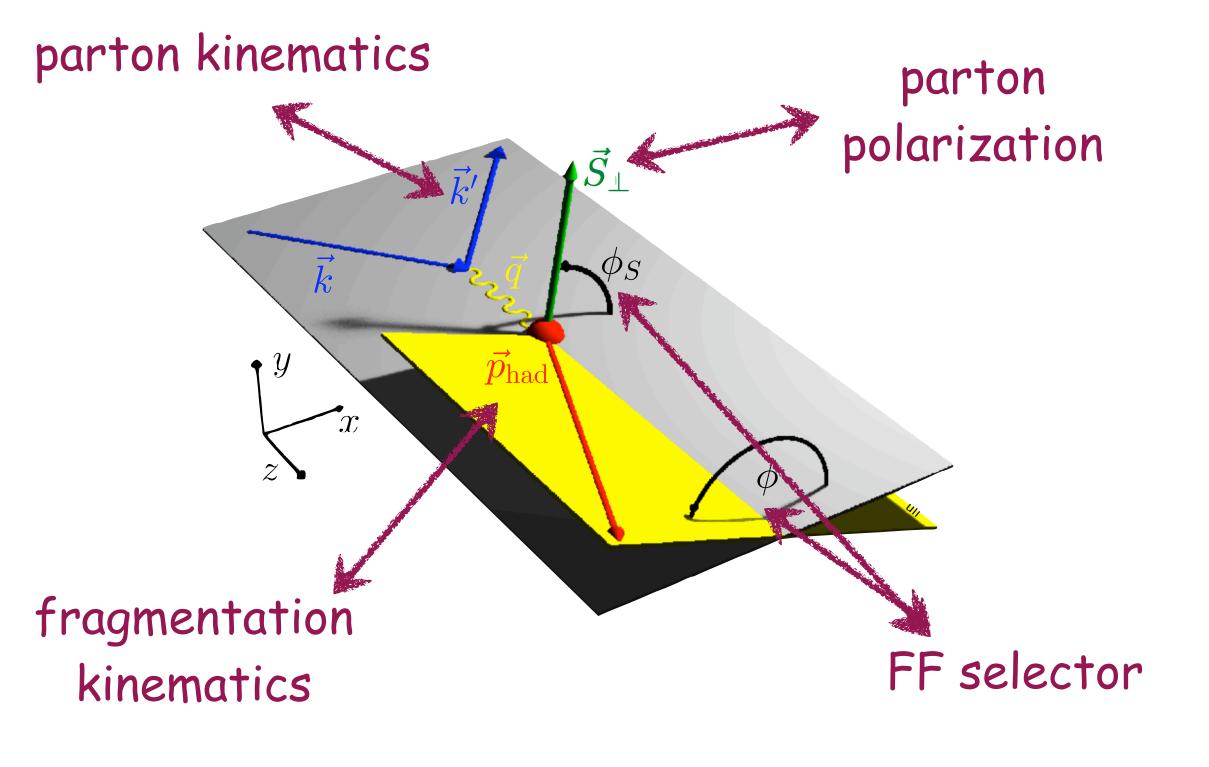
Transversity 2022 Pavia, 23-27 May 2022





Gunar.Schnell @ DESY.de

Investigation of TMDs at hermes









Nuclear Physics B 461 (1996) 197-237

The complete tree-level result up to order 1/Q for polarized deep-inelastic leptoproduction

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Received 18 October 1995; accepted 1 December 1995

PHYSICAL REVIEW D

VOLUME 57, NUMBER 9

1 MAY 1998

NUCLEAR PHYSICS B

Time-reversal odd distribution functions in leptoproduction

D. Boer

National Institute for Nuclear Physics and High-Energy Physics, P.O. Box 41882, NL-1009 DB Amsterdam, The Netherlands

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We consider the various asymmetries, notably single spin asymmetries, that appear in leptoproduction as a consequence of the presence of time-reversal odd distribution functions. This could facilitate experimental searches for time-reversal odd phenomena. [S0556-2821(98)02709-X]

PACS number(s): 13.85.Ni, 13.87.Fh, 13.88.+e

186+31 equations



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186+31 equations

Azimuthal single- and double-spin asymmetries in semi-inclusive deep-inelastic lepton scattering by transversely polarized protons



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The HERMES Collaboration

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JHE Д \vdash \mathbb{N} \frown \mathbb{N} \bigcirc \mathbb{N} \bigcirc \checkmark \bigcirc \vdash \bigcirc



🍾 to you, Piet! 🍾

Supplemental Material: Azimuthal single- and double-spin asymmetries in semi-inclusive deep-inelastic lepton scattering by transversely polarized protons

The HERMES Collaboration

ABSTRACT: The data tables of azimuthal single-spin and double-spin asymmetries in semiinclusive leptoproduction of pions, charged kaons, protons, and antiprotons from transversely polarized protons are presented. The sine of the polar angle between the leptonbeam and the virtual-photon directions is tabulated to facilitate corrections for the contribution from the longitudinal target-polarization component. The data tables are complemented with additional figures of rapidity, transverse momentum versus Q^2 , as well as of numerous two-dimensional distributions in typical kinematics of the deep-inelastic scattering process.

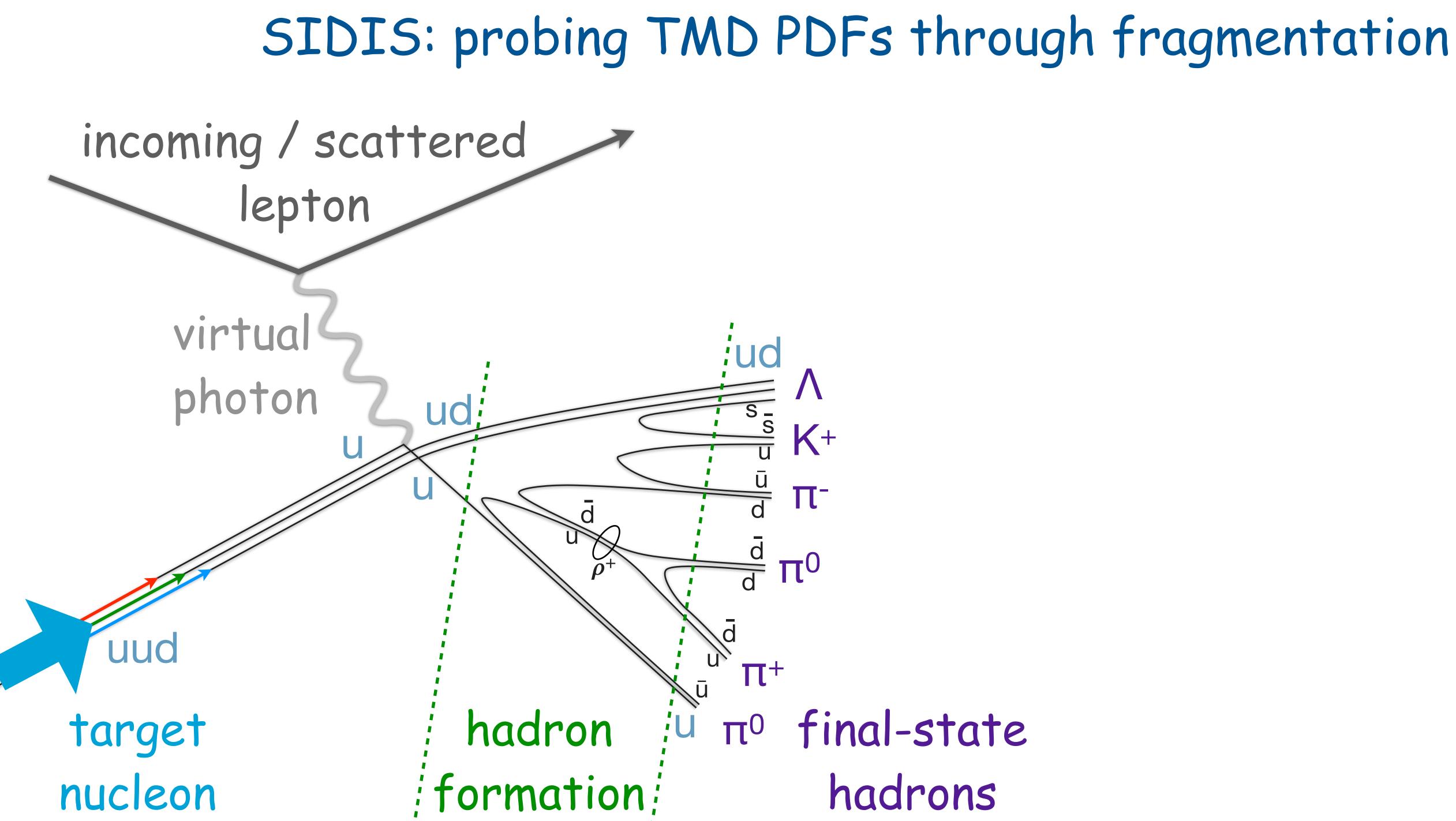
KEYWORDS: Lepton-nucleon scattering, fixed-target experiments, QCD, polarization

ARXIV EPRINT: 2007.07755

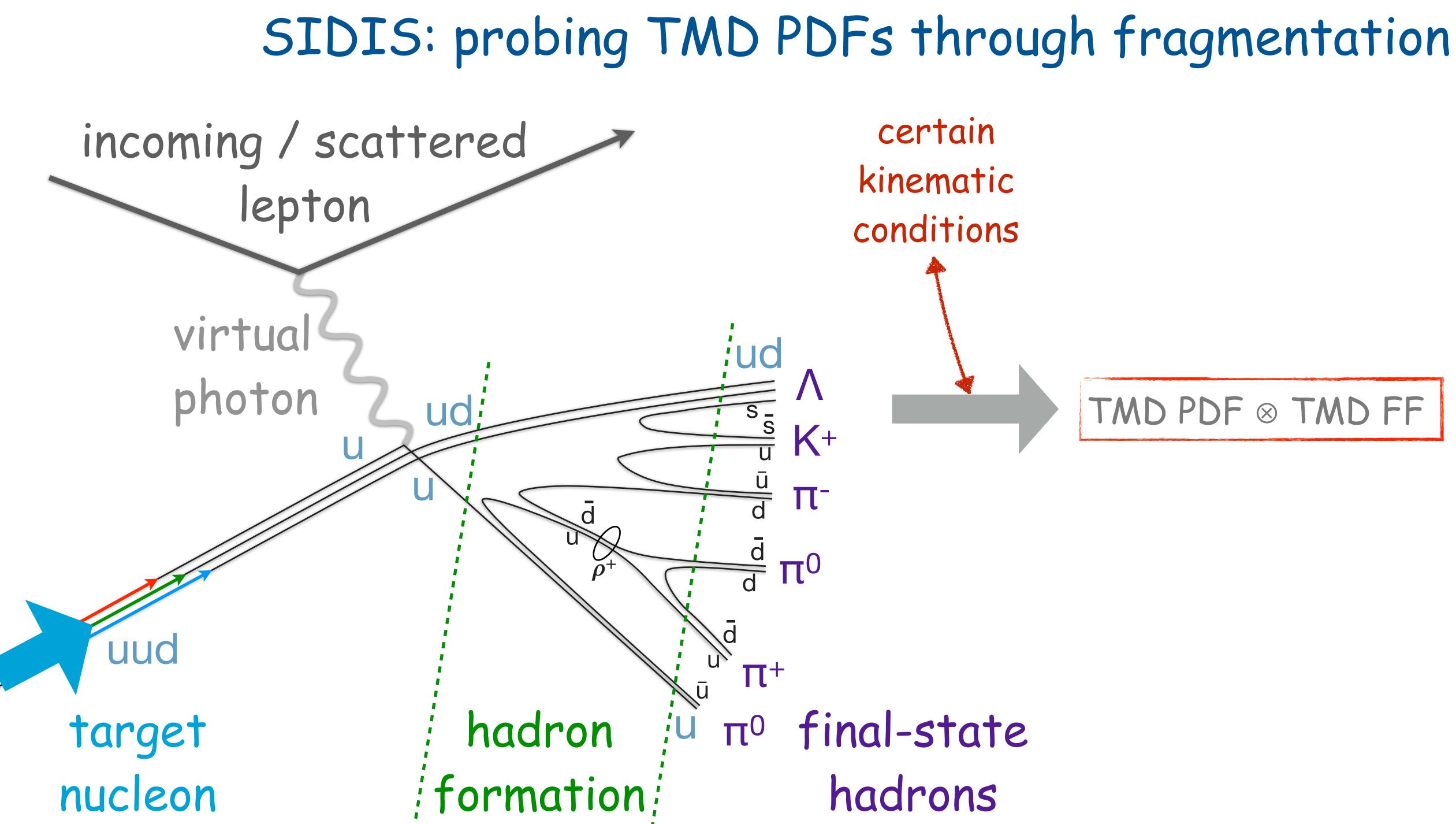
83+115 pages





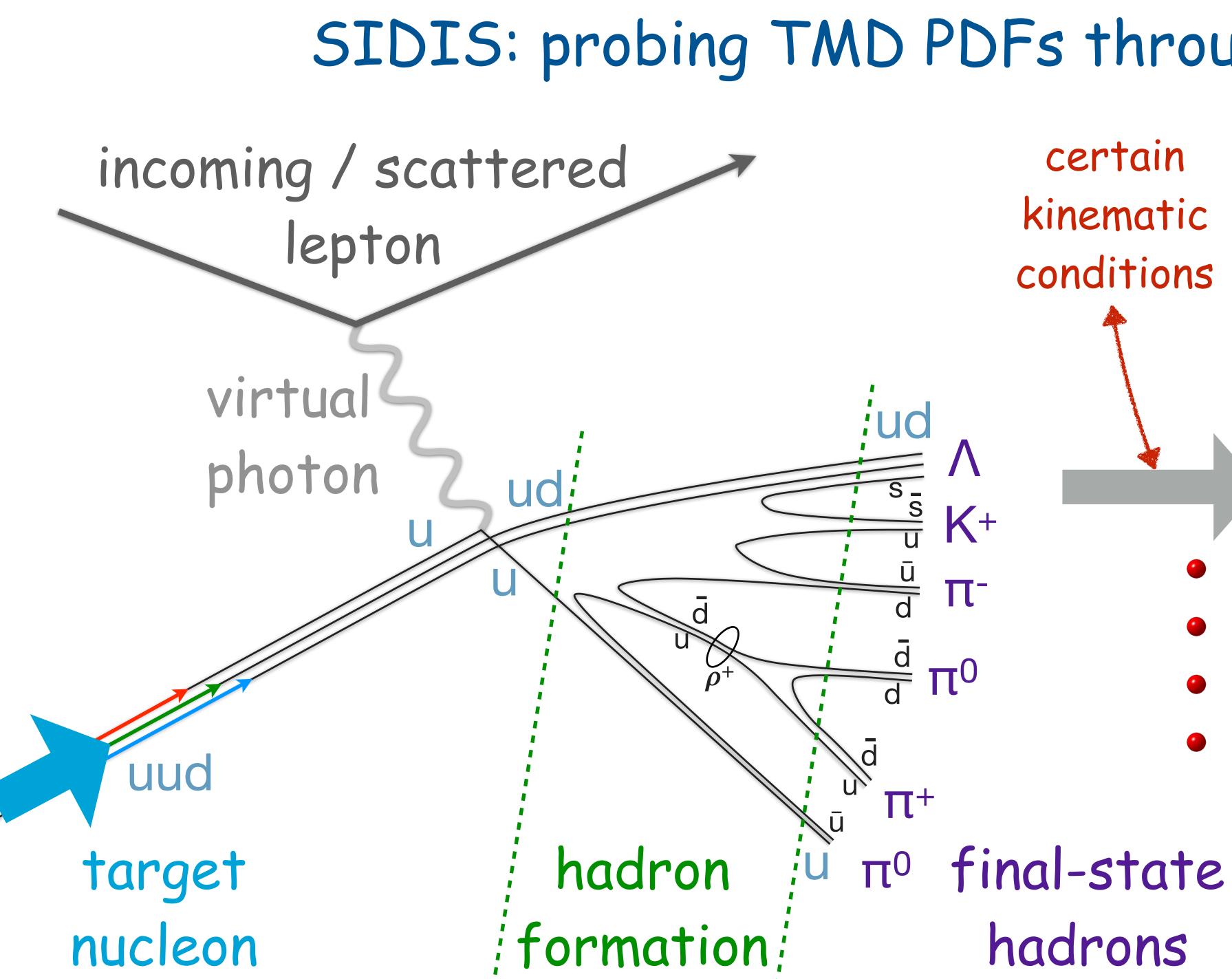












SIDIS: probing TMD PDFs through fragmentation

certain kinematic conditions

- scattering by partons
- sufficient energy for hadronization
- current vs. target fragmentation
- low enough transverse momentum for TMD factorization

hadrons

iud

K+

π-

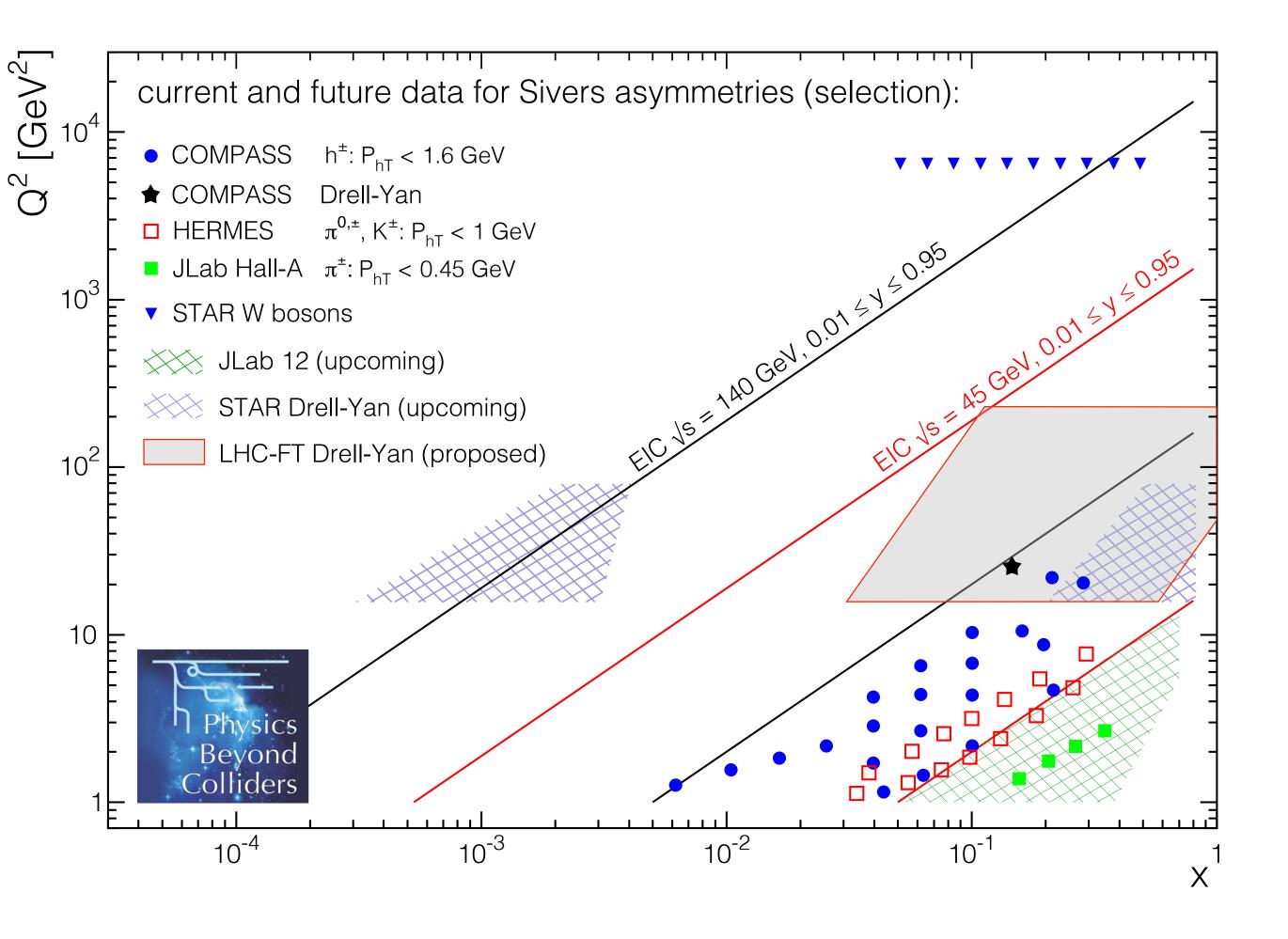
 π^0

Τ+



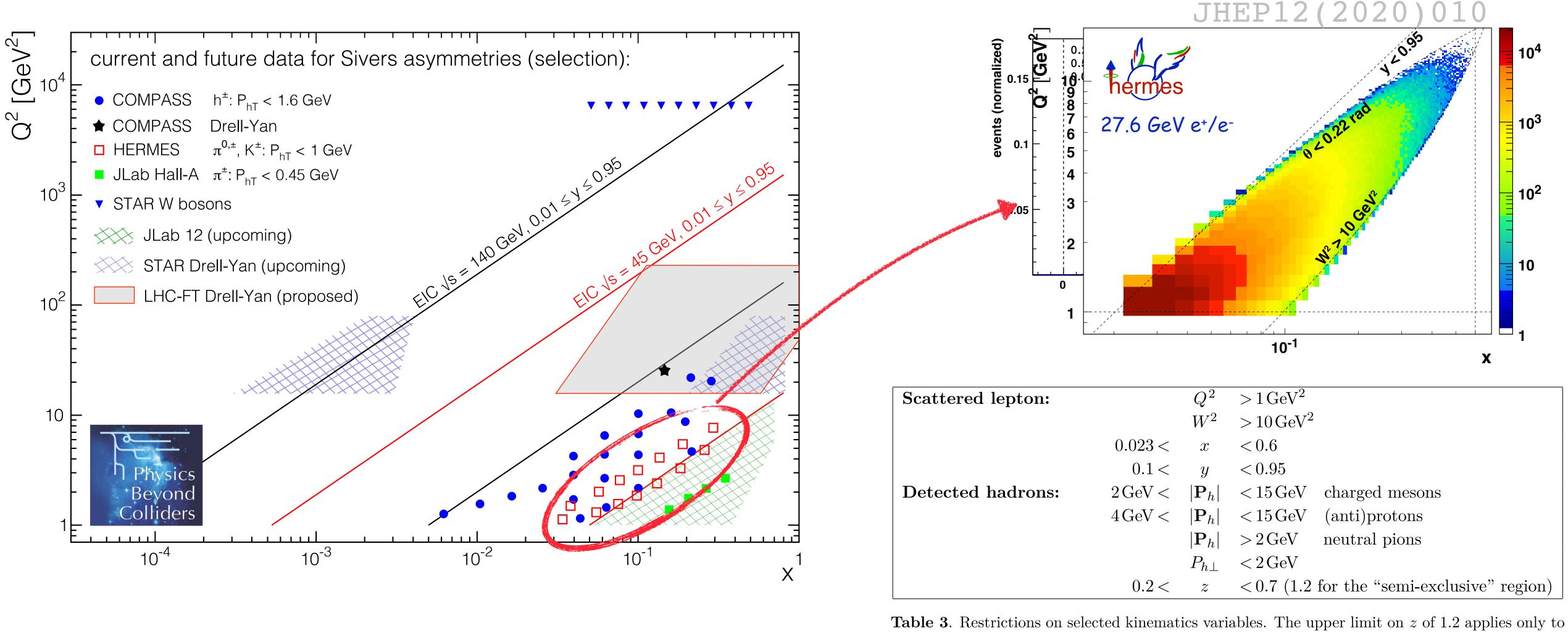


2d kinematic phase space





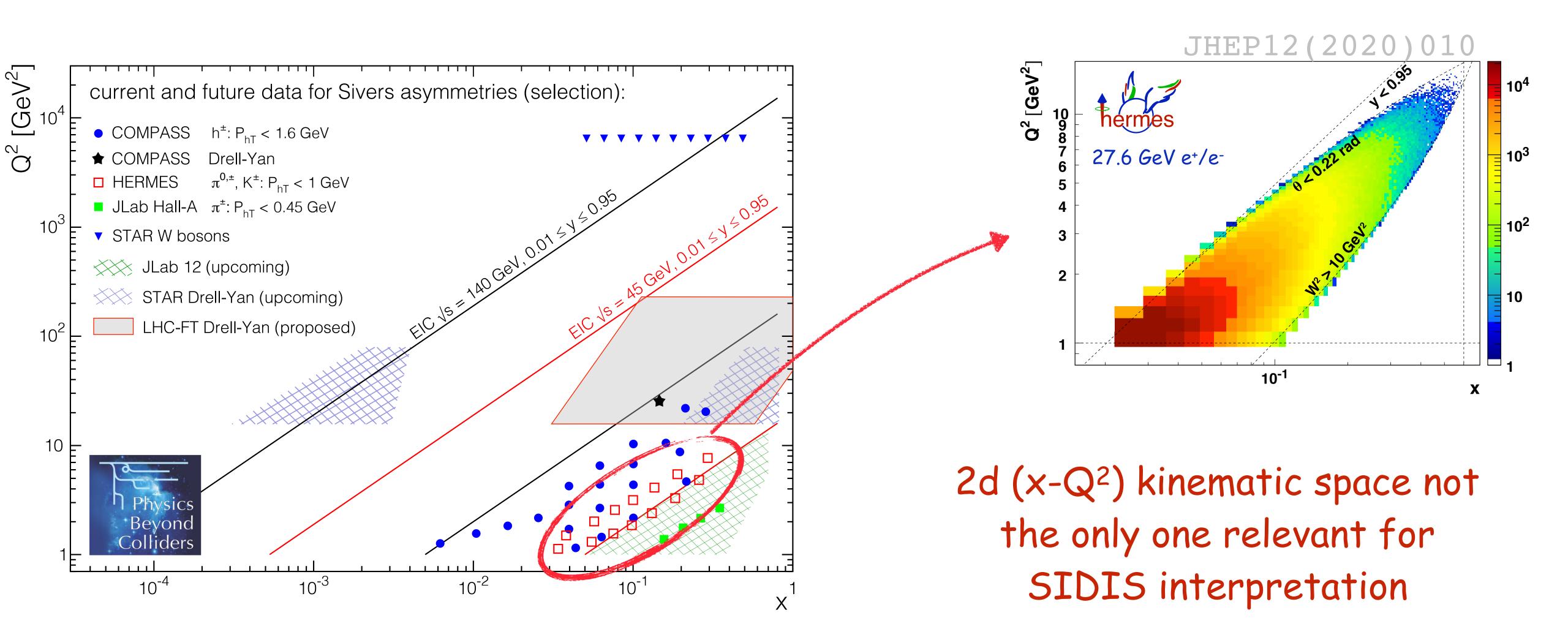
2d kinematic phase space



the analysis of the z dependence.



