

# *On sliding, hiccupping and the multiverse*

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A. Strumia and D. Teresi, *Cosmological constant: relaxation vs multiverse*,  
Phys.Lett. B797 (2019) 134901. arXiv:1904.07876 [gr-qc]

P. Ghorbani, A. Strumia and D. Teresi, *A landscape for the  
cosmological constant and the Higgs mass*, JHEP ????. arXiv:1911.01441 [hep-th].



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*...not a drunk talk*



## Post-naturalness

- Standard Model and  $\Lambda$ CDM very (too much) successful
- usual list of unexplained facts: dark matter, baryon asymmetry, isotropy. . .
- . . . plus the old **naturalness problems**:
  - why is the Universe big? (i.e. gravity is way way way way weaker than quantum mechanics would suggest)
  - why does the Universe have a non-boring history? (i.e. the cosmological constant is way way way way smaller than quantum mechanics would suggest)
- now getting unfashionable, but not having found the solution doesn't mean that a problem has disappeared
- classical approaches to naturalness based on symmetries: quantum corrections cancelled because of symmetry. However, symmetric partners of known particles have not been found (yet?)
- post-naturalness: the problem is still there, but qualitatively new paradigms, e.g.
  - unnatural values special points of cosmological dynamics (first part of the talk)
  - environmental solutions: huge number of vacua, including unnatural ones (2nd part)

# Part I

## *The Hiccupping Universe*

## Why putting a flat scalar field in the sky?

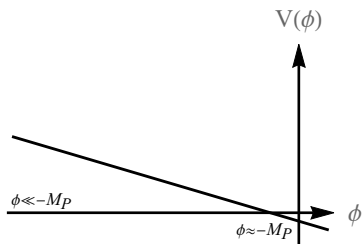
- classical approaches to naturalness based on symmetries
- more recently: approaches based on **dynamics** in the Early Universe (paradigmatic example for the Higgs mass: relaxion [Graham, Kaplan, Rajendran, '15])
- ingredients:
  - some dynamics in the early Universe
  - different values of parameters (Higgs mass, CC, ...) are scanned
  - unnatural values special points of dynamics
  - there a back-reaction is triggered, that stops dynamics
- also for the CC!  
[Abbott '85; Alberte, Creminelli, Khmelnitsky, Pirtskhalava, Trincherini '16; Graham, Kaplan, Rajendran, '19]
- typically involve a **scalar field** with a **bottom-less quasi-flat potential**

## Cosmology with a bottom-less scalar

- scalar field with  $\mathcal{L} = \frac{1}{2}(\partial\phi)^2 - V(\phi)$  with  $V(\phi) \simeq -g^3\phi$   $g$  tiny
- for large  $-\phi \gg M_P \rightarrow$  inflation with

$$H^2 = \frac{8\pi}{3M_P^2} \left( \frac{\dot{\phi}^2}{2} + V(\phi) \right)$$

- classical slow roll up to  $-\phi \sim M_P$
- then  $V(\phi)$  quickly becomes negative and compensates  $\dot{\phi}^2$ :  
**expansion**  $\rightarrow$  **contraction**
- slow-roll ends at  $\phi \sim -M_P$ , turning point at  $\phi \sim M_P$



## Relaxation of the cosmological constant [Graham, Kaplan, Rajendran, '19]

- cosmological constant has **relaxed** from  $V_{\text{in}} \sim g^3 \phi_{\text{in}}$  to  $V_{\text{end}} \sim -g^3 M_P$ , with  $|V_{\text{end}}| \lll V_{\text{in}}$
- Universe is now collapsing, but **small CC** has become a **special point** of dynamics
- anti-de Sitter vacua “terminal”?
- resolution of singularity not known  $\rightarrow$  it makes sense to assume the possibility of a **rebound** mechanism (e.g. [Graham, Kaplan, Rajendran, '17])
- assumption: during the rebound  $V$  is changed by **small**  $V_{\text{rebound}}$
- if  $|V_{\text{end}}| \lesssim V_{\text{rebound}} \approx \text{CC}$ :  $O(1)$  probability to have observed Universe
- GKR want to avoid eternal inflation  $\leftrightarrow$  spatial multiverse  

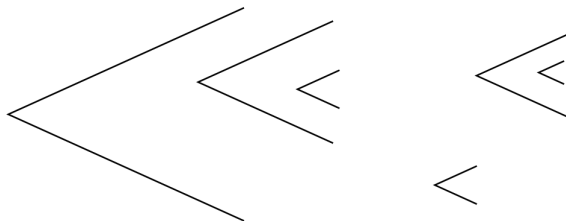
$$\phi_{\text{in}} > \phi_{\text{class}} \implies V_{\text{in}} \lesssim g^2 M_P^2 \approx \text{MeV}$$

