

# Transverse spin distributions

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# Overview

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- Transversity - status update
- Gluonic analogues
- Other transverse spin distributions (Sivers & QS)
- Transversity GPDs

All in just 20 minutes, so this is going to be far from complete!

# Transversity

# Opening talk at Transversity 2008

## Transversity Asymmetries

Daniël Boer  
VU University Amsterdam

$$h_1 = \text{Diagram showing two circles. The left circle has a black dot at the bottom with a red arrow pointing up, and a green arrow pointing up from the top. The right circle has a black dot at the top with a red arrow pointing down, and a green arrow pointing up from the top. A minus sign is between the circles.}$$

### Outline

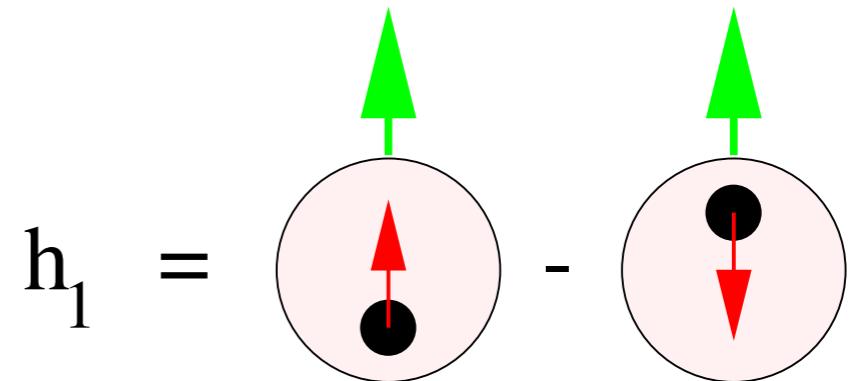
- Transversity 1978-2008
- Transversity asymmetries - 4 types
- Future transversity measurements



## Transversity & the tensor charge

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$h_1(x, Q^2)$ : the distribution of transversely polarized quarks inside a transversely polarized hadron



An example of “Mulders’ traffic lights”

Transversity is the only known way to experimentally determine the **tensor charge**:

$$\delta q = \int_0^1 dx [h_1^q(x) - h_1^{\bar{q}}(x)]$$

Like the electric charge (coupling to photons) and axial charge (coupling to Z bosons), the tensor charge is a fundamental charge (but lacking a gauge boson)

It is scale dependent, like  $\alpha_s$  (coupling to gluons)

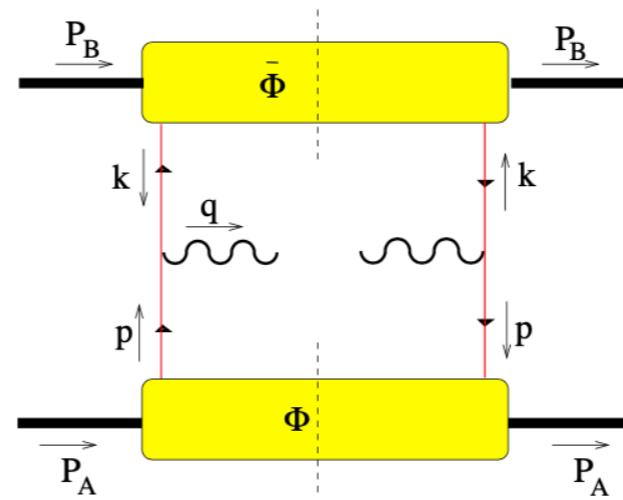
# Drell-Yan: the classic route to transversity

## Transversity anno 1978

1978: birth of transversity as a quark distribution

Ralston & Soper, NPB 152 (1979) 109 (submitted: Nov 14, 1978)

The Drell-Yan Process  
 $H_1 + H_2 \rightarrow \ell + \bar{\ell} + X$



$$A_{TT} = \frac{\sigma(p^\uparrow p^\uparrow \rightarrow \ell \ell' X) - \sigma(p^\uparrow p^\downarrow \rightarrow \ell \ell' X)}{\sigma(p^\uparrow p^\uparrow \rightarrow \ell \ell' X) + \sigma(p^\uparrow p^\downarrow \rightarrow \ell \ell' X)} = \frac{\sin^2 \theta \cos 2\phi_S^\ell}{1 + \cos^2 \theta} \frac{\sum_{a,\bar{a}} e_a^2 h_1^a(x_1) \bar{h}_1^a(x_2)}{\sum_{a,\bar{a}} e_a^2 f_1^a \bar{f}_1^a}$$

Artru, Mekhfi, ZPC 45 ('90) 669; Jaffe, Ji, NPB 375 ('92) 527; Cortes, Pire, Ralston, ZPC 55 ('92) 409

However, polarized Drell-Yan is very demanding, still not done...

# Drell-Yan: likely too challenging

## $A_{TT}$ at RHIC

RHIC is at present the only place that can do double polarized hadron scattering

$$A_{TT} = \frac{\sigma(p^\uparrow p^\uparrow \rightarrow \ell \bar{\ell} X) - \sigma(p^\uparrow p^\downarrow \rightarrow \ell \bar{\ell} X)}{\sigma(p^\uparrow p^\uparrow \rightarrow \ell \bar{\ell} X) + \sigma(p^\uparrow p^\downarrow \rightarrow \ell \bar{\ell} X)} \propto \sum_q e_q^2 h_1^q(x_1) h_1^{\bar{q}}(x_2)$$

This involves two unrelated functions, for which likely holds:

$$h_1^{\bar{q}} \ll h_1^q$$

An upper bound can be obtained by using Soffer's inequality,

$$|h_1(x)| \leq \frac{1}{2} [f_1(x) + g_1(x)]$$

The upper bound on  $A_{TT}$  was shown to be small at RHIC (percent level)

Martin, Schäfer, Stratmann & Vogelsang, PRD 60 (1999) 117502

## Other analyzers of transversity

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- Collins asymmetry in semi-inclusive DIS  $\propto h_1 H_1^\perp$
- Collins-type asymmetry in di-hadron SIDIS or  $p p^\uparrow$   $\propto h_1 H_1^\leftarrow$
- $\pi p^\uparrow$  DY  $\propto h_1 h_1^\perp$
- $\Lambda^\uparrow$  spin transfer ( $D_{NN}$ ) in  $e p^\uparrow$  or  $p p^\uparrow$   $\propto h_1 H_1$

All single spin asymmetries, that need a separate extraction of yet another function (collinear fragmentation function or TMD)

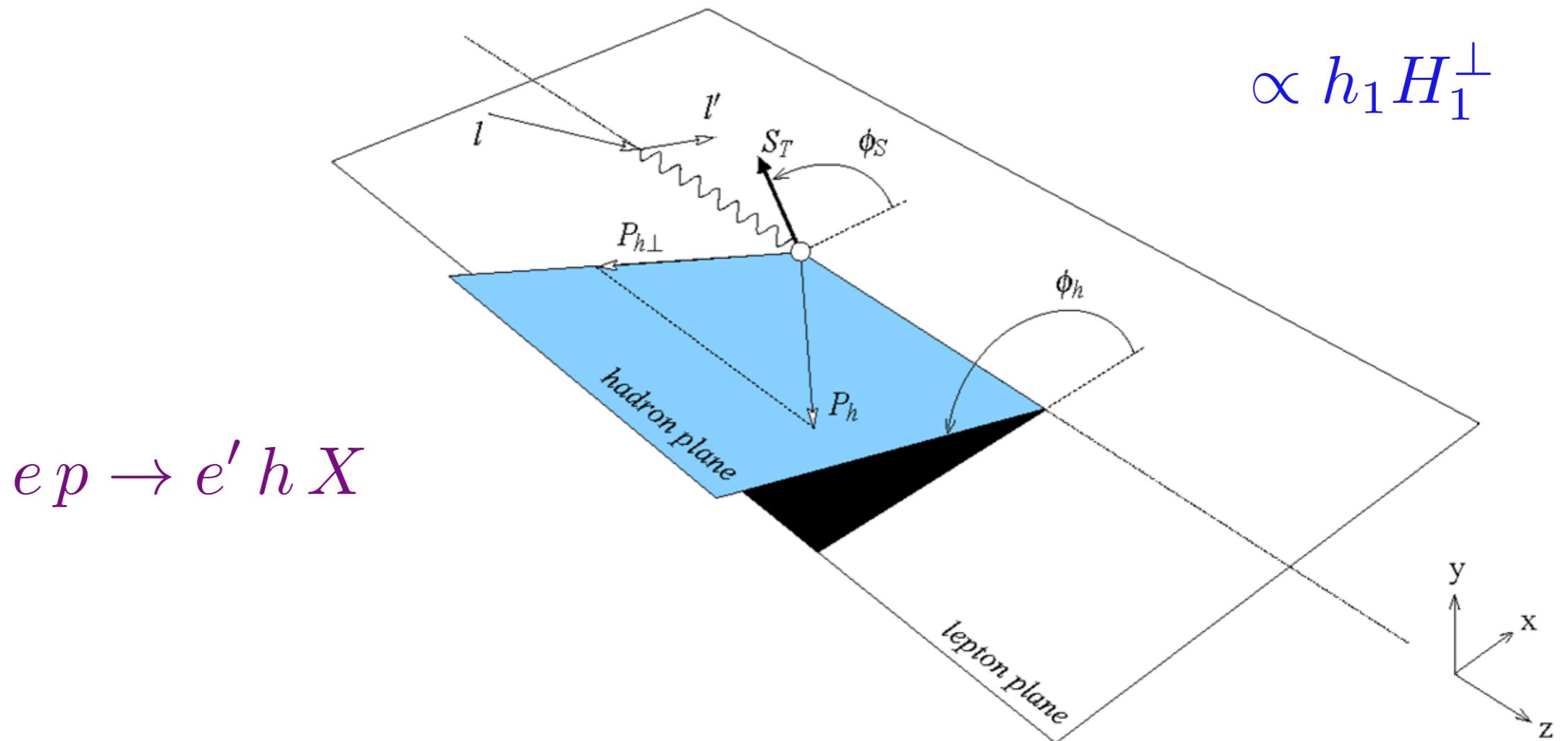
Note that in the 1<sup>st</sup> & 3<sup>rd</sup> case this actually involves the transversity **TMD**

$$h_1(x, k_T^2)$$

# Collins effect in SIDIS

Collins effect leads to a  $\sin(\phi_h + \phi_s)$  asymmetry in semi-inclusive DIS

Collins, 1993



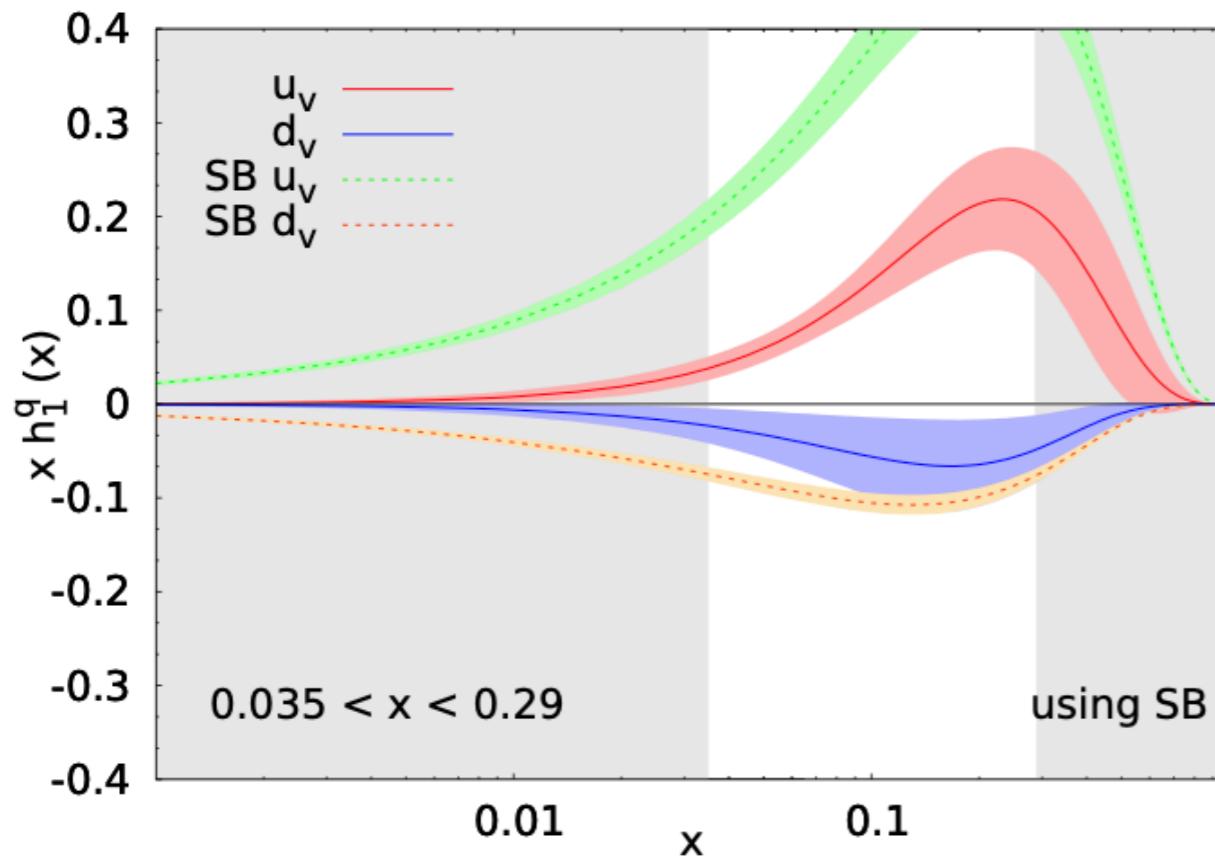
Clearly observed by HERMES and COMPASS

Double Collins effect [Boer, Jakob, Mulders, 1997] observed by BELLE

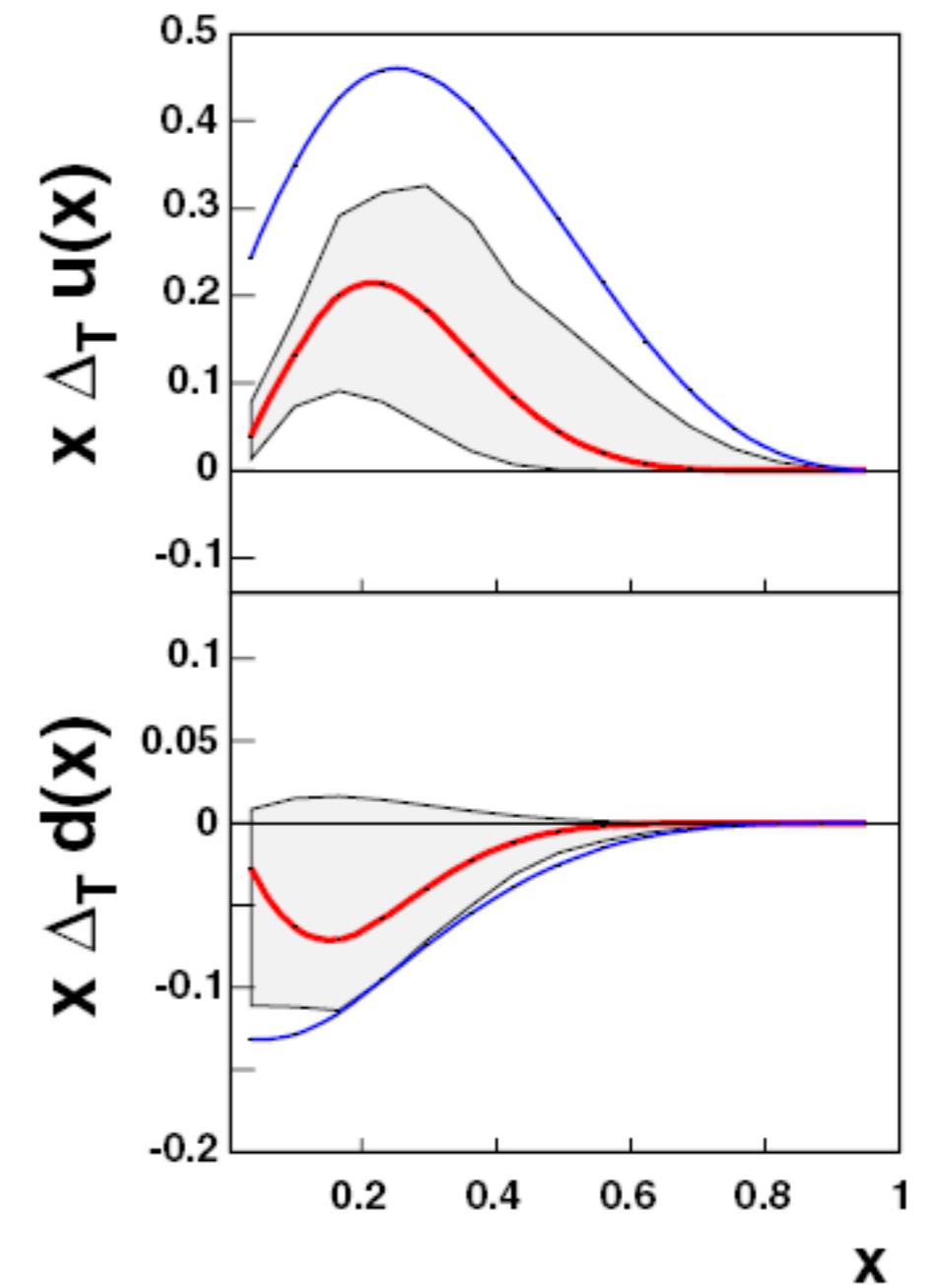
# Transversity from Collins effect

Transversity functions for  $u_v$  (red) and  $d_v$  (blue) flavors from a global fit to SIDIS and  $e^+e^-$  data at  $Q^2 = 4 \text{ GeV}^2$

D'Alesio, Flore, Prokudin, 2020



Roughly  $h_1(x) \approx f_1(x)/3$



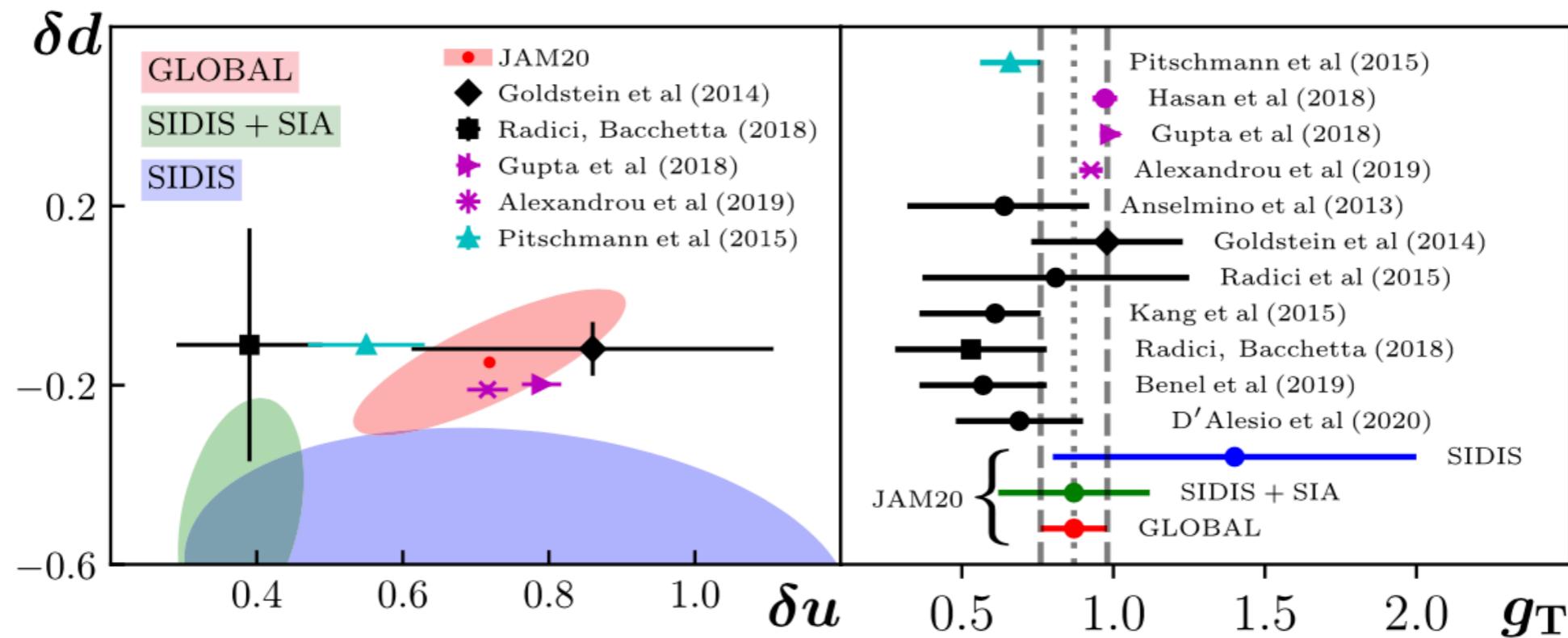
Anselmino et al., 2007

# Tensor charge from Collins effect

$$g_T = \delta u_v - \delta d_v$$

	$\delta u_v$	$\delta d_v$	$g_T$
$Q^2 = 4 \text{ GeV}^2$			
using SB	$0.42 \pm 0.09$	$-0.15 \pm 0.11$	$0.57 \pm 0.13$
no SB	$0.40 \pm 0.09$	$-0.29 \pm 0.22$	$0.69 \pm 0.21$

