

Incontri di Fisica delle Alte Energie 2010

Roma – 8 Aprile 2010

# Kaon physics

(Fisica dei K: rassegna sperimentale)

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## Outline of the talk:

- “Vus saga” or “precise tests of SM from leptonic and semileptonic K decays”
- Measurement of  $R_K = \Gamma(K e 2) / \Gamma(K \mu 2)$
- (Near) future plans

# ***Leptonic and semileptonic K decays***

- Within the SM leptonic and semileptonic K decays can be used to obtain the most accurate determination of the element  $V_{us}$  of the CKM matrix

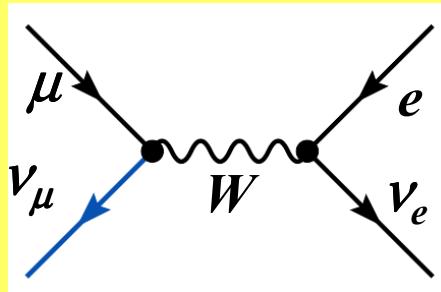
$$\Gamma(K_{\ell 3(\gamma)}) = \frac{G_F^2 m_K^5}{192\pi^3} C_K S_{ew} |V_{us}|^2 f_+(0)^2 I_K^\ell(\lambda_{+,0}) \left(1 + \delta_{SU(2)}^K + \delta_{em}^{K\ell}\right)^2$$

$$\frac{\Gamma(K_{\ell 2(\gamma)}^\pm)}{\Gamma(\pi_{\ell 2(\gamma)}^\pm)} = \left| \frac{V_{us}}{V_{ud}} \right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left( \frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2} \right)^2 \times (1 + \delta_{em})$$

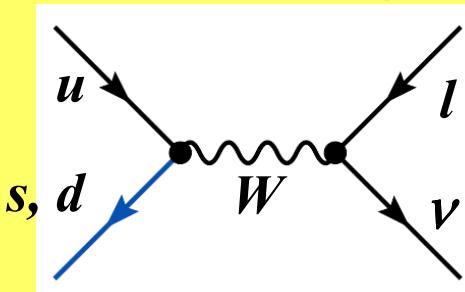
- Test **unitarity of the quark mixing matrix** ( $V_{CKM}$ ):

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 + \epsilon_{NP} \quad \epsilon_{NP} \sim M_W^2/\Lambda_{NP}^2$$

**$\mu$  decay**



**$K, \pi$  and nuclear  $\beta$  decays**



$$(g_\mu g_e)^2 / M_W^4 = G_F^2$$

?

=

$$(g_q g_l)^2 (|V_{ud}|^2 + |V_{us}|^2) / M_W^4 = G_{CKM}^2$$

# *NP test from (semi)leptonic K decays*

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Study within a **model-independent effective theory approach** the implications of precise measurements of K12 and K13 decays for SM extension [Cirigliano, Gonzalez-Alonso, and Jenkins, arXiv:0908.1754 hep-ph]

## **Phenomenology in $U(3)^5$ flavor symmetry limit**

- Taking into account all the Precision Electroweak constraints, the maximal deviation of  $|\Delta_{CKM}|$  allowed is:

$$-9.5 \times 10^{-3} \leq \Delta_{CKM} \leq 0.1 \times 10^{-3};$$

→ deviation from CKM unitarity at -1% level not ruled out by PEW tests.

- Even a % level test of CKM unitarity would provide information not available through other precision tests at low- and high-energy.
- $\delta V_{us}=0.5\%$  combined with  $\delta V_{ud}=0.02\%$  (nuclear beta decays) allow to probe NP effective scales of the order of 10 TeV.

# *NP test from (semi)leptonic K decays*

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Study within a **model-independent effective theory approach** the implications of precise measurements of K12 and K13 decays for SM [Cirigliano, Gonzalez-Alonso, and Jenkins, arXiv:0908.1754 hep-ph]

## Beyond U(3)<sup>5</sup> limit.

Corrections to the U(3)<sup>5</sup> limit can be introduce within MFV and via generic flavor structures (pseudoscalar and tensor structures).

A high sensitive probe of U(3)<sup>5</sup> violating structures is provided by comparing the V<sub>us</sub> value extracted by the helicity suppressed Kμ2 decays and the helicity allowed K13 modes, using the ratio

$$R_{\mu 23} = \left| \frac{|V_{us}|}{|V_{ud}|} \frac{f_K}{f_\pi} \right|_{K_{\mu 2}} \frac{|V_{ud}|_{0+ \rightarrow 0+}}{(|V_{us}| f_+(0))_{K_{\ell 3}}} \quad (\text{minimize impact of } f_K \text{ and e.m. corrections})$$

Within SM, R<sub>μ23</sub>=1; the inclusion of Higgs-mediated scalar currents leads to

$$R_{\ell 23} = \left| 1 - \frac{m_{K^+}^2}{M_{H^+}^2} \left( 1 - \frac{m_d}{m_s} \right) \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta} \right|$$

B

# *Vus saga*

# *Determination of $V_{us}$ from $Kl2$ decays*

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Within SM, the ratio of photon inclusive  $K_{l2}$  to  $\pi_{l2}$  decay rates is:

$$\frac{\Gamma(K_{\mu 2(\gamma)})}{\Gamma(\pi_{\mu 2(\gamma)})} = \frac{|V_{us}|^2}{|V_{ud}|^2} \times \frac{f_K}{f_\pi} \times \frac{M_K(1-m_\mu^2/M_K^2)^2}{m_\pi(1-m_\mu^2/m_\pi^2)^2} \times (1+\delta_{em})$$

Obtain  $|V_{us}|$  from:

- measurements of the inclusive  $K_{l2}$  and  $\pi_{l2}$  decay widths;
- $|V_{ud}|=0.97425(22)$  from super-allowed  $0^+ \rightarrow 0^+$  nuclear beta decays  
[Hardy and Towner, Phys. Rev. C79(2009) 055502]

Use precise evaluation of long-distance e.m. corrections  $\delta_{em} = -0.0070(18)$ .

$f_K/f_\pi$  not protected by the Ademollo-Gatto theorem: only lattice.

(lattice calculation of  $f_K/f_\pi$  and radiative corrections benefit of cancellations).

# *Determination of $V_{us}$ from $Kl3$ decays*

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$$\Gamma(K_{l3(\gamma)}) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+(0)|^2 I_{K,l}(\lambda) (1 + \delta_K^{SU(2)} + \delta_{K,l}^{EM})^2$$

(with  $K = K^+, K^0; l = e, \mu$  and  $C_K^2 = 1/2$  for  $K^+$ , 1 for  $K^0$ )

|             | Theory   |   |   | Experiment   |
|-------------|--|---|---|--|
| Decay Rate  |  |   |   | $\Gamma(K_{l3(\gamma)})$ BR and lifetimes                                      |
| Form Factor | $f_+(0)$ Hadronic matrix element at zero momentum transfer |   |   | $I_{K,l}(\lambda)$ Phase space: $\lambda$ param. form factor dependence on $t$ |
| Corrections | $S_{EW}$<br>short<br>distance<br>EW                        | $\delta_K^{SU(2)}$<br>strong<br>SU(2)<br>breaking | $\delta_{K,l}^{EM}$<br>long<br>distance<br>EM |  |

- Present world data for  $K \rightarrow \pi l \nu$  BR's quite satisfactory, determined by experiments with very different techniques:

**KLOE@DaΦne**: pure K beams, lifetimes, absolute BR

**NA48@CERN**: intense  $K^0, K^+$  beams from SPS proton beam, ratio of BR's

**KTeV@FermiLab**: intense  $K_L$  beam from Tevatron proton beam, ratio of BR's

**ISTRA+@IHEP** (Protvino): ratio of  $K^+ l 3$  BR's

- ...and the **theoreticians!**

- **FlaviaNet Kaon Working Group: do the dirty job of putting all together...**

-ph] 11 Jan 2008

Precision tests of the Standard Model with leptonic  
and semileptonic kaon decays

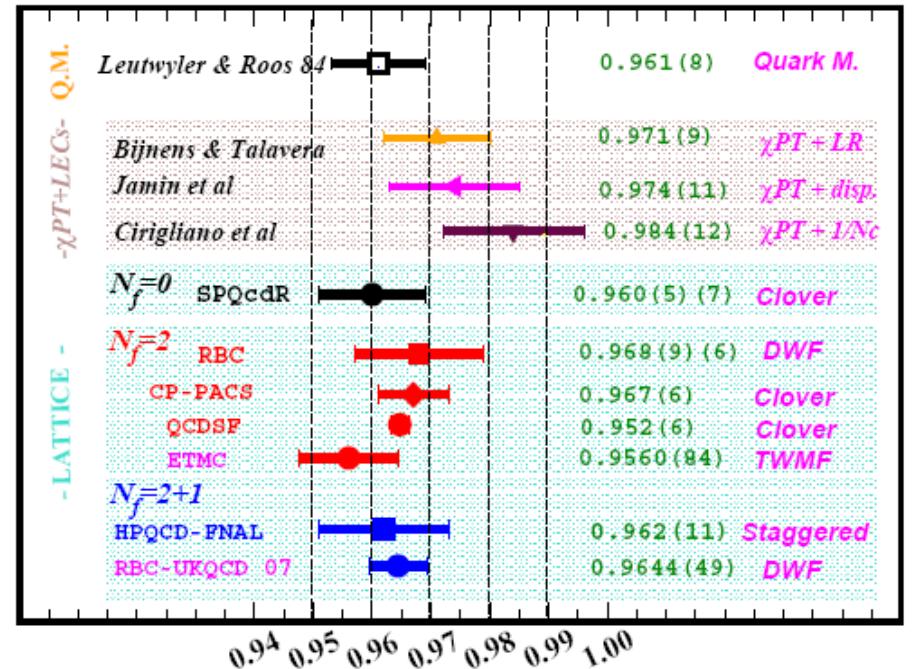
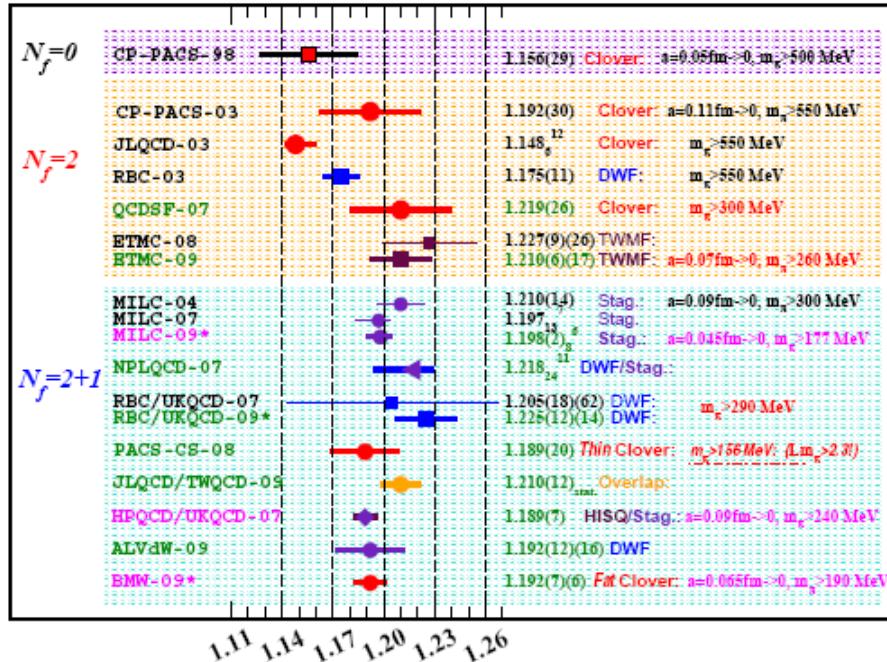
FlaviaNet Kaon WG note:  
arXiv:0801.1817.

Final updated version available  
on arXiv in few days!

The FlaviaNet Kaon Working Group\*†‡

All results presented are from the final updated version.

# Theoretical estimate of $f_K/f_\pi$ and $f_+(0)$

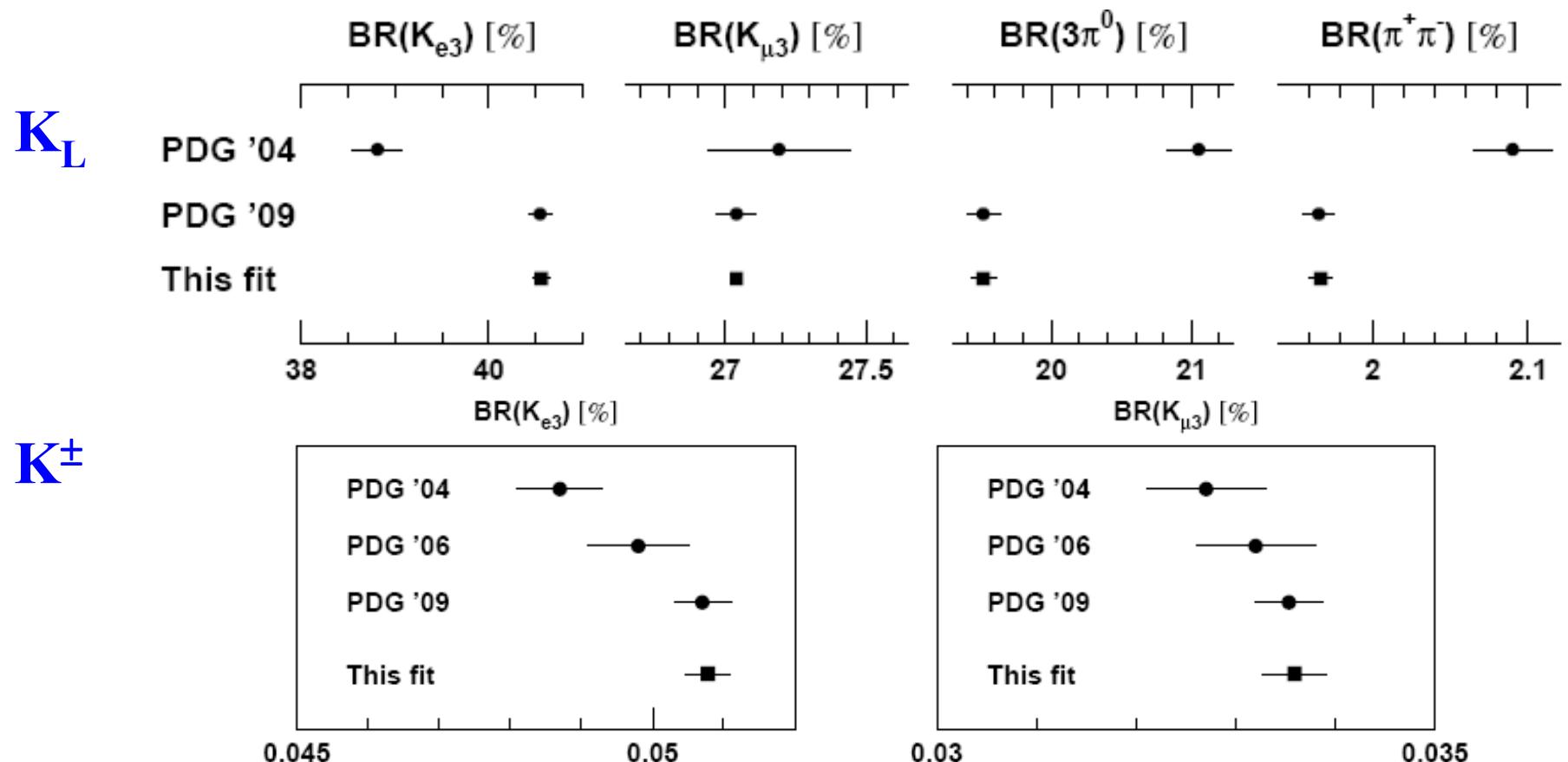


Waiting for FLAG FlaviaNet WG results, we use:

$f_K/f_\pi$ : average of results with analysis of all systematics [BMW, MILC09, HPQCD/UKQCD]. Av. with stat. err. only + smalles syst. err: **1.193(6)**.

$f_+(0)$ : the only available  $N_f=2+1$  result: **0.9644(49)** [RBC/UKQCD]

Careful reading of the original papers → definition of different data set and/or parameters wrt to PDG



Wrt to PDG09: minor differences on the fit results

$|V_{us}f_+(0)|$  extraction needs calculation of the phase space integrals:

$$I_K^\ell = \int_{m_\ell^2}^{t_0} dt \frac{1}{m_K^8} \lambda^{3/2} \left(1 + \frac{m_\ell^2}{2t}\right) \left(1 - \frac{m_\ell^2}{2t}\right)^2 \left(\bar{f}_+^2(t) + \frac{3m_\ell^2 \Delta_{K\pi}^2}{(2t + m_\ell^2)\lambda} \bar{f}_0^2(t)\right)$$

- **Class II:** based on a systematic mathematical expansion (e.g. Taylor, “z-par.”)
  - freedom to determine high-order terms from data
  - **strong par. correlation** → no sensitivity to high order terms ( $\lambda_0''$ ) [PoS 2008(KAON)002]
  - accurate description in physical region **needs at least 2<sup>nd</sup> Taylor exp.** [PLB638(2009)480]
  - test of low-energy dynamics involving Callan-Treiman th. **needs orders > 2<sup>nd</sup>.**
  
- **Class I:** to reduce the number of parameters, impose additional physical constraints
  - **pole:** dominance of single resonance  $M_{V,S}$  (one free parameter)
    - vector:  $K^*(892)$  ok; scalar: **no obvious dominance**.
  - **dispersive:** ff analytic (except real  $t > (m_K + m_\pi)^2$ ) functions in the complex t-plane.
    - vector: numerically similar to pole ( $K^*(892)$  dominance);
    - scalar: necessary without dominant one-particle intermediate state.

