

# Tsallis statistics and QCD thermodynamics

Introduction

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Tsallis statistics  
and QCD

Fractals and  
Self-Similarity

Thermofractals

Scales in YM  
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Bose-Einstein  
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Conclusions

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Based on: E. Andrade II, A. Deppman, A. Gammal, E.M., D.P. Menezes,  
T. Nunes, V.S. Timóteo, PRD101 (2020) 034019 and 054022;  
MDPI Physics 2 (2020) 455 and Physica A 585 (2022).

Other references: E.M. D.P. Menezes, A. Deppman, Physica A421 (2015) 15;  
A. Deppman, PRD93 (2016) 054001; A. Deppman, E.M., D.P. Menezes,  
T. Frederico, Entropy 20 (2018) 633.

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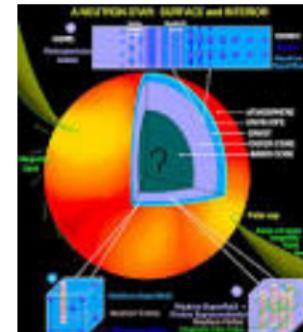
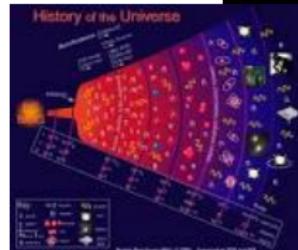
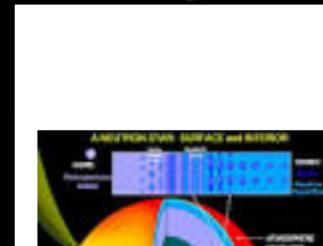
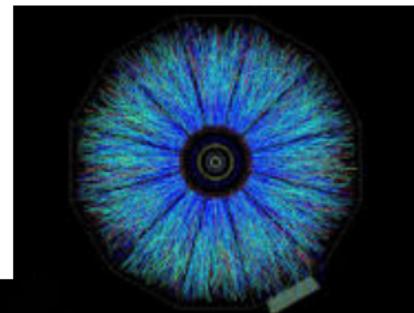
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# QGP: QCD and its applications



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# Thermodynamical approach

R. Hagedorn: thermodynamical approach to High Energy Collisions

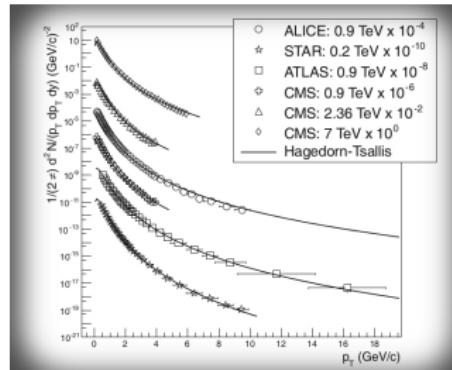
exponential distributions of energy and momentum

exponential hadron mass spectrum

Hadron Resonance Gas models, conf./deconf. phase-transition

→ but disagrees from experimental data ( $\frac{d^2N}{dp_\perp dy} \not\approx e^{-p_\perp/T}$ )

→ when using Tsallis statistics → power-law distribution →  
→ the agreement is perfect (in many orders of magnitude)



# Tsallis Statistics

- Tsallis statistics → generalization of Boltzmann-Gibbs (BG) statistics

$$S_q \equiv -k_B \sum_i p_i^q \ln_q p_i, \quad \ln_q(p) \equiv \frac{p^{1-q} - 1}{1 - q} \quad \left[ \xrightarrow[q \rightarrow 1]{} \log(p) \right].$$

- A consequence is that the entropy of the system is non-additive. For two independent systems  $A$  and  $B$  [C.Tsallis, J.Stat.Phys. 52 '98].

$$S_{A+B} = S_A + S_B + k_B^{-1}(1-q)S_A S_B,$$

where the entropic index  $q$  measures the degree of non-extensivity.

