

1 Precision measurements of elastic proton-proton 2 single and double spin analyzing powers in the CNJ 3 region at RHIC HJET polarimeter

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The Polarized Atomic Hydrogen Jet Target polarimeter (HJET) is employed at The Relativistic Heavy Ion Collider (RHIC) to measure the absolute polarization of the colliding proton beams. In RHIC Runs 2015 ($E_{\text{beam}} = 100$ GeV) and 2017 ($E_{\text{beam}} = 255$ GeV) we accumulated large statistics of about 10^9 events per beam per run for elastic polarized proton scattering on polarized target (HJET) protons for the momentum transfer range $0.0013 < -t < 0.018$ GeV². Such statistics allowed us to measure single spin, A_N , and double spin, A_{NN} , analyzing powers with unprecedented statistical accuracy and very low systematic errors (of order of the statistical uncertainties). For the first time hadronic single spin-flip r_5 and double spin-flip r_2 amplitudes were reliably isolated at these energies. Measurements at two beam energies allowed us to separate Pomeron and Regge-pole contributions to the hadronic single- and double- spin-flip amplitudes. Extrapolation of the measured $r_5(s)$ to $\sqrt{s} = 200$ GeV is in good agreement with STAR measurements at this energy.

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4 1. HJET Polarimeter at RHIC

5 A precise measurement of the colliding beams polarization is an important component of the
 6 Relativistic Heavy Ion Collider (RHIC) Spin Program [1] at Brookhaven National Laboratory. The
 7 Polarized Atomic Hydrogen Gas Jet Target (HJET) [2] commissioned in 2004 was designed to
 8 measure absolute polarization of 24-250 GeV/c proton beams at RHIC with systematic errors better
 9 than $\Delta P/P \lesssim 0.05$. A significant upgrade of the polarimeter was done in 2015. It included the
 10 installation of new silicon detectors, increasing detector acceptance, and a new DAQ based on 250
 11 MHz 12 bits WFD [3]. This, along with the development of new methods in data analysis, allowed
 12 us to reduce the systematic uncertainties of the beam polarization measurements to a $\sigma_p^{\text{sys}}/P \lesssim$
 13 0.5% level [4].

14 The HJET consists of three main components: an atomic beam source, a Breit-Rabi polarime-
 15 ter to measure the atomic hydrogen polarization, and a recoil spectrometer to determine the beam
 16 and target (the jet) spin correlated asymmetries of the recoil proton angles. The recoil spectrometer
 17 is sketched in Fig. 1. The well defined vertical jet polarization, $P_{\text{jet}} = 0.957 \pm 0.001$, is reversed
 18 every 5–10 minutes. The polarization of both RHIC proton beams, *blue* and *yellow* consisting of
 19 110 bunches with alternating spin directions was measured concurrently.

20 A detailed description of the data analysis methods used at the HJET was given in PSTP 2017
 21 Proceedings [4]. It includes detector calibrations, identification of the backgrounds, isolation of
 22 elastic pp events, and evaluation of the systematic uncertainties of the beam polarization measure-
 23 ments.

24 2. Spin correlated asymmetries

25 Using the HJET to measure proton beam polarization, the spin-correlated differential cross
 26 section [5] dependences

$$\frac{d^2\sigma}{dt d\varphi} = \frac{1}{2\pi} \frac{d\sigma}{dt} \times [1 + A_N \sin \varphi (P_j + P_b) + (A_{NN} \sin^2 \varphi + A_{SS} \cos^2 \varphi) P_b P_j] \quad (2.1)$$

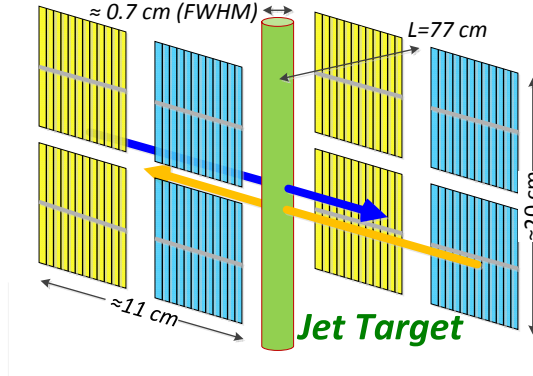


Figure 1: A schematic view of HJET polarimeter. 8 Silicon detectors, 12 readout channels each, are optionally referred as *blue* and *yellow* depending on which RHIC beam they measure.

