



"Search of new physics with kaon decays at NA62"

<u>Third Workshop on Theory, Phenomenology and Experiments</u> <u>in Heavy Flavour Physics</u> <u>Capri 7/7/2010</u>

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And INFN Napoli

On behalf of the <u>NA62 collaboration</u>:

Bern ITP, Birmingham, CERN, Dubna, Ferrara, Fairfax, Florence, Frascati, IHEP, INR, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, San Luis Potosi, SLAC, Sofia, Triumf, Turin

Outline

- NA62-I: R_K with KI2 decays
 - principle of the measurement
 - analysis status
- NA62-II: K⁺ $\rightarrow \pi^+ \sqrt{\nu}$ experiment
 - experimental methodology
 - main detectors description
- Conclusions



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Kaon and Physics beyond the SM



NA62-I

R_K with Kl2 decays

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Ke2: R_K and LFV

 \bullet The hadronic uncertainties cancel in the ratio $K_{e2}/K_{\mu 2}$ (no $f_K)$

For this reason the SM prediction is very accurate dR_K/R_K~0.04%

$$\mathbf{R}_{\mathbf{K}} = \frac{\Gamma(\mathbf{K}^{\pm} \rightarrow \mathbf{e}^{\pm} \nu)}{\Gamma(\mathbf{K}^{\pm} \rightarrow \mu^{\pm} \nu)} = \frac{\mathbf{m}_{\mathbf{e}}^{2}}{\mathbf{m}_{\mu}^{2}} \cdot \left(\frac{\mathbf{m}_{\mathbf{K}}^{2} - \mathbf{m}_{\mathbf{e}}^{2}}{\mathbf{m}_{\mathbf{K}}^{2} - \mathbf{m}_{\mu}^{2}}\right)^{2} (1 + \delta \mathbf{R}_{\mathbf{K}}^{\mathrm{rad.corr.}})$$

 $= (2.477 \pm 0.001) \cdot 10^{-5}$

[V.Cirigliano, I.Rosell JHEP 0710:005(2007)]

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• The only difference between electron and muon channel is due to the

V-A coupling

 A small correction has to be included due to the IB part of the radiative decay

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k Beyond Standard Model

In MSSM and large tanß scenario, a charged Higgs mediate a SUSY LFV contribution to the branching ratio with emission of ν_{τ} .

$$R_{K}^{LFV} = \frac{M(K \otimes ev_{e}) + \Gamma_{LFV}(K \otimes ev_{\tau})}{\Gamma_{SM}(K \otimes \mu v_{\mu})} = \frac{\Gamma_{SM}(K \otimes \mu v_{\mu})}{R_{K}^{LFV} \approx R_{K}^{SM} \left[1 + \left(\frac{m_{K}^{4}}{M_{H^{\pm}}^{4}}\right) \left(\frac{m_{\tau}^{2}}{M_{e}^{2}}\right) |\Delta_{13}|^{2} \tan^{6}\beta\right]$$

A.Masiero, P.Paradisi, R.Petronzio, PRD76 (2006) 011701 and JHEP 0811(2008) 042





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Sizeable effects are predicted for reasonable SUSY parameters.:

$$\begin{split} \mathbf{R}_{\mathbf{K}}^{\mathsf{LFV}} &\approx \mathbf{R}_{\mathbf{K}}^{\mathsf{SM}} \left[1 + \left(\frac{m_{\mathbf{K}}^4}{M_{\mathbf{H}^\pm}^4} \right) \left(\frac{m_{\tau}^2}{M_{\mathrm{e}}^2} \right) | \boldsymbol{\Delta_{13}} |^2 \mathrm{tan}^6 \, \beta \right]^{\mathsf{LVF}} \cong R_{\mathsf{K}}^{\mathsf{SM}} \, (1 + 0.013) \\ \text{Analogous effects in Pion decays are suppressed of a factor} \, (m_{\pi}/m_{\mathsf{K}})^4 \sim 6 \cdot 10^{-3} \end{split}$$

