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Heavy Neutral Leptons in Effective Field Theory and the High-Luminosity LHC

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Anacapri, Italy, 12 June 2022

Outline

- ▶ Motivation for heavy neutral leptons (HNLs)
- ▶ The effective field theory (EFT) of the Standard Model (SM) extended with HNLs
- ▶ Experimental prospects at the high-luminosity LHC (HL-LHC)
- ▶ Sensitivity to 4-fermion pair- N_R operators
- ▶ Sensitivity to 4-fermion single- N_R operators
- ▶ Conclusions

Motivation: neutrino masses

In the SM neutrinos are massless

Neutrino oscillations show that (at least two) neutrinos have mass

Minimal renormalisable Lagrangian to accommodate neutrino masses:

$$\mathcal{L}_{\text{SM}+N} = \mathcal{L}_{\text{SM}} + i\bar{N}_R\gamma^\mu\partial_\mu N_R - [\bar{L}\tilde{H}Y_N N_R + \text{h.c.}]$$

N_R is right-handed (RH) neutrino; lepton number (LN) is conserved

$$Y_N \sim 10^{-13} \quad \Rightarrow \quad m_\nu = Y_N v / \sqrt{2} \sim 0.01 \text{ eV}$$

Type I seesaw mechanism:

$$-\mathcal{L}_{\text{mass}} = \bar{L}\tilde{H}Y_N N_R + \frac{1}{2}\bar{N}_R^c M N_R + \text{h.c.} \rightarrow \frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{N}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D^T & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix} + \text{h.c.}$$

N_R is RH neutrino or heavy neutral lepton (HNL); LN is violated

$$m_D = Y_N v / \sqrt{2} \ll M \quad \Rightarrow \quad m_\nu = -m_D M^{-1} m_D^T$$

$$Y_N \sim 1, \quad M \sim 10^{15} \text{ GeV} \quad \Rightarrow \quad m_\nu \sim 0.01 \text{ eV}$$

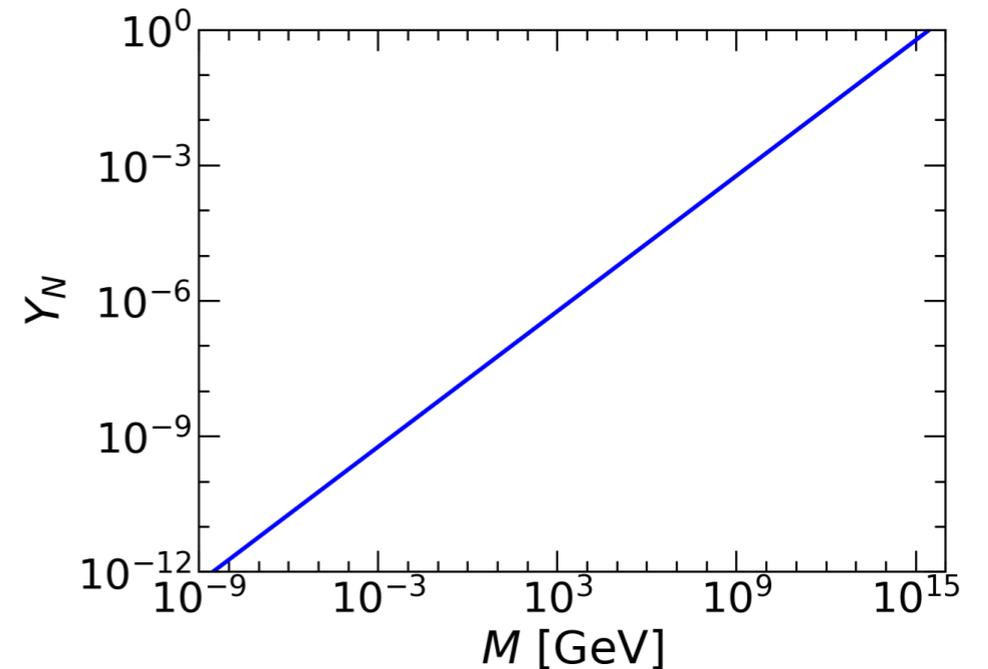
Motivation: neutrino masses

Huge range of values for M , including $M \lesssim v$

Active-heavy neutrino mixing

$$V_{\alpha N}^2 \sim \left(\frac{m_D}{M} \right)^2 \sim \frac{m_\nu}{M}$$

$$V_{\alpha N}^2 \sim 10^{-11} \div 10^{-14} \quad \text{for} \quad M \sim 1 \div 10^3 \text{ GeV}$$



Inverse seesaw mechanism:

$$-\mathcal{L}_{\text{mass}} = \frac{1}{2} (\bar{\nu}_L \quad \bar{N}_R^c \quad \bar{S}_L) \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M_R^T \\ 0 & M_R & \mu \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \\ S_L^c \end{pmatrix} + \text{h.c.}$$

$$m_\nu = m_D M_R^{-1} \mu M_R^{-1T} m_D^T \sim 0.01 \text{ eV} \quad \text{and} \quad V_{\alpha N}^2 \sim \left(\frac{m_D}{M_R} \right)^2 \sim \frac{m_\nu}{\mu} \sim 10^{-2} \div 10^{-8}$$

$$\text{for} \quad Y_N \sim 10^{-3}, \quad M_R \sim 1 \div 10^3 \text{ GeV}, \quad \mu \sim 10^{-9} \div 10^{-3} \text{ GeV}$$

Effective field theory approach

Assume that

- the HNL mass m_N is below or around the weak scale v
- new physics exists at scale $\Lambda \gg v$

Then the most general description of physics at low energies is in terms of the EFT of the SM extended with HNLs ([NSMEFT](#))

$$\mathcal{L} = \mathcal{L}_{\text{SM}+N} + \sum_{d=5}^{\infty} \frac{1}{\Lambda^{d-4}} \sum_i^{n_d} c_i^{(d)} \mathcal{O}_i^{(d)}$$

$\mathcal{O}_i^{(d)}$ are effective operators invariant under the SM gauge symmetry

Operators of $d = 5$ (all **violate LN**)

$$\mathcal{O}_{LH} = (\bar{L}\tilde{H}) (\tilde{H}^T L^c)$$

Weinberg, PRL **43** (1979) 1566

$$\mathcal{O}_{NNH} = (\bar{N}_R^c N_R) (H^\dagger H)$$

Aguila, Bar-Shalom, Soni, Wudka, 0806.0876

$$\mathcal{O}_{NNB} = (\bar{N}_R^c \sigma^{\mu\nu} N_R) B_{\mu\nu}$$

Aparici, Kim, Santamaria, Wudka, 0904.3244

NSMEFT at dimension 6

Aguila, Bar-Shalom, Soni, Wudka, 0806.0876

Liao and Ma, 1612.04527

Higgs-N operators # (+h.c.) = 5 (9)

1H	$\mathcal{O}_{NB} = \bar{L}\sigma^{\mu\nu}N_R\tilde{H}B_{\mu\nu}$	$\mathcal{O}_{NW} = \bar{L}\sigma^{\mu\nu}N_R\sigma^I\tilde{H}W_{\mu\nu}^I$
2H	$\mathcal{O}_{HN} = \bar{N}_R\gamma^\mu N_R(H^\dagger i\overleftrightarrow{D}_\mu H)$	$\mathcal{O}_{HNe} = \bar{N}_R\gamma^\mu e_R(\tilde{H}^\dagger iD_\mu H)$
3H	$\mathcal{O}_{LNH} = \bar{L}\tilde{H}N_R(H^\dagger H)$	

4-fermions 11 (16)

RRRR	$\mathcal{O}_{NN} = (\bar{N}_R\gamma_\mu N_R)(\bar{N}_R\gamma^\mu N_R)$
	$\mathcal{O}_{eN} = (\bar{e}_R\gamma_\mu e_R)(\bar{N}_R\gamma^\mu N_R)$ $\mathcal{O}_{uN} = (\bar{u}_R\gamma_\mu u_R)(\bar{N}_R\gamma^\mu N_R)$
	$\mathcal{O}_{dN} = (\bar{d}_R\gamma_\mu d_R)(\bar{N}_R\gamma^\mu N_R)$ $\mathcal{O}_{duNe} = (\bar{d}_R\gamma_\mu u_R)(\bar{N}_R\gamma^\mu e_R)$
LLRR	$\mathcal{O}_{LN} = (\bar{L}\gamma_\mu L)(\bar{N}_R\gamma^\mu N_R)$ $\mathcal{O}_{QN} = (\bar{Q}\gamma_\mu Q)(\bar{N}_R\gamma^\mu N_R)$
LRLR	$\mathcal{O}_{LNLe} = (\bar{L}N_R)\epsilon(\bar{L}e_R)$ $\mathcal{O}_{LNQd} = (\bar{L}N_R)\epsilon(\bar{Q}d_R)$
	$\mathcal{O}_{LdQN} = (\bar{L}d_R)\epsilon(\bar{Q}N_R)$
LRRL	$\mathcal{O}_{QuNL} = (\bar{Q}u_R)(\bar{N}_RL)$

2 (4)

\cancel{L}	$\mathcal{O}_{NNNN} = (\bar{N}_R^c N_R)(\bar{N}_R^c N_R)$
\cancel{L} & \cancel{B}	$\mathcal{O}_{QQdN} = (\bar{Q}^c\epsilon Q)(\bar{d}_R^c N_R)$
	$\mathcal{O}_{uddN} = (\bar{u}_R^c d_R)(\bar{d}_R^c N_R)$

$n_f = 1$ [3] : 29 [1614]
operators including h.c.

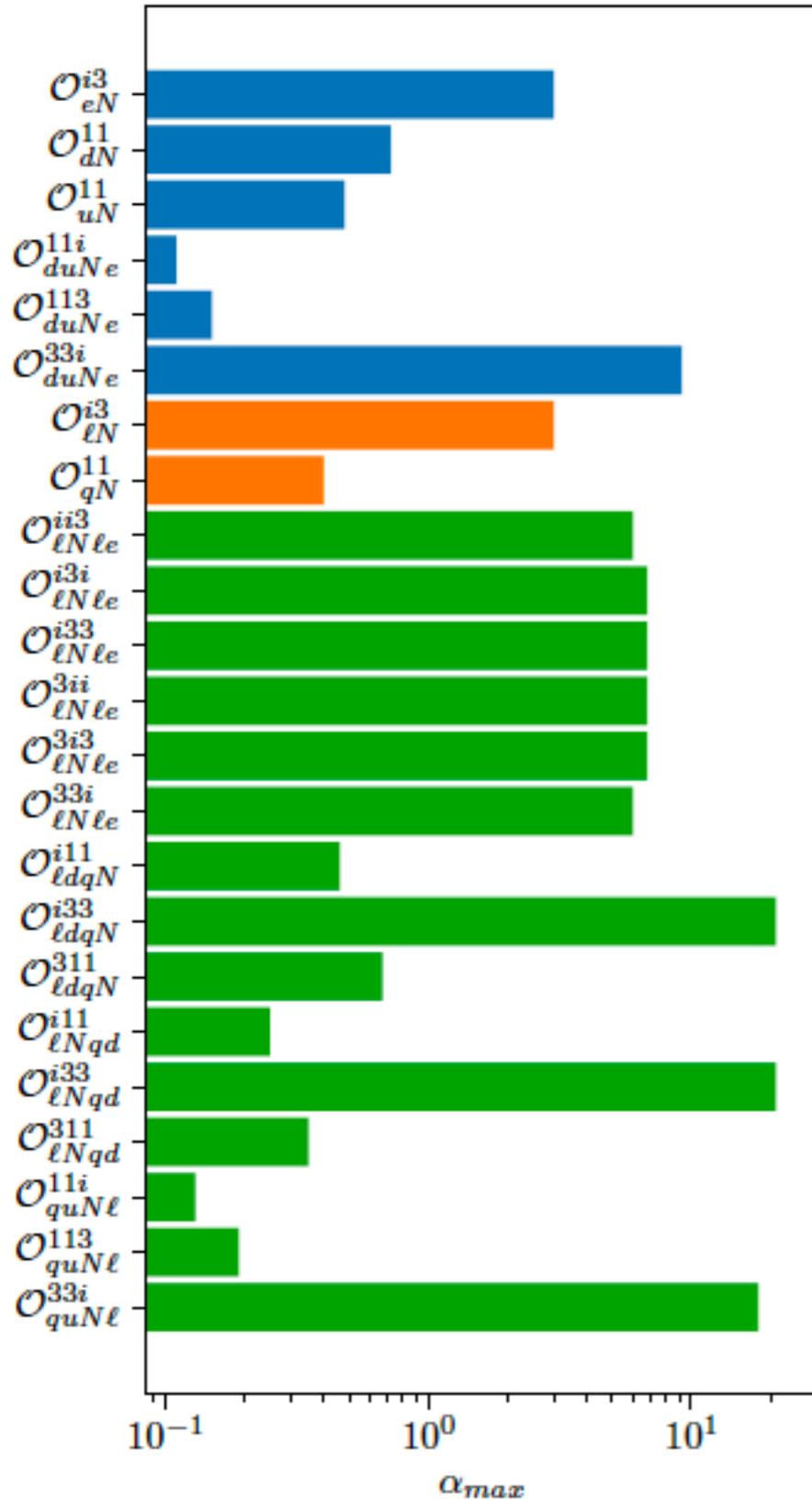
Bounds on 4-fermions for $m_N \lesssim 0.1$ GeV

