

Halo effective theory for α - α , 3- α and N- α interactions

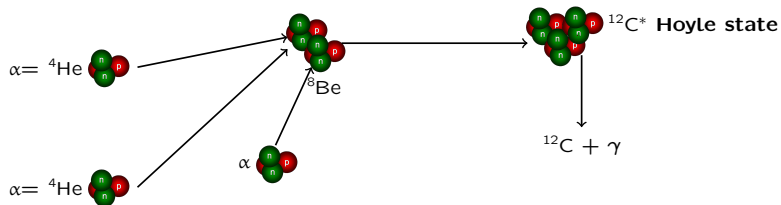
Paolo Recchia



October 9, 2019

Introduction

Motivation: relevance of α particles



Issues in α interactions

- Rigorously QCD needed
- System consisting of 12 nucleons

Properties of α particles

- High energy
- Relativistic high excitation energy
- High energy

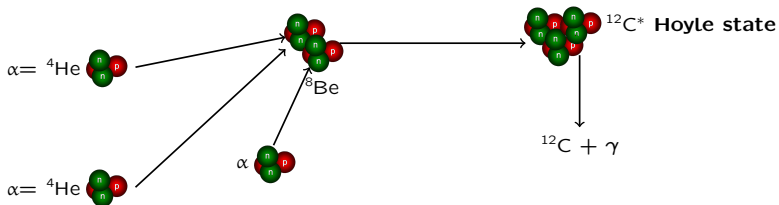
Technique used: low-energy theory

Purpose of this work

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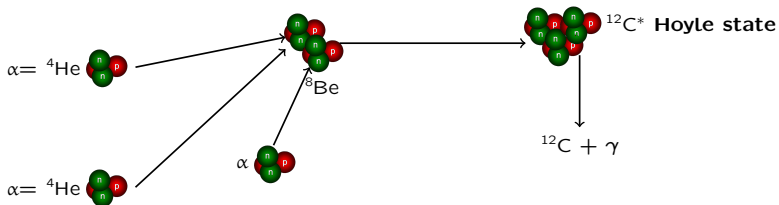
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Properties of α particles

- Relatively high binding energy
- Relatively low mass
- Relatively low energy

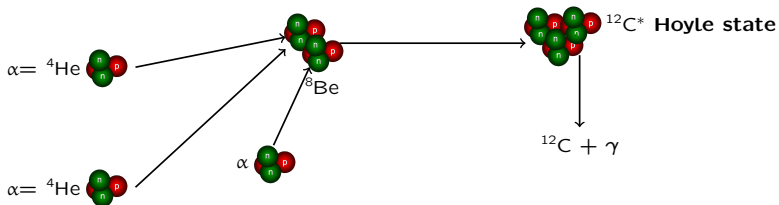
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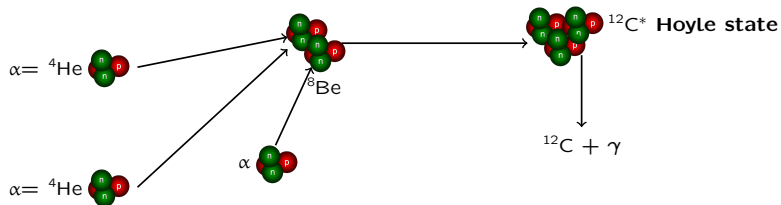
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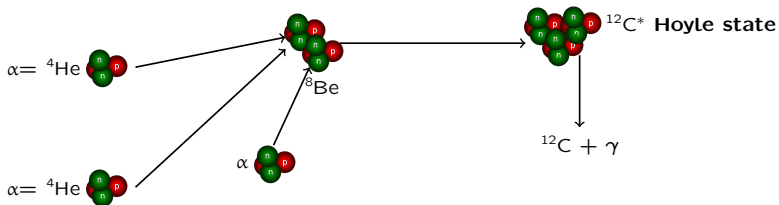
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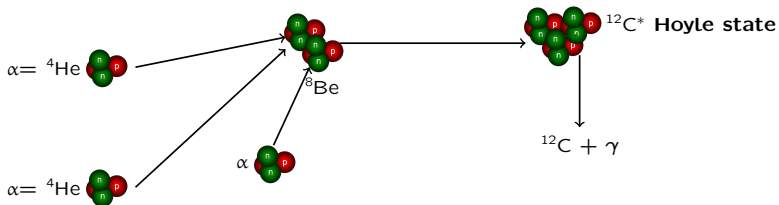
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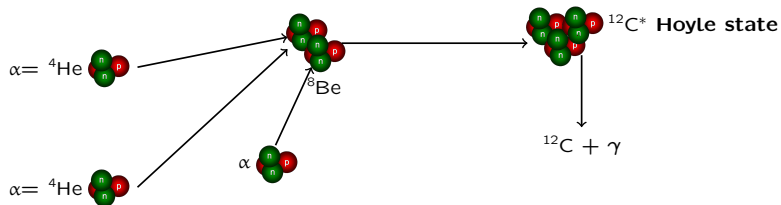
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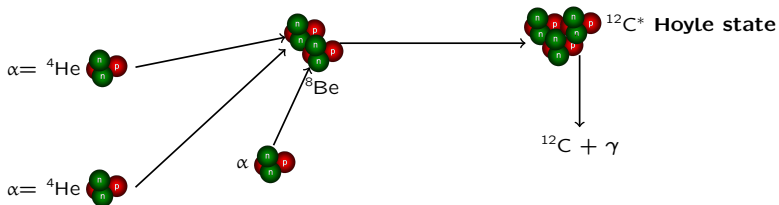
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- Systems consisting of "core" α - α and "halo" N
- ^9Be system (α - α -N)
- Hoyle state (3- α)