- $q$ -exponential and  $q$ -logarithm functions:

$$e_q^{(\pm)}(x) = [1 \pm (q-1)x]^{\pm 1/(q-1)}, \quad \log_q^{(\pm)}(x) = \pm(x^{\pm(q-1)} - 1)/(q-1),$$

- Occupation number:

$$n_q^{(\pm)}(x) = \frac{1}{\left(e_q^{(\pm)}(\beta(\varepsilon_p - \mu)) - \xi\right)^{1 \pm (q-1)}} \quad \left[ \xrightarrow[q \rightarrow 1]{} \frac{1}{e^{\beta(\varepsilon_p - \mu)} - \xi} \right],$$

and  $x = \beta(\varepsilon_p - \mu)$ ,  $\beta \equiv (k_B T)^{-1}$ , particle energy  $\varepsilon_p = \sqrt{p^2 + m^2}$ , with  $\mu$  the chemical potential,  $\xi = \pm 1$  for bosons/fermions.

# Hadron production in heavy ion collisions

[A. Deppman, E.M., D.P. Menezes, T. Nunes, in preparation]

- Occupation number of a single particle:

$$n_q^{(\pm)}(x) = \frac{1}{\left(e_q^{(\pm)}(\beta(\varepsilon - \mu)) - \xi\right)^{1\pm(q-1)}} \quad \left[ \xrightarrow[q \rightarrow 1]{} \frac{1}{e^{\beta(\varepsilon - \mu)} - \xi} \right].$$

- Density of hadron species in an ideal gas of massive particles:

$$\rho_i \equiv \frac{\langle N_i \rangle}{V} = \frac{g_i}{2\pi^2} \left[ \int_0^{p_{i*}} dp p^2 n_q^{(-)}(x_i) + \int_{p_{i*}}^{\infty} dp p^2 n_q^{(+)}(x_i) \right]$$

where

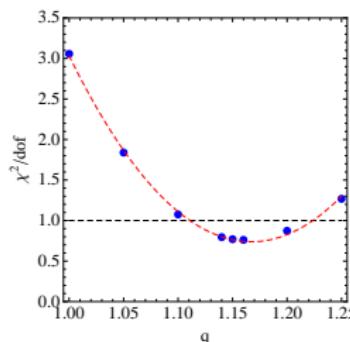
$$x_i \equiv \beta \left( \varepsilon_i - \sum_a \mu_a q_{ai} \right), \quad \varepsilon_i \equiv \sqrt{p^2 + m_i^2}, \quad \sum_a \mu_a q_{ai} \equiv \mu_u u_i + \mu_d d_i + \mu_s s_i.$$

and  $p_{i*} > 0$  if  $\sum_a \mu_a q_{ai} > m_i$ .

- In Au+Au collisions:  $B = 2 \times 197$ ,  $I_3 = -39$ ,  $S = 0$ .
- Fit to ratios of particle abundances. Experimental values (STAR '07'):

$$\bar{p}/p = 0.65 \pm 0.07, \quad \bar{p}/\pi^- = 0.08 \pm 0.01, \quad \pi^-/\pi^+ = 1.00 \pm 0.02, \dots$$

# Hadron production in heavy ion collisions



Best fit in  $(q, \mu_B, T)$   $\rightarrow$   $q \simeq 1.16$   
 $\chi^2/\text{dof} = 0.76$

$\mu_B = 52 \text{ MeV}, T = 58 \text{ MeV}$

Radius =  $49.6 \text{ fm}^3$

See also [D.P.Menezes et al., PRC76 '07] for  $q = 1$ .

Ratio	Model	Experimental (STAR '2007')
$\bar{p}/p$	0.65473	0.65(7)
$\bar{p}/\pi^-$	0.06393	0.08(1)
$\pi^-/\pi^+$	1.02009	1.00(2)
$K^-/K^+$	0.82771	0.88(5)
$K^-/\pi^-$	0.16900	0.149(20)
$\bar{\Lambda}^0/\Lambda^0$	0.76906	0.77(7)
$\Xi^-/\Xi^-$	0.87540	0.82(8)
$K^0*/h^-$	0.07145	0.060(17)
$\bar{K}^0*/h^-$	0.06217	0.058(17)

# $p_T$ distribution in $pp$ collisions

Extended Hagedorn theory to non extensive statistics: [A.Deppman, Physica A 391 '12](#)