Results from

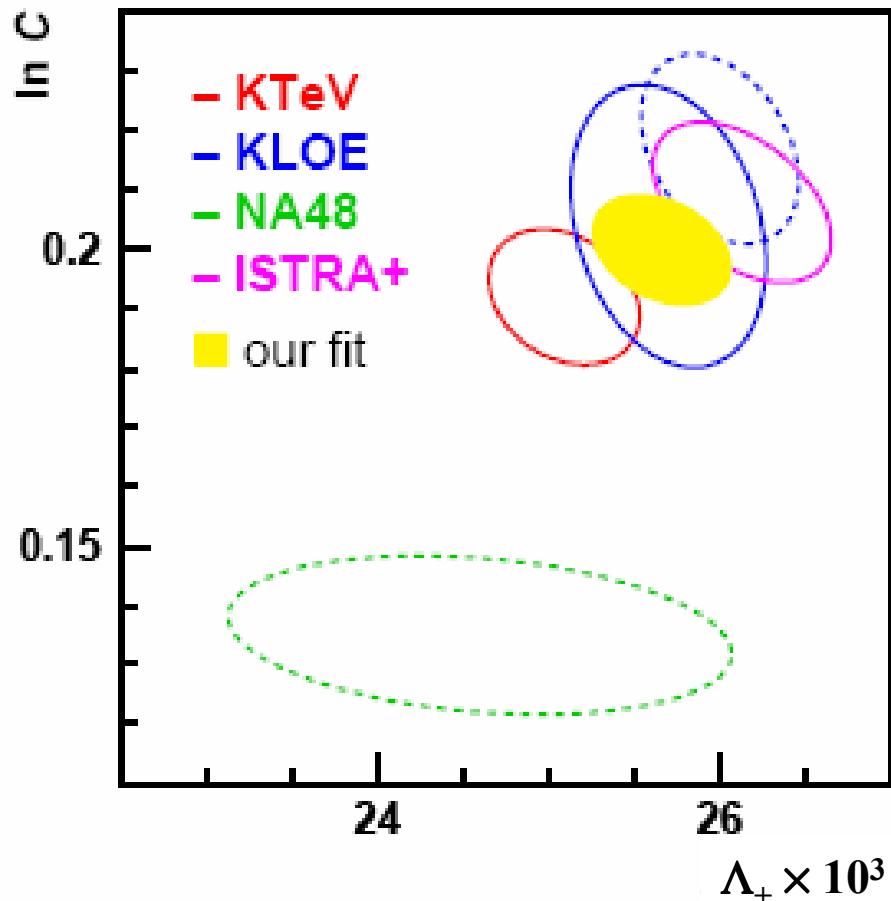
KTeV

KLOE

ISTRAP+

NA48

This fit



Dashed lines show NA48\* and preliminary KLOE data not in fit

$$\Lambda_+ \times 10^3 = 25.66 \pm 0.41$$

$$\ln C = 0.2004(91)$$

$$\rho(\Lambda_+, \ln C) = -0.33$$

$$\chi^2/\text{ndf} = 5.6/5 (34\%)$$

### Integrals

| Mode          | Quad-lin    | Disp               |
|---------------|-------------|--------------------|
| $K^0_{e3}$    | 0.15457(20) | <b>0.15476(18)</b> |
| $K^+_{e3}$    | 0.15894(21) | <b>0.15922(18)</b> |
| $K^0_{\mu 3}$ | 0.10266(20) | <b>0.10253(16)</b> |
| $K^+_{\mu 3}$ | 0.10564(20) | <b>0.10559(17)</b> |

Maximum change 0.2% if same data used as for quad-lin fits

# *K $\mu$ 3 scalar ff: test of $\chi$ PT*

Dispersive parameterization for  $f_0(t)$  plus Callan-Treiman relation

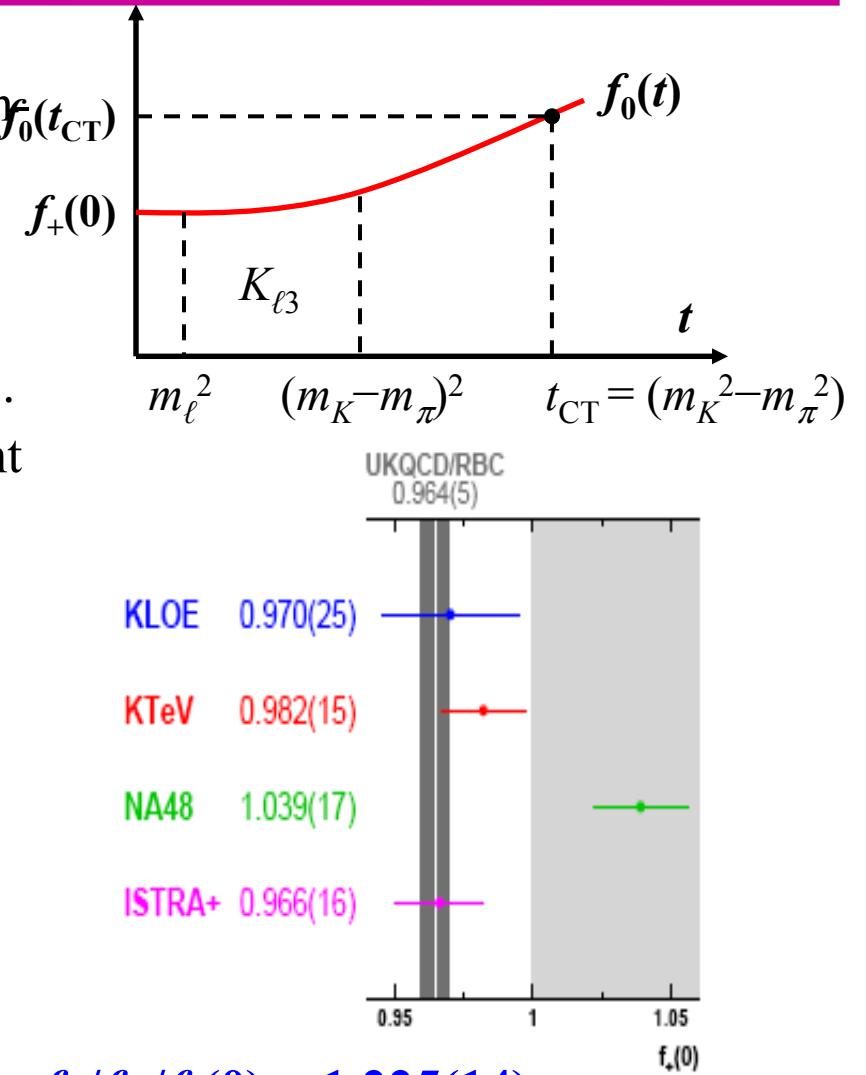
$$C \equiv \tilde{f}_0(\Delta_{K\pi}) = \frac{f_K}{f_\pi} \frac{1}{f_+(0)} + \Delta_{CT}$$

Assuming a  $f_K/f_\pi$  value, obtain a value for  $f_+(0)$ .

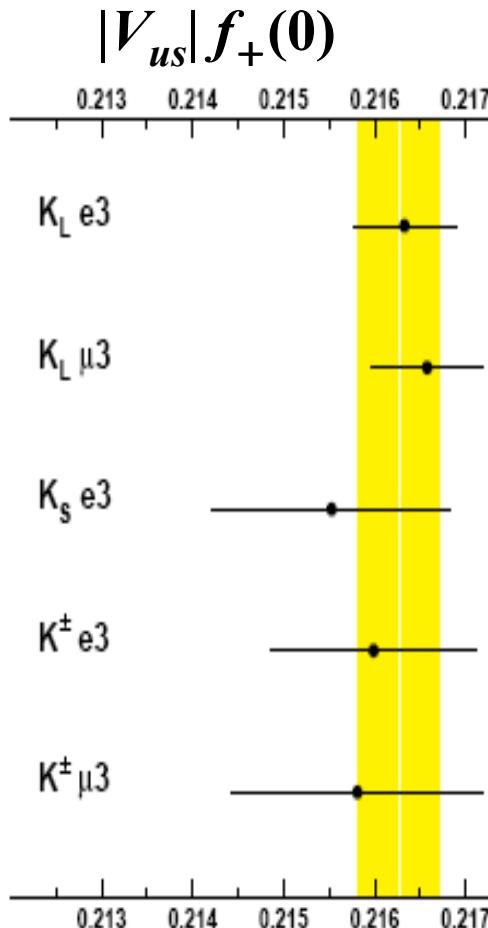
Consistency test between scalar ff measurement and lattice calculations.

WA for  $\ln C$  gives:  $f_+(0) = 0.974(12)$

NA48 value is inconsistent with theoretical expectations:  $f_+(0) < 1 \rightarrow$  exclude NA48 K $\mu$ 3 ff from averages used for  $V_{us}$ .

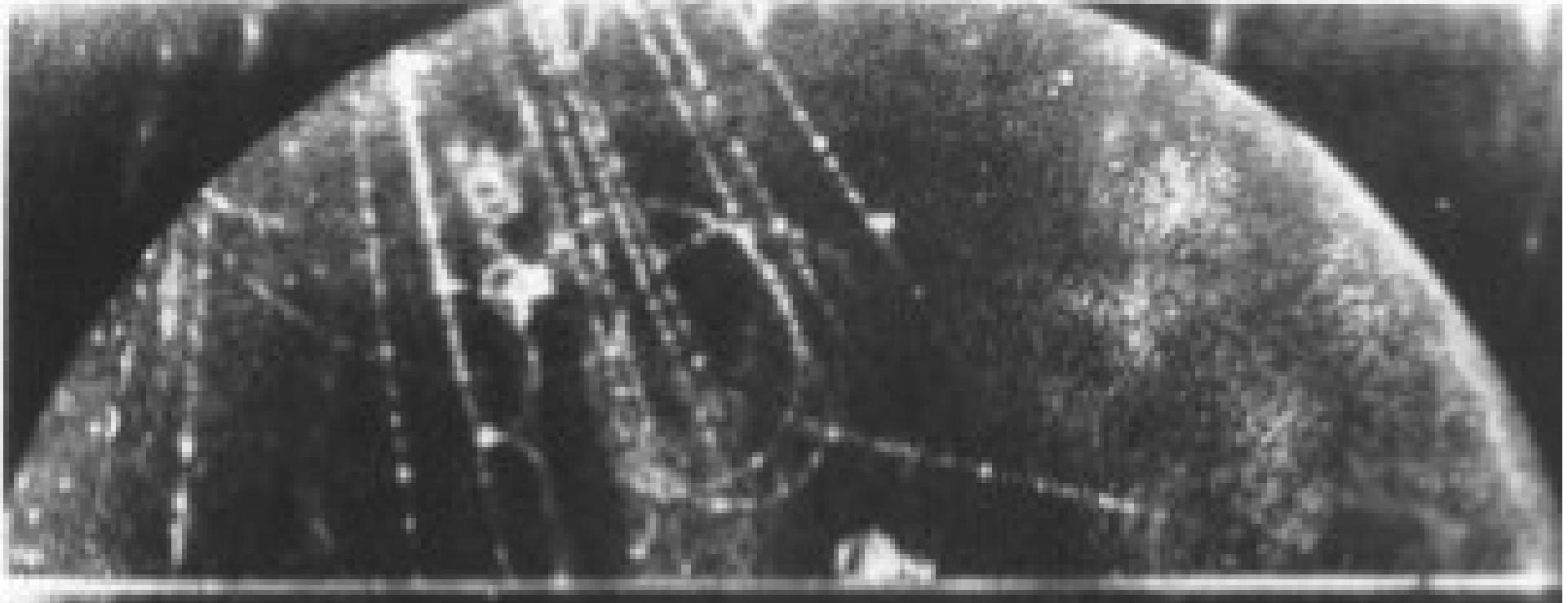


WA exp. data on  $\ln C$  alone gives  $f_K/f_\pi/f_+(0) = 1.225(14)$   
completely independent of any information from lattice estimates



|               |               | $ V_{us} f_+(0)$ | Approx. contrib. to % err from: |      |        |          |      |
|---------------|---------------|------------------|---------------------------------|------|--------|----------|------|
|               |               |                  | % err                           | BR   | $\tau$ | $\Delta$ | Int  |
| $K_L e3$      | $K_L e3$      | 0.2163(6)        | 0.26                            | 0.09 | 0.20   | 0.11     | 0.06 |
| $K_L \mu 3$   | $K_L \mu 3$   | 0.2166(6)        | 0.29                            | 0.15 | 0.18   | 0.11     | 0.08 |
| $K_s e3$      | $K_s e3$      | 0.2155(13)       | 0.61                            | 0.60 | 0.03   | 0.11     | 0.06 |
| $K^\pm e3$    | $K^\pm e3$    | 0.2160(11)       | 0.52                            | 0.31 | 0.09   | 0.40     | 0.06 |
| $K^\pm \mu 3$ | $K^\pm \mu 3$ | 0.2158(14)       | 0.63                            | 0.47 | 0.08   | 0.39     | 0.08 |

Average:  $|V_{us}|f_+(0) = 0.2163(5)$        $\chi^2/\text{ndf} = 0.77/4$  (94%)



## **e Precise tests of SM**

**FlaviA**  
<sub>net</sub>  
<sub>Kaon WG</sub>

# Accuracy of $SU(2)$ -breaking corrections

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Fit 5 modes with separate values of  $|V_{us}| f_+(0)$  for  $K^\pm$  and  $K_{L,S}$  modes;  $K^\pm$  modes modes are corrected for the isospin-breaking using  $\delta^{SU(2)}_{\text{theory}} = 2.9(4)\%$ .

When fit performed without  $SU(2)$  corrections for  $K^\pm$  modes; from ratio of neutral- charged-modes, obtains an **experimental estimate of  $\delta^{SU(2)}$ :**

$$\delta^{SU(2)}_{\text{exp}} = 2.7(4)\%$$

- Check of the  $\delta^{SU(2)}$  estimate from  $\chi$ PT; the uncertainty on  $\delta^{SU(2)}_{\text{theory}}$  contributes significantly on the overall uncertainty of  $|V_{us}| f_+(0)$  from charged modes.
- Since  $\delta^{SU(2)}$  can be expressed in terms of the quark mass ratio (at LO):

$$\delta_{SU(2)}^{K^\pm \pi^0} = \frac{3}{4} \frac{1}{R}, \text{ with } R = \frac{m_s - \hat{m}}{m_d - m_u}$$

its phenomenological determination can be **used to derive constraints on the ratio of quark masses**.

# $K_{\ell 3}$ data and lepton universality

For each state of kaon charge, evaluate:

$$r_{\mu e} = \frac{(R_{\mu e})_{\text{obs}}}{(R_{\mu e})_{\text{SM}}} = \frac{\Gamma_{\mu 3}}{\Gamma_{e 3}} \cdot \frac{I_{e 3} (1 + \delta_{e 3})}{I_{\mu 3} (1 + \delta_{\mu 3})} = \frac{[|V_{us}| f_+(0)]_{\mu 3, \text{obs}}^2}{[|V_{us}| f_+(0)]_{e 3, \text{obs}}^2} = \frac{g_\mu^2}{g_e^2}$$

| Modes     | 2004 BRs*        | World data      |
|-----------|------------------|-----------------|
| $K_{L,S}$ | <b>1.040(13)</b> | <b>1.003(5)</b> |
| $K^\pm$   | <b>1.013(12)</b> | <b>0.998(9)</b> |
| Avg       | <b>1.034(10)</b> | <b>1.002(5)</b> |

\*Assuming current values  
for form-factor parameters  
and  $\Delta^{\text{EM}}$ ,  $K_S$  not included

## As statement on lepton universality

Compare to results from world data:

$\pi \rightarrow l\nu$        $(r_{\mu e}) = 1.0042(33)$   
 Ramsey-Musolf, Su & Tulin '07

$\tau \rightarrow l\nu\nu$   $(r_{\mu e}) = 1.000(4)$   
 Davier, Hoecker & Zhang '06