D'Alesio, Flore, Prokudin, 2020



Cammarota et al., 2020

# Transversity from lattice QCD

## Large-Momentum Effective Theory (LaMET)

X. Ji, 2013

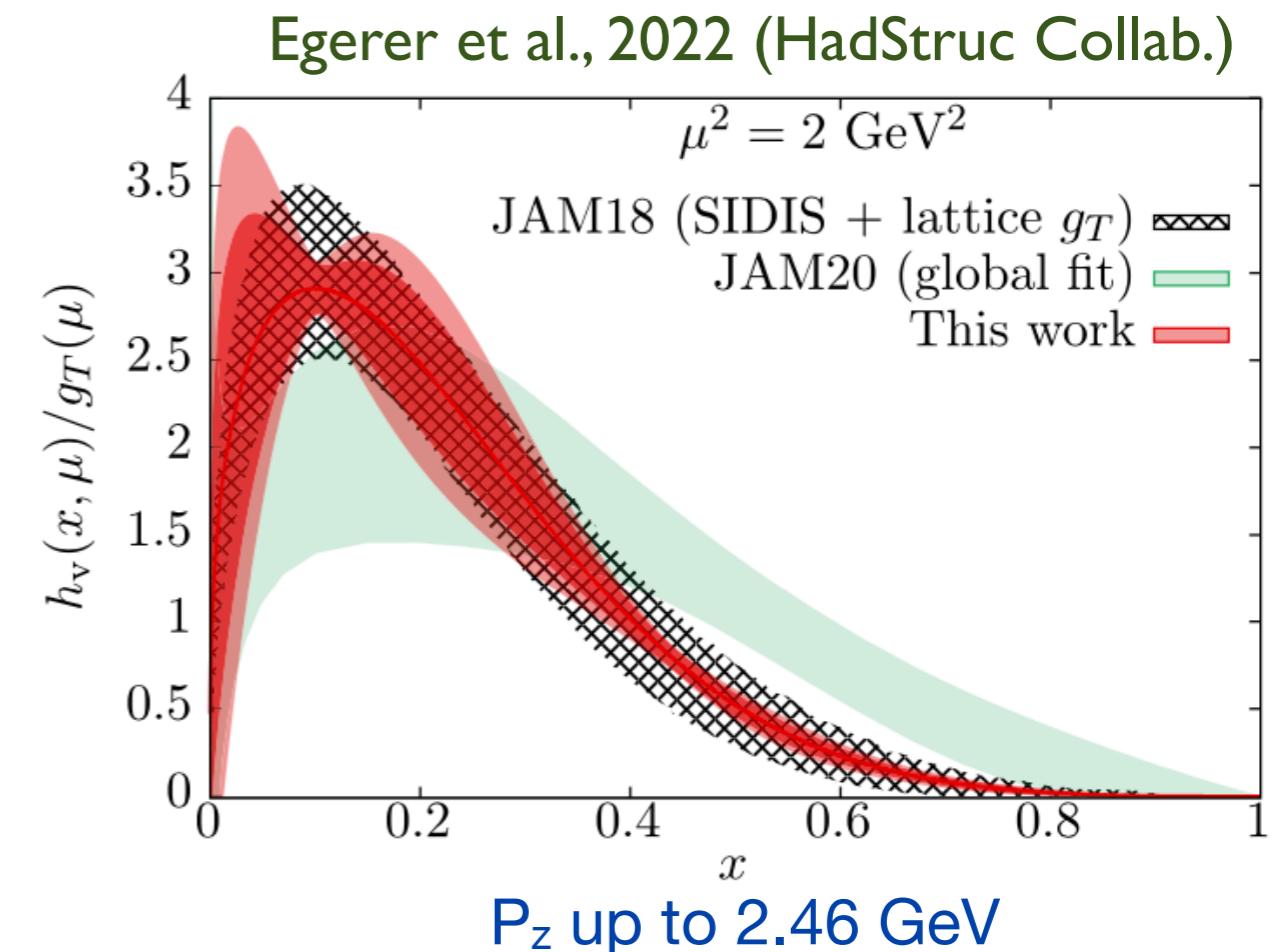
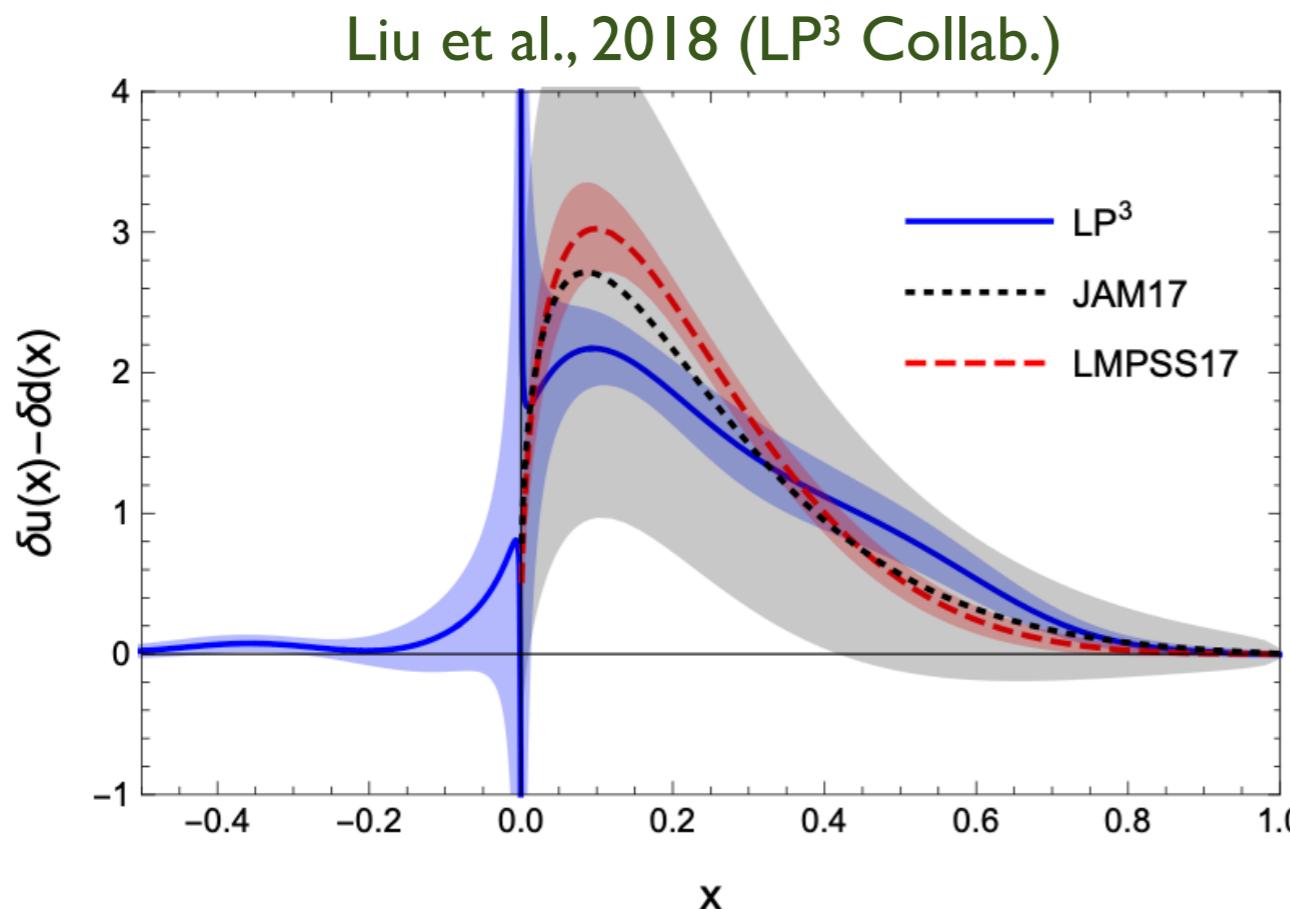
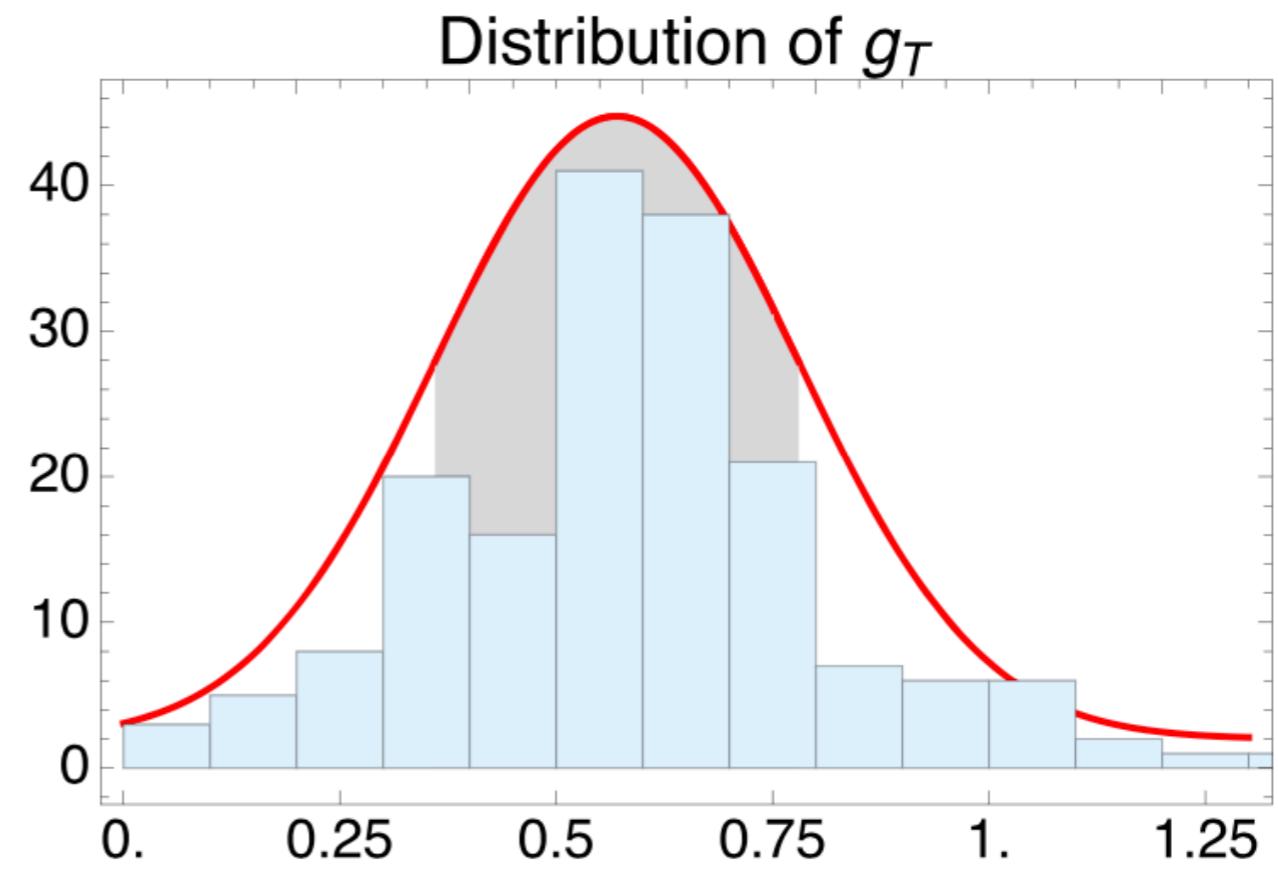
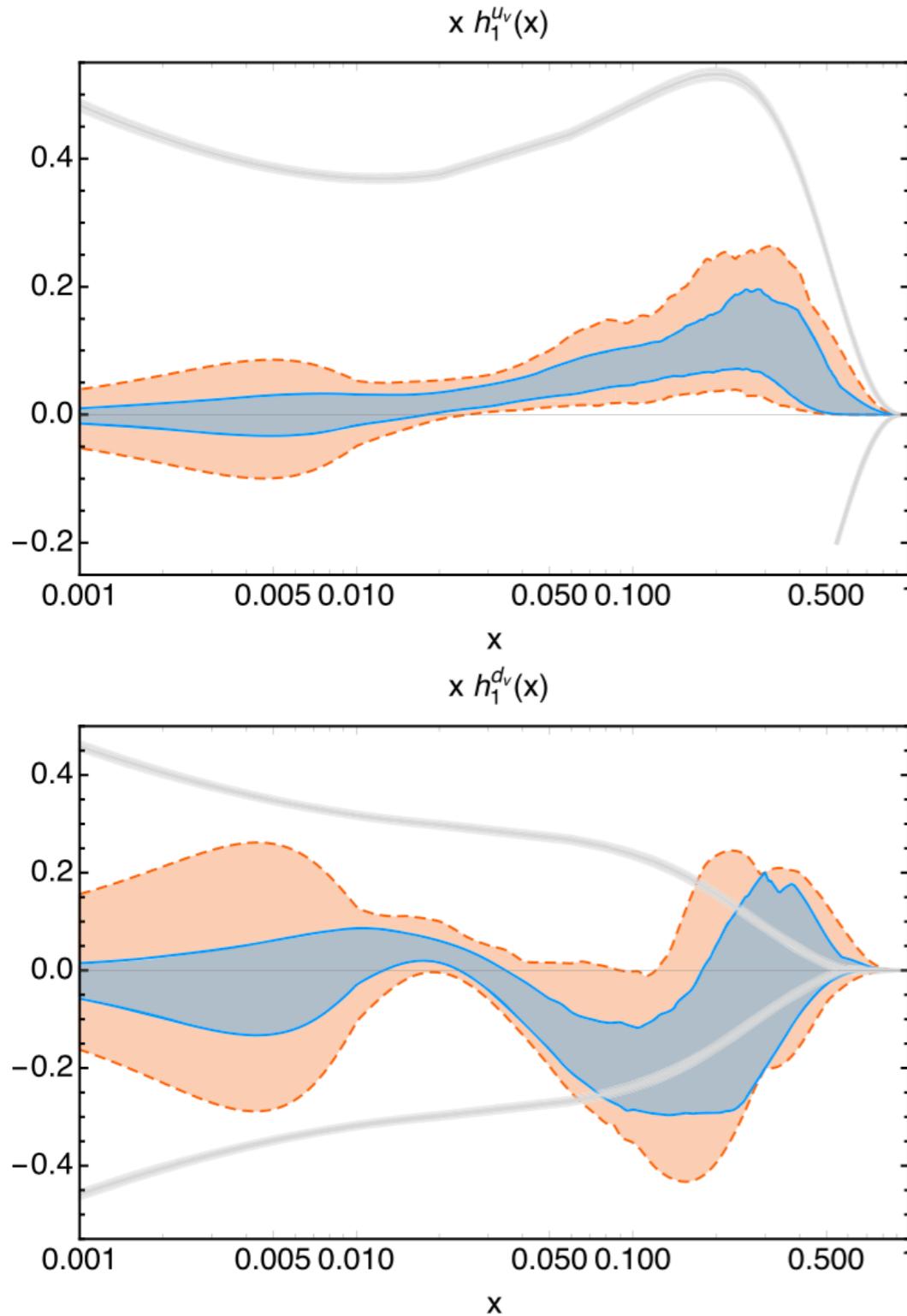


FIG. 4. Our final proton isovector transversity PDF at renormalization scale  $\mu = \sqrt{2}$  GeV ( $\overline{\text{MS}}$  scheme), extracted from lattice QCD and LaMET at  $P_z = 3$  GeV, compared with global fits by JAM17 and LMPSS17 [12]. The blue error band includes statistical errors (which fold in the excited-state uncertainty) and systematics.

Results by various other groups are available

# Transversity & $g_T$ from di-hadron production



Again fitted to HERMES & COMPASS  
SIDIS data and BELLE's  $e^+e^-$  data

Benel, Courtoy, Ferro-Hernandez, 2020

Earlier results:

Radici, Courtoy, Bacchetta, Guagnellia, 2015

Original idea: Jaffe, Jin, Tang, 1998

# Transversity from a global analysis of ep and pp data

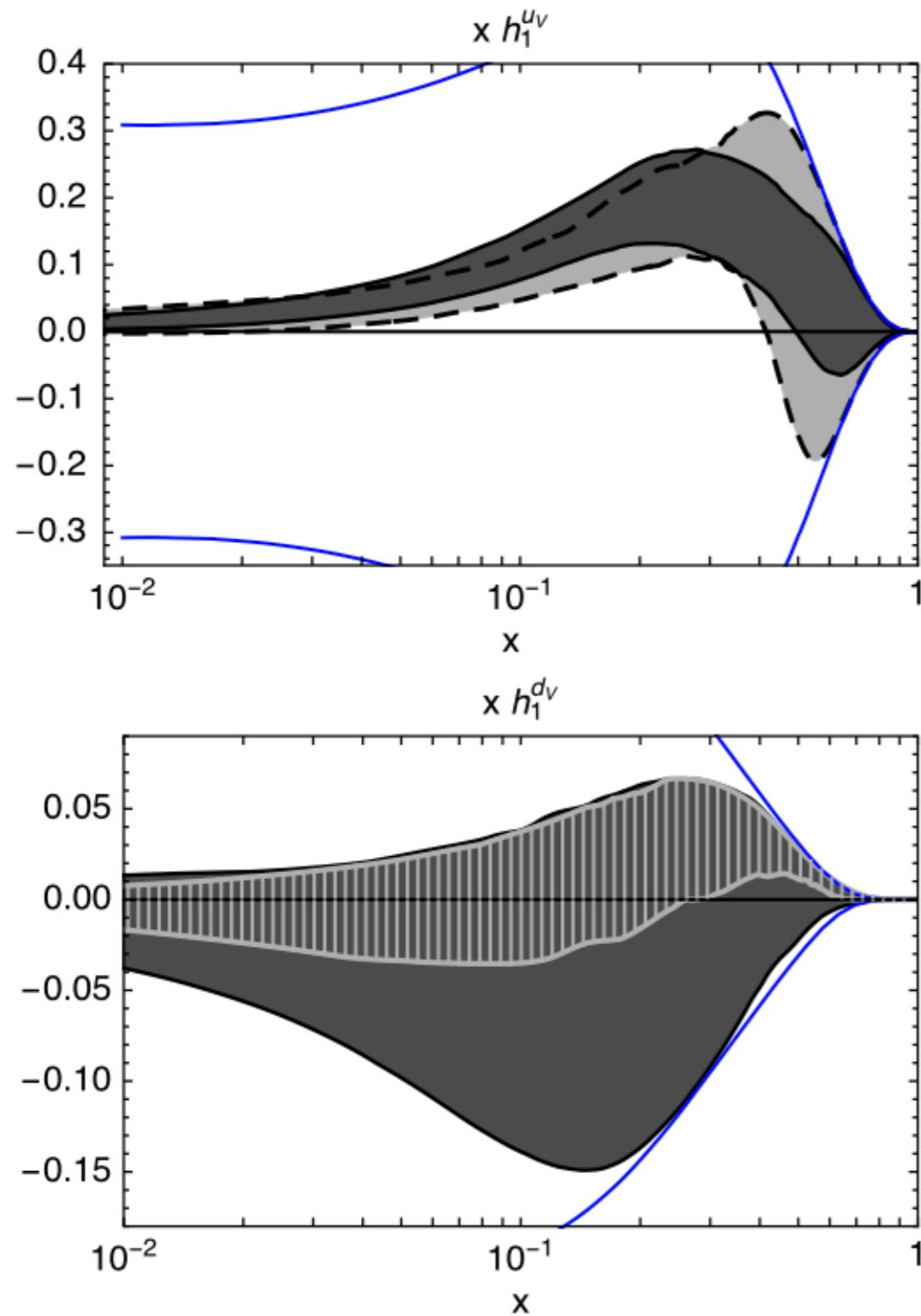


TABLE I. The tensor charge  $\delta q$ , truncated tensor charge  $\delta\tilde{q}$ , and isovector tensor charge  $g_T$  at 90% confidence level (see text).