RRRR

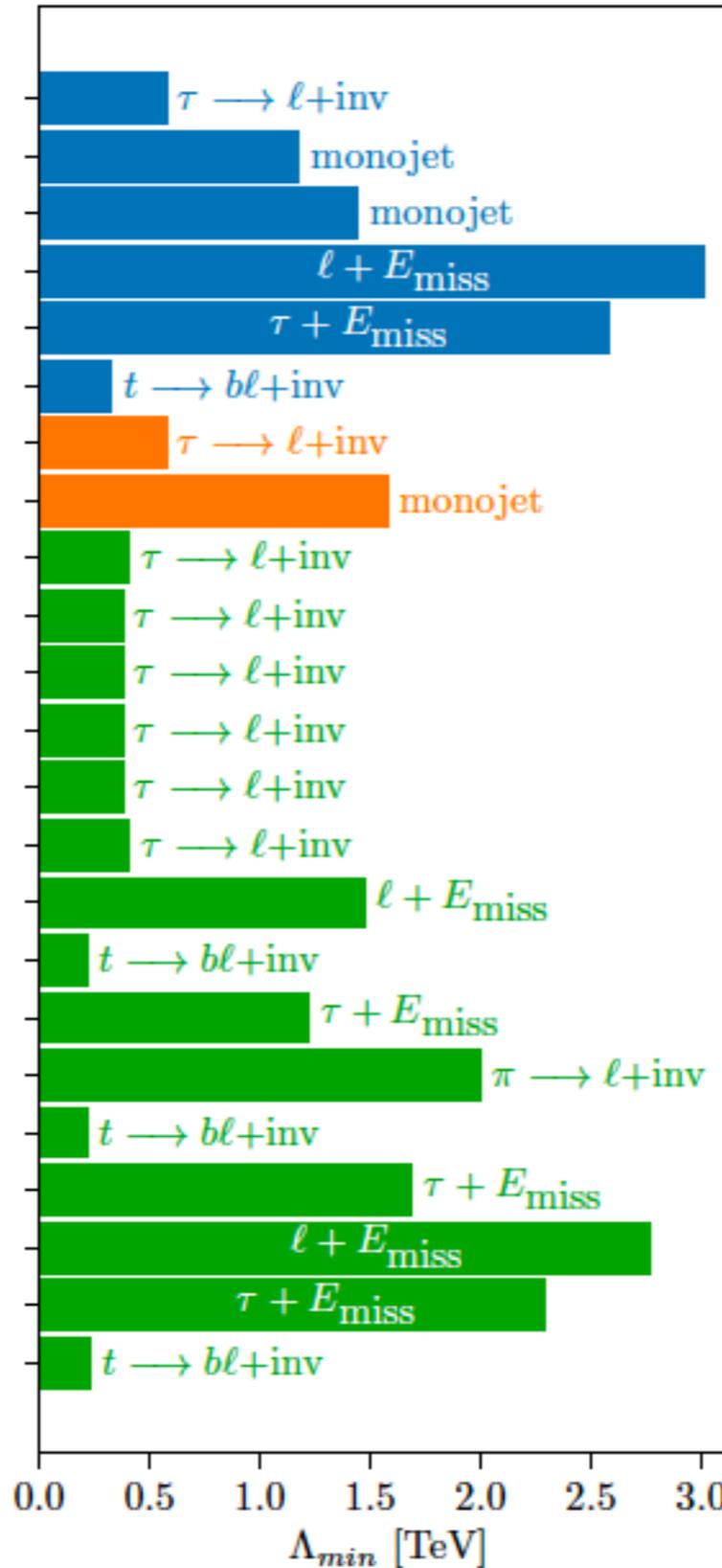
LLLL

LRRL and LRLR

Bounds on α_{max} for $\Lambda = 1$ TeV



Bounds on Λ_{min} [TeV] for $\alpha = 1$



Alcaide, Banerjee, Chala, AT, 1905.11375

Figure from J. Alcaide's PhD thesis

$pp \rightarrow \ell + E_T^{miss}$
ATLAS, 1706.04786

$pp \rightarrow j + E_T^{miss}$ (monojet)
CMS, 1712.02345

$\Gamma_{\tau \rightarrow e + inv} = (310 \pm 1) \times 10^{-23}$ GeV

$\Gamma_{\tau \rightarrow e + inv} = (4.03 \pm 0.02) \times 10^{-13}$ GeV

PDG, RPP 2018

$t \rightarrow b\ell + inv$

Alcaide, Banerjee, Chala, AT, 1905.11375

Long-lived HNLs

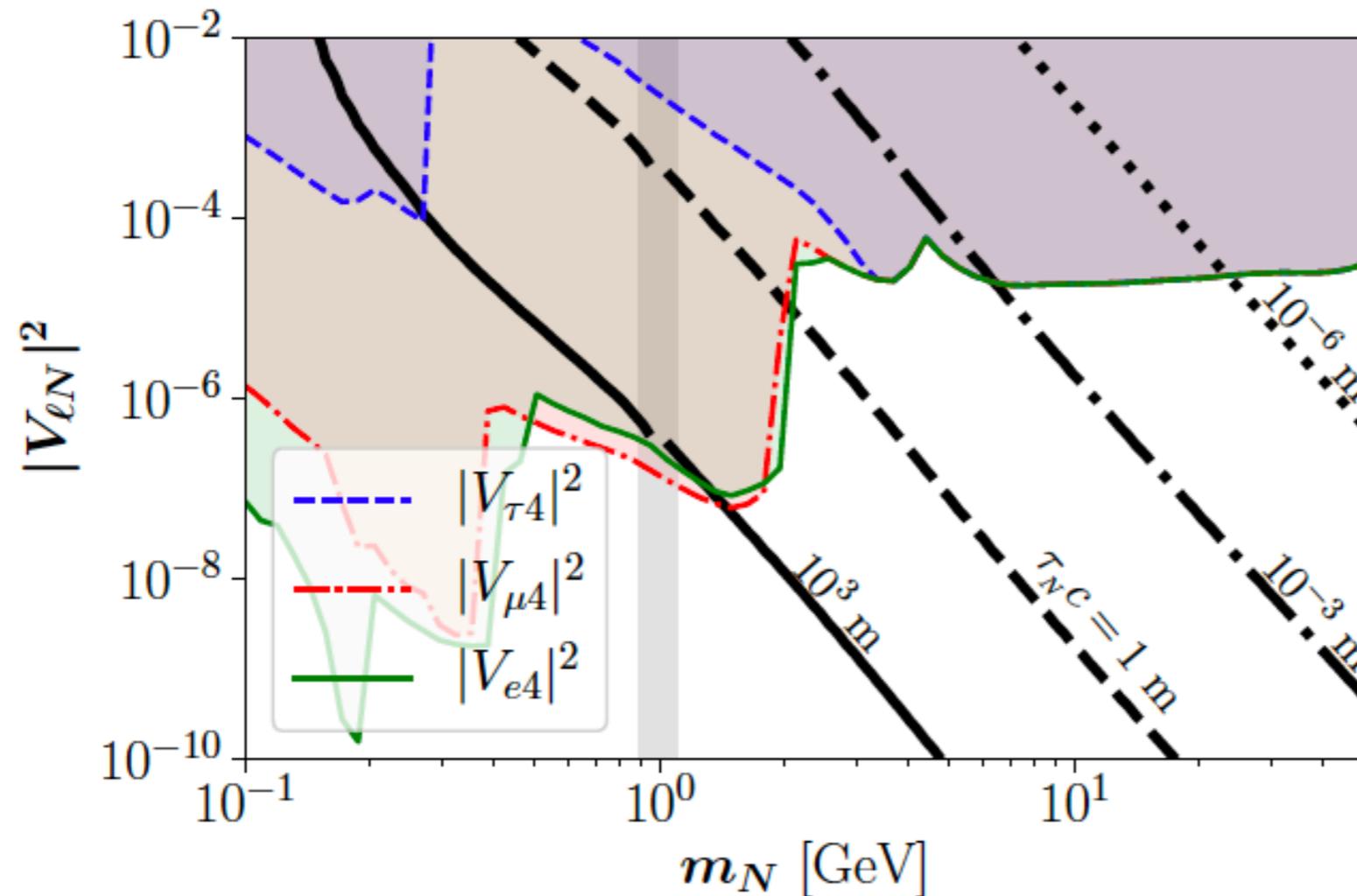


Figure from
Abada, Bernal, Losada, Marciano,
1807.10024

HNLs can be long-lived particles (LLPs)

HNL decay width calculation:

Atre, Han, Pascoli, Zhang, 0901.3589

Bondarenko, Boyarsky, Gorbunov, Ruchayskiy, 1805.08567

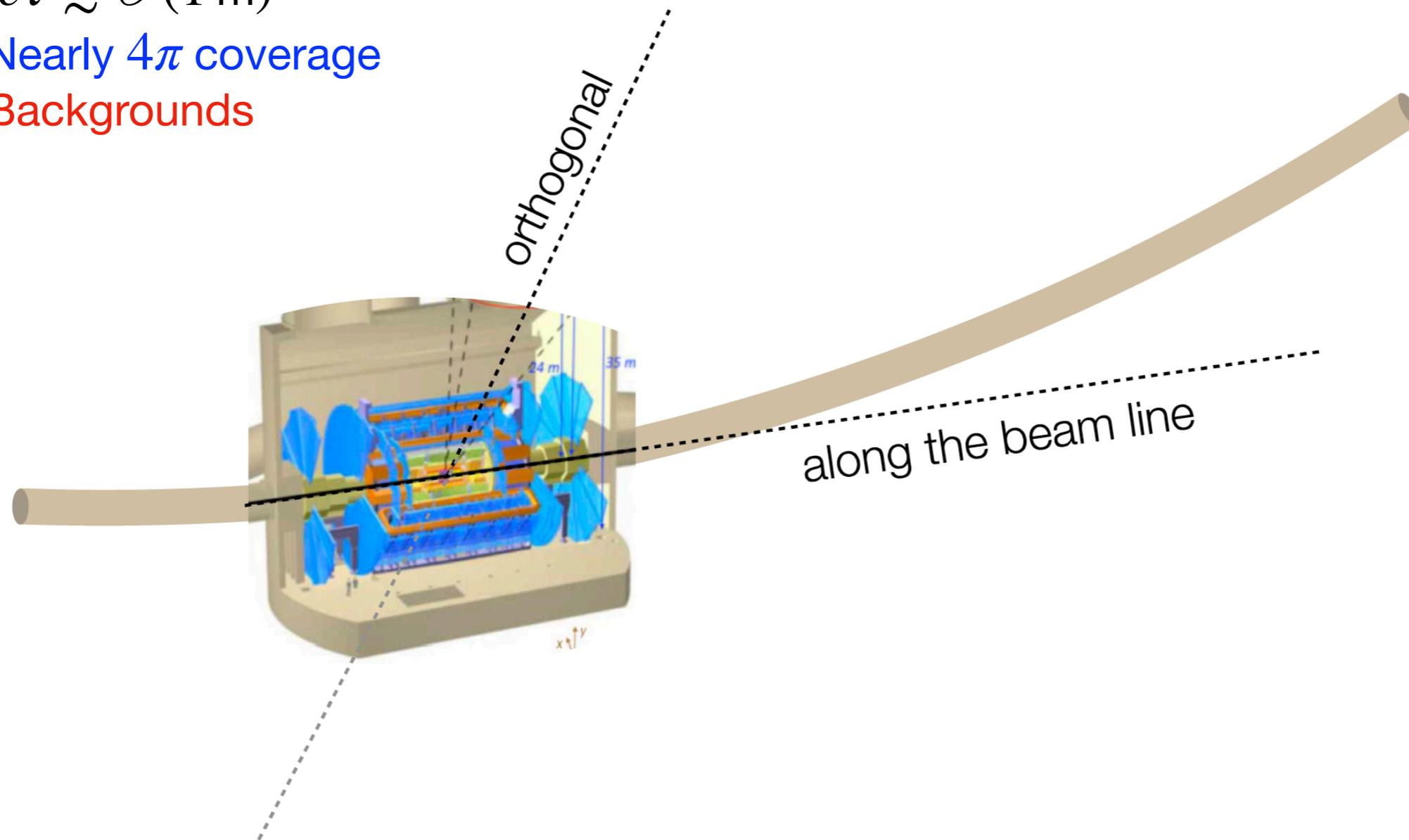
Experimental prospects at HL-LHC

ATLAS and CMS

$$c\tau \lesssim \mathcal{O}(1 \text{ m})$$

Nearly 4π coverage

Backgrounds



Images from [O. Brandt's talk at Oxford Particle Physics Seminar](#) on 9/6/2020

FASER: ForwArd Search ExpeRiment

Cylinder with $r = 10$ cm and $\ell = 1.5$ m

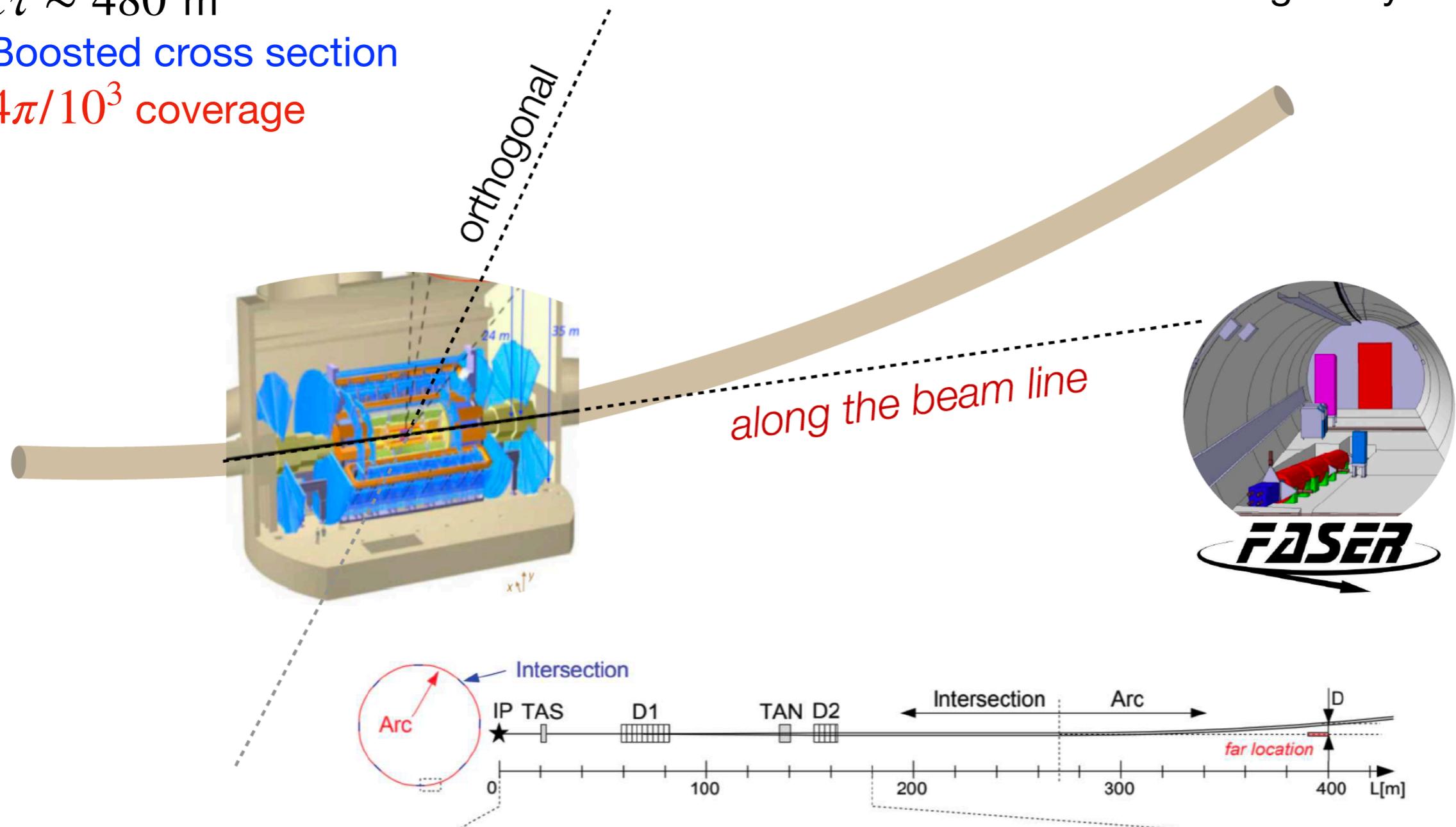
$c\tau \sim 480$ m

Boosted cross section

$4\pi/10^3$ coverage

Installed

Starts data taking this year!



Images from [O. Brandt's talk at Oxford Particle Physics Seminar](#) on 9/6/2020

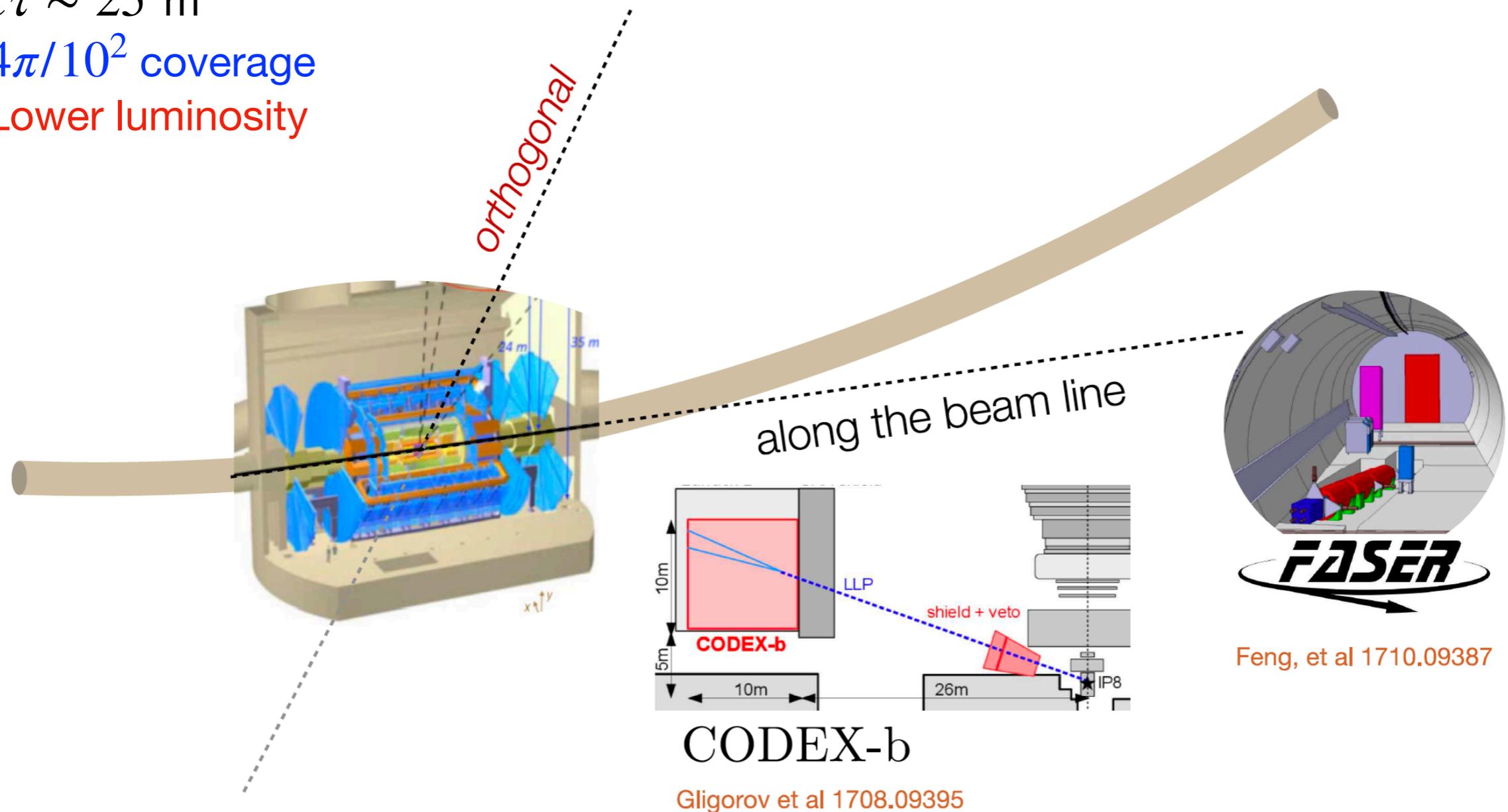
CODEX-b: COmpact Detector for EXotics at LHCb

Box of 10 m × 10 m × 10 m

$c\tau \sim 25$ m

$4\pi/10^2$ coverage

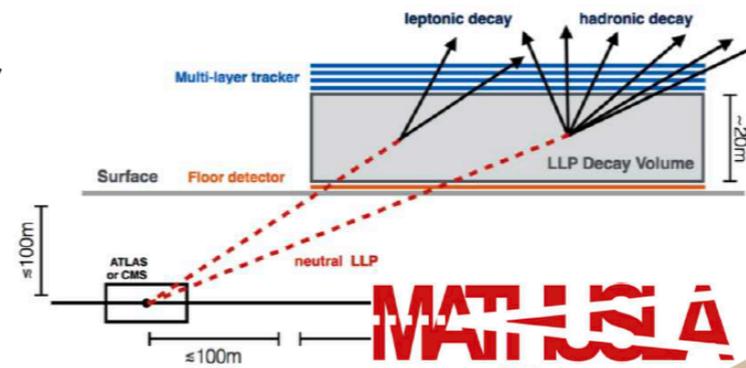
Lower luminosity



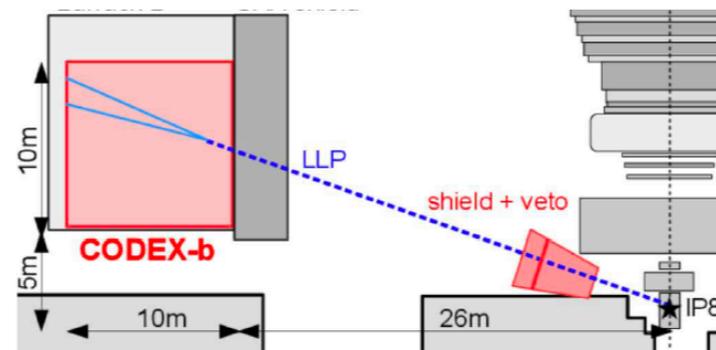
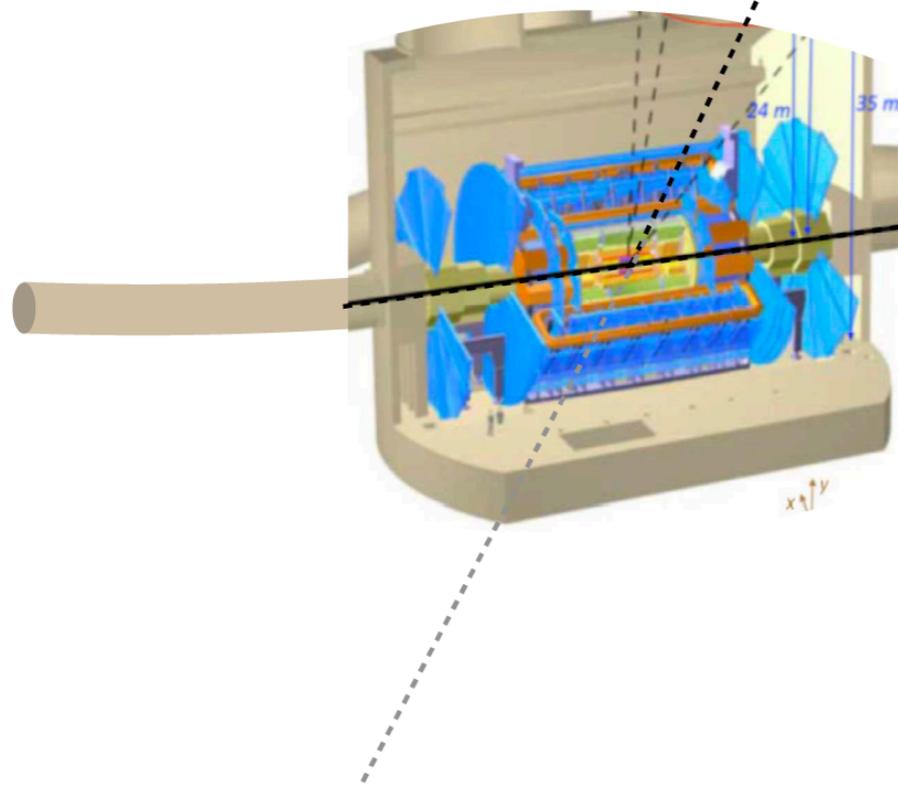
Images from [O. Brandt's talk at Oxford Particle Physics Seminar](#) on 9/6/2020

MATHUSLA: MAssive Timing Hodoscope for Ultra Stable neutral pArticles

Box of 100 m × 100 m × 25 m
 $c\tau \sim \mathcal{O}(100 \text{ m})$
 $4\pi/25$ coverage

