

# Atomic ionization by scalar dark matter and solar scalars

H. B. Tran Tan, A. Derevianko, V. Dzuba, V.V. Flambaum

*Relativistic Hartree-Fock calculations corrected several orders of magnitude error.  
Born approximation does not work due to violation of orthogonality condition  
between bound and continuum electron wave functions.*

*New limits on electron-scalar coupling from Xenon1T data.*

*Data files for **scalars** and **axions**: [arXiv:2105.08296](https://arxiv.org/abs/2105.08296).*

*Calculations for Na, I, Tl, Xe, Ar, Ge atoms*



University of Nevada, Reno



Helmholtz-Institut Mainz

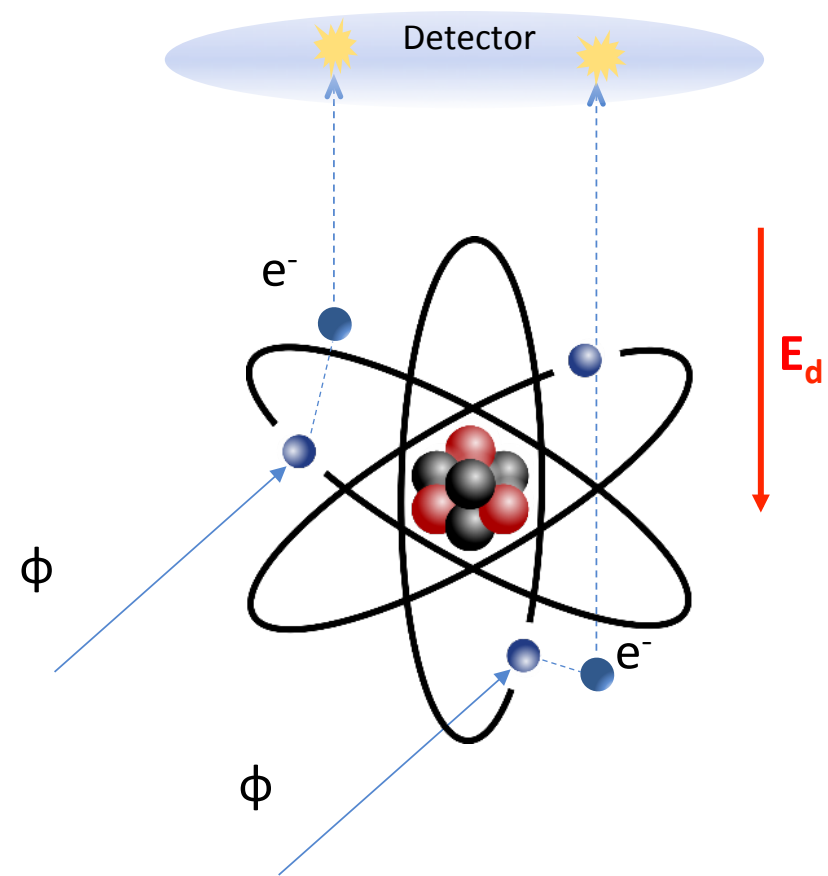


JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

# Atomic ionization by scalars

$$\mathcal{L}_{\phi\bar{e}e} = \sqrt{\hbar c} g_{\phi\bar{e}e} \phi \bar{\psi} \psi$$

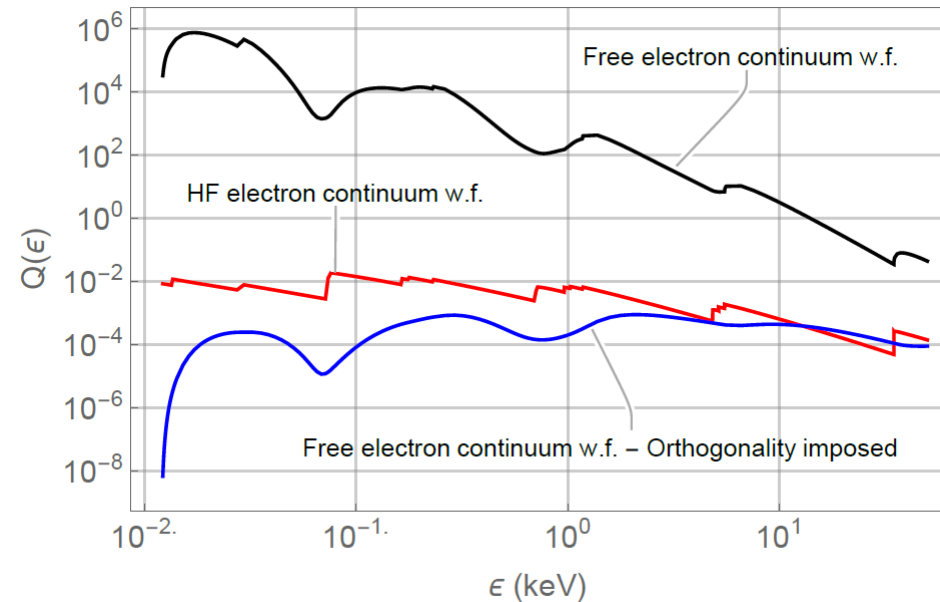
- $\phi$  : scalar familon, sgoldstino, dilaton, relaxon, moduli, Higgs-portal DM, etc.
- Absorption of scalar causes atomic ionization (similar to photoelectric effect)  $\rightarrow$  **detectable by current DM and solar axion searches.**
- Xenon1T, PandaX-II, EDELWEISS-III, DAMA/LIBRA, SABRE, SuperCDMS, ArDM, DarkSide-20k, DEAP-3600.