## As statement on calculation of $\delta^{\text{EM}}$

Highly successful

Results confirmed at per-mil level

Determine  $|V_{us}|$  and  $|V_{ud}|$  from a fit to the results:

$$|V_{us}f_+(0)| = 0.2163(5), f_+(0) = 0.964(5);$$

$$|V_{us}|/|V_{ud}|f_K/f_\pi = 0.2758(5), f_K/f_\pi = 1.193(6)$$

$$|V_{us}| = 0.2243(12) \quad [K_{\ell 3} \text{ only}],$$

$$|V_{us}|/|V_{ud}| = 0.2312(13) \quad [K_{\ell 2} \text{ only}].$$

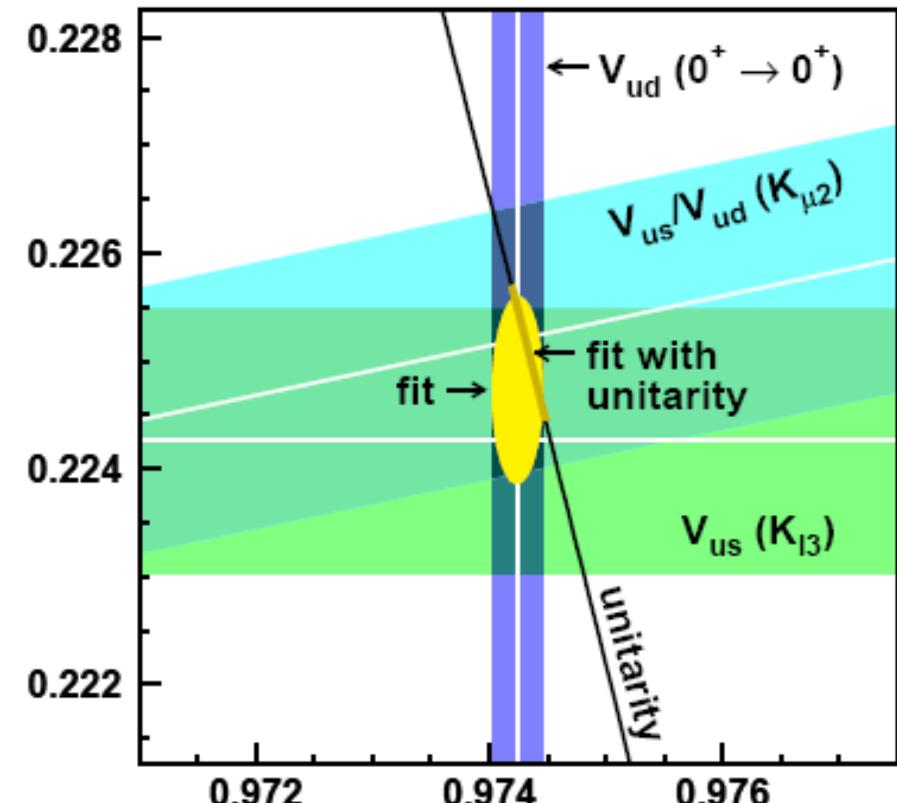
Adding  $|V_{ud}| = 0.97425(22)$ , obtains  
 $(\chi^2/\text{ndf} = 0.29/1, P = 59\%, \text{ negligible correlation between } V_{us} \text{ and } V_{ud})$ :

$$|V_{ud}| = 0.97425(22),$$

$$|V_{us}| = 0.2247(9) \quad [K_{\ell 3}, K_{\ell 2}, 0^+ \rightarrow 0^+],$$

Including in the fit the unitarity constraint, obtains ( $\chi^2/\text{ndf} = 0.60/2, P = 74\%$ ):

$$|V_{us}| = \sin \theta_C = \lambda = 0.2251(6) \quad [\text{with unitarity}]$$



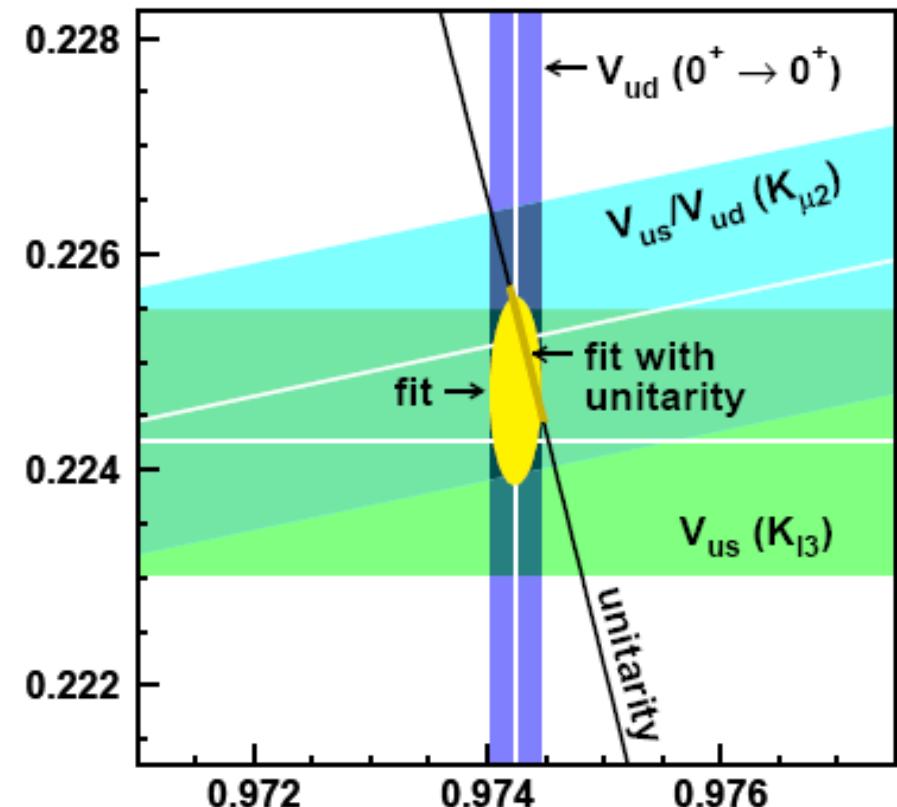
Using the current WA value

$|V_{ub}| = 0.00393(36)$ , the first-row unitarity sum is  $\Delta_{CKM} = -0.0003(6)$ , in agreement within  $0.5\sigma$  with unitarity hypothesis.

Allow to set bounds on the effective scale of the operators that parametrize NP contributions to  $\Delta_{CKM}$ :

- if  $\Delta_{CKM} < 0$ ,  $\Lambda > 9.7 \text{ TeV (90\% C.L.)}$ ;
- if  $\Delta_{CKM} > 0$ ,  $\Lambda > 13.3 \text{ TeV (90\% C.L.)}$ .

For three operators ( $ll$ ,  $\phi l$ ,  $\phi q$ ), constraint at the same level as Z-pole measurements;  
**for the 4-fermion operator ( $lq$ ), improves LEP2 bounds by one order of magnitude.**

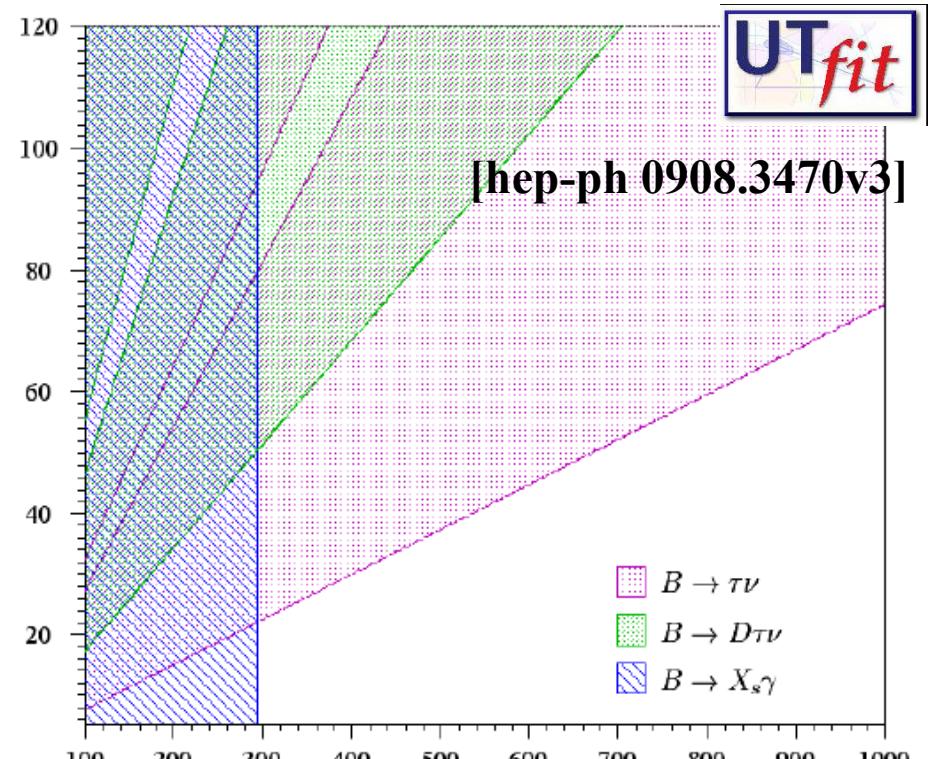
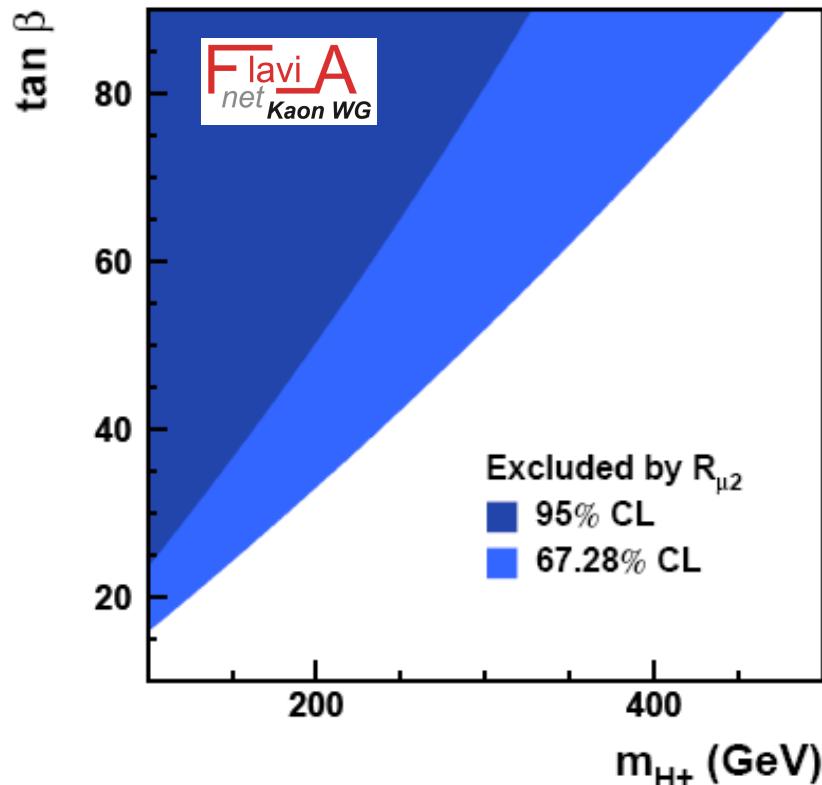


# FlaviA<sub>net</sub><sub>Kaon WG</sub> Bounds on non helicity-suppressed amps

With a 3-parameter fit ( $V_{us}$  from Kl3,  $V_{us}/V_{ud}$  from Kμ2,  $V_{ud}$ ) with 1 constraint:  $[V_{us}(K_{l3})]^2 + [V_{ud}(0^+ \rightarrow 0^+)]^2 + [V_{ub}]^2 = 1$ , obtains ( $\chi^2/\text{ndf} = 0.57/1$  P=45%,  $\rho = -0.54$ ):

|                         |  |
|-------------------------|--|
| $ V_{us}  = 0.2250(7)$  | $[K_{\ell 3}, 0^+ \rightarrow 0^+, \text{unitarity}],$ |
| $R_{\mu 23} = 1.001(7)$ | $[K_{\mu 2}].$   |

this excludes the region at low  $m_{H^+}$  and large  $\tan \beta$  favoured by  $B \rightarrow \tau\nu$ .



$$Q_{\ell 2} = \frac{(|V_{us}|f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+(0)^2} \times \frac{f_K^2}{f_\pi^2}$$

↓      ↓      ↓  
 From decay rates      From nucl.  
 and rad. corr.      β-decay      From Kl3

**Straight calculation from Kμ2/πμ2 relation and assuming SM:**

- Use  $Q_{\ell 2} = \frac{\Gamma_{K_{\ell 2}^\pm(\gamma)}}{\Gamma_{\pi_{\ell 2}^\pm(\gamma)}} \frac{1}{(1 + \delta_{\text{em}})} = 0.07604(26)$
- Obtain  $f_K/f_\pi / f_+(0) = 1.242(4)$   
 depends on decay rate data, radiative corrections; unitarity not assumed, although  $V_{us}$  equality in Kμ2 and Kl3 decays is
- using  $f_+(0) = 0.965(4)$  obtain  $f_K/f_\pi = 1.198(7)$
- using  $f_K/f_\pi = 1.193(6)$  obtain  $f_+(0) = 0.960(6)$

$$Q_{\ell 2} = \frac{(|V_{us}|f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+(0)^2} \times \frac{f_K^2}{f_\pi^2}$$

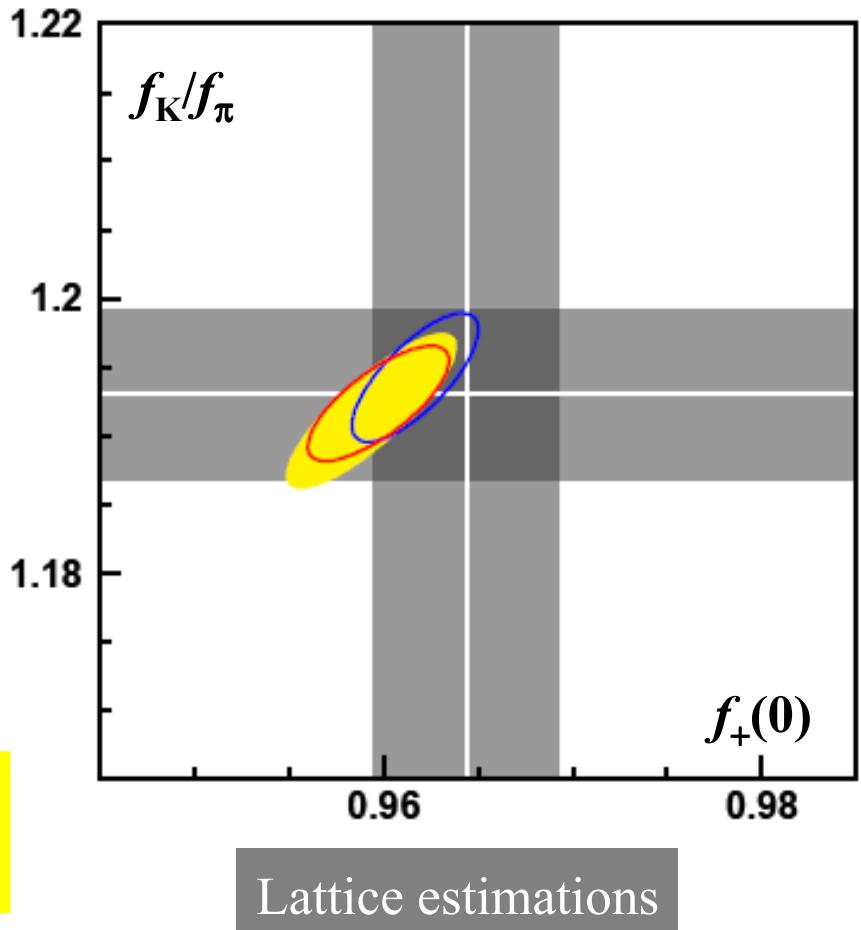
From decay rates      From nucl.  
and rad. corr.       $\beta$ -decay      From Kl3

**Assuming SM**

- $f_K/f_\pi$  and  $f_+(0)$  values from a fit.
- 5 parameters:  $V_{ud}$ ,  $V_{us}f_+(0)$ ,  $Q_{\ell 2}$ ,  $f_K/f_\pi$ , and  $f_+(0)$ .
  - 3 inputs:  $V_{ud}$ ,  $V_{us}f_+(0)$ ,  $Q_{\ell 2}$
  - 2 constraints:  
 $\Gamma(K\mu 2)/\Gamma(\pi\mu 2)$  relation and Unitarity
- Obtain (correlation  $\rho=0.83$ ) :

$$f_+(0) = 0.959(4),$$

$$|f_K/f_\pi| = 1.192(6) \quad [\text{with unitarity}]$$



$$Q_{\ell 2} = \frac{(|V_{us}|f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+^2(0)} \times \frac{f_K^2}{f_\pi^2}$$

From decay rates  
and rad. corr.

