

# Seeking New Physics at Neutrino Oscillation Experiments

based on : Babu, VB, de Gouvea, Machado 2108.11961 (PRD 2022)  
VB, Kopp 2109.08157 (PRD 2022)  
Babu, VB, de Gouvea, Machado 22xx.xxxxx

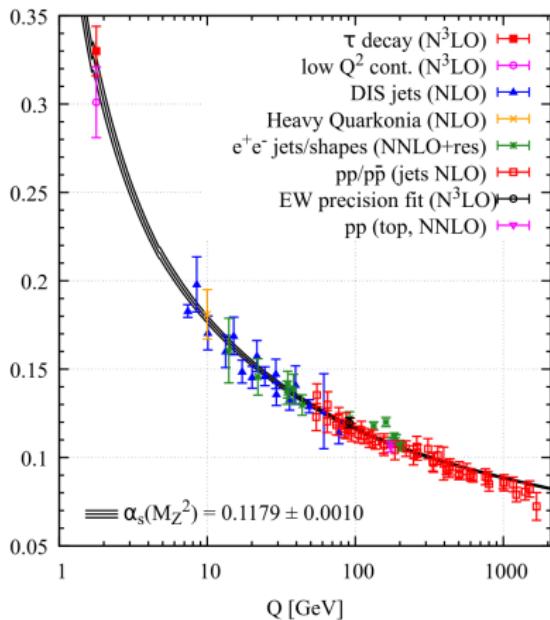
Vedran Brdar



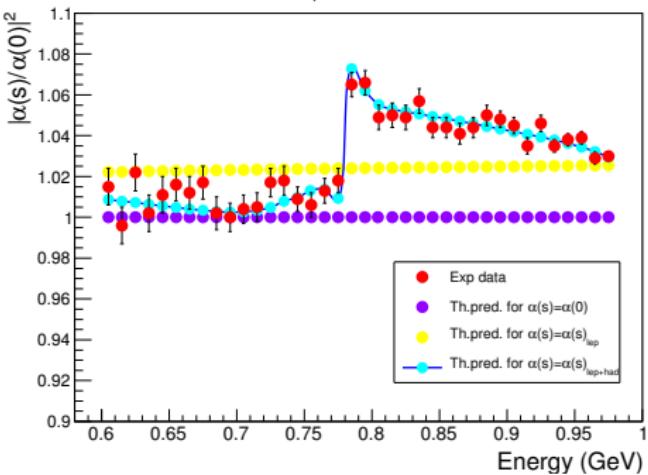
Northwestern  
University

# Running Couplings

PDG

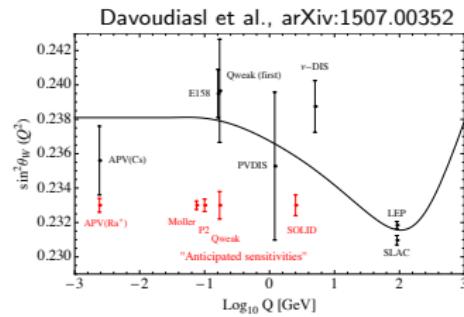
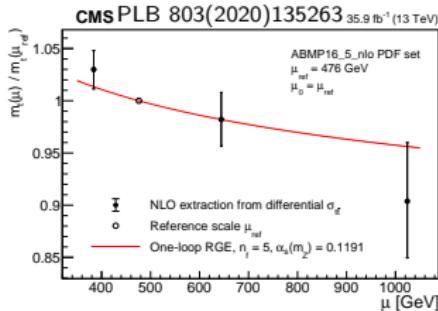
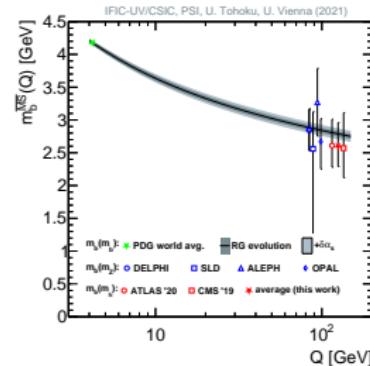
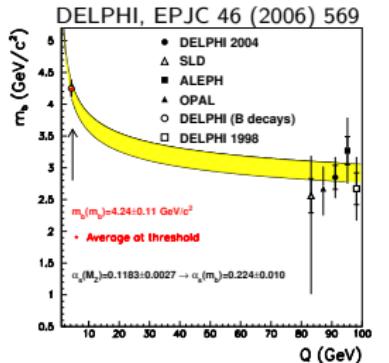


KLOE-2 collaboration, 1609.06631



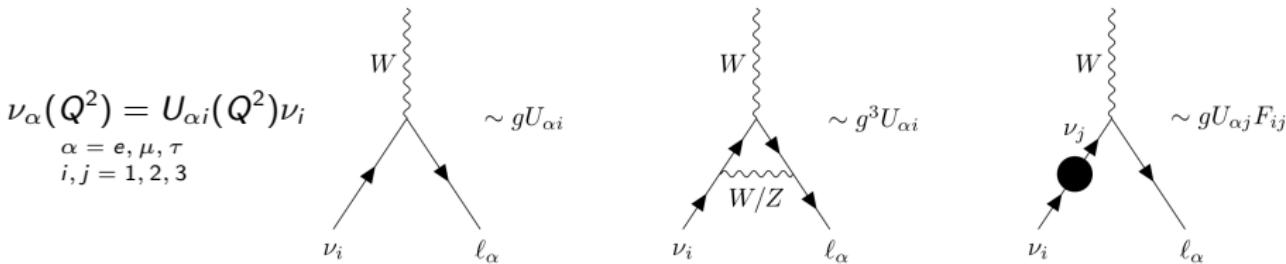
# Further Examples for Running in SM

- parameters in the Standard Model and Beyond are energy dependent

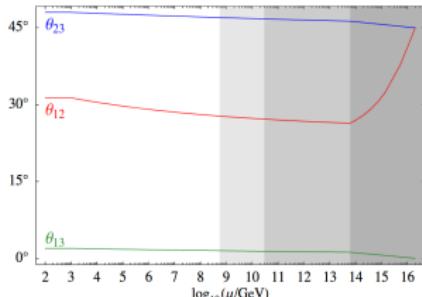


# What about the Neutrino Sector?

# Energy Dependence of the PMNS Matrix



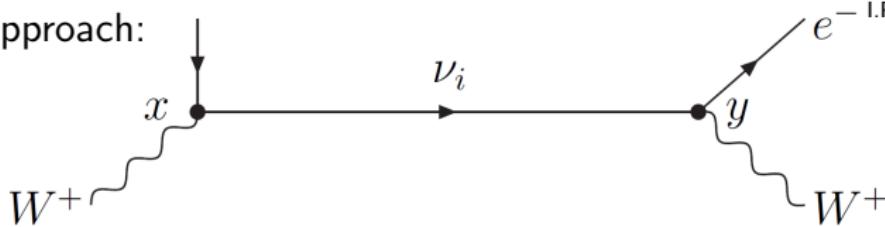
- ▶ when higher-order quantum effects are included, do  $U_{\alpha i}$  matrix elements change relative to one another?
- ▶ higher-order electroweak corrections lead to very minor effects but in neutrino mass models  $U_{\alpha i}$  can change in a flavor-dependent way