# Pitfall: wrong wave functions → wrong results

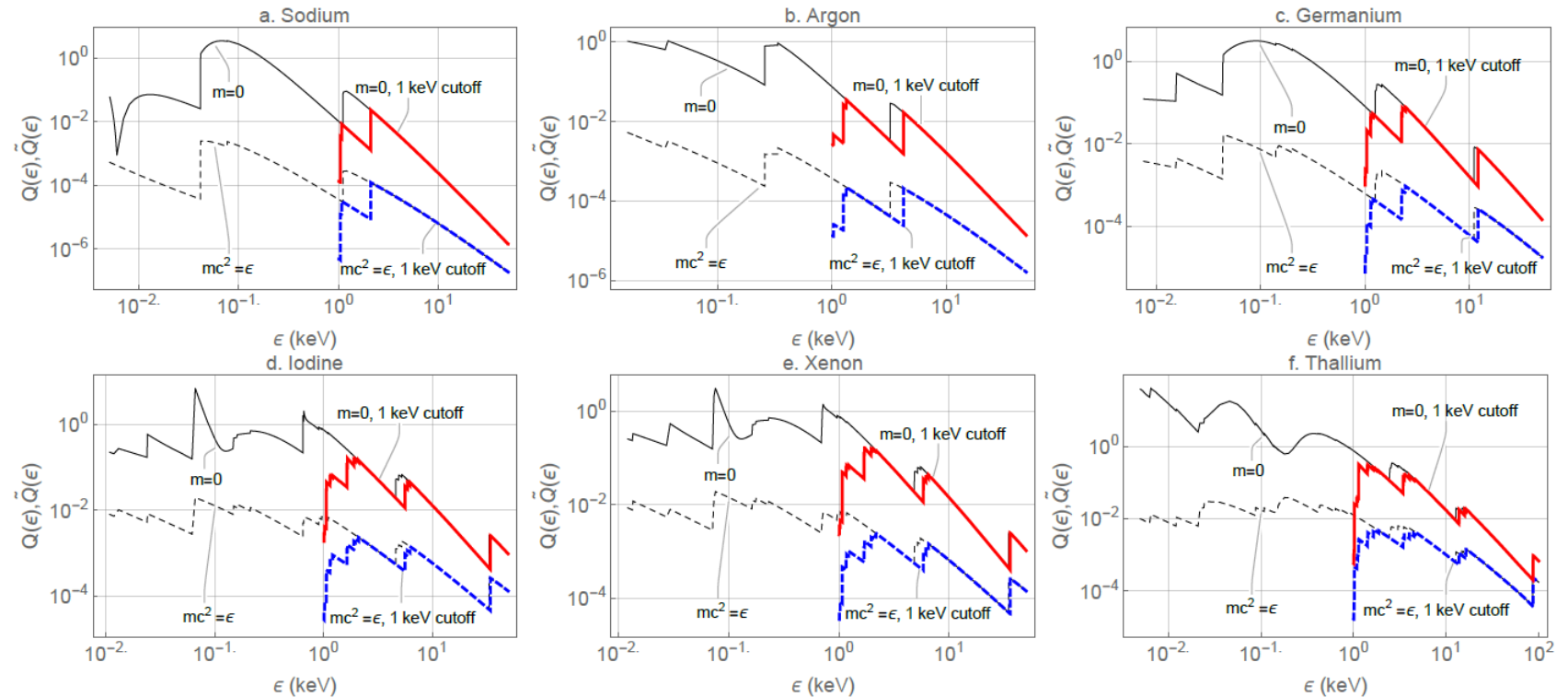
- Orthogonality condition → Born approximation does not work!
- Previous work [Int. J. Mod. Phys. A 21:1445-1470, 2006](#): plane wave continuum function → errors by many orders of magnitude.
- Pitfall also exists for axioelectric effect → affects low-energy cross section only.
- Relativistic Hartree-Fock calculations for scalars and axions.

$$\begin{aligned}
 M_{b \rightarrow c} &\sim \int (f_b f_c - \alpha^2 g_b g_c) j_0(k_\phi r) dr \\
 &= \int (f_b f_c - \alpha^2 g_b g_c) dr + \int (f_b f_c - \alpha^2 g_b g_c) (j_0(k_\phi r) - 1) dr \\
 &= \underbrace{\int (f_b f_c + \alpha^2 g_b g_c) dr}_0 - \underbrace{2\alpha^2 \int g_b g_c dr}_{\text{relativistic}} \\
 &\quad + \int (f_b f_c - \alpha^2 g_b g_c) \underbrace{(j_0(k_\phi r) - 1)}_{\approx \frac{k_\phi^2 r^2}{6} \ll 1} dr
 \end{aligned}$$



# Results: cross sections for Na, Ar, Ge, I, Xe, Tl

- With and without 1 keV cutoff.
- Accuracy a few %, up to 10% near threshold.
- Accurate **scalar** and **axion** data, relativistic Hartree-Fock calculations: [arXiv: 2105.08296](https://arxiv.org/abs/2105.08296).