on azimuth angle φ are analyzed. Here, P_j and P_b are the jet and beam polarizations, respectively. Positive signs of the $P_{j,b}$ correspond to the spin up direction ($\varphi = 0$). The left/right (relative to a RHIC beam direction) detectors are located at $\sin \varphi = \pm 1$. Thus, the HJET measurements are sensitive only to analyzing powers $A_N(s, t)$ and $A_{NN}(s, t)$ which, generally, are functions of the center-of-mass energy $s = 2m_p(E_{\text{beam}} + m_p)$, and momentum transfer squared $t = -2m_p T_R$.

The following spin correlated asymmetries can be experimentally determined (see Appendix A).

$$a_N^j = \langle A_N \rangle |P_j|, \quad a_N^b = \langle A_N \rangle |P_b|, \quad a_{NN} = \langle A_{NN} \rangle |P_j P_b| \quad (2.2)$$

where the measurement average values of the analyzing powers depend on the event selection cuts. For elastic pp scattering, $\langle A_N \rangle$ is the same for the jet and beam asymmetry which allows us to determine the beam polarization $|P_b| = (a_N^b / a_N^j) |P_j|$ with actually no knowledge of the analyzing power $A_N(t)$. However, $A_N(t)$ and $A_{NN}(t)$ can be experimentally determined by measuring the dependence on the recoil proton kinetic energy T_R of the asymmetries $a_N(T_R)$ and $a_{NN}(T_R)$.

Some systematic uncertainties which are effectively canceled in the beam polarization measurement have to be explicitly evaluated for the analyzing powers. These uncertainties include corrections to the background subtraction due to recoil proton tracking in the Holding Field Magnet, the effect of the finite vertical size of the detectors, the systematic uncertainties in the energy calibration.

For the Coulomb-nuclear interference (CNI) elastic pp scattering at high energies, the theoretical basis for an experimental parametrization of the analyzing powers was given in Ref. [6] (BKLST). The analyzing powers

$$A_N(t) = \frac{\sqrt{-t}}{m_p} \frac{[\varkappa(1 - \rho \delta_C + I_2) - 2(I_5 - \delta_C R_5)] \frac{t_c}{t} - 2[(1 + I_2)R_5 - (\rho + R_2)I_5]}{\left(\frac{t_c}{t}\right)^2 - 2(\rho + \delta_C) \frac{t_c}{t} + 1 + \rho^2} \quad (2.3)$$

$$A_{NN}(t) = \frac{-2(R_2 + \delta_C I_2) \frac{t_c}{t} + 2(I_2 + \rho R_2) - (\rho \varkappa - 4R_5) \frac{\varkappa t_c}{2m_p^2}}{\left(\frac{t_c}{t}\right)^2 - 2(\rho + \delta_C) \frac{t_c}{t} + 1 + \rho^2} \quad (2.4)$$

depend on the proton-proton forward Re / Im ratio $\rho(s)$, total cross section $\sigma_{\text{tot}}(s) = -8\pi\alpha/t_c$, and slope $B(s)$ which can be extracted from unpolarized pp data [7]. The Coulomb phase $\delta_C(t, B)$ and the hadronic single, $r_5 = R_5 + iI_5$, and double $r_2 = R_2 + iI_2$, spin-flip amplitude parametrizations are given in Ref. [6]. For the values of r_2 measured in this work, the r_2 contribution to A_N can be neglected.

