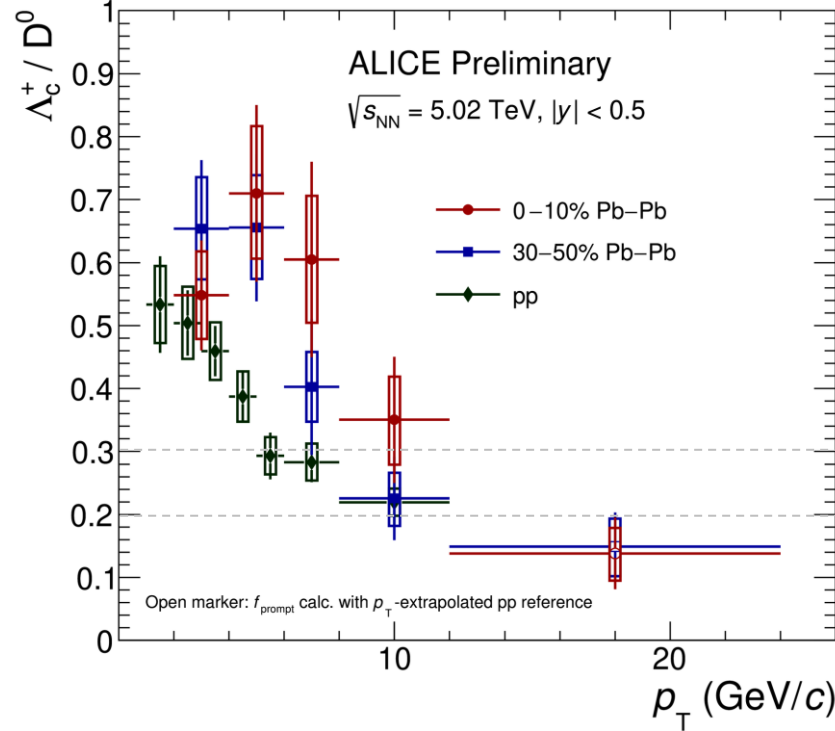
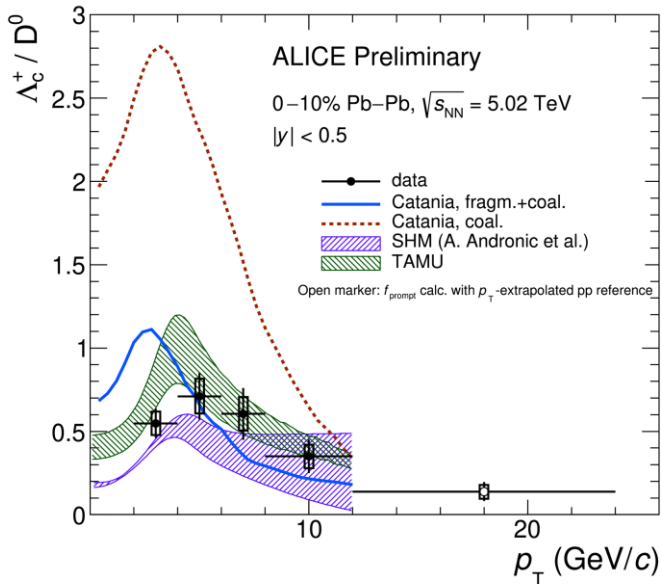
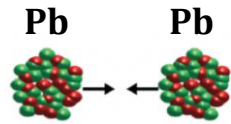


