

# Holographic Charge Density Waves

Marcello Scanavino

Università degli Studi di Genova  
Dipartimento di Fisica

June 6, 2019



UNIVERSITÀ DEGLI STUDI  
DI GENOVA

## 1 CDW

- Motivation
- What is a CDW

## 2 Gauge/Gravity duality

- General features
- The model
- Transport coefficients
- Magnetic Field

## 3 Conclusion

**First reason:** CDWs have been observed recently in experiments.<sup>1,2</sup>

There exists a large class of materials, showing a behavior between conventional metals and insulators. Some examples are:

- **strange metals** (unconventional scalings)
- **bad metals** (no Drude peak)

Their transport properties can not be easily explained by standard theories. A proposed explanation is given in the context of CDW.

**Main goal:** to find an effective field theory capable to reproduce their behavior.

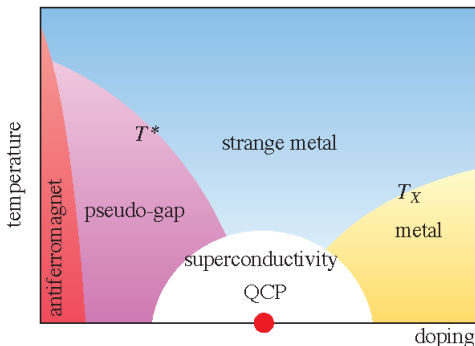
---

<sup>1</sup>Kogar et al., Phys.Rev.Lett. 118, 2017

<sup>2</sup>Wang et al., Material Today Phys. 5, 2018

# Strange/Bad metals

In the temperature/doping phase diagram, strange/bad metals are placed:

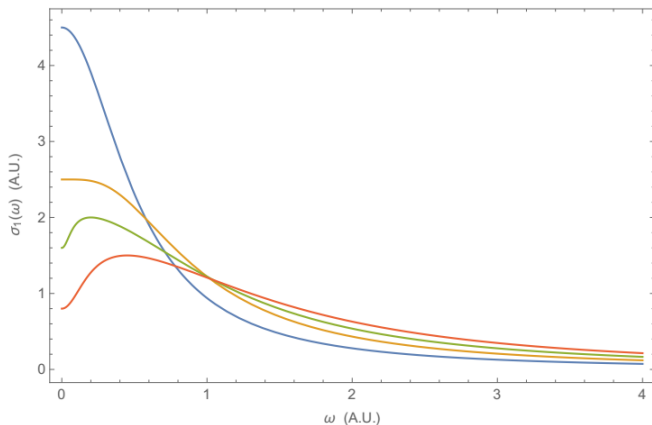


The mean free path of quasi-particles is so short that the Boltzman equation underlying Drude theory is not consistent.

These metals are characterized by a **large resistivity** even in rather **clean materials** with a long-lived momentum.

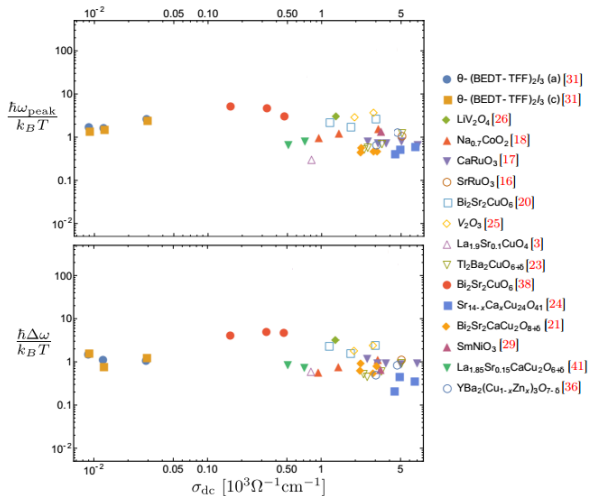
# Drude-like peak

In many compounds:



**Figure:** Drude peak broadens and moves away from  $\omega = 0$  when the temperature is increased.

# Drude-like peak



**Figure:** Position and width of Drude peak shown for a large variety of compounds

# Scaling behavior

When turning on an external magnetic field, strange metals are characterized by an unconventional scaling behavior:

$$\rho_{xx} \sim T, \quad \tan \theta_H = \frac{\rho_{xy}}{\rho_{xx}} \sim T^{-2}$$

In contrast with standard Fermi liquids where

$$\rho_{xx} \sim T^2$$

and in general resistivity and Hall angle should scale with opposite exponents

$$\rho_{xx} \sim T^n, \quad \tan \theta_H \sim T^{-n}$$

# Proposed explanations

In the last years, some mechanisms have been proposed that are believed to cause this behavior:

- Mott-related pseudogap
- polaron excitation
- incipient localization

Since this behavior is similar among different classes of materials, it can be useful to adopt a **less microscopic** approach.

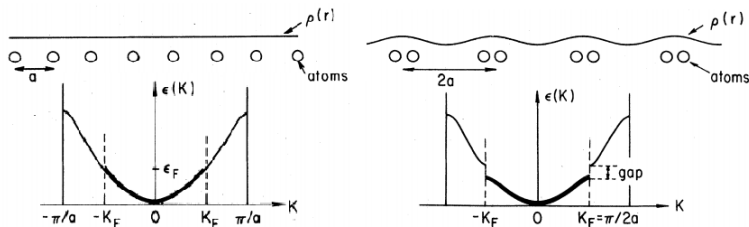
Another possible explanation to these phenomena is believed to be the creation of **conducting Charge Density Wave** (CDW).



# Classical theory of CDW

Firstly proposed by Peierls in 1955, the resulting charge density, in presence of electron-phonon interaction is<sup>3</sup>:

$$\rho(\mathbf{r}) = \rho_0 + \rho_1 \cos(2\mathbf{k}_F \cdot \mathbf{r} + \varphi)$$



**Figure:** Distortion of the ionic lattice modulates the charge density and opens a gap at the Fermi energy.

# Hydrodynamic result

In hydrodynamics a well-known result for the conductivity is<sup>4</sup>:

$$\sigma(\omega) = \sigma_0 + \frac{\rho^2}{\chi_{PP}} \frac{\Omega - i\omega}{(\Gamma - i\omega)(\Omega - i\omega) + \omega_0^2}$$

that in large  $\Omega$  limit gives the Drude-peak behavior:

$$\sigma(\omega) = \sigma_0 + \frac{\rho^2}{\chi_{PP}} \frac{1}{\Gamma - i\omega} + O(\Omega^{-1})$$

Also the strange scaling of the Hall angle can be explained within this context:

$$\tan \theta_H \sim B \frac{\rho^2}{\chi_{PP} \Gamma} f(\Omega, \Gamma, \sigma_0)$$

---

<sup>4</sup>Delacrétaz et al., SciPost Phys. 7, 2017

# Why Holography?

## Problem:

classical theories (weakly coupled) of CDW lead to **insulating systems**. Even if the CDW is incommensurate w.r.t. the underlying lattice, the impurities pin the CDW, making it an insulator.

Then why **holography** may help?

- Some holographic models lead to **conducting CDW**.
- Relatively easy to access the strong coupled regime.
- Intermediate approach between existing field theory approaches working only in certain limits.

# Historical introduction

**Main idea:** connect a Conformal Field Theory to a Classical Theory of Gravity in one more dimension.

- The first example found by Maldacena in 1997 connecting type IIB superstring on  $AdS_5 \times S_5$  and the  $\mathcal{N} = 4$  SYM in the large- $N$  limit at strong coupling.
- Many other examples in different number of dimensions.
- Generalizations to QCD and **condensed matter systems**.

**Remark:** string theory can be used as a bridge between quantum field theory and gravity in order to prove the duality, but it is not necessary to work with the duality.