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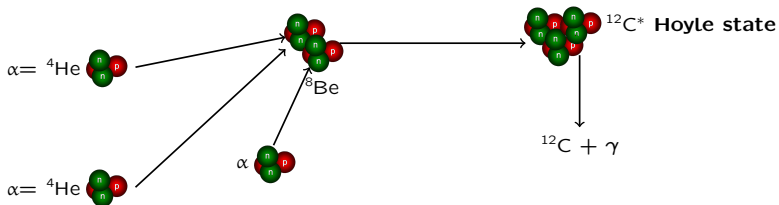
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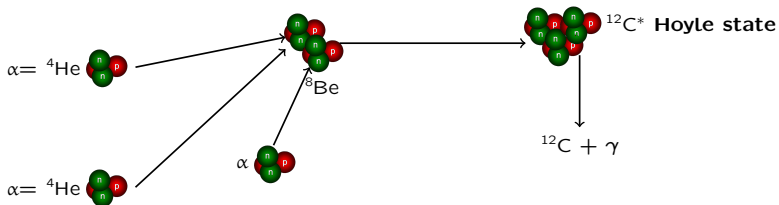
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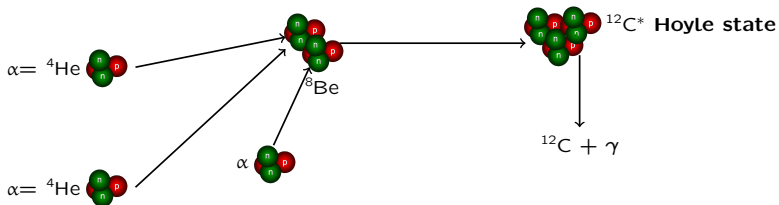
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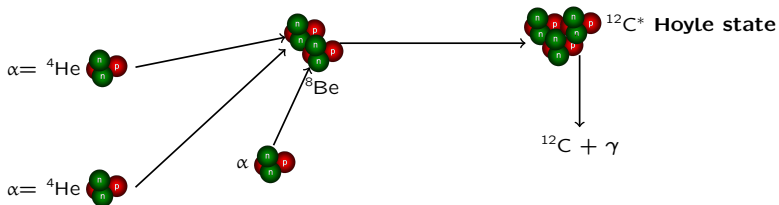
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- 1 Effective theories
 - Why effective theories?
 - Cutoff regularization
- 2 α - α effective potential
 - Scales
 - LO
 - NLO and N²LO
 - Regularization of the effective potential
- 3 Data analysis α - α
 - LO
 - NLO
 - N²LO
- 4 Data analysis 3- α
 - Ground state L=0
 - L=2 state
- 5 N- α effective potential
 - Symmetries and potential
 - LO: $S_{1/2}$ phase shifts
 - NLO: $S_{1/2}$ phase shifts
 - NLO: $P_{1/2}, P_{3/2}$ phase shifts
- 6 Conclusions

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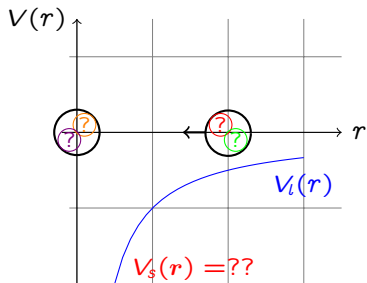
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Effective theories

Why effective theories?



Issue

Short-distance (high-energy) theory is unknown or complicated

Purpose

Predicting with an arbitrary accuracy the low-energy observables regardless of the short-range behavior of the “fundamental” theory

