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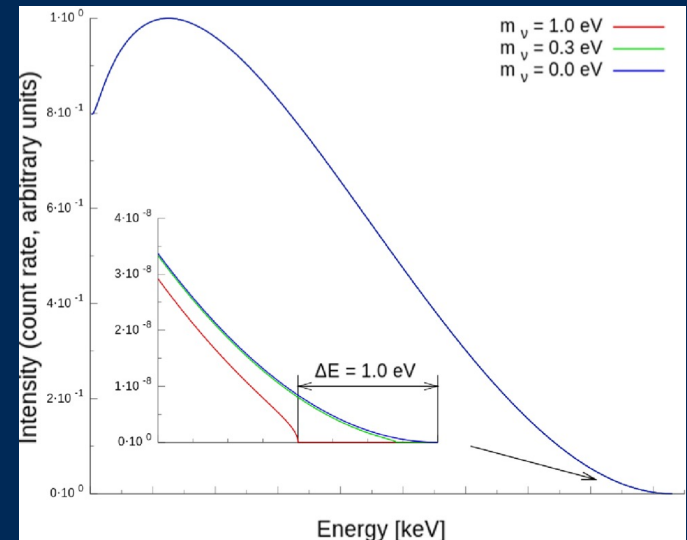
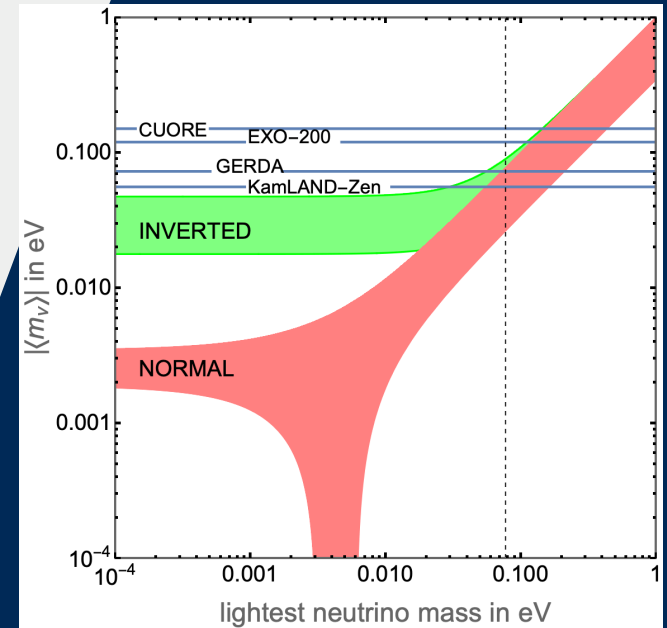
RARE WEAK DECAYS AND NEUTRINO MASS

Jenni Kotila



OUTLINE

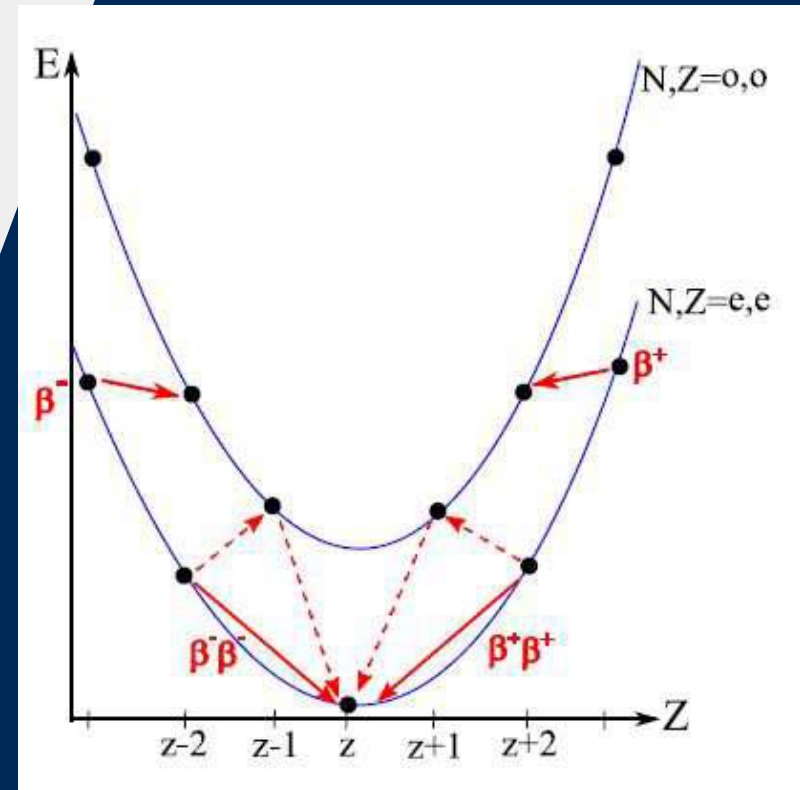
- Part 1: Neutrino nature and mass scale from double beta decay
- Part 2: Neutrino mass from single beta decay





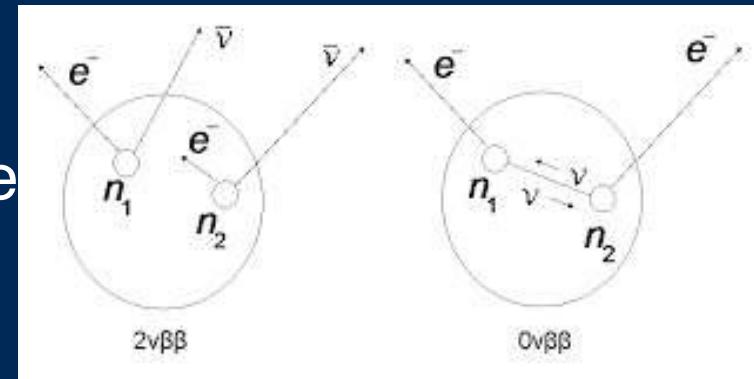
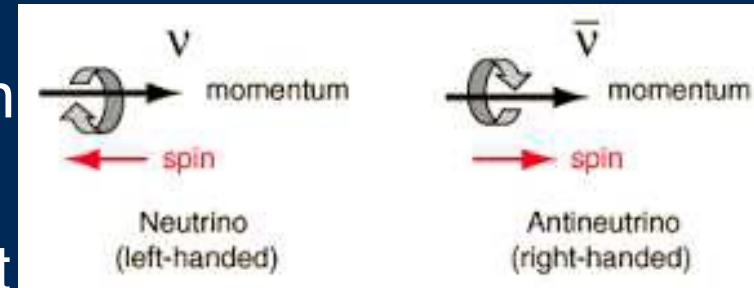
$\beta\beta$ -decay: History

- 1930's: The idea of double beta decay was suggested by Eugene Paul Wigner as a second-order weak transition between isobars differing by two units in atomic number
- 1935: Assuming the emission of two electrons and two neutrinos Maria Goeppert-Mayer made the first theoretical estimate of the extremely low rates for this process $\tau^{1/2} > 10^{20}\text{yr}$



$\beta\beta$ -decay: History

- 1937: Ettore Majorana demonstrated that all results of beta decay theory remain unchanged if neutrino is its own antiparticle (Majorana particle)
- 1939: Wendell H. Furry proposed that if neutrinos are, indeed, Majorana particles, then double beta decay could proceed without the emission of any neutrinos ($0\nu\beta\beta$)
- In 1940's the predicted half-lives were of the order of 10^{15-16} years, and $0\nu\beta\beta$ was thought to be more likely to occur than $2\nu\beta\beta$



$\beta\beta$ -decay: History

- 1948: Edward L. Fireman made an attempt to measure the $\beta\beta$ -decay half-life of ^{124}Sn with Geiger counter, without success ($\tau_{1/2}^{2\nu\beta\beta} > 3 \times 10^{15}\text{yr}$).
- 1950: $\beta\beta$ -decay half-life of $1.4 \times 10^{21}\text{yr}$ for ^{130}Te was measured by geochemical methods.
- 1956: Parity violation in weak interactions was established and it became clear that $2\nu\beta\beta$ -decay would be much more likely to occur than $0\nu\beta\beta$ -decay.
- 1987: First observation of $2\nu\beta\beta$ -decay in laboratory by Elliott, Hahn, and Moe: $\tau_{1/2}^{2\nu\beta\beta} (^{82}\text{Se}) = 1.1_{-0.3}^{+0.8} \times 10^{20}\text{yr}$.
- Since then $2\nu\beta\beta$ -decay has been observed in laboratory in 12 different nuclei in several different experiments, with half-lives of 10^{18-22}yr .
- 2001: A sub-group of the Heidelberg-Moscow experiment claimed first evidence for $0\nu\beta\beta$ -decay. This, however, remains unconfirmed.