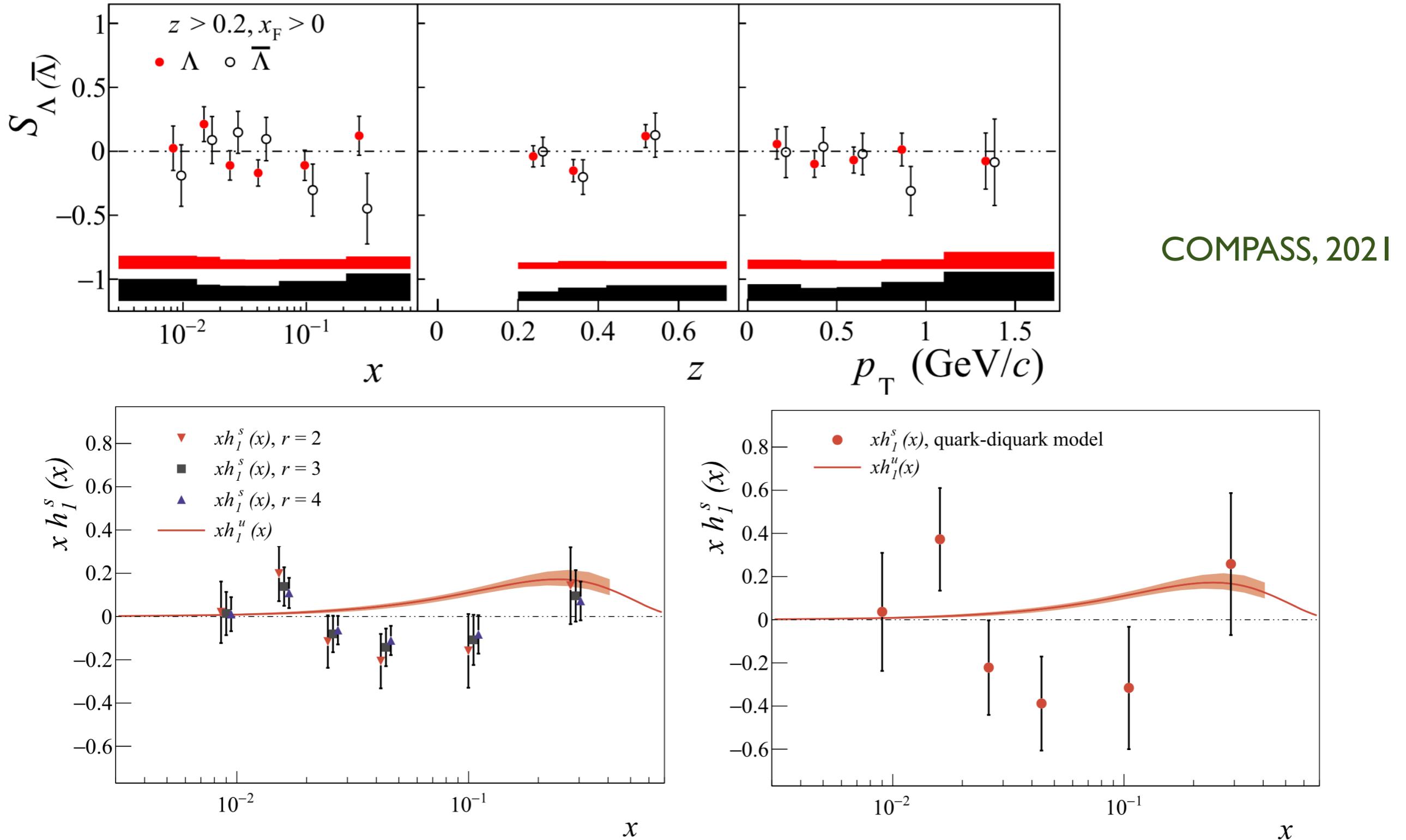
$(Q^2 \text{ [GeV}^2])$	$\delta q$	$\delta q$	$\delta\tilde{q}$	$g_T$
	$Q_0^2 = 1$	$Q^2 = 4$	$Q^2 = 10$	$Q^2 = 4$
Up	0.43(11)	0.39(10)	0.32(8)	
Down	-0.12(28)	-0.11(26)	-0.10(22)	0.53(25)

Adding STAR data on pion pairs

Radici, Bacchetta, 2018

FIG. 1. The transversity  $xh_1$  as a function of  $x$  at  $Q^2 = 2.4 \text{ GeV}^2$ . Dark (blue) lines represent the Soffer bounds. Dark bands with solid borders for the global fit of this work including all options  $D_1^g(Q_0^2) = 0$ ,  $D_1^u(Q_0^2)/4$ , and  $D_1^u(Q_0^2)$ . (Top) For valence up quark: comparison with our previous fit in Ref. [29] (lighter band with dashed borders). (Bottom) For valence down quark: comparison with this global fit with only  $D_1^g(Q_0^2) = 0$  (hatched area with lighter borders).

# Transversity from spin transfer



# Gluons

# What about gluons?

At leading twist there is no gluon transversity distribution for the proton

For spin-1 hadrons, such as the deuteron, there is such a distribution:

In the transverse tensor polarization case  
there is a contribution solely from gluons

Jaffe, Manohar, 1989

Artru, Mekhfi, 1990

$$S_{TT}^{xy} = \text{Diagram A} - \text{Diagram B}$$

$$S_{TT}^{xx} = \text{Diagram C} - \text{Diagram D}$$

$$\Delta(x)$$

$$\Delta_2 G(x)$$

$$h_{1TT}(x)$$

$$h_{1TT}^g(x)$$

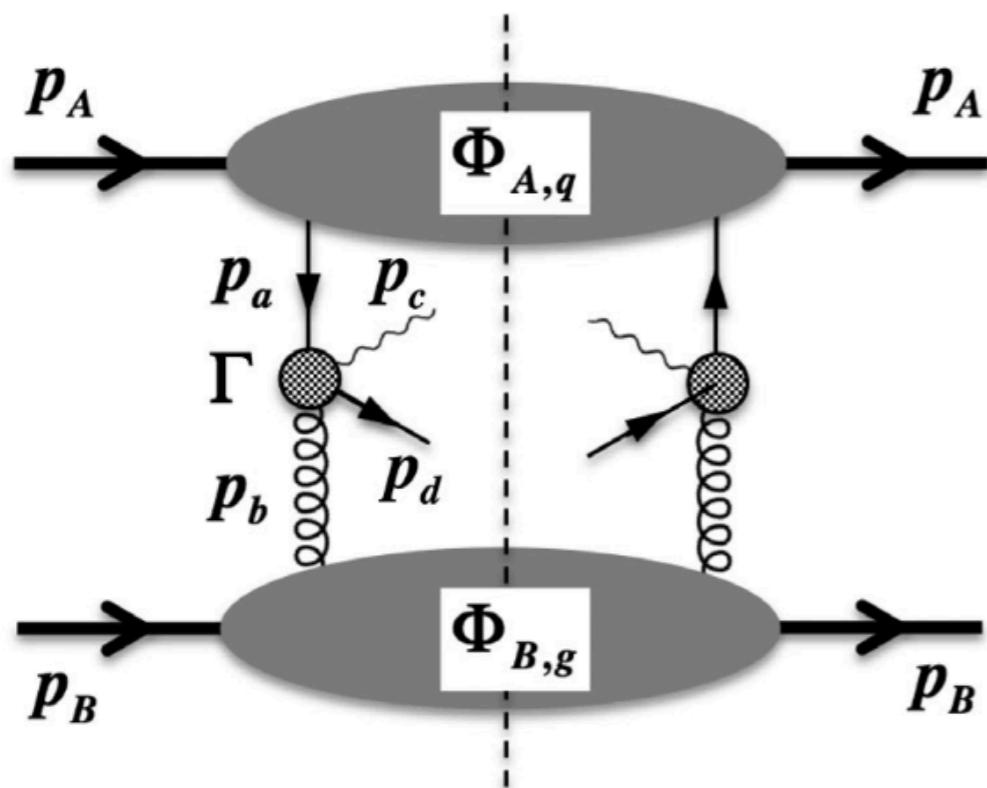
Bacchetta, Mulders, 2000

not yet measured - an objective of EIC

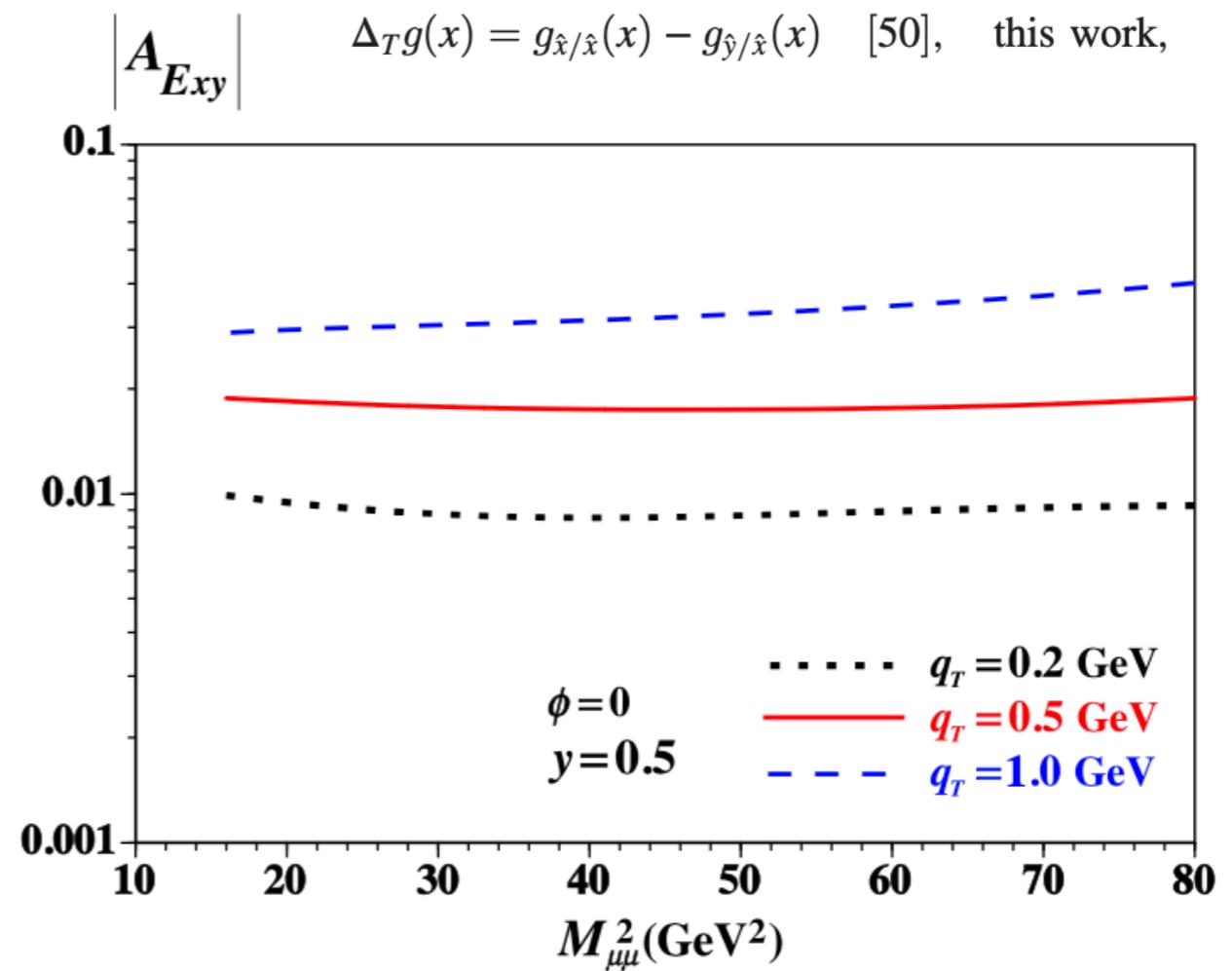
# What about gluons?

Use proton-deuteron DY

Kumano, Song, 2020



$$\begin{aligned}
 \Delta_2 G(x) &= g_{\hat{x}/\hat{x}}(x) - g_{\hat{y}/\hat{x}}(x) & [13, 47], \\
 a(x) &= g_{\hat{x}/\hat{x}}(x) - g_{\hat{y}/\hat{x}}(x) & [25, 27], \\
 \Delta_L g(x) &= g_{\hat{x}/\hat{x}}(x) - g_{\hat{y}/\hat{x}}(x) & [20], \\
 \delta G(x) &= -g_{\hat{x}/\hat{x}}(x) + g_{\hat{y}/\hat{x}}(x) & [28, 48], \\
 h_{1\text{TT},g}(x) &= -g_{\hat{x}/\hat{x}}(x) + g_{\hat{y}/\hat{x}}(x) & [39, 41, 49], \\
 \Delta_T g(x) &= g_{\hat{x}/\hat{x}}(x) - g_{\hat{y}/\hat{x}}(x) & [50], \quad \text{this work,}
 \end{aligned}$$



Referred to as “gluon transversity” (spin-1), not the same as  $h_{1g}$  (spin-1/2)

# What about gluons?

$$\Phi_U(x, \mathbf{k}) = \frac{1}{2} \left[ \not{n} f_1(x, \mathbf{k}^2) + \frac{\sigma_{\mu\nu} k_T^\mu \bar{n}^\nu}{M} h_1^\perp(x, \mathbf{k}^2) \right],$$

$$\Phi_L(x, \mathbf{k}) = \frac{1}{2} \left[ \gamma^5 \not{n} S_L g_1(x, \mathbf{k}^2) + \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^\nu S_L}{M} h_{1L}^\perp(x, \mathbf{k}^2) \right],$$

$$\begin{aligned} \Phi_T(x, \mathbf{k}) = & \frac{1}{2} \left[ \frac{\not{n} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{\gamma^5 \not{n} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ & \left. + i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu S_T^\nu h_1(x, \mathbf{k}^2) - \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^\nu S_{T\rho}}{M^2} h_{1T}^\perp(x, \mathbf{k}^2) \right] \end{aligned}$$

$$\Gamma_U^{ij}(x, \mathbf{k}) = x \left[ \delta_T^{ij} f_1(x, \mathbf{k}^2) + \frac{k_T^{ij}}{M^2} h_1^\perp(x, \mathbf{k}^2) \right],$$

$$\Gamma_L^{ij}(x, \mathbf{k}) = x \left[ i\epsilon_T^{ij} S_L g_1(x, \mathbf{k}^2) + \frac{\epsilon_T^{\{i} \alpha k_T^{j\}\alpha} S_L}{2M^2} h_{1L}^\perp(x, \mathbf{k}^2) \right],$$

$$\begin{aligned} \Gamma_T^{ij}(x, \mathbf{k}) = & x \left[ \frac{\delta_T^{ij} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{i\epsilon_T^{ij} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ & \left. - \frac{\epsilon_T^{k_T \{i} S_T^{j\}} + \epsilon_T^{S_T \{i} k_T^{j\}}}{4M} h_1(x, \mathbf{k}^2) - \frac{\epsilon_T^{\{i} \alpha k_T^{j\}\alpha S_T}}{2M^3} h_{1T}^\perp(x, \mathbf{k}^2) \right] \end{aligned}$$

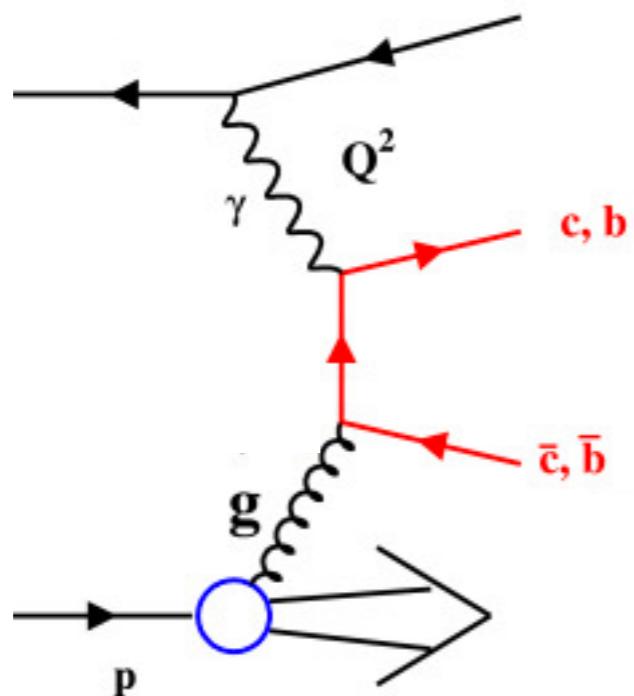
For quarks  $h_1$  is  $k_T$ -even,  $T$ -even and chiral-odd

Mulders, Rodrigues, 2001  
(with different notation)

For gluons  $h_1$  is  $k_T$ -odd,  $T$ -odd and unrelated to chirality

Why on earth give it the same name then?

# Parallels between SIDIS and HQ pair production



A heavy quark pair will not be exactly back-to-back in the transverse plane:

$$K_{\perp} = (K_{Q\perp} - K_{\bar{Q}\perp})/2$$

$$q_T = K_{Q\perp} + K_{\bar{Q}\perp}$$

$$|q_T| \ll |K_{\perp}|$$

$\phi_T$  is the angle of the sum momentum

There is a “Collins”  $\sin(\phi_T + \phi_S)$  asymmetry (EIC) that arises from h<sub>1g</sub>

$$A_N^{\sin(\phi_S + \phi_T)} = \frac{2(1-y) \mathcal{B}_{0T}^{\gamma^* g \rightarrow Q\bar{Q}}}{[1 + (1-y)^2] \mathcal{A}_{U+L}^{\gamma^* g \rightarrow Q\bar{Q}} - y^2 \mathcal{A}_L^{\gamma^* g \rightarrow Q\bar{Q}}} \frac{|\mathbf{q}_T|}{M_p} \frac{h_1^g(x, \mathbf{q}_T^2)}{f_1^g(x, \mathbf{q}_T^2)}$$

Boer, Pisano, Mulders, J. Zhou, 2016

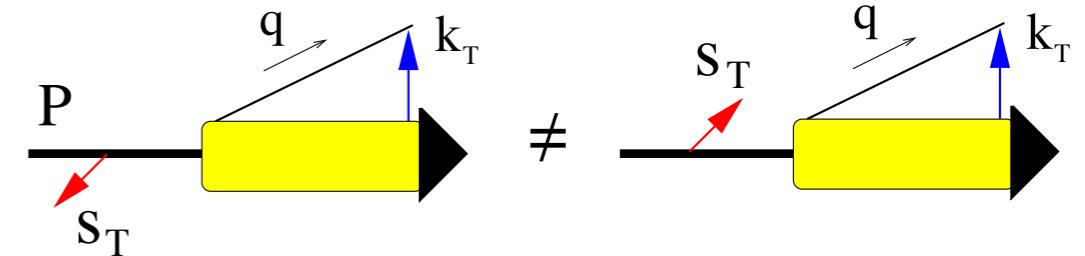
SIDIS - Fragmentation functions

HQ pairs - calculable amplitudes

# Quark Sivers & QS

# What else is inside a transversely polarized proton?

$$\Phi_T(x, \mathbf{k}) = \frac{1}{2} \left[ \frac{\not{n} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{\gamma^5 \not{n} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ \left. + i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu S_T^\nu h_1(x, \mathbf{k}^2) - \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^\nu S_{T\rho}}{M^2} h_{1T}^\perp(x, \mathbf{k}^2) \right]$$



$$\Gamma_T^{ij}(x, \mathbf{k}) = x \left[ \frac{\delta_T^{ij} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{i\epsilon_T^{ij} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ \left. - \frac{\epsilon_T^{k_T \{i} S_T^{j\}} + \epsilon_T^{S_T \{i} k_T^{j\}}}{4M} h_1(x, \mathbf{k}^2) - \frac{\epsilon_{T\alpha}^{i} k_T^{j\}}{2M^3} h_{1T}^\perp(x, \mathbf{k}^2) \right]$$

Quark and gluon Sivers TMDs  
Sivers, 1989/90

They are the main candidates to explain the single spin left-right asymmetries  $A_N$