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R_k Experimental Status

-> The PDG08 value is based on 3 measurements in 70s

 $R_{K} = (2.45 \pm 0.11) \cdot 10^{-5} (4.5\% \text{ error})$

-> Preliminary results by KLOE and NA48/2 $R_{K} = (2.457 \pm 0.032) \cdot 10^{-5} (1.3\% \text{ error})$

-> Final result by KLOE (LaThuile09) $R_{K} = (2.493 \pm 0.025 \pm 0.019) \cdot 10^{-5}$ (1.3% with ~13.8k K_{e2} candidates, 16% background)

World average

 $R_{K} = (2.468 \pm 0.025) \cdot 10^{-5} (1\% \text{ error})$



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Ke2 @ NA62-I (2007-2008)



- Goal: collect ~150000 signal events, below 0.5% precision on RK
- Higher beam momentum wrt NA48/2 runs (and higher momentum kick in the spectrometer magnet)
- Simultaneus K_{e2} and K_{u2} collection (both for K+ and K-)

• about 80% K+ due to the fact that K- are affected by a larger halo background

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NA62-I: Apparatus



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Measurement Strategy

 K_{e2} and K_{u2} candidates collected simultaneously:

-> Many systematic effects reduced,

-> Measurement independent to the Kaon flux.

Particle identification with E/p (LKr and spectrometer)

MC simulations used to limited extent:

-> Acceptance correction (only for geometry),

-> Simulation of "catastrophic" bremsstrahlung by muons. Analysis in 10 track momentum bins.



NA62-I: Triggers



Minimum Bias Hardware Trigger:

- -> $K_{\mu 2}$ condition: 1TRK Q1
- -> K_{e2} condition: 1TRK Q1 ELKr

Software Trigger:

-> P_{DCH} < 90 GeV/c -> E_{I Kr}/P_{DCH} > 0.6 (K_{e2} only)



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Signals Selection



- -> 1 Reconstructed Track,
- -> Geometrical acceptance cuts,
- -> Limit on LKr extra energy deposition,
- -> Track momentum 15 GeV/c < p < 65 GeV

-> Decay vertex defined as closest approacl track & nominal Kaon axis.

Kinematical separt
$$M_{miss}^2 = (P_K - P_l)^2$$

set P_K tion at p<25 GeV/C:
-> Missing mass M² = (p_K - p_l)^2
-> P_K : Average measured with K_{3π} decays

Particle Identification => Muon suppression $\sim 10^{-6}$ -> E/p = (LKr energy deposit/track momentum)0.95 < E/p < 1.10 for electrons,E/p<0.85</td>for muons.



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Backgrounds (Muons)

The main background is due to "catastrophic" muon Bremsstrahlung events (E/p_{muon}>0.95) . The expected probability is $P(\mu \rightarrow e) \sim 3x10^{-6}$ (and momentum dependent), that corresponds to:

P(μ->e)/R_κ~10%

This impose a direct $P(\mu \rightarrow e)$ measure to validate theoretical models in a specific E region.

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Backgrounds (Muons)

The main background is due to "catastrophic" muon Bremsstrahlung events (E/p_{muon} >0.95). The expected probability is $P(\mu$ ->e)~3x10⁻⁶ (and momentum dependent), that corresponds to:

P(μ->e)/R_κ~10%

This impose a direct $P(\mu \rightarrow e)$ measure to validate theoretical models in a specific E_{γ} region.

Solution: a ~10X_o deep lead wall, in order to have a pure muon sample (electron contamination <10⁻⁷), was installed for 50% of running time and on about 20% of HOD area. This wall allowed to measure $P(\mu$ ->e) and a very

Lead

Plate

HOD(V)

good Data/MC agreement has been found.

(6.28±0.17)%

A new special run has been scheduled in 2008 to collect a muon sample twice than 2007.

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LK)

HOD(H)

Backgrounds (Muons)

The main background is due to "catastrophic" muon Bremsstrahlung events (E/p_{muon} >0.95). The expected probability is $P(\mu$ ->e)~3x10⁻⁶ (and momentum dependent), that corresponds to:

P(μ->e)/R_κ~10%

This impose a direct $P(\mu \rightarrow e)$ measure to validate theoretical models in a specific E_{γ} region.