And now...

- $0\nu\beta\beta$ -decay remains unobserved and continues to intrigue both theorists and experimentalists
- It has unique potential for neutrino physics, beyond Standard Model physics, and the understanding of matter-antimatter asymmetry of the universe.
- It remains the most sensitive probe to test lepton number and to answer the following open questions:
 - What is the absolute neutrino mass scale?
 - Are neutrinos Dirac or Majorana particles?
 - How many neutrino species are there?
- At the moment experiments are reporting lower half-life limits of the order of 10^{25-26}yr

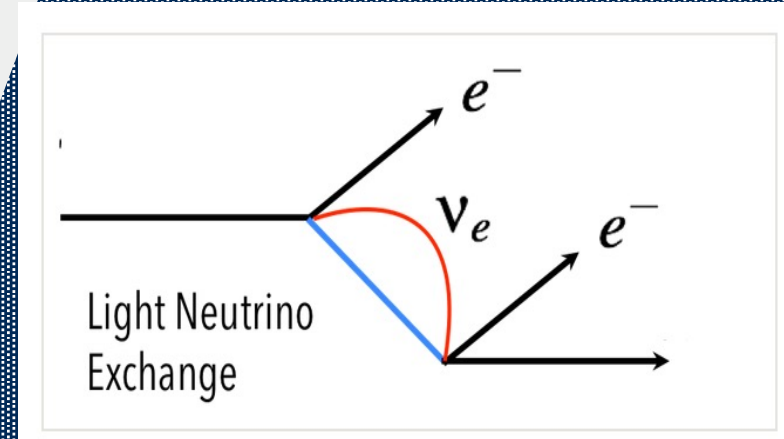


Mass mechanism

- After the discovery of neutrino oscillations, attention has been mostly focused on the mass mechanism of $0\nu\beta\beta$ -decay, wherein the three species of neutrinos have masses m_i and couplings to the electron neutrino U_{ei} . In this case, the inverse decay rate is given by

$$[\tau_{1/2}^{0\nu}(0^+ \rightarrow 0^+)]^{-1} = G_{0\nu} |M_{0\nu}|^2 \left| \frac{\langle m_\nu \rangle}{m_e} \right|^2$$

- **G** is the phase space factor
- **M** is the nuclear matrix element
- **f(m_i, U_{ei})** = $\frac{\langle m_\nu \rangle}{m_e}$ contains the physics beyond standard model





Calculation of phase space factor G

- The key ingredient for the evaluation of phase space factors are the electron wave functions
- To simulate realistic situation, we take radial functions that satisfy Dirac equation and potential that takes into account the finite nuclear size and the electron screening
- Two phase space factors to be calculated: $G^{(0)}$ and $G^{(1)}$

- From these one obtains half-life: $[\tau_{1/2}^{0\nu}(0^+ \rightarrow 0^+)]^{-1} = G_{0\nu} |M_{0\nu}|^2 \left| \frac{\langle m_\nu \rangle}{m_e} \right|^2$

- Single electron spectrum: $\frac{dW_{0\nu}}{d\epsilon_1} = \mathcal{N}_{0\nu} \frac{dG_{0\nu}^{(0)}}{d\epsilon_1}$

- Angular correlation: $\alpha(\epsilon_1) = \frac{f_{11}^{(1)}(\epsilon_1)}{f_{11}^{(0)}(\epsilon_1)} = \frac{dG_{0\nu}^{(1)}/d\epsilon_1}{dG_{0\nu}^{(0)}/d\epsilon_1}$

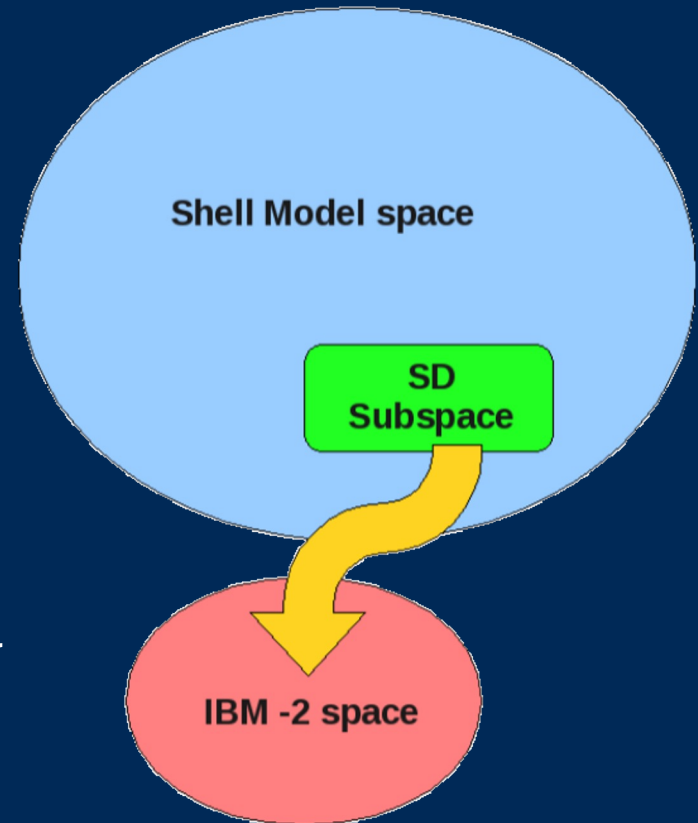
Can be compared with experimental data!

Calculation of nuclear matrix element M

- NMEs are calculated in nuclear models, such as the quasiparticle random phase approximation, **QRPA**, the nuclear shell model, **NSM**, energy density functional theory, **EDF** and the microscopic interacting boson model, **IBM-2**
 - IBM-2: Can be used in any nucleus and thus all nuclei of interest can be calculated within the same model making it easier to recognize model dependent uncertainties
- Recent ab initio calculations: multi-reference version of the similarity renormalization group **IMSRG** and coupled-cluster **CC** theory
- The fact that $0\nu\beta\beta$ -decay is a unique process, and there is no direct probe which connects the initial and final states other than the process itself makes the prediction challenging for theoretical models.
- The reliability of the used wavefunctions, and eventually $M^{(0\nu)}$, has to be then tested using other available relevant data

Nuclear model: IBM-2

- In IBM-2 the very large shell model space is truncated to states built from pairs of nucleons with $J = 0$ and 2
- These pairs are then assumed to be collective and are taken as bosons
- The Hamiltonian is constructed phenomenologically and two- and four valence-nucleon states are generated by a schematic interaction
- The fermion operators are mapped onto a boson space and the matrix elements of the mapped operators are then evaluated with realistic wavefunctions



Nuclear model: IBM-2

Single Particle Energies



S.D.I Calculation



Structure Coefficients
of S and D pairs



Mapping coefficients
of the transition operator

Experimental data:
spectra, EM transitions, ...