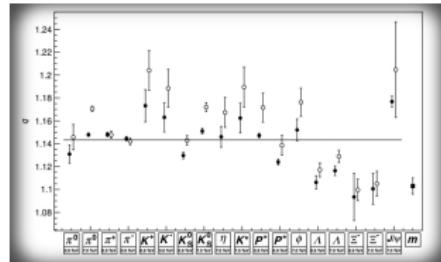
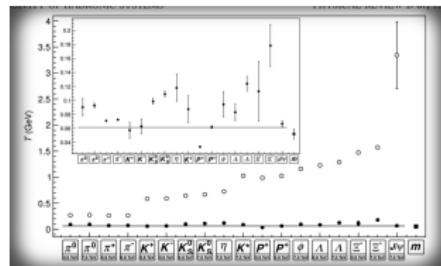
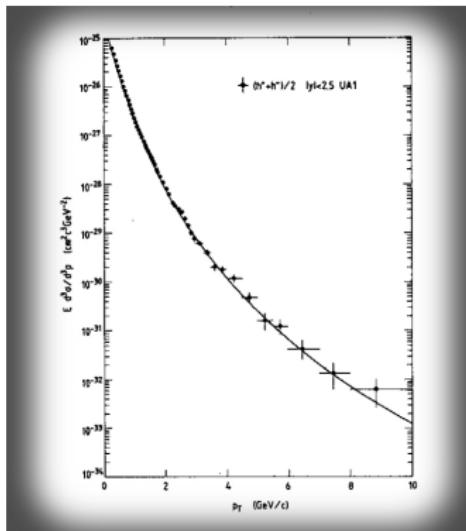
## Use of Tsallis statistics:

$$\frac{d^2N}{dp_\perp dy} = gV \frac{p_\perp m_\perp}{(2\pi)^2} e_q^{(+)} \left(-\frac{m_\perp}{T}\right)$$

L.Marques, E.Andrade-II, A.Deppman, PRD 87 (2013) 114022

L.Marques, J.Cleymans, A.Deppman, PRD 91 (2015) 054025

Experimental value  $q = 1.14 \pm 0.01$



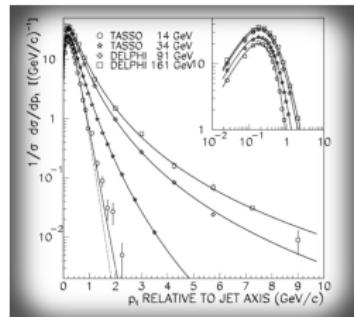
# Effective hadron mass spectrum

Density of hadrons:

$$\rho(m) = \rho_o \cdot [1 + (q - 1)m/T_H]^{1/(q-1)}$$

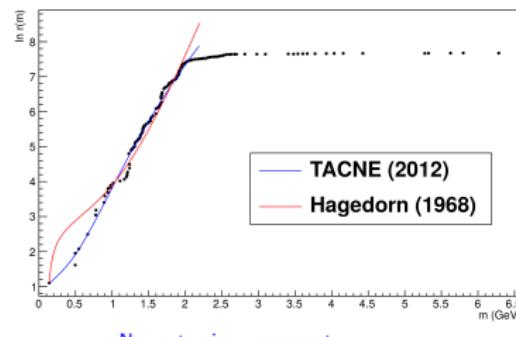
Obtained in Non-Extensive Self-Consistent Thermodynamics.

A.Deppman, Physica A 391 (2012) 6380



Power-law distributions

Cumulative number:  $N(m) = \int_0^m d\tilde{m} \rho(\tilde{m})$



Non extensive mass spectrum

L.Marques, E.Andrade-II, A.Deppman, PRD 87 (2013) 114022

Hagedorn 1968:  $\rho(m) = \rho_o \cdot e^{m/T_H}$

# Tsallis Statistics and QCD Thermodynamics

- Grand-canonical partition function for a non-extensive ideal quantum gas is [EM, A.Deppman, D.P.Menezes, Physica A421 '15]