From nucl.  
β-decay

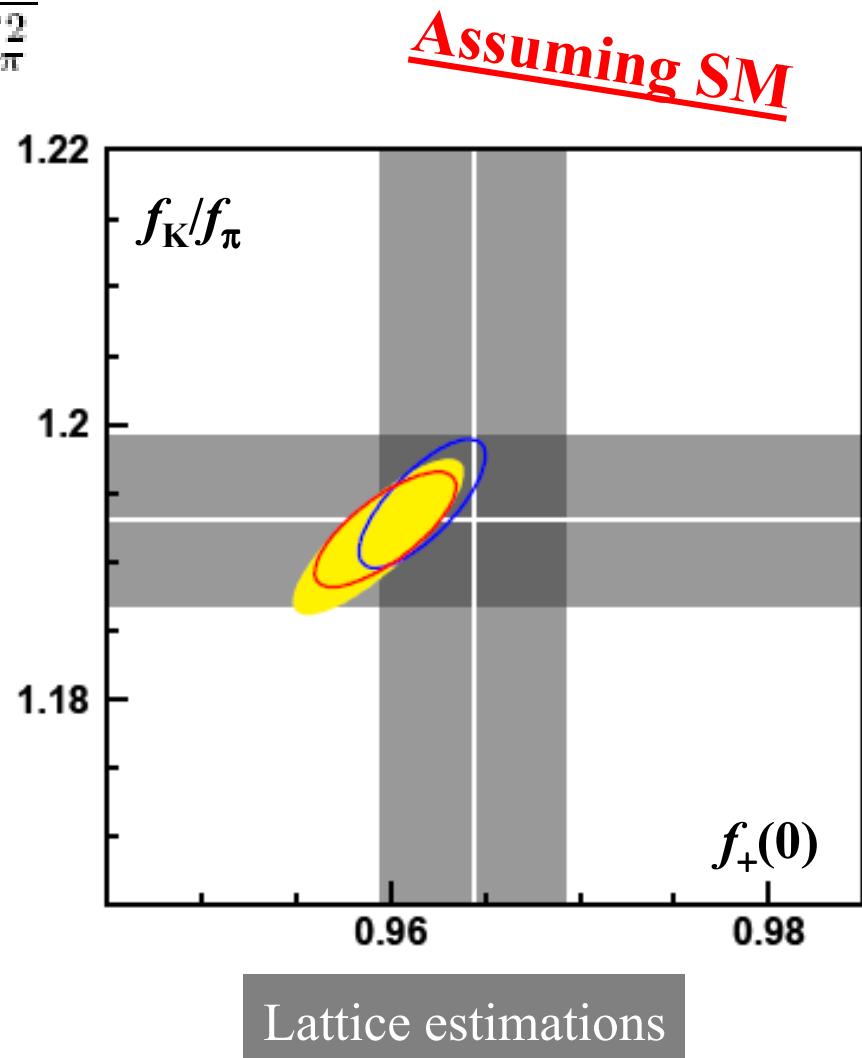
From Kl3

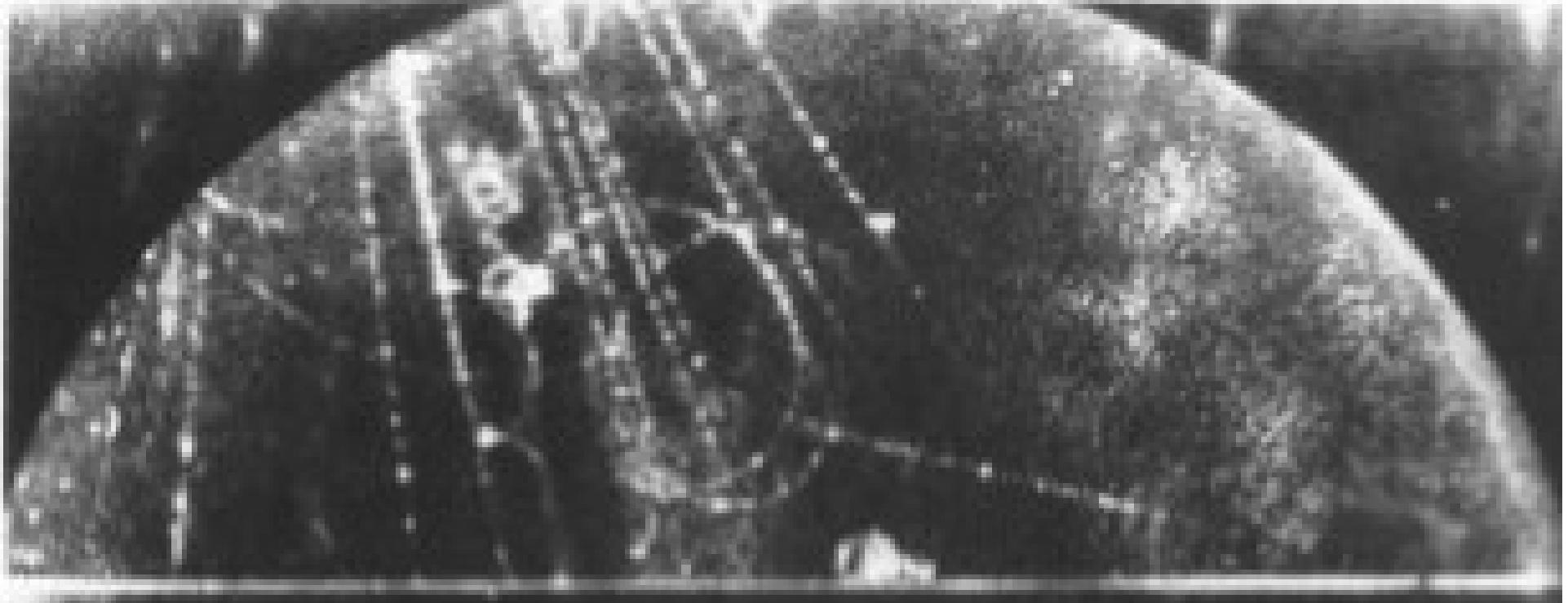
With either of reference values of  $f_K/f_\pi$   
or  $f_+(0)$  as an **additional** input:

- with input  $f_+(0)=0.964(5)$ , obtain  
 **$f_+(0)=0.962(3)$  and  $f_K/f_\pi = 1.194(5)$**

- with input  $f_K/f_\pi = 1.193(6)$ , obtain  
 **$f_+(0)=0.960(4)$  and  $f_K/f_\pi = 1.192(4)$ .**

Reference values nicely consistent  
with data (assuming SM)

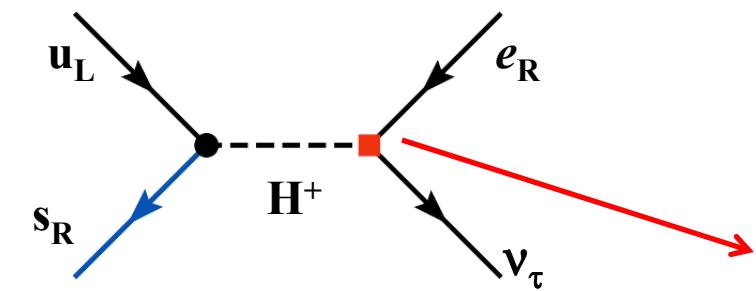




## Measurement of $R_K$

# ***NP potential of $R_K = \Gamma(K^\pm_{e2})/\Gamma(K^\pm_{\mu 2})$***

- SM prediction with 0.04% precision, benefits of cancellation of hadronic uncertainties (no  $f_K$ ):  $R_K = 2.477(1) \times 10^{-5}$  [Cirigliano-Rosell arXiv:0707:4464].
- Helicity suppression can boost NP [Masiero-Paradisi-Petronzio PRD74(2006)011701].

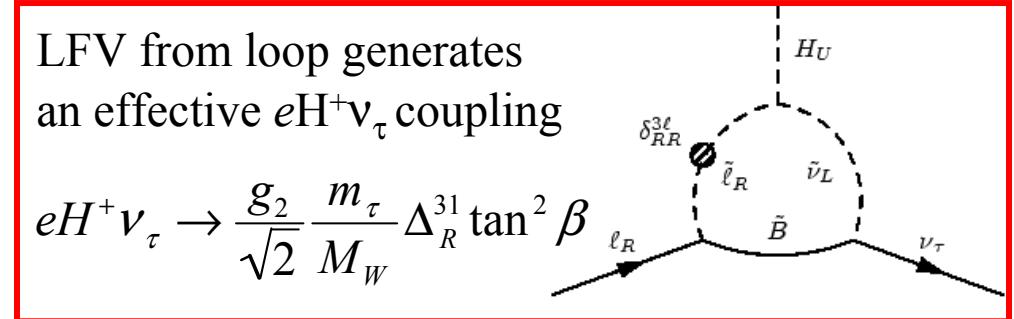


$$R_K^{LFV} \approx R_K^{SM} \left( 1 + \frac{m_K^4}{m_H^4} \frac{m_\tau^2}{m_e^2} |\Delta_R^{31}|^2 \tan^6 \beta \right)$$

$$R_K^{LFV} = \frac{\sum_i K \rightarrow e \nu_i}{\sum_i K \rightarrow \mu \nu_i} \approx \frac{\Gamma_{SM}(K \rightarrow e \nu_e) + \Gamma(K \rightarrow e \nu_\tau)}{\Gamma_{SM}(K \rightarrow \mu \nu_\mu)}$$

LFV from loop generates an effective  $eH^+\nu_\tau$  coupling

$$eH^+\nu_\tau \rightarrow \frac{g_2}{\sqrt{2}} \frac{m_\tau}{M_W} \Delta_R^{31} \tan^2 \beta$$

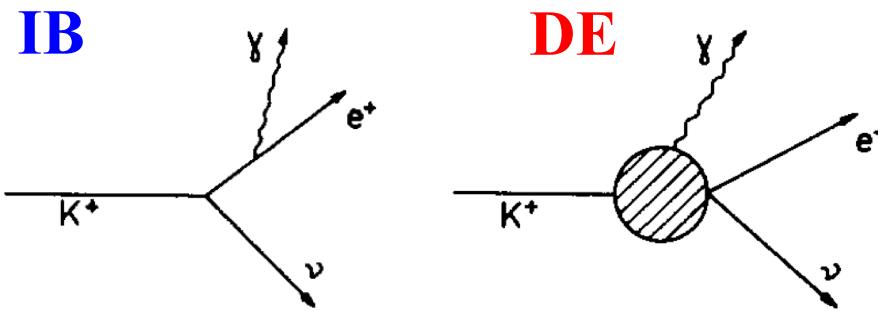


LFV can give **O(1%) deviation from SM ( $\Delta_R^{31} \sim 5 \times 10^{-4}$ ,  $\tan\beta \sim 40$ ,  $m_H \sim 500$  GeV)**

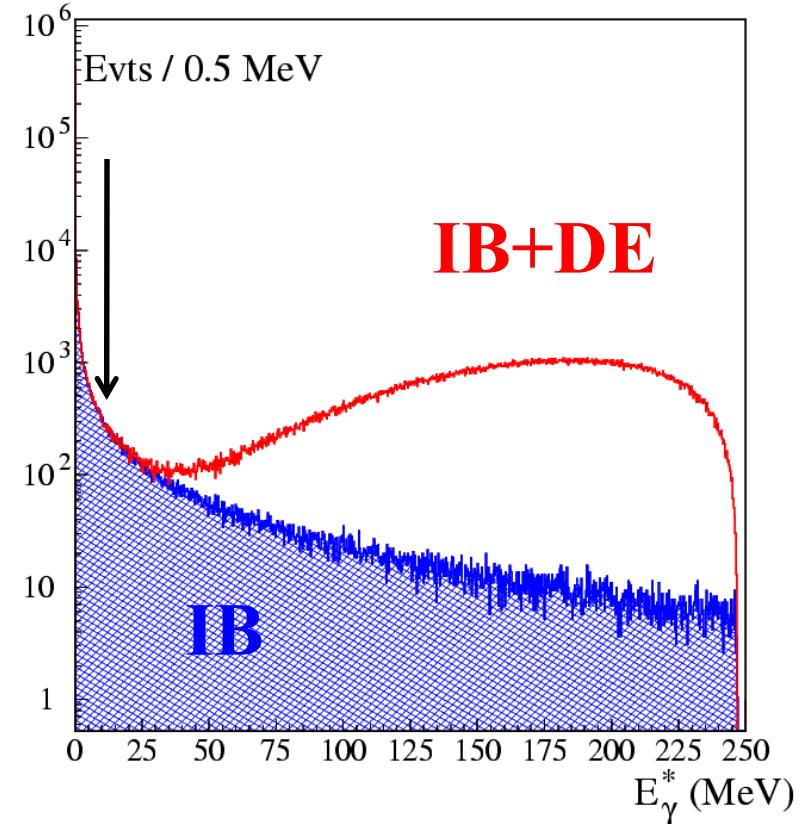
- Experimental accuracy on  $R_K$  (before KLOE and NA62 results) at 5% level.
- Measurements of  $R_K$  can be very interesting, **if error at 1% level or better.**

# *Ke2( $\gamma$ ): signal definition*

SM prediction is defined to be inclusive of **IB** (ignoring **DE** contributions).



From theory (ChPT) expect **DE  $\sim$  IB** for Ke2, but experimental knowledge is poor:  **$\delta DE/DE \sim 15\%$**



- Define as “signal” events with  $E_\gamma < 10$  MeV.
- Evaluating **IB** spectrum ( $O(\alpha)$ +resummation of leading logs) obtain a  $0.0625(5)$  correction for the IB tail.
- Under 10 MeV, the **DE** contribution is expected to be negligible.

**NA48/2: unseparated, simultaneous  $K^\pm$  highly collimated beams, designed to precisely measure  $K^\pm \rightarrow \pi^{+,0}\pi^{-,0}\pi^\pm$  dalitz-plot density**

- 2003 data set

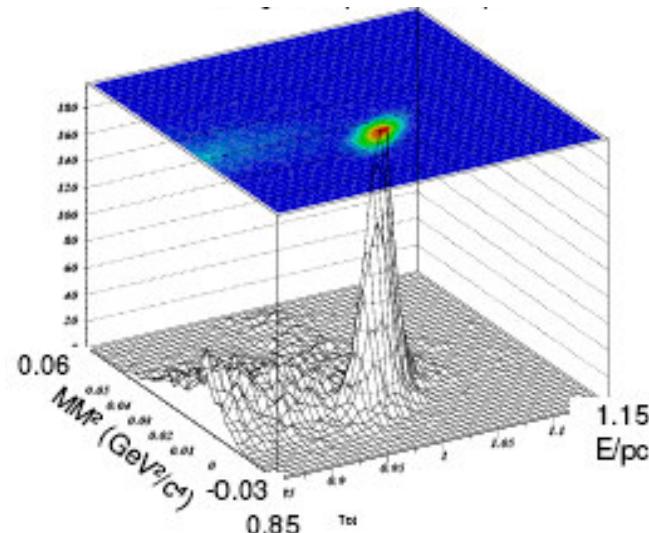
$K_{e2}^\pm$  signature:  $E/p=1$  &  $m_\nu^2=0$

$N_{TOT} = 5329 (73)$ ; Bkg = 659 (26)

$N_{SIG} = 4670 (77)(^{+29}_{-8})_{SYST}$

- Preliminary (EPS05) NA48/2 measurement.

|                      | $R_K \times 10^5$                                   |
|----------------------|---|
| <b>PDG average</b>   | <b>2.45 (11)</b>                                    |
| <b>SM prediction</b> | <b>2.472 (1)</b>                                    |
| <b>NA48/2 (2003)</b> | <b>2.416 (43)<sub>STAT</sub>(24)<sub>SYST</sub></b> |



#### Future:

- **NA48/2** 2004 statistics: about  $\times 2$  of 2003
- **KLOE** complete data set ( $2.5 \text{ fb}^{-1}$ )

- Result: slight discrepancy between  $R_K$  measurement and the SM prediction

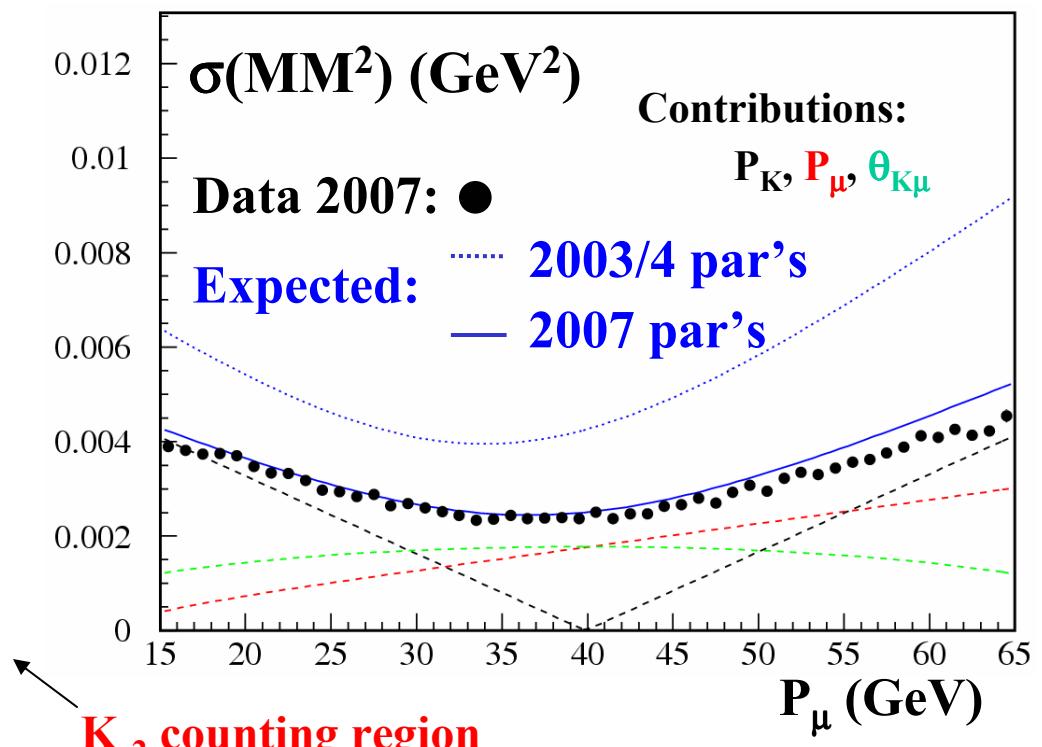
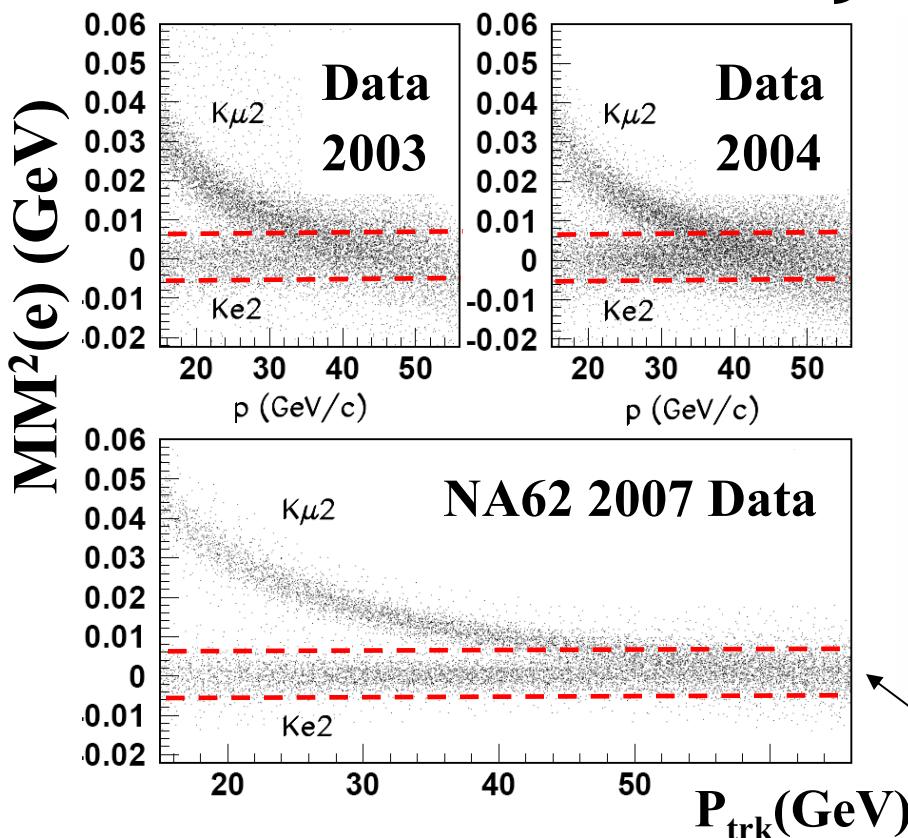
First useful data in 2003/4 NA48/2 runs, preliminary results for  $R_K$  (now obsolete...)

