

# Highlights from physics of strongly coupled systems: ALICE and NA60+

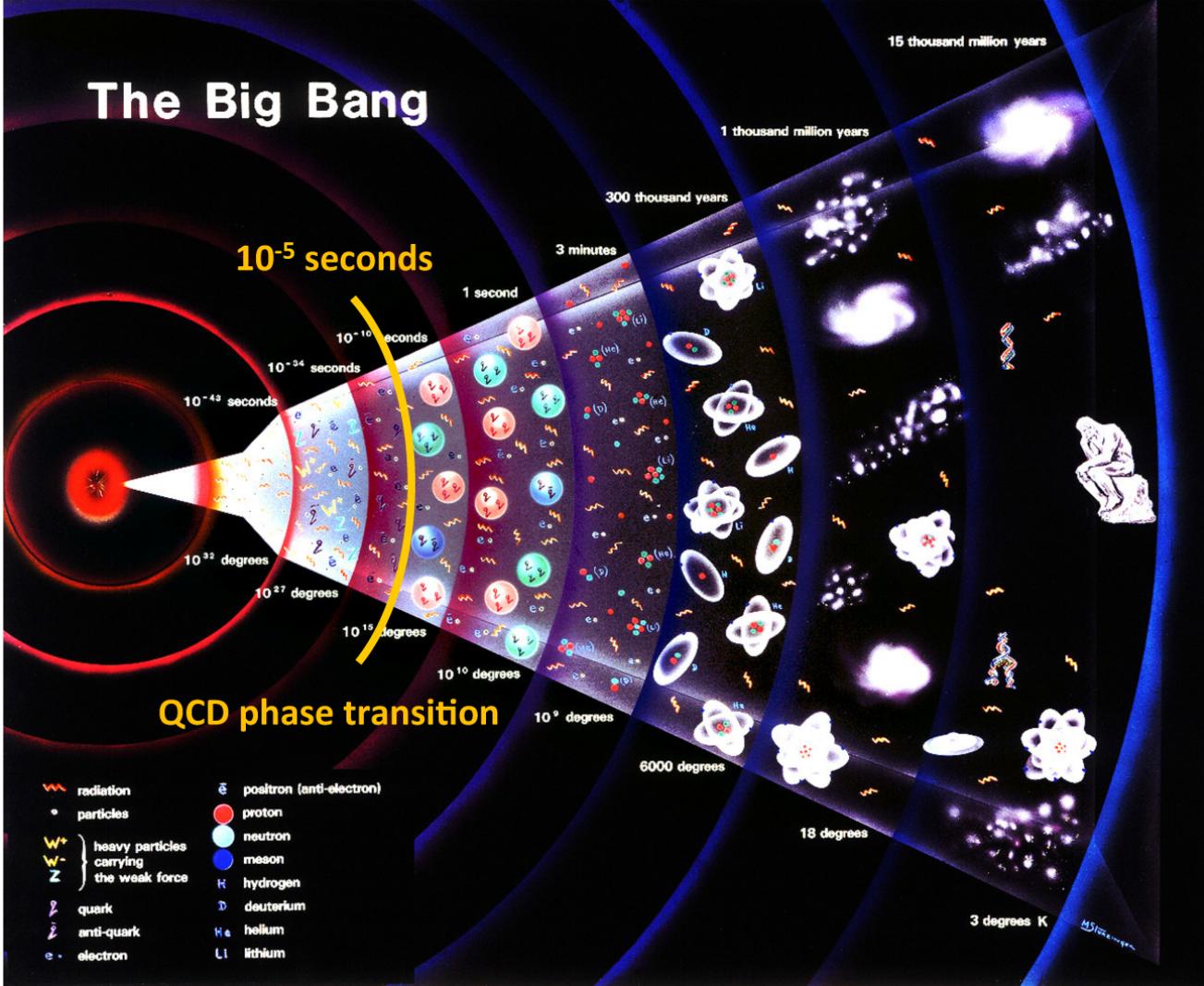
**Gianluca Usai – Università di Cagliari**  
*On behalf of the Cagliari group of high  
energy nuclear physics*

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Phd S. Boi, A. Chauvin, A. Mulliri*

# The QCD phase transition in the early Universe



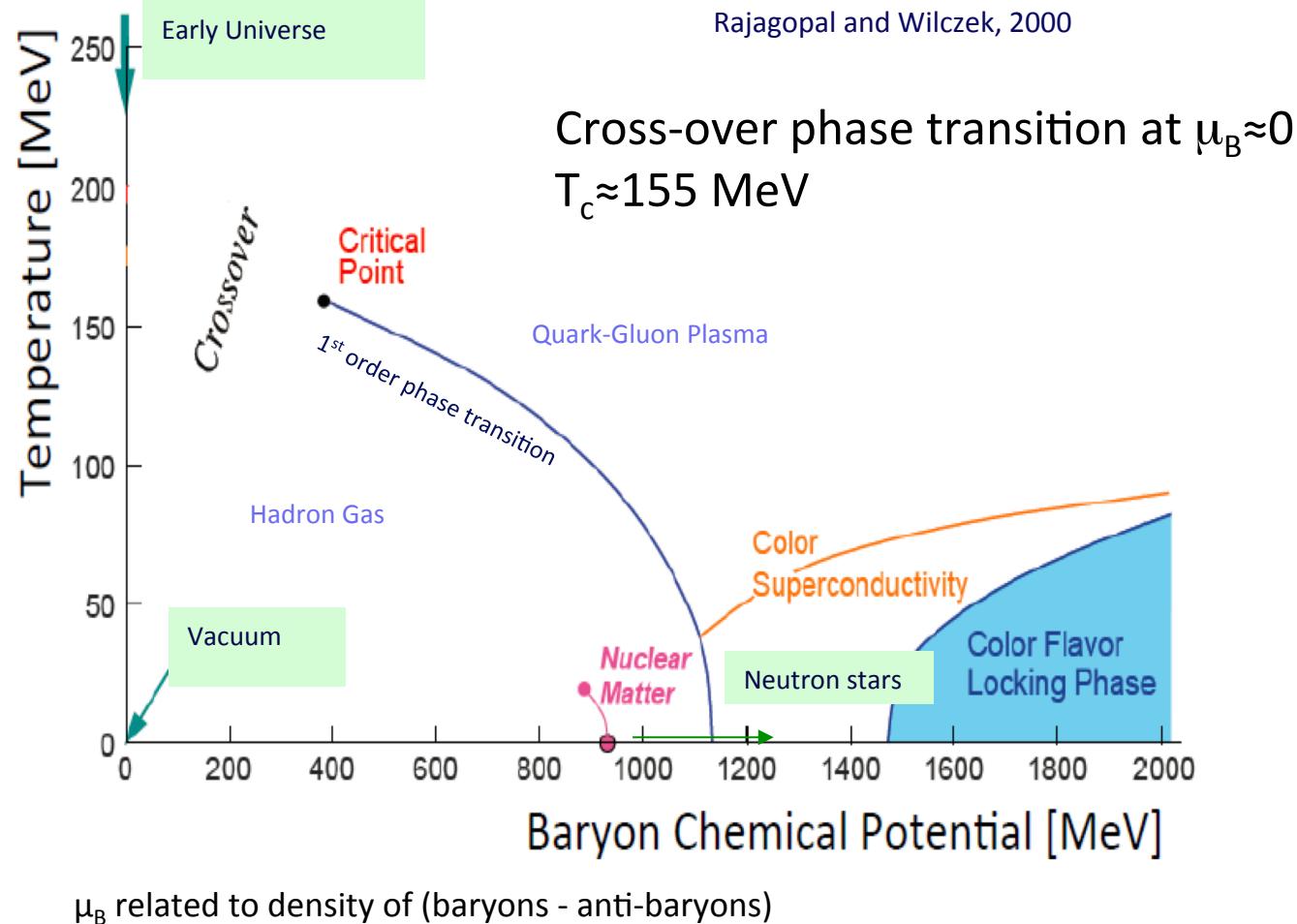
Early universe up to  $10^{-5}$  s: plasma of quarks and gluons (QGP) plus leptons and photons



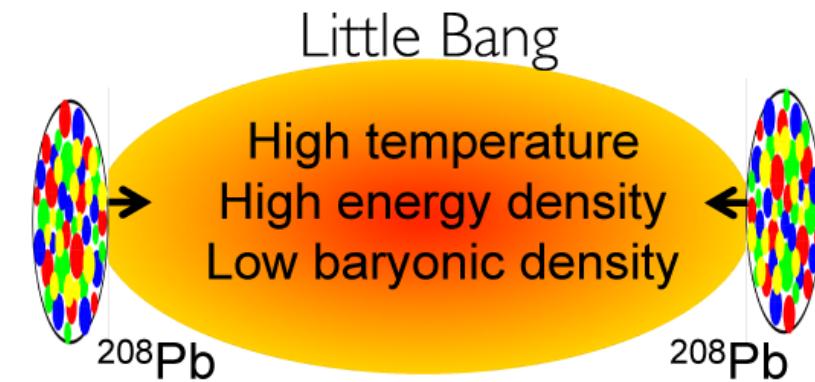
The QCD phase transition is the only one involving fundamental degrees of freedom of the standard model accessible to laboratory experiments

# The QCD phase diagram

**LHC: probes the early Universe phase transition ( $\mu_B \approx 0$ )**



**High-energy heavy ion collisions:**

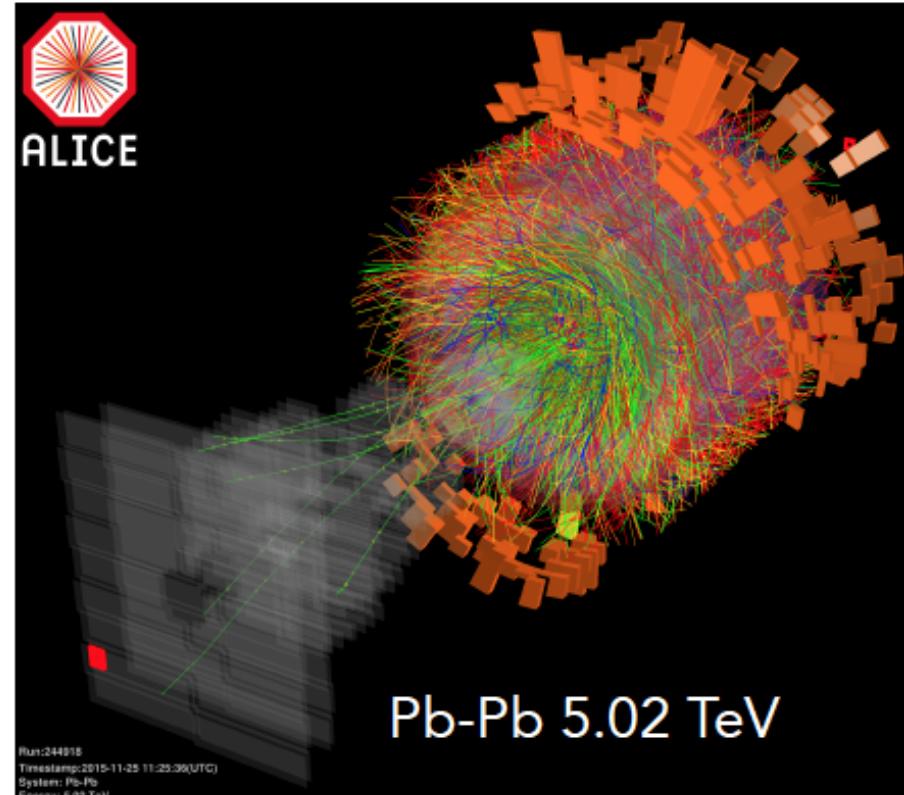


**Energy energy density up to**  
 $\sim 15$  GeV/fm<sup>3</sup> at LHC  
**over a large volume**  
 $\sim 5000$  fm<sup>3</sup> at LHC

# Data taking since 2010



| System | Year(s)        | $\sqrt{s_{NN}}$ (TeV) | $L_{int}$  |
|--------|----------------|-----------------------|--|
| Pb-Pb  | 2010-2011      | 2.76                  | $\sim 75 \mu b^{-1}$   |
|        | 2015           | 5.02                  | $\sim 250 \mu b^{-1}$  |
|        | by end of 2018 | 5.02                  | $1 nb^{-1}$  |
| Xe-Xe  | 2017           | 5.44                  | $\sim 0.3 \mu b^{-1}$  |
| p-Pb   | 2013           | 5.02                  | $\sim 15 nb^{-1}$  |
|        | 2016           | 5.02, 8.16            | $\sim 3 nb^{-1}, \sim 25 nb^{-1}$  |
| pp     | 2009-2013      | 0.9, 2.76,<br>7, 8    | $\sim 200 \mu b^{-1}, \sim 100 nb^{-1},$<br>$\sim 1.5 pb^{-1}, \sim 2.5 pb^{-1}$ |
|        | 2015,2017      | 5.02                  | $\sim 1.3 pb^{-1}$   |
|        | 2015-2017      | 13                    | $\sim 25 pb^{-1}$  |

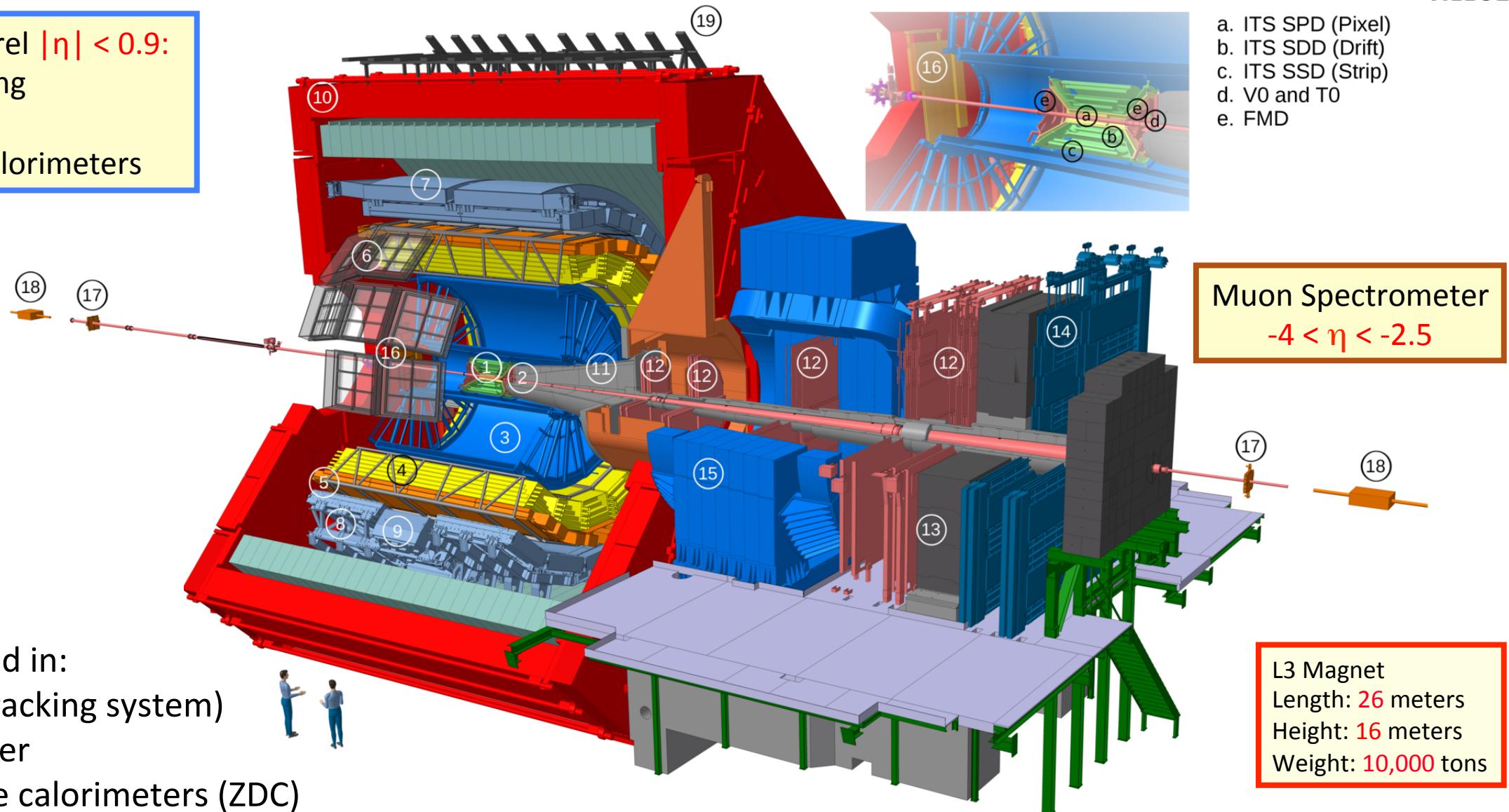


- LHC Run 2 data analysis is in full swing.
- Significant increase in integrated luminosity in pp, p-Pb, and Pb-Pb collisions allows **more and more precise investigation of statistics hungry probes**.