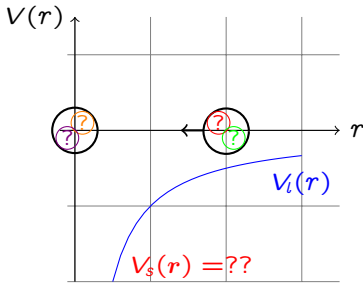
Technique used

- Establishing the relevant degrees of freedom of the system

- Establishing the relevant interactions of the system

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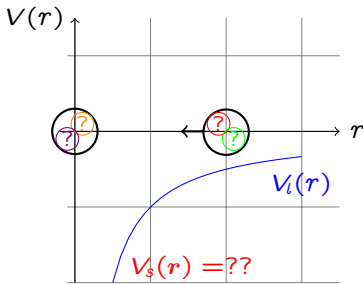
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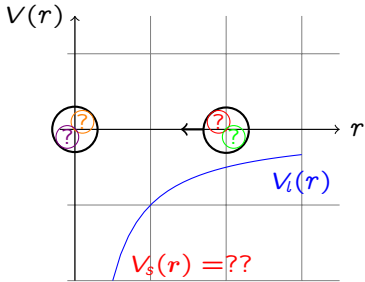
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- Building a series expansion in powers of the scale ratios

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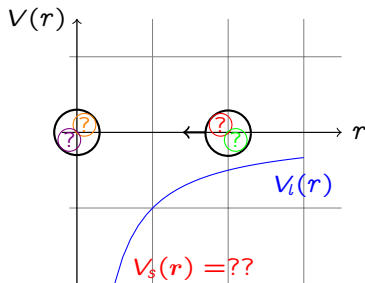
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Cutoff regularization

- 1 Regularization of the theory by a cutoff Λ
- 2 The error due to the cutoff is corrected by introducing contact terms multiplied by some coupling constants
- 3 Setting the accuracy (the power in p/Λ we stop)
- 4 Establishing only the relevant operators for the accuracy required
- 5 Considering the operators satisfying the underlying symmetries

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The effective theory is valid only for $p < \Lambda$. The effective theory is more similar to the fundamental one than the fundamental one.

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• The high-energy effects are absorbed into these coupling constants

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α-α effective potential Scales

Excitation energy of α particle

2+ ——— 29890 9.72 MeV n : 0.4 %, p : 0.4 %, D : 99.2 %
 0- ——— 28640 4.89 MeV D : 100 %
 2+ ——— 27420 8.69 MeV n : 3 %, p : 3 %, D : 94 %
 0- ——— 25280 7.97 MeV n : 48 %, p : 52 %
 2- ——— 23330 5.01 MeV n : 47 %, p : 53 %
 2- ——— 21840 2.01 MeV n : 37 %, p : 63 %
 0+ ——— 20210 0.50 MeV p : 100 %

1+ ——— 28310 9.89 MeV n : 47 %, p : 48 %, D : 5 %
 1- ——— 25950 12.66 MeV IT : ? %, n : 48 %, p : 52 %
 1- ——— 23640 6.20 MeV IT : ? %, n : 45 %, p : 55 %
 0- ——— 21010 0.84 MeV n : 24 %, p : 76 %



$E_{CM} < 10 \text{ MeV}$

$\longrightarrow P_{CM} < 200 \text{ MeV}$

0+ ——— 0.0 STABLE

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α-α effective potential

LO

Formalism

The α particles created and annihilated by the fields

$$\varphi(x) = \int \frac{d^3\mathbf{q}}{(2\pi)^3} a_{\mathbf{q}} e^{-i\mathbf{q}\cdot\mathbf{x}}$$

$$\varphi^\dagger(x) = \int \frac{d^3\mathbf{q}}{(2\pi)^3} a_{\mathbf{q}}^\dagger e^{i\mathbf{q}\cdot\mathbf{x}}$$

Symmetries

Fields and gradients get combined in order to have operators

- normal ordered
- parity invariant

α-α effective potential

LO

Formalism

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- 2 **parity invariant**
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Hamiltonian density

$$\mathcal{H}^{(0)} = \omega^2 \varphi^\dagger \varphi$$

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Effective potential up to LO (momentum space)

$$V_{\text{eff}}^{\text{tree}}(LO) = g$$

α - α effective potential

LO

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Symmetries

Fields and gradients get combined in order to have operators

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Hamiltonian density:

$$\mathcal{H}^{(LO)} = a\varphi^\dagger\varphi\varphi^\dagger\varphi$$

Effective potential up to LO (momentum space):

$$V_{\text{eff}}^{\text{strong (LO)}} = a$$

α - α effective potential

LO

Formalism

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Hamiltonian density:

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Effective potential up to LO (momentum space):

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Effective potential for α - α interaction

NLO and N²LO

NLO operators

$$\nabla_{1,2} = \nabla(\varphi^\dagger\varphi)_{1,2}$$

$$O_1 = \nabla_1 \cdot \nabla_2$$

$$O_2 = \overleftrightarrow{\nabla}_1 \cdot \overleftrightarrow{\nabla}_2$$

$$O_3 = \overleftrightarrow{\nabla}_1 \cdot \overleftrightarrow{\nabla}_1$$

$$O_4 = i\nabla_1 \cdot \overleftrightarrow{\nabla}_2$$

N²LO operators

$$\nabla_{12} = \nabla_2 - \nabla_1 \quad , \quad \overleftrightarrow{\nabla} = \varphi^\dagger \overleftrightarrow{\nabla} \varphi$$

$$U_1 = \nabla_1^4 \quad , \quad U_2 = \nabla_1^2 \overleftrightarrow{\nabla}_{12}^2 \quad , \quad U_3 = \overleftrightarrow{\nabla}_{12}^4$$

$$U_4 = i\nabla_1^3 \overleftrightarrow{\nabla}_{12} \quad , \quad U_5 = i\nabla_1 \overleftrightarrow{\nabla}_{12}^3$$

$$U_6 = (\nabla_1 \cdot \overleftrightarrow{\nabla}_{12})(\nabla_1 \cdot \overleftrightarrow{\nabla}_{12})$$