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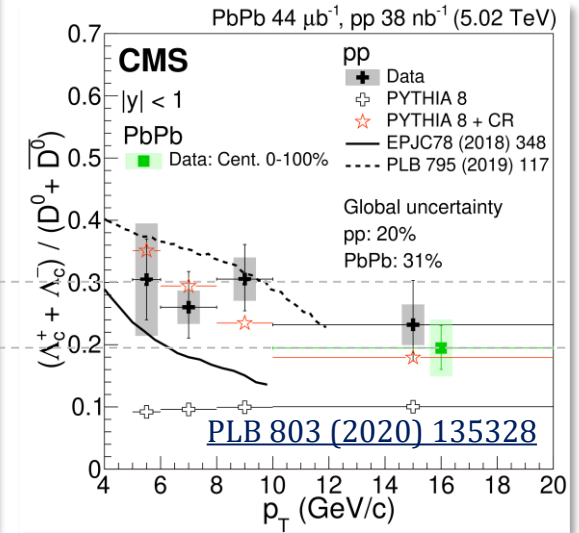
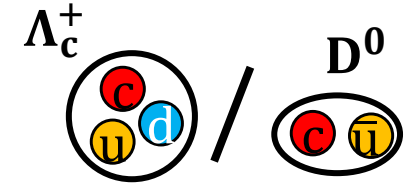


Λ_c^+ / D^0 ratio in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

- Hint of higher Λ_c^+ / D^0 ratio at intermediate p_T in Pb-Pb collisions than in pp
- Radial-flow push in Pb-Pb?
- Modification of hadronization?



ALI-PREL-321702



- Measurement described by:
 1. statistical hadronization models
 2. models implementing the heavy-quark hadronization via fragmentation + coalescence
- A pure coalescence picture fails

ALI-PREL-321682

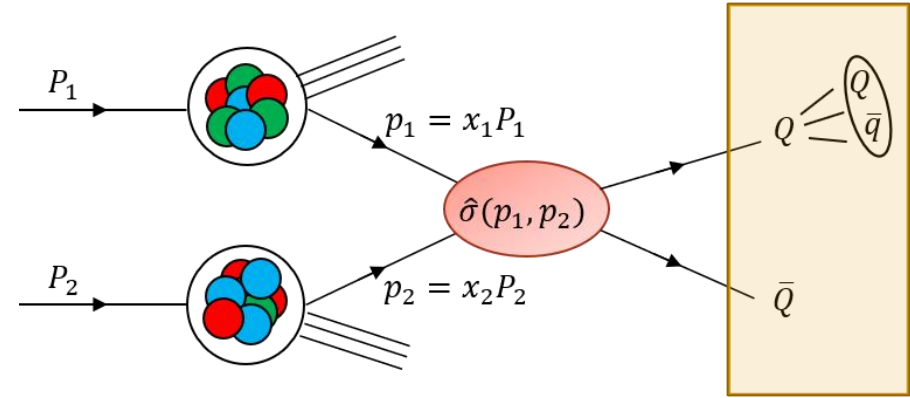
Summary

LHCb: CHIN. PHYS. C44 (2020) 022001

LHCb: PRL 124, 082002 (2020)

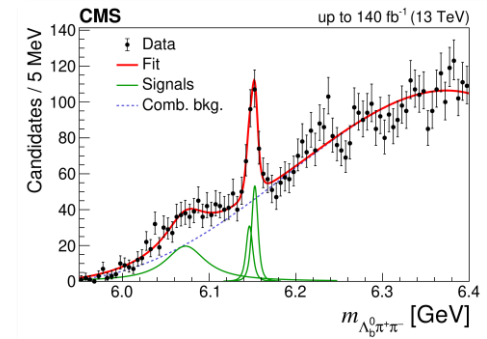
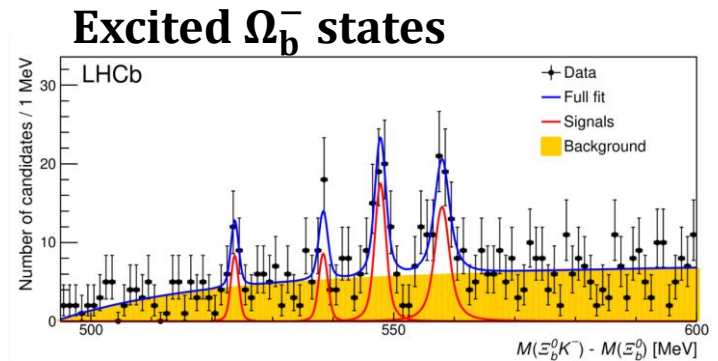
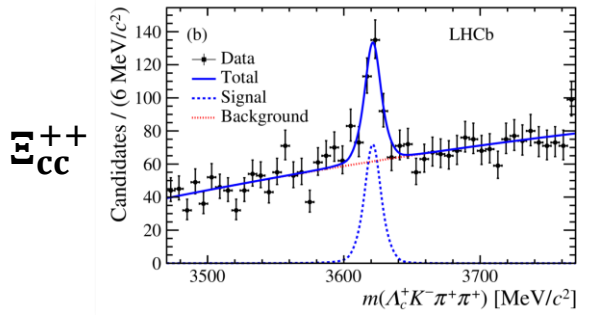
CMS: arXiv:2001.06533 [hep-ex]