Balaji et al. (PLB 481 (2000))  
Casas et al. (NPB 573 (2000))  
Antusch et al. (JHEP 03 (2005) 024)  
Goswami et al. (PRD 80 (2009))

# Connection to Neutrino Experiments

QFT approach:



M. Beuthe, arXiv:hep-ph/0109119  
I.P. Volobuev, arXiv:1703.08070

► amplitude:  $\sum_i U_{\alpha i}^* e^{-i \frac{m_i^2 - p^2}{2|\vec{p}|} L} U_{\beta i} \rightarrow P_{\alpha\beta} = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{-i \frac{m_j^2 - m_k^2}{2|\vec{p}|} L}$

**PRODUCTION:** contribution to the amplitude should be Lorentz invariant;  
in the rest frame of decaying pion  $E = m_\pi \rightarrow U_{\alpha i} = U_{\alpha i}(Q_p^2 = m_\pi^2)$

**DETECTION:**  $U_{\beta i}(Q_d^2)$  where  $Q_d^2$  has no dependence on  $m_\pi^2$

**PROPAGATION:** neutrino is on shell ( $Q^2 = p_\nu^2 = m_\nu^2 \approx 0$ )  
 $\implies m_i$  in formula is the mass at  $\sqrt{Q^2} = m_i$

# Neutrino Oscillations in Vacuum

2 flavors:

$$U(Q^2) = \begin{pmatrix} \cos \theta(Q^2) & \sin \theta(Q^2) \\ -\sin \theta(Q^2) & \cos \theta(Q^2) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & e^{i\tilde{\beta}(Q^2)} \end{pmatrix}$$

$$\theta(Q_p^2) \equiv \theta_p, \quad \theta(Q_d^2) \equiv \theta_d, \quad \text{and} \quad \tilde{\beta}(Q_d^2) - \tilde{\beta}(Q_p^2) \equiv \beta$$

Grossman, PLB 359 (1995)

$$P_{\mu e} = \sin^2(\theta_p - \theta_d) + \sin 2\theta_p \sin 2\theta_d \sin^2 \left( \frac{\Delta m^2 L}{4E} + \frac{\beta}{2} \right)$$

- ▶  $\beta$  appears due to the CP-violating couplings in the new physics sector
- ▶  $\beta$  “shifts” the oscillation phase:  $\Delta m^2 L / 2E \rightarrow \Delta m^2 L / 2E + \beta$

3 flavors:

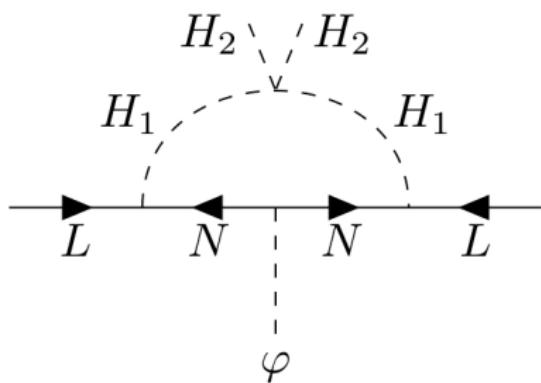
- ▶ CP-odd phases  $\beta, \alpha, \delta(Q_p^2), \delta(Q_d^2)$

$$\epsilon_{ij} \equiv \theta_{ij}(Q_d^2) - \theta_{ij}(Q_p^2), \quad \epsilon_\delta = \delta(Q_d^2) - \delta(Q_p^2), \quad \epsilon_\alpha = \alpha, \quad \epsilon_\beta = \beta \quad \Delta_{ij} \equiv \Delta m_{ij}^2 L / 2E$$

$$P_{\mu e} - P_{\bar{\mu} \bar{e}} \simeq -8 J \Delta_{21} \sin^2 \left( \frac{\Delta_{31}}{2} \right) \left[ 1 + \left( 2 \frac{\epsilon_{12}}{\sin 2\theta_{12}} + \epsilon_\alpha \frac{c_\delta}{s_\delta} \right) \frac{\cot(\Delta_{31}/2)}{\Delta_{21}} \right]$$

- ▶ in the  $\delta \rightarrow 0$  limit, CP violation is present

# The Model

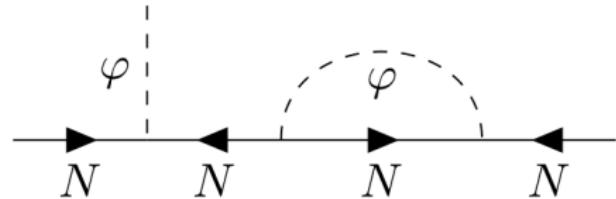


	$U(1)_L$	$\mathbb{Z}_2$
$L$	0	+
$H_2$	0	+
$H_1$	+1	-
$N_R$	+1	-
$\phi$	-2	+

$\mathcal{O}(\text{GeV})$  det.  
 $m_\pi$   $N, \phi$  prod.  
 $m_\nu$  prop.

Scotogenic-like realization

$$-\mathcal{L}_\nu^{(1)} = \bar{L} Y_\nu \tilde{H}_1 N_R + \varphi \bar{N}_R^c Y_N N_R + \text{h.c.}$$



$$\text{for } M_N^i = Y_N^i v_\varphi / \sqrt{2} \ll M_{H,A},$$

$$M_\nu \simeq \frac{v_\varphi}{16\sqrt{2}\pi^2} Y_\nu Y_N Y_\nu^T \ln \frac{M_H^2}{M_A^2}$$

$$16\pi^2 \beta(Y_N) \equiv 16\pi^2 \frac{dY_N}{d \ln |Q|} =$$

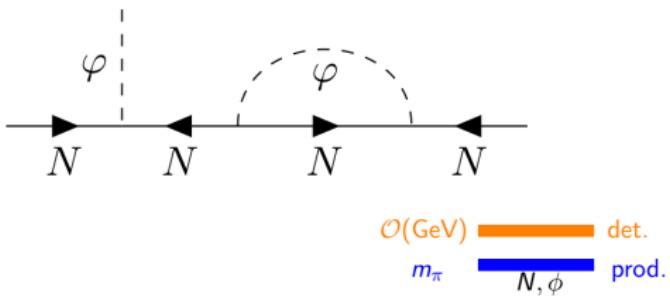
$$4 Y_N \left[ Y_N^2 + \frac{1}{2} \text{Tr}(Y_N^2) \right]$$

## Strategy

$$H = \sum_i \frac{m_i^2}{2E} |\nu_i\rangle\langle\nu_i| + \sqrt{2} G_F N_e |\nu_e(Q^2=0)\rangle\langle\nu_e(Q^2=0)|$$