SYMMETRIES



Effective potential up to N²LO (momentum space)

$$V_{\text{eff}}^{\text{strong (N}^2\text{LO)}}(K, Q) = A + BK^2 + C_1K^4 + C_2K^2Q^2 + C_3(K \times Q)^2$$

with

$$Q = \frac{p' + p}{2}$$

$$K = p' - p.$$

$$p = p_2 - p_1$$

$$p' = p_2 - p'_1$$

The coupling constants are dimensional

Effective potential for α - α interaction

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SYMMETRIES



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Effective potential for α - α interaction

Regularization of the effective potential

Dimensionless coupling constants

$$V_{\text{eff}}^{\text{strong}}(\mathbf{K}, \mathbf{Q}) = \frac{\tilde{A}}{\Lambda^2} + \frac{\tilde{B}}{\Lambda^4} K^2 + \frac{1}{\Lambda^6} [\tilde{C}_1 K^4 + \tilde{C}_2 K^2 Q^2 + \tilde{C}_3 (\mathbf{K} \times \mathbf{Q})^2]$$

Regularization

$$V_{\text{eff, strong}}^{\text{reg}} = f_{\Lambda}(K^2) V_{\text{eff}}^{\text{strong}}(\mathbf{K}, \mathbf{Q})$$

Gaussian regulator $f_{\Lambda}(K^2) = e^{-\frac{K^2}{2\Lambda^2}}$

Regularization of the Coulomb potential

$$V_{\text{Coul}}(r) = \frac{4\alpha}{r} \xrightarrow{F.T.} \frac{16\pi\alpha}{K^2} \xrightarrow{\text{cutoff}} \frac{16\pi\alpha}{K^2} f_{\Lambda}(K)$$

Full effective potential (coordinate space)

$$V_{\text{eff}}(r) = \frac{4\alpha}{r} \text{erf}\left(\frac{r}{a\sqrt{2}}\right) + a^2 \tilde{A} \delta_a^{(3)}(r) + a^4 \tilde{B} \nabla^2 \delta_a^{(3)}(r) + a^6 \left[\tilde{C}_1 \nabla^4 \delta_a^{(3)}(r) - \tilde{C}_2 \nabla^2 \delta_a^{(3)}(r) \left(\frac{1}{2} \nabla^2\right)^2 + \tilde{C}_3 \left(\frac{l(l+1)}{a^4} + \frac{2}{a^2} \left(\frac{1}{2} \nabla^2\right)^2\right) \delta_a^{(3)}(r) \right]$$

The Schrödinger equation with potential V_{eff} has been solved

- Numerov's method for seeking the resonance condition of ${}^8\text{Be}$
- Kohn's variational method for fitting data with $L = 0, 2$ and energies lower than 6 MeV
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Effective potential for α - α interaction

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Data analysis α - α

LO

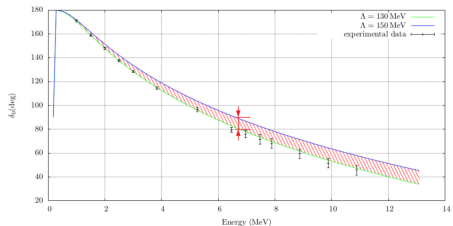
$$\Delta B \approx 0.12$$

$$\Delta B \approx 0.74$$

Up to LO the effective theory predict S-wave phase shifts better than D-wave ones

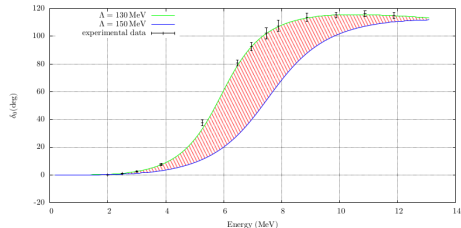
Data analysis α - α LO

S-wave phase shifts



$$\Delta B \approx 0.12$$

D-wave phase shifts

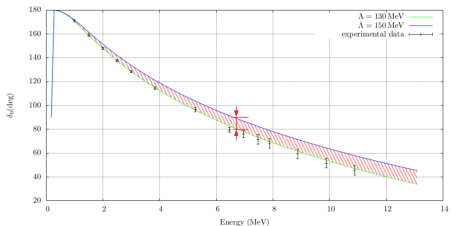


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Up to LO the effective theory predict S-wave phase shifts better than D-wave ones