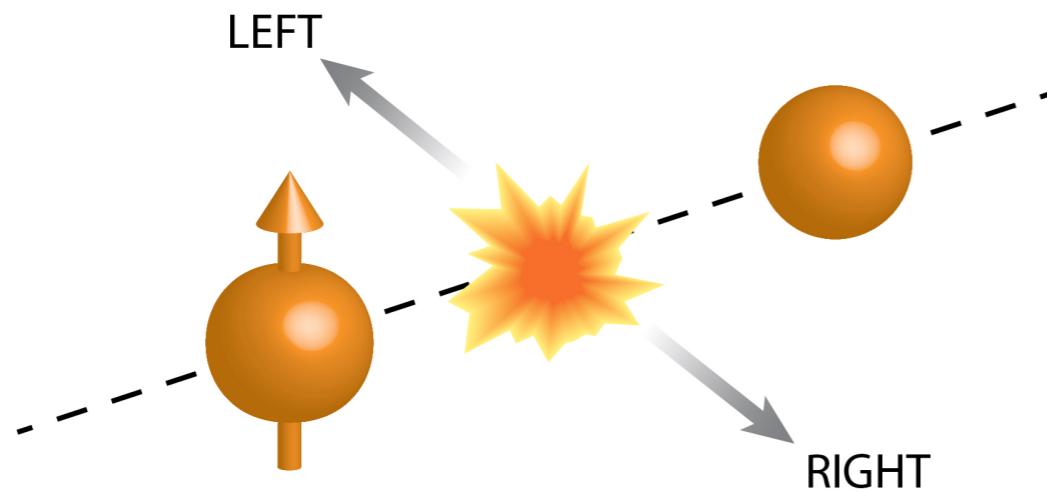
Another candidate is the twist-3 Qiu-Sterman function  $T_F(x, x)$ :

$$T_F(x, x) \stackrel{A^+ = 0}{\propto} \text{F.T.} \langle P | \bar{\psi}(0) \int d\eta^- F^{+\alpha}(\eta^-) \gamma^+ \psi(\xi^-) | P \rangle$$

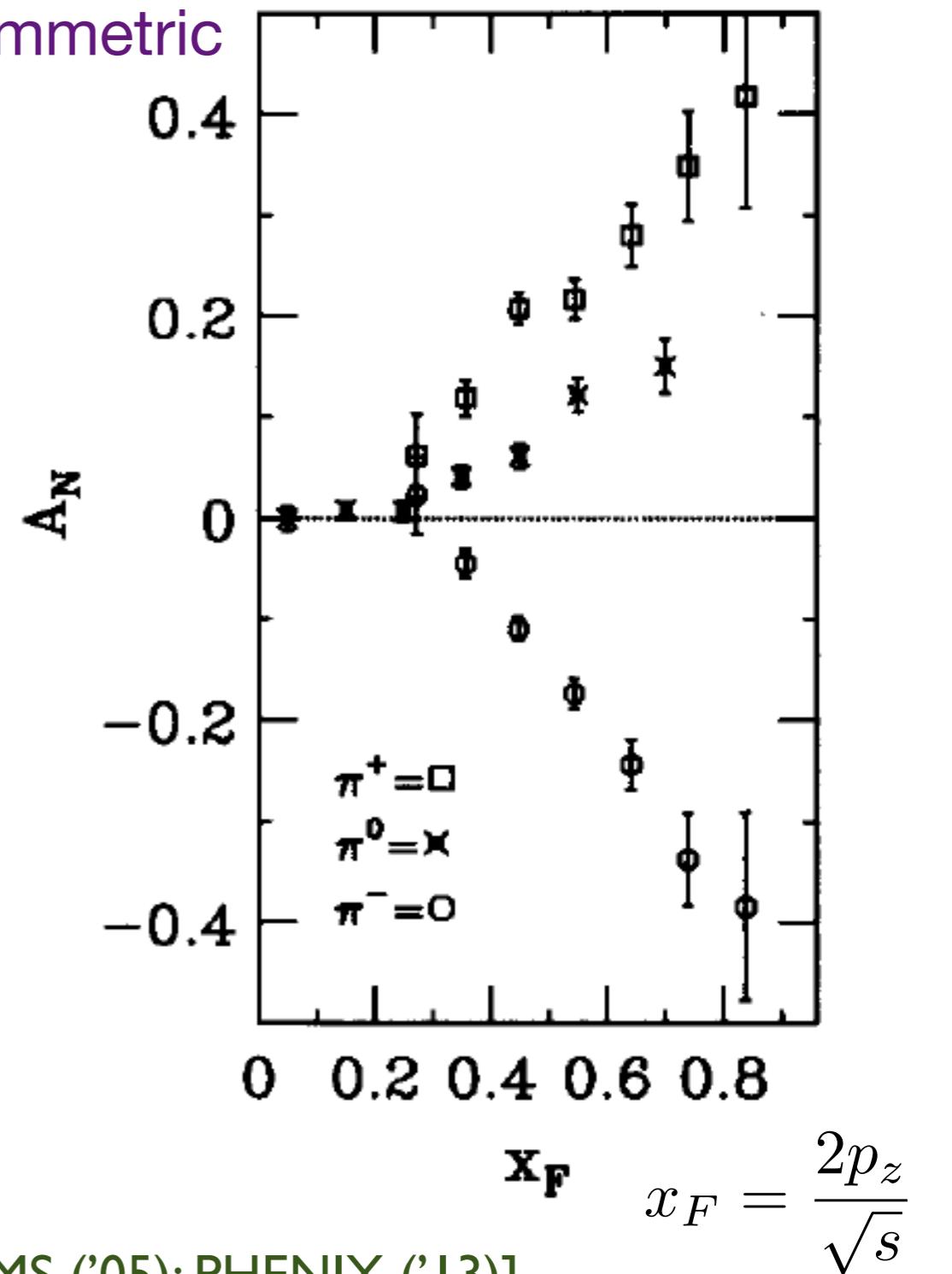
Qiu, Sterman, 1991

# Transverse spin asymmetries

Distribution of produced particles highly asymmetric



$$A_N = \frac{\sigma(p^\uparrow p \rightarrow \pi X) - \sigma(p^\downarrow p \rightarrow \pi X)}{\sigma(p^\uparrow p \rightarrow \pi X) + \sigma(p^\downarrow p \rightarrow \pi X)}$$

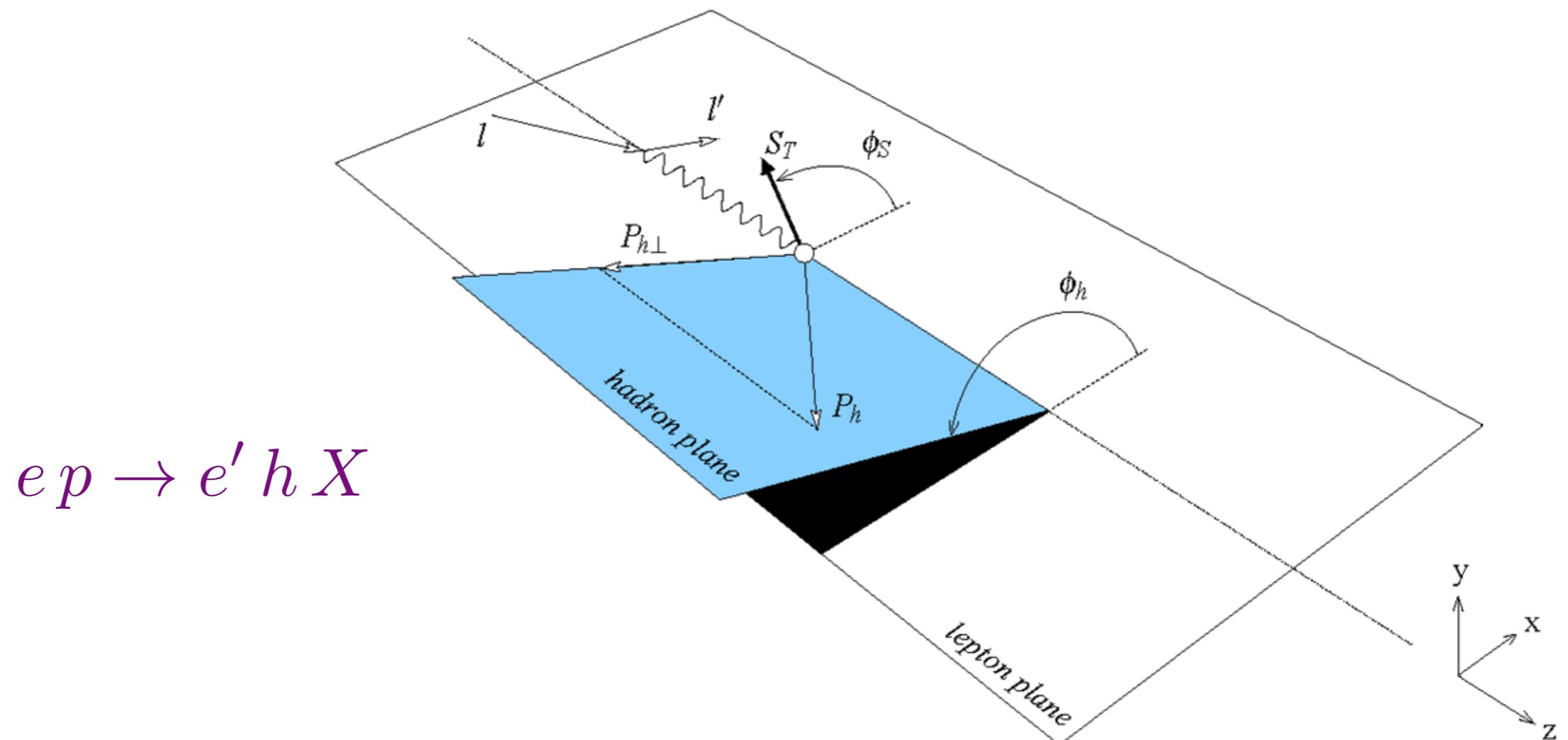


[Fermilab: E704 ('91) & BNL:AGS ('99); STAR ('02); BRAHMS ('05); PHENIX ('13)]

# Sivers effect in SIDIS

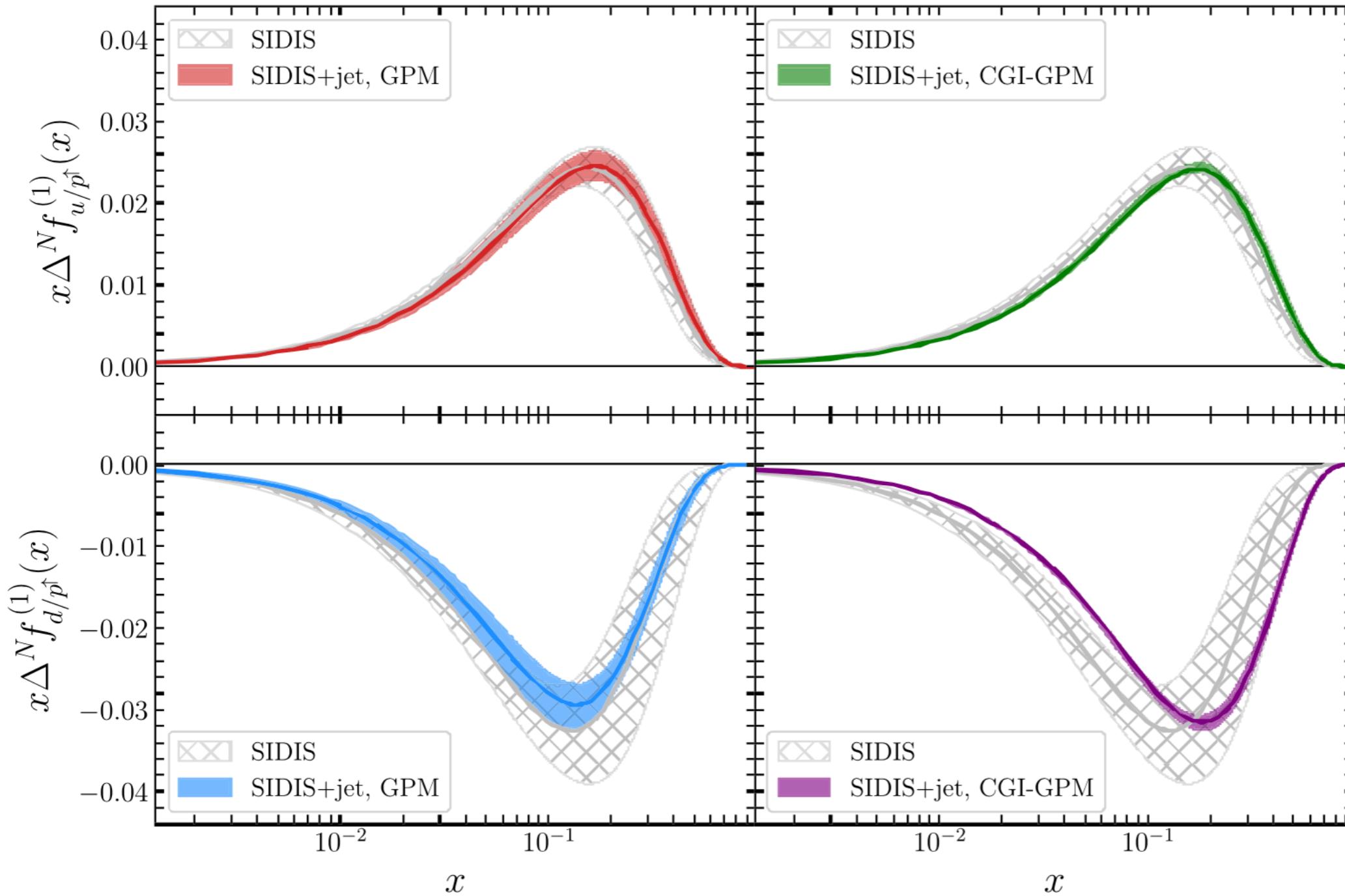
Sivers effect should lead to a  $\sin(\phi_h - \phi_s)$  asymmetry in semi-inclusive DIS

Boer, Mulders, 1998



Clearly observed by HERMES (2009) and COMPASS (2010)

# Sivers from a global analysis of ep and pp data



Includes  
STAR jet data

moderate  
impact of  
process  
dependence

Boglione et al., 2021

First transverse moment:  
Kotzinian, Mulders, 1995/6

$$\Delta^N f_{q/p^\uparrow}^{(1)}(x) = \int d^2 \mathbf{k}_\perp \frac{\mathbf{k}_\perp}{4M_p} \Delta^N f_{q/p^\uparrow}(x, \mathbf{k}_\perp) \equiv -f_{1T}^{\perp(1)q}(x)$$

# Relation between Sivers and Qiu-Sterman functions

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Conventional transverse moment:

$$f_{1T}^{\perp(1)}(x) \equiv \int d^2 k_T \frac{k_T^2}{2M^2} f_{1T}^\perp(x, k_T^2)$$

Without including QCD corrections one has the (gauge invariant) relation:

$$f_{1T}^{\perp(1)}(x) = -\frac{g}{2M} T(x, S_T)$$

Boer, Mulders, Pijlman, 2003

$T(x, S_T)$  is the collinear twist-3 Qiu-Sterman function  $T_F(x, x)$     Qiu, Sterman, 1991

Beyond LO this relation becomes ambiguous

Conventional transverse moment has convergence issues & the scale dependence is unclear

## Bessel moments

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TMD factorization involves TMDs Fourier transformed to b space

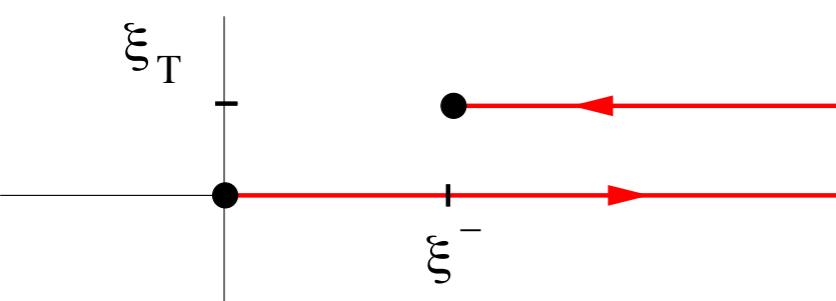
$$\tilde{f}^{[\mathcal{U}]}(x, b_T^2; \zeta, \mu)$$

Collins, 2011

$$\lim_{b_T^2 \rightarrow 0} \tilde{f}^{[\mathcal{U}]}(x, b_T^2; \zeta, \mu) = \int d^2 k_T f^{[\mathcal{U}]}(x, k_T^2; \zeta, \mu) \stackrel{?}{=} f(x; \mu)$$

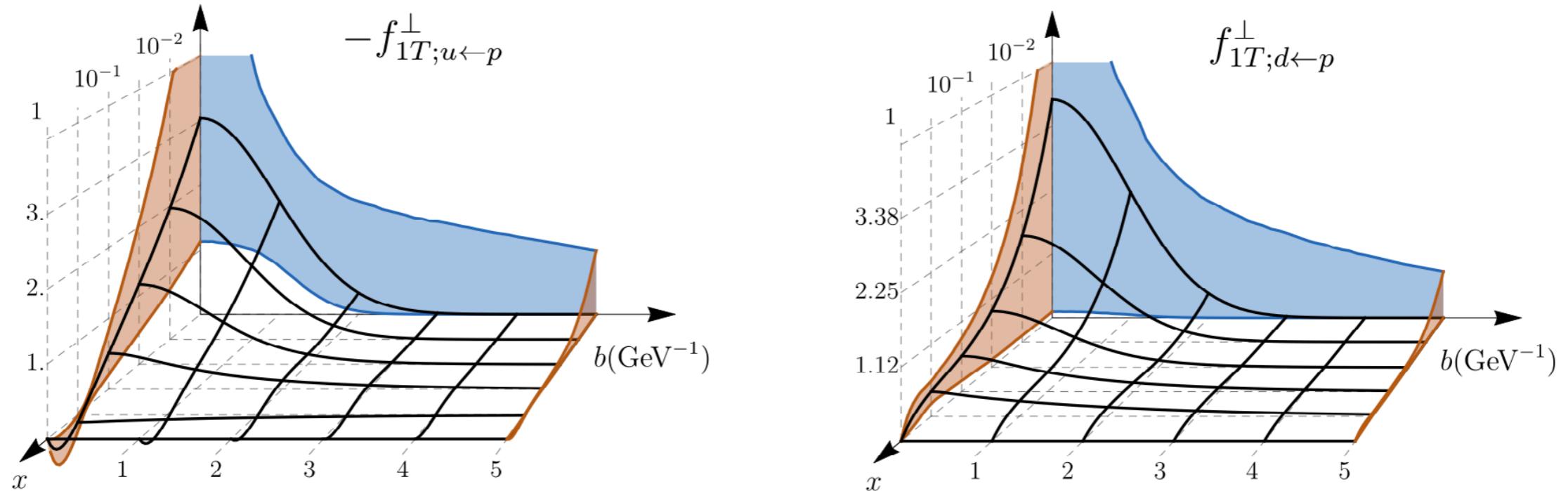
Idem for the Sivers function (its first Bessel moment):

$$\lim_{b_T^2 \rightarrow 0} \left( -\frac{2}{M^2} \partial_{b_T^2} \right) \tilde{f}_{1T}^{\perp[+]}(x, b_T^2; \zeta, \mu) \stackrel{?}{=} \frac{T_F(x, x; \mu)}{2M}$$



Boer, Gamberg, Musch, Prokudin, 2011

# N<sup>3</sup>LO extraction of quark Sivers TMD from SIDIS/DY/W/Z



Bury, Prokudin, Vladimirov, 2020

The perturbative small- $b$  expression of the Sivers TMD in terms of the QS function in the so-called  $\zeta$ -prescription can be inverted

Scimemi, Vladimirov, 2018; Scimemi, Tarasov, Vladimirov, 2019

$$T_q(-x, 0, x; \mu_b) = -\frac{1}{\pi} f_{1T;q \leftarrow h}^\perp(x, b) - \frac{\alpha_s(\mu_b)}{4\pi^2} \int_x^1 \frac{dy}{y} \left[ \frac{\bar{y}}{N_c} f_{1T;q \leftarrow h}^\perp\left(\frac{x}{y}, b\right) + \frac{3y^2\bar{y}}{2x} G^{(+)}\left(-\frac{x}{y}, 0, \frac{x}{y}; \mu_b\right) \right] + \mathcal{O}(a_s^2, b^2)$$

Bury, Prokudin, Vladimirov, 2020

$G^{(+)}$  is the gluon QS function

# Qiu-Sterman function

$$T_q(-x, 0, x; \mu_b) = -\frac{1}{\pi} f_{1T; q \leftarrow h}^\perp(x, b) - \frac{\alpha_s(\mu_b)}{4\pi^2} \int_x^1 \frac{dy}{y} \left[ \frac{\bar{y}}{N_c} f_{1T; q \leftarrow h}^\perp\left(\frac{x}{y}, b\right) + \frac{3y^2 \bar{y}}{2x} G^{(+)}\left(-\frac{x}{y}, 0, \frac{x}{y}; \mu_b\right) \right] + \mathcal{O}(a_s^2, b^2)$$