- ▶ at  $Q_p^2$  scale mixing parameters are sampled using NuFIT values
- ▶  $Y_N \sim \mathcal{O}(1)$
- ▶  $Y_\nu$  is obtained using Casas-Ibarra parametrization

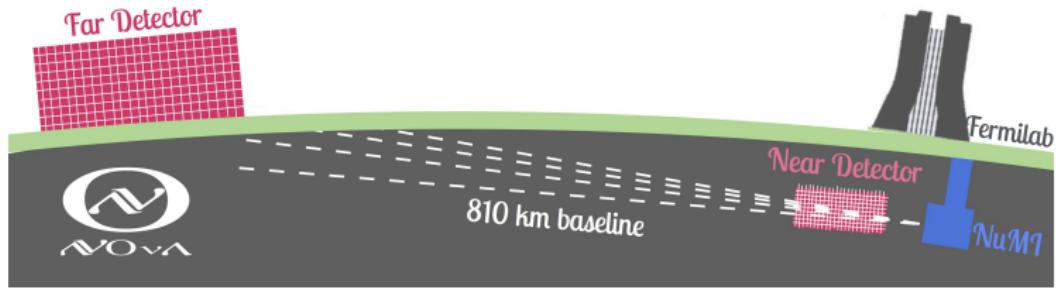
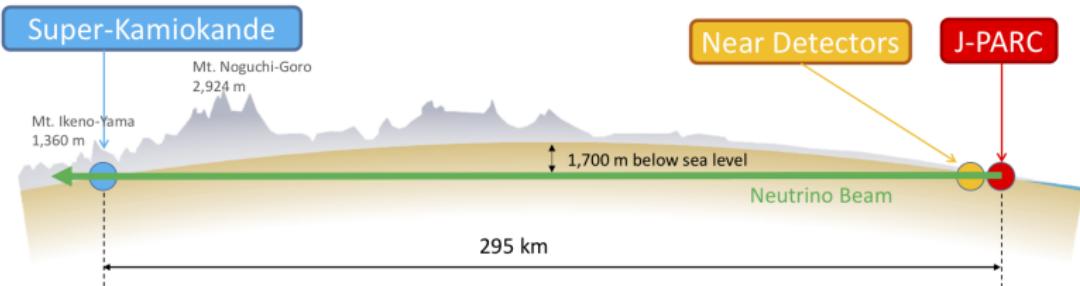
$$16\pi^2 \beta(Y_N) \equiv 16\pi^2 \frac{dY_N}{d \ln |Q|} = \\ 4Y_N [Y_N^2 + \frac{1}{2} \text{Tr}(Y_N^2)]$$



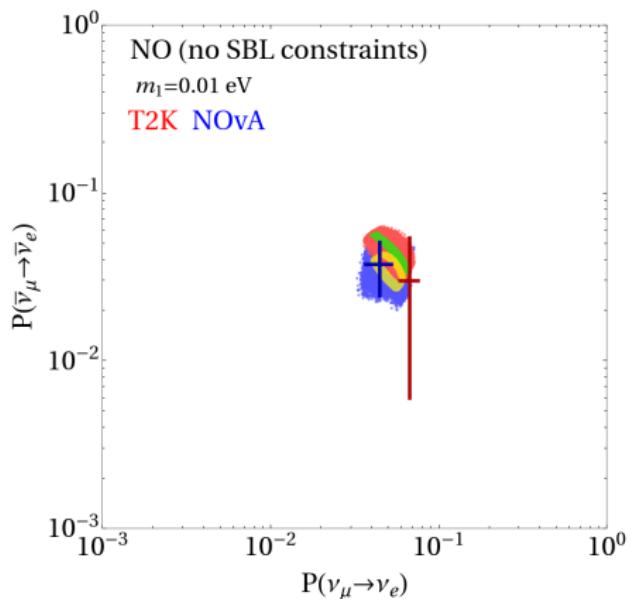
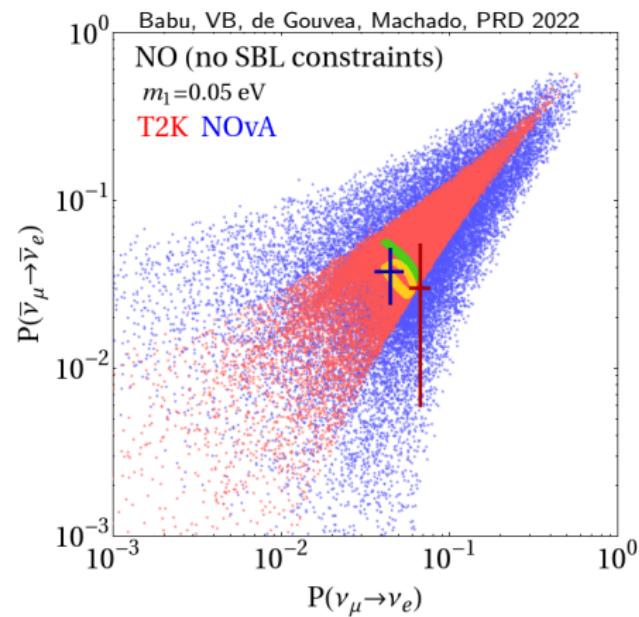
- ▶ at  $Q_d^2$  scale,  $Y_N$  and hence  $M_\nu(Q_d^2)$  is found
- ▶ diagonalize  $M_\nu$  to get PMNS matrix at higher scale

$m_\nu$  ■ prop.

# Long-Baseline Experiments



# RGE Effect



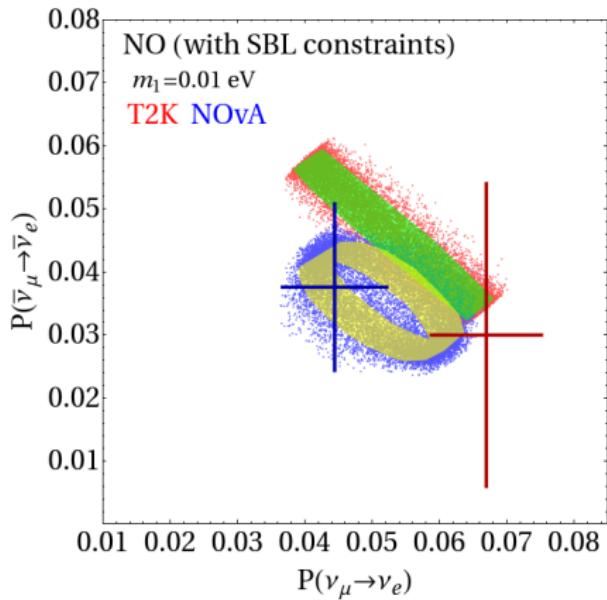
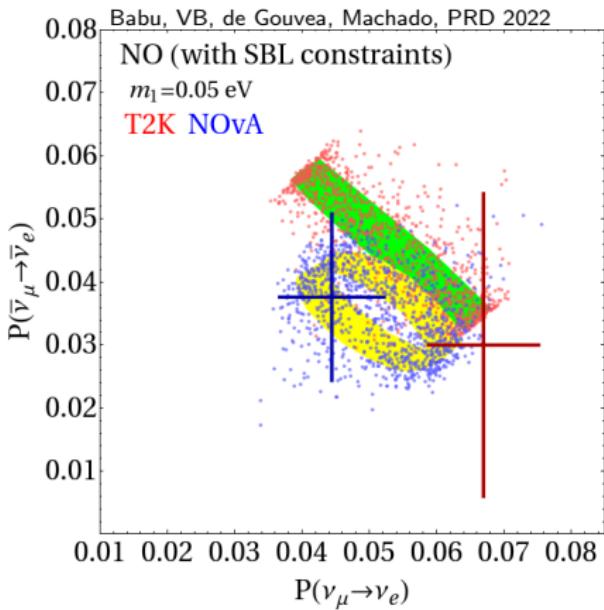
# Constraints from Short Baseline Experiments