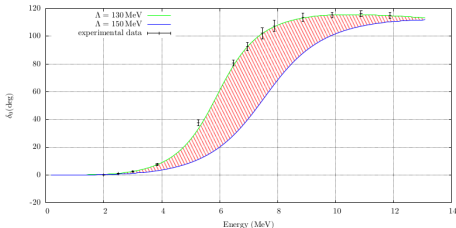
Data analysis α - α LO

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Data analysis α - α

NLO

Preliminary observations

The NLO's coupling constant has been fitted to low-energy data

- The NLO predicts the D-wave phase shifts better than the LO
- Study of α - α scattering

Data analysis α - α

NLO

Preliminary observations

The NLO's coupling constant has been fitted to low-energy data

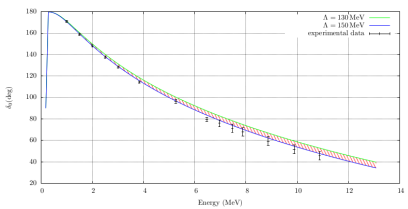
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Data analysis α - α NLO

Preliminary observations

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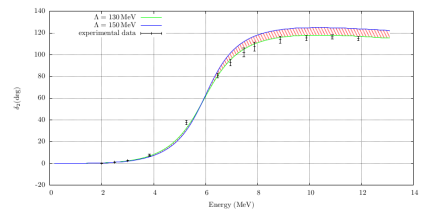
S-wave phase shifts



$\Delta B \approx 0.03$

4 times less than LO

D-wave phase shifts



$\Delta B \approx 0.08$

9 times less than LO

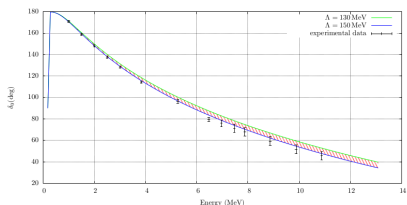
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Data analysis α - α NLO

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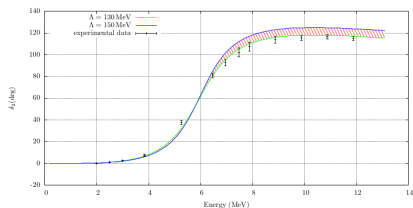
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Data analysis α - α

N²LO

Preliminary observations

- Only central term acting on states with $l \neq 0$
- The NLO and N²LO coupling constants has been sought by fitting low-energy data

Data analysis α - α

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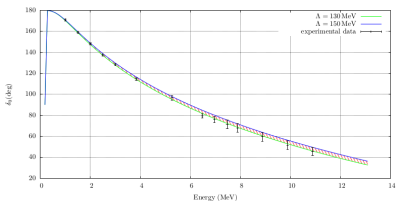
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Data analysis α - α N²LO

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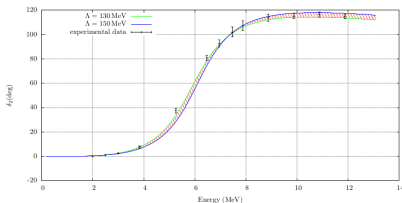
S-wave phase shifts



$$\Delta B \approx 0.04$$

comparable with NLO

D-wave phase shifts



$$\Delta B \approx 0.01$$

4 times less than NLO

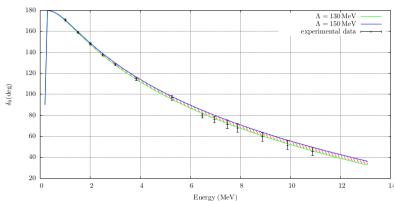
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Data analysis α - α N²LO

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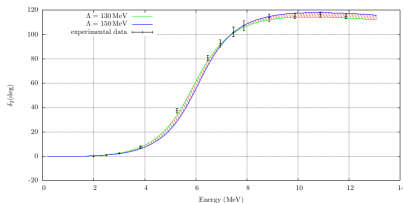
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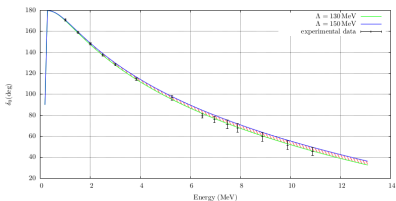
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- N²LO improve the accuracy for D-wave phase shifts

Data analysis α - α N²LO

Preliminary observations

- Only central term acting on states with $l \neq 0$
- The NLO and N²LO coupling constants has been sought by fitting low-energy data

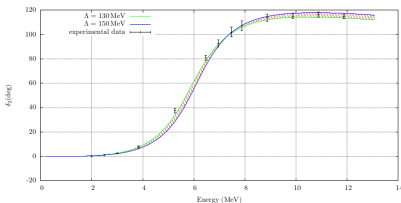
S-wave phase shifts



$$\Delta B \approx 0.04$$

comparable with NLO

D-wave phase shifts



$$\Delta B \approx 0.01$$

4 times less than NLO

- N²LO doesn't improve the accuracy for S-wave phase shifts
- N²LO improve the accuracy for D-wave phase shifts