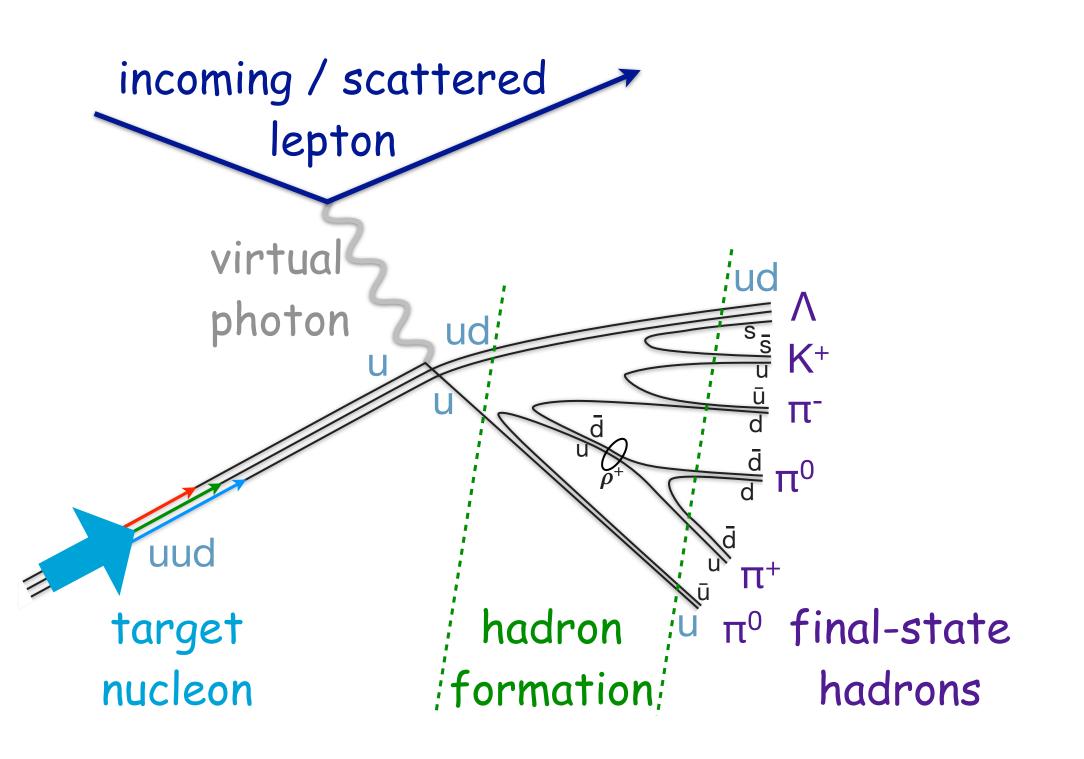
2d kinematic phase space

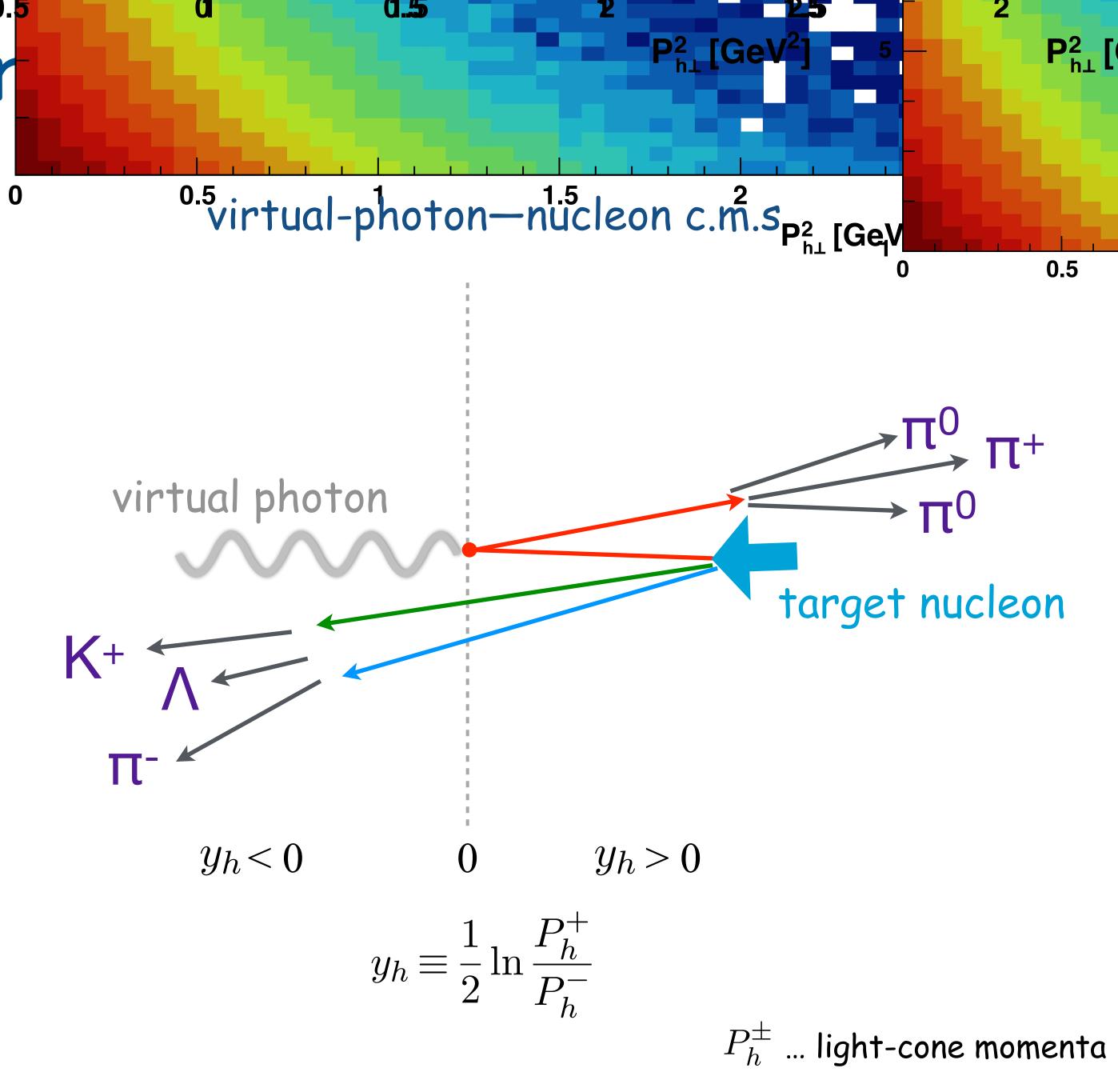


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0.5 current vs. tar

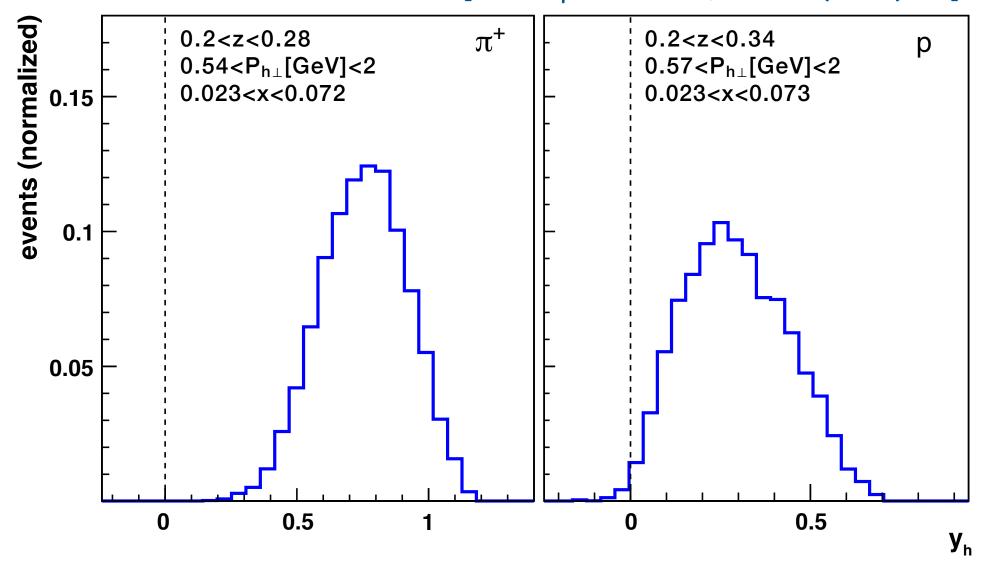


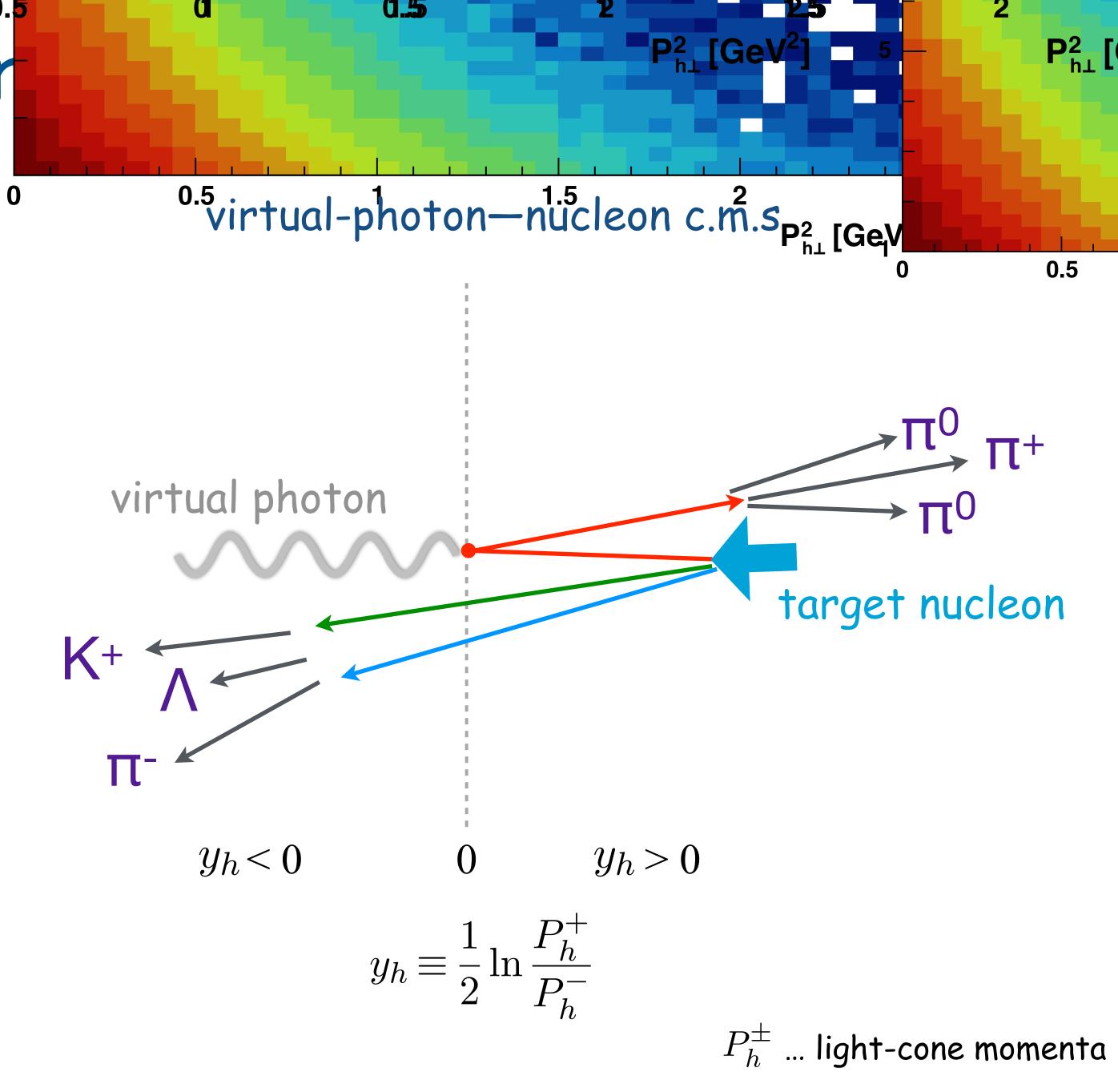




0 0.5 current vs. tar

[A. Airapetian et al., JHEP12(2020)010]

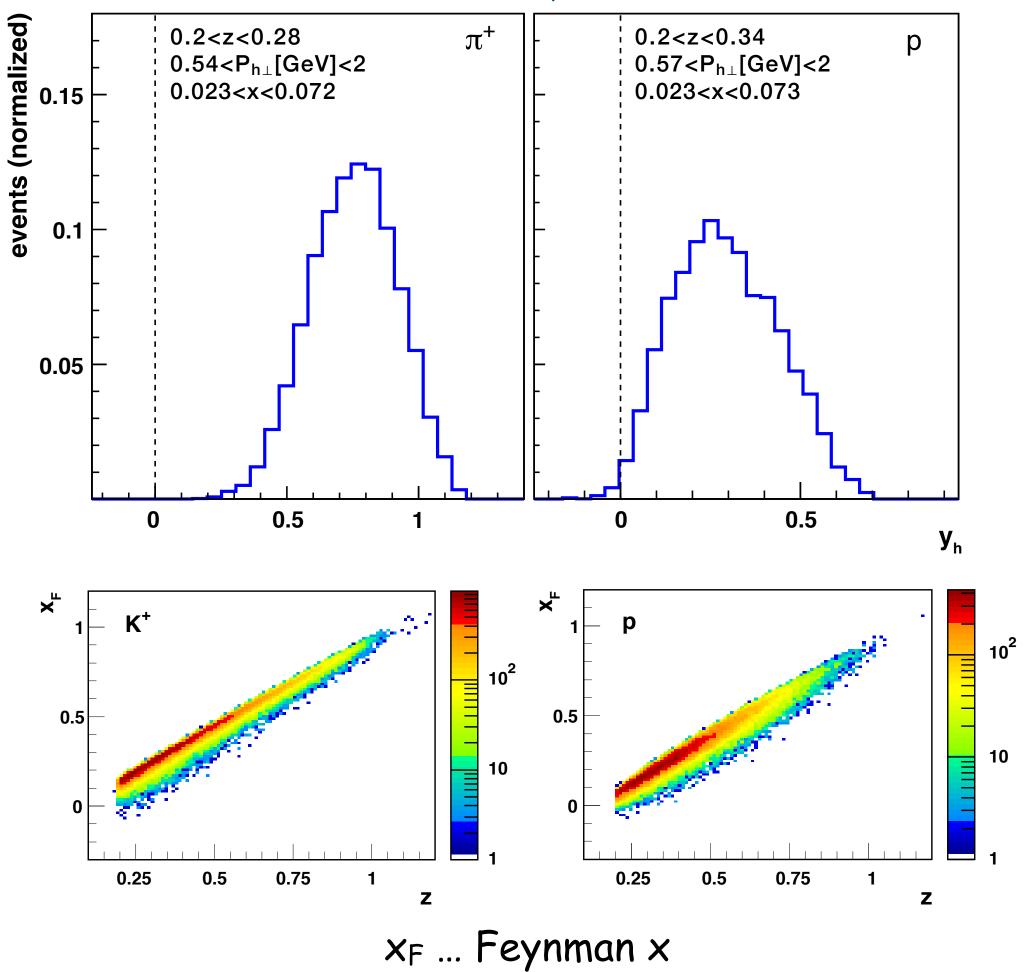




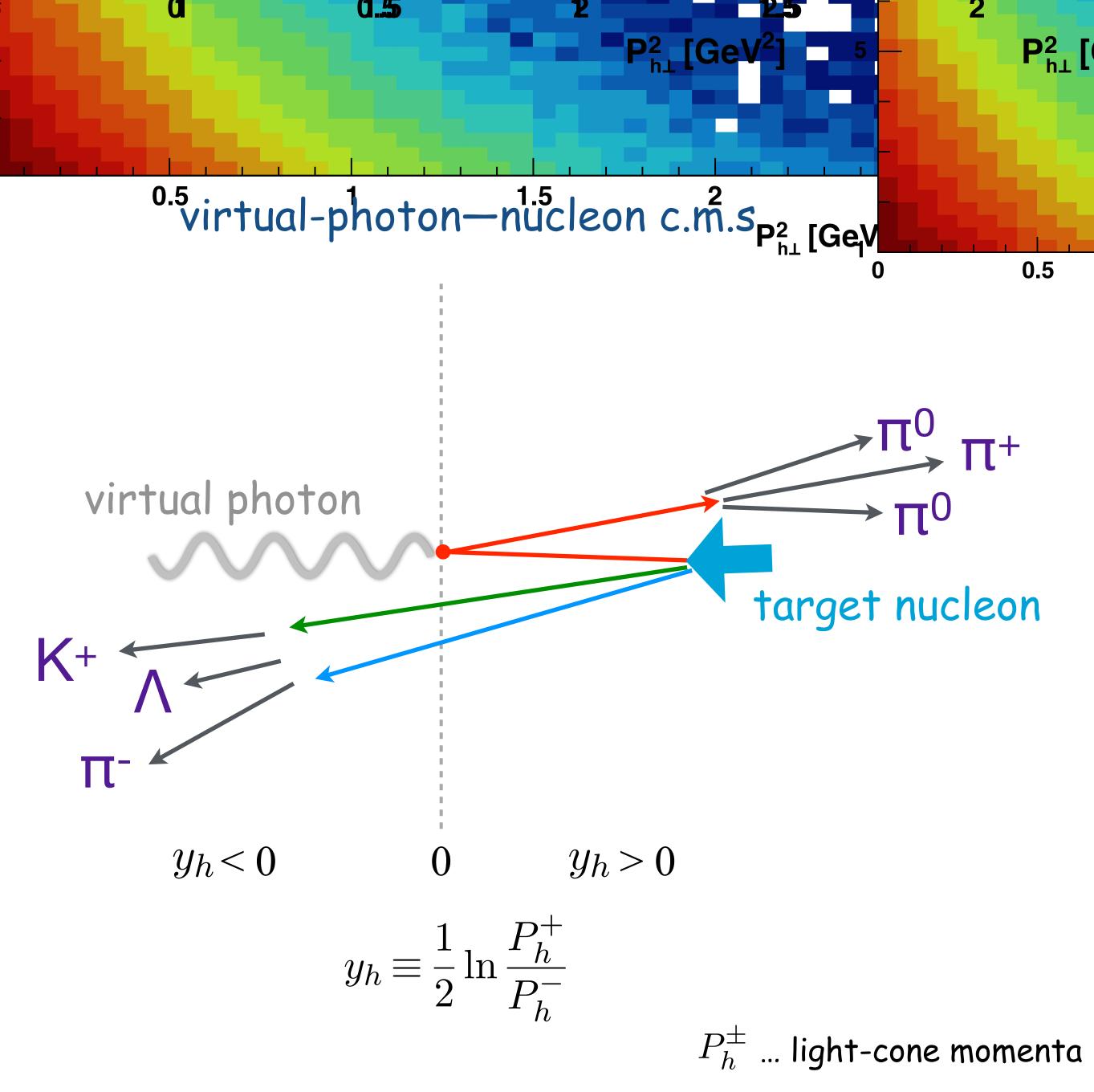


0.5 current vs. tar





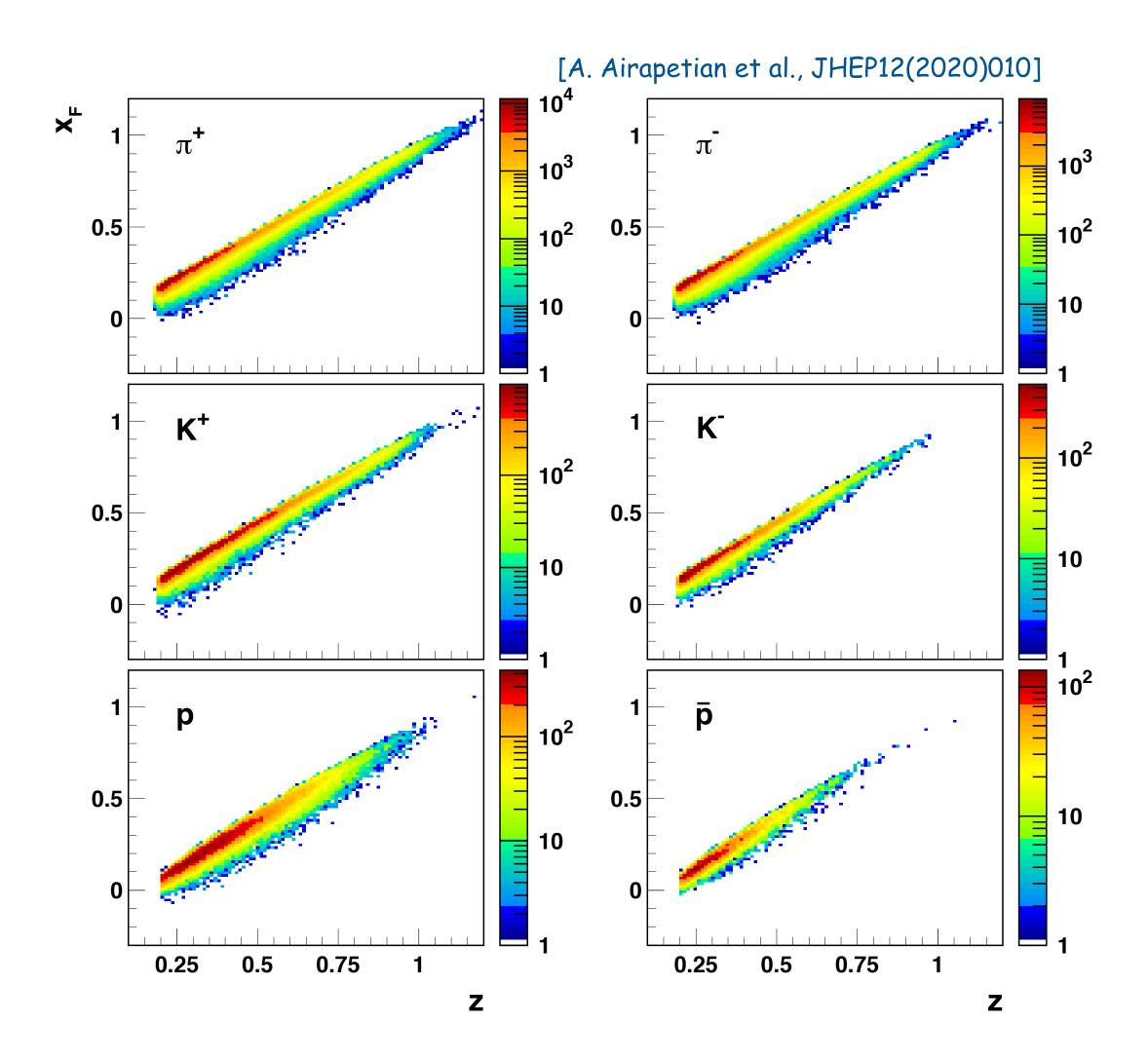
selected hadrons at HERMES mainly forward-going in photon-nucleon c.m.s. Gunar Schnell



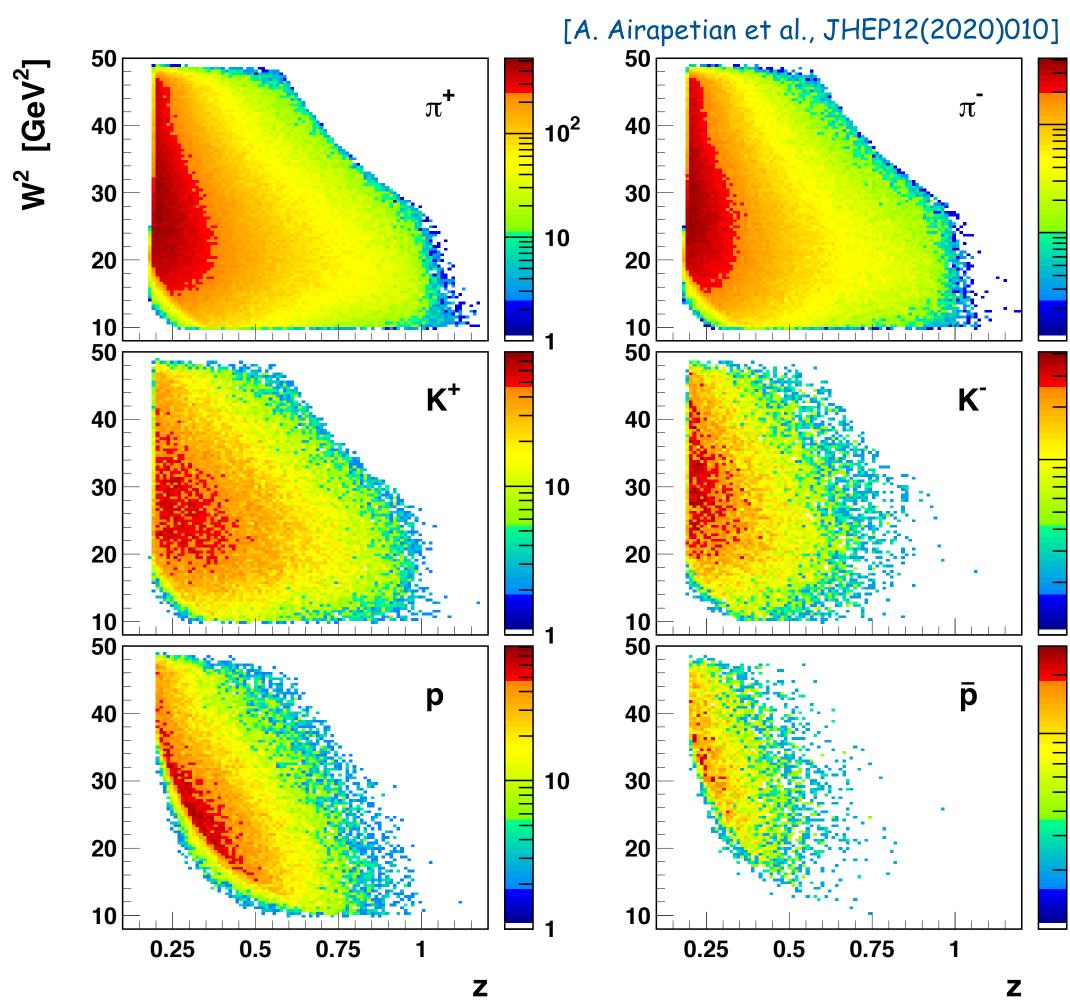
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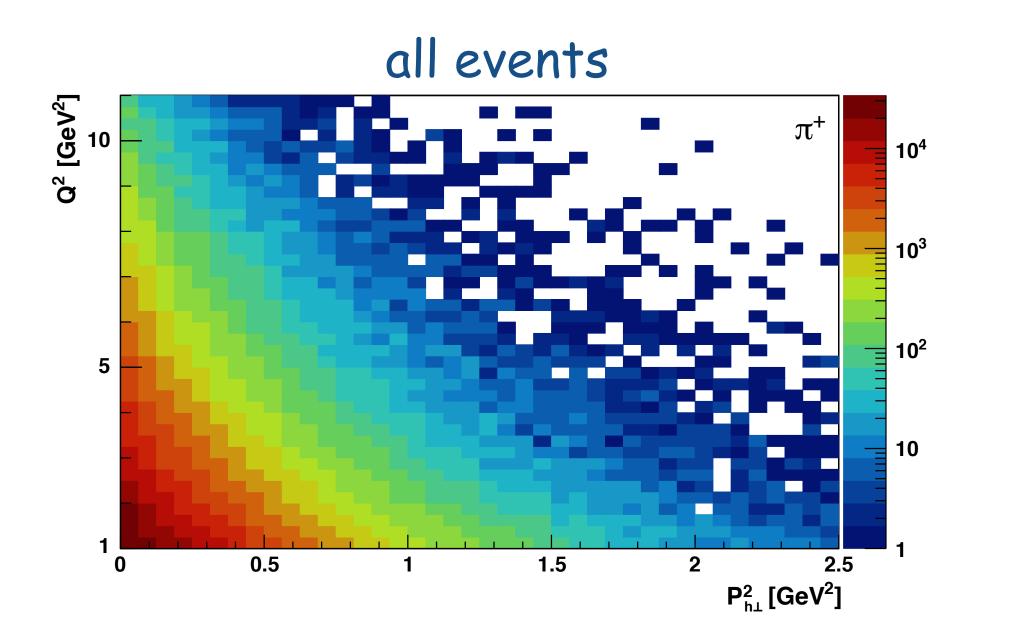
current vs. target fragmentation



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Transversity 2022



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- TMD factorization requires a large scale (Q^2) and small transverse momentum
- overall, Q mainly larger than $P_{h\perp}$
- not fulfilled in all kinematic bins
- more challenging, especially at low x (=low Q^2), for more stringent constraint of $zQ \gg P_{h\perp}$