$$P_{\mu e} = \sin^2(\theta_p - \theta_d) + \sin 2\theta_p \sin 2\theta_d \sin^2 \left( \frac{\Delta m^2 L}{4E} + \frac{\beta}{2} \right)$$

- ▶ experiments with high average neutrino energy are especially sensitive due to the larger difference between  $Q_p^2 = m_\pi^2$  and  $Q_d^2$
- ▶ while we found successful explanations for LSND and MiniBooNE, constraints from short baseline experiments rule out such possibilities

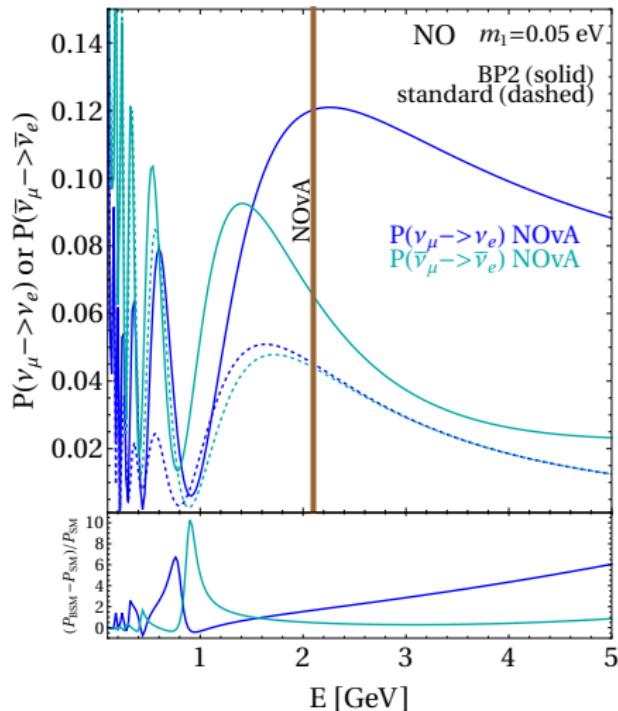
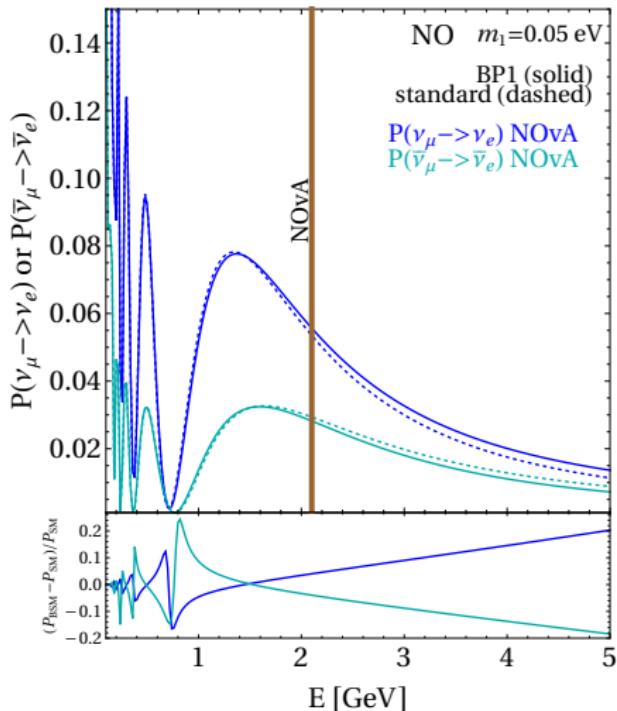
Experiment	$E$ (GeV)	$\sqrt{Q_d^2}$ (GeV)	channel	constraint
ICARUS	17	3.94	$\nu_\mu \rightarrow \nu_e$	$3.4 \times 10^{-3}$
CHARM-II	24	4.70	$\nu_\mu \rightarrow \nu_e$	$2.8 \times 10^{-3}$
NOMAD	47.5	6.64	$\nu_\mu \rightarrow \nu_e$	$7.4 \times 10^{-3}$
			$\nu_\mu \rightarrow \nu_\tau$	$1.63 \times 10^{-4}$
NuTeV	250	15.30	$\nu_\mu \rightarrow \nu_e$	$5.5 \times 10^{-4}$
			$\nu_e \rightarrow \nu_\tau$	0.1
			$\nu_\mu \rightarrow \nu_\tau$	$9 \times 10^{-3}$

# Constraints from Short Baseline Experiments



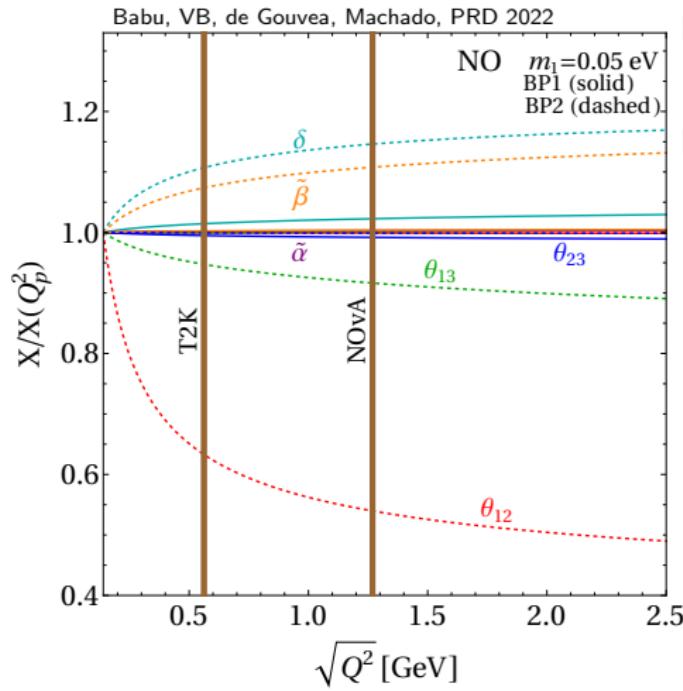
- ▶ short baseline constraints remove parameter points with strongest running