Solution: a $\sim 10X_{o}$ deep lead wall, in order to have a pure muon sample (electron contamination $< 10^{-7}$), was installed for 50% of running time and on about 20% of HOD area.

Lead

Plate

HOD(V)

This wall allowed to measure $P(\mu \rightarrow e)$ and a very good Data/MC agreement has been found.

(6.28±0.17)%

A new special run has been scheduled in 2008 to collect a muon sample twice than 2007.

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LKI

HOD(H)

Other backgrounds



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NA62 estimate total K_{e2} events: ~130k K+ & ~20k K⁻.

In NA62 proposal the goal was fixed at ~150k (CERN-SPSC-2006-033).

The present statistic gives 59963 K_{e2} candidates events and B/(S+B)=(8.8±0.3)%.

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 $\sim_{\mu 2}$: 40% of data set



The $K_{\mu 2}$ is downscaled by a factor of 150

The $K_{\mu 2}$ main background is the Beam Halo.

18,030 M are the $K_{\mu 2}$ candidates with low background B/(S+B) =0.38%

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Preliminary Result (40% data set)

$R_{K} = (2.486 \pm 0.011_{stat} \pm 0.007_{syst}) \times 10^{-5}$ $= (2.486 \pm 0.013) \times 10^{-5}$



The whole sample will decrease the statistical uncertainty down to ~0.3% and a total uncertainty of 0.4-0.5%

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World Comparison



NA48/2 preliminary results excluded from new average: they are supersided by NA62

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NA62-II

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment

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K^+ → π^+ ν $\overline{\nu}$: motivation (I)

- FCNC process forbidden at tree level
- Only one loop contributions:
 Boxes and Penguins



BR(K⁺ $\rightarrow \pi^+ \nu \nu$) = (8.5±0.7)x10⁻¹¹ 8% error



Cleanest way to extract V_{td} and to give independent determination of the unitarity triangle

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- Complementarity with B physics
- Very sensitive to New Physics

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1) Short distance contributions (Wilson coefficients i.e. perturbative QCD) are dominant (hard GIM mechanism): Aq ~ $(mq)^2/(m_W)^2 V_{qs} V_{qd}$

top quark is dominant, smaller contribution from charm negligible from up

2) The hadronic matrix element (LD) uncertainness benefits from the Isospin symmetry and well measured semileptonic $K^+ \rightarrow \pi^0 e^+ v_e$ decays:

$$\begin{aligned} \left| \frac{\langle \pi^+ \nu \bar{\nu} | H_w | K^+ \rangle}{\langle \pi^0 e^+ \nu_e | H_w | K^+ \rangle} \right|^2 &= \left| \frac{\langle \pi^+ | H_w | K^+ \rangle}{\langle \pi^0 | H_w | K^+ \rangle} \right|^2 = 2r_+ \\ BR(K^+ \to \pi^+ \nu \bar{\nu}) &= 6r_{K^+} BR(K^+ \to \pi^0 e^+ \nu) \frac{|G_l|^2}{G_F^2 |V_{us}|^2} \\ G_l &= \frac{\alpha G_F}{2\pi sin \theta_W^2} (V_{ts}^* V_{td} X(x_t) + V_{cs}^* V_{cd} X_{NL}^l) \quad \underset{\text{coupling components}}{\text{Effective coupling}} \end{aligned}$$

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K⁺→ π ⁺ ν $\overline{\nu}$: motivation (III)



Large variation in prediction for new physics!

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And experimental status

BR(K+ $\rightarrow \pi^+ \nu \nu$) exp =(1.73+1.15-1.05) x10-10

based on 7 candidates at BNL E787+E949





events are due to background: 10⁻³

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first experimental observation of $K^+ \rightarrow \pi^+ \nu \nu$

they have shown that all physics background can be under control at 10⁻¹¹ level !