## ... but the story goes on ... [Strumia, Teresi, '19]

- $\phi$  keeps rolling down...
- we found that the recollapse happens unavoidably (unless the assumptions fail)
- **again**, at  $V \simeq V_{\text{end}} = -g^3 M_P$  recollapse, re-heating, bounce, expansion, ...
- if  $V_{\text{rebound}} > V_{\text{class}}$ :
  - quantum evolution now dominates  $\rightarrow$  eternal inflation
  - tunnelling/quantum fluctuations bring locally a patch to  $V < V_{\text{class}}$
  - this patch relaxes, collapses, bounces and back to  $V_{\text{rebound}} > V_{\text{class}}$
  - qualitatively similar to standard spatial multiverse (and to [Garriga, Vilenkin, '12])
- if  $V_{\text{rebound}} < V_{\text{class}}$  (the Universe “hiccupps”):
  - the whole Universe (or the starting patch) follows classical evolution
  - it undergoes, as a whole, **cycles of finite life-time**
  - formally an infinite number of cycles, each with different  $V \sim V_{\text{rebound}} \longleftrightarrow \text{CC}$
  - a **“hiccupping” temporal multiverse** is generated!

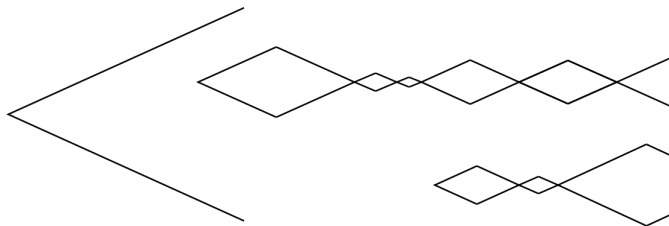


# The hiccupping multiverse



- Universes with **finite** (not exponentially long!) life-time regardless of **sign** of CC
- no “monsters” inside the hiccupping multiverse:
  - exponentially long de Sitter (like in  $\Lambda$ CDM) would make Boltzmann brains more probable than us  $\rightarrow$  killed by the finite lifetime
  - similarly for the youngness paradox (although avoided by some measures already in the spatial multiverse)
- more “probable” to get observed small CC through this dynamics, rather than directly from spatial multiverse

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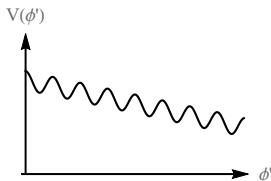
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# Hiccupping

- hiccupping  $\longleftrightarrow$   $V$  doesn't change much at each bounce
- **disordered landscape** (like from string theory) could exist or not; the bounce shouldn't trigger it (e.g.  $T_{\text{bounce}} \ll M_P$ )
- the mechanism needs an **ordered landscape**: minima close-by in field space have similar energy [Abbott '85; Graham, Kaplan, Rajendran '15; Arvanitaki, Dimopoulos, Gorbenko, Huang, Van Tilburg, '16; Cline, Espinosa '18; Geller, Hochberg, Kuflik '18; Cheung, Saraswat '18; Hook, '19]

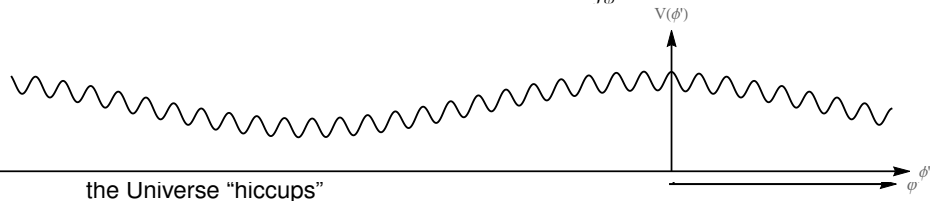
- example: Abbott's model  $V_{\phi'} = -g_{\phi'}^3 \phi' - \Lambda^4 \cos \frac{\phi'}{f_{\phi'}}$  ( $\phi'$  could be  $\phi$ )

- at each contraction/bounce/expansion a phase where fluctuations dominate and  $\phi'$  diffuses (upwards and downwards)
- at each cycle  $V$  changes a little, the Universe “hiccupps”



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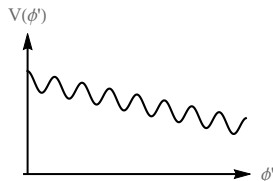