# Oscillation Probabilities – NOvA

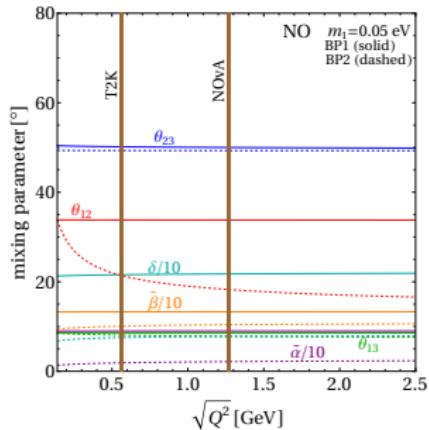


- BP1 best fits T2K and NOvA data and BP2 is strongly disfavoured by both short and long baseline experiments

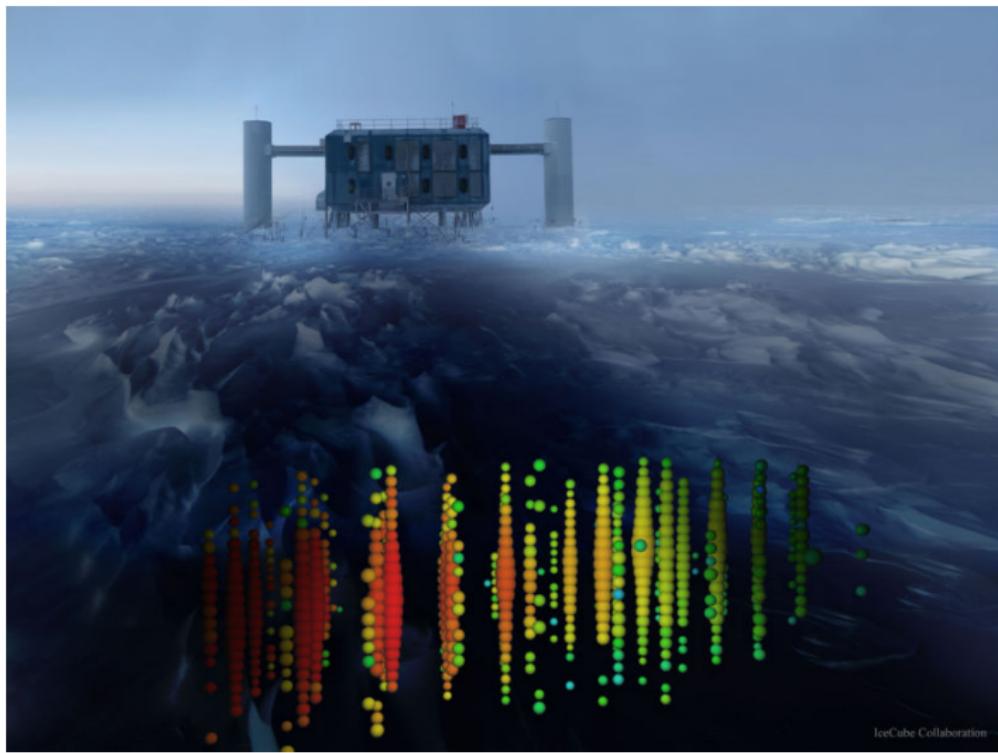
# RG Evolution of the Mixing Parameters



- ▶ the strongest effects are in running of  $\theta_{12}$
- ▶ variation of  $\theta_{12}$  relative to the other mixing angles  $\theta_{13}$  and  $\theta_{23}$  is enhanced by  $|\Delta\theta_{12}/\Delta\theta_{13}|$ ,  $|\Delta\theta_{12}/\Delta\theta_{23}| \propto |\Delta m_{31}^2/\Delta m_{21}^2|$

