

Cryogenics by use of 4 K Pulse Tube Cryocoolers

Guenter Thummes

***Institute of Applied Physics, University of Giessen, Germany
and***

***TransMIT-Center for Adaptive Cryotechnology and Sensors
TransMIT GmbH, Giessen, Germany***

- Short overview: PTC development in Giessen
- Status of two-stage 4 Kelvin PTCs
- Examples of applications (*He-liquefaction, magnet cooling,*)
- Low-temperature limit of PTCs (*^3He -PTC with $T_{\min} = 1.27 \text{ K}$*)
- Cooler-induced vibrations and vibration decoupling
- (Powerful single-stage PTC)
- (Possible problems: -- *Orientation dependence of performance*
-- *Intrinsic temperature oscillations*)

Pulse tube development in Giessen since 1993

Gifford-McMahon (GM)-type PTCs

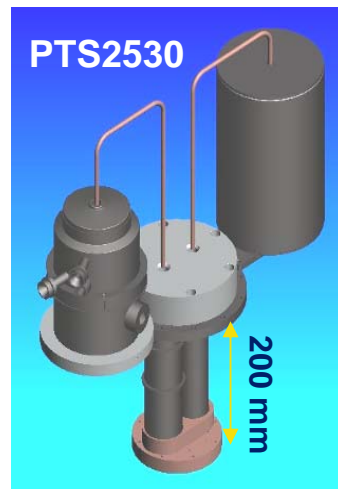
$f = 1 - 2 \text{ Hz}$

2-stage PTCs for LHe-temperatures



Input power: 2 – 10 kW
Cooling power:
0.2 – 1.1 W @ 4.2 K

1-stage PTCs for cooling at 25-100 K



Input power: 2 – 12 kW
Cooling power:
80 W @ 50 K (6 kW)
30 W @ 80 K (2 kW)

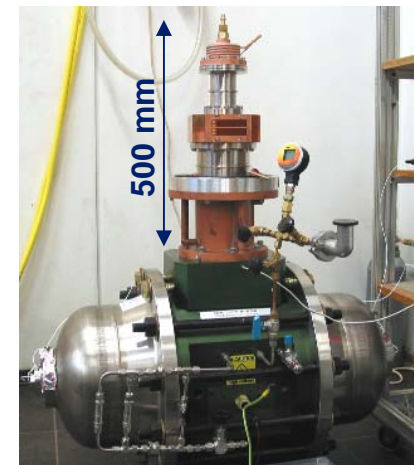
1-stage Stirling-type PTCs

$f = 40 - 60 \text{ Hz}$

With 50 - 200 W linear compressors
Cooling power: 0.2 – 8 W @ 80 K



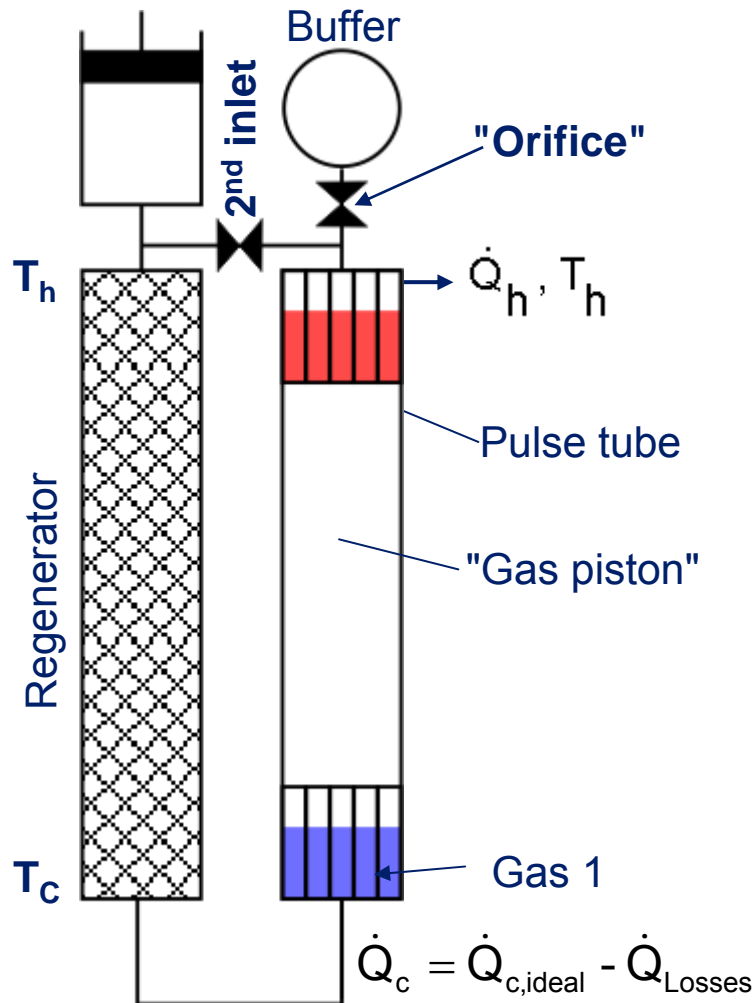
With 10 kW linear compressor
Cooling power: 350 W @ 80 K



*Additionally, under development: 2-stage
STPTC versions for cooling below 20K*

Working principle of a PTC

Schematic of single-stage PTC



Ideal cooling power

$$\dot{Q}_{c,ideal} = f \oint_{\text{Gas 1}} p dV = \frac{1}{2} \hat{V}_1 \hat{p} \cos\theta$$

for ideal gas and harmonic $p(t)$

Adjustment of phase angle θ by use of :

- Orifice + Buffer volume (*Moscow 1984*)
- 2nd inlet (*Xian 1990*): 2nd inlet reduces "useless" mass flow through regenerator!

Net Cooling Power

$$\dot{Q}_c = \dot{Q}_{c,ideal} - \dot{Q}_{Losses}$$

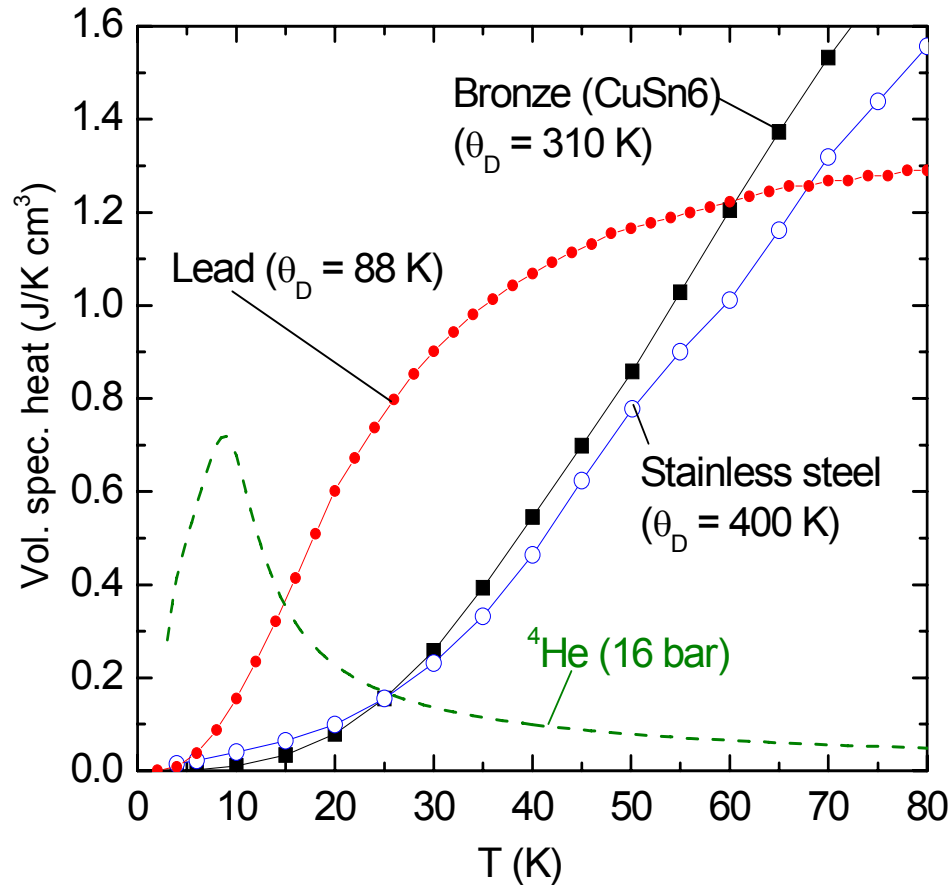
- Regenerator losses (heat capacity, heat transfer, pressure drop)
- Gas mixing in pulse tube
- Heat conductance, radiation

Regenerator losses increase with $(T_h - T_c) \rightarrow$ multistage PTC needed for cooling below 10 K !

Regenerator materials

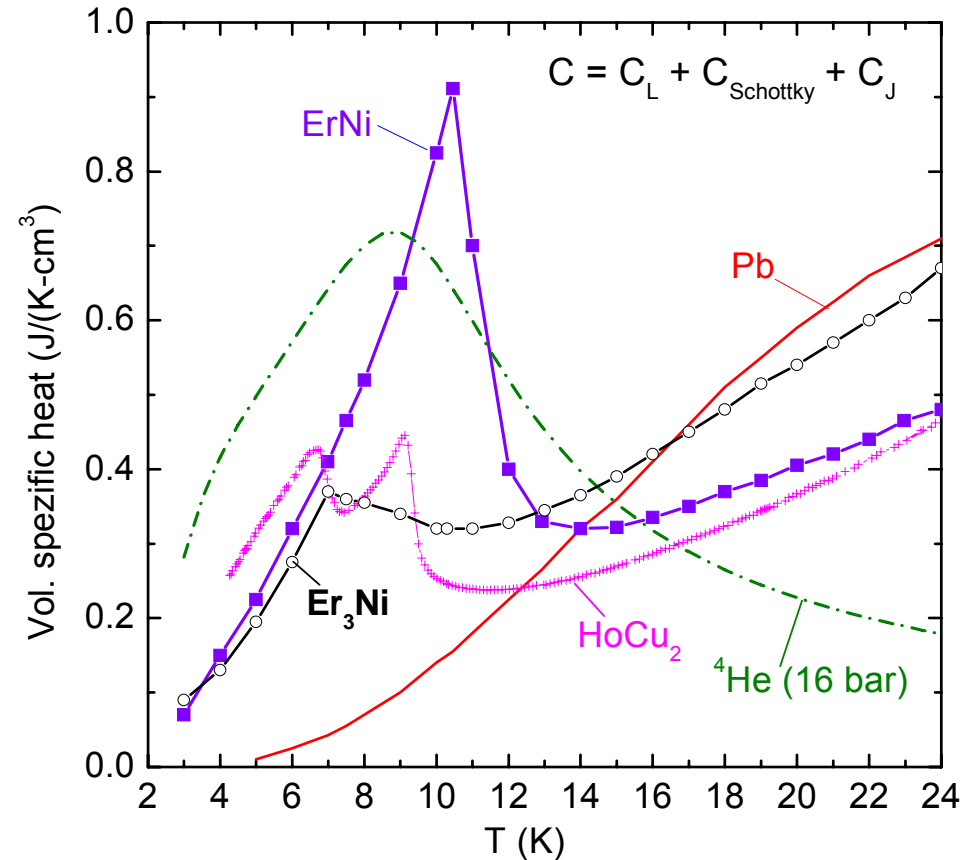
Efficient regenerator $\rightarrow C_{\text{Matrix}} \gg C_{\text{Gas}}$ must be valid.

Conventional regenerator materials for $T_c > 15 \text{ K}$



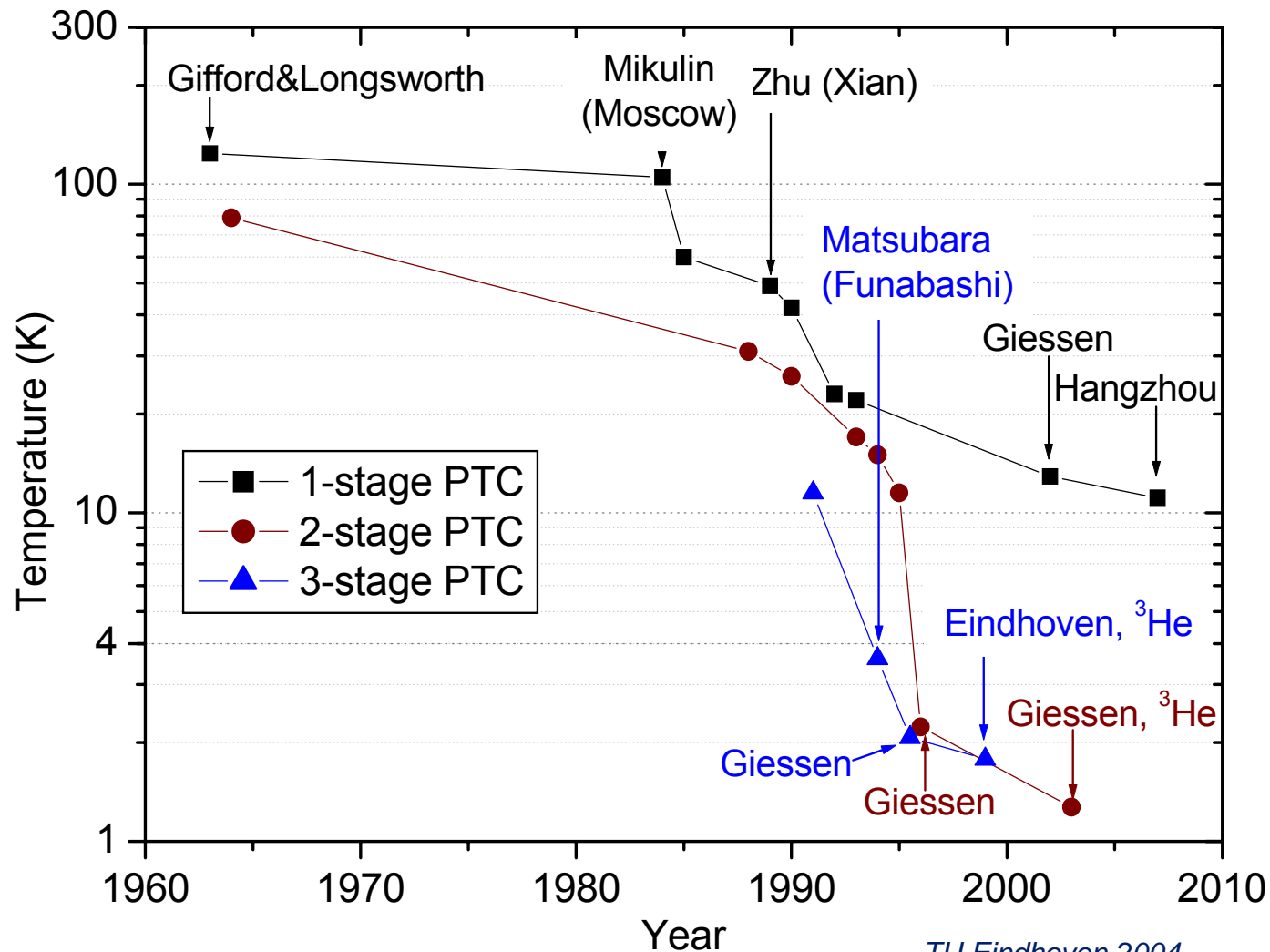
Material geometry:
-- Screens -- Spheres (lead)

Rare Earths materials for 4 K (since 1989)



Material geometry:
spheres or granules $\phi \approx 0.2 \text{ mm}$

"History" of GM-type PTCs



TU Eindhoven 2004
U Giessen 2007

Record low-temperatures:

1-stage PTCs

12.9 K (*Giessen 2002*)

11.1 K (*Hangzhou 2007*)

2-stage PTCs

2.23 K, ^4He (*Giessen 1996*)

1.27 K, ^3He (*Giessen 2003*)

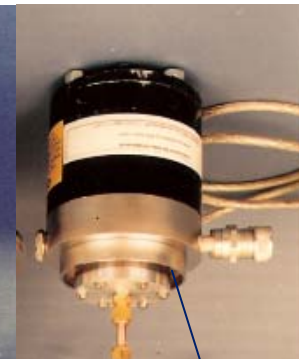
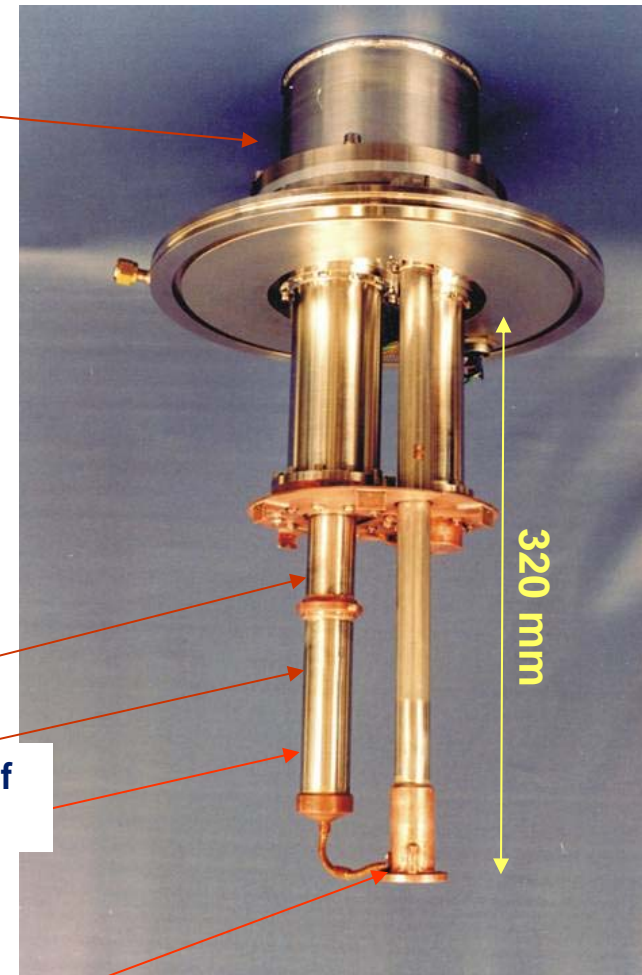
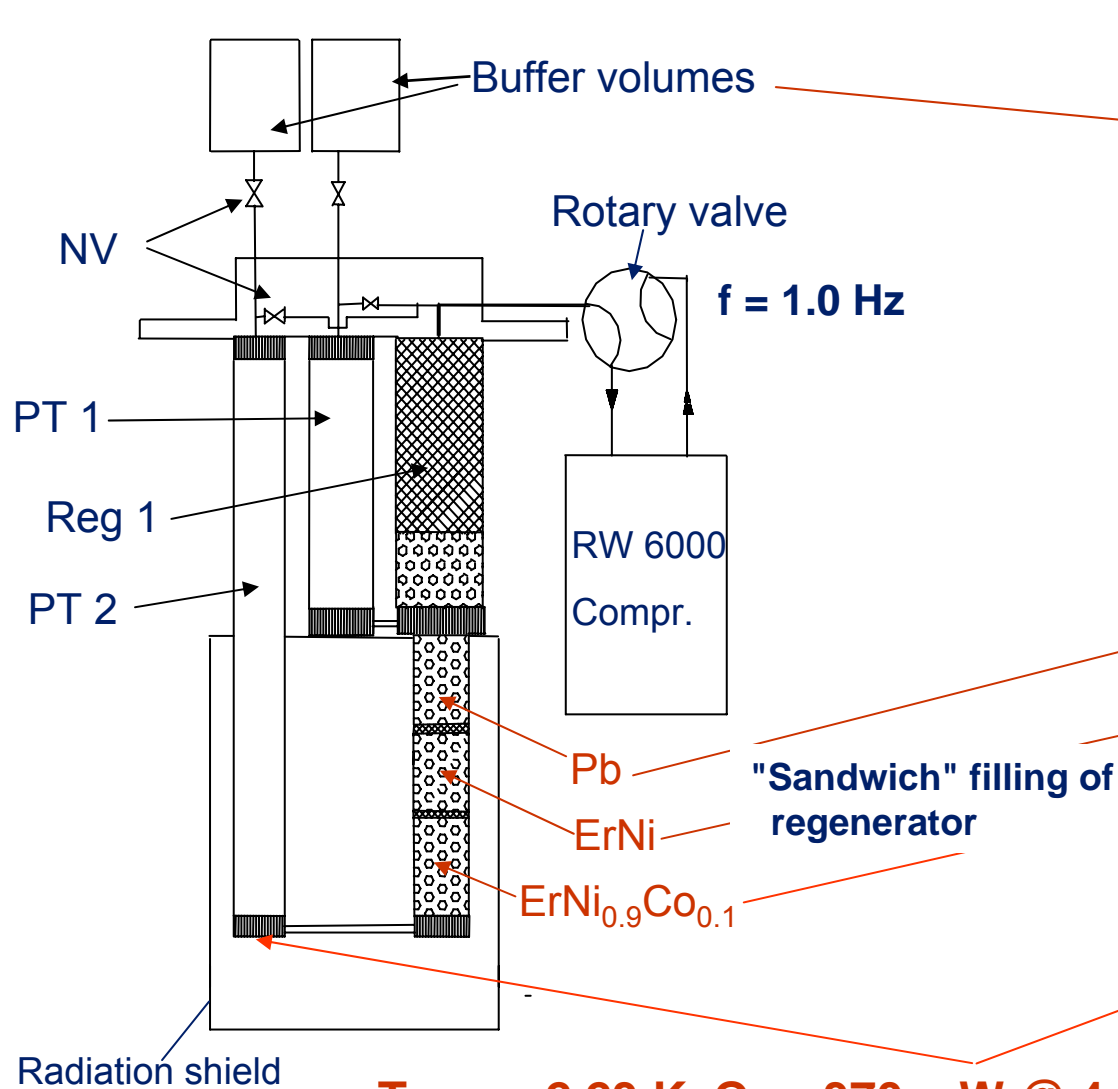
3-stage PTCs

3.6 K (*Y. Matsubara, 1993*)

2.07 K (*Giessen 1996*)

1.78 K, ^3He (*Eindhoven 1999*)

First 2-stage 4 K PTC (Giessen 1996)

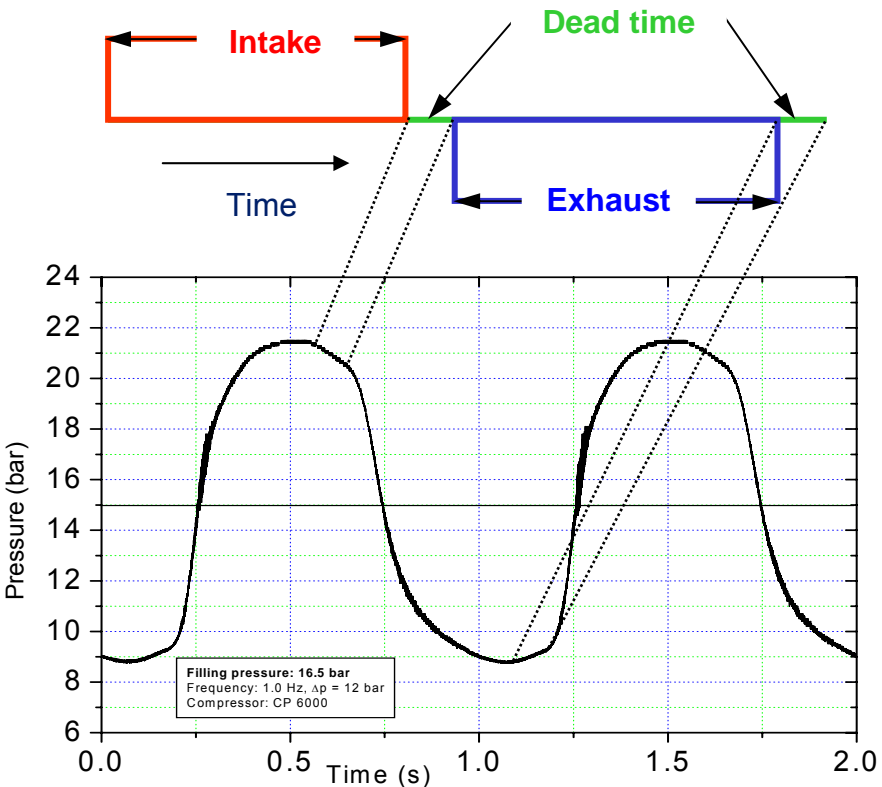


Rotary valve

$$T_{2,\min} = 2.23 \text{ K}, Q_2 = 370 \text{ mW @ } 4.2 \text{ K with } P_{\text{in}} \approx 6 \text{ kW}$$

4 K PTC Optimization

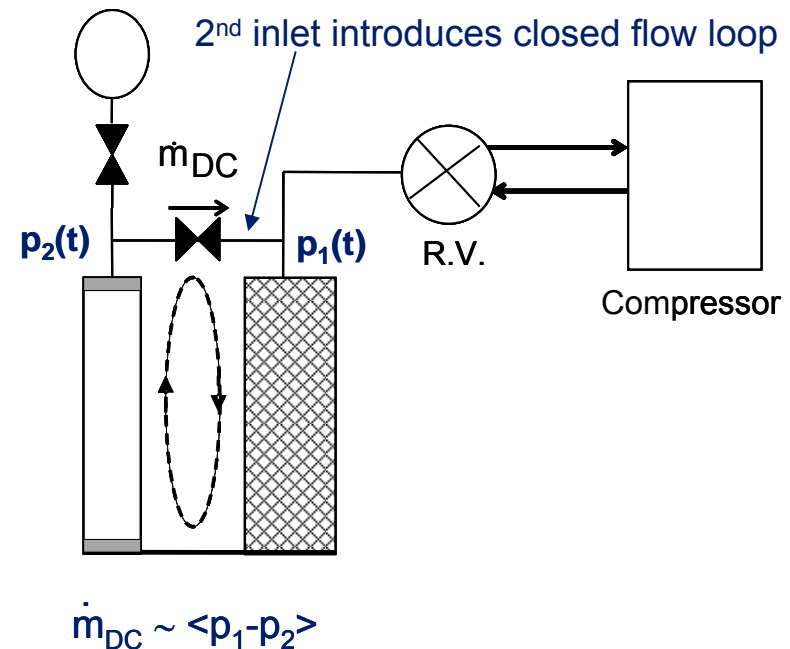
- Pressure waveform (Timing of r.v.)



$T = 4$ K, $f = 1$ Hz, $\Delta p = 12$ bar, 6 kW-compressor

- pV-power
- DC-flow

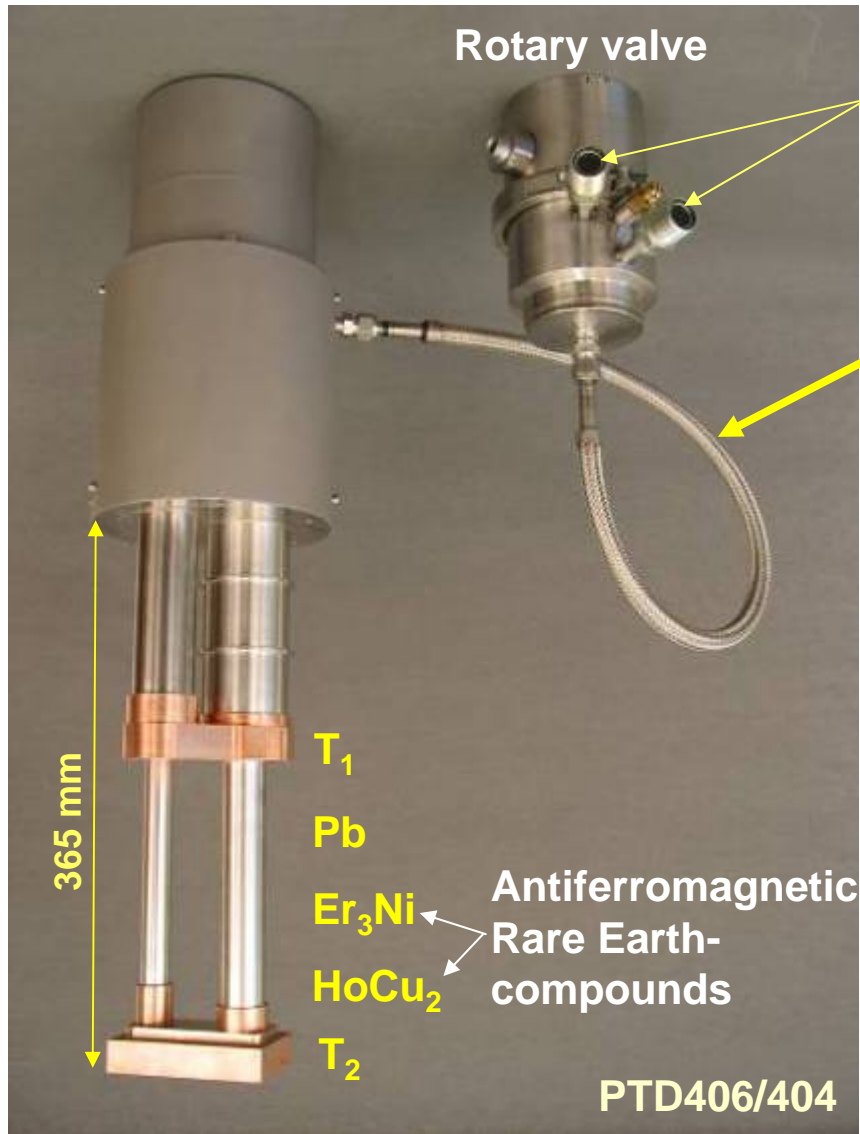
- Control of unidirectional flow ("DC-flow") through 2nd inlet



Improper DC-flow introduces high heat load to the cold stage !

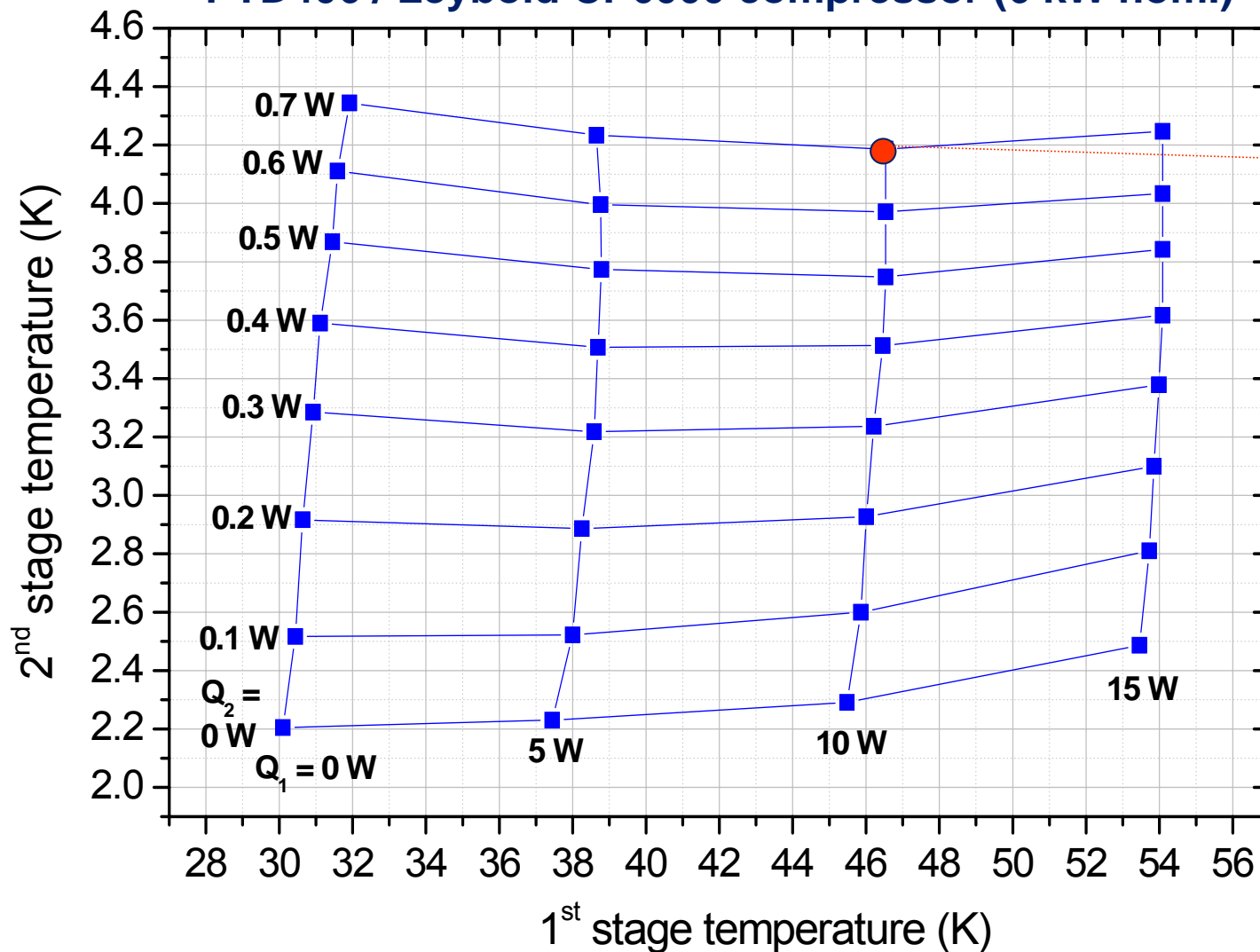
→ Use of needle valve arrangement with adjustable flow symmetry

Current 2-stage 4 K PTC (Giessen)



Cooling Load Map of PTD406

PTD406 / Leybold CP6000 compressor (6 kW nom.)



