

Is Quantum Theory Exact?

From quantum foundations to quantum applications

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Wave Equations Derived From First Order Invariance Conditions*

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QUANTUM THEORY OF A FREE PARTICLE:

STARTING FROM SIMMETRY PRINCIPLE:

The Theory is invariant under Galilei transformations

⇓ **By mathematical deduction**

⇓ methods by Bargmann, Mackey, Wigner

Quantum Theory of a FREE particle:

- Specific Hilbert space $\mathcal{H} = L_2(\mathbb{R}^3, \mathbb{C}^{2s+1})$
- Position operators: $Q_\alpha \psi(\mathbf{x}) = x_\alpha \psi(\mathbf{x})$
- Wave Equation $i \frac{d\psi_t}{dt}(\mathbf{x}) = -\frac{1}{2\mu} \sum_\alpha \frac{\partial^2 \psi_t}{\partial x_\alpha^2}(\mathbf{x})$

THIS THEORY IS EXACT!

Real question:

Can the Quantum Theory of an *interacting* particle be considered exact?

Yes, if a deductive development is discovered that yields such a theory.

For instance, if the currently practiced wave equation

$$i\frac{d\psi_t}{dt}(\mathbf{x}) = -\frac{1}{2\mu} \sum_{\alpha} \left(\frac{\partial}{\partial x_{\alpha}}(\mathbf{x}) - a_{\alpha}(\mathbf{x}) \right)^2 \psi_t(\mathbf{x}) + \Phi(\mathbf{x})\psi_t(\mathbf{x})$$

can be derived from physical principles.

PROBLEM:

Extension of the deductive method for free particle to an interacting particle does not work:

Galilei group \mathcal{G} is NOT a SYMMETRY group

~~⇓ Theorem of Wigner, Theorem of Mackey~~

- Specific Hilbert space $\mathcal{H} = ?$
- No Position operators: Q_α
- No Wave equation: $H = ?$

THE APPROACH STOPS!

**THE PRESENT WORK IDENTIFIES
AN OBJECTIVE CRITERIUM FOR EXACTNESS
OF INTERACTING PARTICLE WAVE EQUATIONS,
RELATED TO THE “DEGREE” OF INVARIANCE
LEFT BY THE SPECIFIC INTERACTION**

- I. Mathematical tools
- II. Quantum Transformations for interacting systems
- III. Development of the theory
- IV. Exact Wave equations for interacting particle
- V. Specific Wave equations

I. MATHEMATICAL TOOLS

NOTATION:

\mathcal{H} Hilbert space of the Quantum Theory

- $\mathcal{U}(\mathcal{H})$ unitary operators
- $\mathcal{S}(\mathcal{H})$ density operators (states)
- $\Omega(\mathcal{H})$ self-adjoint operators (observables)
- $\Pi(\mathcal{H})$ projection operators
- \mathcal{G} Galilei group
- $\mathcal{E} = \mathbf{R}^3 \ltimes SO(3)$, Euclidean subgroup:

$$g \in \mathcal{E}, g(\mathbf{x}) = R^{-1}\mathbf{x} - R^{-1}\mathbf{a}$$

I. MATHEMATICAL TOOLS

Definition: projective representation of a group:

A mapping $U : G \rightarrow \mathcal{U}(\mathcal{H})$ with $U(e) = \mathbb{I}$

such that $U_{g_1 g_2} = \sigma(g_1, g_2) U_{g_1} U_{g_2}$, $\sigma(g_1, g_2) \in \mathbb{C}$

$$\mathcal{E} = \mathbf{R}^3 \circledast SO(3), \quad \mathfrak{g}(\mathbf{x}) = R^{-1} \mathbf{x} - R^{-1} \mathbf{a}$$

Let $U : \mathcal{E} \rightarrow \mathcal{U}(\mathcal{H})$, $g \rightarrow U_g$ be a proj. rep. of \mathcal{E}

Definition. Given a projective representation U of \mathcal{E} , an *Imprimitivity System* for U is a PV measure

$E : \mathcal{B}(\mathbf{R}^3) \rightarrow \Pi(\mathcal{H})$ such that

$$U_g E(\Delta) U_g^{-1} = E(g^{-1}(\Delta)), \quad \forall g \in \mathcal{E}$$

I. MATHEMATICAL TOOLS

Mackey's imprimitivity theorem

If $E : \mathcal{B}(\mathbf{R}^3) \rightarrow \Pi(\mathcal{H})$ is an imprimitivity system for a *continuous* proj. rep. $U : \mathcal{E} \rightarrow \mathcal{U}(\mathcal{H})$