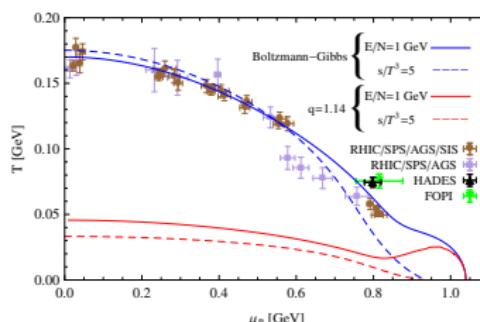
$$\log Z_q(V, T, \mu) = -\xi V \int \frac{d^3 p}{(2\pi)^3} \sum_{r=\pm} \Theta(rx) \log_q^{(-r)} \left( \frac{e_q^{(r)}(x) - \xi}{e_q^{(r)}(x)} \right),$$

- $e_q^{(\pm)}(x) \xrightarrow[q \rightarrow 1]{} \exp(x)$  and  $\log_q^{(\pm)}(x) \xrightarrow[q \rightarrow 1]{} \log(x)$  → This result reduces to the BG statistics in the limit  $q \rightarrow 1$ .
- The thermodynamics of QCD in the confined phase can be studied within the Hadron Resonance Gas approach → Physical observables in terms of hadronic states [Hagedorn, Lec.Not.Phys.221 '85].
- Partition function given by

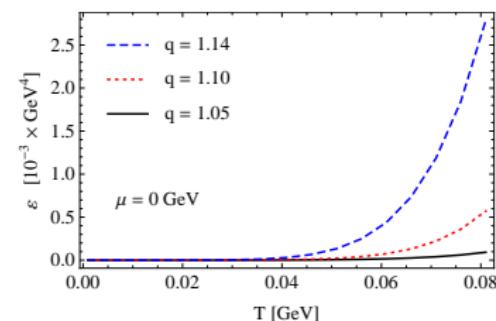
$$\log Z_q(V, T, \{\mu\}) = \sum_{i \in \text{hadrons}} \log Z_q(V, T, \mu_i).$$

# QCD Thermodynamics

- Energy density  $\rightarrow \varepsilon \equiv \frac{\langle E \rangle}{V} = -\frac{1}{V} \frac{\partial}{\partial \beta} \log Z_q \Big|_{\mu_B} + \frac{1}{V} \frac{\mu_B}{\beta} \frac{\partial}{\partial \mu_B} \log Z_q \Big|_{\beta}$ .
- Pressure  $\rightarrow P = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_q$ .
- Entropy  $\rightarrow S = -\beta^2 \frac{\partial}{\partial \beta} \left( \frac{\log Z_q}{\beta} \right) \Big|_{\mu_B}$ .
- Baryon density  $\rightarrow \rho_B \equiv \frac{\langle B \rangle}{V} = \frac{1}{3V} (\langle N_{\text{quarks}} \rangle - \langle N_{\text{antiquarks}} \rangle)$ .



Chemical freeze-out line  $T = T(\mu_B)$ .



Energy density.

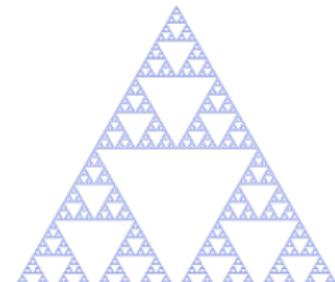
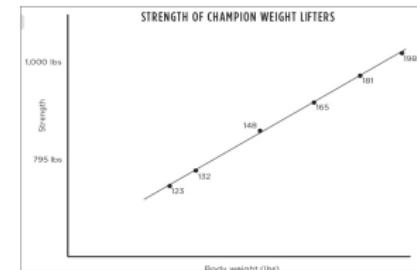
- $q \uparrow \rightarrow$  Equation of state  $P = P(\varepsilon) \uparrow$  harder  $\rightarrow$   
Implications for neutron stars:  $M_{\text{max}} \uparrow$
- [D.P.Menezes, A.Deppman, E.M., L.B.Castro, EPJA51 '15].

# Scale and Self-Similarity

Scaling transformation → changes the size of objects by a scale factor.



SCALING



SELF-SIMILARITY → Self-similar object is an object which is similar to a part of itself.  
(Example: Sierpiński triangle).