Data analysis

G-wave

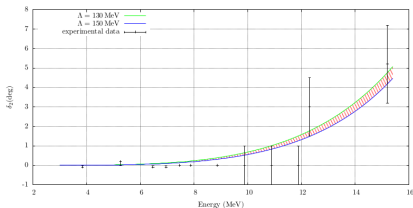
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The α - α effective potential which we have used carries only central terms

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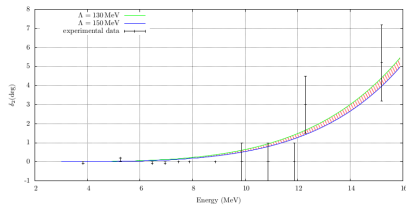
G-wave

Theory up to NLO



$$\Delta B \approx 0.24$$

Theory up to N²LO



$$\Delta B \approx 0.20$$

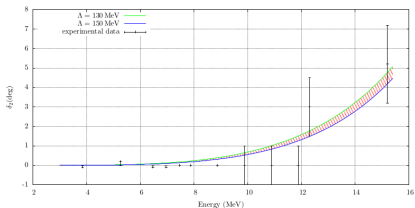
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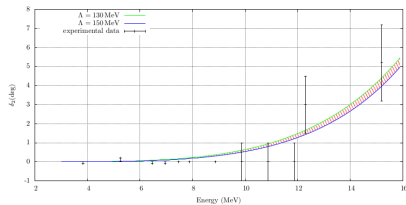
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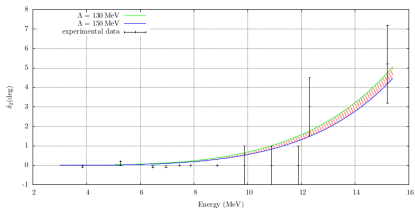
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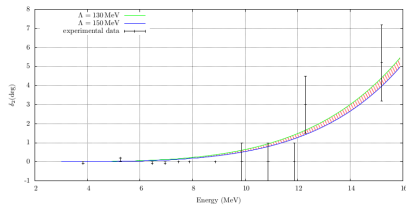
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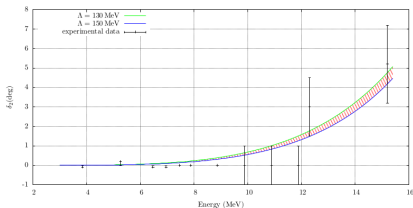
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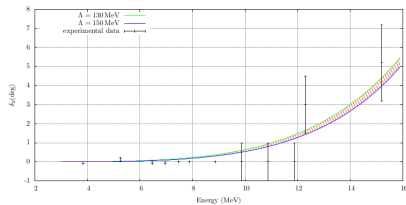
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Data analysis 3- α [Francesco Alemanno, Laurea Triennale in Fisica 2016, Università del Salento]

Ground state $L=0$

Preliminarily observations

- Only two-body α - α potential up to NLO by fitting data with energy less than 1 MeV
- The Ritz variational method for bound state searching

Λ (MeV)	E_0 (MeV)
100	-1.17820
120	-1.06630
140	-1.16920
160	-1.20128
180	-1.12625
200	-1.30226

Drawback

Wrong binding energy
(-7.26 MeV)

Borromean system

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L=2 state

Preliminary observations

- A LO hyper-central three body term has been added

$$V_{3\text{body}}(\rho) = C\Lambda e^{\frac{\rho^2}{2a^2}}$$

- Its coupling constant has been fitted to get the binding energy of the ^{12}C ground state

Drawback

The 2^+ state hasn't never been found for each cutoff

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Symmetries and potential

Symmetries

Fields and gradients get combined in order to have operators

- 1 normal ordered
- 2 parity invariant
- 3 time-reversal invariant
- 4 invariant under Galilean transformation
- 5 internal rotation (isospin)



Effective potential up to NLO

$$V_{\text{eff}}^{\text{strong (NLO)}}(K, Q, \sigma) = A + B_1 K^2 + B_2 Q^2 + B_3 i\sigma \cdot Q \times K$$