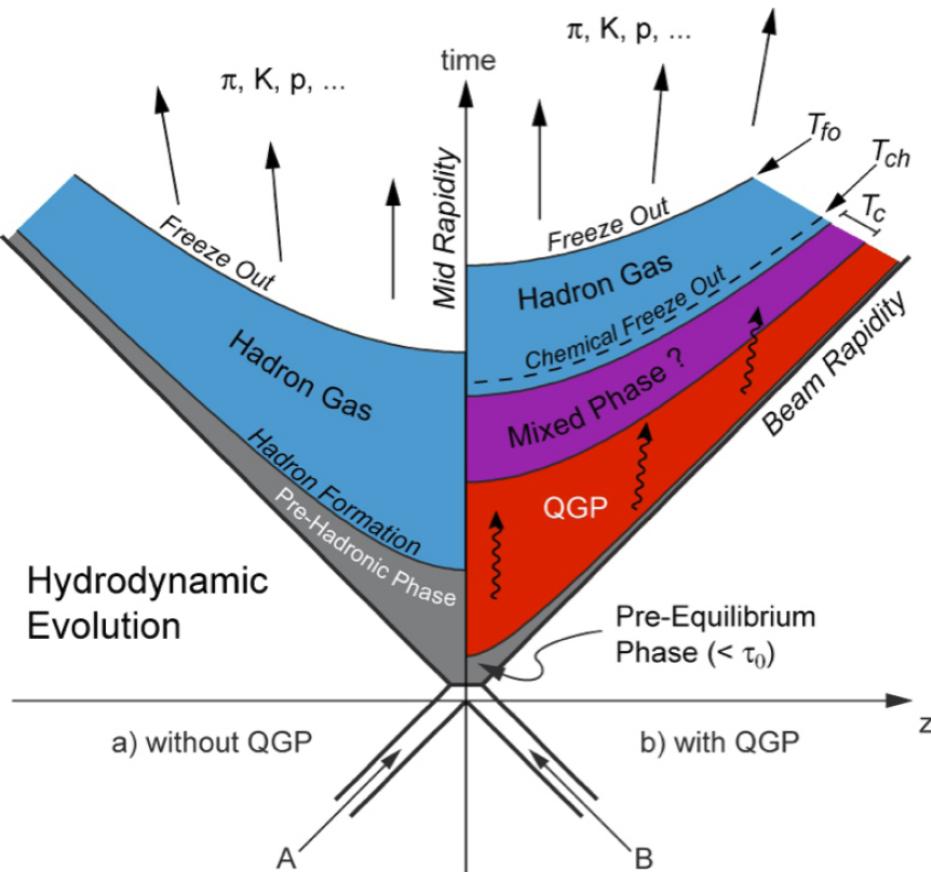
# The ALICE Experiment



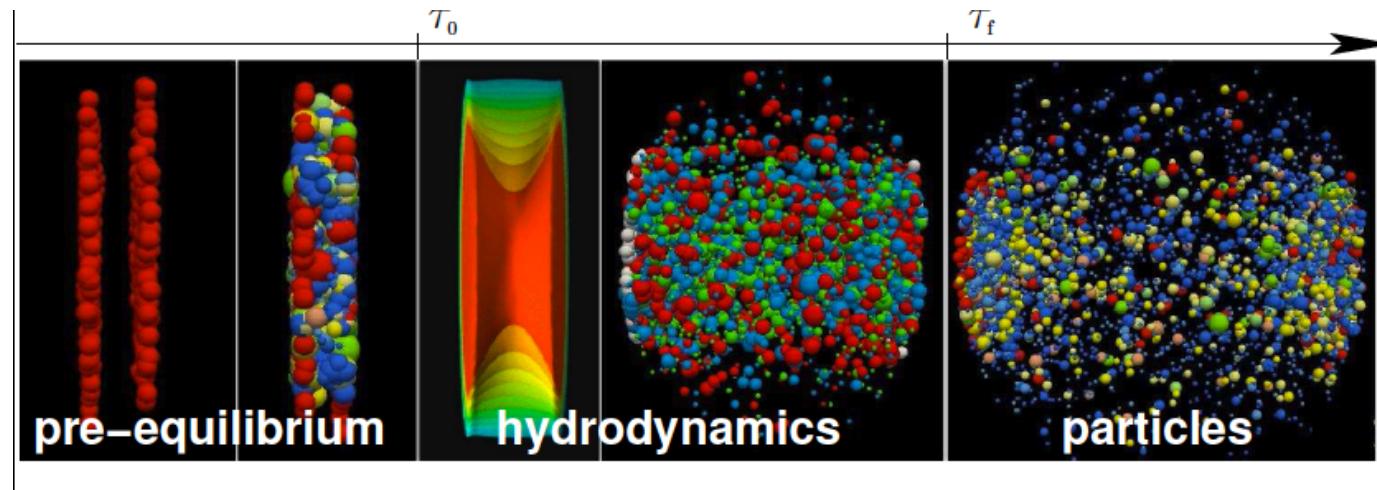
Central Barrel  $|\eta| < 0.9$ :  
Tracking  
PID  
EM-Calorimeters



# The standard model of high-energy heavy ion collisions



- Particle detection ( $t \sim 10^{15} \text{ fm/c}$ )
- Kinetic freeze-out ( $t \sim 10-15 \text{ fm/c}, T \sim 100 \text{ MeV}$ )
- Chemical freeze-out ( $T \sim 150 \text{ MeV}$ )
- Hydrodynamic evolution ( $t \sim 0.5 \text{ fm.c}$ )
- Pre-equilibrium
- Collision ( $t= 0 \text{ fm/c}$ )



A central theme in the study of strongly correlated, interacting many body systems



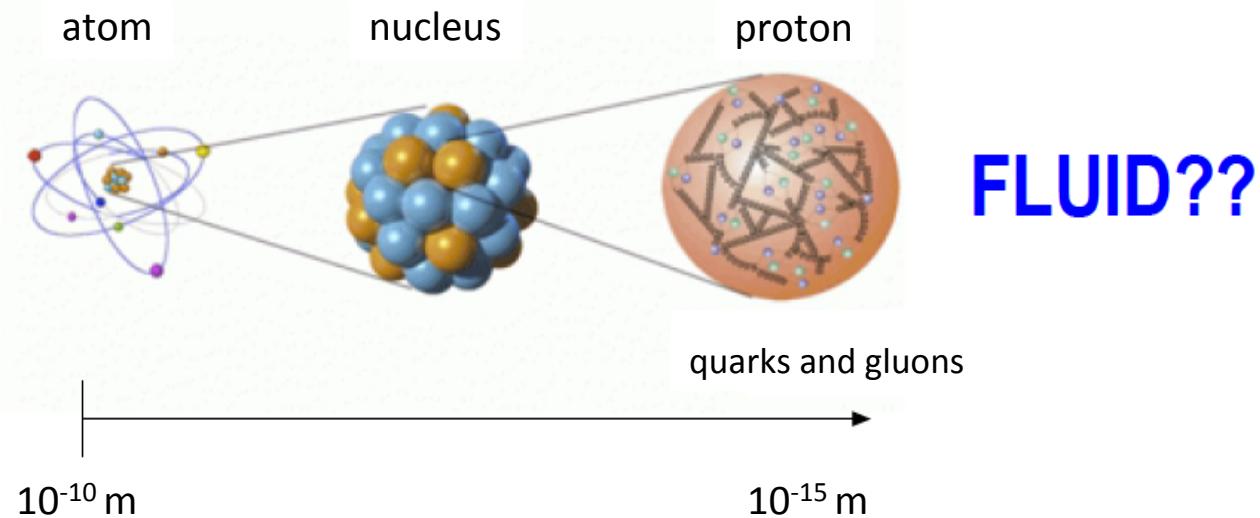
## Key questions:

- What is the underlying mechanism to drive?
- Can they be understood from fundamental forces?

# QCD matter and the ultimate frontier in fluid dynamics



“Macro” scales → nuclear/particle physics



At its most fundamental level: **collectivity in heavy ion collisions**

Strong interactions → strong correlations:

- quasiparticles losing their identity when **mean free path for these excitations**  $\approx$  interparticle spacing
- Failing of Kinetic theories (in particular Boltzmann equation)

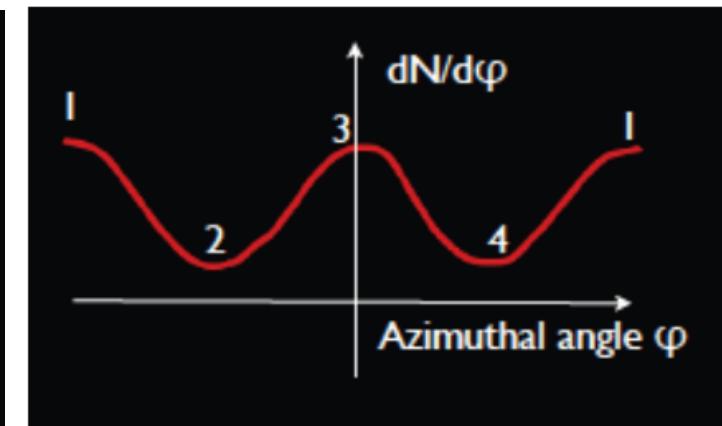
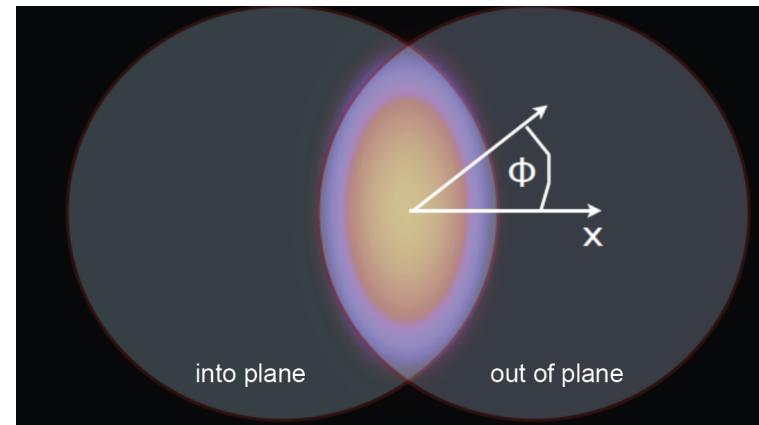
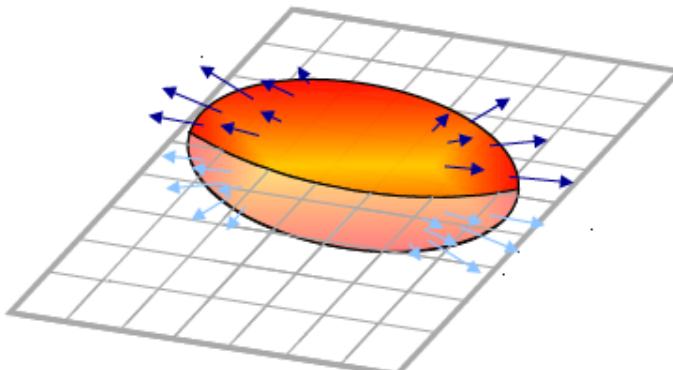
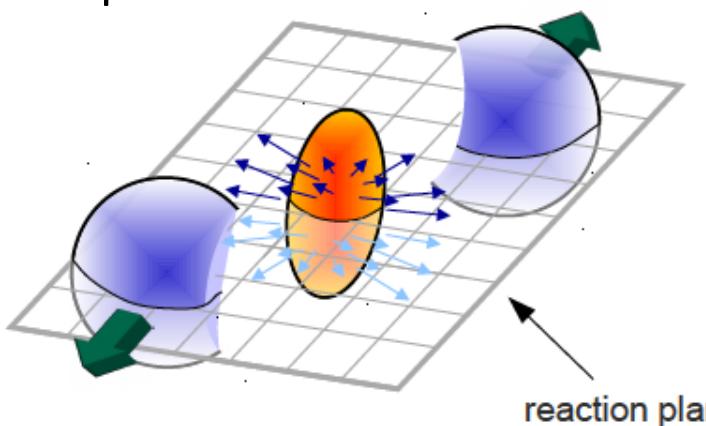
**Nearly ideal (low viscosity) hydrodynamics is a very good description of these systems**

# Collective expansion and elliptic flow



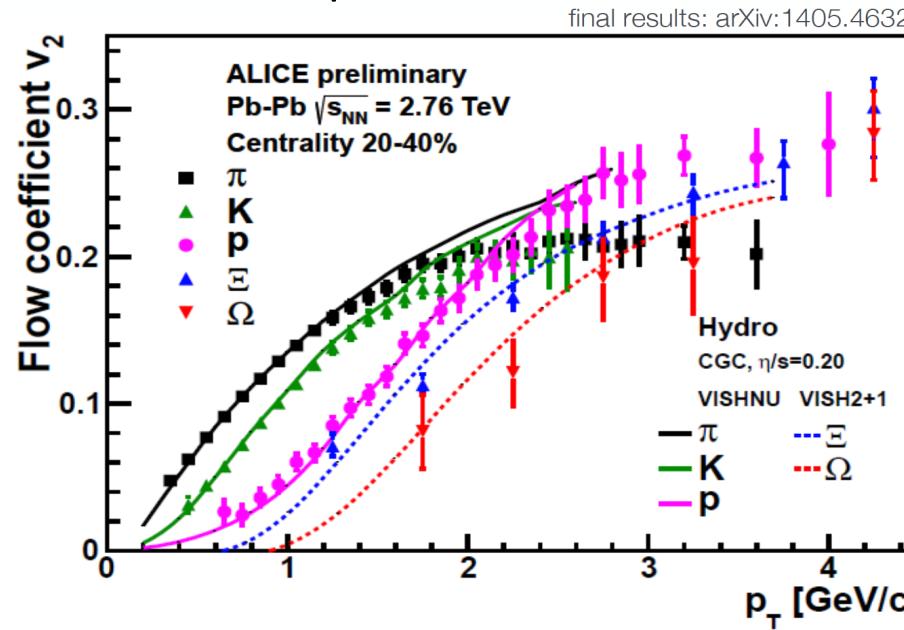
Peripheral collisions are azimuthally asymmetric

Pressure in the hot drop of strongly interacting fluid affects the momentum of emitted particles



Study particle production as a function of the emission angle w.r.t. the reaction plane

$$\frac{dN}{d\phi} = \frac{N}{2\pi} \left( 1 + \sum_{n=1}^{\infty} 2v_n \cos[n(\phi - \Psi)] \right)$$