3. Experimental determination of the elastic $p^\uparrow p^\uparrow$ analyzing powers at the RHIC HJET

In this work we analyze the HJET data acquired in two RHIC proton-proton runs: Run15 (100 GeV) [8] and Run17 (255 GeV) [9]. About 2×10^9 elastic pp events were selected at HJET in each Run. In the data analysis, the values of the total cross-section σ_{tot} and forward Re/Im ratio ρ were taken from the pp and $\bar{p}p$ data fit [10]. The slopes B were derived from Ref. [11].

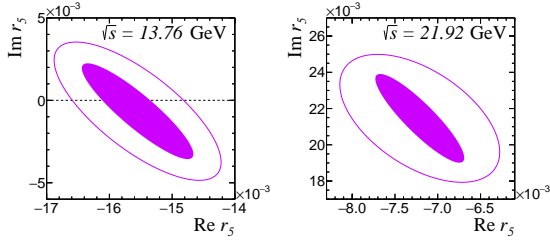


Figure 2: $1\text{-}\sigma$ correlation contours for the measured r_5 . Solid line correspond to the total (stat.+syst.) error while the filled ellipses are for statistical errors only.

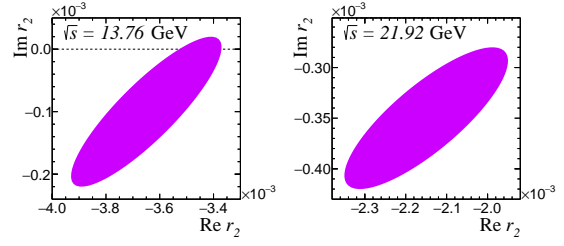


Figure 3: $1\text{-}\sigma$ correlation contours for the measured r_2 . The experimental uncertainties are dominated by statistical errors.

58 The Run specific conditions of the measurements can be briefly summarized as

59 **Run15:** $\sqrt{s} = 13.76$ GeV, $\rho = -0.079$, $\sigma_{\text{tot}} = 38.39$ mb, $B = 11.2$ GeV $^{-2}$, $P_{\text{jet}}^{\text{eff}} = 0.954$.

60 **Run17:** $\sqrt{s} = 21.92$ GeV, $\rho = -0.009$, $\sigma_{\text{tot}} = 39.19$ mb, $B = 11.6$ GeV $^{-2}$, $P_{\text{jet}}^{\text{eff}} = 0.953$.

61 To determine the hadronic spin-flip amplitude r_5 , we concurrently fit all four measured asym-
62 metries $a_N^{i,b}(t) = P_{j,b} A_N(t, r_5)$. The polarizations of each of the *blue* and *yellow* beams were consid-
63 ered as free parameters in the fit. The results are shown in Fig. 2. One can see that $|r_5|$ is distinctly
64 non-zero at both energies. Similarly the result of measurement of r_2 is displayed in Fig. 3.

65 4. Evaluation of the energy dependence of analyzing powers $A_N(s, t)$ and $A_{NN}(s, t)$

66 For unpolarized protons, elastic pp ($\bar{p}p$) scattering can be described accurately with five Regge
67 poles, namely, Pomeron P and the dominant $C = \pm 1$ poles for $I = 0, 1$, encoded by R^+ for (f_2, a_2)
68 and R^- for (ω, ρ) [10]. In this approximation, the unpolarized amplitude may be presented as a
69 sum of Regge pole contributions

$$\sigma_{\text{tot}}(s) \times [\rho(s) + i] = \sum_{R=P, R^\pm} [R_R(s) + iI_R(s)] \quad (4.1)$$

70 The real functions $R_R(s)$ and $I_R(s)$ have been determined in the unpolarized elastic pp and $\bar{p}p$
71 global fit [10] and are shown in Fig. 4.