The gluon QS function  $G^{(+)}$  is mostly relevant at small  $x$

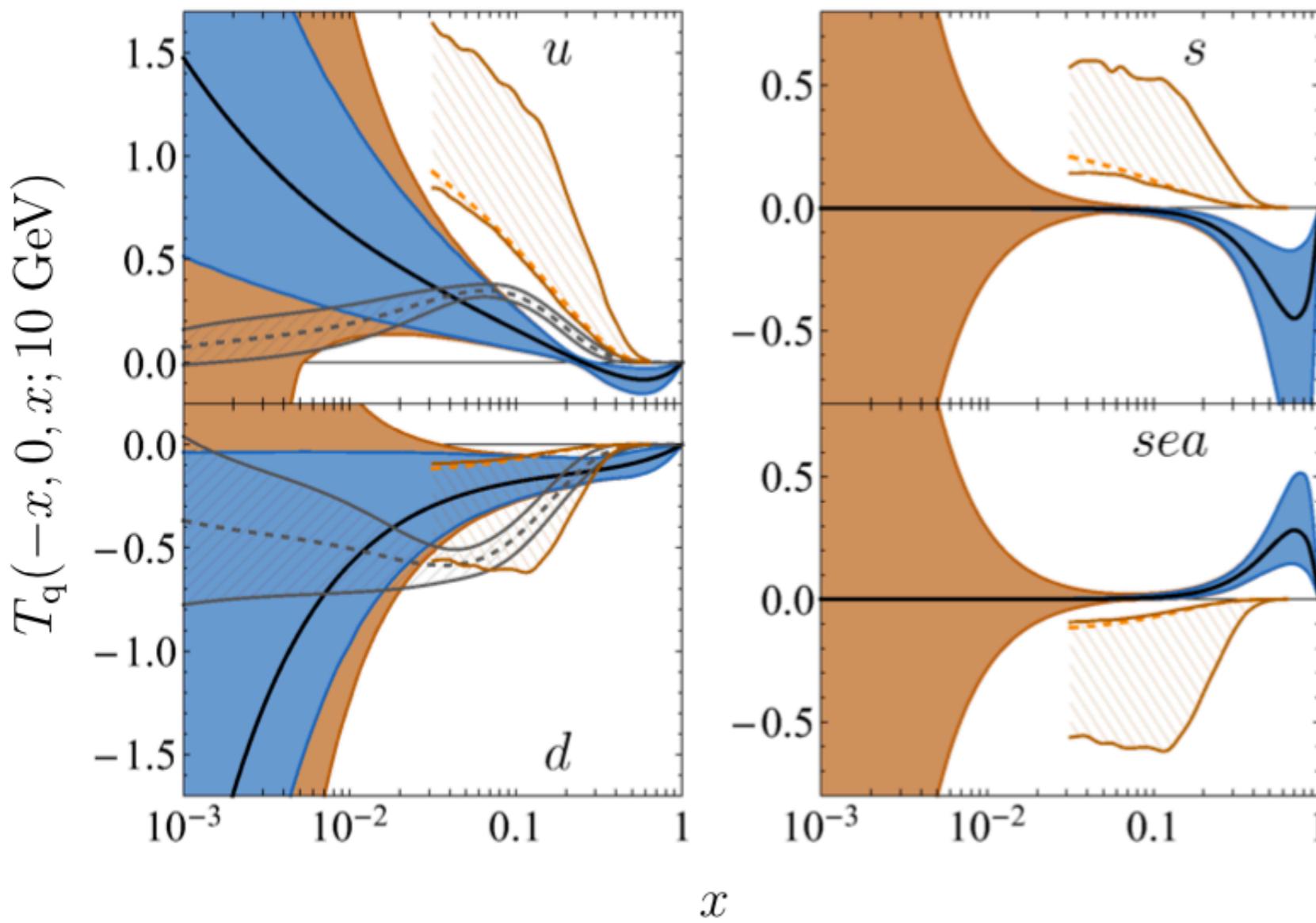


FIG. 3. Qiu-Sterman function at  $\mu = 10$  GeV for different quark flavors, derived from the Sivers function via Eq. (13). The black line shows the CF value and blue band shows 68%CI. The brown band shows the band obtained by adding the gluon contribution  $G^{(+)}$ . We compare our results to JAM20 [35] (gray dashed lines) and ETK20 [34] (orange dashed lines).

Bury, Prokudin, Vladimirov, 2020

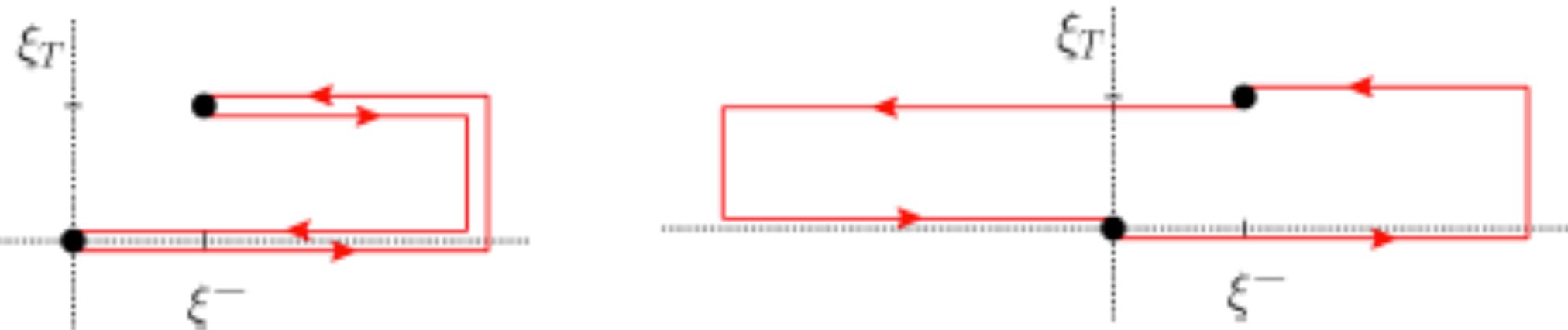
# Gluon Sivers TMD

# Process dependence of gluon TMDs

Gluon TMD correlators involve 2 gauge links:

$$\Gamma_g^{\mu\nu}[\mathcal{U}, \mathcal{U}'](x, k_T) \equiv \text{F.T.} \langle P | \text{Tr}_c \left[ F^{+\nu}(0) \mathcal{U}_{[0,\xi]} F^{+\mu}(\xi) \mathcal{U}'_{[\xi,0]} \right] | P \rangle$$
$$\mathcal{U}_C[0, \xi] = \mathcal{P} \exp \left( -ig \int_{\mathcal{C}[0, \xi]} ds_\mu A^\mu(s) \right) \quad \xi = [0^+, \xi^-, \xi_T]$$

For most cases there are 2 link combinations of interest:  $[+,+]$  &  $[+,-]$



$[-,-]$  &  $[-,+]$  are related to them by parity and time reversal

The Sivers function  $f_{1T}^{\perp g} [+,-]$  at small  $x$  is especially of interest

# Gauge loop correlator

---

The  $[+,-]$  gluon TMD correlator becomes in the small- $x$  limit:

$$\Gamma^{[+,-] ij}(x, \mathbf{k}_T) \xrightarrow{x \rightarrow 0} \frac{k_T^i k_T^j}{2\pi L} \Gamma_0^{[\square]}(\mathbf{k}_T) \quad \text{a single gauge loop matrix element}$$
$$U^{[\square]} = U_{[0,y]}^{[+]} U_{[y,0]}^{[-]}$$

The gluon Sivers function  $f_{1T}^{\perp g [+,-]}$  at small  $x$  is part of:

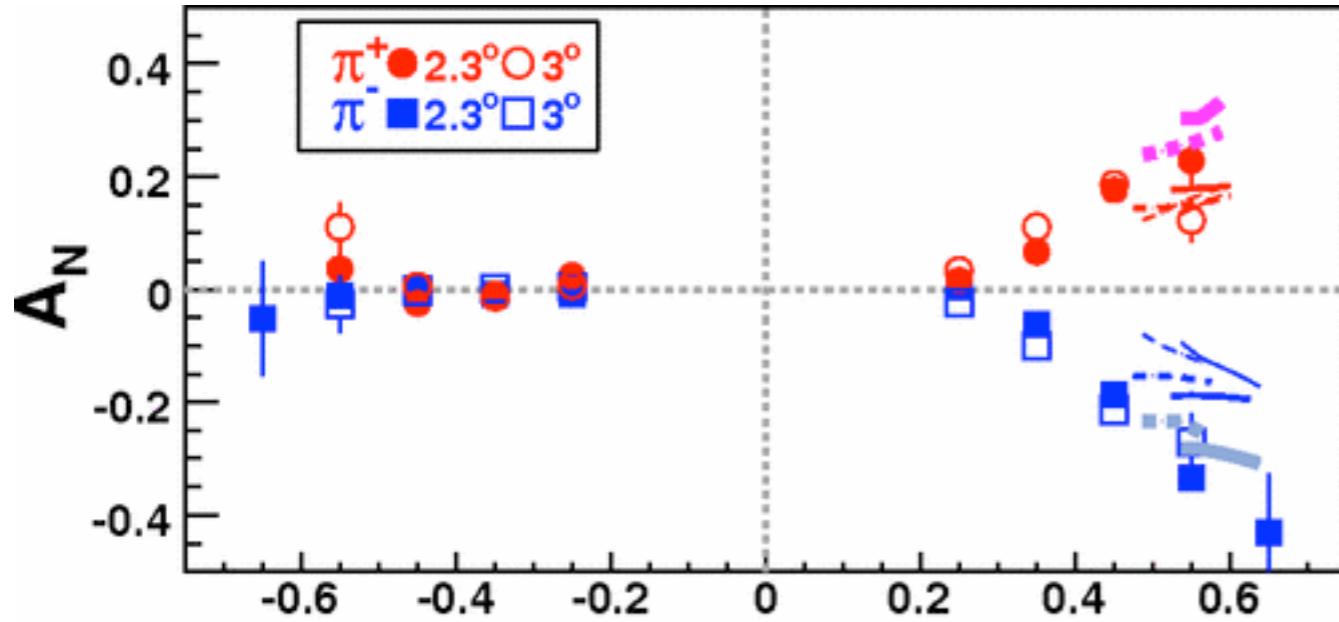
$$(\Gamma^{[+,-]} - \Gamma^{[-,+]}) \propto \text{F.T.} \langle P, S_T | \text{Tr} \left[ U^{[\square]}(0_T, y_T) - U^{[\square]\dagger}(0_T, y_T) \right] | P, S_T \rangle$$

Boer, Echevarria, Mulders, J. Zhou, 2016

This can be identified with the *spin-dependent odderon* J. Zhou, 2013

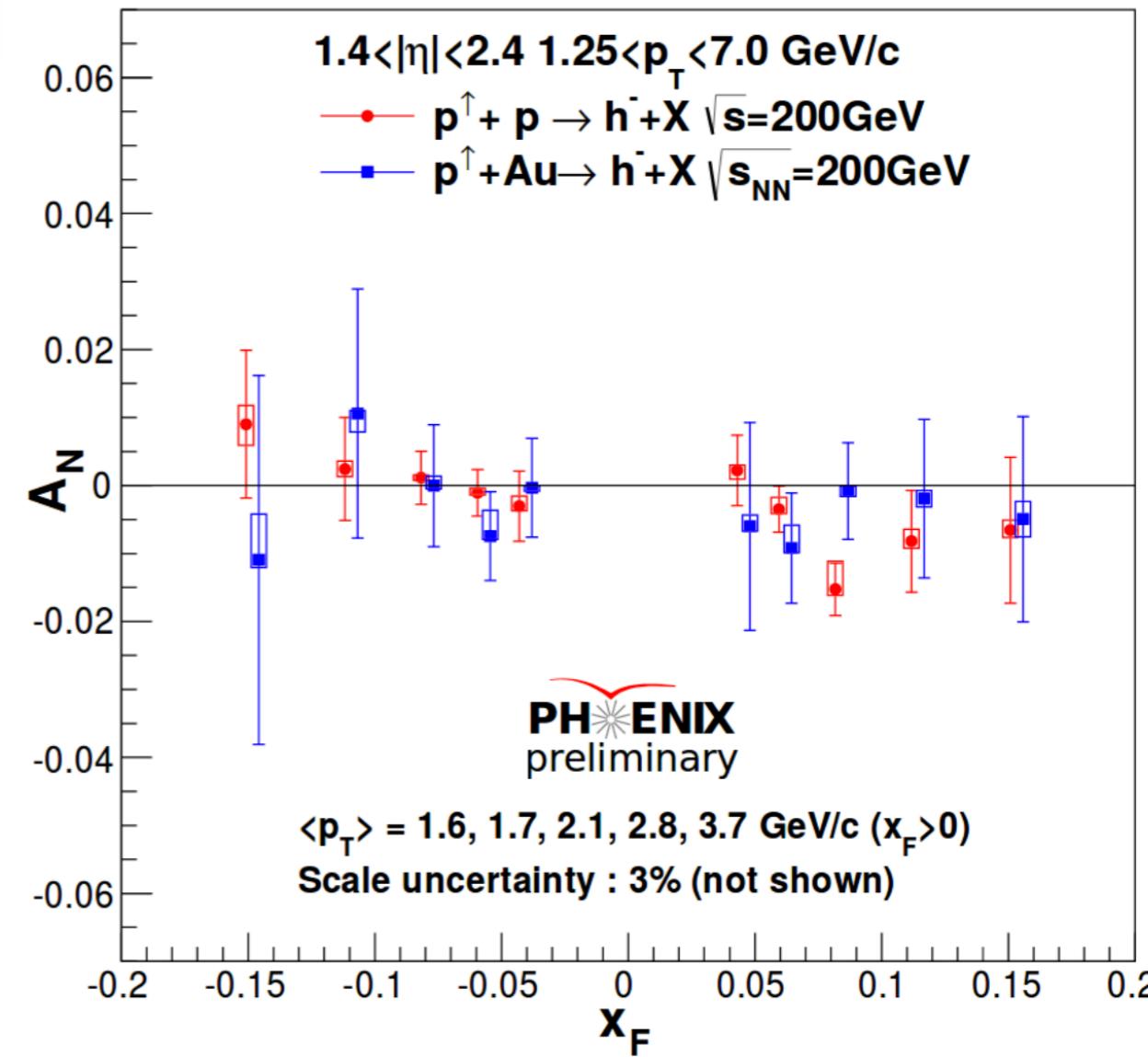
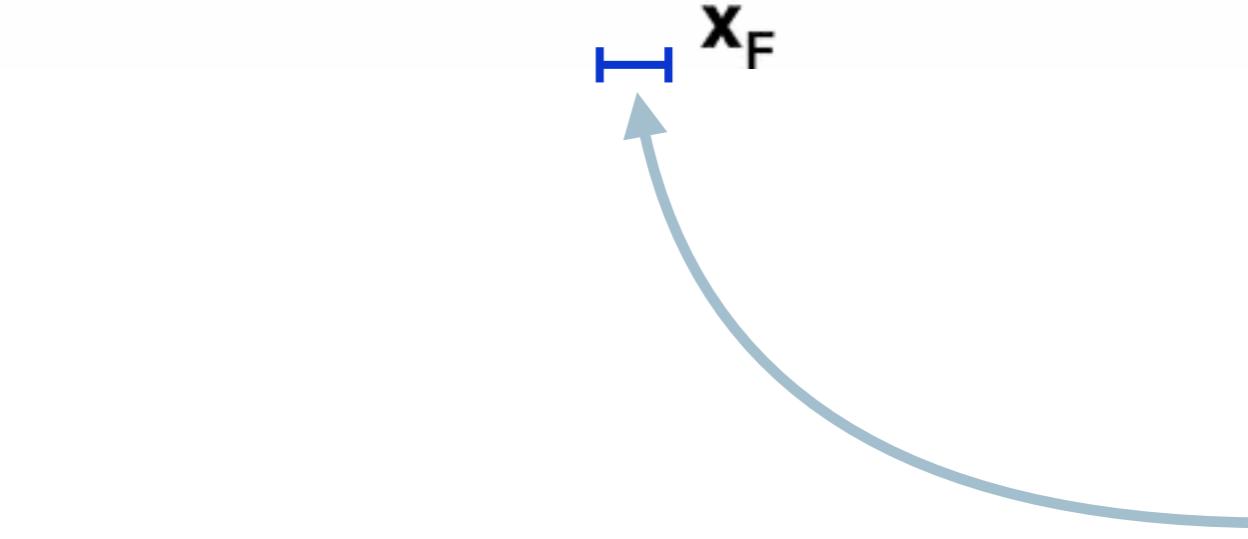
It is the only relevant contribution to  $A_N$  in backward ( $x_F < 0$ ) charged hadron production in  $p^\uparrow p$  or  $p^\uparrow A$  (in contrast to the many contributions at  $x_F > 0$ )

$p^\uparrow p \rightarrow h^\pm X$  at  $x_F < 0$



BRAHMS, 2008    $\sqrt{s} = 62.4$  GeV  
low  $p_T$ , up to roughly 1.2 GeV  
where gg channel dominates

PHENIX, 2017  
 $\sqrt{s} = 200$  GeV  
 $p_T$  between 1.25 and 7 GeV



Data at larger negative  $x_F$  needed

Possible at RHIC & NICA?

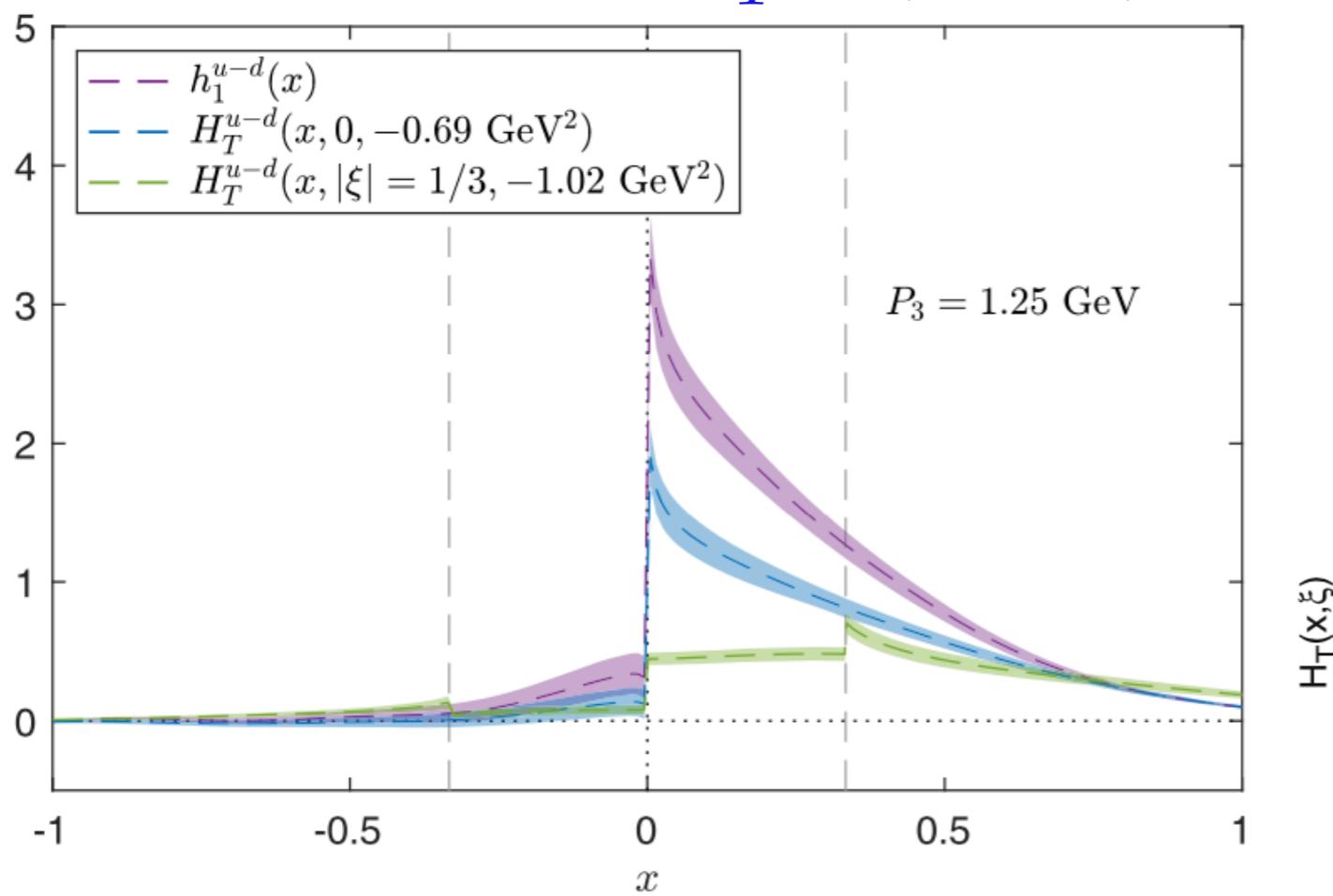
# Transversity GPDs

# Transversity GPD from lattice QCD and models

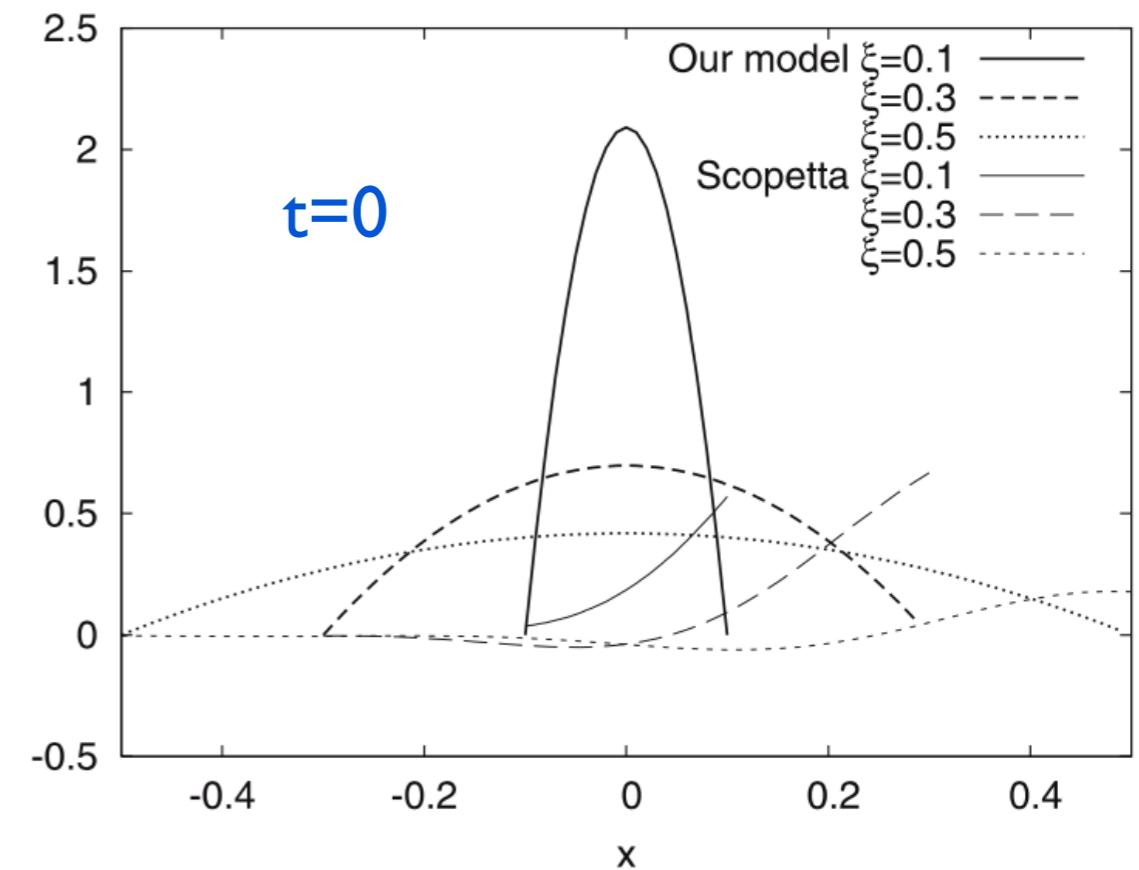
## Large-Momentum Effective Theory (LaMET)