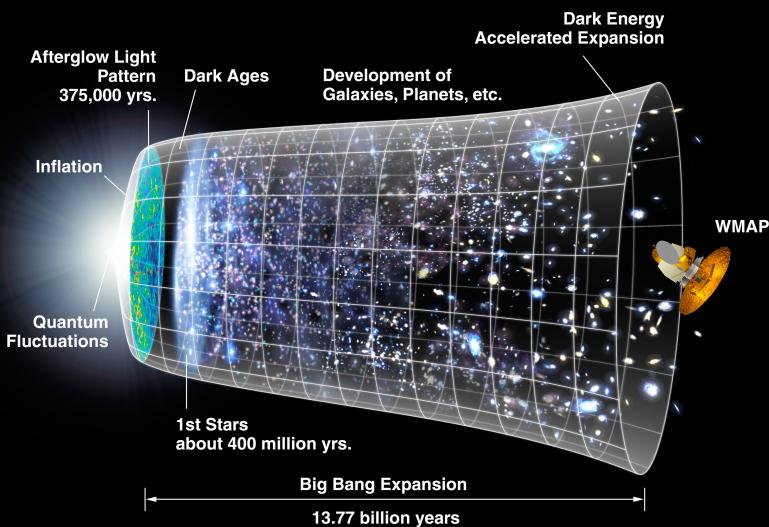


Fourier expansion, usually dominated by  $v_2$ , the so-called **elliptic flow**

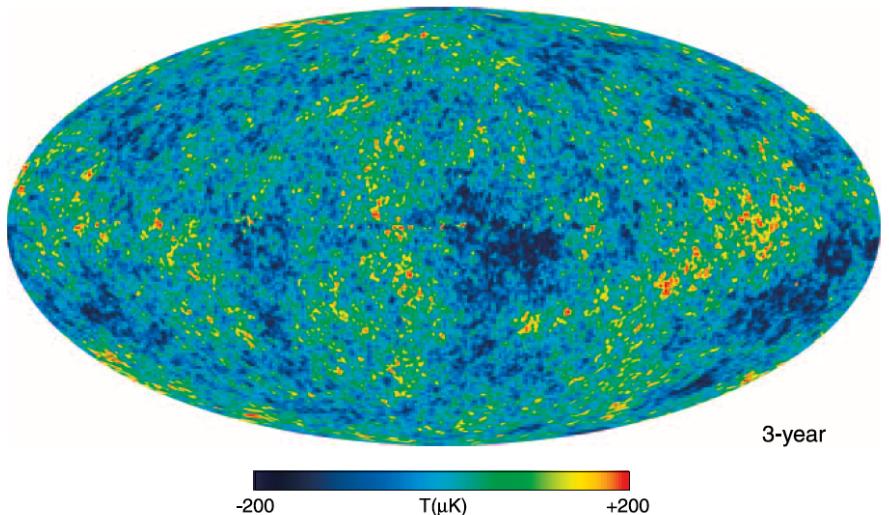
# Survival of initial state fluctuations: Universe and HI collisions



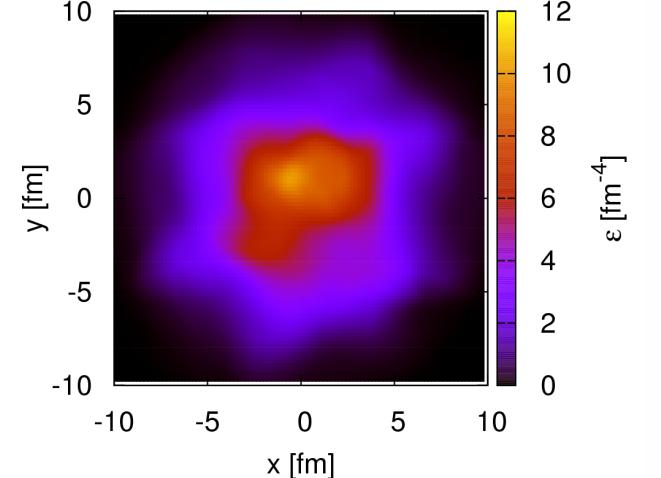
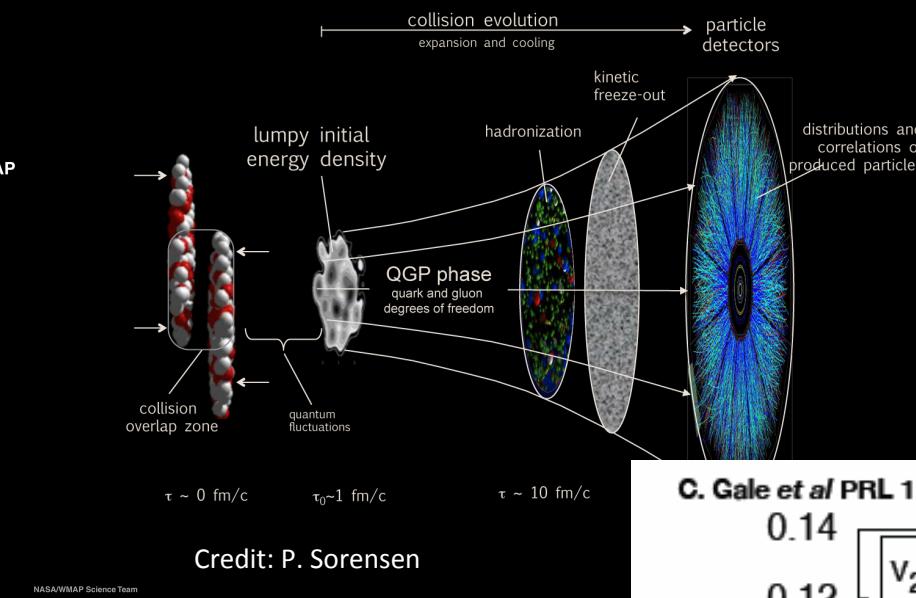
## The Universe



Credit: NASA

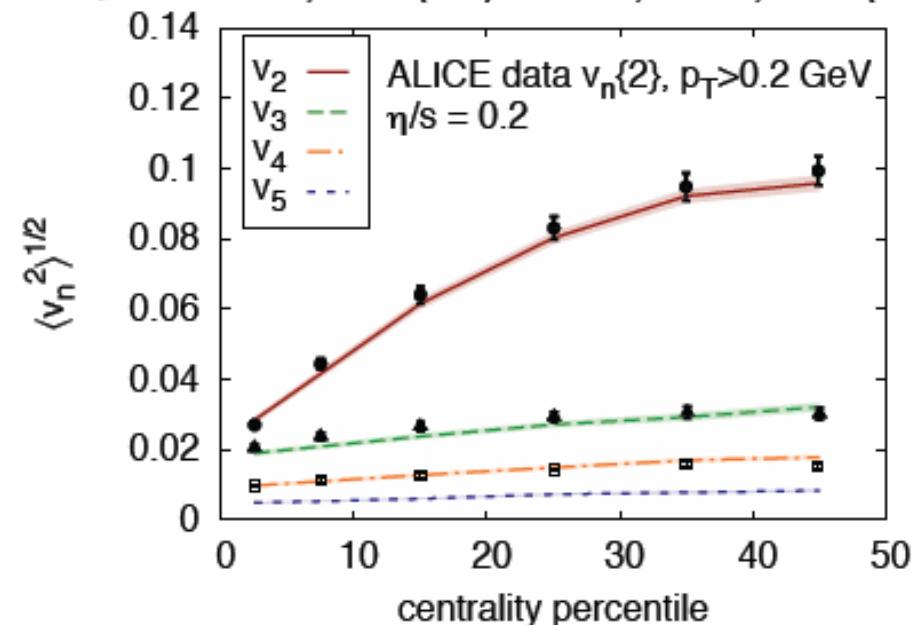


## Heavy ion collision



C. Gale et al / PRL 110, 012302 (2013)

ALICE, PRL 107, 032301 (2011)



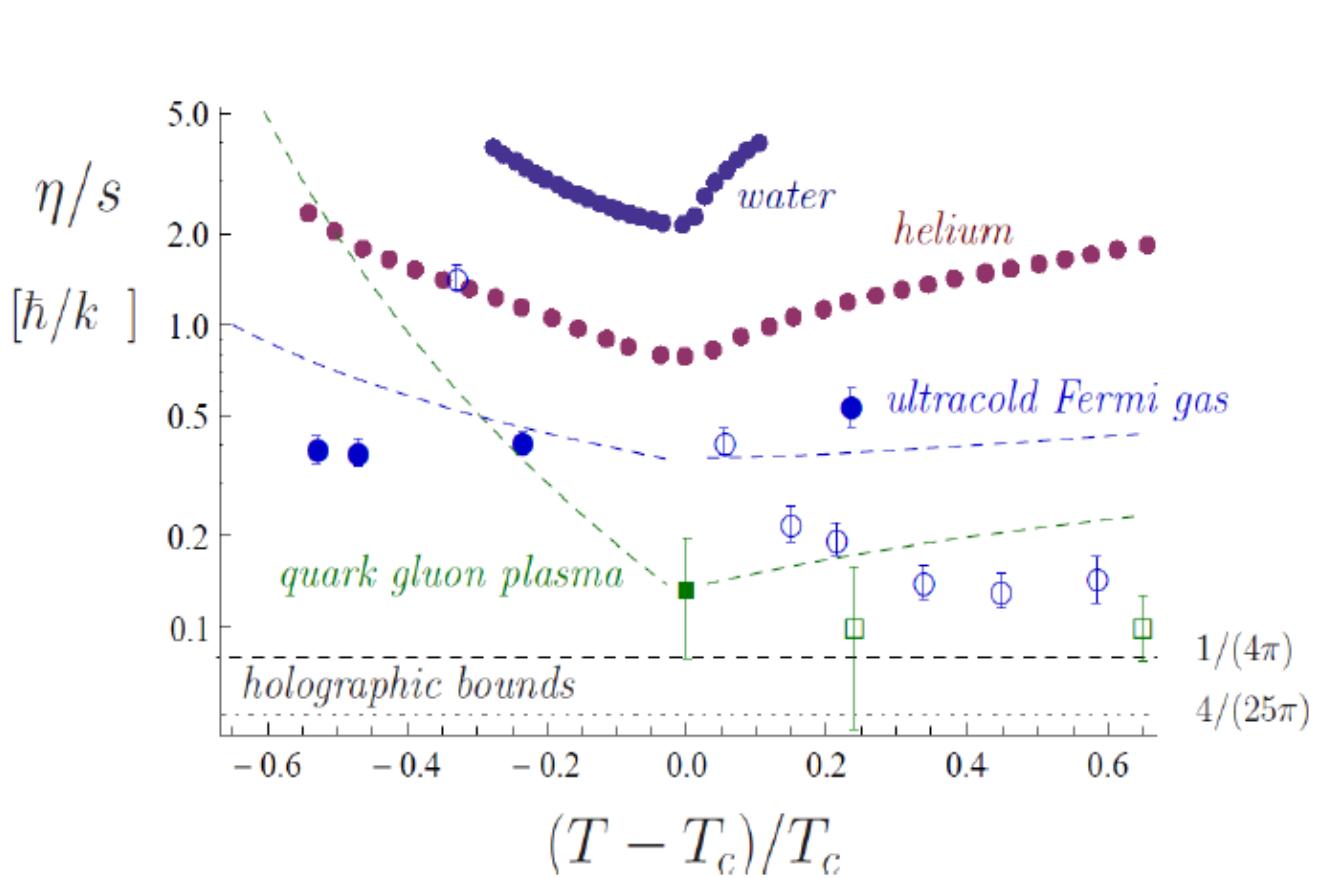
## Medium transport coefficients:

- Shear viscosity  $\eta$ ?
- Bulk viscosity?

→ fluctuations of initial state: power spectrum of  $v_n$  coefficients

# The competition for the most ideal fluid

Estimating the viscosity of QGP:



$$\eta = \frac{\hbar}{4\pi} s = \frac{10^{-27} \text{ erg} \cdot \text{s}}{(10^{-13} \text{ cm})^3} = 10^{14} \text{ cp}$$

Very high absolute value in normal unit.  
**Almost glass!**  
**Low viscosity in the sense of  $\eta/s$**

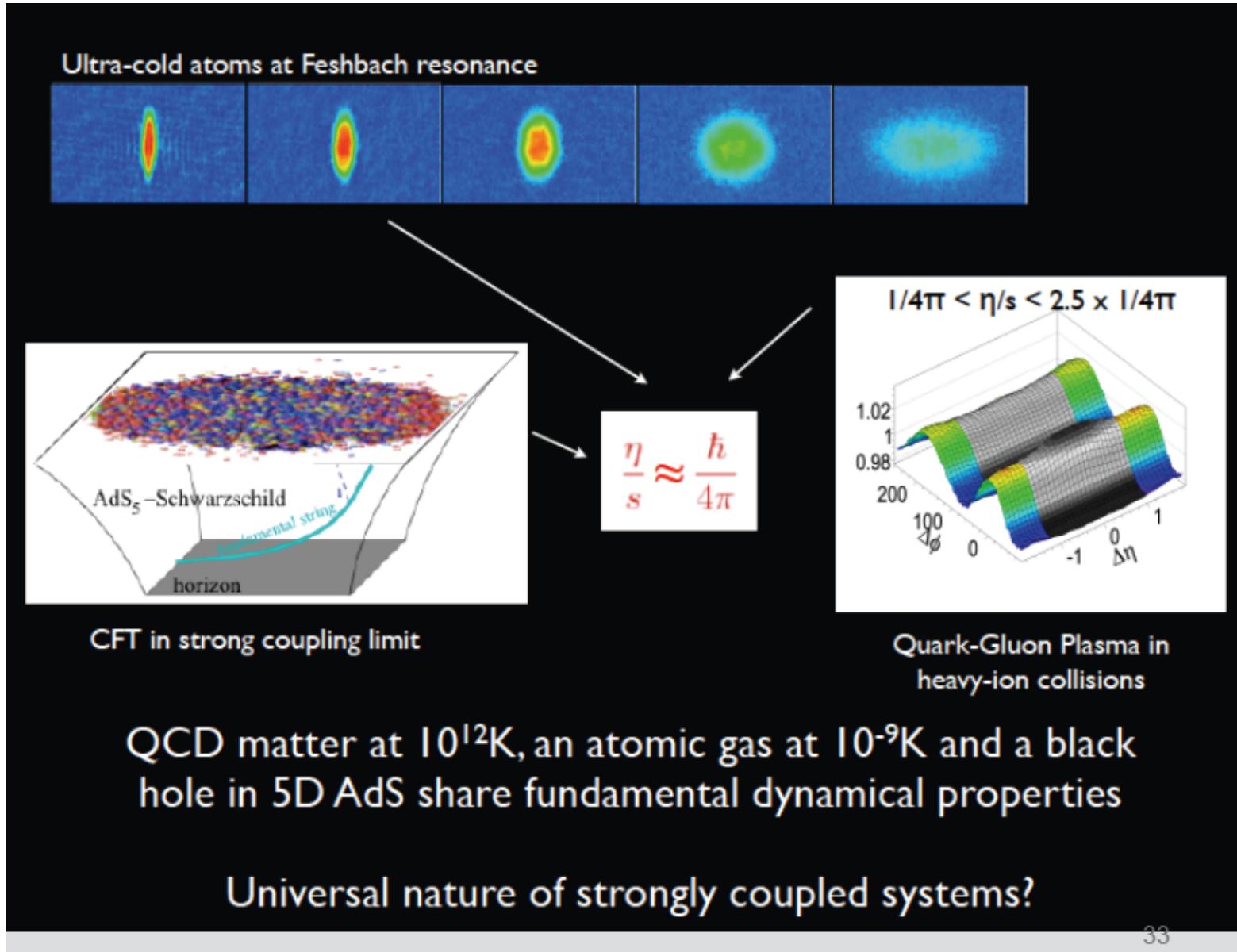
Table 8.4.1. Viscosities  $\eta$  for some common materials in centipoise ( $10^{-2} \text{ erg s/cm}^3$ ).

| Substance                | Temperature | Viscosity (cp) |
|--------------------------|-------------|----------------|
| Air                      | 18°C        | 0.018          |
| Water                    | 0°C         | 1.8            |
| Water                    | 20°C        | 1              |
| Water                    | 100°C       | 0.28           |
| Glycerin                 | 20°C        | 1500           |
| Mercury                  | 20°C        | 1.6            |
| n-Pentane                | 20°C        | 0.23           |
| Argon                    | 85K         | 0.28           |
| $\text{He}^4$            | 4.2K        | 0.033          |
| Superfluid $\text{He}^4$ | < 2.1K      | 0              |
| Glass                    |             | $> 10^{15}$    |

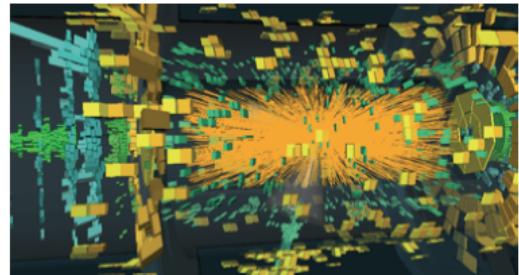
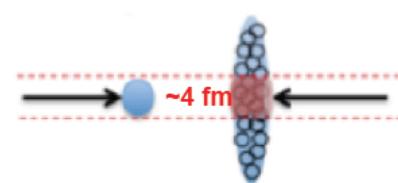
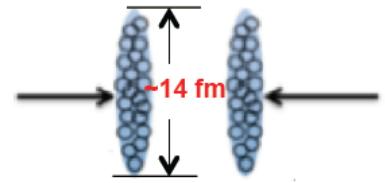
Note that, by popular convention, the designation "glass" is applied to any disordered material once its viscosity exceeds  $10^{15}$  cp.