72 Similarly, and again because they are analytic in s , the single and double spin amplitudes can
73 be expanded in terms of real coefficients, $f_{5,2}^R$, of the form [12]

$$\sigma_{\text{tot}}(s) \times r_{5,2}(s) = \sum_{R=P, R^\pm} f_{5,2}^R \times [R_R(s) + iI_R(s)] \quad (4.2)$$

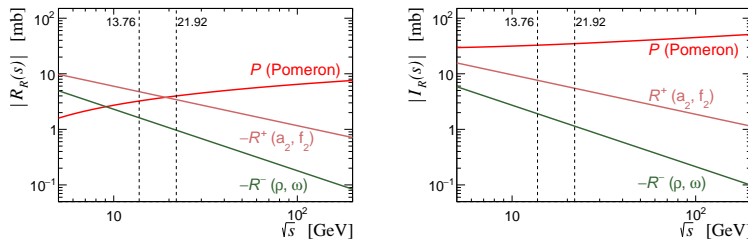


Figure 4: The Reggeon contributions to Eq. (4.1) obtained in the AU-L $\gamma=2$ (T) model of Ref. [10].

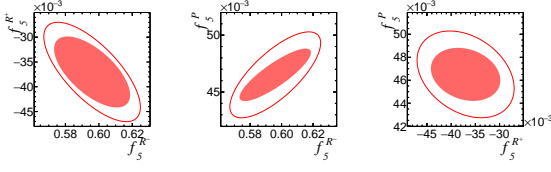


Figure 5: The Regeon single spin-flip couplings experimentally determined in this work.

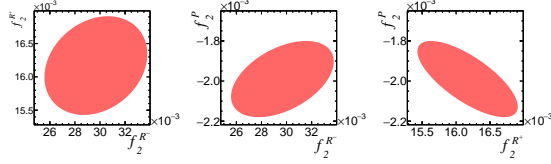


Figure 6: The Regeon double spin-flip couplings experimentally determined in this work.

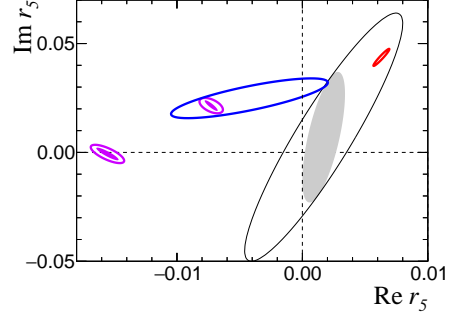


Figure 7: Extrapolation of the measured r_5 (violet color) to $\sqrt{s} = 200$ GeV using the models of Fig. 4 (red) and Fig. 8 (blue). The STAR result [13] is shown by black (stat.+syst.) and grey (stat. only) colors.

74 where the constants, $f_{5,2}^R$, are single and double spin-flip to non-flip coupling ratios.

75 In the combined fit of the 100 and 255 GeV HJET data, we determined the single (Fig. 5) and
76 double (Fig. 6) spin-flip couplings. The Pomeron couplings are well identified in both cases.

77 Using the result of the fit we can extrapolate the value of r_5 to $\sqrt{s} = 200$ GeV which allows us
78 to compare the HJET and the STAR [13] measurements. An impressive consistency was observed
79 (Fig. 7).

80 We also fit HJET hadronic spin-flip amplitudes using Froissaron-Maximal-Odderon model of
81 Ref. [14]. The parametrization (Fig. 8) was suggested to explain the surprisingly low value of
82 $\rho = 0.10 \pm 0.01$ at $\sqrt{s} = 13$ TeV measured by TOTEM experiment [15]. The r_5 extrapolation to
83 $\sqrt{s} = 200$ GeV is shown in Fig. 7. Since in the existing two point (100 and 255 GeV proton beam
84 energy) data the $R_O + iI_O$ can be well approximated by a linear combinations of R^\pm and P functions,
85 the result was uncertain. Nonetheless, it should be pointed out that an additional HJET precision
86 measurement at 24 GeV, the RHIC injection energy, might be a sensitive test of the hypothesis [14].

87 5. Summary

88 The HJET polarimeter at RHIC was used to study the spin-correlated asymmetries in elastic
89 scattering of transversely polarized protons. The data acquired in RHIC Runs 2015 (100 GeV beam
90 energy) and 2017 (255 GeV) allowed us to determine single and double spin analyzing powers in
91 the CNI region $0.0013 < -t < 0.018$ GeV with unprecedented precision as shown in Fig. 9.