Alexandrou et al., 2022

$$H_T^{u-d}(x, \xi, t)$$

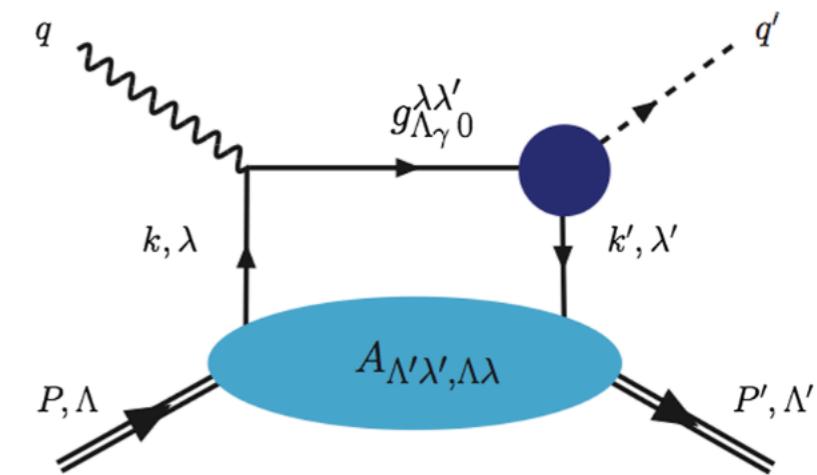


Can be compared to EIC data  
and to models, e.g.



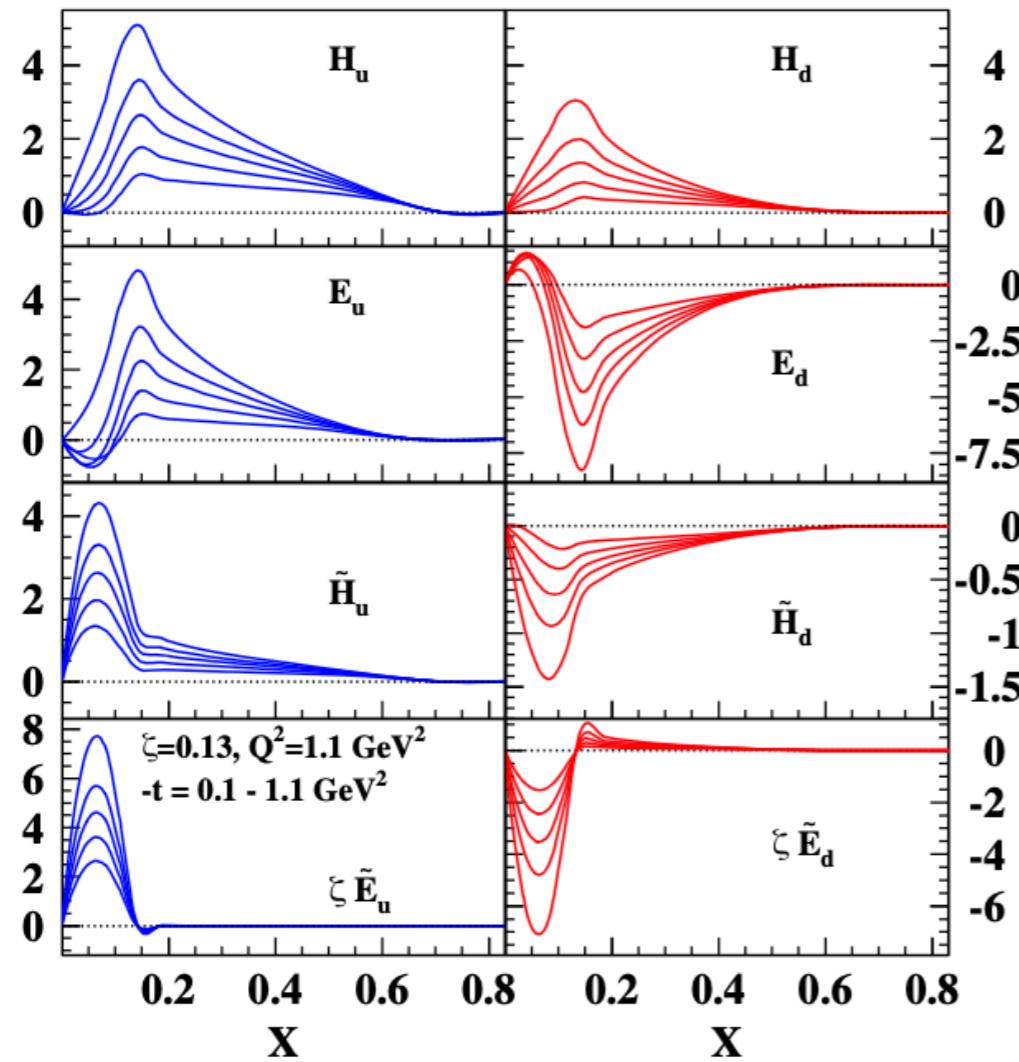
Enberg, Pire, Szymanowski, 2006

# Transversity GPDs in exclusive processes

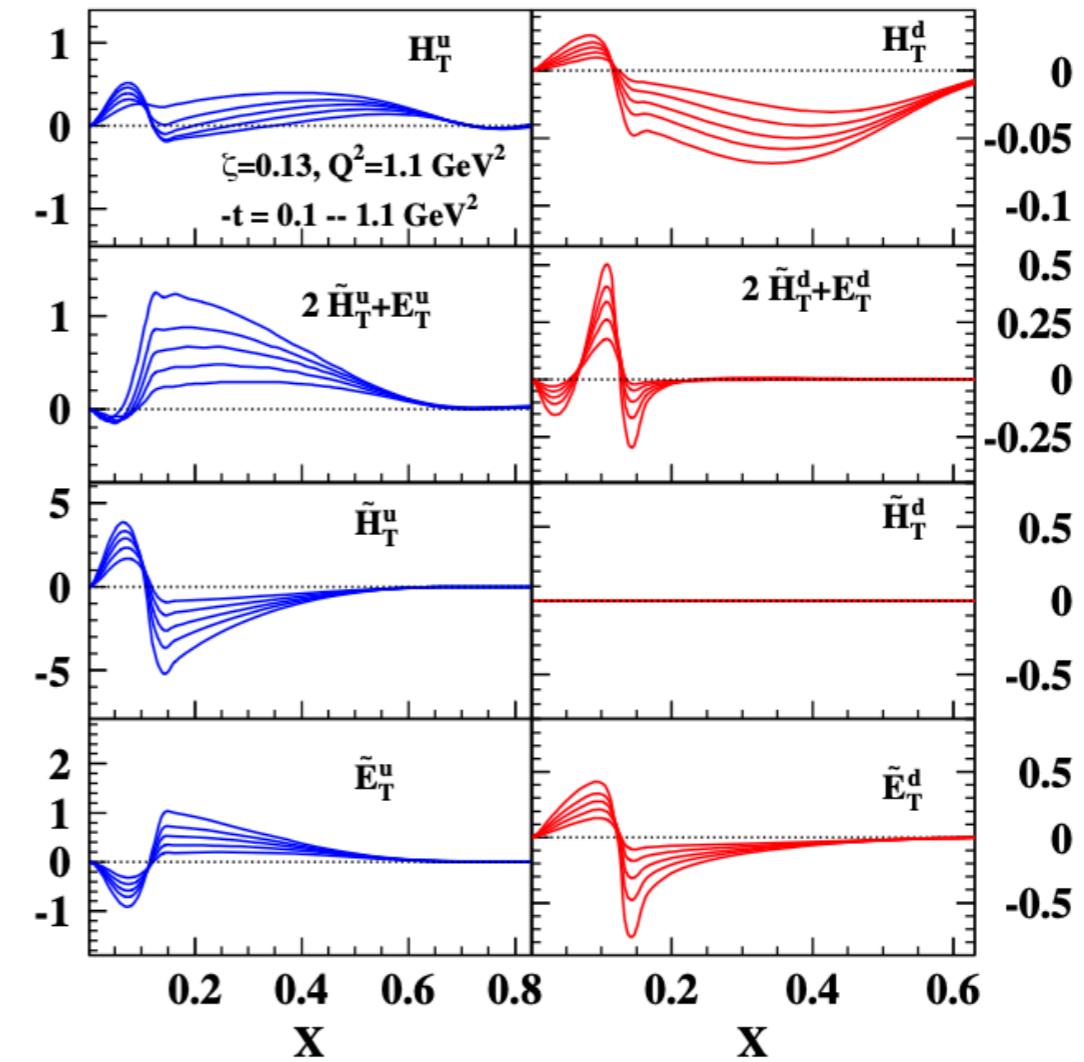


Hard exclusive vector meson pair production, pseudoscalar production, ...

Enberg, Pire, Szymanowski, 2006; Ahmad, Goldstein, Liuti, 2009; Goloskokov, Kroll, 2011 & 2014;  
Goldstein, Gonzalez Hernandez, Liuti, 2015; ...



chiral-even GPDs



chiral-odd GPDs

# Conclusions

# Conclusions

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- The transversity distribution and tensor charge are reasonably known, even without the classic Drell-Yan observable
- Gluonic analogues of transversity for the deuteron, but even for the proton can be investigated at the EIC; neither is “gluon transversity” though
- Quark Sivers and even Qiu-Sterman functions have been extracted using new methods based on TMD factorization
- The gluon Sivers function  $f_{1T}^{\perp g [+,-]}$  at small  $x$  (Wilson loop) is related to the spin dependent odderon and can be probed in  $A_N$  for  $h^\pm$  at  $x_F < 0$
- Transversity and chiral-odd GPDs can be extracted from the lattice using LaMET; comparisons to extractions from EIC data is eagerly awaited

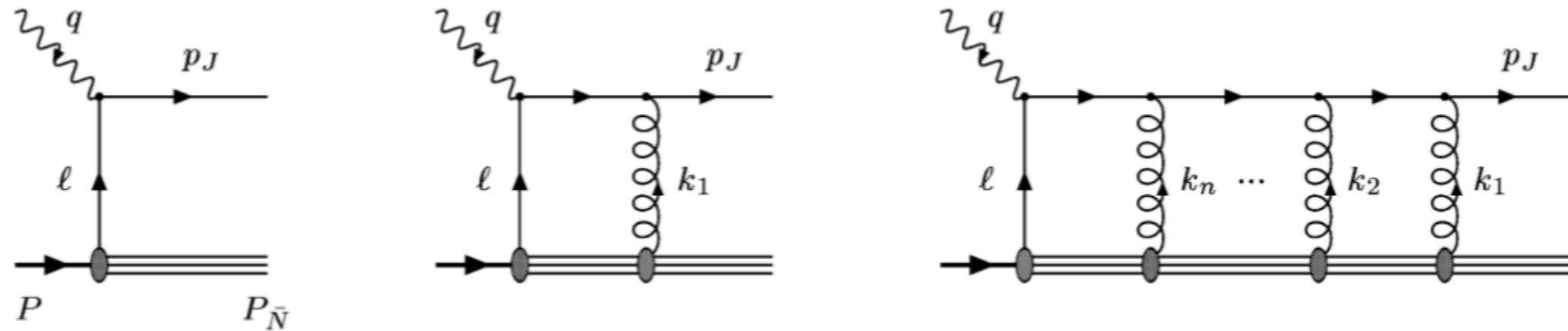
# Back-up slides

## Sivers TMD definition

$$P \cdot (\mathbf{k}_T \times \mathbf{S}_T) f_{1T}^{\perp[\mathcal{C}]}(x, \mathbf{k}_T^2) \propto \text{F.T.} \langle P, S_T | \bar{\psi}(0) \mathcal{L}_{\mathcal{C}[0,\xi]} \gamma^+ \psi(\xi) | P, S_T \rangle |_{\xi=(\xi^-, 0^+, \xi_T)}$$

$$\mathcal{L}_{\mathcal{C}}[0, \xi] = \mathcal{P} \exp \left( -ig \int_{\mathcal{C}[0, \xi]} ds_\mu A^\mu(s) \right)$$

Summation of all gluon exchanges leads to gauge links (path-ordered exponentials) in the operators



The path  $\mathcal{C}$  depends on whether the color interactions are with an incoming or outgoing color charge, yielding different paths for different processes

# Process dependence

Gauge invariant definition of TMDs in semi-inclusive DIS contains a future pointing gauge link (+ link), whereas in Drell-Yan (DY) it is past pointing (- link)

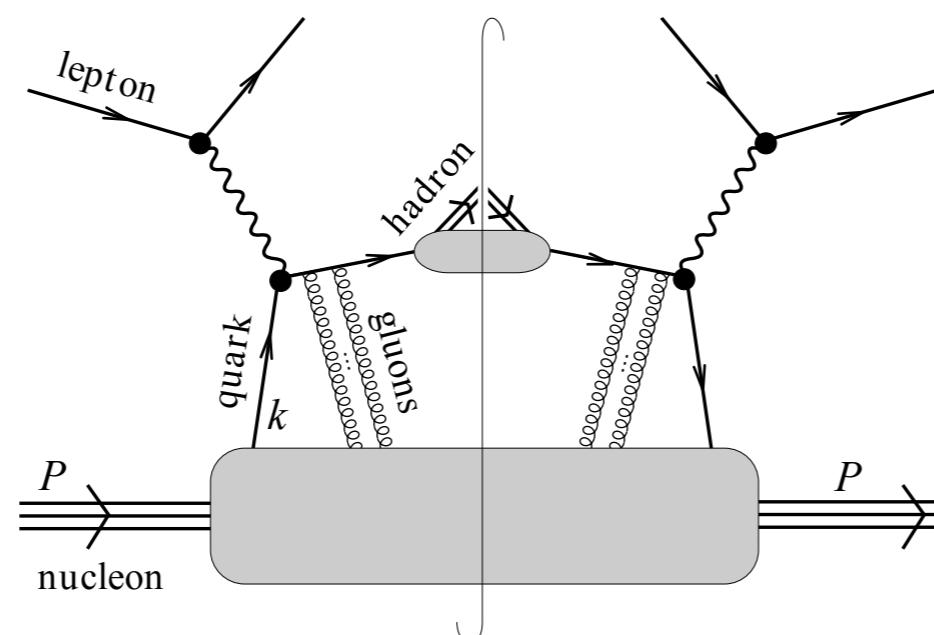
Brodsky, Hwang & Schmidt '02; Collins '02; Belitsky, Ji & Yuan '03

semi-inclusive DIS

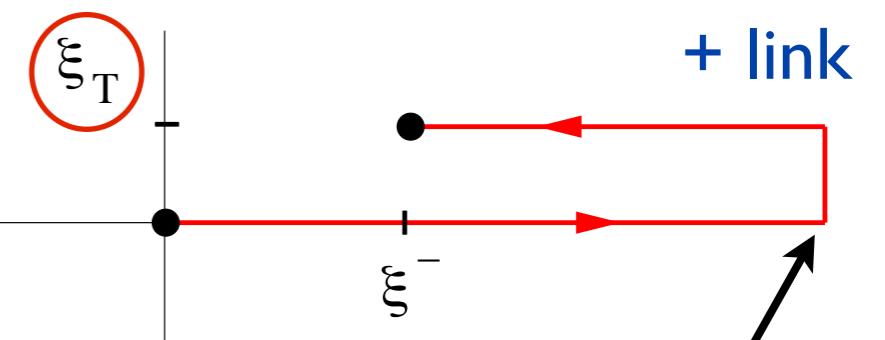
$$e p \rightarrow e' h X$$

$$k \approx xP + k_T$$

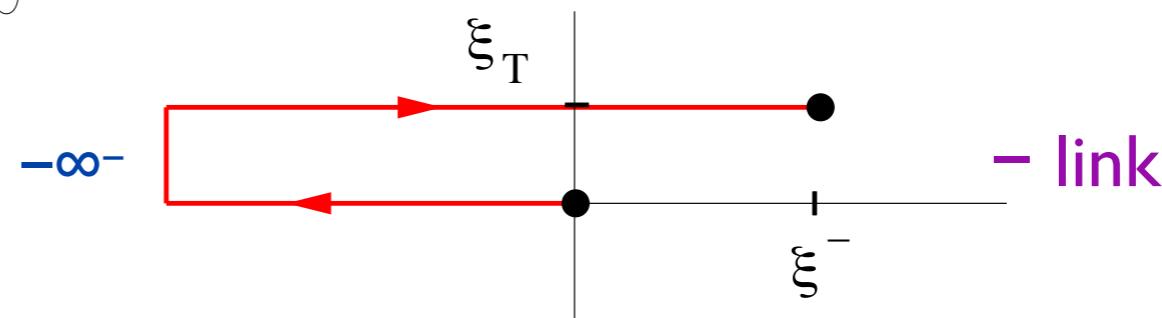
$$P^\mu \approx P^+$$



$pp \rightarrow \gamma^* X$  Drell-Yan:



$$x^\pm = \frac{1}{\sqrt{2}}(x^0 \pm x^3)$$



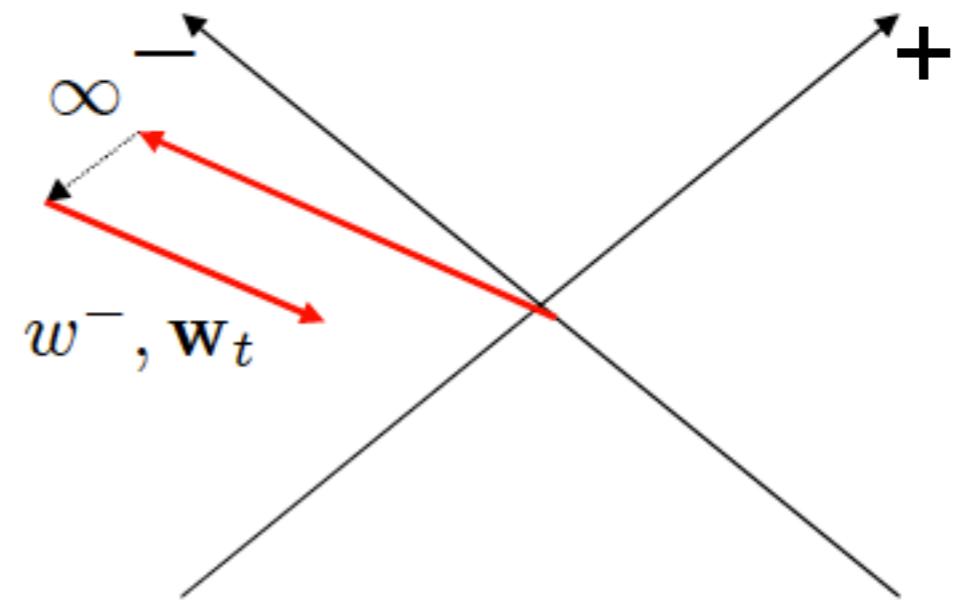
Leads to observable effects (sign change relation):  $f_{1T}^{\perp [\text{SIDIS}]} = -f_{1T}^{\perp [\text{DY}]}$