Then a proj.rep. $L : SO(3) \rightarrow \mathcal{U}(\mathcal{H}_0)$ exists:

$$\mathcal{H} = L_2(\mathbf{R}^3, \mathcal{H}_0),$$

$$(U_g \psi)(\mathbf{x}) = L_R \psi(g(\mathbf{x}))$$

$$E(\Delta) \psi(\mathbf{x}) = \chi_\Delta(\mathbf{x}) \psi(\mathbf{x})$$

modulo unitary isomorphisms

II. Quantum Transformations of observables

Wigner Theorem and Imprimitivity Theorem

Main tools to derive Quantum Theory

They require that:

- i) every $g \in \mathcal{G}$ is a symmetry, to assign U_g unitary (Wigner theorem),
- ii) $g \rightarrow U_g$ should be a projective representation.

Active interpretation $\Rightarrow g$ is not a symmetry: **(i) fails**

II. Quantum Transformations of observables

Def. $g \in \mathcal{G}$, $\Sigma \xrightarrow{g} \Sigma_g$, $\mathcal{M}_1, \mathcal{M}_2$ measuring devices.

$\mathcal{M}_1, \mathcal{M}_2$ indistinguishable relative to (Σ, Σ_g) if \mathcal{M}_1 is relatively to Σ identical to what is \mathcal{M}_2 relatively to Σ_g .

Quantum Transformation corresponding to $g \in \mathcal{G}$.

$$S_g^\Sigma : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H}), A \rightarrow S_g^\Sigma[A] \equiv B$$

$B = S_g^\Sigma[A]$ is an observable measurable by a device \mathcal{M}_2
indistinguishable relative to (Σ, Σ_g) ,
from a device \mathcal{M}_1 that measures A ,

II. Quantum Transformations of observables

General Properties of Quantum Transformations

(S.1) $S_g^\Sigma : \Omega(\mathcal{H}) \rightarrow \Omega(\mathcal{H})$ is bijective.

(S.2) If $B = f(A)$ then $f(S_g^\Sigma[A]) = S_g^\Sigma[f(A)]$.

If the device of A is relatively to Σ identical to the device of $S_g^\Sigma[A]$ relatively to Σ_g , then transforming both outcomes by the same f does not affect relative indistinguishability.

(S.3) $S_{gh}^\Sigma[A] = S_g^{\Sigma_h}[S_h^\Sigma[A]]$

III. DEVELOPMENT OF THE THEORY

For each $g \in \mathcal{G}$, consider S_g^Σ

Theorem. Conditions (S.1), (S.2) imply that Wigner theorem apply, so that an essentially unique operator U_g , unitary or anti-unitary, exists for $g \in \mathcal{G}$ such that

$$S_g^\Sigma[A] = U_g A U_g^*, \quad \forall A \in \Omega(\mathcal{H}).$$

Furthermore,

if $g \rightarrow S_g^\Sigma|_{\Pi(\mathcal{H})}$ is Bargmann-continuous

then $g \rightarrow U_g$ is continuous and each U_g is unitary.

III. DEVELOPMENT OF THE THEORY

$U : \mathcal{G} \rightarrow \mathcal{U}(\mathcal{H})$ exists such that $U_g A U_g^{-1} = S_g^\Sigma[A]$

But $g \rightarrow U_g$ is NOT a projective representation:
Imprimitivity theorem does not apply!

Idea:

σ -conversion $\{g \rightarrow U_g\} \rightarrow \{g \rightarrow \hat{U}_g\}$

where V_g is a unitary and continuous in g such that
 $g \rightarrow \hat{U}_g = V_g U_g$ is a projective representation

Remark: A σ -conversion always exists.

III. DEVELOPMENT OF THE THEORY

$g \rightarrow \hat{U}_g = V_g U_g$ continuous proj. representation

\Rightarrow 9 generators $\hat{P}_\alpha, \hat{J}_\alpha, \hat{G}_\alpha$ exist such that

$$[\hat{P}_\alpha, \hat{P}_\beta] = \mathbf{0}, [\hat{J}_\alpha, \hat{J}_\beta] = i\epsilon_{\alpha\beta\gamma}\hat{J}_\gamma, [\hat{J}_\alpha, \hat{P}_\beta] = i\epsilon_{\alpha\beta\gamma}\hat{P}_\gamma,$$

$$[\hat{G}_\alpha, \hat{G}_\beta] = \mathbf{0}, [\hat{J}_\alpha, \hat{G}_\beta] = i\epsilon_{\alpha\beta\gamma}\hat{G}_\gamma,$$

$$[\hat{G}_\alpha, \hat{P}_\beta] = i\delta_{\alpha,\beta\mu}.$$

$$\Rightarrow \hat{U}_g \mathbf{F} \hat{U}_g^{-1} = \mathfrak{g}(\mathbf{F}), \quad \mathbf{F} = \frac{\hat{\mathbf{G}}}{\mu}, \quad g \in \mathcal{E} \quad (Cov)$$