$$\sigma_\phi = g_{\phi\bar{e}e}^2 (c/v) Q(\epsilon) a_0^2 \quad \frac{\sigma_\phi(m_\phi = 0)}{\sigma_\gamma(\epsilon_\gamma = \epsilon_\phi)} \approx \frac{g_{\phi\bar{e}e}^2}{4\pi\alpha}$$

Check against photoelectric experimental data ←

# Scalar DM and solar scalar limits from Xenon1T data

- Detection rate for scalar DM:

$$R \approx \frac{4.8}{A} \frac{\tilde{Q}(m = \frac{\epsilon}{c^2})}{\text{year}} \left( \frac{g_{\phi\bar{e}e}}{10^{-17}} \right)^2 \left( \frac{\text{keV}}{mc^2} \right) \left( \frac{M}{\text{ton}} \right)$$

- Detection rate for solar scalar:

$$R \approx \frac{8.3}{A} \frac{\tilde{Q}(m = 0)}{\text{year}} \left( \frac{g_{\phi\bar{e}e}}{10^{-15}} \right)^4 \left( \frac{\text{keV}}{\epsilon} \right)^2 \left( \frac{M}{\text{ton}} \right)$$

- New limits from Xenon1T data:

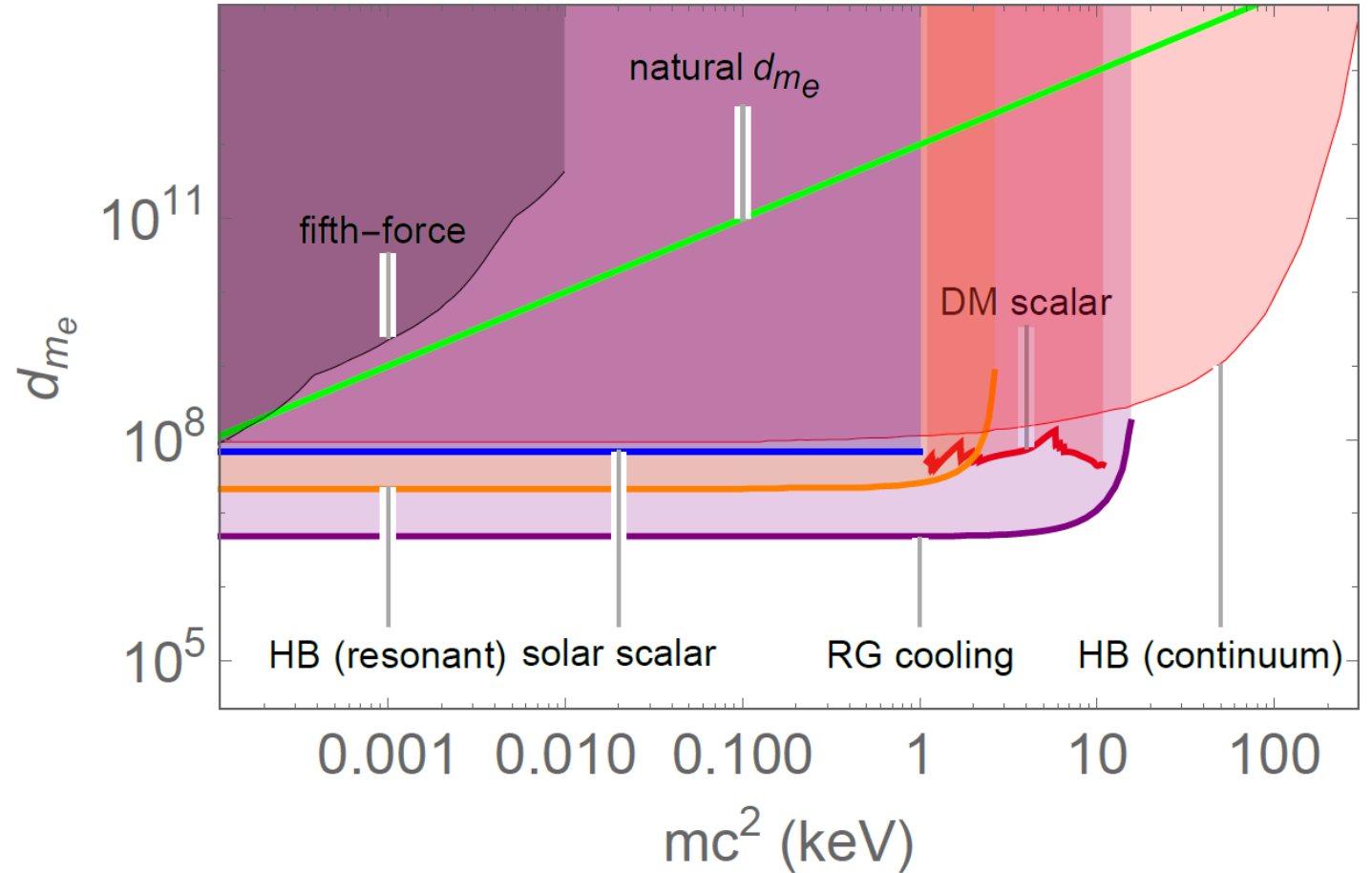
$$|g_{\phi\bar{e}e}|_{\text{DM}} \approx 8.2 \times 10^{-15}$$

$$|g_{\phi\bar{e}e}|_{\text{solar}} \approx 1.0 \times 10^{-14}$$

$$g_{\phi\bar{e}e} = \sqrt{4\pi} d_{m_e} m_e / m_P \quad \longrightarrow \quad |d_{m_e}|_{\text{solar}} \leq 6.8 \times 10^7$$

# Comparison with astrophysical bounds

- Direct limits well inside naturalness region.
- Always better than fifth-force & comparable to HB star cooling.
- An order of magnitude less stringent than RG star cooling  $\rightarrow$  similar to Xenon1T axion limit.