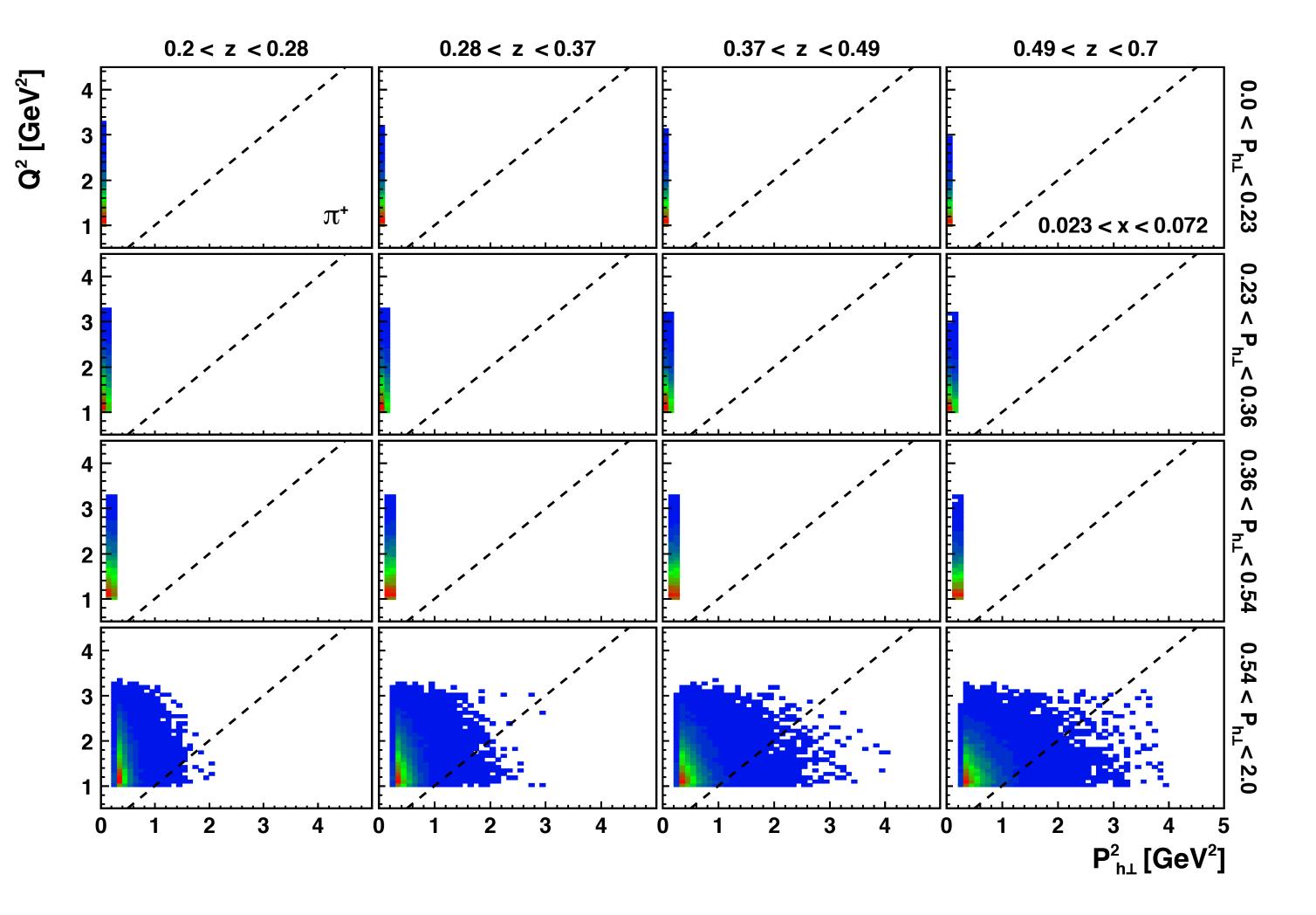




Transversity 2022

 $Q^2 = P^2_{h\perp}$

lowest x bin



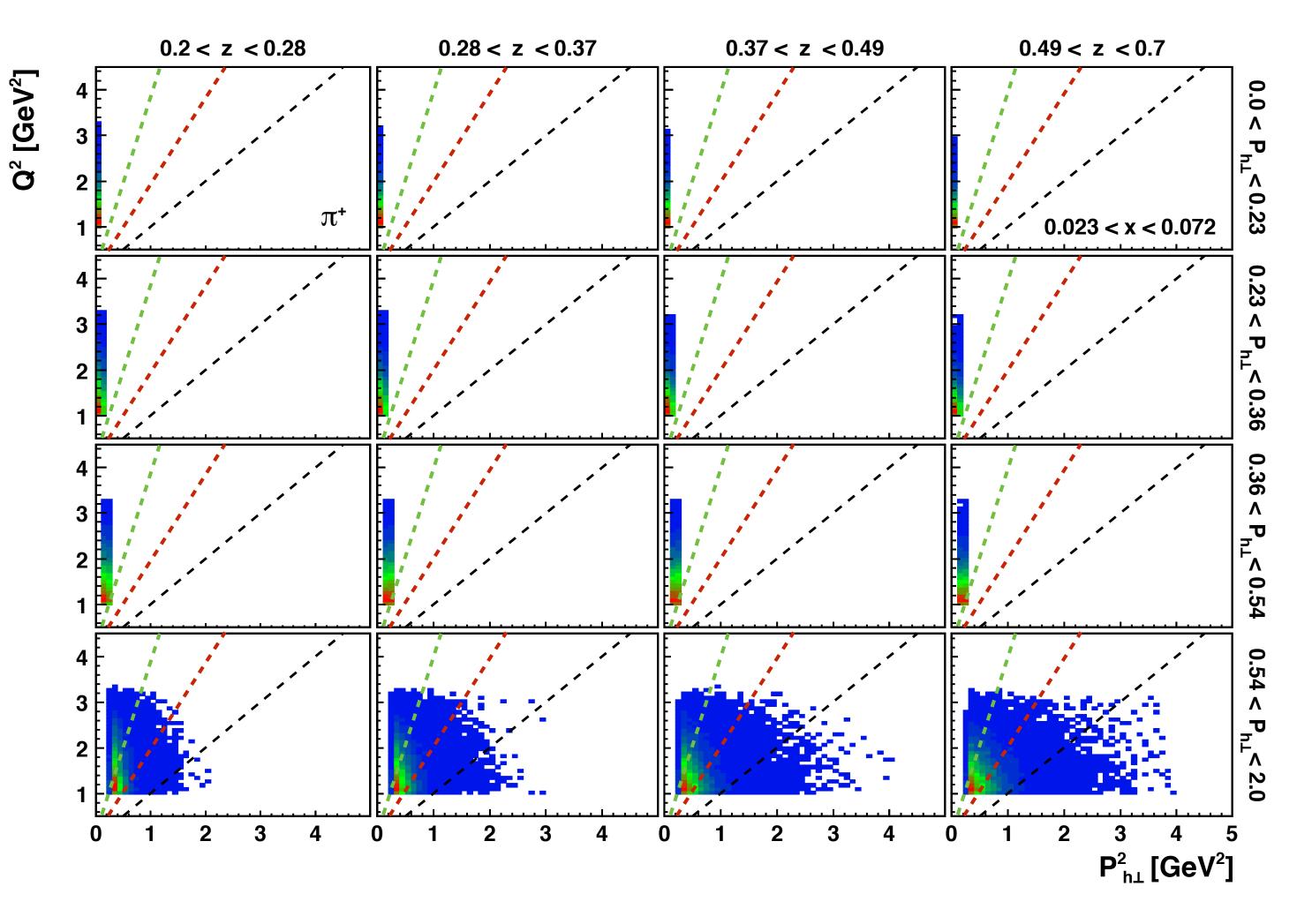
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9



lowest x bin



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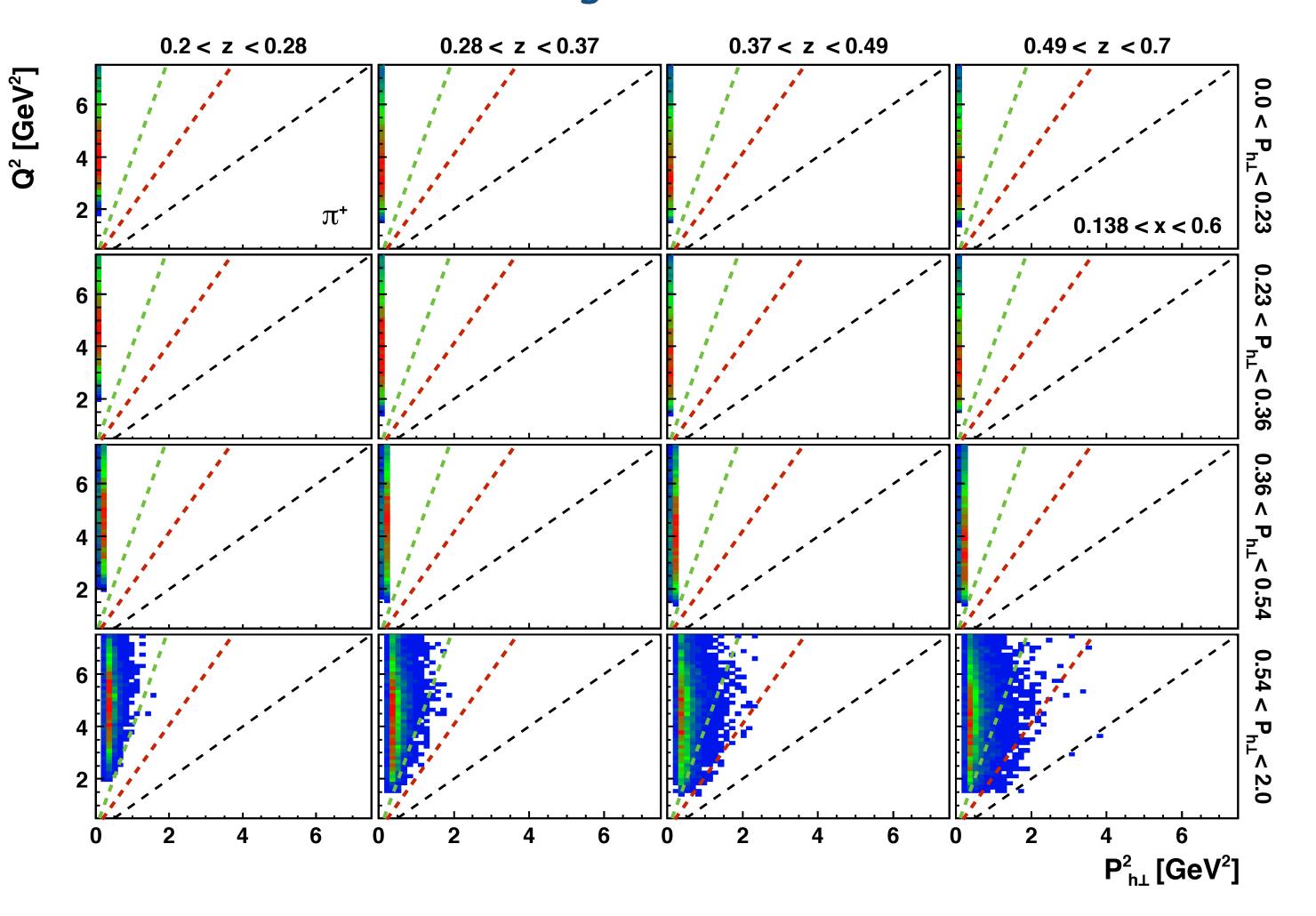
 $Q^2 = P^2_{h\perp}$ $Q^2 = 2 P^2_{h\perp}$ $Q^2 = 4 P^2_{h\perp}$

disclaimer: coloured lines drawn by hand





highest x bin



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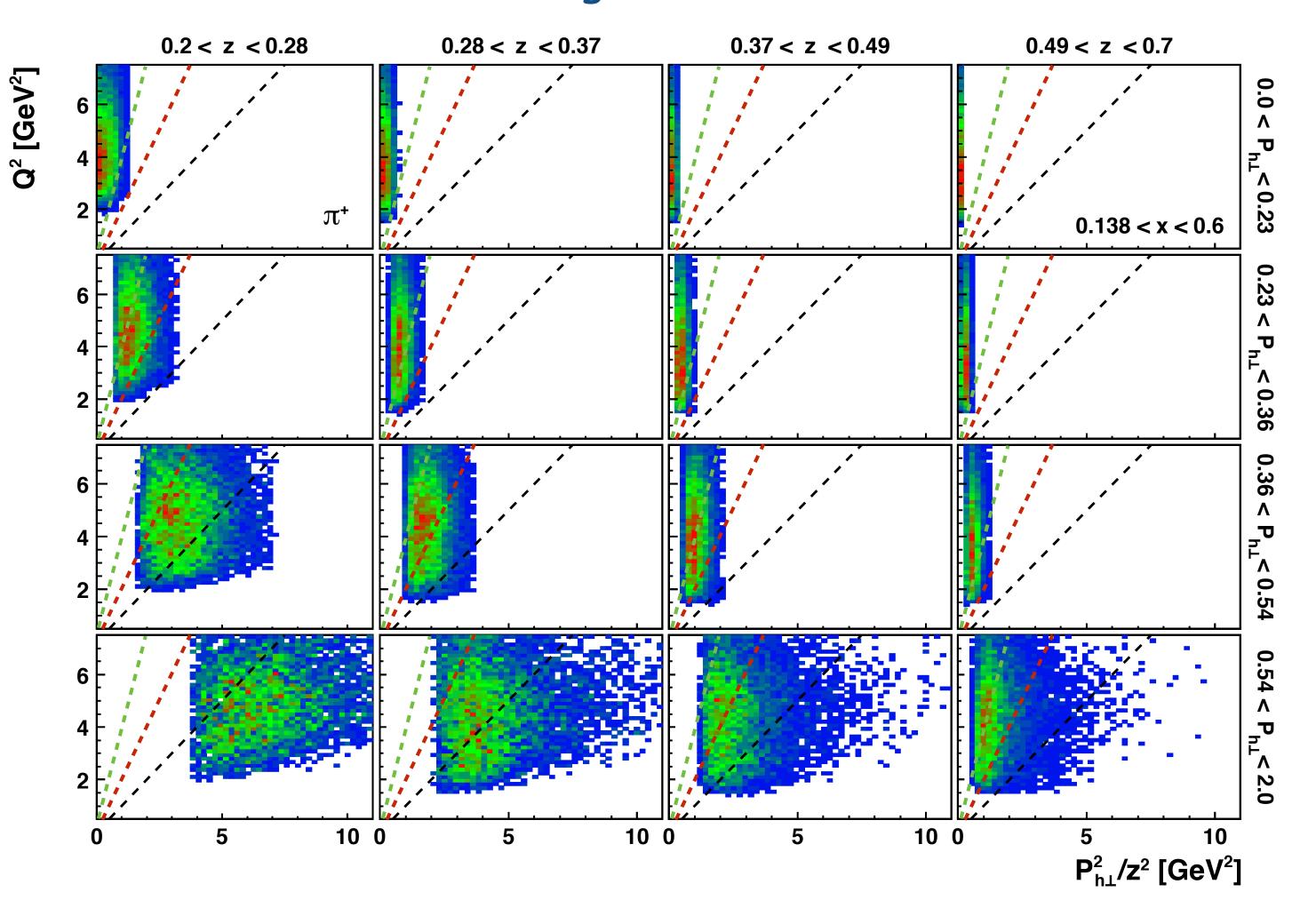
 $Q^2 = P^2_{h\perp}$ $Q^2 = 2 P^2_{h\perp}$ $Q^2 = 4 P^2_{h\perp}$

disclaimer: coloured lines drawn by hand





highest x bin



Gunar Schnell

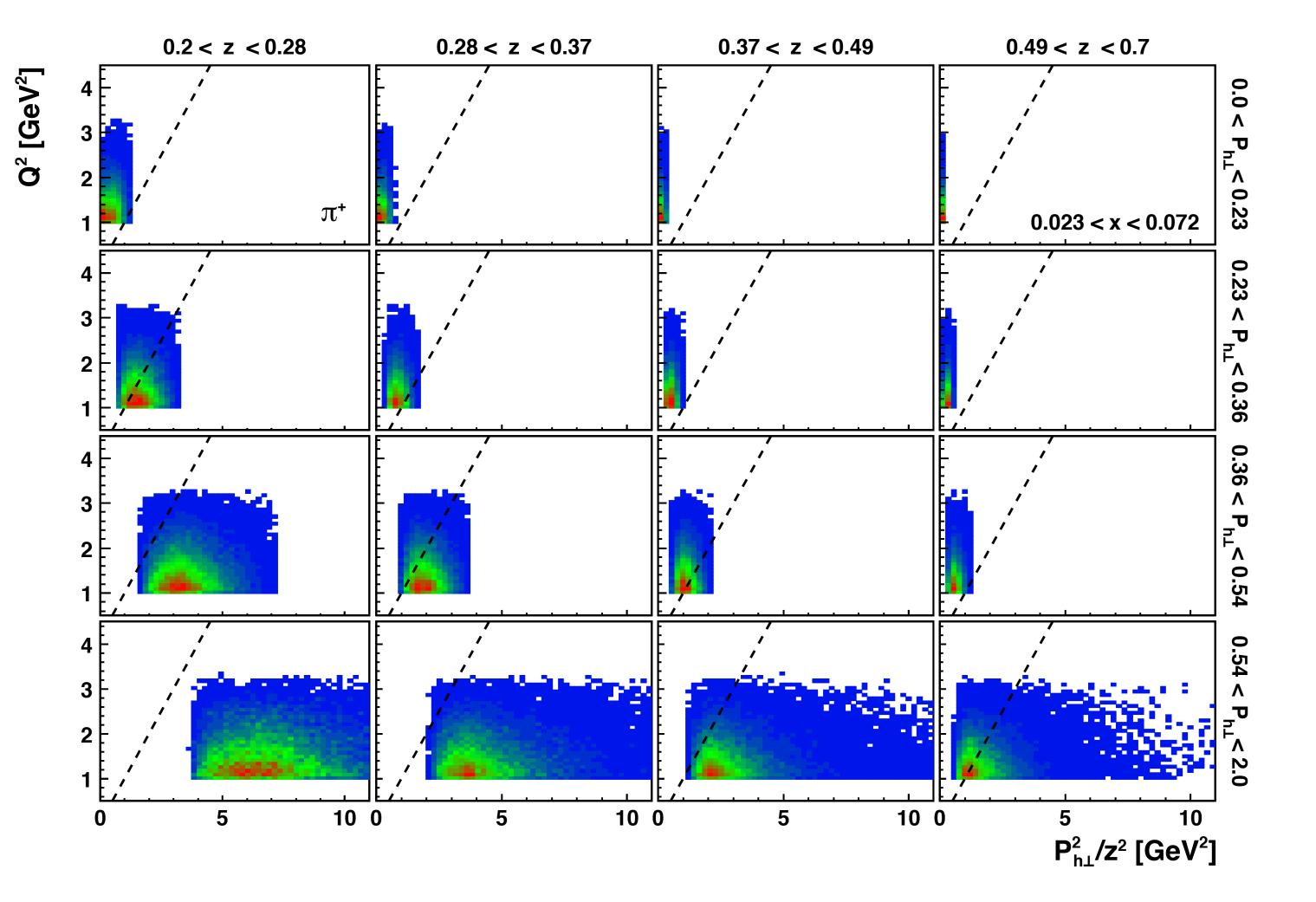
 $Q^2 = P^2_{h\perp}/z^2$ $Q^2 = 2 P^2_{h\perp}/z^2$ $Q^2 = 4 P^2_{h\perp}/z^2$

disclaimer: coloured lines drawn by hand





lowest x bin



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 $Q^2 = P^2_{h\perp}/z^2$

all other x-bins included in the Supplemental Material of JHEP12(2020)010



Transversity 2022

excluding transverse polarization:

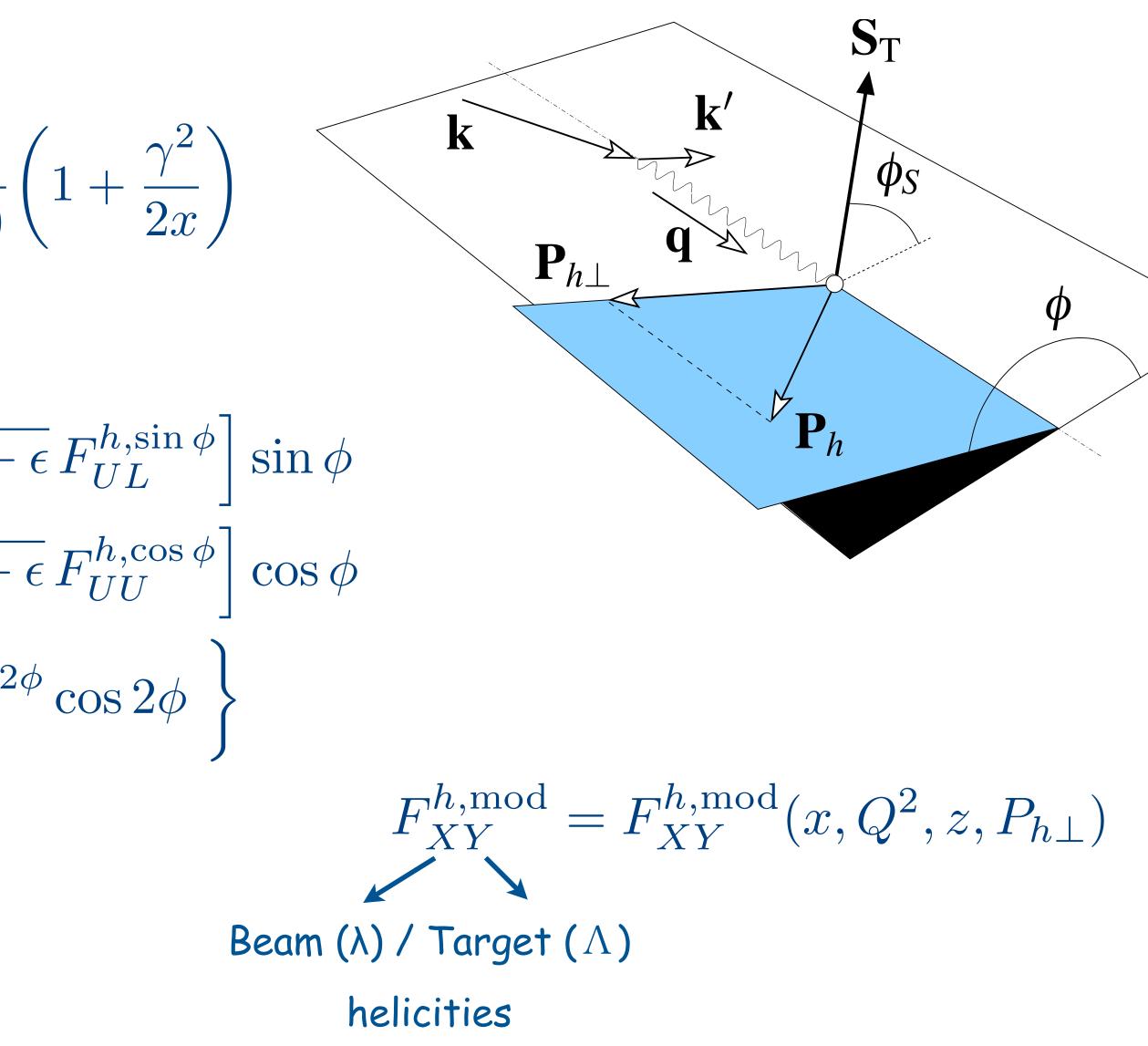
$$\frac{\mathrm{d}\sigma^{h}}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}P_{h\perp}^{2}\,\mathrm{d}\phi} = \frac{2\pi\alpha^{2}}{xyQ^{2}}\frac{y^{2}}{2(1-\epsilon)}\left(\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \lambda\Lambda\sqrt{1-\epsilon^{2}}F_{LL}^{h}\right\}\right)\right)$$

$$+\sqrt{2\epsilon}\left[\lambda\sqrt{1-\epsilon}F_{LU}^{h,\sin\phi} + \Lambda\sqrt{1+\epsilon}\right]$$

$$+\sqrt{2\epsilon}\left[\lambda\sqrt{1-\epsilon}F_{LL}^{h,\cos\phi} + \sqrt{1+\epsilon}\right]$$

$$+\Lambda\epsilon F_{UL}^{h,\sin2\phi}\sin2\phi + \epsilon F_{UU}^{h,\cos2\phi}$$

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excluding transverse polarization:

$$\frac{\mathrm{d}\sigma^{h}}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}P_{h\perp}^{2}\,\mathrm{d}\phi} = \frac{2\pi\alpha^{2}}{xyQ^{2}}\frac{y^{2}}{2(1-\epsilon)}\left(\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \lambda\Lambda\sqrt{1-\epsilon^{2}}F_{LL}^{h}\right\}\right)\right)$$

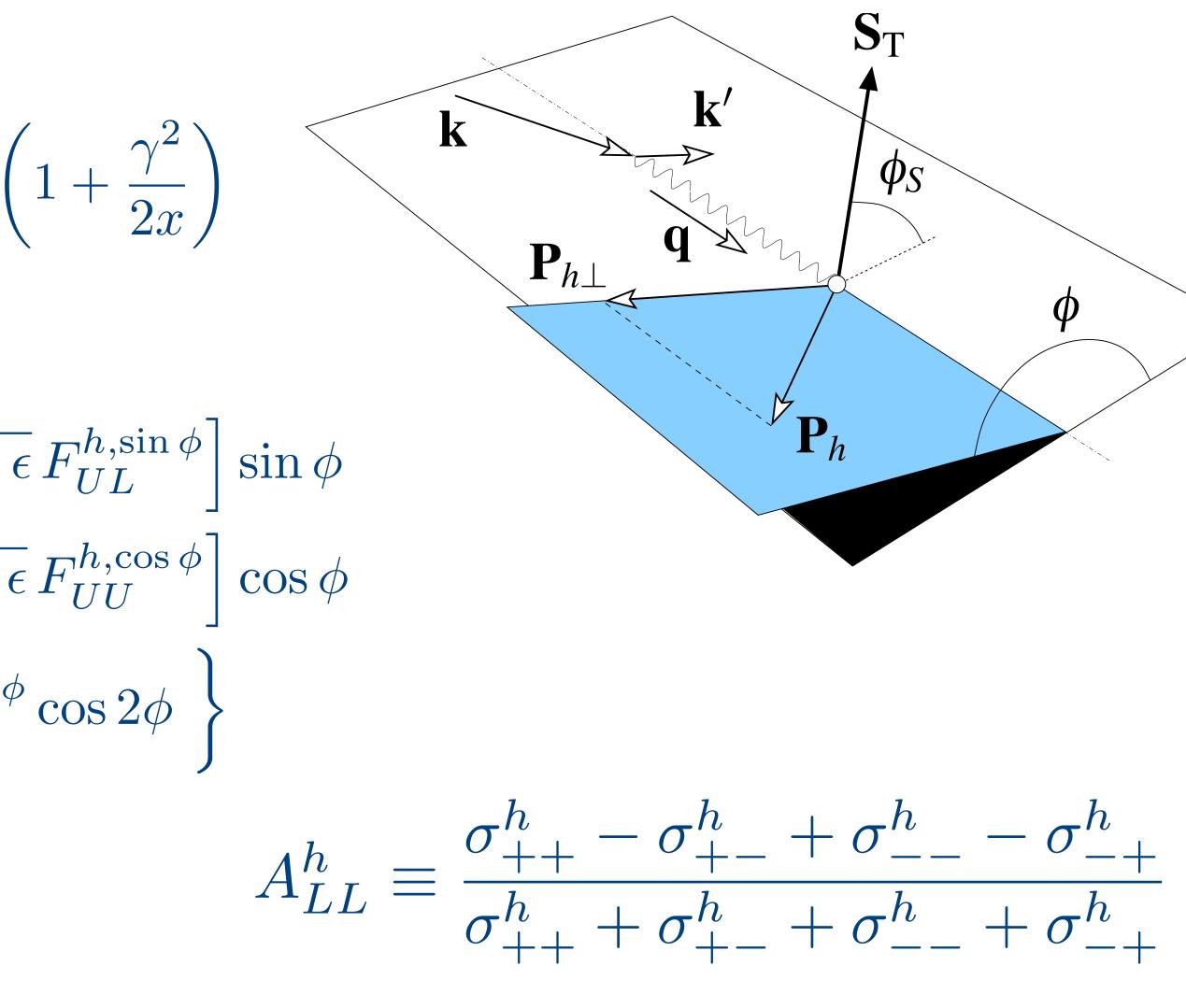
$$\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \lambda\sqrt{1-\epsilon}F_{LU}^{h,\sin\phi} + \Lambda\sqrt{1+\epsilon}\right\}$$

$$+\sqrt{2\epsilon}\left[\lambda\sqrt{1-\epsilon}F_{LU}^{h,\sin\phi} + \sqrt{1+\epsilon}F_{LL}^{h,\cos\phi} + \sqrt{1+\epsilon}F_{LL}^{h,\cos\phi}\right]$$

$$+\Lambda\epsilon F_{UL}^{h,\sin2\phi}\sin2\phi + \epsilon F_{UU}^{h,\cos2\phi}$$

double-spin asymmetry:

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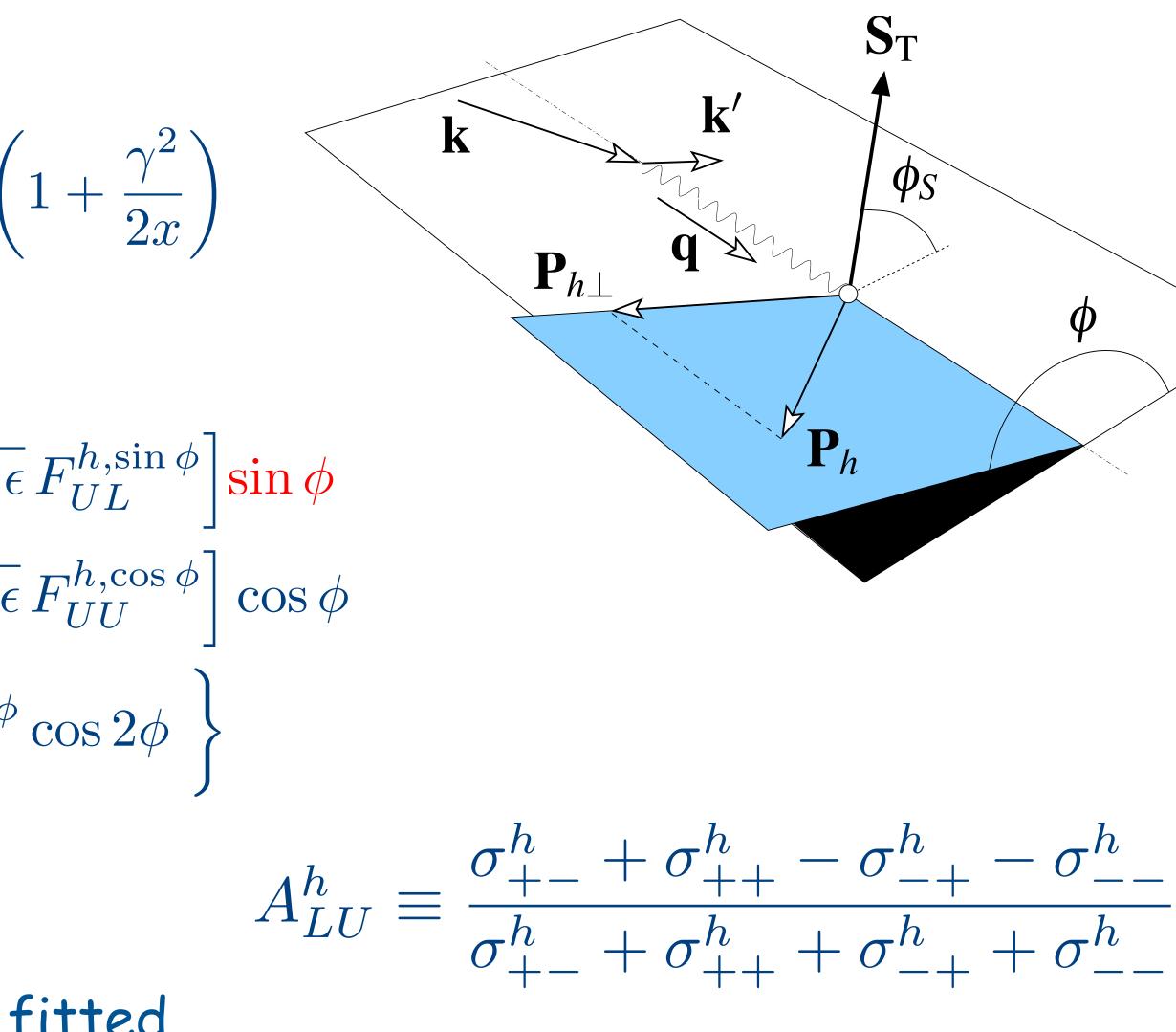
excluding transverse polarization:

$$\frac{\mathrm{d}\sigma^{h}}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}P_{h\perp}^{2}\,\mathrm{d}\phi} = \frac{2\pi\alpha^{2}}{xyQ^{2}}\frac{y^{2}}{2(1-\epsilon)}\left(\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \lambda\Lambda\sqrt{1-\epsilon^{2}}F_{LL}^{h}\right.\right.\right.$$
$$\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \lambda\Lambda\sqrt{1-\epsilon^{2}}F_{LL}^{h}\right.$$
$$\left. + \sqrt{2\epsilon}\left[\lambda\sqrt{1-\epsilon}F_{LU}^{h,\sin\phi} + \Lambda\sqrt{1+\epsilon\epsilon}\right.\right.$$
$$\left. + \sqrt{2\epsilon}\left[\lambda\Lambda\sqrt{1-\epsilon}F_{LL}^{h,\cos\phi} + \sqrt{1+\epsilon\epsilon}\right.\right.$$
$$\left. + \Lambda\epsilon F_{UL}^{h,\sin2\phi}\sin2\phi + \epsilon F_{UU}^{h,\cos2\phi}\right.\right]$$

single-spin asymmetry:

explicit angular dependence to be fitted

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with transverse target polarization:

$$\frac{\mathrm{d}\sigma^{h}}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}P_{h\perp}^{2}\,\mathrm{d}\phi\,\mathrm{d}\phi_{s}} = \frac{2\pi\alpha^{2}}{xyQ^{2}}\frac{y^{2}}{2(1-\epsilon)}\left(1+\frac{\gamma^{2}}{2x}\right)$$

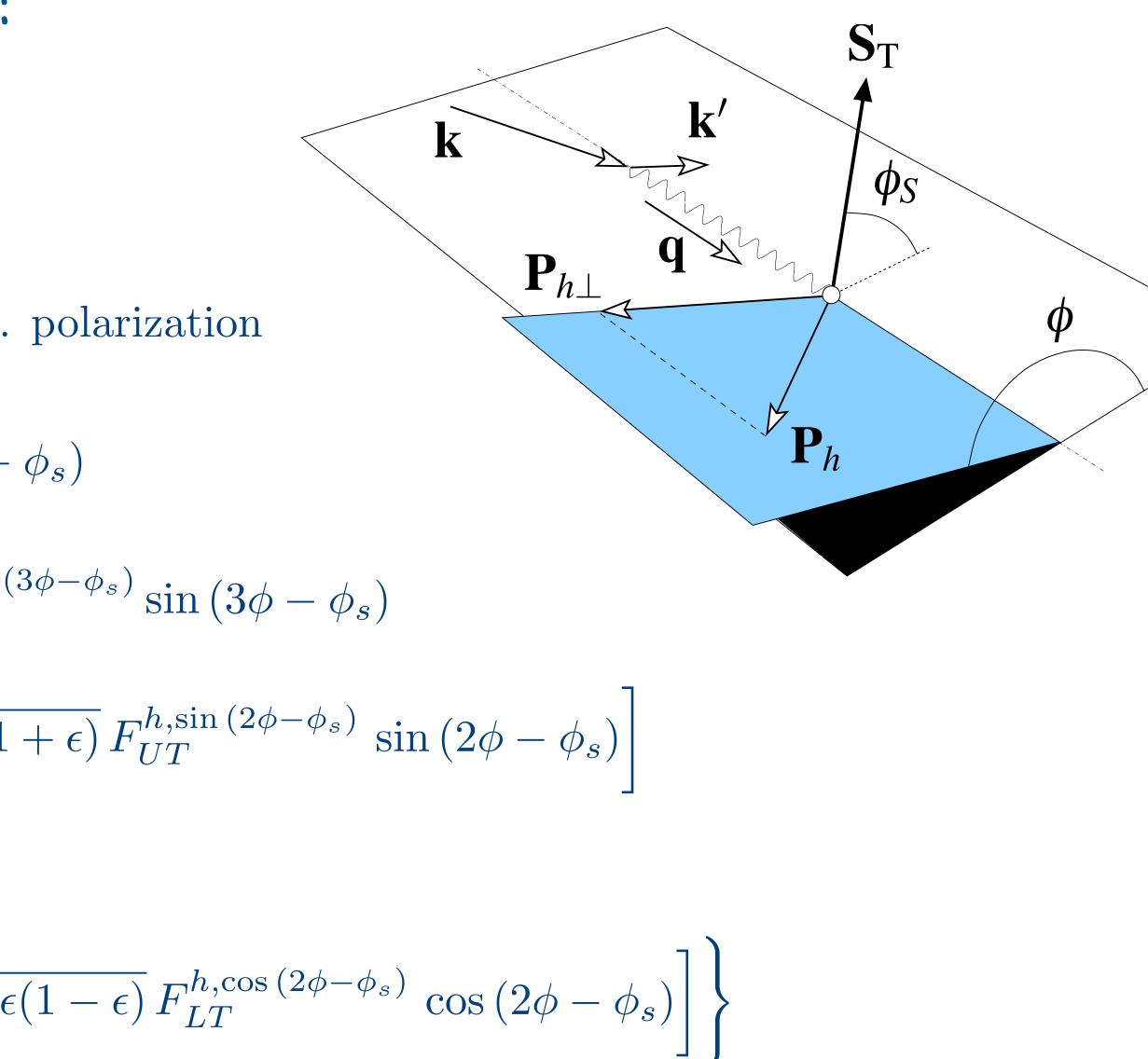
$$\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \text{ terms not involving transv.}\right.$$

$$+ S_{T}\left[\left(F_{UT,T}^{h,\sin\left(\phi-\phi_{s}\right)} + \epsilon F_{UT,L}^{h,\sin\left(\phi-\phi_{s}\right)}\right)\sin\left(\phi-\phi_{s}\right)\right] + \epsilon F_{UT}^{h,\sin\left(\phi+\phi_{s}\right)}\sin\left(\phi+\phi_{s}\right) + \delta F_{UT}^{h,\sin\left(\phi+\phi_{s}\right)}\sin\left(\phi-\phi_{s}\right)$$

$$+ \sqrt{2\epsilon(1-\epsilon)}F_{LT}^{h,\cos\phi_{s}}\cos\left(\phi-\phi_{s}\right)$$

$$+ \sqrt{2\epsilon(1-\epsilon)}F_{LT}^{h,\cos\phi_{s}}\cos\phi_{s} + \sqrt{2\epsilon}$$

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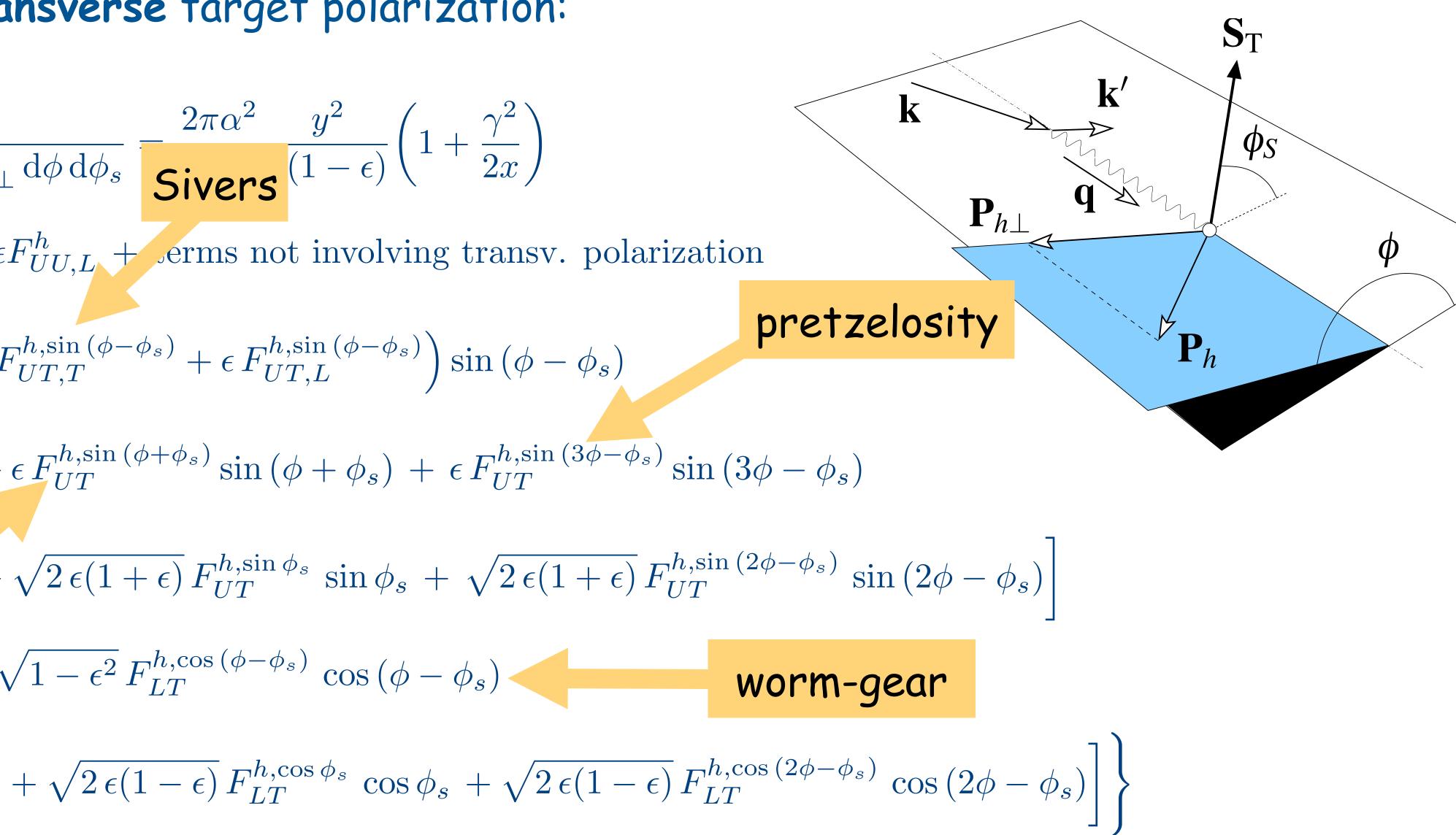
with transverse target polarization:

$$\frac{\mathrm{d}\sigma^{h}}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}P_{h\perp}^{2}\,\mathrm{d}\phi\,\mathrm{d}\phi_{s}} = \frac{2\pi\alpha^{2} \quad y^{2}}{\mathrm{Sivers}} \left(1 + \frac{\gamma^{2}}{2x}\right)$$

$$\left\{F_{UU,T}^{h} + \epsilon F_{UU,L}^{h} + \varepsilon \mathrm{rms \ not \ involving \ transv.} + S_{T}\left[\left(F_{UT,T}^{h,\sin\left(\phi-\phi_{s}\right)} + \epsilon F_{UT,L}^{h,\sin\left(\phi-\phi_{s}\right)}\right)\sin\left(\phi-\phi_{s}\right) + \epsilon F_{UT}^{h,\sin\left(\phi-\phi_{s}\right)}\right)\sin\left(\phi-\phi_{s}\right) + \epsilon F_{UT}^{h,\sin\left(\phi+\phi_{s}\right)}\sin\left(\phi+\phi_{s}\right) + \epsilon F_{UT}^{h,\sin\left(\phi-\phi_{s}\right)}\right) + \sqrt{2\epsilon(1+\epsilon)} F_{UT}^{h,\sin\phi_{s}}\sin\phi_{s} + \sqrt{2\epsilon(1+\epsilon)} F_{UT}^{h,\cos\phi_{s}}\cos\left(\phi-\phi_{s}\right)$$

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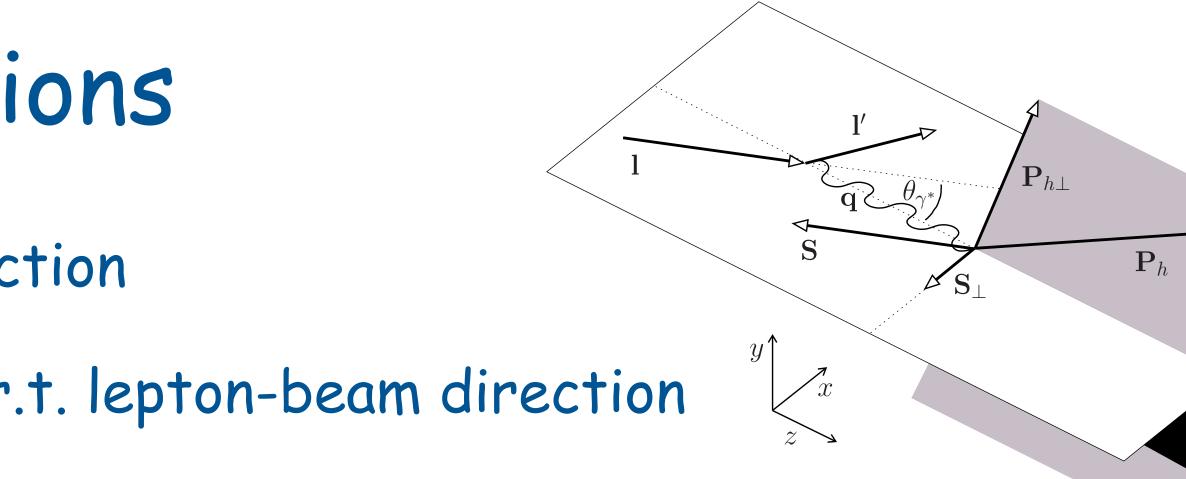
tr







- theory done w.r.t. virtual-photon direction
- experiments use targets polarized w.r.t. lepton-beam direction



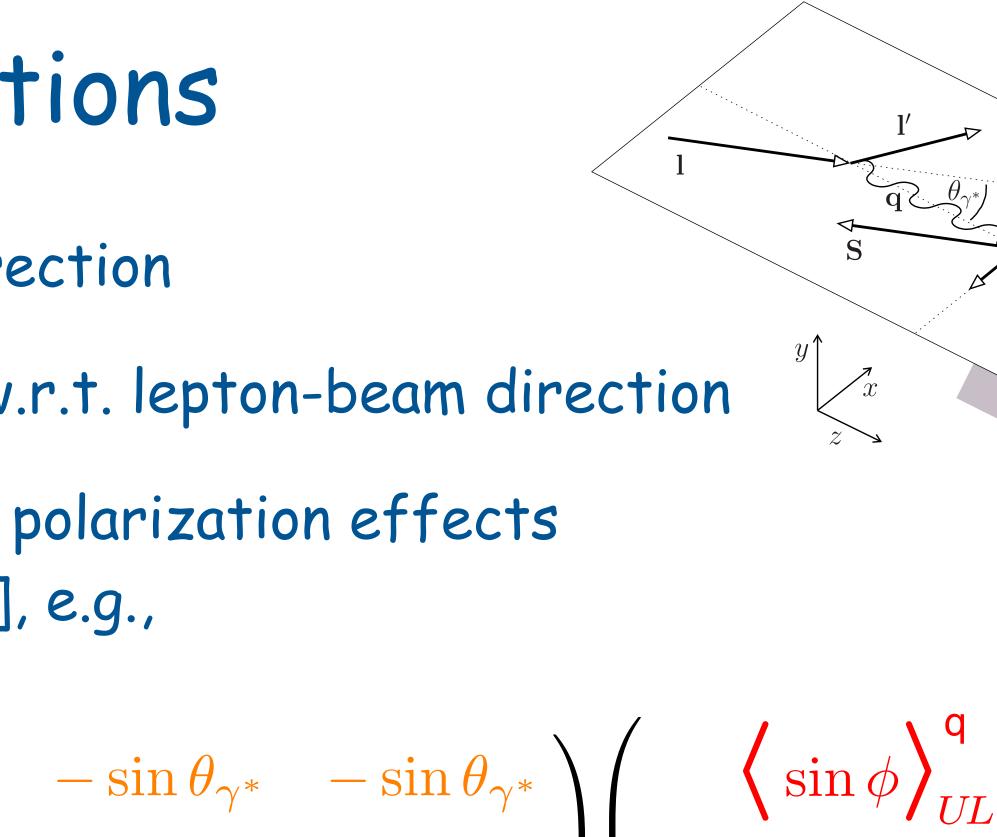




- theory done w.r.t. virtual-photon direction
- experiments use targets polarized w.r.t. lepton-beam direction
- mixing of longitudinal and transverse polarization effects [Diehl & Sapeta, EPJ C 41 (2005) 515], e.g.,

$$\begin{pmatrix} \left\langle \sin \phi \right\rangle_{UL}^{\mathsf{I}} \\ \left\langle \sin(\phi - \phi_S) \right\rangle_{UT}^{\mathsf{I}} \\ \left\langle \sin(\phi + \phi_S) \right\rangle_{UT}^{\mathsf{I}} \end{pmatrix}^{\mathsf{I}} = \begin{pmatrix} \cos \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} \end{pmatrix}$$

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 $\begin{array}{ccc} -\sin\theta_{\gamma^{*}} & -\sin\theta_{\gamma^{*}} \\ \cos\theta_{\gamma^{*}} & 0 \\ 0 & \cos\theta_{\gamma^{*}} \end{array} \right) \left(\begin{array}{c} \left\langle \sin\phi \right\rangle_{UL}^{\mathsf{q}} \\ \left\langle \sin(\phi - \phi_{S}) \right\rangle_{UT} \\ \left\langle \sin(\phi + \phi_{S}) \right\rangle_{UT} \end{array} \right)$





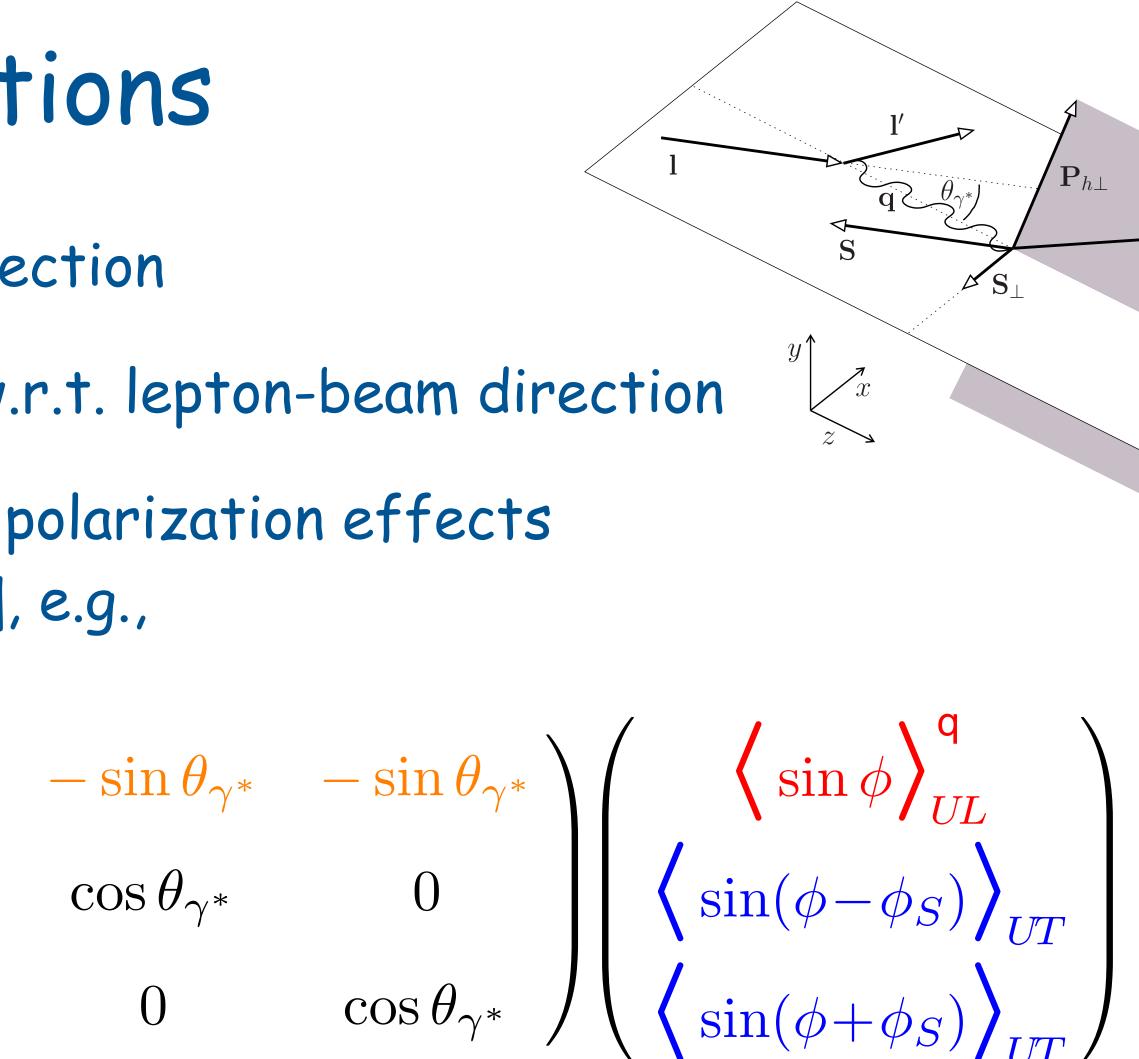
 $\mathbf{P}_{h\perp}$

 \mathbf{P}_h

- theory done w.r.t. virtual-photon direction
- experiments use targets polarized w.r.t. lepton-beam direction
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need data on same target for both polarization orientations!