$T_{min} = 2.21$ K

Simultaneous cooling powers:

2nd stage:
 $Q_2 = 0.71$ W @ 4.2 K

1st stage:
 $Q_1 = 10$ W @ 46.6 K

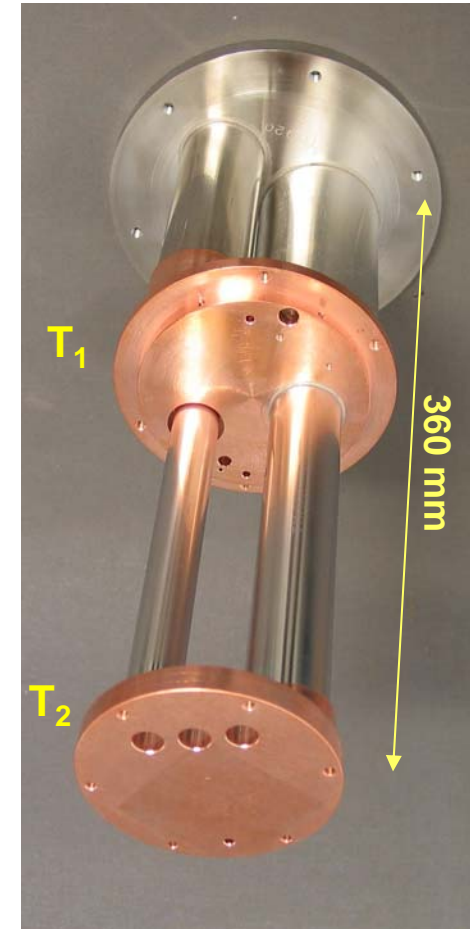
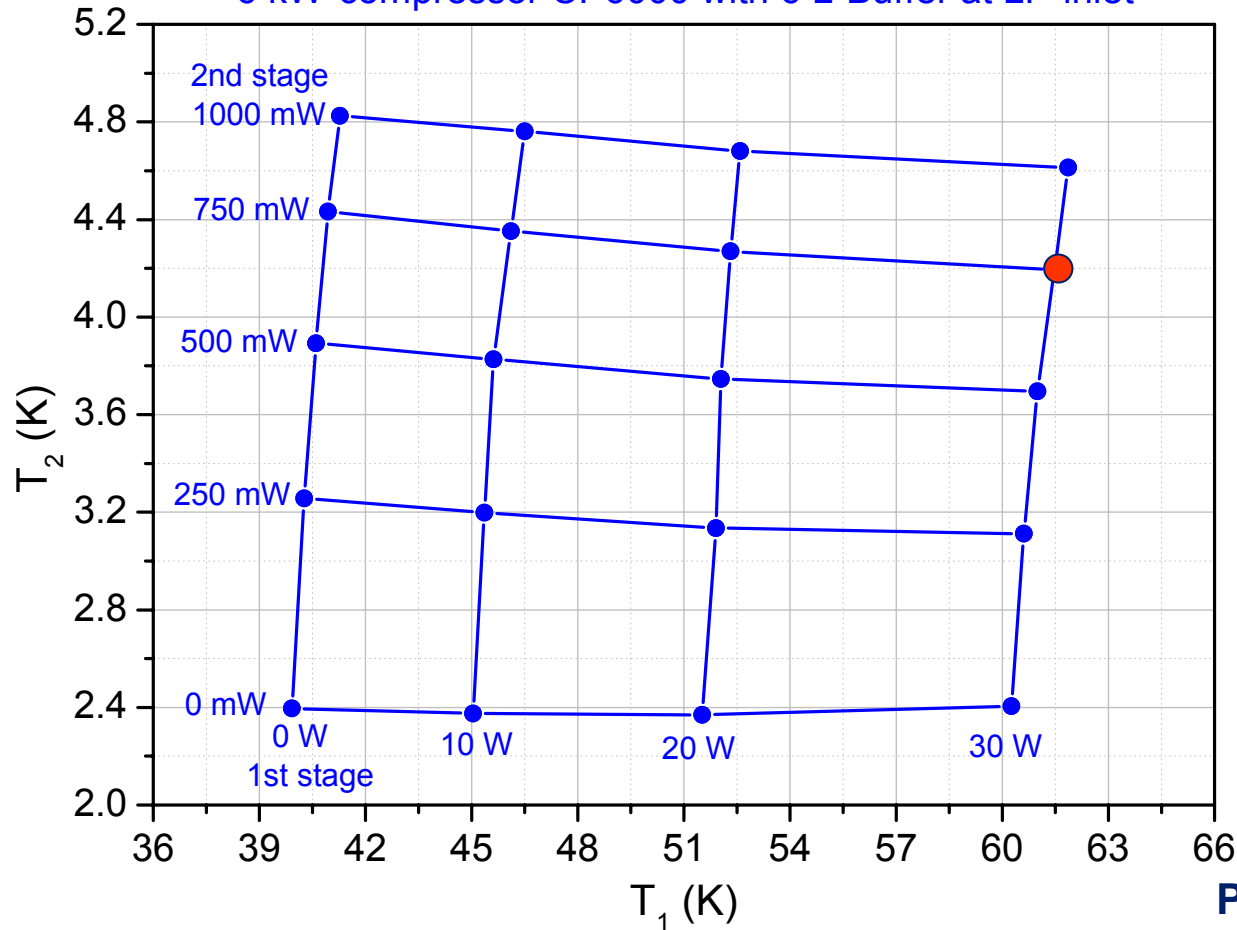
at $P_{in} = 5.6$ kW

Cool down time to
4.2 K: ≈ 65 minutes

Cooling Load Map of PTD406c

Giessen 2007

6 kW-compressor CP6000 with 6 L-Buffer at LP-inlet



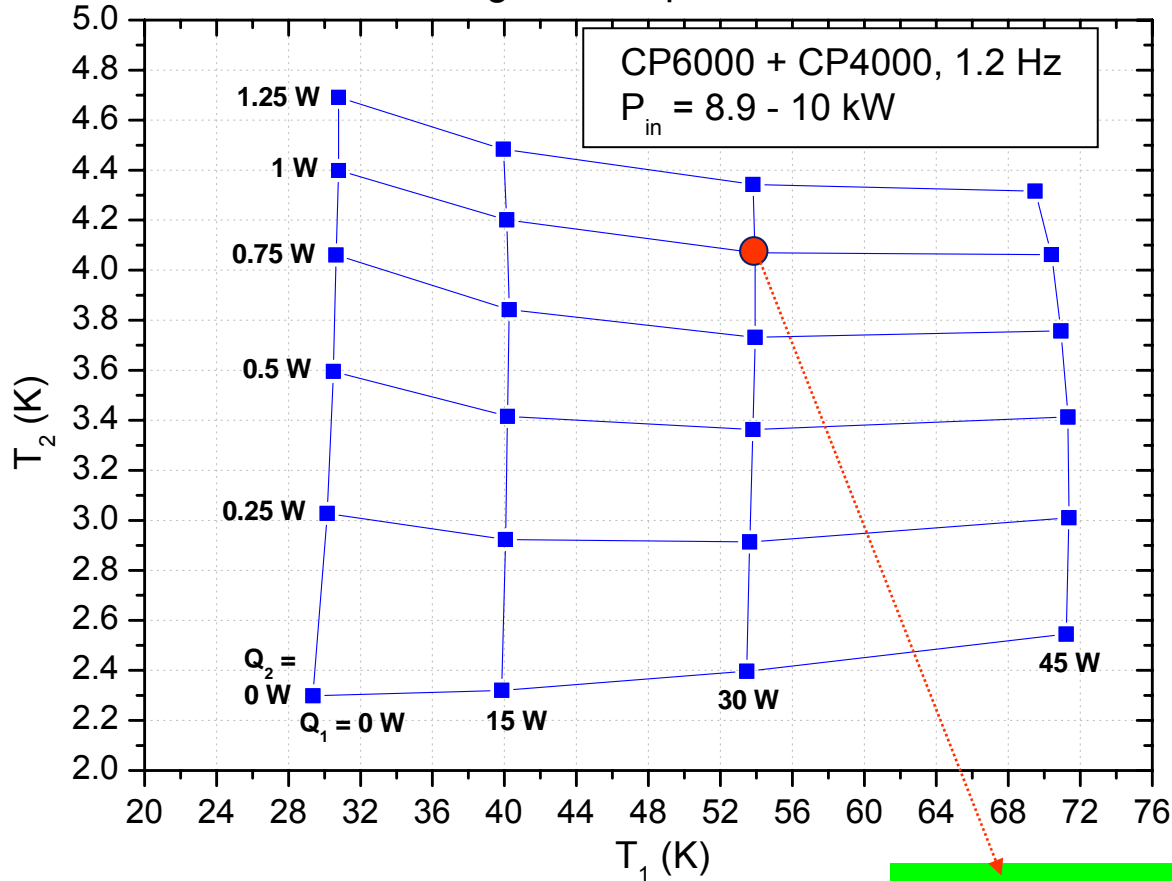
PTD406c: concentric cold platforms

Phase shifters optimized for high cooling power
at 1st stage → increased cooling power at 1st stage
30 W @ 61.5 K and 750 mW @ 4.2 K with $P_{in} = 6.0$ kW

4 K PTC with 10 kW compressor (PTD 411)

Giessen 2006

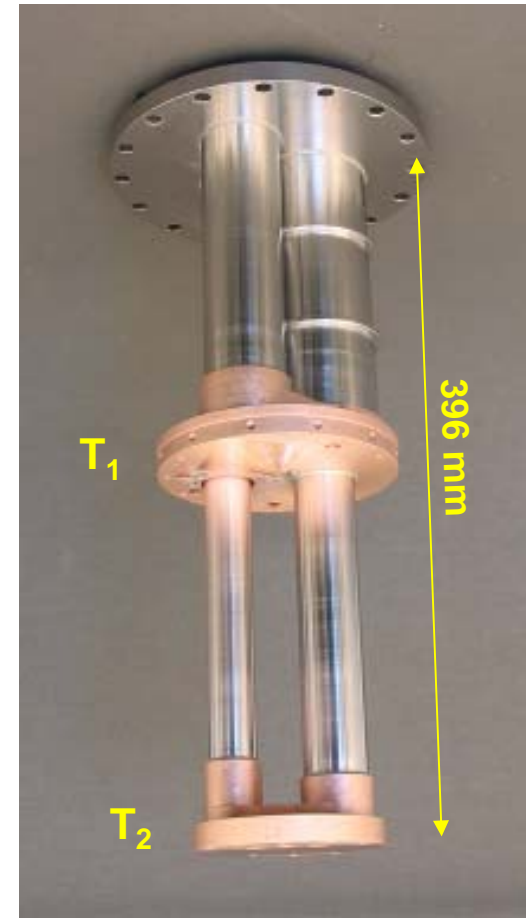
Cooling load map PTD411



Cool down to 4.2 K $\approx 1 \text{ h}$

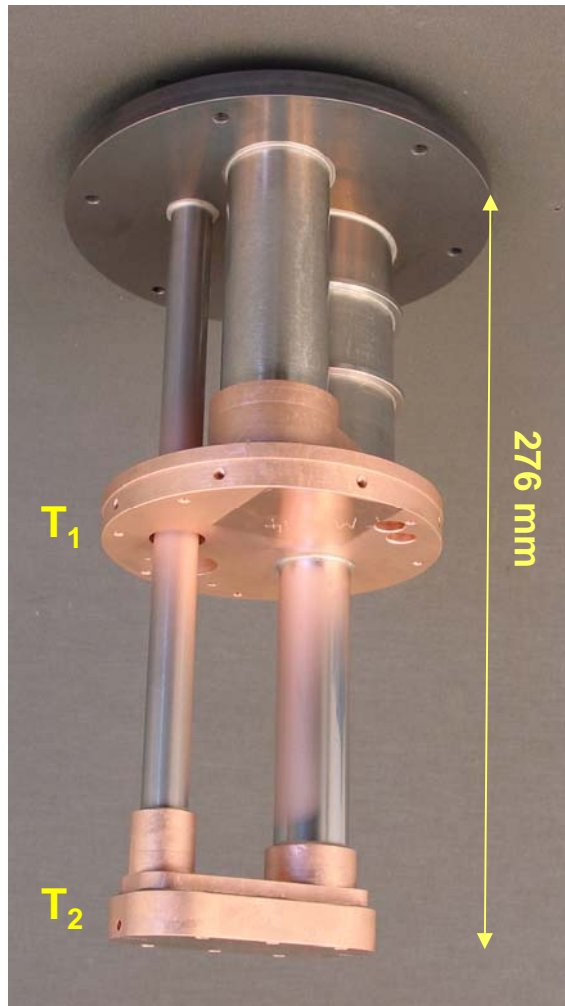
$Q_2 = 1.0 \text{ W @ } 4.07 \text{ K}$ and $Q_1 = 30 \text{ W @ } 53 \text{ K}$
with $P_{in} = 9.5 \text{ kW}$

PTD411: increased tube diameters

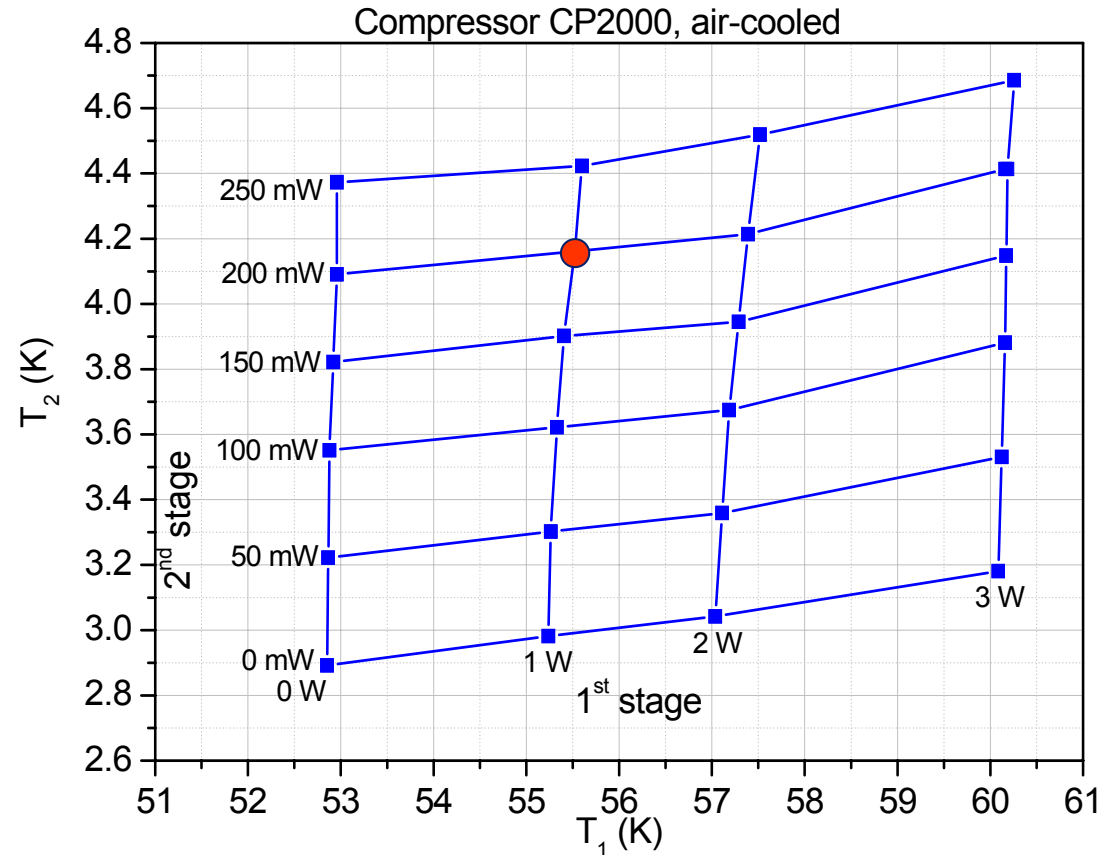


"Small" 4 K PTC with 2 kW compressor (2008)