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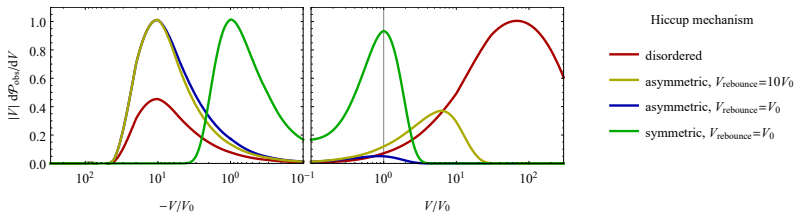


# “““““Probabilities”””””

- disclaimer: “probabilities” for 1 observer (us), affected by infinities...
- probability for a given CC  $V$  as measured by an observer:

$$\mathcal{P}_{\text{obs}}(V) = \mathcal{P}(V) \mathcal{P}_{\text{ant}}(V) \quad (\text{Bayes' theorem})$$

- anthropic  $\mathcal{P}_{\text{ant}}$  affected by infinities (measure probl.):  $\mathcal{P}_{\text{ant}}(V) \propto \int dt \mathcal{V}_{\text{reg}} \frac{d^2 n}{dt dV}(V)$
- anthropic factor  $\mathcal{P}_{\text{ant}}(V)$  favours  $V \approx 100$  CC (  $\implies$  anthropics not enough? )  
[Weinberg '87, '00; Garriga, Vilenkin '99]
- a-priori distribution  $\mathcal{P}(V)$  given by **hiccupping dynamics**
- dynamics gives  $V \simeq 0$  as **special point**,  $\mathcal{P}(V)$  can peak there



## Part II

# *QFT Landscape*

## Environmental solutions to naturalness

- the scalar potential has a huge ( $\gtrsim 10^{160}$ ) number of minima
- they form a **landscape**, i.e. the cosmological constant  $V$  and the Higgs vev  $v$  scan on super-horizon bubbles
- typical scale of the potential  $M \approx M_{\text{Pl}}$  but the scan includes  $V \approx \text{meV} \simeq 0$  and  $v \approx \text{TeV} \simeq 0$  for “statistical” and structural reasons  $\implies$  successful landscape
- a selector mechanism “chooses” the unnatural Universe
  - anthropics: galaxies form only if  $V \lesssim \mathcal{O}(100)V_{\text{obs}}$  [Weinberg '89, ...], elements spread [D'Amico, Strumia, Urbano, '19] only if  $v \sim \text{TeV}$ .  

*“Anthropics is a serious thing”* (A. Strumia)
  - hiccupping dynamics [Strumia, Teresi, '19]
  - other dynamics [... Giudice, Kehagias, Riotto, '19]
- string-theory compactifications can give  $\mathcal{O}(100)$  fluxes  $\implies \gtrsim 10^{160}$  different minima. A successful landscape? Difficult to calculate...
- QFT with  $\mathcal{O}(100)$  scalars can give  $\gtrsim 10^{160}$  different minima. Calculate?



## QFT landscape

- assume QFT is valid (e.g. SUSY at Planck scale limits sensitivity to UV)
- finding if a generic potential has many minima  $\rightarrow$  no general solution
- **calculable** possibility  $\rightarrow$  non-interacting scalars: [Arkani-Hamed, Dimopoulos, Kachru '05]

$$V = \sum_{i=1}^N V_i(\phi_i) \quad \text{with} \quad V_i = V_i^0 - \frac{\mu_i^2}{2} \phi_i^2 - \frac{A_i}{3} \phi_i^3 + \frac{\lambda_i}{4} \phi_i^4$$

- all dimensionful quantities at the high scale  $M$
- structure technically natural
- QFT  $\implies$  each scalar has two minima  $V_{\text{av}}^i \pm V_{\text{diff}}^i$
- summing  $N \gg 1$  scalars:  $2^N$  vacua, scanning the cosmological constant  $V$  (successfully? back on this later)
- no landscape for masses: to scan the Higgs mass  $H$  should be introduced as a special field with large interactions with many  $\phi_i$  (rather ad hoc...)