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NA62 guidance principles

Our goal is to collect O(100) $K^+ \rightarrow \pi^+ \nu \nu$ events

N(K decays) $\sim 10^{13}$ Acceptance = 10%



Kinematical rejection

 \mathbf{K}^+

Single track signature: $m_{miss}^2 = (P_K - P_{\pi})^2$

Particle ID and veto in addition to kinematical rejection



- Kaon decay in flight technique;
- 400 GeV proton beam from SPS;
- Unseparated high energy K⁺ beam (P_K=75 GeV/c);
- Kaon momentum: beam tracker;
- Pion momentum: spectrometer;

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- Charged track veto: spectrometer;
- Photon veto: calorimeters;
- Beam kaon identification: CEDAR;
- $\pi/\mu/e$ separation: RICH;

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$K^+ \rightarrow \pi^+ \nu \overline{\nu}$ @ NA62-II



- Decay in flight technique
- Goal: O(100) events with S/B ~10

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Kinematic reconstruction

$$m_X^2 = m_K^2 (1 - \frac{|\vec{P}_{\pi}|}{|\vec{P}_K|}) + m_{\pi}^2 (1 - \frac{|\vec{P}_K|}{|\vec{P}_{\pi}|}) - |\vec{P}_K| |\vec{P}_{\pi}| \theta_{\pi K}^2$$

Requirements:

low mult. scattering
 → low mass tracker operating in vacuum

- good space resolution (${\sim}100~\mu m$)

Detectors:

- GigaTracker
- Straw Chamber Spectrometer

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Two allowed regions...



92% of background

We can define 2 signal regions

8% of background

Span across the signal region We need vetos and PID

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The First NA62 Physics Handbook 2009



Other physics goals

- Lepton Flavour Violation: measurement of R_K to ~0.1% precision.
- LFV in forbidden decays: searches for $K^+ \rightarrow \pi^- |+|^+$, $K^+ \rightarrow \pi^+ |_1 |_2$.
- Heavy neutrinos (~100MeV), light sgoldstinos (K⁺ $\rightarrow \pi^+S$, K⁺ $\rightarrow \pi^+\pi^0P$).
- Hadronic K decays and final-state $\pi\pi$ interactions in $K_{3\pi}$ and K_{e4} decays.
- ChPT tests with rare kaon/pion decays.

1st Physics Handbook workshop: CERN, 10-11 December 2009 Handbook in preparation

http://indico.cern.ch/ conferenceDisplay.py?confId=65927

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 In the flavour sector the new physics could appear both in MFV and in NON-MFV processes

- The R_{K} precise measurement in the Ke2 decay is a very powerful tool to constraints new physics parameters in case of presence of LFV mediators
- The NA62-I will reach a sensitivity of 0.4% exploiting the NA48/2 detectors in a dedicated run
- The O(100) events measurement of the K⁺ $\rightarrow \pi^+\nu\nu$ decay could be a good opportunity to found NP and to distinguish among NP models
- The NA62-2 is a challenging experiments aiming at O(100) events with S/ B=10
- The detectors R&D will be completed this year. <u>The data taking should</u> <u>start in the 2011-12</u>.





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ackgrounds Summary



Selection criteria has been optimized individually in each track momentum bin. (e.g. Z_{vertex} and M_{mis}^2)

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K^+ → π^+ ν $\overline{\nu}$ @ NA62-II



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Requests:

- 4 chambers
- good space and momentum resolution
- Low material budget: X/X₀<0.5%
 per chamber
- operation in vacuum
- small inactive area around kaon beam

- 4 views with staggered planes
- Straw tubes in alluminium ultrasonic welded (no glue)
- measured resolution: 130µm per hit



 Prototypes tested on vacuum with hadronic beam, muons and electrons

- Readout under definition
- Detector in construction

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Particle Identification and photon VETO

Rejection factor needed: 10¹²

Table of rejection factors for two body decays

decay	R.F.	
K +→π+π ⁰	10 ⁴	
K+→μ+ν	10 ⁵	_

Not constrained decays (8%)



high efficiency detectors:

Photon veto: for $K^+ \rightarrow \pi^+ \pi^0$ supp.