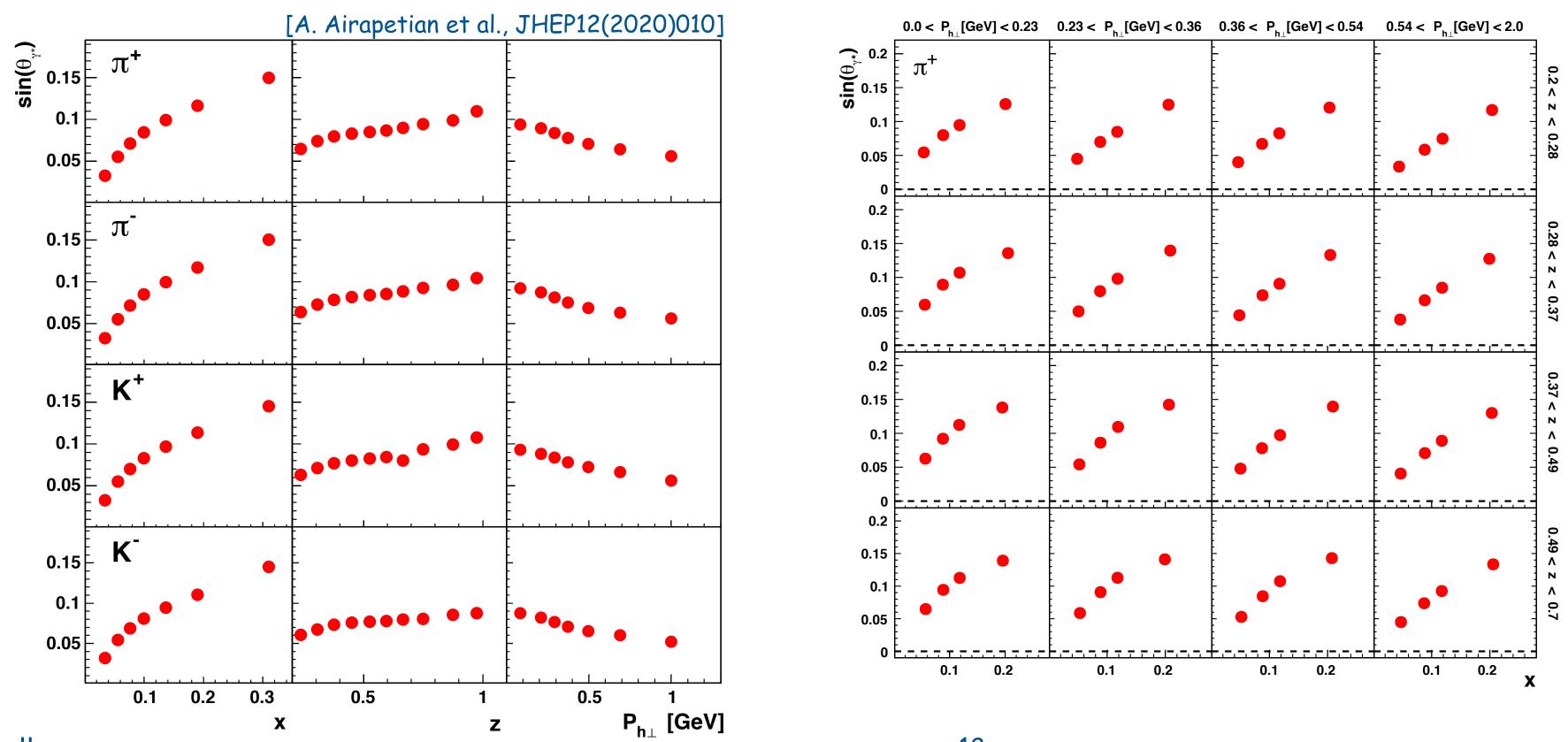


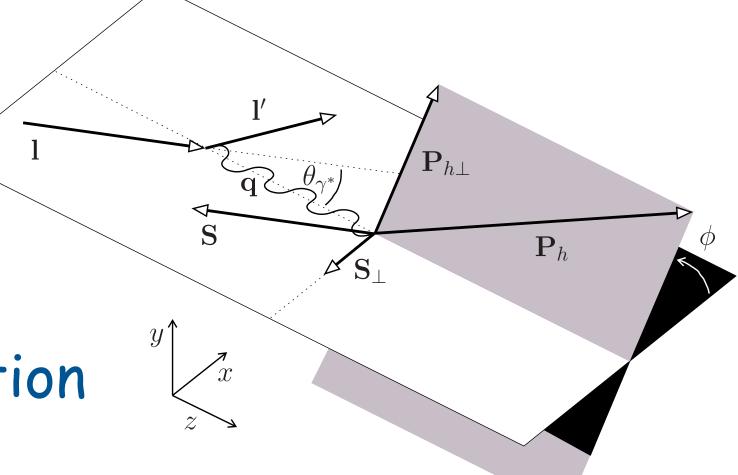


 \mathbf{P}_h



- theory done w.r.t. virtual-photon direction
- experiments use targets polarized w.r.t. lepton-beam direction
- mixing of longitudinal and transverse polarization effects









some highlights

Longitudinal double-spin asymmetries in semi-inclusive deep-inelastic scattering of electrons and positrons by protons and deuterons

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(The HERMES Collaboration)



re-analysis of longitudinal double-spin asymmetries

- revisited [PRD 71 (2005) 012003] A1 analysis at HERMES in order to
 - exploit slightly larger data set (less restrictive momentum range)
 - provide A_{\parallel} in addition to A_{\perp}

$$A_1^h = \frac{1}{D(1+\eta\gamma)} A_{\parallel}^h$$

R (ratio of longitudinal-to-transverse cross-sec'n) still to be measured! [only available for inclusive DIS data, e.g., used in g1 SF measurements]

- correct for D-state admixture (deuteron case) on asymmetry level
- correct better for azimuthal asymmetries coupling to acceptance
- look at multi-dimensional (x, z, $P_{h\perp}$) dependences
- extract twist-3 cosine modulations

$$D = \frac{1 - (1 - y)\epsilon}{1 + \epsilon R}$$



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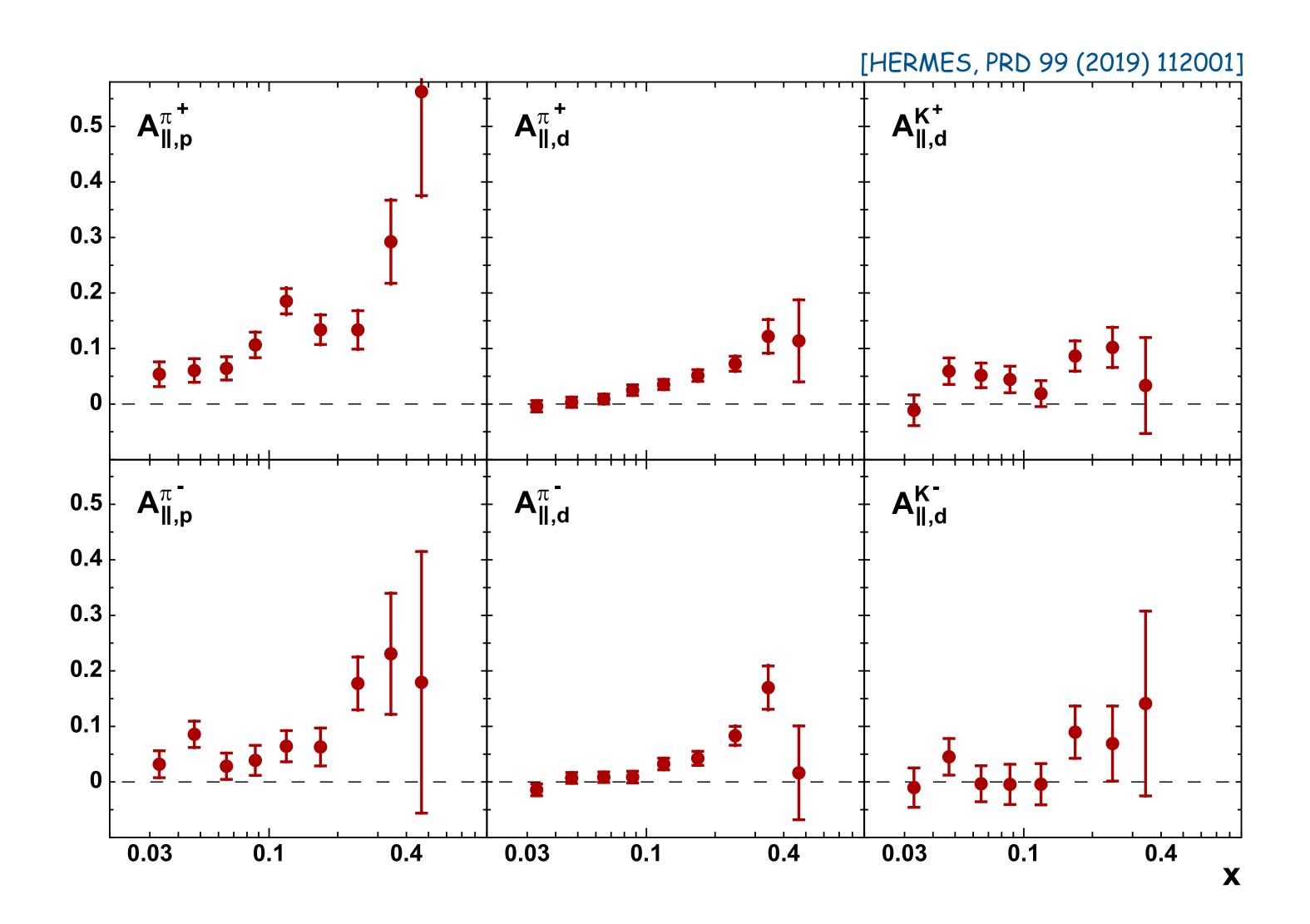
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- correct for D-state admixture (deuteron case) on asymmetry level
- correct better for azimuthal asymmetries coupling to acceptance
- look at multi-dimensional (x, z, $P_{h\perp}$) dependences
- extract twist-3 cosine modulations ... consistent with zero

$$D = \frac{1 - (1 - y)\epsilon}{1 + \epsilon R}$$





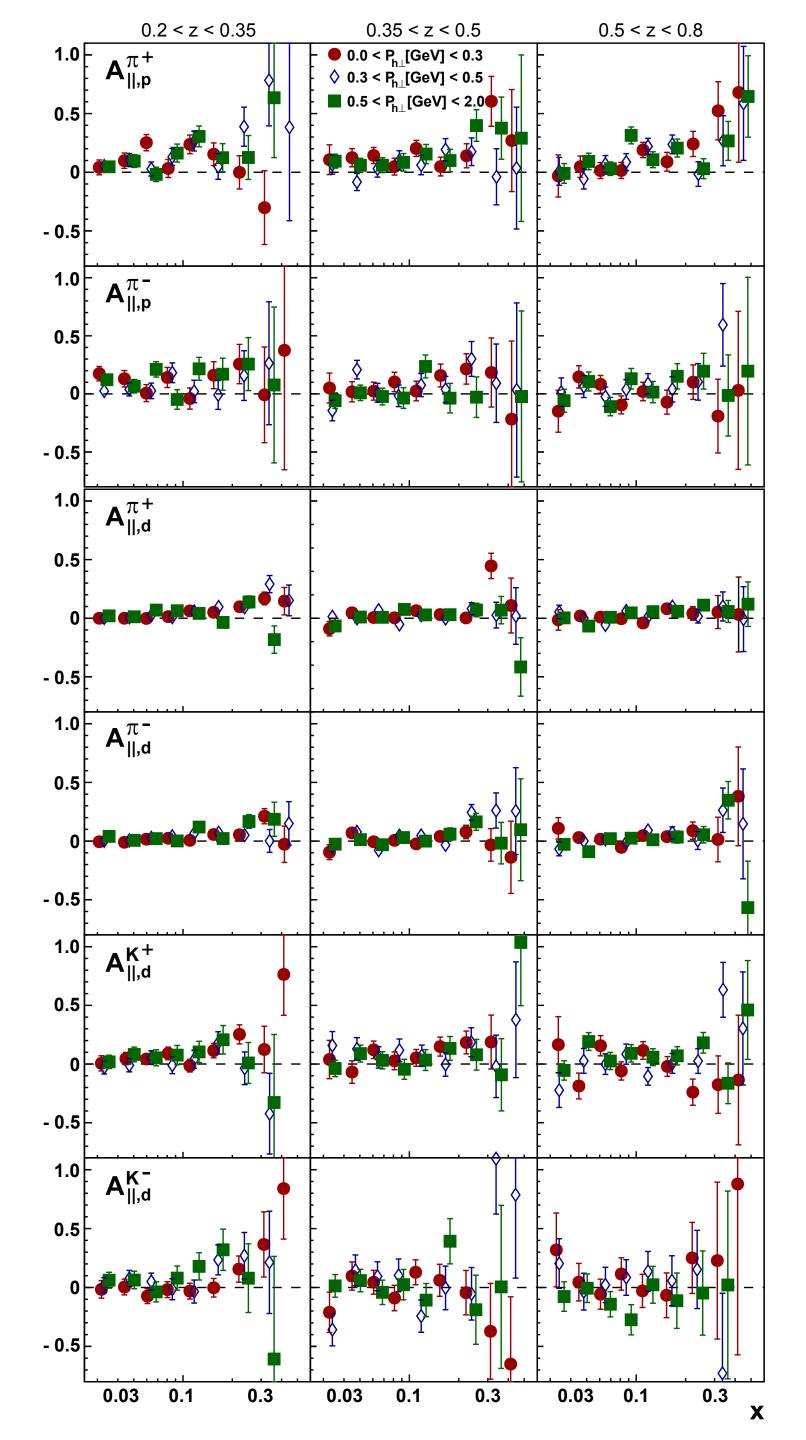
If fully consistent with previous HERMES publication [PRD 71 (2005) 012003]

x dependence of A_{||}



3-dimensional binning

• first-ever 3d binning provides transverse-momentum dependence

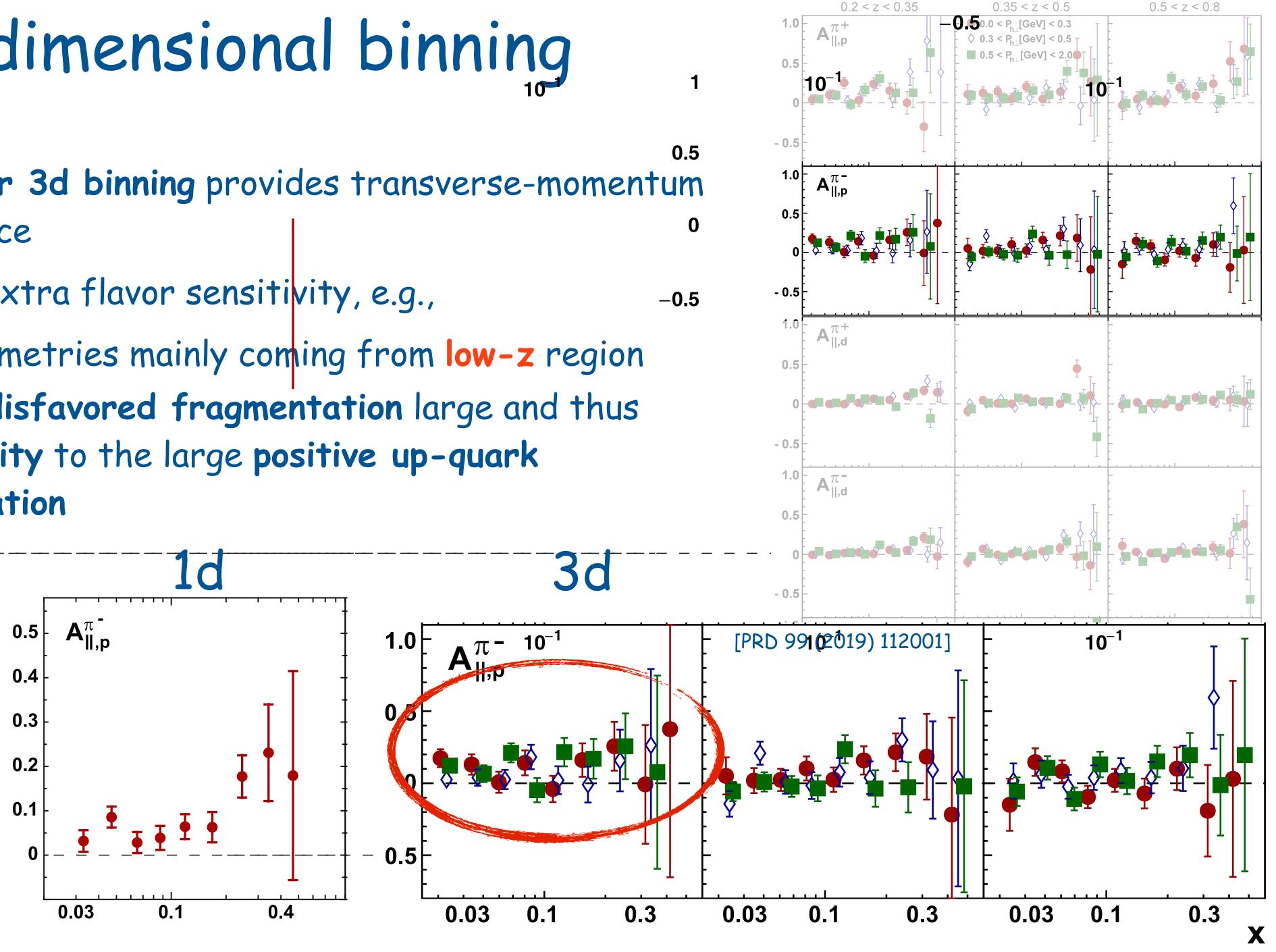


24



3-dimensional binning

- first-ever 3d binning provides transverse-momentum dependence
- but also extra flavor sensitivity, e.g.,
 - π^- asymmetries mainly coming from low-z region where disfavored fragmentation large and thus sensitivity to the large positive up-quark polarization







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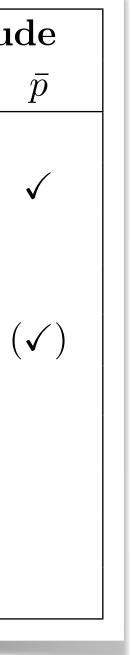
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https://doi.org/10.1007/JHEP12(2020)010

Azimuthal modulation		Sign	ificant	non-va	nishing	Fourie	r ampli	tu
		π^+	π^{-}	K^+	K^{-}	p	π^0	
$\sin\left(\phi + \phi_S\right)$	[Collins]	\checkmark	\checkmark	\checkmark		\checkmark		
$\sin\left(\phi-\phi_S ight)$	[Sivers]	\checkmark		\checkmark	\checkmark	\checkmark	(\checkmark)	
$\sin\left(3\phi-\phi_S\right)$	[Pretzelosity]							
$\sin{(\phi_S)}$		(\checkmark)	\checkmark		\checkmark			
$\sin\left(2\phi-\phi_S\right)$								(
$\sin\left(2\phi + \phi_S\right)$				\checkmark				
$\cos\left(\phi-\phi_S ight)$	[Worm-gear]	\checkmark	(\checkmark)	(\checkmark)				
$\cos\left(\phi + \phi_S ight)$								
$\cos{(\phi_S)}$				\checkmark				
$\cos\left(2\phi-\phi_S ight)$								





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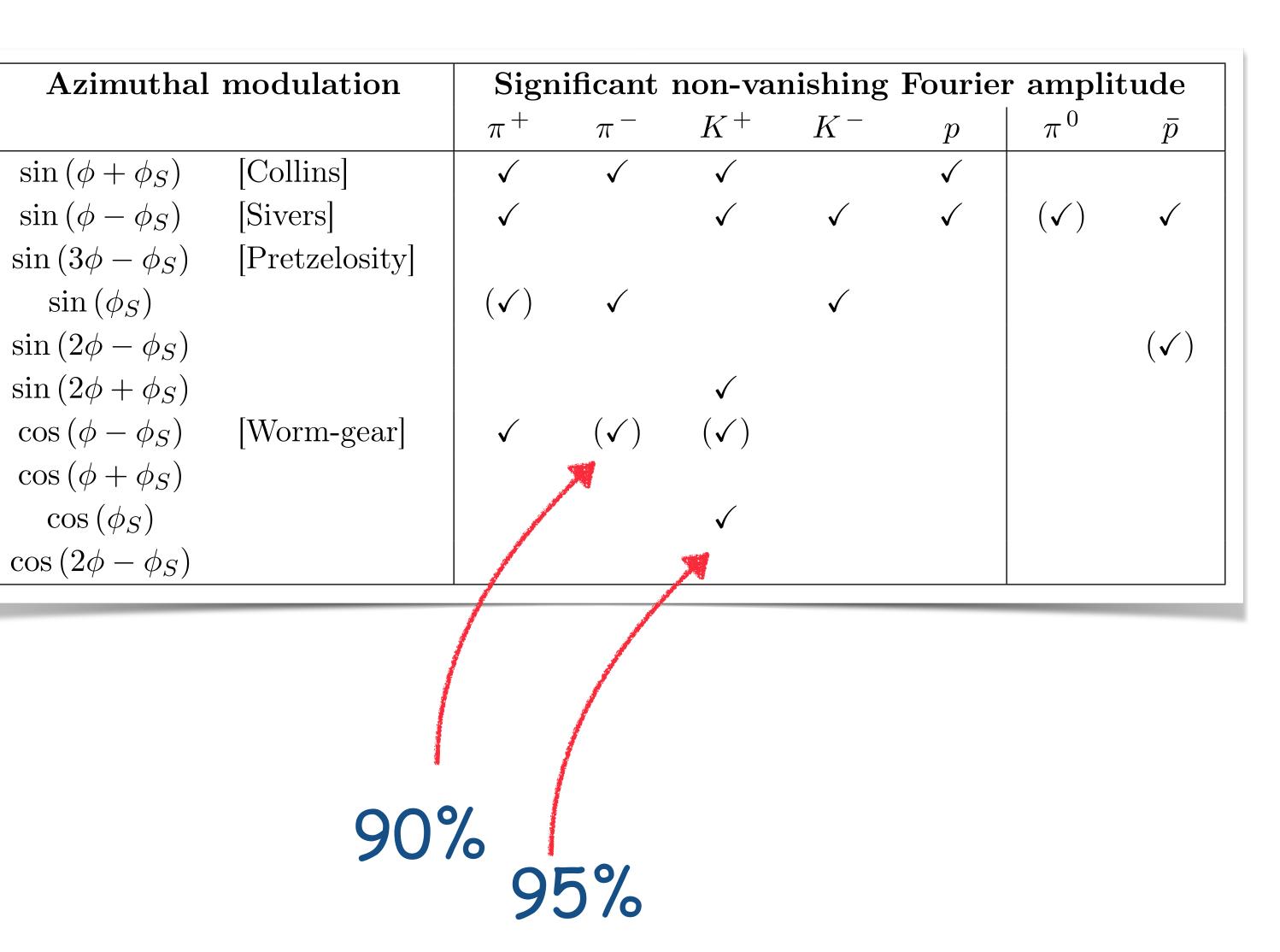
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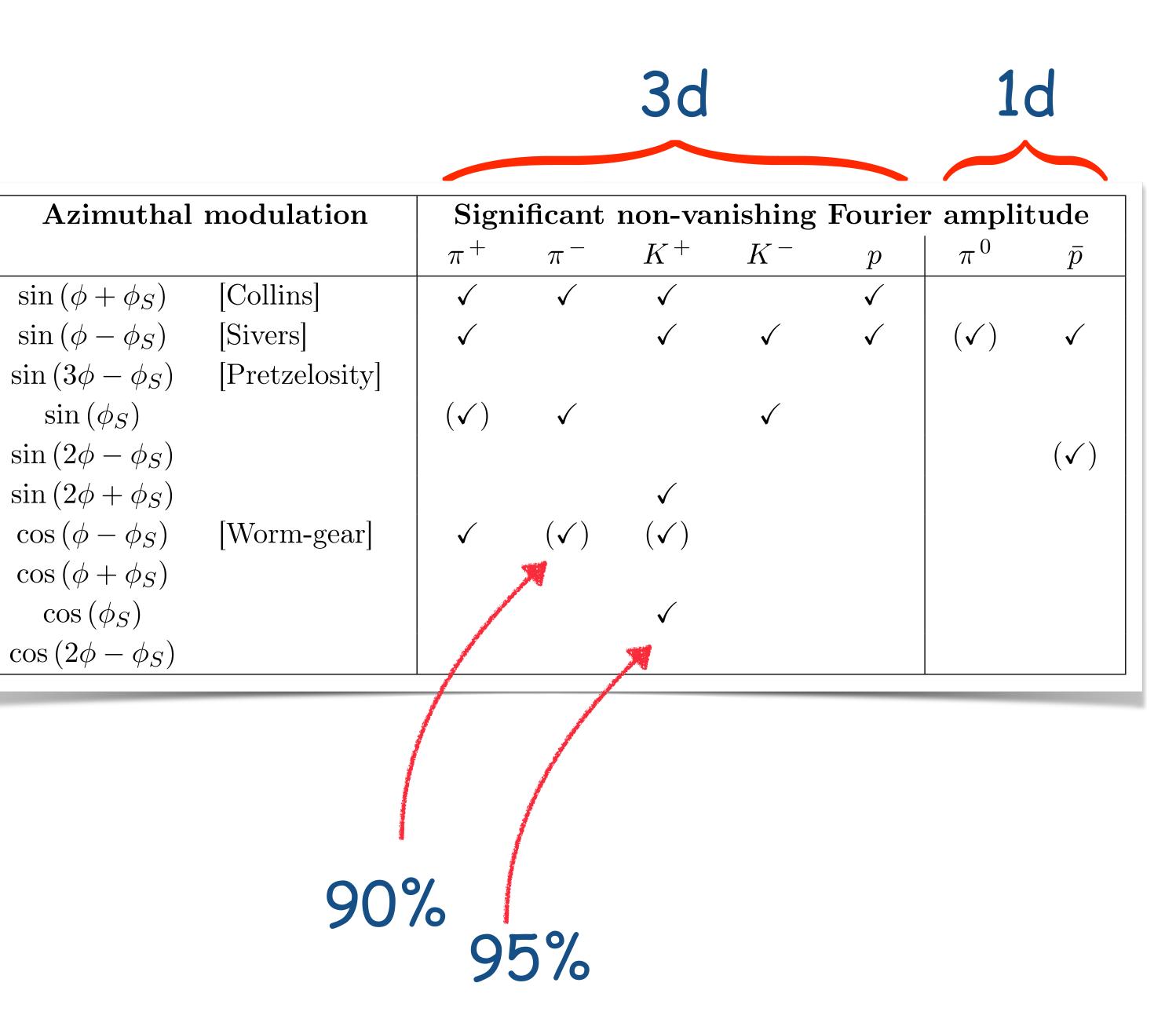
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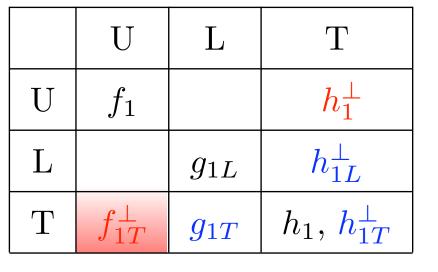
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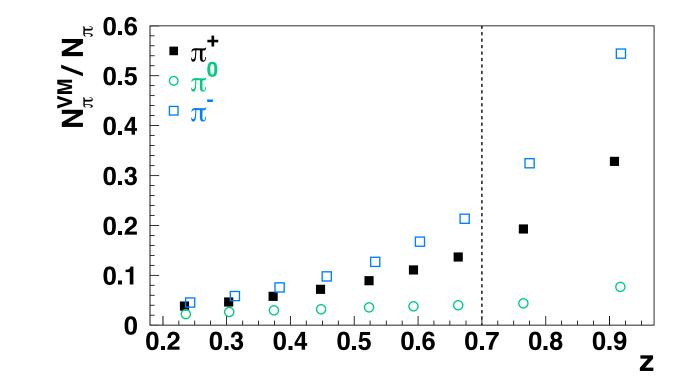
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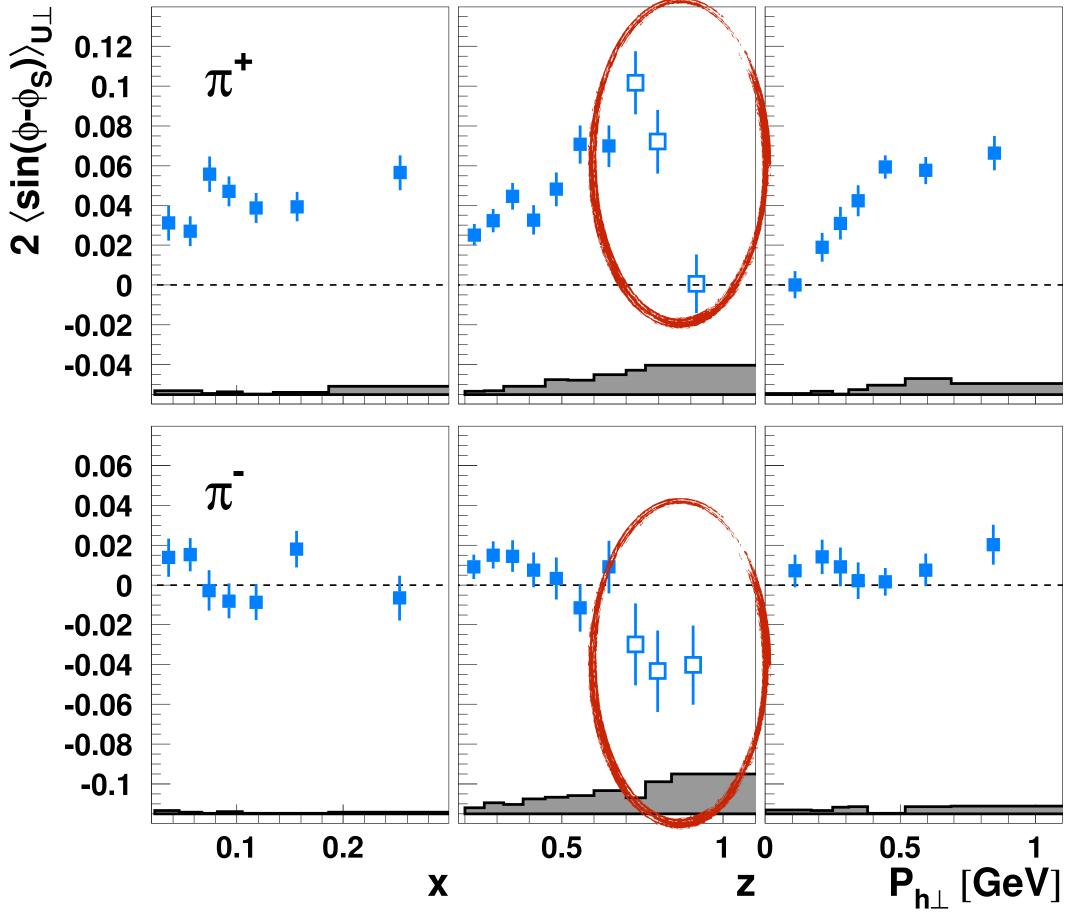
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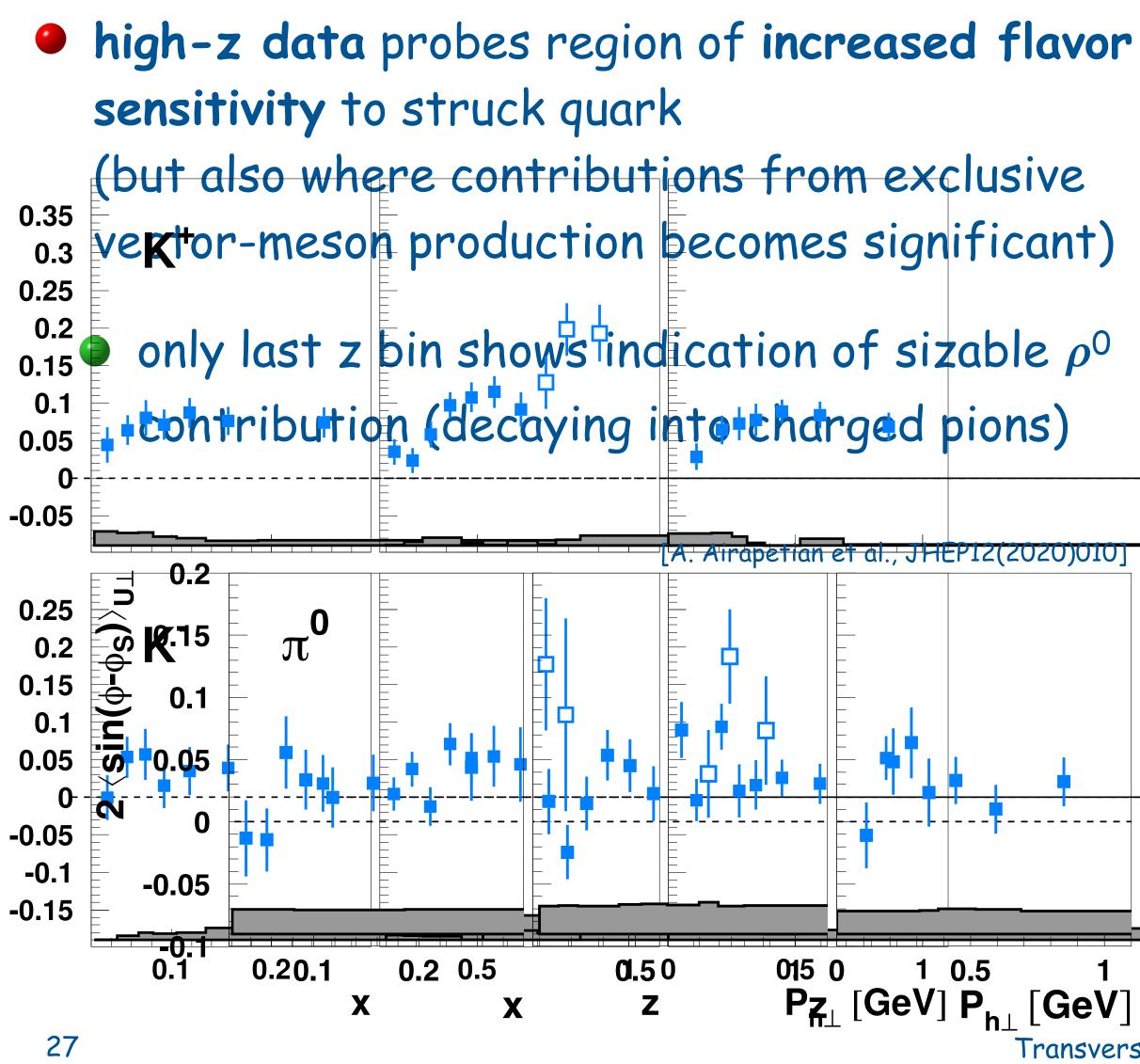