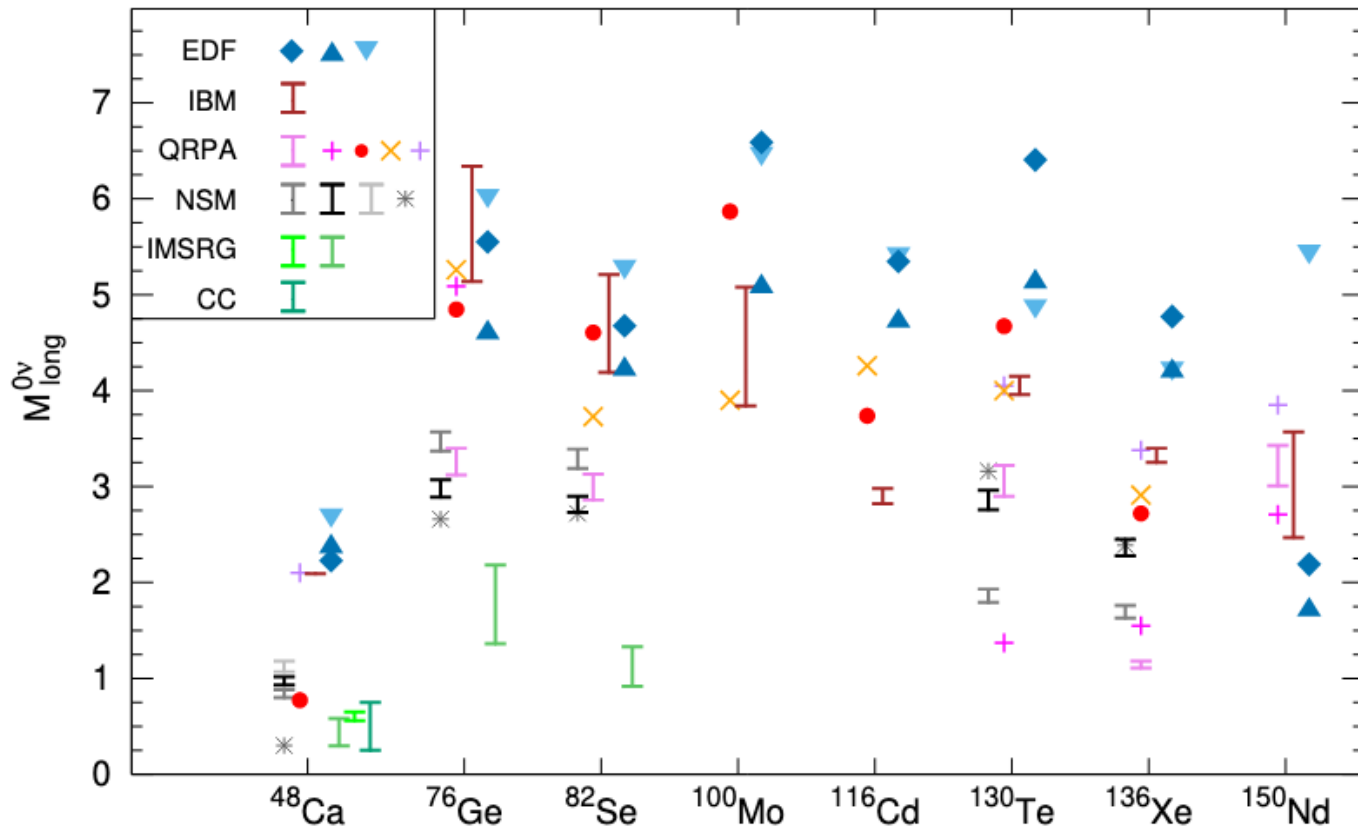
IBM-2 wavefunctions



Bosonic matrix elements:
 $\langle F | s_{\pi}^{\dagger} \cdot \tilde{s}_{\nu} | I \rangle$
 $\langle F | d_{\pi}^{\dagger} \cdot \tilde{d}_{\nu} | I \rangle$

$$\left[\tau_{1/2}^{(0\nu)} \right]^{-1} \simeq G_{0\nu} |M_{0\nu}|^2 |f(m_i, U_{ei})|^2$$

Calculation of NME: Mass mechanism



Summary of current situation:
[M. Agostini](#),
[G. Benato](#),
[J. A. Detwiler](#),
[J. Menéndez](#),
[F. Vissani](#)
arXiv:2202.01787
(2022)

- IMSRG and CC smaller than NSM NMEs, EDF theory the largest, and IBM and QRPA somewhere in between
- Still large differences between the different models but some of them can be explained by model dependent quenching of g_A
- NME + PSFs: can be compared with experimental half-life limits



Experimental aspects: $\tau_{1/2}$

Current lower half-life limits coming from different experiments:

Experiment	nucleus	$\tau_{1/2}$	$\langle m_\nu \rangle$
Majorana	^{76}Ge	$> 2.7 \times 10^{25}\text{yr}$	$< 0.21 \text{ eV}$
GERDA	^{76}Ge	$> 1.8 \times 10^{26}\text{yr}$	$< 0.079 \text{ eV}$
NEMO-3	^{100}Mo	$> 1.1 \times 10^{24}\text{yr}$	$< 0.44 \text{ eV}$
CUORE	^{130}Te	$> 2.2 \times 10^{25}\text{yr}$	$< 0.14 \text{ eV}$
EXO-200	^{136}Xe	$> 5.0 \times 10^{25}\text{yr}$	$< 0.12 \text{ eV}$
Kamland-Zen	^{136}Xe	$> 2.3 \times 10^{26}\text{yr}$	$< 0.052 \text{ eV}$

$$\tau_{1/2} \Rightarrow \langle m_\nu \rangle < \frac{m_e}{\sqrt{\tau_{1/2}^{\text{exp}} G_{0\nu} g_A^2 |M^{(0\nu)}|}}$$

Majorana: S. I. Alvis et al., PRC 100, 025501 (2019), GERDA: M. Agostini et al. PRL 125 252502 (2020), NEMO-3: R. Arnold, et al., PRD 92, 072011 (2015), CUORE: D.Q Adams et al. Nature 604 53–58 (2022), EXO: G. Anton et al., PRL 123 161802 (2019), KamLAND-Zen: S. Abe et al., arXiv:2203.02139 (2022)

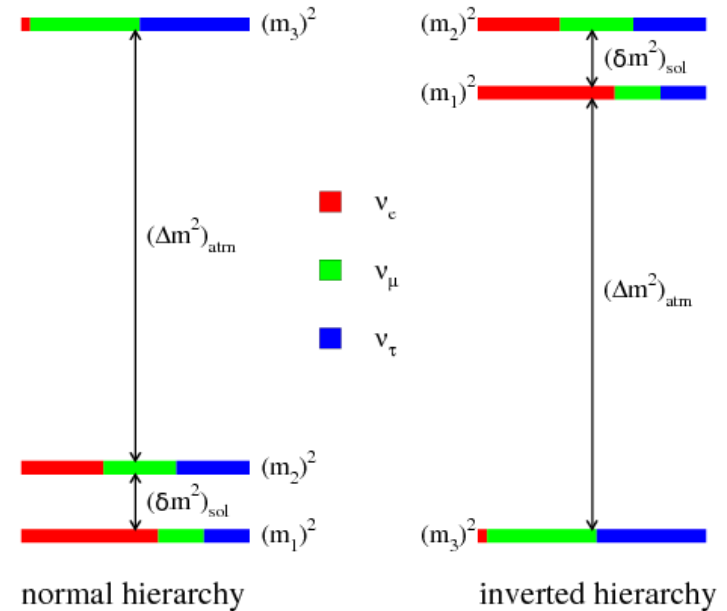
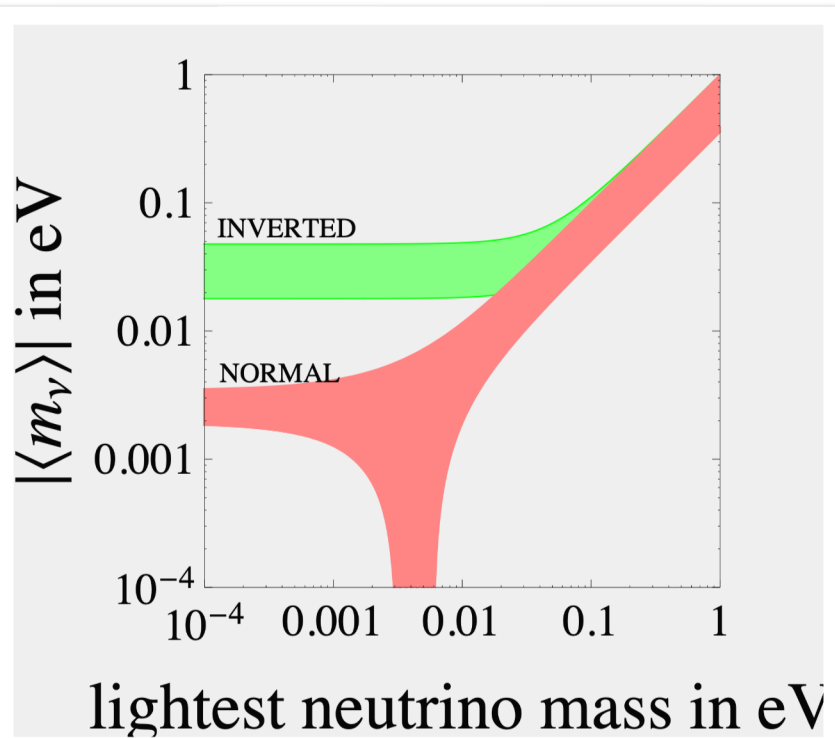