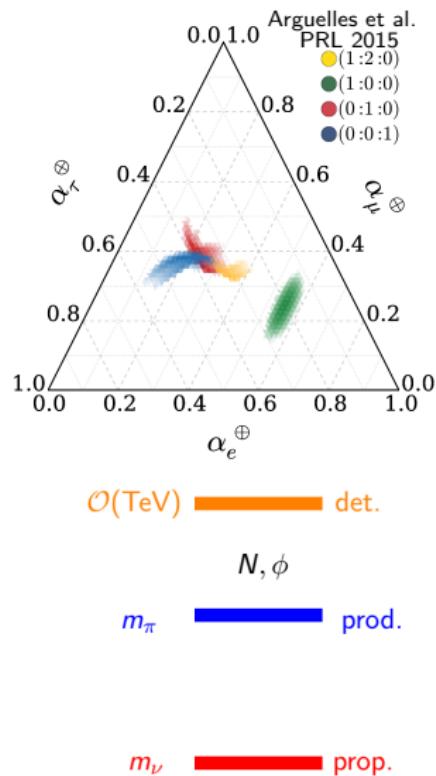


# Ultra-High Energy Neutrinos



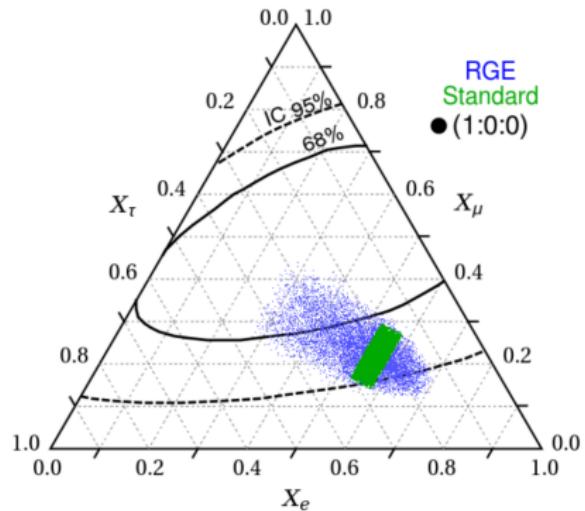
IceCube Collaboration

# Ultra-High Energy Neutrinos - Flavor Ratios

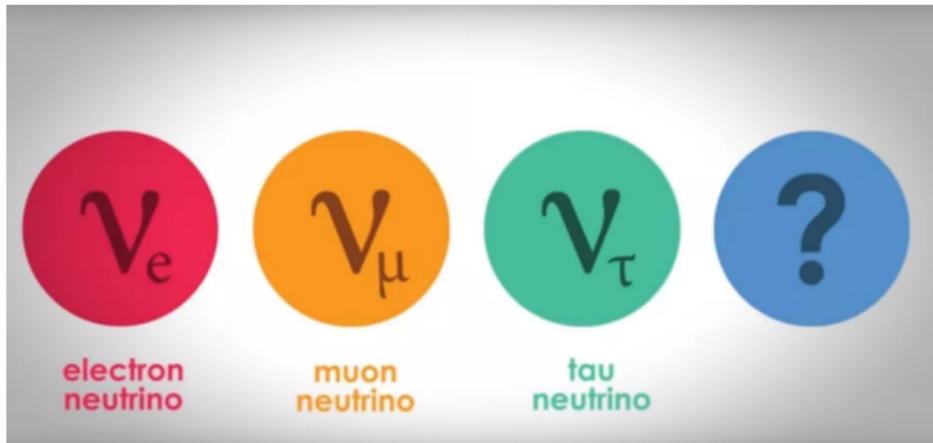


- detected neutrinos are incoherent superposition of mass eigenstates

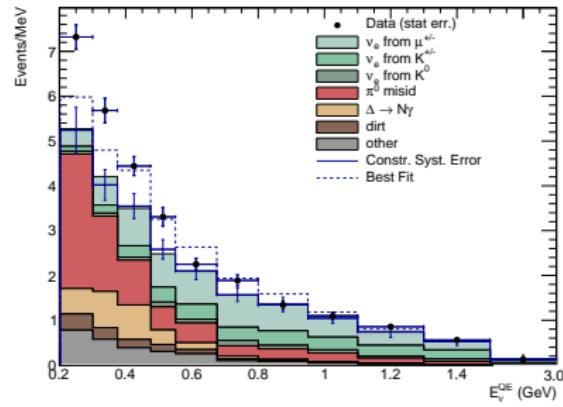
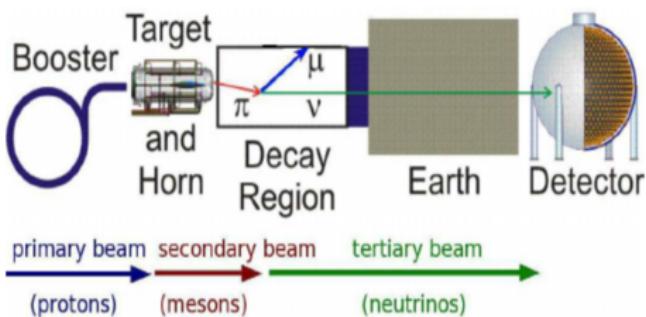
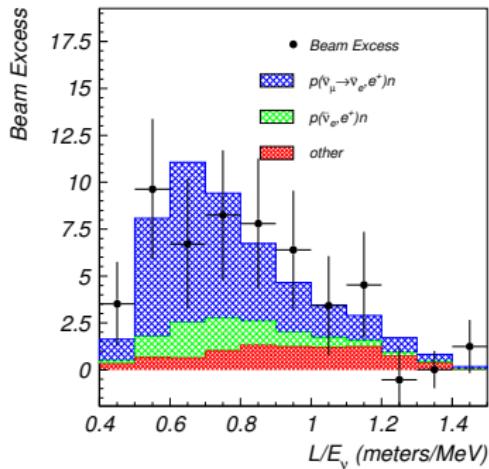
$$P_{\alpha\beta} = \sum_{j=1}^3 |U_{\alpha j}(Q_p^2)|^2 |U_{\beta j}(Q_d^2)|^2$$



# eV-Scale Sterile Neutrinos



LSND and MiniBooNE



- ▶ **LSND**:  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam from stopped pion source ( $> 3\sigma$ ) at  $L/E \sim 1\text{km GeV}^{-1}$
  - ▶ **MiniBooNE**: reports electron-like event excess ( $4.8\sigma$ )
  - ▶ in combination with LSND  $6.1\sigma$

# Nuclear Physics Uncertainties?

- ▶ large nuclear and hadronic physics uncertainties in  $\nu$ -nucleus scattering
- ▶ MiniBooNE used not-so-recent MC event generator for background studies



**GiBUU**

The Giessen Boltzmann-Uehling-Uhlenbeck Project

Generator	Tune	Ref.	Comments
NUANCE	–	[40]	the generator used by MiniBooNE
GiBUU	–	[42]	theory-driven generator
NuWro	–	[41]	
GENIE	G18_01a_02_11a [39, 44]		GENIE baseline tune; see [44] for naming conventions
	G18_01b_02_11a		different FSI implementation compared to G18_01a_02_11a
	G18_02a_02_11a		updated res./coh. scattering models compared to G18_01a_02_11a
	G18_02b_02_11a		updated res./coh. scattering models and different FSI
	G18_10a_02_11a		theory-driven configuration; similar to G18_02a
	G18_10b_02_11a		theory-driven configuration; similar to G18_02b



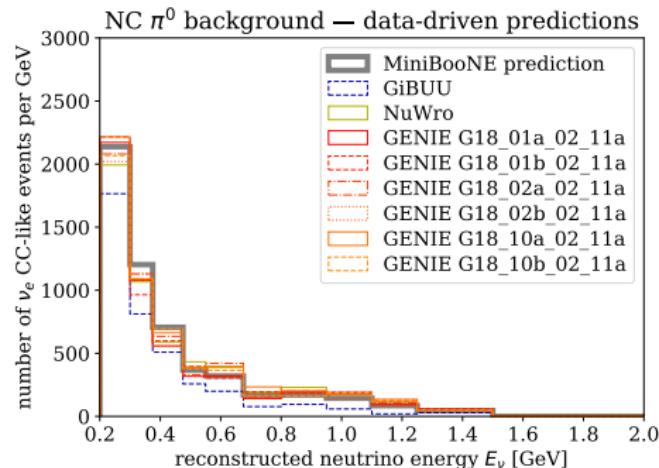
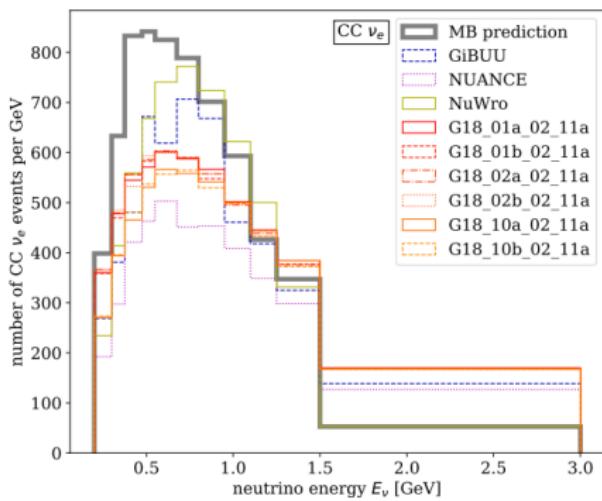
# Relevant Channels