Given $\Delta \rightarrow E(\Delta)$ common PV measure of \mathbf{F}

$$\hat{U}_g \mathbf{F} \hat{U}_g^{-1} = \mathfrak{g}(\mathbf{F}) \quad (Cov) \Rightarrow \hat{U}_g E(\Delta) \hat{U}_g^{-1} = \mathfrak{g}^{-1}(\Delta)$$

$\Delta \rightarrow E(\Delta)$ imprimitivity system for $\hat{U} |_{\mathcal{E}}$:

III. DEVELOPMENT OF THE THEORY

Now Imprimitivity Theorem applies:

A proj. rep. $L : SO(3) \rightarrow \mathcal{U}(\mathcal{H}_0)$ exists so that

$$\mathcal{H} = L_2(\mathbf{R}^3, \mathcal{H}_0), \quad (F_\alpha \psi)(\mathbf{x}) = x_\alpha \psi(\mathbf{x}),$$

$$\text{If } g(\mathbf{x}) = R^{-1}\mathbf{x} - R^{-1}\mathbf{a}, \quad (\hat{U}_g \psi)(\mathbf{x}) = L_R \psi(g(\mathbf{x}))$$

Irred. representations \leftrightarrow elementary particle:

$$\mathcal{H}_0 = \mathbb{C}^{2s+1}, \quad \hat{J}_\alpha = F_\beta \hat{P}_\gamma - F_\gamma \hat{P}_\beta + S_\alpha$$

S_α spin operators in \mathbb{C}^{2s+1}

III. DEVELOPMENT OF THE THEORY

Quantum Theory of a Localizable Particle

Formalism is obtained, but the position operators Q not identified: then it is meaningless!

Def. (Position operator).

For any $g \in \mathcal{G}$, let $g_t : \mathbf{R}^3 \rightarrow \mathbf{R}^3$ be its function.

Position a time t observable is a tern

$$\mathbf{Q}^{(t)} = (Q_1^{(t)}, Q_2^{(t)}, Q_3^{(t)}); \quad \mathbf{Q}^{(0)} \equiv \mathbf{Q}.$$

such that $S_g^\Sigma[\mathbf{Q}^{(t)}] = g_t(\mathbf{Q}^{(t)})$,

i.e. $U_g \mathbf{Q}^{(t)} U_g^{-1} = g_t(\mathbf{Q}^{(t)})$

III. DEVELOPMENT OF THE THEORY

To attain an effective theory of a particle

- To concretely identify \mathbf{Q}
- to determine the wave equation

$$\mathbf{Q} = \mathbf{F}?$$

Theorem. Let \mathbf{Q} be position at time 0 operators.

$$\mathbf{Q} = \mathbf{F} \quad \text{if and only if} \quad \hat{U}_g \mathbf{Q} \hat{U}_g^{-1} = S_g^\Sigma[\mathbf{Q}] = U_g \mathbf{Q} U_g^{-1}$$

$U_g \rightarrow \hat{U}_g$ preserves covariance properties of \mathbf{Q} :

“ \mathbf{Q} -covariant σ -conversion”

IV. EXACT WAVE EQUATION

Theorem. If the interaction admits Q -covariant σ -conversion then $f_\alpha(\mathbf{x}) \in \Omega(\mathcal{H}_0)$ and $\eta_\alpha(\mathbf{x}) \in \Omega(\mathcal{H}_0)$ exist such that

$$i[H, \mu Q_\alpha - \eta_\alpha(\mathbf{Q})] = \hat{P}_\alpha - f_\alpha(\mathbf{Q})$$

Different specific forms of H satisfy (DynEq)

$$H = -\frac{1}{2\mu} \sum_\alpha \frac{\partial^2}{\partial x_\alpha^2}, \quad H = \frac{1}{2\mu} \sum_\alpha \left(-i \frac{\partial}{\partial x_\alpha}\right)^2 + \Phi(\mathbf{x}) \dots$$

Problem: characterize them *Physically*

V. DERIVING SPECIFIC WAVE EQUATIONS

The general law

$$i[H, \mu Q_\alpha - \eta_\alpha(\mathbf{Q})] = \hat{P}_\alpha - f_\alpha(\mathbf{Q})$$

was implied by the invariance of the covariance properties of \mathbf{Q} after σ -conversion:

$$U_g \mathbf{Q} U_g^{-1} = S_g^\Sigma[\mathbf{Q}] \rightarrow (\sigma\text{-conv}) \rightarrow \hat{U}_g \mathbf{Q} \hat{U}_g^{-1} = S_g^\Sigma[\mathbf{Q}]$$

V. SPECIFIC WAVE EQUATIONS

RESULT OF THE RESENT WORK:

The different SPECIFIC forms of Wave Equations (H) are determined by *approximate* invariance of the covariance properties of $Q^{(t)}$ (position at time t) with respect to SPECIFIC subgroups of \mathcal{G} .