PTD 4200



Cold head volume \approx
 $0.6 \times$ cold head volume of PTD406



Simultaneous cooling powers:

**200 mW @ 4.16 K and 1.0 W @ 55.6 K
with 2 kW electric input (air-cooled compressor)**

(PTD4200 with 4 kW compressor \rightarrow next table)

Performance data of TransMIT 4 K PTCs

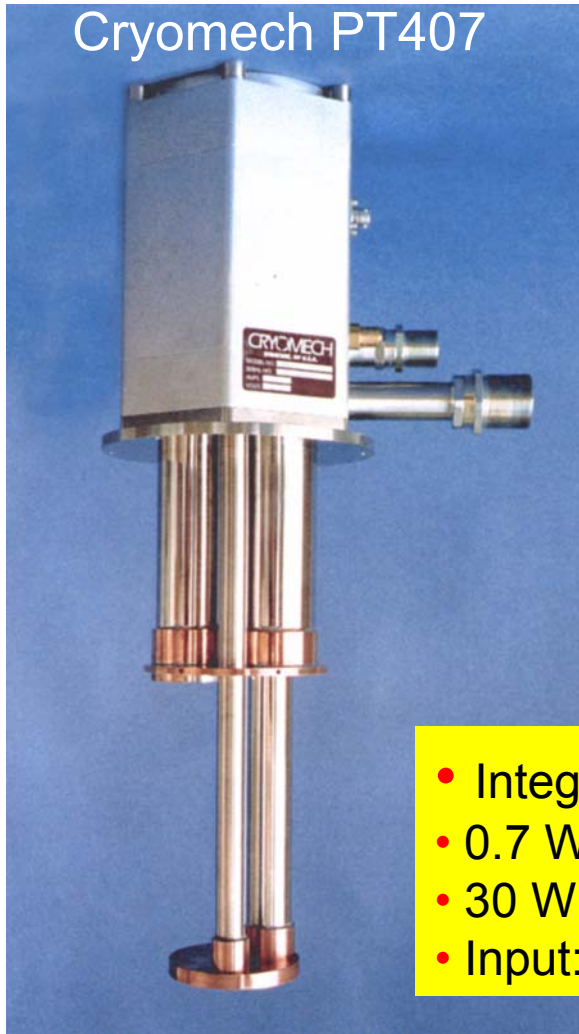
Model	P_{in} (steady state)	Typical cooling power	T_{min}	Cool down to 4.2 K *)
PTD4200	2.0 kW	0.21 W @ 4.2 K 1 W @ 56 K	< 3.0 K	< 120 min
PTD4200-4kW	3.8 kW	0.45 W @ 4.2 K 10 W @ 53 K	< 2.6 K	< 75 min
PTD404	3.8 kW	0.6 W @ 4.2 K 10 W @ 53 K	< 2.5 K	< 75 min
PTD406	5.7 kW	0.7 W @ 4.2 K 10 W @ 49 K	< 2.4 K	< 65 min
PTD406c**)	6.0 kW	0.75 W @ 4.2 K 20 W @ 52 K	< 2.5 K	< 65 min
PTD411	10 kW	1.1 W @ 4.2 K 30 W @ 53 K	< 2.4 K	< 65 min

****)** *Prototype with 6 L-Buffer volume at LP-side of compressor*

***)** *With standard copper radiation shield installed*

Some other commercial 4 K PTCs

Cryomech PT407



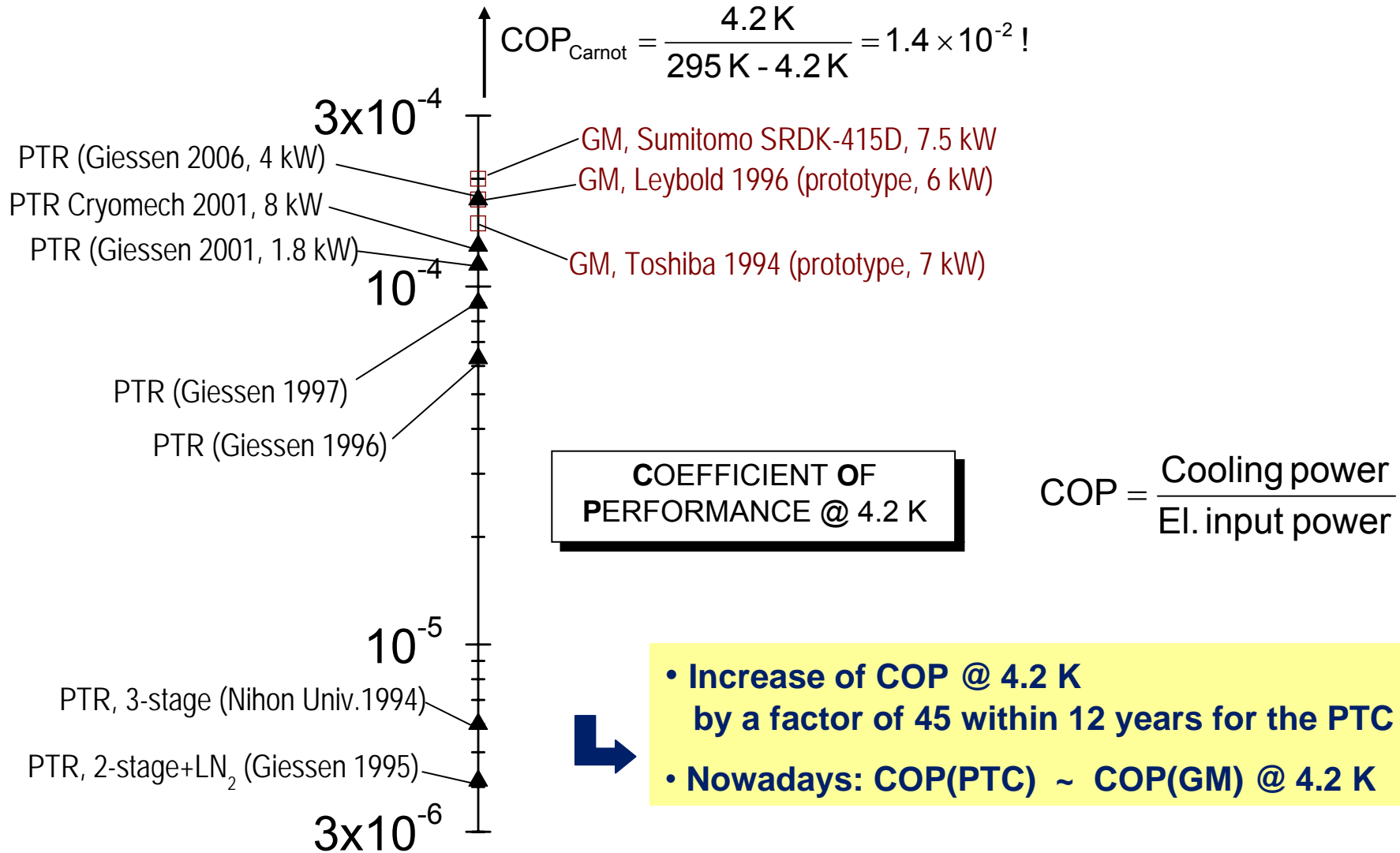
- Integral-Design
- 0.7 W @ 4.2 K
- 30 W @ 55 K
- Input: ≈ 7 kW

Sumitomo-APD
SHI SRP-052



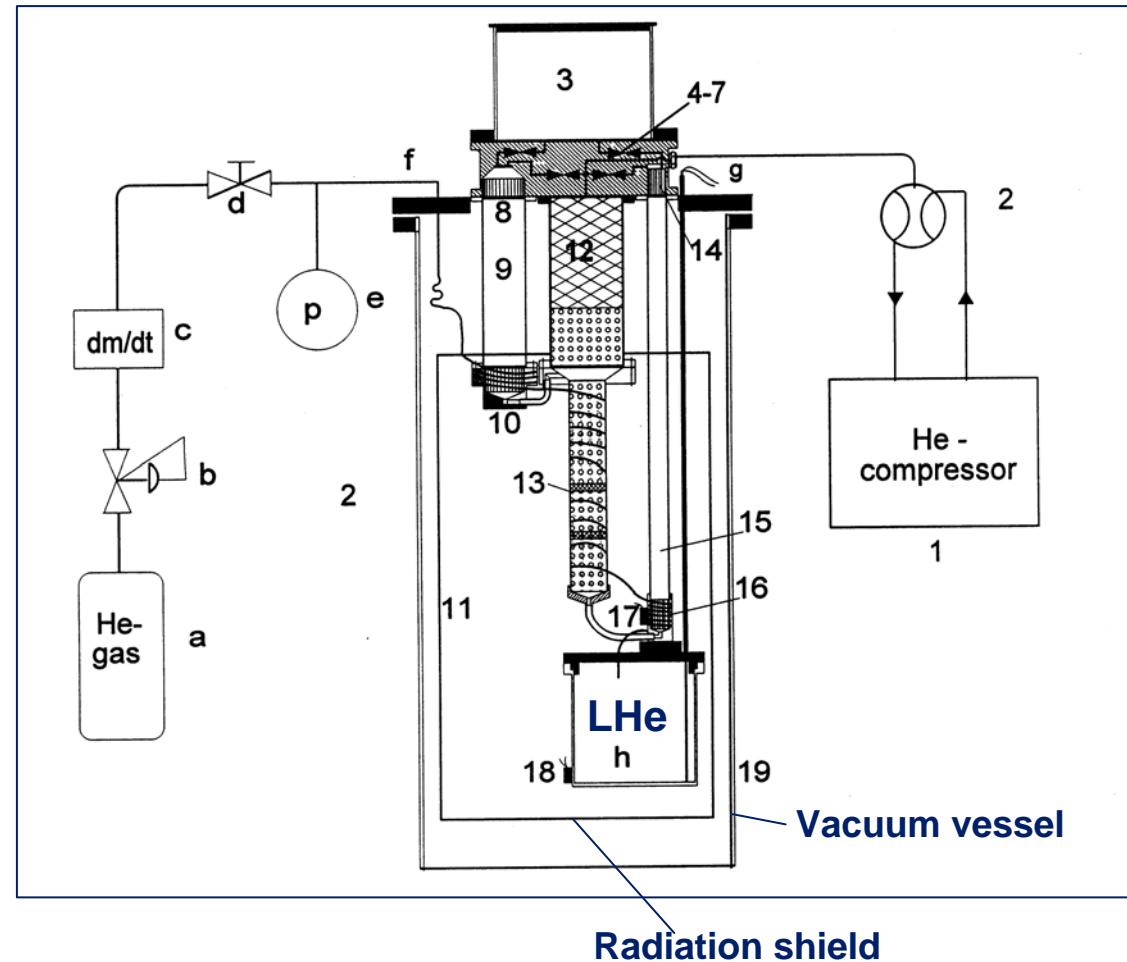
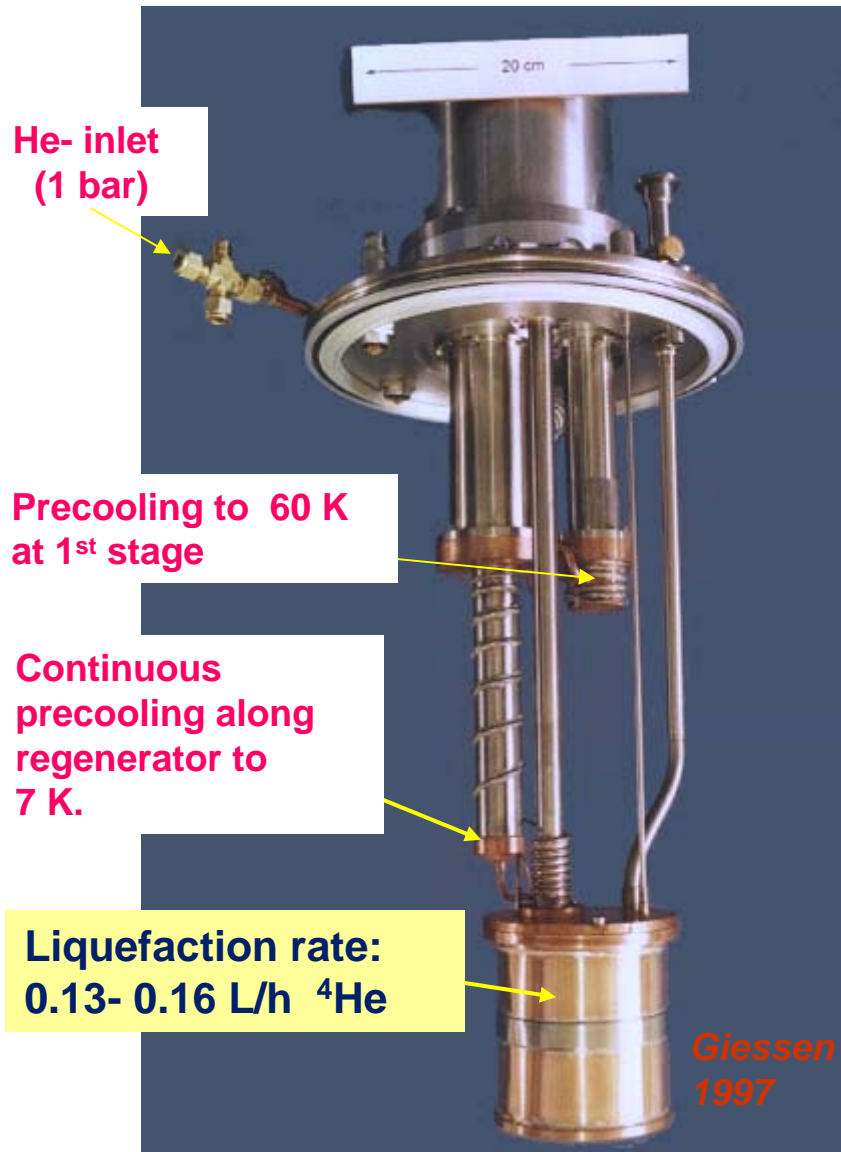
- Split-Design
- 0.5 W @ 4.2 K
- 20 W @ 45 K
- Input: ≈ 7.5 kW

Coefficient Of Performance of 4 K GM- and PT-Coolers

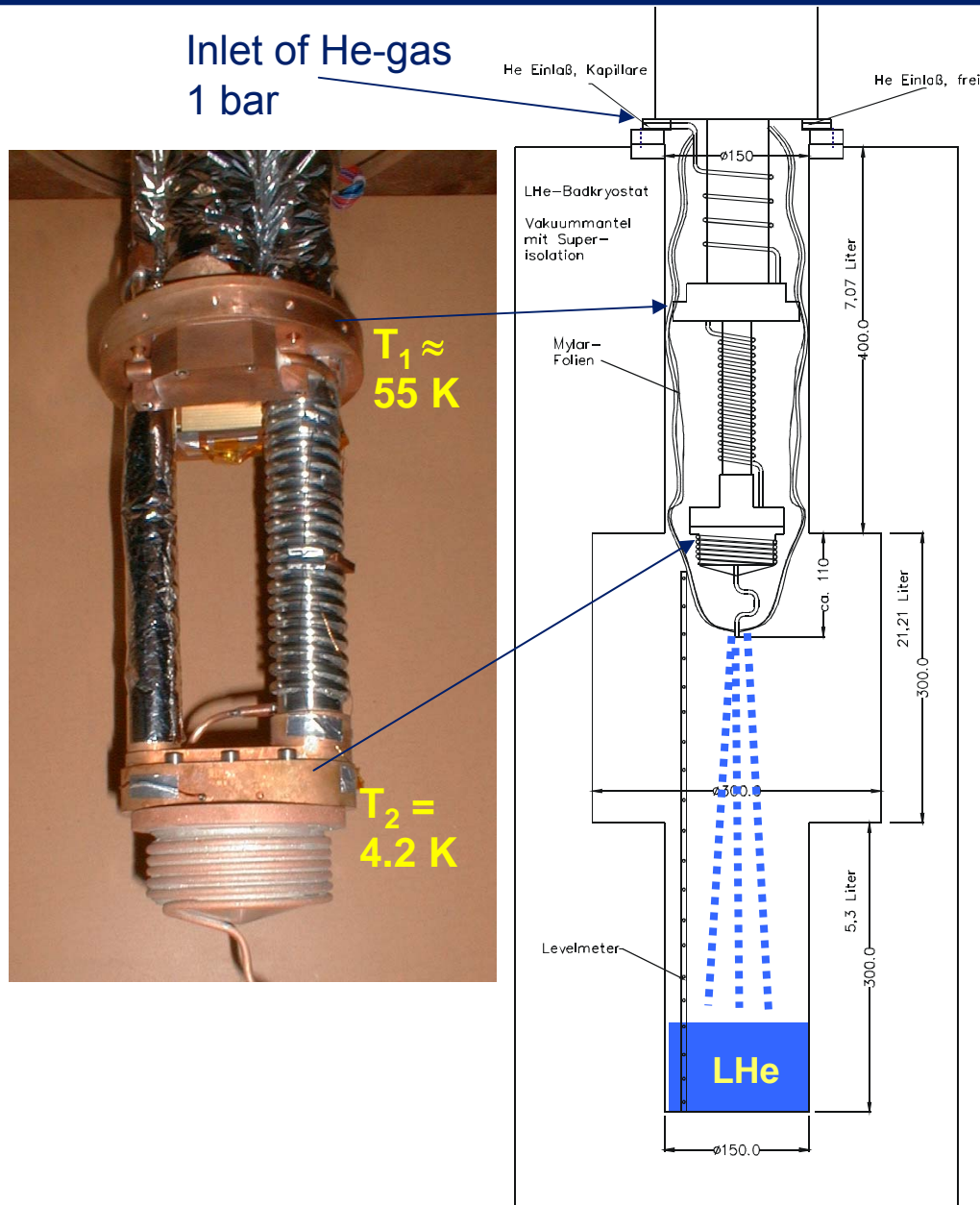


- **Small scale Helium-liquefaction** (0.15 L/h, 1997)
(0.3 - 0.7 L/h, 2005)
- **"Dry" superconducting magnet cooling**
 - 3 T (120 A) Nb₃Sn-magnet → *First PT-cooled SC-magnet* (1998)
 - 5.5 T NbTi-magnet with persistent mode switch (2002)
 - 5 T NbTi-magnet with top-loading system (2007)
- **"Dry" cooling of Josephson voltage standards** (*since 2002*)
Co-operation with: IPHT Jena, PTB Braunschweig
- **"Dry" precooling of sub-Kelvin cooling stages**
 - ADR with 5 T NbTi-magnet (2000) → $T_{\min} = 96 \text{ mK}$ (*with CSP, Ismaning*)
 - Miniature ³He/⁴He-dilution refrigerator with $T_{\min} = 50 \text{ mK}$
Co-operation with: Institute of Applied Photonics e. V., Berlin (2006)