A. Adams, L.D. Carr, T. Schaefer, P. Steinberg. J.E. Thomas, arXiv:1205.5180

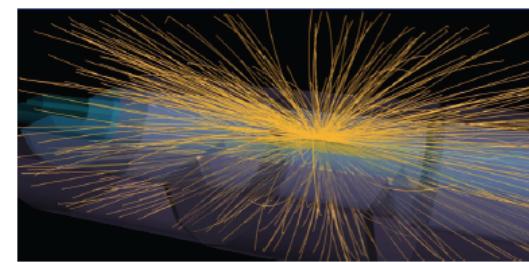
# The dynamics of strongly coupled systems



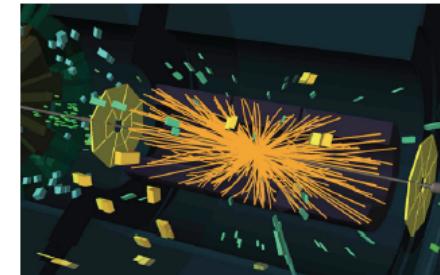
# The ultimate open question: what's the nature of the medium created in different collision systems?



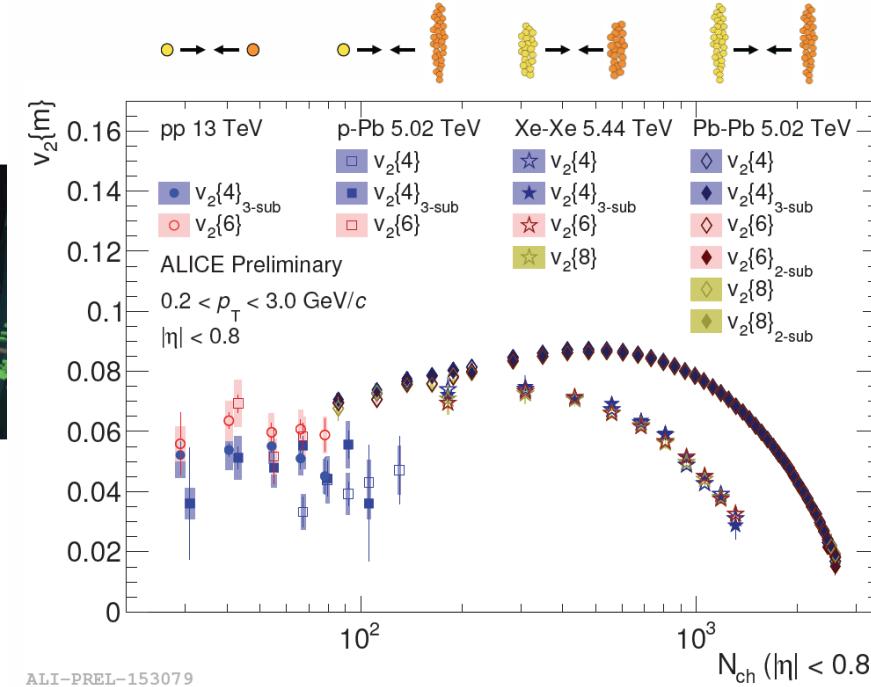
~30000 particles\*



~2000 particles\*



~ 600 particles\*



What is the smallest droplet of QGP created in these collisions?

→ Change matter size, life-time and space-time dynamics @ RHIC and LHC

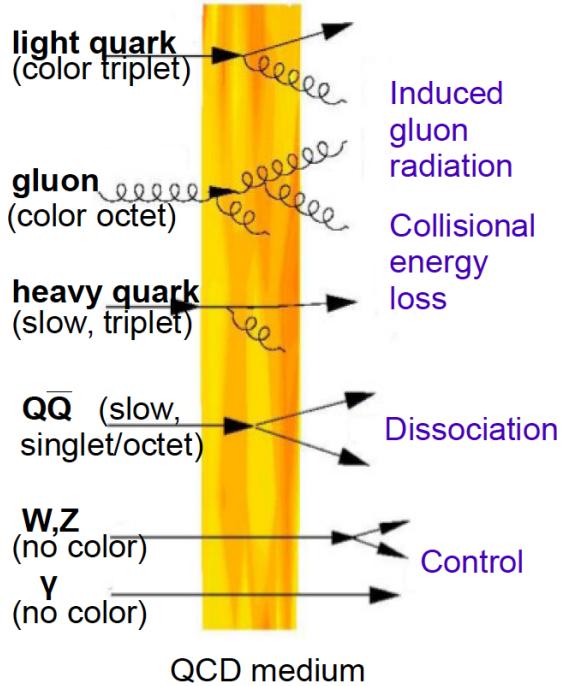
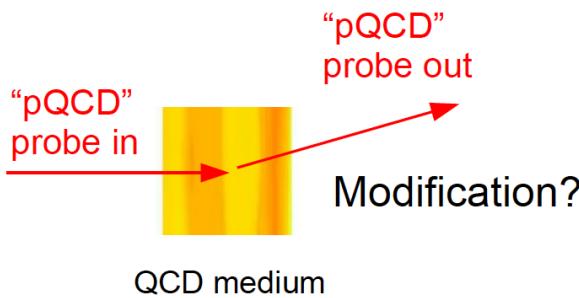
One fluid to rule them all?

\* rough number of particles emitted in very high central collisions at LHC energies

# Short wave-length degrees of freedom: tomography of QCD matter

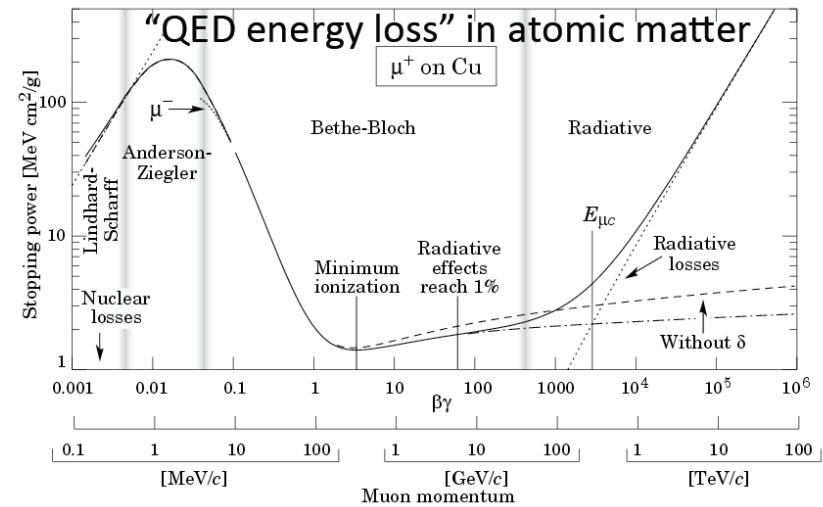


- Hard (large  $Q^2$ ) probes of QCD matter:  
jets, heavy-quark,  $Q\bar{Q}$ ,  $\gamma$ ,  $W$ ,  $Z$ 
    - Measurable in  $pp/pA$   
and/or calculable in pQCD
    - “Self-generated” in the collision  
at proper time  $\tau \approx 1/Q^2 \ll 0.1 \text{ fm}/c$
    - “Tomographic” probes of hottest  
and densest phase of medium



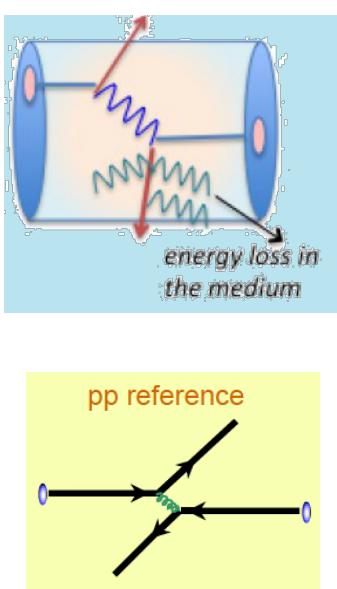
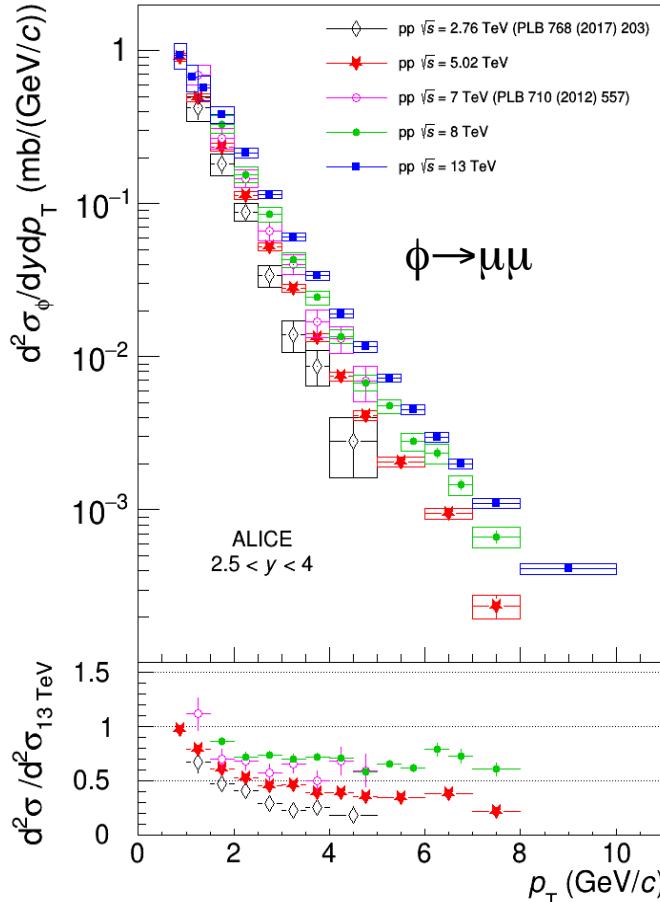
~ Study QCD “Bethe-Block” curve for partons in the QGP

# Connection of “local” interactions with global medium properties



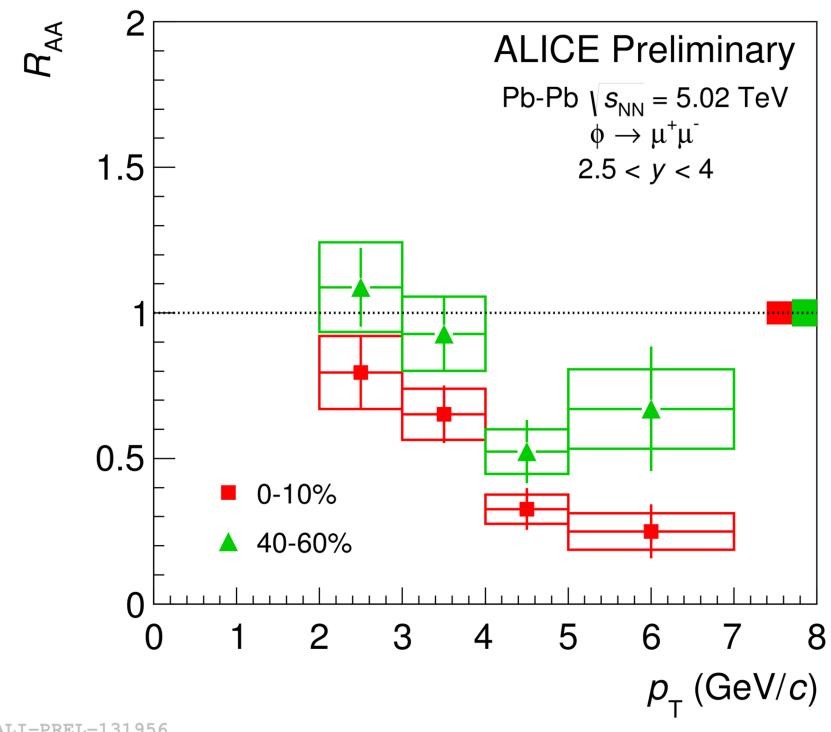
# The measurement of energy loss: nuclear Modification Factor

$$R_{AA} = \frac{AA}{\text{scaled pp}} = \frac{d^2 N_{AA}/dp_T dy}{\langle N_{\text{coll}} \rangle d^2 N_{pp}/dp_T dy}$$



No nuclear effects: scaling with n. of binary collisions  $N_{\text{coll}} \rightarrow R_{AA} = 1$   
 Final state effects  $\rightarrow$  breakup of binary scaling and  $R_{AA} \neq 1$

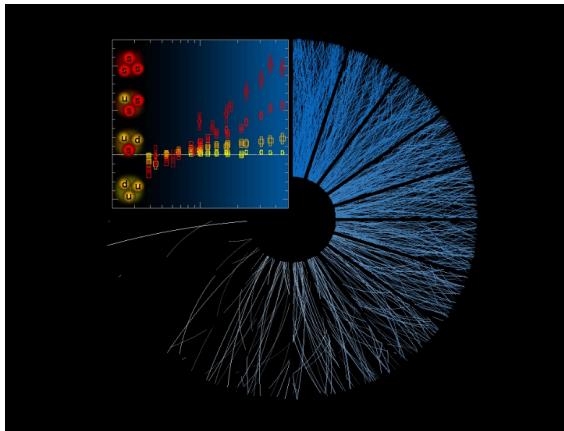
non medium effects  
 $R_{AA} = 1$   
 hot matter effects  
 $R_{AA} \neq 1$



Pb-Pb: suppression vs  $p_T$  in central collisions  $\rightarrow$  Interplay between hydrodynamic expansion of the fireball (low- $p_T$ ) and suppression due to energy loss mechanisms like **gluon bremsstrahlung** (dominant high  $p_T$ )

# Strangeness Enhancement

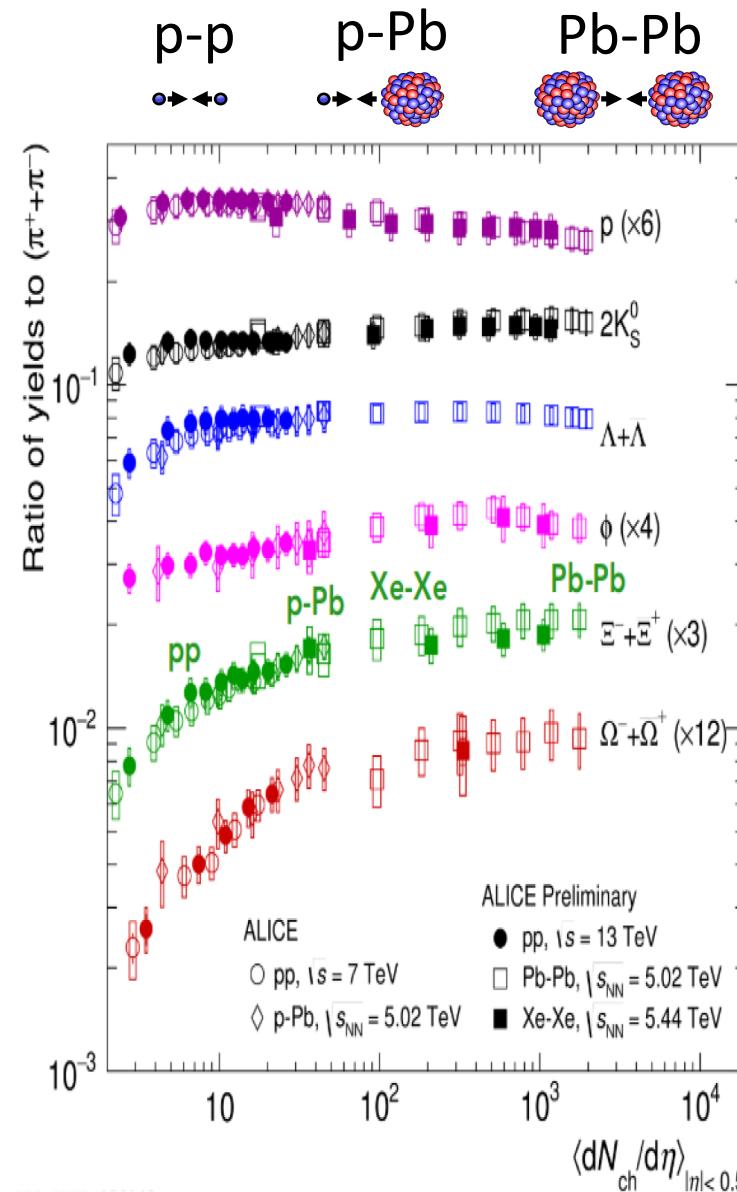
## Strangeness enhancement as a signature of QGP