Neutrino oscillations

Light neutrinos:

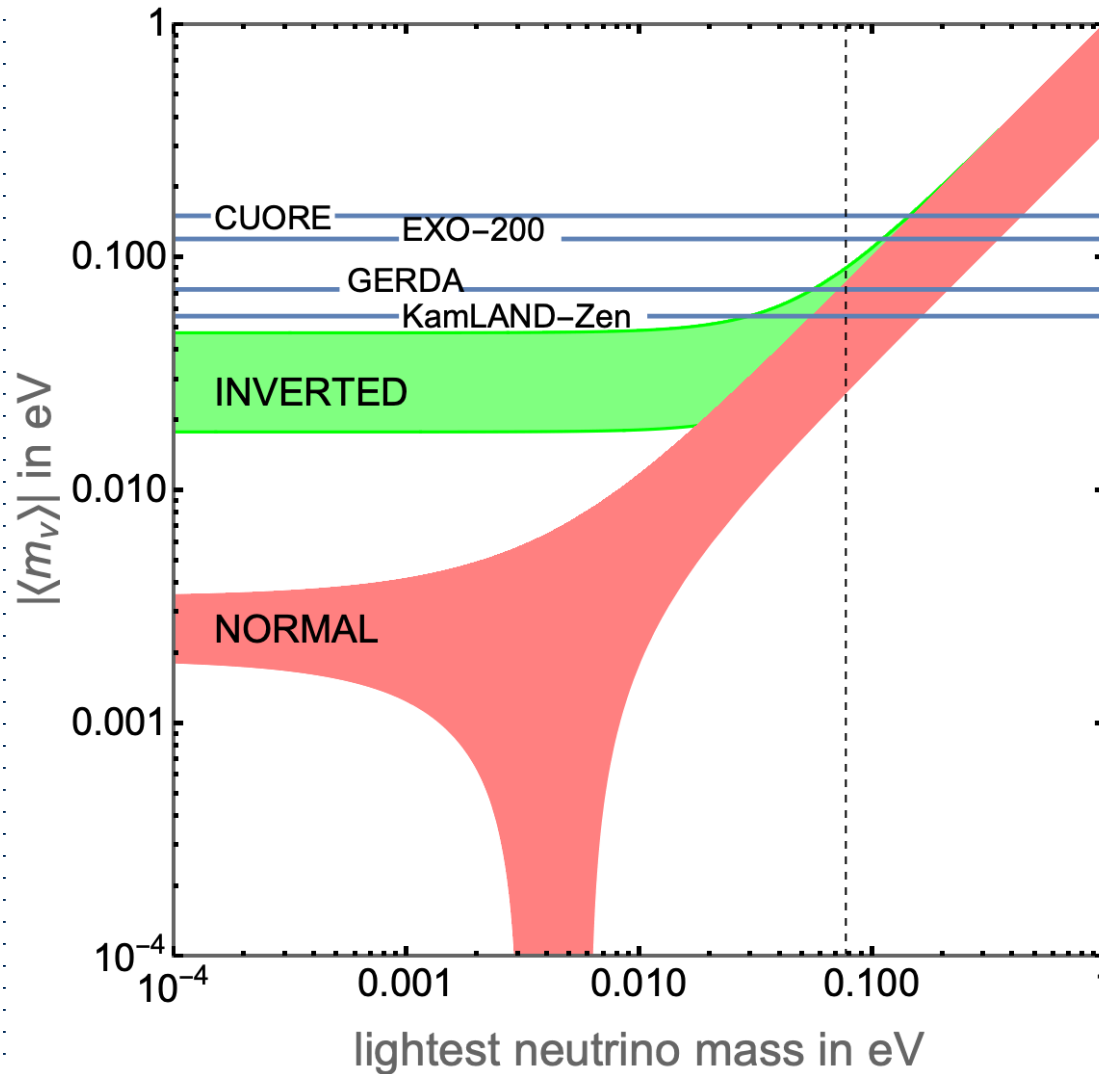
$$f(m_i, U_{ei}) = \frac{\langle m_\nu \rangle}{m_e} = \frac{1}{m_e} \sum_{k=light} (U_{ek})^2 m_k$$

- Obtained information on mass differences and their mixing leaves two possibilities: Normal and inverted hierarchy



- The average light neutrino mass is constrained by atmospheric, solar, reactor and accelerator neutrino oscillation experiments

THEORY+EXPERIMENTS: Limits on $\langle m_\nu \rangle$



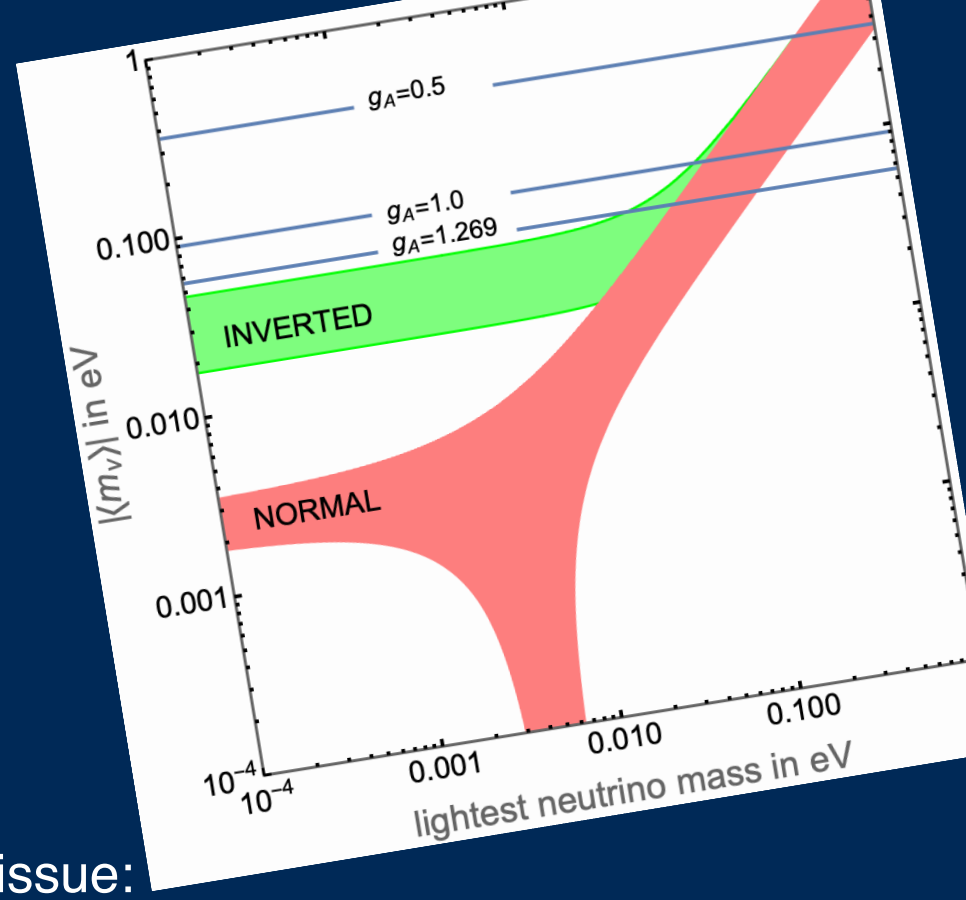
CUORE: D.Q Adams et al. Nature 604 53–58 (2022), GERDA: M. Agostini et al. PRL 125 252502 (2020), EXO: G. Anton et al., PRL 123 161802 (2019), KamLAND-Zen: S. Abe et al., arXiv:2203.02139 (2022)