First small-scale He-liquefier with PTC (Giessen 1997)

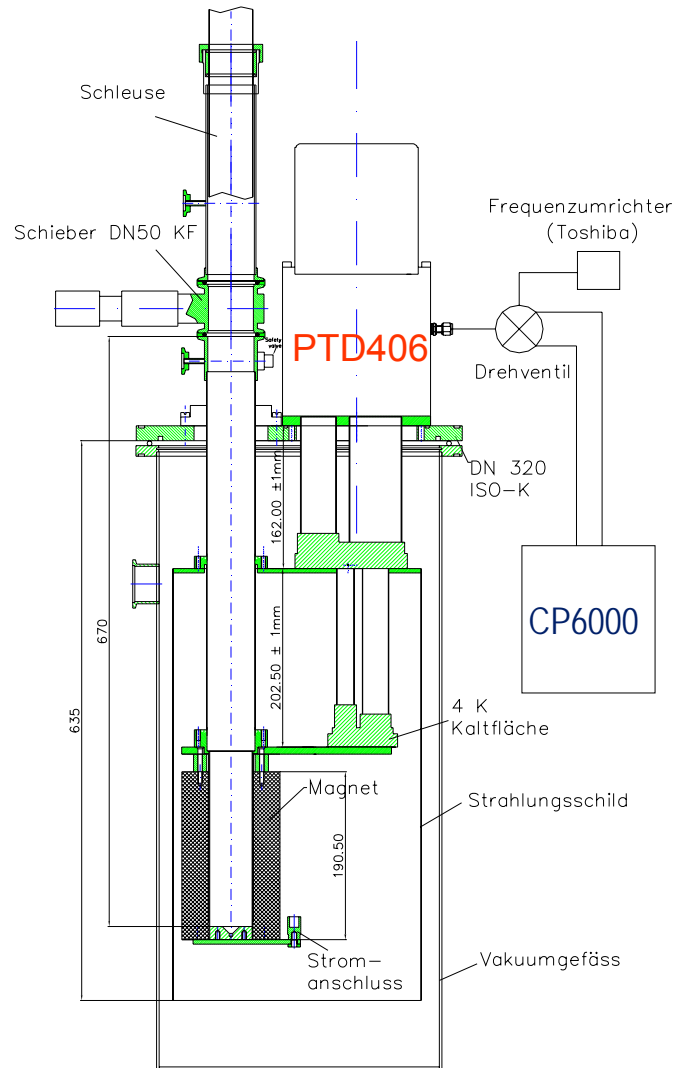


Current He-liquefier with PTC (Giessen 2005)

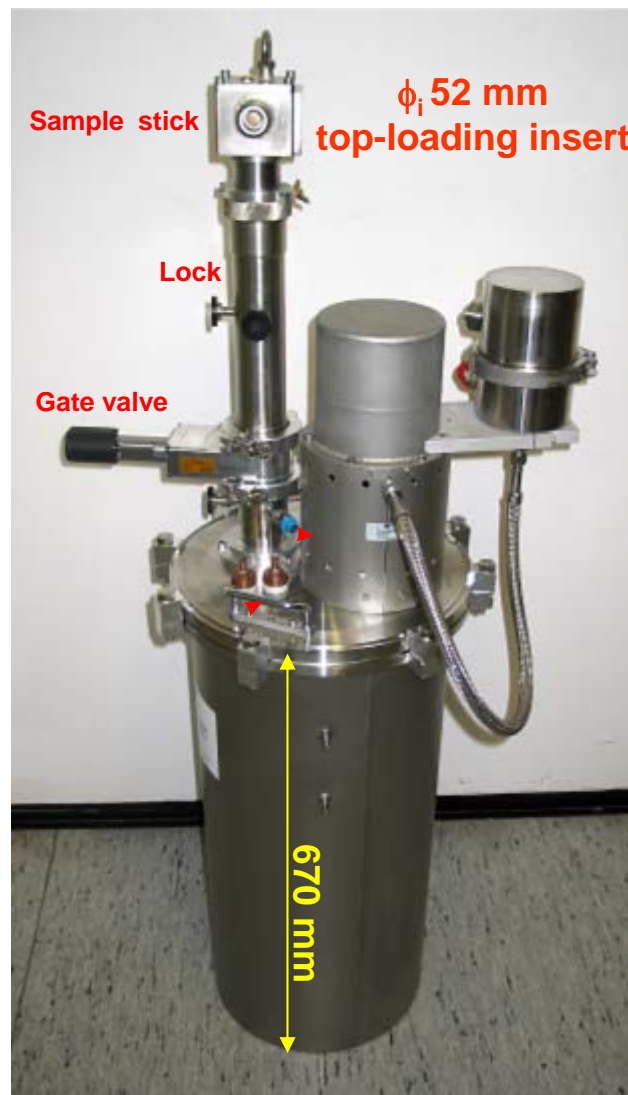


- Operation of cold head in He-gas !
- 6 kW compressor (Leybold CP6000)
- Helium inlet through capillary:
→ liquefaction rate: **0.50 L/h**
- Free inlet of helium gas:
→ liquefaction rate: **0.46 L/h**
- Rather efficient precooling already by contact of the gas with the cold head parts
- Disadvantage of capillary: Risk of plugging by the freezing of gas impurities !

“Dry” Cryostat with 5 T Magnet and Top-loader



Giessen 2006-2007



User: IMS, University of Karlsruhe

- Cooldown time to 4 K: 7 hours (Extra mass $\approx 8\text{ kg}$)
- Base temperature with sample holder inserted: 3 K
- Magnet sweep to 5 T: 7 min
- Changing of samples and cooling back to 4 K within $< 2\text{ hours}$

Optional ^3He -sorption cooler insert:

T-min = 407 mK

Hold time: 6 hours

$Q \approx 60\text{ }\mu\text{W}$

Institute f. Applied
Photonics e.V. Berlin (2007)



Low-noise cooling Josephson voltage standards

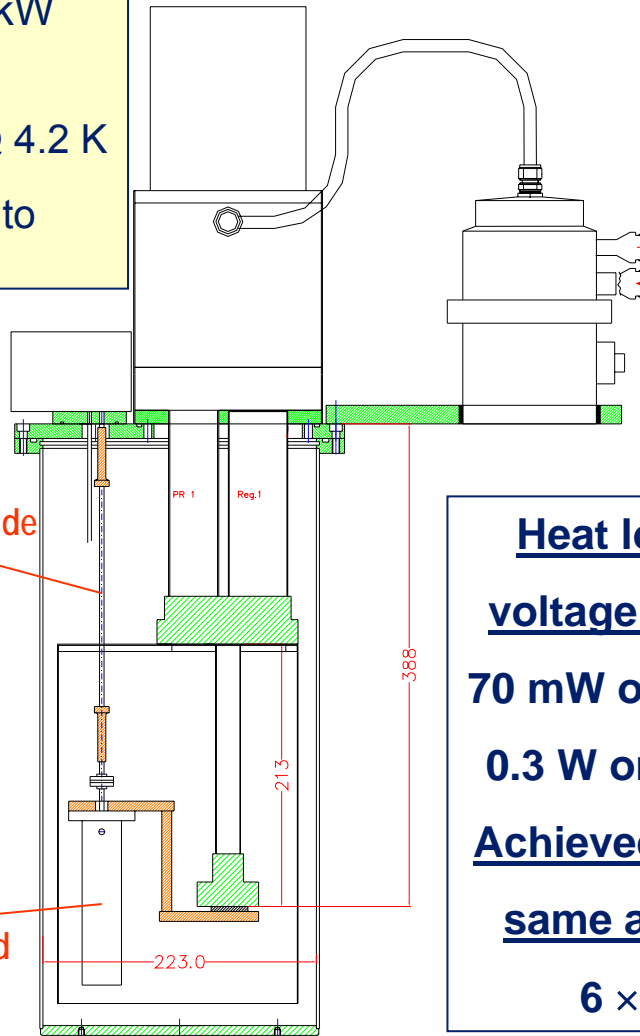
1 V and 10 V Josephson voltage standards

Application: Primary voltage standards in industry and metrological institutes



PTD402s with 2 kW compressor

- $Q_2 = 150 \text{ mW @ } 4.2 \text{ K}$
- Cool down time to 4.2 K: 160 min



Dielectric waveguide
(70 GHz)

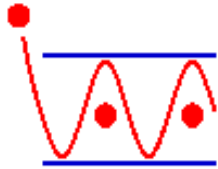
Josephson chip
in Cryoperm shield
cooled to 3.8 K

**Heat load from
voltage standard:**
70 mW on 2nd stage
0.3 W on 1st stage
**Achieved accuracy
same as in LHe:**
 6×10^{-10}

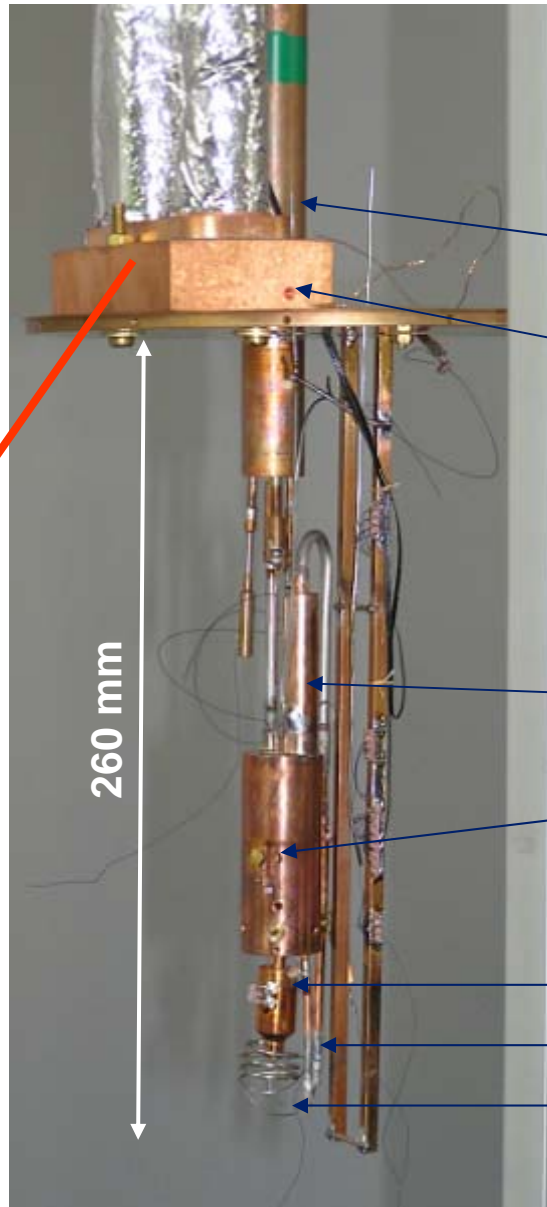
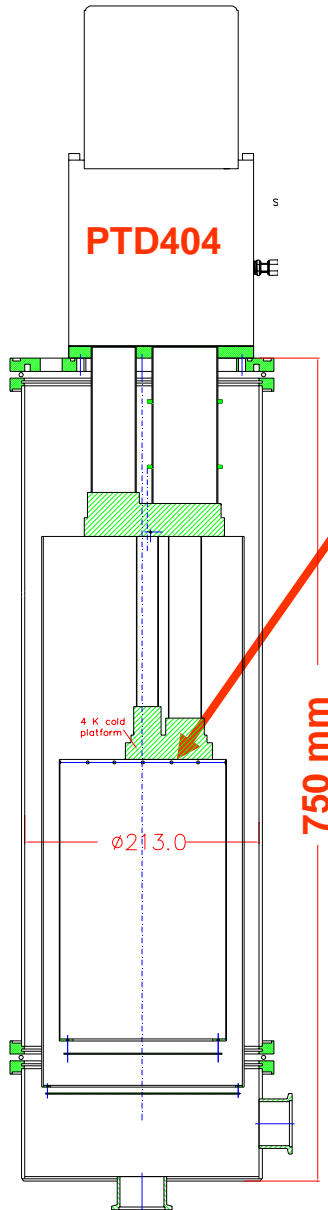


Electrical insulator
for reduction
of noise from r.v.

**New system with
PTD4200 / CP2000A**
 $Q_2 = 200 \text{ mW @ } 4.2 \text{ K}$
(Giessen 2009)



Institute of Applied Photonics e.V.
Berlin (2005)



^3He -sorption pump

$T_{2,\text{PTC}} = 2.46 \text{ K}$ (PTD404 with 4 kW compressor)

Precooling of the ^3He - ^4He -stage only by
PTC (2.46 K) and one ^3He -sorption stage (0.4 K)

^3He condenser of DR: 0.4 K

^3He -evaporator of ^3He sorption stage

Mixing chamber: 50 mK

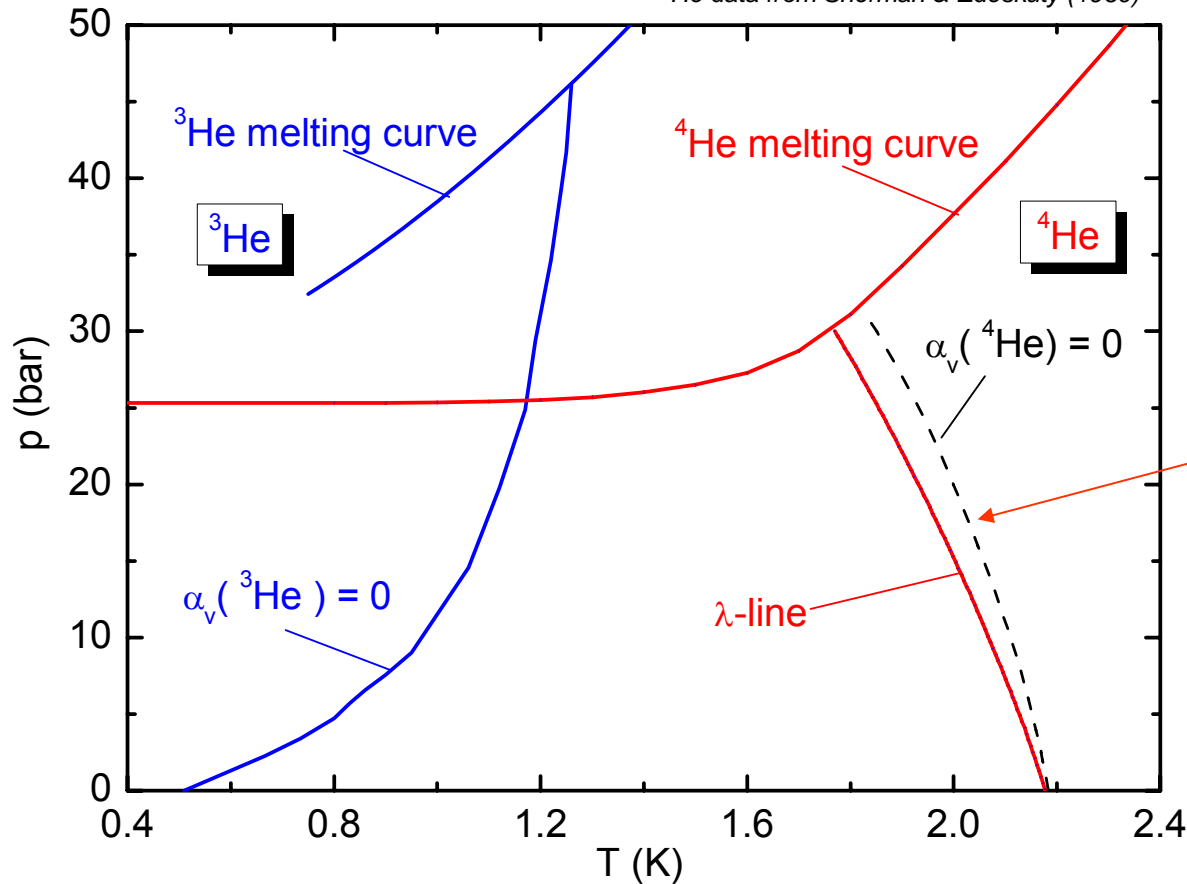
Still 0.7 K

Continuous HX

Low-temperature limit of PTCs ?