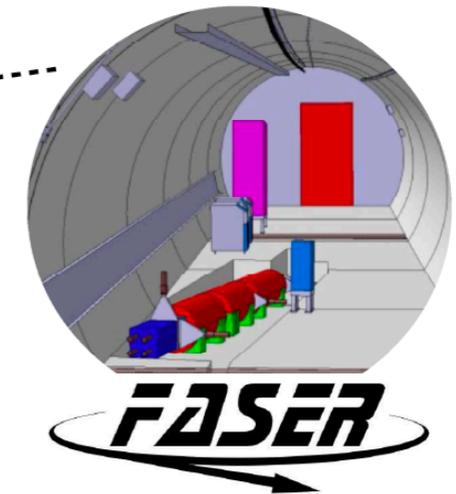


Chou et al 1606.06298



CODEX-b

Gligorov et al 1708.09395

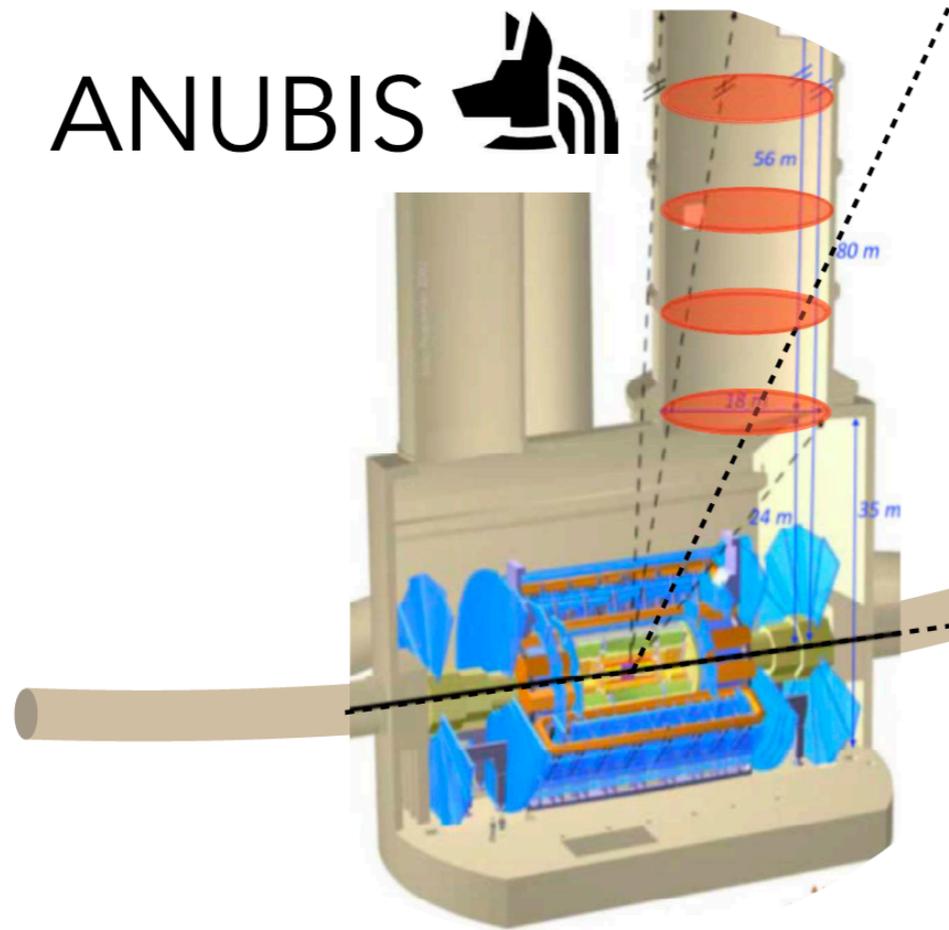


Feng, et al 1710.09387

Images from [O. Brandt's talk at Oxford Particle Physics Seminar](#) on 9/6/2020

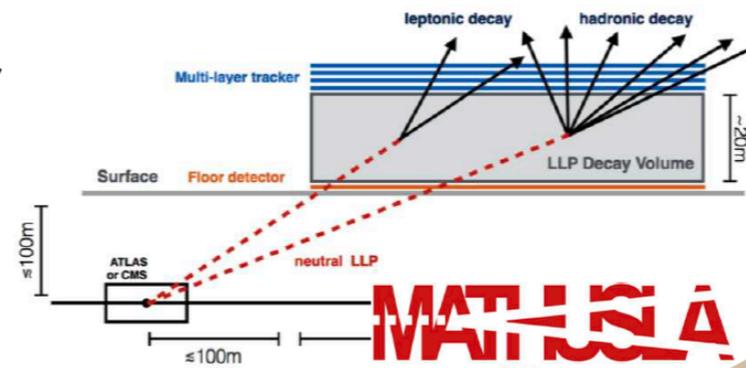
ANUBIS: AN Underground Belayed In-Shaft search experiment

ANUBIS 

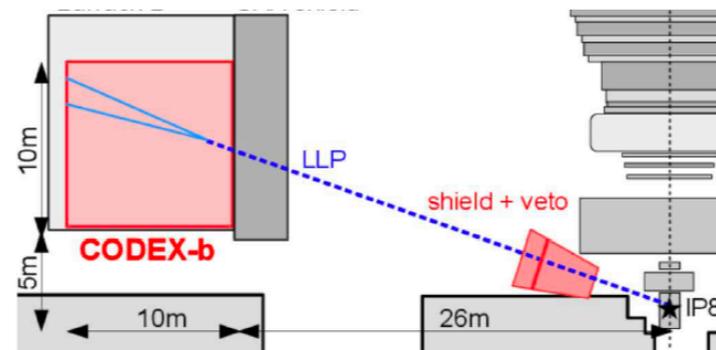


Cylinder with $r = 9$ m and $h = 56$ m
 $c\tau \sim$ few 10 m
 $4\pi/50$ coverage

Bauer, OB, Lee, Ohm 1909.13022

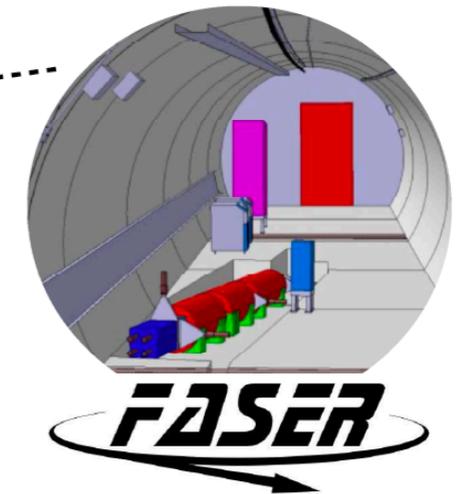


Chou et al 1606.06298



CODEX-b

Gligorov et al 1708.09395



Feng, et al 1710.09387

Images from [O. Brandt's talk at Oxford Particle Physics Seminar](#) on 9/6/2020

4-fermion pair- N_R operators

Name	Structure	$n_N = 1$	$n_N = 3$
\mathcal{O}_{dN}	$(\bar{d}_R \gamma^\mu d_R) (\bar{N}_R \gamma_\mu N_R)$	9	81
\mathcal{O}_{uN}	$(\bar{u}_R \gamma^\mu u_R) (\bar{N}_R \gamma_\mu N_R)$	9	81
\mathcal{O}_{QN}	$(\bar{Q} \gamma^\mu Q) (\bar{N}_R \gamma_\mu N_R)$	9	81
\mathcal{O}_{eN}	$(\bar{e}_R \gamma^\mu e_R) (\bar{N}_R \gamma_\mu N_R)$	9	81
\mathcal{O}_{NN}	$(\bar{N}_R \gamma_\mu N_R) (\bar{N}_R \gamma_\mu N_R)$	1	36
\mathcal{O}_{LN}	$(\bar{L} \gamma^\mu L) (\bar{N}_R \gamma_\mu N_R)$	9	81

Examples of UV completions

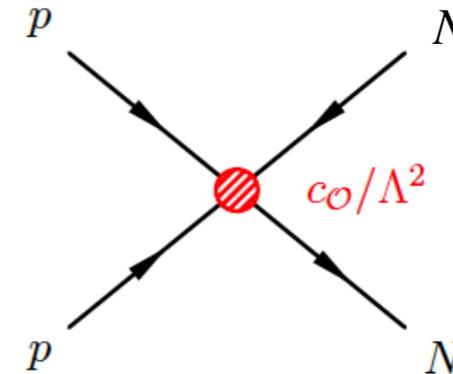
LQ state	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	Coupling	Operator
S_d	3	1	$-1/3$	g_{dN}	\mathcal{O}_{dN}
S_u	3	1	$2/3$	g_{uN}	\mathcal{O}_{uN}
S_Q	3	2	$1/6$	g_{QN}	\mathcal{O}_{QN}

$$\mathcal{L}_{S_d} = g_{dN} \bar{d}_R N_R^c S_d + g_{ue} \bar{u}_R e_R^c S_d + g_{QL} \bar{Q} \epsilon L^c S_d + \text{h.c.}$$

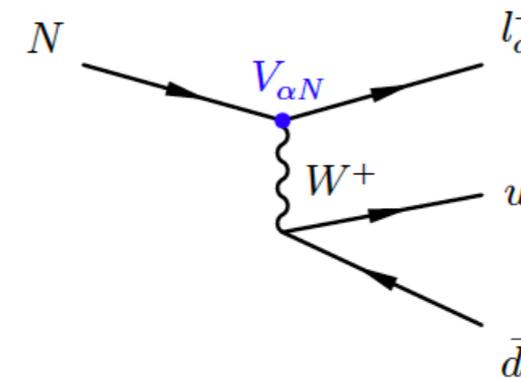
$$\mathcal{L}_{S_u} = g_{uN} \bar{u}_R N_R^c S_u + \text{h.c.}$$

$$\mathcal{L}_{S_Q} = g_{QN} \bar{Q} N_R S_Q + g_{dL} \bar{d}_R L \epsilon S_Q + \text{h.c.}$$

- HNLs are pair produced via pair- N_R operators



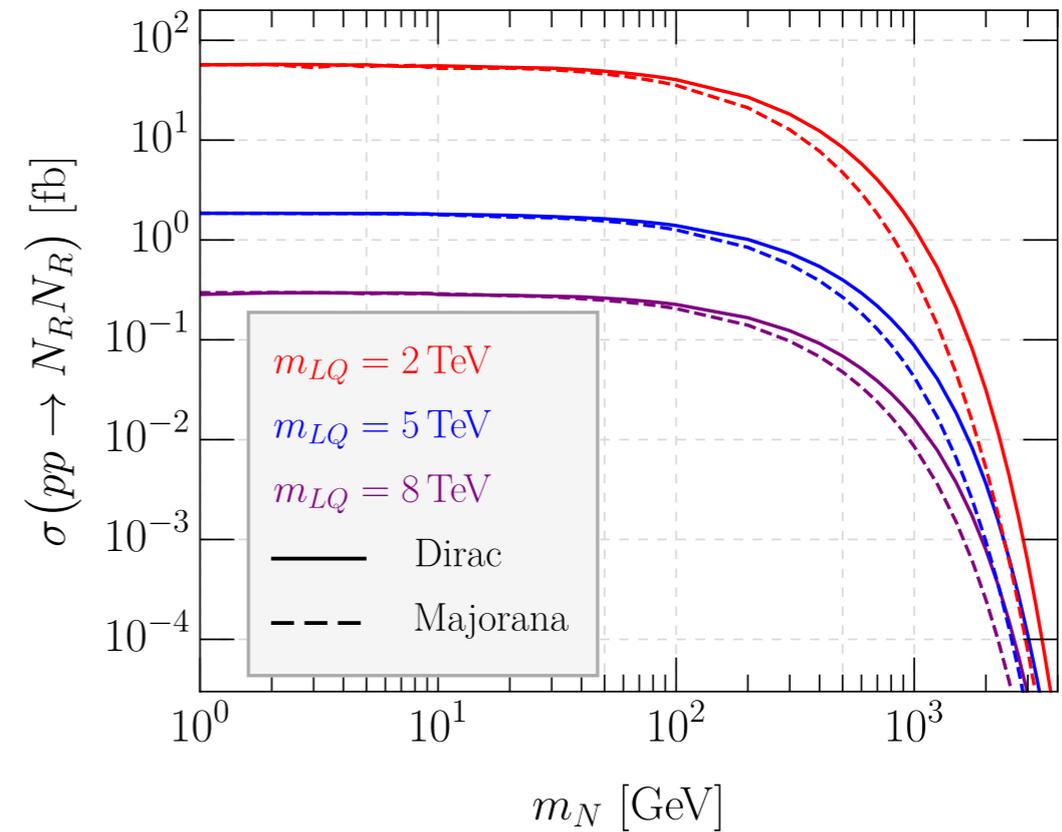
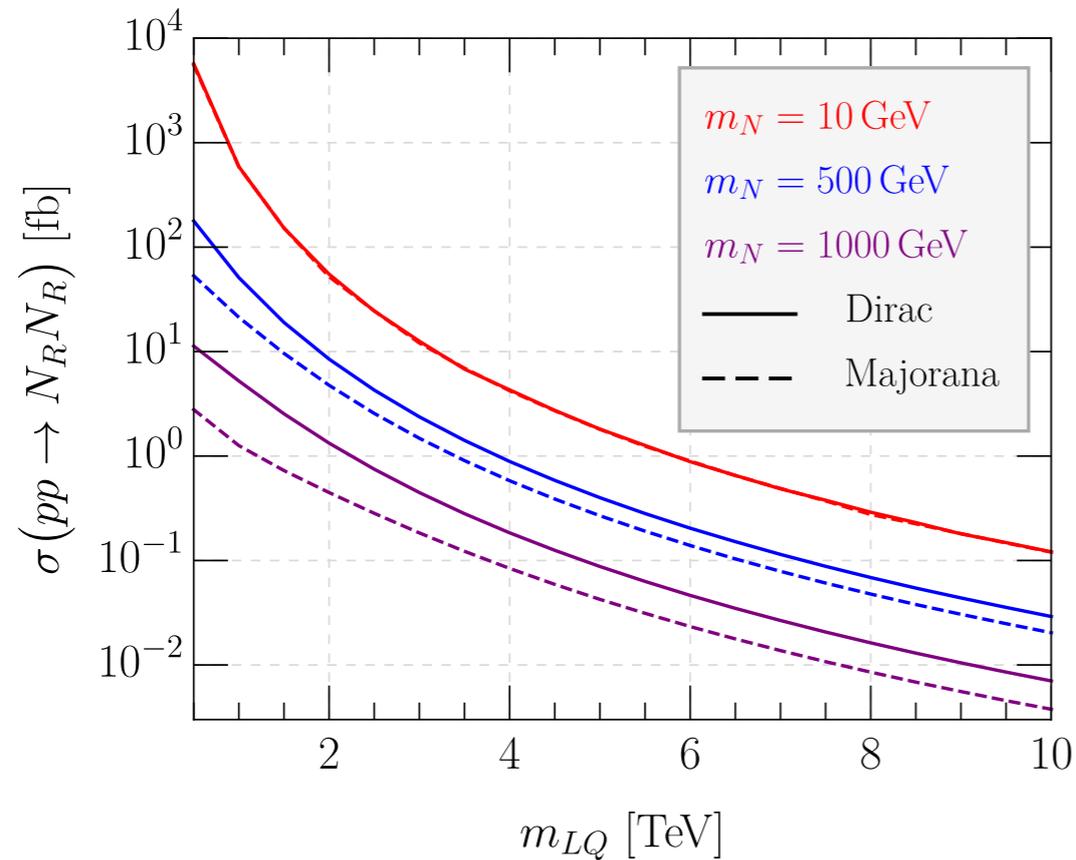
- Lightest HNL cannot decay via these operators; it decays via mixing