Cause of worry: Quenching of g_A

- It is well known from single beta decay and electron capture that g_A is renormalized in models of nuclei. Two reasons for this are:
 - The omission of non-nucleonic degrees of freedom, q_Δ
 - The limited model space in which the calculations done, q_{Nex}
- The former effect is not expected to be present in $0\nu\beta\beta$ decay
 - the average neutrino momentum is ~ 100 MeV, while in $2\nu\beta\beta$ decay is of the order of 1–2 MeV
- The latter effect instead appears both in $0\nu\beta\beta$ and $2\nu\beta\beta$ decays
- $2\nu\beta\beta$ may be used to get an idea of the model dependent quenching
 - In $2\nu\beta\beta$ only the $1+$ (GT) multipole contributes. In $0\nu\beta\beta$ all multipoles $1+$, $2-$, ...; $0+$, $1-$, ... contribute. Some of which could be even unquenched
- This is a critical issue, since the fact that g_A enters the equations to the power of 4
- Effective value of g_A is a work in progress!

Quenching of g_A

- Three suggested scenarios are:
- Free value: 1.269
- Quark value: 1
- Even stronger quenching:
 $g_{A,\text{eff}} < 1$
- Various studies are addressing this issue:
 - Theoretical studies using effective field theory (EFT) to estimate the effect of non-nucleonic degrees of freedom (two-body currents)
 - Experimental and theoretical studies of single beta decay and single charge exchange reactions involving the intermediate odd-odd nuclei
 - Experimental studies employing single and double charge exchange reactions.



Other scenarios: Sterile neutrinos

- Neutrinos with no standard model interaction
- Several types of sterile neutrinos have been suggested
 - Light sterile neutrinos: $m_N \sim 1\text{eV}$ or at keV mass range
 - $m_N \sim 1\text{eV}$ neutrinos could account for the reactor anomaly in oscillation experiments and for the gallium anomaly
 - Heavy sterile neutrinos: $m_N \gg 1\text{eV}$
 - If there are sterile neutrinos, the equation for half-life is different...

$$\left[\tau_{1/2}^{0\nu} \right]^{-1} = G_{0\nu} \left[\begin{array}{l} \left[\frac{1}{m_e} \sum_{k=1}^3 U_{ek}^2 m_k + \frac{1}{m_e} \sum_i U_{ei}^2 m_i + \frac{1}{m_e} \sum_j U_{ej}^2 \right] M^{(0\nu)} \\ + \left[m_p \sum_N U_{eN}^2 \frac{m_N}{\langle p^2 \rangle + m_N^2} + m_p \sum_{k_h=1}^3 U_{ek_h}^2 \frac{1}{m_{k_h}} \right] M^{(0\nu_h)} \end{array} \right]$$

PRD 92 (2015) 093001
+ update in progress

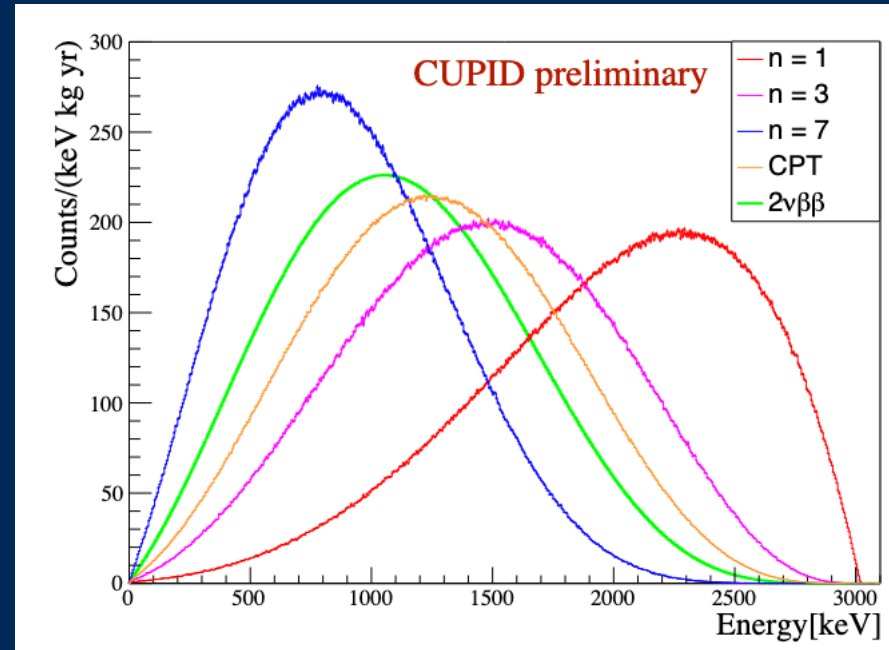
Unknown heavy sterile ν

Unknown heavy neutrinos

Other scenarios: Majoron emitting $0\nu\beta\beta$

- Requires the emission of one or two additional massless bosons, Majorons \Rightarrow similarities with $2\nu\beta\beta$
- There are many different models with different spectral index n and different number of emitted majorons
- Experimental limits on $\tau_{1/2}$ give information about the majoron-neutrino coupling constant

$$\left[\tau_{1/2}^{0\nu M} \right]^{-1} = G_{m\chi_0 n}^{(0)} \left| \langle g_{\chi_{ee}^M} \rangle \right|^{2m} \left| M_{0\nu M}^{(m,n)} \right|^2$$



n	mode	exclusion sensitivity on $T_{1/2}$ [yr]
1	χ^0	7.4×10^{23}
3	χ^0	2.4×10^{21}
3	$\chi^0 \chi^0$	2.4×10^{21}
7	$\chi^0 \chi^0$	7.3×10^{21}

Journal of Physics: Conference Series 2156 (2022) 012233

Majoron emitting $0\nu\beta\beta$

- Limits on majoron-neutrino coupling constant for different models

PRC 103 (2021) 044302

Decay mode	Spectral index	Model type	\mathcal{M}	$G_{m\chi_0 n}^{(0)} [10^{-18} \text{ yr}]$	$\tau_{1/2} [\text{yr}]$	$ (g_{\chi_{ee}^M}) $
⁷⁶ Ge [32]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	6.64	44.2	$>4.2 \times 10^{23}$	$<3.5 \times 10^{-5}$
$0\nu\beta\beta\chi_0\chi_0$	3	ID,IE,IID	0.0026	0.22	$>0.8 \times 10^{23}$	<1.7
$0\nu\beta\beta\chi_0$	3	IIC,IIF	0.381	0.073	$>0.8 \times 10^{23}$	$<0.34 \times 10^{-1}$
$0\nu\beta\beta\chi_0\chi_0$	7	IIE	0.0026	0.420	$>0.3 \times 10^{23}$	<1.9
$0\nu\beta\beta\chi_0$	2	Bulk			$>1.8 \times 10^{23}$	
¹³⁰ Te [29]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	4.40	413	$>2.2 \times 10^{21}$	$<2.4 \times 10^{-4}$
$0\nu\beta\beta\chi_0\chi_0$	3	ID,IE,IID	0.0013	3.21	$>0.9 \times 10^{21}$	<3.8
$0\nu\beta\beta\chi_0$	3	IIC,IIF	0.199	1.51	$>2.2 \times 10^{21}$	$<0.87 \times 10^{-1}$
$0\nu\beta\beta\chi_0\chi_0$	7	IIE	0.0013	14.4	$>0.9 \times 10^{21}$	<2.6
$0\nu\beta\beta\chi_0$	2	Bulk			$>2.2 \times 10^{21}$	
¹³⁰ Te [23]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	4.40	413	$>1.6 \times 10^{22}$	$<8.8 \times 10^{-5}$
¹³⁶ Xe [31]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	3.60	409	$>1.2 \times 10^{24}$	$<1.3 \times 10^{-5}$
$0\nu\beta\chi_0\chi_0$	3	ID,IE,IID	0.0011	3.05	$>2.7 \times 10^{22}$	<1.8
$0\nu\beta\beta\chi_0$	3	IIC,IIF	0.160	1.47	$>2.7 \times 10^{22}$	$<0.31 \times 10^{-1}$
$0\nu\beta\beta\chi_0\chi_0$	7	IIE	0.0011	12.5	$>6.1 \times 10^{21}$	<1.8
$0\nu\beta\beta\chi_0$	2	Bulk			$>2.5 \times 10^{23}$	
¹³⁶ Xe [30]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	3.60	409	$>2.6 \times 10^{24}$	$<8.5 \times 10^{-6}$
$0\nu\beta\beta\chi_0\chi_0$	3	ID,IE,IID	0.0011	3.05	$>4.5 \times 10^{24}$	<0.49
$0\nu\beta\beta\chi_0$	3	IIC,IIF	0.160	1.47	$>4.5 \times 10^{24}$	$<0.24 \times 10^{-2}$
$0\nu\beta\beta\chi_0\chi_0$	7	IIE	0.0011	12.5	$>1.1 \times 10^{22}$	<1.6
$0\nu\beta\beta\chi_0$	2	Bulk			$>1.0 \times 10^{24}$	