Phase diagrams and $\alpha_v = 0$ - line for ^4He und ^3He

^3He data from Sherman & Edeskuty (1960)



α_v = Volume expansion coefficient

Dynamic T-oscillation in He-gas (adiabatic):

$$\Delta T = \alpha_v \langle T \rangle / (\rho c_p) \Delta p$$

$$\Delta T \rightarrow 0 \text{ for } \alpha_v \rightarrow 0$$

Cooling power $\rightarrow 0$ for $\Delta T \rightarrow 0$

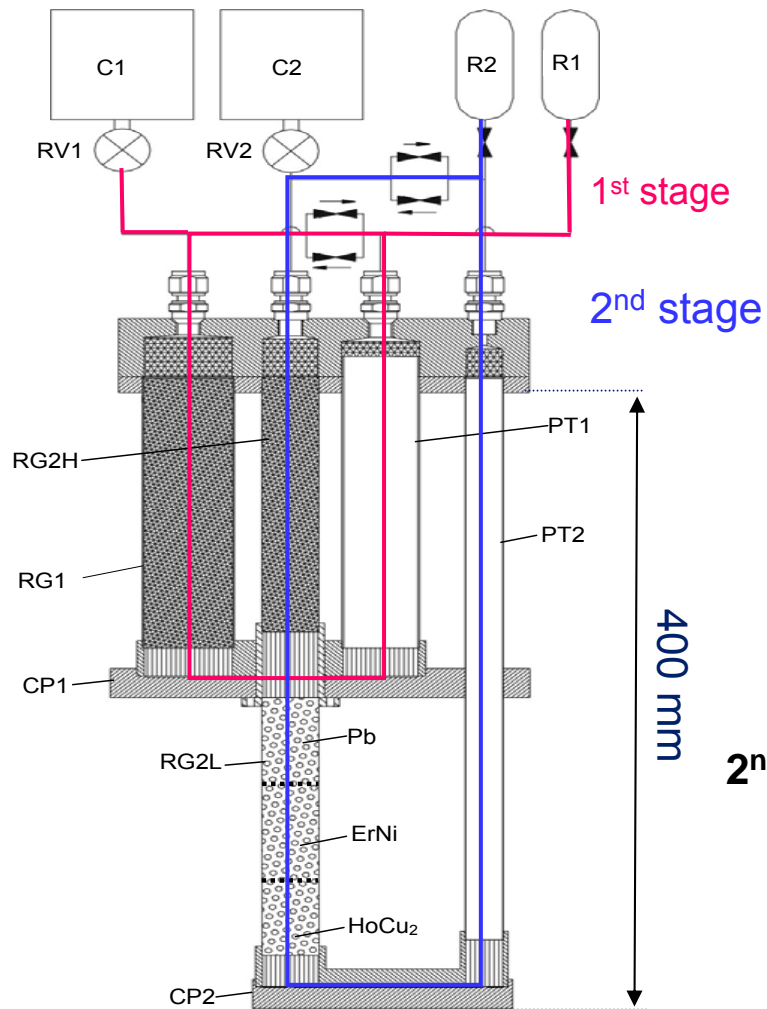
Conclusions:

- λ -line cannot be reached with ^4He , since $\alpha_v = 0$ for $T > T_\lambda$!
- With ^3He , temperatures well below 2 K could be achieved.

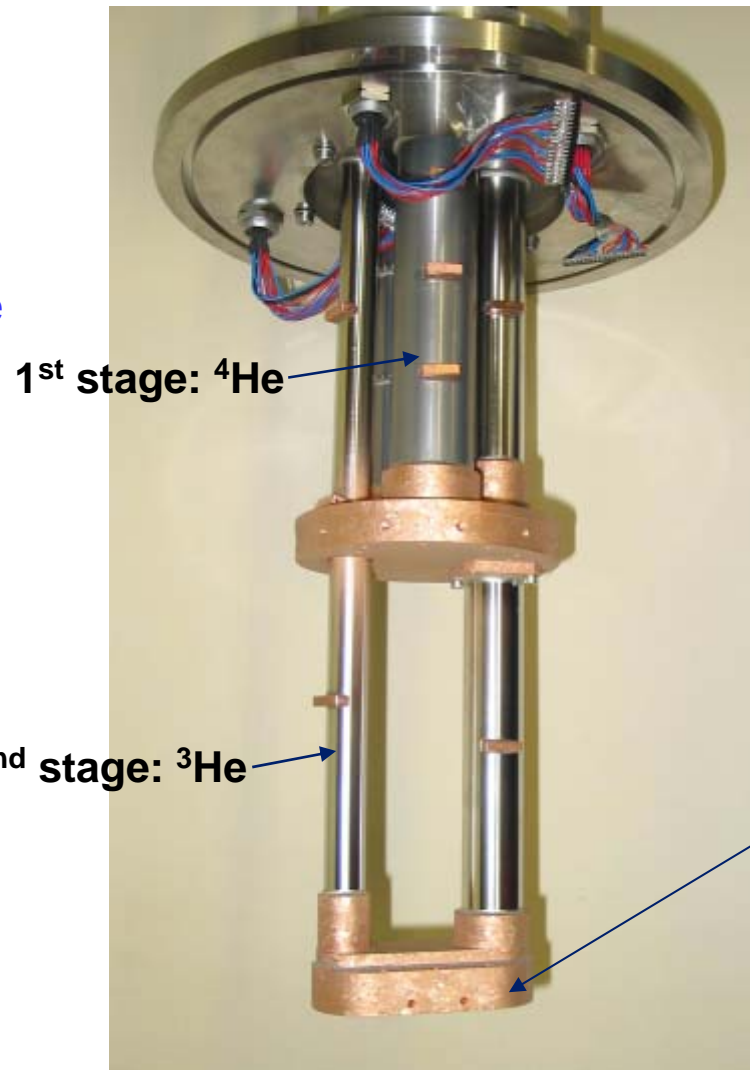
Problem: Regenerator efficiency below 2 K

PTC with ^3He -stage

Lab model: 2-stage PTC with separate gas circuits (*Giessen 2003*)



1st stage: 6 kW-compressor
2nd stage: 2 kW-compressor



Record low
temperature:

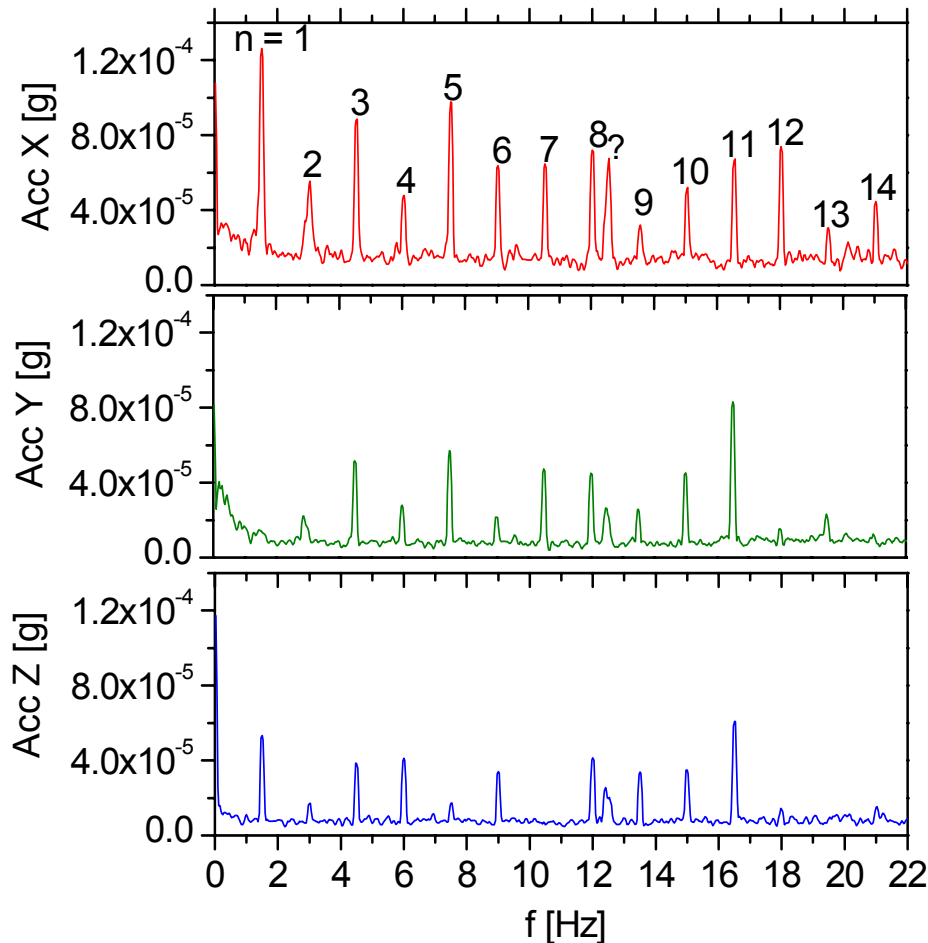
$T_{\min} = 1.27 \text{ K}$

Cooling power:
30 mW @ 2.0 K

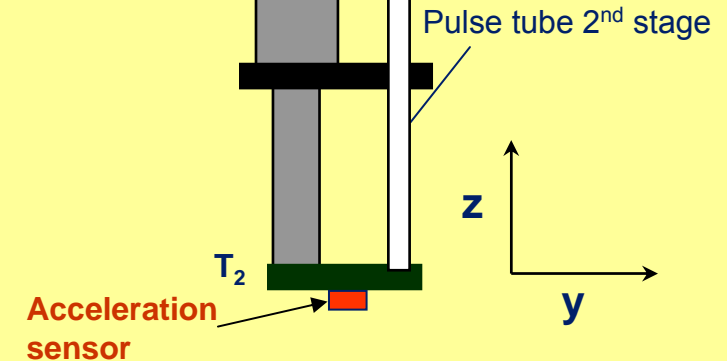
$P_{\text{in},2} = 1.2 \text{ kW}$

Vibrations of 4 K PTCs: PTD406 (6 kW)

Acceleration-spectra of PTD406, $f = 1.5$ Hz,
6 kW-compressor, $\Delta p = 13$ bar, $T_2 \approx 0$ °C



Giessen 2006



PTC-induced acceleration (PTD406):

$$x: a_{\text{rms}} = 1.2 \times 10^{-4} \text{ g (n = 1)}$$

$$y: a_{\text{rms}} = 0.14 \times 10^{-4} \text{ g (n = 1)}$$

$$z: a_{\text{rms}} = 0.61 \times 10^{-4} \text{ g (n = 1)}$$

Compare: $a_{\text{GM-cooler}} \approx 10^{-2} \text{ g}$

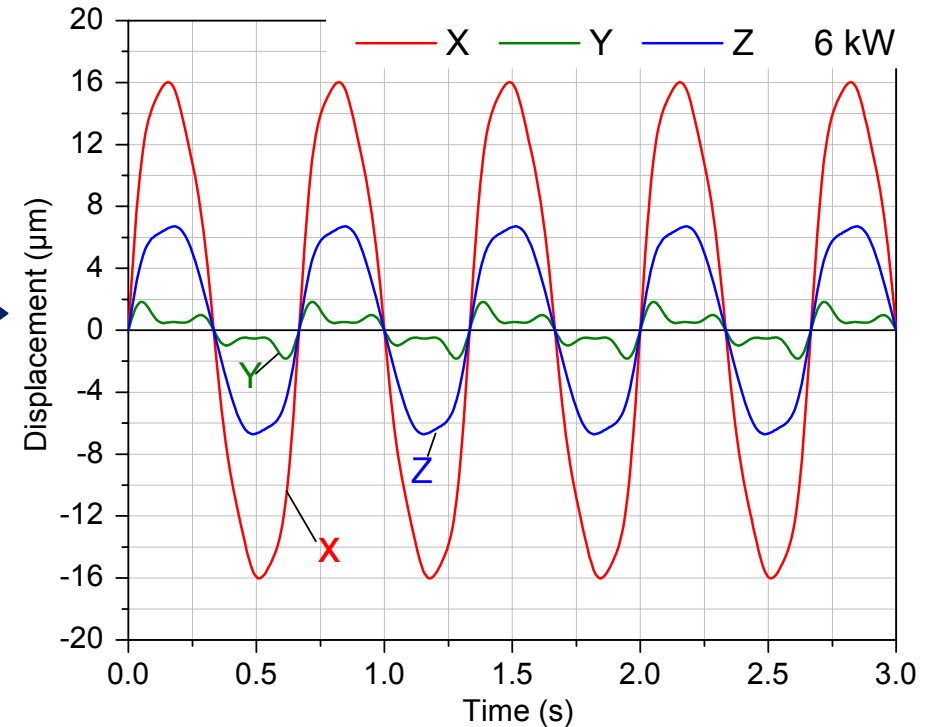
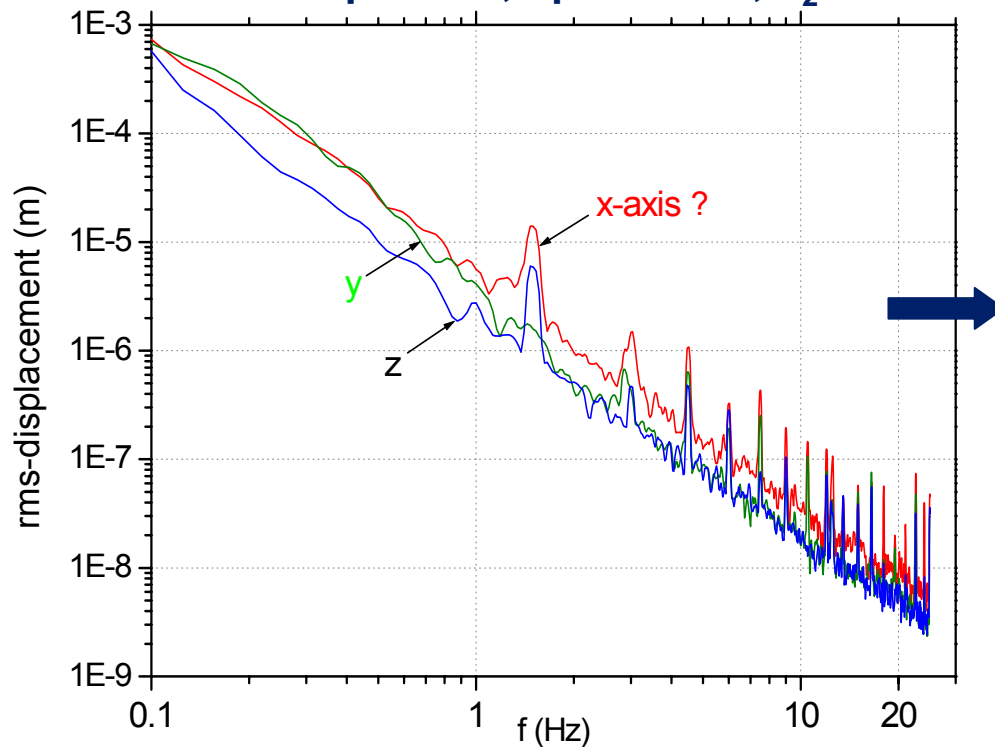
Origin: Elastic deformation of the stainless steel tubes due to pressure oscillation

$$\Delta L/L \sim E^{-1} (r/s) \Delta p$$

r = tube radius s = wall thickness

Displacement from residual vibrations (6 kW)