- Standard picture for the **heavy flavour production** in pp collisions based on the factorization approach, assuming **universal fragmentation functions**
- Recent results from LHC show that this **assumption** is **no more valid** in **hadronic collisions** at LHC



- New mechanisms in place?
- Hidden relationship among collision systems? →

More on C. Terrevoli's talk:
[“Experimental results on open heavy flavour vs. multiplicity”](#)



Excited Λ_b^0 states

- Further inputs on hadron structure from multi-HF production and spectroscopy measurements
- Joint effort between theory and experiments to investigate the baryon enhancement is necessary!

Thank you very
much for your
attention!



Backup

The role of Λ_c^+ and $\Sigma_c^{0,+,+}$

(PYTHIA 8)
 $m(\text{ud})_0 = 579 \text{ MeV}/c^2$
 $m(\text{ud})_1 = 771 \text{ MeV}/c^2$

Belle, $e^+e^- \sqrt{s} = 10.52 \text{ GeV}$
 ([Phys. Rev. D 97, 072005](#))

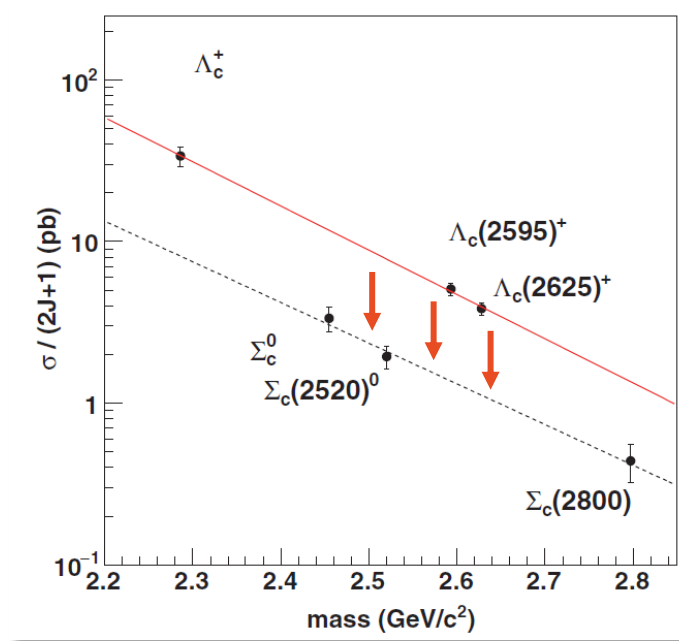
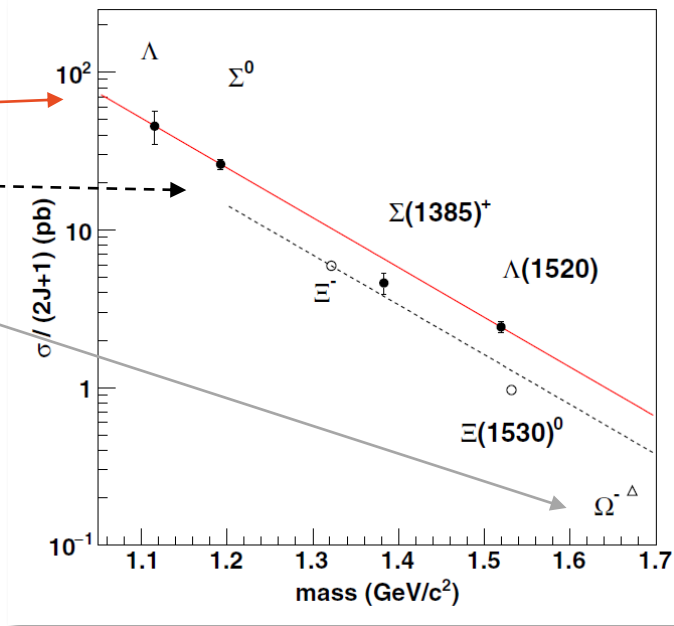
$$f(m) = a_0 \exp(a_1 m)$$