Majoron emitting $0\nu\beta\beta$

- Limits on majoron-neutrino coupling constant for different models

PRC 103 (2021) 044302

Decay mode	Spectral index	Model type	\mathcal{M}	$G_{m\chi_0^n}^{(0)} [10^{-18} \text{ yr}]$	$\tau_{1/2} [\text{yr}]$	$ \langle g_{\chi_{ee}^M} \rangle $
⁷⁶ Ge [32]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	6.64	44.2	$>4.2 \times 10^{23}$	$<3.5 \times 10^{-5}$
$0\nu\beta\beta\chi_0\chi_0$	3	ID,IE,IID	0.0026	0.22	$>0.8 \times 10^{23}$	<1.7
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$0\nu\beta\beta\chi_0\chi_0$	7	IIE	0.0026	0.420	$>0.3 \times 10^{23}$	<1.9
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¹³⁶ Xe [30]						
$0\nu\beta\beta\chi_0$	1	IB,IC,IIB	3.60	409	$>1.2 \times 10^{24}$	$<1.3 \times 10^{-5}$
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Model type	\mathcal{M}	$G_{m\chi_0^n}^{(0)} [10^{-18} \text{ yr}]$	$\tau_{1/2} [\text{yr}]$	$ \langle g_{\chi_{ee}^M} \rangle $
IB,IC,IIB	6.64	44.2	$>4.2 \times 10^{23}$	$<3.5 \times 10^{-5}$
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IIE	0.0026	0.420	$>0.3 \times 10^{23}$	<1.9

LARGE (circled in red)

SMALL (circled in red)

SMALL (circled in red)

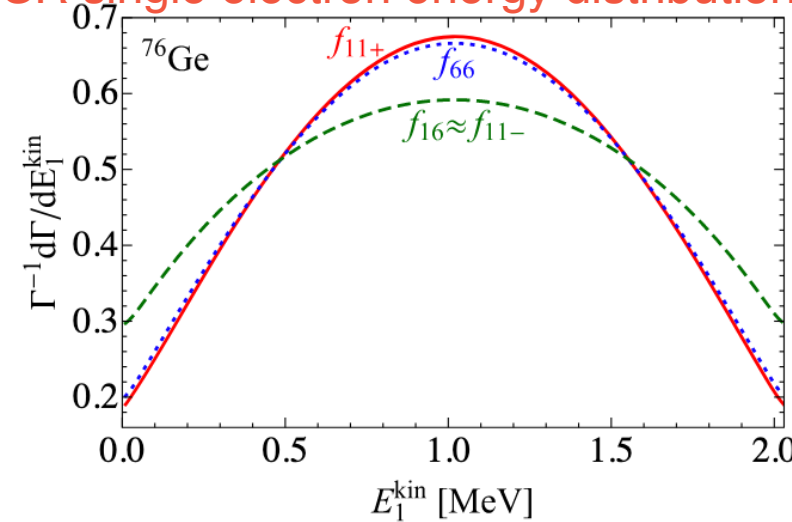
MUCH WEAKER LIMITS COMPARED TO m=1, n=1 models (circled in red)

Other scenarios: Non standard mechanisms

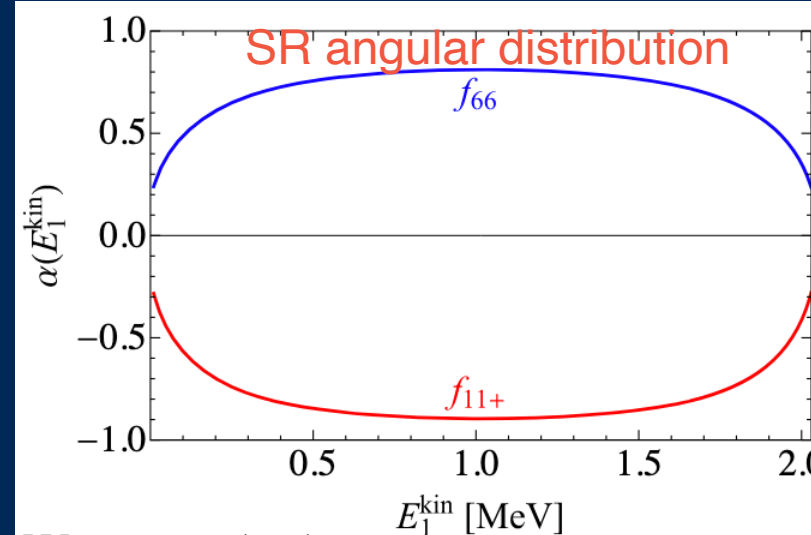
- General Lagrangian can be written in terms of effective couplings ε corresponding to the point like vertices at the Fermi scale: $\mathcal{L}_{0\nu\beta\beta} = \mathcal{L}_{LR} + \mathcal{L}_{SR}$
- In general description experimental half-life limits give information about the constraints on effective couplings ε :

$$[\tau_{1/2}]^{-1} = \left| \varepsilon_{\alpha}^{\beta} \right|^2 G_i |M_i|^2$$
- Example: the single electron energy and angular correlation distributions for the exotic short-range $0\nu\beta\beta$ decay mechanisms
 - single energy spectrum is not expected to help distinguish between the standard mass mechanism and any of the short-range mechanisms.
 - The angular correlation can distinguish between different mechanisms

SR single electron energy distribution



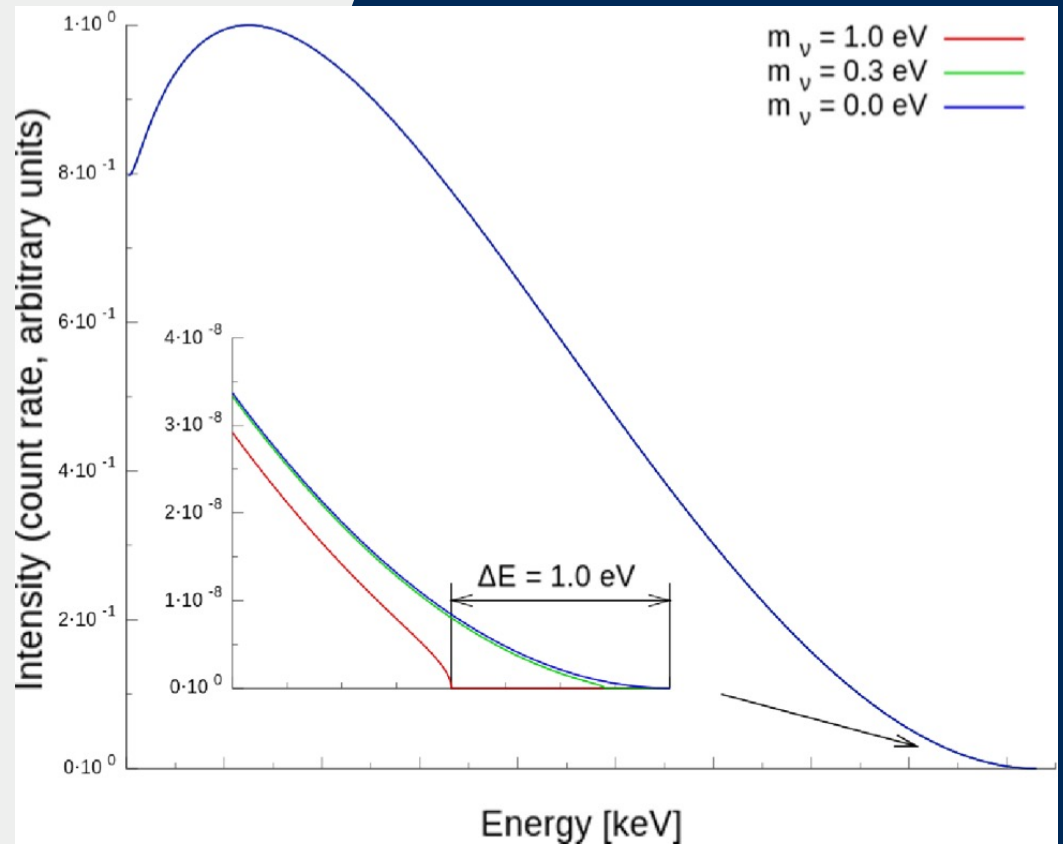
PRD 102, 095016 (2020)