Displacement-spectra of PTD406, $f = 1.5$ Hz,
6 kW-compressor, $\Delta p = 13$ bar, $T_2 \approx 0$ °C



Further reduction of vibrations:

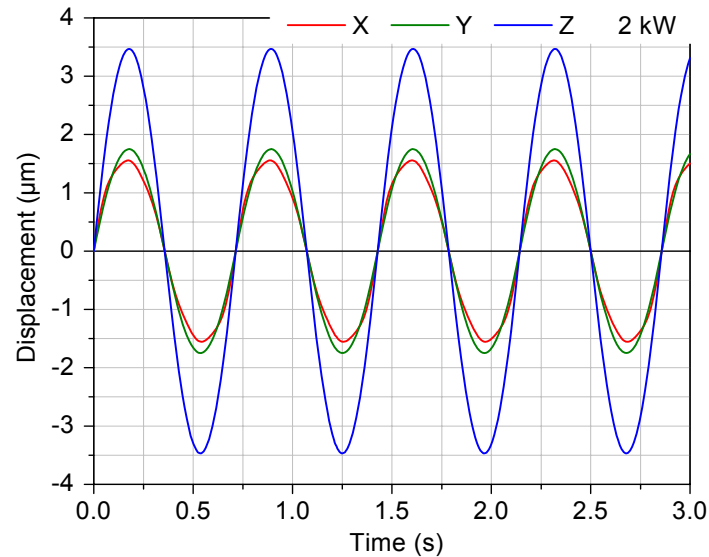
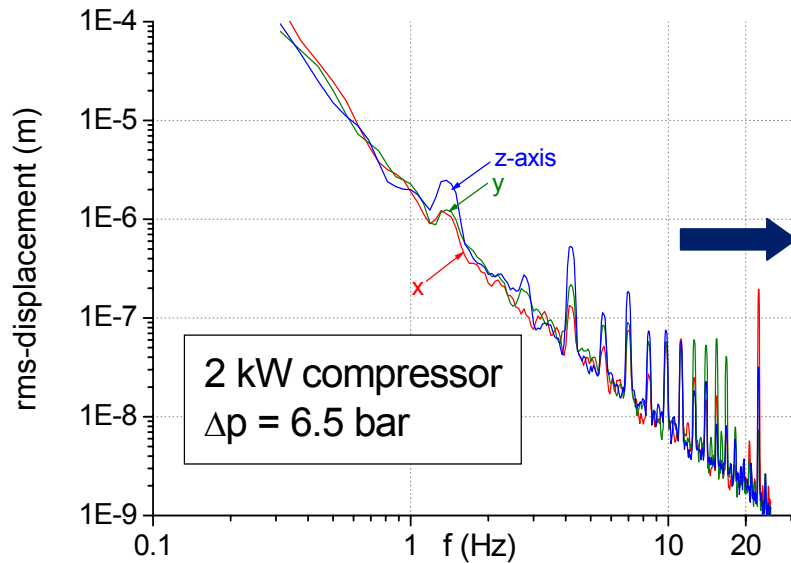
- by increasing the wall thickness s of the tubes:
 $\Delta L/L \sim E^{-1} (r/s) \Delta p$
 - by decreasing the compressor input power, i.e. Δp
 - **by mechanical decoupling of the cold platform**
- (all measures at the cost of available cooling power !)

6 kW compressor:

x : ± 16 µm (background ?)
y : ± 1.8 µm
z : ± 6.7 µm

Displacement-spectra of PTD4200 (2 kW and 4 kW)

PTD4200, $f = 1.44 \text{ Hz}$, $T_2 \approx 0 \text{ °C}$

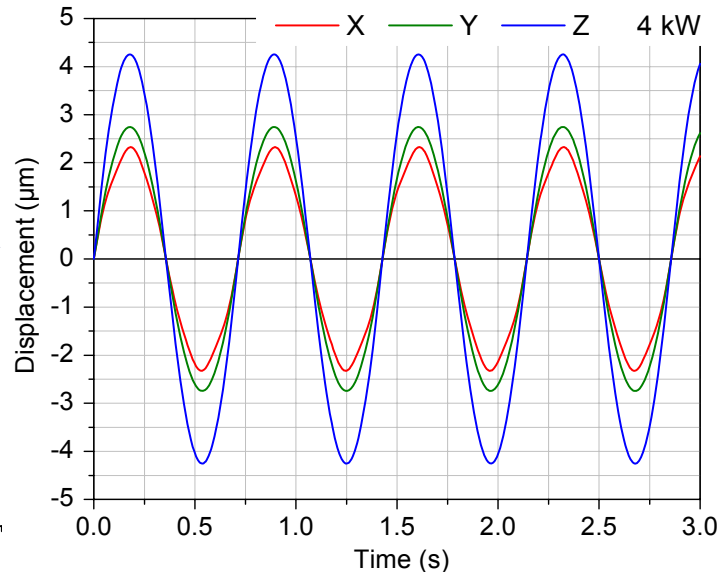
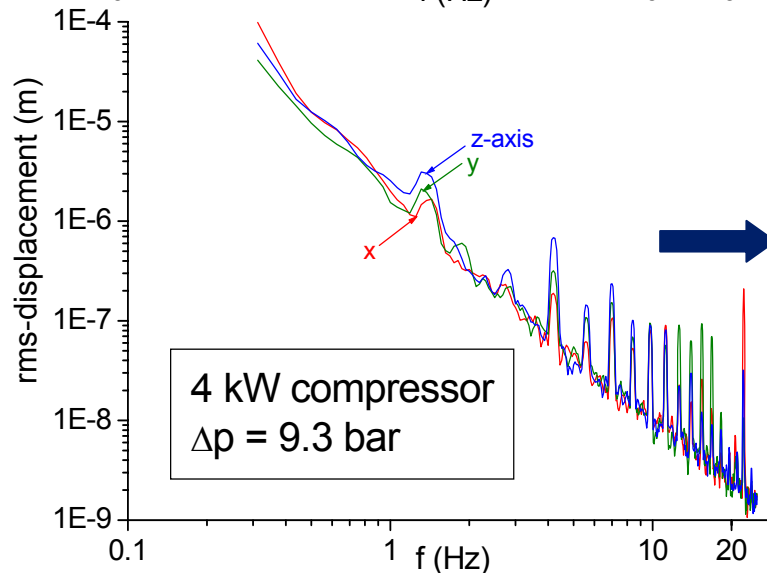


2 kW compressor:

x : $\pm 1.6 \text{ μm}$

y : $\pm 1.8 \text{ μm}$

z : $\pm 3.4 \text{ μm}$



4 kW compressor:

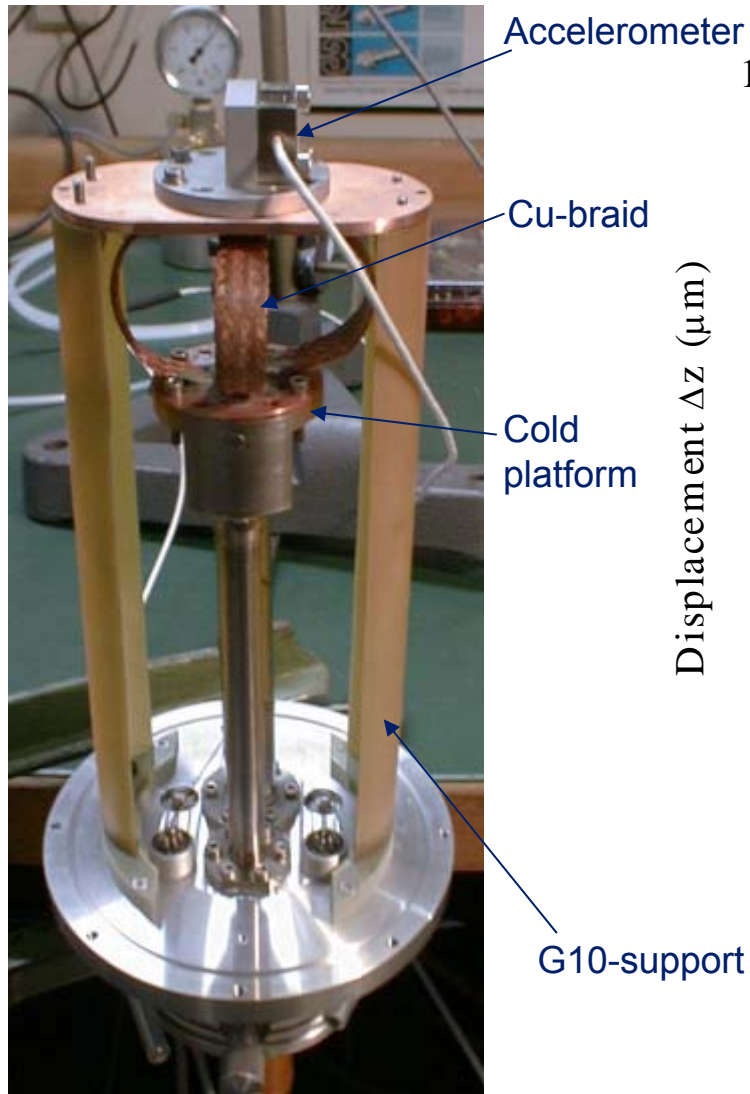
x : $\pm 2.3 \text{ μm}$

y : $\pm 2.7 \text{ μm}$

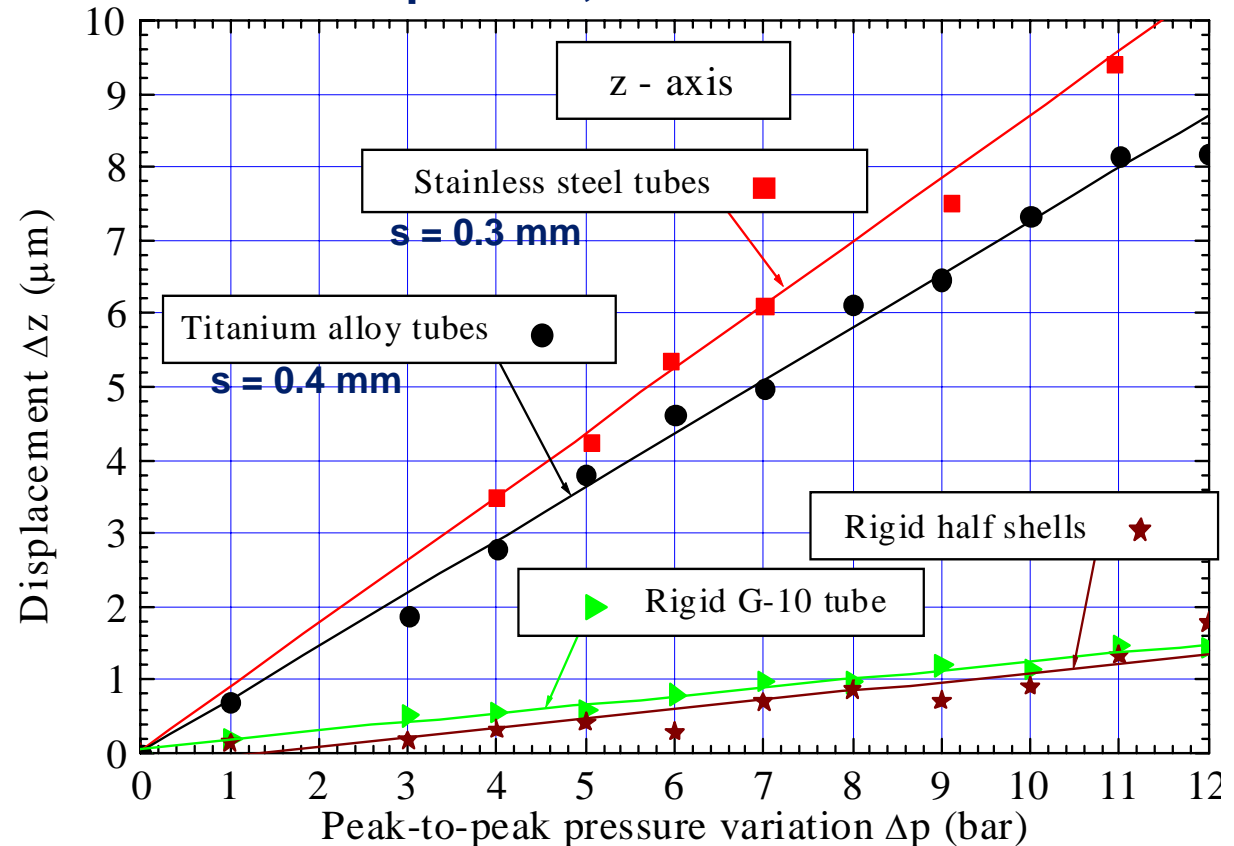
z : $\pm 4.4 \text{ μm}$

Damping of vibrations: small 1-stage PTC

Giessen 1999



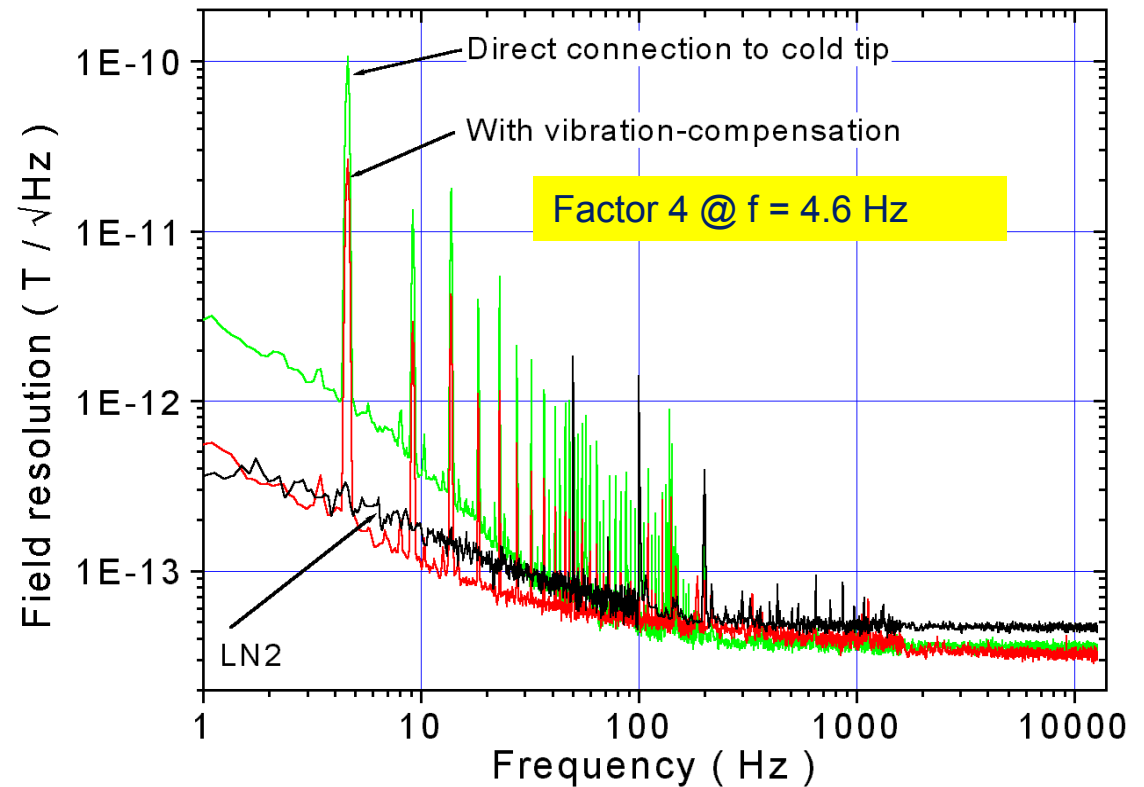
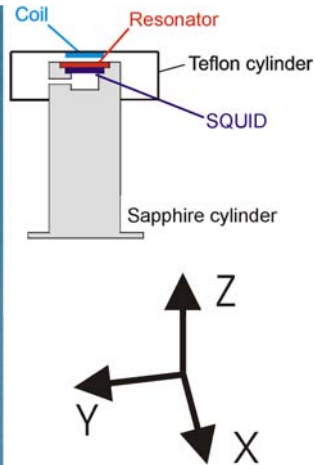
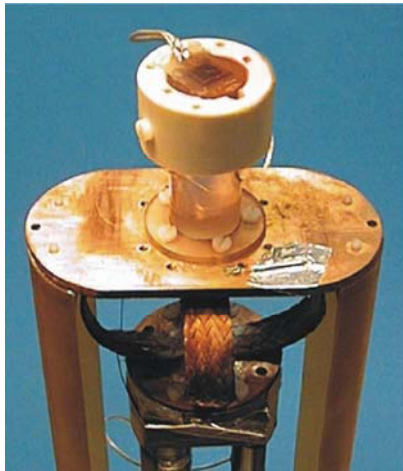
1-stage PTC for HTS-SQUID cooling:
2 kW compressor, $f = 4.6$ Hz