$$\nu_e + N \rightarrow e^- + N'$$

$$\nu + N \rightarrow \nu + N + \pi^0(\gamma\gamma)$$

$$\nu + N \rightarrow \nu + \Delta \rightarrow \nu + N\gamma$$

VB, Kopp 2109.08157

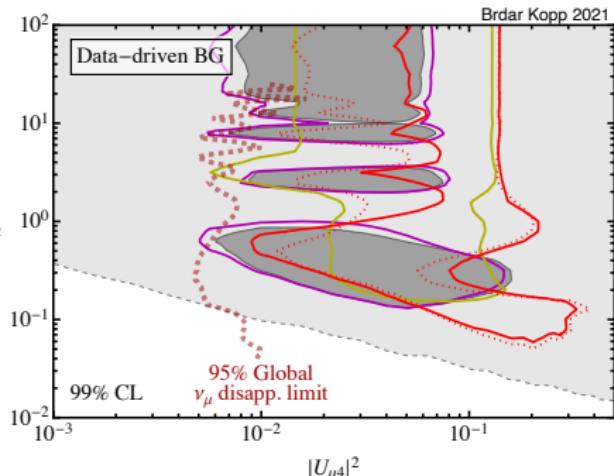
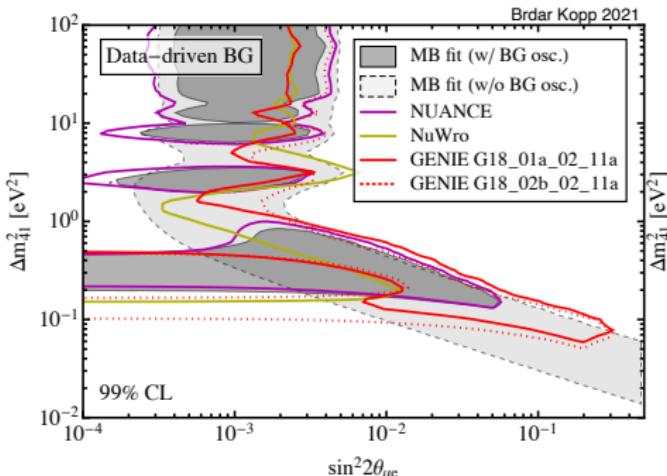


# 3+1 model with eV-scale sterile neutrino

- $P_{\alpha\beta} \propto \sin^2(\Delta m_{41}^2 L/E) \rightarrow$  the minimal solution for LSND and MiniBooNE requires an additional mass squared difference of  $\Delta m_{41}^2 \sim 1 \text{ eV}^2$

$$U^{\text{4flavor}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

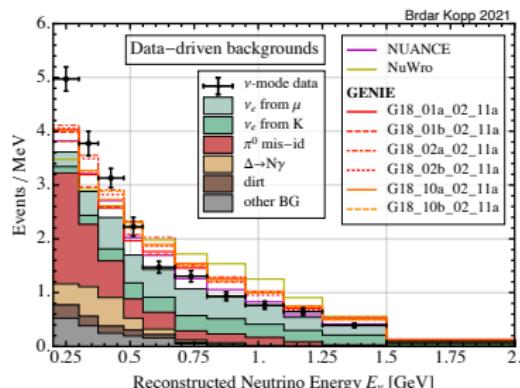
$$\begin{aligned} P_{\mu\mu} &= 1 - 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2) \times \sin^2\left(\frac{(m_4^2 - m_1^2)L}{4E}\right) \\ P_{\mu e} &= 4|U_{\mu 4}U_{e4}|^2 \times \sin^2\left(\frac{(m_4^2 - m_1^2)L}{4E}\right) \\ \sin^2 2\theta_{\mu e} &= 4|U_{e4}|^2|U_{\mu 4}|^2 \end{aligned}$$



# New Physics?

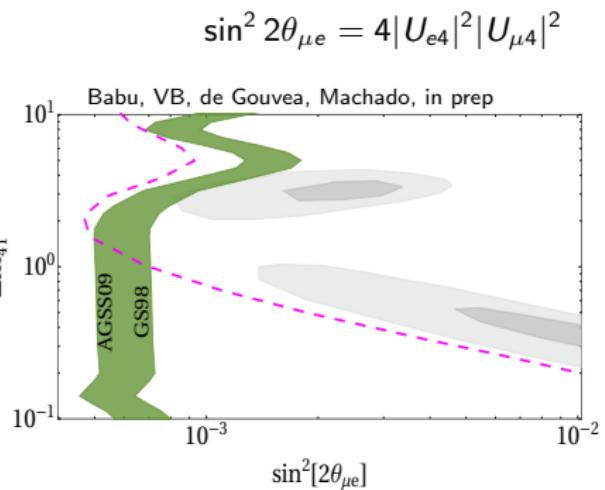
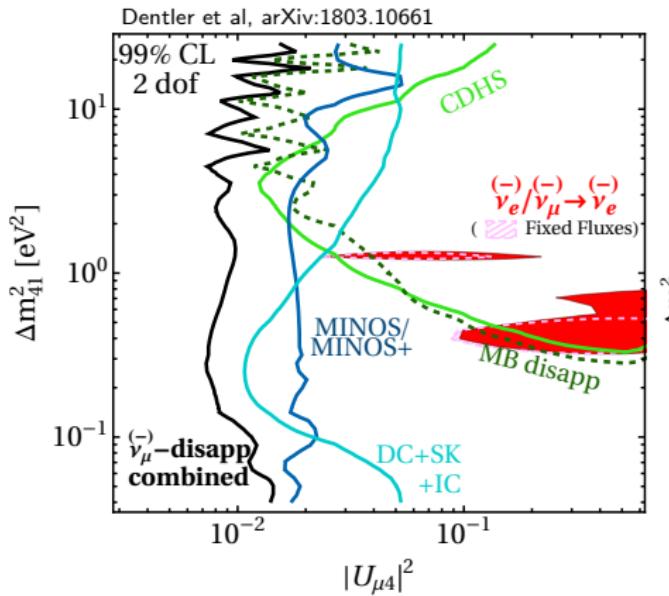
data-driven backgrounds

Generator	Tune	$\Delta m_{41}^2$	$\sin^2 2\theta_{\mu e}$	$ U_{\mu 4} ^2$	$\chi^2/\text{dof}$	$\Delta \chi^2_{\text{no osc.}}$	Significance
MB official		0.25	0.01	0.062	12.0	19.1	$4.0\sigma$
NUANCE	-	0.32	0.0079	0.051	12.3	19.3	$4.0\sigma$
NuWro	-	3.2	0.0016	0.040	13.3	12.7	$3.1\sigma$
GENIE	G18_01a_02_11a	0.79	0.00020	0.14	12.2	23.3	$4.4\sigma$
	G18_01b_02_11a	0.79	0.0001	0.12	12.2	15.5	$3.5\sigma$
	G18_02a_02_11a	0.13	0.063	0.18	12.2	19.2	$4.0\sigma$
	G18_02b_02_11a	0.13	0.050	0.20	12.3	16.9	$3.7\sigma$
	G18_10a_02_11a	0.25	0.016	0.062	12.3	15.1	$3.5\sigma$
	G18_10b_02_11a	0.40	0.013	0.016	12.1	19.5	$4.0\sigma$