Phaseshifts analysis

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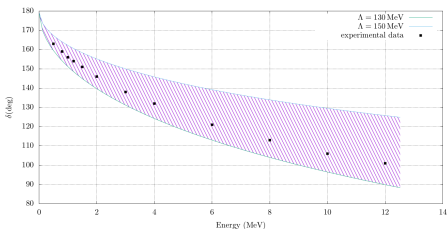
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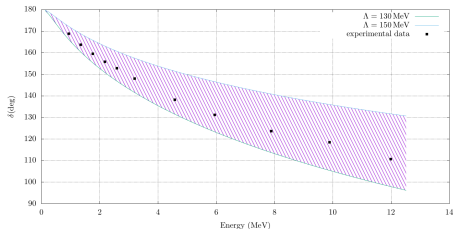
LO: $S_{1/2}$ phase shifts

n- α phase shifts



$$\Delta B \approx 0.22$$

p- α phase shifts



$$\Delta B \approx 0.18$$

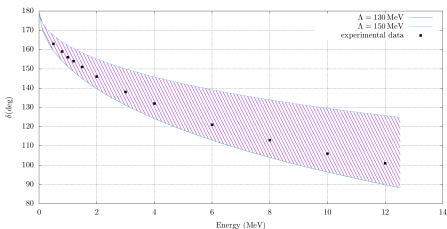
The data trend is well reproduced

Relatively high cutoff dependent theory as α - α

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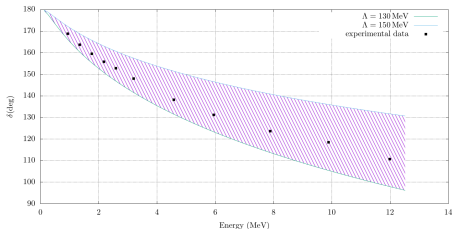
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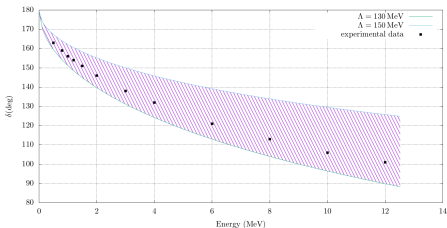
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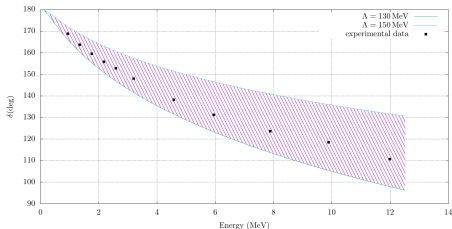
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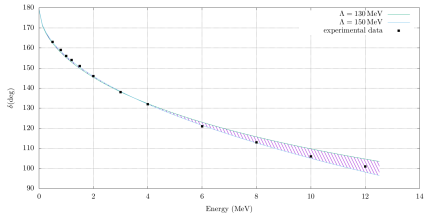
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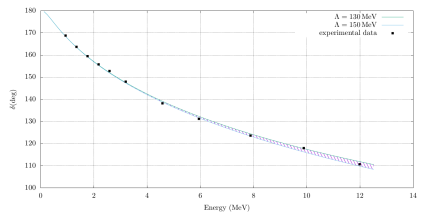
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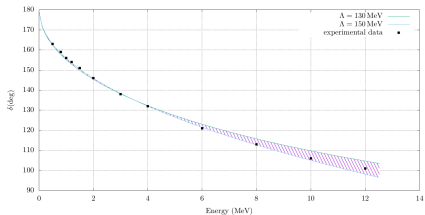
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 • Theory with cutoff around 130 MeV provides good predictions as α - α .

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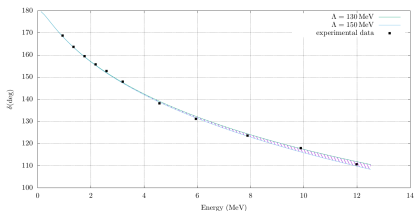
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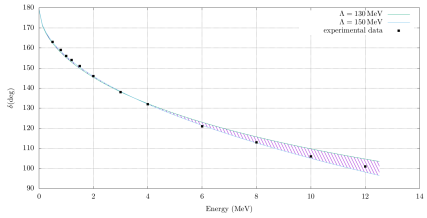
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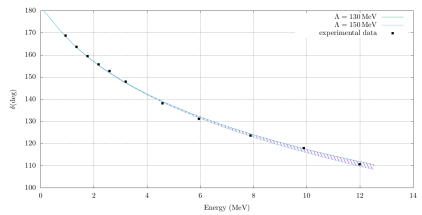
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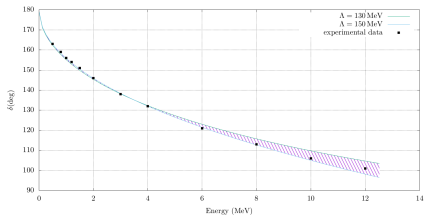
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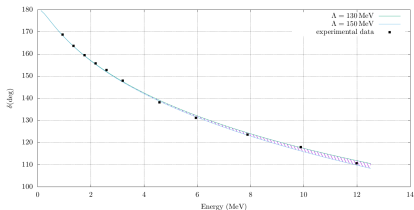
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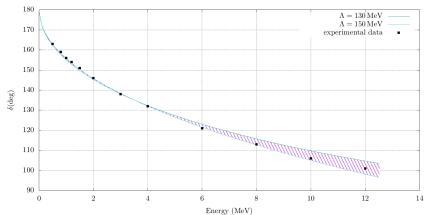
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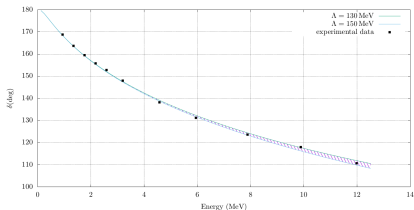
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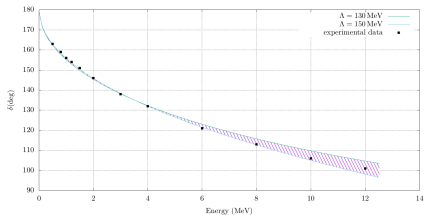
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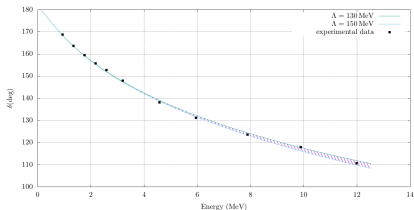
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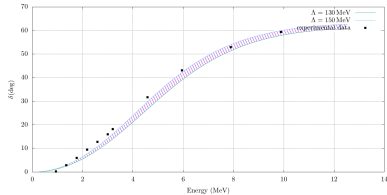
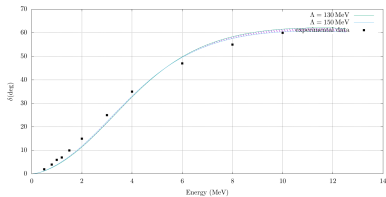
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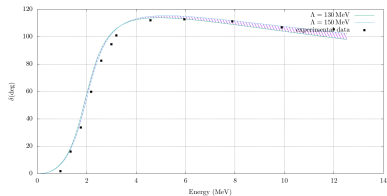
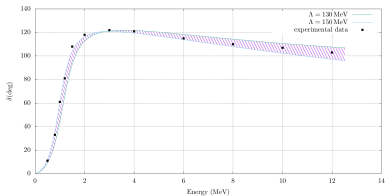
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p - α phase shifts: $P_{1/2}$