$S = -1$

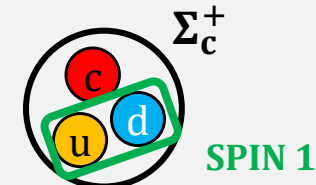
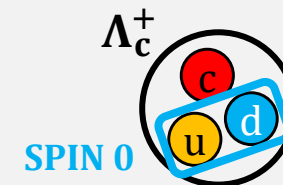
$S = -2$

$S = -3$

Hierarchy driven
by $s\bar{s}$ pair creation



- In conventional fragmentation:
 - charm picks up a **spin-0** $(\text{ud})_0$ diquark $\rightarrow \Lambda_c^+$ ($I = 0$)
 - charm picks up a **spin-1** $(\text{ud})_1$ diquark $\rightarrow \Sigma_c^+$ ($I = 1$)

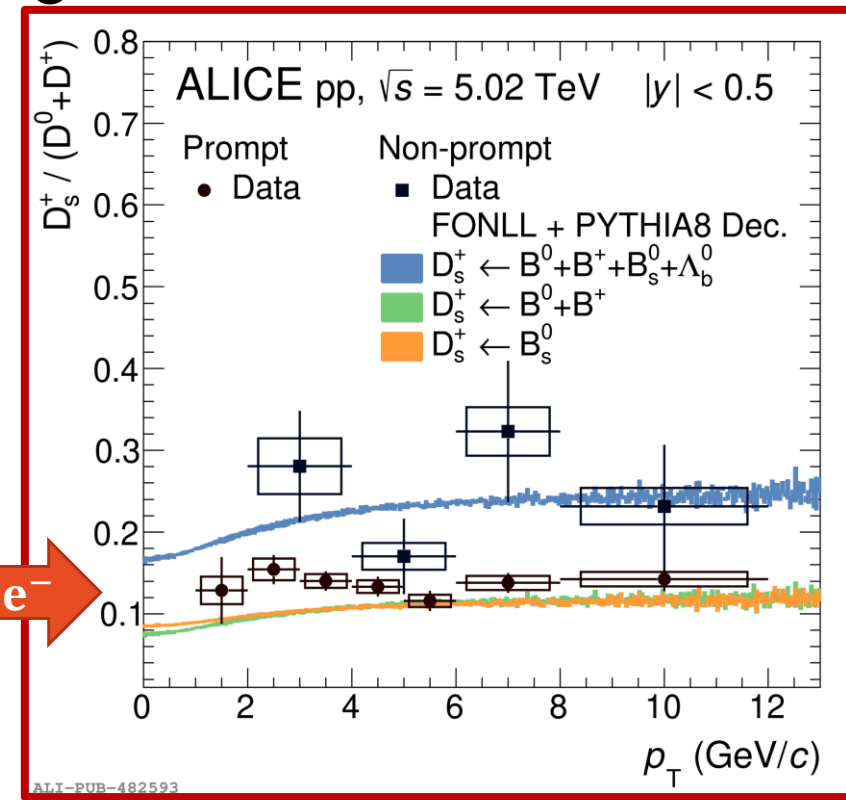
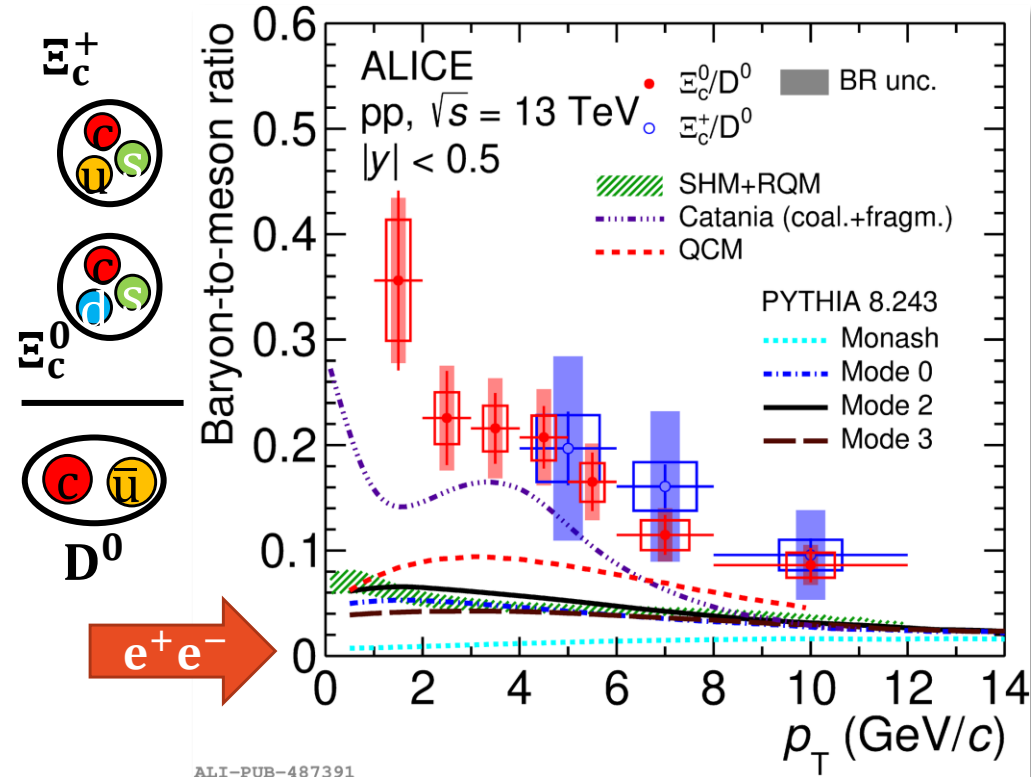
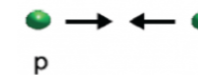


- $(\text{ud})_1$ mass much larger than $(\text{ud})_0$
 \Rightarrow production of Σ_c states expected to be suppressed compared to Λ_c^+

- Σ_c -state production suppressed by ~ 3 -4 times that of excited Λ_c^+ states in e^+e^- collisions at $\sqrt{s} = 10.52 \text{ GeV}$

Heavier charmed baryons: $\Xi_c^{0,+}$

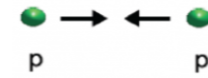
$\sqrt{s} = 13 \text{ TeV}$



- $\Xi_c^{0,+}/D^0$ shows clear p_T dependence and is **larger** than e^+e^-
- Significantly **underestimated** by models
 - Something anomalous with strange quarks?
 - $D_s^+/(D^0 + D^+)$ compatible with expectations from e^+e^-
 → **baryons are 'strange'**
- Catania (fragm. + coal.) works better