# Relativistic effects increase ionisation by WIMP scattering on electrons by up to 3 orders of magnitude!

Ionization of atoms by slow heavy particles, **including dark matter**  
B.M. Roberts, V.V. Flambaum, G.F. Gribakin, Phys. Rev. Lett. 116, 023201 (2016)]

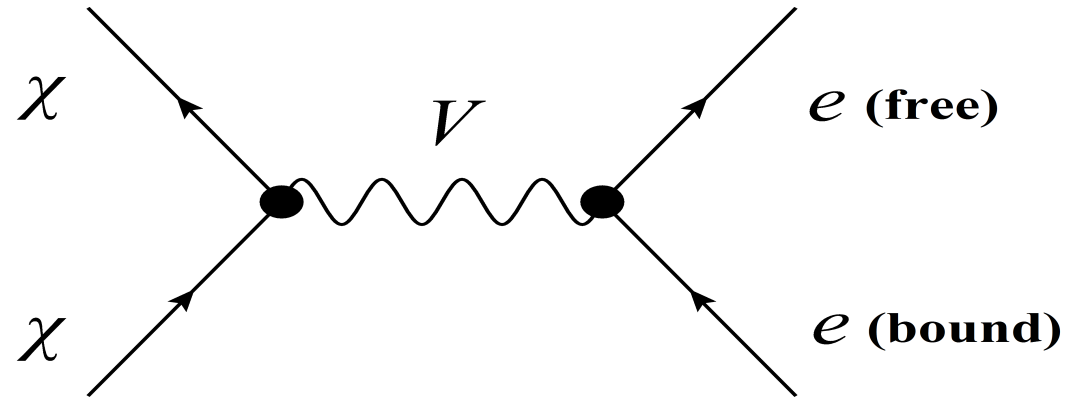
Dark matter scattering on electrons: Accurate calculations of atomic excitations and implications for the DAMA signal. B. M. Roberts, V. A. Dzuba, V. V. Flambaum, M. Pospelov, and Y. V. Stadnik, Phys. Rev. D 93, 115037 (2016)

Electron-interacting dark matter: implications from DAMA/LIBRA-phase2 and prospects for liquid xenon and NaI detectors, B. M. Roberts, V. V. Flambaum, Phys. Rev. D 100, 063017 (2019).

Relativistic Hartree-Fock calculations for Na, I, Xe, Tl, Ge atoms, scalar and vector portals. **Annual modulation due to variation of velocity of WIMPs 20 - 50%**

# WIMP-Electron Ionising Scattering

- Search for annual modulation in  $\sigma_{\chi e}$  (velocity dependent)



- Previous analyses treated atomic electrons *non-relativistically*. *Plane wave for outgoing electron,  $Z_{effective}$  for bound electrons.*
- Non-relativistic treatment of atomic electrons **inadequate** for  $m_\chi > 1$  GeV. Coulomb interaction is important for outgoing electron.



# Why are electron relativistic effects so important?

[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],

- Slow heavy particle produces an adiabatic perturbation of atom. Usually transitions produced by an adiabatic perturbation are suppressed exponentially, as  $\exp(-q^2 R^2)$ ,  $q$  is the momentum transfer. No ionization?
- However, the singular Coulomb potential produces a cusp of electron wave function near the nucleus or even infinity for the relativistic Dirac s-wave function at  $r=0$  for a point-like nucleus. As a result, **the exponential suppression is replaced by a power suppression  $q^{-n}$** . The effect comes from small distances where the electron is ultra-relativistic.

# Why are electron relativistic effects so important?

[Roberts, Flambaum, Gribakin, *PRL* 116, 023201 (2016)],

[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* 93, 115037 (2016)]

- Non-relativistic and relativistic contributions to  $\sigma_{\chi e}$  are very different for large  $q$  (for scalar, pseudoscalar, vector and pseudovector interaction portals):

Non-relativistic [s-wave,  $\psi \propto r^0(1 - Zr/a_B)$  as  $r \rightarrow 0$ ], tends to constant as  $r \rightarrow 0$ :

$$d\sigma_{\chi e} \propto 1/q^8$$

Relativistic [ $s_{1/2}, p_{1/2}$ -wave,  $\psi \propto r^{\nu-1}$  as  $r \rightarrow 0$ ,  $\nu^2 = 1 - (Z\alpha)^2$ ], increases as  $r \rightarrow 0$ :

$$d\sigma_{\chi e} \propto 1/q^{6-2(Z\alpha)^2} \quad (d\sigma_{\chi e} \propto 1/q^{5.7} \text{ for Xe and I})$$

- Relativistic contribution to  $\sigma_{\chi e}$  dominates by several orders of magnitude for large  $q$ !