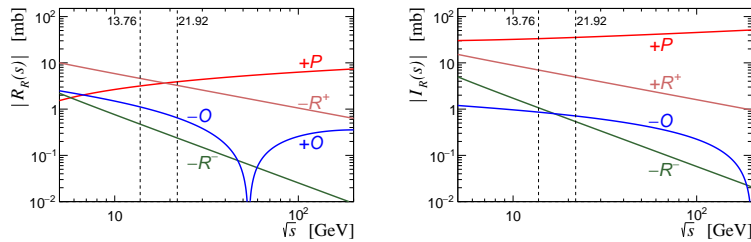


Figure 8: The Reggeon contributions including the Odderon one ($R_O + iI_O$) in the Froissaron-Maximal-Odderon model of Ref. [14].

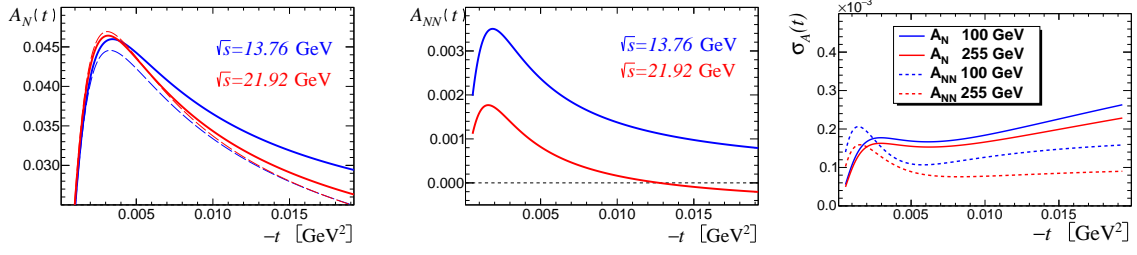


Figure 9: Elastic pp analyzing powers $A_N(t)$ and $A_{NN}(t)$ measured in this work. For $A_N(t)$, the dashed lines refer to the expected analyzing powers if $r_5 = 0$. The experimental uncertainties (stat.+syst.) are shown in the right graph.

92 The hadronic spin-flip amplitudes r_5 and r_2 were reliably isolated at both energies. The mea-
 93 surements at two energies allowed us to evaluate the Regge pole expansion of the spin-flip ampli-
 94 tudes $r_5(s)$ and $r_2(s)$. Pomeron single and double spin-flip couplings were well determined. The r_5
 95 extrapolation to $\sqrt{s} = 200$ GeV is well consistent with STAR measurements [13].

96 It was recently pointed out by Boris Kopeliovich [16] that the BKLST $A_N(t)$ was derived with
 97 some simplifications, namely, (i) the difference between electromagnetic and hadronic form factors
 98 was neglected and (ii) the absorptive correction on inelastic collisions was not considered. For the
 99 precision measurement at HJET, such corrections may be essential.

100 However, if the absorption can be approximated in the CNI region by a linear correction to the
 101 electromagnetic form factor $F^{\text{em}}(t) \rightarrow F^{\text{em}} \times (1 + at)$ then all such corrections can be accumulated
 102 in the values of $\text{Re} r_5$ and $\text{Im} r_5$. In other words, the measured analyzing power (Fig. 9) is not af-
 103 fected by the corrections but a biased value of r_5 is measured. Consequently, the Reggeon coupling
 104 fit also depends on the corrections.