# The GKPW formula

The main ingredient to write the *holographic dictionary* is the GKPW formula<sup>5</sup>:

$$Z_{CFT}[\{h(x)\}] = Z_{AdS}[\{h(x_\mu, r)\}]$$

It brings some consequences:

- the boundary value of a bulk field is the source of an operator in the CFT
- the first free term in the boundary expansion of fields is the VEV of the corresponding operator

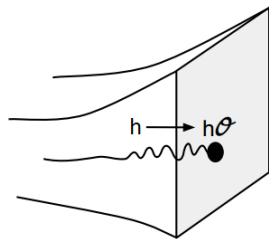
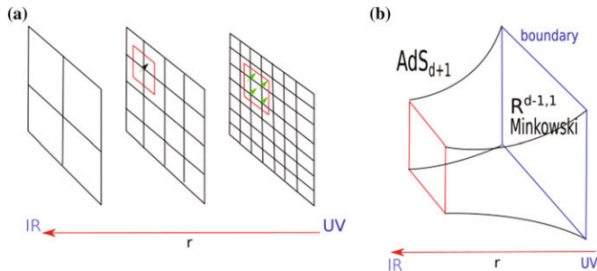


Figure: Boundary value of the fields

<sup>5</sup>Hartnoll et al., arXiv:1612.07324[hep-th], 2016

# The GKPW formula

- the extra radial coordinate geometrizes the renormalization group
- gauge symmetries in the bulk correspond to global symmetries in the CFT



**Figure:** Relation between the renormalization group flow and the extra radial coordinate in the bulk

# Finite temperature

## Field theory side:

- compactify the temporal direction
- the temperature is:  $T = 1/L_T$

## Gravity side:

- Black-hole solution:  $ds^2 = \frac{L^2}{r^2} (-f(r) dt^2 + \frac{1}{f(r)} dr^2 + d\vec{x}^2)$
- the temperature is:  $T = \frac{|f'(r_h)|}{4\pi}$

**Rule:** a quantum field theory at finite temperature is dual to a black-hole solution in the gravity side, and the black-hole temperature is exactly the temperature of the field theory.

## Boundary:

- Partition function
- Source/vev of operators
- Two point functions
- Global symmetries
- Renormalization group flow
- Finite temperature

## Gravity:

- Partition function
- Leading/subleading boundary values of fields
- Ratio SL/L boundary values
- Gauge symmetries
- Evolution in the radial direction
- Black-hole solution



# Holographic model

We start by considering the following Einstein-Maxwell-Dilanton action plus an **axion** term<sup>6</sup>:

$$S = \int d^{3+1}x \sqrt{-g} \left( R - V(\phi) - \frac{1}{2}(\partial\phi)^2 - \frac{Z(\phi)}{4}F^2 - \frac{1}{2}Y(\phi) \sum_{i=1,2} (\partial\psi_i)^2 \right)$$

The real couplings  $V, Z$  and  $Y$  near the boundary ( $r \rightarrow 0$ ) behave like:

$$V_{UV} = -6 + \frac{1}{2}m\phi^2 + \dots, \quad Z_{UV} = 1 + z_1\phi + \dots, \quad Y_{UV} = y_2\phi^2 + \dots$$

in order to guarantee an asymptotically  $AdS_4$  space-time and the spontaneous breaking of translations.

---

<sup>6</sup>Amoretti et al., Phys.Rev.Lett. 120, 2018

# Background ansatz

The full background ansatz is:

$$ds^2 = -D(r)dt^2 + B(r)dr^2 + C(r)d\vec{x}^2, \quad A = a(r)dt, \quad \psi_i = kx_i$$

By using the eom, these functions are constrained to be (near the boundary):

$$D(r) = \frac{1}{r^2}(1 + d_3 r^3 + \dots), \quad B(r) = \frac{1}{r^2}, \quad C(r) = \frac{1}{r^2}\left(1 - \frac{d_3}{2}r^3 + \dots\right)$$

$$a(r) = \mu + \rho r + \dots$$

The axion is linear in  $k$  in order to reproduce the CDW behavior and break translations.