# Accurate relativistic atomic calculations

[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],

[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* **93**, 115037 (2016)]

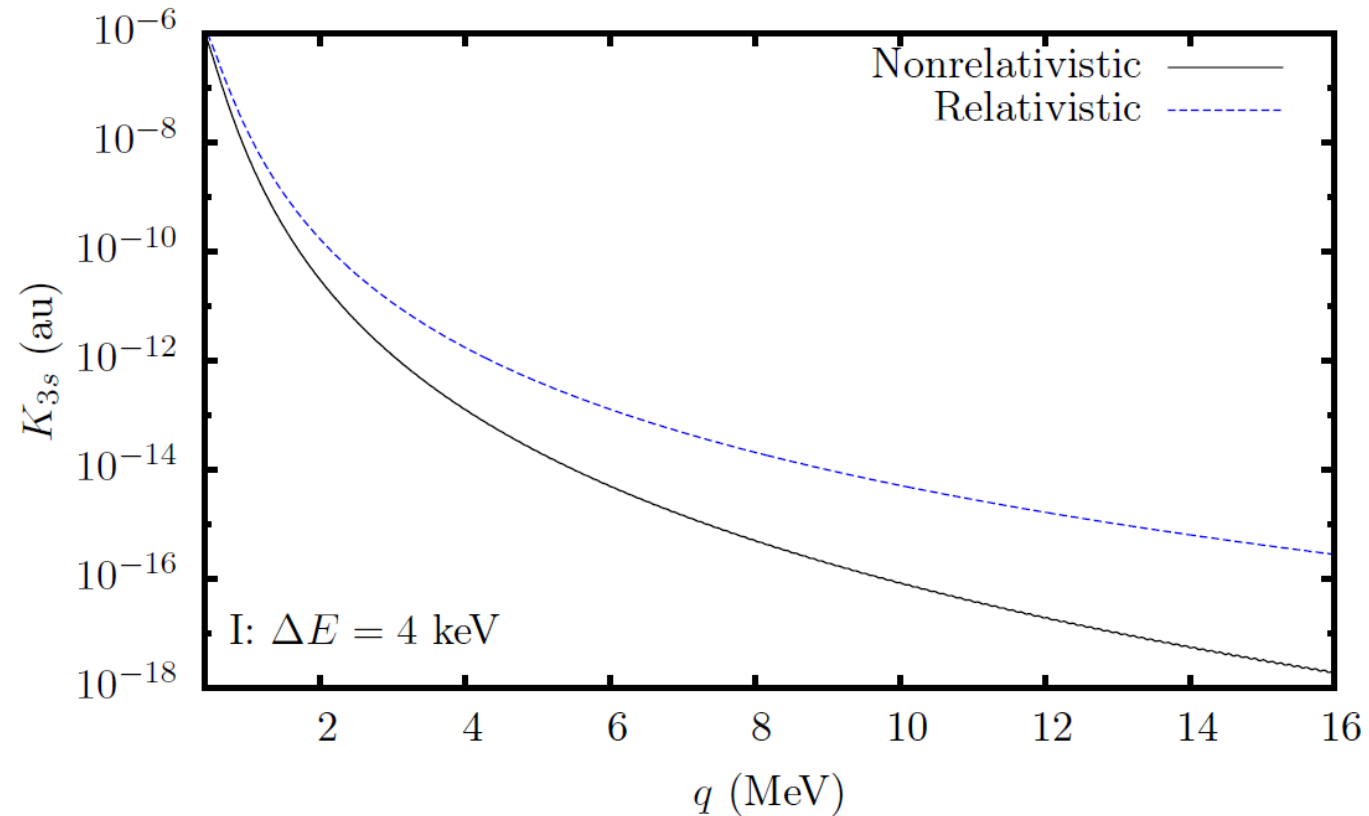
- Performed accurate (*ab initio* Hartree-Fock-Dirac) relativistic atomic calculations of  $\sigma_{\chi e}$  for Na, Ge, I, Xe and Tl, and event rates of various experiments: DAMA, XENON10, XENON100
- Outgoing electron in the Hartree-Fock field (**not plane wave, the problem is not reduced to momentum distribution of atomic electrons!**)
- 3 parameter problem:  $m_\chi$ ,  $m_V$ ,  $\alpha_\chi$ ; *vector or scalar interaction vertex*

$$\langle d\sigma v_\chi \rangle = \frac{4\alpha_\chi^2}{\pi} \int_0^\infty dv \frac{f_\chi(v)}{v} \int_{q_-}^{q_+} dq \frac{q}{(q^2 + m_V^2 c^2)^2} \\ \times \sum_{n,\kappa} m_e \sqrt{2m_e(\Delta E - I_{n\kappa})} K_{n\kappa} d(\Delta E)$$

$$K_{n\kappa}(\Delta E, q) = \sum_{\kappa'} \sum_{m,m'} |\langle \epsilon \kappa' m' | e^{iq \cdot r} | n \kappa m \rangle|^2 \quad q_\pm = k \pm \sqrt{k^2 - 2m_\chi \Delta E}$$