$$\frac{c_{qN}}{\Lambda^2} = \frac{g_{qN}^2}{2m_{S_q}^2}, \quad q = d, u, Q$$

4-fermion pair- N_R operators

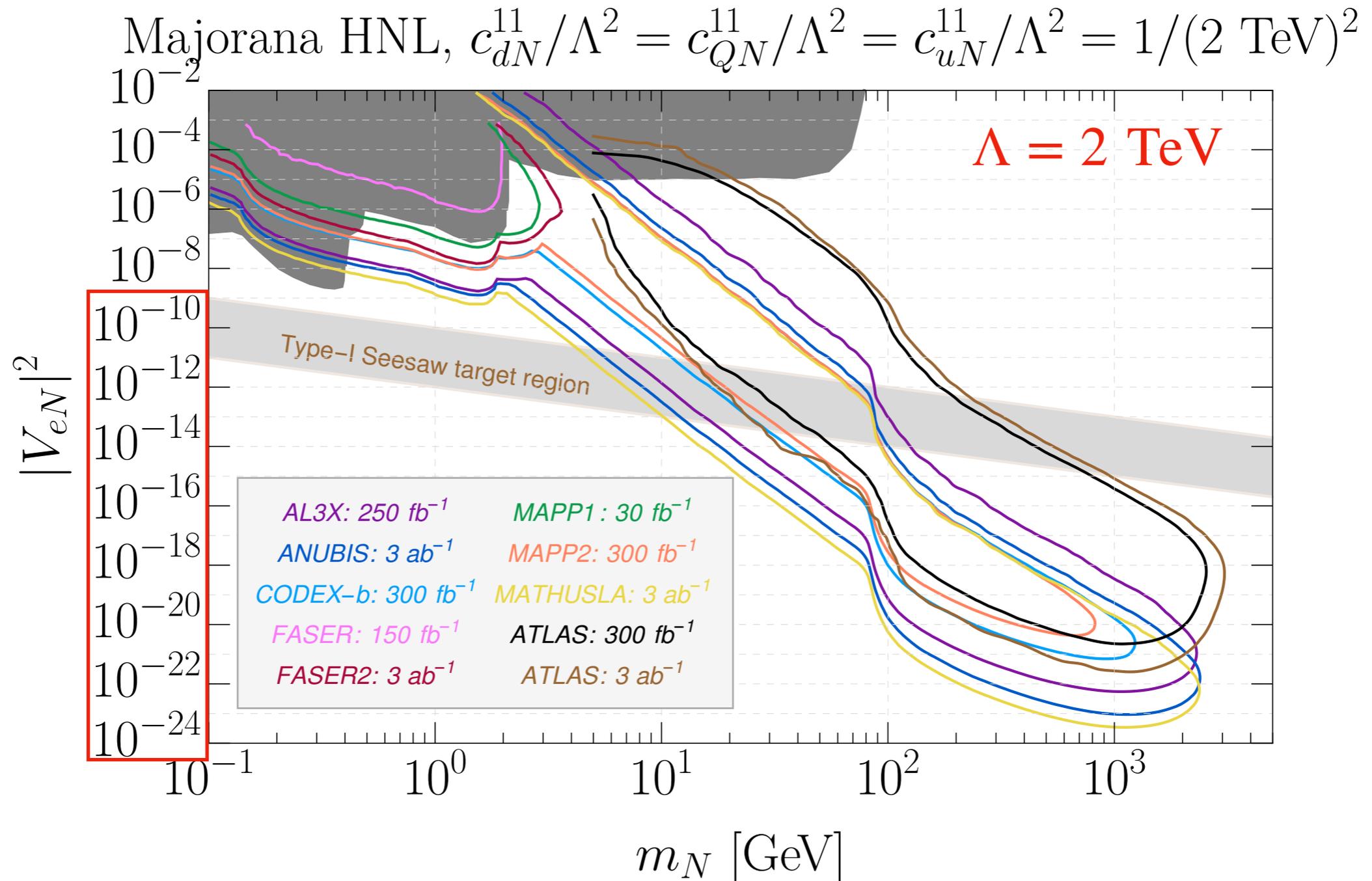
Examples of HNL pair production cross section for \mathcal{O}_{dN} ($g_{dN} = \sqrt{2} \Leftrightarrow c_{dN}^{11} = 1$)



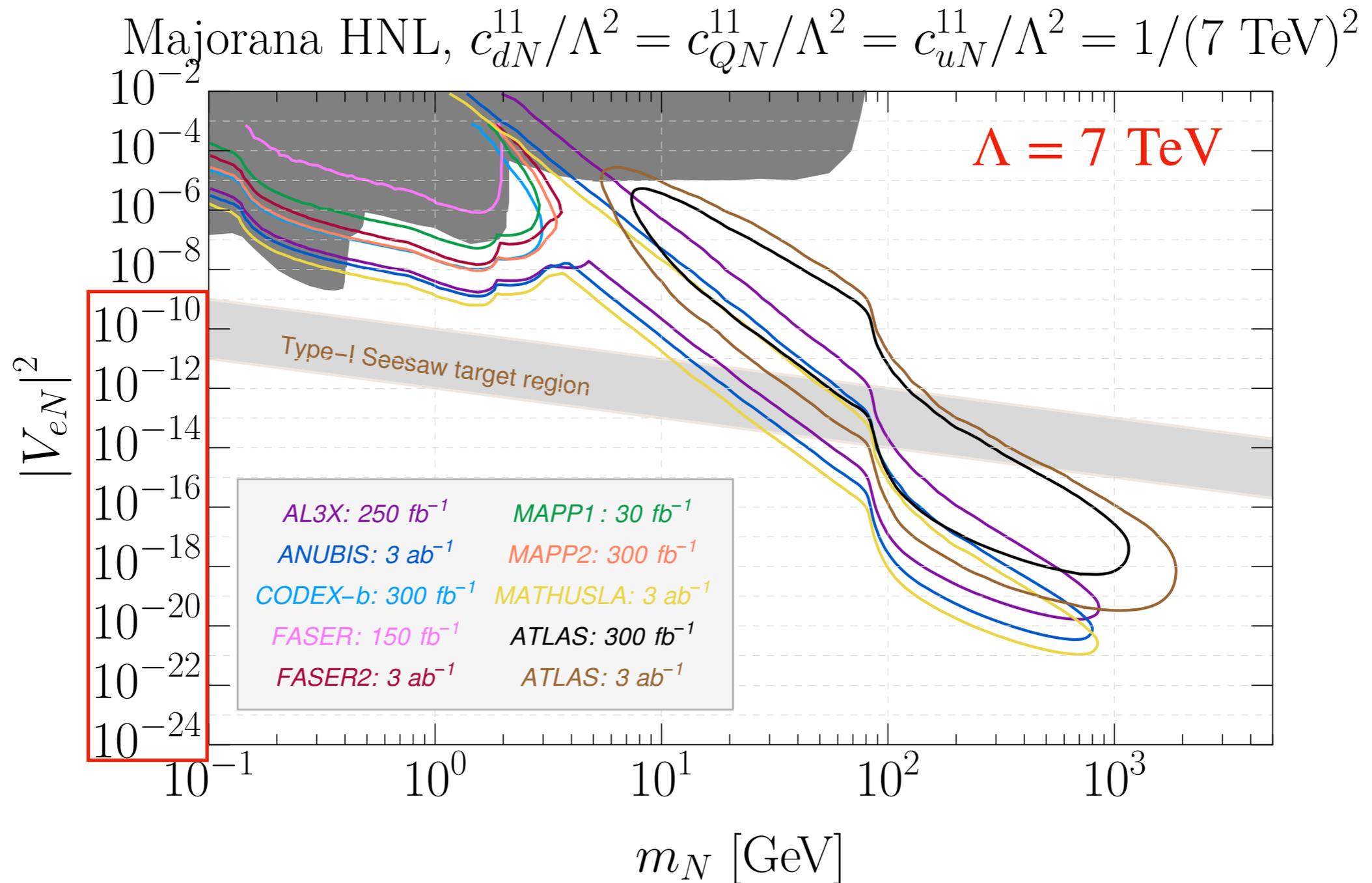
$$\sigma_D(d\bar{d} \rightarrow N\bar{N}) = \frac{c_{dN}^2}{192\pi\Lambda^4} s \sqrt{1 - \frac{4m_N^2}{s}} \left[1 + \frac{1}{3} \left(1 - \frac{4m_N^2}{s} \right) \right]$$

$$\sigma_M(d\bar{d} \rightarrow NN) = \frac{c_{dN}^2}{144\pi\Lambda^4} s \left(1 - \frac{4m_N^2}{s} \right)^{3/2} \Rightarrow \text{suppression for } m_N \gtrsim 100 \text{ GeV}$$