...then design of NA62 run optimized for  $\text{Ke}2/\text{K}\mu2$ ; major parameters tuned:

$P_K$ : ~60 GeV → ~75 GeV

Momentum bite: 3.8% → 2.5%

MM<sup>2</sup> resolution improved  
 Better separation for Ke2 and Kμ2



# *Analysis of $R_K$ : $\mu$ background*

Electron PID by LKr:  $0.95 < E_{\text{cl}}/P_{\text{trk}} < 1.10$  guaranteeing rejection by  $\sim 10^6$ !

But: check probability for  $\mu$ 's to fake e's [ $O(10^{-6})$ ] by directly measuring it:

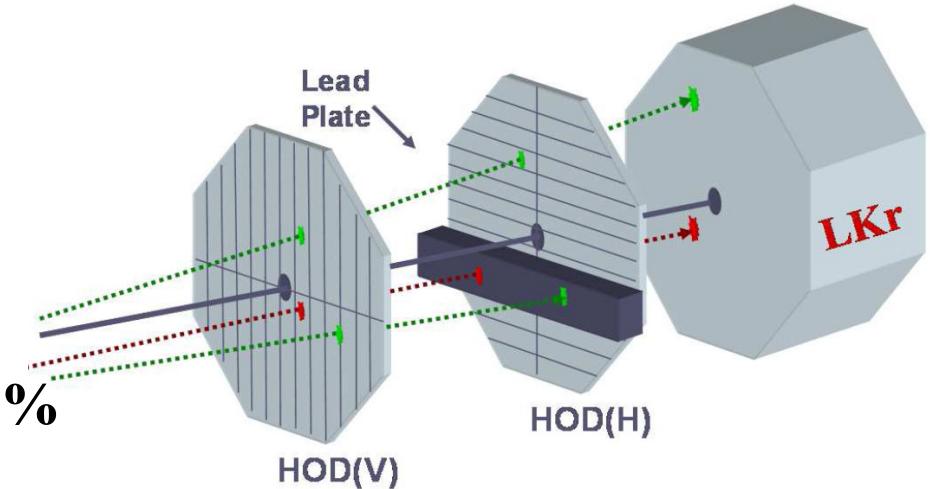
Subsample of data taken with Pb wall between HOD's

Use HOD pulse heights to select  $\mu$ 's (pure @  $< 10^{-7}$ ) with MIP energy loss in Pb

Evaluate **6.28(17)%  $K\mu 2$  bkg to  $Ke2$ , error dominated by sample statistics**

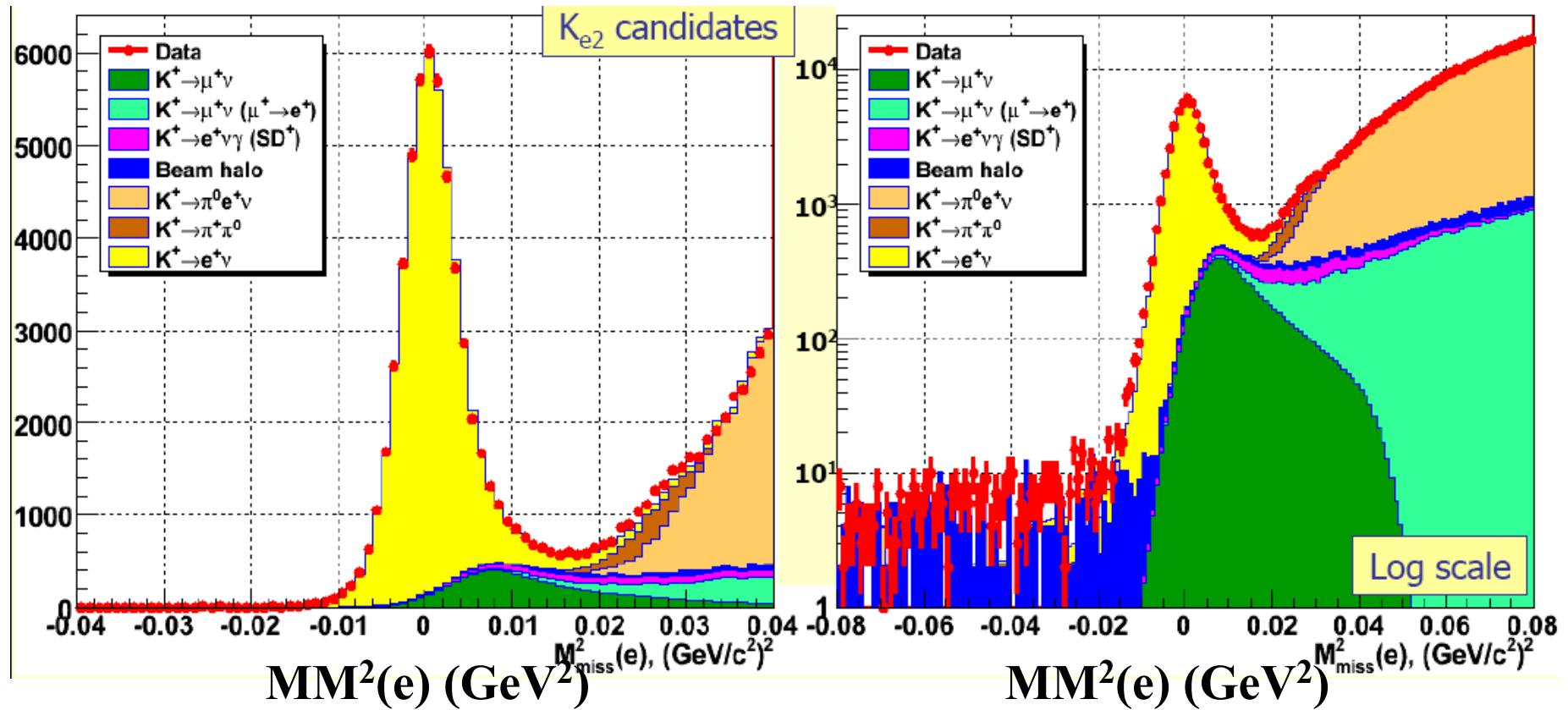
**Ke2 $\gamma$  Direct-Emission background suppressed by photon-veto w LKr**

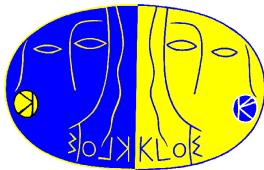
Total background to  $Ke2$ : **8.03(23)%**



Data taking lasted 4 months: the world largest data set of Ke2,  $> 100$  Kevts

Preliminary result presented in 2009 from 51089 candidates





# Charged kaon at KLOE

$\phi$  decay at rest provides pure kaon beams of known momentum

$p_K \sim 100$  MeV

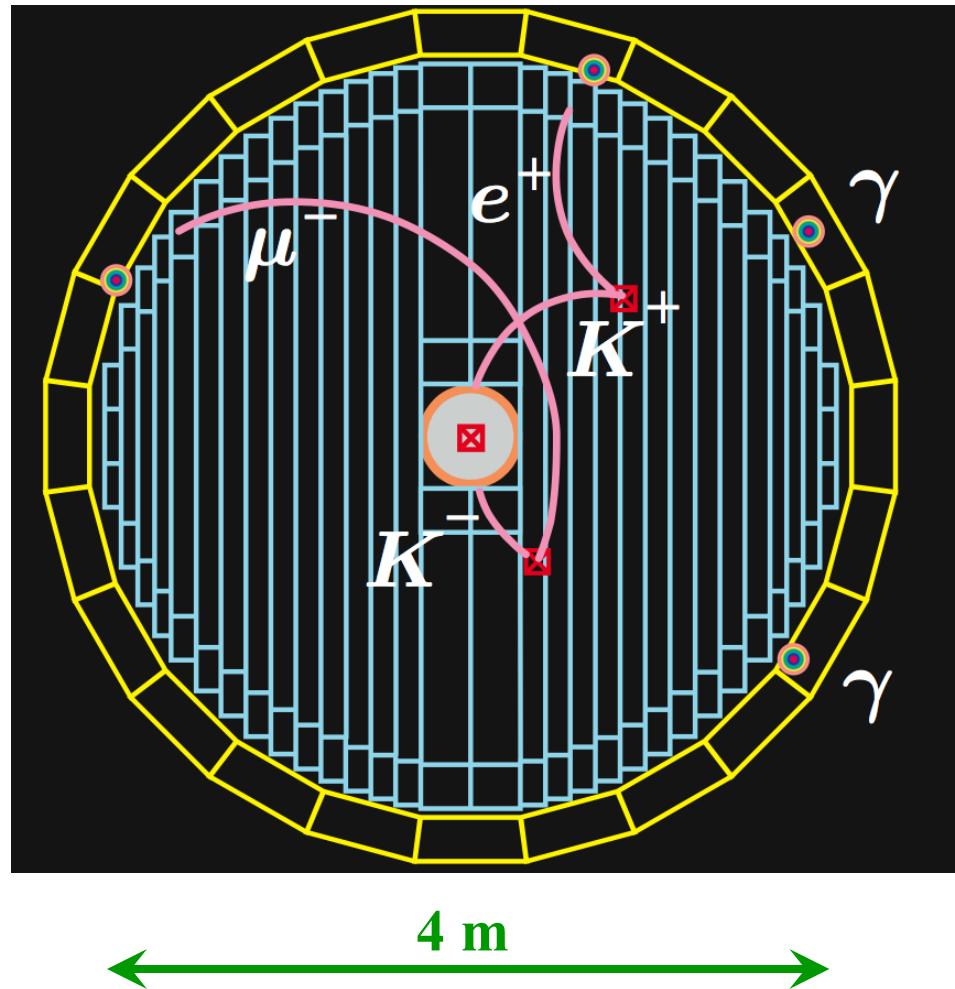
$\lambda \sim 90$  cm (56% of  $K^\pm$  decay in DC).

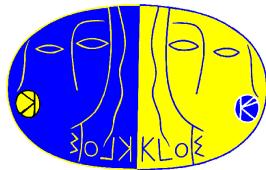
Kaon momentum measured (event by event) with 1 MeV resolution in DC.

Constraints from  $\phi$  2-body decay.

Particle ID with kinematics and ToF.

Tagging provides unbiased control samples for efficiency measurement.





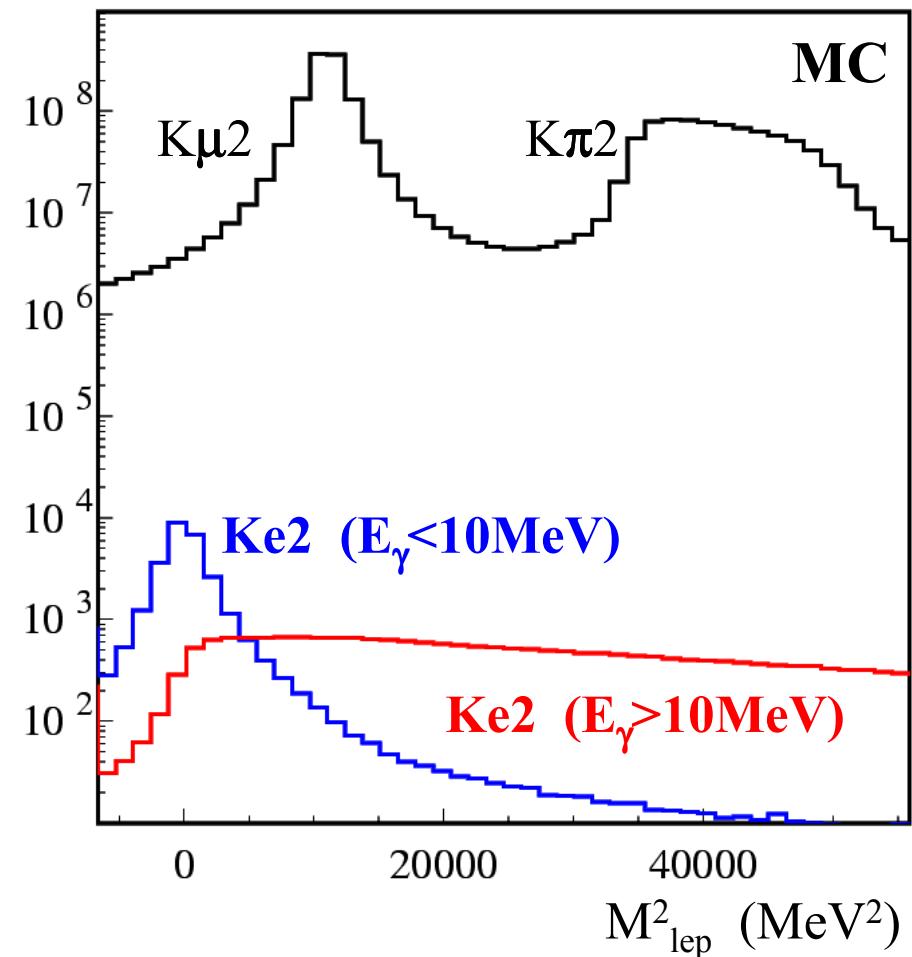
# *Analysis basic principles*

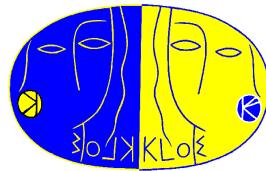
From K and secondary tracks and assuming  $m_\nu=0$ , get  $M_{\text{lep}}^2$ :

$$M_{\text{lep}}^2 = (E_K - p_{\text{miss}})^2 - p_{\text{lep}}^2.$$

Around  $M_{\text{lep}}^2=0$  we get **S/B  $\sim 10^{-3}$** , mainly due to tails on the momentum resolution of  $K\mu 2$  events.

- after track quality cuts, accept  $\sim 35\%$  of decays in the FV
- **S/B  $\sim 1/20$** , not enough!
- require the lepton track to be extrapolable to the calorimeter surface and to be associated to an energy release (cluster).

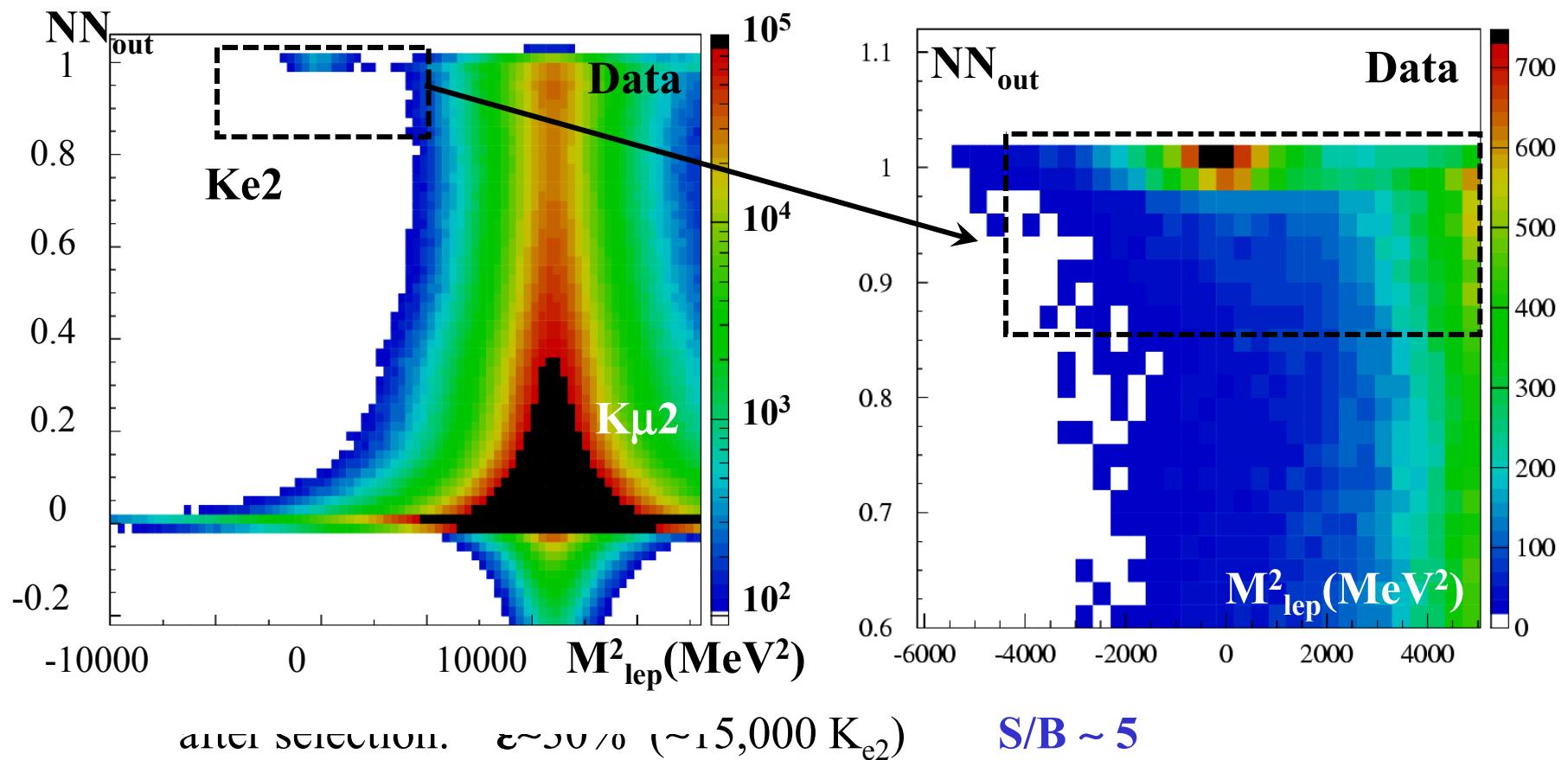


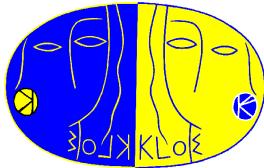


# Background rejection (PID)

$\text{NN}_{\text{out}}$ : Particle ID exploiting EMC granularity + E/p + ToF

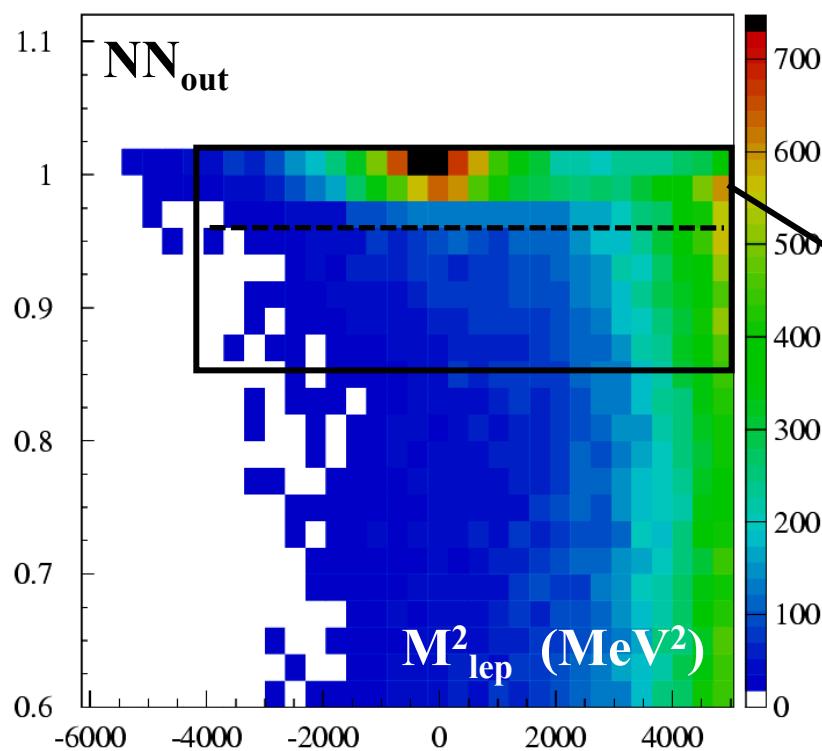
Select a region with good S/B ratio in the  $M^2_{\text{lep}} - \text{NN}_{\text{out}}$  plane