RICH and MUON VETO for muon suppression

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Gigatracker

Three tracking stations to provide a precise measurement of K⁺ beam (momentum, direction and time)

main features

- low mass (multiple scattering),
- operating in vacuum
- severe environment: 1.5MHz/mm², 800 MHz total rate

Solution: silicon pixel stations

- 60x27 mm² area (beam profile)
- 300x300 μm^2 pixel size
- 200 μ m thick sensor (15000 e⁻ for a MIP)
- 10 R-O chips 100 μm thick $\mbox{ cmos}$
- 130 MHz rate/chip (max)
- 140 nm technology
- 0.5 X/X₀ /station material budget
- 200 ps /station time resolution
- ~14 μ rad track resolution
- ~0.15 GeV/c momentum resolution







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Requests:

- Vetos for gammas with large angle > 50 mrad
- Efficient covering along the decay region
- Inefficiency below 10⁻⁴ for E>200 MeV



- Three technology investigated (lead+scintillating fibers, lead +scintillator, lead glass)
- Opal lead glass solution
- Phototubes operating in vacuum
- 13 rings along the decay region

Several test beam to chose the technology

 Module 1 is ready for test beam in august

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NA62-II: Rich

Requests:

- Provide π/μ separation at 5×10^{-3} in the range 15 GeV/c
- Measure track time with 100 ps res
- Provide the main trigger for charged particle

- 18 m long tube filled with Neon
- Mirrors with f=17 m
- 2000 single anode PMTs, 1 cm in diameter
- 18mm "pixel" with Winston cones

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• 400PMTs prototype with new readout electronics tested in May 2009



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•400PMT prototype with new readout electronics tested in May



NA62-II: LKr



Requests:

- Very high efficiency on forward photons (1<acceptance<10mrad)
- Good time resolution
- Na48 LKr calorimeter
- The efficiency has been measured with a special run in 2006
- <10⁻⁶ for E>10 Gev, <10⁻³ for 2.5<E<5.5 GeV</p>

 New cryogenics system and new FE readout already done

 New electronics to allows faster triggering in construction

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NA62-II: Gigatracker



- Very thin silicon sensor and readout chip (200+100 μ m ~ 0.5X₀)
- On site bump bonded readout chip
 0.13 μm CMOS tech
- 60x27 mm² per station
- 300μmx300μm pixels
 - Readout chip and sensor Prototypes under construction
 - Test beam in 2009

Requests:

- Beam spectrometer: 3 stations
- Good space resolution
- Low material budget
- Very high intensity hadron beam: 800MHz
- Excellent time resolution: 200 ps



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$K^+ \rightarrow \pi^+ \nu \overline{\nu}$: motivation

- FCNC process forbidden at tree level
- Only one loop contributions:
 Boxes and Penguins
- Top contribution is dominant: small coupling in CKM between t and d,s $\rightarrow \lambda^5$

 Clean theoretical environment: small contribution by hadronic matrix element and long distance terms





	Short distance	Irreducible error	BR _{SM}
$K_L \rightarrow \pi^0 \nu \nu$	>99%	1%	3.10-11
$K^+ \rightarrow \pi^+ \nu \nu$	88%	<3%	8·10 ⁻¹¹
$K_L \rightarrow \pi^0 e^+ e^-$	38%	15%	$3.5 \cdot 10^{-1}$
$K_L \rightarrow \pi^0 \mu^+ \mu^-$	28%	30%	1.5·10 ⁻¹

 Cleanest way to extract Vtd and to give independent determination of the unitarity triangle

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- Complementarity with B physics
- Very sensitive to New Physics

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Detector layout



Straw chamber spectrometer (I)

To measure momentum and direction of K⁺ decay

Requirements:

- low mass (multiple scattering),
- operating in vacuum
- good spatial and momentum resolution
- small inactive area around primary beam

Solution:

four straw chambers and one magnet 256 MeV/c P_{t}

- 4 view/chamber XYUV
- 4 staggered layer/view (L/R ambiguity)
- 500 straws/view, 8000 grand total
- 9.6 mm radius mylar tube