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109 A. Experimental determination of the spin correlated asymmetries

110 At HJET, the jet/beam spin correlated asymmetries a_N^j , a_N^b , and a_{NN} , the jet/beam intensity
 111 asymmetries λ_j and λ_b , and the right/left detector acceptance asymmetry ε can be derived from
 112 the selected elastic event counts discriminated by the right/left (RL) detector location and the beam
 113 ($\uparrow\downarrow$) and jet ($+-$) spin directions:

$$N_{RL}^{(\uparrow\downarrow)(+-)} = N_0 \left(1 + \eta_\varepsilon \eta_j a_N^j + \eta_\varepsilon \eta_b a_N^b + \eta_j \eta_b a_{NN} \right) (1 + \eta_j \lambda_j) (1 + \eta_b \lambda_b) (1 + \eta_\varepsilon \varepsilon) \quad (\text{A.1})$$

114 where the sign of the $\eta_j(+ -) = \pm 1$ is defined by the jet spin $(+ -)$ and similarly for $\eta_b(\uparrow\downarrow)$ and
 115 $\eta_\varepsilon(RL)$. The Eqs. (A.1) can be solved analytically:

$$a_N^j = \frac{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} + \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} - \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} - \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}}{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} + \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} + \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} + \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}} \quad (\text{A.2})$$

$$a_N^b = \frac{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} - \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} + \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} - \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}}{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} + \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} + \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} + \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}} \quad (\text{A.3})$$

$$a_{NN} = \frac{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} - \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} - \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} + \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}}{\sqrt{N_R^{\uparrow+} N_L^{\downarrow-}} + \sqrt{N_R^{\downarrow+} N_L^{\uparrow-}} + \sqrt{N_R^{\uparrow-} N_L^{\downarrow+}} + \sqrt{N_R^{\downarrow-} N_L^{\uparrow+}}} \quad (\text{A.4})$$

$$\lambda_j = \frac{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow+} N_L^{\uparrow+} N_L^{\downarrow+}} - \sqrt[4]{N_R^{\uparrow-} N_R^{\downarrow-} N_L^{\uparrow-} N_L^{\downarrow-}}}{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow+} N_L^{\uparrow+} N_L^{\downarrow+}} + \sqrt[4]{N_R^{\uparrow-} N_R^{\downarrow-} N_L^{\uparrow-} N_L^{\downarrow-}}} \quad (\text{A.5})$$

$$\lambda_b = \frac{\sqrt[4]{N_R^{\uparrow+} N_R^{\uparrow-} N_L^{\uparrow+} N_L^{\uparrow-}} - \sqrt[4]{N_R^{\downarrow+} N_R^{\downarrow-} N_L^{\downarrow+} N_L^{\downarrow-}}}{\sqrt[4]{N_R^{\uparrow+} N_R^{\uparrow-} N_L^{\uparrow+} N_L^{\uparrow-}} + \sqrt[4]{N_R^{\downarrow+} N_R^{\downarrow-} N_L^{\downarrow+} N_L^{\downarrow-}}} \quad (\text{A.6})$$

$$\varepsilon = \frac{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow+} N_R^{\uparrow-} N_R^{\downarrow-}} - \sqrt[4]{N_L^{\uparrow+} N_L^{\downarrow+} N_L^{\uparrow-} N_L^{\downarrow-}}}{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow+} N_R^{\uparrow-} N_R^{\downarrow-}} + \sqrt[4]{N_L^{\uparrow+} N_L^{\downarrow+} N_L^{\uparrow-} N_L^{\downarrow-}}} \quad (\text{A.7})$$

$$b_{NN} = \frac{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow-} N_L^{\uparrow+} N_L^{\downarrow-}} - \sqrt[4]{N_R^{\uparrow-} N_R^{\downarrow+} N_L^{\uparrow-} N_L^{\downarrow+}}}{\sqrt[4]{N_R^{\uparrow+} N_R^{\downarrow-} N_L^{\uparrow+} N_L^{\downarrow-}} + \sqrt[4]{N_R^{\uparrow-} N_R^{\downarrow+} N_L^{\uparrow-} N_L^{\downarrow+}}} = 0 \quad (\text{A.8})$$

116 The value of b_{NN} has to be identically equal to 0 and, thus, it may be used as an indicator of possible
 117 systematic uncertainties. If all asymmetries are small, then they are statistically uncorrelated and
 118 have the same statistical error as defined by the total statistics $\sigma_{\text{stat}} = 1/\sqrt{N_{\text{tot}}}$.

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