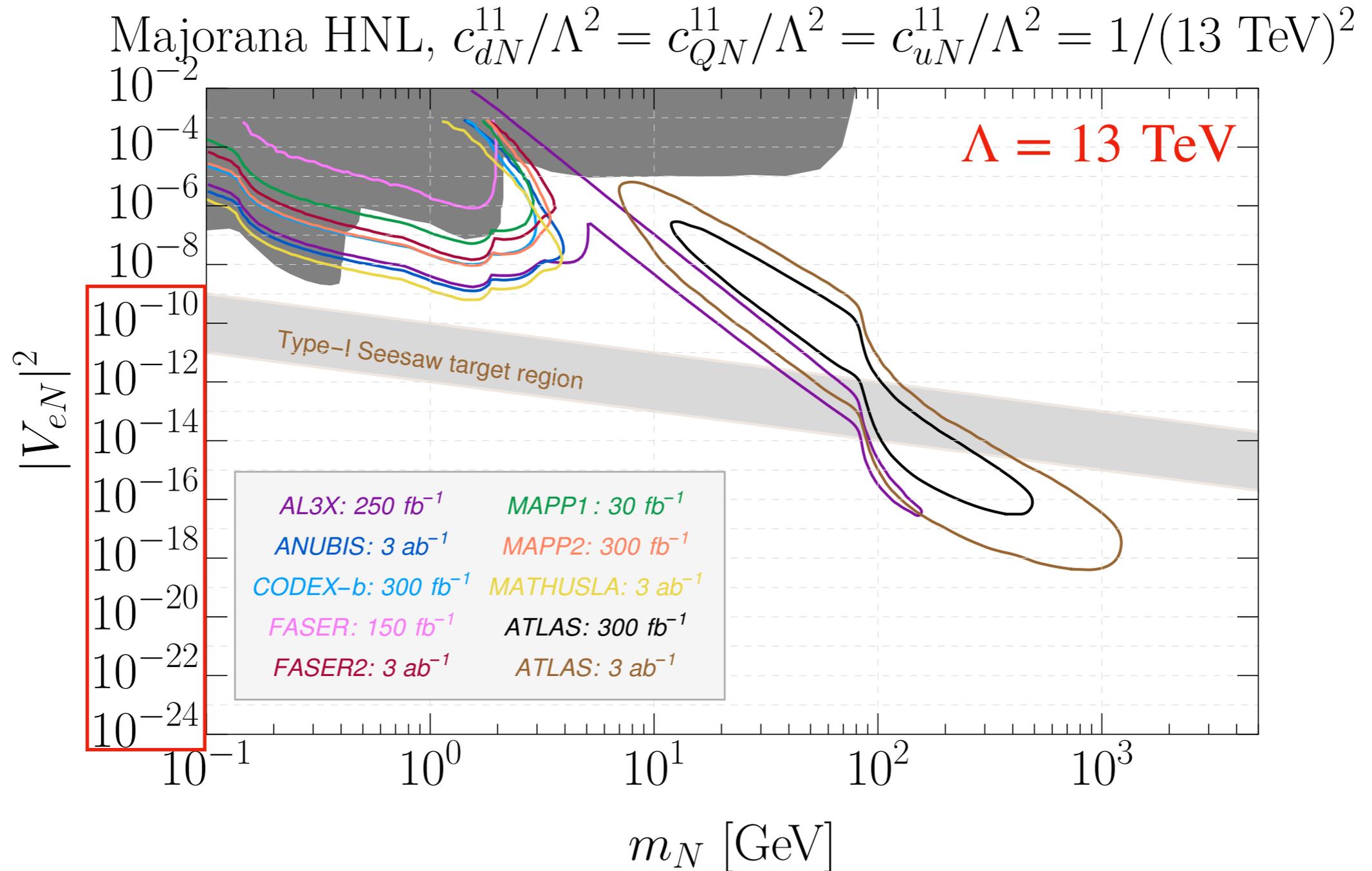
4-fermion pair- N_R operators: results



4-fermion pair- N_R operators: results

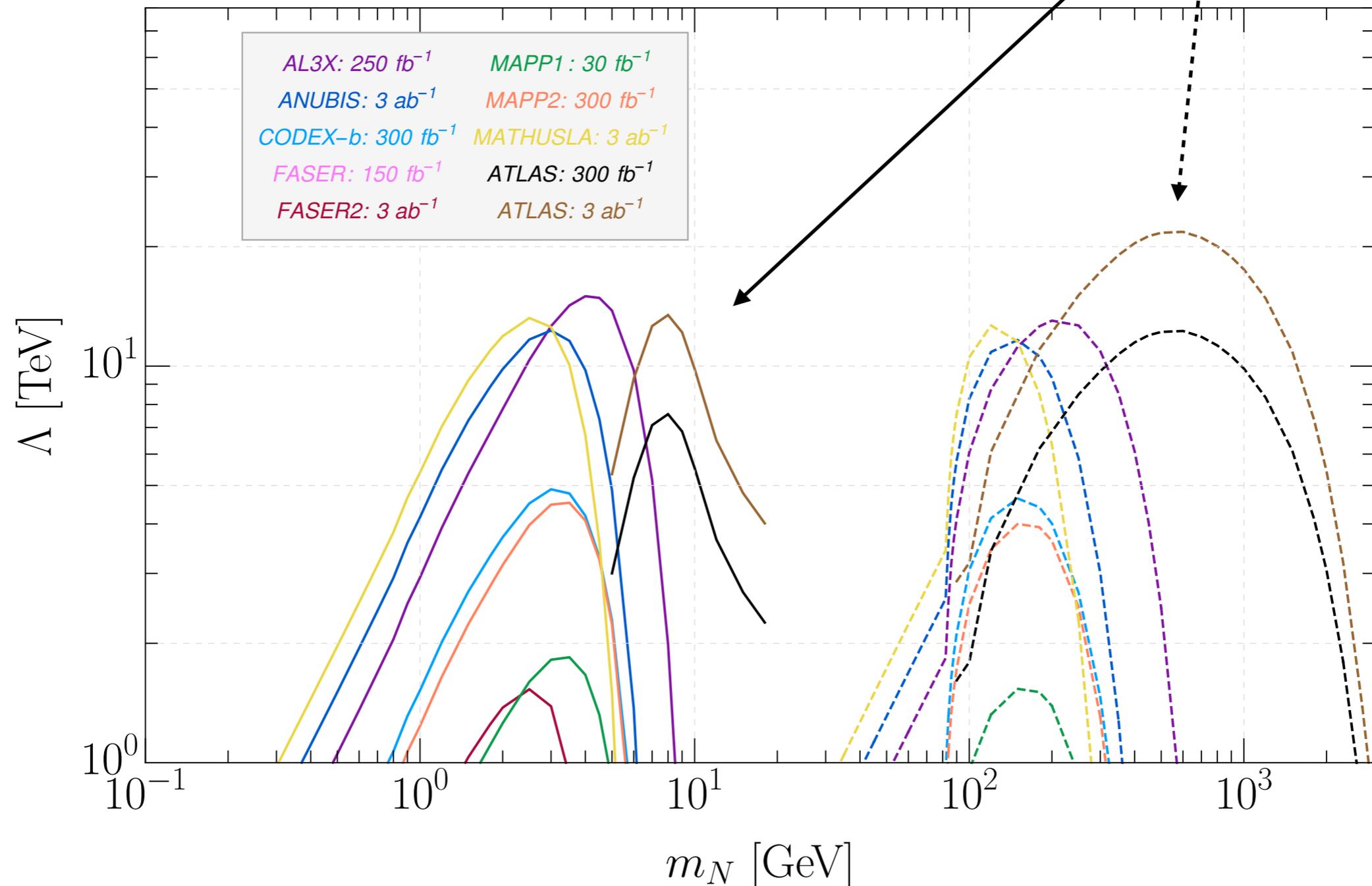


4-fermion pair- N_R operators: results



4-fermion pair- N_R operators: results

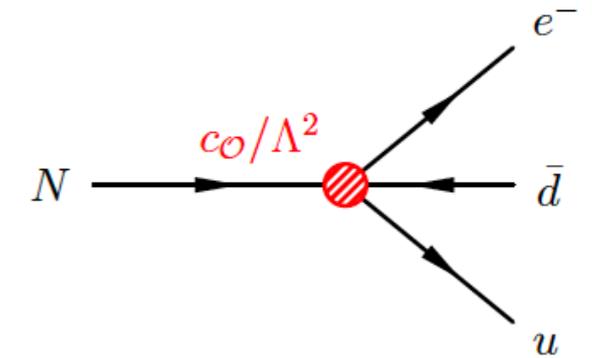
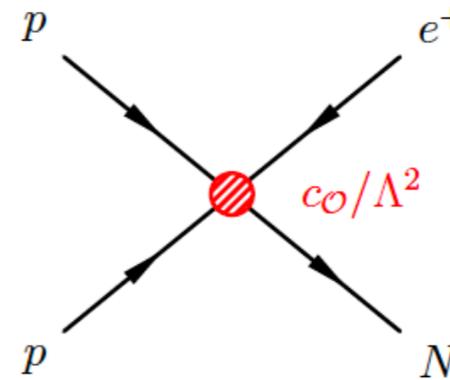
Dirac HNL, $c_{dN}^{11} = c_{QN}^{11} = c_{uN}^{11} = 1$, $|V_{eN}|^2 = 10^{-5}, 10^{-17}$



4-fermion single- N_R operators

Name	Structure (+ h.c.)	$n_N = 1$	$n_N = 3$
\mathcal{O}_{duNe}	$(\bar{d}_R \gamma^\mu u_R) (\bar{N}_R \gamma_\mu e_R)$	54	162
\mathcal{O}_{LNQd}	$(\bar{L} N_R) \epsilon (\bar{Q} d_R)$	54	162
\mathcal{O}_{LdQN}	$(\bar{L} d_R) \epsilon (\bar{Q} N_R)$	54	162
\mathcal{O}_{QuNL}	$(\bar{Q} u_R) (\bar{N}_R L)$	54	162
\mathcal{O}_{LNLe}	$(\bar{L} N_R) \epsilon (\bar{L} e_R)$	54	162

- Both HNL production and decay can be dominated by the operator



Examples of UV completions

Heavy scalar	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	Operator	Matching relation
Leptoquark S_d	3	1	$-1/3$	\mathcal{O}_{duNe}	$\frac{c_{duNe}}{\Lambda^2} = \frac{g_{dN} g_{ue}}{2m_{S_d}^2}$
Leptoquark S_Q	3	2	$1/6$	\mathcal{O}_{LdQN}	$\frac{c_{LdQN}}{\Lambda^2} = \frac{g_{dL} g_{QN}}{m_{S_Q}^2}$
Inert doublet Φ	1	2	$1/2$	\mathcal{O}_{LNQd}	$\frac{c_{LNQd}}{\Lambda^2} = \frac{g_{LN} g_{Qd}}{m_\Phi^2}$
				\mathcal{O}_{QuNL}	$\frac{c_{QuNL}}{\Lambda^2} = \frac{g_{Qu} g_{LN}}{m_\Phi^2}$

$$\mathcal{L}_\Phi = g_{Qd} \bar{Q} \Phi d_R + g_{Qu} \bar{Q} \tilde{\Phi} u_R + g_{LN} \bar{L} \tilde{\Phi} N_R + \text{h.c.}$$

4-fermion single- N_R operators

Example of HNL single production cross sections

$$\sqrt{s} = 14 \text{ TeV}, \quad \Lambda = 5 \text{ TeV}, \quad |V_{eN}|^2 = 10^{-5}$$