[A. Airapetian et al., JHEP12(2020)010]



Gunar Schnell

Sivers amplitudes for pions

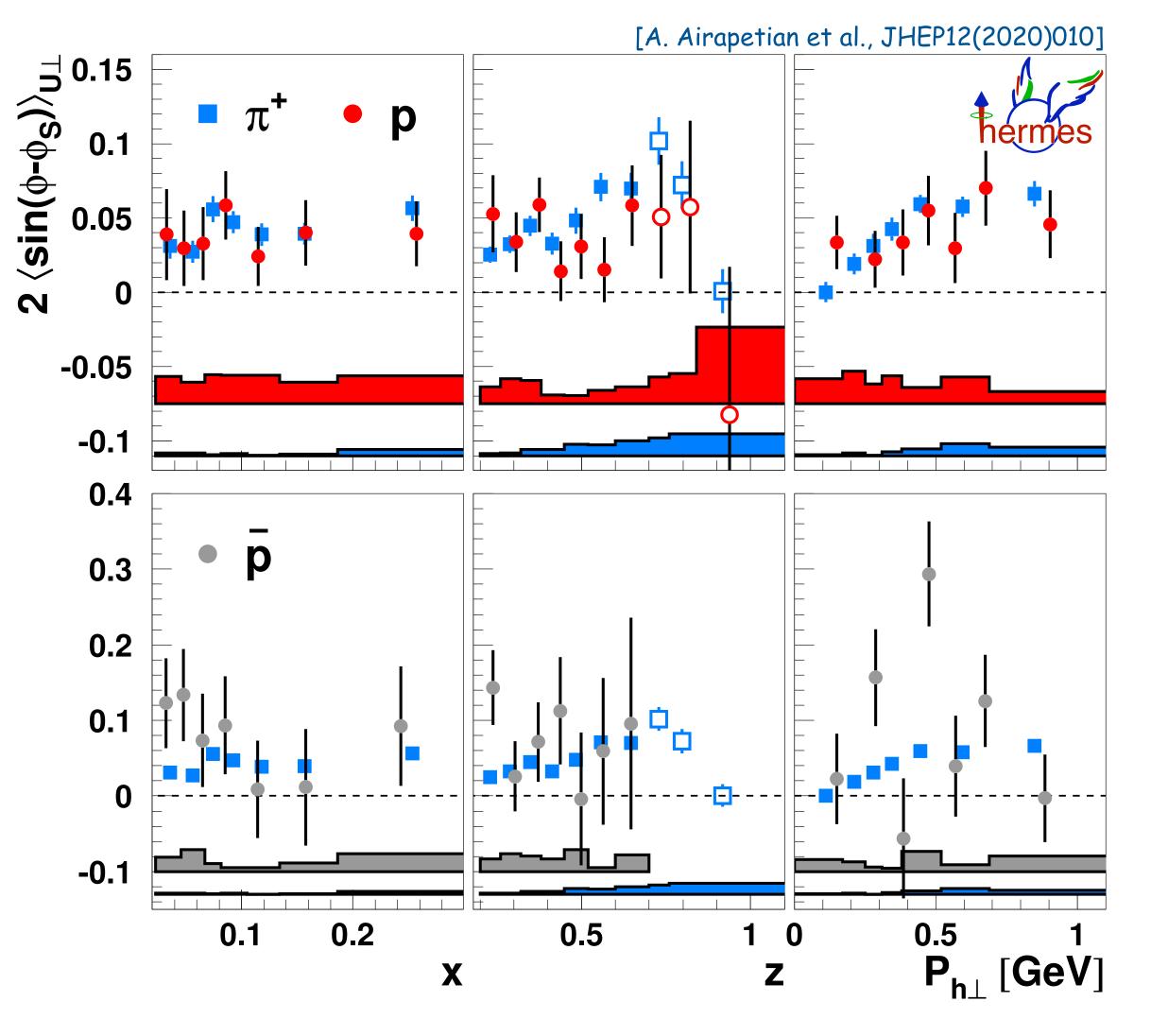




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	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp



Sivers amplitudes pions vs. (anti)protons

similar-magnitude asymmetries for (anti)protons and pions

consequence of u-quark dominance in both cases?





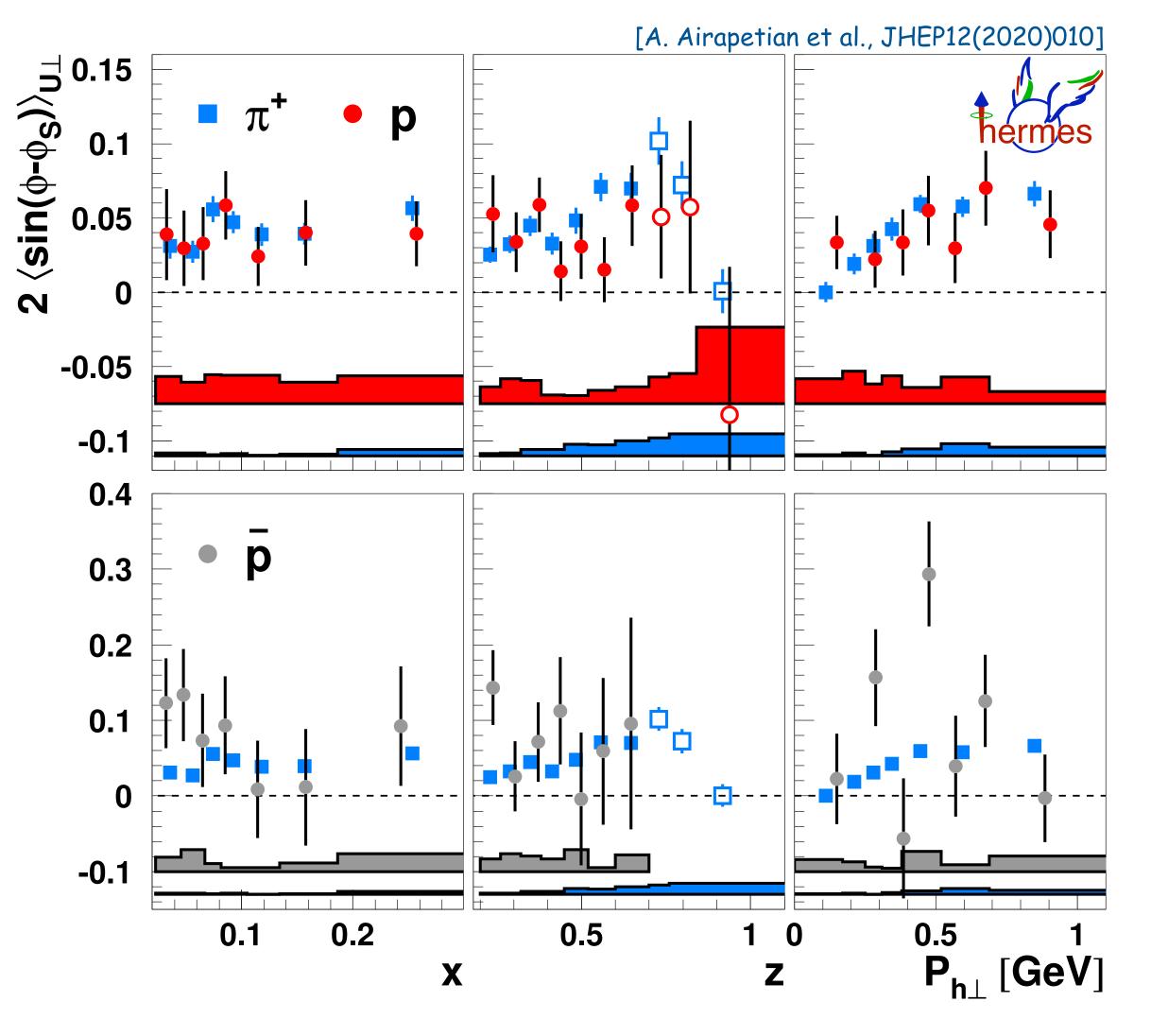






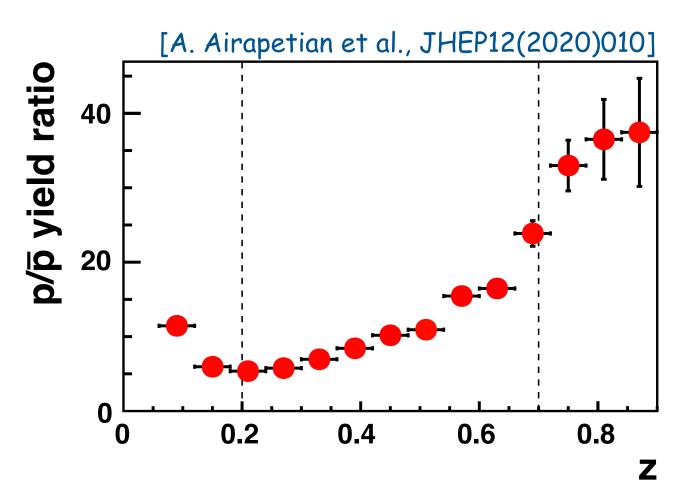
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	U	L	Т
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L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1,h_{1T}^\perp



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possibly, onset of target fragmentation only at lower z



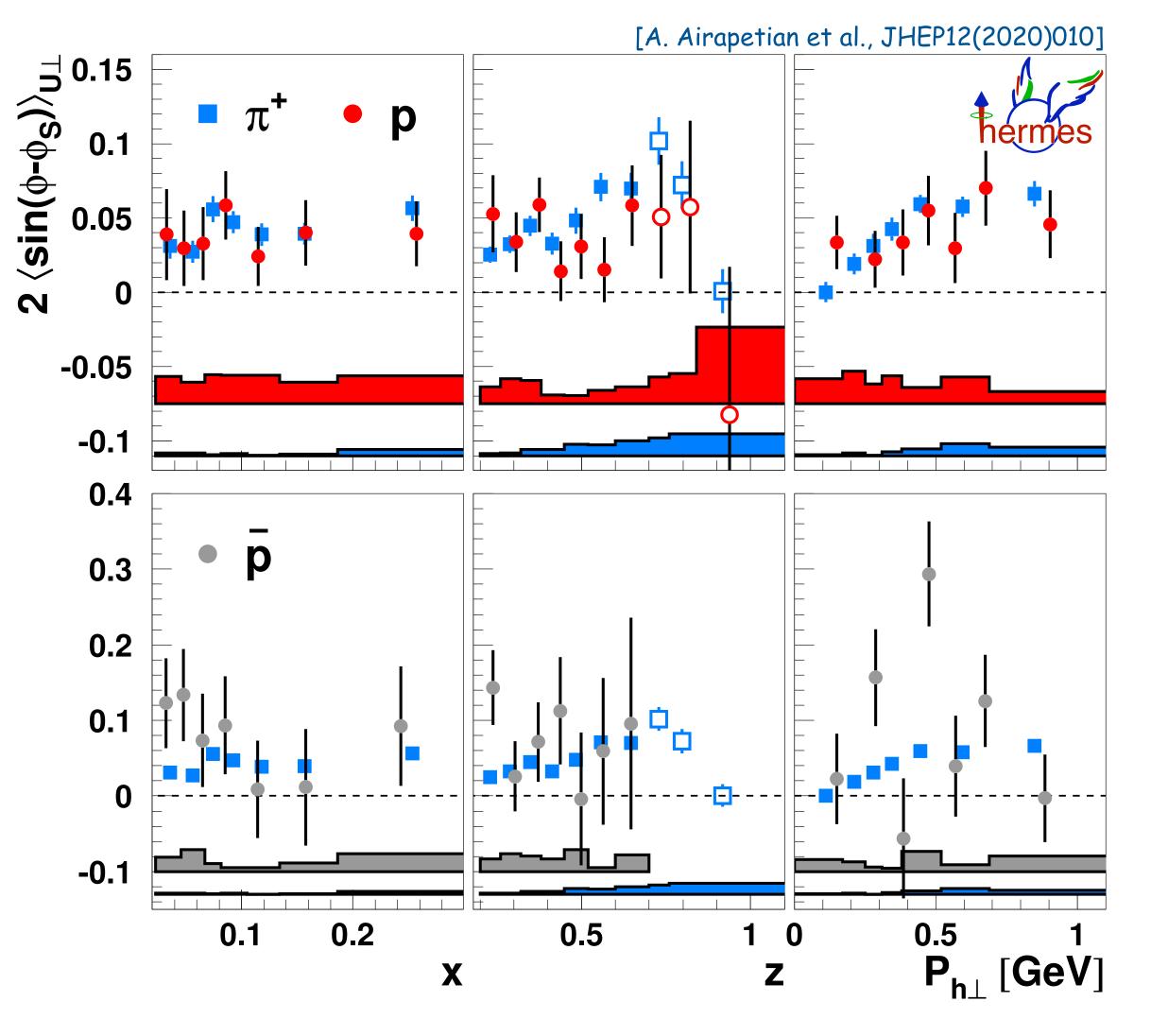








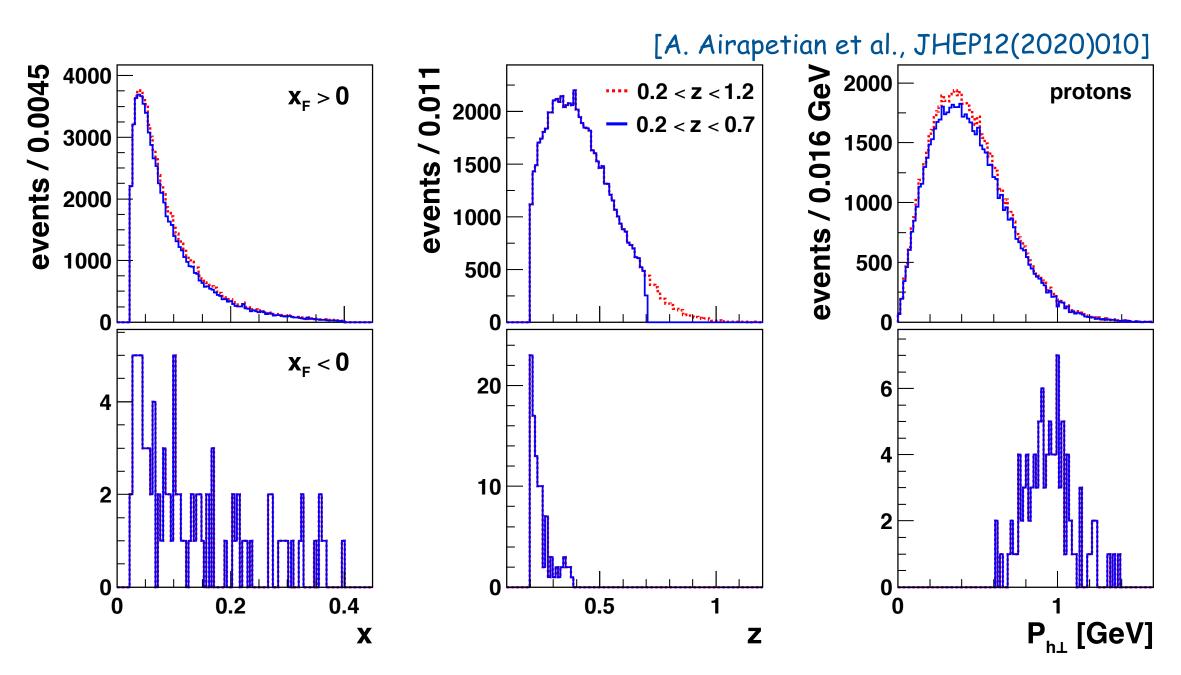
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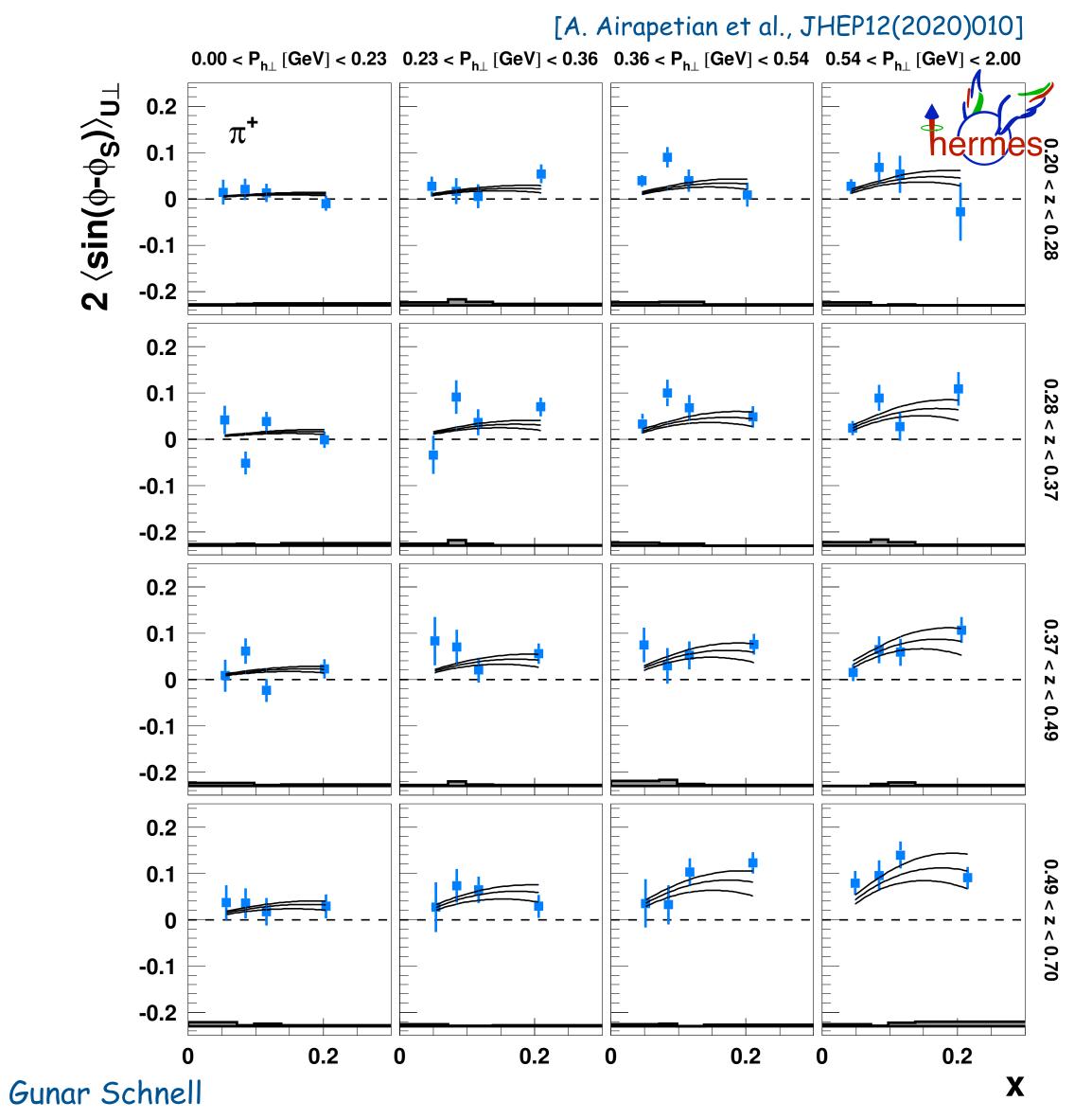








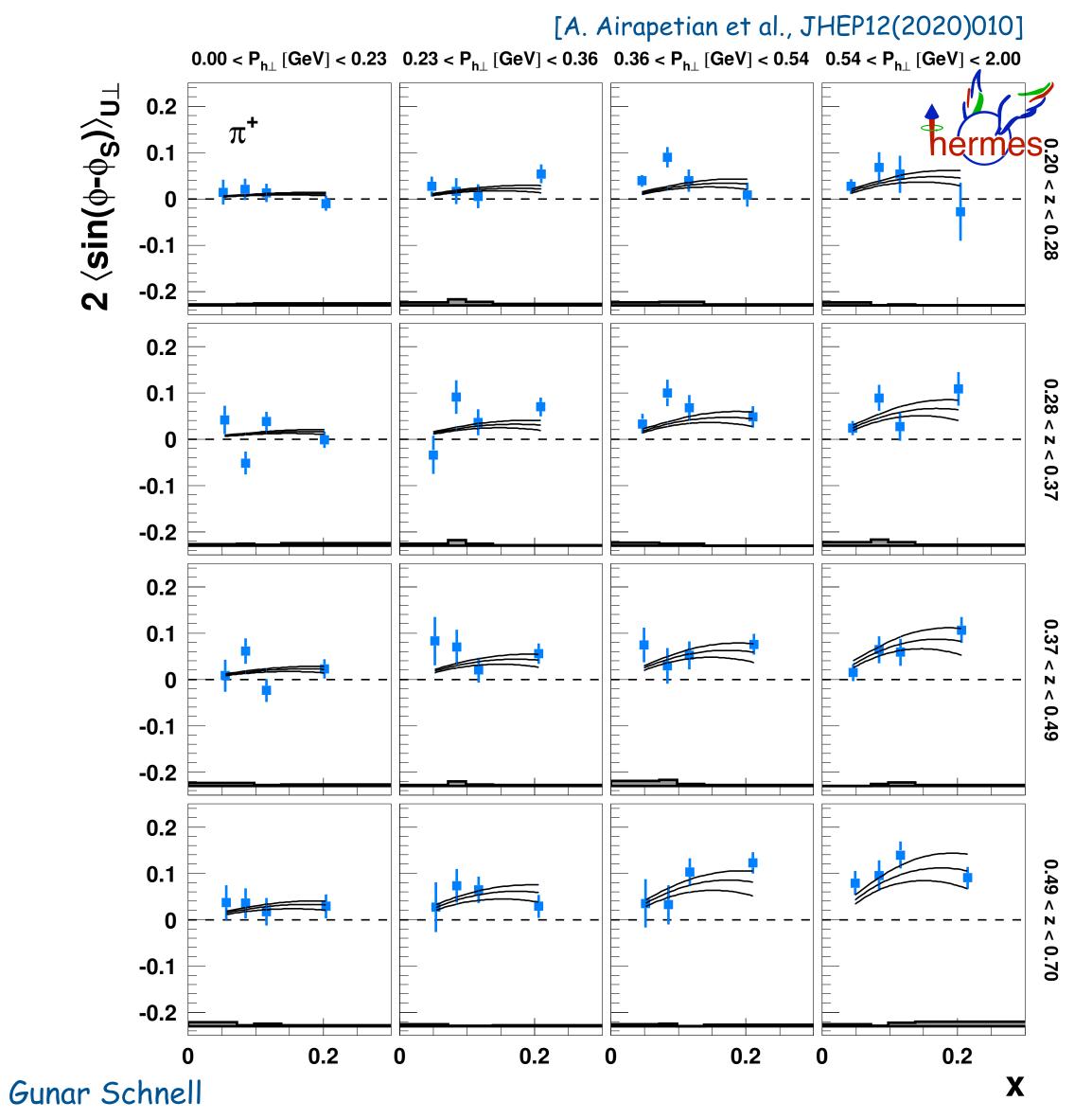
	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp







	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
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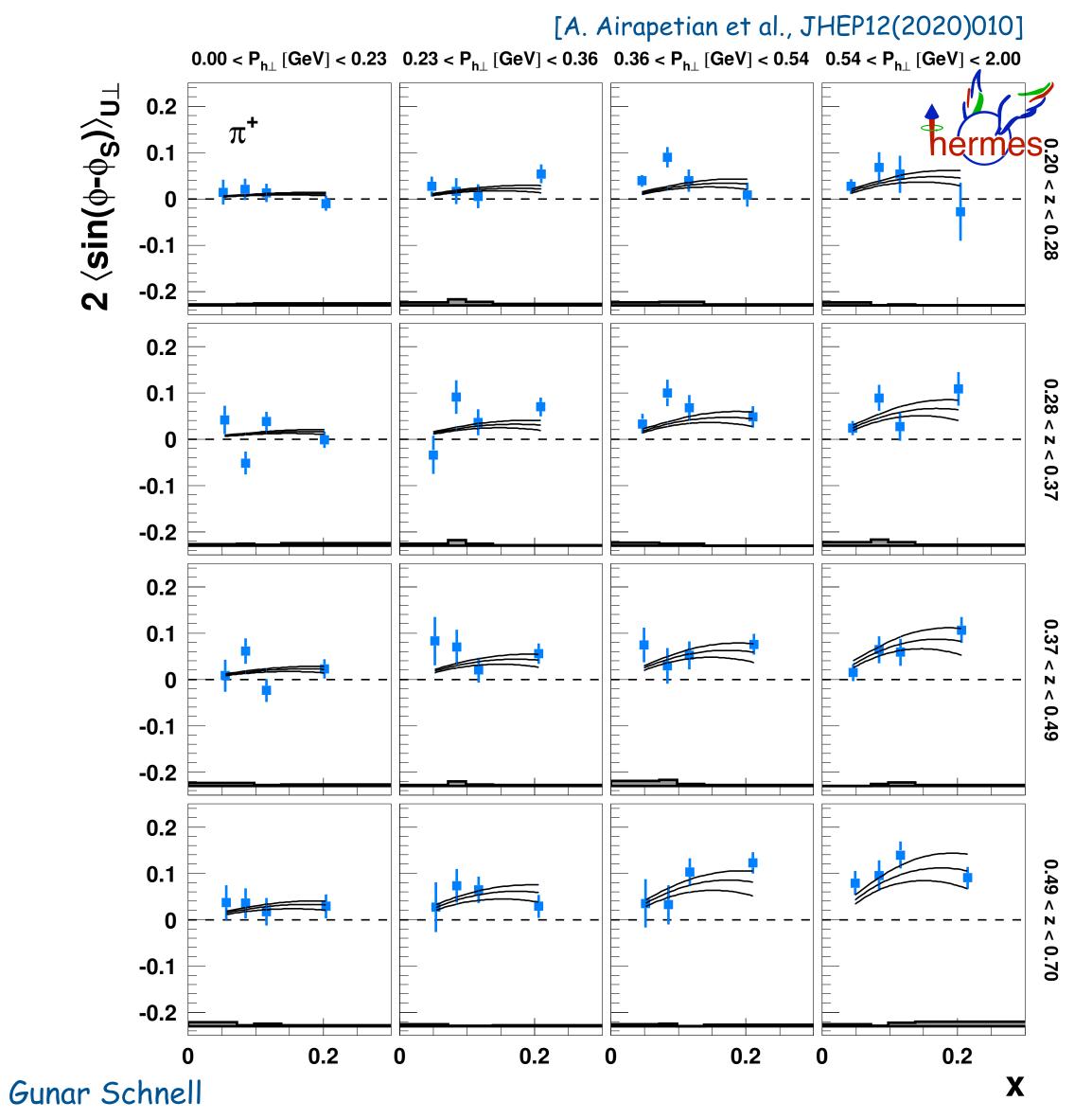


• 3d analysis: 4x4x4 bins in $(x,z, P_{h\perp})$





	U	L	Т
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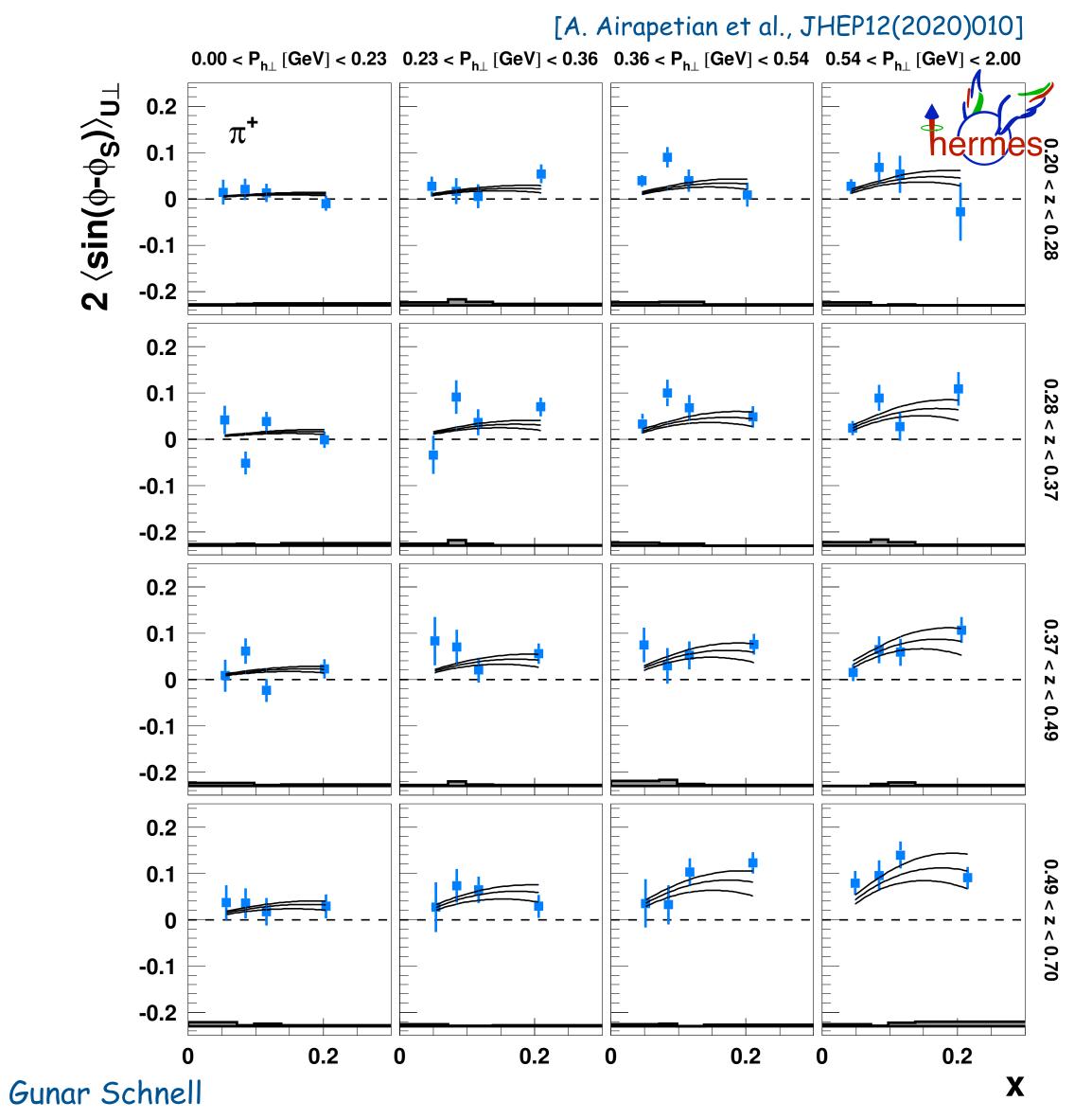


- 3d analysis: 4x4x4 bins in $(x, z, P_{h\perp})$
- reduced systematics
- disentangle correlations
- isolate phase-space region with large signal strength





	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
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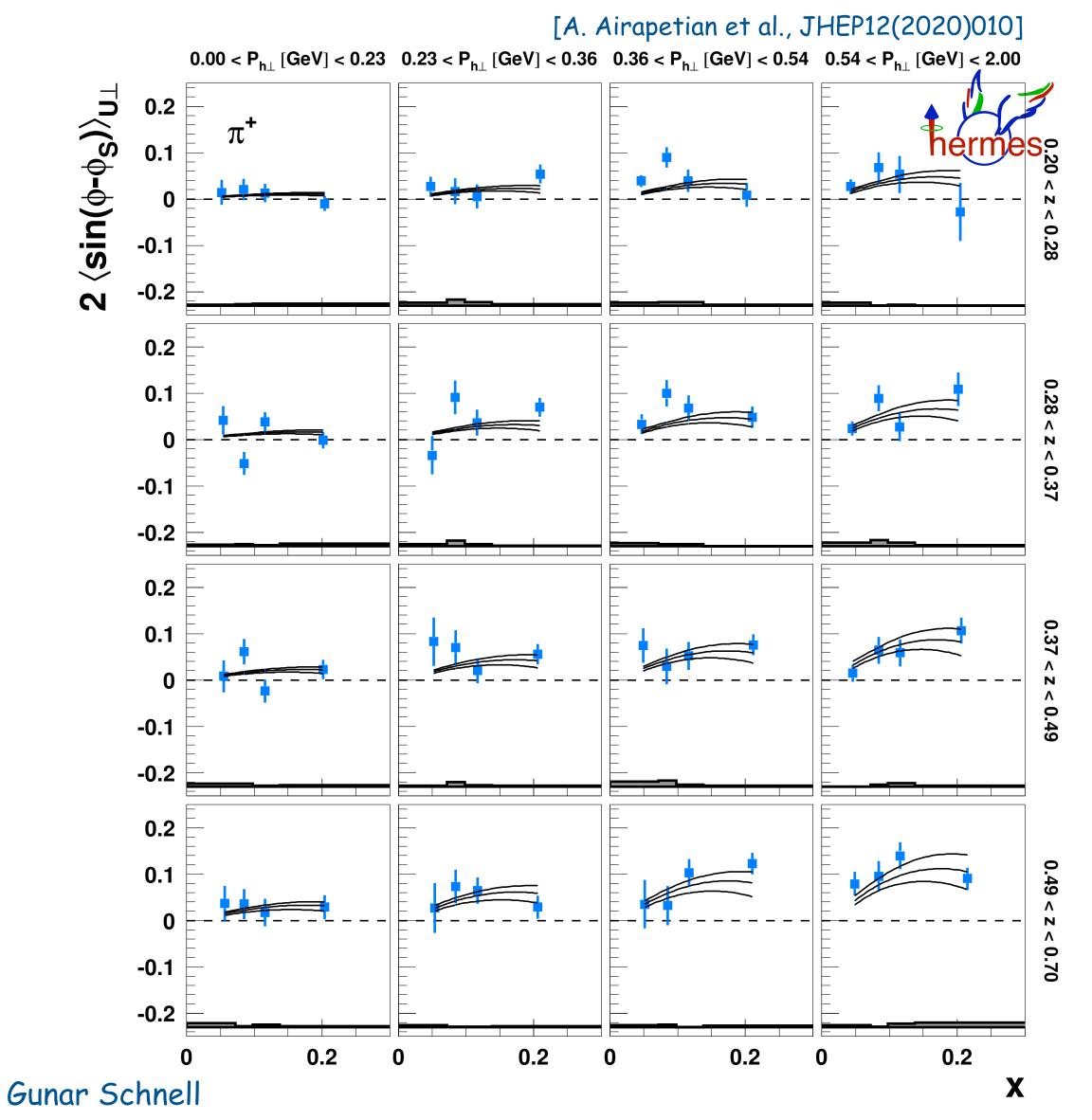
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Transversity 2022

	U	L	Т
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp



- 3d analysis: 4x4x4 bins in $(x, z, P_{h\perp})$
- reduced systematics
- disentangle correlations
- isolate phase-space region with large signal strength
- allows more detailed comparison with calculations
- accompanied by kinematic distribution to guide phenomenology



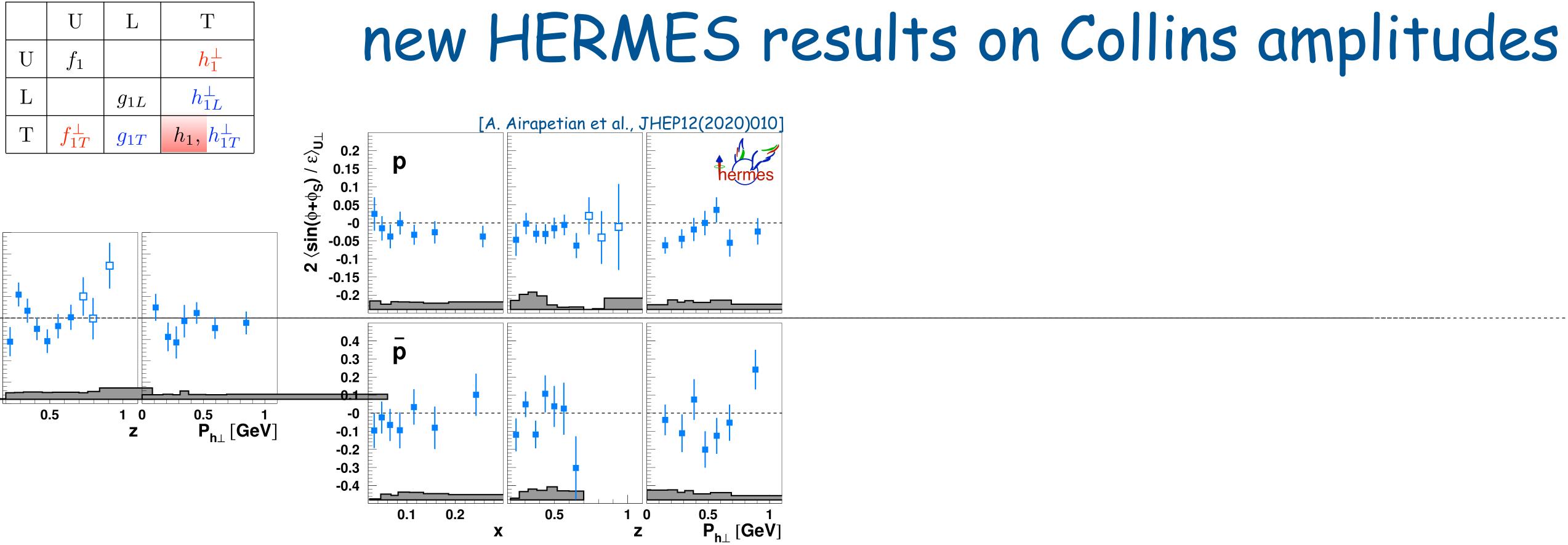








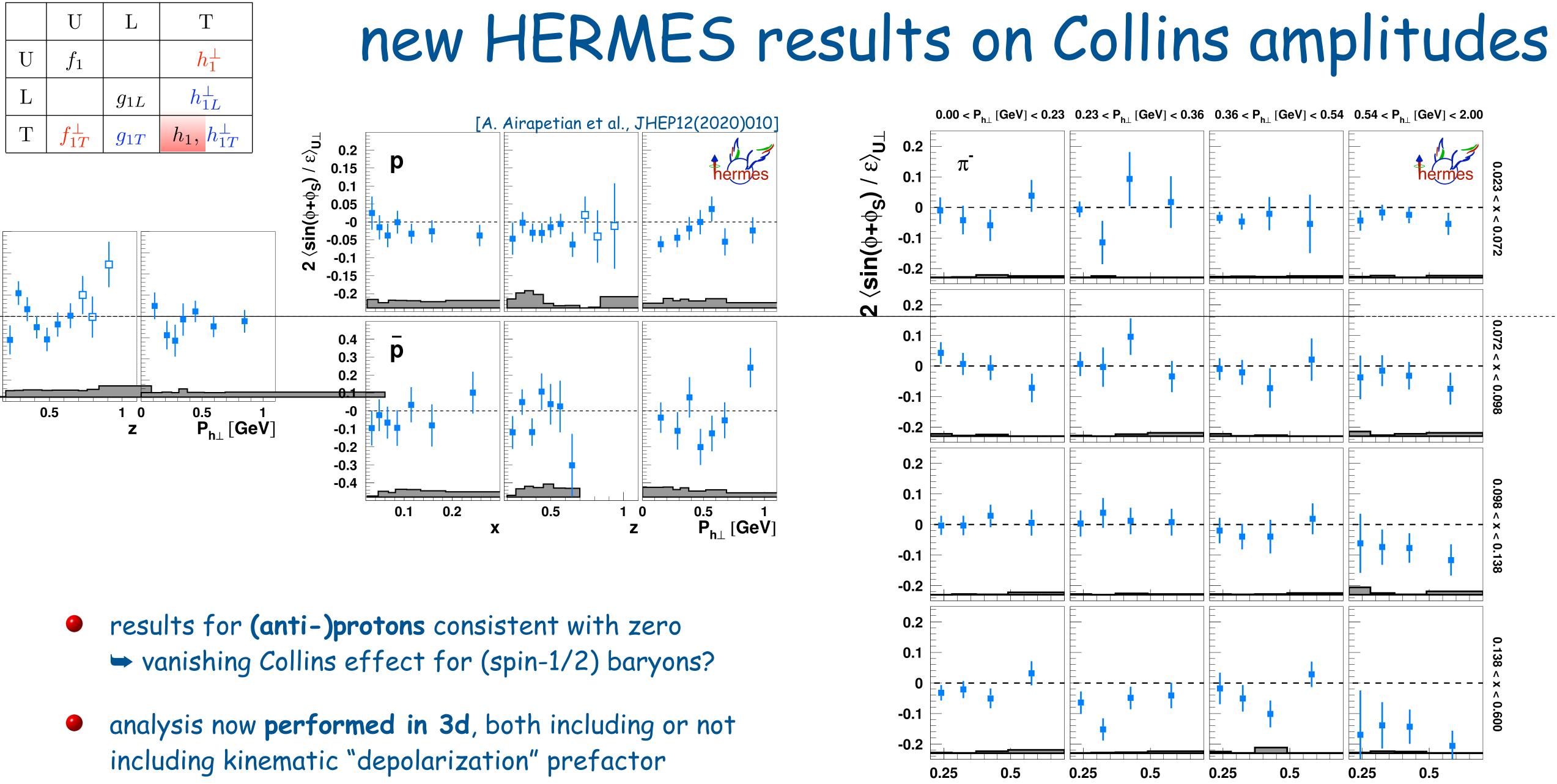




results for (anti-)protons consistent with zero • vanishing Collins effect for (spin-1/2) baryons?









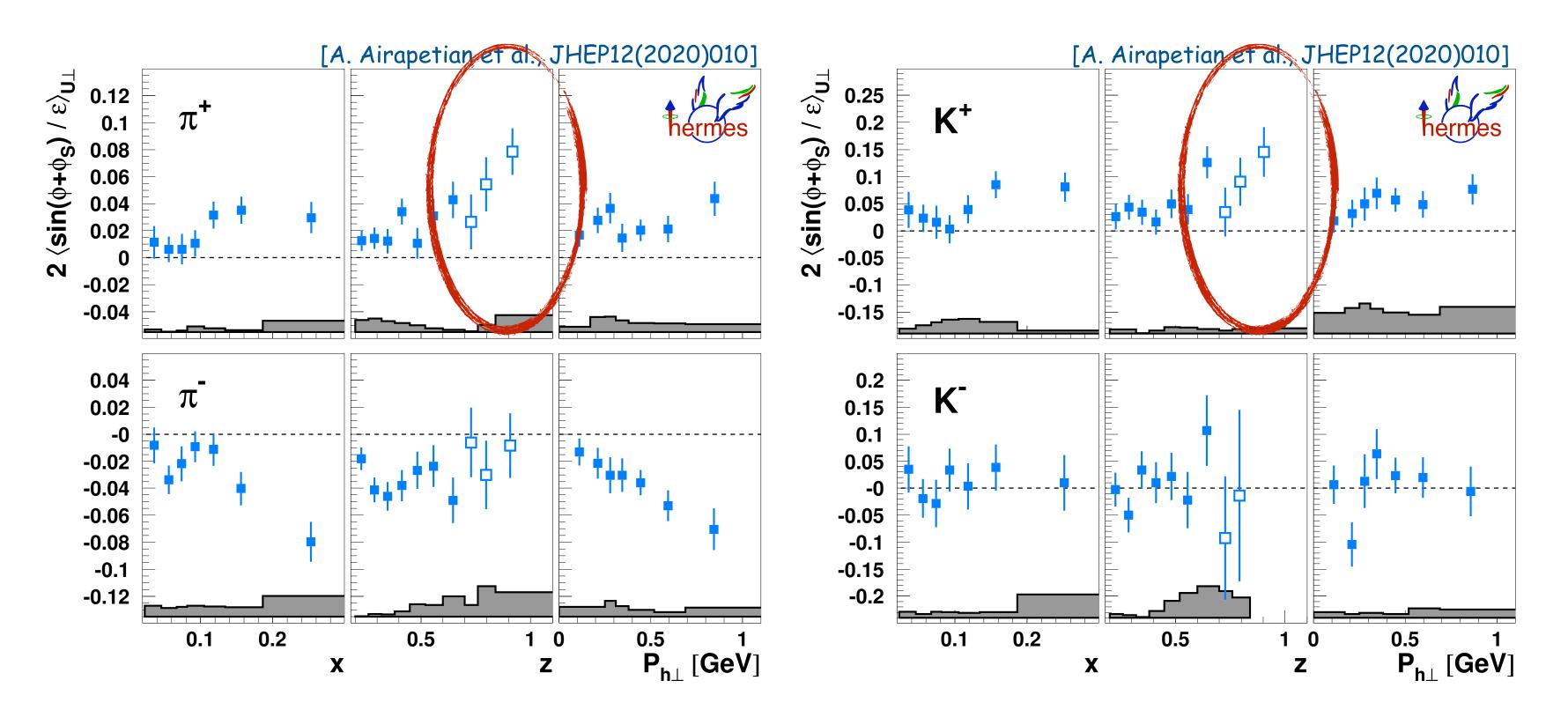






	U	L	Т
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L		g_{1L}	h_{1L}^{\perp}
Т	f_{1T}^{\perp}	g_{1T}	h_1, h_{1T}^\perp

new HERMES results on Collins amplitudes



- results for (anti-)protons consistent with zero vanishing Collins effect for (spin-1/2) baryons?
- analysis now performed in 3d, both including or not including kinematic "depolarization" prefactor

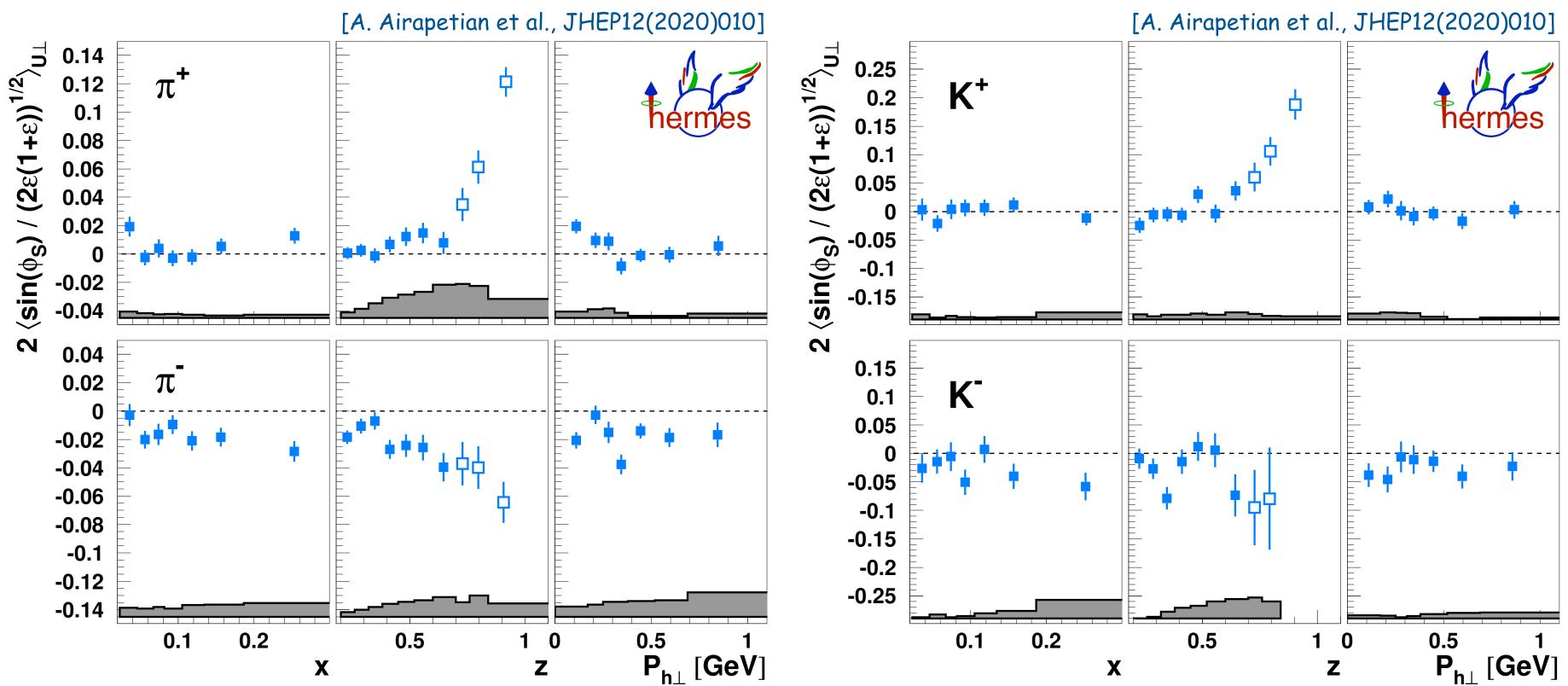
Gunar Schnell

high-z region with larger quark-flavour sensitivity, with increasing amplitudes for positive pions and kaons

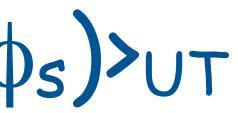


Transversity 2022

subleading twist $I - \langle sin(\phi_s) \rangle_{UT}$

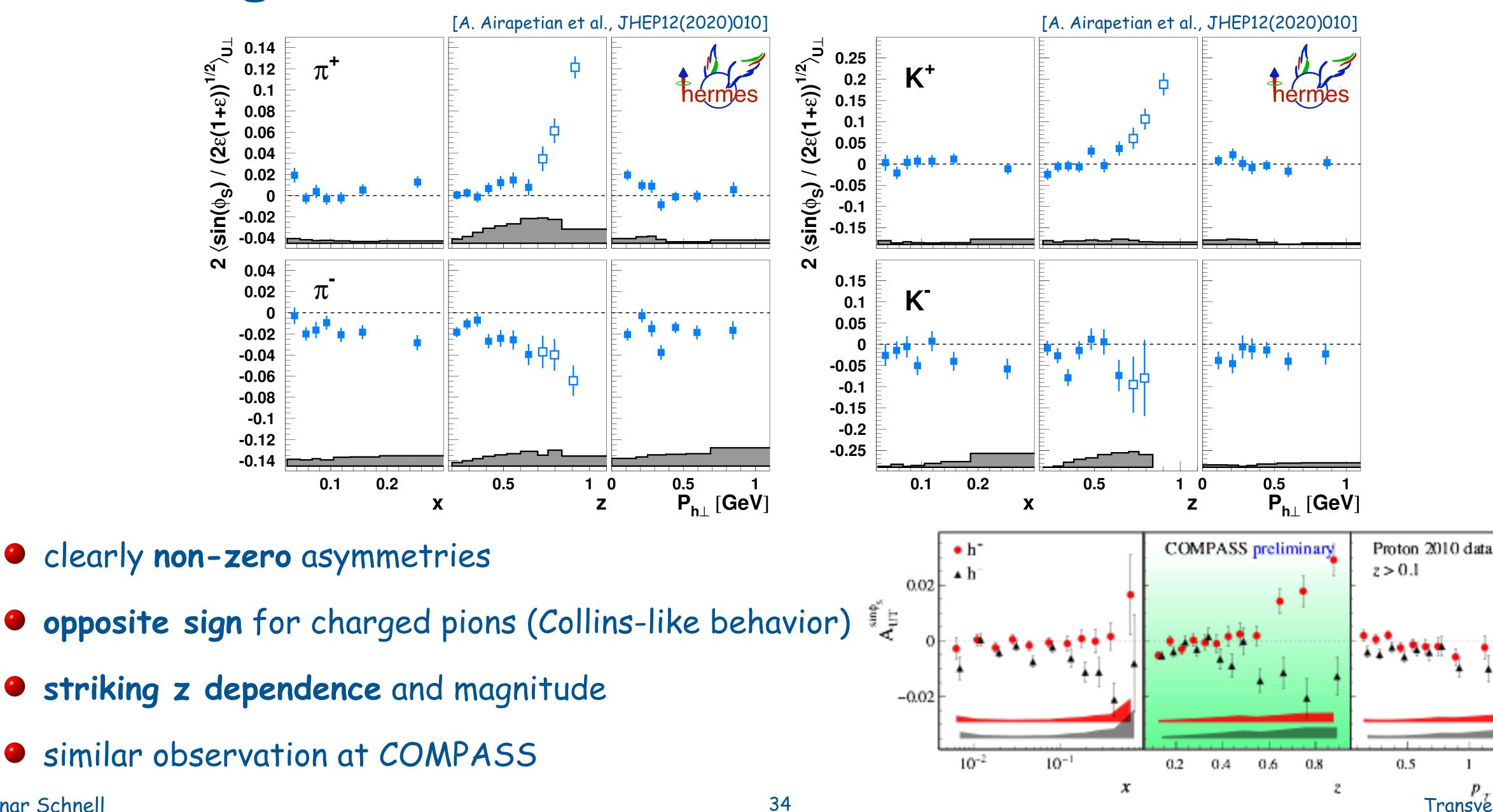


- clearly non-zero asymmetries
- opposite sign for charged pions (Collins-like behavior)
- striking z dependence and magnitude