# Why are electron relativistic effects so important?

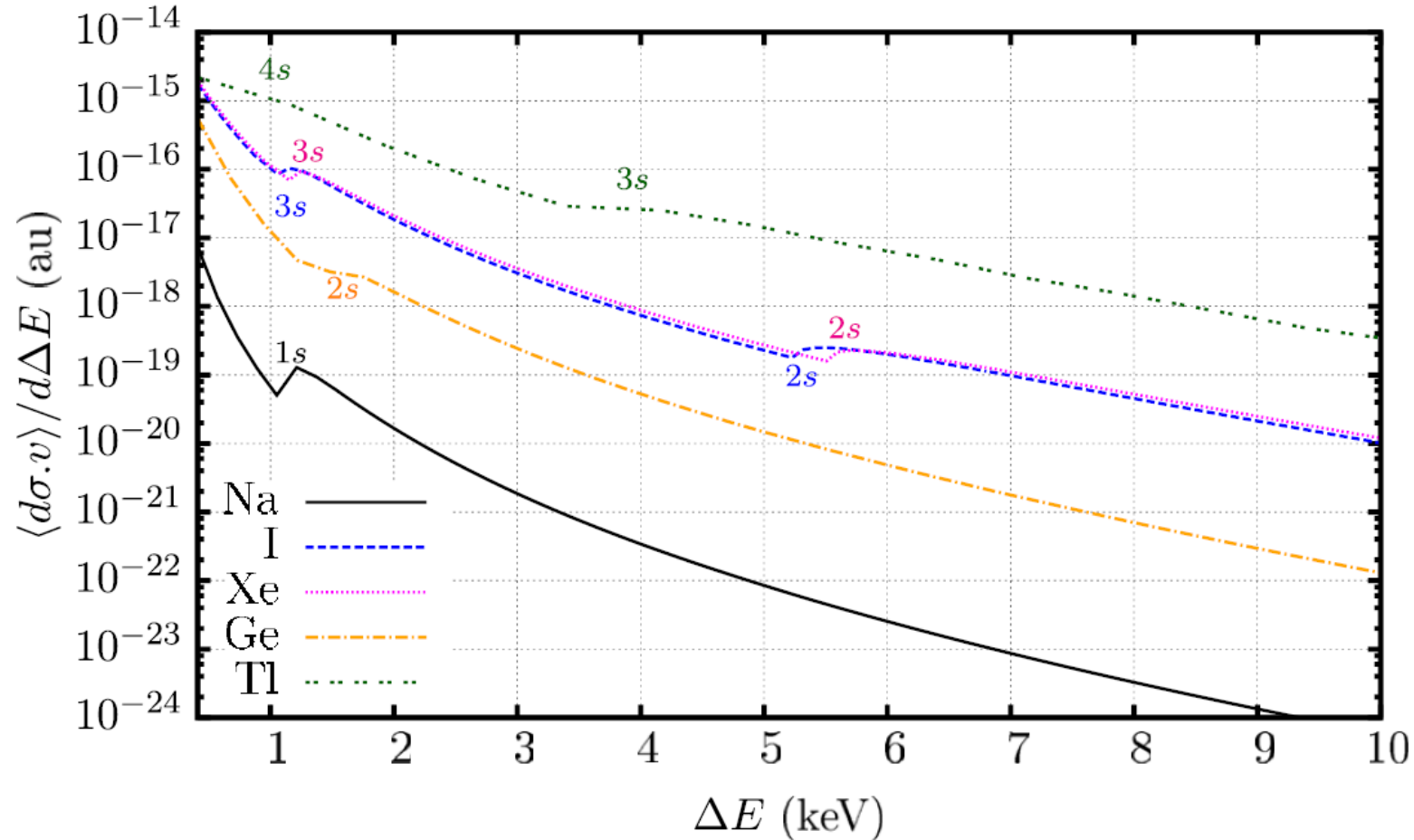
[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],  
[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* **93**, 115037 (2016)]



Calculated atomic-structure functions for ionisation of I from 3s atomic orbital as a function of  $q$ ;  $\Delta E = 4$  keV, vector interaction portal