# Spontaneous Symmetry Breaking

The real scalar has the following boundary expansion:

$$\phi(r) = \phi_{(s)} r^{3-\Delta} + \phi_{(v)} r^{\Delta} + \dots, \quad r \rightarrow 0$$

We set:

$$\Delta = 2$$

Scaling dimension of the scalar operator.

$$m^2 = \Delta(\Delta - 3) = -2$$

Squared mass of the scalar field.

Translations are **spontaneously** broken if we set:

$$\phi_{(s)} = 0$$

Keeping  $\phi_{(s)} \neq 0$  would lead to explicit breaking of the symmetry.

# Renormalized on-shell action

The on-shell action is divergent, so it must be renormalized by subtracting appropriate counter-terms.:

$$S_{c.t.} = \int_{r=\epsilon} d^3x \sqrt{-\gamma} \left( 2\mathcal{K} + 4 + \frac{1}{2}\phi^2 + R[\gamma] - \frac{1}{2}Y(\phi) \sum_{i=1,2} (\psi_i - kx_i)^2 \right)$$

Using the EOM, we can fix the boundary expansions of the fields and finally write the renormalized Euclidean on-shell action:

$$I_{ren} = \beta V_{(2)} \left( \frac{3d_3}{2} + k^2 I_Y(0) \right)$$

Where  $I_Y(0)$  is a surviving bulk term:

$$I_Y(r) = \int_{r_h}^r dr' \sqrt{B(r')D(r')} Y(\phi)$$

# Thermodynamics

Assuming the existence of a regular horizon, we get:

$$s = 4\pi C(r_h), \quad T = \frac{1}{4\pi} \sqrt{-\frac{B'(r)D'(r)}{B^2(r)}} \Big|_{r=r_h}$$

while the pressure is given by:

$$p = -\frac{l_{ren}}{\beta V_{(2)}} = -\frac{3d_3}{2} - k^2 l_Y(0)$$

In order to evaluate the one-point-functions we expand the fields at linear order in fluctuations:

$$g_{\mu\nu} = g_{\mu\nu}^b(r) + h_{\mu\nu}(x_M), \quad A_\mu = A_\mu^b(x_M) + \delta A_\mu(x_M),$$

$$\phi = \phi^b(r) + \delta\phi(x_M), \quad \psi_i = \psi_i^b(r) + \delta\psi_i(x_M).$$

# One-point-function and Ward Identities

Then the renormalized action reads:

$$S_{ren}^{(1)} = \int d^3x \left[ \frac{3}{2} d_3 h_{tt}^{(0)} + \frac{3}{4} d_3 h_{xx}^{(0)} + \frac{3}{4} d_3 h_{yy}^{(0)} - \rho \delta A_t^{(0)} - \phi_{(v)} \delta \phi_{(s)} \right]$$

From where we can extract:

$$\langle T^{tt} \rangle = \epsilon = -3d_3, \quad \langle T^{xx} \rangle = \langle T^{yy} \rangle = -\frac{3}{2}d_3 = p + k^2 l_Y(0)$$

$$\langle J_t \rangle = \rho, \quad \langle O_\phi \rangle = \phi_{(v)}$$

The Ward Identities are satisfied

$$\langle T_\mu^\mu \rangle = 0, \quad \partial_\mu \langle T^{\mu\nu} \rangle = 0, \quad \partial_\mu \langle J^\mu \rangle = 0$$

as it should be in case of SSB.

# DC transport coefficients

Transport coefficients are defined by the relation:

$$\begin{pmatrix} \vec{J} \\ \vec{J}_Q \end{pmatrix} = \begin{pmatrix} \sigma & \alpha T \\ \alpha T & \bar{\kappa} T \end{pmatrix} \begin{pmatrix} \vec{E} \\ -\vec{\nabla} T / T \end{pmatrix}$$

For simplicity we consider in details the electric conductivity, that in case of spontaneous symmetry breaking reads:

$$\sigma(\omega) = \frac{i}{\omega} G_{JJ}^R(\omega, q=0) \xrightarrow{\omega \rightarrow 0} \sigma_0 + \frac{\chi_{JP}^2}{\chi_{PP}} \frac{i}{\omega}$$

Where  $\sigma_0$  is the incoherent conductivity (i.e. the momentum-independent component of  $\sigma$ ) while the imaginary pole is due to a  $\delta(\omega)$  contribution.