## A fragile landscape

- large generic interactions destroy the landscape: each stationary point has probability  $\mathcal{P} \sim 1/2^N$  to be a minimum  $\rightarrow$  most are saddle points
- actually worse than this:  
Random Matrix Theory  $\implies$  eigenvalues repel,  $\mathcal{P} \sim e^{-N^2/4}$  [Aazami, Easter, '05]
- landscape destroyed for  $\lambda_{\text{cross}} \sim 1/(N\sqrt{\log N})$
- mass scanning:  $\delta m^2 \sim \sqrt{N}\lambda_{\text{cross}}v^2 \sim v^2/\sqrt{N\log N}$
- light field with probability  $\mathcal{P} \sim 2^N e^{-\frac{1}{2}\left(\frac{\mu^2}{\delta m^2}\right)^2} \sim 2^N e^{-N\log N} \rightarrow 0$
- is it possible to have a **landscape with large cross-interactions?**  
( $\implies$  masses are scanned, including the Higgs one)

## Bi-quadratic landscape

- we find that an approximately **bi-quadratic landscape** works

[Ghorbani, Strumia, Teresi, '19]

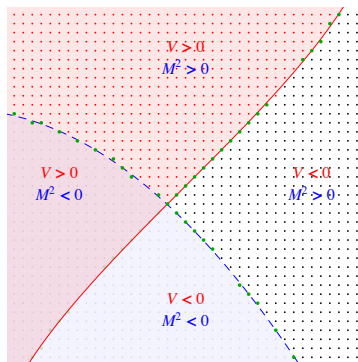
- rather than from non-interacting scalars, start from unperturbed  $\mathbb{Z}_2^N$ -symmetric:

$$V = V_0 - \frac{1}{2} \sum_{i=1}^N \mu_i^2 \phi_i^2 + \frac{1}{4} \sum_{i,j=1}^N \lambda_{ij} \phi_i^2 \phi_j^2$$

- calculable: minima by linear equation  $\lambda_{ij} v_j^2 = \mu_i^2$
- mass matrix  $\frac{\partial^2 V}{\partial \phi_i \partial \phi_j} = 2\lambda_{ij} v_i v_j$
- $\lambda_{ij}$  is positive semi-definite (stability)  $\implies$  all masses are positive  
 $\implies$  all stationary points are **minima!**
- cross-couplings can be large  $\lambda_{ij} = R^T \cdot \text{diag}(\lambda_i) \cdot R$ ,  $R$  with large angles  $\sim \theta$

# Perturbations

- all minima have the same height and same mass matrix
- to obtain a landscape, add **perturbations**, e.g.
  - cubics  $-\frac{1}{3}A\phi^3$  with  $A = 2\mu\sqrt{\lambda}\epsilon$
  - linear  $-B\phi$  with  $B = 2\mu^3\epsilon/\sqrt{\lambda}$
  - general quartics  $\propto \epsilon$
- by increasing  $\epsilon \lesssim \theta$ , at some point some masses become negative
  - $\implies$  light scalar at boundary
  - $\implies$  Higgs



## Scanning

- scalar masses are scanned  $\delta m^2 \approx \sqrt{N} \mu^2 \theta \epsilon$
- $\delta m^2$  grows with  $N \implies$  **many light scalars** in the landscape
- for the cosmological constant same as in [Arkani-Hamed, Dimopoulos, Kachru '05]:  
it depends on unknown overall scale of potential
- take for instance  $V \sim N V_{\text{av}} \sim N \frac{\mu^4}{\lambda}$
- scanning of cosmological constant  $\delta V \sim \sqrt{N} V_{\text{diff}} \sim \sqrt{N} \frac{\mu^4 \epsilon}{\lambda}$
- probability of small cosmological constant:  $\mathcal{P} \sim 2^N e^{-\frac{1}{2} \left(\frac{V}{\delta V}\right)^2} \sim 2^N e^{-\mathcal{O}(1)N}$
- however, choice of  $\mathcal{O}(1)$  factors essentially arbitrary  
 $\implies$  **success is possible**, but not guaranteed

## A successful landscape

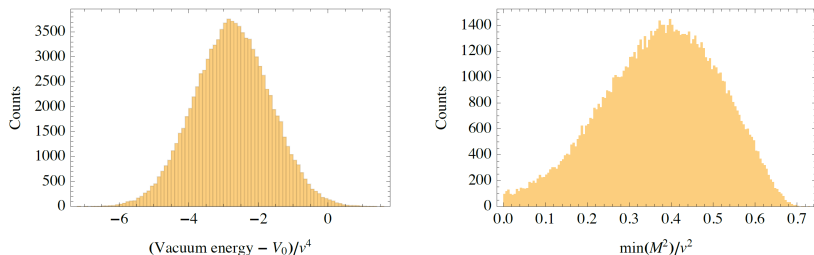
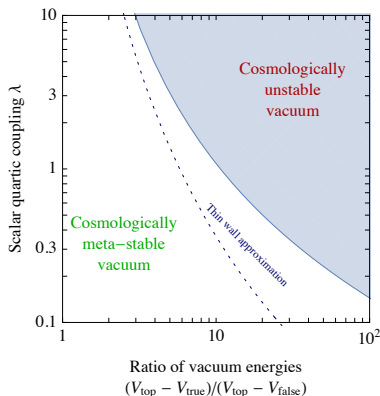