# Tsallis Statistics and Thermofractals

- Emergence of the non-extensive behavior has been attributed to different causes: 1) long-range interactions, correlations and memory effects; 2) temperature fluctuations; 3) finite size of the system [L.Borland, PLA 245 '98].
- We will study a natural derivation of non-extensive statistics in terms of Thermofractals.
- Thermofractals  $\equiv$  Systems in thermodynamical equilibrium presenting the following properties [A.Deppman, PRD93 '16]:

- ① Total energy is given by:

$$U = F + E,$$

where  $F \equiv$  kinetic energy, and  $E \equiv$  internal energy of  $N$  constituent subsystems, so that  $E = \sum_{i=1}^N \varepsilon_i^{(1)}$ .

- ② Constituent subsystems are thermofractals: distribution  $P_{\text{TF}}(E)$  is self-similar or self-affine  $\rightarrow$  at level  $n$  of the subsystem hierarchy  $P_{\text{TF}(n)}(E)$  is equal to the distribution in the other levels:

$$P_{\text{TF}(n)}(\varepsilon) \propto P_{\text{TF}(n+m)}(\varepsilon).$$

# Tsallis Statistics and Thermofractals

- The energy distribution according to BG statistics is given by

$$P_{\text{BG}}(U)dU = A \exp(-U/kT)dU.$$

- In thermofractals → phase space must include momentum degrees of freedom of free particles as well as internal degrees of freedom. According to property 2 of self-similar thermofractals

$$P_{\text{TF}(0)}(U)dU = A' \underbrace{F^{\frac{3N}{2}-1} \exp\left(-\frac{\alpha F}{kT}\right) dF}_{\text{Momentum d.o.f.}} \underbrace{\left[P_{\text{TF}(1)}(\varepsilon)\right]^\nu d\varepsilon}_{\text{internal d.o.f.}},$$

with  $\alpha = 1 + \frac{\varepsilon}{kT}$  and  $\frac{\varepsilon}{kT} = \frac{E}{F}$ , and  $\nu \equiv$  exponent to be determined.

- By imposing self-similarity

$$P_{\text{TF}(0)}(U) \propto P_{\text{TF}(1)}(\varepsilon)$$

one finds:  $P_{\text{TF}}(\varepsilon) = A \left[1 + \frac{\varepsilon}{kT}\right]^{-\frac{3N}{2} \frac{1}{1-\nu}}$  →  $P_{\text{TF}(n)}(\varepsilon) = A_{(n)} e_q \left[-\frac{\varepsilon}{kT}\right]$

→ The distribution of thermofractals then obeys Tsallis statistics with  $q - 1 = \frac{2}{3N}(1 - \nu)$ .

# Renormalization of gauge fields

- QCD phenomenology can be described by Tsallis statistics.
- Thermofractals obey Tsallis statistics.
- Question: Is it possible a thermofractal description of Yang-Mills theory?
- Yang-Mills theory  $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + i\bar{\psi}_j \gamma_\mu D_{ij}^\mu \Psi_j$  is renormalizable:

$$\Gamma(p, m, g) = \lambda^{-D} \Gamma(p, \bar{m}, \bar{g})$$

F. Dyson, PR 75 (1949) 1736

M. Gell-Mann and F.E. Low, PR 95 (1954) 1300

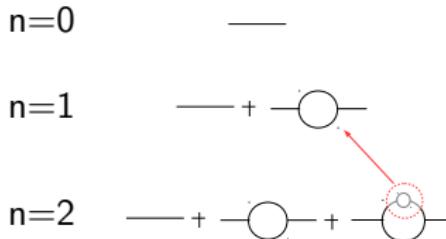
- Renormalization group equation:

$$\left[ M \frac{\partial}{\partial M} + \beta_{\bar{g}} \frac{\partial}{\partial \bar{g}} + \gamma \right] \Gamma = 0$$

Callan-Symanzik Equation

C.G. Callan Jr., PRD 2 (1970) 1541

K. Symanzik, Comm. Math. Phys. 18 (1970) 227



Effective coupling constant  $\bar{g}$

Effective mass  $\bar{m}$

Self-similar properties of YM fields →  
loop in higher order is identical  
to a diagram in lower order.

## Effective thermofractal description:

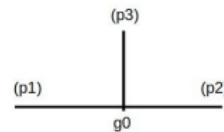
Calculation of  $q$  from gauge field  
parameters

$$\bar{g}(\varepsilon) = \prod_{i=1}^{\tilde{N}} G[1 - (q-1)\frac{\varepsilon_i}{\lambda}]^{\frac{1}{q-1}}$$
A.Deppman, PRD (2016)

$q$  is related to the number of internal degrees of freedom in the fractal structure

$\bar{g}(\varepsilon)$  describes how energy flows from the initial parton to partons at higher perturbative orders.