# Incoherent conductivity

We have introduced the static susceptibilities:

$$\chi_{PP} = \frac{\delta \langle T^{tx} \rangle}{\delta v^x} = -\frac{9}{2} d_3, \quad \chi_{JP} = \frac{\delta \langle J^x \rangle}{\delta v^x} = \rho$$

The incoherent conductivity is defined by the formula:

$$\sigma_0 = \frac{1}{\chi_{PP}^2} \lim_{\omega \rightarrow 0} G_{J_{inc} J_{inc}}^R(\omega, q = 0)$$

$J_{inc}$  is the incoherent current orthogonal to momentum  $P$ :

$$J_{inc} = \chi_{PP} J - \chi_{JP} P, \quad \text{s.t.} \quad \langle J_{inc} P \rangle = 0$$



# How to proceed

As usual in holography we will follow this recipe:

- Find some proper **conserved quantity**.
- Check that they asymptote the right physical quantities at the boundary.
- Evaluate them at the horizon imposing **regularity** of the fields.

The last point requires that in the Eddington-Finkelstein coordinates:

$$v = t + \frac{1}{4\pi T} \log(r_h - r) + O(r_h - r)$$

the fields must be regular at the horizon, since  $r = r_h$  is just an apparent singularity, and not a true space-time singularity.

# Boundary conditions and conserved quantities

Since we are interested in DC coefficients, we turn on the following linear in time perturbations:

$$\delta a_x(r, t) = a_x(r) - p_1(r)t, \quad \delta h_{tx}(r, t) = h_{tx}(r) - p_2(r)t,$$

$$\delta h_{rx}(r, t) = h_{rx}(r), \quad \delta \psi_i(r) = \chi_i(r)$$

The conserved quantities can be found by considering

$$\sqrt{-g}Z(\phi)F^{rx}, \quad G^{\mu\nu}(k^\mu)$$

which lead to the electric and heat current at the boundary respectively.

Evaluating these quantities at the horizon, and dividing by the source:

$$\sigma_0 = \frac{Z_h(k^2 l_Y + sT)^2}{(k^2 l_Y + \mu\rho + sT)^2} + \frac{4\pi\rho^2 k^2 l_Y^2}{sY_h(k^2 l_Y + \mu\rho + sT)^2}$$

A few comments:

- Free energy is minimized for  $k = 0$  (no symmetry breaking at all)
- Recover known solution in  $k \rightarrow 0$  limit
- Stable solution with  $k \neq 0$  is given by high-derivatives models

# Scaling behavior

In order to study the temperature dependence of the conductivity, we assume the following behavior for the scalar couplings:

$$V_{IR} = V_0 e^{-\delta\phi}, \quad Y_{IR} = Y_0 e^{\nu\phi}, \quad Z_{IR} = Z_0 e^{\gamma\phi}$$

We are also assuming **hyperscaling** violation, then the metric reads:

$$ds^2 = \xi^\theta \left[ -f(\xi) \frac{dt^2}{\xi^{2z}} + \frac{L^2 d\xi^2}{\xi^2 f(\xi)} + \frac{d\vec{x}^2}{\xi^2} \right], \quad f(\xi) = 1 - \left( \frac{\xi}{\xi_h} \right)^{2+z-\theta}$$

Setting  $\phi = \kappa \log(\xi)$  and  $A = A_0 \xi^{\zeta-z} dt$ , the thermodynamics quantities scale as:

$$T \sim \xi_h^{-z}, \quad S \sim T^{\frac{2-\theta}{z}}$$

# Consistency relations

In order for the theory to be consistent, we should require some constraints:

- Null Energy Condition:

$$(2 - \theta)(2z - 2 - \theta) \geq 0, \quad (z - 1)(2 + z - \theta) \geq 0$$

- Positivity of specific heat:

$$\frac{2 - \theta}{z} \geq 0$$

- Marginal or irrelevant deformation sourced by  $\psi_i$ :

$$-\frac{2 + \kappa\nu}{z} \geq 0$$

- Marginal or irrelevant deformation sourced by  $A^t$ :

$$-\frac{\zeta + 2 - \theta}{z} \geq 0$$

# Temperature dependence

We can now look at the incoherent conductivity, which factorizing the bulk integral becomes:

$$\sigma_0 = \frac{k^4 I_Y^2}{(k^2 I_Y + \mu \rho)^2} \left( Z_h + \frac{4\pi \rho^2}{s Y_h k^2} \right)$$

The prefactor is dominated by the *UV* behavior and it approaches a constant, so all the temperature dependence is encoded in the *IR* expansion of the fields, leading to:

$$\sigma_0 \sim \frac{k^4 I_Y^2}{(k^2 I_Y + \mu \rho)^2} T^{\frac{2z-\theta-2\tilde{\Delta}}{z}}$$

Working with the parameters  $\theta$  and  $z$ , we see that within the consistency relations, there is room enough to get **conducting behavior**  $d\sigma_0/dT < 0$

# Adding a Magnetic Field

Almost all experiments with bad (or strange) metals are performed with an external **magnetic field**.

It is important to study the effects of this new field on the transport coefficients.

In order to add an external magnetic field, we slightly modify the ansatz for the gauge field:

$$A = A(r)dt - Bydx$$

Generating a constant magnetic field orthogonal to the two spatial dimensions.

# Magnetization currents

The main effect of adding an external magnetic field is to generate an extra-term to the action

$$S = S_{B=0} + \int_{r=\epsilon} d^3x B M(0), \text{ with } M(r) = \int_{r_h}^r dr' \frac{B \sqrt{B(r') D(r')} Z(\phi)}{C(r')}$$

proportional to the magnetization density.

Also the currents are modified, e.g.:

$$\mathcal{J}^x(r) = J^x(r), \quad \mathcal{J}^y(r) = J^y(r) - \xi M(r)$$

such that the new currents are radially conserved

$$\partial_r \mathcal{J}^x(r) = 0, \quad \partial_r \mathcal{J}^y(r) = 0$$



# Transport coefficients

With the introduction of the magnetic field, transport coefficients become matrices, e.g.:

$$\sigma \rightarrow \hat{\sigma} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} \\ \sigma_{yx} & \sigma_{yy} \end{pmatrix}$$

where in case of isotropic systems:

$$\sigma_{xx} = \sigma_{yy}, \quad \sigma_{yx} = -\sigma_{xy}$$

The same holds for the others coefficients, so we will have to evaluate 6 coefficients (instead of 3).

## Incoherent conductivities:

The complete expressions of the conductivities are:

$$\sigma_{0,xx} = \frac{\rho^2}{\rho^2 + B^2 Z_h^2} \frac{(I_Y k^2 + sT)^2 Z_h}{\chi_{PP}^2} + \frac{4\pi I_Y^2 k^2}{s Y_h \chi_{PP}^2}$$

$$\sigma_{0,xy} = \frac{B\rho}{(\rho^2 + B^2 Z_h^2) \chi_{PP}^2} \left( M^2 \rho^2 - Z_h^2 [(I_Y k^2 + sT)^2 - B^2 M^2] \right)$$

It is interesting noting that in the  $B \rightarrow 0$  limit  $\sigma_{0,xx}$  recovers the previous result, while  $\sigma_{0,xy}$  vanishes

$$\sigma_{0,xx}|_{B=0} = \sigma_0, \quad \sigma_{0,xy}|_{B=0} = 0$$

as it should be.

# Conclusion

## To resume:

- There exists a class of holographic models which can be used as Effective Field Theories to describe conducting CDW
- We showed how to implement SSB and evaluated some transport coefficients in this scenario
- Conducting behavior is allowed
- We added an external magnetic field and discussed about some consequences

## Outlook:

- Evaluate other coefficients with  $B \neq 0$
- Study the scaling behavior in a magnetic field
- Check the DC limit of transport coefficients with the numerics

Tanks for the attention!