Nature Physics (2017) doi:10.1038/nphys4111

Additional insight on  $\phi$  vs  
multiplicity at forward rapidity

A. De Falco, E. Casula, A. Chauvin



Significant enhancement of strangeness with multiplicity in high mult pp events and pPb, scaling with net strangeness content  $|S|$

pp behavior resembles p-Pb: both in term of value of the ratio and shape

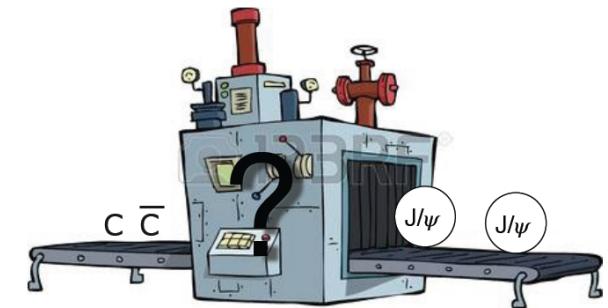
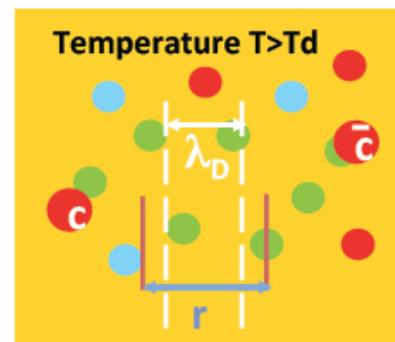
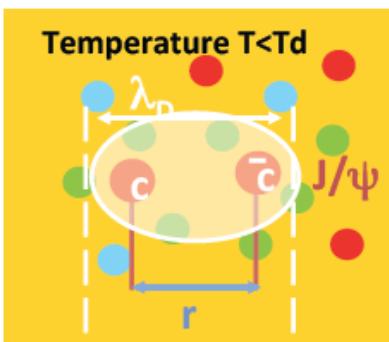
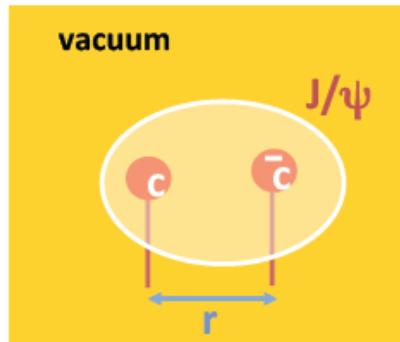
No evident dependence on cms energy:  
strangeness production apparently driven by final state rather than collision system or energy

**At high multiplicity pp ratio reaches values similar to the one in Pb-Pb (when ratio saturates)**

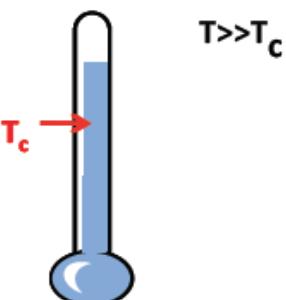
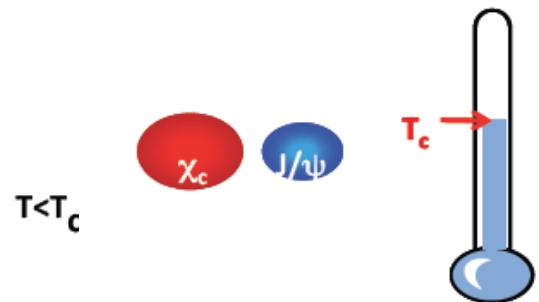
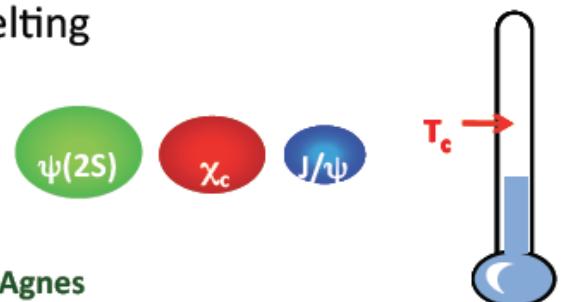
# Quarkonium: sequential melting in the QGP



Debye screening of QQbar potential in the medium



Sequential melting



Nice picture by Agnes Moscy

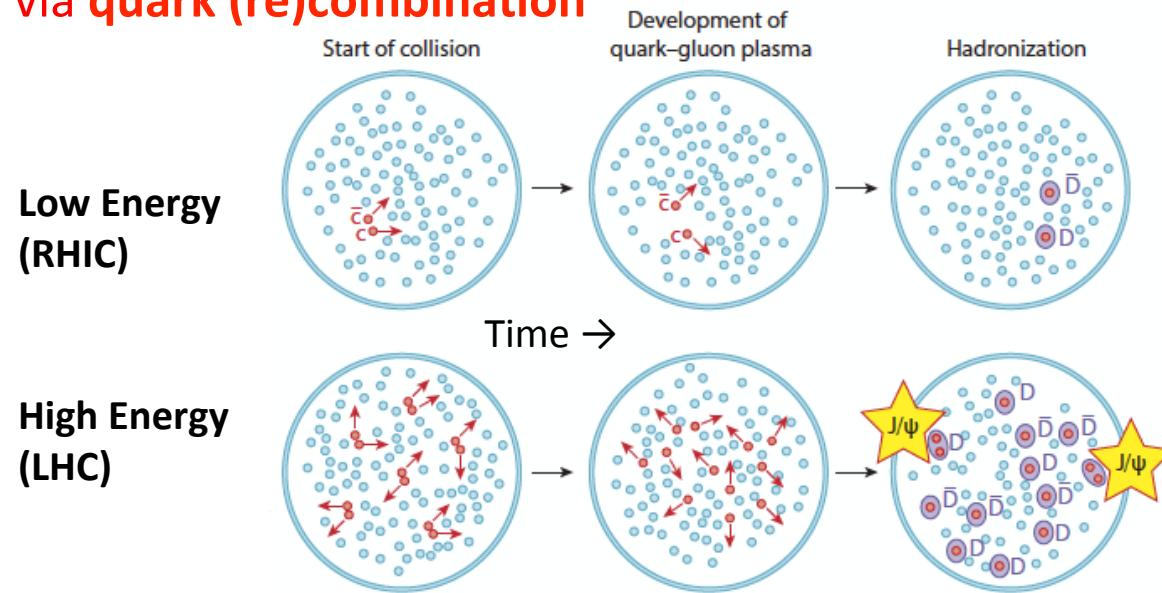
# A new twist: $J/\psi$ “regeneration” at LHC energies



High energy:  $c\bar{c}$  pair multiplicity becomes large

| In most central A-A collisions        | SPS<br>20 GeV | RHIC<br>200 GeV | LHC<br>2.76 TeV |
|---------------------------------------|---------------|-----------------|-----------------|
| $N_{c\bar{c}\text{bar}}/\text{event}$ | $\sim 0.2$    | $\sim 10$       | $\sim 60$       |

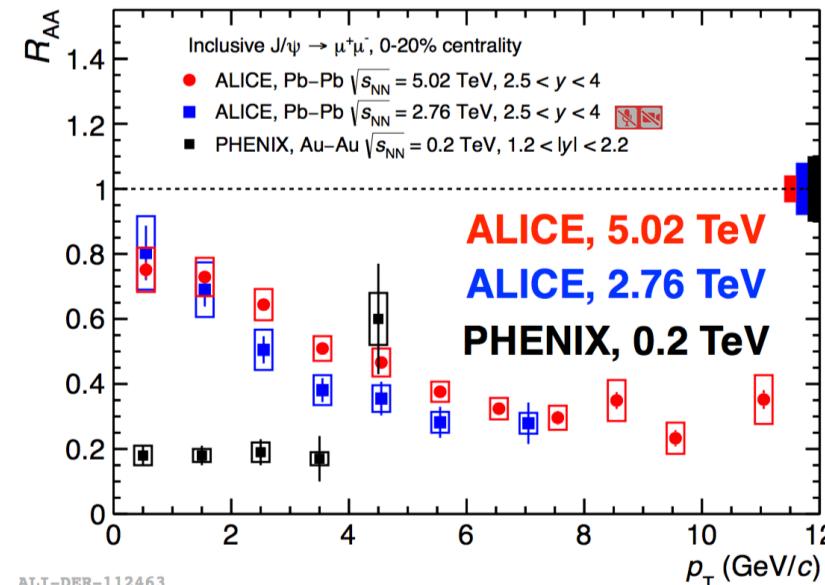
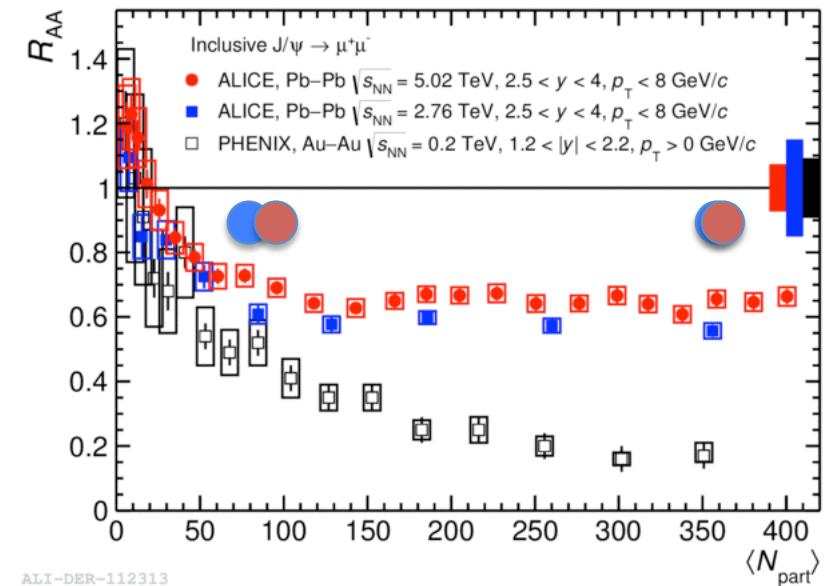
→  $J/\psi$  can form via quark (re)combination



2.76 and 5.02 TeV: significant (similar) suppression wrt pp but much less than RHIC at 0.2 TeV

Suppression stronger at high  $p_T$  and central collisions

Consistent with strong recombination effect at LHC



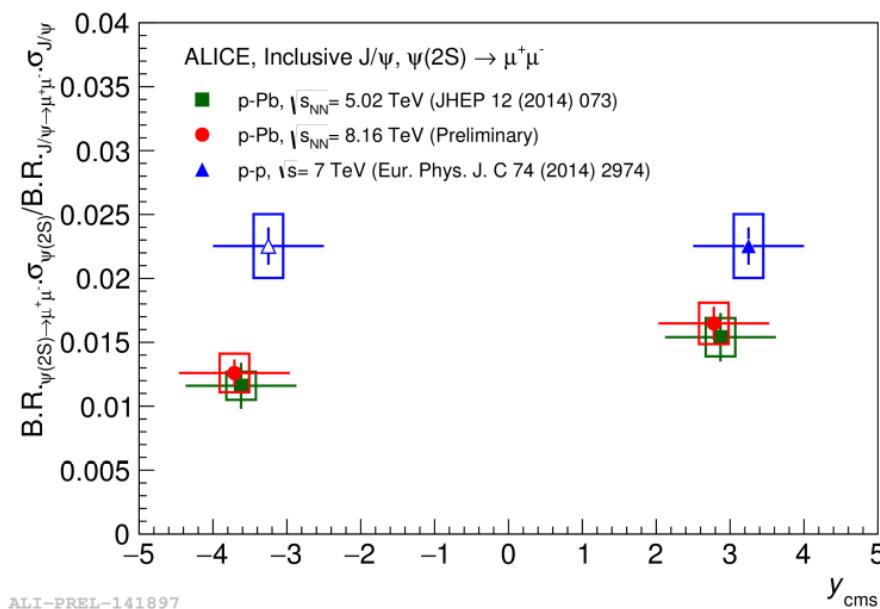
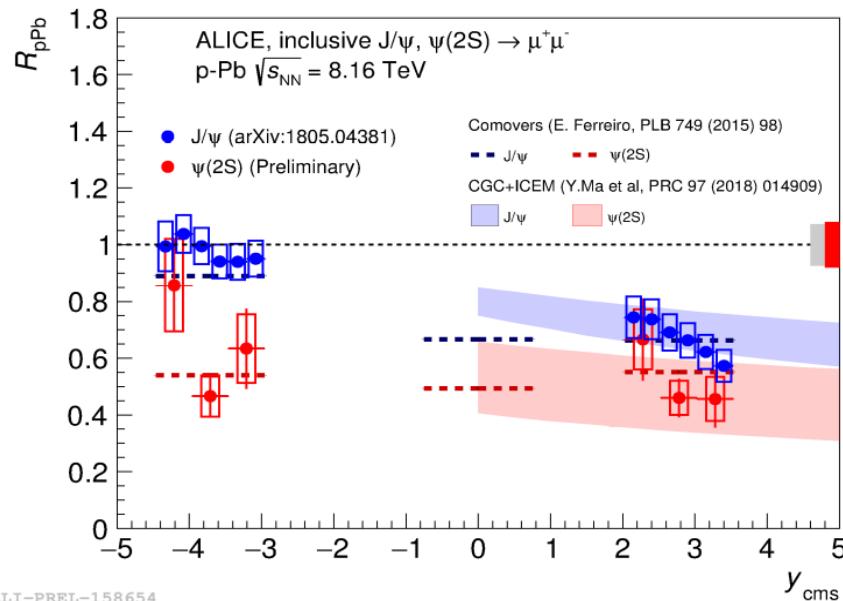
# $R_{p\text{Pb}}$ quarkonia in p-Pb collisions and initial state effects



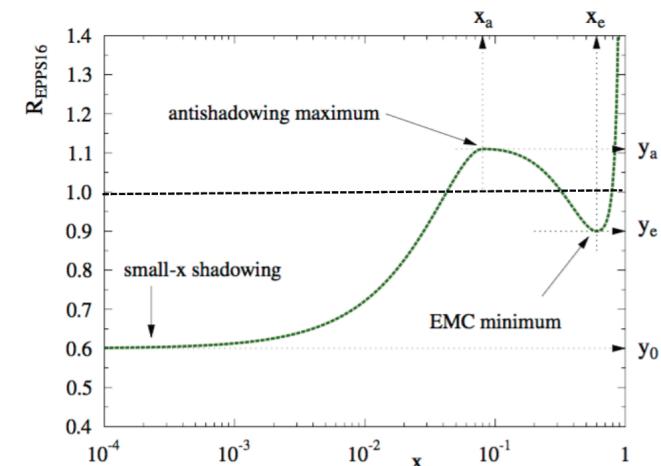
Binary scaling can be broken due to initial state effects:

- PDF modifications in nuclei: shadowing, anti-shadowing, saturation at low  $x$  (color glass condensate)
- $k_T$  broadening: multiple elastic collisions before hard scattering can cause  $R_{pA} > 1$  at  $p_T = 2-3$  GeV