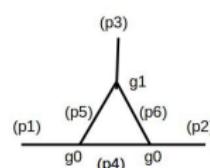
- First order calculation of vertex function was performed for YM-theory and QCD. We can then compare what is obtained with our effective description.



- From our effective description:

$$\beta_g = \mu \frac{\partial \bar{g}}{\partial \mu} = -\frac{1}{16\pi^2} \frac{1}{q-1} g^{\tilde{N}+1}$$

with  $\tilde{N} = 2$



- From QCD:  $\beta_g = -\frac{1}{16\pi^2} \left[ \frac{11}{3} c_1 - \frac{4}{3} c_2 \right] g^3$

→ And finally we get  $q = 1.14$

[A.Deppman, EM, D.P.Menezes, PRD101 (2020) 034019]

## Bose-Einstein condensation and Tsallis statistics (qBEC)

[E.M., V.S. Timóteo, A. Gammal, A. Deppman, Physica A 585 (2022)]

- Formation of Bose-Einstein condensate in hadronic systems?  
[D.Kharzeev, E.Levin, K.Tuchin, PRC75 '07]; [I.Bausista; C.Pajares, J.E.Ramirez Rev.Mex.Fis. 65 '19]; [S.Deb, D.Sahu, S.Raghunath, A.K.Pradhan, EPJA 57 '21]
- Relativistic gas of massless bosons

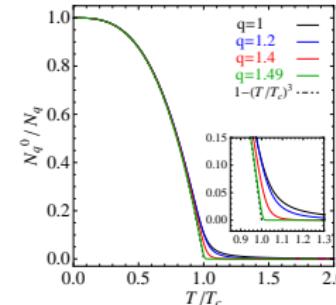
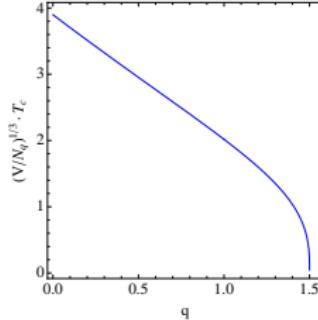
$$\langle N_q \rangle = \beta^{-1} \frac{\partial}{\partial \mu} \log Z_q \Big|_{\beta}, \quad n_q^{(+)}(\varepsilon, \beta, \mu) = \left[ e_q^{(+)}[\beta(\varepsilon - \mu)] - 1 \right]^{-q}.$$

- Total number of particles [Ground state  $\varepsilon_c = 0$ ]

$$N_q \equiv N_q^0 + N_q^\varepsilon = \left[ e_q^{(+)}[\beta(\varepsilon_c - \mu)] - 1 \right]^{-q} + \frac{V}{2\pi^2} \int_0^\infty d\varepsilon \varepsilon^2 \left[ e_q^{(+)}[\beta(\varepsilon - \mu)] - 1 \right]^{-q}.$$

- Critical temperature

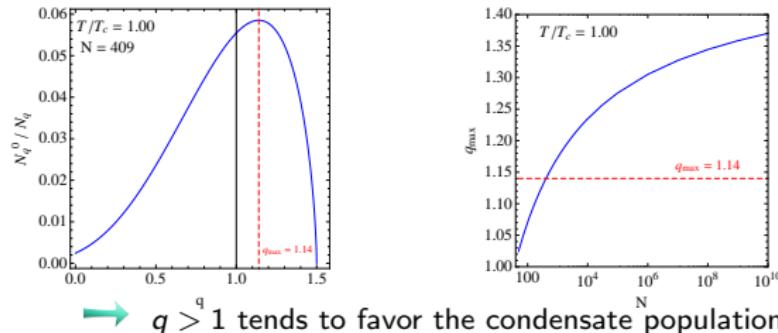
$$N_q^\varepsilon(T) \leq N_{q,\max}^\varepsilon(T_c) = \frac{VT_c^3}{\pi^2} \zeta_q(0), \quad \text{where} \quad \zeta_q(0) = \frac{1}{2} \int_0^\infty dx x^2 \left[ e_q^{(+)}(x) - 1 \right]^{-q}.$$