# Scale dependence of TMDs

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As a regularization of LC divergences, in JCC's TMD factorization the path is taken off the lightfront, the variation in rapidity determines the change with  $\zeta$

$$\tilde{f}^{[\mathcal{U}]}(x, b_T^2; \zeta, \mu)$$



Two important consequences:

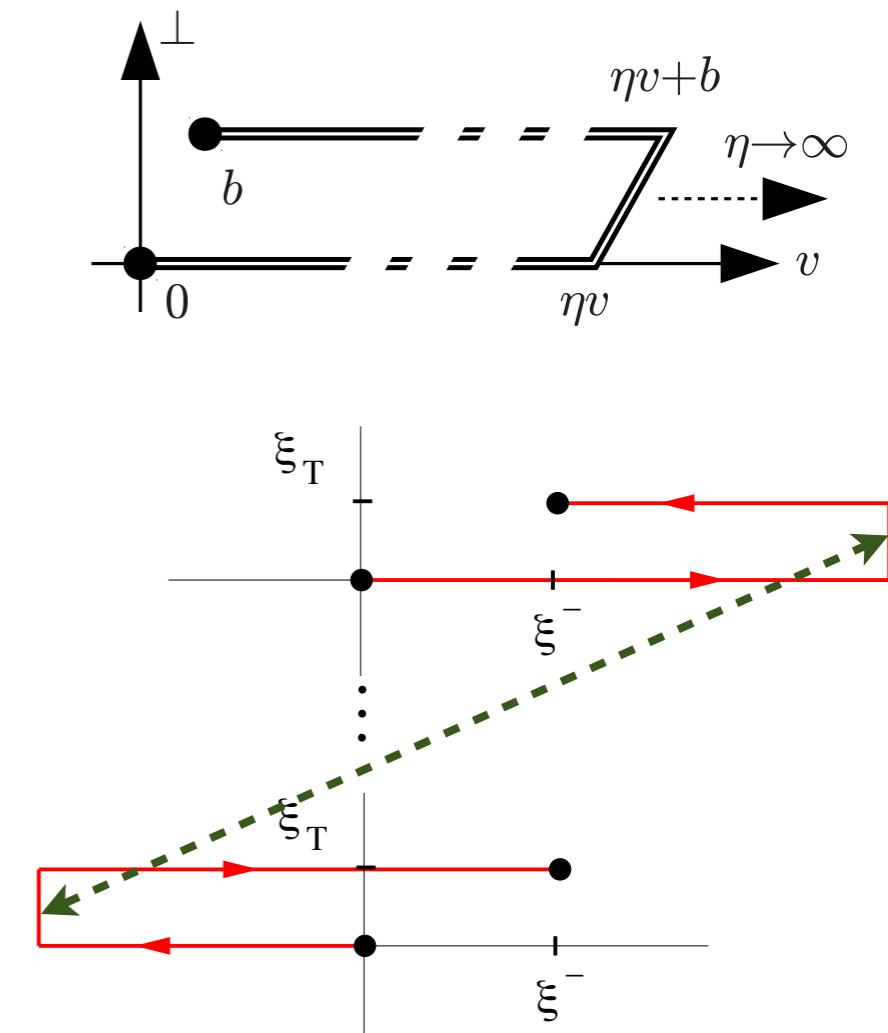
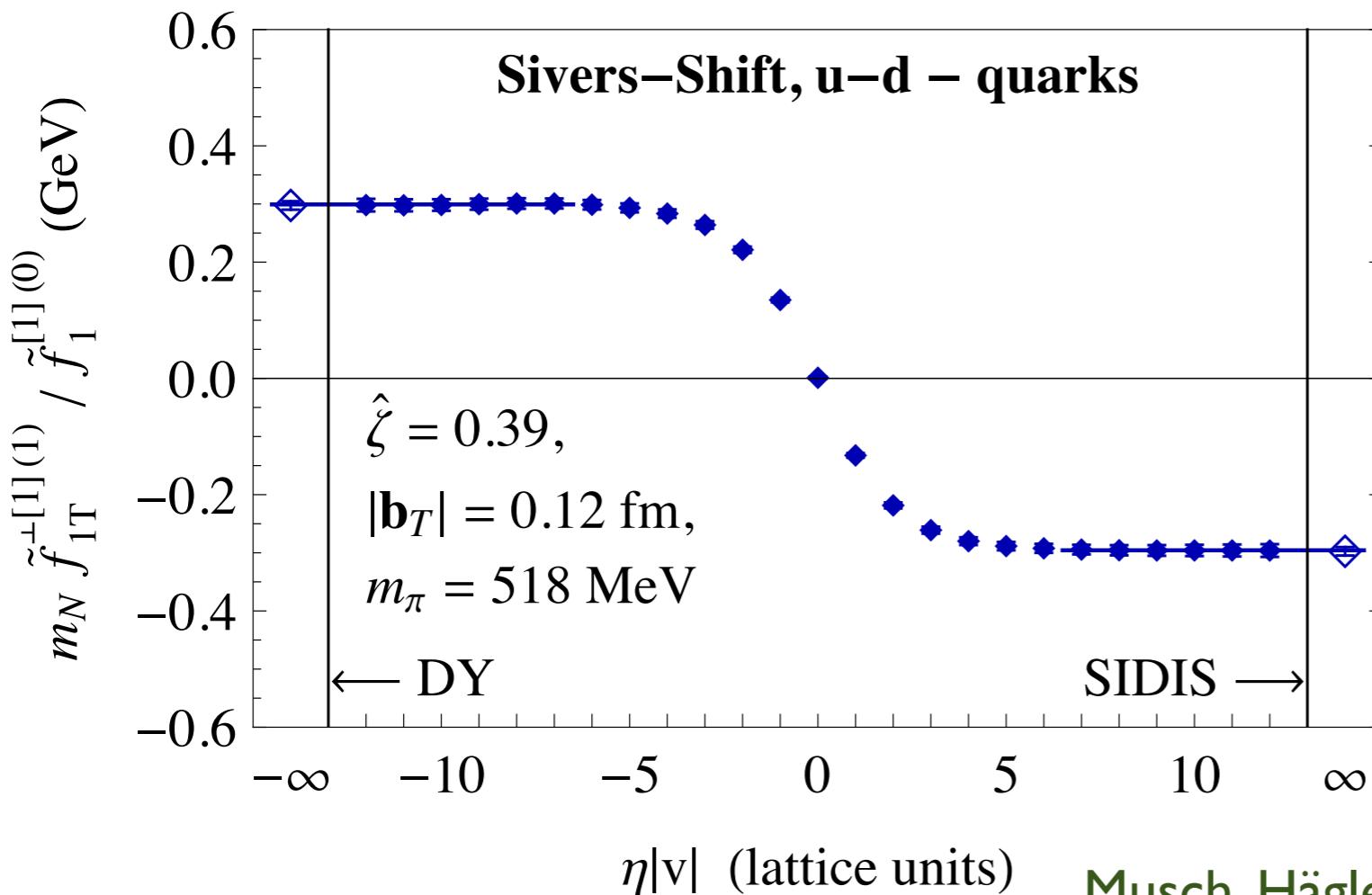
- yields scale evolution of TMD observables
- allows for calculation of the Sivers effect on the lattice (quite unexpectedly)

Musch, Hägler, Engelhardt, Negele & Schäfer, 2012

# Sivers effect on the lattice

The “Sivers shift”  $\langle \mathbf{k}_T \times \mathbf{S}_T \rangle$  (the average transverse momentum shift orthogonal to transverse spin  $\mathbf{S}_T$ ) can be calculated on the lattice

Boer, Gamberg, Musch, Prokudin, 2011



Musch, Hägler, Engelhardt, Negele & Schäfer, 2012

This is the first first-principle demonstration in QCD that the Sivers function is nonzero for staple-like links. It clearly displays the sign change relation

# Relation between TMDs and collinear pdfs

---

Collinear pdfs are not simply integrals of TMDs

$$\int d\mathbf{k}_T f_1(x, \mathbf{k}_T; \mu, \zeta) \stackrel{?}{=} f_1(x; \mu)$$

Collins, 2011

Large transverse momentum tail of TMDs are determined by collinear pdfs

$$f_1(x, \mathbf{p}_T^2) \stackrel{\mathbf{p}_T^2 \gg M^2}{\sim} \alpha_s \frac{1}{\mathbf{p}_T^2} (K \otimes f_1)(x)$$

Similarly, the perturbative tail of Sivers function determined by QS function

$$f_{1T}^\perp(x, \mathbf{p}_T^2) \stackrel{\mathbf{p}_T^2 \gg M^2}{\sim} \alpha_s \frac{M^2}{\mathbf{p}_T^4} (K' \otimes T_F)(x)$$

Ji, Qiu, Vogelsang, Yuan, 2006; Koike, Vogelsang, Yuan, 2008

## Bessel moments

---

To avoid the convergence issue one can consider Bessel moments:

$$\tilde{f}^{(n)}(x, \mathbf{b}_T^2) = n! \left( -\frac{2}{M^2} \partial_{\mathbf{b}_T^2} \right)^n \tilde{f}(x, \mathbf{b}_T^2)$$

Boer, Gamberg, Musch, Prokudin, 2011

Generalization of the conventional transverse moments

$$\tilde{f}^{(n)}(x, \mathbf{b}_T^2) = n! \left( -\frac{2}{M^2} \partial_{\mathbf{b}_T^2} \right)^n \tilde{f}(x, \mathbf{b}_T^2) \xrightarrow{\mathbf{b}_T^2 \rightarrow 0} f^{(n)}(x)$$

The limit should be considered with care

Collinear pdfs are not simply integrals of TMDs

$$\int d\mathbf{k}_T f_1(x, \mathbf{k}_T; \mu, \zeta) \stackrel{?}{=} f_1(x; \mu)$$

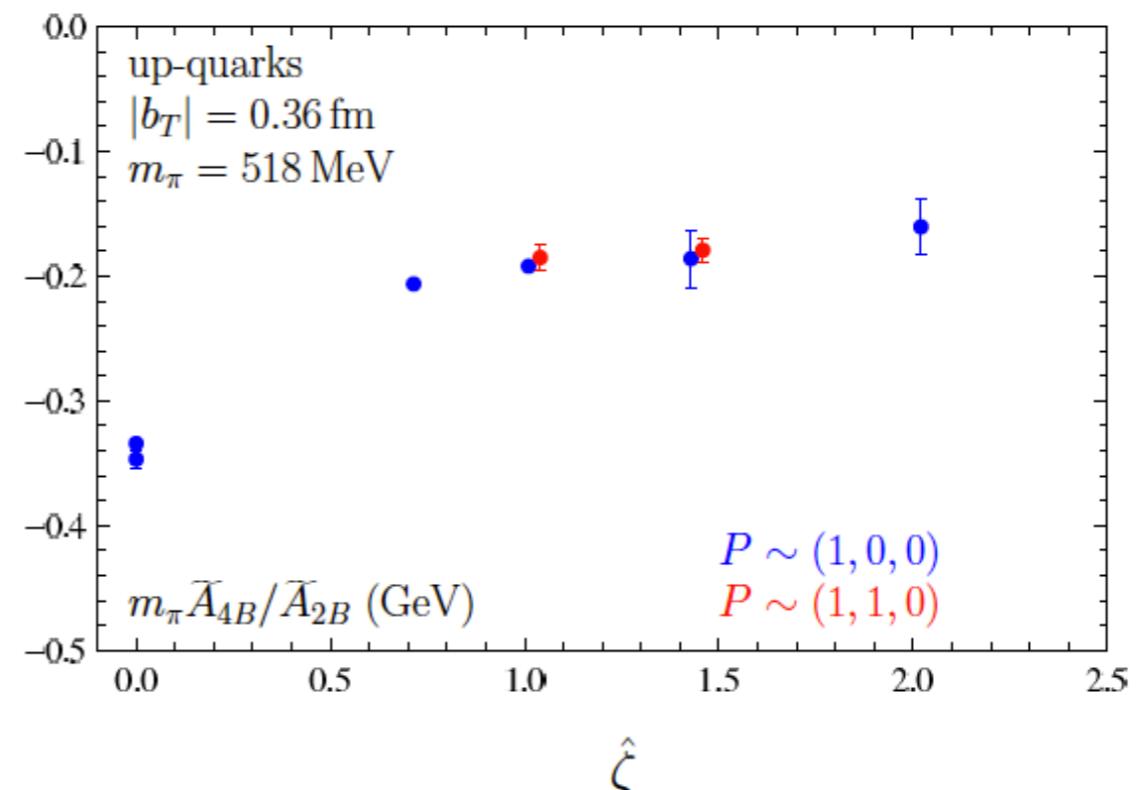
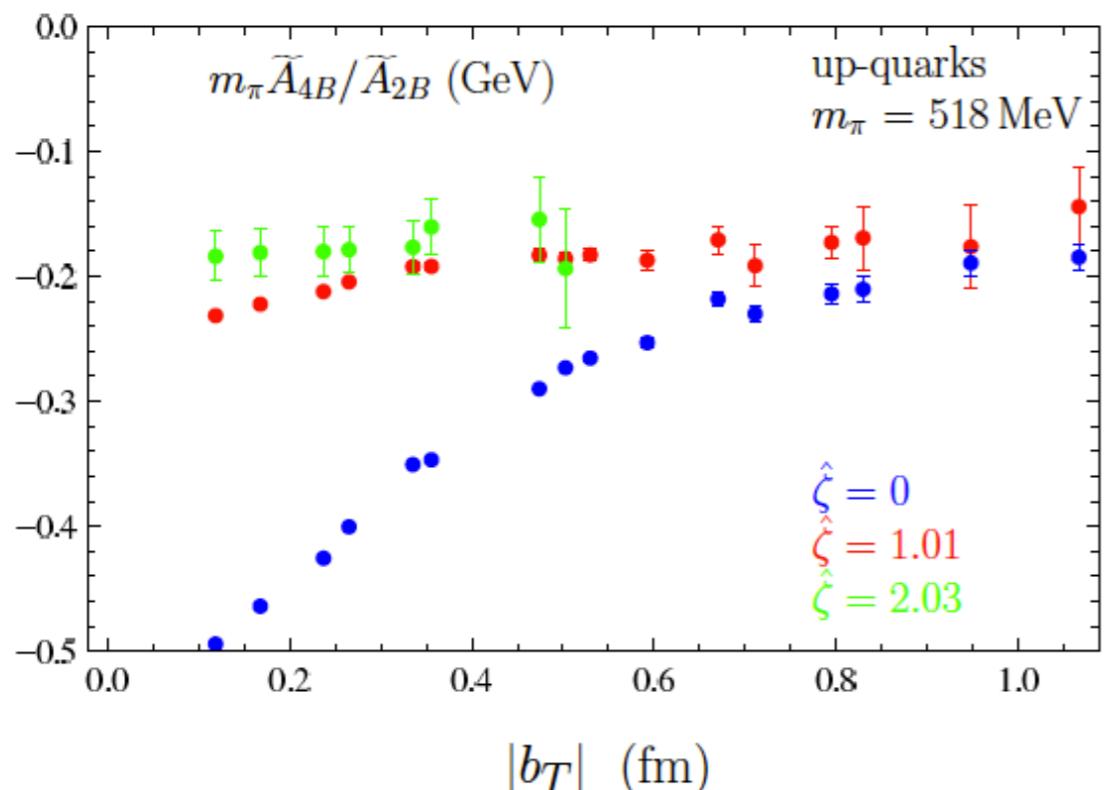
Collins, 2011

## The limit $b_T \rightarrow 0$ of Sivers shift tells us about the Qiu-Sterman function

$$\lim_{b_T \rightarrow 0} \tilde{f}_{1T}^{(1)[+]}(x, b_T^2; \mu, \zeta) \stackrel{?}{=} \frac{T_F(x, x; \mu)}{2M}$$

This is especially promising if the limits  $b_T \rightarrow 0$  and large  $\zeta$  are constant/flat

$$\hat{\zeta} = \frac{\zeta}{2m_N} = \frac{\vec{v} \cdot \vec{P}}{\sqrt{|\vec{v}^2|} \sqrt{P^2}} = \sinh(y_P - y_v) \gg \frac{\Lambda_{\text{QCD}}}{2m_N} \approx 0.1$$



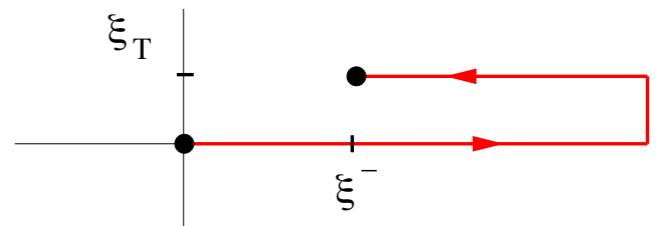
**Figure 3:** Generalized Boer-Mulders shift in the  $\eta \rightarrow \infty$  SIDIS limit as a function of  $|b_T|$  (left) and  $\hat{\zeta}$  (right). In the left panel, the data in the region below  $|b_T| \approx 0.25$  fm may be significantly affected by finite lattice cutoff effects. In the right panel, the congruence of the data obtained for  $P$  in different directions exhibits the good rotational properties of the calculation.