# Accurate relativistic atomic calculations

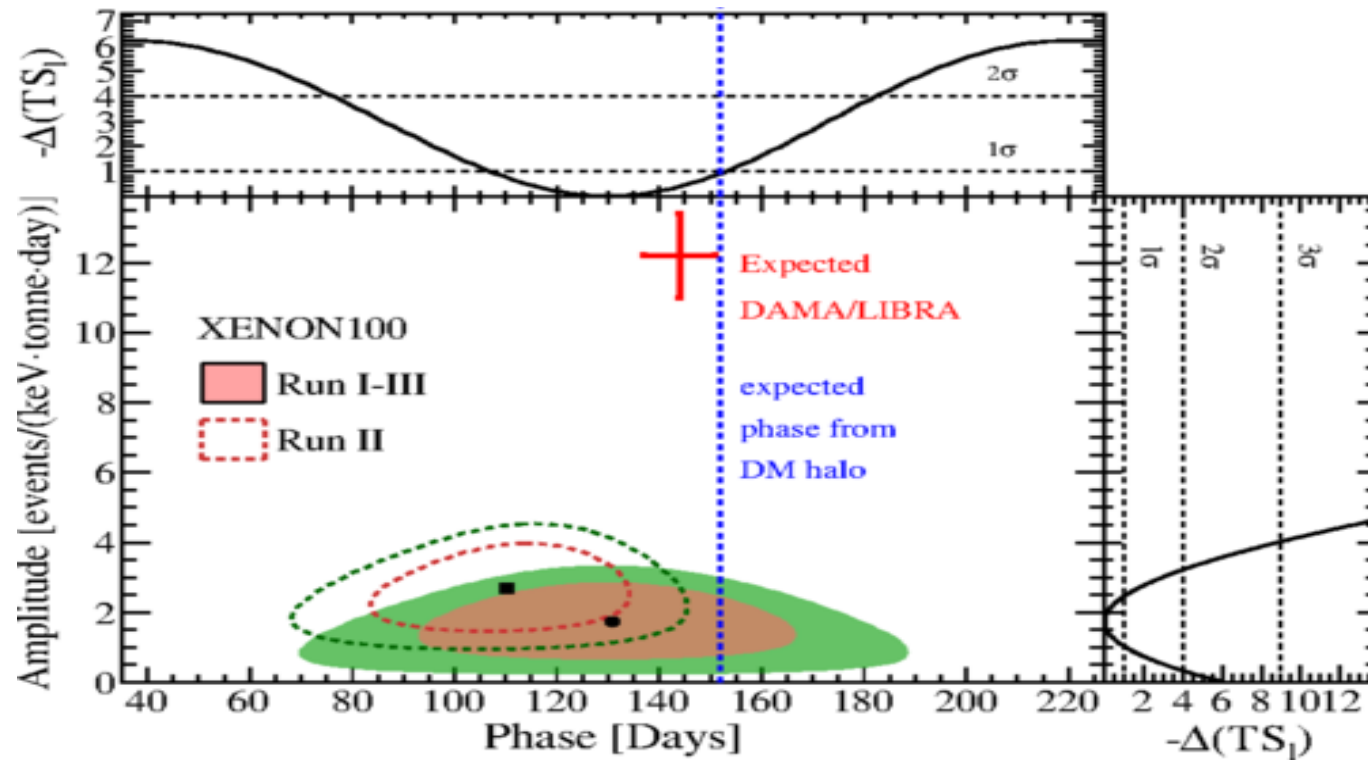
[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],  
[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* **93**, 115037 (2016)]



Calculated differential  $\sigma_{\chi e}$  as a function of total energy deposition ( $\Delta E$ );  $m_\chi = 10$  GeV,  $m_V = 10$  MeV,  $\alpha_\chi = 1$ , vector interaction portal. Annual modulation due to variation of velocity of WIMPs 20 - 50%

# Constraints from XENON Collaboration using our atomic calculations

[XENON Collaboration, *PRL* 118, 101101 (2017)]



# Conclusion

- Relativistic Hartree-Fock calculations correct several orders of magnitude error for the dark matter scalars and solar scalars.
- Plane wave approximation does not work due to violation of orthogonality condition between bound and continuum electron wave functions → Error up to 8 orders of magnitude!
- Such effect also exists for axions but the error is significant for small axion energies only.
- New limits on electron-scalar coupling from Xenon1T data.
- Data files for scalars and axions: arXiv:2105.08296.
- Relativistic effects increase ionisation by WIMP scattering on electrons by up to 3 orders of magnitude. Plane wave approximation does not work. Annual modulation due to variation of velocity of WIMPs is 20 - 50%. Results for DAMA/LIBRA and XENON collaborations.

# Why are electron relativistic effects so important?

[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],

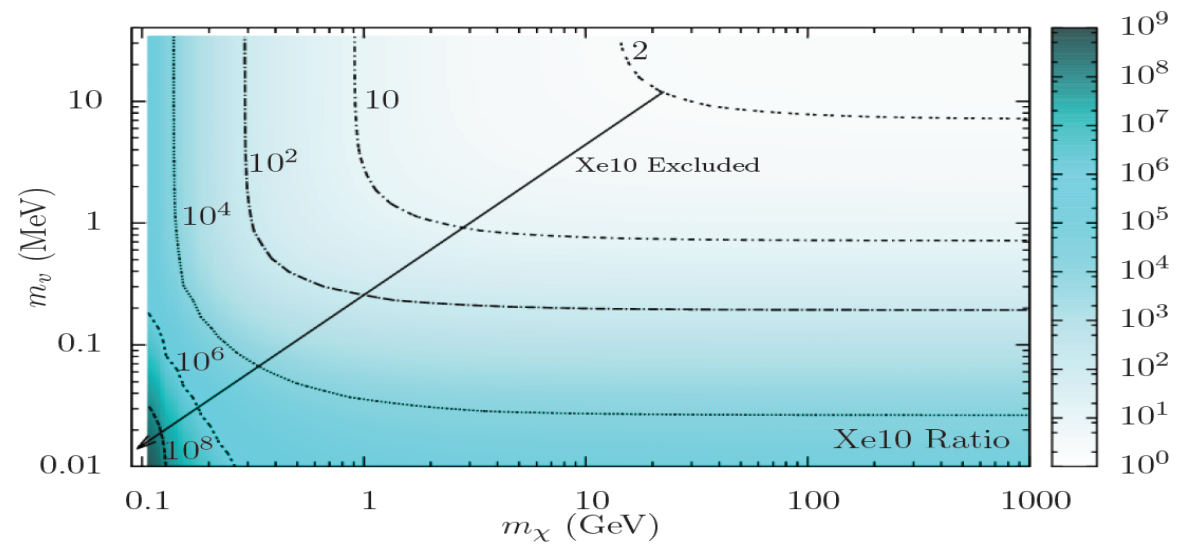
- Slow heavy particle produces an adiabatic perturbation of atom. Usually transitions produced by an adiabatic perturbation are suppressed exponentially, as  $\exp(-q^2 R^2)$ ,  $q$  is the momentum transfer. No ionization?
- However, the singular Coulomb potential produces a cusp of electron wave function near the nucleus or even infinity for the relativistic Dirac s-wave function at  $r=0$  for a point-like nucleus. As a result, **the exponential suppression is replaced by a power suppression  $q^{-n}$** . The effect comes from small distances where the electron is ultra-relativistic.