$$\frac{N_q^0}{N_q} \simeq 1 - \left( \frac{T}{T_c} \right)^3$$

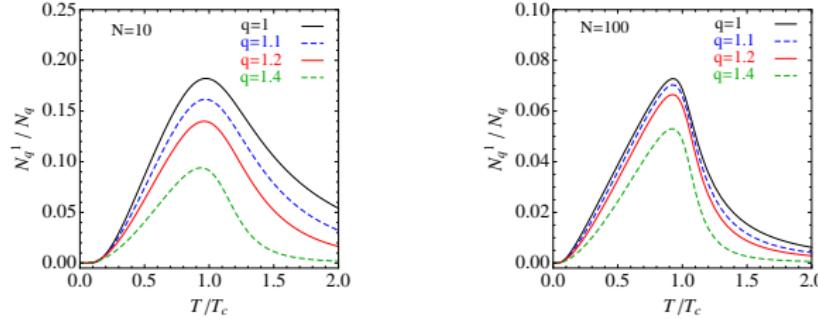
## Bose-Einstein condensation and Tsallis statistics (qBEC)

- Fraction of particles in the ground state:



- Fraction of particles in the first excited state  $\rightarrow$   
 $\rightarrow$  System in a box (discretization of energy levels)

$$E_{n_x, n_y, n_z} = \frac{\pi}{L} \sqrt{n_x^2 + n_y^2 + n_z^2}, \quad n_x, n_y, n_z \geq 1.$$



# Applications of Tsallis statistics

## High energy collisions:

- J. Cleymans; D.J. Worku. Phys. G Nucl. Part. Phys. 2012, 39, 025006  
C.-Y. Wong; G. Wilk, G.; Tsallis, C. Phys. Rev. D 2015, 91, 11402  
L. Marques, J. Cleymans, and A. Deppman, PRD 91 (2015) 054025

## Hadron models:

- P.H.G Cardoso; T.N. da Silva; A. Deppman, D.P. Menezes, EPJA 51 (2015) 155  
E. Andrade II, A. Deppman, E.M., D.P. Menezes, T. Nunes, PRD101 (2020) 054022

## Hadron mass spectrum:

- L. Marques; E. Andrade-II; A. Deppman, Phys. Rev. D 2013, 87, 114022

## Hadron structure:

- A. Deppman, E.M., M.J. Teixeira, V. Timóteo, in preparation.

## Neutron stars:

- D.P. Menezes, A. Deppman, E.M., and L.B. Castro, EPJA 51, (2015) 155

## Lattice QCD:

- A. Deppman JPG 41 (2014) 055108

## Bose-Einstein condensation:

- J. Chen, Z. Zhang, G. Su, L. Chen, Y. Shu, Physics Letter A300 (2002)  
E.M., V.S. Timóteo, A. Gammal, A. Deppman, Physica A 585 (2022)

## Non-extensive statistical mechanics:

- E.M., A. Deppman, D.P. Menezes, Physica A 421 (2015) 15  
A. Deppman, Physica A 391 (2012) 6380  
A. Deppman, E.M., D.P. Menezes, T. Frederico, (2018) Entropy 20 (2017) 633

## Conclusions:

- We have reviewed the **non-extensive statistics** in the form of Tsallis statistics of a quantum gas at finite  $T$  and  $\mu$ .
  - $pp$  collisions.
  - Heavy ion collisions.
  - Hadron spectrum.
  - QCD thermodynamics.
  - Bose-Einstein condensation.
- We have investigated the structure of a thermodynamical system presenting **fractal properties**, and shown that it naturally leads to **non-extensive statistics**.
- Based on the self-similar properties of thermofractals:
  - '*Field theoretical approach*' for thermofractals.
  - $\beta$  function of QCD assuming a thermofractal structure.
- Self-similarity in gauge fields leads to
  - Self-consistency and fractal structure
  - Recursive calculations at any order
  - Non extensive statistics
  - Reconciles Hagedorn's theory with QCD
  - Agreement with experimental data

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Eugenio Megías

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# Thank You!