PRD 102, 095016 (2020)



Part 2: Single beta decay



Classification of β -transitions

Type of transition	Order of forbiddenness	ΔJ	$\pi_i \pi_f$
Allowed		$0, +1$	$+1$
Forbidden unique	1	∓ 2	-1
	2	∓ 3	$+1$
	3	∓ 4	-1
	4	∓ 5	$+1$
	.	.	.
Forbidden non-unique	1	$0, \mp 1$	-1
	2	∓ 2	$+1$
	3	∓ 3	-1
	4	∓ 4	$+1$
	.	.	.

- The order of forbiddenness is given by the angular momentum carried by the electron (positron) and neutrino.

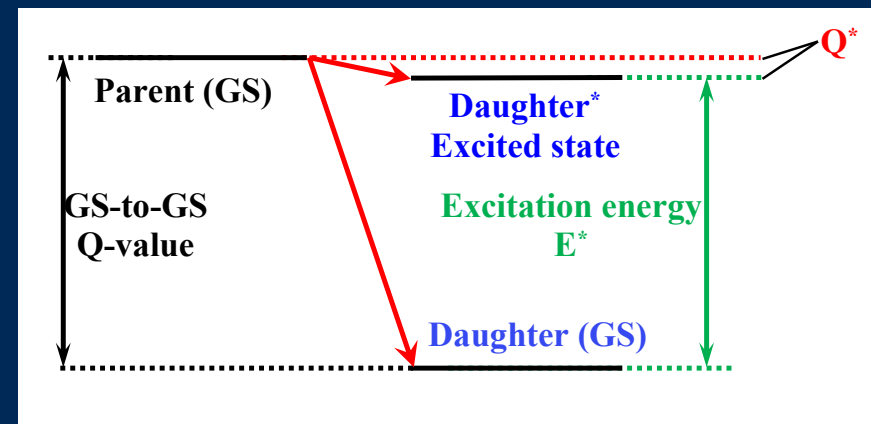
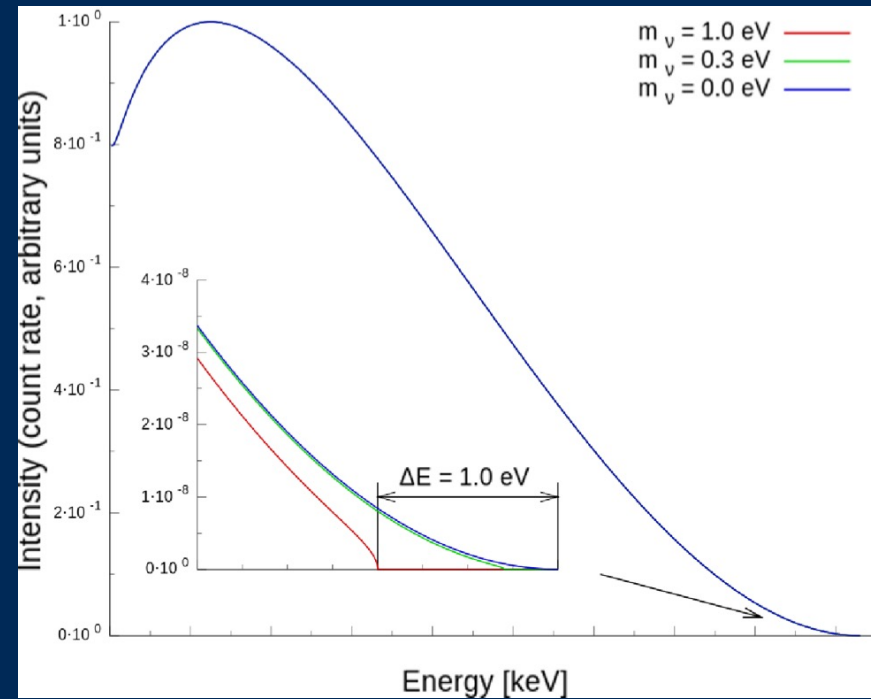
Characteristics of β -transitions

- ALLOWED transitions are well known and much studied
 - The energy dependence of the nuclear matrix elements can be factored out
- FORBIDDEN UNIQUE involve maximum possible angular momentum difference
 - => only one matrix element involved
 - => the factorization with NME and PSF is clear
- FORBIDDEN NON-UNIQUE β -decays feature shape functions that are complicated combinations of different NMEs and PSFs
 - They depend in a very nontrivial way on the values of the weak coupling constants, g_V for the vector part and g_A for the axial-vector part
 - Application: spectrum shape method which offers complementary information on the magnitude of effective g_A : IBFM-2, NSM, MQPM yield a consistent result, $g_A \approx 0.92$ for the decay of ^{113}Cd for which β -spectrum data are available [PRC 95 (2017) 024327]

Ingredients needed: Precise measurement for Q-value, spectrum shape data and calculations for phase space factor & nuclear matrix element!

Very low Q-value cases

- In kinematical approaches, the neutrino mass is determined via precise measurement of the spectral shape distortion close to the endpoint of the spectrum
- Only a very small fraction of the events land near the endpoint and thus it is desirable to study a decay with as small Q-value as possible
- Some decays to excited states potentially have very small decay energy
- To determine the Q^* , the GS-to-GS Q-value and the excitation energy E^* are needed.
- The excitation energies are usually well known ($E^* < 100$ eV)
- Campaign of new high precision GS-to-GS Q-value measurements in Jyväskylä