n - α phase shifts: $P_{3/2}$

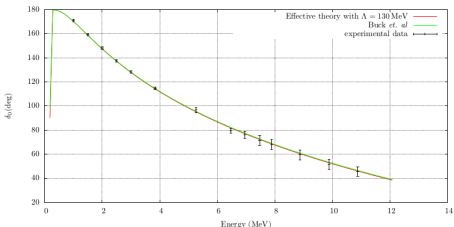
p - α phase shifts: $P_{3/2}$



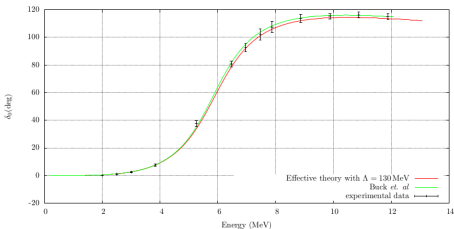
Conclusions

Comparison with a phenomenological potential

S-wave



D-wave

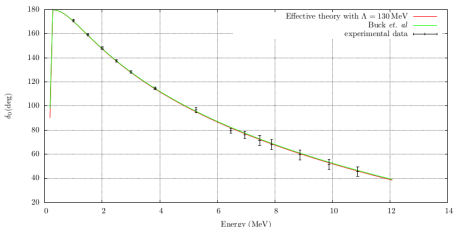


- An effective theory allows us to formally justify the Gaussian shape of a phenomenological potential
- The phenomenological potentials are built by fitting a large range of data, while the effective theory uses only the low-energy ones
- The building of an effective theory isn't equal to fit a Fundamental theory

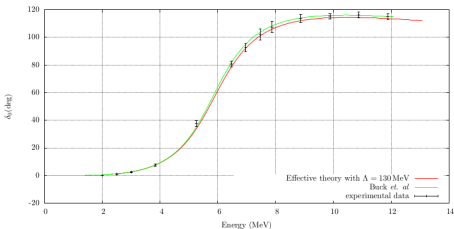
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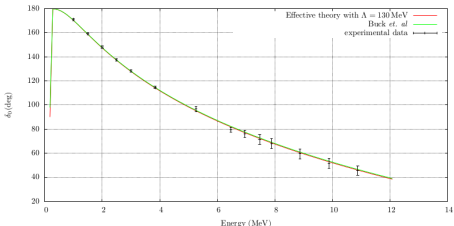


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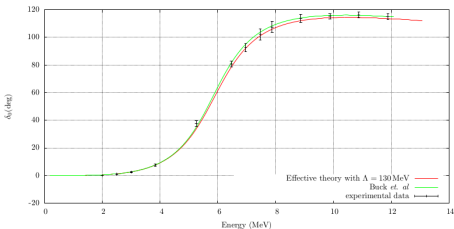
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 - ▶ improves the predictions in higher L channel
 - ▶ improves the accuracy at high energies
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- 3 3- α system
- 4 Studying the Hoyle state in a low-energy effective theory

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