# Relation between Sivers and Qiu-Sterman functions

First Bessel moment of the Sivers TMD:

$$\tilde{f}_{1T}^{\perp(1)}(x, \mathbf{b}_T^2) \xrightarrow{\mathbf{b}_T^2 \rightarrow 0} f_{1T}^{\perp(1)}(x)$$

The limit should be considered with care



$$\lim_{b_T \rightarrow 0} \tilde{f}_{1T}^{(1)[+]}(x, b_T^2; \mu, \zeta) \stackrel{?}{=} \frac{T_F(x, x; \mu)}{2M}$$

Recent result: use the perturbative small- $b$  expression and invert it in the so-called  $\zeta$ -prescription

Scimemi, Vladimirov, 2018

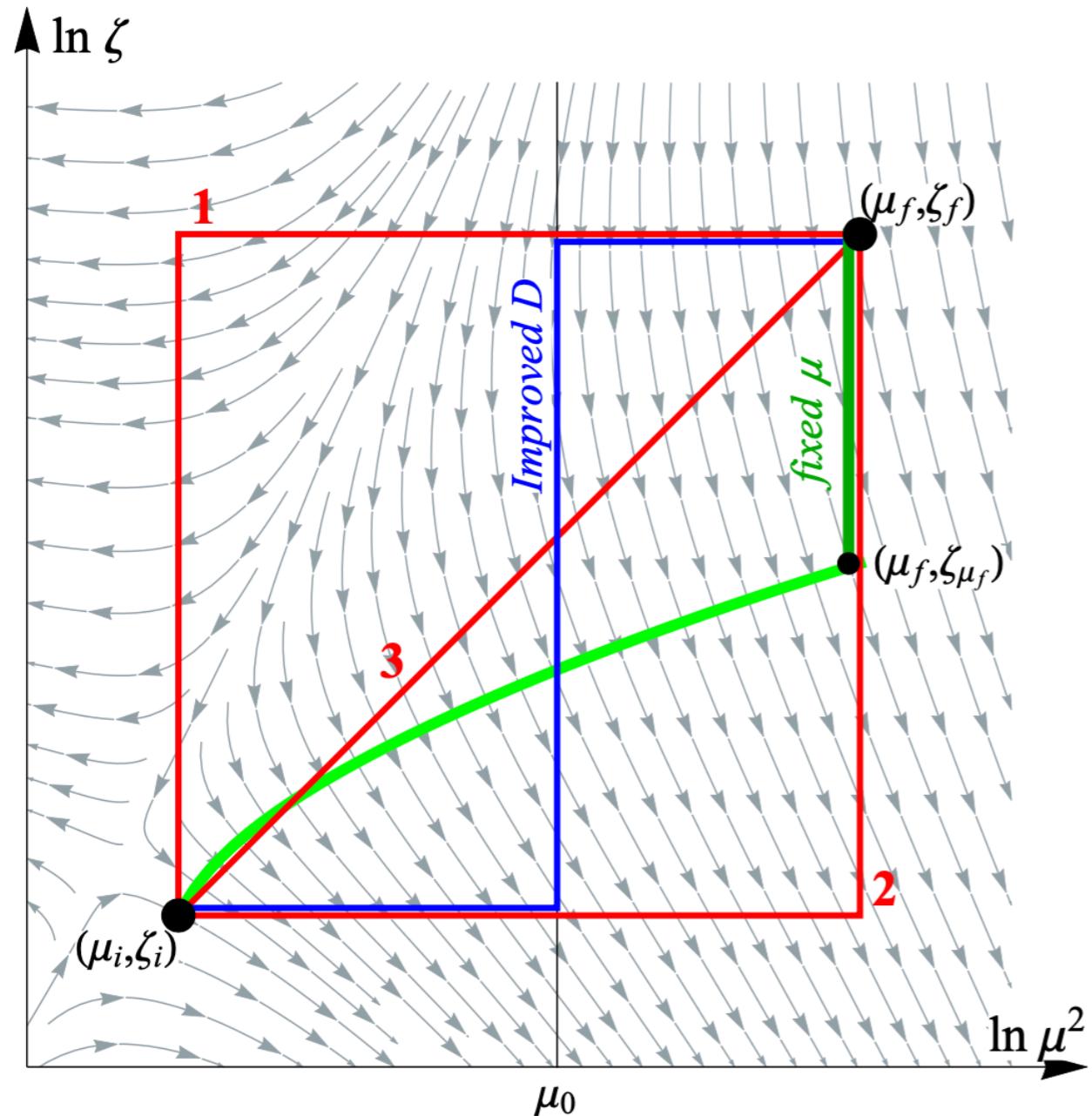
Scimemi, Tarasov, Vladimirov, 2019

$$T_q(-x, 0, x; \mu_b) = -\frac{1}{\pi} f_{1T; q \leftarrow h}^{\perp}(x, b) - \frac{\alpha_s(\mu_b)}{4\pi^2} \int_x^1 \frac{dy}{y} \left[ \frac{\bar{y}}{N_c} f_{1T; q \leftarrow h}^{\perp}\left(\frac{x}{y}, b\right) + \frac{3y^2 \bar{y}}{2x} G^{(+)}\left(-\frac{x}{y}, 0, \frac{x}{y}; \mu_b\right) \right] + \mathcal{O}(a_s^2, b^2)$$

Bury, Prokudin, Vladimirov, 2020

$G^{(+)}$  is the gluon QS function

## $\zeta$ -prescription



Scimemi, Vladimirov, 2018  
Scimemi, Tarasov, Vladimirov, 2019

TMD factorization requires evolution of TMDs from large  $(\mu_f, \zeta_f)$  to small  $(\mu_i, \zeta_i)$

This leads appearance of the Sudakov factor or evolutor term

However, one can evolve along a null-evolution curve ( $\zeta_i = \zeta(\mu_i)$ ) and only a fixed- $\mu$  evolutor will appear

One recovers one scale evolution

Allows to connect express small b “tail” in terms of collinear function (e.g. QS function) and allows to invert expression (along this line coefficient function simplifies)

# Gluons TMDs

# The gluon correlator:

$$\Gamma_g^{\mu\nu[\mathcal{U},\mathcal{U}']} (x, k_T) \equiv \text{F.T.} \langle P | \text{Tr}_c \left[ F^{+\nu}(0) \mathcal{U}_{[0,\xi]} F^{+\mu}(\xi) \mathcal{U}'_{[\xi,0]} \right] | P \rangle$$

## For unpolarized protons:

$$\Gamma_U^{\mu\nu}(x, \mathbf{p}_T) = \frac{x}{2} \left\{ -g_T^{\mu\nu} f_1^g(x, \mathbf{p}_T^2) + \left( \frac{p_T^\mu p_T^\nu}{M_p^2} + g_T^{\mu\nu} \frac{\mathbf{p}_T^2}{2M_p^2} \right) h_1^{\perp g}(x, \mathbf{p}_T^2) \right\}$$

↑  
unpolarized gluon TMD

↑  
linearly polarized

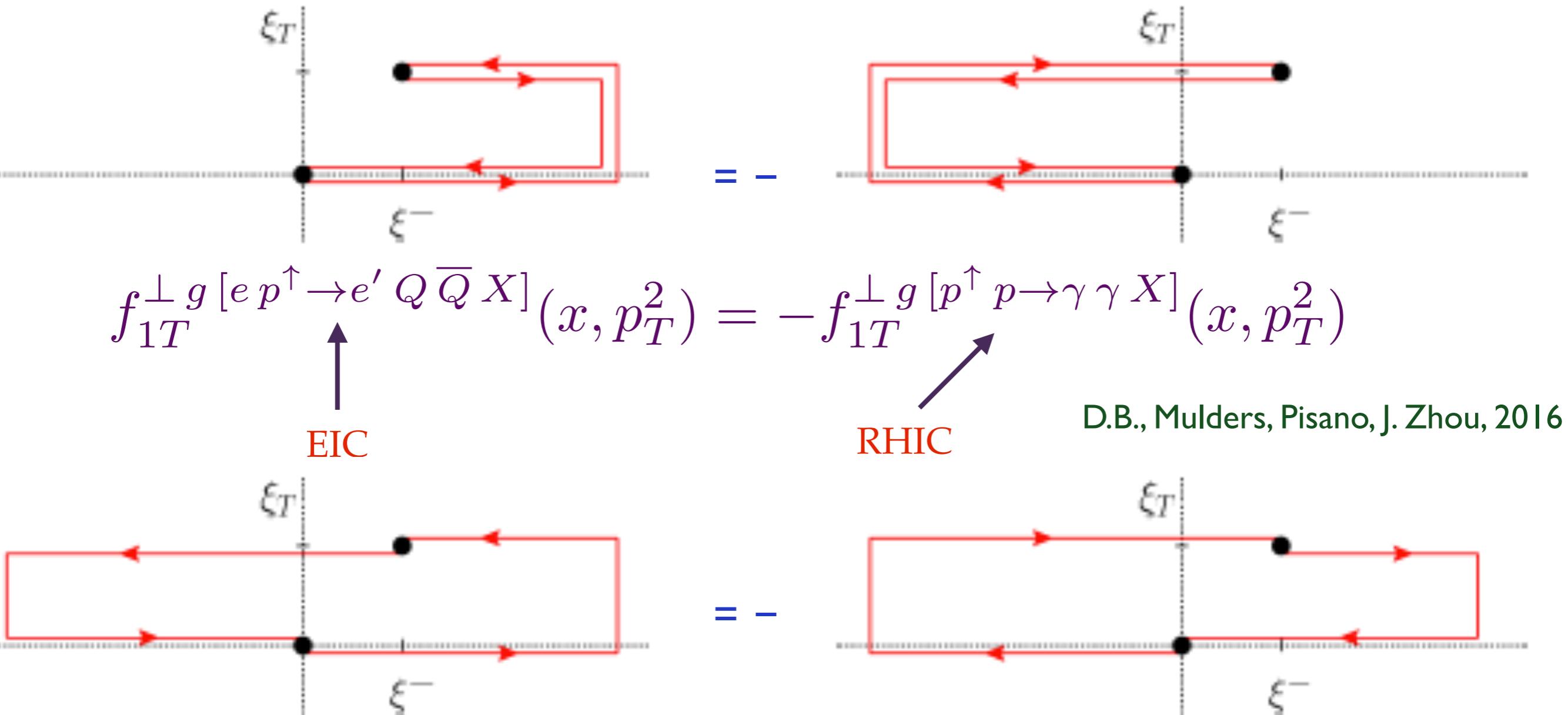
Gluons inside *unpolarized* protons can be polarized!

For transversely polarized protons:

gluon Sivers TMD

$$\Gamma_T^{\mu\nu}(x, \mathbf{p}_T) = \frac{x}{2} \left\{ g_T^{\mu\nu} \frac{\epsilon_T^{\rho\sigma} p_{T\rho} S_{T\sigma}}{M_p} \left( f_{1T}^{\perp g}(x, \mathbf{p}_T^2) + \dots \right) \right\}$$

# Sign change relation for gluon Sivers TMD



There is a distinct, *independent* gluon Sivers function with  $[+,-]$  links

Gluon Sivers TMDs for  $[+,+]$  &  $[+,-]$  are related to the  $f^{abc}$  &  $d^{abc}$  color structures

Bomhof, Mulders, 2007; Buffing, Mukherjee, Mulders, 2013

# Dipole versus WW distributions

---

For most processes of interest there are 2 relevant unpolarized gluon TMDs

$$xG^{(1)}(x, k_\perp) = 2 \int \frac{d\xi^- d\xi_\perp}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_\perp \cdot \xi_\perp} \langle P | \text{Tr} [F^{+i}(\xi^-, \xi_\perp) \mathcal{U}^{[+]^\dagger} F^{+i}(0) \mathcal{U}^{[+]}] | P \rangle \quad [+,+]$$

$$xG^{(2)}(x, k_\perp) = 2 \int \frac{d\xi^- d\xi_\perp}{(2\pi)^3 P^+} e^{ixP^+ \xi^- - ik_\perp \cdot \xi_\perp} \langle P | \text{Tr} [F^{+i}(\xi^-, \xi_\perp) \mathcal{U}^{[-]^\dagger} F^{+i}(0) \mathcal{U}^{[+]}] | P \rangle \quad [+,-]$$

For unpolarized gluons  $[+,+] = [-,-]$  and  $[+,-] = [-,+]$

At small  $x$  the two correspond to the Weizsäcker-Williams (WW) and dipole (DP) distributions, which are generally different in magnitude and width:

$$xG^{(1)}(x, k_\perp) = -\frac{2}{\alpha_S} \int \frac{d^2 v}{(2\pi)^2} \frac{d^2 v'}{(2\pi)^2} e^{-ik_\perp \cdot (v-v')} \langle \text{Tr} [\partial_i U(v)] U^\dagger(v') [\partial_i U(v')] U^\dagger(v) \rangle_{x_g} \quad \text{WW}$$

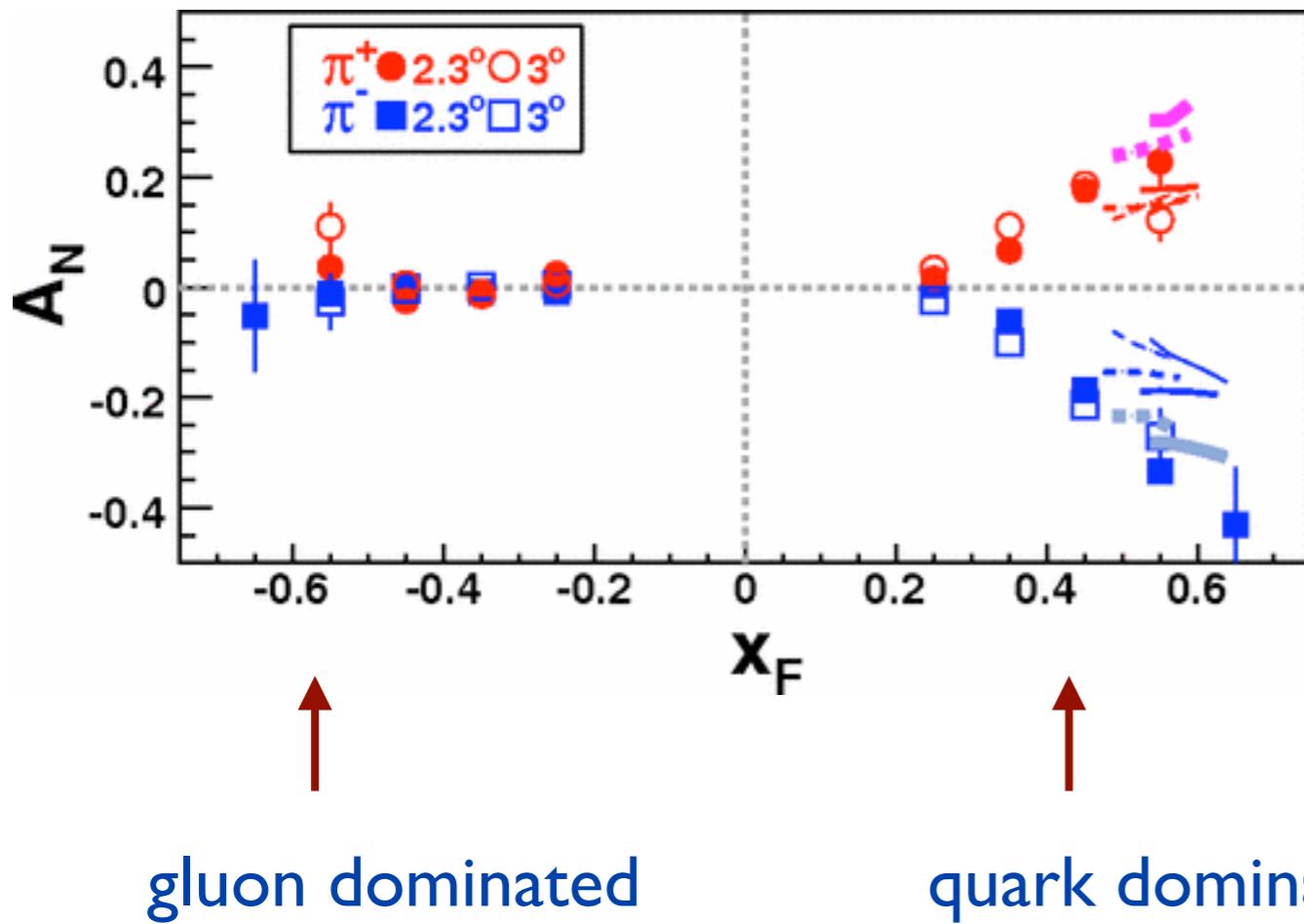
$$xG^{(2)}(x, q_\perp) = \frac{q_\perp^2 N_c}{2\pi^2 \alpha_s} S_\perp \int \frac{d^2 r_\perp}{(2\pi)^2} e^{-iq_\perp \cdot r_\perp} \frac{1}{N_c} \langle \text{Tr} U(0) U^\dagger(r_\perp) \rangle_{x_g} \quad \text{DP}$$

$$p^\uparrow p \rightarrow h^\pm X \text{ at } x_F < 0$$

It is the only relevant contribution to  $A_N$  in backward ( $x_F < 0$ ) charged hadron production in  $p^\uparrow p$  or  $p^\uparrow A$  (in contrast to the many contributions at  $x_F > 0$ )

As the odderon is C-odd, for gg-dominated scattering one should select final states that are not C-even, hence charged hadron production (as opposed to jets or  $\pi^0$ )

### Backward charged hadron production at RHIC



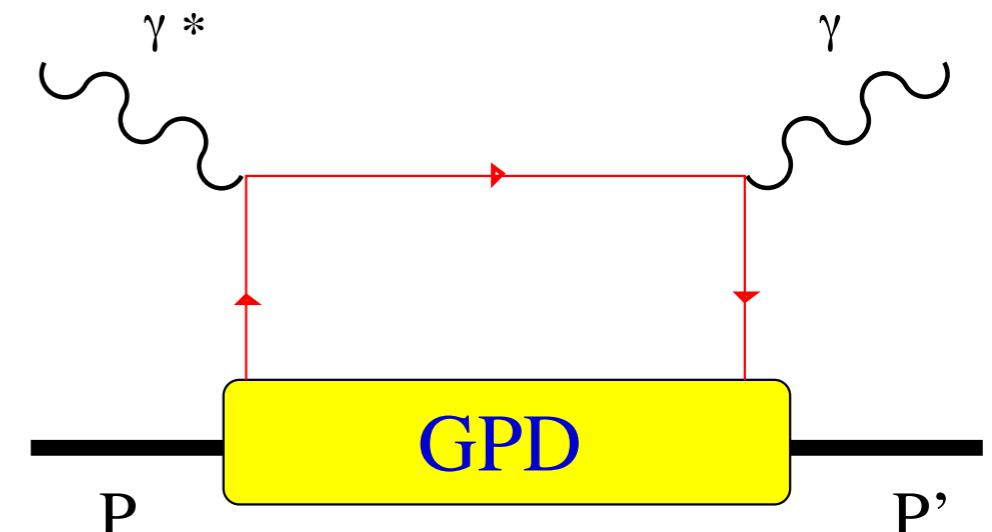
BRAHMS, 2008  $\sqrt{s} = 62.4$  GeV  
low  $p_T$ , up to roughly 1.2 GeV  
where gg channel dominates

$$x_F = \frac{2p_z}{\sqrt{s}}$$

The asymmetry in the gluon dominated region is smaller and needs more precision

## GPDs

Deeply Virtual Compton Scattering (DVCS):



Theoretical description involves Generalized Parton Distributions (GPDs)

GPDs are off-forward matrix elements ( $P' \neq P$ )

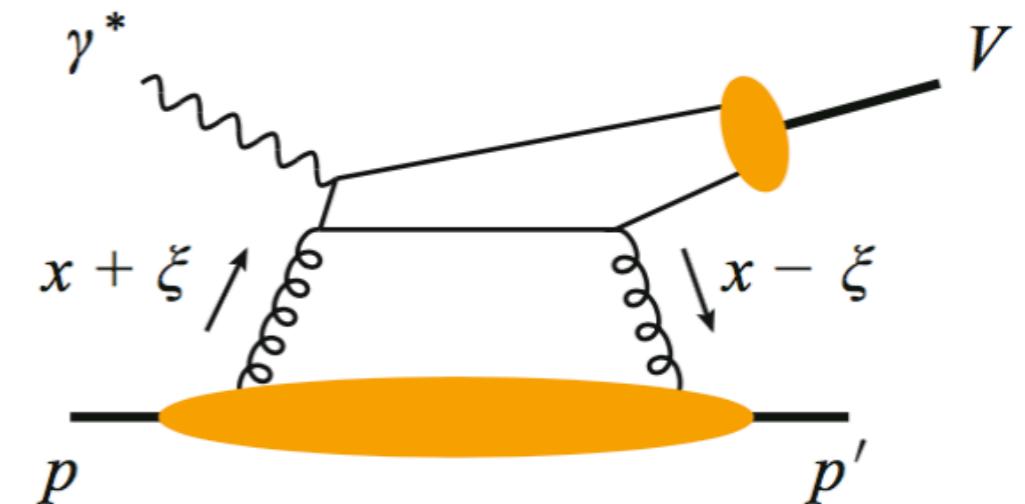
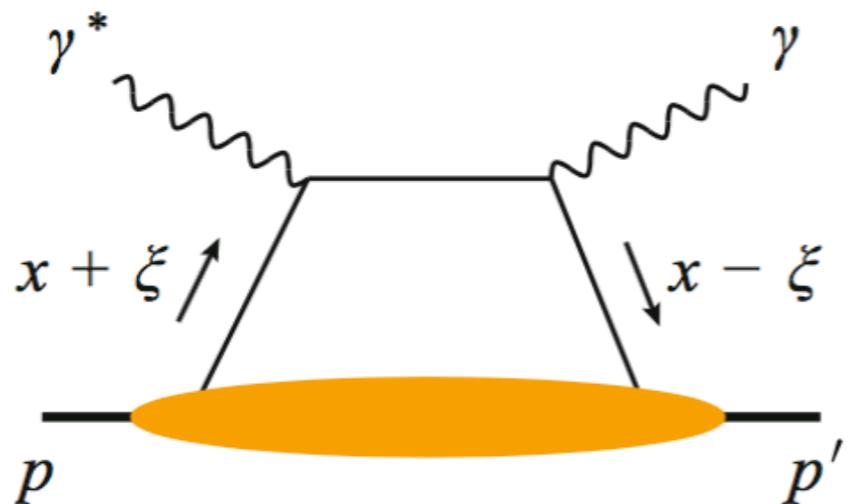
This describes the spatial distribution of quarks inside nucleons

$b_T$  is *not* the Fourier conjugate of  $k_T$

$b_\perp$  = transverse spatial distance w.r.t. the “center” of the proton

The transverse center of longitudinal momentum:  $\mathbf{R}_\perp^{CM} \equiv \sum_i x_i \mathbf{r}_{\perp i}$   
[Burkardt 2000; Soper 1977]

GPDs



At EIC quark GPDs will be extracted in order to study quark OAM

$$J^q = \frac{1}{2} \int dx x [H^q(x, \xi, t=0) + E^q(x, \xi, t=0)]$$

Sivers-like distortions ( $b_T \times S_T$ ) give rise to transverse spin asymmetries