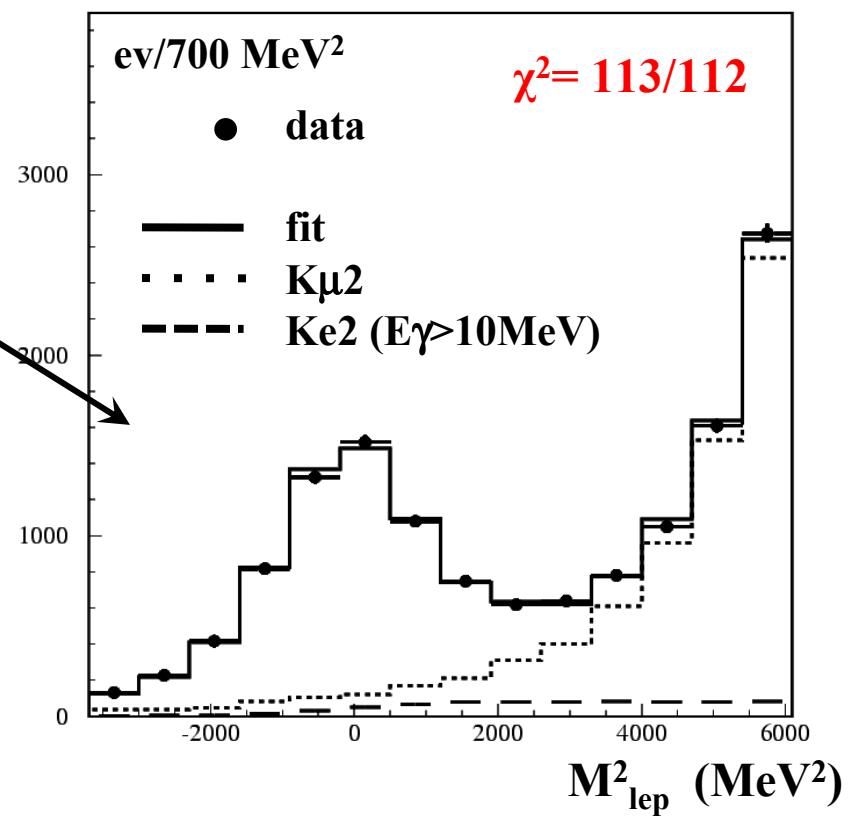


## *K<sub>e2</sub> event counting*

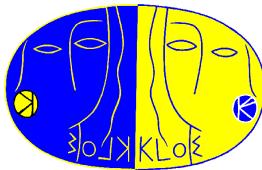
Two-dimensional binned likelihood fit in the  $M_{\text{lep}}^2 - \text{NN}_{\text{out}}$  plane  
in the region  $-4000 < M_{\text{lep}}^2 < 6100$  and  $0.86 < \text{NN}_{\text{out}} < 1.02$



Ke2+ fit;  $M_{\text{lep}}^2$  proj for  $\text{NN}_{\text{out}} > 0.96$

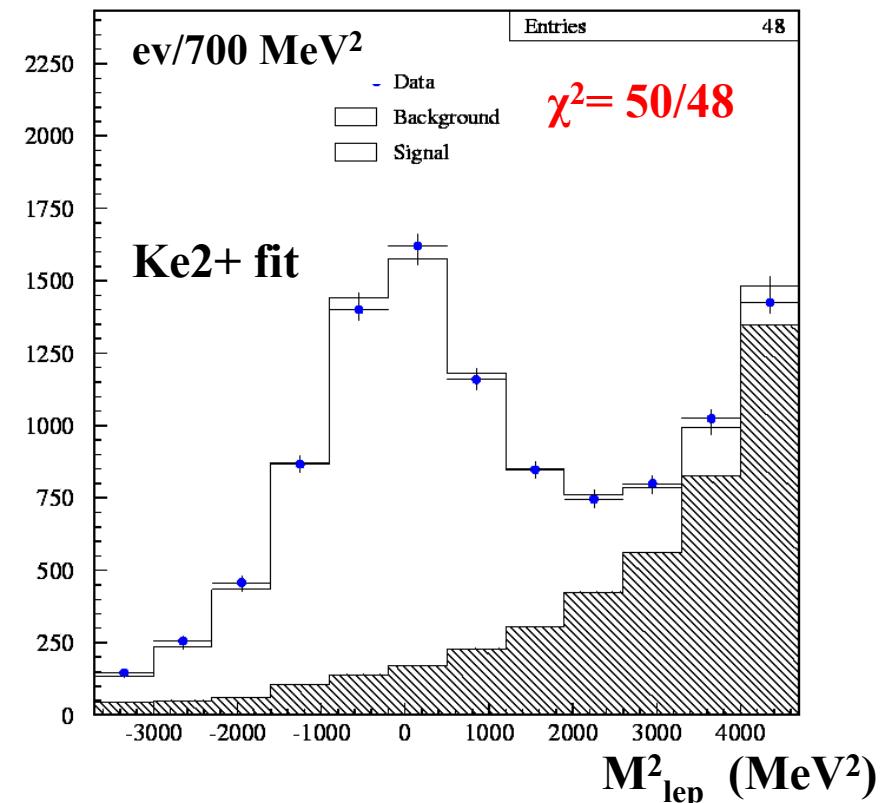
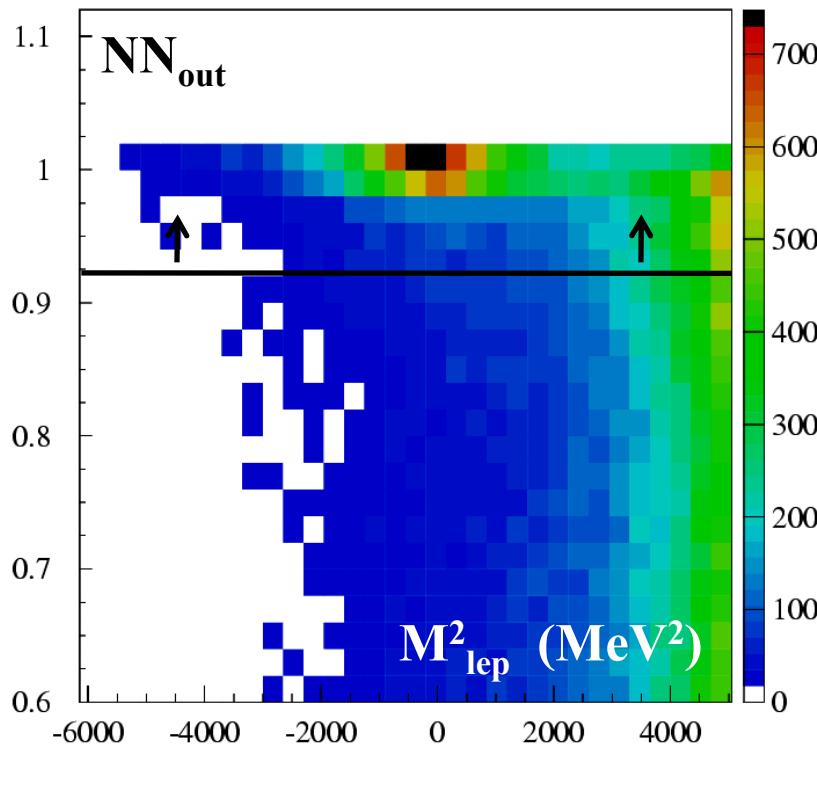


We count **7060 (102) Ke2+** **6750 (101) Ke2-** ( $\sigma_{\text{STAT}} = 1\%, 0.85\%$  from Ke2)



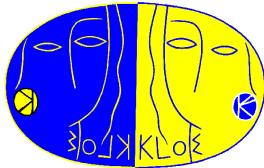
# *K<sub>e2</sub> event counting: systematics*

Repeat fit with different values of **max(M<sup>2</sup><sub>lep</sub>)** and **min(NN<sub>out</sub>)**:  
vary significantly ( $\times 20$ ) bkg contamination + lever arm.



minimal bkg with:  $-4000 < M^2_{\text{lep}} < 4650$  and  $0.94 < \text{NN}_{\text{out}} < 1.02$

maximum bkg with:  $-4000 < M^2_{\text{lep}} < 7500$  and  $0.78 < \text{NN}_{\text{out}} < 1.02$



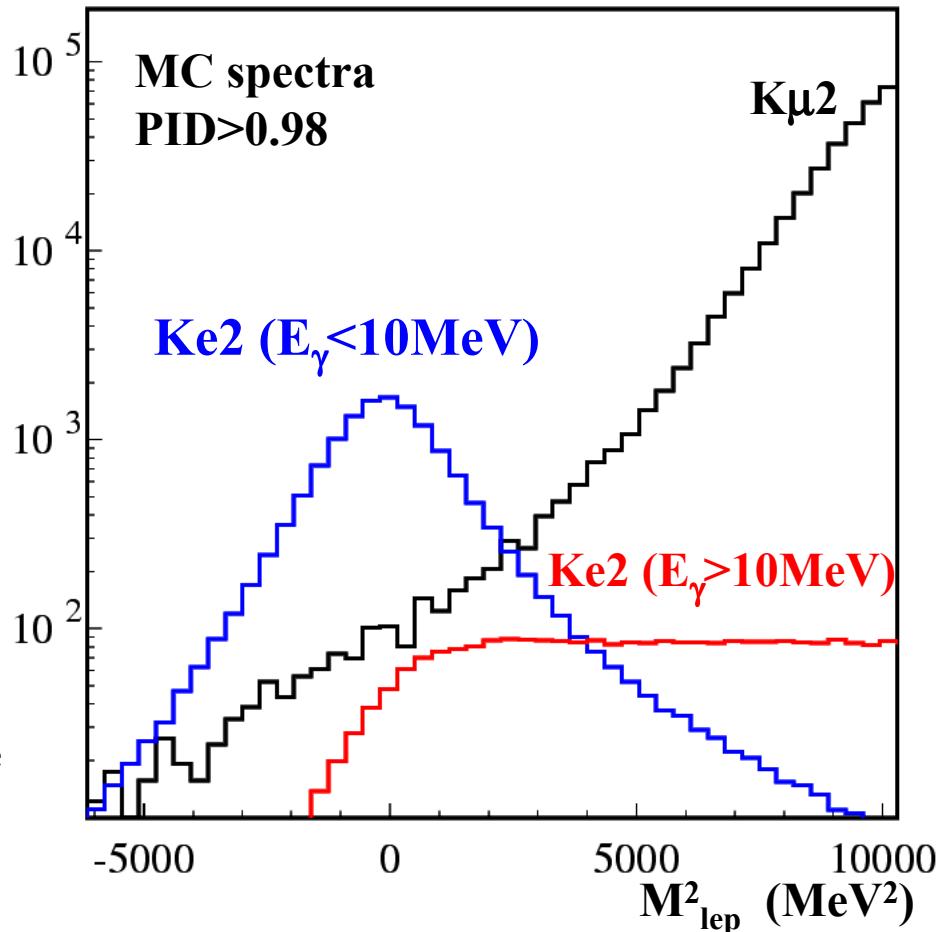
## Ke2 fit: radiative corrections

- Analysis **inclusive of photons in the final state**. In our  $\pi^+ region we expect:$

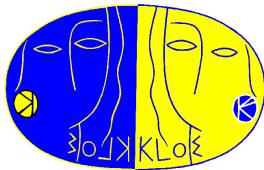
$$\frac{\text{Ke2 } (E_\gamma > 10 \text{ MeV})}{\text{Ke2 } (E_\gamma < 10 \text{ MeV})} \sim 10\%$$

- Repeat fit by varying Ke2 ( $E_\gamma > 10$  MeV) by 15% (DE uncertainty) get **0.5% error**.

KLOE performed a **dedicated study of the Ke2 $\gamma$  differential decay rate**



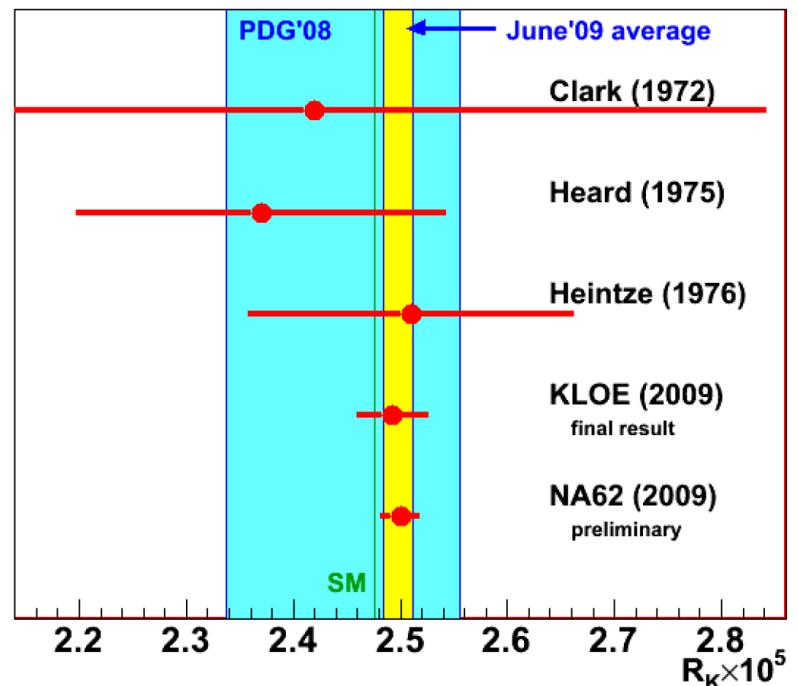
This confirm the SD content of MC, evaluated with ChPT O( $p^4$ ), within an accuracy of 4.6% and allows a 0.2% systematic error on Ke2<sub>IB</sub> to be assessed