 $\pi v v$ events: 29

- 2.1 m long
- X/X₀ ~0.1% per view

1 view region





Magnet

Straw chambers

beam

hole

haam

Two "cartesian" frames tilted of 45°





Straw chamber spectrometer (I)

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1 view region







Straw chamber spectrometer (II)

Prototype test beam in vacuum muon tracks reconstruction



ultrasonic welded mylar

- no glue no out gassing
- better load and resistance



Small gap for diameter expansion under vacuum





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Impact of the kinematic reconstruction

The rejection factors estimated by a Geant4 Simulation

Table of resolutionsparticlePdirectionK+0.2% $17 \mu rad$ π^+/μ^+ 0.3%15-45

Main sources of inefficiencies:



Table of rejection factors for two body decays



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The Ring Image Cherencov detector (I)

Requirements:

- π/μ separation at 5×10⁻³ in the range 15<p<35 GeV/c
- track time with 100 ps res
- main trigger for charged particle

RICH

- 18 m long tube filled with Neon (1atm)
- · 3 m diameter
- Mirrors with f=17 m
- · 2000 single anode PMTs, 1 cm in diameter

18mm "pixel" with Winston cones



Weon Gas at atmospheric pressure • 2×1000 PMT (hex packing 18 mm side) • Vessel: 17 m long, 3 m dd • Vessel: 17 m long, 3 m dd • Beam • Beam

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The Ring Image Cherencov detector (II)

first prototype test beam results:

- N_{hits} /event ~ 17
- $\Delta t_{event} \sim 70 \text{ ns}$
- $\Delta Q \sim 50$ mrad (biased by PM geometry) NIM A 593, 2008

A full length prototype (0.5 m diameter) was tested in may π,μ and **e** separation results (preliminary)





To obtain the required rejection factor on $K^+ \rightarrow \pi^+\pi^0$ a photon detectors system with **10**⁸ rejection factor on $\pi^0 \rightarrow \gamma\gamma$ is required

Three different angular regions to be covered

- LAV: Large Angle Veto: (10:50 mrad)
- LKr: Liquid Kripton calorimeter (1:10 mrad)
- IRC and SAC <1mrad

requiring $P(p^+) < 35 \text{ GeV}$ we get $P(p^0) > 40 \text{GeV}$ and high energy photons: photons > 1 GeV hit the LKr \rightarrow high detection efficiency Large Angle Veto (I)

12 rings to cover the large angle photons requirements:

- Inner-outer radii: 60-96 to 90-140 cm
- Almost hermetic
- Large area: ~30 m²
- Good efficiency down to "low" energy (200 MeV) photons
- Operating in vacuum



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Large Angle Veto (II)

R&D three different technologies studied:

Tile calorimeter: lead-plastic scintillator foils with WLS fibres





one sector prototype borrowed by CKM prop. exp. at FNAL Scintillating fibres embedded in lead foils (EMC KLOE)





one U prototype build at LNF

Lead-glass blocks from the LEP OPAL EMC





some blocks from OPAL store at CERN

Capri

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Large Angle Veto (III)

The three prototype tested at the BTF a LNF in Frascati: 50 Hz single e^{+}/e^{-} 200-500 MeV

all detectors fulfilled the requested efficiencies

OPAL LG choice for economic reasons

mechanic to hold the blocks was designed



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Large Angle Veto (III)

The three prototype tested at the BTF a LNF in Frascati: 50 Hz single e^{+}/e^{-} 200-500 MeV

all detectors fulfilled the requested efficiencies

OPAL LG choice for economic reasons

mechanic to hold the blocks was designed







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Large Angle Veto (IV)

3606 blocks available (thanks to Tokyo-OPAL coll.) 2946 needed for the 12 stations each station has 5 layer with a relative phase

All the blocks have to be polished, tested, re-cabled, reinforced and wrapped again Gain and PeY are measured by LED and Cosmic





A first station assembled at LNF (160 bloks) and arrived to CERN for the installation in the vacuum tube (22/709)





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Large Angle Veto (pictures)





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Kinematic reconstruction