Figure 2: We consider  $N = 100$  scalars with the bi-quadratic potential of eq. (7) for quartics  $\lambda_{ij}$  as in eq. (10), with comparable diagonal eigenvalues  $\lambda_i \approx 1$  extracted from a Gaussian, mixing angles  $\theta \sim 0.1$ , vacuum expectation values  $v_i = v$ . We add cubic terms  $V_{\text{odd}} = \mathcal{O}(0.1)v\phi_i^3$ . We compute  $10^5$  random local minima and show their distribution of the vacuum energy (left plot) and of the lightest scalar squared mass (right panel).

# Vacuum decay

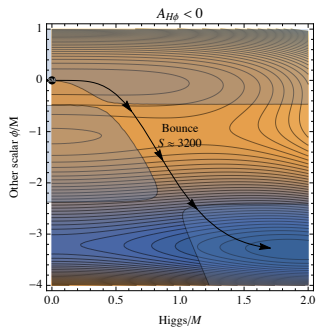
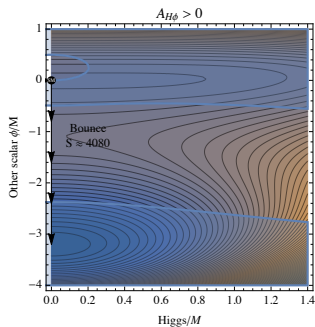
- are the “good” vacua sufficiently stable?
- [Arkani-Hamed, Dimopoulos, Kachru '05] estimate  $S_{\text{bounce}} \sim 27\pi^2/\lambda \implies \lambda \lesssim 0.5$  in tension with sufficient scanning of CC
- for  $\approx$  independent fields we find:



- vacuum decay by single-field bounce  
 $\implies \sim N$  bounces, not  $2^N$
- sufficient scanning of CC  $\longrightarrow$  Ratio  $\gtrsim 2$
- **compatible with stability**

## Vacuum decay with a light scalar

- does the presence of a light scalar ( $\rightarrow$  Higgs) make vacuum decay fast?
- by studying 2-field toy examples we find that:
  - yes for vacua that start from  $\phi \approx \mu$  in  $\mathbb{Z}_2$  limit (not the Higgs): perturbations that reduce  $m^2$  also reduce the barrier
  - not for vacua that start in symmetric phase  $h = 0$  if large cubics are not present (like the Higgs, because of gauge): a barrier  $\lambda|H|^4$  protects the minimum



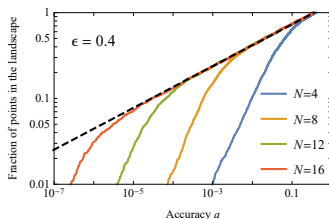
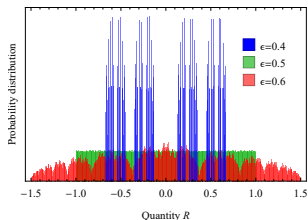


## Non-Gaussian landscape?

- are distributions **necessarily** so **featureless** as Gaussians?

- consider a quantity  $R$  such that  $R = \sum_{i=1}^N s_n r_n$  with  $s_n = \pm 1$

- if all  $r_n$  at the same scale  $f(R) \rightarrow$  Gaussian
- if hierarchies in  $r_n \implies$  central-limit theorem not valid or with slow convergence
- toy example:  $r_n = \epsilon^n$ , with  $0 < \epsilon \leq 1$



- hope for some predictivity?

## Conclusions

- no new physics at LHC, Planck, ...
- naturalness issues have gotten worse, not better

*Two roads diverged in a wood, and I-  
I took the one less traveled by,  
And that has made all the difference.*  
(R. Frost)

- the beaten road: find a symmetry that cancels contributions that create un-naturalness, predict symmetry-partners of SM, not (yet?) find them
- the less beaten road: Standard Model is un-natural, but un-naturalness because of some dynamical mechanism
  - dynamics in the early Universe?
  - observer bias?
  - a combination of both?
  - ...
- the un-beatable road (in my opinion): deny the problem

*Well, now I realise that my chance is today. As a scientist, I have the privilege to live in a new era of crisis. Ideas thrive in the periods of crisis dominated by uncertainty and confusion [...] A new paradigm change seems to be necessary.* (G.F. Giudice, The Dawn of the Post-Naturalness Era, 2017)

*The End*