# New results for $R_K$ (2009)

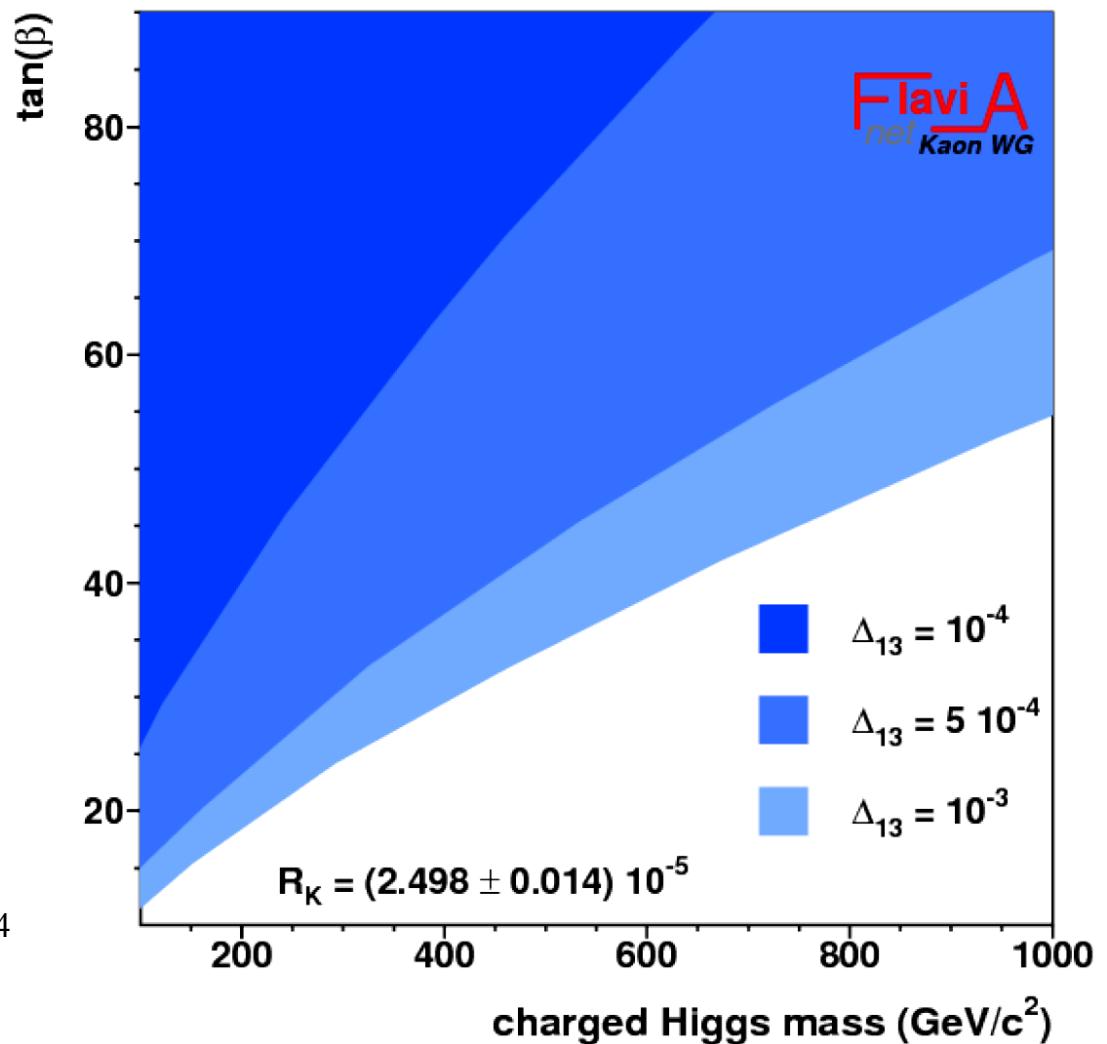


| Experiment         | KLOE                             | NA62  |
|--------------------|----------------------------------|---|
| Ke2's on tape      | 30 k                             | 100 k   |
| Kin. Rejection     | $10^3$ @ $\epsilon \sim 60\%$    | $10^3$ —1, $p_{\text{lep}}$ in 20—60 GeV                |
| e/ $\mu$ rejection | $10^3$                           | $3$ — $1.5 \times 10^5$ , $p_{\text{lep}}$ in 20—60 GeV |
| Bkg to Ke2         | 16%                              | 8%  |
| Ke2g (SD)          | Include as bkg<br>Dedicated mmt. | Suppress in analysis                                    |
| Ke2 counts         | 14 k                             | 50 k  |
| $R_K \times 10^5$  | $2.493(25)(19)$                  | $2.500(12)(11)$   |
| Total error        | 1.3%                             | 0.64%   |
| Status             | Final result                     | Preliminary   |



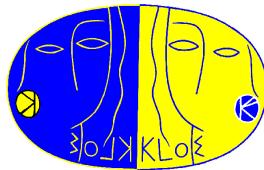
- PDG 2008:  $R_K = (2.45 \pm 0.11) \times 10^{-5}$   
 (4.5% accuracy)
- 2009 WA:  $R_K = 2.498(4) \times 10^{-5}$   
 (1% accuracy)
- Compare with SM prediction:  
 $R_K^{\text{SM}} = 2.477(1) \times 10^{-5}$ .

Test NP from LFV transitions in R-parity SUSY: sensitivity shown as 95% CL excluded regions in the  $\tan\beta - M_H$  plane, for different values of the LFV effective coupling,  $\Delta_{13} = 10^{-3}, 5 \times 10^{-4}, 10^{-4}$



6

(Near) future



# KLOE and DaΦne

$e^+e^-$  collider, cm energy:  $\sqrt{s} \sim m_\phi = 1019.4$  MeV

Angle between the beams at IP:  $\alpha \sim 12.5$  mrad

Residual laboratory momentum of  $\phi$ :  $p_\phi \sim 13$  MeV

Cross section for  $\phi$  production at peak:  $\sigma_\phi \sim 3.1 \mu b$

KLOE data taking completed (2001/5):

$2.5 \text{ fb}^{-1}$  integrated at  $\sqrt{s} = M(\phi)$ ;

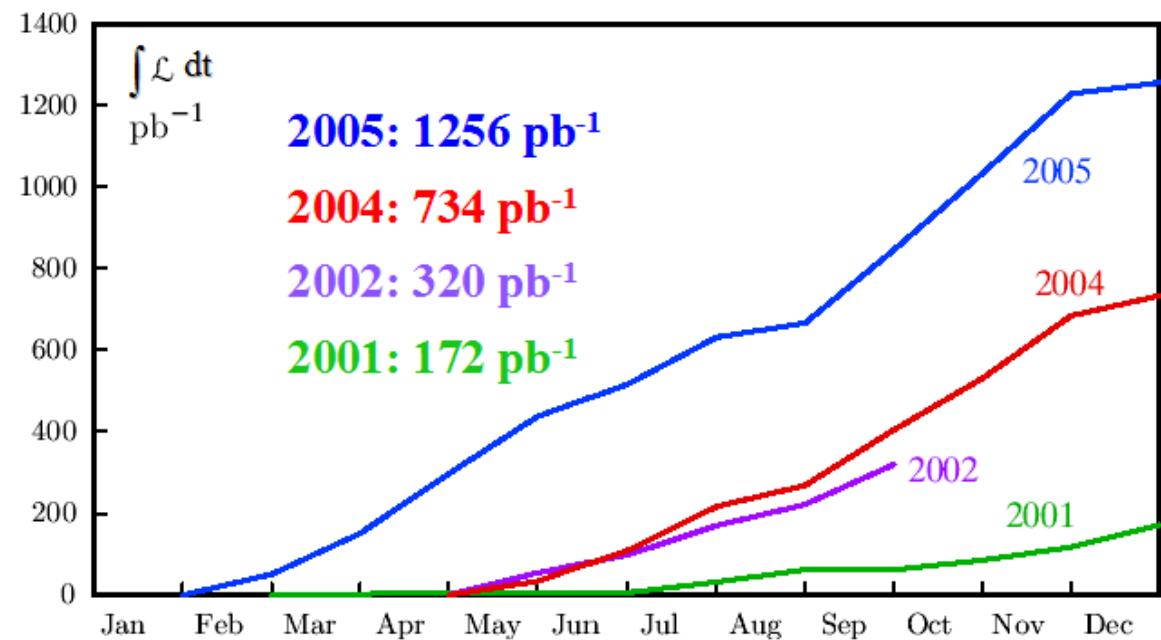
$0.25 \text{ fb}^{-1}$  at  $\sqrt{s} \sim 1 \text{ GeV}$

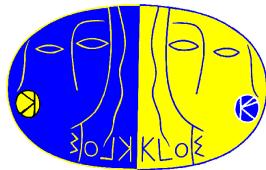


**Best of KLOE run:**

$$L_{\text{PEAK}} = 1.4 \times 10^{32} \text{ cm}^{-2} \text{s}^{-1}$$

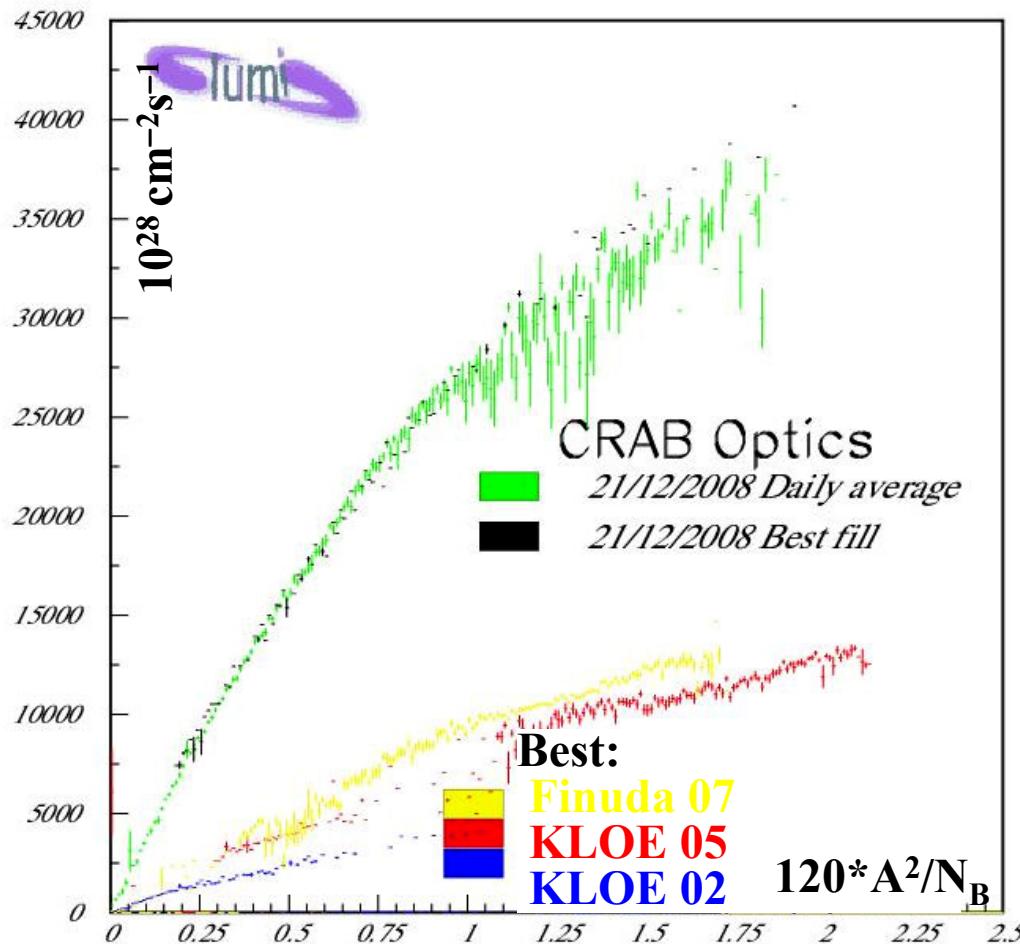
$$L_{\text{INT}} = 8.5 \text{ pb}^{-1}/\text{day}$$





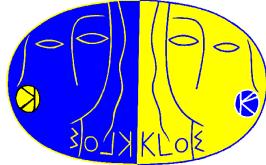
# KLOE and DaΦne

$e^+e^-$  collider, cm energy:  $\sqrt{s} \sim m_\phi = 1019.4$  MeV  
KLOE data taking completed (2001/5)



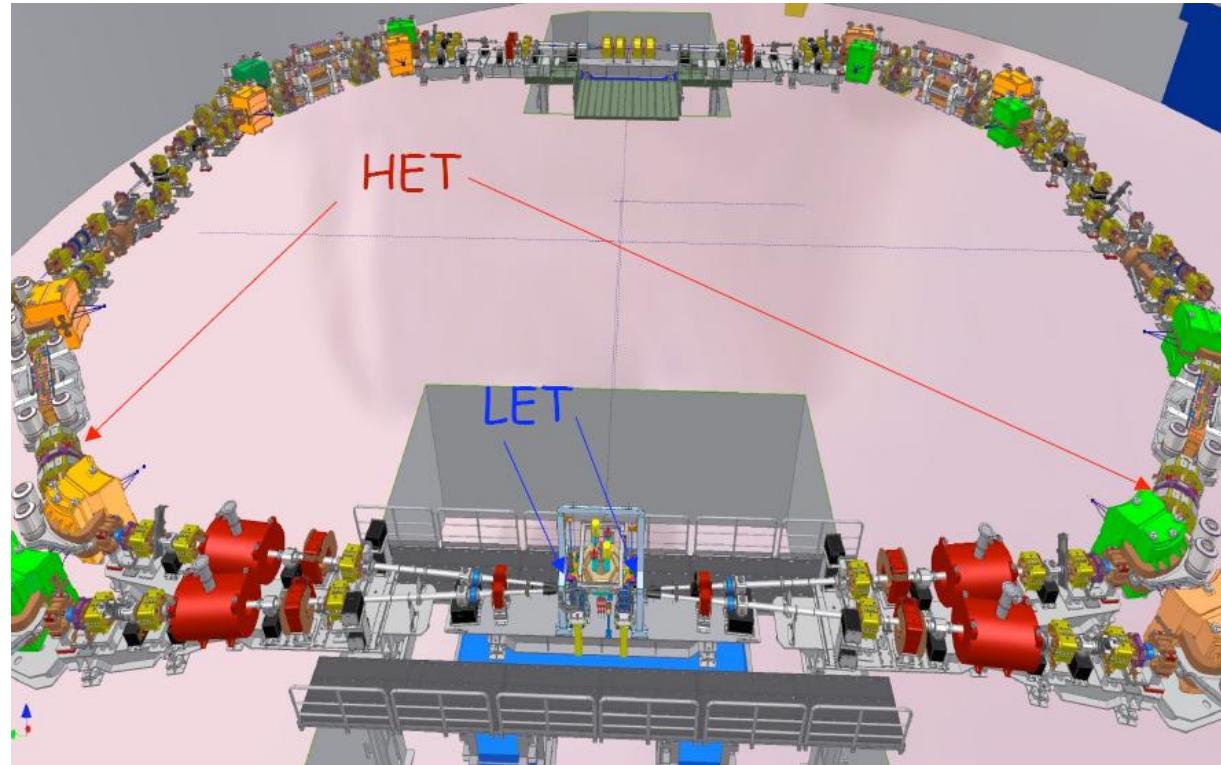
A novel collision scheme “large Piwinsky angle and crabbed waist” implemented:  
**(at least)**  $L \sim 3 \times$   
 $\Rightarrow Ldt \sim 1 \text{ pb}^{-1}/\text{hour}$ .

**KLOE(2 step0) luminosity goal:  $5 \text{ fb}^{-1}$  at  $\sqrt{s} = M(\phi)$**



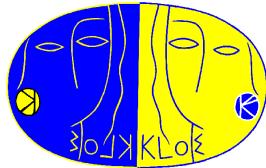
# KLOE-2 Step 0

Roll-in (Dec 2009) and alignment (Jan 2010): done  
Ready for resume data taking, foreseen for the 4<sup>th</sup> of May



Minimal **detector** upgrade: tagger for  $\gamma\gamma$  physics: detect off-momentum  $e^\pm$  from  $e^+e^- \rightarrow e^+e^-\gamma^*\gamma^* \rightarrow e^+e^-X$  (where  $X = \pi\pi, \pi^0$ , or  $\eta$ )

Low Energy Tagger ( $E_e = 130-230$  MeV) High Energy Tagger ( $E_e > 400$  MeV).

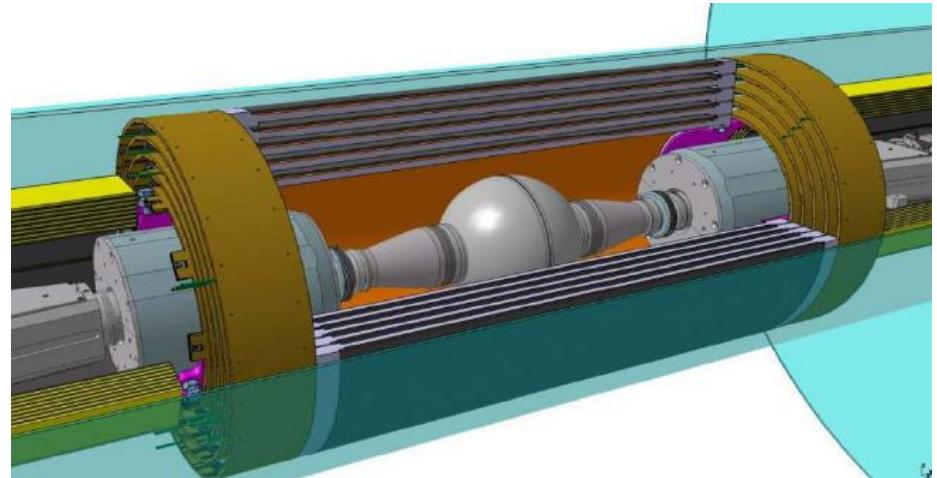


## KLOE-2 Step 1

Luminosity goal  $> 20\text{fb}^{-1}$ .