→ these effects can be addressed in p-Pb interactions



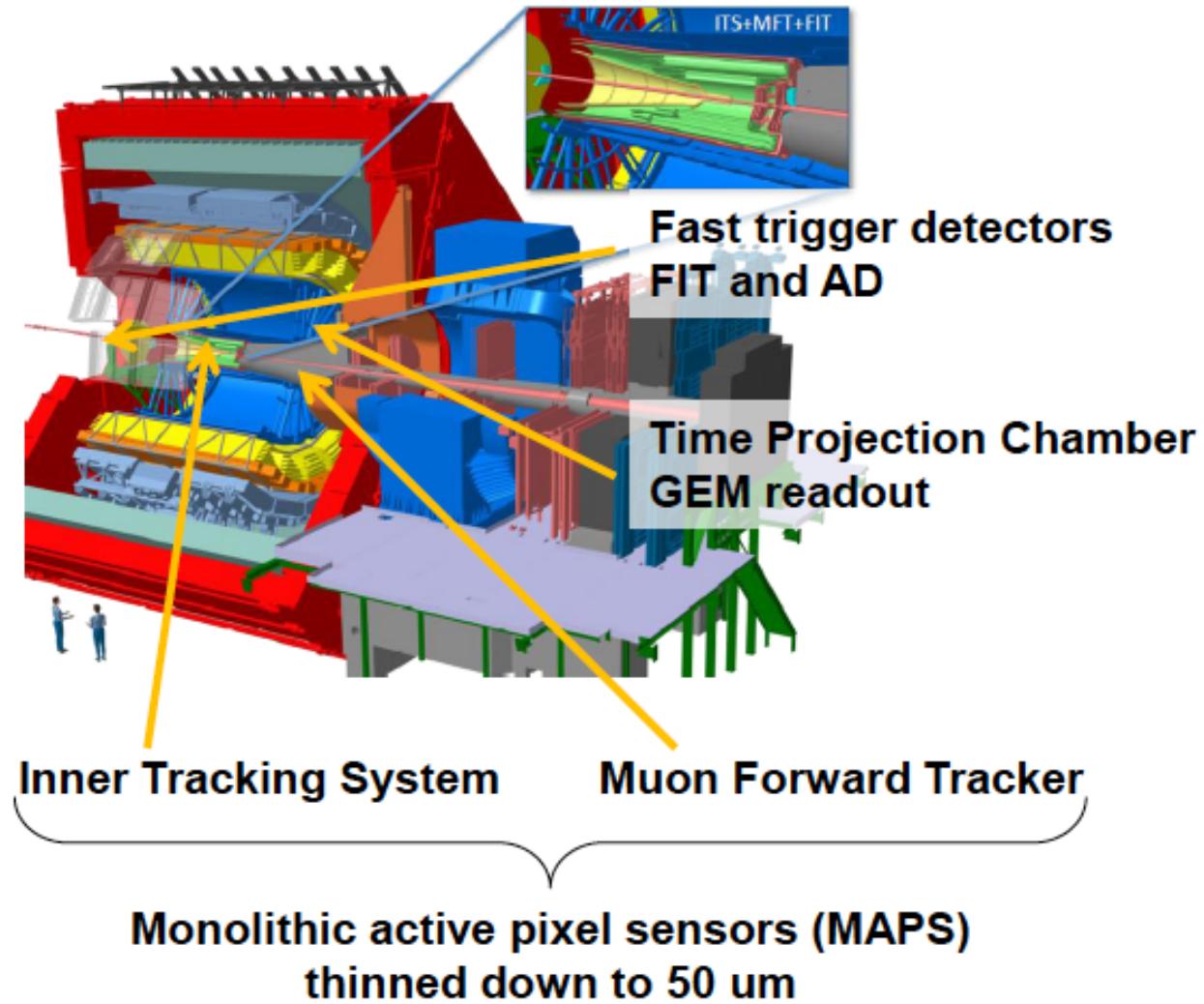
P. Biswarup, A. De Falco



- $J/\psi$  shows a stronger suppression at forward- $y$  than at backward- $y$ , where  $R_{p\text{Pb}}$  is compatible with unity
- $\psi(2S)$  shows a stronger suppression than  $J/\psi$ , final-state effects needed to explain the  $\psi(2S)$  behaviour

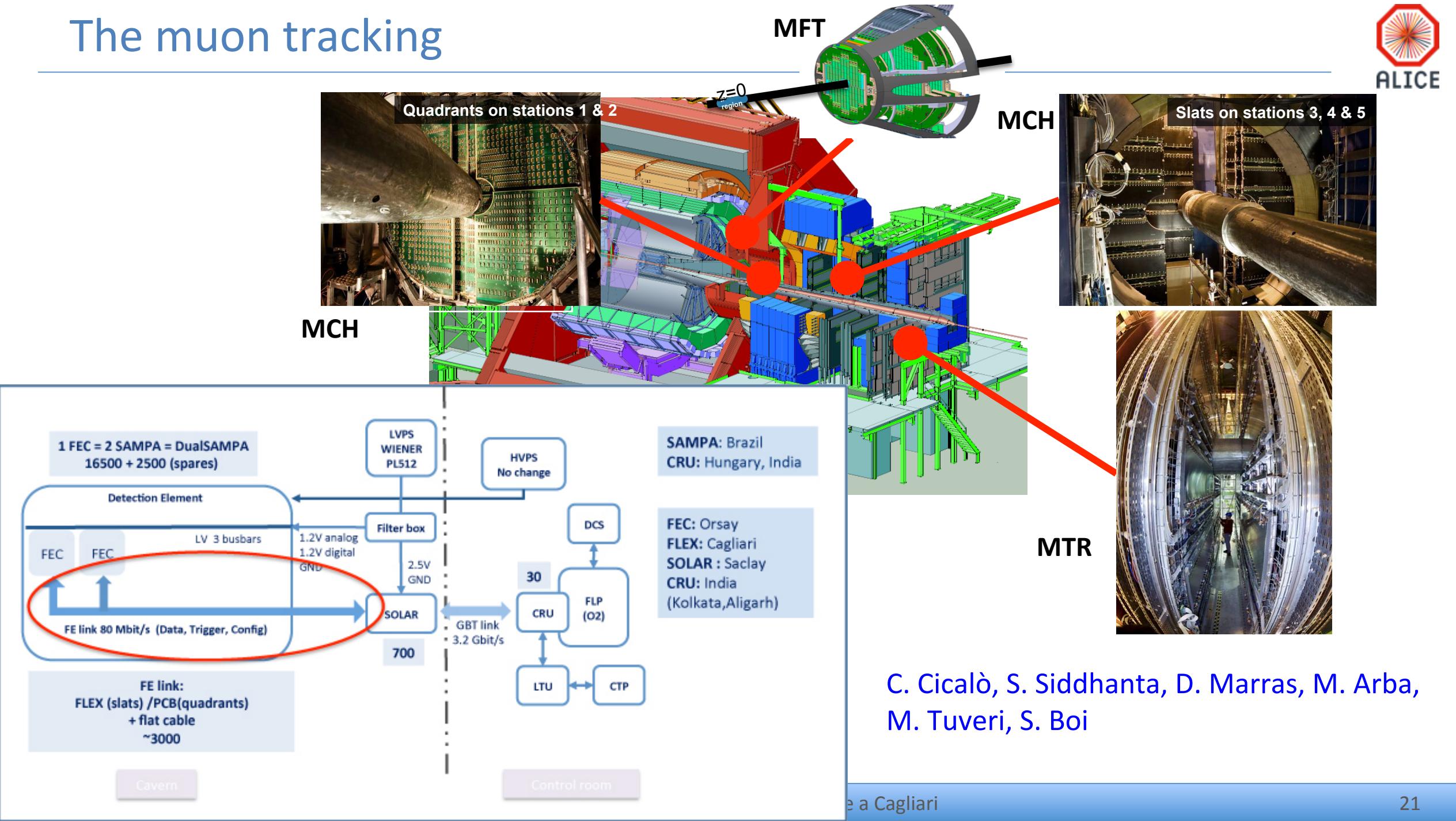
(data taking from 2021)

- Significant upgrade, including
  - increasing data rate by factor 100
  - impact parameter resolution by factor 3
- Collision spacing < TPC drift time
  - No notion of event during data taking
- Continuous data-taking
  - 50 kHz Pb-Pb
  - Offline reconstruction determines which track belongs where
  - Online reduction  $3.4 \text{ TB/s} \rightarrow 0.1 \text{ GB/s}$
  - $10 \text{ nb}^{-1} = 10^{11} \text{ Pb-Pb events in 2021-29}$
- Focus on “untriggerable” signals with tiny signal over background

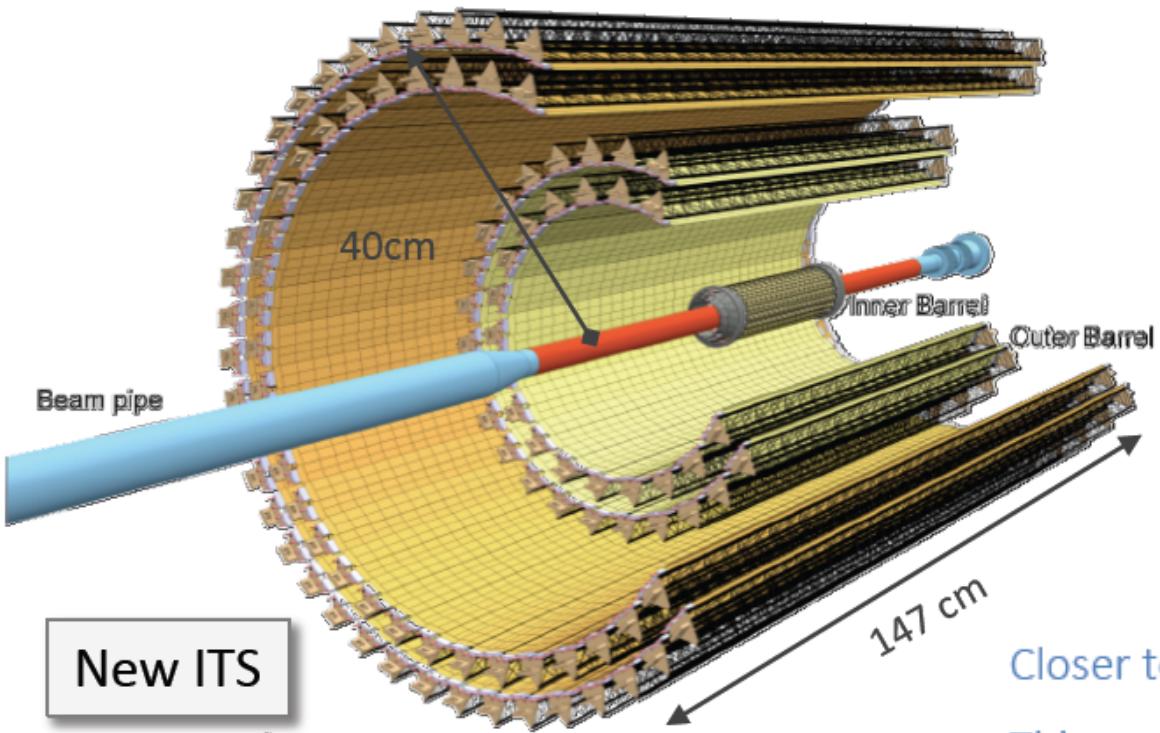


Cagliari involved in new ITS and upgrade of muon tracker readout electronics

# The muon tracking



# The new ALICE ITS



New ITS

G. Usai, S. Siddhanta, D. Marras

Closer to IP:

39mm  $\rightarrow$  22mm

Thinner:

$\sim 1.14\%$   $\rightarrow$   $\sim 0.3\%$  (for inner layers)

Smaller pixels:

$50\mu\text{m} \times 425\mu\text{m}$   $\rightarrow$   $27\mu\text{m} \times 29\mu\text{m}$

Increase granularity:

$20 \text{ chan/cm}^3$   $\rightarrow$   $2k \text{ pixel/cm}^3$

Faster readout:

$\times 10^2$  Pb-Pb,  $\times 10^3$  pp

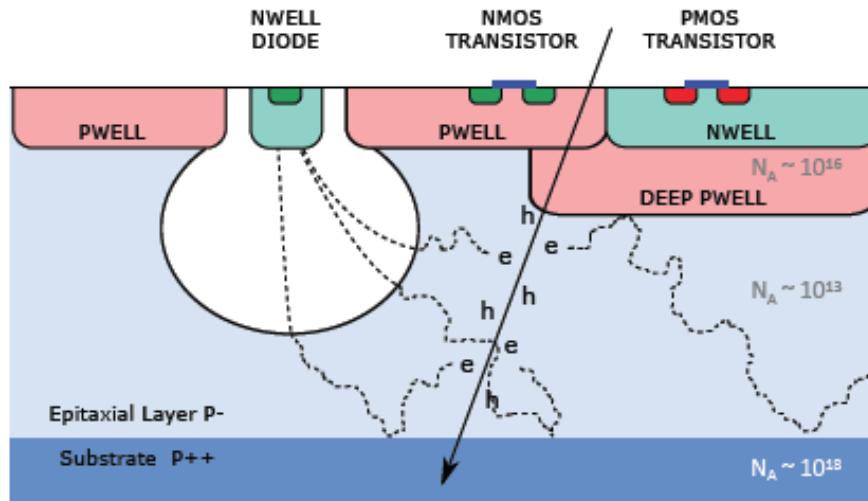
$10 \text{ m}^2$  active silicon:

12.5 G-pixels,  $\sigma \approx 5\mu\text{m}$

# The ALPIDE sensor (CCNU, INFN (TO, CA), IPHC, IRFU, NHKHEF, Yonsei)



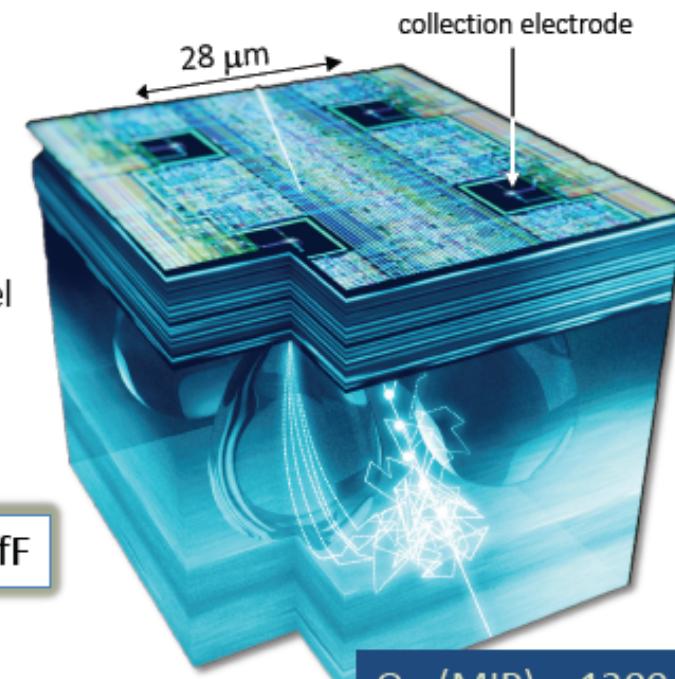
CMOS Pixel Sensor using TJ 0.18 $\mu$ m CMOS Imaging Process



pixel capacitance  $\approx 5 \text{ fF}$  (@  $V_{bb} = -3 \text{ V}$ )

- ▶ High-resistivity ( $> 1\text{k}\Omega \text{ cm}$ ) p-type epitaxial layer (25 $\mu\text{m}$ ) on p-type substrate
- ▶ Small n-well diode (2  $\mu\text{m}$  diameter),  $\sim 100$  times smaller than pixel => low capacitance ( $\sim \text{fF}$ )
- ▶ Reverse bias voltage ( $-6\text{V} < V_{BB} < 0\text{V}$ ) to substrate (contact from the top) to increase depletion zone around NWELL collection diode
- ▶ Deep PWELL shields NWELL of PMOS transistors