Different specific wave equations correspond to different subgroups of (1st order) invariance

V. SPECIFIC WAVE EQUATIONS

Let the σ -conv. **does not affect** the covariance properties of $\mathbf{Q}^{(t)}$ with respect to boosts **at first order**, i.e.

$$\begin{aligned} e^{i\hat{G}_\alpha u} Q_\beta^{(t)} e^{-i\hat{G}_\alpha u} &= S_g^\Sigma[\mathbf{Q}^{(t)}] + o^{(t)}(u) \\ &= Q_\beta^{(t)} - \delta_{\alpha\beta} u t \mathbf{I} + o^{(t)}(u) \end{aligned} \quad (\mathcal{B})$$

Theorem. (Electromagnetic interaction)

If (\mathcal{B}) holds then

$$i \frac{d\psi_t}{dt}(\mathbf{x}) = -\frac{1}{2\mu} \sum_\alpha \left(\frac{\partial}{\partial x_\alpha}(\mathbf{x}) - a_\alpha(\mathbf{x}) \right)^2 \psi_t(\mathbf{x}) + \Phi(\mathbf{x}) \psi_t(\mathbf{x})$$

where $a_\gamma(\mathbf{x}), \Phi(\mathbf{x}) \in \Omega(\mathcal{H}_0 = \mathbb{C}^{2s+1})$

V. SPECIFIC WAVE EQUATIONS

Invariance of covariance properties of $\mathbf{Q}^{(t)}$ with respect to spatial translations, $\hat{U}_g = e^{-i\hat{P}_\alpha a}$ i.e. $\hat{U}_g \mathbf{Q}^{(t)} \hat{U}_g^{-1} = S_g^\Sigma[\mathbf{Q}^{(t)}]$, at first order:

$$e^{-i\hat{P}_\alpha a} Q_\beta^{(t)} e^{i\hat{P}_\alpha a} = Q_\beta^{(t)} - \delta_{\alpha\beta} a \mathbf{I} + o^{(t)}(a) \quad (\mathcal{T})$$

Theorem. If (\mathcal{T}) holds then

$$i \frac{d\psi_t}{dt}(\mathbf{x}) = F(-i\nabla) \psi_t(\mathbf{x}) + \Psi(\mathbf{x}) \psi_t(\mathbf{x})$$

where $F(\mathbf{p}), \Psi(\mathbf{x}) \in \Omega(\mathcal{H}_0 = \mathbb{C}^{2s+1})$

V. SPECIFIC WAVE EQUATIONS

Invariance of covariance properties of $\mathbf{Q}^{(t)}$
with respect to both:

$$e^{-i\hat{P}_{\alpha}a}Q_{\beta}^{(t)}e^{i\hat{P}_{\alpha}a} = Q_{\beta}^{(t)} - \delta_{\alpha\beta}a\mathbf{I} + o^{(t)}(a) \quad (\mathcal{T})$$

$$e^{i\hat{G}_{\alpha}u}Q_{\beta}^{(t)}e^{-i\hat{G}_{\alpha}u} = Q_{\beta}^{(t)} - \delta_{\alpha\beta}ut\mathbf{I} + o^{(t)}(u) \quad (\mathcal{B})$$

Theorem. If (\mathcal{T}) and (\mathcal{B}) hold then

$$i\frac{d\psi_t}{dt}(\mathbf{x}) = -\frac{1}{2\mu}\sum_{\alpha}\left(\frac{\partial}{\partial x_{\alpha}} - \hat{a}_{\alpha}\right)^2\psi_t(\mathbf{x}) + \Phi(\mathbf{x})\psi_t(\mathbf{x})$$

where $\hat{a}_{\gamma} \in \Omega(\mathcal{H}_0 = \mathbb{C}^{2s+1})$

standard but non magnetic interaction

CONCLUSIONS

Different equations for different first order invariance subgroups.

Wave equations without first order invariance?

Extension to the relativistic case.

Problem: Covariance properties of $Q^{(t)}$ with respect to Lorentz boosts not available.