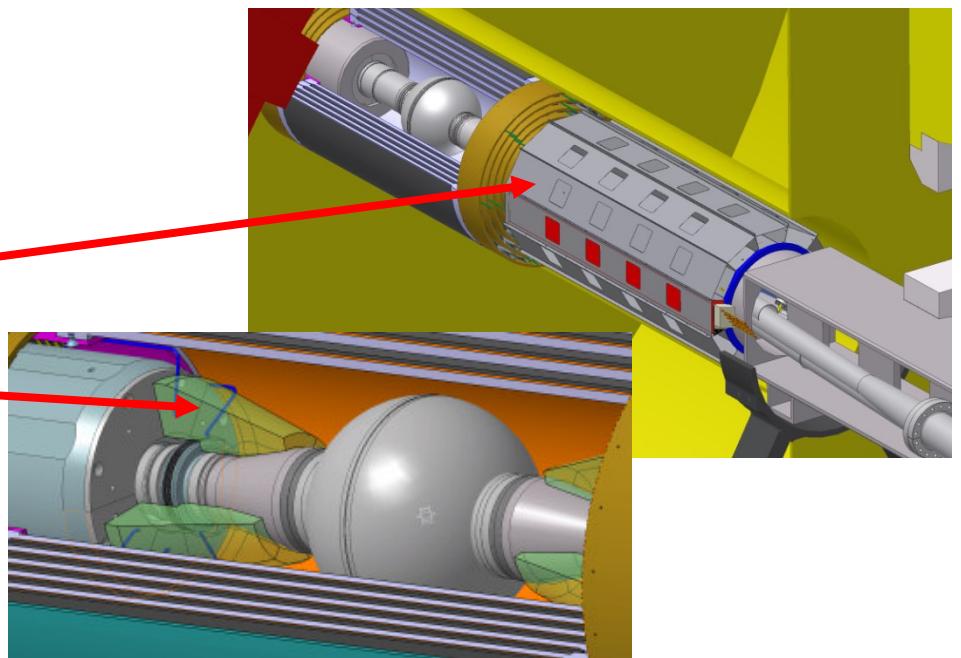
Major detector upgrade;

**Inner tracker (IT)** between the beam pipe and the DC (see the talk of G. Morello).



QCALT: W plus scintillating tiles, readout by SiPM via WLS fibers

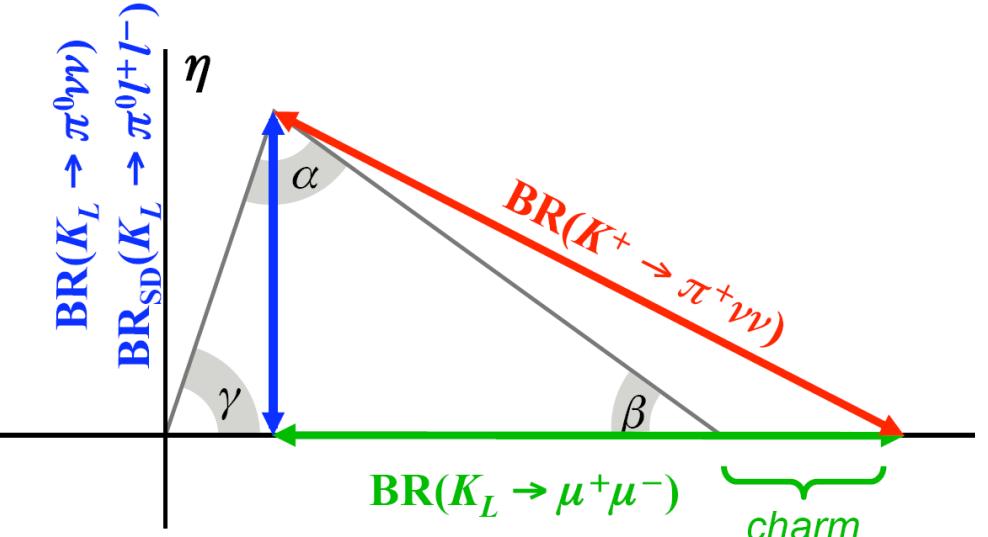
CCAL: LYSO crystals + APD, close to IP to increase the acceptance for photons coming from the IP ( $\theta_{\text{MIN}}$  from  $21^\circ$  to  $9^\circ$ )



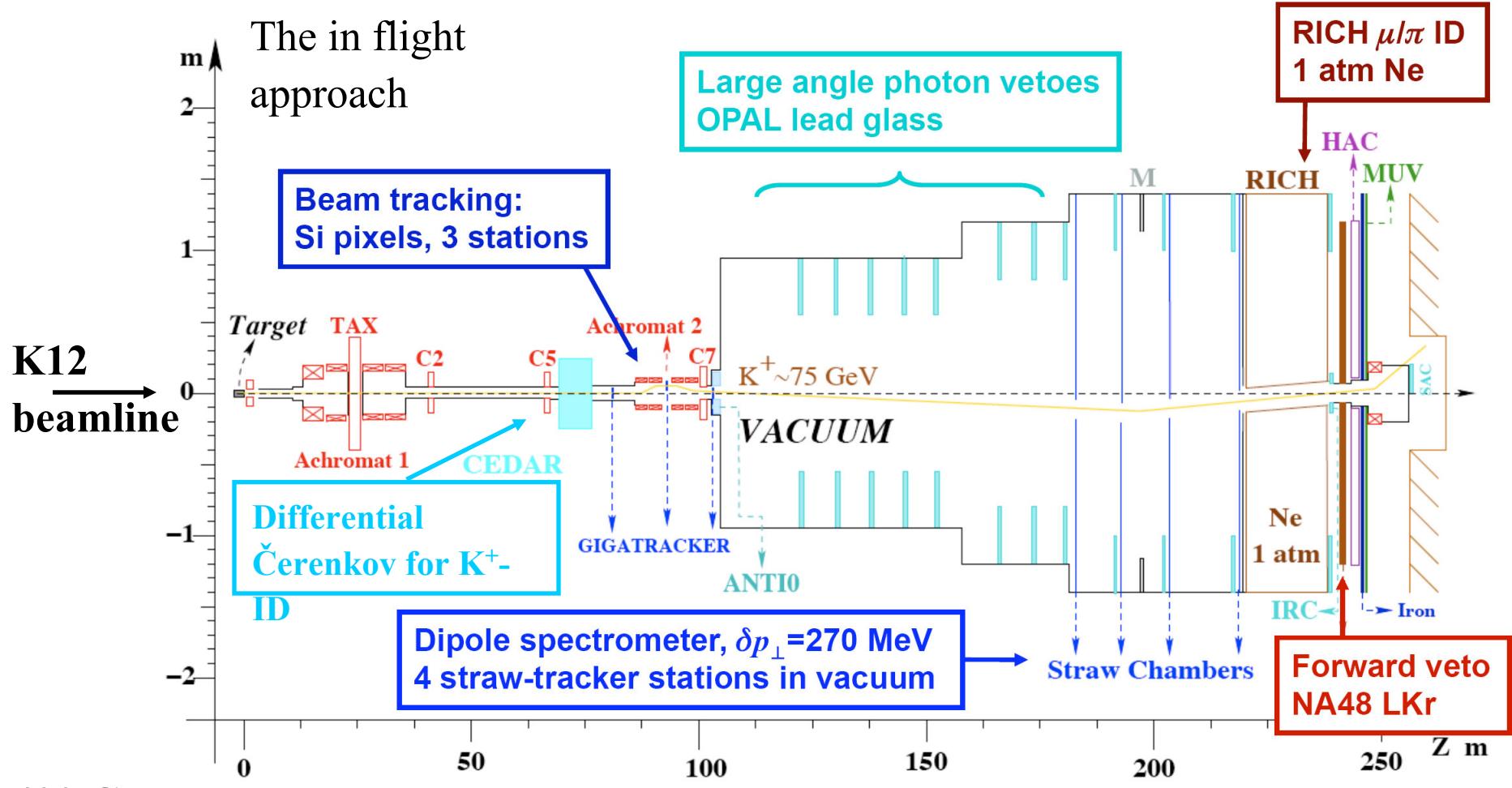
**Installation: late in 2011**

# Golden K modes: $K \rightarrow \pi \nu \bar{\nu}$ decays

- “Golden-plated decays”:  $\text{BR}(K \rightarrow \pi \nu \bar{\nu})$  can be predicted in the SM framework with very high theoretical accuracy and may provide grounds for precision tests of the flavor structure of the SM
- $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$  and  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  completely determine the Unitarity Triangle.
- Comparison with Unitarity Triangle from B sector could provide decisive tests in the flavor physics: new physics may differentiate between K and B measurement
- The *a priori* unknown hadronic matrix element obtained from  $K \rightarrow \pi e \nu$  decays.



|                                       | $\Gamma_{\text{SD}}/\Gamma$ | Irreducible theory err. (amp) | SM BR                 |
|---------------------------------------|-----------------------------|-------------------------------|-----------------------|
| $K_L \rightarrow \pi^0 \nu \bar{\nu}$ | >99%                        | 1%                            | $3 \times 10^{-11}$   |
| $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ | 88%                         | 3%                            | $8 \times 10^{-11}$   |
| $K_L \rightarrow \pi^0 e^+ e^-$       | 38%                         | 15%                           | $3.5 \times 10^{-11}$ |
| $K_L \rightarrow \pi^0 \mu^+ \mu^-$   | 28%                         | 30%                           | $1.5 \times 10^{-11}$ |

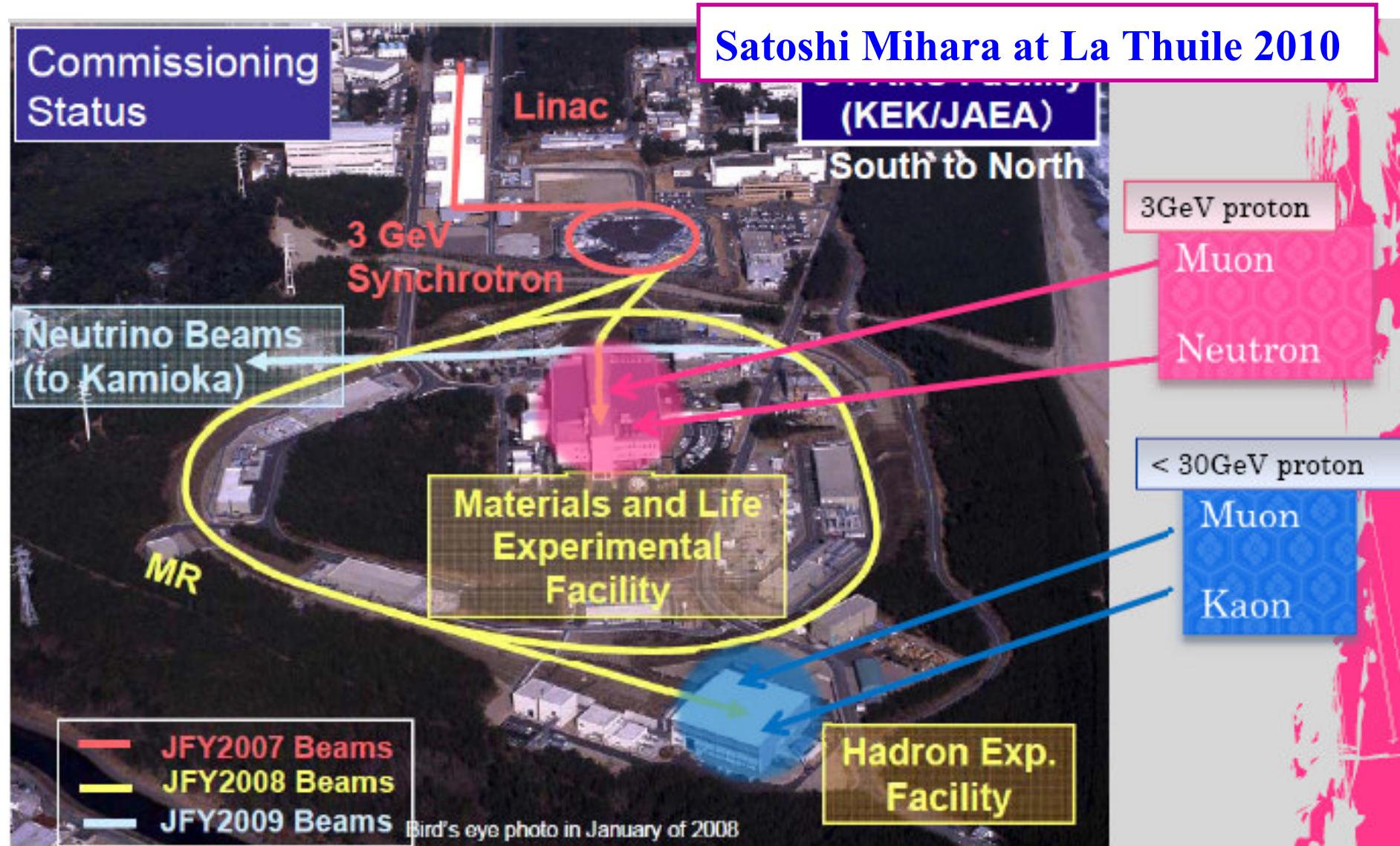


| Decay Mode  | Events                                      |
|---|---|
| <b>Signal: <math>K^+ \rightarrow \pi^+ \nu \bar{\nu}</math> [flux = <math>4.8 \times 10^{12}</math> decay/year]</b> | <b>55 evt/year</b>                          |
| $K^+ \rightarrow \pi^+ \pi^0$ [ $\eta_{\pi 0} = 2 \times 10^{-8}$ ( $3.5 \times 10^{-8}$ )]                         | <b>4.3% (7.5%)</b>                          |
| $K^+ \rightarrow \mu^+ \nu$   | <b>2.2%</b>                                 |
| $K^+ \rightarrow e^+ \pi^+ \pi^- \nu$   | <b><math>\leq 3\%</math></b>                |
| <b>Other 3 – track decays</b>   | <b><math>\leq 1.5\%</math></b>              |
| $K^+ \rightarrow \pi^+ \pi^0 \gamma$  | <b><math>\sim 2\%</math></b>                |
| $K^+ \rightarrow \mu^+ \nu \gamma$  | <b><math>\sim 0.7\%</math></b>              |
| $K^+ \rightarrow e^+(\mu^+) \pi^0 \nu$ , others   | <b>negligible</b>                           |
| <b>Expected background</b>  | <b><math>\leq 13.5\% (\leq 17\%)</math></b> |

year & running efficiency defined from NA48 story:  
~100 days/year, 60% overall efficiency

# *NA62 timescale*

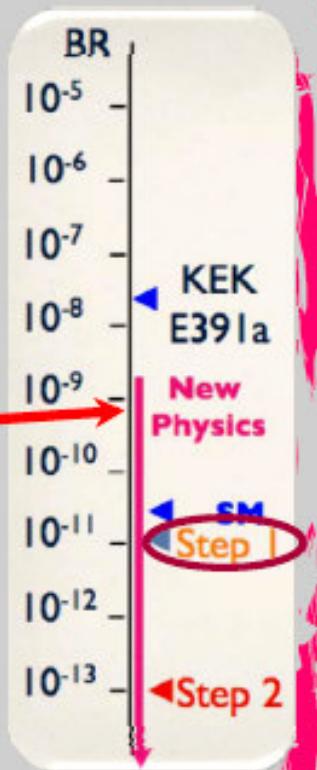
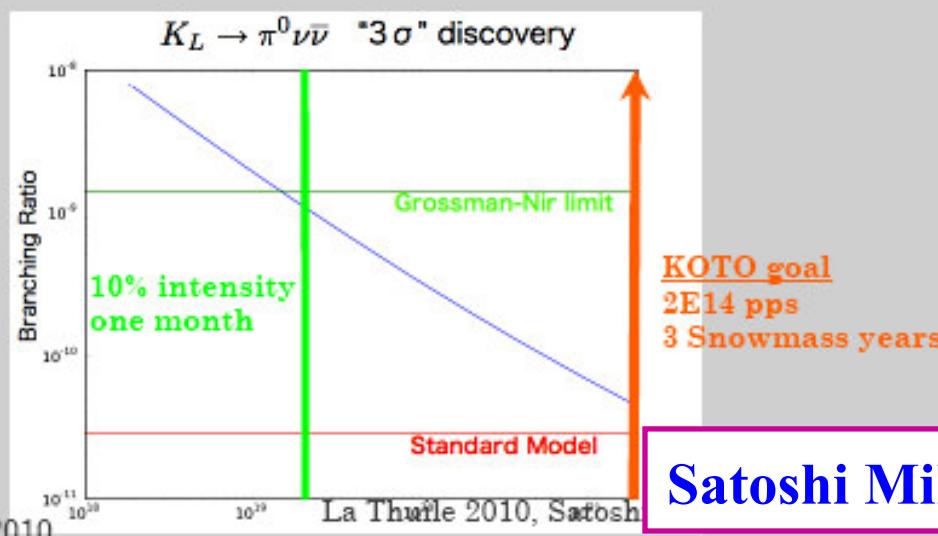
|                   | 2009            |                                    |  | 2010 |  |       | 2011 |                            |  | 2012               |  |  |
|-------------------|-----------------|------------------------------------|--|------|--|-------|------|----------------------------|--|--------------------|--|--|
| <b>K12 alloc.</b> |                 |                                    |  |      |  |       |      |                            |  |                    |  |  |
| <b>CEDAR</b>      |                 |                                    |  |      |  |       |      |                            |  |                    |  |  |
| <b>GigaTrk</b>    |                 | Prototype Test                     |  |      |  | Eng 1 |      | Eng 2/Prod                 |  |                    |  |  |
| <b>LAV</b>        |                 | Production of Mechanics & Assembly |  |      |  |       |      | Low intensity run (no GTK) |  | High intensity run |  |  |
| <b>STRAW</b>      |                 |                                    |  |      |  |       |      |                            |  |                    |  |  |
| <b>RICH</b>       |                 | PMT Procurement: 100 / month       |  |      |  |       |      |                            |  |                    |  |  |
| <b>LKR</b>        |                 |                                    |  |      |  |       |      |                            |  |                    |  |  |
| <b>MUV</b>        |                 |                                    |  |      |  |       |      |                            |  |                    |  |  |
| <b>TDAQ</b>       | TELL1/TTC Proc. |                                    |  |      |  |       |      |                            |  |                    |  |  |



## Milestones of KOTO

- ◆ 2009: beamline construction
  - ◆ Beam survey (KL flux)
- ◆ 2010: CsI calorimeter construction
  - ◆ engineering run
  - ◆ beam properties with calorimeter
- ◆ 2011: detector installation
  - ◆ full engineering run, start physics run
  - ◆ **10% intensity(30kW) one month**

Study of  
 $K_L \rightarrow \pi \nu \bar{\nu}$   
 decay



Satoshi Mihara at La Thuile 2010

# *Project X at Fermilab*

## Project X

Neutrino physics  
Muon physics  
Kaon physics  
Nuclear physics  
“simultaneously”



**Young-Kee Kim at La Thuile 2010**



# Conclusions

**Recent kaon decay measurements greatly improve knowledge of gauge couplings**

- CKM matrix unitarity tested at 0.06%
- effective coupling measured at 0.03% constrains many NP scenarios
- progress from lattice will constrain more severely CKM fits soon

**New and interesting tests of NP from kaon 2-body decays**

- $R_K$  golden LFV observable (w.a. at 1%)

**Kaons can push fundamental principles at severe test**

**Even in the “something else” era, Kaon physics continue to shed light on physics on and beyond SM**