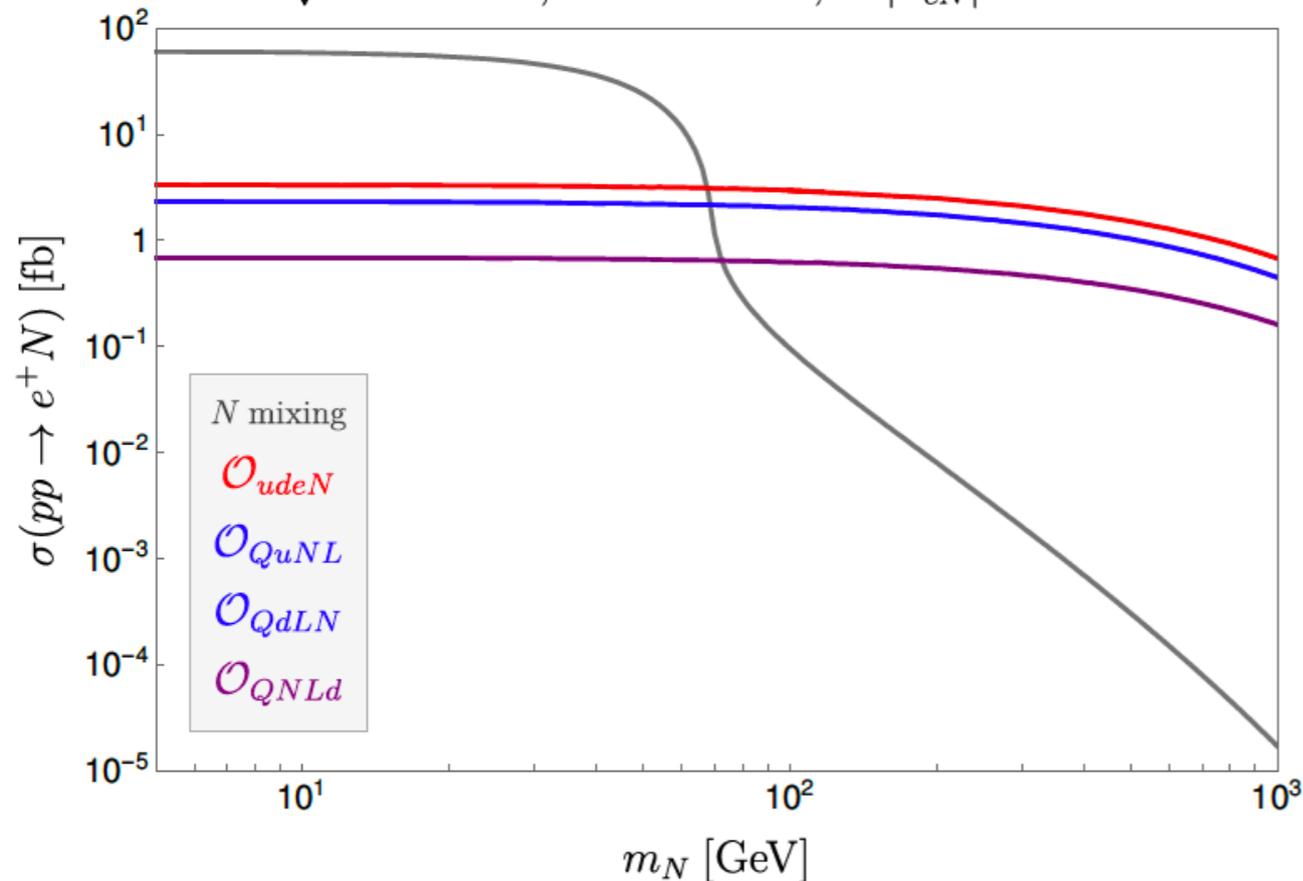


Figure from R. Beltrán's master thesis

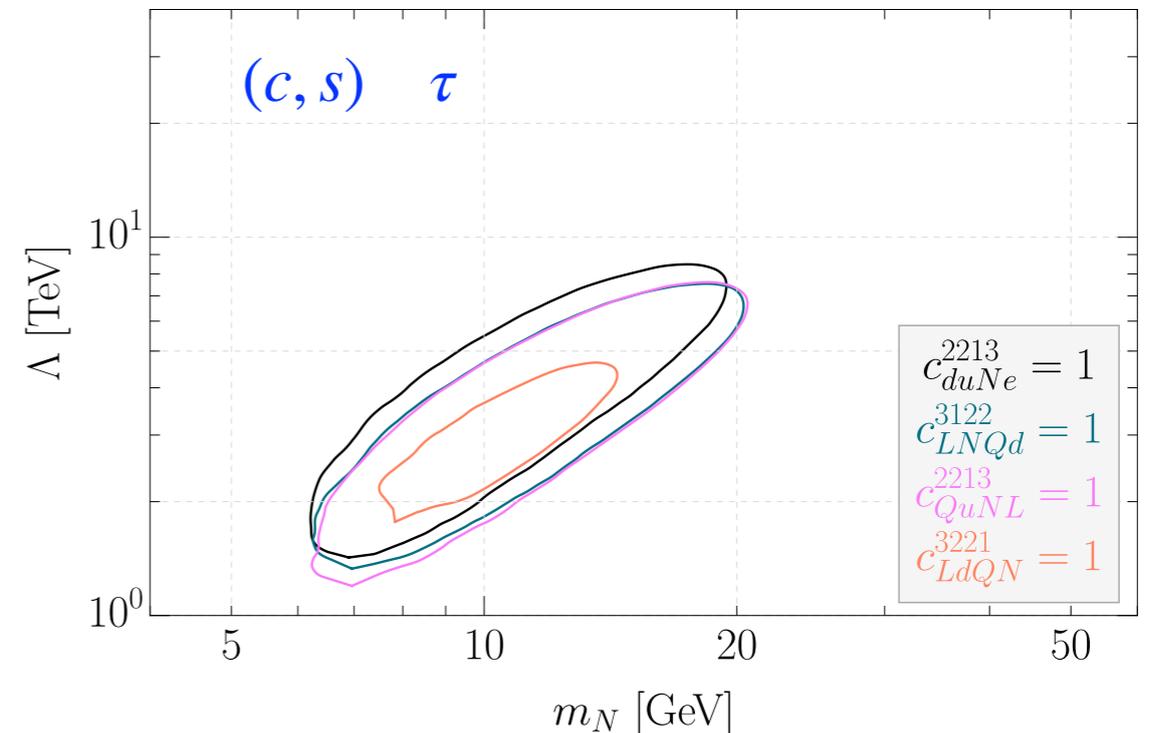
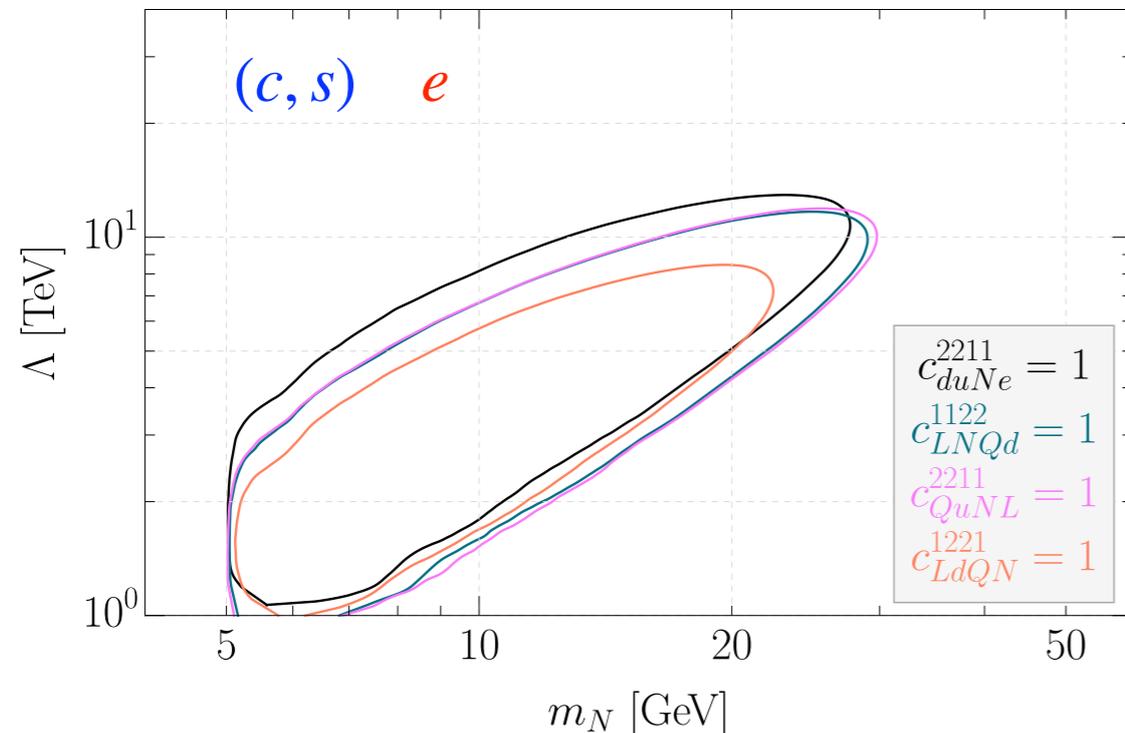
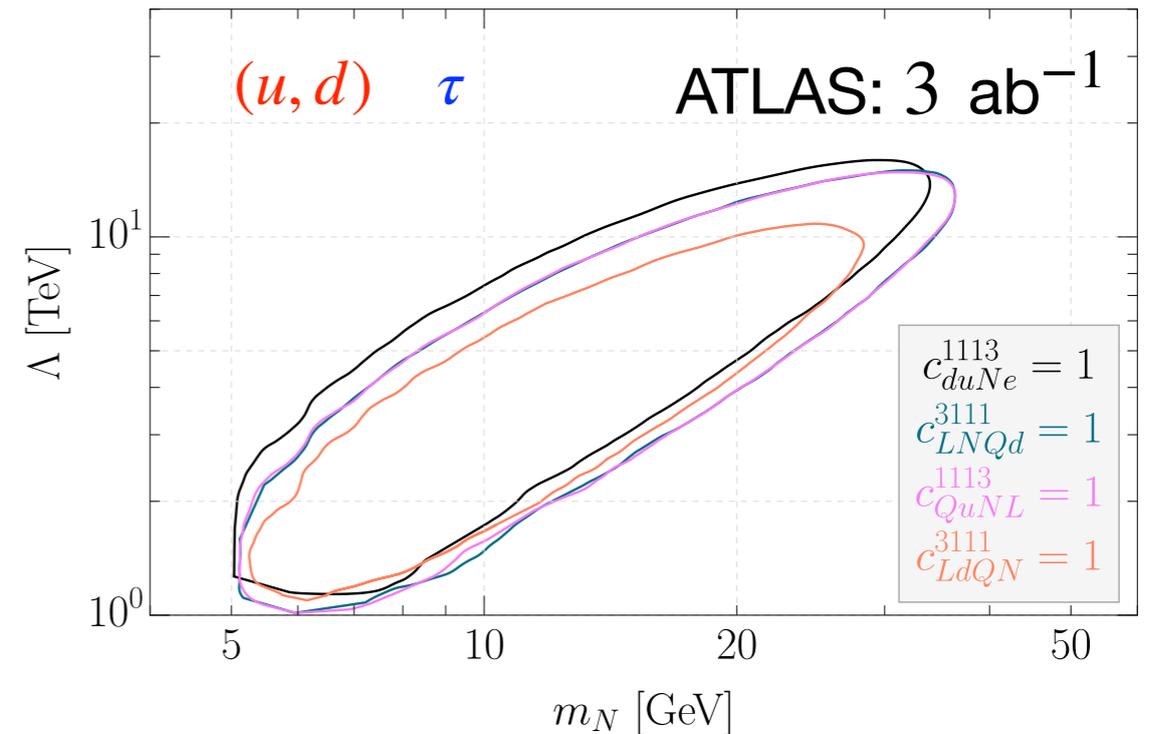
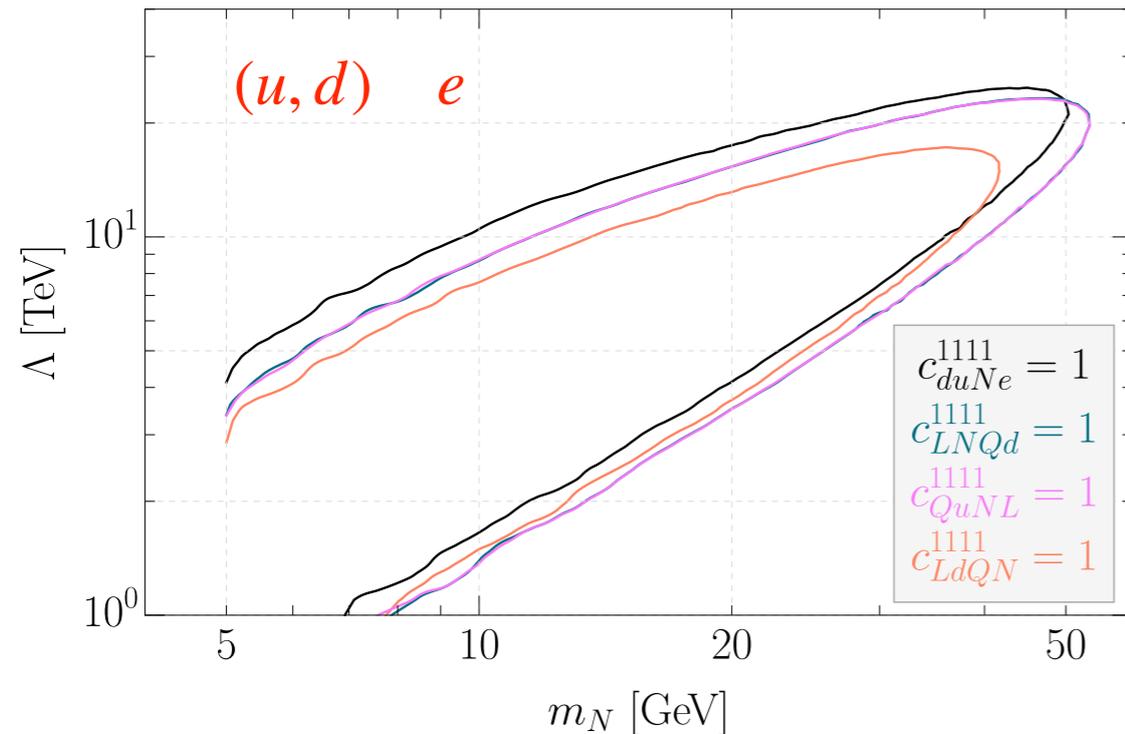
$$\sigma^{\text{mix}} \propto |V_{eN}|^2$$

$$\sigma^{\mathcal{O}} \propto \Lambda^{-4}$$

Partial decay width of HNL

$$\Gamma(N \rightarrow \ell qq') = \frac{c_{\mathcal{O}}^2 m_N^5}{f_{\mathcal{O}} 512 \pi^3 \Lambda^4}, \quad f_{\mathcal{O}} = 1 \text{ (4) for } \mathcal{O}_{duNe} \text{ (3 remaining operators)}$$