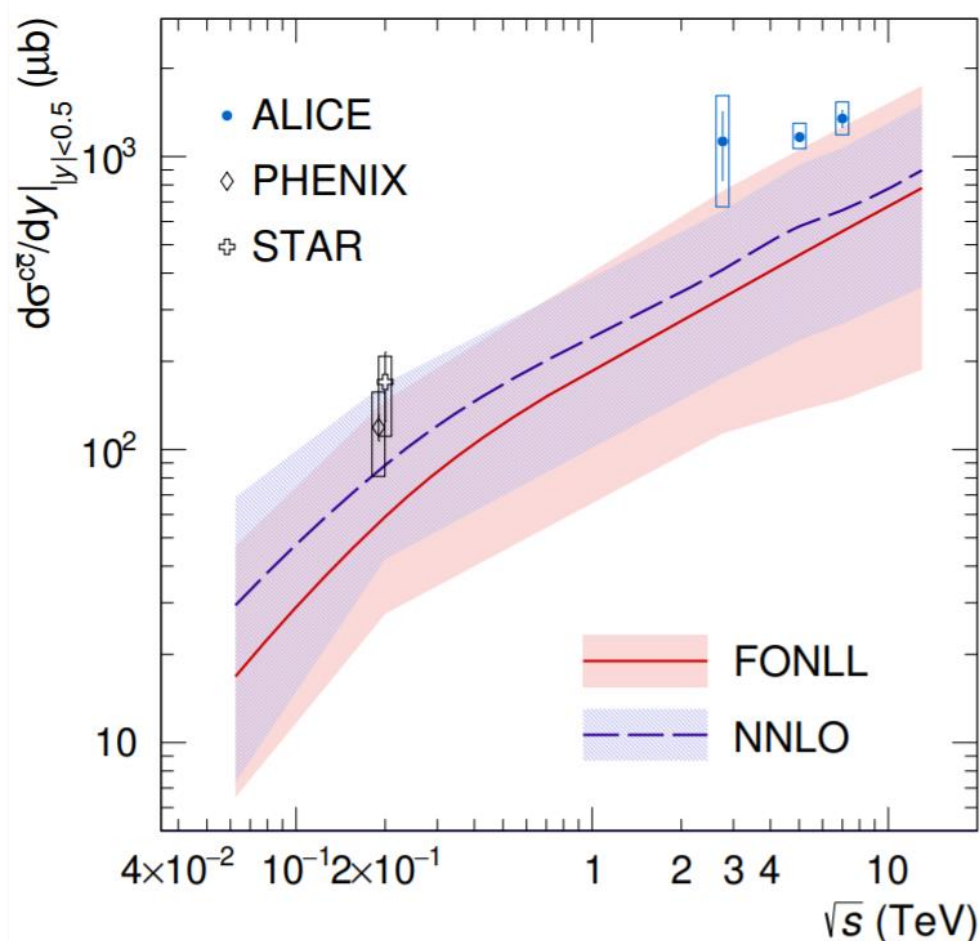
$c\bar{c}$ cross section in pp collisions

$\sqrt{s} = 5.02$ TeV



NEW

ALICE: [arXiv:2105.06335](https://arxiv.org/abs/2105.06335)



ALI-PUB-488622

- **$c\bar{c}$ production cross section** at midrapidity in **pp collisions at $\sqrt{s} = 5.02$ TeV** measured as sum of ground state hadron cross sections

$$(d\sigma^{c\bar{c}}/dy)_{|y|<0.5} = 1165 \pm 44(\text{stat.})_{-101}^{+134}(\text{syst.}) \mu\text{b}$$

- **Results previously published** at $\sqrt{s} = 2.76$ and 7 TeV from D mesons **updated** with fragmentation fractions from $\sqrt{s} = 5.02$ TeV analysis
→ **40% increase**
- **Higher** values driven by the observed **baryon enhancement** in pp collisions
- Results on **upper edge of FONLL and NNLO** calculations

FONLL: [JHEP 1210 \(2012\) 137](https://arxiv.org/abs/1202.4075)

NNLO: [PRL 118 \(2017\) 122001](https://arxiv.org/abs/1702.02727), [JHEP 03 \(2021\) 029](https://arxiv.org/abs/2105.06335)

PHENIX: [Phys. Rev. C 84 \(2011\) 044905](https://arxiv.org/abs/1008.4887)

STAR: [Phys. Rev. D 86 \(2012\) 072013](https://arxiv.org/abs/1202.4075)