Titanium tubes: $\Delta z = 5 \mu\text{m}$ at cold platform

$\rightarrow \Delta z < 1 \mu\text{m}$ with vibration reduction
at typical operating condition of $\Delta p = 7$ bar

Giessen 1999

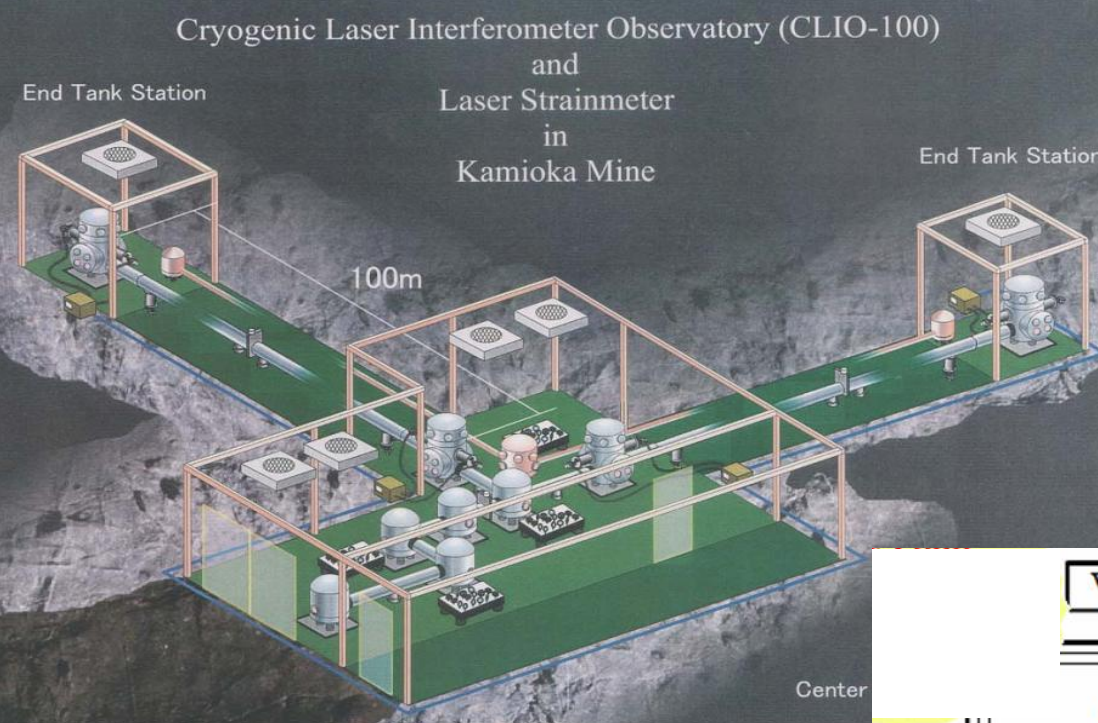


YBCO-rf-SQUID (FZ Jülich)

LN2 (77 K): 45 fT/ $\sqrt{\text{Hz}}$ above 100 Hz

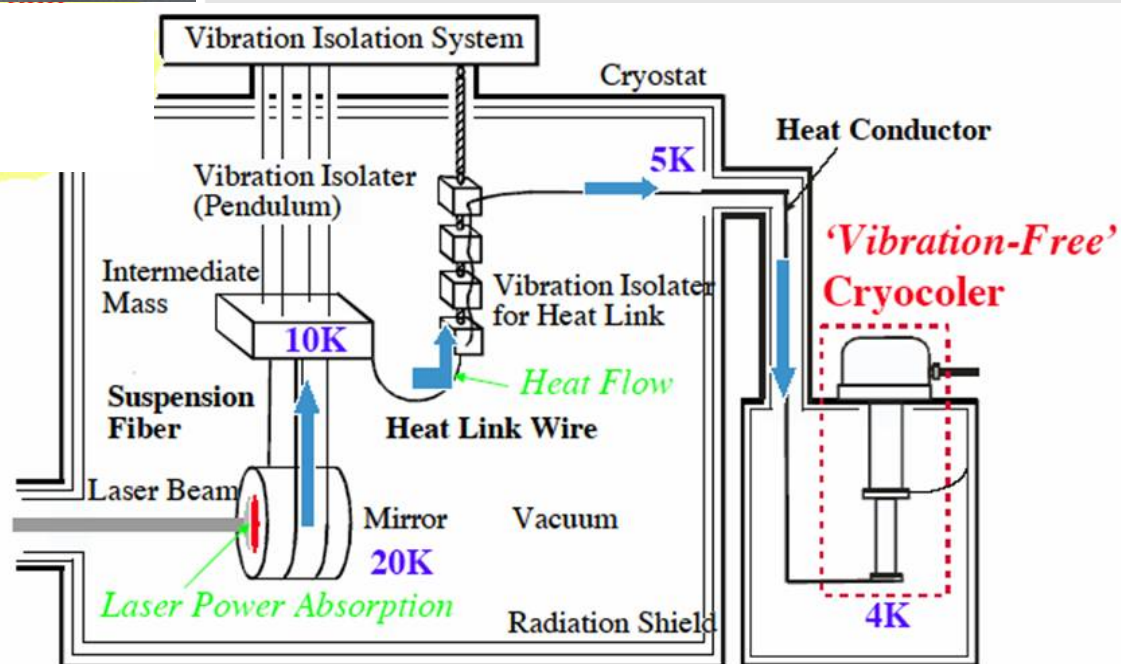
PTC (70 K): white noise 35 fT/ $\sqrt{\text{Hz}}$

Advanced vibration isolation for 4 K PTCs (CLIO-100)



Specification for the CLIO cryocooler system

	4 K cryocooler unit		80 K cryocooler unit
Number of cryocoolers	4 units		6 units
Basic cryocooler	Two stages pulse tube cryocooler		Single stage pulse tube cryocooler
Compressor power consumption	7 kW		7 kW
Power frequency	60 Hz		60 Hz
Cold stages	2nd stage	1st stage	80 K stage
Objects to be cooled	Mirror and inner shield	Outer shield	Laser-beam duct shield
Cooling performance requirement	0.5 W at 5 K	20 W at 50 K	50 W at 80 K
Vibration amplitude requirement	<±1 μm	<±1 μm	<±1 μm



**"Vibration-free" 4 K PTC
for CLIO, T. Tomaru et al.,
Cryocoolers 13 (2004), p. 695**

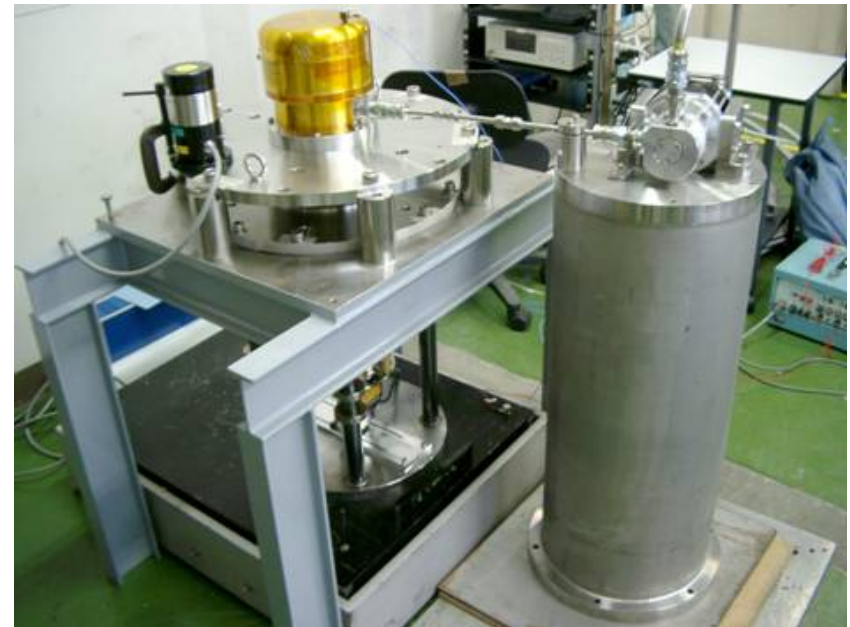
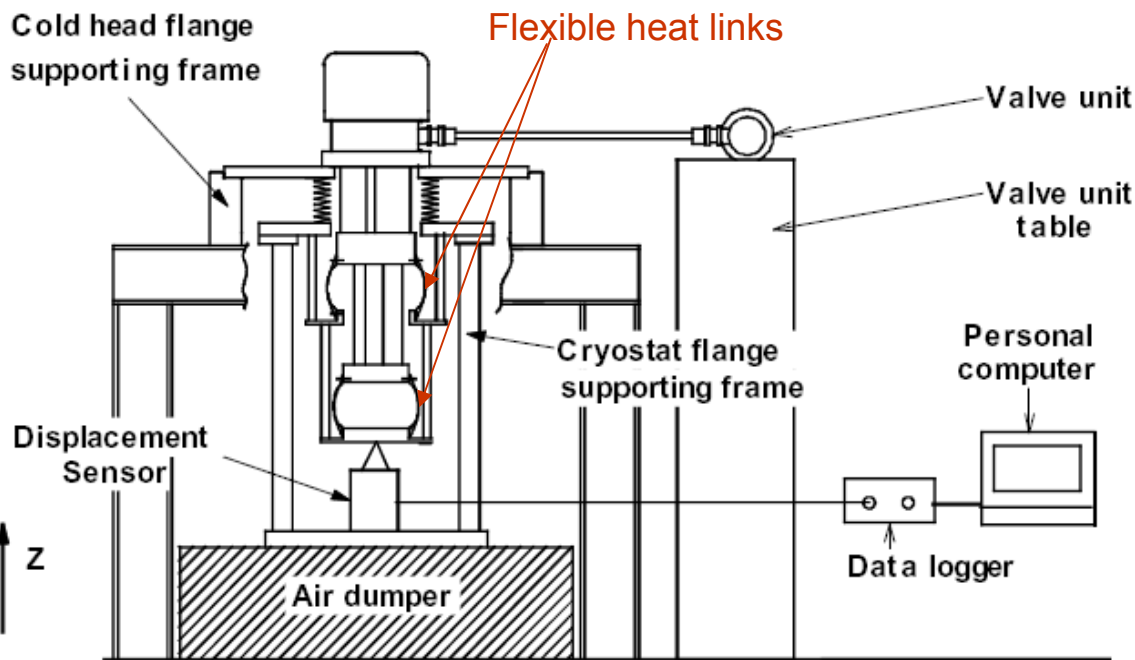
**Y. Matsubara, WEH-Workshop
"Applied Cryoelectrics" (2006)**

**Y. Ikushima et al. , Cryogenics 48 (2008)
p. 406**

Vibration reduction of Sumitomo 4 K PTC

Y. Ikushima et al. , Cryogenics 48 (2008) p. 406

R. Li et al., Cryocoolers 13 (2004), p. 695

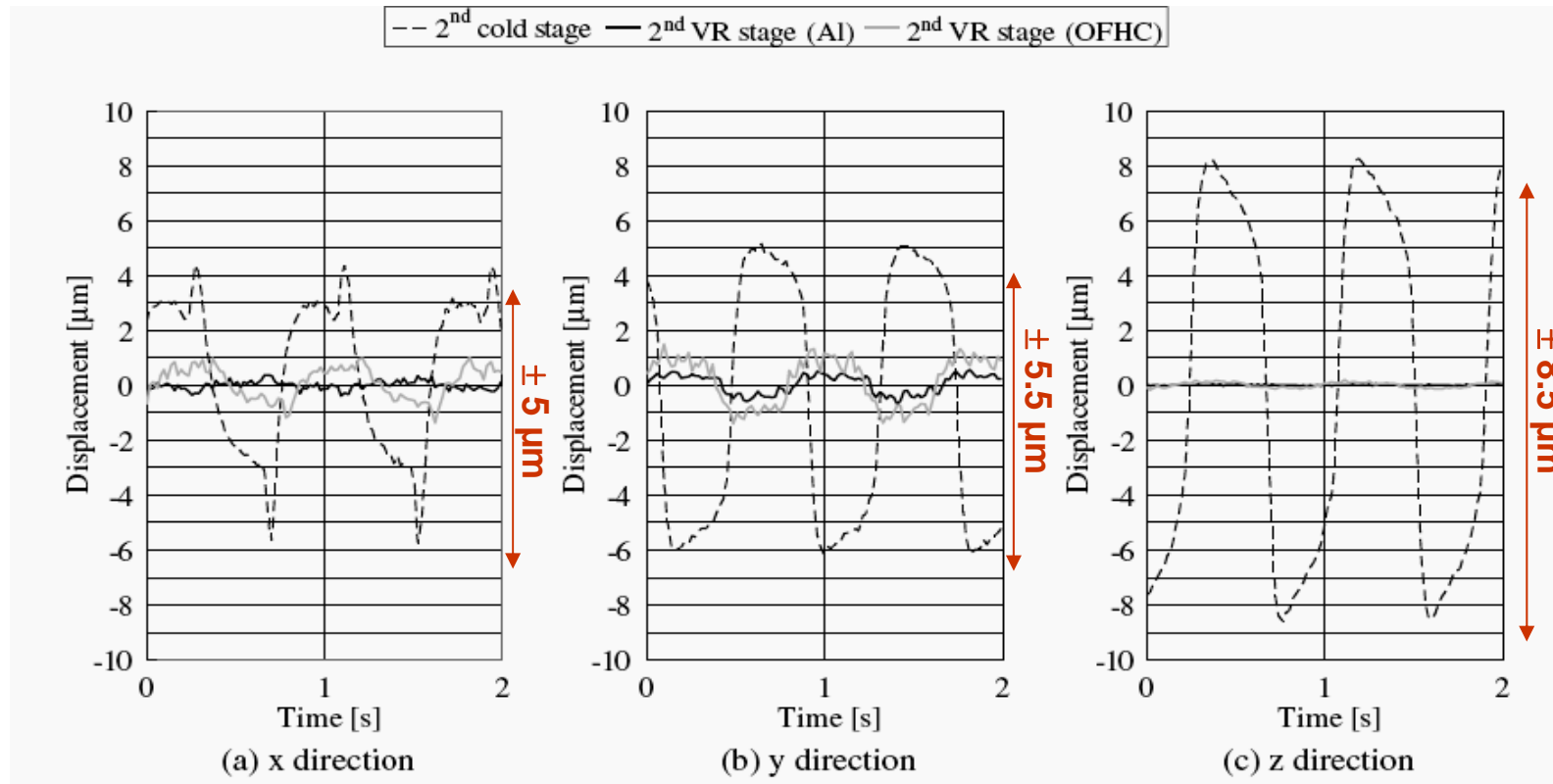


4 K PTC: Sumitomo SRP-052A (7 kW compressor)
0.5 W @ 4.2 K and 20 W @ 42 K
with 40 cm line from rotary valve to cold head

*Remark: A PTC system with **active vibration compensation** was built and tested by the **group of Fulvio Ricci (Rome)***

Vibration of Sumitomo 4 K PTC

R. Li et al., Cryocoolers 13 (2004), p. 695 and Y. Ikushima et al., Cryogenics 48 (2008) p. 406



Displacements of 2nd stage with vibration isolation:

Flexible heat links	x	y	z
OFHC-Cu	±1.2 μm	±1.5 μm	±0.2 μm
High-purity Al	±0.2 μm	±0.1 μm	±0.02 μm

Requirement of amplitude < 1 μm for CLIO fulfilled



- Nowadays, 4 K PTCs have proven to be reliable cryocoolers with low intrinsic vibration level.
- Cooling powers range from 200 mW to more than 1 W @ 4.2 K
- By use of special set-ups for vibration isolation the residual vibration amplitudes can be reduced to a "tolerable" level of $< 1 \mu\text{m}$, as shown for example by the Cryogenics Group of CLIO-100.

Present scientific/technical staff at "Low Power Cryocooler Group" of IAP and of TransMIT-Center :

Kai Allweins Benjamin Blenn Marc Dietrich Andreas Euler
Yusuf Kücük Kaplan Günter Thummes