4-fermion single- N_R operators: results



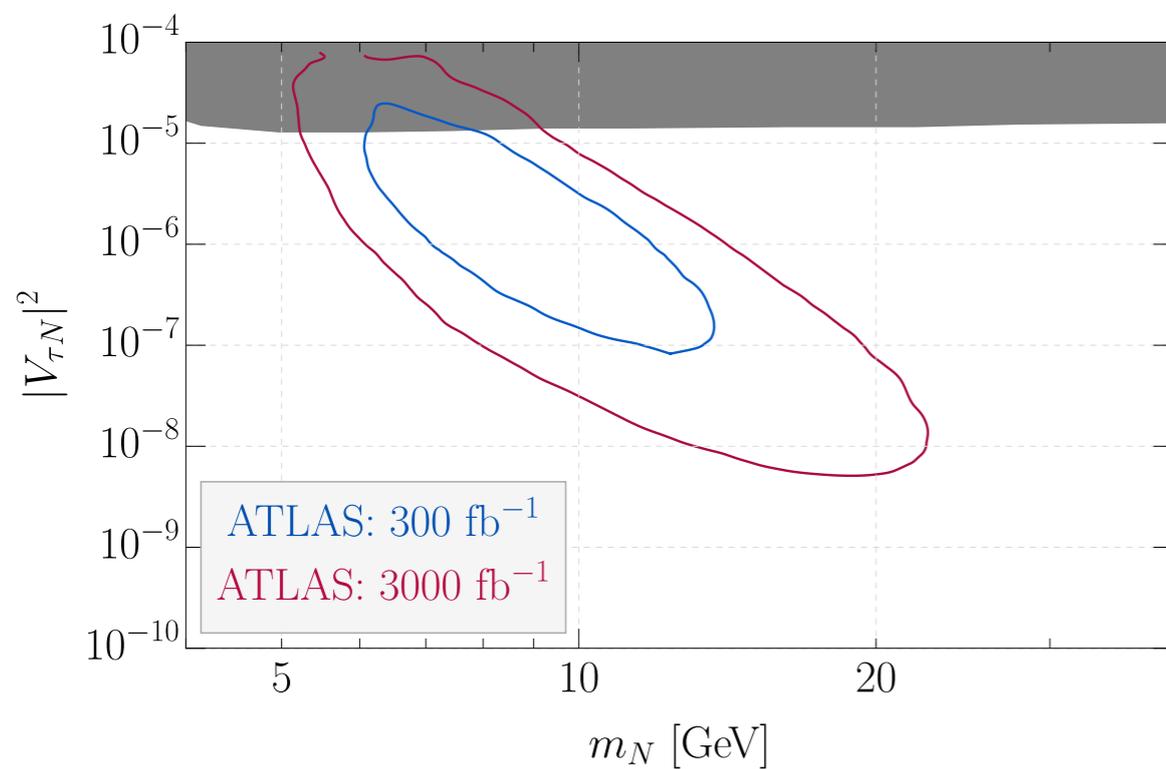
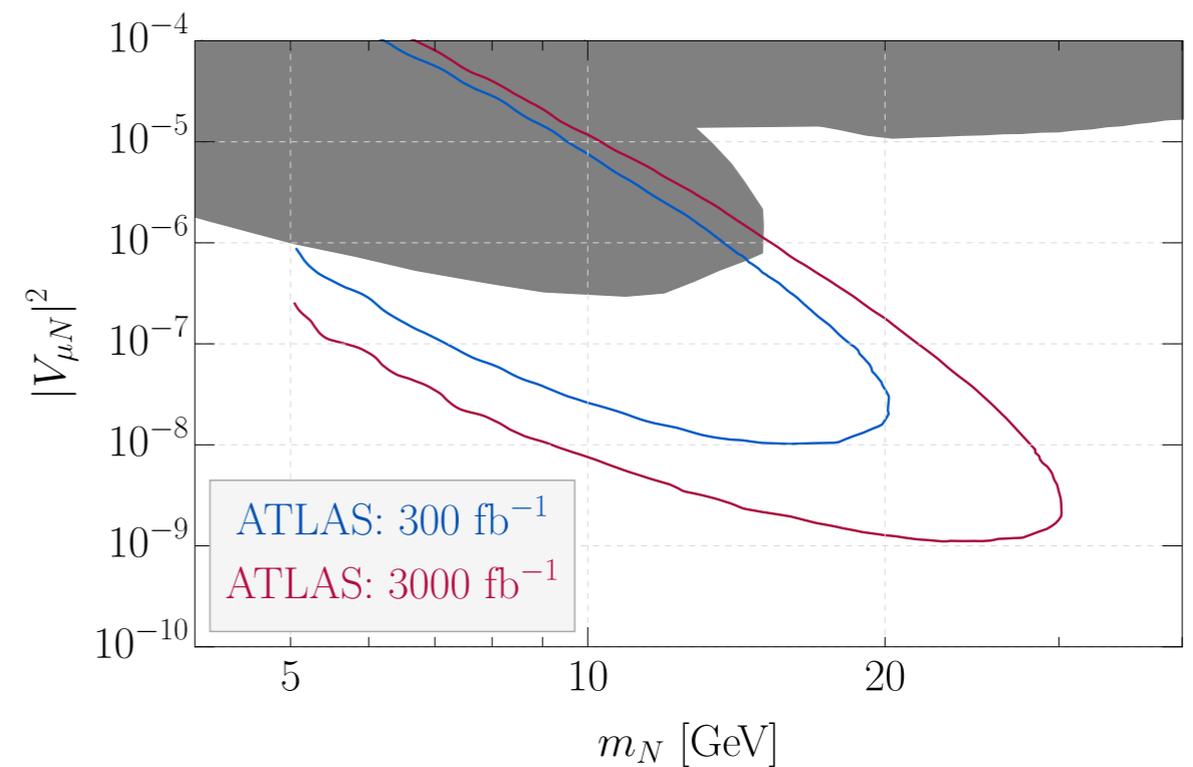
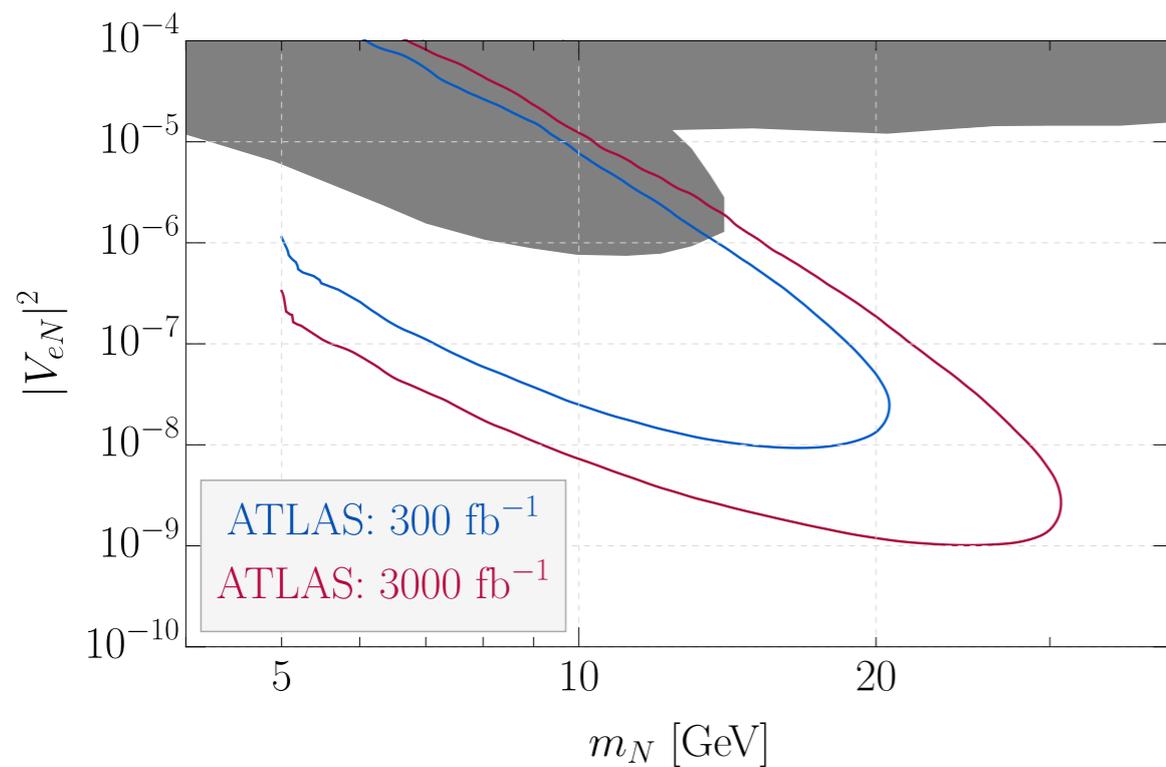
Assumption: both HNL production and decay are dominated by the operator
(fulfilled everywhere in the plots if $|V_{\alpha N}|^2 \lesssim 10^{-9}$)

Conclusions

- ▶ Neutrino masses may be pointing to the existence of HNLs
- ▶ HNLs may be long-lived
- ▶ Interesting experimental prospects at the HL-LHC with ATLAS/CMS and future detectors:
AL3X, ANUBIS, CODEX-b, FASER, MATHUSLA and MoEDAL-MAPP
- ▶ NSMEFT represents an excellent physics case!
- ▶ New physics scales in excesses of 20 TeV could be probed at the HL-LHC

Backup slides

Minimal 3+1 scenario



Update of [Cottin, Helo, Hirsch, 1806.05191](#)

Other experiments

AL3X: A Laboratory for Long-Lived eXotics

@ALICE

Cylinder with $0.85 \text{ m} < r < 5 \text{ m}$ and $\ell = 12 \text{ m}$

$c\tau \sim 10 \text{ m}$

MoEDAL-MAPP: MoEDAL's Apparatus for Penetrating Particles

(MoEDAL: Monopole and Exotics Detector at the LHC)

@LHCb

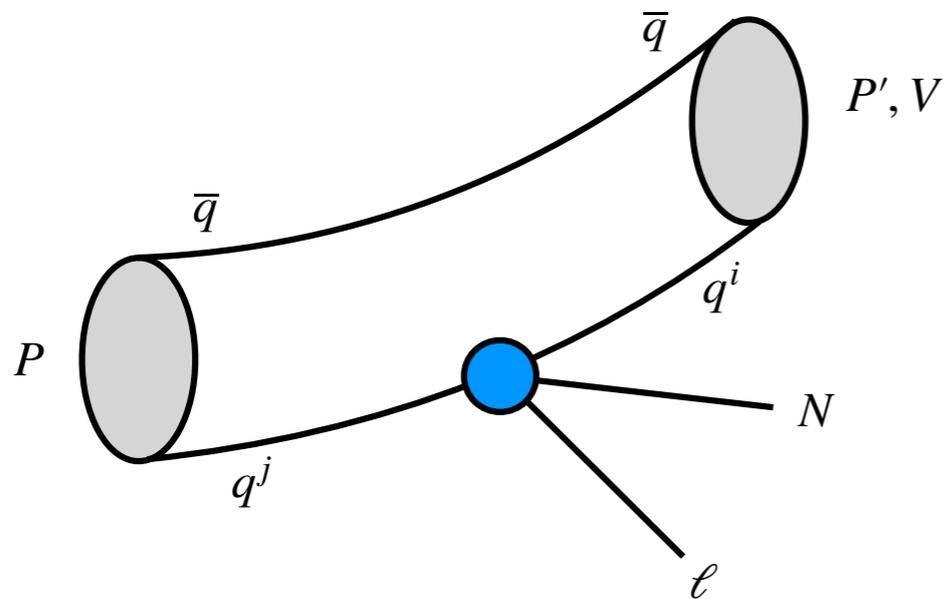
MAPP1: $\sim 130 \text{ m}^3$

MAPP2: $\sim 430 \text{ m}^3$

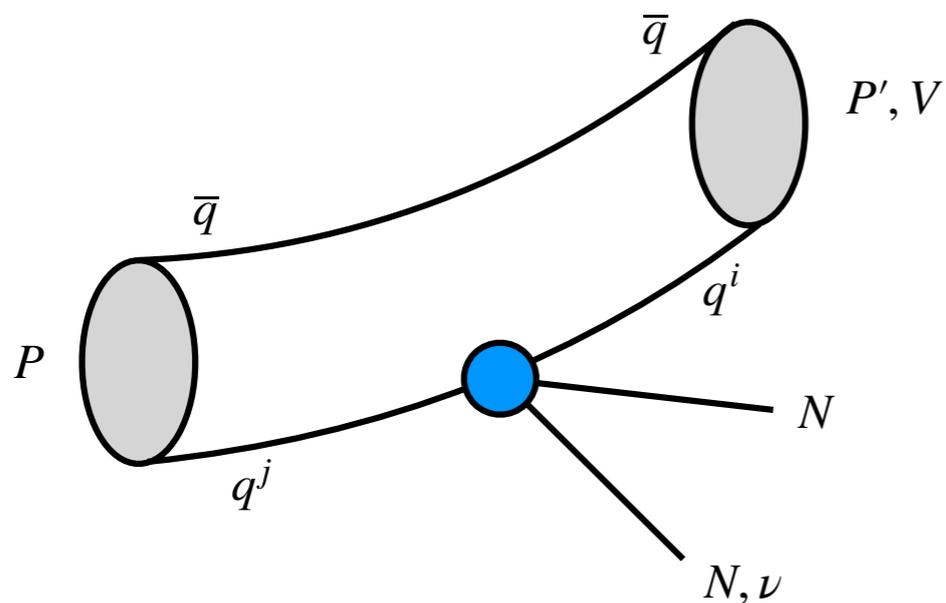
$c\tau \sim 50 \text{ m}$

HNL production in meson decays

HNLs with $m_N \lesssim 5$ GeV can be produced in meson decays



- “Charged current” single- N_R operators
[De Vries et al., 2010.07305](#)



- “Neutral current” pair- and single- N_R operators
[Beltrán et al., 22XX.YYYY](#)