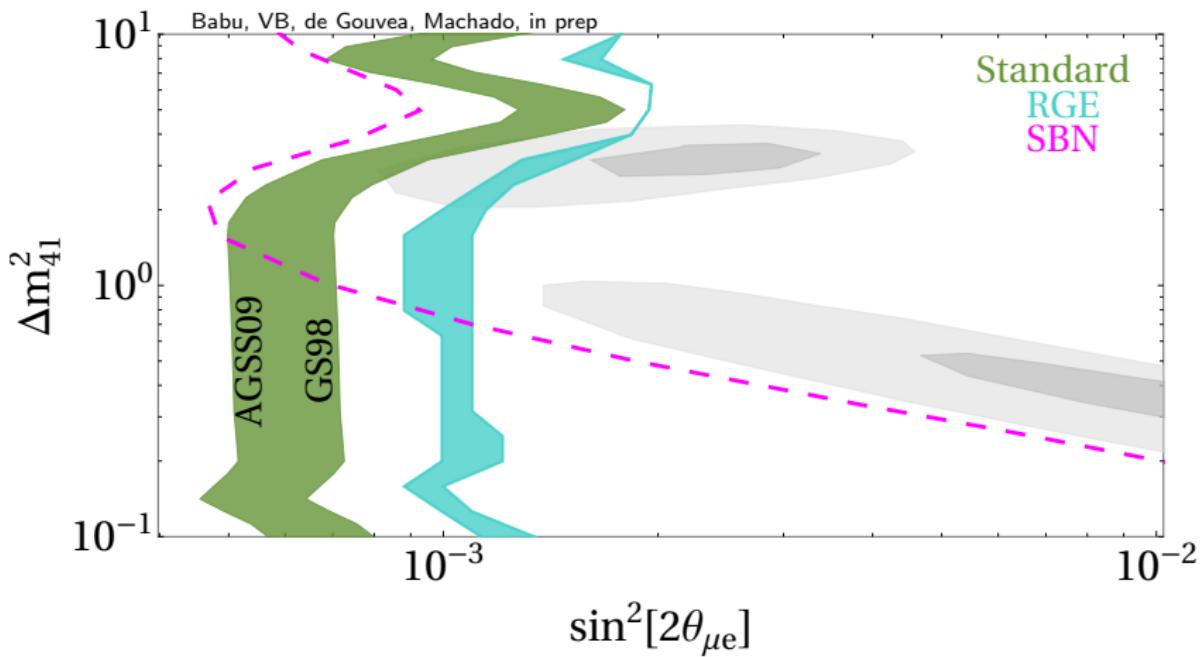
the tension when  
all the data is included is still  
present....

# 3+1 Tension



# BSM<sup>2</sup>: eV-scale Sterile+Running

$$\sin^2 2\theta_{\mu e} = 4|U_{e4}|^2 |U_{\mu 4}|^2$$

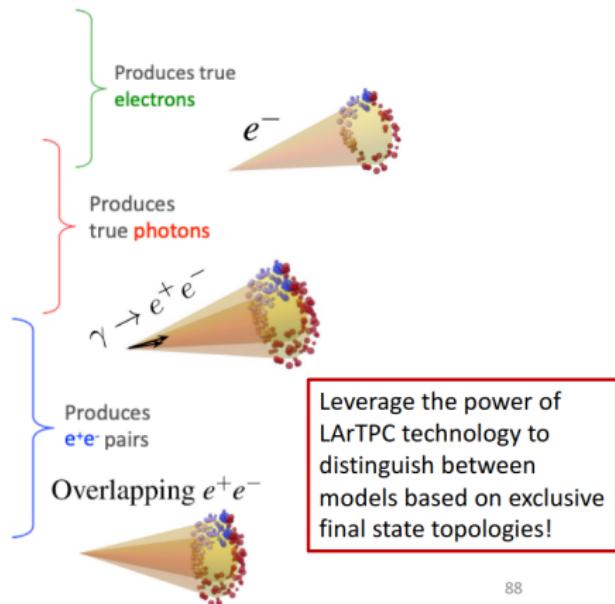


# Beyond 3+1, Non-Oscillatory Explanations

## Evolving Theory Landscape

taken from MicroBooNE talks

- Decay of O(keV) Sterile Neutrinos to active neutrinos
  - [13] Dentler, Esteban, Kopp, Machado Phys. Rev. D 101, 115013 (2020)
  - [14] de Gouv  a, Peres, Prakash, Stenico JHEP 07 (2020) 141
- New resonance matter effects
  - [5] Asaadi, Church, Guenette, Jones, Szelc, PRD 97, 075021 (2018)
- Mixed O(1eV) sterile oscillations and O(100 MeV) sterile decay
  - [7] Vergani, Kamp, Diaz, Arguelles, Conrad, Shaevitz, Uchida, arXiv:2105.06470
- Decay of heavy sterile neutrinos produced in beam
  - [4] Gninenko, Phys.Rev.D83:015015,2011
  - [12] Alvarez-Ruso, Saul-Sala, Phys. Rev. D 101, 075045 (2020)
  - [15] Magill, Plestid, Pospelov, Tsai Phys. Rev. D 98, 115015 (2018)
  - [11] Fischer, Hernandez-Cabezudo, Schwetz, PRD 101, 075045 (2020)
- Decay of upscattered heavy sterile neutrinos or new scalars mediated by Z' or more complex higgs sectors
  - [1] Bertuzzo, Jana, Machado, Zukanovich Funchal, PRL 121, 241801 (2018)
  - [2] Abdallah, Hostert, Pascoli, Phys.Lett.B 820 (2021) 136531
  - [3] Ballett, Pascoli, Ross-Lonergan, PRD 99, 071701 (2019)
  - [10] Dutta, Ghosh, Li, PRD 102, 055017 (2020)
  - [6] Abdallah, Gandhi, Roy, Phys. Rev. D 104, 055028 (2021)
- Decay of axion-like particles
  - [8] Chang, Chen, Ho, Tseng, Phys. Rev. D 104, 015030 (2021)
- A model-independent approach to any new particle
  - [9] Brdar, Fischer, Smirnov, PRD 103, 075008 (2021)



## Summary

- ▶ mismatch between  $U(Q_p^2)$  and  $U(Q_d^2)$  leads to novel phenomenology

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- ▶ signatures include:
  - (i) difference between mixing angle measurements at various experiments (e.g.  $\theta_{13}$  at reactor and beam experiments)
  - (ii) zero-baseline flavor transition
  - (iii) new sources of CP violation

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- ▶ signatures include:
  - (i) difference between mixing angle measurements at various experiments (e.g.  $\theta_{13}$  at reactor and beam experiments)
  - (ii) zero-baseline flavor transition
  - (iii) new sources of CP violation
- ▶ shown in this talk:
  - (i) potentially observable effects at T2K and NOvA
  - (ii) flavor composition of ultra-high energy neutrinos
  - (iii) improvement in the 3+1 picture