Some already studied low Q candidates

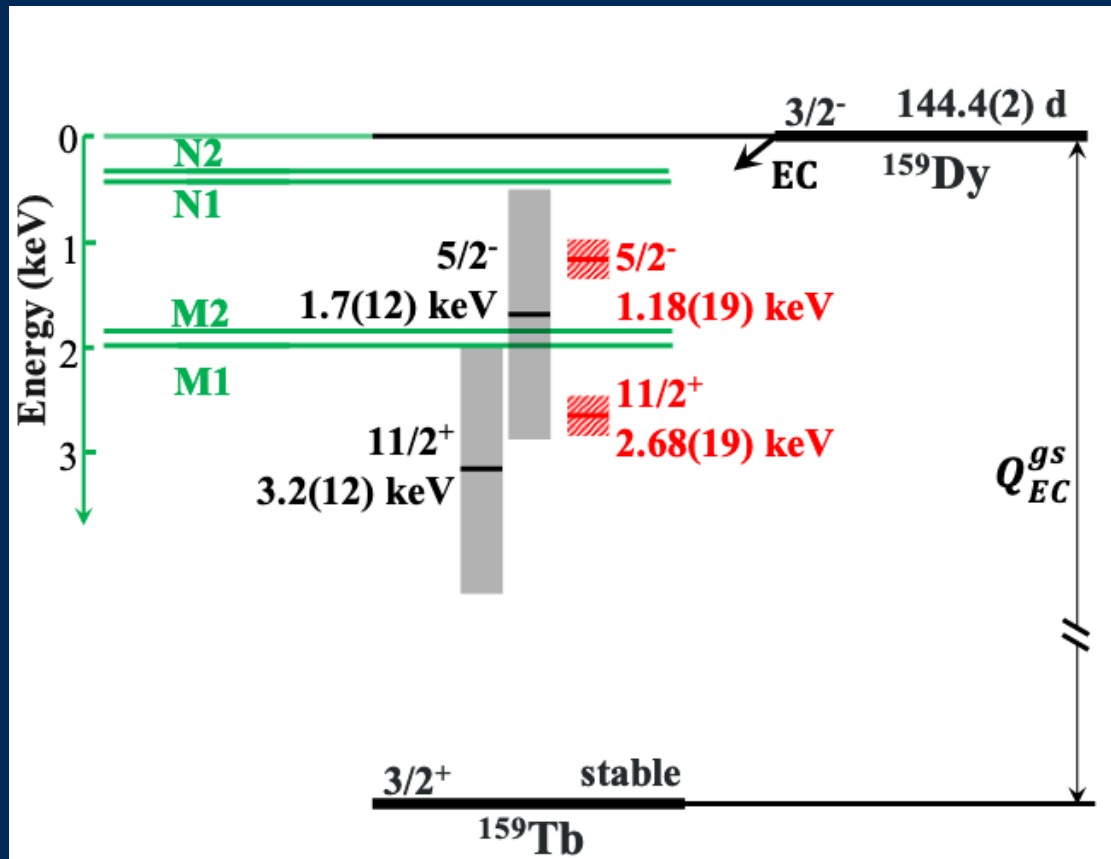
Parent	Daughter	E* (keV) ENSDF	decay type	Q* (keV) AME2020	Q* (keV) NEW	decay
111In(9/2+)	111Cd(3/2+)	866.60(6)	2nd FU	-6.4(34)	-8.97(18)	EC
	111Cd(3/2+)	864.8(3)	2nd FU	-4.6(35)	-7.17(35)	EC
	111Cd(3/2+)	855.6(10)	2nd FU	4.6(36)	2.0(10)	EC
	111Cd(7/2+)	853.94(7)	Allowed	6.3(34)	3.69(19)	EC
131I(7/2+)	131Xe(9/2+)	971.22(13)	Allowed	-0.42(61)	1.03(23)	β^-
	131Xe(7/2+)	973.11(14)	Allowed	-2.31(62)	-0.86(24)	β^-
159Dy(3/2-)	159Tb(5/2-)	363.5449(14)	Allowed	1.7(12)	1.18(19)	EC
	159Tb(11/2+)	362.050(40)	3rd FU	3.2(12)	2.68(19)	EC
...

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- Some cases require also more precise excitation energy measurement

Example: $^{159}\text{Dy}(3/2^-) \xrightarrow{\text{EC}} ^{159}\text{Tb}(5/2^-)$

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- Allowed decay to $5/2^-$ state, new Q-value 1.18(19) keV: **Excellent candidate!**
 - IBFM-2 prediction consistent with measured half-life 2.08×10^5 y
- 3rd forbidden unique transition to $11/2^+$ state, excluded due to predicted half-life of 10^{25} y

Conclusions

- Big open questions in physics: The nature and absolute mass scale of neutrino
- Even though many milestones in the research of double beta decay has been achieved, $0\nu\beta\beta$ remains yet to be observed and fully understood.
- Observation of $0\nu\beta\beta$ would clarify many fundamental aspects of neutrino physics
 - lepton number non-conservation
 - neutrino nature: whether the neutrino is a Dirac or a Majorana particle
 - absolute neutrino mass scale
 - the type of neutrino mass ordering (normal or inverted)
 - CP violation in the lepton sector
- We do not yet know what is the mechanisms of $0\nu\beta\beta$ -decay. Number of different mechanisms can trigger $0\nu\beta\beta$ -decay and several mechanisms may contribute with different relative phases.
- Single β -decay can be used as complimentary approach to pinpoint the mass of the neutrino, as well as, to study effective value of g_A

Joint effort of theory and experiments (i) to interpret the data, as well as, (ii) to identify new good candidates to study

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THANK YOU!

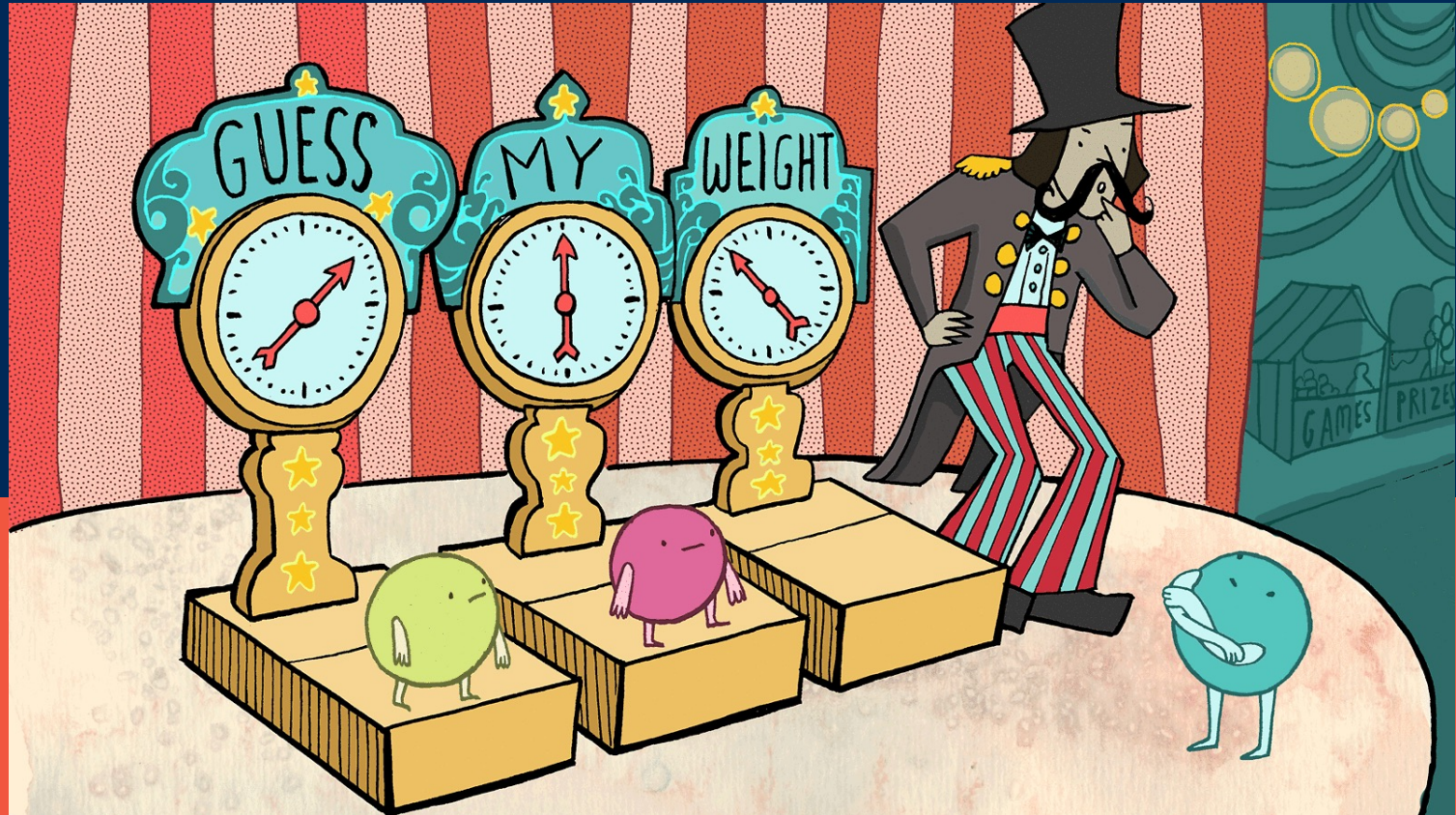


Illustration by Sandbox Studio, Chicago with Corinne Mucha