→ full CMOS circuitry within active area

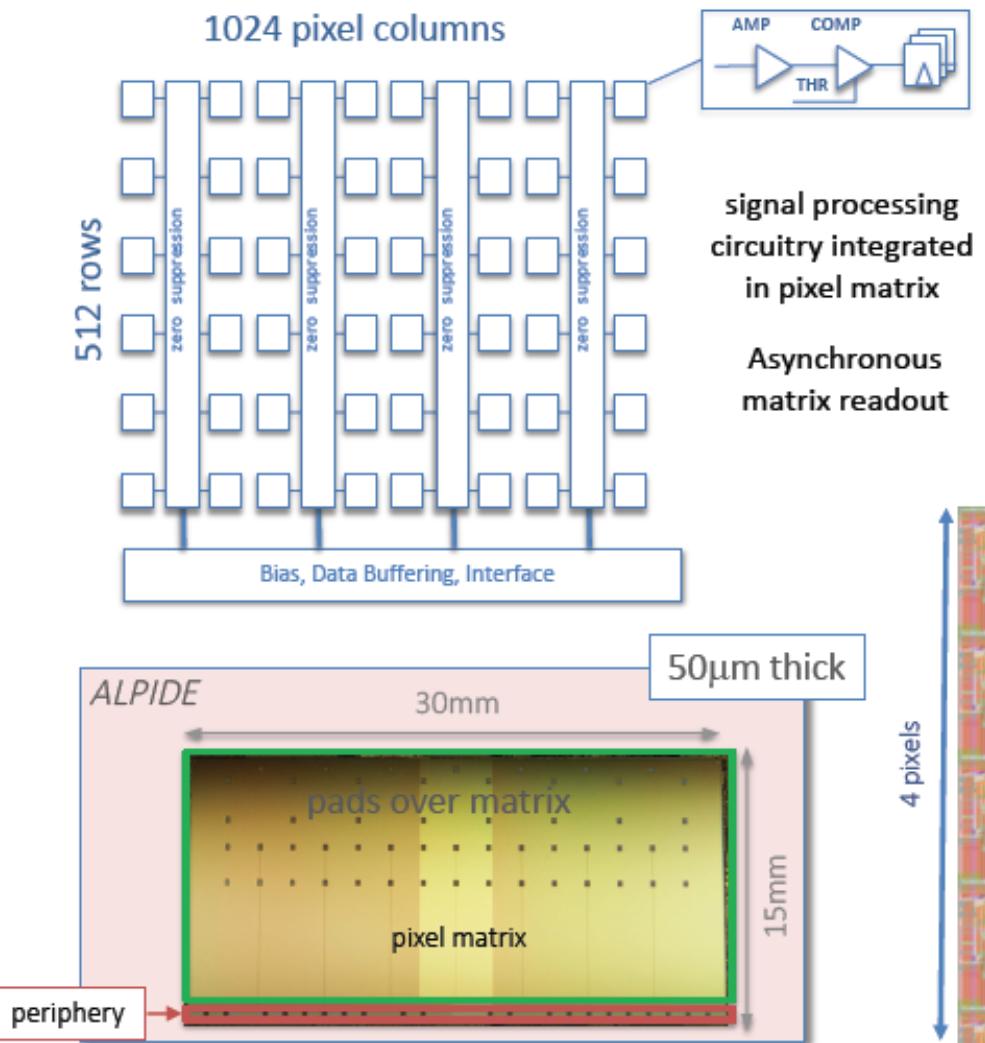


Artistic view of a SEM picture of ALPIDE cross section

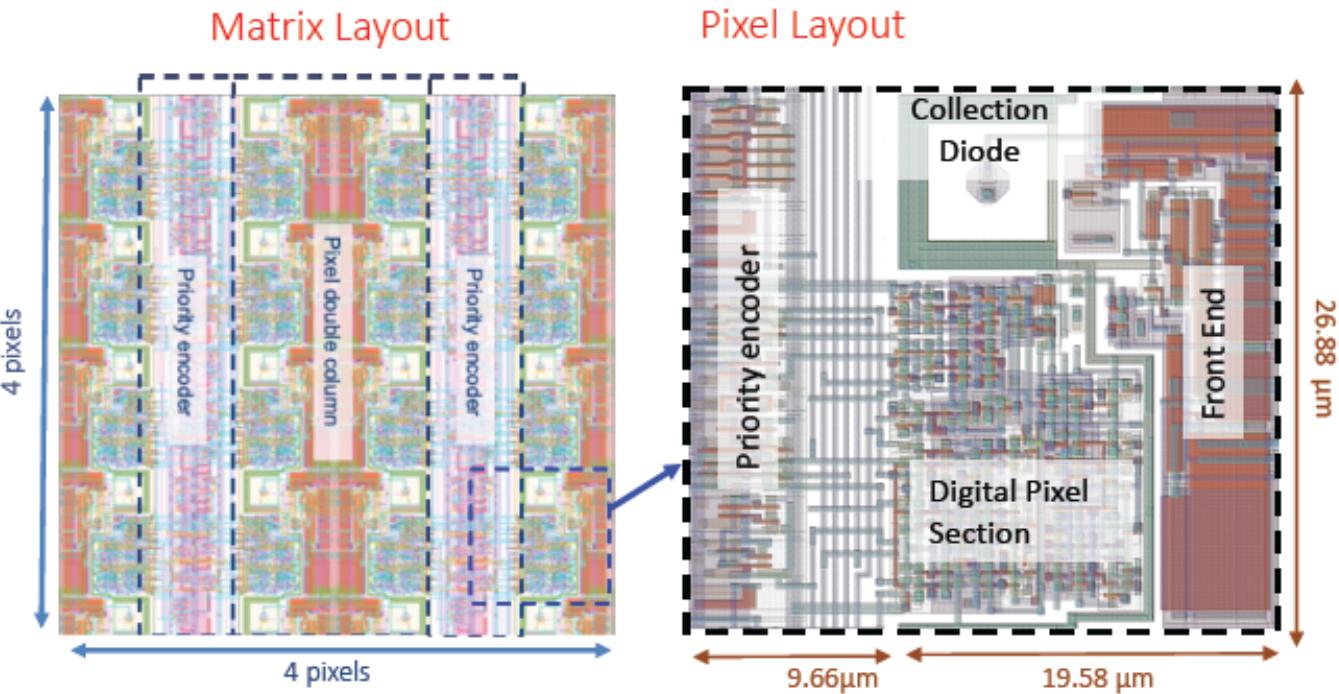
$$C_{in} \approx 5 \text{ fF}$$

$$Q_{in} (\text{MIP}) \approx 1300 \text{ e} \Leftrightarrow V \approx 40 \text{ mV}$$

# The ALPIDE sensor (CCNU, INFN (TO, CA), IPHC, IRFU, NIKHEF, Yonsei



130,000 pixels / cm<sup>2</sup> 27x29x25  $\mu$ m<sup>3</sup>  
charge collection time <30ns ( $V_{bb} = -3V$ )  
Max particle rate: 100 MHz/cm<sup>2</sup>  
fake-hit rate: < 1 Hz / cm<sup>2</sup>  
power :  $\approx 300$  nW /pixel ( $< 40$  mW/cm<sup>2</sup>)



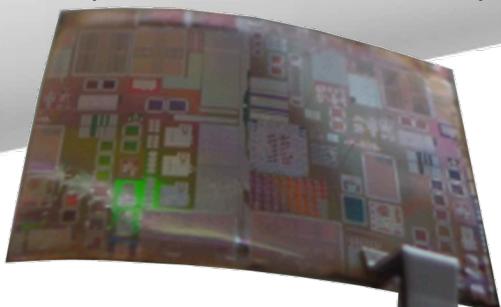
# ALICE ITS3 proposal



ALICE proposal for a new vertex detector:

- new beam pipe with  $IR = 16 \text{ mm}$ ,  $\Delta R = 0.5 \text{ mm}$
- three truly cylindrical Si-pixel layers based on ultra-thin, curved sensors
- material budget:  $X/X_0 \approx 0.05\%$
- inner-most layer: at  $R = 18 \text{ mm}$

Chipworks: RF-SOI CMOS, 30 $\mu\text{m}$ -thick



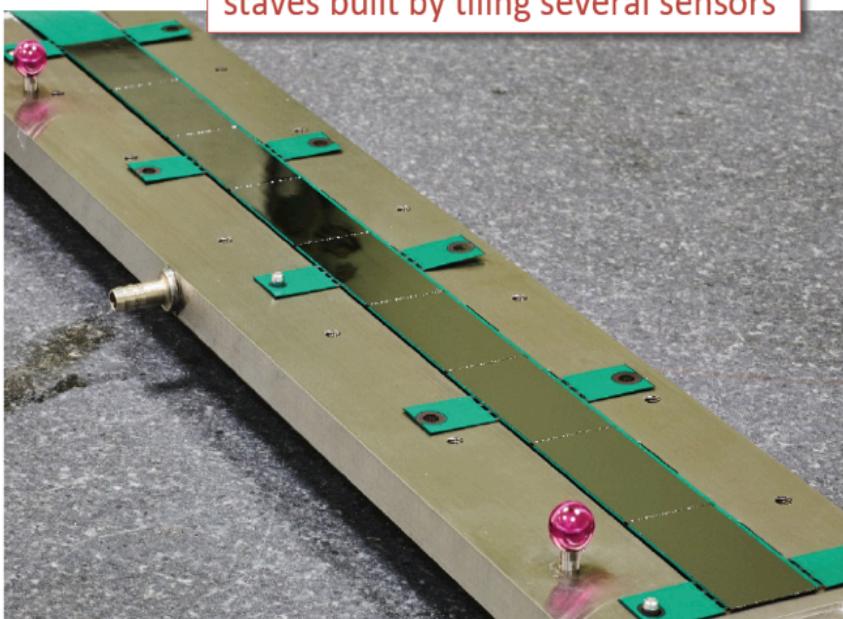
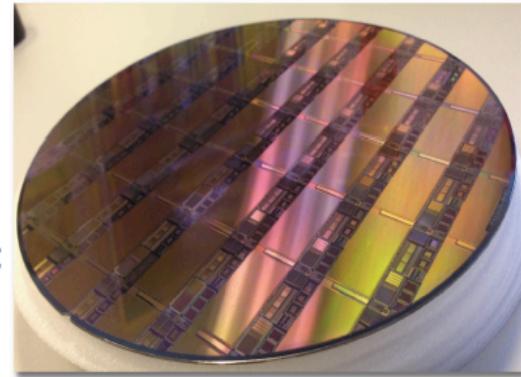
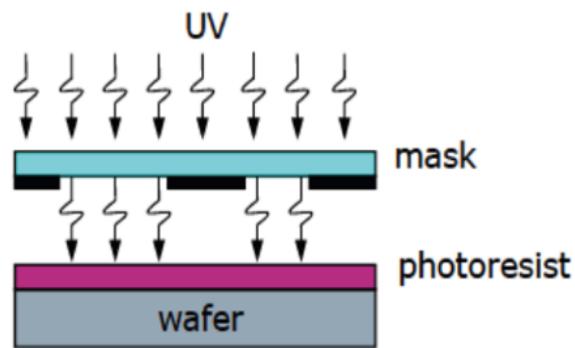
- ➔ Bending Si wafers + circuits is possible!
- ➔ Radii much smaller than ALICE needs are obtained
- ➔ Circuit-specific R&D is needed
- ➔ Investigating options to start with existing ALPIDE chips + wafers

# Wafer-scale MAPS: stitching

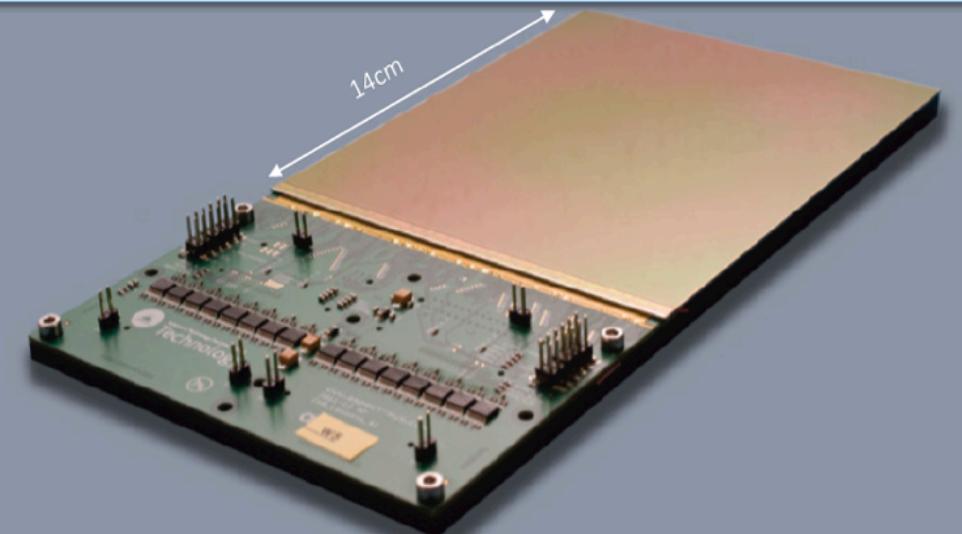
CMOS photolithographic process defines wafer reticles size

⇒ Typical field of view  $O(2 \times 2 \text{ cm}^2)$

Reticle is stepped across the wafers to create multiple identical images of the circuit(s)



Stitching allows fabrication of sensors larger than the reticle size



# *Stitched-MAPS: new R&D for a wafer-scale MAPS*



***A novel large area, fast, radiation-tolerant monolithic active pixel sensor for tracking devices of unprecedented precision***

Funded project with 1 MEuro (starting September 2019)

Cagliari University, Bari University and Politecnico, INFN (G. Usai PI)

**Common R&D effort together with CERN and other labs**

New sensor suitable for different applications:

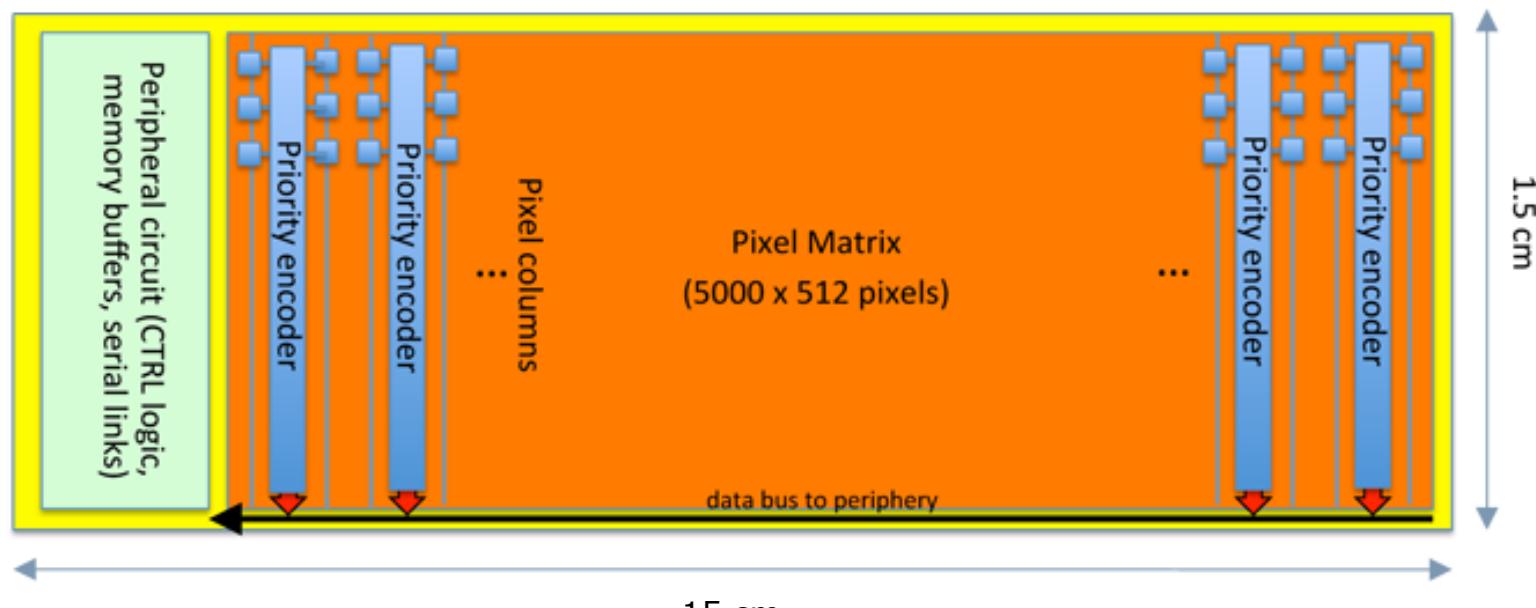
NA60+

ALICE LS3 upgrade

CLIC vertex detector

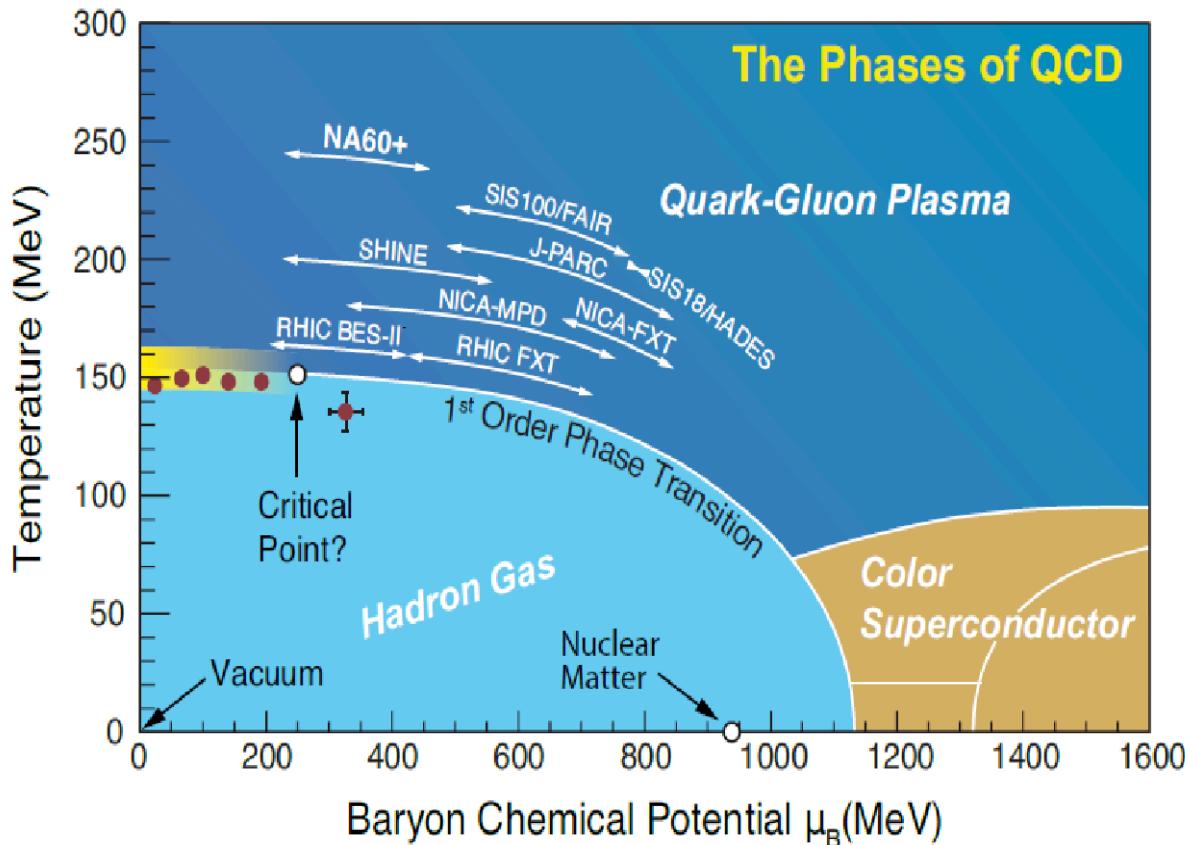
Proton computer tomography scan for hadron therapy

**Migration of the design to Tower 65 nm  
→ 10-20 µm pixel pitch**



C. Cicalò, A. De Falco, E. Casula, S. Siddhanta, A. Masoni,  
D. Marras, M. Arba, M. Tuveri

# The phase diagram of QCD at high baryonic density



Many effective models suggest **1st order phase transition ending with a critical point (CP)**

**High  $\mu_B$  accessible at lower collision energy ( $\sqrt{s_{NN}} < \sim 20$  GeV)**

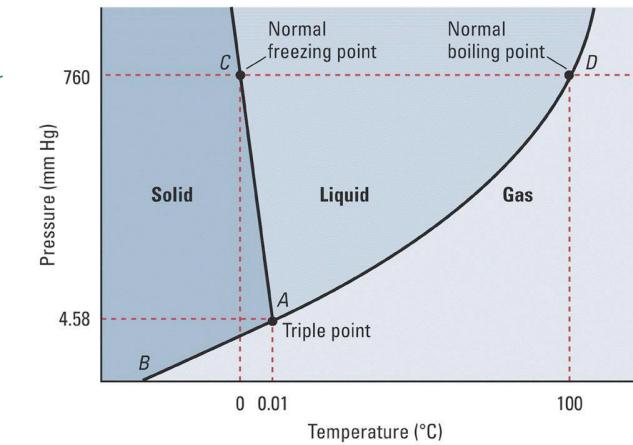
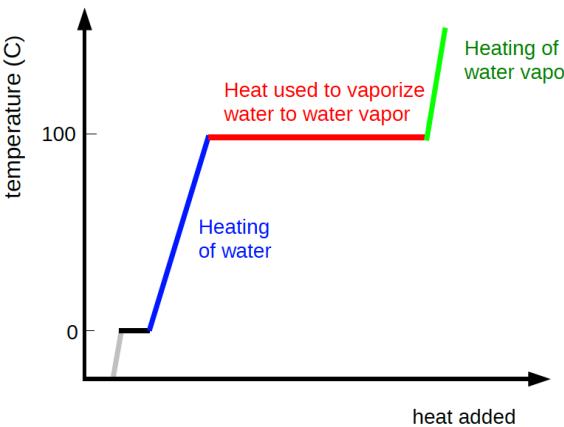
CP main motivation of RHIC low energy program:

- some intriguing results from phase 1, but statistically limited

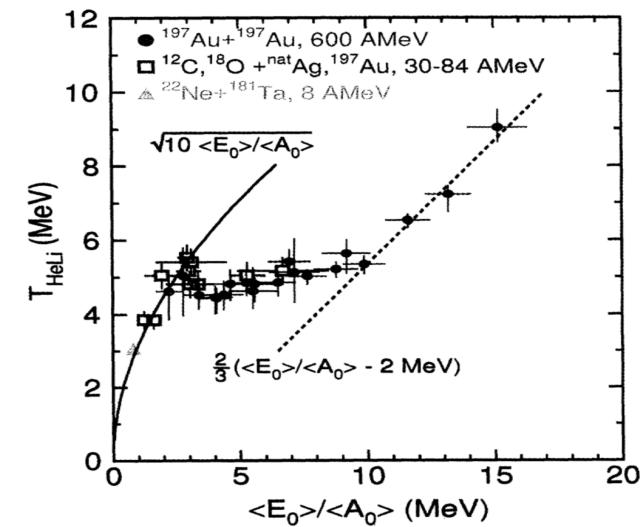
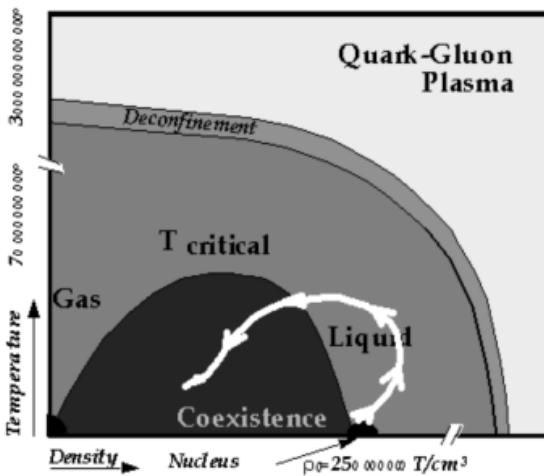
**NA60+: new experiment proposal to measure the first order phase transition**  
(Nuova sigla INFN Commissione 3; responsabili nazionali E. Scomparin, G. Usai)

# Phase transitions and caloric curves

- Caloric curve and phase diagram of water



- Caloric curve for liquid-hadron gas phase transition in nuclear matter (Pochodzalla et al., Phys. Rev. Lett. 75 (1995), D'Agostino et al., Nucl. Phys. A749 (2005) 55–64)



# Measuring the QCD phase transition



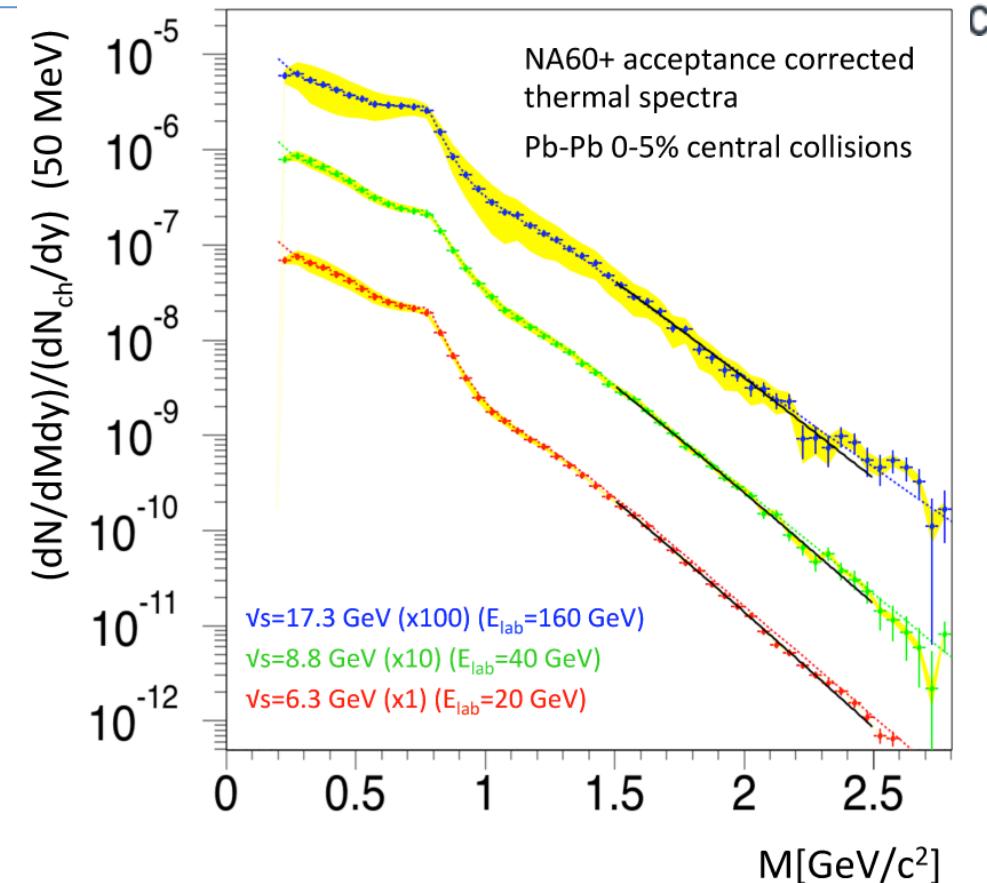
- Caloric curve: T vs energy density
- Shape of mass spectrum of lepton pairs emitted from thermalized medium for  $M > 1.5$  GeV is uniquely dependent on medium temperature T:

$$dN/dM \propto M^{3/2} \exp(-M/T_s)$$

- Energy density can be varied changing the vary collision energy → **beam energy scan**

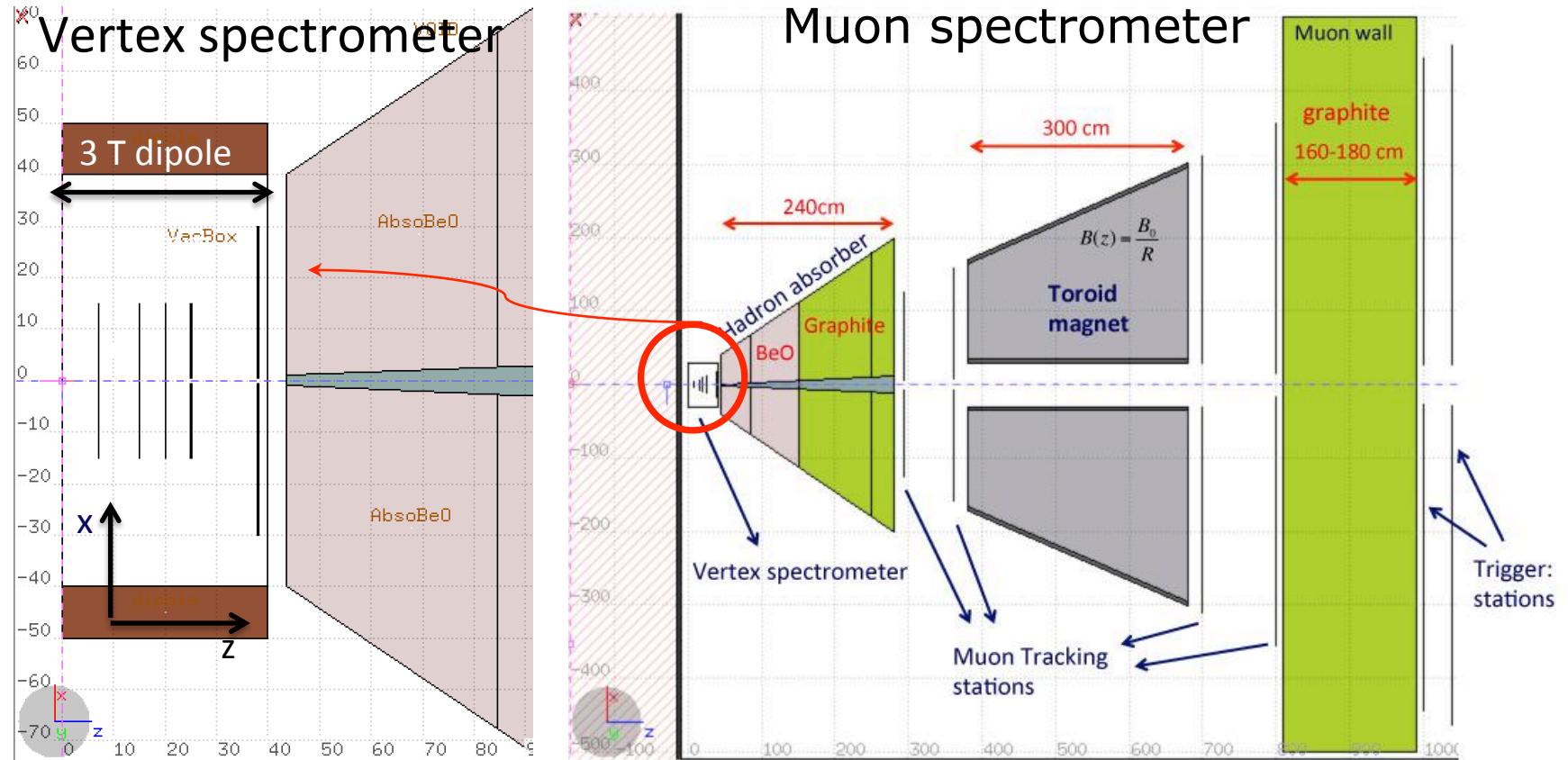
**NA60+:** measurement of  $\mu^+\mu^-$  at  $\sqrt{s}=6-17$  GeV at the CERN SPS

Proposal for data taking from run4



**Thermal spectrum (QGP+hadronic)**  
after subtraction of  $\eta$ ,  $\omega$ ,  $\phi$ ,  $D\bar{D}$  and  
Drell-Yan (measured in pA collisions)

# NA60+: detector concept



C. Cicalò, A. De Falco, E. Casula, S. Siddhanta, D. Marras,  
M. Arba, M. Tuveri

Expression of Interest

<http://cds.cern.ch/record/2673280>

## The quest for the QGP has turned into a precision exercise

The questions remain puzzling:

- What is the underlying dynamics:
  - Model describing long wavelength (ideal fluid) and short wave-length (“quenching” behaviour)
- What are the relevant degrees of freedom/microscopic structure?
  - How to derive behaviour from QCD?
- QGP onset in light of discoveries in small systems:
  - How far down in system size does the “SM of heavy ions” remain valid?
- Is there a first order phase transition and a critical end-point?