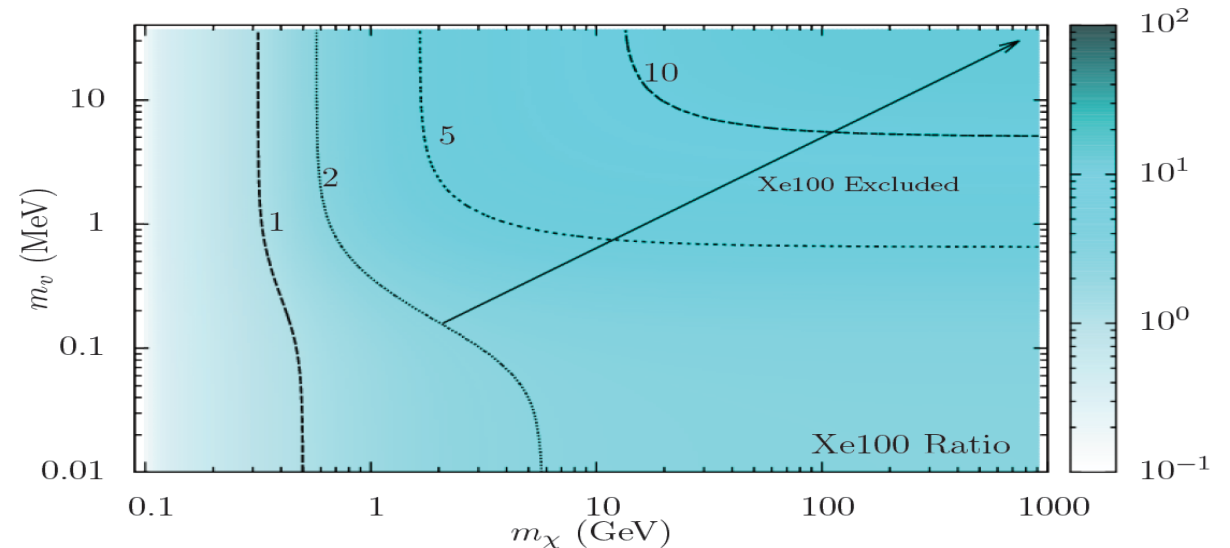
# Can the DAMA result be explained by the ionising scattering of WIMPs on electrons?

[Roberts, Flambaum, Gribakin, *PRL* 116, 023201 (2016)],  
[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* 93, 115037 (2016)]

XENON10 (expected/observed ratio)



XENON100 (expected/observed ratio)



- Using results of XENON10 and XENON100, we find no region of parameter space in  $m_\chi$  and  $m_\nu$  that is consistent with interpretation of DAMA result in terms of “ionising scattering on electrons” scenario.

# Why are electron relativistic effects so important?

[Roberts, Flambaum, Gribakin, *PRL* **116**, 023201 (2016)],

[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, *PRD* **93**, 115037 (2016)]

- Consider  $m_X \sim 10 \text{ GeV}$ ,  $\langle v_X \rangle \sim 10^{-3}c$
- $\langle q \rangle \sim \langle p_X \rangle \sim 10 \text{ MeV} \gg m_e = 0.5 \text{ MeV}$   
=> Relativistic process on atomic scale!
- Large  $q \sim 1000 \text{ a.u.}$  corresponds to small  $r \sim 1/q \ll a_B/Z$
- Largest contribution to  $\sigma_{Xe}$  comes from innermost atomic orbitals – for  $\langle \Delta E \rangle \sim \langle T_X \rangle \sim 5 \text{ keV}$ :
  - Na (1s)
  - Ge (2s)
  - I (3s/2s)
  - Xe (3s/2s)
  - Tl (3s)

# Relativistic effects increase ionisation by dark matter WIMP scattering on electrons by up to 3 orders of magnitude!

[Roberts, Flambaum, Gribakin, PRL 116, 023201 (2016)]

- Important for numerous existing and future dark matter detectors.
- Detailed relativistic many-body calculations in

[Roberts, Dzuba, Flambaum, Pospelov, Stadnik, Phys. Rev. D 93, 115037, 2016,  
Roberts, Flambaum, Phys. Rev. D 2019,]

- DAMA collaboration claims detection of dark matter, others – no detection.

Possible explanation: scattering of dark matter on electrons (instead of scattering on nuclei).

- Our calculations show tension between DAMA and XENON results. XENON used our calculations in PRL 2017.