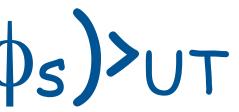


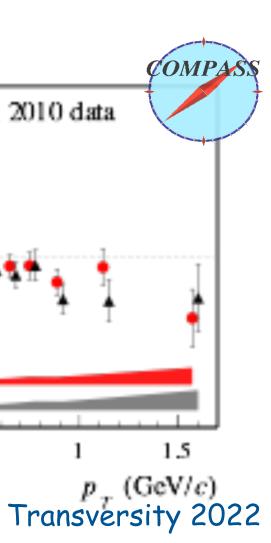


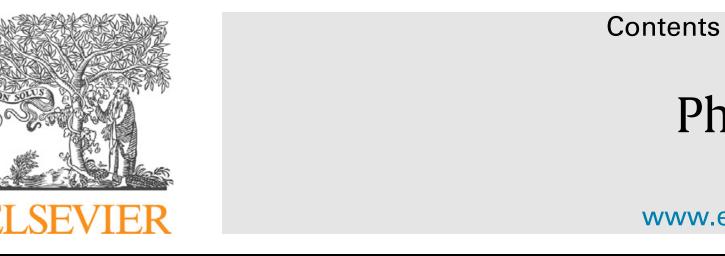
subleading twist $I - \langle sin(\phi_s) \rangle_{UT}$



- clearly non-zero asymmetries
- striking z dependence and magnitude
- similar observation at COMPASS







Beam-helicity asymmetries for single-hadron production in semi-inclusive deep-inelastic scattering from unpolarized hydrogen and deuterium targets

The HERMES Collaboration

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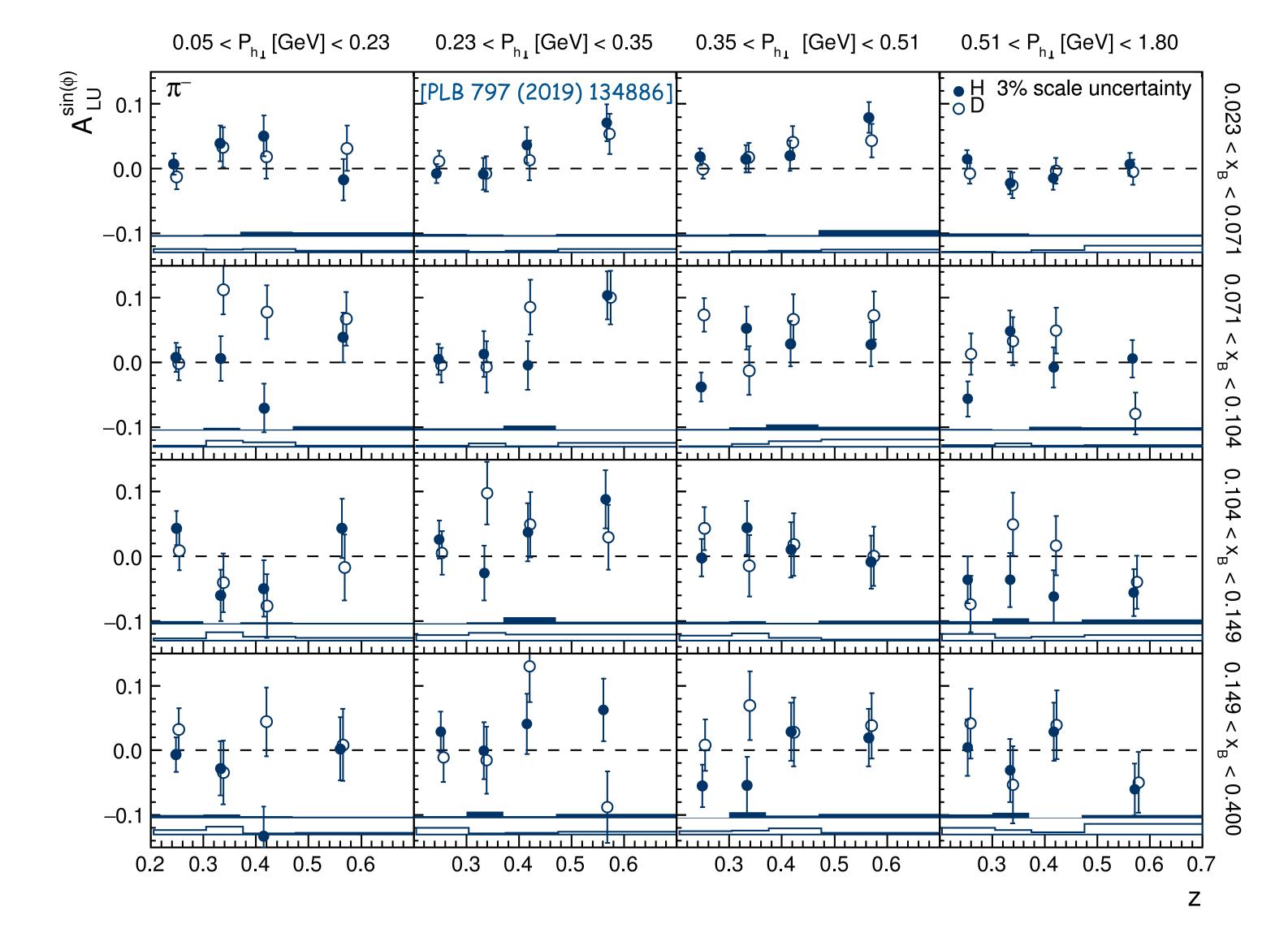
www.elsevier.com/locate/physletb







subleading twist II - $\langle sin(\phi) \rangle_{LU}$ HERMES 3d analysis



Gunar Schnell

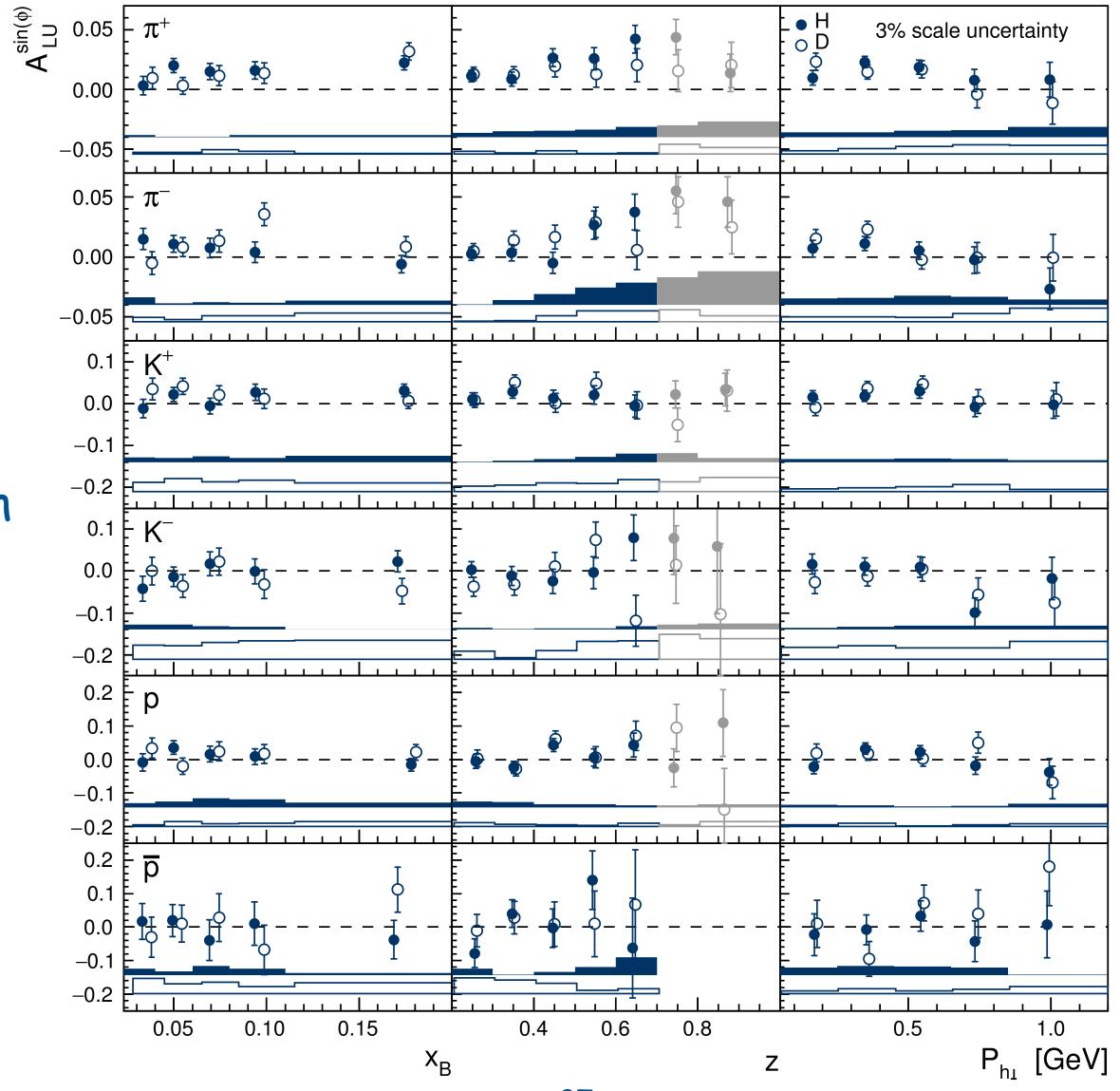
most comprehensive presentation, for discussion use 1d binning

36



Transversity 2022

 $\frac{M_h}{Mz}h_1^{\perp}\tilde{E} \oplus xg^{\perp}D_1 \oplus \frac{M_h}{Mz}f_1\tilde{G}^{\perp} \oplus xeH_1^{\perp}$

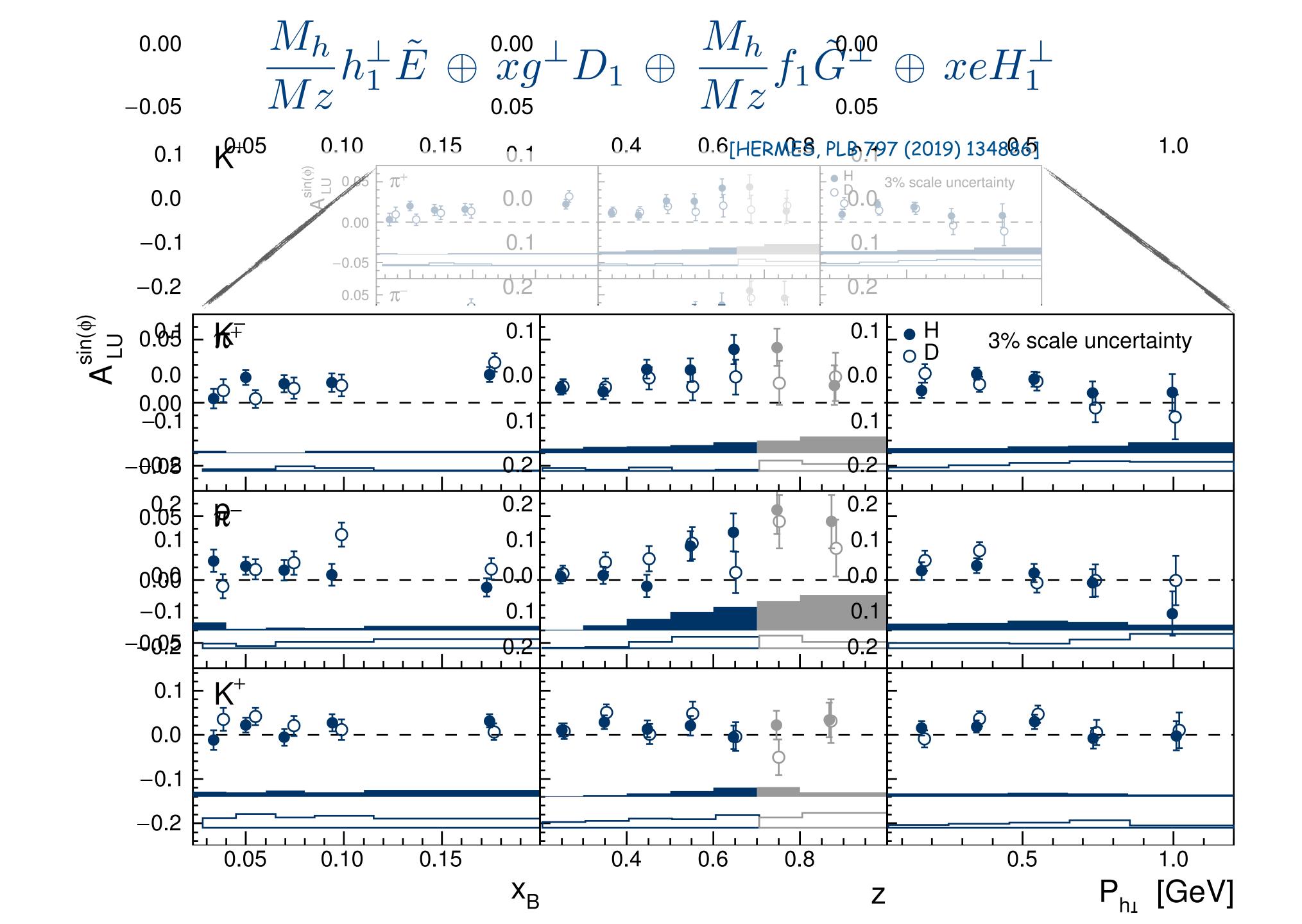


- p & d targets
- π , K, p & \overline{p} final-state h
- SIDIS and high-z transition regions

[HERMES, PLB 797 (2019) 134886]

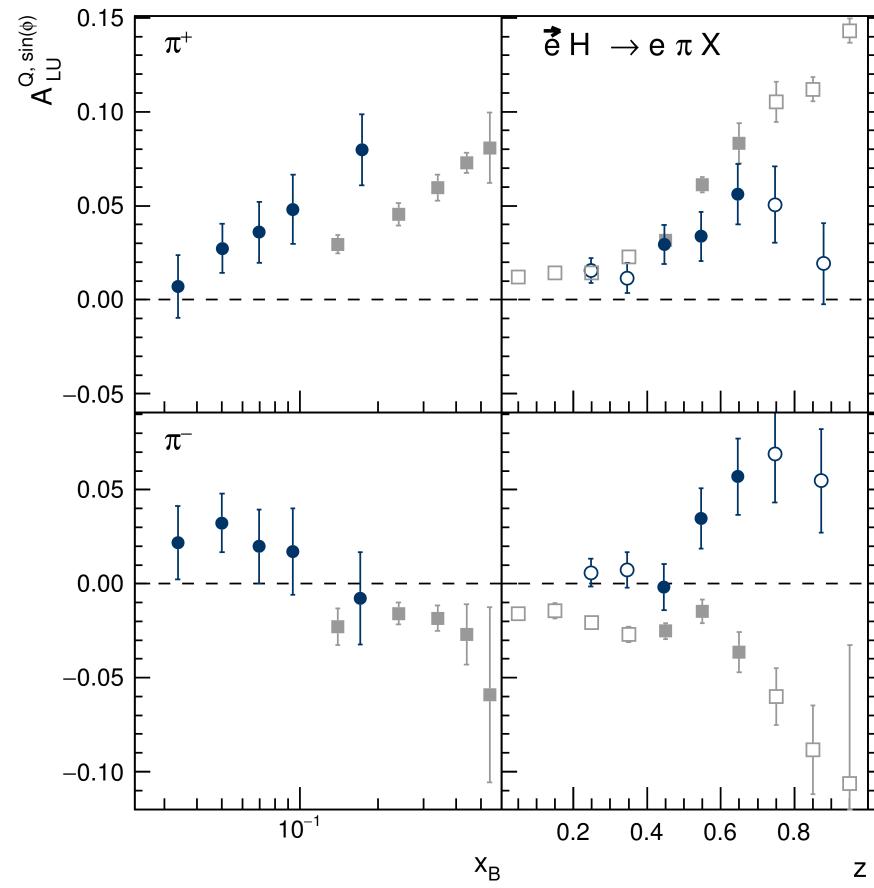
37





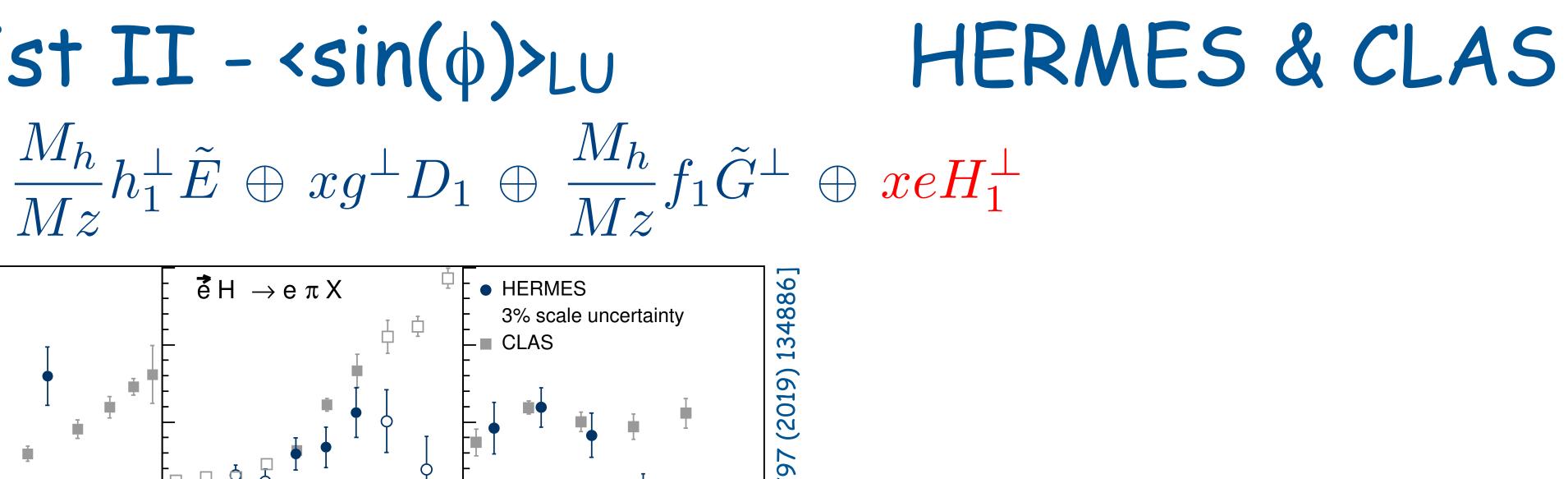


subleading twist II - $\langle sin(\phi) \rangle_{LU}$



• opposite behavior at HERMES/CLAS of negative pions in z projection due to different x-range probed

Gunar Schnell



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[HERME

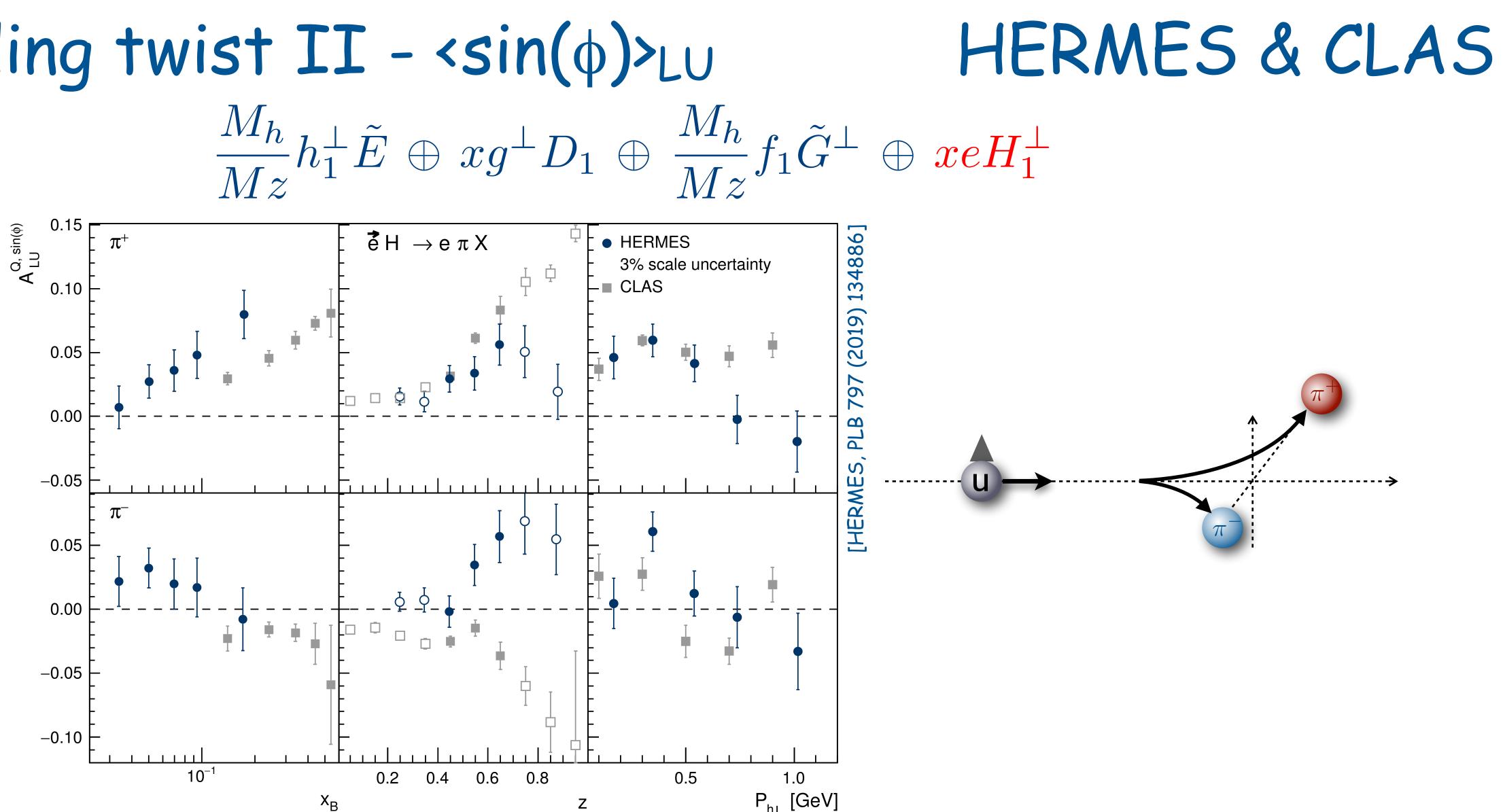


0.5

1.0

P_{hi} [GeV]

subleading twist II - $\langle sin(\phi) \rangle_{LU}$



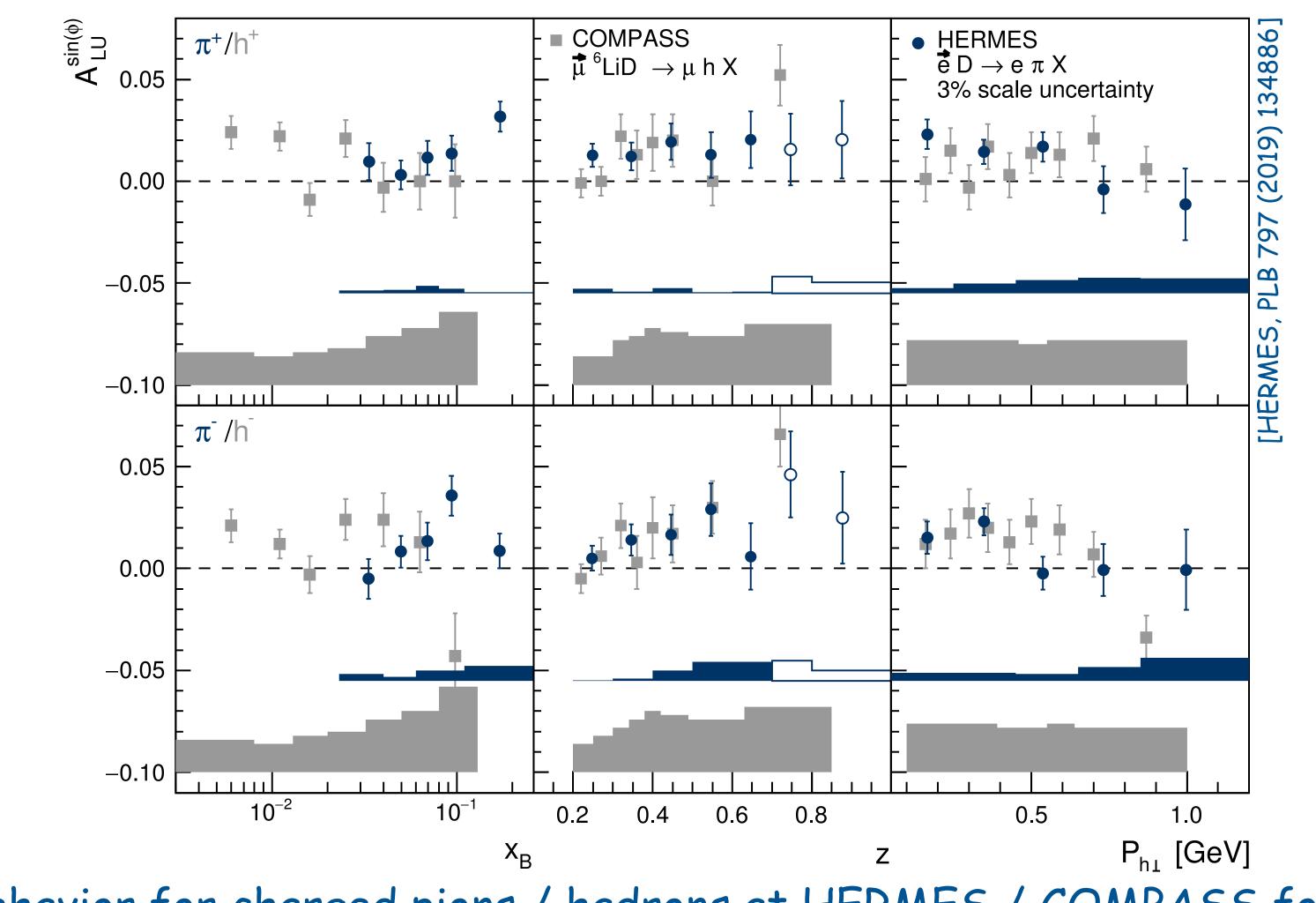
• opposite behavior at HERMES/CLAS of negative pions in z projection due to different x-range probed CLAS more sensitive to e(x)Collins term due to higher x probed?

Gunar Schnell

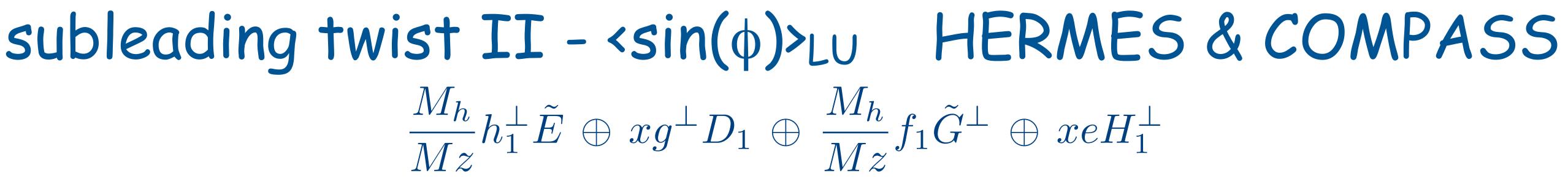
39







consistent behavior for charged pions / hadrons at HERMES / COMPASS for isoscalar targets Gunar Schnell Transversity 2022 40





HERMES continues producing results long after its shut-down,

- Intest pub's providing 3d presentations of longitudinal & transverse SSA & DSA
- completes the TMD analyses of single-hadron production
- several significant leading-twist spin-momentum correlations (Sivers, Collins, wormgear) but no sign for pretzelosity => clear dipole but no quadrupole deformations
- Surprisingly large twist-3 effects
- by now, basically all asymmetries (except one: A_{UL}) extracted simultaneously in three or even four dimensions — a rich data set on transverse-momentum distributions complementary to data from other facilities

Conclusions





